

# **Phylogeny, biogeography, and breeding system evolution in Moraceae**

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## **Qian Zhang**

**Moraceae** 

Composition du Jury :



## <span id="page-2-0"></span>**ACKNOWLEDGEMENTS**

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## <span id="page-4-0"></span>**ABSTRACT**

Angiosperms are the most diversified clade of extant plants and are exceptionally species-rich in tropical regions. Flowers are the breeding organs of angiosperms. Flowers exhibit remarkable levels of both structural and functional diversity and for this reason have long thought to have a direct influence on the diversity and evolution of angiosperms. In this thesis, I investigated breeding system evolution and biogeographic history in the family Moraceae (40 genera, ca. 1100 species, including its largest genus, *Ficus*, ca. 750 species), which I used as a model clade to understand the origin and evolution of diversity of angiosperms.

In Chapter I, I reconstructed and calibrated a new dated phylogenetic tree for Moraceae as a whole, based on a dataset of 320 species (including 272 species sampled from 36 genera in Moraceae, and 48 species representing 8 outgroup families in Rosales), eight molecular markers (three chloroplastic, five nuclear), and twelve fossil age constraints. I then used this phylogenetic tree to reconstruct ancestral states of breeding systems in Moraceae and *Ficus* using parsimony and model-based approaches, using and comparing six configurations of breeding system data in Moraceae, differing in the number of states recognized. The crown group ages of Moraceae and *Ficus* were estimated in the Cretaceous (73.2-84.7 Ma) and in the Eocene (40.6-55.9 Ma), respectively. Dioecy was inferred as the ancestral breeding systems of Moraceae with high support by all the approaches, models and configurations, followed by several subsequent transitions to monoecy, including in *Ficus*. This result suggests that dioecy is not necessarily an evolutionary dead end. While monoecy emerged as the most likely ancestral state of *Ficus*, this result remained uncertain and sensitive to model selection.

In Chapter II, I reconstructed a dated phylogenetic tree for tribe Dorstenieae (15 genera, ca. 156 species, including *Dorstenia*, ca. 113 species), mainly distributed in tropical regions. To do so, I produced a new dataset of nuclear genomic data (102 genes) generated with a target enrichment approach (Hyb-Seq), sampling all genera (15) and 83 (53%) species in the tribe and five outgroups. This phylogenomic tree allowed me to reconstruct the biogeographic history of this group using dispersal-extinction-cladogenesis models, including models with a founder-event speciation process. The crown group ages of Dorstenieae and *Dorstenia* were estimated in the Cretaceous (65.8-79.8 Ma) and in the Cretaceous/Paleocene to Eocene period (50.8-67.3 Ma), respectively. Two long-distance dispersal events from continental Africa to South America occurred in the Cenozoic, one in *Dorstenia* and one in *Brosimum* s.l. Species of *Dorstenia* further colonized Central America during the Oligocene to Miocene (12.0-34.7 Ma).

In Chapter III, I tested the climatic niche difference (temperature and precipitation) between the two breeding systems (monoecy and gynodioecy) in *Ficus* using a new dataset of cleaned spatial occurrence records and breeding systems for 183 species. To do so, I used two comparative approaches, differing in whether phylogeny is taken into account (generalized estimating equations, GEE) or not (generalized linear models, GLM). A positive relationship between precipitation and gynodioecy was supported by GLM, but not by GEE analyses, and no relationship between temperature and breeding systems was supported by either method. Higher dispersal ability and the potential for self-fertilization may explain why monoecious species of *Ficus* have been able to colonize and survive in drier environments.

This thesis highlights the potential of phylogenetic comparative methods and

<span id="page-6-0"></span>phylogenomic data to address questions of breeding system evolution and biogeography in Moraceae. More densely sampled phylogenies of *Ficus* and Moraceae as a whole will be required to confirm some of the results emerging from this thesis, such as ancestral monoecy in *Ficus*. Nevertheless, this thesis opens up several important new perspectives worth investigating in other plant clades, such as a relationship between breeding system and climatic niche.

#### **RESUME**

Les Angiospermes sont le clade le plus diversifié des plantes actuelles et sont exceptionnellement riches en espèces dans les régions tropicales. La fleur est l'organe reproducteur des Angiospermes. Il existe une diversité remarquable de fleurs tant sur le plan structurel que fonctionnel et pour cette raison, l'on pense depuis longtemps que les fleurs ont une influence directe sur la diversité et l'évolution des Angiospermes. Dans cette thèse, j'ai étudié l'évolution des systèmes sexuels et l'histoire biogéographique de la famille des Moraceae (40 genres, env. 1100 espèces, dont le genre le plus diversifié, *Ficus*, env. 750 espèces), clade modèle utilisé pour comprendre l'origine et l'évolution de la diversité chez les Angiospermes.

Dans le Chapitre I, j'ai reconstruit et calibré un nouvel arbre phylogénétique daté pour les Moraceae à partir d'un jeu de données de 320 espèces (dont 272 issues de 36 genres chez les Moraceae, et 48 espèces représentant 8 familles de groupes externes chez les Rosales), 8 marqueurs moléculaires (3 chloroplastiques, 5 nucléaires) et 12 contraintes d'âge fondées sur le registre fossile. J'ai ensuite utilisé cet arbre phylogénétique pour reconstruire les états ancestraux des systèmes sexuels chez les Moraceae et *Ficus* avec les méthodes de parcimonie et de maximum de vraisemblance, en utilisant et comparant six configurations de données de systèmes sexuels chez les Moraceae différant par le nombre d'états distingués. Les âges des groupes-couronne des Moraceae et du genre *Ficus* sont estimés au Crétacé (73.2-84.7 Ma) et à l'Eocène (40.6-55.9 Ma), respectivement. La dioécie est inférée comme l'état ancestral des systèmes sexuels chez les Moraceae, un résultat très bien soutenu par toutes les approches, modèles et configurations. Plusieurs transitions ultérieures vers la monoécie se sont ensuite produites, y compris chez *Ficus*. Ce résultat suggère que la dioécie ne représente pas nécessairement un cul-de-sac évolutif. La monoécie semble être l'état ancestral le plus vraisemblable chez *Ficus*, mais ce résultat est peu robuste et sensible au choix du modèle.

Dans le Chapitre II, j'ai reconstruit un arbre phylogénétique daté pour la tribu des Dorstenieae (15 genres, env. 156 espèces, dont *Dorstenia*, env. 113 espèces), distribuée principalement dans les régions tropicales. Pour ce faire, j'ai produit un nouveau jeu de données génomiques nucléaires (102 gènes) à partir d'une approche d'enrichissement ciblé (Hyb-Seq) échantillonnant tous les genres (15) et 83 espèces (53%), ainsi que 5 groupes externes. Cet arbre phylogénomique m'a permis de reconstruire l'histoire biogéographique du groupe en utilisant les modèles de dispersion-extinction-cladogenèse, dont des modèles avec un processus d'événement-fondateur de dispersion. Les âges des groupes-couronne des Dorstenieae et du genre *Dorstenia* sont estimés au Crétacé (65.8-79.8 Ma) et dans la période du Crétacé/Paléocène à l'Eocène (50.8-67.3 Ma), respectivement. Deux évènements de dispersion à longue distance depuis l'Afrique continentale vers l'Amérique du Sud ont eu lieu au Cénozoïque, l'un chez *Dorstenia* et l'autre chez *Brosimum* s.l. Le genre *Dorstenia* a ensuite colonisé l'Amérique Centrale entre l'Oligocène et le Miocène (12.0-34.7 Ma).

Dans le Chapitre III, j'ai testé les différences de niche climatique (température et précipitation) entre les deux systèmes sexuels (monoécie et gynodioécie) chez *Ficus* avec un nouveau jeu de données fiables d'occurrences spatiales et de systèmes sexuels chez 183 espèces. À cette fin, j'ai utilisé deux approches comparatives, différant dans la prise en compte de la phylogénie (équations d'estimation généralisées, GEE) ou non (modèles linéaires généralisés, GLM). Une relation positive entre précipitation et gynodioécie est soutenue par les analyses GLM, et aucune méthode ne soutient une relation entre température et système sexuel. Une meilleure capacité à se disperser et le potentiel d'autopollinisation sont deux explications possibles pour la colonisation et la survie des espèces monoïques dans des environnements plus secs.

Cette thèse démontre le potentiel des méthodes phylogénétiques comparatives et des données phylogénomiques pour répondre aux questions d'évolution des systèmes sexuels et de biogéographie chez les Moraceae. Des phylogénies plus densément échantillonnées pour *Ficus* et les Moraceae sont requises pour confirmer certains des résultats émergeant de cette thèse, tels que le caractère ancestral de la monoécie chez *Ficus*. Cependant, cette thèse ouvre plusieurs nouvelles perspectives importantes méritant d'être approfondies chez d'autres clades de plantes, telles que la relation entre système sexuel et niche climatique.

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## <span id="page-13-0"></span>**GENERAL INTRODUCTION**

Angiosperms are the dominant plant lineage in most terrestrial ecosystems, especially in tropical regions. Breeding system evolution and biogeography are two of the keys to understand the extreme diversity of angiosperms. My PhD thesis uses the mulberry family, Moraceae, as a model clade to address these topics by answering three key questions: how did breeding systems evolve in Moraceae (Chapter I)? What is the biogeographic history of Dorstenieae, the second most diversified tribe in Moraceae (Chapter II)? Do the two breeding systems in *Ficus* occupy different climatic niches (Chapter III)? This introduction will present the background of three important aspects of my thesis: 1) broader biological background, introducing current knowledge of relationship of breeding system evolution and diversity in angiosperms, and the biogeographic history of the angiosperms in three main tropical regions; 2) the plant family Moraceae and the two large subclades studied in more detail in this thesis, genus *Ficus* and tribe Dorstenieae; 3) specific methods used in my thesis, including the target enrichment approach for genomic sequencing (Chapter II); fossil-calibrated molecular dating (Chapters I and II); parametric models for reconstruction of ancestral states (Chapter I) and biogeographic history (Chapter II).

#### <span id="page-13-1"></span>**Breeding system evolution and diversity in angiosperms**

The term "breeding system" has not been used consistently throughout the literature and is sometimes treated as a synonym of "reproductive system" or "mating system" (Neal and Anderson 2005; Cardoso *et al.* 2018). In this thesis, to remain consistent with previous work on *Ficus* and Moraceae, I chose the term "breeding system" to describe the arrangement of flowers of different sex at different levels, including both individual and population levels.

Angiosperms are the most diversified lineage of extant plants, accounting for approximately 96% of vascular plants (Christenhusz and Byng 2016). The diversity of their species and morphological attributes, including their flowers, have fascinated biologists for hundreds of years. Because breeding systems directly impact genetic variation and reproductive success at both intraand interspecific levels, they are critical to understanding floral evolution and diversification. Bisexual flowers are likely ancestral in angiosperms and subsequently evolved many times independently to unisexual flowers associated with a diversity of breeding systems (Sauquet *et al.* 2017). Dioecy is rare (ca. 6% species of angiosperms) but evolved independently thousands of times during the history of angiosperms (Renner 2014). This observation has prompted multiple lines of research on dioecy evolution, including resource allocation strategies, sexual selection, genetic determination of separate sexes in angiosperms (Case and Barrett 2004; Dufay *et al.* 2014; Charlesworth 2015; Käfer *et al.* 2017; Zemp *et al.* 2018). Dioecy was once suggested to be an evolutionary dead end, following the assumption that loss of bisexuality appears to be easier than its gain and the observation that lower species richness was found in dioecious clades (Bull and Charnov 1985; Heilbuth 2000). However, this hypothesis may have been an artificial result from the sister-group comparison approach and has been challenged by two recent studies with new comparative approaches (Käfer *et al.* 2014; Sabath *et al.* 2016). Although bisexuality is predominant in angiosperms, no significant direction of breeding system transition was found in an angiosperm-wide metaanalysis, suggesting that different selective pressures and constraints have been at play in different clades for breeding system evolution in angiosperms (Goldberg *et al.* 2017).

#### <span id="page-15-0"></span>**Biogeographic history of angiosperms in the tropics**

Tropical regions hold the highest terrestrial diversity on earth (Mutke and Barthlott 2005). Species richness typically decreases from tropics to poles, a pattern commonly referred to as the latitudinal gradient and observed in most clades (Wiens and Donoghue 2004), with a few exceptions (e.g., ray-finned fishes or Actinopterygii; Rabosky *et al.* 2018). Before the Middle Miocene Climatic Transition (MMCT, ca. 14 Ma), tropical climates covered a much larger area than they do now (Morley 2003). Thus, investigations on the origin, evolution and maintenance of diversity in tropical areas is critical not only to help us understand present patterns of diversity distribution, but also to predict their evolution in a changing environment. The origin of diversity of angiosperms in tropical regions, especially in the Neotropics and Africa, is one of the main questions in this thesis. Hence, I will briefly summarize below current knowledge of diversity of angiosperms in the Neotropics and Africa.

Biodiversity is not evenly distributed across tropical regions. Here, we consider the Neotropics as the area extending from central Mexico to Southern Brazil (including the Caribbean islands) (Antonelli and Sanmartín 2011). The Neotropics have been estimated to harbor the most number of vascular plant species, followed by the Indo-Pacific region (Kreft and Jetz 2007; Ulloa Ulloa *et al.* 2017). Both dispersal followed by *in situ* diversification and Andean uplift have been proposed as the main causes for extreme diversification in the Neotropical region (Hoorn *et al.* 2010). In addition, approximately ten biomes have been identified in the Neotropics (Antonelli and Sanmartín 2011; Hughes *et al.* 2013; Antonelli *et al.* 2018), suggesting high habitat diversity. On the one hand, biota exchange occurred between North and South America through the Central American land bridge during the Late Cretaceous to Eocene, and through the Panama Isthmus during the Oligocene-Miocene transition (Morley 2003; Jaramillo *et al.* 2006; Bacon *et al.* 2015).

Long-distance dispersal from continental Africa (e.g., *Ficus* section *Americanae* [Moraceae], *Begonia* [Begoniaceae], Lobeliaceae), Asia-Oceania (e.g. *Bocconia* and *Macleaya* [Papaveraceae], *Osmorhiza* [Apiaceae]) and Australia (e.g., Liliales) also contributed to the diversity of the Neotropics (Moonlight *et al.* 2015; Yi *et al.* 2015; Givnish *et al.* 2016; Knox and Li 2017; Pederneiras *et al.* 2018; Li *et al.* 2018). On the other hand, analyses of biota interchange (including invertebrates, vertebrates, ferns, and angiosperms) among different Neotropical regions suggested Amazonia as the source of Neotropical diversity (Antonelli *et al.* 2018). However, the drivers of Neotropical diversification still remain unclear at present.

Asia-Oceania consists of a heterogenous assemblage of part of Laurasia and several fragments of Gondwana, and these fragments have reached their current positions in different times from the Cretaceous to the Miocene (Hall 2009). This region has been proposed as the place of origin of angiosperms (Buerki *et al.* 2014; Coiro *et al.* 2019). However, more evidence are needed to be found for this hypothesis. Borneo and continental South-East Asia have been suggested to be the major evolutionary hotspots for Southeast Asian Biodiversity during the latest 65.5 Ma in a study examining the assembly of local biota (including invertebrates, vertebrates, and plants) (De Bruyn *et al.* 2014). Floristic exchange between Sunda and Sahul has been estimated to start approximately 33 Ma ago and proceed mainly eastwards (from Sunda including Malay Peninsula, Sumatra, Borneo to Sahul including New Guinea and other Pacific islands) (Crayn *et al.* 2015), suggesting the relationship of floras of South-East Asia and Pacific islands.

It remains obscure why continental Africa holds comparatively lower diversity than in the Neotropics and in South-East Asia. Couvreur (2015) reviewed several hypotheses for the low diversity of tropical Africa (e.g., time-to-speciation effect, area-for-speciation effect), and suggested that lower speciation rates might be one potential explanation. Although continental

Africa has been geographically isolated from the Late Cretaceous, when land bridges between continental Africa and Europe, South America, and the Indian Plate occurred, to the Middle Miocene (Morley 2003), evidence suggests that biota exchange has been continuing through longdistance dispersal. Six floristic regions have been defined in sub-Saharan Africa by geographical analyses, and these floristic regions show relationships with counterparts of other continents (Linder 2014), suggesting flora exchanges in the assembly of the African flora. Although the diversity in Africa as a whole is comparatively lower, clades such as Detarioideae (Fabaceae) harbor higher diversity in continental Africa than other tropical areas, suggesting clades of angiosperms have responded differently to historical climatic change in Africa (de la Estrella *et al.* 2017). Investigation of the biogeographic history of additional clades of angiosperms in Africa, based on solid dated phylogenies, is critical to further understand the causes of low diversity in continental Africa (Couvreur 2015).

#### <span id="page-17-0"></span>**Moraceae: an ideal model clade**

#### <span id="page-17-1"></span>*General presentation of family Moraceae*

The angiosperm family Moraceae is an ideal model for investigating in breeding system evolution and the origin of diversity in tropical regions. Moraceae are now classified in order Rosales, together with eight other families (APG IV 2016). Urticaceae are well supported as the sister group of Moraceae (Soltis *et al.* 2011; Zhang *et al.* 2011). Moraceae consist of seven tribes, 40 genera and around 1100 species (Clement and Weiblen 2009; Zerega and Gardner 2019). Moraceae have a pantropical geographic distribution with some species extending into temperate areas (Fig. 1). The family is characterized by milky latex, small, unisexual flowers (pistillode



**Figure 1.** Geographic distribution and species richness of Moraceae. This map was compiled using a dataset of cleaned occurrence records from the Global Biodiversity Information Facility (GBIF), following the same protocol as described in Chapter III. To decrease the impact from cultivated individuals, records of widely cultivated species in Moraceae (*Artocarpus heterophyllus*, *A. altilis*, *Broussonetia papyrifera*, *Castilla elastica*, *Ficus benjamina*, *F. benghalensis*, *F. carica*, *F. elastica*, *F. lyrata*, *F. microcarpa*, *F. pumila*, *F. religiosa*, *Morus alba*, *M. nigra*) were excluded from this map.

present or not), a uniseriate perianth, and compact but variable inflorescences (Berg *et al.* 2006; Simpson 2010). The family exhibits high diversity in several key traits, including habit (tree, shrub, herb, woody liana), inflorescence architecture (from the open inflorescences of *Morus* to the closed ones of *Ficus*), pollination (by wind, e.g. *Morus*; or by insects e.g. *Ficus*) (Rohwer and Berg 1993) and breeding systems (monoecy, androdioecy, gynodioecy, dioecy) (Fig. 2) (Clement and Weiblen 2009). The seeds of Moraceae are mostly dispersed by vertebrates (Rohwer and Berg 1993; Shanahan *et al.* 2001). Dioecy has been proposed to be the ancestral breeding system of Moraceae (Datwyler and Weiblen 2004). The crown-group age of Moraceae has been estimated in the Cretaceous (72.6-110.0 Ma) (Zerega *et al.* 2005), while biogeographic analyses for Moraceae as a whole using model-based approaches have not been conducted so far. The ancestral area of Moraceae remains an enigma and may have been either in Gondwana or in Laurasia (Zerega *et al.* 2005). Except for *Ficus* (see below), biogeography analyses have been conducted so far in three genera (*Artocarpus*, *Maclura* and *Dorstenia*) in Moraceae to date. *Artocarpus* (ca. 70 spp.) has been estimated to originate in Borneo in the Eocene to Oligocene (29.8-50.8 Ma) and subsequently disperse to other Asia-Oceania areas ( Williams *et al.* 2017). *Maclura* (ca. 12 spp.) has been estimated to originate in South America in the Cretaceous to Eocene (49.1-73.4 Ma), followed by dispersal to Africa and the northern hemisphere (Gardner *et al.* 2017). The particular case of *Dorstenia* is developed further below.

Moraceae harbors several species of economic value and some of them are widely cultivated. Leaves of mulberry (*Morus*) provide food for silk worms (Fig. 2 A, B, He *et al.* 2013). *Artocarpus heterophyllus* (Fig. 2 C) and *Ficus carica* (Fig. 2 I) are cultivated for their edible infructescences known as breadfruit and fig, respectively (Ghada *et al.* 2010; E W Williams *et al.* 2017). Some species of *Dorstenia* have been used in folk medicine for the treatment of infection, snake bites,



**Figure 2.** Representative species of the seven tribes of Moraceae. A-B. *Morus alba* female and male inflorescence (Moreae); C. *Artocarpus heterophyllus* (Artocarpeae); D-E. *Parartocarpus venenosus* female and male inflorescence (Parartocarpeae); F. *Castilla elastica* (Castilleae); G. *Maclura pomiera* syncarp (Moreae); H. *Dorstenia barteri* (Dorstenieae); I. *Ficus carica* female inflorescence (Ficeae). Photo: A,I by Qian Zhang; H by Hervé Sauquet; C from Williams et al. (2017); D, E from Zerega and Gardner (2019); G from (Gardner *et al.* 2017), reproduced with authors' permission; B, F from Wikimedia commons by Fastily and Dick Culbert, respectively.

and as anti-inflammatory in Africa and South America (Zapata-Sudo *et al.* 2010). Lastly, the fibrous bark of *Broussonetia papyrifera*, known as paper mulberry, was used to make paper in ancient China (Chung *et al.* 2017).

#### <span id="page-21-0"></span>*Genus* Ficus

The most diversified genus in Moraceae is *Ficus* (ca. 750 spp.), which is known for its coevolution with its symbiotic pollinating wasps (Hymenoptera, Chalcidoidea, Agaonidae) (Cruaud *et al.* 2012). *Ficus* is characterized by very small unisexual flowers enclosed in urn-shape inflorescences called syconia (Fig. 2 I). There are three kinds of flowers in *Ficus* inflorescences: male flowers (producing pollen), female flowers (producing seeds) and gall flowers (develop wasps). Two breeding systems occur in *Ficus*, monoecy and gynodioecy, differing in the distribution of these three kinds of flowers among syconia (Fig. 3), each representing about half of the species of *Ficus* (Cook and Rasplus 2003). In monoecious *Ficus*, all three kinds of flowers co-occur in every syconium (Fig. 3). Pollinating wasps lay eggs and pollinate the host plants after entering the syconium, depending on the lengths of styles of female flowers in monoecious *Ficus*. Conversely, gynodioecious species of *Ficus* are characterized by two kinds of syconia: male and female (Fig. 3). On the one hand, functional female syconia contain only female flowers. On the other hand, functional male syconia contain both male and gall flowers, and as a result will not deliver seeds. The male pollinating wasps are wingless, and usually never leave the syconium in which they developed. When the mature winged female wasps leave the male syconium in which they developed, they have to pass the cluster of male flowers near the exit of the syconium. These pollinating wasps then look for a new syconium and struggle into it (carrying pollen from another



**Figure 3.** Diagram of syconia (enclosed, urn-shaped inflorescences) in the two breeding systems of *Ficus*, monoecy and gynodioecy.

syconium). They may lose their wings and some legs during the process. Following entry into a male syconium, they lay eggs; if they enter into a female syconium, they pollinate the female flowers (Cook and Rasplus 2003). Thus, structural gynodioecy in *Ficus* (plants are structurally either bisexual, with monoecious inflorescences, or female only) is functionally equivalent to dioecy (plants are functionally either male or female only). *Ficus* has a broad, mostly pantropical geographic distribution across all the three major tropical regions, but gynodioecious *Ficus* are remarkably absent in the Neotropics (Cruaud *et al.* 2012). Monoecy has been proposed to be the ancestral state of breeding system of *Ficus* (Datwyler and Weiblen 2004).

Six subgenera were proposed for *Ficus* (Berg 2003). Three of them have so far been confirmed to be monophyletic, whereas subgenera *Ficus*, *Pharmacosycea*, and *Urostigma* appear to be polyphyletic in the phylogeny of Cruaud et al. (2012) based on five nuclear markers and 200 species. Pederneiras et al. reconstructed the most densely sampled phylogenetic analysis for *Ficus* to date (249 species) with nine nuclear molecular markers (Pederneiras *et al.* 2018). The topology of Pederneiras et al. (2018) was similar to the one of Cruaud et al. (2012), but with higher support for the deeper nodes. With the development of high-throughput sequencing, initial phylogenomic studies of *Ficus* have also recently been conducted based on much larger gene datasets but more limited taxon sampling (Bruun-Lund *et al.* 2017; Rasplus *et al.* 2018). Phylogenetic relationships based on complete chloroplast genomes (Bruun-Lund *et al.* 2017) differ markedly from phylogenies based on a few nuclear markers (Cruaud *et al.* 2012; Pederneiras *et al.* 2018), suggesting hybridization in the early history of *Ficus*. However, phylogenetic relationships based on nuclear RAD-seq genomic data (Rasplus *et al.* 2018) also differ from previous nuclear phylogenies (Cruaud *et al.* 2012; Pederneiras *et al.* 2018), specifically in relationships at the base of *Ficus*.

The crown-group age of *Ficus* has been estimated in the Cretaceous to the Paleocene (60.0-101.9 Ma) or in the Eocene (34.9-50.6 Ma), depending on different calibration strategies (Cruaud *et al.* 2012; Pederneiras *et al.* 2018). The ancestral area of *Ficus* has been inferred to be Eurasia (Cruaud *et al.* 2012; Pederneiras *et al.* 2018), from which *Ficus* then dispersed from the Old World to the New World twice, leading to the two Neotropical lineages (sections *Pharmacosycea* and *Americanae*) (Pederneiras *et al.* 2018). *Ficus* subsect. *Urostigma* may have originated in the Paleocene to Eocene (40.3-60.6 Ma) in Madagascar (Chantarasuwan *et al.* 2016).

#### <span id="page-24-0"></span>*Tribe Dorstenieae*

Tribe Dorstenieae includes fifteen genera and 156 species, distributed on both sides of the Atlantic (Figure 1, Chapter II). *Dorstenia*, with ca. 113 species, is the most diversified genus in Dorstenieae and the second in Moraceae (Berg and Hijman 1999; Berg 2001). New species have been discovered continuously in Africa and South America during the last decade (Mccoy and Massara 2008; dos Santos and Neto 2012; Machado and Marcelo Filho 2012; Chase *et al.* 2013; dos Santos *et al.* 2013; Leal 2014; Machado *et al.* 2014; Rzepecky 2016). *Dorstenia* has very small unisexual flowers organized and partly embedded in disk-like monoecious inflorescences, except *D. lavrani* which is dioecious (Mccoy and Massara 2008). *Dorstenia* is further characterized by the marginal appendages around the inflorescence (i.e., extensions of the flattened inflorescence receptacle), and the length of these appendages varies among species (Fig. 2 H). Inflorescence shape in *Dorstenia* ranges from square to round. Species of *Dorstenia* are diverse in habit, ranging from treelets to shrubs to herbs and the genus contains almost exclusively all of the herbaceous species known in Moraceae (Berg and Hijman 1999; Berg 2001).

12 Most species of *Dorstenia* are distributed in either South America or Africa (incl. Madagascar and Arabian Peninsula). *Dorstenia* has been estimated to originate in Africa in the Cretaceous (84.8-132.0 Ma) (Misiewicz and Zerega 2012). However, this estimate is older than the estimate of Moraceae as a whole (Zerega *et al.* 2005) and likely needs to be revised. Misiewicz and Zerega (2012) reconstructed the first phylogeny of *Dorstenia* using ITS sequences, but some of the deep nodes remained weakly supported. Interestingly, their results suggested a single dispersal into the Neotropics with a subsequent re-colonization of Africa. However, sampling of both ingroup (32 out of 113 species) and outgroups (seven species represented seven genera, including two in the same tribe) was limited and the phylogeny of tribe Dorstenieae as a whole remains incompletely understood.

#### <span id="page-25-0"></span>**Methodological approaches used in this thesis**

During my PhD program, I took advantage of recent methodological improvements in phylogenetics and macroevolution, including both technical advances (high-throughput sequencing) and conceptual developments in phylogenetic tree reconstruction and dating, model-based biogeographic history reconstruction, and phylogenetic comparative methods.

### <span id="page-25-1"></span>*Hyb-seq approaches*

13 The rapid development of high-throughput sequencing (HTS) has revolutionized phylogenetic analysis in the last decade (Drew *et al.* 2014; Matasci *et al.* 2014; Couvreur *et al.* 2019; Villaverde *et al.* 2018). The five widely used HTS approaches at present are: 1) microfluidic PCR, based on PCR amplification of targeted regions; 2) restriction enzyme-based methods, using restriction enzymes to separate genomic DNA and sequence, for instance, RAD-seq; 3) genome skimming, consisting of total genomic DNA sequencing at low depth without enrichment (typically aimed at recovering organellar genomes); 4) target enrichment approach (incl. the strategy commonly referred to as "exon capture"), enriching shotgun sequencing libraries for target genes; 5) transcriptome sequencing (McKain *et al.* 2018). The Hyb-seq approach differs from other target enrichment strategies by not only targeting thousands of low-copy nuclear exons, but also their flanking regions, high-copy repeats, and organellar genomes simultaneously (Weitemier *et al.* 2014). One of the advantages of the target enrichment and Hyb-seq approaches is their suitability to work with degraded herbarium specimens (McKain *et al.* 2018). Herbarium collections are a treasure for biodiversity studies, when we consider the information about diversity and distribution from them, and the fact that some species are very difficult to be collected in the field (Hart *et al.* 2016).

#### <span id="page-26-0"></span>*Molecular dating*

Molecular dating, which transforms the relative time from molecular branch lengths of phylogenetic trees to absolute time, using calibrations typically derived from the fossil record. Molecular dating is essential to connect the evolutionary history of a studied group of organisms with extrinsic geographic and climatic events (Sauquet 2013). Originally developed under the assumption of a strict clock (constant molecular rate) model, current molecular dating methods now allow heterogenous rates (relaxed clock models). Fossil calibrations have traditionally been applied as minimum age constraints. More recently, following the development of Bayesian approaches to molecular dating, various prior distributions (e.g., lognormal, exponential) have been implemented to describe the expected time between the fossil and calibrated node age (Ho and Phillips 2009). Further, to mitigate the impact of potential errors in calibration and the conflicts among calibration nodes, soft boundaries (allowing non-zero probability beyond both minimum and maximum age constraints) were introduced in prior distributions for calibrations (Yang and

Rannala 2005). However, even more recent molecular dating approaches (not used in this thesis), including the fossilized birth-death process and the so-called total-evidence (or tipdating) methods treat fossils more explicitly as tips (and/or ancestors) of the phylogenetic tree, thereby avoiding the arbitrariness of prior distribution parameterization (Pyron 2011; Ronquist *et al.* 2012; Heath *et al.* 2014).

The development of molecular dating methods in the genomic era has mainly focused on handling the much larger datasets than in the Sanger sequencing era, including the challenge of adequately partitioning such datasets (Foster *et al.* 2016; Foster and Ho 2017). Although widely used software such as BEAST 2 (Bouckaert *et al.* 2014) may still be used in theory to analyze genomic data, computational times are in practice a limiting factor. New software such as MCMCTree in package PAML (Yang 2007) use approximate likelihood to solve this problem. Contrary to some early expectations, phylogenomic data so far appear to have a limited impact on divergence times, whereas fossil calibrations remain the most important factor (Dos Reis *et al.* 2016; Foster and Ho 2017). Thus, robust and well justified fossil calibration remains as critical with phylogenomic datasets as in the Sanger sequencing era (Sauquet *et al.* 2012; Ksepka *et al.* 2015).

## <span id="page-27-0"></span>*Parametric models for ancestral state reconstruction*

Ancestral state reconstruction (ASR) helps evolutionary biologists to understand the transition of traits in the evolutionary history of a clade. Parsimony approaches, which find the states that minimize the number of transition events given the states on tips of the phylogeny, were first used in ASR (Maddison *et al.* 1984). However, model-based approaches are now preferred by many, as they consider the probability of transition events

according to the branch lengths and allow to measure the relative confidence of each state at internal nodes of the phylogeny, expressed as proportional marginal likelihoods (Pagel 1999). Asymmetrical (directional) transition can also be estimated with ML approaches, allowing biologists to test more hypotheses in studies of trait evolution. Originally developed under a maximum likelihood (ML) framework, model-based approaches to ASR are also now available in a Bayesian framework. Bayesian approaches to ASR take into account uncertainty of both phylogenies (topologies and branch lengths) and model parameters (Pagel *et al.* 2004; Ronquist 2004). The reversible-jump Markov Chain Monte Carlo approach takes further advantage of the Bayesian framework to explore and visit multiple models of morphological evolution in proportion to their posterior probabilities (Pagel and Meade 2006).

## <span id="page-28-0"></span>*Parametric models for historical biogeography*

The reconstruction of ancestral distribution areas is similar to ancestral state reconstruction, and both fields have similar histories of methodological improvement. Historical biogeographic reconstruction started with parsimony-based method, for instance event-based approaches (Ronquist 2003). Inspired by the methods of ancestral state reconstruction and phylogenetic model selection, parametric models were introduced to historical biogeographic reconstruction about ten years ago, for instance the now widely used dispersal-extinction-cladogenesis (DEC) model (Ree and Smith 2008; Ree and Sanmartín 2009). Parametric biogeographic methods not only consider the topology but also the relative or absolute time, which parsimony methods do not. As in ASR models, the amount of biogeographic change (range expansion or local expansion) is proportional to

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branch lengths (relative time). Absolute time links the historical biogeographic reconstruction with extrinsic geographic events (e.g., land bridges, fluctuation of sea level, climate in certain area). When multiple parametric models are considered, model selection metrics such as Akaike Information Criterion (AIC) and Bayes Factor (BF) may be used to determine the most appropriate model to explain the data.

#### <span id="page-29-0"></span>**Objectives of this thesis**

This thesis focuses on breeding system evolution and the origin of diversity of angiosperm in tropical regions by using Moraceae as a model clade. My thesis is composed of three chapters, each written as a standalone manuscript for publication. Chapter I mainly focuses on breeding system evolution in Moraceae as a whole. To tackle this question, a new dated phylogenetic tree and ancestral states of breeding systems were reconstructed in Moraceae and *Ficus*. This chapter was recently published in *Annals of Botany* (Zhang *et al.* 2019a). In Chapter II, I investigated the biogeographic history of Dorstenieae, the second most species-rich tribe of Moraceae. To do so, a new dated phylogenomic tree of tribe Dorstenieae, sampled from herbarium specimens, was reconstructed and used to address the biogeographic history of the group. This chapter was recently made available as a preprint in bioRxiv and is currently being considered for recommendation by *Peer Community in Evolutionary Biology* (Zhang *et al.* 2019b). In addition, this work led to a collaboration on a smaller phylogenomic study focused on the genus *Brosimum*. While this has not yet reached the stage of a draft manuscript, I have outlined my contribution in Supplementary Data part of this thesis. Chapter III focused on characterizing niche differences of the two breeding systems in *Ficus*. To do so, I built a spatial occurrence

dataset for the genus and tested the relationship between breeding systems and climate (incl. precipitation and temperature), taking the phylogeny into account. This last chapter has not yet been submitted to any journal.

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# **CHAPTER I. ESTIMATING DIVERGENCE TIMES AND ANCESTRAL BREEDING SYSTEMS IN** *FICUS* **AND MORACEAE**

This chapter was recently published in *Annals of Botany* in January 2019 [\(https://academic.oup.com/aob/article/123/1/191/5092035\)](https://www.google.com.hk/url?sa=t&rct=j&q=&esrc=s&source=web&cd=1&cad=rja&uact=8&ved=2ahUKEwjDktu-upHkAhWizYUKHT0WCu4QFjAAegQIABAB&url=https%3A%2F%2Facademic.oup.com%2Faob%2Farticle%2F123%2F1%2F191%2F5092035&usg=AOvVaw3auhMpQ6_k6tTld4Tz5JT1), in collaboration with Stefan Little, Renske Onstein and Hervé Sauquet.

# **ABSTRACT**

- **Background and Aims** Although dioecy, which characterizes only 6% of angiosperm species, has been considered an evolutionary dead end, recent studies have demonstrated that this is not necessarily the case. Moraceae (40 genera, 1100 spp., incl. *Ficus*, 750 spp.) are particularly diverse in breeding systems (incl. monoecy, gynodioecy, androdioecy, and dioecy) and thus represent a model clade to study macroevolution of dioecy.
- **Methods** Ancestral breeding systems of *Ficus* and Moraceae were inferred. To do so, a new dated phylogenetic trees of *Ficus* and Moraceae was first reconstructed by combining a revised twelve-fossil calibration set and a densely sampled molecular dataset of eight markers and 320 species. Breeding system evolution was then reconstructed using both parsimony and model-based (maximum likelihood and Bayesian) approaches with this new timescale.
- **Key Results** The crown-group ages of *Ficus* and Moraceae were estimated in the Eocene (40.6-55.9 Ma) and Late Cretaceous (73.2-84.7 Ma), respectively. Strong support was found for ancestral dioecy in Moraceae. Although the ancestral state of *Ficus* remained particularly sensitive to model selection, the results show that monoecy and gynodioecy evolved from dioecy in Moraceae, and suggest that gynodioecy probably evolved from monoecy in *Ficus*.
- **Conclusions** Dioecy was found not to be an evolutionary dead end in Moraceae. This study provides a new time scale for the phylogeny and a new framework of breeding system evolution in *Ficus* and Moraceae.

**Key words:** breeding system evolution, dioecy, evolutionary dead end, molecular dating, ancestral state reconstruction, fossil calibration, *Ficus*, Moraceae

#### **INTRODUCTION**

Flowers are the reproductive structures of angiosperms. The ca. 350,000 species of angiosperms are highly diverse in floral morphological traits, including breeding systems, ranging from bisexuality (hermaphroditism) to separate sex on distinct plants (dioecy) through several presumed intermediate states between these two ends (monoecy, andromonoecy, gynomonoecy, androdioecy, gynodioecy) (Renner 2014). Although a recent study demonstrated that bisexual flowers are ancestral in angiosperms and evolved many times independently to unisexual flowers (Sauquet *et al.* 2017), the exact number and the context of these transitions remains to be characterized. Dioecy is rare in angiosperms (only ca. 6%) (Renner 2014) and has been suggested to be an evolutionary dead end (Bull and Charnov 1985; Heilbuth 2000). Transitions from hermaphroditism to dioecy have been suggested to occur through three alternative pathways: dimorphic pathway (through gynodioecy, androdioecy, or polygamodioecy) (Dufay *et al.* 2014) ; monomorphic pathway (through monoecy, andromonoecy, gynomonoecy, or polygamomonoecy) (Renner and Ricklefs 1995); and direct pathway (Barrett 2002; Goldberg *et al.* 2017). However, the view of dioecy as an evolutionary dead end has gradually changed over the last decade after it was found that transitions from dioecy to other breeding systems are possible and that the flexibility of breeding systems transitions may be caused by different selective pressures and constraints (Barrett 2013; Goldberg *et al.* 2017). Thus, it remains unclear why dioecy is in fact so rare in angiosperms (Käfer *et al.* 2017).

The mulberry family (Moraceae), consists of approximately 40 genera and 1100 species, and represents a good model system to study dioecy transitions (Clement and Weiblen 2009). Four breeding systems are observed in the family: monoecy, androdioecy, gynodioecy, and dioecy (Datwyler and Weiblen 2004). *Ficus*, the largest genus in Moraceae, contains almost 75% of the species in the family (750 spp.). About half of the species of *Ficus* are monoecious, while the other half are gynodioecious (Cook and Rasplus 2003). In monoecious species of *Ficus*, both functionally male and female flowers coexist in the same inflorescence (syconium); female flowers vary in style length. Fig wasp pollinators (Agaonidae) lay eggs in part of these female flowers and pollinate the others (Cook and Rasplus 2003). Gynodioecious species of *Ficus* are characterized by two kinds of plants and inflorescences: female individuals bear syconia that contain only functionally female flowers (i.e., flowers that can develop seeds), and functionally male individuals bear syconia that contain both functionally male and gall flowers (female flowers that develop only wasps) (Cook and Rasplus 2003). Therefore, structurally gynodioecious species of figs are functionally dioecious.

A previous study, based on parsimony reconstruction sampling 46 *Ficus* species (but no outgroup), suggested that monoecy is ancestral in *Ficus*, and that gynodioecy originated at least once or twice within the genus (Weiblen 2000). A subsequent family-level parsimony study, sampling 83 Moraceae species (incl. 11 species of *Ficus*) suggested monoecy and dioecy to be ancestral in *Ficus* and Moraceae, respectively (Datwyler and Weiblen 2004). However, the accuracy and precision of ancestral state reconstruction depends on the reliability of the phylogenetic tree and sampling density, and important progress has been made to reconstruct phylogenetic relationships in *Ficus* and Moraceae since these two studies were published (Zerega *et al.* 2005; Clement and Weiblen 2009; Zerega *et al.* 2010; Cruaud *et al.* 2012; Williams *et al.* 2017). In addition, new probabilistic

approaches for ancestral state reconstruction, taking into account phylogenetic uncertainty and divergence times, are now available (Pagel *et al.* 2004). Parsimony, maximum likelihood (ML) and Bayesian approaches today are the most commonly used methods for reconstructing ancestral states. The accuracy of branch length estimation may be important in model-based approaches (ML, Bayesian), which rely on them for computing the likelihood of evolutionary change along the phylogeny (Pagel and Meade 2006). However, to date only few attempts have been made to estimate divergence times in Moraceae (Datwyler and Weiblen 2004; Zerega *et al.* 2005). Although more studies have addressed the timescale of *Ficus* evolution (Rønsted *et al.* 2005; Zerega *et al.* 2005; Cruaud *et al.* 2012), partly inconsistent results have been obtained, for instance with crown-group age estimates for *Ficus* ranging from 40.1 to 101.9 Mya. In addition to providing a framework to reconstruct ancestral states, estimating divergence times in *Ficus* and Moraceae is also important for other evolutionary questions, including the evaluation of the codiversification and biogeographic history of the genus (Cruaud *et al.* 2012).

Here, we investigate two key questions: 1) what are the crown-group ages of *Ficus* and Moraceae?; and 2) what are the ancestral breeding systems of *Ficus* and Moraceae, and how many times did monoecy and dioecy evolve in *Ficus* and Moraceae? To do so, we reconstruct a new phylogenetic tree for Moraceae using a densely sampled molecular dataset, estimate divergence times using a relaxed molecular clock calibrated with a revised set of 12 fossil age constraints, and reconstruct ancestral breeding systems in *Ficus* and Moraceae with state-of-the-art model-based approaches. We estimate the age of crown group of *Ficus* and Moraceae in the Eocene and Late Cretaceous, respectively. Our results suggest that dioecy is not an evolutionary dead end in Moraceae and the transitions from

dioecy to the other breeding systems (androdioecy, gynodioecy and monoecy) occurred several times during the evolutionary history of the family. This study sheds light on the evolution of dioecy into other breeding systems and more generally improves our understanding of breeding system evolution in angiosperms.

# **MATERIALS AND METHODS**

#### *Taxonomic sampling and molecular dataset assembly*

We selected GenBank sequences from 320 species belonging to 65 genera and eight families of Rosales, including 272 species and 36 genera (3/4 of the circa 40 genera) of Moraceae (Table S1). Our efforts include a comprehensive sample of outgroups in order to estimate accurately divergence times in the family while taking advantage of the rich fossil record of the order (see below). We used eight markers from two genomes: three chloroplast genes (*matK*, *rbcL*, *ndhF*) and five nuclear markers including two noncoding regions (ITS, ETS) and three genes (*G3pdh*, *ncpGS*, the *waxy* region). This combination of coding and non-coding markers was selected to resolve both deep- and shallow-level relationships. *MatK* and *rbcL* have been proposed as standard barcoding regions for land plants (Hollingsworth *et al.* 2009) and *ndhF* has been proved to be very useful in previous phylogenetic studies of Moraceae (Datwyler and Weiblen 2004; Zerega *et al.* 2005; Clement and Weiblen 2009). ITS, ETS, *G3pdh*, *ncpGS*, and the *waxy* region were used in the latest and most densely sampled phylogenetic study of *Ficus* (Cruaud *et al.* 2012). Considering the comparatively fast molecular rates of ITS and ETS, these markers may be difficult to align and may introduce more noise than signal at the family level. Therefore,

ITS and ETS sequences were here only used for species of *Ficus*. All sequences were aligned using Muscle v3.7 (Edgar 2004) as implemented on CIPRES (Miller *et al.* 2010); alignments were then checked and adjusted by hand. In the ITS alignment we deleted three short regions (ca. 10 bp) because of the uncertainty of gap length and position of base pairs in these regions. Combined multi-marker alignments were assembled with Mesquite v3.04 (Maddison and Maddison 2016).

# *Phylogenetic reconstruction*

To identify and exclude problematic GenBank sequences (e.g., incorrectly identified), separate gene analyses were conducted first with RAxML v8.2.9 (Stamatakis 2014) on CIPRES (Miller *et al.* 2010), using the GTRCAT model and 100 bootstrap replicates. We then compared single-marker phylogenetic trees with each other and with the latest published phylogenies of *Ficus* (Cruaud *et al.* 2012), Moraceae (Clement and Weiblen 2009), and other families of Rosales (Wiegrefe *et al.* 1998; Potter *et al.* 2007; Zhang *et al.* 2011; Wu *et al.* 2013; Yang *et al.* 2013; Onstein *et al.* 2015; Hauenschild *et al.* 2016). A sequence was excluded when its position in one tree conflicted strongly (i.e., with high support) with those in other trees or published phylogenies. After excluding problematic sequences (*Celtis*: L12638, AY263941, AY263961, AY263925, AY263899; *Morus*: L01933) and confirming that no supported conflict remained among single-marker trees, combined phylogenetic analyses of chloroplast genes (*matK*, *rbcL*, *ndhF*), nuclear markers (ITS, ETS, *G3pdh*, *ncpGS*, the *waxy* region), and of all eight markers were conducted with maximum likelihood and Bayesian approaches using RAxML and BEAST on CIPRES.

For maximum likelihood analyses, the dataset was divided into eight partitions according to marker, each with the GTRCAT model and 1000 bootstrap replicates. All trees are presented rooted on the most external outgroup, Rosaceae, which have been shown to be the sister group of all remaining Rosales in all recent higher-level phylogenetic analyses (e.g., Wang *et al.* 2009; Soltis *et al.* 2011). The phylogenetic reconstruction by Bayesian approach was done simultaneously with divergence time estimation (see below).

# *Fossil calibration*

The fossil record of Moraceae is comparatively poor and in need of critical revision (Collinson 1989), whereas unambiguous fossils exist for other families of Rosales (Burge and Manchester 2008; Benedict *et al.* 2011; Friis *et al.* 2011). When reliable fossils are absent or scarce for the ingroup, outgroup calibration may provide more accurate estimates than secondary calibration (Sauquet *et al.* 2012; Hipsley and Müller 2014). Therefore, we specifically designed the taxon sample of this study to include sufficient outgroup nodes to take advantage of the fossil record of Rosales. Our set of fossil age constraints includes four in Moraceae and eight distributed among the remaining families of Rosales (Table S2). To revise fossil calibrations in Rosales, we proceeded as follows (Parham *et al.* 2012; Sauquet *et al.* 2012): 1) we started from lists of calibrations used in previous molecular dating studies (Zerega *et al.* 2005; Cruaud *et al.* 2012; Magallón *et al.* 2015) and completed this list with specific reviews (Collinson 1989; Friis *et al.* 2011) and recently published fossil taxa (Manchester 1999; Calvillo-Canadell and Cevallos-Ferriz 2007; Manos *et al.* 2007; Benedict *et al.* 2011); 2) for each fossil, we critically assessed the phylogenetic

assignment based on original descriptions and subsequent reviews, and using the latest reference phylogeny for each family (Manchester 1999; Calvillo-Canadell and Cevallos-Ferriz 2007; Manos *et al.* 2007; Benedict *et al.* 2011; Friis *et al.* 2011; Jud *et al.* 2017); 3) for each fossil, we also critically revised the absolute age or age range of the fossil using the latest stratigraphy and geological time scale from the International Commission on Stratigraphy (Cohen *et al.* 2017). Because none of these fossil taxa have been included in total evidence phylogenetic analyses, our assignments here are at best 'apomorphy-based' and therefore we have been particularly conservative in both selecting our final calibrations and assigning them to clades. This implies that some taxa previously used as calibrations are typically used here to constrain the age of a more inclusive node than in previous studies (e.g., the fossil achenes we used to calibrate the stem group of *Ficus* were used to calibrated the crown group of *Ficus* in former studies; Datwyler and Weiblen 2004; Rønsted *et al.* 2005; Zerega *et al.* 2005; Cruaud *et al.* 2012).

#### *Molecular dating analyses*

We used BEAST v1.8.0 (Drummond *et al.* 2012) implemented on CIPRES (Miller *et al.* 2010) to estimate divergence times and topology simultaneously. To reduce computational burden, we used empirical base frequencies and divided the dataset into three partitions: chloroplast DNA (*matK*, *rbcL*, *ndhF*), noncoding nuclear markers (ITS, ETS), and coding nuclear genes (*G3pdh*, *ncpGS*, the *waxy* region). The substitution model for each partition was selected using the Akaike Information Criterion (AIC) with jModelTest v2.1.6 (Darriba *et al.* 2012) as implemented on CIPRES. The best substitution model was TVM+I+G for the chloroplast (*matK*, *rbcL*, *ndhF*) and noncoding nuclear (ITS, ETS) partitions, and TPM3uf+I+G for the coding nuclear (*G3pdh*, *ncpGS*, the *waxy* region) partition.

For each calibrated node, we chose the oldest reliable fossil as a minimum age constraint. Because most fossils typically provide only minimum ages for the clade to which they can be safely attributed, a uniform prior distribution was used for each fossil calibration, using the upper (younger) boundary of the fossil oldest stratigraphic age range as the minimum bound and the maximum constraint for the root (see below) as the maximum bound. Molecular dating analyses usually require at least one maximum age constraint (Ho and Phillips 2009; Sauquet 2013). Here, we chose to set the maximum age bound for the root (i.e., the crown-group node of Rosales) to 107 Ma based on the crowngroup age estimate of Rosales (98.96-106.94 Ma) in the latest, large-scale molecular dating study of angiosperms (Magallón *et al.* 2015). Although the phylogenetic relationships among sampled members of Rhamnaceae are inconsistent with previous work (Onstein *et al.* 2015; Hauenschild *et al.* 2016; see Discussion), they are unlikely to have affected divergence times because we chose conservative fossil assignments in Rhamnaceae. *Paliurus clarnensis* has been proposed to be more closely related to extant species of *Paliurus* than any other member of Rhamnaceae (Burge and Manchester 2008), thus providing a minimum age for the stem node of *Paliurus*. Because of conflict in the position of the genus, we decided to calibrate the crown group node of Rhamnaceae instead. *Coahuilanthus belindae* has been proposed to be a member of Rhamnaceae, but its position in the phylogeny remains unclear (Calvillo-Canadell and Cevallos-Ferriz 2007), therefore we used it as a minimum age constraint for the stem node of the family.

The tree prior in BEAST was set as a Birth-Death process. To produce a starting tree that conforms with the hard age constraints enforced in this analysis, we transformed the best-known RAxML tree into an ultrametric tree using the bladj function of phylocom v4.2 (Webb *et al.* 2008). Four separate runs were conducted, each with 100 million generations, sampling trees and parameters every ten thousand generations. Chain convergence was checked in Tracer v1.5 (Rambaut and Drummond 2009), with the first 10% of chain length excluded as burn-in. After confirming that chains of these four runs had converged, we combined them using LogCombiner v 1.8.0, resampling every 100 thousand generations and discarding the first 10% (i.e., 10 million) generations as burn-in. Tree statistics were summarized on the Maximum Clade Credibility (MCC) tree by TreeAnnotator v1.8.0, using median ages as node heights.

In addition to our main dating analysis, we also conducted a series of sensitivity experiments. First, we tested the impact of calibrations on the estimated topology by conducting another run keeping the maximum age constraint on the root but without internal age constraints (Sauquet *et al.* 2012). Second, we produced another run by excluding the age constraint on the stem node of *Ficus*, but maintaining all other 11 age constraints to test the influence of the former on the estimated crown-group age of *Ficus*. Last, we tested the impact of our root maximum age constraint on divergence time estimates for the ingroup. Indeed, there remains considerable uncertainty on the crowngroup age of angiosperms (Doyle 2012; Magallón *et al.* 2015; Herendeen *et al.* 2017) and recent analyses that do not constrain this age consistently estimate it to be much older than the first accepted crown-group fossils (Foster *et al.* 2017). Therefore, we also re-ran our analysis using an older maximum age constraint on the root, set to 112 Ma, based on the

crown-group age of Rosales (87.82-111.78 Ma) estimated in the unconstrained analysis of Magallón *et al.* (2015), in which the crown-group age of angiosperms was estimated to be 160-256 Ma rather than 136-140 Ma (S. Magallón, pers. comm.).

### *Ancestral state reconstruction*

The breeding system state of each species was determined from the literature, and then recorded in the PROTEUS database (Sauquet 2016). A list of all data records (each linked to an explicit reference) and the matrix are provided as Table S3. For the main analysis, we reconstructed the ancestral state with all 320 species in the dataset (i.e., including all outgroups). To characterize a reliable evolutionary scenario supported by different classification methods of breeding systems, (e.g., Anger *et al.* 2017), we analyzed six configurations of the same character and data, differing in the number of character states considered (Table 1; Fig. 1). Configuration A distinguishes among five breeding system states (as recorded and listed in Table S3). Configuration B (four states) treats androdioecy as missing data. In our dataset, there are only three androdioecious species, accounting for less than 1% of all species sampled. Configuration C (three states) is similar to Configuration B, but with gynodioecy and dioecy pooled as a single state (dioecy). In *Ficus*, gynodioecious species are functionally dioecious (Cook and Rasplus 2003), and all gynodioecious species but one (*Dryas octopetala*) in this study belong to *Ficus*. Configuration D (three states) is similar to Configuration B, but treats bisexual flowers as missing data. In Rosales, families Cannabaceae, Moraceae and Urticaceae all have unisexual flowers (Simpson 2010), thus maintaining the bisexual state is not essential to



Figure 1. Schematic representation of the six configurations for the breeding system character analyzed in this study. The arrows denote transition rate parameters, as estimated in the reversible-jump Markov Chain Monte Carlo analyses, with mean rates reported. The absence of an arrow indicates a near-zero estimate for the corresponding parameter, suggesting the model does not support direct transition between the two states.

this study and could be detrimental by unnecessarily increasing the number of parameters to estimate. Configuration E (two states) combines the two transformations of Configurations C and D: gynodioecy and dioecy pooled, bisexuality treated as missing. In Configuration F (two states), we contrast gender monomorphic and gender dimorphic species. Gender monomorphic and gender dimorphic means that in one population there are one or two functional classes of sex (Lloyd 1980). Therefore bisexuality and monoecy are gender monomorphic and androdioecy, gynodioecy, and dioecy are gender dimorphic.

For each configuration, we used and compared parsimony, maximum likelihood (ML) and Bayesian approaches to reconstruct ancestral states (Sauquet *et al.* 2015; Sauquet *et al.* 2017). Parsimony analyses were conducted in Mesquite v3.04 (Maddison and Maddison 2016), ML and Bayesian analyses were conducted in BayesTraits V2 (Pagel and Meade 2013). Parsimony and ML analyses were conducted with the Maximum Clade Credibility (MCC) tree produced from the BEAST analysis, whereas Bayesian analyses were conducted with 3600 trees randomly sampled from the posterior of the BEAST analysis. To test the influence of topological uncertainty on ancestral state reconstruction, we also conducted additional Bayesian analyses with a fixed (MCC) tree.

Maximum likelihood analyses presented here explored two models for each configuration: an equal-rates model (ER, or Mk1), assuming equal transition rates among all character states (Lewis 2001), and an all-rates-different model (ARD), allowing distinct (asymmetric) transition rates among character states (Pagel 1994). The best model (equal or unequal rate) for each configuration was selected according to the Akaike Information Criterion (AIC). We calculated ΔAIC between two models for each configuration, using ΔAIC of 2 or more as a criterion for positive support of the best-fit model (Posada and





We also reconstructed ancestral breeding system states using both a "common" (i.e., fixed-model) Bayesian approach and a reversible-jump Markov chain Monte Carlo (rjMCMC) strategy. While both allowed us to take parameter and phylogenetic (incl. molecular dating) uncertainty into account in ancestral state reconstruction (Pagel *et al.* 2004), the rjMCMC approach allowed us to explore and visit multiple models of morphological evolution in proportion to their posterior probabilities (Pagel and Meade 2006). Both the equal-rates (ER) and the all-rates-different (ARD) model were tested in

the common Bayesian approach, and their relative fit was compared with the Bayes Factor (Kass and Raftery 1995) according to the criteria of Lodewyckx *et al.* (2011). Chain lengths were set to ten million generations (or two million generations for fixed-tree analyses) and parameters and ancestral states were sampled every 1000 generations. Chain convergence was checked in Tracer v1.5 (Rambaut and Drummond 2009), with the first 10% generations excluded as burn-in.

To investigate the impact of different taxonomic sampling strategies (with or without outgroups of *Ficus*) on ancestral state reconstruction of breeding systems in *Ficus*, we also conducted analyses with only *Ficus* species (same topology). Here, after excluding non-*Ficus* species in configuration A, we used Mesquite instead of BayesTraits for ML reconstructions because we wished to test the impact of different root states priors on ancestral state reconstruction. We applied both the equal-rates (Mk1) and the asymmetric 2-rate (AsymmMk, equivalent to ARD for a binary character) models to reconstruct the ancestral state of *Ficus*. In addition, two different root states priors (equal and equilibrium frequencies) were applied for the asymmetric 2-rate (AsymmMk) model in Mesquite (whereas BayesTraits assumes an equal frequency root state prior for all states).

### **RESULTS**

### *Phylogenetic reconstruction*

Chloroplast markers were insufficient to resolve relationships within *Ficus*, as most internal nodes had low support (Fig. S1A-D). We found three instances of conflict (*F. trigonata* clustered with *F. tinctoria*; *F. religiosa* clustered with *F. benghalensis*; *F. pumila* clustered with *F. hirta*, bootstrap probability 79, 80, and 57) between topologies reconstructed from nuclear and chloroplast sequences (Fig. S1 D and E). Except these, we found no well supported conflict between chloroplast and nuclear trees (Fig. S1). We thus combined the eight markers and focus here on the results from this combined analysis (Fig. 2 and S2). Hereafter we only discuss the trees reconstructed from the whole dataset. The topologies reconstructed with maximum likelihood (ML) and Bayesian approaches were consistent (Fig. S1 M and Fig. S2). Differences were only observed in some weakly supported nodes. All families, all tribes of Moraceae except Moreae, and all genera were supported as monophyletic. Tribe Moreae was reconstructed as polyphyletic because *Streblus smithii* clustered with Maclureae (the other two species of *Streblus* sampled were instead found to be nested in Moreae; Fig. S2). In *Ficus*, subgenera *Phamacosycea*, *Urostigma*, and *Ficus* were found to be paraphyletic, and subgenus *Synoecia* nested in subgenus *Ficus*. In addition, some deep nodes (e.g., the most recent common ancestor of *F. carica* and *Urostigma*) were poorly supported in the Bayesian analysis (Fig. S2). With or without fossil age constraints, the topologies obtained with BEAST were identical and the posterior probability values of each nodes were similar as well (result not shown). Excluding the crown-group calibration of *Ficus* or using an older maximum root age constraint did not influence the topology either (Table S4).



**Figure 2.** Dated phylogenetic tree of 320 species with family names in Rosales, tribe names in Moraceae, and section names in *Ficus*. This is the Maximum Clade Credibility (MCC) from the BEAST analysis of eight molecular markers (see text). Fossil-calibrated nodes are indicated in red. The posterior distribution of estimated ages is shown for crown-group Moraceae and *Ficus* (marked with orange star). For full details of this tree, see Fig. S2.

# *Molecular dating*

After combination of the four separate runs, the effective sample size (ESS) of each parameter was over 100, most of them over 200. The crown-group ages of *Ficus* and Moraceae were estimated asEocene (40.6-55.9 Ma) and Late Cretaceous (73.2-84.7 Ma), respectively (Table 2; Fig. 2). The oldest tribe was Artocarpeae (64.0-68.6 Ma) and Maclureae originated most recently (8.9-41.1 Ma). In *Ficus*, subgenus *Sycomorus* was the oldest (28.0-41.1Ma) (Table 2). Excluding the *Ficus* stem-group calibration or using an older maximum root age constraint did not have strong impact on estimated ages (Table S4).

#### *Ancestral state reconstruction*

Parsimony, maximum likelihood (ML) and Bayesian analyses of the full dataset (320 species) reconstruct dioecy as ancestral for Moraceae with strong support in all six character state configurations (Table 3, Fig. 3, Fig. S3 and S4). Furthermore, we find that dioecy has evolved into monoecy at least five times in Moraceae. However, several alternative scenarios are possible due to uncertainty in the most recent common ancestor of Dorstenieae, Castilleae, and Ficeae (*Ficus*) (Fig. 3). In one possible scenario, monoecy evolved independently once in Artocarpeae (*Artocarpus*); once in Moreae (*Morus*); once in Dorstenieae (with at least two subsequent reversals); twice in Castilleae (*Perebea humilis*; and the clade of *Antiaris toxicaria* and *Mesogyne insignis*); and at least once in Ficeae (*Ficus*)*.* In another possible scenario, monoecy evolved independently in Artocarpeae and Moreae (as above), but shares a common origin in the clade of Dorstenieae, Castilleae, and *Ficus*, with at least two reversals (to dioecy) in Dorstenieae, and one in the ancestor of Castilleae (followed with two subsequent gains again in the tribe as above). Within *Ficus*, no matter whether gynodioecy (functional dioecy) is ancestral (and therefore intermediate) or not (see below), at least three transitions from gynodioecy to monoecy are reconstructed (within section *Sycomorus*).



**Table 2.** Estimated divergence times for key nodes of Moraceae. Here, we follow the tribal classification of Clement and Weiblen (2009), as shown in Fig 1.

<sup>1</sup>PP: posterior probability; BP: bootstrap probability; <sup>2</sup>95% HPD: 95% highest posterior density; <sup>3</sup>CG: crown group; <sup>4</sup>SG: stem group; <sup>5</sup>*Streblus smithii* was here excluded from crown-group Moreae (see text for details).

Conversely, the ancestral breeding system of *Ficus* could not be reconstructed with confidence. First, parsimony reconstructions suggested either monoecy (configurations A, B, and D) or an equivocal state (monoecy or the combination of dioecy and gynodioecy; configurations C, E, and F) as ancestral in *Ficus* (Fig S4). Second, ML reconstructions with an equal-rate model with all configurations supported monoecy (or gender monomorphic) as ancestral in *Ficus*, whereas the unequal-rate model supported gynodioecy (or gynodioecy + dioecy or gender dimorphic) as ancestral in the genus (Table 3). The strength of support differed among different configurations but the ancestral state did not change. In the ML approach, we compared the models in each configuration using the Akaike Information Criterion (AIC). Only with configuration A was the equal-rate model selected, whereas the unequal rate model better fit the data with configurations B-E. As a result, considering best-fit models only, gynodioecy (or gynodioecy + dioecy, or gender dimorphic) was found as ancestral in *Ficus* with five out of six configurations (Table 3). Third, the Bayesian approach with reversible-jump Markov chain Monte Carlo (rjMCMC) weakly supported monoecy (or gender monomorphic) as ancestral in *Ficus*, but with a broad 95% highest posterior density (HPD; 0.00 to 1.00), suggesting high uncertainty in the estimation (Table 4). Bayesian analyses with fixed model selected the unequal rate model in 4 out of 6 configurations, two with weak support), and monoecy as ancestral in *Ficus* in 4 out of 6 configurations.



**Figure 3.** Ancestral state reconstruction of breeding systems in Moraceae. Here we show results from the maximum likelihood analysis of configuration A (five character states) with the equalrate model (for other results, see Figs. S3-S5). Clade labels: family names in Rosales, tribe names in Moraceae, and section names in *Ficus*.

	CG Moraceae <sup>1</sup>	Prob <sup>2</sup>	$CG$ Ficus <sup>3</sup>	prob	$-Lh4$	Nr	<b>AIC</b>
						of par <sup>5</sup>	
Equal rate							
A	Dioecy	0.91	Monoecy	0.92	121.6	10	263.2
B	Dioecy	0.90	Monoecy	0.91	102.7	6	217.4
$\mathcal{C}$	Gynodioecy $+$ dioecy	0.95	Monoecy	0.87	91.5	3	189
D	Dioecy	0.88	Monoecy	0.89	78.9	3	163.8
Ε	$Gynodioecy + dioccy$	0.92	Monoecy	0.80	63.1	1	128.2
F	Gender dimorphic	0.89	Gender monomorphic	0.76	76.1	1	154.2
Unequal rate							
A	Androdieocy; dioecy; gynodioecy	Each ca. 0.33	Gynodioecy	0.95	124.7	20	289.4
B	Dioecy	0.99	Gynodioecy	0.98	90.8	12	205.6
C	Gynodioecy + dioecy	0.99	Gynodioecy + dioecy	0.84	76.9	6	165.8
D	Dioecy	1.00	Gynodioecy	1.00	70.0	6	152
Ε	$Gynodioecy + dioecy$	1.00	Gynodioecy $+$ dioecy	0.83	61.6	$\overline{2}$	127.2
F	Gender dimorphic	1.00	Gender dimorphic	0.84	74.6	$\overline{c}$	153.2

**Table 3.** Summary results of ancestral state reconstruction for the complete (320 species) dataset by maximum likelihood approach.

<sup>1</sup>CG Moraceae: breeding system state of crown-group Moraceae; <sup>2</sup>Prob: probability of support estimated by maximum likelihood; <sup>3</sup>CG *Ficus*: breeding system state of crown-group *Ficus*; <sup>4</sup>Lh: minus loglikelihood; <sup>5</sup>Nr of par: number of free parameters; The best-fit model identified by AIC for each configuration is indicated in boldface.

Some of the transitions such as the direct transition between gynodioecy and dioecy in configurations A, B or D were estimated to be unlikely (i.e., transition rates were estimated to be zero) in the rjMCMC analyses (Fig 2). The 95% HPD of transition rates in each configuration broadly overlapped. In the Bayesian analysis with the unequal rate model in configuration A (Table S5), the chain did not converge. This remained true when fixing the tree (i.e. using the MCC tree) instead of using a sample of trees from the BEAST posterior.

These results suggest that the unequal rate model is overparameterized for this configuration with five character states. Indeed, twenty free parameters (transition rates) are estimated in this model. In contrast, a four-state unequal rate model requires 12 parameters, a three-state model requires six parameters, and a binary model requires only two parameters. The potential overparameterization of configuration A was also suggested by the results of reversible-jump Markov Chain Monte Carlo (rjMCMC) analyses. For each configuration, the number of free parameters in the model was estimated between one and three.

	One MCC tree			3600 posterior trees				
Configuration	$_{\rm CG}$ Moraceae <sup>1</sup>	95% HPD <sup>2</sup>	$_{\rm CG}$ Ficus <sup>3</sup>	95% <b>HPD</b>	$_{\rm CG}$ Moraceae	95% <b>HPD</b>	<b>CG</b> Ficus	95% <b>HPD</b>
$\mathbf{A}$	Dioecy	$0.82 -$ 1.00	Monoecy	0.00 $-0.88$	Dioecy	$0.80 -$ 1.00	Monoecy	$0-$ 0.99
B	Dioecy	$0.84 -$ 1.00	Monoecy	$0.71 -$ 0.90	Dioecy	$0.80 -$ 1.00	Monoecy	$0.71 -$ 1.00
C	Gynodioecy $+$ dioecy	$0.86 -$ 1.00	Monoecy	$0.00 -$ 0.84	Gynodioecy $+$ dioecy	$0.74 -$ 1.00	Monoecy	$0.00 -$ 0.97
D	Dioecy	$0.85 -$ 1.00	Monoecy	$0.66 -$ 0.90	Dioecy	$0.82 -$ 1.00	Monoecy	$0.65 -$ 1.00
E	Gynodioecy dioecy $^{+}$	$0.87 -$ 1.00	Monoecy	$0.00 -$ 0.85	Gynodioecy $+$ dioecy	$0.75 -$ 1.00	Monoecy	$0.00 -$ 0.98
$\mathbf{F}$	Gender dimorphic	$0.85 -$ 1.00	Gender dimorphic	$0.19 -$ 1.00	Gender dimorphic	$0.76 -$ 1.00	Gender Dimorphic	$0.03 -$ 1.00

**Table 4.** Summary results of ancestral state reconstruction for the complete (320 species) dataset obtained with the Bayesian approach (reversible-jump MCMC).

<sup>1</sup>CG Moraceae: breeding system state of crown-group Moraceae; <sup>2</sup>95% HPD: 95% highest posterior density; 3CG *Ficus*: breeding system state of crown-group *Ficus.*

Reconstructions with only species of *Ficus* also showed an uncertain result with respect to the ancestral state of the genus (Fig. S5). Both parsimony and ML with the equalrate model strongly supported monoecy to be ancestral in *Ficus*, whereas the ML approach with the unequal rate model supported gynodioecy as ancestral in the genus. The support for ancestral gynodioecy differed with root state prior: with the equal frequencies root state prior, gynodioecy was highly supported (0.99 out of 1) whereas with the equilibrium frequencies root state prior, the probability for gynodioecy decreased sharply to 0.56, suggesting high uncertainty.

# **DISCUSSION**

# *Phylogenetic relationships in Moraceae*

The general topology of phylogenetic trees reconstructed in this study (both maximum likelihood and Bayesian approach) is consistent with previous studies in *Ficus* (Cruaud *et al.* 2012), Moraceae (Clement and Weiblen 2009) and Rosales (Zhang *et al.* 2011), except for relationships within Rhamnaceae, for which our results partly differ from those of two recent phylogenetic studies (Onstein *et al.* 2015; Hauenschild *et al.* 2016). This conflict may be due to the use of different molecular markers and to our sampling of this outgroup family with low density. Here we used *matK*, *rbcL*, and *ndhF*, while Hauenschild *et al.* (2016) used ITS and *trnL-F*, and Onstein *et al.* (2015) used eight molecular markers (including all the markers we used and *trnL-F*, *psbA*, *psbA*-*trnH*, *rpl16* and ITS). However, these differences are unlikely to affect our ancestral state reconstructions, given the distant positions of Rhamnaceae and Moraceae in the phylogeny of Rosales, and the fact that the

breeding system state of most of the species in Rhamnaceae has been scored as missing data in this study. In our phylogenetic reconstruction, outgroup relationships are consistent with previous work (Wang *et al.* 2009; Soltis *et al.* 2011; Zhang *et al.* 2011).

Within Moraceae, phylogenetic relationships among genera are consistent with recent studies (Zerega *et al.* 2005; Clement and Weiblen 2009; Zerega *et al.* 2010; Williams *et al.* 2017) except for Moreae (Fig. 2 and Fig. S2). Tribe Moreae was found to be paraphyletic due to the position of *Streblus smithii* as sister to Maclureae. This relationship is not strongly supported (posterior probability 0.76) and may be caused by too few informative sites for this species. In our dataset, *S. smithii* is only represented by the *ndhF* sequence and our separate analysis of the *ndhF* dataset, in which all three species of *Streblus* were sampled, supports the same result as our combined analysis (Fig. S1C). In the original paper where the sequence came from (Datwyler and Weiblen 2004), the species was found in an uncertain phylogenetic position. However, in a later reconstruction (Clement and Weiblen 2009) where *ndhF*, 26S, and morphological data were combined, *S. smithii* clustered with the other two species in the genus, possibly because the nuclear (26S) and morphological signal overcame a divergent *ndhF* sequence in their combined analysis.

Within *Ficus*, subgenera *Phamacosycea*, *Urostigma* and *Ficus* were found to be paraphyletic, and subgenus *Synoecia* is nested within subgenus *Ficus* and some deep nodes remain poorly supported. These results are consistent with the most recent comprehensive phylogenetic study of the genus (Cruaud *et al.* 2012), while they are in conflict with a recent phylogenomic study of *Ficus* based on full chloroplast genomes and this conflict could be caused by potential cyto-nuclear discordance (Bruun-Lund *et al.* 2017). Chloroplast markers performed poorly in *Ficus* as the informative sites they provide are

too few (Cruaud *et al.* 2012). Almost no branch length can be observed in the chloroplast tree for the shallow nodes (Fig. S1). In our analysis, *Ficus* species were represented not only by chloroplast markers but also by nuclear ones which were found informative enough in former studies (Rønsted *et al.* 2008; Cruaud *et al.* 2012). In the Bayesian analyses, 3600 trees were taken into account, therefore the uncertainty of topology was considered.

# *A new time scale for Moraceae diversification*

The new time scale presented here for Moraceae was estimated with 12 fossil calibrations (four ingroup, eight outgroup), more than in any previous study of the family so far. Here the crown-group age of Moraceae was estimated as 73.2-84.7 Ma. This estimate is similar to that of Zerega *et al.* (2005) (72.6-110.0 Ma), but with a narrower confidence interval. Our stem-group age estimate for the family (81.7-93.3 Ma) is older than that reported by Magallón *et al.* (2015) (57.7-77.8 Ma), most likely because our increased sampling of the family and its outgroups allowed us to use more fossil calibrations in Rosales. The crown-group age of *Ficus* was here estimated as 40.6-55.9 Ma, which is similar to the age reported by Zerega *et al.* (2005) (40.1-51.0 Ma), but younger than the age found by Rønsted *et al.* (2005) (51.4-78.0 Ma) and Cruaud *et al.* (2012) (60.0- 101.9 Ma). These differences may be explained by different calibration strategies. When fossil species have similar morphological traits with extant species of a clade, these fossils are often used optimistically to calibrate the crown group of this clade. This practice is problematic because it rules out the possibility that such fossils are stem relatives of the clade (Ronquist *et al.* 2012; Sauquet 2013). Here we used an apomorphy-based approach, using fossils as minimum age constraints modeled with uniform prior distributions to calibrate the stem node of the clade with which they share apomorphies (Sauquet 2013) (Table S2). For instance, the fossil achenes attributed to *Ficus* (Collinson 1989) were here used to calibrate the stem node of *Ficus*, whereas Rønsted *et al.* (2005) and Cruaud *et al.* (2012) used the same fossils to calibrate the crown node of *Ficus.* We also used different prior distributions for fossil calibrations. Although some authors have suggested that priors such as lognormal or exponential distributions may help to improve the accuracy of divergence time estimation (Yang and Rannala 2005; Ho and Phillips 2009), the prior setting of parameters such as mean or standard deviation for these distributions is often arbitrary and the shape of these distributions implicitly assumes that the fossil diverged close to the node calibrated. Our strategy here was instead to use uniform distribution with fossil ages as the minimum bound and maximum root age as the maximum bound. The crown-group ages of tribes Artocarpeae and Dorstenieae were estimated as 64.0-68.6 Ma and 51.4-70.2 Ma, respectively, both of which are younger than the ages reported by Williams *et al.* (2017) for Artocarpeae (61.4-78.5 Ma) and Misiewicz and Zerega (2012) for *Dorstenia* (84.8-132.0 Ma).

BEAST analyses without internal calibrations showed identical topology and similar posterior support compared to our reference analysis using all calibrations, suggesting that here calibration did not influence the topology. Here, we used the maximum age estimated for crown-group Rosales by Magallón *et al.* (2015) as the maximum age constraint on the root in our analyses, but also tested the influence of older root constraints. Consistent with previous work (Sauquet *et al.* 2009; Massoni *et al.*2014; Foster *et al.* 2017), we found that alternative maximum root constraints had very little impact on estimated divergence times. In addition, the crown-group age of *Ficus* was similar with or without the stem-group *Ficus*

calibration (Table S4). These results suggest that our estimates are not an artifact of the calibration points near the nodes of interest.

#### *Breeding system transitions in Moraceae and* Ficus

It was found that increased taxon sampling density can be helpful in ancestral state reconstruction (Salisbury and Kim 2001). Ancestral states of breeding systems in Moraceae have been previously reconstructed with parsimony and a phylogenetic tree of 46 species (Weiblen 2000), 83 species (Datwyler and Weiblen 2004) and 73 species (Clement and Weiblen 2009). In this study, we reconstructed ancestral states with a more densely sampled phylogenetic tree (incl. 200 species of *Ficus* and 72 species from other genera of Moraceae), compared different model-based approaches, and took into account phylogenetic uncertainty in our Bayesian analyses. Here, we found similar results for two focal nodes (Moraceae and *Ficus*) whether using a single tree or thousands of trees sampled from the posterior of BEAST analyses, suggesting that, in our analyses, topology did not affect the estimation. In all the approaches and models, dioecy was strongly supported to be ancestral for Moraceae, while the ancestral state for *Ficus* remains unclear.

Dioecy has been reported to correlate with several ecological traits, including abiotic pollination, fleshy fruits, and woody growth form (Freeman *et al.* 1979; Renner and Ricklefs 1995; Vamosi *et al.* 2003). During the history of Moraceae, dioecy evolved into monoecy at least five times (see above; Fig. 3, Fig. S3 and S4). Although a previous study suggested no strong statistical support for a relationship between breeding system and pollination syndrome in Moraceae (Clement and Weiblen 2009), our results reinforce the general pattern that would be consistent with such a relationship: dioecious taxa tend to be

wind-pollinated, whereas monoecious taxa tend to be insect-pollinated. For instance, we infer Artocarpeae as ancestrally dioecious (Fig. 3, Fig. S3 and S4) and Datwyler and Weiblen (2004) reconstructed the clade as ancestrally anemophilous. In our reconstruction, dioecy has evolved to monoecy in *Artocarpus*, which is characterized by inflorescences with an insect pollination syndrome. This relationship may also apply to tribe Dorstenieae as a whole, most species of which are monoecious and were hypothesized to be insect pollinated according to their inflorescence structure (Berg and Hijman 1999). However, the relationship becomes less clear in Castilleae and *Ficus* (Datwyler & Weiblen 2004), partly because all species of *Ficus* are insect-pollinated (Cook and Rasplus 2003), yet only half of them are monoecious. Unfortunately, the current lack of sufficient data on actual pollination modes in Moraceae (outside *Ficus*) precludes us from further testing this potential correlation at the family level.

Although the ancestral state of *Ficus* proved to be particularly difficult to reconstruct in this study due to our exploration of various models and character state configurations, most of the evidence supports monoecy rather than gynodioecy as the ancestral state in the genus. As highlighted in recent studies of floral traits using the same methods (Sauquet *et al.* 2015, 2017), our results suggest that great caution should be exercised when interpreting results from ML analyses exploring a limited set of models. Indeed, the models with highest posterior probability identified through our reversible-jump MCMC analyses corresponded to neither the equal rates or the unequal rates models exlpored with ML. These best-fit models typically involved only one free parameter, but excluded some transitions (Fig. 1). In addition, Bayesian analyses present the advantage of taking into account phylogenetic and branch length uncertainty (Pagel *et al.* 2004). All our Bayesian analyses that took into

account phylogenetic and branch length uncertainty suggested monoecy as ancestral in *Ficus*, whether models were allowed to vary (Table 4) or were fixed (Table S5), except for configuration F. In addition, the model-averaged rates from the rjMCMC analyses of the three configurations where dioecy and gynodioecy were treated as separate states (A, B, F) were estimated to zero, suggesting that direct transitions between the two states do not occur in Moraceae. Therefore, we argue it is more probable that monoecy is ancestral in *Ficus* and represents an intermediate state between dioecy and gynodioecy in the genus (Fig. 3). From a functional point of view, it also seems more likely that the highly specialized pollination association between *Ficus* and fig wasps started in monoecious figs.

Assuming that monoecy is ancestral in *Ficus*, the phylogenetic distribution of monoecy and gynodioecy in the genus and the uncertainty remaining in the ancestral states of several deep nodes allow for various scenarios (Figs. 3, S3-S5). It is possible that gynodioecy evolved only once (after divergence of the *Pharmacosycea* 1 and *Urostigma* 1 lineages), followed by two main reversals to monoecy (*Pharmacosycea* 2 and *Urostigma* 2). Alternatively, gynodioecy may have evolved twice independently (once in *Sycomorus* and once in the ancestral lineage of *Sycidium*, *Ficus* 1, and *Synoecia*). In all scenarios, gynodioecy reverted to monoecy at least three times within *Sycomorus* (Fig. 3). The biological reasons for these fluctuations between monoecy and gynodioecy in *Ficus* remain unclear, but several hypotheses have been proposed. Gynodioecy in *Ficus* may be linked with an adaptation to seasonality (Kjellberg *et al.* 1987); reduction of non-pollinating wasps (Kerdelhué and Rasplus 1996); persistence of pollinator populations when the host fig populations are small (Kameyama *et al.* 1999); seed protection (Greeff and Compton 2002); disperser selection; de-coupling of wasp and seed size; survival from predation;

non-pollinators and predator satiation; and chronic pollinator shortages, crop asynchrony, and inbreeding depression (Harrison and Yamamura 2003). In addition, breeding system evolution in *Ficus* may have a relationship with biogeographic distribution. For instance, there appear to be no gynodioecious species of figs in South America (Cruaud *et al.* 2012). Different climates and habitats in different continents may have accelerated breeding system evolution in *Ficus*. The genus has been inferred to have originated in Eurasia, then migrated and diversified in South America during the Miocene (Cruaud *et al.* 2012). Migration to a new continent may have led to breeding system reversal from gynodioecy to monoecy in the clade *Urostigma* 2 (Fig. 3, Fig. S3 and S4) under the scenario where gynodioecy originated only once in *Ficus*.

# *Dioecy not an evolutionary dead end in Moraceae*

Our results provide additional strong support for dioecy to be ancestral in Moraceae as a whole, regardless of the approach or model used for reconstructing ancestral states (Fig3, Table 3 and 4), consistent with previous work (Weiblen 2000; Datwyler and Weiblen 2004; Clement and Weiblen 2009). Furthermore, our extensive sample of outgroups shows that dioecy in Moraceae is ancestral to a larger clade including at least Cannabaceae, Urticaceae, and Moraceae (Fig. 3).

57 These results add to a growing number of studies that have challenged the notion that dioecy is an irreversible trait in flowering plants. Dioecy was once suggested to be evolutionary dead end (Bull and Charnov 1985). It is found in only approximately 6% of angiosperms and distributed widely in around 43% families of flowering plants (Renner 2014). However, "evolutionary dead end" has been used to describe different patterns of macroevolution (Bromham *et al.* 2015), including: 1) irreversible evolution (Bull and Charnov 1985; Glémin and Muyle 2014); or 2) a state that presents more long-term disadvantages than advantages compared to other states, ultimately leading to probable extinction (Käfer *et al.* 2014; Käfer *et al.* 2017). Dioecy used to be thought as irreversible (Bull and Charnov 1985) and high extinction rates were found on dioecious clades by comparative approach (Heilbuth 2000). However, it has been known for a long time that although dioecious angiosperms are exposed to a higher risk of failure to find a sex partner, they are also advantageous in having obligate cross-fertilization (Darwin 1876). Various studies have shown that dioecious species may include individuals with other breeding systems and that dioecy in general may be more labile than previously thought (Pannell 1997; Korpelainen 1998; Ainsworth 2000; Käfer et al. 2017). These transitions could be linked to ecological processes including changing plant-pollinator relationships and environments (Case *et al.* 2008); long distance dispersal (Schaefer and Renner 2010); hybridization and polyploidy (Obbard *et al.* 2006). In addition, various studies have documented clades where dioecy is the ancestral rather than the derived state, challenging the assumption of irreversibility of dioecy evolution. For instance, dioecy has been reconstructed as ancestral in Myristicaceae, with at least four subsequent shifts to monoecy in the family (Sauquet 2003) and appears to be a transition state between monoecy and polygamy in Arecaceae (Nadot *et al.* 2016). A recent analysis of macroevolutionary dynamics of breeding system in 40 angiosperm genera supported transitions away from dioecy in *Bursera* (Burseraceae) and *Dodonaea* (Sapindaceae; Goldberg *et al.* 2017). Furthermore, two recent angiosperm-wide analyses showed that dioecy does not have a negative relationship with diversity: dioecy was shown to correlate with an increased

diversification rate (Käfer *et al.* 2014) or not to have a significant relationship with the latter (Sabath *et al.* 2016).

#### *Conclusion*

Here we reconstructed divergence times and ancestral breeding systems in Moraceae. With 12 fossil calibrations, the crown group ages of *Ficus* and Moraceae were estimated as 40.6-55.9 Ma (Eocene) and 73.2-84.7 Ma (Late Cretaceous). Dioecy was supported as the ancestral state of Moraceae and we showed that it evolved into monoecy at least five times in the family, and subsequently reversed in some clades (e.g. Artocarpeae, Dorstenieae). In *Ficus*, which represents 75% of species in Moraceae, the ancestral state was estimated to be monoecy with moderate support and at least one transition from monoecy to gynodioecy followed by at least three reversals were estimated. In future work, to investigate the exact breeding system evolutionary scenario of *Ficus*, it would be important to sample basal lineages of *Ficus* more densely and improve phylogenetic resolution of the backbone of the genus. The new time scale of Moraceae and *Ficus* we provide here will also be useful for future analyses of biogeography and co-diversification in the family. Finally, our results lend further support to the growing idea that dioecy does not always represent an evolutionary dead end, shedding light on the understanding of breeding system evolution in angiosperms more generally.

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## **SUPPLEMENTARY INFORMATION**

**Figure S1.** Phylogenetic trees reconstructed by maximum likelihood with different molecular marks or combinations in this study.

Figure S2. Detailed version of the dated phylogenetic tree of 320 species shown in Fig. 2.

**Figure S3.** Ancestral state reconstruction with 320-species dataset by parsimony approach with tip names with all six configurations (see text).

**Figure S4.** Ancestral state reconstruction with 320-species dataset by equal-rate maximum likelihood with configurations A to F (configuration B presented as Fig. 3).

**Figure S5.** Ancestral state reconstruction with only *Ficus* species in the dataset with tip names by different approaches.

**Table S1.** List of GenBank accession numbers for the sequences used in this study.

**Table S2.** detailed information for the fossil calibrations used in this study.

**Table S3**. Extraction of the PROTEUS database including a list of all data records and linked references, lists of characters and character states used in this study, and the matrix in ancestral state reconstruction analyses.

**Table S4.** A list of divergence time estimates for tribes of Moraceae.

**Table S5.** Summary results of ancestral state reconstruction for the complete (320 species) dataset by Bayesian approach with fixed model (equal rate or unequal rate).

# **CHAPTER II. LONG-DISTANCE DISPERSAL SHAPED THE DIVERSITY OF TRIBE DORSTENIEAE (MORACEAE)**

This study was submitted to the preprint server bioRxiv in January 2019, in collaboration with Elliot Gardner, Nyree Zerega and Hervé Sauquet.

## **ABSTRACT**

- **Background and Aims** The Neotropics have the highest terrestrial biodiversity on earth. Investigating the relationships between the floras of the Neotropics and other tropical areas is critical to understanding the origin and evolution of this megadiverse region. Tribe Dorstenieae (Moraceae) has a pantropical distribution and almost equal number of species on both sides of the Atlantic. In this study, we investigate the relationship between the African and Neotropical floras using Dorstenieae (15 genera, 156 species, Moraceae) as a model clade.
- **Methods** We used a targeted enrichment strategy with herbarium samples and a nuclear bait set to assemble a dataset of 102 genes sampled from 83 (53%) species and fifteen genera (100%) of Dorstenieae, and five outgroup species. Phylogenetic relationships were reconstructed with maximum likelihood and coalescent approaches. This phylogeny was dated with a Bayesian relaxed clock model and four fossil calibrations. The biogeographic history of the group was then reconstructed with several dispersal-extinction-cladogenesis models (incl. DEC and  $DEC+J)$ .
- **Key Results** The crown-group ages of Dorstenieae and *Dorstenia* were estimated in the Cretaceous (65.8-79.8 Ma) and the Paleocene (50.8-67.3 Ma), respectively. Tribe Dorstenieae as a whole appears to have originated in the joint area of continental Africa, Madagascar and Asia-Oceania area. The Neotropical species of *Dorstenia* diversified in the Eocene (29.8-44.7 Ma) and formed a clade nested within the African lineages in the genus. *Brosimum* s.l., with a crown-group age at the period of the Oligocene and Miocene (14.9-31.1 Ma), represents another Neotropical clade in Dorstenieae.
- **Conclusions** Tribe Dorstenieae originated in the joint area of continental Africa, Madagascar and Asia-Oceania area in the Cretaceous and then dispersed into Neotropics twice. Neotropical diversification after long-distance dispersal across the Atlantic is the most plausible explanation for the extant distribution pattern of Dorstenieae.

**Key words:** Dorstenieae, *Dorstenia*, exon capture, phylogenomics, molecular dating, Neotropical diversity, long-distance dispersal, founder-event speciation, radiation

## **INTRODUCTION**

The Neotropical ecozone has been defined as the region from central Mexico to southern Brazil (Morrone 2014). The Neotropics hold the highest terrestrial biodiversity on earth (Antonelli and Sanmartín 2011) and harbor all major tropical biomes: lowland rain forests, seasonally dry forests, mid-elevation montane forests, savannas, high elevation grasslands and deserts (Hughes *et al.* 2012). A recent estimate of tree species based on a pantropical tree inventory database suggested that the number of tree species in the Neotropics was as many as in the Indo-Pacific region and almost triple the counterparts in continental Africa (Slik *et al.* 2015). Several hypotheses have been proposed for the origins and evolution of Neotropical biodiversity during the past two decades. These hypotheses can be coarsely classified as biotic (e.g., dispersal ability, niche conservatism) and abiotic (time, climate, mountain uplift, Antonelli & Sanmartín, 2011).

After completely splitting from continental Africa in the Cretaceous (ca. 105 Ma) (McLoughlin 2001), South America was isolated until the uplift of the Panama Isthmus (ca. 15 Ma), which connected North and South America (Montes *et al.* 2012; Bacon *et al.* 2015). Evidence of long-distance dispersals (LDD) among all of these three continents has been found with the development of molecular dating and phylogenetic approaches (Christenhusz and Chase 2013). The relationships between the floras of the Neotropics and of other continents has intrigued researchers, as investigating these relationships not only can shed light on the origin and evolution of Neotropical biodiversity, but also help to understand disjunct distributions and long-distance dispersal. Taxonomically and genetically densely sampled phylogenetic analyses represent an ideal approach to improve the understanding of the origin and evolution of Neotropical diversity (Antonelli and Sanmartín 2011; Hughes *et al.* 2012).

The generic composition of the angiosperm tribe Dorstenieae in the Moraceae family has been under recent scrutiny. Based on taxonomic treatments and recent molecular phylogenetic studies, Dorstenieae are currently thought to consist of fifteen genera (Table 1) and approximately 156 species (Berg et al. 2001; Clement & Weiblen 2009; Zerega et al. 2010; Chung et al. 2017). The most diverse genus in Dorstenieae is *Dorstenia*, which includes 113 species (Berg and Hijman 1999; Mccoy and Massara 2008; dos Santos and Neto 2012; Machado and Marcelo Filho 2012; Chase *et al.* 2013; dos Santos *et al.* 2013; Leal 2014; Machado *et al.* 2014; Rzepecky 2016). The genera in Dorstenieae are restricted to either side of the Atlantic, except *Dorstenia*, which has almost the same number of species in South America and continental Africa (50 in the Neotropics; 62 in continental Africa, Madagascar and Arabian Peninsula; 1 in India and Sri Lanka) (Berg and Hijman 1999). In a recent phylogenetic study of Moraceae (Zhang *et al.* 2019), the relationships among the genera of Dorstenieae (except *Bosqueiopsis* and *Scyphosyce*) were reconstructed. Most of them were strongly supported, but the relationships of *Trilepisium*, *Brosimum* and *Treculia* remained unclear. The most densely sampled phylogenetic study of *Dorstenia* to date sampled 32 species (28%) and found *Dorstenia* to have originated in Africa, with three African species nested inside the Neotropical clade (Misiewicz and Zerega 2012).

Estimating absolute divergence times as accurately as possible is essential to biogeographic reconstruction to connect the evolutionary history of target taxa with past climate change and geographic events (Sauquet 2013). Previous studies have estimated the crown-group age of Dorstenieae to be at least 71 Ma (Zerega *et al.* 2005), 50.6-72.5 Ma (Gardner *et al.* 2017), or 51.4-70.2 Ma (Zhang *et al.* 2019). While these estimates overlap, the crown-group age of *Dorstenia* has remained unclear. The crown-

This study	No. of species sampled in this study /No. of	<b>Distribution</b>	Reference
	species		
Allaeanthus	3/4	D,E,F	(Chung et al. 2017)
Bleekrodea	1/3	D, F	(Rohwer and Berg 1993)
<b>Bosqueiopsis</b>	1/1	$\mathbf C$	(Rohwer and Berg 1993; Berg 2001)
<b>Broussonetia</b>	1/4	$\mathbf{F}$	(Rohwer and Berg 1993; Chung et al. 2017)
<b>Brosimum</b>	10/15	A, B	(Berg 2001)
Dorstenia Fatoua Helianthostylis	73/113 1/2 1/2	A, B, C, D, E D, F A	(Berg and Hijman 1999; Berg 2001; Mccoy and Massara 2008; dos Santos and Neto 2012; Machado and Marcelo Filho 2012; Chase et al. 2013; dos Santos et al. 2013; Leal 2014; Machado et al. 2014; Rzepecky 2016) (Rohwer and Berg 1993) (Rohwer and Berg 1993; Berg 2001)
Malaisia	1/1	F	(Wu et al. 2003; Clement and Weiblen 2009)
Scyphosyce	2/2	C	(Berg 1977; Rohwer and Berg 1993)
Sloetia	1/1	$\boldsymbol{\mathrm{F}}$	(Clement and Weiblen 2009; Tandang et al. 2017)
Treculia	3/3	C, D	(Rohwer and Berg 1993; Zerega et al. 2010)
Trilepisium	1/1	C, D	(Rohwer and Berg 1993; Berg 2001)
Trymatococcus	1/2	A	(Berg 2001)
Utsetela	1/2	$\mathbf C$	(Berg Jongkind 1977; 1995)

Table 1. Classification of Dorstenieae and the number of species for each genus.

Codes for distribution areas were the same as in Figure 1. A, South America; B, Central/North America; C, continental Africa; D, Madagascar; E. India and Sri Lanka; F, Southeast Asia and Oceania.

group age of *Dorstenia* has been estimated using a range of taxonomic sampling density to be 3.5-18.4 Ma (Zerega et al., 2005, with two Neotropical species included), 12.7- 31.7 Ma (Zhang et al., 2018, with two neotropical and one African species included), or 84.8-132.0 Ma (Misiewicz & Zerega, 2012, with 15 neotropical and 14 African species included).

Approximately 10% of the species in Moraceae are herbaceous and all of them belong to Dorstenieae (more specifically all in *Dorstenia* and *Fatoua*; Berg, 2001)*.*  Species of Dorstenieae show diversity in pollination modes, dispersal mechanisms, and habit (Berg 2001). The species of *Dorstenia* are found in a wide variety of habitats (e.g., tropical rain forest, savannas, or crevices of cliffs) and life forms (e.g., tree, shrubs, caulescent, herbaceous; Berg & Hijman, 1999; Berg, 2001; Misiewicz & Zerega, 2012). The pantropical distribution, almost equal diversity on both sides of the Atlantic, and the diverse traits of Dorstenieae make it a good model for understanding the origin and evolution of Neotropical biodiversity and the relationships between African and Neotropical floras.

Genomic targeted enrichment approaches have been shown to be more efficient and economic than Sanger sequencing (Lemmon and Lemmon 2013; McKain *et al.* 2018) and have been widely used in phylogenetic studies in recent years (Xi *et al.* 2014; Fisher *et al.* 2016; Hart *et al.* 2016; Mitchell *et al.* 2017; Couvreur *et al.* 2019). In this study, we targeted nuclear genes as they have been suggested to hold the greatest potential for investigating the evolutionary history of angiosperms for several reasons. Firstly, nuclear genes have worked well in reconstructing more strongly supported phylogenetic relationships than organellar markers in both deep and shallow time scales (Xi *et al.* 2014; Mitchell *et al.* 2017). Secondly, nuclear genes are assumed to be unlinked, decreasing the probability of misleading phylogeny by part of the genes used (Fisher *et al.* 2016). Lastly, nuclear genes present multiple lineage histories, contrary to plastid genes, which are usually considered to represent a single locus.

In this study, we included samples representing all fifteen genera of Dorstenieae (Table 1) to reconstruct a comprehensive dated phylogenetic tree of Dorstenieae with two primary goals: 1) to test phylogenetic relationships and monophyly of Dorstenieae genera, and relationships within *Dorstenia*; and 2) to investigate divergence times and the biogeographic history of tribe Dorstenieae and genus *Dorstenia*. This study also provided an opportunity to test the potential of the targeted enrichment strategy to resolve species-level phylogenetic relationships using herbarium material, taking advantage of a recently developed nuclear bait set for Moraceae (Gardner et al., 2016, Johnson et al., 2016).

## **MATERIALS AND METHODS**

## *Specimen and sample collection*

In this study, we follow the classification of Clement & Weiblen  $(2009)$  with modifications based on Zerega et al. (2010, recommending *Treculia* be transferred to Dorstenieae) and Chung et al. (2017, reinstating *Allaeanthus* in Dorstenieae). This approach recognizes fifteen genera and approximately 153 species in this tribe (Table 1). We included 83 species (93 taxa) representing all currently recognized Dorstenieae genera and 53% of the species within tribe Dorstenieae (Table S1, we also collected samples of 22 more species in Dorstenieae and they were finally excluded in the main analyses, see below). Additionally, five outgroup taxa in Moraceae were included. They represent five of the six other Moraceae tribes: Artocarpeae, Castilleae, Ficeae, Moreae,

and the newly created Parartocarpeae (Zerega and Gardner 2019). Most taxa were extracted from herbarium material sampled from the Field Museum (F), the Missouri Botanical Garden (MO), the New York Botanical Garden (NY), and the Muséum national d'Histoire naturelle (P) (Table S1). Specimens collected within the last twenty years, and reliably identified material with inflorescences or infructescences were preferred. Samples include 55 (49%) species of *Dorstenia*, representing eight out of the nine sections proposed by Berg and Hijman (1999). Section *Bazzemia*, which contains only one species from Mozambique (Berg & Hijman, 1999), was not sampled.

## *DNA extraction and sequencing*

Whole genome DNA was extracted using a modified CTAB method (Doyle & Doyle, 1987). Extracted DNA was re-suspended in 50 μl light TE. The DNA concentration of each sample were measured using Qubit 2.0 fluorometer (Life Technologies, Carlsbad, CA, USA) following the standard protocol. For library preparation, we used 200 ng DNA when possible, but never less than 20 ng. All samples were run on an agarose gel to assess fragment size. Samples with DNA fragment lengths longer than 500 base pairs (bp) were sonicated on a Covaris M220 (Covaris, Wobum, MA, USA) for 45 seconds at 50 W peak power and a duty factor of 20%, which typically produces average fragment sizes of 550 bp. We prepared dual-indexed sequencing libraries using the KAPA Hyper Prep Library Construction Protocol (KAPA biosystems, Wilmington, MA, USA), generally following the manufacturer's protocol except that most steps were performed at one-quarter volume to save costs. Low-input or very degraded samples were not size selected. Libraries were amplified using 12 cycles of PCR, but half of the unamplified template was retained in case PCR needed to be repeated. Products were cleaned using Solid Phase Reversible Immobilization (SPRI) and quantified using a high-sensitivity dsDNA assay on a Qubit 2.0. For samples with a concentration less than 5 μg/ml, we repeated PCR amplification with 14 cycles. Successful libraries were combined into seven pools of 13 to 14 libraries each. We hybridized the libraries to custom Moraceae probes (Gardner et al. 2016) manufacturered by Arbor Biosciences (Ann Arbor, MI, USA) as a MYbaits kit. Hybridization for 20 hours followed the manufacturer's protocol, and products were reamplified 14 cycles of PCR. Amplified products were quantified on a Qubit 2.0, and fragment sizes were determined using a High-Sensitivity DNA assay on a BioAnalyzer 2100 (Agilent Technologies, Palo Alto, Califonia, USA). When required, adapter dimer was removed using 0.7x SPRI beads. All libraries were then sequenced on a single lane of an Illumina HiSeq 2000 (2 × 100 bp, paired-end) by Genewiz (Genewiz, South Plainfield, NJ, USA).

To the 96 libraries prepared for this study, we added sequences from 14 samples prepared for other projects, including *Brosimum* (10)*, Trymatoccocus oligandrus*, *Helianthostylis sprucei*, *Allaeanthus luzonicus*, and *Malaisia scandens*, as well as five samples (*Artocarpus heterophyllus, Milicia excelsa, Parartocarpus venenosus, Ficus macrophylla*, and *Antiaropsis decipiens*) from Johnson et al. (2016) and Zerega and Gardner (Zerega and Gardner 2019). These 20 samples were all sequenced on an Illumina MiSeq with somewhat longer reads (2x300bp, v3). Finally, we used transcriptomic reads for *Broussonetia papyrifera* obtained from GenBank.

## *Sequence cleaning, assembly, and filtering*

Sequences were assembled using HybPiper (Johnson *et al.* 2016), which uses

reference sequences to guide local *de novo* assemblies of each target gene. Because Dorstenieae are phylogenetically distant from the baits sequences, which come from Artocarpeae and Moreae (Gardner et al. 2016), a new HybPiper reference was generated using six samples sequenced here, each with at least eight million read pairs (*Dorstenia bahiensis*, *D. cayapia*, *D. erythrandra*, *D. kameruniana*, *Treculia africana*, and *Fatoua villosa*). Reads were trimmed using Trimmomatic (Bolger et al., 2014) (LEADING:20 TRAILING:20 SLIDINGWINDOW:4:20 MINLEN:20) and assembled with SPAdes 3.10.1 (Bankevich *et al.* 2012) using default parameters. Coding sequences were predicted with Augustus (Stanke *et al.* 2004), using *Arabidopsis thaliana* genes as a reference. A seven-way orthology search was carried out with ProteinOrtho5 (Lechner *et al.* 2011) using all CDS over 200 bp from the *de novo*  assemblies in addition to the *Artocarpus* HybPiper (Johnson *et al.* 2016) reference from Kates et al. (Kates *et al.* 2018). Orthologs present in at least three taxa were included in a new seven-taxon HybPiper reference consisting of in-frame CDS. This expanded reference contained approximately 500 genes.

Assembly of all reads then proceeded as follows. We first trimmed low quality bases and adapter sequences using Trimmomatic (Bolger et al., 2014) with the following parameters: ILLUMINACLIP:TruSeq3-PE-2.fa:2:30:10 LEADING:20 TRAILING:20 SLIDINGWINDOW:4:20 MINLEN:40. To ensure that quality trimming worked as expected, we examined a subset of reads were both before and after trimming with FastQC (https://www.bioinformatics.babraham.ac.uk/ projects/fastqc/). Reference-guided assembly then proceeded with HybPiper (Johnson *et al.* 2016), using the new reference described above. Briefly, the program works as follows: reads are sorted by gene based on the reference. Local *de novo* assemblies are carried out using SPAdes (Bankevich *et al.* 2012), and coding DNA sequences (CDS) are predicted using Exonerate (Slater and Birney 2005). When a gene is assembled into several disconnected contigs—common in degraded samples where the fragments (and therefore effective read length) are very short—HybPiper scaffolds these short contigs in the correct order based on the reference. In the event that multiple genes are assembled for a single target, HybPiper distinguishes orthologs from paralogs using a combination of alignment length and identity relative to the reference. HybPiper outputs include in-frame CDS sequences as well as "supercontigs," which contain CDS as well as any flanking non-coding sequences. For our analyses, we used only the supercontig sequences. We then filtered the sequences within loci to remove those less than 150 bp long or shorter 25% of the average length for the locus.

After filtering, the number of genes recovered for each taxon varied widely among taxa (from zero for *D. scaphigera* and 515 for *Ficus macrophylla*) and the number of taxa retrieved for each gene differed sharply among genes (from two to 101). These extreme differences would result in dataset with a high proportion of missing data, which could impact the accuracy of both phylogenetic reconstruction and divergence time estimate. Therefore, we filtered the dataset further by selecting 102 genes with high taxon occupancy and excluding taxa for which less than 30 of these genes were recovered (Table S1).

## *Phylogenomic reconstruction and molecular dating*

Sequences were aligned with MACSE (Ranwez *et al.* 2011), gene by gene (-fs 30 -stop 50). We reconstructed phylogenetic relationships using both a concatenated maximum likelihood (ML) and a coalescent approach. *Milicia exceisa* and *Artocarpus venenosus* were specified as the most external outgroups based on recent phylogenetic

analyses of Moraceae (Clement and Weiblen 2009; Zerega *et al.* 2010; Chung *et al.* 2017; Gardner *et al.* 2017; Zhang *et al.* 2019). Sequences were concatenated into a supermatrix and partitioned according to genes using the fasta\_merge.py script from HybPiper; a ML tree was reconstructed with RAxML v8.2.10 (Stamatakis 2014) as implemented on CIPRES (Miller *et al.* 2010) 1000 rapid bootstrap replicates. GTRGAMMA was chosen as the substitution model. For the coalescent approach, we first reconstructed ML trees with RAxML v8.2.10 for each gene, using with GTRGAMMA model and 200 rapid bootstrap replicates. These ML trees for each gene were used to infer a species tree using the summary coalescent approach implemented in ASTRAL-II (Mirarab and Warnow 2015). Node support was calculated based on 200 bootstrap replicates, with resampling within loci.

Because the topologies of the ML and species trees were broadly consistent, we used the ML tree to estimate divergence times with a relaxed clock model and four fossil calibrations from Zhang et al. (2018). The root age (crown-group node of Moraceae) was constrained between 73 to 85 Ma, based on the results of Zhang et al. (2019) who used a more comprehensive sample of species within and outside Moraceae along with 12 fossil age constraints. The fossil wood of *Artocarpoxylon deccanensis* Mehrotra, Prakash, and Bande (at least 64.0 Ma) (Mehrotra *et al.* 1984) was used to calibrate the split between *Artocarpus* and *Milicia* as we used *Artocarpus heterophyllus* and *Milicia excelsa* to represent Artocarpeae and Moreae, respectively. The fossil fruits *Morus tymensis* Dorofeev (at least 33.9 Ma) (Collinson 1989) used to calibrate Moreae in Zhang et al. (2018) would here provide an additional, but younger (and hence uninformative) minimum age constraint on the stem node of *Milicia*. The fossil endocarps of *Broussonetia rugosa* Chandler (Chandler 1961) were used to constrain the crown group of *Broussonetia* s.l. (incl. *Allaeanthus*, previously included in *Broussonetia*, and *Malaisia*; Chung et al., 2017) to a minimum age of 33.9 Ma*.* The fossil achenes of *Ficus* (*F. lucidus* Chandler) (Chandler 1962) were used to calibrate the stem group of *Ficus* with a minimum age of 56.0 Ma. Thus, we used one secondary calibration (root) and three fossil age constraints in our analyses.

Both penalized likelihood (PL) and Bayesian relaxed clock approaches were used to estimate divergence times in Dorstenieae. PL was implemented in r8s v1.7 (Sanderson, 2003) with strict minimum and maximum age constraints as described above. For the PL approach, the best smoothing value was first determined using cross validation by testing 21 values of smoothing parameter scaling from 0.1 to 1000. The optimum value (i.e., with lowest chi-square) of 1.6 was then used as the smoothing parameter in divergence time estimation.

For the Bayesian approach, we used MCMCTree as implemented in the PAML v4.9 package (Yang 2007). MCMCTree has been used to estimate divergence times with other similar phylogenomic datasets (e.g., Foster et al., 2017), where other programs such as BEAST2 (Bouckaert *et al.* 2014) would take too long to converge. The tree topology was fixed, using the best-scoring tree from the RAxML analysis, and all calibrations were implemented as uniform priors with soft boundaries (2.5% on both sides) (Ho and Phillips 2009). We set the minimum age of the fossil and as the minimum boundary and the younger bound of the root (73 Ma) as the maximum boundary of the uniform distribution for each fossil calibration. The birth-death process was used as the tree prior. For the MCMCTree analysis, we used the supermatrix, analyzed as a single partition under the GTR substitution model.

We first ran baseml in PAML v4.9 with a strict clock model to estimate the rough mean of parameters such as the shape parameter for the overall rate and the transition/transversion rate ratio. Two steps are needed for divergence time estimation by approximate likelihood in MCMCTree. We first estimated the gradient and Hessian, and then used them to estimate the divergence times. We set the prior in both steps according to the estimates of baseml. In both steps, we ran the process for 38.5 million generations, with the first 10% of the chain length discarded as burnin, sampling a total of 10,000 generations at a frequency of once every 3,500 generations. Two independent runs with the same settings were conducted to confirm the convergence of the MCMC. To check the influence of the prior on the estimation, we used another prior setting (program defaults), followed the same steps as above, then ran the chain for 22 million generations, sampling a total of 10,000 generations at a frequency of once every 2,000 generations. After checking the convergence of the runs, we combined the results of them for each prior setting. Two additional independent runs with the two different prior settings, but without data, were also conducted to test the impact of the priors on the results. The convergence of Markov chain Monte Carlo (MCMC) was checked by reading the result log files in Tracer v1.7 (Rambaut *et al.* 2018). For the Bayesian approach, divergence was checked by confirming that the effective sample size (ESS) of parameters in the two independent runs was over 100 after removing the first 10% of chain length as burnin.

## *Reconstruction of biogeographic history*

Distribution data for the taxa sampled were collected from monographs and revisions (Rohwer and Berg 1993; Berg and Hijman 1999; Berg 2001; Chung *et al.* 2017). We separated the distribution area of extant species of Dorstenieae into six areas (Fig. 1) following a recent study of Annonaceae, which share a similar global distribution (Couvreur *et al.* 2011): A, South America; B, North/Central America; C, Africa; D, Madagascar; E, India and Sri Lanka; F, Southeast Asia and Oceania. We decided to combine the two areas west and east of Wallace's line (areas F and G of Couvreur et al., 2011) into a single area (area F) because all species of Dorstenieae distributed east of Wallace's line were also observed west of Wallace's line in our dataset (e.g., *Allaeanthus luzonicus*, *Fatoua villosa*).

Only Dorstenieae (93 taxa, 83 species) were kept in the biogeographic reconstruction to avoid the bias of incompletely sampled outgroups. BioGeoBEARS (Matzke 2013) in R v3.5.1 (R Core Team, 2018) was used to reconstruct the biogeographic history of the clade with the DEC and DEC+J models. Prior studies have suggested that the DEC+J model can exacerbate the bias of preferring cladogenetic events (i.e., sympatry, vicariance and founder event speciation) over anagenetic processes in the DEC model, implying that the higher likelihoods typically obtained with DEC+J do not necessarily mean a better fit to the data than DEC (Ree and Sanmartín 2018). Therefore, we employed both the DEC and the DEC+J models. In addition, we ran the analyses with the DIVA-like and BAYAREA-like models including or not including founder-event speciation (+J). All analyses were run both with a simple dispersal matrix and a time-stratified model, hereafter referred to as model 0 and model 1, respectively. Both models were inspired by Couvreur et al. (2011) and are constrained by unequal dispersal relative rates aimed at reflecting the connectivity of biogeographic areas (Fig. 1). In the time-stratified model, the constraints on dispersal probabilities vary through five time periods (0-5, 5-30, 30-45, 45-65, and 65-75 Ma) according to physical distance between areas (Fig. 1). For instance, the dispersal rates from continental Africa (C) and Madagascar (D) to India and Sri Lanka (E) were constrained to be very low during the rafting of the Indian plate 30-65 Ma. Because all sampled species of Dorstenieae currently occupy no more than two areas, the maximum number



#### model 0: time-constant



#### model 1: time-stratified



Figure 1. Delimitation of distribution areas of Dorstenieae and relative dispersal matrices for the time-constant (model 0) and time-stratified (model 1) models. A, South America; B, North/Central America; C, Africa; D, Madagascar; E, India and Sri Lanka; F, Southeast Asia, and Oceania. Five levels of dispersal probability, 0.01, 0.25, 0.5, 0.75 and 1, representing the probability from low to high.

of co-occurrence areas was set as three in all analyses.

## **RESULTS**

## *Sequencing*

Sequencing and assembly statistics appear in Table S1. Enrichment ranged from 0.34% to 84% reads on target, with the enrichment found in Artocarpeae and Moreae (58% and 84% on target, respectively) and the least efficient found in the genus *Dorstenia* (0.34% on target). The final dataset consisted of 98 taxa (89 species, spanning all of the currently recognized genera) and 102 genes. The width of the aligned supermatrix was 132,753 bp with 29.51% gaps and missing data.

## *Phylogenetic relationships*

The maximum likelihood (ML) and coalescent analyses produced broadly identical topologies, with some weakly supported differences at shallow phylogenetic depths (Fig. 2, Figure S1a,b). Most of the nodes on the tree were strongly supported (over 90% bootstrap support , Fig. 2). *Fatoua villosa* was found to be sister to the remaining of Dorstenieae. *Malaisia scandens* and *Broussonetia papyrifera* together formed a clade that is sister to *Allaeanthus*. All genera of Dorstenieae sampled more than once were found to be monophyletic with two notable exceptions. *Trymatococcus oligandrus* and *Helianthostylis sprucei* appear to be nested in *Brosimum*, and *Scyphosyce* (two species) and *Utsetela gabonensis* were found to be nested among early-diverging lineages of *Dorstenia*. Hereafter we refer to the clade of *Brosimum*, *Trymatococcus* and *Helianthostylis* as *Brosimum* s.l., and to the clade of *Dorstenia*, *Scyphosyce* and *Utsetela* as *Dorstenia* s.l. The Neotropical species of *Dorstenia* formed a clade well nested among African lineages. *Dorstenia elliptica* (from Central Africa) appears to be sister to this Neotropical *Dorstenia* clade. The Central/North American species of *Dorstenia* formed two clades nested among the South American lineages with strong support as well. Internal branch lengths were comparatively shorter in Neotropical *Dorstenia* and most of the differences between the ML and coalescent approach concentrated in this clade. Short branches were also observed in *Brosimum* s.l., the other Neotropical clade in Dorstenieae, but in this case both reconstruction approaches showed identical topologies. Six species had duplicated samples in this study, four of them were sister or close to the other samples of the same species, while sample *D. brasiliensis*-1 and *D. arifolia* were not (but still lie in the Neotropical clade). Although in similar positions on the phylogenetic trees reconstructed by two approaches, the support for the splits of these two species were low in the coalescent tree (bootstrap support less than 50%) but high in the maximum likelihood tree (bootstrap support over 90%). Several nodes were comparatively weakly supported in *Dorstenia* (Fig. 2). Our samples represented eight out of nine recognized sections in *Dorstenia*. None of them was found to be monophyletic in our analyses (Figure S1). All of the Neotropical species of Dorstenieae outside of *Dorstenia* also formed a clade (i.e., *Brosimum* s.l*.*, incl. *Trymatococcus* and *Helianthostylis*).



**Figure 2.** Maximum Likelihood phylogenomic tree (a) and ASTRAL tree (b) of Dorstenieae. Fossil-calibrated nodes are marked as red stars. Nodes with bootstrap support value (less than 90% are indicated with an asterisk. Tip names are colored by general distribution area.

#### *Divergence times of Dorstenieae and* Dorstenia

The crown-group age of tribe Dorstenieae was estimated in the Cretaceous (65.8- 79.8 Ma) and that of *Dorstenia* in the Paleocene (50.8-67.3 Ma) (Table 2, Figure S2). The stem and crown-group ages of the Neotropical *Dorstenia* clade were dated in the Eocene to early Oligocene (34.6-51.8 Ma and 29.8-44.7 Ma, respectively). *Brosimum* s.l., the other Neotropical clade in Dorstenieae, was estimated to date from the late Eocene to early Miocene (stem node: 19.6-41.5 Ma; crown node: 14.9-31.1 Ma). Runs with the prior only showed different results to those with data, indicating the data had a significant impact on the posterior (results not shown). Results of the two different prior settings were similar to each other (Table 2, Figure S2a,b). Divergence times estimated with the PL approach were all compatible with those from the Bayesian approach (i.e., falling within the 95% credibility intervals) except the age of crowngroup *Broussonetia* s.l., which was significantly older with PL (Table 2, Figure S2c).

Node	r8s	<b>MCMCTree set1 (Ma)</b>	<b>MCMCTree set2 (Ma)</b>
	(Ma)		
<b>SG</b> Dorstenieae	77.3	68.7-82.1	68.9-82.1
CG Dorstenieae	75.4	65.2-79.8	65.8-79.8
CG Treculia	35.3	10.9-32.7	11.2-32.4
SG <i>Brosimum</i> s.l.	40.7	19.4-42.9	19.6-41.5
CG <i>Brosimum</i> s.l.	27.9	14.7-31.7	14.9-31.1
SG <i>Broussonetia</i> s.l.	70.4	60.2-76.4	61.1-76.2
CG Broussonetia s.l.	52	30.5-41.8	30.2-40.7
CG Scyphosyce	34.7	10.8-31.5	10.7-30.9
<b>SG</b> Dorstenia	61.9	51.2-68.5	52.3-68.7
CG Dorstenia	61.3	49.8-67.0	50.8-67.3
SG Dorstenia Neo	43.4	34.3-52.1	34.6-51.8
CG Dorstenia Neo	33.4	29.7-44.7	29.8-44.7

Table 2. Divergence time estimates with penalized likelihood (PL with r8s) and Bayesian (MCMCTree) approaches for key nodes of Dorstenieae.



CG: crown group; SG: stem group

MCMCTree set1: results of estimate in MCMCTree with the prior setting referring to the results of baseml

MCMCTree set2: results of estimate with the default prior setting in MCMCTree

*Brosimum* s.l. includes *Brosimum*, *Trymatococus* and *Helianthostylis*

*Broussonetia* s.l. includes *Broussonetia*, *Malaisia*, and *Allaeanthus*

*Dorstenia* Neo: Neotropical *Dorstenia* species

*Dorstenia* MAm Clade1: *Dorstenia* species distributed in Central and North America, from *D. erythrandra* to *D. caimitensis* in Figure 3

*Dorstenia* MAm Clade2: *Dorstenia* species distributed in Central and North America, from *D. excentrica* to *D. contrajerva* in Figure 3

#### *Biogeographic history of Dorstenieae*

We analyzed the dataset with both the dispersal-extinction-cladogenesis (DEC) and DEC+J (DEC with founder-event speciation) models. The time-stratified model fit the data significantly better than the time-constant model in all analyses conducted (Table S2), therefore we focus mainly on the results from the time-stratified analyses here, unless otherwise mentioned. In addition, the DEC+J model had a lower value of Akaike information criterion (AIC) than that of DEC (Table S2). The ancestral distribution area of Dorstenieae was estimated to be the combined area of continental Africa, Madagascar, and Southeast Asia and Oceania (CDF) with both the DEC and DEC+J models (Fig. 3, Fig. S3c). The ancestral area for both the stem and crown-group nodes of *Dorstenia* s.l. (incl. *Scyphosyce* and *Utsetela*) were estimated in continental Africa (C) with both models. South America (A) was found as the ancestral area of both the stem and crown-group nodes of the Neotropical *Dorstenia* clade with the DEC+J model



**Figure 3.** Biogeographic history reconstruction of Dorstenieae based on the time-stratified DEC+J model. Inferred ancestral distribution areas prior to speciation are indicated on the nodes. Pie charts for selected nodes represent the relative probability (proportional likelihoods) of alternative ancestral areas (for full details, see Figure S3d).

(Fig. 3), while the DEC model reconstructed the combined area of South America and continental Africa (AC) as ancestral for the stem-group node of this clade (Figure S3c). *Dorstenia indica*, endemic to India and Sri Lanka, was found to be nested in an African clade and diverged from its sister group in the Eocene to Oligocene (26.2-46.7 Ma). The Central/North American *Dorstenia* species clustered into two clades with strong support (Fig. 2), suggesting two independent colonizations from South America to Central/North America during the Oligocene to Miocene (Table 2). The ancestral states of the crown-group nodes of both Central/North American clades were estimated as Central/North American. The stem-group nodes of clade 1 (from *D. erythrandra* to *D. caimitensis*) and clade 2 (from *D. excentrica* to *D. contrajerva*) were estimated to be Central/North American and South American, respectively (Fig. 3). The stem and crown-group nodes of *Brosimum* s.l. were estimated in the joint area of South America and continental Africa (AC), and in South America (A), respectively, with both the DEC and DEC+J models.

The additional biogeographic models produced similar results to those of the DEC and DEC+J models, with a few exceptions (Figure S3, Table S3). Reconstruction with time-constant models showed similar results as with time-stratified models. The ancestral distribution area for the crown-group node of Dorstenieae was estimated to be Southeast Asia and Oceania (F) by all the models based on the DIVA-like model (Figure S3i-l). In the time-stratified BayArea-like models, with or without founderevent speciation, the ancestral area of the crown-group node of Dorstenieae was estimated as the joint area of continental Africa and Southeast Asia and Oceania (CF), or as Southeast Asia and Oceania (F), respectively. The same pattern was found in reconstructions with time-constant BayArea-like models (Figure S3e-h). The stem and crown-group nodes of *Dorstenia* were estimated in continental Africa (C) in all the

models. The crown-group node of Neotropical *Dorstenia* was estimated in South America (A) in all analyses, while the stem-group node was different among models. All the BayArea-like models estimated this node in continental Africa (C) (Figure S3eh). The time-stratified DIVA-like models estimated the joint area of South America and continental Africa (AC), or South America (A) alone as the ancestral area of this node, with or without founder-event speciation respectively. The same results were found in time-constant DIVA-like models (Figure S3i-l). Lastly, we also ran all biogeographic analyses with the chronograms reconstructed with the penalized likelihood approach. The results were similar with some exceptions (results not shown). For instance, Southeast Asia and Oceania (F) was estimated as the ancestral area of the crown-group node of Dorstenieae with the time-stratified DEC+J model. The stem-group node of Neotropical *Dorstenia* was estimated as continental Africa (C) by the same model.

#### **DISCUSSION**

#### *Success of the targeted enrichment strategy with herbarium specimens*

Most (99%) of the samples in this study were from herbarium specimens (Table S1). Some of them were collected more than 40 years ago and the amount of sample collections from the herbarium was typically limited (around 3 to 20 mg) due to destructive sampling policies. Three samples (*Dorstenia aristeguietae*, *D. choconiana*, and *D. prorepens*) were filtered by HybPiper because of the low matching of reads to the reference. We excluded another fifteen taxa for the low number of genes recovered (less than 30, Table S1). The lowest amount of DNA used among the final 98 taxa retained in our dataset was 25.1 ng (*Dorstenia brasiliensis*). Samples that were

excluded ranged from being over 100 years old to 11 years old, while samples that were included were collected as long ago as 1923. These results suggest that the degradation of DNA in old herbarium specimens appears to have had little influence on this study. This may be because of the short DNA fragments (on average less than 500 bp long) needed in the library preparation, those longer than this size requiring sonication. Thus, our results suggest that the targeted enrichment strategy and HybPiper pipeline (Johnson *et al.* 2016) worked well with a broad range of ages of herbarium specimens. Sequencing herbarium samples is a valuable approach for phylogenetics, as many species can be difficult to collect. Herbarium specimens often represent reliable and accurate vouchers of species identification, and some species may be rare or have even gone extinct in the wild (Särkinen *et al.* 2012; Staats *et al.* 2013). The success of similar targeted enrichment strategies with historical specimens for phylogenetic studies has previously been highlighted in other lineages of angiosperms at various scales, including *Arabidopsis thaliana* (Brassicaceae,Staats et al., 2013), *Inga* (Fabaceae, Hart et al., 2016), and Annonaceae.

Our results also suggest that the baits, which were originally designed for tribes Artocarpeae and Moreae in the same family (Gardner *et al.* 2016), worked well in Dorstenieae. In addition to the phylogenetic markers developed from 333 inferred single-copy exons for Moraceae, we retrieved approximately another 200 genes in this study for Dorstenieae. Those assembled untargeted genes had a mean identity to at least one target gene of 84%, and a mean alignment length to at least one target gene of 87% (as a percentage of the untargeted genes). These Moraceae specific baits worked well throughout the entire Moraceae family (Zerega and Gardner 2019). Five untargeted genes were included in our final 102-gene dataset. The most genes retrieved for one taxon was 515 from the outgroup sample *Ficus macrophylla*, suggesting a high probability that these baits would also work well to explore relationships within Ficeae (*Ficus*), the largest tribe in Moraceae (Couvreur *et al.* 2019).

#### *Phylogenetic relationships in Dorstenieae and* Dorstenia

With all the extant genera of Dorstenieae included, this is the most densely sampled phylogenetic study of Dorstenieae to date. The reconstructed relationships (Fig. 2) are generally consistent with previous work (Zerega *et al.* 2005; Clement and Weiblen 2009; Misiewicz and Zerega 2012; Chung *et al.* 2017; Zhang *et al.* 2019), but with stronger support, especially in *Brosimum* s.l. and *Dorstenia* s.l..

Prior to this study, the most densely sampled molecular phylogenetic analysis of *Dorstenia* was provided by Misiewicz and Zerega (2012), based on ITS sequences of 35 taxa (32 species) of *Dorstenia* and seven outgroup species. Our results are similar to those of Misiewicz and Zerega (2012) with respect to shallow-level relationships. However, they differ markedly at a deeper level in that the authors had found three African species (*D. variifolia*, *D. tayloriana* var. *tayloriana*, and *D. cuspidata*) to be nested in the Neotropical clade of *Dorstenia*. In our analyses, *D. variifolia* and *D. tayloriana* were also sampled and they were sister species as in Misiewicz & Zerega (2012), but we found these species nested in African clades with strong support. The difference may be explained by the root setting methods, the variation in each study of both number of genes and density of taxon sampling for both *Dorstenia* species as well as of non-*Dorstenia* species within the tribe Dorstenieae. Most differences between the ML and coalescent trees reconstructed in this study concentrated in the Neotropical *Dorstenia* clade (Fig. 2). This result and the short branches observed in this clade suggest incomplete lineage sorting in the diversification of Neotropical *Dorstenia*

species (Pamilo and Nei 1988).

#### *Divergence times of Dorstenieae and its genera*

Runs with or without data showed different results suggesting the data were informative. Runs with different prior settings showed similar estimates, suggesting that our results are robust to various assumptions on rate variation. The crown-group age of Dorstenieae was estimated in the Upper Cretaceous (65.8-79.8 Ma; Table 2), which overlaps with former studies that used fewer genes (Zerega *et al.* 2005; Gardner *et al.* 2017; Zhang *et al.* 2019). The crown-group age of *Dorstenia* was estimated in the Paleocene (50.8-67.3 Ma), which is younger than in Misiewicz and Zerega (2012). Although the estimated stem-group node of Neotropical *Dorstenia* clade in our study was younger than that in Misiewicz and Zerega (2012), the crown-group node of the same clade fell within a similar range in both studies. The difference in the stem-group node may be caused by different topologies and number of genes used to estimate the divergence time. The crown-group age of *Brosimum* s.l. and *Broussonetia* s.l. were estimated in the period from Oligocene to Miocene and the Eocene (14.9-31.1 Ma and 30.2-40.7 Ma, respectively), during which time the whole earth cooled down from Mid-Eocene Climatic Optimum and was warmer than the current climate (Zachos *et al.* 2008).

## *Biogeographic history of Dorstenieae*

Our results suggest that the most recent common ancestor of Dorstenieae was widely distributed in the joint area of continental Africa, Madagascar and Southeast Asia and Oceania (CDF, Fig. 3, S3) in the Cretaceous (65.8-79.8 Ma), during which time these three areas were already separated from one another (PALEOMAP project, http://www.scotese.com/). Subsequently, at least two dispersals to South America (*Brosimum* s.l. and Neotropical *Dorstenia*) occurred during the evolutionary history of Dorstenieae. In our analyses, the stem-group ages of Dorstenieae (68.9-82.1 Ma), *Brosimum* s.l. (19.6-41.5 Ma) and Neotropical *Dorstenia* (34.6-51.8 Ma) were all estimated to be younger than the separation of South America and continental Africa at ca. 105 Ma (McLoughlin, 2001), suggesting that vicariance caused by Gondwanan breakup is unlikely to have played a role in the diversification of the two Neotropical clades. Long-distance dispersal, which is an indispensable process in Neotropical flora assembly (Hughes *et al.* 2012) may instead explain the origin of the two Neotropical clades in Dorstenieae.

The dispersal (range expansion) at the origin of the Neotropical clade of *Dorstenia* is inferred to have occurred from the Paleocene to early Eocene (time period between the stem and crown-group nodes of Node I, 42.1-62.1 Ma, Fig. 3, S2, S3c), during which time angiosperms were already significantly more diverse in the Neotropics than in earlier time periods, according to palynological evidence (Jaramillo *et al.* 2006). During this period, global temperature increased, leading to the middle Eocene climatic optimum (Zachos *et al.* 2008). The increasing temperature has been suggested as one of the factors for the extension of the Neotropical region in the Eocene (Hughes *et al.* 2012). A larger Neotropical area at that time would have increased the probability of the successful colonization of species from Africa to a suitable habitat in the Neotropics. Curiously, in these reconstructions, *D. elliptica* (an African species sister to the Neotropical *Dorstenia* clade) was inferred to be the result of a back dispersal from South America to continental Africa (Fig. 3, S3c). Whether this somewhat unexpected
result is plausible or an example of pathological behavior of DEC models (Ree and Sanmartín 2018) will require further investigation.

Two independent dispersals from South America to Central/North America in *Dorstenia* were estimated from the Oligocene to Miocene (period from Node II to stemgroup node of Clade 1, 16.7-30.6 Ma and period from stem to crown group of Clade 2, 12.0-34.7 Ma), during which time waves of dispersal from South to North America have been found in other lineages (Bacon *et al.* 2015). This result supports the dispersal of plants from South America to Central/North America in the Neogene (Bagley and Johnson 2014). The branch length or time between the stem and crown group of Neotropical *Dorstenia* was very short (less than 10 Ma, Table 2), suggesting the rapid divergence of the clade. The branch length between the stem and crown group of the other Neotropical Dorstenieae lineage (*Brosimum* s.l.) was also short (ca. 12 Ma, Table 2). Therefore, LDD followed by rapid diversification would explain the extant distribution pattern of Neotropical Dorstenieae species. A similar pathway was found in the pantropically distributed tribe Annoneae (Annonaceae) (Thomas *et al.* 2017; Williams *et al.* 2017).

A diversity of seed dispersal modes has been reported in Dorstenieae, including autochory by expulsion or ejection of endocarp in *Dorstenia*, *Bleekrodea*, *Fatoua*, and zoochory in *Brosimum lactescens* and *Trymatococcus amazonicus* (Berg, 2001). *Dorstenia* was suggested to be poorly adapted for LDD (Berg and Hijman 1999). In addition, small *Dorstenia* seeds of forest undergrowth species often germinate shortly after maturity, further reducing the chances of LDD (Berg 2001). Low probability of LDD may explain the single origin of Neotropical *Dorstenia* and the monophyly of the two Central/North America clades. Furthermore, our results suggest a comparatively faster succession of speciation events following establishment of *Dorstenia* in the Neotropics, suggesting rapid speciation after LDD has been an important process in shaping the origin of Neotropical diversity.

Ree and Sanmartín (2018) recently raised methodological concerns with models including both anagenetic and cladogenetic processes, especially the DEC and DEC+J models. In the main analyses presented here, we focused on the results of DEC-based models (i.e., DEC m0, DEC m1, DEC+J m0, DEC+J m1). We also did reconstructions with DIVA-like and BayArea-like based models. The difference among DEC, DIVAlike and BayArea-like models lie mainly in the cladogenetic process they assume: DEC and DIVA models explore both sympatric speciation and vicariance processes while BayArea only explores sympatric speciation (Nicholas Joseph Matzke 2013). We compared the models with the Akaike information criterion (AIC). Whether or not founder-event speciation was allowed, led the BayArea model to rank from the best to the worst model (Table S2) in both time-stratified and time-constant analyses. AIC has been argued not to be a good criterion for models including both cladogenetic and anagenetic events due to a bias of the likelihood to favor time-independent cladogenetic processes, a problem exacerbated with the introduction of founder event-speciation in the model (Ree and Sanmartín 2018). Zero-estimate for dispersal and strong counterintuitive unparsimonious reconstruction may be signals for this bias. These two phenomena were not observed in our reconstruction (Table S2, Figure S3). We did not rely on model selection in this study. Instead, we emphasize that all of the models we used (incl. DEC, DIVA-like and BayArea-like based models) led to very similar reconstructions (Fig. 3, Fig. S3).

#### *Taxonomic implications*

Some of the currently recognized genera of Dorstenieae and all sections of *Dorstenia* may need to be modified based on the results from our analyses. Using 102 genes (132,753 bp), we obtained the same relationships among *Malaisia*, *Broussonetia* and *Allaeanthus* as a previous study based on one chloroplast and one nuclear gene (Chung *et al.* 2017), providing additional support for the recognition of *Allaeanthus* as a separate genus.

Both *Scyphosyce* and *Utsetela* were found to be nested in *Dorstenia*. *Scyphosyce* is a genus of two species from western Africa (Berg 1977)*.* We sampled both species of *Scyphosyce* in our analyses and found them sister to each other, suggesting their placement within *Dorstenia* was unlikely to be caused by misidentification. The basal grade of the *Dorstenia* s.l. clade was formed by species of sections *Nothodorstenia* and *Xylodorstenia* of the genus *Dorstenia* and by the genera *Scyphosyce* and *Utsetela,* all of which share woody habit and larger seeds, which are referred to by Berg and Hijman (1999) as macrosperms. This basal grade shares other characteristics as well. The inflorescences of most Dorstenieae genera are bisexual (some species of *Broussonetia, Allaeanthus*, and *Fatoua* have unisexual inflorescences). The macrospermous species commonly have only one to a few pistillate flowers per inflorescence which produce one to few large seeds per infructescence). The remaining species of *Dorstenia* are herbaceous and have several to numerous pistillate flowers per inflorescence which can produce numerous smaller seeds (Berg and Hijman 1999). *Utsetela* is a genus of two species from western Africa (Berg 1977; Jongkind 1995). Only one species of *Utsetela* was sampled in this study. Considering the comparatively long branches of *U. gabonensis*, *D. alta*, and *D. mannii*, this relationship could be caused by a long-branch attraction artefact. To test this, we excluded the three species of *Dorstenia* which clustered with *U. gabonensis* (*D. alta*, and *D. mannii* and *D. turbinata*) and reran the phylogenetic analyses with both methods. *Utsetela gabonensis* was still in the same position (nested in *Dorstenia*) after excluding these three species (results not shown). Sampling the other species, *U. neglecta* (Jongkind, 1995), would be necessary to confirm this relationship and draw any taxonomic conclusions*.* Some differences among this basal grade of taxa include: tepals of individual flowers in the inflorescences of *Dorstenia* are connate, while they are free in *Scyphosyce* and *Utsetela* (Berg 1977). While all *Scyphosyce*, *Utsetela* and *Dorstenia* have drupe(let) of fruit, their receptacles are different in shape and the filaments are far more elongated in *Utsetela* then in other two genera (Berg 1977; Berg and Hijman 1999). Despite some differences, similarities in woody habit, fruit type, macrospermy, and the phylogenetic reconstruction presented here suggest that merging *Scyphosyce* and *Utsetela* into *Dorstenia* may be a reasonable taxonomic outcome to preserve the monophyly of *Dorstenia*. An alternative option would be to separate those clades into two separate genera as elaborated on below.

Nine sections were proposed by Berg and Hijman (1999) in *Dorstenia* based on inflorescence, habit and life form (i.e. geophytes, phanerophytes, hemicryptophytes) characters. Our sampled species represented all the sections except *Bazzemia*, which consists of a single species in Mozambique. None of the eight sampled sections were found to be monophyletic in this study (Figure S1) and the phylogeny can help inform future subgeneric classification of *Dorstenia*. Of particular interest to consider taxonomically are the two most basal *Dorstenia* clades that include members of the sections *Xylodorstenia* and *Nothodorstenia* as well as species from two other genera (*Scyphosyce* and *Utsetela*). Misiewicz and Zerega (2012) did not include any species of *Scyphosyce* and *Utsetela,* and they found sections *Xylodorstenia* monophyletic. This is not the case in our reconstruction (Figure S1), and is likely due to our increased taxonomic sampling and use of many more genes, and our reconstructions from both ML and coalescent approaches strongly supported the non-monophyletic status of these sections. An alternative taxonomic solution to sinking *Scyphosyce* and *Utsetela* into *Dorstenia* is to include some *Dorstenia* species (most of section *Nothodorstenia* and at least one member of section *Xylodorstenia – D. angusticornis*) into the genus *Scyphosyce. Dorstenia africana*, *D. kameruniana*, *D. oligogyna*, *D. djettii* and *D. dorstenioides* (the former four all included in section *Nothodorstenia*) were once classified as genus *Craterogyne* (Lanjouw 1935)*. Dorstenia dorstenioides*, which has been proposed as the link between sections *Xylodorstenia* and *Nothodorstenia* (Berg and Hijman 1999), was excluded in the present study due to the low number of genes represented in our main analyses (Table S1). As *Scyphyosyce* (Baillon 1875)is an older name than *Craterogyne, Scyphosyce* would take priority for the name of a new genus.

Regarding the clade containing *Utsetela,* some of the *Dorstenia* species in that clade were recently transferred to a new genus (*Maria*) established by Machado Vianna f. et al. (2013), comprising four species in *Dorstenia* section *Xylodorstenia* (*D. alta*, *D. angusticornis*, *D. scaphigera*, *D. turbinata*). *Maria* was later found to be a homonym and renamed *Hijmania* (Vianna Filho *et al.* 2016). It may be necessary to include those species into the same genus under the name *Utsetela* (Pellegrin 1928)*,* which has priority, but until more complete taxon sampling is completed, we do not presently propose any taxonomic changes. One of the woody African macrospermous species that warrants further attention is *D. elliptica.* It was included in section *Nothodorstenia* by Berg (1978) because it had bracts resembling other members of that section. However both Misiewicz & Zerega (2012) and the present study, found *D. elliptica* to be sister to all Neotropical *Dorstenia.*

Among herbaceous species of *Dorstenia*, the presence of bracts on receptacles has

traditionally been used to distinguish among sections *Emygdioa*, *Dorstenia*, *Lecanium* on the one hand and sections *Acauloma*, *Bazzemia*, *Lomatophora*, *Kosaria* on the other hand (Berg and Hijman 1999). Although none of these sections were found to be monophyletic, the Neotropical clade contains all of the species with bracteate receptacles except *D. picta* (Figure S1). Our results suggest that traditional morphological characters for sectional delimitation within *Dorstenia* do not hold up to molecular phylogenetic scrutiny, that a close examination of alternative characters is needed, and a new intrageneric classification is warranted.

#### *Conclusion*

The targeted enrichment sequencing strategy, paired with the HybPiper pipeline, proved to be an effective approach at reconstructing phylogenetic relationships in Dorstenieae using herbarium specimens. Further molecular and morphological work will be required before solving some of the taxonomic issues highlighted in this study, such as sinking *Utsetela* and *Scyphosyce* into *Dorstenia* or separating some *Dorstenia*  species into either the genera of *Utsetela* or *Scyphosyce*. Dorstenieae as a whole may have originated in the joint area of continental Africa, Madagascar and Asia-Oceania area, followed by at least two independent colonizations of South America (*Brosimum* s.l. and *Dorstenia* s.l.). Some species in these two clades further dispersed to Central/North America. The mechanical processes for the long-distance dispersal of species of Dorstenieae remains an enigma. More studies on pollination and dispersal in this tribe will be required to further elucidate the biogeographic history of this group. The development of new biogeographic models and new model selection procedures will also be essential to help to clear the biogeographic history of Dorstenieae and other pantropically distributed lineages. The robust and most densely sampled Dorstenieae phylogeny presented here will be a valuable resource for further studies on character evolution in this fascinating tribe and will assist with future taxonomic revisionary work.

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# **SUPPLEMENTARY INFORMATION**

**Figure S1.** Maximum Likelihood tree (a) and species tree (b) with bootstrap support value and tip names (including section names in *Dorstenia*).

**Figure S2.** Divergence time estimate by MCMCTree with two different prior settings and r8s: combined results of two independent runs with prior set1 (a) and set2 (b) by MCMCTree and r8s (c).

107 **Figure S3.** Biogeographic reconstruction with unconstrained (model 0) or constrained (model 1) models with DEC, DIVA-like and BayArea-like based models with internal nodes labelled with discrete states: a-c, DEC; d, illustrated with pie chart on internal nodes for the result of time-stratified DEC+J model; e-h, Bayarea-like; i-l, DIVA-like model with model 0 and 1, with or without founder-event speciation process (+J), detail of DEC+J model with nodes labelled with discrete states see Figure 3.

**Table S1.** List of specimens collected in this study.

**Table S2.** AIC of biogeographic reconstruction with time-constant (model0, a) or timestratified (model1, b) DEC, DIVA-like and BayArea-like based models.

**Table S3.** List of ancestral distribution area estimated for several nodes by BioGeoBEARS. a) time-constant models (model0); b) time-stratified models (model1) .

# **CHAPTER III. BREEDING SYSTEM EVOLUTION AND CLIMATE IN** *FICUS*

This study has not been submitted to any journal yet and was conducted in collaboration with Renske Onstein and Hervé Sauquet.

#### **ABSTRACT**

- **Background and Aims** Physiological and ecological differences of breeding systems may lead to different distribution patterns. Two breeding systems, gynodioecy and monoecy, occur in *Ficus* (ca. 750 spp., Moraceae), an ecologically important genus distributed in all major tropical regions. We used *Ficus* as a model to investigate the niche difference of different breeding systems.
- **Methods** Richness maps of 183 species of *Ficus* (105 monoecy, 78 gynodioecy) were plotted. To investigate the niche difference of the two breeding systems in *Ficus*, regression was conducted with fourteen climatic variables against breeding systems with generalized linear models (GLM) and generalized estimating equations (GEE). Ancestral states of precipitation niche were reconstructed to test its relationship with the transitions of breeding systems in the history of *Ficus*.
- **Key Results** Although gynodioecious and monoecious *Ficus* overlap in their distribution, gynodioecious *Ficus* does not occur in the Neotropics and monoecious *Ficus* is distributed in drier environments. The distribution patterns of both breeding systems fit the summer positions

of the intertropical convergence zone on continents. A significantly positive relationship of precipitation and gynodioecy was supported by GLM but not GEE analyses.

• Conclusions Niche differences were found in *Ficus* with different breeding systems but may be the result of phylogenetic effect rather than historical correlation. This study sheds light on understanding of the origin, diversification, and distribution pattern of the two breeding systems in *Ficus*.

**Key words:** breeding systems, *Ficus*, monoecy, gynodioecy, generalized estimating equations (GEE), generalized linear models (GLM), climatic variables, intertropical convergence zone (ITCZ)

#### **INTRODUCTION**

The genus *Ficus* (ca. 750 spp., Moraceae) has long intrigued researchers given its taxonomic and ecological diversity and the coevolution with its wasp pollinators (Agaonidae, Cruaud et al. 2012). Two different breeding systems have been observed in *Ficus* so far: monoecy and gynodioecy, the latter is functionally similar to dioecy in this genus (hereafter referred to as dioecy, Cook and Rasplus 2003). Because of the physiological and ecological differences between breeding systems, *Ficus* species of the two breeding systems differ not only in their inflorescences (syconia), but are also expected to differ in dispersal ability, distribution and other traits (Harrison and Yamamura 2003; Nazareno *et al.* 2013; Yang *et al.* 2015).

*Ficus* is a typical tropical clade, but its global distribution is uneven across tropical areas (Fig. 1). Approximately 120, 105 and 510 species are known from the Neotropics, Africa (including Madagascar and adjacent Indian Ocean islands, and the Arabian Peninsula) and Asia-Oceania, respectively (Berg *et al.* 2005). Broad-scale distribution patterns also differ between breeding systems (Fig. 1). Dioecious *Ficus* does not occur in the Neotropics (Cruaud *et al.* 2012) and is mainly distributed in Asia-Oceania. Several dioecious species of *Ficus* (e.g., *F. sarmentosa*, *F. heteromorpha*, *F. erecta*, *F. coronata*, *F. tikoua* and *F. pumila*) have extended their distribution into more temperate areas in Asia (Berg *et al.* 2005; Zhao *et al.* 2014). Monoecious *Ficus* occurs across all tropical realms and also occurs in dryer areas, for example in dry parts of Atlantic Costal regions or (semi-)desert of northern Mexico (Berg 2001). However, the underlying physiological and ecological causes for these distinct distribution patterns remain debated.

Dispersal distances of *Ficus* species and their pollen are strongly influenced by dispersal abilities of their wasp pollinators. Pollinators of monoecious *Ficus* were observed in the canopy layer in both Bornean and Neotropical forests, whereas the pollinators of dioecious *Ficus* occur

# A) gynodioecy



B) monoecy



**Figure 1.** Distribution map and species richness of *Ficus* according to breeding system, based on cleaned occurrence records from GBIF: A) dioecy; B) monoecy.

most often in lower canopy layers (Hespenheide 1975; Harrison 2003). Considering the higher wind speed in the canopy, pollinating wasps of monoecious hosts would have longer dispersal distances than the counterpart of dioecious *Ficus*, suggesting that the pollen of monoecious *Ficus,*  on average, disperse farther (Compton *et al.* 2000). The pollinators of *Ficus sycomorus*  (monoecious) were found to disperse tracking the seasonal nighttime wind and the average dispersal distance was 88.6 km in Africa (160 km maximum, Ahmed et al. 2009). As a result of more frequent long-distance dispersal of pollinators (pollen), monoecious *Ficus* populations showed lower levels of genetic structure than dioecious *Ficus* populations (Nazareno *et al.* 2013). Besides pollen dispersal, the figs (syconia) are mainly eaten and dispersed by a wide range of birds, arboreal mammals and fruit bats, and while considering the diverse habits of *Ficus*, it is difficult to address differences in seed dispersal distances between breeding systems (Shanahan *et al.* 2001).

In a previous study, ancestral breeding systems were reconstructed in *Ficus* and Moraceae (Zhang *et al.* 2019). Although some uncertainty remained, depending on models and approaches, monoecy was shown to be the likely ancestral state in *Ficus*, and later evolved into dioecy once or twice, with at least three subsequent reversals to monoecy. However, the conditions in which these transitions occurred remain unclear. Understanding the ecological difference of the two breeding systems in *Ficus* will help us better understand the environmental conditions of breeding system transitions not only in *Ficus* but also in angiosperms. Phylogenetic comparative methods provide the tools to investigate the relationship between breeding systems and environmental niche (Table 1). Phylogenetic independent contrasts (Felsenstein 1985) and directional comparative methods (Lauder 1981) were first used in comparative biology to consider the phylogenetic relationship of the data when testing the correlations among traits. These two methods were later found to be very similar, as both of them estimate the evolutionary regression coefficient (Pagel 1993). The

Code	Variable	<b>GLM</b>	<b>GLM</b> coefficient	<b>GEE</b>
bio1	Annual mean temperature	not sig.		not sig.
bio5	Max temperature of warmest month		$-0.022318$	
		$***$		not sig.
bio <sub>6</sub>	Min temperature of coldest month	not sig.		not sig.
bio <sub>8</sub>	Mean temperature of wettest quarter	not sig.		not sig.
bio9	Mean temperature of driest quarter	not sig.		not sig.
bio10	Mean temperature of warmest quarter	not sig.		not sig.
bio11	Mean temperature of coldest quarter	not sig.		not sig.
bio12	Annual precipitation	***	0.10051	not sig.
bio13	Precipitation of wettest month	***	0.2675	not sig.
bio14	Precipitation of driest month	***	0.23656	not sig.
bio16	Precipitation of wettest quarter	***	0.17727	not sig.
bio17	Precipitation of driest quarter	***	0.13509	not sig.
bio18	Precipitation of warmest quarter	***	0.28233	not sig.
bio19	Precipitation of coldest quarter	*	0.04934	not sig.
PC <sub>1</sub>	Principal component 1	***	$-0.2242$	not sig.
PC <sub>2</sub>	Principal component 1	***	$-0.29111$	not sig.
$PC1+2$	Combination of principal component 1 and 2		$-0.25431$ (PC1),	
		***	$0.34284$ (PC2)	not sig.

**Table 1.** Estimates for interaction effects of climatic variables and breeding systems in *Ficus* from the regressions by generalized linear models (GLM) and generalized estimating equations (GEE).

Significance codes: '\*\*\*' P<0.0001; '\*\*' P<0.001; '\*' P<0.01; '.' P<0.05; 'not sig.' not significant.

directional comparative approach tests the correlation by first estimating the ancestral state for each internal node. Considering the uncertainty of topology and ancestral state reconstructions, Pagel (1994) proposed a maximum likelihood approach which estimates the correlation of continuous traits without reconstructing ancestral states. Another approach based on general linear models called phylogenetic generalized least squares (PGLS) was developed for investigating the relationships among continuous traits while taking the entire phylogeny into account (Grafen 1989; Martins and Hansen 1997; Pagel 1997; Mundry 2014). However, constrained by the algorithm, the PGLS approach can only deal with continuous variables. This deficiency was solved by the development of the generalized estimating equations (GEE) approach, which can analyze both continuous and discrete data and more complex models (Paradis and Claude 2002).

Our study aims to understand how niche differences (temperature and precipitation) between

breeding systems may have influenced the global distribution of *Ficus.* Using novel approaches to study correlated evolution, we are now able to address four key questions: 1) are dioecious species of *Ficus* distributed across lower temperatures (of the coldest month) than monoecious species of *Ficus*? 2) do monoecious species of *Ficus* occur more often in drier environments than species of dioecious *Ficus*? 3) is the evolution of dioecy in *Ficus* associated with the colonization of cooler niches? 4) is the evolution of monoecy in *Ficus* associated with the colonization of dry climatic niches)? To investigate these four key questions, we assembled and cleaned a comprehensive database of *Ficus* occurrence records, extracted climatic niche variables for the species, and conducted phylogenetic comparative analyses with the GEE approach for climatic variables against the breeding systems in *Ficus*. This study sheds light on the understanding of distribution patterns and niche differences in *Ficus* according to breeding systems, and helps to understand the relationship of breeding systems and distribution in Moraceae and angiosperms more generally in a climate changing world.

#### **MATERIALS AND METHODS**

#### *Occurrence records download and cleaning*

We chose 200 species of *Ficus* (Cruaud *et al.* 2012; Zhang *et al.* 2019) to make the following tests. A total of 102,486 occurrence records were downloaded from the Global Biodiversity Information Facility (GBIF) with R package rgbif (Chamberlain and Boettiger 2017; Chamberlain *et al.* 2019) by searching by species name. We then flagged and excluded potentially erroneous coordinates (e.g. both latitude and longitude are zero; same latitude and longitude; coordinate on the sea; coordinate as the center or capital city of one country and so on) using R package

CoordinateCleaner (Zizka *et al.* 2018). In addition, we chose records later than the year 1945; of which precision was higher than 10 km; of which sources were "human observation", "observation" or "preserved specimen". Given both the presence of invasive and cultivated records in GBIF, we finally skimmed the records manually to exclude records outside the reported natural distribution area of each species by searching local floras and additional literature (Table S1). For instance, records in New Zealand were excluded as *Ficus* is naturally absent there (Gardner and Early 1996). Considering the long history and wide range of the cultivated plant *Ficus carica*, it is hard to determine the original native distribution of this species (Ghada *et al.* 2010), which was therefore excluded from our analyses. Sixteen additional species were excluded due to either the lack of GBIF data (*Ficus arfakensis*, *Ficus bullenei*, *Ficus dugandii*, and *Ficus palmeri*) or synonymy (e.g., two species included as distinct tips in our dataset, but treated as synonyms in GBIF). As a result, our final dataset consists of 183 species of Ficus with GBIF data, including 23 Neotropical species (all monoecious), 42 African species (38 monoecious, 4 dioecious), and 118 species in Asia-Oceania (44 monoecious, 74 dioecious). After all of the above steps of data cleaning, we plotted separate richness maps for each breeding system in a spatial resolution of one minute using package SpeciesGeoCodeR (Töpel *et al.* 2016). Species assignment to breeding systems follows Zhang et al. (2019). The complete dataset of cleaned occurrence records is available from supplementary data 1.

#### *Principal component analysis and regression*

Climatic data were retrieved from the WorldClim database (Fick and Hijmans 2017) at the spatial resolution of 2.5 minutes for the cleaned occurrence records obtained in the above steps. Because some of these variables were calculated from others (e.g. mean diurnal range, BIO2 in

WorldClim), we did not include them in the following analyses. The fourteen included variables and their names used in the analyses are listed in Table 1. The median of each variable of each species was calculated to conduct the principal component analysis (PCA). Considering the value of precipitation data are one order of magnitude larger than those of temperature data, we normalized climatic variables related with precipitation (bio12-19) by taking their square root before PCA. Principal components 1 and 2 (PC1 and PC2) were calculated with R package ade4 (Chessel *et al.* 2004; Dray and Dufour 2007; Dray *et al.* 2007; Bougeard and Dray 2018).

We then conducted two types of regression, differing fundamentally in whether or not phylogeny was taken into account. First, we used generalized linear models (GLM) to understand how the species' climatic niches influence breeding system variation, without taking phylogeny into account. To this end, breeding system was regressed against PC1, PC2, PC1 and 2, and for each individual climatic variable using logistic regressions by function compar.gee in R package ape (Paradis and Schliep 2018). However, the correlation between climatic niches and breeding systems may simply result from phylogenetic dependence (i.e. inherited from the ancestral species (Felsenstein 1985). Thus, we used the generalized estimating equations (GEE) approach with logistic distribution (breeding system is a discrete tratit, Paradis and Claude 2002) to test the correlation of PC1, PC2, PC 1 and 2, and each climatic variables versus breeding systems, while correcting for the phylogenetic effect. For these analyses, we used the ultrametric (dated) Maximum Clade Credibility tree from Zhang et al. (2019) but only kept the tips of the 183 *Ficus* species in the geographic dataset. The results from the two regression methods were then compared.

#### *Ancestral state reconstruction of climatic variables*

Results from the regression analyses suggested a possible relationship of precipitation with breeding system. To further investigate and visualize which transitions in breeding systems were associated with change of precipitation in the history of *Ficus*, we reconstructed the ancestral value of precipitation of the warmest quarter (bio18) with a Brownian motion model using the maximum likelihood approach implemented in the fastAnc function of R package phytools (Revell 2012). Here, we aimed to test the influence of precipitation on the distribution of *Ficus*. The environmental data in our dataset were retrieved from the coordinates of the occurrence records, suggesting they are all in the tolerant ranges of *Ficus*. Both temperature and precipitation are important to the development to both the *Ficus* and their pollinating wasps. We selected bio18 to control one factor and observe the performance of the other. When analyzing precipitation of the warmest quarter (bio18), temperature is the warmest in the period (year), hence we control the temperature as the best and are testing the relationship of precipitation with breeding system.

#### *Additional analyses*

Two additional analyses were conducted to further explore and characterize potential signal for niche difference between breeding systems in the dataset. Firstly, considering the fact that dioecious *Ficus* extended more poleward than monoecious *Ficus* only in Asia, we conducted all the analyses above with a subset of the dataset including only Asia-Oceania *Ficus* (118 species). These analyses were prompted by the observation that dioecious species of *Ficus* tend to extend to higher latitudes than monoecious species only in this region. Hereafter, we refer to this dataset was named as subsetAsia. Secondly, we built a separate species-climate dataset by extracting the

climate variables of the geographic coordinates where each species has the lowest precipitation of the warmest quarter (bio18), rather than the media value of the entire set of occurrence records of each species used in the main dataset. The rationale for this analysis stems from the fact that the median value of climatic variables may not adequately represent the climatic tolerance of the species to extreme values. Hereafter, we refer to this dataset as bio18min. For both the subsetAsia and bio18min datasets, we conducted principal component analysis, regression (GLM, GEE) and ancestral state reconstruction in the same way as described above.

#### **RESULTS**

# *Global distribution pattern of Ficus according to breeding systems*

There were 60,432 occurrence records representing 183 species (105 monoecious, 78 gynodioecious, Table S1) in the final dataset. Most of the distribution area of monoecious *Ficus* overlapped with that of dioecious *Ficus* in Africa (incl. Madagascar) and Asia-Oceania (Fig. 1). Monoecious species of *Ficus* were also observed in comparatively drier areas of Africa and Australia (Fig. 1). In Asia, dioecious *Ficus* extends further North than monoecious *Ficus*, reaching the temperate zone, including Japan and central China (Fig. 1). Continental Southeast Asia (including southern China), northern Borneo and New Guinea hold the highest concentration of dioecious species of *Ficus* (Fig. 1A). Central America, the tropical Andes, West and Central Africa, eastern South Africa, and the Australian Wet Tropics are the hotspots of monoecious *Ficus* (Fig. 1B).

#### *Principal component analysis and regressions*

In the main analysis (median of each climatic variable), principal component 1 and 2 (PC1 and PC2) explained 45.6% and 34.4%, respectively, of the variation in climatic niche variables across all *Ficus* (Fig. 2). PC1, PC2, their combination were significantly negatively correlated with dioecy. In addition, all of the single variables related with precipitation (bio 12-19) were significantly positively correlated with dioecy in regressions using generalized linear models (GLM) analyses (Table 1), and thus negatively associated with monoecy. Conversely, most variables related to temperature were not significantly associated with breeding systems, except the maximum temperature of warmest month (bio5), which was negatively correlated with dioecy. This means that monoecious species occur in drier (and sometimes warmer) climates than dioecious *Ficus*. In the regression using the generalized estimating equations (GEE) approach, which considers the phylogenetic non-independence of the data, none of the principle components and their combination, nor any single climatic variable was significantly correlated with breeding system variation. Results were almost identical in the two additional analyses (subsetAsia and bio18min), with a few exceptions (Table S2). In GLM analyses of both the subsetAsia and bio18min dataset, the same direction of significance was found for all variables except bio19 with the bio18min dataset. However, the strength of significance was weaker for all variables of the two additional datasets than in the analyses of the main dataset. No significance was found for any variable in GEE analyses of either additional dataset, consistent with the GEE analysis of the main dataset.



**Figure 2.** Principal component analysis (PCA) of climatic variables in *Ficus*. Each dot represents the median value of a species. Bioclimatic variables are described in Table 1.

#### *Ancestral state reconstruction for precipitation*

The ancestral state reconstruction of precipitation of the warmest quarter (bio18) reveals that nearly all of the drier niches in *Ficus* are occupied by monoecious species (Fig. 3), providing a visual confirmation of the relationship between breeding systems and precipitation outlined by the GLM analyses. The estimated mean value of root of the tree was 612 mm (95% confidence interval: 308- 916 mm). Sampled species in the monoecious section *Galoglychia*, which is distributed from Africa to Arabian Peninsula including Madagascar and surrounding islands (Berg 2004), showed comparatively lower values of bio18.

#### **DISCUSSION**

# *Different results in GLM and GEE*

All the climatic variables related with precipitation (bio 12-19) were significantly correlated with dioecy in *Ficus* in regressions with generalized linear models (GLM), but not those with the generalized estimating equations (GEE) approach (Paradis and Claude 2002), which takes phylogenetic relationships into account. This difference could be a type I error for GLM to find significance with higher numbers of degrees of freedom compared with the counterpart which adapted with phylogenetic relationship in GEE (Paradis and Claude 2002).



**Figure 3.** Ancestral state reconstruction of precipitation of the warmest quarter (bio18) in *Ficus*. Breeding systems are highlighted next to the tips. The ancestral breeding system of *Ficus* and the number of transitions remain uncertain (Zhang et al. 2019).

#### *Temperature may not relate with the distribution of dioecious Ficus*

Although temperature was suggested to be an important factor for the development of *Ficus* at its northern boundary in previous studies of several species (Peng *et al.* 2010; Chen *et al.* 2018), our results do not support any relationship between temperature and breeding systems in *Ficus* as a whole. Maximum temperature of warmest month (bio5) is the only temperature variable found to have a (negative) significant relationship with dioecy in GLM analyses, a result not supported by the GEE approach. In the GLM analysis with the subsetAsia dataset, bio5 was again the only variable showing (negatively) a significant relationship with dioecy.

# *Monoecious* Ficus *occur in drier environments more often than dioecious* Ficus

Our richness maps of *Ficus* with two breeding systems imply that precipitation has shaped the distribution pattern within the climatic boundaries of the genus. The overlapping areas of the two breeding systems are areas with higher humidity (Fig. 1). On the African, Asian and Australian continents, this distribution pattern coincides with the summer position in both the northern and southern hemispheres of the intertropical convergence zone (ITCZ), which is identified as the maximum in time-mean precipitation (Schneider *et al.* 2014) (Fig. 1). *Ficus* is mainly distributed in peninsulas, islands, archipelagos and coastal areas in Asia-Oceania, where climates are humid. This region is also one of the distribution centers for both breeding systems. In addition, most of monoecious *Ficus* in the Neotropics is distributed in the ITCZ area (Fig. 1B).

In addition to the overlapping areas of both breeding systems, monoecious species also occupy areas with drier climates in continental Africa, Madagascar and Australia. In continental Africa, monoecious species occur in Namibia, northern South Africa, Zimbabwe and Ethiopia, which have

been suggested to be part of an arid "corridor", spreading from southwest to northeast of continental Africa (Jürgens 1997) and documented in other groups of flowering plants (Thiv *et al.* 2011; Freitas *et al.* 2018). In Madagascar, most of dioecious *Ficus* occurs in the humid forest along the east coast (Evans *et al.* 2014), while monoecious *Ficus* is distributed throughout the country (Fig. 1B) including the dry west edge. In Australia, with some exceptions, dioecious *Ficus* is distributed in the Monsoonal Tropics and aseasonal-wet areas (Greenwood 1996; Crisp *et al.* 2004). However, monoecious species are also distributed in the arid Eremean zone (Crisp *et al.* 2004) of central and western Australia. The distribution pattern of *Ficus* in Asia is different. Dioecious species of *Ficus* are distributed farther North than monoecious species (*Ficus sarmentosa* extends to 37°N in our dataset, Fig. 1). The northern boundary of dioecious *Ficus* in continental Asia coincides with the summer (July) position of ITCZ (Schneider *et al.* 2014), while monoecious species seem to be constrained in tropical areas with few records in subtropical areas (Fig. 1B). In addition to its presence in typically year-round arid regions, monoecious *Ficus* also occupies areas with temporary dry periods, where dioecious *Ficus* is absent (Fig. 1). For instance, monoecious *Ficus* occurs in the Cape Floristic Region of South Africa, the most species-rich Mediterraneantype ecosystem given its size (Linder 2014)

We hereby propose several explanations for the colonization of drier environments by monoecious *Ficus*. Firstly, the dispersal ability of dioecious *Ficus* is limited compared to that of monoecious species. Dioecious species of *Ficus* contribute more than monoecious ones to spatial genetic structure (Nazareno *et al.* 2013). Mean parent-offspring distance has been estimated to be 200 m in a population genetic structure investigation of two dioecious *Ficus* (Dev *et al.* 2011), while pollen of a monoecious species (*F. sycomorus*) was reported to be delivered as far as 160 km (Ahmed *et al.* 2009). Secondly, disadvantage of the separation of sex in dioecious *Ficus* is another

possible explanation. With both functional male and female flowers on the same individual, monoecious *Ficus* may self-fertilize (wasps from one syconium may pollinate another syconium of the same plant individual) in a highly seasonal environment (Cook and Power 1996), while dioecious *Ficus* cannot. Third, seasonal climate, for instance dry summers, may impact the production of seeds in dioecious *Ficus*. Summer is an important period for the development of both host plants and larva of pollinators (Peng *et al.* 2010). At least one crop of wasps released from male syconia of dioecious *Ficus* will serve mainly the purpose of pollination of female syconia in a period (year), rather than laying eggs in other male syconia. In dioecious *Ficus*, this crop tends to be in the summer (Zhao *et al.* 2014; but see Chen *et al.* 2015), when higher evapotranspiration implies additional constraints on water use for seed development. Therefore, dry summers may lead to reduction of seeds in the next phase of the hosts' life cycle. These three hypotheses may explain the absence of dioecious *Ficus* in Mediterranean climates, and dry climates more generally.

# *Conclusion*

*Ficus* is a pan-tropically distributed genus occupying several bioregions (Crisp *et al.* 2004; Hughes *et al.* 2012; Linder 2014). Different climatic variables may played main roles in different bioregions (González‐Orozco *et al.* 2013). Thus, analyses combining species from different bioregions may suffer from confounding factors. We sampled 183 species (ca. 24% of all *Ficus* species) in this study. Future more densely sampled phylogenetic trees will be required to start disentangling the main environmental factors in different bioregions. However, phylogenetic relationships in *Ficus* remain themselves incompletely understood (Cruaud et al., 2012; Bruun-Lund et al. 2017), potentially limiting our understanding of breeding system evolution in the genus

(Zhang et al. 2019). A new, highly supported phylogenetic backbone of *Ficus* was proposed in a recent study based on 600 RAD-seq loci, in which subgenus *Sycomorus* was found to be sister to the remaining of *Ficus* (Rasplus *et al.* 2018), contrary to previous work (Cruaud *et al.* 2012; Pederneiras *et al.* 2018). If confirmed, this significant change of topology of phylogeny may affect the results of comparative analyses such as those presented in this study.

The overlapping distribution areas of monoecious and dioecious *Ficus* correspond to the July and January positions of the intertropical convergence zone (ITCZ) on continents, consistent with the idea that precipitation may have played a role in determining the general distribution pattern of *Ficus* within the climatic boundaries of the genus. A plausible positive relationship between precipitation and dioecy was found in *Ficus*, and conversely between tolerance to drier environments and monoecy in *Ficus*. The longer dispersal ability and potential for self-fertilization in monoecious *Ficus* are two hypotheses we propose to explain this pattern. Contrary to previous ideas, no significant relationship of temperature and breeding system was detected. A more densely sampled phylogenetic tree of *Ficus* and further improvements of phylogenetic comparative methods will be necessary for confirming the results obtained in this study.

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#### **SUPPLEMENTARY INFORMATION**

**Table S1.** Species list and distribution information of the dataset in this study.

**Table S2.** Estimates for interaction effects of climatic variables and breeding systems in *Ficus* from the regressions by generalized linear models (GLM) and generalized estimating equations (GEE) for three datasets: 1) full dataset (same as Table 1); 2) subsetAsia; and 3) bio18min.

**Supplementary data 1.** The cleaned occurrence records used in the analysis of this study.
# **CONCLUSION AND PERSPECTIVES**

I investigated breeding system evolution, biogeographic history and their impacts on the diversity of angiosperms in this thesis, using the pantropically distributed family Moraceae as a model clade. My work has led to several important new results: 1) dioecy was probably the ancestral state of breeding system in Moraceae and subsequently evolved into other three breeding systems, suggesting that dioecy is not an evolutionary dead end; 2) the origin of tribe Dorstenieae was estimated to be in the Cretaceous (65.8-79.8 Ma); two independent dispersal events from Africa to South America occurred in Dorstenieae, leading to *Brosimum* s.l. and the Neotropical clade of *Dorstenia*; 3) precipitation appears to have been a factor in shaping the biogeographic distribution pattern of *Ficus* according to breeding systems.

In Chapter I, I reconstructed the ancestral state of breeding systems in Moraceae and *Ficus* with a new dated tree built for the purpose and six morphological models differing in the number of breeding system categories. While this study highlighted that dioecy was the starting point, rather than a dead end of breeding system evolution in the family, much uncertainty remained with respect to the ancestral state of *Ficus*. This uncertainty is partly the consequence of a combination of both monoecious and dioecious lineages close to the base of the *Ficus* phylogeny. However, basal relationships in *Ficus* themselves remain uncertain and it is possible that future phylogenetic work in the genus will lead to relationships that more clearly support either monoecy or gynodioecy as the ancestral state of *Ficus*. A new phylogenomic tree of 40 species of *Ficus* based on RAD-seq data has recently been proposed (Rasplus *et al.* 2018), in which, all the phylogenetic relationships of subgenera or sections were highly supported and subgenus *Sycomorus* was sister to the remaining of *Ficus.* This topology is different from ours and two other previous phylogenies of *Ficus* reconstructed from nuclear markers (Cruaud *et al.* 2012; Pederneiras *et al.* 2018), in which

section *Pharmacosycea* (subgenus *Pharmacosycea*) emerged as the sister group to the remaining of *Ficus*. Using this new phylogenomic tree, Rasplus *et al.* (2018) showed that gynodioecy may be the ancestral state in *Ficus*, contrary to the results emerging from Chapter I. Another recent phylogenomic study, based on full chloroplast genome, suggested yet another set of relationships to those reconstructed from nuclear markers, possibly as a consequence of ancient hybridization in the history of *Ficus* (Bruun-Lund *et al.* 2017). The exon capture approach (Mandel *et al.* 2014), which is similar to the Hyb-Seq method (Weitemier *et al.* 2014) we used in Chapter II, is a widely used target enrichment method. Both the Hyb-Seq and exon capture approaches are likely to lead to a considerably improved and densely sampled phylogeny of *Ficus* and Moraceae as a whole in the near future.

In Chapter II, I investigated the biogeographic history of tribe Dorstenieae with a fossilcalibrated phylogenomic tree based on a large new sequence dataset generated through a target enrichment approach (Hyb-Seq). The ancestral region of Dorstenieae was reconstructed to be the area comprising continental Africa, Madagascar and Asia-Oceania. Although this result may not seem informative enough and possibly represents an artefact from the DEC model and our limited sample of outgroups, this study led to several important results on the subsequent colonization of the Neotropics in two subclades: *Brosimum* s.l. and Neotropical *Dorstenia*. The crown group age of *Brosimum* s.l. was estimated in the Oligocene to Miocene (14.9-31.1 Ma) after a vicariance event. I also conducted separate molecular dating analyses for a subset of the dataset presented in Chapter II, focusing on *Brosimum* alone (see supplementary data). The crown group age of *Brosimum* was inferred to 18.5-29.6 Ma, consistent with the results obtained in Chapter II. Neotropical *Dorstenia* was estimated to originate from the Eocene to the Oligocene (29.8-44.7 Ma). Because Africa has been isolated from South America since ca. 105 Ma (McLoughlin 2001),

these results imply two long-distance dispersal (LDD) events from continental Africa to South America lead to explain the origin of *Brosimum* s.l. and Neotropical *Dorstenia*. The mechanism for the LDD of *Dorstenia* and *Brosimum* remain unclear, especially given that *Dorstenia* has been suggested to be poorly adapted for LDD (Berg and Hijman 1999). Further studies of dispersal related traits of *Dorstenia* and *Brosimum* will improve the understanding of the mechanism of LDD for species unlikely to do so (Onstein *et al.* 2018), a general and difficult question that has been raised in numerous other plant clades (Doyle *et al.* 2004; Barker *et al.* 2007).

The latest biogeographic study of Moraceae as a whole was provided by Zerega et al. (2005), who discussed the potential biogeographic history of Moraceae based on their phylogeny and divergence time estimates. However, parametric biogeographic approaches, such as those used in Chapter II for Dorstenieae and Pederneiras et al. (2018) for *Ficus*, have not yet been applied to Moraceae as a whole. Thus, it remains unclear whether Gondwana or Laurasia was the ancestral area of Moraceae. Reconstructing the biogeographic history of a pantropically distributed family such as Moraceae will improve the understanding of the origin of plant diversity in tropical regions. However, biogeographic model-based approaches are still relatively young and present some limitations that are currently being debated in the community. The widely used dispersalextinction-cladogenesis (DEC) model does not explore the scenario in which speciation occurs with dispersal events. This process might be common in scenarios of long-distance dispersal to a new environment, especially in island systems (Ree and Sanmartín 2009; Matzke 2014). The introduction of the founder-event speciation process (jump process) in the DEC model, leading to the now widely used DEC+J model, offered a solution to this problem (Matzke 2013). However, a recent study demonstrated that models which includes both anagenetic and cladogenetic processes may be biased towards cladogenetic processes, and as a result over-reliance on the

founder-event speciation process to explain biogeographic distributions (Ree and Sanmartín 2018).

Most of the genera in Moraceae consist of fewer than 10 species (Rohwer and Berg 1993), and some species may be hard to collect in the field. As demonstrated in Chapter II and several recent studies (Hart *et al.* 2016; Couvreur *et al.* 2019), herbarium specimens are now a promising resource for phylogenomic work using approaches such as target enrichment, where DNA fragmentation (typical of herbarium material) is much less of an issue than traditional Sanger sequencing of PCR-amplified markers. The rapid adoption of this and other genomic approaches by the plant systematic community is likely to lead to considerable improvement of phylogeny at all levels (Johnson *et al.* 2018; Couvreur *et al.* 2019), including in Moraceae (Rasplus *et al.* 2018; Zerega and Gardner 2019). These developments may in turn result in further necessary adjustments of the current phylogenetic classification of Moraceae (Clement and Weiblen 2009; Zerega and Gardner 2019) and the circumscription of several genera. For instance, in Chapter II, I found the genera *Scyphosyce* and *Utsetela* to be nested in *Dorstenia* and *Trymatococcus* and *Helianthostylis*  in *Brosimum* s.l. The latter result was also confirmed in the more densely sampled side study focusing on *Brosimum* (see supplementary data).

Species have preferentially remained in the same or similar niche/biome while colonizing new environments (Crisp *et al.* 2009), which may have played an important role in shaping the current distribution pattern of plant diversity. In Chapter III, I combined knowledge of breeding system evolution and detailed spatial data to characterize and understand differences in the geographic distribution of monoecious and gynodioecious species of *Ficus*. At the beginning of this PhD and chapter, I hypothesized that temperature was a key factor influencing the distribution of *Ficus*, based on the tendency of gynodioecious *Ficus* to occupy higher latitudes and colder environments in Asia than monoecious *Ficus* (Berg *et al.* 2005; Bain *et al.* 2014). However, none of my analyses

supported a correlation of temperature with breeding system in *Ficus*, even with a subset of the data restricted to the Asia-Oceania region. Precipitation was found to be a plausible factor in shaping the current distribution pattern of different breeding systems in *Ficus*: both breeding systems of *Ficus* are largely overlapping in tropical climates, but monoecious *Ficus* extends to drier environments than gynodioecious species. To our knowledge, this is the first time that such a correlation has been suggested and discussed.

In Chapter I, I estimated the age of crown group *Ficus* in the Eocene (40.6-55.9 Ma), during when the Eurasia region, which has been proposed as the ancestral area of *Ficus* (Pederneiras *et al.* 2018), was still separated by the Siberian and Turan Seas as Europe and Asia (Akhmetiev and Beniamovski 2009). In addition, the average global temperature was higher and tropical regions were much more widespread than they are today (Zachos *et al.* 2001; Morley 2011). The distribution areas of *Ficus* may have extended and retreated several times to track tropical climates during the history of *Ficus* (Costa *et al.* 2017). During these processes, some monoecious *Ficus* dispersed into the current drier areas, which may have been previously wetter. However, the cooccurring gynodioecious species would have been swept away during past aridification events due to their lower tolerance of dry climate. In addition, monoecious *Ficus* probably also successfully dispersed into drier areas where the genus was previously absent. To our knowledge, ours is the first study to test climatic niche difference of congeneric species with different breeding systems. Whether niche difference is a general pattern in other diversified genera with at least two breeding systems such as *Acer* (Sapindaceae, Renner *et al.* 2007) remains to be tested in more clades. Furthermore, the loci responsible for sex determination in Moraceae are still unclear. Did any sex chromosomes develop in the Moraceae genome? Are they the same in other model clades such as *Carica* (Caricaceae) (Wang *et al.* 2012), Cucurbitaceae (Boualem *et al.* 2015) and *Silene*

(Caryophyllaceae) (Bergero *et al.* 2007)? We are still far from understanding the genetic and developmental processes responsible for breeding system diversity in Moraceae, an exciting avenue for future research.

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## **SUPPLEMENTARY DATA**

All the supplementary data attached to this thesis are available from this online folder: [https://www.dropbox.com/sh/r09q6qnpxlezpji/AACth8ARb0xr670UrMyEEtKJa?dl=0.](https://www.dropbox.com/sh/r09q6qnpxlezpji/AACth8ARb0xr670UrMyEEtKJa?dl=0) As some of the supplementary information are too long to print in a thesis, I have selected some proper supplementary figures and tables to print here.

### **Summary of my contribution to the** *Brosimum* **phylogeny**

My work on reconstructing a phylogenomic tree and the biogeographic history of tribe Dorstenieae (Chapter II) was made possible through a collaboration with Prof. Nyree Zerega and Dr. Elliot Gardner from the Chicago Botanic Garden and Northwestern University. This collaboration involved their sharing of unpublished data previously generated for species of *Brosimum* (hereafter referred to as the *Brosimum* dataset), and my own contribution to their study of the genus. The aim of the *Brosimum* paper, which will be published separately from my Chapter II on Dorstenieae, is a taxonomic revision and study of character evolution in the genus. While this study has not yet reached the stage of a draft manuscript, here I provide some details on my own contribution, which focused on estimating divergence times in *Brosimum*.

*Brosimum* consists of approximately fifteen species, which are restricted to the Neotropics (Rohwer and Berg 1993; Berg 2001). This dataset includes all of the 15 species of *Brosimum* and 22 outgroup species (representing 18 other genera in Moraceae and one species of Cannabaceae). The methods used to produce and analyze the *Brosimum* dataset were exactly the same in the Dorstenieae dataset (Chapter II). Specifically, to infer divergence times, I used both penalized likelihood in r8s v1.7 (Sanderson 2003) and the Bayesian approach implemented in MCMCTree in package PAML v4.9 (Yang 2007). However, I modified the calibration strategy as follows. I calibrated the *Brosimum* phylogenetic tree with three fossil age constraints and two secondary calibrations. The three fossil age constraints were the same ones used in Chapter II. The two secondary calibrations were required to constrain the root and differ from Chapter II because of differences in outgroup sampling. The fossil wood of *Artocarpoxylon deccanensis* Mehrotra, Prakash, and Bande (at least 64.0 Ma) (Mehrotra *et al.* 1984) was used to calibrate the split of *Artocarpus heterophyllus* and *Streblus glaber*. The fossil endocarps of *Broussonetia rugosa* Chandler (Chandler 1961) were used to constrain the split of *Allaeanthus luzonicus*, *Malaisia scandens* and *Broussonetia papyrifera* to at least 33.9 Ma. The fossil achenes of *Ficus* (*F. lucidus* Chandler) (Chandler 1962) were used to constrain the split of *Ficus macrophylla* and *Antiaropsis descipiens* to a minimum age of 56.0 Ma. Except for the outgroup species *Trema orientale* (Cannabaceae), all the species in the dataset belong to Moraceae. I used the estimated crown-group age of Moraceae (73.2-84.7 Ma) and the most recent common ancestor of Moraceae and Cannabaceae (81.7-93.3 Ma) from my recent family-wide molecular dating study (Chapter I; Zhang *et al.* 2019) to provide maximum and minimum boundaries for secondary age constraints on the crown node of Moraceae and the root. The whole dataset were kept as one partition in both approaches. The best smoothing was found to be 2.6 in r8s. Two independent runs for each prior setting (default in MCMCTree and referring to the estimates from program baseml in PAML v4.9, see Chapter II) were launched with chain length of 15 million generations, sampled every 1500 generations. The first 10% were removed as burnin.

Estimates from runs with the prior alone (without data) were different from those with data, suggesting data (rather than the prior alone) are informative in producing the inferred age estimates. The stem and crown group age of *Brosimum* s.l. were estimated as boundary of the Paleogene to

Neogene (22.09-35.35 Ma) and the Oligocene to the Miocene (18.49-29.62 Ma), respectively. Estimates from two different prior settings in MCMCTree showed similar results (Fig 1, A, B). Penalized likelihood analyses (implemented in r8s) produced similar age estimates (Fig 1 C). Estimates obtained with the *Brosimum* dataset were similar to those obtained with the Dorstenieae dataset presented in Chapter II (where the stem and crown group age were 19.58-41.49 Ma and 14.87-31.15 Ma, respectively). This difference may be the result of sampling fewer outgroups and three more ingroup species of *Brosimum* in this study, compared with Chapter II, where all other genera of Dorstenieae were included.

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**Figure 1.** A. Divergence time estimates for *Brosimum* by MCMCTree with default prior setting (time unit in 100 Ma).



**Figure 1.** B. Divergence time estimates for *Brosimum* by MCMCTree with prior setting referring to the results from baseml (time unit in 100 Ma).



10.0

**Figure 1.** C. Divergence time estimation for *Brosimum* by r8s (time unit in 1 Ma).

**Supplementary data for Chapter I. estimating divergence times and ancestral breeding systems in** *Ficus* **and moraceae**



**Figure S3.** Ancestral state reconstruction with 320-species dataset by parsimony approach with tip names with all six configurations (see text).













**Figure S4.** Ancestral state reconstruction with 320-species dataset by equal-rate maximum likelihood with configurations A to F (configuration B presented as Fig. 3).













Figure S5

Figure S5. Ancestral state reconstruction with only Ficus species in the dataset with tip names by different approaches: A) parsimony; B) equal rate maximum likelihood; C) unequal rate maximum likelihood with equal root state prior; D) unequal rate maximum likelihood with equilibrium root state prior.



D) Ficus scale ancestral state reconstruction with unequal-rate model (equilibrium frequencies root state prior) by maximum likelihood (ML) approach

Figure S5

spp.	tribe	family	rbcL	matK	ndhF	<b>ITS</b>	<b>ETS</b>	G3pdh	ncpGS	<b>GBSSI</b>
Barbeya oleoides		Barbeyaceae	JF317477	JF317418	$\sim$	$\mathcal{L}_{\mathcal{A}}$	L.		$\overline{a}$	$\overline{a}$
Humulus lupulus		Cannabaceae	KM360825	AY257528	AY289251					
Celtis tetrandra		Cannabaceae	JF317479		JF317439					
Celtis philippensis		Cannabaceae	KR528952	KR530552	AY289249					
Cannabis sativa		Cannabaceae	AF500344	AF345317	AY289250					
Aphananthe aspera		Cannabaceae	AF500339	AF345320	AF500366					
Dirachma socotrana		Dirachmaceae	JF317482	JF317423						
Shepherdia canadensis		Elaeagnaceae	U17039	KC475874						
Hippophae rhamnoides		Elaeagnaceae	JF317488	JF317428	JF317448					
Elaeagnus umbellata		Elaeagnaceae	KP088580	AY257529						
Elaeagnus bockii		Elaeagnaceae	JF317484	JF317425	JF317444					
Elaeagnus angustifolia		Elaeagnaceae	U17038	KP089052						
Treculia obovoidea	Artocarpeae	Moraceae	KC628408	KC627751						
Treculia africana	Artocarpeae	Moraceae	KC628540	KC627842						
Prainea limpato	Artocarpeae	Moraceae			AY289296					
Parartocarpus venenosus	Artocarpeae	Moraceae			AY289289					
Clarisia ilicifolia	Artocarpeae	Moraceae			AY289293	$\overline{a}$				
Clarisia biflora	Artocarpeae	Moraceae	JQ592804		AY289292					
Batocarpus costaricensis	Artocarpeae	Moraceae			AY289290	$\overline{\phantom{a}}$				
Batocarpus amazonicus	Artocarpeae	Moraceae			AY289291					
Artocarpus lakoocha	Artocarpeae	Moraceae	KR528787	KR530413	AY289287					
Artocarpus heterophyllus	Artocarpeae	Moraceae	KF724291		AY289285					
Artocarpus altilis	Artocarpeae	Moraceae	AF500345	HM446658	AY289286					
Sparattosyce dioica	Castilleae	Moraceae			AY289302	$\overline{\phantom{a}}$		EU087607		
Pseudolmedia spuria	Castilleae	Moraceae	HM446858	HM446734	AY289325	$\overline{\phantom{a}}$				
Pseudolmedia macrophylla	Castilleae	Moraceae			AY289324					

**Table S1.** GenBank accession numbers for the sequences used in this study, the hyphen symbol denotes missing data.


















Pilea cadierei	Urticaceae	JF317491	JF317431	JF317451	$\overline{a}$	$\overline{\phantom{a}}$	$\sim$	٠	$\sim$
Lecanthus peduncularis	Urticaceae	KF138186	KF138016	$\overline{\phantom{a}}$		$\overline{\phantom{a}}$			
Debregeasia longifolia	Urticaceae	KF138141	KF137974	AY289252	$\overline{a}$	٠	$\overline{\phantom{a}}$	۰	$\overline{\phantom{a}}$
Coussapoa villosa	Urticaceae	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	AY289261	$\overline{a}$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	٠	$\overline{\phantom{a}}$
Coussapoa schottii	Urticaceae	$\overline{\phantom{a}}$		AY289260	$\sim$				$\overline{\phantom{a}}$
Coussapoa panamensis	Urticaceae	٠	$\overline{\phantom{a}}$	AY289258	٠	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	۰	$\sim$
Coussapoa nymphaeifolia	Urticaceae			AY289259	<b>.</b>	$\overline{\phantom{a}}$			
Coussapoa latifolia	Urticaceae	٠	$\overline{\phantom{a}}$	AY289257	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	٠	$\overline{\phantom{a}}$
Cecropia peltata	Urticaceae	JQ594320	JQ589392	AY289265	$\overline{a}$	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	٠	
Cecropia palmata	Urticaceae	AF061196	GU135054	AY289262	$\overline{\phantom{a}}$	٠			$\sim$
Cecropia obtusifolia	Urticaceae	KF138134	GQ981958	AY289263	$\sim$	۰	$\overline{\phantom{a}}$	٠	$\sim$
Cecropia insignis	Urticaceae	GQ981692	JQ589383	AY289264	$\sim$	٠	$\overline{\phantom{a}}$	۰	$\sim$
Boehmeria umbrosa	Urticaceae	KF138130	KF137965	$\overline{\phantom{a}}$	٠	٠	$\overline{\phantom{a}}$	۰	$\sim$
Boehmeria spicata	Urticaceae	KF138127	KF137962	$\overline{\phantom{a}}$	٠	$\overline{\phantom{a}}$	$\overline{\phantom{a}}$	۰	$\overline{\phantom{a}}$
Boehmeria densiflora	Urticaceae	KF138114	KF137951						

**Table S2.** Detailed information for the fossil calibrations used in this study.





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Table S4. Divergence time estimates for tribes of Moraceae. Here we list the results of three different methods (see text): estimation with older



root maximum boundary (old\_root); estimation without calibration of stem-group *Ficus* (excl\_*Ficus*).

<sup>1</sup>95% HPD: 95% highest posterior density; <sup>2</sup>*Streblus smithii* was here excluded from crown-group Moreae (see text for details).

**Table S5.** Summary results of ancestral state reconstruction for the complete (320 species) dataset by Bayesian approach with fixed model (equal rate or unequal rate).

One MCC tree				3600 posterior trees					
	Equal rate								
	CG Moraceae <sup>1</sup>	95% HPD <sup>2</sup>	$CG \text{ Ficus}^3$	95%HPD	CG Moraceae	95% HPD	CG Ficus	95%HPD	
	Dioecy	$0.87 -$ 0.94	Monoecy	$0.89 - 0.95$	Dioecy	$0.81 - 0.99$	Monoecy	$0.95 - 0.99$	



<sup>1</sup>CG Moraceae: breeding system state of crown-group Moraceae; <sup>2</sup>95% HPD: 95% highest posterior density; <sup>3</sup>CG *Ficus*: breeding system state of crown-group *Ficus*.

## **Supplementary data for Chapter II. long-distance dispersal shaped the diversity of tribe Dorstenieae (Moraceae)**



## **Table S1.** List of specimens collected in this study.









**Table S2.** AIC of biogeographic reconstruction with time-constant (model0, a) or time-stratified (model1, b) DEC, DIVA-like and BayArea-like based models.

a)



b)



d: dispersal rate

e: extinction rate

j: the rate of founder-effect speciation process

**Table S3.** List of ancestral distribution area estimated for several nodes by BioGeoBEARS. a) time-constant models (model0); b) time-stratified models (model1)

a)



b)

model	$_{\rm CG}$	SG	CG	SG	$_{\rm CG}$	<b>SG</b> Neo	CG Neo	Node
	<b>Dorstenieae</b>	<b>Brosimum</b>	<b>Brosimum</b>		Dorstenia Dorstenia Dorstenia Dorstenia			
		s.l.	s.l.					
$DEC+Jm1$	<b>CDF</b>	AC.	A					AС
DECm1	<b>CDF</b>	AC	A			AC		АC
BayArea+Jm1 F			A				A	
BayAream1	CF	AC.	A					
$DIVA+Jm1$		AC.	A					
DIVAm1		AC.	А			AС		AC

Codes for distribution areas were the same as in Figure 1 (A, South America; B, Central/North America; C, continental Africa; D, Madagascar; E. India and Sri Lanka; F, Southeast Asia and Oceania.)

## **Supplementary data for Chapter III. breeding system evoluion and climate in** *Ficus*





Significance codes: '\*\*\*' P<0.0001; '\*\*' P<0.001; '\*' P<0.01; '.' P<0.05; 'not sig.' not significant.

GLM, generalized linear models; GEE, generalized estimating equations; M, use median for the tip value; FD, full dataset; Asia, subset dataset with Asia-Oceania *Ficus* only; bio18min, dataset assembeld from the corresponding values of each variables from coordinate of the minimum value of variable "bio18" of each species (see text).



ÉCOLE DOCTORALE Sciences du végétal: du gène à l'écosystème

**Titre :** Phylogénie, biogéographie et évolution des systèmes sexuels chez les Moraceae

**Mots clés :** *Ficus*, Dorstenieae, phylogénomique, datation moléculaire, reconstruction des états ancestraux, niche climatique

**Résumé :** Les Angiospermes sont le clade le plus diversifié des plantes actuelles et sont exceptionnellement riches en espèces dans les régions tropicales. Dans cette thèse, j'ai étudié l'évolution des systèmes sexuels et l'histoire biogéographique de la famille des Moraceae, clade modèle utilisé pour comprendre l'origine et l'évolution de la diversité chez les Angiospermes. Dans le Chapitre I, j'ai reconstruit et calibré un nouvel arbre phylogénétique daté pour les Moraceae. J'ai ensuite utilisé cet arbre pour reconstruire les états ancestraux des systèmes sexuels chez les Moraceae et *Ficus*. Les âges des groupes-couronne des Moraceae et du genre *Ficus* sont estimés au Crétacé et à l'Eocène, respectivement. La dioécie est inférée comme l'état ancestral des systèmes sexuels chez les Moraceae, avec plusieurs transitions ultérieures vers la monoécie, y compris chez *Ficus*. Ce résultat suggère que la dioécie ne représente pas nécessairement un cul-de-sac évolutif. Dans le Chapitre II, j'ai reconstruit un arbre phylogénétique daté pour la tribu des Dorstenieae, distribuée principalement dans les régions tropicales, à partir d'un nouveau jeu de données génomiques nucléaires produit avec une approche Hyb-Seq. L'histoire biogéographique du groupe a ensuite été reconstruite en utilisant les modèles de dispersion-extinction-cladogenèse. Les âges des groupescouronne des Dorstenieae et du genre *Dorstenia* sont estimés au Crétacé et dans la période du Crétacé au Paléocène, respectivement. Deux évènements de dispersion à longue distance depuis l'Afrique continentale vers l'Amérique du Sud ont eu lieu au Cénozoïque (*Dorstenia* et *Brosimum* s.l.). Dans le Chapitre III, j'ai testé les différences de niche climatique (température et précipitation) entre les deux systèmes sexuels (monoécie et gynodioécie) chez *Ficus* avec un nouveau jeu de données fiables d'occurrences spatiales et de systèmes sexuels chez 183 espèces. À cette fin, j'ai utilisé deux approches comparatives : équations d'estimation généralisées (GEE) et modèles linéaires généralisés (GLM). Une relation positive entre précipitation et gynodioécie est soutenue par les analyses GLM, et aucune méthode ne soutient une relation entre température et système sexuel. Une meilleure capacité à se disperser et le potentiel d'autopollinisation sont deux explications possibles pour la colonisation et la survie des espèces monoïques dans des environnements plus secs. Cette thèse démontre le potentiel des méthodes phylogénétiques comparatives et des données phylogénomiques pour répondre aux questions d'évolution des systèmes sexuels et de biogéographie chez les Moraceae et ouvre plusieurs nouvelles perspectives importantes méritant d'être approfondies chez d'autres clades de plantes, telles que la relation entre système sexuel et niche climatique.

**Title**: Phylogeny, biogeography, and breeding system evolution in Moraceae

**Keywords**: *Ficus*, Dorstenieae, phylogenomics, molecular dating, ancestral state reconstruction, climatic niche

**Abstract:** Angiosperms are the most diversified clade of extant plants and are exceptionally species-rich in tropical regions. In this thesis, I investigated breeding system evolution and biogeographic history in the family Moraceae, which I used as a model clade to understand the origin and evolution of diversity of angiosperms. In Chapter I, I reconstructed and calibrated a new dated phylogenetic tree for Moraceae as a whole. I then used this tree to reconstruct ancestral states of breeding systems in Moraceae and *Ficus*. The crown group ages of Moraceae and *Ficus* were estimated in the Cretaceous and in the Eocene, respectively. Dioecy was inferred as the ancestral breeding systems of Moraceae, with several subsequent transitions to monoecy, including in *Ficus*. This result suggests that dioecy is not necessarily an evolutionary dead end. In Chapter II, I reconstructed a dated phylogenetic tree for tribe Dorstenieae, mainly distributed in tropical regions, with a new data set of nuclear genomic data generated with a Hyb-Seq approach. Biogeographic history was then reconstructed using dispersalextinction-cladogenesis models. The crown group ages of Dorstenieae and *Dorstenia* were estimated in the Cretaceous and in the Cretaceous/Paleocene period, respectively. Two long-distance dispersal events from continental Africa to South America occurred in the Cenozoic (*Dorstenia* and *Brosimum* s.l.). In Chapter III, I tested the climatic niche difference (temperature and precipitation) between the two breeding systems (monoecy and gynodioecy) in *Ficus* using a new dataset of cleaned spatial occurrence records and breeding systems for 183 species. I used two comparative approaches: generalized estimating equations (GEE) and generalized linear models (GLM). A positive relationship between precipitation and gynodioecy was supported by GLM, but not GEE analyses, and no relationship between temperature and breeding systems was supported by either method. Higher dispersal ability and the potential for self-fertilization may explain why monoecious species of *Ficus* have been able to colonize and survive in drier environments. This thesis highlights the potential of phylogenetic comparative methods and phylogenomic data to address questions of breeding system evolution and biogeography in Moraceae, and opens up several important new perspectives worth investigating in other plant clades, such as a relationship between breeding system and climatic niche.