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# Exploring Transcriptional Heterogeneity in the Postnatal SVZ

Stefan Zweifel

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**Stefan ZWEIFEL**

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**Exploring Transcriptional Heterogeneity in the  
Postnatal SVZ**

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**“Life is a Sisyphean race, run ever faster toward a finish line that is merely the start of the next race”**

*Matt Ridley, *The Red Queen: Sex and the Evolution of Human Nature**

**“Success is peace of mind, which is a direct result of self-satisfaction in knowing you made the effort to become the best of which you are capable.”**

*John Robert Wooden, 10 times NCAA Basketball Champion Coach*

*Für mini Elterä*



## **Abstract**

Germinal activity persists in the postnatal mammalian brain in specialized niches, namely the dentate gyrus of the hippocampus and the subventricular zone (SVZ) surrounding the lateral ventricle. Neural stem cells (NSCs) of the postnatal SVZ differentiate into transient amplifying progenitors that will generate neuroblasts migrating through the rostral migratory stream, into the olfactory bulb, where they differentiate into neurons. The SVZ additionally generates glial progenitors that invade the nearby parenchyma. Recent work to which I have participated, highlights the heterogeneous nature of the postnatal SVZ in respect to different microdomains generating distinct neural lineages.

The objectives of my PhD work were twice: 1) to develop new means to explore the heterogeneity of the SVZ; and 2) to identify transcription factors expressed by subpopulations of NSCs of the SVZ and acting in their differential specification.

**Objective 1:** The SVZ is a highly complex and irregular region of ongoing postnatal germinal activity. The heterogeneous character of the SVZ is evident and recent studies generated enormous datasets of transcripts, which are differentially expressed between divergent microdomains. However, an appropriate tool for fast analysis of the protein level along the full rostro-caudal and dorso-ventral extend of the SVZ is still missing. Therefore, I developed “FlashMap”, a semi-automatic software that allows rapid analysis of protein levels in the full SVZ, based on optical density measurements after immunohistochemistry. “FlashMap” generates easy readable heatmaps in two dimensions, which can be accurately superimposed on three-dimensional reconstructions of the ventricular system for rapid spatial visualization and analysis. This new approach will fasten research onto SVZ regionalization, by guiding the identification of markers, such as transcription factors expressed in specific SVZ microdomains.

**Objective 2:** I used transcriptomic as well as fate mapping approaches to investigate the relation between regional expression of transcription factors by NSCs and their acquisition of distinct neural lineage fates. Our results support an early priming of NSCs to produce defined



cell types depending of their spatial location in the SVZ and identify Hopx as a marker of a subpopulation biased to generate astrocytes. Interestingly, manipulation of Hopx expression showed minor effects on astrogenesis, but resulted in marked changes in the number of NSCs and of their progenies. Taken together, our results highlight transcriptional and spatial heterogeneity of postnatal NSCs, as well as their early priming toward specific lineages and suggest a role for Hopx in the evolution of SVZ germinal activity.

## Résumé

Une activité germinale persiste après la naissance dans des niches spécialisées du cerveau des mammifères, à savoir le gyrus denté de l'hippocampe et la zone sous-ventriculaire (SVZ) bordant le ventricule latéral. Les cellules souches neurales (NSC) de la SVZ postnatale se différencient en progéniteurs transitoires qui vont générer des neuroblastes migrant à travers la voie de migration rostrale vers le bulbe olfactif, où ils se différencient en neurones. La SVZ génère également des progéniteurs gliaux qui se dispersent dans le parenchyme voisin. Les travaux récents auxquels j'ai participé soulignent la nature hétérogène de la SVZ postnatale, composée de différents microdomaines générant des lignées neurales distinctes.

Les objectifs de mon travail de thèse ont permis de : 1) développer de nouveaux moyens pour explorer l'hétérogénéité de la SVZ; et 2) d'identifier et d'étudier le rôle d'un facteur de transcription exprimé par une sous population des NSCs de la SVZ.

**Objectif 1:** La SVZ est une région hautement complexe et irrégulière dans laquelle une forte activité germinale persiste après la naissance. Le caractère hétérogène de la SVZ est évident et des études récentes ont généré une très grande base de données de transcrits, qui sont différentiellement exprimés entre les microdomaines. Cependant, un outil approprié pour l'analyse rapide du niveau d'expression d'une protéine d'intérêt, le long des axes rostro-caudal et dorso-ventral de la SVZ est toujours manquant et nécessaire. Par conséquent, j'ai développé "FlashMap", un logiciel semi-automatique qui permet une analyse rapide des niveaux d'expression de protéines dans le SVZ, basé sur des mesures de densité optique après immunohistochimie. "FlashMap" génère des cartes thermiques facilement lisibles en deux dimensions, qui peuvent être superposées avec précision aux reconstructions tridimensionnelles du système ventriculaire pour une visualisation spatiale fine et rapide. Cette nouvelle approche accélérera la recherche sur la régionalisation de la SVZ, en permettant l'identification de marqueurs (e.g. facteurs de transcription) exprimés dans des régions discrètes de la SVZ.

**Objectif 2:** J'ai utilisé des approches de transcriptomique et de « fate mapping » des NSCs pour étudier la relation entre l'expression régionale de facteurs de transcription et leur différenciation dans des lignées neurales distinctes. Mes résultats supportent un amorçage précoce des NSCs à produire différents types cellulaires en fonction de leur localisation spatiale dans la SVZ. Nos données identifient Hopx comme un marqueur d'une sous population de NSCs qui génère principalement des astrocytes. De façon intéressante, la manipulation de l'expression de Hopx montre des effets mineurs sur l'astrogénèse, mais entraîne des changements marqués quant au nombre de NSCs et de leur descendance. Dans son ensemble, Mes résultats mettent en évidence à la fois une hétérogénéité spatiale des NSCs postnatales ainsi que leur amorçage précoce à produire des types cellulaires distincts.

## List of Abbreviations (Abbr.)

<b>2D</b>	2-dimensional
<b>3D</b>	3-dimensional
<b>Aldh1l1</b>	aldehyde dehydrogenase 1 family member L1
<b>BF1</b>	brain factor 1
<b>BrdU</b>	5-bromo-2'-deoxyuridin
<b>CalB</b>	calbindin
<b>CalR</b>	calretinin
<b>CC</b>	corpus callosum
<b>cKO</b>	conditional knock-out
<b>CLoNE</b>	clonal labeling of neural progenies
<b>CNS</b>	central nervous system
<b>CSF</b>	cerebrospinal fluid
<b>Ctrl.</b>	control
<b>Cx</b>	cortex
<b>DCX</b>	doublecortin
<b>dEPO</b>	dorsal electroporation
<b>DG</b>	dentate gyrus
<b>DL</b>	dorso-lateral corner
<b>dIEPO</b>	dorso-lateral electroporation
<b>dINSC</b>	dorso-lateral neural stem cell
<b>dISVZ</b>	dorso-lateral subventricular zone microdomain
<b>DM</b>	dorso-medial corner
<b>dmEPO</b>	dorso-medial electroporation
<b>dmNSC</b>	dorso-medial neural stem cell
<b>dmSVZ</b>	dorso-medial subventricular zone microdomain
<b>dNSC</b>	dorsal neural stem cell
<b>dpe</b>	days post electroporation
<b>dSVZ</b>	dorsal subventricular zone microdomain
<b>E11</b>	embryonic day 11
<b>EPO</b>	electroporation
<b>FACS</b>	fluorescence activated cell sorting
<b>GCL</b>	granule cell layer
<b>GFAP</b>	glial fibrillary acidic protein
<b>GFP</b>	green fluorescent protein
<b>GL</b>	glomerular layer
<b>GoF</b>	gain of function
<b>Hopx</b>	Homeodomain-only protein
<b>hpe</b>	hours post electroporation
<b>JS<sub>div</sub></b>	Jensen-Shannon divergence
<b>KI</b>	knock-in
<b>KO</b>	knock-out

<b>LGE</b>	lateral ganglionic eminence
<b>INSC</b>	lateral neural stem cell
<b>LoF</b>	loss of function
<b>ISVZ</b>	lateral subventricular zone microdomain
<b>LV</b>	lateral ventricle
<b>MADM</b>	mosaic analysis with double markers
<b>MAGIC</b>	multiaddressable genome-integrative color
<b>MAP2</b>	microtubule-associated protein-2
<b>MCL</b>	mitral cell layer
<b>MGE</b>	medial ganglionic eminence
<b>mSVZ</b>	medial subventricular zone microdomain
<b>NeuN</b>	neuronal nuclei
<b>NSC</b>	neural stem cell
<b>NSCs</b>	Neural stem cells
<b>NSE</b>	neuron specific enolase
<b>OB</b>	olfactory bulb
<b>OD</b>	optical density
<b>Olig1/2</b>	oligodendrocyte transcription factor 1/2
<b>OPC</b>	oligodendrocyte precursor cell
<b>oRG</b>	outer radial glia
<b>P3</b>	postnatal day 3
<b>PSA-NCAM</b>	polysialylated-neural cell adhesion molecule
<b>qPCR</b>	quantitative polymerase chain reaction
<b>RG</b>	radial glia
<b>RMS</b>	rostral migratory stream
<b>ROI</b>	region of interest
<b>S100<math>\beta</math></b>	s100 calcium-binding protein B
<b>SGZ</b>	subgranular zone
<b>St</b>	striatum
<b>SVZ</b>	subventricular zone
<b>Tam</b>	tamoxifen
<b>TAP</b>	transient amplifying progenitor
<b>Tbr2</b>	T-box brain protein 2
<b>TF</b>	transcription factor
<b>TH</b>	tyrosine hydroxylase
<b>TOAD-64</b>	Turned-On-After-Division 64-kDA
<b>YFP</b>	yellow fluorescent protein

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# **1. Introduction – Technique Dependent Progression in the Field of Neuroscience**

The central nervous system (CNS) is a very complex, highly organized structure. It is composed of three major cell types, the neurons and the glia cells (i.e. astrocytes and the oligodendrocytes). It was originally proposed by Rudolf Virchow (1846) that glia had a mesenchymal origin, like other supporter cells in the body. This hypothesis was later dismissed by Wilhelm, who demonstrated a CNS origin for glial cells (summarized in Jacobson, 1991). It is now well accepted that both neurons and glial cells originate from so called “neural stem cells” (NSCs). It also became apparent that their production does not stop at birth, but that a germinal activity persists in the postnatal CNS.

## **1.1. Nucleoside Analogs Shaped our Understanding of Germinal Regions**

In this first part of my introduction, I would like to focus on how the use of nucleoside analogs shaped our current understanding of a persistent germinal activity in the postnatal brain. Prior to the “nucleoside analog age”, the identification of mitotic activity relied on pure observations of cell morphology using ancient staining techniques (e.g. by the use of thionin followed by eosin or erythrosin). Using these approaches, mitotic features in the CNS of rats, were reported as early as in 1912, by Ezra Allen (Allen, 1912). While many of those early observations have greatly influenced the emerging field of neurosciences, the introduction of nucleoside analogs to study proliferation represents a major step forward. Indeed, these new techniques allowed proving or rejecting early made hypotheses in a convincing manner and opened the era towards modern neuroscience.

Nucleoside analogs shaped our understanding of niches with postnatally persisting germinal activity. In addition, because they allow fate mapping of cells that have proliferated at the time of nucleoside administration, they can give insights into embryonal and postnatal migration patterns. Further, they helped to make conclusions about the subventricular zone (SVZ) architecture when combined with immunohistochemistry and electron microscopy. While (especially in the 90's) many researchers added significant knowledge to the field using more

advanced techniques (e.g. virus approaches), this part of my thesis introduction focuses mainly on results achieved using nucleoside analogs in combination with cell specific markers. Most of the results discussed below are achieved using the radiolabeled nucleoside analog  $[H^3]$ thymidine and the halogen-based nucleoside analog 5-bromo-2'-deoxyuridin (BrdU). These nucleosides respectively can be visualized by autoradiography or immunohistochemistry using specific antibodies.

### 1.1.1. Nucleoside Analogs

A key challenge in the development of nucleoside analogs was to develop a detectable component, which is incorporated into DNA, but not into RNA. While the bases adenine, guanine and cytosine are found in DNA and RNA, thymine is only found in DNA and was therefore chosen. Thymine joins with deoxyribose to create the nucleoside deoxythymidine, which is also named thymidine (**Figure 1A**). Initial trials were made with  $N^{15}$  radiolabeled thymidine.  $[N^{15}]$ thymidine was shown to be efficiently incorporated into the DNA in rat tissue and was confirmed to be absent from RNA (Reichard and Estborn, 1951). Later studies used radioactive  $C^{14}$  to confirm its efficient uptake into synthesized DNA of proliferating cells (Friedkin et al., 1956), as well as in bacteria (Downing and Schweigert, 1956). However, the microscopic visualization by autoradiography (Pelc, 1956; Quastler and Sherman, 1959) appeared rather poor for  $[N^{15}]$ thymidine and  $[C^{14}]$ thymidine. This technical limitation was rapidly overcome by the use of  $[H^3]$ thymidine. Tritium-labeled thymidine ( $[H^3]$ thymidine) was used for the first time as a nucleoside analog in 1957 by Taylor, Woods and Hughes in broad beans. Briefly, root tips of the plant were placed into an  $[H^3]$ thymidine containing medium, transferred into a nonradioactive colchicine containing medium (to prevent anaphase) and stained by the Feulgen reaction. Then radioactive versus native sister-chromatids could be quantified following autoradiographic visualization (Taylor et al., 1957) (**Figure 1B**). This innovative approach allowed them to make important conclusions about the fundamental rules of mitosis, and was therefore later used in other organisms. It should however be noted that although  $[H^3]$ thymidine led to many hallmark findings, it is a time-consuming procedure and lacks spatial resolution due to its revelation by autoradiography. This is a particular issue in the central nervous system, where cells are densely organized. A later developed thymidine

analog, BrdU, had the potential to close this gap. It allows detection of newly synthesized DNA by immunohistochemistry.

**Figure 1. Nucleoside analogs as a tool to detect germinal activity in the central nervous system**

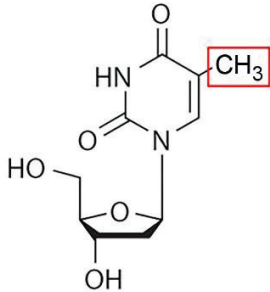
**(A):** Thymidine is identified as the nucleoside of choice for detection of DNA synthesis.

**(B):** Tritiated thymidine harbors radioactive hydrogen isotopes. Cells incorporate it efficiently into their DNA during cell division and it can be readily detected by autoradiography.

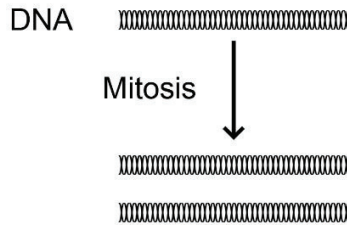
**(C):** BrdU is a halogen-based nucleoside analog harboring a bromine atom (blue) at the place of the methyl group. After incorporation into DNA it can be detected by immunohistochemistry using specific antibodies. Other halogen-based nucleoside analogs are IdU and CldU with iodine (orange) or chlorine (green) atoms replacing the methyl group.

**(D):** EdU has an alkyne group replacing the methyl. After incorporation into the DNA the azide group of the dye ( $N_3$ -dye) binds to it by “click it” chemistry catalyzed by Cu (I). —————>

**A** Nucleoside:  
Thymidine



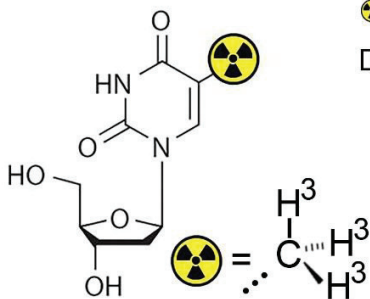
DNA synthesis:  
Thymidine incorporation



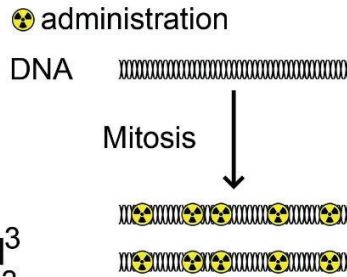
SVZ:  
NSCs niche



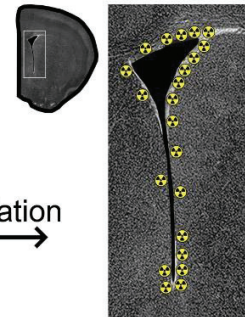
**B** Nucleoside analog:  
[H<sup>3</sup>]thymidine



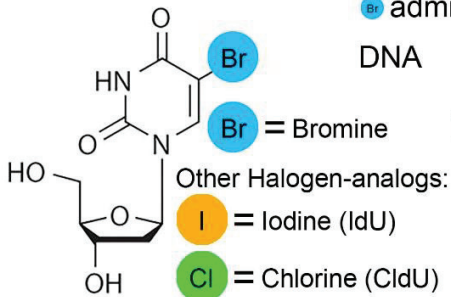
DNA synthesis:  
[H<sup>3</sup>]thymidine (☢) incorporation



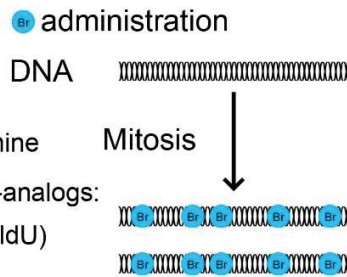
Mitosis (☢) detection by  
autoradiography



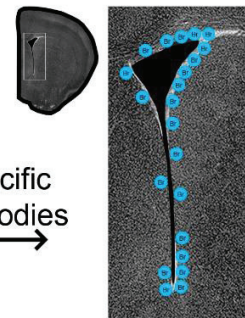
**C** Nucleoside analog:  
5-bromo-2'-deoxyuridine  
(BrdU)



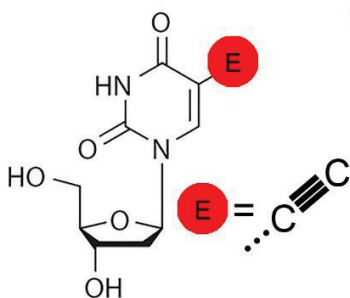
DNA synthesis:  
BrdU (Br) incorporation



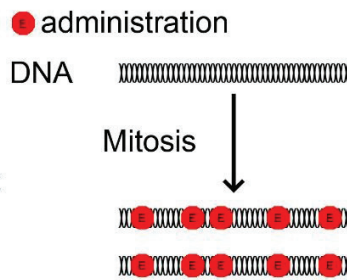
Mitosis (Br) detection by  
immunohistochemistry



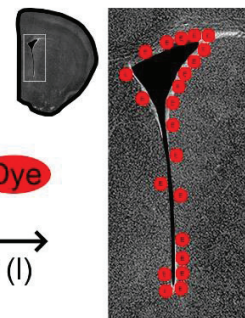
**D** Nucleoside analog:  
5-ethynyl-2'-deoxyuridine  
(EdU)



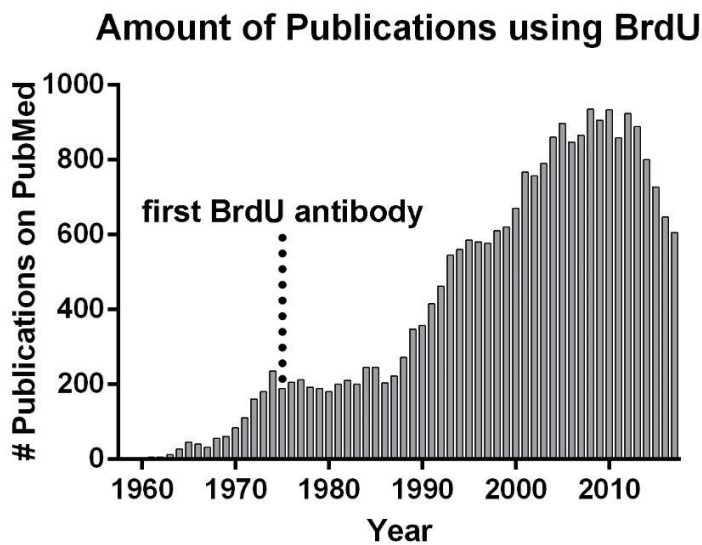
DNA synthesis:  
EdU (E) incorporation



Mitosis (E) detection by  
"click it" chemistry



BrdU became popular by the development of antibodies having both high affinity and specificity. It overcomes the time-consuming procedure of autoradiography necessary for [ $H^3$ ]thymidine detection (Gratzner et al., 1975; Gratzner, 1982; **Figure 1C**). Finally, it allows concomitant immunodetection of cell type specific markers, allowing solid conclusions to be made regarding the identity and fate of BrdU<sup>+</sup> cells. The increasing popularity of BrdU is reflected by the huge amount of scientific articles referenced on PubMed (24375 by end of 2017; **Figure 2**).



**Figure 2. Timeline of BrdU publications**

The first scientific article concerning BrdU was published in 1958. After the development of the first specific BrdU antibody in 1975 it became increasingly used for observations of diverse aspects of DNA synthesis and mitosis. By the end of 2017, 24375 research articles had been published by the scientific community.

Other halogen-based nucleoside analogs have appeared more lately, namely CldU and IdU (**Figure 1C**), as well as the “new generation” nucleoside analog EdU (**Figure 1D**), which can be chemically detected by the more advanced “click it” chemistry (for review Cavanagh et al., 2011). Those can be administrated at different time points. Their detections allow to address the long-term proliferative behavior of a cell, i.e. if it reenter cell cycle and therefore incorporate the second administrated nucleoside. Although they allow multiplex administration, the antibodies used to detect them need to be carefully chosen. Indeed, IdU and CldU have been shown to be specifically detected by two separate antibodies initially

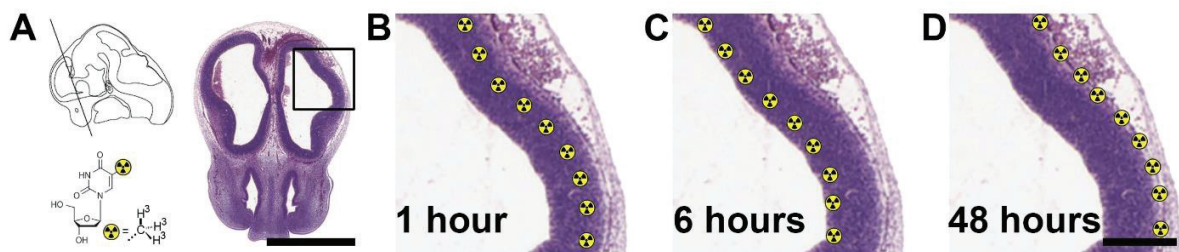
generated for BrdU detection (Yokochi and Gilbert, 2007). The development of EdU circumvent the use of an antibody and allows a faster detection of DNA synthesis by “click it” chemistry, which can be accomplished within a few hours. In addition, the reaction component (fluorescent azides) have a high penetration capacity allowing efficient labeling of thick sections and even whole-mount tissues or organisms (Salic and Mitchison, 2008; **Figure 1D**).

A drawback of nucleoside analogs are their potential toxic effects, which have been widely analyzed and discussed. Administration of BrdU in neonates has been reported to shorten their life span in a dose dependent manner (Craddock, 1981). It induces mutations (Kaufman, 1988) resulting in alterations in differentiation (Tapscott et al., 1989) and proliferation (Weghorst et al., 1991). This adverse effects are explained by the preferential pairing of BrdU with guanine instead of adenine (Kaufman, 1988), which can cause problems in subsequent divisions. Thus, doses superior to 60 mg/kg have been suggested to induce such cytotoxic effects (for review Cavanagh et al., 2011). Similarly, EdU administration leads to long-term toxic effects, while no significant alterations in cell proliferation and survival were observed for short survival times (Ponti et al., 2013).

### **1.1.2. Nucleoside Analogs Give Insight into Embryonic Germinal Activity by Interkinetic Nuclear Migration**

An important step in using [ $H^3$ ]thymidine for studies in neurosciences, was the finding that radioactivity can be found in the brain as early as 15 minutes after intravenous injection into the young adult mouse (Hughes et al., 1958). Although this study focused on the gastrointestinal tract and did not make a thoughtful analysis of the brain, it demonstrated that [ $H^3$ ]thymidine rapidly distributes throughout the mouse body, including the brain, following intravenous injection. It therefore opened the way to study proliferation in this tissue. Thus, [ $H^3$ ]thymidine administration in mouse at embryonic day 11 (E11) revealed that the external half of the primitive ependymal layer of the cerebral vesicles is a region of intense DNA synthesis (**Figures 3A and 3B**). A time course analysis further revealed that [ $H^3$ ]thymidine can be detected in the ventricular (inner) half of the primitive ependymal layer, 6 hours after its injection (**Figure 3C**). At 48 hours, some labeled cells were observed in regions located away from the ependymal layer (**Figure 3D**). Taken together, these observations demonstrate

that regions of DNA synthesis and cell division are distinct. They also suggest that newly generated cells migrate away from its region of origin (Sidman et al., 1959). Using the same method in the early chick neural tube, the cell cycle dependent movement of the cell body was described in more details and referred as interkinetic nuclear migration (Sauer and Walker, 1959). Injections into pregnant female mice at different gestational time points (E11-E17) identified the primitive ependyma of the lateral ventricles (LV) as source of cortical cells along the entire embryonal period. This experiment also revealed the inside-out formation of the cortex, as described in more details below (Angevine and Sidman, 1961).



**Figure 3. Embryonic NSCs undergo interkinetic nuclear migration.**

**(A):** Representative coronal section from an early embryonal brain section (© 2008 Springer Science+Business Media, LLC) illustrates  $[H^3]$ thymidine administration.

**(B-D):** Micrographs highlight the position of labeled cells (☼) in the pallium at different time points after  $[H^3]$ thymidine administration. One hour after administration the labeled cells were found in the external half of the primitive ependymal layer (B). After 6 hours they were located in the inner half (C) and after 48 hours in the surrounding parenchyma.

Scale bars: A = 1 mm; D = 200  $\mu$ m.

### 1.1.3. Nucleoside Analogs reveal Two Sites of persisting Postnatal Germinal Activity

$[H^3]$ thymidine experiments confirmed the original observations made years before by Ezra Allen (Allen, 1912). Indeed, proliferation was shown to persist postnatally in the SVZ at postnatal day 3 (P3), as well as in young adult and adult mice (Messier et al., 1958; Smart, 1961; Smart and Leblond, 1961). This demonstrates that the potential to produce new cells does not abruptly stop after birth or after completing the development. Therefore, the SVZ is a niche of ongoing postnatal proliferation (**Figures 4A and 4B**). Subsequent  $[H^3]$ thymidine studies identified the dentate gyrus (DG) of the hippocampus as a second niche of ongoing



postnatal proliferation in the mammalian forebrain (**Figures 4A and 4C**). Importantly, the germinal potential of both the DG and SVZ was shown to decrease substantially during aging (Altman, 1963; Altman and Das, 1965). Major observations were made by Altman and Das from 1965 on. While already proposed earlier (e.g. Smart, 1961), their studies suggested the persistence of neurogenesis in the postnatal brain. They indeed observed germinal activity in the DG and SVZ of postnatal rats and guinea pigs, and presented data suggesting that it contributed to the generation of new neurons (Altman and Das, 1965; Altman and Das, 1967). Their claims were however examined by the scientific community with skepticism. In particular, technical limitations prevented them to unambiguously demonstrate the neuronal nature of newborn cells. Therefore Kaplan and Hinds reassessed their conclusions by combining [ $H^3$ ]thymidine labeling and electron microscopy of ultrathin (1  $\mu m$ ) sections. The existence of neurogenesis in those two regions was confirmed in the adult rat brain, by identifying labeled cells for neuron specific traits like long microtubule filled processes and a smooth contoured cell body (Kaplan and Hinds, 1977). Evidences for postnatal neurogenesis in mammals accumulated rapidly. Beside adult mice (Smart, 1961) and rats, germinal activity was demonstrated in adult cats (Altman, 1963), guinea pigs (Altman and Das, 1967), and dogs up to an age of 17 years (Fischer, 1967).

While those findings became widely accepted, postnatal mitotic activity in the primate brain remained controversial, and a number of conflicting findings were published. Noetzel and Rox analyzed adult mice and rhesus monkey brains in parallel. While they succeeded in detecting [ $H^3$ ]thymidine mitotic profiles in the mouse SVZ, they obtained negative results in rhesus monkeys. They concluded that the mitotic SVZ in mice is a leftover from the embryonic development and that “the absence of a SVZ” in rhesus monkeys is a sign of a higher developmental level and increased differentiation of the ape forebrain (Noetzel and Rox, 1964). Meanwhile others reported mitotic activity in the young and adult primate SVZ by revealing mitotic features (Lewis, 1968) or by [ $H^3$ ]thymidine administration (Kaplan, 1983). The number of proliferative cells described by Kaplan appeared however anecdotic, as only 14 labeled cells were observed within 48 analyzed sections. Pasko Rakic undertook a large-scale experiment to address this unresolved issue as well as of a possible postnatal cortical neurogenesis. His study included twelve rhesus monkeys of different ages treated with different doses of [ $H^3$ ]thymidine. While proliferation and some degree of neurogenesis was

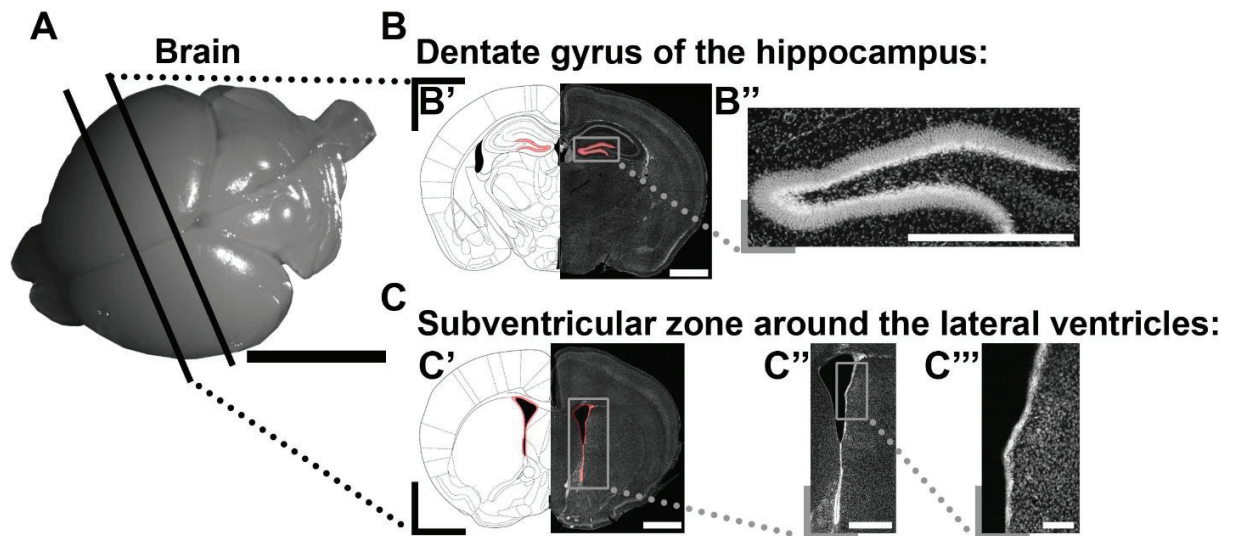
confirmed in the DG during the first few postnatal months, [H<sup>3</sup>]thymidine<sup>+</sup> cells in the cortex were identified as astrocytes and oligodendrocytes. Evidences for postnatal generated neurons in the cortex were not found (Rakic, 1985). Subsequent experiments by the Gould laboratory, confirmed germinal activity in the DG of old world monkeys (*Macaca fascicularis* and *Macaca mulatta*). They confirmed persisting granule cell neurogenesis by using mature and immature neuronal markers. They found a substantial number of cells being positive for NSE (neuron specific enolase), NeuN (neuronal nuclei), TOAD-64 (Turned-On-After-Division 64-kDa) and CalB (calbindin) in individuals of all ages with an age dependent reduction in neurogenesis (Gould et al., 1999). Another report from this group was far more controversial. They found evidences of neurogenesis in the adult SVZ (*Macaca fasciculari*), but also described migration of newborn neurons into the neocortex (prefrontal, inferior temporal and parietal cortex). While 2 hours after BrdU injection positive cells were located in the SVZ, they observed at longer time points cells with elongated nuclei in the white matter, classified as migrating cells, and in the neocortex. A fraction of these cells was confirmed to express the neuronal markers TOAD-64, NeuN, NSE and MAP2 (microtubule-associated protein-2), while others express the astrocytic marker GFAP (glial fibrillary acidic protein). Interestingly, survival of these adult born cells was found to decrease with age (Gould et al., 2001).

In parallel to these studies in old world monkeys, germinal activity and neurogenesis was also investigated in new world monkeys. Studies in the common marmoset *C.jacchus* demonstrated substantial mitotic activity in the postnatal SVZ using both [H<sup>3</sup>]thymidine and BrdU labeling. A high labeling index was observed at early postnatal time points, which dropped remarkably with progressing age to be very low by two years of age. The fate of the postnatal born cells was not analyzed (McDermott and Lantos, 1990). Similar observations were made in the dentate gyrus, where new born neurons acquired the morphological characteristics of granule neurons and expressed the neuronal marker NSE 3 weeks after BrdU injection (Gould et al., 1998). I confirmed these findings in common marmosets during my master thesis project, by using the proliferation marker Ki67. We found a similar age-dependent decrease in proliferation. We observed a large number of doublecortin (DCX) expressing neuroblasts, supporting a persistent neurogenesis. Finally, we demonstrated the presence of progenitors of defined neuronal subtypes by immunodetection of the early GABAergic marker Dlx2 and the glutamatergic marker Tbr2. While Dlx2<sup>+</sup> progenitors persisted until adulthood, the pool of

Tbr2 expressing glutamatergic progenitors in the SVZ was found to be depleted early after birth (Azim et al., 2013).

A much-awaited study concerned the demonstration of such germinal activity and neurogenesis in humans. Eriksson et al., were the first to present evidences for neurogenesis in the aged human DG. They made use of postmortem brain biopsies from aged human patients that suffered from squamous cell carcinoma. These patients had been treated with BrdU at different time points during the course of their cancer for diagnostic purposes. Eriksson et al. described a persisting germinal activity in the human DG, as well as SVZ. First, they demonstrated a substantial germinal activity in the DG in all patients, although a large inter-individual variability was observed for the number of BrdU<sup>+</sup> cells in both the subgranular zone (SGZ) and the granule cell layer (GCL). This variability can be explained by the different ages of the patients, as well as by differences in the time span between BrdU treatment and death. Therefore, although not quantitative, these results concluded for a significant germinal activity in humans. They also provided evidence for neurogenesis, based on BrdU co-expression with the neuronal markers NeuN, CalB and NSE in the DG (Eriksson et al., 1998). Due to the sparsity of tissues from BrdU treated patients, other approaches had to be developed in order to more systematically quantify neurogenesis in humans. Thus, an elegant study introduced the method of radiolabeled C<sup>14</sup> for retrospective birth dating of human cells. Analysis of biopsies issued from individuals of different ages confirmed the occurrence of an extensive hippocampal neurogenesis and neuronal turnover throughout life. Thus, every day, 700 new neurons are generated per hippocampus resulting in an impressive yearly turnover of ~2% of the dentate granule cells. An age dependent decline was observed, but was estimated to be less dramatic compared to mice (Spalding et al., 2013). Using the same method persistence of germinal activity in the adult human SVZ was confirmed (Ernst et al., 2014). Parallel histological studies revealed persistent neurogenesis during infancy (Sanai et al., 2011) but also adulthood (Ernst et al., 2014).

Taken together nucleoside analogs reveal that germinal activity and neurogenesis is a landmark of the mammalian brain, including humans. These studies however highlight that variation in the intensity of this process, as well as in the fate and distribution of newborn neurons may exist.



**Figure 4. Nucleoside analogs reveal two sites of persistent postnatal germinal activity.**

**(A):** Representative picture of a P10 mouse brain illustrates virtual cuts at the level of the DG and SVZ.

**(B):** The DG (highlighted in red) of the hippocampus is a niche of ongoing postnatal activity (B'). Higher magnification micrograph shows the shape of the DG (B'').

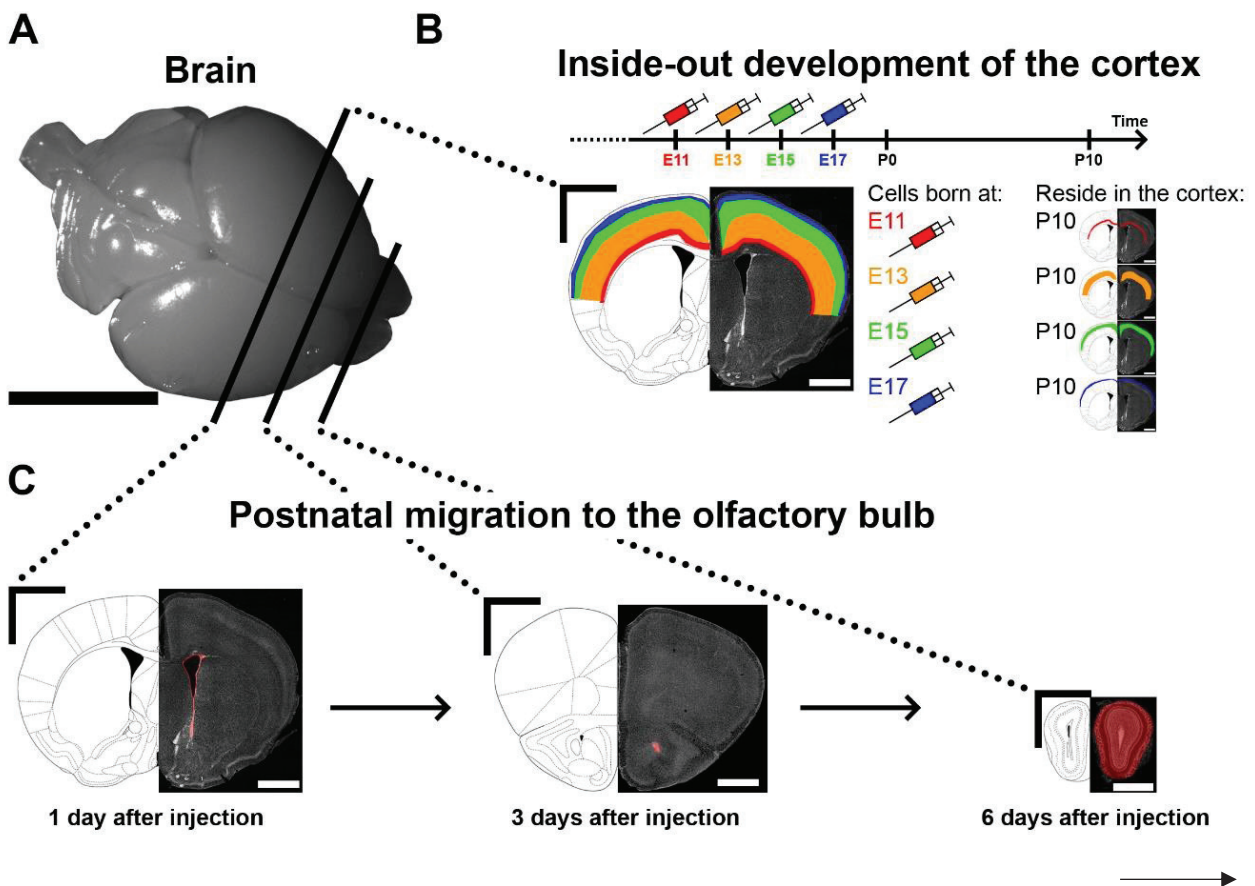
**(C):** The SVZ (highlighted in red) surrounds the LVs (C'). The micrograph illustrates that it consists of 3 regionally distinct microdomains (lateral, dorsal, medial; C''). The lateral microdomain is shown in higher magnification (C''').

Scale bars: A = 5 mm; B', C' = 1 mm; B'', C'' = 500  $\mu$ m; C''' = 100  $\mu$ m. Abbreviations: DG, dentate gyrus; SVZ, subventricular zone; LV, lateral ventricle.

#### 1.1.4. Nucleoside Analogs Give Insights into the Migration Patterns of Cells Generated at Embryonic and Postnatal Times

Cells generated at proximity of the ventricular system rapidly migrate away to invade the surrounding parenchyma. Their pattern of migration however substantially differs at embryonic and postnatal time points. Analysis of cell distribution 10 days after birth, following [ $H^3$ ]thymidine administration at various gestational days (E11, E13, E15 and E17), revealed migration of embryonic born cells into the cortex. Interestingly, they found evidences for an inside-out development, challenging the prevailing view that newborn cells peripherally displace older cells (Tilney, 1934). Thus, cells born at E11 reside in the deepest layer of the cortex. Cells born two days later (E13) were found in the middle third and cells born 4 days later (E15) in the outer third of the cortex. Finally, cells born at E17 were located in the most superficial cell layer at P10 (Angevine and Sidman, 1961; **Figures 5A and 5B**).

The mode of migration and therefore the final destination of newborn cells of the SVZ changes fundamentally during the transition from embryonal to postnatal development. Temporal analysis of newborn cells migration in the postnatal forebrain was first investigated by injecting a group of rats of the same age (P30) and sacrificing them from 1 hour to 180 days after injection. Systematic analysis of cell distribution, allowed to identify the olfactory bulb (OB) as the major final destination of cells originating from the postnatal SVZ. Up to 24 hours  $[H^3]$ thymidine labeled cells were found close to the LVs, while the OB was largely free of positive cells at this early time point. After 3 days, labeled cells were observed in the middle caudo-rostral portion of the rostral migratory stream (RMS) and after 6 days they arrived in the OB, where they distributed into both the granule cell and glomerular layers (GCL and GL, respectively) thereafter (Altman, 1969; **Figure 5A and 5C**).



**Figure 5. Migration pattern in the prenatal and postnatal rodent forebrain.**

**(A):** Representative picture of a P10 mouse brain illustrates virtual cuts at the level of the SVZ, RMS and OB.

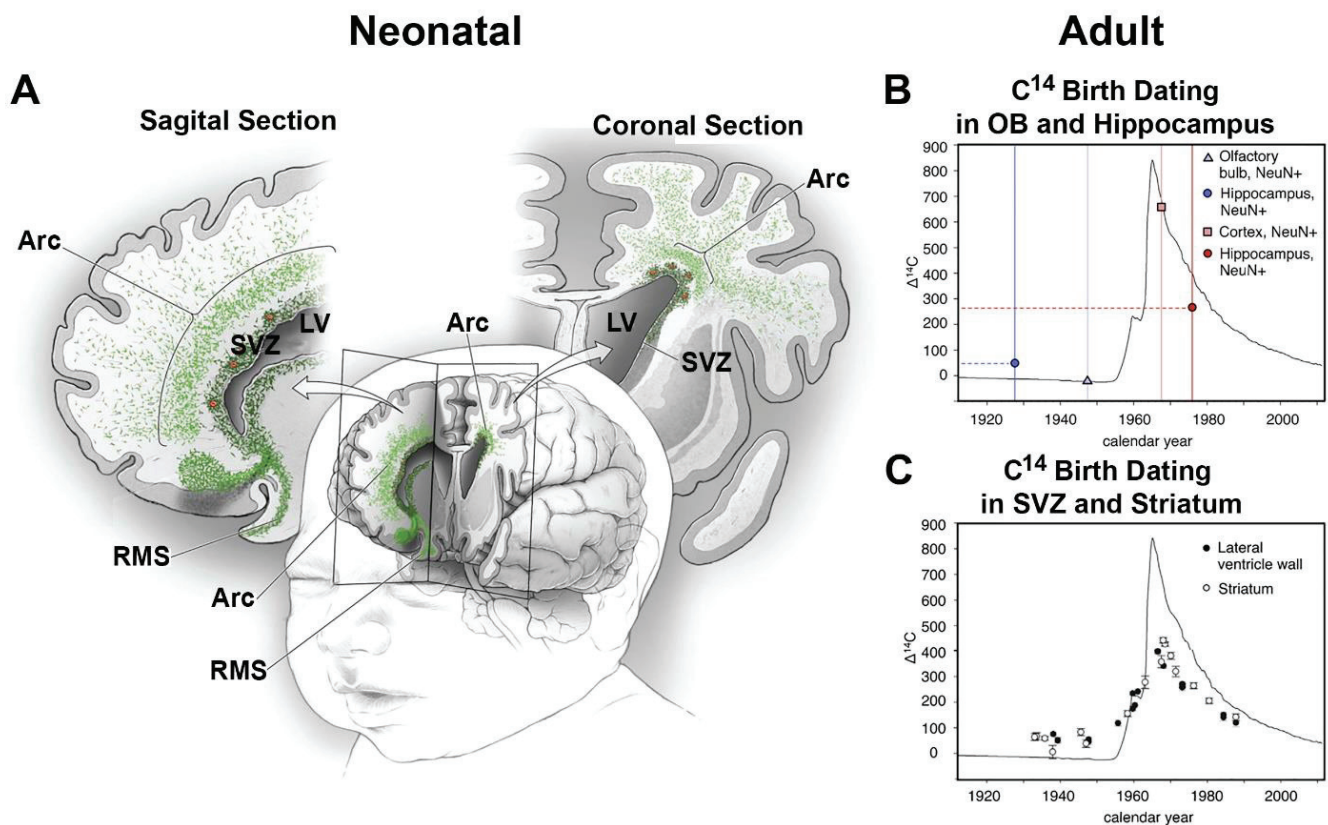
**(B):** The cortex develops in an inside-out fashion. Colored syringes in the timeline represent different [ $H^3$ ]thymidine injection time points into pregnant females at E11 (red), E13 (orange), E15 (green) or E17 (blue).

Radiolabeled cells born at E11 reside in the deepest layer at P10. Cells born at E13 and E15 were found in the middle and outer third of the cortex, respectively. Cells that were generated at E17 were located in the most superficial layer.

**(C):** Postnatally born cells were found in the SVZ 1 day after injection, from where they invade the RMS after 3 days and arrive in the OB after 6 days.

Scale bars: A = 5 mm; B, C = 1 mm. Abbreviations: OB, olfactory bulb; RMS, rostral migratory stream; SVZ, subventricular zone.

In humans the migration pattern is suggested to be remarkably different from rodents and obtained results are subject of controversial discussions. While in young individuals (up to 6 months) the RMS can be recognized by “broad streams” or chains of DCX and frequently co-expressing PSA-NCAM cells (Sanai et al., 2011), there are also extensive arc shaped migration features in the frontal lobe of infants (Paredes et al., 2016; **Figure 6A**). This situation changes dramatically in older individuals (from 2 years on). At these later stages, chains of migrating cells are not observed. Rather, rare single (or pairs of) migrating neuroblasts can be detected (Sanai et al., 2004; Sanai et al., 2011). A subsequent study, using retrospective  $C^{14}$  birth dating, supports these findings by concluding that neurogenesis in the adult human OB is negligible. On the other hand, the neuronal turnover in the adult hippocampus is evident (Bergmann et al., 2012; **Figure 6B**). Interestingly, histological and  $C^{14}$  birth dating experiments rather suggest a dispersion of newborn neurons at proximity of their site of origin, i.e. they may participate to the cellular turnover in the striatum (Ernst et al., 2014; **Figure 6C**). The analysis of neurogenesis in the adult marmoset, which I performed during my Master, support these conclusions. I could indeed observe many DCX<sup>+</sup> cells in the striatum, which increase proportionally with age. Thus, as much as 30% of DCX<sup>+</sup> cells were found in the striatum of a 56 months old marmoset (Azim et al., 2013). Together, these observations suggest a progressive disappearance of cues required for rostral neuroblast migration, which progressively disperse to nearest regions.



**Figure 6. Migration pattern in the neonatal and adult human forebrain.**

**(A):** Illustration of the migration pattern in a neonatal human brain in 3D, as well as in a sagittal and a coronal section. Chains of migrating neuroblasts (green) leave the SVZ ventrally and enter the RMS. Dorsally of the SVZ there is an additional arc shaped region of extensive migration towards the cortex (modified from Paredes et al., 2016).

**(B+C):** Graphs showing the measured  $C^{14}$  concentration as a function of the calendar year. Y-axis represents the difference of the  $C^{14}$  concentration in the air compared to the natural level. The solid black line shows the concentration before, during and after the majority of the nuclear bomb tests. Represented data of hippocampus and OB illustrate and summarize the results from different studies (Bhardwaj et al., 2006; Bergmann et al., 2012; Spalding et al., 2013). Circles illustrate  $C^{14}$  values of hippocampal neurons obtained from individuals born before (blue) and after (red) the peak of nuclear bomb tests.  $C^{14}$  values at death of the individuals were increased and decreased compared to their concentration at birth, respectively. This suggests a postnatal neuronal turnover in the hippocampus. For neurons of the OB (triangle and square) no such correlation was observed (B). Post mortem  $C^{14}$  measurements in cells of the SVZ (black circle) and the striatum (white circle) show substantial differences to the  $C^{14}$  concentrations compared to the date of birth of the individuals (C; both graphs were obtained from Ernst et al., 2014). Abbreviations: LV, lateral ventricle; OB, olfactory bulb; RMS, rostral migratory stream; SVZ, subventricular zone.

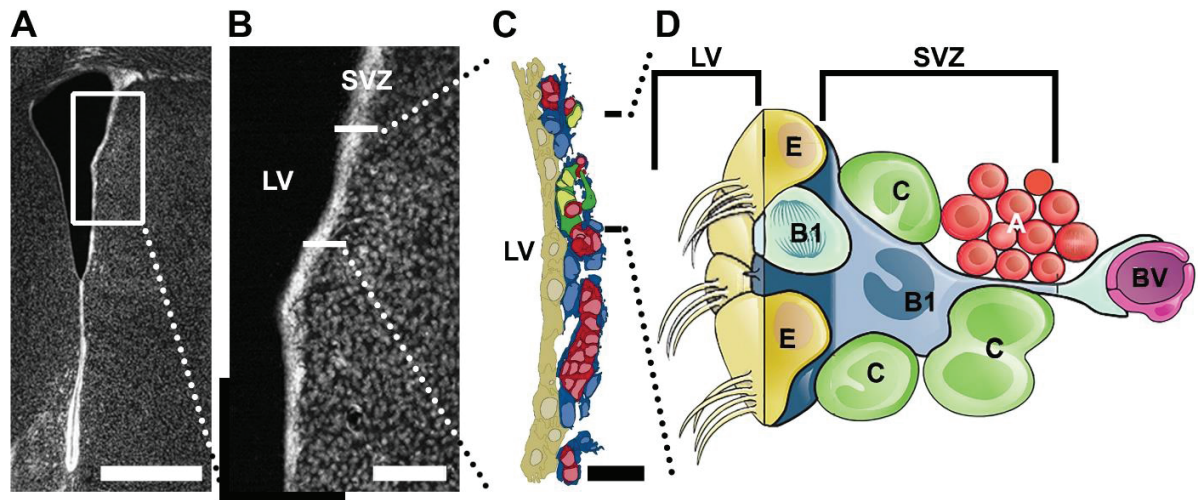
### **1.1.5. The SVZ Has a Complex Cytoarchitecture and Consists of Different Cell Types with Distinct Cycling Behaviors**

The cytoarchitecture of the SVZ, as well as the identity of neural stem cells it harbors, remained elusive for several decades. This was changed in the 90s with a series of landmark publications from the group of Arturo Alvarez-Buylla at the Rockefeller University in New York. The SVZ is not a homogeneous pool of cells, it is rather a heterogeneous mix of different cell types and cells at different levels in their maturation process, which differ in their morphology, function and cycling behavior. NSCs in the SVZ of the postnatal and adult brain originate from radial glia (RG) cells of the embryonal and perinatal brain (for review Kriegstein and Alvarez-Buylla, 2009). NSCs give sequentially rise to distinct cell types of divergent cycling behavior (Doetsch et al., 1997; Ponti et al., 2013). Doetsch and collaborators proposed in 1997 (Doetsch et al., 1997) a revolutionary view on the cellular architecture of the SVZ. They were able to distinguish a number of unique cell types, as well as to provide a detailed description of their organization in the SVZ. They proposed a nomenclature, which is still in use (i.e. type A, B and C cells; see below for more details) as well as the term “neurogenic niche” to define their peculiar 3D organization. Further, they demonstrated the presence of an abundant population of ependymal cells in the SVZ (type E cells), which are ciliated, aligned to the ventricular lumen and non-cycling. These different cell types are readily distinguishable by electron microscopy, based on their diverse ultrastructural morphologies and marker expression. Type A cells express PSA-NCAM and correspond to chains of migrating neuroblasts previously described in the RMS (Rousselot et al., 1995; Lois et al., 1996). They cluster in the SVZ to form a complex network of chains before engaging rostral migration toward the OB (Doetsch and Alvarez-Buylla, 1996). Type B cells exhibit ultrastructural traits (Peters et al., 1991) and expression signature (GFAP, vimentin) of astrocytes (Bignami and Dahl, 1974; Schiffer et al., 1986; Cohen et al., 1994). B cells can be further subdivided into two distinct subtypes, which are distinguishable by their ultrastructure and their position within the SVZ (B1: close to the ependymal layer; B2: at the SVZ-striatum border) and their proliferative behavior (B1 cells were not found to incorporate [ $H^3$ ]thymidine). Type C cells represent the transition between type B and type A cells. They appear in clusters, which are closely associated to type A chains. They contribute to half of the proliferating population, while being negative for type A and type B markers, which also incorporate [ $H^3$ ]thymidine, although to lesser extent.



[H<sup>3</sup>]thymidine positive type B and C cells are distributed along the entire dorso-ventral axis of the lateral SVZ, while cycling type A cells appear to be largely located in the dorsal and ventral aspects only (Doetsch et al., 1997; **Figure 7**). A more recent study investigated the cycling behaviors of type A, B and C cells in more details. They made use of dual labeling techniques using the nucleoside analogs CldU and EdU. This method revealed that the cell cycle length ( $T_C$ ) in adult mice progressively increases throughout differentiation (B1 cells: 17 hrs; C cells: 18-25 hrs). Further, the time for DNA synthesis ( $T_S$ ) was found to be surprisingly short for type B1 cells compared to type C cells (B1 cells: 4.5 hrs; C cells: 12-17 hrs). When interpreting these data it needs to be considered that only actively cycling B1 cells, but not the quiescent fraction of the population, were included into the analysis (Ponti et al., 2013). Interestingly, the  $T_C$  and  $T_S$  of actively cycling NSCs appear almost identical to the one reported for RG cells during embryonic development at E16 (Takahashi et al., 1995). Further analysis showed that type B1 and type C cells cycle 3 times before generating type A cells, which make one or two additional divisions in the SVZ before entering the RMS (Ponti et al., 2013). Cells with a similar ultrastructure like the described type B cells can also be found in the RMS, where they enwrap the chains of migrating type A cells and separate them from the surrounding parenchyma (Lois et al., 1996). Similarly, type B1 and B2 cells appear to isolate migrating neuroblasts in the SVZ from the ependymal layer and the striatal parenchyma. Whereas neurogenesis has been the focus of most studies, it should however be mentioned that SVZ NSCs do not only generate neurons, but also produce astrocytes (Reynolds and Weiss, 1992) and oligodendrocytes (Kirschenbaum and Goldman, 1995) *in vitro*. The *in vivo* evidence for the generation of glia by the postnatal SVZ was given by retrovirus approaches (Levison et al., 1993).

Taken together, the SVZ harbors stem cells showing astrocytic traits (type B cells), which have the capacity to produce a glial and neuronal progeny. Type B cells can be further subdivided into an actively cycling and a quiescent subpopulation (Levison et al., 1993; Doetsch et al., 1997; Doetsch et al., 1999). Recent findings, using more advanced technical approaches, which will be discussed in the general discussion of this thesis manuscript, suggest that most adult NSCs arise from a pool of radial glial cells, which enter quiescence between E13.5 and E15.5 (Furutachi et al., 2015; Fuentealba et al., 2015) and gradually reactivates after birth.



**Figure 7. The cytoarchitecture of the adult SVZ.**

**(A+B):** Representative micrographs of the SVZ (A) and the higher magnification of the lateral microdomain (B) show the zone of interest to illustrate the SVZ cytoarchitecture.

**(C+D):** Ciliated ependymal cells (E; yellow) align the LV and isolate the lumen from the proliferative SVZ. NSC (type B1; blue) give rise to type C cells (green) which generate migrating neuroblasts (type A; red) (schemes were modified from Doetsch et al., 1997 and Tong and Alvarez-Buylla, 2014).

Scale bars: A = 500  $\mu\text{m}$ ; B = 100  $\mu\text{m}$ ; C = 20  $\mu\text{m}$ . Abbreviations: BV, blood vessel; LV, lateral ventricle; SVZ, subventricular zone.

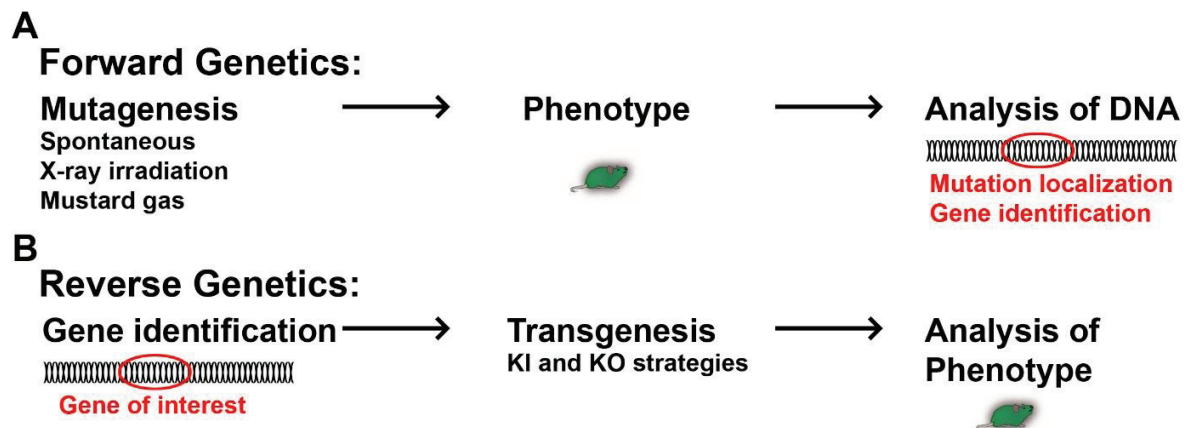
## 1.2. Transgenesis Revealed Early Regionalization of Germinal Regions

Although nucleotide analogs represent a technical breakthrough in the study of germinal activity and have led to major advances in its understanding, they also present limitations. Indeed, they are incorporated in all cycling cells, thereby preventing the study of subpopulations of NSCs. Also, they don't provide any information on the molecular mechanisms involved in NSCs biology. The emergence of advanced transgenic approaches have allowed circumventing these limitations, to shape our current understanding of postnatal germinal regions. These regions appear now highly heterogeneous, with NSCs located in different location generating distinct progenies. Here I will summarize the key methodological developments, which have led to these conclusions.

### 1.2.1. The Development of Transgenesis Approaches Represents a Further Milestone in the History of Neuroscience

Domestication of animals and plants by selecting certain individuals for breeding, while excluding others from the line, can in the broader sense be defined as the slowest transgenic approach. The gene pool of a population gets artificially changed over many generations and new strains arise from the ancestor population. Spontaneous mutations might be kept in the population or rejected by excluding them from breeding. Approaches have been developed which allow direct genome editing. The first transgenic animals (fruit flies) were gained by random mutagenesis of the genome using different mutagens, e.g. by exposure to X-ray irradiation (Herman Joseph Muller, 20s) or mustard gas (Charlotte Auerbach, Alfred Joseph Clark, John Michael Robson, 40s). As insects have a segmental organized body plan (Lawrence, 1992), mutated larvae and flies were screened and those presenting identifiable phenotypes, such as the absence of certain segments, body parts or the poor development of them, were selected (for review McGinnis and Krumlauf, 1992). This method was used for early identification of key developmental regulator genes. Because it first identifies mutated phenotypes (induced or spontaneous), then the mutated gene, it is called forward genetic (for review St Johnston, 2002; **Figure 8A**). In *Drosophila*, segmental identity was found to depend on the segment position along the anterior-posterior axis. It led to the identification of homeobox genes, which expression follows a strict segmental code (for review McGinnis and Krumlauf, 1992). Many of the region specific transcription factors (homeobox and others) were discovered in *Drosophila* by spontaneous and induced mutagenesis approaches (forward genetic). Subsequently, their presence in mammals was confirmed by histological methods and their function resolved by transgenesis.

Later, reverse genetic approaches opened a new era in the field of neuroscience. They allowed to target specific genes to perform loss and gain of function experiments. The development of these refined transgenic strategies represents a milestone in the history of neurosciences. The capability to precisely target specific genes and loci in the genome allowed a wide range of powerful transgenic approaches (**Figure 8B**). This “transgenic era” in neurodevelopmental research began with the creation of null mutants for regionalized transcription factors (TF), for loss of function approaches.



**Figure 8. Illustration of the principles of forward and reverse genetics.**

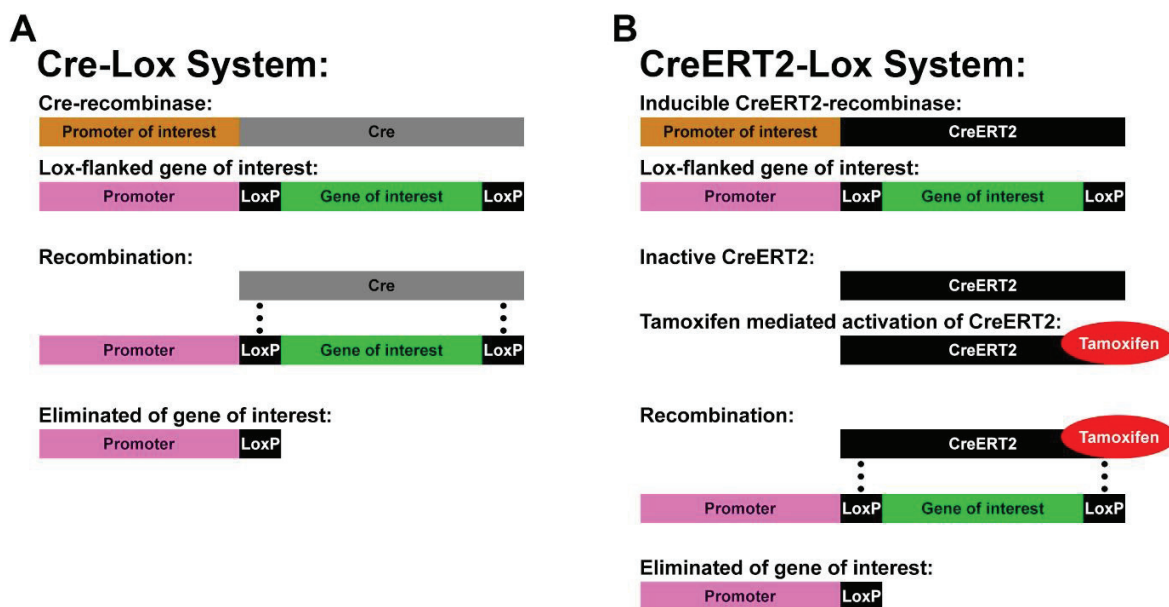
**(A):** In forward genetic approaches mutations are induced or occur spontaneously. If a phenotype was observed, the DNA was analyzed to identify the mutated gene.

**(B):** Reverse genetic approaches identify first the gene of interest. Targeted mutations/modifications are induced by diverse transgenic approaches and the phenotype analyzed thereafter.

However, as many of these TFs are essential for the proper development, complete knock-out (KO) often leads to perinatal death (Qiu et al., 1995; Yoshida et al., 1997). Therefore, it is frequently not possible to investigate the function of these factors in a postnatal or adult context. The discovery of the Cre-Lox systems in combination with targeted knock-in (KI) strategies allowed to overcome this limitation. The Cre-Lox system can be defined as a technology for site-specific DNA recombination. Briefly, the gene of interest is flanked by Lox sites, which are recognized by a Cre-recombinase. This Cre-recombinase can be expressed by any cell type, based on its insertion into the genome, under the control of a well-chosen promoter. This allows controlling its spatial, and to a certain degree also its temporal expression (Sauer, 1998; **Figure 9A**). Newer generations of Cre-recombinases ensure a more advanced temporal control of recombination activity. By fusing genes coding for the Cre-recombinase and a fragment of the estrogen receptor, researchers have engineered several generations of Cre-recombinase (i.e. Cre<sup>ERT</sup>; Cre<sup>ERT2</sup>) that are sequestered into the cell cytoplasm. Administration of the chemical tamoxifen allows the nuclear translocation of the fusion protein, and therefore its activation (Feil et al., 1996; **Figure 9B**).

Taken together, the Cre-Lox systems allow conditional KO (cKO) experiments, which are crucial for investigating the function of certain genes at a given time of development and/or of

postnatal life. In addition, it allows the conditional expression of reporter genes for lineage fate mapping. In this context, several transgenic mouse lines have been generated over the years, which varies in the detectable marker they express (e.g. LacZ, GFP, tdTomato; Madisen et al., 2010). These new developments offered a powerful tool box to advance our understanding of SVZ heterogeneity and regionalized populations greatly.



**Figure 9. Temporal and spatial genetic manipulations using the Cre-Lox system.**

**(A):** The Cre-recombinase (gray) is under the control of a promoter of interest to ensure the spatial and to a certain degree also temporal restricted expression. The gene of interest (green) is flanked by Lox sites (here LoxP; black), which are recognized by the Cre-recombinase. Expression of the Cre-recombinase leads automatically to recombination of the Lox sites and elimination of the gene of interest.

**(B):** Additional temporal control can be achieved by fusion of the Cre-recombinase with a fragment of the estrogen receptor (here ERT2). Cre<sup>ERT2</sup>-recombinases (black) remain in the cytoplasm and therefore inactive until they are activated by tamoxifen (red) administration. Recombination of the Lox sites and elimination of the target gene occurs subsequently.

### **1.2.2. Expression Analyses and Fate Mapping Approaches Highlight the Regionalized Organization of the VZ/SVZ**

One of the most important feature of multicellular organisms is the existence of a clearly defined rostro-caudal and dorso-ventral axis. This organization arises during early embryonic development and depends greatly on the regional expression of combinations of genes. In general, all cells are identical during the first embryonic days. However, cell fates start to diverge from each other already during blastocyst formation around E3.5, long before organogenesis (for review Saiz and Plusa, 2013; Kojima et al., 2014). Heterogeneous expressed cues are major players during embryogenesis and into adulthood. Much of the knowledge we have about these early patterning of the body plan originates from studies in insects, which have a segmental organized body (Lawrence, 1992). Like in *Drosophila*, the development of the mouse central nervous system is orchestrated by regionally expressed genes. Further, the developing forebrain, hindbrain and spinal cord resemble such segmental structures (reviewed in Rubenstein et al., 1994; Philippidou and Dasen, 2013). Focusing on the developing forebrain, we gained insight in these processes by discovering differentially expressed genes by initial immunohistochemistry. Such genes were closer investigated regarding their functional importance in fate decision of regionalized populations by transgenic approaches.

#### **Regionalization is Observed During the Period of Neurogenesis**

Several transcription factors have a clear regionalized expression pattern during early forebrain development. This is particularly apparent for markers of the pallium and the subpallium (i.e. lateral and medial ganglionic eminences, LGE, MGE; septum), some regions which contribute to the formation of specific SVZ microdomains at postnatal stages (reviewed in Fiorelli et al., 2015).

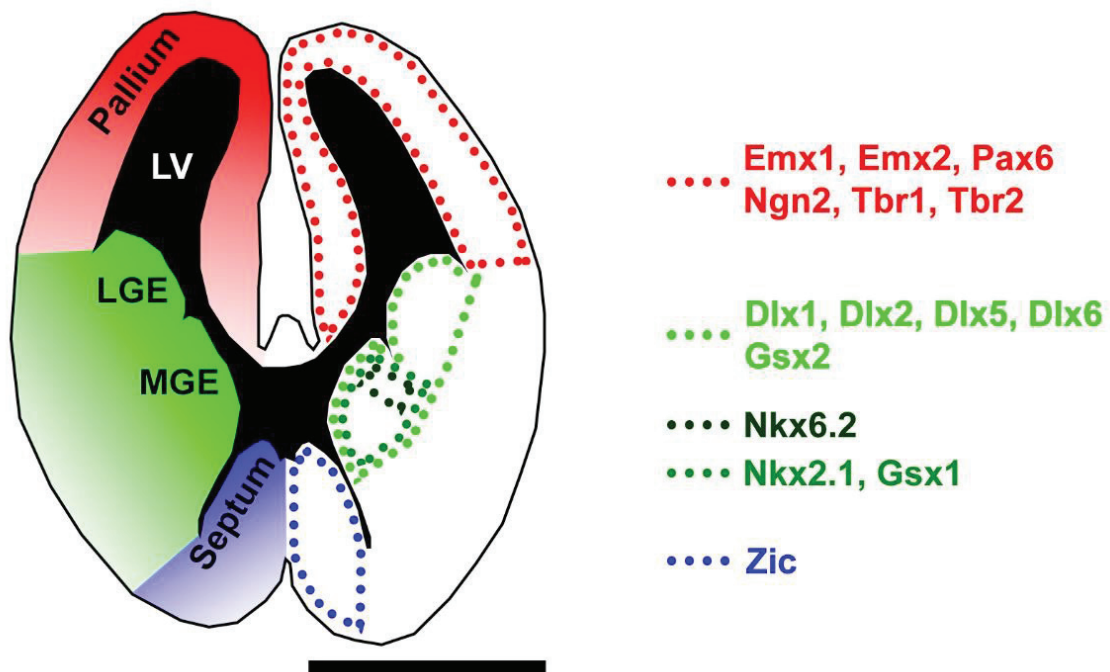
Many markers of the ganglionic eminences, belong to the ANTP class of the homeobox genes, which is the largest class of homeobox genes (Zhong et al., 2008; Zhong and Holland, 2011). This includes members of the Dlx family (Distal-less), namely Dlx1, Dlx2 (Bulfone et al., 1993), Dlx5 and Dlx6 (Simeone et al., 1994), which start to be highly expressed as early as E10. They are enriched in the ganglionic eminences and consistently absent from the pallial

domain. Other ventrally enriched genes of the homeobox family are Nkx2.1 (also called TTF1), Nkx6.2, Gsx1 and Gsx2 (also called Gsh1 and Gsh2) of the Nk2.1, Nk6 and Gsx homeobox gene families (Zhong et al., 2008; Zhong and Holland, 2011). While Nkx2.1 was described to be partly overlapping to the Dlx genes (Price et al., 1992), Nkx6.2 was initially described as a ventral marker of the spinal cord and being largely absent of the developing forebrain (Qiu et al., 1998). However, later experiments revealed its restricted expression in a dorsal stripe of the MGE at E12.5 (Fogarty et al., 2007). Gsx1 and Gsx2 were both found to be expressed in the ganglionic eminences. While Gsx1 is restricted to the MGE (Valerius et al., 1995), Gsx2 is described to be expressed by both the LGE and MGE (Hsieh-Li et al., 1995; **Figure 10, green**). Lineage tracing approaches have shown that subpallial progenitors give rise to GABAergic interneurons. Different Cre reporter mouse lines were used to demonstrate that defined progenitor populations generate distinct lineages of interneurons, which invade the cortex tangentially (Fogarty et al., 2007).

Other homeobox genes show restricted expression to the dorsal forebrain regions, i.e. the pallium. The most studied ones are Emx1, Emx2 and Pax6 (Emx family of the ANTP class; Pax4/6 family of the PRD class; Zhong et al., 2008; Zhong and Holland, 2011). Emx1 and Emx2 start to be expressed in the mouse pallium at E9.5 and E8.5, respectively. While both genes are largely overlapping, Emx2 expression is more widespread than Emx1 (Simeone et al., 1992a). Similarly, the homeobox gene Pax6 is embryonal expressed in the pallium but absent from the ganglionic eminences (Stoykova and Gruss, 1994). Other transcription factors have been shown to act downstream of these homeobox genes, and as a result, keep a strict regional expression. Other highly specific pallial markers are the T-box transcription factors Tbr1 and Eomes (also referred as Tbr2; Bulfone et al., 1995; Bulfone et al., 1999), as well as the bHLH transcription factor Ngn2 (also referred as Neurog2; reviewed in Lee, 1997; **Figure 10, red**). Lineage tracing of the Tbr2 (Pimeisl et al., 2013) and Neurog2 (Berger et al., 2004; Donega et al., 2018) lineages revealed their participation in producing cortical projection neurons (Mihalas et al., 2016). Expression of these TFs antagonize a subpallial expression of the bHLH transcription factor Mash1 (Casarosa et al., 1999).

A third region of the developing forebrain known to participate to the formation of the postnatal SVZ, is the most medial part of the developing forebrain, the septum (for review Fiorelli et al., 2015). Examples of medially enriched markers in the embryonal forebrain belong to the Zic

family of transcription factors (Aruga et al., 1994; **Figure 10, blue**). Zinc-finger TFs control various processes of animal development (for review Grinberg and Millen, 2005). In mammals, there are five *Zic*-related genes that share highly conserved zinc finger domains. In terms of neural development, *Zic2* is particularly important for forebrain development. Thus, mice homozygous for the *Zic2* hypomorphic allele (*Zic2* kd/kd) show holoprosencephaly (HPE), in which the medial part of the forebrain is defective (Nagai et al., 2000).



**Figure 10. Illustration of regionalized TFs expression in the embryonic forebrain.** Scheme of an E14 coronal section illustrates restricted expression in the pallium (red), the LGE and MGE (green) and the septum (blue). Restricted dorsal markers are *Emx1*, *Emx2*, *Pax6*, *Ngn2*, *Tbr1* and *Tbr2*. The ganglionic eminences harbor some markers, which are expressed by both subdivisions (*Dlx1*, *Dlx2*, *Dlx5*, *Dlx6* and *Gsx2*) and others that are restricted to the MGE (*Nkx2.1* and *Gsx2*) or parts of it (*Nkx6.2*). Finally, markers showing restriction to the septum belong to the *Zic* family.  
Scale bar = 1 mm. Abbreviations: LV, lateral ventricle; LGE, lateral ganglionic eminence; MGE, medial ganglionic eminence; TF, transcription factor.



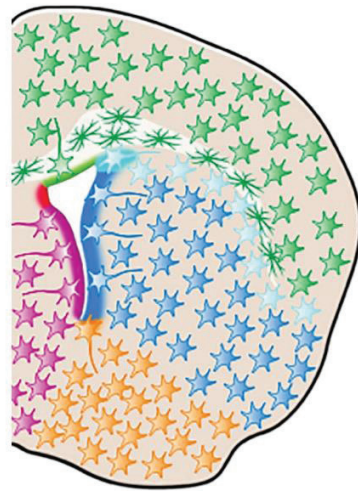
### **Regionalization is Observed During the Period of Gliogenesis**

Astrocytes are known to be produced at the end of the period of neurogenesis. It is classically accepted that RG cells switch fate and start to produce astrocytes that migrate to the cortex and associate with previously generated neurons in so called cortical columns (Magavi et al., 2012; for review Tabata, 2015). Recent studies indicate that their production is highly regionalized and newborn astrocyte precursors migrate radially away from their region of origin (Tsai et al., 2012). It has been shown that the major source of the cortical astrocyte population are astrocytes that proliferate and differentiate in the parenchyma (Ge et al., 2012; **Figure 11A**). TFs associated with astrogenesis are rare. In the developing spinal cord the TF NFIA has been proposed to inhibit neurogenesis and to trigger gliogenesis. Within the glia population, NFIA expression leads to migration and differentiation of astrocyte precursors. This function was found to be antagonized by the expression of Olig2 in oligodendrocyte precursors (Deneen et al., 2006). Despite the description of diverse subtypes of astrocytes (for review Tabata, 2015) there are, to my best knowledge, no TFs described for its association with the specification to these subtypes.

The other macroglia lineage, oligodendrocytes, are described to be produced in 3 different temporal and spatial waves. The first wave originates from the MGE and the medial part of the ventral forebrain, while the second is more laterally generated by the LGE. The final third wave appears postnatally in the dorsal SVZ microdomain. Ablation of a specific line does not result in a phenotype, as the missing “temporal lineage” is replaced by the remaining waves. However under physiological conditions, progenies of the first wave disappear postnatally (Kessaris et al., 2006; **Figure 11B**). While Olig1 and Olig2 are identified as general oligodendrocyte TFs (Zhou and Anderson, 2002), TFs specifying or differentiating oligodendrocytes from these three waves are still missing.

All together, these observations indicate that a regionalization of neurogenesis appears early in the developing forebrain. This regionalization relies on the regional expression of defined TFs and translate into the generation of different neuron subtypes. Similar principles apply to gliogenesis, although the exact transcriptional mechanisms involved in their regional production and its functional importance remains to be fully explored.

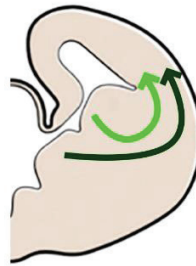
## A Astrogenesis



★ ★ Parenchymal astrocytes  
★ SVZ astrocytes

## B Oligodendrogenesis

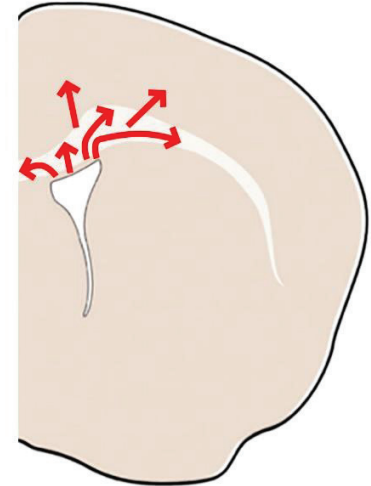
### Embryonal



— 1<sup>st</sup> wave (MGE)

— 2<sup>nd</sup> wave (LGE)

### Postnatal



— 3<sup>rd</sup> wave (dSVZ)

**Figure 11. Illustration of astrogenesis and oligodendrogenesis.**

**(A):** Astrocyte precursors that are generated in the SVZ, migrate radially away from their region of origin and amplify subsequently. Cortical and white matter astrocytes (green) originate in the dorsal SVZ (dSVZ; modified from Bayraktar et al., 2015).

**(B):** Oligodendrogenesis occurs in 3 waves. The first wave (dark green) and the second wave (light green) originate from the MGE and LGE, respectively, and invade the cortex tangentially. The postnatal third wave (red) of oligodendrogenesis occurs in the dSVZ and invades the CC and cortex radially (template used from Bayraktar et al., 2015).

Abbreviations: CC, corpus callosum; SVZ, subventricular zone; dSVZ, dorsal subventricular zone ; LGE, lateral ganglionic eminence ; MGE, medial ganglionic eminence.

### Regionalization of the SVZ is Retained After Birth

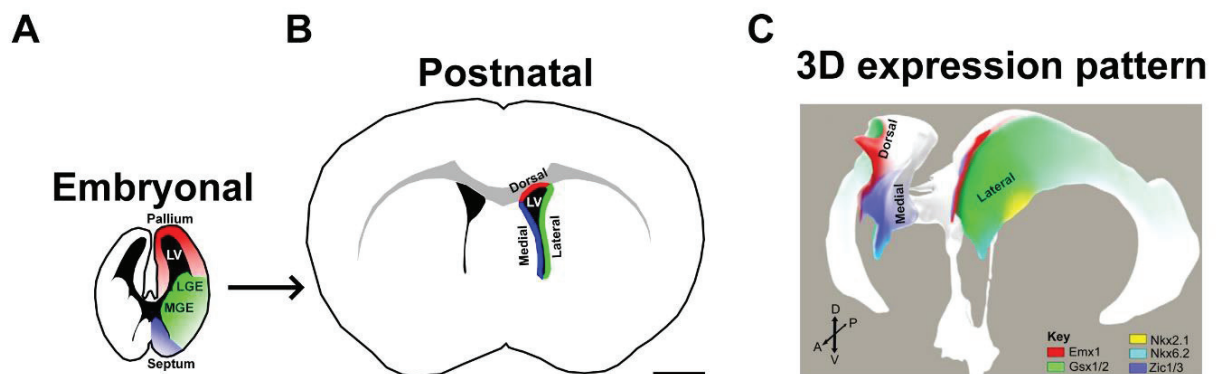
Both neurogenesis and gliogenesis persist in the postnatal SVZ (Levison and Goldman, 1993). Interestingly, the transcriptional regionalization of the developing forebrain is retained postnatally. This is reflected by the restricted expression of the same TFs within defined microdomains of the postnatal SVZ (for review Fiorelli et al., 2015). As described above, these distinct embryonic regions (pallial vs. ganglionic eminences vs. septal domains) are all contributing to the generation of the postnatal SVZ (dorsal vs. lateral vs. medial SVZ microdomains; **Figure 12**). The transcriptional analogies of the embryonic and postnatal/adult

VZ/SVZ, also translate into similarities in the regional generation of defined cell lineages (for review Fiorelli et al., 2015). During my Master, we made use of the Mash1<sup>BAC-EGFP</sup> mouse line, to label postnatal forebrain progenitors. Interestingly, we could show that both Dlx2 and Tbr2 are expressed by Mash1<sup>+</sup> progenitor populations in the postnatal and adult SVZ. These two distinct populations of progenitors were non-overlapping, but also enriched in distinct SVZ microdomains. While Dlx2 positive progenitors were mainly restricted to the lateral SVZ, Tbr2 expressing glutamatergic progenitors were exclusively located in the dorsal SVZ (Azim et al., 2012a). Other studies demonstrated that these two populations give rise to different neuronal lineages. Studies using genetic fate mapping (Neurog2<sup>+/GFP</sup>; Neurog2iCreERT2) approaches showed sequential expression of Pax6, Ngn2, Tbr2 and Tbr1 by the cells in the dorsal SVZ at embryonal and perinatal ages (**Figures 10, 12A and 12B**). This lineage was demonstrated to generate glutamatergic OB neurons until adulthood (Brill et al., 2009; Winpenny et al., 2011). In line with the regional expression of Dlx2 (Azim et al., 2012a; Kohwi et al., 2007), Gsx1 and Gsx2 appear to be enriched in the adult lateral SVZ (López-Juárez et al., 2013). Interestingly, Gsx1 was expressed more ventrally, while Gsx2 was rather dorsally in the lateral SVZ (López-Juárez et al., 2013). This highlights the developmental origin of postnatal lateral SVZ from the embryonic ganglionic eminences, where Gsx1 is restricted to the MGE (Valerius et al., 1995), while Gsx2 is more broadly expressed in the ganglionic eminences (Hsieh-Li et al., 1995). Similarly, Nkx6.2 is expressed by the ventral most region of the adult lateral SVZ, as well as by its medial aspect (Merkle et al., 2014). Interestingly Nkx2.1 is the only TF to date to not show such a strong correlation in its expression pattern, before and after birth (**Figures 10 and 12C**). While it overlaps with Dlx genes in both the LGE and MGE (Price et al., 1992), it is restricted to the ventral tip of the SVZ at postnatal stages (Merkle et al., 2014). Lateral NSCs have been identified, by lineage tracing, to generate interneurons of the OB, in line with their contribution to cortical interneuron generation during development (Fogarty et al., 2007). Inducible fate mapping experiments revealed that Dlx1/Dlx2 expressing progenitors give rise to different OB interneuron subtypes at different embryonal and postnatal ages. These interneuron subtypes diverge in their destination in the OB and in the expression of specific subtype markers (Batista-Brito et al., 2008).

Finally, TFs of the Zic family, which are expressed in the septum during embryonic development, appear to keep their regional expression postnatally. Thus, Zic TFs can be

observed in the septum of the adult forebrain (Aruga et al., 1994), including the medial microdomain of the adult SVZ (**Figures 10 and 12C**). *Zic* expressing progenitors have been shown to generate mainly calretinin (CalR) interneurons of the GL layer in the OB (Merkle et al., 2014; Tiveron et al., 2017).

Taken together, the embryonic VZ as well as the postnatal SVZ, are highly regionalized germinal regions. This regionalization, which is reflected by the differential expression of defined transcription factors, is observed at both pre- and postnatal ages. This suggest that these embryonic and postnatal NSC niches are developmentally related. This was indeed directly demonstrated by using fate mapping approaches (Young et al., 2007).



**Figure 12. Embryonic regionalization is retained postnatally.**

(A+B): Illustrations of embryonal established transcriptional domains (pallium, ganglionic eminences and septum; A), which are retained into postnatal ages. This domains are transcriptionally correlated to their postnatal analogs in the SVZ (lateral, dorsal and medial SVZ; B).

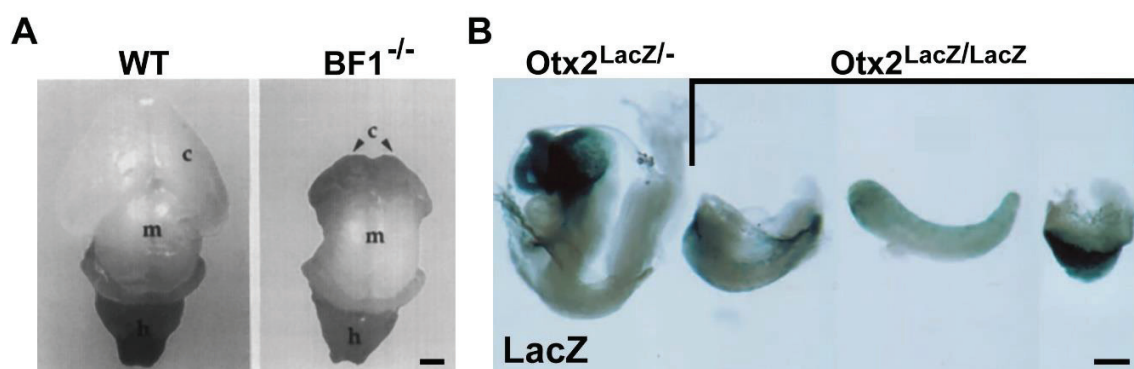
(C): 3D representation of the ventricular system highlights the postnatal expression pattern of some regionalized markers of the SVZ (modified from Fiorelli et al., 2015).

Scale bar: B = 1mm. Abbreviations: LGE, lateral ganglionic eminence; MGE, medial ganglionic eminence; LV, lateral ventricle.

### 1.2.3. Function of Regionally Enriched TFs in Lineage Specification

#### Null Mutants Reveal the Importance of Single Regionalized Genes in Forebrain Development

Deletion of widely expressed TFs have a dramatic impact onto brain development, demonstrating the importance of single genes in brain formation. The brain factor 1 (BF1) is a global telencephalon marker (Shimamura et al., 1995). Null mutations of BF1 demonstrated the importance of single genes in maturation. While heterozygous animals appear normal, homozygous mutants suffer from severe developmental defects and die within 20 minutes after birth (**Figure 13A**). The cerebral hemispheres of these animals were found extremely reduced in size (by 95%), with subpallial regions appearing more affected than pallial ones. These defect results from a marked reduction of RG cells proliferation, as demonstrated by BrdU administration (Xuan et al., 1995). Even more severe are mutations of another widely expressed TF, i.e. Otx2 (Simeone et al., 1992b). Otx2 KO mice die embryonically and lack anterior regions of the neural tube. Beside severe abnormalities in gastrulation they fail to develop forebrain and midbrain regions (Acampora et al., 1995; Ang et al., 1996; **Figure 13B**).



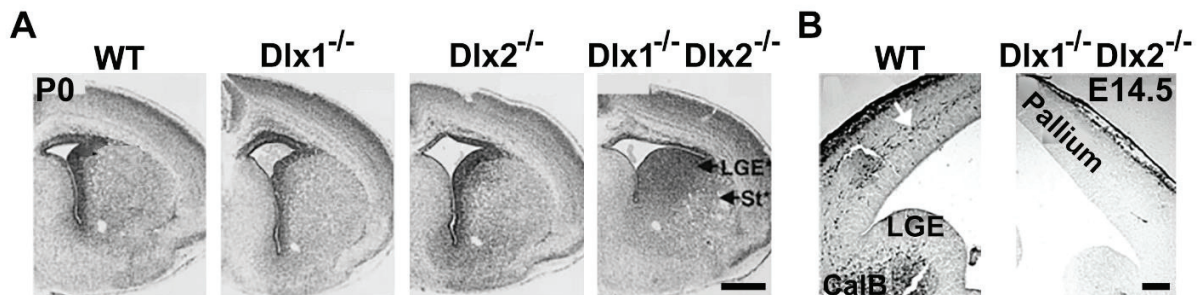
**Figure 13. Severe developmental defects after deletion of widespread telencephalon factors.**

**(A):** Brains from P0 BF1 null mutants have markedly reduced cerebral hemispheres compared to WT controls (modified from Xuan et al., 1995).

**(B):** KO of Otx2 leads to severe developmental malformations and a lack of the anterior regions of the neural tube (modified from Acampora et al., 1995).

Scale bar: A = 1 mm; B = 100  $\mu$ m. Abbreviations: KO, knock out; WT, wild type.

Ablation of ventral and dorsal markers usually have less severe consequences, due to their more restricted expression pattern, although they often result in death at birth. For example ablation of *Dlx1* or *Dlx2*, which show uniform expression in the ganglionic eminences (Bulfone et al., 1993), leads to death before the age of one month or immediately at birth, respectively. However, neither *Dlx1* nor *Dlx2* mutants show any obvious morphological changes (**Figure 14A**). Closer investigation of *Dlx2* null mutants exhibited no changes of regionalized expression of ventral (*Dlx1*, *Dlx5*, *Nkx2.1*; Price et al., 1992; Bulfone et al., 1993; Simeone et al., 1994) or dorsal (*Emx1*; Simeone et al., 1992a) markers. Only the absence of TH<sup>+</sup> periglomerular interneurons in the OB was observed. In contrast, simultaneous ablations of both markers led to severe abnormalities, such as impaired expression of other ventral markers (*Dlx5*, *Dlx6*; Simeone et al., 1994), accumulation of neurons in the LGE, while the striatum is poorly developed (Qiu et al., 1995; Anderson et al., 1997b; **Figure 14A**). Further, the generation of ventral GABAergic interneurons (*CalB*<sup>+</sup>) destined for the cortex (**Figure 14B**) and OB was severely disrupted (Anderson et al., 1997a; Bulfone et al., 1998). This results suggest that *Dlx1* and *Dlx2* seem to be able to compensate the lack of each other to some degree but not completely.



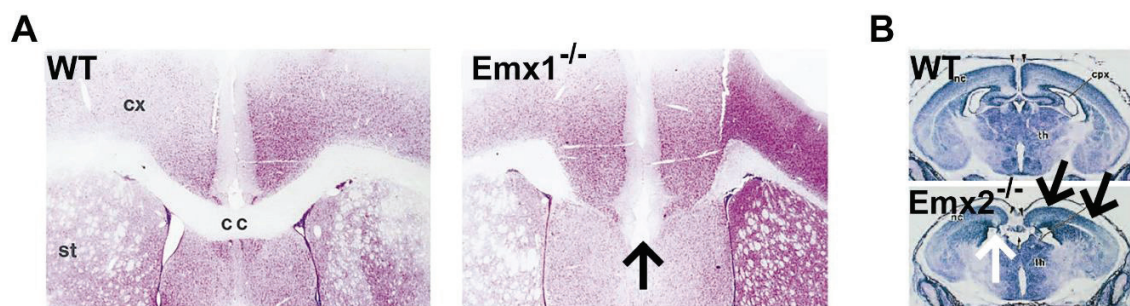
**Figure 14. Severe developmental defects by *Dlx1 Dlx2* double KO.**

**(A):** Representative micrographs of P0 animals illustrate the compensatory roles of *Dlx1* and *Dlx2*. Individuals with ablations of single *Dlx* genes show no obvious morphological changes. However, double mutants exhibit severe subpallial abnormalities. Cells accumulate in a LGE like area (LGE\*) and the striatum is only poorly developed (St\*); modified from Anderson et al., 1997b).

**(B):** Representative micrographs of E14.5 show that double KO animals lack tangentially migrating *CalB*<sup>+</sup> cells from the subpallium into the pallium (white arrow; modified from Anderson et al., 1997a).

Scale bar: A = 500  $\mu$ m; B = 100  $\mu$ m. Abbreviations: LGE, lateral ganglionic eminence; St, striatum; WT, wild type.

In contrast, the pallial markers *Emx1* and *Emx2* (Simeone et al., 1992a) were shown to play more divergent roles. Viability seems to depend greatly of the background. While for *Emx1* null mutants different studies found normal viability to 50% neonatal death, lack of *Emx2* leads to death within hours after birth. Morphologically, *Emx1* ablation exhibits a lack of the CC connection between the two hemispheres (**Figure 15A**). Some degree of this defect appears also in *Emx2* KO animals, besides the missing of a DG and a poorly developed hippocampus. Further, they reported a significant smaller cortex (poorly developed lateral and lacking of medial cortex; **Figure 15B**) and OB with a disorganized mitral cell layer (MCL; Qiu et al., 1996; Yoshida et al., 1997). Also *Tbr1*, another dorsal marker (Bulfone et al., 1995), was found to be important in the development of the MCL. Animals lacking *Tbr1* fail to generate most projection neurons and do not develop a MCL at all (Bulfone et al., 1998).



**Figure 15. Severe dorsal defects following *Emx1* and *Emx2* ablation.**

**(A):** Representative micrographs show the lack the CC connection (arrow) between the two hemispheres in young adult *Emx1* null mutants (modified from Qiu et al., 1996)

**(B):** Ablation of *Emx2* leads to severe morphological changes and neonatal death. Representative micrographs of E19.5 animals show a reduced hippocampus and a lack of the DG (white arrow). Further, the lateral cortex is only poorly developed and the medial part is completely missing (black arrows; modified from Yoshida et al., 1997).

Abbreviations: CC, corpus callosum; cx, cortex; st, striatum.

Taken together, complete KO of single genes results often in reduced viabilities and in different degrees of developmental defects. These defects correlate to the regional appearance of the marker and the lineage-specific expression. However, cKO approaches might be necessary for investigations of their function postnatally.

## **Advanced Transgenic Approaches Allow more Specific Gene Manipulations**

As described above, many null mutations are lethal and results might be influenced by potential bystander effects. Therefore, it is hard to make conclusions of the proper function of a certain factor in one particular population, especially if focusing on postnatal processes. Advanced transgenic mouse lines, making use of the Cre-Lox system, allow spatial and temporal restricted loss of function experiments for more specific investigations.

For example, early cKO of *Tbr2* from the CNS, using Nestin-Cre and Sox1-Cre animals, leads to substantial defects in the OB morphogenesis (Kahoud et al., 2014), microcephaly and severe behavioral defects (Arnold et al., 2008). These cKO animals allow to confirm postnatally the results gained by null mutations. Mutant animals have a reduced number of SVZ progenitors and a disturbed upper cortical layer. While neurogenesis in the newborn DG is not affected, it is extremely reduced in adult animals. Otherwise, neurogenesis of the adult SVZ is reported to be not affected (Arnold et al., 2008). However, the OB appears generally disorganized and lack the MCL, including the virtual absence of *Tbx21*, a mitral cell marker (Faedo et al., 2002), from the OB. In addition, lack of *Tbr2* leads to an abnormal enlargement of the SVZ-RMS system. Regarding *Tbr1*, a general marker of the glutamatergic lineage (Brill et al., 2009; Winpenny et al., 2011), it has been shown that migrating neuroblasts of the glutamatergic lineage (*DCX*<sup>+</sup>; *Tbr1*<sup>+</sup>) accumulate in the perinatal RMS. However, while *Tbr2* seems to be nonessential for embryonic glutamatergic neuroblast generation (regardless their accumulation), adult ablation of *Tbr2* led to a substantial decrease of *Tbr1* positive cells (Kahoud et al., 2014).

During embryonic development, *Ngn2* is a marker of the pallium, while *Mash1* is enriched in subpallial regions. It has been shown that *Ngn2* is necessary for the existence of these two transcriptional regions, as the ventral marker *Mash1* ectopically invades dorsal regions after *Ngn2* KO (Fode et al., 2000). In elegant KI approaches KO mouse lines for *Ngn2* and *Mash1* were generated by replacing *Ngn2* with *Mash1* (*Ngn2*<sup>KIMash1</sup>; Fode et al., 2000) or *Mash1* with *Ngn2* (*Mash1*<sup>KINgn2</sup>; Parras et al., 2002). It has been demonstrated that the *Ngn2*<sup>KIMash1</sup> mutation leads to a ventralization of the pallium, by expression of ventral markers, similarly to *Ngn2* mutants (Fode et al., 2000). On the other hand, the forced ventral expression of *Ngn2*



(Mash1<sup>KINgn2</sup>) did not result in any obvious dorsalization of the subpallium (Parras et al., 2002). This suggests that Mash1 and Ngn2 have different functions in neuronal specification. While Ngn2 is necessary to develop a dorsal fate, Mash1 seems to be less important for the acquisition of a ventral fate.

### **1.3. Advanced Approaches to Explore the Transcriptional Correlates of Postnatal Heterogeneity**

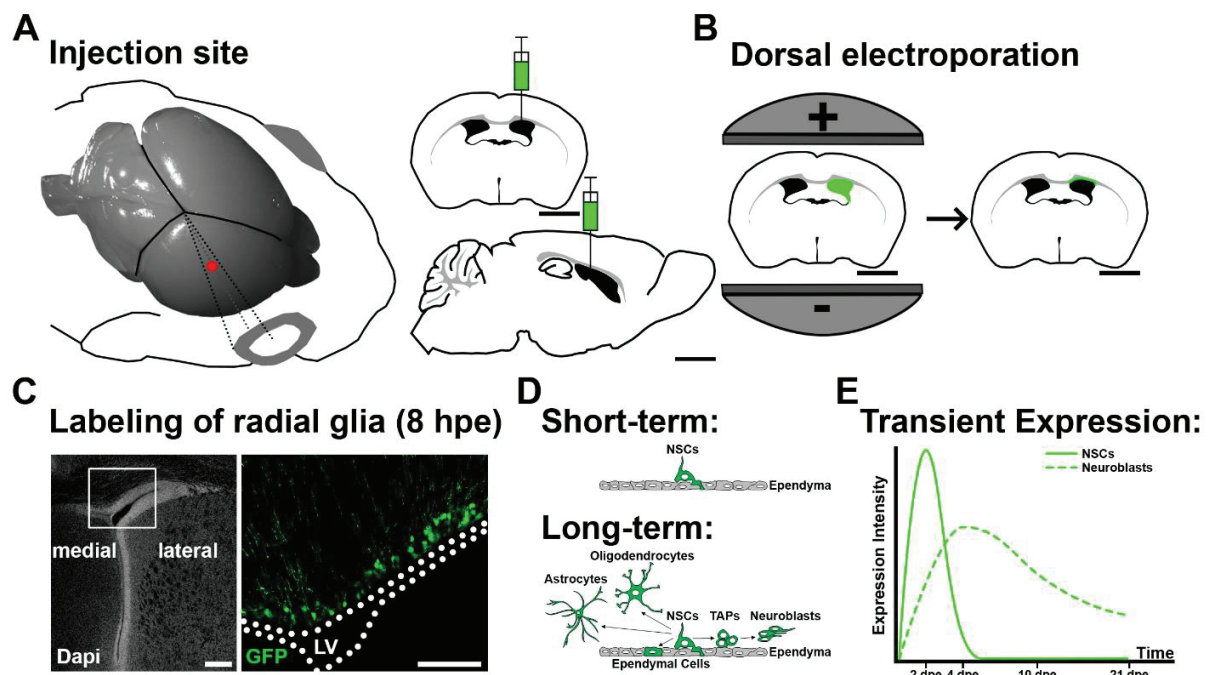
#### **1.3.1. Electroporation Allows Efficient Targeting of Different SVZ Microdomains**

Beside the use transgenic modified animals, postnatal SVZ stem cells can also be manipulated using viruses and electroporation (EPO) approaches (for review Lacar et al., 2010). While the generation of transgenic animals is time-consuming, the two later approaches allow studying gene functions in a rather fast and straight forward way. The big advantage of EPO compared to viral approaches is the possibility to target specific SVZ microdomains. Indeed, injected plasmids move unidirectionally towards the anode and therefore can be efficiently targeted to a chosen region of the brain (Saito and Nakatsuji, 2001). EPOs have been successfully performed in bacteria (Calvin and Hanawalt, 1988), cultured animal and plant cells (Neumann et al., 1982; Fromm et al., 1985), as well as in mouse embryos following their *in vitro* isolation (Itasaki et al., 1999). Subsequent studies have demonstrated its efficiency *in vivo*, into mouse muscle cells (Aihara and Miyazaki, 1998) and in the chick (Muramatsu et al., 1997). Finally, this method has been applied to *in vivo* approaches of the embryonic mouse brain (Saito and Nakatsuji, 2001) and spinal cord (Saba et al., 2003).

Postnatally, EPO of RG cells, i.e. the NSCs of the early postnatal forebrain, can be done during a restricted time window, i.e. from P0 to P4. Transfected RGs keep proliferating and generate progenies harboring and expressing the electroporated gene (e.g. GFP; **Figure 16**). Their neuronal progenies can be detected along the whole RMS 4 days post EPO (dpe) migrating tangentially towards the OB. In the OB they start to migrate radially into the GL and GCL, where they develop characteristic neuronal morphologies (Boutin et al., 2008). Manipulation

of single genes by EPO has been shown to be sufficient to induce changes in the cell cycle and differentiation behaviors of postnatal NSCs (Boutin et al., 2008; Boutin et al., 2010; Azim et al., 2014a; Fischer et al., 2014). However, the real beauty of the EPO approach is the possibility to efficiently target specific, distinct regions of the postnatal SVZ. Therefore it allows to study SVZ heterogeneity between NSCs residing in defined microdomains. For instance, our team demonstrated that neuronal lineages generated in distinct microdomains differ remarkably in their fate and final destination in the OB. While lateral NSCs generate predominantly cells for the GCL, medial EPO targets NSCs producing progenies mainly destined for the GL. The dorsal originated population scatters from the outer part of the GCL, through the MCL and to the GL of the OB (Fernández et al., 2011). The majority of the interneurons residing in the GL can be subdivided into three non-overlapping populations by their expression of tyrosine hydroxylase (TH) or the calcium binding proteins CalR or CalB (Panzanelli et al., 2007). We showed that in the GL TH expressing interneurons preferentially originate from the dorsal microdomain, followed by the lateral microdomain. CR positive interneurons are generated by the medial and to a lesser extend the dorsal microdomain. The largest proportion of the CB lineage comes from the lateral microdomain. Similarly, a comparable fraction of the CR expressing interneurons in the GCL have a dorsal and medial origin, while just few are generated by lateral NSCs (Fernández et al., 2011).

One major drawback of plasmid electroporation is the transient expression of the transgene. Indeed, because it does not integrate into the genome, it gets progressively diluted by successive cell cycles (for review Lacar et al., 2010; Mamber et al., 2010). Advances in plasmid engineering allow to overcome this limitation. For instance, Cre plasmids (constitutive or inducible) can be used in Cre reporter mice, such as the Rosa-EYFP mouse line (Srinivas et al., 2001; **see Chapter 2**). In the same way conditional gain and loss of function experiments of a gene of interest can be performed using an appropriate mouse line if already available. Another possibility of permanent labeling or genetic manipulation is the use of transposon systems (Akhtar et al., 2015). There is a number of transposon systems known including *PiggyBac* and *Sleeping Beauty*. Mammalian cell culture comparisons have demonstrated that the *PiggyBac* system is far the most efficient among them (Wu et al., 2006).



**Figure 16. Illustration of the postnatal EPO approach.**

**(A):** Schematic illustration of the injection site for the plasmids in postnatal EPO. The injection site (red point) in P1-P2 mouse pups lies on a virtual connectional line between lambda and the caudal half of the eye. The optimal location for injection depends on the age/size of the pup and is a little closer to lambda than to the eye. Additionally, schemes of a coronal and a sagittal section illustrate the injection site. The optimal injection depth varies as a function of the age/size of the pup. Highest success rate can be achieved by moving the Hamilton 34G syringe 2 mm down and 0.5 mm up for small animals and 2.25 mm down and 0.75 mm up for larger animals, respectively.

**(B):** For targeted electroporation the anode of the tweezer electrodes needs to be placed next to the region of interest (here the dorsal SVZ microdomain). Targeted transfection of NSCs is driven by 5 pulses of 95 V for 50 ms in 950 ms intervals.

**(C):** Representative micrographs demonstrate efficient transfection of NSCs 8 hours after dorsal EPO. Note that the NSCs are exclusively located in the dorsal SVZ microdomain and have a RG like morphology.

**(D):** Short-term EPO can be efficiently used to label NSCs (see **Chapter 2**). Long-term approaches can be used to investigate the fates of the progenies from the transfected NSCs.

**(E):** A major drawback of this approach is the transient labeling, which is mainly due to plasmid dilution. NSCs lose their labeling within 4 dpe and also in neuroblasts the intensity of the labeling decreases over time.

Scale bars: A, B = 2 mm; C (overview) = 200  $\mu$ m; C (crop) = 100  $\mu$ m. Abbreviations: EPO, electroporation; dpe, days post electroporation; hpe, hours post electroporation; LV, lateral ventricle; NSC, neural stem cell; TAP, transient amplifying progenitor.

### **1.3.2. Large Scale Transcriptional Profiling Reveals the Full Extent of Postnatal SVZ Heterogeneity**

During my Master, we initiated a large scale study to explore further the transcriptional specificities of NSCs residing in defined domains of the postnatal SVZ. In order to probe heterogeneity of the postnatal SVZ, we microdissected its dorsal and lateral walls at different postnatal ages and isolated NSCs and their immediate progeny based on their expression of Hes5-EGFP/Prominin1 and Ascl1-EGFP, respectively. Whole genome comparative transcriptome analysis revealed transcriptional regulators as major hallmarks that sustain postnatal SVZ regionalization. We found an unexpected high amount of genes being differentially expressed between the dorsal and lateral SVZ (1948), NSCs (1224) and TAPs (1215). Interestingly, many of these genes were not just differentially expressed between those two regions, but also specific for SVZ (1461), NSCs (533) and TAPs (577) (Azim et al., 2015). This suggests a highly complex and specific transcriptional regulation of regionalized NSCs niches, the NSCs and the TAPs, their immediate progenies. These results led directly to my PhD project.

### **1.4. Objectives of the PhD Thesis**

**Objective 1:** The SVZ is a highly complex and irregular region of ongoing postnatal germinal activity. The heterogeneous character of the SVZ is evident and recent studies generated enormous datasets of transcripts, which are differentially expressed between divergent microdomains. However, an appropriate tool for fast analysis of the protein level along the full rostro-caudal and dorso-ventral extend of the SVZ is still missing. Therefore, I developed “FlashMap”, a semi-automatic software that allows rapid analysis of protein levels in the full SVZ, based on optical density measurements after immunohistochemistry. “FlashMap” generates easy readable heatmaps in two dimensions, which can be accurately superimposed on three-dimensional reconstructions of the ventricular system for rapid spatial visualization and analysis. This new approach will fasten research onto SVZ regionalization, by guiding the identification of markers, such as TFs expressed in specific SVZ microdomains (**Chapter 1**).

**Objective 2:** I used transcriptomic as well as fate mapping approaches to investigate the relation between regional expression of transcription factors by NSCs and their acquisition of distinct neural lineage fates. Our results support an early priming of NSCs to produce defined cell types depending of their spatial location in the SVZ and identify Hopx as a marker of a subpopulation biased to generate astrocytes. Interestingly, manipulation of Hopx expression showed minor effects on astrogenesis, but resulted in marked changes in the number of NSCs and of their progenies. Taken together, our results highlight transcriptional and spatial heterogeneity of postnatal NSCs, as well as their early priming toward specific lineages and suggest a role for Hopx in the evolution of SVZ germinal activity (**Chapter 2**).

## **2. Chapter 1: “FlashMap” - A Fast and Semi-Automatic Tool for Accurate Spatial Analysis of Protein Expression in the Subventricular Zone**

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### **In preparation for submission**

In this chapter, I designed the study, performed all experiments and analyses and wrote the manuscript. Further, I supervised the coding from “FlashMap”, which was performed by Julie Buquet.

### **2.1. Abstract**

The subventricular zone (SVZ) is a region of ongoing postnatal germinal activity that shows complex spatial heterogeneity. Thus, distinct SVZ microdomains contain neural stem cells (NSCs) that express distinct transcription factors and accordingly generate different glial and neuronal progenies. These unique characteristics call for the development of new methods to integrate a spatial dimension to analyses performed in the SVZ.

We developed “FlashMap”, a semi-automatic software that allows the segmentation and rapid measurement of optical densities (ODs) throughout the SVZ rostro-caudal extent. “FlashMap” generates easily readable two-dimensional (2D) heatmaps that can be superimposed onto three-dimensional (3D) reconstructions of the ventricular system for optimal spatial exploration. Accurate heatmaps can be obtained even following serial section subsampling thereby reducing the amount of tissue and time required for analysis. We illustrate the potential of this new tool by exploring the correlation of SVZ thickness and cellular density with the germinal activity observed along its full rostro-caudal extend. We finally used ‘FlashMap’ to analyze the spatial expression of the transcription factors *Dlx2*, *Tbr2* and *Hopx*, as well as of the

neuroblast marker DCX, to demonstrate the suitability of this approach to explore the regional production of cells of distinct lineages by defined SVZ microdomains.

This newly developed approach, which is easily adaptable to the study of other germinal regions, will considerably fasten the spatial analysis of germinal activity and of the generation of neural lineage diversity.

**Key words:** subventricular zone, germinal activity; spatial heterogeneity; segmentation; optical density measurements

## 2.2. Introduction

The SVZ is a germinal region surrounding the opened lateral ventricles (LV) along its full rostro-caudal extent. It is one of only two niches of the mammalian forebrain, where germinal activity persists throughout postnatal life (Smart, 1961; Altman and Das, 1965; McDermott and Lantos, 1990). At its apical border it lines the lateral ventricle and is restricted at its basal borders by defined brain regions: i.e. the corpus callosum (dorsal border), the striatum (lateral border), the septum and hippocampus (medial border). NSCs harbored by the postnatal SVZ generate neuroblasts, that migrate along the rostral migratory stream (RMS) into the olfactory bulb (OB), where they differentiate into various types of neurons and integrate into the pre-existing network (Lois and Alvarez-Buylla, 1993; Lois and Alvarez-Buylla, 1994; Carleton et al., 2003). Further, NSCs of the postnatal mammalian SVZ give rise to astrocytes and oligodendrocytes (Levison and Goldman, 1993). Accumulating evidences highlight the heterogeneous nature of the SVZ. Thus, the SVZ appears to be populated by regionalized populations of NSCs that are biased to generate diverse neuronal subtypes (Merkle et al., 2007). For instance, most GABAergic (inhibitory) interneurons originate from the lateral microdomain of the SVZ (lSVZ), while glutamatergic (excitatory) projection neurons are exclusively generated by its dorsal counterpart (Brill et al., 2008; Brill et al., 2009; Azim et al., 2012a). Oligodendrocytes are described to be produced in three separated waves. The third and last wave is produced postnatally and originates from the dorsal SVZ (dSVZ; Kessar et al., 2006). Similarly to these findings in rodents, dorsal restriction of glutamatergic progenitors and lateral enrichment of GABAergic progenitors was found in newborn marmosets (Azim et

al., 2013). This suggests that a certain degree of heterogeneity might be evolutionary conserved.

Recent research, driven by the development of new technologies, led to an accumulation of a large amount of transcriptional datasets of various SVZ cell types. For instance, we recently described an unexpected level of transcriptional heterogeneity between the dSVZ and lSVZ, but also between NSCs and transient-amplifying progenitors of those two microdomains (Azim et al., 2015). While the list of regionally expressed genes continuously increases, an appropriate tool for rapid analysis of their expression in the SVZ is still missing. As accurate 3-dimensional quantifications are very time-consuming in the SVZ, we aimed to develop a tool that allows rapid expression analysis along the full rostro-caudal and dorso-ventral extend of this germinal region. “FlashMap” is a software for semi-automatic protein expression measurements in the SVZ in the fastest possible way, based on OD measurements. “FlashMap” allows subsampling of serial sections, in order to further reduce the time for analysis. Data are exported as heatmaps that can be superimposed onto volumetric reconstructions of the lateral ventricle for optimal and intuitive visualization. “FlashMap” has the potential to be applied to a wide range of semi-automated analyses. The software is therefore of substantial interest for the field.

## **2.3. Experimental Procedures**

### **2.3.1. Animals and Ethics**

All animal experimentation procedures were performed according to European requirements 2010/63/UE and have been approved by the Animal Care and Use Committee CELYNE (APAFIS #187 & 188). OF1 mice (Charles River, France; n=3 for all markers) were sacrificed at postnatal day 10 (P10) by an intraperitoneal overdose of pentobarbital followed by transcardial perfusion with Ringer Lactate solution and 4% paraformaldehyde (PFA) dissolved in 0.1 M phosphate buffer (PB; pH 7.4). Tissues were postfixed for 48 hrs in 4% PFA at 4° C.



### **2.3.2. Tissue Processing and Immunohistochemistry**

Brains (**Figure 1A**) were cut into 50  $\mu\text{m}$  thick sections using a vibratome (VT1000 S; Leica; Wetzlar; Germany) and LV containing sections were serially collected in series of 6. When necessary, antigen retrieval was performed by incubating sections for 20 minutes in citrate buffer (pH 6) at 80° C, cooling for 20 minutes at room temperature, followed by extensive washings in 0.1 M PB. Blocking was performed for 2 hrs in TNB buffer (0.05% Casein; 0.25% Bovine Serum Albumin; 0.25% TopBlock in 0.1 M PB) with 0.4% triton-X (TNB-Tx). Incubation with primary antibodies in TNB-Tx was done overnight at 4° C. The following primary antibodies were used: Rabbit anti-Ki67 (1:500; MM France Microm Microtech; RM-9106-S1); Rabbit anti-Tbr2 (1:1000; Abcam; ab23345); Guinea pig anti-Dlx2 (1:5000; kind gift of Kazuaki Yoshikawa; Kuwajima et al., 2006); Rabbit anti-Hopx (1:400; Santa Cruz; sc-30216); Goat anti-DCX (1:500; Santa Cruz; sc8066). Sections were extensively washed in 0.1 M PB with 0.4% triton-X (PB-Tx) and incubated for 2 hrs at room temperature with suitable secondary antibodies (Alexafluor 488, 555 or 647; 1:500; Life Technologies). After washing (0.1 M PB) sections were counterstained with Dapi (1:500; Life Technologies; D1306) for 30 minutes for optimal detection of SVZ borders by “FlashMap”. Ki67 staining was performed on a complete series of sections, while a subsampling of 1/3 was used for Tbr2, Dlx2, Hopx and DCX stainings, as well as for generating the 3D brain and ventricular system models.

### **2.3.3. Image Acquisition and 3D Reconstruction**

Acquisition of images was performed with a Leica DM5500 epifluorescent microscope (Leica Microsystems GmbH, Wetzlar, Germany). Whole brain mosaics were acquired at 4x (HC PL FLUOTAR; N.A. 0.13) for representative overview pictures and 3D reconstruction, at 10x (HCX PL FLUOTAR; N.A. 0.30) for OD analysis and assembled using the LAS X software (Leica Microsystems GmbH, Wetzlar, Germany). For accurate OD analysis, settings were carefully chosen to avoid signal saturation and were maintained throughout the acquisition. Image mosaics were exported in TIFF format (.tif) for further analysis.

A Dapi series of 1/3 sections was used for generating the 3D brain and ventricular system models. Acquired mosaics were orientated using Photoshop (CS4). Contours of the brain and the ventricular system were drawn on individual sections (series of 1/3) using Neurolucida 360

(MBF Bioscience) to generate a 3D mesh. Meshes were saved in “obj” format and exported to the open-source 3D computer graphics software Blender ([www.blender.org](http://www.blender.org)) for further editing. For optimal superimposition of 2D heatmaps onto the 3D ventricular system model, the three SVZ walls (medial, dorsal, lateral) were defined for UV unwrapping. Individual heatmaps were then superimposed to the corresponding 2D UV projection to generate accurate 3D representations (see **Figure 2** for the workflow of the experimental design).

#### **2.3.4. Software Generation and Analysis**

“FlashMap” was coded using the commercial coding software MATLAB (R2017a; The MathWorks) and a stand-alone version was generated to facilitate distribution and use by other researchers. Description of the workflow for a complete “FlashMap” analysis is described in the current manuscript (see **Figure 3** for a step by step walkthrough of the software).

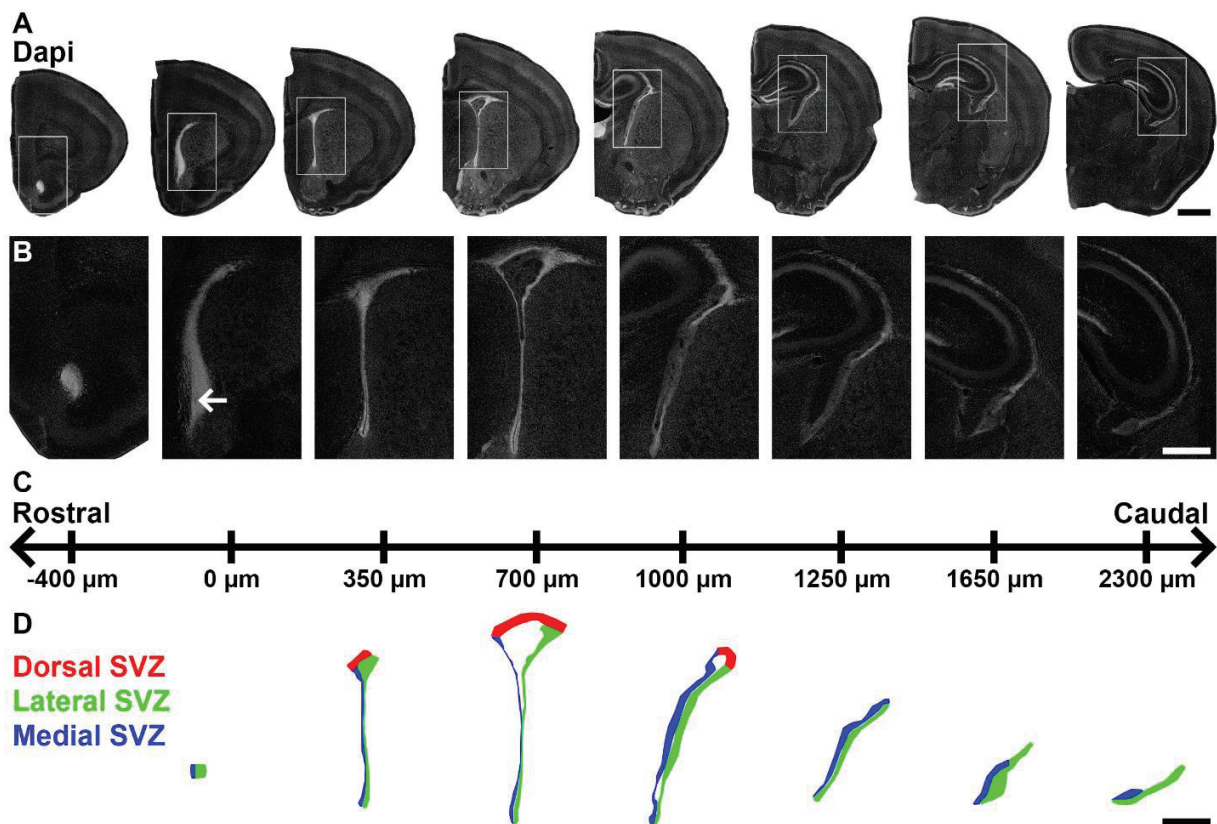
### **2.4. Results**

#### **2.4.1. The Subventricular Zone is a Poorly Defined Region of the Postnatal Forebrain**

The SVZ of the mouse brain is a highly complex and irregular structure, well known for its persisting germinal activity after birth (Smart, 1961; Lois and Alvarez-Buylla, 1993; Doetsch et al., 1997). It surrounds the lateral ventricles, which extend at P10 approximately 2.5 mm along the rostro-caudal axis. The SVZ is defined by its dense cellular organization compared to the surrounding tissue, i.e. striatum; corpus callosum, that respectively line its lateral, dorsal and medial aspects (**Figures 1A to 1B**).

We first aimed at defining the SVZ from its rostral most aspect corresponding to the appearance of the LV (coordinate 0 in **Figure 1C**) to its more caudal aspect. Classically, the SVZ is subdivided into 3 microdomains (lateral, dorsal, medial) according to their location around the LV. While these 3 microdomains are well defined in the rostral most SVZ regions, their definition becomes more ambiguous in regions caudal to the 3<sup>rd</sup> ventricle. This applies particularly to the dSVZ which is not present in caudal sections. There, only the lateral and

medial walls were identified based on a dense Dapi counterstaining (**Figure 1D**), as well as the presence of numerous Ki67<sup>+</sup> cells (see below). It is noticeable that the density of cells (detectable by Dapi) declines from rostral to caudal sections. This is particularly apparent in the more caudal sections, where the ventricular walls develop into a thicker cell layer of lower cell density (**Figure 1B**). Those caudal sections were however included in our analysis in order to directly assess the presence of proliferative and/or progenitor markers (see below).



**Figure 1. Definition of SVZ microdomains.**

(A): Representative mosaics of P10 coronal sections counterstained with Dapi at various rostro-caudal coordinates (indicated in C).

(B): Higher magnification micrographs showing the rostro-caudal extension of the open lateral ventricle from its first rostral appearance (arrow) to its more caudal coordinates.

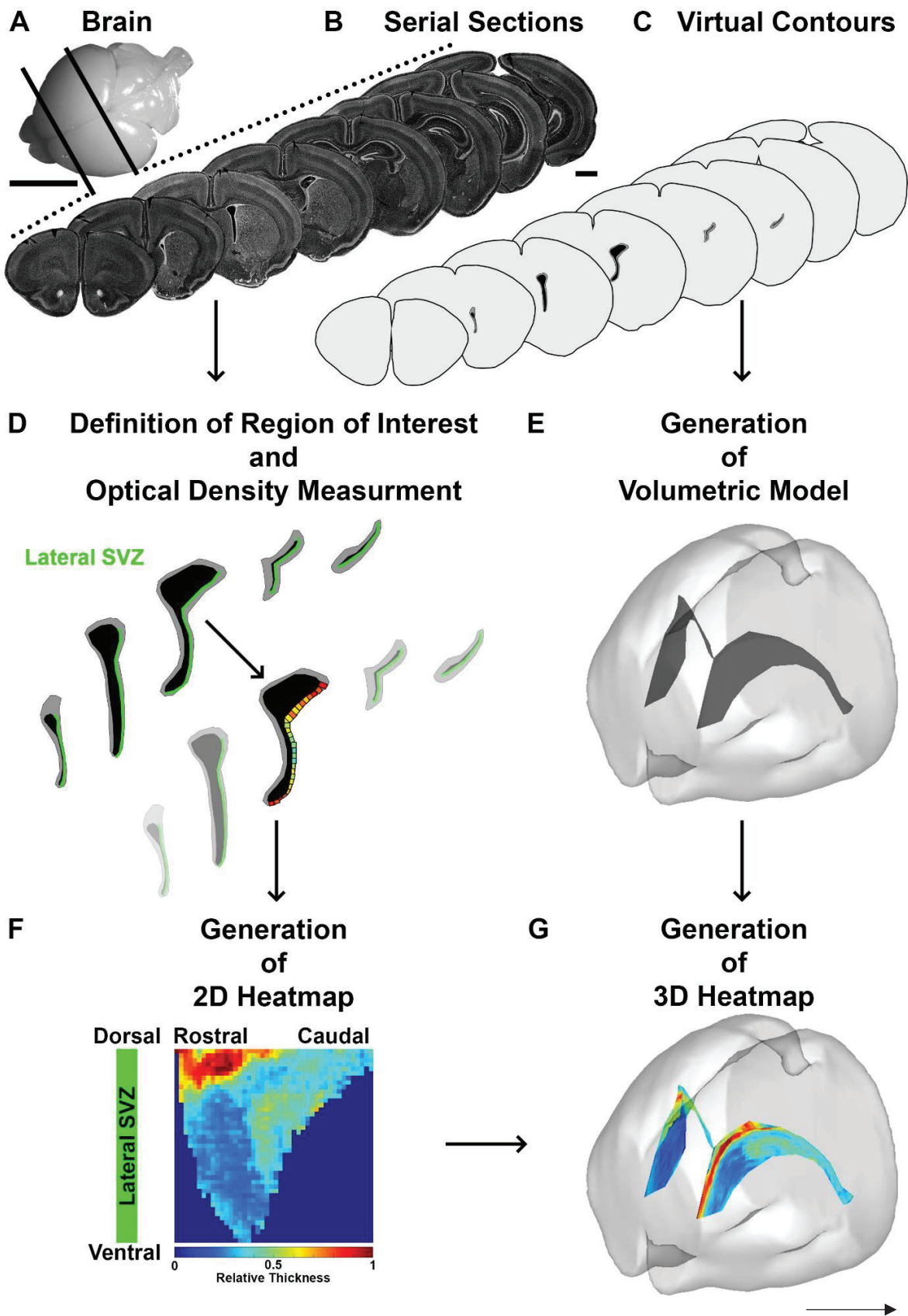
(C): Coordinates used in this study. The coordinate “0” is defined as the first appearance of the opened lateral ventricle.

(D): Schematic representation of the opened lateral ventricle at various rostro-caudal coordinates. The dorsal (red), lateral (green) and medial (blue) microdomains are indicated.

Scale bars: (A) = 1 mm; (B+C) = 500 μm. Abbreviations: SVZ, subventricular zone; LV, lateral ventricle.

#### 2.4.2. “FlashMap” Allows Rapid Investigation of Gene Expression along the Full Extent of the SVZ

We developed “FlashMap” to perform a rapid analysis of marker expression in the SVZ along its full rostro-caudal as well as dorso-ventral extents. “FlashMap” allows the generation of 2D heatmaps of relative OD in the least time and tissue-consuming way. The workflow of “FlashMap” is illustrated in **Figure 2** and a more detailed, step by step description given in **Figure 3**. Brains of the species and age of interest (here, mouse and P10) are sectioned and collected in series. For 3D analysis of gene and protein expression in the SVZ, coronal sections are preferred. Sections are immunostained for markers of interest (**Figures 2A and 2B**). To reduce time and tissue consumption, series may be subsampled. The subsampling factor will depend on the abundance of the marker of interest, as well as of its distribution. Abundant markers showing a “widespread distribution” will allow higher frequency subsampling, as illustrated below. After definition of the region of interest (ROI; here lSVZ in green; **Figure 2D**), “FlashMap” defines automatically the thickness of the SVZ based on the OD of the Dapi counterstaining (as described later in more detail). The software automatically distributes probes of a defined width and adapt their height (thickness of the SVZ at the corresponding position). The OD of the markers of interest is then measured within each probe (**Figure 2D**). These ODs are then represented in a color code of relative OD (from 0 (0 OD = blue) to 1 (highest value = red)). A 2D heatmap is generated, which represents the marker distribution along the region of interest. Analysis of multiple sections allows representing the full rostro-caudal extend of the region of interest (**Figure 2F**). For 3D representation, the contours of a series of sections (**Figure 2C**) are used to generate a 3D mesh of the LV (**Figure 2E**) using NeuroLucida 360 (MBF Bioscience). 2D heatmaps are then superimposed onto these 3D volumes by using the open access software Blender ([www.blender.org](http://www.blender.org); **Figure 2G**).



**Figure 2. Schematic illustration of the workflow for sampling and analysis of the SVZ.**

**(A):** Picture of a P10 mouse brain prior to cutting.

**(B):** Representative micrographs show a subsampled series of counterstained brain sections (Dapi) covering the full rostro-caudal extent of the LV. Sections are collected/subsampled in series according to the experimental question (here an example of series of 1 out of 12).

**(C+E):** Schematic illustration of virtual contours of serial brain sections (C), which are used for generation of 3D reconstructions of the brain (bright gray) and ventricular system (black) (E). In the virtual contours the thickness of the SVZ is represented in dark gray (C).

**(D):** Schematic illustration of the definition of the region of interest (here lSVZ in green) and the automatic calculation of the thickness of the SVZ and measurement and color coding of the OD of the investigated marker. The region of interest is subsampled into probes of a defined size.

**(F):** The software generates automatically 2D heatmaps of the region of interest (here lSVZ) along the rostro-caudal axis of the marker of interest (here thickness of the SVZ). The values of the thickness are represented by a color code of the relative thickness (0 to 1).

**(G):** Heatmaps can be presented in 3D, by superimposing them onto a 3D reconstruction of the ventricular system.

Scale bars: (A) = 5 mm; (B+C) = 1 mm. Abbreviations: SVZ, subventricular zone; 2D, two-dimensional; 3D, three-dimensional; OD, optical density.

### 2.4.3. Step by Step Walkthrough for an Optimal Use of “FlashMap”

After installing the software, a shortcut to “FlashMap” should be placed on the desktop (**Figure 3A1**), as well as all the images which will be analyzed. After starting “FlashMap”, a window appears to define the settings of the analysis to be performed. For illustrative purposes, we chose to analyze one microdomain in one section (number of sections: 1; frequency of sections: 1). The thickness of the sections (here 50  $\mu\text{m}$ ) and the  $\mu\text{m}/\text{pixel}$  ratio also need to be given (here 0.64  $\mu\text{m}/\text{pixel}$ ; **Figure 3A2**). Thereafter, images are loaded by selecting the “Load” button (**Figure 3A3**). The dimension of the probes (width) can be chosen, as well as the hemisphere (left; right) and the ROI (medial; lateral; dorsal) to be analyzed (here 50  $\mu\text{m}$ ; right; lateral; **Figure 3B**). The beginning and end of the ROI are defined by accepting the invitation and right clicking on the image at the appropriate position (1 and 2, 3 and 4, respectively in **Figure 3C**). A left click allows zooming into the image for better accuracy. The internal side of the ROI is traced for automatic positioning of the probes (**Figure 3D**). A “reference region” is placed within the parenchyma, immediately adjacent to the SVZ (here in the striatum, **Figure 3E**), to enable “FlashMap” to automatically calculate the height of the probes. In order to define the optimal height of the probes, the Jensen-Shannon divergence ( $JS_{div}$ ; Lin, 1991) was used to accurately define the drop in OD between the SVZ and adjacent tissue (gray values in

the “probe region” ( $P$ ) and “reference region” ( $R$ ); **Figures 3F1 and 3F2**). In the  $JS_{div}$  the normalized values  $P_i$  and  $R_i$  represent the intensity versus the frequency of gray values in the “probe region” and the “reference region” for the height  $N_i$  and  $JS_{div}$  measures the dissimilarity between the gray value distribution between them (**Figures 3F2 and 3F3**):

$$JS_{div} = \frac{1}{2} \sum_i P_i [\log(P_i) - \log(M_i)] + \frac{1}{2} \sum_i R_i [\log(R_i) - \log(M_i)]$$

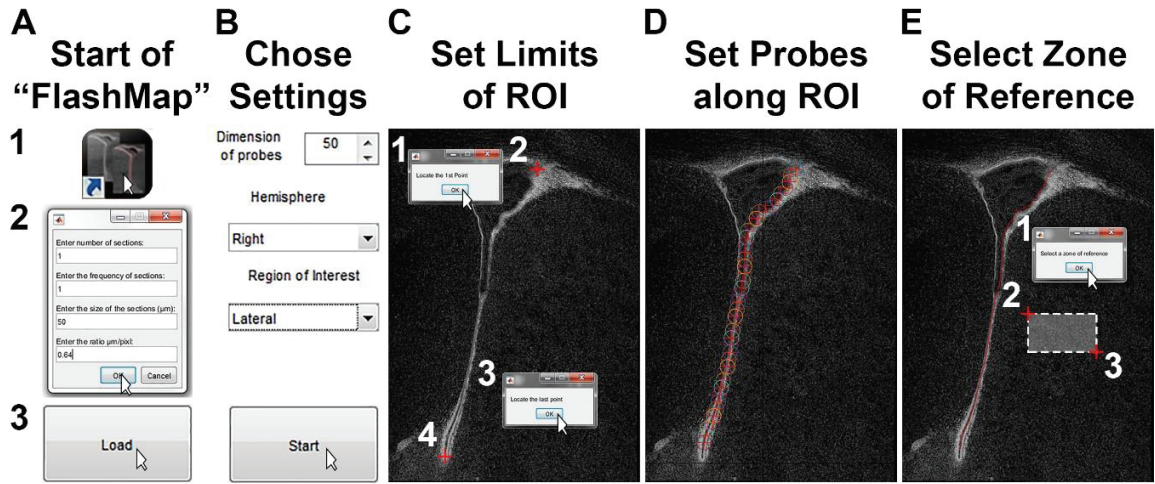
$$\text{With } M = \frac{1}{2} (P + R)$$

$N_0$  and  $N_{max}$  are empirically fixed values and “FlashMap” automatically estimates the optimal height ( $N_{opt}$ ) for every single probe.  $N_{opt}$  is defined as the height at which the  $JS_{div}$  value continuously drops as the gray value distributions of  $P_i$  and  $R_i$  become identical. Importantly, the estimation of  $N_{opt}$  starts at  $N_0$  larger than the real apical end of the probe (0 pixel) at 30 pixels, as  $JS_{div}$  exhibits spurious variations for very small values (**Figures 3F4 and 3F5**). We further tested if the calculated height of the probes differ by selection of divergent “reference regions”. While the values  $JS_{div}$  were slightly different for divergent “reference regions”, the calculated positions  $N_i$  for  $N_{opt}$  turned out to be very consistent. Thus, a precise positioning of the “reference region” is not necessary, as it does not affect optimal detection of the SVZ thickness (**Figure S1**). Importantly, errors in estimations of probe heights can be corrected manually. First, the probe is selected by right-clicking close to its apical end. The new height is chosen by right-clicking at the right position in basal direction (**Figure 3G**). The analysis is finished by declining the invitation to analyze other SVZ walls (1) and other images (2). Measurements can then be exported as raw values in Excel files and/or as heatmaps (3). It is strongly recommended to always export raw values for accurate interpretation of heatmaps (**Figure 3H**). Indeed, heatmaps provide a spatial representation of marker expression, but does not give information on their level of expression (e.g. amplitude between high and low expression values). Heatmaps are exported in TIFF format by manually adding the “.tif” extension to the file name. The heatmaps we produced in this illustration are orientated with the dorsal end of the ISVZ at the top and the ventral end at the bottom (1). Here the thickness of the ISVZ is represented (2), as well as the density of cells along the ISVZ, based on OD measurements of Dapi (3). The heatmaps represent the relative thickness/OD, which are color

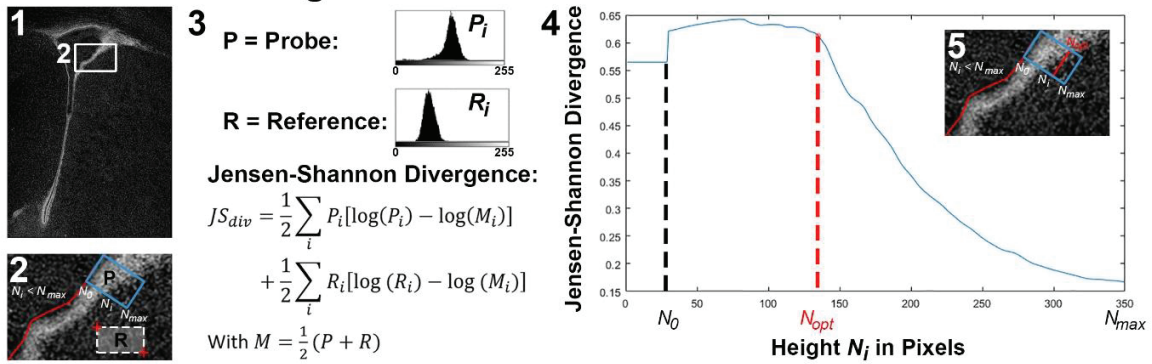
coded (here “jet”) from 0 (blue) to the maximal measured OD value (normalized to 1; red). Different options for color coding of OD values are available in “FlashMap” and can be chosen prior to the heatmap export (**Figure 3I**).

A similar procedure will be used to analyze multiple sections. The total number of sections is defined at the start (**step A2**), before loading the first image (here corresponding to 43 sections). Subsampling of the series could be done, as described below, and the degree of subsampling needs to be defined in the same window (“frequency of sections”; here 1; **Figure 3J**). The full SVZ of the series can be analyzed as illustrated in (**Figure 3K**). Multiple LV walls can be sampled on the same series of images by choosing “continue with another wall” (**Figure 3H1**). After defining all walls in a section, the option “continue on another image” is clicked (**Figure 3H2**), to load the next image. After analysis of all the sections the heatmaps can be generated as described previously (**Figure 3H3**). This results in complete heatmaps (here thickness and cellular density of the 3 walls of the SVZ; **Figure 3L**).





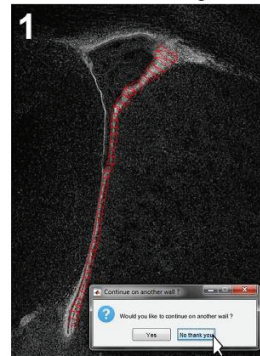
**F Calculation of Height of the Probe**



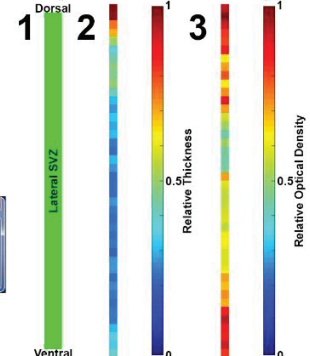
**G Adjust Probes**



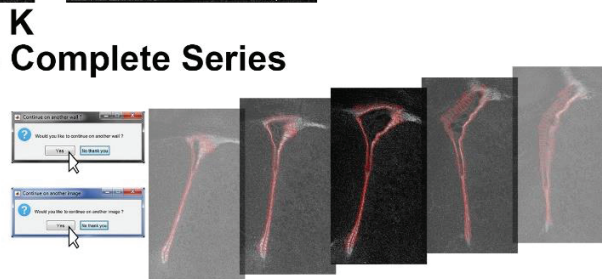
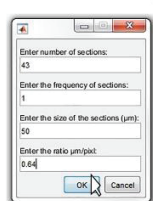
**H Finish Analysis**



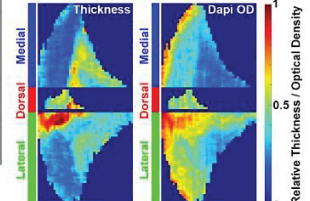
**I Export Heatmaps**



**J Full Analysis Complete Series**



**L Export Heatmaps**



**Figure 3. Detailed “FlashMap” walkthrough.**

**(A):** Start “FlashMap” and load images (1-3). For illustration, we chose to analyze a single microdomain in a single section. Define the thickness of the sections and the  $\mu\text{m}/\text{pixels}$  ratio (2).

**(B):** Define the dimension of the probes (in  $\mu\text{m}$ ), the hemisphere (left; right) and the ROI (medial; dorsal; lateral), here: 50  $\mu\text{m}$ ; right; lateral.

**(C):** Define ROI by placing a “starting point” (1, 2) and an “end point” (3, 4).

**(D):** Draw the internal face of the ROI for automatic distribution of probes.

**(E):** Define a reference area in the adjacent tissue (here striatum) by selecting two points in that region (1-3).

**(F):** “FlashMap” calculates the height of the probes automatically using the Jensen-Shannon divergence ( $JS_{div}$ ) to estimate the optimal basal height of the probe ( $N_{opt}$ ) between  $N_0$  and  $N_{max}$ .

**(G):** Probes can be adjusted manually.

**(H):** Complete the analysis by declining the offer to define another ROI (1) and another section (2). Export values (Excel file) and/or heatmaps in TIFF format (3).

**(I):** Heatmaps of the (single section) ISVZ analysis are orientated with its dorsal end at the top and ventral end at the bottom (1). Heatmaps of the SVZ thickness and cellular density (here Dapi) are color coded (here Jet-code) with a scale of relative height and OD, respectively (2, 3).

**(J+K):** Illustration of an analysis with a complete series of sections. The number of sections to be analyzed is defined at the start (step A2). Analysis of multiple walls is achieved by clicking “continue with another wall” (H1). Analysis of multiple sections is achieved by clicking “continue on another image” (H2) until the series is complete (K).

**(L):** Full heatmaps are gained as described in (H3) with the same scale bars as described in (I). Orientation of full heatmaps is described in **Figure 4B**.

Abbreviations: ROI, region of interest;  $JS_{div}$ , Jensen-Shannon divergence; SVZ, subventricular zone; OD, optical density.

#### **2.4.4. “FlashMapping” of the Full SVZ Highlights Regions of Maximal Germinal Activity**

To test “FlashMap”, we first aimed at sampling the full extent of the SVZ for regions of maximal germinal activity. The thickness and cellular density, as well as the distribution of proliferative cells were mapped throughout the rostro-caudal extend of the three SVZ walls (**Figure 4A**). As a marker of proliferation, we chose Ki67, a marker of actively cycling cells (Gerdes et al., 1984).

For optimal heatmap orientation, we virtually opened the LV at its ventral tip along the full rostro-caudal extent, in order to “unfold” the ventricle and represent the medial, dorsal and lateral walls on top of each other (**Figure 4B**). We first generated heatmaps for the relative thickness and cellular density throughout the SVZ, based on the Dapi counterstaining (**Figures**

**4C and 4D**). Analysis revealed the existence of a “hotspot” at the junction between the ISVZ and the dSVZ. This region corresponds to the accumulation of neuroblasts before they engage into rostral migration through the RMS. In this region, the increased SVZ thickness correlates with an increased cell density (**Figures 4C and 4D; gray dashed boxes**). Heatmaps also reveal a gradual increase in SVZ thickness in more caudal regions of both the ISVZ and medial SVZ (mSVZ). Interestingly, this increase was however correlated with a decrease in cell density, suggesting a gradual cell dispersion in these more caudal regions, which may correlate with a loss of germinal activity. We then generated a heatmap for the density of proliferative cells (i.e. Ki67<sup>+</sup> cells; **Figure 4E**). The highest germinal activity was detected in a “banana shaped area” along the rostro-caudal extent of the ISVZ, as well as in the most ventral and rostral regions of the mSVZ. Interestingly, comparison of the thickness of the walls and density of Ki67<sup>+</sup> cells revealed that the regions with highest germinal activity also appear to be the thinnest (**Figures 4C and 4E; white dashed boxes**). In contrast, regions of lowest germinal activity were thicker and presented a low cellular density (**Figures 4C and 4E; black dashed boxes**). For a more intuitive representation, heatmaps were superimposed on 3D templates of the LVs (**Figures 4F and 4H**).

**Figure 4. FlashMap reveals major differences in SVZ thickness, cellular density and germinal activity throughout the SVZ.**

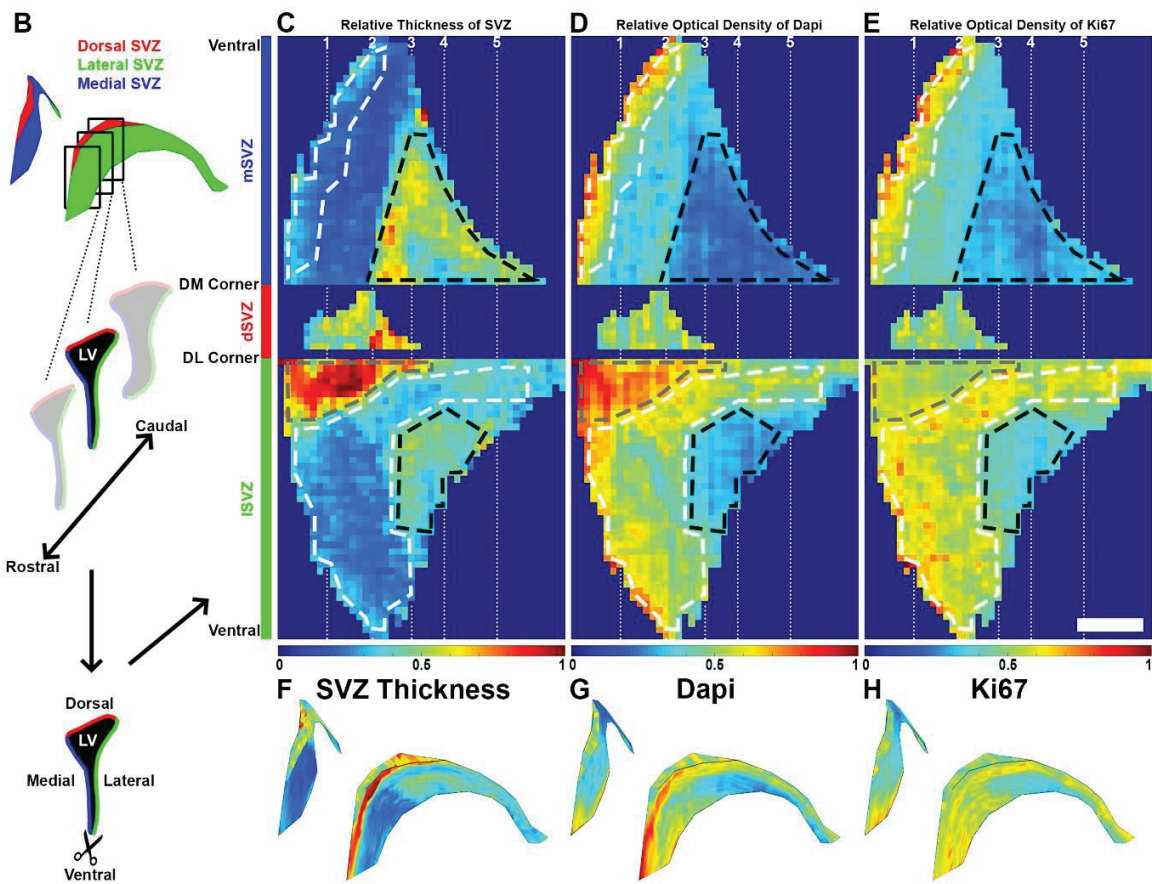
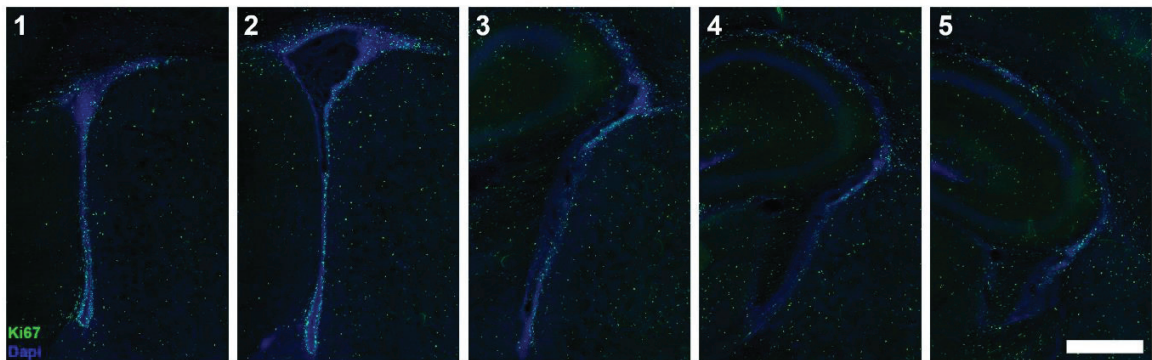
**(A):** Representative micrographs of the proliferation marker Ki67 (green) at distinct rostro-caudal coordinates of the SVZ (Dapi, blue).

**(B):** Schematic representation of the orientation of sections and heatmaps. The LV is cut open (scissors) at its most ventral tip between the mSVZ (blue) and the ISVZ (green). This allows unfolding the three SVZ walls for 2D heatmap representations (see C-E).

**(C-E):** Heatmaps of the relative thickness of the SVZ (C) and of relative ODs of Dapi (D) and Ki67<sup>+</sup> cells (E) along the full rostro-caudal extent of the LV. White dashed boxes underline regions of maximal germinal activity, while black dashed boxes underline regions of minimal germinal activity. Note the differences existing between these regions in term of SVZ thickness and cellular density. The location of the RMS is highlighted with a gray dashed box. The heatmap color code goes from 0 (blue) to maximal detected thickness/OD 1 (red).

**(F-H):** Representations of 3D heatmaps of relative thickness (F) and relative ODs of Dapi (G) and Ki67<sup>+</sup> cells (H). Scale bars: (A+E) = 500 μm. Abbreviations: SVZ, subventricular zone; ISVZ, lateral subventricular zone; dSVZ, dorsal subventricular zone; mSVZ, medial subventricular zone; LV, lateral ventricle; DM corner, dorso-medial corner; DL corner, dorso-lateral corner. →

A Rostral ← → Caudal



### 2.4.5. Subsampling of the Region of Interest Results in a Minimal Loss of Spatial Information

In order to reduce time and the amount of tissue required to perform an analysis, we equipped “FlashMap” with the ability to process subsampled series of sections (1 section out of “n”). The applied calculations for interpolating missing values, follows the concept of linear interpolation. Values between two analyzed sections (X; Y) were calculated by using the following formula (**Figure 5A**):

$$v_n = \left(1 - \frac{n}{N}\right) * v_x + \frac{n}{N} * v_y$$

$N$  stands for the degree of subsampling and  $n$  for the intersection number between section X at the rostral and Y at the caudal end:

$$N = \text{degree of subsampling}$$

$$n = \{1, 2, \dots, N - 2, N - 1\}$$

The smoothing of the values interpolation is applied row by row. First, “base values” ( $v_x$ ;  $v_y$ ) of the two adjacent sections are calculated for every row. This is achieved by averaging the real values ( $X'$ ;  $Y'$ ) with its neighbors ( $X'$ ,  $X''$ ;  $Y'$ ,  $Y''$ ) for every row and section separately:

$$v_x = \frac{X' + X'' + X'''}{3}$$

$$v_y = \frac{Y' + Y'' + Y'''}{3}$$

For probes located at the border of the ROI, a single adjacent measured value was available and therefore used:

$$v_x = \frac{X' \text{ or } X''' + X''}{2}$$

$$v_y = \frac{Y' \text{ or } Y''' + Y''}{2}$$

All the necessary steps of a full interpolation of the SVZ thickness in the ISVZ are illustrated in **Figures 5B to 5E**. For illustrative purposes, we generated a complete heatmap (**Figure 5B**) and one by analyzing every 5<sup>th</sup> section (**Figure 5C**). We then interpolated missing values in

two steps. For the first step, the “intersection-interpolation”, the general formula is used as described above with:

$$N = 5$$

$$n = \{1, 2, 3, 4\}$$

Its integration into the general formula reads as follow:

$$v_{1,2,3,4} = \left(1 - \frac{n_{1,2,3,4}}{5}\right) * v_x + \frac{n_{1,2,3,4}}{5} * v_y$$

Weighting of the influence of adjacent sections in the series to calculate values for the four missing sections ( $v_1, v_2, v_3, v_4$ ) reads as follow:

$$v_1 = 0.8 * v_x + 0.2 * v_y$$

$$v_2 = 0.6 * v_x + 0.4 * v_y$$

$$v_3 = 0.4 * v_x + 0.6 * v_y$$

$$v_4 = 0.2 * v_x + 0.8 * v_y$$

Comparison of the heatmaps generated following analysis of a complete series of sections or a subsampling of 1/5 (**compare Figures 5B and 5D**) highlight the accuracy of the “intersection-interpolation”. A second step of “intra-section-interpolation” allows a further refinement of the heatmap (**Figure 5E**), while preserving the accuracy of the mapping.

**Figure 5. Interpolation of heatmaps after subsampling by 5.**

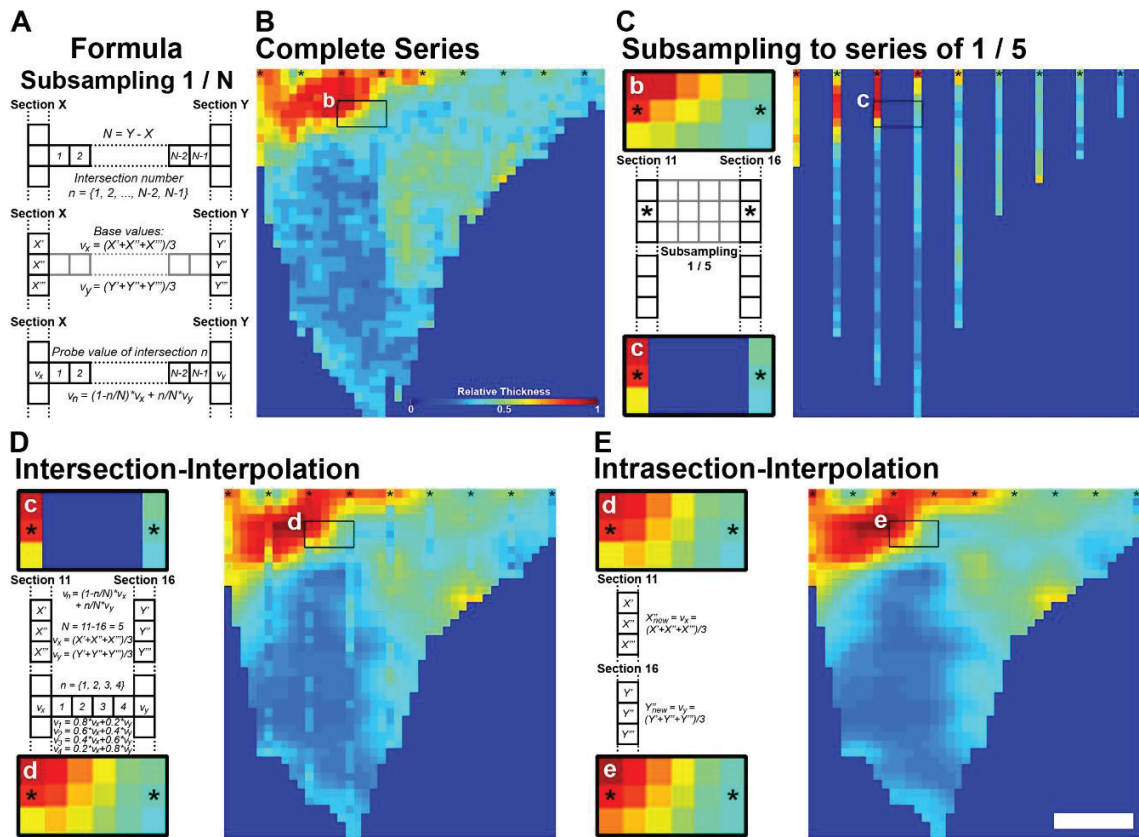
**(A):** Formula for intersection-interpolation.

**(B):** Heatmap representing the thickness of the lateral wall in a complete series of sections.

**(C-E):** Heatmaps representing values obtained for a subsampling of 1/5, without interpolation (C), following intersection-interpolation (D) and intra-section-interpolation (E). Formula are presented on the left, as well as a cropping of a region of reference for all heatmaps.

Scale bar: (B) = color coded relative thickness; (E) = 500  $\mu\text{m}$ .





Next, we systematically assessed the maximal degree of subsampling allowing to produce a heatmap that accurately reflects the mapping obtained with a complete series of sections. We repeated all analysis with sub-samplings ranging from 1/2 to 1/6. Interestingly, all previously described regions (germinal regions, non-germinal regions, RMS; **Figures 4C to 4E**) could be identified in all heatmaps, regardless of the degree of subsampling or the section number with which the analyses were started (**Figures S2 to S4**).

#### 2.4.6. “FlashMap” Allows a Rapid Analysis of SVZ Transcriptional Heterogeneity

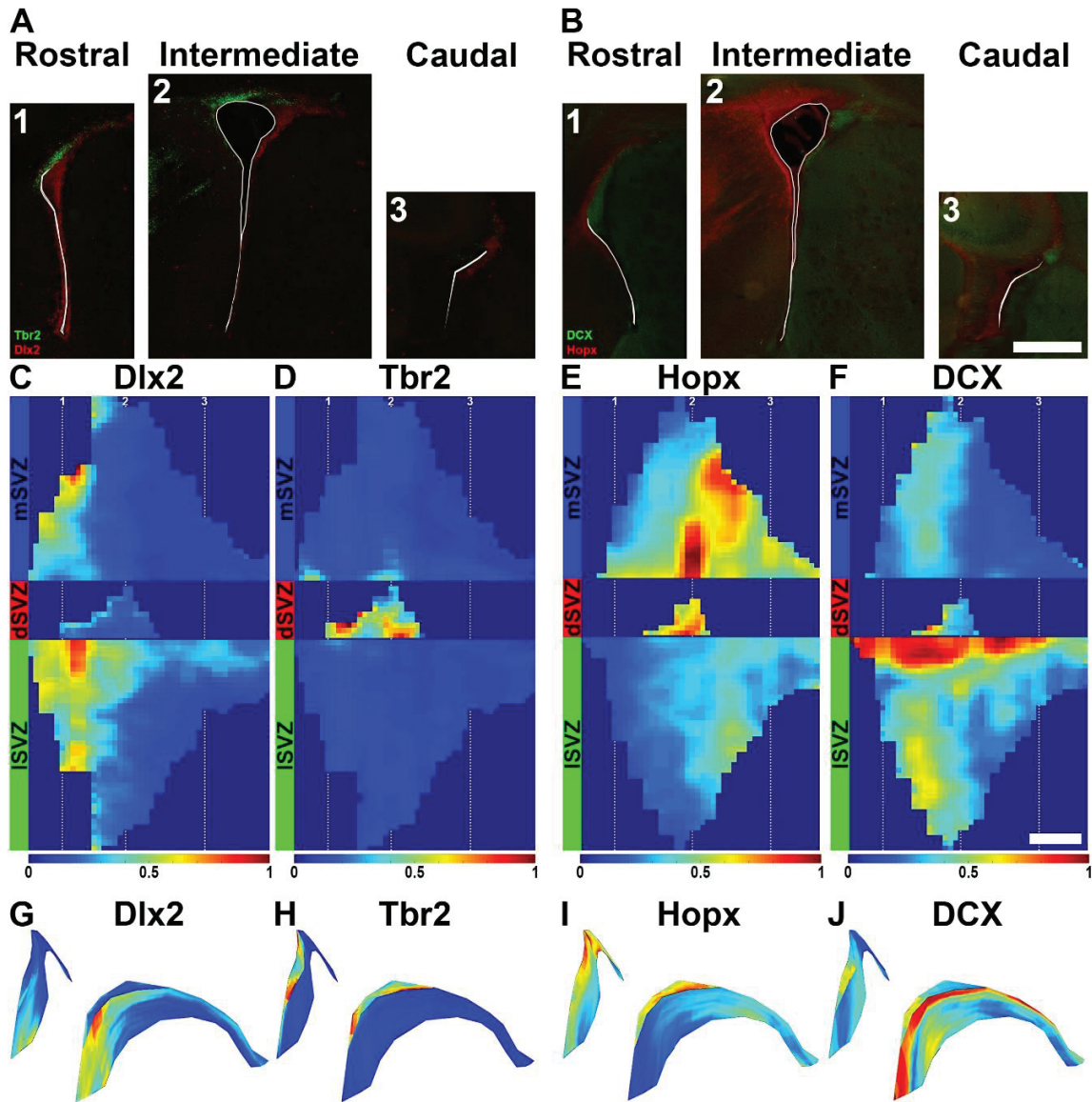
Next, we used “FlashMap” to generate full heatmaps of selected markers known to be heterogeneously distributed. First, we choose the GABAergic and glutamatergic progenitor markers *Dlx2* and *Tbr2* (**Figure 6A**). Those two transcription factors show a preferential expression in the ISVZ and the dSVZ, respectively (Brill et al., 2008; Brill et al., 2009; Azim

et al., 2012a). Further, we analyzed the expression pattern of Hopx and DCX. Hopx is a heterogeneously distributed transcriptional regulator, which recently gains increasing attention as a marker for outer radial glia cells in humans (Pollen et al., 2015 ; Thomsen et al., 2016) and DCX, a marker for migrating neuroblasts, which is also described to be heterogeneously expressed in the SVZ (Yang et al., 2004) (**Figure 6B**).

Remarkably, Dlx2 was found in the lSVZ and the mSVZ in the regions we identified previously as zones of high germinal activity (**Figures 4C to 4E; white dashed boxes**). Highest Dlx2 expression was detected in the rostral parts of the lSVZ, as well as in the dorsal aspect of the lSVZ corresponding to the emerging RMS. Further, the ventral tip of the mSVZ showed also some enrichment in rostral sections. In contrast, Dlx2 was consistently absent from the dSVZ and more caudal regions of the lSVZ and mSVZ (**Figures 6C and 6G**). This expression pattern contrasts to the one obtained for Tbr2, which showed an exclusive expression in the dSVZ and was consistently absent from the lSVZ and mSVZ (**Figures 6D and 6H**). Those findings are in line with previous reports (Brill et al., 2008; Brill et al., 2009; Azim et al., 2012a) and further validate “FlashMap” as an rapid but accurate mapping method.

“FlashMap” revealed a strikingly different pattern for Hopx expression. Hopx was found to be high in the dSVZ and in the caudal regions of the mSVZ. Some level of expression could also be detected in the caudal regions of the lSVZ, where only few Ki67<sup>+</sup> cells are observed (**Figures 6E and 6I**). It is noticeable that this pattern of expression is the opposite of the one obtained for DCX. As revealed by the “FlashMap” analysis, this migrating neuroblast marker is enriched in the dorsal part of the lSVZ, where the RMS is located. A second smaller hotspot was also observed in the ventral parts of the lSVZ in rostral sections (**Figures 6F and 6J**).





**Figure 6. Illustration of “FlashMap” analysis with four regionally expressed markers.**  
**(A+B):** Representative micrographs of Dlx2, Tbr2 (A), Hopx and DCX (B) at a rostral (A1+B1), intermediate (A2+B2) and caudal level (A3+B3) along the rostro-caudal axis of the ventricular system.  
**(C-F):** Expression heatmaps obtained for Dlx2 (C), Tbr2 (D), Hopx (E) and DCX (F) along the full extent of the SVZ are represented in a color code of relative OD.  
**(G-J):** 2D heatmaps of Dlx2 (G), Tbr2 (H), Hopx (I) and DCX (J) are superimposed in 3D LVs.  
 Scale bars: (B3+F) = 500  $\mu$ m; Abbreviations: ISVZ, lateral subventricular zone; dSVZ, dorsal subventricular zone; mSVZ, medial subventricular zone.

## 2.5. Discussion

Our results demonstrate, that “FlashMap” is a useful method to keep pace with the increasing amount of transcriptional datasets that reveal a regional marker expression in postnatal germinal regions of the forebrain (Azim et al., 2015). This semi-automatic mapping approach, allows a rapid screening of selected markers along both the rostral-caudal and dorso-ventral coordinates of the postnatal SVZ.

The SVZ is an ill-defined region of the postnatal mouse forebrain. The SVZ is particularly thin and tortuous, and our study further demonstrates that the cellular density greatly varies along its dorso-ventral and rostral-caudal dimensions. To perform this study, we first defined the dorsal, lateral and medial walls of the opened lateral ventricle along its full rostral-caudal coordinates. A more accurate subdivision of the three walls may be achieved by using tissues from transgenic mice expressing permanent markers in various brain regions (pallium, ganglionic eminences, septum; Young et al., 2007). Although the approach used in the current study is more arbitrary, it allowed detecting significant differences in the thickness, cell density and proliferation occurring in different regions of the postnatal SVZ. Our results confirm previous results obtained for the distribution of proliferating cells (Ki67<sup>+</sup>) in the ISVZ (Falcão et al., 2012), and refine them significantly by providing a more complete and precise map. Concerning the rostral-caudal distribution of proliferative cells they found the same rostral enrichment like we did here, as well as in an earlier study in 10 to 12 weeks old mice (Azim et al., 2012a). In addition, we found a low germinal activity in the dorsal part of the ISVZ, where the RMS is located. We also provide a more detailed mapping of the density of proliferative cells in more caudal regions, where a large region of low germinal activity is clearly visible. The differences existing between our maps and those generated by Falcao et al., may be at least partially explained by differences in species (mice vs. rats) and age of the animals (P10 vs. P70). A systematic mapping at different ages using “FlashMap” would be of interest to better describe potential spatial changes in germinal activity that occur over a lifetime. Such an analysis could also include embryonic time points to investigate in more details the spatial and temporal aspects of astrogenesis and oligodendrogenesis (reviewed by Reemst et al., 2016). This would be particularly interesting for oligodendrogenesis, as it occurs in different waves originating from different regions of the VZ and SVZ (Kessaris et al., 2006).

Interestingly, the regions of “lowest germinal activity” highlighted by “FlashMap” in the SVZ microdomains shared some key characteristics: i.e. a thick wall with a low cell density. These observations highlight the importance of the cellular microenvironment in the persistence of a germinal activity. This is in agreement with previous studies demonstrating the importance of a highly organized cellular niche (also named neurogenic niche) in the maintenance of both proliferation and neurogenesis (e.g. Ponti et al., 2010). Further, we demonstrated that the contribution of the ventral most part of the mSVZ might be underestimated in its participation to the germinal activity observed in the postnatal SVZ. While progenies from the dorsal proliferating cells most likely migrate through the RMS into the OB, some progenies of the ventral population of the lSVZ and mSVZ might migrate away in a more ventrally located stream as suggested elsewhere (Yang et al., 2004). This ventral migration might be a premise of the ventrally located RMS observed in primates, which we highlighted in an earlier study in common marmosets (Azim et al., 2013).

In the last part of our study we confirmed the value and reliability of “FlashMap”, by analyzing markers known to be regionally expressed, i.e. the transcription factors *Dlx2* and *Tbr2*. The produced heatmaps reflect accurately the prior description of their distribution (Brill et al., 2008; Brill et al., 2009; Azim et al., 2012a). In addition, comparison of *Hopx* and *DCX* highlights the existence of two domains: one presenting a high germinal activity in which neuroblasts are numerous, one presenting a low level of germinal activity that are devoid of neuroblasts. It would be interesting to investigate if the *Hopx*<sup>+</sup> regions preferentially give rise to non-neuronal cell types. This was the subject of the second project of my PhD.

Several aspects of “FlashMap” have been optimized to make it both user friendly, accurate and rapid. First, we used the Jensen-Shannon divergence ( $JS_{div}$ ) to automatically adapt the probe to the height of the SVZ.  $JS_{div}$  has been extensively used for multiple types of image processing and analysis, such as the analysis of edge detection (Gómez-Lopera et al., 2000), the characterization of the compositional complexity (Rigau et al., 2005), the tracking of moving root sections in a stack for tracing out the 3D root architecture (Mairhofer et al., 2012) and others. Second, we performed extensive subsampling testing to demonstrate that accurate maps can be obtained with an incomplete (but homogeneous) series of sections. This allows a reduction of both the time and tissue required to generate an expression heatmap. A series of one section out of five reduces the time required for performing the staining, mounting and

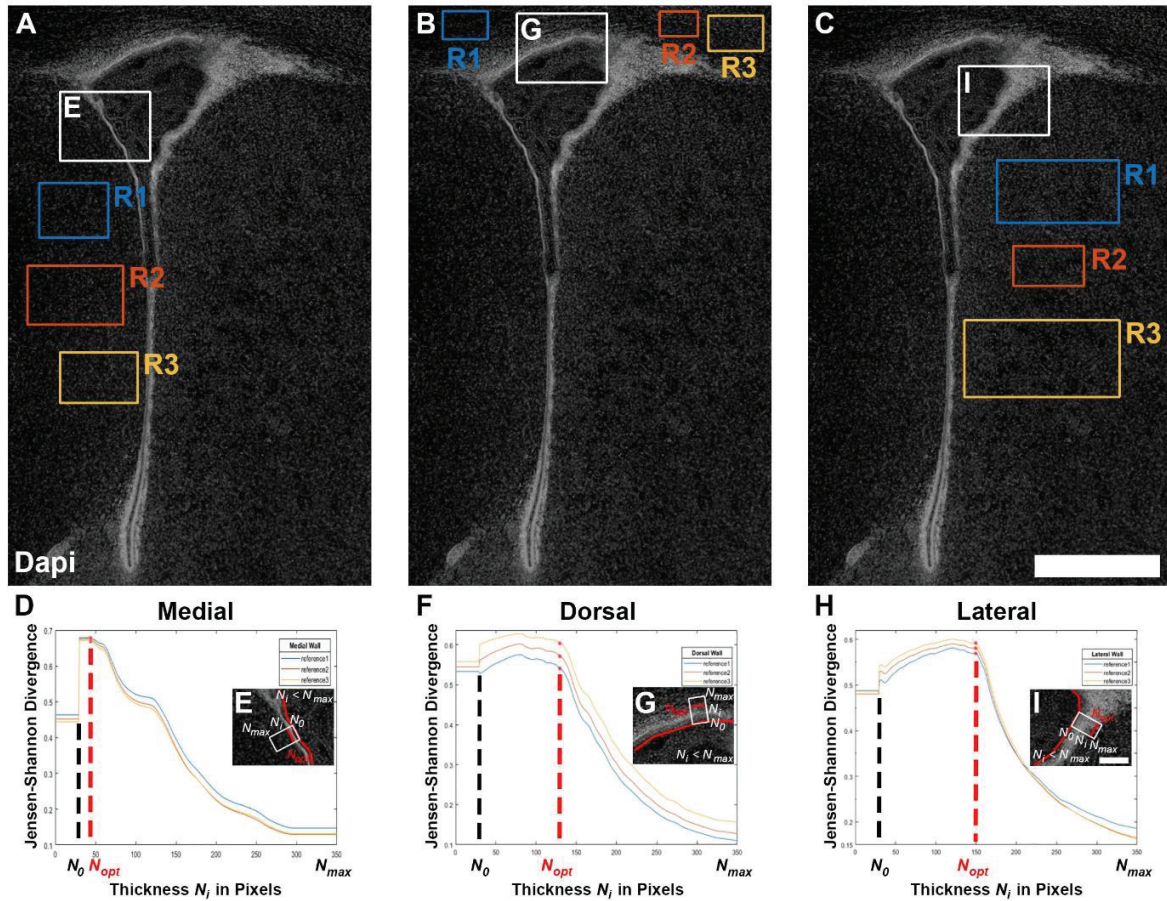
imaging to 20%. In other words, subsampling allows the parallel analysis of several markers in approximately the same time than required for a single marker in a full series. Other future improvement might be applied. For example, “FlashMap” could facilitate the analysis of tissue organization, proliferation, and apoptosis in various physiological and pathological conditions. It could also be applied to other brain regions (germinal or non-germinal). For example, it could be used to measure cortical thickness in microcephaly or following hypoxia. For such approaches, some small modifications in the detection mechanism of the probes height would be necessary.

Altogether, this work underlines the need to develop new means to investigate the spatial organization of germinal region. It proposes “FlashMap” as an open source, user-friendly and flexible software to perform such, otherwise time-consuming analysis.

## **2.6. Acknowledgments**

We are very grateful to Kazuaki Yoshikawa for providing us the guinea pig anti-Dlx2 antibody. This study was supported by a Grant from the “Programme Avenir Lyon Saint-Etienne” and S.Z. was further supported by a Doc.Mobility fellowship from the Swiss National Science Foundation (SNF; grant number P1SKP3\_168418). Other support was received from a SNF project grant NRP63 to O.R. (grant number 406340\_128291).

## 2.7. Supplementary Figures



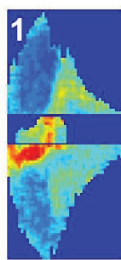
**Figure S1. Optimal detection of the SVZ thickness with various “reference regions”.**

(A-C): Representative micrographs of Dapi staining for the three ROI: medial (A), dorsal (B), lateral walls (C). Colored boxes (R1, blue; R2, orange; R3, yellow) represent three different “reference regions”.

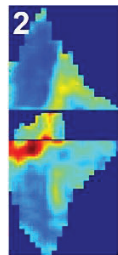
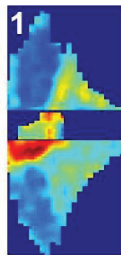
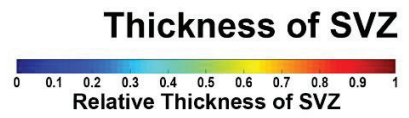
(D, F, H): Graphs showing that the precision of the Jensen-Shannon divergence method to define the wall thickness and therefore the correct probe height is not affected by different “reference region” size and location.

(E, G, I): Representative micrographs illustrating the precision of  $N_{opt}$  calculation, reflected by the optimal positioning of the probe heights.

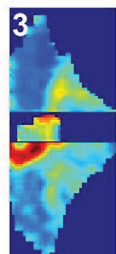
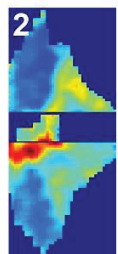
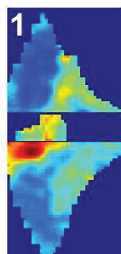
Scale bars: (C) = 500  $\mu\text{m}$ ; (I) = 100  $\mu\text{m}$ . Abbreviations: ROI, region of interest; R1-3, “reference region” 1-3.



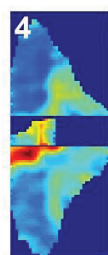
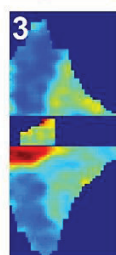
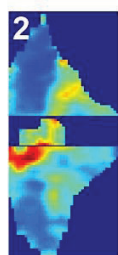
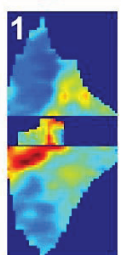
Full series of sections



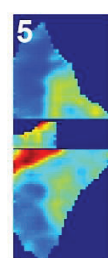
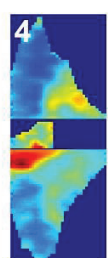
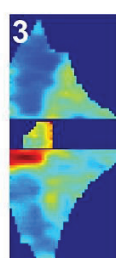
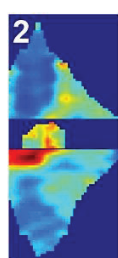
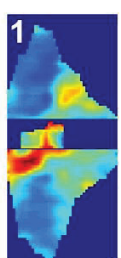
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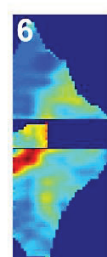
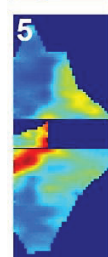
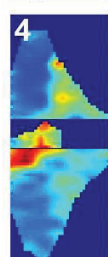
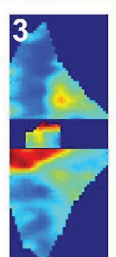
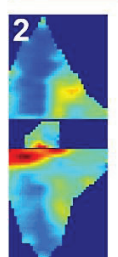
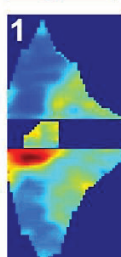
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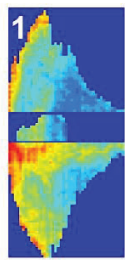


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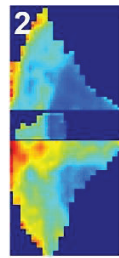
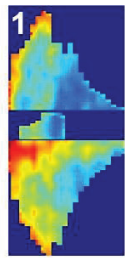
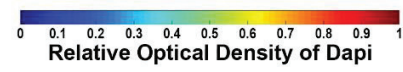
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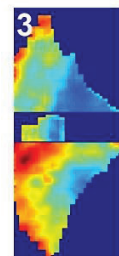
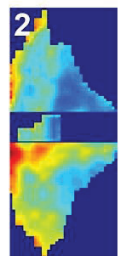
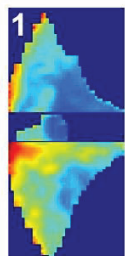


**Full series of sections**

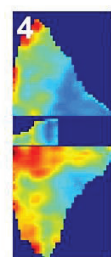
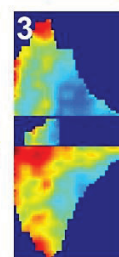
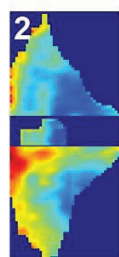
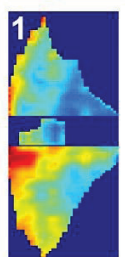
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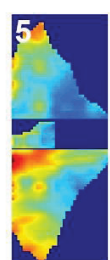
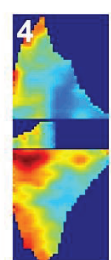
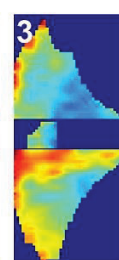
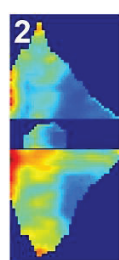
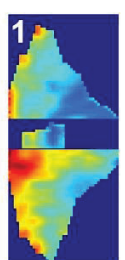
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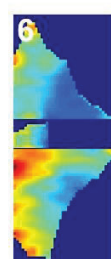
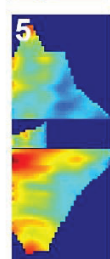
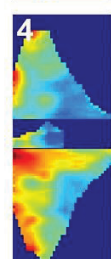
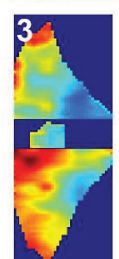
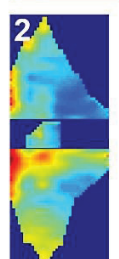
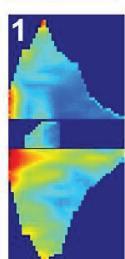
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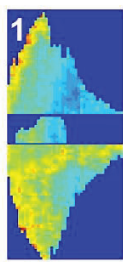


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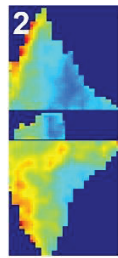
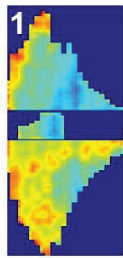
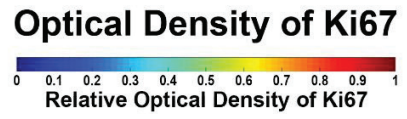


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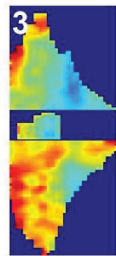
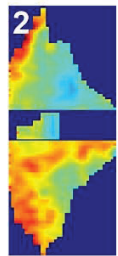
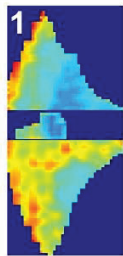




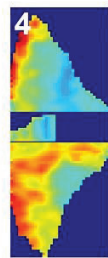
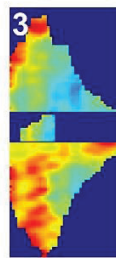
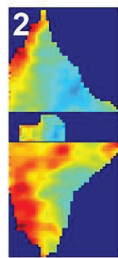
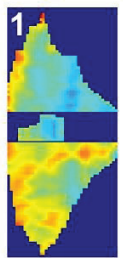
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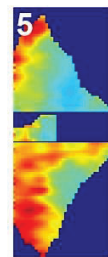
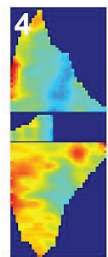
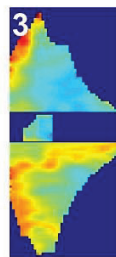
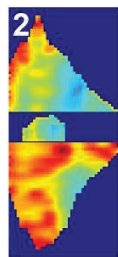
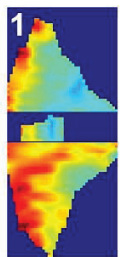
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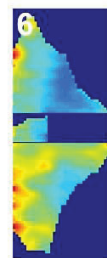
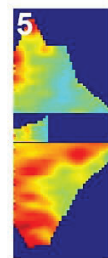
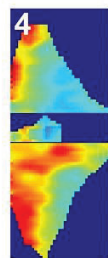
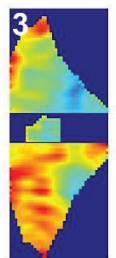
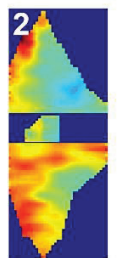
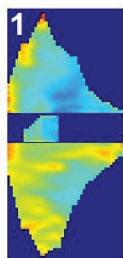
Subsampled by 3



Subsampled by 4



Subsampled by 5



Subsampled by 6





**Figures S2 to S4. Validation of the subsampling approach up to series of 6.**

Heatmaps obtained with subsamplings ranging from 1/2 to 1/6 for analysis of SVZ thickness (**Figure S2**), cellular density (**Figure S3**) and Ki67<sup>+</sup> cells density (**Figure S4**). Heatmaps of the first line represent full analyses, 2<sup>nd</sup> to 6<sup>th</sup> line show the outcome when a subsampling of 1/2 to 1/6 is applied. Row numbers indicate with which section number the analysis was started.

### 3. Chapter 2: Hopx Defines Heterogeneity of Postnatal Subventricular Zone Neural Stem Cells

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In this chapter, I designed the study, performed the experiments and analyses and wrote the manuscript. The development of the heatmap generator was achieved by Quentin Lo Guidice.

#### 3.1. Abstract

The greatest diversity of neural lineages generated in the subventricular zone (SVZ) occurs early after birth, is regulated in a spatiotemporal manner and depends on the expression of specific transcriptional cues. We used transcriptomic as well as fate mapping approaches to investigate the relation between regional expression of transcription factors by neural stem cells (NSCs) and their acquisition of distinct neural lineage fates. Our results support an early priming of NSCs to produce defined cell types depending of their spatial location in the SVZ and identify Hopx as a marker of a subpopulation biased to generate astrocytes. Manipulation of Hopx expression showed however no effect on astrogenesis, but resulted in marked changes in the number of NSCs and of their progenies. Taken together, our results highlight transcriptional and spatial heterogeneity of postnatal NSCs as well as their early priming toward specific lineages and suggest a role for Hopx in the evolution of SVZ germinal activity.

**Key words:** astrogenesis; neurogenesis; subventricular zone; neural stem cell subpopulations; regionalization; Hopx.

### 3.2. Introduction

Germinal activity persists in the postnatal mammalian brain in specialized niches, namely the dentate gyrus (DG) of the hippocampus and the subventricular zone (SVZ) surrounding the lateral ventricle (LV). Neural stem cells (NSCs) of the postnatal SVZ differentiate into transient amplifying progenitors (TAPs) that will generate neuroblasts migrating through the rostral migratory stream (RMS), into the olfactory bulb (OB), where they differentiate into neurons (Lois and Alvarez-Buylla, 1994). The SVZ additionally generates glial progenitors that invade the nearby parenchyma (Reviewed in Azim et al., 2016; Bayraktar et al., 2015). Recently, accumulating evidences highlight the heterogeneous nature of the postnatal SVZ in respect to different microdomains generating distinct neural lineages. For example, progenitors of GABAergic neurons are predominantly generated along the lateral SVZ (lSVZ) wall, whilst the genesis of glutamatergic neuron progenitors are restricted to the dorsal SVZ (dSVZ; Brill et al., 2009; Azim et al., 2012a). Furthermore, postnatally-derived oligodendrocytes are generated from the dSVZ (Kessaris et al., 2006) under the control of different signaling mechanisms (Azim et al., 2012b; Azim et al., 2014a). This heterogeneity originates from early embryonic development (Fuentealba et al., 2015) and is intrinsically encoded by the expression of selected transcription factors (TFs). Therefore, TFs enriched in specific embryonic forebrain regions are persistent in their expression in corresponding domains of the postnatal SVZ. Examples of such TFs include *Emx1* (pallium; dSVZ), *Gsx1/2* (lateral and medial ganglionic eminence; lSVZ) and *Zic1/3* (septum; medial SVZ; reviewed in Fiorelli et al., 2015). We recently resolved the transcriptional heterogeneities of different cell populations of the postnatal SVZ, in which an unexpected large number of transcripts (i.e. 1900) were differentially expressed in cells sorted from dorsal and lateral SVZ microdomains. Intriguingly, most of the transcriptional heterogeneity observed between the dorsal and lateral NSCs (dNSCs; lNSCs) populations were due to the expression of transcriptional cues. Notably, *Hopx* was identified with specific abundant expression to dNSCs, and its ectopic expression into lNSCs, revealed that it may have proglial functions (Azim et al. 2015). *Hopx* is a small (73 amino acids) atypical homeodomain protein that lacks DNA binding sites (Chen et al., 2002; Shin et al., 2002), and is relatively conserved within vertebrates (Asanoma et al., 2003). *Hopx* expression is minimal at embryonic day 14.5 (E14.5) and peaks around E16.5 with a rostro-medial to caudo-lateral gradient (Mühlfriedel et al., 2005). Presence of *Hopx* has been

found in radial astrocytes of the adult DG, while it is described to be consistently absent from the adult SVZ (De Toni et al., 2008). Moreover, expression of Hopx has recently received increasing attention due to its expression in quiescent NSCs, in mature astrocytes in the adult mouse DG (Li et al., 2015), as well as in outer radial glia cells (oRG) of the developing human brain (Pollen et al., 2015; Thomsen et al., 2016). Here, we used various approaches to further investigate the regionalization of the postnatal SVZ and of the NSCs it contains. We characterized the spatiotemporal and lineage-specific pattern of Hopx expression in the postnatal SVZ and investigated its potential function in postnatal SVZ germinal activity.

### **3.3. Experimental Procedures**

#### **3.3.1. Animals and Ethics**

All animal experiments in Zurich were performed according to the Ethics Committee of the Veterinary Department of the Canton of Zurich (Approval ID 182/2011). Experiments in France were performed in accordance with European requirements 2010/63/UE and have been approved by the Animal Care and Use Committee CELYNE (APAFIS#187 & 188).

Animals used in this study were: OF1 wild-type animals from Charles Rivers (France), Hes5::EGFP animals (Basak and Taylor, 2007), Hopx<sup>CreERT2</sup> (Takeda et al., 2011), Hopx<sup>LacZ/WT</sup> knockin (Shin et al., 2002) and Rosa-EYFP mice (Srinivas et al., 2001).

#### **3.3.2. Plasmids Preparation and Electroporation**

The following plasmids were used in this study: pCX-GFP (kind gift of X Morin, ENS, Paris; France); pFloxPA-DsRed express (kind gift of Colette Dehay; INSERM U1208; Bron; France); pCAG-Cre (Addgene; 13775); pCMV-Hopx (Open Biosystems; MMM1013-202767606). Plasmids were purified using the EndoFree Plasmid Kit according to the manufacturer's protocol (Qiagen; 12362). Plasmids were re-suspended to a final concentration of 5 µg/µl. Dorsal electroporations were performed in P1 to P2 (postnatal day 1 to 2) pups as previously described (Boutin et al., 2008, Fernández et al., 2011). In Hopx<sup>CreERT2</sup> animals

subcutaneous tamoxifen (Tam; SIGMA-Aldrich; T5648) administration (1 mg per pup) was performed 2 to 3 hrs after electroporation.

### **3.3.3. Immunohistochemistry**

Mice were sacrificed by an intraperitoneal overdose of pentobarbital followed by perfusion with Ringer Lactate solution and 4% paraformaldehyde (PFA) dissolved in 0.1 M phosphate buffer (PB; pH 7.4). Brains were removed and postfixed for 12-48 hrs at 4° C in 4% PFA and sectioned in 50 µm thick coronal serial sections. When necessary, antigen retrieval was performed for 20 minutes in citrate buffer (pH 6) at 80° C, then cooled for 20 minutes at room temperature and washed in 0.1 M PB. Blocking was done in TNB buffer (0.1 M PB; 0.05% Casein; 0.25% Bovine Serum Albumin; 0.25% TopBlock) with 0.4% triton-X (TNB-Tx). Sections were incubated over night at 4° C with the following primary antibodies in TNB-Tx: Rabbit anti-Hopx (1:400; Santa Cruz; sc-30216); Mouse anti-Hopx (1:400; Santa Cruz; sc-398703); Goat anti-DCX (1:500; Santa Cruz; sc-8066); Mouse anti-Olig2 (1:1500; Millipore; MABN50); Mouse anti-GFAP (1:500; Millipore; MAB3402); Chicken anti-GFP (1:1000; AVES LABS; GFP-1020); Rabbit anti-RFP (1:1500; MBL; PM005); Rabbit anti-S100β (1:5000; SWANT); Chicken anti-βGal (1:4000; Abcam; ab9361); Goat anti-Mcm2 (1:300; Santa Cruz; sc-9839); Mouse anti-Sox2 (1:100; Santa Cruz; sc-365823). Following extensive washing in 0.1 M PB with 0.4% triton-X (PB-Tx), sections were incubated with appropriate secondary antibodies conjugated with Alexafluor 488, 555 or 647 (1:500; Life Technologies) for 2 hrs at room temperature. Sections were washed and counterstained with Dapi (1:5000; Life Technologies; D1306). To increase the signal from βGal, a biotinylated secondary antibody (1:500; Jackson) was used in combination with a TSA amplification kit according to manufacturer's protocol (Life Technologies; T-20932).

### **3.3.4. FACsorting and qPCR**

Hes5::EGFP (with C57BL/6 background) of the age P2-P4 were used for sorting for NSCs as previously described and using the same parameters (Azim et al. 2015). 4 to 5 animals of one litter was used for 1 “n” number. Microdissection of SVZ domains (dorso-medial; dorso-lateral and lateral) was performed in RNase free, sterile conditions. Tissues were dissociated using a

trypsin-based Neural Dissociation Kit (Miltenyi Biotec, Bergisch Gladbach, Germany). For additional purification of the Hes5-EGFP population, an APC conjugated NSC antibody against the transmembrane-protein prominin-1 (1:100; ebiosciences) was applied for 15 mins at RT, before suspension was subjected to Fluorescence Activated Cell Sorting (FACS Aria III; BD Bioscience, Franklin Lakes, New Jersey, USA). Dead cells were excluded by forward and sideward scatter. Gating settings were gained using an EGFP- wildtype animal and a prominin-1 isotype control conjugated to APC (rat anti-IgG; 1:100, ebiosciences). Brightest 30% of EGFP<sup>+</sup> cells, which where prominin-1<sup>+</sup> were collected directly into RNA lysis buffer and snap-frozen for further gene expression analysis. RNA extraction was performed using the RNeasy microkit (Qiagen; 74004) following manufacturer's guidelines. RNA amplification of 3 ng input material was done using the Nugene Pico Ovation WT kit (NuGen Technologies, Inc., San Carlos, CA) like described previously. 50 ng RNA per sample was used for cDNA synthesis (Superscript; Life Technologies; Carlsbad, CA, USA). qPCR was performed according to the procedures described elsewhere (Azim et al., 2012b, Azim et al., 2014b), with the LightCycler 480 (Roche, Basel, Switzerland). All reactions were performed in duplicates or triplicates and GAPDH was used as reference gene. Primers used were custom made by Qiagen (EGFP, Eomes, Hopx, Pcnα, Sp8) or designed with the Primer Express 1.5 software and produced by Eurofins (Schönenwerd, Switzerland):

GAPDH:	fw_CGTCCCGTAGACAAAATGGT,	rv_TTGATGGCAACAATCTCCAC;
Aldh1l1:	fw_CAGTAAACCTCCTGGCCAAA,	rv_CCCTGTTTTCCCTACTTCCC;
Aqp4:	fw_TGAGCTCCACATCAGGACAG,	rv_TCCAGCTCGATCTTTTGGAC;
Dct:	fw_GCATCTGTGGAAGGGTTGTT,	rv_ACTCCTTCCTGAATGGGACC;
DCX:	fw_CTGACTCAGGTAACGACCAAGAC,	rv_TTCCAGGGCTTGIGGGTGTAGA;
Dlx2:	fw_CTTCTTGAACCTGGATCGGC,	rv_AGACCCAGTATCTGGCCCTG;
Ebfl:	fw_GGTGGAAGTCACACTGTCGTAC,	rv_GTAACCTCTGGAAGCCGTAGTC;
Fgfi3:	fw_ACAGGTGGTCATGGCAGAAGCT,	rv_CTCCATCTCAGATACCAGGTCC;
Gli1:	fw_CTCAAACCTGCCAGCTTAACCC,	rv_TGCGGCTGACTGTGTAAGCAGA;
Gli3:	fw_CGAGAACAGATGTCAGCGAG,	rv_TGAGGCTGCATAGTGATTGC;

Hes5:	fw_GTAGTCCTGGTGCAGGCTCT,	rv_AACTCCAAGCTGGAGAAGGC;
Id3:	fw_GCGTGTCATAGACTACATCCTCG,	rv_GTCCTTGGAGATCACAAGTTCCG;
Lef1:	fw_CGTCACACATCCCGTCAGATGTC,	rv_TGGGTGGGGTGATCTGTCCAACG;
Olig1:	fw_AGCAAGCTCAAACGTTGGTT,	rv_GTTCTGTTTTTCAGGCTCGC;
Olig2:	fw_GACGATGGGCGACTAGACA,	rv_CAGCGAGCACCTCAAATCTA;
PDGFR $\alpha$ :	fw_AGAAAATCCGATACCCGGAG,	rv_AGAGGAGGAGCTTGAGGGAG;
Plp1:	fw_GGGCCCCTACCAGACATCTA,	rv_TCCTTCCAGCTGAGCAAAGT;
Tcf7:	fw_TGCCTTCAATCTGCTCATGCC,	rv_GTGTGGACTGCTGAAATGTTTCG;
Vax1:	fw_CTCTACAGGCTGGAGATGGAGT,	rv_GCTTAGTCCGCCGATTCTGGAA.

### 3.3.5. Meta-analysis of Transcriptional Profiles

To generate the lists of transcription factors and transcriptional regulators that are enriched in dNSCs and INSCs, we made use of our recently published transcriptional datasets (Azim et al., 2015; GSE60905). We analysed the dataset on the “Gene Expression Omnibus” (<https://www.ncbi.nlm.nih.gov/geo/>) for transcripts that are differentially expressed between dNSCs and INSCs ( $\geq 1.8$  fold enrichment and p-values  $< 5\%$ ). Finally, we selected transcripts for transcription factor activity and regulation of transcription using “DAVID Analysis Wizard” (<https://david.ncifcrf.gov/>). Lists of transcripts were analysed for enrichments in the neuronal, astrocytic or oligodendrocytic lineage using the transcriptional dataset of the Barres group (Cahoy et al., 2008; GSE9566). Heatmaps were produced using a self-made R script “Heatmap Generator”, which enables us to compare and generate heatmaps from different datasets available on the “Gene Expression Omnibus” (GEO).

### 3.3.6. Quantifications and Statistics

Images were acquired using a Leica DM5500 epifluorescent microscope, a Leica TCS SPE II and a TCS SP5 confocal microscope (Leica Microsystems GmbH, Wetzlar, Germany). Images were quantified using ImageJ-win64 or assembled as representative pictures with LAS X,

ImageJ and Photoshop (CS4). Statistical analysis was done with Microsoft Excel 2013 and GraphPad Prism 7. All data are showed as mean  $\pm$  SEM and statistical significance was calculated using the unpaired t-test ( $<0.05=*$ ,  $<0.01=**$ ,  $<0.001=***$ ,  $<0.0001=****$ ).

## 3.4. Results

### 3.4.1. *Hopx* is Enriched in NSCs of the dSVZ, as well as in the Astrocytic Lineage

In a previous study, the transcriptome of spatially distinct domains of the postnatal SVZ were deciphered to reveal the existence of different transcriptional networks in region-specific NSCs (Azim et al. 2015). This transcriptional heterogeneity was further examined here by analysis of transcription factors and transcriptional regulators (defined hereafter as TFs) as well as their association with defined neural lineages. Focusing on TFs only, 112 were differentially expressed between the subpopulations of NSCs (dNSCs: 61; INSCs: 51; **Figures 1A and S1A to S1C**). Those enriched dorsally were confirmed by examining in situ databases (<http://www.brain-map.org/>), and by immunohistochemistry (**Figures 1C and 1D**). Among transcripts enriched in dNSCs (**Figure 1B**), 5 out of the top 10 (*NeuroD6*, *Eomes*, *NeuroD1*, *Tbr1*, *Neurog2*) were major determinants of the glutamatergic neuronal lineage (Schuurmans et al., 2004; Hevner et al., 2006; Brill et al., 2009; Winpenny et al., 2011). For further examining TFs association with defined neural lineages, we made use of previously published datasets to perform a meta-analysis (Cahoy et al., 2008). Interestingly, this analysis revealed that TF enriched in dNSCs formed at least 3 clusters defined as astroglial (18/61), neuronal (15/61) and oligodendroglial (11/61; **Figure 1E**). In contrast, clustering of INSCs enriched TFs defined a single large neuronal cluster (42/51), while the oligodendroglial cluster was small (3/51) and the astroglial absent (**Figure S1E**). These observations support the greater diversity of lineages arising from the dSVZ compared to the ISVZ that generates almost exclusively interneurons (reviewed in Fiorelli et al., 2015 and Azim et al., 2016).

We then focused our analysis on *Hopx*, an atypical homeodomain protein, which was interestingly enriched in both dNSCs (rank 7; 7 fold enriched in dNSCs) and the astrocytic lineage population (**Figures 1A, 1B and 1E**). Immunodetection of *Hopx* confirmed that it was



not expressed in migrating neuroblasts ( $DCX^+$ ) of the RMS and in oligodendrocytes ( $Olig2^+$ ) of the corpus callosum (CC; **Figures 1F and 1G**). In contrast, *Hopx* was expressed by astrocytes in the corpus callosum ( $GFAP^+$ ; **Figure 1H**), in agreement with the transcriptional meta-analysis (**Figure 1E**). This pattern of expression suggests that *Hopx* might play a role in regulating neural stemness and/or fate choice.

**Figure 1. A meta-analysis of TF enrichment in dNSCs in highlighting their association with distinct neural lineages.**

**(A):** Heatmap showing enrichment of 61 TFs in dNSCs compared to their lateral counterparts ( $\geq 1,8$  folds and  $p.\text{Value} < 0,05$ ).

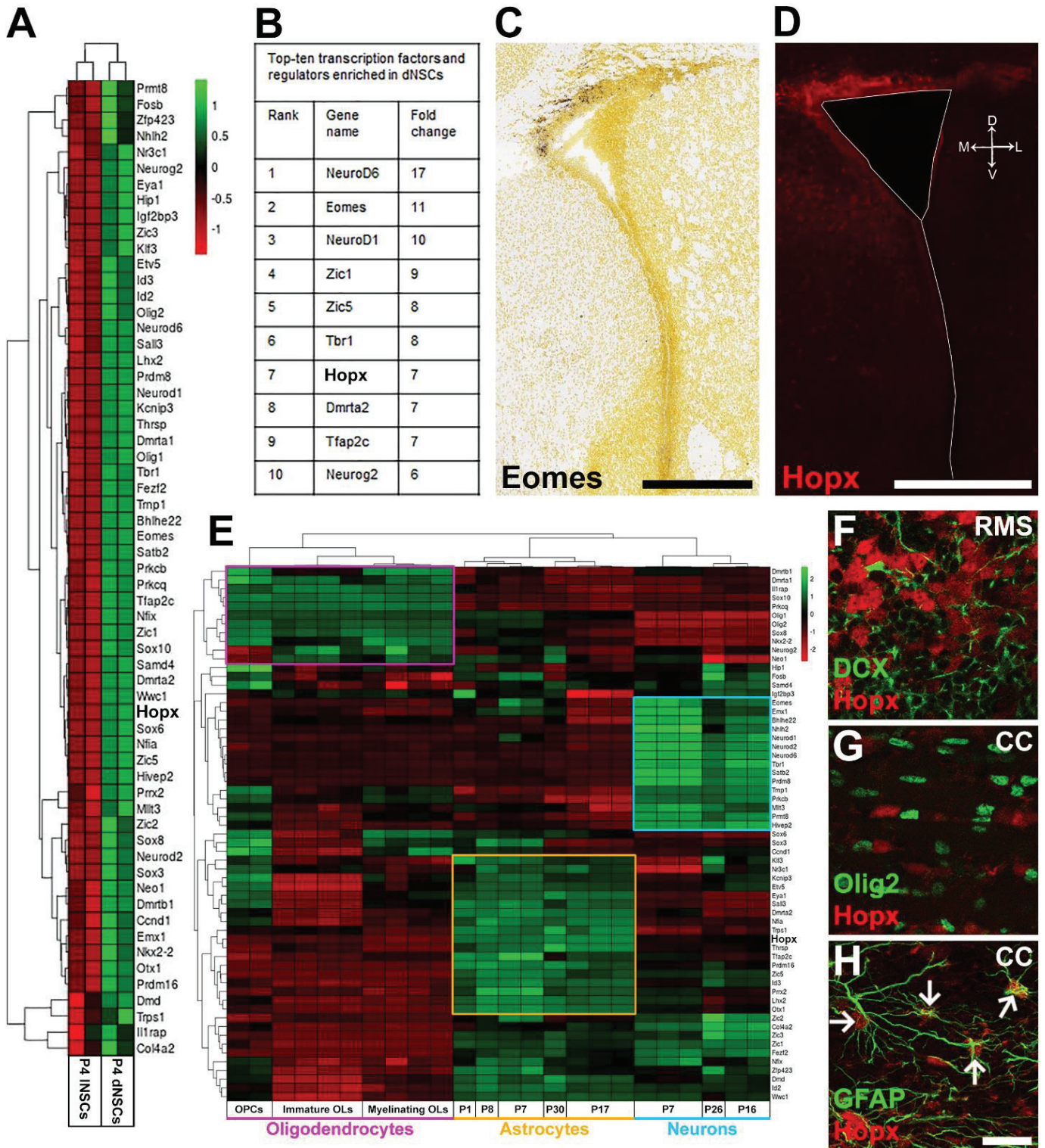
**(B):** Top 10 TFs enriched in dNSCs.

**(C, D):** Dorsal enrichment of select transcripts was confirmed using Allen Brain Atlas for *Eomes* (C) and by immunohistochemistry (*Hopx*) (D).

**(E):** Heatmap of dNSCs enriched TFs reveals 3 clusters corresponding to specific neural lineages: oligodendrocytes (purple, 11/61); astrocytes (yellow, 18/61); neurons (turquoise, 15/61). *Hopx* is highlighted in bold and associates to the astrocytic lineage.

**(F-H):** Confirmation of lineage-specific enrichment of *Hopx* by immunohistochemistry. *Hopx* is largely absent in neuroblasts in the RMS (*DCX*, F) and oligodendrocytes in the CC (*Olig2*, G), but is observed in astrocytes of the CC (*GFAP*, H).

Scale bars: C, D = 500  $\mu\text{m}$ ; H = 25  $\mu\text{m}$ . Abbreviations: CC, corpus callosum; dNSC, dorsal neural stem cell; lNSC, lateral neural stem cell; RMS, rostral migratory stream; OPC, oligodendrocyte precursor cell. —————▶

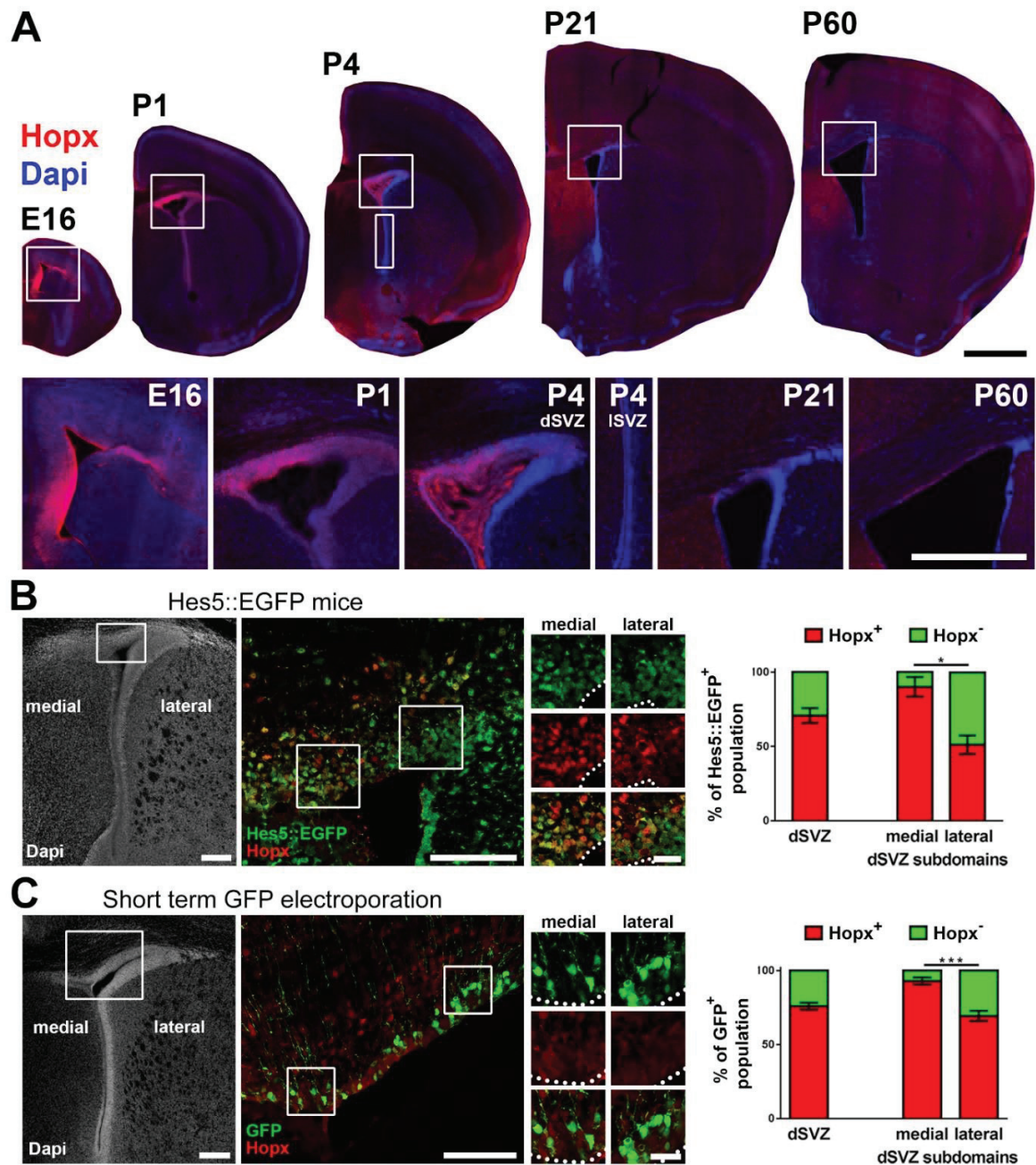


### 3.4.2. Hopx Expression Reveals Intraregional Heterogeneity within the dSVZ

Using two distinct antibodies, we found Hopx expression to be restricted to the dSVZ, while it was consistently absent from its lateral counterpart (**Figures 2A and S2**; for quantifications the rabbit anti Hopx antibody was used), in agreement with our transcriptomic analysis (see above). A high Hopx expression was already detectable along the dorsal region of the VZ/SVZ at embryonic day 16 (E16). At early postnatal time points (P1) its expression remained high, but declined sharply thereafter in the young adult SVZ. Throughout its period of expression, a clear mediolateral gradient persisted, with the highest expression observed in the medial aspects of the dorsal wall and declining in the lateral aspects of the dorsal wall (i.e. high medial-to-lateral expression), which has not yet been described for any other gene.

We next investigated Hopx expression in postnatal dNSCs in the Hes5::EGFP mouse line, which efficiently labels NSCs, as characterized previously (Azim et al., 2015; Giachino et al., 2014). Quantification of Hopx expression in the dSVZ of this mouse line, revealed that most, but not all (70.1±5.0%) Hes5::EGFP<sup>+</sup> cells in the dSVZ expressed Hopx. Due to the unexpected mediolateral gradient in Hopx expression in the dSVZ, quantifications of EGFP<sup>+</sup>/Hopx<sup>+</sup> cells were performed in the medial and lateral subdomains. There was a significantly higher overlap in the medial subdomain of the dSVZ (90.9±6.6%; dmSVZ) compared to the lateral subdomain (53.7±6.2%; dlSVZ; **Figure 2B**). These results were confirmed by performing GFP-electroporations (EPOs) of the dSVZ. Pups were sacrificed 8 hrs following EPO labeling the cells in direct contact with the lumen of the ventricle, i.e. those with radial glia (RG) morphology, as previously described (Azim et al. 2015; Tiveron et al., 2017). Similar results were obtained with 76.0±2.4% of the electroporated (GFP<sup>+</sup>) cells expressing Hopx, which were significantly larger in the dorso-medial subdomain, compared to its dorso-lateral counterpart (93.0±2.4% vs. 69.3±3.4%; **Figure 2C**).

Taken together Hopx expression pattern in dNSCs suggests entirely novel functional differences in the dSVZ and implies for the first time intraregional heterogeneity of the dorsal wall.



**Figure 2. Hopx shows a complex spatial and temporal expression pattern during forebrain development.**

(A): Representative micrographs of Hopx expression in coronal sections at E16, P1, P4, P21 and P60 (top panels). Higher magnifications (lower panel) show high expression in the embryo (E16) and at early postnatal stages (P1, P4) and a decline thereafter (P21, P60).

Embryonal and early postnatal ages (E16, P1, P4) show a clear expression gradient in the dorsal wall from medial high to lateral low.

(B, C): Analysis of Hopx expression in dNSCs was performed in Hes5::EGFP mice (B) and after short-term (8 hrs) targeted electroporation of a pCX-GFP plasmid (C). →

Quantifications were performed in rostral LV section (Dapi overviews; Bregma: 1,2-0) in the complete extent of the dorsal microdomain as well as in its medial and lateral subdomains (higher magnification). Crops show a high degree of overlap of Hopx with Hes5::EGFP and GFP positive cells, respectively. Degree of overlap was higher in the medial subdomain (Crops and Graphs).

Animals: (B) n=3; (C) n=6.

Scale bars: A (overview) = 1 mm; A (crops) = 500  $\mu$ m; B+C (Dapi overview) = 200  $\mu$ m; B+C (higher magnification) = 100  $\mu$ m; B+C (crops) = 25  $\mu$ m.

Abbreviations: dSVZ, dorsal subventricular zone; lSVZ, lateral subventricular zone.

### 3.4.3. Hopx Defines Dorsal SVZ Microdomains with Distinct Lineage Outputs

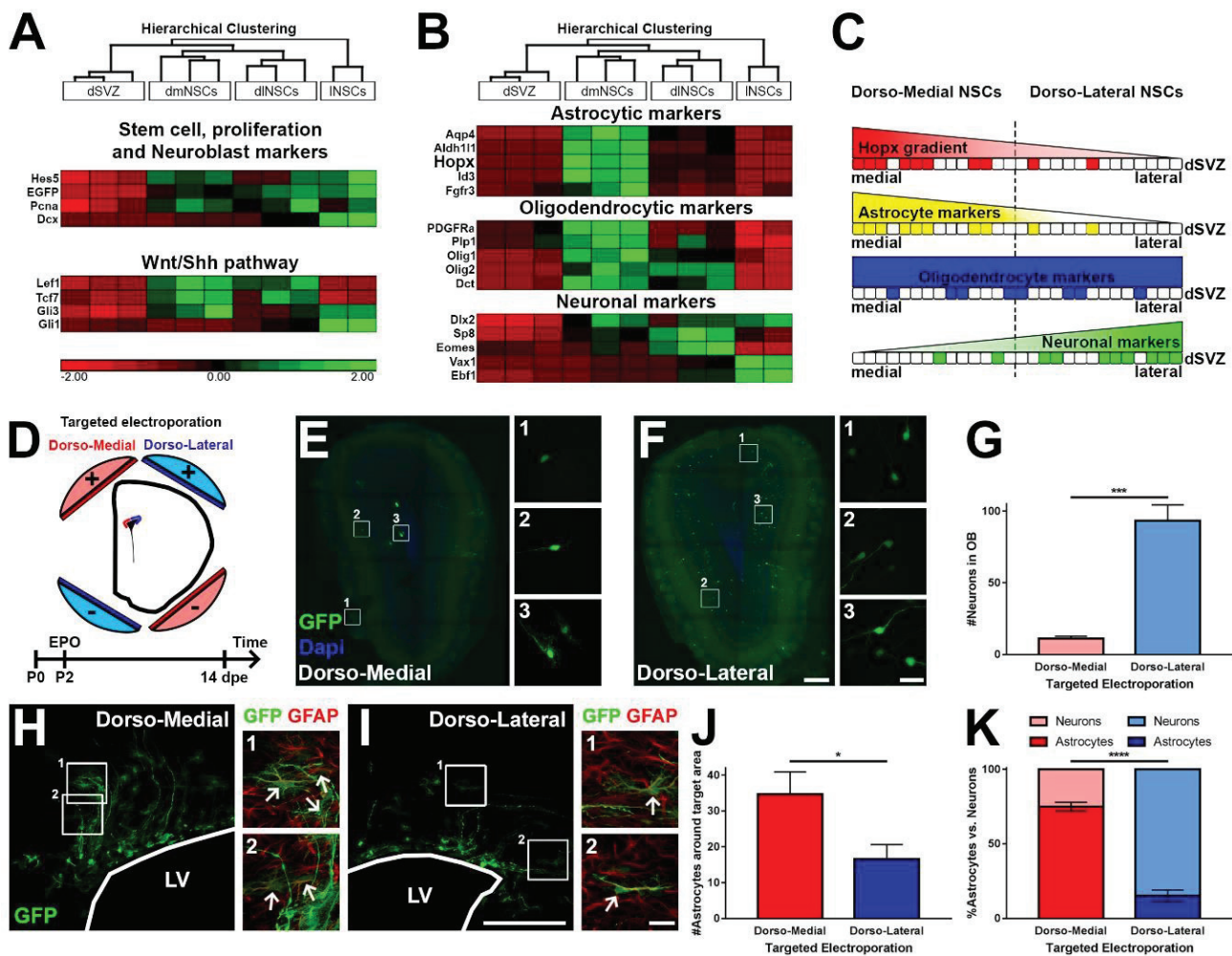
Expression of Hopx by astrocytes and a regional enriched subpopulation of dNSCs suggests further transcriptional heterogeneity within the dSVZ microdomain. To further investigate these observations, we microdissected the medial and lateral parts of the dSVZ (dmSVZ and dlSVZ, respectively) and isolated NSCs (dmNSCs; dlNSCs) based on their expression of Hes5::EGFP, as previously described (Azim et al. 2015). We performed qPCR to compare the expression of lineage-specific markers in regional NSC populations (**Figures 3B and 3C**).

We selected transcripts found to be enriched in NSCs, as well as in defined neural lineages (Azim et al., 2015; Cahoy et al., 2008) and compared their expression level by qPCR. Transcripts were all enriched in NSCs of all regions compared to the dSVZ, thereby validating our FACs strategy. In addition, there was no overall regional enrichment of stem cell markers (*Hes5*, *EGFP*; Basak and Taylor, 2007) and proliferation marker (*Pcna*; Yu et al., 1992) within the different NSC populations, although the neuroblast marker, *Dcx* was enriched in INSCs, consistent with a greater number of neuroblasts generated by this SVZ microdomain (Yang et al., 2004; **Figure 3A, top panel**). Markers for the astrocytic, oligodendrocytic and neuronal lineages were confirmed using the transcriptional dataset from the Barres group (Cahoy et al., 2008; **Figure S3**). Markers which were highly specific to astrocytes (*Acq4*, *Aldh1l1*, *Fgf3*, *Id3*), including Hopx, were expressed in dmNSCs including *Hopx* (**Figure 3B, top panel**). Markers for the oligodendrocyte lineage (*PDGFRa*, *Plp1*, *Olig1*, *Olig2*, *Dct*) showed a more homogeneous distribution along the dSVZ, but seem also to be biased to the dmNSCs population (**Figure 3B, middle panel**). Finally, proneuronal genes (*Eomes*, *Sp8*, *Dlx2*, *Vax1*, *Ebf1*) were generally enriched in dlNSCs or in INSCs (**Figure 3B, bottom panel**), implying

spatial segregation of lineages in the dSVZ. Taken together, astroglial markers, including *Hopx*, exhibit a converse expression gradient in dNSCs compared to neuronal markers (**Figure 3C**). Interestingly, target genes for patterning molecules, i.e. Wnt and Shh, showed that Wnt signaling is homogeneously distributed throughout the dorsal wall (*Tcf*, *Lef1*) whereas, *Gli1* is highly expressed in lNSCs (**Figure 3A, bottom panel**). Another signaling pathway target gene, *Id3*, used as a readout for Bmp signaling, showed very high enrichment in dmNSCs (**Figure 3B, top panel**; Azim et al., 2014b; Ihrle et al., 2011; Hollnagel et al., 1999).

To test if NSCs harbored by those two subdomains are biased to generate specific neural progenies, we performed targeted EPO of the dmSVZ and dlSVZ (**Figure 3D**). At a short time point (i.e. 12 hrs) electroporation of both microdomains resulted in efficient GFP expression in numerous radial glial cells (data not shown). At later time points (14 days post electroporation, dpe) the high efficiency of the targeted EPO was still evident, as assessed by analysis of the GFP optical density in the dSVZ (**Figure S4**). This was done using our recently developed software “FlashMap” (**Chapter 1**). Both regions had given rise to a large cohort of progenies whose distribution and fate appeared to be strikingly different (**Figures 3C-F**). Thus, in accordance to the transcriptional profile of dlNSCs, we found a large population of GFP<sup>+</sup> neurons in the OB ( $93.3 \pm 11.1$ ) following dorso-lateral EPO, while that derived from the dorso-medial EPO remained small ( $11.1 \pm 1.8$ ; **Figures 3E to 3G**). Contrary, the population of astrocytes around the LV, assessed by morphology and GFAP expression (**Figures 3H and 3I**), was substantially larger after dorso-medial EPO than after dorso-lateral EPO ( $34.6.5 \pm 6.3$  vs.  $16.5 \pm 4.1$ ; **Figure 3J**). Taken together, dmNSCs are clearly biased to the generation of the astrocytic lineage ( $74.9 \pm 3.0\%$  astrocytes vs.  $25.1 \pm 3.0\%$  neurons), while dlNSCs mainly produce neurons ( $15.2 \pm 3.8\%$  astrocytes vs.  $84.8 \pm 3.8\%$  neurons; **Figure 3K**).

Altogether, these findings suggest a high degree of heterogeneity within the dSVZ, with subdomains containing NSCs biased towards either an astrocytic or a neuronal fate.



**Figure 3. Lineage-specific markers highlight acquisition of divergent cell fates by NSCs located in different dSVZ subdomains.**

(A+B): Heatmaps show enrichment of transcripts in NSCs of distinct SVZ regions (dmNSCs, dlNSCs, INSCs) against the dorsal environment (dSVZ). Transcripts of stem cell, proliferation and neurogenesis markers (A; top panel) and Wnt/Shh pathways specific markers were analysed (A; bottom panel). Selected markers of the astrocytic lineage and Hopx (B; top panel), oligodendrocytic lineage (B; middle panel) and the neuronal lineage (B; bottom panel) were analysed. Astrocytic and neuronal markers show regional enrichment (in dmNSCs and dlNSCs, respectively), while oligodendrocytic makers were more homogeneously distributed along the dSVZ. Note that Hopx transcripts were enriched in dmNSCs.

(C): Scheme representing the counter gradients of the expression of Hopx (red) and astrocytic markers (yellow) versus the expression of neuronal markers (green) in dNSCs. Oligodendrocytic markers (blue) do not show such a clear spatial enrichment.

(D): Dorso-medial and dorso-lateral targeted electroporations highlight divergent lineage outputs of the two dorsal subdomains. Scheme shows the orientation of the electrodes for the targeted electroporation at P2 and sacrificing of animals at 14 dpe. →

**(E-F):** Representative micrographs of OB sections after dm and dIEPO (E+F). Cell counts of OB neurons indicate that neurogenesis of the dSVZ is mainly provided by dINSCs (G).

**(H-J):** Representative micrographs of LV containing sections after dm and dIEPO. Cells with astrocytic fates were identified according to their morphology and GFAP expression (H+I). Cell counts of astrocytes indicate that astrogenesis of the dSVZ is mainly provided by dmNSCs (J).

**(K):** Graph of the fractions of astrocytic and neuronal progenies from NSCs of these two subdomains. It highlights that dmNSCs are biased to the production of astrocytes, while dINSCs mainly produce neurons.

Animals: (A) 4-5 per n; (B) dmEPO, n=4; (B) dIEPO, n=5.

Scale Bars: F+I (overviews) = 200  $\mu$ m; F+I (crops) = 25  $\mu$ m.

Abbreviations: dpe, days post electroporation; dSVZ, dorsal subventricular zone; dmNSCs, dorso-medial NSCs; dINSCs, dorso-lateral NSCs; INSCs, lateral NSCs; EPO, electroporation.

### 3.4.4. Hopx Expressing NSCs are Biased to Acquire an Astroglial

#### Fate

To confirm a direct relationship between Hopx expression and the generation of distinct neural lineages, we fate-mapped Hopx expressing NSCs. We co-electroporated an inducible fluorescent plasmid (pFloxPA-DsRED express) with a GFP plasmid (pCX-GFP) in the dSVZ of P1 Hopx<sup>CreERT2</sup> mice and analyzed brain sections at 7 and 21 dpe (**Figures 4A and 4B**). Activation of the Cre-recombinase in Hopx<sup>+</sup> NSCs led to DsRED/GFP expression (hereafter called DsRED) in electroporated cells and their progenies, while the Hopx<sup>-</sup> lineage expressed GFP only (**Figure 4C**). Electroporated cells distribution and cell fate were assessed at both time points on serial sections encompassing the LV and the OB (**Figures 4D and 4E**). Our results reveal the presence of DsRED<sup>+</sup> and GFP<sup>+</sup> cells at both 7 and 21 dpe (**Figure 4F**). Remarkably, while the majority of GFP<sup>+</sup> cells were found in the OB (7 dpe: 66.2 $\pm$ 2.1%; 21 dpe: 77.7 $\pm$ 2.5%), where they acquired the typical morphology of granule neurons, the majority of DsRED<sup>+</sup> cells remained in close proximity to the dSVZ, i.e. in the corpus callosum (7 dpe: 64.6 $\pm$ 5.6%; 21 dpe: 63.8 $\pm$ 6.8%) at both time points (**Figure 4G**). To confirm the early acquisition of distinct neural fates by Hopx<sup>+</sup> and Hopx<sup>-</sup> NSCs, we investigated GFAP expression by DsRED<sup>+</sup> and GFP<sup>+</sup> in the periventricular regions at 21 dpe. This analysis confirmed that the fraction of GFAP<sup>+</sup> astrocytes produced by Hopx<sup>+</sup> NSCs was approximately twice as much as the fraction generated by Hopx<sup>-</sup> NSCs (59.2 $\pm$ 7.1% vs. 32.8 $\pm$ 4.3%, **Figure 4H**).



Taken together, these findings validate for the first time that the dSVZ consists of two subdomains harboring specialized NSC populations biased to acquire distinct fates (medial: astrocytes; lateral: neurons). Furthermore, our results identify Hopx as a marker of a subpopulation of NSCs biased to generate astrocytes.

**Figure 4. Fate mapping of Hopx<sup>+</sup> and Hopx<sup>-</sup> lineages by electroporation of an inducible fluorescence plasmid.**

**(A-C):** EPO of a pCX-GFP and an inducible pFloxPA-DsRed plasmid (1:2; A) allows lineage tracing of both populations at short- (7 dpe) and long-term (21 dpe; B). This approach results in GFP only progenies from Hopx<sup>-</sup> NSCs, whilst Hopx<sup>+</sup> NSCs give rise to DsRED/GFP (or DsRED) progenies (C).

**(D+E):** Representative micrographs at 21 dpe of a LV (D) and OB (E) section. Astrocytic fate was assessed according to morphology and GFAP expression (D, crops).

**(F):** Graph of absolute numbers of recombined cells (red) and GFP<sup>+</sup> (green) in LV and OB sections at 7 dpe and 21 dpe.

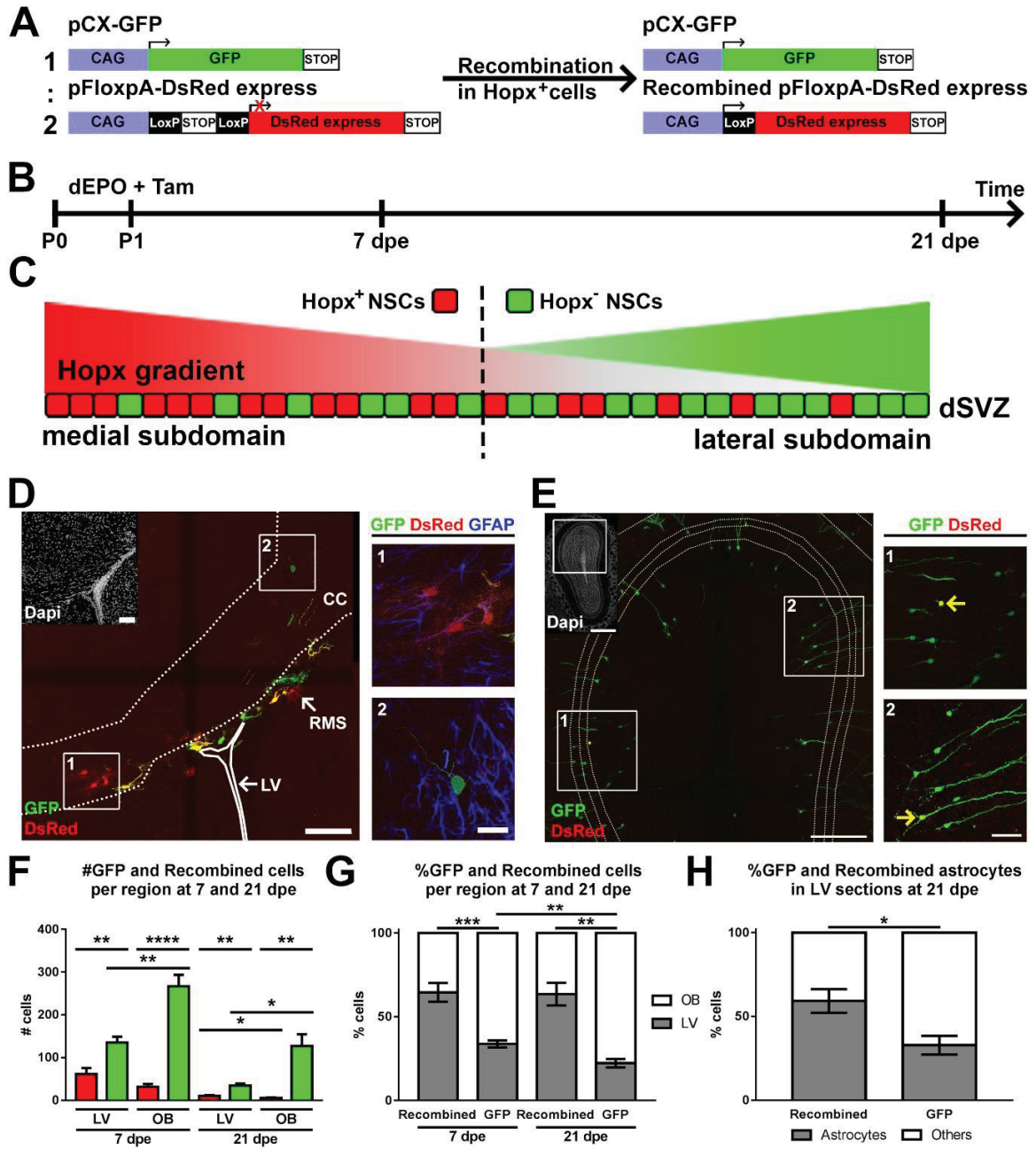
**(G):** Graph showing the fractions of recombined and GFP<sup>+</sup> cells harbored by LV and OB sections at 7 dpe and 21 dpe.

**(H):** Graph showing the fractions of cells from the recombined and GFP population exhibiting astrocytic traits 7 dpe and 21 dpe.

Animals: 7 dpe, n=5; 21 dpe, n=4.

Scale bars: D (Dapi overview and fluorescence overview) = 100 μm; D (crops) = 25 μm; E (Dapi overview) = 500 μm; E (fluorescence overview) = 250 μm; E (crops) = 50 μm.

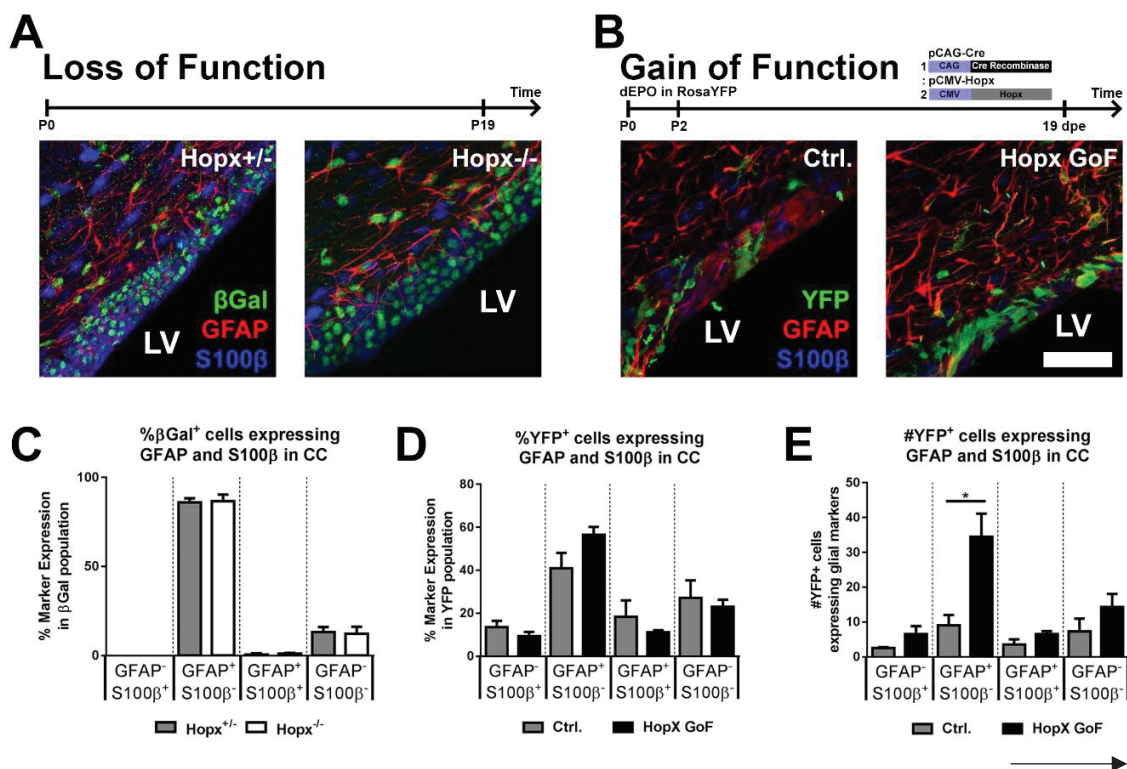
Abbreviations: dEPO, dorsal electroporation; dpe, days post electroporation; dSVZ, dorsal subventricular zone; LV, lateral ventricle; NSCs, neural stem cells; OB, olfactory bulb; Tam, tamoxifen. →



### 3.4.5. Expression of Hopx is Partly Dispensable During Astrogenesis

To further explore the role of Hopx in regulating lineage specification in the postnatal dSVZ, we performed loss and gain of function (LoF; GoF) experiments and analysed the effects of these manipulations on the expression of the astrocytic markers, GFAP and S100 $\beta$  in the corpus callosum at P19 and 19 dpe, respectively (**Figures 5A and 5B**). First, the effect of Hopx loss in astrocytes was analysed by fate mapping the phenotypes of LacZ expressing astroglia in

both *Hopx* KO (*Hopx*<sup>LacZ/LacZ</sup>) and *Hopx* heterozygous (*Hopx*<sup>WT/LacZ</sup>) mice. Quantifications of S100 $\beta$  and/or GFAP in  $\beta$ -galactosidase ( $\beta$ Gal) expressing cells revealed no significant differences in their numbers. The majority of  $\beta$ Gal<sup>+</sup> cells, in both backgrounds, maintained their astrocytic identity, shown by their expression of GFAP (Het: 86.0 $\pm$ 2.3%; KO: 86.7 $\pm$ 3.7%). However these populations were nearly free of S100 $\beta$  expression, which labels a fraction of GFAP astrocytes (**Figure 5C**). These results are largely in agreement with those obtained by the GoF approach. *Hopx* overexpression only resulted in minor, non-significant changes in the fraction of S100 $\beta$ <sup>-</sup> GFAP<sup>+</sup> cells following *Hopx* overexpression (increase from 40.9 $\pm$ 7.1% to 56.5 $\pm$ 3.7%), while the other two groups (GFAP<sup>-</sup> S100 $\beta$ <sup>+</sup>; GFAP<sup>+</sup> S100 $\beta$ <sup>+</sup>) were slightly decreased (**Figure 5D**). Interestingly, the number of cells expressing GFAP in the CC was however dramatically increased following *Hopx* GoF (**Figure 5E**). Together, these results suggest that *Hopx* is not a major determinant for astroglial specification of postnatal NSCs. The expansion of the cohort of GFAP expressing cells observed following *Hopx* GoF, rather suggests its role in amplifying the number of astrocytes being produced or their survival.



**Figure 5. Hopx LoF and GoF approaches.**

**(A):** *Hopx* KO and heterozygous animals were used to analyse the  $\beta$ Gal<sup>+</sup> populations at P19.

**(B):** GoF experiments were performed by dorsal electroporation of pCAG-Cre and pCMV-*Hopx* plasmids (1:2) into RosaEYFP animals. Animals were sacrificed 19 dpe. Analysis of YFP<sup>+</sup> cells was performed in the CC.

**(C-E):** Quantifications of co-expression of S100 $\beta$  and GFAP in the  $\beta$ Gal and YFP populations were performed in the CC. No differences in the fractions expressing S100 $\beta$  and/or GFAP were observed after *Hopx* KO or overexpression (C+D).

However, the total size of the YFP expressing population was increased after *Hopx* overexpression, notably the GFAP<sup>+</sup> fraction.

Animals: (C) *Hopx*<sup>+/-</sup>, n=4; (C) *Hopx*<sup>-/-</sup>, n=4; (D) Ctrl., n=4; (D) GoF, n=4.

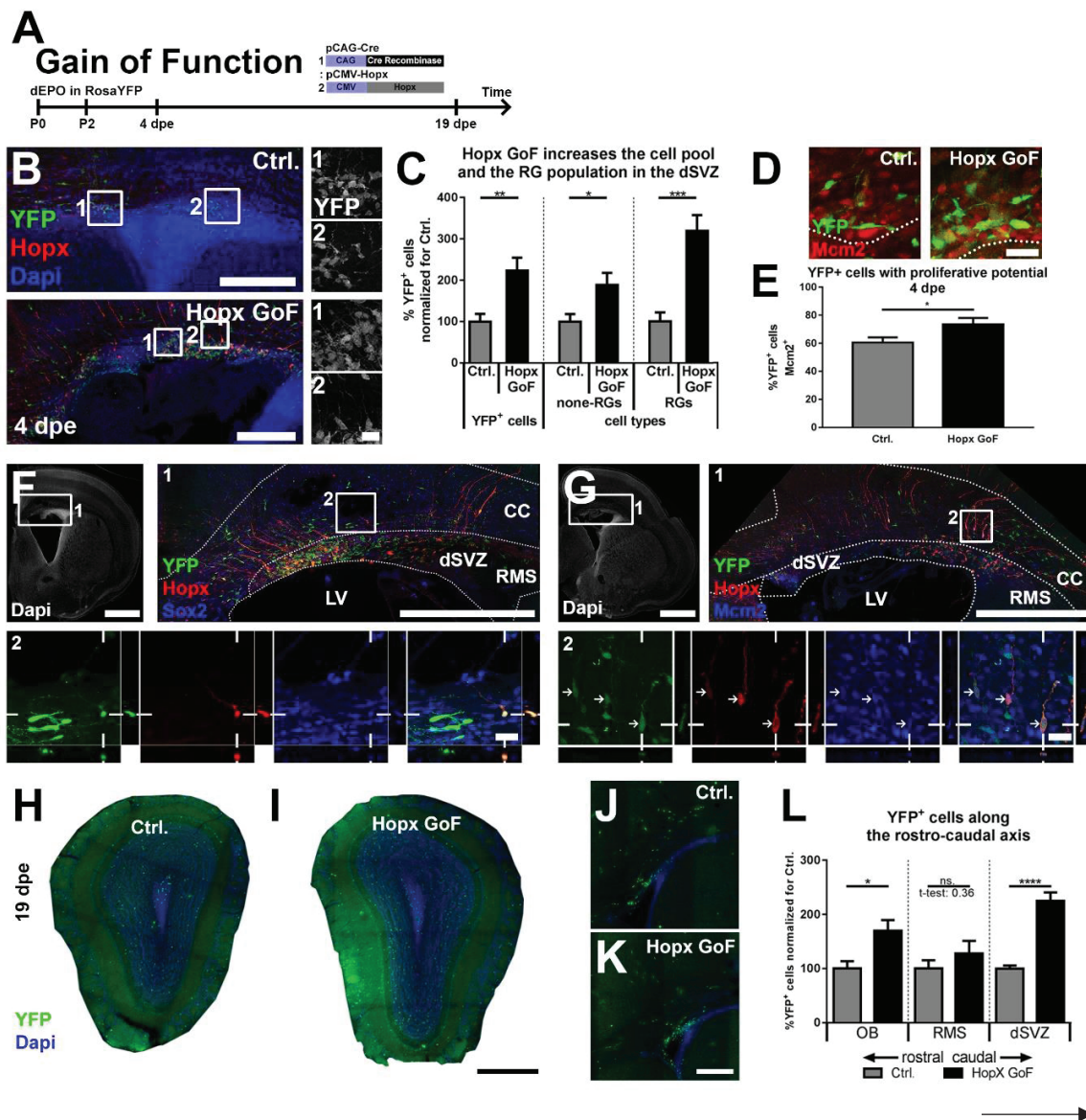
Scale Bar: B = 50  $\mu$ m. Abbreviations: dEPO, dorsal electroporation; dpe, days post electroporation; LV, lateral ventricle; Ctrl., control; GoF, gain of function; LoF, loss of function.

### 3.4.6. Hopx Overexpression Regulates the Appearance of Outer Radial Glial Cells

Driven by these observations as well as by recent reports of *Hopx* expression in outer radial glial cells in humans (Pollen et al., 2015 ; Thomsen et al., 2016), we next aimed at more directly assessing the effect of *Hopx* overexpression on NSCs behavior by sacrificing the animals at an earlier time point, i.e. 4 dpe (**Figure 6A**). Interestingly, we found a 200% enrichment of YFP<sup>+</sup> cells presenting a typical radial morphology, whilst non-RG like cells were less affected (**Figures 6B and 6C**). The fraction of RG cells and none-RG cells were uniformly increased along the medial to lateral aspects of the dSVZ (**Figure S5**). In line with these results quantifications confirmed an increased fraction of RG cells, expressing *Mcm2* 4 dpe in the dSVZ (*Hopx* GoF: 73.6 $\pm$ 4.5%; Ctrl.: 60.6 $\pm$ 3.7%; **Figures. 6D and 6E**). Remarkably, some of the RG cells observed following *Hopx* overexpression showed characteristics of oRG, a discrete population of ectopically located RG cells observed during corticogenesis in mice (Wang et al., 2011), which becomes predominant in humans. These oRG morphology exhibited a long basal process, no apical process, and were located away from the LV surface (most of them were found in the CC) where they maintained expression of NSC markers (i.e. *Mcm2*, *Sox2*; **Figures 6F and 6G**). To investigate the later consequences of the induction of this large population RG/oRG cells, the number of YFP<sup>+</sup> cells in the dSVZ, RMS and OB (**Figures 6H to 6L**), were quantified at 19 dpe. At this late time point, an increased number of glial cells in the CC (**Figure 5E**) could be detected, as well as a significant increase in the

number of neurons in the OB (**Figure 6L**). However, no ectopic neurons were observed in the cortex after Hopx overexpression, indicating that the increased neurogenesis remains destined to the OB.

Taken together, these observations indicate that Hopx overexpression increases RG cells number and induces the appearance of oRG shortly after electroporation, resulting in an increased germinal activity and a larger number of astrocytes and neurons being produced.



**Figure 6. Hopx overexpression enlarges the pool of RG cells and results in an increased germinal activity.**

**(A):** Quantifications were performed at 4 and at 19 dpe.

**(B-E):** The YFP<sup>+</sup> populations were analyzed for RG morphology (B+C) and proliferative potential (D+E) at 4 dpe. The population of RG cells, but also none-RG cells were increased following Hopx overexpression (C). A higher fraction of the YFP population was positive for the proliferation marker Mcm2 (E).

**(F+G):** YFP<sup>+</sup> cells with characteristics of oRG were observed 4 dpe, showing Sox2 (F) and Mcm2 (G) co-expression.

**(H-L):** The enlarged population of RG cells after Hopx overexpression results in an increased overall population of YFP cells at 19 dpe.

Animals: 4 dpe Ctrl., n=7; 4 dpe GoF, n=5; 19 dpe Ctrl., n=5; 19 dpe GoF, n=6.

Scale bars: B (overviews) = 250  $\mu$ m; B (crop) = 25  $\mu$ m; E = 25  $\mu$ m; G+H (Dapi overviews) = 1 mm; G+H (fluorescence overview) = 500  $\mu$ m; G+H (crops) = 25  $\mu$ m; J+L = 500  $\mu$ m. Abbreviations: dEPO, dorsal electroporation; dpe, days post electroporation; Ctrl., control; GoF, gain of function; CC, corpus callosum; dSVZ, dorsal subventricular zone; RMS, rostral migratory stream; LV, lateral ventricle.

### 3.5. Discussion

In this study, we show that TFs of specific neural lineages are enriched in specific regions of the postnatal SVZ in agreement with their capacity to produce defined neural cell types. By selecting one of these transcripts, we show that NSCs are spatially segregated and primed to differentiate towards specific neural fates. Our results further identify Hopx as a marker of NSCs heterogeneity and suggest its association with the emergence of germinal traits observed during primate corticogenesis.

The diversity of neural subtypes generated by NSCs of the SVZ after birth is much larger than first believed. The concept of SVZ regionalisation in which the genesis of distinct neural lineages are spatially and temporally regulated is being increasingly investigated (reviewed in Fiorelli et al., 2015; Azim et al., 2016). NSCs located in SVZ microdomains originate from distinct regions of the developing forebrain (Fuentealba et al., 2015) and generate a large diversity of neural cells, including neuronal subtypes depending on the expression of specific transcriptional programmes (Merkle et al., 2007; Merkle et al., 2014; Fernández et al., 2011; Young et al., 2007). Consequently, expression of defined TFs is likely to directly correlate with the acquisition of specific neural fates, a concept that we have explored in the present study. We took advantage of the whole genome transcriptome of region-specific postnatal NSCs that we have recently resolved. Our analysis revealed that the major class of mRNA

transcripts differing in expression in region-specific NSCs, were transcriptional regulators, rather than the expression of receptors for signaling pathways, signaling ligands or metabolic processes (Azim et al., 2015). Additionally, meta-analysis of TFs expressed in dorsal and lateral NSCs with datasets of distinct neural lineages (Cahoy et al., 2008) highlighted transcriptional networks that correspond to the lineages derived from microdomain-specific NSCs. Together, our results suggest that NSCs are primed to acquire specific fates by the early expression of lineage-specific TFs. Such an early priming is supported by a recent single cell RNA-Seq characterization of SVZ NSCs (Llorens-Bobadilla et al., 2015), as well as by observations made in other tissues (Kim et al., 2016).

Exploring the spatial heterogeneity and restricted nature of NSCs in generating specific neural lineages will be greatly facilitated by the identification of regionalized NSCs markers. In this study, we identified *Hopx* as such a marker. Using two separate approaches, we show that *Hopx* expression is confined to a subpopulation of dNSCs, while it is consistently absent from INSCs. These observations do not support recent reports highlighting expression of *Hopx* in quiescent NSCs, as these cells can also be found in the lateral SVZ (Codega et al., 2014). Our results rather suggest an association of *Hopx* with the astrocytic lineage, although they do not support a direct role of *Hopx* in specifying astrocytes. Indeed, *Hopx* loss of function and gain of function experiments revealed that it is redundant during initial astrogenesis from dNSCs, and suggest that it acts as a modulator of differentiation and acquisition of specific astrogenic traits such as GFAP expression, such as previously reported in other cell lineages (Obarzanek-Fojt et al., 2011). Importantly, although *Hopx* expression is observed in a subpopulation of NSCs that rapidly loses its ability to produce neurons but instead produces astrocytes, it cannot be considered as a pan-astrocytic marker. Indeed, whereas astrogenesis can be observed throughout the brain, *Hopx* expression is restricted to a subdomain of the postnatal dSVZ, namely its dorso-medial most region and is therefore likely to be associated with the generation of a subpopulation of astrocytes. Astrocytes heterogeneity has been recently highlighted in a number of studies. Fate mapping studies revealed that astrocytes are allocated to spatial domains in accordance with their embryonic sites of origin in the ventricular zone (Tsai et al., 2012). Further, transcriptomic analysis of astrocytes isolated from various brain regions reveal heterogeneous expression of several astrocytic markers, including *Hopx* (Morel et al., 2017). Interestingly, *Hopx* was reported to show a gradient, with higher expression levels in the dorsal

forebrain (cortex/hippocampus) compared to ventral subcortical (thalamus/hypothalamus) regions. Regional differences in astrocytes have been recently shown to influence neuronal synaptogenesis and maturation through secretion of several extracellular matrix proteins (Eroglu and Barres, 2010). In addition, density of astrocytes vary greatly between brain regions (Azevedo et al., 2009). The role of Hopx in influencing regional astrocytes properties and/or density remains to be explored.

Remarkably, postnatal Hopx overexpression increased the number of RG cells and induced the appearance of ectopic located cells resembling oRG. While oRG cells are rare in embryonic mice (Wang et al., 2011), their number increases dramatically in primates to form a secondary germinal region (i.e. the outer SVZ, or oSVZ) that contributes to the expansion of upper cortical layers during embryogenesis (Lewitus et al., 2013, Smart, 2002). Recently, a number of studies have reported Hopx expression in human oRG (Pollen et al., 2015; Thomsen et al., 2016). Furthermore, ectopic overexpression of the hominoid-specific gene *Tbc1d3* into radial glia in rodents, induces Hopx positive oRGs that contributes to cortical folding (Ju et al., 2016). In line with these observations, our overexpression results propose a novel instructive role of Hopx in oRG cells formation. They further reveal that ectopically generated oRG cells generated after birth may result in an increased olfactory neuronal yields. Taken together, our results suggest differential outputs of Hopx overexpression in dorso-medial and dorso-lateral NSCs, which are respectively Hopx<sup>+</sup> and Hopx<sup>-</sup>. Thus, Hopx overexpression results in consolidating astroglial fates, in gliogenic NSCs, whilst inducing an RG phenotype in those primed to produce neurons. Consequently, Hopx regulates uniquely the numbers of both astrocytes and neurons as revealed by our quantifications.

The mechanisms by which Hopx mediates its functions remains largely unknown. Hopx is an atypical TF, which does not bind DNA per se. Therefore, it is likely modulating other TFs and/or effectors of signaling pathways at the post-transcriptional level. An interaction of Hopx with SRF has for example been demonstrated during cardiac development (Shin et al., 2002), but is unlikely to occur in the SVZ where SRF expression is remains low (data not shown). A more likely function of Hopx is the modulation of dorsally active signaling pathways. Our results show an activity of Bmp and Wnt pathways in dNSCs (see also Azim et al., 2014a; Azim et al., 2017), which have been demonstrated to link astrogenesis with neurogenesis during corticogenesis (Gross et al., 1996; Nakashima et al., 2001; Tiberi et al., 2012).



Reciprocal signaling between Bmp and Wnt has been reported in multiple progenitor populations (He et al., 2004; Kandyba et al., 2013; Plikus et al., 2008; Song et al., 2014; Genander et al., 2014). It is tempting to speculate that Hopx expression in the dorsal SVZ plays a role in the integration of these two signaling pathways as recently demonstrated in cardiomyoblasts (Jain et al., 2015). Future studies aimed at manipulating the activity of these two signaling pathways in *Hopx* KO animals may allow addressing these questions and investigate the role of extrinsic signals integration in lineage fate specification of neighbouring populations of NSCs. Other signaling pathways are likely to influence the pattern of Hopx expression and may have been involved in the evolution in the pattern of Hopx expression observed in primates. It is interesting to note that Hopx expression in the mouse SVZ follows the pattern of maturation of ependymal cells which might gradually restrict RG cells contact with the cerebrospinal fluid (Spassky et al., 2005). This combined with the expression of Hopx in oRG which lack apical processes in primates, suggest that an unknown cerebrospinal fluid derived signal may regulate Hopx expression. Such a signal might counteract or modulate Shh signaling which was recently identified in regulating oRG cell formation (Wang et al., 2016). Interestingly, Shh manipulation in mice results in oRG and gyri formation in the medial most aspect of the cortex, where high Hopx expression is evident. Expression of Hopx in primate oRG might have evolved from this original pattern of expression for the dual coupling of oRG cells and cortex expansion.

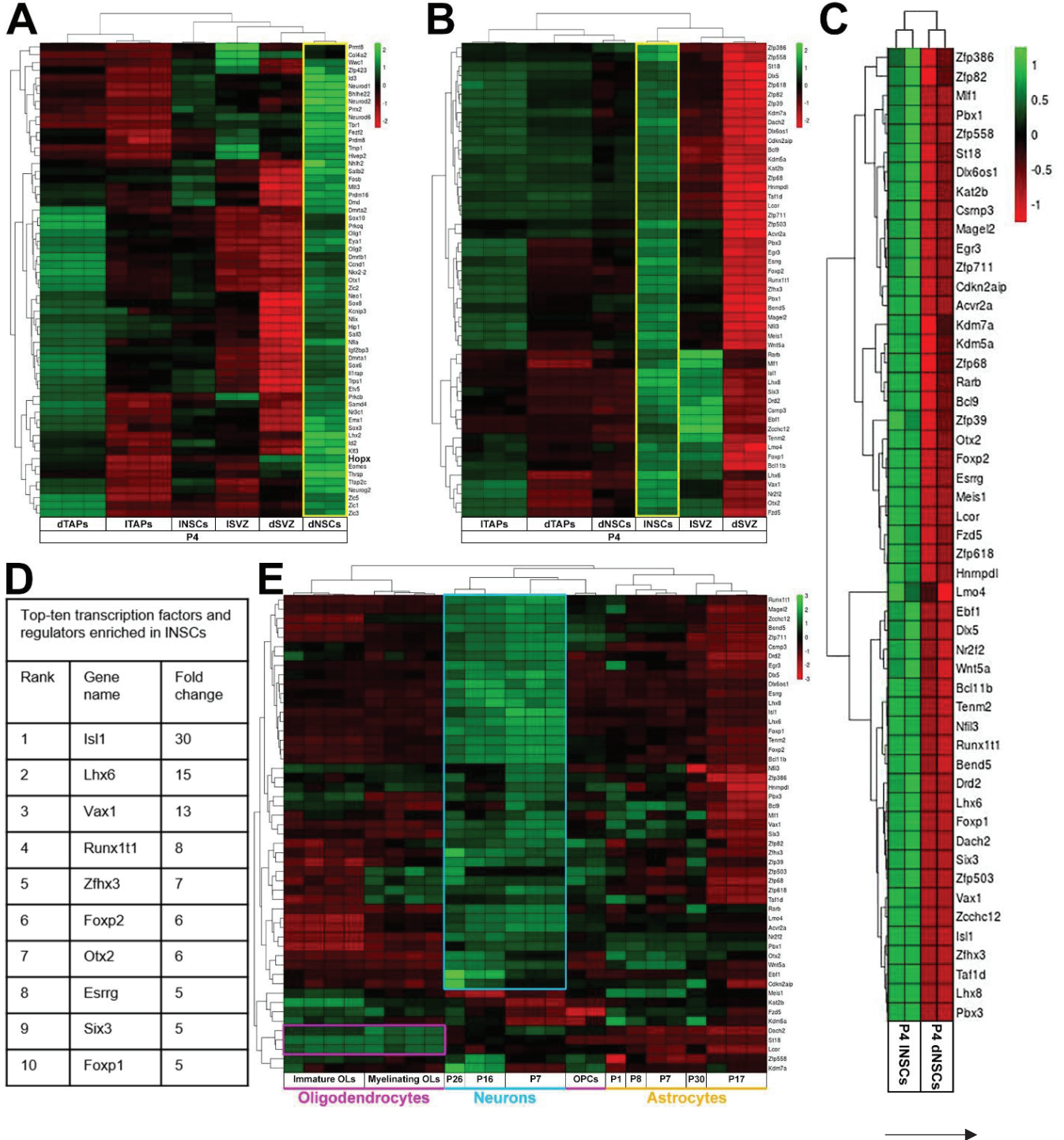
In summary, our work identifies for the first time that the dSVZ is much more heterogeneous than previously thought in terms of spatial segregation and early-priming of NSCs in generating specific neural lineages. The abundant expression of the TF Hopx contributes to the intraregional heterogeneity of the dSVZ in rodents, while its overexpression suggests its association with changes observed in germinal regions throughout evolution.

### **3.6. Acknowledgments**

The authors would like to thank Jonathan A. Epstein for kindly sharing the *Hopx*<sup>CreERT2</sup> mouse line and Daniel Hohl for sharing the *Hopx*<sup>LacZ/WT</sup> knockin mouse line. Further, we like to thank Xavier Morin and Colette Dehay for providing plasmids for these experiments. We are grateful to Bruno Fischer and Anahi Hurtado-Chong for their technical assistance and Vanessa Donega

for critical reading of the manuscript. This study was supported by a Grant from the “Programme Avenir Lyon Saint-Etienne” and S.Z. was further supported by a Doc.Mobility fellowship from the Swiss National Science Foundation (SNF; grant number P1SKP3\_168418). Other support was received from a SNF project grant NRP63 to O.R. (grant number 406340\_128291) and SNF Advanced Post-doc mobility fellowship to K.A. (grant number P300P3\_154614).

### 3.7. Supplementary Figures



**Figure S1. Analysis of TFs enriched in regionally separated NSC population.**

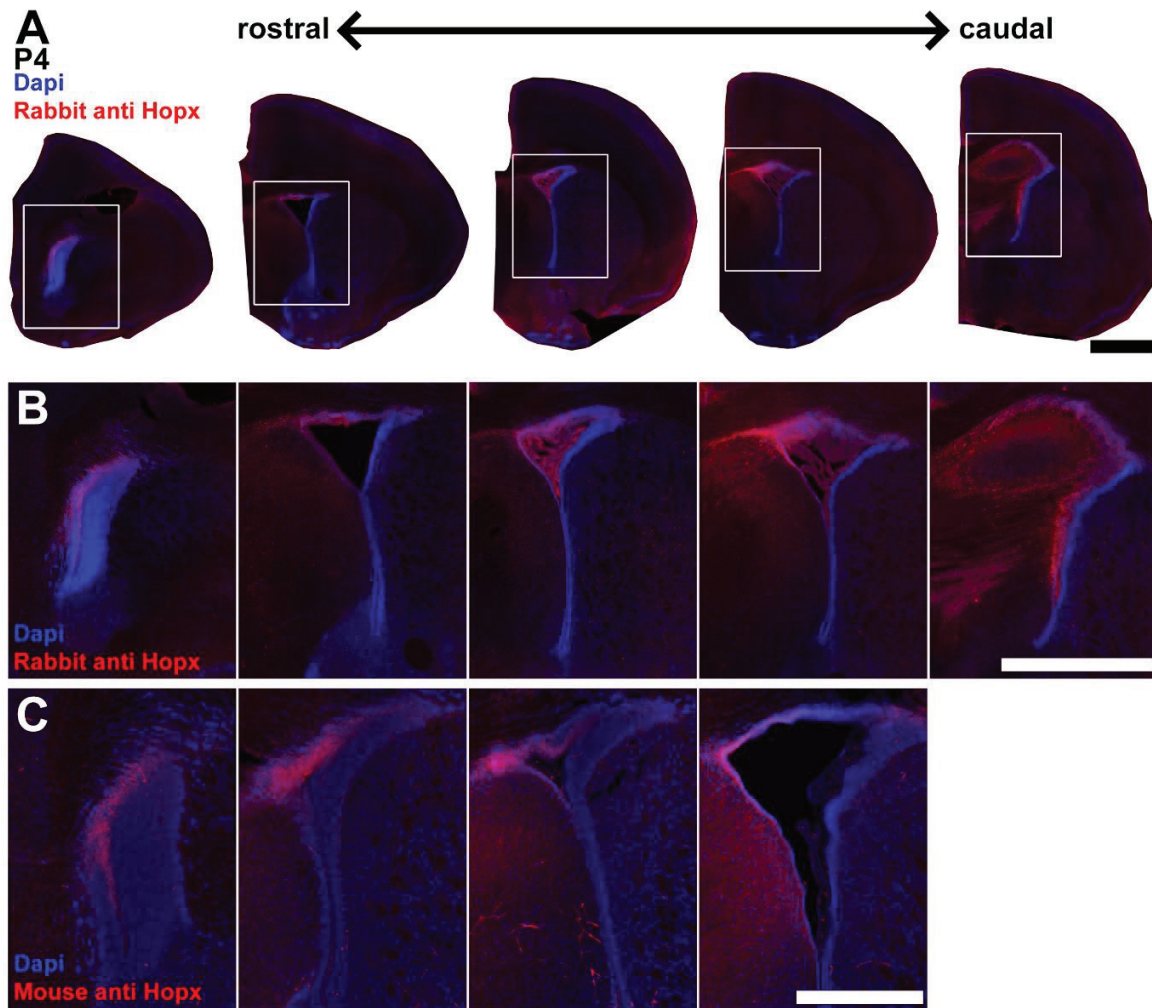
**(A+B):** Heatmaps confirming the enrichment of selected TF transcripts in dNSC (vs. INSCs (A)) and INSCs (vs. dNSCs (B)), including the transcriptional profile in TAPs and the environment (SVZ) of those two regions.

**(C):** Heatmap of in P4 INSCs enriched TFs compared to their dorsal counterparts ( $\geq 1,8$  folds and  $p.\text{Value} < 0,05$ ).

**(D):** List of the top ten TFs enriched in INSCs.

**(E):** Lineage-specific meta-analysis of INSC TFs using the dataset from the Barres group: oligodendrocytes (purple, 3/51); astrocytes (yellow, 0/51); neurons (turquoise, 42/51).

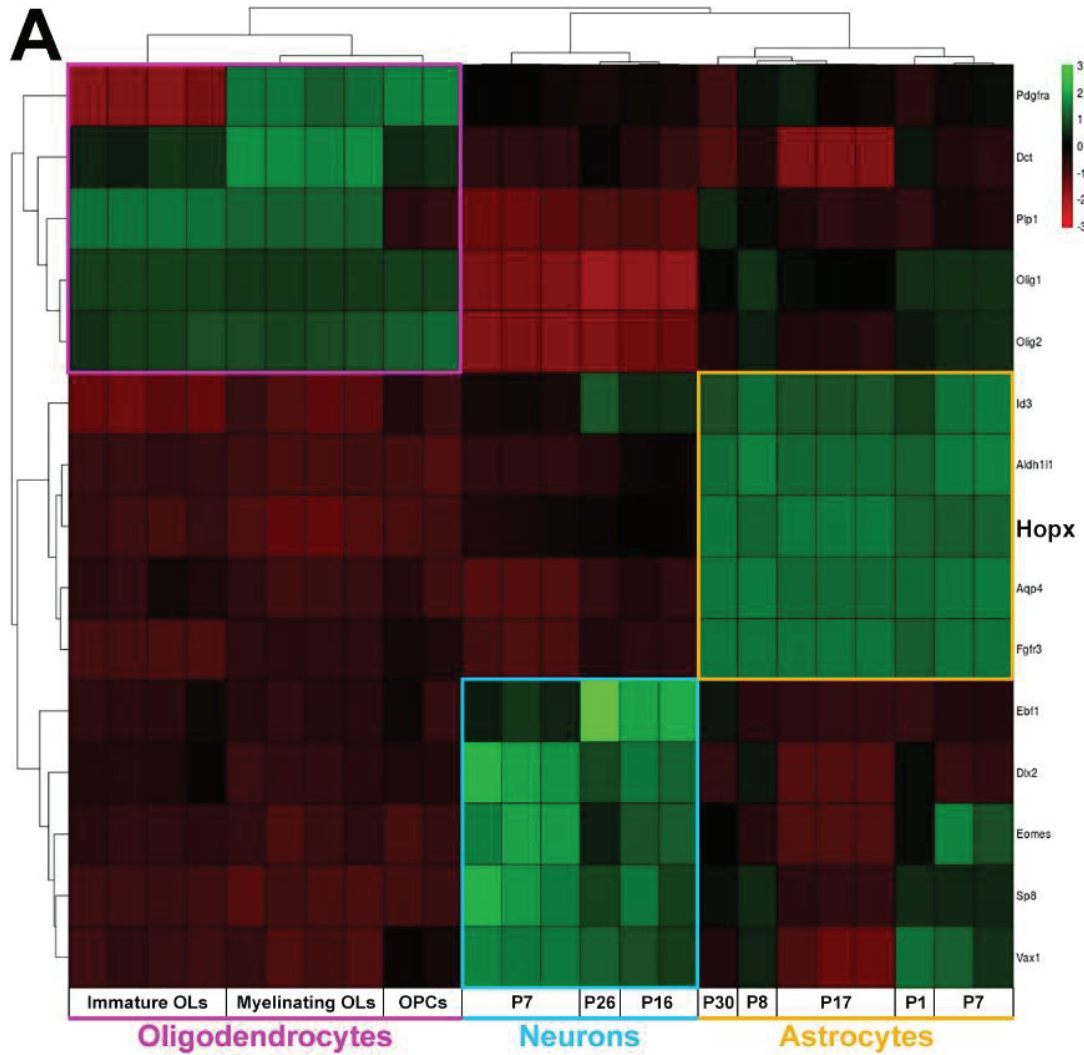
Abbreviations: transient amplifying progenitors, TAPs; neural stem cells, NSCs; subventricular zone, SVZ; oligodendrocyte precursor cells, OPCs.



**Figure S2. Spatial heterogeneity of Hopx expression at P4.**

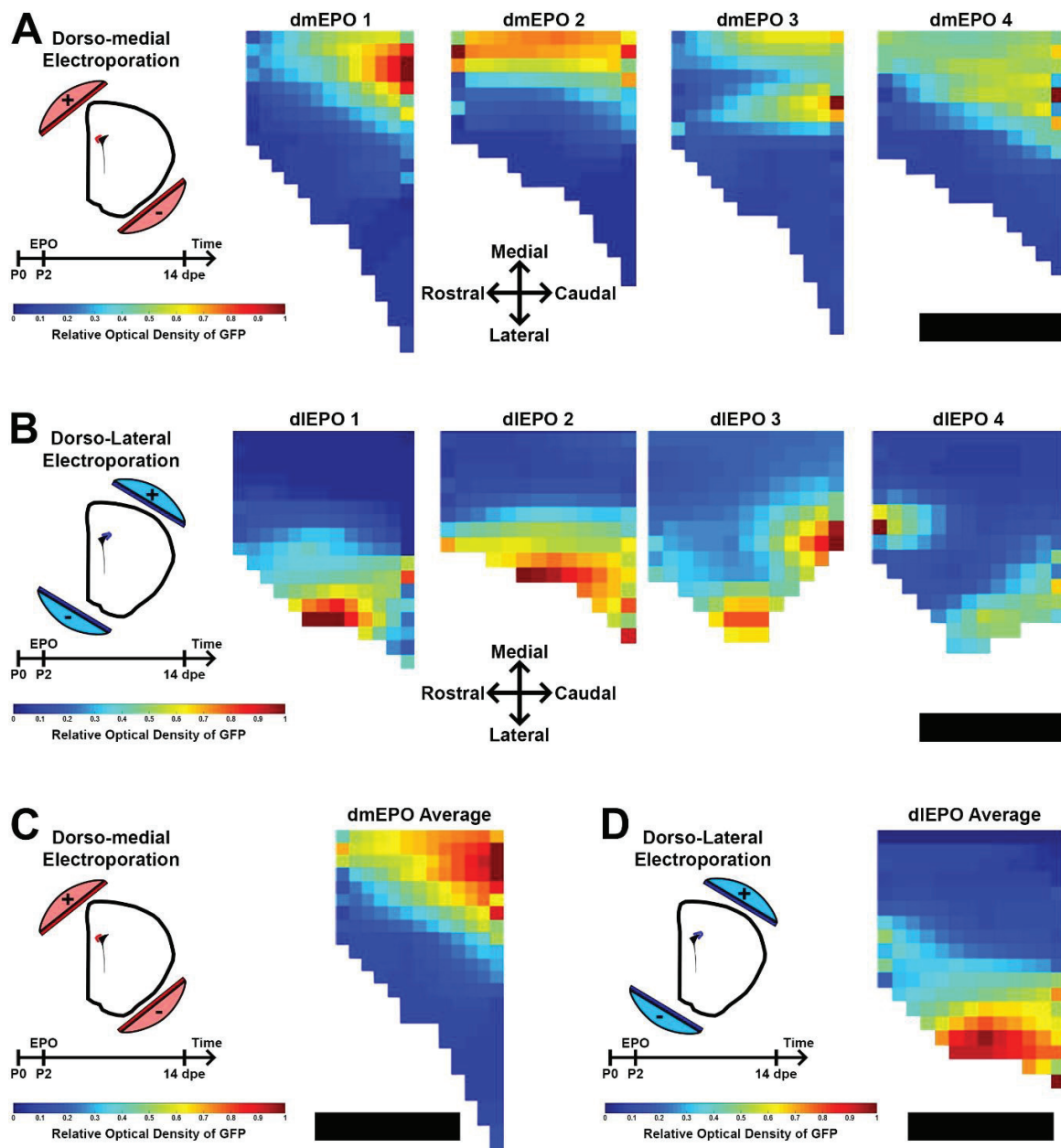
(A-C): Representative pictures demonstrate Hopx expression in a P4 animal along the rostro-caudal axis. The top and middle panel show overviews (A) and higher magnification pictures (B) of tissue stained with the rabbit anti Hopx antibody. The bottom panel shows higher magnification pictures of tissue stained with the mouse anti Hopx antibody (C). Note that both antibodies exhibit the same spatial pattern of Hopx expression.

Scale bars: A+B = 1 mm; C = 500  $\mu$ m.



**Figure S3. Confirmation of lineage specificity of selected transcripts using the dataset from the Barres group.**

5 transcripts of the oligodendrocytic lineage (*PDGFRA*, *Dct*, *Plp1*, *Olig1*, *Olig2*), 5 of the neuronal lineage (*Ebf1*, *Dlx2*, *Eomes*, *Sp8*, *Vax1*) and 5 of the astrocytic lineage (*Id3*, *Aldh1l1*, *Hopx*, *Aqp4*, *Fgfr3*) were selected.



**Figure S4. Optical density measurements of GFP reveal the high efficiency of targeted dorso-medial and dorso-lateral EPO.**

**(A+B):** Heatmaps representing the relative optical density of GFP expression in the dorsal SVZ of different individuals following dorso-medial (A) or dorso-lateral EPO (14 dpe; B). Heatmaps are color coded (0 = dark blue; highest measured value = dark red) and generated with our recently developed software “FlashMap” (Chapter 1). Heatmap orientation is: left = rostral; right = caudal; top = medial; bottom = lateral.

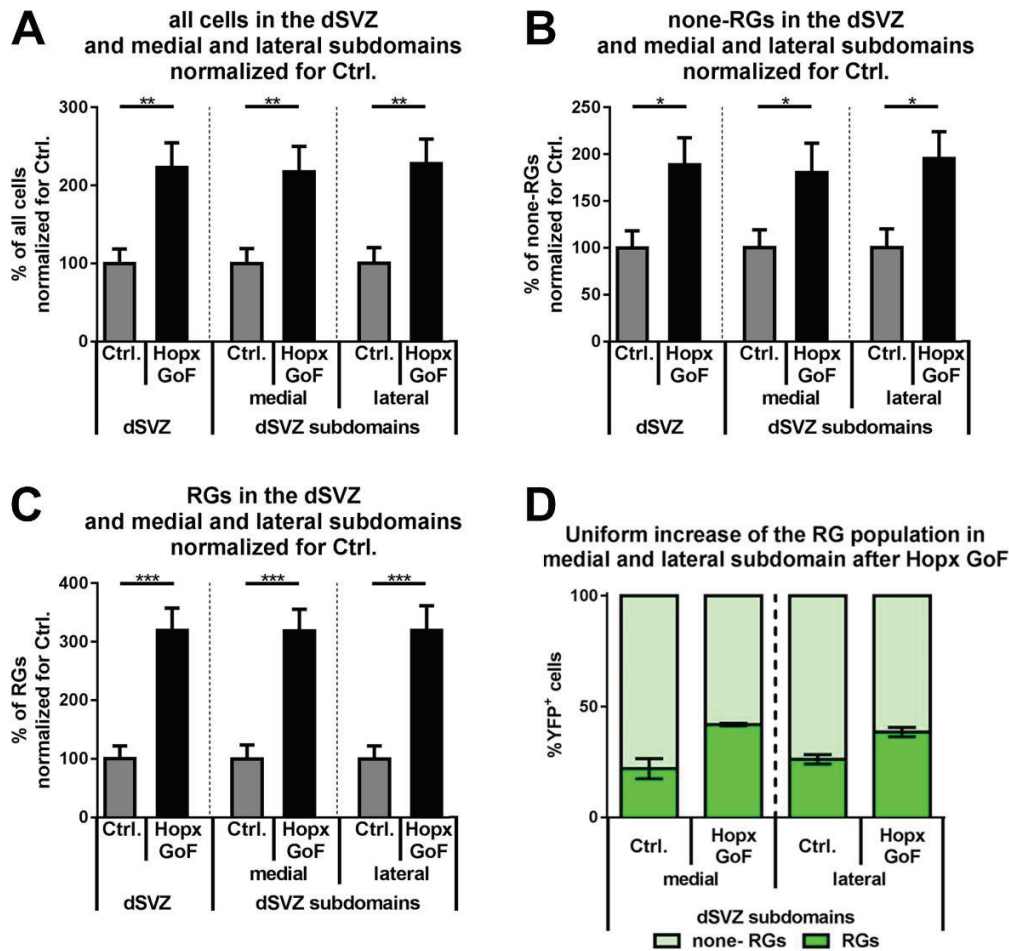
**(C+D):** Heatmaps representing the averaged values of 4 animals those received dorso-medial (C) or dorso-lateral EPO (D).

Animals: dmEPO, n=4; dlEPO, n=4.

Scale bars: A-D = 500  $\mu$ m

Abbreviations: dpe, days post electroporation; EPO, electroporation; dmEPO, dorso-medial electroporation; dlEPO, dorso-lateral electroporation; SVZ, subventricular zone.





**Figure S5. No difference in enlargement of the population after Hopx overexpression along the dSVZ.**

(A-C): Full population (A), none-RG cells (B) and RG (C) are increased 4 days following Hopx overexpression. No difference in the enlargement between the medial and the lateral subdomain of the dSVZ was observed. Graphs are presented in percentage of the change normalized for control.

(D): The fractions of the RG population were equally increased in the medial and the lateral subdomain of the dSVZ following Hopx GoF.

Animals: Ctrl., n=7; GoF, n=5.

Abbreviations: Ctrl., control; dSVZ, dorsal subventricular zone; GoF, gain of function; RG, radial glia.

## 4. General Discussion

### 4.1. Summary and Open Questions

Germinal regions are not homogeneous, but highly heterogeneous. This heterogeneity relies on transcriptional differences, which underline the production of divergent cell lineages. New methods allow generating enormous transcriptional datasets to identify genes differentially expressed between distinct domains of germinal regions. Recently we demonstrated an unexpected level of transcriptional heterogeneity between the dorsal and lateral subventricular zone (SVZ), as well as in the neural stem cells (NSCs) and transient amplifying progenitors (TAPs) harbored by those microdomains. These findings underline the need to develop appropriate tools to integrate spatial information to the study of gene expression within the SVZ. To this end, we have developed a new tool, i.e. “FlashMap”, to analyze and map protein expression along the full rostro-caudal and dorso-ventral extent of the SVZ (**Chapter 1**).

Germinal region heterogeneity does not stop at the mesoscopic scale (i.e. at the regional scale), but probably goes beyond. The second chapter of my thesis indeed suggests that previously described SVZ microdomains (Azim et al., 2015; for review Fiorelli et al., 2015) can be further subdivided. My results further support existence of a heterogeneity at the microscopic scale, i.e. at the cellular scale. Thus, neighboring NSCs appear to express different transcription factors that influence their respective behaviors and guide them through the acquisition of different fates. In the chapter 2 we described and discussed a new level of regional and lineage-specific heterogeneity in the dorsal SVZ based on Hopx expression (**Chapter 2**).

In the introduction of this thesis, I have discussed how advances in techniques have influenced our understanding of NSCs biology, from the macroscopic (i.e. the demonstration of persisting germinal regions in the postnatal brain) to the mesoscopic scale (the subdivision of these germinal regions in microdomains). It is likely that emerging techniques will now allow us to investigate this heterogeneity at the microscopic scale. The development of new approaches is a pre-requisite to perform these studies. Indeed, current approaches such as BrdU injection, regular electroporation (EPO), as well as most transgenesis approaches only allow studying NSCs at the population level. This does not allow concluding if neuron subtypes, astrocytes and oligodendrocytes arise from single NSCs, or if multiple subpopulations of unipotent NSCs co-exist. Here I will discuss current evidences, including my own results, supporting

heterogeneity at the cellular level. I will also discuss how single cell approaches in transcriptomic and histology are currently changing our understanding of NSCs identity.

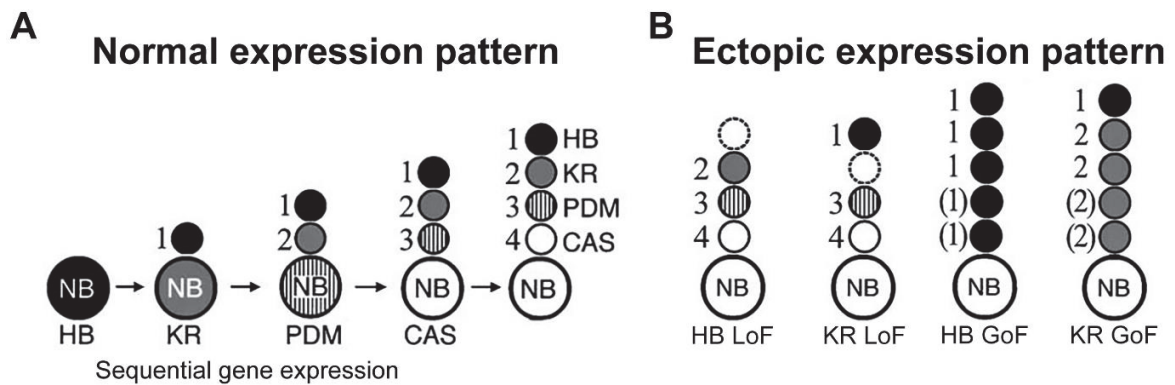
## **4.2. The Potency of Postnatal NSCs: From a Population of Multipotent NSCs to Populations of Restricted NSCs**

*In vivo* and *in vitro* evidences indicate that NSCs gradually lose their potency and get more and more restricted throughout central nervous system (CNS) development.

### **4.2.1. *Drosophila* Neuroblasts as a Model of Progressive Restriction**

*Drosophila* neural precursors (i.e. neuroblasts) have been extensively studied, as a model to investigate the progressive fate restriction and plastic potential of NSCs. These precursors have been shown to sequentially express the transcription factors (TFs) Hunchback, Krüppel, Pdm and Castor. These transcription factors have been suggested (at least Hunchback and Krüppel) to be necessary and sufficient for the sequential generation of distinct neuronal subtypes. Thus, neuronal subtypes produced at different time points, inherit and maintain the expression of these TFs, while they are rapidly downregulated in neuroblasts (**Figure 1A**). This temporal expression defines the fate and spatial destination of the newborn neurons. For example, the expression of Hunchback and Krüppel in early born neurons defines their deep layer position as well as the development of long axons (Isshiki et al., 2001; Pearson and Doe, 2003). Such temporal cues are called temporal identity factors (Kohwi and Doe, 2013). A lack of Hunchback or Krüppel expression in neuroblasts leads to a lack of the corresponding progeny. On the other hand, forced expression of one of these early factors leads to a forced generation of the corresponding progeny. However, this effect is only observed until the fifth division (Isshiki et al., 2001; **Figure 1B**). Therefore, it has been suggested that there is a temporal competence window during which such manipulations can be successfully performed (Cleary and Doe, 2006; Kohwi and Doe, 2013).

Taken together, *Drosophila* neuroblasts represent a relevant example for the sequential generation of distinct cell types, and support a progressive loss of their competence over time.



**Figure 1. *Drosophila* neuroblasts as a model of progressive fate restriction.**

**(A):** Illustration of the sequential expression of Hunchback (HB), Krüppel (KR), Pdm and Castor (CAS) by *Drosophila* neuroblasts (NB). While this gene expression is transient in neuroblasts, progenies retain gene expression and acquire a gene specific fate.

**(B):** Illustration of the effects resulting from a disturbance of this sequence. Ablation of HB or KR leads to a lack of the respective progenies. Forced expression of HB or KB leads to a forced generation of the corresponding progenies (modified from (Isshiki et al., 2001)).

Abbreviations: NB, Neuroblast; HB, Hunchback; KR, Krüppel; Cas, Castor; LoF, loss of function; GoF, gain of function.

#### 4.2.2. *In Vitro* and *In Vivo* Evidences Suggest that Cortical Progenitors Progressively Lose Their Potency to Produce Early Born Neurons

*In vitro* experiments suggest a similar situation in mammals. Time-lapse video microscopy in clonal cultures of cortical progenitors demonstrated their generation of distinct progenies following a strict sequence, i.e. first neurons then glial cells (Qian et al., 2000). Regarding the neurogenesis phase, another level of sequential lineage generation has been demonstrated. It has been shown that the order of neuron subtypes generation, destined to different cortical layers, is intrinsically programmed and preserved *in vitro*. Further, the competence of cortical progenitors appears to become increasingly restricted. Noticeably, mid-gestational progenitors can still be manipulated to generate early neuronal fates, while late-gestational progenitors have lost this competence (Shen et al., 2006). Interestingly, adult NSCs exhibit a lineage restriction and appear to be bipotent *in vitro*. Clones have been found to consist of neurons and astrocytes or oligodendrocytes and astrocytes. However, neurons and oligodendrocytes were never found within the same clone (Ortega et al., 2013). Taken together, this *in vitro*

experiments suggest not only a sequential fashion of embryonal lineage and subtype generation, but also a certain degree of potency restriction postnatally.

This progressive loss of competence by progenitor cells has also been shown *in vivo* by transplantation experiments. Early heterochronic (temporal) transplantation approaches have found that the potential of different progenitor populations to generate distinct neuronal subtypes becomes gradually restricted over time (for review Gaiano and Fishell, 1998). For instance, cycling progenitors from late stages of the ferret corticogenesis, keep their intrinsic restriction, even if transplanted into an early stage environment. Indeed they keep generating upper layer neurons and fail to produce deep cortical layers, which are normally generated at this age (Frantz and McConnell, 1996). In contrast, early progenitors are able to adapt to the host environment to generate upper layer neurons, when transplanted into a late stage environment (Desai and McConnell, 2000). These original experiments support a gradual fate restriction of cortical progenitors, a model that is still accepted today.

More recent observations question this fate restriction and suggest that postnatal and adult stem cells can give rise to a larger diversity of cell types than previously thought. Thus, *ex vivo* experiments showed that postnatal and adult SVZ explants have the capacity to generate pyramidal neurons. In this experiments, adult SVZ explants were exposed to an embryonic environment by juxtaposing them to organotypic slices of the pallium. Neurons were produced by the SVZ explants, which invaded the pallium. Their expression of *Tbr1* suggested their acquisition of a glutamatergic phenotype, although their maturation could not be investigated at long term (Sequerre et al., 2010).

These observations challenge the current model of a gradual fate restriction proposed by McConnell. They suggest that postnatal and adult NSCs, under specific experimental conditions, can generate cell types produced at earlier developmental time points. These discrepancies may be explained by the identity of the transplanted cells. While in the first two studies only cycling progenitors were transplanted, the third study used SVZ explants, which also contain quiescent NSCs. These quiescent NSCs have been recently suggested to have an embryonic origin. Thus, a subpopulation of radial glial cells would become quiescent between the embryonic day 13.5 (E13.5) and E15.5 (Furutachi et al., 2015; Fuentealba et al., 2015) and remain in the SVZ, to be “reactivated” at postnatal time points. It is tempting to speculate that

these cells may keep their competence to generate cortical neurons (for review Donega and Raineteau, 2017).

Altogether, these observations suggest a complex cellular heterogeneity of the postnatal SVZ, with the presence of subpopulations of NSCs showing distinct competences juxtaposed. Addressing this complexity cannot be done at the population level, but requires the development of clonal approaches to follow the fate of individual NSCs.

### **4.3. Clonal Approaches in Histology**

Original *in vivo* clonal approaches rely on the permanent but sparse labeling of NSCs within the germinal region of interest. This allows following the fates of single NSCs over extended periods. These approaches are, however, time-consuming, in particular when progenies distribute throughout large areas. Sparse labeling is suitable for clonal analyses in the adult dentate gyrus (DG), as clones stay relatively close to their region of origin (<150  $\mu\text{m}$  within 2 months; Bonaguidi et al., 2011). Sparse labeling can also be used for clonal analyses of embryonal NSCs. While these progenies migrate longer distances, they distribute along cortical columns regardless of the cell type (Gao et al., 2014). This allows to differentiate clones if the labeling is achieved sparsely. However, the situation in the postnatal SVZ is strikingly different. In addition to their migration of long distances, different lineages are destined for different destinations. Therefore, just one single NSCs should be labeled per animal. This is, however, very time-consuming and almost impossible to achieve, guarantee and control. Later approaches have tried to circumvent this main limitation. They make use of multiple markers for a retrospective identification of single clones. These techniques include bar coding of progenitors and multicolor approaches.

#### **4.3.1. Sparse Labeling as a First Approach for Clonal Analyses**

First attempts were made by infusing low titers of a retrovirus in the ventricular system of rat embryos. Postnatal analyses of multiple animals suggested neuronal- or glial-specific clones in the cortex and striatum. In addition, neuronal clones were often found to be restricted to

specific (deep or superficial) cortical layers. These results support the coexistence of fate restricted progenitors in the developing CNS (Krushel et al., 1993).

Later studies made use of the Cre-Lox system to perform clonal analyses after inducing minimal recombination. The mouse line expressing Cre under the control of the Thy-1.2 promoter can be used for achieving such “sparse recombination”. Their crossing with Z/EG mice leads to sparse labeling of radial glia (RG) cells in embryos, which give rise to cortical columns. Estimations of the diversity of lineages within single columns in adult mice, revealed that 95% contained both astrocytes and neurons. Interestingly, the astrocytes were found to be generated later than neurons. While columns are completely free of astrocytes at E18, cells with immature astrocytic morphologies appear at the postnatal day 1 at the apical end of the columns. The number of astrocytes observed in the columns increases substantially with age (Magavi et al., 2012). These results contrast with those obtained with the retroviral approach (see above), as they indicate that most RG cells are bipotent, i.e. are first generating neurons and then astrocytes at late embryonic stages. However, it is possible that these two approaches result in the labeling of distinct progenitor populations.

Further clonal experiments were performed in adult mice. Bonaguidi et al. developed a single cell lineage tracing approach in the DG. This approach was based on the administration of low tamoxifen doses into nestin-CreERT2-Z/EG mice. Minimal recombination rates in the DG allow to distinguish clones with a high degree of confidence. Self-renewal of RG-like cells, the NSCs of the DG, and also multipotency of a significant fraction of clones was demonstrated. However, the fraction of multi-lineage clones (producing both neurons and astrocytes) was rather low compared to the single-lineage clones (1 month post injection: 12.9% multi-lineage clones vs. 80.6% single-lineage clones vs. 6.5% undifferentiated clones; 2 months post injection: 19.2% vs. 73.1% vs. 7.7%; Bonaguidi et al., 2011). One can conclude that there are indeed multipotent NSCs cells present in the adult DG, but they represent a minor fraction. Indeed, most NSCs appear to be committed to produce a single cell type, and are therefore unipotent. It is unlikely that these “unipotent” clones generate other cell types overtime. Thus, the germinal region of the adult hippocampus harbor a heterogeneous population of NSCs, with only a minority of them being multipotent.

The situation might be similar in the SVZ, which shows an even greater transcriptional heterogeneity than the subgranular zone of the dentate gyrus (Fiorelli et al., 2015). Performing such experiments in the SVZ is however more challenging. Indeed, cells produced by SVZ NSCs have the capability to migrate long distances to diverse forebrain regions (Fernández et al., 2011; Bayraktar et al., 2015). Performing a clonal analysis at long survival time points, therefore require the systematic sampling of a large region extending from the olfactory bulb (OB) to the lateral ventricle.

To address this issue and to maximize the number of “clones” one can study in a single animal, multicolor approaches have been developed. The MADM (mosaic analysis with double markers) is such an approach. It is based on interchromosomal recombination driven by a Cre-recombinase (Zong et al., 2005). It relies on the use of only two markers, which makes it suitable to investigate embryonic rather than postnatal SVZ NSCs potency. Sparse labeling of RGs by administration of low concentrations of tamoxifen allows to study individual clones during corticogenesis. Single clones were shown to give rise to both deep and superficial neurons if recombination was initiated between E10 and E13. Recombination at a later time point (i.e. E15) were mainly composed of superficial neurons only. This is in agreement with the inside-out sequence of neuron production during corticogenesis. Astrocytes and oligodendrocytes were also detected in clones. However, just 1 clone out of 6 harbored glia cells. This indicates that only a minor fraction of cortical RGs are truly multipotent and make the neurogenic to gliogenic switch (Gao et al., 2014). The discrepancy between the findings of Magavi (95% multi-lineage clones; Magavi et al., 2012) and Gao (17% multi-lineage clones; Gao et al., 2014) might be explained by differential induction of recombination. While Gao induced recombination not before E10, Magavi used the Thy-1.2 promoter to trigger Cre expression, which might be active already earlier.

#### **4.3.2. Bar Coding of Progenitors Exhibits a Subpopulation of Embryonal NSCs Entering Quiescence for Postnatal Preservation**

Another elegant, but also very challenging clonal approach, makes use of a QmGFP-OL retroviral library to integrate unique bar codes into different embryonic ventricular NSCs.



GFP<sup>+</sup> progenies from embryonal infected NSCs can be detected postnatally in the OB, cortex, striatum, septum and hippocampus. The clonal relationship between cells is unraveled by examining the different bar codes across the entire population of GFP<sup>+</sup> cells. This “tour de force” experiment allowed to demonstrate that postnatal OB interneurons and cortical, hippocampal, striatal or septal neurons are mostly unrelated. Further, it has been shown that most adult NSCs originate at E13.5-E15.5 by entering quiescence at this time. These NSCs keep their regional identity from E15.5 on, to generate distinct progenies in the adult OB (Fuentelba et al., 2015). These findings were corroborated by another group, which independently demonstrated that adult NSCs originate from embryonic stem cells that enter quiescence around E13.5-E15.5 (Furutachi et al., 2015).

#### **4.4. Development of Multicolor Approaches for High Throughput Clonal Analysis**

A solution for accurate and concomitant analysis of multiple clones in histology appears to be the development of multicolor approaches. A number of those approaches have been developed in the recent years, which remain to be fully exploited in the context of postnatal germinal activity.

##### **4.4.1. Brainbow Inaugurated the Era of Multicolor Clonal Approaches**

The Brainbow technique, is a powerful Cre mediated excision and/or inversion approach that stochastically labels multiple neighboring cells with cell specific color-codes. It has the power to label cells with approximately 89 distinct colors, which are different enough for discrimination (Livet et al., 2007). The beauty of this method is that the color coding is driven by Cre-recombinases. This allows the use of different Cre or CreER<sup>T2</sup> reporter mice, which careful selection leads to a more accurate analysis. Further, the administration of minimal doses of tamoxifen may restrict the number of recombination to ensure the singularity of specific color codes. Cre-recombinases may also be electroporated into specific microdomains for spatial restriction and control of the number of recombination. Interestingly, the Brainbow technique is one of the rare techniques that was first developed in the mice CNS, then adapted

to other tissues and species (for review Weissman and Pan, 2015). For example another mouse line expressing the Brainbow-cassette under an ubiquitous promoter allowed investigations in the intestine (R26R-Confetti; Snippert et al., 2010). Further, the approach has been adapted to species like *Drosophila melanogaster* (Hampel et al., 2011) and zebrafish (Pan et al., 2011). Even a clonal deletion system in plants has been developed based on Brainbow (Wachsman et al., 2011). As a practical example of the applicability of the Brainbow-cassette, it has been used to demonstrate a limited self-renewal of adult SVZ NSCs (Calzolari et al., 2015).

Further, the Brainbow-cassette has been integrated into plasmids for EPO approaches. The approach is called MAGIC (multiaddressable genome-integrative color) and consists of Brainbow-cassette transposon vectors, with specific expression in the cytoplasm (Cytbow), the cell membrane (Palmbow), the nuclei (Nucbow) or the mitochondria (Mitbow). The adaptation of Brainbow to the targeted EPO method does not only add a spatial component to the method, but also ensures its suitability for other species than mice, as illustrated in the chicken (Loulier et al., 2014).

#### **4.4.2. StarTrack Gives Insights into Restricted Potency of NSC Populations**

Another elegant multicolor approach, StarTrack, was developed by the López-Mascaraque laboratory. StarTrack consists of 6 fluorescence proteins (mT-Sapphire, mCerulean, EGFP, YFP, mKO, mCherry), each targeted to the cell nucleus (by human H2B histone fusion) or cytoplasm. The *PiggyBac* transposon system is used to stochastically integrate them into the genome, leading to unique color-codes of those 12 features in distinct NSCs. The inheritance of these color-codes by progenies ensures efficient clonal analysis. Fluorescent protein expression was initially driven by human GFAP promoters to investigate astrocyte heterogeneity. Briefly, *in utero* EPO experiments revealed that fibrous and protoplasmic clones are largely non-overlapping and emerge from separate lineages. In the case of pial clones, which reside in a region containing both protoplasmic and fibrous-like (pial) astrocytes, it has been shown that nearly 90% of the clones consist of one single astrocyte subtype. Clones showed mostly radial distribution and reside in a highly spatially restricted area in the corpus callosum, the cortex or cortical surface. Finally, single clones were constantly observed to be

associated with one specific blood vessel (García-Marqués and López-Mascaraque, 2013). These observations confirm the maintenance of an inherited spatial relationship of RG cells and their astrocytic progeny.

Interestingly, this GFAP promoter driven plasmid mix also allowed the investigation of NG2 glia, which are referred as oligodendrocyte progenitors (Zhu et al., 2008). This is due to the weak activity of the human GFAP promoter in the NG2 lineage, as described elsewhere (Matthias et al., 2003). Remarkably, these clones remained small during embryogenesis and early postnatal ages, but form the largest clones of the adult brain with an average of 170 cells extending up to 1.1 mm along the rostro-caudal axis (García-Marqués et al., 2014).

The natural limitation of StarTrack for investigation of the NSCs potency is its selective expression in astrocytes (and NG2 glia), which is inherent to the use of the human GFAP promoter (García-Marqués and López-Mascaraque, 2013; García-Marqués et al., 2014). To circumvent this limitation, the López-Mascaraque lab developed a similar set of transposons under a ubiquitous promoter (UbC-StarTrack). In addition to the 12 fluorescence protein carriers and integration helper plasmid (CMV-hyPBase), a tamoxifen inducible Cre-Lox system was developed to further refine the method (**Figure 2A**). This allows a degradation of non-integrated transposons, and therefore preventing subsequent changes in color-codes. UbC-StarTrack has already been tested *in vitro* (HEK cells), *ex vivo* (embryonic brain slices) and *in vivo in utero*. It has been shown to efficiently label cells of the neuronal, astroglial and oligodendroglial lineages (Figueres-Oñate et al., 2016). During the last year of my PhD, I attempted to establish this method in the lab to study the competence of individual postnatal NSCs to produce cells of distinct lineages (**Figure 2B**).

**Figure 2. The UbC-StarTrack technique is a powerful tool for clonal analysis of dorsal SVZ neural stem cells.**

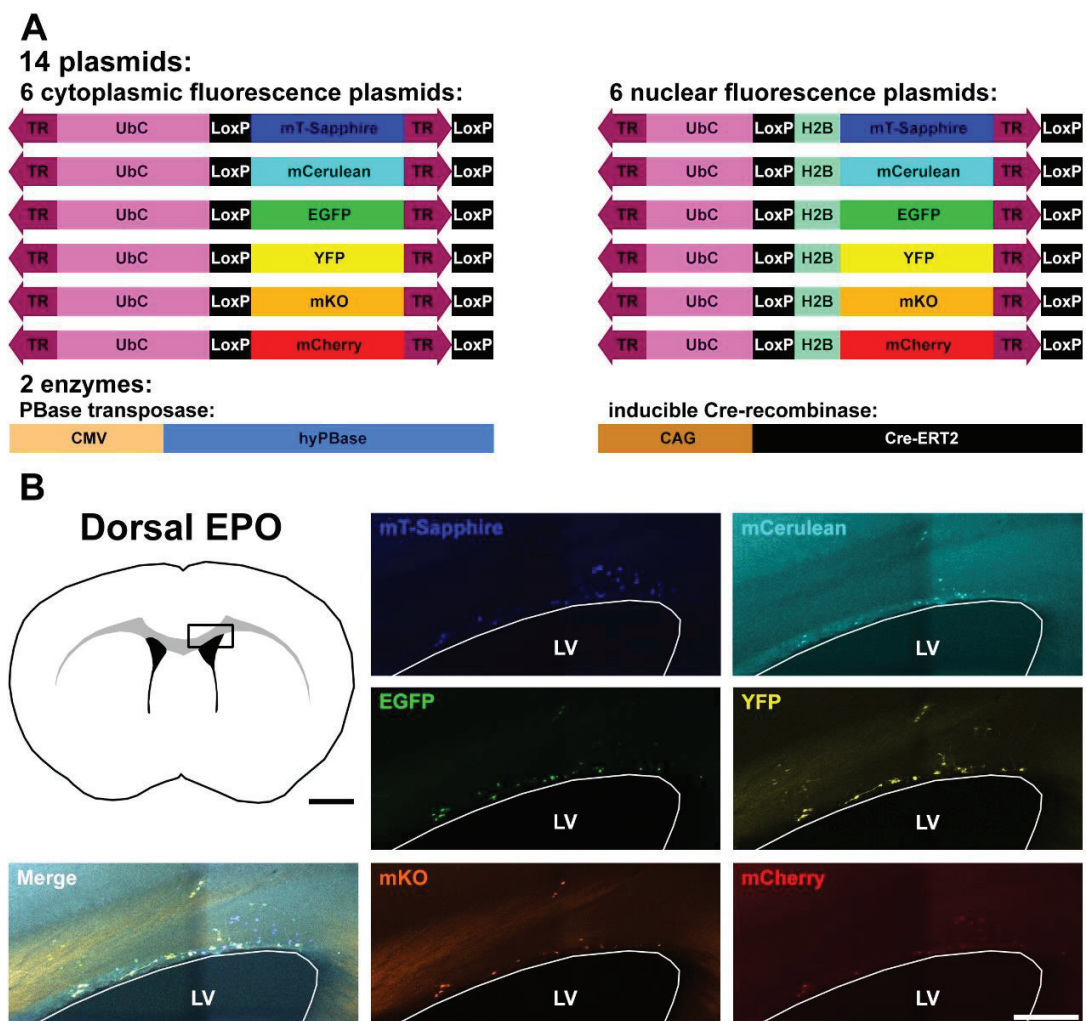
**(A):** The UbC-StarTrack technique combines different transposon constructs that allow the random expression of combinations of fluorescent proteins. 12 constructs coding for cytoplasmic vs. nuclear (H2B) fluorescent proteins (mT-Sapphire: Ex. 399 – Em. 511; mCerulean: Ex. 433 – Em. 475; EGFP: Ex. 488 – Em. 507; YFP: Ex. 514 – Em. 527; mKO: Ex. 548 – Em. 559; mCherry: Ex. 587 – Em. 610) are used. The presence of the hyPBase transposase allows their random integration into the electroporated cells genome. —————>

Non-integrated constructs are subsequently eliminated by activation of a co-electroporated Cre-recombinase, allowing unique combination of fluorescent proteins to be expressed by single neural stem cells and their progeny.

**(B):** Preliminary results show successful implementation of this method, as demonstrated in the representative pictures of the SVZ following dorsal EPO.

Note that expression of the fluorescence proteins is observed at different frequencies resulting in different color coding of single clones. Some constructs are integrated at a lower frequency (e.g. mCerulean; mKO) therefore facilitating the clonal quantitative analysis.

Scale bar: B (overview) = 1 mm; B (crops) = 200  $\mu$ m. Abbreviations: EPO, electroporation; SVZ, subventricular zone.



#### **4.4.3. CLoNE – an Inducible Multicolor Approach**

The concomitant detection of 6 fluorochromes (and multiple combination of them) is often challenging, as it requires the use of a high-end confocal microscope equipped with a white laser. Another multicolor EPO approach, CLoNe (Clonal Labeling of Neural progenies; García-Moreno et al., 2014), has been developed with a more limited number of fluorescence proteins (4 instead of 6, i.e. mT-Sapphire, EGFP, EYFP, mCherry; UbC-StarTrack contains in addition mCerulean and mKO). Each fluorophore plasmid however comes in 3 different variants for nuclear (human H2B histone sequence), cell membrane (palmitoylation sequence) or cytoplasmic (no specific localization sequence) expression. Thus although color codes are less numerous, the differential color distribution in the 3 cell compartments allows discrimination of a large number of clones. Another major difference to other methods, is the use of a Cre-recombinase to restrict expression to a specific cell type and/or stage of differentiation. The selective expression of the Cre-recombinase to NSCs population, and or to specific lineages, can then be achieved by the use of specific promoters (e.g. pEmx2-Cre;  $Tbr2^{Cre}$ ).

Together, these multicolor approaches underline the need to develop methodologies to study NSCs heterogeneity and their lineage commitment in health and disease. It is likely that an ongoing development of such approaches will occur in the coming years. For example, it might be interesting to add a temporal aspect to color code induction by using tamoxifen inducible CreER<sup>T2</sup>-recombinases. This could be done by the use of transgenic mouse lines or by the use of integrable plasmids controlled by ubiquitous or restricted promoters. CreER<sup>T2</sup>-recombinases could also be the method of choice to achieve sparse recombination in order to guarantee the singularity of the color codes.

#### **4.5. Clonal Approaches in Transcriptomic**

Transcriptional profiling is classically performed on a population of cells (bulk analysis). Recently, methods have been developed to achieve transcriptional profiling of single cells. These approaches are very complementary. While bulk analyses give insights into transcriptional changes occurring during differentiation and lineage commitment, single cell analysis allows investigating the heterogeneity existing within a cell population.

#### **4.5.1. Bulk Analysis Reveals Transcriptional Specificities of Postnatal Germinal Regions**

For bulk analysis, cell populations of interest are enriched by FACS, based on their expression of specific markers. Their mRNA is extracted then analyzed by microarrays, qPCR or RNA sequencing approaches.

These methods gave us deeper insights into transcriptional differences that exist in distinct neural lineages (i.e. in maturing astrocytes, neurons and oligodendrocytes), between SVZ and DG NSCs and SVZ NSCs of different levels of activation. For example *Aldh1l1* has been identified to be highly specific for the astrocytic lineage and subsequently became a widely used marker. Further, it has been found that the two macroglia lineages, i.e. astrocytes and oligodendrocytes, are transcriptionally as far apart from each other, as they are from neurons (Cahoy et al., 2008). Comparisons of transcriptional profiles of DG and SVZ NSCs identified *IGF2* to be highly enriched in the DG. In this region, *IGF2* was shown to control the NSCs proliferation through AKT-signaling (Bracko et al., 2012). In the SVZ the early expression of neuronal markers in NSCs has been demonstrated. This is in agreement with our findings suggesting an early priming of NSCs (**Chapter 2; Figures 1 and S1**). Further, the importance of cilia- and Ca-dependent pathways were emphasized (Beckervordersandforth et al., 2010). Thus, transcriptional profiling reveal specificities of both DG and SVZ NSCs. Another study investigated an additional level of heterogeneity within NSCs. Based on the expression of the EGF receptor in activated NSCs they were discriminated from their quiescent counterparts. This allowed to discover multiple markers for these two stages and uncovered signaling pathways that may be targeted by small bioactive molecules to regulate NSCs activity (Codega et al., 2014). Our own work added a spatial dimension to the transcriptional profiling of the postnatal SVZ. The dorsal and lateral SVZ microdomains were microdissected and their transcriptional profiles compared. This comparative analysis, which was also applied to the NSCs and TAPs they contain, demonstrated an unexpected high level of transcriptional heterogeneity (Azim et al., 2015). This study also revealed transcriptional regulators as major hallmarks that sustain postnatal SVZ regionalization.

Accumulating datasets from various germinal regions and cell types can be combined to perform transcriptional meta-analysis. For example our datasets from regionalized NSCs

populations (Azim et al., 2015) were combined with a lineage-specific dataset (Cahoy et al., 2008). Such meta-analysis reveal remarkable differences in the lineage priming of regionalized NSC populations (Azim et al., 2016). While the dorsal population expresses increased levels of diverse neural lineages (astrocytes, neurons, oligodendrocytes; **Chapter 2; Figure 1**), the lateral population seems to be particularly primed towards the neuronal lineage (**Chapter 2; Figure S1**), as suggested elsewhere (Beckervordersandforth et al., 2010). We demonstrated how such meta-analyses can expose previously ignored factors, as the dorsally enriched astrocyte marker *Hopx*, for further investigation (**Chapter 2**). It is likely that many other regionally expressed genes will be identified by such meta-analyses. An accurate tool for rapid expression analyses of such markers along the full extent of the SVZ was long missing. We closed this gap by developing “FlashMap” (**Chapter 1**).

#### **4.5.2. Single Cells Analysis Refines Current Transcriptional Knowledge**

New approaches allow breaking down cell populations to investigate their transcriptional heterogeneity at the single cell level. Single cells are captured in micro-chambers and their mRNA isolated and sequenced. This allows comparing the transcriptome of multiple cells to highlight similarities (i.e. clusters of cells) and differences (i.e. separate clusters).

Single cell RNA-sequencing was recently performed on adult NSCs from the SVZ. This allowed identifying several clusters of cells, which present different states of activation. Therefore, it demonstrated that NSC activation follows a sequence of transitions: dormant cells (quiescent NSCs type 1) first enter a primed quiescent state (quiescent NSCs type 2) before becoming activated (activated NSCs type 1). This sequence is paralleled by a downregulation of the glycolytic metabolism and of Notch and *Bmp* signaling, while protein synthesis and lineage-specific TFs are upregulated (Llorens-Bobadilla et al., 2015). A similar study was performed in the DG. A pseudo-timed reconstruction of the NSCs activation process revealed multiple new markers (Shin et al., 2015).

Together, these published results strongly support our own results (**Chapter 2**). Indeed, distinct NSCs populations appear to be primed towards a certain lineage by expressing lineage-specific markers, which are absent in others. For instance, Llorens-Bobadilla et al. tested the expression

profile of lineage specific TFs. They showed that dorsal/glutamatergic markers (Emx1, Eomes, Neurod4, Neurog2), GABAergic markers (Dlx1, Dlx2, Gsx1, Gsx2), and oligodendroglial markers (Ascl1, Etv1, Olig2), assembled in separate clusters of cells. Therefore, their observations describe a new level of heterogeneity within the NSC population and suggest a fate-restriction of different populations to different lineages (Llorens-Bobadilla et al., 2015). Taken together, this supports the idea of lineage-restricted, regionally enriched populations of NSCs. The fate mapping of one of these populations, described in the **Chapter 1** of this thesis, further supports this idea.

#### **4.6. Perspectives – Push Technical Advances Further**

This thesis manuscript focused on technical advances, which appear as the main driving force of new discoveries in research. Reciprocally, acquisition of new knowledge leads to the constant development of state of art techniques. The same logic may apply to “FlashMap” in order to increase its applicability and user friendliness. This will allow identifying other highly regionalized TFs. Ultimately, this will increase our knowledge of the mechanisms influencing activation of quiescent NSCs, pushing self-renewal and guiding lineage restriction with the ultimate goal to manipulate germinal activity in the injured or diseased brain.

##### **4.6.1. “FlashMap” Evolves into “FlashMap 2.0”**

Several modifications could be done to further improve “FlashMap”. First, while it already allows a rapid spatial analysis of TFs expression pattern, it does not yet allow a systematic temporal analysis. In this context, a modified “FlashMap” version would allow to produce heatmaps at different embryonic and postnatal time points. Morphing of these maps, would then allow integrating temporal changes that may occur in the pattern of expression of selected TFs. This would reveal their peak of expression, as well as possible changes in their expression pattern over time. Second, major improvements may be implemented to speed up the analysis process. Automation of region of interest positioning (dorsal, lateral and medial SVZ microdomains) by selection of their borders only (dorso-medial, dorso-lateral and ventral corners) would be of major interest. Further, it might be useful to automatically subdivide them (e.g. dorsal and ventral parts of the lateral SVZ). Third, another useful add-on would allow the



analysis to be repeated in multiple animals. In this context, “FlashMap 2.0” would include not only the capacity to generate heatmaps for single animals, but also to generate a heatmap representing the averaged data from a group of animals. Finally, future versions may allow the analyses presented in **Chapter 1**, to be performed in other regions than the SVZ. Indeed, other germinal regions such as the DG or the pallium of the developing forebrain, also present an easily recognizable cytoarchitecture, which could be probed in a similar way.

#### **4.6.2. Hopx as a First Marker of a Subpopulation of Committed NSCs**

We demonstrated that Hopx defines a temporal restricted and new level of spatial heterogeneity within the dorsal SVZ. Hopx appears to be expressed in NSCs biased to acquire an astrocytic fate. Although these results are suggestive of subpopulations of “committed” NSCs coexisting in the postnatal SVZ, they do not allow to fully study the competence of these cells. A clonal analysis of dorsal NSCs and of their progenies will be necessary to conclude on the existence of multiple subpopulations of unipotent NSCs. During the course of my thesis I made some first attempts to use the UbC-StarTrack approach. The goal of this experiments was to explore the potency of the dorsal NSC population. Following dorsal EPO (**Figure 2B**) the brain was analyzed for cortical astrocytes and their color code was identified (**Figures 3A and 3B**). It was planned to subsequently analyze the neurons in the entire OB for the same color and compartment combinations (**Figure 3C**). However, these experiments could not have been achieved due to time issues.

I believe that a careful consideration of current protocols will be necessary to perform those experiments. It is probably that a mix between UbC-StarTrack (Figueres-Oñate et al., 2016), CLoNe (García-Moreno et al., 2014) and dorsal EPO into Hopx<sup>CreERT2</sup> mice (Takeda et al., 2011) will be necessary to perform these complicated experiments. I would favor the 6 fluorophores in 2 cellular compartments (UbC-StarTrack) over the 4 fluorophores in 3 cellular compartments approach (CLoNe). Indeed, the quantification with just two cellular compartments is already particularly challenging. Discriminating a third cellular compartment would require the acquisition of high resolution confocal images, at high magnification. Although this could be achieved in a small volume of tissue, it represents an unreasonable

amount of work if the entire forebrain is analyzed. I would also favor the use of the Cre-Lox system proposed by CLoNe (lox-stop-lox-fluorophore), as it would allow to restrict the analysis to a subpopulation of NSCs of interest, i.e. dorsal NSCs, or Hopx-expressing NSCs.

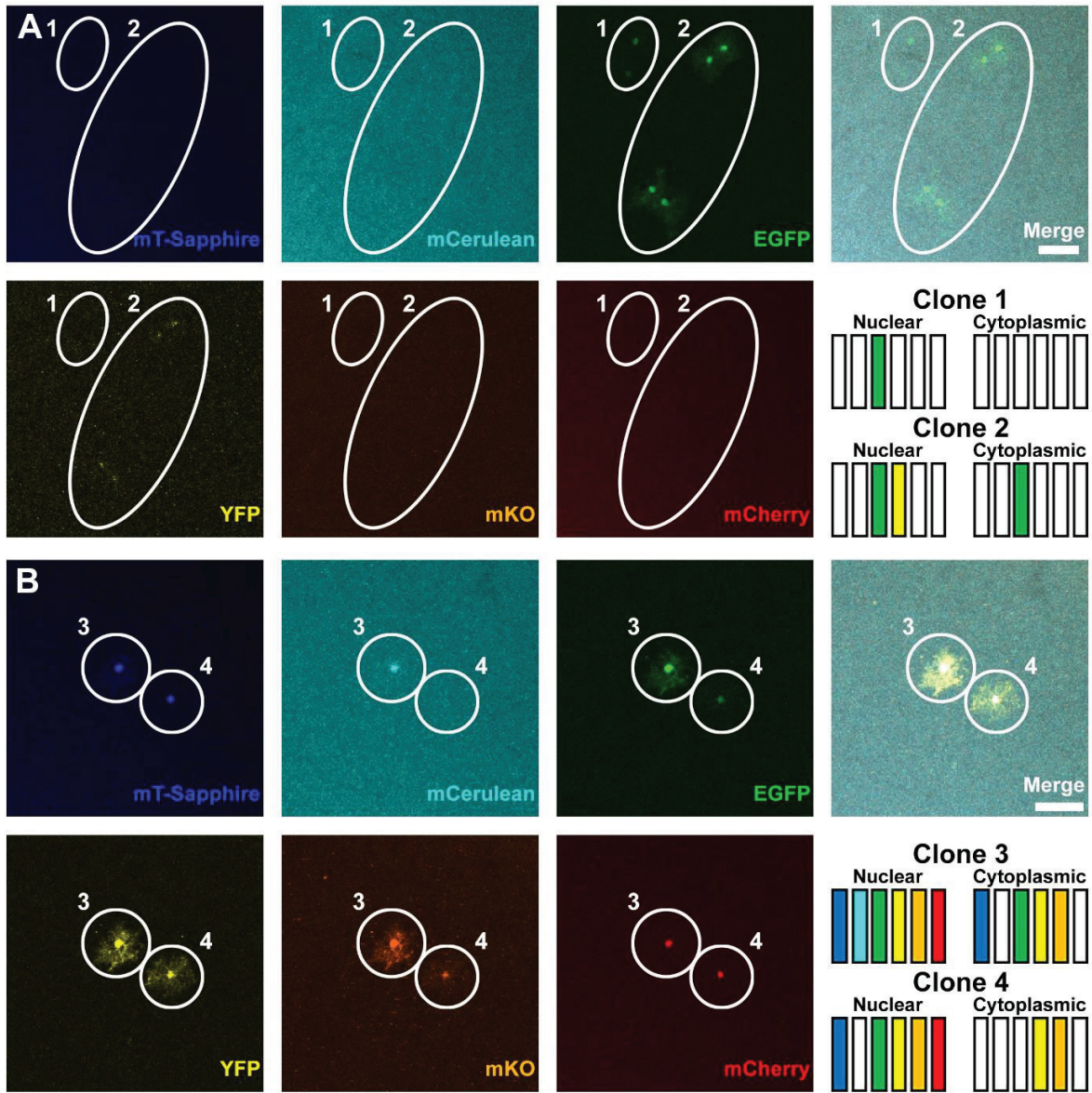
**Figure 3. Illustration of clonal analysis using UbC-StarTrack.**

**(A+B):** Representative micrographs show cortical astrocytes exhibiting unique color codes. Multicellular (A) and single cell astrocytic (B) clones were identified in the cortex and their color codes in the nucleus and the cytoplasm were analyzed.

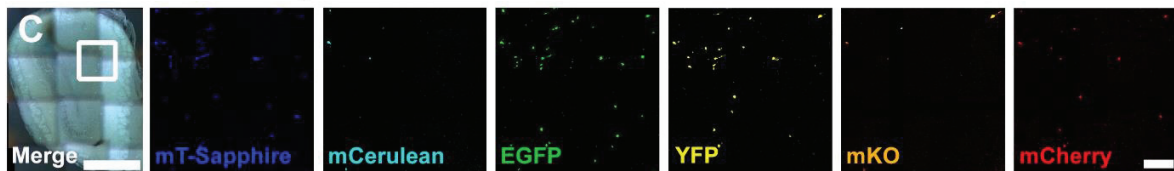
**(C):** Representative micrographs show differentially labeled neurons in the OB. It was initially planned to analyze the entire OB for color codes that were discovered in cortical astrocytic clones. Such an extensive and time-consuming analysis could allow to make clearer assumptions about the potency of postnatal NSCs.

Scale bar: A+B = 50  $\mu$ m; C (overview) = 1 mm; C (crops) = 100  $\mu$ m. Abbreviations: OB, olfactory bulb; NSC, neural stem cell. —————▶

## 1. Identification of the color codes of cortical astrocytes



## 2. Analysis of OB neurons for their color codes



### **4.6.3. Exploring NSCs Heterogeneity Beyond Hopx**

Our transcriptional meta-analysis provides a list of enriched TFs in the dorsal NSCs and lateral NSCs, as well as their relation to selected neural lineages. This list may be screened for further TFs of interest. In addition, it is important to mention that such analysis should not be restricted to TFs. Indeed, other genes such as those coding for cell adhesion molecules might be powerful restrictors of NSCs potency. In embryonal brains, for example, striatal and cortical progenitors differ in their expression of cell adhesion molecules (Götz et al., 1996). Such cell adhesion molecules have been suggested to restrict the potential of NSCs for heterotopic integration (Olsson, 1998) and therefore they might be candidates to investigate fate restriction in general.

In conclusion, my study on Hopx adds a piece of knowledge to the puzzle of a full understanding of NSCs potency and restriction. This and other studies, which added additional pieces on their side, were driven by the continuous development and refinement of state of the art techniques. However, further investigations, the development of new key techniques and revolutionary ideas are absolutely necessary to decipher and understand NSCs biology in more details. This includes the decoding and understanding of the various signaling pathways, the expression of different TF combinations, the control of specific epigenetic mechanisms and much more.

A broad understanding of NSCs biology may pave the way of the development of future therapeutic approaches in the diseased or damaged brain.



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## 6. List of Publications

**Stefan Zweifel**, Julie Buquet, David Rousseau, Olivier Raineteau. “FlashMap” - A fast and semi-automatic tool for accurate investigation of gene expression in the SVZ’. *in preparation*

**Stefan Zweifel**, Kasum Azim, Guillaume Marcy, Quentin Lo Giudice, Deqiang Li, Olivier Raineteau. ‘HOPX Defines Heterogeneity of Postnatal Subventricular Zone Neural Stem Cells’. *under revision: Stem Cell Reports*

Vanessa Donega, Guillaume Marcy, Quentin Lo Giudice, **Stefan Zweifel**, Diane Angonin, Roberto Fiorelli, Nora Abrous, Sylvie Rival-Gervier, Muriel Koehl, Denis Jabaudon and Olivier Raineteau. ‘Transcriptional dysregulation in postnatal glutamatergic progenitor contributes to closure of the cortical neurogenic period’. **Cell Reports; 2018; 22(10); 2567-2574**

Kasum Azim, Anahi Hurtado-Chong, Bruno Fischer, Nitin Kumar, **Stefan Zweifel**, Verdon Taylor, Olivier Raineteau. ‘Transcriptional Hallmarks of Heterogeneous Neural Stem Cell Niches of the Subventricular Zone’. **Stem Cells; 2015; 33(7); 2232-2242**

Kasum Azim, **Stefan Zweifel**, Fabienne Klaus, Kazuaki Yoshikawa, Irmgard Amrein, Olivier Raineteau. ‘Early Decline in Progenitor Diversity in the Marmoset Lateral Ventricle’. **Cerebral Cortex; 2013; 23(4); 922-931**

Kasum Azim, Roberto Fiorelli, **Stefan Zweifel**, Anahi Hurtado-Chong, Kazuaki Yoshikawa, Lutz Slomianka, Olivier Raineteau. ‘3-Dimensional Examination of the Adult Mouse Subventricular Zone Reveals Lineage-Specific Microdomains’. **PLOS ONE; 2012; 7(11); e49087**



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