Distributed Model Predictive Control for energy management in buildings

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November 29th 2012









Energy consumption in the world - the facts S



The challenge ...



Energy consumption in the world - the facts S



The challenge ...



Energy consumption in the world - the facts ${igodot}$



The challenge ...



Energy consumption in the world - the facts ${\mathfrak S}$





Energy consumption in the world - the facts ${\mathfrak G}$





Energy consumption in the world - the facts S



40 % of world-wide primary energy consumption is due to buildings



Energy consumption in the world - the facts S



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Energy consumption in the world - the facts S



- 40 % of world-wide primary energy consumption is due to buildings
- Buildings play a key role in smart grid



Energy consumption in the world - the facts S



- 40 % of world-wide primary energy consumption is due to buildings
- 2 Buildings play a key role in smart grid



Objectives

Reduce Buildings energy consumptionMake them smart grid ready

The HOMES program

Largest funded program on buildings **active energy efficiency** in Europe ...



The HOMES program

Largest funded program on buildings **active energy efficiency** in Europe ...



Thesis objectives



Design **control algorithms** able to improve energy management in buildings

- Reduce energy and maintain comfort
- Make buildings "smart grid ready" (variable energy prices, power limitations)
- Design generic, scalable and modular solutions

Distributed Model Predictive control for energy management in buildings



MPC for energy management in buildings

- 2 Zone Model Predictive Control
- 3 Distributed Model Predictive Control





Distributed Model Predictive control for energy management in buildings



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4 Conclusion



Energy management in buildings An introduction



Conventional control in buildings

Rule-based control

Rule-based control

Rule1: *if* condition[params] *then* action[params] Rule2: *if* condition[params] *then* action[params]

•••

Many issues

- Coherence of the process of decision
- Parameters tuning ?
- complex situations ?

Conventional control in buildings

Rule-based control

Rule-based control

Rule1: *if* condition[params] *then* action[params] Rule2: *if* condition[params] *then* action[params]

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Many issues

- Coherence of the process of decision
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To sum up ...

- Difficult to generalize
- Must be fully adapted for a given scenario
- Difficult to handle economical objectives
- Difficult to ensure coherence of the decision
- Extremely simple to implement on BEMS !

An intuitive concept...

A simplistic example

minimize path length and avoid obstacles





Target

Initial state M. V. Lamoudi - Schneider-Electric/Gipsa-lab - DMPC for Energy management in buildings - 11/29/2012

An intuitive concept...

A simplistic example



An intuitive concept...

A simplistic example



An intuitive concept...

A simplistic example



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Receding Horizon Principle

Why Model Predictive Control in buildings?

- Thermal inertia
- Coupled dynamics
- Constraints (comfort, actuators, power consumption, etc.)
- Multi-source: several power sources (thermal, electrical, etc.)
- Economic objectives (varying energy tariffs)

MPC for energy management in buildings Model Predictive con

MPC for building Energy management

The ingredients ...

Model



MPC for building Energy management

The ingredients ...

Model


















Decomposition approach



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Decomposition approach



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Distributed Model Predictive control for energy management in buildings

MPC for energy management in building:

2

Zone Model Predictive Control

- Zone modeling
- The control problem
- Simulation and real-time implementation
- Yearly simulation
- Roombox implementation







Zone Model Predictive Control

zone presentation

Objective

(a) Control comfort parameters (temperature, CO₂ level, lighting),

(b) Minimize operational costs (energy, invoice).



7one Model Predictive Control

zone presentation

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(b) Minimize operational costs (energy, invoice).



Variables	Description	Unit
u _W	FCU valve opening	[-]
u _f	FCU fan speed	[-]
uh	Elec. heating control	[-]
u _V	Ventilation control	[-]
иj	Lighting control	[-]
$\{u_{b}^{i}\}_{i=1,,N_{f}}$	Blind ctrl facade i	[-]
Tw	Inlet FCU water temp.	[⁰ C]
T _{ex}	Outdoor temperature	[⁰ C]
T _{adj}	Adjacent zones temp.	[⁰ C]
$\{\phi_{g}^{i}\}_{i=1,,N_{f}}$	Global irr. flux facade i	$\left[\frac{W}{m^2}\right]$
Occ	Number of occupants	[-]
C _{ex}	Outdoor CO ₂ level	[ppm]
T	Indoor air temperature	[⁰ C]
С	Indoor CO2 level	[ppm]
L	Indoor illuminance	[Lux]

Description of Input/Output and exogenous variables

Zone Modeling

electrical analogy

Thermal model



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Zone Modeling

electrical analogy

CO₂ accumulation model



Zone Modeling

electrical analogy

Indoor illuminance model



Zone Model

Bilinear state-space representation

Zone model - bilinear system

$$\begin{cases} x^+ = A \cdot x + [B(y, w)] \cdot u + F \cdot w \\ y = C \cdot x + [D(w)] \cdot u \end{cases}$$

• x state, y output, w disturbance, u input.

• The matrices [B(y, w)] and [D(w)] are affine in their arguments.

Zone Model

Bilinear state-space representation

Zone model - bilinear system

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Simulator form

$$\mathbf{y}_k := \mathcal{Z}(\mathbf{u}_k, \mathbf{w}_k, \mathbf{x}_k)$$

boldfaced vectors are predicted profiles (e.g. $\mathbf{u}_k := [u_k^T, u_{k+1}^T, u_{k+N-1}^T]^T$).

problem description



The comfort indicator

- Comfort is only required during presence
- Comfort constraints are relaxed to ensure feasibility of the problem



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Mathematical formulation

NMPC-related optimization problem

$$\underset{\mathbf{u}\in\mathbf{U}}{\text{Minimize}} \quad J := J^{E}(\mathbf{p}) + J^{C}(\mathbf{y}) \tag{1}$$

where:

- the boldfaced vectors stand for predicted profiles (e.g. $\mathbf{y} := [y_k^T, \dots, y_{k+N-1}^T]^T$),
- $p \in \mathbb{R}^{n_p}$ is the power consumption,
- J^C is the discomfort criterion.
- J^E is the energy criterion.

problem resolution

Optimization problem - explicit form:

$$NLP_{k}: \underset{\mathbf{u}_{k}, \delta_{0}, \delta_{1}, \delta_{\sigma}, \mathbf{y}_{k}}{\text{Minimize}} J_{k}(\mathbf{u}_{k}, \mathbf{y}_{k})$$
(2a)

Subject To :

$$[\Phi(\mathbf{y}_k \quad , \mathbf{w}_k)] \cdot \mathbf{u}_k + \delta_0^- + \delta_1^- \ge \underline{\mathbf{y}}_k - \Psi \mathbf{x}_k - \Xi \mathbf{w}_k$$
(2b)

$$[\Phi(\mathbf{y}_k , \mathbf{w}_k)] \cdot \mathbf{u}_k - \delta_0^+ - \delta_1^+ \le \overline{\mathbf{y}}_k - \Psi x_k - \Xi \mathbf{w}_k$$
(2c)

$$\mathbf{D} \cdot \mathbf{u}_k - \boldsymbol{\delta}_d^+ + \boldsymbol{\delta}_d^- = \mathbf{a}$$
(2d)

$$0 \le u_k \le 1$$
 (2e)

$$\boldsymbol{\delta}_{0} \geq \boldsymbol{0} , \boldsymbol{\delta}_{d} \geq \boldsymbol{0} , \, \boldsymbol{0} \leq \boldsymbol{\delta}_{1} \leq \begin{bmatrix} \boldsymbol{\delta}_{Y} \\ \boldsymbol{\delta}_{Y} \end{bmatrix}$$
(2f)

problem resolution

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(2b)

$$[\Phi(\mathbf{y}_{k}, \mathbf{w}_{k})] \cdot \mathbf{u}_{k} - \delta_{0}^{+} - \delta_{1}^{+} \leq \overline{\mathbf{y}}_{k} - \Psi x_{k} - \Xi \mathbf{w}_{k}$$
(2c)

$$\mathbf{D} \cdot \mathbf{u}_k - \boldsymbol{\delta}_d^+ + \boldsymbol{\delta}_d^- = \mathbf{a} \tag{2d}$$

$$\mathbf{0} \leq \mathbf{u}_k \leq \mathbf{1}$$
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$$\boldsymbol{\delta}_0 \geq \boldsymbol{0} \,, \boldsymbol{\delta}_d \geq \boldsymbol{0} \,, \, \boldsymbol{0} \leq \boldsymbol{\delta}_1 \leq \begin{bmatrix} \boldsymbol{\delta}_Y \\ \boldsymbol{\delta}_Y \end{bmatrix}$$
 (2f)

Nonlinear optimization problem due the product terms $u \cdot y$

problem resolution

Optimization problem - explicit form:

$$LP_{k}^{(s)}: \underset{\mathbf{u}_{k}, \delta_{0}, \delta_{1}, \delta_{d}, \mathbf{y}_{k}}{\text{Minimize}} J_{k}(\mathbf{u}_{k}, \mathbf{y}_{k}^{(s)})$$
(2a)

Subject To :

$$[\Phi(\mathbf{y}_{k}^{(s)},\mathbf{w}_{k})]\cdot\mathbf{u}_{k}+\delta_{0}^{-}+\delta_{1}^{-}\geq\underline{\mathbf{y}}_{k}-\Psi x_{k}-\Xi\mathbf{w}_{k}$$
(2b)

$$[\Phi(\mathbf{y}_{k}^{(s)},\mathbf{w}_{k})]\cdot\mathbf{u}_{k}-\boldsymbol{\delta}_{0}^{+}-\boldsymbol{\delta}_{1}^{+}\leq\overline{\mathbf{y}}_{k}-\Psi\boldsymbol{x}_{k}-\Xi\mathbf{w}_{k}$$
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problem resolution

Optimization problem - explicit form:

$$\mathbf{u}_{k}^{(s)} \leftarrow \mathsf{LP}_{k}^{(s)}: \underset{\mathbf{u}_{k}, \delta_{0}, \delta_{1}, \delta_{d}}{\mathsf{Minimize}} J_{k}(\mathbf{u}_{k}, \mathbf{y}_{k}^{(s)})$$
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Subject To :

$$[\Phi(\mathbf{y}_k^{(s)}, \mathbf{w}_k)] \cdot \mathbf{u}_k + \delta_0^- + \delta_1^- \ge \underline{\mathbf{y}}_k - \Psi x_k - \Xi \mathbf{w}_k$$
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$$\delta_0 \ge \mathbf{0}, \delta_d \ge \mathbf{0}, \, \mathbf{0} \le \delta_1 \le \begin{bmatrix} \delta_Y \\ \delta_Y \end{bmatrix}$$
 (2f)

Update the output trajectory $\mathbf{y}_{k}^{(s)}$ by simulating the NL system:

$$\mathbf{y}_k^{(s+1)} = \mathcal{Z}(\mathbf{u}_k^{(s)}, \mathbf{w}_k, x_k)$$

problem resolution

Optimization problem - explicit form:

$$\mathbf{u}_{k}^{(s)} \leftarrow \mathsf{LP}_{k}^{(s)}: \underset{\mathbf{u}_{k}, \delta_{0}, \delta_{1}, \delta_{d}}{\mathsf{Minimize}} J_{k}(\mathbf{u}_{k}, \mathbf{y}_{k}^{(s)})$$
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Subject To :

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Update the output trajectory $\mathbf{y}_{k}^{(s)}$ by simulating the NL system:

$$\mathbf{y}_k^{(s+1)} = \mathcal{Z}(\mathbf{u}_k^{(s)}, \mathbf{w}_k, x_k)$$

Fixed-point algorithm: $\mathbf{y}_k^{(s)} \xrightarrow{LP} \mathbf{u}_k^{(s)} \xrightarrow{SIM} \mathbf{y}_k^{(s+1)}$

Convergence analysis

- No formal convergence proof of the algorithm is provided,
- Run the algorithm starting from 100 random (unrealistic) initial guesses.



Computational burden



Computation time for N = 720, $N_u^{par} = 20$, $N_y^{par} = 20$ (Intel[®] Xeon[®] @ 2.67 GHz, 3.48 Go RAM - ILOG CPLEX 12.1 for LPs)

The case study

small business building

- Typical french small business building built in 2006, 20 zones, 540 (m²),
- Electrical heater,
- Local dampers for ventilation control,
- Automated blinds,
- Location Trappes (near Paris),
- Modeled using the SIMBAD toolbox.



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MPC integration in SIMBAD

Configuration step

- Build the structure of the building (.xml),
- Identify the dynamical models of each zone,
- Generate automatically C code able for zones and energy layer representations,
- Instantiate MPCs for the whole building (observers, powers estimators, available forecast, occupancy schedule, available equipments, etc.)



MPC integration in SIMBAD

Example: 20 zones building:

≈70 inputs / ≈60 outputs / ≈160 states

Simulation

- Refreshing period: 5 min.
- \approx 2,102,400 optimization problems (600-900 d.v \times 1000 con.) solved during the whole year simulation.
- Simulation time \approx 18 (h)



MPC integration in SIMBAD

Example: 20 zones building:

• \approx 70 inputs / \approx 60 outputs / \approx 160 states

Simulation

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- \approx **2,102,400** optimization problems (600-900 d.v \times 1000 con.) solved during the whole year simulation.
- Simulation time \approx 18 (h)

\rightarrow need for efficient code to perform a yearly simulation

- use of C code when appropriate
- vectorized m-code
- Iogical matrix indexation





Simulation results (I)

Zone MPC illustration- an office



48 (h) simulation - office # 1
Simulation results (II)

Yearly simulation results

- Perfectly known forecast ($\alpha = 1$)
- 2 Errors on forecast ($\alpha = 0$)
- Rule-based control

	Energy cons. (kWh/m²/year)	
Rule based*	142	
MPC ($\alpha = 1$)	119 (- 16 %)	
MPC ($\alpha = 0$)	122 (- 14 %)	

Energy consumption / Comfort - Rule-based vs. MPC

*: more advanced RB control strategy (\approx -50% compared to current practice)

Simulation results (II)

Yearly simulation results

- Perfectly known forecast ($\alpha = 1$)
- 2 Errors on forecast ($\alpha = 0$)
- Rule-based control

	Energy cons. (kWh/m²/year)	GTC (%)	
Rule based*	142	91.6	
MPC ($\alpha = 1$)	119 (- 16 %)	91.8	
MPC ($\alpha = 0$)	122 (- 14 %)	88.1	

Energy consumption / Comfort - Rule-based vs. MPC

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Simulation results (II)

Yearly simulation results

- Perfectly known forecast ($\alpha = 1$)
- 2 Errors on forecast ($\alpha = 0$)
- Rule-based control

	Energy cons. (kWh/m²/year)	GTC (%)	TCV (k· ^O C·h)
Rule based*	142	91.6	322
MPC ($\alpha = 1$)	119 (- 16 %)	91.8	295
MPC ($\alpha = 0$)	122 (- 14 %)	88.1	310

Energy consumption / Comfort - Rule-based vs. MPC

*: more advanced RB control strategy (\approx -50% compared to current practice)

Handling fan coil units

The FCU model is a static nonlinear heat emission characteristic:

$$\phi^{th}(u_w, u_f, T, T_w) = (T_w - T) \cdot \phi^N(u_w, u_f)$$



Thermal emission characteristic

Handling fan coil units

The FCU model is a static nonlinear heat emission characteristic:

$$\phi^{th}(u_w, u_f, T, T_w) = (T_w - T) \cdot \phi^N(u_w, u_f)$$



PWA approx. Adapt the algorithm to handle FCUs and preserve the LP formulation

variable energy prices

- Preheat the first day during off-peak hours,
- Optimal start the second day during on-peak hours,



variable energy prices

Sensitivity of the solution to the ratio between high and low energy price periods (β_p)



variable energy prices



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variable energy prices

Heater half dimensioned



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variable energy prices

+ another zone (more inertia)



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variable energy prices



The optimal behavior is linked to the dynamical characteristics of each zone

Main features:

- Power output: lighting, shutters and blinds, HVAC
- Network connection to BMS
- Ethernet port for local PC
- Inputs for switches and window contacts 24 Vcc
- Output protection (SC protection, overload ...)





Main features:

- Power output: lighting, shutters and blinds, HVAC
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Objective

Implement the MPC algorithm on the Roombox \rightarrow

- To study the real-time implementation
- To identify the main related issues

Validation

 \rightarrow Virtual signals sent via the ethernet port (measures and forecast)









Conditions

- Prediction horizon 12 h.
- sampling period 2 min.
- zone: $n_u = 6$, $n_y = 3$
- GLPK (GNU MILP solver)





Results

- ${l \bullet}~\approx$ 6 (s) / iteration
- 8.2 % of memory usage
- Able to run more than one thread of the algo. on one Roombox





Distributed Model Predictive control for energy management in buildings



MPC for energy management in buildings

2

Zone Model Predictive Control



Distributed Model Predictive Control

- Problem presentation
- Distributed MPC design

4 Conclusion



Building control layers

Decomposition approach

Objective

Coordinate the energy layer and the zone layer \rightarrow Manage resource coupling constraints



Building control layers

Decomposition approach

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Building control layers

Decomposition approach

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Coordinate the energy layer and the zone layer \rightarrow Manage resource coupling constraints



Problem presentation

Handling coupling resource constraints



Objectives:

Power limitation constraint on the whole building cons.

$\mathbf{p}_b^+ + \sum_{\ell \in \mathbf{Z}} \mathbf{p}_\ell \leq \overline{\mathbf{P}}_{\mathbf{g}}$

Manage the storage capability (elec. battery)

Handling coupling resource constraints



Objectives:

• Power limitation constraint on the whole building cons.

$$\mathbf{p}_{\mathcal{b}}^{+} + \sum_{\ell \in \mathbf{Z}} \mathbf{p}_{\ell} \leq \overline{\mathbf{P}}_{\mathbf{g}}$$

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Handling coupling resource constraints



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• Manage the storage capability (elec. battery)

Slight modifications ...

Each zone controller controls local variables

 $\begin{array}{ll} \mbox{MPC}_\ell & : \underset{\underline{z}_\ell \leq z_\ell \leq \overline{z}_\ell}{\mbox{Minimize } L_\ell \cdot z_\ell} \\ \mbox{Subject To:} \\ \mbox{A}_\ell \cdot z_\ell \leq b_\ell \end{array}$



Slight modifications ...

Each zone controller controls local variables while meeting local constraints on resources:

$$\begin{split} \mathsf{MPC}_\ell(\mathbf{r}_\ell) &: \underset{\underline{z}_\ell \leq z_\ell \leq \overline{z}_\ell}{\text{Minimize }} \mathbf{L}_\ell \cdot \mathbf{z}_\ell\\ \text{Subject To:} &\\ \mathbf{A}_\ell \cdot \mathbf{z}_\ell \leq \mathbf{b}_\ell\\ \mathbf{A}'_\ell \cdot \mathbf{z}_\ell \leq \mathbf{r}_\ell \end{split}$$



Slight modifications ...

Each zone controller controls local variables while meeting local constraints on resources:

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One gets:

 $(J_\ell, g_\ell) \gets \mathsf{MPC}_\ell(r_\ell)$

• $J_{\ell} := J_{\ell}(\mathbf{r}_{\ell})$: optimal value • $g_{\ell} := g_{\ell}(\mathbf{r}_{\ell})$: sub-gradient at \mathbf{r}_{ℓ}



Slight modifications ...

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The coordination layer

At the coordination layer, the problem is the following: How to affect optimally resource profiles $r := {r_{\ell}}_{\ell \in Z}$ to minimize the total cost function?



The coordination layer

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 \rightarrow Solve the master problem:



The coordination layer

At the coordination layer, the problem is the following: How to affect optimally resource profiles $\mathbf{r} := {\mathbf{r}_{\ell}}_{\ell \in \mathbf{Z}}$ to minimize the total cost function?

\rightarrow Solve the master problem:



problem: $\rightarrow J_{\ell}$ are not available !

The coordination layer

At the coordination layer, the problem is the following: How to affect optimally resource profiles $\mathbf{r} := {\mathbf{r}_{\ell}}_{\ell \in \mathbf{Z}}$ to minimize the total cost function?

\rightarrow Solve the master problem:



problem:

- $\rightarrow J_{\ell}$ are not available !
- \rightarrow built-up approximations of $J_{\ell} \rightarrow$ Bundle method

The bundle method

- The coordinator affects local resources
- Each zones gives:
 - The value of the cost function $J_{\ell}(\mathbf{r}_{\ell})$
 - A sub-gradient $g_{\ell}(\mathbf{r}_{\ell})$ (sensitivity)



The bundle method

Cutting plane approximation

Unknown at the coordination layer



The bundle method

Cutting plane approximation








Distributed MPC design

The bundle method





Distributed MPC scheme

- Process of decision is distributed among several agents
- The coordinator manages only the shared resources
- A restricted number of negotiation iterations is allowed



Now Distribute the optimization problem solving over time

Distributed MPC scheme

- Process of decision is distributed among several agents
- The coordinator manages only the shared resources
- A restricted number of negotiation iterations is allowed



Now Distribute the optimization problem solving over time

The memory mechanism

The idea is simply to keep a certain part of the information (approximation) from one decision instant to next one...



The memory mechanism

The idea is simply to keep a certain part of the information (approximation) from one decision instant to next one...



The memory mechanism

The idea is simply to keep a certain part of the information (approximation) from one decision instant to next one...



The memory mechanism

The idea is simply to keep a certain part of the information (approximation) from one decision instant to next one...



...by introducing a memory factor.

Illustration

• $J_{\ell}^{(s_{max})}$ is given at decision instant k-1



Illustration

► Decrease it (memory factor $m_{\ell,k}$)



Illustration

► First iteration (exchange between zone layer and coordinator)



Illustration

► Iterate (exchanges between zone layer and coordinator)



Illustration

 \blacktriangleright One gets the latest approximation at decision instant k



Illustration

► And so on ...



DMPC simulation





Effect of the memory mechanism

Achieve better solutions faster with memory !



Distributed MPC design

Distributed Model Predictive Control

Other features

Handling shared variables



Distributed MPC design

Distributed Model Predictive Control

Other features

- Handling shared variables
- Including local production



Distributed Model Predictive control for energy management in buildings



- Zone Model Predictive Control
- 3 Distributed Model Predictive Control

4 Conclusion



Summary

Zone MPC design (Bilinear model, MIMO)

- generic framework
- energy savings
- Moderate computational burden
- Real-time implementation



Summary

Zone MPC design (Bilinear model, MIMO)

- generic framework
- energy savings
- Moderate computational burden
- Real-time implementation
- Build a distributed solution based on local controllers
 - Handle global power limitations (multi-sources)
 - Handle storage equipment
 - Manage shared actuators
 - Distributed-in-time optimization



Benefits

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- \bullet Modular \rightarrow scalable, maintenance concerns
- Represents a good answer for smart-grid connectivity

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Issues

- Availability of the model of the building
- Availability of forecast
- Much more computationally demanding

For future ...

Projects

- First MPC prototype in North-Andover (USA) starting in few weeks

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but also ...

- Deployment tools for large scale penetration
- MPC commissioning
- Code certification for large deployment

Acknowledgement



http://www.homesprogramme.com

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Publications I

Conferences

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Publications

Publications II

 P. Béguery, M. Y. Lamoudi, O. Cottet, O. Jung, N. Couillaud, and D. Destruel. Simulation of smart buildings HOMES pilot sites. In <u>12th</u> International IBPSA Conference, Sydney, Australia, 2011.

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Schneider-Electric white papers

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Thank you for your attention Questions ?