On stability and robustness of nonlinear systems Application to cascades

Antoine Chaillet

Université Paris Sud - L2S

PhD dissertation - 07/07/2006

Semiglobal and practical stability

- 2 Semiglobal and/or practical stability of cascades
- 3 Set-stability
- Integral input to state stability
- Conclusion and future work

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Outline

Semiglobal and practical stability

- Motivations and definitions
- Lyapunov sufficient conditions
- Lyapunov necessary conditions

2 Semiglobal and/or practical stability of cascades

- 3 Set-stability
- 4 Integral input to state stability
- Conclusion and future work

Introductory example

Consider the scalar controlled system

$$\dot{x} = u$$
.

- Control law: $u = -\theta x$, $\theta > 0 \Rightarrow$ global exponential stability.
- Additive perturbation:

$$\dot{\mathbf{x}} = -\theta \mathbf{x} + \mathbf{1}$$

 \Rightarrow No more convergence to zero (ultimate boundedness):

$$x(t) = \left(x_0 - \frac{1}{\theta}\right)e^{-\theta t} + \frac{1}{\theta}.$$

Instead, the ball of radius $1/\theta$ is globally asymptotically stable.

→ Practical stability.

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Introductory example

• High-order non-linearity:

$$\dot{x} = -\theta x + x^3$$

 \Rightarrow Domain of attraction is restricted to $\mathcal{B}_{\sqrt{ heta}}.$

→ Semiglobal stability.

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Causes for semiglobal and/or practical stability

Semiglobal and practical stability may arise from the inherent structure of the plant, or result from a degradation of global asymptotic stability:

- Non-vanishing perturbations
- Model imprecision
- Lack of measurement
- Use of saturated control.
- It may also be derived by stability analysis tools:
 - Averaging techniques [Teel, Peuteman, Aeyels, 99]
 - Controllability results.

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Precise definitions

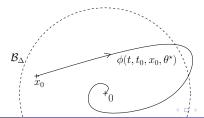
We consider parameterized systems of the form:

$$\dot{\boldsymbol{x}} = f(\boldsymbol{t}, \boldsymbol{x}, \boldsymbol{\theta}) \,. \tag{1}$$

Definition (USAS)

The system is said to be uniformly semiglobally asymptotically stable on Θ if, for all $\Delta > 0$, there exist $\theta^*(\Delta) \in \Theta$ and a \mathcal{KL} function β_Δ such that, for all $x_0 \in \mathcal{B}_\Delta$ and all $t_0 \ge 0$,

 $|\phi(t, t_0, x_0, \theta^\star)| \leq \beta_\Delta(|x_0|, t-t_0), \qquad \forall t \geq t_0.$



Precise definitions

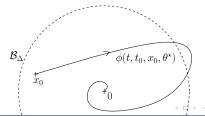
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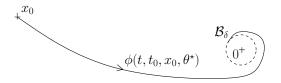
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Precise definitions

Definition (UGPAS)

The system is said to be uniformly globally practically asymptotically stable on Θ if, for all $\delta > 0$, there exist $\theta^*(\delta) \in \Theta$ and a \mathcal{KL} function β_{δ} such that, for all $x_0 \in \mathbb{R}^n$ and all $t_0 \ge 0$,

$$\phi(t, t_0, \mathbf{x}_0, \theta^{\star}) | \leq \delta + \beta_{\delta}(|\mathbf{x}_0|, t - t_0), \quad \forall t \geq t_0.$$



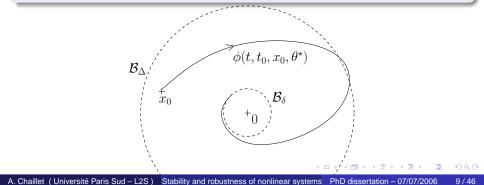
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Precise definitions

Definition (USPAS)

The system is said to be uniformly semiglobally practically asymptotically stable on Θ if, for all $\Delta > \delta > 0$, there exist $\theta^*(\delta, \Delta) \in \Theta$ and a \mathcal{KL} function $\beta_{\delta,\Delta}$ such that, for all $x_0 \in \mathcal{B}_\Delta$ and all $t_0 \ge 0$,

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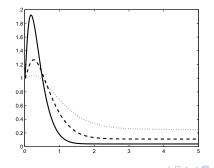


Comparison with related concepts

These definitions of USPAS, UGPAS and USAS differ from others existing in the literature in that:

 They allow the *KL* estimate to depend on δ and/or Δ, as opposed to *e.g.* [Nesić, Loría, 04], [Tan, Nesić, Mareels, 05]. Example:

$$\ddot{q}=- heta^2 q-2 heta \dot{q}+1$$
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- They allow the \mathcal{KL} estimate to depend on δ and/or Δ .
- They are defined with respect to two measures, as opposed to *e.g.* [Lin, Sontag, Wang, 96], [Teel, Panteley, Loría, 02]:

 $|\phi(t, t_0, \mathbf{x}_0)|_{\delta} \leq \beta_{\delta}(|\mathbf{x}_0|, t - t_0).$

- \mathcal{B}_{δ} is not necessarily invariant.
- Weaker (more general) concept, while still guaranteeing interesting properties.

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- \mathcal{B}_{δ} is not necessarily invariant.
- Weaker (more general) concept, while still guaranteeing interesting properties.
- Lyapunov condition easier to check.
- No prescribed tuning procedure, as opposed to *e.g.* [Teel, Peuteman, Aeyels, 99], [Tan, Nesić, Mareels, 05]:
 - The tuning parameter may not be scalar.
 - However: tuning procedure often evident from the analysis.

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For semiglobal asymptotic stability:

Theorem (Lyapunov sufficient condition for USAS)

Suppose that, given any $\Delta > 0$, there exist $\theta^*(\Delta) \in \Theta$, a C^1 function V_{Δ} and class \mathcal{K}_{∞} functions $\underline{\alpha}_{\Delta}$, $\overline{\alpha}_{\Delta}$, α_{Δ} such that, for all $|\mathbf{x}| \leq \Delta$ and all $t \in \mathbb{R}_{>0}$,

$$\underline{\alpha}_{\Delta}(|\mathbf{x}|) \leq V_{\Delta}(t, \mathbf{x}) \leq \overline{\alpha}_{\Delta}(|\mathbf{x}|)$$
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Then $\dot{x} = f(t, x, \theta)$ is USAS on the parameter set Θ .

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(2)

Then $\dot{x} = f(t, x, \theta)$ is USAS on the parameter set Θ .

Importance of the additional condition

Condition (2) cannot be removed from the above statement. For instance, consider the system from [Kokotović, Marino, 86]:

$$\begin{aligned} x_1 &= x_2 \\ \dot{x}_2 &= -\theta^2 x_1 - \theta x_2 + \frac{1}{3} x_2^3 \,, \end{aligned}$$

with the function

$$V_{\theta}(x) = \theta^2 x_1^2 + x_2^2 + x_2 \tanh x_1$$
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Given any $\Delta > 0$, the choice $\theta^*(\Delta) := \frac{2}{3} \left(3 + \Delta + \Delta^2\right)$ ensures

$$\dot{V}_{ heta^{\star}}(x) \leq -rac{|x|}{2} anh\left(rac{|x|}{2}
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Lyapunov sufficient conditions

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However, the additional condition is violated:

$$\lim_{\Delta\to\infty}\overline{\alpha}_{\Delta}^{-1}\circ\underline{\alpha}_{\Delta}(\Delta)=0\neq\infty\,.$$

And, indeed, any solution starting with $|x_{10}| \ge 3/\sqrt{\theta}$, $|x_{20}| \ge 3\sqrt{\theta}$ grows unbounded.

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Similarly, for global practical stability, we have that:

Theorem (Lyapunov sufficient condition for UGPAS)

Suppose that, given any $\delta > 0$, there exist $\theta^*(\delta) \in \Theta$, a C^1 function V_{δ} and class \mathcal{K}_{∞} functions $\underline{\alpha}_{\delta}$, $\overline{\alpha}_{\delta}$, α_{δ} such that, for all $|\mathbf{x}| \ge \delta$ and all $t \in \mathbb{R}_{>0}$,

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 $\frac{\partial V_{\delta}}{\partial t}(t, \mathbf{x}) + \frac{\partial V_{\delta}}{\partial \mathbf{x}}(t, \mathbf{x})f(t, \mathbf{x}, \theta^{\star}) \leq -lpha_{\delta}(|\mathbf{x}|)$

 $\delta \rightarrow 0$

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Lyapunov sufficient conditions USPAS

Finally, for semiglobal practical stability:

Theorem (Lyapunov sufficient condition for USPAS)

Suppose that, given any $\Delta > \delta > 0$, there exist $\theta^{\star}(\delta, \Delta) \in \Theta$, a C^1 function $V_{\delta,\Delta}$ and class \mathcal{K}_{∞} functions $\underline{\alpha}_{\delta,\Delta}$, $\overline{\alpha}_{\delta,\Delta}$, $\alpha_{\delta,\Delta}$ such that, for all $\delta \leq |\mathbf{x}| \leq \Delta$ and all $t \in \mathbb{R}_{\geq 0}$,

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Assume further that, $\forall \Delta_* > \delta_* > 0$, $\exists \Delta > \delta > 0$ such that

 $\underline{\alpha}_{\delta,\Delta}^{-1} \circ \overline{\alpha}_{\delta,\Delta}(\delta) \leq \delta_{\star}$ $\overline{\alpha}_{\delta,\Delta}^{-1} \circ \underline{\alpha}_{\delta,\Delta}(\Delta) \geq \Delta_{\star} .$

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 $\frac{\partial V_{\delta,\Delta}}{\partial t}(t,\mathbf{x}) + \frac{\partial V_{\delta,\Delta}}{\partial \mathbf{x}}(t,\mathbf{x})f(t,\mathbf{x},\theta^{\star}) \leq -\alpha_{\delta,\Delta}(|\mathbf{x}|)$

Assume further that, $\forall \Delta_{\star} > \delta_{\star} > 0$, $\exists \Delta > \delta > 0$ such that

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Then $\dot{x} = f(t, x, \theta)$ is USPAS on the parameter set Θ .

Lyapunov necessary conditions Converse Lyapunov function for USPAS/USAS

USPAS may be derived by other means (*e.g.* averaging): we propose a converse result tailored for cascades applications.

Definition (δ -USPAS)

The system $\dot{x} = f(t, x, \theta)$ is said to be δ -USPAS if, given any $\Delta > 0$, there exists a \mathcal{KL} function β_{Δ} such that, for any $0 < \delta < \Delta$, there exists a parameter $\theta^*(\delta, \Delta) \in \Theta$ such that, for all $t_0 \in \mathbb{R}_{>0}$ and all $x_0 \in \mathcal{B}_{\Delta}$,

$$|\phi(t, t_0, \mathbf{x}_0)|_{\delta} \leq \beta_{\Delta}(|\mathbf{x}_0|, t-t_0), \qquad \forall t \geq t_0.$$

Proposition (Averaging [Teel, Peuteman, Aeyels, 99] induces δ -USPAS)

If the origin of the average of the system $\dot{x} = f(t, x)$ is globally asymptotically stable, then $\dot{x} = f(t/\theta, x)$ is δ -USPAS on the parameter set $\mathbb{R}_{>0}$.

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Theorem (Converse Lyapunov function for δ -USPAS)

Assume that the system $\dot{x} = f(t, x, \theta)$ is δ -USPAS on $\Theta \subset \mathbb{R}^m$ and that there exist $f_0 \ge 0$ and a continuous nondecreasing function *L* such that

 $|f(t,0,\theta)| \le f_0$, $\left| \frac{\partial f}{\partial x}(t,x,\theta) \right| \le L(|x|)$, $\forall t \in \mathbb{R}_{\ge 0}, \forall x \in \mathbb{R}^n, \forall \theta \in \Theta$. Then, for any $\Delta > \delta > 0$, there exist a C^1 function $V_{\delta,\Delta} : \mathbb{R}_{>0} \times \mathbb{R}^n \to \mathbb{R}_{>0}$ such that, for all $\delta \le |x| \le \Delta$ and all $t \in \mathbb{R}_{>0}$,

$$egin{aligned} & \underline{lpha}_{\Delta}(|m{x}|) \leq V_{\delta,\Delta}(t,m{x}) \leq \overline{lpha}_{\Delta}(|m{x}|) \ & rac{\partial V_{\delta,\Delta}}{\partial t}(t,m{x}) + rac{\partial V_{\delta,\Delta}}{\partial m{x}}(t,m{x})f(t,m{x}, heta^{\star}) \leq -lpha_{\Delta}(V_{\delta,\Delta}(t,m{x})) \ & \left| rac{\partial V_{\delta,\Delta}}{\partial m{x}}(t,m{x})
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Theorem (Converse Lyapunov function for δ -USPAS)

Assume that the system $\dot{x} = f(t, x, \theta)$ is δ -USPAS on $\Theta \subset \mathbb{R}^m$ and that there exist $f_0 \ge 0$ and a continuous nondecreasing function *L* such that

$$\begin{split} |f(t,0,\theta)| &\leq f_0, \quad \left| \frac{\partial f}{\partial x}(t,x,\theta) \right| \leq L(|x|), \quad \forall t \in \mathbb{R}_{\geq 0}, \forall x \in \mathbb{R}^n, \forall \theta \in \Theta. \\ \text{Then, for any } \Delta > \delta > 0, \text{ there exist a } C^1 \text{ function} \\ V_{\delta,\Delta} : \mathbb{R}_{\geq 0} \times \mathbb{R}^n \to \mathbb{R}_{\geq 0} \text{ such that, for all } \delta \leq |x| \leq \Delta \text{ and all } t \in \mathbb{R}_{\geq 0}, \\ \underline{\alpha}_{\Delta}(|x|) \leq V_{\delta,\Delta}(t,x) \leq \overline{\alpha}_{\Delta}(|x|) \\ \frac{\partial V_{\delta,\Delta}}{\partial t}(t,x) + \frac{\partial V_{\delta,\Delta}}{\partial x}(t,x)f(t,x,\theta^*) \leq -\alpha_{\Delta}(V_{\delta,\Delta}(t,x)) \\ \left| \frac{\partial V_{\delta,\Delta}}{\partial x}(t,x) \right| \leq c_{\Delta}(|x|). \end{split}$$

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Outline

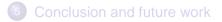
Semiglobal and practical stability

Semiglobal and/or practical stability of cascades

- Motivations for the study of cascades
- USPAS/USAS systems in cascade
- UGPAS systems in cascade
- Applications

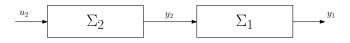
3 Set-stability

Integral input to state stability



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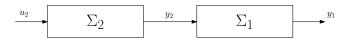
Why studying cascades ?



- Cascades may appear in various contexts:
 - Natural structure of the plant
 - Control law designed in order to put the system in a cascade form
 - Decompose a complex problem into simpler ones.
- Widely studied structure: Coron, Kokotović, Ortega, Praly, Sepulchre, Sontag, Sussmann, Teel, Vidyasagar, ...
- Various approaches: stability analysis, state/output-feedback stabilizability, ISS, Lyapunov construction,...
- Many analysis and design tools based on [Seibert, Suarez, 90], [Sontag, 89], [Panteley, Loría, 98]:

$\mathsf{UGAS}+\mathsf{UGAS}+\mathsf{UGB}\Rightarrow\mathsf{UGAS}.$

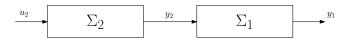
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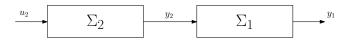
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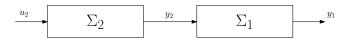
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USPAS cascaded systems

Considered cascades:

$$\dot{x}_1 = f_1(t, x_1, \theta_1) + g(t, x, \theta)$$

$$\dot{x}_2 = f_2(t, x_2, \theta_2)$$
(3a)
(3b)

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USPAS cascaded systems

Theorem (USPAS + USPAS + UB \Rightarrow USPAS)

• A1 - Given any $\Delta_1 > \delta_1 > 0$, there exist a parameter $\theta_1^{\star}(\delta_1, \Delta_1) \in \Theta_1$, a function $V_{\delta_1, \Delta_1} \in C^1$, class \mathcal{K}_{∞} functions $\underline{\alpha}_{\delta_1, \Delta_1}$, $\overline{\alpha}_{\delta_1, \Delta_1}$, $\alpha_{\delta_1, \Delta_1}$ and a continuous positive nondecreasing function c_{δ_1, Δ_1} such that, for all $\delta_1 \leq |x_1| \leq \Delta_1$ and all $t \in \mathbb{R}_{\geq 0}$,

$$\underline{\alpha}_{\delta_1,\Delta_1}(|\boldsymbol{x}_1|) \leq V_{\delta_1,\Delta_1}(t,\boldsymbol{x}_1) \leq \overline{\alpha}_{\delta_1,\Delta_1}(|\boldsymbol{x}_1|)$$

$$egin{aligned} &rac{\partial V_{\delta_1,\Delta_1}}{\partial t}(t, x_1) + rac{\partial V_{\delta_1,\Delta_1}}{\partial x_1}(t, x_1) f_1(t, x_1, heta_1^\star) \leq -lpha_{\delta_1,\Delta_1}(|x_1|) \ & \left| rac{\partial V_1}{\partial x_1}(t, x_1)
ight| \leq c_{\delta_1,\Delta_1}(|x_1|) \,. \end{aligned}$$

• A2 - For any $\theta_1 \in \Theta_1$, there exists a nondecreasing function G_{θ_1} and a class \mathcal{K} function Ψ_{θ_1} such that, for all $\theta_2 \in \Theta_2$, all $x \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$ and all $t \in \mathbb{R}_{\geq 0}$, $|g(t, x, \theta)| \leq G_{\theta_1}(|x|)\Psi_{\theta_1}(|x_2|)$.

USPAS cascaded systems

Theorem (USPAS + USPAS + UB \Rightarrow USPAS)

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$$\begin{aligned} \frac{\partial V_{\delta_1,\Delta_1}}{\partial t}(t,x_1) + \frac{\partial V_{\delta_1,\Delta_1}}{\partial x_1}(t,x_1)f_1(t,x_1,\theta_1^\star) &\leq -\alpha_{\delta_1,\Delta_1}(|x_1|) \\ \left| \frac{\partial V_1}{\partial x_1}(t,x_1) \right| &\leq c_{\delta_1,\Delta_1}(|x_1|) \,. \end{aligned}$$

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USPAS cascaded systems

Theorem (USPAS + USPAS + UB \Rightarrow USPAS), cont'd

• A3 - The system $\dot{x}_2 = f_2(t, x_2, \theta_2)$ is USPAS on Θ_2 .

• A4 - There exists $\Delta_0 > 0$ such that, for any given δ_1 , Δ_1 , δ_2 , $\Delta_2 > 0$, satisfying $\Delta_1 > \max{\{\delta_1; \Delta_0\}}$ and $\Delta_2 > \delta_2$, and for the parameter $\theta_1^*(\delta_1, \Delta_1)$, there exists $\theta_2^* \in \mathcal{D}_{f_2}(\delta_2, \Delta_2) \cap \Theta_2$ and $\gamma(\delta_1, \delta_2, \Delta_1, \Delta_2) > 0$ such that

 $|x_0| \leq \gamma(\delta_1, \delta_2, \Delta_1, \Delta_2) \quad \Rightarrow \quad |\phi(t, t_0, x_0, \theta^*)| \leq \Delta_1, \quad \forall t \geq t_0.$

In addition, given any $\Delta_{\star} > \delta_{\star} > 0$, there exist δ_1 , Δ_1 , $\Delta_2 > 0$, with $\Delta_1 > \delta_1$, such that, for all $\delta_2 \in (0; \Delta_2)$,

$$\min \{ \Delta_1; \Delta_2; \gamma(\delta_1, \delta_2, \Delta_1, \Delta_2) \} \geq \Delta_* \\ \max \{ \delta_2; \underline{\alpha}_{\delta_1, \Delta_1}^{-1} \circ \overline{\alpha}_{\delta_1, \Delta_1}(\delta_1) \} \leq \delta_* \, .$$

Semiglobal and practical stability of cascades USPAS cascaded systems

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 $|x_0| \leq \gamma(\delta_1, \delta_2, \Delta_1, \Delta_2) \Rightarrow |\phi(t, t_0, x_0, \theta^*)| \leq \Delta_1, \quad \forall t \geq t_0.$ In addition, given any $\Delta_* > \delta_* > 0$, there exist $\delta_1, \Delta_1, \Delta_2 > 0$, with

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Semiglobal and practical stability of cascades USPAS cascaded systems

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The ideas of this result were used to show that PID-controlled robot manipulators are robust to external disturbances (*e.g.* friction, noise), high order non-linearities, and actuators' dynamics:

- The resulting system is USPAS
- Driven subsystem: mechanical part (robot arm)
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USPAS/USAS cascaded systems, without a Lyapunov function

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USPAS/USAS cascaded systems, without a Lyapunov function

Theorem (USPAS + USPAS + UGB \Rightarrow USPAS)

- A1 $\dot{x}_1 = f_1(t, x_1, \theta_1)$ is δ -USPAS on Θ_1
- A2 $\dot{x}_2 = f_2(t, x_2, \theta_2)$ is USPAS on Θ_2 .
- A3 For any $\theta_1 \in \Theta_1$, there exists a nondecreasing function G_{θ_1} and a class \mathcal{K} function Ψ_{θ_1} such that, for all $\theta_2 \in \Theta_2$, all $x \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$ and all $t \in \mathbb{R}_{\geq 0}$, $|g(t, x, \theta)| \leq G_{\theta_1}(|x|)\Psi_{\theta_1}(|x_2|)$.
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UGPAS cascaded systems

$$\dot{x}_1 = f_1(t, x_1, \theta_1) + g(t, x, \theta)$$

 $\dot{x}_2 = f_2(t, x_2, \theta_2)$

Theorem (UGPAS + UGPAS + growth restriction \Rightarrow UGPAS)

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$$|g(t,x, heta)|\leq G_{ heta_1}(|x|)\Psi_{ heta_1}(|x_2|)\,.$$

- A2 The driving subsystem is UGPAS on Θ_2 .
- A3 The driven subsystem is UGPAS with a Lyapunov function satisfying

$$\left|\frac{\partial V_{\delta_1}}{\partial x_1}(t,x_1)\right| \leq c_{\delta_1}(|x_1|)$$

UGPAS cascaded systems

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UGPAS cascaded systems

Theorem (UGPAS + UGPAS + growth restriction \Rightarrow UGPAS),cont'd

A4 - For the functions of A5 and A6, for all $\delta_1 > 0$ and as $s \to +\infty$,

$$\begin{array}{rcl} c_{\delta_1}(s)G_{\theta_1^\star}(s) &=& \mathcal{O}(\alpha_{\delta_1}\circ\overline{\alpha}_{\delta_1}^{-1}\circ\underline{\alpha}_{\delta_1}(s))\\ \alpha_{\delta_1}(s) &=& \mathcal{O}(\overline{\alpha}_{\delta_1}(s))\,. \end{array}$$

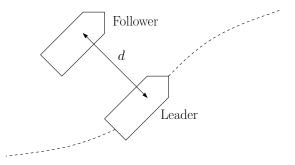
Under A1-A4, the cascade is UGPAS on $\Theta_1 \times \Theta_2$.

Semiglobal and practical stability of cascades Application

Synchronization for replenishment procedure:

This result was used to synchronize surface vessels with a virtual ship approach:

- Leader-follower strategy
- No information needed on the main ship except position.

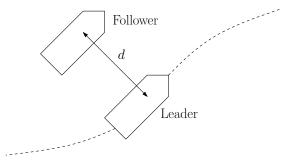


A (10) > A (10) > A

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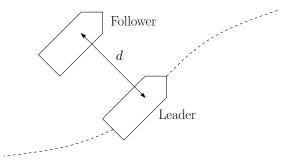


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Semiglobal and practical stability

Semiglobal and/or practical stability of cascades

Set-stability

- Motivations and definitions
- Set-stability of cascades
- Illustrative example



Conclusion and future work

Set-stability

We deal with autonomous systems:

$$\dot{\mathbf{x}} = f(\mathbf{x})$$
.

Definition (GAS of a set)

Assume that $\dot{x} = f(x)$ is forward complete on \mathbb{R}^n . The closed set \mathcal{A} is said to be globally asymptotically stable if there exists a \mathcal{KL} function β such that, for all $x_0 \in \mathbb{R}^n$,

$$\left|\phi(t, t_0, x_0)\right|_{\mathcal{A}} \leq \beta(\left|x_0\right|, t), \quad \forall t \geq 0.$$

Stability with respect to two measures

• \mathcal{A} is not necessarily compact.

A (1) > A (2) > A



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- Stability of the origin: $A = \{0\}$
- Stability of a path: $A = \{x_d(t) : t \ge 0\}$
- Ultimate boundedness, stability of a fixed ball: $A = B_r$
- Partial stability: $A = \{0\} \times \mathbb{R}^{n'}$, n' < n (e.g. adaptive control).

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We consider autonomous cascades:

$$\dot{x}_1 = f_1(x_1) + g(x_1, x_2)$$
 (4a)
 $\dot{x}_2 = f_2(x_2),$ (4b)

Theorem (GAS + GAS + GB \Rightarrow GAS)

• A1 - A_1 is GAS for $\dot{x}_1 = f_1(x_1)$.

• A2 - \mathcal{A}_2 is GAS for $\dot{x}_2 = f_2(x_2)$.

• A3 - There exist a continuous function g_1 and a class \mathcal{K}_{∞} function g_2 such that, for all $x = (x_1^{\top}, x_2^{\top})^{\top} \in \mathbb{R}^{n_1} \times \mathbb{R}^{n_2}$,

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• A4 - The solutions of (4) are globally bounded.

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• A4 - The solutions of (4) are globally bounded.

Theorem (GAS + GAS + growth restriction \Rightarrow GAS)

• A1-bis - There exist a C^1 function V_1 , class \mathcal{K}_{∞} functions $\underline{\alpha}_1, \overline{\alpha}_1, \alpha_1, g_2$ and a continuous function g_{11} such that, for all $x_1 \in \mathbb{R}^{n_1}$,

$$\underline{\alpha}_1(|\mathbf{x}_1|_{\mathcal{A}_1}) \leq V_1(\mathbf{x}_1) \leq \overline{\alpha}_1(|\mathbf{x}_1|)$$

$$\frac{\partial V_1}{\partial x_1}(x_1)f_1(x_1) \leq -\alpha_1(|x_1|_{\mathcal{A}_1}).$$
$$\frac{\partial V_1}{\partial x_1}(x_1)g(x) \leq g_{11}(|x_1|_{\mathcal{A}_1})g_2(|x_2|_{\mathcal{A}_2}).$$

 A4-bis - The cascade (4) is forward complete and g₁₁(s) = O(α₁(s)) as s → +∞.

Under A1-bis, A2, A3 and A4-bis, the set $A_1 \times A_2$ is GAS for (4).

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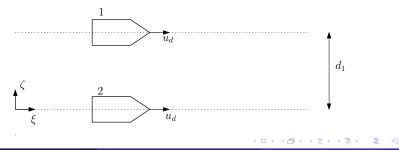
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Application

Control of ships in formation:

Formation control of surface vessels along a straight path, with a prescribed velocity (an alternative proof for [Børhaug, Pavlov, Pettersen, 06]):

- Same hierarchical level for all ships
- The set to be stabilized contains $\{(x_1, x_2) : \xi_1 = \xi_2\}$ (unbounded)
- Cascaded structure arises from the analysis: we use velocities as "virtual controls".

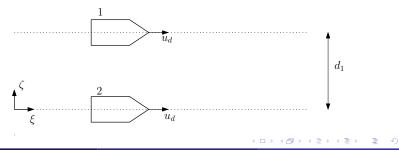


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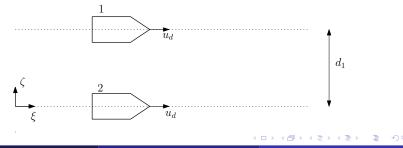
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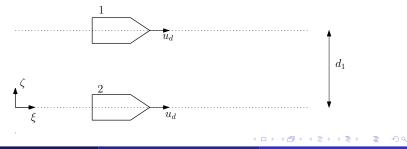


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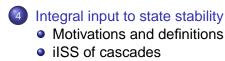
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Semiglobal and practical stability

- 2 Semiglobal and/or practical stability of cascades
- 3 Set-stability





Integral input to state stability Definitions

$$\dot{x} = f(x, u)$$
.

(5)

Definition (ISS, [Sontag, 89])

We say that (5) is input to state stable if there exist a class \mathcal{KL} function β and a class \mathcal{K}_{∞} function γ such that, for all x_0 and all u,

$$|\phi(t, x_0, u)| \leq \beta(|x_0|, t) + \gamma(||u||), \quad \forall t \geq 0.$$

Definition (iISS, [Sontag, 98])

We say that (5) is integral input to state stable if there exist a class \mathcal{KL} function β and $\gamma, \mu \in \mathcal{K}_{\infty}$ such that, for all x_0 and all u,

$$|\phi(t, x_0, u)| \leq eta(|x_0|, t) + \gamma\left(\int_0^t \mu(|u(\tau)|)d au
ight), \qquad orall t \geq 0.$$

The function μ is then referred to as an iISS gain for (5).

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Definition (iISS, [Sontag, 98])

We say that (5) is integral input to state stable if there exist a class \mathcal{KL} function β and $\gamma, \mu \in \mathcal{K}_{\infty}$ such that, for all x_0 and all u,

$$|\phi(t, x_0, u)| \leq eta(|x_0|, t) + \gamma\left(\int_0^t \mu(|u(\tau)|)d au
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The function μ is then referred to as an iISS gain for (5).

Integral input to state stability Definitions

$$\dot{\mathbf{x}} = f(\mathbf{x}, \mathbf{u}) \,. \tag{5}$$

Definition (ISS, [Sontag, 89])

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Theorem (Lyapunov characterization of iISS, [Angeli, Sontag, Wang, 00])

The system $\dot{x} = f(x, u)$ is iISS if and only if there exist a C^{∞} function *V*, class \mathcal{K}_{∞} functions $\underline{\alpha}$, $\overline{\alpha}$, γ and a continuous positive definite function α such that, for all *x* and all *u*,

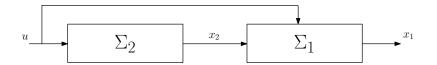
$$\underline{\alpha}(|\mathbf{x}|) \leq V(\mathbf{x}) \leq \overline{\alpha}(|\mathbf{x}|)$$

$$\frac{\partial V}{\partial x}(x)f(x,u) \leq -\alpha(|x|) + \gamma(|u|).$$

Cascades under consideration:

$$\dot{x}_1 = f_1(x_1, x_2, u)$$
 (6a)
 $\dot{x}_2 = f_2(x_2, u)$ (6b)

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Theorem (iISS + iISS \Rightarrow iISS, Lyapunov-based)

Let $V_1 : \mathbb{R}^{n_1} \to \mathbb{R}_{\geq 0}$ be a differentiable function and $V_2 : \mathbb{R}^{n_2} \to \mathbb{R}_{\geq 0}$ be a continuous function, differentiable out of the origin. Suppose that there exist a class \mathcal{K} function ν_1 and, for all $i \in \{1, 2\}$, a continuous positive definite function α_i , a class \mathcal{K} function γ_i , and two class \mathcal{K}_{∞} functions $\underline{\alpha}_i$ and $\overline{\alpha}_i$ such that, for all $x_i \in \mathbb{R}^{n_i}$ and all $u \in \mathbb{R}^p$,

$$\underline{\alpha}_i(|\mathbf{x}_i|) \leq V_i(\mathbf{x}_i) \leq \overline{\alpha}_i(|\mathbf{x}_i|)$$

$$\frac{\partial V_1}{\partial x_1}(x_1)f_1(x_1,x_2,u) \leq -\alpha_1(|x_1|) + \gamma_1(|x_2|) + \nu_1(|u|)$$

$$\kappa_2 \neq 0 \qquad \Rightarrow \qquad \frac{\partial V_2}{\partial x_2}(x_2) f_2(x_2, u) \leq -\alpha_2(|x_2|) + \gamma_2(|u|).$$

If, in addition, $\gamma_1(s) = \mathcal{O}(\alpha_2(s))$ as $s \to 0$, then the cascade is iISS.

• V₂ does not need to be differentiable at zero

- Condition easy to check
- Direct corollary:

 $\mathsf{GAS} + \mathsf{iISS} + "\gamma_1(s) = \mathcal{O}(\alpha_2(s))" \Rightarrow \mathsf{GAS}$

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iISS for cascades, trajectory-based

Theorem (iISS + iISS \Rightarrow iISS, trajectory-based)

Assume that

- $\dot{x}_1 = f_1(x_1, x_2, u)$ is iISS with a locally Lipschitz iISS gain
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- $f_2(\cdot, 0)$ is C^1

Then the cascade is iISS.

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Semiglobal and practical stability

- 2 Semiglobal and/or practical stability of cascades
- 3 Set-stability
- 4 Integral input to state stability
- 5 Conclusion and future work

A (10) > A (10) > A (10)

Rigorous Lyapunov framework for semiglobal and practical stability

- Stability and robustness analysis of cascaded systems:
 - Semiglobal and practical stability
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- Constructive control design based on these results, e.g. backstepping
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List of publications

Journal papers:

1- A. Chaillet and A. Loría, A converse theorem for uniform semiglobal practical asymptotic stability: application to cascaded systems, Accepted for Automatica, 2006.

2- A. Chaillet and A. Loría, Uniform semiglobal practical asymptotic stability for non-autonomous cascaded systems and applications, Submitted to Systems and Control Letters, 2005.

3- A. Chaillet and D. Angeli, Integral input to state stable systems in cascade, Submitted to Systems and Control Letters, 2005.

4- A. Chaillet and A. Loría, Uniform global practical asymptotic stability for non-autonomous cascaded systems, Submitted to European Journal of Control, 2006.

5- A. Chaillet, A. Loría and R. Kelly, Robustness of PID-controlled manipulators vis a vis actuator dynamics and external disturbances, Submitted to European Journal of Control, 2006.

Book chapters:

6- R. Kristiansen, A. Loria, A. Chaillet, and P. J. Nicklasson, Output feedback control of relative translation in a leader-follower spacecraft formation, In Proceedings of the Workshop on Group Coordination and Cooperative Control, Lecture Notes in Control and Information Sciences, Springer Verlag, Tromse, Norway, 2006.

 F. Kyrkjebø, E. Panteley, A. Chaillet, and K. Pettersen, A virtual vehicle approach to underway replenishment, In Proceedings of the Workshop on Group Coordination and Cooperative Control, Lecture Notes in Control and Information Sciences, Springer Verlag, Tromsø, Norway, 2006.
 A. Chaillet, Tools for semiglobal practical stability analysis of cascaded systems and applications, In Proceedings of the CTS–HYCON Workshop, International Scientific and Technical Encyclopedia, Paris, France, July 2006.

Conference papers:

9- A. Chaillet and A. Loría, Uniform semiglobal practical asymptotic stability for nonlinear time-varying systems in cascade, In Proc. 16th. IFAC World Congress, Praha, Tcheck Republic, July 2005.

10- A. Chaillet and D. Angeli, Integral input to state stability for cascaded systems, In MTNS 2006, Kyoto, Japan, July 2006.

11- A. Chaillet and A. Loría, Uniform global practical stability for non-autonomous cascaded systems, In MTNS 2006, Kyoto, Japan, July 2006.

12- A. Chaillet and E. Panteley, Stability of sets for nonlinear systems in cascade, In MTNS 2006, Kyoto, Japan, July 2006.

13- A. Chaillet, Estimée du domaine d'attraction pour la cascade de systèmes uniformément asymptotiquement stables, In CIFA 2006, Bordeaux, France, June 2006.

14- A. Chaillet, A. Loría, and R. Kelly, Robustness of PID controlled manipulators with respect to external disturbances, Submitted to CDC 2006, San Diego, USA, December 2006.

15- A. Chaillet and A. Loría, A converse Lyapunov theorem for semiglobal practical asymptotic stability and application to cascades-based control, Submitted to CDC 2006, San Diego, USA, December 2006.

16- R. Kristiansen, A. Loría, A. Chaillet, and P. J. Nicklasson, Adaptive output feedback control of spacecraft relative translation, Submitted to CDC 2006, San Diego, USA, December 2006.

17- J. Tsønnås, A. Chaillet, E. Panteley, and T. A. Johansen, Cascaded lemma for set-stable systems, Submitted to CDC 2006, San Diego, USA, December 2006.