



Hadronic showers in a highly granular silicon-tungsten calorimeter and production of bottom and top quarks at the ILC

Sviatoslav Bilokin

► To cite this version:

Sviatoslav Bilokin. Hadronic showers in a highly granular silicon-tungsten calorimeter and production of bottom and top quarks at the ILC. High Energy Physics - Experiment [hep-ex]. Paris Saclay, 2017. English. NNT : . tel-01826535

HAL Id: tel-01826535

<https://theses.hal.science/tel-01826535>

Submitted on 29 Jun 2018

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

THESE DE DOCTORAT DE L'UNIVERSITÉ PARIS-SACLAY
PRÉPARÉE À L'UNIVERSITÉ PARIS-SUD

ÉCOLE DOCTORALE N576

Particules Hadrons Énergie et Noyau : Instrumentation, Image, Cosmos et
Simulation (PHENICS)

Spécialité de doctorat : Physique des particules

Par

Sviatoslav Bilokin

**Hadronic showers in a highly granular
silicon-tungsten calorimeter and production
of bottom and top quarks at the ILC**

Cascades hadroniques dans un calorimètre électromagnétique
silicium-tungstène hautement granulaire et production des quarks top et
bottom à l'ILC

Thèse présentée et soutenue à Orsay, le 18 juillet 2017

Composition de jury :

Prof.	Achille Stocchi	Président du jury
Prof.	Keisuke Fujii	Rapporteur
Dr.	Christian Schwab	Rapporteur
Prof.	Marc Winter	Examineur
Dr.	Gregory Moreau	Examineur
Dr.	Roman Pöschl	Directeur de thèse
Dr.	François Richard	Membre invité

Abstract

This thesis presents studies for the International Linear Collider (ILC), a linear electron-positron collider with a nominal center-of-mass energy from 250 GeV to 500 GeV.

Data are analysed that were recorded with the physics prototype of the CALICE silicon-tungsten electromagnetic calorimeter (Si-W ECAL) prototype at FermiLab in 2008. During this thesis, a track-finding algorithm was developed, which finds secondary tracks in hadronic events recorded by the Si-W ECAL physics prototype. This algorithm reveals details of hadronic interactions in the detector volume and the results are compared with simulations based on the the GEANT4 toolkit.

Indirect searches of New Physics require a high precision on the measurements of the Standard Model parameters. Many Beyond Standard Model theories, like extradimensional or composite models, imply modifications of electroweak couplings of the heavy quarks, top and bottom. The second part of the thesis is a full simulation study of vertexing algorithms in the ILD environment and the reconstruction of the b-quark charge. The b-quark charge reconstruction is essential for many physics channels at the ILC, particularly, for the $e^+e^- \rightarrow b\bar{b}$ and the $e^+e^- \rightarrow t\bar{t}$ channels. The developed algorithm improves the b-quark charge reconstruction performance.

The b-quark charge reconstruction methods are applied to the analysis of the $t\bar{t}$ production process. This allows to increase statistics for the top quark electroweak form factor estimation w.r.t an earlier study and thus to decrease corresponding statistical uncertainties.

The results of the detector study allow for an estimation of the ILC precision on the b-quark electroweak couplings and form factors. The ILC will be able to resolve the LEP anomaly in the $b\bar{b}$ production process. The ILC precision on the right-handed $Z^0 b\bar{b}$ coupling, a prime candidate for effects of new physics, is calculated to be at least 5 times better than the LEP experiments.

Résumé

Cette thèse présente études pour l'International Linear Collider (ILC), un collisionneur électron-positron linéaire avec une énergie nominale dans le centre de masse de 250 GeV à 500 GeV.

Les données analysées ont été enregistrées avec le prototype physique CALICE d'un calorimètre électromagnétique silice-tungstène (Si-W ECAL) à FermiLab en 2008. Au cours de cette thèse, un algorithme de recherche de traces a été développé, qui trouve des traces secondaires dans les événements hadroniques enregistrés par le prototype Si-W ECAL. Cet algorithme révèle des détails sur les interactions hadroniques dans le volume du détecteur et les résultats sont comparés avec des simulations basées sur le GEANT4 toolkit.

Les recherches indirectes de nouvelle physique nécessitent une haute précision sur les mesures des paramètres de Modèle Standard. Théories de la physique au-delà de Modèle Standard, comme theories de dimensions supplémentaires ou modèles composite, impliquent des modifications des couplages électrofaibles des quarks lourds, top et bottom. La deuxième partie de la thèse est une étude de simulation complète des algorithmes de vertexing dans l'environnement ILD et la reconstruction de la charge de quark b. La reconstruction de la charge du quark bottom est essentielle pour de nombreux canaux de physique à l'ILC, particulièrement, pour les réactions $e^+e^- \rightarrow b\bar{b}$ et $e^+e^- \rightarrow t\bar{t}$. L'algorithme développé améliore la performance de reconstruction de la charge du quark bottom.

Les méthodes de reconstruction de la charge du quark bottom sont appliquées à l'analyse du mécanisme de production $t\bar{t}$. Cela permet d'augmenter la statistique pour l'estimation du facteur de forme électrofaible du quark top par rapport à une étude antérieure et donc de diminuer les incertitudes statistiques correspondantes.

Les résultats de l'étude du détecteur permettent d'estimer la précision de l'ILC sur les couplages et les facteurs de forme électrofaibles du quark bottom. L'ILC sera capable de résoudre l'anomalie du LEP dans le processus de production $b\bar{b}$. La précision de l'ILC sur le couplage droite $Z^0 b\bar{b}$, un candidat majeur pour les effets de la nouvelle physique, est calculée et est au moins 5 fois mieux que celle des expériences de LEP.

Acknowledgements

First of all, I would like to thank my supervisors, François Richard and Roman Pöschl, for the perfect PhD experience. During the three and a half years I have gained a lot of knowledge in Particle Physics due to the patient work of François and Roman. Thanks to their support I have participated in many international workshops and conferences, which have enormously increased my communication skills inside the scientific community. It was a great pleasure for me to work with you at LAL.

I deeply appreciate the efforts of all jury members for reading carefully the manuscript, and spending their time to be present on my defense. Their practical comments helped me to improve significantly the text quality. Particularly, I would like to thank Achille Stocchi, as a director of LAL, for receiving me as a PhD student in the laboratory.

I would like to thank to ILD and CALICE simulation groups for their prompt response on our requests for generation of simulated files, that became an essential part of the analysis.

The PhD degree relies on all knowledge gained throughout many years of intensive studying, therefore, this work is partially belong to all my teachers and professors from École Polytechnique in France and DNU in Ukraine.

Je voudrais dire merci à mes collègues et à l'administration du LAL, particulièrement à Sylvie Prandt et Sylvie Teulet pour résolution rapide de problèmes administratif et pour l'organisation du tout mes missions.

There would not much progress without support of all friends, Rajiv and Mafalda, Jérémy, Andrii Nagai, Andrii Usachov, Oleg, Leonid, Rita and Denys, and many wonderful people for their viable support and their help during the final steps in the Doctorate. Thank you.

Most important, I want to thank my parents, Igor and Iryna, and my grandparents for their constant attention and their support through happy and hard moments of my life.

I believe it is worthwhile trying
to discover more about the
world, even if this only teaches
us how little we know.

Karl Popper

Contents

I	Introduction	1
1	The Standard Model of Particle Physics	3
1.1	Particle Content	3
1.2	Fundamental Interactions	4
1.2.1	Electromagnetic interaction	4
1.2.2	Electroweak interactions	5
1.2.3	Strong interaction	6
1.3	The Higgs mechanism	7
1.4	The Standard Model tests and open questions in particle physics	9
2	The International Linear Collider	13
2.1	Role in particle physics	13
2.2	Research program at the International Linear Collider	13
2.2.1	Operating scenarios of the ILC	14
2.3	Accelerator complex	16
2.4	Detector requirements and motivation	20
2.5	The International Large Detector	20
2.5.1	Particle flow reconstruction technique	21
2.5.2	Vertex Detector	23
2.5.3	Forward Tracking Disks	25
2.5.4	Time Projection Chamber	25
2.5.5	Other tracking detectors	26
2.5.6	Calorimeter System	27
2.5.7	Outer part	28
II	Hadronic interactions in the Silicon-Tungsten Electromagnetic Calorimeter	29
3	Passage of particles through matter	29
3.1	Electronic energy losses	29
3.2	Electromagnetic showers	29
3.3	Hadronic showers	31
3.4	Simulations	31
4	Si-W ECAL physics prototype	32
4.1	Introduction	32
4.2	The prototype description	32
4.3	Experimental setup at FNAL	33

4.4	Monte Carlo simulations	33
4.5	Event selection and preprocessing	34
5	The track-finding algorithm	35
5.1	Removing the interaction region	36
5.2	Clusterisation	37
5.3	Classification and merging	38
5.4	Discussion of the ε parameter	40
6	Data - Monte Carlo comparison	43
6.1	Energy fraction of the interaction region	43
6.2	Lateral radius of interaction region	45
6.3	Number of clusters	46
6.4	Number of tracks	47
6.5	Number of hits per track	50
6.6	Angular distributions	50
6.7	Energy deposition by secondary tracks	52
7	Summary and outlook	56
III	Heavy quark production at the ILC	58
8	Heavy quark phenomenology and New Physics	58
8.1	Description of the heavy quark production	58
8.2	Observables of interest	60
8.2.1	New Physics influence	60
8.3	Status of the measurements and simulation studies	62
8.3.1	Measurements at LEP and SLC	62
8.3.2	LHC and TeVatron measurements	63
8.3.3	Future linear colliders	64
9	B-quark charge reconstruction	66
9.1	Setup of the study	66
9.2	Bottom quark topology	68
9.2.1	Generated vertices	69
9.3	Standard vertex reconstruction in the ILD	71
9.3.1	B-quark charge purity: State of the art	73
9.3.2	Missing vertices	74
9.3.3	Missing prongs from the reconstructed vertices	76
9.4	Vertex charge recovery	78
9.4.1	Results of the vertex recovery	80
9.5	Using the dE/dx information	84
9.6	Summary	85

10 Top quark production at the ILC	87
10.1 Properties of the top quark	87
10.2 Setup of the study	88
10.3 Top quark reconstruction	89
10.4 Background processes	90
10.5 Results	90
10.5.1 Charge combination	92
10.5.2 Standalone b-quark charge application	93
10.5.3 B-quark charge and lepton charge combination	95
10.6 Summary and outlook	97
11 Bottom quark production at the ILC	98
11.1 Setup of the study	98
11.2 Bottom quark reconstruction and the background rejection	98
11.3 Results	101
11.3.1 Polar angle reconstruction	101
11.3.2 Vertex Charge Recovery influence	103
11.3.3 Charge purity measurement	104
11.3.4 Corrections to the polar angle	105
11.4 Reachable accuracies at the ILC	106
11.5 Comparison to the LEP results	109
11.6 Discussion and outlook	113
A ε parameter- Number of tracks in data and Monte Carlo simulation	116
B Polar angle and track length as a function of the ε parameter	116
C Résumé en français	118

Part I

Introduction

The Standard Model of particle physics provides a unified description of electromagnetic, weak and strong forces. It has been developed by a wide scientific community in the middle of 20th century and has been confirmed by numerous experiments.

The latest triumph of the Standard Model is the discovery of the Higgs boson at the Large Hadron Collider (LHC) experiments at CERN, CMS [1] and ATLAS [2], in July 2012. Another great success of the Standard Model is the discovery of the top quark by TeVatron collaborations in 1995. Top quark is the heaviest elementary particle found, which plays an important role in the Standard Model and cosmology. So far, the Higgs boson and the top quark were precisely studied in hadron collisions by the TeVatron and LHC experiments. Therefore, a precise measurement of electroweak coupling constants of the particles is left for the future experiments.

Despite its success, the Standard Model leaves many experimental and theoretical phenomena without a definite answer. Indirect evidences of New Physics call for new high energy frontier colliders.

The International Linear Collider [3] (ILC) is a high energy electron-positron collider project aimed at precision measurements and New Physics searches. The ILC is designed to operate at the center-of-mass energy $\sqrt{s} = 500$ GeV, which is ideal for studies of electroweak interactions of the top quark. Well known leptonic initial state at the ILC allows clean, model-independent analysis of Standard Model processes as well as for BSM searches.

The highly granular calorimeters of ILC detectors allow accurate particle separation required by Particle Flow reconstruction algorithms.

This thesis consists of three parts: the theoretical background and ILC description, data analysis of hadronic interactions in a highly granular calorimeter prototype and an update of the top quark production analysis in the ILC environment. The final part describes the new results on the electroweak couplings of the b-quark at the ILC.

Part I gives the necessary background for the thesis subject. A brief introduction into the theoretical framework of the Standard Model provided in Sec. 1, and the description of the ILC project is described in Sec. 2.

Part II of the thesis concentrates on the analysis of the beam test data recorded with the CALICE Silicon-Tungsten Electromagnetic Calorimeter (Si-W ECAL) physics prototype. The granularity of this device allows disentangling fine details of the hadronic interaction events. Sections 3, 4.1 provide an introduction to the topic. Section 5 describes the reconstruction of the secondary tracks from the π^- interaction. In Sec. 6 one finds the

data-simulation comparison using new variables from the developed track-finding algorithm.

Part III unites several studies of the $e^+e^- \rightarrow t\bar{t}$ and $e^+e^- \rightarrow b\bar{b}$ channels at the ILC. Chapter 8 introduces a common theoretical framework of the heavy quark production. Section 9 describes the methods of the b-quark charge measurement. In Chapters 10 and 11 one finds the application of the b-quark charge technique to the top and bottom quark production studies at the ILC, respectively. New studies of the expected precision on the bottom quark couplings at the ILC are provided in Sec. 11.5.

1 The Standard Model of Particle Physics

The Standard Model of particle physics provides a consistent and precise theoretical description of known elementary particles and their interactions. This model describes three out of four fundamental forces of nature, electromagnetic, weak and strong interactions, using a unified relativistic quantum field theory (QFT) approach with Lie group symmetries. Gravity is not included in the Standard Model because of theoretical difficulties to formulate a consistent quantum field theory of the gravitational force. The gravitational coupling constant is much weaker than ones of other fundamental interactions, therefore, the gravity can be neglected for the studies presented in this thesis.

The main theoretical principles of the Standard Model were shaped by many theorists during 1960's.

1.1 Particle Content

A simple illustration of the particle content of the Standard Model is given in Fig. 1.1. All fundamental particles of the Standard Model are divided into two classes distinguished by their spin quantum number:

- Fermions are the constituents of matter with half-integer spin. Fermions with positive spin projection quantum number or helicity are called right-handed, while ones with negative helicity are called left-handed particles.
- Bosons mediate interactions between fermions and have integer spin. Vector bosons have spin number ± 1 , while scalar bosons have zero spin.

All fermions are organized into three generations of doublets of left-handed particles with weak isospin quantum number value of $I_3^L = \pm 1/2$ and singlets of right-handed particles with zero isospin. The matter sector of the Standard Model is subdivided into two families of particles - quarks and leptons.

All fermions are subject to electroweak interactions. Beyond, quarks carry a color charge and are, therefore, also subject to strong interactions. The first generation of quarks, u and d , are the fermionic constituents of protons and neutrons.

The bosonic sector of the Standard Model consist of photon γ , Z^0 and W^\pm bosons, gluons g , and scalar Higgs boson H .

Three Generations of Matter (Fermions)					
	I	II	III		
mass→	3 MeV	1.24 GeV	172.5 GeV	0	125.7 GeV
charge→	$\frac{2}{3}$	$\frac{2}{3}$	$\frac{2}{3}$	0	0
spin→	$\frac{1}{2}$	$\frac{1}{2}$	$\frac{1}{2}$	1	0
name→	u up	c charm	t top	γ photon	H Higgs
Quarks	6 MeV $-\frac{1}{3}$ $\frac{1}{2}$ d down	95 MeV $-\frac{1}{3}$ $\frac{1}{2}$ s strange	4.2 GeV $-\frac{1}{3}$ $\frac{1}{2}$ b bottom	0 0 1 g gluon	
	<2 eV 0 $\frac{1}{2}$ ν_e electron neutrino	<0.19 MeV 0 $\frac{1}{2}$ ν_μ muon neutrino	<18.2 MeV 0 $\frac{1}{2}$ ν_τ tau neutrino	90.2 GeV 0 1 Z ⁰ weak force	
	0.511 MeV -1 $\frac{1}{2}$ e electron	106 MeV -1 $\frac{1}{2}$ μ muon	1.78 GeV -1 $\frac{1}{2}$ τ tau	80.4 GeV ± 1 1 W [±] weak force	
Leptons					Bosons (Forces)

Figure 1.1: The fundamental components of the Standard Model of particle physics.

1.2 Fundamental Interactions

1.2.1 Electromagnetic interaction

Quantum Electrodynamics (QED) is a quantum field theory, that describes an interaction between a fermionic field ψ with a mass m and a vector field A_μ . The fermionic field has local $U(1)$ group invariance:

$$\psi(x) \rightarrow e^{i\xi(x)}\psi(x). \quad (1.1)$$

In order to preserve the local $U(1)$ symmetry, the pseudovector field is required to be invariant under gauge transformation

$$A_\mu \rightarrow A_\mu(x) + \partial_\mu \xi(x). \quad (1.2)$$

The simplest QED Lagrangian density for a massless vector field is given by:

$$\mathcal{L}_{QED} = i\bar{\psi}\gamma^\mu D_\mu\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}, \quad (1.3)$$

where the strength tensor of the vector field is $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$ and the covariant derivative is $D_\mu = \partial_\mu - ieQA_\mu$, e is a coupling constant and Q is the electric charge of the fermion.

Introduction of the covariant derivative enables fermion-photon interaction via the $-ieA_\mu\psi\gamma^\mu\bar{\psi}$ term and, moreover, it ensures the $U(1)$ symmetry in the Lagrangian density. According to the Nöther theorems, each symmetry of a Lagrangian correspond to a conserved current or charge, therefore, the $U(1)$ group symmetry of (1.3) implies the conservation of the electric charge.

Scattering processes in quantum field theory can be calculated from the S -matrix, the time-evolution operator, which depends on the Lagrangian density of the theory.

The fine structure constant characterizes a strength of electromagnetic interaction and it is defined in QED as $\alpha = \frac{e^2}{4\pi\epsilon_0}$. The measured value of the fine structure constant is approximately $1/137$, much smaller than 1, which allows to apply perturbation theory for S -matrix calculation. Each order of the perturbation series can be represented by a Feynman diagram, examples are given in Fig. 1.2. The resummation of the calculated perturbation terms is called renormalization, and it leads to the dependence of coupling constant on momentum transfer and to the corrections of particle masses. For QED the fine structure constant increases with energy and for Z^0 pole it has value of $\alpha(m_{Z^0}^2) \approx 1/128$.

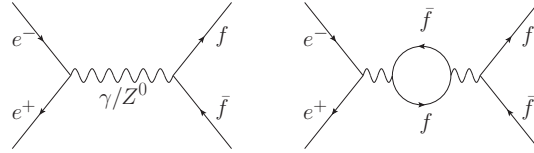


Figure 1.2: Example of Feynman diagrams for $e^+e^- \rightarrow f\bar{f}$ process for Leading Order (left) and Next to Leading Order (right).

QED is an accurate theory of electromagnetic interactions, that has been tested to a high precision. Towards the higher energies, other phenomena set in, which leads to the introduction of new forces and particles.

1.2.2 Electroweak interactions

The first theory of weak interactions was developed by Fermi to describe the β decays of unstable nuclei. The Fermi theory is based on an interaction of fermionic currents without any gauge boson mediators. The Fermi coupling constant G_F has a dimension of GeV^{-2} . This gives a strong evidence that this theory is not fundamental. The discovered weak bosons Z^0 and W^\pm have masses far above typical energy transfer in radioactive decays of a nucleus, therefore, the Fermi theory is a low energy limit of modern Electroweak theory.

The experiments with β -decays of unstable nuclei in 1950's established maximal parity violation of weak charged currents, that involve only left-handed electrons and right-handed positrons.

The unified theory of electroweak interaction, which was introduced by Glashow [4], Weinberg [5] and Salam [6] in 1960's, predicted the existence of weak neutral currents and the corresponding Z^0 boson, which can couple to right-handed particles. The first indications of weak neutral currents

were observed at Gargamelle bubble chamber [7] at CERN, and then, the discovery was confirmed by SPS experiments [8] also at CERN in 1983.

The weak interaction of the Standard Model is based on non-abelian $SU(2)_L$ symmetry group. The number of generators of a group is equal to number of gauge bosons in theory. However, taking into account the boundary condition of group unitarity, there are 3 bosons of weak force.

The Electroweak theory operates massless $SU(2)_L$ gauge fields W_μ^a and $U(1)$ vector field B_μ . The vector fields W_μ^a are initially coupled only to the left-handed fermion doublets.

The strength of the $SU(2)_L$ gauge field W_m^a is defined as

$$F_{mn}^a = \partial_m W_n^a - \partial_n W_m^a + g\epsilon_{abc}W_m^b W_n^c, \quad (1.4)$$

where g is a coupling constant and structure constant ϵ_{abc} is the Levi-Civita tensor. The last term in (1.4) introduces gauge boson self-interactions, contrary to abelian QED model.

One introduces weak mixing angle $\sin\theta_W$ relating the massless eigenstates of the weak fields and the physical mass eigenstates of the fields as:

$$\begin{aligned} W_\mu^\pm &= \frac{1}{\sqrt{2}}(W_\mu^1 \mp iW_\mu^2) \\ Z_\mu &= W_\mu^3 \cos\theta_W - B_\mu \sin\theta_W \\ A_\mu &= W_\mu^3 \sin\theta_W + B_\mu \cos\theta_W, \end{aligned} \quad (1.5)$$

where W_μ^\pm and Z_μ are the physical states of the weak bosons, A_μ is the physical photon field. The electric coupling constant from QED is defined as

$$e = g \sin\theta_w = g' \cos\theta_w, \quad (1.6)$$

where g and g' are the coupling constants of weak eigenstate fields.

The Lagrangian density of mass eigenstates contains weak currents, that have a vector-axial vector (V-A) structure:

$$\mathcal{L}_{EW,int} = \frac{g}{2\sqrt{2}}\bar{\Psi}\gamma^\mu(1 - \gamma^5)W_\mu^\pm\Psi' + \frac{g}{2\cos\theta_w}\bar{\Psi}\gamma^\mu(g_V - g_A\gamma^5)Z_\mu\Psi, \quad (1.7)$$

where g_V and g_A are the vector and axial vector coupling constants of Z^0 boson to a fermionic field Ψ . As can be seen from (1.7), due to the mixing, the Z^0 boson is coupled to both, left-handed and right-handed, fermions, while the W^\pm bosons are coupled only to the left-handed fermions.

The Z^0 and W^\pm bosons acquire their masses via spontaneous symmetry breaking and the Higgs mechanism, described in Section 1.3.

1.2.3 Strong interaction

The strong force is described by Quantum Chromodynamics (QCD), which is a relativistic quantum field theory based on $SU(3)$ symmetry. The strong

force is mediated by eight massless vector gauge bosons called gluons. General QCD Lagrangian density of quark fermion q and gluon vector field G_μ^a is

$$\mathcal{L}_{QCD} = \bar{q}(i\gamma^\mu D_\mu - M)q - \frac{1}{4}G_{\mu\nu}^a G_a^{\mu\nu}, \quad (1.8)$$

where the covariant derivative $D_\mu = \partial_\mu - ig t_a G_\mu^a$, g_s is the strong coupling constant and t_a are the Gell-Mann matrices being the generators of the $SU(3)$ group. The gluon field strength is

$$G_{\mu\nu}^a = \partial_\mu G_\nu^a - \partial_\nu G_\mu^a - g_s f_{abc} G_\mu^b G_\nu^c, \quad (1.9)$$

where f_{abc} is a structure constant tensor for $SU(3)$ group. Similarly to the weak interaction, QCD theory is based on a non-Abelian symmetry group and it also contains the vector boson self-interaction terms in the Lagrangian density.

The non-Abelian quantum field with massless gauge bosons demonstrate different the asymptotic behavior of coupling constant: the strong fine-structure constant $\alpha_{s0} = g_s^2/(4\pi)$ depends on momentum transfer squared Q^2 as

$$\alpha_s(Q^2) \propto \ln^{-1}(Q^2), \quad (1.10)$$

which means, that the strength of QCD interaction is decreasing for high energy processes. This effect of QCD is called asymptotic freedom. On the other hand, when momentum transfer is small, the α_s becomes large. Theoretical prediction of $\alpha_s(Q)$ and the results of α_s measurements are shown in Fig. 1.3. This behavior of $\alpha_s(Q)$ leads to the effect of color confinement, when quarks and gluons form colorless objects called hadrons. For low energy processes, the perturbation theory cannot be applied because of the strong QCD coupling. Therefore, the low-energy QCD processes and the color confinement effects are analyzed using Lattice QCD methods.

1.3 The Higgs mechanism

Classical mass terms for quantum fields without symmetry breaking mechanism cause the following problems:

- Term $m_A A_\mu A^\mu$ for a vector field A_μ introduce an ultraviolet divergence in the massive vector field propagator;
- Terms like $m_\psi \psi_L \psi_R$ are not gauge-invariant, since right-handed and left-handed fermions have different set of quantum numbers in the Standard Model.

The mechanism of spontaneous symmetry breaking or the Higgs mechanism is an essential part of fundamental physics. It allows to include the weak vector boson masses in a renormalizable way and to preserve the gauge

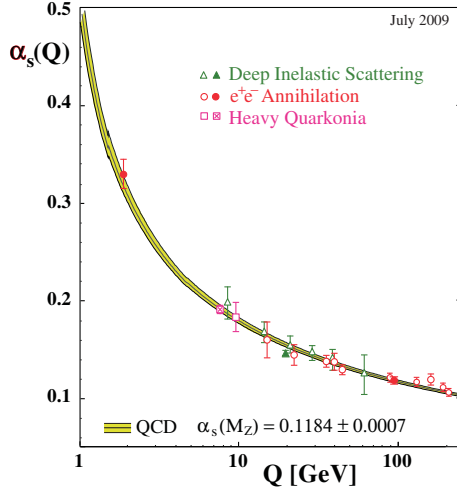


Figure 1.3: *Summary of measurements of α_s as a function of the energy scale Q . [9]*

invariance of fermion mass terms in the Lagrangian density of the Standard Model.

The spontaneous symmetry breaking mechanism starts from a complex scalar field doublet ϕ , which has initially four degrees of freedom. The Lagrangian density, related to the scalar field, which is coupled to $SU(2)$ gauge field W_μ and $U(1)$ vector field B_μ , has the following terms:

$$\mathcal{L}_H = \frac{1}{2}(D_\mu\phi)^2 - V(\phi), \quad (1.11)$$

where covariant derivative $D_\mu = \partial_\mu - ig\tau_a W_\mu^a - ig'/2B_\mu$ and the matrices τ_a are the $SU(2)$ group generators. This Lagrangian density has a $SU(2)U(1)$ symmetry. The form of the scalar field potential $V(\phi)$ is in general case

$$V(\phi) = -\frac{1}{2}\mu^2|\phi|^2 + \frac{1}{4}\lambda|\phi|^4. \quad (1.12)$$

If the parameter $\mu^2 > 0$, the scalar field ϕ will acquire a nonzero vacuum expectation value

$$\phi_0 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \text{ and } v = \sqrt{\frac{\mu^2}{\lambda}}, \quad (1.13)$$

which breaks local $SU(2)$ symmetry of the Lagrangian density.

According to the Goldstone theorem, number of broken group generators correspond to the number of massless scalar particles called Goldstone bosons. In the Standard Model case, the broken $SU(2)$ symmetry produces three Goldstone bosons and one Higgs boson H , which has a leftover degree

of freedom from the initial scalar doublet ϕ . The three Goldstone bosons are absorbed as longitudinal degrees of freedom by vector fields W_μ^a , thus giving mass to the weak bosons.

The relevant terms after symmetry breaking from (1.11) are

$$\Delta\mathcal{L}_H = \frac{1}{2} \frac{v^2}{4} [g^2(W_\mu^1)^2 + g^2(W_\mu^2)^2 + (-gW_\mu^3 + g'B_\mu)^2]. \quad (1.14)$$

The electroweak fields acquire physical states (1.5) with masses

$$\begin{aligned} m_W^\pm &= g \frac{v}{2}, \\ m_Z^0 &= \sqrt{g^2 + g'^2} \frac{v}{2}, \\ m_A &= 0, \end{aligned} \quad (1.15)$$

and the Higgs boson mass is

$$m_H = \sqrt{2\lambda}v. \quad (1.16)$$

With postulated quantum numbers of the Higgs field, one writes the gauge-invariant mass terms for a fermion ψ as

$$\Delta\mathcal{L}_\psi = -\lambda_\psi \bar{\Psi}_L \phi \psi_R, \quad (1.17)$$

where dimensionless parameter λ_ψ is a Higgs coupling to the fermion field, $\bar{\Psi}_L$ is the left-handed $SU(2)$ doublet, and ψ_R is the right-handed $SU(2)$ singlet. Thus, this expression has zero sum of the hypercharge Y , and it can be extended to all lepton particles of the Standard Model. The mass terms for the quark sector are more complicated, because of involvement of quark mixing, but the expression for fermion mass is universal

$$m_\psi = \frac{1}{\sqrt{2}} \lambda_\psi v. \quad (1.18)$$

The discovery of the Higgs scalar by LHC collaborations (see Fig. 1.4) added the last missing piece to the Standard Model, and experimentally proved the principles of the mechanism of spontaneous symmetry breaking.

The expressions 1.15 and 1.18 suggest a simple linear relation between Higgs boson couplings and masses of corresponding particles. The results of the LHC experiments confirm this prediction within experimental uncertainties, as shown in Fig 1.5.

1.4 The Standard Model tests and open questions in particle physics

The Standard Model results from the synergy between theoretical ideas and experimental results. After finalization of the Standard Model framework,

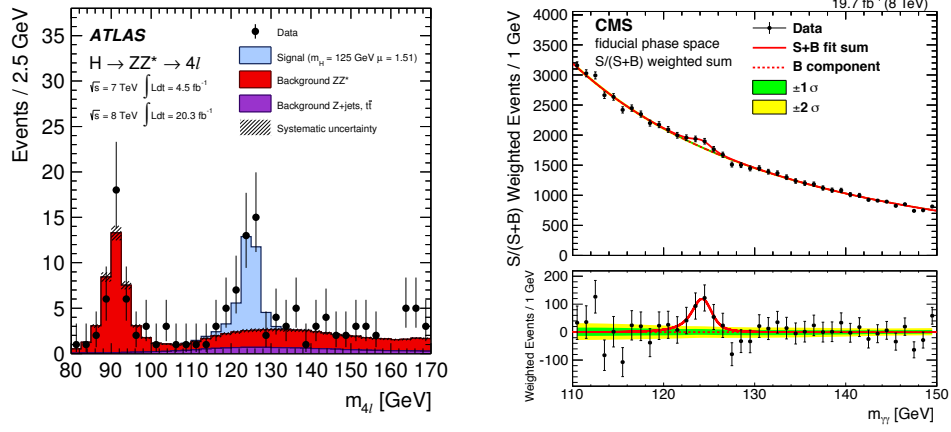


Figure 1.4: The Higgs boson signal in $Z^0 Z^0$ channel by ATLAS (left) [10] and Higgs boson signal in diphoton invariant mass distribution by CMS experiment (right) [11].

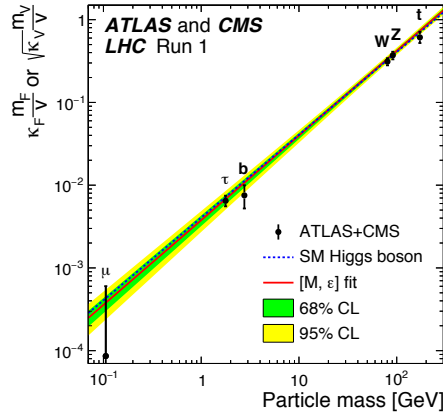


Figure 1.5: Demonstration of the linear dependence of particle masses on Higgs boson couplings by LHC experiments. [12]

this model was able to predict many phenomena of particle physics, like the existence of weak neutral currents, top quark and Higgs boson. The Standard Model has been tested to a high precision at the machines like HERA, SLC, LEP, TeVatron and the LHC. A summary of electroweak precision measurements compared to a Standard Model electroweak fit values is shown in Fig. 1.6. The Standard Model fit demonstrates, that there are no deviation greater than 2.5σ .

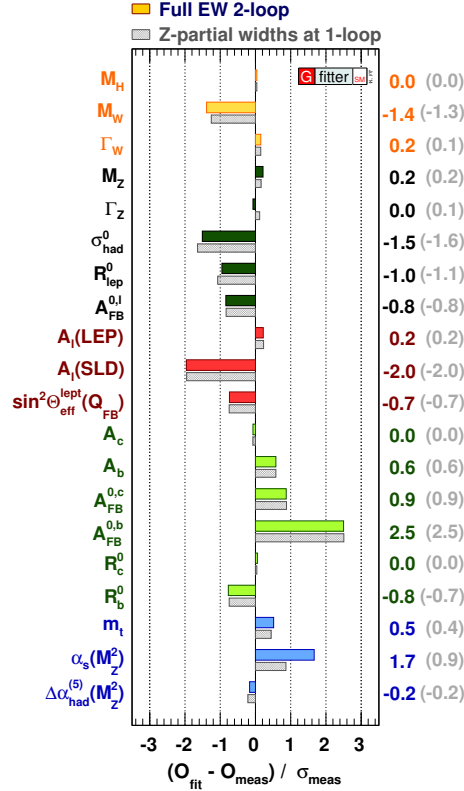


Figure 1.6: Deviations of the electroweak precision observables in the Standard Model [13].

The experiments, that explore rare B -meson decays, like BaBar, Belle or LHCb, show a good agreement with the Standard Model predictions. Nevertheless, some experiments in particle physics as well as a few cosmological observations have deviations from the Standard Model predictions. The shortcomings of the Standard Model are summarized as following:

- The Standard Model provide precise and consistent explanation of interactions between three out of four fundamental forces, the gravitational force is not included. The integration of the gravity into the Standard Model framework is unsuccessful, because of non-renormalizability of tensor quantum fields. Knowledge of quantum gravity is important

for cosmology of early Universe and for physics of extremely massive astrophysical objects, like black holes.

- Quantum corrections to the Higgs boson mass are large comparing to the mass of Higgs boson itself, which constitute a *fine-tuning problem* of the Standard Model. This can be avoided if there are new massive bosons, that can stabilize the Higgs mass corrections.
- There is no explanation of the reason behind the spontaneous symmetry breaking mechanism in the Standard Model framework.
- The particle masses in the Standard Model are proportional to the Higgs coupling constants, which are input parameters of the theory. Thus, the Standard Model does not provide any explanation of 6 orders of magnitude difference between well measured electron mass and top quark mass. This fact is known as a *mass hierarchy problem*.
- The sources of the charge-parity (CP) asymmetry, provided by the quark mixing matrix in the Standard Model, are too small to explain the *matter-antimatter asymmetry* of the Universe.
- Anomalous rotation of galaxies suggests an existence of large amount of *dark matter* - massive particles, that do not interact with the electromagnetic field. The Standard Model neutrinos are too light to explain this observation, therefore, the Standard Model has no candidate particle for dark matter.

Many Beyond Standard Model theories propose elegant solutions to the shortcomings of the Standard Model mentioned above, and many experiments are looking for their evidences. This thesis proposes new tests of the Standard Model using the ILC project, which will provide new evidences of New Physics with a discrimination power between different Beyond Standard Model theories.

2 The International Linear Collider

2.1 Role in particle physics

Particle accelerators, that produce colliding beams, colliders, drive the progress of our understanding of the subatomic world.

For particle physics, mainly two types of colliders are relevant:

- Hadron colliders have beams of proton and/or antiproton, for example SppS, Tevatron or LHC;
- Lepton colliders collide electron and positron beams, for example SLC, PETRA or LEP.

The Large Hadron Collider is the most powerful proton-proton collider ever made and it has already made a breakthrough by discovering the Higgs boson. Nevertheless, to measure precisely all accessible properties of the Higgs particle and other Standard Model particles scientific community needs a new high-precision experiment.

It is a worldwide consensus, that the next large high-energy physics facility after LHC should be a lepton collider. The main advantages of a lepton collider over the hadron machines are a well-known initial state of colliding particles and a higher signal to background ratio for many physics processes. The linear electron-positron colliders, like SLC at Stanford, have higher energy reach, more focused beams having more compact accelerator complex, than the equivalent circular machines.

The International Linear Collider is a project of linear electron-positron collider designed for energies between 250 GeV and 1000 GeV. The Compact Linear Collider (CLIC) is an alternative project of a future electron-positron machine with a nominal \sqrt{s} of 3 TeV. This thesis is focused on the ILC project. The ILC is designed for searches of New Physics and high-precision measurements of the Standard Model parameters. The ILC physics program is oriented on the production thresholds of heavy Standard Model particles the Higgs and the top quark. The physics potential of the ILC project will be enhanced by polarized beams, which can be used to suppress background processes in electroweak physics.

2.2 Research program at the International Linear Collider

Following the discovery of the Higgs boson by the LHC experiments, the ILC will complement the LHC discovery by measuring precisely all accessible properties of this particle. The main advantage of the ILC is a model-independent measurement of electroweak parameters. The model-independent measurement of the Higgs properties is done by studying the

Higgs-strahlung $e^+e^- \rightarrow Z^0 H$ process. The ILC experiments will measure the full width of Higgs decay, which is impossible at the LHC environment.

The ILC can run at the center-of-mass energy of 250 GeV, which gives the peak production for the Higgs-strahlung reaction. The top mass can be precisely measured at 350 GeV energy, at the top pair production threshold. The couplings of the Higgs and top particles can be studied at 500 GeV, as well as at 1 TeV center-of-mass energy. Therefore, the physics program covers several important thresholds in the Standard Model physics, that are summarized in Table 1.

Energy	Process	Goal of measurements
91 GeV	$e^+e^- \rightarrow Z^0$	Z^0 physics and calibration
250 GeV	$e^+e^- \rightarrow Z^0 H$	Higgs couplings
	$e^+e^- \rightarrow f\bar{f}$	Z^0/γ couplings
350 GeV	$e^+e^- \rightarrow t\bar{t}$	top mass precision
	$e^+e^- \rightarrow \nu\bar{\nu} H$	Higgs couplings
500 GeV	$e^+e^- \rightarrow t\bar{t}$	top couplings
	$e^+e^- \rightarrow t\bar{t} H$	Higgs-top coupling
	$e^+e^- \rightarrow Z^0 H H$	Higgs self coupling
1000 GeV	$e^+e^- \rightarrow \nu\bar{\nu} H H$	Higgs self coupling

Table 1: *Major Standard Model processes to be studied at ILC. [3]*

Besides the Standard Model precision measurements, the ILC has a rich program of New Physics searches. There are huge variety of studies made by ILC community, dedicated to the direct searches of the dark matter, additional particles from supersymmetric theories, indirect searches of resonances from extradimensional models, etc. Entire physics program is improved by beam polarization, which will deliver a more detailed information on the Standard Model coupling constants, and more control over background process rates.

2.2.1 Operating scenarios of the ILC

The ILC running scenarios are described in Ref. [14]. The preferable running option is called H-20, which is optimized to reach the desired accuracies on the Standard Model couplings during 20 years of ILC operation. The accumulation of the integrated luminosity with time in this scenario

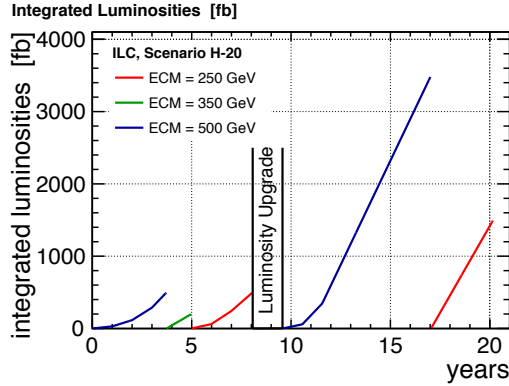


Figure 2.1: Accumulation of integrated luminosity versus real time in calendar years for scenario H-20.

\sqrt{s}	integrated luminosity with $\text{sgn}(P(e^-), P(e^+)) =$			
	$(-, +)$ [fb $^{-1}$]	$(+, -)$ [fb $^{-1}$]	$(-, -)$ [fb $^{-1}$]	$(+, +)$ [fb $^{-1}$]
250 GeV	1350	450	100	100
350 GeV	135	45	10	10
500 GeV	1600	1600	400	400

Table 2: Integrated luminosities per beam helicity configuration in scenario H-20 [14].

is shown in Fig. 2.1. The foreseen luminosity upgrade of the accelerator complex [15] allows to increase significantly the collision event rate.

In the H-20 scenario, the ILC physics program starts directly at 500 GeV center-of-mass energy by collecting 500 fb $^{-1}$ integrated luminosity. This choice makes possible to study all Standard Model processes from the beginning of the ILC physics operation. The ILC will next collect 500 fb $^{-1}$ integrated luminosity at $\sqrt{s} = 250$ GeV before the luminosity upgrade. The luminosity sharing between the different beam polarizations is described in Table 2.

The beam helicity configuration of $e_L^- e_R^+$ or $(-, +)$ is called the left-handed beam configuration throughout the thesis, and the $e_R^- e_L^+$ or $(+, -)$ configuration is called the right-handed beam configuration.

Currently, the ILC community considers the start of the physics program at $\sqrt{s} = 250$ GeV and the $\sqrt{s} = 500$ GeV operation as an energy upgrade.

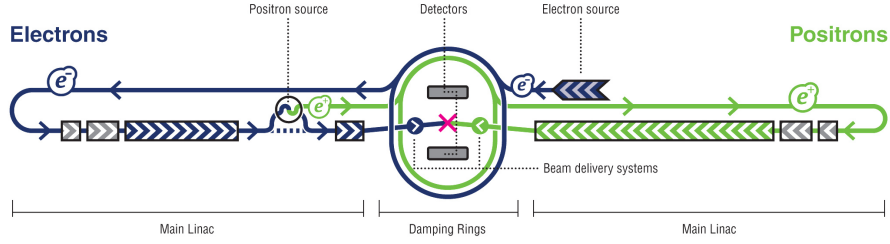


Figure 2.2: *Schematic view of the ILC accelerator complex.*

2.3 Accelerator complex

Electrons and positrons are the lightest charged particles, therefore they have preference to emit a synchrotron radiation in a magnetic field. For circular accelerators the synchrotron radiation E_{rad} strongly depends on the radius of accelerator r , particle mass m and particle energy E as

$$E_{rad} \propto \frac{E^4}{m^4 r}. \quad (2.1)$$

This is the main limitation of circular electron-positron colliders, where dipole magnets of their accelerator system cause strong beam energy losses. The linear accelerator design avoid these drawbacks.

However, the linear accelerator design implies many challenges: high acceleration gradients are required to achieve the full beam energy in a single pass and the beams must have a high intensity and be focused into small spotsizes to achieve high collision rates, since the beams intersect only once.

The schematic view of the entire accelerator system is presented in Fig. 2.2. The major sub-systems of the ILC accelerator are:

- a photocathode DC gun as polarized electron source
- an undulator on the main electron beam accelerator as a polarized positron source
- 5 GeV electron and positron dumping rings to reduce a phase space of the initial particles
- positron and electron 11 km linear accelerators or linacs, composed of 1.3 GHz cavities
- a beam delivery system to bring the beams to the interaction point, which is shared by two detectors with push-pull configuration.

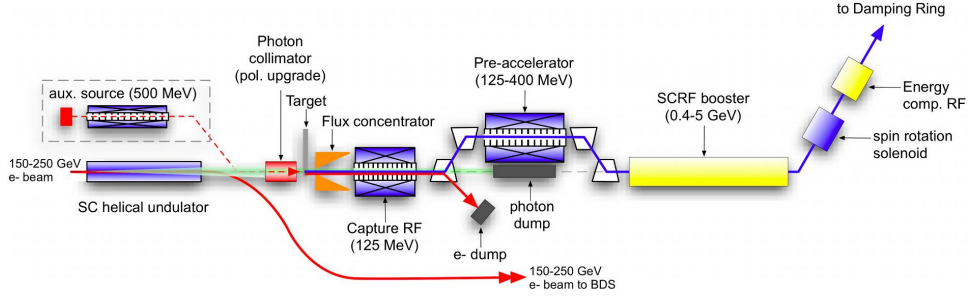


Figure 2.3: Schematic view of the ILC positron source.

The main parameters of the beams, produced by the linac complex are given in Table 3.

The polarized electron beam is obtained by sending a laser beam on a strained superlattice GaAs cathode which emits a bunch of electrons with high polarization. Then, the electrons are accelerated to 5 GeV and injected into the electron damping ring.

The polarized positrons are obtained by selecting positrons from e^+e^- pairs, created by converting polarized high energy photons on a rotating Ti-alloy target. The polarized photons are radiated by passing electrons in a superconducting helical undulator on the main electron beam. A detailed layout of positron source is shown in Fig. 2.3. Similar to the electrons, the positron beam is accelerated to 5 GeV energy in a superconducting linac before injection into the positron dumping ring.

The damping rings are housed in a common tunnel with circumference of 3.2 km. They accept electrons and positrons with large transverse and longitudinal emittances and damp them to the low emittances needed for injection into the main linac. Each damping ring accommodates the injection and extraction systems, RF cavities and damping wigglers. The damping is made by an array of superferic wigglers in both dumping rings, which operate at 4.2 K with 2.16 T magnetic field. Both damping rings are connected to the main linear accelerators by transfer lines.

The main linear accelerators are designed to accelerate the particles from 15 GeV to a nominal energy of 250 GeV. The acceleration is provided by approximately 7400 superconducting radio-frequency nine-cell niobium cavities (see Fig. 2.4) operating at 2 K temperature. The baseline accelerating gradient of the cavities is 31.5 MV/m. The industrial mass production of the cavities can cause a random gradient variation of $\pm 20\%$. The linac is able to produce bunches with a $554 \mu\text{m}$ interval with 1312 bunches per beam pulse. The balance between key parameters is a result of years of intensive R&D by a wide scientific community. The cavities are assembled into two types of cryomodules: type A with nine SCRF cavities and type B with eight SCRF cavities and one superconducting quadrupole magnet lo-



Figure 2.4: *External view of the ILC SCRF cavity.*

cated at the center of the module. The technology of industrial production of the cavities for ILC is a great challenge and there is room for improvement in accelerating gradient and efficiency. Same technology of SCRF cavities with lower average accelerating gradient is already implemented for electron acceleration at XFEL project in DESY [16].

The beam delivery system is responsible for transporting electrons and positrons from the main high-energy linacs to the interaction point (IP). These systems monitor and focus the electron and positron beams to meet the luminosity requirements and after the collision it transports the residual particles to the beam dumps. The beams cross each other at 14 mrad angle, which provide enough space to separate extraction lines.

The design of ILC detector hall and beam delivery systems allow hosting of two experiments, SiD and ILD, sharing one interaction point using a push-pull approach.

Center-of-mass energy	\sqrt{s}	GeV	250	350	500	upgrade 1000
Collision rate	f_{rep}	Hz	5	5	5	4
Electron linac rate	f_{linac}	Hz	10	5	5	4
Number of bunches	n_b		1,312	1,312	1,312	2450
Bunch population	N	$\times 10^{10}$	2	2	2	1.74
Main linac average gradient	G_{av}	MV/m	14.7	21.4	31.5	38.2
RMS bunch length	σ_z	mm	0.3	0.3	0.3	0.25
Electron RMS energy spread	$\Delta p/p$	%	0.19	0.16	0.12	0.08
Positron RMS energy spread	$\Delta p/p$	%	0.15	0.1	0.07	0.04
Electron polarization	P_-	%	80	80	80	80
Positron polarization	P_+	%	30	30	30	20
IP RMS horizontal beam size	σ_x^*	nm	729	683	474	481
IP RMS vertical beam size	σ_y^*	nm	7.7	5.9	5.9	2.8
Luminosity	\mathcal{L}	$\times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$	0.75	1.0	1.8	3.6
Fraction of luminosity in top 1%	$\mathcal{L}_{0.01}/\mathcal{L}$		87.1%	77.4%	58.3%	59.2%
Average energy loss	δE_{BS}		.97%	1.9%	4.5%	5.6%
Number of pairs per bunch crossing	N_{pairs}	$\times 10^3$	62.4	93.6	139.0	200.5
Top pair energy per bunch crossing	E_{pairs}	TeV	46.5	115.0	344.1	1338.0

Table 3: Parameters of the ILC, as given in [3].

2.4 Detector requirements and motivation

The realization of the ILC physics program requires significant advances in the detector performance.

One of the main challenges for detector hardware and reconstruction software is the high-precision jet energy measurement, which is essential, for example, for top mass measurement. This and many other studies require 3-4% energy resolution for 100 GeV jets. The Particle flow algorithms, which are able to reconstruct individual particles inside jets, have been developed to meet this requirement. A successful implementation of this technique needs a highly granular electromagnetic and hadron calorimeters.

The Higgs recoil mass measurement using the $e^+e^- \rightarrow Z^0 H$ process, requires high precision measurements of charged tracks, left by lepton pair from Z^0 boson decay. The goal for tracking subdetectors and magnetic solenoid is a momentum resolution for charged tracks $\Delta p/p^2 \approx 5 \cdot 10^{-5} \text{ GeV}^{-1}$.

In particular, the reconstruction of the top and the Higgs decays into b -quark and c -quark pairs, require a high-precision measurement of the track impact parameters by vertex detectors. The required impact parameter resolution depending on track momentum p and track polar angle θ should be $\sigma_b < 5 \oplus 10/p/\sin^{4/3} \theta \text{ } \mu\text{m}$.

To fit these requirements, all tracking devices should have minimal material budget to minimize multiple scattering, therefore, lightweight detectors, such as thin silicon or gaseous readout devices are preferred.

The ILC beam parameters allow for powering off many detector subsystems between bunch trains, introduced in Sec. 2.3, which reduces heat production and need for active cooling. This method is called power pulsing, and allows reducing the insensitive volumes and reduce material budget for inner trackers, which could be taken by active cooling systems.

2.5 The International Large Detector

The International Large Detector (ILD) is a concept for a high precision multi-purpose detector, designed to meet the ILC physics goals [17].

The ILD detector baseline design (DBD) is optimized for best resolution and a flexibility towards higher center-of-mass energies up to the TeV range. The major ILD subdetectors, ordered by their radial distance from the interaction point, are the following, see Fig. 2.5:

- Vertex Detector (VXD) and Forward Tracking Disks (FTD) are the innermost detectors of ILD, which serve to measure impact parameters of particles and track reconstruction up to 15° ;
- Silicon Inner Tracker (SIT) is used to connect track segments from VXD and TPC;

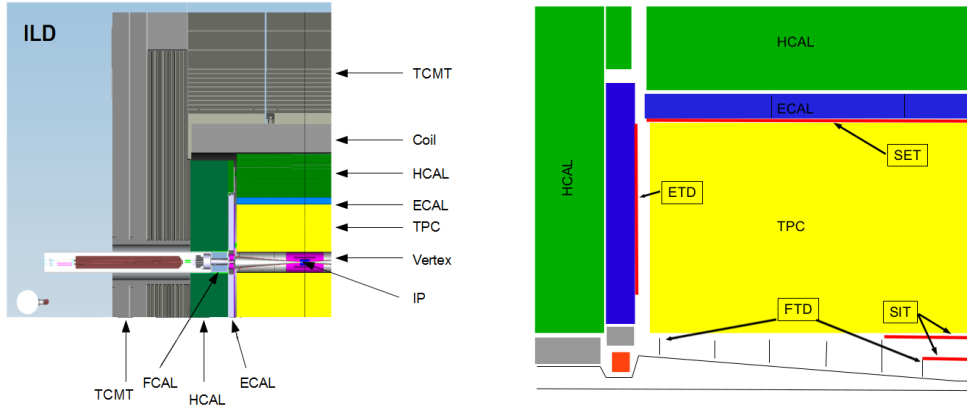


Figure 2.5: *Left: Schematic view of the ILD concept. Right: Zoom in the inner detectors.*

- Time Projection Chamber (TPC) serves for charge and momentum reconstruction and particle identification
- External Tracking Detector (ETD) and Silicon External Tracker (SET) provide a connection between tracks and calorimeter energy depositions
- A highly granular Silicon Tungsten Electromagnetic Calorimeter (Si-W ECAL) is used to measure and tag electromagnetic energy depositions;
- A highly segmented Hadronic Calorimeter (HCAL) provides reconstruction and identification of hadrons;
- Superconducting solenoid creates 3.5 T magnetic field;
- Tail Catcher and Muon Tracker (TCMT) serves for muon identification and hadron energy measurement.

The ILD tracking system can measure tracks up to very small polar angles, as shown in Fig. 2.6.

2.5.1 Particle flow reconstruction technique

The ILD concept is designed to meet all requirements for application of the Particle Flow Algorithms (PFA) for physics analysis.

The PFA allows for the reconstruction of individual particles, even inside jets, which significantly improves the jet energy resolution. For each particle, the best-suited detector information is used, i.e. charged particles are measured in the trackers, and neutral particles are measured in the

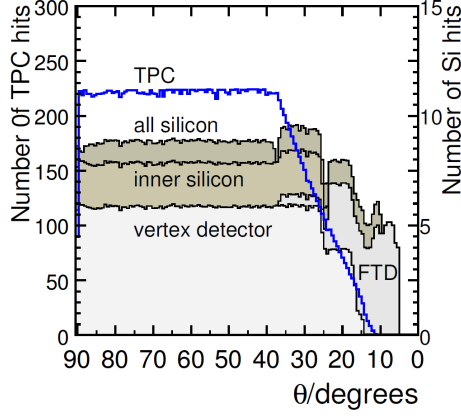


Figure 2.6: Number of hits as function of track polar angle θ .

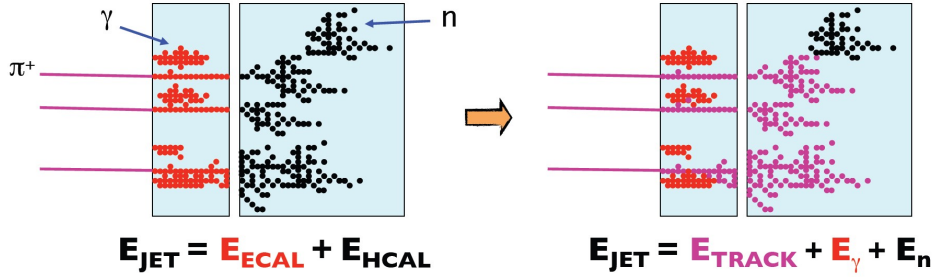


Figure 2.7: Left: Illustration of a jet energy estimation, which is based only on the calorimeter information. Right: Illustration of the Particle flow algorithms, which measure jet energy as a sum of charged and neutral particle energies.

calorimeters. These algorithms output Particle Flow Objects (PFO), that are reconstructed using hits in the tracking devices and calorimeters.

First stage of the PFO formation is an individual track reconstruction using tracker information. Tracks have the form of a helix, as a consequence of magnetic field influence on charged particles. The most common algorithm used is Kalman Filter [18]. Then, the energy depositions in the ECAL and HCAL are organized into topological clusters, which are grouped into showers, that correspond to the individual interactions of final-state particles. In the general case, the showers, left by hadrons, differ from photon or electron clusters. High-granular calorimeters are able to separate close-by energy depositions, left by different particles. The illustration of Particle flow process is given in Fig. 2.7.

Typically, the PFA creates objects of the following types:

- Muons have a reconstructed track and track-like energy depositions in ECAL and HCAL, and hits in TCMT;

- Photons have a compact cluster in ECAL without a connected track;
- Electrons are reconstructed as a compact cluster in ECAL with an associated track;
- Neutral hadrons (neutrons, neutral kaons, etc.) appear as a hadronic cluster, that can start in the ECAL or HCAL and continues to TCMT;
- Charged hadrons (protons, charged kaons, etc.) appear as track, connected to an hadronic cluster.

After creating PFOs, one can apply different algorithms like vertex detection, jet clustering, particle identification, flavor-tagging algorithms, etc.

One of the fundamental characteristics of the PFA performance is the jet energy resolution shown for the ILD concept in Fig. 2.8. This plot demonstrates that the detector model is able to meet the requirement of 3-4% jet energy resolution.

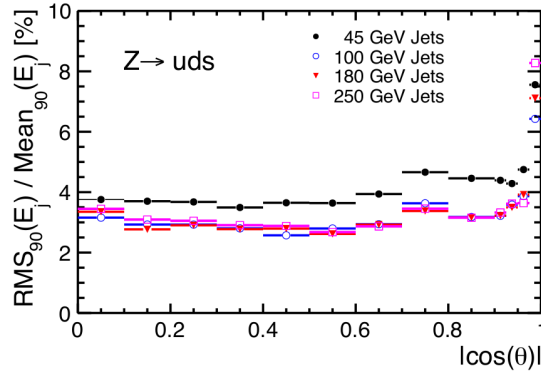


Figure 2.8: Fractional jet energy resolution plotted versus $|\cos \theta|$ where θ is the polar angle of the thrust axis of the event. Figure taken from [3].

2.5.2 Vertex Detector

The primary purpose of the ILD Vertex Detector is the precise position measurement of charged tracks aimed at the primary interaction point (IP) and the secondary vertex reconstruction. The secondary vertices are created by particles with a relatively short lifetime, like B mesons, D mesons or τ leptons. These particles appear in the decay modes of the Higgs boson or the top quark. Therefore, the accurate measurement of track offsets, efficient tagging of b - and c -quark jets is essential for the top quark and the Higgs physics program.

To fulfill the required precision, the VXD is designed to have its first layer at 16 mm radius from the IP, $\sim 3 \mu\text{m}$ single point resolution and a

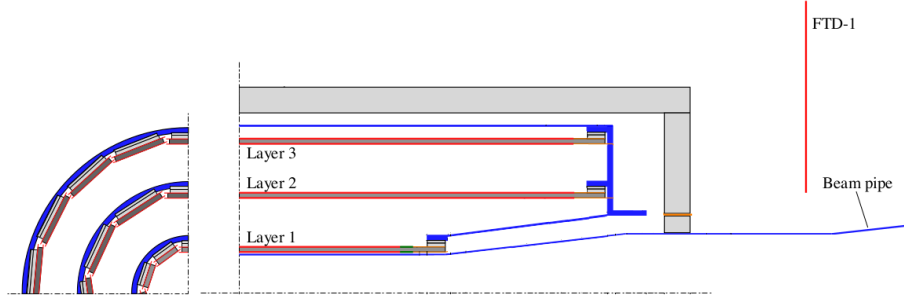


Figure 2.9: *Mechanical support structure of ILD vertex detector.*

low material budget of the device. To avoid installation of a liquid cooling, the ILD Vertex Detector should also have a low power consumption.

The baseline design of VXD consist of three cylindrical double layers with pixel sensors as readout devices. The VXD layer characteristics are given in Table 4. The VXD layout and its mechanical support is shown in Fig. 2.9.

	R (mm)	$ z $ (mm)	$ \cos \theta $	σ (μm)	Readout time (μs)
Layer 1	16	62.5	0.97	2.8	50
Layer 2	18	62.5	0.96	6	10
Layer 3	37	125	0.96	4	100
Layer 4	39	125	0.95	4	100
Layer 5	58	125	0.91	4	100
Layer 6	60	125	0.9	4	100

Table 4: *Parameters of the ILC vertex detector system, as given in [3].*

Currently, three readout device technology are considered: CMOS Pixel Sensors (CPS), Fine Pixel CCD (FPCCD) and Depleted Field Effect Transistor (DEPFET):

- CPS can be optimized for single point resolution and short read-out time. A combination of the sensors can give a spatial resolution of $< 3 \mu\text{m}$ and a timing resolution of $\leq 10 \mu\text{s}$;
- DEPFET have frame read-out time of $\geq 50 \mu\text{s}$ and $20 \times 20 \text{ mm}^2$ pixels;

- FPCCD has small pitch pixels of $5\mu\text{m}$ and slow read-out, which is performed in between bunch trains.

2.5.3 Forward Tracking Disks

The ILD forward tracking region consists of seven tracking disks placed between the beam pipe and the TPC. The first two are equipped with pixel sensors to provide a good impact parameter resolution, the other five disks feature silicon strips as readout devices and serve for momentum and charge reconstruction. The layout of the Forward Tracking Disks is summarized in Table 5.

	R (mm)	$ z $ (mm)	$ \cos\theta $	σ (μm)	Material (%)
Layer 1	39-164	220	0.985-0.802		0.25-0.5
Layer 2	50-164	371	0.991-0.914	3-6	0.25-0.5
Layer 3	70-308	645	0.994-0.902		0.65
Layer 4	100-309	1046	0.994-0.959		0.65
Layer 5	130-309	1447	0.995-0.998	7.0	0.65
Layer 6	160-309	1848	0.996-0.986		0.65
Layer 7	190-309	2250	0.996-0.990		0.65

Table 5: *Parameters of ILD Forward Tracking Disks system, as given in [3].*

The solenoidal magnetic field has a reduced influence on particles in the ILD forward region. Thus, a precise momentum measurement requires a low material budget and large lever arm. Even small amount of material before the first sensitive layer can compromise the impact parameter precision. To achieve the required performance, first two inner disks feature high-granular pixels with around $3\mu\text{m}$ resolution with a minimal material budget. As for the vertex detector, the similar technologies of readout devices are considered: CPS, FPCCD and DEPFET. Outer disks are equipped with AC coupled p-on-n fine-pitch microstrip silicon sensors.

2.5.4 Time Projection Chamber

The Time Projection Chamber was chosen as the central tracker of the ILD concept.

The TPC consist of an endplate, where the readout of the amplified signals takes place using custom-designed electronics, and a fieldcage, made

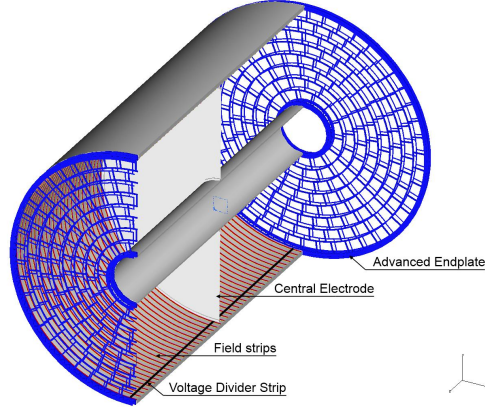


Figure 2.10: *Scheme of the TPC system showing the main parts of the device.*

from advanced composite materials. Charged particles, that enter the TPC, ionize the gas mixture inside the chamber. Under an influence of an electric field within the fieldscape, the electrons from track ionization drift to a TPC endplate, equipped with detection devices. The TPC can provide up to 224 points per track. For a given drift length of more than 2 m and a high magnetic field of 3.5 T, the so-called T2K gas mixture (Ar-CF₄(3%)-isobutane(2%)) is considered [3]. A general layout of ILD TPC is shown in Fig. 2.10.

Currently, Micromegas and Gas Electron Multipliers are considered as detection methods. Both types of detection devices allow measuring the energy deposition per particle track length dE/dx , which can be effectively used for particle identification (PID).

A detailed R&D of TPC for ILD concept is provided by LCTPC collaboration.

2.5.5 Other tracking detectors

Silicon Inner Tracker (SIT), Silicon External Tracker (SET) and External Tracking Detector (ETD) comprise the Silicon Envelope of ILD and serve for time-stamping, TPC calibration and the track segment synchronization purposes.

The SIT is located between Vertex Detector and TPC. It has two double-sided silicon strip layers, which provide the link between VXD and TPC track segments. ETD and SET are realized with one double-sided layer of silicon strips, which provide a precise entry point of a charged track to the ECAL. All silicon envelope detectors use the same sensor type throughout the system.

The Silicon Envelope of ILD has been developed by the SiLC collabo-

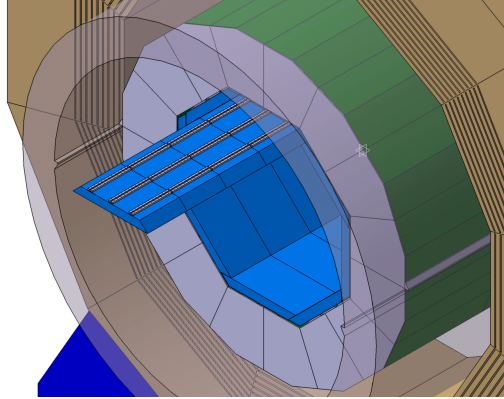


Figure 2.11: *The electromagnetic calorimeter (in blue) within the ILD Detector [3].*

ration.

2.5.6 Calorimeter System

The Particle Flow approach drives the calorimeter design. The highly granular calorimeters of ILD can be used not only for a particle energy measurements, but also for a shower separation and particle identification purposes. Figure 2.11 shows the position of the electromagnetic calorimeter in the ILD detector.

The principal role of the ECAL in the PFA framework is photon reconstruction in presence of close-by particles. The device should be able to disentangle electromagnetic showers caused by photons or electrons from hadronic ones. Thus, high granularity in three dimensions is required. For these purposes, the ILD ECAL has a sandwich-like structure of 30 active readout layers with tungsten absorber layers in between. The total thickness of the absorber corresponds to 24 radiation lengths X_0 and 1 nuclear interaction length λ , see Sec. 3. The readout technology is silicon pin diodes of $5 \times 5 \text{ mm}^2$ size. This device is referred as Si-W ECAL. Another option for the readout sensors are scintillator strips with silicon photomultipliers.

The hadronic calorimeter is designed to separate neutral and charged hadrons and precisely measure energy depositions of neutral hadrons. The HCAL has 42 sensitive layers with steel absorber in between. Two baseline readout options are considered, the scintillator-tile based AHCAL and the Glass Resistive Plate Chamber (GRPC) based SDHCAL.

The CALICE collaboration made a detailed R&D of highly granular calorimeters, constructed and tested prototypes with different readout options. Si-W ECAL prototype by CALICE collaboration is described in Section 4.2.

2.5.7 Outer part

The outer part of ILD concept consist of superconducting solenoid and muon system / tail catcher.

The superconducting coil surrounds the ILD calorimeters and creates an axial magnetic field of 3.5 T.

TCMT serves for magnetic flux confinement and, at the same time, it provides a measurement of residual energy depositions of hadronic showers and muon-tagging. Sensitive layers can be either scintillator strips or resistive plate chambers.

Part II

Hadronic interactions in the Silicon-Tungsten Electromagnetic Calorimeter

3 Passage of particles through matter

Primary particles lose energy due to the interaction with the detector material. In a simplified way, the following interactions can be distinguished:

- Ionization - charged particles lose their energy by ionizing the atoms of the detector material;
- Photoelectric effect - a process of an electron emission from an atom due to the interaction with a low energy photon;
- Compton scattering - the scattering of a low energy photon by an electron in the material;
- Pair creation - a conversion of an energetic photon to e^+e^- pair in the detector material;
- Hadronic interaction - elastic or inelastic interaction of energetic hadrons with matter nuclei via strong force.

3.1 Electronic energy losses

The mean rate of energy loss per unit of length $\langle -dE/dx \rangle$ by relativistic massive particles is described by Bethe-Bloch formula [19]. This equation depends on the particle charge and momentum, as well as on the matter properties, such as atomic mass and atomic number. As an example, the energy loss of muons on copper target as function of particle momentum is demonstrated in Fig. 3.1. For the studies presented in this thesis, the relevant energy range is from 100 MeV up to 100 GeV, which is the minimal energy loss region in Fig. 3.1. The particles with a momentum corresponding to the minimum of the $\langle -dE/dx \rangle$ curve are called minimum ionizing particles or MIPs.

3.2 Electromagnetic showers

The emission of photon or bremsstrahlung process is the dominant process of the energetic electron-matter and positron-matter interaction. The high-

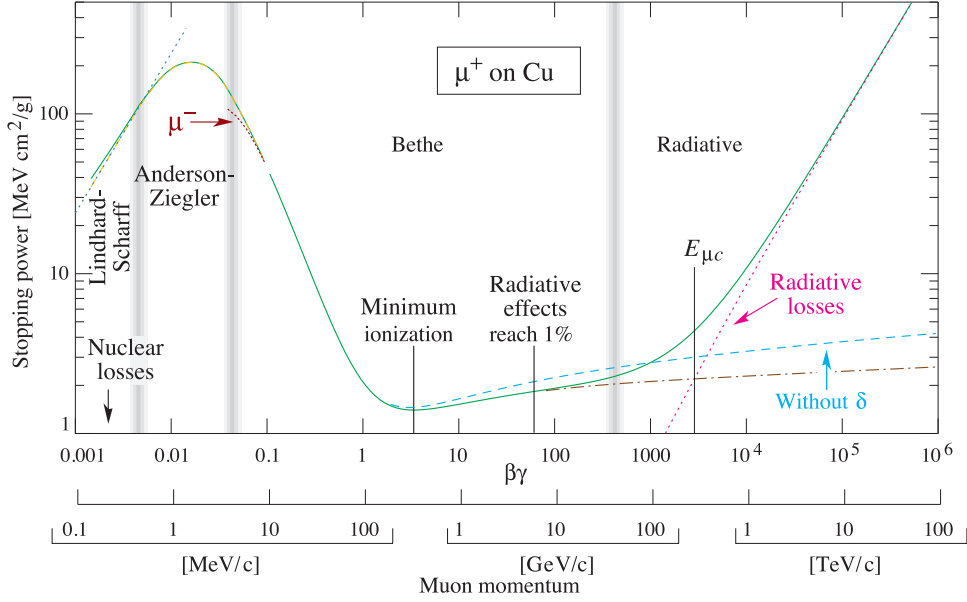


Figure 3.1: Stopping power or $\langle -dE/dx \rangle$ for muons in copper as a function of $\beta\gamma = p/mc$, where m is the muon mass, p is muon momentum and c is the speed of light [19].

energy photons interact with matter via the conversion to e^+e^- pair. Thus, passage of these particles in dense materials create an intense cascade of pair creation and photon radiation processes, i.e. electromagnetic cascades or showers. Eventually, the electron energy falls below the critical energy of the bremsstrahlung, and then they lose their energy by ionization and atomic excitation processes. Below the e^+e^- pair conversion threshold, the photons lose their energy in the material by the Compton scattering process.

The main characteristic of the material is the radiation length X^0 , defined as the mean distance over which a high-energy electron loses all but $1/e$ of its energy, or $7/9$ of the mean free path of a high-energy photon. The transverse size of the electromagnetic cascade is described by the Molière radius R_m , which is defined as an average radius of a cylinder containing 90% of an electromagnetic cascade. The Molière radius is proportional to the radiation length of the material. The radiation length and the Molière radius values for various materials are shown in Table 6.

Material	X_0 [cm]	R_m [cm]	λ_I [cm]	λ_{π^\pm} [cm]
Dry air	30390	7330	74770	101300
Iron Fe	1.757	1.719	16.77	20.42
Lead Pb	0.5612	1.602	17.59	19.93
Tungsten W	0.35	0.93	9.946	11.33

Table 6: *The material properties of interest for high-energy physics [20].*

3.3 Hadronic showers

Charged hadrons can interact with material via ionization process, and all hadrons, neutral and charged ones, can interact with matter nuclei via the strong force. The strong interaction with matter can be elastic and inelastic. The elastic hadron-matter interaction is the nucleus excitation process, via gluon exchange, which do not change the hadron or nuclei composition. The inelastic hadron-matter interaction often leads to a spallation of the target nuclei creating new stable or unstable hadrons. The emitted relatively energetic π^0 and η mesons decaying into two photons give a rise to an electromagnetic shower. The stable and long-lived hadrons, like pions, protons and neutrons, can escape the collision region. Thus, the energy deposit value of the hadronic showers is highly fluctuating.

The material is characterized by the nuclear interaction length λ_I , which is defined as a mean material length reducing the number of passing by hadrons by the factor of $1/e$. Specifically, the pion interaction length $\lambda_{\pi^\pm} > \lambda_I$, due to longer mean free path of the π^\pm in the same type of material. The λ_{π^\pm} and λ_I values for various materials are given in Table 6.

3.4 Simulations

A widely used toolkit to simulate the passage of particles through matter is GEANT4 simulation software [21]. It is used in a variety of application domains, including high energy physics, astrophysics and space science, medical physics and radiation protection. The GEANT4 physics processes cover variety of particle-matter interactions over a wide energy range. The description of highly-fluctuating processes, like hadron-matter interaction, can be done by using different approximations. The simulation of the hadron-matter interaction in GEANT4 is implemented in physical models called *physics lists* [22].

4 Si-W ECAL physics prototype

4.1 Introduction

The design of particle detectors at future high-energy physics experiments and, in particular, at linear colliders is oriented towards the usage of Particle Flow algorithms (PFA) for the event reconstruction. These algorithms require calorimeters with high granularity to reconstruct individual particles, aiming at the improvement of the jet energy resolution [23].

The primary objective of the CALICE (Calorimeter for the Linear Collider Experiment) collaboration is the development, construction and testing of highly granular hadronic and electromagnetic calorimeters for future particle physics experiments.

A detailed study of the calorimeter response to particle interactions is necessary to verify existing Monte Carlo simulation models and to build a reliable PFA. This implies the precise simulation and reconstruction of the interaction of neutral and charged hadrons and the subsequent particle cascade.

This section reports on a detailed study of hadronic interactions in the CALICE Silicon-Tungsten Electromagnetic Calorimeter (Si-W ECAL) physics prototype [24]. The Si-W ECAL was tested at Fermi National Accelerator Laboratory (FNAL) in 2008 using a beam of π^- -mesons in the energy range from 2 to 10 GeV. The highly granular structure of the Si-W ECAL permits a detailed measurement of hadronic showers in terms of integral observables [25] such as cluster extensions and energy depositions. As will be shown, the high granularity allows in addition for deeper studies of the interactions between the hadrons and the absorber material such as the characterisation of the interaction region and the analysis of secondaries emerging from the interaction. The tracks produced by these secondaries are reconstructed by a new simple track-finding algorithm. The resulting observables are subject to comparison of data with predictions from different GEANT4 Monte Carlo physics lists. The analysis complements studies presented in [26] and [27] for tracking in CALICE prototypes of hadronic calorimeters.

4.2 The prototype description

The Si-W ECAL physics prototype has a sandwich-like structure comprising 30 layers of silicon (Si) as active material, alternated with tungsten (W) as absorber material. The active layers are made of Si wafers segmented in $1 \times 1 \text{ cm}^2$ pads. As shown in Fig. 4.1, each wafer consists of a square of 6×6 pads and each layer is a matrix of 3×3 of these wafers resulting in an active zone of $18 \times 18 \text{ cm}^2$.

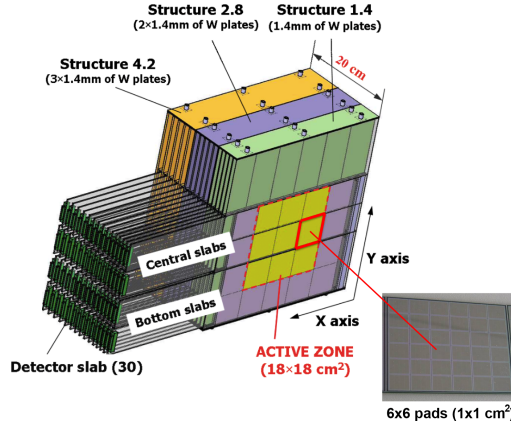


Figure 4.1: A schematic view of the Si-W ECAL physics prototype.

The Si-W ECAL is subdivided into 3 modules of 10 layers each. The W depth per layer is different in each module increasing from 1.4 mm ($0.4 X_0$) in the first one, to 2.8 mm in the second and 4.2 mm in the last one. The total thickness corresponds to $24 X_0$ or about 1 nuclear interaction length λ_I which ensures that more than half of the hadrons will have a primary interaction within the detector volume. A more detailed description of the prototype can be found in [24].

4.3 Experimental setup at FNAL

The test beams were carried out at the Fermilab Test Beam Facility¹, FTBF, at FNAL in May and July 2008. The Si-W ECAL was placed in front of two other CALICE physics prototypes: an AHCAL [28] and a Tail-Catcher (TCMT) [29]. The beam-line comprised in addition two scintillator counters, covering an area of $10 \times 10 \text{ cm}^2$, for triggering on incoming particles and two Cherenkov detectors for particle identification. The chosen coordinate system is right-handed with the z -axis pointing along the beam direction and the y -axis being vertical. The analysed data comprise runs with primary π^- -mesons. The energies of the primary particles are 2, 4, 6, 8 and 10 GeV.

4.4 Monte Carlo simulations

Monte Carlo simulations were carried out within the Mokka framework [30], which provides the geometry interface to GEANT4 [21]. There are several simulation models of hadronic interactions available within GEANT4, that are combined into so-called *physics lists*. Each model has its own theoretical basis valid mainly in a specific energy range of hadrons. In this analysis,

¹Fermilab Test Beam Facility web page: <http://www-ppd.fnal.gov/MTBF-w>

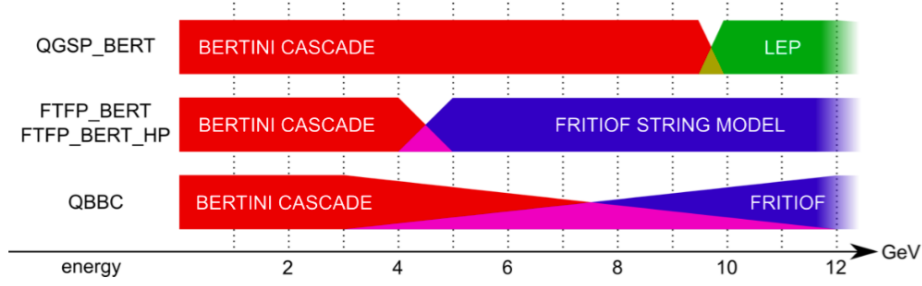


Figure 4.2: *Illustration of the transitions between the different physics models within the used GEANT4 physics lists.*

three physics lists contained in GEANT4 version 10.01 are compared with the experimental data:

- QGSP_BERT combines the Bertini model at energies below 9.9 GeV, with the Low Energy Parametrised model at energies above 9.9 GeV;
- FTFP_BERT has a transition from the Bertini model to the Fritiof model around a primary particle energy of 4.5 GeV.
- QBBC also intrapolates between the Bertini model and the Fritiof model however with a larger transition region.

The physics lists are illustrated in Fig. 4.2. More information about these and other physics lists can be found in [22].

4.5 Event selection and preprocessing

The FNAL π^- test beam is contaminated with μ^- and e^- , in particular at lower energies where the beam is dominated by e^- . At 2 GeV the beam contains about 5% of π^- mesons and 70% of electrons. Events are triggered using the signals from the two scintillator counters upstream of the Si-W ECAL and π^- are identified by using Cherenkov counters. The response of the Si-W ECAL to charged particles was calibrated with an energetic μ^- beam [31] and the hit energy is converted into units of most probable energy depositions by minimum ionising particles (MIP).

To select π^- showers the data and simulation samples undergo the following selection steps [25] [32]:

- A series of cuts is applied to reject multi-particle events caused by beam impurities or products of decays or upstream interactions of primary particles. The influence of residual multi-particle events will be discussed in Sec. 6;

- A threshold of 0.6 MIP is chosen to remove noisy hits in the Si-W ECAL;
- A hit is removed as being isolated if all the 26 pads in the surrounding cube have no signal above the noise threshold. The analysis applies to the non-isolated hits that remain after this removal. The term hits will continue to be used.
- The total number of hits in the ECAL is required to be at least 25 to remove particles with large incident angle;
- The barycentres of the transverse coordinates \bar{x}_{hit} and \bar{y}_{hit} of the hits are calculated as:

$$\bar{x}_{hit} = \frac{\sum_{hits} x_{hit} E_{hit}}{\sum_{hits} E_{hit}} \text{ and } \bar{y}_{hit} = \frac{\sum_{hits} y_{hit} E_{hit}}{\sum_{hits} E_{hit}}, \quad (4.1)$$

where E_{hit} is the energy of a hit in MIP units, and the sums run over all hits in the calorimeter. The event is accepted if $-50 \text{ mm} < \bar{x}_{hit} < 50 \text{ mm}$ and $-50 \text{ mm} < \bar{y}_{hit} < 50 \text{ mm}$ to reduce lateral shower leakage;

- In first approach the interaction layer i is identified with the first of three consecutive layers for which

$$E_i > E_{cut}, E_{i+1} > E_{cut} \text{ and } E_{i+2} > E_{cut}. \quad (4.2)$$

This simple condition is inefficient at small energies and is complemented by using the following relative energy increase

$$\frac{E_i + E_{i+1}}{E_{i-1} + E_{i-2}} > F_{cut} \text{ and } \frac{E_{i+1} + E_{i+2}}{E_{i-1} + E_{i-2}} > F_{cut}, \quad (4.3)$$

with E_i being the total energy of layer i . The variables E_{cut} and F_{cut} are free parameters with empirical values of 8 MIP and 6, respectively. It is argued in [25] and references therein that these values optimise the selection efficiency in the energy range relevant for the present study. The event is selected if $5 < i < 15$ to suppress electron contamination and to assure "long" secondary tracks after the interaction.

5 The track-finding algorithm

The main objective of the track-finding algorithm is the detection of forward-scattered tracks from the interaction between the primary pions and the absorber material in the absence of a magnetic field.

The designed algorithm has the following execution scheme:

- After the selection cuts, see Sec. 4.2, the interaction region is identified and singled out. The interaction region will be defined in Sec. 5.1;
- The remaining energy depositions are used for clusterisation;
- The obtained clusters are classified to select track-like clusters from residual noise;
- After classification different clusters from a single outgoing secondary particle are merged into one track.

The entire algorithm is executed on a unit grid based on the Si-W ECAL pad identifiers according to

$$\vec{x} = (x, y, z) = \begin{cases} x = 0..17 \\ y = 0..17 \\ z = 0..29, \end{cases} \quad (5.1)$$

where pad counting starts in the bottom right pad, see Fig. 4.1. Distances in this grid are measured in *grid units*, g.u.

5.1 Removing the interaction region

A typical inelastic hadronic interaction in the Si-W ECAL creates a shower with an interaction region and tracks of long-lived particles emerging from it. The interaction region is created by particles with a short distance of flight in the absorber material of the Si-W ECAL, like electrons, photons and low momentum hadrons.

In the present analysis the interaction region is defined by all hits that have at least six neighbouring pads with a signal above the noise threshold. In this sense the neighbouring pads are always also part of the interaction region, which applies in particular at the border of the interaction region. The minimal value of six pads is chosen such that muon events remain unaffected meaning that a fake interaction region is found in only 1% of single muon events. On the other hand for a value of five neighbouring pads about 10% of muon events would get assigned a fake interaction region. Increasing the minimal value to seven neighbouring pads with hits reduces the fraction of events with a fake interaction still a bit but does not alter the results presented in the following.

Figure 5.1a displays a simulated event after noise and isolated hits filters and Fig. 5.1b is the same event after removal of the interaction region. As can be seen in Fig. 5.1b the interaction region is the starting point for secondary tracks.

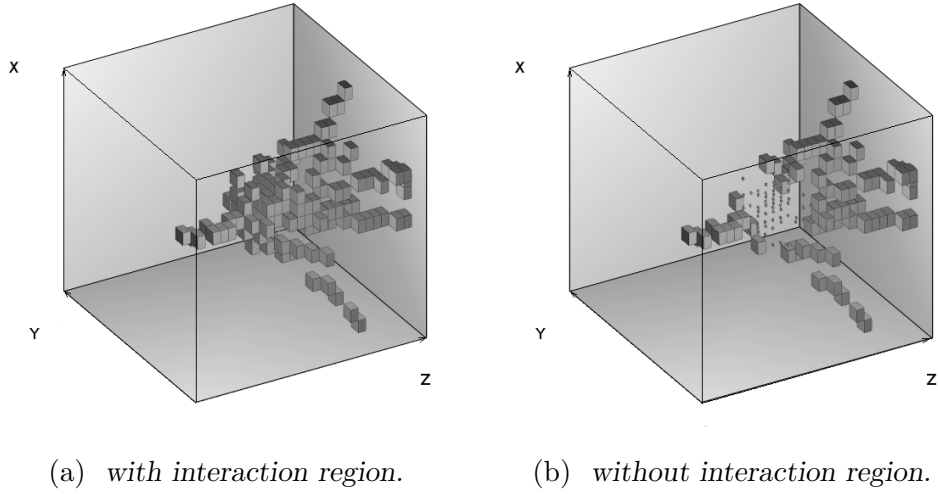


Figure 5.1: Event display of a primary pion with an energy of 10 GeV recorded at FNAL 2008 testbeam before (a) and after removal of the interaction region (b). Smaller cubes are pads that are part of the interaction region and are not processed by the track-finding algorithm. In this event the hits in the first ten layers are classified as hits left by a primary particle.

5.2 Clusterisation

During the clusterisation step the energy depositions that are not associated to the interaction region are grouped into clusters by a topological principle.

The algorithm is described in the following. The description is supported by Fig. 5.2.

1. The separation of tracks improves with increasing distance from the interaction layer. Therefore, hits, isolated within one of the rear layers, seed a cluster. The search for these hits is carried out progressively starting from the last layer in the direction of decreasing z . Typically, seeding hits are found in the last layer of the detector;
2. A hit can be associated to a cluster if it was not yet joined to another cluster. This condition excludes the double counting of hits. Effects, arising from ambiguities in the assignment of hits to clusters such as the order in which clusters are created, are expected to be small;
3. For the clusterisation a usual nearest neighbour clustering scheme is applied. More precisely, for each newly associated hit with coordinates (x_n, y_n, z_n) the algorithm finds nearby hits with the following conditions:
 - A neighbour hit should have a z coordinate within $[z_n - 2, z_n]$

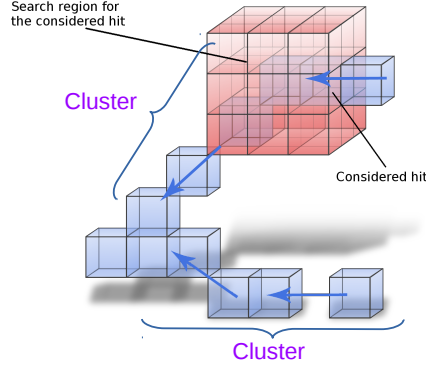


Figure 5.2: *Illustration of the clusterisation step. The Si-W ECAL hits are represented by blue cubes, and the search region for adjacent hits is indicated by red cubes. The blue arrows point in the direction of the clusterisation flow.*

- The transverse coordinates of neighbouring hits is searched within ranges $[x_n - 1, x_n + 1]$ and $[y_n - 1, y_n + 1]$

The search region for nearby hits is visualised in Fig. 5.2 as a "red cube" with $3 \times 3 \times 3$ pads;

4. For each newly associated hit the Steps 2 and 3 are repeated until the process reaches the first layer of the calorimeter or until no more neighbour hits are found.

The choice of this clusterisation method is motivated by a maximum correspondence between the number of clusters and the number of detected tracks.

5.3 Classification and merging

Long-lived charged secondary particles from hadronic interactions are expected to leave straight MIP-like tracks in the detector. The goal of the classification of the clusters obtained in the previous step is thus to select track-like clusters.

The classification algorithm executes the following steps:

1. Reject all clusters with 2 hits (N_{hits}) as residual noise clusters;
2. Calculate the length l of the considered cluster as the maximal distance between any pair of hits that are in the cluster;
3. A cluster is rejected if it has a length of less than $l_{cut} = 2$ g.u., which corresponds to the minimal length of a track-like cluster with 3 hits;

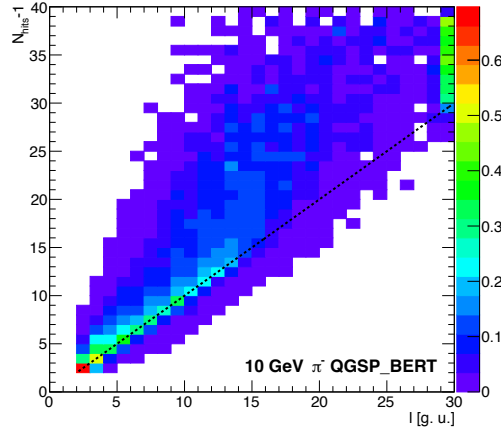


Figure 5.3: *Correlation between $N_{hits} - 1$ and cluster length l in g.u. at the example of simulated pions with an energy of 10 GeV using the QGSP_BERT physics list. To guide the eye a line for $N_{hits} - 1 = l$ is included in the figure.*

4. Compute the following observable:

$$\xi = \frac{l}{N_{hits} - 1} + \varepsilon N_{hits}, \quad (5.2)$$

as a measure for the eccentricity of the cluster. The first term of Eq. 5.2 is motivated by the linear dependence of $N_{hits} - 1$ on the cluster length l , illustrated in Fig. 5.3. The second term introduces a free parameter ε as an ad hoc correction to increase the efficiency for selecting clusters that do not conform to the nominal pencil-like topology, as explained below. The value of the parameter was chosen to be 0.03 after visual inspection of a few tens of events in the event display;

5. If $\xi \geq 1$ a cluster is considered as track-like. Otherwise, the cluster can be classified as e.g. two inseparable tracks.

Due to a number of effects like multiple scattering, residual detector-noise, δ -rays or the residual arbitrariness in the assignment of hits to clusters, the reconstructed tracks are in general not exactly pencil-like. The correction term εN_{hits} in the definition of ξ serves to keep a cluster as track-like even if it has large N_{hits} and its form is not strictly pencil-shaped, i.e. $l/(N_{hits} - 1) < 1$.

A deeper discussion of the effects of the ε parameter is presented in Sec. 5.4.

The next step of the classification is to detect a cluster from the primary particle. If this cluster exists and meets the conditions for a track-like

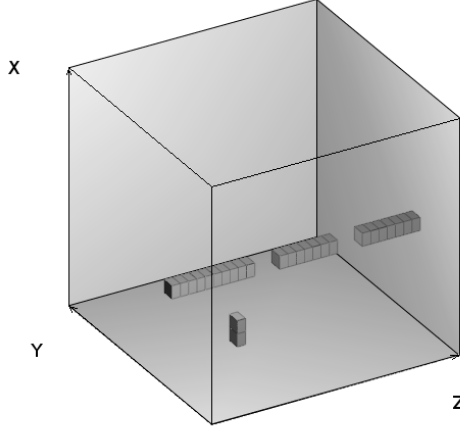


Figure 5.4: *An example of a segmented track in the Si-W ECAL from a single 6 GeV μ^- in FTFP_BERT Monte Carlo simulation.*

cluster, it affects the track counting and merging algorithm. A cluster is classified as being produced by the primary particle if it starts in the first module of the Si-W ECAL and if it encloses a small angle with respect to the z -axis. An example of a cluster by a primary particle is visible in Fig. 5.1b. Clusters assigned to primary particles are discarded in the following analysis.

Different track-like clusters that correspond to track segments from a single particle, see Fig. 5.4, have to be merged into a single track. The merging procedure combines track-like clusters with any type of clusters using a simple cone algorithm. Tested on a sample of single, isolated muons with an energy of 6 GeV simulated with the FTFP_BERT physics list, the track-finding algorithm finds correctly only one track with 99.7% efficiency. The sample contains about 3% events with segmented tracks.

5.4 Discussion of the ε parameter

The track-finding algorithm depends on a number of parameters. The biggest sensitivity of the track-finding algorithm is expected to be introduced by the empirically defined ε parameter, see Eq. 5.2. Therefore its influence on the results and a further motivation of the choice of the working point in terms of the ε parameter is given in the following.

After the cut on the minimum cluster length l_{cut} , see Sec. 5.3, all clusters with 3 hits are track-like, independently of the ε value. Therefore, these clusters are not considered in this discussion.

Figure 5.5 shows the dependence of $\langle N_{tracks} \rangle$ on ε for different beam

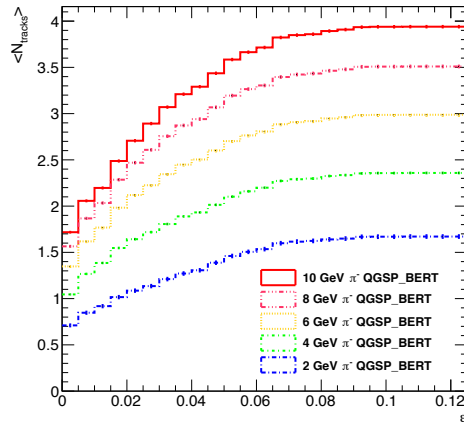


Figure 5.5: Mean number of tracks found by the track-finding algorithm as a function of ε for 2, 4, 6, 8 and 10 GeV beam energy for the QGSP_BERT physics list simulation. Events without a detected interaction region are discarded.

energies². Each curve has its minimum value at $\varepsilon = 0$ that is the mean number of ideal pencil-like tracks per event and saturates at large ε , when each cluster is taken as track-like.

For all clusters with a number of hits larger than 3, the simulated muon and electron samples are used to define a motivated choice for the value of ε to be applied for the track finding.

A Monte Carlo sample of μ^- is used to determine a lower bound on the ε parameter. The events for this study are selected if the number of hits is larger than the number of layers in the Si-W ECAL physics prototype. After this cut the muon tracks do not have a straight pencil-like shape, but rather a line with a number of adjacent hits generated by residual detector noise or δ -rays. An example of such a track is given in Fig. 5.6a. The resulting sample represents secondary tracks from hadronic interactions with noise or other additional hits from the debris of the interaction region.

A Monte Carlo sample based on electrons is used to estimate an upper bound on ε . Electrons are not expected to generate tracks but rather only an interaction region accompanied by low energetic satellite clusters, see Fig 5.6b. Events in this sample contain only non track-like clusters.

²The mean number of tracks as function of ε in data and simulation is given in Appendix A for future reference.

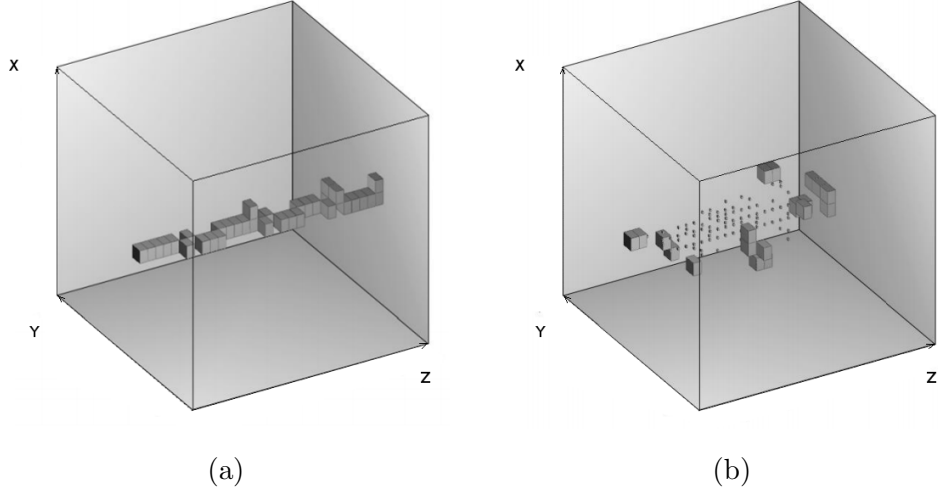


Figure 5.6: Event displays of simulated events showing a muon with an energy of 10 GeV after selection by the number of hits in Si-W ECAL (a) and a 6 GeV electron after removal of the interaction region (b).

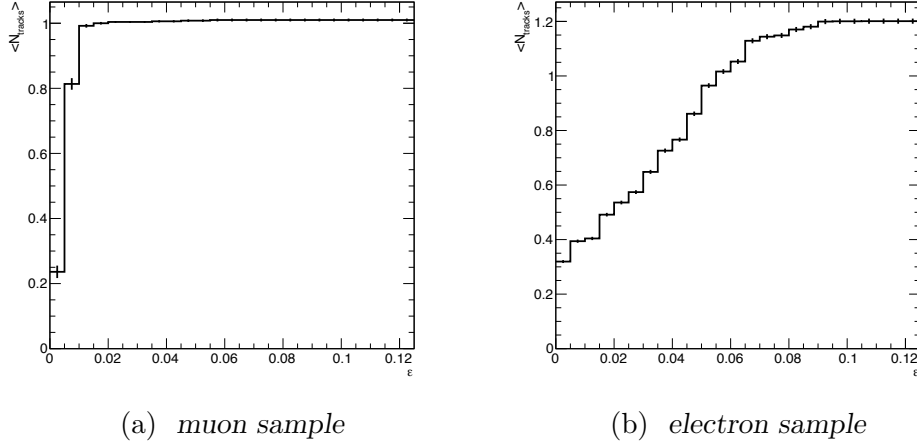


Figure 5.7: The distribution of $\langle N_{tracks} \rangle$ in simulated events as a function of ε for (a) 10 GeV μ^- after selection by the number of hits in Si-W ECAL and (b) 6 GeV electrons after removal of the interaction region .

Figure 5.7 shows the dependence of $\langle N_{track} \rangle$ on ε for the muon (Fig. 5.7a) and electron (Fig. 5.7b) samples. The lower bound ε_{low} of the ε parameter is identified with the value of ε for which $\langle N_{tracks} \rangle \simeq 1$ in the muon sample. Correspondingly, the upper bound ε_{up} is identified with that value of ε for which $\langle N_{tracks} \rangle = 1$ in the electron sample. The approximate values are:

$$\varepsilon_{low} \simeq 0.015 \text{ and } \varepsilon_{up} \simeq 0.05. \quad (5.3)$$

The empirically chosen value for ε of $\varepsilon = 0.03$ lies within these bounds.

As the algorithm is a new development it will be convenient to give the reader a feeling on the sensitivity of the results with respect to the actual choice of the ε parameter. For this the estimator

$$S_{\mathcal{O}} = \frac{\langle \mathcal{O}(\varepsilon_1) - \mathcal{O}(\varepsilon_2) \rangle}{\langle \mathcal{O}(\varepsilon_{nom}) \rangle} \quad (5.4)$$

is introduced and will be evaluated in Sec. 6 where applicable.

Further dependencies of the track-finding algorithm on the value of the cone angle of the merging algorithm, initial MIP exclusion and residual noise are expected to be small but will be addressed for the final paper.

6 Data - Monte Carlo comparison

In the following observables on the interaction region and on secondary particles as obtained in beam test data are compared with simulations based on the three GEANT4 physics lists introduced above. According to [25] the data are contaminated after the pre-selection with 8.8% double- π events at 2 GeV and as low as 1.5% at 10 GeV. The Monte Carlo samples have thus been produced with an admixture of double- π events for the comparison with the data. When averaged results are shown, correction factors will be extracted by comparing the results for contaminated samples with those from pure samples. The correction factors will be given by the means calculated from the individual correction factors extracted from the two physics lists. The uncertainty on the correction factors will constitute the systematic error and is given by the difference between the mean corrections factor and the individual correction factors. The correction factors are between 0.93 and 1 and the uncertainties are of the order of a few %. Another source of systematic uncertainty suggested in [26] that may be caused by the uncertainty on the MIP energy scale has been studied and was found to be negligible for the results presented in the following.

6.1 Energy fraction of the interaction region

An intuitive estimator to characterise the interaction of the π^- with the absorber material is the fraction f_{IR} of energy deposited in the interaction region E_{IR} over the total energy deposited in the calorimeter $E_{tot.}$. Hence, f_{IR} is defined as

$$f_{IR} = \frac{E_{IR}}{E_{tot.}} \quad (6.1)$$

Figure 6.1 shows comparisons of f_{IR} distributions between data and the three GEANT4 physics lists. The first bin of these histograms corresponds to the fraction of events for which no interaction region is found by the

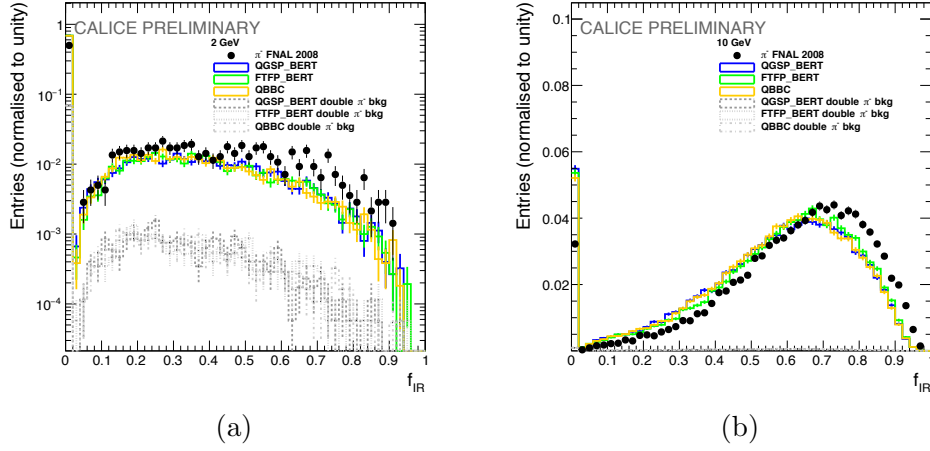


Figure 6.1: Comparison of f_{IR} between data and Monte Carlo simulations for three GEANT4 physics lists for energies of 2 (a) and 10 (b) GeV of the primary particle, respectively. The first bin contains events without a detected interaction region. All histograms are normalised to unity. Error bars represent statistical uncertainties only.

algorithm. The rest of the distribution can be briefly described by a skewed normal distribution. The mean value of f_{IR} is shifted towards larger values in data compared with the Monte Carlo simulation. Qualitatively, this observation may suggest for example a different repartition between visible and invisible energy in data and Monte Carlo.

In Fig. 6.2 the mean value of f_{IR} is shown as a function of the beam energy for beam energies of 2, 4, 6, 8 and 10 GeV. Events without a detected interaction region according to Sec. 5.1 are discarded. An increase of f_{IR} with increasing beam energy from 43% at 2 GeV to around 64% around is observed. Qualitatively this is expected as the electromagnetic component of the hadronic shower becomes increasingly dominant with increasing energy of the primary particle. In case of the QGSP_BERT and QBBC physics list the mean value is up to 20% smaller than observed in the data. The FTFP_BERT physics list changes its behaviour above 4 GeV, i.e. at the sharp transition between the Bertini cascade and the Fritiof model bringing the prediction closer to the data. The observed discrepancy between data and the predictions by the GEANT4 physics lists is consistent with an underestimation of the total energy deposition by the Monte Carlo models as reported in [25].

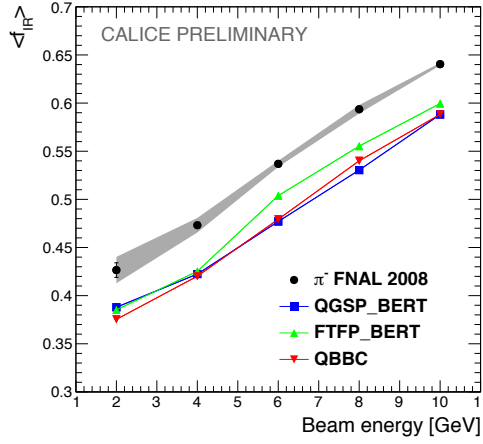


Figure 6.2: Mean fraction of energy deposition in the interaction region in Si-W ECAL for data and Monte Carlo simulations for three GEANT4 physics lists as a function of the beam energy (2 GeV to 10 GeV). Events without a detected interaction region according to Sec. 5.1 are discarded. The error bars represent statistical uncertainties and the error band the systematic error from the correction for double π events.

6.2 Lateral radius of interaction region

The lateral radius r_{IR} of the detected interaction region averaged over hits with respect to the lateral barycentre is a measure of the spatial extension of the interaction region. It is defined as:

$$r_{IR} = \frac{\sum_{hit \in IR} \sqrt{(\bar{x}_{IR} - x_{hit})^2 + (\bar{y}_{IR} - y_{hit})^2}}{N_{hits}^{IR}}, \quad (6.2)$$

where the sum runs over the hits in the interaction region, here labeled by IR , and N_{hits}^{IR} is the number of hits in the interaction region. In Eq. 6.2 \bar{x}_{IR} and \bar{y}_{IR} are the transversal coordinates of the barycentre of the interaction region that in analogy with Eq. 4.1 are defined as:

$$\bar{x}_{IR} = \frac{\sum_{hit \in IR} x_{hit} E_{hit}}{\sum_{hit \in IR} E_{hit}} \quad \text{and} \quad \bar{y}_{IR} = \frac{\sum_{hit \in IR} y_{hit} E_{hit}}{\sum_{hit \in IR} E_{hit}}, \quad (6.3)$$

Distributions of r_{IR} for data and the predictions by the three tested GEANT4 physics lists are displayed in Fig. 6.3 for energies of the primary particle of 2 GeV and 10 GeV. In both cases the measured interaction region is wider than the predictions by the GEANT4 physics lists. Figure 6.4

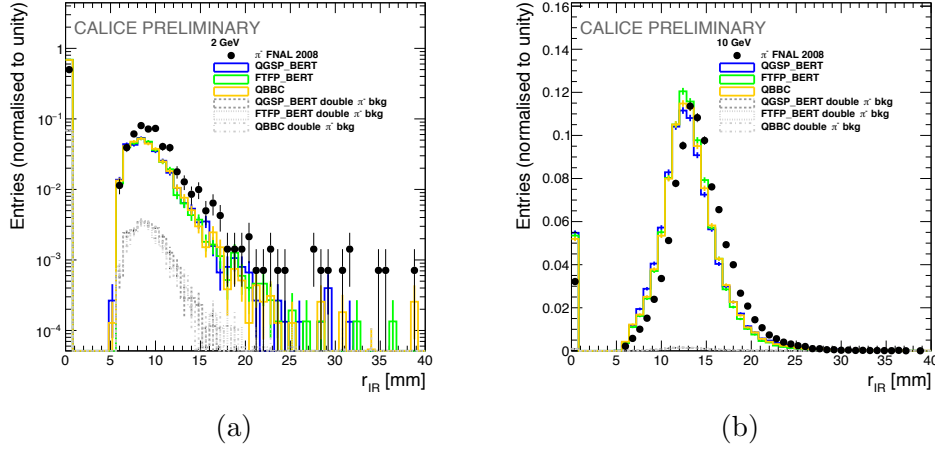


Figure 6.3: Comparison of r_{IR} distributions for data and Monte Carlo simulations for three GEANT4 physics lists for energies of the primary particle of 2 (a) and 10 (b) GeV, respectively. All histograms are normalised to unity. Error bars represent statistical uncertainties only.

displays the dependence of the mean r_{IR} , $\langle r_{IR} \rangle$, on the beam energy for the data and the three GEANT4 physics lists. Here again, events without a detected interaction region according to Sec. 5.1 are discarded. The lateral size of the interaction region increases with increasing energy of the primary particle. For all tested energies the interaction region measured in data is constantly around 10% wider than is the case of the GEANT4 physics lists that lead to identical results.

6.3 Number of clusters

As the final tracks are composed from segments that are given by clusters according to Sec. 5.2, it is instructive to study the total number of clusters ($N_{clusters}$) detected by the track-finding algorithm in the event. This observable is stable against details of the track-finding algorithm since it does not depend neither on the ε parameter value nor on other free parameters of the classification algorithm. Here and in all of the following events without a detected interaction region according to Sec. 5.1 are discarded. The $N_{clusters}$ distribution is given in Fig. 6.5 for data and Monte Carlo simulation for the three GEANT4 physics lists for energies of 2 and 10 GeV of the incoming π^- -meson, respectively. The measured distributions are slightly shifted towards higher values with respect to those obtained for the three GEANT4 physics lists.

Figure 6.6 shows the dependence of $\langle N_{clusters} \rangle$ on different beam energies for data, FTFP_BERT and QGSP_BERT Monte Carlo simulations. At all

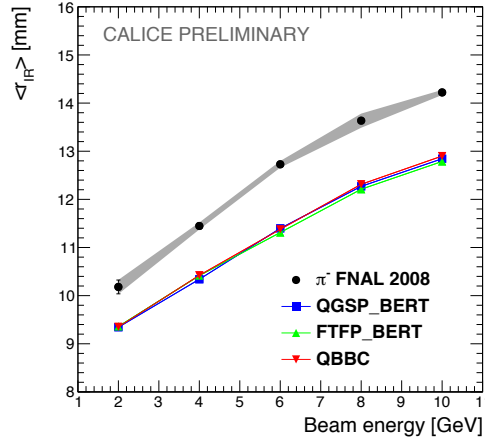


Figure 6.4: Mean r_{IR} for data and Monte Carlo simulations for three GEANT4 physics lists as a function of the beam energy. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical uncertainties only and the error band the systematic error from the correction for double π events.

energies the data are systematically above the Monte Carlo predictions with deviations of up to 7%. The agreement tends to improve with increasing energy of the primary particle and is best at 10 GeV.

6.4 Number of tracks

A central result of the track-finding algorithm is the number of secondary tracks (N_{tracks}) and observables based on their properties. The N_{tracks} distributions are given in Fig. 6.7 for data and Monte Carlo simulations based on the three tested GEANT4 physics lists for energies of 2 and 10 GeV of the incoming π^- -mesons. A remarkably good agreement between data and both physics lists can be reported, given the fact that this observable is analysed for the first time in the Si-W ECAL.

Figure 6.8a shows the dependence of $\langle N_{tracks} \rangle$ on the beam energy for data and the three GEANT4 physics lists. All three physics lists, presented in Fig. 6.8a underestimate the number of secondary tracks by 7% on average below 10 GeV and are in agreement with the data at 10 GeV.

The sensitivity to the ε parameter defined by Eq. 5.4 for $\mathcal{O} = N_{tracks}$, $\varepsilon_{1,} = 0.04$, $\varepsilon_{2,} = 0.02$ and $\varepsilon_{nom.} = 0.03$ is shown in Fig. 6.8b. Within the chosen range the number of reconstructed tracks varies by about 10% for both, data and the three GEANT4 physics lists.

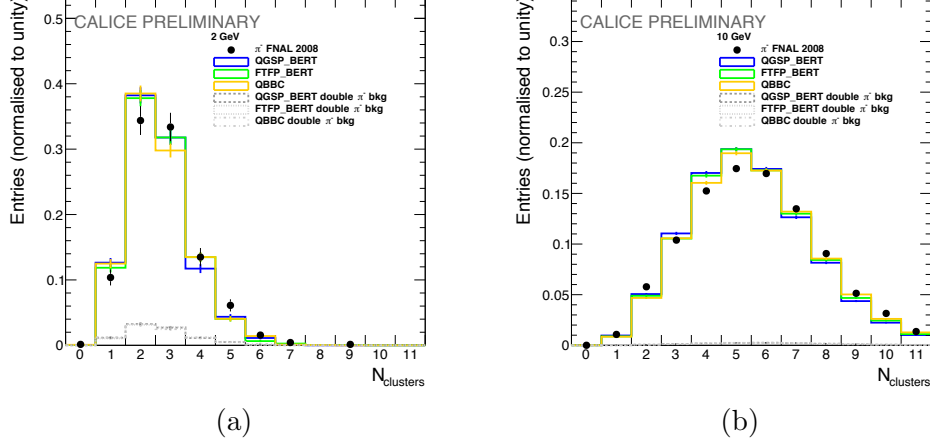


Figure 6.5: Comparison of the number of clusters found between data and Monte Carlo simulations for three GEANT4 physics lists for energies of the primary particle of 2 (a) and 10 (b) GeV, respectively. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical uncertainties only.

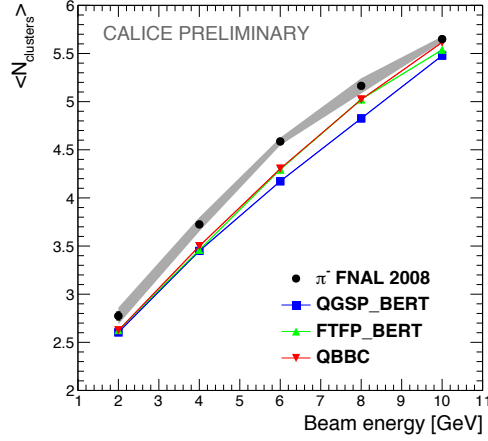


Figure 6.6: Mean number of clusters in the Si-W ECAL for data and Monte Carlo simulations for three GEANT4 physics lists as a function of beam energy (2 GeV to 10 GeV). Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars on the graph represent statistical uncertainties and the error band the systematic error from the correction for double π events.

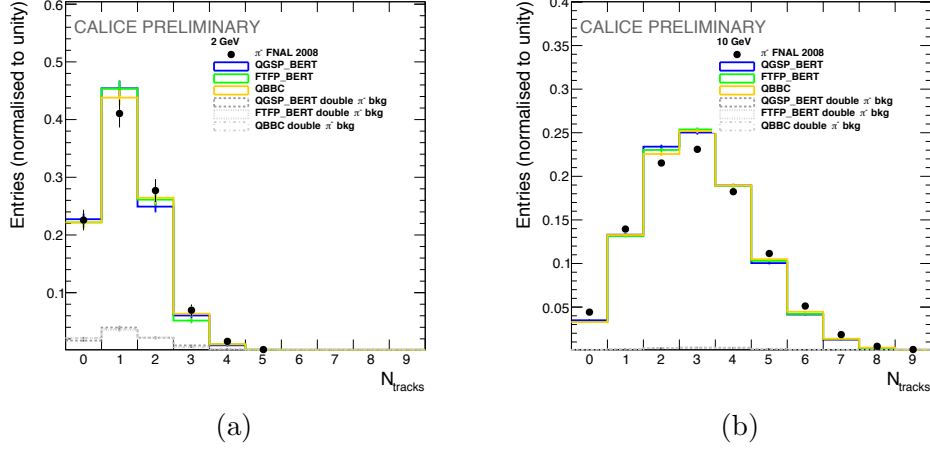


Figure 6.7: Comparison of the number of secondary tracks between data and Monte Carlo simulations for three GEANT4 physics lists for energies of the primary particle of 2 (a) and 10 (b) GeV. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical errors only.

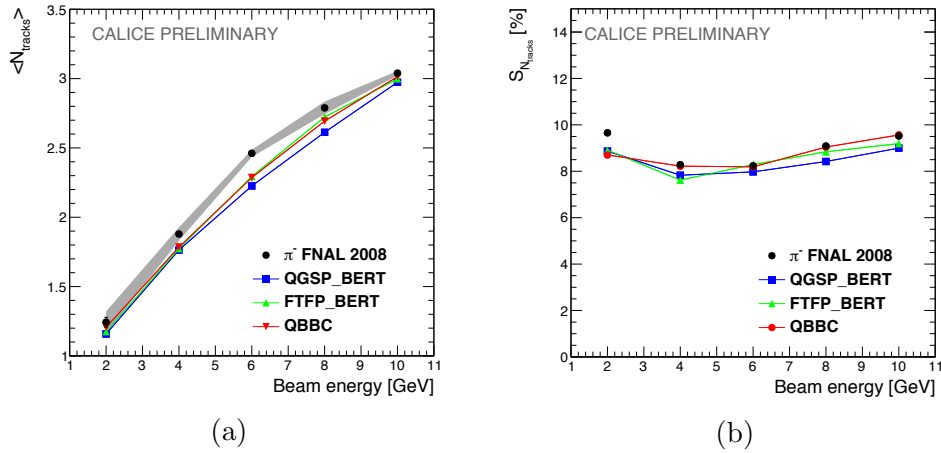


Figure 6.8: Mean number of secondary tracks $\langle N_{tracks} \rangle$ for $\varepsilon = 0.03$ (a) and the corresponding sensitivity according to Eq. 5.4 of $\langle N_{tracks} \rangle$ on the ε parameter (b) for data and Monte Carlo simulations for three GEANT4 physics lists as a function of the beam energy (from 2 to 10 GeV). The sensitivity, see Eq. 5.4, is estimated by the mean difference in N_{tracks} for $\varepsilon_1 = 0.04$ and $\varepsilon_2 = 0.02$ normalised to the result for $\varepsilon_{nom} = 0.03$. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical errors and the error band the systematic error from the correction for double π events.

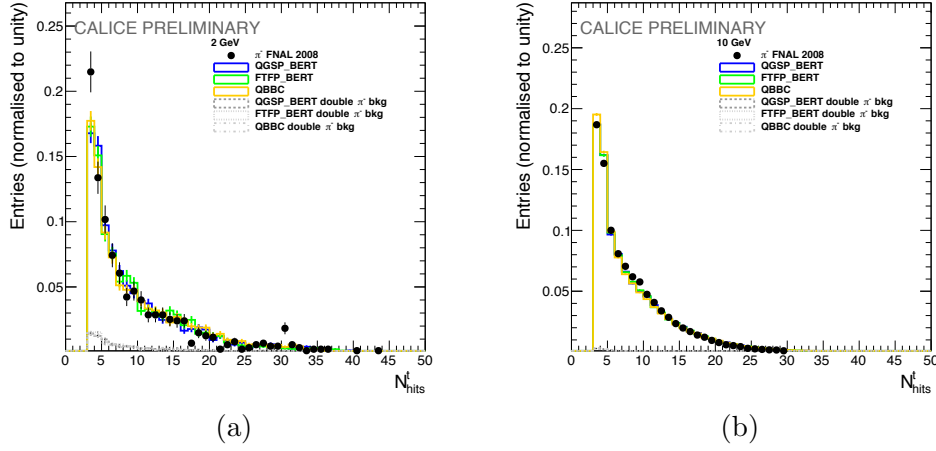


Figure 6.9: A comparison of the number of hits per reconstructed track between data and Monte Carlo simulations for three GEANT4 physics lists or energies of the primary particle of 2 (a) and 10 (b) GeV, respectively. Events without a detected interaction region according to Sec. 5.1 are discarded. The distributions are normalised to unity. Error bars represent statistical uncertainties only.

6.5 Number of hits per track

The number of hits per track N_{hits}^t is an essential feature to characterise the reconstructed tracks. The histograms of N_{hits}^t for 2 and 10 GeV beam energy are shown in Fig. 6.9. The distributions obtained for data and Monte Carlo agree in many bins within statistical errors and are therefore in good overall agreement with each other.

Figure 6.10a shows the dependence of $\langle N_{hits}^t \rangle$ on the beam energy for data, FTFP_BERT and QGSP_BERT Monte Carlo simulations. The Monte Carlo models agree with the data within 5%. For energies greater than 4 GeV both models are however systematically above the data. The sensitivity to the ε parameter as defined by Eq. 5.4 for $\mathcal{O} = N_{hits}$, $\varepsilon_1 = 0.04$, $\varepsilon_2 = 0.02$ and $\varepsilon_{nom.} = 0.03$ is shown in Fig. 6.10b. For the chosen parameter range the sensitivity increases with increasing beam energy from 1% to about 5% for both, data and the three GEANT4 physics lists.

6.6 Angular distributions

Due to the high granularity of the Si-W ECAL further tracking observables as the polar (θ) and azimuthal (ϕ) angles of secondary tracks become available. Without a magnetic field, the secondary particles from hadronic interaction undergo only multiple elastic scattering in the detector material. Therefore, the direction of the initial momentum coincides approximately

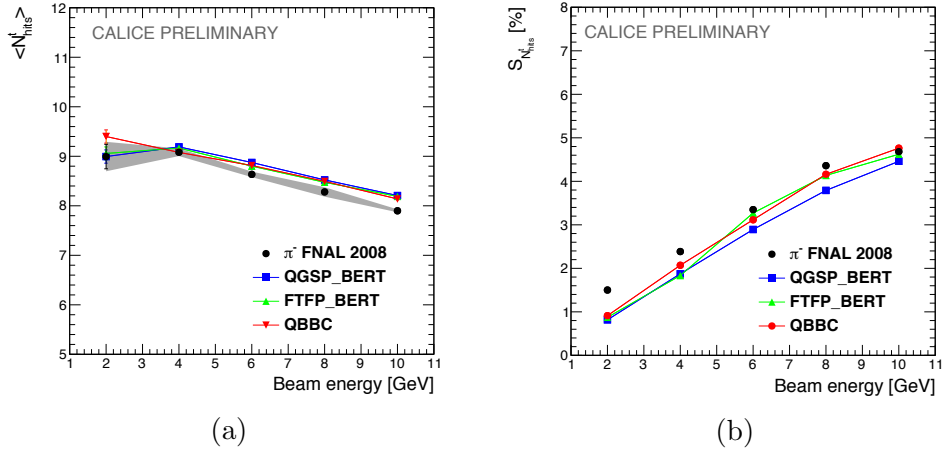


Figure 6.10: Mean number of hits per reconstructed track $\langle N_{hits}^t \rangle$ for $\varepsilon = 0.03$ (a) and the corresponding sensitivity according to Eq. 5.4 of $\langle N_{hits}^t \rangle$ on the ε parameter (b) for data and Monte Carlo simulations for three GEANT4 physics lists as a function of beam energy (from 2 to 10 GeV). Events without a detected interaction region according to Sec. 5.1 are discarded. The sensitivity, see Eq. 5.4, is estimated by the mean difference in N_{hits}^t for $\varepsilon_1 = 0.04$ and $\varepsilon_2 = 0.02$ normalised to the result for $\varepsilon_{nom} = 0.03$. Error bars represent statistical errors and the error band the systematic error from the correction for double π events.

with the direction of the track that is visible in the Si-W ECAL. Both angles are measured with respect to the z -axis in the right-handed coordinate frame defined in Sec. 4.3. The track direction is calculated from the position of the first and last hit of the track along the z -axis.

Figures 6.11 and 6.12 display histograms of the θ and ϕ angles, respectively, for 2 and 10 GeV data and simulations based on the FTFP_BERT and QGSP_BERT physics lists.

When corrected for the staggering of the detector layers in x -direction [24], the pad coordinates of the Si-W ECAL define a grid with a step width of about 1 cm in lateral direction. This leads to a discretisation of the measured track direction and, therefore, to the ϕ and θ distributions in Figs. 6.11 and 6.12. In particular, ϕ , angles that are a multiple of $\phi/4$ are privileged. For 2 and 10 GeV beam energy the GEANT4 physics lists produce tracks with a similar angular distribution and reproduce the measured distributions adequately, which gives evidence that the Si-W ECAL geometry is correctly implemented into the Monte Carlo simulation.

The mean θ angle, $\langle\theta\rangle$, that can be interpreted as a measure of the collimation of the secondary particles, as a function of the beam energy is shown in Fig. 6.13a. It can be seen that $\langle\theta\rangle$ has only a weak dependence on the beam energy but shows the tendency to decrease as expected due to the increase of the boost transferred to the secondary particles. The data are reproduced within a few % by the Monte Carlo simulations. However, in case of the QGSP_BERT physics list the collimation features a step between energies of the primary particle of 8 GeV and 10 GeV, i.e. at the transition between Bertini and LEP cascades. It seems also that the curve for FTFP_BERT physics flattens out above 4 GeV beam energy, corresponding to the transition between Bertini and Fritiof models.

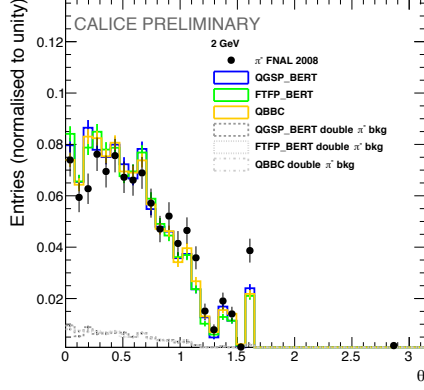
The sensitivity to the ε parameter as defined by Eq. 5.4 for $\mathcal{O} = \theta$, $\varepsilon_1 = 0.04$, $\varepsilon_2 = 0.02$ and $\varepsilon_{nom.} = 0.03$ is shown in Fig. 6.13b. In both cases the sensitivity is between 1.5% and 3%.

A further discussion on the relationship between the ε parameter, the polar angle θ and the track length l can be found in Appendix B.

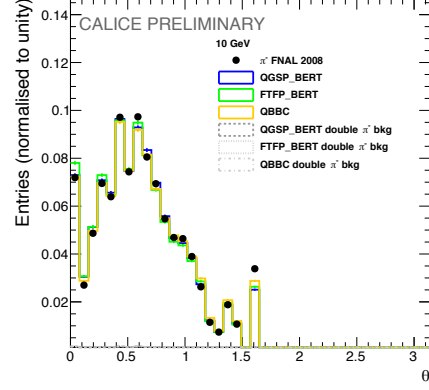
6.7 Energy deposition by secondary tracks

At energies relevant for this study, the secondaries that create sizeable tracks, cross the detector volume as minimal ionising particles. This fact may be exploited for an in-situ calibration of the detector or at least for a monitoring of the response of individual detector regions. For this purpose the following additional selection cuts are applied:

- The events are required to have more than one track and an interaction region to suppress soft inelastic scattering interaction for lower energies;

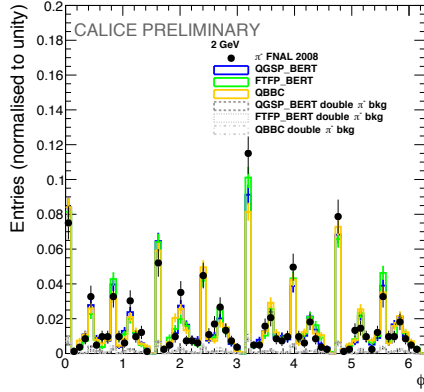


(a)

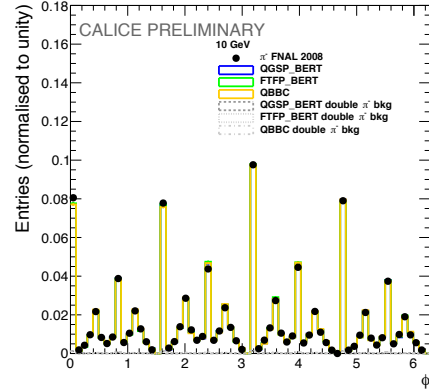


(b)

Figure 6.11: Comparison of the polar angle θ of secondary tracks found between data and Monte Carlo simulations for three GEANT4 physics lists for energies of the primary particle of 2 (a) and 10 (b) GeV, respectively. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical uncertainties only.



(a)



(b)

Figure 6.12: Comparison of the azimuthal angle ϕ of secondary tracks between data and Monte Carlo simulations for three GEANT4 physics lists for 2 (a) and 10 (b) GeV beam energies. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical uncertainties only.

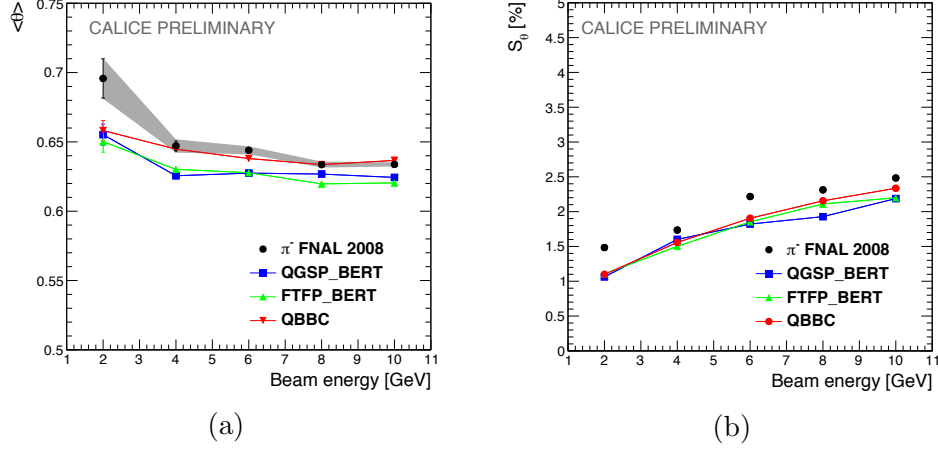


Figure 6.13: Mean polar angle $\langle\theta\rangle$ of secondary tracks for $\varepsilon = 0.03$ (a) and the corresponding sensitivity according to Eq. 5.4 of $\langle\theta\rangle$ on the ε parameter (b) for data and Monte Carlo simulations for three GEANT4 physics lists as a function of beam energy. The sensitivity, see Eq. 5.4, is estimated by the mean difference in θ for $\varepsilon = 0.04$ and $\varepsilon_{nom} = 0.02$. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical errors and the error band the systematic error from the correction for double π events.

- The reconstructed tracks should have a length $l \geq 8$ and $l/N_{hits} > 0.9$ to select long pencil-like tracks;
- the reconstructed tracks should have an polar angle $\theta < 0.3$ to reduce the angular dependence of the energy depositions.

The energy deposition by secondary tracks E_{dep}^t using data for 2 and 10 GeV beam energy is displayed in Fig. 6.14. Both distributions peak at around 1 MIP as expected for straight MIP like tracks. Overlaid is a fit of the convolution of a Landau and a Gaussian that approximates well the measured distribution. However, as can be already inferred from Fig. 6.14a, the tighter selection criteria reduce considerably the statistics at 2 GeV. As a consequence, the uncertainty on the fit is large for the 2 GeV sample. The data at 2 GeV are thus discarded in the following.

Figure 6.15 presents the dependence of the most probable value (MPV) of the energy deposition in secondary tracks on beam energy. It can be seen that the detector response is uniform within 1-2% over the energy range of the primary particles in data and that also the energy deposition by the tracks is reproduced by the Monte Carlo simulations within 1-2%.

This result is not trivial. It shows that the algorithm has indeed selected MIP-like secondary tracks since the MIP scale is expected to be indepen-

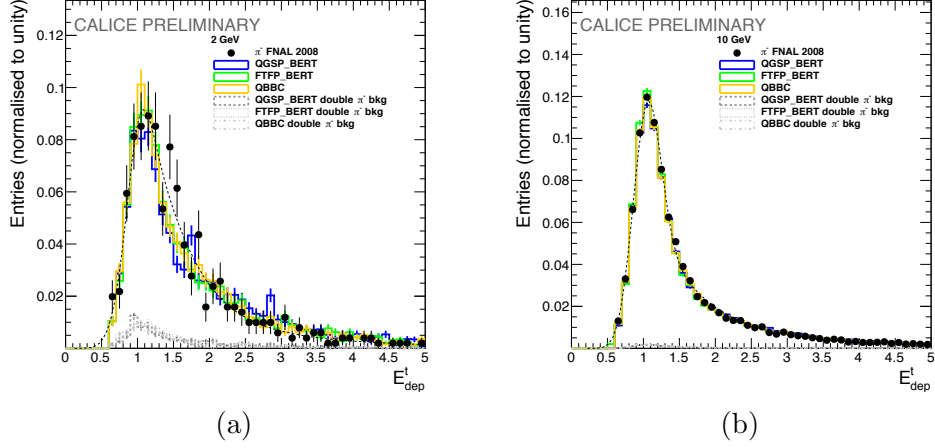


Figure 6.14: Histograms of the energy deposition in secondary tracks for the data with 2 (a) and 10 (b) GeV beam energies. The spectra are fitted by the convolution of a Landau and a Gaussian. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent statistical uncertainties only.

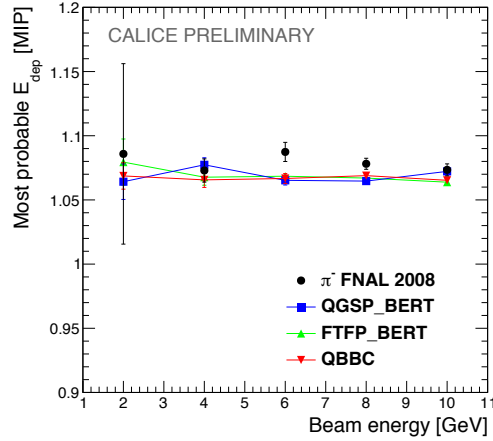


Figure 6.15: MPV of the Landau fit to the E_{dep}^t distributions of the pencil-like secondary tracks as a function of the beam energy for π^- data and three Monte Carlo samples. The MPV point the of 2 GeV data sample is excluded because of the small statistics left after selection. Events without a detected interaction region according to Sec. 5.1 are discarded. Error bars represent the statistical fit uncertainty.

dent of the underlying physics lists and the detector response should be largely independent of the energy of the primary particle.

7 Summary and outlook

This study reveals the outstanding potential of the CALICE Si-W ECAL physics prototype to obtain a detailed picture of the interactions of hadrons with matter. This section describes basic ideas and the application of a new simple track-finding algorithm for the Si-W ECAL. This algorithm allows for the reconstruction of tracks produced by secondary particles created in the interaction of hadrons with the absorber material, and hence to study the interaction region of hadronic showers in the Si-W ECAL. The track-finding algorithm produces a new set of differential observables, based on reconstructed tracks of secondary particles and the interaction region of the hadronic cascades. The results are stable w.r.t. small variations of the main parameter of the track-finding algorithm.

Data recorded in test beams at FNAL in 2008 with pions as primary particles with energies between 2 and 10 GeV are compared with predictions by the physics lists QGSP_BERT, FTFP_BERT and QBBCas contained in GEANT4 version 10.01. The accuracy with which the simulation describes the data varies with the beam energy and the chosen physics observable. In most of the cases data and Monte Carlo agree within 10% without revealing a clear preference for one of the chosen physics lists. In this context it is worthwhile to remind that the interaction region is systematically 10% wider than it is the case for the Monte Carlo simulation.

The largest source of discrepancy between data and Monte Carlo is the energy and radius of the interaction region. The measured energy deposition in the interaction region is up to 20% higher than predicted by the Monte Carlo simulation. The distributions of the number of secondary tracks and the number of hits per track for data are well described by the used physics lists. The polar angles of reconstructed tracks in the Monte Carlo simulation agree with data within 8% on average and the distribution azimuthal angles is well reproduced by the Monte Carlo simulations even in view of the non trivial detector geometry.

With respect to a more general outlook future work should aim at transferring the insights about the interaction region and the secondaries emerging from it to the optimisation of Particle Flow Algorithms.

A tighter track selection leads to clean MIP-like tracks. The detector response is stable to about 1-2% over the tested energy range with an expected good agreement with Monte Carlo simulations. This observation can be exploited in the future as a starting point for a study on the possibility of an in-situ calibration or at least a regular monitoring of the detector

by means of the selected tracks.

Part III

Heavy quark production at the ILC

8 Heavy quark phenomenology and New Physics

The mass of the top quark is comparable with the electroweak vacuum expectation value and it is much higher than weak boson masses. This fact makes the top quark a subject of many New Physics theories. The measurements of the bottom quark properties, the partner of the top quark, have revealed a deviation with the Standard Model prediction. Many Beyond Standard Model theories predict modifications of the electroweak production of the heavy quarks pairs compared to the Standard Model expectations. Therefore, precise measurements of heavy quark couplings are required for indirect searches of new particles and discrimination between various theories.

This section concentrates on the electroweak production of the top and bottom quarks pairs. Other sources of the electroweak production as e.g. single top are not covered in the thesis.

8.1 Description of the heavy quark production

In this thesis the form factor formalism, defined in [33], is adopted. Electroweak production of the fermion pairs proceeds through the $f\bar{f}X$ vertex, where X represents neutral vector bosons, photon or Z^0 boson. The current at the $f\bar{f}X$ vertex can be expressed via form factors F as

$$\Gamma_\mu^{f\bar{f}X}(k^2, q, \bar{q}) = ie\{\gamma_\mu(F_{1V}^X(k^2) + \gamma^5 F_{1A}^X(k^2)) - \frac{\sigma_{\mu\nu}(q - \bar{q})^\nu}{2m_f}(iF_{2V}^X(k^2) + \gamma^5 F_{2A}^X(k^2))\}, \quad (8.1)$$

where $k^2 = (q + \bar{q})^2$ is the four momentum squared of the exchanged vector boson, q and \bar{q} are the four vectors of the fermion f and antifermion \bar{f} and m_f is the fermion mass. Further, γ_μ and γ_5 are the Dirac matrices, and $\sigma_{\mu\nu} = i/2(\gamma_\mu\gamma_\nu - \gamma_\nu\gamma_\mu)$.

The tree level Standard Model values of the form factors are weak and electric charges:

$$F_{1V}^{f\gamma} = Q^f, \quad F_{1A}^{f\gamma} = 0, \quad F_{1V}^{fZ} = \frac{I^f - 2Q^f \sin^2 \theta_W}{2 \cos \theta_W \sin \theta_W}, \quad F_{1A}^{fZ} = -\frac{I^f}{2 \cos \theta_W \sin \theta_W}, \quad (8.2)$$

and all F_2 factor are zero. In the Eq. 8.2 I^f is the weak isospin number, $I^t = 1/2$ for top and $I^b = -1/2$ for bottom quark and Q^f is the electric charge, $Q^t = 2/3$ and $Q^b = -1/3$.

The following definition of the left-handed and right handed $Z^0 b\bar{b}$ couplings is used throughout the thesis:

$$g_L^Z = \frac{I^f - Q^f \sin^2 \theta_W}{\sin \theta_W \cos \theta_W}, \quad g_R^Z = -\frac{Q^f \sin^2 \theta_W}{\sin \theta_W \cos \theta_W}. \quad (8.3)$$

In case of polarized beams, the fermion form factors can be expressed in terms of the helicity of the initial electrons [33]:

$$\mathcal{F}_{ij}^L = -F_{ij}^\gamma + \frac{-1/2 + \sin^2 \theta_W}{\cos \theta_W \sin \theta_W} \frac{s}{s - M_Z^2 + i\Gamma_Z M_Z} F_{ij}^Z, \quad (8.4)$$

$$\mathcal{F}_{ij}^R = -F_{ij}^\gamma + \frac{\sin^2 \theta_W}{\cos \theta_W \sin \theta_W} \frac{s}{s - M_Z^2 + i\Gamma_Z M_Z} F_{ij}^Z \quad (8.5)$$

where $i = 1, 2$ and $j = V, A, M_Z$ and Γ_Z are the Z^0 boson mass and width, respectively.

The key expression for the studies is the differential cross section of $f\bar{f}$ production for electron beam polarization $I = L, R$, expressed via the defined form factors:

$$\begin{aligned} \frac{d\sigma^I}{d\cos\theta} = & \frac{3}{4} \mathcal{A} N_c \beta [(1 + \cos^2 \theta) ((\mathcal{F}_{1V}^I + \mathcal{F}_{2V}^I)^2 + (\beta \mathcal{F}_{1A}^I)^2) - \\ & - 4 \cos \theta (\mathcal{F}_{1V}^I + \mathcal{F}_{2V}^I) \beta \mathcal{F}_{1A}^I + \\ & + \sin^2 \theta [\gamma^{-2} (\mathcal{F}_{1V}^I + \gamma^2 \mathcal{F}_{2V}^I)^2]] \end{aligned} \quad (8.6)$$

where $\mathcal{A} = 4\pi\alpha^2/3s$ with α as the electromagnetic running coupling, N_c is the number of quark colors, β and γ are the velocity and the Lorentz factor of the produced fermion, respectively.

One can derive from (8.6) the total cross section, a common observable, which can be expressed as

$$\sigma_{total}^I = 2\mathcal{A} N_c \beta [(1 + \frac{1}{2}\gamma^{-2})(\mathcal{F}_{1V}^I)^2 + (\beta \mathcal{F}_{1A}^I)^2 + 3\mathcal{F}_{1V}^I \mathcal{F}_{2V}^I + (1 + \frac{1}{2}\gamma^2)(\mathcal{F}_{2V}^I)^2], \quad (8.7)$$

The term $\beta \mathcal{F}_{1A}^I$ describes the reduced sensitivity to the axial form factors near the $f\bar{f}$ production threshold.

Another typical observable is the forward-backward asymmetry, which is defined as

$$A_{FB}^I = \mp \mathcal{A} N_c \frac{3\beta \mathcal{F}_{1A}^I (\mathcal{F}_{1V}^I + \mathcal{F}_{2V}^I)}{\sigma_{total}^I}. \quad (8.8)$$

This observable has a large sensitivity to the axial form factor \mathcal{F}_{1A}^I , therefore it is crucial to measure A_{fb}^I precisely to reduce uncertainty on the corresponding form factors.

8.2 Observables of interest

The conventional approach used to estimate the couplings or form factors of the fermion f to the vector bosons γ/Z^0 is to measure the total cross section σ_I and forward-backward asymmetry A_{FB} .

The total cross section is measured knowing the number of accepted events N , selection efficiency ϵ and integrated luminosity \mathcal{L}_{total}

$$\sigma_{total} = \frac{N}{\epsilon \mathcal{L}_{total}}, \quad (8.9)$$

The corresponding uncertainty on the cross section is determined by the knowledge of the machine luminosity and the statistics of the signal:

$$\left(\frac{\delta\sigma_{total}}{\sigma_{total}}\right)^2 = \left(\frac{\delta N}{N}\right)^2 + \left(\frac{\delta\mathcal{L}_{total}}{\mathcal{L}_{total}}\right)^2. \quad (8.10)$$

The beams of the e^+e^- colliders, like ILC, will not be fully polarized, therefore one should take into account the electron beam polarization degree \mathcal{P} and positron polarization degree \mathcal{P}' . The expression for the total cross section is then

$$\sigma_{\mathcal{P}\mathcal{P}'} = \frac{1}{4}[(1 - \mathcal{P}\mathcal{P}')(\sigma_{LR} + \sigma_{RL}) + (\mathcal{P} - \mathcal{P}')(\sigma_{RL} - \sigma_{LR})], \quad (8.11)$$

where the indices L and R indicate full polarization of the incoming electron and positron beams of left-handed or right-handed helicity, respectively.

The forward-backward asymmetry is an observable, which counts the difference between the number of events in the forward region and backward regions:

$$A_{FB} = \frac{\sigma(\cos\theta > 0) - \sigma(\cos\theta < 0)}{\sigma(\cos\theta > 0) + \sigma(\cos\theta < 0)}. \quad (8.12)$$

The statistical uncertainty on the A_{FB} as for a simple counting experiment is given by

$$\delta A_{FB} = \sqrt{\frac{1 - A_{FB}^2}{N}}, \quad (8.13)$$

where N is the number of reconstructed events. The influence of irreducible background is considered as a systematic uncertainty.

8.2.1 New Physics influence

The extradimensional extensions of the Standard Model like Randall-Sundrum models [34] are able to provide an explanation to the fermion mass hierarchy, and have additional weak boson excitations. These theories have additional weak bosons Z' or W' with a mixing to the Standard Model bosons, which can modify the electroweak couplings of the heavy quarks.

The possible compositeness of heavy quarks can also leave an imprint on the their electroweak couplings. These models can have different impact on the left-handed or right handed couplings on the electroweak couplings of the heavy quarks.

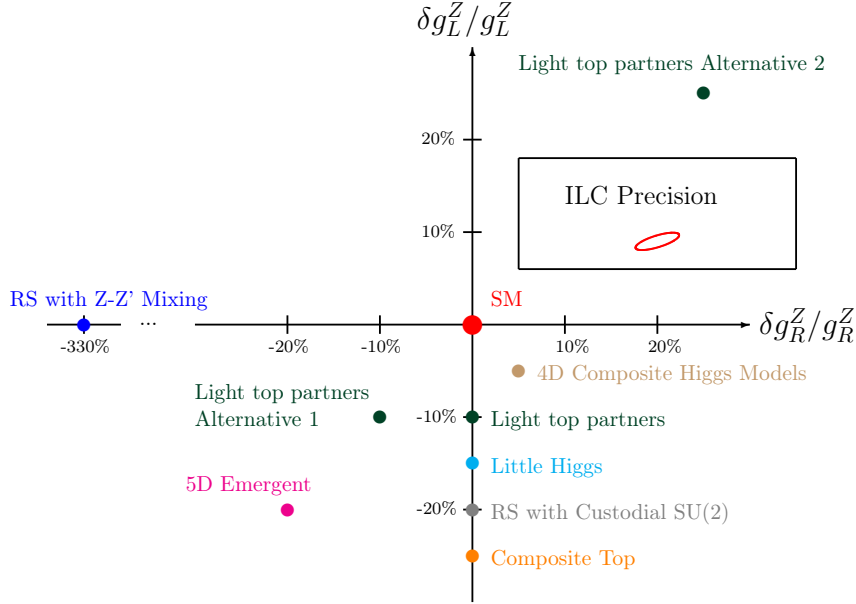


Figure 8.1: Predictions of several models that incorporate Randall-Sundrum (RS) models and/or compositeness or Little Higgs models on the deviations of the left- and right-handed couplings of the t quark to the Z^0 boson. The ellipse in the frame in the upper right corner indicates the precision that can be expected for the ILC running at a center-of-mass energy of $\sqrt{s} = 500 \text{ GeV}$ after having accumulated $\mathcal{L} = 500 \text{ fb}^{-1}$ of integrated luminosity shared equally between the beam polarizations $P(e^-), P(e^+) = \pm 0.8, \mp 0.3$. The original version of this figure can be found in [35].

The relative deviations of the left-handed and right-handed couplings of the top quark are predicted by various BSM theories are shown in Fig. 8.1. A precise measurement of the top quark couplings allows for identification of the particular BSM theory.

8.3 Status of the measurements and simulation studies

8.3.1 Measurements at LEP and SLC

The total cross sections of various Standard Model processes for the e^+e^- machines are shown in Fig. 8.2.

The circular LEP I and the linear SLC colliders operated at the Z^0 pole, where the e^+e^- cross section is maximal as seen from Fig. 8.2. The measurements resulted in extremely precise b-quark fraction R_b and the b-quark forward-backward asymmetry A_{FB}^b measurements. The measured R_b value, which is the ratio of b-quark cross section over total hadronic cross section, has full compatibility with the Standard Model prediction. On the other hand, the measured b-quark forward-backward asymmetry A_{FB}^b , dominated by the LEP I precision, has 2.5σ deviation with the recent electroweak fit predictions [13].

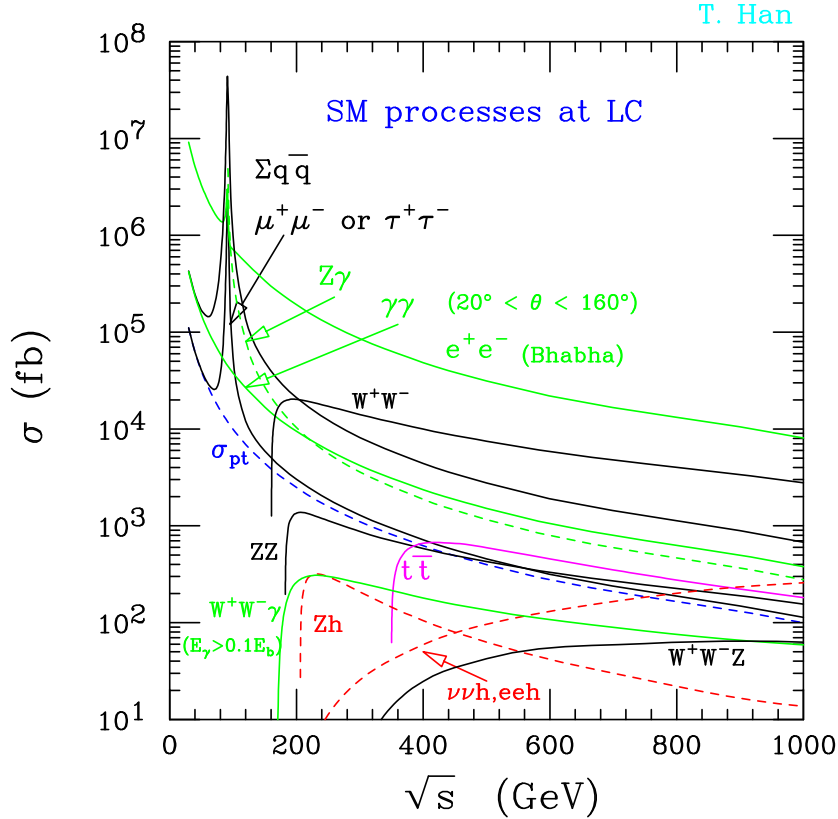


Figure 8.2: Tree-level cross sections of major Standard Model processes at linear colliders as function of center-of-mass energy assuming no polarization of the initial state [36].

The measurements outside Z^0 pole were carried out within LEP II pro-

gramme, which collected the most integrated luminosity at around 190 GeV energy, at the W^\pm -pair production threshold. The measured A_{FB}^b at this center-of-mass energy agrees at 2σ level with the Standard Model prediction.

The polarized initial state at the SLC allowed to introduce the left-right asymmetry $A(SLD)$. This observable measures the difference between the left-handed and the right-handed initial state polarization cross sections. The measured value of the left-right asymmetry for leptons $A_l(SLD)$ has 2σ deviation from the Standard Model prediction [13]. The computed values of $\sin^2\theta_W$ using A_{FB}^b from LEP and $A_l(SLD)$ differ significantly.

The precise determination of the $Zb\bar{b}$ vertex at LEP I and SLC experiments allowed to put constraints on the top quark and Higgs boson masses. The LEP measurement allowed to predict the top quark mass in the region of $133\text{ GeV} < m_t < 190\text{ GeV}$ and the Higgs boson mass $10\text{ GeV} < m_H < 440\text{ GeV}$ [37], which were later confirmed by the TeVatron and the LHC experiments.

8.3.2 LHC and TeVatron measurements

The composite nature of colliding particles at the hadron colliders privileges the production of the top quark pairs through the strong interaction via high cross section $gg \rightarrow t\bar{t}$ or $q\bar{q} \rightarrow t\bar{t}$ processes. Therefore, the hadron machines can measure the top quark mass as a cross section parameter with a high precision.

The TeVatron has a high production rate of the single top-quark via $q\bar{q}' \rightarrow W^\pm \rightarrow t\bar{b}$ process. This process involve $t\bar{b}W$ vertex and therefore, its total cross section depends on the weak couplings of the top quark. The measurement of the single top cross section at TeVatron is found to be consistent with the Standard Model predictions [38] with about 16% uncertainty.

The measurements of the forward-backward asymmetry at TeVatron [39] and the charge asymmetry at the LHC [40] of the strong $t\bar{t}$ production are consistent with the Standard Model expectations.

To measure the electroweak couplings of the top quark at the LHC, the associate production of top quark pair with Z^0 or W^\pm bosons is required, which has a lower cross section, than the $t\bar{t}$ production process. Study of the $t\bar{t}Z^0$ process has been done at the LHC experiments using Run I data with $\sqrt{s} = 8\text{ TeV}$. It shows compatible rates of the signal process with the Standard Model, but more statistics is required to measure the cross section precisely [41]. The cross section fit results for the $t\bar{t}Z^0$ and $t\bar{t}W^\pm$ processes are shown in Fig 8.3. Including the Run II data of the LHC with a higher center-of-mass energy will significantly improve $t\bar{t}Z^0$ measurement.

The study of the b-quark electroweak couplings is very limited at hadron

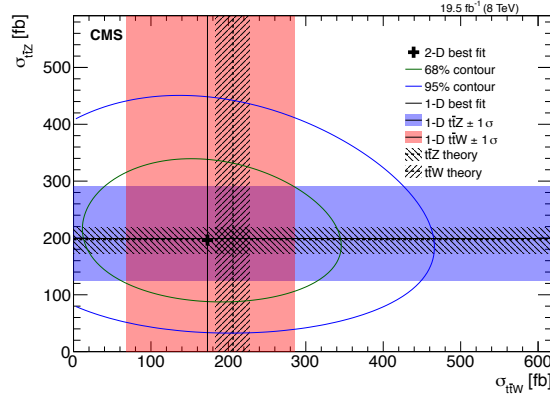


Figure 8.3: The result of the two-dimensional best fit for $t\bar{t}W^\pm$ and $t\bar{t}Z^0$ cross sections (cross symbol) is shown along with its 68 and 95% confidence level contours by the CMS experiment. The result of this fit is superimposed with the separate $t\bar{t}W^\pm$ and $t\bar{t}Z^0$ cross section measurements, and the corresponding 1 standard deviation (1σ) bands, obtained from the dilepton, and the trilepton/four-lepton channels, respectively. The figure also shows the predictions from theory and the corresponding uncertainties. [41].

colliders. The possible $Z^0 b\bar{b}$ associate production study at the LHC can provide only the cross section magnitude value, which has been measured at LEP I to have no deviation from the Standard Model prediction. Top quark have never been studied at the e^+e^- colliders, benefiting from a direct electroweak production and a high signal over background ratio. Hence, precise measurements of the heavy quark electroweak couplings are left for future e^+e^- machines.

8.3.3 Future linear colliders

The acceleration technologies available today allow for the construction and the running of a linear electron-positron collider at center-of-mass energies well above top pair production threshold. The clean environment of the linear colliders allows detection and reconstruction of all Standard Model decay modes of the top quark: fully leptonic, semileptonic and fully hadronic decays.

As can be seen from expression of the differential cross section (8.6), the sensitivity to axial form factors is proportional to the fermion velocity β , therefore, the higher beam energies, like 500 GeV stage at the ILC are preferred for a study of electroweak top quark couplings. The b-quark coupling analysis can be done at all center-of-mass energies, scheduled at the ILC project.

The first top quark electroweak coupling analysis at the ILC was pub-

lished in Ref. [35], where the uncertainties on the form factors (8.2) and the couplings (8.3) were estimated using the ILD environment.

The semileptonic top decays were used in [35], where a lepton from leptonic top quark decay $t \rightarrow b\bar{\nu}_l$ provides the charge information, and jets from hadronic top quark decays $t \rightarrow bq\bar{q}'$ are used for top polar angle reconstruction. It was found that, an accidental assignment of the hadronic W^\pm jets to the b-jet from leptonic top quark decays can happen. This mistake amounts to flip the value of $\cos\theta_{top}$ into $-\cos\theta_{top}$, which lead to a large deviation from the generated polar angle distribution, as shown in Fig. 8.4a. This effect is called an event migration problem. Only the left-handed electron beam distribution is affected due to the W^\pm boson kinematics, as explained in [35].

The study of the electroweak top couplings at CLIC was done at $\sqrt{s} = 380$ GeV [42]. This study confirms the event migration effect.

There are two possible ways to remedy the migration effect problem - by doing a kinematical cut on χ_{top}^2 , which is a measure of hadronic top reconstruction quality, or by finding a correct combination of lepton charge with the b-quark charge. The main advantage of the combination with the b-quark charge is that this method do not require precise knowledge of the top quark decay kinematics, as it is needed for the χ_{top}^2 cut method. The reconstructed top polar angle distribution for semileptonic decay of the top quark pair is shown in Fig. 8.4, where one sees the W^\pm lepton migration effect caused by the W^\pm kinematics and the resolution of the problem by the kinematical cut on χ_{top}^2 in Fig. 8.4b.

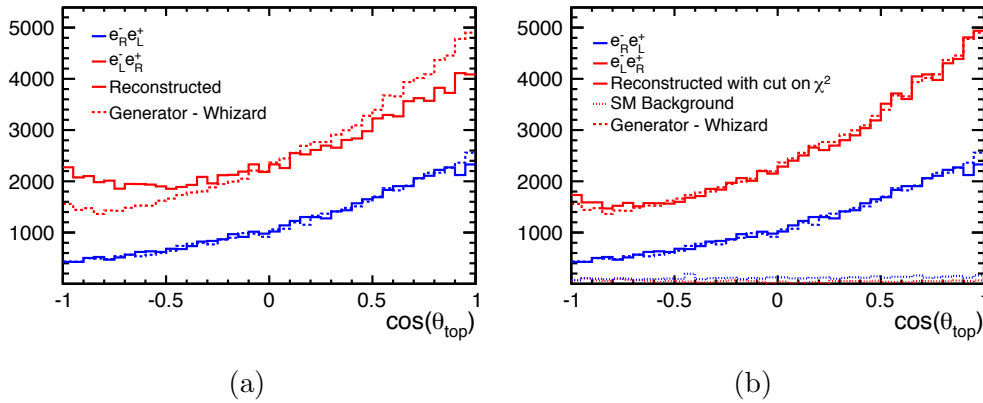


Figure 8.4: Reconstructed top quark polar angle before (a) and after χ_{top}^2 cut (b) distributions compared with the prediction by the event generator for two configurations of the beam polarizations [35].

The first attempt to use the quark charge technique in fully hadronic $t\bar{t}$ decays was done by [43], but it required large simulation-dependent corrections. Hence, one needs to investigate the reasons of inefficiency and

impurity of the quark charge measurement in the ILD environment and propose a method to fix the problems.

The development of the b-quark charge measurement will increase the statistics for semileptonic $t\bar{t}$ channel, open access to the fully hadronic $t\bar{t}$ decay channels without any Monte-Carlo corrections and, moreover, it will allow a study of electroweak coupling of the bottom quark, which have never been done using the ILC environment.

9 B-quark charge reconstruction

An information about the bottom quark charge is useful for all Standard Model processes, where b-jets appear as decay products. In this thesis it is used for quark polar angle reconstruction of $e^+e^- \rightarrow t\bar{t}$ and $e^+e^- \rightarrow b\bar{b}$ processes. The polar angle spectrum is used to determine the quark couplings. This requires a high purity and a high efficiency of the b-quark charge reconstruction, which is an ultimate challenge for every reconstruction algorithm and all subdetectors of the experiment.

One of the main goals of this thesis is to develop a method of the b-quark charge measurement with high purity and efficiency using the full simulation of the ILD experiment. To do so, first, it is necessary to measure the performance of the standard reconstruction algorithm and find sources of charge impurities, and then develop an algorithm to improve it.

9.1 Setup of the study

All studies in this and the next chapters are done using full simulation of the baseline ILD experiment. The b-quark charge measurement study uses $e^+e^- \rightarrow b\bar{b}$ at $\sqrt{s} = 250$ GeV and the semileptonic decay mode of the $e^+e^- \rightarrow t\bar{t}$ at $\sqrt{s} = 500$ GeV processes generated using WHIZARD 1.95 event generator. The hadronization of the quarks and gluons is done by the PYTHIA 6.205 event generator. The distributions of the b-hadron momentum, generated by PYTHIA for the 250 GeV $b\bar{b}$ and the 500 GeV $t\bar{t}$ pairs are shown in Fig. 9.1. The distributions have different peak energies, but the energy range is the same. Further analysis shows, that this difference has small impact on the performance of the quark charge reconstruction.

The $B^0 - \bar{B}^0$ oscillations are enabled in the PYTHIA simulation. The ILD detector layout, the interaction of particles with the detector material and the detector response are simulated by the MOKKA framework, that provides the geometry interface to the GEANT4 toolkit.

All reconstruction algorithms, along with the MOKKA framework are part of the ILCSoft software toolkit. The modular structure of the ILCSoft allows for independent execution of each reconstruction algorithm.

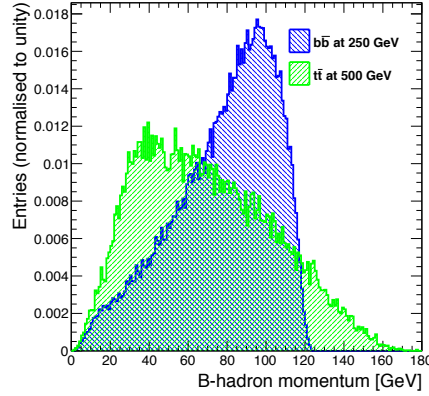


Figure 9.1: *Generated b -hadron momentum by PYTHIA for $b\bar{b}$ and $t\bar{t}$ pair production processes signal processes.*

The most relevant standard reconstruction algorithms of the ILCSoft for the b -quark charge measurement are described below:

- The MarlinTrk package organizes the hits, created by particles in the ILD trackers into reconstructed tracks. The track parametrization is described in [44].
- The PandoraPFA package is responsible for the clusterization of the calorimeter hits and creation of the Particle Flow Objects. The track covariance matrix is used to compute the covariance matrix of the reconstructed particle momentum;
- The primary and secondary vertex reconstruction is done by the Linear Collider Flavour Identification Plus or LCFI+ algorithm [45].

Each reconstructed track by the MarlinTrk algorithm has 5 parameters and the corresponding associated covariance matrix with 15 parameters; The most important for the thesis are the impact parameters d_0 and z_0 , which are the transverse and longitudinal distance between the point of the closest approach to the reference point $(0, 0)$, respectively. The corresponding uncertainties are σ_{d_0} and σ_{z_0} , respectively.

The jet clustering algorithms can be configured and launched according to the analysis requirements. The flavor-tagging at the ILD experiment will serve to separate out jets from bottom and charm quarks from the light quark or gluon jets, the corresponding separation variables are called b - and c -tagging, respectively. The flavor-tagging algorithm within the LCFI+ package provides b - and c -tagging information for each reconstructed jet.

9.2 Bottom quark topology

The charge of the b-quark can be derived from the properties of the b-hadron and its decay products.

The b-quark hadronization modes are displayed in Table 7.

	Branching ratio	$c\tau$
B^- meson	$40.4 \pm 0.6\%$	$450 \mu\text{m}$
B^0 meson	$40.4 \pm 0.6\%$	$455.4 \mu\text{m}$
B_s^0 meson	$10.3 \pm 0.5\%$	$453.3 \mu\text{m}$
b-baryon	$8.9 \pm 1.3\%$	$\approx 447 \mu\text{m}$

Table 7: *Hadronization modes of the b-quark and the hadron proper lifetime τ multiplied by the speed of light c [19].*

These branching fractions are approximate and may have a dependency on the initial and final state kinematic and production environment [19]. However, the presence of the B_s^0 meson increase the number of the neutral hadronization modes, which will cause additional complications for the charge measurement. The illustration of the b-quark hadronization and common decay modes are given in Fig. 9.2.

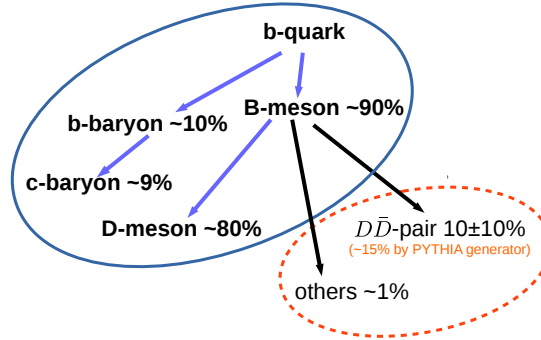


Figure 9.2: *The illustration of the b-quark hadronisation and decay modes with the corresponding decay rates in percent. Modes in the red circle are not tracked by the TruthVertexFinder.*

Due to the Lorentz boost given by the initial b-quark energy, the b-hadron can travel several millimeters before its decay. Due to this flight distance, the charged particles from the b-hadron decays will have an offset

with respect to the primary interaction point, which is the main signature of the b-quark jets.

The B meson have D^0 or D^\pm meson decay modes of about 80% decay rate, mediated by the weak interaction. The charmed D mesons have a mean lifetime of $c\tau \approx 120 - 300 \mu\text{m}$, which gives a possibility to the charmed mesons to travel away from the initial B meson decay vertex. Hence, one expects to detect two vertices from one b-jet in most of the cases: the secondary, which corresponds to the b-hadron decay, and the tertiary vertex created by the c-hadron decays.

The K^\pm mesons from B meson decays are the end products of the $b \rightarrow c \rightarrow s$ decay chain mediated by the weak interaction. The K^\pm mesons have a long lifetime with $c\tau = 3.7 \text{ m}$ and a high mass of 493.6 MeV comparing with another long-lived charged particles from the B meson decays, like pions or leptons. Hence, it is possible to identify kaons by their energy deposition in the detector, which depend on particle mass. In the generator, about 87% of b-hadrons are set to have correlated K^\pm charge in the generator, which makes the K^\pm charge a reliable indication of the initial b-quark charge. The kaon charge was used to determine the b-quark charge at the SLC [46] and the LEP experiments [47]. On contrary, the b-baryons tend to produce protons, which have an opposite sign of charge to the initial b-quark charge.

9.2.1 Generated vertices

The output of the event generators is a list of generated particles with parent-child relations. The TruthVertexFinder algorithm was developed to find the generated vertices. This algorithm detects the generated b-hadrons, finds the related charged particles, which can leave reconstructable tracks and organizes them into the generated secondary or tertiary vertices.

The high-energy b-quarks can hadronize into excited states of the b-hadrons, which can decay into their ground state by emitting charged or neutral pion. The charged pion from the excited b-hadrons can distort the charge multiplicity distributions, leading to an incorrect comparison with the reconstructed vertices. Therefore, the TruthVertexFinder selects only ground state hadrons within a decay chain.

The TruthVertexFinder finds vertices from b-hadron decays and subsequent c-hadron decay vertices. The distributions of generated b- and c-vertices are displayed in Fig. 9.3. The c-vertex multiplicity distribution is consistent with [19]. One notices that about 31% of the b-vertices and 13% of the c-vertices decay into one generated prong, which poses a challenge to the vertexing algorithms. This problem will be addressed in Sec. 9.3.2.

The particle offset or the impact parameter is the minimal distance between the particle trajectory and the interaction point, as illustrated in

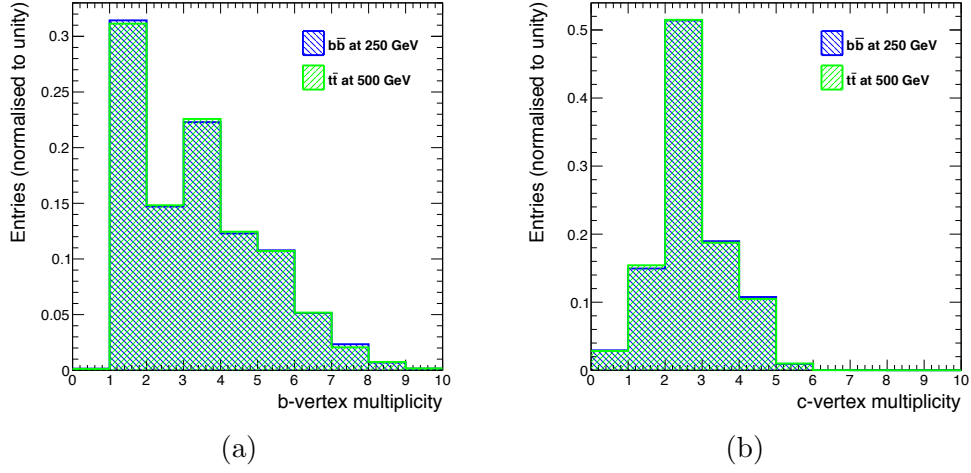


Figure 9.3: Distributions of the b -vertex charge multiplicity (a) and c -vertex charge multiplicity (b).

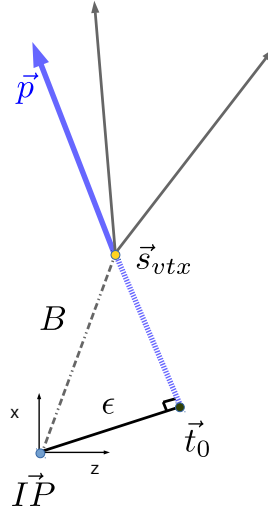


Figure 9.4: Illustration of particle offset variable ϵ , where \vec{p} is a vector of a given particle momentum, B is a flight distance of a b -hadron, IP is a primary vertex position, t_0 is a point of the closest approach of a given particle.

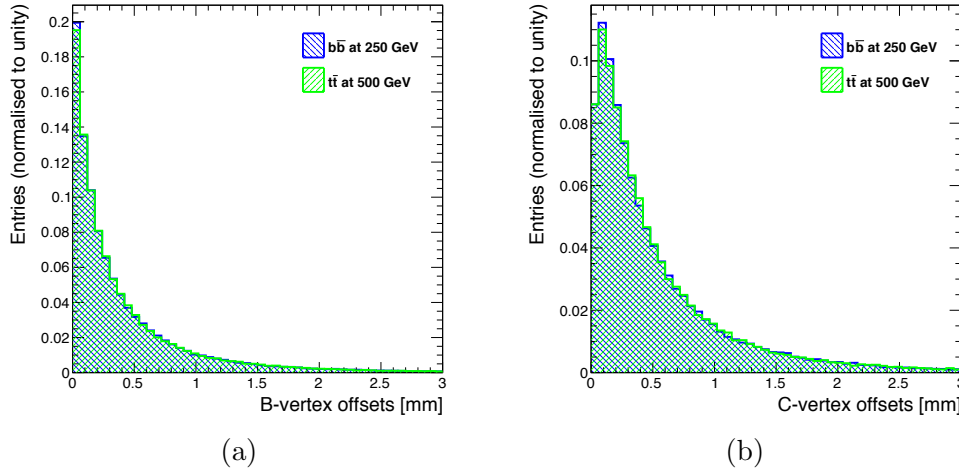


Figure 9.5: *Distributions of the b-vertex (a) and c-vertex prong offsets (b).*

Fig. 9.4. This is the main observable used by the vertex reconstruction algorithms. The offset distributions of the generated b-vertex and c-vertex prongs are shown in Fig. 9.5. Majority of the generated prongs have large offsets and an average momentum of ~ 9 GeV, which is above the ILD impact parameter resolution of $5 \mu\text{m}$. As can be seen from Fig. 9.5, the c-vertex prong offsets are larger than b-vertex prong offsets, because of the additional distance traveled by c-hadron from the b-hadron decay point.

The distributions of the total B-hadron charge multiplicity and the b-jet multiplicity are displayed in Fig. 9.6. The mean b-jet multiplicity is almost three times higher than the mean B-hadron multiplicity, which makes the vertex reconstruction a non trivial task. The imbalance between the odd and even number of multiplicities shown in Fig. 9.6a is caused by the presence of the B_s^0 hadronization modes.

Regarding the similarity of the distributions for two processes shown in Figures 9.3, 9.6 and 9.5, the performance of a vertexing algorithm should be identical for the same energy and the direction of the b-hadron decays.

9.3 Standard vertex reconstruction in the ILD

The LCFI+ package finds the secondary and tertiary vertices and tags the b- and c-jets using the charged particles among the Particle Flow objects. It has several stages of the vertex reconstruction and flavor-tagging, which are implemented in the following algorithms:

- PrimaryVertexFinder finds the position of the primary interaction point and the corresponding charged particles;
- BuildUpVertex forms the reconstructed vertex candidates, which can

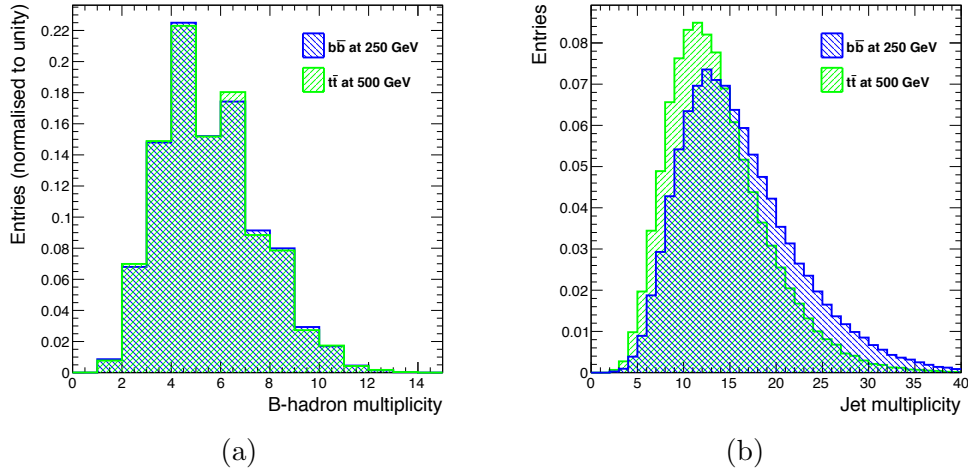


Figure 9.6: *Left: Distribution of the b -hadron charge multiplicity. The odd multiplicities correspond to the charged hadrons and the even multiplicities correspond to the neutral hadron decays. Right: Distribution of the reconstructed b -jet multiplicities for two processes.*

have two or more associated charged particles, referred in the text as reconstructed prongs;

- JetVertexRefiner finds vertices with only one prong using the reconstructed vertex candidates and it organizes the vertex candidates into maximum two reconstructed vertices per jet.
- FlavorTag algorithm calculates b -tag and c -tag values for a jet, using the finalized jet vertices from the JetVertexRefiner. The b -tag and c -tag values are the measure of b - and c -likeness of a jet, respectively, both observables have values from 0 to 1. Performance of the flavor-tagging algorithm is shown in Fig. 9.7.

In this section, only the standard reconstruction chain is used to study the b -quark charge measurement.

The b -quark charge is computed as a sum of the reconstructed particles charges, associated to the reconstructed vertices, which belong to a given jet. Therefore, the vertexing algorithm should correctly associate all secondary or tertiary vertex particles to have a correct b -quark charge measurement. The correctly reconstructed b -quark charge should have the same charge as the generated b -hadron.

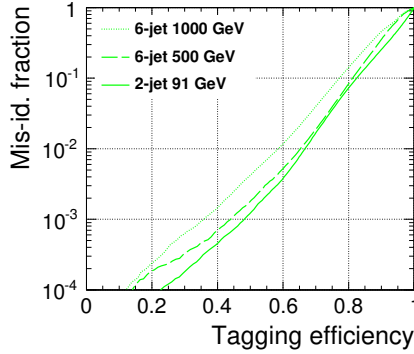


Figure 9.7: The tagging efficiency is shown for b -jets with the mis-identification fraction evaluated using c -jets. Solid lines correspond to the case of 2-jet events at $\sqrt{s} = 91$ GeV. Dashed lines correspond to the case of 6-jet events at $\sqrt{s} = 500$ GeV. Dotted lines correspond to the case of 6-jet events at $\sqrt{s} = 1000$ GeV [45].

9.3.1 B-quark charge purity: State of the art

The charge purity P_B is defined as the number of correctly reconstructed b -quark charges $N_{correct}$ divided by the total number of jets N_{total} :

$$P_B = \frac{N_{correct}}{N_{total}}. \quad (9.1)$$

The previous studies carried out for the fully hadronic $t\bar{t}$ decays [48] have shown that the total b -quark charge purity P_B is about 60%. The total b -quark charge for the considered $b\bar{b}$ process is $P_B(b\bar{b}) = 66\%$ and for semileptonic decay of the $t\bar{t}$ pair is $P_B(t\bar{t}) = 64\%$. The small difference between these values is caused mostly by the difference in the generated momentum distributions displayed in Fig. 9.1 and by the difference in the jets environments.

Using the output of the TruthVertexFinder, one compares the generated vertices with the corresponding reconstructed vertices, detected by the LCFI+ algorithms. Figure 9.8 shows the comparison of the number of the generated prongs N_{gen} with the number of the reconstructed prongs N_{rec} on the jet-per-jet basis. The row with $N_{rec} = 0$ are the jets, which have no associated reconstructed vertices, will cause the efficiency decrease in the b -quark charge measurement, however, they have no influence on the method purity. The jets with reconstructed vertices have the following statistics:

- Only 49% of these jets are perfectly reconstructed and have $N_{rec} = N_{gen}$;

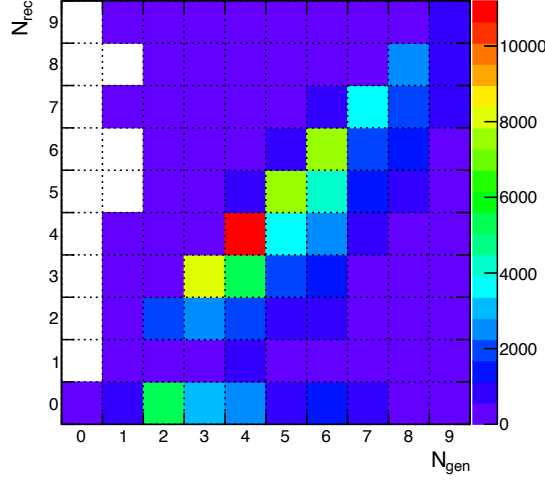


Figure 9.8: Comparison of the number of reconstructed tracks N_{rec} to the number of generated tracks N_{gen} for a given b -jet. The number of entries is color-coded for each cell. The diagonal has 49% of all entries and it contains the jets, which have the correctly reconstructed vertices. The b -jets below diagonal have vertices with one or more particles missed by reconstruction. The row $N_{rec} = 0$ corresponds to the b -jets with no reconstructed vertices.

- The 46% of the jets have lost one or more prongs from the reconstructed vertices having $N_{rec} < N_{gen}$;
- The rest 5% are the jets with vertices, contaminated by particles with non b -hadron origin and have $N_{rec} > N_{gen}$.

The jets with $N_{rec} = N_{gen}$ have the charge purity of more than 97%, while all other jets have an almost random reconstructed b -quark charge with the corresponding charge purity about 35%. The fraction of entries below the diagonal in Fig. 9.8 is small, comparing to the fraction of events above the diagonal. Hence, the purity reduction is mainly caused by the jets, which have lost the prongs from their reconstructed vertices.

Therefore, there is a possibility to develop a recovery algorithm, which can add the missing prongs to the reconstructed vertices. But first, one needs to study the reasons behind the missing prongs and analyze the possibility to recover them.

9.3.2 Missing vertices

The vertex reconstruction algorithm may fail if the generated b -hadron properties, like number of generated prongs, b -hadron momentum or polar angle, are in the poor acceptance of the detector. The non-reconstructed

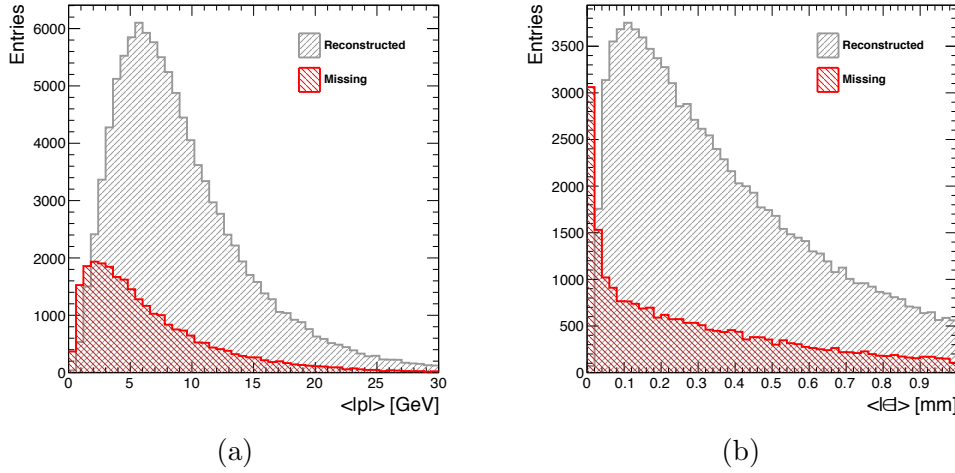


Figure 9.9: *Distributions of the average prong momentum (a) and offset (b) of the missed and reconstructed vertices.*

vertices contain approximately 22% of all generated b-hadron prongs. The b-hadron vertices with generated low momentum prongs or low offset prongs have higher chances to be missed by the reconstruction algorithms. The distributions of the average prong offset and momentum for reconstructed vertices and missed vertices are shown in Fig. 9.9. One concludes, that the reconstruction algorithms start to lose their efficiency at below 4 GeV average prong momentum and below 0.5 mm average prong offset.

The polar angle distributions of the missing vertices is displayed in Fig. 9.10. One summarizes the reasons for missing vertices as following:

- Neutral decay vertex - the vertex cannot be reconstructed if it has no generated prongs;
- Low energy of the generated b-hadron causes a short flight distance, small offsets or low momentum of the generated prongs, which makes it difficult to separate out the b-hadron prongs from the other particles in a b-jet;
- A one prong decay vertex can be lost if there was no other vertices reconstructed in a given b-jet;
- Most of the b-hadrons, produced in the forward region, or outside the barrel VXD acceptance $|\cos \theta_{vtx}| > 0.95$, are not reconstructed, which is due to a low precision on the impact parameters of the reconstructed prongs.

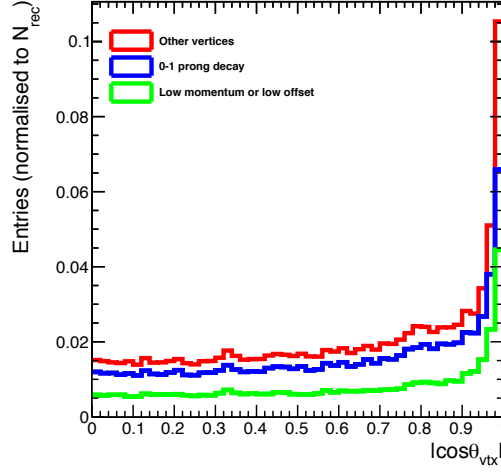


Figure 9.10: Polar angle distribution of the missed vertices subdivided into different categories. This is a stacked histogram. The low momentum or low offset category are the missing vertices, which have the average prong momentum below 4 GeV or below 0.5 mm average prong offset.

The missing vertices are difficult to recover, however they have essentially no impact on the b-quark charge purity, therefore the further studies are focused on the missing prongs of the reconstructed vertices.

9.3.3 Missing prongs from the reconstructed vertices

The missing reconstructed prongs are approximately 10.4% of the total generated prongs for the standard vertex reconstruction. The reasons for the missing prongs can be summarized as follows:

- No tracking information - the MarlinTrk algorithms fails to reconstruct the track. This category is tiny - only 0.93% of the generated prongs;
- No associated hits in the VXD or FTD - the track segment from the Vertex Detector or Forward Tracking Disks was not connected to the long TPC track segment. These reconstructed particles have large uncertainties on the impact parameters, which makes them not suitable for vertexing algorithms. They constitute 2.% of the generated prongs;
- No reconstructed PFO - the PandoraPFA fails to create the PFO from a reconstructed track. These tracks are discarded by the LCFI+ algorithms - 3.2% of the generated prongs;

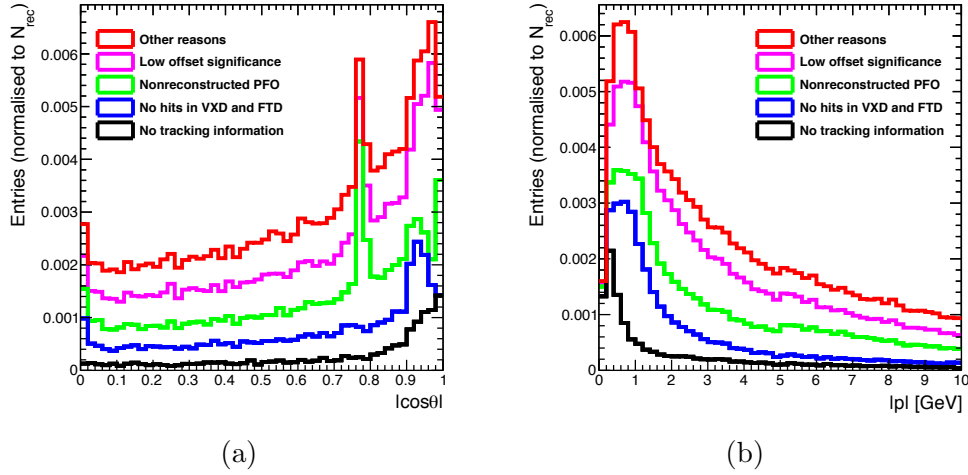


Figure 9.11: Polar angle (a) and momentum (b) distributions of the missing prongs subdivided into different categories. This is a stacked histogram. The peak at $|\cos \theta| = 0$ is caused by the gap between TPC endplates, the peak at $|\cos \theta| \approx 0.8$ is caused by barrel-endcap transition in the Si-W ECAL and the rapid increase at $|\cos \theta| \approx 0.9$ is caused by the barrel-endcap transition in the VXD and FTD system.

- Low generated momentum or offset - the reconstructed particle was produced with impact parameters below the detector resolution - 3.1% of the generated prongs;
- Other reasons connected to vertex fitting problems - 1.7% of the generated prongs.

These categories of the missing prongs can be illustrated by the polar angle histogram, shown in Fig. 9.11a, which also reveals the following problems connected to the ILD geometry, see Fig. 9.12:

- Small peak at $|\cos \theta| = 0$ is caused by the TPC cathode gap.
- Large peak at $|\cos \theta| \approx 0.8$ is caused by the PandoraPFO, which fails to connect a well reconstructed track with an offset to a segmented cluster located in the calorimeter barrel-endcap transition. This problem is specific to the b-hadron tracks;
- Increase at $|\cos \theta| \approx 0.9$ correspond to the end of the full 3 double layer VXD acceptance, resulting in an increase in the impact parameter uncertainties of the reconstructed tracks.

The recoverable prongs are those, which were lost because of the reconstruction problems, like the problems with the PFO creation, and not by the limited detector acceptance.

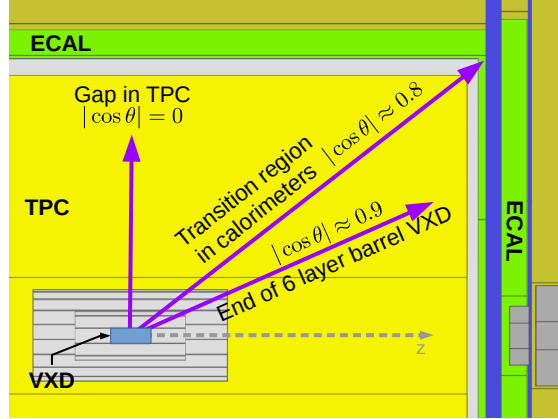


Figure 9.12: Illustration of the polar angle directions in ILD layout, where one has peaks in the missing prongs distribution.

9.4 Vertex charge recovery

The objective of the vertex recovery algorithm is to add accurately the missing prongs to the reconstructed vertices, without contamination by the particles with non b-hadron origin or the background particles.

In this study we use the following definition of the offset significance:

$$\epsilon/\sigma = \left| \frac{d_0}{\sigma_{d_0}} \right| + \left| \frac{z_0}{\sigma_{z_0}} \right|, \quad (9.2)$$

where σ_{d_0} and σ_{z_0} are the covariance matrix elements, provided by the track reconstruction algorithms. The offset significance value depends strongly on the momentum of the particle, its polar angle and number of assigned VXD or FTD hits .

Typically, the b-hadron prongs are generated with large offsets (Fig. 9.6b), but the reconstruction can miss a reconstructed prong if it has a small offset significance. Hence, one needs another spatial separation variable, combined with the offset significance ϵ/σ .

The particle trajectory bending because of magnetic field is negligible at the small distance scales, like the b-hadron flight distance in Fig. 9.6b. Therefore, in this studies, the reconstructed track helix is approximated by the reconstructed vector of particle momentum.

Suppose, one has a reconstructed secondary vertex in a position \vec{s}_{vtx} and a prong candidate with a momentum \vec{p} and a track reference point \vec{t}_0 , which is computed from track parameters d_0 and z_0 . This study uses an angle α , as a second separation variable, defined as an angle between the particle momentum \vec{p} and the vector of difference between the vertex position \vec{s}_{vtx} and the track reference point \vec{t} . The angle α is illustrated in Fig. 9.13.

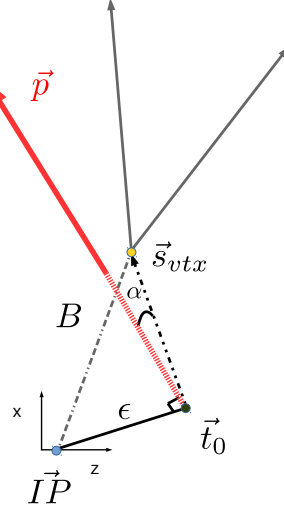


Figure 9.13: *Illustration of the chosen separation variables of the vertex charge recovery algorithm, where \vec{p} is a vector of a given particle momentum, B is a flight distance of a b-hadron, $I\vec{P}$ is a primary vertex position, \vec{t} is a reference point of a given particle, defined in [44], α is the angle between \vec{p} and \vec{s}_{vtx} and ϵ is an offset distance of the particle.*

An algorithm, called VertexChargeRecovery, was developed to increase the b-quark charge purity by adding the missing prongs to the reconstructed vertices. For a given jet, which has at least one associated reconstructed vertex, the VertexChargeRecovery has the following procedure:

- Preparation of the prong candidates - the algorithm uses all charged particles within a given jet as prong candidates. To recover the prongs, which has no reconstructed PFO, the program iterates through-out all reconstructed tracks and reconstructs them as charged PFOs without an associated calorimeter cluster. These new Particle Flow particles are used only if they have no duplicates in the previously selected jet particles;
- All prong candidates are compared to the reconstructed prongs to avoid duplicates;
- The separation variables α and ϵ are calculated for each prong candidate and a given reconstructed vertex;
- The selection condition is defined using the α and ϵ distributions for true b-hadron prongs and background particles, which are displayed in Fig. 9.14, suggest the following cuts:

$$\epsilon/\sigma > 2 + 25 \cdot \sqrt{\alpha} \text{ and } \alpha < 0.08. \quad (9.3)$$

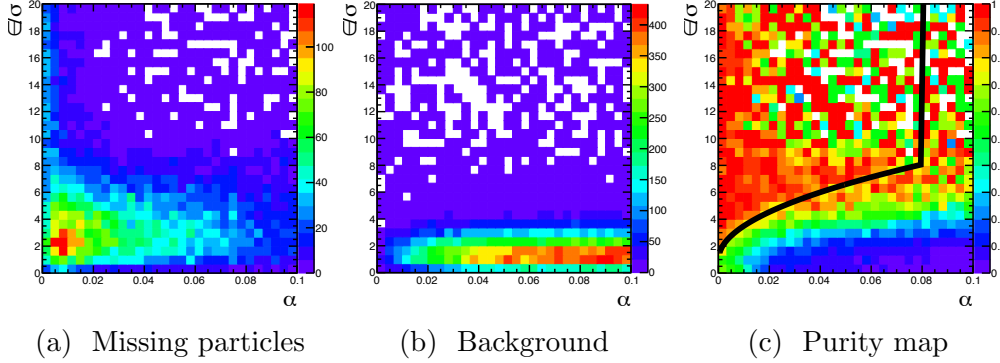


Figure 9.14: *Distribution of the separation variables, the angle α and the offset significance ϵ/σ for the missing generated prongs and the background charged particles. Purity map shows the highest concentration of the missing generated prongs as compare to all charged particles. The black line demonstrates the chosen cut function.*

The recovery algorithm might have a different behavior in simulation, than in the real experiment, therefore, one should use a data-driven charge purity measurements, described in Sec. 11.3.3, to test and tune the recovery parameters.

- The algorithm creates new reconstructed vertices with old and recovered reconstructed prongs and links them to a given jet.

The VertexChargeRecovery is made to have an output, identical to the output of the LCFI+ algorithms, which allows for a clear comparison of the b-quark charge reconstruction performance before and after vertex recovery usage.

9.4.1 Results of the vertex recovery

The simple algorithm of the vertex recovery is limited by the requirement of the presence of a reconstructed vertex and the reconstruction quality of a missing b-hadron prong. Nevertheless, this algorithm makes a significant improvement in the vertex reconstruction and the b-quark charge purity.

The VertexChargeRecovery increases the fraction of correctly reconstructed jets from 49% to 62%, as it is illustrated in Fig. 9.15. This improvement is done by reducing the fraction of jets with $N_{rec} < N_{gen}$, below diagonal in Fig. 9.15. The slightly increased rate of jets with $N_{rec} > N_{gen}$ can be seen, which is an unavoidable shortcoming of the algorithm.

The vertex recovery decreases the fraction of missing prongs from 10.4% to 6.2%. The new polar angle and momentum distributions are shown in Fig. 9.16, from which one sees the following changes:

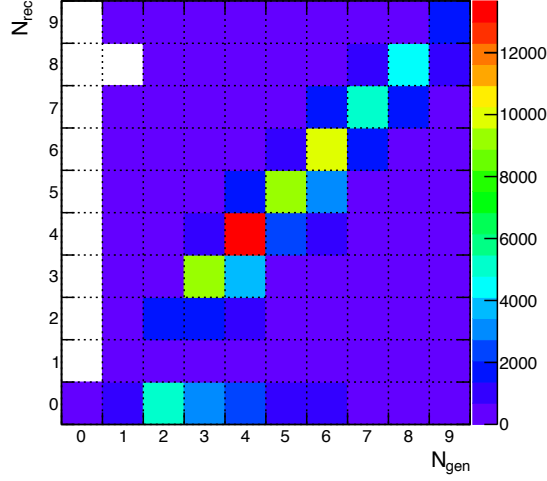


Figure 9.15: Comparison of the number of reconstructed tracks N_{gen} to the number of generated tracks N_{rec} for a given b -jet after vertex charge recovery. The number of entries is color-coded for each cell. The fraction of the diagonal elements, which have the perfectly reconstructed vertices, is 62% of all entries. The b -jets below diagonal have vertices with one or more particles missed by reconstruction. The row $N_{rec} = 0$ corresponds to the b -jets with no reconstructed vertices.

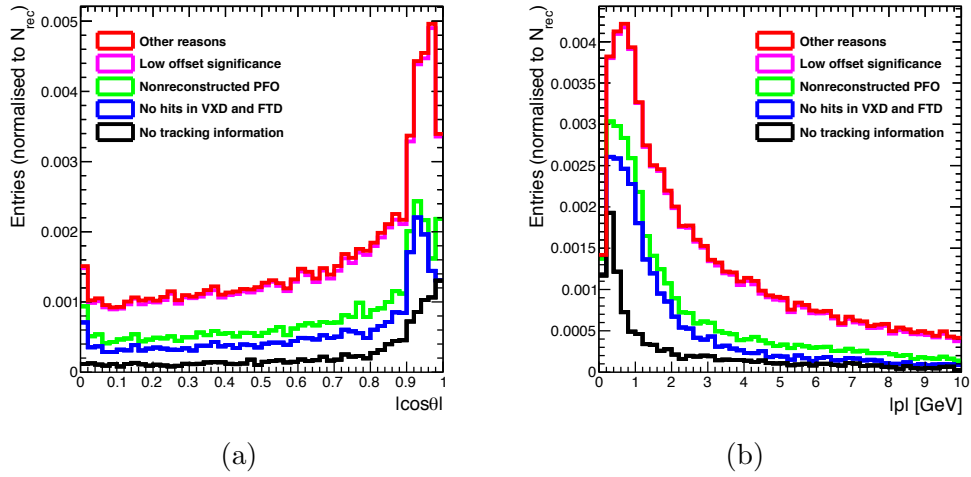


Figure 9.16: Polar angle (a) and momentum (b) distributions of the missing prongs after vertex charge recovery subdivided into different categories. These are stacked histograms.

- The algorithm does not change the categories of b-hadron prongs, which have no reconstructed tracks;
- The reconstructed prongs with no assigned VXD or FTD hits are not recovered by the program;
- The most of the missing prongs, which have no corresponding PFO are successfully associated to the correct reconstructed vertices;
- The large peak of the missing prongs at $|\cos \theta| \approx 0.8$ is successfully eliminated;
- The prongs in the region of the strong background, as can be seen in Fig. 9.14, are not used by the program;
- The missing prongs, which were lost by other means, are successfully recovered by the algorithm.
- The vertex recovery can use all particles, even the ones with a small momentum, below 1 GeV or outside the barrel VXD acceptance.

The central result of the algorithm is that it enhances the b-quark charge purity from 66% to 73%, despite increase of the contamination by the background particles from 3% to 4%. Figure 9.17 demonstrates the improvement of the b-quark charge purity as function of the jet b-tag, reconstructed b-hadron momentum, N_{rec} and the polar angle of the b-hadron $|\cos \theta_{vtx}|$. One can see the following changes induced by the recovery algorithm:

- Jets with a high b-tag have higher chances to be recovered. The b-tag value strongly depends on the offsets of the reconstructed prongs, the low b-tag jets have less significant offsets of particles, which makes them harder to recover.
- The algorithm improves the jets with a moderate reconstructed b-hadron momentum.
- The large improvement can be seen for the jets or reconstructed b-hadrons with low number of reconstructed prongs, especially for $N_{rec} = 3$.
- The algorithm is capable to increase the purity in the barrel VXD acceptance and recover the non-reconstructed PFO particles at $|\cos \theta_{vtx}| \approx 0.8$.

To summarize, the VertexChargeRecovery is able to significantly improve the b-quark charge purity and equalize it in the polar angle spectrum, which is crucial for the quark polar angle reconstruction.

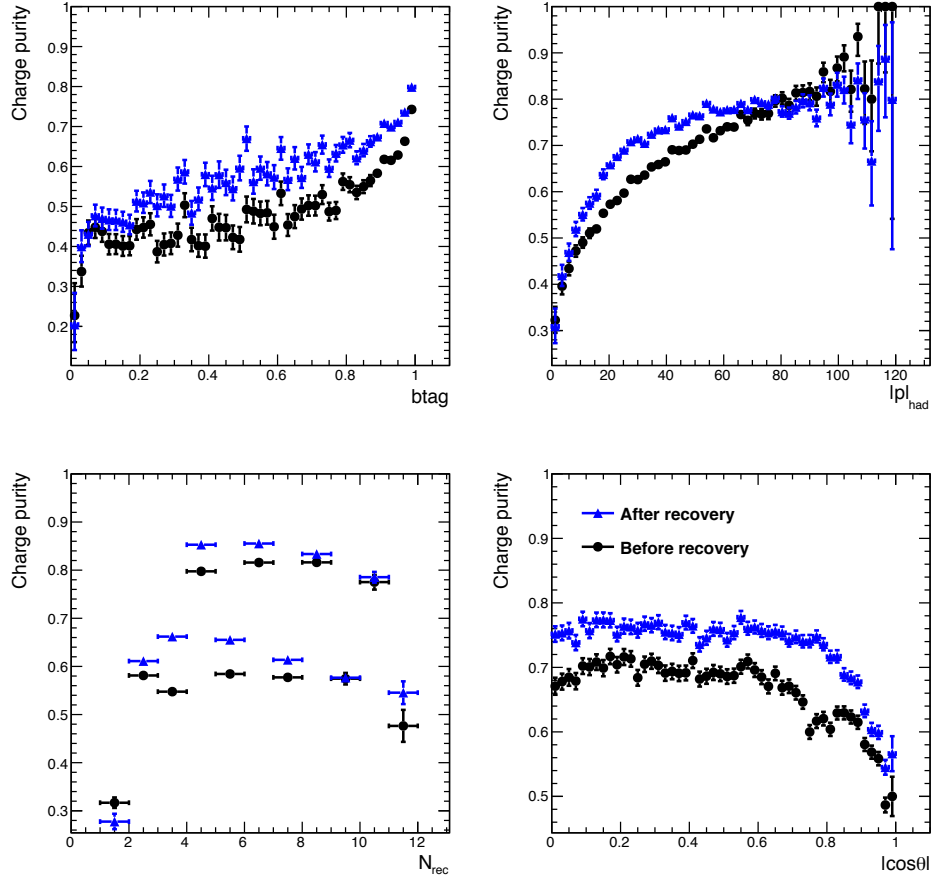


Figure 9.17: Comparison of the purity as function of the jet b -tag, reconstructed b -hadron momentum, N_{rec} and the polar angle $|\cos\theta|$ before and after the vertex recovery algorithm.

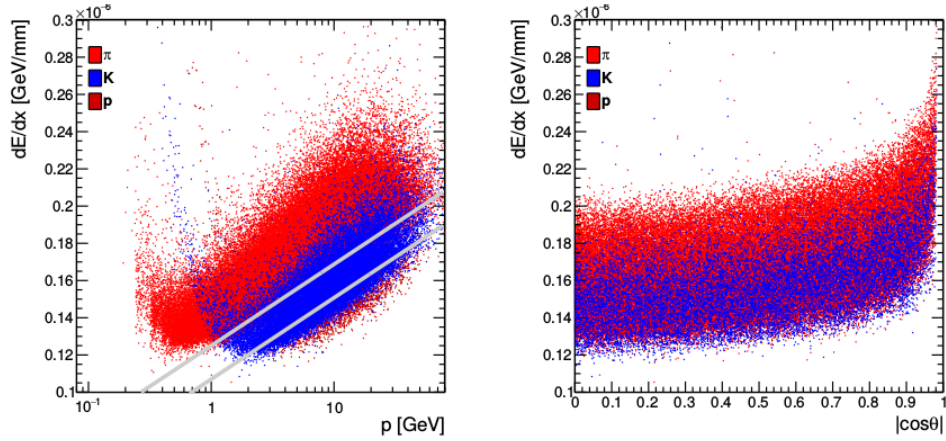


Figure 9.18: The energy deposition per track length dE/dx as function of the particle momentum, the particle polar angle $|\cos\theta|$ for different particles. Two gray lines separate out the region with a maximal kaon concentration.

9.5 Using the dE/dx information

A complementary method to measure the b-quark charge is to identify among the reconstructed b-hadron prongs the charged kaon K^\pm , which carry the information about the initial b-quark charge.

The charged kaons have much higher mass than the charged pions and lower mass than protons, which makes possible to identify charged kaons by the energy deposition of the hits in the subdetectors.

The most suitable device to calculate the energy deposition per distance passed, the dE/dx value, is the ILD TPC due to its bulk gaseous environment.

The dE/dx as a function of the particle momentum and $|\cos\theta|$ for different hadrons is shown in Fig. 9.18. One can immediately spot the dependence of the dE/dx value on the polar angle of the particles. This happens because of the knocked-out electron emittance or δ -ray probability is increased with increasing length of the TPC track. Therefore, one needs to apply an angular correction to remove the angular dependence of the dE/dx value, which is

$$\frac{dE}{dx} \rightarrow \frac{dE}{dx} \theta^{0.15}, \quad (9.4)$$

where θ is the polar angle of the particle. This angular is known to be applied at other experiments, which have the TPC devices [49] [50].

The distributions of the dE/dx as a function of particle momentum and $|\cos\theta|$ after the angular correction (9.4) are displayed in Fig. 9.19.

A simple cut-based algorithm was developed to identify the particle type (PID) using dE/dx information after angular correction, which can

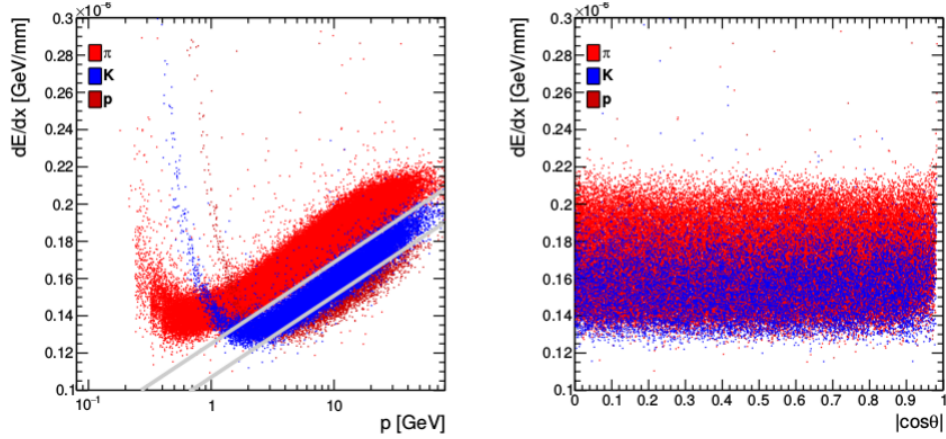


Figure 9.19: *The energy deposition per track length dE/dx as function of the particle momentum, the particle polar angle $|\cos\theta|$ for different particles after application of the angular correction, described in text. Two gray lines separate out the region with a maximal kaon concentration.*

identify kaons with 97.% purity and 87.7% efficiency. The results of the hadron identification algorithm are displayed in Fig. 9.20.

The angular correction is now included in the latest version of the ILC-SOFT distribution.

Given the reconstruction purity illustrated in Fig. 9.20, one concludes that the reconstructed charged kaons from the reconstructed vertices provide a reliable information on the charge of the initial b-hadron or b-quark.

9.6 Summary

In this thesis, two basic b-quark charge signatures are used:

- *Vertex charge*, which is computed as a sum of the reconstructed secondary and tertiary particle charges;
- *Kaon charge* - the charge of a kaon from the reconstructed secondary and tertiary vertices.

The impurity of the vertex charge is caused by missing particles - particles, that were not assigned to the corresponding reconstructed vertices. Major reasons behind the missing particles are the following:

- Charged track is not reconstructed;
- No assigned hits from VXD or FTD detectors, therefore the particle offset is not significant;
- Particle Flow Object is not reconstructed;

Generated	p	223	252	1249
	K	1342	14693	713
	π	97911	215	22
		π	K	p
		Reconstructed		

Figure 9.20: *Correlation histogram between generated particle type and reconstructed particle type produced by the cut-based PID algorithm.*

- Low generated offset.

A large inefficiency of the vertex reconstruction algorithm in forward region of ILD is observed.

The developed Vertex Charge Recovery algorithm assigns the missing particles to the reconstructed vertices using reconstructed observables. It increases the overall vertex charge purity by 7% and the algorithm equalizes the vertex charge purity in the barrel region of the ILD detector.

The kaons are identified using the dE/dx information from the TPC tracks. The developed angular correction makes dE/dx independent from the polar angle of the reconstructed track. Therefore, it increases the purity and efficiency of the kaon identification algorithms.

10 Top quark production at the ILC

This section of the thesis describes an application of the b-quark charge measurement technique, described in Sec. 9, to the polar angle measurement for the $e^+e^- \rightarrow t\bar{t}$ process at the ILC. The end goal of this study is to increase efficiency of the b-quark polar angle reconstruction as comparing to the previous studies [35].

The studies in this section have the following steps:

- The setup of the study and the $t\bar{t}$ event reconstruction provided in Sections 10.1-10.4;
- The description of the top quark charge information sources is given in Sec. 10.5.1;
- The application of the b-quark charge combination as a proof of concept is in Sec. 10.5.2;
- The final combination of all top quark charge information and the final results are described in Sec. 10.5.3.

10.1 Properties of the top quark

The top quark is the heaviest elementary particle in the Standard Model with measured mass of around 173 GeV [51]. This implies a very short lifetime of approximately $5 \cdot 10^{-25}$ s. The top quark lifetime is short compared to a time needed for hadronization process (10^{-23} s). Therefore, the top quark decays too fast to form hadrons. This fact permits to study the bare quark properties, like spin, via the quark decay particles.

The dominant decay mode of the top quark is $t \rightarrow bW^+$, which is 99.8% of all top decays in the Standard Model. The W^\pm boson decays either into a lepton-neutrino pair or into a pair of quarks. The top quark decays thus leads to a six fermion final state of the $e^+e^- \rightarrow t\bar{t}$ process. The $t\bar{t}$ decays are classified by the W^\pm decay modes:

- Fully hadronic decay $t\bar{t} \rightarrow bq\bar{q}b\bar{q}q$ - 46.2% of branching ratio;
- Semileptonic decay $t\bar{t} \rightarrow bq\bar{q}bl\nu_l$ - 43.5% of branching ratio;
- Fully leptonic decay $t\bar{t} \rightarrow bl^-\nu_l-\bar{b}l^+\nu_{l+}$ - 10.3% of branching ratio.

At the ILC it is possible to reconstruct and study all decay modes of the $t\bar{t}$ pair with a high selection efficiency.

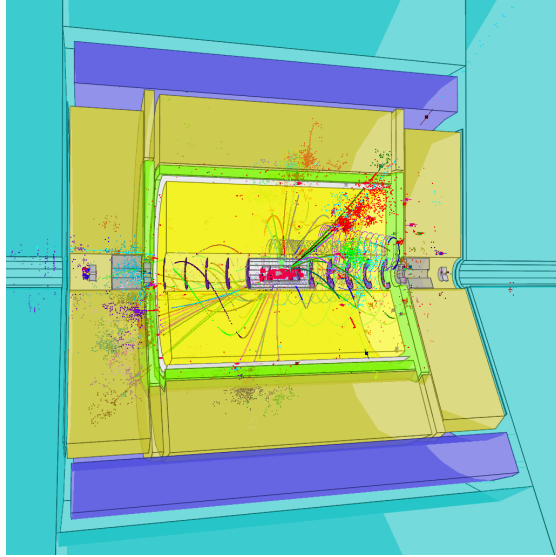


Figure 10.1: *Event display of the $t\bar{t}$ pair production process in the ILD simulation.*

10.2 Setup of the study

In this section of the thesis, the semileptonic $t\bar{t}$ pairs produced at $\sqrt{s} = 500$ GeV in the left-handed beam configuration are studied using full ILD simulation. The total integrated luminosity used correspond to the 330 fb^{-1} . The top quark polar angle in the right-handed beam configuration was successfully reconstructed in [32] [35]. Therefore, this thesis concentrates on the left-handed beam configuration only, where one has inefficiency of the top polar angle reconstruction due to the event migration effect, as it was found in [32] [52]. The chosen center-of-mass energy allows for top pair production free from $t\bar{t}$ threshold QCD effects. The cross sections at the Born level of the signal process $e^+e^- \rightarrow t\bar{t}$ and the major Standard Model background processes at the $\sqrt{s} = 500$ GeV are summarized in Table 8.

The clear process signature of two b-jets, two light jets from W^\pm boson and an isolated lepton makes the semileptonic decay mode easily reconstructable and usable for the forward-backward asymmetry A_{FB} measurement. An example of a $t\bar{t}$ event in the ILD environment is shown in Fig. 10.1.

The main goal of the study is to extend studies [35] [52] by a new b-quark charge measurement techniques, which should bring down the statistical uncertainties on the $t\bar{t}Z^0$ form factors and couplings.

10.3 Top quark reconstruction

In this thesis, the top reconstruction method, which was developed for [35] is applied. It has the following steps:

- Isolated lepton identification is done with the LAL LeptonFinder algorithm [32], which is designed to find an energetic lepton or a lepton, which has a significant transverse momentum with respect to the neighbored jets.
- The events, after excluding the isolated lepton, are clustered into four jets by the Durham jet clustering algorithm.
- The b-jet tagging done by LCFI+ is used to identify the two b-jets and sorted by btag value for the background rejection cuts.
- The last step of the top quark reconstruction is to associate one of the b-jets with the two light jets from the hadronic W^\pm decay. One has two possibilities to combine the jets and one chooses the best combination by minimizing the following expression:

$$d_t^2 = \left(\frac{m_{cand} - m_t}{\sigma_{m_t}}\right)^2 + \left(\frac{E_{cand} - E_{beam}}{\sigma_{E_{beam}}}\right)^2 + \left(\frac{p_b^* - 68 \text{ GeV}}{\sigma_{p_b^*}}\right)^2 + \left(\frac{\cos \theta_{bW} - 0.23}{\sigma_{\cos \theta_{bW}}}\right)^2, \quad (10.1)$$

where m_{cand} and E_{cand} are the invariant mass and the energy of the top quark candidate, m_t and E_{beam} are the input top quark mass and the nominal beam energy of 250 GeV, p_b^* is the momentum of the b quarks in the top quark rest frame with nominal value of 68 GeV and $\cos \theta_{bW}$ is the angle between the b quark and the W^\pm boson with a nominal value of 0.23.

- The neutrino from leptonic W^\pm boson decay is reconstructed using the recoil momentum method. The reconstructed neutrino is used to calculate the leptonic W^\pm boson mass and leptonic top quark mass.

The reconstructed distributions of the top quark and W^\pm boson invariant masses are shown in Fig. 10.2. The values of the reconstructed peaks indicate the correct reconstruction flow.

The W^\pm boson kinematics and that of the b-quark in the $t\bar{t}$ process depends strongly on the polarization of the initial state:

- In case of a right-handed electron beam the events are enriched with right-handed top quarks. Due to the structure of the weak interaction, top quark decays into an energetic W^\pm boson, which is emitted into top quark direction, and a relatively soft b-quark;

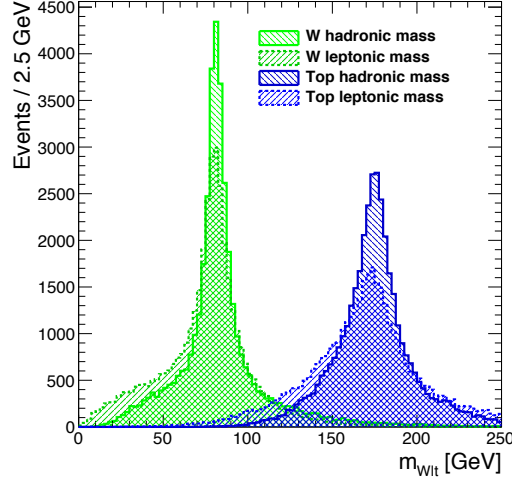


Figure 10.2: *Reconstructed invariant mass distributions of the hadronic top quark and hadronic W^\pm boson decays.*

- In case of a left-handed electron beam the sample is enriched with the left-handed top quarks, which decay into soft W^\pm bosons and energetic b-quarks, which are predominantly aligned with the top quark direction.

An incorrect assignment of b-jet and W^\pm jets compromises the top quark charge reconstruction needed for the top polar angle measurement.

10.4 Background processes

The main background processes are summarized in Table 8. The previous studies [35] [32] have shown that the major backgrounds to the semileptonic $t\bar{t}$ decay are the single top process and fully hadronic or fully leptonic $t\bar{t}$ decays.

One uses the kinematical cuts to suppress the background processes, which were originally developed in [32] [52] and defined in Table 9

The previous studies demonstrated, that the purity of the full semileptonic $t\bar{t}$ process selection is 91% and final event selection efficiency is 54% [35]. The residual background is homogeneously distributed in $\cos\theta$, as it is shown in Fig. 8.4, which allows to concentrate the present studies on the signal process only.

10.5 Results

In this study, the top polar angle is calculated by using the reconstructed top or anti-top quark, which decayed hadronically. In case of the re-

Channel	$\sigma_{unpol.}$ [fb]	σ_{LR} [fb]	σ_{RL} [fb]
$t\bar{t}$	572	1564	724
$\mu\mu$	456	969	854
$u\bar{u} + c\bar{c} + s\bar{s} + d\bar{d}$	2208	6032	2793
$b\bar{b}$	372	1212	276
γZ^0	11185	25500	19126
WW	6603	26000	150
$Z^0 Z^0$	422	1106	582
$Z^0 WW$	40	151	8.7
$Z^0 Z^0 Z^0$	1.1	3.2	1.22

Table 8: *Unpolarized and 100% polarized cross sections at the Born level for signal and background processes at $\sqrt{s} = 500$ GeV [35].*

Selection criteria	$t\bar{t}$ semileptonic	WW semileptonic	$b\bar{b}$
Isolated lepton	67%	59.6%	9.5%
$btag_1 > 0.8$ or $btag_2 > 0.3$	61%	1.22%	7.3%
Thrust < 0.9	60.5%	0.19%	1.%
Hadronic mass	59.4%	0.087%	0.3%
Reconstructed m_W and m_t	54.4%	0.04%	0.15%
Relative cross section	1	5.0	1.9

Table 9: *Efficiency of signal and non- $t\bar{t}$ backgrounds after different cuts for the left-handed beam polarization. Background values are taken from [52].*

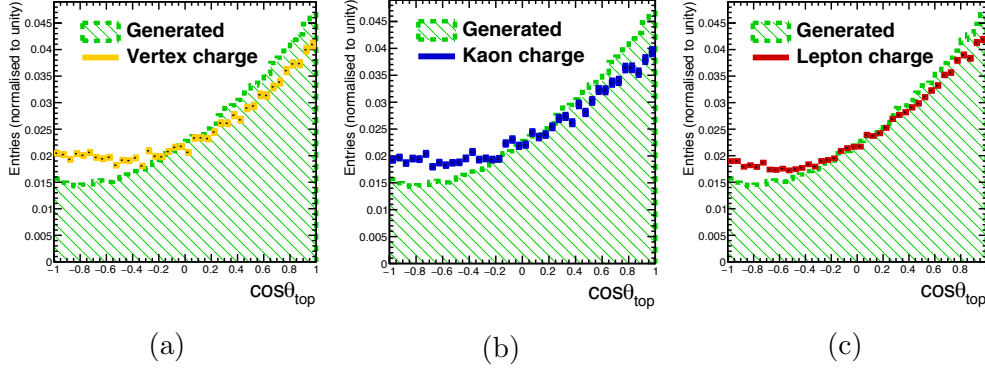


Figure 10.3: *Generated polar angle distribution compared to reconstructed polar angle using standalone vertex charge (a), standalone kaon charge (b) and W^\pm lepton charge(c).*

constructed \bar{t} quark, the polar angle is changed from $\theta_{\bar{t}} \rightarrow \theta_t + \pi$, or $\cos \theta_{\bar{t}} \rightarrow -\cos \theta_t$.

The charge of the reconstructed top quark in this study is derived from three basic signatures: b-quark charge measurement using the reconstructed secondary vertices or the vertex charge, the reconstructed kaon charge, and the W^\pm lepton charge. The top quark polar angle, reconstructed with the three basic methods is demonstrated in Fig. 10.3. All three methods show a disagreement between the reconstructed and generated distributions. These deviations are a consequence of a significant charge impurity which leads to a confusion of a top quark with an anti-top quark.

In this studies, the quality of the top quark polar angle reconstruction is estimated by the ratio of the reconstructed forward-backward asymmetry A_{FB}^{rec} over the generated A_{FB}^{gen} values. For the vertex and the kaon charge methods the $A_{FB}^{rec}/A_{FB}^{gen} \approx 63\%$, while for the W^\pm lepton charge method is 74% without any additional cuts. Hence, the standalone b-quark charges and W^\pm lepton charge signatures are not reliable enough to compute the top quark polar angle and A_{FB} precisely.

10.5.1 Charge combination

To decrease this charge impurity, one uses the compatible combinations of two or more charge signatures. In a semileptonic $t\bar{t}$ event one has two b-jets with vertex and kaon charge signatures each and one W^\pm lepton charge signature. One has the following charge combination rules to accept an event for the top quark polar angle measurement:

- Two b-jets should have opposite vertex and kaon charges;

- The kaon and vertex charge should have the same sign within one b-jet;
- The W^\pm lepton charge should be opposite to the b-quark charges within one reconstructed top or anti-top quark decayed leptonically;
- The W^\pm lepton charge should have the same charge as the b-quark charge from the top quark decayed hadronically.
- The W^\pm lepton charge can be used standalone after a cut on the reconstructed top quality.

According to these rules, one has the following charge pair signatures for a semileptonic $t\bar{t}$ event:

- Vertex charge from one b-jet in combination with vertex charge from another b-jet, abbreviated as VTX+VTX;
- Kaon charge from one b-jet in combination with kaon charge from another b-jet (KAON+KAON);
- Vertex charge and kaon charge combination from the same b-jet (VTX+KAON);
- Vertex charge and kaon charge combination from different b-jets (VTX+KAON');
- Vertex charge from a b-jet in combination with W^\pm lepton charge (L+VTX);
- Kaon charge from a b-jet in combination with W^\pm lepton charge (L+KAON);
- W^\pm lepton charge after cuts on the reconstructed top quality. (L cut).

Multiple reconstructed charge pair signatures are possible within one event.

10.5.2 Standalone b-quark charge application

In this section, the concept of the b-quark charge measurement is validated by determining the top quark polar angle solely from the vertex and the kaon charges. In case of the left-handed electron beam, the b-quarks are emitted into the direction of the initial top quark, which makes possible the standalone application of the b-quark charge measurement.

The vertex charge and kaon charge methods were used to compute top polar angle distribution shown in Fig. 10.4, where one sees a good agreement between the generated and reconstructed top polar angle histograms.

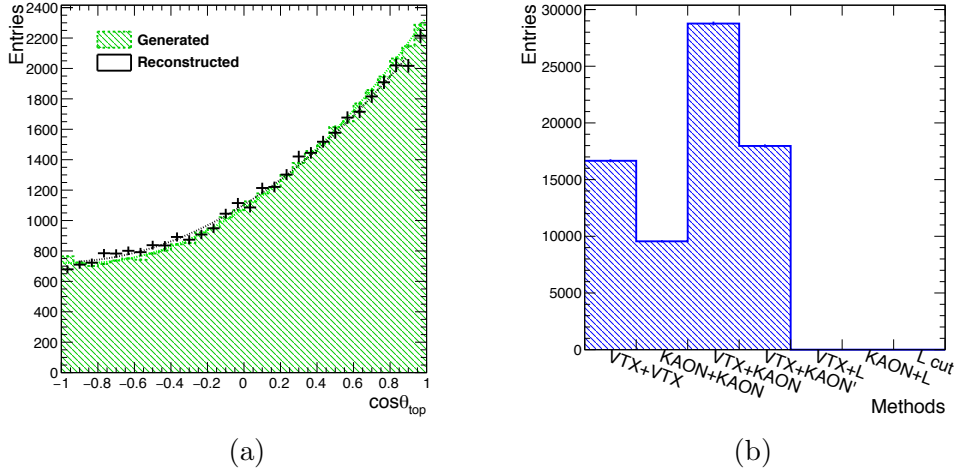


Figure 10.4: *Generated polar angle distribution compared to reconstructed polar angle using charge signature combinations from b -jets only.*

The b -quark charge measurement shows a good precision on the asymmetry $A_{FB}^{rec}/A_{FB}^{gen} \approx 93\%$ with the total efficiency of 16%.

The most used method is the vertex charge and kaon charge combination from the same b -jet, as can be seen from Fig. 10.4b, which is due to the chosen asymmetric cuts on the b -tag values. Several charge combinations are possible for a single $t\bar{t}$ event.

The sample is enriched by the good hadronic top quark combinations using the cut

$$\gamma_t^{lep} + \gamma_t^{had} > 2.4, \quad (10.2)$$

as suggested by the histogram in Fig. 10.5, where Lorentz factor $\gamma_t = E_t/m_t$ depends on the reconstructed top quark energy E_t and mass m_t . To increase the vertex charge purity the following cuts are applied on the b -hadron kinematics in case of the vertex charge usage:

$$btag > 0.8 \text{ and } |p|_{had} > 35 \text{ GeV}. \quad (10.3)$$

One can use the purity distributions in Fig. 9.14 to optimize the cuts on the b -hadron kinematics.

This result proves, that the b -quark charge measurement can be used directly on the fully hadronic $t\bar{t}$ decays for left-handed electron polarization, which will significantly increase the statistics needed for top coupling estimation.

The vertex charge recovery increases the overall statistics by 7% and the $A_{FB}^{rec}/A_{FB}^{gen}$ ratio by 4%. However, the effects of the vertex charge recovery are much more essential for the $e^+e^- \rightarrow b\bar{b}$ process, discussed in Section 11.3.

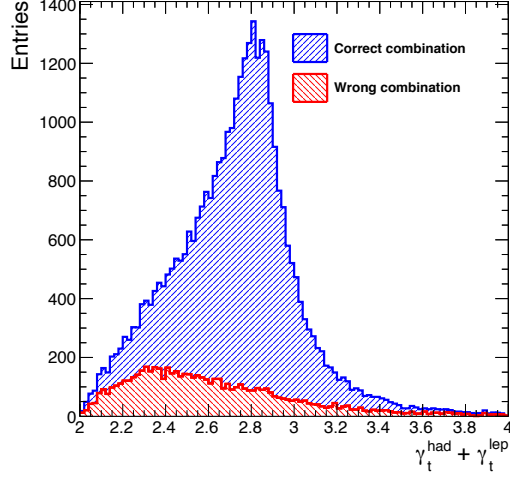


Figure 10.5: Sum of the Lorentz gamma factors for leptonic and hadronic reconstructed tops separated into the wrongly and correctly reconstructed hadronic decay combinations.

10.5.3 B-quark charge and lepton charge combination

The events with W^\pm lepton charge migration can be efficiently rejected using a cut on χ_{top}^2 [52], which is defined as:

$$\chi_{top}^2 = \left(\frac{\gamma_t^{had} - 1.435}{\sigma_{\gamma_t}} \right)^2 + \left(\frac{p_b^* - 68}{\sigma_{\gamma_{p_b^*}}} \right)^2 + \left(\frac{\cos \theta_{bW} - 0.23}{\sigma_{\cos \theta_{bW}}} \right)^2, \quad (10.4)$$

where p_b^* and $\cos \theta_{bW}$ have been used in Eq. 10.1 and Lorentz factor $\gamma_t = E_t/m_t$ of the reconstructed top quark decayed hadronically. The χ_{top}^2 is constructed to be minimal around the expectation values of the used variable distributions. The distributions of the events with correctly assigned leptons and migrated leptons for all variables used in (10.4) are shown in Fig. 10.6.

More than 93% of the events with $\chi_{top}^2 < 15$ have a correct combination of a b-jet with hadronic W^\pm , which propagates into a correct interpretation of the W^\pm lepton charge.

The application of all possible pair combinations of the vertex charge, kaon charge and W^\pm lepton charge to the top polar angle reconstruction shown in Fig. 10.7a.

This method allows to reconstruct the top forward-backward asymmetry with $A_{FB}^{rec}/A_{FB}^{gen} = 94\%$ with the final efficiency of 38.6%, which improves the previous result [35] by 25%.

The most used method is combination of the vertex charge with the W^\pm lepton charge because the W^\pm lepton is required to be reconstructed in every selected event.

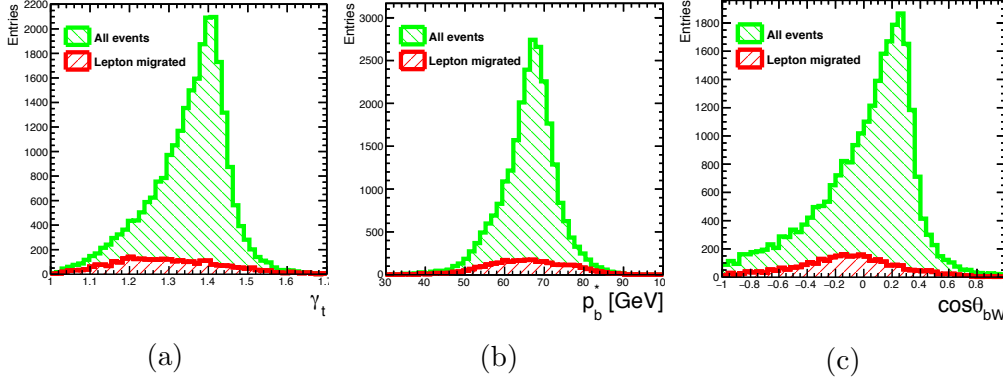


Figure 10.6: Distributions of γ_t (a), p_b^* (b) and $\cos\theta_{bW}$ (c) variables used for W^\pm lepton charge reconstruction. The events are subdivided into correctly and incorrectly assigned lepton categories.

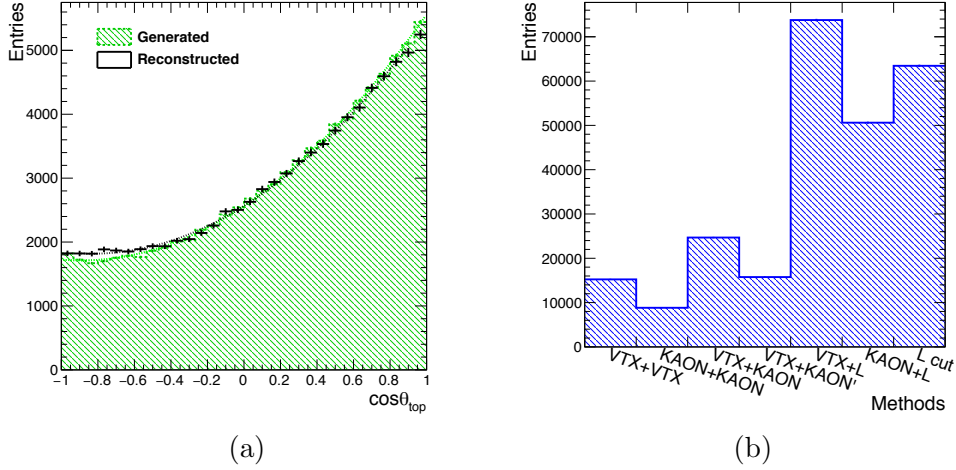


Figure 10.7: Generated polar angle distribution compared to reconstructed polar angle (a) using all possible charge signature combinations, plotted in (b).

10.6 Summary and outlook

In this chapter, three basic signatures of the top quark charge were used: the vertex charge, the kaon charge and the W^\pm lepton charge. It was found, that one has an event migration effect if only one signature is used to determine the top quark charge. However, a combination of the charge signatures give a satisfactory results. As a proof of concept, only the vertex and kaon charge combination has been applied, which gave a good correspondence between the generated and the reconstructed top polar angle distributions. As it was shown, the combination of the b-quark charge and the W^\pm charge signatures significantly increases the statistics used for the top polar angle computation.

Besides the b-quark charge application, the statistics in the left-handed case can be increased by the reconstruction of the hadronic tau lepton decays, which were not used in the present studies. Another way to reduce the charge migration effects is to involve the leptonic top quark decays in the top reconstruction, which can give a hint to a correct top decay products association.

The b-quark charge measurement is a completely independent reconstruction tool, which allows studying and controlling the systematics effects.

The b-quark charge measurement is indispensable in case of the fully hadronic $t\bar{t}$ decays, where there is no other sources of information about the top quark charge. In left-handed electron beam case, one directly applies the b-quark charge measurement, as it was done to produce the top quark polar angle distribution shown in Fig. 10.4. However, in the right-handed electron beam, the incorrect W^\pm jets and b-jet association will lead to a significant distortion in the top polar angle distribution. Therefore, one should look for W^\pm c-quark charge, using kaon charge, to control the top quark reconstruction quality.

11 Bottom quark production at the ILC

The LEP measurement of the forward-backward asymmetry at the Z^0 pole resulted in 2.5σ deviation from the Standard Model prediction, which can be an impact of a Beyond Standard Model modification of the b-quark couplings to vector bosons. The resolution of the LEP A_{FB}^b deviation requires a new level of the experimental precision. This is the main motivation of the $e^+e^- \rightarrow b\bar{b}$ studies at the 250 GeV stage of the ILC, which are presented in this chapter.

The $e^+e^- \rightarrow b\bar{b}$ process has never been studied using the ILC environment.

11.1 Setup of the study

In this studies the signal process is $e^+e^- \rightarrow b\bar{b}$ on the center-of-mass energy of 250 GeV. A large statistics sample of integrated luminosity $L_{int} = 250 \text{ fb}^{-1}$ is used for both polarizations in the ILD simulation environment. Same version of the event generators and the ILCSoft distribution as for the $t\bar{t}$ study are used. The kaons from the secondary or tertiary vertices are reconstructed using the generator information, but with impurity and inefficiency of the direct kaon reconstruction, described in Sec. 9.5.

11.2 Bottom quark reconstruction and the background rejection

The bottom quarks hadronize into two b-jets in the detector, that are reconstructed by jet clustering algorithm.

An example of the $b\bar{b}$ pair event display in the ILD environment is shown in Fig. 11.1. As one sees from the Table 10, the largest background is the $b\bar{b} Z^0$ return events, which is also true for the right-handed beam configuration, due to large $e^+e^- \rightarrow Z^0\gamma$ process cross section. Other significant background processes are the hadronic decays of diboson processes, like the $e^+e^- \rightarrow Z^0 Z^0$ or $e^+e^- \rightarrow Z^0 H$ channels. The process $e^+e^- \rightarrow W^\pm W^\mp$ has higher cross section than the signal, but the W^\pm bosons have a small branching ratio to the b-quark, hence, this process is easily rejected by b-tag cuts.

The defined cuts against the background processes are the following:

- Value of the first jet b-tag should be higher than 0.8 and b-tag of the second jets is higher 0.3;
- Invariant mass of two jets $m_{Inv} > 180 \text{ GeV}$ and maximal photon energy $E_\gamma^{max} < 40 \text{ GeV}$;

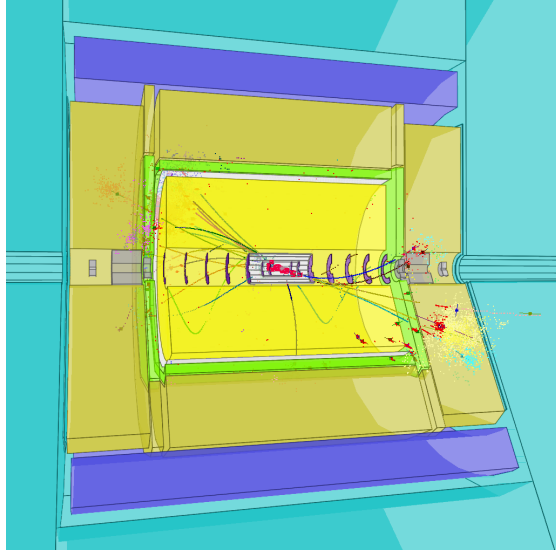


Figure 11.1: Event display of the $e^+e^- \rightarrow b\bar{b}$ process in the ILD simulation.

- Sum of the jet masses $m_1^{jet} + m_2^{jet} < 120 \text{ GeV}$;

The efficiencies of the defined cuts against the background processes are shown Table 11. The sum of the jet masses is changing with the b-jet polar angle as shown in Fig. 11.2. This deviation happens due to the degrading jet energy resolution towards the forward region of the detector. This behavior cause a bias in the b-quark polar angle distribution after the cut on $m_1^{jet} + m_2^{jet}$ value. Thus, a polar angle correction was introduced to remove the $m_1^{jet} + m_2^{jet}$ dependence on the $|\cos\theta|$. These cuts and the angular correction are applied equally to both beam polarizations. The successive application of the preselection cuts is demonstrated in Table 11.

Channel	$\sigma_{unpol.}$ [fb]	σ_{LR} [fb]	σ_{LR} [fb]
$b\bar{b}$	1756	5629	1394
$\gamma b\bar{b}$ (Z^0 return)	7860	18928	12512
$Z^0 Z^0$ hadronic	501	1402	604
$Z^0 Z^0$ semileptonic	534	1425	709
$H Z^0$ hadronic	143	351	222

Table 10: Unpolarized and 100% polarized cross sections at the Born level for the signal and the background processes at $\sqrt{s} = 250 \text{ GeV}$. The cross section values are estimated using Whizard generator.

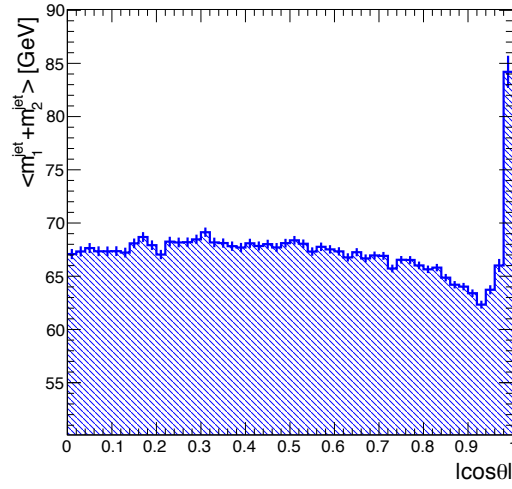


Figure 11.2: Mean sum of the jet masses as function of the polar angle of b -jets.

Selection cuts	$b\bar{b}$	$Z^0 Z^0$ hadronic	HZ hadronic	Z^0 return
Initial	1698477	350647	86283	4788595
b-tag	1104853 (65.05%)	57300 (16.34%)	33581 (38.92%)	1954303 (40.81%)
m_{Inv} and E_{γ}^{max}	932512 (54.90%)	53172 (15.16%)	31751 (36.80%)	9342 (0.20%)
$m_1 + m_2$	877012 (51.64%)	9239 (2.63%)	4129 (4.79%)	8750 (0.18%)

Table 11: Efficiency of the signal and the background processes after different cuts for the left-handed beam polarization. Numbers are normalized to 250 fb^{-1} of integrated luminosity.

11.3 Results

In these studies the same approach as for $t\bar{t}$ polar angle computation is applied. In $b\bar{b}$ process analysis, one has no other charge information sources available, except the b-jet information. Therefore, in this chapter, only the reconstructed vertex charge and kaon charge are computed and applied to the b-quark polar angle reconstruction.

11.3.1 Polar angle reconstruction

In this section, the reconstruction of the signal process only is discussed using the standard ILD reconstruction.

The b-quark polar angle is defined as a polar angle of the vector

$$\vec{p}_{b\bar{b}} = \vec{p}_b - \vec{p}_{\bar{b}}, \quad (11.1)$$

where \vec{p}_b and $\vec{p}_{\bar{b}}$ are the momentum vectors of the reconstructed b-quark jet and anti b-quark jet, respectively. Figure 11.3 demonstrates the reconstructed b-quark polar angle compared to the generated distribution for both beam polarizations. The generated A_{FB}^{gen} values are:

$$A_{FB}^{gen}(e_L^- e_R^+) = 0.705 \text{ and } A_{FB}^{gen}(e_R^- e_L^+) = 0.26. \quad (11.2)$$

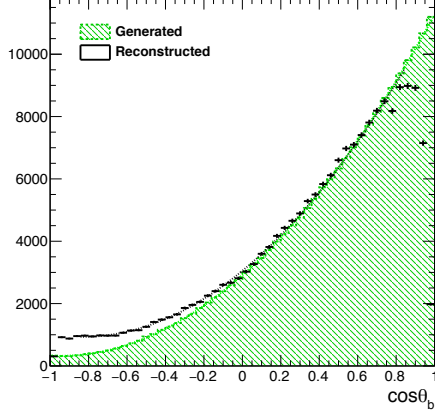
The difference in the generated b-quark polar angle distributions for two polarization configurations are caused by the difference between the Z^0 boson couplings to the left-handed and the right-handed fermions.

The reconstructed b-quark polar angle distributions have two major problems:

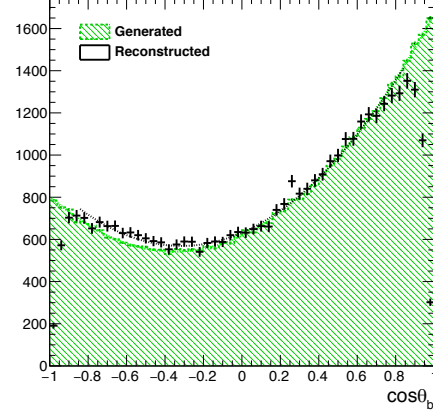
- The residual charge impurity flips the polar angle from $\cos \theta$ to $-\cos \theta$ and it contaminates the backward region $\cos \theta < 0$ of both distributions in Fig. 11.3. Due to the strong b-quark production asymmetry in the left-handed case the backward region is fully contaminated by the events with misreconstructed b-quark charge as seen in Fig. 11.4a.
- The large efficiency decrease in the forward region $|\cos \theta| > 0.85$. The main reason of this decrease is the inefficiency of the vertexing algorithms, which tend to not find any reconstructed vertex in the forward region, as seen in Fig. 9.10. The zoom into the problematic region is shown in Fig. 11.4b;

The inefficiency in the forward region of the detector makes impossible the measurement of the A_{FB}^b using a simple counting method, because the b-quark polar angle analysis limited to the region of $|\cos \theta| < 0.8$. The polar angle histogram is fitted by a general differential cross section function as:

$$f(A, B) = S(1 + \cos^2 \theta) + A \cos \theta, \quad (11.3)$$

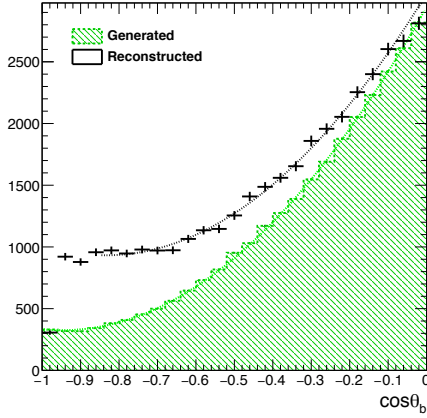


(a)

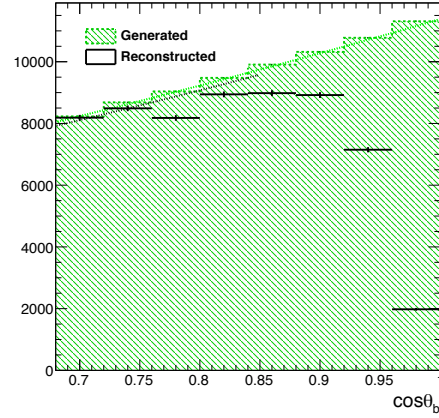


(b)

Figure 11.3: *Generated b-quark polar angle distribution compared to reconstructed polar angle in left-handed case (a) and right-handed case (b) using all possible charge signature combinations. Integrated luminosity used 250 fb^{-1} for both polarizations.*



(a)



(b)

Figure 11.4: *The demonstration of the contamination of backward region by the events with misreconstructed charge (a) and the forward region inefficiency of the b-quark polar angle measurements (b).*

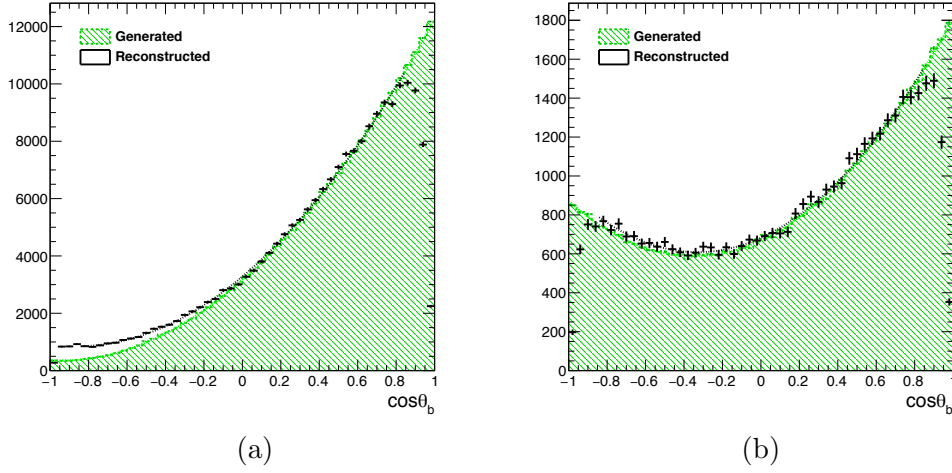


Figure 11.5: *Generated b -quark polar angle distribution compared to reconstructed polar angle in left-handed case (a) and right-handed case (b) using the independent kaon and vertex charge combinations after application of the vertex charge recovery algorithm.*

where S and A are the fitted parameters. The parameter S is proportional to the total cross section, while A is proportional to the forward-backward asymmetry. After the fit, the function is extrapolated to the full polar angle spectrum. However, the sensitivity of the current ILD experiment configuration to New Physics effects is lost for $|\cos \theta| > 0.8$.

In this chapter, the forward-backward asymmetry is used as a measure of the polar angle reconstruction quality. Using the extracted polar angle function the reconstructed A_{FB}^b value is calculated as

$$A_{FB} = \frac{3}{8} \frac{A}{S}. \quad (11.4)$$

The precision of the A_{FB}^b reconstruction is $A_{FB}^{rec}/A_{FB}^{gen} = 88\%$ in left-handed case and $A_{FB}^{rec}/A_{FB}^{gen} = 89\%$ in the right-handed case. However, the backward region contamination problem requires large corrections for residual charge misreconstruction in the left-handed polarization case as compared to the right-handed polarization case.

11.3.2 Vertex Charge Recovery influence

In this section, the vertex charge recovery algorithm, described in Section 9.4, is applied to the b -quark polar angle measurement in attempt to decrease the discrepancies between generated and reconstructed distributions. The result of the recovery application is shown in Fig. 11.5. The main improvements of the vertex charge recovery are the following:

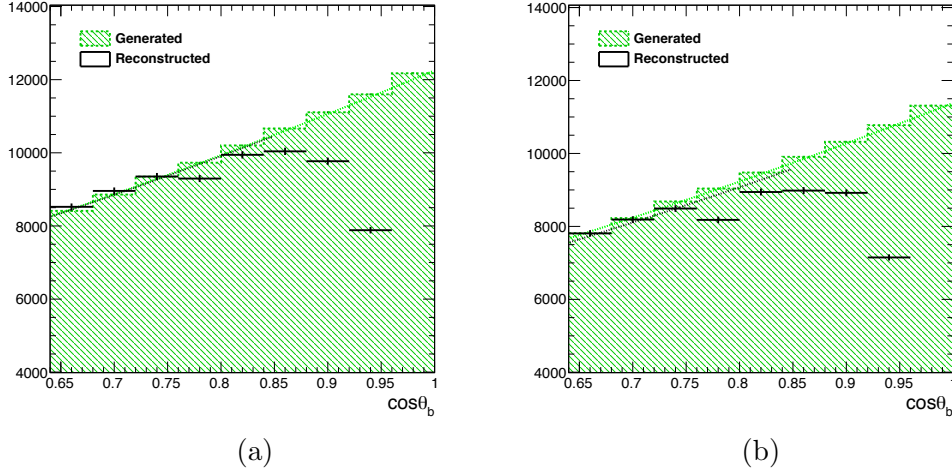


Figure 11.6: *The demonstration of the vertex charge recovery improvement (a) compared to the standard algorithm (b).*

- The number of accepted events is improved by 9%;
- The A_{FB} reconstruction is improved before correction by 3%;
- The vertex charge purity is increased by 4%;
- The kaon charge purity stays the same, but the number of kaons is increased by 10%;
- The vertex charge recovery provides close to constant charge purity in the barrel region of the ILD experiment, as can be seen in Fig. 11.6. This is the consequence of the recovery of the peaks of missing vertex particles as it is described in Sec. 9.4. The residual efficiency decrease in the $|\cos \theta| \approx 0.8$ bin is caused by the jet energy resolution.

11.3.3 Charge purity measurement

The knowledge of the charge purity from the reconstructed events, or the future ILC data is essential for the present analysis. The measurement of the b-quark charge purity is done using the number of accepted events N_a and the number of rejected events N_r . The b-quark charge measurement uses two compatible charge combinations, either the vertex charge or the kaon charge. Which means, that the following rules are applied:

- To accept an event one need to measure both charges correctly, or both charges incorrectly;

- The rejected event has one charge correctly reconstructed and another incorrectly reconstructed.

Let p be a probability of a correct charge measurement, then $q = 1 - p$ is a probability of the incorrect charge measurement. Then, the number of accepted events is:

$$N_a = Np^2 + Nq^2, \quad (11.5)$$

while the number of rejected has the following expression:

$$N_r = 2Npq, \quad (11.6)$$

where $N = N_a + N_r$ is the total number of events. The charge purity p is easily computed using expressions (11.5) and (11.6) for each charge pair combinations.

The charge purity values are the following:

- Vertex-vertex charge combination - 80%;
- Kaon-kaon charge combination - 79%;
- Kaon-vertex charge combination from the same jet - 90%;
- Kaon-vertex charge combination from opposite jets - 77%.

The kaon-vertex combination has much higher value of the charge purity than the vertex-vertex and kaon-kaon combinations. The reconstruction algorithms can by accident lose a particle from the reconstructed secondary or tertiary vertices. Thus, the true B_0 hadron will be reconstructed wrongly as a charged hadron. The $B^0 - \bar{B}^0$ oscillations can flip the charge of the K -meson, which will create a fake compatibility between the kaon and vertex charges. Hence, the measured purity of the kaon-vertex charge combination is biased by the accidental kaon-vertex charge correlation.

Again, the main advantage of this charge purity measurement procedure is its independence from the generator information. Therefore, it can be directly applied to the future data, taken by the ILC.

11.3.4 Corrections to the polar angle

To resolve the discrepancy between the generated and the reconstructed polar angle distributions, shown in Fig. 11.4a, the polar angle spectrum is corrected using the measured charge purity p . The data-driven measurement of the b-quark charge purity allows to compute the corrections to the b-quark polar angle spectrum without introduction large systematic uncertainties due to the simulation quality. To compute the correction, one

defines the number of the accepted events in the forward region N_a^+ and in the backward region N_a^- as:

$$\begin{aligned} N_a^+ &= N_{orig}^+ p^2 + N_{orig}^- q^2 \\ N_a^- &= N_{orig}^- p^2 + N_{orig}^+ q^2, \end{aligned} \quad (11.7)$$

where N_{orig}^+ and N_{orig}^- are the original accepted event number the forward region and in the backward region, respectively. The terms proportional to q^2 are responsible for the event migration effect shown in Fig. 11.4a. The equation (11.7) is true for the forward and the backward bins in the b-quark polar angle histogram. Using the equation (11.7) one calculates the corrected angular spectrum as:

$$\begin{aligned} N_a^+ &= N_{orig}^+ p^2 \\ N_a^- &= N_{orig}^- p^2, \end{aligned} \quad (11.8)$$

where the migration terms proportional q^2 are removed.

To avoid the charge correlations, the corrections to the b-quark polar angle are calculated without the mixed charge combinations.

To simplify the procedure, the purity p is calculated as an average for all bins of the polar angle histogram and charge pair combinations.

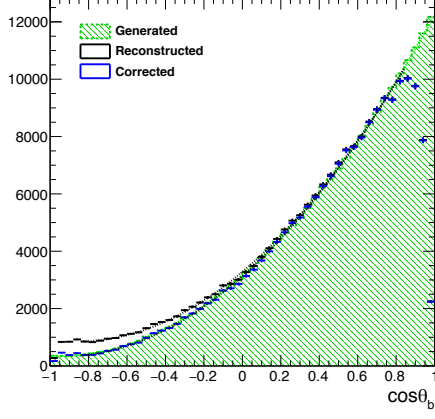
The results after the correction for the charge impurity are shown in Fig. 11.7. The precision of the A_{FB}^b reconstruction is $A_{FB}^{rec}/A_{FB}^{gen} = 100.4\% \pm 0.2\%$ in left-handed case and $A_{FB}^{rec}/A_{FB}^{gen} = 104\% \pm 2.0\%$ in the right-handed case. The errors on the A_{FB}^{rec} are calculated using the fit uncertainties.

The data-driven polar angle correction algorithm have been tested to work with and without vertex charge recovery algorithm with satisfactory results. The final selection efficiency is 13%.

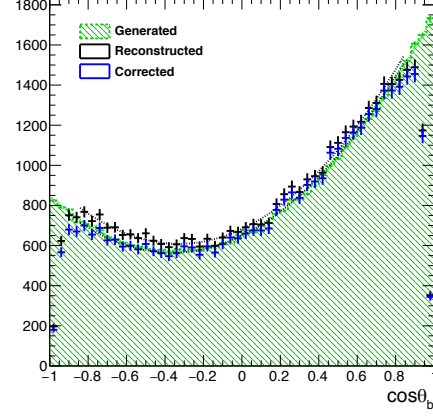
The final b-quark polar angle distributions with the overlaid background processes after vertex recovery are shown in Fig. 11.8. The background distribution is fitted with the function defined in Eq. 11.3, and substructed from the overlaid signal and background distributions.

11.4 Reachable accuracies at the ILC

The studies of the b-quark polar angle in this chapter were carried out for the ideal beam polarization and assuming 250 fb^{-1} of integrated luminosity for both beam polarizations. One needs to rescale the obtained uncertainties to the realistic running conditions at the ILC using Eq. 8.11. The ILC running scenarios are described in Sec. 2.2.1. The realistic running conditions increase the statistical errors by a factor of 1.12 for the left-handed beam and a factor of 1.75 for the right-handed beam configuration

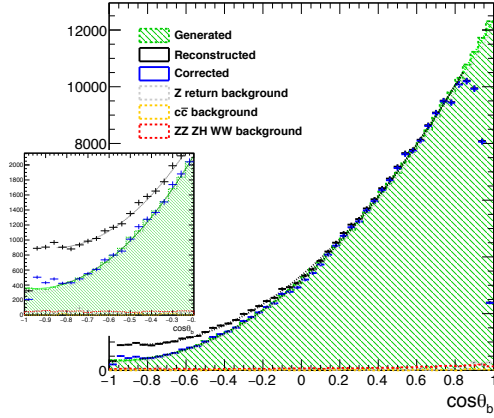


(a)

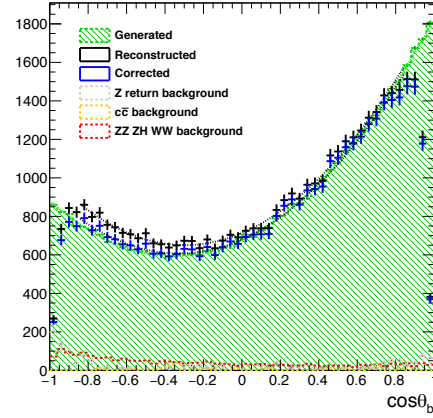


(b)

Figure 11.7: *Generated b -quark polar angle distribution compared to reconstructed polar angle in left-handed case (a) and right-handed case (b) using the independent kaon and vertex charge combinations. Signal only events are used.*



(a)



(b)

Figure 11.8: *Generated b -quark polar angle distribution compared to the final reconstructed b -quarks polar angle in left-handed case (a) and right-handed case (b) with overlaid background processes.*

assuming the $\sqrt{s} = 250$ GeV ILC physics program before the luminosity upgrade.

The systematic uncertainties are the following:

- Luminosity - the luminosity is the critical parameter for cross section estimation and for the polar angle measurement. The luminosity is known to 0.1% precision [53];
- Polarization - the polarization can be controlled to 0.1% for the electron beam and 0.35% for the positron beam [54]. This translates into an uncertainty of 0.35%;
- Contamination by opposite helicity state - the ILC beam will not be 100% polarized, which mixes two polar angle distributions together. The procedure of the pure polar angle distribution recovery will introduce a systematic error in right-handed beam polarization.
- Event preselection - the main preselection uncertainty comes from the b-tag cuts. One needs to extract selection efficiency ϵ_{b-tag} from the data to reduce the generator uncertainty. For a given b-tag selection with an efficiency ϵ_{b-tag} , one compares the amount of events with double b-tag, proportional to ϵ_{b-tag}^2 to the amount of the events with a single b-tag, which is proportional to ϵ_{b-tag} . From the ratio it is possible to extract ϵ_{b-tag} and the corresponding uncertainty. This method was used to estimate the b-tagging efficiency at LEP and SLC experiments [55]. The systematic error due to the b-tag selection is 0.2%.
- Background contamination - one simply takes the $\pm 10\%$ uncertainty on the residual background events contribution.

The final uncertainties on the measured total cross section and the forward-backward asymmetry values for the first $\sqrt{s} = 250$ GeV run of the ILC are summarized in Table 12. These uncertainties are used to calculate the precision on the b-quark electroweak couplings and the b-quark electroweak form factor values.

One should pay attention to the statistical correlations between the observables, which influence the final precision on the b-quark form factors. The correlation factors from the fit are given in Table 12. To confirm the result of the fit with a simple counting approach was used. One predicts a correlation coefficient $\rho \approx A_{FB}$, which comes close to the results of the fits. One also predicts a ratio between the errors $(dS/S)/(dA/A) \approx A_{FB}$ again in agreement with the findings. To fully take into account the fitting approach, one can use a likelihood method which says that $\rho = (dS/S)/(dA/A)$, the ratio of errors, which is the exact result from the fit.

Observable	$e_L^- e_R^+$	$e_R^- e_L^+$
σ_{total}^b precision	$0.31\% \oplus 0.37\%$	$1\% \oplus 0.45\%$
A_{FB}^b precision	0.24%	3.89%
S^I precision	0.31%	1.0%
A^I precision	0.38%	3.88%
ρ_{SA} correlation	0.84	0.3

Table 12: *Estimated relative uncertainties on the total cross section σ_{total}^b , reconstructed forward-backward asymmetry A_{FB}^b and the b-quark polar angle fit parameters S^I and A^I scaled to the first $\sqrt{s} = 250$ GeV run of the H-20 scenario at the ILC.*

11.5 Comparison to the LEP results

The ILC is able to provide a definite solution to the A_{FB}^b anomaly at LEP with respect to the Standard Model prediction.

The b-quark forward-backward asymmetry A_{FB} for the tree-level Standard Model as function of the center-of-mass energy along with the experimental results are demonstrated in Fig. 11.9. The most precise A_{FB} measurement was carried out at the Z^0 pole by LEP I experiments. The studies, presented in this thesis, take an advantage of the b-quark polar angle, which will be precisely measured at the ILC. The b-quark polar angle is fitted by the function, defined in Exp. 11.3 and the fitted parameters are used instead of A_{FB} and the b-quark cross section to define the precision on b-quark electroweak couplings. The LEP and ILC measurements are compared by the final precision on the b-quark to Z^0 couplings.

The LEP I precision on the $Z^0 b\bar{b}$ couplings is shown in Fig. 11.10. This numerical result is obtained by scanning the parameter space of the left-handed g_L^Z and the right-handed coupling g_R^Z defined in 8.3, assuming uncorrelated measurements of A_{FB} and R_b values. The intersection of the $\pm 1\sigma$ error bands from A_{FB} and R_b measurements gives the relative precision on the $Z^0 b\bar{b}$ couplings. This study leads to the following conclusions:

- The value g_R^Z has much larger uncertainty of $\pm 9.7\%$ as compared to the $\pm 0.4\%$ uncertainty on the g_L^Z coupling;
- The g_R^Z is shifted w.r.t. the Standard Model value at (0,0) by approximately 2.5σ ;
- The LEP I measurements at Z^0 pole had no interference between Z^0 and γ propagators, which leads to the sign ambiguity of the g_R^Z

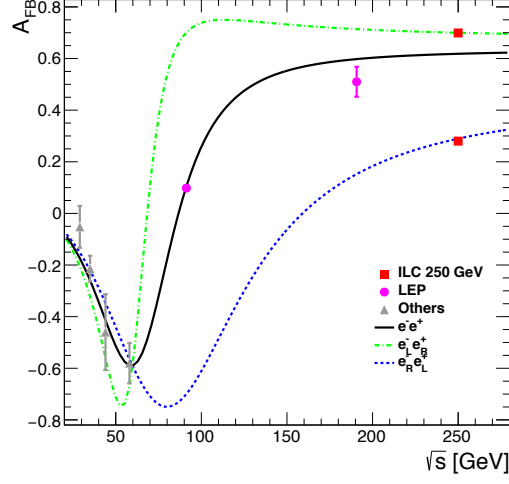


Figure 11.9: Tree level *Standard Model* forward-backward asymmetry A_{FB} as function of center-of-mass energy \sqrt{s} . Results of the low-energy experiments and *LEP* measurements are taken from [56].

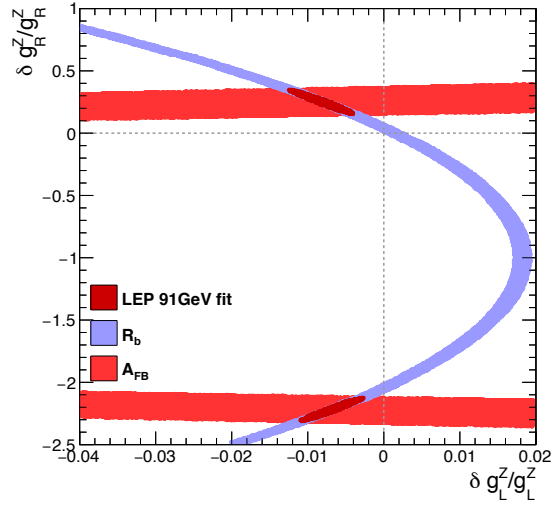


Figure 11.10: Tree level $\pm 1\sigma$ allowed regions defined by the forward-backward asymmetry and R_b measurements at *LEP I*. Allowed values are represented by the intersections of uncertainty bands. The *LEP* measurements are taken from [56].

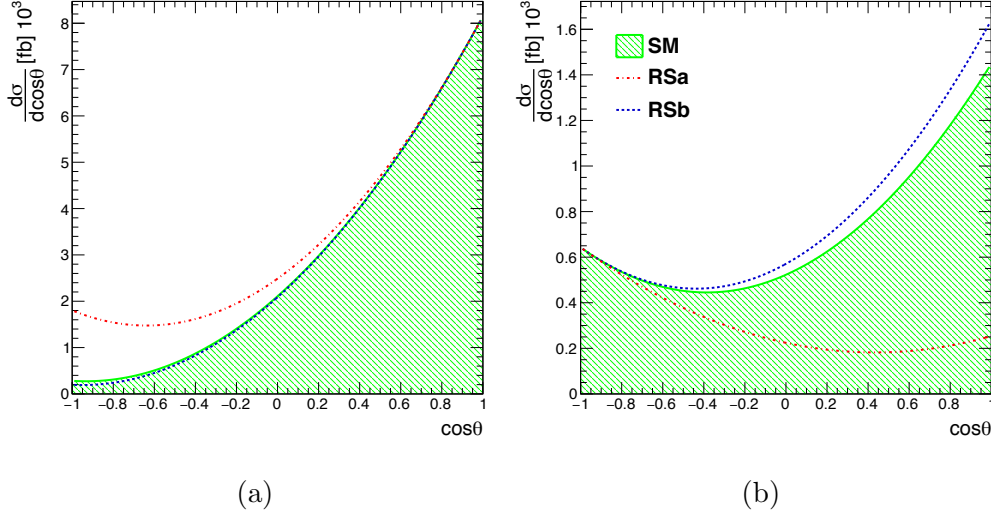


Figure 11.11: The possible b -quark polar angle modifications according to the LEP measurements.

coupling. This fact is reflected in Fig. 11.10 as a second allowed region around $\delta g_R^Z/g_R^Z \approx -2.25$.

These two coupling modification scenarios are called RSa for the flipped g_R^Z coupling sign and RSb for the Standard Model g_R^Z coupling sign in [56]. The corresponding modifications of the b -quark polar angle are shown in Fig. 11.11. The LEP measurements allow for a sign flip of the right-handed coupling g_R^Z , however, it will produce 2σ deviation from TRISTAN data at $\sqrt{s} = 60$ GeV, as found in [56]. The g_R^Z sign flip is easily detectable at the ILC, because the b -polar angle in right-handed beam configuration will be reflected around $\cos\theta_b = 0$ as demonstrated in Fig. 11.11b. Therefore, the further studies are concentrated on the solution with the Standard Model g_R^Z coupling sign. The corresponding parameter space is shown in Fig. 11.12a. The estimated central values of the $Z^0 b\bar{b}$ couplings obtained at LEP are

$$\delta g_R^Z/g_R^Z = +25.4\% \pm 9.7\% \quad (11.9)$$

$$\delta g_L^Z/g_L^Z = -0.9\% \pm 0.4\%. \quad (11.10)$$

In this thesis, the ILC precision of b -quark couplings is estimated by the b -quark polar angle parameters only. The extracted fit parameters S^I and A^I and the corresponding covariance matrix for two beam polarizations allow for extracting the $Z^0 b\bar{b}$ couplings with the corresponding uncertainties. The ILC will provide only one definite solution, due to the Z^0/γ interference terms in the differential cross section definition. The values of the differential cross section parameters S^I and A^I from Table 12 are used

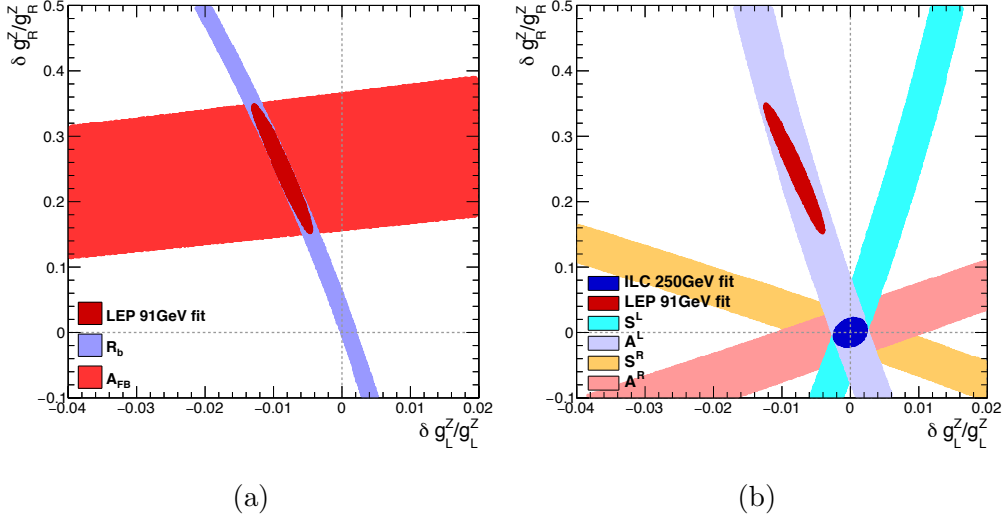


Figure 11.12: Tree level $\pm 1\sigma$ allowed regions defined by the forward-backward asymmetry and total cross section measurements at LEP (a) and ILC via the differential cross section fit (b). Dashed guidelines show the Standard Model value. The allowed region expected at the ILC is centered at the Standard Model values of couplings.

to estimate the corresponding $\pm 1\sigma$ error bands for both beam polarizations in Fig. 11.12b. The numerical calculation agrees with an analytical estimation of the relative statistical uncertainties on the following values:

$$\delta g_R^Z/g_R^Z = \pm 2.1\% \quad (11.11)$$

$$\delta g_L^Z/g_L^Z = \pm 0.2\%, \quad (11.12)$$

which are achievable after the first $\sqrt{s} = 250$ GeV physics run of the ILC.

Therefore, the LEP deviation should be either fully confirmed or definitely discarded at the ILC. Moreover, the ILC will be able to provide 5σ deviation for a $\pm 11\%$ modification of the g_R^Z coupling after the first 250 GeV physics run.

The two parameter fit of b-quark polar angle distribution allows to determine four electroweak form factors independently. The expression (8.5) is used to compute the form factors from the b-quark polar angle fit. The relative precision on the b-quark form factors is summarized in Table 13. The statistical uncertainties on the b-quark form factors are estimated to be below 1% precision. The systematics effect are smaller, than statistical errors, therefore the measurement will be improved with time.

Quantity	F_{1V}^γ	F_{1A}^γ	F_{1V}^Z	F_{1A}^Z
SM value	-1/3	0	-0.41	0.59
Statistical error ILC	0.0025	0.0015	0.0033	0.0022
Systematical error ILC	0.00116	0.00116	0.0012	0.0009
Relative error	0.75% \oplus 0.35%		0.82% \oplus 0.3%	0.37% \oplus 0.15%
LEP precision			2.5%	1.73%

Table 13: *Estimated uncertainties on the b-quark electroweak form factors.*

11.6 Discussion and outlook

The ILC will be able to provide a definite solution to the LEP A_{FB}^b anomaly and it allows to extract four electroweak form factors independently, using the two-parameter fits of the b-quark polar angle distributions. One can use three parameter b-quark polar angle fit with $\sin^2 \theta$ term, included in 8.6. This will allow an independent computation of the tensorial form factors F_{2V}^γ and F_{2V}^Z . The tensorial form factors in the Standard Model are caused by the higher order corrections to the $b\bar{b}$ process, and they can be modified by heavy BSM bosons. However, one need to control well the polar angle distribution, because even small variation of the histogram will have a large impact on the $\sin^2 \theta$ term reconstruction.

The study of the b-quark charge purity and the b-quark polar angle reconstruction revealed a large detector inefficiency in the forward region. The ILD collaboration started revisiting the forward region design of the Vertex Detector and the innermost Forward Tracking Disks [57].

The b-quark charge reconstruction efficiency in case of $e^+e^- \rightarrow b\bar{b}$ process can be almost doubled by including the mixed vertex-kaon modes in the polar angle reconstruction. However, this will complicate significantly the correction procedure to the b-quark polar angle spectrum, and can introduce simulation dependence into the algorithm.

Conclusions

This thesis presents new developed methods and studies done for the ILC project. Detectors at the ILC are designed for the application of Particle Flow algorithms, which enhance the physics performance by using the information from the highly granular calorimeters.

The high granular Si-W ECAL physics prototype was constructed and tested by the CALICE collaboration. During this thesis, a track-finding algorithm was developed allowing to reconstruct secondary tracks emerged from hadronic interactions in the Si-W ECAL physics prototype. The Si-W ECAL physics prototype simulation was compared to the data using the new observables from the track-finding algorithm. All tested simulation models shows a good performance in terms of the new observables. These results have been accepted as CALICE Preliminary results and were presented at the Vienna Conference on Instrumentation (VCI) and the IEEE Nuclear Science Symposium. The developed track-finding algorithm can be applied for the Particle Flow reconstruction or at least for its validation in simplified scenarios.

In this thesis, the b-quark charge technique is used for reconstruction of top and bottom quark polar angle distribution. The developed methods are applied in two channels, $e^+e^- \rightarrow t\bar{t}$ and $e^+e^- \rightarrow b\bar{b}$ processes at $\sqrt{s} = 500$ GeV and 250 GeV, respectively.

The developed b-quark charge reconstruction technique uses information from reconstructed secondary and tertiary vertices. Using the standard reconstruction algorithm one has a low b-quark charge purity of 66%. It was found, that missing particles from the secondary and tertiary vertices degrade the b-quark charge purity. To increase the purity of the b-quark charge, the developed Vertex Charge Recovery algorithm adds the missing particles to the reconstructed vertices and, as a result, it increases the b-quark charge purity by 7%. The kaons from the secondary or tertiary vertices deliver information about the initial quark charge. To increase the kaon identification efficiency, an angular correction was applied to the reconstructed dE/dx values. After correction one has 97% purity and 87% efficiency of the kaon identification.

The top quark polar angle is reconstructed in the semileptonic channel using a combination of the b-quark charge with the W^\pm lepton charge. This technique improves the reconstruction efficiency by 25% as comparing to the previous result [35]. Moreover, the b-quark charge technique can be applied in the fully hadronic $t\bar{t}$ pair decays, which should increase significantly the available statistics to determine the top quark electroweak couplings.

This thesis addresses the topic of $b\bar{b}$ pair production, which have never been studied using the ILC environment. This study is motivated by the

A_{FB} measurement at Z^0 pole by LEP collaborations, which disagrees by 2.5 standard deviations from the Standard Model prediction. This deviation shifts the right-handed $Z^0 b\bar{b}$ coupling by 25% with 10% uncertainty. The b-quark charge measurement is the only possible method to compute the b-quark polar angle distribution. Two major problems were discovered: the event migration and the forward region efficiency decrease. It was found, that residual charge impurity contaminates completely the backward region of b-quark polar angle distribution for the left-handed beam configuration. The procedure of the data-driven charge purity measurement allows to correct the distribution for residual contamination. As the result, the reconstructed b-quark polar angle is usable for the extraction of electroweak couplings and form factors of the b-quark. Given the accuracy predicted at ILC on the right-handed coupling, 5 times better than at LEP, the LEP anomaly will be either fully confirmed or definitely discarded. A paper publication based on this work is on-going. In view of the observed experimental shortcomings reported in this thesis, the ILD collaboration initiated an optimization of the forward region detectors design to address the forward region inefficiency problem.

A ε parameter- Number of tracks in data and Monte Carlo simulation

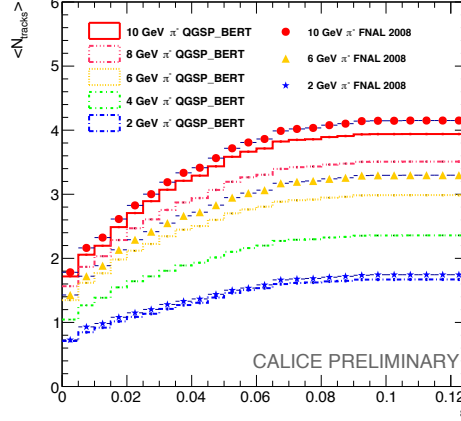


Figure A.1: Mean number of tracks found by the track-finding algorithm as a function of ε values for 2, 4, 6, 8 and 10 GeV beam energy for data (bullets) and for QGSP_BERT physics list simulation (lines). Events without a detected interaction region are excluded.

For future reference the Fig. A.1 shows a comparison between data and Monte Carlo for the studies presented in Sec. 5.4.

B Polar angle and track length as a function of the ε parameter

Figure B.1a presents the variation of $\langle \theta \rangle$ in QGSP_BERT simulation for different beam energies as a function of the ε parameter value. The mean polar angle saturates for large ε . The empirically chosen value of $\varepsilon = 0.03$ is close to a local minimum of the function, therefore, the $\langle \theta \rangle$ observable is stable against a small variation of the ε value.

The mean track length $\langle l \rangle$ as function of ε for different beam energies is shown in Fig. B.1b. The function has a local maximum around $\varepsilon = 0.03$ and it saturates for large ε . These observations can be explained as follows:

- At $\varepsilon \rightarrow 0$ only small pencil-like clusters are considered as tracks. The small tracks can fit the Si-W ECAL pad volume for any direction. Therefore, $\langle \theta \rangle$ is large;
- If the ε value is slightly above zero, the longer clusters, with some amount of adjacent hits become tracks. These tracks have smaller

$\langle \theta \rangle$ angle due to a fact that the Si-W ECAL physics prototype has 30 layers in depth and 18×18 lateral size.

- With large ε values also spherical shaped clusters are accepted as tracks. These clusters have a small amount of hits, since otherwise, they would be counted as an interaction region. The algorithm can assign any direction for these spherical clusters, resulting in an increase of $\langle \theta \rangle$ and a decrease of the mean track length.

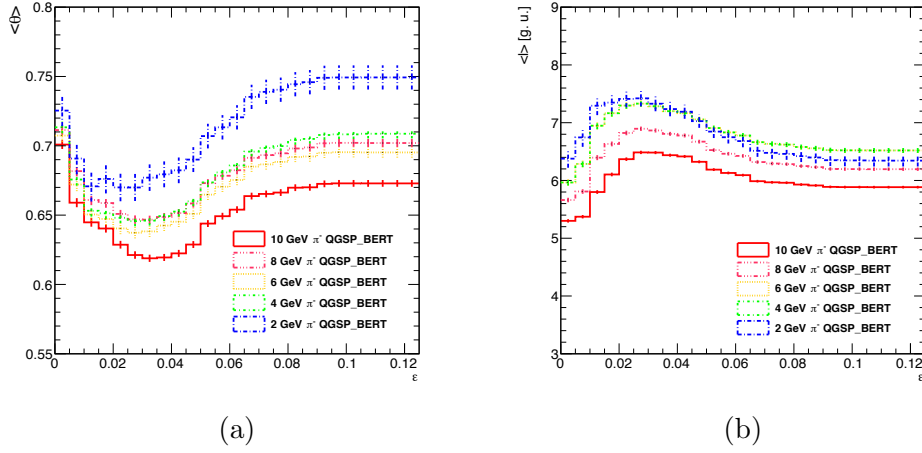


Figure B.1: Mean θ angle (a) and mean track length l (b) of the tracks found by the track-finding algorithm with different ε parameter values for 2, 4, 6, 8 and 10 GeV beam energy in QGSP_BERT simulation. The minimum value of $\langle \theta \rangle$ and the maximum value of the track length is around the empirically chosen value of 0.03.

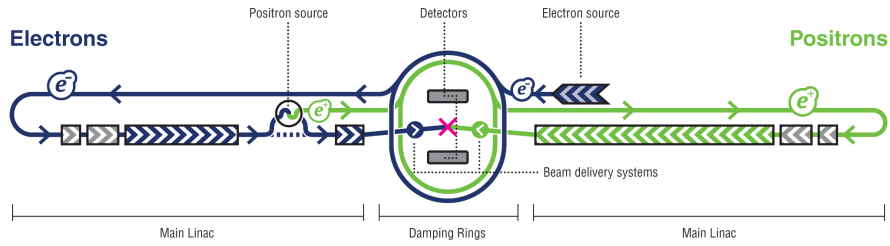


Figure C.1: *Vue schématique de l'ILC.*

C Résumé en français

Introduction

L'International Linear Collider [3] (ILC) est un projet de collisionneur électron-positon à haute énergie destiné à la recherche de la nouvelle physique par des mesures de précision. L'énergie dans le centre de masse peut être variée entre la masse du boson Z^0 et 1 TeV couvrant ainsi les masses de toutes les particules du modèle standard. La Figure C.1 représente une vue synoptique du complexe de l'accélérateur et de ses dimensions. L'état initial des collisions est composé par des particules élémentaires ce qui conduit à une excellente contrôle des erreurs théoriques et constitue donc une situation idéale pour des mesures de précision. Le programme scientifique de l'ILC porte sur une étude profonde du boson de Higgs ainsi que sur la détermination des couplages électrofaibles des fermions; notamment ceux des quarks lourds bottom et top. Le potentiel de physique sera renforcé par des faisceaux polarisés où dans la suite P_{e-} représente la polarisation du faisceau d'électrons et P_{e+} représente celle du faisceau de positons.

L'ILC devrait avoir deux détecteurs, SiD et ILD, qui vont fonctionner de manière alternative. Cette thèse est concentre sur l'expérience ILD.

ILD (International Large Detector) est un concept pour un détecteur multi-tâches de haute précision. Comme montré dans la vue schématique, Fig. C.2, ILD est composé de plusieurs sous-détecteurs concentriques:

- un détecteur de vertex à pixel multicouche (VTX), avec les disques trajectométriques vers l'avant (FTD);
- deux cylindres de silicium (SIT);
- une "Time Projection Chamber" (TPC) comme détecteur de traces central;
- un calorimètre électromagnétique hautement granulaire (ECAL);

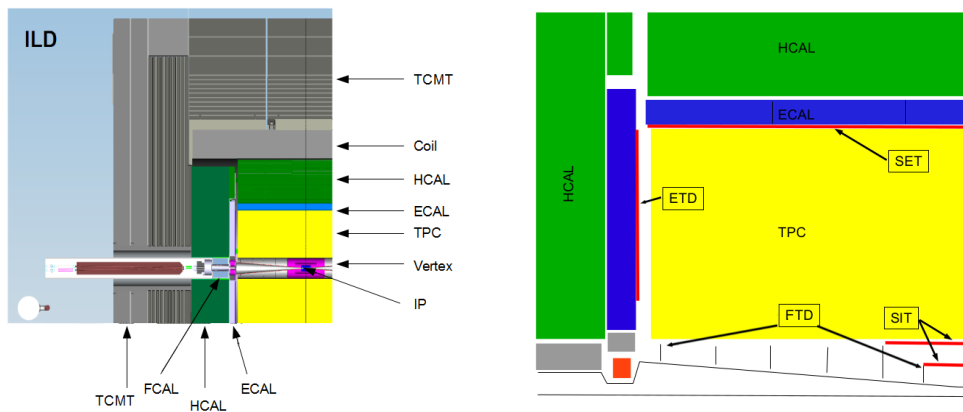


Figure C.2: *Gauche: Vue schématique d'un quadrant de l'ILD. Droite: Gros plan sur les détecteurs internes.*

- un calorimètre hadronique hautement granulaire (HCAL);
- une bobine supraconductrice, qui crée un champ magnétique axial de 3.5 Tesla.
- un détecteur pour les muons et les fins de cascades hadroniques (TCMT).

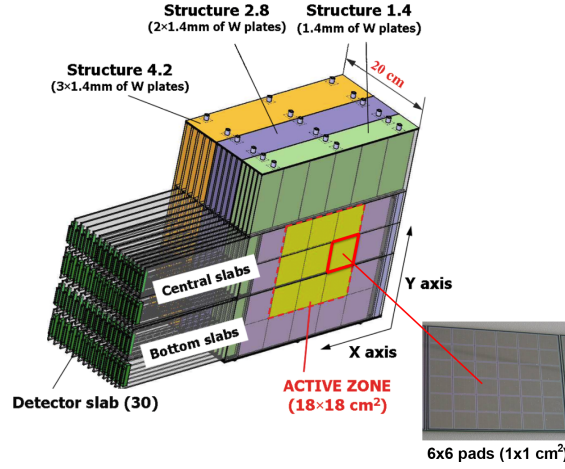


Figure C.3: *Vue schématique du Si-W ECAL.*

Calorimètre électromagnétique silicium-tungstène hautement granulaire

Un calorimètre électromagnétique de silicium tungstène (SiW-ECAL) est le choix favorisé d'ILD.

La collaboration CALICE (CALorimetry for the LInear Collider Experiments) a construit et testé un prototype SiW-ECAL dont la vue schématique du prototype est présentée dans la Figure C.3.

L'avantage du tungstène est sa grande longueur d'interaction ($\lambda_I = 96 \text{ mm}$), comparée à sa longueur de radiation $X_0 = 3.5 \text{ mm}$. Cela permet une bonne séparation longitudinale entre les photons et les hadrons. Des capteurs de silicium sous-divisés en pixels d'une taille de $1 \times 1 \text{ cm}^2$ servent comme matériau actif.

Cette thèse présente une analyse de données enregistrées avec le prototype SiW-ECAL lors d'une campagne de test en faisceau au FNAL (Etats-Unis) en 2008.

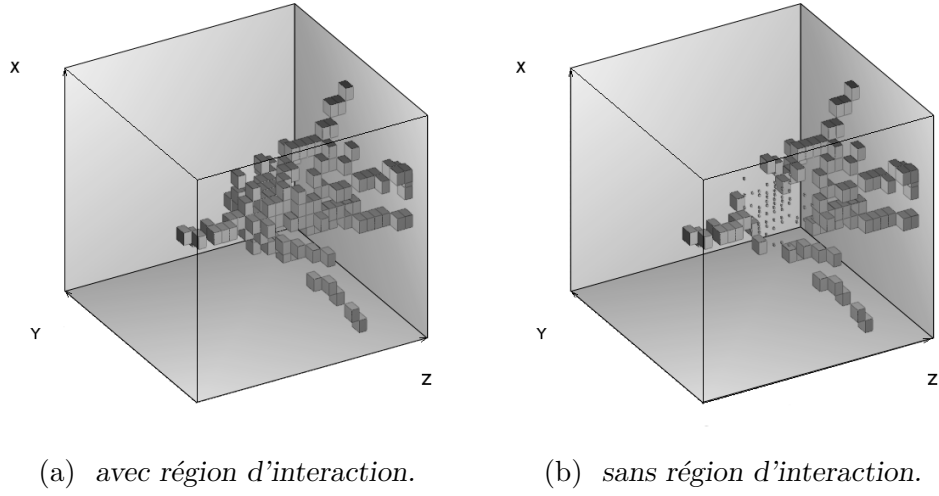


Figure C.4: Visualisation de l'interaction d'un pion primaire 10 GeV d'énergie enregistrée au FNAL 2008 avant (a) et après suppression de la région d'interaction(b).

L'algorithme de reconstruction de traces

L'objectif principal de l'algorithme de traces développé pour cette thèse est la détection de traces diffusées vers l'avant qui proviennent de l'interaction entre les pions primaires et le matériau absorbant en l'absence d'un champ magnétique.

Le schéma d'exécution de l'algorithme est comme suit:

- La région d'interaction est identifiée par un critère topologique et séparée pour une analyse plus approfondie, voir Fig. C.4;
- Les dépôts d'énergie restants sont regroupés dans des amas de pixels appelés clusters dans la suite, voir Fig. C.5;
- Les clusters obtenus sont classés pour séparer ceux qui ressemblent aux traces des signaux provenant du bruit résiduel;
- Après la classification, les clusters qui appartiennent à la même particule secondaire sont fusionnés en une seule trace.

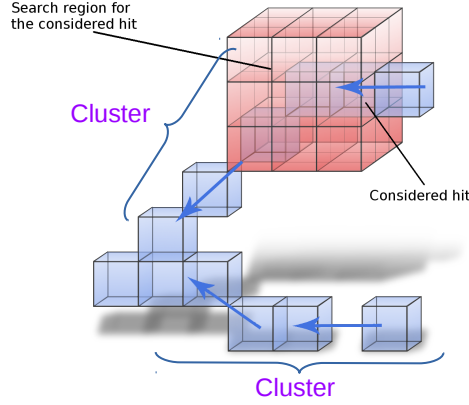


Figure C.5: *Illustration des étapes de la clusterisation. Les pixels actifs sont représentés par des cubes bleus, et la zone de recherche pour des signaux contigus est indiquée par des cubes rouges. Les flèches bleues indiquent la direction du flux de clusterisation.*

Comparaison des simulations et des données réelles

La figure C.6 montre les distributions du rapport entre l'énergie déposée dans la zone d'interaction et l'énergie totale dans le détecteur. Les données sont comparées aux prédictions de trois modèles de simulation des gerbes hadroniques proposés par le logiciel GEANT4. La première case de chaque histogramme totalise les événements pour lesquels aucune région d'interaction n'est trouvée par l'algorithme.

Dans la figure C.7 la valeur moyenne de f_{IR} est présentée en fonction de l'énergie du faisceau. La valeur moyenne de f_{IR} prédit par les modèles de simulation est jusqu'à 20% inférieure à celle observée dans les données.

Un résultat central de l'analyse est le nombre de traces secondaires (N_{tracks}). Les distributions N_{tracks} sont présentées dans la Fig. C.8 pour les données et les trois modèles de GEANT4 pour les énergies des pions primaires de 2 et 10 GeV.

La valeur moyenne du nombre de traces est présentée en Fig. 1.9 en fonction de l'énergie des pions primaires. Les modèles de GEANT4 sont en bon accord avec les données à 2 et 10 GeV. Par contre ils sous-estiment le nombre de traces d'environ 7% pour ces deux valeurs.

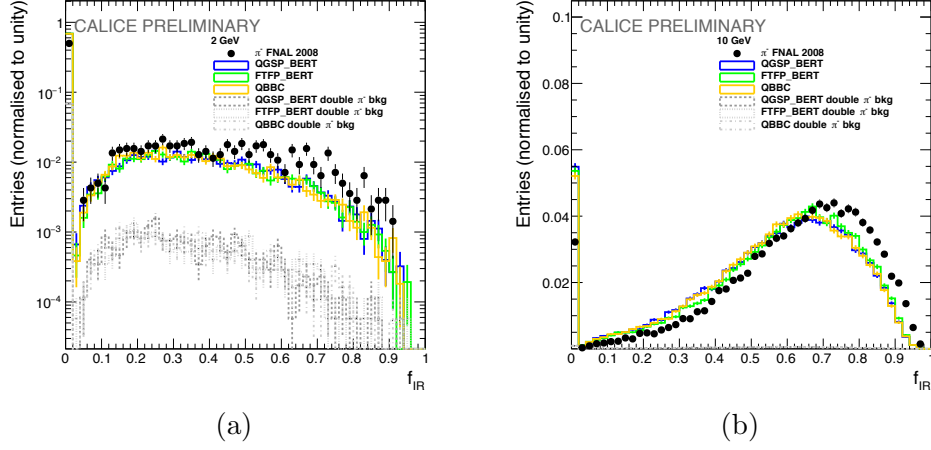


Figure C.6: Comparaison de f_{IR} entre les données et les simulations pour trois listes GEANT4 physiques pour 2 GeV (a) et 10 GeV (b) d'énergie de la particule primordiale.

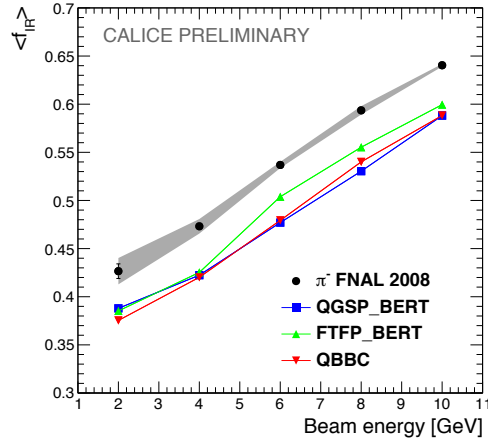
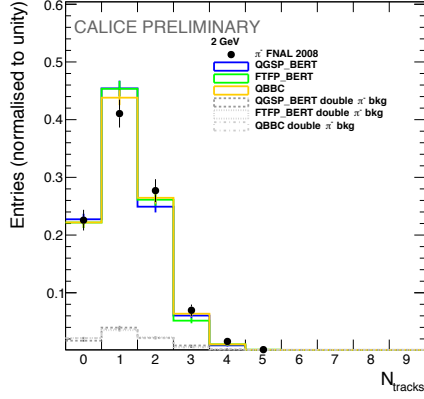
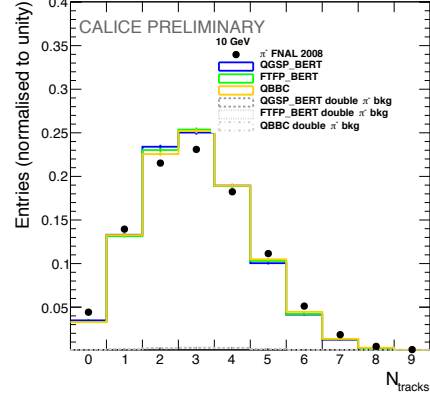


Figure C.7: Fraction moyenne du dépôt d'énergie dans la région d'interaction pour les données et les simulations pour trois modèles de simulation proposés par le logiciel GEANT4 en fonction de l'énergie du faisceau (2 GeV à 10 GeV).

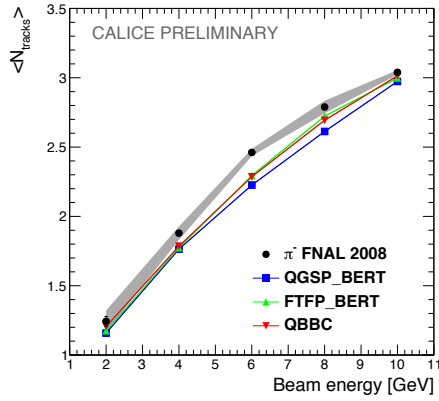


(a)

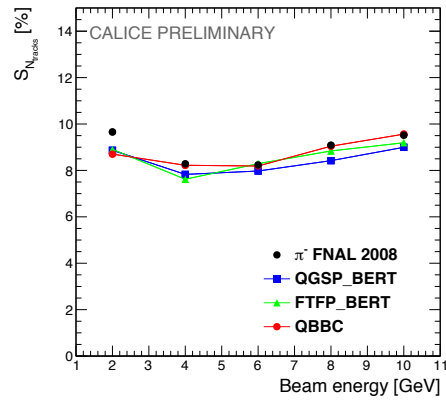


(b)

Figure C.8: Comparaison de N_{tracks} entre les données et les simulations pour trois modèles de simulation de GEANT4. L'énergie du pion primaire est de 2 GeV (a) et 10 GeV (b).



(a)



(b)

Figure C.9: Nombre moyen de traces trouvées pour les données et les simulations pour trois listes physiques GEANT4 en fonction de l'énergie du faisceau (2 GeV à 10 GeV).

Les quarks top et bottom à l'ILC

La masse du quark top est comparable à la valeur du vide électrofaible et elle est beaucoup plus grande que les masses de toutes les autres particules connues aujourd'hui et notamment de celles des bosons de la force électrofaible. C'est pourquoi le quark top joue un rôle particulier dans de nombreux modèles de physique au-delà du modèle standard.

Des mesures précises des couplages des quarks lourds sont donc des pistes prometteuses pour la recherche indirecte de nouvelles particules et la discrimination entre différentes théories.

Cette section porte sur la production électrofaible des paires de quarks top et bottom.

Les paires de fermions f sont produites au vertex $f\bar{f}X$, où X représente des bosons vectoriels neutres, le photon ou le boson Z^0 . Le courant au $f\bar{f}X$ vertex peut être exprimé comme suit:

$$\Gamma_\mu^{f\bar{f}X}(k^2, q, \bar{q}) = ie\{\gamma_\mu(F_{1V}^X(k^2) + \gamma^5 F_{1A}^X(k^2)) - \frac{\sigma_{\mu\nu}(q - \bar{q})^\nu}{2m_f}(iF_{2V}^X(k^2) + \gamma^5 F_{2A}^X(k^2))\}, \quad (\text{C.1})$$

où $k^2 = (q + \bar{q})^2$ est la quadri-impulsion au carré du boson du vecteur échangé, q et \bar{q} sont les quadri-impulsions du fermion f et de l'antifermion \bar{f} et m_f est la masse des deux, γ_μ et γ_5 sont les matrices de Dirac, et $\sigma_{\mu\nu} = i/2(\gamma_\mu\gamma_\nu - \gamma_\nu\gamma_\mu)$.

F sont des facteurs de forme, qui contiennent les corrections quantiques du vertex. Au niveau Born les F prennent les valeurs suivantes:

$$F_{1V}^{f\gamma} = Q^f, \quad F_{1A}^{f\gamma} = 0, \quad F_{1V}^{fZ} = \frac{I^f - 2Q^f \sin^2 \theta_W}{2 \cos \theta_W \sin \theta_W}, \quad F_{1A}^{fZ} = -\frac{I^f}{2 \cos \theta_W \sin \theta_W}, \quad (\text{C.2})$$

et tous les F_2 sont 0. Dans l'équation C.2 I^f est l'isospin faible, $I^t = 1/2$ pour le quark top et $I^b = -1/2$ pour le quark bottom et Q^f est la charge électrique, $Q^t = 2/3$ et $Q^b = -1/3$.

Le facteurs de forme sont liés aux couplages des fermions pour des hélicités droite et gauche au boson de Z^0 , $g_L = F_{1V}^Z - F_{1A}^Z$ et $g_R = F_{1V}^Z + F_{1A}^Z$. Les résultats obtenus dans cette these sont exprimés en termes de g_L et g_R .

$$g_L^Z = \frac{I^f - Q^f \sin^2 \theta_W}{\sin \theta_W \cos \theta_W}, \quad g_R^Z = -\frac{Q^f \sin^2 \theta_W}{\sin \theta_W \cos \theta_W}. \quad (\text{C.3})$$

L'observable clé de la thèse est la section efficace différentielle par rapport de l'angle polaire θ de diffusion de paires de fermions. La section efficace peut être définie en fonction de la polarisation $I = L, R$ des électrons incidents.

$$\begin{aligned}
\frac{d\sigma^I}{d\cos\theta} = & \frac{3}{4}\mathcal{A}N_c\beta[(1+\cos^2\theta)(\mathcal{F}_{1V}^I + \mathcal{F}_{2V}^I)^2 + (\beta\mathcal{F}_{1A}^I)^2] - \\
& - 4\cos\theta(\mathcal{F}_{1V}^I + \mathcal{F}_{2V}^I)\beta\mathcal{F}_{1A}^I + \\
& + \sin^2\theta[\gamma^{-2}(\mathcal{F}_{1V}^I + \gamma^2\mathcal{F}_{2V}^I)^2] \quad (\text{C.4})
\end{aligned}$$

Les facteurs \mathcal{F} sont une rédefinition des facteurs de forme F selon la référence [33], $\mathcal{A} = 4\pi\alpha^2/3s$ où α est le carré du couplage électromagnétique et N_c est le nombre de couleurs des fermions (i.e. 3 pour les quarks). Finalement, β et γ sont la vitesse et le facteur de Lorentz du fermion produit, respectivement.

Les mesures de $\sin^2\theta_W$ par SLD en utilisant l'asymétrie gauche-droite et au LEP en utilisant l'asymétrie avant-arrière dans le processus $e^+e^- \rightarrow b\bar{b}$ sont contradictoires entre elles et en désaccord avec la prédiction du modèle standard. De nombreuses théories au delà du modèle standard prédisent notamment des modifications de la production électro-faible des paires des quarks lourds par rapport du modèle standard.

Reconstruction de la charge du quark bottom

La reconstruction de la section efficace différentielle de quarks lourds nécessite la mesure de la charge du quark bottom. A cette fin, cette thèse exploite deux méthodes complémentaires:

- **Charge de vertex** qui est la somme de toutes les charges reconstruites associées à la désintégration d'un b ;
- **Charge du méson K** qui est la charge des mésons K associés à la désintégration d'un b .

Dans leurs versions actuelles les algorithmes de vertexing ignorent une ou plusieurs particules produites lors de la désintégration des b . La qualité de la reconstruction des vertex de désintégration b est définie par l'observable de pureté, définie comme le nombre de charges correctes divisé par le nombre total de charges. Cette thèse propose un algorithme pour récupérer les traces ignorées. Cet algorithme mène à une amélioration significative de la pureté comme le démontre la Fig. C.10.

Le volume gazeux de la TPC permet de mesurer le dépôt d'énergie par longueur de trace, dE/dx . La valeur dE/dx varie en fonction du type et de la vitesse d'une particule et est donc un moyen pour l'identification des particules. Après avoir corrigé le dE/dx d'effets de dépendance angulaire, les kaons issus de la désintégration des b peuvent être identifiés avec 97% de pureté et 87% d'efficacité. Les spectres dE/dx en fonction de l'impulsion des particules pour trois types de hadrons après et avant la correction angulaire sont représentés dans la Fig. C.11.

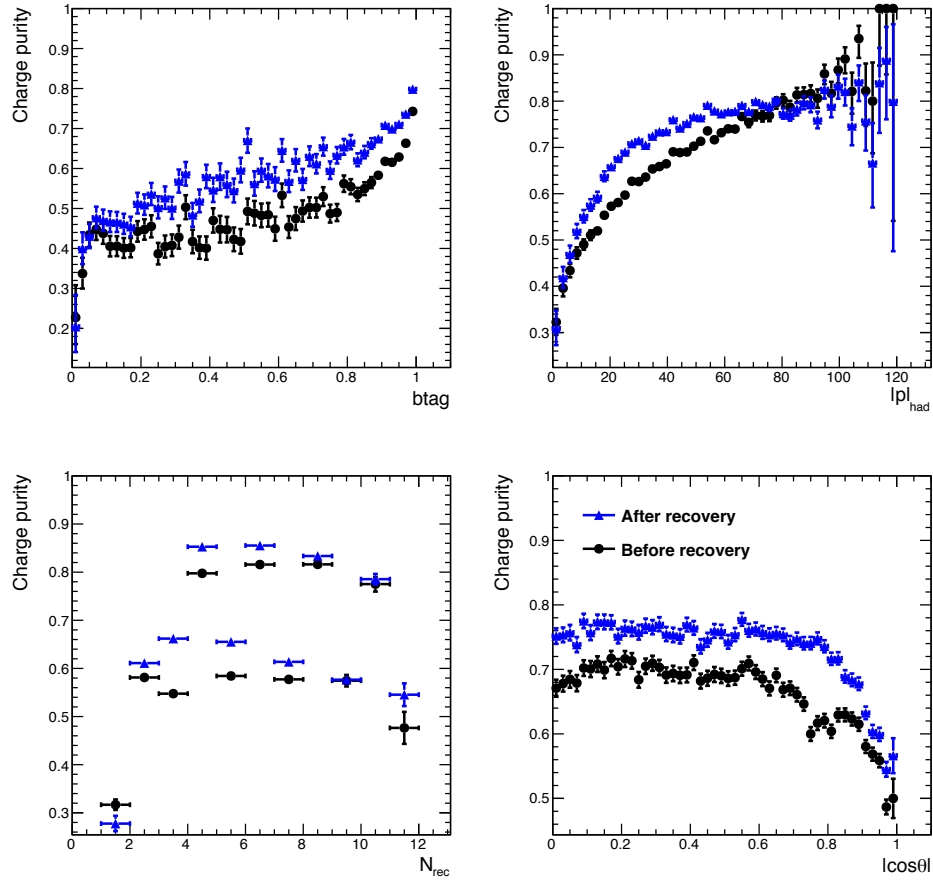


Figure C.10: Pureté de la charge en fonction de b -tag - une probabilité pour un jet de provenir d'un quark b , l'impulsion du b reconstruit, N_{rec} et l'angle polaire $|\cos\theta|$ avant et après l'algorithme de récupération de vertex.

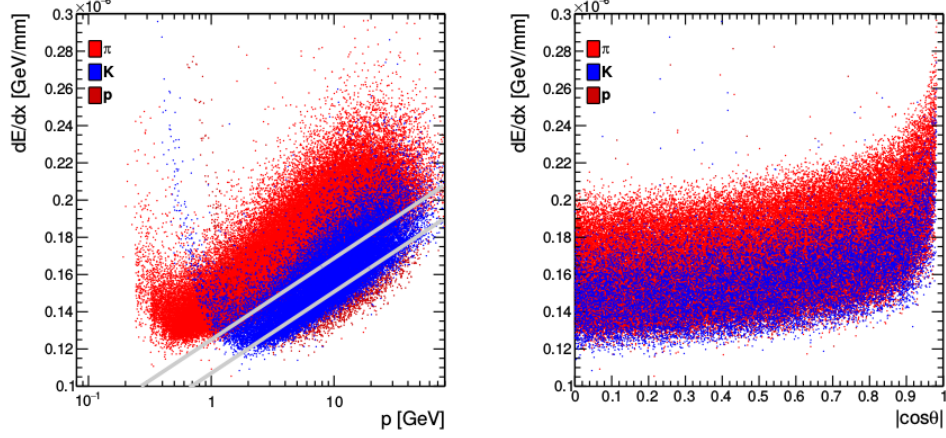


Figure C.11: Le dépôt d'énergie par longueur de trace dE/dx en fonction de l'impulsion des particules, l'angle polaire des particules $|\cos \theta|$ pour pions, kaons et protons. Deux lignes grises séparent la région avec une concentration maximale de kaons.

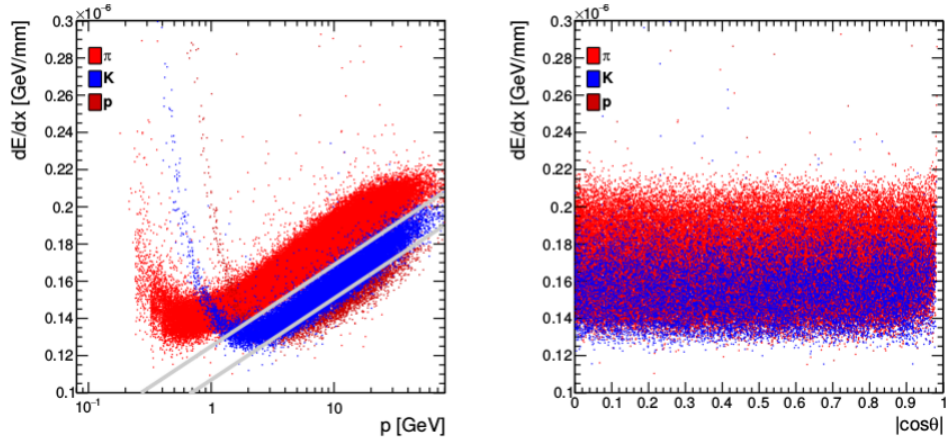


Figure C.12: Le dépôt d'énergie par longueur de trace dE/dx en fonction de l'impulsion des particules, l'angle polaire des particules $|\cos \theta|$ pour pions, kaons et protons. après l'application de la correction angulaire. Deux lignes grises séparent la région avec une concentration maximale de kaons.

Reconstruction de l'angle polaire des quark top et bottom

L'étude du quark top suppose une énergie dans le centre de masse de $\sqrt{s} = 500 \text{ GeV}$ et une luminosité intégrée de 500 fb^{-1} . Le quark top désintègre en quark bottom et boson W . Le désintégration du boson W définit trois topologies pour le processus $e^+e^- \rightarrow t\bar{t}$:

- le canal leptonique $t\bar{t} \rightarrow b\bar{b}l^+\nu l^-\bar{\nu}$;
- le canal semi-leptonique $t\bar{t} \rightarrow b\bar{b}l\nu q\bar{q}'$;
- le canal hadronique $t\bar{t} \rightarrow b\bar{b}q\bar{q}'q\bar{q}'$.

L'analyse précédente [35] a utilisé la charge du lepton W^\pm pour reconstruire l'asymétrie avant-arrière A_{FB} , définie comme le nombre d'événements émis à l'avant moins le nombre d'événements émis à l'arrière divisé par le nombre total. Cette observable est sensible aux facteur de forme axial $F_{1A}^{Z/\gamma}$.

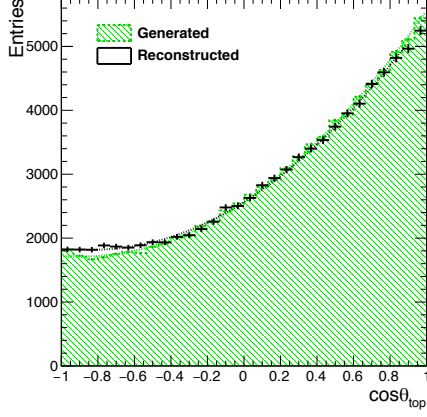
Dans un premier temps, les nouvelles méthodes de reconstruction de la charge du quark b ont été appliquées dans le canal semi-leptonique du quark top pour améliorer l'efficacité de la reconstruction de la charge du quark top. Le résultat après l'application de toutes les combinaisons de paires possibles de la charge de vertex, de la charge de kaon et de la charge de W^\pm lepton à la reconstruction de l'angle polaire supérieur illustrée dans la Fig. C.13.

Cette méthode permet de reconstituer l'asymétrie avant-arrière du quark top avec $A_{FB}^{rec}/A_{FB}^{gen} = 94\%$. L'efficacité finale de la selection est de $38,6\%$, ce qui améliore le résultat précédent [35] par 25% .

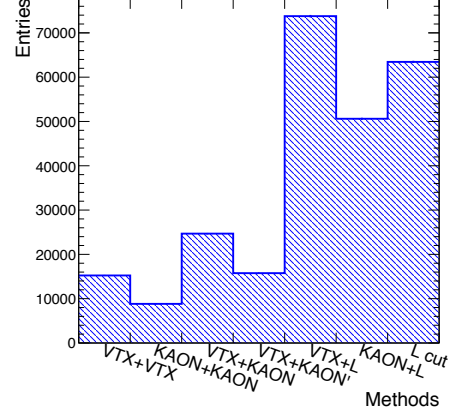
La méthode la plus utilisée est la combinaison de la charge de vertex avec la charge W^\pm lepton car le W^\pm lepton doit être reconstruit dans chaque événement sélectionné.

Pour le canal $e^+e^- \rightarrow b\bar{b}$ on utilise seulement la détermination de la charge du quark b pour la reconstruction de l'angle polaire. La distribution de l'angle polaire reconstruit du quark b à $\sqrt{s} = 250 \text{ GeV}$ utilisant une combinaison de signatures des charges des kaons et du vertex est montrée sur la Fig. C.14. Une luminosité intégrée $\mathcal{L}_I = 250 \text{ fb}^{-1}$ est supposée pour chaque polarisation du faisceau.

Le spectre final est obtenu par une correction supplémentaire qui se sert des mesures contradictoires des charges sans faire appel à l'information du générateur. Les distributions corrigées sont ajustées par $S(1 + \cos^2 \theta) + A \cos \theta$ conformément à Eq. C.4. Les paramètres S et A sont donc reliés aux constantes de couplage. Comme on peut le voir dans la Fig. C.14, la contribution des processus de fond, comme par exemple la production d'une paire de bosons est faible.

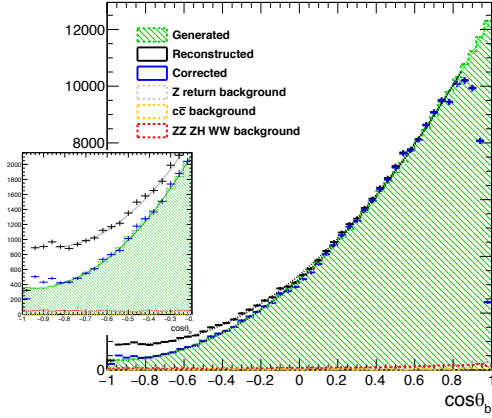


(a)

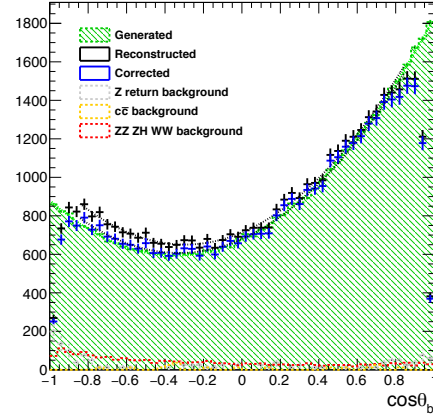


(b)

Figure C.13: Distribution de l'angle polaire g n r e par rapport   l'angle polaire reconstruit (a) du quark top en utilisant toutes les combinaisons possibles de signature de charge, dont la r partition est donn e en (b).



(a)



(b)

Figure C.14: Distribution de l'angle polaire des quarks b g n r e compar es aux distributions de l'angle polaire des quarks b reconstruit pour la configuration $P_{e^-} = -1, P_{e^+} = +1$ (a) et $P_{e^-} = +1, P_{e^+} = -1$ (b) les processus de fond sont superpos s et indiqu s en rouge.

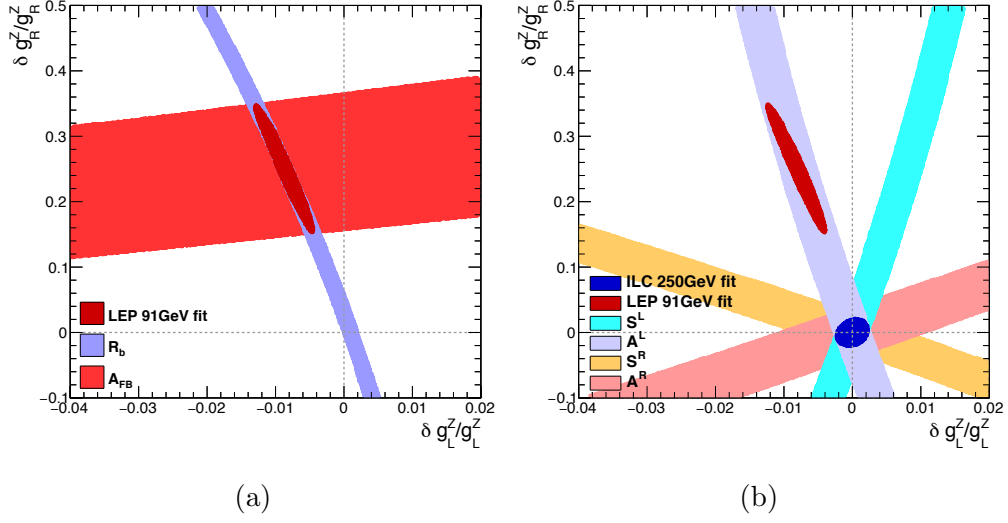


Figure C.15: Les régions de $\pm 1\sigma$ sur les couplages $Z^0 b\bar{b}$ définies par l'asymétrie avant-arrière et les mesures des sections efficaces totales à LEP (a) et à l'ILC via l'ajustement de la section différentielle (b). Les lignes directrices montrent les valeurs du modèle standard. Les valeurs centrales de la projection de la précision de l'ILC sont déplacées vers les valeurs du modèle standard.

Les précisions relatives sur les couplages $Z^0 b\bar{b}$, g_L^Z et g_R^Z , pour les mesures LEP I et pour les performances attendues à l'ILC sont affichées dans la Fig. C.15. La précision de l'ILC sur le couplage g_R^Z est suffisante pour confirmer ou rejeter complètement l'anomalie observée à LEP dans le processus $e^+e^- \rightarrow b\bar{b}$.

Conclusions

Cette thèse présente de nouvelles méthodes et études développées pour l'analyse de données qui seront enregistrées avec les détecteurs de l'ILC. Ces détecteurs sont conçus pour l'application d'algorithmes, qui améliorent la reconstruction des états finaux des collisions e^+e^- en utilisant les informations des calorimètres hautement granulaires. En plus ces détecteurs sont également conçus pour une mesure très précise des vertex secondaires.

Le prototype du calorimètre électromagnétique hautement granulaire a été construit et testé par la collaboration CALICE. Dans cette thèse, un algorithme de reconstruction des traces a été développé permettant d'étudier pour la première fois des traces secondaires issues des interactions hadroniques dans le prototype SiW-ECAL. Les données enregistrées avec ce prototype lors des campagnes de test en faisceau sont comparées avec des prédictions des modèles des gerbes hadroniques proposés par le

logiciel GEANT4. L'algorithme donne accès à de nouvelles observables représentées comme le nombre de traces par interaction.

Tous les modèles de simulation testés montrent une bonne performance en termes de nouvelles observables à l'exception de 10-15% différence dans les observables de la zone d'interaction.

Dans cette thèse, les distributions de l'angle polaire des quarks top et bottom sont obtenues à l'aide de la charge du quark b . Les méthodes développées sont appliquées aux deux canaux, $e^+e^- \rightarrow t\bar{t}$ et $e^+e^- \rightarrow b\bar{b}$ à $\sqrt{s} = 500 \text{ GeV}$ et 250 GeV , respectivement.

La thèse propose des méthodes pour la détermination de la charge du quark b avec une haute pureté: la somme de toutes les charges reconstruites, qui sont associées au vertex de désintégration des b et la charge des kaons trouvés dans les produits de la désintégration des b . Cela permet de reconstruire précisément l'angle polaire du quark b et d'en extraire les couplages électrofaibles du quark b au boson de Z^0 . La précision prévisible à l'ILC sur le couplage g_R est 5 fois meilleure que pour LEP. L'anomalie LEP sera soit donc confirmée, soit définitivement écartée.

References

- [1] Serguei Chatrchyan et al. Observation of a new boson at a mass of 125 GeV with the CMS experiment at the LHC. *Phys. Lett.*, B716:30–61, 2012.
- [2] Georges Aad et al. Observation of a new particle in the search for the Standard Model Higgs boson with the ATLAS detector at the LHC. *Phys. Lett.*, B716:1–29, 2012.
- [3] Ties Behnke, James E. Brau, Brian Foster, Juan Fuster, Mike Harrison, James McEwan Paterson, Michael Peskin, Marcel Stanitzki, Nicholas Walker, and Hitoshi Yamamoto. The International Linear Collider Technical Design Report - Volume 1: Executive Summary. 2013.
- [4] S. L. Glashow. Partial Symmetries of Weak Interactions. *Nucl. Phys.*, 22:579–588, 1961.
- [5] Steven Weinberg. A Model of Leptons. *Phys. Rev. Lett.*, 19:1264–1266, 1967.
- [6] Abdus Salam. Weak and Electromagnetic Interactions. *Conf. Proc.*, C680519:367–377, 1968.
- [7] F. J. Hasert et al. Observation of Neutrino Like Interactions Without Muon Or Electron in the Gargamelle Neutrino Experiment. *Phys. Lett.*, 46B:138–140, 1973.
- [8] P. Bagnaia et al. Evidence for $Z_0 \rightarrow e^+e^-$ at the CERN pp collider. *Physics Letters B*, 129(1):130 – 140, 1983.
- [9] Siegfried Bethke. The 2009 World Average of $\alpha(s)$. *Eur. Phys. J.*, C64:689–703, 2009.
- [10] Georges Aad et al. Measurements of Higgs boson production and couplings in the four-lepton channel in pp collisions at center-of-mass energies of 7 and 8 TeV with the ATLAS detector. *Phys. Rev.*, D91(1):012006, 2015.
- [11] Vardan Khachatryan et al. Measurement of differential cross sections for Higgs boson production in the diphoton decay channel in pp collisions at $\sqrt{s} = 8$ TeV. *Eur. Phys. J.*, C76(1):13, 2016.
- [12] Georges Aad et al. Measurements of the Higgs boson production and decay rates and constraints on its couplings from a combined ATLAS and CMS analysis of the LHC pp collision data at $\sqrt{s} = 7$ and 8 TeV. *JHEP*, 08:045, 2016.

- [13] M. Baak, J. Cúth, J. Haller, A. Hoecker, R. Kogler, K. Mönig, M. Schott, and J. Stelzer. The global electroweak fit at NNLO and prospects for the LHC and ILC. *Eur. Phys. J.*, C74:3046, 2014.
- [14] T. Barklow, J. Brau, K. Fujii, J. Gao, J. List, N. Walker, and K. Yokoya. ILC Operating Scenarios. 2015.
- [15] Mike Harrison, Marc Ross, and Nicholas Walker. Luminosity Upgrades for ILC. In *Proceedings, 2013 Community Summer Study on the Future of U.S. Particle Physics: Snowmass on the Mississippi (CSS2013): Minneapolis, MN, USA, July 29-August 6, 2013*, 2013.
- [16] Abela R. et al. *XFEL: The European X-Ray Free-Electron Laser - Technical Design Report*. DESY, Hamburg, 2006.
- [17] Ties Behnke, James E. Brau, Philip N. Burrows, Juan Fuster, Michael Peskin, et al. The International Linear Collider Technical Design Report - Volume 4: Detectors. 2013.
- [18] R. Fruhwirth. Application of Kalman filtering to track and vertex fitting. *Nucl. Instrum. Meth.*, A262:444–450, 1987.
- [19] C. Patrignani et al. Review of Particle Physics. *Chin. Phys.*, C40(10):100001, 2016.
- [20] PDG Data Group. <http://pdg.lbl.gov/2016/atomicnuclearproperties/>.
- [21] John Allison et al. Geant4 developments and applications. *IEEE Trans. Nucl. Sci.*, 53:270, 2006.
- [22] GEANT4 Collaboration. Reference physics lists.
- [23] Jean-Claude Brient and Henri Videau. The Calorimetry at the future e^+e^- linear collider. *eConf*, C010630:E3047, 2001.
- [24] J. Repond et al. Design and Electronics Commissioning of the Physics Prototype of a Si-W Electromagnetic Calorimeter for the International Linear Collider. *JINST*, 3:P08001, 2008.
- [25] B. Bilki et al. Testing hadronic interaction models using a highly granular silicon–tungsten calorimeter. *Nucl. Instrum. Meth.*, A794:240–254, 2015.
- [26] C. Adloff et al. Track segments in hadronic showers in a highly granular scintillator-steel hadron calorimeter. *JINST*, 8:P09001, 2013.
- [27] Tracking within Hadronic Showers in the SDHCAL prototype using Hough Transform Technique. *CALICE Analysis Note CAN-047*.

- [28] C. Adloff et al. Construction and Commissioning of the CALICE Analog Hadron Calorimeter Prototype. *JINST*, 5:P05004, 2010.
- [29] Construction and performance of a silicon photomultiplier/extruded scintillator tail-catcher and muon-tracker. *JINST*, 7:P04015, 2012.
- [30] P. Mora de Freitas and H. Videau. Detector simulation with MOKKA / GEANT4: Present and future. In *Linear colliders. Proceedings, International Workshop on physics and experiments with future electron-positron linear colliders, LCWS 2002, Seogwipo, Jeju Island, Korea, August 26-30, 2002*, pages 623–627, 2002.
- [31] H. Li. *Higgs Recoil Mass and Cross-Section Analysis at ILC AND Calibration of the CALICE SiW ECAL Prototype*. Theses, Université Paris Sud - Paris XI, October 2009.
- [32] Philippe Doublet. *Hadrons in a highly granular SiW ECAL – Top quark production at the ILC*. Theses, Université Paris Sud - Paris XI, October 2011.
- [33] Carl R. Schmidt. Top quark production and decay at next-to-leading order in $e^+ e^-$ annihilation. *Phys. Rev.*, D54:3250–3265, 1996.
- [34] Lisa Randall and Raman Sundrum. A Large mass hierarchy from a small extra dimension. *Phys. Rev. Lett.*, 83:3370–3373, 1999.
- [35] M. S. Amjad et al. A precise characterisation of the top quark electroweak vertices at the ILC. *Eur. Phys. J.*, C75(10):512, 2015.
- [36] Tao Han. Collider phenomenology: Basic knowledge and techniques. In *Physics in $D \geq 4$. Proceedings, Theoretical Advanced Study Institute in elementary particle physics, TASI 2004, Boulder, USA, June 6-July 2, 2004*, pages 407–454, 2005.
- [37] S. Matsumoto. Constraints on the electroweak universal parameters and the top and Higgs masses from updated LEP / SLC data. *Mod. Phys. Lett.*, A10:2553–2570, 1995.
- [38] R. Schwienhorst and on behalf of the CDF. Summary of Single top quark production at the Tevatron. In *Proceedings, 49th Rencontres de Moriond on Electroweak Interactions and Unified Theories: La Thuile, Italy, March 15-22, 2014*, pages 239–244, 2014.
- [39] Timo Antero Aaltonen et al. Measurement of the forward–backward asymmetry of top-quark and antiquark pairs using the full CDF Run II data set. *Phys. Rev.*, D93(11):112005, 2016.

- [40] Roger Naranjo. Measurements of the charge asymmetry in top-quark pair production in the dilepton final state at $\sqrt{s} = 8$ TeV with the ATLAS detector. In *Proceedings, 9th International Workshop on Top Quark Physics (TOP 2016): Olomouc, Czech Republic, September 19-23, 2016*, 2017.
- [41] Vardan Khachatryan et al. Measurement of top quark-antiquark pair production in association with a W or Z boson in pp collisions at $\sqrt{s} = 8$ TeV. *Eur. Phys. J.*, C74(9):3060, 2014.
- [42] Ignacio Garcia Garcia. Top Physics at CLIC; CLIC Workshop 2016.
- [43] Muhammad Sohail Amjad. *Forward-Backward asymmetry in top pair production at the ILC*. Theses, Université Paris Sud - Paris XI, February 2014.
- [44] Thomas Kraemer. <http://www-fic.desy.de/lcnotes/notes/lc-det-2006-004.pdf>.
- [45] Taikan Suehara and Tomohiko Tanabe. LCFIPlus: A Framework for Jet Analysis in Linear Collider Studies. *Nucl. Instrum. Meth.*, A808:109–116, 2016.
- [46] Donatella Falciai. Z0 pole measurements of parity violation parameters A(b) and A(c) at SLC / SLD. In *High energy physics: Proceedings, 28th International Conference, ICHEP'96, Warsaw, Poland, July 25-31, 1996. Vol. 1, 2*, pages 1305–1308, 1996.
- [47] P. Abreu et al. Measurement of the forward backward asymmetry of c and b quarks at the Z pole using reconstructed D mesons. *Eur. Phys. J.*, C10:219–237, 1999.
- [48] M. S. Amjad et al. Beam test performance of the SKIROC2 ASIC. *Nucl. Instrum. Meth.*, A778:78–84, 2015.
- [49] V. Ammosov et al. HARP Collaboration. <http://harp-cdp.web.cern.ch/harp-cdp/dedx.pdf>.
- [50] Buskulic D. et al. ALEPH Collaboration. Performance of the ALEPH detector at LEP. *Nuclear Instruments and Methods in Physics Research Section A: Accelerators, Spectrometers, Detectors and Associated Equipment*, 360:481–506, 1995.
- [51] First combination of Tevatron and LHC measurements of the top-quark mass. 2014.

