Bonnes démonstrations en déduction modulo

Soutenance de thèse

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Motivation

How to be sure of a complex mathematical proof? (for instance: 4-color theorem)





How to certify complex software?

→ formalizing and automating proofs

Pure logic: well-studied (Frege, Hilbert, Gentzen, etc.)

But proofs are generally done within a theory

- first-order arithmetic
- pointer arithmetic
- etc.

How to present these theories to get better mechanized proof system?

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How to present these theories to get better mechanized proof system?

Standard way of dealing with theories: axiomatization

- ▶ For instance, Peano's axioms for first-order arithmetic
- Not adapted for proof search!

1+1=2

In Γ :

$$\forall x, \ x + \mathsf{O} = x$$

$$\forall x \ y, \ x + s(y) = s(x + y)$$

$$\forall x \ y, \ x = y \Rightarrow X(x) \Rightarrow X(y)$$

$$\frac{\overbrace{\Gamma, \underline{1} + \underline{1} = s(\underline{1} + 0) \vdash \underline{1} + \underline{1} = s(\underline{1} + 0), \underline{1} + \underline{1} = \underline{2}}}{\Rightarrow \vdash \frac{\Gamma \vdash \underline{1} + \underline{1} = s(\underline{1} + 0), \underline{1} + \underline{1} = \underline{2}}{\Gamma, \underline{1} + \underline{1} = \underline{2} \vdash \underline{1} + \underline{1} = \underline{2}}}}{\underbrace{\Gamma, \underline{1} + \underline{1} = \underline{2} \vdash \underline{1} + \underline{1} = \underline{2}}}{\Gamma, \underline{1} + \underline{1} = \underline{2} \vdash \underline{1} + \underline{1} = \underline{2}}}}$$

$$\Rightarrow \vdash \frac{\Gamma \vdash \underline{1} + 0 = \underline{1}, \underline{1} + \underline{1} = \underline{2}}{\vdash \vdash \underline{1} + 0 = \underline{1}, \underline{1} + \underline{1} = \underline{2}}}$$

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Other approaches

 Satisfiability Modulo Theory: efficient proof search methods, not generic
 DPLL(T) [Ganzinger, Hagen, Nieuwenhuis, Oliveras and Tinelli, 2004]

Other approaches

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 Coq, Isabelle, etc.

Other approaches

- Satisfiability Modulo Theory: efficient proof search methods, not generic
 DPLL(T) [Ganzinger, Hagen, Nieuwenhuis, Oliveras and Tinelli, 2004]
- Dependent and Inductive Types: universal, hard to automatize
 Coq, Isabelle, etc.
- ► Deduction Modulo and Superdeduction [Dowek, Hardin and Kirchner, 2003, Wack, 2005]

Introduction Deduction modulo

Poincaré's principle

In a proof, distinguish deduction from computation to better combine them

Deduction modulo: inference rules (deduction) are applied modulo a congruence (computation)

Universal model for computation: rewriting → congruence based on a rewrite system over terms and formulæ

Example

$$x + O \to x$$

$$x + s(y) \to s(x + y)$$

$$O = O \to \top$$

$$s(x) = s(y) \to x = y$$

$$\underline{1} + \underline{1} = \underline{2} \longrightarrow s(\underline{1} + \mathsf{O}) = \underline{2} \longrightarrow s(\underline{1}) = \underline{2} \stackrel{+}{\longrightarrow} \mathsf{O} = \mathsf{O} \longrightarrow \mathsf{T}$$

$$\vdash^{\top} \boxed{\vdash \underline{1} + \underline{1} = \underline{2}}$$

Compiling theories

$$Max(x, a) \rightarrow x \in a \land \forall y, y \in a \Rightarrow y \leq x$$

Compiling theories

$$Max(x, a) \to x \in a \land \forall y, y \in a \Rightarrow y \leq x$$

$$\vdots$$

$$\Gamma \mapsto \frac{\Gamma, y \in b \vdash y \leq t}{\Gamma \vdash y \in b \Rightarrow y \leq t}$$

$$\Gamma \vdash t \in b$$

$$\Gamma \vdash t \in b \land \forall y, y \in b \Rightarrow y \leq t$$

$$\Gamma \vdash dax(t, b)$$

$$\vdots$$

$$-Max^{\text{def}} \frac{\Gamma \vdash x \in a \qquad \Gamma, y \in a \vdash y \leq x}{\Gamma \vdash Max(x, a)}$$

Superdeduction

New rules (superrules) from a proposition rewrite system

- Natural deduction → supernatural deduction [Wack, 2005] Introduction and elimination superrules
- Sequent calculus → extensible sequent calculus [Brauner, Houtmann and Kirchner, 2007] Left and right supperrules

Term rewrite rules are still applied modulo

Goal

How do deduction modulo and superdeduction help produce better proofs from the mechanised-theorem-proving viewpoint?

- more direct
- shorter
- 3 universal

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universal

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How do deduction modulo and superdeduction help produce better proofs from the mechanised-theorem-proving viewpoint?

1 more direct: Cut admissibility

2 shorter: Proof length

3 universal: Logical framework

Outline

- 1 Cut admissibility
 - Example
 - Undecidability
 - A completion procedure
 - Implementation
- 2 Proof length
- 3 Logical framework

The cut rule

$$\label{eq:continuous_problem} \vdash \frac{\Gamma, P \vdash \Delta \qquad \Gamma \vdash P, \Delta}{\Gamma \vdash \Delta}$$

Proof search procedures complete iff cut admissible Without modulo, cut admissible (Gentzen's *Hauptsatz*)

$$A \rightarrow A \Rightarrow B$$

$$A \rightarrow A \Rightarrow B$$

$$\uparrow \vdash \frac{A \Rightarrow B, A \vdash}{\vdash} \qquad \qquad \vdash \uparrow \frac{\vdash A, A \Rightarrow B}{\vdash}$$

$$A \rightarrow A \Rightarrow B$$

$$\Rightarrow \vdash \frac{A, B \vdash \overbrace{A \vdash A} \qquad \qquad \stackrel{\widehat{\vdash}}{\Rightarrow} \overline{A \vdash A, B}}{\uparrow \vdash \underbrace{A \Rightarrow B, A \vdash}{} \vdash \underbrace{A \vdash } \qquad \qquad \stackrel{\vdash}{\vdash} \overline{A \vdash A, B}}$$

$$A \rightarrow A \Rightarrow B$$

$$\Rightarrow \vdash \frac{\overline{A, B \vdash B} \quad \widehat{\vdash} \quad \overline{A \vdash A, B}}{\uparrow \vdash \frac{A \Rightarrow B, A \vdash B}{\downarrow} \quad \xrightarrow{\vdash} \frac{A \vdash A, B}{\vdash \uparrow \quad \vdash A, A \Rightarrow B, B}}{\vdash B}$$

Undecidability of cut admissibility

Theorem 1 ([LFCS07]).

The problem:

Given a rewrite system \mathcal{R} , does the sequent calculus modulo \mathcal{R} admits cut?

is undecidable

Sketch of proof: P valid iff the sequent calculus modulo $A \to A \Rightarrow P$ admits cut

Completion

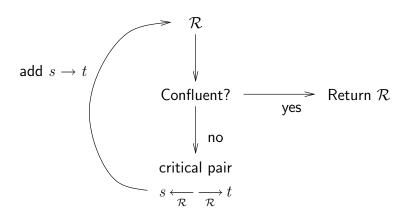
Recover confluence using standard completion [Knuth and Bendix, 1970]

Complete $A \to A \Rightarrow B$ with $B \to \top$: cut admissibility recovered

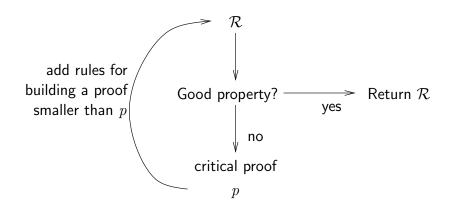
If only terms are rewritten: cut admissibility = confluence [Dowek, 2003]

If propositions are rewritten: need for a generalization of standard completion

Basic mechanism of completion (w/o simplification)



Basic mechanism of completion (w/o simplification)



Abstract canonical systems

[Dershowitz and Kirchner, 2006, Bonacina and Dershowitz, 2007]

Order on proofs

Instances: ground completion, standard completion, Moore families, Horn theories, . . .

Deduction modulo as an ACS

Polarized unfolding sequent calculus:

$$\uparrow \vdash \frac{\Gamma, A, P \vdash \Delta}{\Gamma, A \vdash \Delta} A \to^{-} P \qquad \qquad \vdash \uparrow \frac{\Gamma \vdash P, A, \Delta}{\Gamma \vdash A, \Delta} A \to^{+} P$$

Equivalent to the sequent calculus modulo, especially w.r.t. cuts

Order on proofs: RPO with precedence
$$\buildrel > \buildrel > r$$
 and $\buildrel (A \Rightarrow B) > \buildrel (A)$

Well adapted to the cut elimination procedure

If the completion terminates, the limit admits cut

Critical proofs

$$\uparrow \vdash \frac{\Gamma, A, P \vdash \Delta}{\vdash \underbrace{\Gamma, A \vdash \Delta}_{\Gamma \vdash \Delta} A \rightharpoonup P} \uparrow \vdash \frac{\Gamma \vdash Q, A, \Delta}{\Gamma \vdash A, \Delta} A \longrightarrow Q$$

where

- $\blacktriangleright \pi$ and π' without cut
- \blacktriangleright π and π' without useless application of rules
- \blacktriangleright π and π' apply \subseteq an atomic formulæ only
- \blacktriangleright Γ contains only atomic or universally quantified formulæ $\neq A$
- ightharpoonup Dual for Δ
- ▶ All formulæ in Γ , Δ are used somewhere

Completing formulæ

Find a proof smaller than a critical proof of $\Gamma \vdash \Delta$ \leadsto Find a rewrite system \mathcal{R} s.t. $\Gamma \vdash_{\mathcal{R}} \Delta$ w/o cut

An algorithm ${\it Rew}$ from sequents to rewrite systems

Theorem 2.

$$\Theta \vdash P \; \textit{iff} \vdash_{Rew(\{\vdash H : H \in \Theta\})} P$$

Transforms axiomatic presentations of a theory into rewrite systems

$$\uparrow \vdash \frac{\Gamma, A, P \vdash \Delta}{\vdash \underbrace{\Gamma, A \vdash \Delta}_{\Gamma \vdash \Delta} A \vdash \Delta} A \longrightarrow P \quad \uparrow \vdash \frac{\Gamma \vdash Q, A, \Delta}{\Gamma \vdash A, \Delta} A \longrightarrow Q$$

$$\Gamma, A, P \overset{\pi}{\vdash} \Delta$$

$$\begin{matrix} \pi' \\ \Gamma \vdash Q, A, \Delta \end{matrix}$$

$$\begin{matrix} \pi & \pi' \\ A, P \vdash & \vdash Q, A \end{matrix}$$

Search for a cut-free proof, complete branch respecting conditions of critical proofs to find Γ, Δ

$$\begin{matrix} \pi & \pi' \\ A, P \vdash & \vdash Q, A \end{matrix}$$

Search for a cut-free proof, complete branch respecting conditions of critical proofs to find Γ,Δ

Implementation of the tableaux method TaMed [Bonichon and Hermant, 2006]

Contributions [LFCS07]

- Undecidability of cut admissibility in deduction modulo
- Completion procedure to recover it
- Algorithm to transform axiomatic presentations into rewrite systems used modulo
- Implementation in TOM/OCaml

Outline

- Cut admissibility
- 2 Proof length
 - Motivation
 - First results
 - Application to higher-order arithmetic
- 3 Logical framework

Proof length Motivation

Speed-ups in higher-order arithmetic

Second-order arithmetic proves more than first-order arithmetic, but also more quickly:

Theorem 3 ([Gödel, 1936, Buss, 1994]).

There exists a family $(P_j)_{j\in\mathbb{N}}$ such that

- ▶ for all j, $A_1 \vdash P_j$
- ▶ there exists k such that for all j, $A_2 \vdash_{\overline{k}} P_j$
- ▶ there exists no k such that for all j, $A_1 \vdash_{\overline{k}} P_j$

True for all orders i over i-1

Higher-order logic in deduction modulo

[Dowek, Hardin and Kirchner, 2001] HOL $\lambda\sigma$ encodes the higher-order logic based on simple type theory

Same proof length in HOL- λ and in the sequent calculus modulo HOL $\lambda\sigma$

Possibility to encode higher-order arithmetic without increasing proof length?

No restrictions on the rewrite system?

 $\mathcal{R} \colon$ rewrite system such that $P \xleftarrow{*}_{\mathcal{R}} \top$ for all first-order tautology P

All proofs can be abridged to \vdash^{\top} \vdash P

Are those really proofs?

Proof checking is not decidable

Proof length

A formal framework

If interested with links with complexity theory, proof checking must be performed in polynomial time [Cook and Reckhow, 1979]

In deduction modulo: the congruence should be decidable in polynomial time

Simple example

$$\mathcal{A}dd \ \stackrel{\text{\tiny def}}{=} \ \begin{cases} Add(\mathsf{O},y,y) \to \top \\ Add(s(x),y,s(z)) \to Add(x,y,z) \end{cases}$$

Proposition 4.

- $ightharpoonup \vdash_{1 \mathcal{A}dd} Add(\underline{i},\underline{i},\underline{2i})$
- $ightharpoonup \Theta \vdash_{\overline{O(i)}} Add(\underline{i},\underline{i},\underline{2i})$ for all finite compatible presentations Θ

 $\stackrel{*}{\underset{Add}{\longleftrightarrow}}$ is decidable in polynomial time

Encoding higher order in deduction modulo

Higher-order arithmetic

Higher order: \mathcal{HO}_i

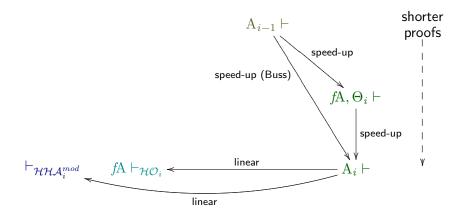
Remaining axioms: fA

comprehension schema + rules encoding formulæ by terms [Kirchner, 2006]

Theorem 5.

$$A_i \vdash_{\overline{k}} P \quad \leadsto \quad fA \vdash_{\overline{O(k)}} \mathcal{HO}_i P$$

"0th order" 1st order i-1st order ith order



Contributions [CSL07]

- ► Simple speed-ups in deduction modulo
- Even when counting rewrite steps (using deep inference [Bruscoli and Guglielmi, 2008])
- ► Length-preserving simulation of higher-order arithmetic in first order modulo
- Purely computational presentation of higher-order arithmetic

Outline

- Cut admissibility
- 2 Proof length
- 3 Logical framework
 - Motivations
 - Application to the functional pure type systems

Encoding higher-order systems in first order modulo?

- well studied
- existing efficient proof search procedures
- near to implementation
- universal (tool cooperation)

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Logical framework

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[Pfenning, 1996]:
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"a meta-language for the specification of deductive systems"

most famous: ELF (based on $\lambda\Pi$)

 $\mathsf{HOL}\lambda\sigma = \mathsf{specification} \ \mathsf{of} \ \mathsf{HOL}-\lambda$

Deduction modulo as a logical framework?

Logical framework

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most famous: ELF (based on $\lambda\Pi$)

 $HOL\lambda\sigma=$ specification of $HOL-\lambda$ Superdeduction as a logical framework? A methodology to specify a deductive system

→ Application to functional pure type systems

Pure type systems [Geuvers and Nederhof, 1991]

$$T ::= x \mid \lambda x : T, T \mid T \mid T \mid \Pi x : T, T$$

A pure type system is given by

- ightharpoonup sorts S
- lacktriangle axioms $oldsymbol{A} \subseteq oldsymbol{S} imes oldsymbol{S}$
- lacktriangledown rules $oldsymbol{R} \subseteq oldsymbol{S} imes oldsymbol{S} imes oldsymbol{S}$

Functional if A and R are graphs defining functions

Typing system

Declaration
$$\dfrac{\Gamma \text{ well-formed}}{\Gamma, x: A \text{ well-formed}} s \in \mathbf{S} \text{ and } x \text{ not in } \Gamma$$

Sort
$$\frac{\Gamma \text{ well-formed}}{\Gamma \vdash s_1 : s_2} (s_1, s_2) \in A$$

Variable
$$\frac{\Gamma \text{ well-tormed}}{\Gamma \vdash x : A} x : A \in \Gamma$$

Typing system (cont.)

$$\mathsf{Product}\,\frac{\Gamma \vdash A:s_1 \qquad \Gamma, x:A \vdash B:s_2}{\Gamma \vdash \Pi x:A,\ B:s_3}\,(s_1,s_2,s_3) \in \mathbf{R}$$

Application
$$\frac{\Gamma \vdash T: \Pi x: A, \ B \qquad \Gamma \vdash U: A}{\Gamma \vdash (T\ U): \{U/x\}B}$$

Encoding the λ -terms

Binary predicate $\epsilon(t,u)$ to encode T:U (shallow encoding)

- λ -calculus with explicit substitutions [Kesner, 2000]
- + constants \dot{s} for all sorts $s \in \mathbf{S}$
- + binary function $\dot{\pi}_{\langle s_1, s_2, s_3 \rangle}$ for all rules $(s_1, s_2, s_3) \in \boldsymbol{R}$

additional term rewrite rules:

$$\dot{s}\left[t\right] \to \dot{s}$$

$$\dot{\pi}_{\langle s_{1}, s_{2}, s_{3}\rangle}\left(a, b\right)\left[s\right] \to \dot{\pi}_{\langle s_{1}, s_{2}, s_{3}\rangle}\left(a\left[s\right], b\left[\mathit{lift}(s)\right]\right)$$

Encoding the inference rules through superrules

Find a rewrite rule of which one superrule correspond to the inference rule

Product
$$\frac{\Gamma \vdash A : s_1 \qquad \Gamma, x : A \vdash B : s_2}{\Gamma \vdash \Pi x : A, B : s_3} (s_1, s_2, s_3) \in \mathbf{R}$$

$$\epsilon\left(\dot{\pi}_{\langle s_{1},s_{2},s_{3}\rangle}\left(a,b\right),\dot{s}_{3}\right)\rightarrow\epsilon\left(a,\dot{s}_{1}\right)\land\forall z.\ \epsilon\left(z,a\right)\Rightarrow\epsilon\left(b\left[cons(z)\right],\dot{s}_{2}\right)$$
(2)

$$\stackrel{(2)}{=} \frac{\Gamma \vdash \epsilon(a, \dot{s}_{1}) \qquad \Gamma, \epsilon(z, a) \vdash \epsilon(b [cons(z)], \dot{s}_{2})}{\Gamma \vdash \epsilon(\dot{\pi}_{\langle s_{1}, s_{2}, s_{3}\rangle}(a, b), \dot{s}_{3})} z \not\in FV(\Gamma, a, b)$$

Correctness

 $\mathcal{PTS}_{(S,A,R)}$: explicit substitutions +

$$\epsilon \left(\dot{s}_{1}, \dot{s}_{2}\right) \to \top \qquad (s_{1}, s_{2}) \in \mathbf{A} \qquad (1)$$

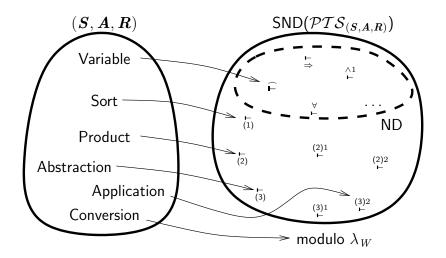
$$\epsilon \left(\dot{\pi}_{\langle s_{1}, s_{2}, s_{3} \rangle} \left(a, b\right), \dot{s}_{3}\right) \to \epsilon \left(a, \dot{s}_{1}\right) \land \forall z. \ \epsilon \left(z, a\right) \Rightarrow \epsilon \left(b \left[cons(z)\right], \dot{s}_{2}\right)$$

$$\epsilon \left(t, \dot{\pi}_{\langle s_{1}, s_{2}, s_{3} \rangle} \left(a, b\right)\right) \to \begin{cases}
\epsilon \left(\dot{\pi}_{\langle s_{1}, s_{2}, s_{3} \rangle} \left(a, b\right), \dot{s}_{3}\right) \land \\
\forall z. \ \epsilon \left(z, a\right) \Rightarrow \epsilon \left(t \ z, b \left[cons(z)\right]\right)$$
(3)

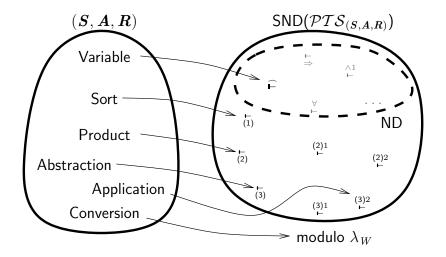
Theorem 6.

If $\Gamma \vdash^{(S,A,R)} T : A$ then $|\Gamma| \vdash^{+\mathcal{PTS}_{(S,A,R)}} \epsilon \left(|T|^{\Gamma}, |A|^{\Gamma} \right)$

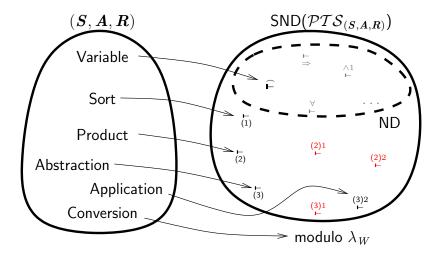
Extra rules



Extra rules



Extra rules



Conservativeness

Extra rules:

$$\frac{\Gamma \vdash \epsilon \left(\dot{\pi}_{\langle s_1, s_2, s_3 \rangle} \left(a, b\right), \dot{s}_3\right)}{\Gamma \vdash \epsilon \left(a, \dot{s}_1\right)}$$

By correctness of the translation, if $|\Pi x:A,\ B|=\dot{\pi}_{\langle s_1,s_2,s_3\rangle}\left(a,b\right)$ then $A:s_1$

Theorem 7.

If Γ well formed and $|\Gamma| \vdash^{+\mathcal{PTS}_{(S,A,R)}} \epsilon(a,b)$ there exists A and B such that $a \xrightarrow[\mathcal{PTS}_{(S,A,R)}]{*} |A| \qquad b \xrightarrow[\mathcal{PTS}_{(S,A,R)}]{*} |B| \qquad \Gamma \vdash^{(S,A,R)} A:B$

Contributions [LICS08]

- Methodology to encode deductive systems in superdeduction
- Correct and conservative encoding of functional pure type systems
- ▶ Proof search in PTS via the extensible sequent calculus
- New insight on normalization in PTS

Outline

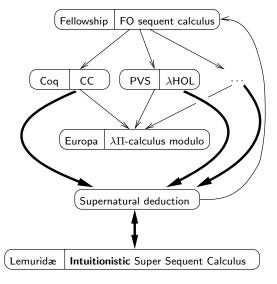
- Introduction
- Cut admissibility
- 2 Proof length
- 3 Logical framework
- 4 Conclusion
 - Further work

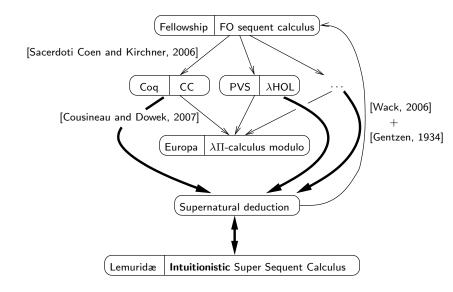
Other simplicity criteria

- Normalization
 - new instance of abstract canonical system?
 - ▶ simplification rules? $A \to A \Rightarrow B \; ; \; B \to \top \quad \leadsto \quad A \to \top \; ; \; B \to \top$
- Decidability of the congruence
 - ▶ decidable proof checking
 - decidability in polynomial time
- ▶ Proof length
 - ► A formal framework for proof complexity
 - ► Link deduction modulo Tseytin's extensions

Automating the logical framework

- ▶ From axiomatic presentations to rewrite systems:
 - automate
 - ensure the good properties
 - not always possible in intuitionistic logic
- Automated theorem proving
 - term rewrite rule strategies for the modulo
 - superrules application strategies
 - automated or user specified?
- ▶ A universal proof environment
 - share proof developments from different tools
 - modular deduction modulo
 - ▶ inductive types, subtyping, ...





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