DE LA RECHERCHE À L'INDUSTRIE



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Random access for dense networks: Design and Analysis of Multiband CSMA/CA

Baher Mawlawi

November 26, 2015

INSTITUT NATIONAL DES SCIENCES APPLIQUÉES LYON



Supervisors : Jean-Baptiste Doré Jean-Marie Gorce

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DE LA RECHERCHE À L'INDUSTRIE



### Outline

- 1. Context & Overview
- 2. M CSMA/CA RTS/CTS
- 3. Scheduled M CSMA/CA RTS/CTS

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- 4. Joint PHY-MAC analysis
- 5. Conclusions & Perspectives



#### DE LA RECHERCHE À L'INDUSTRIE



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## Context & Overview



Figures in parentheses refer to 2014, 2019 device share. Source: Cisco VNI Mobile, 2015

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Context : M2M scenarios

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- Context : M2M scenarios
  - Coordinated and centralized communication.

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► Requirements

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► Requirements



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► Requirements



▶ Plug & Play, straightforward, cheap and efficient deployment.

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Requirements



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#### Requirements



- ▶ Plug & Play, straightforward, cheap and efficient deployment.
- Distributed manner with unknown number of devices.
- Self-Organized resource management integration for scalability.

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## Context & Overview

Scheduled access techniques



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## Context & Overview

Scheduled access techniques



Central station to manage other nodes.

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## Context & Overview

Scheduled access techniques



Central station to manage other nodes.

Need for control channels, ressources allocations,...

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Random access techniques

Aloha

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Random access techniques

#### Aloha

Pure Aloha [Ambrasson70 [Yan86]

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Random access techniques

#### Aloha

- Pure Aloha [Ambrasson70 [Yan86]
- Slotted Aloha [Namislo84]

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Random access techniques

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- Pure Aloha [Ambrasson70 [Yan86]
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- CSMA (Carrier Sense Multiple Access)

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  - CSMA/CD (Collision Detection) [Liu87]

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  - CSMA/CA RTS/CTS (Request To Send / Clear To Send)





time

### Context & Overview Basic CSMA/CA Algorithm

Station



Access point



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FROM RESEARCH TO INDUSTRY





## Context & Overview CSMA/CA - RTS/CTS Algorithm



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Random access techniques :

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# Context & Overview Discussion





Optimized single band CSMA/CA

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- Optimized single band CSMA/CA
  - ► Contention window [Xu2012]

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- Optimized single band CSMA/CA
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  - CSMA/CA ECA (Enhanced Collision Avoidance) [Barcelo2009]

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    - crowded : CSMA/CA still runs on a common channel and suffers from collisions between control messages.



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  - Separating physically the control and the data planes [Basagni2009]
    - crowded : CSMA/CA still runs on a common channel and suffers from collisions between control messages.
    - Iow traffic : high rates users are penalized.

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### M - CSMA/CA - RTS/CTS



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### M - CSMA/CA - RTS/CTS



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Analytical approach

Development of analytical model based on Markov chains under some assumptions [Bianchi98] :

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Analytical approach

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  - ► No capture effect.

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  - All users have same bitrates and same amount of time to transmit.





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  - ► For any given node, the probability of collision, p<sub>i</sub>, is constant and independent of the node's collision history of the node and all other nodes.
  - The probability of collision does not depend on the backoff stage at which the transmission is made.
  - All users have same bitrates and same amount of time to transmit.
  - All users are divided into sets and each set of users is assigned to a predefined sub-channel.

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M - CSMA/CA - RTS/CTS Analytical approach



$$\pi_i = \frac{2}{1 + W_{min_i} + p_i W_{min_i} \sum_{k=0}^{m_i - 1} (2p_i)^k}$$

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#### M - CSMA/CA - RTS/CTS Analytical derivations

Bianchi modelM - CSMA/CA - RTS/CTS model
$$P_{tr} = 1 - (1 - \pi)^N$$
 $P_{tr}^n = 1 - \prod_{i=1}^n (1 - \pi_i)^{N_i}$  $P_s = \frac{N\pi(1 - \pi)^{N-1}}{1 - (1 - \pi)^N}$  $P_s^n = \frac{1 - \prod_{i=1}^n (1 - N_i \pi_i (1 - \pi_i)^{N_i - 1})}{1 - \prod_{i=1}^n (1 - \pi_i)^{N_i}}$  $E[Payload information transmitted in a slot time]$  $S^n = \frac{E[Payload information transmitted in a slot time]}{E[Duration of slot time]}$  $P_s P_{tr} L$  $S^n = \frac{E[Payload information transmitted in a slot time]}{E[Duration of slot time]}$  $P_s^n \times P_{tr}^n T_s + P_{tr} \times (1 - P_s)T_c + (1 - P_{tr})T_{id}$ 

$$S = \frac{E[Payload information transmitted in a slot time]}{E[Duration of slot time]} \qquad S^n = \frac{E[Payload information transmitted in a slot time]}{E[Duration of slot time]} \\ = \frac{P_s P_{tr} L}{P_s P_{tr} T_s + P_{tr} (1 - P_s) T_c + (1 - P_{tr}) T_{id}} \qquad = \frac{P_s^n \times P_{tr}^n L}{P_s^n \times P_{tr}^n T_s^n + P_{tr}^n \times (1 - P_s^n) T_c^n + (1 - P_{tr}^n) T_{id}}$$

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### M - CSMA/CA - RTS/CTS

Model validation



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### M - CSMA/CA - RTS/CTS

Model validation



For any given node, the probability of collision is constant and independent of the node's collision history of the node and all other nodes.



### $\mathsf{M}$ - $\mathsf{CSMA}/\mathsf{CA}$ - $\mathsf{RTS}/\mathsf{CTS}$

Model validation



- For any given node, the probability of collision is constant and independent of the node's collision history of the node and all other nodes.
- The probability of collision does not depend on the backoff stage at which the transmission is made.





Performance evaluation

► Home-made event-driven matlab simulator models the protocol behavior.

8184 bits
272 bits
128 bits
112  bits + PHY  header
160 bits $+$ PHY header
112  bits + PHY header
72.2 Mbit/s
$1 \ \mu$ s
$10 \ \mu s$
9 µs
$28 \ \mu s$

TABLE: PHY layer parameters for 802.11n 20Mhz

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Performance evaluation

- ► Home-made event-driven matlab simulator models the protocol behavior.
  - Saturation conditions, no PHY,...

Packet payload	8184 bits
MAC header	272 bits
PHY header	128 bits
ACK length	112  bits + PHY header
RTS length	160 bits $+$ PHY header
CTS length	112  bits + PHY header
Channel Bit Rate	72.2 Mbit/s
Propagation Delay	$1~\mu s$
SIFS	10 $\mu$ s
Slot Time	9 $\mu$ s
DIFS	28 µs

TABLE: PHY layer parameters for 802.11n 20Mhz

Ph	D	De	fen	se
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Performance evaluation

#### M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

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Performance evaluation

#### ▶ M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

▶ 3 RTS bands and 100 nodes, we can achieve :

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Performance evaluation

#### ► M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

- ▶ 3 RTS bands and 100 nodes, we can achieve :
  - Collision probability gain = 70 %

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Performance evaluation

#### ▶ M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

- ▶ 3 RTS bands and 100 nodes, we can achieve :
  - Collision probability gain = 70 %
  - Saturation throughput gain = 30 %

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Performance evaluation

#### ► M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

- ▶ 3 RTS bands and 100 nodes, we can achieve :
  - Collision probability gain = 70 %
  - Saturation throughput gain = 30 %
  - Transmission delay gain = 40 %

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Performance evaluation

#### M - CSMA/CA - RTS/CTS vs. Single band CSMA/CA - RTS/CTS

- ▶ 3 RTS bands and 100 nodes, we can achieve :
  - Collision probability gain = 70 %
  - Saturation throughput gain = 30 %
  - Transmission delay gain = 40 %
  - $\blacktriangleright$  Packet drop probability is divided by  $\approx 3$

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FROM RESEARCH TO INDUSTRY





## M - CSMA/CA - RTS/CTS

#### Performance comparision



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## M - CSMA/CA - RTS/CTS Discussion



M - CSMA/CA - RTS/CTS

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## Scheduled M - CSMA/CA - RTS/CTS System Model





## Scheduled M - CSMA/CA - RTS/CTS System Model



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## Scheduled M - CSMA/CA - RTS/CTS System Model





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## Scheduled M - CSMA/CA - RTS/CTS System Model



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## Scheduled M - CSMA/CA - RTS/CTS

#### Performance comparision





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# Scheduled M - CSMA/CA - RTS/CTS















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#### Outline

- 1. Context & Overview
- 2. M CSMA/CA RTS/CTS
- 3. Scheduled M CSMA/CA RTS/CTS

#### 4. Joint PHY-MAC analysis

5. Conclusions & Perspectives







# Joint PHY-MAC analysis

Scientific approach

Physical layer effect on M-CSMA/CA - RTS/CTS

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- Physical layer effect on M-CSMA/CA RTS/CTS
  - Physical layer based on 802.11n standard

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- Physical layer effect on M-CSMA/CA RTS/CTS
  - Physical layer based on 802.11n standard
  - Performance study according to several MCS values

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- Physical layer effect on M-CSMA/CA RTS/CTS
  - Physical layer based on 802.11n standard
  - Performance study according to several MCS values
- Capture effect

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  - ▶ Performance evaluation according to AWGN and D fading channels





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  - ► Successful transmission ratio (STR) for AWGN and D fading channels





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- Interband interference due to asynchronous transmissions





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- Interband interference due to asynchronous transmissions
  - Analytical model based on OFDM





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- Interband interference due to asynchronous transmissions
  - Analytical model based on OFDM
  - SIR analytical expressions considering capture effect

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# Joint PHY-MAC analysis

Capture effect Scenario



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# Joint PHY-MAC analysis

Capture effect Scenario



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Noise is dominated by interference.

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- ► Noise is dominated by interference.
- ► The interference is seen like AWGN.

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- MCS=0 (R=1/2, QPSK) for RTS & CTS. ►

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- MCS=0 (R=1/2, QPSK) for RTS & CTS.
- Perfect channel sensing.

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- All messages are decoded perfectly.
- Stations are randomly distributed in the cell (uniform).





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- MCS=0 (R=1/2, QPSK) for RTS & CTS.
- Perfect channel sensing.
- All messages are decoded perfectly.
- Stations are randomly distributed in the cell (uniform).
- Only the RTS collision effect is treated.



## Joint PHY-MAC analysis

1918.518Saturation Throughput (Mbits/sec) 17.517 16.51615.5bands=1 bands=2bands=4 $^{15}$ 10 20 50 60 70 80 90 100 30 40nb<sub>users</sub>

Capture effect for D fading channel with GI=8  $_{\rm Fading 13.5 \ dB, \ R=2/3, \ 16QAM}$ 

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## Joint PHY-MAC analysis

1918.518Saturation Throughput (Mbits/sec) 17.517 16.51615.5bands=1 bands=2bands=41510 20 50 60 70 80 90 100 **`**0 30 40 nbusers

Capture effect for AWGN channel with GI=8 AWGN 5.5dB, R=2/3, 16QAM

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# Joint PHY-MAC analysis

Capture effect Scenario



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#### Joint PHY-MAC analysis

#### Successful Transmission Ratio for AWGN channel



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#### Joint PHY-MAC analysis



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#### Joint PHY-MAC analysis

#### Successful Transmission Ratio for D fading channel



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What are the constraints if OFDM is considered?

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- What are the constraints if OFDM is considered ?
  - OFDM is not well localized in frequency.

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- What are the constraints if OFDM is considered ?
  - OFDM is not well localized in frequency.
  - Interband interference occurs if the received signal over all the sub-bands are not time synchronized.
    - Position in the cell.
    - Imperfect hardware implementation (response time...)





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# Joint PHY-MAC analysis

SIR(k,i) Band #k Band #k Band #k+1)

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# Joint PHY-MAC analysis

SIR(k,i) Band #k Band #k Band #(k+1)

Interband interference due to asynchronous transmissions.

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# Joint PHY-MAC analysis



Interband interference due to asynchronous transmissions.

Analytical model based on OFDM.

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# Joint PHY-MAC analysis



Interband interference due to asynchronous transmissions.

- Analytical model based on OFDM.
- ▶ SIR analytical expressions considering capture effect.

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#### Joint PHY-MAC analysis

Interband interference analysis







#### Joint PHY-MAC analysis

Interband interference analysis



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#### Joint PHY-MAC analysis

Interband interference analysis



 $L(2,j) = \frac{trace(E[Q'_{12}N_2N_2^HQ'_{12}]) + trace(E[Q_{12}N_2N_2^HQ_{12}^H])}{trace(N_2N_2^H)}$ 

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#### Joint PHY-MAC analysis

Interband interference analysis



If the time misalignment is lower than the guard interval duration, the orthogonality between sub-bands is guaranted.





Additional Studies

 Engineering work for IEEE 1900.7 (Radio Interface for White Space Dynamic Spectrum Access)<sup>1</sup>

<sup>1.</sup> Stanislav Anatolievich Filin, Dominique Noguet, Jean-Baptiste Doré, **Baher Mawlawi**, Olivier Holland, Muhammad Zeeshan Shakir, Hiroshi Harada and Fumihide Kojima **2015**.

<sup>2.</sup> Baher Mawlawi, JEAN-BAPTISTE DORÉ AND VINCENT BERG 2015.

<sup>3.</sup> Baher Mawlawi, JEAN-BAPTISTE DORÉ AND JEAN-MARIE GORCE 2015: Sec. 2015: Se





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- Engineering work for IEEE 1900.7 (Radio Interface for White Space Dynamic Spectrum Access)<sup>1</sup>
  - MAC sublayer service specification.

3. Baher Mawlawi, JEAN-BAPTISTE DORÉ AND JEAN-MARIE GORCE 2015.

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<sup>1.</sup> Stanislav Anatolievich Filin, Dominique Noguet, Jean-Baptiste Doré, **Baher Mawlawi**, Olivier Holland, Muhammad Zeeshan Shakir, Hiroshi Harada and Fumihide Kojima 2015.





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- ▶ System evaluation of Multiband CSMA/CA with Multi RTS<sup>3</sup>.

1. Stanislav Anatolievich Filin, Dominique Noguet, Jean-Baptiste Doré, **Baher Mawlawi**, Olivier Holland, Muhammad Zeeshan Shakir, Hiroshi Harada and Fumihide Kojima 2015.

- 2. Baher Mawlawi, JEAN-BAPTISTE DORÉ AND VINCENT BERG 2015.
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- 4. Joint PHY-MAC analysis
- 5. Conclusions & Perspectives





# Conclusions & Perspectives

Conclusions

▶ M - CSMA/CA - RTS/CTS is adapted for dense networks.

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### Conclusions & Perspectives

► M - CSMA/CA - RTS/CTS is adapted for dense networks.

► Scheduled M - CSMA/CA - RTS/CTS enhances the system performance.

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- ▶ M CSMA/CA RTS/CTS is adapted for dense networks.
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  - OFDM is a good candidate if the GI is small enough (and adapted to the cell size).

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# Conclusions & Perspectives

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- Multicarrier waveforms already introduced into latest 802.11 standards can fulfill the requirements for such implementations.
  - OFDM is a good candidate if the GI is small enough (and adapted to the cell size).
  - The proposed strategy may be easily implemented by allocating many sub-carriers for different RTS messages.

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CSMA/CA drawbacks analysis for dense network scenarios.

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- CSMA/CA drawbacks analysis for dense network scenarios.
- Design and analytical derivations of M CSMA/CA RTS/CTS performance.

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- Joint PHY-MAC study.




#### Conclusions & Perspectives PhD contributions

- ► CSMA/CA drawbacks analysis for dense network scenarios.
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- ► Joint PHY-MAC study.
  - Physical layer effect based on several MCS.





#### Conclusions & Perspectives PhD contributions

- ► CSMA/CA drawbacks analysis for dense network scenarios.
- Design and analytical derivations of M CSMA/CA RTS/CTS performance.
- ► Scheduled M CSMA/CA RTS/CTS is also proposed and evaluated.
- ► Joint PHY-MAC study.
  - Physical layer effect based on several MCS.
  - Capture effect and interband interference.





Generalization to multi AP scenario.

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- Generalization to multi AP scenario.
  - Take the advantages offered by spatial diversity.

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- Generalization to multi AP scenario.
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- Extend the analytical model to take into consideration the effects of more realistic communications.





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- Consider the FBMC modulation.





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- Propose an analytical model related to the scheduled M CSMA/CA -RTS/CTS.
- Consider the FBMC modulation.
- Energy consumption study.



## Scientific Contributions

- ► 3 Patents.
- ▶ 9 Conference papers.
- ▶ 2 Journals under preparation.
- ▶ 8 Technical contributions.
- ► Grant from COST Action IC 0902.





### Scientific Contributions List of patents

- 1. Baher Mawlawi and Jean-Baptiste Doré "Multiple access method and system with frequency multiplexing of requests for authorisation to send data", US 14/318,838.
- 2. Baher Mawlawi, Jean-Baptiste Doré and Jean-Marie Gorce "Multiple access method and system with frequency multiplexing of several request to send messages per source node", US 14/533480.
- 3. Baher Mawlawi and Jean-Baptiste Doré "Submitted".

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#### Scientific Contributions List of journal papers

- Baher Mawlawi, Jean-Baptiste Doré and Jean-Marie Gorce, "A Multiband CSMA/CA Strategy for Crowded Single Band Multicarriers Wireless LAN", under preparation.
- 2. **Baher Mawlawi** and Jean-Baptiste Doré, "A PHY-MAC Cross Layer Study for WRAN based on FBMC Waveforms", under preparation.





#### Scientific Contributions I List of conference papers

- Baher Mawlawi, Jean-Baptiste Doré, "CSMA/CA Bottleneck Remediation In Saturation Mode With New Backoff Strategy", 6th International Workshop on Multiple Access Communications, 16-17 December 2013, Vilnius, Lithuania.
- Baher Mawlawi, Jean-Baptiste Doré, Nikolai Lebedev and Jean-Marie Gorce, "Analysis of Frequency Channel Division Strategy for CSMA/CA with RTS/CTS Mechanism", Eighth International Conference on Sensing Technology (ICST), 2-5 September 2014, Liverpool, Uk.
- Baher Mawlawi, Jean-Baptiste Doré, Nikolai Lebedev and Jean-Marie Gorce, "Performance Evaluation Of Multiband CSMA/CA With RTS/CTS For M2M Communication With Finite Retransmission Strategy", International Conference on Selected Topics in Mobile and Wireless Networking (MoWNet), 8-9 September 2014, Rome, Italy.





# Scientific Contributions II

List of conference papers

- 4. **Baher Mawlawi**, Jean-Baptiste Doré, Nikolai Lebedev and Jean-Marie Gorce, "Multiband CSMA/CA with RTS-CTS Strategy", IEEE 10th International Conference on Wireless and Mobile Computing, Networking and Communications (WiMob), 8-10 October 2014, Larnaca, Cyprus.
- Baher Mawlawi, Jean-Baptiste Doré, Nikolai Lebedev, Jean-Marie Gorce "CSMA/CA with RTS/CTS Overhead Reduction for M2M communication", IEEE WCNC 2015 - Workshop - NGWIFI, 9-12 March 2015, New Orleans, LA USA.
- Baher Mawlawi, Jean-Baptiste Doré, and Vincent Berg "Optimizing Contention Based Access Methods for FBMC Waveforms", International Conference on Military Communications and Information Systems ICMCIS (former MCC), May 2015, Cracow, Poland.





#### Scientific Contributions III List of conference papers

- Baher Mawlawi and Jean-Baptiste Doré "CSMA/CA with RTS/CTS Overhead Reduction for M2M Communication with Finite Retransmission Strategy", IEEE International Wireless Communications & Mobile Computing Conference, August 2015, Dubrovnik, Croatia.
- 8. **Baher Mawlawi**, Jean-Baptiste Doré, Nikolai Lebedev, Jean-Marie Gorce "Modélisation Analytique du protocole Multi-Bande CSMA/CA", 25ème colloque Gretsi, 8-11 Septembre 2015, Lyon, France.
- Stanislav Anatolievich Filin, Dominique Noguet, Jean-Baptiste Doré, Baher Mawlawi, Olivier Holland, Muhammad Zeeshan Shakir, Hiroshi Harada and Fumihide Kojima "IEEE 1900.7 Standard for White Space Dynamic Spectrum Access Radio Systems", IEEE International Conference on Standards for Communications and Networking, 28-30 October 2015, Tokyo, Japan.





#### Scientific Contributions I Grants

 COST Action IC 0902, Best tutorial days participant, 11-13 February 2013, Castelldefels-Barcelona, Spain.

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#### Scientific Contributions I Technical contributions

- Jean-Baptiste Doré, Baher Mawlawi, Dominique Noguet, "MAC Draft Standard", IEEE 1900.7 White Space Radio, 26-29 August 2014, Piscataway, NJ, USA.
- 2. **Baher Mawlawi**, Jean-Baptiste Doré, Dominique Noguet, "MAC Functional Description", IEEE 1900.7 White Space Radio, 08-10 April 2014, Grenoble, France.
- 3. **Baher Mawlawi**, Jean-Baptiste Doré, Dominique Noguet, "MAC Architecture", IEEE 1900.7 White Space Radio, 08-10 April 2014, Grenoble, France.
- Baher Mawlawi, Jean-Baptiste Doré, Dominique Noguet, "Novel backoff strategy for bottleneck remediation", IEEE 1900.7 White Space Radio, 02-05 December 2013, Tokyo, Japan.





#### Scientific Contributions II Technical contributions

- Baher Mawlawi, Jean-Baptiste Doré, Dominique Noguet, "Dynamic Spectrum Access Techniques", IEEE 1900.7 White Space Radio, 26-29 August 2013, Arlington, USA.
- Baher Mawlawi, Jean-Baptiste Doré, Dominique Noguet, "CSMA/CA Analysis", IEEE 1900.7 White Space Radio, 26 June 2013, Grenoble, France.
- 7. **Baher Mawlawi**, Jean-Baptiste Doré, Dominique Noguet, "Analysis of Scenarios from an Access Scheme Perspective", IEEE 1900.7 White Space Radio, 13 March 2013, Grenoble, France.
- 8. **Baher Mawlawi**, Jean-Baptiste Doré, Dominique Noguet, "White Space Dynamic Spectrum Access Radio Systems", IEEE 1900.7 White Space Radio, 20 February 2013, Grenoble, France.

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#### Thanks for your attention !

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- ▶ How to implement the M-CSMA/CA?
  - Multicarrier waveforms (OFDM) are by construction good candidates.
    - Carriers allocations







#### Low bitrate with coexistence





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MCS index	Coding rate	Modulation
0	1/2	QPSK
1	2/3	QPSK
2	3/4	QPSK
3	1/2	16QAM
4	2/3	16QAM
5	3/4	16QAM
6	1/2	64QAM
7	2/3	64QAM
8	3/4	64QAM

 $\ensuremath{\mathrm{TABLE}}$ : List of MCS Index Values.

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$$T = L - STF + L - LTF + L - SIG + Data$$
  

$$T = 20\mu s + M(N_c + GI)/B$$
(1)

$$M = \lceil \frac{\lceil \frac{\lceil \frac{p}{R} \rceil}{m} \rceil}{N_a} \rceil$$
<sup>(2)</sup>

where R is the coding rate, m is the modulation order and  $N_a$  is the number of active sub-carriers used to send the packet of length P bits.

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FROM RESEARCH TO INDUSTRY









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## Backup slides



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