

Analyzing and modeling neighboring site dependencies in DNA evolution

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Sequences change through time



When cells multiply, genomes are transmitted with some errors:

- large scale errors (rearrangements)
- small scale errors
 - mutations
 - insertions-deletions
 - small duplications









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Modeling evolution Motivations Modeling Applications

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General hypothesis: sites evolve independently



- $\, \hookrightarrow \, \text{ mathematical simplification} \,$
- $\hookrightarrow \text{ treat sites individually}$
- \Rightarrow strong implications on real data



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Substitutions are influenced by neighboring sites

\rightarrow The CpG effect in methylated genomes



- methylated CpG dinucleotides mutate towards TpG(CpA)
- \blacksquare C in a CpG dinucleotide mutates \sim 10 times faster
- strong effect in vertebrate genomes



Selective pressures on specific dinucleotides

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→ Ultraviolet light damages adjacent pyrimidines



- pyrimidine dinucleotides (C and T) are damaged by UV light
- adjacent Cs and Ts are possible targets of negative selective pressure



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Sites do not evolve independently

⇒ how to evaluate a sequence's deviation from the "independent sites" hypothesis?

statistical analysis of dinucleotide representation

⇒ how to explain and describe the preservation of dependencies between sites in evolution?

model evolution with neighboring site dependencies



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One strong implication of site independence

$$f_{XY} = f_X \times f_Y \Longleftrightarrow \frac{f_{XY}}{f_X f_Y} = 1$$

Invalidated in a wide variety of cases:

- TpA widely under-represented in Bacteria, Chloroplasta, Eukaryotes
- correlation between CpG, TpG(CpA) representation in vertebrates







The ρ statistic

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$$\rho_{XY} = \frac{f_{XY}}{f_X f_Y}$$

- Widely used, as a measure of dinucleotide over- and under-representation (Karlin, 1994; Duret and Galtier, 2000; Oakes et al, 2007)
- No clear boundaries for rejecting the null model.
- \hookrightarrow Abusive use of inaccurate thresholds. limitation $\#\ 1$
 - The null model is invalidated for most sequences.
- \hookrightarrow The rejection of the null model does not bear much information. limitation # 2



The *z*-score statistic

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General *z*-score statistic

$$\sigma_{score} = \frac{\omega_{XY} - E(\omega_{XY})}{\sqrt{Var(\omega_{XY})}}$$

where ω_{XY} is a measure associated to dinucleotide XY.

 $E(\omega_{XY})$ and $Var(\omega_{XY})$ can either be obtained by simulation or by exact analytical calculation.

When the central limit theorem can be applied:

z-score $\sim \mathcal{N}(0,1)$

 \hookrightarrow solves limitation $\# \ 1$ of the ρ statistic



The *z*-score statistic

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z-score with a base permutation model (z-base)



with analytical results available from Schbath, 1995.

■ the base composition (*i.e* G+C content) of the studied sequence is accounted for.



The *z*-score statistic

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z-score with a codon permutation model (*z*-codon)



with analytical results available from Gautier et al., 1985.

- the codon usage bias of the studied sequence is accounted for.
- \hookrightarrow solves partially limitation # 2 of the ρ statistic
- $\Rightarrow \rho$ and z-score statistics presented here were implemented in SEQINR R library for statistical analysis of sequences.



The damages caused by ultraviolet light

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• pyrimidine dimers between adjacent pyrimidine bases (C and T) \sim 75 %



 \blacksquare pyrimidine (6-4) pyrimidone photoproducts \sim 25 %



 \hookrightarrow lead to a local DNA distortion which blocks transcription and replication if it is not repaired in time.



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The impact of ultraviolet light on genomes

Is there an impact of ultraviolet light on genomic base composition?

- T-rich dinucleotides might be preferentially damaged (Singer and Ames, 1970)
- G+C related to UV exposition (Singer and Ames, 1970)
- subsequent criticism of these results (Bak et al., 1972)
- \hookrightarrow other statistics available than G+C content
- \Rightarrow *z*-score on pyrimidine dinucleotides to investigate possible under-representation



General study on all Bacteria

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A case study on an oceanic Bacteria

Prochlorococcus marinus as an ideal example

- one of the most abundant micro-organisms in oceans, involved in a great part of the oceans primary production
- stratified habitat in the water column
 - three fully sequenced strains of Prochlorococcus marinus
 - adapted to different depths in the water column
 - *i.e.* exposed to different UV contents
- Photo credit: Genoscope Centre National de Séquençage.





A case study on an oceanic Bacteria - Results

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Depth (m)

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Dinucleotide composition in three light-adapted *Prochlorococcus marinus*



z-codon distribution (coding sequences)

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Are Viruses more vulnerable?

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Infects surface P. marinus





Infects Geobacillus at 10900m depth





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- $\Rightarrow\,$ No systematic effect of UV light on dinucleotide content
 - $\hookrightarrow\,$ pyrimidine dinucleotides are not avoided.
 - \hookrightarrow true for all Bacteria and for a set of Virus taken as a good example.
- \Rightarrow Protection mechanisms
- \Rightarrow Repair mechanisms
 - \hookrightarrow use the host's repair machinery.





Data compiled from Burge *et al.* 1992, Brendel *et al.* 1992, Cardon *et al.* 1994, Karlin *et al.* 1994, 1997.



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The importance of being realistic

Some applications of evolutionary models

- estimate substitution rates (evolutionary speed)
- estimate the evolutionary distance between two sequences
- constructing a phylogenetic tree from n sequences (distance methods, maximum likelihood)

Some consequences of using the hypothesis of independent sites

- bias in estimating evolutionary distance between two sequences (von Haeseler and Schöniger, 1998)
- bias in phylogenetic reconstruction (von Haeseler and Schöniger, 1998)



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A general model of neighbor-dependent substitutions





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A general model of neighbor-dependent substitutions





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A general model of neighbor-dependent substitutions





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A general model of neighbor-dependent substitutions





 \Rightarrow complex models, no analytical results available





Hyper-mutable CpG dinucleotides 5' CG G 5' 3' 5 G 5' 3 5' 3' 3 G 5' 5' 5' 3 3 5 3' 5'

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A general solvable model of neighbor-dependent substitutions

(Bérard, Gouéré and Piau, 2005)

Combining:





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A general solvable model of neighbor-dependent substitutions

(Bérard, Gouéré and Piau, 2005)

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Combining:

- a simple nucleotide substitution model
- \blacksquare and all dinucleotide substitution processes of the form $YpR \to YpR$



 \Rightarrow stationary distributions become analytically solvable.



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A general solvable model of neighbor-dependent substitutions

Two biologically interesting models analysed:

- Kimura+CpG and Tamura+CpG model
- writing stationary distributions
- deriving substitution rates estimators
- analysis on human data chromosome 21
 - $\hookrightarrow A$ program for simulating evolution is also available.



Tamura+CpG

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 α stands for the transitions (between C and T, or A and G) β stands for the transversions (all other substitutions)

both these rates are multiplied by:

- θ for substitutions towards G or C
- $\blacksquare \ (1-\theta)$ for substitutions towards A or T
- + CpG substitutions (rate r)
- \Rightarrow describes G+C variation along the genome



Stationary distribution

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Dinucleotides

$$\pi_{CG} = \frac{\theta^2 (3\beta + \alpha)(\alpha + \beta)}{4(3\beta + \alpha)(\alpha + \beta) + 4r(3\beta + \alpha + \theta(\alpha + \beta))}$$
$$\pi_{TG} = \pi_{CA} = \frac{\theta(1 - \theta)(3\beta + \alpha)(\alpha + \beta) + r\theta(\alpha + (3 - \theta)\beta)}{4(3\beta + \alpha)(\alpha + \beta) + 4r(3\beta + \alpha + \theta(\alpha + \beta))}$$

$$\pi_{TA} = \frac{1}{4} - \pi_{CG} - 2\pi_{CA}$$

Nucleotides

$$\pi_C = \pi_G = \frac{\theta}{2} - r \frac{\pi_{CG}}{\alpha + \beta}$$
$$\pi_A = \pi_T = \frac{1 - \theta}{2} + r \frac{\pi_{CG}}{\alpha + \beta}$$

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Estimating the parameters

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The θ parameter

$$\theta = 4\pi_{CA} + 4\pi_{TA} + 2\pi_C - \sqrt{(4\pi_{CA} + 4\pi_{TA} + 2\pi_C - 1)^2 + 4\pi_{TA}}$$

CpG substitution rate

$$\frac{r}{\alpha+\beta} = \frac{4(\pi_{CA} + \pi_{TA}) - \sqrt{(4\pi_{CA} + 4\pi_{TA} + 2\pi_C - 1)^2 + 4\pi_{TA}}}{2\pi_{CG}}$$

- Stationary distributions can be estimated by the observed frequencies
- Estimation from one sequence only.



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The θ parameter and its relation to G+C content – Example of chromosome 21

$$\%(G+C) = \pi_C + \pi_G = \theta - 2\frac{r}{\alpha+\beta}\pi_{CG}$$







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The r parameter as a measure of CpG substitutions – Example of chromosome 21

r/(α + β) estimation under Tamura+CpG





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The r parameter as a measure of CpG substitutions – Example of chromosome 21

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Relation between G+C and CpGo/e – Example of chromosome 21

 $CpGo/e = f_{CG}/(f_C \times f_G)$

Relation between G+C and CpGo/e









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- Dinucleotides are far from being formed by chance
- Substitutions are influenced by direct neighbors
- Neighbor-dependent substitutions must be taken into account
- These models are not necessarily intractable:
 - stationary distribution
 - parameter estimation
- \hookrightarrow recover CpG substitution rates from the sequence only.



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Short term

- compare $\frac{r}{\alpha + \beta}$ with observed substitution patterns
- write general estimations of the model parameters for this class of models

Long term

- enlarge results to non stationary sequences
- \blacksquare and to other substitutions than $YpR \to YpR$
- incorporate these models in phylogenetic inference methods



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Merci beaucoup.







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