

Raisonnement par récurrence dans le calcul des séquents modulo

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Necessity of induction

“Pour parvenir au plus petit théorème, il ne pourra s'affranchir de l'aide du raisonnement par récurrence parce-que c'est un instrument qui permet de passer du fini à l'infini”

H.Poincaré, “La science et l'hypothèse”

Necessity of induction

- ▶ Assume that a definition of 0, 1, and of the operation $x + 1$ is given
- ▶ Assume $0 + 1 \approx 1$, and let us define 2 by $1 + 1 \approx 2$, 3 by $2 + 1 \approx 3$ etc ...
- ▶ Let us define $x + (y + 1)$ by $x + (y + 1) \approx (x + y) + 1$
(Operations $x + 2$, $x + 3$, ... are defined *by induction*).

Necessity of induction

Assumptions:

1. $0 + 1 \approx 1$;
2. $x + (y + 1) \approx (x + y) + 1$

Conjecture: $0 + X \approx X$ for all integer X

- ▶ For $X = 1$, it is the first assumption.
- ▶ Let us assume that **the conjecture is true for any X** , and let us show that it is true for $X + 1$.
 - ▶ By assumption 2, $0 + (X + 1) \approx (0 + X) + 1$,
 - ▶ and since equality $0 + X \approx X$ is assumed,
 - ▶ this leads to $0 + (X + 1) \approx X + 1$ and we are done.

Necessity of induction

Since conjecture is true for $X = 1$, this leads that it is true for $X = 2$, $X = 3$, etc ...

and we have shown that it is true for *all* integer X by induction.

“Pourquoi donc ce jugement s'impose-t-il à nous avec une irrésistible évidence? C'est qu'il n'est que l'affirmation de la puissance de l'esprit qui se sait capable de concevoir la répétition infinie d'un même acte dès que cet acte est une fois possible”

H.Poincaré.

The Peano induction principle

The Peano induction principle is a plan to build a “Jacob's ladder”:

- ▶ it consists of a base case: “proposition P is true for zero”.
- ▶ and a step case: “if P is true for X , it is also true for $s(X)$, the immediate successor of X ”.

the ladder can be lengthened at any extent (proposition P is shown for *any* X) by repeating the step case.

Let us show our conjecture $0 + X \approx X$ with the Peano induction principle

Computation with operator $+$ can be defined by the following axioms:

$$(1) x + 0 \approx x \quad (2) x + s(y) \approx s(x + y)$$

- ▶ Base case: $0 + 0 \approx 0$, by axiom (1).
- ▶ Step case: $0 + s(X) \approx s(0 + X)$, by axiom (2)

Now, if $0 + X \approx X$ is assumed, this leads to $0 + s(X) \approx s(X)$ and we are done.

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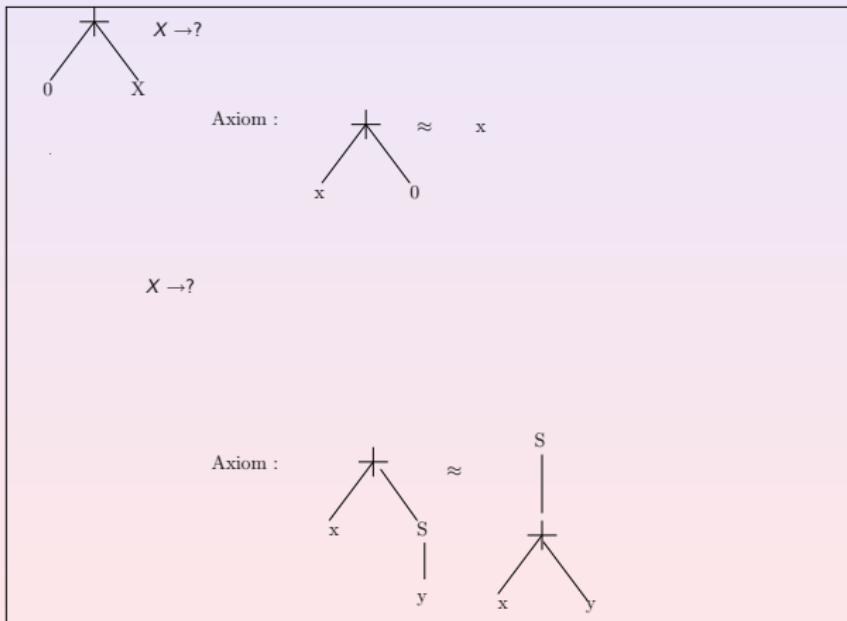
Now, if $0 + X \approx X$ is assumed, this leads to $0 + s(X) \approx s(X)$ and we are done.

In the above proof, the replacement of X led to the application of an axiom.

This is exactly a *narrowing step*

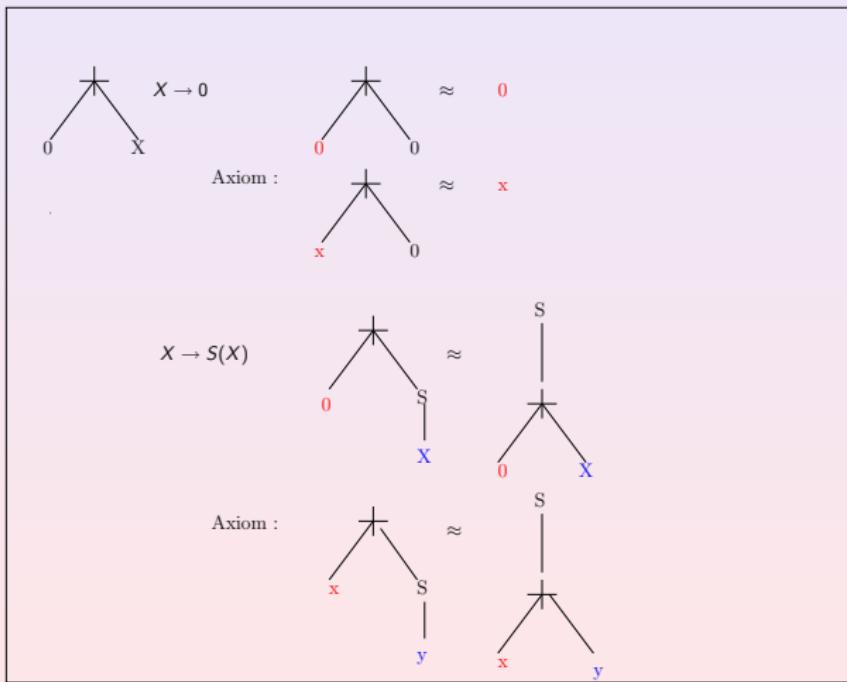
What is narrowing?

$$0 + X \approx X$$



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Induction vs narrowing

$$0 + X \approx X$$

Base case

$$\begin{array}{ccc} \begin{array}{c} \text{---} \\ | \\ 0 \quad X \end{array} & X \rightarrow 0 & \begin{array}{c} \text{---} \\ | \\ 0 \quad 0 \end{array} \\ & & \approx \quad 0 \\ & \text{Axiom :} & \begin{array}{c} \text{---} \\ | \\ x \quad 0 \end{array} \\ & & \approx \quad x \end{array}$$

$$\begin{array}{ccc} \begin{array}{c} \text{---} \\ | \\ 0 \quad X \end{array} & X \rightarrow S(X) & \begin{array}{c} \text{---} \\ | \\ 0 \quad S \end{array} \\ & & \approx \\ & \text{Axiom :} & \begin{array}{c} \text{---} \\ | \\ x \quad S \end{array} \\ & & \approx \\ & & \begin{array}{c} S \\ | \\ \begin{array}{c} \text{---} \\ | \\ 0 \quad X \end{array} \end{array} \\ & & \approx \\ & & \begin{array}{c} S \\ | \\ \begin{array}{c} \text{---} \\ | \\ x \quad y \end{array} \end{array} \end{array}$$

Induction vs narrowing

$$0 + X \approx X$$

Base case

$$\begin{array}{c} \text{Axiom : } \\ \begin{array}{ccc} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \xrightarrow{X \rightarrow 0} & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} 0 \\ | \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & 0 \end{array} \\ \dots \\ \begin{array}{ccc} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & x \end{array} \end{array}$$

Step case

$$\begin{array}{c} \text{Axiom : } \\ \begin{array}{ccc} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} 0 \\ | \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & S \end{array} \\ \dots \\ \begin{array}{ccc} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & S \end{array} \\ \begin{array}{ccc} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & X \end{array} \end{array} \\ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \end{array}$$

if

$$\begin{array}{c} \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} & \approx & \begin{array}{c} \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \\ \text{---} \end{array} \\ & \approx & 0 \end{array}$$

Induction in computer science

The Peano induction principle for integers can be generalized to any infinite set of data defined by a constructive process (lists, arrays ...) and is therefore crucial in computer science.

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One can distinguish two approaches:

- ▶ explicit approach (COQ, ELF, HOL, Isabelle, Larch, NQTHM, PVS): the human user or an intelligent tactic must determine the most relevant induction hypothesis to show a given conjecture;

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One can distinguish two approaches:

- ▶ explicit approach (COQ, ELF, HOL, Isabelle, Larch, NQTHM, PVS): the human user or an intelligent tactic must determine the most relevant induction hypothesis to show a given conjecture;
- ▶ implicit approach (Spike, RRL ou INKA) : these automated theorem provers use the saturation and rewriting technicals to show automatically (inductive) conjectures

Induction in computer science

As a bridge between these two trends, a framework has been provided by Eric Deplagne:

- ▶ the computing steps are blindly performed without any help of the user → implicit induction;
- ▶ the user can control the deductive steps: → explicit induction.

His method is built over *deduction modulo* [Dowek Hardin Kirchner 1998]

What is Deduction modulo?

- ▶ A specific presentation of first-order logic
- ▶ That works *modulo* a congruence \equiv on terms *and* propositions
- ▶ That makes a clear distinction between *computation* and *deduction*

Reasoning modulo

$$\frac{\Gamma, A \vdash B \quad \Gamma \vdash A, B}{\Gamma \vdash B} \text{cut}$$

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if:



if \equiv -classes can be computed with \mathcal{RE}

Motivation:

To build an inductive proof search system, which:

- ▶ is grounded on deduction modulo;
- ▶ is narrowing based;
- ▶ performs narrowing with constructor unifiers.

1. Introduction

2. The proof search system

The rules

Relation to deduction modulo

3. The proof search modulo

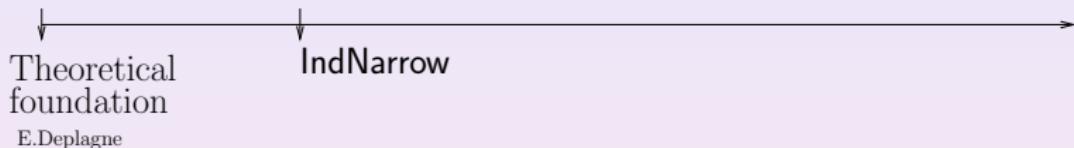
Constructor unifiers

The proof search system modulo

4. Refinement to associative or associative commutative theories

5. Conclusion

The proof search system IndNarrow



- ▶ It is designed to **rewrite sequents**

- ▶ These sequents are **sequents modulo** of the form
 $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q$

$$\boxed{\Gamma_1 \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \mathcal{RE}_2} Q}$$

with $\mathcal{RE}_1, \Gamma_1, \mathcal{RE}_2, \Gamma_2$ such that:

- ▶ \mathcal{RE}_1 contains non conditional rewrite rules or equalities of the user specification;
- ▶ Γ_1 contains other axioms of the user specification;
- ▶ \mathcal{RE}_2 contains the induction hypotheses and other required lemmas;
- ▶ Γ_2 contains crucial propositions for induction.

Stop rules

Trivial $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} t \approx t \rightarrow \Diamond$

Refutation $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} t \approx t' \rightarrow \text{Refutation}$
if the proof search gets stuck

Rewriting rules

- ▶ Rewriting with the user specification:

$$\begin{array}{c} \textbf{Rewrite}_1 \quad \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \\ \qquad \qquad \qquad \rightarrow \quad \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q' \\ \text{if } Q \rightarrow_{\mathcal{RE}_1} Q' \end{array}$$

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- ▶ Rewriting with the induction hypotheses or other lemmas:

$$\begin{array}{l} \textbf{Rewrite}_2 \quad \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \\ \qquad \qquad \qquad \rightarrow \quad \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q' \\ \text{if } Q \rightarrow_{\mathcal{RE}_2} Q' \end{array}$$

Narrowing rule: a first example

Consider the specification **Nat**:

- ▶ **Sorts:** nat .
- ▶ **Constructors:** $0 : \rightarrow \text{nat}; s : \text{nat} \rightarrow \text{nat};$
- ▶ **Defined functions:** $+ : \text{nat} \times \text{nat} \rightarrow \text{nat};$
- ▶ **Rules:**

$$x + 0 \rightarrow x$$

$$x + s(y) \rightarrow s(x + y)$$

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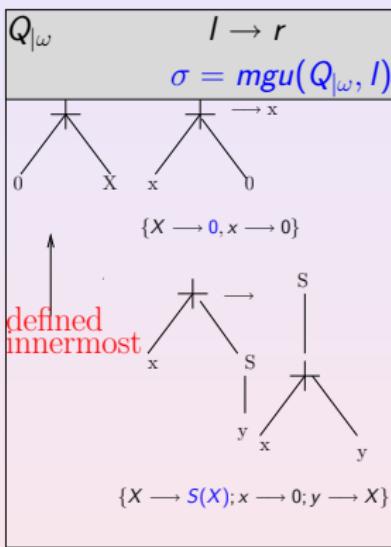
$$x + s(y) \rightarrow s(x + y)$$

Let \mathcal{RE}_1 = set of rules of the specification **Nat**

and consider the following goal: $\boxed{\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1} \emptyset \mid 0 + X \approx X}$

Computing a most general unifier

$$\boxed{\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1} \emptyset \ 0 + X \approx X}$$

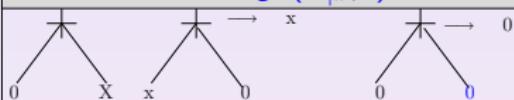


Narrowing

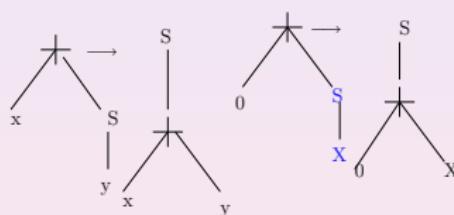
$$\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1} \emptyset \ 0 + X \approx X$$

$$Q_{|\omega} \quad I \rightarrow r \quad Q_{|\omega} \sigma \rightarrow r \sigma$$

$\sigma = \text{mgu}(Q_{|\omega}, I)$



$$\{X \rightarrow 0, x \rightarrow 0\}$$



$$\{X \rightarrow S(X); x \rightarrow 0; y \rightarrow X\}$$

Providing the subgoals

$$\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1} \emptyset \ 0 + X \approx X$$

$$\begin{array}{c} Q_{|\omega} \quad I \rightarrow r \quad Q_{|\omega} \sigma \rightarrow r\sigma \\ \sigma = \text{mgu}(Q_{|\omega}, I) \end{array}$$

$\{x \rightarrow 0, x \rightarrow 0\}$

Subgoal: $\boxed{\Gamma_1 \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \cup \{\mathcal{RE}_{ind}(Q, <) \sigma\}} (Q[r]_{|\omega}) \sigma}$

$\{x \rightarrow S(X), x \rightarrow 0; y \rightarrow X\}$

Subgoal 1 : $\boxed{\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < 0\}} 0 \approx 0}$

Subgoal 2 : $\boxed{\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < S(X)\}} S(0+X) \approx S(X)}$

Narrowing rule: general case

Induce $\Gamma_1|\Gamma_2 \vdash_{\mathcal{RE}_1|\mathcal{RE}_2} Q \rightarrowtail$

- $I \rightarrow r \in \mathcal{RE}_1$
 $\sigma = \text{mgu}(Q|_\omega, I)$

$\Gamma_1|\Gamma_2 \vdash_{\mathcal{RE}_1|\mathcal{RE}_2\sigma \cup \{\mathcal{RE}_{ind}(Q, <)\sigma\}} (Q[r]_\omega)\sigma$
if $\omega \in DI(Q)$

Narrowing rule: general case

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$\Gamma_1|\Gamma_2 \vdash_{\mathcal{RE}_1|\mathcal{RE}_2\sigma \cup \{\mathcal{RE}_{ind}(Q, <)\sigma\}} (Q[r]_\omega)\sigma$
if $\omega \in DI(Q)$

if ω *Defined-Innermost* in Q , i.e., if $Q|_\omega = ft_1 \dots t_n$, with:

- f defined symbol;
- t_1, \dots, t_n constructor terms.

Soundness: case of the example

$$\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \emptyset} 0 + X \approx X$$

→ Induce

$$\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \{0+x \approx x \text{ if } x < 0\}} 0 \approx 0$$
$$\emptyset \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \{0+x \approx x \text{ if } x < s(X)\}} s(0 + X) \approx s(X)$$

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Soundness of **Induce** amounts claiming that:

there is a proof in sequent calculus modulo of the goal:

$$\Gamma_2, X \in \mathcal{T}(\Sigma) \vdash_{\mathcal{RE}_1} 0 + X \approx X$$

whenever there are proofs in sequent calculus modulo of the subgoals:

$$\Gamma_2 \quad \vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < 0\}} 0 \approx 0$$

$$\Gamma_2, X \in \mathcal{T}(\Sigma) \quad \vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < s(X)\}} s(0 + X) \approx s(X)$$

Case of the example

Consider the noetherian induction principle:

$$\text{NoethInd}(<) \triangleq \forall P (\forall x (\forall y (y < x \Rightarrow P(y)) \Rightarrow P(x)) \Rightarrow \forall x P(x))$$

Case of the example

Consider the noetherian induction principle:

$$\text{NoethInd}(<) \triangleq \forall P (\forall x (\forall y (y < x \Rightarrow P(y)) \Rightarrow P(x)) \Rightarrow \forall x P(x))$$

Since $\text{NoethInd}(<) \subset \Gamma_2$, we can build the following derivation:

$$\boxed{\Gamma_2, X \in \mathcal{T}(\Sigma) \vdash_{\mathcal{RE}_1} 0 + X \approx X}$$

⋮

$$\Gamma_2, X \in \mathcal{T}(\Sigma), \forall x (x < X \Rightarrow 0 + x \approx x) \vdash_{\mathcal{RE}_1} 0 + X \approx X.$$

The new induction hypothesis is gathered in the modulo part of the sequent:

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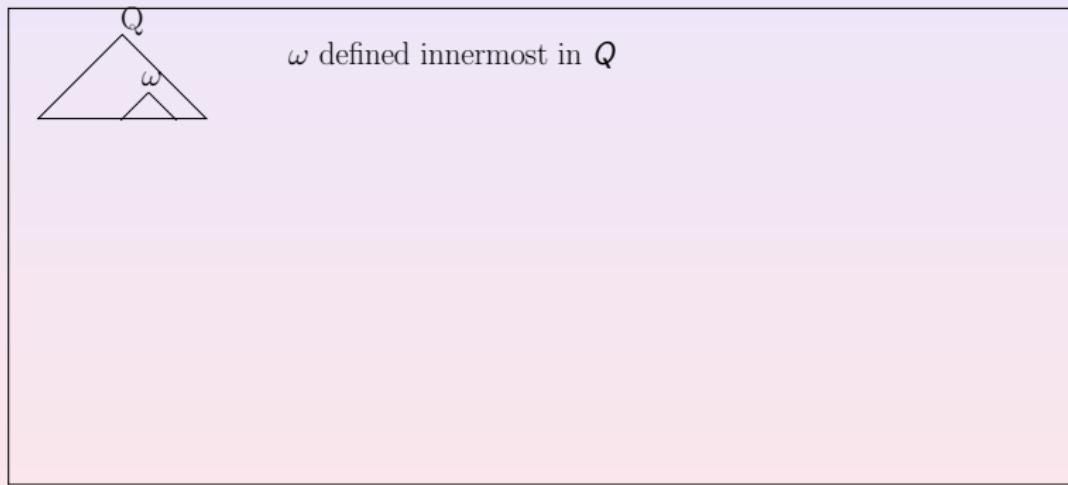
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Γ_2	$\vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < 0\}} 0 \approx 0$
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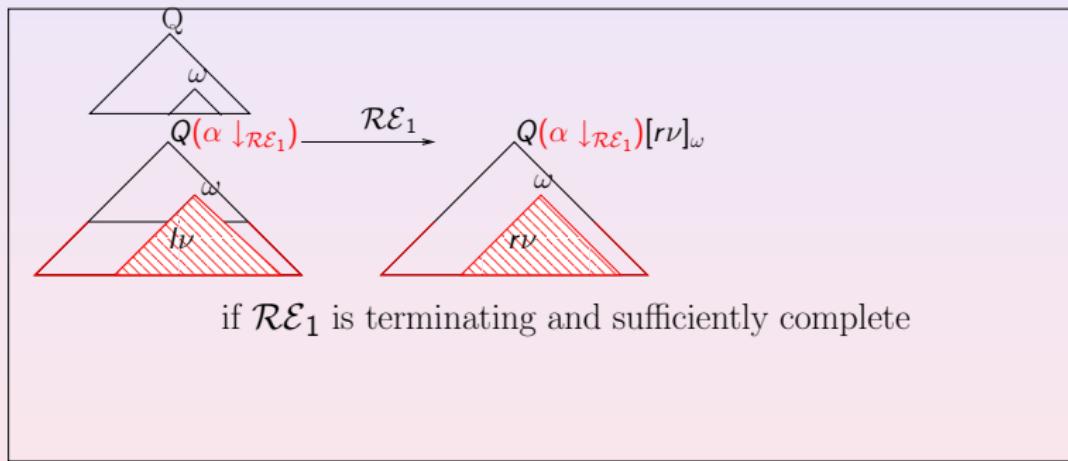
The narrowing step: going into details

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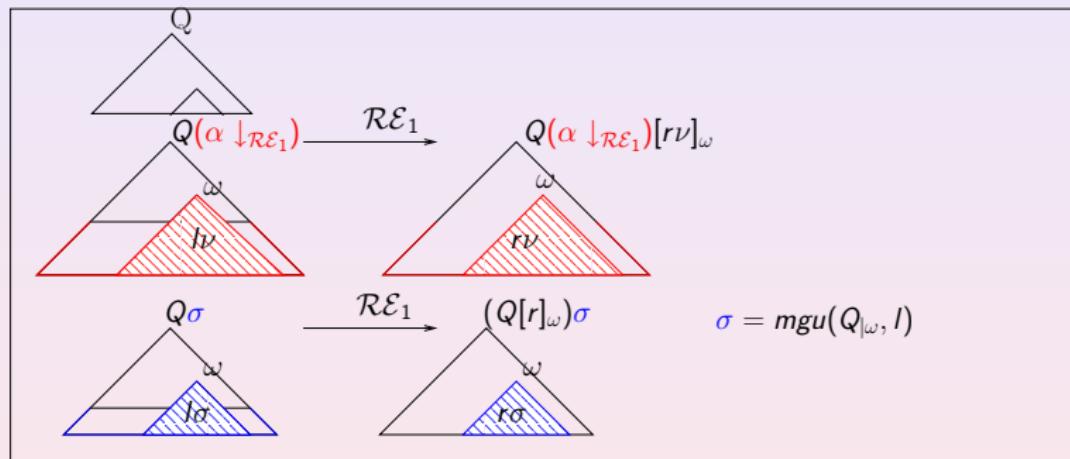
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- $I \rightarrow r \in \mathcal{RE}_1$ $\Gamma_2, X \in T(\Sigma) \vdash_{\mathcal{RE}_1 \cup \{0+x \approx x \text{ if } x < \sigma(X)\}} Q[r\sigma]_\omega$
 $\sigma = \text{mgu}(0+X, I)$

Assume \mathcal{RE}_1 terminating and sufficiently complete wrt a set of free constructors:

► **Soundness**

Theorem

If: $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \emptyset} Q \xrightarrow{*_{IndNarrow}} \Diamond$

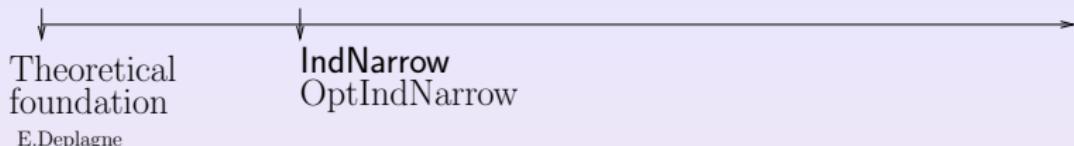
then sequent $\Gamma_1 \cup \Gamma_2, \overrightarrow{\text{Var}(Q)} \in \mathcal{T}(\Sigma)^n \vdash_{\mathcal{RE}_1 | \emptyset} Q$ has a proof in sequent calculus modulo;

► **Refutational completeness**

Theorem

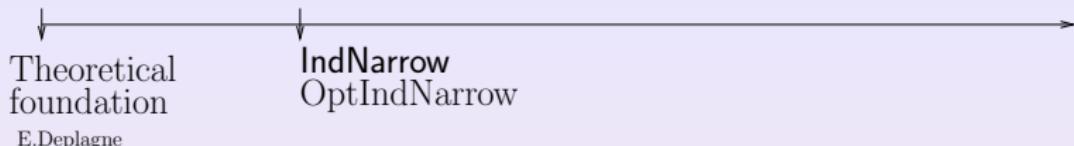
If: $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \emptyset} Q \xrightarrow{*_{IndNarrow}} \text{Refutation}$

then sequent $\Gamma_1 \cup \Gamma_2, \overrightarrow{\text{Var}(Q)} \in \mathcal{T}(\Sigma)^n \vdash_{\mathcal{RE}_1 | \emptyset} Q$ has no proof in sequent calculus modulo.



We have refined our system in order:

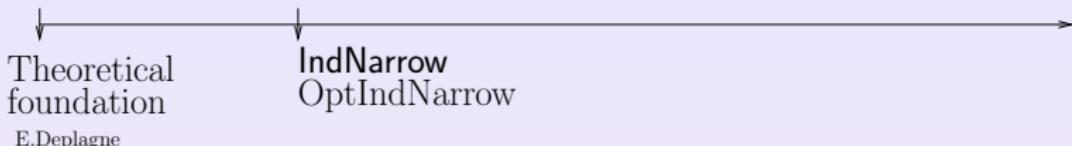
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2. to restrict the search space;
3. prevent infinite sequences of rewriting steps;

However, non orientable axioms can be used to increase the simplification process.

The solution consists in using equational rewriting as pioneered by Peterson and Stickel (81) and Jouannaud and Kirchner (86).

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The rules

Relation to deduction modulo

3. The proof search modulo

Constructor unifiers

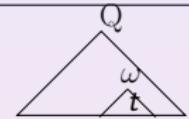
The proof search system modulo

4. Refinement to associative or associative commutative theories

5. Conclusion

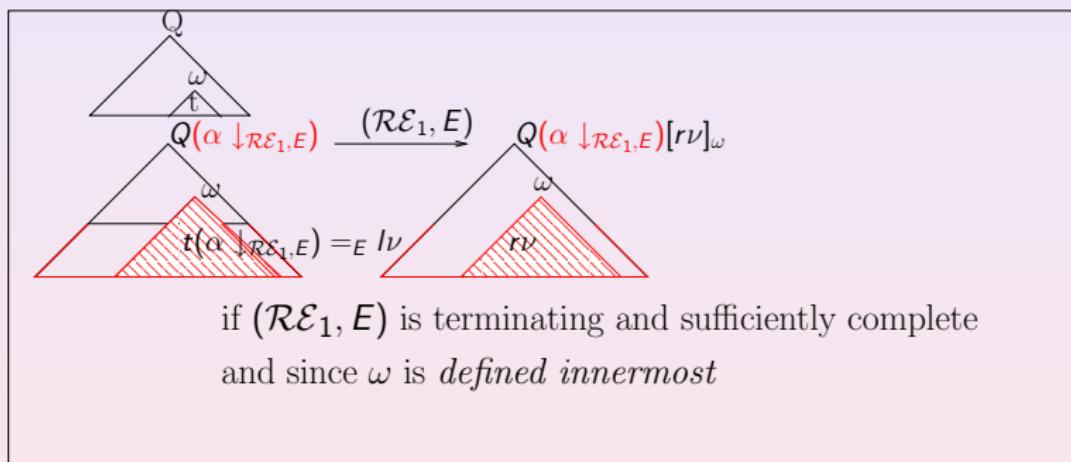
Narrowing lemma modulo: rewriting modulo step

Let us assume that E contains a finite set of equations, and consider the rewriting relation (\mathcal{RE}_1, E) of Peterson and Stickel.

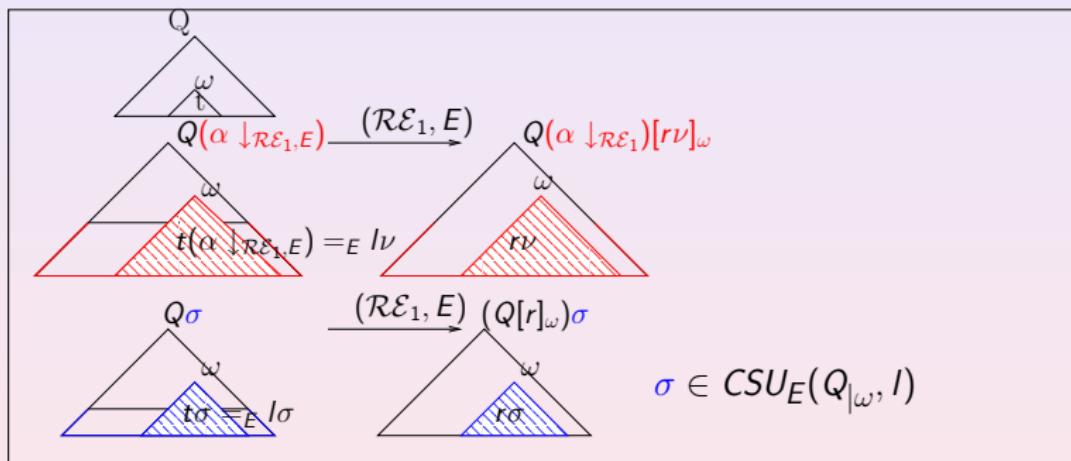


t subterm of Q at the defined innermost position ω

Narrowing lemma modulo: rewriting modulo step



Narrowing lemma: narrowing modulo step



Constructor unifiers

Moreover,

if E is **constructor preserving**:

- ▶ two E –equivalent terms are either both constructor terms or contain both defined symbols

then σ is a **constructor substitution**:

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A new concept: “Complete Set of Constructor Unifiers”:

Correctness: every σ of $CSUC_E(s, t)$ is a constructor E -unifier of s and t ;

Completeness: for any constructor E -unifier of s and t , there is $\sigma \in CSUC_E(s, t)$ and a substitution μ , such that $\theta =_E \sigma\mu [Var(s) \cup Var(t)]$;

Why are constructor unifiers interesting?

- ▶ since we compute $CSUC_E(Q|_\omega, t)$ and ω ,
they are trivial in many cases

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they are trivial in many cases

Two examples (+ is a defined symbol):

- ▶ Let $A(+)=\{(x+y)+z \approx x+(y+z)\}$.
Plotkin showed that $CSU_{A(+)}(x+a, a+x)$ is not finite.

However ...

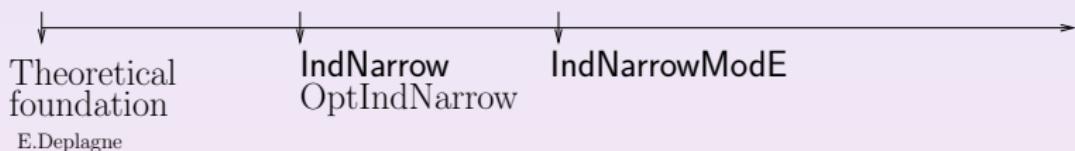
$$CSUC_{A(+)}(x+a, a+x) = \{x \mapsto a\}.$$

- ▶ Let $E(+)=\{(x+y)+z \approx (y+x)+z\}$.
M.Echenim showed that $CSU_{E(+)}(x+y, z+y)$ is note finite.

However ...

$$CSUC_{E(+)}(x+y, z+y) = \{x \mapsto z\}.$$

The proof search system IndNarrowModE



- ▶ Stop rules: similar to IndNarrow , but E -equivalence is tested instead of equality;
- ▶ Rewriting rules: similar to IndNarrow , but we use rewriting modulo E

Narrowing rule

- ▶ in IndNarrow , it was:

Induce $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \rightsquigarrow$

- $I \rightarrow r \in \mathcal{RE}_1$
 $\sigma = mgu(Q|_\omega, I)$

$$\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2 \sigma \cup \{\mathcal{RE}_{ind}(Q, <) \sigma\}} (Q[r]_\omega) \sigma$$

if $\omega \in DI(Q)$

- ▶ in IndNarrowModE , it is:

Induce $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \rightsquigarrow$

- $I \rightarrow r \in \mathcal{RE}_1$
 $\sigma' \in CSUC_E(Q'|_{\omega'}, I)$

$$\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2 \sigma' \cup \{\mathcal{RE}_{ind}(Q, <) \sigma'\}} (Q'[r]_{\omega'}) \sigma'$$

if $Q' =_E Q$ and $\omega' \in DI(Q')$

Assume \mathcal{RE}_1 E -terminating, (\mathcal{RE}_1, E) -sufficiently complete and E constructor preserving:

Assume \mathcal{RE}_1 E -terminating, (\mathcal{RE}_1, E) -sufficiently complete and E constructor preserving:

- ▶ **Soundness**

Theorem

If: $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \emptyset} Q \xrightarrow{*_{IndNarrowModE}} \diamond$

then sequent $\Gamma_1 \cup \Gamma_2, \overrightarrow{\text{Var}(Q)} \in \mathcal{T}(\Sigma)^n \vdash_{\mathcal{RE}_1 | \emptyset} Q$ has a proof in sequent calculus modulo;

- ▶ **Refutational completeness**

Theorem

If: $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \emptyset} Q \xrightarrow{*_{IndNarrowModE}} \text{Refutation}$

then sequent $\Gamma_1 \cup \Gamma_2, \overrightarrow{\text{Var}(Q)} \in \mathcal{T}(\Sigma)^n \vdash_{\mathcal{RE}_1 | \emptyset} Q$ has no proof in sequent calculus modulo.

Main advantage/Main disadvantage

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In the case of associative commutative or associative theories, we avoid this problem by working with flattened goals [Marché93]:

- ▶ flattening a term amounts to write it without brackets:
 - ▶ Example: $\overline{((x + y) + z) + t} = x + y + z + t$

1. Introduction

2. The proof search system

The rules

Relation to deduction modulo

3. The proof search modulo

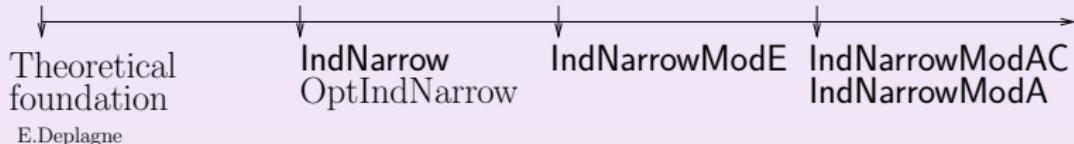
Constructor unifiers

The proof search system modulo

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The proof search systems IndNarrowModAC and IndNarrowModA



In the associative commutative case, the narrowing rule becomes:

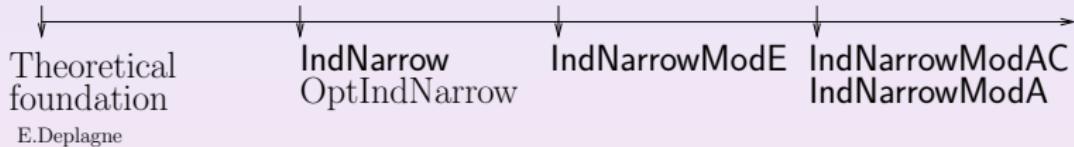
InduceAC $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} \bar{Q} \rightsquigarrow$

- $I \rightarrow r \in \mathcal{RE}_1$
 $\sigma \in CSUC_{AC}(\bar{Q}|_\omega, I)$

$$\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2 \cup \{\mathcal{RE}_{ind}(Q, <) \sigma\}} (\bar{Q}[\bar{r}]_\omega) \sigma$$

if $\omega \in DI(\bar{Q})$

The proof search systems IndNarrowModAC and IndNarrowModA



In the associative commutative case, the narrowing rule becomes:

$$\begin{aligned}
 \textbf{InduceAC} \quad & \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} \bar{Q} \rightsquigarrow \\
 \bullet \quad & I \rightarrow r \in \mathcal{RE}_1 \\
 & \sigma \in CSUC_{AC}(\bar{Q}|_\omega, I) \\
 \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2 \sigma \cup \{\mathcal{RE}_{ind}(Q, <) \sigma\}} & (\bar{Q}[\bar{r}]_\omega) \sigma \\
 \text{if } \omega \in DI(\bar{Q}) &
 \end{aligned}$$

In the associative case, it is similar.

An AC-example

Let us consider the specification **Simple arithmetic**:

- ▶ **Sorts:** nat
- ▶ **constructors:** $0 : \text{nat}$ $s : \text{nat} \rightarrow \text{nat}$
- ▶ **defined functions:**
 - $+ : \text{nat} \times \text{nat} \rightarrow \text{nat}$
 - $* : \text{nat} \times \text{nat} \rightarrow \text{nat}$
 - $\exp : \text{nat} \rightarrow \text{nat}$
- ▶ **rewrite rules:**

$$x + 0 \rightarrow x$$

$$x + s(y) \rightarrow s(x + y)$$

$$x * 0 \rightarrow 0$$

$$x * s(y) \rightarrow x * y + x$$

$$\exp(x, 0) \rightarrow s(0)$$

$$\exp(x, s(y)) \rightarrow x * \exp(x, y)$$

- ▶ **Equational axioms:** $AC(+, *)$

An AC-example

Consider the following goal:

$$\boxed{\emptyset, A(<>) \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \emptyset} \exp(X * Y, N) \approx \exp(X, N) * \exp(Y, N)}$$

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Consider the following goal:

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- Let us apply **InduceAC** at position 2.1.

I	$CSUC_{AC(+,*)}(\exp(X, N), I)$
$\exp(x, 0)$	$\sigma_1 = \{X \rightarrow X_1; N \rightarrow 0; x \rightarrow X_1\}$
$\exp(x, s(y))$	$\sigma_2 = \{X \rightarrow X_1; N \rightarrow s(N_1); x \rightarrow X_1; y \rightarrow N_1\}$

- ▶ After normalization, we obtain the subgoals:

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$\emptyset, AC(+, *) \Gamma_2 \vdash_{\mathcal{RE}_1 \mathcal{RE}_{ind}(Q) \sigma_1} s(0) \approx s(0)$
$\emptyset, AC(+, *) \Gamma_2 \vdash_{\mathcal{RE}_1 \mathcal{RE}_{ind}(Q) \sigma_2} X_1 * Y_1 * \exp(X_1 * Y_1, N_1) \approx X_1 * \exp(X_1, N_1) * Y_1 * \exp(Y_1, N_1)$

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- ▶ **TrivialAC** gets rid of this first subgoal, and since $N_1 < s(N_1)$, the induction hypothesis can be applied to the second one.

The example with a method based on inductive schemes [Spike-ac: Bouhoula-Berregeb-96]

$$\boxed{\exp(X * Y, N) \approx \exp(X, N) * \exp(Y, N)}$$

1. computation of induction variables: X, Y, N ;
2. computation of a test set: $\{0, s(x)\}$;
3. instantiation of induction variables by elements of the test set and reduction;

$$\boxed{\exp(X * Y, N) \approx \exp(X, N) * \exp(Y, N)}$$

A test instance is therefore:

$$\boxed{\exp(s(X_1) * s(Y_1), s(N_1)) \approx \exp(s(X_1), s(N_1)) * \exp(s(Y_1), s(N_1))}$$

$$\boxed{\exp(X * Y, N) \approx \exp(X, N) * \exp(Y, N)}$$

A test instance is therefore:

$$\boxed{\exp(s(X_1) * s(Y_1), s(N_1)) \approx \exp(s(X_1), s(N_1)) * \exp(s(Y_1), s(N_1))}$$

after simplification, this leads to the subgoal:

$$\boxed{s(X_1) * s(Y_1) * \exp(s(X_1 + Y_1 + X_1 * Y_1), N_1) \approx \\ s(X_1) * \exp(s(X_1), N_1) * s(Y_1) * \exp(s(Y_1), N_1)}$$

which cannot be simplified by the induction hypothesis

The example with another method based on narrowing at *defined-innermost* positions ([Aoto-RTA-06])

$$\exp(X * Y, N) \approx \exp(X, N) * \exp(Y, N)$$

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- ▶ the narrowing step must be performed in the member of the goal which is greater:
only at position 1.1 of the goal.
we obtain:

$$\exp(X_1 * Y_1 + X_1, N_1) \approx \exp(X_1, N_1) * \exp(s(Y_1), N_1)$$

which cannot be simplified by the induction hypothesis.

An A-example

Consider the specification **List**:

- ▶ **Sorts:** nat, list.

- ▶ **Constructors:**

$$0 : \rightarrow \text{nat}; \quad s : \text{nat} \rightarrow \text{nat};$$

$$\text{Nil} : \rightarrow \text{list}; \quad :: : \text{nat} \times \text{list} \rightarrow \text{list}.$$

- ▶ **Defined functions:**

$$+ : \text{nat} \times \text{nat} \rightarrow \text{nat}; \quad <> : \text{list} \times \text{list} \rightarrow \text{list};$$

$$\text{rev} : \text{list} \rightarrow \text{list}.$$

- ▶ **Rules:**

$$0 + x \rightarrow x$$

$$\text{rev}(\text{Nil}) \rightarrow \text{Nil}$$

$$s(x) + y \rightarrow s(x + y)$$

$$\text{rev}(x :: l) \rightarrow \text{rev}(l) <> (x :: \text{Nil})$$

$$\text{Nil} <> l \rightarrow l$$

$$(x :: l) <> m \rightarrow x :: (l <> m)$$

Consider the following goal:

$$\emptyset, A(<>) \mid \Gamma_2 \vdash_{\mathcal{RE}_1 \mid \emptyset} \text{rev}(L <> M) \approx \text{rev}(M) <> \text{rev}(L)$$

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- ▶ Let us apply **InduceA** at position 1.1.

I	$CSUC_{A(<>)}(L <> M, I)$
$\text{Nil} <> I$	$\sigma_1 = \{L \rightarrow \text{Nil}; M \rightarrow M_1; I \rightarrow M_1\}$
$(x :: I) <> m$	$\sigma_2 = \{L \rightarrow X_1 :: L_1; M \rightarrow M_1;$ $x \rightarrow X_1; I \rightarrow L_1; m \rightarrow M_1\}$

$$\boxed{\emptyset, A(<>) | \Gamma_2 \vdash_{\mathcal{RE}_1|\emptyset} \text{rev}(L <> M) \approx \text{rev}(M) <> \text{rev}(L)}$$

- ▶ After normalization, we obtain the subgoals:

$$\boxed{\begin{array}{l} \emptyset, A(<>) | \Gamma_2 \vdash_{\mathcal{RE}_1|\mathcal{RE}_{ind}(Q)\sigma_1} \text{rev}(M_1) \approx \text{rev}(M_1) \\ \emptyset, A(<>) | \Gamma_2 \vdash_{\mathcal{RE}_1|\mathcal{RE}_{ind}(Q)\sigma_2} \text{rev}(L_1 <> M_1) <> (X_1 :: Nil) \approx \text{rev}(M_1) <> \text{rev}(L_1) <> (X_1 :: Nil) \end{array}}$$

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- ▶ **TrivialA** gets rid of this first subgoal, and since $(L_1, M_1) <_2 (X_1 :: L_1, M_1)$, the induction hypothesis can be applied to the second one (rule **Rewrite₂A**).

Main contributions

We have provided a progressive family of proof search systems for inductive theorem proving:

1. IndNarrow : shown sound and refutationally complete
2. OptIndNarrow : optimization of IndNarrow
3. IndNarrowModE :
 - ▶ designed for rewriting modulo a set E of equalities
 - ▶ performs narrowing with constructor unifiers
4. IndNarrowModAC , IndNarrowModA :refinement to rewriting modulo AC and A
 - ▶ no need to compute the equivalence class of the goal when performing the narrowing step.

This work takes place in the following constructive process:

- ▶ building a proof of an inductive conjecture with our system;

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- ▶ building a proof of an inductive conjecture with our system;
- ▶ associating to this proof another proof in sequent calculus modulo;
- ▶ translating the proof in sequent calculus modulo into a proof term, whose type is the initial conjecture.

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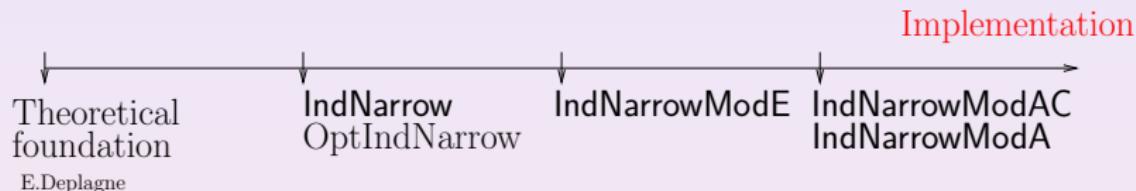
Our system is therefore designed to collaborate with other proof assistants in a safe way, in order:

1. to find the most convenient noetherian ordering and required lemmas;
2. to check the proof.

Allthough It is not yet implemented, this work can provide proof assistants a theoretical foundation based on deduction modulo.

Future work

- ▶ Constructor unification;
- ▶ Implementation



- ▶ this novel sequent based calculus permits associative-commutative and associative theories to be dealt with in an efficient manner
- ▶ the method is quite easy for a user
- ▶ it could be translated into a new tactic for Coq?
- ▶ Generalization to clauses

Justification

Let $E = AC$ (resp $E = A$)

Theorem

If $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \xrightarrow{\text{IndNarrowModE}} \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}'_2} R$, then
 $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} \bar{Q} \xrightarrow{\text{IndNarrowModAC}} \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}'_2} \bar{R}$ (resp
 $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} \bar{Q} \xrightarrow{\text{IndNarrowModA}} \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}'_2} \bar{R}$).

1.

Theorem

If $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} \bar{Q} \xrightarrow{\text{IndNarrowModAC}} \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}'_2} \bar{R}$, there exists R' such that $R' =_{AC} R$ (resp $R' =_A R$), and
 $\Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}_2} Q \xrightarrow{\text{IndNarrowModE}} \Gamma_1 | \Gamma_2 \vdash_{\mathcal{RE}_1 | \mathcal{RE}'_2} R'$

2.