

## Potential roles of TFPI in both thrombotic and hemorrhagic diseases

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## Université Jean Monnet - Saint Etienne

#### **Thèse**

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par

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Née le 30 mars 1961 à Saint Etienne (Loire)

## **Titre**

# Potential roles of TFPI in both thrombotic and hemorrhagic diseases

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Professeur Patrick Mismetti

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I greatly esteem his boundless energy, his creativity, his well-known generosity towards patients and students, and his unfailing readiness to help. He has been (and is still) at the head of numerous projects that he has unfailingly led to the end. He is always very enthusiastic about new projects. He has the ability to unite many people around a single project and help them achieve the goal set. His positive spirit is of inestimable value to our research group, in which he plays a major role.

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## Résumé

L'Inhibiteur de la Voie du Facteur Tissulaire (TFPI) est une protéine régulatrice des phénomènes de coagulation intervenant à la phase initiale de la cascade. Il inhibe tout d'abord le facteur Xa puis ce complexe TFPI-Xa vient ensuite inactiver le complexe FT- VIIa. Récemment il a été montré que la Protéine S a aussi une fonction de cofacteur dans l'activité du TFPI.

Un déficit homozygote en TFPI n'est pas compatible avec la vie et l'importance physiologique de cet inhibiteur a été montrée sur des modèles animaux. Cependant, il n'a pas été rapporté de cas de patient présentant un déficit constitutionnel en TFPI responsable de thrombose. Il n'a pas non plus été identifié de polymorphismes sur le gêne du TFPI pouvant être responsables d'une augmentation du risque de thrombose. Le TFPI étant en grande partie fixé sur l'endothélium vasculaire, il avait été émis l'hypothèse que cette fraction pourrait être pour certains patients impliquée dans l'augmentation du risque de thrombose.

Trois études, dont une conduite par notre équipe, ont étudié cette fraction de TFPI après avoir induit son « relargage » par une injection d'héparine. Il n'a pas pu être établi de manière formelle de lien entre un défaut de « relargage » de TFPI et le risque de thrombose veineuse.

Nous avons émis l'hypothèse que cette voie pourrait être mise en défaut non pas à cause d'une anomalie sur la molécule de TFPI elle-même mais plutôt sur une des protéines qu'il doit inhiber (VIIa ou Xa). Suivant le même raisonnement que celui qui avait été mené pour la résistance à la protéine C activée liée à la présence d'une anomalie sur le facteur V (V Leiden), nous avons émis l'hypothèse qu'il pourrait exister une résistance au TFPI liée à une anomalie moléculaire sur le VIIa ou le Xa.

### 1) Résistance au TFPI et thrombose veineuse

Nous avons donc mis au point une technique de mesure de la sensibilité du plasma à l'activité anticoagulante du TFPI basée sur un temps de prothrombine dilué (dPT). Ce temps est mesuré sur du plasma en présence ou en l'absence d'une quantité fixe de TFPI. Le ratio dPT + TFPI / dPT est mesuré sur les plasmas patients et sur les plasmas contrôles ainsi que sur un plasma de référence inclus dans chaque série de dosage. Un ratio dit normalisé (ratio patient ou contrôle /ratio de référence) est ensuite calculé et appelé TFPI Ratio Normalisé (TFPI NR).

Dans une première étude, cette technique était réalisée en un temps. Les résultats avaient permis de suspecter la présence d'une résistance constitutionnelle au TFPI. En effet, le TFPI NR était inférieur à 0.85 chez 13/118 patients et chez seulement 5/107 contrôles. L'Odd Ratio (OR) pour une faible réponse au TFPI (95 % Intervalle de confiance) était à 2.9 (1.18 – 7.8); p= 0.025). Cependant cette technique manquait de pouvoir discriminant entre normal et pathologique. En effet lors des contrôles, les patients étaient tantôt trouvés résistants tantôt non résistants.

Nous avons alors modifié cette technique, dans une deuxième étude, en faisant une mesure en 2 temps et en utilisant 2 concentrations de TFPI, partant du principe qu'un plasma résistant à une faible concentration de TFPI (62ng/ml) devrait rester résistant à une plus forte concentration de TFPI (125 ng/ml).

Nous avons donc appliqué cette technique sur une nouvelle série de 131 patients et de 219 contrôles appariés en âge et sur le sexe. En présence de 62 ng/ml de TFPI, douze patients et neuf contrôles présentaient une résistance au TFPI définie par un TFPI NR 62 inférieur à 0.75. En présence de 125 ng/ml de TFPI, treize patients et trois contrôles présentaient une résistance au TFPI définie par un TFPI NR 125 inférieur à 0.65. Les OR pour une faible réponse au TFPI NR 125 étaient meilleurs (9.7 (2.7-35.0) p ≤0.0001) que les OR pour une faible réponse au TFPI NR 62 (2.9 (1.2- 7.1) p = 0.02). A ce stade de la recherche nous pensions avoir mis en évidence une nouvelle anomalie biologique responsable de thrombose veineuse.

Tous les patients qui présentaient un ratio normalisé en dessous des seuils par une des 2 techniques mais aussi les patients qui présentaient un ratio proche de la valeur cut-off ont été recontactés pour un deuxième prélèvement. Dans cette série 29 patients ont été contrôlés en même temps que 29 contrôles appariés sur l'âge et le sexe. Sur les premiers OR, le TFPI NR 125 étant considéré comme plus sensible pour le dépistage d'une résistance au TFPI, c'est ce ratio qui a été mesuré sur le deuxième prélèvement. Hormis 2 patientes pour lesquelles nous avons retrouvé un TFPI RN 125 à la limite du cut-off (et qui avaient une PS activité à la limite inférieure de la normale), toutes autres valeurs contrôles du TFPI NR 125 étaient supérieures à 0.65. Les valeurs anormales de NR TFPI 125 obtenues sur le premier prélèvement étaient très probablement liées au non respect des conditions préanalytiques très rigoureuses pour cette technique. Nous nous sommes assurés que ces conditions ont été très strictement respectées sur le deuxième échantillon. Ce sont donc ces valeurs contrôles obtenues dans le même temps chez les patients et les sujets contrôles qui ont été gardées. En clair, cette pseudo résistance au TFPI ne correspondait pas à une anomalie constitutionnelle du VII ou du X mais plutôt à un artéfact lié au non respect des

conditions pré-analytiques très strictes pour cette technique (un plasma dépourvu de plaquettes et de FT étant nécessaire à la fiabilité des résultats).

Une fois encore ces résultats plutôt décevants viennent mettre en doute la responsabilité du TFPI dans la thrombose veineuse.

Plusieurs travaux ont récemment montré *in vitro* le lien étroit entre protéine S (PS) et TFPI. Leur taux varie dans le même sens mais surtout la PS joue un rôle de cofacteur dans l'activité TFPI; cela a très bien été démontré avec le test de génération de thrombine.

## 2) Déficit en protéine S et résistance au TFPI

Nous avons voulu montré *in vivo* que la PS avait bien une activité cofacteur de l'activité TFPI par le test de résistance au TFPI. Trente et un patients qui présentaient un déficit héréditaires en protéine S et 7 patientes qui présentaient un déficit acquis en PS (en rapport avec la grossesse) ont été inclus dans cette étude. Tous les patients déficitaires en PS avaient un TFPI NR en dessous de la valeur seuil déterminé chez un groupe contrôle correspondant donc à une résistance à la protéine S. Cette résistance qui était mise en évidence pour les femmes enceintes disparaissait après l'accouchement en même temps que se normalisait la protéine S. Nous avons montré une très bonne corrélation (R²= 0.264; p= 0.003) entre les taux de protéine S en dessous des valeurs normales et le TFPI NR démontrant ex vivo que l'activité TFPI dépend des valeurs de l'activité protéine S lorsqu'elle est inférieure à 60 %. En effet, lorsque la PS est normale, cette corrélation n'existe plus (R²= 0.005; p= 0.66). Cette corrélation PS et TFPI NR a aussi été montrée in vitro pour des valeurs de PS inférieures à 60 %.

La grossesse représente un état d'hypercoagulabilité au cours duquel, entre autres modifications, on peut observer une augmentation du facteur tissulaire et une diminution de la protéine S. Les grossesses peuvent se compliquer de pathologies vasculaires placentaires et aussi de thrombose veineuse. Nous avons voulu étudier si le degré de résistance au TFPI ou si le TFPI lui-même pouvaient être utilisés pour identifier les patientes à risques de développer ce type de complications.

## 3) Implication du TFPI dans les pathologies vasculaires de la grossesse.

Cette étude est une étude ancillaire de l'étude ANGIOPRED dans laquelle ont été inclues des femmes à la 20 ème semaine d'aménnorhée. Ces femmes avaient soit un antécédent de pathologies foeto-placentaires, soit un antécédent de thrombose veineuse, soit un facteur de risque biologique ou clinique de ces deux pathologies.

Les patientes inclues (n = 72) dans notre étude étaient celles pour lesquelles avaient été réalisés tous les prélèvements prévus pendant la grossesse (20 SA, 24 SA, 28 SA, 32, 36 SA) et 6 semaines (au moins) après l'accouchement.

Quinze patientes ont présenté une complication vasculaire de la grossesse. Cinquante sept patientes n'ont présenté aucune complication.

Dès la 20<sup>ème</sup> SA et pendant toute la grossesse les patientes gardent un TFPI NR inférieur à la valeur cut-off définie chez des contrôles et inférieur aux valeurs obtenues à distance de l'accouchement. Nous confirmons ainsi une résistance au TFPI dès la 20<sup>ème</sup> semaine de grossesse qui persiste pendant toute la grossesse et disparait après l'accouchement confirmant ainsi les résultats de la précédente étude. Nous n'avons cependant pas mis en évidence de différence de TFPI NR entre les patientes ayant présenté une complication vasculaire et les autres sans complication. Le TFPI NR ne peut donc pas être utilisé comme marqueur de risque de survenue d'une pathologie vasculaire pendant la grossesse.

Les patientes, qui ont présenté une complication vasculaire, avaient des taux de TFPI activité statistiquement plus élevés que les patientes sans complications vasculaires et ceci à partir de la 24<sup>ème</sup> semaine d'aménorrhée et pendant toute la grossesse ; cela confirme les résultats déjà décrits dans la littérature.

## 4) Relation entre Lp(a) et TFPI

Il a été monté que des taux élevés de Lp(a) étaient un facteur de risque indépendant de maladies cardio-vasculaires et qu'il existait une corrélation entre le taux de Lp(a) et la sévérité de ces maladies. Un taux de Lp(a) élevé est défini comme étant supérieur à 0.3 g/l. Ni les traitements hypolipémiants, ni l'exercice physique ne semble pouvoir faire diminuer les taux de Lp(a). Compte tenu de l'homologie de structure qu'il y a entre l'apo (a) et le plasminogène, une Lp(a) élevée pourrait être responsable d'une altération de la fribrinolyse. Par ailleurs il a été montré in vitro que la Lp(a) pourrait inhiber l'activité TFPI. Deux études cliniques ont rapporté une efficacité de l'aspirine dans la réduction des taux de Lp(a) tout particulièrement pour des taux de Lp(a) élevés. Il a été montré in vitro sur des cultures d'hépatocytes humains que cet effet de l'aspirine pourrait être expliqué par une diminution de la synthèse d'Apo (a) par suppressionde la transcription du gene de l'Apo (a).

L'objectif de notre étude était de rechercher chez des patients coronariens obèses un effet inhibiteur de la Lp(a) sur l'activité TFPI in vivo et de vérifier l'effet de l'aspirine sur la diminution des taux de Lp(a). L'effet de l'aspirine ,s'il existait, pouvant alors s'accompagner d'une restauration de l'activité TFPI par l'intermédiaire d'une normalisation du taux de Lp(a).

Dans cette étude 28 patients obèses ayant présenté une douleur angineuse ont été inclus. Tous les patients ont eu soit une coronarographie soit un angio-scanner coronaire. Pour chaque patient une mesure de Lp(a), de TFPI activité et de TFPI libre était réalisée à l'inclusion et 1 mois après un traitement par aspirine (100 à 150 mg/jour).

Sept patients avaient des coronaires saines et quatre d'entre eux avaient une Lp(a) normale. Ces quatre patients ont donc été sortis de l'étude. Parmi les 21 patients qui présentaient des lésions coronaires, quinze avaient une Lp(a) inférieure à 0.3 g/L et cinq une Lp(a) supérieur à 0.3 g/L, un patient a été perdu de vue.

Les patients avec Lp(a)  $\geq 0.3$ g/L ont lors la première visite des taux de TFPI libre plus bas (median : 13.3 ± 2.6 ng/L) que les patients qui ont une Lp(a)  $\leq 0.3$  g/L( median :18.0 ±4.5 ng/mL), cette différence étant statistiquement significative (p= 0.04). Quand toutes les valeurs de Lp(a) étaient prises en compte il y avait seulement une corrélation faible entre le taux de TFPI libre et le taux de Lp(a). Cependant, lorsque l'on prenait en compte seulement les valeurs de Lp(a)  $\geq 0.3$ g/L, on mettait en évidence une relation statistiquement significative entre TFPI libre et Lp(a) (R2 = 0.34 ; p = 0.018). Cependant nous n'avons pas mis en évidence de différence d'activité TFPI entre les patients avec Lp(a)  $\geq 0.3$ g/L et les patients avec Lp(a)  $\leq 0.3$  g/L. Par ailleurs nous n'avons pas mis en évidence de diminution des taux de Lp(a) sous aspirine que ce soit sur l'ensemble des patients traités par aspirine ou sur le groupe de patients qui avaient un Lp(a) élevée ( $\geq 0.3$ g/L).

Entre la première et la deuxième visite on ne retrouve pas non plus de différence entre les taux de TFPI libre. Une différence statistiquement significative est retrouvée entre ces 2 prélèvements pour l'activité TFPI (visite 1 : médiane TFPI activité :  $107\% \pm 16\%$ ; visite 2: médiane TFPI activité :  $98\% \pm 18\%$ , p = 0.006), cette différence n'ayant cependant aucune pertinence clinique.

En conclusion, cette étude montre que, pour les patients qui ont des taux de Lp(a)  $\geq 0.3$ g/L, les taux de TFPI libre sont plus bas que pour les patients qui ont des taux de Lp(a)  $\leq 0.3$  g/L. Nous ne trouvons cependant pas de diminution de l'activité TFPI dans ces 2 groupes de patients. Par ailleurs nous n'avons pas mis en évidence de diminution de la Lp(a) sous aspirine ni sur l'ensemble des patients ni sur le groupe de patients avec Lp(a)  $\geq 0.3$ g/L.

Nous n'avons pas fait la preuve de la responsabilité du TFPI dans la survenue des thromboses veineuses et artérielles que nous avons étudiées. Tout au plus, une anomalie sur l'activité TFPI ou sur la résistance au TFPI pourraient être utilisée comme marqueur de risque de thrombose.

L'importance du TFPI ne devrait elle donc pas être plutôt évaluée sur le versant de l'hémorragie ?

## 5) TFPI et hémorragie

Il a été monté que les manifestations hémorragiques chez les hémophiles sont liées d'une part au déficit en facteur VIII ou IX mais aussi à la présence du TFPI qui d'une part inhibe le Xa et d'autre part la production de Xa en inhibant le complexe FT-VIIA. L'importance du TFPI dans les manifestations hémorragiques a été démontrée depuis longtemps chez les lapins hémophiles. Plus récemment, l'importance du TFPI intraplaquettaire dans les manifestations hémorragiques des souris hémophiles a été démontrée. Il est par ailleurs admis que les hémophiles B saignent moins que les hémophiles A.

## <u>Taux de TFPI libre chez les patients hémophiles A et B</u>

Trente patients hémophiles A et 21 patients hémophiles B ont été inclus dans cette étude. Age moyen : 29 ans pour les hémophiles A, 34 ans pour les hémophiles B. Le taux de TFPI libre était plus bas chez les hémophiles B (8.84 ng/mL) que chez les hémophiles A (12.0 ng/ mL; p = 0.0001) tous niveaux de gravité confondus. Cette différence était aussi retrouvée en fonction de stades de gravité différents. C'est-à-dire : dans le groupe d'hémophiles sévères le TFPI libre était plus bas pour hémophiles B que pour hémophiles A et les mêmes résultats étaient obtenus dans le groupe hémophiles modérés et mineurs. Nos résultats montrent que la différence des manifestations hémorragiques entre hémophiles A et B pourrait bien être liée à une différence du taux de TFPI libre entre les deux types d'hémophilie.

Nous conduisons actuellement une étude nationale multicentrique dont le but est de préciser le rôle du TFPI dans les manifestations hémorragiques des patients hémophiles et d'évaluer l'influence du TFPI sur les différents paramètres de la génération de thrombine.

### • TFPI et génération de thrombine chez les hémophiles.

Les résultats de cette étude en cours ne sont donnés que pour les 21 patients inclus au CHU de St etienne.

Nous avons montré lorsque la génération de thrombine est mesurée en présence d'une faible concentration de FT et en plasma riche en plaquettes (PRP) que chez les hémophiles A il existe une forte corrélation entre les différents paramètres de la génération de thrombine et le TFPI libre. Nous avons aussi montré que le temps de latence est très sensible au TFPI libre quelque soit le type d'hémophilie et quelque soit la sévérité de la maladie. Nous avons par ailleurs étudié l'effet d'un anticorps anti TFPI humain (à usage in vitro seulement) sur la correction de la génération de thrombine. Cette correction est dose

dépendante et complète à la concentration de l'anti TFPI de 1.0 à 1.5 μg/ml et atteint ensuite un plateau.

Plus la génération de thrombine est altérée, meilleur est l'effet de l'anticorps sur sa correction, laissant penser que ce traitement pourrait avoir un intérêt tout particulier pour les hémophiles sévères. Nous avons aussi montré que, compte tenu de l'importance du TFPI intra plaquettaire, il est d'une importance capitale chez les hémophiles d'évaluer la génération de thrombine et sa correction par l'anticorps sur du plasma riche en plaquettes et non pas sur du plasma pauvre en plaquettes.

En conclusion: les études visant à rechercher une implication du TFPI dans la thrombose veineuse sont plutôt décevantes. Par contre, le TFPI semble jouer un rôle non négligeable dan les manifestations hémorragiques chez les patients hémophiles. La neutralisation du TFPI représente une approche thérapeutique très séduisante pour le traitement (prophylactique au moins) des patients hémophiles.

## Potential roles of TFPI in both thrombotic and hemorrhagic diseases

## **Abstract**

TFPI is a multivalent Kunitz-type proteinase inhibitor that directly inhibits FXa and produces FXa-dependent feedback inhibition of the FVIIa-TF complex. It was recently demonstrated that Protein S (PS) plays the role of TFPI cofactor by enhancing the TFPI inhibition of factor Xa in vivo. Approximately 80% of plasma TFPI circulates as a complex with plasma lipoproteins, about 5-20% circulating as free TFPI. Under quiescent conditions, approximately 50-80% of intravascular TFPI is stored in association with the endothelium. Full-length TFPI α carried in platelets constitutes 8-10% of the total amount of TFPI in the blood, corresponding to a quantity comparable to that of soluble full-length TFPI  $\alpha$  in the plasma. We searched for resistance to TFPI activity in patients who presented idiopathic venous thrombosis at a young age. Plasma sensitivity to TFPI was evaluated on the basis of diluted prothrombin time (dPT) measured in patients and in control plasma in the presence (W) and absence (Wo) of exogenous TFPI. At the same time, dPT was measured on a reference plasma to establish a normalized ratio termed TFPI NR and defined as (dPT wTFPI/ dPT Wo TFPI) patient or control / (dPT wTFPI/ dPT Wo TFPI) reference plasma. In an initial study, we found that TFPI resistance could be considered as a new coagulation abnormality that could be related to unexplained thrombosis. In a second study, we failed to demonstrate a role of TFPI resistance in patients with venous thrombosis, abnormal TFPI NR being more likely related to the non-respect of preanalytical conditions rather than to an inherited trait. However, in another study, we showed that inherited or acquired PS deficiency was responsible for a TFPI resistance, providing an ex vivo demonstration that PS is the cofactor of TFPI activity. We showed that this TFPI resistance existed throughout pregnancy and that it disappeared when PS returned to normal values after delivery. We evaluated this TFPI resistance as a possible marker of the risk of a gestational vascular complication (GVC) in 72 patients at risk of developing a GVC. TFPI NR did not differ between GVC+ patients (n =15) and GVC- patients (n = 57). High levels of Lipoprotein(a) (Lp(a) have been shown to be an independent risk factor for cardiovascular disease, lowering of these levels not being achievable by any treatment except possibly aspirin. An in vitro study showed that TFPI activity could be inhibited by Lp(a). We did not confirm this TFPI inhibition in vivo in 20 obese patients with coronary insufficiency who had either normal Lp(a) levels ( $\leq 0.3$  g/L; n = 15) or high Lp(a) levels (≥ 0.3 g/L; n = 5). Moreover, we found no effect of aspirin treatment on Lp(a) whatever the initial level of Lp(a). Haemophilia B patients bleed less than haemophilia A patients. We showed that this difference in bleeding profile could be explained by lower free TFPI levels in haemophilia B patients compared to haemophilia A patients. In an ongoing study, we showed that in haemophilia A patients there was a strong correlation between the different parameters of thrombin generation (TG) and free TFPI. We also showed, in a TG assay performed in platelet-rich plasma (PRP) with a low TF concentration, that LT was sensitive to free TFPI levels whatever the type of haemophilia and whatever the severity of the disease. We demonstrated that blocking TFPI by an anti-TFPI Antibody (Ab) allows complete correction of the TG profile in PRP. We showed that it is of major importance to perform a TG assay in PRP in order to evaluate the efficacy of anti-TFPI Ab in correcting TG parameters in haemophilia patients.

## Mots clés

TFPI, Inhibiteur de la voie du facteur tissulaire, thrombose veineuse, hémorragie, résistance au TFPI, déficit en protéine S, génération de thrombine, obésité, Lp(a).

## **Keywords**

Tissue factor pathway inhibitor, venous and arterial thrombosis, bleeding, TFPI resistance, protein S deficiency, haemophilia, thrombin generation, obesity, lipoprotein (a), gestational vascular complications.

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## **Abbreviations**

AD: After delivery

AW: Amenorrhea week

CI: Confidence interval

CPP: Comité de Protection des Personnes

CAD: coronary artery disease

CVD: Cardio vascular disease

dPT :diluted prothrombin time

**DVT**: Deep Venous Thrombosis

GVC: Gestational vascular complications

IC: ischemic stroke

LMWH: Low molecular weight heparin

Lp(a): Lipoprotein (a)

ND: Not determined

OR: Odd ratio

PE: Pulmonary Embolism

PS: Protein S

SNPs: Single nucleotide polymorphism

SVT: superficial venous thrombosis

TFPI: Tissue Factor Pathway Inhibitor

TFPI NR: TFPI Normalized Ratio

UFH: Unfractionated heparin

VTE: venous thrombo-embolism

## Introduction

Although the presence of the inhibitor at the initiation of the extrinsic blood coagulation pathway in plasma has long been known, Broze isolated the inhibitor from a hepatoma cell line in 1987. The determination of the amino acid sequence of this inhibitor was finally reported in 1988 by Broze and his group, who designated it a lipoprotein-associated coagulation inhibitor (LACI). Rapaport and his group have published many articles on the inhibitor, which was named an extrinsic pathway inhibitor (EPI). To resolve the confusing situation in which reports from many laboratories used two different names for the same entity, a meeting at the International Society on Thrombosis and Haemostasis held at Amsterdam in 1991 recommended the name tissue factor pathway inhibitor (TFPI). After the discovery of TFPI, another inhibitor with three tandem Kunitz-type inhibitor domains was isolated and named TFPI-2. The first TFPI was then renamed TFPI-1. However, TFPI-2 is not an inhibitor of the initiation of the tissue factor pathway. Currently, TFPI-2 is thought most likely to have completely different functions from those of TFPI-1.

In this study, we have been interested in TFPI 1 that we will call TFPI.

**TFPI gene expression:** The human TFPI gene (*TFPI*) consists of 9 exons and 8 introns that span 70 kb on the long arm of chromosome 2 (q32) (Girard et al 1991; van der Logt et al 1991). Upregulators of TFPI expression in vitro that might be of physiological significance include endotoxin, interleukin, tumor necrosis factor, platelet-derived growth factor, basic fibroblast growth factor, and elevated shear stress (Caplice 1998; Ameri 1992; Westmuckett 2000).

**Structure:** Three alternatively transcribed isoforms of TFPI have been identified (Broze et al 2012). TFPI  $\alpha$  is the originally isolated form of TFPI. It contains three Kunitz-type proteinase inhibitor domains. After a 24 or 28 amino acid signal peptide, the mature protein has 276 residues (32 KDa) and contains an acidic aminoterminal region followed by 3 tandem Kunitz-type protease inhibitory domains and a basic carboxyterminal region. TFPI  $\beta$  lacks the Kunitz 3 and basic carboxyterminal domains of TFPI  $\alpha$  and in their place contains a 42 amino acid carboxyterminal sequence inserted following residue 181 of TFPI  $\alpha$  (Piro et al 2005). The kunitz 3 domain lacks proteinase inhibitory activity (Petersen et al 1996). TFPI  $\delta$  lacks the Kunitz 3. It corresponds to a truncated form of TFPI with the insertion of a new 12 amino acid C- terminus at residue 151 following the Kunitz 2 domain of TFPI $\alpha$ 

The anticoagulant role of TFPI: TFPI is a multivalent Kunitz-type proteinase inhibitor that directly inhibits FXa and produces FXa-dependent feedback inhibition of the FVIIa-TF complex. In the final quaternary inhibitory complex containing FXa, TFPI, FVIIa and TF, the Kunitz-2 domain of TFPI interacts with FXa and the Kunitz-1 domain interacts with FVIIa. The formation of this complex is frequently described as a two-step process in which TFPI binds to FXa, and the FXa-TFPI complex subsequently binds to FVIIa-TF (Baugh RJ 1998). Recently it has been demonstrated that Protein S (PS) plays the role of TFPI cofactor by enhancing the TFPI inhibition of factor Xa (Hackeng et al 2006; Ndonwi et al 2010). The anticoagulant activity of the TFPI/protein S system on thrombin generation is particularly observed at low tissue factor concentrations (Seré et al 2004). When thrombin generation is initiated with 1 pM TF in TFPI-depleted plasma, thrombin generation is increased and lag time decreased because of the lack of TFPI. However, anti-protein S antibodies had no effect on thrombin generation, indicating that the expression of APC-independent anticoagulant activity of protein S in plasma requires the presence of full length TFPI. TFPI cofactor activity is restricted to full length TFPI only, as protein S fails to stimulate truncated TFPI that lacks the K3 domain and the C-terminus (Hackeng et al 2006). Protein S binds to the K3 domain of TFPI (Ndonwi et al 2010). The identification of protein S as a cofactor for TFPI explains why TFPI is such an important inhibitor despite its low physiological concentration of 0.25 nM in plasma (Sandset et al 1991).

When TF-concentrations are high (at 14 pM TF), the TFPI/protein S system is unable to inhibit thrombin generation (Castoldi et al 2008). The fact that TFPI is unable to regulate thrombin generation at high TF concentrations is likely caused by TFPI slow acting inhibitory mechanism. At high TF concentrations the rate of FXa formation occurs at such a rapid rate that before appreciable inhibition of TF-FVIIa-FXa by TFPI occurs, the amounts of FXa formed are already sufficient for optimal thrombin generation (Broze et al 1990). However, when coagulation is slowed down at high TF concentration by APC, TFPI/protein S is able to regulate FX activation, very effectively inhibiting the residual thrombin generation (Peraramelli et al 2012). As TFPI/protein S regulates thrombin generation only at low procoagulant stimuli, TFPI/protein S is most effective as a first barrier against procoagulant events induced by low tissue factor exposure to the circulation. Protein S and TFPI levels covariate in normal individuals and in hereditary as well as acquired states of protein S deficiency (Dahm et al 2008; Castoldi et al 2010).TFPI and protein S form a complex in plasma (Castoldi et al 2010). It was proposed that binding to protein S protects TFPI against proteolysis in the lysine-rich C-terminus of TFPI, preventing truncation and inactivation of TFPI.

Plasma TFPI: The mean plasma TFPI concentration in normal individuals is 70 ng/mL (1,6 nM) Dahm et al 2003. *In vivo*, approximately 80% of plasma TFPI circulates as a complex with plasma lipoproteins, low density lipoprotein (LDL), high-density lipoprotein (HDL) and very low-density lipoprotein (VLDL) (Broze et al 1995). About 5–20% of plasma TFPI circulates free, uncomplexed (Sandset et al 1991) containing both full-length and C-terminal truncated forms [Broze et al 1994]. The most predominant forms of plasma TFPI have a molecular weight of 34–41 kDa; [Broze et al 1995]. These predominant forms appear to afford protection against thrombosis (Day et al 1990; Holst et al 1993). Lipoprotein-associated TFPI has less anticoagulant effect than the free TFPI (Lindahl et al 1992).

**Différent cellular pools:** The vascular endothelium is the dominant source of circulating TFPI (Bajaj et al 1990; Novotny et al 1991). TFPI is also expressed in megakaryocytes and platelets (Novotny et al 1988, Maroney el al 2007), in vascular smooth muscle (Caplice et al 1998), in monocytes/macrophages (van der Logt et al 1994) and in cardiomyocytes (Kereveur et al 2001). In addition, TFPI has been detected in macrophages and T cells in atherosclerotic lesions (Crawley et al 2000).

TFPI bound to the endothelial surface: Under quiescent conditions, approximately 50–80% of intravascular TFPI is stored in association with the endothelium (Sandset 1996) and it has been localized to the cell surface, the Golgi apparatus, and to the endocytic compartment of the endothelial cells (Hansen 1997). TFPI associated with the cell surface of the endothelial cells may act as a direct vessel-wall anticoagulant (Grabowski et al 1993). Surface-bound TFPI may also have an indirect anticoagulant impact by promoting internalization and degradation of activated coagulation factors such as factor Xa (Ho et al 1996) and TF/factor VIIa complexes (Sevinsky et al 1996). TFPI is bound to the endothelial surface through an electrostatic interaction between the positively charged carboxy terminus of the TFPI molecule and negatively charged glycosaminoglycans (GAGs), i.e. heparan sulphate, at the endothelial surface (Valentin 1994). Simultaneous addition of heparin with full-length TFPI inhibits the binding of TFPI to the cell surface in a dose dependent manner (Iversen et al 1996).

Release of TFPI Heparin, administration in vivo is known to cause a several-fold increase in plasma TFPI (Sandset et al 1988). The form of TFPI that is released appears to be full length TFPI  $\alpha$  (Novotny et al 1991). Since the *ex vivo* addition of heparin to blood or plasma does not change the TFPI concentration, the *in vivo* effect of heparin appears to be

mediated by the releaseof TFPI from intracellular or extracellular storage sites. The source of this additional TFPIis thought to be the endothelium, where TFPI binds to heparan sulfate or to other glycosaminoglycans at the endothelial surface (Golino et al 2002). In cells culture the release of TFPI induced acutely by heparin, thrombin or shear stress appears to involve the redistribution of TFPI from stores located near the plasma membrane to the cell surface with subsequent release into the media of a portion of the total cellular TFPI. During this process, the TFPI available at the surface of the cells remains unchanged or increases (Broze et al 2012).

Platelets TFPI corresponds to the  $\alpha$  isoform. Novotny and all first showed that stimulation with thrombin or the calcium ionophore A23187 caused human platelets to release TFPI (Novotny et al 1988). TFPI is produced by megakaryocytes but retained intracellulerly in quiescent platelets; TFPI is then expressed on the platelet surface following dual activation (for example convulxin plus thrombin) to produce coated platelets (Maroney et al 2007). In these "coated" platelets produced by dual agonists stimulation, a proportion of the released TFPI α remains bound and functional at the activated platelet surface. The fulllength TFPI α carried in platelets is 8-10 % of the total TFPI in blood which is comparable to the quantity of soluble full-length TFPI  $\alpha$  in plasma (Novotny et al 1988; Maroney et al 2007). Thus it is likely that platelets are a major source of full-length TFPI α, the most anticoagulant active form of TFPI at local sites of coagulation where platelets aggregate. A physiological activity of platelet TFPI is the inhibition of blood-borne TF procoagulant activity accumulating within a growing thrombus (Maroney ey al 2011) The importance of platelet TFPI has been recently elegantly demonstrated in mouse (Maroney et al 2012 ): The results from these studies further suggest that the absence of platelet TFPI may improve hemostasis in hemophilia by increasing the functional blood-borne TF activity at the site of vascular injury.

Physiological importance of TFPI: No known human homozygotic deficiencies of TFPI have been reported. Homozygotic deletion of exon 4 in mice (which encodes the Kunitz 1 domain) resulted in embryonic lethality (Huang et al 1997). Immunodepletion of TFPI dramatically lowers the threshold at which TF may trigger coagulation (Sandset 1991 a, Sandset 1991). Exogenous supplementation with different types of recombinant TFPI protects against the effects of exposure of TF to circulating blood (Bajaj et al 1997). TFPI plays an important role in the anticoagulant and antithrombotic effect of heparins (Sandset et al 2000).

Physiological variations of TFPI: There is a positive association of total TFPI levels with levels of procoagulant factors (II, V, VII, VIII, IX, X, XI) and D-dimer. (Dahm et al 2003; Zakai et al 2010). This positive association between TFPI and many procoagulant factors could suggest a physiologic feedback loop where high levels of procoagulants are countered in vivo by high levels of coagulation inhibitors. Alternatively, there could be common regulation of transcription of multiple coagulation factors including TFPI. In a normal population, plasma TFPI levels increase with age and healthy newborns have about 40–50% of normal adult levels. A slight increase in plasma TFPI levels has been reported in older men (Sakkinen et al 1998), with mean levels lower in women (Ariens et al 1995). Pregnant women in the third trimester showed slightly increased plasma TFPI levels compared to non-pregnant women (Warr et al 1989).

**TFPI and hormones:** Oestrogen (both oral contraception and hormone replacement therapy) lowers total TFPI antigen levels (Harris et al 1999; Dahm et al 2003; Hoibraaten et al 2001)

Variations of TFPI in pathology: TFPI levels were significantly raised in patients with ischaemic heart disease (IHD) compared to normal subjects and a positive correlation was observed between TF and TFPI levels in these patients (Falciani et al 1998; Morange et al 2001, Morange et al 2006). Higher concentrations of plasma TFPI were observed in postmyocardial infarction patients compared to age-matched controls. This was associated with an elevated procoagulant state that might in fact exacerbate the disease process and increase the risk of subsequent acute ischaemic events (Freeburn et al 1998). Hyperactivation of the coagulation system in IHD patients may, in part, be compensated for by TFPI, which may not be sufficient to attenuate the elevation of circulating TF (Falciani et al 1998). It has also been shown in postmenopausal women that free TFPI increases as vascular wall function deteriorates ans pulse pressure increases (Regnault et al 2011). TFPI levels were higher in Type 1 diabetic patients compared to age and gender-matched controls. TFPI levels were also high in Type 2 diabetic patients and those with impaired glucose tolerance (Leurs et al 2002; Vamberque et al 2001). TFPI levels increased significantly before or after heparin administrations in patients with cancer and levels correlated with disease progression (Lindahl et al 1991; Lindahl et al 1993).

The link between TFPI and deep venous thrombosis (DVT) remains to be demonstrated. The first part of this work will be dedicated to the topic.

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## I) TFPI and deep venous thrombosis

## 1) Antithrombotic effect of TFPI in animal models

Immunodepletion of TFPI in rabbits lowers the threshold by which tissue factor may trigger coagulation supporting the physiologic role for TFPI as a natural anticoagulant (Sandset et al 1991). Animal models of thrombosis suggested a critical role for TFPI in regulating thrombosis and that exogenous TFPI exerts an anticoagulant effect (Holst and al 1994). Recently a randomized study evaluated the efficacy of recombinant human TFPI (rhTFPI) using a rat model of micro-venous thrombosis and showed that the use of topical rhTFPI increased venous anastomotic patency rates in vivo (Ezzat et al 2010).

Because TFPI seemed to play an antithrombotic role of a primary importance as a "prima ballerina" it was expected to find TFPI defects in patients with deep venous thrombosis (Rapaport et al 1995).

## 2) TFPI gene polymorphisms

### 2a) TFPI gene mutations enhancing or not the thrombotic risk:

A few polymorphism sites in the TFPI gene have been described in the normal population or in patients with venous thrombosis.

A TFPI-C536T mutation has been statistically associated with a higher risk of venous thrombosis (Kleesiek et al 1999; Paciaroni et al 2001). However this result has not been confirmed by other studies (Evans et al 2000; Gonzalez Conejero et al 2000; Junker et al 2002). TFPI-V264M mutation is not linked to venous thromboembolism disease (Arnaud et al 1999; Amini-Nekoo et al 2001). C-399T polymorphism in the promoter region of human TFPI gene does not change the plasma TFPI antigen level and does not cause venous thrombosis (Miyata et al 1998). On an other study, this mutation has been shown to be associated to decreased level of TFPI activity, but still not related to venous thrombosis (Opstad and al 2010).

The Asn221Ser mutation was significantly associated with total TFPI levels but not with free TFPI levels and not with DVT (Ishikawa and al 2099).

## 2b) TFPI gene mutations decreasing the thrombotic risk

Indeed, some TFPI gene mutations seem to protect from thrombosis.

It has been reported that single nucleotide polymorphism (SNPs) in the regulatory regions of the gene such as the T-287C and the T-33C could be associated with lowered risk of thrombosis (Skretting et al 2010). These 2 polymorphisms have been associated with slightly higher levels of free TFPI (Opstad and al 2010, Segers et al 2010). Moreover these two mutations have been shown to decrease the endogenous thrombin potential in presence of activated Protein C. In Segers's study, carriership of the –287C allele was associated with decreased thrombin generation, both in the absence and presence of APC. In the same study, TFPI T-33C profoundly affected thrombin generation under all experimental conditions. In particular, the –33C allele was associated with a prolonged lag time and decreased thrombin peak and endogenous thrombin potential (ETP). The effect on lag time was most pronounced at low TF with thrombomodulin.

## 3) TFPI antigenic or activity levels and risk of DVT

The Leiden Thrombophilia study (Dahm et al 2003) determined the effect of low TFPI as a risk factor for DVT. Ten percent (n = 39) of healthy controls (474) had free TFPI levels below 9.0 ng/mL (10th percentile = 9 ng/mL). Nineteen percent of the patients (474) with DVT had free TFPI levels below this cut-off, which means that individuals with a free TFPI levels below 9 ng/mL had an approximately 2-fold increased risk of developing DVT compared with individuals having TFPI levels above this cut-off value. Similar results were obtained for TFPI total antigen and TFPI activity. Further analysis revealed that lower TFPI levels, (eg, cut-off at the 5th and 2nd percentiles) were associated with higher ORs for DVT (Table I-1). This dose-response relationship of higher risk with slightly lower TFPI levels suggests a threshold level for the protective effect of TFPI against DVT. However when the authors completely eliminated the effect of OC use by excluding all women who used OC either at the index date (time of thrombosis) or at veni puncture, the power of the study was reduced: they ended up with 336 patients (90 exclusions) and 387 controls (10 exclusions) and the ORs for thrombosis at low levels of TFPI were attenuated. For low TFPI-free antigen, for example, the OR was 1.2 (95% CI, 0.8-2.0) for cut-off at the 10th percentile, increasing to 2.1 (95% CI, 0.8-5.9) for cut-off at the 2nd percentile.

Low TFPI anticoagulant activity measured using a dPT-based assay on the same population of controls and patients gave an OR of 1.5 (0.97–2.4) for DVT, similar to the ORs obtained with the chromogenic TFPI assays (Dahm et al 2005).

An other study showed that the total and free TFPI levels were significantly lower in patients with DVT than in controls (Amini-Nekoo et al 2001).

Zakai and al studied the impact of pre VTE TFPI levels on VTE occurrence in patients included in the LITE (The Longitudinal Investigation of Thromboembolism Etilology); they showed that when total TFPI levels are below the 5<sup>th</sup> percentile (< 18.8ng/ml), there is a moderately increased risk of VTE with an OR of 1.35 (05 % CI : 0.86-2.12) demonstrating that there is no association between total TFPI and VTE (Zakai et al 2010).

However, it has been shown that low total TFPI is an independent risk factor for symptomatic venous thromboembolism and stroke in children (Duering C et al 2004). Total TFPI concentrations were measured along with established prothrombotic risk factors 6 to 12 months after the acute thrombotic event in 144 causasian children aged 0.6 to 18 years (venous thrombosis: n = 80; ischaemic stroke n = 64). The cut-off values defined as age-dependent 10 th percentile were obtained from 244 healthy controls. We can observe once again as in other studies that the range of normal values of TFPI measured on healthy controls is very large: 25.4 to 117.4 also depending on age. In this study, 42 of the 144 patients (29.2%) compared with 25 of the 244 controls (10.2%) showed TFPI concentrations below the 10th age dependent percentiles. Multivariate analysis adjusted for the presence of established prothrombotic factors showed a significantly increased odds ratio [ 95 % confidence interval (CI)] for patients with a thrombotic event [OR (CI): 3.8 (2.2 – 6.6); p < 0.0001].

Table I-1: Studies that explored the role of TFPI in venous thrombosis

Reference	Design of the study	TFPI measured	Population	Parameter evaluated	Odd Ratio
Dahm et al 2003 Leiden Thrombophilia Study (LETS)	474 Patients with DVT 474 controls	F TFPI T TFPI TFPI A (chromogenic assay)	Adults	< 10 th perc F TFPI TTFPI A TFPI < 5 <sup>th</sup> perc F TFPI TTFPI TFPI A	1.7 (CI: 1.1- 2.6) 1.5 (CI: 0.98- 2.3) 1.1 (CI: 0.73- 1.8) 2.1 (CI: 1.1-4.1) 2.1 (CI: 1.1 - 4.1) 1.6 (CI: 0.87- 2.8)
Dahm 2005 LETS	474 patients (DVT) 474 controls	TFPI A (dPT assay)	Adults	< 10 th perc TFPI A	1,5 (0,97- 2, 4)
Amini-Nekoo 2001	122 DVT patients 126 controls	Total TFPI	Adults	TTFPI patients: 66.1 ng.mL <sup>-1;</sup> TTFPI controls: 77.6 ng.mL <sup>-1</sup> P= 0.0001	ND
Zakai 2010	21680 patients 457 with DVT	Total TFPI	Adults (from 45 years old)	< 5 <sup>th</sup> perc TTFPI	1.35 (0.86-2.12)
Duering 2004	Case control study VT (n = 80); IS (n = 64) Controls (244)	Total TFPI	Children (0.6 to 18 years)	TTFPI Ag < 10 (th) percentiles	3.8 (CI: 2.2; 6.6)

VT: venous thrombosis; IS ischemic stroke; F TFPI: free TFPI; T TFPI: total TFPI;

TFPI a: TFPI activity. No familial study was performed in all these studies.

In conclusion, these studies provided non convincing results on the responsibility of TFPI deficiency in the occurrence of DVT excepting in children in whom TFPI could have a greater importance compared to adults.

Actually, a fundamental question could be whether circulating TFPI plays any significant role in venous thrombosis in vivo. The hypothesis emerged that the most important pool of TFPI in vivo could be the much larger pool of TFPI bound to the endothelium.

## 4) Role of heparin releasable TFPI in venous thrombosis

Because the link between TFPI deficiency and thrombosis had not been clearly demonstrated in humans the hypothesis emerged that this absence of link was related to the fact that previous studies had focused on the measurement of plasma TFPI, which is only a small part of the total body TFPI. The major fraction of TFPI that is linked to endothelial cells can be measured in plasma after release by heparin injection (Sandset et al 1988). To investigate if deficiency of heparin-releasable TFPI is associated with thrombosis, TFPI activity has been measured in plasma before and 10 min after intravenous injection of 7500 IU unfractionated heparin in 64 young patients with venous thrombosis and in 38 healthy individuals (Ariens et al 1999). Post-heparin TFPI activity levels were significantly lower in the group of patients with venous thrombosis than in controls (mean ± SD: 230% ± 39 vs 260% ± 34, p = 0.0002). Defining the normal range as the mean ± 2 SD of TFPI activity in controls, seven patients with venous thrombosis had low post-heparin TFPI activity levels. Low levels of TFPI were confirmed by immunoassay in six of the seven patients with venous thrombosis, and were present also in at least one first degree relative of three patients, suggesting that the defect might be inheritable. However, the causative role of low heparinreleasable TFPI remained uncertain, because co-segregation of the defect with thrombotic symptoms could not be demonstrated in the small number of families studied. All nine exons of the TFPI gene were screened for mutations in the 7 patients with low levels of heparinreleasable TFPI (Amini-Nekoo et al 2001).

Only a transition of G to A coding for Valine264Methionine in the heparin-binding domain was found in one out of the eight patients. However the same study did not show any association between Val264Met mutation and thrombosis in 317 patients with DVT and 292 controls. Our group performed a very similar study to investigate if venous thrombosis could be related to deficiency of TFPI vascular pool (Tardy-Poncet and al 1999). We measured TFPI in plasma before and 10 min after an intravenous injection of 5000 IU unfractionated heparin in 68 young patients with idiopathic venous thrombosis and 37 healthy individuals. The mean pre-heparin TFPI total antigen, TFPI free antigen, and TFPI activity levels in the group of patients with venous thrombosis were not different from those in controls. The same results were found for the total and free post heparin TFPI mean levels, and post heparin TFPI activity. However, based on the optimal cut-off value of 1.80 U/ml for post heparin TFPI activity, 2.8 % of controls (1/36) and 12.3 % of patients (7/57) presented low levels of heparin-releasable TFPI. Of these 7 patients, three also had low pre-heparin TFPI activity levels, but none of them had low pre- or post-heparin TFPI total or free levels. The measure

of post heparin TFPI activity appeared to be important to detect critically low activity of this inhibitor, which was not always below the normal range at baseline. Because, the low post heparin TFPI activity in these 7 patients did not correspond to a low post heparin free TFPI levels, we hypothesised that this discrepancy could be related to a qualitative abnormality of the heparin-releasable TFPI; whether this abnormality was inherited was not studied. Moreover an other study performed in children (Duering et al 2004) showed that, compared to baseline values, 78.6 % of children with total TFPI Ag < 10 th percentiles showed a low response to enoxaparin administration whereas, in children with normal baseline TFPI values, only 30 % of them showed a low TFPI release (p = 0.007).

In clinical practice, post heparin TFPI level is not really easy to explore and until now still does not belong to a routine exploration.

Because it had already been shown that thrombosis may also resulted from resistance to coagulation inhibitors (Dahlback et al 1994) we hypothesized that a resistance to TFPI activity could be responsible of VTE.

## 5) Could VTE be related to a resistance to the anticoagulant activity of TFPI?

We compared the response to the anticoagulant activity of purified TFPI added to the plasma of patients with the response to the anticoagulant activity of purified TFPI added to the plasma of healthy controls.

### 5 a) In a one step assay

### Patients and methods

#### Patients and controls

A total of 118 patients consecutively referred to our thombosis center for a recent episode or history of venous thrombosis were studied between October 1997 and May 2000, provided they met the pre-established criteria. All patients had presented an objectively confirmed deep venous thrombosis (DVT) or a superficial venous thrombosis (SVT) and/or an objectively confirmed pulmonary embolism (PE), before the age of 60 years. SVT and DVT were diagnosed by compression ultrasonography and/or contrast venography, pulmonary embolism being confirmed by high-probability ventilation-perfusion lung scanning

and/or pulmonary angiography. All patients were examined after the end of the acute phase of the venous thromboembolic episode when they were no longer taking any anticoagulant treatment. None of them had a deficiency in antithrombin III, protein C or protein S, activated protein C resistance, or lupus-type anticoagulant. They also had no known malignant or connective tissue disease. A total of 107 members of the hospital staff, having no personal or family history of venous thrombosis, were selected as controls.

#### Methods

*Blood samples*: they were collected in the morning in tubes containing 0.129 mol /L trisodium citrate. After a double centrifugation (15 min; 2000g) 500  $\mu$ l aliquots of plasma were collected and stored at -70°C until analysis. Pooled normal plasma from 50 healthy volunteers was used as the reference plasma.

Tissue factor (Thromborel) was purchased from Behring Siemens, full-length recombinant human TFPI from American Diagnostica, Andresy France), and bovine serum albumin (BSA) from Sigma.

Description of the assay based on dPT: To evaluate the plasma response to anticoagulant activity of exogenous TFPI, two modified diluted prothrombin times (dPT) were determined, one with and one without exogenous TFPI. For this purpose, two reagents were prepared: R1 and R2. R1 comprised a Tris bovine serum albumin (BSA) buffer (50 mmol L-1 Tris/HCl, 100 mmol L<sup>-1</sup> NaCl and 1 g L<sup>-1</sup> of BSA, pH 7.5) containing 25 mmol L<sup>-1</sup> CaCl2 and tissue factor (Thromborel S, Behring, Paris, France) diluted to a final concentration of 1/450. R2 had the same composition as R1, except that it additionally contained purified human TFPI to a final concentration of 200 ng.mL<sup>-1</sup>. After a 15-s incubation of 50 µL undiluted patient and control plasma samples at 37°C, clotting was initiated by adding 50 µL solution R1 and the "dPT without TFPI" was recorded. Identical plasma samples were then incubated with solution R2 to determine the "dPT with TFPI". Clot formation was recorded for 240 s on a coagulometer (BCT Behring). All measurements were performed in duplicate and the final result was the mean of the two measurements. TFPI sensitivity ratios were obtained by dividing the "dPTwith TFPI" by the "dPTwithout TFPI". In each serie, we also measured the TFPI sensitivity ratios of the reference plasma. The mean TFPI sensitivity ratio of the reference plasma was 2.01 (mean value of 30 measurements). The normalized ratio was then calculated by dividing the TFPI sensitivity ratio obtained for patient and control plasma samples by the TFPI sensitivity ratio obtained for the reference plasma in each serie. Plasma levels of factor VIII and fibrinogen were measured by a clotting assay (Annexe 1).

## Statistical analysis

Quantitative data were reported as mean standard deviation (SD), using the median and interquartile range to express 50% of the central value. When the distribution of the values was not normal, the median test was performed. Qualitative data were expressed as percentages. Qualitative and quantitative data were compared between patients and controls using Fisher's exact test and Student's t-test, respectively. For the other comparisons, the same tests were used when the size of the groups was sufficiently large. In other cases, the Wilcoxon signed-rank test was used for quantitative data. The correlation between "dPT without TFPI" and TFPI normalized ratio was assessed by linear regression and the R-square value was calculated. We calculated crude matched OR as estimates of the relative risk by simple cross-tabulation. The OR reflected the risk of thrombosis when the TFPI normalized ratio was low (under the fifth percentile) relative to the risk when this ratio was within the normal range. The odds ratio for a low "dPT without TFPI" was also calculated.

#### Results

The characteristics of the controls and patients are given in Table I-2. The mean age and the male/female ratio were similar in the control and patient groups.

Table I-2: Characteristics of controls and patients

		Controls	Patients	P value	
		n = 107	n = 118		
Age (years)	Mean ± SD	37.2 ± 9.5	37.2 ± 10.6	NS	
	Median [q1-q3]	36 [29-44]	37 [29-45]	NS	
Sex	Women	75%	81%	NS	
	< 45 years	57%	59%	NS	
	> 45 years	18%	22%	NS	
	Men	25%	19%	NS	
TFPI normalized ratio	Median [q1 - q3]	0.96 [0.92 – 1.02]	0.94 [0.89 – 1.02]	0.08	

NS: non-significant

The intra- (n=38) and inter-assay (n= 30) variations of the TFPI sensitivity ratio measured in the reference plasma were, respectively, 2.0% and 2.9%. Preliminary in vitro studies showed that fibrinogen levels (from 2.4 to 5.4 g / L) and FVIII levels (from 100% to 180%) did not influence the TFPI normalized ratio. Interestingly, when FVIII plasma concentration was over 180%, the TFPI normalized ratio increased with FVIII plasma concentration. Thus, in the eight patients with a plasma FVIII level above 180% (seven between 180% and 215% and one at 270%), the TFPI normalized ratio was above 0.85. Sex and age also did not affect the TFPI normalized ratio.

The median of the TFPI normalized ratios was not statistically different between the control and patient groups. The distribution of TFPI normalized ratio for controls and patients is shown in Figure I-1. The calculated cut-off value (fifth percentile) under which the normalized ratio was considered as low was 0.85. Values of "dPT without TFPI" were significantly lower in individuals with a low TFPI normalized ratio (<0.85) than in individuals with a higher ( $\geq$  0.85) TFPI normalized ratio (79.5  $\pm$  10.3 and 93.8  $\pm$  10.1, respectively; Wilcoxon test, p< 0.0001). Thus, a positive correlation was found between "dPT without TFPI" and TFPI normalized ratio (R2 = 0.37, p< 0.0001). However, a low "dPT without TFPI" was not always associated with a low TFPI normalized ratio and, conversely, a TFPI normalized ratio under 0.85 did not always correspond to a "low dPT without TFPI". A low normalized ratio (<0.85) corresponding to a poor anticoagulant response to TFPI was observed in five controls (4.7%) and 13 patients (11%).

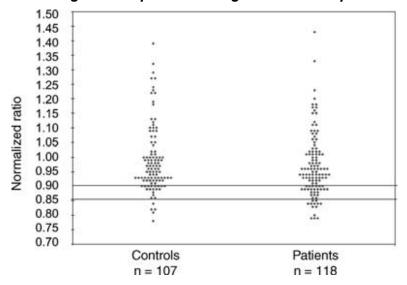


Fig I-1: Anticoagulant response to exogenous TFPI in patients and controls plasma.

The characteristics of the 13 patients who had a normalized TFPI ratio under 0.85 are given in Table I-3.

Table I-3: Characteristics of patients with a TFPI normalized ratio under 0.85

Р	Age/ Sex	TFPI NR	Fib (g/l)	Age (yrs) at first thrombosis episode	Type of event	Circumstance of thrombosis	Thrombosis Particularity	Family history of thrombosis
1	25 / F	0.84	2.5	21	DVT + PE	OC	Extension during UFH treatment	Sister: DVT
2	49 / F	0.80	2.9	47	DVT	OC	-	Mother: DVT
3	40 / F	0.83	2.4	37	DVT + PE	OC	-	Mother: SVT
4	45 / F	0.84	3.6	42	PE	Post-surgery	Occurrence during LMWH prophylaxis	Father :Sudden Death
5	22 / F	0.79	2.7	19	DVT	Idiopathic	<del>-</del>	Mother: 2 DVT episodes
6	52 / F	0.85	2	25	DVT	Post-partum	Recurrence during UFH treatment	Son: DVT
7	47 / F	0.84	2.5	36	2 X DVT	1 idiopathic, 1post-surgery	- Occurrence during UFH prophylaxis	Mother: DVT recurrences
8	32 / F	0.84	2.9	26	DVT + PE	OC	<del>-</del>	None
9	40 / F	0.80	2.5	40	DVT + PE	Post-partum	-	None
10	33 / F	0.79	5	23	DVT	Postpartum	-	Sister: SVT
11	28 / F	0.83	3.5	22	3 X SVT	OC	-	None
12	49 / F	0.84	2.7	48	SVT	OC	-	Mother: DVT
13	38 / M	0.79	2.6	38	SVT	Idiopathic	-	Father: PE

PE: Pulmonary Embolism; OC: Oral Contraception; UFH: Unfractionated Heparin,

LMWH: Low-Molecular-Weight Heparin; TFPI NR: TFPI normalized ratio; P: patient.

Three of these patients had a fibrinogen level above 3.0~g / L. Eleven presented a risk factor for thrombosis at the time of DVT. Nine had a family history of thrombosis. Interestingly, in four patients, DVT occurred or recurred during unfractionated heparin or low-molecular-weight heparin therapy.

Table I-4 gives the OR as a function of TFPI normalized ratio. The OR for a poor response to TFPI (defined as a TFPI normalized ratio under 0.85) was 2.91 (95% CI: 1.18–7.8), i.e. a poor response to TFPI was associated with an almost three fold increase in the risk of venous thrombosis. Importantly, a low 'dPT without TFPI' was not associated with an increase in the risk of venous thrombosis. Six controls and seven patients had a low (<75 s) "dPT without TFPI". The OR for a low "dPT without TFPI" was 0.78 (95% CI: 0.24–2.42, p = 0.66).

Table I-4: Odds ratios as a function of TFPI-normalized ratio

_	TFPI normalized	Controls	Patients	Odds ratio	P value
	Ratio			(95%CI)	
	> 0.90	n = 91	n = 86	1	
	0.85 - 0.90	n = 11	n = 19	1.71(1.08 to 2.79)	
	< 0.85	n = 5	n = 13	2.91(1.18 to 7.80)	0.025
_	0.85 – 0.90	n = 11	n = 19	,	0.025

#### **Discussion**

In the present study, we described a new test assessing the plasma anticoagulant response to exogenous TFPI. A poor anticoagulant response to TFPI, corresponding to a normalized ratio under 0.85 defined as a resistance to the anticoagulant activity of TFPI, was found in 11% of patients with venous thrombosis (vs. 4.7% of healthy controls). The finding that four out of the 13 patients with TFPI resistance developed a venous thrombosis under heparin treatment supports the hypothesis that TFPI released from the endothelium after heparin injection may play a significant role in the antithrombotic activity of this molecule (Sandset et al 1988, Lindahl et al 1991).

TFPI resistance could be related to the presence of an inflammatory syndrome. Even though all the patients were investigated after the end of the acute phase of the venous thrombo-embolism episode and none presented any malignant or connective tissue disease, it is noteworthy that three out of the 13 patients with TFPI resistance had a fibrinogen level above 3.0 g/L. However, the TFPI normalized ratio was not affected by the fibrinogen level within the range tested (from 2.4 to 5.4 g/L). As there was a positive correlation between FVIII plasma level above 180% and TFPI normalized ratio, it was possible that TFPI

resistance may have been misdiagnosed for patients with FVIII plasma level above 180% (eight in the present study). The TFPI resistance observed could also be related to an inactivation of exogenous TFPI by an excess of lipoprotein (a) [Lp(a)] in the plasma of both patients and controls. A study established that in vitro Lp(a) binds and also inhibits, in a concentration-dependent manner, recombinant TFPI activity and endothelial cell-surface TFPI activity (Caplice et al 2001). However, whereas in this study, TFPI was incubated in the presence of Lp(a) for 30 min, in our study, exogenous TFPI was incubated with plasma for less than 4 min, a time possibly too short for Lp(a) binding to TFPI. Nevertheless, as the lipoprotein status of both patients and controls was not taken into account in our study, we could not exclude a relationship between TFPI resistance and high plasma levels of Lp(a).

TFPI resistance could also correspond to an inherited molecular defect as well. However, the TFPI resistance described in this study could not be related to congenital abnormalities in the TFPI molecule since the anticoagulant activity was measured in the presence of exogenous TFPI. We rather hypothesized that the molecular basis of TFPI resistance concerned TF-FVIIa-TFPI-FXa complex formation. The mechanism of inhibition by TFPI involves its binding first to FXa and then to the FVIIa-TF complex (Broze et al 1988; Warn-Cramer et al 1988; Baugh et al 1998), resulting in the formation of an inactive quaternary TF-FVIIa-TFPI-FXa complex. Site-directed mutagenesis experiments indicated that domain 1 (K1) of TFPI binds to the active site of FVIIa and domain 2 (K2) to the active site of FXa (Girard et al 1989). The defect could involve factor VIIa since functional defects in TFPI interactions of VIIa mutants have already been demonstrated (Chang et al 1999, Neuenschwander et al 1995). A study (Lakhiaev et al 2001) also showed that VIIa protease domain residues Q176, T239 and E296 are involved in the formation of a stable inhibitor complex with free TFPI. FXa could additionally be implicated. The light chain of FXa has been identified as critical in mediating the interaction of TFPI-FXa with TF-FVIIa complex (Girard et al 1990). The crucial importance of FXa Gla-domain interactions in stabilizing the quaternary TF-FVIIa-FXa TFPI complex is also recognized (Lakhiaev et al 2001). It was possible that the low TFPI normalized ratios in the identified plasmas may also have nothing to do with their response to interaction with TFPI but rather reflected an apparent procoagulant tendency that could be due to a variety of unidentified mechanisms. However, although a positive correlation was found between "dPT without TFPI" and TFPI normalized ratio, a low "dPT without TFPI" was neither specific of, nor predictive for, TFPI resistance. Furthermore, a low "dPT without TFPI" was not associated with an increase in the risk of venous thrombosis.

We described in this study a novel haemostatic disorder defined as TFPI resistance and present in 11% of patients with venous thrombosis. The defect underlying this poor

anticoagulant response to TFPI was unknown and remained to be explored. However, as 69% (nine of 13) of the patients with TFPI resistance presented a family history of venous thrombosis we thought as this time that TFPI resistance might correspond to a novel haemostatic genetic risk factor for DVT.

An other study evaluated the anticoagulant response to exogenously added recombinant TFPI using a dPT assay that differs from ours in that the plasma was mixed in FVII-deficient plasma at a ratio of 2 to 3 (Bombeli et al 2003). The response to TFPI was expressed as the ratio of the clotting time with and without TFPI measured in 118 healthy controls and 120 patients with a history of venous thrombo-embolism but no evidence of hereditary or acquired thrombophilia. This study confirmed that levels of coagulant factors II, V, VII, VIII, IX, X did not correlate significantly with the TFPI sensitivity ratio. However, there was a tendency for high levels of FVII or FX to be more often associated with a low TFPI sensitivity ratio.

The patients had significantly lower TFPI sensitivity ratios than the controls. Thirty two percent of patients had a TFPI sensitivity ratio below the 10th percentile of the controls, compared with 9.3% of the healthy controls. The crude odds ratio for venous thrombosis for subjects with a TFPI sensitivity ratio below the 10th percentile was 13 (95% CI; range, 3.1 to 54.9) compared with those with a ratio above the 90th percentile. Patients with idiopathic thromboembolism did not have a decreased TFPI sensitivity ratio more often than patients with thrombosis with a circumstantial risk factor. Their results confirmed ours in that a reduced response to TFPI may lead to an increased risk of venous thrombosis.

## 5 b) In a two step assay

Because the two assays previously described (Tardy-poncet et al 2003, Bombeli et al 2003) were not able to give precisely a cut off value to define TFPI resistance, we tried to describe a new assay based on a two-step dPT. We performed this new assay in patients with venous thrombosis and in healthy controls.

**The main objective** of this study was to evaluate the ability of the two step assay to demonstrate a difference in exogenous TFPI sensitivity of plasma between patients and controls.

### The secondary objectives were:

- to compare the TFPI activity between patients and controls
- to compare free TFPI levels between patients and controls

#### Patients and methods

#### Patients and controls

One hundred and thirty one patients with deep venous thrombosis without any prothrombic biological disorder were included in this study. All patients had presented an objectively confirmed DVT and/or a PE, before the age of 60. Plasma was taken outside the acute phase of the venous thromboembolic episode when the patients were no longer on anticoagulant treatment. None of them had an antithrombin, protein C or protein S deficiency or a Leiden factor V, or a 20210 G/A mutation in prothrombin gene or a lupus-type anticoagulant. They also had no known malignant or connective tissue disease. A total of 219 sex and age matched controls, having no personal or family history of venous thrombosis, were enrolled into the study. All these patients and controls had been examined in the Haemostasis units of St Etienne, Marseille and Lyon University Hospitals. Patients and controls who presented an abnormal response to TFPI on the first sample were asked by letter to come back to hospital for a control. This study has been approved by the Ethical Comitte (Comité de Protection des Personnes: CPP SUD-EST I). All patients and controls signed an informed consent.

#### Methods

Blood samples were collected in tubes containing  $0.129~\text{mol.L}^{-1}$  trisodium citrate anticoagulant. After a double centrifugation (15 min at 2500 g), aliquots of plasma were stored at -70°C until analysis. Pooled normal plasma from 55 blood donors was used as the reference plasma.

#### Anticoagulant response to exogenous TFPI:

To evaluate the anticoagulant response of plasma to exogenous TFPI, we used a diluted prothombin time (dPT) assay with and without exogenous TFPI. Recombinant tissue factor (Innovin®) was purchased from Behring Siemens, full-length recombinant human TFPI from American Diagnostica, and BSA from Sigma.

\* For the two-step dPT assay, 50  $\mu$ L of undiluted patient or control plasma samples were incubated during 60-second at 37°C with 50  $\mu$ L of recombinant diluted tissue factor (1/450 final dilution) in tris (50 mM), NaCl (100 mM) buffer, pH 7.5. Coagulation was initiated by adding CaCl<sub>2</sub> (50 mM) BSA (10 g/L) buffer with or without TFPI. We previously showed that there was a linear response of dPT to different TFPI concentrations (Annexe 2). Because we hypothesized that a TFPI resistance at low TFPI concentration should persist at

a higher TFPI concentration, it had been decided to use two TFPI concentrations (final concentrations: 62 and 125 ng/mL) to ensure the reality of the resistance.

\* The one-step dPT assay was performed as previously described. Briefly, clotting was initiated by adding CaCl<sub>2</sub> (50 mM) mixed with recombinant tissue factor (Innovin Siemens France) (1/450 final dilution), in [ tris (50 mM), NaCl (100 mM) and BSA (10 g/L) buffer, pH 7.5] at 37°C, with or without TFPI at a final concentration of 150 ng/ml. The TFPI concentration was somewhat different from that used in the first study. This was related to the fact that TFPI badges were different between the 2 studies. The TFPI concentration was defined on the time prolongation with obtained on the reference plasma that has to be around three (2.7 to 3.10) times the basal dPT.

For both assays, initial dPT and dPT + TFPI were recorded by an automated coagulation analyser (BCS®, Siemens, St Denis, France) for patients, controls and reference plasmas. All assays were performed in duplicate. TFPI sensitivity ratios were obtained by dividing the "dPT with TFPI" by the "dPT without TFPI". We also measured the sensitivity ratio of the reference plasma. The TFPI normalized ratio (TFPI NR) was then calculated by dividing the TFPI sensitivity ratio obtained for patient and control plasma samples by the TFPI sensitivity ratio obtained for the reference plasma.

# • Chromogenic measure of TFPI activity

To evaluate the anticoagulant activity of endogenous TFPI, we used the chromogenic assay described by Sandset and slightly modified. This assay is based on the ability of TFPI to inhibit TF/FVIIa catalytic activity, in presence of FXa. Briefly, 25 µL of diluted plasma (1/80) was incubated for 30 min, allowing the formation of inactive TF/FVIIa/TFPI/FXa complexes. Fibrin polymerization inhibitor, Pefabloc FG 50 mg (Pentapharm, Cryopep, France), was added to prevent formation of cross-linked fibrin. After this first incubation, residual TF/FVIIa catalytic activity was determined by the addition of FX and its selective chromogenic substrate, CS-11(32), 2.5 mg.mL<sup>-1</sup> (Hyphen BioMed, Neville sur Oise, France).

Because some of the reagents were not any more supplied by some manufacturers we had to search for the best analytic conditions with the new reagents. The data concerning the choice of reagent concentrations and the details of the assay are provided in annexe 3.

#### • Measure of free TFPI

Free TFPI level was determined by ELISA method using a commercial assay (Asserachrom<sup>®</sup> Free TFPI, Diagnostica Stago, Asnières, France) according to the manufacturer's recommendations. See annexe 1.

• Factor VIII and fibrinogen plasma levels were measured by a clotting assay as part of a routine biological check up. See annexe 1.

# Statistical analysis

Quantitative data are reported as mean ± standard deviation (SD), using the median and interquartile range to express 50% of the central value. When the distribution of the values was not normal, the median test was performed. Qualitative data are expressed as percentages. Qualitative and quantitative data were compared between patients and controls using Fisher's exact test and Student's t-test, respectively. For the other comparisons, the same tests were used when the size of the groups was sufficiently large. In other cases, the Wilcoxon signed-rank test was used for quantitative data. The correlation between "dPT without TFPI" and TFPI NR was assessed by linear regression and the R-square value was calculated. We calculated crude matched OR as estimates of the relative risk by simple cross-tabulation. The OR reflects the risk of thrombosis when the TFPI NR is low relative to the risk when this ratio is within the normal range.

### Results

# Characteristics of the assay

The response of the reference plasma to added TFPI is based on the TFPI sensitivity ratio that is defined as follow "dPT with TFPI" divided by "dPT without TFPI". For the two-step assay, TFPI concentration of 62 ng.mL $^{-1}$  gives a TFPI sensitivity ratio of 2.9  $\pm$  0.18 and TFPI concentration 125 ng.mL $^{-1}$  a TFPI sensitivity ratio of 4.9  $\pm$  0.41. For the one-step assay, TFPI concentration of 150 ng.mL $^{-1}$  gives a TFPI sensitivity ratio of 2.8  $\pm$  0.1. For the one-step assay, TFPI concentration is twice the concentration needed in the two-step assay to obtain the same TFPI sensitivity ratio.

For the two step assay, intra assay variation coefficient (established on 30 measurements) was 2.2 % and inter assay variation coefficient (established on 30 measurements) was 5.5 %. For the one step assay, inter assay variation coefficient (established on 18 measurements) was 3.4 %.

In the two step assay, TFPI normalised ratio was not correlated to initial dPT (R2 = 0.0135), fibrinogen level (R2 = 0.0146) or FVIII level (R2 = 0.0079). Moreover, no statistically difference in normalised ratios was found between FVIII > 150% patients group and FVIII<150% patients group. There was no correlation between TFPI NR and normal free PS

values neither in the one step assay ( $R^2 = 0.03$ ) nor in the two step assay ( $R^2 = 0.06$ ). There was no correlation between TFPI activity and free protein S ( $R^2 = 0.0004$ ).

## Characteristics of the patients

In all, 131 patients and 219 matched controls have been enrolled into the study. Controls and patients biological characteristics are given in Table I-5. The male/female ratio was similar in the controls and patients groups. Factor VIII level is slightly higher in the patient group. Fibrinogen and protein S values are similar in the two groups and none of the patients has a protein S value under 60% which is the lower limit of the normal range that has been defined in our laboratory.

Tableau I-5: Characteristics of patients and controls

	Patients	Controls
	(n=131)	(n=219)
Sex (%)		
Men	32.8 %	30.6 %
Women	67.2 %	69.4 %
VIII Factor (%)		
Mean ± SD	121.0 ± 32.9	95.3 ± 18.6
Median (min : max)	118.0 (51.0 : 216.0)	92.0 (57.0 : 156.0)
Fibrinogene (g.L-1)		
Mean ± SD	$3.1 \pm 0.6$	$3.0 \pm 0.6$
Median (min: max)	3.0 (2.0 : 4.5)	3.0 (1.1 : 5.4)
Protein S (%)		
Mean ± SD	99.2 ± 21.9	106 ± 18.4
Median (min : max)	97.0 (62.0 : 151.0)	104.0 (73.0 : 143.0)

The mean age was similar in the controls and patients groups (45.1±11.1 vs 46.5±12.8 respectively). The average age of the first thrombotic episode is 37.8 year. In all, 83 patients presented an isolated DVT, 29 a DVT associated to a PE and 19 presented a PE without any DVT identified. At the time thrombosis occurred, 16% of patients were on DVT prophylactic treatment (LMWHs, UFH). Seventy three percent of patients presented at least one trigger factor: oral contraceptives or menopause replacement therapy (27%), surgery (19%), traumatism (17%), immobilization (15%), travel (12%), pregnancy (5%), post-partum (5%), and/or another trigger factor (2%) (overweight, sclerotherapy, crouched work). Twenty two percent of patients presented venous insufficiencies. Forty percent presented a venous thromboembolic recurrence; no difference in TFPI NR was found between patients with and without venous thromboembolic recurrence (mean TFPI 125 NR: 0.86±0.15 vs mean TFPI 125 NR: 0.87±0.14 respectively)

# Differences in exogenous TFPI sensitivity

Patient and control plasma response to added TFPI was based on the measure of dPT without (Wo) and dPT with added TFPI and characterized by the TFPI NR (dPT with TFPI/ dPT Wo TFPI)

The basal dPT were not different between patients and controls whatever the assay that was used. The median TFPI NR was statistically lower in patients than in controls whatever the assay that was used. Table I-6

Table I-6: Basal dPT and TFPI NR at different TFPI concentration

	Two step assay	Two step assay	One step assay TFPI 150 ng/ml	
	TFPI 62 ng/ml	TFPI 125 ng/ml		
Controls				
Basal dPT (median)	59.4 sec	59.4	69.7	
TFPI NR (median)	0.96	0.92	0.97	
Patients				
Basal dPT (median) (sec)	60.8 sec	60.8	72.6	
TFPI NR (median)	0.91 (p=0.004)	0.82 (p=0.0004)	0.91 (p=0.002)	

dPT: diluted Prothrombin Time; TFPI NR: TFPI normalized ratio;

TFPI NR125 between women and men were also compared in the whole group (controls + patients). The mean TFPI NR 125 is statistically lower in women ( $0.89\pm0.19$ ) than in men ( $0.96\pm0.18$ ), p = 0.0002.

In controls, the mean TFPI NR125 was 0.98  $\pm$  0.17 for men and 0.92  $\pm$  0.20 for women. In patients, the mean TFPI NR125 was 0.91  $\pm$  0.18 for men and 0.84  $\pm$  0.13 for women.

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Table I-7 gives the OR as a function of TFPI NR 62. The OR for a poor response to TFPI (defined as a TFPI NR under 0.76) was 2.9 (95% CI: 1.2-7.1) p = 0.02. Twelve of the 105 patients and 9 of the 210 controls had a TFPI NR under this cut off value.

Table I-7: OR as a function of TFPI NR 62

	%TFPI	%TFPI		P-value	
Cut-off	resistance	resistance	OR		
	Patients	Control			
0.70	3/105 ( 2.9%)	1/210 ( 0.5%)	6.1 [0.6 ; 59.8]	0.12	
0.71-0.72	4/105 ( 3.8%)	2/210 ( 1.0%)	4.1 [0.7 ; 22.9]	0.1	
0.73	4/105 ( 3.8%)	7/210 ( 3.3%)	1.1 [0.3 ; 4.0]	0.83	
0.74	9/105 ( 8.6%)	9/210 (4.3%)	2.1 [0.8 ; 5.4]	0.13	
0.75	12/105 (11.4%)	9/210 ( 4.3%)	2.9 [1.2 ; 7.1]	0.021	
0.76	13/105 (12.4%)	12/210 ( 5.7%)	2.3 [1.0 ; 5.3]	0.044	
0.77	14/105 (13.3%)	17/210 (8.1%)	1.7 [0.8 ; 3.7]	0.14	
0.78	18/105 (17.1%)	22/210 (10.5%)	1.8 [0.9 ; 3.5]	0.097	
0.79	20/105 (19.0%)	24/210 (11.4%)	1.8 [1.0 ; 3.5]	0.069	
0.80	21/105 (20.0%)	28/210 (13.3%)	1.6 [0.9 ; 3.0]	0.13	

Figure I-2: TFPI NR 62 in patients and controls

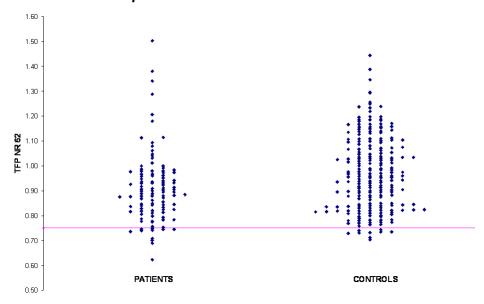
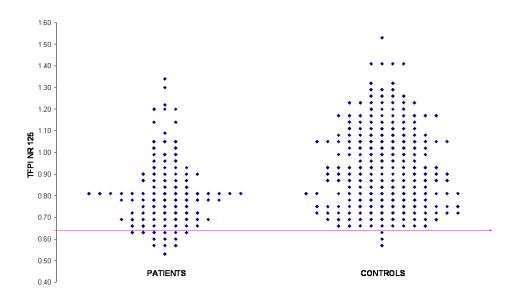


Table I-8 gives the OR as a function of TFPI NR 125. The OR for a poor response to TFPI (defined as a TFPI NR under 0.65) was 9.7 (95% CI: 2.7-35) p <0.0001. Thirteen of the 105 patients and three of the 210 controls had a TFPI NR 125 under this cut off value.

Table I-8: OR as a function of TFPI NR 125

	%TFPI	%TFPI		
Cut-off	resistance	resistance	OR	P-value
	Patients	Control		
0.57	3/105 ( 2.9%)	1/210 ( 0.5%)	6.1 [0.6 ; 59.8]	0.12
0.58-0.59	4/105 ( 3.8%)	1/210 ( 0.5%)	8.3 [0.9 ; 75.0]	0.06
0.60	5/105 ( 4.8%)	1/210 ( 0.5%)	10.4 [1.2 ; 90.6]	0.033
0.61	7/105 ( 6.7%)	2/210 ( 0.9%)	7.4 [1.5 ; 36.4]	0.013
0.62	8/105 ( 7.6%)	2/210 ( 0.9%)	8.6 [1.8 ; 41.1]	0.007
0.63	12/105 (11.4%)	2/210 ( 0.9%)	13.4 [2.9 ; 61.2]	0.001
0.64	13/105 (12.4%)	3/210 ( 1.4%)	9.7 [2.7 ; 35.0]	<0.0001
0.65	13/105 (12.4%)	8/210 ( 3.8%)	3.6 [1.4 ; 8.9]	0.006
0.66	15/105 (14.3%)	11/210 ( 5.2%)	3.0 [1.3 ; 6.8]	0.008
0.67	20/105 (19.0%)	12/210 ( 5.7%)	3.9 [1.8 ; 8.3]	<0.0001
0.68	22/105 (20.9%)	14/210 ( 6.7%)	3.7 [1.8 ; 7.6]	<0.0001
0.69	25/105 (23.8%)	20/210 ( 9.5%)	3.0 [1.6 ; 5.6]	0.001
0.70	29/105 (27.6%)	23/210 (10.9%)	3.1 [1.7 ; 5.7]	<0.0001

Figure I-3: TFPI NR 125 in patients and controls

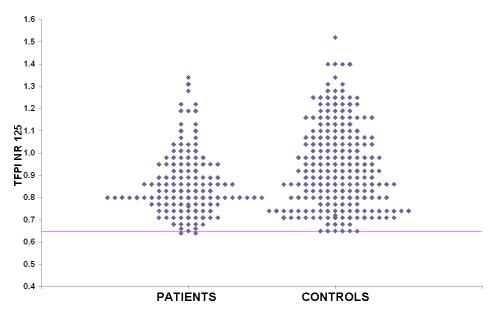


TFPI resistance defined as a TFPI NR 125 lower than 0.65 was not related to more severe DVT; indeed, 85% of patients with TFPI resistance only suffered a distal venous thrombosis. Moreover, TFPI resistance was not related to a higher rate of DVT recurrence.

Because OR (9.7) obtained with TFPI NR 125 was much better than the OR (2.9) obtained with TFPI NR 62, it was decided to verify only the abnormal values obtained for TFPI NR 125. Finally 29 patients who had a TFPI NR 125 < 0.70 (under the cut-off value and near the cut-off value) have been controlled. TFPI NR 125 has been measured at the same time on the same number of controls (age and sex matched). Most of the values obtained on the control sample were normal (> 0.65). Because the pre analytical conditions are of a primary importance for the reliability of this assay, and because we were not sure that these conditions had been respected in the first sample and because we made sure that they have been respected on the second (control) sample we decided to replace the first values by the control values.

On figure I-4 all the TFPI NR 125 values obtained on the first sample have been replaced by the results of TFPI NR 125 control values obtained on the second sample (control sample).

Figure I 4: TFPI NR 125 in patients and controls (with values obtained on the  $2^{nd}$  sample).



Among the 13 patients with a TFPI NR 125 under 0.65, 12 came back to hospital to have a control on a second sample. Ten of these patients had a normal TFPI NR 125 on the second sample. The lowest value of TFPI NR 125 observed in controls was 0.65 and 2 patients of the twelve had a TFPI NR125 value under 0.65, already considered as the cut-off value (Figure I-4 ). These 2 patients had a protein S activity in the lower values of the normal range: 63 and 66% respectively. The characteristics of the 2 patients who had NR125 under

0.65 in the two-step assay are given in Table I-9. Patient 1 presented a distal DVT after a calf traumatism, associated with a PE. She had a personal history of venous insufficiency and 3 DVT recurrences, one associated with a PE. Her mother presented two PE and her father presented one PE, both before the age of 60 years. Patient 2 presented a spontaneous distal DVT. She had a personal history of sarcoidosis (stage I). She had a family history of thrombosis (SVT during pregnancy for her mother and stroke for her father). None of these two patients was on DVT prophylactic treatment when thrombosis occurred. It has not been possible to measure TFPI NR 125 for the relatives of theses 2 patients.

As the results obtained with the 2 step assay were rather disappointing, it was decided to come back to the one step assay to compare TFPI NR between patients and controls. TFPI NR 150 was determined on all patients and controls but only on the second sample for patients who came for control. The cut off value can be considered to be 0.70. None of the patients had a TFPI NR under this value.

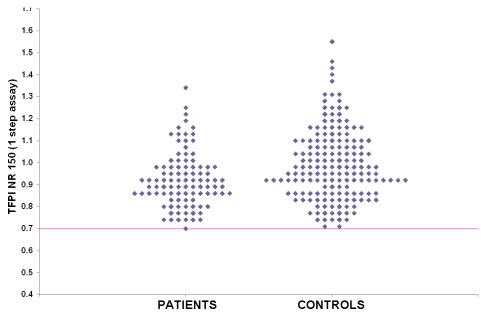


Figure I 5: TFPI NR 150 in patients and controls

## TFPI activity and free TFPI

The mean of TFPI activity was  $101.2\% \pm 24.1$  in patients and  $99.0\% \pm 20.5$  in controls. Three patients and one control have a TFPI activity value under the normal range. The normal range (58-140 %) (Mean  $\pm$  2 SD) had been previously defined in 36 volunteers (Annexe 3). The clinical characteristics of these 3 patients are given in Table I-9.

The mean of free TFPI level was11.9 ± 3.7 ng.mL-1 in patients and 13.7 ± 4.2 ng.mL<sup>-1</sup> in controls (p= 0.07). Women had lower free TFPI levels than men whatever the group: mean free TFPI for men: 14.4±3.9ng/mL and for women 11.3±3.9ng/mL (p< 0.0001). For control women, the mean level of free TFPI is 11.5 ng/ mL-1 and the normal range defined as mean ± 2 SD is 4.9-18.1 ng.mL-1. None of the patient women had a free TFPI level outside the normal range. For control men, the mean level of free TFPI is 15.8 ng/ mL-1 and the normal range defined as mean ± 2 SD is 7.6-24.0 ng.mL<sup>-1</sup>. One of men patient had a free TFPI level (6.2 ng.mL<sup>-1</sup>) under the normal range (table I-9). The two women with a TFPI NR 125 under 0.64 had protein S values in the lower limit of the normal range. But at the same time three others women with protein S values betaween 63 and 65 % had normal TFPI NR 125. Neither low protein S activity values nor low free TFPI levels are associated with a low TFPI NR (whatever the assay) (table I-9). For two patients we observed at the same time a low TFPI activity and a low TFPI NR 125.

In table I-9 are listed 9 patients who had one or more abnormal studied parameter

There was no correlation between TFPI activity measured by chromogenic assay and protein S normal values in the present study (R2 = 0.0004).

Table I.9: Characteristics of patients with limit parameters

Circumstances of	thrombosis	traumatism	none	surgery	00	none	none	00	OC + immobilization	post-partum
	Type of event	DVT + PE	DVT	DVT	DVT + PE	DVT	田	DVT	DVT + PE	DVT
Age (year) at the first	thrombosis episode   Type of event	43	24	53	40	35	48	44	27	23
PS activity	(%)	63	99	98	92	107	120	64	63	65
Free TFPI	$({\sf ng.mL}^{\text{-1}})$	6.7	9.1	12	12.5	11.1	6.2		7.4	6.7
TFPI activity	(%)	80	109	26	55	28	81	•	92	87
	TFPI NR150	0.78	0.81	0.88	1.03	0.70	98.0		0.77	0.90
	TFPI NR125 TFPI NR150	51/F 0.75 - 0.71 - 0.70 <b>0.60 - 0.61 - 0.64</b>	0.64	0.69 - 0.8 - 0.77   0.57 - 0.72 - 0.66	0.61 - 0.92	69.0	0.73	08.0	0.73	0.74
	TFPI NR62	0.75 - 0.71 - 0.70	0.73	0.69 - 0.8 - 0.77	0.75 - 0.98	0.85	0.76	0.87	0.79	0.84
	Patient Age/sex	51/F	29/F	60/F	46/F	57/F	54/M	51/F	38/F	28/F
	Patient	1	2	3	4	2	9	7	8	6

TFPI: Tissue Factor Pathway Inhibitor; TFPI NR: TFPI Normalized Ratio; PS: protein S; PE: pulmonary embolism; DVT: deep venous thrombosis; OC: oral contraceptive

Note: All bolded values relate to limit parameters

#### Discussion

We had hypothesized that venous thrombosis could be related to a poor response of tissue factor pathway to the anticoagulant activity of TFPI. In a previous study [Tardy-Poncet et al 2003], we described a one-step dPT assay assessing the plasma anticoagulant response to exogenous TFPI, a poor plasmatic response to added TFPI corresponding to a lower normalized ratio. A significant resistance to the anticoagulant activity of TFPI had been demonstrated in some patients with a deep venous thrombosis. This assay did not have enough discriminating power to differentiate clearly the resistant patients from the non-resistant ones. In the present study, we described a new assay for assessing the plasma anticoagulant response to exogenous TFPI. This assay was supposed to have an improved discriminatory potential over the one-step assay to separate patients with a low response to TFPI from those with a normal response to TFPI. The reagents used in this study for the one-step and the two-step assay were identical to those applied in the first study, except for the thromboplastin (Thromborel S® in the first study, Innovin® for the present study). Our results showed a difference of sensitivity between the one-step and the two-step assays.

With a TFPI concentration of 150 ng.mL-1 in the one-step assay, the lengthening of dPT was the same as in the two-step assay with a TFPI concentration of 62 ng.mL-1, indicating that the two-step assay was much more sensitive than the one-step assay. Contrary to the one-step assay, the two-step assay was sensitive to protein S levels; indeed low PS levels lead to a lower response to TFPI in the two step assay (Tardy et al 2012). Women had lower NR125 than men reflecting the fact that they were less sensitive to TFPI activity. This can be explained by protein S values, slightly lower for women than for men [Boerger et al 1987]. In our study, all patients had a protein S level in the normal range (>60% for women and >65% for men). It is noteworthy that the 2 women with TFPI NR125 under 0.65 had protein S in the lower values (63 % and 66 %) of the normal range. But at the same time 3 three other women had low PS activity (63 % to 65 %) that was not associated with a low TFPI NR 125. So the low TFPI NR 125 can not be surely related to a lower PS value.

All patients were investigated after the end of the acute phase of the venous thromboembolism episode and none presented any malignant or connective disease. They had FVIII and fibrinogen levels all included in values that did not influence the TFPI normalized ratio (Tardy-Poncet et al 2003). So two patients were identified with a TFPI NR 125 under 0.65; none of them had been identified neither with the one step TFPI NR 150 nor with the two steps TFPI NR 62 confirming the fact that TFPI NR 125 had a better sensitivity. However, as it is so far unknown whether a decreased response to TFPI is due to a

genetically altered FVII or FX, it is therefore not possible (whatever the assay used) to determine an exact cut-off value that would allow the safe discrimination between pathologic and normal TFPI sensitivity ratios.

However we demonstrated a statistically significant difference in TFPI NR 125 between patients and controls groups. This TFPI resistance appeared to be an overall trend among patients and might be considered as a marker of thrombosis risk. Indeed, it is conceivable that, independent of a specific mutation in one of the substrates of TFPI, a decreased response to TFPI could still be associated with an increased risk of thrombosis, as has been shown in patients with APC resistance.

Women had lower free TFPI values than men and that could be related to lower values of protein S as already shown (Dahm et al 2008). Protein S being a cofactor of TFPI, a decrease in protein S concentration leads to a decrease in TFPI activity measured using a thrombin generation assay (Rosing et al 200; Hackeng et al 2009). In our study, this sex-related difference did not apply to TFPI activity measured by a chromogenic assay. Moreover, the basal dPT (before adding recombinant human TFPI) in controls were the same as basal dPT in patients (at the dilution of tissue factor we used) demonstrating that basal dPTwas not sensitive enough to identify an endogenous TFPI resistance in patients. We identified 3 women who had TFPI activity values at the lower limit of the normal range but normal free PS values at the same time and inversely 3 patients with free TFPI values at the lower limit of the normal range with normal TFPI activity values at the same time.

A part of these results have been presented at ISTH congress in Boston in 2009.

In conclusion, no studies have clearly shown the role of TFPI alone in venous thrombosis. Could TFPI rather be considered as an additional risk factor only .

# 6) TFPI could rather be considered as an additional risk factor only.

It has been shown that low levels of TFPI could be a risk factor for thrombosis when combined with FV Leiden mutation (*FVQ506*) (Van Dreden et al 1999), (Eitzman et al 2002). Moreover the combination of low TFPI levels (below 10<sup>th</sup> percentile) and high FV levels (above the 75<sup>th</sup> percentile) increases the risk for VT with OR of 3,9 for free TFPI and 10 for total TFPI (Dahm et al 2010). It has also been shown that women with low normal levels of TFPI may be at greater risk of oestrogen induced VTE; that could in particular explain the enhanced association observed between TFPI and VTE in LETS study that included a large

number of women with thrombosis associated with oral oestrogens (26 % of VTE events) (Dahm et al 2003).

Moreover it has also been shown concerning the thrombosis recurrence that low free TFPI antigen could be a risk factor for VTE recurrence even higher when associated to factor V Leiden (Hoke et al 2005). In this study TFPI–free antigen was measured in 611 patients with a first spontaneous VTE, and who were prospectively followed after withdrawal of anticoagulation. The relative risk of recurrence increased from 1.0 (95% CI 0.4–2.6) in patients with TFPI levels  $\leq$  5th percentile to 2.7 (95% CI 1.0–7.4) in patients with levels  $\leq$  2nd percentile as compared with higher levels. At five years, the probability of recurrence was 48.6% (95th CI 19.0–78.1) among patients with TFPI  $\leq$  2<sup>nd</sup> percentile and 16.8% (95th CI 13.8–19.8) among those with higher levels (p=0.04). Compared to patients with wild type factor V and high TFPI, the RR of recurrence was 1.1 (95% CI 0.7–1.7) in patients with factor V Leiden and high TFPI, 2.3 (95% CI 0.6–9.5) in patients with wild type factor V and low TFPI and 3.5 (95% CI 0.9–14.3) in patients with factor V Leiden and low TFPI.

A more recent study showed that TFPI antigen levels did not differ between patients with and without recurrence of DVT (Winckers et al 2012).

In this observational study including 74 patients with a first episode of unprovoked DVT, they also evaluated the TF-regulatory activity of TFPI using a TG-based TFPI activity assay with respect to the development of recurrent venous thromboembolism. The overall activity of the TFPI/ protein S system was determined by the ratio of TG peak heights obtained in the absence and presence of anti-TFPI antibodies. The activity of the TFPI/protein S pathway was impaired in patients with VTE recurrence, as was indicated by increased TFPI ratios in patients with VTE recurrence compared with recurrence-free patients). They demonstrated that an impaired TFPI activity (indicated by a TFPI ratio above the 80th percentile) was associated with a 10-fold increased risk in VTE recurrence, although the 95% confidence interval was wide due to the relatively small sample size (95%CI 2.6 - 44.3). This impaired TFPI activity observed in patients with VTE recurrence was independent of TFPI and protein S antigen levels, as they were similar in patients with and without VTE recurrence. This increased potential of plasma to generate thrombin was reflected in the TG assay as an intermediate phenotype; that could let to propose the TG based TFPI activity as a sensitive test for the prediction of VTE.

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# II) Inherited or acquired Protein S deficiency and TFPI resistance

Protein S (PS) is an essential component of the protein C pathway that downregulates thrombin formation by inactivating coagulation factors Va and VIIIa (Esmon et al 1989). It has recently been shown that PS also acts as a TFPI cofactor, in the context of weak pro-coagulant stimuli, by enhancing the interaction of TFPI with FXa in the presence of Ca2+ and phospholipids (Hackeng et al 2006) without increasing inhibition of FVIIa-TF by TFPI [Ndonwi et al 2008].

Functional PS deficiency can explain a poor response to activated Protein C (aPC) (Dalhlback et al 1993).

The objective of our study was to ascertain whether PS deficiency might be responsible for a poor response to the anticoagulant activity of TFPI.

Plasma sensitivity to TFPI was explored using a slightly modified version of the twostep diluted prothrombin time (dPT) assay already described (Tardy-Poncet et al 2003).

# 1) Patients, Materials and Methods

## **Patients**

Thirty-one patients from 18 families with inherited PS deficiency were included in the study. All these patients had been examined in the Haemostasis units of St Etienne and Marseille University Hospitals because of a personal and/or family history of thrombosis. None of the patients was taking an oral anticoagulant. TFPI resistance was explored on residual plasma from samples taken at the time of diagnosis of PS deficiency. Patients were matched for sex and age with controls enrolled in a previous study which had defined the normal values of the plasma anticoagulant response to TFPI. This previous study was approved by the Ethics Committee of the St Etienne University Hospital prior to initiation and informed consent was obtained from all controls.

Seven pregnant women were also included in the study. They had personal and/or family history of thrombosis and had undergone a biological exploration of coagulation inhibitors during pregnancy and 3 months after delivery. In these pregnant women, most PS activity values corresponded to those generally observed. Free PS was measured (and was 27%) in only one patient who had a very low PS activity (18%). After delivery, two patients had PS activity values near the lower limit of the normal range and free PS was measured in both. None of the pregnant women was on anticoagulant treatment. The residual plasma frozen at the time of their routine explorations was tested in this study. Patients (including

pregnant women) were informed that the residual plasma from their blood samples taken for diagnosis of PS deficiency could be used to investigate other thrombosis parameters.

#### **Materials and Methods**

Blood samples were collected in tubes containing 0.129 mol L-1 trisodium citrate. After a double centrifugation (15 min at 2500 g) platelet-poor plasma (PPP) was stored at – 70°C until analysis. Free and total PS, as well as PS activity, had been previously measured in the patients included because of their personal and/or family history of thrombosis. Free PS, total PS and PS activity levels were determined on a routine exploration basis using commercial assays (Asserachrom® Free Protein S, Liatest® Total Protein S, and STA Staclot® Protein S; Diagnostica Stago, Asnières, France) according to the manufacturer's recommendations. Protein S deficient plasma was purchased from Diagnostica Stago. Normal values of free and total PS had been previously defined on the basis of data from 30 male and 30 female healthy volunteers (lower limit 60% for free and total PS and PS activity in women; 70% for free and total PS and 65 % for PS activity in men). PS deficiency was established on the basis of free PS levels, the type of deficiency (I or III) being defined according to the total PS level.

TFPI resistance assays were performed on residual plasma frozen at the time of diagnosis of PS deficiency. To explore the tissue factor pathway, we used a diluted prothrombin time assay (dPT) (Dahm et al 2004). Recombinant Tissue Factor (Dade® Innovin®; Behring) was diluted (1/450) in Tris (50 mM), NaCl (100 mM) buffer, pH 7.5 in order to obtain a dPT of 58 sec (range: 54-63 sec). Full-length recombinant human TFPI (American Diagnostica) was mixed in a CaCl2 50 mM - BSA 10 g.L-1 buffer to a final concentration of 125 ng.mL<sup>-1</sup>. This represents more than 10 times the physiological basal concentration of fulllength TFPI and corresponds to the concentration obtained after a bolus dose of unfractionated heparin (Hansen et al 1998). All assays were performed in duplicate on an automated coagulation analyser (BCS®, Siemens, St Denis, France). Pooled normal plasma from 30 blood donors was used as the reference plasma. The plasma anticoagulant response to added TFPI was measured using a two-step dPT assay. After a 60-second incubation of the plasma with tissue factor at 37°C, coagulation was initiated by adding the CaCl2 BSA buffer, with or without TFPI. Initial dPT and dPT + TFPI were recorded on the BCS® analyser for patient, control and reference plasmas. The response to TFPI anticoagulant activity was defined as the TFPI normalised ratio (TFPI NR) = [(dPT+TFPI)/(dPT)] patient or control plasma / [(dPT+TFPI)/(dPT)] reference plasma. The TFPI NR cut-off value (0.65) had been previously defined on 210 controls with no personal or family history of thrombosis, and taking no oral contraceptive in the case of women. PS-

deficient plasma was mixed with a pooled normal plasma in different ratios in order to obtain a range of plasma concentrations of PS. A dose response-curve was established by adding TFPI (125 ng.mL-1) to these different mixtures.

## Statistical analysis

Quantitative data are reported as median values with the first quartile (Q1) and third quartile (Q3). To compare the parameters studied in patients and controls, as well as in patients with and without thrombosis, we used the non-parametric rank test in view of the small number of patients and the fact that the distribution was not normal. Correlation coefficients were calculated by the least squares method. To compare the parameters studied during pregnancy and 3 months after delivery, we used the non-parametric sign test for matched data. A p-value of 0.05 or less was considered to denote a significant difference between groups. Data were processed and analysed using SAS-WINDOWSTM software (version 9.2).

# 2) Results

In all, 22 patients presented type I inherited PS deficiency (i.e. deficiencies in both total PS and free PS). Nine patients had type III inherited PS deficiency (i.e. normal total PS with free PS deficiency). No patient had a type II PS deficiency. One patient had a severe PS deficiency (7% free PS). Molecular analyses were not systematically performed. A molecular abnormality was identified for only eight families. The mean fibrinogen level was 3.0 g.L-1. Two patients had a fibrinogen level higher than 4.0 g.L-1 (4.2 g.L-1 and 5.2 g.L-1, respectively.) Only one asymptomatic patient was taking an oral contraceptive at the time of blood collection; this patient, with a Herleen mutation, had lower PS levels than those generally observed in patients with this mutation. Among these 31 PS-deficient patients, 9 patients developed venous thrombosis at a median age of 30 years (range: 19 to 69). Two of the patients who developed venous thrombosis also had a heterozygous prothrombin gene G20210A polymorphism. One patient presented portal vein thrombosis. Two patients suffered arterial thrombosis at a median age of 48 years (range: 46 to 50). One of these patients, presenting a Heerlen PS polymorphism, experienced a myocardial infarction when he was 50 years old and once again a few years later. Coronary angiography showed normal coronary arteries. The results obtained for patients with inherited PS deficiency and for controls are presented in Table II.1.

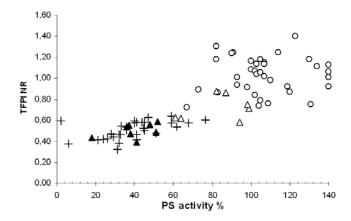
Table II. 1: TFPI Response in Patients with Inherited PS Deficiency Compared to Controls.

Parameters (median	Patients with inherited PS deficiency	Controls	P value
values (Q1-Q3))	(n = 31)	(n = 36)	
Initial dPT (sec)	58.2 (54.9 – 62.0)	57.2 (54.6 – 59.6)	0.23
dPT + TFPI / dPT	2.51 (2.27 – 2.76)	5.08 (4.36 – 5.94)	< 0.0001
TFPI NR	0.51 (0.44 – 0.58)	1.05 (0.90 – 1.15)	< 0.0001
Free PS (%)	33.0 (18.0 – 43.0)	89.0 (80.0 – 97.5)	< 0.0001

dPT: diluted Prothrombin Time; TFPI: tissue factor pathway inhibitor; TFPI NR: TFPI normalised ratio; PS: protein S

The median initial dPT (without TFPI) did not differ between patients and controls. The median ratio of dPT + TFPI / dPT was statistically significantly lower in patients (2.5) than in controls (5.1) (p < 0.0001). The median value of TFPI NR [(dPT +TFPI / dPT) patient or control plasma / (dPT + TFPI / dPT) reference plasma] was also statistically significantly lower in patients (0.5) than in controls (1.0). TFPI NR values correlated well with PS activity levels in the group of patients with inherited PS deficiency (R2 = 0.264; p = 0.003). In the group of pregnant women there was still a correlation (R2= 0.309) between PS activity and TFPI NR, but because of the small number of patients (n = 7), this result was not statistically significant (p= 0.19). There was no correlation between PS activity and TFPI NR either in the group of 36 healthy controls (R2 = 0.005; p = 0.66) or in the group of women at 3 months post-delivery (R2 = 0.09. p = 0.50). There was a good correlation between free PS level and TFPI NR in the group of patients with inherited PS deficiency (R2 = 0.278; p = 0.002). There was no correlation between free PS level and TFPI NR in the group of 36 healthy controls (R2 = 0.005; p = 0.66) (Figure II.1).

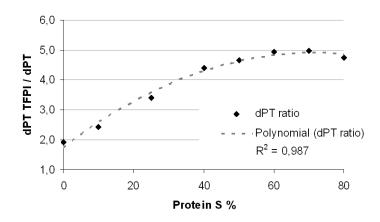
Figure II.1: Relationship between PS activity and Tissue Factor Pathway Inhibitor Normalised Ratio (TFPI NR).



Points represent 31 patients with inherited PS deficiency (crosses) R2: 0.264; p = 0.003, 36 healthy controls (same age and sex, open circles) R2: 0.005; p = 0.66, and 7 women during pregnancy (closed triangles) R2: 0.309; p = 0.19 and 3 months after delivery (open triangles) R2:0.09; p = 0.05

We showed *in vitro* the PS-dependent effect in this TFPI resistance assay (Figure II.2). In the absence of PS, there is still a plasma response to TFPI even though this is very low (dPT + TFPI / dPT = 1.9) compared to the response obtained with a concentration of 60% PS (dPT + TFPI / dPT = 4.9). TFPI response is closely related to PS levels up to a concentration of 60 %, when a plateau is reached.

Figure II.2: Response of pooled normal plasma to 125 ng.mL<sup>-1</sup> of TFPI in presence of different concentrations of protein S (PS).



The response is expressed as the ratio of diluted prothrombin time (dPT) with TFPI upon basal dPT. PS-deficient plasma was mixed with pooled normal plasma in different ratios in order to obtain a range of plasma concentrations of PS. A dose response-curve was established by adding TFPI (125 ng.mL<sup>-1</sup>) to these different mixtures and drawn by using a polynomial regression curve.

All patients had a TFPI NR below the cut-off value (0.65). In other words, all patients with inherited PS deficiency showed a decreased response to the anticoagulant activity of TFPI. It is interesting to note that even the three patients with a Heerlen PS polymorphism showed a decreased response to the anticoagulant activity of TFPI. The median TFPI NR was lower in patients with type I inherited PS deficiency (TFPI NR: 0.47) than in patients with type III inherited PS deficiency (NR: 0.58) (p=0.018). However, at the same time, free PS levels were lower in patients with type I inherited PS deficiency (median free PS: 26 %) than in patients with type III inherited PS deficiency (median free PS: 47%) (p=0.022). No difference was detected between patients with and without thrombosis in terms of median values of free PS and PS activity, median ratio of dPT + TFPI/dPT or median TFPI NR. PS activity values and sensitivity of the plasma to TFPI were statistically significantly different during pregnancy and at three months after delivery (Table II.2). PS activity levels were decreased during pregnancy (ranging from 18 to 52%) with a TFPI NR under the cutoff value (TFPI NR: 0.39 to 0.59). In contrast, at three months after delivery, all PS activity values could be considered normal, excluding a hereditary PS deficiency, for all the women tested. TFPI NR similarly returned to higher values after delivery, with the normalisation of PS levels, although TFPI NR remained under the cut-off value in three patients. Two of these patients, who had only a family history of thrombosis, presented a TFPI NR of 0.62 with PS activity values in the lower part of the normal range (61% and 64%, respectively) corresponding to free PS values of 57% and 68% respectively. The third patient had a family history of thrombosis and had also suffered a late foetal loss in the past. Her PS activity value after delivery was normal (94%), whereas her TFPI NR remained low (0.58).

Table II.2: PS Activity Values and TFPI Response during Pregnancy and 3 Months after Delivery

Parameters	During pregnancy	3 Months after Delivery.	P value
median (Q1-Q3))	(n=7)	(n=7)	
Initial dPT (sec)	59.4 (56.7 – 62.8)	63.1 (56.3 – 63.9)	0.45
dPT + TFPI / dPT	2.28 (2.21 – 2.57)	3.41 (2.80 – 3.57)	0.016
TFPI NR	0.54 (0.44 – 0.56)	0.71 (0.62 – 0.86)	0.016
PS activity (%)	38.0 (36.0 – 48.0)	87.0 (64.0 – 98.0)	0.016

dPT: diluted Prothrombin Time; TFPI: tissue factor pathway inhibitor; TFPI NR: TFPI normalised ratio; PS: protein S

# 3) Discussion

During thrombin formation, several clotting inhibition reactions are initiated to prevent the systemic activation of coagulation. At low tissue factor (TF) concentrations, the TFPI/PS system plays a pivotal role in the down-regulation of coagulation (Sere et al 2004). It has already been shown that genetic or acquired PS deficiency states are associated with decreased levels of TFPI, most probably due to the formation of a complex between PS and TFPI in plasma (Castoldi et al 2009). In this study, at low TF concentrations, thrombin generation in PS-deficient plasma was three-fold that seen in normal plasma, simultaneous normalization of both PS and TFPI levels completely correcting the elevated thrombin generation observed in such plasma. It has recently been shown that PS acts as a cofactor in TFPI activity by enhancing the inhibition of factor Xa (Ndonwi et al 2008).

The present study demonstrated this TFPI cofactor activity of PS by showing a low response of PS-deficient plasma to the anticoagulant activity of TFPI, which we could term TFPI resistance. TFPI NR values correlated well with free PS levels up to 60 % in patients, signifying that the level of TFPI resistance is related to free PS levels up to 60 %. This finding provides a further demonstration that free PS is a cofactor for TFPI activity.

Using a PS-deficient plasma mixed in different ratios with normal pooled plasma we demonstrated a good correlation between PS level (from 0% to 60%) and the TFPI response of the plasma. When the PS levels exceeded 60%, TFPI response plateaued, showing that a normal level of PS is required for TFPI response but a higher level of PS does not increase this response.

Moreover, TFPI NR values were not correlated with free PS in healthy controls or in women at 3 months post-delivery, showing a saturable TFPI response to normal free PS levels. We showed that the median TFPI NR was lower in patients with type I inherited PS deficiency compared to patients with type III inherited PS deficiency. This could suggest that even PS-C4bBP acts as a cofactor for TFPI activity, this complex already having been shown to be a cofactor for aPC in factor V inactivation [Maurissen et al 2008]. Moreover it has been shown that free but also total PS were associated with TFPI anticoagulant activity to a similar degree (Dahm et al 2008). The finding that total PS expresses an anticoagulant activity suggests that total PS level may also affect the risk of thrombosis. Type III PS deficiency (normal total PS level) would be expected to result in a milder thrombotic diathesis than type I PS deficiency (low total PS level) (Castoldi et al 2009). Indeed, a recent cohort study showed that within families presenting a mixed type I/type III phenotype, individuals with type I PS deficiency have a two-fold higher risk of thrombosis than their relatives with type III PS deficiency (RR: 8.9 and 4.3, respectively) (Brouwer et al 2005). However, in our study, the

lower TFPI NR observed in type I PS deficiency could be related to the lower levels of free PS observed at the same time.

A role of total PS in TFPI activity is supported by the case of the patient in our study who presented a very low free PS level (7%) with only a slight decrease in total PS (42%), resulting in a mild (rather than severe) TFPI resistance (TFPI NR=0.37). Basal dPT values are an accurate indicator of TF-VIIa and Xa activities and also of endogenous TFPI activity. However, as basal dPT values did not differ between patients and controls, it seems that a basal dPT assay (at least using a TF dilution of 1/450) is not sensitive enough to detect a decrease in endogenous TFPI activity caused by PS deficiency. The same result is obtained for aPC resistance that can not be demonstrated on basal aPTT but that needs the addition of aPC. It has been shown that PS Heerlen binds normally to the C4bBP and retains full aPC cofactor activity (Bertina et al 1990). Our study indicates that PS Heerlen leads to a low response of plasma to the anticoagulant effect of TFPI and it is interesting to note that one of the four patients who presented this polymorphism experienced myocardial infarction on two occasions despite having normal coronary arteries.

Our study included a high proportion of patients with thrombosis, as might be expected on the basis of previous family studies on selected individuals [Simmonds et al 1998]. The median value of TFPI NR did not differ between patients with and without thrombosis, suggesting that assay of TFPI NR based on dPT is not sensitive enough to distinguish between these two groups of patients. A study that used an aPTT-based method to evaluate aPC resistance similarly failed to show a difference in aPC ratios between patients with and without thrombosis. In contrast, studies that used Calibrated Automated Thrombography (CAT) to determine sensitivity to aPC showed that normalised aPC sensitivity ratios differed between patients with and without thrombosis (Tans et al 2003; Bergrem et al 2011). Moreover, the endogenous thrombin potential (ETP)-based test has been shown to be greatly influenced by free TFPI and free PS levels [De Visser et al 2005]. An ETP-based assay could have a better sensitivity with regard to showing a difference in TFPI NR between patients with and without thrombosis.

The pregnant women included in our study had low TFPI NR values due to the low PS levels associated with pregnancy. This means that TFPI resistance also exists in patients with an acquired PS deficiency. After delivery, a complete correction of TFPI NR was achieved when PS values were high, whereas TFPI NR remained slightly below the cut-off value (0.62) when PS values were lower (61% and 64%). This TFPI resistance can be reversed after PS normalisation, again demonstrating the cofactor role of PS in TFPI activity. In one woman, TFPI resistance persisted after delivery, in spite of PS normalisation. This patient, with a family history of thrombosis and a past experience of late foetal loss, might have had an inherited TFPI resistance, possibly related to factor X or factor VII mutations.

Such inherited TFPI resistance has already been described in patients with a personal history of unexplained thrombosis (Tardy-Poncet et al 2003).

In conclusion, we showed in this study that PS deficiency leads to a poor anticoagulant response to TFPI, with a definite effect of free PS (the role of total PS remaining to be demonstrated). However, the dPT-based assay employed was not sensitive enough to distinguish patients with and without thrombosis. An ETP-based assay of TFPI NR might have proved more sensitive in terms of differentiating these two groups of patients.

This work has been accepted for publication in Thrombosis Research

# 4) References

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# III) Implication of TFPI on gestational vascular complications

Pregnancy corresponds to an acquired hypercoagulable state that is related to an increase of coagulation factors and a decrease of inhibitor factors. Among these variations it is noteworthy that there is an over expression of tissue factor (TF) and an important decrease of Protein S (PS) (Comp et al 1986). Because TF initiates plasmatic coagulation by activating FVII, it gives to TFPI a big importance each time TF is over expressed. TFPI and PS levels are closely linked (Castoldi et al 2009); however during pregnancy free PS levels decrease while free TFPI levels remain rather stable (Sarig et al 2005).

Pre eclampsia is one of the great obstetrical syndromes that complicate pregnancy; it has been shown to be associated to very high TF plasma concentration compared to normal pregnancy. Similarly, TFPI concentrations were higher in pre eclampsia than in normal pregnancy (Abdel Gader et al 2006, Erez et al 2008). They also demonstrated that the ratio of TFPI/TF in patients with pre-eclampsia was lower than normal pregnancy suggesting that the increase in TFPI plasma concentrations observed in pre-eclampsia may not be sufficient to compensate for the higher plasma TF concentration observed in these patients and the overall balance is a procoagulant state (Erez et al 2008). This TFPI/TF ratio may represent a good indicator for the severity of a procoagulant state in the context of gestational vascular complications (GVC).

The main objective of this study was to evaluate TFPI activity during pregnancy and to compare this parameter between patients with and without GVC.

The second objective of this study was to evaluate the degree of plasma resistance to exogenous TFPI as an indicator of the risk of GVC during pregnancy and to compare the degree of TFPI resistance between patients with and without GVC.

This study is an ancillary study of the prospective multicentric study named ANGIOPRED conducted by Prof C. Chauleur (Prediction of the risk of placental vascular pathology and venous thromboembolic disease: role of angiogenic factors, hemostasis and uterine artery Doppler; NCT 00695942).

This study has been approved by the Ethical Committee of St Etienne Sud Est I and all the patients had signed an informed consent. Patients from CHU St Etienne and from CHU of Nîmes were enrolled into the study.

# 1) Patients, material and methods

# 1a) Patients and controls:

Were included in ANGIOPRED study, all the patients who already had GVC in the past or who had a risk factor of developing GVC. Morover all patients who had a risk factor of DVT or who already had a DVT were included into the study. Inclusion and exclusion criteria are listed below:

### Inclusion criteria:

- Previous history of one or more GVC episodes (preeclampsia, HELLPs, retroplacental hematoma, vascular in utero growth retardation <10<sup>th</sup> percentile, recurrence miscarriage > 2, unexplained in utero foetal death (IUFD) or IUFD after abruption placentae, eclampsia)
  - Previous history of personal venous thromboembolism
  - Diabetes
  - chronic hypertension
  - Chronic renal pathology
  - Lupus
  - Obesity
  - Antiphospholipid syndrome
  - Early and late pregnancy (<18 years; > 38 years)
  - -Family history of cardiovascular disease or venous thromboembolim
  - Known biological thrombophilia

## Exclusion criteria

- Multiple pregnancy
- Past history of in utero fetal death due to congenital malformations, rhesus incompatibility or an infection
  - Previous history of IUGR related with a chromosomal or infectious anomaly

In this ancillary study, we included only the ANGIOPRED patients for whom all samples (during pregnancy and after delivery) were available. Controls were 34 women in a good health without any past of GVC during pregnancy and without any past of DVT who did not take any contraception at the time of sampling.

## 1b) Material

Blood samples had been collected at different stage of pregnancy: 20, 24, 28, 32, 36 weeks of amenorrhoea (AW) and 6 weeks at least after the delivery (AD)

Blood samples were collected in tubes containing 0.129 mol.L<sup>-1</sup> trisodium citrate anticoagulant. After a simple centrifugation (15 min at 2500xg), aliquots of plasma were stored at -70°C until analysis.

## 1c) Anticoagulant response to exogenous TFPI:

To evaluate the anticoagulant response of plasma to exogenous TFPI, we used a diluted prothombin time (dPT) assay with and without exogenous TFPI as described in annexe 3. Recombinant tissue factor (Innovin®) was purchased from Behring Siemens. Full-length recombinant human TFPI from American Diagnostica was used at the final concentration of 83ng.ml<sup>-1</sup>.

# 1d) Chromogenic measure of TFPI activity

To evaluate the anticoagulant activity of endogenous TFPI, we used the chromogenic assay described by Sandset and slightly modified as described in Annexe 3.

## 1e) Stastitical analysis

Quantitative data are reported as mean ± standard deviation, median and interquartile range, minimum and maximum. Qualitative data are expressed as percentages. Quantitative data were compared between groups using the Student's t test or the median test. Chi 2 test or Fisher's exact test were used to compare qualitative data between groups. The software "Sigma Plot 10" was used for drawing the box plots.

# 2) Results

Seventy two patients have been included in this ancillary study. Fifteen patients presented a gestational vascular complication (GVC + group). Fifty seven patients did not have any gestational vascular complication (GVC – group)

#### 2a) TFPI activity

TFPI activity normal values have been defined in the control group (N=34), mean TFPI activity: 92.5±18.5%. The lower limit (defined as mean -2 SD) of the normal range for TFPI activity was 55 %. TFPI activity has been measured for the 72 patients at risk of GVC during pregnancy and after delivery.

TFPI activity at 20 AW was significantly decreased compared to TFPI activity after delivery (p< 0.0001). TFPI activity at 24 AW was significantly decreased compared to TFPI

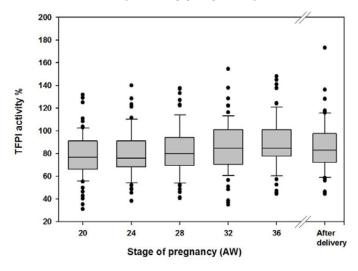
activity after delivery (p< 0.001). At 28, 32, 36 AW there was no difference in TFPI activity compared to the values measured after delivery. Table III-1 and Figure III.1.

Table III.1: TFPI activity during pregnancy and after delivery (n=72 patients)

Stage of pregnancy (AW)	20	24	28	32	36	AD
TFPI activity % (médian±SD)	76.5±19.9	76.1±20.2	80.2±22.4	84.7±23.3	84.9±22.9	82.9±22.3

AW: amenorrhea week; AD: after delivery

Figure III.1: TFPI activity during pregnancy and after delivery.



The figure represents the median, the Q1-Q3 of TFPI activity and the extreme values as well.

There is a high variability of TFPI activity between patients but for a same patient TFPI activity levels remained low or high all over the pregnancy.

Four patients had a low TFPI activity at each stage of pregnancy (median TFPI activity 20AW: 38%, 24AW: 49%, 32AW: 44%, 36AW: 50%) that remained low even after the delivery (median: 46%). None of them had a GVC. Their characteristics are given in table III - 2.

Table III.2: Characteristics of the 4 patients with TFPI activity remaining low even after delivery.

Patient	Clinical characteristic	History of DVT	History of GVC	Treatment during pregnancy	TFPla (AD)	TFPI NR (AD)
1	Obesity	0	0	0	45 %	0.79
2	Obesity,lupus,	0	0	Aspirin+ HBPM	44 %	0.80
3	Obesity	0	MFIU	Aspirin	46 %	0.81
4	0	0	Pre-	Aspirin	55 %	0.80

It is interesting to note that among the 4 patients who still had a low TFPI activity after delivery two presented a GVC in the past, and three were considered to be obese. The lipids statut (including Lp (a) levels) of these patients was unknown.

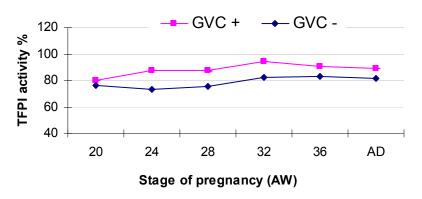
During pregnancy, patients with a GVC had higher TFPI activity than patients without any GVC. After 24 AW this difference was statistically significant. Table III.3 Figure III.2

Table III.3: Comparison of TFPI activity between patients with and without GVC for the different stages of pregnancy.

Patient group	Stage of pregnancy (AW)						
	20	24	28	32	36		
GVC +	80±16	88±17	87±17	94±19	90±20		
GVC -	76±21	73±20	75±23	82±24	83±23		
p value	0.095	0.008	0.022	0.045	0.055		

Median±SD of TFPI activity; AW: amenorrhae week; GVC: gestational vascular complication

Figure III.2: Median TFPI activity during pregnancy and after delivery for patients with and without GVC.



GVC: gestational vascular complication, AD: after delivery

It is noteworthy that among the 15 patients who suffered a GVC four did not receive any prophylactic treatment. Eight patients were treated: six received aspirin, one LMWH at prophylactic dose, one was on aspirin and LMWH at prophylactic dose and then at curative dose because of an eclampsia. For three patients included in a randomized blinded study (Prefix study: LMWH/Placebo) we still do not know if these 3 patients received a LMWH or not.

After delivery TFPI activity levels remained higher in the group of patients with GVC (n=15) compared to the group of patients without GVC (n= 57) (median  $89.0\pm27.8\%$  and  $81.2\pm19.6$  respectively; p=0,018)

However outside pregnancy there was no difference in median values of TFPI activity between patients with a GVC (median  $89.1\pm27.7$ ) and controls (median  $89.6\pm18.5$ ) Figure III.3.

200 p= 0.018 180 160 140 **IFPI** activity % 120 100 80 60 o= NS 40 p = 0.0220 GVC- (N=57) GVC+ (N=15) Controls (N=34)

Figure III.3: TFPI activity in patients outside pregnancy and in paired-controls.

GVC: gestational vascular complication

### 2b) TFPI resistance

Diluted prothrombin time (dPT) was stable during all pregnancy and is not shorter during pregnancy compared to dPT measured after delivery (Table II-6)

TFPI NR normal values have been defined in the control group (N=34), mean TFPI NR  $0.88 \pm 0.09$ . The lower limit, defined as mean - 2SD, was found at 0.70. This value was defined as the cut off under which the plasma was considered to be resistant to TFPI. None of the 34 controls had a TFPI NR under 0.70.

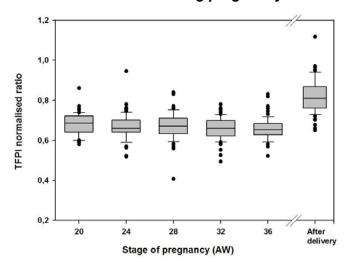
For all pregnant patients, the response of the plasma to TFPI during pregnancy was out of the normal values and was stable at all stages of pregnancy (from 20 AW to 36 AW). Their plasmatic response to TFPI during pregnancy was also statistically lower than the response to TFPI after delivery in the same patients (whatever the stage of pregnancy p<0,0001) (Table III.4 and figure III.4).

Table III.4: TFPI sensitivity at different stages of pregnancy (n=72 patients)

Stage of pregnancy (AW)	20	24	28	32	36	AD
dPT initial (sec.) (median±SD)	57.1±10.2	57.9±7.6	57.1±10.9	58.3±10.4	57.1±9.2	57.7±8.0
TFPI NR (médian±SD)	0.68±0.06	0.67±0.06	0.67±0.07	0.66±0.05	0.65±0.05	0.81±0.08

dPT: Diluted Prothrombin Time; TFPI NR: TFPI Normalised Ratio

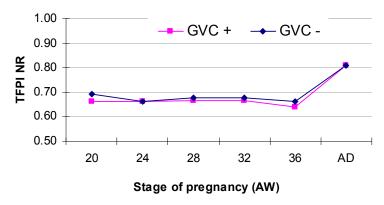
Figure III.4: TFPI resistance during pregnancy and 6 weeks after delivery.



The figure represents the median and the Q1-Q3 of TFPI NR at each stage of pregnancy for the 72 patients; the extreme points are also presented.

We compared TFPI NR between patients with GVC (n =15) and patients without GVC (n = 57). There was no TFPI NR difference between the 2 groups of patients all along the pregnancy (Figure III.5)

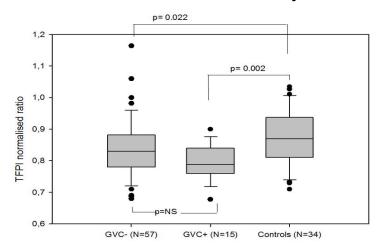
Figure III.5: median TFPI resistance during pregnancy and after delivery for the patients with and without GVC.



TFPI NR median values are given at each stage of pregnancy for the patients with GVC and for patients without GVC; GVC: gestational vascular complication

We compared TFPI NR values after delivery between patients GVC-, patients GVC+ and controls: Mean TFPI NR was lower in patients (GVC- and GVC +)  $(0.83\pm0.09)$  than in controls  $(0.88\pm0.09)$ , (p=0.04). Moreover TFPI NR was lower in patients GVC + (mean TFPI NR  $0.80\pm0.05)$  compared to patients GVC- (mean TFPI NR  $0.84\pm0.09$ ), but this difference was not statistically significant (p= 0.078). TFPI NR was lower in patients GVC+ compared to controls and this difference was statistically significant (p= 0.002). TFPI NR was also lower in patients GVC- compared to controls and this difference was statistically significant (p= 0.02). Fig III.6.

Figure III.6: TFPI normalised ratios after delivery



The figure represents the median and the Q1-Q3 of TFPI NR for GVC – and GVC + patients after delivery and for controls.

Four patients had a TFPI resistance (TFPI NR < 0.70) after delivery. None of them was on oral contraception. The characteristics of these 4 patients are summarized in table III.5. Two of them were obese, one had a lupus anticoagulant and one had a 20210 G/A mutation. Two of these patients already had more than 2 miscarriages.

Tableau III.5: Characteristics of the 4 patients with TFPI normalised ratio remaining low even after delivery.

Patient	Treatment during	Biological	History of	Risk factor for	GVC	TFPI	TFPI
	pregnancy	Thrombophilia	DVT/GVC	GVC		NR	activity %
1	0	ND	5 miscarriages	Obesity+diabetes	+	0,68	100
2	0	ND	0	Obesity	-	0,69	75
3	Aspirin+prophyl.LMWH	LA	0	0	1	0,69	80
4	Aspirin	20210G/A	2	0	-	0,68	87
		mutation	miscarriages				

LA: lupus anticoagulant

The 4 patients who presented a low TFPI activity after delivery were not the same patients who presented a low TFPI NR

## 3) Discussion

As soon as 20 weeks gestation, TFPI activity is higher in patients with GVC compared to patients without GVC and this difference remained after delivery. It has been well demonstrated that LMWH induces the release of TFPI from the endothelial cells (Sandset et al 2000). The higher value of TFPI activity observed in patients with GVC was probably not related to the release of TFPI by a prophylactic treatment with LMWH since only 2 patients with GVC received LMWH. Moreover a higher TFPI activity in patients with preeclampsia had already been described (Schjetlein et al 1999). An other study demonstrated that at 20 weeks gestation TFPI activity levels were significantly higher in those patients that developed preeclampsia when compared to matched controls (Hillman et al 2009). It has been postulated that TFPI activity could behave as a coagulation inhibitory mechanism attenuating hypercoagulability in pre-eclampsia very early in the disease process. Because TFPI increases before clinical signs of preeclampsia it raised the possibility of using measurement of TFPI activity as a clinical predictor of preeclampsia. After delivery, patients who suffered GVC had the same TFPI activity than controls excluding an inherited TFPI abnormality in

these patients. Six patients at risk of GVC (but who did not develop GVC) had low TFPI values. In other gestational complications (other than pre-eclampsia) TFPI was significantly lower compared with normally pregnant women (Aharon et al 2005).

Our results are in accordance with other studies that showed a higher maternal plasma concentration of free TFPI in women with pre-eclampsia that should balance the high plasmatic levels of TF in these women (Schjetlein et al 1999). However it has been shown in these patients that the ratio of TFPI/TF was significantly lower and could be considered as a marker for the presence of a hypercoagulable state in these patients (Erez at al 2008). Recently it has been shown that protein S acts as a cofactor for full length TFPI in the inhibition of free FXa by stimulating the initial interaction with TFPI (Hackeng et al 2006; Ndonwi et al 2008). We demonstrated that acquired PS deficiency that is observed during pregnancy is responsible for a TFPI resistance (Tardy-Poncet et al 2012). We speculated that the response of the plasma to exogenous TFPI could be a good marker for the presence of a hypercoagulable state related to a higher level of TF. We confirmed the presence of a TFPI resistance during the pregnancy and we demonstrated that it already exists at 20 weeks of gestation. This TFPI resistance remains stable all along the pregnancy and does not become more severe at the end of pregnancy. In this study, the resistance to TFPI does not exist any more 6 weeks after delivery demonstrating again that it is an acquired in relation with pregnancy itself. We can speculate that the TFPI resistance during pregnancy is related to the combined effect of PS deficiency and high levels of TF. However, the TFPI normalised ratio was not different between patients with and patients without GVC. This TFPI resistance assay was indeed not able to predict GVC in women at risk of developing this kind of pregnancy complications.

We will send an abstract to the next congress of Thrombosis and Haemostasis.

We will submit this work as a letter to the International Journal of Gynecology and Obstetric .

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## IV) Relation between Lipoprotein(a) (Lp(a)) and TFPI

## 1) Background

Cardiovascular disease (CVD) due to atherosclerosis of the arterial vessel wall and to thrombosis is the foremost cause of premature mortality in Europe. There are a lot of causes responsible for these CVD. Some of them are related to lifestyle, such as tobacco smoking, lack of physical activity, and dietary habits. These risk factors can be modified by changing lifestyle. Other risk factors, such as elevated blood pressure, type 2 diabetes, and dyslipidaemias can also be corrected. Obesity defined as a Body Mass Index (BMI) > 30 kg/m² is considered as a CVD risk factor. Coronary artery disease (CAD) is the most prevalent complication encountered in obese patients (Rana et al 2004).

Elevated serum Lp(a) has been found in several studies to be an independent risk factor for CVD in both men and women (Castelli et al 1986; Gambhir et al 2000; Aksoy et al 1999; Danesh et al 2000; Berglund et al 2004). Lp(a) is a low density lipoprotein similar in structure to LDL, but differs from LDL in having Apo(a), covalently linked to a apolipoprotein B100. Different epidemiological studies identified a positive correlation between Lp(a) levels and the severity of CVD. An elevated level of plasmatic Lp(a) is defined as > 0,3 g/l. Lp(a) is believed to be regulated mostly by genetics. Indeed, 90 % of the determinant factors are genetically dependent on sequences of the Apo (a) gene in chromosome 6 (Boerwinkle et al 1992). In inflammatory disorders, serum Lp(a) levels are elevated (Maeda et al 1989). Physical activity, age, diet and lipid lowering therapy do not change Lp(a) plasmatic level. Nevertheless, it has been shown that aspirin could decrease Lp (a) serum levels (Akaike et al 2002). This aspirin effect could be explained by a reduction of Apo(a) production in human hepatocytes by suppression of Apo(a) gene transcription (Kagawa et al 1999). Recently another study confirms the effect of aspirin on the reduction of Lp(a) in patient with ischemic stroke (Ranga et al 2007).

Lp(a) binds to macrophage that promote foam cell formation and deposition of cholesterol in atherosclerotic plaque. Lp(a) represents a link between atherosclerosis and thrombogenesis. Indeed, Lp(a) could also favor platelet aggregation and impair fibrinolysis because of a structural similarity with plasminogen (Mc lean et al 1987; Hancock et al 2003). Indeed, because Apo(a) is highly homologous to plasminogen, it competes with plasminogen for binding to its receptor, resulting in the inhibition of plasmin formation. Moreover it has been shown in vitro that Lp(a) could bind and inactivate the anticoagulant activity of TFPI (Caplice et al 2001).

Whether high Lp(a) levels could be responsible in vivo of a decrease of TFPI activity remains to be demonstrated.

## 2) Objectives of the study

**The main objective** of this study was to demonstrate in obese patients with a high plasmatic Lp(a) level and who suffer a CAD an in vivo inhibitory effect of high Lp(a) levels on free TFPI and on TFPI activity.

**The second objective** of the study was to confirm that aspirin was able to decrease the Lp(a) plasmatic levels and to evaluate the correction of TFPI activity at the same time.

## 3) Patients material and methods

## 3a) Patients

The study was conducted in the academia hospital of Saint Etienne. The study has been approved by the ethical committee of St Etienne (CPP Sud Est I). All patients signed an informed consent to entry into the study.

Patients who matched the including criteria were included at their first visit in cardiology. The typical clinical presentation of stable angina pectoris is retrosternal pressure or heaviness ('angina') radiating to the left arm, neck, or jaw due to ischemia of the heart muscle precipitated by some activity (running, walking, etc.) with minimal or non-existent symptoms at rest.

Including and excluding criteria are noticed in Table IV.1.

Table IV.1: Including and excluding criteria

Including criteria	Excluding criteria
Male older than 18 years old	Hepatic failure or neoplasia
Stable angina pectoris	Heparin therapy
*BMI>30 kg/m²	Oral anticoagulants therapy
Planned coronary imaging	Protein S deficiency
	Aspirin treatment

<sup>\*</sup>BMI=Body Mass Index

All the patients of the study had a coronary imaging either invasive by coronarography or non invasive by multi-sliced tomography computed (Siemens Somatom Germany).

## 3b) Materials and methods

For TFPI exploration blood samples were collected in tubes containing 0.129 mol/L trisodium citrate. After a double centrifugation (15 min; 2000g) plasma was collected and stored at -70 °C until analysis. For Lp(a) exploration blood samples were collected in dry tube for Lp(a) measure. After a simple centrifugation (15 min; 2000g) plasma was collected and stored at -70 °C.

Free TFPI level was determined by ELISA method using a commercial assay (Asserachrom<sup>®</sup> Free TFPI, Diagnostica Stago, Asnières, France) according to the manufacturer's recommendations. See Annexe 1.

TFPI activity was measured using the chromogenic assay described by Sandset (2000) See Annexe 3.

Lp(a) quantitative determination was done by means of particle-enhanced immunonephelometry using the BN\* Systems.

Briefly, polystyrene particles coated with specific antibodies to human Lp(a) are aggregated when mixed with samples containing Lp(a). These aggregates scatter a beam of light passed through the sample. The intensity of the scattered light is proportional to the concentration of the respective protein in the sample. The result is evaluated by comparison with a standard of known concentration.

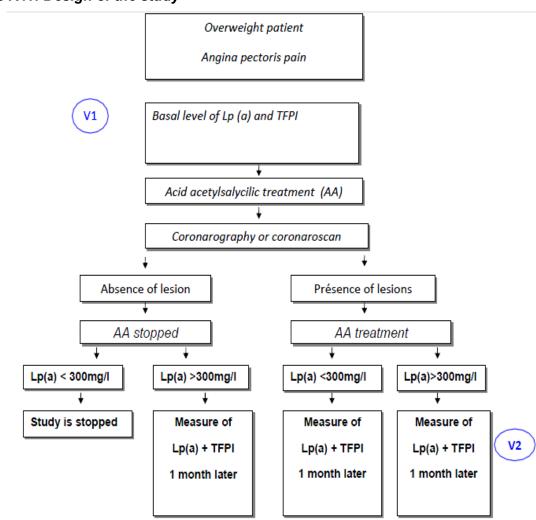
#### 3c) Design of the study

The design of the study is described in the following figure IV.1. Briefly:

1. At the day of the visit: blood samples were collected for the determination of free TFPI, TFPI activity and Lp(a) levels. Then, aspirin treatment 100 mg per day was introduced for the patients. Because the results obtained on the first 8 patients showed that aspirin 100 mg/day did not seem to have any effect on Lp(a) levels we changed one inclusion criteria in that patients already on aspirin treatment (generally 75 to 100 mg /day) could be enrolled into the study. For these patients already treated by aspirin (100 mg per day) aspirin dose was increased to 160 mg. An amendement to the protocole was proposed to and accepted by the CPP of St Etienne Sud Est I. It allowed to increase the number of inclusions and enhanced the chance of finding an aspirin effect on Lp(a) levels (by increasing the dose of Aspirin).

- 2. A coronary imaging was planned.
- 3. The patients who had no coronary artery disease and Lp(a) low level (<0,3 g/l) were excluded from the study.
- 4. The patients who had no coronary artery disease and Lp(a) level> 0,3 g/l did not receive aspirin and had a second consultation. They will correspond to the control group to evaluate the effect of aspirin on Lp(a) levels.
- 5. Patients with CAD and Lp(a) < 0.3 g/l were kept into the study to evaluate aspirin effect on normal levels of Lp(a).
- 6. All the patients had a second consultation at one month at least (+ 2 weeks). Blood samples were collected to measure Lp(a), free TFPI and TFPI activity levels.

Figure IV.1: Design of the study



### 3d) Statistical analysis

The relation between free TFPI and Lp(a) was studied using a Pearson's correlation curve and an unpaired T-test. A paired t-test was used to compare Lp(a), free TFPI and TFPI activity levels between 1<sup>st</sup> and 2<sup>nd</sup> visit. Graphs were done using the Sigma-Plot 10 graphing Software.

## 4) Results

Twenty eight patients were included into the study. Out of the 28 patients enrolled, 7 had no coronaries lesion. Out of these 7 patients, 4 had normal Lp(a) level and as planned in the study scheme they went out of the study. One patient didn't come to the second visit; his Lp(a) level was 0,02 g/l and his coronary angiogram revealed a significant coronary stenosis. This patient was also excluded. So, the total number of patients with both biological evaluation and complete follow-up is 23.

The baselines characteristics of patients and the repartition of patients in each group are given in Table IV.2 and figure IV.2.

Median age of our population was 59.2 (±10,9). All the patients were obese as defined by a BMI>30 kg/m². The risk factors for CVD were: arterial hypertension, diabetis, dyslipidemia and smoking. Only one patient had no risk factor, 7 patients had only one risk factor, 13 patients had 2 risks factors, 5 patients had 3 risk factors and 2 patients had the four risk factors. One patient already suffered a stroke in the past. None of the patient had presented a venous thrombosis in the past. Seven patients had a familial history of CAD.

Among the 23 patients studied, 14 needed only a medical treatment, 4 needed a surgical therapy by coronary artery bypass graft, 5 had a percutaneous coronary intervention using bar metal stent or drug eluting stent (these 5 patients needed Clopidogrel in addition to aspirin).

Figure IV.2: Flow Chart of included patients

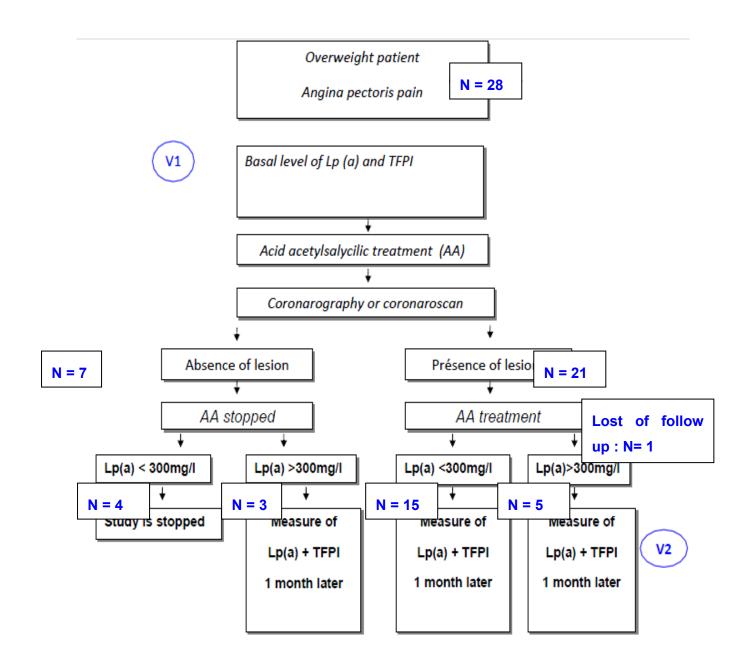


Table IV.2: Main baselines characteristics of patients.

	Patients	Lpa<0,3	Lpa>0,3
	(n=23)	(n=15)	(n=8)
Body Mass Index median (kg/m²)	33.24	33.24	33.12
Dyslipidemia (n)	14	9	5
Hypertension (n)	14	9	5
Smoking (n)	10	7	3
Diabete (n)	7	3	4
LDL (g/l) median Triglyceride(g/l) median Cholesterol total(g/l) median	1.00 2.18 1.91	0.99 2.19 1.83	1.01 1.89 1.66
Past history of pulmonary embolism	0	0	0
Family history of heart attack (n)	7	4	3
Family history of strokes (n)	1	1	0
Aspirin at first visit n (%)	7 (30%)	5 (33%)	2 (25%)
No aspirin first visit n (%)	16 (70%)	10 (67%)	6 (75%)
CRP median first visit	<3	<3	<3

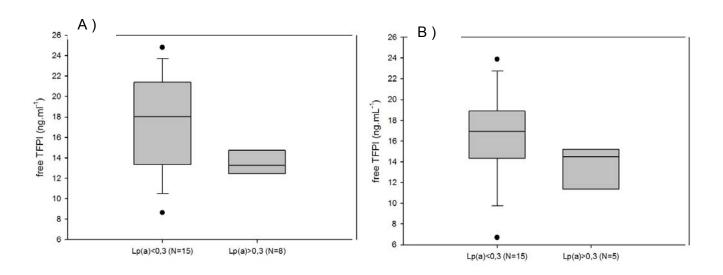
Out of the 23 patients, only 8 had Lp(a) level higher than 0,3 g/l. Out of these 8 patients, 3 had normal coronary angiogram, 2 had coronary artery stenosis of at least 70% of vessel diameter, and 3 patients had no significant coronary artery stenosis. The three patients with normal coronary arteries did not receive anti platelet drug.

Patients with high Lp(a) level (>0.3 g/l) had a lower free TFPI level than patients with a low Lp(a) level (<0.3 g/l). Indeed, in patients with high Lp(a) level the median of free TFPI is  $13.3\pm2.6$  ng/ml and  $14.5\pm2.1$  ng/ml (first and second visit respectively) compared to free TFPI levels of  $18.0\pm4.5$ ng/ml and  $16.9\pm4.3$  ng/ml (first and second visit respectively) in patients with low Lp(a) level. This difference reached statistically significance only at first visit (p=0.04) (Figure IV.3).

Figure IV.3: Comparison of the level of free TFPI between the group with normal levels of Lp(a) (< 0.3 g/L) and the group with elevated levels of Lp(a) (  $\geq$  0.3 g/L)

A): visit 1, p=0.04;

B): visit 2 (after one month of aspirin treatment), p=0.144



One of the patients had a low free TFPI level (8.7 g/L on the first visit and even lower at the second visit 6.7g/L) with a low Lp(a) value (0.08 g/L and 0.07g/L first and second visit respectively). This defect could correspond to an inherited TFPI deficiency; however this patient didn't have any personal or family history of venous or arterial thrombosis.

When all Lp(a) values were taken into account there was only a weak correlation between TFPI and Lp(a) level ( $R^2$ =0.177 p=0.053) (Figure IV.4a). However when only high (> 0.3 g/L) levels of Lp(a) were taken into account the correlation between Lp(a) levels and free TFPI was better ( $R^2$  = 0.34, p=0.018) (Figure IV.4b).

Figure IV.4a: Correlation curve between free TFPI levels and Lp(a) levels.

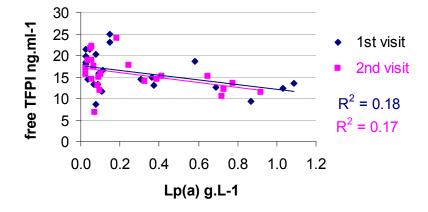
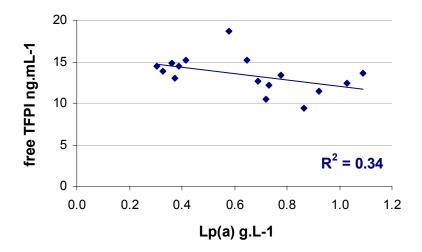


Figure IV.4b: Correlation curve between free TFPI and high Lp(a) levels (> 0.3g/L) (taking into account the values obtained at the first and second visit).

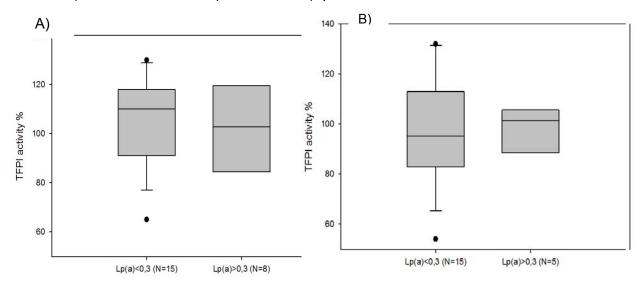


In patients with low Lp(a) levels, TFPI activity levels were the same as in patients with high Lp(a) levels: median TFPI a: 110  $\pm$ 17.8% and 95  $\pm$ 20.9% (first and second visit respectively) in patients with Lp(a) < 0.3 g/L; median TFPI a: 103 $\pm$ 17% and 101  $\pm$ 10.3% (first and second visit respectively) in patients with Lp(a) level  $\geq$  0.3 g/ (Figure IV.5).

Figure IV.5: Comparison of the level of TFPI activity between the group with normal levels of Lp(a) (< 0.3 g/L) and the group with elevated levels of Lp(a) (  $\geq 0.3 \text{ g/L}$ )

A: visit 1, p= 0.59

B: visit 2 (after one month of aspirin treatment), p=0.98



At the first visit 8 patients already received an anti platelet agent (7 patients received aspirin 75 to 100 mg + clopidogrel; 1 patient received clopidogrel alone). There was no difference of Lp(a) levels between patients with (n = 7) or without aspirin (n = 16) at the inclusion into the study: median Lp(a)=  $0.11\pm0.28$  g/L with aspirin vs  $0.08\pm0.37$  g/L without aspirin. For the 7 patients who already received aspirin (75 to 100 mg) at the entry into the study, aspirin doses were increased to 160 mg and, for 1 patient, clopidogrel was switched to aspirin 100 mg. For these patients, Lp(a) median at the first visit was 0.11 g/I ( $\pm0.283$ ). Increasing the aspirin dose to 160 mg per day did not modified the Lp(a) median at the second visit: 0.10 g/I ( $\pm0.240$ ). For patients without aspirin at the first visit (n=16), Lp(a) median was 0.08 g/L ( $\pm0.37$ ). The introduction of low dose of aspirin (75 to 100 mg per day) after the first visit did not modify the Lp(a) medians at the second visit: 0.06 g/I ( $\pm0.25$ ) whatever the aspirin dose prescribed. Even when all Lp(a) values were taken all together, we did not observe any Lp(a) decrease between the first and the second visit: median Lp(a)  $0.09\pm0.24$  g/L (p=0.28, paired t-test) (Figure IV.6)

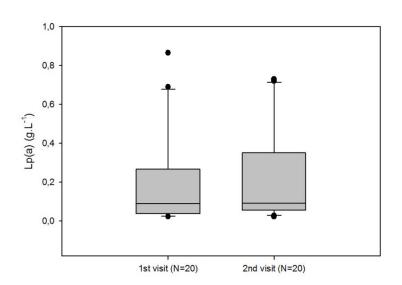


Figure IV.6: Effect of aspirin treatment on Lp(a) levels between visit 1 and 2 (p=0.28)

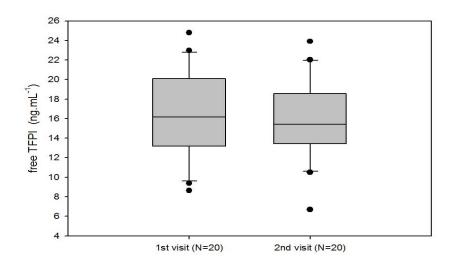
Even for the high basal level of Lp(a) ( $\geq$  0,3 g/l) there was no decrease of Lp(a) after aspirin treatment, median Lp(a) 0.58±0.23 vs 0.65±0.17 (1<sup>st</sup> and 2<sup>nd</sup> visit respectively) (table IV.3) .

Table IV.3: Lp(a), free TFPI and TFPI activity levels at first and second visit in the group of patients with a high (>0.3 g/L) Lp(a) level at the first visit

Pat		1 <sup>st</sup> visit			2 <sup>nd</sup> visit			
N°	Treatment	Lp(a) level (g.L <sup>-1</sup> )	Free TFPI (ng.mL <sup>-1</sup> )	TFPI activity (%)	Treatment	Lp(a) level (g.L <sup>-1</sup> )	Free TFPI (ng.mL <sup>-1</sup> )	TFPI activity (%)
1	Aspirin 75 + clopidogrel	0,31	14.5	105	Aspirin 160	0.39	14.5	101
2	0	0.36	14.9	121	Aspirin 75 + clopidogrel	0.41	15.2	109
3	0	0.38	13	79	0	0.33	13.8	67
7	0	0.58	18.6	83	Aspirin 100	0.65	15.2	82
4	clopidogrel	0.69	12.6	116	Aspirin 100	0.73	12.2	95
5	Aspirin 75 + clopidogrel	0.87	9.4	100	Aspirin 160	0.72	10.5	102
6	0	1.03	12.4	89	0	0.78	13.4	88
7	0	1.09	13.6	121	0	0.92	11.5	101

There was no significant difference in median level of free TFPI between the first and the second visit (median  $16.2 \pm 4.5$  ng/ml versus  $15.4 \pm 4.1$  ng/ml) using a paired T- test (p = 0.403) (figure IV.7).

Figure IV.7: Effect of aspirin treatment on free TFPI level between visit 1 and 2 (p=0.403).



TFPI activity decreased slightly between the first and the second visit (median 107.7  $\pm$  16.7 % versus 98.2  $\pm$  18.5 %, first and second visit respectively). Performing a paired T- test, this difference was statistically significant (p = 0.006) (figure IV.8).

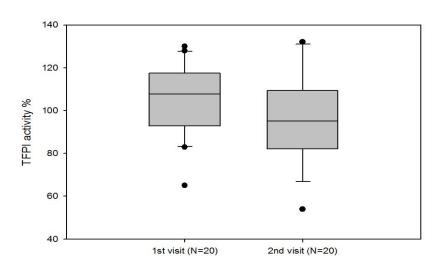


Figure IV.8: Effect of aspirin treatment on TFPI activity levels between visit 1 and 2, p=0.006

## 5) Discussion

Only eight patients (28%) had serum Lp(a) levels above 0.3 g/L. This rate of elevated Lp(a) in patients with CAD was similar to that have already been reported (Danesh et al 2000). In our study, the high levels of Lp(a) are not related to an inflammatory condition since all patients had a protein C reactive level < 3.

Free TFPI levels were lower when Lp(a) values were greater than 0.3 g/l whatever the time of sampling (before aspirin or after one month of treatment). So, our results suggest that there was an in vivo influence of Lp(a) levels on free TFPI values. One study showed that the levels of total TFPI were higher in patients with Lp(a) above 0.3 g/l (Nisio et al 2005). These results could be explained by the TFPI binding to Lp(a) (Hansen et al 2001, Bilgen et al 2005). So we could speculate that more important the lipoprotein fraction is, more important the TFPI bound to Lp(a) will be and as a consequence less important the free TFPI fraction will be. It has been shown that the Apo (a) portion of Lp(a) was most likely involved in TFPI binding and that the C –terminus of TFPI is important for Lp(a) binding (Caplice et al 1998). The same group showed that the binding of Lp(a) to TFPI is responsible for a reduction of TFPI activity in a concentration dependent manner (Caplice et al 2001). In our study there was no decrease of TFPI activity in patients with high Lp(a) levels. These results are in accordance with those of Nisio and al (2005). They are however unexpected if we

consider that the free fraction of TFPI supports the TFPI activity. In our study aspirin treatment did not lead to a decrease of Lp(a) even in case of high baseline levels of Lp(a) (>0.3 g/L).

In Kagawa study (1999) it had been shown in vitro that aspirin could reduce Lp(a) levels. In this study, the results were obtained in vitro with aspirin dose dramatically higher than the aspirin plasmatic concentration obtained in vivo; they demonstrated that therapeutically relevant concentrations of aspirin could effectively reduce the production of Apo(a) from cultured normal human hepatocytes by suppressing the apo(a) mRNA expression and gene transcription. In addition, aspirin also reduced the enhanced apo(a) gene transcription and mRNA expression along with the elevated apo(a) production from hepatocytes induced by IL-6. Therefore it was conceivable that aspirin could lower serum Lp(a) through reduction of apo(a) gene transcription in patients with high serum Lp(a), in whom the transcriptional activity of the apo(a) gene was considered to be high. The same group demonstrated that in patients with CAD or cerebral infarction low-dose aspirin treatment weakly lowered serum Lp(a) levels (to 80-85% of the baseline values) but only for high (>0.3 g/l) serum Lp(a) levels (Akaike et al 2002): indeed, no significant changes in serum Lp(a) concentrations in patients with low (< 0.3g/L) Lp(a) level were observed during 6 months of aspirin treatment. In this study they also showed that the percentage of decrease in serum Lp(a) was larger in patients with a specific Apo(a) isogroup. They suggested that aspirin lowers serum Lp(a) through reduction of apo (a) gene transcription in patients with high serum Lp(a) in whom the transcriptional activity of the apo (a) gene is considered to be high. Because we didn't find a reduction of Lp(a) with aspirin treatment, we could suggest that it is related to the presence of different polymorphism of Apo(a) gene that give to Apo(a) a different sensitivity to aspirin. Indeed, our study was conducted in Caucasian patients, as the study who showed a Lp(a) reduction with aspirin was conducted in Japanese patients. It seems conceivable to think that as there is an influence of apolipoprotein A gene polymorphisms on lipoprotein (a) concentration (Park et al 1999), there could be at the same time an influence of apolipoprotein A gene polymorphisms on Apo (a) gene sensitivity to aspirin. The study of Ranga and al (2007) evaluated in 25 patients with a first ischemic stroke the effect of aspirin on Lp(a) levels after 4 weeks of daily treatment with 150 mg of aspirin. Lp(a) levels in patients were (27.40 ± 22.30 mg/dL). Eighty percent of patients had serum Lp(a) levels of more than 0.1g/ L. After 4 weeks of treatment with aspirin, Lp(a) levels declined significantly (46.24%) from baseline. Patients with baseline levels greater than 0.25 g/L showed greater decline (55.63%) compared with those with levels less than 0.25 g/L (26.63%) (p = 0.008). It is noteworthy that the lowering effect is better in this study in which the aspirin dose was twice the dose prescribed in Akaike study. The Lp(a) increase has been

hypothesized as a result of its increased rate of production as it is accompanied by increased levels of other acute phase reactants such as C-reactive protein and IL-6 (Zakai et al 2007, Woodward et al 2007). In Ranga study, if we consider the high erythrocyte sedimentation rate (ESR) (41.7  $\pm$  10.6), the high level of Lp(a) measured at the acute phase could be considered to be related to the presence of an inflammatory syndrome. In this study, we can speculate that the decrease of Lp(a) one month later could rather be related to the disappearance of the inflammation rather than to the aspirin effect. Our study showed a statistically significant difference in TFPI activity (and not in free TFPI) after aspirin treatment; however this is a low difference that has probably no clinical significance.

## 6) Conclusion

Finally, in obese patients with stable angina pectoris, Lp(a) levels might be associated to lower free TFPI levels but not to lower TFPI activity. No effect of aspirin treatment was observed on Lp(a) level. These results have to be taken with caution because of the low number of patients with high Lp(a) levels. The study is still running on to verify theses results on a bigger number of patients.

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## V) TFPI as a primary physiological regulator of bleeding in haemophilia

Haemophilia A and B are severe bleeding disorders caused by deficiency of blood coagulation factors VIII and IX (FVIII and FIX), respectively. Patients with haemophilia often suffer from spontaneous bleeding within the musculoskeletal system, such as haemarthrosis, that can result in disability at a young age if not treated with i.v. infusions of the missing coagulation factor. Because FVIII and FIX are proteins of the intrinsic pathway, a classical question in blood coagulation has raised; why do haemophilia patients bleed despite having a fully functional extrinsic blood coagulation pathway (Broze et al 1992). TFPI (tissue factor pathway inhibitor) is a potent direct inhibitor of factor Xa and Xa-dependent inhibitor of the factor VII/tissue factor (TF) complex. With the generation of factor Xa, the inhibitory effect of TFPI becomes manifest as the factor Xa/TFPI complex prevents further production of factor Xa and factor IXa by inhibiting the factor VIIa/TF complex (Baugh et al 1998). Under these circumstances additional factor Xa can only be produced via the alternative pathway, involving factor IXa and factor VIIIa, factor IXa being generated initially by the action of factor VIIa/TF and then additionally by the action of factor XIa. Thus, TFPI feedback inhibition of factor VIIa/TF can explain the clinical need for both extrinsic and intrinsic coagulation pathways and a deficiency in factor Xa production in haemophiliac plasma. However, the FXa generated during initiation of coagulation promotes inhibition of TF-VIIa by tissue factor pathway inhibitor (TFPI), making propagation of the coagulation cascade dependent on the activity of the FIXa-FVIIIa complex (Broze et al 1992).

Shortly after the discovery of TFPI, in vitro biochemical studies using purified proteins demonstrated that FXa generation in TF-initiated reactions was significantly reduced by TFPI when performed in the absence of FVIII and FIX but to a much lesser degree in their presence, suggesting a central role for TFPI inhibitory activity in the pathogenesis of bleeding in haemophilia (Nordfang 1991; Welsch 1991; Van't Verr 1994). Lack of TFPI inhibition of TF–FVIIa might consequently compensate for FIX or FVIII deficiency and mitigate bleeding in patients with haemophilia. It has been shown that anti-TFPI antibodies shortened diluted tissue factor clotting time and activated partial thromboplastin time (aPTT) in plasma samples drawn 15 min after anti-TFPI antibody infusion into haemophiliac rabbits, suggesting that blocking TFPI at least partly normalizes the bleeding disorder (Erhardtsen et al 1995). To further investigate the physiological mechanisms through which TFPI modulates bleeding in hemophilia, Maroney and al (2012) undertook studies of genetically altered mice with combined FVIII deficiency and TFPI deficiency. The presence of FVIII deficiency did not rescue the embryonic lethal phenotype of TFPI null (TFPI-/-) mice, and decreased TFPI

plasma levels in TFPI+/- mice did not alter the bleeding phenotype of Factor VIII null (F8-/-) mice as assessed using whole-blood TEG and tail bleeding assays. Thus, a 50% reduction in TFPI activity is not sufficient to alter haemophilia bleeding in this mouse model (Maroney 2012). When F8-/- mice were transplanted with fetal liver cells from Tfpi-/- embryos, they had significantly less blood loss in tail bleeding assays and significantly larger clot volume following vascular injury than mice transplanted with fetal liver cells from Tfpi+/+ embryos. These data suggest that TFPI present within the platelets accumulating at the site of vascular injury, is a physiological modulator of bleeding in mice with haemophilia A. The same group demonstrated that mice lacking hematopoietic TFPI have increased thrombus growth following electrolytic vascular injury (Maroney et al 2011). Based on these findings, they suggested that a physiological activity of platelet TFPI is the inhibition of blood-borne TF procoagulant activity accumulating within a growing thrombus (Maroney et al 2011).

# 1) Free TFPI levels in haemophilia patients

Are the differences of haemorragic manifestations between haemophilia patients A and B related to different TFPI levels in these 2 groups of patients?

### 1a) Background

A lower incidence of bleeding in haemophilia B patients than in haemophilia A patients has been consistently reported (Shulman et al 2008, Pai et al 2005, Ludlam et al 2000). Overall admission rates for patients with haemophilia B are two to three times lower than for those with haemophilia A at all levels of severity (Ludlam et al 2000). Moreover, the haemophilia severity score has been shown to be higher in patients with severe haemophilia A than in those with severe haemophilia B (Shulman et al 2008). This finding is consistent with the report that patients with haemophilia A bled more often and appeared to make more frequent use of factor concentrate than those with haemophilia B (Pai et al 2005). The reason for this difference in haemorrhagic manifestation was not identified.

We had hypothesized that a potential scientific basis for the phenotypic variations observed between haemophilia A and B patients could be related to a difference in TFPI levels

The aim of our study was to compare TFPI levels in haemophilia A and B patients irrespective of the severity of factor VIII or factor IX deficiency.

## 1b) Patients and Methods

The plasma samples analysed had been collected from 30 haemophilia A patients (13 with minor or moderate and 17 with severe haemophilia) and from 21 haemophilia B patients

(12 with minor or moderate and 9 with severe haemophilia) between January 2005 and December 2006, at the time of routine annual tests. The blood was collected in 0.129 m trisodium citrate anticoagulant (9/1). Platelet poor plasma was obtained by two 15 min centrifugations at 2500 g and frozen at  $-80^{\circ}$ C. Lipoprotein free TFPI levels were measured using ELISA (Asserachrom free TFPI, Stago Asnieres France). The free form of TFPI is measured because it supports the anticoagulant activity of the molecule. The normal values of free TFPI used were those determined by the manufacturer in 36 healthy men:  $11.4 \pm 4.2$  ng mL<sup>-1</sup> (mean  $\pm$  SD).

#### 1c) Statistics

The normality of the value distribution of free TFPI levels for haemophilia patients was checked with the Shapiro-Wilk test. As the distribution of the values was not normal, a rank test was performed to compare the free TFPI values between haemophilia A and B patients.

#### 1d) Results

The mean age of the haemophilia A patients was 29 years (range: 2–61) and that of the haemophilia B patients was 34 years (2–63). Free TFPI levels were lower in haemophilia B patients than in haemophilia A patients (8.84 ng/ mL and 12.0 ng/mL) respectively, taking into account all levels of disease severity and this difference was highly statistically significant (P = 0.0001) despite the low number of patients. This difference in TFPI levels between haemophilia A and B patients was observed irrespective of the severity of haemophilia (minor or moderate and severe) and this difference was also significant for the individual severity categories (Table V.0)

Table V.0: TFPI levels in haemophilia A and B patients as a whole and according to disease severity

	Haemophilia A	Haemophilia B	P Value
Free TFPI levels irrespective of haemophilia severity	N = 30	N = 21	0.0001
	Mean (±SD): 12.07 (±3.15)	Mean (±SD): 9.04 (2.02)	
	Median (Q1:Q3):12 (9.60:14.02)	Median (Q1:Q3): 8.84 (7.82:11.11)	
Free TFPI levels in patients with minor or moderate haemophilia	N = 13	N = 12	0.0316
	Mean (±SD): 12.42 (±3.83)	Mean (±SD): 8.84 (±1.78)	
	Median (Q1:Q3): 13.20 (8.25:14.75)	Median (Q1:Q3): 8.61 (7.94:9.90)	
Free TFPI levels in patients with severe haemophilia	N = 17	N = 9	0.0250
·	Mean (±SD): 11.8 (±2.6)	Mean (±SD): 9.3 (±2.40)	
	Median (Q1:Q3): 12 (9.60:13.80)	Median (Q1:Q3): 10.33 (6.70:11.27)	

TFPI, Tissue factor pathway inhibitor.

#### 1e) Discussion

This study therefore indicates that haemophilia B patients have lower free TFPI levels than haemophilia A patients. As blocking TFPI facilitates haemostasis initiated by FVIIa/TF, thereby compensating for impaired FIX/FVIII-dependent coagulation and reducing bleeding (Erhardtsen E et al 1995), the fact that haemophilia B patients bleed less often than haemophilia A patients could be related to the lower level of free TFPI measured in these patients. The decrease of free TFPI levels in haemophilia B patients could compensate the lower level of FVIIa because of the lack of FIX, FIXa being the principal in vivo activator of FVII [Wildgoose et al 1992]. Not all haemophilia patients with the same FVIII or FIX levels present similar clinical signs. Moreover, it has also been shown that thrombin generation is not always related to FVIII or FIX levels in haemophilia patients (Dargaud Y et al 2005). This thrombin generation difference might be related to a difference in TFPI levels as well.

#### 1f) Conclusion

We showed in this study that a potential scientific basis for the phenotype variations observed between haemophilia A and B patients could be related to a difference in TFPI levels, which are lower in haemophilia B patients than in haemophilia A patients, regardless of the severity of factor VIII or IX deficiency.

These results have been published (Haemophilia 2011).

# 2) Free TFPI levels and thrombin generation in haemophilia patients

Is there in haemophilia patients:

- A relation between TFPI levels and thrombin generation parameters?
- A relation between TFPI levels and haemorrhagic manifestations?
- A correction of thrombin generation registered in PRP in presence of an anti TFPI antibody?

We know that, for a same level of anti-haemophilic factor, the haemorrhagic manifestations vary from one patient to another (van den Berg at al 2007, Shetty et al 2007, Van Dijk et al 2007); and it has already been shown in haemophiliac patients that thrombin generation is not always related to factor VIII or IX levels (Dargaud et al 2005, Santagostino et al 2010).

We would like to answer the following questions:

- Is the difference in thrombin generation profile observed from one patient to an other (in each group of haemophilia A and B severe, moderate and minor) related to a difference in TFPI levels? In other words is there a correlation between free TFPI levels and the different parameters of thrombin generation?
- Is there a relation between free TFPI levels and the clinical severity (estimated with clinical haemorrhage score) of haemophilia (in each group of haemophilia A and B severe, moderate and minor)?
- Is TFPI neutralization by an anti TFPI antibody responsible for a correction of thrombin generation in PRP of haemophilia patients?

To answer these questions we are currently conducting a study that has been approved by the Ethical Comittee (CPP St Etienne Sud Est I). Four haemophilia centers take part to the study: University Hospitals of St Etienne, Lyon, Marseille and Dijon.

The objectives of this study are:

- Looking for a relation between free TFPI levels and the different parameters of thrombin generation assay.
- Looking for a relation between thrombin generation parameters and the haemorrhage score of haemophilia patients.
- Looking for a relation between TFPI levels and haemorrhage score in haemophilia A patients.

- Looking for a relation between TFPI levels and haemorrhage score in haemophilia B patients.
- Comparing the correction of thrombin generation between PPP and PRP when neutralising TFPI with a TFPI antibody and define for each patient the concentration of antibody needed to obtain an ETP (endogenous thrombin potential) greater than 1300 on PRP (this value being considered as the lower value of the normal range).

#### 2a) Patients and methods

#### **Patients**

The following patients are included:

- Haemophila A and B patients between 18 and 65 years old, whatever the severity of their disease, who have signed the informed consent form.
- On-demand therapy patients or on prophylaxis patients. Patients can be included if the last factor VIII infusion occurred more than 72 hours before blood collection or if the last factor IX infusion occurred more than 96 hours before blood collection. The following patients are not included into the study:
- Haemophilia patients under 18, patients with data over the last 5 years at least unavailable,
- Patients with an inhibitor at any time before or during the study period,
- Patients on prophylaxis who received factor VIII concentrate less than 72 hours or factor IX concentrate less than 96 hours before blood collection.

#### **Material and Methods**

Blood is collected when patients come for a routine visit at the Haemophilia Center.

Three S-Monovette tubes (Sarstedt) containing 0.106 Mol/I trisodium citrate and CTI (Corn Trypsin Inhibitor) and 2 tubes containing 0.129 M tri- sodium citrate are taken.

Platelet-rich plasma is obtained by centrifugation of the 3 S-Monovette at 150 G for 10 minutes at room temperature, with collection from the upper half of the PRP fraction. Because there is no variation of thrombin generation when platelet count is above 150 g/L, the platelet count is not adjusted to 150 G/L (Hemker et al 2003).

Platelet-poor plasma is obtained by a double centrifugation of the 3 S-Monovette and of the 2 citrate tubes at 2500 G for 15 minutes at room temperature and frozen at -80° C.

Free TFPI levels are measured by ELISA (Asserachrom free TFPI, Stago Asnières France) according to the manufacturer's recommendations and as described in the techniques

section (annexe 1). Free TFPI normal values have already been determined in normal controls in a previous study (annexe 1).

Thrombin generation is registered in PRP in a Fluoroscan Ascent fluorometer (Thermolab systems OY, Helsinki, Finland) less within two hours after blood collecting.

Thrombin generation is registered in frozen PPP in a Fluoroscan Ascent fluorometer (Thermolab systems OY, Helsinki, Finland).

Thrombin generation is measured using the CAT system (Hemker et al 2003).

Increasing amounts of anti human TFPI antibody were added to PRP and to PPP in order to obtain anti TFPI Ab concentrations ranging from 0.25 to 4.0 µg.mL<sup>-1</sup>.

Thrombin generation assays in PPP and in PRP are detailed in Annexe 5.

All the clinical data that are collected are listed in annexe 7

In severe haemophilia patients we will use a composite scoring system designed to reflect the clinical severity of haemophilia and named HSS for Haemophilia Severity Score (Schulman et al 2008). It consists in the sum of three components: annual incidence of joint bleeds, orthopaedic joint score and annual factor utilization (annexe 8).

#### Statistical analysis

Spearman coefficients were calculated to evaluate the correlations between Free TFPI levels and the thrombin generation parameters. A p-value less than 0.05 was considered as statistically significant. Correlation of basal ETP level / ETP correction and correlation of basal LT / LT correction were made using R square.

We will also search for a correlation between thrombin generation parameters and haemorrhage scores for severe haemophilia patients using either R-square or Spearman coefficients (depending on the normality of values distribution)

We will search for a correlation between TFPI levels and haemorrhagic score for severe haemophilia A patients and for severe haemophilia B patients using the least squares method .All analyses will be performed on the available data from the patients included in the study.

All analyses will be performed using SAS software (SAS Institute, Cary, NC, USA).

### 2b) Results

We had previously looked at the influence of TFPI on the different parameters of thrombin generation assay. When TFPI was added in platelet poor plasma at a concentration of 80 ng/ mL, ETP and peak were decreased. At the same time the lag time was highly prolonged and the velocity of thrombin generation was slowed down. (annexe 5)

Lag time in PPP was not prolonged in haemophilia patients; however lag time in PRP was much prolonged in haemophilia patients at it is well illustrated in figures V.1 and V.2.

Figure V.2: Comparison of thrombogram profiles between PRP and PPP in a severe hemophilia A patient.

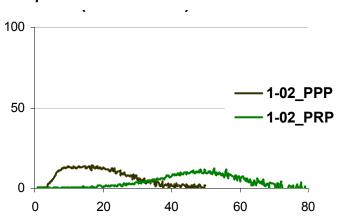
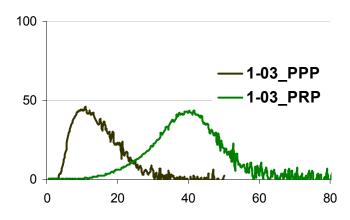


Figure V.2: Comparison of thrombogram profiles between PRP and PPP in a severe hemophilia B patient.



48 patients have been enrolled into the study until now. Only the results from St Etienne CHU laboratory will be given here.

Twenty one patients have been enrolled into the study at St Etienne Hospital (7 severe haemophilia A, 4 severe haemophilia B, 4 minor or moderate haemophilia A and 6 minor or moderate haemophilia B). Because it had been planned to collect all clinical data at the end of this multicentric study no results are given here concerning the relation of clinical haemorrhage score with TFPI levels and with thrombin generation.

In each group of haemophilia patients, there was a big variation of thrombin generation in PRP from one patient to an other. Indeed, the standard deviations values were very high for all the parameters of thrombin generation. This corresponded to a large variation of thrombin generation profiles observed between haemophilia patients. The values of the different thrombin generation parameters obtained in the different sub groups of haemophilia patients are given in Table V.1. Because of the low number of patients included at this time in each sub group it was not possible to make a statistical comparison of TFPI levels and thrombin parameters levels between the different groups of patients.

Table V.1: Thrombogram parameters and free TFPI levels in the different groups of haemophilia patients (Median ± SD)

	Lag time (min)	ETP nmol*min	Peak nmol	ttPeak min	Velocity index	Free TFPI ng.ml-1
Severe HA (n=7)	24.3±6.8	338±251	14.6±6.4	48.1±13.8	0.6±0.3	12.4±2.1
Severe HB (n=4)	20.0±23.8	360±482	24.2±15.0	57.6±37.2	1.2±1.1	11.2±4.4
Moderate HA (n=2)	19.5±5.0	551±341	24.9±22.9	44.8±19.6	1.5±1.8	12.6±7.9
Moderate HB (n=1)	24.1	991	47.4	38.0	3.4	10.6
Minor HA (n=2)	14.0±8.2	1086±464	41.5±10.9	30.8±7.0	2.5±0.5	7.1±1.8
Minor HB (n=5)	19.2±7.7	1213±193	76.4±22.2	35.9±10.4	6.1±3.5	12.7±2.5

HA: haemophilia A; HB: haemophilia B; ETP: endogenous thrombin potential; ttPeak: time to peak

In a first time we studied the relation between free TFPI and the different thrombin generation parameters in the different groups of haemophilia patients (Table V.2).

Table V.2: Relation between free TFPI levels and thrombogram's parameters in the different groups of haemophilia patients

Thrombogram parameters	Rhô2 Spearman	p-value
ETP	0.114	0.134
Thrombin peak	0.076	0.227
Velocity index	0.117	0.129
Lag time	0.263	0.017
ETP	0.556	0.0085
Thrombin peak	0.529	0.011
Velocity index	0.640	0.003
Lag time	0.529	0.011
ETP	0.004	0.867
Thrombin peak	0.027	0.651
Velocity index	0.050	0.533
Lag time	0.304	0.098
ETP	0.412	0.033
Thrombin peak	0.167	0.211
Velocity index	0.278	0.095
Lag time	0.64	0.003
ETP	0.016	0.726
Thrombin peak	0.00004	0.986
Velocity index	0.0003	0.960
Lag time	0.155	0.260
	parameters  ETP Thrombin peak  Velocity index  Lag time  ETP	parameters         Spearman           ETP         0.114           Thrombin peak         0.076           Velocity index         0.117           Lag time         0.263           ETP         0.556           Thrombin peak         0.529           Velocity index         0.640           Lag time         0.529           ETP         0.004           Thrombin peak         0.027           Velocity index         0.050           Lag time         0.304           ETP         0.412           Thrombin peak         0.167           Velocity index         0.278           Lag time         0.64           ETP         0.016           Thrombin peak         0.00004           Velocity index         0.0003

We found no relation between ETP and free TFPI ( $R^2$  = 0.114; p = 0.134) in all 21 haemophilia patients. However when we studied this relation into haemophilia A and B separately we found a statistically significant relation between free TFPI and ETP in haemophila A patients ( $R^2$  = 0.556; p = 0.0085) (Figure V.3); this relationship does not exist in haemophilia B patients ( $R^2$  = 0.004; p= 0.867). When haemophila A and B are mixed together, there is a good correlation between free TFPI and ETP in severe haemophilia ( $R^2$  = 0.412; p = 0.033) (Figure V.4), that we did not find any more in minor and moderate haemophilia ( $R^2$  = 0.016; p = 0.726);

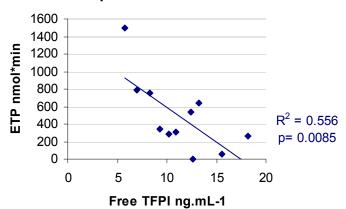
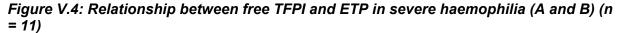
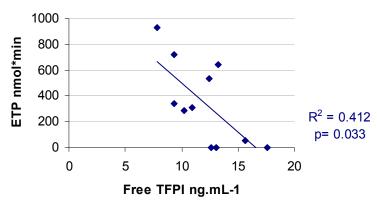


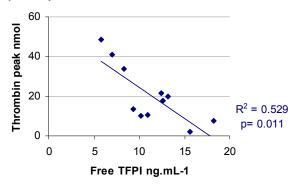
Figure V.3: Relationship between free TFPI and ETP in haemophilia A patients (n= 11)





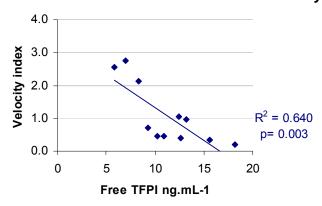
We found no relation between peak of thrombin and free TFPI ( $R^2$  = 0.076. p = 0.227) in all 21 haemophilia patients. However when we studied this relation into haemophilia A and B separately we found a relation between free TFPI and Peak in haemophilia A patients ( $R^2$  = 0.529; p = 0.011) (Figure V.5); this relationship does not exist in haemophilia B patients ( $R^2$  = 0.027; p = 0.651). When haemophilia A and B are mixed together, there is no correlation between free TFPI and Peak of thrombin neither in the group of severe haemophilia patients ( $R^2$  = 0.167; p= 0.211) nor in the group of minor and moderate haemophilia patients ( $R^2$  = 0.00004; p = 0.986).

Figure V.5: Relationship between free TFPI and thrombin peak in haemophilia A patients (n= 11).



We found no relation between the velocity index and free TFPI ( $R^2$  = 0.117; p= 0.129) in all 21 haemophilia patients taken together whatever the type and the severity of the disease. However when we studied this relation into haemophilia A and B separately we found a relation between free TFPI and velocity index in haemophila A patients ( $R^2$  = 0.640; p= 0.003) (Figure V.6); this relationship does not exist in haemophilia B patients ( $R^2$  = 0.050; p= 0.533). When haemophilia A and B are mixed together, there is a low correlation between free TFPI and the velocity index in severe haemophilia ( $R^2$  = 0.278; p = 0.095) that we did not find any more in minor and moderate haemophilia ( $R^2$  = 0.0003; p = 0.960).

Figure V.6: Correlation between free TFPI and velocity index in haemophilia A (n = 11)



Considering the lag time, the results were somewhat different; indeed we already observed a statistically significant correlation between lag time and free TFPI in all the 21 patients taken all together whatever the type and severity of the disease ( $R^2 = 0.263$ ; p = 0.017). This relation between free TFPI and lag time was stronger in haemophilia A patients ( $R^2 = 0.529$ ; p = 0.011) than in haemophilia B patients ( $R^2 = 0.304$ ; p = 0.098) taken separately (Figure V.7). When haemophilia A and B are mixed together, there is a statistically significant correlation between free TFPI and lag time in severe haemophilia patients ( $R^2 = 0.64$ ; p = 0.003) (Figure V.8), that we did not find any more in minor and moderate haemophilia patients ( $R^2 = 0.155$ ; p = 0.260).

Figure V.7: Correlation between free TFPI and lag time in haemophilia A (n = 11) and in haemophilia B patients (n = 10).

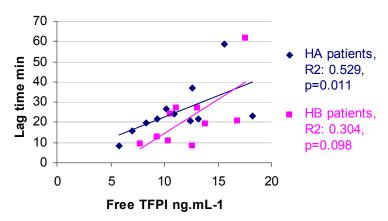
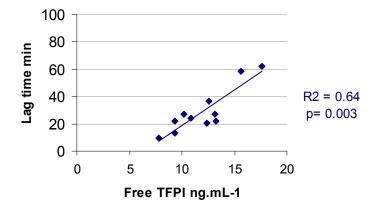


Figure V.8: Correlation between free TFPI and lag time in severe haemophilia (n = 11)



#### Effect of anti TFPI antibody on thrombin generation in haemophilia patients

In a second time we studied the effect of an anti TFPI antibody in PRP on the different parameters of thrombin generation. Figures 9 and 10 shows the correction of the thrombin generation as a function of the anti TFPI antibody concentration in severe haemophilia A patients (Figure V.9) and in severe haemophilia B patients (Figure V.10).

Figure V.9: Effect of anti TFPI antibody on thrombin generation (in PRP) in a severe hemophilia A patient.

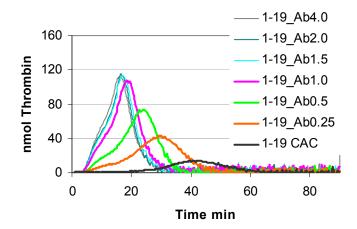
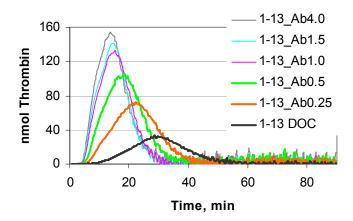


Figure V.10: Effect of anti TFPI antibody on thrombin generation (in PRP) in a severe hemophilia B patient



At a concentration of 1  $\mu$ g/ mL to 1.5  $\mu$ g/ mL the anti TFPI antibody reached a maximum effect on the correction of the different parameters of thrombin generation whatever the severity of the disease in haemophilia A patients (Tables V.3a and V.3b). Indeed for these patients we observed a kind of plateau with anti TFPI antibody concentrations between 1.5 and  $4\mu$ g/mL

Table V.3: Correction of thrombin generation in haemophilia A patients as a function of the anti TFPI concentrations (median ± SD)

#### 3a) In severe HA patients group (n=7)

Anti H TFPI μg.mL <sup>-1</sup>	0	0.25	0.5	1.0	1.5	2.0	4.0
ETP (nmol*min)	338±251	891±348	1446±392	1837±402	1848±415	2005±439	2099±495
Peak (nmol)	14.6±6.4	36.4±16.0	72.1±24.7	120.3±27.1	143.3±29.6	145.6±30.8	155.1±32.7
Velocity index	0.6±0.3	1.7±0.8	4.0±1.5	8.5±2.0	11.2±2.7	11.4±2.9	12.1±3.3
Lag time (min)	24.3±6.8	11.2±2.7	8.7±1.9	6.6±1.1	5.9±1.1	5.8±1.2	5.8±1.1

#### 3b) In minor and moderate HA patients group (n=4)

Anti H TFPI μg.mL <sup>-1</sup>	0	0.25	0.5	1.0	1.5	2.0	4.0
ETP (nmol*min)	775±454	1290±299	1512±118	1712±106	1725±98	1711±182	1822±160
Peak (nmol)	37.5±17.5	70.3±21.9	91.1±18.4	112.1±14.1	118.7±14.0	113.3±15.1	123.2±13.8
Velocity index	2.4±1.2	4.9±1.9	7.0±2.2	9.7±2.2	11.1±2.0	10.6±2.3	11.3±2.2
Lag time (min)	17.9±6.4	9.3±2.3	7.4±0.7	6.0±0.5	5.7±0.6	5.8±0.4	5.8±0.2

In severe haemophilia B patients peak and velocity index did not reach a plateau and continued to increase with increasing concentrations of anti TFPI antibody. In minor and moderate Haemophilia B patients no plateau was observed for index velocity when using increased anti TFPI antibody concentrations (table V.4a and V.4b).

Table V.4: Correction of thrombin generation in haemophilia B patients as a function of the anti TFPI concentrations (median  $\pm$  SD)

#### 4a) In severe HB patients group (n=7)

Anti H TFPI μg.mL <sup>-1</sup>	0	0.25	0.5	1.0	1.5	2.0	4.0
ETP (nmol*min)	360±482	829±565	933±619	1157±372	1246±319	1282±293	1374±322
Peak (nmol)	24.2±15.0	41.1±34.3	54.1±44.4	75.9±38.3	93.2±33.6	104.7±27.8	123.6±31.3
Velocity index	1.2±1.1	2.8±2.7	4.5±4.5	7.2±5.7	9.4±5.4	10.7±4.5	13.9±5.5
Lag time (min)	20.0±23.8	10.4±11.7	9.4±9.1	8.3±4.3	7.7±3.4	7.1±2.8	6.8±2.4

#### 4b) In minor and moderate HB patients group (n=6)

Anti H TFPI μg.mL <sup>-1</sup>	0	0.25	0.5	1.0	1.5	2.0	4.0
ETP (nmol*min)	1188±198	1452±171	1652±162	1822±245	1797±185	1831±228	1942±214
Peak (nmol)	71.0±23.0	106.7±21.4	122.0±20.8	149.8±25.6	148.2±24.7	138.5±23.5	159.1±24.4
Velocity index	5.5±3.4	9.3±4.6	10.2±5.8	16.9±6.9	19.0±7.7	18.3±7.6	22.2±7.0
Lag time (min)	19.8±7.5	12.7±3.7	8.5±2.4	6.2±1.6	5.4±1.4	6.2±1.4	5.6±1.3

The effect of the anti TFPI antibody on the correction of thrombin generation seemed to be better in severe haemophilia A patients compared to severe haemophilia B patients especially on the correction of ETP and peak (figures V.11 and V.12).

Figure V.11: Difference in ETP correction between severe haemophilia A and B patients as a function of anti TFPI antibody concentrations (0 to 2.0  $\mu$ g/ mL)

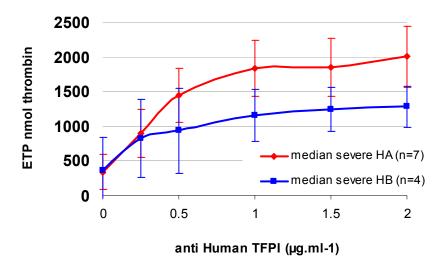
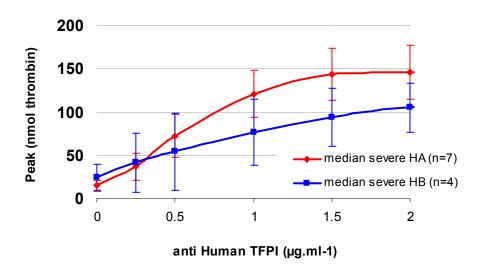
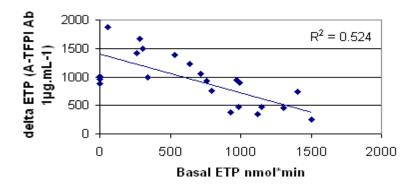


Figure V.12: Difference in peak correction between severe haemophilia A and B patients as a function of anti TFPI antibody concentrations (0 to 2.0  $\mu$ g/ mL)



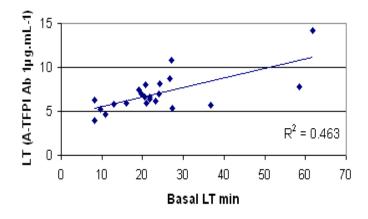
There was an inverse correlation between the basal levels of ETP and the importance of ETP correction ( $R^2$  = 0.524, p = 0.001); indeed lower is the ETP basal value, higher is the delta ETP defined as ETP with anti TFPI antibody – Basal ETP( figure V.13).

Figure V.13: Relation between basal ETP and ETP increase (delta ETP) when 1µg.mL-1 anti TFPI Ab was added.



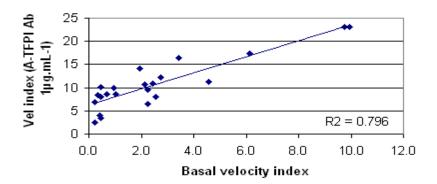
There was also a good correlation between basal LT and LT obtained when anti TFPI anti body ( $1\mu g/mI$ ) was applied (R2 = 0.463; p= 0.0005). We could observe an important effect of anti TFPI antibody on the correction of LT: indeed as the majority of basal LT values were between 10 and 30 minutes, the majority of LT values obtained when anti TFPI antibody ( $1\mu g/mI$ ) was added were between 5 and 10 minutes (figure V.14).

Figure V.14: Relation between basal LT and LT measured when anti TFPI antibody  $(1\mu g.mL-1)$  was added.



We could observe the same important effect of anti TFPI antibody on the correction of the velocity index: indeed the majority of basal velocity index values were between 0.2 and 3.0, while the majority of velocity index values obtained when anti TFPI antibody ( $1\mu g/mI$ ) was applied were between 3 and 15 (figure V.15).

Figure V.15: Relation between basal velocity index and velocity index measured when anti TFPI antibody (1µg.mL-1) was added.



We also compared the effect of anti TFPI antibody (1µg/mL) on the correction of thrombin generation obtained either in PPP or in PRP in 9 haemophilia patients whatever the type or the severity of the disease. We demonstrated a much higher effect of the anti TFPI antibody on the correction of ETP, peak and velocity when thrombin generation was registered in PPP compared to thrombin generation registered in PRP. As LT was not longer in PPP of haemophilia patients (compared to controls), there was at the same time no effect of anti TFPI antibody on LT when thrombin generation was performed in PPP. At the opposite there was a very strong reduction (near 70 %) of the LT in presence of anti TFPI antibody when thrombin generation was performed in PRP (table V.5).

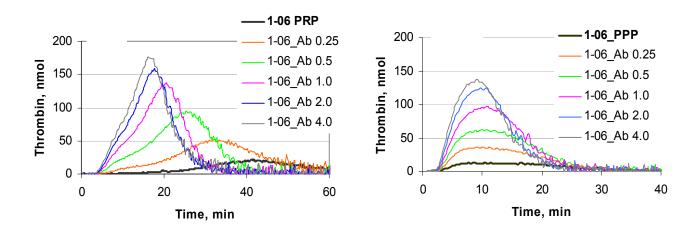
Table V.5: Difference between thrombin generation obtained in PRP and in PPP.

	PRP	PPP
	Median ± SD	Median ± SD
ETP with Ab / basal ETP	1.5 ± 11.2	3.4 ± 1.9
ETP with Ab - basal ETP (nmol/min)	742 ± 592	943 ± 379
Peak with Ab / basal Peak	2.2 ± 16.9	4.8 ± 3.1
Peak with Ab - basal Peak (nmol)	69.5 ± 31.1	97.9 ± 17.9
LT with Ab / basal LT	0.34 ± 0.18	0.91 ± 0.13
VI with Ab / VI ETP	4.2 ± 11.4	5.5 ± 5.4

Thrombin generation assay was performed in PRP and PPP in 9 hemophilic patients without and with anti TFPI (AH-TFPI Ab  $1\mu g.mL^{-1}$ ). The results are expressed as the ratio of ETP, peak, lag time and velocity with antibody / ETP, peak, lag time and velocity without antibody. The difference between PRP and PPP (ETP with Ab – basal ETP) and (Peak with Ab – basal Peak) are also given.

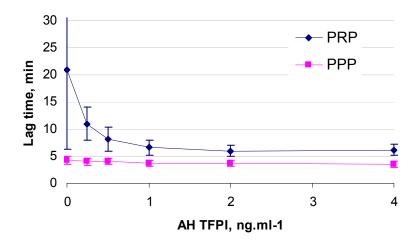
On figure V.16 we have a nice illustration of the difference in correction of thrombin generation with different concentrations of anti TFPI Ab obtained either in PRP or in PPP in an severe haemophilia A patient especially if we consider the LT (figure 17).

Figure V.16: Comparative effect of anti TFPI antibody on thrombin generation obtained either in PRP (a) or in PPP (b) in a severe haemophilia A patient



Thrombograms registered in presence of different concentrations of anti TFPI Ab (final concentrations: 0.25 to  $4.0~\mu g.mL^{-1}$ ) for the same severe haemophilia A patient.

Figure V.17 : Comparative effect of anti TFPI Ab on the LT between PRP and PPP in a severe haemophilia A patient .



#### 2c) Discussion

In severe haemophilia A and B patients thrombin generation can be very different from one patient to an other. Considering the fact that in every cases, factor VIII or IX is less than 1% and, because, in severe haemophilia patients, LT and ETP are related to free TFPI levels, we could speculate that this difference in thrombin generation might be related to different free TFPI levels among these patients.

We showed that in haemophilia A patients there was a strong correlation between the different thrombin generation parameters and free TFPI that did not exist in haemophilia B patients (except perhaps for the lag time). These results are in accordance with our previous study that showed a higher TFPI level in haemophilia A patients compared to the haemophilia B patients (Tardy-Poncet et al 2011). We also showed in thrombin generation assay performed in PRP with low TF concentration that LT was sensitive to free TFPI levels whatever the type of haemophilia and whatever the severity of the disease. The sensitivity of LT to TFPI has already been reported (Hackeng et al 2009). In severe haemophilia when thrombin generation is measured in PPP, the lag time is not so much prolonged compared to the lag time measured in controls (Duchemin et al 2008). In this study we found that when thrombin generation was measured in PRP in haemophilia patients the lag time was much prolonged. That is in accordance with the results of a recent study that implicated platelet TFPI in mouse as a primary physiological regulator of bleeding in haemophilia (Maroney et al 2012).

The full-length TFPI  $\alpha$  carried in platelets is 8-10 % of the total TFPI in blood which is comparable to the quantity of soluble full-length TFPI  $\alpha$  in plasma (Novotny et al 1988). They showed that stimulation with thrombin or the calcium ionophore A23187 caused human platelets to release TFPI (Novotny et al 1988). In our assay, when thrombin generation begins, it probably activates platelets that can release active TFPI. We demonstrated that in severe haemophilia patients there was a good correlation between free TFPI and all the parameters of thrombin generation especially with the lag time. Therefore, it is of major importance to measure thrombin generation in PRP for exploring the role of TFPI in haemophilia patients.

We studied the effect of an anti human TFPI antibody (for in vitro use only) on thrombin generation in PRP. We demonstrated that blocking TFPI by an anti TFPI antibody allows the complete correction of the thrombin generation profile. We found a dose relation ship between the anti TFPI antibody concentration and the degree of correction of thrombin generation; indeed at a concentration of 1.0 or 1.5  $\mu$ g/ mL there was a complete correction of

thrombin generation parameters as the values measured were above the normal values defined in controls (Dargaud et al 2006). Most of the values of thrombin generation parameters reached a plateau. The presence of this plateau in the dose response curves was rather reassuring regarding the question on an eventual risk of thrombosis that could be related to an anti TFPI antibody. We also showed that more severe was the alteration of basal thrombin generation, more important was the effect of anti TFPI antibody on the ETP correction. In other words we can speculate that the effect of an anti TFPI antibody will be of particular interest in severe haemophilia patients. These results are in accordance with the fact that TFPI was much highly related to thrombin generation parameters in severe haemophilia patients than in minor or moderate haemophilia patients. We found that the effect of anti TFPI was much stronger in PPP compared to PRP. These results underlined again the major influence of TFPI levels in haemophilia patients on the lag time values obtained in PRP and highlighted the importance of evaluating the efficacy of anti TFPI antibodies on thrombin generation in haemophilia patients in PRP only.

Numerous anti TFPI molecules are currently in development; they are expected to enhance and prolong FXa generation in the initiation phase and thereby bypass and compensate for an inadequate FVIIIa /IXa tenase activity. The monoclonal antibody mAb 2021 by blocking the interaction between FXa and Kunitz- type protease inhibitor domain of TFPI in a rabbit hemophilia model could constitute a novel haemophilia treatment that has now entered clinical trials (Hilden et al 2012; Petersen et al 2012). An other TFPI antagonist aptamer BAX499 (ARC19499) is a polyethylene glycol (PEG)-conjugated aptamer that binds to TFPI and effectively neutralizes TFPI inhibition of FXa and FXa dependent inhibition of TF/VIIa. Its efficacy has been demonstrated in vitro in 40 haemophilia patients by rotational thromboelastometry and by thrombin generation assay performed in PPP that showed a complete normalization of thrombin generation parameters (Gorczyca et al 2010; Gissel et al 2012). Considering the difference of results that we obtained between PPP and PRP when evaluating the effect of an anti TFPI antibody we think that it would be more accurate for the next in vitro evaluation of all these new molecules in the future to perform thrombin generation in PRP rather than in PPP.

In conclusion: TFPI is an important inhibitor of thrombin generation initiated in presence of low tissue factor concentration and neutralizing the activity of TFPI represents a promising treatment concept in patients with hemophilia.

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# **ANNEXES**

# Annexe 0 : Tissue factor pathway inhibitor structure

Figure 1: Structure of TFPI alpha

Figure 2: Structure of TFPI beta



# Annexe 1: Determination of fibrinogen, factor VIII and free TFPI levels

#### Fibrinogen assay:

Quantitative determination of fibrinogen in plasma was realised using an automated assay based on the Clauss method. Citrated plasma is brought to coagulation with a large excess of thrombin. Here the coagulation time depends largely on the fibrinogen content of the specimen.

Fibrinogen level assays were performed on a BCS coagulation analyser (Dade Behring Siemens, Saint-Denis, France) with the specific reagent Multifibren\*U (Dade Behring, France). A calibration curve was made using the Fibrinogen calibrator kit according to the manufacturer's recommendations. Two controls (Plasma control N and Plasma control P) from Siemens were performed for each analysis run.

#### FVIII assay:

The one-stage FVIII:C assay was performed with activated partial thromboplastin time reagents (Pathromtin\*SL) and FVIII-deficient plasma obtained from Dade Behring Siemens (Saint-Denis, France) on a BCS coagulation analyser. The assays were calibrated with Standard Human Plasma from Siemens. Two controls (Plasma control N and Plasma control P) from Siemens were performed for each analysis run.

#### Free TFPI (ELISA):

The internal wall of a plastic microwell is precoated with the first monoclonal antibody to TFPI. The second anti-Free TFPI monoclonal antibody that is coupled with peroxidase is added to the precoated microwell at the same time as the plasma whose Free TFPI is to be determined. The Free TFPI of the plasma being tested is simultaneously captured on the one hand by the first monoclonal antibody that is precoated on the microwell wall, and on the other by the second monoclonal antibody-peroxidase conjugate, i.e., the "sandwich" is formed in a one-step reaction. Next, the bound peroxidase is revealed by its activity in a predetermined time on the substrate ortho-Phenylenediamine in the presence of urea peroxide. After stopping the reaction with a strong acid, the intensity of the color produced bears a direct relationship with the Free TFPI concentration initially present in the plasma sample.

Normal values for women (n=25): mean 11.5 ng/mL, mean ± 2SD: 4.9 -18.1 ng/mL Normal values for men (n=17): mean 15.8 ng/mL, mean ± 2SD: 7.6 - 24.0 ng/mL

# Annexe 2: Anticoagulant response to exogenous TFPI

To evaluate the anticoagulant response of plasma to exogenous TFPI, we used a diluted prothombin time (dPT) assay with and without exogenous TFPI. Thromborel ® and Innovin®) (recombinant tissue factor) were purchased from Behring Siemens, full-length recombinant human TFPI from American Diagnostica, and BSA from Sigma.

#### two step assay

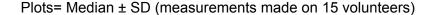
In a two-step dPT assay, 50  $\mu$ L of undiluted patient or control plasma samples were incubated during 60-second at 37°C with 50  $\mu$ L of recombinant diluted tissue factor (1/450 final dilution) in tris (50 mM), NaCl (100 mM) buffer, pH 7.5. Coagulation was initiated by adding CaCl<sub>2</sub> (50 mM) BSA (10 g/L) buffer without or with TFPI (62 and 125 ng/ml final concentration).

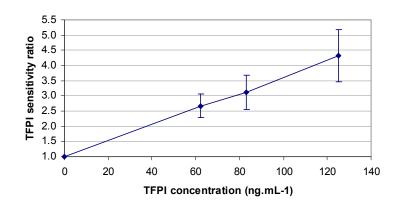
#### one step assay

In a one-step dPT assay, 50  $\mu$ L of undiluted patient or control plasma samples were prewarmed during 30-second at 37°C. Coagulation was initiated by adding 100  $\mu$ L of a mixture of recombinant diluted tissue factor (1/450 final dilution) in tris (50 mM), NaCl (100 mM) buffer, pH 7.5, CaCl<sub>2</sub> (25 mM), BSA (1 g/L) without or with TFPI (150 or 200 ng/ml final concentration, depending on the TFPI activity that is different from one to an other batch).

Initial dPT and dPT + TFPI were recorded by an automated coagulation analyser (BCS®, Siemens, St Denis, France) for patients, controls and reference plasmas. All assays were performed in duplicate. TFPI sensitivity ratios were obtained by dividing the 'dPT with TFPI' by the 'dPT without TFPI'. We also measured the sensitivity ratio of the reference plasma. The TFPI normalized ratio (TFPI NR) was then calculated by dividing the TFPI sensitivity ratio obtained for patient and control plasma samples by the TFPI sensitivity ratio obtained for the reference plasma.

# Response of the reference plasma (expressed as TFPI sensitivity ratio) to different TFPI concentrations





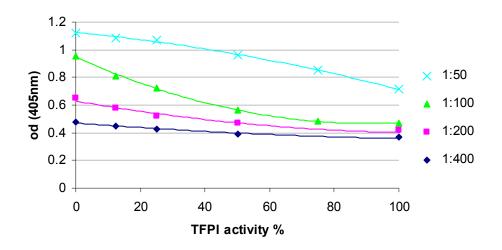
# Annexe 3: TFPI activity assay

based on the chromogenic assay described by Sandset (Thrombosis Research 2000)

Determination of the reagent concentrations for the measure of TFPI activity: step by step

Tissue factor (Innovin, Dade Behring) concentration:

Innovin:	Bov.F	Substrate:	Substrat	OD max	delta	$R^2$	TFPI	Conclusion
finale dil	Xa:	type	e Conc.	OD IIIax	OD		control	Conclusion
1:400	0,133	CS 11(22)	1 mg/ml	0.479	0.153	0.948	121	OD max too low
1:200	0,133	CS 11(22)	1 mg/ml	0.647	0.258	0.887	104	OD max too low
1:100	0,133	CS 11(22)	1 mg/ml	0.957	0.488	0.996	105	OD max better, low delta OD
1:50	0,133	CS 11(22)	1 mg/ml	1.123	0.408	0.985	ND	

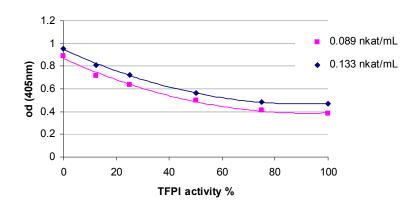


It was decided to work with a TF dilution of 1/100

#### **Bovine Factor Xa concentration:**

In the assay described by Sandset, FXa concentration is 0.133 nkat/mL In the assay supplied by Diapharma, FXa concentration is 0.089 nkat/mL

Bov.F Xa:	Innovin: final		Substrate				TFPI %	Conclusi	on	
(nkat/mL)	dilution	Substrate	concentration	OD max	delta OD	$R^2$	control			
0,133	1:100	CS 11(22)	1 mg/ml	0.957	0.488	0.996	105	No differ		etween 0.133
0,089	1:100	CS 11(22)	1 mg/ml	0.889	0.507	0.987	97	concentr	and	0.133

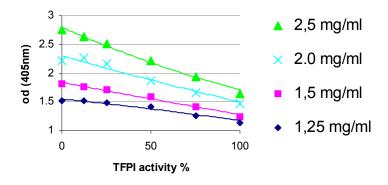


There was no difference between the curves obtained with these two factor Xa concentrations. The concentration used by Sandset was choosen (0.133 nkat/mL)

## CS 11(32) FXa substrate (Biophen heparin)

This substrate was already available in our laboratory for the measure of anti Xa activity of low molecular weight heparin so we chosed to use it. We searched for the best concentration of the substrate in TFPI activity assay

Substrate: reagent conc.	Innovin: final dilution	Bov.F Xa (nkat/mL)	ODmax	Delta OD	$R^2$	TFPI control (%)	Conclusion
1,25							
mg/ml	1:100	0,133	1.522	0.566	0.97	102	Delta OD too low
1,5 mg/ml	1:100	0,133	1.82	0.79	0.98	104	Delta OD too low
2.0 mg/ml	1:100	0,133	2.22	1.035	0.93	106	Better delta OD
2,5 mg/ml	1:100	0,133	2.76	1.36	0.99	109	Good slope and good linearity



With substrate concentration 1.25 mg/ml and 1.5 mg/ml the slopes were insufficient corresponding to a lack of sensitivity of the assay for TFPI concentration under 50%.

With substrate concentration 2.5 mg/ml the slope was rather good and the linearity too.

#### In the end we used the following conditions for the assay:

TF dilution 1/100

FXa concentration: 0.133 nkat/mL

FVIIa: 0.5 μg/mL

Substrate 11(32): concentration 2.5 mg/ml

#### TFPI activity assay as described by PM Sandset (2000)

#### **REAGENTS**

#### **Buffers**

TBS(Tris Buffered Saline)/BSA(Bovine Serum Albumin): 0,05mol/L Tris-HCl, 0,15 mol/L NaCl pH 7,50: Prepare a 0,05 mol/L Tris, 0,15 mol/L NaCl stock solution, adjust pH with 1M HCl, eventually with 5M HCl. Store at 4°C.

TBS/BSA 2 mg/mL:

Add BSA to a final concentration of 2 mg/mL (0,2 g BSA is added to 100 mL TBS buffer). Store up to a week at 4°C.

TBS/BSA 2 mg/mL + Polybrene 2 µg/mL:

Prepare a Polybrene 1% stock solution, store at 4°C.

Add Polybrene 1% to a final concentration of 2 µg/mL( 20 µL Polybrene 1% is added to 100 mL TBS/BSA buffer).

Store up to a week at 4°C.

<u>Tris</u>: from Sigma 7-9: T-1378 BSA: from Sigma A-3294

Polybrene(Hexadimethrin bromide): from Fluka 52495

Recombinant Tissue Factor(TF): Innovin: Innovin from Dade Behring Ref. B4212-50, 10x10mL

Delivered in glass vials. Reconstitute with 10 mL distilled water, can be stored resuspended for about 10 days at 4°C. Can not be frozen.

#### Recombinant Factor VIIa

NB! Work on ice!

Delivered in a glass vial, 1,2 mg powder. Reconstitute with 1,0 mL sterile water (included in the package) which gives a concentration of 1,2 mg/mL. Divide the total volume in 3 aliquotes à 330 µL and freeze immediately at -70°C. All further dilutions must be done using TBS/BSA buffer. Dilute further to a concentration of 120 µg/mL and store immediately at -70°C. Then finally dilute to a concentration of 12,0 µg/mL and aliquote in tubes at 310 µL (for analysis of half a 96-well plate) and/or 610 µL (for analysis of a whole 96-well plate). Can be stored for many months at -70°C.

Recombinant Factor VIIa: from NovoSeven, 1,2 mg (60 kIE) includes one vial with powder and one vial with sterile water.

#### Bovine Factor Xa

NB! Work on ice!

Delivered in vials containing 30 µg/vial. Reconstitute with 10 mL distilled water which gives a concentration of 3 µg/mL equivalent to 0.3 U/mL. Dilute further with TBS/BSA buffer to a final concentration of 0.25 U/mL (2 mL iced TBS/BSA added to 10 mL reconstituted vial). Aliquote at 300 µL.

Store at -70°C.

Bovine factor Xa: from Hyphen BioMed, ref BE101D

Fibrin polymerisation inhibitor: Pefabloc FG (H-Gly-Pro-Arg-Pro-OH·AcOH) NB! Work on ice!

Delivered in vials containing 50 mg/vial. Reconstitute with 5,0 mL distilled water which gives a concentration of 10 mg/mL. Dilute further with TBS buffer pH 7,50 to a final concentration of 1,0 mg/mL. Aliquote at 1600  $\mu$ L.

Store at -70°C.

<u>Pefabloc FG</u>: from Pentapharm, product code number (099-11)/(099-01)

Calcium chloride 500 mmol/L 500 mmol/L stock solution CaCl<sub>2</sub> Store at 4°C, long shelf-life.

#### Bovine Factor X

Delivered in vials containing 100  $\mu$ g (10 U)/vial. Reconstitute with 1 mL distilled water which gives a concentration of 10 U/mL. Dilute further with TBS/BSA buffer to a final concentration of 0,4 U/mL (24 mL TBS/BSA added to 1 mL FX), aliquote at 1800  $\mu$ L and stored at -70°C Bovine Factor X: from Hyphen BioMed, ref BP102A

Chromogenic substrate: BIOPHEN CS-11(32)

Delivered in a vial containing 15 mg. Reconstitute it with 3 mL of sterile water, for a final working concentration of 5,0 mg/mL. It must be protected from light.

Stable for 3 months at 2-8°C. Must not be frozen.

BIOPHEN CS-11(32): from HYPHEN BioMed, ref 221006

Acetic acid 50%

Prepare a 50% acetic acid solution which can be used to stop the chromogenic reaction.

#### **CALIBRATION CURVE**

Normal pooled plasma:

Citrated blood was taken from 30 healthy donors. After double centrifugation (2500g, 15min), platelet poor plasma (PPP) was pooled then aliquoted at 300 µL and stored at -70°C.

One aliquot was rapidly defrosted in a water bath at 37°C before each run of experiment. Dilute normal pool plasma in TBS/BSA/polybrene buffer: prepare 7 standards allowing concentrations from 0 to 150% (100 % concentration obtained by 1:40 dilution).

No.	Pool Plasma	TBS/BSA+Polybrene buffer	TFPI Activity %
1	50 μL	1285 μL	150
2	50 μL	1950 µL	100
3	300 μL no.1	300 μL	75
4	300 μL no. 2	300 μL	50
5	300 μL no. 4	300 μL	25
6	300 μL no. 5	300 μL	12.5
7	_	300 μL	0

#### **PLASMA SAMPLES**

Plasma samples must be rapidly defrosted in a water bath at 37°C and used within 2 hours after thawing. Plasma samples are diluted to 1:80 with TBS/BSA + Polybrene buffer. If the plasma samples contain heparin, they must be diluted to 1:160 and Polybrene is essential in the dilution buffer.

#### COMBINED REAGENT (CR)

NB! Work on ice!

CR (6000 μL)	Volume added	Concentration stock solution	Final concentration
TBS/BSA buffer	4503 μL	0,05mol/L Tris-HCl, 0,15 mol/L NaCl pH 7,50; BSA 2 mg/mL	
TF (Innovin)	75 μL	Ready to use	1:100
CaCl <sub>2</sub>	150 µL	500 mmol/L	10 mM
Pefabloc	750 μL	1 mg/mL	100 μg/mL
Factor Xa	240 µL	0,25 U/mL	0,008 U/mL
Factor V11a	282 μL	12,0 μg/mL	0,5 μg/mL

All components should be added in the same order as in the above table. All frozen components must be thawed very carefully in a waterbath at 37°C. The Combined Reagent must be kept on ice until the analysis is performed. Prepare 6,0 mL CR for a half 96-well plate.

Stability: 30-60 minutes at 4°C.

#### SUBSTRATE REAGENT

The substrate reagent should be prepared towards the end of the first 30 minutes incubation. It must be mixed carefully (do not whirlmix) and kept protected from light until use.

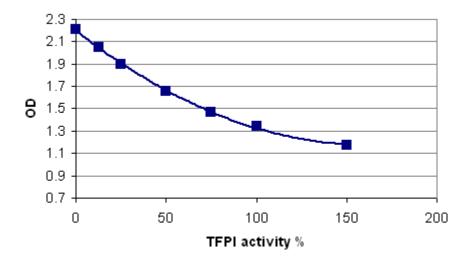
Factor X (0,4 U/mL) + Substrate BIOPHEN CS-11(32) (5,0 mg/mL) in a 1:1 proportion

#### **PROCEDURE**

- 1. Prepare the TBS/BSA and the TBS/BSA + Polybrene buffers.
- 2. Dilute the plasma samples to 1:80 dilution using TBS/BSA + Polybrene buffer.
- 3. Prepare a calibration curve using the citrated plasma pool as described in the Calibration Curve table above, keep it at room temperature.
- 4. Prepare the Combined Reagent (CR) as described in the table above and keep it on ice until use.
- 5. In a 96-well plate, pipette 25  $\mu$ L plasma (standard / test). Using a multichannel pipette, add 100  $\mu$ L CR into each well; three replicates of each sample and standard must be tested.
- 6. Cover the plate with adhesive sealer and incubate in a drying oven at 37°C, with gentle shaking for 30 minutes.
- 7. 10 minutes before the end of the first incubation, thaw Factor X very carefully at 37°C in a water bath and keep it on ice.
- 8. Prepare the substrate reagent: in a polypropylene tube, mix carefully 1800  $\mu$ L Substrate BIOPHEN CS-11(22) with 1800 $\mu$ L Factor X (0,4 U/mL) and keep it protected from light.
  - 9. Add 50 µL substrate reagent to each well (multichannel pipette).
- 10. Cover the plate and incubate in a drying oven at 37°C, with gentle shaking for exactly 30 minutes.
  - 11. Add 50 µL Acetic acid 50% to stop the reaction.
- 12. Wait for 10-12 minutes at room temperature, then measure endpoint absorbance (OD 405 nm). Use a quadratic plot (best fit :  $y = A + Bx + Cx^2$ ) to read concentration results

## .CALIBRATION CURVE EXAMPLE

	Concentration	OD Measure
Cal 1	150	1.172
Cal 2	100	1.347
Cal 3	75	1.465
Cal 4	50	1.658
Cal 5	25	1.901
Cal 6	12.5	2.051
Cal 7	0	2.212



Fit type: Quadratic polynomial: y=a+b\*x+c\*x^2

Meas.transformation: Linear

Conc.transformation: Linear

Parameters: a b c

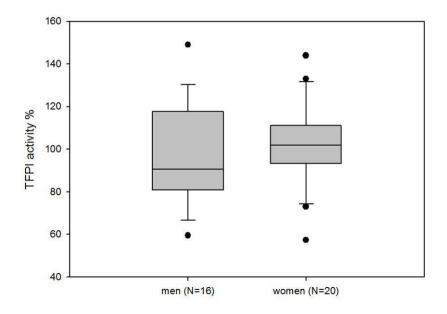
2.202 -0.013 3.89E-05

Corr.coeff. R2 0.998

#### **Normal values**

Determined on individual citrated plasmas from 36 healthy controls.

TFPI activity %	All individuals (n=36)	Men (n=16)	Women (n=20)
Mean (SD)	99.4 (20.8)	96.2 (23.0)	102.0 (19.0)
Median	98.8	90.4	101.8



#### References

Bendz, B., T. O. Andersen, et P. M. Sandset. A new sensitive chromogenic substrate assay of tissue factor pathway inhibitor type 1 Thrombosis research 97,  $n^{\circ}$ . 6 (2000): 463–472.

Annexe 4: Normal values of thrombin generation in Platelet rich plasma (PRP)

329
66 22
2
25 4.56

#### References

Dargaud, Yesim, Roger Luddington, et Trevor Baglin Platelet-dependent thrombography: a method for diagnostic laboratories British journal of haematology 134, n°. 3 (août 2006): 323-325.

## Annexe 5: Thrombin generation assays

Thrombin generation tests were performed in platelet rich (PRP) and platelet poor (PPP) plasma according to the Calibrated Automated Thrombography (CAT) method described by HC Hemker (Pathophysiology of haemostasis and thrombosis 2003)

#### Reagents

Hepes and bovine albumin were obtained from Sigma Aldrich (St Quentin fallavier, France). HBS buffer (Hepes 20mM, NaCl 140 mM, pH 7.35) containing 5 g.L-1 BSA was prepared, aliquoted in sterile 5 mL tubes and stored in a freezer until analyses.

Recombinant relipidated tissue factor (rTF), PRP Reagent (1pM TF) for PRP assays and PPP LOW Reagent (1pM TF, 4µM phospholipids) for PPP assays were ordered to Diagnostica Stago (Asnières, France). Each vial was reconstituted in 1 mL deionized water 30 min before use.

Thrombin calibrator was purchased from Diagnostica Stago (Asnières, France). Each vial was reconstituted in 1 mL deionized water 5 min before use. Thrombin activity allowing thrombin measurement is given for each kit by the manufacturer.

Fluorogenic substrate, FluCa-kit was obtained from Diagnostica Stago (Asnières, France). A fresh mixture of Fluo buffer (with Calcium) and Fluo substrate (ratio 40:1) was prepared just before use in order to obtain finale concentration of 100 mM CaCl2 and 2.5 nM fluorogenic substrate.

Human full length recombinant TFPI (ref 4900PC).was obtained from American Diagnostica (USA) and stored at -80°C, in 20 $\mu$ L fractions (equivalent 500 ng TFPI). For the analysis, one aliquot was defrosted quickly, and then 190  $\mu$ L of HBS buffer was added in order to obtain a TFPI concentration of 2.4  $\mu$ g.mL<sup>-1</sup>.

Human TFPI antibody (ref AF2974) was obtained from R $\alpha$ D Systems. One 100 $\mu$ g vial was reconstituted with 500 $\mu$ L of PBS buffer, aliquoted into cryotubes (20 $\mu$ L) and stored at -80°C until analysis. For the analysis, one aliquot was defrosted quickly, and then 80  $\mu$ L of HBS buffer was added in order to obtain a concentration of 40  $\mu$ g.mL<sup>-1</sup>.

#### Blood and plasma

Peripheral venous blood was collected into S-Monovette (Sarstedt, Orsay, France) containing 0.106mol/L trisodium citrate. For hemophilic patients, in order to inhibit contact activation, corn trypsin inhibitor (CTI, 1.45 µmol.L<sup>-1</sup>, finale concentration in whole blood) was added into S-monovette before use. After a first centrifugation at 200xg for 10 minutes, 500

μL of platelet rich plasma (PRP) were delicately collected from the upper volume of plasma supernatant.

The resting blood was centrifuged at 2500xg for 15 minutes; platelet poor plasma (PPP) was collected from the upper  $\frac{1}{2}$  volume of plasma supernatant, transferred into a conical tube and centrifuged a second time at 2500xg for 15 minutes. PPP was collected, transferred into cryotubes (800µL), quick-frozen and stored at  $-80^{\circ}$  C until analysis.

PRP and PPP were always prepared within 2 hours after venipuncture.

TG assays in PRP were immediately performed.

For TG assays in PPP, the plasma was quickly defrosted in a water-bath at 37°C and essays were performed within 30 minutes.

#### Automated measurement of thrombin generation

Thrombin generation was measured in a Fluoroscan Ascent fluorometer (Thermolabsystems, Thermo Fischer, France) equipped with a dispenser. Fluorescence intensity was detected at wavelengths of 390nm (excitation filter) and 460nm (emission filter).

Each experiment needs two sets of readings, one from a well in which thrombin generation takes place (TG well) and a second one from a well to which the calibrator has been added (CL well).

 $80\mu L$  of PRP or PPP were dispensed into the wells of a round-bottom 96 well-microtiter plate (Immulon 2HB, Diagnostica Stago, France).

For PRP analysis,  $20\mu L$  of PRP reagent were added to the plasma sample to obtain a final concentration of 1pM tissue factor.

For PPP analysis,  $20\mu L$  of PPP LOW reagent were added to the plasma sample to obtain a final concentration of 1pM tissue factor,  $4\mu M$  phospholipids.

20 µL of Thrombin calibrator were added into the calibrator wells

The plate was placed in the fluorometer and allowed to warm to 37° C for 10 minutes. The dispenser of the fluorometer was flushed with warm 100 mM CaCl2 solution, emptied, and then flushed with warm fresh FluCa mixture. At the start of the experiment, the instrument dispenses 20  $\mu$ L of FluCa to all the wells to be measured, registers this as zero time, shakes them for 10 s and starts reading.

During the measurement, a dedicated software program, Thrombinoscope (Synapse Bv, Maastricht, The Netherlands) compares the readings from the TG and the CL wells, calculates thrombin concentration and displays the thrombin concentration in time. All

experiments were carried out in triplicate. Thrombin generation was registered for 60 minutes (90 min in case of hemophilia patients).

## Thrombin generation assay in response to anti-TFPI antibody

Anti-TFPI antibody response was evaluated in PRP and PPP. In serial microtubes, increasing amounts of Human TFPI Ab were added to PRP or to PPP in order to obtain concentrations ranging from 0.25 to 4.0  $\mu$ g.mL<sup>-1</sup>. Tubes were delicately mixed and kept at ambient temperature for 30 minutes. 80  $\mu$ L of each mixture were then added into the TG wells (in triplicate) and the assay was performed according to the procedure firstly described.

#### Anticoagulant response to exogenous TFPI

Anticoagulant response to exogenous TFPI was evaluated in PPP. In a polypropylene tube, human full-length recombinant TFPI ( $2.4~\mu g.mL^{-1}$ ) was added to defrosted PPP in order to obtain a TFPI concentration of 80 ng.mL<sup>-1</sup> in plasma. In a second tube, saline solution was added to PPP in the same proportion. The tubes were delicately mixed and kept at ambient temperature for 30 minutes. 80  $\mu L$  of plasma with saline or with exogenous TFPI were then added into the TG wells (in triplicate) and the assay was performed according to the procedure firstly described.

#### Results

The analysis program allows calculating automatically the parameters of thrombin generation:

- Lag time (LT), in minutes
- Endogenous thrombin potential (ETP): molar thrombin concentration multiplied by time (nmol\*min)
- Peak height (P), in nanomolar thrombin
- Time to peak (TTP), in minutes
- Velocity index, Peak height divided by (TTP-LT).

Results were exported to Excel sheet in order to overlap graphs from different assays (with and without TFPI or anti-TFPI Ab).

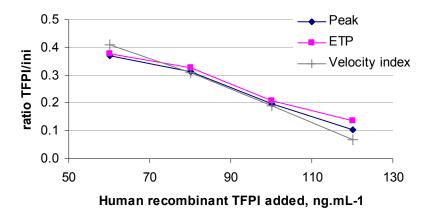
#### References

Hemker, H Coenraad, Peter Giesen, Raed Al Dieri, Véronique Regnault, Eric de Smedt, Rob Wagenvoord, Thomas Lecompte, et Suzette Béguin. « Calibrated automated thrombin generation measurement in clotting plasma ». Pathophysiology of haemostasis and thrombosis 33, no. 1 (2003): 4-15.

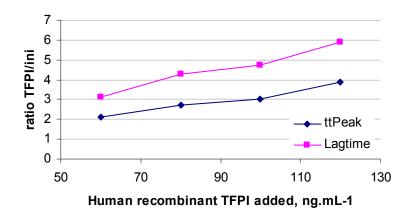
#### Effect of TFPI on the different parameters of thrombin generation assay

Thrombin generation assay performed in platelet poor plasma (PPP) (CAT method, FT 1pM) in presence or in absence of human-recombinant TFPI (finale concentration range 60-120 ng.mL<sup>-1</sup>), mean values from 5 normal plasmas

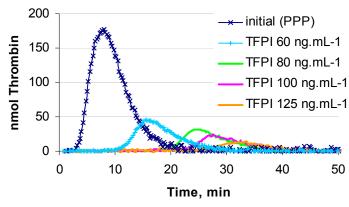
### a) Exogenous recombinant TFPI effect on ETP, Peak and velocity index in PPP.



## b) Exogenous recombinant TFPI effect on lag-time and time to peak in PPP.



# C) Typical thrombogram obtained in PPP without and with increasing concentrations of recombinant TFPI in a pooled control plasma.



# Annexe 6 : Comparison of the different TFPI resistance assays

Assay	B Tardy-Poncet, 2001	Bombeli, Thromb Haemost 2003	B Tardy-Poncet, 2008
Pre analytic conditions	citrate 0,129M, 2 centrifugations 15mn, 2500g	citrate 0,106M, 1 centrifugation 10mn 2000g	citrate 0,129M, 2 centrifugations 15mn, 2500g
Tissue factor - (TF)	Thromborel S	Thromborel S	Innovin
TF dilution	1/300 -> 1/450 final dilution	1/30 -> 1/45 final dilution	1/150 -> 1/450 final dilution
CaCl2 concentration	25mM / 16,6 mM final concent	5 mM/ 3,33 mM final concent	50mM / 16,6 mM final concent
Dilution Buffer	Tris-HCI 50mM, NaCl 100mM, BSA 1 g/l, pH 7,5	Tris-HCl 50mM, NaCl 175mM, BSA 0,5g/l, pH 7,9	FT: Tris-HCl 50mM, NaCl 100mM, pH 7,5 - CACl2-TFPI: Tamp Tris + BSA 10g/l
BSA final concentration	0,66g/l	0,33 g/l	3,33 g/l
TFPI : final concentration	RH TFPI (Am Diagostica) 0,2 µg/ml	RH TFPI (Am Diagnostica), 0,3 µg/ml (8,6nM)	RH TFPI (Am Diagnostica) 0,062 et 0,125 µg/ml
Samples	Undiluted platelet poor plasma	Platelet poor plasma diluted in VII deficient plasma (2/5)	Undiluted platelet poor plasma
Running assay	dPT One step BCT (Behring): 50 μl PPP + 100 μl TF+/- TFPI	dPT One step STA4 (Stago) 50 μl PPP + 100 μl TF +/- TFPI	dPT Two steps BCS (Siemens): 50 μl PPP+ 50 μl TF incubation 60 sec + 50 μl CaCl2 +/- TFPI
Results expression	TFPI normalised ratio (patient TFPI ratio/ control TFPI ratio)	TFPI sensitivity ratio ( W TFPI/ Wo TFPI)	TFPI normalised ratio (patient TFPI ratio/ control TFPI ratio)
Initial dPT initial control values	94 sec	130 sec (PPP diluted in factor VII deficient plasma)	58 sec
Median of ratio cont	Ratio of ref plasma: 2,01 Normalised ratio: 0,96	TFPI ratio of controls 1,62 (SD 0,16)	r TFPI= 4,6, nr = 0,94
mean ratio patients	n ratio median: 0,94	1,49 (SD 0,15)	r TFPI= 4,1, nr = 0,84
cut-off	NR < 0,85	TR < 1,31 (moy-2SD)	NR <= 0,63

# Annexe 7 : Clinical data for establishing the haemorrhagic score

Table 1: Clinical and demographic data

Renseignement patient	Oui Non
1. Date de la visite :	<u></u>
2. Date de naissance :	
3. Sexe :	$M \square_1$ F
4. Taille :	□ <sub>2</sub>   <u></u> _ _  cm
5. Poids:	kg
6. Type d'hémophilie :	$\begin{array}{ccc} A & \square_1 & & B \\ & \square_2 & & \end{array}$
7. Sévérité de l'hémophilie :	$\square_1$ mineure
1.	$\square_2$ modérée
2.	$\square_3$ grave
8. Mutation précise	$\square_1$ VIII
3.	$\square_2 IX$
9. Taux de base du facteur manquant (%):	
10. Taux de facteur manquant à la date de la visite (%):	
11. Âge de survenue du premier épisode hémorragique	ans
12.Date de la dernière injection de facteurs anti-hémophiliques	_       <u>2</u>  0   _
13. Heure de la dernière injection de facteurs anti-hémophiliques	hh   _ mm

Table 2: Data collected for establishing haemorrhagic score in severe haemophilia patients

1.	Période d'évaluation (5 à 10 ans)	_  à
4.		=   _ années
5.	Hémarthroses	
2.	Nombre total d'hémarthroses pendant cette période	
3. 6.	Incidence annuelle des hémarthroses (= nb tot. des hémarthroses/nb d'années évaluées) divisé par 20	SH =   ,
7.	Score articulaire	
4.	Date de calcul du score	   <u>2 0   </u>
5.	Score orthopédique du genou droit (1 à 15)	
6.	Score orthopédique du genou gauche (1 à 15)	
7.	Score orthopédique de la cheville droite (1 à 15)	
8.	Score orthopédique de la cheville gauche (1 à 15)	
9.	Score orthopédique du coude droit (1 à 13)	
10.	Score orthopédique du coude gauche (1 à 13)	
11.	Score articulaire (somme des scores orthopédiques divisée par 86)	SA =   ,
8.	Consommation annuelle de facteurs (kIU.kg <sup>-1</sup> )	
	Moyenne annuelle de la quantité totale de facteur consommée en KUI sur la période d'évaluation	
13.	Poids moyen du patient pendant la période d'évaluation	kg
	Consommation annuelle de facteur (moyenne annuelle de la quantité de facteur consommé/Poids moyen) divisé par 6	CF =   ,
15.	Score hémorragique total = SH + SA + CF	,

Table 3: Data collected for establishing haemorrhagic score in minor or moderate haemophilia patients

1.	Préciser la période d'évaluation	à   <u>2 0  </u>
9.		=     années
2.	Le patient a-t-il eu des chirurgies pendant la période d'évaluation?	$\square_1$ $\square_0$
10.	Si oui,	
	2.1. Nombre de chirurgie :	_ _
	2.2. Nombres d'extractions dentaires ?	_
	2.3. Le patient a-t-il présenté une hémorragie suite à une chirurgie pendant la période d'évaluation ?	$\square_1$ $\square_0$
	11i oui,	
	2.3.1. Nombre d'hémorragie	
	2.3.2. Substitution par facteur ?	$\square_1$ $\square_0$
	12i oui,	
	2.3.2.1. Nombre de substitution	_
	2.3.2.2. Quantité de facteur consommé	
	2.3.3. Hémorragie suite à une extraction dentaire	$\square_1$ $\square_0$
	13. Si oui,	
	2.3.3.1. Nombre	_
	2.3.3.2. Substitution par facteur	$\square_1$ $\square_0$
	14. Si oui,	
	2.3.3.2.1. Nombre de substitutions	
	2.3.3.2.2. Quantité de facteur consommé	
3.	Facteurs consommés pendant la période d'évaluation hors interventions chirurgicales ?	$\square_1$ $\square_0$
	3.1. Si oui, quantité de facteur consommé	
4.	Le patient a-t-il présenté une hémorragie hors chirurgie pendant la période d'évaluation ?	$\square_1$ $\square_0$
	4.1. Si oui, nombre :	

Table 4: Orthopaedic joint scoring system (Löfqvist, 1997)

Score 0 2 3 Item 1 Mild Moderate Chronic pain None Severe Axial deformity Elbow ≤ 10° varus or valgus > 10° varus or valgus None Knee No deformity 8-15° valgus or 0-5° > 15° valgus or > 5° varus (0-7° valgus) varus Ankle No deformity ≤ 10° valgus or ≤ 5° varus > 10° valgus or > 5° varus Contracture < 15° Flexion ≥ 15° Equinus  $< 15^{\circ}$ ≥ 15° Joint physical findings Instability None Slight Severe Range of motion<sup>a</sup> 0-10% 11-33% 33-100% Pronation and supinationa 0-33% > 33% Chronic swelling None Present Atrophy None/minimal Present Crepitus on motion None Present

#### References

Shulman S, Eelde A, Holmstrom M, Stahlberg G, Odeberg J, Blombacks M. Validation of a composite score for clinical severity of hemophilia. J Thromb Haemost 2008; **6**: 1113-1121

Löfqvist, T, I M Nilsson, E Berntorp, et H Pettersson Haemophilia prophylaxis in young patients--a long-term follow-up Journal of internal medicine 241, no. 5 (mai 1997): 395-400.

<sup>&</sup>lt;sup>a</sup> Expressed as percentage loss of full range of motion.

# Conclusion

In conclusion, the studies that tried to demonstrate a responsibility of TFPI in venous or arterial thrombosis are rather disappointing.

TFPI seems to play a very important role in the hemorrhagic manifestations in haemophilia patients. Neutralizing the activity of TFPI represents a promising treatment concept in these patients.

#### **Publications**

#### **Publications**

Tardy-Poncet, B, B Tardy, S Laporte, P Mismetti, J Amiral, M Piot, J Reynaud, L Campos, et H Decousus.

Poor anticoagulant response to tissue factor pathway inhibitor in patients with venous thrombosis ».

Journal of thrombosis and haemostasis: JTH 1, no. 3 (mars 2003): 507-510.

Tardy-Poncet, B, M Piot, C Chapelle, C Berger, et B Tardy
Difference in TFPI levels between haemophilia A and B patients
Haemophilia: the official journal of the World Federation of Hemophilia 17, n°. 2 (mars 2011): 312-313.

Brigitte Tardy-Poncet, Michèle Piot, Dominique Brunet, Céline Chapelle, Morgane Bonardel, Patrick Mismetti, Pierre Morange, Bernard Tardy TFPI resistance related to inherited or acquired protein S deficiency *Thromb Research*: (2012) in press,

#### Presentations in International Congresses

PP-WE-165 VENOUS THROMBOSIS RELATED TO RESISTANCE TO TISSUE FACTOR PATHWAY INHIBITOR

B. Tardy- Poncet, M. Piot, C. Chapelle, P. Morange, J. Reynaud, K. Rivron-Guillot, O. Garraud, L. Campos, H. Decousus, P. Mismetti, B. Tardy XXII ISTH congress, Boston 2009

P-TH-143 Poor anticoagulant response to TFPI in patients with acquired or inherited Protein S deficiency.

B Tardy-Poncet, M Piot, D Brunet, C Chapelle, P Morange, P Mismetti, B Tardy. XXIII Congress of the International Society on Thrombosis and Haemostasis 57th Annual SSC Meeting, July 23-28 2011, ICC Kyoto, Kyoto, Japan Journal of Thrombosis and Haemostasis 9 (2011): 814