

Target identification using electroreception

Chun-Hsiang Tsou

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Identification d'une cible par l'électrolocalisation

Target identification using electroreception

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TARGET IDENTIFICATION USING ELECTRORECEPTION

Abstract

Electrolocation is the name given to the sensor ability for certain electric fish robots, which are able to detect electrostatic perturbations caused to the presence of some objects in their neighborhood. This ability to interpret an electrical signal to locate itself in space opens important perspectives, including in the field of biologically inspired robotics. Mathematically, electrolocation is linked to the electric impedance tomography: so it's about a non-linear inverse problem, particularly ill-posed problem. We will, in this Phd, study some methods of reconstruction, which could be obtain robustly some characteristic of the obstacle's shape, rather all of their geometry details. So, it's about to study the stability between the observable part of the obstacles and the errors of measurements.

Keywords: inverse problem, conduction equation, electroreception

Identification d'une cible par l'électro-localisation

Résumé

L'électro-localisation est le nom donné aux capacités sensorielles de certains poissons électriques, vivant en eaux troubles, capables de détecter les perturbations électrostatiques dues à la présence d'objets dans leurs voisinages. Cette aptitude à interpréter un signal électrique pour se repérer dans l'espace ouvre l'importance perspectives, notamment dans le domaine de la robotique brio-inspiré. Mathématiquement, l'électrolocalisation est proche de la tomographie d'impédance électrique : il s'agit donc d'un problème inverse non linéaire, notoirement mal posé. Nous proposons dans cette thèse d'étudier des méthodes de reconstruction qui permettraient d'obtenir de manière robuste certaines caractéristiques de la forme des obstacles, plutôt que l'ensemble des détails de leurs géométries. Il s'agit donc d'étudier la stabilité de la partie observable des obstacles par rapport à des erreurs dans les mesures.

Mots clés : problème inverse, équation de conduction, électro-localisation

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Introduction

Electroreception or electrolocalization is the name given to the sensor ability for certain electric fish. There exist many species of electric fish, which belong principally to the two orders, Gymnotiforms in South America and Mormyriforms in Africa [57]. They are able to detect electrostatic perturbations caused by the presence of some objects in their neighborhood. This ability to interpret an electrical signal to locate itself in space opens important perspectives for applications, in particular in the field of biologically inspired robotics. From the point view of mathematics, electroreception leads to an inverse conductivity problem.

Inverse conductivity problems, also called Calderón problems, are the prototypical models of mathematical inverse problems. They have been studied with the purpose of deriving some nondestructive imaging methods such as Electrical Impedance Tomography(EIT). This technique has a main application in medical imaging, but variants are used in geophysics and other domains. The principal purpose of Calderón problems is to determine the conductivity at each point in a conductive medium from some total or partial measurements of the voltage and the current on its boundary.

The mathematical model of Calderón problems is described as follows. Let Ω be a bounded smooth domain in \mathbb{R}^n for $n \ge 2$, $\gamma \in L^{\infty}_{loc}(\Omega)$ be the unknown positive conductivity, and u be the solution to the Dirichlet problem,

$$\begin{cases} \operatorname{div}(\gamma(x)\nabla u(x)) = 0 & x \in \Omega, \\ u(x) = f & x \in \partial\Omega. \end{cases}$$
(1)

Since γ is positive, existence and uniqueness of the solution $u \in H^1(\Omega)$ for any

Dirichlet data $f \in H^{1/2}(\Omega)$ can be derived from standard arguments. The inverse problem is formulated as follows: Given the Dirichlet to Neumann map Λ : $H^{1/2}(\partial \Omega) \rightarrow H^{-1/2}(\partial \Omega)$ (or given partial information on λ), can we reconstruct $f \mapsto \gamma \frac{\partial u}{\partial \nu}|_{\partial \Omega}$ (or given partial information on λ), can we reconstruct the conductivity γ ? In general, the inverse problem is non-linear and ill-posed. Calderón published his seminal paper [31] in 1980. Since then, developments on this problem have been focused on three perspectives: uniqueness, stability and reconstruction algorithms.

The general theories on Calderón problems assume that an infinite number of measurements are available. The essential tool is the Dirichlet to Neumann operator (DtN operator), which is defined for any fonction $f \in H^{1/2}(\Omega)$ maps to the normal derivative $\gamma \frac{\partial u}{\partial \nu}$ where u is the solution to (1). The uniqueness of γ for smooth conductivity coefficients was proven by Sylvester and Uhlmann [44], which showed that if two Dirichlet to Neumann operators, associated to conductivity coefficients γ_1 and γ_2 , are equal, then $\gamma_1 = \gamma_2$ in Ω . Moreover, the uniqueness results in two dimensions were generalized by Astala and Päivärinta [22], which states that all conductivity coefficients in $L^{\infty}(\Omega)$ can be determined by Dirichlet to Neumann map. A general stability estimate is given by Alessandrini [3]. This is a logarithmic stability estimate, which is valid for the C^{∞} conductivity coefficients in three dimensions. Precisely speaking, there exists constants C and σ such that

$$\|\gamma_1 - \gamma_2\|_{L^{\infty}(\Omega)} \le C(|\log(\|\Lambda_{\gamma_1} - \Lambda_{\gamma_2}\|_{1/2, -1/2})|^{-\sigma} + \|\Lambda_{\gamma_1} - \Lambda_{\gamma_2}\|_{1/2, -1/2}),$$

where $\|\cdot\|_{1/2,-1/2}$ refers the operator norm from $H^{1/2}(\partial\Omega)$ to $H^{-1/2}(\partial\Omega)$. Nachman [58] proved firstly the uniqueness result in dimension two and convergence for an algorithm to reconstruct γ from the associated Dirichlet to Neumann operator in dimensions two.

We call inverse inclusion problems the particular case when the conductivity has the form $\gamma = k_0 + (k - k_0)\chi_D$, where k_0 is the conductivity in the neighboring environment, k > 0, $k \neq k_0$ and $D \subset \Omega$ a bounded, smooth inclusion in Ω .

As it is not possible in any concrete experiment to have the access to infinitely many measurements, we are also interested in the inverse conductivity problem under a finite number of measurements. The question whether one measurement uniquely determines D has already been addressed in several papers, when D is a ball or a convex polyhedron in 3d (see [24], [38], [43], [46]). The question of stability has been investigated in the case of disks in [37] and [47]. Kwon [50] has established a real-time scheme to locate the unknown inclusion with the hypothesis that its size is small compared with that of Ω . Other works concern the case of inclusions of small sizes, while knowledge about their number, location and conductivity may be derived from the knowledge of a certain number of generalized polarization tensors (Ammari and Kang [8]).

In this Phd thesis, we present three main achievements and an auxiliary result on the inverse inclusion problems, which we briefly introduce below.

Identification of disks in a two dimensional plane

Let $D = B_R(X_0) \subset \Omega_0$ be the disk of radius *R* centered at X_0 , where $\Omega_0 := \{x \in \Omega | dist(x, \partial \Omega) > \delta_0\}$ with the constant $\delta_0 > 0$. We assume that the electrical conductivity $\gamma \equiv 1$ in $\Omega \setminus \overline{D}$ and $\gamma \equiv k$ in *D*. We inject a current with density *g* and measure the voltage *f* on $\partial \Omega$. The inverse inclusion problem consists in determining the unknown domain *D* from the data *g* and *f*. As we assume *D* is a disk, we only need to determine its center X_0 and its radius *R*. Let *u* be the electrostatic potential in Ω , solution to the following conductivity problem

$$\begin{cases} \operatorname{div}((1+(k-1)\chi_D)\nabla u) = 0 & \text{in }\Omega, \\ \frac{\partial u}{\partial \nu} = g & \text{on }\partial\Omega, \end{cases}$$
(2)

where *u* is normalized by $\int_{\partial \Omega} u = 0$.

The first result in this work is that the solution to (2) admits the following representation

$$\begin{pmatrix} u(x) = H(x) + \frac{1-k}{1+k}(H(x) - H(X_0)) & x \in \overline{D}, \\ u(x) = H(x) + \frac{1-k}{1+k}(H(X_0 + \frac{R^2(x - X_0)}{\|x - X_0\|^2}) - H(X_0)) & x \in \Omega \setminus \overline{D}, \end{cases}$$
(3)

where H is a harmonic function, which can be directly calculated from the Cauchy data.

Concerning the uniqueness and stability to the inverse problem, Kang and Seo [37], have established a Hölder-type stability estimate under a well-chosen current density for the same problem. In this work, we drop the last assumption i.e. we derive a new, more precise, Hölder type stability estimate for all non zero Neumann data $g \in H_0^{-1/2}(\Omega)$. These theoretical results are given in chapter 1.

In chapter 2, we give a numerical scheme, which is based on the gradient method to reconstruct the disk. We compare the symmetric differences between the target disk and its numerical approximation during the iterations to illustrate the stability estimates.

Determination of inclusions using multifrequency measurements

In the next chapter, we again consider the inverse inclusion problem with a Neumann boundary condition on $\partial\Omega$. We adopt a new mathematical model of EIT [19] by injecting an electric current at different frequencies. In this model, the conductivity γ and solution u depend on the frequency ω . We consider the conductivity in this form,

$$\gamma(x,\omega) = k_0 + (k(\omega) - k_0)\chi_D(x)$$

and the electrical voltages on $\partial\Omega$ are measured for the frequencies in certain interval, $\omega \in [\underline{\omega}, \overline{\omega}]$. In this work, we assume that the conductivity profile $\omega \mapsto k(\omega)$ inside the inclusion is given by a empirical law, which we present later.

Using the eigenfunctions of the Neumann-Poincaré operator on D, we prove the solution u admits the following spectral decomposition

$$u(x,\omega) = k_0^{-1} u_0(x) + u_f(x,\omega),$$

where u_0 is independent of the frequency. On the other hand, u_0 is also the

solution to an inverse boundary problem, which can be considered as an asymptotic case of the Calderón problem, and for which optimal stability estimates on inverse boundary problems have already been derived, see for example [4].

We then introduce our numerical methods to reconstruct the inclusion. This method is divided into two main steps. The first consists in reconstructing the frequency profile $k(\omega)$ and the frequency independent part u_0 . Once the reconstructed u_0 has been determined, we reconstruct the inclusion D from the Cauchy data of u_0 using an optimization scheme in the next step. To derive the optimization scheme, we need to calculate the shape derivative, and we use the asymptotic expansions of layer potentials to derive the shape derivatives. Those results are presented in chapter 3.

Determination of inclusions in the model of electric fish

Instead of working in a bounded domain Ω , the mathematical model of electrical fish concerns the domain $\Omega_e = \mathbb{R}^d \setminus \Omega$ exterior of the fish. Precisely speaking, we consider the following equation [6],

$$\begin{cases} \Delta u = J_s & \text{in } \Omega, \\ \operatorname{div}[\gamma(x,\omega)\nabla u] = 0 & \text{in } \Omega_e, \\ \frac{\partial u}{\partial \nu}|_{-} = 0 & \text{on } \partial\Omega, \\ u|_{+} - u|_{-} = \xi \frac{\partial u}{\partial \nu}|_{+} & \text{on } \partial\Omega, \\ |u| = O(|x|^{1-d}) & \text{as } |x| \to \infty. \end{cases}$$
(4)

Here, the constant $\xi > 0$ is the effective thickness of the fish skin. The function J_s represents the electric current source generated by the fish's organs. We assume that J_s can be written as the sum of Dirac functions,

$$J_s = \sum_{j=1}^M \alpha_j \delta_{x_s^{(j)}}.$$
(5)

In chapter 4, we introduce the weighted Sobolev space $\mathcal{W}^{1,-1}(\Omega_e)$ to define the

weak solution to (4.1) in an unbounded domain. Then we prove the uniqueness and the existence of a solution to the forward problem. We also prove that the solution of (4.1) admits a spectral decomposition of the same form as the solution of the conductive equation in the case Ω is bounded (cf chapter 3). In chapter 5, we present numerical simulations of electroreception. Using similar ideas as those of chapter 3, we give our method to reconstruct the frequency independent function u_0 and the inclusion D, using multifrequency measurements. In order to simulate the solution in an unbounded domain, we will consider the equation posed in a truncated domain, and we will show that the solution in the truncated domain admits a similar spectral decomposition.

Eigenvalues of Neumann-Poincaré operator for two close-to-touching inclusions

In our analysis on inverse inclusion problems, the representation formula in layer potentials plays an essential rule, especially the Neumann-Poincaré operator or the variational Poincaré operator. We have observed in the case of two close-totouching inclusions, the pointwise values of the gradient of the voltage potential ∇u may blow up as the distance δ between some inclusions tends to 0 and as the conductivity contrast degenerates. In [27], we showed that the blow-up rate of the gradient is related to how the eigenvalues of the associated Neumann-Poincaré operator converge to $\pm 1/2$ as $\delta \rightarrow 0$, and on the regularity of the contact.

In chapter 6, we consider two connected 2-D inclusions, at a distance $\delta > 0$ from each other. When $\delta = 0$, the contact between the inclusions is of order $m \ge 2$. We propose an original numerical method to determine the eigenvalues of the Neumann-Poincaré operator. We at first prove the equivalence relation between the problem in unbounded domain and the problem in a truncated domain. Our original numerical method consists in projecting functions in $W^{1,-1}(\mathbb{R}^2)$ on a vector space formed by the polynomial harmonics. We then numerically determine the asymptotic behavior of the first eigenvalue of the Neumann-Poincaré operator, in terms of δ and m, and we check that we recover the estimates obtained in [26]. Chapter _

Determination of a disk in a two dimensional plane

1.1 Introduction

Let $D = B_R(X_0) \subset \Omega_0$ be the disk of radius *R* centered at X_0 , where $\Omega_0 := \{x \in \Omega | dist(x, \partial \Omega) > \delta_0\}$ with the constant $\delta_0 > 0$. We assume that the electrical conductivity $\sigma \equiv 1$ in $\Omega \setminus \overline{D}$ and $\sigma \equiv k$ in *D*. We inject a current with density $g \in H_0^{-1/2}(\Omega)$ and measure the voltage *f* on $\partial \Omega$. The inverse conductivity problem consists in determining the unknown domain *D* from the data *g* and *f*. As we assume *D* is a disk, we only need to determine its center X_0 and its radius *R*. Let *u* be the electrostatic potential in Ω , solution to the inverse conductivity problem (2).

Denoting respectively the solution in the interior of the disk D by u^i , and the solution in the exterior by u^e , the equation (2) can also be formulated as follows:

$$\begin{cases} \Delta u^{e} = 0 & \text{in } \Omega \setminus \bar{D} \\ \Delta u^{i} = 0 & \text{in } D \\ u^{e} = u^{i} & \text{on } \partial D \\ \frac{\partial u^{e}}{\partial v} = k \frac{\partial u^{i}}{\partial v} & \text{on } \partial D \\ \frac{\partial u}{\partial v} = g & \text{on } \partial \Omega. \end{cases}$$
(1.1)

In fact, Fabes, Kang and Seo [37], have established a Hölder-type stability estimate under a well-chosen current density for the same problem. In this work, we drop the last assumption i.e. we derive a new, more precise, also Hölder type stability estimate for all non zero Neumann data $g \in H_0^{-1/2}(\Omega)$. Moreover, we give in this work a reconstruction method from two linearly independent measurement and a minimizing scheme for recovery from a single measurement.

1.2 Main Results

1.2.1 Generalities

We introduce the fundamental solution of the Laplace operator in all \mathbb{R}^2

$$\Gamma(x) = \frac{1}{2\pi} \ln|x|,$$

and the single and double layer potentials defined for $\phi \in L^2(\partial D)$ by

$$S_D \phi(x) = \int_{\partial D} \Gamma(x - y) \phi(y) d\sigma_y \qquad x \in \mathbb{R}^2,$$
$$\mathcal{D}_D \phi(x) = \int_{\partial D} \frac{\partial}{\partial v_y} \Gamma(x - y) \phi(y) d\sigma_y \qquad x \in \mathbb{R}^2 \setminus \partial D$$

Using integration by parts, for $x \in \Omega \setminus \overline{D}$, the solution to (1.1) can be represented in the form:

$$u^{e}(x) = \int_{\partial\Omega} u(y) \frac{\partial}{\partial v_{y}} \Gamma(x-y) - \frac{\partial u}{\partial v}(y) \Gamma(x-y) d\sigma_{y}$$
$$- \int_{\partial D} u(y) \frac{\partial}{\partial v_{y}} \Gamma(x-y) - \frac{\partial u}{\partial v}(y) \Gamma(x-y) d\sigma_{y}$$
$$= H(x) + S_{D} \frac{\partial u^{e}}{\partial v}(x) - \mathcal{D}_{D} u^{e}(x), \qquad (1.2)$$

and, for $x \in D$

$$u^{i}(x) = \int_{\partial D} u(y) \frac{\partial}{\partial v_{y}} \Gamma(x-y) - \frac{\partial u}{\partial v}(y) \Gamma(x-y) d\sigma_{y}$$
$$= -S_{D} \frac{\partial u^{i}}{\partial v}(x) + \mathcal{D}_{D} u^{i}(x), \qquad (1.3)$$

where the harmonic function H is entirely determined by the Cauchy data (f, g)

$$H(x) = \int_{\partial\Omega} u(y) \frac{\partial}{\partial v_y} \Gamma(x-y) - \frac{\partial u}{\partial v}(y) \Gamma(x-y) d\sigma_y = \mathcal{D}_{\Omega} f - \mathcal{S}_{\Omega} g.$$
(1.4)

Theorem 1.2.1. The solution to (1.1) admits the following representation

$$\begin{cases} u^{i}(x) = H(x) + \frac{1-k}{1+k}(H(x) - H(X_{0})) & x \in \overline{D} \\ u^{e}(x) = H(x) + \frac{1-k}{1+k}(H(X_{0} + \frac{R^{2}(x - X_{0})}{\|x - X_{0}\|^{2}}) - H(X_{0})), & x \in \Omega \setminus \overline{D} \end{cases}$$
(1.5)

Proof. With the jump condition on ∂D , we have [8],[33]

$$\mathcal{D}_D \phi(x)|_{\pm} = (\mp \frac{1}{2}I + \mathcal{K}_D)\phi(x) \qquad x \in \partial D,$$

where \mathcal{K} is the Neumann-Poincaré operator defined on $L^2(\partial\Omega)$ by

$$\mathcal{K}_D\phi(x) = \frac{1}{2\pi} \int_{\partial D} \frac{\langle y - x, v_y \rangle}{|x - y|^2} \phi(y) d\sigma_y.$$

When *D* is a disk in \mathbb{R}^2 of radius *R*, the operator \mathcal{K} has a very simple form [8]

$$\mathcal{K}_D \phi(x) = \frac{1}{4\pi R} \int_{\partial D} \phi(y) d\sigma_y \qquad \forall x \in \partial D.$$

Using the jump conditions, it follows from (1.2) and (1.3) that

$$u^{e}(x) = H(x) + S_{D} \frac{\partial u^{e}}{\partial \nu}(x) + (\frac{1}{2}I - \mathcal{K}_{D})u^{e}(x), \qquad (1.6)$$

and

$$u^{i}(x) = -\mathcal{S}_{D} \frac{\partial u^{i}}{\partial \nu}(x) + (\frac{1}{2}I + \mathcal{K}_{D})u^{i}(x).$$
(1.7)

Adding (1.6) to *k* times (1.7), and using the mean value propriety for harmonic functions, we obtain for $x \in \partial D$,

$$u(x) = \frac{2}{k+1}H(x) + \frac{2(k-1)}{k+1}\mathcal{K}_D u(x) = \frac{2}{k+1}H(x) + \frac{k-1}{k+1}u^i(X_0).$$

As *H* is harmonic in *D*, it follows that u^i coincides with the above right-hand side in \overline{D} :

$$u^{i}(x) = \frac{2}{k+1}H(x) + \frac{k-1}{k+1}u^{i}(X_{0}).$$

And, at X_0 ,

$$u^{i}(X_{0}) = \frac{2}{k+1}H(X_{0}) + \frac{k-1}{k+1}u^{i}(X_{0}),$$

which implies $u^i(X_0) = H(X_0)$. Then we have the representation of u^i ,

$$u^{i}(x) = H(x) + \frac{1-k}{1+k}(H(x) - H(X_{0})) \qquad x \in \overline{D}$$
(1.8)

We represent each point $x \in \Omega$ as $x = X_0 + r \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}$, with $\theta \in [0, 2\pi)$ and r > 0,

and we write the function *H* as a sum of harmonic functions $r^n \cos(n\theta)$ and $r^n \sin(n\theta)$:

$$H(x) = H(X_0) + \sum_{n=1}^{\infty} r^n (a_n \cos(n\theta) + b_n \sin(n\theta)).$$
(1.9)

By (1.8) and the transmission conditions, we immediately have:

$$u^{i}(x) = H(X_{0}) + \frac{2}{k+1} \sum_{n=1}^{\infty} r^{n} (a_{n} \cos(n\theta) + b_{n} \sin(n\theta)), \qquad x \in D,$$
(1.10)

$$u^{e}(x) = H(X_{0}) + \sum_{n=1}^{\infty} (r^{n} + \frac{1-k}{1+k} (\frac{R^{2}}{r})^{n}) (a_{n} \cos(n\theta) + b_{n} \sin(n\theta)), \qquad x \in \Omega \setminus \bar{D}.$$
(1.11)

Let $\Psi_D(x)$ denote the point obtained by reflecting *x* over ∂D

$$\Psi_D(x) = X_0 + \frac{R^2(x - X_0)}{\|x - X_0\|^2} \qquad x \in \mathbb{R}^2 \setminus \{X_0\}.$$

We can thus write

$$u^{e}(x) = H(x) + \frac{1-k}{1+k} (H(\Psi_{D}(x)) - H(X_{0})) \qquad x \in \Omega \setminus \bar{D},$$
(1.12)

which concludes the proof.

1.2.2 Analysis in complex variables

Using the representation (1.5) of u^e and u^i , we study our problem in the complex plane \mathbb{C} . We introduce a harmonic conjugate *G* of the harmonic function *H*, so that the function

$$F := H + iG \tag{1.13}$$

is holomorphic in Ω . Also, the reflection has an explicit expression in terms of complex variables:

$$\Psi_D(z) = Z_0 + \frac{R^2}{\bar{z} - \bar{Z_0}} \qquad z \in \mathbb{C} \setminus \{Z_0\}.$$

By (1.12) and (1.1), the function $H \circ \Psi_D$ is harmonic in $\Omega \setminus \overline{D}$. We can also express its harmonic conjugate \tilde{G} for $x \in \Omega \setminus \overline{D}$ as

$$\nabla \tilde{G}(x) = A \nabla H \circ \Psi_D(x)$$

= $A \mathcal{D} \Psi_D(x) \nabla H(\Psi_D(x)),$ (1.14)

where $A = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$, and where

$$\mathcal{D}\Psi_D(x) = \frac{R^2}{\|x - X_0\|^2} \begin{pmatrix} (x - X_0)_2^2 - (x - X_0)_1^2 & -2(x - X_0)_1(x - X_0)_2 \\ -2(x - X_0)_1(x - X_0)_2 & (x - X_0)_1^2 - (x - X_0)_2^2 \end{pmatrix}$$

Notice that

$$\mathcal{D}\Psi_D = -\mathcal{D}\Psi_D A$$

combined with (1.14), which yield:

$$\begin{split} \nabla \tilde{G}(x) &= A \nabla H \circ \Psi_D(x) \\ &= A \mathcal{D} \Psi_D(x) \nabla H(\Psi_D(x)) \\ &= -\mathcal{D} \Psi_D(x) A \nabla H(\Psi_D(x)) \\ &= -\mathcal{D} \Psi_D(x) \nabla G(\Psi_D(x)) \\ &= -\nabla G \circ \Psi_D(x). \end{split}$$

Thus, the function $-G \circ \Psi_D$ is a harmonic conjugate of the function $H \circ \Psi_D$, and therefore the function $z \mapsto H \circ \Psi_D(z) - iG \circ \Psi_D(z) = \overline{F} \circ \Psi_D(z)$ is holomorphic and its real part is equal to $H \circ \Psi_D$.

Assuming that *F* is analytic in Ω , we can write *F* as a sum

$$F(z) = \sum_{n=0}^{\infty} c_n (z - Z_0)^n, \qquad z \in \Omega.$$

Also, the holomorphic function $\overline{F} \circ \Psi_D$ admits the following development:

$$\bar{F} \circ \Psi_D(z) = \sum_{n=0}^{\infty} \bar{c}_n \frac{R^{2n}}{(z-Z_0)^n}, \qquad z \in \Omega \setminus \bar{D}.$$
(1.15)

Therefore, denoting by v^e a harmonic conjugate of u^e , and given any $C \in \mathbb{R}$, the function:

$$h(z) := u^{e}(z) + iv^{e}(z) = F(z) + \frac{1-k}{1+k}(\bar{F} \circ \Psi_{D}(z) - H(Z_{0}) + iC), \qquad z \in \Omega \setminus \bar{D},$$

is holomorphic.

Remark 1.2.1. We can calculate directly from the measurements (f,g) the values of the function h on $\partial \Omega$.

1.2.3 Stability estimate

We next establish a Hölder-type stability estimate for the center and radius of the disk. Let D_1 , D_2 denote 2 disks centered at the points z_1 , z_2 and with radii R_1 , R_2 . For i = 1, 2, let u_i be the solutions of the problem (1.1). We assume that the two solutions satisfy the same non-zero Neumann data g on $\partial\Omega$, and that the L^{∞} - norm of the difference between their traces on $\partial\Omega$ (the Dirichlet data) is a small quantity ε . We denote by Ω_1 , Ω_2 the images of $\mathbb{C} \setminus \overline{\Omega}$ by the reflections Ψ_{D_1}, Ψ_{D_2} .

Theorem 1.2.2 (Uniqueness of the inverse problem). If $u_1 = u_2$ on $\partial \Omega$ then $D_1 = D_2$.

Proof. From (1.4), the function *H* linked to each solution of (1.1) depends uniquely on the Cauchy data. As u_1 and u_2 have the same Cauchy data, their functions *H* are the same, and we will note this function by *H* in this proof. Using the unique continuation propriety, we have: $u_1 = u_2$ in $\Omega \setminus (D_1 \cup D_2)$.

Case 1: $D_1 \cap D_2 = \emptyset$. In this case, u_1^e has a harmonic continuation in D_1 , which coincides with u_2^e i.e. $u_2^e = u_1^i$ in D_1 . Then, on ∂D_1 , we have:

$$\frac{\partial u_1^i}{\partial \nu} = \frac{\partial u_2^e}{\partial \nu} = \frac{\partial u_1^e}{\partial \nu} = k \frac{\partial u_1^i}{\partial \nu}, \qquad (1.16)$$

which implies $\frac{\partial u_1^i}{\partial v} = 0$ on ∂D_1 , so that $u_1^i = 0$, and thus $u_1 = 0$ and g = 0. Hence the contradiction.

Let z^* and Z^* be defined as (1.25).

Case 2: $\partial D_1 \cap \partial D_2 \neq \emptyset$. In this case, from (1.25), $\partial D_1 \cap \partial D_2 = \{z^*, Z^*\}$. Then, from the continuity of the solutions, we have:

$$u_1^i(z^{\star}) = u_1^e(z^{\star}) = u_2^e(z^{\star}) = u_2^i(z^{\star})$$
(1.17)

Using (Theorem 1.2.1), we have $H(z_1) = H(z_2)$ and $u_1^i = u_2^i$ in $D_1 \cap D_2$. So, on $\partial(D_1 - D_2)$, $u_1 - u_2 = 0$, which implies $u_1^i = u_2^e$ in $D_1 - D_2$. Then, on the arc $D_1 \cap \partial D_2$, we have:

$$\frac{\partial u_2^i}{\partial \nu} = \frac{\partial u_1^i}{\partial \nu} = \frac{\partial u_2^e}{\partial \nu} = k \frac{\partial u_2^i}{\partial \nu}, \qquad (1.18)$$

which implies $\frac{\partial u_1^i}{\partial v} = \frac{\partial u_2^i}{\partial v} = 0$. For the same reason, we also have: $\frac{\partial u_1^i}{\partial v} = \frac{\partial u_2^i}{\partial v} = 0$ on the arc $D_2 \cap \partial D_1$. It follows that, $\frac{\partial u_1^i}{\partial v} = \frac{\partial u_2^i}{\partial v} = 0$ in $\partial (D_1 \cap D_2)$. This also implies $u_1^i = 0$ and then g = 0.

Hence the contradiction.

Case 3: $D_1 \subset D_2$. In this case, we have: $z^* \in D_1$ and $Z^* \in \mathbb{C} \setminus D_2$. The functions $u_j^e - H$, j = 1, 2 have a harmonic extension in $\mathbb{C} \setminus D_2$, and they are equal in $\Omega \setminus D_1$, so from (1.2.1), we have:

$$H(\Psi_1(z)) - H(z_1) = H(\Psi_2(z)) - H(z_2)$$
(1.19)

in $\mathbb{C} \setminus D_2$.

Applying (1.19) on z^* , we have $H(z_1) = H(z_2)$ and then $u_1 = u_2$ in $D_1 \cap D_2 = D_1$. The rest of the proof follows the same argument as in the previous cases. That completes the proof

Lemma 1.2.1. Let f be a non-zero holomorphic function in Ω , and assuming that $D_1 \neq D_2$, then there exist $0 < \beta < 1$, which only depends on f, such that

$$\int_{\partial\Omega} \frac{|\Psi_1(z) - \Psi_2(z)|}{|f(\Psi_1(z)) - f(\Psi_2(z))|^{\beta}} ds < \infty.$$
(1.20)

Proof. We first show that the set $\mathcal{Z} := \{z \in \partial \Omega | f(\Psi_1(z)) - f(\Psi_2(z)) = 0\}$ is finite. Indeed, assume that \mathcal{Z} has infinitely many elements. Then by an argument of compactness, \mathcal{Z} has a limit point. As the functions $f \circ \Psi_i$, i = 1, 2 are antiholomorphic, it follows that $f(\Psi_1(z)) = f(\Psi_2(z))$ on $\mathbb{C} \setminus (D_1 \cup D_2)$. Thus, from the explicit formula of solutions, we can construct two solutions to (1.1) related to D_1 and D_2 , which have the same Cauchy data on $\partial \Omega$. This contradicts the uniqueness of the inverse problem (Theorem 1.2.2).

As the function $\mathcal{F}(z) := \overline{f(\Psi_1(z)) - f(\Psi_2(z))}$ is holomorphic in $\mathbb{C} \setminus (D_1 \cup D_2)$, if $\tilde{z} \in \mathbb{Z}$, there is $m(\tilde{z}) \in \mathbb{N}$ such that, in a neighborhood of \tilde{z} ,

$$\mathcal{F}(z) = \sum_{n \ge m(\tilde{z})} q_n (z - \tilde{z})^n,$$

with $q_{m(\tilde{z})} \neq 0$.

Let $\gamma \in C^1([a,b])$ be a parametrization of $\partial \Omega$, and set $\tilde{z} = \gamma(\tilde{t})$. Then, in a neighborhood of \tilde{t} , we have

$$\mathcal{F}(\gamma(t)) = \sum_{n \ge m(\tilde{z})} q'_n (t - \tilde{t})^n,$$

with $q'_{m(\tilde{z})} \neq 0$. We choose $0 < \beta < \frac{1}{m}$ where $m := \max_{\tilde{z} \in \mathcal{Z}} m(\tilde{z})$. Then, for $\tilde{t} - \delta < t < \tilde{t} + \delta$ we have,

$$\frac{1}{|\mathcal{F}(\gamma(t))|^{\beta}} \leq \tilde{C} |t - \tilde{t}|^{-\beta m(\tilde{z})},$$

with $0 < \beta m(\tilde{z}) < 1$. Therefore,

$$\int_{\tilde{t}-\delta}^{\tilde{t}+\delta} \frac{1}{|\mathcal{F}(\gamma(t))|^{\beta}} dt < \infty$$

Thus,

$$\int_{\partial\Omega} \frac{|\Psi_1(z) - \Psi_2(z)|}{|f(\Psi_1(z)) - f(\Psi_2(z))|^{\beta}} ds$$
$$= \int_a^b \frac{|\Psi_1(\gamma(t)) - \Psi_2(\gamma(t))|}{|\mathcal{F}(\gamma(t))|^{\beta}} |\gamma'(t)| dt < \infty.$$

and (1.20) follows.

Theorem 1.2.3. *Assume that*

$$\|u_1|_{\partial\Omega} - u_2|_{\partial\Omega}\|_{L^{\infty}(\partial\Omega)} = \varepsilon.$$
(1.21)

Then there exists constants $0 < \alpha, \beta < 1$ *and* C > 0*, such that*

$$|z_1 - z_2| \le C \varepsilon^{\alpha \beta},\tag{1.22}$$

and

$$|R_1 - R_2| \le C\varepsilon^{\alpha\beta},\tag{1.23}$$

where $\alpha := \omega(z^*) > 0$, and where ω and z^* are given by the following equations

$$\begin{cases} \Delta \omega = 0 & in \Omega \setminus \overline{\Omega_1 \cup \Omega_2} \\ \omega = 1 & on \partial \Omega \\ \omega = 0 & on \partial (\Omega_1 \cup \Omega_2), \end{cases}$$
(1.24)

$$z_1 + \frac{R_1^2}{\bar{z}^* - \bar{z}_1} = z_2 + \frac{R_2^2}{\bar{z}^* - \bar{z}_2} = Z^* \in \mathbb{C},$$
(1.25)

where β only depend on the Cauchy data.

Remark 1.2.2. We remark that z^* and Z^* are uniquely determined by (1.25), and we observe that

- Z^* is the image of z^* by the reflection with respect to ∂D_1 and with respect to ∂D_2 .
- in the case where $\partial D_1 \cap \partial D_2 \neq \emptyset$, $z^* = Z^*$ is one of the intersection points.
- in the case where $D_1 \cap D_2 = \emptyset$, then either $z^* \in D_1$ and $Z^* \in D_2$ or $z^* \in D_2$ and $Z^* \in D_1$.
- in the case where $D_1 \subset D_2$ (resp. $D_2 \subset D_1$), then $z^* \in D_1$ (resp. $z^* \in D_2$) and $Z^* \in \mathbb{C} \setminus D_2$ (resp. $Z^* \in \mathbb{C} \setminus D_1$).
- at least one of the points z^* and Z^* is in Ω . We can always assume that $z^* \in \Omega$.

Proof. According to the position of the point Z^* , we distinguish two cases: *Case 1*: both z^* and Z^* are in Ω .

Because Z^* is the image of z^* by Ψ_{D_1} and vice-versa, one of z^* or Z^* is in $\overline{D_1}$, the other lies in $\Omega \setminus D_1$. We may assume that $z^* \in \Omega \setminus D_1$. We define

$$\tilde{h}_{i}(z) = h_{i}(z) - h_{i}(z^{\star}) = F_{i}(z) - F_{i}(z^{\star}) + \frac{1 - k}{1 + k} \left(F_{i}(z_{i} + \frac{R_{i}^{2}}{\bar{z} - \bar{z}_{i}}) - \overline{F_{i}(Z^{\star})} \right).$$
(1.26)

By construction, the function $h_1 - h_2$ can be holomorphically extended in
$\Omega \setminus \overline{\Omega_1 \cup \Omega_2}$ and from the standard elliptic estimation, this function satisfies

$$M := \sup\{|h_1 - h_2| : z \in \Omega \setminus \Omega_1 \cup \Omega_2\} \le C ||g||_{L^2(\partial\Omega)},$$
(1.27)

$$|h_1 - h_2| \le \varepsilon \qquad \text{on } \partial\Omega. \tag{1.28}$$

Consequently, for $z \in \partial \Omega$,

$$\tilde{h}_{1}(z) - \tilde{h}_{2}(z) = h_{1}(z) - h_{2}(z) - [h_{1}(z^{\star}) - h_{2}(z^{\star})] = F_{1}(z) - F_{2}(z) + F_{1}(z^{\star}) - F_{2}(z^{\star}) + \frac{1 - k}{1 + k} \left(\overline{F_{1}(z_{1} + \frac{R_{1}^{2}}{\bar{z} - \bar{z}_{1}})} - \overline{F_{2}(z_{2} + \frac{R_{2}^{2}}{\bar{z} - \bar{z}_{2}})} + \overline{F_{2}(Z^{\star})} - \overline{F_{1}(Z^{\star})} \right).$$
(1.29)

Let ω be the solution to the Dirichlet problem (1.24), the function $\omega \log \varepsilon + (1 - \omega) \log M$ is therefore harmonic in $\Omega \setminus \overline{\Omega_1 \cup \Omega_2}$. On the other hand, $\log |h_1 - h_2| = \Re \varepsilon (\log(h_1 - h_2))$ is also a harmonic function in $\Omega \setminus \overline{\Omega_1 \cup \Omega_2}$. From (1.27) and (1.28), we have

$$\log|h_1(z) - h_2(z)| \le \omega(z)\log\varepsilon + (1 - \omega(z))\log M, \qquad z \in \partial(\Omega \setminus \overline{\Omega_1 \cup \Omega_2}) \quad (1.30)$$

Then, by the maximum principle,

$$\log|h_1(z^{\star}) - h_2(z^{\star})| \le \omega(z^{\star})\log\varepsilon + (1 - \omega(z^{\star}))\log M \tag{1.31}$$

Hence,

$$|h_1(z^{\star}) - h_2(z^{\star})| \le C\varepsilon^{\alpha}, \tag{1.32}$$

with $\alpha := \omega(z^{\star})$.

Using the assumption (1.21) and the definition (1.4) of *H*, we have

$$\forall z \in \overline{\Omega}, |F_1(z) - F_2(z)| \le C\varepsilon. \tag{1.33}$$

Further, from (1.29) we have that for all $z \in \partial \Omega$,

$$F_{1}(z_{1} + \frac{R_{1}^{2}}{\bar{z} - \bar{z}_{1}}) - F_{1}(z_{2} + \frac{R_{2}^{2}}{\bar{z} - \bar{z}_{2}})$$

$$= \overline{F_{1}(Z^{\star})} - \overline{F_{2}(Z^{\star})} + \frac{1 + k}{1 - k} [F_{2}(z) - F_{1}(z) + F_{2}(z^{\star}) - F_{1}(z^{\star})$$

$$+ h_{1}(z) - h_{2}(z) + h_{2}(z^{\star}) - h_{1}(z^{\star})]. \qquad (1.34)$$

Applying (1.28), (1.32) and (1.33), we obtain:

$$\overline{|F_1(z_1 + \frac{R_1^2}{\bar{z} - \bar{z}_1})} - \overline{F_1(z_2 + \frac{R_2^2}{\bar{z} - \bar{z}_2})}| \le C\varepsilon^{\alpha}, \qquad \forall z \in \partial\Omega.$$
(1.35)

Then, using (1.35) and (1.20), we have the following estimate:

$$\begin{split} &\int_{\partial\Omega} |\Psi_{1}(z) - \Psi_{2}(z)| ds \\ &= \int_{\partial\Omega} \frac{|\Psi_{1}(z) - \Psi_{2}(z)|}{|F_{1}(\Psi_{1}(z)) - F_{1}(\Psi_{2}(z))|^{\beta}} |F_{1}(\Psi_{1}(z)) - F_{1}(\Psi_{2}(z))|^{\beta} ds \\ &\leq \int_{\partial\Omega} \frac{|\Psi_{1}(z) - \Psi_{2}(z)|}{|F_{1}(\Psi_{1}(z)) - F_{1}(\Psi_{2}(z))|^{\beta}} ds \times C \varepsilon^{\alpha\beta} \\ &\leq C' \varepsilon^{\alpha\beta}. \end{split}$$
(1.36)

On the other hand, we have:

$$\begin{split} &\int_{\partial\Omega} |\Psi_1(z) - \Psi_2(z)| ds \\ &= \int_{\partial\Omega} |\overline{\Psi_1(z) - \Psi_2(z)}| ds \\ &\geq |\int_{\partial\Omega} \overline{\Psi_1(z) - \Psi_2(z)} dz| \\ &= |\int_{\partial\Omega} \bar{z}_1 - \bar{z}_2 + \frac{R_1^2}{z - z_1} - \frac{R_2^2}{z - z_2} dz| \\ &= |R_1^2 - R_2^2|. \end{split}$$

So, we have:

$$|R_1 - R_2| \le C\varepsilon^{\alpha\beta}.\tag{1.37}$$

Using (1.37), we have: for all $z \in \partial \Omega$,

$$|\Psi_1(z) - \Psi_2(z)| = |\bar{z}_1 - \bar{z}_2 + R_1^2 \frac{z_1 - z_2}{(z - z_1)(z - z_2)}| + O(\varepsilon^{\alpha\beta}).$$

So, from (1.36),

$$C\varepsilon^{\alpha\beta} \ge \int_{\partial\Omega} |\bar{z}_1 - \bar{z}_2 + R_1^2 \frac{z_1 - z_2}{(z - z_1)(z - z_2)} | ds$$

= $|z_1 - z_2| \int_{\partial\Omega} |e^{-2i \arg(z_1 - z_2)} + \frac{R_1^2}{(z - z_1)(z - z_2)} | ds$
 $2i \arg(z_1 - z_2) + \frac{R_1^2}{(z - z_1)(z - z_2)} | ds > 0.$

with $\int_{\partial\Omega} |e^{-2i \arg(z_1 - z_2)} + \frac{R_1^2}{(z - z_1)(z - z_2)}|ds > 0.$ Thus,

$$|z_1 - z_2| \le C\varepsilon^{\alpha\beta}.\tag{1.38}$$

Case 2: $z^{\star} \in \Omega$, $Z^{\star} \in \mathbb{C} \setminus \overline{\Omega}$. We define

$$\tilde{h}_i(z) = h_i(z) - (h_i(Z^*) - f_i(Z^*)) = f_i(z) + \frac{1 - k}{1 + k} \left(\overline{F_i(z_i + \frac{R_i^2}{\bar{z} - \bar{z}_i})} - \overline{F_i(z^*)} \right).$$
(1.39)

By (1.15), the holomorphic functions $h_i - F_i$, i = 1, 2 can be extended to $\mathbb{C} \setminus \overline{D}_i$ and they vanish as $|z| \to \infty$. Let $\overline{\Omega}$ be an open set containing Ω and the point Z^* , and consider a harmonic function ω solution to the following equation

$$\begin{cases} \Delta \omega = 0 & \text{in } \tilde{\Omega} \setminus \bar{\Omega} \\ \omega = 1 & \text{on } \partial \Omega \\ \omega = 0 & \text{on } \partial \tilde{\Omega}. \end{cases}$$
(1.40)

Define $\alpha = \omega(Z^{\star})$.

Thus, from (1.28) and (1.33) we have

$$|(h_1 - F_1) - (h_2 - F_2)| \le C\varepsilon \qquad \text{on } \partial\Omega. \tag{1.41}$$

We apply the maximum principle on $\tilde{\Omega} \setminus \Omega$ to the harmonic function $\omega \log \varepsilon + (1 - \omega) \log M - \log |(h_1 - F_1) - (h_2 - F_2)|$ to obtain:

$$\log |(h_1 - F_1)(Z^{\star}) - (h_2 - F_2)(Z^{\star})| \le \omega(Z^{\star}) \log \varepsilon + (1 - \omega(Z^{\star})) \log M, \qquad (1.42)$$

so that

$$|(h_1 - F_1)(Z^{\star}) - (h_2 - F_2)(Z^{\star})| \le C\varepsilon^{\alpha}.$$
(1.43)

In fact, we can choose, $\tilde{\Omega} = \mathcal{B}_{\rho}(z_1)$ with ρ as large as we want. We denote ω_{ρ} the solution to the associated equation (1.40) and we also consider the harmonic function $\tilde{\omega_{\rho}}$ solution to

$$\begin{cases} \Delta \tilde{\omega}_{\rho} = 0 & \text{ in } \mathcal{B}(z_{1},\rho) \setminus \mathcal{B}(z_{1},R_{1}) \\ \tilde{\omega}_{\rho} = 1 & \text{ on } \partial \mathcal{B}(z_{1},R_{1}) \\ \tilde{\omega}_{\rho} = 0 & \text{ on } \partial \mathcal{B}(z_{1},\rho). \end{cases}$$
(1.44)

The function $\tilde{\omega_{\rho}}$ has the explicit expression

$$\tilde{\omega}_{\rho}(r) = \frac{\ln(r) - \ln(\rho)}{\ln(R) - \ln(\rho)},\tag{1.45}$$

with $r := |z - z_1|$.

By the maximum principle, $\tilde{\omega}_{\rho} \leq \omega_{\rho}$ in $\mathcal{B}(z_1, \rho) \setminus \Omega$. So, we have

$$1 > \omega_{\rho}(Z^{\star}) \ge \tilde{\omega}_{\rho}(Z^{\star}) = \frac{\ln(r) - \ln(\rho)}{\ln(R) - \ln(\rho)} \underset{\rho \to \infty}{\longrightarrow} 1.$$
(1.46)

From (1.43), we have

$$|(h_1(Z^{\star}) - F_1(Z^{\star})) - (h_2(Z^{\star}) - F_2(Z^{\star}))| \le C\varepsilon^{\beta}, \qquad 0 < \beta < 1.$$
(1.47)

Thus,

$$|(h_1(Z^{\star}) - F_1(Z^{\star})) - (h_2(Z^{\star}) - F_2(Z^{\star}))| \le C\varepsilon.$$
(1.48)

The rest of the proof follows the same argument as that of *case 1*.

1.2.4 Reconstruction from two measurements

It follows from the analysis of section 1.2.2, we can obtain some geometric elements of the disk from a simple contour integration. Thus, we can reconstruct the center, the radius and the conductivity k if we have two distinct measurements under the assumption that $\nabla u \neq 0$ in Ω . The method of reconstruction is described as follows.

We calculate the following integral:

$$I := \frac{1}{2\pi i} \int_{\partial \Omega} h(z) dz.$$
 (1.49)

Using the representation (1.15) and the Residue Theorem on Ω , we have

$$I := \frac{1}{2\pi i} \int_{\partial\Omega} h(z)dz$$

= $\frac{1}{2\pi i} \int_{\partial\Omega} F(z) + \frac{1-k}{1+k} (\bar{F} \circ \Psi_D(z) - H(Z_0) + iC)dz$
= $\frac{1}{2\pi i} [\int_{\partial\Omega} F(z)dz + \frac{1-k}{1+k} (\int_{\partial\Omega} \sum_{n=0}^{\infty} \bar{c}_n \frac{R^{2n}}{(z-Z_0)^n} dz + \int_{\partial\Omega} -H(Z_0) + iCdz)]$
= $\frac{1}{2\pi i} \frac{1-k}{1+k} \sum_{n=1}^{\infty} \bar{c}_n R^{2n} \int_{\partial\Omega} \frac{1}{(z-Z_0)^n} dz$
= $\frac{1-k}{1+k} \bar{c}_1 R^2 = \frac{1-k}{1+k} \overline{F'(Z_0)} R^2.$ (1.50)

Using the same arguments, we can also calculate the following integral

$$\frac{1}{2\pi i} \int_{\partial\Omega} zh(z)dz = \frac{1}{2\pi i} \int_{\partial\Omega} zF(z) + \frac{1-k}{1+k} z(\bar{F} \circ \Psi_D(z) - H(Z_0) + iC)dz$$

$$= \frac{1}{2\pi i} \left[\int_{\partial\Omega} zF(z)dz + \frac{1-k}{1+k} (\int_{\partial\Omega} \sum_{n=0}^{\infty} \bar{c}_n \frac{zR^{2n}}{(z-Z_0)^n} dz + \int_{\partial\Omega} z(-H(Z_0) + iC)dz) \right]$$

$$= \frac{1}{2\pi i} \frac{1-k}{1+k} \sum_{n=1}^{\infty} \bar{c}_n R^{2n} \int_{\partial\Omega} \frac{z}{(z-Z_0)^n} dz$$

$$= \frac{1}{2\pi i} \frac{1-k}{1+k} \sum_{n=1}^{\infty} \bar{c}_n R^{2n} \int_{\partial\Omega} \frac{1}{(z-Z_0)^{n-1}} + \frac{Z_0}{(z-Z_0)^n} dz$$

$$= \frac{1-k}{1+k} (Z_0 \bar{c}_1 R^2 + \bar{c}_2 R^4). \tag{1.51}$$

Denoting by f_1 , f_2 two functions f corresponding to two distinct measurements, from (1.50) we have

$$\frac{\bar{I}_1}{\bar{I}_2} = \frac{F_1'(Z_0)}{F_2'(Z_0)}.$$
(1.52)

We remind that the holomophic functions F_i above are defined by (1.13), F_i =

 $H_j + iG_j$, where G_j are the harmonic conjugate of H_j , and $H_j = \mathcal{D}_{\Omega}f_j - \mathcal{S}_{\Omega}g$. So, Z_0 is a zero of the holomophic function $z \mapsto \frac{F_1'(z)}{F_2'(z)} - \frac{\overline{I_1}}{\overline{I_2}}$ $(F_2'(z) \neq 0 \forall z \in \Omega \text{ as})$ we supposed that $\nabla u \neq 0$). Once Z_0 is determined, \tilde{R} and \tilde{k} can be easily found from (1.50) and (1.51).

Chapter

Numerical determination of disks

2.1 Optimization algorithm

In this section, we consider a numerical scheme to reconstruct a disk contained in a subset $\Omega_0 \subset \Omega$ with $dist(\partial \Omega_0, \partial \Omega) \ge \delta_0 > 0$, using a single measurement. The scheme is based on minimizing the functional

$$J(u) = \frac{1}{2} \int_{\partial \Omega} |u - u_{meas}|^2 d\sigma,$$

where u_{meas} is the measured Dirichlet data and where u is the solution to (2) associated to a disk $D = \mathcal{B}(X_0, R) \subset \Omega_0$.

Given $(c_1, c_2, R) \in \mathbb{R}^3$, where we suppose $X_0 = (c_1, c_2)$, the gradient of the functional *J* at this point can be calculated as follows.

Theorem 2.1.1. Let u be the solution to the problem (2) associated to a disk and let w be the solution to the following problem

$$\begin{cases} div((1+(k-1)\chi_D)\nabla w) = 0 & in \Omega, \\ \frac{\partial w}{\partial v} = u - u_{meas} & on \partial \Omega. \end{cases}$$
 (2.1)

Then

$$\frac{\partial J}{\partial c_1} = (k-1) \int_D \frac{\partial}{\partial x_1} (\nabla u \nabla w) dX$$
(2.2)

$$\frac{\partial J}{\partial c_2} = (k-1) \int_D \frac{\partial}{\partial x_2} (\nabla u \nabla w) dX$$
(2.3)

$$\frac{\partial J}{\partial R} = \frac{k-1}{R} \int_D 2\nabla u \nabla w + \sum_{i=1,2} (x_i - c_i) \frac{\partial}{\partial x_i} (\nabla u \nabla w) dX.$$
(2.4)

Proof. Let $(c_1, c_2, R) \in \mathbb{R}^3$, such that the disk *D* centered at (c_1, c_2) , with radius *R* is included in Ω_0 . Denote *u* (receptively \tilde{u}) the solutions to (2) associated to the disk $\mathcal{B}_R(c_1, c_2)$ (respectively $\mathcal{B}_R(c_1 + dx_1, c_2)$). Then we have

$$\begin{split} J(c_1 + dc_1, c_2, R) - J(c_1, c_2, R) &= \frac{1}{2} \int_{\partial \Omega} |\tilde{u} - u_{meas}|^2 d\sigma - \frac{1}{2} \int_{\partial \Omega} |u - u_{meas}|^2 d\sigma \\ &= \frac{1}{2} \int_{\partial \Omega} (\tilde{u} - u)(\tilde{u} + u - 2u_{meas}) d\sigma \\ &= \frac{1}{2} \int_{\partial \Omega} (\tilde{u} - u)(\tilde{u} - u + 2(u - u_{meas})) d\sigma \\ &= \int_{\partial \Omega} (u - u_{meas}) v dc_1 d\sigma + O(|dc_1|^2). \end{split}$$

Therefore,

$$\frac{\partial J}{\partial c_1} = \int_{\partial \Omega} (u - u_{meas}) v \, d\sigma, \qquad (2.5)$$

where $v := \lim_{dc_1 \to 0} \frac{\tilde{u} - u}{dc_1}$.

Combining the variational forms of (2) for u and for \tilde{u} , we have that for all $\phi \in H^1(\Omega)$,

$$\int_{\Omega} (1 + (k - 1)\chi_{\tilde{D}}) \nabla \tilde{u} \nabla \phi dX - \int_{\partial \Omega} g \phi d\sigma = 0, \qquad (2.6)$$

and

$$\int_{\Omega} (1 + (k - 1)\chi_D) \nabla u \nabla \phi dX - \int_{\partial \Omega} g \phi d\sigma = 0.$$
 (2.7)

By applying a result of shape derivative (see [5]), (2.6)-(2.7) give us:

$$\begin{split} 0 &= \frac{1}{dc_1} \left[\int_{\Omega} (1 + (k-1)\chi_{\bar{D}}) \nabla \tilde{u} \nabla \phi dX - \int_{\Omega} (1 + (k-1)\chi_D) \nabla u \nabla \phi dX \right] \\ &= \int_{\Omega} \nabla v \nabla \phi dX + \frac{k-1}{dc_1} \left(\int_{\bar{D}} \nabla \tilde{u} \nabla \phi dX - \int_{D} \nabla u \nabla \phi dX \right) \\ &= \int_{\Omega} (1 + (k-1)\chi_D) \nabla v \nabla \phi dX + \frac{k-1}{dc_1} \left(\int_{\bar{D}} \nabla u \nabla \phi dX - \int_{D} \nabla u \nabla \phi dX \right) + O(|dc_1|) \\ &= \int_{\Omega} (1 + (k-1)\chi_D) \nabla v \nabla \phi dX + (k-1) \int_{\partial D} e_1 \cdot v \nabla u \nabla \phi d\sigma + O(|dc_1|). \end{split}$$

It follows that v satisfies, for all $\phi \in H^1(\Omega)$,

$$\int_{\Omega} (1 + (k-1)\chi_D) \nabla v \nabla \phi dX + (k-1) \int_{\partial D} e_1 \cdot v \nabla u \nabla \phi d\sigma.$$
(2.8)

Introducing the function w defined by (2.1), and taking w as ϕ in (2.8) we get

$$0 = \int_{\Omega} (1 + (k-1)\chi_D) \nabla v \nabla w dX + (k-1) \int_{\partial D} e_1 \cdot v \nabla u \nabla w d\sigma.$$
(2.9)

On the other hand,

$$0 = \int_{\Omega} \operatorname{div}[(1 + (k - 1)\chi_D)\nabla w] v dX$$

=
$$\int_{\partial \Omega} v(u - u_{meas}) d\sigma + \int_{\Omega} (1 + (k - 1)\chi_D)\nabla v\nabla w dX.$$

Consequently,

$$\frac{\partial J}{\partial c_1} = \int_{\partial \Omega} (u - u_{meas}) v \, d\sigma \tag{2.10}$$

$$= (k-1) \int_{\partial D} e_1 \cdot \nu \nabla u \nabla w d\sigma \qquad (2.11)$$

$$= (k-1) \int_{\partial D} \frac{\partial x_1}{\partial \nu} \nabla u \nabla w d\sigma \qquad (2.12)$$

$$= (k-1) \int_{D} \frac{\partial}{\partial x_1} (\nabla u \nabla w) dX, \qquad (2.13)$$

and (2.2) follows. By the same argument, we can obtain (2.3) and

$$\frac{\partial J}{\partial R} = (k-1) \int_{\partial D} \nabla u \nabla w d\sigma.$$

As *D* is a disk, we have $\nu = \frac{x - X_0}{R}$ so that the previous integral becomes

$$\begin{split} \int_{\partial D} \nabla u \nabla w d\sigma &= \int_{\partial D} v \cdot v \nabla u \nabla w d\sigma \\ &= \int_{\partial D} \frac{x - X_0}{R} \cdot v \nabla u \nabla w d\sigma \\ &= \frac{1}{2R} \int_{\partial D} \frac{\partial |x - X_0|^2}{\partial v} \nabla u \nabla w d\sigma \\ &= \frac{1}{2R} (\int_D \nabla |x - X_0|^2 \nabla (\nabla u \nabla w) dX + \int_D \Delta (|x - X_0|^2) \nabla u \nabla w dX) \\ &= \frac{1}{R} \int_D 2 \nabla u \nabla w + \sum_{i=1,2} (x_i - c_i) \frac{\partial}{\partial x_i} (\nabla u \nabla w) dX, \end{split}$$

and (2.4) follows.

The expression of the shape derivative is the basis of the following iterative algorithm:

- 1. Chose an initial disk $D = \mathcal{B}(X_0, R_0)$.
- 2. For each iteration, i > 0:
 - (a) Calculate the solution to (2) u_i , associated to the disk $D_i = \mathcal{B}(X_i, R_i)$.
 - (b) Calculate the shape derivatives $\frac{\partial I}{\partial c_1}$, $\frac{\partial I}{\partial c_2}$, $\frac{\partial I}{\partial R}$
 - (c) Update the parameters of the disk $(X_{i+1}, R_{i+1}) = (X_i, R_i) \delta \nabla J(X_i, R_i)$ with $\delta > 0$.
 - (d) If the updated disk is not entirely in Ω or if *R* becomes negative, reduce the size δ .
- 3. When $J(X_i, R_i)$ becomes smaller than a fixed threshold, we stop.

2.2 Numerical examples

The setting of all numerical tests is as follows:

- We use FreeFem++ for our numerical experiments.
- Ω is a centered ellipse defined by the equation: $\frac{x_1^2}{4^2} + \frac{x_2^2}{3^2} \le 1$.
- the conductivity k is a fixed constant, here we set k = 5.
- the Neumann data $g := \frac{\partial u}{\partial v}$ is defined by: $g = \langle e, v \rangle$ on $\partial \Omega$ where $e = \begin{pmatrix} 2 \\ 3 \end{pmatrix}$.
- We use P1 finite elements for the numerical resolution of the PDEs.
- At each iteration, we remesh the domain to adapt to the new predicted position of the disk.

In this subsection, we show three examples according to the disk's size, and its distance to the boundary $\partial \Omega$,

- 1. The target is close to the boundary $\partial \Omega$. Figure 2.1a shows the solution to (2) when the target disk is centered at $X_0 = \begin{pmatrix} 2 \\ 0.8 \end{pmatrix}$ and has radius R = 1.
- 2. The target is apart from the boundary $\partial \Omega$. Figure 2.1b shows the solution to (2) when the target disk is centered at $X_0 = \begin{pmatrix} 0.5 \\ 0.5 \end{pmatrix}$ and has radius R = 0.7.
- 3. The target has a small size. Figure 2.1c shows the solution to (2) when the target disk is centered at $X_0 = \begin{pmatrix} 2.4 \\ -1.2 \end{pmatrix}$ and has radius R = 0.3.

In these three cases, we exercise our algorithm with the same initial guess: the disk centered at $\begin{pmatrix} 0 \\ 0 \end{pmatrix}$ with radius 2.5. Figure 2.2 shows the decay of log(*J*) during the iterations in the first case. We can observe that *J* decays exponentially to 0. To illustrate the dependence between the geometric characteristics of the disk and *J*, we draw log($|X_i - X_0|^2$) and



 $log(|R_i - R_0|^2)$ in terms of log(J) (Figures 2.3, 2.4, 2.5), where X_i and R_i denote the center and radius of the disk at the i-th iteration. In order to show the Hölder-type stability, it is also interesting to draw linear regression lines to each of these curves. Thus, the inclination of the linear regression lines present a numerical estimation of the Hölder exponent.

Finally, we conclude the numerical results of these three cases by the following remark.

Remark 2.2.1. • Figures 2.3, 2.4, 2.5 show the asymptotic behaviors of $|X_i - X_0|$ and $|R_i - R_0|$ when J becomes small. We can observe from the left side of those





figures that the data points are very close to a line. That numerically justify the theoretical prediction Theorem 1.2.3.

• The inclination of the linear regression lines present a numerical estimation of the Hölder exponent. The values in those three examples are presented in the table 2.1. These results show, the Hölder exponents are all close to 1.



- There is no clear evidence of a relation between the Hölder exponents α and the distance between the target disk and $\partial \Omega$.
- We always choose δ near 0.1. Roughly speaking, when δ exceed 0.5, J does not decay during the iterations.
- For the same target, different initial guesses do not change the number of iterations to reach convergence.
- Exceptionally, if the center of the initial guess coincide with the target's center, only about 10 iterations are needed to reach the target.
- When the target disk is too small, more iterations are needed.

•	inclination $\log X_i - X_0 / \log J$	inclination $\log R_i - R_0 / \log J$
example 1	1.0144	0.9522
example 2	0.9979	1.0602
example 3	1.0316	0.9069

Table 2.1 – Hölder exponents in different cases

Chapter 3

Determination of inclusions using multifrequency measurements

3.1 Introduction

In this work, we introduce a new approach to analyze the inverse inclusion problem, the multifrequency measurements, we consider the following equation,

$$\begin{aligned} (\operatorname{div}(\gamma(x,\omega)\nabla u(x,\omega)) &= 0 \quad \text{in} \quad \Omega, \\ \gamma(x,\omega)\partial_{\nu}u(x,\omega) &= f(x) \quad \text{on} \quad \partial\Omega, \\ \int_{\partial\Omega}u(x,\omega) &= 0, \end{aligned}$$
 (3.1)

where ω denotes the frequency, $\nu_{\Omega}(x)$ is the outward normal vector to $\partial\Omega$, $\gamma(x, \omega)$ is the conductivity coefficient, and $f \in H^{-1/2}_{\diamond}(\partial\Omega) := \{g \in H^{-1/2}(\partial\Omega) : \int_{\partial\Omega} g d\sigma = 0\}$ is the input current.

In this work we are interested in the case where the frequency dependent conductivity distribution takes the form

$$\gamma(x,\omega) = k_0 + (k(\omega) - k_0)\chi_D(x)$$
(3.2)

with $\chi_D(x)$ being the characteristic function of a C^2 domain D in Ω ($D \subset \subset \Omega$), k_0 being a fixed strictly positive constant, and $k(\omega) : \mathbb{R}_+ \to \mathbb{C} \setminus \mathbb{R}_-$, being a continuous complex-valued function. Here k_0 representing the conductivity of

the background medium, is known, and $k(\omega)$ is the conductivity of the biological tissue, given by the empirical model

$$k(\omega) := \kappa_1 - \frac{\kappa_2}{\omega^2 + i\omega\kappa_3},\tag{3.3}$$

where $\kappa_p > 0, p = 1, 2, 3$, are constants that only depend on the biological tissue properties (see for instance [19]). The frequency profile $k(\omega)$ is somehow a meromorphic approximation with a single pole of the graph of experimental measurements for a given biological tissue [19]. It also appears as a homogenized model for periodically distributed biological cells in the dilute limit [19], and is similar to Drude models that describes the frequency dependence of the electric permittivity of a real metal within the visible frequency range [55].

3.2 Spectral decomposition

We first introduce an operator whose spectral decomposition will be later the cornerstone of the identification of the anomaly *D*. Let $H^1_{\diamond}(\Omega)$ be the space of functions *v* in $H^1(\Omega)$ satisfying $\int_{\partial \Omega} v d\sigma = 0$.

For $u \in H^1_{\diamond}(\Omega)$, we infer from the Riesz theorem that there exists a unique function $Tu \in H^1_{\diamond}(\Omega)$ such that for all $v \in H^1_{\diamond}(\Omega)$,

$$\int_{\Omega} \nabla T u \nabla v dx = \int_{D} \nabla u \nabla v dx.$$
(3.4)

The variational Poincaré operator $T : H^1_{\diamond}(\Omega) \to H^1_{\diamond}(\Omega)$ is easily seen to be selfadjoint and bounded with norm $||T|| \le 1$.

The spectral problem for *T* reads as: Find $(\lambda, w) \in \mathbb{R} \times H^1_{\diamond}(\Omega)$, $w \neq 0$ such that $\forall v \in H^1_{\diamond}(\Omega)$,

$$\lambda \int_{\Omega} \nabla w \nabla v dx = \int_{D} \nabla w \nabla v dx.$$

Integrating by parts, one immediately obtains that any eigenfunction w is harmonic in D and in $D' = \Omega \setminus \overline{D}$, and satisfies the transmission and boundary

conditions

$$w|_{\partial D}^+ = w|_{\partial D}^-, \quad \partial_{\nu}w|_{\partial D}^+ = (1-\frac{1}{\lambda})\partial_{\nu}w|_{\partial D}^-, \quad \partial_{\nu_{\Omega}}w = 0,$$

where $w|_{\partial D}^{\pm}(x) = \lim_{t \to 0} w(x \pm tv_D(x))$ for $x \in \partial D$. In other words, w is a solution to (3.1) for $k = k_0(1 - \frac{1}{\lambda})$ and f = 0.

Let \mathfrak{H}_{\diamond} be the space of harmonic functions in D and D', with zero mean $\int_{\partial\Omega} u d\sigma = 0$, and zero normal derivative $\partial_{\nu_{\Omega}} u = 0$ on $\partial\Omega$, and with finite energy semi-norm

$$|u||_{\mathfrak{H}_{\diamond}} = \int_{\Omega} |\nabla u|^2 dx.$$

Since the functions in \mathfrak{H}_{\diamond} are harmonic in D', the space \mathfrak{H}_{\diamond} is a closed subspace of $H^1(\Omega)$. Later on, we will give a new characterization of the space \mathfrak{H}_{\diamond} in terms of the single layer potential on ∂D associated with the Neumann function of Ω .

We remark that Tu = 0 for all u in $H_0^1(D')$, and Tu = u for all u in $H_0^1(D)$ (the set of functions $H^1(D)$ with trace zero).

We also remark that $T\mathfrak{H}_{\diamond} \subset \mathfrak{H}_{\diamond}$ and hence the restriction of T to \mathfrak{H}_{\diamond} defines a linear bounded operator. Since we are interested in harmonic functions in Dand D', we only consider the action of T on the closed space \mathfrak{H}_{\diamond} . We further keep the notation T for the restriction of T to \mathfrak{H}_{\diamond} . We will prove later that T has only isolated eigenvalues with an accumulation point 1/2. We denote by $(\lambda_n)_{n\geq 1}$ the eigenvalues of T repeated according to their multiplicity, and ordered as follows

$$0 < \lambda_1^- \le \lambda_2^- \le \dots < \frac{1}{2},$$

in (0, 1/2] and, similarly,

$$0 > \lambda_1^+ \ge \lambda_2^+ \ge \dots > \frac{1}{2}$$

the eigenvalues in [1/2, 1). The eigenvalue $\lambda_{\infty} = 1/2$ is the unique accumulation point of the spectrum. To ease the notation we further denote the orthogonal spectral projector on the eigenspace associated to 1/2, by $\int_{\partial\Omega} w_{\infty}^{\pm} d\sigma w_{\infty}^{\pm}(x)$. Next, we will characterize the spectrum of *T* via the mini-max principle.

Proposition 3.2.1. [10] The variational Poincaré operator has the following decom-

position

$$T = \frac{1}{2}I + K,\tag{3.5}$$

where K is a compact self-adjoint operator. Let w_n^{\pm} , $n \ge 1$ be the eigenfunctions associated to the eigenvalues $(\lambda_n^{\pm})_{n\ge 1}$. Then

$$\lambda_{1}^{-} = \min_{0 \neq w \in \mathfrak{H}_{\diamond}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}$$
$$\lambda_{n}^{-} = \min_{0 \neq w \in \mathfrak{H}_{\diamond}, w \perp w_{1}^{-}, \cdots, w_{n-1}^{-}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}$$
$$= \min_{F_{n} \subset \mathfrak{H}_{\diamond}, \dim(F_{n}) = n} \max_{w \in F_{n}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}, \tag{3.6}$$

and similarly

$$\lambda_{1}^{+} = \max_{0 \neq w \in \mathfrak{H}_{\diamond}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}$$
$$\lambda_{n}^{+} = \min_{0 \neq w \in \mathfrak{H}_{\diamond}, w \perp w_{1}^{+}, \cdots, w_{n-1}^{+}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}$$
$$= \max_{F_{n} \subset \mathfrak{H}_{\diamond}, \dim(F_{n}) = n} \min_{w \in F_{n}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx}.$$
(3.7)

We have the following decomposition of $u(x, \omega)$ in the basis of the eigenfunctions of the variational Poincaré operator *T*.

Theorem 3.2.1. [10] Let $u(x, \omega)$ be the unique solution to the system (3.1). Then, the following decomposition holds:

$$u(x,\omega) = k_0^{-1} u_0(x) + \sum_{n=1}^{\infty} \frac{\int_{\partial \Omega} f(z) w_n^{\pm}(z) ds(z)}{k_0 + \lambda_n^{\pm}(k(\omega) - k_0)} w_n^{\pm}(x), \qquad x \in \Omega,$$
(3.8)

where $u_0 \in H^1_{\diamond}(\Omega)$ depends only on f and D, and is the unique solution to

$$\Delta v = 0 \quad in D',$$

$$\nabla v = 0 \quad in D,$$

$$\partial_{v_{\Omega}} v = f \quad on \ \partial\Omega,$$

$$\int_{\partial\Omega} v d\sigma = 0.$$
(3.9)

Proof. We first observe that frequency dependent part

$$u_f = u - k_0^{-1} u_0,$$

lies in \mathfrak{H}_{\diamond} . Since the eigenfunctions $w^{\pm}(x)$ form an orthonormal basis of \mathfrak{H}_{\diamond} , the frequency part u_f posses the following spectral decomposition:

$$u_f(x) = \sum_{n=1}^{\infty} \int_{\Omega} \nabla u_f(z) \nabla w_n^{\pm}(z) dz w_n^{\pm}(x) \qquad x \in \Omega.$$

A straightforward computation leads to

$$\int_{\Omega} \nabla u_f(z) \nabla w_n^{\pm}(z) dz = \int_{\Omega} \nabla u(z) \nabla w_n^{\pm}(z) dz.$$

On the other hand, since $u \in H^1_\diamond(\Omega)$, we obtain

$$\begin{split} \int_{\Omega} \nabla u(z) \nabla w_n^{\pm}(z) dz &= \lambda_n^{\pm} \int_{D} \nabla u(z) \nabla w_n^{\pm}(z) dz \\ &= \frac{k_0}{k(\omega)} \lambda_n^{\pm} \int_{\partial D} \partial_{v_D} u(z) |^+ w_n^{\pm}(z) d\sigma(z) \\ &= \frac{k_0}{k(\omega)} \lambda_n^{\pm} \int_{D'} \nabla u(z) \nabla w_n^{\pm}(z) dz - \frac{k_0}{k(\omega)} \lambda_n^{\pm} \int_{\partial \Omega} f(z) w_n^{\pm}(z) d\sigma(z). \end{split}$$

Using the simple fact that

$$\int_{\Omega} \nabla u(z) \nabla w_n^{\pm}(z) dz = \int_{D} \nabla u(z) \nabla w_n^{\pm}(z) dz + \int_{D'} \nabla u(z) \nabla w_n^{\pm}(z) dz$$

we obtain the desired decomposition.

3.3 Retrieval the frequency part

In this section, we will try to reconstruct the constants κ_1 , κ_2 and κ_3 in the model (3.3) and the function u_0 in the spectral decomposition (3.8) of the solution $u(x, \omega)$ from the multifrequency measurements by using an optimization algorithm.

We consider the M frequencies of measurements $\omega_1, \omega_2, \dots, \omega_M$ corresponding to the values of conductivity $k(\omega_1), k(\omega_2), \dots, k(\omega_M)$, and the associated solutions $u(x, \omega_1), u(x, \omega_2), \dots, u(x, \omega_M)$. As $\frac{1}{2}$ is the unique accumulation point of the eigenvalues $(\lambda_n^{\pm})_{n \in \mathbb{N}}$, we only consider the N_f first eigenvalues as unknown variables, and we approximate the others eigenvalues by $\frac{1}{2}$. That means, we make the following approximation, for $x \in \Omega$, $1 \le p \le M$,

$$u(x,\omega_p) \approx k_0^{-1} u_0(x) + \sum_{n=1}^{N_f} \frac{1}{k_0 + \lambda_n^{\pm}(k(\omega_p) - k_0)} v_n^{\pm}(x) + \frac{2}{k(\omega_p) + k_0} v_{N_f+1}(x), \quad (3.10)$$

where

$$v_n^{\pm}(x) = \int_{\partial \Omega} f(z) w_n^{\pm}(z) ds(z) w_n^{\pm}(x),$$

and

$$v_{N_f+1}(x) = \sum_{n > N_f} \int_{\partial \Omega} f(z) w_n^{\pm}(z) ds(z) w_n^{\pm}(x).$$

Using a simple integration by parts, we have, for all $n \in \mathbb{N}$,

$$\int_{\partial\Omega} f(z)w_n^{\pm}(z)ds(z) = \int_{\Omega} \nabla f(x)\nabla w_n^{\pm}(x)dx, \qquad (3.11)$$

where f is the unique solution in $H^1_{\diamond}(\Omega)$ to

$$\begin{cases} \Delta \mathbf{f} = 0 & \text{in } \Omega, \\ \partial_{\nu} \mathbf{f} = f & \text{on } \partial \Omega. \end{cases}$$
(3.12)

So, the function $\sum_{n=1}^{\infty} v_n^{\pm}$ is the orthogonal projection of the function f on the

space \mathfrak{H}_{\diamond} . Moreover, u_0 satisfies, for all $n \in \mathbb{N}$,

$$\int_{\Omega} \nabla u_0(x) \nabla w_n^{\pm}(x) dx = \int_{\Omega \setminus \overline{D}} \nabla u_0(x) \nabla w_n^{\pm}(x) dx$$
$$= \int_{\partial \Omega} u_0(x) \frac{\partial}{\partial \nu} w_n^{\pm}(x) ds(x) - \int_{\partial D} u_0(x) \frac{\partial}{\partial \nu} w_n^{\pm}(x) ds(x)$$
$$= 0 - C \int_{\partial D} \frac{\partial}{\partial \nu} w_n^{\pm}(x) ds(x)$$
$$= 0$$
(3.13)

As $f - u_0 \in \mathfrak{H}_{\diamond}$, the orthogonal projection of f on the space \mathfrak{H}_{\diamond} is $f - u_0$. The formula (3.10) becomes,

$$u(x,\omega_p) \approx \frac{k(\omega_p) - k_0}{k_0(k(\omega_p) + k_0)} u_0(x) + \frac{2}{k(\omega_p) + k_0} f(x) + \sum_{n=1}^{N_f} \left(\frac{1}{k_0 + \lambda_n^{\pm}(k(\omega_p) - k_0)} - \frac{2}{k(\omega_p) + k_0}\right) v_n^{\pm}(x).$$
(3.14)

Now we want to reconstruct κ_1 , κ_2 , κ_3 and $u_0(x)$ by an optimization algorithm. In order to do so, we need an *a priori* estimation of the eigenvalues $\widetilde{\lambda}_n^{\pm} \in [0,1]$ for $1 \le n \le N_f$. We will not recover the eigenvalues λ_n^{\pm} for the reason that they all vary in a relatively narrow interval such that the reconstruction of u_0 is not very sensitive to the variations of those eigenvalues.

Let $(x_j)_{1 \le j \le N_d} \in \partial \Omega$ be a discretization of the boundary $\partial \Omega$, and define, for $1 \le j \le N_d$,

$$F_{j}(U_{0}^{(j)}, V_{1}^{\pm(j)}, \cdots, V_{N_{f}}^{\pm(j)}, \omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) := \frac{k(\omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) - k_{0}}{k_{0}(k(\omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) + k_{0})} U_{0}^{(j)} + \frac{2}{k(\omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) + k_{0}} f(x_{j}) + \sum_{n=1}^{N_{f}} (\frac{1}{k_{0} + \widetilde{\lambda}_{n}^{\pm}(k(\omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) - k_{0})} - \frac{2}{k(\omega, \kappa_{1}, \kappa_{2}, \kappa_{3}) + k_{0}}) V_{n}^{\pm(j)}.$$
(3.15)

where $(U_0^{(j)})_{1 \le j \le N_d}$, $(V_n^{\pm(j)})_{1 \le j \le N_d}$ are vectors in \mathbb{R}^{N_d} .

The scheme consists in minimizing the functional,

$$J_{m}(U_{0}, V_{1}^{\pm}, \cdots, V_{N_{f}}^{\pm}, \kappa_{1}, \kappa_{2}, \kappa_{3}) := \frac{1}{2} \sum_{p=1}^{M} \sum_{j=1}^{N_{d}} |u(x_{j}, \omega_{p}) - F_{j}(U_{0}^{(j)}, V_{1}^{\pm(j)}, \cdots, V_{N_{f}}^{\pm(j)}, \omega_{p}, \kappa_{1}, \kappa_{2}, \kappa_{3})|^{2}.$$
(3.16)

We can easily calculate its gradient from (3.15) and (3.3), for $i = 1, 2, 3, 1 \le l \le N_d$ and $1 \le n \le N_f$,

$$\frac{\partial J_m}{\partial \kappa_i} = \sum_{p=1}^M \sum_{j=1}^{N_d} (\overline{u(x_j, \omega_p) - F_j(\cdot, \omega_p)}) \frac{\partial F_j}{\partial \kappa_i}(\cdot, \omega_p), \qquad (3.17)$$

$$\frac{\partial J_m}{\partial U_0^{(l)}} = \sum_{p=1}^M (\overline{u(x_j, \omega_p) - F_l(\cdot, \omega_p)}) \frac{\partial F_l}{\partial U_0^{(l)}} (\cdot, \omega_p), \qquad (3.18)$$

$$\frac{\partial J_m}{\partial V_n^{\pm(l)}} = \sum_{p=1}^M (\overline{u(x_j, \omega_p) - F_l(\cdot, \omega_p)}) \frac{\partial F_l}{\partial V_n^{\pm(l)}} (\cdot, \omega_p), \tag{3.19}$$

where we denote here $F_j(U_0^{(j)}, V_1^{\pm(j)}, \dots, V_{N_f}^{\pm(j)}, \omega_p, \kappa_1, \kappa_2, \kappa_3)$ by $F_j(\cdot, \omega_p)$ in order to simplify the notations.

The algorithm then follows the standard gradient method for $3 + N_d(1 + 2N_f)$ variables. Once we have reconstructed the conductivity profile, i.e. the approximate values of κ_1 , κ_2 , κ_3 , we can use (3.14) again to calculate the approximate conductivity $\tilde{k}(\omega)$ by (3.3) and the approximate u_0 . Letting $x \in \partial \Omega$, we define,

$$\tilde{U}(x,\omega_1,\ldots,\omega_M) = \begin{pmatrix} \tilde{u}(x,\omega_1) \\ \tilde{u}(x,\omega_2) \\ \vdots \\ \tilde{u}(x,\omega_M) \end{pmatrix},$$

$$L(\widetilde{\lambda_{1}^{\pm}},\ldots,\widetilde{\lambda_{N_{f}}^{\pm}},\omega_{1},\ldots,\omega_{M}) = \begin{pmatrix} q_{0}(\omega_{1}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{1}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{1}) & \cdots & q(\widetilde{\lambda_{N_{f}}},\omega_{1}) \\ q_{0}(\omega_{2}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{2}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{2}) & \cdots & q(\widetilde{\lambda_{N_{f}}},\omega_{2}) \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ q_{0}(\omega_{M}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{M}) & q(\widetilde{\lambda_{1}^{\pm}},\omega_{M}) & \cdots & q(\widetilde{\lambda_{N_{f}}},\omega_{M}) \end{pmatrix},$$

$$V(x) = \begin{pmatrix} u_{0}(x) \\ v_{1}^{+}(x) \\ \vdots \\ v_{N_{f}}(x) \end{pmatrix},$$

where $\tilde{u}(x,\omega) = u(x,\omega) - \frac{2}{\tilde{k}(\omega)+k_0} f(x)$, $q_0(\omega) = \frac{\tilde{k}(\omega)-k_0}{k_0(\tilde{k}(\omega)+k_0)}$, and $q(\tilde{\lambda},\omega) = \frac{1}{k_0+\tilde{\lambda}(\tilde{k}(\omega)-k_0)} - \frac{2}{\tilde{k}(\omega)+k_0}$. Then, the approximate relation (3.14) becomes

$$\tilde{U}(x,\omega_1,\omega_2,\cdots,\omega_M) \approx L(\widetilde{\lambda_1^{\pm}},\ldots,\widetilde{\lambda_{N_f}^{\pm}},\omega_1,\ldots,\omega_M)V(x).$$
(3.20)

We can therefore calculate the vector V from the following formula,

$$V(x) \approx (L^T L)^{-1} L^T \tilde{U}(x, \omega_1, \cdots , \omega_M).$$
(3.21)

The approximate $u_0(x)$ is the first coefficient of the vector V(x).

We sum up our method to reconstruct u_0 by the following algorithm:

- 1. Give an *a priori* estimation of eigenvalues $(\lambda_n^{\pm})_{1 \le n \le N_f}$.
- 2. Chose a step length α_m .
- 3. Initialize the vectors $U_0|_0$, $V_1|_0$, \cdots , $V_n|_0$ and the coefficients $\kappa_1|_0$, $\kappa_2|_0$, $\kappa_3|_0$.
- 4. While $|\nabla J_m|$ is larger then a threshold, we do
 - (a) Calculate the values of the functions F_j by (3.15), and ∇J_m by (3.17), (3.18), (3.19).
 - (b) Update the parameters $\kappa_i|_{k+1} = \kappa_i|_k \alpha \frac{\partial J_m}{\partial \kappa_i}$, $U_0^{(l)}|_{k+1} = U_0^{(l)}|_k \alpha \frac{\partial J_m}{\partial U_0^{(l)}}$, and $V_n^{\pm(l)}|_{k+1} = V_n^{\pm(l)}|_k - \alpha \frac{\partial J_m}{\partial V_n^{\pm(l)}}$.

- 5. When $|\nabla J_m|$ is smaller then the threshold, we stop the iterations.
- 6. Use (3.21) with the approximate coefficients κ_i obtained in the previous step to calculate the approximate value of $u_0(x)$ for every $x \in \partial \Omega$.

3.4 Asymptotic expansion

Let $\Omega \subset \mathbb{R}^2$ be a bounded domain with a \mathcal{C}^2 boundary $\partial \Omega$. The inclusion to determine $D \subset \Omega$ is also a \mathcal{C}^2 domain. We assume that the inclusion D has a positive distance from $\partial \Omega$: dist $(D, \partial \Omega) \geq \delta > 0$. Assuming $f \in H_0^{-1/2}(\Omega)$, we consider the following equation,

$$\begin{cases} \Delta u = 0 & \text{in } \Omega \setminus \overline{D}, \\ \nabla u = 0 & \text{in } D, \\ \frac{\partial u}{\partial v} = f & \text{on } \partial \Omega, \\ \int_{\partial \Omega} u d\sigma = 0. \end{cases}$$
(3.22)

Let D_{ε} be the perturbed domain, which is given by

$$\partial D_{\varepsilon} = \{ \tilde{x} : \tilde{x} = x + \varepsilon h(x) \nu(x), x \in \partial D \},$$
(3.23)

where $h \in C^1(\partial D)$ and ν denotes the unit outward normal vector. And we consider the perturbed equation,

$$\begin{cases} \Delta u_{\varepsilon} = 0 & \text{in } \Omega \setminus \overline{D_{\varepsilon}}, \\ \nabla u_{\varepsilon} = 0 & \text{in } D_{\varepsilon}, \\ \frac{\partial u_{\varepsilon}}{\partial \nu} = f & \text{on } \partial \Omega, \\ \int_{\partial \Omega} u_{\varepsilon} d\sigma = 0 \end{cases}$$
(3.24)

From the representation formula, the solution u can be written as

$$u(x) = S_D \phi(x) + S_\Omega \psi(x) \qquad x \in \Omega, \tag{3.25}$$

where $\phi = \frac{\partial u}{\partial v}|_+ \in L^2_0(\partial D)$ and $\psi \in L^2_0(\partial \Omega)$. From the same reason, we have,

$$u_{\varepsilon}(x) = \mathcal{S}_{D_{\varepsilon}}\phi_{\varepsilon}(x) + \mathcal{S}_{\Omega}\psi_{\varepsilon}(x) \qquad x \in \Omega.$$
(3.26)

Using the jump relation and the facts that $\frac{\partial u}{\partial v}|_{-} = 0$ on ∂D and $\frac{\partial u}{\partial v}|_{-} = f$ on $\partial \Omega$, the densities ϕ and ψ satisfy the following system,

$$\begin{cases} (-\frac{1}{2}I + \mathcal{K}_{D}^{*})\phi(x) + \frac{\partial}{\partial\nu}\mathcal{S}_{\Omega}\psi(x) = 0 \quad \text{on } \partial D, \\ \frac{\partial}{\partial\nu}\mathcal{S}_{D}\phi(x) + (-\frac{1}{2}I + \mathcal{K}_{\Omega}^{*})\psi(x) = f \quad \text{on } \partial\Omega. \end{cases}$$
(3.27)

This system can be also represented in a matrix form:

$$M\begin{pmatrix}\phi\\\psi\end{pmatrix} := \begin{pmatrix} (-\frac{1}{2}I + \mathcal{K}_{D}^{*}) & \frac{\partial}{\partial \nu}\mathcal{S}_{\Omega} \\ \frac{\partial}{\partial \nu}\mathcal{S}_{D} & (-\frac{1}{2}I + \mathcal{K}_{\Omega}^{*}) \end{pmatrix} \begin{pmatrix}\phi\\\psi\end{pmatrix} = \begin{pmatrix}0\\f \end{pmatrix}.$$
 (3.28)

From the same reason, we have the following equation for the perturbed domain,

$$M_{\varepsilon} \begin{pmatrix} \phi_{\varepsilon} \\ \psi_{\varepsilon} \end{pmatrix} := \begin{pmatrix} (-\frac{1}{2}I + \mathcal{K}_{\varepsilon D_{\varepsilon}}) & \frac{\partial}{\partial v_{\varepsilon}} \mathcal{S}_{\Omega} \\ \frac{\partial}{\partial v} \mathcal{S}_{D_{\varepsilon}} & (-\frac{1}{2}I + \mathcal{K}_{\Omega}^{*}) \end{pmatrix} \begin{pmatrix} \phi_{\varepsilon} \\ \psi_{\varepsilon} \end{pmatrix} = \begin{pmatrix} 0 \\ f \end{pmatrix}.$$
(3.29)

3.4.1 Expansions of layer potentials

Let $a, b \in \mathbb{R}$, a < b and $X(t) : [a, b] \to \mathbb{R}^2$ be a parametrization of ∂D , which satisfies $X \in C^2([a, b])$ and |X'(t)| = 1 for all $t \in [a, b]$, then

$$\partial D = \{x = X(t), t \in [a, b]\}.$$
 (3.30)

Then the outward unit normal vector v(x) is given by $v(x) = R_{-\frac{\pi}{2}}T(x)$, where $R_{-\frac{\pi}{2}}$ is the rotation with the angle $-\frac{\pi}{2}$ and T(x) = X'(t) denotes the tangential normal vector. Also the curvature $\gamma(x)$ satisfies

$$X''(t) = \gamma(x)\nu(x). \tag{3.31}$$

Then, the perturbed boundary ∂D_{ε} can be parametrized by

$$\partial D_{\varepsilon} = \{ \tilde{x} = \tilde{X}(t) = X(t) + \varepsilon h(x)\nu(x), t \in [a, b] \}.$$
(3.32)

We denote the outward unit normal vector to ∂D_{ε} at \tilde{x} by $\tilde{v}(\tilde{x})$. Then we have,

$$\tilde{\nu}(\tilde{x}) = \nu(x) - \varepsilon h'(t)T(x) + O(\varepsilon^2), \qquad (3.33)$$

where $h'(t) = \frac{d}{dt}h(X(t))$ - we use also sometimes h'(x) to denote this quantity. We can also obtain the length element $d\sigma_{\varepsilon}(\tilde{y})$,

$$d\sigma_{\varepsilon}(\tilde{y}) = d\sigma(y)(1 - \varepsilon\gamma(y)h(y) + O(\varepsilon^2))$$
(3.34)

Let Ψ_{ε} be the diffeomorphism from ∂D onto ∂D_{ε} given by $\Psi_{\varepsilon}(x) = x + \varepsilon h(x)\nu(x)$. From [12], we have the asymptotic expansion of \mathcal{K}_D^* ,

$$(\mathcal{K}_{D_{\varepsilon}}^{*}\tilde{\phi})\circ\Psi_{\varepsilon} = \mathcal{K}_{D}^{*}\phi + \varepsilon\mathcal{K}_{D}^{(1)}\phi + O(\varepsilon^{2}), \qquad (3.35)$$

where $\tilde{\phi} = \phi \circ \Psi_{\varepsilon}^{-1}$. The operator $\mathcal{K}_D^{(1)}$ is defined as:

$$\mathcal{K}_{D}^{(1)}\phi(x) = \int_{\partial D} \left[\left(\frac{1}{|x-y|^{2}} - \frac{2\langle x-y, \nu(x) \rangle^{2}}{|x-y|^{4}} \right) h(x) - \frac{\langle x-y, T(x) \rangle}{|x-y|^{2}} h'(x) - \frac{\nu(x), \nu(y)}{|x-y|^{2}} h(y) + \frac{2\langle x-y, \nu(x) \rangle \langle x-y, \nu(y) \rangle}{|x-y|^{4}} h(y) - \frac{\langle x-y, \nu(x) \rangle}{|x-y|^{2}} \gamma(y) h(y) \right] \phi(y) d\sigma(y)$$
(3.36)

Now we calculate the asymptotic expansion of the operators $\frac{\partial}{\partial \nu}S_{\Omega}$ on ∂D and $\frac{\partial}{\partial \nu}S_D$ on $\partial \Omega$. Let $\psi \in L^2_0(\partial \Omega)$ and $x \in \partial D$, then $\tilde{x} = x + \varepsilon h(x)\nu(x) \in \partial D_{\varepsilon}$, and we have:

$$\begin{split} \frac{\partial}{\partial \nu_{\varepsilon}} \mathcal{S}_{\Omega} \psi(\tilde{x}) &= \int_{\partial \Omega} \frac{\langle \tilde{x} - y, \tilde{\nu}(\tilde{x}) \rangle}{|\tilde{x} - y|^2} \psi(y) d\sigma(y), \\ &= \int_{\partial \Omega} \frac{\langle x + \varepsilon h(x)\nu(x) - y, \nu(x) - \varepsilon h'(x)T(x) \rangle}{|x + \varepsilon h(x)\nu(x) - y|^2} \psi(y) d\sigma(y) + O(\varepsilon^2), \\ &= \frac{\partial}{\partial \nu} \mathcal{S}_{\Omega} \psi(x) + \varepsilon (-h'(x) \frac{\partial}{\partial T} \mathcal{S}_{\Omega} \psi(x) + h(x) \mathcal{S}_{\Omega}^{(1)} \psi(x)) + O(\varepsilon^2), \end{split}$$

where $\frac{\partial}{\partial T}$ denotes the tangential derivative and $S_{\Omega}^{(1)}$ is defined by: for $x \in \partial D$,

$$S_{\Omega}^{(1)}\psi(x) = \int_{\partial\Omega} \left[\frac{1}{|x-y|^2} - \frac{2\langle x-y,\nu(x)\rangle^2}{|x-y|^4}\right]\psi(y)d\sigma(y).$$
(3.37)

By the similar method, we obtain the asymptotic expansion of $\frac{\partial}{\partial v}S_D$. Let $\phi \in L^2_0(\partial D)$ and $x \in \Omega$,

$$\frac{\partial}{\partial \nu} S_{D_{\varepsilon}} \tilde{\phi}(x) = \int_{D_{\varepsilon}} \frac{\langle x - \tilde{y}, \nu(x) \rangle}{|x - \tilde{y}|^{2}} \tilde{\phi}(\tilde{y}) d\sigma_{\varepsilon}(\tilde{y}),$$

$$= \int_{D} \frac{\langle x - y - \varepsilon h(y)\nu(y), \nu(x) \rangle}{|x - y - \varepsilon h(y)\nu(y)|^{2}} \phi(y))(1 - \varepsilon \gamma(y)h(y)) d\sigma + O(\varepsilon^{2}),$$

$$= \frac{\partial}{\partial \nu} S_{D} \phi(x) + \varepsilon \{ \int_{\partial D} [-\frac{\langle \nu(x), \nu(y) \rangle}{|x - y|^{2}} + 2\frac{\langle x - y, \nu(x) \rangle \langle x - y, \nu(y) \rangle}{|x - y|^{4}}] h(y)\phi(y) d\sigma(y)$$

$$- \int_{\partial D} \frac{\langle x - y, \nu(x) \rangle}{|x - y|^{2}} \gamma(y)h(y)\phi(y) d\sigma(y) \} + O(\varepsilon^{2})$$

$$= \frac{\partial}{\partial \nu} S_{D} \phi(x) + \varepsilon \frac{\partial}{\partial \nu} [\mathcal{D}_{D}(h\phi) - S_{D}(\gamma h\phi)](x) + O(\varepsilon^{2}).$$
(3.38)

Then we have $M_{\varepsilon} = M + \varepsilon M_h + O(\varepsilon^2)$, where the operator M_1 on $L_0^2(\partial D) \times L_0^2(\partial \Omega)$ is defined by:

$$M_{h} := \begin{pmatrix} \mathcal{K}_{D}^{(1)} & -h' \frac{\partial}{\partial T} \mathcal{S}_{\Omega} + h \mathcal{S}_{\Omega}^{(1)} \\ \frac{\partial}{\partial \nu_{\Omega}} [\mathcal{D}_{D}(h \cdot) - \mathcal{S}_{D}(\gamma h \cdot)] & 0 \end{pmatrix}.$$
 (3.39)

So, the systems (3.28) and (3.29) imply that

$$\begin{pmatrix} \phi_{\varepsilon} \\ \psi_{\varepsilon} \end{pmatrix} = \begin{pmatrix} \phi \\ \psi \end{pmatrix} + \varepsilon \begin{pmatrix} \phi_h \\ \psi_h \end{pmatrix} + O(\varepsilon^2),$$
(3.40)

where $\begin{pmatrix} \phi_h \\ \psi_h \end{pmatrix}$ is given by

$$\begin{pmatrix} \phi_h \\ \psi_h \end{pmatrix} = -M^{-1}M_h \begin{pmatrix} \phi \\ \psi \end{pmatrix}.$$
 (3.41)

Thus, using the representation formula and the same calculus, we have also the

asymptotic expansion of the solution *u*: for $x \in \partial \Omega$:

$$u_{\varepsilon}(x) = u(x) + \varepsilon(\mathcal{S}_D\phi_1(x) + \mathcal{S}_\Omega\psi_1(x) + \mathcal{D}_D(h\phi)(x) - \mathcal{S}_D(\gamma h\phi)(x)) + O(\varepsilon^2).$$
(3.42)

We denote by \tilde{u}_h the function $S_D \phi_1 + S_\Omega \psi_1$ in Ω and denote by u_h the function $\tilde{u} + \mathcal{D}_D(h\phi) - S_D(\gamma h\phi)$. From (3.41), we have on ∂D ,

$$\frac{\partial \tilde{u}_h}{\partial \nu}|_{-} + \mathcal{K}_D^{(1)}\phi - h'\frac{\partial}{\partial T}\mathcal{S}_\Omega\psi + h\mathcal{S}_\Omega^{(1)}\psi = 0, \qquad (3.43)$$

and on $\partial \Omega$,

$$\frac{\partial \tilde{u}_h}{\partial \nu}|_{-} + \frac{\partial}{\partial \nu} [\mathcal{D}_D(h\phi) - \mathcal{S}_D(\gamma h\phi)] = 0.$$
(3.44)

(3.44) implies that

$$\frac{\partial u_h}{\partial \nu} = 0$$
 on $\partial \Omega$. (3.45)

By (3.25) and the fact that $\frac{\partial}{\partial T}u = 0$ on ∂D , we have,

$$\begin{split} 0 &= \frac{\partial}{\partial T} h \frac{\partial u}{\partial T}(x), \\ &= \frac{\partial}{\partial T} h \frac{\partial}{\partial T} S_D \phi(x) + h'(x) \frac{\partial}{\partial T} S_\Omega \psi(x) + h(x) \frac{\partial^2}{\partial T^2} S_\Omega \psi(x), \\ &= \frac{\partial}{\partial T} h \frac{\partial}{\partial T} S_D \phi(x) + h'(x) \frac{\partial}{\partial T} S_\Omega \psi(x) \\ &+ h(x) \int_{\partial \Omega} \left[\frac{-1}{|x-y|^2} + 2 \frac{\langle x-y, \nu(x) \rangle^2}{|x-y|^4} + 2\gamma(x) \frac{\langle x-y, \nu(x) \rangle}{|x-y|^2} \right] \psi(y) d\sigma(y), \\ &= \frac{\partial}{\partial T} h \frac{\partial}{\partial T} S_D \phi(x) + h'(x) \frac{\partial}{\partial T} S_\Omega \psi(x) - h(x) S_\Omega^{(1)} \psi(x) + 2\gamma(x) h(x) \frac{\partial}{\partial \nu} S_\Omega \psi(x), \end{split}$$

which implies

$$-h'\frac{\partial}{\partial T}\mathcal{S}_{\Omega}\psi + h\mathcal{S}_{\Omega}^{(1)}\psi = \frac{\partial}{\partial T}h\frac{\partial}{\partial T}\mathcal{S}_{D}\phi + 2\gamma h(\frac{1}{2}I - \mathcal{K}_{D}^{*})\phi \qquad (3.46)$$

A similar calculus give us: for $x \in \partial D$

$$\frac{\partial}{\partial T}h\frac{\partial}{\partial T}\mathcal{S}_{D}\phi(x) = \int_{\partial D} [h'(x)\frac{\langle x-y,T(x)\rangle}{|x-y|^{2}} + h(x)(\frac{-1}{|x-y|^{2}} + \frac{2\langle x-y,\nu(x)\rangle^{2}}{|x-y|^{4}} + 2\gamma(x)\frac{\langle x-y,\nu(x)\rangle}{|x-y|^{2}})]\phi(y)d\sigma(y).$$
(3.47)

Thus,

$$\mathcal{K}_{D}^{(1)}\phi(x) - h'\frac{\partial}{\partial T}\mathcal{S}_{\Omega}\psi(x) + h\mathcal{S}_{\Omega}^{(1)}\psi(x)$$

$$= \int_{\partial D} \left[-\frac{\langle v(x), v(y) \rangle}{|x-y|^2} + 2\frac{\langle x-y, v(x) \rangle \langle x-y, v(y) \rangle}{|x-y|^4}\right]h(y)\phi(y)d\sigma(y)$$

$$- \int_{\partial D} \frac{\langle x-y, v(x) \rangle}{|x-y|^2}\gamma(y)h(y)\phi(y)d\sigma(y) + \gamma(x)h(x)\phi(x)$$
(3.48)

By the continuity of the normal derivative of double layer potentials and the jump relation, we have, for $x \in \partial D$,

$$\frac{\partial}{\partial \nu} |_{-} [\mathcal{D}_{D}(h\phi) - \mathcal{S}_{D}(\gamma h\phi)](x)
= \int_{\partial D} [-\frac{\langle \nu(x), \nu(y) \rangle}{|x-y|^{2}} + 2\frac{\langle x-y, \nu(x) \rangle \langle x-y, \nu(y) \rangle}{|x-y|^{4}}]h(y)\phi(y)d\sigma(y)
+ \frac{1}{2}\gamma(x)h(x)\phi(x) - \int_{\partial D} \frac{\langle x-y, \nu(x) \rangle}{|x-y|^{2}}\gamma(y)h(y)\phi(y)d\sigma(y).$$
(3.49)

Using (3.43), (3.48) and (3.49), we have,

$$\frac{\partial u_h}{\partial \nu}|_{-} = \frac{\partial \tilde{u}_h}{\partial \nu}|_{-} + \frac{\partial}{\partial \nu}|_{-} [\mathcal{D}_D(h\phi) - \mathcal{S}_D(\gamma h\phi)] = 0.$$
(3.50)

It means u_h is the solution to the following equation:

$$\begin{array}{ll}
\left(\begin{array}{ccc} \Delta u_{h} = 0 & \text{in } D \cup (\Omega \setminus \overline{D}), \\
\frac{\partial u_{h}}{\partial \nu}|_{-} = 0 & \text{on } \partial D, \\
u_{h}|_{+} - u_{h}|_{-} = -h\phi & \text{on } \partial D, \\
\frac{\partial u_{h}}{\partial \nu} = 0 & \text{on } \partial \Omega, \\
\int_{\partial \Omega} u_{h} d\sigma = 0.
\end{array}$$
(3.51)

3.5 Inclusion reconstruction

3.5.1 Optimization algorithm

In this section, we consider a numerical scheme to reconstruct the domain contained in a subset $\Omega_0 \subset \Omega$ with $dist(\partial \Omega_0, \partial \Omega) \ge \delta_0 > 0$, using finitely many measurements. The scheme is based on minimizing the functional

$$J(u) = \frac{1}{2} \int_{\partial \Omega} \sum_{i=1}^{P} |u - u_{meas}^{(i)}|^2 d\sigma,$$

where $u_{meas}^{(i)}$ are the measured Dirichlet data corresponding to the *i*-th Neumann data and where *u* is the solution to (3.1) associated to the current domain $D \subset \Omega_0$. In our numerical simulations, P = 2, we use two linearly independent Neumann data: $f_1 = \langle e_1, \nu \rangle$ and $f_2 = \langle e_2, \nu \rangle$, where (e_1, e_2) is the canonical basis of \mathbb{R}^2 .

We assume that our domain D is star shaped and its boundary ∂D can be described by the Fourier series:

$$\partial D = \{X_0 + r(\theta) \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix} | \theta \in [0; 2\pi)\}, r = \sum_{n=-N}^N c_n f_n,$$
(3.52)

where $C = \begin{pmatrix} c_{-N} \\ c_{-N+1} \\ \vdots \\ c_{N} \end{pmatrix} \in \mathbb{R}^{2N+1}$, $f_n(\theta) = \cos(n\theta)$ for $0 \le n \le N$ and $f_n(\theta) = \sin(n\theta)$ for $-N \le n < 0$.

Using (3.51) and integration by parts, we have the expressions of the shape derivative corresponding to each Fourier coefficient, for $-N \le n \le N$,

$$\frac{\partial J}{\partial c_n} = \int_{\Omega \setminus D} \nabla w \nabla u_h dX, \qquad (3.53)$$

where $h(\theta) = f_n(\theta) \langle \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}, \nu \rangle$ and *w* is the solution of the following equation,

$$\Delta w = 0 \qquad \text{in } \Omega \setminus \overline{D},$$

$$\frac{\partial w}{\partial \nu} = 0 \qquad \text{on } \partial D$$

$$\frac{\partial w}{\partial \nu} = u - u_{meas} \qquad \text{on } \partial \Omega.$$
(3.54)

The formula (3.53) is also valid for the shape derivative corresponding to the displacement of X_0 , in these cases, $h = \langle e_i, \nu \rangle$, i = 1, 2.

Those expressions are the basis of the following iterative algorithm:

- 1. Chose an initial domain D_0 .
- 2. For each iteration, i > 0:
 - (a) Calculate the solution to (3.1) u_i , associated to the domain D_i for which the boundary ∂D_i is calculated by (3.52).
 - (b) Calculate the shape derivatives $\frac{\partial J}{\partial x_1}$, $\frac{\partial J}{\partial x_2}$ and $\frac{\partial J}{\partial c_n}$ for all $-N \le n \le N$.
 - (c) Update the parameters of the domain $X_{i+1} = X_i \alpha \nabla_{X_0} J(X_i, C_i)$ and $C_{i+1} = C_i \alpha \nabla_C J(X_i, C_i)$ with $\alpha > 0$.
 - (d) If the updated domain is not entirely in Ω or if *R* becomes negative, reduce the size of α .
- 3. When $J(X_i, C_i)$ becomes smaller than a fixed threshold, we stop.

3.6 Numerical examples

The setting of all numerical tests is as follows:

- We use FreeFem++ for our numerical experiments.
- Ω is a centered ellipse defined by the equation: $\frac{x_1^2}{4^2} + \frac{x_2^2}{3^2} \le 1$. We also tried another case with $\partial \Omega_2 = \{[4 + 0.8(\cos \theta + \sin \theta) - (\cos 2\theta + \sin 2\theta)](\cos \theta, \sin \theta), \theta \in [0, 2\pi)\}.$
- We use two linearly independent Neumann data: $f_1 = \langle e_1, \nu \rangle$ and $f_2 = \langle e_2, \nu \rangle$, where (e_1, e_2) is the canonical basis of \mathbb{R}^2 .
- The multifrequence conductivity follows the model (3.3) with $\kappa_1 = 3$, $\kappa_2 = 2$, $\kappa_3 = 1$ and ω are integers from 1 to 8.
- Only the first eigenvalues are taken into consideration, and their apriori estimations are settled as $\widetilde{\lambda_1^+} = \frac{3}{4}$, $\widetilde{\lambda_1^-} = \frac{1}{4}$ respectively in all cases.
- In the algorithm to reconstruct u_0 and the conductivity profile, the initial guess of u_0 is the function f, solution to the equation (3.12).
- The initial estimation of domain *D* is a centered disk with a radius $\frac{1}{2}$.
- We consider the first 15 Fourier coefficients: N = 15.
- We use P1 finite elements for the numerical resolution of the PDEs.
- At each iteration, we remesh the domain to adapt to the new predicted position and shape of the domain.
- The algorithms stop if $J < 10^{-5}$ or the number of iterations exceed 500. All of the cases here have executed 500 iterations.

We present here several numerical simulations of the algorithm. We first present ,in the following Table (3.1), the precision of our reconstruction method of u_0 and the reconstructed coefficients κ_1 , κ_2 , κ_3 in Table (3.2). Here, errors are the L^2 -norm of the difference $u_{0reconstruct} - u_0$: $error(u_{0reconstruct}) := \sqrt{\int_{\partial\Omega} |u_{0reconstruct} - u_0|^2 dx}$. We show in the following figures the targets and the reconstruction result. We calculate also the relative symmetric difference $|D_i \Delta D_{target}|/|D_{target}|$ during the iterations, and we draw the curves of the symmetric difference of

•	ellipse	square	near-boundary	small-central	in Ω_2
$f = f_1$	0.04707	0.11973	0.00956	0.00502	0.04208
$f = f_2$	0.01583	0.09905	0.02436	0.00893	0.08556

Table 3.1 – Errors between $u_{0reconstruct}$ and u_0

•	real value	ellipse	square	near-boundary	small-central	in Ω_2
κ_1	3	2.80971	3.36482	3.00287	6.65418	2.89787
κ ₂	2	1.79063	2.34197	1.96926	5.14671	1.86579
κ ₃	1	1.00212	0.987247	0.999658	1.13223	1.00446

Table 3.2 – Reconstructs conductivity coefficients

each case in Table 3.3.

•	ellipse	square	near-boundary	small-central	in Ω_2
$ D_i \triangle D_{target} / D_{target} $	0.07055	0.12187	0.24299	0.19471	0.120597












Chapter

Mathematical model of electroreception

4.1 Introduction

Some species of fish have the ability to recognize the environment around them by generating a weak electrical field at different frequencies. They possess an electric organs, which can generate an electric field, and their skins are sensitive to nearby electric fields. Their central nervous systems can identify objects by analyzing the input electrical impulsion generated by themselves and the received electrical signals from their skin. From the mathematical point of view the electric waves can be described by Maxwell equations in the quasi-static regime, and the behavior of the electrical field in the neighborhood of the fish leads to an inverse conductivity problem with a finite number of excitations at different frequencies, and a finite number of boundary measurements.

To identify its targets the weakly electric fish solves an inverse problem that has many similarities with the studied multifrequency electric impedance tomography problem in the previous chapter. Recall this latter method is a recent imaging technique of biological tissues where one tries to take advantage of the dependence of the conductivity on the frequency [10, 19]. Indeed, most biological tissues exhibit frequency dependent conductivities, when excited by electric waves with frequencies ranging roughly around 10kHz [57]. Experiments

indicate that the electric fish sends out electric waves at different frequencies, to gather information around its environment. Assuming that the target is a different fish, our objective here is to explain how a weakly electric fish might identify it. The proposed inverse problem has many potential bio-inspired applications in underwater robotics [51, 34].

In this chapter we are interested in the case where the fish and the target fish occupy respectively the bounded domains $\Omega \subset \mathbb{R}^d$, d = 2, 3, and $D \subset \mathbb{R}^d \setminus \overline{\Omega}$. We assume that the conductivity distribution around the fish, is given by

$$\gamma(x,\omega) = 1 + (k(\omega) - 1)\chi_D(x),$$

with χ_D denotes the characteristic function of D, 1 is the conductivity of the background, $k(\omega) : \mathbb{R}_+ \to \mathbb{C} \setminus \overline{\mathbb{R}_-}$, is the conductivity of the target fish target, and ω the frequency of the electric wave u produced by the fish. Recently shape recognition and classification methods have been applied on small volume targets [20, 17]. In the present work we adapt the method developed in [10] to the weakly electric fish inverse problem. We take advantage of multifrequency measurements and combine unique continuation techniques for meromorphic complex functions with a clever spectral representation of u involving eigenfunctions of the variational Poincaré operator, to reconstruct the conductivity map γ . The spectral decomposition can be written in the form

$$u(x,\omega) = u_0(x) + u_f(x,k(\omega)),$$

where the function u_0 is independent of the frequency ω , and represents the limiting solution when the contrast $k(\omega)$ tends to ∞ . In fact u_0 is exactly the electric potential when we substitute the target fish by a perfect conductor with the same shape and position! Then, it is not surprising that one can uniquely identify D from only one boundary measurement $u_0|_{\partial\Omega}$. The problem of determining D from $u_0|_{\partial\Omega}$ has been studied in many works, and optimal stability estimates have been already derived (see e.g.[4]).

In this chapter, we are concerned with the multifrequency inverse inclusion problem in unbounded domain. We first describe the mathematical model of electroreception. After introducing the weighted Sobolev space $W^{1,-1}(\mathbb{R}^d)$, which is the natural space where we solve the Laplace equation using variational techniques in unbounded domains, we prove the existence and uniqueness of solutions to the dierct problem. We then set up a spectral decomposition using a modified variational Poincaré operator on $W^{1,-1}(\mathbb{R}^d)$. Using the same techniques of reconstruction as in [10], we derive the uniqueness of solutions to the inverse problem, and obtain stability estimates. The numerical validation of our theoretical approach is realized by reconstructing different targets using synthetic data in the next chapter.

This chapter is organized as follows. In the next section, we present the mathematical model of the weakly electric fish. In section 3, we study the well-posedness of the partial differential equation system associated to the forward problem. In section 4, we introduce the Poincaré variational operator, and we study its spectrum. Then, we derive the spectral decomposition of the unique solution to the forward problem in section 5. We derive uniqueness and stability estimates to the inverse problem in section 6. The next chapter is devoted to some numerical illustrations of the obtained theoretical results here. Similar spectral decomposition is used to derive the frequency independent part u_0 in a bounded truncated numerical domain. Then, we introduce an optimization scheme to reconstruct the position and shape of the target fish. Numerical experiments are presented at the end.

4.2 The Mathematical Model

In this section we adapt the mathematical model of the weakly electric fish introduced by Ammari et. al. in [6]. Let $\Omega \subset \mathbb{R}^d$, d = 2, 3, be an open connected and bounded region, which represents the electric fish. We suppose that $\partial\Omega$ is of class $C^{1,\alpha}$ for some $\alpha \in (0,1]$. We denote by Ω_e the exterior of Ω , that is $\Omega_e := \mathbb{R}^d \setminus \overline{\Omega}$.

The target fish *D* is also assumed to be an open connected region in Ω_e . We assume that there exists $\delta > 0$ such that $dist(\partial\Omega, \partial D) > \delta$. We define a class of inclusions on which we study the uniqueness and stability of the inverse problem. We assume that the inclusion *D* contains the origin. Let $b_1 = dist(0, \partial\Omega)$ and let

 $b_0 < b_1$. For m > 2 and $\varsigma \in (0, 1]$, we define the class of inclusions

$$\mathbb{D} := \left\{ D := \{ x \in \mathbb{R}^d : |x| < \Upsilon(\hat{x}), \hat{x} = \frac{x}{|x|} \}; b_0 < \Upsilon(\hat{x}) < b_1 - \delta; \|\Upsilon\|_{\mathcal{C}^{2,\varsigma}} \le m \right\}.$$

We assume that the conductivity is equal to 1 everywhere except in the target *D* where the conductivity is equal to $k(\omega)$. We denote by $\gamma(x, \omega) := 1 + (k(\omega) - 1)\chi_D$, the conductivity distribution in Ω_e . Let *u* be the electric voltage produced by the electric fish, satisfying the following system

$$\begin{cases} \Delta u = J_s & \text{in } \Omega, \\ \operatorname{div}[\gamma(x,\omega)\nabla u] = 0 & \text{in } \Omega_e, \\ \partial_{\nu}u|_{-} = 0 & \text{on } \partial\Omega, \\ u|_{+} - u|_{-} = \xi \partial_{\nu}u|_{+} & \text{on } \partial\Omega, \\ |u| = O(\frac{1}{|x|^{d-1}}) & \text{as } |x| \to \infty, \end{cases}$$

$$(4.1)$$

where the constant $\xi > 0$ is the effective fish skin thickness, J_s represents the electric current source generated by the fish organs, and ∂_{ν} designates the derivative with respect to the the outward normal vector ν . We assume that J_s can be written as the sum of Dirac functions

$$J_{s} = \sum_{j=1}^{M} \alpha_{j} \delta_{x_{s}^{(j)}},$$
(4.2)

where $M \in \mathbb{N} \setminus \{0\}$, $x_s^{(j)} \in \Omega$ and the electric charges $\alpha_j \in \mathbb{R}$ satisfy the neutrality condition,

$$\sum_{j=1}^{M} \alpha_j = 0.$$
 (4.3)

Note that the equation (4.1) can also be rewritten as

$$\begin{split} & \triangle u = J_s & \text{in } \Omega, \\ & \triangle u = 0 & \text{in } \Omega_e \setminus \partial D, \\ & \partial_\nu u|_- = 0 & \text{on } \partial \Omega, \\ & u|_+ - u|_- = \xi \partial_\nu u|_+ & \text{on } \partial \Omega, \\ & u|_+ = u|_- & \text{on } \partial D, \\ & \partial_\nu u|_+ = k(\omega) \partial_\nu u|_- & \text{on } \partial D, \\ & |u| = O(\frac{1}{|x|^{d-1}}) & \text{as } |x| \to \infty. \end{split}$$

Assuming that $k(\omega)$ is a given continuous function, the weakly electric fish inverse problem is to recover the shape and the position of the inclusion *D* from measurements of the voltages $u(x, \omega)$ on the boundary $\partial \Omega$ for $\omega \in (\underline{\omega}, \overline{\omega})$, where $\underline{\omega}, \overline{\omega}$ are fixed constants.

4.3 Well-posedness of the direct problem

In this section, we study the well-posedness of the direct problem (4.1). Firstly, we introduce the Sobolev space $W^{1,-1}(\Omega_e)$. Secondly, we establish the existence and uniqueness to (4.1) in $W^{1,-1}(\Omega_e)$.

4.3.1 Sobolev space $\mathcal{W}^{1,-1}(\Omega_e)$

In this subsection, we establish using variational techniques the existence and uniqueness for the Laplace equations with a Robin boundary condition in Ω_e . To overcome the difficulties of integrating by parts in the unbounded exterior domain Ω_e , we introduce the following weighted Sobolev space [59].

Definition 4.3.1. • *If d* = 2,

$$\mathcal{W}^{1,-1}(\Omega_e) := \{u; \frac{u(x)}{(1+|x|^2)^{1/2}\log(2+|x|^2)} \in L^2(\Omega_e), \forall u \in L^2(\Omega_e)\}.$$
(4.5)

• *If* d = 3,

$$\mathcal{W}^{1,-1}(\Omega_e) := \{ u; \frac{u(x)}{(1+|x|^2)^{1/2}} \in L^2(\Omega_e), \forall u \in L^2(\Omega_e) \}.$$
(4.6)

Remark 4.3.1. We make the following observations.

- From the boundedness of the weight functions, $W^{1,-1}(U)$ is identically equal to the usual Sobolev space $H^1(U)$ on any open bounded domain U.
- The space of infinitely differentiable functions with compact support $\mathcal{D}(\Omega_e)$ is dense in the subspace $\mathcal{W}_0^{1,-1}(\Omega_e) := \{ u \in \mathcal{W}^{1,-1}(\Omega_e); u |_{\partial\Omega} = 0 \}.$
- The functions $v \in W^{1,-1}(\Omega_e)$ satisfy the following decay behavior far from Ω

$$v(x) = O(\frac{1}{|x|^{d-2}}), \quad |x| \to +\infty.$$
 (4.7)

In particular, the constant functions belong to $W^{1,-1}(\Omega_e)$ when d = 2.

If d = 2, we introduce the space

$$\mathcal{L}_{-1}^{2}(\Omega_{e}) := \left\{ u : \frac{u(x)}{(1+|x|^{2})^{1/2}\log(2+|x|^{2})} \right\} \in L^{2}(\Omega_{e}) \right\}.$$

We state now a useful compact embedding result involving these weighted Sobolev spaces.

Lemma 4.3.1. The embedding $\mathcal{W}^{1,-1}(\Omega_e) \to \mathcal{L}^2_{-1}(\Omega_e)$ is compact.

The proof follows the same approach used in [1].

Proof. If the embedding $\mathcal{W}^{1,-1}(\mathbb{R}^2) \to \mathcal{L}^2_{-1}(\mathbb{R}^2)$ is compact, then it is also compact for any connected domain $O \subset \mathbb{R}^2$. So, without loss of generality, we only consider the case $O = \mathbb{R}^2$.

Letting $u \in \mathcal{D}(\mathbb{R}^2)$, we have

$$u(\rho,\theta) = -\int_{\rho}^{\infty} \frac{\partial u}{\partial r}(r,\theta) dr, \qquad (4.8)$$

where (r, θ) are the polar coordinates.

Then,

$$\frac{|u(\rho,\theta)|^2}{(1+\rho^2)(\log(2+\rho^2)^2)} \le C \frac{1}{\rho^2(\log(\rho))^2} \int_{\rho}^{\infty} |\frac{\partial u}{\partial r} u(r,\theta)|^2 dr,$$
(4.9)

for $\rho \ge \rho_0 > 0$.

Denoting by B_R^c the exterior of the ball $B_R = B(0, R)$, we have,

$$\int_{B_{R}^{c}} \frac{|u(x)|^{2}}{(1+|x|^{2})\log(2+|x|^{2})^{2}} dx \\
\leq C \int_{0}^{2\pi} d\theta \int_{R}^{\infty} \frac{1}{\rho(\log(\rho))^{2}} \int_{\rho}^{\infty} |\frac{\partial u}{\partial r}u(r,\theta)|^{2} dr d\rho \\
\leq C \frac{1}{\log(R)} ||u||_{\mathcal{W}^{1,-1}(\mathbb{R}^{2})}$$
(4.10)

By density, this inequality holds for any $u \in W^{1,-1}(\mathbb{R}^2)$. Let $(u_i)_{i\in\mathbb{N}}$ be a bounded sequence in $W^{1,-1}(\mathbb{R}^2)$. To prove that this sequence is a precompact in $\mathcal{L}^2_{-1}(\mathbb{R}^2)$, it is sufficient to show

- i) for $\varepsilon > 0$, there exists *R* such that $||u_i||_{\mathcal{L}^2_{-1}(B_R^c)} < \varepsilon$ for all *i*.
- ii) for any bounded part $\mathcal{O} \subset \mathbb{R}^2$, $(u_i|_{\mathcal{O}})_{i \in \mathbb{N}}$ is a precompact.

The first point is a direct consequence of the previous inequality. The second point can be obtained by applying the Rellich-Kondrachov Theorem. Thus, the result of the lemma follows. $\hfill \Box$

4.3.2 Well-posedness

In this subsection we establish the existence and uniqueness to (4.1) in $\mathcal{W}^{1,-1}(\Omega_e)$.

Lemma 4.3.2. If d = 2, let $\alpha > 0$. Then there exist $\beta > 0$ such that $\forall u \in W^{1,-1}(\Omega_e)$,

$$\int_{\Omega_e} |\nabla u|^2 dx + \alpha \int_{\partial \Omega} u^2 d\sigma \ge \beta ||u||^2_{\mathcal{L}^2_{-1}(\Omega_e)}.$$
(4.11)

Proof. We suppose by a contradiction argument that (4.11) is not true. The opposite of the statement of the lemma implies that there exists a sequence $(u_m)_{m\in\mathbb{N}}$ with $u_m \in \mathcal{W}^{1,-1}(\Omega_e)$, $||u_m||_{\mathcal{W}^{1,-1}(\Omega_e)} = 1$, such that

$$\int_{\Omega_e} |\nabla u_m|^2 dx + \alpha \int_{\partial \Omega} u_m^2 d\sigma < \frac{1}{m} ||u_m||_{\mathcal{L}^2_{-1}(\Omega_e)}^2.$$
(4.12)

From the previous compact imbedding result, there is a subsequence, which we always denote by $(u_m)_{m\in\mathbb{N}}$, convergent in $\mathcal{L}^2_{-1}(\Omega_e)$. Moreover, (4.12) implies that $(\nabla u_m)_{m\in\mathbb{N}}$ converges to 0 in $L^2(\Omega_e)$. So, the sequence $(u_m)_{m\in\mathbb{N}}$ converges to a constant in $\mathcal{W}^{1,-1}(\Omega_e)$. We deduce again from relation (4.12) that the trace of u_m converge to 0, thus the sequence $(u_m)_{m\in\mathbb{N}}$ converges to 0, which is in contradiction with the normalization assumption.

Theorem 4.3.1. Let $\alpha > 0$, f be in the dual space $(W^{1,-1}(\Omega_e))^*$, and $g \in H^{-1/2}(\partial \Omega)$. Then, the following Laplace equation with Robin boundary condition

$$\begin{cases} -\Delta u = f & \text{in } \Omega_e, \\ u - \alpha \partial_{\nu} u = g & \text{on } \partial \Omega. \end{cases}$$
(4.13)

admits a unique weak solution in $\mathcal{W}^{1,-1}(\Omega_e)$.

Proof. A variational formulation to (4.13) is given by

$$\forall v \in \mathcal{W}^{1,-1}(\Omega_e),$$

$$\int_{\Omega_e} \nabla u \nabla v dx + \frac{1}{\alpha} \int_{\partial \Omega} u v d\sigma = \int_{\Omega_e} f v dx + \frac{1}{\alpha} \int_{\partial \Omega} g v d\sigma$$

$$(4.14)$$

It follows from the trace theorem that the left-hand side is a bounded bilinear form and the right -hand side is a bounded linear form. We study separately the dimension two and dimension three cases for the coercivity of the bilinear form. i) If d = 2, the coercivity is a direct consequence of lemma 4.3.2.

ii) If d = 3, we deduce from Theorem 2.5.13 in [59], that there exists a constant c > 0 such that $\forall u \in W^{1,-1}(\Omega_e)$,

$$\|u\|_{\mathcal{W}^{1,-1}(\Omega_e)} \le c \|\nabla u\|_{L^2(\Omega_e)}$$
(4.15)

Then, the left-hand side bilinear form in (4.14) is coercive. Thus, the result follows from the Lax-Milgram Theorem.

From the previous theorem, and without considering the asymptotic behavior $u(x) = O(\frac{1}{|x|^{d-1}})$ as $|x| \to \infty$, we have the existence of solutions to (4.1) up to a constant. In order to establish the uniqueness of the solution, we will give necessary conditions on the trace of the interior and exterior solutions $u|_{\partial\Omega_{-}}$ and $u|_{\partial\Omega_{+}}$ respectively, such that the exterior solution satisfies the desired asymptotic behavior.

We split the equation (4.1) into two parts, the interior part

$$\begin{cases} \Delta u_i = J_s & \text{in } \Omega, \\ \frac{\partial u_i}{\partial \nu} = 0 & \text{on } \partial \Omega, \\ \int_{\partial \Omega} u_i d\sigma &= 0, \end{cases}$$
(4.16)

and the exterior part

$$\begin{cases} \operatorname{div}[(1+(k(\omega)-1)\chi_D)\nabla u] = 0 & \operatorname{in} \Omega_e, \\ u = \xi \partial_{\nu} u + u_i + c_u & \operatorname{on} \partial \Omega, \\ |u| = O(\frac{1}{|x|^{d-1}}) & \operatorname{as} |x| \to \infty \end{cases}$$
(4.17)

where c_u is a constant depending on u that has to be fixed. It is clear that there exists a unique solution u_i to (4.16). For a given constant c_u theorem (4.3.1) guarantee the existence and uniqueness of solution to (4.17) in $\mathcal{W}^{1,-1}(\Omega_e)$. Next, we show that the decay $O(\frac{1}{|x|^{d-1}})$ of the exterior solution as $|x| \to +\infty$ will determine uniquely the constant c_u .

Lemma 4.3.3. Assume that c_u is given. Then, the decay $O(\frac{1}{|x|^{d-1}})$ at infinity implies

$$\frac{1}{|\partial \Omega|} \int_{\partial \Omega} u \, d\sigma = c_u. \tag{4.18}$$

Proof. Recall the variational formulation for (4.14) with f = 0 and $g = u_i + c_u$.

$$\begin{aligned} \forall v \in \mathcal{W}^{1,-1}(\Omega_e), \\ \int_{\Omega_e} \gamma(x) \nabla u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} (u - c_u) v d\sigma &= \frac{1}{\xi} \int_{\partial \Omega} u_i v d\sigma. \end{aligned}$$

We further distinguish two different cases:

i) d = 2.

Since the constants belong to $W^{1,-1}(\Omega_e)$, by taking v = 1 in the previous variational formulation we obtain the desired result.

ii) d = 3.

Let B_R be a ball centered at 0 with radius R > 0, and assume that R is large enough such that $\overline{\Omega} \subset B_R$. Multiplying (4.17) by 1 and integrating by parts lead to

$$\frac{1}{\xi}\int_{\partial\Omega}(u-c_u)d\sigma=R^2\int_{S^2}\partial_\nu u(Rt)dt,$$

where S^2 is the unit sphere in \mathbb{R}^3 . Since u is harmonic in Ω_e and decays as $O(\frac{1}{|x|^2})$ when $|x| \to +\infty$, by expanding it in the spherical harmonic basis [59], we can easily obtain that $\partial_{\nu} u(Rt) = O(\frac{1}{R^3})$ as $R \to +\infty$ uniformly in $t \in S^2$. Consequently, the right hand term in the previous inequality tends to zero as $R \to +\infty$, which achieves the proof of the lemma.

Taking into account the results of lemma 4.3.3, we can rewrite the represent part of the system (4.1) as follows

$$\begin{cases} \operatorname{div}[(1+(k(\omega)-1)\chi_D)\nabla u] = 0 & \operatorname{in} \Omega_e, \\ u - \frac{1}{|\partial\Omega|} \int_{\partial\Omega} u \, d\sigma - \xi \, \partial_\nu u = u_i & \operatorname{on} \partial\Omega, \\ |u| = O(\frac{1}{|x|^{d-1}}) & \operatorname{as} |x| \to +\infty, \end{cases}$$
(4.19)

where u_i is the unique solution to the system (4.16).

Let

$$\mathcal{W}^{1,-1}_{\diamond}(\Omega_e) = \left\{ u \in \mathcal{W}^{1,-1}(\Omega_e); \ |u| = O(\frac{1}{|x|^{d-1}}) \ \text{as} \ |x| \to +\infty \right\}.$$
(4.20)

Then, the following is the main result of this subsection.

Theorem 4.3.2. The forward problem (4.1) has a unique solution in $\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$.

Proof. Multiplying the equation (4.19) by v in $W^{1,-1}_{\diamond}(\Omega_e)$ and integrating by parts we obtain the following variational formulation

$$\forall v \in \mathcal{W}^{1,-1}(\Omega_e),$$
$$\int_{\Omega_e} \gamma(x) \nabla u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} (u - \int_{\partial \Omega} u) (v - \int_{\partial \Omega} v) d\sigma = \frac{1}{\xi} \int_{\partial \Omega} u_i v d\sigma,$$

where $\oint_{\partial\Omega} u d\sigma = \frac{1}{|\partial\Omega|} \int_{\partial\Omega} u d\sigma$.

We claim that the left-hand bilinear form is coercive. In fact, when d = 3 we deduce from [59], that the term $\int_{\Omega_e} \nabla u \nabla v dx$ is coercive on $\mathcal{W}^{1,-1}(\Omega_e)$. When d = 2 it is proved in [26] that the term $\int_{\Omega_e} \nabla u \nabla v dx$ is also coercive on $\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$.

Then, by Lax-Milgram Theorem we obtain the desired result.

We introduce the fundamental solution Γ to the Laplace operator in \mathbb{R}^d .

Definition 4.3.2. Denoting by ω_d the area of the unit sphere in \mathbb{R}^d , the fundamental solution to the Laplace operator is given by

$$\Gamma(x,y) = \begin{cases} \frac{1}{2\pi} \ln(|x-y|) & d=2, \\ -\frac{1}{\omega_d} |x-y|^{2-d} & d \ge 3. \end{cases}$$
(4.21)

A direct consequence of theorem 4.3.1 is the following corollary.

Corollary 4.3.1. Let $y \in \Omega_e$ be fixed. Then, there exists a unique solution to the system

$$\begin{cases} \Delta G(x,y) = \delta_y & \text{in } \Omega_e, \\ G - \xi \frac{\partial G}{\partial v} = 0 & \text{on } \partial \Omega, \\ G - \Gamma \in \mathcal{W}^{1,-1}(\Omega_e). \end{cases}$$
(4.22)

The singular function G(x, y) is the Green function of the Laplace operator in Ω_e with the Robin boundary condition.

4.4 The Poincaré Variational Problem

In this section, we introduce the Poincaré variational problem by following the approach in [10],[26]. We denote by $\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$, the subspace of functions $v \in \mathcal{W}^{1,-1}(\Omega_e)$ satisfying $|v(x)| = O(\frac{1}{|x|^{d-1}})$ as $|x| \to \infty$. It follows from [59], [26] that the space $\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$ endowed with the scalar product

$$\langle u, v \rangle_{\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)} := \int_{\Omega_e} \nabla u \nabla v dx$$

is a Hilbert space. Thus, the following bilinear form defines also an equivalent scalar product on $\mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$,

$$a(u,v) := \int_{\Omega_e} \nabla u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} \bar{u} \bar{v} d\sigma,$$

where $\bar{u} := u - \frac{1}{|\partial \Omega|} \int_{\partial \Omega} u d\sigma$.

For $u \in \mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$, we infer from the Riesz Theorem that there exist a unique $Tu \in \mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$ such that for all $v \in \mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$,

$$\int_{\Omega_e} \nabla T u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} \overline{T u} \bar{v} d\sigma = \int_D \nabla u \nabla v dx.$$
(4.23)

It is easy to obtain that the operator $T: W^{1,-1}_{\diamond}(\Omega_e) \to W^{1,-1}_{\diamond}(\Omega_e)$ is self-adjoint and bounded. The spectral problem for T reads as: Find $(w, \lambda) \in W^{1,-1}_{\diamond}(\Omega_e) \times \mathbb{R}$, $w \neq 0$, such that $\forall v \in \mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$,

$$\lambda \int_{\Omega_e} \nabla w \nabla v dx + \frac{\lambda}{\xi} \int_{\partial \Omega} \bar{w} \bar{v} d\sigma = \int_D \nabla w \nabla v dx.$$
(4.24)

We remark that all functions $w \in H_0^1(D)$ are eigenfunctions of *T* corresponding to the eigenvalue $\lambda = 1$. As those eignenfunctions equal to 0 on $\partial\Omega$, they have not any contribution in the spectral decomposition which will be introduced later, we consider from now on the eigenvalues $\lambda \neq 1$.

Integrating by parts, we can obtain that an eigenfunction w is harmonic in D and in $D' := \Omega_e \setminus \overline{D}$, and on ∂D , we have the transmission and boundary conditions

$$w|_{\partial D}^{+} = w|_{\partial D}^{-}, \quad \partial_{\nu}w|_{\partial D}^{+} = (1 - \frac{1}{\lambda})\partial_{\nu}w|_{\partial D}^{-}, \quad w|_{\partial\Omega} - \xi\partial_{\nu}w|_{\partial\Omega} = \frac{1}{|\partial\Omega|} \int_{\partial\Omega} wd\sigma,$$
(4.25)

where $w|_{\partial D}^{\pm}(x) = \lim_{t \to 0} w(x \pm t\nu(x))$ for $x \in \partial D$. In other words, w is a solution to (4.1) for $k = 1 - \frac{1}{\lambda} < 0$, and $J_s = 0$.

We define the space \mathfrak{H}_{\diamond} as the spaces of the harmonic functions in D and in D' which are continuous across ∂D , with a Robin boundary condition $\overline{u} = \xi \partial_{\nu} u$ on $\partial \Omega$ and the asymptotic behavior $|u| = O(\frac{1}{|x|^{d-1}})$ as $|x| \to \infty$, and with a finite energy semi-norm

$$||u||_{\mathfrak{H}_{\diamond}}^{2} := \int_{\Omega_{e}} |\nabla u|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{u}|^{2} dx.$$

$$(4.26)$$

We remark that $T\mathfrak{H}_{\diamond} \subset \mathfrak{H}_{\diamond}$, thus *T* defines a bounded operator from \mathfrak{H}_{\diamond} into \mathfrak{H}_{\diamond} . We note always by *T* the restriction of *T* on \mathfrak{H}_{\diamond} .

We define now, with this kernel *G*, the single layer potential $S_D : H^{-1/2}(\partial D) \rightarrow \mathfrak{H}_{\diamond}$ and the Poincaré operator $K_D^* : H^{-1/2}(\partial D) \rightarrow H^{-1/2}(\partial D)$.

Definition 4.4.1. Let $\phi \in H^{-1/2}(\partial D)$, we define, for $x \in \Omega_e$

$$S_D[\phi](x) = \int_{\partial D} G(x, y)\phi(y)d\sigma(y), \qquad (4.27)$$

and, for $x \in \partial D$,

$$K_D^*[\phi](x) = \int_{\partial D} \frac{\partial}{\partial \nu_x} G(x, y) \phi(y) d\sigma(y).$$
(4.28)

 K_D^* is a compact operator on $L^2(\partial D)$ (see lemma 2.13 in [7]). Since the function *G* and the Laplace Green's function in the whole space have equivalent weak singularities as $x \to y$ (see Lemma 2.14 in [8] for the proof's sketch and section 2.5.5 in [59] for the regularity), we have the same jump relations through the boundary ∂D , that is,

$$\partial_{\nu}S_{D}[\phi]_{\pm}(x) = (\pm \frac{1}{2}I + K_{D}^{*})[\phi](x).$$
 (4.29)

Now, we state the characterization of the spectrum of T.

Theorem 4.4.1. The variational Poincaré operator T has the following decomposition,

$$T = \frac{1}{2}I + K,$$
 (4.30)

where K is a compact, self-adjoint operator. Let w_n^{\pm} , $n \ge 1$ be the eigenfunctions associated to the eigenvalues $(\lambda_n^{\pm})_{n\ge 1}$, we have the following formula via the min-max principle.

$$\lambda_{1}^{-} = \min_{0 \neq w \in \mathfrak{H}_{\diamond}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} dx}$$
$$\lambda_{n}^{-} = \min_{0 \neq w \in \mathfrak{H}_{\diamond}, w \perp w_{1}^{-}, \cdots, w_{n-1}^{-}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} dx}$$
$$= \min_{F_{n} \subset \mathfrak{H}_{\diamond}, \dim(F_{n}) = n} \max_{w \in F_{n}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} dx},$$
(4.31)

and similarly

$$\lambda_{1}^{+} = \max_{0 \neq w \in \mathfrak{H}_{\diamond}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} d\sigma}$$
$$\lambda_{n}^{+} = \max_{0 \neq w \in \mathfrak{H}_{\diamond}, w \perp w_{1}^{+}, \cdots, w_{n-1}^{+}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} dx}$$
$$= \max_{F_{n} \subset \mathfrak{H}_{\diamond}, \dim(F_{n}) = n} \min_{w \in F_{n}} \frac{\int_{D} |\nabla w(x)|^{2} dx}{\int_{\Omega_{e}} |\nabla w(x)|^{2} dx + \frac{1}{\xi} \int_{\partial \Omega} |\bar{w}|^{2} dx}.$$
(4.32)

Proof. We define the operator $K : \mathfrak{H}_{\diamond} \to \mathfrak{H}_{\diamond}$, for all $v \in \mathfrak{H}_{\diamond}$,

$$2(\int_{\Omega_e} \nabla K u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} \overline{Ku} \bar{v} d\sigma) = \int_D \nabla u \nabla v dx - \int_{D'} \nabla u \nabla v dx - \frac{1}{\xi} \int_{\partial \Omega} \bar{u} \bar{v} d\sigma.$$
(4.33)

We observe that K is bounded and self-adjoint. A direct calculation shows that

$$T = \frac{1}{2}I + K.$$
 (4.34)

It is shown in [7] that the single layer potential S_D : $H^{-1/2}(\partial D) \rightarrow H^{1/2}(\partial D)$ is invertible in dimension three, and we can modify S_D slightly to show the invertibility in dimension two.

Integrating by parts in the right-hand side (4.33), and using the jump relation (4.29), we find

$$\int_{\Omega_e} \nabla K u \nabla v dx + \frac{1}{\xi} \int_{\partial \Omega} \overline{Ku} \bar{v} d\sigma = \int_{\partial D} K_D^* [S_D^{-1}[u]_{\partial D}] v d\sigma.$$
(4.35)

Since K_D^* is compact the operator *K* is compact.

From Fredholm's alternative, *T* is a Fredholm operator of index 0, and the spectral decomposition (4.31), (4.32) follows from the min-max principle. \Box

Corollary 4.4.1. *If* $u \in \mathfrak{H}_{\diamond}$ *, then u has the spectral decomposition.*

$$u(x) = \sum_{n=1}^{\infty} u_n^{\pm} w_n^{\pm}(x), \qquad (4.36)$$

where

$$u_n^{\pm} = \int_{\Omega_e} \nabla u \nabla w_n^{\pm} dx + \frac{1}{\xi} \int_{\partial \Omega} \bar{u} \bar{w}_n^{\pm} dx.$$
(4.37)

4.5 Spectral decomposition of the solution $u(x, \omega)$

Theorem 4.5.1. Let $u(x, \omega)$ be the unique solution to the system (4.1). Then, the following decomposition holds:

$$u(x,\omega) = u_0(x) + u_f(x,k(\omega))$$

= $u_0(x) + \frac{1}{\xi} \sum_{n=1}^{\infty} \frac{\int_{\partial\Omega} u_i w_n^{\pm} d\sigma}{1 + \lambda_n^{\pm}(k(\omega) - 1)} w_n^{\pm}(x), \qquad x \in \Omega_e,$ (4.38)

where $u_0 \in \mathcal{W}^{1,-1}_{\diamond}(\Omega_e)$ is the unique solution to the system:

$$\begin{cases} \Delta v = 0 & in \ \Omega_e \setminus \bar{D}, \\ \nabla v = 0 & in \ D, \\ v - \oint_{\partial \Omega} v \, d\sigma = \xi \, \partial_v v + u_i & on \ \partial \Omega, \\ |v| = O(\frac{1}{|x|^{d-1}}) & as \ |x| \to \infty, \end{cases}$$
(4.39)

and $u_i \in L^2(\Omega)$ is the unique solution to the equation:

$$\begin{cases} \Delta v = J_s & \text{in } \Omega, \\ \partial_v v = 0 & \text{on } \partial \Omega, \\ \int_{\partial \Omega} v d\sigma = 0. \end{cases}$$
(4.40)

Proof. Let u_{\emptyset} be the unique solution to

$$\begin{cases} \Delta v = J_s & \text{in } \Omega, \\ \partial_v v|_- = 0 & \text{on } \partial\Omega, \\ \int_{\partial\Omega} v|_- d\sigma = 0, & \\ \Delta v = 0 & \text{in } \Omega_e, \\ v|_+ - \oint_{\partial\Omega} v|_+ d\sigma - v|_- = \xi \partial_v v|_+ & \text{on } \partial\Omega, \\ |v| = O(\frac{1}{|x|^{d-1}}) & \text{as } |x| \to \infty. \end{cases}$$

$$(4.41)$$

Denote $\mathfrak{u} := u - u_{\emptyset}$, \mathfrak{u} is therefore harmonic in D and in $\Omega_e \setminus \overline{D}$. Moreover, it satisfies

$$\mathfrak{u}|_{+} - \int_{\partial\Omega} \mathfrak{u}|_{+} d\sigma = \xi \partial_{\nu} \mathfrak{u}|_{+} \text{ on } \partial\Omega.$$

Then $\mathfrak{u}\in\mathfrak{H}_\diamond$, and admits the following spectral decomposition:

$$\mathfrak{u}(x) = \sum_{n=1}^{\infty} \mathfrak{u}_n^{\pm} w_n^{\pm}(x), \qquad (4.42)$$

where

$$\mathfrak{u}_{n}^{\pm} = \int_{\Omega_{e}} \nabla \mathfrak{u} \nabla w_{n}^{\pm} dx + \frac{1}{\xi} \int_{\partial \Omega} \overline{\mathfrak{u}} \overline{w}_{n}^{\pm} d\sigma.$$
(4.43)

Otherwise, u is the unique solution to

/

$$\begin{cases} -\operatorname{div}(\gamma(x,\omega)\nabla \mathfrak{u}) = \operatorname{div}(\gamma(x,\omega)\nabla u_{\emptyset}) & \text{in } \Omega_{e}, \\ \mathfrak{u} - \xi \partial_{\nu}\mathfrak{u} = \frac{1}{|\partial\Omega|} \int_{\partial\Omega} \mathfrak{u} d\sigma & \text{on } \partial\Omega, \\ |\mathfrak{u}| = O(\frac{1}{|x|^{d-1}}) & \text{as } |x| \to \infty. \end{cases}$$
(4.44)

Multiplying the first equation in (4.44) by w_n^{\pm} , and integrating by parts over Ω_e , we have

$$\mathfrak{u}_n^{\pm} = \frac{\int_{\Omega_e} \operatorname{div}(\gamma(x,\omega)\nabla u_{\emptyset}) w_n^{\pm} dx}{1 + \lambda_n^{\pm}(k(\omega) - 1)}.$$
(4.45)

The term $\int_{\Omega_e} \operatorname{div}(\gamma(x,\omega)\nabla u_{\emptyset}) w_n^{\pm} dx$ can be understood as a dual product between $\operatorname{div}(\gamma(x,\omega)\nabla u_{\emptyset}) \in (\mathcal{W}^{1,-1}(\Omega_e))^*$ and $w_n^{\pm} \in \mathcal{W}^{1,-1}(\Omega_e)$. It can be simplified by means of integration by parts:

$$\begin{split} &\int_{\Omega_{e}} \operatorname{div}(\gamma(x,\omega)\nabla u_{\emptyset})w_{n}^{\pm}dx \\ &= -\int_{\Omega_{e}}\gamma(x,\omega)\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx - \int_{\partial\Omega}\partial_{\nu}u_{\emptyset}w_{n}^{\pm}d\sigma \\ &= -\int_{\Omega_{e}}\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx - (k(\omega)-1)\int_{D}\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx - \frac{1}{\xi}\int_{\partial\Omega}(u_{\emptyset}|_{+} - u_{\emptyset}|_{-})w_{n}^{\pm}d\sigma \\ &= -\int_{\Omega_{e}}\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx - (k(\omega)-1)\int_{D}\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx - \frac{1}{\xi}\int_{\partial\Omega}(\overline{u_{\emptyset}|_{+}} - \overline{u_{\emptyset}|_{-}})\bar{w}_{n}^{\pm}d\sigma \\ &= -[\frac{1}{\lambda_{n}^{\pm}} + (k(\omega)-1)]\int_{D}\nabla u_{\emptyset}\nabla w_{n}^{\pm}dx + \frac{1}{\xi}\int_{\partial\Omega}\overline{u_{\emptyset}|_{-}}w_{n}^{\pm}d\sigma, \end{split}$$
(4.46)

where $\overline{u_{\emptyset}|_{-}}$ is exactly the unique solution to (4.40), it means, $\overline{u_{\emptyset}|_{-}} = u_i$. Thus, it follows that

$$\mathfrak{u}_{n}^{\pm} = -\frac{\int_{D} \nabla u_{\emptyset} \nabla w_{n}^{\pm} dx}{\lambda_{n}^{\pm}} + \frac{\int_{\partial \Omega} u_{i} w_{n}^{\pm} d\sigma}{\xi [1 + \lambda_{n}^{\pm} (k(\omega) - 1)]}.$$
(4.47)

Let $\tilde{u_0} \in \mathfrak{H}_{\diamond}$ be the unique solution to the system

$$\begin{cases} \Delta \tilde{u_0} = 0 & \text{in } \Omega_e \setminus \bar{D}, \\ \nabla \tilde{u_0} = \nabla u_{\emptyset} & \text{in } D, \\ \tilde{u_0} - \xi \frac{\partial \tilde{u_0}}{\partial \nu} = \frac{1}{|\partial \Omega|} \int_{\partial \Omega} \tilde{u_0} d\sigma & \text{on } \partial \Omega, \\ |\tilde{u_0}| = O(\frac{1}{|x|^{d-1}}) & \text{as } |x| \to \infty. \end{cases}$$
(4.48)

Since w_n^{\pm} is an eigenfunction of T and $\tilde{u_0} \in \mathfrak{H}_{\diamond}$, we have

$$\int_{D} \nabla u_{\emptyset} \nabla w_{n}^{\pm} dx = \lambda_{n}^{\pm} [\int_{\Omega_{e}} \nabla \tilde{u_{0}} \nabla w_{n}^{\pm} dx + \frac{1}{\xi} \int_{\partial \Omega} \overline{\tilde{u_{0}}} \bar{w}_{n}^{\pm} d\sigma], \qquad (4.49)$$

which gives

$$\mathfrak{u}_{n}^{\pm} = -\left[\int_{\Omega_{e}} \nabla \tilde{u_{0}} \nabla w_{n}^{\pm} dx + \frac{1}{\xi} \int_{\partial\Omega} \overline{\tilde{u_{0}}} \bar{w}_{n}^{\pm} d\sigma\right] + \frac{\int_{\partial\Omega} u_{i} w_{n}^{\pm} d\sigma}{\xi \left[1 + \lambda_{n}^{\pm} (k(\omega) - 1)\right]}.$$
(4.50)

On the other hand a simple calculations yields

$$u_{\emptyset} - \tilde{u}_0 = u_0. \tag{4.51}$$

Combining (4.50) amd (4.51), the decomposition (4.38) follows. \Box

Corollary 4.5.1. Let $x \in \partial \Omega$. Then the function $k \mapsto u_f(x,k)$ is meromorphic in \mathbb{C} . The poles of $u_f(x,k)$ are the real values solutions to the equations

$$1 + \lambda_n^{\pm}(k-1) = 0, \qquad n \ge 1 \tag{4.52}$$

where λ_n^{\pm} are the eigenvalues of the variational Poincaré operator T.

The poles of $u_f(x,k)$ in the previous corollary are given by $k_n^{\pm} = (1 - \frac{1}{\lambda_n^{\pm}}) \in \mathbb{R}_-$, and they can be ordered as follows:

$$k_1^- \le k_2^- \le \dots < -1 < \dots \le k_2^+ \le k_1^+ < 0 \tag{4.53}$$

We remark that -1 is the only accumulation point of the sequence of poles, it means k_n^{\pm} tends to -1 as $n \to \infty$.

4.6 Uniqueness and stability estimates

We establish our uniqueness and stability estimates by modifying slightly the proofs in sections 3 and 4 of [10], we invite readers to consult [10] for detail proofs.

The weakly electric fish inverse problem has a unique solution within the class D, and we have the following stability estimates.

Theorem 4.6.1. Let D and \widetilde{D} be two inclusions in \mathfrak{D} . Denote by u and \widetilde{u} respectively the solution of (4.1) with the inclusion D (resp. \widetilde{D}). Let

$$\varepsilon = \sup_{x \in \partial \Omega, \omega \in [\underline{\omega}, \overline{\omega}]} |u - \tilde{u}|.$$

Then, there exist constants C and $\tau \in (0, 1)$, such that the following estimate holds:

$$|D \triangle \widetilde{D}| \le C \left(\frac{1}{\ln(\varepsilon^{-1})}\right)^{\tau}.$$
(4.54)

Here, \triangle denotes the symmetric difference and the constants C and τ depend only on $J_s, \Omega, \mathfrak{D}$ and $\Sigma = \{k(\omega); \omega \in (\underline{\omega}, \overline{\omega})\}.$

Moreover, if the boundaries are analytic, we have a Hölder-type stability estimate.

Theorem 4.6.2. Assume that d = 2, and let D and \widetilde{D} be two analytic inclusions in \mathbb{D} . Denote by u and \widetilde{u} respectively the solution of (4.1) with the inclusion D (resp. \widetilde{D}). Let

$$\varepsilon = \sup_{x \in \partial \Omega, \omega \in [\underline{\omega}, \overline{\omega}]} |u - \tilde{u}|.$$

Then, there exist constants C and $\tau' \in (0, 1)$, such that the following estimate holds:

$$|D \triangle \widetilde{D}| \le C \varepsilon^{\tau'}. \tag{4.55}$$

Here, \triangle *denotes the symmetric difference and the constants C and* τ *depend only on* J_s, Ω, \mathbb{D} *and* Σ .

Chapter

Numerical identification of the target fish

In this chapter, we develop a numerical scheme to determine the position and shape of the target fish. Regarding the decay of the solution of (4.1) as $|x| \rightarrow +\infty$, we first reduce the computational domain by taking a Dirichlet boundary condition on a large disk *B* containing the fish Ω and the inclusion *D*. In fact we will show that a similar spectral decomposition holds in the truncated problem. Using this spectral decomposition, our numerical algorithm splits into two main steps. The first step is to retrieve the frequency dependent part in the spectral decomposition, and the second step is to reconstruct the inclusion from the Cauchy data of u_0 on $\partial\Omega$ obtained in the first step. Precisely, we recover the fish target by using an optimization scheme that minimizes a given energy functional on the boundary $\partial\Omega$ with respect to to the inclusion shape.

5.1 The mathematical model in a truncated domain

In order to implement the numerical identification method, we need to reduce the system (4.1) to a bounded domain. Let *B* be a centered disk large enough such that it contains the electric fish Ω and the target fish *D*. We substitute (4.1) by the system

$$\begin{cases} \Delta \tilde{u} = J_s & \text{in } \Omega, \\ \operatorname{div}[\gamma(x,\omega)\nabla \tilde{u}] = 0 & \text{in } B \setminus \overline{\Omega}, \\ \partial_{\nu} \tilde{u}|_{-} = 0 & \text{on } \partial\Omega, \\ \tilde{u}|_{+} - \tilde{u}|_{-} = \xi \partial_{\nu} \tilde{u}|_{+} & \text{on } \partial\Omega, \\ \tilde{u} = 0 & \text{on } \partial B, \\ \int_{\partial B} \partial_{\nu} \tilde{u} d\sigma = 0. \end{cases}$$
(5.1)

Here, we always suppose that J_s is taken in the form (4.2) and the electric charges always satisfy (4.3). Then it follows from the standard theory to elliptic partial differential equations that (5.1) admits a unique solution in

$$W_0 := \left\{ u \in H^1(B \setminus \overline{\Omega}) \cup H^1(\Omega), u = 0 \text{ on } \partial B, \int_{\partial B} \partial_{\nu} \tilde{u} d\sigma = 0 \right\}.$$

We introduce the equivalent scalar product on W_0 and the associated variational Poincaré operator \tilde{T} .

$$\tilde{a}(u,v) := \int_{B\setminus\overline{\Omega}} \nabla u \nabla v dx + \frac{1}{\xi} \int_{\partial\Omega} \bar{u} \bar{v} d\sigma.$$

For $u \in W_0$, by the Riesz theorem, there exists a unique $\widetilde{T}u \in W_0$ such that for all $v \in W_0$,

$$\int_{B\setminus\overline{\Omega}} \nabla \widetilde{T}u \nabla v dx + \frac{1}{\xi} \int_{\partial\Omega} \widetilde{T}u v d\sigma = \int_D \nabla u \nabla v dx.$$
 (5.2)

We introduce also the space \mathfrak{H}_{\diamond} the functions in W_0 , which are harmonic in D and in $B \setminus \overline{(\Omega \cup D)}$, and which satisfy the zero Robin boundary condition $u - \xi \partial_{\nu} u = 0$ on $\partial \Omega$.

Then, \widetilde{T} has similar spectral elements denoted $(w_n^{\pm}, \widetilde{\lambda}_n^{\pm})$ than T.

We follow the analysis in the unbounded case to derive a similar spectral decomposition for \tilde{u} .

Theorem 5.1.1. Let $\tilde{u}(x, \omega)$ be the unique solution to the system (5.1).

Then the following decomposition holds, for $x \in B \setminus \overline{\Omega}$,

$$\tilde{u}(x,\omega) = \tilde{u}_0(x) + u_f(x,k(\omega))$$

= $\tilde{u}_0(x) + \frac{1}{\xi} \sum_{n=1}^{\infty} \frac{\int_{\partial\Omega} \tilde{u}_i \tilde{w}_n^{\pm} d\sigma}{1 + \tilde{\lambda}_n^{\pm}(k(\omega) - 1)} \tilde{w}_n^{\pm}(x),$ (5.3)

where $u_0 \in W_0$ is the unique solution to the system:

$$\begin{split} & \Delta v = 0 & in \ B \setminus \overline{(\Omega \cup D)}, \\ & \nabla v = 0 & in \ D, \\ & v - \oint_{\partial \Omega} v d\sigma = \xi \partial_v v + u_i & on \ \partial \Omega, \\ & v = 0 & on \ \partial B, \\ & \int_{\partial B} \partial_v v d\sigma = 0, \end{split}$$
 (5.4)

and $u_i \in L^2(\Omega)$ is the unique solution to the equation:

$$\begin{cases} \Delta v = J_s & \text{in } \Omega, \\ \partial_v v = 0 & \text{on } \partial \Omega, \\ \int_{\partial \Omega} v d\sigma = 0. \end{cases}$$
(5.5)

5.2 Retrieval of the frequency independent part

We consider *M* frequencies of measurements $\omega_1, \omega_2, \dots, \omega_M$. Since 1/2 is the unique accumulation point of the eigenvalues $(\tilde{\lambda}_n^{\pm})_{n \in \mathbb{N}}$, we only consider the N_f first eigenvalues as unknowns, and we approximate the others eigenvalues by 1/2. In fact it has been shown in [56] that if *D* is C^{β} with $\beta \ge 2$ then for any $\alpha > -2\beta + 3$, we have

$$|\tilde{\lambda}_n^{\pm} - 1/2| = o(n^{\alpha}), \qquad n \to +\infty.$$

If the boundary of D is C^{∞} smooth, then the eigenvalues will decay faster than any power order. Recently H. Kang and his collaborators have showed the exponential convergence of the eigenvalues in the case of analytic inclusions [21]. Therefore, we make the following approximation, for $x \in \Omega$, $1 \le p \le M$,

$$\tilde{u}(x,\omega_p) \approx \tilde{u}_0(x) + \sum_{n=1}^{N_f} \frac{v_n^{\pm}(x)}{1 + \tilde{\lambda}_n^{\pm}(k(\omega_p) - 1)} + \frac{2}{k(\omega_p) + 1} v_{N_f + 1}(x),$$
(5.6)

where

$$v_n^{\pm}(x) = \frac{1}{\xi} \int_{\partial \Omega} \tilde{u}_i \tilde{w}_n^{\pm} d\sigma \tilde{w}_n^{\pm}(x),$$

and

$$v_{N_f+1}(x) = \frac{1}{\xi} \sum_{n > N_f} \int_{\partial \Omega} \tilde{u}_i \tilde{w}_n^{\pm} d\sigma \tilde{w}_n^{\pm}(x).$$

By a simple integration by parts, we have, for all $n \in \mathbb{N}$,

$$\frac{1}{\xi} \int_{\partial\Omega} \tilde{u}_i \tilde{w}_n^{\pm} d\sigma = \int_{B \setminus \overline{\Omega}} \nabla \tilde{u}_{\emptyset} \nabla \tilde{w}_n^{\pm} dx + \frac{1}{\xi} \int_{\partial\Omega} \tilde{u}_{\emptyset} \tilde{w}_n^{\pm} d\sigma, \qquad (5.7)$$

where \tilde{u}_{\emptyset} is the unique solution in W_0 to

$$\begin{cases} \Delta \tilde{u}_{\emptyset} = 0 & \text{in } B \setminus \overline{\Omega}, \\ \tilde{u}_{\emptyset} - \int \tilde{u}_{\emptyset} d\sigma - \xi \partial_{\nu} \tilde{u}_{\emptyset} = \tilde{u}_{i} & \text{on } \partial \Omega, \\ \tilde{u}_{\emptyset} = 0 & \text{on } \partial B \\ \int_{\partial B} \partial_{\nu} \tilde{u}_{\emptyset} d\sigma = 0. \end{cases}$$
(5.8)

So, the function $\sum_{n=1}^{\infty} v_n^{\pm}$ is the orthogonal projection of the function \tilde{u}_{\emptyset} on the space \mathfrak{H}_{\diamond} .

On the other hand \tilde{u}_0 satisfies, for all $n\in\mathbb{N},\,n\geq 1$

$$\begin{split} &\int_{B\setminus\overline{\Omega}} \nabla \tilde{u}_0 \nabla \tilde{w}_n^{\pm} dx + \frac{1}{\xi} \int_{\partial\Omega} \tilde{u}_0 \tilde{w}_n^{\pm} d\sigma \\ &= \int_{B\setminus\overline{(\Omega\cup D)}} \nabla \tilde{u}_0 \nabla \tilde{w}_n^{\pm} dx + \frac{1}{\xi} \int_{\partial\Omega} \tilde{u}_0 \tilde{w}_n^{\pm} d\sigma \\ &= -\int_{\partial\Omega} \tilde{u}_0 \partial_{\nu} \tilde{w}_n^{\pm} d\sigma + \frac{1}{\xi} \int_{\partial\Omega} \hat{u}_0 \tilde{w}_n^{\pm} d\sigma - \int_{\partial D} \tilde{u}_0 \partial_{\nu} \tilde{w}_n^{\pm} d\sigma \\ &= -\tilde{u}_0 \int_{\partial D} \partial_{\nu} \tilde{w}_n^{\pm} d\sigma = 0. \end{split}$$
(5.9)

As $\tilde{u}_{\emptyset} - \tilde{u}_0 \in \mathfrak{H}_{\diamond}$, the orthogonal projection of \tilde{u}_{\emptyset} on the space \mathfrak{H}_{\diamond} is $\tilde{u}_{\emptyset} - \tilde{u}_0$.

Then, the formula (5.6) becomes

$$\tilde{u}(x,\omega_p) \approx \frac{k(\omega_p) - 1}{k(\omega_p) + 1} \tilde{u}_0(x) + \frac{2}{k(\omega_p) + 1} \tilde{u}_0(x) + \sum_{n=1}^{N_f} (\frac{1}{1 + \tilde{\lambda}_n^{\pm}(k(\omega_p) - 1)} - \frac{2}{k(\omega_p) + 1}) v_n^{\pm}(x).$$
(5.10)

The previous equation can be formulated using the following matrix.

For $x \in \partial \Omega$, we define the vectors

$$\tilde{U}(x,\omega_1,\ldots,\omega_M) = (\tilde{\mathfrak{u}}(x,\omega_j)),$$

$$V(x) = \left(\tilde{u}_0(x), v_1^+(x), v_1^-(x), \dots, v_{N_f}^+(x), v_{N_f}^-(x)\right),$$

and

$$L(\widetilde{\lambda_1^{\pm}},\ldots,\widetilde{\lambda_{N_f}^{\pm}},\omega_1,\ldots,\omega_M) = (L_i)_{1 \le i \le M}$$
, where

$$L_i(x) = \left(q_0(\omega_i), q(\widetilde{\lambda_1^+}, \omega_i), q(\widetilde{\lambda_1^-}, \omega_i), \cdots, q(\widetilde{\lambda_{N_f}^+}, \omega_i), q(\widetilde{\lambda_{N_f}^-}, \omega_i)\right)$$

Here

$$\tilde{\mathfrak{u}}(x,\omega) = \tilde{\mathfrak{u}}(x,\omega) - \frac{2}{k(\omega)+1}\tilde{\mathfrak{u}}_{\emptyset}(x),$$
$$q_0(\omega) = \frac{k(\omega)-1}{(k(\omega)+1)}, \text{ and } q(\widetilde{\lambda},\omega) = \frac{1}{1+\widetilde{\lambda}(k(\omega)-1)} - \frac{2}{k(\omega)+1}.$$

The matrix formulation of (5.10) becomes then

$$\tilde{U}^{T}(x,\omega_{1},\omega_{2},\cdots,\omega_{M}) \approx L(\widetilde{\lambda_{1}^{\pm}},\ldots,\widetilde{\lambda_{N_{f}}^{\pm}},\omega_{1},\ldots,\omega_{M})V^{T}(x).$$
(5.11)

So, the vector *V* can be obtained by the formula,

$$V^{T}(x) \approx (L^{T}L)^{\dagger}L^{T}\tilde{U}^{T}(x,\omega_{1},\cdots,\omega_{M}).$$
(5.12)

where $(L^T L)^{\dagger}$ is the pseudo-inverse of the matrix $L^T L$. The conditioning of the matrix $(L^T L)^{\dagger}$ depends on the distance between the frequency sampling values ω_0 . The approximate $\tilde{u}_0(x)$ is then given by the first coefficient of the vector V(x).

5.3 Identification of the target fish

In this section, we consider a numerical scheme to identify the inclusion $D \in \mathbb{D}$ from the knowledge of $\tilde{u}_0|_{\partial\Omega}$ recovered in the previous section. The scheme is based on minimizing the functional

$$J(D) = \frac{1}{2} \int_{\partial \Omega} \sum_{i=1}^{P} |\tilde{u}_0 - \tilde{u}_{meas}^{(i)}|^2 d\sigma + \varepsilon_T \int_{\partial D} d\sigma,$$

where \tilde{u} is the solution to (5.4). *P* designs the total number of measurements, we take here P = 10. For $1 \le i \le 10$, we use the fact that the electric fish can swim around the target, $\tilde{u}_{meas}^{(i)}$ is the measured Dirichlet data corresponding to the case while the electric fish locate in the *i*-th position. These quantities are

obtained in the previous step by retrieving the frequency dependent part from the multifrequency measurements. The term $\varepsilon_T \int_{\partial D} d\sigma$ represents the Tikhonov regularization.

5.3.1 Shape derivative

Let D_{ε} be the perturbed domain, given by

$$\partial D_{\varepsilon} = \{ \tilde{x} : \tilde{x} = x + \varepsilon h(x) \nu(x), x \in \partial D \},$$
(5.13)

where $h \in C^1(\partial D)$ and ν denote the unit outward normal vector.

Theorem 5.3.1. We denote by \tilde{u}_0 and by $\tilde{u}_{0,\varepsilon}$ respectively the solutions to the equation (5.4) with the inclusion D (resp. D_{ε}). Then, the following relation holds, for $x \in \partial \Omega$,

$$\tilde{u}_{0,\varepsilon}(x) = \tilde{u}_0(x) + \varepsilon \tilde{u}_h(x) + o(\varepsilon), \qquad (5.14)$$

where \tilde{u}_h is the solution to the following equation

$$\begin{cases} \Delta v = 0 & in B \setminus \overline{(\Omega \cup D)}, \\ \nabla v = 0 & in D, \\ v = -h\partial_{\nu}\tilde{u}_{0}|_{+} & on \partial D, \\ v - \int v d\sigma - \xi \partial_{\nu} v = 0 & on \partial \Omega, \\ v = 0 & on \partial B, \\ \int_{\partial B} \partial_{\nu} v d\sigma = 0, \end{cases}$$
(5.15)

Proof. The result can be proved using layer potential techniques by following the proof of Theorem 3.1 in [11]. \Box

5.4 Gradient descent algorithms

We assume that our domain *D* is star shaped and centered at the origin. So its boundary ∂D can be described by the Fourier series:

$$\partial D = \{r(\theta) \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix} | \theta \in [0; 2\pi)\}, \ r = \sum_{n=-N}^{N} c_n f_n,$$
(5.16)

where
$$C = \begin{pmatrix} c_{-N} \\ c_{-N+1} \\ \vdots \\ c_N \end{pmatrix} \in \mathbb{R}^{2N+1}$$
, $f_n(\theta) = \cos(n\theta)$ for $0 \le n \le N$ and $f_n(\theta) = \sin(n\theta)$ for $-N \le n < 0$.

Using (5.15) and integration by parts, we deduce the expressions of the shape derivatives corresponding to each Fourier coefficient, for $-N \le n \le N$,

$$\frac{\partial J}{\partial c_n} = \int_{B \setminus \overline{\Omega \cup D}} \nabla w \nabla \tilde{u}_h dx + \varepsilon_T \int_{\partial D} \kappa h d\sigma, \qquad (5.17)$$

where $h(\theta) = f_n(\theta) \langle \begin{pmatrix} \cos \theta \\ \sin \theta \end{pmatrix}, \nu \rangle$, κ represents the curvature of ∂D and w is the solution of the following equation

$$\begin{pmatrix}
\Delta w = 0 & \text{in } B \setminus \overline{\Omega \cup D}, \\
\partial_{\nu} w = 0 & \text{on } \partial D, \\
\partial_{\nu} w = \tilde{u} - \tilde{u}_{meas} & \text{on } \partial \Omega, \\
w = 0 & \text{on } \partial B.
\end{cases}$$
(5.18)

Now, we are ready to introduce our iterative algorithm:

- 1. Calculate the interior solution \tilde{u}_i .
- 2. Using (5.12), retrieval of the frequency independent part \tilde{u}_0 from the multifrequency measurements. We get the Dirichlet data $(\hat{u}_{meas}^{(i)})_{1 \le i \le P}$.
- 3. Chose an initial domain D_0 .

- 4. For each iteration, j > 0:
 - (a) Using (5.4) associated to the domain D_j for which the boundary ∂D_j is obtained from (5.16).
 - (b) Calculate the shape derivatives $\frac{\partial J}{\partial c_n}$ for all $-N \le n \le N$ by (5.17).
 - (c) Update the parameters of the domain $C_{j+1} = C_j \alpha \nabla_C J(C_j)$ with $\alpha > 0$.
 - (d) If the updated domain boundary touches $\partial \Omega$ or if $J(C_{j+1}) > J(C_j)$, reduce the size of α .
- 5. When $|\nabla J(C_j)|$ becomes smaller than a fixed threshold, we stop.

5.5 Numerical experiments



The setting of all numerical tests is as follows:

- We use FreeFem++ for our numerical experiments [42].
- *B* is a centered ball with the radius $R_B = 30$.



- Ω is an ellipse defined by the equation: $\frac{x^2}{3^2} + \frac{y^2}{4^2} \le 1$.
- We assume that source function is given by a dipole type source, that means, in the formula (4.2), M = 2, $\alpha_1 = 100$, $\alpha_2 = -100$, $x_s^{(1)} = (-3, 1)$, and $x_s^{(2)} = (-3, -1)$.

The interior solution is illustrated in Figure 5.1.

- We profit the fact that the electric fish can swim around the target. We chose P = 10 different locations to measure the multifrequency electric potentials, those 10 positions are equi-distributed on the circle with a radius 15. Figure 5.3 shows the 4 locations of them.
- The multifrequency conductivity follows the model $k(\omega) = k_r + in\omega_0$ with $k_r = 5$, $\omega_0 = 0.5$ and *n* are integers from 0 to 7 [6].
- Only the first eigenvalues are taken into consideration, and their apriori estimations are settled as $\widetilde{\lambda_1^+} = \frac{3}{4}$, $\widetilde{\lambda_1^-} = \frac{1}{4}$ respectively in all cases.
- The initial estimation of domain D is a centered disk with a radius $\frac{1}{2}$.
- We consider the first 9 Fourier coefficients: N = 9.



- We set the Tikhonov regularization coefficient $\varepsilon_T = 0.01$.
- We use P1 finite elements for the numerical resolution of the PDEs.
- At each iteration, we remesh the domain to adapt to the new predicted shape of the domain.
- The algorithms stop if $|\nabla J| < 10^{-6}$ or the number of iterations exceed 100.

We present here several numerical simulations of the algorithm. We first present in Table (5.1), errors in the reconstruction method of \tilde{u}_0 . Here, errors are the

•	ellipse	triangle	star	displaced disk
measure 1	0.10132	0.06892	0.01411	0.05330
measure 2	0.07466	0.07384	0.03364	0.05010
measure 3	0.01637	0.05302	0.07036	0.04712
measure 4	0.00910	0.04580	0.05242	0.04388
measure 5	0.03460	0.06548	0.02822	0.03792
measure 6	0.06959	0.08078	0.03558	0.03408
measure 7	0.05795	0.06175	0.02743	0.03585
measure 8	0.02060	0.03240	0.03819	0.03891
measure 9	0.00675	0.02760	0.07660	0.04054
measure 10	0.03074	0.03623	0.05292	0.04663

Table 5.1 – Errors between $\tilde{u}_{0reconstruct}$ and \tilde{u}_0

•	ellipse	triangle	star	displaced disk
$ D_i \triangle D_{target} / D_{target} $	0.07128	0,1988	0.4232	0.16805

Table 5.2 – Relative symmetric difference

 L^2 -norm of the difference $\tilde{u}_{0reconstruct} - \tilde{u}_0$:

$$error(\tilde{u}_{0reconstruct}) := \sqrt{\int_{\partial\Omega} |\tilde{u}_{0reconstruct} - \tilde{u}_0|^2 d\sigma}.$$

We show in the following figures the targets and the reconstruction result. We calculate also the relative symmetric difference $|D_i \triangle D_{target}|/|D_{target}|$ during the iterations, and we draw the curves of the symmetric difference to the numbers of iterations. We finally give the relative symmetric difference of each case in Table 5.2.








Chapter 6

Spectrum of Neumann-Poincaré operator for two close-to-touching inclusions

In a composite medium that contains close-to-touching inclusions, the pointwise values of the gradient of the voltage potential may blow up as the distance δ between some inclusions tends to 0 and as the conductivity contrast degenerates. In [27], the authors showed that the blow-up rate of the gradient is related to how the eigenvalues of the associated Neumann-Poincaré operator converge to $\pm 1/2$ as $\delta \rightarrow 0$, and on the regularity of the contact. Here, we consider two connected 2-D inclusions, at a distance $\delta > 0$ from each other. When $\delta = 0$, the contact between the inclusions is of order $m \ge 2$. We numerically determine the asymptotic behavior of the first eigenvalue of the Neumann-Poincaré operator, in terms of δ and m, and we check that we recover the estimates obtained in [26].

6.1 Eigenvalues of the Neumann-Poincaré operator for two inclusions

Let $D_1, D_2 \subset \mathbb{R}^2$ be two bounded, smooth inclusions separated by a distance $\delta > 0$. We assume that D_1 and D_2 are translates of two reference touching inclusions

$$D_1 = D_1^0 + (0, \delta/2)$$
 $D_2 = D_2^0 + (0, -\delta/2).$

We assume that D_1^0 lies in the lower half–plane $x_1 < 0$, D_2^0 in the upper half– plane, and that they meet at the point 0 tangentially to the x_1 –axis (see Figure 6.1). We make the following additional assumptions on the geometry:

- A1. The inclusions D_1^0 and D_2^0 are strictly convex and only meet at the point 0.
- A2. Around the point 0, ∂D_1^0 and ∂D_2^0 are parametrized by 2 curves $(x, \psi_1(x))$ and $(x, -\psi_2(x))$ respectively. The graph of ψ_1 (resp. ψ_2) lies below (resp. above) the *x*-axis.
- A3. The boundary ∂D_i^0 of each inclusion is globally $\mathcal{C}^{1,\alpha}$ for some $0 < \alpha \leq 1$.
- A4. The function $\psi_1(x) + \psi_2(x)$ is equivalent to $C|x|^m$ as $x \to 0$, where $m \ge 2$ is a fixed integer and C is a positive constant.



Let a(X) be a piecewise constant function that takes the value $0 < k \neq 1$ in each inclusion and 1 in $\mathbb{R}^2 \setminus \overline{D_1 \cup D_2}$, that is $a(X) = 1 + (k-1)\chi_{D_1 \cup D_2}(X)$, where $\chi_{D_1 \cup D_2}$ is the characteristic function of $D_1 \cup D_2$. Given a harmonic function H, we denote u the solution to the PDE

$$\begin{aligned} \operatorname{div}(a(X)\nabla u(X)) &= 0 & \operatorname{in} \mathbb{R}^2 \\ u(X) - H(X) &\to 0 & \operatorname{as} |X| \to \infty. \end{aligned}$$

$$(6.1)$$

Since *H* is harmonic in the whole space the regularity of *u* at a fixed value *k*, only depends on the smoothness of the inclusions and of their distribution [41].

One can express *u* in terms of layer potentials [59, 14]

$$u(X) = S_1 \varphi_1(X) + S_2 \varphi_2(X) + H(X), \tag{6.2}$$

where S_i denotes the single layer potential on ∂D_i , defined for $\varphi \in H^{-1/2}(\partial D_i)$ by

$$S_i \varphi(X) = \frac{1}{2\pi} \int_{\partial D_i} \ln |X - Y| \varphi(Y) d\sigma(Y).$$

Denoting the conductivity contrast by $\lambda = \frac{k+1}{2(k-1)} \in]-\infty, -1/2[\cup]1/2, +\infty[$, and expressing the transmission conditions satisfied by *u*, one sees that the layer potential $\varphi = (\varphi_1, \varphi_2) \in H^{-1/2}(\partial D_1) \times H^{-1/2}(\partial D_2)$ satisfies the system of integral equations

$$(\lambda I - K_{\delta}^{*}) \begin{pmatrix} \varphi_{1} \\ \varphi_{2} \end{pmatrix} = \begin{pmatrix} \partial_{\nu_{1}} H_{|\partial D_{1}} \\ \partial_{\nu_{2}} H_{|\partial D_{2}} \end{pmatrix},$$
(6.3)

where $\nu_i(X)$ denotes the outer normal at a point $X \in \partial D_i$. The operator K^*_{δ} is the Neumann-Poincaré operator for the system of two inclusions

$$K_{\delta}^{*} \begin{pmatrix} \varphi_{1} \\ \varphi_{2} \end{pmatrix} = \begin{pmatrix} K_{1}^{*} & \partial_{\nu_{1}} S_{2|\partial D_{1}} \\ \partial_{\nu_{2}} S_{1|\partial D_{2}} & K_{2}^{*} \end{pmatrix} \begin{pmatrix} \varphi_{1} \\ \varphi_{2} \end{pmatrix},$$
(6.4)

where the integral operators K_i^* are defined on $H^{-1/2}(\partial D_i)$ by

$$K_i^*\varphi(X) = \frac{1}{2\pi} \int_{\partial D_i} \frac{(X-Y) \cdot \nu_i(X)}{|X-Y|^2} \varphi(Y) d\sigma(Y).$$

In such a system of inclusions, for a fixed contrast $|\lambda| > 1/2$, the gradient of the potential is bounded pointwise [28, 52, 14] *independently of* δ . This is an important fact from the point of view of material sciences, where one would like to control the 'hot spots' where gradients may become large [30]. The pointwise control of the gradients is also particularly pertinent in the context of solid mechanics. For instance, the constitutive laws of classical models of plasticity or fracture involve pointwise values of the stress tensor. Similar qualitative results hold in this case [53].

However, the gradients may blow up when both $\delta \rightarrow 0$ and the material coefficients inside the inclusions degenerate [28]. How the bounds depend on the inter-inclusion distance in the case of perfectly conducting inclusions was studied in [62, 23]. Several works study the blow-up rate of the gradient in terms of both parameter $\delta \rightarrow 0$, and $|\lambda| \rightarrow 1/2$ when the inclusions are discs. In this case, the voltage potential *u* can be represented by a series, that lends itself to a precise asymptotic analysis [9, 16, 15, 25, 30, 54]. In particular, optimal upper and lower bounds on ∇u were obtained in [9, 16, 13].

In a recent work [26], we have used the above integral representation to derive bounds on ∇u , as we had observed that in (6.3) the parameters λ and δ are decoupled since K^*_{δ} does not depend on λ . Following [48, 49], we showed that K^*_{δ} has a spectral decomposition in the space of single layer potentials. We showed that its spectrum splits into two families of ordered eigenvalues $\lambda_n^{\delta,\pm}$ which satisfy

$$\lambda_n^{\delta,+} = -\lambda_n^{\delta,-}$$
 and $0 < \lambda_n^{\delta,+} < 1/2$.

Consequently, denoting by $\varphi_n^{\delta,\pm}$ the associated eigenvectors, the solution to (6.3) can be expressed as

$$\varphi = \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix} = \sum_{n \ge 1} \frac{\left\langle \varphi_n^{\delta, \pm}, \begin{pmatrix} \partial_{\nu_1} H_{|\partial D_1} \\ \partial_{\nu_2} H_{|\partial D_2} \end{pmatrix} \right\rangle}{\lambda - \lambda_n^{\delta, \pm}} \varphi_n^{\delta, \pm}.$$
(6.5)

This formula indicates that the singularities of u are triggered by the fact that

 $\lambda - \lambda_n^{\delta,\pm}$ may become small. Indeed, $\lambda \to \pm 1/2$ as k tends to 0 or to $+\infty$, whereas we have shown that $\lambda_n^{\delta,\pm} \to \pm 1/2$ as $\delta \to 0$ [26].

We do not know if the expansion (6.5) holds in a pointwise sense, except in the case of discs [27], where we can then directly relate the bounds on ∇u to the asymptotic behavior of the eigenvalues. One of the difficulties is that K_{δ}^* is not self-adjoint. One can nevertheless symmetrize the operator [48]: The expansion (6.5) holds in the sense of the following inner-product on the space $H^{-1/2}(\partial D_1) \times H^{-1/2}(\partial D_2)$

$$\langle \varphi, \psi \rangle_{S} = \langle -S[\varphi], \psi \rangle_{L^{2}}$$

$$:= -\int_{\partial D_{1}} S_{1}[\varphi_{1}]\psi_{1} - \int_{\partial D_{2}} S_{2}[\varphi_{2}]\psi_{2},$$
 (6.6)

for which K_{δ}^* becomes a compact self-adjoint operator, which therefore has a spectral decomposition. Moreover, this implies that the eigenvalues of K_{δ}^* can be obtained via a min-max principle known as the Poincaré variational problem (in the terminology of [48]). It consists in optimizing the ratio

$$J(u) = \frac{\int_{D_1 \cup D_2} |\nabla u|^2}{\int_{\mathbb{R}^2 \setminus \overline{D_1 \cup D_2}} |\nabla u|^2},$$

among all functions $u \in W^{1,2}(\mathbb{R}^2)$ whose restriction to $D = D_1 \cup D_2$ and to $D' = \mathbb{R}^2 \setminus \overline{D_1 \cup D_2}$ is harmonic.

Consider the weighted Sobolev space

$$\mathcal{W}_0^{1,-1}(\mathbb{R}^2) := \left\{ \begin{array}{l} \frac{u(X)}{(1+|X|^2)^{1/2}\log(2+|X|^2)} \in L^2(\mathbb{R}^2) \\ \\ \nabla u \in L^2(\mathbb{R}^2), \ u(X) = o(1) \text{ as } |X| \to \infty \end{array} \right\},$$

equipped with the scalar product $\int_{\mathbb{R}^2} \nabla u \cdot \nabla v$ [59]. We have shown in [26] that the spectrum of K^*_{δ} is related to the sprectrum of the operator T_{δ} defined for

$$\begin{split} & u \in W_0^{1,-1}(\mathbb{R}^2) \text{ by} \\ & \forall v \in W_0^{1,-1}(\mathbb{R}^2), \quad \int_{\mathbb{R}^2} \nabla T_\delta u(X) \cdot \nabla v(X) = \int_{D_1 \cup D_2} \nabla u(X) \cdot \nabla v(X). \end{split}$$

This operator is self adjoint, satisfies $||T_{\delta}|| \le 1$. Proposition 4 and Lemmas 1 and 2 in [26] show that its eigenvalues can be grouped in two families $\beta_n^{\delta,+} \subset [0, 1/2]$, and $\beta_n^{\delta,-} \subset [1/2, 1]$, which are symmetric with respect to 1/2. The values $\beta_0^{\delta,-} = 1$ is an eigenvalue of T_{δ} , with associated eigenspace

$$\operatorname{Ker}(I - T_{\delta}) = \{v|_{D'} \equiv 0, v|_{D} \in H_{0}^{1}(D)\}.$$

Due to the symmetry, $\beta_0^{\delta,+} = 0$ is also an eigenvalue, and its eigenspace is

$$\operatorname{Ker}(T_{\delta}) = \{v|_{D'} \in \mathcal{W}_{0}^{1,-1}(D'), v|_{D} \equiv 0\} \cup \mathbb{R} w_{0},$$

where w_0 is defined by

$$\begin{cases} \Delta w_0(X) = 0 \quad \text{in } D', \\ w_0(X) = C_j \quad \text{on } \partial D_j \ j = 1, 2, \\ \int_{\partial D_j} \frac{\partial w_0}{\partial \nu} = (-1)^j \quad j = 1, 2. \end{cases}$$
(6.7)

The constants $C_1, C_2 \in \mathbb{R}$ are chosen so that $w_0 \in \mathcal{W}_0^{1,-1}(\mathbb{R}^2)$.

All the other eigenvalues $\beta_n^{\delta,+}$ are given by the following min-max principle

$$\beta_{n}^{\delta,+} = \min_{u \in W_{0}^{1,-1}(\mathbb{R}^{2}), \perp w_{0}, w_{1}^{\delta,+}, \cdots, w_{n}^{\delta,+}} \frac{\int_{D} |\nabla u(X)|^{2} dX}{\int_{\mathbb{R}^{2}} |\nabla u(X)|^{2} dX}$$
$$= \max_{F_{n} \subset W_{0}^{1,-1}(\mathbb{R}^{2})} \min_{u \in F_{n}} \frac{\int_{D} |\nabla u(X)|^{2} dX}{\int_{\mathbb{R}^{2}} |\nabla u(X)|^{2} dX}$$
$$\dim(F_{n}) = n+1$$

The eigenvalues of T_{δ} are related to the $\lambda_n^{\delta,\pm}$'s by

$$\beta_n^{\delta,\pm} = 1/2 - \lambda_n^{\delta,\pm}$$

The min-max characterization of T_{δ} allows to derive an asymptotic expansion of the eigenvalues of the Neumann-Poincaré operator (see [26], Theorem 1) as $\delta \rightarrow 0$.

Theorem 6.1.1. For two close to touching inclusions with contact of order *m*, the eigenvalues of the Neumann-Poincaré operator K_{δ}^* split in two families $(\lambda_n^{\pm})_{n\geq 1}$, with

$$\begin{cases} \lambda_n^+ \sim 1/2 - c_n^+ \delta^{\frac{m-1}{m}} + o(\delta^{\frac{m-1}{m}}) \\ \lambda_n^- \sim -1/2 + c_n^- \delta^{\frac{m-1}{m}} + o(\delta^{\frac{m-1}{m}}) \end{cases}$$
(6.8)

where $(c_n^{\pm})_{n\geq 1}$ are increasing sequences of positive numbers, that only depend on the shapes of the inclusions, and that satisfy $c_n^{\pm} \sim n$ as $n \to \infty$.

In this work, we consider a numerical approximation of the spectral problem for T_{δ} so as to give a numerical validation of the rates of convergence of $\lambda_1^{\delta,+}$ as $\delta \rightarrow 0$. The first eigenvalue $\lambda_1^{\delta,+}$ is of importance in applications since it is related to the spectral radius of the operator K_{δ}^* , and gives the rate of convergence of Neumann series that appears in solving the integral equation (6.3) [61].

In Section 2, we show that the asymptotic behavior of the eigenvalues of T_{δ} can be estimated by the eigenvalues of an operator of similar type, but defined on a ball B_R that contains the inclusions. In fact, by considering the auxiliary spectral problem in a large ball B_R , we reduce the computation to a bounded domain.

In Section 3, we explain how we discretized the latter spectral problem, by choosing a basis of functions which are harmonic polynomials on each inclusion, extended as harmonic functions in $B_R \setminus \overline{D_1 \cup D_2}$. Finally, numerical results for $\beta_1^{\delta,+}$ with different contact orders *m* are presented in Section 4.

6.2 Comparison of T_{δ} with an operator defined on a bounded domain

Let R > 2 be large enough, so that $D_1 \cup D_2 \subset B_{R/2}$ when $\delta < \delta_0$. It follows from the Riesz Theorem that for any $u \in H_0^1(B_R)$, there exists a unique $B_{\delta}u \in H_0^1(B_R)$ such that

$$\forall v \in H_0^1(B_R), \quad \int_{B_R} \nabla B_\delta u(X) \cdot \nabla v(X) = \int_{D_1 \cup D_2} \nabla u(X) \cdot \nabla v(X).$$

The operator B_{δ} maps $H_0^1(B_R)$ into itself, and it is easily seen to satisfy $||B_{\delta}|| \le 1$. The argument in [26] concerning T_{δ} shows that B_{δ} is self adjoint and of Fredholm type, thus has a spectral decomposition. Let $b_n^{\delta,\pm}$ denote its eigenvalues.

Theorem 6.2.1. Let $n \ge 1$. There exists a constant *C* independent of δ and *n* such that

$$\frac{1}{C}b_n^{\delta,+} \le \beta_n^{\delta,+} \le Cb_n^{\delta,+}.$$
(6.9)

Proof: Let $f \in H^{1/2}(\partial D)$ and let $u_f \in W_0^{1,-1}(\mathbb{R}^2)$ and $v_f \in H_0^1(B_R)$ denote the functions which are harmonic in $\mathbb{R}^2 \setminus D$ and in $B_R \setminus D$ respectively, which are also harmonic in D, and which satisfy $u_f = v_f = f$ on ∂D . We will show that there exists a constant C > 0 independent of δ and n such that for all $f \in H^{1/2}(\partial D) \setminus \{0\}$,

$$\frac{1}{C} \frac{\int_{D} |\nabla v_{f}|^{2}}{\int_{B_{R}} |\nabla v_{f}|^{2}} \leq \frac{\int_{D} |\nabla u_{f}|^{2}}{\int_{\mathbb{R}^{2}} |\nabla u_{f}|^{2}} \leq C \frac{\int_{D} |\nabla v_{f}|^{2}}{\int_{B_{R}} |\nabla v_{f}|^{2}}.$$
(6.10)

The statement of the theorem follows then from the min-max principle for the operators T_{δ} and B_{δ} .

To prove (6.10), we first note that since u_f and v_f are harmonic in D and coincide on ∂D , $u_f \equiv v_f$ on ∂D , so that

$$\int_D |\nabla u_f|^2 = \int_D |\nabla v_f|^2.$$
(6.11)

Since the extension of v_f by 0 outside of B_R is a function of $W_0^{1,-1}(\mathbb{R}^2)$, we see that

$$\int_{\mathbb{R}^2} |\nabla u|^2 \leq \min_{w \in W_0^{1,-1}(\mathbb{R}^2)} \int_{\mathbb{R}^2} |\nabla w|^2 \leq \int_{B_R} |\nabla v|^2,$$

which together with (6.11) proves the right-hand inequality in (6.10).

To prove the other inequality, let χ denote a smooth cut-off function, such that $\chi \equiv 1$ in $B_{R/2}$ and $\chi \equiv 0$ outside B_R . We may also assume that $\|\chi\|_{W^{1,\infty}} \leq 1$. The function $\tilde{u}_f = \chi u_f$ lies in $H_0^1(B_R)$, and there is a constant *C* that only depends on *R* such that

$$\int_{B_R \setminus \overline{D}} |\nabla \tilde{u}_f|^2 \leq C \int_{\mathbb{R}^2 \setminus \overline{D}} |\nabla u_f|^2.$$

Since $\tilde{u}_f = u_f = v_f$ on ∂D , it follows from the Dirichlet principle that

$$\int_{B_R\setminus\overline{D}}|\nabla v_f|^2 \leq \int_{B_R\setminus\overline{D}}|\nabla \tilde{u}_f|^2,$$

which combined with (6.11) yields the desired inequality.

6.3 Discretization

In the sequel, we estimate numerically the rate of convergence to 0 of the first non-degenerate eigenvalue $b_1^{\delta,+}$, from which, using Theorem 6.1.1, we will infer the behavior of $\beta_1^{\delta,+}$. To this end, we use the min-max principle to approximate $b_1^{\delta,+}$ by

$$b_{1,N}^{\delta,+} = \min_{u \in V_N} \frac{\int_D |\nabla u(X)|^2 dX}{\int_{B_R} |\nabla u(X)|^2 dX}$$
(6.12)

where V_N is a finite dimensional subspace of $H_0^1(B_R)$. We construct approximation spaces V_N in the following fashion Let $X_1 = (x_1+iy_1) \in D_1$, $X_2 = (x_2+iy_2) \in D_2$ and $n \in \mathbb{N}$. Define $\phi_{n,1}^{\pm}, \phi_{n,2}^{\pm} : \mathbb{R}^2 \longrightarrow \mathbb{C}$ by $\phi_{n,1}(z) = (z - X_1)^n, \phi_{n,2}(z) = (z - X_2)^n$, where z = x + iy. Let $w_m, m \ge 1$ be the $H_0^1(D)$ functions which are harmonic in $B_R \setminus \overline{D}$ and such that

$$\begin{cases} w_{4n-3} = Re(\phi_{n,1}) \text{ in } D_1 \\ w_{4n-3} = 0 \text{ in } D_2, \end{cases} \begin{cases} w_{4n-2} = Im(\phi_{n,1}) \text{ in } D_1 \\ w_{4n-2} = 0 \text{ in } D_2, \end{cases}$$
$$\begin{cases} w_{4n-1} = 0 \text{ in } D_1 \\ w_{4n-1} = Re(\phi_{n,2}) \text{ in } D_2, \end{cases} \begin{cases} w_{4n} = 0 \text{ in } D_1 \\ w_{4n} = Im(\phi_{n,2}) \text{ in } D_2. \end{cases}$$

We consider a conformal triangulation \mathcal{T} of B_R , which is refined in the neck between the 2 inclusions. The width of the refined zone is chosen so that its thickness is equal to 5δ at its extremities (see for instance Figures (6.2),(6.3) and (6.4)) for the case of two discs. Let $\hat{w}_m, m \ge 1$ denote the H^1 projection of w_m on the space of functions which are piecewise linear on \mathcal{T} . We define V_N as the vector space generated by the functions $\hat{w}_m, m \le 4N$.

We note that the functions $w_m, m \ge 1$ are linearly independent. Together with the functions $w_{0,1}, w_{0,2}$ in $H_0^1(B_R)$ defined by $\Delta w_{0,i} = 0$ in $B_R \setminus \overline{D}$, and

$$\begin{cases} w_{0,1} = 1 & \text{in } D_1 \\ w_{0,1} = 0 & \text{in } D_2, \end{cases} \qquad \begin{cases} w_{0,2} = 0 & \text{in } D_1 \\ w_{0,2} = 1 & \text{in } D_2, \end{cases}$$

they from a basis of $H_0^1(B_R)$. We also note that the functions $w_{0,i}$ are the eigenfunctions of B_{δ} associated to the degenerate mode $b_0 = 0$. To compute the eigenvalues $b_{1,N}^{\delta,+}$, we form the matrices *A* and *B* with entries

$$A_{i,j} = \int_{D_1 \cup D_2} \nabla \hat{w}_i \cdot \nabla \hat{w}_j, \qquad B_{i,j} = \int_{B_R} \nabla \hat{w}_i \cdot \nabla \hat{w}_j,$$

and then compute the generalized eigenvalues of the system $AU = \lambda BU$. We have used the software Freefem++ [42] to compute the vectors \hat{w}_m , and Scilab [60] to solve the above matrix eigenvalue problem.

6.3. Discretization







6.4 Numerical results

We deduce from Theorems 6.1.1 and 6.2.1 that $\log b_{1,N}^{\delta,+} \sim \log c_1^+ + \frac{m-1}{m} \log \delta$ as δ tends to 0. In this section, we draw the graph of $\log b_{1,N}^{\delta,+}$ as a function of $\log \delta$, and determine numerically its slope $\frac{m-1}{m}$. We first study the case where the inclusions are two discs, and then we perturb the inclusions to have a contact point with higher order.

6.4.1 The case of 2 discs

We start with the case of two discs $D_1 = B_r(0, r + \frac{\delta}{2})$ and $D_2 = B_r(0, r - \frac{\delta}{2})$ with r = 2. Here, X_1 and X_2 in the construction of V_N , are chosen to be the centers of the discs D_1 and D_2 .



Since the contact of order two, i.e. $\psi_1(x) + \psi_2(x) \sim C|x|^2$ as $x \to 0$, the theoretical slope is 1/2. Taking N = 39, the graph of $\log b_{1,N}^{\delta,+}$ tends to the line with equation t = -0.7934156 + 0.4307516s (see for instance Figure (6.5)). The equa-

tion of the line is computed using the least squares method.

The dimension of the space V_N is 4N + 2. Hence, we expect that the numerical slope will tend to the theoretical one when N becomes larger. The following table and graph give how does the numerical slope behave as a function of N, and shows a good agreement with the theoretical predictions.

Values of N	equation of the line approximation	
N = 9	t = -1.09526 + 0.2486835s	
N = 19	t = -0.9099896 + 0.3700286s	
N = 29	t = -0.8575362 + 0.4045268s	
N = 39	t = -0.7934156 + 0.4307516s	



6.4.2 Contact of order *m*

Now, we consider shapes with different contact orders i.e. $\psi_1(x) + \psi_2(x) \sim C|x|^m$. Let D_1 and D_2 be the perturbed half discs defined by (see Figure (6.7))

$$D_1 = \{-1 \le x \le 1, |x|^m + \delta \le y \le 1 + \delta\} \cup \{x^2 + (y - 1 - \delta)^2 \le 1, y \ge 1 + \delta\},$$
$$D_2 = \{-1 \le x \le 1, -|x|^m - \delta \ge y \ge -1 - \delta\} \cup \{x^2 + (y + 1 + \delta)^2 \le 1, y \le -1 - \delta\}.$$



The points X_1 and X_2 in the construction of the space V_N , are the centers of the perturbed discs. The following table provide the numerical results for δ between 1/2 and 1/2⁷, and N = 39.

т	Equation of the line	Theoretical slope	Error
<i>m</i> = 2	t = -0.7934156 + 0.4307516s	$\frac{1}{2} = 0.5$	0.0692484
<i>m</i> = 6	t = -0.1401772 + 0.8003479s	$\frac{5}{6} \simeq 0.83$	0.03298543
<i>m</i> = 9	t = -0.2357561 + 0.8508496s	$\frac{8}{9} \simeq 0.89$	0.03803929

We remark that the computed slopes are in a good agreement with the expected theoretical values.

6.5 Conclusion

We have studied the behavior of the eigenvalues of the Neumann-Poincaré operator for two close-to-touching inclusions in dimension two. We have validated numerically the rates of convergence derived in [26]. We continue to study the asymptotic behavior of the spectrum of the Neumann-Poincaré integral operator for two close-to-touching inclusions in dimension three. We also plan to extend the results of [27] to general geometries in dimension two. In dimension three the sizes of the matrices *A* and *B* become too large and this may complicate the computation of the generalized eigenvalues. In another line of research, we propose to use an integral equation approach combined with an asymptotic approximation of the kernels of the off-diagonal operators in the system (6.4) around the contact point. We think that this approach is more appropriate to dimension three and larger. We will report related results in future works. 104CHAPTER 6. Spectrum of Neumann-Poincaré operator for two close-to-touching inclusion

Conclusion

In this Phd thesis, we studied mathematical models of the inverse problem of recovering an inclusion from boundary measurements : the model of electrical impedance tomography (2) and the model of electroreception (4.1). We propose two different approaches to analyze the inverse problem, using either a single measurement or using multifrequency measurements when the conductivity inside the inclusion is frequency dependent. In the latter case, we use a representation of the voltage potential based on the spectral decomposition of the Neumann-Poincaré operator. This led us to also study the asymptotic behavior of the eigenvalues of Neumann-Poincaré operator in the case of two close-to-touching inclusion.

Firstly, we have established the uniqueness of the inclusion recovery problem using a single measurement, under the assumption that the inclusion has a circular shape and we improved the stability estimate result in [37]. Our stability estimate is valid even for non-zero input electrical current. Our numerical simulations show that the Hölder stability coefficientin the stability estimate close to 1, which indicates that the dependence might actually be Lipschitz.

Secondly, we addressed similar questions in the case of multifrequency measurements. We have shown that the unique solution to equation (3.1) has a spectral decomposition (3.8) on the basis of eigenfunctions of the Neumann-Poincaré operator associated to the inclusion D. Based on this spectral decomposition, we have designed a numerical scheme to reconstruct the frequency profile $k(\omega)$ and the geometry of the inclusion. From our numerical experiments, we remark that the reconstructions of the conductivity inside the inclusion, as a function of the frequency ω , are good in general, except when the inclusion is far from the boundary where the measurements are taken. Our algorithm to reconstruct the inclusion is however quite costly, and it may take several hours to numerically reconstruct a given shape. In particular, it proved extremely difficult to reconstruct a non-convex shape with this algorithm.

Thirdly, concerning electroreception, we addressed the question of existence and uniqueness of the solution to the forward problem (4.1). We derived a spectral decomposition (4.38) of the voltage potential, using the same analysis as for the EIT model. We derived a numerical algorithm to determine the volume and the shape of the target, assuming that the position of its center and its conductivity profile are known. This algorithm is however also very costly, and to speed up the computations, we reduced the number of Fourier coefficients, that parametrize the shape of the inclusion D.

Finally, we studied the asymptotic behavior of Neumann-Poincaré operator for two close-to-touching inclusions. We designed an original numerical scheme that projects functions on the space of harmonic polynomials. Our numerical simulations show that the convergence rates agree with the theoretical prediction [26], as the interinclusion distance δ tends to 0.

We conclude with a few perspectives that our work opens. Concerning the EIT model under a single measurement (in 2D), it would be quite interesting to generalize our results for the circle to the case of an ellipse or of an analytic shape. This would have an important practical impact in the context of medical imaging for instance. Generalizing the stability estimates in 3D is also challenging, even when the inclusion is simply a ball.

As for the EIT model using multifrequency measurements, it would be worthwhile to obtain more precise estimates on the eigenvalues of Neumann-Poincaré operator and their rate of decay. The case of multiple inclusions also addresses interesting questions. In particular, we would like to find out whether such spectral decompositions could lead to a fast algorithm, that would allow identification of one or several inclusions within a given set of shapes in real time.

Finally, several questions concerning the spectrum of the Neumann-Poincaré operator, in the context of close-to-touching inclusions remain open. In particular, it would be very interesting to study how the blow up of the gradient of the voltage potential depends on the geometry of the contact points in dimension 3.

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TARGET IDENTIFICATION USING ELECTRORECEPTION

Abstract

Electrolocation is the name given to the sensor ability for certain electric fish robots, which are able to detect electrostatic perturbations caused to the presence of some objects in their neighborhood. This ability to interpret an electrical signal to locate itself in space opens important perspectives, including in the field of biologically inspired robotics. Mathematically, electrolocation is linked to the electric impedance tomography: so it's about a non-linear inverse problem, particularly ill-posed problem. We will, in this Phd, study some methods of reconstruction, which could be obtain robustly some characteristic of the obstacle's shape, rather all of their geometry details. So, it's about to study the stability between the observable part of the obstacles and the errors of measurements.

Keywords: inverse problem, conduction equation, electroreception

Identification d'une cible par l'électro-localisation

Résumé

L'électro-localisation est le nom donné aux capacités sensorielles de certains poissons électriques, vivant en eaux troubles, capables de détecter les perturbations électrostatiques dues à la présence d'objets dans leurs voisinages. Cette aptitude à interpréter un signal électrique pour se repérer dans l'espace ouvre l'importance perspectives, notamment dans le domaine de la robotique brio-inspiré. Mathématiquement, l'électrolocalisation est proche de la tomographie d'impédance électrique : il s'agit donc d'un problème inverse non linéaire, notoirement mal posé. Nous proposons dans cette thèse d'étudier des méthodes de reconstruction qui permettraient d'obtenir de manière robuste certaines caractéristiques de la forme des obstacles, plutôt que l'ensemble des détails de leurs géométries. Il s'agit donc d'étudier la stabilité de la partie observable des obstacles par rapport à des erreurs dans les mesures.

Mots clés : problème inverse, équation de conduction, électro-localisation

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