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# New Encryption Schemes and Pseudo-Random Functions with Advanced Properties from Standard Assumptions

Radu Titiu

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**Radu Țițiu**

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# New Encryption Schemes and Pseudo-Random Functions with Advanced Properties from Standard Assumptions

Nouveaux schémas de chiffrement et pseudo-aléatoires  
fonctions avec propriétés avancées de hypothèses  
standard

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Devant le jury composé de :

PHAN Duong Hieu, Professeur des Universités, Université de Limoges  
STEINFELD Ron, Senior Lecturer, Monash University (Australie)

Rapporteur  
Rapporteur

FOUQUE Pierre-Alain, Professeur des Universités, Université Rennes 1  
RAFOLS Carla, Tenure Track Lecturer, Univesitat Pompeu Fabra (Espagne)

Examinateur  
Examinatrice

LIBERT Benoît, Directeur de Recherche, CNRS et ENS de Lyon

Directeur de thèse

# New Encryption Schemes and Pseudorandom Functions with Advanced Properties from Standard Assumptions

Radu ȚIȚIU

Supervisor: Benoît LIBERT

September 16, 2020

# ***Abstract***

In this thesis, we study the security of advanced cryptographic primitives against adversaries that behave closer to real-life scenarios. Namely, they can adaptively update their strategy during the attack, based on previously obtained information, possible from external sources like corrupted users. We construct Distributed Pseudorandom Functions that still output random-looking values, even when the adversary can adaptively corrupt some servers. Such a system assumes that the secret key is shared among multiple servers that have to combine their partial evaluations in order to obtain a pseudorandom value. We also prove security against adaptive corruptions, in the stronger simulation-based security model, for Inner Product Functional Encryption. Such a public-key scheme encrypts vectors  $x$  and can issue multiple secret keys associated to key vectors  $y$ . The decryptor learns the partial information  $\langle x, y \rangle$  but nothing else. This primitive can compute statistics (e.g., weighted sums or means) on a database, while keeping each individual input private. We also construct a labeled variant, wherein each database entry is encrypted by a different client, called Multi-Client Functional Encryption. We finally provide a new construction of Non-Interactive Zero-Knowledge proof, which convinces a verifier of the validity of some NP statement without leaking anything else. In addition, an adversary obtaining many simulated proofs for possibly false statements cannot produce a valid proof of its own for a false statement. This primitive is used as a building-block for public-key encryption schemes with advanced security properties.

# *Resumé*

Dans cette thèse, nous étudions la sécurité des primitives cryptographiques avancées contre des adversaires qui se comportent plus près de scénarios réels. Plus précisément, ils peuvent mettre à jour de manière adaptative leur stratégie pendant l'attaque, en fonction des informations précédemment obtenues, à partir de sources externes comme des utilisateurs corrompus. Nous construisons des fonctions pseudo-aléatoires distribuées produisant des valeurs qui paraissent aléatoires, même si l'adversaire peut corrompre de manière adaptative certains serveurs. Un tel système suppose que la clé secrète est partagée entre plusieurs serveurs qui doivent combiner leurs évaluations partielles afin d'obtenir une valeur pseudo-aléatoire. Nous prouvons également la sécurité contre les corruptions adaptatives, dans le modèle de sécurité renforcé basé sur la simulation, pour le chiffrement fonctionnel des produits scalaires. Un tel schéma à clé publique chiffre les vecteurs  $x$  et peut émettre plusieurs clés secrètes associées aux vecteurs clés  $y$ . Le décripteur apprend les informations partielles  $\langle x, y \rangle$  mais rien d'autre. Cette primitive peut calculer des statistiques (par exemple, des sommes pondérées ou des moyennes) sur une base de données, tout en gardant chaque entrée individuelle confidentielle. Nous construisons également une variante étiquetée, dans laquelle chaque entrée de la base de donnée est chiffrée par un client différent, appelé chiffrement fonctionnel multi-client. Enfin, nous fournissons une nouvelle construction de preuve non interactive à divulgation nulle de connaissance, qui convainc un vérificateur de l'appartenance d'un certain élément à un langage NP sans rien divulguer d'autre. De plus, un adversaire qui obtient de nombreuses preuves simulées pour d'éventuelles fausses affirmations ne peut pas produire lui-même une preuve valide d'une fausse affirmation. Cette primitive est utilisée comme élément de base pour les schémas de chiffrement à clé publique avec des propriétés de sécurité avancées.

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# Résumé substantiel en Français

## Contexte

Le mot « cryptographie » est généralement associé à la communication privée de messages par écritures secrètes et leur déchiffrement, ou *cryptage* et *décryptage* comme nous les appelons aujourd'hui. Cette signification prévaut même si la science de la cryptographie résout une grande variété de problèmes en plus de la confidentialité. Cela ne devrait pas être une surprise car pendant des milliers d'années, son seul but était d'empêcher des intercepteurs ésirables de lire et de comprendre les messages qui étaient envoyés. Habituellement, l'expéditeur et le destinataire possédaient les mêmes informations secrètes, sur lesquelles ils s'étaient mis d'accord avant la communication, en se rencontrant face à face ou par d'autres moyens de confiance. Nous référons à de tels schémas de chiffrement, où les mêmes informations secrètes doivent être utilisées pour chiffrer et déchiffrer les messages, comme *clé symétrique*. Dès qu'une clé secrète est partagée entre deux entités qui veulent communiquer (sur Internet, ceci est réalisé via les méthodes à *clé publique*), la branche à clé symétrique de la cryptographie est capable de résoudre d'autres problèmes que le cryptage, des problèmes liés à l'authentification et à l'intégrité des messages. Cela se fait généralement en utilisant des algorithmes *heuristiquement sécurisés* comme AES, qui sont des algorithmes extrêmement rapides. Une approche plus théorique, qui fournit une *sécurité prouvable*, consiste à construire des primitives fondamentales à clé symétrique en utilisant des *fonctions pseudo-aléatoires* [GGM86] comme blocs de construction.

Avec le développement des réseaux informatiques depuis le début des années 70, la cryptographie a dû faire face à de nouveaux problèmes liés à la vie privée, à l'authentification et à l'intégrité des données. La révolution *clé publique* [DH76] a introduit les nouvelles idées de *cryptographie à clé publique* et *signatures électroniques* qui ont répondu à des questions comme : «comment protéger la confidentialité des données contre les écoutes, lors de la communication avec des personnes à l'autre bout du continent, auxquelles nous n'avons jamais parlé auparavant» ou «comment s'assurer que nous communiquons avec les personnes visées et non avec quelqu'un qui se fait passer pour elles» et «comment s'assurer que les données reçues n'ont pas été modifiées quelque part le long du canal de communication»? Ce nouveau paradigme suppose de générer une paire de clés associées : une clé publique, utilisée pour le cryptage, et une clé privée pour le décryptage. Le cryptage à l'aide d'informations publiquement disponibles supprime la nécessité d'un partage a priori de la clé secrète. La relation entre la clé publique et la clé

privée, permet de récupérer la clé secrète *mathématiquement* à partir des informations encapsulées par la clé publique. Mais en pratique, la tâche de calculer la clé secrète de cette manière est *calculatoirement* insoluble, même en utilisant les ordinateurs les plus puissants actuellement disponibles. La sécurité de la cryptographie à clé publique doit donc s'appuyer sur la difficulté conjecturée d'une tâche de calcul, ou *hypothèse calculatoire*.

Ces idées puissantes ont fortement influencé le développement de l'Internet tel que nous le connaissons aujourd'hui. En fait, le protocole d'échange de clés de Diffie et Hellman [DH76] utilisé pour l'accord de clés entre de parfaits inconnus, et le très répandu cryptage à clé publique et signature numérique RSA [RSA78], sont aujourd'hui constamment utilisés.

Le boom de l'Internet des deux dernières décennies a entraîné des millions de connexions quotidiennes à partir de petits appareils comme les téléphones portables ou les tablettes. La faible capacité de stockage et la puissance de calcul limitée de ces appareils ont entraîné l'essor de l'utilisation des services de cloud computing par internautes et entreprises. L'inconvénient pour les clients qui délèguent leur calcul au cloud est qu'ils doivent transmettre des données sensibles en clair à des tiers auxquels ils ne font peut-être pas confiance. Cette tension inhérente entre la confidentialité et la capacité d'effectuer des opérations utiles avec les données peut être soulagée pour certaines applications en utilisant les primitives cryptographiques *avancées*. Par exemple, le calcul multipartite sécurisé [Yao86, GMW87] donne un protocole interactif qui permet à plusieurs utilisateurs de calculer et d'apprendre la sortie d'une fonction évaluée sur leurs entrées, tout en gardant chaque entrée individuelle secrète. Le chiffrement homomorphe [Gen09, BGV11, BV11, GSW13] permet à des parties, auxquels un utilisateur ne ferait pas confiance, d'effectuer des calculs sur les données chiffrées de l'utilisateur, après quoi l'utilisateur déchiffre le résultat du calcul. Le chiffrement fonctionnel [O'N10, BSW11a] est un chiffrement à clé publique avec des fonctionnalités avancées, où plusieurs clés de déchiffrement peuvent être émises. Chaque clé est associée à un calcul tel que le décrypteur n'apprenne que le résultat du calcul sur les données chiffrées, mais rien d'autre. Le chiffrement fonctionnel peut être considéré comme une forme non interactive d'un protocole MPC avec seulement deux participants, également connu sous le nom de 2-Party-Computation (2PC).

## Cette thèse

Un objet que nous étudions dans cette thèse est la primitive cryptographique fondamentale qu'est la fonction pseudo-aléatoires (PRF) [GGM86], qui peut être efficacement utilisée pour obtenir des constructions essentielles de clé symétrique telles que le chiffrement ou l'authentification des messages. Nous étudions cette primitive dans le contexte de la cryptographie à seuil, dans laquelle la clé secrète est partagée entre de nombreux serveurs de sorte que tout sous-ensemble suffisamment grand puisse correctement évaluer le PRF. Cela améliore les propriétés de tolérance aux pannes du système en le maintenant fonctionnel même si

un petit nombre de serveurs défectueux possibles. Dans ce contexte où la clé secrète n'est pas stockée en un seul endroit, mais distribuée entre plusieurs entités, il est moins probable qu'un adversaire puisse corrompre suffisamment de serveurs pour compromettre l'ensemble du système. Pour ces raisons, nous pourrions utiliser cette primitive pour le chiffrement à long terme des données sensibles.

Dans la deuxième partie, nous considérons les schémas de chiffrement fonctionnel pour la classe restreinte des fonctions linéaires, également appelé Inner-Product Functional Encryption (IPFE) [ABDCP15], qui peuvent être utilisées pour calculer des sommes pondérées ou des moyennes de certaines bases de données chiffrées, en ne divulguant rien d'autre sur cette dernière. Lorsque les données cryptées peuvent être fournies par plusieurs clients, chacun cryptant avec sa propre clé secrète individuelle, nous appelons un tel schéma Multi-Client Functional Encryption (MCFE) [CDG<sup>+</sup>18a].

Dans une dernière contribution, nous portons notre attention sur les schémas de chiffrement à clé publique fournissant une sécurité même lorsque le message dépend de la clé (Key-Dependent Message, abrégé KDM) [BRS02] sous des attaques adaptatives par texte chiffré choisi (Chosen-Chiphertext Attack, abrégé CCA2). La sécurité KDM permet à un système de crypter les messages qui dépendent de la clé secrète elle-même, tout en garantissant la confidentialité. Au-delà de son attrait théorique, une telle sécurité avancée est souhaitable dans les situations qui peuvent apparaître en raison d'une gestion négligente des clés ou lors de l'utilisation d'utilitaires de chiffrement de disque [BHHO08].

Pour la construction du schéma KDM-CCA2, nous utilisons des systèmes de preuves non interactives à divulgation nulle de connaissance [BFM88], qui permettent à un prouveur de produire une preuve qu'une déclaration est vraie tout en cachant tout le reste. Quiconque exécute la procédure de vérification doit être convaincu de la validité de la déclaration, sans rien apprendre d'autre que ce fait. Ce concept apparemment paradoxal a de nombreuses autres applications cryptographiques. Par exemple, il peut être utilisé pour imposer un comportement honnête aux utilisateurs d'un protocole, tout en assurant la confidentialité des utilisateurs impliqués, ou il peut être utilisé comme brique de base pour construire des signatures électroniques.

Dans cette thèse, nous fournissons de nouvelles constructions pour les primitives mentionnées ci-dessus, en mettant l'accent sur la sécurité contre des adversaires qui se comportent plus près des scénarios de la vie réelle. à savoir, ils peuvent *adaptativement* mettre à jour leur stratégie pendant l'attaque, en se basant sur des informations obtenues précédemment, par le biais de sources externes comme des utilisateurs corrompus.

## Sécurité prouvée

Il y a eu de nombreux exemples de schémas de chiffrement cassés à travers l'histoire, donc on peut se demander s'il est possible de construire des schémas pour

lesquels on peut mathématiquement *prouver* qu'aucune attaque n'est possible, quelles que soient les ressources de calcul des adversaires et leur stratégie d'attaque.

Shannon a montré dans son article fondateur [Sha48] que, à moins que la clé secrète ne soit au moins aussi grande que le message à chiffrer, un système fuit inévitablement des informations sur le message, ce qu'un adversaire tout-puissant pourrait apprendre. Le chiffrement offrant une confidentialité parfaite n'est donc pas pratique car il nécessite des clés d'une taille prohibitive. Cela signifie que nous ne pouvons espérer une cryptographie pratique qu'en supposant que les adversaires sont calculatoirement limités. Même si un système avec de courts secrets fuit de l'information, l'adversaire ne doit pas avoir une puissance de calcul suffisante pour l'exploiter. Pour cette raison, nous ne considérons que des adversaires *efficaces* qui ont des ressources limitées polynomialement (par exemple, leur durée de fonctionnement est bornée par un polynôme en la longueur de l'entrée). Cela peut paraître une restriction forte, mais cela semble suffisant pour prendre en compte tous les adversaires existant dans la réalité.

Comme mentionné plus haut, un système sécurisé est un système pour lequel le problème de l'exploitation des informations divulguées devrait être insoluble pour tout adversaire efficace. Par conséquent, afin de prouver la sécurité, il est nécessaire de présumer de la difficulté de certains problèmes calculatoires, dans la classe des problèmes pour lesquels nous pouvons vérifier efficacement les solutions (également connus sous le nom de classe NP), et qui ne peuvent être résolus en un nombre polynomial d'étapes. Malheureusement, prouver l'existence d'un tel problème insoluble permettrait de régler l'un des plus importants problèmes ouverts en informatique, à savoir P vs. NP. Par conséquent, tant que ce problème reste ouvert, nous ne pouvons pas espérer avoir des preuves de sécurité inconditionnelles. Celles-ci doivent dépendre de la difficulté conjecturée de quelque problème de calcul (hypothèse calculatoire). L'hypothèse de l'existence de problèmes durs est étayée à la fois par notre expérience de plusieurs décennies en matière d'informatique et par des décennies de recherche sur la théorie de la complexité.

### **Preuves de sécurité**

Afin de fournir une preuve de sécurité, nous devons d'abord définir ce que cela signifie qu'un système est sûr. Les intuitions de sécurité pour un schéma doivent être formalisées par une définition précise. Très souvent, cette définition est exprimée sous la forme d'un jeu interactif entre l'adversaire et le challenger. Le schéma est alors considéré comme rompu si l'adversaire gagne la partie.

Une preuve de sécurité pour un schéma cryptographique est un théorème qui montre formellement qu'il est impossible pour un adversaire efficace de gagner le jeu de sécurité (le schéma ne peut donc pas être cassé) tant que certaines hypothèses calculatoires tiennent. Un tel théorème est généralement prouvé en supposant l'existence d'un adversaire efficace capable de briser le cryptosystème. La preuve procède ensuite à la construction d'un autre algorithme efficace, qui exé-

cute cet adversaire comme un sous-programme, et qui est capable de résoudre l'hypothèse calculatoire sous-jacente, arrivant ainsi à une contradiction. L'algorithme efficace, qui utilise l'adversaire comme sous-programme et casse l'hypothèse calculatoire est connu sous le nom de *réduction*.

## Hypothèses Standard

En cryptographie, le terme « hypothèses standard » fait référence à un ensemble de problèmes de calcul qui ont été utilisés pour construire de nombreuses primitives différentes et qui sont considérés comme insolubles par tout algorithme fonctionnant en temps quasi-polynomial. La confiance que nous avons en ces hypothèses provient de décennies de recherches effectuées par des mathématiciens, des cryptanalystes et des informaticiens qui ont travaillé sur ces problèmes mais n'ont pas réussi à les résoudre. Par exemple, peut-être l'une des hypothèses les plus connues est celle du logarithme discret (DL) qui est utilisée quotidiennement sur Internet. étant donné un groupe cyclique  $G$  d'ordre premier  $p$  et un générateur  $g$ , l'hypothèse DL dans le groupe  $G$ , indique qu'il est difficile de calculer  $x \in \mathbb{Z}_p$  à partir de  $g^x$ . Evidemment, la difficulté du problème dépend du groupe  $G$ . La confiance dans une hypothèse comme celle-ci ne fait qu'augmenter avec chaque jour qui passe où elle n'est pas cassée.

## Fonctions pseudo-aléatoires

Une famille de fonctions pseudo-aléatoires (Pseudo-Random Functions, abrégé PRF) [GGM86] est une famille de fonctions  $\mathcal{F} := \{F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}\}$ , indexé par l'espace des clés, qui peut être évalué efficacement en entrée une clé secrète  $\mathbf{s} \in \mathcal{K}$ , et une valeur  $x \in \mathcal{X}$  du domaine de la fonction. La sécurité garantit qu'aucun adversaire efficace n'est capable de faire la distinction entre les deux scénarios suivants : s'il reçoit un accès oracle à une fonction  $F_{\mathbf{s}}$ , pour une clé uniformément aléatoire  $\mathbf{s} \leftarrow \mathcal{K}$ , ou il a accès à un oracle calculant une fonction réellement aléatoire  $f \leftarrow \mathcal{Y}^{\mathcal{X}}$ , échantillonné uniformément dans l'ensemble de toutes les fonctions de  $\mathcal{X}$  vers  $\mathcal{Y}$ .

Les PRF sont des éléments fondamentaux de la cryptographie, notamment dans les schémas à clé symétrique, où ils peuvent être utilisés pour assurer des tâches essentielles telles que le cryptage à clé secrète, l'authentification ou l'intégrité des messages.

Goldreich, Goldwasser et Micali [GGM86] ont montré comment construire un PRF à partir de n'importe quel générateur pseudo-aléatoire doublant la longueur de son entrée. Cependant, cette manière générique de les construire est particulièrement inefficace. Des constructions beaucoup plus efficaces peuvent être obtenues en s'appuyant directement sur des hypothèses de la théorie des nombres plus standards comme l'hypothèse de Diffie-Hellman décisionnelle [NR97] et variantes associées [DY05, LW09, BMR10, CM04] ou la difficulté de la factorisation [NR97, NRR00]. Des constructions à partir d'hypothèses portant sur les réseaux



euclidiens comme, Learning With Errors (LWE) [BPR12, BLMR13, BP14] sont également possibles, mais la plupart d'entre elles sont plutôt inefficaces. Très souvent, cela est dû à la nécessité d'un module super-polynomial.

### Fonctions pseudo-aléatoires distribuées

Les fonctions pseudo-aléatoires distribuées (Distributed PRF ou DPRFs) [NPR00] permettent de répartir entre  $N$  serveurs le calcul d'une fonction qui génère des valeurs indiscernables de l'aléatoire tant que la clé secrète n'est pas révélée. De plus, seuls certains sous-ensembles autorisés de ces serveurs sont capables de calculer la valeur correcte. Par exemple, dans le cas où les ensembles autorisés sont constitués de tous les ensembles de cardinalité supérieure à un seuil  $t$ , un utilisateur qui veut calculer la valeur  $F_{SK}(x)$  envoie l'entrée  $x$  à un sous-ensemble des serveurs  $t$  qui répondent avec les évaluations partielles correspondantes sur l'entrée  $x$ . L'utilisateur combine toutes les évaluations partielles pour récupérer l'évaluation  $F_{SK}(x)$ . Un attaquant ne devrait pas être capable de distinguer la valeur  $F_{SK}(x)$  d'une valeur uniformément aléatoire, même s'il a un contrôle total sur un nombre maximum de  $t - 1$  serveurs.

Comme dans le cas général de la cryptographie à seuil [DF89], le principal avantage d'avoir plusieurs serveurs gardant les partages secrets et de faire les évaluations partielles correspondantes est que les points de défaillance individuels sont supprimés, gardant ainsi l'équivalent centralisé de la clé secrète plus sûr et rendre l'ensemble du système plus fiable. Cela signifie que l'ensemble du système continue de fonctionner malgré le dysfonctionnement d'un sous-ensemble de serveurs.

Les fonctions pseudo-aléatoires distribuées ont été initialement suggérées par Micali et Sidney [MS95] et ont reçu beaucoup d'attention depuis [NPR00, NR97, Nie02, Dod03, DYY06]. Elles permettent la construction de schémas de chiffrement symétriques distribués, de centres de distribution de clés distribués [NPR00], le tirage au sort distribué, et la conception de protocoles d'accord byzantin asynchrone [CKS00]. Elles fournissent également une source distribuée de bits aléatoires qui permettent de supprimer le caractère interactif des mécanismes de décryptage à seuil, comme celui de Canetti et Goldwasser [CG99].

### Travaux antérieurs sur les fonctions pseudo-aléatoires distribuées

Les constructions de fonctions pseudo-aléatoires distribuées précédentes peuvent être divisées en deux grandes catégories : interactives et non interactives. L'interactivité [Nie02, Dod03, DYY06] signifie que, pour calculer la fonction, les serveurs doivent interagir non seulement avec l'utilisateur, mais aussi entre eux. Il est souhaitable d'avoir des schémas non interactifs [MS95, NPR00, BLMR13, BGG<sup>+</sup>18], où les serveurs n'ont qu'une interaction à faire avec l'utilisateur. Certains des inconvénients de ces premières constructions étaient qu'elles ne fonctionnaient que pour de petites valeurs du seuil  $t$  par rapport au nombre de serveurs  $N$  [MS95],

ou que la preuve de sécurité n'était pas uniquement basée sur des hypothèses standards, mais utilisaient le modèle de l'oracle aléatoire (Random Oracle Model, en abrégé ROM) [NPR00]. La ROM [BR93] fait référence à un modèle de sécurité heuristique dans lequel les fonctions de hachage utilisées dans la construction d'un schéma sont modélisées comme des fonctions vraiment aléatoires. De plus, l'adversaire ne calcule pas lui-même ces fonctions, mais invoque plutôt un oracle (contrôlé par la réduction de la preuve de sécurité) chaque fois qu'il veut obtenir une valeur de la fonction de hachage. Les preuves de sécurité qui n'utilisent pas cette heuristique sont préférables, car il existe des exemples de schémas de chiffrement sûrs dans le modèle oracle aléatoire, pour lesquels l'implémentation des oracles aléatoires aboutit à des schémas non sécurisés [CGH98].

En 2013, Boneh *et al.* [BLMR13] ont donné une construction générique de DPRF non interactif à partir de Key-Homomorphic PRF (KH-PRF), qui fonctionne pour toutes les valeurs du seuil  $t$ , y compris le cas de la majorité honnête  $t = (n - 1)/2$ . Leur schéma est sûr sous l'hypothèse standard Learning With Errors (LWE), sans oracles aléatoires. La même construction générique peut être instanciée en utilisant le KH-PRF de [BP14, Kim20], avec des paramètres plus efficaces.

Les constructions existantes de DPRF génériques ont uniquement été prouvées sûres dans le modèle plus faible où les corruptions sont dites *statiques*. Cela restreint l'attaquant, qui doit déclarer la liste des serveurs corrompus avant le début de l'attaque. Un modèle plus naturel et réaliste est le modèle *adaptatif*, dans lequel l'attaquant peut choisir les serveurs à corrompre de manière adaptative, en fonction des informations reçues tout au long de l'attaque. Il est facile de prouver que le modèle de corruption adaptatif rend l'adversaire strictement plus fort car nous pouvons construire un schéma qui n'est pas sûr sous des corruptions adaptatives, mais sûr sous des corruptions statiques (comme nous le montrons au chapitre 3).

## Contribution 1

Les résultats de [LST18], présentés dans le chapitre 3, donnent la première construction non interactive d'une famille de fonctions pseudo-aléatoires distribuées sûre contre les corruptions *adaptatives*. La sécurité est basée sur l'hypothèse de Learning With Errors (LWE), sans s'appuyer sur des oracles aléatoires. L'efficacité de notre schéma pourrait être améliorée, car nous avons besoin d'un module LWE super-polynomial. Les PRF basés sur les réseaux euclidiens [BPR12, BLMR13, BP14], y compris notre construction, qui utilisent la technique d'arrondissement [BPR12], ont cet inconvénient.

## Chiffrement fonctionnel

Le chiffrement fonctionnel (Functional Encryption, abrégé FE) est une primitive cryptographique moderne qui offre un accès mesuré aux données chiffrées. Il généralise le cryptage à clé publique traditionnel, où le décrypteur récupère tout le message ou rien.

Un schéma de chiffrement fonctionnel est généralement défini pour une classe de fonctionnalités  $\mathcal{F}$  définies sur l'espace des messages clairs du schéma. Le détenteur de la clé secrète maître est capable d'émettre plusieurs clés de déchiffrement fonctionnelles  $sk_f$ , chacune associée à une fonction  $f \in \mathcal{F}$ . Un utilisateur qui détient une telle clé de déchiffrement  $sk_f$  et un texte chiffré correspondant au message  $x$  peut récupérer  $f(x)$ . La sécurité garantit que rien d'autre n'est divulgué concernant le message  $x$ , à part l'évaluation  $f(x)$ . Même lorsque plusieurs utilisateurs complotent, ils ne devraient toujours rien apprendre sur le message, au-delà de ce que révèle chaque clé individuelle.

L'étude de la notion abstraite de chiffrement fonctionnel a été initiée par Boneh, Sahai et Waters [BSW11a] et O'Neill [O'N10]. De nombreuses constructions qui correspondent à cette généralisation, comme le chiffrement basé sur l'identité (Identity-Based Encryption, abrégé IBE) [Coc01, BF01, GPV08, ABB10], le chiffrement basé sur les attributs (Attribute-Based Encryption, abrégé ABE) [SW05, GPSW06, LOS<sup>+</sup>10, GVW13] ou le chiffrement à prédicat (Predicate Encryption, abrégé PE) [LOS<sup>+</sup>10, KSW08, GVW15a], ont été largement étudiés avant et après la formulation générale de chiffrement fonctionnel.

### Sécurité pour le chiffrement fonctionnel

D'intenses efforts ont été déployés pour formaliser la bonne définition de sécurité qui capture l'intuition de sécurité de cette primitive. Dans [BSW11a] et [O'N10], il a été montré que la notion habituelle de sécurité *basée sur l'indiscernabilité* (IND) ne convient pas à certaines fonctionnalités. Plus précisément, ils ont donné une construction peu sûre qui pourrait être prouvée sûre selon la définition de sécurité IND. De manière informelle, la sécurité IND exige qu'aucun adversaire efficace — disposant d'un accès à un oracle évaluant l'algorithme de génération de clé — ne soit capable de faire la distinction entre les chiffrés de deux messages  $x_0$  et  $x_1$ . Cela comprends le scénario où plusieurs détenteurs de clés privées complotent afin d'obtenir des informations sur le message chiffré. Dans le même travail, ils ont initié l'étude de la sécurité *basée sur la simulation* (SIM) pour le chiffrement fonctionnel. Ce modèle de sécurité, pour cette primitive, est plus fort que le modèle IND ; il requiert que la vue de l'adversaire puisse être simulée par un simulateur qui a accès aux paires  $(f, f(x^*))$  constitués de fonctionnalités et de leur évaluation sur le message challenge  $x^*$ .

Selon que l'adversaire soit autorisé à effectuer des requêtes de clé après avoir reçu le chiffré challenge ou pas, on considère la sécurité adaptative (AD) ou non-adaptative (NA) pour les définitions IND et SIM. O'Neill [O'N10] a montré que

dans le cas non adaptatif, les deux notions de sécurité NA-IND et NA-SIM sont équivalentes pour la classe de fonctionnalités pour laquelle le calcul de pré-images est facile.

Boneh, Sahai et Waters [BSW11a] ont montré que la sécurité AD-SIM est impossible à réaliser pour de nombreux messages challenge pour la fonctionnalité du chiffrement basé sur l'identité (IBE). Le même argument s'étend facilement au chiffrement fonctionnel pour la classe des fonctions linéaires (IPFE). Un autre résultat d'impossibilité a été donné par Agrawal *et al.* [AGVW13] où ils ont prouvé que nous ne pouvons pas espérer construire un schéma de chiffrement fonctionnel pour la classe des circuits généraux atteignant la sécurité NA-SIM pour un message challenge.

De l'autre côté du spectre, en termes de résultats positifs, Gorbunov, Vaikuntanathan et Wee [GVW12] ont donné une construction pour la classe des circuits généraux atteignant la sécurité AD-SIM pour un message sous *collusion bornée*. Cela signifie qu'il existe une limite a priori sur le nombre de requêtes clés effectuées par l'adversaire. Une autre construction pour les circuits généraux est apparue dans [GKP<sup>+</sup>13] réalisant la sécurité NA-SIM lorsque l'adversaire est limité à une seule requête clé. Le chiffrement fonctionnel entièrement sécurisé (AD-IND) pour les circuits généraux peut être construit en utilisant des outils puissants comme l'obfuscation (Indistinguishability Obfuscation) et des applications multilinéaires (Multi-linear maps) [GGH<sup>+</sup>13, GGHZ14, Wat15]. Pour le moment, ces outils ne sont pas connus pour être instanciables sous des hypothèses de dureté bien étudiées.

### **Chiffrement fonctionnel pour les fonctions linéaires**

Lorsque nous limitons la classe de fonctionnalités aux fonctions linéaires, évaluant des produits scalaires de vecteurs sur un certain anneau  $R$  ( $R \in \{\mathbb{Z}, \mathbb{Z}_p\}$ ), la primitive résultante s'appelle le chiffrement fonctionnel pour les produits scalaires (Inner-Product Functional Encryption, abrégé IPFE). Concrètement, l'IPFE chiffre les vecteurs  $\mathbf{x} \in R^\ell$  en utilisant la clé publique. En utilisant la clé secrète principale, il peut également émettre plusieurs clés secrètes associées à des vecteurs clés  $\mathbf{y} \in R^\ell$ . Le déchiffrement d'un chiffré de  $\mathbf{x}$  avec une clé associée à  $\mathbf{y}$  révèle l'information partielle  $\langle \mathbf{x}, \mathbf{y} \rangle \in R$  mais rien d'autre.

### **Travaux antérieurs sur les schémas IPFE**

Au lieu de tenter de construire un chiffrement fonctionnel pour les circuits généraux à partir d'hypothèses standard, une autre approche a été adoptée par Abdalla, Bourse, De Caro et Pointcheval dans [ABDCP15]. Ils ont eu l'idée de créer des schémas efficaces, en commençant par des classes de fonctionnalités plus petites au lieu de viser des circuits généraux, avec une sécurité basée sur des hypothèses standard. En particulier, pour la classe restreinte des fonctions linéaires (produits scalaires), ils ont réussi à obtenir des schémas plus pratiques sous des hypothèses

de difficulté bien étudiées comme le Decisional Diffie-Hellman (DDH) et Learning With Errors (LWE). Leurs constructions ne sont prouvées que *sélectivement sûres* dans le modèle IND. Cela signifie que l’adversaire doit annoncer les messages challenges avant même de voir la clé publique du système. Ce résultat a été amélioré par Agrawal, Libert et Stehlé [ALS16] en construisant un cryptage fonctionnel adaptatif AD-IND pour la même classe de fonctions linéaires, sous DDH, LWE et à partir de l’hypothèse décisionnelle de la résiduosit  composite (Decisional Composite Residuosity, en abr g  DCR) de Paillier [Pai99]. Pour aller encore plus loin, Wee [Wee17] a prouv  que le sch ma bas  sur DDH de [ALS16] satisfait une notion de s curit  SIM appel e s curit  simulation semi-adaptative. Dans ce contexte, l’adversaire n’est pas autoris    effectuer des requ tes cl s avant le d fi.

## Contribution 2

Pour notre deuxi me contribution, nous nous concentrons sur nos r sultats de [ALMT20]. Dans le chapitre 4, nous montrons que les sch mas IPFE de [ALS16], bas s sur DDH, DCR [Pai99] de Paillier et LWE, qui  valuent les produits scalaires sur les entiers, atteignent une s curit  AD-SIM. Cela am liore  galement le r sultat de [Wee17], o  le sch ma bas  sur DDH n’atteint qu’une s curit  simulation semi-adaptative. Pour prouver ces r sultats, nous devons augmenter la taille des cl s secr tes du sch ma original bas  sur DCR. Nous devons  galement agrandir le module  $q$ , du sch ma bas  sur LWE de [ALS16] d’un facteur exponentiellement grand. Dans le cas DDH, nous pouvons prouver nos r sultats sans aucune modification au sch ma d’origine.

Puisque le r sultat d’impossibilit  de [BSW11a] peut  tre adapt  aux fonctions lin aires, il exclut la possibilit  d’atteindre la s curit  AD-SIM lorsque l’adversaire est autoris     mettre plusieurs messages challenge. Cependant, aucune des impossibilit s r sultant de [BSW11a, O’N10, AGVW13] ne s’applique   notre cas o  l’on consid re un seul chiffr  challenge, et un nombre illimit  de requ tes cl s. Par cons quent, nous prouvons que les sch mas IPFE bas s sur DDH, DCR et LWE de [ALS16] atteignent la notion de s curit  la plus forte que l’on puisse esp rer parmi les d finitions bas es sur IND et SIM,   savoir qu’il fournit une s curit  AD-SIM pour un unique chiffr  challenge et un nombre illimit <sup>1</sup> de requ tes de cl .

## Chiffrement fonctionnel multi-client

Le chiffrement fonctionnel multi-entr es (Multi-Input Functional Encryption, ou MIFE) [GGG<sup>+</sup>14] permet le calcul de fonctions sur plusieurs entr es qui peuvent  tre chiffr es ind pendamment en utilisant la m me cl . Lorsque chaque entr e

<sup>1</sup>Le nombre de requ tes cl s est en fait limit  par la fonctionnalit  elle-m me, mais cela est intrins que   chiffrement fonctionnel. Par exemple, la s curit  IPFE devient nulle apr s  $\ell$  requ tes de cl  lin airement ind pendantes, o   $\ell$  est la dimension des vecteurs de message

est chiffrée par un client ou un parti différent, sous sa propre clé privée, ce sont des schémas de chiffrement fonctionnel multi-client (Multi-Client Functional Encryption, en abrégé MCFE) [GGG<sup>+</sup>14, CDG<sup>+</sup>18a]. Dans ce cas, il est naturel de supposer que les clients corrompus peuvent collaborer afin d'obtenir plus d'informations sur les entrées de clients non corrompus. En revanche, dans un schéma MIFE, il n'y a pas de sécurité contre la corruption, puisque tous les partis chiffrent en utilisant la même clé.

### Étiquettes

Dans les cas d'utilisation à entrées multiples et multi-clients, la fuite d'informations inhérente pourrait être un problème dans les applications, en particulier lorsque de nombreuses clés de déchiffrement fonctionnelles sont distribuées. Pour illustrer ce point, considérons le cas simple de deux clients. Quiconque possède une clé de déchiffrement fonctionnelle  $sk_f$  pour la fonction à deux entrées  $f$  et les chiffrés de  $x_1, z_1$  et  $x_2, z_2$ , respectivement calculés par le premier et le deuxième client, peut apprendre n'importe quel  $f(x_i, z_j)$  des quatre combinaisons. Notez que, pour  $\ell$  clients, la fuite d'informations induite par une clé de déchiffrement fonctionnelle sur deux vecteurs de texte chiffré croît exponentiellement avec  $\ell$ .

Pour limiter cette fuite «mix-and-match», l'idée d'utiliser des *étiquettes (labels)* a été introduite dans [GGG<sup>+</sup>14]. Cela signifie que chaque parti chiffre son message en utilisant une étiquette  $t$  comme paramètre supplémentaire (par exemple un horodatage) et qu'une clé de déchiffrement fonctionnelle donnée ne peut fonctionner que sur des vecteurs de chiffrés ayant été chiffrés avec la même étiquette. Chotard *et al.* ont proposé dans [CDG<sup>+</sup>18a] le premier schéma MCFE efficace sous hypothèses standard, qui utilise également des étiquettes. Leur construction fonctionne pour la classe restreinte des fonctions linéaires. Notez que de tels schémas avec des étiquettes peuvent être obtenus auprès des MCFE pour les fonctionnalités générales. Malheureusement, ces constructions sont vraiment inefficaces et sont basées sur des hypothèses exotiques comme *Indistinguishability Obfuscation* [GGG<sup>+</sup>14].

### Travaux précédents sur le chiffrement fonctionnel multi-client

Le premier chiffrement fonctionnel multi-client avec des étiquettes de [CDG<sup>+</sup>18a] est prouvé sûr sous l'hypothèse standard DDH, mais dans le modèle de l'oracle aléatoire, et fonctionne pour la classe restreinte des fonctions linéaires. Dans leur modèle de sécurité (Définition 5.2), chaque client est supposé chiffrer un seul message par étiquette. De plus, l'adversaire est supposé connaître tous les textes chiffrés sous la même étiquette, correspondant à chaque client. Cela signifie que la sécurité n'est pas garantie lorsque l'adversaire est autorisé à obtenir des textes chiffrés pour un sous-ensemble approprié de clients pour une étiquette particulière. Nous discuterons plus en détail de ces limitations dans la section 5.1.

Dans [CDG<sup>+</sup>18b], les mêmes auteurs renforcent la sécurité des schémas mentionnés précédemment en donnant deux transformations qui suppriment le besoin de ces conditions apparemment artificielles. Malheureusement, leurs techniques ne sont pas génériques et ne fonctionnent que pour leur schéma initial. Ils traitent des textes chiffrés partiels en utilisant une couche de partage de secret, ou «Secret Sharing Layer» (SSL), qui est utilisé pour encapsuler les textes chiffrés de telle sorte que la récupération n'est possible que lorsque les contributions de tous les clients sont disponibles. De plus, en utilisant une couche de chiffrement IPFE [ALS16], similaire au compilateur IPFE vers MIFE de [ACF<sup>+</sup>18], ils ont supprimé la restriction imposée aux clients de n'avoir qu'un seul texte chiffré par étiquette.

Un résultat similaire dans [ABKW19] montre comment améliorer génériquement les schémas MCFE afin d'étendre la sécurité au cas de textes chiffrés incomplets. Pour les schémas qui n'utilisent pas d'étiquettes, leur compilateur peut être instancié avec un schéma de cryptage symétrique sécurisé IND-CPA et est similaire à celui de [AGRW17]. Dans le cas d'un MCFE étiqueté, l'utilisation du chiffrement symétrique n'est pas suffisante, car la sécurité du compilateur est prouvée dans le modèle de l'oracle aléatoire. Un autre résultat de [ABKW19] montre que les schémas MIFE de modèle standard de [ACF<sup>+</sup>18] restent protégés contre les corruptions adaptatives. Nous pouvons donc les appeler des schémas multi-clients.

Enfin, dans le travail concurrent de [ABG19], les auteurs donnent un compilateur général qui prend n'importe quel IPFE à entrée unique (qui satisfait certaines conditions souples) et donne un MCFE à étiquettes sécurisé contre les corruptions adaptatives. De manière analogue à la transformation de [ACF<sup>+</sup>18], en instanciant ce compilateur avec les schémas IPFE de [ALS16], ils ont obtenu des MCFE étiquetés sous les hypothèses  $LWE / DDH / DCR$ . Ils mettent également à jour la preuve du compilateur dans [ABKW19] afin qu'il fonctionne dans le modèle standard, assurant ainsi la sécurité lorsque des vecteurs de texte chiffré incomplets sont autorisés.

### Contribution 3

Nos contributions de [LT19] sont présentées dans le chapitre 5 de cette thèse. La construction de la section 5.3 donne le premier schéma MCFE pour les fonctions linéaires, sécurisé contre les corruptions adaptatives, qui supporte les étiquettes. La sécurité est garantie par l'hypothèse  $LWE$  et ne nécessite pas d'oracles aléatoires.

Une autre contribution est détaillée dans la section 5.4 où un compilateur étend la sécurité de base de notre schéma MCFE afin qu'il gère les chiffrés partiels. La preuve de sécurité est faite dans le modèle standard et utilise des PRFs *multi-instance adaptatifs*, dont la sécurité peut être prouvée en exploitant le caractère pseudo-aléatoire de tout PRF. Pour obtenir de meilleurs facteurs d'étanchéité dans la preuve de sécurité, nous utilisons le PRF particulier basé sur les  $LWE$  de la section 3.4.2.



## Systèmes de preuve non interactifs à divulgation nulle de connaissance

Les systèmes de preuve non interactifs à divulgation nulle de connaissance (Non-Interactive Zero-Knowledge, ou NIZK) sont des protocoles dans lesquels un prouveur veut convaincre un vérificateur efficace qu'une déclaration NP est vraie, sans rien divulguer d'autre. Le protocole ne nécessite aucune conversation interactive entre le prouveur et le vérificateur, car le prouveur calcule une preuve qui devrait convaincre le vérificateur de la validité de l'énoncé. Leur existence a été démontrée [BFM88] dans le modèle Common Reference String (CRS, en français chaîne de caractères commune de référence), où le prouveur et le vérificateur partagent une chaîne de caractères aléatoire. Dans le modèle simple, les NIZK ne sont possibles que pour les langages de la classe de complexité du temps polynomial probabiliste borné (BPP) [Ore87], donc le CRS partagé est en fait nécessaire.

Une approche courante pour construire des systèmes de preuve NIZK consiste à appliquer la transformation Fiat-Shamir (FS) [FS86] à des protocoles interactifs à 3 mouvements et à divulgation nulle de connaissance pour les vérificateurs honnêtes, également connus sous le nom de protocoles  $\Sigma$ . Concrètement, l'interaction du protocole  $\Sigma$  est supprimée en remplaçant le défi aléatoire du vérificateur par une valeur calculée via une fonction de hachage déterministe, lorsqu'elle est appliquée à la transcription établie jusqu'à cet instant. Bellare et Rogaway [BR93] ont montré que le schéma non interactif est sûr face à des procureurs malicieux, à condition que la fonction de hachage soit modélisée comme un oracle aléatoire. Cependant, l'heuristique Fiat-Shamir peut ne pas être prouvée sûre dans le modèle standard, lorsque la fonction de hachage n'est plus la fonction aléatoire idéale [GTK03]. Des résultats négatifs tels que [GTK03] n'excluent pas la validité des preuves NIZK basées sur Fiat-Shamir lorsqu'une fonction de hachage spécifique est appliquée à des protocoles  $\Sigma$  spécifiques.

Jusqu'à récemment, on ne savait pas comment instancier le paradigme Fiat-Shamir dans le modèle standard. Cela a changé avec les travaux récents de Canetti *et al.* [CLW19] et Peikert et Shiehian [PS19], qui ont donné la première famille de fonctions de hachage à corrélation inextricable, ou *Correlation-Intractable* (CI), sûres sous des hypothèses standard sur les réseaux. Ils ont montré que CI pour les relations recherchables est suffisant pour instancier le paradigme FS de manière sûre contre des prouveurs malhonnêtes, pour une classe suffisamment grande de protocoles  $\Sigma$  de telle sorte que NIZK pour toutes les relations NP soit possible sous des hypothèses standard sur les réseaux.

### Travaux antérieurs sur les NIZK multi-preuves

Sahai a montré [Sah99] que, lorsque le paradigme de chiffrement double de Naor et Yung [NY90] est instancié en utilisant un NIZK sûr contre des prouveurs malhonnêtes dans le modèle de la simulation, appelé simulation-sound NIZK, nous obtenons un schéma de chiffrement à clé publique sûr contre les attaques à



texte chiffré choisi (CCA2). à cette fin, nous montrons comment transformer n'importe quel NIZK ordinaire pour obtenir la simulation-soundness. Intuitivement, la simulation-soundness empêche un adversaire de produire une preuve valide d'une déclaration fausse, même s'il est en possession d'une autre preuve pour une déclaration de son choix, obtenue à partir d'autres sources.

Ce résultat a été amélioré plus tard pour le cas multi-preuve dans [SCO<sup>+</sup>01], qui donne une transformation générale des NIZK ordinaires en NIZK à *simulation-soundness illimitée*, ou *unbounded simulation-soundness*. Intuitivement, cela empêche l'adversaire de produire une preuve valide correspondant à une fausse déclaration, même après avoir vu un nombre polynomial d'autres preuves de son choix.

Encore une fois, dans le cadre multi-preuve, les résultats de [FLS99] donnent une transformation générale de n'importe quel NIZK ordinaire en systèmes de preuve multi-théorème à divulgation nulle de connaissance. Cela signifie que la propriété de divulgation nulle de connaissance du schéma est préservée même lorsque de nombreuses preuves polynomiales sont données à l'adversaire.

## Contribution 4

Dans la dernière partie de la thèse, nous présentons certains de nos résultats de [LNPT19], qui concernent des instanciations plus efficaces du paradigme Naor-Yung, pour des langages spécifiques.

Notre premier résultat (Section 6.3.2) donne une construction générique d'un argument <sup>2</sup> NIZK multi-théorème, directement à partir de protocoles  $\Sigma$  à trappes, en supposant l'existence de fonctions de hachage *Correlation Intractable* (CI) et une primitive appelée chiffrement à perte avec ouverture efficace, ou *lossy encryption with efficient opening* [BHY09]. Nous utilisons les fonctions de hachage CI pour rendre le système de preuve non interactif, tout en préservant la *soundness* (sécurité contre les prouveurs malhonnêtes). Le fait que les textes chiffrés à perte peuvent facilement être révoqués est exploité de manière cruciale par le simulateur NIZK et c'est l'ingrédient qui nous permet de prouver la divulgation nulle de connaissance lorsque l'adversaire a accès à de nombreuses preuves polynomiales. Comme déjà mentionné, il est également possible d'obtenir un NIZK multi-théorème par la transformation FLS [FLS99]. Nos résultats donnent une autre façon de faire cela, directement à partir de protocoles  $\Sigma$ . Ainsi grâce à nos techniques, nous pouvons obtenir des NIZK multi-théorèmes statistiquement sûrs pour tous les langages NP sous l'hypothèse standard LWE (voir Section 6.3.4, ceci présente un avantage par rapport au même résultat obtenu en combinant les résultats de [FLS99] et [PS19]. A savoir, dans notre cas, nous obtenons un CRS uniformément distribué alors que le CRS obtenu par la transformation FLS n'est que pseudo-aléatoire.

<sup>2</sup>Un *argument* est une preuve dont la sécurité contre des prouveurs malhonnêtes repose sur des hypothèses calculatoires.

Notre deuxième contribution, donnée dans la section 6.4, montre comment améliorer la construction multi-théorème mentionnée précédemment (section 6.3.2) pour obtenir également l'*unbounded simulation-soundness*. Pour ce faire, nous devons également utiliser des signatures à usage unique comme élément constitutif. Malheureusement, le chiffrement à perte avec ouverture efficace ne suffit pas pour prouver la soundness de la simulation. Pour pallier à cela, nous généralisons la définition du chiffrement à perte-R [BSW11b] et prouvons (dans la section 6.2) qu'une variante du schéma original de Regev satisfait toutes les propriétés requises. Il en résulte un compilateur générique qui prend n'importe quel protocole  $\Sigma$  avec une trappe et le transforme en un argument NIZK multi-théorème qui satisfait la propriété de *unbounded simulation-soundness*. Encore une fois, nos résultats peuvent être considérés comme une alternative à [SCO<sup>+</sup>01] pour obtenir des NIZK avec *unbounded simulation-soundness*.

Enfin, dans la section 6.4.5, nous donnons une construction pour un protocole  $\Sigma$  avec trappe, qui prouve deux textes chiffrés du schéma sécurisé KDM-CPA basé sur LWE de [ACPS09], chiffrent le même message. Avec le compilateur générique de la section 6.4, il nous permet d'appliquer la transformation de Naor-Yung au schéma ACPS. Cela donne le schéma de clé publique le plus efficace, qui est à la fois sûr même lorsque les messages dépendent de la clé (KDM), et qui soit sûr contre les attaques à texte chiffré choisi (CCA2), sous l'hypothèse LWE. Ce résultat est discuté dans la section 6.4.4.

## Chapter

# 1

## ***Introduction***

### **1.1 Background**

The word "cryptography" is typically associated with the private communication of messages through secret writings and their deciphering, or *encryption* and *decryption* as we call them today. This meaning prevails even though the science of cryptography solves a wide variety of problems besides privacy. This should not come as a surprise since for thousands of years its only purpose was to prevent unintended interceptors from reading and understanding the messages that were being sent. Usually both the sender and the receiver possessed the same secret information, that they had agreed upon prior to the communication, by meeting face to face or through other trusted means. We refer to such encryption schemes, where the same secret information must be used to encrypt and decrypt messages, as *symmetric-key*. Once a secret key is shared between two entities that want to communicate (on the Internet, this is achieved through *public-key* methods), the symmetric-key branch of cryptography is able to solve other problems beside encryption, problems related to authentication and message integrity. This is usually done through the use of *heuristically secure*, but extremely fast algorithms like AES. A more theoretical approach, that provides *provable security*, is to construct fundamental symmetric-key primitives using *Pseudo-Random Functions* (PRFs) [GGM86] as building blocks. While AES is conjectured to be a PRF, the construction from [GGM86] gives a PRF from simpler building blocks, namely from pseudo-random generators.

With the development of computer networks from the beginning of the '70s, cryptography had to deal with new problems related to privacy, authentication and data integrity. The *public-key* revolution [DH76] introduced the new ideas of *public-key cryptography* and *digital signatures* which answered questions like: "how to protect the privacy of the data against eavesdroppers, when communicating with people on the other side of the continent, whom we have never spoken to before?" or "how to make sure we are communicating with the intended peo-

ple and not with someone impersonating them?" and "how do we make sure that the received data was not modified somewhere along the communication channel?" This new paradigm assumed generating a pair of related keys: a public key, used for encryption, and a private key for decryption. Encrypting using publicly available information removes the need for an a priori sharing of the secret key. The relation between the public and the private key, allows for the secret key to be *mathematically* recovered from the information encapsulated by the public key. But in practice, the task of computing the secret key in this manner is *computationally* intractable, even using the most powerful computers currently available. So the security of public-key cryptography has to rely on the conjectured hardness of some computational task, or *computational assumption*.

These powerful ideas heavily influenced the development of the Internet as we know it today. In fact, the key-exchange protocol of Diffie and Hellman [DH76] used for key agreement by complete strangers, and the popular RSA public-key encryption and digital signature [RSA78], are nowadays in constant use.

The Internet boom from the last couple of decades brought about millions of everyday connections from small devices like mobile phones or tablets. The small storage capabilities and restricted computational power of such devices enabled cloud computing services to become very popular among individual internet users and companies alike. The drawback for clients moving their computation to the cloud is that they need to hand over sensitive data in clear to third parties they might not trust. This inherent tension between privacy and the ability of performing useful operations with the data can be relieved for some applications using *advanced* cryptographic primitives. For example, secure Multi-Party Computation (MPC) [Yao86, GMW87] gives an interactive protocol that allows multiple users to compute and learn the output of a function evaluated on their inputs, while keeping each individual input private. Fully Homomorphic Encryption (FHE) [Gen09, BGV11, BV11, GSW13] allows untrusted parties to compute on user's encrypted data, after which the user decrypts the result of the computation. Functional Encryption (FE) [O'N10, BSW11a] is a public-key encryption with advanced functionality, where multiple decryption keys can be issued. Each key is associated to a computation such that the decryptor learns only the result of the computation on the encrypted data, but nothing else. Functional Encryption can be seen as a non-interactive form of a MPC protocol with only two participants, also known as 2-Party-Computation (2PC).

### **This thesis**

One object that we study in this thesis is the fundamental cryptographic primitive of Pseudo-Random Functions (PRFs) [GGM86], which can be efficiently used to get essential symmetric-key constructions such as encryption or message authentication. We study this primitive in the context of threshold cryptography, in which the secret key is shared among many servers such that any sufficiently large subset can correctly evaluate the PRF. This improves the fault-tolerance proper-

ties of the system by keeping it functional despite some small number of possible faulty servers. In this setting where the secret key is not stored in one place, but distributed among multiple entities, it is less likely that an adversary will ever be able to corrupt sufficiently many servers to compromise the whole system. For these reasons, we could use this primitive for long-term encryption of sensitive data.

In the second part, we consider Functional Encryption schemes for the restricted class of linear functions, also called Inner-Product Functional Encryption (IPFE) [ABDCP15], which can be applied to compute weighted sums or means of some encrypted database, while nothing else is leaked about it. When the encrypted data can be supplied by multiple clients, each one encrypting with its own individual secret key, we call such scheme Multi-Client Functional Encryption (MCFE) [CDG<sup>+</sup>18a].

In a final contribution, we pay attention to public-key encryption schemes providing key-dependent message (KDM) [BRS02] security under adaptive chosen-ciphertext attacks (CCA2). KDM security allows a scheme to encrypt messages that depend on the secret key itself, while still guaranteeing privacy. Beyond its theoretical appeal, such an advanced security is desirable in situations that may appear due to careless key management or when using disk encryption utilities [BHHO08].

For the construction of the KDM-CCA2 scheme, we use non-interactive zero-knowledge (NIZK) [BFM88] proof systems, which enable a prover to produce a proof that some statement is true while hiding everything else. Anyone who runs the verification procedure must be convinced of the validity of the statement, while learning nothing else beyond this fact. This seemingly paradoxical concept has many other cryptographic applications. For instance, it can be used to enforce honest protocol behavior, while providing privacy for the involved users, or it can be used as a building-block to obtain digital signatures.

In this thesis, we provide new constructions for the above-mentioned primitives, with focus on security against adversaries that behave closer to real-life scenarios. Namely, they can *adaptively* update their strategy during the attack, based on previously obtained information, possible from external sources like corrupted users.

## 1.2 Provable Security

There have been many examples of broken encryption schemes throughout history, so one may wonder if it is possible to build schemes for which we can mathematically *prove* that no attacks are possible, regardless of the computational resources of the adversaries and their attack strategies.

Shannon showed in his seminal paper [Sha48] that, unless the secret key is at least as large as the message to be encrypted, a scheme inevitably leaks information about the message, which an all-powerful adversary could learn. So

encryption with perfect secrecy is not really practical as it requires prohibitively large keys. This means that we can only hope for practical cryptography by making the assumption that adversaries are not all-powerful, but are computationally bounded. Even though a scheme with short secrets leaks information, the adversary might not have the necessary computational power to exploit this. For this reason, we consider only *efficient* adversaries that have bounded polynomial resources (e.g., their running time is bounded by some polynomial in the input length). This might appear like a powerful restriction, but seems enough to capture all real world adversaries.

As we have already mentioned, a secure scheme is one for which the problem of exploiting the leaked information should be computationally intractable for any efficient adversary. Therefore if we want to prove security, it is necessary to at least assume hard computational problems, in the class of problems for which we can efficiently verify solutions (also known as NP), that cannot be solved in a polynomial number of steps. Unfortunately, proving the existence of such an intractable problem would settle one of the most important open problems in computer science, namely P versus NP. Hence, as long as this problem remains open, we cannot hope to have unconditional security proofs, but they must depend on the conjectured hardness of some computational problem (computational assumption). The assumption that hard problems exist is supported by both our decades-long experience with computers and decades of complexity theory research.

### **Security Proofs**

In order to give a security proof, we should first define what it means for a scheme to be secure. The security intuitions for a scheme must be formalized into a precise definition. Very often, such a definition is given as an interactive game between the adversary and the challenger. The scheme is then considered broken if the adversary wins the game.

A security proof for a cryptographic scheme is a theorem which formally shows that it is impossible for an efficient adversary to win the security game (thus the scheme cannot be broken) as long as some computational assumption holds. Such a theorem is usually proved by assuming the existence of an efficient adversary that is able to break the cryptosystem. The proof then proceeds to construct another efficient algorithm, that runs this adversary as a subroutine, and that is able to solve the underlying computational assumption, arriving at a contradiction. The efficient algorithm, that uses the adversary as a subroutine, and breaks the computational assumption is known as the *reduction*.

### **Standard Assumptions**

In cryptography, the term *standard assumptions* refers to a set of computational problems that have been used for constructing many different primitives and that are believed to be intractable by quasi-polynomial time algorithms. The confi-

dence that we have in these assumptions comes from decades of research done by mathematicians, cryptanalysts and computer scientists that worked on these problems but failed to solve them. For example, maybe one of the most popular assumptions, is the Discrete Logarithm (DL) assumption which is used on the Internet on a daily basis. Given a cyclic group  $G$  of prime order  $p$  and a generator  $g$ , the DL assumption in group  $G$ , states that it is computationally hard to compute  $x \in \mathbb{Z}_p$  from  $g^x$ . Obviously the difficulty of the problem depends on the group  $G$ . The confidence in an assumption like this only grows with each passing day that remains unbroken.

### 1.3 Pseudo-Random Functions

A Pseudo-Random Function (PRF) family [GGM86] is a family of keyed functions  $\mathcal{F} := \{F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}\}$ , that can be efficiently evaluated on input a secret key  $\mathbf{s} \in \mathcal{K}$ , and a value  $x \in \mathcal{X}$  from the domain of the function. Security guarantees that no efficient adversary is able to distinguish between the following two scenarios: if it is given oracle access to a function  $F_{\mathbf{s}}$ , for a uniformly random key  $\mathbf{s} \leftarrow \mathcal{K}$ , or oracle access to a truly random function  $f \leftarrow \mathcal{Y}^{\mathcal{X}}$ , sampled uniformly random from the set of all functions that map  $\mathcal{X}$  to  $\mathcal{Y}$ .

PRFs are fundamental building blocks in cryptography, notably in symmetric-key schemes, where they can be used for solving essential tasks like secret-key encryption, authentication or message integrity.

Goldreich, Goldwasser and Micali [GGM86] showed how to build a PRF from any length-doubling pseudo-random generator (PRG). In turn, PRGs are known [HILL99] to exist under the sole assumption that one-way functions exist. However, this generic way of constructing them is particularly inefficient. Much more efficient constructions can be obtained by directly relying on more standard number theoretic assumptions like the Decision Diffie-Hellman assumption [NR97] and related variants [DY05, LW09, BMR10, CM04] or the hardness of factoring [NR97, NRR00]. Constructions from lattice-based assumptions like, Learning With Errors (LWE) [BPR12, BLMR13, BP14] are also possible, but most of them are rather inefficient. Very often this is due to the need for a super-polynomial modulus.

#### Distributed PRFs

Distributed Pseudo-Random Functions (DPRFs) [NPR00] allow distributing between  $N$  servers the computation of a function that outputs values that are indistinguishable from random as long as the secret key is not revealed. Moreover, only authorized subsets of the servers are able to compute the correct value. For instance, in the case where the authorized sets consists of all the sets of cardinality above a threshold  $t$ , a user who wants to compute the value  $F_{SK}(x)$  sends the input  $x$  to a subset of  $t$  servers which reply with the corresponding partial evaluations on the input  $x$ . The user combines all the partial evaluations to recover the evaluation  $F_{SK}(x)$ . An attacker should not be able to distinguish the value  $F_{SK}(x)$  from

a uniformly random value, even if it has full control over a maximum number of  $t - 1$  servers.

As in the general case of threshold cryptography [DF89], the main advantage of having multiple servers keeping the secret shares and doing the corresponding partial evaluations is that single points of failure are removed, thus keeping the centralized equivalent of the secret key safer and making the whole system more dependable. This means that the whole system continues to function despite the malfunctioning of a subset of the servers.

Distributed pseudo-random functions were initially suggested by Micali and Sidney [MS95] and received a lot of attention since then [NPR00, NR97, Nie02, Dod03, DYY06]. They are motivated by the construction of distributed symmetric encryption schemes, distributed key distribution centers [NPR00], or distributed coin tossing and asynchronous byzantine agreement protocols [CKS00]. They also provide a distributed source of random coins that allows removing interaction from threshold decryption mechanisms, such as the one of Canetti and Goldwasser [CG99].

### Previous Work on DPRFs

All the previous DPRF constructions can be divided into two broad categories: interactive and non-interactive. *Interactive* [Nie02, Dod03, DYY06] means that, in order to compute the function, servers need to interact not only with the user, but also between themselves. It is desirable to have *non-interactive* schemes [MS95, NPR00, BLMR13, BGG<sup>+</sup>18], where the servers only have a one round interaction with the user. Some of the drawbacks of these first constructions were that they worked only for small values of the threshold  $t$  in relation to the number of servers  $N$  [MS95], or that the security proof was not solely based on standard assumptions, but used the Random Oracle Model (ROM) [NPR00]. The ROM [BR93] refers to a heuristic security model in which the hash functions used in the scheme are modeled as truly random functions. Moreover, the adversary does not compute these functions itself, but rather invokes an oracle (controlled by the reduction in the security proof) whenever it wants to obtain a value of the hash function. Security proofs that do not use this heuristic are preferred, as there are examples of secure encryption schemes in the random oracle model, for which implementations of the random oracles yield insecure schemes [CGH98].

In 2013, Boneh et al [BLMR13] gave a generic construction of non-interactive DPRFs from Key-Homomorphic PRFs, that works for any threshold values of  $t$ , including the honest majority case  $t = (n - 1)/2$ . Their scheme is secure under the standard Learning With Errors assumption, without random oracles. The same generic construction can be instantiated using the KH-PRF from [BP14, Kim20], with more efficient parameters.

Generic DPRF constructions are only proven to be secure in the weaker *static* corruption model. This means that an attacker is restricted to declaring the list of corrupted servers before the attack starts. A more natural and realistic model



would be the *adaptive* one, in which the attacker can choose which servers to corrupt in an adaptive manner, based on information received throughout the attack. It is easy to prove that the adaptive corruption model makes the adversary strictly stronger as we can build a scheme which is insecure under adaptive corruptions, but secure under static corruptions (as we show in Chapter 3).

### Contribution 1

The results from [LS<sup>+</sup>18], presented in Chapter 3, give the first non-interactive construction of a Distributed Pseudo-Random Functions (DPRF) family that simultaneously achieves security against *adaptive* corruptions. Security is based on the Learning With Errors assumption, without relying on random oracles. The efficiency of our scheme could be improved, as we need a super-polynomial LWE modulus. Lattice-based PRFs [BPR12, BLMR13, BP14], that use the rounding technique of [BPR12], suffer from this, including our construction.

## 1.4 Functional Encryption

Functional Encryption (FE) is a modern cryptographic primitive that offers fine-grained access to the encrypted data. It generalizes traditional public-key encryption, where the decryptor either recovers the whole message or nothing.

An FE scheme is usually defined over a class of functionalities  $\mathcal{F}$  that take input from the message space of the scheme. The holder of the master secret key is able to issue multiple functional decryption keys  $sk_f$ , each associated with some function  $f \in \mathcal{F}$ . A user that holds such a decryption key  $sk_f$  and a ciphertext corresponding to the message  $\mathbf{x}$  can recover  $f(\mathbf{x})$ . Security guarantees that nothing else is leaked about the message  $\mathbf{x}$ , besides the evaluation  $f(\mathbf{x})$ . Even when multiple users collude, they should still not learn anything else about the message, beyond what each individual key reveals.

The study of the abstract notion of Functional Encryption (FE) was initiated by Boneh, Sahai and Waters [BSW11a] and O’Neil [O’N10]. Many constructions that fit this generalization, like Identity-Based Encryption (IBE) [Coc01, BF01, GPV08, ABB10], Attribute-Based Encryption (ABE) [SW05, GPSW06, LOS<sup>+</sup>10, GVW13] or Predicate-Encryption (PE) [LOS<sup>+</sup>10, KSW08, GVW15a], have been extensively studied before and after the general formulation of FE.

### Security for FE

Intensive efforts have been put into formalizing the *correct* security definition that captures the security intuition for this primitive. In [BSW11a] and [O’N10], it was shown that the usual *indistinguishability-based* (IND) security notion is not suitable for certain functionalities. More precisely, they gave a trivially insecure FE

construction that could be proven secure with respect to the IND security requirement. Informally, the IND-security requires that no efficient adversary that has oracle access to the key generation algorithm should be able to distinguish between encryptions of two messages  $x_0$  and  $x_1$ . This covers the scenario when multiple private key holders collude in order to learn information about the encrypted message. In the same work, they initiated the study of *simulation-based* (SIM) security for FE, a stronger model for this primitive, compared to IND, which asks that the view of the adversary can be simulated by a simulator that is given access to pairs  $(f, f(x^*))$  of functionalities together with their evaluation on the challenge message  $x^*$ .

Depending on whether the adversary is allowed to make key queries after the challenge, we consider *adaptive* (AD) and *non-adaptive* (NA) security for both IND and SIM definitions. O’Neill [O’N10] showed in the non-adaptive case, the two notions of security NA-IND and NA-SIM are equivalent for the class of functionalities for which computing preimages is easy.

It was shown by Boneh, Sahai and Waters [BSW11a] that AD-SIM-security is impossible to achieve for many challenge messages for the Identity Based Encryption (IBE) functionality. The same argument easily extends to Inner-Product Functional Encryption (IPFE). Another impossibility result was given by Agrawal et al. [AGVW13] where they proved that we cannot hope to construct an FE scheme for general circuits that achieves NA-SIM security for one challenge message.

At the other side of the spectrum, in terms of positive results, Gorbunov, Vaikuntanathan and Wee [GVW12] gave a construction for the class of general circuits that is AD-SIM secure for one message under *bounded collusion*. This means that there is an a priori bound on the number of key queries made by the adversary. Another construction for general circuits appeared in [GKP<sup>+</sup>13] achieving NA-SIM security when the adversary is restricted to only one key query. Fully secure (AD-IND) FE for general circuits can be constructed using the powerful machinery of indistinguishability obfuscation and multi-linear maps [GGH<sup>+</sup>13, GGHZ14, Wat15]. For the time being, these tools are not known to be instantiable under well-studied hardness assumptions.

## Inner-Product Functional Encryption

When we restrict the class of functionalities to linear functions, that evaluate inner-products of vectors over some ring  $R$  ( $R \in \{\mathbb{Z}, \mathbb{Z}_p\}$ ), we call the resulting Inner-Product Functional Encryption (IPFE). Concretely, IPFE encrypts vectors  $x \in R^\ell$  using the public key. Using the master secret key, it can also issue multiple secret keys associated with key vectors  $y \in R^\ell$ . The decryptor learns the partial information  $\langle x, y \rangle \in R$  but nothing else.

### Previous Work on IPFE

Instead of aiming at building FE for general circuits from standard assumptions, another approach was adopted by Abdalla, Bourse, De Caro and Pointcheval in [ABDCP15]. They considered building efficient FE, starting with smaller classes of functionalities instead of aiming for general circuits, with security based on standard assumptions. In particular, for the restricted class of linear functions (inner products) they managed to get more practical schemes under well studied hardness assumptions like the Decisional Diffie-Hellman (DDH) and Learning With Errors (LWE). Their constructions are only proven to be *selectively secure* in the IND model. This means that the adversary has to announce the challenge messages before it even sees the public key of the scheme. This result was improved by Agrawal, Libert and Stehlé [ALS16] by constructing adaptive AD-IND functional encryption for the same class of linear functions, under DDH, LWE and from Paillier’s Decision Composite Residuosity (DCR) assumption [Pai99]. Going even further, Wee [Wee17] proved that the DDH based FE scheme from [ALS16] satisfies a SIM security notion called *semi-adaptive simulation* security. In this setting, the adversary is not allowed to make any key queries before the challenge.

### Contribution 2

For our second contribution, we focus on our results from [ALMT20]. In Chapter 4, we show the IPFE schemes of [ALS16], based on DDH, Paillier’s DCR [Pai99] and LWE, that evaluate inner products over the integers, are in fact AD-SIM secure. This also improves the result from [Wee17], where the DDH-based scheme was proved to be semi-adaptive simulation secure. To prove these results, we have to increase the size of the secret keys of the original DCR-based scheme. We also need to enlarge the modulus  $q$ , of the LWE-based scheme of [ALS16], by an exponentially large factor. In the DDH case, we can prove our results without any modifications in the original scheme.

Since the impossibility result of [BSW11a] can be adapted to the inner-product functionality, it excludes the possibility of achieving AD-SIM security when the adversary is allowed to issue multiple challenge messages. However, none of the impossibility results from [BSW11a, O’N10, AGVW13] applies to our case of a single ciphertext challenge and unbounded number of key queries. Therefore, we prove that the DDH, DCR and LWE-based IPFE schemes from [ALS16] achieve the strongest security notion that we can hope for among the IND and SIM based definitions, namely it provides AD-SIM security for single challenge ciphertexts for unbounded<sup>1</sup> number of key queries.

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<sup>1</sup>The number of key queries is actually bounded by the functionality itself, but this is intrinsic to FE. For instance, IPFE security becomes void after  $\ell$  linearly independent key queries, where  $\ell$  is the dimension of the message vectors

## Multi-Client Functional Encryption

Multi-Input Functional Encryption (MIFE) [GGG<sup>+</sup>14] allows the computation of functions over several inputs that can be encrypted independently using the same key. When each input is encrypted by a different client or party, under its own private key, we refer to such schemes as multi-client (MC) [GGG<sup>+</sup>14, CDG<sup>+</sup>18a]. In this scenario it is natural to assume that corrupt clients may collude in order to learn more information about the remaining inputs. On the other hand, in a MIFE scheme there is no security against corruption, since all parties encrypt using the same key.

### Labels

Both in the multi-input and multi-client settings, the inherent information leakage could be a problem in applications, especially when many functional decryption keys are given out. To illustrate this point, consider the simple 2-client case. Anyone who possesses a functional decryption key  $sk_f$  for the two-input function  $f$  and the encryptions of  $x_1, z_1$  and  $x_2, z_2$ , computed by the first and the second client, respectively, can learn any  $f(x_i, z_j)$  of the four combinations. Notice that, for  $\ell$  clients, the information leakage induced by one functional decryption key on two ciphertext vectors grows exponentially with  $\ell$ , the number of clients.

To limit this "mix-and-match" leakage, the idea of using *labels* was introduced in [GGG<sup>+</sup>14]. This means that each party encrypts its message using a label  $t$  as an additional parameter (for example a timestamp) and any given functional decryption key can only operate over ciphertext vectors encrypted over the same label. Chotard et al. proposed in [CDG<sup>+</sup>18a] the first efficient MCFE scheme under standard assumptions, that also supports labels. Their construction works for the restricted class of linear functions. Notice that such schemes with labels can be obtained from MCFEs for general functionalities. Unfortunately, such constructions are terribly inefficient and are based on exotic assumptions like Indistinguishability Obfuscation [GGG<sup>+</sup>14].

### Previous Work on MCFE

The first standard model MCFE with labels from [CDG<sup>+</sup>18a] is secure under the DDH assumption, but in the Random Oracle Model, and works for the restricted class of linear functions. In their security model (Definition 5.2), each client is assumed to encrypt only one message per label. Moreover, the adversary is assumed to know all the ciphertexts encrypted under the same label, corresponding to each client. This means that the security is not guaranteed when the adversary is allowed to obtain ciphertexts for a proper subset of the clients for a particular label. We will discuss more about these limitations in Section 5.1.

In [CDG<sup>+</sup>18b], the same authors enhance the security of the previously mentioned [CDG<sup>+</sup>18a] schemes by giving two transformations that remove the need for these seemingly artificial conditions. Unfortunately their techniques are not

generic and only work for their initial scheme. They deal with partial ciphertexts by using a Secret Sharing Layer (SSL), which is used to encapsulate the ciphertexts such that the recovery is possible only when the contributions of all the clients are available. In addition, by using an IPFE [ALS16] encryption layer, similarly to the IPFE to MIFE compiler of [ACF<sup>+</sup>18], they removed the clients' restriction of one ciphertext per label.

A similar result in [ABKW19] shows how to generically upgrade MCFE schemes so as to prove security in the case of incomplete ciphertexts. For schemes that do not support labels, their compiler can be instantiated with an IND-CPA symmetric encryption scheme and is similar to the one in [AGRW17]. In case of labeled MCFE, the use of symmetric encryption is not sufficient, as the compiler's security is proven in the Random Oracle Model. Another result from [ABKW19] shows that the standard model MIFE schemes of [ACF<sup>+</sup>18] remain secure against adaptive corruptions. Thus we may refer to them as MCFE schemes.

Finally, in the concurrent work of [ABG19] the authors give a general compiler that takes any single-input IPFE (that satisfies some mild conditions) and gives an MCFE with labels with security under adaptive corruptions. Analogously to the transformation from [ACF<sup>+</sup>18], by instantiating the compiler with the IPFE schemes from [ALS16], they obtained labeled MCFEs under the LWE/DDH/DCR assumptions. They also upgrade the proof of the compiler in [ABKW19] to work in the standard model, thus providing security when incomplete ciphertext vectors are allowed.

### Contribution 3

Our contributions of [LT19] are presented in Chapter 5 of this thesis. The construction from Section 5.3 gives the first MCFE scheme for linear functions, secure under adaptive corruptions, that supports labels. The security is guaranteed by the LWE assumption and does not require random oracles. See also Figure 1.1.

Another contribution is detailed in Section 5.4 where a compiler upgrades the basic security of our MCFE scheme so that it supports partial ciphertexts. The security proof is in the standard model and makes use of the *adaptive multi-instance PRFs*, which can be proved secure by leveraging the pseudo-randomness of any PRF. To get better tightness factors in the security proof, we use the particular LWE-based PRF from section 3.4.2.

## 1.5 Non-Interactive Zero-Knowledge Argument Systems

Non-Interactive Zero-Knowledge (NIZK) proof systems are protocols in which a prover can convince an efficient verifier that some NP statement is true, while not leaking anything else. The protocol requires no interactive conversation between the prover and the verifier, as the prover computes a proof that should convince

## 1. INTRODUCTION

Scheme (MCFE)	Standard Assumptions	Labels	Adaptive Corruptions	Stand. Model	Partial Ciphertexts	Multiple ct per label
[Abd. <sup>+</sup> 18] Crypto18	DDH/LWE/DCR ✓	✗	✗	✓	✗	✗
[Chot. <sup>+</sup> 18] AC18	DDH ✓	✓	✓	✗	✗	✗
[ABKW19] PKC19	DDH ✓	✓	✓	✗	✓	✗
[ABG19] AC19	DDH/LWE/DCR ✓	✓	✓	✓	✓	✓
<b>This work</b> AC19	LWE ✓	✓	✓	✓	✓	✗

Figure 1.1: Contribution 3

the verifier of the validity of the statement. They were shown to exist [BFM88] in the *Common Reference String* (CRS) model, where the prover and the verifier share a string of randomness. In the plain model, NIZKs are only possible for languages in the complexity class of bounded probabilistic polynomial time (BPP) [Ore87], so the shared CRS is in fact necessary.

One popular approach to construct non-interactive zero-knowledge (NIZK) proof systems is to apply the Fiat-Shamir (FS) transform [FS86] to 3-move interactive, honest-verifier zero-knowledge protocols, also known as  $\Sigma$ -protocols. Concretely, the interaction from the  $\Sigma$ -protocol is removed by replacing the verifier's random challenge by a value computed by a deterministic hash function, when applied to the transcript up to that point. Bellare and Rogaway [BR93] showed that the non-interactive scheme is sound, provided the hash function is modeled as a random oracle. However, the Fiat-Shamir heuristic may be insecure in the standard model, when the hash function is no longer the ideal random function [GTK03]. Negative results like [GTK03] did not rule out the soundness of Fiat-Shamir-based NIZK proofs when a specific hash function is applied to specific  $\Sigma$ -protocols.

Until recently, it was not known how to instantiate the Fiat-Shamir paradigm in the standard model. This changed with the recent works of Canetti *et al.* [CLW19] and Peikert and Shiehian [PS19], who gave the first *Correlation-Intractable* (CI) hash functions family, secure under standard lattice assumptions. They showed that CI for searchable relations is sufficient to soundly instantiate the FS paradigm for a sufficiently large class of  $\Sigma$ -protocols such that NIZK for all NP relations is possible under standard lattice assumptions.

### Previous Work on Multi-Proof NIZKs

Sahai showed [Sah99] that, when the double-encryption paradigm of Naor and Yung [NY90] is instantiated using a *simulation-sound* NIZK, we obtain a public-key encryption scheme that is secure against chosen-ciphertext attacks (CCA2). To this end, he showed how to transform any ordinary NIZK to obtain simulation-soundness. Intuitively, simulation-soundness prevents an adversary from producing a valid proof for a false statement, even if it is in possession of one other proof for a statement of his choosing, obtained from other sources.

This result was later improved for the multi-proof case in [SCO<sup>+</sup>01], which gives a general transformation from ordinary NIZKs to *unbounded simulation-sound* NIZKs. Intuitively, this prevents the adversary from producing a valid proof corresponding to a false statement, even after seeing polynomially many other proofs of its choosing.

Again, in the multi-proof setting, the results from [FLS99] give a general transformation from any ordinary NIZK to multi-theorem zero-knowledge proof systems. This means that the zero-knowledge property of the scheme is preserved even when polynomially many proofs are given to the adversary.

### Contribution 4

In the last part of the thesis we present some of our results from [LNPT19], which are concerned with more efficient instantiations of the Naor-Yung paradigm, for specific languages.

Our first result (Section 6.3.2) gives a generic construction of a multi-theorem NIZK argument<sup>2</sup>, directly from trapdoor  $\Sigma$ -protocols, assuming CI hash functions and a primitive called "lossy encryption with efficient opening" [BHY09]. We use the CI hash functions to make the proof system non-interactive, while preserving soundness. The fact that lossy ciphertexts can be easily equivocated<sup>3</sup> is crucially exploited by the NIZK simulator and it is the ingredient that allows us to prove zero-knowledge when the adversary has access to polynomially many proofs. As already mentioned, it is also possible to obtain multi-theorem NIZK through the FLS transformation [FLS99]. Our results give an alternative way of doing that, directly from  $\Sigma$ -protocols. As a consequence of our techniques, we can obtain multi-theorem statistical NIZKs for all NP languages under the standard LWE assumption (see Section 6.3.4), which has an advantage over the same result obtained by combining the results from [FLS99] and [PS19]. Namely, in our case we obtain a uniformly distributed CRS while the CRS obtained by the FLS transformation is only pseudo-random.

Our second contribution, given in Section 6.4, shows how to upgrade the previously mentioned multi-theorem construction (Section 6.3.2) to obtain unbounded

<sup>2</sup>An *argument* is a proof with *computational* soundness

<sup>3</sup>A ciphertext is not committed to any particular message. In fact, it can be explained as the encryption of any message from the set of all possible messages



simulation-soundness as well. In order to do this, we need to also use one-time signatures as a building block. Unfortunately, standard lossy encryption with efficient opening is not enough to prove simulation-soundness. For this purpose, we generalize the definition of R-lossy encryption [BSW11b] and prove (in Section 6.2) that a variant of Regev’s primal scheme satisfies all the requirements. This results in a generic compiler that takes any trapdoor  $\Sigma$ -protocol and transforms it into a multi-theorem NIZK argument that satisfies the unbounded simulation-soundness property. Again, our results can be seen as an alternative to [SCO<sup>+</sup>01] of obtaining unbounded simulation-sound NIZKs. Both of our constructions provide statistical zero-knowledge if the underlying  $\Sigma$ -protocol satisfies statistical special zero-knowledge. Moreover, in both cases, the language of the underlying trapdoor  $\Sigma$ -protocol is exactly the same as that of the resulting NIZK argument.

Finally, in Section 6.4.5 we give a construction for a trapdoor  $\Sigma$ -protocol, that proves two ciphertexts of the LWE-based KDM-CPA secure scheme of [ACPS09], encrypt the same message. Together with the generic compiler from Section 6.4, it allows us to apply the Naor-Yung transform to the ACPS scheme. This yields the most efficient public-key scheme, key-dependent message (KDM) secure under chosen-ciphertext attacks (CCA2), under the LWE assumption. This result is discussed in Section 6.4.4.



## Chapter

# 2

## Preliminaries

In this chapter, we recall some basic notation, definitions and some results from the literature that we will use in this thesis.

### 2.1 Notation

For any  $q \geq 2$ , we let  $\mathbb{Z}_q$  denote the ring of integers with addition and multiplication modulo  $q$ . For  $2 \leq p < q$  and  $x \in \mathbb{Z}_q$ , we define  $\lfloor x \rfloor_p := \lfloor (p/q) \cdot x \rfloor \in \mathbb{Z}_p$ . This notation is extended componentwise to vectors over  $\mathbb{Z}_p$ . If  $\mathbf{x}$  is a vector over  $\mathbb{R}$ , then  $\|\mathbf{x}\|$  denotes its Euclidean norm  $\|\mathbf{x}\| = \sqrt{x_1^2 + x_2^2 + \dots + x_n^2}$ . If  $\mathbf{M}$  is a matrix over  $\mathbb{R}$ , then  $\|\mathbf{M}\| := \sup_{\mathbf{x} \neq \mathbf{0}} \frac{\|\mathbf{M}\mathbf{x}\|}{\|\mathbf{x}\|}$  denotes its induced norm. We can also define the infinity norm  $\|\mathbf{x}\|_\infty := \max_i |x_i|$  and its induced matrix norm  $\|\mathbf{M}\|_\infty := \sup_{\mathbf{x} \neq \mathbf{0}} \frac{\|\mathbf{M}\mathbf{x}\|_\infty}{\|\mathbf{x}\|_\infty}$ . We let  $\sigma_n(\mathbf{M})$  and  $\sigma_1(\mathbf{M})$  denote the least singular value and the largest singular value of  $\mathbf{M}$ , respectively, where  $n$  is the rank of  $\mathbf{M}$ .

For a finite set  $S$ , we let  $U(S)$  denote the uniform distribution over  $S$ . If  $X$  is a random variable over a countable domain, the min-entropy of  $X$  is defined as  $H_\infty(X) = \min_x (-\log_2 \Pr[X = x])$ . If  $X$  and  $Y$  are distributions over the same domain, then  $\Delta(X, Y)$  denotes their statistical distance.

Let  $\sqrt{\Sigma} \in \mathbb{R}^{n \times n}$  be a non-degenerate matrix, and  $\mathbf{c} \in \mathbb{R}^n$ . We define the Gaussian function on  $\mathbb{R}^n$  by  $\rho_{\sqrt{\Sigma}, \mathbf{c}}(\mathbf{x}) = \exp(-\pi(\mathbf{x} - \mathbf{c})^\top \Sigma^{-1}(\mathbf{x} - \mathbf{c}))$ , for  $\Sigma := \sqrt{\Sigma} \cdot \sqrt{\Sigma}^\top$ . If  $\sqrt{\Sigma} = \sigma \cdot \mathbf{I}_n$  we denote it by  $\rho_{\sigma, \mathbf{c}}$ . In addition if  $\mathbf{c} = \mathbf{0}$  as well we denote it by  $\rho_\sigma$ . By PPT, we refer to a probabilistic polynomial time algorithm.

### 2.2 Lattices

Given  $n$  linearly independent vectors  $\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n \in \mathbb{R}^n$ , the lattice generated by them is defined as the set of all the integer linear combinations:

$$\Lambda(\mathbf{b}_1, \mathbf{b}_2, \dots, \mathbf{b}_n) := \mathbb{Z} \cdot \mathbf{b}_1 + \mathbb{Z} \cdot \mathbf{b}_2 + \dots + \mathbb{Z} \cdot \mathbf{b}_n,$$

or we can write this in a compact manner as  $\Lambda(\mathbf{B}) = \mathbf{B} \cdot \mathbb{Z}^n$ , where the matrix  $\mathbf{B} = [\mathbf{b}_1 | \mathbf{b}_2 | \dots | \mathbf{b}_n] \in \mathbb{R}^{n \times n}$ . We call the matrix  $\mathbf{B}$  a *basis* of the lattice. A lattice has an infinite number of bases. Any two bases are related through a unimodular matrix.

For a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , we define the modular lattices

$$\Lambda^\perp(\mathbf{A}) = \{\mathbf{x} \in \mathbb{Z}^m : \mathbf{A} \cdot \mathbf{x} = \mathbf{0} \pmod{q}\}, \quad \Lambda(\mathbf{A}) = \mathbf{A}^\top \cdot \mathbb{Z}^n + q\mathbb{Z}^m.$$

For an  $n$  dimensional lattice  $\Lambda \subset \mathbb{R}^n$  and for any lattice vector  $\mathbf{x} \in \Lambda$ , the discrete Gaussian is defined  $\rho_{\Lambda, \sqrt{\Sigma}, \mathbf{c}}(\mathbf{x}) = \frac{\rho_{\sqrt{\Sigma}, \mathbf{c}}(\mathbf{x})}{\rho_{\sqrt{\Sigma}, \mathbf{c}}(\Lambda)}$ . For an  $n$ -dimensional lattice  $\Lambda$ , we define the *smoothing parameter*  $\eta_\epsilon(\Lambda)$  as the smallest  $r > 0$  such that  $\rho_{1/r}(\widehat{\Lambda} \setminus \mathbf{0}) \leq \epsilon$  with  $\widehat{\Lambda} := \{\mathbf{y} \in \mathbb{R}^n : \langle \mathbf{x}, \mathbf{y} \rangle \in \mathbb{Z} \text{ for all } \mathbf{x} \in \Lambda\}$  denoting the dual of  $\Lambda$ , for any  $\epsilon \in (0, 1)$ . The notation  $\sqrt{\Sigma} \geq \eta_\epsilon(\Lambda)$  means that  $1 \geq \eta_\epsilon(\sqrt{\Sigma}^{-1} \cdot \Lambda)$ . We also define  $\lambda_1^\infty(\Lambda) = \min(\|\mathbf{x}\|_\infty : \mathbf{x} \in \Lambda \setminus \mathbf{0})$ .

Below we recall some useful lattice-related lemmas.

**Lemma 2.1** ([MR07, Lemma 4.4]). *For an  $n$ -dimensional lattice  $\Lambda \in \mathbb{R}^n$  any vectors  $\mathbf{c}, \mathbf{u} \in \mathbb{R}^n$ ,  $\epsilon \in (0, 1)$  and a positive definite matrix  $\Sigma$  such that  $\sqrt{\Sigma} \geq \eta_\epsilon(\Lambda)$  we have:*

$$\Pr_{\mathbf{x} \leftarrow D_{\Lambda + \mathbf{u}, \sqrt{\Sigma}, \mathbf{c}}} \left[ \|\mathbf{x} - \mathbf{c}\| > \sigma_1(\sqrt{\Sigma}) \cdot \sqrt{n} \right] \leq 2^{-n} \cdot \frac{1 + \epsilon}{1 - \epsilon}$$

**Lemma 2.2** ([GPV08, Theorem 4.1]). *There is a PPT algorithm that, given a basis  $\mathbf{B}$  of an  $n$ -dimensional  $\Lambda = \Lambda(\mathbf{B})$ , a parameter  $s > \|\tilde{\mathbf{B}}\| \cdot \omega(\sqrt{\log n})$ , and a center  $\mathbf{c} \in \mathbb{R}^n$ , outputs a sample from a distribution statistically close to  $D_{\Lambda, s, \mathbf{c}}$ .*

**Lemma 2.3** ([GPV08, Lemma 5.3]). *If  $m \geq 2n \cdot \log q$  and  $q \geq 2$  is a prime, then*

$$\Pr_{\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})} \left[ \lambda_1^\infty(\Lambda(\mathbf{A})) \geq q/4 \right] \geq 1 - 2^{-\Omega(n)}.$$

**Corollary 2.3.1.** *For  $m \geq 2n \log q$ ,  $q > p > 4$  and  $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})$  the function that maps  $\mathbf{x} \in \mathbb{Z}_q^n$  to  $[\mathbf{A}^\top \cdot \mathbf{x}]_p$  is injective with overwhelming probability.*

*Proof.* Assume we have  $\mathbf{x}, \mathbf{y} \in \mathbb{Z}_q^n$  such that they map to the same value. This is equivalent to  $\mathbf{A}^\top(\mathbf{x} - \mathbf{y}) \in (-q/p, q/p)^m \cap \mathbb{Z}^m$ . For  $\mathbf{x} \neq \mathbf{y}$  this gives a point from the lattice  $\Lambda(\mathbf{A})$  of infinity norm strictly less than  $q/p$ , but this contradicts the lemma.  $\square$

**Lemma 2.4** (Adapted from [GPV08, Lemma 5.3]). *Let  $q \geq 2$  be prime and let integers  $m > n \geq 1$ . Let a matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ . Then, we have the inequality:*

$$\Pr_{\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})} \left[ \eta_{2^{-m}}(\Lambda^\perp(\mathbf{A})) \leq O(\sqrt{m})q^{n/m} \right] \geq 1 - 2^{-m}.$$

**Lemma 2.5** (Adapted from [MR07, Lemma 4.4]). *For any  $n$ -dimensional lattice  $\Lambda$ ,  $\mathbf{x}', \mathbf{c} \in \mathbb{R}^n$  and symmetric positive definite  $\Sigma \in \mathbb{R}^{n \times n}$  satisfying  $\sigma_n(\sqrt{\Sigma}) \geq \eta_{2^{-n}}(\Lambda)$ , we have*

$$\rho_{\Sigma, \mathbf{c}}(\Lambda + \mathbf{x}') \in [1 - 2^{-n}, 1 + 2^{-n}] \cdot \det(\Sigma)^{1/2} / \det(\Lambda).$$

**Lemma 2.6.** *Let  $\varepsilon \in (0, 1)$ ,  $c \in \mathbb{R}$  and  $\sigma > 0$  such that  $\sigma \geq \sqrt{\ln 2(1 + 1/\varepsilon)}/\pi$ . Then*

$$H_\infty(D_{\mathbb{Z}, \sigma, c}) \geq \log(\sigma) - \log\left(1 + \frac{2\varepsilon}{1 - \varepsilon}\right)$$

*Proof.* From [MR07, Lemma 3.3] we know that  $\eta_\varepsilon(\mathbb{Z}) \leq \sqrt{\ln 2(1 + 1/\varepsilon)}/\pi$ . So  $\sigma \geq \eta_\varepsilon(\mathbb{Z})$ . By [MP12, Lemma 2.5], this implies that  $\frac{1-\varepsilon}{1+\varepsilon} \cdot \rho_\sigma(\mathbb{Z}) \leq \rho_{\sigma, c}(\mathbb{Z})$ , which translates into

$$H_\infty(D_{\mathbb{Z}, \sigma, c}) \geq H_\infty(D_{\mathbb{Z}, \sigma}) - \log\left(\frac{1 + \varepsilon}{1 - \varepsilon}\right)$$

By Poisson's summation formula, we have  $\rho_\sigma(\mathbb{Z}) = \frac{\sigma}{\det(\mathbb{Z})} \cdot \rho_{1/\sigma}(\hat{\mathbb{Z}})$ . Since  $\det(\mathbb{Z}) = 1$  and  $\hat{\mathbb{Z}} = \mathbb{Z}$  we can conclude that  $\rho_\sigma(\mathbb{Z}) \geq \sigma$ , so  $H_\infty(D_{\mathbb{Z}, \sigma}) \geq \log \sigma$ .  $\square$

Note that for  $\sigma = \Omega(\sqrt{n})$ , we get  $H_\infty(D_{\mathbb{Z}, \sigma, c}) \geq \log(\sigma) - 2^{-n}$ .

**Lemma 2.7** ([Lyu12, Th. 4.6]). *Let  $V$  be a subset of  $\mathbb{Z}^m$  in which all elements have norms less than  $T$ , let  $\sigma$  be a real number such that  $\sigma = \omega(T\sqrt{\log m})$ , and  $h : V \rightarrow \mathbb{R}$  be a probability distribution. Then, there exists a real number  $M$  such that the distribution of the following algorithm  $\mathcal{A}$ :*

- 1:  $\mathbf{v} \leftarrow h$
- 2:  $\mathbf{z} \leftarrow D_{\mathbb{Z}^m, \sigma, \mathbf{v}}$
- 3: output  $(\mathbf{z}, \mathbf{v})$  with probability  $\min\left(\frac{D_{\mathbb{Z}^m, \sigma}(\mathbf{z})}{M D_{\mathbb{Z}^m, \sigma, \mathbf{v}}(\mathbf{z})}, 1\right)$

*is within statistical distance  $\frac{2^{-\omega(\log m)}}{M}$  from the distribution of the following algorithm  $\mathcal{F}$ :*

- 1:  $\mathbf{v} \leftarrow h$
- 2:  $\mathbf{z} \leftarrow D_{\mathbb{Z}^m, \sigma}$
- 3: output  $(\mathbf{z}, \mathbf{v})$  with probability  $1/M$ .

*Moreover, the probability that  $\mathcal{A}$  outputs something is at least  $\frac{1 - 2^{-\omega(\log m)}}{M}$ . More concretely, if  $\sigma = \alpha T$  for any positive  $\alpha$ , then  $M = e^{12/\alpha + 1/(2\alpha^2)}$ , the output of  $\mathcal{A}$  is within statistical distance  $2^{-100}/M$  of the output of  $\mathcal{F}$ , and the probability that  $\mathcal{A}$  outputs something is at least  $\frac{1 - 2^{-100}}{M}$ .*

Micciancio and Peikert [MP12] described a trapdoor mechanism for LWE. Their technique uses a “gadget” matrix  $\mathbf{G} \in \mathbb{Z}_q^{n \times w}$ , with  $w = n \log q$ , for which anyone can publicly sample short vectors  $\mathbf{x} \in \mathbb{Z}^w$  such that  $\mathbf{G} \cdot \mathbf{x} = \mathbf{0}$ .

**Lemma 2.8** ([MP12, Section 5]). *Let  $m = 2n\lceil\log q\rceil + O(\lambda)$ . There exists a PPT algorithm  $\text{TrapGen}(1^m, 1^n)$  that outputs a statistically uniform matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ , together with a trapdoor basis  $\mathbf{T}_\mathbf{A} \in \mathbb{Z}^{m \times m}$  for  $\Lambda^\perp(\mathbf{A})$ , such that  $\max_j \|\tilde{\mathbf{t}}_j\| \leq O(\sqrt{n \log q})$ , where  $\tilde{\mathbf{t}}_j$  are the corresponding Gram-Schmidt vectors.*

It is known [MP12] that, for any  $\mathbf{u} \in \mathbb{Z}_q^n$ , a trapdoor for  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  allows sampling from  $D_{\Lambda^\perp(\mathbf{A}), s \cdot \omega(\sqrt{\log m})}$  for  $s = O(\sqrt{n \log q})$ . Since

$$\eta_{2^{-m}}(\Lambda^\perp(\mathbf{A})) \leq \max_j \|\tilde{\mathbf{t}}_j\| \cdot \omega(\sqrt{\log m}) \leq s \cdot \omega(\sqrt{\log m})$$

for large enough  $s = O(\sqrt{n \log q})$ , the magnitude of a vector  $\mathbf{x}$  sampled from the discrete Gaussian  $D_{\Lambda^\perp(\mathbf{A}), s \cdot \omega(\sqrt{\log m})}$ , is bounded by  $\|\mathbf{x}\| \leq s\sqrt{m} \cdot \omega(\sqrt{\log m})$ .

*Remark 1.* For  $m \geq 3n \log q$ , we can thus sample a statistically uniform matrix  $\mathbf{A}$  from  $\mathbb{Z}_q^{n \times m}$  together with a trapdoor, which allows finding small solutions of  $\mathbf{A} \cdot \mathbf{x} = \mathbf{u} \pmod q$ , with  $\|\mathbf{x}\| \leq s\sqrt{m} \cdot \omega(\sqrt{\log m}) = O(\sqrt{mn \log q}) \cdot \omega(\sqrt{\log m})$ .

### 2.3 Some Useful Lemmas

**Lemma 2.9** ([GKPV10, Lemma 3]). *Let  $\mathbf{y} \in \mathbb{Z}^m$ . The statistical distance between  $D_{\mathbb{Z}^m, \sigma}$  and  $\mathbf{y} + D_{\mathbb{Z}^m, \sigma}$  is at most  $\Delta(D_{\mathbb{Z}^m, \sigma}, \mathbf{y} + D_{\mathbb{Z}^m, \sigma}) \leq m \cdot \frac{\|\mathbf{y}\|_\infty}{\sigma}$ .*

**Lemma 2.10** ([AKPW13, Lemma 2.7]). *Let  $p, q$  be positive integers such that  $p < q$ . Given  $R > 0$  an integer, the probability that there exists  $e \in [-R, R]$  such that  $\lfloor y \rfloor_p \neq \lfloor y + e \rfloor_p$ , when  $y \leftarrow U(\mathbb{Z}_q)$ , is smaller than  $\frac{2Rp}{q}$ .*

**Lemma 2.11.** *If  $q$  is prime and  $\mathcal{M}$  be a distribution over  $\mathbb{Z}_q^{m \times n}$ , and  $V$  a distribution over  $\mathbb{Z}_q^n$  such that  $\Delta(\mathcal{M}, U(\mathbb{Z}_q^{m \times n})) \leq \epsilon$ . We have  $\Delta(\mathcal{M} \cdot V, U(\mathbb{Z}_q^m)) \leq \epsilon + \alpha \cdot (1 - \frac{1}{q^m})$ , where  $\alpha := \Pr[V = \mathbf{0}]$ .*

*Proof.* Let  $\mathbf{M} \leftarrow U(\mathbb{Z}_q^{m \times n})$ . The statistical distance  $\Delta(\mathbf{M} \cdot V, U(\mathbb{Z}_q^m))$  equals

$$\frac{1}{2} \sum_{y \in \mathbb{Z}_q^m} \left| \Pr[\mathbf{M}V = y | V \neq \mathbf{0}] \cdot \Pr[V \neq \mathbf{0}] + \Pr[\mathbf{M}V = y | V = \mathbf{0}] \cdot \Pr[V = \mathbf{0}] - \frac{1}{q^m} \right|$$

By considering the sum for all terms such that  $y \neq \mathbf{0}$  and then  $y = \mathbf{0}$ , we have

$$2\Delta(\mathbf{M} \cdot V, U(\mathbb{Z}_q^m)) = (q^m - 1) \left| \frac{1}{q^m} (1 - \alpha) - \frac{1}{q^m} \right| + \left| \frac{1}{q^m} (1 - \alpha) + \alpha - \frac{1}{q^m} \right|,$$

so that  $\Delta(\mathbf{M} \cdot V, U(\mathbb{Z}_q^m)) \leq \alpha \left(1 - \frac{1}{q^m}\right)$ . The claim follows from the triangle inequality:

$$\Delta(\mathcal{M} \cdot V, U(\mathbb{Z}_q^m)) \leq \Delta(\mathcal{M} \cdot V, \mathbf{M} \cdot V) + \Delta(\mathbf{M} \cdot V, U(\mathbb{Z}_q^m)).$$

□

**Lemma 2.12.** *Let  $M, m$  be positive integers,  $M = m \cdot q + r$  with  $0 \leq r < m$ . The statistical distance between the distributions  $(U(\mathbb{Z}_M) \bmod m)$  and  $U(\mathbb{Z}_m)$  is bounded by  $\Delta(U(\mathbb{Z}_M) \bmod m, U(\mathbb{Z}_m)) \leq \frac{r}{M}$ .*

*Proof.* Let  $M = mq + r$ , with  $0 \leq r < m$ . Observe that for  $i \in \mathbb{Z}_m$  we can compute the number of integers of the form  $i + jm$ , smaller than  $M - 1$ , by  $\lfloor \frac{M-1-i}{m} \rfloor + 1$  which is also equal to  $\lfloor q + \frac{r-1-i}{m} \rfloor + 1$ . So the probability of getting  $i \in \mathbb{Z}_m$  by sampling from  $U(\mathbb{Z}_M) \bmod m$  is equal to  $\frac{q+1}{M}$  if  $i < r$  or equal to  $\frac{q}{M}$  if  $i \geq r$ . So the statistical distance that we want to evaluate is equal to:

$$\Delta = \frac{1}{2} \left( \sum_{i < r} \left| \frac{q+1}{M} - \frac{1}{m} \right| + \sum_{i \geq r} \left| \frac{q}{M} - \frac{1}{m} \right| \right) = \frac{r(m-r)}{Mm} \leq \frac{r}{M}.$$

□

**Lemma 2.13.** *Let  $a, b, c \in \mathbb{Z}$  such that  $b > a$ . We have  $\Delta(U_{[a,b]}, U_{c+[a,b]}) \leq \frac{|c|}{b-a}$ , where  $U_{[\alpha,\beta]}$  is the uniform distribution on  $[\alpha, \beta] \cap \mathbb{Z}$ .*

**Lemma 2.14.** *For any  $\mathbf{A} \in \mathbb{R}^{m \times n}$ , let  $\alpha := \max_{i,j} |a_{i,j}|$ . Then, we have the inequality  $\det(\mathbf{A}\mathbf{A}^\top) \leq (n \cdot \alpha^2)^m$ .*

*Proof.* Since  $\mathbf{A}\mathbf{A}^\top \in \mathbb{R}^{m \times m}$  is positive definite, we know that it has positive eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_m \geq 0$ . By the mean inequality, we have  $\sqrt[m]{\lambda_1 \lambda_2 \cdots \lambda_m} \leq \frac{\lambda_1 + \cdots + \lambda_m}{m}$ . This can be interpreted as  $\det(\mathbf{A}\mathbf{A}^\top) \leq \left( \frac{\text{Tr} \mathbf{A}\mathbf{A}^\top}{m} \right)^m$  and the right hand side term can be bounded by  $(n\alpha^2)^m$ . □

**Lemma 2.15.** *Let  $\ell_0 < \ell$  and a full rank matrix  $\mathbf{Y} \in \mathbb{Z}^{\ell_0 \times \ell}$  such that  $|y_{i,j}| \leq Y$  and  $\mathbf{z} \in \mathbb{Z}^{\ell_0}$ . If the system  $\mathbf{Y} \cdot \mathbf{x} = \mathbf{z}$  has an integer solution, then there exists an efficient algorithm that computes a solution  $\mathbf{x} \in \mathbb{Z}^\ell$  such that:*

$$\|\mathbf{x}\|_\infty \leq \|\mathbf{z}\|_\infty \cdot \ell_0 \cdot (Y\sqrt{\ell_0})^{\ell_0} + (\ell - \ell_0) \cdot (Y\sqrt{\ell_0})^{\ell_0}.$$

*Proof.* We assume w.l.o.g. that  $\mathbf{Y} = [\mathbf{A}|\mathbf{B}]$ , for a full rank matrix  $\mathbf{A} \in \mathbb{Z}^{\ell_0 \times \ell_0}$  and for some  $\mathbf{B} \in \mathbb{Z}^{\ell_0 \times (\ell - \ell_0)}$  such that  $\max_{i,j} |a_{i,j}| \leq Y$  and  $\max_{i,j} |b_{i,j}| \leq Y$ . Denoting  $\mathbf{x}^\top = [\mathbf{x}_0^\top, \mathbf{x}_1^\top]$ , with  $\mathbf{x}_0 \in \mathbb{Z}^{\ell_0}$  and  $\mathbf{x}_1 \in \mathbb{Z}^{\ell - \ell_0}$ , the system is equivalent to  $\mathbf{A} \cdot \mathbf{x}_0 = \mathbf{z} - \mathbf{B} \cdot \mathbf{x}_1$  which is the same as

$$\mathbf{x}_0 = \frac{1}{\det \mathbf{A}} \cdot (\text{adj}(\mathbf{A}) \cdot \mathbf{z} - \text{adj}(\mathbf{A}) \cdot \mathbf{B} \cdot \mathbf{x}_1) \in \mathbb{Z}^{\ell_0},$$

where  $\text{adj}(\mathbf{A}) \in \mathbb{Z}^{\ell_0 \times \ell_0}$  denotes the adjugate matrix. Since the system has an integer solution, there must exist  $\mathbf{x}_1 \in \mathbb{Z}^{\ell - \ell_0}$  such that:

$$\text{adj}(\mathbf{A}) \cdot \mathbf{B} \cdot \mathbf{x}_1 = \text{adj}(\mathbf{A}) \cdot \mathbf{z} \bmod \det \mathbf{A}.$$

By solving the above modular linear system, we get a vector  $\mathbf{x}_1 \in \mathbb{Z}^{\ell - \ell_0}$  such that  $\|\mathbf{x}_1\|_\infty < |\det \mathbf{A}|$ . By Lemma 2.14 we know that  $|\det \mathbf{A}| \leq (Y\sqrt{\ell_0})^{\ell_0}$ , so  $\|\mathbf{x}_1\|_\infty < (Y\sqrt{\ell_0})^{\ell_0}$ .

Moreover, such an  $\mathbf{x}_1 \in \mathbb{Z}^{\ell-\ell_0}$  implies that  $\|\mathbf{x}_0\|_\infty \leq \|\text{adj}(\mathbf{A}) \cdot \mathbf{z}\|_\infty + \|\text{adj}(\mathbf{A}) \cdot \mathbf{B}\|_\infty$ . Since the entries of the matrix  $\text{adj}(\mathbf{A})$  are  $(\ell_0 - 1)$ -minors of the matrix  $\mathbf{A}$  we can use Lemma 2.14 to obtain:  $\|\text{adj}(\mathbf{A}) \cdot \mathbf{z}\|_\infty \leq \ell_0 \cdot \left(Y \cdot \sqrt{\ell_0 - 1}\right)^{\ell_0 - 1} \cdot \|\mathbf{z}\|_\infty$ .

Notice that the entry at the intersection of row  $i \in [\ell_0]$  and column  $j \in [\ell - \ell_0]$  of the matrix  $\text{adj}(\mathbf{A}) \cdot \mathbf{B} \in \mathbb{Z}^{\ell_0 \times (\ell - \ell_0)}$  is equal to the determinant of the matrix  $\mathbf{A}$  whose  $i$ -th column is replaced by the  $\mathbf{b}_j \in \mathbb{Z}^{\ell_0}$ , the  $j$ -th column in  $\mathbf{B}$ . Thus each entry of  $\text{adj}(\mathbf{A}) \cdot \mathbf{B} \in \mathbb{Z}^{\ell_0 \times (\ell - \ell_0)}$  is a  $\ell_0 \times \ell_0$  determinant. Thus, by Lemma 2.14, we also have the bound

$$\|\text{adj}(\mathbf{A}) \cdot \mathbf{B}\|_\infty \leq (\ell - \ell_0) \cdot (\sqrt{\ell_0} Y)^{\ell_0}.$$

□

## 2.4 Admissible Hash Functions

Admissible hash functions were introduced by Boneh and Boyen [BB04] as a combinatorial tool for partitioning-based security proofs for which Freire *et al.* gave a simplified definition [FHPS13]. Jager [Jag15] considered the following generalization in order to simplify the analysis of reductions under decisional assumption.

**Definition 2.1** ([Jag15]). *Let  $\ell(\lambda), L(\lambda) \in \mathbb{N}$  be functions of a security parameter  $\lambda \in \mathbb{N}$ . Let  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$  be an efficiently computable function. For every  $K \in \{0, 1, \perp\}^L$ , let the partitioning function  $P_K : \{0, 1\}^\ell \rightarrow \{0, 1\}$  be defined as*

$$P_K(X) := \begin{cases} 0 & \text{if } \forall i \in [L] \ (\text{AHF}(X)_i = K_i) \vee (K_i = \perp) \\ 1 & \text{otherwise} \end{cases}$$

We say that  $\text{AHF}$  is a **balanced admissible hash function** if there exists an efficient algorithm  $\text{AdmSmp}(1^\lambda, Q, \delta)$  that takes as input  $Q \in \text{poly}(\lambda)$  and a non-negligible  $\delta(\lambda) \in (0, 1]$  and outputs a key  $K \in \{0, 1, \perp\}^L$  such that, for all  $X^{(1)}, \dots, X^{(Q)}, X^* \in \{0, 1\}^\ell$  such that  $X^* \notin \{X^{(1)}, \dots, X^{(Q)}\}$ , we have

$$\gamma_{\max}(\lambda) \geq \Pr_K [P_K(X^{(1)}) = \dots = P_K(X^{(Q)}) = 1 \wedge P_K(X^*) = 0] \geq \gamma_{\min}(\lambda),$$

where  $\gamma_{\max}(\lambda)$  and  $\gamma_{\min}(\lambda)$  are functions such that

$$\tau(\lambda) = \gamma_{\min}(\lambda) \cdot \delta(\lambda) - \frac{\gamma_{\max}(\lambda) - \gamma_{\min}(\lambda)}{2}$$

is a non-negligible function of  $\lambda$ .

Intuitively, the condition that  $\tau(\lambda)$  be non-negligible requires  $\gamma_{\min}(\lambda)$  to be noticeable and the difference of  $\gamma_{\max}(\lambda) - \gamma_{\min}(\lambda)$  to be small.

It is known [Jag15] that balanced admissible hash functions exist for  $\ell, L = \Theta(\lambda)$ .

**Theorem 2.16** ([Jag15, Theorem 1]). *Let  $(C_\ell)_{\ell \in \mathbb{N}}$  be a family of codes  $C_\ell : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$  with minimal distance  $c \cdot L$  for a constant  $c \in (0, 1/2)$ . Then,  $(C_\ell)_{\ell \in \mathbb{N}}$  is a family of balanced admissible hash functions. Moreover,  $\text{AdmSmp}(1^\lambda, Q, \delta)$  outputs a key  $K \in \{0, 1, \perp\}^L$  for which  $\eta = \lfloor \frac{\ln(2Q+Q/\delta)}{-\ln(1-c)} \rfloor$  components are not  $\perp$  and*

$$\gamma_{\max} = 2^{-\eta}, \quad \gamma_{\min} = (1 - Q(1 - c))^\eta \cdot 2^{-\eta},$$

so that  $\tau = (2\delta - (2\delta + 1) \cdot Q \cdot (1 - c)^\eta) / 2^{\eta+1} \geq \delta$  is a non-negligible function of  $\lambda$ .

**Lemma 2.17** ([KY16, Lemma 8], [ABB10, Lemma 28]). *Let  $K \leftarrow \text{AdmSmp}(1^\lambda, Q, \delta)$ , an input space  $\mathcal{X}$  and the mapping  $\gamma$  that maps a  $(Q + 1)$ -uple  $(X^*, X_1, \dots, X_Q)$  in  $\mathcal{X}^{Q+1}$  to a probability value in  $[0, 1]$ , given by:*

$$\gamma(X^*, X_1, \dots, X_Q) := \Pr_K [P_K(X^{(1)}) = \dots = P_K(X^{(Q)}) = 1 \wedge P_K(X^*) = 0].$$

We consider the following experiment where we first execute the PRF security game, in which the adversary eventually outputs a guess  $\hat{b} \in \{0, 1\}$  of the challenger's bit  $b \in \{0, 1\}$  and wins with advantage  $\varepsilon$ . We denote by  $X^* \in \mathcal{X}$  the challenge input and  $X_1, \dots, X_Q \in \mathcal{X}$  the evaluation queries. At the end of the game, we flip a fair random coin  $b'' \leftarrow U(\{0, 1\})$ . If the condition  $P_K(X^{(1)}) = \dots = P_K(X^{(Q)}) = 1 \wedge P_K(X^*) = 0$  is satisfied we define  $b' = \hat{b}$ . Otherwise, we define  $b' = b''$ . Then, we have

$$|\Pr[b' = b] - 1/2| \geq \gamma_{\min} \cdot \varepsilon - \frac{\gamma_{\max} - \gamma_{\min}}{2},$$

where  $\gamma_{\min}$  and  $\gamma_{\max}$  are the maximum and minimum of  $\gamma(\mathbb{X})$  for any  $\mathbb{X} \in \mathcal{X}^{Q+1}$ .

## 2.5 (Deterministic) Randomness Extractors

Informally, a *randomness extractor* is a family of efficiently computable and efficiently sampleable hash functions  $\mathcal{H}$ , such that when we apply a uniformly sampled  $h \leftarrow U(\mathcal{H})$  on a "random enough" source  $X$ , the resulting distribution,  $h(X)$ , is statistically close to the uniform distribution. An example of such a family is given by the Leftover Hash Lemma, which is given below.

**Lemma 2.18.** *Let integers  $m, n, \ell$  such that  $m > 2(n + \ell) \cdot \log q$ , for some prime  $q > 2$ . Let  $\mathbf{B}, \tilde{\mathbf{B}} \leftarrow U(\mathbb{Z}_q^{m \times \ell})$  and  $\mathbf{R} \leftarrow U(\{-1, 1\}^{m \times m})$ . For any matrix  $\mathbf{F} \in \mathbb{Z}_q^{m \times n}$ , the distributions  $(\mathbf{B}, \mathbf{R} \cdot \mathbf{B}, \mathbf{R} \cdot \mathbf{F})$  and  $(\mathbf{B}, \tilde{\mathbf{B}}, \mathbf{R} \cdot \mathbf{F})$  are within  $2^{-\Omega(n)}$  statistical distance.*

Notice that in the case of the Leftover Hash Lemma, we need to sample a fresh hash function for every new source that we want to use. Also the distribution of the source must be independent of the hash function. A *deterministic extractor* refers to a function that works as an extractor for a large collection of sources. Also the source may depend on the function, as long as it has the right amount of entropy. It turns out that a uniformly sampled function from a family of  $\xi$ -wise independent functions is a good deterministic extractor.

**Definition 2.2.** A family  $\mathcal{F} \subset \{f : \{0, 1\}^{\bar{m}} \rightarrow \{0, 1\}^{\bar{k}}\}$  of efficiently sampleable and efficiently computable functions is called  $\xi$ -wise independent if for any  $x_1, x_2, \dots, x_\xi \in \{0, 1\}^{\bar{m}}$  and  $y_1, y_2, \dots, y_\xi \in \{0, 1\}^{\bar{k}}$  we have:

$$\Pr_{f \leftarrow U(\mathcal{F})} [(f(x_1) = y_1) \wedge (f(x_2) = y_2) \wedge \dots \wedge (f(x_\xi) = y_\xi)] = \prod_{i=1}^{\xi} \Pr_{f \leftarrow U(\mathcal{F})} [f(x_i) = y_i]$$

It is well-known that  $\xi$ -wise independent functions can be obtained by choosing random polynomials of degree  $\xi - 1$  over the finite field with  $2^{\bar{m}}$  elements,  $\mathbb{F}_{2^{\bar{m}}}$ , (which cost  $O(\xi \bar{m})$  bits to describe) and truncating their evaluations to their first  $\bar{k}$  bits.

The following Lemma gives the concrete parameters when working with deterministic extractors for a finite collection  $\mathcal{X}$  of distribution of high enough min-entropy.

**Lemma 2.19** ([Dod00, Corollary 3]). Fix any integers  $\bar{n}$ ,  $m$ ,  $M$ , any real  $\varepsilon < 1$  and any collection  $\mathcal{X}$  of  $M$  distributions over  $\{0, 1\}^{\bar{m}}$  of min-entropy  $\bar{n}$  each. Define

$$\xi = \bar{n} + \log M, \quad \bar{k} = \bar{n} - \left(2 \log \frac{1}{\varepsilon} + \log \log M + \log \bar{n} + O(1)\right),$$

and let  $\mathcal{F}$  be any family of  $\xi$ -wise independent functions from  $\bar{m}$  bits to  $\bar{k}$  bits. With probability at least  $(1 - 1/M)$ , a random function  $f \leftarrow U(\mathcal{F})$  is a good deterministic extractor for the collection  $\mathcal{X}$ . Namely, the distribution  $f(X)$  is  $\varepsilon$ -close to  $U(\{0, 1\}^{\bar{k}})$  for any distribution  $X \in \mathcal{X}$ .

$$\Pr_{f \leftarrow U(\mathcal{F})} \left[ \Delta(f(X), U(\{0, 1\}^{\bar{k}})) \leq \varepsilon \text{ for all } X \in \mathcal{X} \right] \geq 1 - \frac{1}{M}$$

## 2.6 Secret Sharing

In a secret sharing scheme, a dealer distributes individual shares to a set of shareholders such that certain qualified subsets can reconstruct the secret, while the forbidden sets learn nothing about it. We are concerned only with schemes where the collection of qualified sets, which is also called the access structure, is given by all the sets of cardinality greater than some given threshold  $t$ .

### 2.6.1 Shamir's Secret Sharing Scheme

For  $t \leq N$  we recall the  $(t, N)$ -threshold secret sharing scheme from [Sha79], which is used to secret share  $k \in \mathbb{F}_q$  among  $N$  players, such that any  $t$  of them can jointly reconstruct the secret, but any set less than  $t$  learn nothing about the secret.

To this end, the dealer samples a uniform polynomial  $p(z) \in \mathbb{F}_q[z]$ , of degree  $t - 1$  such that  $p(0) = k$ . The shares are computed as  $k_i = p(i) \in \mathbb{F}_q$ , for all  $i \in [N]$ .



We write  $(k_1, k_2, \dots, k_N) \leftarrow \text{ShamirSS}(t, N, k)$  to specify that the  $k_i$ 's are obtained as described above.

By polynomial interpolation, it is possible to reconstruct the degree  $t-1$  secret polynomial  $p(z) \in \mathbb{F}_q[z]$  from any subset of shares  $\{k_i\}_{i \in \mathcal{S}}$ ,  $|\mathcal{S}| = t$ . In particular, we are able to recover the initial secret  $k \in \mathbb{F}_q$ , as follows:

- Compute the reconstruction coefficients  $\lambda_{i, \mathcal{S}} \in \mathbb{F}_q$ , that can be obtained just by knowing the set  $\mathcal{S}$ :

$$\lambda_{i, \mathcal{S}} = \prod_{k \in \mathcal{S} \setminus \{i\}} \frac{k}{k - i} \in \mathbb{F}_q$$

- Output  $k := \sum_{i \in \mathcal{S}} k_i \cdot \lambda_{i, \mathcal{S}} \in \mathbb{F}_q$

### 2.6.2 Linear Integer Secret Sharing (LISS)

This section recalls the concept of linear integer secret sharing (LISS), as defined by Damgård and Thorbek [DT06]. The definitions below are taken from [Tho09] where the secret to be shared lives in an interval  $[-2^l, 2^l]$  centered in 0, for some  $l \in \mathbb{N}$ .

**Definition 2.3.** A *monotone access structure* on  $[N]$  is a non-empty collection  $\mathbb{A}$  of sets  $A \subseteq [N]$  such that  $\emptyset \notin \mathbb{A}$  and, for all  $A \in \mathbb{A}$  and all sets  $B$  such that  $A \subseteq B \subseteq [N]$ , we have  $B \in \mathbb{A}$ . For an integer  $t \in [N]$ , the *threshold- $t$  access structure*  $T_{t, N}$  is the collection of sets  $A \subseteq [N]$  such that  $|A| \geq t$ .

Let  $P = [N]$  be a set of shareholders. In a LISS scheme, a dealer  $D$  wants to share a secret  $s$  from a publicly known interval  $[-2^l, 2^l]$ . To this end,  $D$  uses a share generating matrix  $\mathbf{M} \in \mathbb{Z}^{d \times e}$  and a random vector  $\boldsymbol{\rho} = (s, \rho_2, \dots, \rho_e)^\top$ , where  $s$  is the secret to be shared  $\{\rho_i\}_{i=2}^e$  are chosen uniformly in  $[-2^{l_0+\lambda}, 2^{l_0+\lambda}]^e$ , for a large enough  $l_0 \in \mathbb{N}$ . The dealer  $D$  computes a vector  $\mathbf{s} = (s_1, \dots, s_d)^\top$  of share units as

$$\mathbf{s} = (s_1, \dots, s_d)^\top = \mathbf{M} \cdot \boldsymbol{\rho} \in \mathbb{Z}^d.$$

Each party in  $P = \{1, \dots, N\}$  is assigned a set of share units. Letting  $\psi : \{1, \dots, d\} \rightarrow P$  be a surjective function, the  $i$ -th share unit  $s_i$  is assigned to the shareholder  $\psi(i) \in P$ , in which case player  $\psi(i)$  is said to own the  $i$ -th row of  $\mathbf{M}$ . If  $A \subseteq P$  is a set of shareholders,  $M_A \in \mathbb{Z}^{d_A \times e}$  denotes the set of rows jointly owned by  $A$ . Likewise,  $\mathbf{s}_A \in \mathbb{Z}^{d_A}$  denotes the restriction of  $\mathbf{s} \in \mathbb{Z}^d$  to the coordinates jointly owned by the parties in  $A$ . The  $j$ -th shareholder's share consists of  $\mathbf{s}_{\psi^{-1}(j)} \in \mathbb{Z}^{d_j}$ , so that it receives  $d_j = |\psi^{-1}(j)|$  out of the  $d = \sum_{j=1}^n d_j$  share units. Sets  $A \in \mathbb{A}$  are called *qualified* and  $A \notin \mathbb{A}$  are called *forbidden*.

**Definition 2.4** ([DT06]). A LISS scheme is *correct* if the secret can be reconstructed from any set of shares  $\{s_i : i \in A\}$  where  $A$  is a qualified set of shareholders. The secret is computed as a linear integer combination of the shares, with the coefficients that depend only on the set  $A$ .

**Definition 2.5** ([DT06]). A LISS scheme is private if, for any two secrets  $s, s'$ , any independent random coins  $\boldsymbol{\rho} = (s, \rho_2, \dots, \rho_e)$ ,  $\boldsymbol{\rho}' = (s', \rho'_2, \dots, \rho'_e)$  and any forbidden set  $A$  of shareholders, the distributions  $s_A := M_A \cdot \boldsymbol{\rho}$  and  $s'_A := M_A \cdot \boldsymbol{\rho}'$  are  $2^{-\Omega(\lambda)}$  apart in terms of statistical distance.

Damgård and Thorbek [DT06] showed how to construct LISS schemes from integer span programs [CF02].

**Definition 2.6** ([CF02]). An integer span program (ISP) is a tuple  $\mathcal{M} = (\mathbf{M}, \psi, \boldsymbol{\varepsilon})$ , where  $\mathbf{M} \in \mathbb{Z}^{d \times e}$  is an integer matrix whose rows are labeled by a surjective function  $\psi : \{1, \dots, d\} \rightarrow \{1, \dots, N\}$  and  $\boldsymbol{\varepsilon} = (1, 0, \dots, 0)^\top \in \mathbb{Z}^e$  is called target vector. The size of  $\mathcal{M}$  is the number of rows  $d$  in  $\mathbf{M}$ .

**Definition 2.7.** Let  $\Gamma$  be a monotone access structure and let  $\mathcal{M} = (\mathbf{M}, \psi, \boldsymbol{\varepsilon})$  an integer span program. Then,  $\mathcal{M}$  is an ISP for  $\Gamma$  if it computes  $\Gamma$ : namely, for all  $A \subseteq \{1, \dots, N\}$ , the following conditions hold:

1. If  $A \in \Gamma$ , there exists a reconstruction vector  $\boldsymbol{\lambda}_A \in \mathbb{Z}^{d_A}$  such that  $\boldsymbol{\lambda}_A^\top \cdot \mathbf{M}_A = \boldsymbol{\varepsilon}^\top$ .
2. If  $A \notin \Gamma$ , there exists  $\boldsymbol{\kappa} = (\kappa_1, \dots, \kappa_e)^\top \in \mathbb{Z}^e$  such that  $\mathbf{M}_A \cdot \boldsymbol{\kappa} = \mathbf{0} \in \mathbb{Z}^{d_A}$  and  $\boldsymbol{\kappa}^\top \cdot \boldsymbol{\varepsilon} = 1$  (i.e.,  $\kappa_1 = 1$ ). In this case, the vector  $\boldsymbol{\kappa}$  is called a sweeping vector for  $A$ .

We also define  $\kappa_{\max} = \max\{|a| \mid a \text{ is an entry in some sweeping vector}\}$ .

Damgård and Thorbek showed [DT06] that, if we have an ISP  $\mathcal{M} = (\mathbf{M}, \psi, \boldsymbol{\varepsilon})$  that computes the access structure  $\Gamma$ , a statistically private LISS scheme for  $\Gamma$  can be obtained by using  $\mathbf{M}$  as the share generating matrix and setting  $l_0 = l + \lceil \log_2(\kappa_{\max}(e-1)) \rceil + 1$ , where  $l$  is the length of the secret.

A LISS scheme  $\mathcal{L} = (\mathcal{M} = (\mathbf{M}, \psi, \boldsymbol{\varepsilon}), \Gamma, \mathcal{R}, \mathcal{K})$  is thus specified by an ISP for the access structure  $\Gamma$ , a space  $\mathcal{R}$  of reconstruction vectors satisfying Condition 1 of Definition 2.7, and a space  $\mathcal{K}$  of sweeping vectors satisfying Condition 2.

**Lemma 2.20** ([Tho09, Lemma 3.1]). Let  $l_0 = l + \lceil \log_2(\kappa_{\max}(e-1)) \rceil + 1$ . If  $s \in [-2^l, 2^l]$  is the secret to be shared and  $\boldsymbol{\rho}$  is randomly sampled from  $[-2^{l_0+\lambda}, 2^{l_0+\lambda}]^e$  conditionally on  $\langle \boldsymbol{\rho}, \boldsymbol{\varepsilon} \rangle = s$ , the LISS scheme derived from  $\mathcal{M}$  is private. For any arbitrary  $s, s' \in [-2^l, 2^l]$  and any forbidden set of shareholders  $A \subset [N]$ , the two distributions  $\{s_A = M_A \cdot \boldsymbol{\rho} \mid \boldsymbol{\rho} \leftarrow U([-2^{l_0+\lambda}, 2^{l_0+\lambda}]^e) \text{ s.t. } \langle \boldsymbol{\rho}, \boldsymbol{\varepsilon} \rangle = s\}$ , and  $\{s'_A = M_A \cdot \boldsymbol{\rho} \mid \boldsymbol{\rho} \leftarrow U([-2^{l_0+\lambda}, 2^{l_0+\lambda}]^e) \text{ s.t. } \langle \boldsymbol{\rho}, \boldsymbol{\varepsilon} \rangle = s'\}$  are within statistical distance  $2^{-\lambda}$ .

### Benaloh-Leichter LISS

Damgård and Thorbek [DT06] generalized the Benaloh-Leichter secret sharing scheme [BL88], which initially could work only in finite abelian groups, in order to use it over the integers. They gave an ISP construction based on Benaloh-Leichter for any access structure corresponding to monotone boolean formulas. As seen

above, this implies LISS schemes for such access structures. Since monotone access structures are in one-to-one correspondence with monotone boolean formulas, their construction implies LISS schemes for any monotone access structure, but not necessarily efficient schemes. The details of the construction can be found in [DT06]. We present here only its properties.

For an access structure given by some monotone formula  $f$ , there is an efficiently computable distribution matrix  $\mathbf{M} \in \mathbb{Z}^{d \times e}$ , with  $d, e \leq \text{size}(f)$ . Moreover, the entries of the matrix  $\mathbf{M}$  are only 0 and 1, i.e.  $\mathbf{M} \in \{0, 1\}^{d \times e}$  and the number of non-zero entries from each row is less than  $\text{depth}(f)$ . The sweeping vectors live in  $\{-1, 0, 1\}^e$ .

Valiant's result [Val84] implies the existence of a monotone Boolean formula for the threshold- $t$  function  $T_{t,N}$ , which has size  $O(N^{5.3})$  and depth  $O(\log N)$ . This was improved by Hoory *et al.* [HHP06] who gave a monotone formula of size  $O(N^{1+\sqrt{2}})$  and depth  $O(\log N)$  for the majority function.<sup>1</sup>

In general, the size of one share unit is bounded by  $l_0 + \lambda + \log \text{depth}(f)$  bits. Since each player receives on average  $d/N$  share units, we estimate that the average size of a share to be  $O(\text{size}(f)/N \cdot (l_0 + \lambda + \log \text{depth}(f)))$  bits. When we instantiate the scheme for the threshold function  $T_{t,N}$  using the bounds from [HHP06], we get an average share size of  $O(N^{\sqrt{2}} \cdot (l_0 + \lambda + \log \log N))$  bits.

## 2.7 Hardness Assumptions

We define below the computational assumptions that we will use in the security proofs of this thesis.

### 2.7.1 Learning With Errors (LWE)

**Definition 2.8** ([Reg05]). *Let  $m \geq n \geq 1$ ,  $q \geq 2$  and  $\alpha \in (0, 1)$  be functions of a security parameter  $\lambda$ . The LWE problem consists in distinguishing between the distributions  $(\mathbf{A}, \mathbf{A}\mathbf{s} + \mathbf{e})$  and  $U(\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m)$ , where  $\mathbf{A} \sim U(\mathbb{Z}_q^{m \times n})$ ,  $\mathbf{s} \sim U(\mathbb{Z}_q^n)$  and  $\mathbf{e} \sim D_{\mathbb{Z}^m, \alpha q}$ . For an algorithm  $\mathcal{A} : \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m \rightarrow \{0, 1\}$ , we define:*

$$\text{Adv}_{q,m,n,\alpha}^{\text{LWE}}(\mathcal{A}) = |\Pr[\mathcal{A}(\mathbf{A}, \mathbf{A}\mathbf{s} + \mathbf{e}) = 1] - \Pr[\mathcal{A}(\mathbf{A}, \mathbf{u}) = 1]|,$$

where the probabilities are over  $\mathbf{A} \sim U(\mathbb{Z}_q^{m \times n})$ ,  $\mathbf{s} \sim U(\mathbb{Z}_q^n)$ ,  $\mathbf{u} \sim U(\mathbb{Z}_q^m)$  and  $\mathbf{e} \sim D_{\mathbb{Z}^m, \alpha q}$  and the internal randomness of  $\mathcal{A}$ . We say that  $\text{LWE}_{q,m,n,\alpha}$  is hard if for all PPT algorithm  $\mathcal{A}$ , the advantage  $\text{Adv}_{q,m,n,\alpha}^{\text{LWE}}(\mathcal{A})$  is negligible.

<sup>1</sup>Note that a threshold- $t$  function can be obtained from the majority function by fixing the desired number of input bits, so that we need a majority function of size  $\leq 2N$  to construct a threshold function  $T_{t,N}$ .

### 2.7.2 Decisional Diffie Hellman (DDH)

**Definition 2.9.** In a cyclic group  $\mathbb{G}$  of prime order  $p$ , with a generator  $g$ , the Decision Diffie-Hellman Problem in  $\mathbb{G}$ , is to distinguish the following distributions:

$$\{(g, g^a, g^b, g^{ab}) : a, b, c \leftarrow U(\mathbb{Z}_p)\} \text{ and } \{(g, g^a, g^b, g^c) : a, b, c \leftarrow U(\mathbb{Z}_p)\}.$$

The Decision Diffie-Hellman assumption (DDH) in a group  $G$ , generated by  $g$ , is that the above distributions are computationally indistinguishable. For any PPT adversary  $\mathcal{A}$  we define the advantage:

$$\text{Adv}_{\mathcal{A}}^{\text{DDH}}(\lambda) = \left| \Pr[\mathcal{A}(g, g^a, g^b, g^{ab}) = 1] - \Pr[\mathcal{A}(g, g^a, g^b, g^c) = 1] \right|,$$

where probabilities are taken over  $a, b, c \leftarrow U(\mathbb{Z}_p)$  and the internal randomness of  $\mathcal{A}$ . The DDH assumption holds if for any PPT adversary  $\mathcal{A}$  the advantage is negligible.

### 2.7.3 Decisional Composite Residuosity (DCR)

**Definition 2.10** ([Pai99]). Let  $p, q$  be prime numbers and  $N = pq$ . The Decision Composite Residuosity (DCR) assumption states that the following two distributions are computationally indistinguishable:

$$\{t_0^N \bmod N^2 \mid t_0 \leftarrow U(\mathbb{Z}_N^*)\} \stackrel{c}{\approx} \{t \mid t \leftarrow U(\mathbb{Z}_{N^2}^*)\}$$

## 2.8 Pseudo-Random Functions (PRFs)

The standard security definition for a pseudo-random function requires that the adversary is unable to distinguish an oracle that always outputs function evaluations values from an oracle that outputs truly random values.

**Definition 2.11** (Real-or-random security). Let  $\lambda$  be a security parameter. Let  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  be an efficiently computable function and  $\mathcal{Y}^{\mathcal{X}}$  be the set of all functions that map  $\mathcal{X}$  to  $\mathcal{Y}$ . The advantage of a PRF distinguisher  $\mathcal{A}$ , making  $Q$  evaluation queries is defined as:

$$\text{Adv}_{\mathcal{A}, Q}^{\text{PRF}}(\lambda) := \left| \Pr[\mathcal{A}^{F(K, \cdot)}(1^\lambda) = 1 \mid K \leftarrow \mathcal{K}] - \Pr[\mathcal{A}^{R(\cdot)}(1^\lambda) = 1 \mid R \leftarrow U(\mathcal{Y}^{\mathcal{X}})] \right|,$$

where the probability is taken over all coin tosses. The function  $F$  is called pseudo-random if for any PPT adversary  $\mathcal{A}$  the advantage  $\text{Adv}_{\mathcal{A}, Q}^{\text{PRF}}(\lambda)$  is negligible.

In some cases, it is convenient to work with the following equivalent definition.

**Definition 2.12** (Find-then-guess security). Let  $\lambda$  be a security parameter. A function  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  is a secure PRF if every PPT adversary  $\mathcal{A}$  has only negligible advantage in the following game:

**Init.** The challenger initially chooses a random key  $K \leftarrow \mathcal{K}$  and also flips a random coin  $b \leftarrow U(\{0, 1\})$ .

**Queries 1.** On polynomially-many occasions, the adversary  $\mathcal{A}$  chooses an arbitrary input  $X \in \mathcal{X}$  and the challenger returns  $F(K, X)$ . These queries may be adaptive and depend on responses to previous queries.

**Challenge.** The adversary chooses an input  $X^* \in \mathcal{X}$  that differs from all queries of the previous stage. The challenger computes  $Y_1 = F(K, X^*)$  and randomly samples  $Y_0 \leftarrow \mathcal{Y}$ . Then, it returns  $Y_b$  to the adversary.

**Queries 2.** The adversary adaptively makes another series of queries for arbitrary inputs  $X \neq X^*$ .

**Guess.** The adversary outputs a bit  $\hat{b} \in \{0, 1\}$  and wins if  $\hat{b} = b$ . Its advantage is defined as

$$\text{Adv}_{\mathcal{A}}^{\text{FG}}(\lambda) := |\Pr[\hat{b} = b] - 1/2| = \frac{1}{2} \cdot |\Pr[\hat{b} = 1 \mid b = 1] - \Pr[\hat{b} = 1 \mid b = 0]|.$$

A standard hybrid argument over evaluation queries shows that – up to a multiplicative gap  $\Theta(Q)$  between the advantage functions, where  $Q$  is the number of evaluation queries – find-then-guess security is equivalent to real-or-random security.

*Chapter*

# 3

## ***Adaptively Secure Distributed PRFs from LWE***

In this chapter we present our contribution from [LST18], which gives the first non-interactive construction of a Distributed Pseudo-Random Function family that simultaneously achieves security against adaptive corruptions. The security proof relies on the LWE assumption with super-polynomial modulus, without the use of random oracles. All lattice-based PRFs [BPR12, BLMR13, BP14], that use the rounding technique of [BPR12], suffer from this, including our construction.

The main insight of our result is a new security proof for the lattice-based Key-Homomorphic PRF of [BLMR13], which gives a reduction that knows the secret key of the PRF. This property carries over to the distributed case, where the reduction knows all the secret keys corresponding to each server. Hence, it can consistently answer both evaluation and corruption queries that the adversary adaptively makes. The result is not generic, as it cannot be applied to any Key-Homomorphic PRF. Instead we exploit the particular functionality of the [BLMR13] PRF.

## Organization

In section 3.1 we give the formal syntax and security definitions for DPRFs. Next, in section 3.2 we show that the adaptive security model is strictly stronger than the static corruption model, emphasizing that obtaining a DPRF construction secure under adaptive corruptions is non-trivial. Section 3.3 presents the starting point of our results, namely the generic construction based on KH-PRFs from [BLMR13], that gives statically secure DPRFs. Before we present the adaptively secure DPRF in section 3.5.1, we first discuss its centralized version, for a better understanding of our main results. We end the discussion about DPRFs with section 3.6 by showing how to use homomorphic signatures to upgrade the scheme to satisfy robustness against faulty servers.

## 3.1 Definitions

In this section we give the DPRF syntax along with both the adaptive and the static security model.

### 3.1.1 Distributed Pseudo-Random Functions (DPRFs)

**Definition 3.1** (DPRFs). *A distributed pseudo-random function (DPRF) is a tuple of efficient algorithms (Setup, Keygen, Share, PEval, Eval, Combine) with the following specification.*

**Setup**( $1^\lambda, 1^\ell, 1^t, 1^N$ ): *Takes as input a security parameter  $1^\lambda$ , a number of servers  $1^N$ , a threshold  $1^t$  and a desired input length  $1^\ell$  and outputs public parameters  $pp$ . It also specifies the key space  $\mathcal{K}$ , the domain  $\mathcal{X} := \{0, 1\}^\ell$  and the range  $\mathcal{Y}$  of the evaluation function.*

**Keygen**( $pp$ ): *Takes as input the public parameters  $pp$  and returns a secret evaluation key  $SK \in \mathcal{K}$ .*

**Share**( $pp, SK$ ): *The key sharing algorithm inputs a random master secret key  $SK \in \mathcal{K}$  and outputs a tuple of shares  $(SK_1, \dots, SK_N) \in \mathcal{K}^N$ , which form a  $(t, N)$ -threshold secret sharing of  $SK$ .*

**PEval**( $pp, SK_i, X$ ): *Takes as input the public parameters, a share of the secret key and input  $X \in \mathcal{X}$ . It outputs a partial evaluation  $Y_i \in \mathcal{Y}$ .*

**Eval**( $pp, SK, X$ ): *Takes as input the public parameters, the master secret key and input  $X \in \mathcal{X}$ . It outputs the evaluation  $Y \in \mathcal{Y}$ .*

**Combine**( $\mathcal{S}, (Y_{i_1}, Y_{i_2}, \dots, Y_{i_t})$ ): *Takes as input a  $t$ -subset  $\mathcal{S} \subset [N]$ , a set  $\{Y_i\}_{i \in \mathcal{S}}$  of  $t$  partial evaluations and returns an evaluation  $Y \in \mathcal{Y}$ .*

**Correctness.** We require that for  $\text{pp} \leftarrow \text{Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$ ,  $SK \leftarrow \text{Keygen}(\text{pp})$ ,  $(SK_1, SK_2, \dots, SK_N) \leftarrow \text{Share}(\text{pp}, SK)$ , for any message  $X \in \mathcal{X}$ ,  $Y \leftarrow \text{Eval}(\text{pp}, SK, X)$ ,  $\{Y_j \leftarrow \text{PEval}(\text{pp}, SK_j, X)\}_{j=1}^N$  and any subset  $\mathcal{S} \subset [N]$  such that  $|\mathcal{S}| = t$  there exists a negligible function  $\text{negl}$  such that:

$$\Pr[\text{Combine}(\mathcal{S}, \{Y_i\}_{i \in \mathcal{S}}) \neq Y] \leq \text{negl}(\lambda)$$

### 3.1.2 Security

We say that a DPRF provides *adaptive security* if it remains secure against an adversary that can corrupt users in an adaptive manner. In particular, the adversary can arbitrarily interleave evaluation and corruption queries. The formal definition is the following.

**Definition 3.2** (Adaptive DPRF security). *Let  $\lambda$  be a security parameter and let integers  $\ell, t, N \in \text{poly}(\lambda)$ . We say that the DPRF is secure under adaptive corruptions if no PPT adversary has non-negligible advantage in the following game:*

1. *The challenger generates  $\text{pp} \leftarrow \text{Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  and a secret key  $SK \leftarrow \text{Keygen}(\text{pp})$ , which is broken into  $N$  shares  $(SK_1, \dots, SK_N) \leftarrow \text{Share}(SK)$ . It also initializes the empty set  $\mathcal{C} \leftarrow \emptyset$  and flips a random coin  $b \leftarrow U(\{0, 1\})$ . The public parameters are given to the adversary.*
2. *The adversary  $\mathcal{A}$  adaptively interleaves the following kinds of queries.*

**Corruption:**  $\mathcal{A}$  chooses an index  $i \in [N] \setminus \mathcal{C}$ . The challenger returns  $SK_i$  to  $\mathcal{A}$  and sets  $\mathcal{C} := \mathcal{C} \cup \{i\}$ .

*It is required that  $|\mathcal{C}| < t$  at any time.*

**Evaluation:**  $\mathcal{A}$  chooses a pair  $(i, X) \in [N] \times \mathcal{X}$ , the challenger returns  $Y_i = \text{PEval}(SK_i, X)$ .

3. *The adversary chooses an input  $X^*$  such that  $|\mathcal{C}^*| < t$ , where  $\mathcal{C}^*$  is the set of indices that were corrupted by  $\mathcal{A}$  or such that an evaluation query of the form  $(i, X^*)$  was made. At this point, the challenger randomly samples  $Y_0^* \leftarrow U(\mathcal{Y})$  and computes  $Y_1^* = \text{Eval}(SK, X^*)$ . Then, it returns  $Y_b^*$  to the adversary.*
4. *The adversary  $\mathcal{A}$  adaptively makes more queries as in Stage 2 under the restriction that, at any time, we should have  $|\mathcal{C}^*| < t$ .*
5.  *$\mathcal{A}$  outputs a bit  $\hat{b} \in \{0, 1\}$  and wins if  $\hat{b} = b$ . Its advantage is defined to be  $\text{Adv}_{\mathcal{A}}^{\text{DPRF}}(\lambda) := |\Pr[\hat{b} = b] - 1/2|$ .*

We also recall the *static corruption* security model, that was used in [BLMR13], in which the adversary has to announce the set of corruptions at the start of the attack, before making any evaluation queries.



**Definition 3.3** (Static DPRF security). *Let  $\lambda$  be a security parameter and let integers  $\ell, t, N \in \text{poly}(\lambda)$ . We say that the DPRF is pseudo-random under static corruptions if no PPT adversary has non-negligible advantage in the following game:*

1. *The challenger generates  $\text{pp} \leftarrow \text{Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  and a secret key  $SK \leftarrow \text{Keygen}(\text{pp})$ , which is broken into  $N$  shares  $(SK_1, \dots, SK_N) \leftarrow \text{Share}(SK)$ . The public parameters are given to the adversary. It also initializes the empty set  $\mathcal{V} \leftarrow \emptyset$  and flips a random coin  $b \leftarrow U(\{0, 1\})$ .*
2. *The adversary  $\mathcal{A}$  chooses the set of corruptions  $\mathcal{C}^* := \{i_1, i_2, \dots, i_{t-1}\}$  and the challenger responds with  $\{SK_{i_1}, SK_{i_2}, \dots, SK_{i_{t-1}}\}$ .*
3. *The adversary adaptively makes evaluation queries  $X$  to which the challenger answers with  $\{Y_i = \text{PEval}(SK_i, X)\}_{i \in [N] \setminus \mathcal{C}^*}$  and sets  $\mathcal{V} := \mathcal{V} \cup \{X\}$ .*
4. *The adversary chooses an input  $X^*$  that was never queried before, i.e.  $X^* \notin \mathcal{V}$ . At this point, the challenger randomly samples  $Y_0^* \leftarrow U(\mathcal{Y})$  and computes  $Y_1^* = \text{Eval}(SK, X^*)$ . Then, it returns  $Y_b^*$  to the adversary.*
5. *The adversary  $\mathcal{A}$  adaptively makes more queries as in Stage 3 under the restriction that, at any time, we should have  $X^* \notin \mathcal{V}$ .*
6.  *$\mathcal{A}$  outputs a bit  $\hat{b} \in \{0, 1\}$  and wins if  $\hat{b} = b$ . Its advantage is defined to be  $\text{Adv}_{\mathcal{A}}^{\text{DPRF}}(\lambda) := |\Pr[\hat{b} = b] - 1/2|$ .*

## 3.2 Adaptive vs Static Corruptions

This section gives a separation between Definition 3.2 and the definition of static security used in [BLMR13] (which is recalled in Definition 3.3). It is well-known that static security does not imply adaptive security in distributed threshold protocols (see, e.g., [CDD<sup>+</sup>99]). In the case of DPRFs, we show that allowing even a *single* evaluation query before any corruption query already gives a stronger game-based definition than Definition 3.3.

**Theorem 3.1.** *For any  $t, N \in \text{poly}(\lambda)$  such that  $t < N/2$ , there exists a DPRF which is secure in the sense of Definition 3.3 but insecure in the sense of Definition 3.2.*

*Proof.* Let  $\Pi = (\text{Setup}, \text{Keygen}, \text{Share}, \text{PEval}, \text{Eval}, \text{Combine})$  be a DPRF family which provides static security as captured by Definition 3.3. We assume that secret keys live in a large finite field  $\mathbb{F}_p$  (the key-homomorphic-based constructions implied by [BLMR13, BP14] can be modified to satisfy this). We modify the DPRF family  $\Pi$  into:

$\Pi^* = (\text{Setup}^*, \text{Keygen}^*, \text{Share}^*, \text{PEval}^*, \text{Eval}^*, \text{Combine}^*)$  which is insecure in the experiment of Definition 3.2 but remains secure under Definition 3.3 for the same threshold  $t$ . The construction  $\Pi^*$  goes as follows.

**Setup\*** ( $1^\lambda, 1^\ell, 1^t, 1^N$ ): Run  $\text{pp} \leftarrow \text{Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  and output  $\text{pp}$ .

**Keygen\*** ( $\text{pp}$ ): Run  $SK \leftarrow \text{Keygen}(\text{pp})$ .

**Share\*** ( $\text{pp}, SK$ ): Given  $SK = k$ , conduct the following steps.

1. Run  $(k_1, \dots, k_N) \leftarrow \text{Share}(\text{pp}, k)$ .
2. Generate an independent  $(t-1, N)$  Shamir secret sharing of  $k$  and let  $(k'_1, \dots, k'_N)$  be the resulting shares.
3. Choose a random subset  $\mathcal{T} \subset [N]$  of cardinality  $|\mathcal{T}| = N - t + 1$ . Choose uniformly random vector  $(k''_1, \dots, k''_N) \leftarrow U(\mathbb{F}_p^N)$  and define  $(\tilde{k}_1, \dots, \tilde{k}_N)$  in the following way

$$\tilde{k}_i := \begin{cases} k'_i & \text{if } i \notin \mathcal{T} \\ k''_i & \text{if } i \in \mathcal{T} \end{cases}$$

For each  $i \in [N]$  define the  $i$ -th secret key share as  $SK_i := (k_i, \tilde{k}_i, \mathcal{T})$ .

Output  $(SK_1, \dots, SK_N)$ .

**PEval\*** ( $\text{pp}, SK_i, X$ ): Given the secret key share  $SK_i = (k_i, \tilde{k}_i, \mathcal{T})$ , compute  $F_{k_i}(X) = \text{PEval}(\text{pp}, k_i, X)$  and output  $Y_i = (F_{k_i}(X), \mathcal{T})$ .

**Eval\*** ( $\text{pp}, SK, X$ ): Compute  $Y \leftarrow \text{Eval}(\text{pp}, k, X)$  and output  $Y$ .

**Combine\*** ( $\mathcal{S}, (Y_{i_1}, \dots, Y_{i_t})$ ): For each  $\kappa \in [t]$ , parse  $Y_{i_\kappa}$  as  $Y_{i_\kappa} = (\tilde{Y}_{i_\kappa}, \mathcal{T})$ , where  $\tilde{Y}_{i_\kappa} = F_{k_{i_\kappa}}(X)$ . Run  $Y \leftarrow \text{Combine}(\mathcal{S}, (\tilde{Y}_{i_1}, \dots, \tilde{Y}_{i_t}))$  and return  $Y$ .

It is easy to see that  $\Pi^*$  is trivially insecure in the sense of Definition 3.2. Before corrupting any server, the adversary can make a single evaluation query on an arbitrary server to learn  $\mathcal{T}$ . By corrupting all servers  $i \in [N] \setminus \mathcal{T}$ , the adversary can then obtain  $t-1$  shares of  $SK = k$  so as to reconstruct it.

The proof that  $\Pi^*$  remains statically secure relies on the idea that, in the game of Definition 3.3, the adversary has to choose the set  $\mathcal{S}^*$  of corrupted servers *before* making any evaluation query. Hence, with overwhelming probability  $1 - 1/\binom{N}{t-1} > 1 - \left(\frac{t}{N-t}\right)^{t-1}$ , we have  $\mathcal{S}^* \neq [N] \setminus \mathcal{T}$ , in which case  $\{\tilde{k}_i\}_{i \in \mathcal{S}^*}$  is information-theoretically independent of  $SK$ . We give a reduction below.

Assuming that we have a DPRF adversary  $\mathcal{A}$  against  $\Pi^*$ , we build a DPRF adversary  $\mathcal{B}$  against  $\Pi$  as follows. First  $\mathcal{B}$  relays to  $\mathcal{A}$  the public parameters that are received from its challenger  $\mathcal{C}$ . Then,  $\mathcal{B}$  chooses a random subset  $\mathcal{T} \subset [N]$  of cardinality  $|\mathcal{T}| = N - t + 1$ . Then,  $\mathcal{B}$  starts interacting with  $\mathcal{A}$  that chooses an arbitrary  $(t-1)$ -subset  $\mathcal{S}^* = \{i_1^*, \dots, i_{t-1}^*\} \in [N]$ . If  $\mathcal{S}^* = [N] \setminus \mathcal{T}$ ,  $\mathcal{B}$  halts and the attack is unsuccessful. Otherwise, we have  $|\mathcal{S}^* \cap \mathcal{T}| \geq 1$ , which means that at least one of the  $\{\tilde{k}_{i_\kappa^*}\}_{\kappa=1}^{t-1}$  is supposed to have been obtained by replacing a coordinate of  $(k'_{i_1^*}, \dots, k'_{i_{t-1}^*})$  with a random element of  $\mathbb{F}_p$ . Since  $\{k'_{i_\kappa^*}\}_{\kappa=1}^{t-1}$  form a Shamir secret

sharing over a finite field, replacing at least one of the shares  $\{k'_{i^*}\}_{\kappa=1}^{t-1}$  with a random value results in a randomly distributed  $(\tilde{k}_{i^*}, \dots, \tilde{k}_{i^*-1})$  which is uncorrelated to  $k$ .

In this case,  $\mathcal{B}$  sends  $\mathcal{S}^*$  to its own DPRF challenger and obtains the key share  $k_{i^*}, \dots, k_{i^*-1}$ . It also chooses  $(\tilde{k}_{i^*}, \dots, \tilde{k}_{i^*-1}) \leftarrow U(\mathbb{F}_p^{t-1})$  uniformly and gives  $\{(k_{i^*}, \tilde{k}_{i^*}, \mathcal{T})\}_{\kappa=1}^{t-1}$  to  $\mathcal{A}$ . Then,  $\mathcal{B}$  answers  $\mathcal{A}$ 's evaluation queries by invoking its own challenger on the same inputs and constructing the responses in the obvious way. At the end of the experiment,  $\mathcal{B}$  outputs whatever  $\mathcal{A}$  outputs.

If  $\epsilon$  denotes the advantage of  $\mathcal{A}$  and Guess denotes the event that  $\mathcal{S}^* = [N] \setminus \mathcal{T}$ , we know that  $\Pr[\mathcal{B} \text{ wins} | \neg \text{Guess}] = \frac{1}{2} + \epsilon$ . This implies

$$\begin{aligned} \Pr[\mathcal{B} \text{ wins}] &= \Pr[\mathcal{B} \text{ wins} | \text{Guess}] \cdot \Pr[\text{Guess}] + \Pr[\mathcal{B} \text{ wins} | \neg \text{Guess}] \cdot \Pr[\neg \text{Guess}] \\ &> \Pr[\mathcal{B} \text{ wins} | \neg \text{Guess}] \cdot \Pr[\neg \text{Guess}] \\ &= \left(\frac{1}{2} + \epsilon\right) \cdot (1 - \Pr[\text{Guess}]) \\ &> \left(\frac{1}{2} + \epsilon\right) \cdot \left(1 - \left(\frac{t}{N-t}\right)^{t-1}\right) > \left(\frac{1}{2} + \epsilon\right) - \left(\frac{t}{N-t}\right)^{t-1}. \end{aligned}$$

Since  $t, N \in \text{poly}(\lambda)$ , we have  $\left(\frac{t}{N-t}\right)^{t-1} < 2^{-\Omega(\lambda)}$ . Consequently, if  $\epsilon > 0$  is noticeable, so is  $|\Pr[\mathcal{B} \text{ wins}] - \frac{1}{2}| > \epsilon - 2^{-\Omega(\lambda)}$ .  $\square$

Note that the above separation still holds for small non-constant values of  $t$  and  $N$  if we assume polynomial or slightly super-polynomial adversaries. Indeed, if  $t = \Theta(\log \lambda)$  and  $N = \Theta(\log^2 \lambda)$ , for example, the adversary's probability to guess  $\mathcal{T}$  is  $1/\binom{N}{t-1} < \left(\frac{t}{N-t}\right)^{t-1}$ , which is roughly  $1/(\log \lambda)^{\log \lambda} = \lambda^{-\omega(1)}$ . For any constant  $c > 0$ , if  $t = \Theta(\lambda^{1/c})$  and  $N = \Theta(\lambda^{2/c})$ , the guessing probability becomes sub-exponentially small.

### 3.3 DPRF from KH-PRF

In this section we recall the generic DPRF construction that is obtained from Key-Homomorphic PRFs, given in [BLMR13]. We also remark that this modular approach can only achieve static security.

#### 3.3.1 Key-homomorphic PRFs

Informally, a key-homomorphic PRF is a secure pseudo-random function family  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  that is homomorphic with respect to the key space i.e., given  $F(K_1, X)$  and  $F(K_2, X)$  there is an efficient algorithm that computes  $F(K_1 \oplus K_2, X)$ . Here we assumed  $\mathcal{K}$  has a group structure with respect to the operation  $\oplus$ . For simplicity assume also that the range  $\mathcal{Y}$  is endowed with group structure  $\otimes$  as well. Next we give the formal definition from [BLMR13].

**Definition 3.4.** Let  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  be an efficiently computable function such that:

- $F$  is a secure PRF
- for any  $k_1, k_2 \in \mathcal{K}$  and any  $X \in \mathcal{X}$  we have

$$F(k_1 \oplus k_2, X) = F(k_1, X) \otimes F(k_2, X)$$

When the homomorphic property is not exact, i.e.  $F(k_1 \oplus k_2, X)$  needs only to be sufficiently "close" to  $F(k_1, X) \otimes F(k_2, X)$  we say that the PRF is *almost* key-homomorphic. Formally, we give the definition below for the particular key space  $\mathcal{K} = \mathbb{Z}_q^m$  and range  $\mathcal{Y} = \mathbb{Z}_p^m$ , where  $p < q$  are integers.

**Definition 3.5.** An efficiently computable function  $F : \mathbb{Z}_q^m \times \mathcal{X} \rightarrow \mathbb{Z}_p^m$  is called an  $\gamma$ -almost key-homomorphic PRF if:

- $F$  is a secure PRF
- for any  $\mathbf{k}_1, \mathbf{k}_2 \in \mathbb{Z}_q^m$  and any  $X \in \mathcal{X}$  we have:

$$F(\mathbf{k}_1 + \mathbf{k}_2, X) = F(\mathbf{k}_1, X) + F(\mathbf{k}_2, X) + \mathbf{e} \text{ such that the error } \|\mathbf{e}\|_\infty \leq \gamma.$$

Naor, Pinaks and Reingold have given the following simple DPRF construction in [NPR00]. Assume  $H : \mathcal{X} \rightarrow \mathbb{G}$  is a hash function that maps the set  $\mathcal{X}$  to a finite cyclic group  $(\mathbb{G}, \cdot)$  of prime order  $q$ . Define the function  $F_{DDH} : \mathbb{Z}_q \times \mathcal{X} \rightarrow \mathbb{G}$  as:

$$F_{DDH}(k, X) := H(X)^k \in \mathbb{G}$$

The key-homomorphic property  $F_{DDH}(k_1 + k_2, X) = F_{DDH}(k_1, X) \cdot F_{DDH}(k_2, X)$  is true as well. The function  $F_{DDH}$  was proved to be a secure DPRF in the random oracle model, assuming the DDH assumption holds in the multiplicative group  $\mathbb{G}$  [NPR00].

### The BLMR Key-Homomorphic PRF

The first (almost) key-homomorphic PRF from standard assumptions was proposed by Boneh, Lewi, Montgomery and Raghunathan in [BLMR13]. The security of the construction was proved under the LWE assumption with super-polynomial approximation factors. We will briefly recall the construction below.

Let  $m, n, p, q$  be integers such that  $m = n \cdot \lceil \log q \rceil$  and  $p$  divides  $q$ . Sample full rank binary matrices  $\mathbf{A}_0, \mathbf{A}_1 \leftarrow \{0, 1\}^{m \times m}$ . For any key  $\mathbf{k} \in \mathbb{Z}_q^m$  and any binary input  $X = x_1 x_2 \dots x_\ell \in \{0, 1\}^\ell$ , define  $F_{LWE} : \mathbb{Z}_q^m \times \{0, 1\}^\ell \rightarrow \mathbb{Z}_p^m$  as:

$$F_{LWE}(\mathbf{k}, X) = \left\lfloor \prod_{i=1}^{\ell} \mathbf{A}_{x_i} \cdot \mathbf{k} \right\rfloor_p$$

where  $\lfloor z \rfloor_p := \lfloor z \cdot p/q \rfloor \in \mathbb{Z}_p$  for any  $z \in \mathbb{Z}_q$ , is the rounding function introduced in [BPR12]. Because of the rounding we don't have an exact key-homomorphic property, but an *almost key-homomorphic* property [BLMR13]: for any keys  $\mathbf{k}_1, \mathbf{k}_2 \in \mathbb{Z}_q^m$  and any input  $X \in \{0, 1\}^\ell$  we have:

$$F_{LWE}(\mathbf{k}_1 + \mathbf{k}_2, X) = F_{LWE}(\mathbf{k}_1, X) + F_{LWE}(\mathbf{k}_2, X) + \text{err}$$

for some small error  $\text{err} \in \{0, 1\}^m$ .

A more efficient variant of the above almost key-homomorphic PRF was later given in [BP14], under an even weaker LWE assumption.

### 3.3.2 The Generic Construction

Assume for some input length  $\ell$  there is a secure key-homomorphic PRF,  $F : \mathbb{F}_q \times \mathcal{X} \rightarrow \mathcal{Y}$ , with input space  $\mathcal{X} = \{0, 1\}^\ell$ , key space  $\mathbb{F}_q$ , and the set  $\mathcal{Y}$  has a group structure with respect to some operation  $\otimes : \mathcal{Y} \times \mathcal{Y} \rightarrow \mathcal{Y}$ . Recall from Definition 3.4 that for any keys  $k_1, k_2 \in \mathbb{F}_q$  and any input  $X \in \mathcal{X}$  we must have

$$F(k_1 + k_2, X) = F(k_1, X) \otimes F(k_2, X)$$

In order to obtain a  $t$ -threshold DPRF for  $N$  users, we use Shamir's Secret Sharing scheme recalled in Section 2.6.1. The idea is to  $(t, N)$ -secret share the evaluation key  $k \in \mathbb{F}_q$  to get  $(k_1, k_2, \dots, k_N)$  and compute partial evaluations as  $F(k_i, X)$ . Notice that we can recover the evaluation  $F(k, X)$  of the PRF from any set of partial evaluations  $\{F(k_i, X)\}_{i \in \mathcal{S}}$ ,  $\mathcal{S} \subset [N]$ ,  $|\mathcal{S}| = t$ , using the public reconstruction coefficients as:

$$F(k, X) = \sum_{i \in \mathcal{S}} \lambda_{i, \mathcal{S}} \cdot F(k_i, X)$$

Given a secure key-homomorphic PRF family  $F : \mathbb{F}_q \times \mathcal{X} \rightarrow \mathcal{Y}$ , we give the formal construction by the following algorithms:

**Setup**( $1^\lambda, 1^\ell, 1^t, 1^N$ ): Outputs the public parameters, pp, used by  $F : \mathbb{F}_q \times \mathcal{X} \rightarrow \mathcal{Y}$ , the key-homomorphic PRF.

**Keygen**(pp): Samples a uniform key  $k \leftarrow U(\mathbb{F}_q)$  and sets  $SK := k$ .

**Share**(pp,  $SK$ ): Given the  $SK = k$ , it runs  $(k_1, k_2, \dots, k_N) \leftarrow \text{ShamirSS}(t, N, k)$  and sets  $SK_i := k_i$  for all  $i \in [N]$ .

**PEval**(pp,  $SK_j, X$ ): For  $SK_i = k_i$  and  $X \in \mathcal{X}$ , the algorithm outputs  $Y_i := F(k_i, X)$ .

**Eval**(pp,  $SK, X$ ): For  $SK = k$ ,  $X \in \mathcal{X}$ , outputs  $F(k, X)$ .

**Combine**( $\mathcal{S}, \{Y_i\}_{i \in \mathcal{S}}$ ): Given the set  $\mathcal{S} \subset [N]$  such that  $|\mathcal{S}| = t$  and the partial evaluations  $\{Y_i\}_{i \in \mathcal{S}}$ , the algorithm computes the reconstruction coefficients  $\lambda_{i, \mathcal{S}}$  (as in Section 2.6.1), which are publicly computable. Outputs

$$Y := \sum_{i \in \mathcal{S}} \lambda_{i, \mathcal{S}} \cdot Y_i$$

**Theorem 3.2.** [BLMR13, Theorem 7.2] *If  $F$  is a secure Key-Homomorphic PRF, then the above DPRF construction is statically secure (Definition 3.3).*

The PRF that was proposed in [BLMR13] is actually *almost key-homomorphic*, meaning that the homomorphic property holds up to a small error term. The same work shows how to adapt the construction and the proof to work in the case of an  $\gamma$ -almost key-homomorphic PRF. Recall that a  $\gamma$ -almost key-homomorphic PRF (Def. 3.5) refers to an efficiently computable  $F : \mathbb{Z}_q \times \mathcal{X} \rightarrow \mathbb{Z}_p$  such that for any  $k_1, k_2 \in \mathbb{Z}_q$  any  $X \in \mathcal{X}$  there is a small error term  $e \in \mathbb{Z}_p$  such that  $|e| \leq \gamma$  and:

$$F(k_1 + k_2, X) = F(k_1, X) + F(k_2, X) + e$$

The issue that has to be fixed for this variant is that when we do the reconstruction from the partial evaluations we get an error term because the homomorphic property of the PRF is not exact.

To make this work we would need to round off the error at the end of the computation. One problem that occurs when using the Lagrange coefficients  $\lambda_{i, \mathcal{S}}$  as elements from  $\mathbb{Z}_p$ , is that they do not remain small relative to  $p$ , so we cannot apply the rounding successfully. To solve this problem, the authors of [BLMR13] used the technique of "clearing the denominators" [Sho00, ABV<sup>+</sup>12]. The observation is that  $N! \cdot \lambda_{i, \mathcal{S}} \in \mathbb{Z}$  for all reconstruction coefficients, thus their size does not depend on  $p$  anymore. So the combining algorithm multiplies all the reconstruction coefficients by  $N!$ . By doing this, some control over the size of the error is gained. Now we can compute a bound, independent of  $p$ , on the final error term. Therefore for the reconstruction to work correctly we would need a rounding parameter  $u$  such that the error incurred by applying the  $\gamma$ -almost key-homomorphic PRF can be correctly rounded off. Notice that

$$N! \cdot F(k, X) = \sum_{i \in \mathcal{S}} N! \cdot \lambda_{i, \mathcal{S}} F(k_i, X) + \text{err}$$

where err can be bounded by  $|\text{err}| \leq \gamma \cdot |\mathcal{S}| \cdot \max_{i \in \mathcal{S}} |N! \cdot \lambda_{i, \mathcal{S}}| \leq \gamma t (N!)^2$

By Lemma 2.10, the final error can be removed for as long as  $p/u > 2\gamma t \cdot (N!)^2$ , thus making the reconstruction possible.

### 3.4 A Centralized Version

Before we present the DPRF construction in section 3.5, we believe it is instructive to first discuss its centralized version, which can be seen as a variant of the

key-homomorphic PRF proposed by Boneh *et al.* [BLMR13] and later improved by Banerjee and Peikert [BP14].

### 3.4.1 Overview

Despite the resemblance with the above-mentioned PRFs, the security proof is very different in that it does not use a hybrid argument over the input bits. Instead, we partition the input space (analogously to proof techniques for, e.g., identity-based encryption [Wat05]) and use the hardness assumption through the lossy mode of LWE [GKPV10].

One important characteristic of our centralized construction is that, unlike the ones from [BLMR13] or [BP14], in our new security proof the reduction always has access to the secret evaluation keys of the PRF. This is a very useful feature when analyzing the decentralized version, because now the reduction is able to easily answer adaptive evaluations and corruption queries in a consistent manner. These ideas have been used to construct standard-model adaptively secure Constrained PRFs as well [DKN<sup>+</sup>20].

Recall from subsection 3.3.1 that in [BLMR13, BP14], a PRF evaluation of an input  $x$  is of the form  $\mathbf{y} = \lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p \in \mathbb{Z}_p^m$ , where  $\mathbf{s} \in \mathbb{Z}_q^n$  is the secret key and  $\mathbf{A}(x) \in \mathbb{Z}_q^{n \times m}$  is an input-dependent matrix obtained from public matrices  $\mathbf{A}_0, \mathbf{A}_1 \in \mathbb{Z}_q^{n \times m}$ . Our variant is similar at a high level, with two main differences. First, we derive  $\mathbf{A}(x)$  from a set of  $2L$  public matrices  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L$ . Second,  $\lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p$  is not quite our PRF evaluation. Instead, we obtain the PRF value by using  $\mathbf{z} := \lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p$  as a source of entropy for a deterministic randomness extractor  $\pi$ . So the actual PRF output is  $\mathbf{y} := \pi(\mathbf{z})$ .

The security proof departs from [BLMR13, BP14] by exploiting the connection between the schemes and the Gentry-Sahai-Waters FHE [GSW13]. For each  $i \in [L]$  and  $b \in \{0, 1\}$ , we interpret the matrix  $\mathbf{A}_{i,b} \in \mathbb{Z}_q^{n \times m}$  as a GSW ciphertext  $\mathbf{A}_{i,b} = \mathbf{A} \cdot \mathbf{R}_{i,b} + \mu_{i,b} \cdot \mathbf{G}$ , where  $\mathbf{R}_{i,b} \in \{-1, 1\}^{m \times m}$ ,  $\mu_{i,b} \in \{0, 1\}$  and  $\mathbf{G} \in \mathbb{Z}_q^{n \times m}$  is the gadget matrix of [MP12]. Before evaluating the PRF on an input  $X$ , we encode  $X \in \{0, 1\}^\ell$  into  $x \in \{0, 1\}^L$  using an admissible hash function. Then, we homomorphically derive  $\mathbf{A}(x)$  as a GSW ciphertext  $\mathbf{A}(x) = \mathbf{A} \cdot \mathbf{R}_x + (\prod_{i=1}^L \mu_{i,x[i]}) \cdot \mathbf{G}$ , for some small-norm  $\mathbf{R}_x \in \mathbb{Z}^{m \times m}$ . By carefully choosing  $\{\mu_{i,b}\}_{i \in [L], b \in \{0,1\}}$ , the properties of admissible hash functions ensure that the product  $\prod_{i=1}^L \mu_{i,x[i]}$  cancels out in all evaluation queries but evaluates to 1 on the challenge input  $X^*$ .

In the next step of the proof, we move to a modified experiment where the random matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  is replaced by a lossy matrix  $\mathbf{A}^\top = \bar{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E}$ , where  $\bar{\mathbf{A}} \leftarrow U(\mathbb{Z}_q^{n' \times m})$ ,  $\mathbf{C} \leftarrow U(\mathbb{Z}_q^{n' \times n})$  and  $\mathbf{E} \in \mathbb{Z}^{m \times n}$  is a short Gaussian matrix. This modification has the consequence of turning  $\lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p$  into a lossy function of  $\mathbf{s}$  on all inputs  $X$  for which  $\prod_{i=1}^L \mu_{i,x[i]} = 0$ . At the same time, the function remains injective whenever  $\prod_{i=1}^L \mu_{i,x[i]} = 1$ . Using the properties of admissible hash functions, we still have a noticeable probability that the function be lossy in all evaluation queries and injective in the challenge phase. Moreover, by using a small-norm secret  $\mathbf{s} \in \mathbb{Z}^n$  and setting the ratio  $q/p$  large enough, we can actually make sure

that evaluation queries always reveal the same information (namely, the product  $\mathbf{C} \cdot \mathbf{s}$ ) about  $\mathbf{s}$ . As long as we have  $\prod_{i=1}^L \mu_{i, x^*[i]} = 1$  for the challenge input  $X^*$ , the rounded value  $\mathbf{z}^* = \lfloor \mathbf{A}(x^*)^\top \cdot \mathbf{s} \rfloor_p = \lfloor (\mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{s} \rfloor_p$  is guaranteed to have a lot of entropy as an injective function of an unpredictable  $\mathbf{s}$ . At this point, we can extract statistically uniform bits from the source  $\mathbf{z}^*$ . Since the latter depends on  $x^*$  (which can be correlated with the seed included in public parameters), we need an extractor that can operate on seed-dependent sources. Fortunately, deterministic extractors come in handy for this purpose.

### 3.4.2 The Centralized Construction

Let  $\lambda$  be a security parameter and let  $\ell \in \Theta(\lambda)$ ,  $L \in \Theta(\lambda)$ . We use parameters consisting of prime moduli  $p$  and  $q$  such that  $q = 2^{\Theta(\lambda)}$ ,  $p > 2 \log q$  and  $q/p > 2^{L+\lambda} \cdot r$ , dimensions  $n, m, k \in \text{poly}(\lambda)$  such that  $m \geq 2n \cdot \lceil \log q \rceil$  and  $r = L \cdot m^2 \cdot n \cdot \alpha q \cdot \beta$ , where  $\sigma = O(\sqrt{n}) \cdot q^{n'/n}$ ,  $\beta = \sigma \sqrt{n}$  and  $\alpha \in (0, 1)$ . The integer  $n' < n$  and  $\alpha \in (0, 1)$  are chosen such that the  $\text{LWE}_{q, m, n', \alpha}$  problem is hard.

We define the entropy bound  $\tilde{n} = \lfloor n \cdot \log \sigma - n' \cdot \log q \rfloor - 1$  and choose  $k$  such that  $k \cdot \log p = \tilde{n} - 2 \cdot (\lambda + \log \ell + \log \tilde{n})$ .

The Gaussian parameter  $\sigma > 0$ , will specify an interval  $[-\beta, \beta] = [-\sigma \sqrt{n}, \sigma \sqrt{n}]$  where the coordinates of the secret will be confined (with probability exponentially close to 1). We also rely on the following ingredients:

- A balanced admissible hash function  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$  (Def. 2.1).
- A family  $\Pi_\lambda$  of  $\xi$ -wise independent hash functions (Def. 2.2)  $\pi : \mathbb{Z}_p^m \rightarrow \mathbb{Z}_p^k$  for  $\xi = \tilde{n} + \ell$ . Let a random member  $\pi$  of  $\Pi_\lambda$ . For example, the function  $\pi$  can be a random polynomial  $\pi(Z) \in \mathbb{F}_p^m[Z]$  of degree  $\xi - 1$  with outputs truncated to their  $k$  first coordinates.

The pseudo-random function family assumes the availability of public parameters

$$\text{pp} := \left( q, p, \pi, \mathbf{U}_0, \{\mathbf{A}_{i,0}, \mathbf{A}_{i,1} \in \mathbb{Z}_q^{n \times m}\}_{i=1}^L, \text{AHF}, \sigma \right),$$

where  $\mathbf{U}_0 \leftarrow U(\mathbb{Z}^{n \times m})$  and  $\mathbf{A}_{i,0}, \mathbf{A}_{i,1} \leftarrow U(\mathbb{Z}_q^{n \times m})$  for each  $i \in [L]$ .

**Keygen**(pp): Given pp, sample a vector  $\mathbf{s} \leftarrow D_{\mathbb{Z}^n, \sigma}$  so that  $\|\mathbf{s}\|_\infty < \beta = \sigma \sqrt{n}$  with overwhelming probability. The secret key is  $SK := \mathbf{s} \in [-\beta, \beta]^n$ .

**Eval**(pp, SK, X): Given  $SK = \mathbf{s} \in \mathbb{Z}^n$  and an input  $X \in \{0, 1\}^\ell$ ,

1. Compute  $x = \text{AHF}(X) \in \{0, 1\}^L$  and parse it as  $x = x_1 \dots x_L$ .
2. Compute

$$\mathbf{z} = \left\lfloor (\mathbf{A}(x))^\top \cdot \mathbf{s} \right\rfloor_p \in \mathbb{Z}_p^m, \quad (3.1)$$



where

$$\mathbf{A}(x) = \mathbf{U}_0 + \mathbf{A}_{1,x_1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,x_2} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3} \cdots \mathbf{G}^{-1}(\mathbf{A}_{L-1,x_{L-1}} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}))) \cdots),$$

and output  $\mathbf{y} = \pi(\mathbf{z}) \in \mathbb{Z}_p^k$ .

Unlike [BLMR13, BP14], our security proof requires the secret  $\mathbf{s}$  to have small entries. Another difference is the presence of the uniformly random matrix  $\mathbf{U}_0$  in the expression of  $\mathbf{A}(x)$ , which guarantees that the roundings from the proof behave in a predictable manner. Also, our PRF is not key-homomorphic as the output is  $\mathbf{y} = \pi(\mathbf{z}) \in \mathbb{Z}_p^k$ , thus the key-homomorphic property is ruined by the deterministic extractor. Fortunately, losing the key-homomorphic property does not prevent us from building a DPRF since the randomness extraction step is only applied to the result of combining  $t$  partial evaluations in the Combine algorithm.

**Theorem 3.3.** *The construction above is a secure PRF family under the  $\text{LWE}_{q,m,n',\alpha}$  assumption.*

The complete security proof for the above result can be found in [LST18]. We skip the details since the same ideas will be used in the decentralized case, for the proof of Theorem 3.5.

### 3.5 The DPRF Construction

We design the distributed PRF by doing secret sharing over the integers instead of a finite field. We use a LISS (section 2.6.2) inside the PRF construction of Section 3.4. As mentioned earlier, the latter is well-suited to our purposes because, in the security proof, the secret key is known to the reduction at any time. When the secret key  $\mathbf{s}$  is shared using a LISS, the reduction is always able to consistently answer corruption queries because it has all shares at disposal.

In the construction, we rely on the specific LISS construction of Damgård and Thorbek [DT06], which is based on the Benaloh-Leichter secret sharing [BL88]. This particular LISS scheme is well-suited to our needs for several reasons. First, it has binary share generating matrices, which allows obtaining relatively short shares of  $\mathbf{s} \in \mathbb{Z}^n$ : in the security proof, this is necessary to ensure that the adversary always obtains the same information about uncorrupted shares in partial evaluation queries. Another advantage of the Benaloh-Leichter-based LISS is that its reconstruction coefficients live in  $\{-1, 0, 1\}$ , which avoids blowing up the homomorphism errors when partial evaluations are combined together. Finally, its sweeping vectors also have their coordinates in  $\{-1, 0, 1\}$  (whereas they may be exponentially large in the number  $N$  of servers in the construction based on Cramer-Fehr [CF02]) and we precisely need sweeping vectors  $\boldsymbol{\kappa}$  to be small in the proof of our Lemma 3.7.

When using the LISS scheme we do not rely on the result of Lemma 2.20, which ensures the privacy of the scheme. We share vectors sampled from Gaussian (instead of uniform) distributions and also depart from Lemma 2.20 in that the random coins  $(\rho_2, \dots, \rho_e)$  are not sampled from a wider distribution than the secret: the standard deviation of  $(\rho_2, \dots, \rho_e)$  will be the same as that of  $s$ . While this choice does not guarantee the LISS to be private in general, we will show that it suffices in our setting because we only need the secret to have sufficient min-entropy conditionally on the shares observed by the adversary. Aside from the distribution of secrets and random coins, we rely on the technique of Damgård and Thorbek [DT06] for building share generating matrices.

### 3.5.1 The Construction

**Setup** $(1^\lambda, 1^\ell, 1^t, 1^N)$ : On input of a security parameter  $\lambda$ , a number of servers  $N$ , a threshold  $t \in [1, N]$  and an input length  $\ell \in \Theta(\lambda)$ , set  $d, e = O(N^{1+\sqrt{2}})$ .

Next, do the following.

1. Choose prime moduli  $p, q$  and  $u$  such that  $q = 2^{\Omega(\lambda)}$  that also satisfy  $p/u > d \cdot m \cdot 2^{\lambda+L}$  and  $q/p > m \cdot N \cdot d \cdot r \cdot 2^{\lambda+L}$ , where dimensions  $n, m, k \in \text{poly}(\lambda)$  such that  $m \geq 2n \cdot \lceil \log q \rceil$ , and  $r = L \cdot m^2 \cdot n \alpha q \cdot \beta^*$  with  $\beta^* := O(\beta \cdot \log N)$  where  $[-\beta, \beta]^n$  is the space from where the secret key is sampled, and it is defined below.
2. Choose integer  $n' \ll n$  and the Gaussian parameter  $\alpha \in (0, 1)$  such that the  $\text{LWE}_{q,m,n',\alpha}$  problem is hard and then define the Gaussian parameter  $\sigma := 2\sqrt{e} \cdot q^{n'/n}$ .  
We also set the entropy bound  $\bar{n} := n \cdot \log(\sigma/\sqrt{e}) - n' \cdot \log q$ , which has  $O(n)$  order of magnitude (by the choice of  $\sigma$ ). We also choose integer  $k$  such that  $k \cdot \log u = \bar{n} - 2 \cdot (\lambda + \log \ell + \log \bar{n} + O(1))$
3. Choose a balanced admissible hash function  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$ , for a suitable  $L \in \Theta(\lambda)$ . Choose a family  $\Pi_\lambda$  of  $\xi$ -wise independent (Definition 2.2) hash functions  $\pi : \mathbb{Z}_u^m \rightarrow \mathbb{Z}_u^k$ , for  $\xi = \bar{n} + \ell$ , and sample a uniformly random function  $\pi \leftarrow U(\Pi_\lambda)$ .
4. Choose random matrices  $\mathbf{U}_0 \leftarrow U(\mathbb{Z}_q^{n \times m})$  and  $\mathbf{A}_{i,j} \leftarrow U(\mathbb{Z}_q^{n \times m})$ , for each  $i \in [L], j \in \{0, 1\}$ .

Output

$$\text{pp} := \left( q, p, u, \pi, \mathbf{U}_0, \{\mathbf{A}_{i,0}, \mathbf{A}_{i,1} \in \mathbb{Z}_q^{n \times m}\}_{i=1}^L, \text{AHF}, \sigma \right),$$

**Keygen**(pp): Sample a Gaussian vector  $\mathbf{s} \leftarrow D_{\mathbb{Z}^n, \sigma}$  and return  $\perp$  if  $\mathbf{s} \notin [-\beta, \beta]^n$ , where  $\beta = \sigma\sqrt{n}$ . Otherwise set  $SK := \mathbf{s} \in \mathbb{Z}^n$ .

**Share**(pp, SK): Given pp and a key  $SK = \mathbf{s} \in \mathbb{Z}^n$ , generate a LISS of  $\mathbf{s}$  as follows.

1. Using the Benaloh-Leichter-based LISS scheme (2.6.2), construct the matrix  $M \in \{0, 1\}^{d \times e}$  that computes the Boolean formula associated with the  $T_{t,N}$  threshold function. By using [HHP06], we obtain a matrix  $M \in \{0, 1\}^{d \times e}$ , so that each row of  $M$  contains  $O(\log N)$  non-zero entries.
2. For each  $k \in [n]$ , generate a LISS of the  $k$ -th coordinate  $s_k$  of  $\mathbf{s} \in \mathbb{Z}^n$ . To this end, define a vector  $\boldsymbol{\rho}_k = (s_k, \rho_{k,2}, \dots, \rho_{k,e})^\top$ , with Gaussian entries  $\rho_{k,2}, \dots, \rho_{k,e} \leftarrow D_{\mathbb{Z}, \sigma}$ , and compute

$$\mathbf{s}_k = (s_{k,1}, \dots, s_{k,d})^\top = M \cdot \boldsymbol{\rho}_k \in \mathbb{Z}^d,$$

whose entries are smaller than  $\|\mathbf{s}_k\|_\infty = \beta^* = O(\beta \cdot \log N)$ .

3. Define the matrix  $\mathbf{S} = [\mathbf{s}_1 \mid \dots \mid \mathbf{s}_n] \in \mathbb{Z}^{d \times n}$ . For each  $j \in [N]$ , define the share of server  $P_j$  to be the sub-matrix  $\mathbf{S}_{I_j} = M_{I_j} \cdot [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n] \in \mathbb{Z}^{d_j \times n}$ , where  $I_j = \psi^{-1}(j) \subset \{1, \dots, d\}$  is the set of indexes such that  $P_j$  owns the sub-matrix  $M_{I_j} \in \{0, 1\}^{d_j \times e}$ .

For each  $j \in [N]$ , the share  $SK_j = \mathbf{S}_{I_j} \in \mathbb{Z}^{d_j \times n}$  is privately sent to  $P_j$ .

**PEval**(pp,  $SK_j$ ,  $X$ ): Given  $SK_j$  as  $\mathbf{S}_{I_j} = M_{I_j} \cdot [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n] \in \mathbb{Z}^{d_j \times n}$  and an input  $X \in \{0, 1\}^\ell$ ,

1. Compute  $x = \text{AHF}(X) \in \{0, 1\}^L$  and parse it as  $x = x_1 \dots x_L$ .
2. Compute

$$\mathbf{Y}_j = \left[ (\mathbf{A}(x))^\top \cdot \mathbf{S}_{I_j}^\top \right]_p \in \mathbb{Z}_p^{m \times d_j}, \quad (3.2)$$

where

$$\mathbf{A}(x) = \mathbf{U}_0 + \mathbf{A}_{1,x_1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,x_2} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3} \cdots \mathbf{G}^{-1}(\mathbf{A}_{L-1,x_{L-1}} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}))) \cdots),$$

and output the partial evaluation  $\mathbf{Y}_j \in \mathbb{Z}_p^{m \times d_j}$ .

**Eval**(pp,  $SK$ ,  $X$ ): Given  $SK = \mathbf{s} \in \mathbb{Z}^n$  and an input  $X \in \{0, 1\}^\ell$ ,

1. Compute  $x = \text{AHF}(X) \in \{0, 1\}^L$  and write it as  $x = x_1 \dots x_L$ .
2. Compute

$$\mathbf{z} = \left[ \left[ (\mathbf{A}(x))^\top \cdot \mathbf{s} \right]_p \right]_u \in \mathbb{Z}_u^m,$$

where

$$\mathbf{A}(x) = \mathbf{U}_0 + \mathbf{A}_{1,x_1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,x_2} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3} \cdots \mathbf{G}^{-1}(\mathbf{A}_{L-1,x_{L-1}} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}))) \cdots),$$

and output  $\mathbf{y} = \pi(\mathbf{z}) \in \mathbb{Z}_u^k$ .

**Combine**( $\mathcal{S}, (\mathbf{Y}_{j_1}, \dots, \mathbf{Y}_{j_t})$ ): Let  $\mathcal{S} = \{j_1, \dots, j_t\}$  be a qualified set.

1. Determine the vector  $\boldsymbol{\lambda}_{\mathcal{S}} \in \{-1, 0, 1\}^{d_{\mathcal{S}}}$  such that  $\boldsymbol{\lambda}_{\mathcal{S}}^{\top} \cdot M_{\mathcal{S}} = (1, 0, \dots, 0)^{\top}$ , where  $M_{\mathcal{S}} \in \{0, 1\}^{d_{\mathcal{S}} \times e}$  is the sub-matrix of  $M$  owned by the parties in  $\mathcal{S}$  and  $d_{\mathcal{S}} = \sum_{\kappa=1}^t d_{j_{\kappa}}$  with  $d_{j_{\kappa}} = |\psi^{-1}(j_{\kappa})|$  for all  $\kappa \in [t]$ . Then, parse  $\boldsymbol{\lambda}_{\mathcal{S}}$  as  $[\boldsymbol{\lambda}_{j_1}^{\top} \mid \dots \mid \boldsymbol{\lambda}_{j_t}^{\top}]^{\top}$ , where  $\boldsymbol{\lambda}_{j_{\kappa}} \in \{-1, 0, 1\}^{d_{j_{\kappa}}}$  for all  $\kappa \in [t]$ .
2. Compute  $\tilde{\mathbf{z}} = \sum_{\kappa=1}^t \mathbf{Y}_{j_{\kappa}} \cdot \boldsymbol{\lambda}_{j_{\kappa}} \in \mathbb{Z}_p^m$ , which equals

$$\tilde{\mathbf{z}} = \left[ (\mathbf{A}(x))^{\top} \cdot \mathbf{s} \right]_p + \mathbf{e}_z \in \mathbb{Z}_p^m,$$

for some  $\mathbf{e}_z \in \{-d_{\mathcal{S}}, \dots, d_{\mathcal{S}}\}^m$ .

3. Compute  $\mathbf{z} = [\tilde{\mathbf{z}}]_u \in \mathbb{Z}_u^m$ , which equals

$$\mathbf{z} = \left[ \left[ (\mathbf{A}(x))^{\top} \cdot \mathbf{s} \right]_p \right]_u \in \mathbb{Z}_u^m$$

with overwhelming probability. Finally, output  $\mathbf{y} = \pi(\mathbf{z}) \in \mathbb{Z}_u^k$ .

By setting  $\sigma = 2\sqrt{e} \cdot q^{n'/n} = O(N^{\frac{1+\sqrt{2}}{2}}) \cdot q^{n'/n}$  as allowed by [HHP06], we have share units of magnitude  $\beta^* = \Theta(\sigma\sqrt{n} \cdot \log N)$ . Since  $d = O(N^{1+\sqrt{2}})$ , the average share size amounts to  $\frac{d \cdot n \cdot \log \beta^*}{N} = O(n \cdot N^{\sqrt{2}} \cdot \log N) + O(N^{\sqrt{2}} \cdot n' \cdot \log q)$  bits.

We note that is necessary for our construction to have the modulus  $q$  exponentially large in the security parameter. This inconvenience appears because we use the rounding technique of [BPR12] both for correctness (for this we need  $p/u = 2^{O(\lambda)}$ ) and for the security proof (for this we require  $q/p = 2^{O(\lambda)}$ ). Unfortunately our proofs crucially rely on the rounding technique, so for the moment we don't know how to reduce the size of the parameters of the construction.

To get exponential security for the LWE $_{q,m,n',\alpha}$  problem, we set the parameters as suggested in [APS15]:  $\alpha q = O(\sqrt{n'})$  and  $n' \cdot \log q / \log^2(1/\alpha) = \Omega(\lambda)$ . This allows us to set a small quadratic dimension  $n' = O(\lambda^2)$ , which implies an exponentially large inverse rate  $1/\alpha$ , in order for the above conditions to hold. Notice that since  $n = \text{poly}(\lambda)$  such that  $n' < n$ , we can choose  $n$  as small as  $O(\lambda^2)$ . With this parameters, the entropy bound  $\bar{n} := n \cdot \log(\sigma/\sqrt{e}) - n' \cdot \log q$  is at least  $O(\lambda^2)$  and it is large enough to choose the parameter  $k$ , corresponding to the  $\xi$ -wise independent hash function.

We remark that our modulus  $q$  is exponential in the security parameter  $\lambda$ , but not in the number of server  $N$ . In contrast, the DPRF of [BLMR13] requires an exponential modulus in  $N$  incurred by the use of Shamir's secret sharing and the technique of clearing out the denominators [Sho00, ABV<sup>+</sup>12].

### 3.5.2 Correctness and Security

We now show that the construction provides statistical consistency.

**Lemma 3.4.** *Let  $\text{pp} \leftarrow \text{Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  and let a secret key  $SK = \mathbf{s} \leftarrow D_{\mathbb{Z}^n, \sigma}$ , which is shared as  $(SK_1, \dots, SK_N) \leftarrow \text{Share}(\text{pp}, SK)$ . For any  $t$ -subset  $\mathcal{S} = \{j_1, \dots, j_t\} \subset [N]$  and input  $X \in \{0, 1\}^\ell$ , if  $Y_{j_k} = \text{PEval}(\text{pp}, SK_{j_k}, X)$  for all  $k \in [t]$ , we have*

$$\text{Combine}(\mathcal{S}, (Y_{j_1}, \dots, Y_{j_t})) = \text{Eval}(\text{pp}, SK, X)$$

with probability exponentially close to 1.

*Proof.* Let  $\boldsymbol{\lambda}_{\mathcal{S}} \in \{-1, 0, 1\}^{d_{\mathcal{S}}}$  such that  $\boldsymbol{\lambda}_{\mathcal{S}}^\top \cdot M_{\mathcal{S}} = (1, 0, \dots, 0) \in \mathbb{Z}^e$ . If we parse  $\boldsymbol{\lambda}_{\mathcal{S}}$  as  $[\boldsymbol{\lambda}_{j_1}^\top \mid \dots \mid \boldsymbol{\lambda}_{j_t}^\top]^\top$  we have

$$\mathbf{s} = [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n]^\top \cdot M_{\mathcal{S}}^\top \cdot \boldsymbol{\lambda}_{\mathcal{S}} = \sum_{k=1}^t \mathbf{S}_{I_{j_k}}^\top \cdot \boldsymbol{\lambda}_{j_k}.$$

In turn, this implies

$$[\mathbf{A}(x)^\top \cdot \mathbf{s}]_p = \left[ \sum_{k=1}^t \mathbf{A}(x)^\top \cdot \mathbf{S}_{I_{j_k}}^\top \cdot \boldsymbol{\lambda}_{j_k} \right]_p = \sum_{k=1}^t \left[ \mathbf{A}(x)^\top \cdot \mathbf{S}_{I_{j_k}}^\top \right]_p \cdot \boldsymbol{\lambda}_{j_k} + \mathbf{e}, \quad (3.3)$$

where the last equality of (3.3) stems from fact that, for any two vectors  $\mathbf{v}_1, \mathbf{v}_2 \in \mathbb{Z}_q^m$ , we have  $\lfloor \mathbf{v}_1 + \mathbf{v}_2 \rfloor_p = \lfloor \mathbf{v}_1 \rfloor_p + \lfloor \mathbf{v}_2 \rfloor_p + \mathbf{e}^+$ , for some vector  $\mathbf{e}^+ \in \{0, 1\}^m$ , and  $\lfloor \mathbf{v}_1 - \mathbf{v}_2 \rfloor_p = \lfloor \mathbf{v}_1 \rfloor_p - \lfloor \mathbf{v}_2 \rfloor_p + \mathbf{e}^-$ , where  $\mathbf{e}^- \in \{-1, 0\}^m$ . The error vector  $\mathbf{e}$  of (3.3) thus lives in  $\{-d_{\mathcal{S}}, \dots, d_{\mathcal{S}}\}^m$ . By the definition of  $\mathbf{Y}_{j_k} = \lfloor \mathbf{A}(x)^\top \cdot \mathbf{S}_{I_{j_k}}^\top \rfloor_p$ , if we define  $\tilde{\mathbf{z}} := \sum_{k=1}^t \mathbf{Y}_{j_k} \cdot \boldsymbol{\lambda}_{j_k}$  and  $\mathbf{e}_z := -\mathbf{e} \in \{-d_{\mathcal{S}}, \dots, d_{\mathcal{S}}\}^m$ , we have

$$\tilde{\mathbf{z}} = \left[ (\mathbf{A}(x)^\top \cdot \mathbf{s}) \right]_p + \mathbf{e}_z \in \mathbb{Z}_p^m.$$

Remember that  $\mathbf{A}(x)^\top \cdot \mathbf{s}$  contains the term  $\mathbf{U}_0^\top \cdot \mathbf{s}$ , where the public matrix  $\mathbf{U}_0$  is uniformly sampled over  $\mathbb{Z}_q^{n \times m}$ . By Lemma 2.11 it follows that  $\mathbf{U}_0^\top \cdot \mathbf{s}$  is statistically close to  $U(\mathbb{Z}_q^m)$ . Thus the vector  $\mathbf{A}(x)^\top \cdot \mathbf{s}$  is itself statistically close to  $U(\mathbb{Z}_q^m)$ . Hence, the vector  $\lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p$  is statistically close to  $U(\mathbb{Z}_p^m)$  since the statistical distance between  $\lfloor U(\mathbb{Z}_q^m) \rfloor_p$  and  $U(\mathbb{Z}_p^m)$  is at most  $m \cdot (p/q)$ . Therefore we can apply Lemma 2.10, which implies that

$$\lfloor \lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p \rfloor_u = \lfloor \lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p + \mathbf{e}_z \rfloor_u$$

except with probability  $2^L \cdot m \cdot \frac{2d_{\mathcal{S}} \cdot u}{p} \leq 2^L \cdot m \cdot \frac{2d \cdot u}{p} \leq 2^{-\lambda}$ .

This shows that the equality  $\lfloor \tilde{\mathbf{z}} \rfloor_u = \lfloor \lfloor \mathbf{A}(x)^\top \cdot \mathbf{s} \rfloor_p \rfloor_u$  holds with overwhelming probability if the vector  $\tilde{\mathbf{z}} := \sum_{k=1}^t \mathbf{Y}_{j_k} \cdot \boldsymbol{\lambda}_{j_k}$  in the left-hand-side member is computed by the Combine algorithm and the right-hand-side member is the  $\lfloor \tilde{\mathbf{z}} \rfloor_u$  computed by Eval.

□

**Theorem 3.5.** *The construction above is an adaptively secure DPRF family under the  $\text{LWE}_{q,m,n',\alpha}$  assumption.*

*Proof.* The proof considers a sequence of hybrid games. In each game, we call  $W_i$  the event that  $b' = b$ .

**Game<sub>0</sub>:** This is the experiment, as described by Definition 3.2. Namely, the challenger initially samples a secret Gaussian vector  $SK = \mathbf{s} \leftarrow D_{\mathbb{Z}^n, \sigma}$ , which is shared by computing

$$\mathbf{S}_{I_j} = M_{I_j} \cdot [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n] = M_{I_j} \cdot \Gamma \in \mathbb{Z}^{d_j \times n} \quad \forall j \in [N],$$

where

$$\Gamma = [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n] = \begin{bmatrix} & \mathbf{s}^\top & \\ \rho_{1,2} & \dots & \rho_{n,2} \\ \vdots & \ddots & \vdots \\ \rho_{1,e} & \dots & \rho_{n,e} \end{bmatrix} \in \mathbb{Z}^{e \times n},$$

with  $\rho_{k,v} \leftarrow D_{\mathbb{Z}, \sigma}$  for all  $(k, v) \in [1, n] \times [2, e]$ . At each partial evaluation query  $(j, X^{(i)}) \in [N] \times \{0, 1\}^\ell$ , the adversary  $\mathcal{A}$  obtains

$$\mathbf{Y}_j = \left[ (\mathbf{A}(x))^\top \cdot \mathbf{S}_{I_j}^\top \right]_p \in \mathbb{Z}_p^{m \times d_j}. \quad (3.4)$$

In the challenge phase, the adversary chooses an input  $X^* \in \{0, 1\}^\ell$ . It obtains a random vector  $\mathbf{y}^* \leftarrow U(\mathbb{Z}_u^k)$  if the challenger's bit is  $b = 0$ . If  $b = 1$ , it obtains the real evaluation  $\mathbf{y}^* = \pi([\tilde{\mathbf{z}}^*]_u) \in \mathbb{Z}_u^k$ , where

$$\tilde{\mathbf{z}}^* = \left[ (\mathbf{A}(x^*))^\top \cdot \mathbf{s} \right]_p \in \mathbb{Z}_p^m,$$

with  $\mathbf{A}(x^*) = \mathbf{U}_0 + \mathbf{A}_{1,x_1^*} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,x_2^*} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3^*} \cdots \mathbf{G}^{-1}(\mathbf{A}_{L-1,x_{L-1}^*} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,x_L^*}))) \cdots)$ .

At the end of the game, we define  $\mathcal{C}^* \subset [N]$  as in Definition 3.2, to be the set of servers that were corrupted by  $\mathcal{A}$  or such that an evaluation query of the form  $(i, X^*)$  was made. By hypothesis, we have  $|\mathcal{C}^*| < t$ . When the adversary halts, it outputs  $\hat{b} \in \{0, 1\}$  and the challenger defines  $b' := \hat{b}$ . The adversary's advantage is  $\text{Adv}_{\mathcal{A}}(\lambda) := |\Pr[W_0] - 1/2|$ , where  $W_0$  is event that  $b' = b$ .

**Game<sub>1</sub>:** This game is identical to Game<sub>0</sub> with the following changes. First, the challenger runs  $K \leftarrow \text{AdmSmp}(1^\lambda, Q_{\max}, \delta)$  to generate a key  $K \in \{0, 1, \perp\}^L$  for a balanced admissible hash function  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$ , with  $\delta := \text{Adv}_{\mathcal{A}}(\lambda)$  and  $Q_{\max}$  is an upper bound on the number of queries that the adversary makes. When the adversary halts and outputs  $\hat{b} \in \{0, 1\}$ , the challenger checks if the conditions

$$P_K(X^{(1)}) = \dots = P_K(X^{(Q)}) = 1 \quad \wedge \quad P_K(X^*) = 0 \quad (3.5)$$

are satisfied, where  $X^*$  is the challenge input and  $X^{(1)}, \dots, X^{(Q)}$  are the adversarial queries. If these conditions do not hold, the challenger ignores  $\mathcal{A}$ 's output  $\hat{b} \in \{0, 1\}$  and overwrites it with a random bit  $b'' \leftarrow \{0, 1\}$  to define  $b' = b''$ . If conditions (3.5) are satisfied, the challenger sets  $b' = \hat{b}$ . By Lemma 2.17, we have

$$\begin{aligned} |\Pr[W_1] - 1/2| &= |\Pr[b' = b] - 1/2| \\ &\geq \gamma_{\min} \cdot \mathbf{Adv}_{\mathcal{A}}(\lambda) - \frac{1}{2} \cdot (\gamma_{\max} - \gamma_{\min}) = \tau(\lambda), \end{aligned}$$

where  $\tau(\lambda)$  is a noticeable function.

**Game<sub>2</sub>:** In this game, we modify the generation of pp in the following way. Initially, the challenger samples a uniformly random matrix  $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})$ . Next, for each  $i \in [L]$ , it samples  $\mathbf{R}_{i,0}, \mathbf{R}_{i,1} \leftarrow U(\{-1, 1\}^{m \times m})$  and defines the matrices  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L$  as follows for all  $i \in [L]$  and  $j \in \{0, 1\}$ :

$$\mathbf{A}_{i,j} := \begin{cases} \mathbf{A} \cdot \mathbf{R}_{i,j} & \text{if } (j \neq K_i) \wedge (K_i \neq \perp) \\ \mathbf{A} \cdot \mathbf{R}_{i,j} + \mathbf{G} & \text{if } (j = K_i) \vee (K_i = \perp) \end{cases} \quad (3.6)$$

It also defines  $\mathbf{U}_0 = \mathbf{A} \cdot \mathbf{V}_0$  for  $\mathbf{V}_0 \leftarrow U(\{-1, 1\}^{m \times m})$ . Since  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  was chosen uniformly, the Leftover Hash Lemma 2.18 ensures that  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L, \mathbf{U}_0$  are all statistically independent and uniformly distributed over  $\mathbb{Z}_q^{n \times m}$ . It follows that  $|\Pr[W_2] - \Pr[W_1]| \leq L \cdot 2^{-\lambda}$  since the distribution of pp is statistically unchanged.

We note that, at each query  $X$ , we can view  $\mathbf{A}(x)$  as a shifted by  $\mathbf{U}_0$ , GSW encryption

$$\mathbf{A}(x) = \mathbf{U}_0 + \mathbf{A} \cdot \mathbf{R}_x + \left( \prod_{i=1}^n \mu_i \right) \cdot \mathbf{G},$$

which is also equal to:

$$\mathbf{A}(x) = \mathbf{A} \cdot (\mathbf{V}_0 + \mathbf{R}_x) + \left( \prod_{i=1}^n \mu_i \right) \cdot \mathbf{G},$$

for some small norm  $\mathbf{R}_x \in \mathbb{Z}^{m \times m}$ , where

$$\mu_i := \begin{cases} 0 & \text{if } (\text{AHF}(X)_i \neq K_i) \wedge (K_i \neq \perp) \\ 1 & \text{if } (\text{AHF}(X)_i = K_i) \vee (K_i = \perp) \end{cases}$$

If conditions (3.5) are satisfied, at each query  $X^{(i)}$ , the admissible hash function ensures that  $x^{(i)} = \text{AHF}(X^{(i)})$  satisfies

$$\mathbf{A}(x^{(i)}) = \mathbf{A} \cdot (\mathbf{V}_0 + \mathbf{R}_{x^{(i)}}), \quad (3.7)$$

for some small norm  $\mathbf{R}_{x^{(i)}} \in \mathbb{Z}^{m \times m}$ . Moreover, the admissible hash function maps the challenge input  $X^*$  to an  $L$ -bit string  $x^* = \text{AHF}(X^*)$  such that

$$\mathbf{A}(x^*) = \mathbf{A} \cdot (\mathbf{V}_0 + \mathbf{R}_{x^*}) + \mathbf{G}, \quad (3.8)$$

for some small norm  $\mathbf{R}_{x^*} \in \mathbb{Z}^{m \times m}$

**Game<sub>3</sub>:** In this game, we modify the distribution of  $\text{pp}$  and replace the uniform matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  by a lossy matrix such that

$$\mathbf{A}^\top = \bar{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E} \in \mathbb{Z}_q^{m \times n}, \quad (3.9)$$

where  $\bar{\mathbf{A}} \leftarrow U(\mathbb{Z}_q^{n' \times m})$ ,  $\mathbf{C} \leftarrow U(\mathbb{Z}_q^{n' \times n})$  and  $\mathbf{E} \leftarrow D_{\mathbb{Z}^{m \times n}, \alpha q}$ , for  $n'$  significantly smaller than  $n$ . The matrix in (3.9) is thus “computationally close” to a matrix  $\bar{\mathbf{A}}^\top \cdot \mathbf{C}$  of much lower rank than  $n$ . Under the LWE assumption in dimension  $n'$ , this change should not significantly alter  $\mathcal{A}$ ’s behavior and a straightforward reduction  $\mathcal{B}$  shows that  $|\Pr[W_3] - \Pr[W_2]| \leq n \cdot \text{Adv}_{\mathcal{B}}^{\text{LWE}_{q,m,n',\alpha}}(\lambda)$ , where the factor  $n$  comes from the use of an LWE assumption with  $n$  secrets.

The modification introduced in Game<sub>3</sub> has the following consequence. Assuming that conditions (3.5) are satisfied, for each partial evaluation query  $X^{(i)}$  such that  $X^{(i)} \neq X^*$ , the response is of the form

$$\begin{aligned} \mathbf{Y}_j &= \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^{(i)}})^\top \cdot \mathbf{S}_{I_j}^\top \right]_p \\ &= \left[ (\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot (\bar{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E}) \cdot \mathbf{S}_{I_j}^\top \right]_p \quad \forall \theta \in [d_j]. \end{aligned}$$

**Game<sub>4</sub>:** In this game, we modify the evaluation oracle and the challenge oracle by introducing a bad event. We define BAD to be the event that the adversary makes a partial evaluation query  $(j, X)$  or a challenge query  $X^*$  such that the AHF-encoded inputs  $x = \text{AHF}(X)$  and  $x^* = \text{AHF}(X^*) \in \{0, 1\}^L$  corresponding to matrices  $\mathbf{A}(x) = \mathbf{A} \cdot \mathbf{R}_x$ , and  $\mathbf{A}(x^*) = \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G}$  respectively, for some small-norm matrices  $\mathbf{R}_x$  and  $\mathbf{R}_{x^*} \in \mathbb{Z}^{m \times m}$ , satisfy either

$$\mathbf{Y}_j = \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_x)^\top \cdot \mathbf{S}_{I_j}^\top \right]_p \neq \left[ (\mathbf{V}_0^\top + \mathbf{R}_x^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{S}_{I_j}^\top \right]_p. \quad (3.10)$$

or

$$\tilde{\mathbf{z}}^* = \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{s} \right]_p \neq \left[ (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{s} + \mathbf{G}^\top \cdot \mathbf{s} \right]_p. \quad (3.11)$$

Note that the challenger can detect this event since it knows  $\bar{\mathbf{A}} \in \mathbb{Z}_q^{n' \times m}$ ,  $\mathbf{C} \in \mathbb{Z}_q^{n' \times n}$  and  $\mathbf{E} \in \mathbb{Z}^{m \times n}$  satisfying (3.9). If BAD occurs, the challenger overwrites  $\mathcal{A}$ ’s output  $\hat{b}$  with a random bit  $b'' \leftarrow \{0, 1\}$  and sets  $b' = b''$  (otherwise, it sets  $b' = \hat{b}$  as before). Lemma 3.6 shows that we have the inequality  $|\Pr[W_4] - \Pr[W_3]| \leq \Pr[\text{BAD}] \leq 2^{-\Omega(\lambda)}$ .

We note that, if BAD does not occur, we have

$$\left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^{(i)}})^\top \cdot \mathbf{S}_{I_j}^\top \right]_p = \left[ (\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{S}_{I_j}^\top \right]_p \quad (3.12)$$



at each query  $(j, X^{(i)})$  for which  $X^{(i)} \neq X^*$ . We note that the right-hand-side member of (3.12) is fully determined by  $(\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot \bar{\mathbf{A}}^\top$  and the product  $\mathbf{C} \cdot \mathbf{S}_{I_j}^\top \in \mathbb{Z}_q^{n' \times d_j}$ . Conversely, the right-hand-side member of (3.12) uniquely determines  $\mathbf{C} \cdot \mathbf{S}_{I_j}^\top$  with high probability: observe that  $(\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot \bar{\mathbf{A}}^\top$  is statistically uniform over  $\mathbb{Z}_q^{m \times n'}$ , so by Corollary 2.3.1, the quantity  $\lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot \bar{\mathbf{A}}^\top \cdot (\mathbf{C} \cdot \mathbf{S}_{I_j}^\top) \rfloor_p$  is an injective function of  $\mathbf{C} \cdot \mathbf{S}_{I_j}^\top \bmod q$ .

This means that partial evaluation queries  $(j, X^{(i)})$  such that  $X^{(i)} \neq X^*$  always reveal the same information (namely,  $\mathbf{C} \cdot \mathbf{S}_{I_j}^\top \in \mathbb{Z}_q^{n' \times d_j}$ ) about  $\mathbf{S}_{I_j}^\top \in \mathbb{Z}^{n \times d_j}$ . It comes that partial evaluation queries information-theoretically reveal  $\mathbf{C} \cdot \mathbf{S}^\top \bmod q$ , in particular they reveal  $\mathbf{C} \cdot \mathbf{s} \bmod q$ . But we will show that  $\mathbf{s}$  still retains high entropy in  $\mathcal{A}$ 's view.

**Game<sub>5</sub>:** In this game, we modify the challenge value for which, if  $b = 1$ , the adversary is given a random  $\mathbf{y}^* \leftarrow U(\mathbb{Z}_q^k)$ . Clearly, we have  $\Pr[W_5] = 1/2$  since the distribution of the challenge value does not depend on  $b \in \{0, 1\}$ . Moreover, we will show that  $|\Pr[W_5] - \Pr[W_4]| \leq 2^{-\Omega(\lambda)}$ .

Indeed, we claim that, conditionally on  $\mathcal{A}$ 's view, the vector  $\mathbf{y}^*$  is already statistically uniform over  $\mathbb{Z}_q^k$  in Game<sub>4</sub>. Indeed, the source  $\lfloor \bar{\mathbf{z}}^* \rfloor_u$  depends on an injective function  $\mathbf{G}^\top \cdot \mathbf{s} \in \mathbb{Z}_q^m$  of the vector  $\mathbf{s}$ . In Lemma 3.7, we show that this vector has high min-entropy if BAD does not occur.

We observe that the source  $\lfloor \bar{\mathbf{z}}^* \rfloor_u$  can be written

$$\lfloor \bar{\mathbf{z}}^* \rfloor_u = \left\lfloor \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{s} \right]_p \right\rfloor_u \quad (3.13)$$

$$\begin{aligned} &= \left\lfloor (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{s} \right\rfloor_u + \mathbf{e}_{s,x,u} \quad \text{with } \mathbf{e}_{s,x,u} \in \{-1, 0\}^m \\ &= \lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \mathbf{A}^\top \cdot \mathbf{s} \rfloor_u + \lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}_{s,x,u} + \mathbf{e}_{s,x}, \text{ with } \mathbf{e}_{s,x} \in \{0, 1\}^m \\ &= \lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \mathbf{A}^\top \cdot \mathbf{s} \rfloor_u + \lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x}, \quad \text{with } \mathbf{e}'_{s,x} \in \{-1, 0, 1\}^m. \end{aligned} \quad (3.14)$$

Recall that the equality  $\lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \mathbf{A}^\top \cdot \mathbf{s} \rfloor_u = \lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{s} \rfloor_u$ , corresponding to the challenge query, holds as long as the event BAD does not occur.

This implies  $H_\infty(\lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \mathbf{A}^\top \cdot \mathbf{s} \rfloor_u \mid \mathbf{C} \cdot \mathbf{s}) = 0$  as long as BAD does not occur. In the expression of  $\bar{\mathbf{z}}^*$  in (3.14), we also remark that  $\lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x}$  is an injective function of  $\mathbf{s} \in \mathbb{Z}^n$ . To see this, observe that

$$\lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x} = (u/q) \cdot \mathbf{G}^\top \cdot \mathbf{s} - \mathbf{t}_{s,x} + \mathbf{e}'_{s,x}$$

for some  $\mathbf{t}_{s,x} \in (0, 1)^m$ , so that

$$(q/u) \cdot (\lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x}) = \mathbf{G}^\top \cdot \mathbf{s} + \mathbf{e}''_{s,x} \quad (3.15)$$

for some  $\mathbf{e}''_{s,x} \in (-q/u, q/u)^m$ . The vector  $\mathbf{s}$  is thus uniquely determined by (3.15), using the public trapdoor of  $\mathbf{G}$ , when  $u > 2 \log q$ .

Consider the entropy of  $z^* := \lfloor \tilde{\mathbf{z}}^* \rfloor_u$  conditionally on  $\mathcal{A}$ 's view. We have

$$\begin{aligned}
 H_\infty(\lfloor \tilde{\mathbf{z}}^* \rfloor_u \mid \mathbf{C} \cdot \Gamma^\top, \{\mathbf{S}_{I_j}\}_{j \in \mathcal{C}^*}) &= H_\infty(\lfloor (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \mathbf{A}^\top \cdot \mathbf{s} \rfloor_u + \lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x} \mid \mathbf{C} \cdot \Gamma^\top, \{\mathbf{S}_{I_j}\}_{j \in \mathcal{C}^*}) \\
 &= H_\infty(\lfloor \mathbf{G}^\top \cdot \mathbf{s} \rfloor_u + \mathbf{e}'_{s,x} \mid \mathbf{C} \cdot \Gamma^\top, \{\mathbf{S}_{I_j}\}_{j \in \mathcal{C}^*}) \\
 &= H_\infty(\mathbf{s} \mid \mathbf{C} \cdot \Gamma^\top, \{\mathbf{S}_{I_j}\}_{j \in \mathcal{C}^*}) \geq n \cdot \log \sigma - \frac{n}{2} \cdot \log e - n' \cdot \log q - 1.
 \end{aligned}$$

Here, the last inequality is given by Lemma 3.7. The second equality follows from the fact that, for any random variables  $X, Y, Z$  defined over an additive group, we have  $H_\infty(Y + Z \mid X) = H_\infty(Z \mid X)$  if  $H_\infty(Y \mid X) = 0$ .

In order to extract statistically random bits from  $\mathbf{z}^*$ , we must take into account that it possibly depends on  $x^*$  which may depend on the public parameters. As long as  $P_K(X^*) = 0$ , the source  $\mathbf{z}^*$  is taken from a distribution determined by the challenge input  $X^* \in \{0, 1\}^\ell$  within a collection of less than  $2^\ell$  distributions (namely, those inputs  $X$  for which  $P_K(X) = 0$ ), which all have min-entropy  $\bar{n} \geq n \log \sigma - \frac{n}{2} \cdot \log e - n' \log q - 1$ . By applying Lemma 2.19 with  $\epsilon = 2^{-\lambda}$  for a collection  $\mathcal{X}$  of at most  $M = 2^\ell$  distributions, we obtain that the distribution of  $\pi(\mathbf{z}^*)$  is  $2^{-\Omega(\lambda)}$ -close to the uniform distribution over  $\mathbb{Z}_u^k$ .  $\square$

**Lemma 3.6.** *Assume that  $q/p > 2^{L+\lambda} \cdot r \cdot m \cdot N \cdot d$ , where  $r = L \cdot m^2 \cdot n \cdot \alpha q \cdot \beta^*$  with  $\beta^* = O(\beta \cdot \log N)$ . Then, we have the inequality*

$$|\Pr[W_4] - \Pr[W_3]| \leq \Pr[\text{BAD}] \leq 2^{-\Omega(\lambda)}.$$

*Proof.* For each query  $x = x_1 \dots x_L$ , the matrix  $\mathbf{R}_x \in \mathbb{Z}^{m \times m}$  is of the form

$$\begin{aligned}
 \mathbf{R}_x &= \mathbf{R}_{1,x_1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,x_2} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,x_L})))) \\
 &\quad + x_1 \cdot \mathbf{R}_2 \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,x_3} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}))) \\
 &\quad + x_1 \cdot x_2 \cdot \mathbf{R}_3 \cdot \mathbf{G}^{-1}(\mathbf{A}_{4,x_4} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}))) \\
 &\quad \vdots \\
 &\quad + x_1 \cdot x_2 \cdots x_{L-2} \cdot \mathbf{R}_{L-1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,x_L}) \\
 &\quad + x_1 \cdot x_2 \cdots x_{L-1} \cdot \mathbf{R}_L
 \end{aligned}$$

Since  $\mathbf{R}_x$  is the sum of  $L$  products of at most two binary matrices, the following bound can be established:  $\|\mathbf{R}_x\|_\infty \leq (L-1) \cdot m^2 + m$ . Moreover, for every column  $\bar{\mathbf{s}}_{j,\theta} \in \mathbb{Z}^n$ ,  $\theta \in [d_j]$  of the matrix  $\mathbf{S}_{I_j}^\top \in \mathbb{Z}^{n \times d_j}$ , we have  $\|\bar{\mathbf{s}}_{j,\theta}\|_\infty \leq \beta^*$ . We also have  $\|\mathbf{V}_0^\top\|_\infty \leq m$  and

$$\|\mathbf{E}\|_\infty = \max_{i \in [m]} \left( \sum_{j=1}^n |e_{i,j}| \right) \leq \sqrt{n} \max_{i \in [m]} \|\mathbf{e}_i\| \leq n \cdot \alpha q$$

so we can conclude that for every column  $\bar{\mathbf{s}}_{j,\theta}$  of  $\mathbf{S}_{I_j}^\top$  we have:

$$\|(\mathbf{V}_0^\top + \mathbf{R}_x^\top) \cdot \mathbf{E} \cdot \bar{\mathbf{s}}_{j,\theta}\|_\infty \leq (\|\mathbf{V}_0^\top\|_\infty + \|\mathbf{R}_x^\top\|_\infty) \cdot \|\mathbf{E}\|_\infty \cdot \|\bar{\mathbf{s}}_{j,\theta}\|_\infty \leq ((L-1)m^2 + 2m) \cdot n \cdot \alpha q \cdot \beta^*,$$

which is smaller than  $r$ , for  $r = L \cdot m^2 \cdot n \cdot \alpha q \cdot \beta^*$ .

By the Leftover Hash Lemma 2.18, we know that  $\mathbf{V}_0^\top \cdot \bar{\mathbf{A}}^\top$  is statistically close to the uniform over  $\mathbb{Z}_q^{m \times n}$ . Thus by Lemma 2.11, we conclude that  $(\mathbf{V}_0^\top \cdot \bar{\mathbf{A}}^\top) \cdot (\mathbf{C} \cdot \bar{\mathbf{s}}_{j,\theta})$  is close to the uniform distribution over  $\mathbb{Z}_q^m$ . This implies that  $(\mathbf{V}_0^\top + \mathbf{R}_x^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \bar{\mathbf{s}}_{j,\theta}$  is statistically close to the uniform distribution over  $\mathbb{Z}_q^m$ .

So we can apply Lemma 2.10 to establish the following bound on  $\Pr[\text{bad}_{x,j,\theta}]$ :

$$\Pr \left[ \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_x)^\top \cdot \bar{\mathbf{s}}_{j,\theta} \right]_p \neq \left[ (\mathbf{V}_0^\top + \mathbf{R}_x^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \bar{\mathbf{s}}_{j,\theta} \right]_p \right] \leq m \cdot \frac{2rp}{q}. \quad (3.16)$$

where  $r = L \cdot m^2 \cdot n \cdot \alpha q \cdot \beta^*$ , with  $\beta^* = O(\beta \cdot \log N)$ .

An almost identical argument can be used to establish a similar bound for the challenge query:

$$\Pr \left[ \left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{s} \right]_p \neq \left[ (\mathbf{V}_0^\top + \mathbf{R}_{x^*}^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{s} + \mathbf{G}^\top \cdot \mathbf{s} \right]_p \right] \leq m \cdot \frac{2rp}{q} \quad (3.17)$$

By taking a union bound over all possible inputs  $x \in \{0,1\}^L$  and all possible pairs  $(j,\theta) \in [N] \times [d_j]$ , we obtain the upper bound  $\Pr[\text{BAD}] \leq 2^L \cdot m \cdot N \cdot d \cdot \frac{2rp}{q}$ .

Since Game<sub>3</sub> and Game<sub>4</sub> are identical if BAD does not occur, we finally obtain

$$|\Pr[W_4] - \Pr[W_3]| \leq \Pr[\text{BAD}] \leq 2^L \cdot m \cdot N \cdot d \cdot \frac{2rp}{q} \leq 2^{-\lambda},$$

which completes the proof of the lemma.  $\square$

**Lemma 3.7.** *In Game<sub>4</sub>, the min-entropy of  $\mathbf{s}$  conditionally on  $\mathcal{A}$ 's view is at least  $n \cdot \log \sigma - \frac{n}{2} \cdot \log e - n' \cdot \log q - \frac{n}{2n}$ .*

*Proof.* Let us assume that BAD does not occur in Game<sub>4</sub> since, if it does, the challenger replaces the adversary's output with a random bit, in which case both games have the same outcome. We show that, assuming  $\neg\text{BAD}$ , the shared secret vector  $\mathbf{s}$  retains high min-entropy conditionally on the adversary's view.

Let us first recap what the adversary can see in Game<sub>4</sub>. For each partial evaluation query  $(j, X^*)$ , the response  $\left[ (\mathbf{A} \cdot \mathbf{V}_0 + \mathbf{A} \cdot \mathbf{R}_{x^*} + \mathbf{G})^\top \cdot \mathbf{S}_{I_j}^\top \right]_p$  consists of non-lossy functions of  $\mathbf{S}_{I_j}^\top \in \mathbb{Z}^{n \times d_j}$ . We thus consider partial evaluation queries of the form  $(j, X^*)$  as if they were corruption queries and assume that they information-theoretically reveal  $\mathbf{S}_{I_j}$  (i.e. we assume  $\mathcal{C}^*$  from Definition 3.2 consists only of corrupted indices). As for uncorrupted shares  $\{\mathbf{S}_{I_j}\}_{j \in [N] \setminus \mathcal{C}^*}$ , partial evaluation queries  $(j, X^{(i)})$  for which  $X^{(i)} \neq X^*$  only reveal the information  $\{\mathbf{C} \cdot \mathbf{S}_{I_j}^\top\}_{j \in [N] \setminus \mathcal{C}^*}$ . More precisely, those partial evaluations  $\{\mathbf{Y}_j\}_{j \in [N] \setminus \mathcal{C}^*}$  can be written

$$\mathbf{Y}_j = \left[ (\mathbf{A}(x^{(i)}))^\top \cdot \mathbf{S}_{I_j}^\top \right]_p = \left[ (\mathbf{V}_0^\top + \mathbf{R}_{x^{(i)}}^\top) \cdot \bar{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{S}_{I_j}^\top \right]_p \quad (3.18)$$

where

$$\mathbf{S}_{I_j}^\top = \begin{bmatrix} \boldsymbol{\rho}_1^\top \\ \vdots \\ \boldsymbol{\rho}_n^\top \end{bmatrix} \cdot M_{I_j}^\top \in \mathbb{Z}^{n \times d_j}$$

is a product of  $M_{I_j}^\top$  with the matrix  $[\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n]^\top \in \mathbb{Z}^{n \times e}$  whose first column is the secret  $SK = \mathbf{s} \in \mathbb{Z}^n$ . Hence, the information revealed by (3.18) for  $j \in [N] \setminus \mathcal{C}^*$  is only a lossy function  $\mathbf{C} \cdot \mathbf{S}_{I_j}^\top$  of the share  $\mathbf{S}_{I_j}$ : namely,

$$\begin{aligned} \mathbf{C} \cdot \begin{bmatrix} \boldsymbol{\rho}_1^\top \\ \vdots \\ \boldsymbol{\rho}_n^\top \end{bmatrix} \cdot M_{I_j}^\top &= \left[ \mathbf{C} \cdot \mathbf{s} \mid \mathbf{C} \cdot \begin{pmatrix} \rho_{1,2} \\ \vdots \\ \rho_{n,2} \end{pmatrix} \mid \dots \mid \mathbf{C} \cdot \begin{pmatrix} \rho_{1,e} \\ \vdots \\ \rho_{n,e} \end{pmatrix} \right] \cdot M_{I_j}^\top, \\ &= \mathbf{C} \cdot \Gamma^\top \cdot M_{I_j}^\top, \end{aligned} \quad (3.19)$$

where

$$\Gamma = [\boldsymbol{\rho}_1 \mid \dots \mid \boldsymbol{\rho}_n] = \begin{bmatrix} & \mathbf{s}^\top & \\ \hline \rho_{1,2} & \dots & \rho_{n,2} \\ \vdots & \ddots & \vdots \\ \rho_{1,e} & \dots & \rho_{n,e} \end{bmatrix} \in \mathbb{Z}^{e \times n}$$

is the matrix of Gaussian entries which is used to compute secret key shares

$$\mathbf{S}_{I_j} = M_{I_j} \cdot \Gamma \quad \forall j \in [N].$$

The information revealed by exposed shares  $\{\mathbf{S}_{I_j}\}_{j \in \mathcal{C}^*}$  can thus be written

$$\mathbf{S}_{I_j} = [\mathbf{s}_{I_j,1} \mid \dots \mid \mathbf{s}_{I_j,n}] = M_{I_j} \cdot \Gamma \in \mathbb{Z}^{d_j \times n} \quad \forall j \in \mathcal{C}^*. \quad (3.20)$$

At this stage, we see that proving the following fact on distributions is sufficient to complete the proof of the lemma.

**Claim.** *Let  $M_{\mathcal{C}^*}$  to be the sub-matrix of  $M$  obtained by stacking up the rows assigned to corrupted parties  $j \in \mathcal{C}^*$ . Conditionally on*

$$(\mathbf{C}, \mathbf{C} \cdot \Gamma^\top \cdot M^\top, M_{\mathcal{C}^*}, M_{\mathcal{C}^*} \cdot \Gamma), \quad (3.21)$$

*the vector  $\mathbf{s}^\top = (1, 0, \dots, 0)^\top \cdot \Gamma$  has min-entropy  $\geq n \cdot \log \sigma - \frac{n}{2} \cdot \log e - n' \cdot \log q - \frac{n}{2^n}$ .*

To prove this statement, we apply arguments inspired from [ALS16, Lemma 1]. First, we observe that conditioning on (3.21) is the same as conditioning on  $(\mathbf{C}, \mathbf{C} \cdot \Gamma^\top \cdot M_{[N] \setminus \mathcal{C}^*}^\top, M_{\mathcal{C}^*}, M_{\mathcal{C}^*} \cdot \Gamma)$  since  $M_{\mathcal{C}^*} \cdot \Gamma$  and  $\mathbf{C}$  are given. In fact, it is sufficient to prove the result when conditioning on

$$(\mathbf{C}, \mathbf{C} \cdot \Gamma^\top, M_{\mathcal{C}^*}, M_{\mathcal{C}^*} \cdot \Gamma),$$

as  $\mathbf{C} \cdot \Gamma^\top \cdot M_{[N] \setminus \mathcal{C}^*}^\top$  is computable from  $\mathbf{C} \cdot \Gamma^\top$ . By the definition of an Integer Span Program, we know that there exists a sweeping vector  $\boldsymbol{\kappa} \in \mathbb{Z}^e$  whose first coordinate is  $\kappa_1 = 1$  and such that  $M_{\mathcal{C}^*} \cdot \boldsymbol{\kappa} = \mathbf{0}$ . The rows of  $M_{\mathcal{C}^*}$  thus live in the lattice

$\mathcal{L}_{\mathcal{E}^*} = \{\mathbf{m} \in \mathbb{Z}^e : \langle \mathbf{m}, \boldsymbol{\kappa} \rangle = 0\}$ . Hence, if we define a matrix  $L_{\mathcal{E}^*} \in \mathbb{Z}^{(e-1) \times e}$  whose rows form a basis of  $\mathcal{L}_{\mathcal{E}^*}$ , we may prove the min-entropy lower bound conditioned on

$$(\mathbf{C}, \mathbf{C} \cdot \Gamma^\top, L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma).$$

This is because  $L_{\mathcal{E}^*} \cdot \Gamma$  provides at least as much information as  $M_{\mathcal{E}^*} \cdot \Gamma$ .

We first consider the distribution of  $\Gamma$ , conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ . Since the columns of  $\Gamma$  are statistically independent, we may look at them individually. For each  $i \in [n]$ , we let  $\boldsymbol{\rho}_i^* \in \mathbb{Z}^e$  be an arbitrary solution of  $L_{\mathcal{E}^*} \cdot \boldsymbol{\rho}_i^* = L_{\mathcal{E}^*} \cdot \boldsymbol{\rho}_i \in \mathbb{Z}_q^{e-1}$ . The distribution of  $\boldsymbol{\rho}_i \in \mathbb{Z}^e$  conditionally on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \boldsymbol{\rho}_i)$  is  $\boldsymbol{\rho}_i^* + D_{\Lambda, \sigma, -\boldsymbol{\rho}_i^*}$ , where  $\Lambda = \{\mathbf{x} \in \mathbb{Z}^e \mid L_{\mathcal{E}^*} \cdot \mathbf{x} = \mathbf{0}\}$  is the 1-dimensional lattice  $\Lambda = \boldsymbol{\kappa} \cdot \mathbb{Z}$ .

At this stage, we know each row  $\boldsymbol{\rho}_i = (s_i, \rho_{i,2}, \dots, \rho_{i,e})^\top$  of  $\Gamma^\top$ , conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ , is Gaussian over an affine line. We use this observation to show that conditioning on  $(\mathbf{C}, \mathbf{C} \cdot \Gamma^\top, L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$  is the same as conditioning on  $(\mathbf{C}, \mathbf{C} \cdot \mathbf{s}, L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ . In fact, we claim that, conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ , the last  $e-1$  columns of  $\Gamma^\top$  do not reveal any more information than its first column. Indeed, conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ , each  $\boldsymbol{\rho}_i$  can be written  $\boldsymbol{\rho}_i = \xi_i \cdot \boldsymbol{\kappa} + \boldsymbol{\rho}_i^*$  for some integer  $\xi_i \in \mathbb{Z}$ . We may assume that the shifting vector  $\boldsymbol{\rho}_i^* = (\rho_{i,1}^*, \dots, \rho_{i,e}^*)^\top \in \mathbb{Z}_q^e$  is known to  $\mathcal{A}$  as it can be obtained from  $L_{\mathcal{E}^*} \cdot \boldsymbol{\rho}_i$  via de-randomized Gaussian elimination. Writing  $\boldsymbol{\kappa} = (\kappa_1, \dots, \kappa_e)$ , the  $j$ -th column  $(\Gamma^\top)_j$  of  $\Gamma^\top$  is

$$(\Gamma^\top)_j = \boldsymbol{\kappa}_j \cdot \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_n \end{pmatrix} + \begin{pmatrix} \rho_{1,j}^* \\ \vdots \\ \rho_{n,j}^* \end{pmatrix} \quad \forall j \in [e].$$

As  $\kappa_1 = 1$ , we have

$$(\Gamma^\top)_j = \kappa_j \cdot (\Gamma^\top)_1 - \kappa_j \cdot \begin{pmatrix} \rho_{1,1}^* \\ \vdots \\ \rho_{n,1}^* \end{pmatrix} + \begin{pmatrix} \rho_{1,j}^* \\ \vdots \\ \rho_{n,j}^* \end{pmatrix} \quad \forall j \in [e].$$

In the latter, the last two terms are information-theoretically known to  $\mathcal{A}$  (once we have conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ ) and so is  $\kappa_j$ .

We now study the distribution of  $\mathbf{s} = (\Gamma^\top)_1$  conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ . By statistical independence, we may consider each coordinate  $s_i = (1, 0, \dots, 0)^\top \cdot \boldsymbol{\rho}_i$  of  $\mathbf{s}$  individually. Recall that, conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ , each  $\boldsymbol{\rho}_i$  is distributed as  $\boldsymbol{\rho}_i^* + D_{\boldsymbol{\kappa}\mathbb{Z}, \sigma, -\boldsymbol{\rho}_i^*}$ . Write  $\boldsymbol{\rho}_i^* = y \cdot \boldsymbol{\kappa} + (\boldsymbol{\rho}_i^*)^\perp$ , with  $y \in \mathbb{R}$  and  $(\boldsymbol{\rho}_i^*)^\perp$  orthogonal to  $\boldsymbol{\kappa}$ . Then,

$$\begin{aligned} \boldsymbol{\rho}_i^* + D_{\boldsymbol{\kappa}\mathbb{Z}, \sigma, -\boldsymbol{\rho}_i^*} &= \boldsymbol{\rho}_i^* + D_{\boldsymbol{\kappa}\mathbb{Z}, \sigma, -y \cdot \boldsymbol{\kappa} - (\boldsymbol{\rho}_i^*)^\perp} \\ &= \boldsymbol{\rho}_i^* + \boldsymbol{\kappa} \cdot D_{\mathbb{Z}, \sigma / \|\boldsymbol{\kappa}\|, -y} \end{aligned}$$

We now take the inner product with  $(1, 0, \dots, 0)$  and use the fact that  $\kappa_1 = 1$  to obtain that, conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ , the coordinate  $s_i$  is distributed as  $(\boldsymbol{\rho}_i^*)_1^\perp +$

$y + D_{\mathbb{Z}, \sigma / \|\kappa\|, -y}$ . As  $\kappa \in \{-1, 0, 1\}^e$  with the Benaloh-Leichter-based LISS scheme of [DT06], and by our choice of  $\sigma$ , we have that  $\sigma / \|\kappa\| = \Omega(\sqrt{n})$ . Using Lemma 2.6, this implies that each  $s_i$  has min-entropy  $\geq \log(\sigma / \|\kappa\|) - 2^{-n} \geq \log \sigma - \frac{1}{2} \log e - 2^{-n}$ . Overall, we obtain

$$H_\infty(\mathbf{s} \mid L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma) \geq n \cdot \log \sigma - \frac{n}{2} \cdot \log e - \frac{n}{2^n}.$$

We are now ready to conclude. By the above, to prove the claim (and hence the lemma), it suffices to obtain a lower bound on the min-entropy of  $\mathbf{s}$  conditioned on  $(\mathbf{C}, \mathbf{C} \cdot \mathbf{s}, L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$ . We then use the above min-entropy lower bound on  $\mathbf{s}$  conditioned on  $(L_{\mathcal{E}^*}, L_{\mathcal{E}^*} \cdot \Gamma)$  and the fact that given  $\mathbf{C}$ , the quantity  $\mathbf{C} \cdot \mathbf{s} \in \mathbb{Z}_q^{n'}$  reveals at most  $n' \log q$  bits.  $\square$

### 3.6 Robustness from Homomorphic Signatures

In this section, we show how to extend our DPRF so as to achieve robustness against faulty servers at the expense of assuming reliable erasures on behalf of the servers. Note that relying on erasures is common in the context of adaptive corruptions (see, e.g., [CGJ<sup>+</sup>99]). To this end, we follow the approach of [BGGK17, BGG<sup>+</sup>18] which relies on homomorphic signatures to obtain a mechanism allowing to verify that servers' computations are carried out correctly.

In short, a leveled homomorphic signature makes it possible to publicly derive a signature  $\Phi_C$  on a circuit evaluation  $C(M)$ , given a signature  $\Phi$  on an initial message  $M$ . In our setting, as well as in [BGGK17, BGG<sup>+</sup>18], we need a homomorphic signature which is *context-hiding*, meaning that a homomorphically evaluated signature  $\Phi_C$  is statistically independent of the initial message  $M$ : more formally, there should be a simulator that creates  $\Phi_C$  from scratch from the signing key  $sk$  and the value  $C(M)$  and, yet, the joint distribution of  $(C(M), \Phi_C)$  should be statistically close to that obtained by homomorphically deriving  $\Phi_C$  from  $(M, \Phi)$ . One difficulty is that the fully homomorphic signatures of [GVW15b] are not known to be simultaneously context-hiding and adaptively unforgeable (i.e., context-hiding security was only achieved for selectively unforgeable schemes). Fortunately, selective unforgeability is sufficient for our purposes (and those of [BGGK17]). We can thus instantiate our construction using the context-hiding construction of Gorbunov, Vaikuntanathan and Wichs [GVW15b], which relies on the Short-Integer-Solution (SIS) assumption. Since the LWE assumption implies the SIS assumption, we can achieve robustness without introducing any additional assumption, analogously to [BGGK17, BGG<sup>+</sup>18].

A Robust DPRF is a DPRF endowed with an additional verification algorithm `Rob.Verify` that takes as input the public parameters of the DPRF along with an input  $X$  and a candidate partial evaluation  $Y_j$  on behalf of server  $j \in [N]$ , together with a corresponding label  $\phi_j$ . It outputs 1 or 0 depending on whether  $(Y_j, \phi_j)$  is deemed valid or not. For correctness, we require that `Rob.Verify(pp,  $Y_j, \phi_j, X$ ) = 1`

for all  $j \in [N]$ ,  $\text{pp} \leftarrow \text{Rob.Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$ , any input  $X \in \mathcal{X}$  and any evaluation  $(Y_j, \phi_j) \leftarrow \text{Rob.PEval}(\text{pp}, SK_j, X)$ , for  $(SK_1, \dots, SK_N) \leftarrow \text{Rob.Share}(SK_0)$  obtained by sharing  $SK_0 \leftarrow \mathcal{K}$ . The robustness property requires that it be unfeasible for a corrupted server  $j \in [N]$  holding the secret share  $SK_j$  to produce an incorrect partial evaluation  $Y_j$ , for some input  $X$ , that still passes the verification  $\text{Rob.Verify}(\text{pp}, Y_j, X) = 1$ .

**Definition 3.6** (Robust DPRF). *A robust DPRF family is specified by the algorithms:  $(\text{Rob.Setup}, \text{Rob.Keygen}, \text{Rob.Share}, \text{Rob.PEval}, \text{Rob.Eval}, \text{Rob.Combine})$ , together with a polynomial-time verification algorithm,  $\text{Rob.Verify}$ , such that:*

**Correctness:** *For any  $\text{pp} \leftarrow \text{Rob.Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$ ,  $SK_0 \leftarrow \text{Rob.Keygen}(\text{pp})$ , any sharing  $(SK_1, \dots, SK_N) \leftarrow \text{Rob.Share}(SK_0)$ , any index  $j \in [N]$ , any  $X \in \mathcal{X}$  and any  $(Y_j, \phi_j) \leftarrow \text{Rob.PEval}(\text{pp}, SK_j, X)$ , we have  $\text{Rob.Verify}(\text{pp}, j, Y_j, \phi_j, X) = 1$ .*

**Robustness:** *For any  $j \in [N]$  and any PPT adversary  $\mathcal{A}$ , there exists a negligible function  $\text{negl}(\lambda)$  such that:*

$$\Pr \left[ \text{Rob.Verify}(\text{pp}, j, \tilde{Y}_j, \tilde{\phi}_j, \tilde{X}) = 1 \mid \begin{array}{c} (\tilde{Y}_j, \tilde{\phi}_j, \tilde{X}) \leftarrow \mathcal{A}(\text{pp}, \mathbf{SK}) \\ \wedge \\ \tilde{Y}_j \neq Y_j \end{array} \right] \leq \text{negl}(\lambda)$$

where the probability is taken over: the randomness of  $\mathcal{A}$ , and over the randomness:  $(Y_j, \phi_j) \leftarrow \text{Rob.PEval}(SK_j, X)$ ,  $\text{pp} \leftarrow \text{Rob.Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  and  $SK_0 \leftarrow \text{Rob.Keygen}(\text{pp})$  and  $\mathbf{SK} := (SK_1, \dots, SK_N) \leftarrow \text{Rob.Share}(SK_0)$ .

### 3.6.1 Homomorphic Signatures

In this section we recall the definition of leveled homomorphic signature [GVW15b]. We use the simplified version presented in [BGGK17].

**Definition 3.7** (Context-Hiding Homomorphic Signature). *A (leveled) context-hiding homomorphic signature scheme is a tuple of efficient algorithms  $(\text{KeyGen}, \text{Sign}, \text{SignEval}, \text{Verify}, \text{Hide}, \text{HVerify})$  with the following specifications.*

$\text{KeyGen}(1^\lambda, 1^d, 1^K) \rightarrow (sk, vk)$ : *On input the security parameter  $\lambda$ , a circuit depth bound  $d$  and a data set bound  $K$ , the algorithm outputs a signing key and a verification key.*

$\text{Sign}(sk, \mathbf{m}) \rightarrow \Phi$ : *On input of the signing key  $sk$  and a message  $\mathbf{m} \in \{0, 1\}^K$ , the algorithm outputs a signature  $\Phi$ .*

$\text{SignEval}(C, \Phi) \rightarrow \Phi^*$ : *On input of a circuit  $C : \{0, 1\}^K \rightarrow \{0, 1\}$  and a signature, the algorithm outputs a homomorphically evaluated signature  $\Phi^*$ .*

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$\text{Verify}(vk, C, y, \Phi^*) \rightarrow 0/1$ : Given a verification key  $vk$ , a circuit  $C : \{0, 1\}^K \rightarrow \{0, 1\}$ , an output value  $y$  and a signature  $\Phi^*$ , the algorithm outputs 1 or 0.

$\text{Hide}(vk, y, \Phi) \rightarrow \tilde{\Phi}$ : On input of a verification key  $vk$ , an output value  $y$ , and a signature  $\Phi$ , this (randomized) algorithm outputs a transformed signature  $\tilde{\Phi}$ .

$\text{HVerify}(vk, C, y, \tilde{\Phi}) \rightarrow 0/1$ : Given a verification key  $vk$ , a circuit  $C : \{0, 1\}^K \rightarrow \{0, 1\}$ , an output value  $y$  and a transformed signature  $\tilde{\Phi}$ , the algorithm outputs 1 or 0.

**Correctness:** For all  $\lambda, d, K \in \mathbb{N}$ ,  $(sk, vk) \leftarrow \text{KeyGen}(1^\lambda, 1^d, 1^K)$ ,  $\mathbf{m} \in \{0, 1\}^K$ ,  $\Phi \leftarrow \text{Sign}(sk, \mathbf{m})$ ,  $C : \{0, 1\}^K \rightarrow \{0, 1\}$  a circuit of depth at most  $d$  and  $y = C(\mathbf{m})$ , if  $\Phi^* \leftarrow \text{SignEval}(C, \Phi)$ , then  $\text{Verify}(vk, C, y, \Phi^*) = 1$ . Moreover, for any  $(C, y, \Phi)$  such that  $\text{Verify}(vk, C, y, \Phi) = 1$  and any  $\tilde{\Phi} \leftarrow \text{Hide}(vk, y, \Phi)$ , we have  $\text{HVerify}(vk, C, y, \tilde{\Phi}) = 1$  w.h.p. over the randomness of  $\text{Hide}$ .

For our purposes, we need a homomorphic signature that satisfies two security definitions called *unforgeability* and *context-hiding* [GVW15b].

**Definition 3.8** (Selective Unforgeability). *We say that a leveled context-hiding homomorphic signature scheme  $\text{HS} = (\text{KeyGen}, \text{Sign}, \text{SignEval}, \text{Verify}, \text{Hide}, \text{HVerify})$  provides selective unforgeability if, for any PPT adversary  $\mathcal{A}$ , there is a negligible function  $\text{negl}(\lambda)$  such that*

$$\text{Adv}_{\text{HS}, \mathcal{A}}^{\text{uf}}(\lambda) := \Pr[\text{Exp}_{\text{HS}, \mathcal{A}}^{\text{uf}}(\lambda) = 1] \leq \text{negl}(\lambda),$$

where  $\text{Exp}_{\text{HS}, \mathcal{A}}^{\text{uf}}(\lambda)$  is defined below:

1.  $(sk, vk) \leftarrow \text{KeyGen}(1^\lambda, 1^d, 1^K)$
2.  $\mathbf{m}^* \leftarrow \mathcal{A}(1^\lambda)$
3.  $\Phi \leftarrow \text{Sign}(sk, \mathbf{m}^*)$
4.  $(C^*, y^*, \tilde{\Phi}^*) \leftarrow \mathcal{A}(\Phi, vk)$

The experiment outputs 1 if and only if the following conditions are satisfied:

- The depth of  $C^*$  is at most  $d$
- $C^*(\mathbf{m}^*) \neq y^*$
- $\text{HVerify}(vk, C^*, y^*, \tilde{\Phi}^*) = 1$ .



We need a homomorphic signature satisfying a notion of context-hiding security capturing that derived signatures hide everything but the result  $C(\mathbf{m})$  of the circuit evaluation. This notion is achieved via the Hide algorithm that takes as input a derived signature  $\Phi$  (which is not context hiding) and applies some sanitization procedure that makes the resulting signature context hiding. More precisely, the “hiding” signature  $\tilde{\Phi}$  can be simulated given only  $C$  and  $y = C(\mathbf{m})$ , no matter which original signature was used to create it. Our Definition 3.9 hereunder is formulated in a slightly different way than (and is actually implied by) [GVW15b, Definition 6.1]. We chose this formulation to make it explicit that indistinguishability holds even when the original signatures are given.

**Definition 3.9** (Context-hiding). *A leveled homomorphic signature  $\text{HS} = (\text{KeyGen}, \text{Sign}, \text{SignEval}, \text{Verify}, \text{Hide}, \text{HVerify})$  is statistically context-hiding if there exists a simulator  $\text{Sim}$  such that no (computationally unbounded) adversary has noticeable advantage in the following game:*

1. *The challenger flips a coin  $b \leftarrow U(\{0, 1\})$ , generates  $(sk, vk) \leftarrow \text{KeyGen}(1^\lambda, 1^d, 1^K)$  and gives  $(sk, vk)$  to the adversary  $\mathcal{A}$ .*
2. *On polynomially-many occasions,  $\mathcal{A}$  submits adaptive queries, each of which consists of a message  $y$ , a circuit  $C : \{0, 1\}^K \rightarrow \{0, 1\}$ , and a signature  $\Phi$ . If  $\text{Verify}(vk, C, y, \Phi) = 0$ , the challenger returns  $\perp$ . Otherwise, the challenger responds as follows:*
  - *If  $b = 0$ , the challenger evaluates  $\tilde{\Phi} \leftarrow \text{Hide}(vk, y, \Phi)$  and returns  $\tilde{\Phi}$ .*
  - *If  $b = 1$ , the challenger computes  $\tilde{\Phi} \leftarrow \text{Sim}(sk, C, y)$  and returns  $\tilde{\Phi}$  to  $\mathcal{A}$ .*
3. *Finally, the adversary outputs a bit  $b' \in \{0, 1\}$  and its advantage is measured by the distance  $\text{Adv}_{\mathcal{A}}^{\text{ch}}(\lambda) := |\Pr[b' = b] - 1/2|$ .*

The context-hiding construction of Gorbunov *et al.* [GVW15b, Section 6] is easily seen to satisfy the above security definition.

### 3.6.2 A Robust DPRF Construction

In this section, we use homomorphic signatures to make our DPRF construction of Section 3.5 robust against malicious servers. For this purpose, we need a leveled homomorphic signatures [GVW15b] that is context-hiding. Following the approach of [BGGK17, BGG<sup>+</sup>18], we have the trusted dealer provide each server  $j \in [N]$  with a secret key share  $SK_j$  together with a homomorphic signature  $\Phi_j$  of that secret share. By leveraging the homomorphism of the signature scheme, each server  $j$  can derive (without knowing the signing key) valid signatures for circuits  $C_X$  that evaluate  $Y_j = \text{PEval}(SK_j, X)$  on input of  $SK_j$ . Each server can thus produce a valid signature for the partial evaluation of the PRF on any input  $X$  using

its own share  $SK_j$  and the corresponding signature. The unforgeability of homomorphic signatures makes it unfeasible to come up with a valid signature on an incorrect partial evaluation of the PRF for the input  $X$ .

Let a DPRF specified by algorithms (DPRF.Setup, DPRF.Share, DPRF.PEval, DPRF.Eval, DPRF.Combine), as described in Section 3.5. Let a homomorphic signature scheme (KeyGen, Sign, SignEval, Verify, Hide, HVerify) that is context-hiding. We now describe a robust DPRF as follows.

**Rob.Setup**( $1^\lambda, 1^\ell, 1^t, 1^N$ ): Run  $\text{pp} \leftarrow \text{DPRF.Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$ . Define the input space  $\mathcal{X}$  and the key space  $\mathcal{K}$  to be the same as in the underlying DPRF. Let  $K$  the number of bits to represent any element of  $\mathcal{K}$  and  $d$  is the maximal depth of any Boolean circuit that computes  $C_X(\cdot) := \text{DPRF.PEval}(\cdot, X)$  for any input  $X \in \mathcal{X}$ . Also run  $(sk_j, vk_j) \leftarrow \text{HS.KeyGen}(1^\lambda, 1^d, 1^K)$  for each  $j \in [N]$ .

Return  $\text{Rob.pp} = (\text{pp}, \{vk_j\}_{j \in [N]}, 1^d)$ .

**Rob.Keygen**(pp): Run  $SK_0 \leftarrow \text{DPRF.Keygen}(\text{pp})$ , output  $SK_0$ .

**Rob.Share**( $SK_0$ ): In order to share  $SK_0 \in \mathcal{K}$ , and do the following.

1. Run  $(SK_1, \dots, SK_N) \leftarrow \text{DPRF.Share}(SK_0)$ .
2. Compute  $\Phi_j \leftarrow \text{HS.Sign}(sk_j, SK_j)$  for all  $j \in [N]$ .  
Output  $((SK_1, \Phi_1), \dots, (SK_N, \Phi_N))$

For each  $j \in [N]$ , server  $j$  receives the pair  $(SK_j, \Phi_j)$ . The signing keys  $sk_j$  are the ones generated by the Rob.Setup algorithm.

**Rob.PEval**( $(SK_j, \Phi_j), X$ ): Given its secret key share  $(SK_j, \Phi_j)$ , the  $j$ -th server computes a partial evaluation on  $X$  by conducting the following steps:

1. Compute  $Y_j \leftarrow \text{DPRF.PEval}(SK_j, X)$ .
2. Compute  $\Phi_{j,X} \leftarrow \text{HS.SignEval}(C_X, \Phi_j)$ , where  $C_X$  is a Boolean circuit such that  $C_X(\cdot) = \text{DPRF.PEval}(\cdot, X)$ .
3. Compute  $\tilde{\Phi}_{j,X} \leftarrow \text{HS.Hide}(vk_j, Y_j, \Phi_{j,X})$  and erase the random coins used by HS.Hide.

Then, return  $(Y_j, \tilde{\Phi}_{j,X})$ .

**Rob.Eval**( $SK_0, X$ ): Compute  $Y \leftarrow \text{DPRF.Eval}(SK_0, X)$ .

**Rob.Combine**( $\mathcal{S}, (Y_{j_1}, \Phi_{j_1}), \dots, (Y_{j_t}, \Phi_{j_t})$ ): On input a  $t$ -subset of  $[N]$  and  $t$  partial evaluations, output  $Y \leftarrow \text{DPRF.Combine}(\mathcal{S}, (Y_{j_1}, \dots, Y_{j_t}))$ .

**Robust.Verify**(Rob.pp,  $j, (Y_j, \tilde{\Phi}_{j,X}), X$ ): If  $\text{HS.HVerify}(vk_j, C_X, Y_j, \tilde{\Phi}_{j,X}) = 1$ , return 1. Otherwise, return 0.

The correctness of the Rob.Verify algorithm follows from the correctness of the homomorphic signature scheme. The robustness of the DPRF is implied by the selective unforgeability of the homomorphic signature. From a robustness adversary, we can easily construct a selective forger in the sense of Definition 3.8 as the only way for the adversary to trick the verification algorithm into accepting an incorrect partial evaluation is to break the unforgeability of the homomorphic signature. Moreover, the latter only needs to provide selective unforgeability since, in the reduction, the adversary only needs to see one verification key  $vk$  after the generation of signatures on secret key shares  $\{SK_j\}_{j \in [N]}$ .

**Theorem 3.8.** *Assuming that the homomorphic signature scheme provides selective unforgeability, the above construction satisfies the robustness property.*

*Proof.* Towards a contradiction, suppose that there exists a polynomial-time adversary  $\mathcal{A}$  that breaks the robustness for the index  $j \in [N]$  (in the sense of Definition 3.6) of the Rob.DPRF. We build a polynomial-time adversary  $\mathcal{B}$  that can forge signatures, thus breaking the selective unforgeability of the homomorphic signature HS.

The reduction  $\mathcal{B}$  starts by running  $\text{pp} \leftarrow \text{DPRF.Setup}(1^\lambda, 1^\ell, 1^t, 1^N)$  such that any key in  $\mathcal{K}$  can be represented using  $K$  bits and, for any input  $X \in \mathcal{X}$ , the Boolean circuit  $C_X(\cdot) := \text{DPRF.PEval}(\cdot, X)$  has depth at most  $d$ . Next, the reduction  $\mathcal{B}$  generates a master secret key  $SK_0 \leftarrow \text{DPRF.Keygen}(\text{pp})$  and computes  $\mathbf{SK} = (SK_1, \dots, SK_N)$  by running the sharing algorithm  $\text{DPRF.Share}(SK_0)$ .

To finalize step 2 of the selective unforgeability game,  $\mathcal{B}$  sends  $\mathbf{m}^* := SK_j \in \{0, 1\}^K$  to the challenger as the signing query. The challenger runs  $(vk_j, sk_j) \leftarrow \text{HS.KeyGen}(1^\lambda, 1^d, 1^K)$  and provides  $\mathcal{B}$  with the public verification key  $vk_j$  together with  $\Phi_j \leftarrow \text{HS.Sign}(sk_j, \mathbf{m}^*)$ . Moreover,  $\mathcal{B}$  runs  $(sk_i, vk_i) \leftarrow \text{HS.KeyGen}(1^\lambda, 1^d, 1^K)$  for all  $i \in [N] \setminus \{j\}$  and computes the rest of the signatures  $\Phi_i \leftarrow \text{HS.Sign}(sk_i, SK_i)$ , for all  $i \in [N] \setminus \{j\}$ . Now,  $\mathcal{B}$  is able to compute both the public parameters of the Rob.DPRF as  $(\text{pp}, \{vk_j\}_{j \in [N]})$  and the vector  $\mathbf{SK} := ((SK_1, \Phi_1), \dots, (SK_N, \Phi_N))$ , which corresponds to all the secret shares of the Rob.DPRF scheme. Then it gives both to the attacker  $\mathcal{A}$ .

Since  $\mathcal{A}$  can break robustness, by hypothesis, it has non-negligible probability of outputting  $(\tilde{Y}_j, \tilde{\Phi}_j^*, \tilde{X})$  such that  $\text{Rob.Verify}(\text{pp}, j, (\tilde{Y}_j, \tilde{\Phi}_j^*), \tilde{X}) = 1$  and  $\tilde{Y}_j$  is not obtained by running  $\text{Rob.PEval}((SK_j, \phi_j), \tilde{X})$ . By construction, this translates for the homomorphic signature scheme into:

$$\text{HS.Verify}(vk_j, C_{\tilde{X}}, \tilde{Y}_j, \tilde{\Phi}_j^*) = 1 \quad \wedge \quad \tilde{Y}_j \neq \text{DPRF.PEval}(SK_j, \tilde{X}),$$

where the second condition is equivalent to  $\tilde{Y}_j \neq C_{\tilde{X}}(SK_j)$ .

At step 4 of the selective unforgeability game, algorithm  $\mathcal{B}$  outputs a triple  $(C_{\tilde{X}}, \tilde{Y}_j, \tilde{\Phi}_j^*)$ , which constitutes a forgery for the signature scheme since the verification algorithm accepts even though  $C_{\tilde{X}}(\mathbf{m}^*) \neq \tilde{Y}_j$ . The probability that  $\mathcal{B}$  out-

puts a forgery is the same as the probability of  $\mathcal{A}$  in breaking the robustness of the Rob.DPRF.  $\square$

We still have to prove that above construction preserves the security of the underlying DPRF under adaptive corruptions. For this purpose, we need to assume that the servers can reliably erase the random coins of the Hide procedure after the computation of  $\tilde{\Phi}_{j,X}$  at step 3 of PEval.

**Theorem 3.9.** *The above construction is an adaptively secure robust DPRF assuming that: (i) The underlying DPRF is adaptively secure; (ii) The homomorphic signature scheme is context-hiding; (iii) Each server can reliably erase its random coins.*

*Proof.* To prove the result, we first consider two indistinguishable games where  $\text{Game}_0$  is the real adaptive security experiment and  $\text{Game}_1$  makes it easier to give a reduction from the security of the underlying DPRF.

**Game<sub>0</sub>:** This is the real adaptive security experiment of Definition 3.2.

**Game<sub>1</sub>:** This game is identical to  $\text{Game}_0$  with a modification in the partial evaluation oracle at each partial evaluation query  $(j, X)$ . At step 3 of PEval, instead of computing  $\tilde{\Phi}_{j,X} \leftarrow \text{HS.Hide}(\nu k_j, Y_j, \Phi_{j,X})$ , the challenger computes  $\tilde{\Phi}_{j,X} \leftarrow \text{Sim}(sk_j, C_X, Y_j)$ . As long as the servers can erase their local randomness after each execution of PEval,<sup>1</sup> we can meaningfully rely on the context-hiding security of HS to show that  $\text{Game}_1$  and  $\text{Game}_0$  are statistically indistinguishable. Note that the derived signature  $\Phi_{j,X}$  obtained at step 2 of PEval is now independent of the adversary's view.

Now, assuming that there exists a PPT adversary  $\mathcal{A}$  with noticeable advantage in  $\text{Game}_1$ , we build an efficient adversary  $\mathcal{B}$  with the same advantage in the adaptive security game for the underlying (non-robust) DPRF.

Algorithm  $\mathcal{B}$  runs  $\mathcal{A}$  on input of the public parameters  $\text{pp}$  that it receives from its own challenger. Before answering  $\mathcal{A}$ 's queries,  $\mathcal{B}$  generates  $N$  homomorphic signature key pairs  $(sk_i, \nu k_i) \leftarrow \text{HS.KeyGen}(1^\lambda, 1^d, 1^K)$ , for  $i \in [N]$ , where  $d$  is the maximum depth of the Boolean circuits  $C_X(\cdot) = \text{PEval}(\cdot, X)$ , for any input  $X \in \mathcal{X}$ . (The input space and the key space are known from the public parameters  $\text{pp}$ ). The verification keys  $\nu k_i$ , are also given to  $\mathcal{A}$ .

At each corruption query  $j \in [N]$  made by  $\mathcal{A}$ , the reduction  $\mathcal{B}$  relays the query to its DPRF challenger. Upon receiving  $SK_j$  from the latter,  $\mathcal{B}$  computes  $\Phi_j := \text{HS.Sign}(sk_j, SK_j)$  and hands  $(SK_j, \Phi_j)$  to  $\mathcal{A}$ . At each partial evaluation query  $(i, X) \in [N] \times \{0, 1\}^\ell$  made by  $\mathcal{A}$ ,  $\mathcal{B}$  sends the same query to its challenger and, upon receiving the response  $Y_i$ , it computes  $\tilde{\Phi}_i^* = \text{Sim}(sk, C_X, Y_i)$  and returns the pair  $(Y_i, \tilde{\Phi}_i^*)$  to  $\mathcal{A}$ .

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<sup>1</sup>Without this assumption, an adaptive adversary could distinguish the two games by corrupting a server after having obtained a partial evaluation from it.

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For the challenge query  $X^*$  made by  $\mathcal{A}$ ,  $\mathcal{B}$  sends the same query to its own DPRF challenger and forwards the latter's challenge  $Y^*$  to  $\mathcal{A}$ . At the end of the game,  $\mathcal{B}$  outputs whatever  $\mathcal{A}$  outputs. It is easy to see that, by construction,  $\mathcal{B}$  succeeds whenever  $\mathcal{A}$  does.  $\square$

Chapter

# 4

## ***Adaptive Simulation Security of Inner Product Functional Encryption***

In this chapter we present the work from [ALMT20] which shows that the DDH-based scheme of [ALS16] and some variants of the DCR-based and the LWE-based Inner Product Functional Encryption schemes of [ALS16] can actually be proved secure in the *adaptive-simulation* security model, for an unbounded number of key queries.

Since the impossibility result of [BSW11a] can be adapted to the inner-product functionality, it excludes the possibility of achieving AD-SIM security when the adversary is allowed to issue multiple challenge messages. However, none of the impossibility results from [BSW11a, O’N10, AGVW13] applies to our case. Therefore, we prove that the above-mentioned DDH, DCR and LWE-based IPFE schemes achieve the strongest security notion that we can hope for among the IND and SIM based definitions, namely it provides AD-SIM security for single challenge ciphertexts and unbounded number of key queries. Simulation-based security has been proven before for the DDH-based scheme of [ALS16], but only in the selective case [AGRW17] or the semi-adaptive case [Wee17].

Our main insight is that the semi-adaptive simulator of [Wee17], that worked only for the DDH-based scheme of [ALS16], can be modified to answer any pre-challenge key-queries as well, thus, proving AD-SIM security for the same scheme. We show that the same ideas can be used to prove AD-SIM security for the DCR and LWE-based schemes of [ALS16], with some modifications. It is worth mentioning that these modified schemes have asymptotically larger keys than the original ALS schemes and that their key sizes increase quadratically with the plaintext dimension  $\ell$ . This is not the case for the DDH-based scheme, for which we can make the proof without any modifications to the original ALS scheme.

## Organization

We begin this chapter with Section 4.1, where we give the syntax and the security definitions for IPFE. In Section 4.2 we recall the DDH-based scheme of [ALS16] and prove its AD-SIM security. Next, we show in Section 4.3 that a variant of the DCR-based scheme from the same work, satisfies AD-SIM security. Finally, Section 4.4 deals with similar results for a modified LWE-based scheme of [ALS16].

## 4.1 Definitions

In this section we recall the syntax of Functional Encryption [BSW11a] as well as both the IND and SIM security definitions.

### 4.1.1 Functional Encryption (FE)

**Definition 4.1.** *A Functional Encryption (FE) scheme over a class of functions  $\mathcal{F} = \{f : \mathcal{X} \rightarrow \mathcal{Z}\}$  consists of the PPT algorithms (Setup, Keygen, Encrypt, Decrypt):*

**Setup** $(1^\lambda, \mathcal{F})$ : *Outputs a public key  $\text{mpk}$  and a master secret key  $\text{msk}$ .*

**Keygen** $(\text{msk}, f)$ : *Given the master secret key and a functionality  $f \in \mathcal{F}$ , the algorithm outputs a secret key  $\text{sk}_f$ .*

**Encrypt** $(\text{mpk}, \mathbf{x})$ : *On input the public key and a message  $\mathbf{x} \in \mathcal{X}$  from the message space, the algorithm outputs a ciphertext  $\mathbf{c}$ .*

**Decrypt** $(\text{mpk}, \text{sk}_f, \mathbf{c})$ : *Given a ciphertext and a secret key corresponding to some functionality  $f \in \mathcal{F}$ , the algorithm outputs  $\mathbf{z} \in \mathcal{Z}$ .*

**Correctness.** For  $(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^\lambda, \mathcal{F})$ , for any  $\mathbf{x} \in \mathcal{X}$ , any  $f \in \mathcal{F}$ ,  $\mathbf{c} \leftarrow \text{Encrypt}(\text{mpk}, \mathbf{x})$  and  $\text{sk}_f \leftarrow \text{Keygen}(\text{msk}, f)$ , we require that  $\text{Decrypt}(\text{mpk}, \text{sk}_f, \mathbf{c}) = f(\mathbf{x})$ , with overwhelming probability.

### 4.1.2 Security

Next, we define security of functional encryption. Security comes in two flavors – indistinguishability-based and simulation-based – we define each in turn.

We first define the weaker indistinguishability-based security [BSW11a]. In this notion, one asks that no efficient adversary be able to differentiate encryptions of  $\mathbf{x}_0$  and  $\mathbf{x}_1$  without obtaining secret keys  $\text{sk}_f$  such that  $f(\mathbf{x}_0) \neq f(\mathbf{x}_1)$ .

**Definition 4.2 (AD-IND).** *A functional encryption scheme is given by four PPT algorithms  $\mathcal{FE} = (\text{Setup}, \text{Keygen}, \text{Encrypt}, \text{Decrypt})$  provides semantic security under chosen-plaintext attacks (or IND-CPA security) if no PPT adversary has non-negligible advantage in the following game:*

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1. The challenger runs  $(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^\lambda)$  and the master public key  $\text{mpk}$  is given to the adversary  $\mathcal{A}$ .
2. The adversary adaptively makes secret key queries to the challenger. At each query, adversary  $\mathcal{A}$  chooses  $f \in \mathcal{F}$  and obtains the  $\text{keysk}_f \leftarrow \text{Keygen}(\text{msk}, f)$ .
3. Adversary  $\mathcal{A}$  chooses distinct messages  $\mathbf{x}_0, \mathbf{x}_1$  subject to the restriction that  $f(\mathbf{x}_0) = f(\mathbf{x}_1)$ , for any  $f$  queried in Stage 2. Then, the challenger flips a fair coin  $b \leftarrow \{0, 1\}$  and computes  $\mathbf{c}^* \leftarrow \text{Encrypt}(\text{mpk}, \mathbf{x}_b)$  which is sent as a challenge to  $\mathcal{A}$ .
4. Adversary  $\mathcal{A}$  makes further secret key queries for arbitrary functions  $f \in \mathcal{F}$ . However, it is required that  $f(\mathbf{x}_0) = f(\mathbf{x}_1)$  at each query.
5. Adversary  $\mathcal{A}$  eventually outputs a bit  $b' \in \{0, 1\}$  and wins if  $b' = b$ .

The adversary's advantage is defined to be  $\mathbf{Adv}_{\mathcal{A}}(\lambda) := |\Pr[b' = b] - 1/2|$ , where the probability is taken over all coin tosses.

Definition 4.2 captures *adaptive* security in that the adversary is allowed to choose the messages  $\mathbf{x}_0, \mathbf{x}_1$  at Stage 3.

As pointed out in [BSW11a], indistinguishability-based security is not fully satisfactory in general as it may fail to rule out constructions that are intuitively insecure. They argue that, whenever it is possible at all, one should prefer a stronger notion of simulation-based security. We recall this notion hereunder.

**Definition 4.3 (AD-SIM).** For a FE scheme defined as above, a PPT adversary  $\mathcal{A} = (A_1, A_2)$  and a PPT simulator  $\text{Sim} = (\text{Setup}^*, \text{Keygen}_0^*, \text{Encrypt}^*, \text{Keygen}_1^*)$ , we define the following experiments:

$\text{Exp}_{\text{FE}, \mathcal{A}}^{\text{Real}}(1^\lambda)$	$\text{Exp}_{\text{FE}, \mathcal{A}}^{\text{Ideal}}(1^\lambda)$
1. $(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^\lambda, \mathcal{F})$	1. $(\text{mpk}^*, \text{msk}^*) \leftarrow \text{Setup}^*(1^\lambda, \mathcal{F})$
2. $(\mathbf{x}^*, \text{st}) \leftarrow A_1^{\text{Keygen}(\text{msk}, \cdot)}(\text{mpk})$	2. $(\mathbf{x}^*, \text{st}) \leftarrow A_1^{\text{Keygen}_0^*(\text{msk}^*, \cdot)}(\text{mpk}^*)$ Let $\mathcal{V} = \{(f_i, f_i(\mathbf{x}^*), \text{sk}_{f_i})\}_{i=1}^k$
3. $\mathbf{c} \leftarrow \text{Encrypt}(\text{mpk}, \mathbf{x}^*)$	3. $(\mathbf{c}^*, \text{st}') \leftarrow \text{Encrypt}^*(\text{msk}^*, \mathcal{V}, 1^{ \mathbf{x}^* })$
4. $\alpha \leftarrow A_2^{\text{KeyGen}(\text{msk}, \cdot)}(\text{mpk}, \mathbf{c}, \text{st})$	4. $\alpha \leftarrow A_2^{\text{KeyGen}_1^*(\text{msk}^*, \text{st}', \cdot)}(\text{mpk}^*, \mathbf{c}^*, \text{st})$
5. Output $\alpha$	5. Output $\alpha$



In the ideal experiment above, the  $\{f_i \in \mathcal{F}\}_{i=1}^k$  are the functionalities for which the adversary requests their corresponding keys,  $\{\text{sk}_{f_i}\}_{i=1}^k$ . An FE scheme achieves adaptive simulation-based (AD-SIM) security if there exists a PPT simulator  $\text{Sim}$  such that, for any PPT adversary  $\mathcal{A}$ , the distributions  $\text{Exp}_{\text{FE}, \mathcal{A}}^{\text{Real}}(1^\lambda)$  and  $\text{Exp}_{\text{FE}, \mathcal{A}}^{\text{Ideal}}(1^\lambda)$  are computationally indistinguishable.

## 4.2 Adaptive Simulation Security from DDH

In this section, we first recall the IPFE scheme of [ALS16]. Abdalla *et al.* [AGRW17] previously showed that this construction provides simulation-based security for selective adversaries. In [Wee17], Wee gave a proof of simulation-based security for semi-adaptive adversaries. In the semi-adaptive model adversaries are restricted to make all of the key queries after the challenge. We provide a proof that handles adaptive adversaries without any modification in the original scheme.

### 4.2.1 Overview

In the proof of [Wee17] the simulator creates the dummy message by encrypting the zero vector. To answer a post-challenge key query (the only type of key query allowed in the *semi-adaptive* model), the simulator has to embed the value  $z_y = f_y(\mathbf{x}^*) = \langle \mathbf{x}^*, \mathbf{y} \rangle$ , where  $\mathbf{x}^*$  is the challenge message, in the simulated key  $(s'_y, t'_y)$  in order to correctly explain decryption. This is done through a linear shift of the keys that are used in the real scheme. Namely if the master secret key, corresponding to the master public key  $g^s \cdot h^t$ , is given by  $(\mathbf{s}, \mathbf{t}) \in \mathbb{Z}_q^\ell \times \mathbb{Z}_q^\ell$ , then the functional decryption key used in the real scheme is composed of  $(\langle \mathbf{s}, \mathbf{y} \rangle, \langle \mathbf{t}, \mathbf{y} \rangle)$ . The simulated functional secret keys are computed as:

$$s'_y := \langle \mathbf{s}, \mathbf{y} \rangle + \alpha \cdot \langle \mathbf{x}^*, \mathbf{y} \rangle \text{ and } t'_y := \langle \mathbf{t}, \mathbf{y} \rangle + \beta \cdot \langle \mathbf{x}^*, \mathbf{y} \rangle,$$

where  $\alpha, \beta \in \mathbb{Z}_q$  are carefully chosen.

In the view of this adversary this is the same as replacing the master secret key with  $\mathbf{s}' := \mathbf{s} + \alpha \cdot \mathbf{x}^*$  and  $\mathbf{t}' := \mathbf{t} + \beta \cdot \mathbf{x}^*$ , while keeping invariant the master public key and also keeping the responses to key queries consistent. The proof from [Wee17] shows that under the DDH assumption the real and the ideal experiment are indistinguishable in the semi-adaptive model. This means that the adversary can adaptively choose the challenge message  $\mathbf{x}^*$ , after seeing the public parameters, but before making any of the key queries.

To prove security in the stronger AD-SIM model, where the adversary is allowed to choose the challenge after making key queries, we follow the same approach as [Wee17], but in the simulation we need to encrypt a dummy message, without having access to the challenge  $\mathbf{x}^*$ , that is consistent not only with post-challenge keys, but also with the pre-challenge keys. We do this by answering pre-challenge key queries as in the real scheme and we have the simulator answer the

challenge by encrypting a dummy message  $\bar{\mathbf{x}} \in \mathbb{Z}_q^\ell$  (instead of the zero vector as in [Wee17]) that is compatible with the challenge message  $\mathbf{x}^*$  when decrypting with any pre-challenge key. Namely, we compute  $\bar{\mathbf{x}}$  such that  $\langle \bar{\mathbf{x}}, \mathbf{y} \rangle = \langle \mathbf{x}^*, \mathbf{y} \rangle \pmod q$  for all the pre-challenge key queries  $\mathbf{y} \in \mathbb{Z}_q^\ell$ . This is equivalent to solving the system  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}}$ , which can easily be done by doing linear algebra over  $\mathbb{Z}_q$ .

Once the simulator is committed to the challenge ciphertext, it has to “program” the post-challenge keys such that they decrypt the dummy ciphertext to the real function evaluations  $z_{\mathbf{y}} = f_{\mathbf{y}}(\mathbf{x}^*) = \langle \mathbf{x}^*, \mathbf{y} \rangle$ . Given a post-challenge query  $\mathbf{y} \in \mathbb{Z}_q^\ell$  and the corresponding function evaluation  $z_{\mathbf{y}} = \langle \mathbf{x}^*, \mathbf{y} \rangle$ , the value  $z_{\mathbf{y}}$  is embedded in the simulated functional key in such a way that the difference  $z_{\mathbf{y}} - \langle \bar{\mathbf{x}}, \mathbf{y} \rangle$  between  $z_{\mathbf{y}}$  and the function evaluation  $f_{\mathbf{y}}(\bar{\mathbf{x}})$  serves as a shift of the real actual key: namely, the simulator returns  $\text{sk}_{\mathbf{y}} = (s'_{\mathbf{y}}, t'_{\mathbf{y}})$ , where

$$\begin{aligned} s'_{\mathbf{y}} &:= \langle \mathbf{s}, \mathbf{y} \rangle + \alpha \cdot (z_{\mathbf{y}} - \langle \bar{\mathbf{x}}, \mathbf{y} \rangle) \pmod q \\ t'_{\mathbf{y}} &:= \langle \mathbf{t}, \mathbf{y} \rangle + \beta \cdot (z_{\mathbf{y}} - \langle \bar{\mathbf{x}}, \mathbf{y} \rangle) \pmod q. \end{aligned} \quad (4.1)$$

By exploiting the linearity properties of the scheme, the shift terms  $\alpha \cdot (z_{\mathbf{y}} - \langle \bar{\mathbf{x}}, \mathbf{y} \rangle)$  and  $\beta \cdot (z_{\mathbf{y}} - \langle \bar{\mathbf{x}}, \mathbf{y} \rangle)$  ensure that  $\text{sk}_{\mathbf{y}} = (s'_{\mathbf{y}}, t'_{\mathbf{y}})$  will decrypt the dummy ciphertext to the oracle-supplied  $z_{\mathbf{y}}$ . As in [Wee17], we can prove that this shift of post-challenge keys is equivalent to a shift of the master secret key from the adversary’s view: namely,  $\text{msk} = (\mathbf{s}, \mathbf{t})$  is traded for  $\text{msk}' = (\mathbf{s}', \mathbf{t}')$ , where  $\mathbf{s}' = \mathbf{s} + \alpha \cdot (\mathbf{x}^* - \bar{\mathbf{x}})$  and  $\mathbf{t}' = \mathbf{t} + \beta \cdot (\mathbf{x}^* - \bar{\mathbf{x}})$ . By applying complexity leveraging to a statistical argument [Wee14, BBL17], we can prove that the two master secret keys of  $(\mathbf{s}', \mathbf{t}')$  and  $(\mathbf{s}, \mathbf{t})$  are identically distributed in the adversary’s view, even if  $\mathbf{x}^*$  is chosen adaptively after it has seen the public parameters and pre-challenge queries.

#### 4.2.2 The DDH-based Construction

Below we recall the description of the [ALS16] IPFE scheme that works for the class of bounded inner-product functionalities:

$$\mathcal{F} = \left\{ f_{\mathbf{y}} : ([-X, X] \cap \mathbb{Z})^\ell \rightarrow \mathbb{Z} : \mathbf{y} \in ([-Y, Y] \cap \mathbb{Z})^\ell \text{ and } f_{\mathbf{y}}(\mathbf{x}) = \langle \mathbf{x}, \mathbf{y} \rangle \right\}.$$

It’s worth mentioning that the only reason we need bounded inner-products for this scheme is to be able to solve the discrete logarithm when decrypting.

**Setup**( $1^\lambda, 1^\ell$ ): Choose a cyclic group  $\mathbb{G}$  of prime order  $q > 2^\lambda$  and the generators  $g, h \leftarrow U(\mathbb{G})$ . Then, for each  $i \in \{1, \dots, \ell\}$ , sample  $s_i, t_i \leftarrow U(\mathbb{Z}_q)$  and compute  $h_i = g^{s_i} \cdot h^{t_i}$ . Define  $\text{msk} := \{s_i, t_i\}_{i=1}^\ell$  and

$$\text{mpk} := \left( \mathbb{G}, g, h, \{h_i\}_{i=1}^\ell \right).$$

**Keygen**( $\text{msk}, \mathbf{y}$ ): To generate a key for the vector  $\mathbf{y} = (y_1, \dots, y_\ell) \in \mathbb{Z}^\ell$  such that  $\|\mathbf{y}\|_\infty \leq Y$ , compute  $(s_{\mathbf{y}}, t_{\mathbf{y}}) = (\sum_{i=1}^\ell s_i \cdot y_i, \sum_{i=1}^\ell t_i \cdot y_i) = (\langle \mathbf{s}, \mathbf{y} \rangle, \langle \mathbf{t}, \mathbf{y} \rangle)$  and return  $\text{sk}_{\mathbf{y}} := (\mathbf{y}, s_{\mathbf{y}}, t_{\mathbf{y}})$ .

**Encrypt**(mpk,  $\mathbf{x}$ ): To encrypt a vector  $\mathbf{x} = (x_1, \dots, x_\ell) \in \mathbb{Z}^\ell$  that satisfies  $\|\mathbf{x}\|_\infty \leq X$ , sample  $r \leftarrow \mathbb{Z}_q$  and compute

$$C = g^r, \quad D = h^r, \quad \{E_i = g^{x_i} \cdot h_i^r\}_{i=1}^\ell.$$

Return  $C_{\mathbf{x}} = (C, D, E_1, \dots, E_\ell)$ .

**Decrypt**(mpk,  $\text{sk}_{\mathbf{y}}$ ,  $C_{\mathbf{x}}$ ): Given  $\text{sk}_{\mathbf{y}} = (\mathbf{y}, s_{\mathbf{y}}, t_{\mathbf{y}})$ , compute

$$E_{\mathbf{y}} = \left( \prod_{i=1}^\ell E_i^{y_i} \right) / (C^{s_{\mathbf{y}}} \cdot D^{t_{\mathbf{y}}}).$$

Then, compute and output  $\log_g(E_{\mathbf{y}})$ .

*Correctness.* Note that  $\prod_{i=1}^\ell E_i^{y_i} = g^{\langle \mathbf{x}, \mathbf{y} \rangle} \cdot g^{r \langle s, \mathbf{y} \rangle} \cdot h^{r \langle t, \mathbf{y} \rangle} = g^{\langle \mathbf{x}, \mathbf{y} \rangle} \cdot C^{s_{\mathbf{y}}} \cdot D^{t_{\mathbf{y}}}$ , which implies  $E_{\mathbf{y}} = g^{\langle \mathbf{x}, \mathbf{y} \rangle}$ . The decryption algorithm can thus recover  $\langle \mathbf{x}, \mathbf{y} \rangle \pmod q$  by solving a discrete logarithm instance in a small interval, by restricting messages and keys so as to have  $|\langle \mathbf{x}, \mathbf{y} \rangle| \leq L$ , for some polynomially bounded  $L = \ell \cdot XY \in \text{poly}(\lambda)$ . In this case, the inner product  $\langle \mathbf{x}, \mathbf{y} \rangle$  can be recovered in  $\tilde{O}(L^{1/2})$  time using [Pol00].

### 4.2.3 The Security Proof

**Theorem 4.1.** *The scheme provides simulation-based security against adaptive adversaries under the DDH assumption.*

*Proof.* To prove the result, we first describe a PPT simulator before showing that, under the DDH assumption, the adversary cannot distinguish the ideal experiment from the real experiment.

In both experiments, we know that the adversary  $\mathcal{A}$  can obtain private keys for up to  $\ell - 1$  linearly independent vectors. We assume w.l.o.g. that  $\mathcal{A}$  makes private keys queries for exactly  $\ell - 1 = \ell_0 + \ell_1$  independent vectors, which we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell-1} \in \mathbb{Z}_q^\ell$ . Among these vectors, we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell_0}$  the vectors queried by  $\mathcal{A}$  before the challenge phase while  $\mathbf{y}_{\ell_0+1}, \dots, \mathbf{y}_{\ell_0+\ell_1}$  stand for the post-challenge private key queries. In the challenge phase, we denote by  $\mathbf{x}^* = (x_1^*, \dots, x_\ell^*) \in \mathbb{Z}_q^\ell$  the message chosen by  $\mathcal{A}$ . The simulator, given by the four algorithms ( $\text{Setup}^*$ ,  $\text{Keygen}_0^*$ ,  $\text{Encrypt}^*$ ,  $\text{Keygen}_1^*$ ), proceeds in the following way.

**Setup** $^*(1^\lambda, 1^\ell)$ : This algorithm is identical to Setup except that  $h$  is computed as  $g^\omega$  for some uniformly random  $\omega \leftarrow U(\mathbb{Z}_q)$ . Also  $\omega = \log_g(h)$  is included in the master secret key. It outputs

$$\text{mpk}^* := \left( \mathbb{G}, g, h, \{h_i\}_{i=1}^\ell \right).$$

and  $\text{msk}^* = (\omega, \mathbf{s}, \mathbf{t})$ .

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**Keygen**<sub>0</sub><sup>\*</sup>(msk<sup>\*</sup>,  $\mathbf{y}$ ): This algorithm is used to answer private key queries before the challenge phase and proceeds exactly like Keygen in the real scheme.

**Encrypt**<sup>\*</sup>(mpk<sup>\*</sup>, msk<sup>\*</sup>,  $\mathcal{V}$ ,  $\{1^{x_i^*}\}_{i=1}^\ell$ ): This algorithm takes as input mpk<sup>\*</sup>, msk<sup>\*</sup>, the lengths  $\{1^{x_i^*}\}_{i=1}^\ell$  of all coordinates of  $\mathbf{x}^*$  and a set

$$\mathcal{V} = \left\{ \{\mathbf{y}_j, z_j = \langle \mathbf{x}^*, \mathbf{y}_j \rangle, \text{sk}_{\mathbf{y}_j}\}_{j=1}^{\ell_0} \right\}$$

containing all pre-challenge independent queries  $\{\mathbf{y}_j\}_{j=1}^{\ell_0}$ , the returned keys and the corresponding linear function evaluations  $\{z_j = \langle \mathbf{x}^*, \mathbf{y}_j \rangle\}_{j=1}^{\ell_0}$  for the challenge message  $\mathbf{x}^*$ . The challenge ciphertext  $(C^*, D^*, E_1^*, \dots, E_\ell^*)$  is simulated as follows.

1. Letting  $\mathbf{z}_{\text{pre}} = (z_1, \dots, z_{\ell_0})^\top \in \mathbb{Z}_q^{\ell_0}$ , compute an arbitrary  $\bar{\mathbf{x}} \in \mathbb{Z}_q^\ell$  such that  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}} \pmod q$ , where

$$\mathbf{Y}_{\text{pre}} = \begin{bmatrix} \mathbf{y}_1^\top \\ \vdots \\ \mathbf{y}_{\ell_0}^\top \end{bmatrix} \in \mathbb{Z}_q^{\ell_0 \times \ell}.$$

Note that  $\bar{\mathbf{x}} = (\bar{x}_1, \dots, \bar{x}_\ell)^\top$  does not have to be small and can be obtained via Gaussian elimination.

2. Compute the ciphertext by sampling  $r, r' \leftarrow U(\mathbb{Z}_q)$  uniformly and computing  $(C^*, D^*) = (g^r, h^{r'})$  as well as

$$E_i^* = g^{\bar{x}_i} \cdot C^{*s_i} \cdot D^{*t_i} \quad \forall i \in [\ell].$$

Output the simulated ciphertext  $(C^*, D^*, E_1^*, \dots, E_\ell^*)$  together with the state information  $\text{st}' = (\bar{\mathbf{x}}, r, r')$ .

**Keygen**<sub>1</sub><sup>\*</sup>(msk<sup>\*</sup>,  $\mathbf{y}, z = \langle \mathbf{x}^*, \mathbf{y} \rangle, \text{st}'$ ): On input of msk<sup>\*</sup> =  $(\omega, \mathbf{s}, \mathbf{t})$ , a post-challenge query  $\mathbf{y} \in \mathbb{Z}_q^\ell$ , the evaluation  $z = \langle \mathbf{x}^*, \mathbf{y} \rangle$  of the linear function  $f_{\mathbf{y}}(\mathbf{x}^*)$  on the message  $\mathbf{x}^*$  and the state information  $\text{st}' = (\bar{\mathbf{x}}, r, r') \in \mathbb{Z}_q^\ell \times \mathbb{Z}_q^2$ , this algorithm computes

$$\begin{aligned} t'_y &= \langle \mathbf{t}, \mathbf{y} \rangle + \frac{1}{\omega \cdot (r' - r)} \cdot (\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z) \pmod q, \\ s'_y &= \langle \mathbf{s}, \mathbf{y} \rangle - \frac{1}{(r' - r)} \cdot (\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z) \pmod q. \end{aligned} \quad (4.2)$$

and returns  $\text{sk}_{\mathbf{y}} = (s'_y, t'_y)$ .

Observe that the ciphertext  $(C^*, D^*, E_1^*, \dots, E_\ell^*)$  produced by Encrypt<sup>\*</sup> is distributed in such a way that  $(C^*, D^*) = (g^r, g^{\omega \cdot (r + (r' - r))})$  and

$$(E_1^*, \dots, E_\ell^*) = g^{\bar{\mathbf{x}} + \omega \cdot (r' - r) \cdot \mathbf{t}} \cdot (h_1, \dots, h_\ell)^r,$$

so that, for any  $\mathbf{y} = (y_1, \dots, y_\ell)^\top \in \mathbb{Z}_q^\ell$ , we have

$$\prod_{i=1}^{\ell} E_i^{\star y_i} = g^{\langle \tilde{\mathbf{x}}, \mathbf{y} \rangle + \omega \cdot (r' - r) \cdot \langle \mathbf{t}, \mathbf{y} \rangle} \cdot (g^{\langle \mathbf{s}, \mathbf{y} \rangle} \cdot h^{\langle \mathbf{t}, \mathbf{y} \rangle})^r,$$

which implies

$$\prod_{i=1}^{\ell} E_i^{\star y_i} / (C^{\star s'_y} \cdot D^{\star t'_y}) = g^z.$$

This shows that decrypting the simulated ciphertext  $(C^{\star}, D^{\star}, E_1^{\star}, \dots, E_\ell^{\star})$  using the simulated key  $\text{sk}_y = (s'_y, t'_y)$  yields  $z = \langle \mathbf{x}^{\star}, \mathbf{y} \rangle$ , as required.

We now proceed to show that the simulation is computationally indistinguishable from the real experiment under the DDH assumption.

The proof uses a sequence of games that begins with a game in which the challenger interacts with the adversary as in real experiment and ends with a game where the challenger interacts with the adversary as in the ideal experiment. For Game<sub>*i*</sub> and Game<sub>*j*</sub> we denote by  $\text{Adv}_{\mathcal{A}}^{ij}(\lambda)$  the advantage of a PPT algorithm  $\mathcal{A}$  in distinguishing between Game<sub>*i*</sub> and Game<sub>*j*</sub>. Formally the challenger  $\mathcal{C}$  flips a coin  $b \leftarrow \{0, 1\}$ . If  $b = 0$  it interacts with the adversary as in Game<sub>*i*</sub>, else it interacts as in Game<sub>*j*</sub>. At the end of the interaction  $\mathcal{A}$  will have to make its guess  $b' \in \{0, 1\}$ . We define  $\text{Adv}_{\mathcal{A}}^{ij}(\lambda) := |\Pr[b' = b] - \frac{1}{2}|$ .

**Game<sub>0</sub>**: In this game the challenger interacts with the adversary as in the real experiment.

**Game<sub>1</sub>**: We modify the generation of the ciphertext  $C_{\mathbf{x}}^{\star} = (C^{\star}, D^{\star}, E_1^{\star}, \dots, E_\ell^{\star})$ , such that the experiment first computes

$$C^{\star} = g^r \quad \text{and} \quad D^{\star} = h^r, \tag{4.3}$$

for a randomly sampled  $r \leftarrow \mathbb{Z}_q$ . Then, it uses  $\text{msk} := \{s_i, t_i\}_{i=1}^{\ell}$  to compute

$$E_i^{\star} = g^{x_i^{\star}} \cdot C^{\star s_i} \cdot D^{\star t_i}. \tag{4.4}$$

It can be observed that  $C_{\mathbf{x}}^{\star} = (C^{\star}, D^{\star}, E_1^{\star}, \dots, E_\ell^{\star})$  has the same distribution as in Game 0. We hence have  $\text{Adv}_{\mathcal{A}}^{01}(\lambda) = 0$ .

**Game<sub>2</sub>**: We modify again the generation of  $C_{\mathbf{x}}^{\star} = (C^{\star}, D^{\star}, E_1^{\star}, \dots, E_\ell^{\star})$ . Namely, instead of computing the pair  $(C^{\star}, D^{\star})$  as in (4.3), the experiment samples  $r, r' \leftarrow U(\mathbb{Z}_q)$  and sets

$$C^{\star} = g^r \quad \text{and} \quad D^{\star} = h^{r'}.$$

The ciphertext components  $(E_1^{\star}, \dots, E_\ell^{\star})$  are still computed as per (4.4). Under the DDH assumption, this modification should not significantly affect  $\mathcal{A}$ 's view and we have  $\text{Adv}_{\mathcal{A}}^{12}(\lambda) \leq \text{Adv}_{\mathcal{B}}^{\text{DDH}}(\lambda)$ .

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**Game<sub>3</sub>**: In this game, the experiment runs exactly as in the ideal case. Lemma 4.2 shows that  $\text{Adv}_{\mathcal{A}}^{23}(\lambda) = 0$ .

Combining the above, we find

$$|\Pr[1 \leftarrow \mathbf{Exp}_{\mathcal{A}}^{\text{Real}}(1^\lambda)] - \Pr[1 \leftarrow \mathbf{Exp}_{\mathcal{A}}^{\text{Ideal}}(1^\lambda)]| \leq \mathbf{Adv}_{\mathcal{B}}^{\text{DDH}}(1^\lambda),$$

as claimed. □

**Lemma 4.2.** *The advantage of an adversary  $\mathcal{A}$  in distinguishing between Game<sub>2</sub> and Game<sub>3</sub> is 0.*

*Proof.* To prove the result, we define the following two variants of these games.

**Game'<sub>2</sub>**: This game is identical to Game<sub>2</sub> except that, at the outset of the game, the challenger chooses a random vector  $\Delta \mathbf{x} \leftarrow U(\mathbb{Z}_q^\ell)$ . It interacts with  $\mathcal{A}$  as in Game<sub>2</sub> until the challenge phase, at which point it computes an arbitrary vector  $\bar{\mathbf{x}} \in \mathbb{Z}_q^\ell$  satisfying  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{Y}_{\text{pre}} \cdot \mathbf{x}^* \pmod q$ , where  $\mathbf{Y}_{\text{pre}} \in \mathbb{Z}_q^{\ell_0 \times \ell}$  is the matrix whose rows are the first  $\ell_0$  independent key queries. At this point, the challenger checks whether  $\Delta \mathbf{x} = \bar{\mathbf{x}} - \mathbf{x}^* \pmod q$  (we call Guess this event). If not, it aborts the interaction with  $\mathcal{A}$  and replaces  $\mathcal{A}$ 's output with 0. Otherwise, it proceeds like Game<sub>2</sub> and outputs whatever  $\mathcal{A}$  outputs. Since  $\Delta \mathbf{x}$  is drawn uniformly and independently of  $\mathcal{A}$ 's view, we have  $\Pr[\text{Guess}] = 1/q^\ell$ .

**Game'<sub>3</sub>**: This game is like Game<sub>3</sub>, except that, at the very beginning of the game, the challenger chooses a random  $\Delta \mathbf{x} \leftarrow U(\mathbb{Z}_q^\ell)$ . It proceeds like Game<sub>3</sub> until the challenge phase, at which point it samples an arbitrary  $\bar{\mathbf{x}} \in \mathbb{Z}_q^\ell$  satisfying  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = z_{\text{pre}} \pmod q$ . Then, it checks whether  $\Delta \mathbf{x} = \bar{\mathbf{x}} - \mathbf{x}^* \pmod q$  (we call Guess this event). If not, it aborts and replaces  $\mathcal{A}$ 's output with 0. Otherwise, it proceeds identically to Game<sub>3</sub> and outputs the same result as  $\mathcal{A}$ .

Now, we claim that Game'<sub>2</sub> and Game'<sub>3</sub> are identical. To see this, we first note that, conditionally on  $\neg \text{Guess}$ , both games output 0. If Guess occurs, we observe that Game'<sub>3</sub> is identical to Game'<sub>2</sub> when the master secret key is replaced by  $(\mathbf{s}', \mathbf{t}')$   $\in \mathbb{Z}_q^\ell \times \mathbb{Z}_q^\ell$ , where

$$\begin{aligned} t'_i &= t_i + \frac{1}{\omega \cdot (r' - r)} \cdot \Delta \mathbf{x} \\ &= t_i + \frac{1}{\omega \cdot (r' - r)} \cdot (\bar{x}_i - x_i^*) \pmod q && \forall i \in [\ell] \\ s'_i &= s_i - \frac{1}{r' - r} \cdot \Delta \mathbf{x} \\ &= s_i - \frac{1}{r' - r} \cdot (\bar{x}_i - x_i^*) \pmod q. \end{aligned}$$

### 4.3. Adaptive Simulation Security for Inner Products over $\mathbb{Z}$ from DCR

Indeed,  $(\mathbf{s}', \mathbf{t}')$  has the same distribution as  $(\mathbf{s}, \mathbf{t})$  conditionally on  $\text{mpk}$ . By construction, we also have  $\langle \mathbf{s}', \mathbf{y} \rangle = \langle \mathbf{s}, \mathbf{y} \rangle$  and  $\langle \mathbf{t}', \mathbf{y} \rangle = \langle \mathbf{t}, \mathbf{y} \rangle$  in all pre-challenge queries  $\mathbf{y} \in \mathbb{Z}_q^\ell$ . Moreover, we have

$$\mathbf{g}^{\bar{\mathbf{x}} + \omega \cdot (r' - r) \cdot \mathbf{t}} \cdot (h_1, \dots, h_\ell)^r = \mathbf{g}^{\mathbf{x}^* + \omega \cdot (r' - r) \cdot \mathbf{t}'} \cdot (h_1, \dots, h_\ell)^r.$$

Finally, answering post-challenge queries  $\mathbf{y} \in \mathbb{Z}_q^\ell$  using  $(\mathbf{s}', \mathbf{t}')$  gives exactly the distribution (4.2). This implies the games are indeed identical, therefore  $\text{Adv}_{\mathcal{A}}^{2'3'}(\lambda) = 0$ .

To conclude, notice that any adversary  $\mathcal{A}$  that can distinguish between  $\text{Game}_2$  and  $\text{Game}_3$  can be used to distinguish between  $\text{Game}'_2$  and  $\text{Game}'_3$ , with a loss factor of  $q^\ell$  in the advantage:

$$\text{Adv}_{\mathcal{A}}^{2'3'}(\lambda) = \frac{1}{q^\ell} \cdot \text{Adv}_{\mathcal{A}}^{23}(\lambda)$$

This holds since the probability that  $\mathcal{A}$  outputs the correct bit  $b'$  when distinguishing between  $\text{Game}'_2$  and  $\text{Game}'_3$  is equal to:

$$\Pr[b' = b] = \Pr[b' = b | \text{Guess}] \cdot \Pr[\text{Guess}] + \Pr[b' = b | \overline{\text{Guess}}] \cdot \Pr[\overline{\text{Guess}}]$$

which is equivalent to:

$$\Pr[b' = b] - \frac{1}{2} = \left( \Pr[b' = b | \text{Guess}] - \frac{1}{2} \right) \cdot \Pr[\text{Guess}]$$

By considering the equality in absolute value, we get the desired relation between the advantages. □

### 4.3 Adaptive Simulation Security for Inner Products over $\mathbb{Z}$ from DCR

Another result of [ALMT20], for which we will not give details in this work, constructs a generic compiler that transforms any AD-IND secure IPFE, that satisfies some mild conditions, into an AD-SIM secure IPFE, when the IPFE evaluates inner products mod an integer. Unfortunately, the resulting IPFE scheme has a stateful key generation algorithm. This means that a trusted authority has to keep track of all the keys that are issued. We can apply this general result to the DCR-based scheme from [ALS16], that evaluates inner products over  $\mathbb{Z}_N$  to obtain a stateful scheme.

However, in this section we show that a variant of the Paillier-based scheme of Agrawal *et al.* [ALS16], that evaluates inner products over the integers, can also be proved simulation-secure against adaptive adversaries. In this construction, the key generation algorithm is stateless.

### 4.3.1 Overview

To proof strategy for this result is the same as the one discussed in section 4.2.1. Our variant of the [ALS16] scheme differs from the original in that the master secret keys are no longer sampled from a Gaussian distribution but are rather sampled uniformly in a large interval.

The reason why we need larger master secret keys is that, in the challenge phase, our simulator has to sample a dummy message  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  that should satisfy an equation of the form  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}} \in \mathbb{Z}^{\ell_0}$ , for some given  $\mathbf{Y}_{\text{pre}} \in \mathbb{Z}^{\ell_0 \times \ell}$  and  $\mathbf{z}_{\text{pre}} \in \mathbb{Z}^{\ell_0}$ , in order to be consistent with responses  $\mathbf{z}_{\text{pre}} = (z_1, \dots, z_{\ell_0})$  to all pre-challenge queries. Because we don't know how to compute small integer solutions to the system  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}} \in \mathbb{Z}^{\ell_0}$ , our simulator can only sample a dummy message  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  with large entries. At each post-challenge query  $\mathbf{y} \in \mathbb{Z}^\ell$ , the simulator has to “program” the returned functional secret key in such a way that it decrypts the simulated ciphertext to the value  $z = \langle \mathbf{x}^*, \mathbf{y} \rangle$  dictated by the oracle. For this purpose, the “programmed” key  $s'_y$  must consist of the sum (over  $\mathbb{Z}$ ) of the real key  $s_y = \langle \mathbf{s}, \mathbf{y} \rangle$  and a multiple of the difference  $\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z$  between the function evaluation  $f_y(\bar{\mathbf{x}}) = \langle \bar{\mathbf{x}}, \mathbf{y} \rangle$  and the oracle value  $z = \langle \mathbf{x}^*, \mathbf{y} \rangle$ . Since  $\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z$  may be large over  $\mathbb{Z}$ , we need to sample the entries of  $\mathbf{s} \in \mathbb{Z}^\ell$  from a sufficiently wide interval so as to “drown” the statistical discrepancy between the distributions of the master secret  $\mathbf{s} \in \mathbb{Z}^\ell$  and its shifted variant  $\mathbf{s}' = \mathbf{s} + \gamma \cdot (\bar{\mathbf{x}} - \mathbf{x}^*) \in \mathbb{Z}^\ell$  for which  $s'_y = \langle \mathbf{s}', \mathbf{y} \rangle$ . Since RSA moduli should asymptotically contain  $\lambda^3/\text{polylog}(\lambda)$  bits to resist factorization attacks, we need to sample each entry of  $\mathbf{s} \in \mathbb{Z}^\ell$  from an interval of cardinality  $O(2^{\ell^2 \cdot \log \ell + \lambda^3/\text{polylog}(\lambda)})$ . Despite somewhat large secret keys, the scheme remains computationally efficient.

### 4.3.2 The DCR-based Construction

Below we give the description of the IPFE scheme that works for the class of functions of bounded inner-products:

$$\mathcal{F} = \left\{ f_{\mathbf{y}} : ([-X, X] \cap \mathbb{Z})^\ell \rightarrow \mathbb{Z} : \mathbf{y} \in ([-Y, Y] \cap \mathbb{Z})^\ell \text{ and } f_{\mathbf{y}}(\mathbf{x}) = \langle \mathbf{x}, \mathbf{y} \rangle \right\}.$$

**Setup**( $1^\lambda, 1^\ell, X, Y$ ): Choose safe primes  $p = 2p' + 1$  and  $q = 2q' + 1$  with  $p', q'$  also primes, such that  $\ell XY < N/2$ , where  $N = pq$ . Sample  $g' \leftarrow U(\mathbb{Z}_{N^2}^*)$  and set  $g := g'^{2N} \bmod N^2$ . Next for each  $i \in [\ell]$  sample  $s_i \leftarrow U([-S, S] \cap \mathbb{Z})$ , where  $S = 2^{\lambda+\ell-1} \cdot \bar{X}^{\ell+1} \cdot \ell N^2$  and  $\bar{X} := X + XY \cdot \ell^2 \cdot (Y\sqrt{\ell})^\ell$  and then compute  $h_i = g^{s_i} \bmod N^2$ . Define  $\text{msk} := \mathbf{s} = (s_1, \dots, s_\ell)^\top \in \mathbb{Z}^\ell$  and  $\text{mpk} := (N, g, \{h_i\}_{i=1}^\ell, X, Y)$

**Keygen**( $\text{msk}, \mathbf{y}$ ): To generate a secret key from the vector  $\mathbf{y} \in [-Y, Y]^\ell$  using  $\text{msk} = \mathbf{s} = (s_1, \dots, s_\ell)^\top$ , compute  $s_y := \langle \mathbf{s}, \mathbf{y} \rangle = \sum_{i=1}^\ell s_i \cdot y_i \in \mathbb{Z}$  and return  $\text{sk}_{\mathbf{y}} := (\mathbf{y}, s_y)$ .



**Encrypt**( $\text{mpk}, \mathbf{x}$ ): Given the public key  $\text{mpk}$ , to encrypt a message  $\mathbf{x} \in [-X, X]^\ell$ , sample  $r \leftarrow U(\{0, 1, \dots, N/4\})$  and compute

$$c_0 = g^r \bmod N^2, \quad c_i = (1 + x_i N) \cdot h_i^r \bmod N^2 \quad \forall i \in [\ell]$$

and output  $\mathbf{c} = (c_0, \{c_i\}_{i=1}^\ell) \in \left(\mathbb{Z}_{N^2}^*\right)^{\ell+1}$ .

**Decrypt**( $\text{mpk}, \text{sk}_{\mathbf{y}}, \mathbf{c}$ ): On input of a functional decryption key  $\text{sk}_{\mathbf{y}} = (\mathbf{y}, s_{\mathbf{y}})$  and a ciphertext  $\mathbf{c} = (c_0, c_1, \dots, c_\ell)$ , compute

$$\mathbf{c}_{\mathbf{y}} = c_0^{-s_{\mathbf{y}}} \cdot \prod_{i=1}^{\ell} c_i^{y_i} \bmod N^2$$

Then output  $\log_{1+N}(\mathbf{c}_{\mathbf{y}}) = \frac{\mathbf{c}_{\mathbf{y}}^{-1} \bmod N^2}{N}$ .

Correctness: Suppose that we want to decrypt  $\mathbf{c} = \{c_i\}_{i=0}^\ell$  using  $\text{sk}_{\mathbf{y}} = (\mathbf{y}, \langle \mathbf{s}, \mathbf{y} \rangle)$ . Observe that we have the following equalities modulo  $N^2$ :

$$\prod_{i=1}^{\ell} c_i^{y_i} = \prod_{i=1}^{\ell} (1 + x_i N)^{y_i} \cdot g^{r \cdot s_i y_i} = (1 + N)^{\langle \mathbf{x}, \mathbf{y} \rangle} \cdot g^{r \cdot \langle \mathbf{s}, \mathbf{y} \rangle} = (1 + N)^{\langle \mathbf{x}, \mathbf{y} \rangle} \cdot c_0^{\langle \mathbf{s}, \mathbf{y} \rangle},$$

so that  $\mathbf{c}_{\mathbf{y}} = (1 + N)^{\langle \mathbf{x}, \mathbf{y} \rangle} \bmod N^2$ . Recall that  $(1 + N)^{\langle \mathbf{x}, \mathbf{y} \rangle} = 1 + \langle \mathbf{x}, \mathbf{y} \rangle \cdot N \bmod N^2$ , so that computing discrete logarithms in the subgroup generated by  $1 + N$  is easy. This enables the computation of  $\langle \mathbf{x}, \mathbf{y} \rangle \bmod N$ . By the choice of parameters we have  $|\langle \mathbf{x}, \mathbf{y} \rangle| \leq \ell \cdot \|\mathbf{x}\|_\infty \|\mathbf{y}\|_\infty \leq \ell \cdot X \cdot Y < N/2$ , so we actually recover  $\langle \mathbf{x}, \mathbf{y} \rangle$  computed over  $\mathbb{Z}$ .

### 4.3.3 The Security Proof

**Theorem 4.3.** *Under the DCR assumption, the above construction achieves adaptive simulation-based security.*

*Proof.* To prove the theorem we first describe the PPT simulator and show that under the DCR assumption the real experiment is indistinguishable from the ideal experiment.

In both experiments, we know that the adversary  $\mathcal{A}$  can obtain private keys for up to  $\ell - 1$  linearly independent vectors over  $\mathbb{Z}$ , a limit that is intrinsic to the functionality. We assume w.l.o.g. that  $\mathcal{A}$  makes private keys queries for exactly  $\ell - 1 = \ell_0 + \ell_1$  independent vectors, which we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell-1} \in \mathbb{Z}_q^\ell$ . Among these vectors, we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell_0}$  the vectors queried by  $\mathcal{A}$  before the challenge phase while  $\mathbf{y}_{\ell_0+1}, \dots, \mathbf{y}_{\ell_0+\ell_1}$  stand for the post-challenge private key queries. In the challenge phase, we denote by  $\mathbf{x}^* = (x_1^*, \dots, x_\ell^*) \in \mathbb{Z}_q^\ell$  the message chosen by  $\mathcal{A}$ . The simulator, given by  $(\text{Setup}^*, \text{Keygen}_0^*, \text{Encrypt}^*, \text{Keygen}_1^*)$ , proceeds as follows.

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**Setup** $^*$ ( $1^\lambda, 1^\ell, X, Y$ ): This algorithm chooses safe primes  $p = 2p' + 1$  and  $q = 2q' + 1$  such that  $\ell XY < N/2$ , and sets  $N = pq$ . It samples  $g' \leftarrow U(\mathbb{Z}_{N^2}^*)$  and sets  $g := g'^{2N} \bmod N^2$ . Next, for each  $i \in [\ell]$ , it samples  $s_i \leftarrow U([-S, S] \cap \mathbb{Z})$ , where  $S = 2^{\lambda+\ell-1} \cdot \bar{X}^{\ell+1} \cdot \ell N^2$  and  $\bar{X} := X + XY \cdot \ell^2 \cdot (Y\sqrt{\ell})^\ell$ , and computes  $h_i = g^{s_i} \bmod N^2$ . It defines the master secret key  $\text{msk}^* = (\mathbf{s}, p, q)$ , where  $\mathbf{s} = (s_1, \dots, s_\ell)^\top$ , and the master public key  $\text{mpk}^* = (N, g, \{h_i\}_{i=1}^\ell, X, Y)$

**Keygen** $_0^*$ ( $\text{msk}^*, \mathbf{y}$ ): This algorithm is used to generate all the pre-challenge functional decryption queries. To generate a secret key for  $\mathbf{y} \in [-Y, Y]^\ell$ , it computes and outputs  $\text{sk}_\mathbf{y} := \langle \mathbf{s}, \mathbf{y} \rangle = \sum_{i=1}^\ell s_i \cdot y_i \in \mathbb{Z}$ .

**Encrypt** $^*$ ( $\text{mpk}^*, \text{msk}^*, \{(\mathbf{y}_1, z_1), (\mathbf{y}_2, z_2), \dots, (\mathbf{y}_{\ell_0}, z_{\ell_0})\}$ ): Given  $\text{mpk}^*, \text{msk}^*$  and all the pre-challenge pairs  $(\mathbf{y}_j, z_j) \in [-Y, Y]^\ell \times \mathbb{Z}$ , where  $z_j = \langle \mathbf{x}^*, \mathbf{y}_j \rangle \in \mathbb{Z}$  and  $\mathbf{x}^*$  is the challenge message, it first computes a 'small' dummy message  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  such that  $\langle \bar{\mathbf{x}}, \mathbf{y}_j \rangle = z_j$  for all  $j \in [\ell_0]$ , as follows.

Letting  $\mathbf{z}_{\text{pre}} = (z_1, \dots, z_{\ell_0})^\top \in \mathbb{Z}^{\ell_0}$ , it computes  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  as in Lemma 2.15, such that  $\|\bar{\mathbf{x}}\|_\infty \leq \|\mathbf{z}_{\text{pre}}\|_\infty \cdot \ell \cdot (Y\sqrt{\ell_0})^{\ell_0}$  and  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}} \in \mathbb{Z}^{\ell_0}$ , where

$$\mathbf{Y}_{\text{pre}} = \begin{bmatrix} \mathbf{y}_1^\top \\ \vdots \\ \mathbf{y}_{\ell_0}^\top \end{bmatrix} \in \mathbb{Z}^{\ell_0 \times \ell},$$

Next, it samples  $a \leftarrow U(\mathbb{Z}_N^*)$  and  $b \leftarrow U(\mathbb{Z}_{N'})$ , where  $N' = p'q'$ , and computes

$$c_0^* = (1 + aN) \cdot g^b \bmod N^2, \quad c_i^* = (1 + \bar{x}_i N) \cdot (c_0^*)^{s_i} \bmod N^2 \quad \forall i \in [\ell].$$

It outputs the simulated ciphertext  $\mathbf{c}^* = (c_0^*, \{c_i^*\}_{i=1}^\ell) \in (\mathbb{Z}_{N^2}^*)^{\ell+1}$  together with the state information  $\text{st} := (\bar{\mathbf{x}}, a, N')$

**Keygen** $_1^*$ ( $\text{msk}^*, (\mathbf{y}, z = \langle \mathbf{y}, \mathbf{x}^* \rangle), \text{st}$ ): Post-challenge key queries are handled as follows. Upon receiving a pair  $(\mathbf{y}, z = \langle \mathbf{x}^*, \mathbf{y} \rangle)$ , it first computes  $u, v \in \mathbb{Z}$  such that  $uN + vN' = 1$  and  $\gamma := (a^{-1} \bmod N) \cdot vN' \bmod NN'$  then computes and outputs

$$s'_\mathbf{y} := \langle \mathbf{s}, \mathbf{y} \rangle + \gamma \cdot (\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z) \in \mathbb{Z}.$$

In order to prove that the real experiment is computationally indistinguishable from the ideal one, we use a sequence of games. We denote by  $\text{Adv}_{\mathcal{A}}^{ij}(\lambda)$  the advantage of an adversary  $\mathcal{A}$  in distinguishing between Game $_i$  and Game $_j$ . More precisely, a challenger  $\mathcal{C}$  flips a coin  $b \leftarrow \{0, 1\}$ . If  $b = 0$  the challenger interacts with the adversary  $\mathcal{A}$  as in Game $_i$  while, if  $b = 1$ , it interacts as in Game $_j$ . At the end of the interaction,  $\mathcal{A}$  outputs  $b' \in \{0, 1\}$ . The advantage is defined as  $\text{Adv}_{\mathcal{A}}^{ij}(\lambda) := |\Pr[b' = b] - \frac{1}{2}|$ .

**Game<sub>0</sub>** : This is the real experiment in which the challenger generates the parameters and interacts with the adversary as in the real experiment.

**Game<sub>1</sub>** : This game is exactly as the previous one except that the challenge ciphertext is computed as follows:  $r \leftarrow U(\{0, 1, \dots, N/4\})$  is sampled and

$$c_0^* = g^r \bmod N^2, \quad c_i^* = (1 + x_i^* N) \cdot (c_0^*)^{s_i} \bmod N^2, \text{ for } i \in [\ell]$$

This is possible since the challenger knows the secret key  $\text{msk} = (\{s_i\}_{i=1}^\ell)$ . Notice that Game<sub>0</sub> is identical to Game<sub>1</sub>. So,  $\text{Adv}_{\mathcal{A}}^{01}(\lambda) = 0$ .

**Game<sub>2</sub>** : In this experiment, the computation of  $c_0^*$  is modified. In the challenge phase, the challenger samples  $r \leftarrow U(\mathbb{Z}_{N'})$ , where  $N' = p'q'$ , and computes  $c_0^* := g^r \bmod N^2$ . By Lemma 2.12, the statistical distance between distributions  $U(\{0, 1, 2, \dots, N/4\}) \bmod N'$  and  $U(\mathbb{Z}_{N'})$  is  $< \frac{1}{p} + \frac{1}{q}$ , which is negligible. Hence, Game<sub>1</sub> and Game<sub>2</sub> are statistically indistinguishable. More precisely, we have  $\text{Adv}_{\mathcal{A}}^{12}(\lambda) < 1/p + 1/q$ .

**Game<sub>3</sub>** : This experiment is like the previous one, except that  $c_0^*$  is generated by sampling  $t \leftarrow U(\mathbb{Z}_{N^2}^*)$  and computing  $c_0^* := t^2 \bmod N^2$ . Under the DCR assumption, Game<sub>2</sub> and Game<sub>3</sub> are computationally indistinguishable. Indeed, in Game<sub>2</sub>, as long as  $g$  has order  $N'$ , the distribution  $\{g^r \mid r \leftarrow U(\mathbb{Z}_{N'})\}$  is the uniform distribution in the subgroup of  $2N$ -th residues. The DCR assumption implies that the latter distribution is computationally indistinguishable from the distribution  $\{t^2 \bmod N^2 \mid t \leftarrow U(\mathbb{Z}_{N^2}^*)\}$ . Since a random  $2N$ -th residue  $g$  generates the entire subgroup of  $2N$ -th residues with probability  $\frac{\varphi(N')}{N'} = 1 - \frac{1}{p'} - \frac{1}{q'} + \frac{1}{N'}$ , we obtain

$$\left(1 - \frac{1}{p'} - \frac{1}{q'} + \frac{1}{N'}\right) \cdot \text{Adv}_{\mathcal{A}}^{23}(\lambda) \leq \text{Adv}_{\mathcal{B}}^{\text{DCR}}(\lambda).$$

**Game<sub>4</sub>** : In this game, we sample  $a \leftarrow U(\mathbb{Z}_N^*)$  and  $b \leftarrow U(\mathbb{Z}_{N'})$  and compute  $c_0^* := (1 + aN) \cdot g^b \bmod N^2$ . Observe that  $\{t^2 \bmod N^2 \mid t \leftarrow U(\mathbb{Z}_{N^2}^*)\}$  is the same as the distribution  $\{(1 + \alpha N) \cdot g^\beta \bmod N^2 \mid \alpha \leftarrow U(\mathbb{Z}_N), \beta \leftarrow U(\mathbb{Z}_{N'})\}$ . Therefore the statistical distance between the view of the adversary in Game<sub>3</sub> and Game<sub>4</sub> is bounded by  $\Delta(a, \alpha) < \frac{1}{p} + \frac{1}{q}$ . So, these games are statistically indistinguishable and  $\text{Adv}_{\mathcal{A}}^{34}(\lambda) < 1/p + 1/q$ .

**Game<sub>5</sub>** : This is the ideal experiment where the adversary interacts with the simulator. Lemma 4.4 shows that Game<sub>5</sub> and Game<sub>4</sub> are statistically indistinguishable, which yields the stated result.

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Putting the above altogether, we obtain that a PPT adversary  $\mathcal{A}$  that can distinguish between the real and the ideal experiment implies an efficient DCR distinguisher  $\mathcal{B}$  such that

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{\text{Real-Ideal}}(1^\lambda) &= |\Pr[1 \leftarrow \mathbf{Exp}_{\mathcal{A}}^{\text{Real}}(1^\lambda)] - \Pr[1 \leftarrow \mathbf{Exp}_{\mathcal{A}}^{\text{Ideal}}(1^\lambda)]| \\ &\leq \frac{N'}{\varphi(N')} \cdot \text{Adv}_{\mathcal{B}}^{\text{DCR}}(1^\lambda) + \frac{2}{p} + \frac{2}{q} + 2^{-\lambda}. \end{aligned}$$

□

**Lemma 4.4.** *The advantage of any distinguisher between  $\text{Game}_4$  and  $\text{Game}_5$  is statistically negligible and  $\text{Adv}_{\mathcal{A}}^{45}(\lambda) \leq 2^{-\lambda}$ .*

*Proof.* In order to prove the claim, we simultaneously define  $\text{Game}'_4$  and  $\text{Game}'_5$  as follows. For each  $k \in \{4, 5\}$ , define  $\text{Game}'_k$  identically to  $\text{Game}_k$  except that, at the outset of the game, the challenger samples  $\Delta \mathbf{x} \leftarrow U([-\bar{X}, \bar{X}]^\ell)$ , where  $\bar{X} = X + XY \cdot \ell^2 \cdot (Y\sqrt{\ell})^\ell$ . Before generating the challenge ciphertext, the challenger uses Lemma 2.15 to compute  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  such that  $\|\bar{\mathbf{x}}\|_\infty \leq \ell XY \cdot \ell (Y\sqrt{\ell})^\ell$  and  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{Y}_{\text{pre}} \cdot \mathbf{x}^*$ , where  $\mathbf{Y}_{\text{pre}}$  is the matrix obtained by stacking up the (linearly independent) transposed vectors  $\mathbf{y}^\top$  occurring in pre-challenge queries. If  $\Delta \mathbf{x} = \bar{\mathbf{x}} - \mathbf{x}^*$  (we call this event *Guess*), the challenger proceeds as in  $\text{Game}_k$ . Otherwise, the challenger aborts the game and replaces  $\mathcal{A}$ 's output  $b'$  by a random bit. We claim that any adversary  $\mathcal{A}$  that can distinguish between  $\text{Game}_4$  and  $\text{Game}_5$  with advantage  $\text{Adv}_{\mathcal{A}}^{45}(\lambda)$  can be used to distinguish between  $\text{Game}'_4$  and  $\text{Game}'_5$  with advantage

$$\text{Adv}_{\mathcal{A}}^{4'5'}(\lambda) = \frac{1}{(2\bar{X})^\ell} \cdot \text{Adv}_{\mathcal{A}}^{45}(\lambda). \quad (4.5)$$

Indeed, the probability that  $\mathcal{A}$  outputs the correct bit  $b'$  when distinguishing between  $\text{Game}'_4$  and  $\text{Game}'_5$  is equal to

$$\Pr[b' = b] = \Pr[b' = b | \text{Guess}] \cdot \Pr[\text{Guess}] + \Pr[b' = b | \overline{\text{Guess}}] \cdot \Pr[\overline{\text{Guess}}]$$

which is equivalent to

$$\Pr[b' = b] - \frac{1}{2} = \left( \Pr[b' = b | \text{Guess}] - \frac{1}{2} \right) \cdot \Pr[\text{Guess}]$$

By considering the equality in absolute value, we obtain (4.7).

Next, we claim that  $\text{Adv}_{\mathcal{A}}^{4'5'}(\lambda) \leq (2\bar{X})^{-\ell} \cdot 2^{-\lambda}$ , which implies that  $\text{Game}_4$  and  $\text{Game}_5$  are indistinguishable. To see this, observe that, when *Guess* occurs,  $\text{Game}'_5$  is identical to a modification of  $\text{Game}'_4$  where the master secret key has been replaced by

$$s'_i = s_i + \gamma \cdot \Delta \mathbf{x}_i \in \mathbb{Z}, \quad \forall i \in [\ell]$$

where  $\gamma = (a^{-1} \bmod N) \cdot \nu N' \bmod NN'$  is determined by the Bézout coefficient  $\nu$  for which  $uN + \nu N' = 1$  (and thus  $\nu N' = 1 \bmod N$ ) and the element  $a \in \mathbb{Z}_N^*$  which

used to compute  $c_0^* = (1 + aN) \cdot g^b \bmod N^2$  in the challenge ciphertext. (Note that  $a$  and  $v$  can be chosen by the challenger at the beginning of the game, so that we can define a game where the challenger uses  $\{s'_i\}_i$  instead of  $\{s_i\}_i$ ). With this new master secret key  $\mathbf{s}' = (s'_1, \dots, s'_\ell)$ , notice that the public key remains invariant  $h_i = g^{s_i} = g^{s'_i} \bmod N^2$  for all  $i \in [\ell]$  and  $\langle \mathbf{s}, \mathbf{y} \rangle = \langle \mathbf{s}', \mathbf{y} \rangle$  for all pre-challenge queries  $\mathbf{y} \in \mathbb{Z}^\ell$ . We thus obtain

$$\text{Adv}_{\mathcal{A}}^{4'5'}(\lambda) \leq \Delta(\mathbf{s}', \mathbf{s}) \leq (2\bar{X})^{-\ell} \cdot 2^{-\lambda},$$

where the last inequality follows from the fact that

$$\Delta(\mathbf{s}', \mathbf{s}) \leq \sum_{i=1}^{\ell} \Delta(s'_i, s_i) \stackrel{\text{Lemma 2.13}}{\leq} \ell \cdot \frac{\|\gamma \cdot \Delta \mathbf{x}\|_\infty}{2S} \leq \frac{NN' \cdot \bar{X}}{2^{\lambda+\ell} \cdot \bar{X}^{\ell+1} \cdot N^2} \leq (2\bar{X})^{-\ell} \cdot 2^{-\lambda}.$$

□

## 4.4 Adaptive Simulation IPFE from LWE

As already mentioned in Section 4.3, another result of [ALMT20] gives an AD-SIM IPFE compiler, for constructions that evaluate inner products mod an integer. This can be applied to the [ALS16] LWE-based construction for inner products over  $\mathbb{Z}_p$ , to obtain a stateful AD-SIM secure IPFE, that evaluates inner products mod  $p$ . We will not give the details for the stateful construction in this work, but the results can be found in the [ALMT20] paper.

However, in this section we recall a variant of the LWE-based IPFE scheme of [ALS16], that evaluates inner products over the integers, for which we prove AD-SIM security. The key generation algorithm for this scheme is stateless.

### 4.4.1 Overview

The security proof follows the same pattern as the DDH and DCR-based proofs from the previous sections. The difference in this case is that, shifting the master secret key is not as straightforward as before. The reason is that the decryption process of the LWE-based scheme is different, in the following sense. When we decrypt the ciphertext corresponding to the message vector  $\mathbf{x} \in \mathbb{Z}^\ell$ , computed under the public key  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  and  $\mathbf{U} = \mathbf{A} \cdot \mathbf{R} \in \mathbb{Z}_q^{n \times \ell}$ ,

$$\begin{aligned} \mathbf{c}_0 &= \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}_0 \in \mathbb{Z}_q^m \\ \mathbf{c}_1 &= \mathbf{U}^\top \cdot \mathbf{s} + \mathbf{e}_1 + \mathbf{x} \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell, \end{aligned}$$

using a functional decryption key  $\mathbf{r}_y = \mathbf{R} \cdot \mathbf{y} \in \mathbb{Z}^m$ , we must compute  $\mathbf{y}^\top \cdot \mathbf{c}_1 - \mathbf{r}_y^\top \cdot \mathbf{c}_0 = \langle \mathbf{x}^*, \mathbf{y} \rangle \cdot \lfloor q/K \rfloor + \text{small error}$ . When we do the same computation, using a shifted functional decryption key of the form  $\mathbf{r}'_y := \mathbf{r}_y + \boldsymbol{\alpha} \cdot \langle \Delta \mathbf{x}, \mathbf{y} \rangle$ , corresponding to a master secret key, of the form  $\mathbf{R}' := \mathbf{R} + \boldsymbol{\alpha}$ , the extra term  $\boldsymbol{\alpha}^\top \cdot \mathbf{c}_0$  appears,

which prevents the correct programming of the post-challenge keys. To solve this problem, during the simulation, we generate a trapdoor matrix corresponding to  $\begin{bmatrix} \mathbf{A} \\ \mathbf{c}_0^\top \end{bmatrix} \in \mathbb{Z}_q^{(n+1) \times m}$ . The trapdoor allows us to compute a small matrix  $\boldsymbol{\alpha} \in \mathbb{Z}^{m \times \ell}$  such that  $\mathbf{A} \cdot \boldsymbol{\alpha} = \mathbf{0}$  and  $\boldsymbol{\alpha}^\top \cdot \mathbf{c}_0 = \text{constant}$ . This implies that the public key  $\mathbf{U} = \mathbf{A}\mathbf{R} = \mathbf{A}\mathbf{R}'$ , remains invariant under the shift  $\mathbf{R}' = \mathbf{R} + \boldsymbol{\alpha}$  and also it enables us to correctly program the post-challenge key queries. We can actually choose  $\boldsymbol{\alpha} = \Delta \mathbf{x}^\top \otimes \mathbf{w}$  of this form, which guarantees that the pre-challenge keys remain invariant to the shift.

To argue that the statistical distance between the master secret key  $\mathbf{R}$  and its shift  $\mathbf{R}' = \mathbf{R} + \boldsymbol{\alpha}$  is negligible, we use the noise flood Lemma 2.9. Because of this, we need an exponentially large modulus  $q$ .

#### 4.4.2 The LWE-based IPFE Construction

**Setup**( $1^\lambda, 1^\ell, X, Y$ ): The public parameters are  $\Gamma = \{m, n, q, \ell, \alpha, \sigma, \sigma_1, X, Y, K\}$ , for  $K := \ell \cdot XY$ ,  $m = \Theta(n \log q)$ ,  $\alpha \in (0, 1)$  such that  $\alpha q = \Omega(\sqrt{n})$ ,  $\sigma = 2^\lambda \cdot \text{poly}(\lambda)$ ,  $\sigma_1 = 2^\lambda \cdot \sigma \cdot \text{poly}(\lambda)$  and  $(\sigma_1 + m \cdot \sigma \cdot \alpha q) \cdot Y \sqrt{\ell} < q/2K$ .

The public key is generated via the following steps. Sample  $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})$ ,  $\mathbf{R} \leftarrow D_{\mathbb{Z}^{m \times \ell}, \sigma}$  and compute  $\mathbf{U} := \mathbf{A}\mathbf{R} \in \mathbb{Z}_q^{n \times \ell}$ . Set  $\text{mpk} := (\mathbf{A}, \mathbf{U})$  and  $\text{msk} := \mathbf{R}$ .

**Keygen**( $\text{msk}, \mathbf{y}$ ): On input the  $\text{msk} = \mathbf{R} \in \mathbb{Z}^{m \times \ell}$  and a vector  $\mathbf{y} \in \mathbb{Z}^\ell$  such that  $\|\mathbf{y}\|_\infty \leq Y$ , compute  $\mathbf{r}_y := \mathbf{R} \cdot \mathbf{y} \in \mathbb{Z}^m$  and return  $\text{sk}_y := (\mathbf{y}, \mathbf{r}_y)$ .

**Encrypt**( $\text{mpk}, \mathbf{x}$ ): To encrypt a message  $\mathbf{x} \in \mathbb{Z}^\ell$  such that  $\|\mathbf{x}\|_\infty \leq X$ , first sample  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$ ,  $\mathbf{e}_0 \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{e}_1 \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$  compute:

$$\begin{aligned} \mathbf{c}_0 &= \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}_0 \in \mathbb{Z}_q^m \\ \mathbf{c}_1 &= \mathbf{U}^\top \cdot \mathbf{s} + \mathbf{e}_1 + \mathbf{x} \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$

and return the ciphertext  $\mathbf{c} := (\mathbf{c}_0, \mathbf{c}_1) \in \mathbb{Z}_q^m \times \mathbb{Z}_q^\ell$ .

**Decrypt**( $\text{sk}_y, \mathbf{c}$ ): Given the secret key  $\text{sk}_y = (\mathbf{y}, \mathbf{r}_y) \in \mathbb{Z}^{\ell+m}$  and a ciphertext  $\mathbf{c} = (\mathbf{c}_0, \mathbf{c}_1)$  compute  $\mathbf{v} := \mathbf{y}^\top \cdot \mathbf{c}_1 - \mathbf{r}_y^\top \mathbf{c}_0 \bmod q$ . Return the vector  $\mathbf{z} \in \mathbb{Z}^\ell$  such that  $|v_i - z_i \cdot \lfloor q/K \rfloor|$  is minimized for all  $i \in [\ell]$ .

**Lemma 4.5** (Correctness). *If  $\sigma_1 = \omega(\sqrt{\log \ell})$ ,  $\alpha q = \omega(\sqrt{\log m})$  and  $(\sigma_1 + m \cdot \sigma \cdot \alpha q) \cdot Y \sqrt{\ell} < q/2K$  then for any  $(\text{mpk}, \text{msk}) \leftarrow \text{Setup}(1^\lambda, 1^\ell, X, Y)$ , any  $\mathbf{x} \in [-X, X]^\ell \cap \mathbb{Z}^\ell$  and  $\mathbf{y} \in [-Y, Y]^\ell \cap \mathbb{Z}^\ell$  and  $\text{sk}_y \leftarrow \text{Keygen}(\text{msk}, \mathbf{y})$  we have*

$$\text{Decrypt}(\text{sk}_y, \text{Encrypt}(\text{mpk}, \mathbf{x})) = \langle \mathbf{x}, \mathbf{y} \rangle,$$

with probability exponentially close to 1.

*Proof.* Suppose that  $(\mathbf{c}_0, \mathbf{c}_1) \leftarrow \text{Encrypt}(\text{mpk}, \mathbf{x})$  for some integer vector  $\mathbf{x} \in [-X, X]^\ell$ . The decryption algorithm computes  $\mathbf{y}^\top \cdot \mathbf{c}_1 - \mathbf{r}_y^\top \mathbf{c}_0 = \langle \mathbf{e}_1 - \mathbf{R}^\top \mathbf{e}_0, \mathbf{y} \rangle + \lfloor q/K \rfloor \cdot \langle \mathbf{x}, \mathbf{y} \rangle$ . Notice that the condition  $|\langle \mathbf{e}_1 - \mathbf{R}^\top \mathbf{e}_0, \mathbf{y} \rangle| < q/2K$  ensures that decryption correctly recovers  $\langle \mathbf{x}, \mathbf{y} \rangle$ . It thus suffices to prove the above inequality.

$$|\langle \mathbf{e}_1 - \mathbf{R}^\top \mathbf{e}_0, \mathbf{y} \rangle| \leq (\|\mathbf{e}_1\| + \|\mathbf{R}^\top \mathbf{e}_0\|) \cdot \|\mathbf{y}\| \leq \left( \|\mathbf{e}_1\| + \sqrt{\ell} \cdot \max_{i \in [\ell]} |\mathbf{r}_i^\top \cdot \mathbf{e}_0| \right) \cdot \|\mathbf{y}\|,$$

where  $\mathbf{r}_i^\top \in \mathbb{Z}^{1 \times m}$  are the rows of  $\mathbf{R}^\top$ .

By Lemma 2.1 we can bound the Euclidean norm of the Gaussian vectors  $\|\mathbf{e}_0\| \leq \alpha q \sqrt{m}$ ,  $\|\mathbf{e}_1\| \leq \sigma_1 \sqrt{\ell}$  and  $\|\mathbf{r}_i\| \leq \sigma \sqrt{m}$  respectively. This implies

$$|\langle \mathbf{e}_1 - \mathbf{R}^\top \mathbf{e}_0, \mathbf{y} \rangle| \leq (\sigma_1 + m \cdot \sigma \cdot \alpha q) \cdot Y \sqrt{\ell} < q/2K.$$

The last inequality follows from the choice of parameters.  $\square$

### 4.4.3 Security

**Theorem 4.6.** *The above construction provides AD-SIM security under the LWE assumption.*

*Proof.* In order to prove the result, we first describe the simulator given by the PPT algorithms  $(\text{Setup}^*, \text{Keygen}_0^*, \text{Encrypt}^*, \text{Keygen}_1^*)$ . In a second step, we will prove that the LWE assumption implies the adversary's inability to distinguish the real experiment from the ideal.

Both in the Real and the Ideal experiments, we know that the adversary  $\mathcal{A}$  can obtain private keys for up to  $\ell - 1$  linearly independent vectors over  $\mathbb{Z}$ , a limit that is intrinsic to the functionality. We assume w.l.o.g. that  $\mathcal{A}$  makes private keys queries for exactly  $\ell - 1 = \ell_0 + \ell_1$  independent vectors, which we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell-1} \in \mathbb{Z}_q^\ell$ . Among these vectors, we denote by  $\mathbf{y}_1, \dots, \mathbf{y}_{\ell_0}$  the vectors queried by  $\mathcal{A}$  before the challenge phase while  $\mathbf{y}_{\ell_0+1}, \dots, \mathbf{y}_{\ell_0+\ell_1}$  stand for the post-challenge private key queries. In the challenge phase, we denote by  $\mathbf{x}^* = (x_1^*, \dots, x_\ell^*) \in \mathbb{Z}_q^\ell$  the message chosen by  $\mathcal{A}$ . The simulator proceeds as follows.

**Setup** $^*(1^\lambda, 1^\ell, X, Y)$ : The public parameters  $\Gamma = \{m, n, q, \ell, \alpha, \sigma, \sigma_1, X, Y, K\}$ , are chosen by the experiment as in the description of the scheme.

Next it runs  $(\bar{\mathbf{A}}, \mathbf{T}_{\bar{\mathbf{A}}}) \leftarrow \text{TrapGen}(1^m, 1^{n+1})$  and parses  $\bar{\mathbf{A}} = \begin{bmatrix} \mathbf{A} \\ \mathbf{a}_0^\top \end{bmatrix} \in \mathbb{Z}_q^{(n+1) \times m}$  and it uses the trapdoor  $\mathbf{T}_{\bar{\mathbf{A}}} \in \mathbb{Z}^{m \times m}$  to sample a "small" vector  $\mathbf{w} \in \mathbb{Z}^m$  (as in Remark 1) such that

$$\begin{bmatrix} \mathbf{A} \\ \mathbf{a}_0^\top \end{bmatrix} \cdot \mathbf{w} = \begin{bmatrix} \mathbf{0}^n \\ \lfloor q/K \rfloor \end{bmatrix} \in \mathbb{Z}_q^{n+1},$$

Then, it samples  $\mathbf{R} \leftarrow D_{\mathbb{Z}^{m \times \ell}, \sigma}$ , computes  $\mathbf{U} = \mathbf{A}\mathbf{R}$  and sets  $\text{mpk}^* = (\mathbf{A}, \mathbf{U})$  and  $\text{msk}^* = (\mathbf{R}, \mathbf{T}_{\bar{\mathbf{A}}}, \mathbf{a}_0, \mathbf{w})$ .

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**Keygen** $^*_0(\text{msk}^*, \mathbf{y})$ : On input the  $\text{msk} = \mathbf{R} \in \mathbb{Z}^{m \times \ell}$  and a vector  $\mathbf{y} \in \mathbb{Z}^\ell$  such that  $\|\mathbf{y}\|_\infty \leq Y$ , compute  $\mathbf{r}_y := \mathbf{R} \cdot \mathbf{y} \in \mathbb{Z}^m$  and return  $\text{sk}_y := (\mathbf{y}, \mathbf{r}_y)$ .

**Encrypt** $^*(\text{mpk}^*, \text{msk}^*, \{(\mathbf{y}_1, z_1), (\mathbf{y}_2, z_2), \dots, (\mathbf{y}_{\ell_0}, z_{\ell_0})\})$ : Given  $\text{mpk}^*$ ,  $\text{msk}^*$  and all the pre-challenge pairs  $(\mathbf{y}_j, z_j) \in [-Y, Y]^\ell \times \mathbb{Z}$ , where  $z_j = \langle \mathbf{x}^*, \mathbf{y}_j \rangle \in \mathbb{Z}$  and  $\mathbf{x}^*$  is the challenge message, it first computes a "small" dummy message  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  such that  $\langle \bar{\mathbf{x}}, \mathbf{y}_j \rangle = z_j$  for all  $j \in [\ell_0]$ , as follows.

Letting  $\mathbf{z}_{\text{pre}} = (z_1, \dots, z_{\ell_0})^\top \in \mathbb{Z}^{\ell_0}$ , it computes  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  as in Lemma 2.15, such that  $\|\bar{\mathbf{x}}\|_\infty \leq \|\mathbf{z}_{\text{pre}}\|_\infty \cdot \ell \cdot (Y\sqrt{\ell_0})^{\ell_0}$  and  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{z}_{\text{pre}} \in \mathbb{Z}^{\ell_0}$ , where

$$\mathbf{Y}_{\text{pre}} = \begin{bmatrix} \mathbf{y}_1^\top \\ \vdots \\ \mathbf{y}_{\ell_0}^\top \end{bmatrix} \in \mathbb{Z}^{\ell_0 \times \ell},$$

Next, it samples  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$ ,  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$  and computes the ciphertext as:

$$\begin{aligned} \mathbf{c}_0^* &= \mathbf{a}_0 \\ \mathbf{c}_1^* &= \mathbf{R}^\top \cdot \mathbf{c}_0^* + \mathbf{e}_1^* + \bar{\mathbf{x}} \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$

It outputs the simulated ciphertext  $\mathbf{c}^* = (\mathbf{c}_0^*, \mathbf{c}_1^*)$ .

**Keygen** $^*_1(\text{msk}^*, (\mathbf{y}, z = \langle \mathbf{y}, \mathbf{x}^* \rangle), \text{st})$ : Post-challenge key queries as answered as follows. Upon receiving a pair  $(\mathbf{y}, z = \langle \mathbf{x}^*, \mathbf{y} \rangle)$ , it computes  $\mathbf{r}'_y := \mathbf{R}\mathbf{y} + (\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z) \cdot \mathbf{w} \in \mathbb{Z}^m$ , and outputs  $\text{sk}_y := (\mathbf{y}, \mathbf{r}'_y)$ .

Notice that keys  $\text{sk}_y$  corresponding to post-challenge queries decrypt to  $\langle \mathbf{x}^*, \mathbf{y} \rangle$ .

$$\begin{aligned} \mathbf{y}^\top \cdot \mathbf{c}_1^* - \mathbf{r}'_y{}^\top \cdot \mathbf{c}_0^* &= (\mathbf{R}\mathbf{y})^\top \cdot \mathbf{c}_0^* + \langle \mathbf{e}_1^*, \mathbf{y} \rangle + \lfloor q/K \rfloor \cdot \langle \bar{\mathbf{x}}, \mathbf{y} \rangle - \\ &\quad - (\mathbf{R}\mathbf{y})^\top \cdot \mathbf{c}_0^* - (\langle \bar{\mathbf{x}}, \mathbf{y} \rangle - z) \cdot \mathbf{w}^\top \cdot \mathbf{c}_0^* \\ &= \langle \mathbf{e}_1^*, \mathbf{y} \rangle + \langle \mathbf{x}^*, \mathbf{y} \rangle \cdot \lfloor q/K \rfloor \end{aligned}$$

So the above decrypts to  $\langle \mathbf{x}^*, \mathbf{y} \rangle$  since  $|\langle \mathbf{e}_1^*, \mathbf{y} \rangle| < q/2K$  (Correctness Lemma 4.5).

In order to prove that the real experiment is computationally indistinguishable from the ideal experiment, we use the following sequence of games.

**Game 0**: This the real AD-SIM experiment. The pair  $(\text{mpk}, \text{msk})$  is generated as in the real experiment, namely  $\mathbf{A} \leftarrow \mathbb{Z}_q^{n \times m}$ ,  $\mathbf{R} \leftarrow D_{\mathbb{Z}^{m \times \ell}, \sigma}$  and  $\mathbf{U} = \mathbf{A}\mathbf{R} \in \mathbb{Z}_q^{n \times \ell}$ . The public and the secret keys are set as  $\text{mpk} := (\mathbf{A}, \mathbf{U})$ ,  $\text{msk} := \mathbf{R}$ . To encrypt the adversarially-chosen  $\mathbf{x}^* \in [-X, X]^\ell \cap \mathbb{Z}^\ell$ , the the experiment samples  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$ ,  $\mathbf{e}_0^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$  computes

$$\begin{aligned} \mathbf{c}_0^* &= \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}_0^* \in \mathbb{Z}_q^m \\ \mathbf{c}_1^* &= \mathbf{U}^\top \cdot \mathbf{s} + \mathbf{e}_1^* + \mathbf{x}^* \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$



and sets  $\mathbf{c}^* := (\mathbf{c}_0^*, \mathbf{c}_1^*) \in \mathbb{Z}_q^m \times \mathbb{Z}_q^\ell$ . To answer both the pre-challenge and the post-challenge key queries for some vector  $\mathbf{y} \in [-Y, Y]^\ell \cap \mathbb{Z}^\ell$ , the experiment returns  $(\mathbf{y}, \mathbf{r}_y)$ , where  $\mathbf{r}_y := \mathbf{R} \cdot \mathbf{y} \in \mathbb{Z}^m$ , exactly as in the real experiment.

**Game 1:** This game differs from the previous one in that the ciphertext is computed as:  $\mathbf{s} \leftarrow \mathbb{Z}_q^n$ ,  $\mathbf{e}_0^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$ ,

$$\begin{aligned} \mathbf{c}_0^* &= \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}_0^* \in \mathbb{Z}_q^m \\ \mathbf{c}_1^* &= \mathbf{R}^\top \cdot (\mathbf{c}_0^* - \mathbf{e}_0^*) + \mathbf{e}_1^* + \mathbf{x}^* \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$

Since the experiment knows the secret key, it is able to compute the ciphertext in this way. This change is only conceptual since the ciphertext is identical to that of Game 0.

**Game 2:** This game is the same as the previous one, except that the ciphertext is computed as:  $\mathbf{e}_0^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$ ,  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$ ,

$$\begin{aligned} \mathbf{c}_0^* &= \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}_0^* \in \mathbb{Z}_q^m \\ \mathbf{c}_1^* &= \mathbf{R}^\top \cdot \mathbf{c}_0^* + \mathbf{e}_1^* + \mathbf{x}^* \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$

The only difference between the last two games is the term  $\mathbf{R}^\top \cdot \mathbf{e}_0^*$  in the expression of the ciphertext component  $\mathbf{c}_1^*$ .

Since the norm of  $\mathbf{R}^\top \cdot \mathbf{e}_0^*$  is relatively small, the statistical discrepancy between the two games is "drowned", as the term  $\mathbf{e}_1^*$  is sampled from a very wide Gaussian distribution. Formally, by Lemma 2.9, Game 1 and Game 2 are statistically close, because the choice of parameters implies  $\frac{\|\mathbf{R}^\top \cdot \mathbf{e}_0^*\|_\infty}{\sigma_1} < 2^{-\lambda}$ .

**Game 3** This game is the same as the previous one, except that only the error  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$  is sampled and the ciphertext is computed as: ,

$$\begin{aligned} \mathbf{c}_0^* &\leftarrow U(\mathbb{Z}_q^m) \\ \mathbf{c}_1^* &= \mathbf{R}^\top \cdot \mathbf{c}_0^* + \mathbf{e}_1^* + \mathbf{x}^* \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell \end{aligned}$$

By the  $\text{LWE}_{q,m,n,\alpha}$  assumption the last two games are computationally indistinguishable.

**Game 4:** In this game, the experiment first runs  $(\bar{\mathbf{A}}, \mathbf{T}_{\bar{\mathbf{A}}}) \leftarrow \text{TrapGen}(1^m, 1^{n+1})$  and parses  $\bar{\mathbf{A}} = \begin{bmatrix} \mathbf{A} \\ \mathbf{a}_0^\top \end{bmatrix} \in \mathbb{Z}_q^{(n+1) \times m}$ . Then, it samples  $\mathbf{R} \leftarrow D_{\mathbb{Z}^{m \times \ell}, \sigma}$ , computes  $\mathbf{U} = \mathbf{A}\mathbf{R}$  and sets  $\text{mpk}^* = (\mathbf{A}, \mathbf{U})$  and  $\text{msk}^* = (\mathbf{R}, \mathbf{T}_{\bar{\mathbf{A}}}, \mathbf{a}_0)$ . Also samples  $\mathbf{e}_1^* \leftarrow D_{\mathbb{Z}^\ell, \sigma_1}$ , and computes the ciphertext as:

$$\begin{aligned} \mathbf{c}_0^* &= \mathbf{a}_0 \in \mathbb{Z}_q^m \\ \mathbf{c}_1^* &= \mathbf{R}^\top \cdot \mathbf{c}_0^* + \mathbf{e}_1^* + \mathbf{x}^* \cdot \lfloor q/K \rfloor \in \mathbb{Z}_q^\ell. \end{aligned} \tag{4.6}$$

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The key queries are still answered as in the previous games. Notice that the difference between Game 3 and Game 4 is the way the matrix  $\mathbf{A}$  and the vector  $\mathbf{c}_0^*$  are sampled. By Lemma 2.8, the distribution of the matrix  $\begin{bmatrix} \mathbf{A} \\ \mathbf{a}_0^\top \end{bmatrix}$ , which was generated using the TrapGen algorithm, is statistically close to the uniform distribution  $U(\mathbb{Z}_q^{(n+1) \times m})$ . This implies that Game 3 and Game 4 are statistically close in  $\mathcal{A}$ 's view.

**Game 5:** This game is exactly like the previous game, except that right after the generation of the keys, the experiment uses the trapdoor  $\mathbf{T}_{\bar{\mathbf{A}}} \in \mathbb{Z}^{m \times m}$  to sample a "small" vector  $\mathbf{w} \in \mathbb{Z}^m$  (as in Remark 1) such that

$$\begin{bmatrix} \mathbf{A} \\ \mathbf{a}_0^\top \end{bmatrix} \cdot \mathbf{w} = \begin{bmatrix} \mathbf{0}^n \\ \lfloor q/K \rfloor \end{bmatrix} \in \mathbb{Z}_q^{n+1},$$

then sets  $\text{msk}^* = (\mathbf{R}, \mathbf{T}_{\bar{\mathbf{A}}}, \mathbf{a}_0, \mathbf{w})$

Notice that the last two games are the identical in the view of the adversary.

**Game 6:** This is identical to the Ideal experiment. In Lemma 4.7 we prove that Game 5 and Game 6 are statistically close, thus concluding the proof.  $\square$

**Lemma 4.7.** *The advantage of any distinguisher between Game<sub>5</sub> and Game<sub>6</sub> is statistically negligible and  $\text{Adv}_{\mathcal{A}}^{56}(\lambda) \leq 2^{-\lambda}$ .*

*Proof.* In order to prove the claim, we simultaneously define Game<sub>5</sub>' and Game<sub>6</sub>' as follows. For each  $k \in \{5, 6\}$ , define Game<sub>k</sub>' identically to Game<sub>k</sub> except that, at the outset of the game, the challenger samples  $\Delta \mathbf{x} \leftarrow U([- \bar{X}, \bar{X}]^\ell)$ , where  $\bar{X} = X + XY \cdot \ell^2 \cdot (Y \sqrt{\ell})^\ell$ . Before generating the challenge ciphertext, the challenger uses Lemma 2.15 to compute  $\bar{\mathbf{x}} \in \mathbb{Z}^\ell$  such that  $\|\bar{\mathbf{x}}\|_\infty \leq \ell XY \cdot \ell (Y \sqrt{\ell})^\ell$  and  $\mathbf{Y}_{\text{pre}} \cdot \bar{\mathbf{x}} = \mathbf{Y}_{\text{pre}} \cdot \mathbf{x}^*$ , where  $\mathbf{Y}_{\text{pre}}$  is the matrix obtained by stacking up the (linearly independent) transposed vectors  $\mathbf{y}^\top$  occurring in pre-challenge queries. If  $\Delta \mathbf{x} = \bar{\mathbf{x}} - \mathbf{x}^*$  (we call this event Guess), the challenger proceeds as in Game<sub>k</sub>. Otherwise, the challenger aborts the game and replaces  $\mathcal{A}$ 's output  $b'$  by a random bit.

As in the proof of Lemma 4.7, any adversary  $\mathcal{A}$  that can distinguish between Game<sub>5</sub> and Game<sub>6</sub> with advantage  $\text{Adv}_{\mathcal{A}}^{56}(\lambda)$  can be used to distinguish between Game<sub>5</sub>' and Game<sub>6</sub>' with advantage

$$\text{Adv}_{\mathcal{A}}^{5'6'}(\lambda) = \frac{1}{(2\bar{X})^\ell} \cdot \text{Adv}_{\mathcal{A}}^{56}(\lambda). \quad (4.7)$$

Next, we show that  $\text{Adv}_{\mathcal{A}}^{5'6'}(\lambda) \leq (2\bar{X})^{-\ell} \cdot 2^{-\lambda}$ , which implies that Game<sub>5</sub> and Game<sub>6</sub> are statistically indistinguishable. To see this, observe that, when Guess occurs, Game<sub>6</sub>' is identical to a modification of Game<sub>5</sub>' where the master secret key has been replaced by

$$\mathbf{R}' = \mathbf{R} + \Delta \mathbf{x}^\top \otimes \mathbf{w} \in \mathbb{Z}^{m \times \ell}$$

, where  $\mathbf{R} \leftarrow D_{\mathbb{Z}^{m \times \ell}, \sigma}$  and  $\Delta \mathbf{x}^\top \otimes \mathbf{w} = [\Delta x_1 \cdot \mathbf{w} | \cdots | \Delta x_\ell \cdot \mathbf{w}] \in \mathbb{Z}^{m \times \ell}$  is the Kronecker product matrix. Notice that the distribution of  $\mathbf{R}'$  can be computed at the beginning of the experiment, thus we can define a game where  $\mathbf{R}'$  is used instead of  $\mathbf{R}$ . By making this change of the master secret key in Game 5', all the pre-challenge keys remain invariant since

$$\mathbf{R}' \cdot \mathbf{y} = \mathbf{R} \mathbf{y} + (\Delta \mathbf{x}^\top \otimes \mathbf{w}) \cdot \mathbf{y} = \mathbf{R} \cdot \mathbf{y}, \text{ as long as } \Delta \mathbf{x}^\top \cdot \mathbf{y} = 0,$$

and also the public key remains invariant, because the way we chose  $\mathbf{w} \in \mathbb{Z}^m$ . Indeed, since  $\mathbf{A} \cdot \mathbf{w} = \mathbf{0}^n \pmod{q}$  we have that  $\mathbf{A} \cdot \mathbf{R}' = \mathbf{A} \cdot \mathbf{R} \pmod{q}$ .

Hence the statistical distance between these two games is bounded by the statistical distance  $\Delta(\mathbf{R}, \mathbf{R}')$ . We use the noise flood Lemma 2.9 to obtain the bound:

$$\Delta(\mathbf{R}, \mathbf{R}') \leq m\ell \cdot \frac{\|\Delta \mathbf{x}\|_\infty \cdot \|\mathbf{w}\|_\infty}{\sigma} < 2^{-\lambda}.$$

□

*Chapter*

# 5

## ***Multi-Client Functional Encryption from LWE***

The focus of this chapter is the private-key Multi-Client Functional Encryption scheme of [LT19] for the bounded inner-product class of functionalities. In the multi-input setting, this was the first construction with labels, that was proved secure against adaptive corruptions, in the standard model. The security relies on the LWE assumption with super-polynomial modulus  $q$ , due to the use of the rounding techniques introduced in [BPR12].

Our observation is that we can construct MCFE for the class of linear functions from Key-Homomorphic PRFs. Directly leveraging the pseudo-randomness of the KH-PRF only yields secure MCFEs in the selective security model, in which the adversary has to announce its challenge in advance.

Our idea is to adapt the KH-PRF construction of [BLMR13] and use its particular design to construct adaptively secure MCFE, in a non-modular way. We employed the same high-level strategy to get adaptive security, in a non-modular way, in Chapter 3.

## Organization

We start section 5.1 by giving the formal definitions for the MCFE primitive and comparing different security notions. In section 5.2.1 we highlight the connection between MCFEs and KH-PRFs and discuss the selectively secure generic MCFE construction that arises from it. The main result of this chapter is presented in section 5.3, where we give an adaptively secure MCFE based on the LWE assumption. Finally, we can upgrade the security of our scheme by using the compiler presented in Section 5.4.

## 5.1 Definitions

First we recall the syntax of multi-client functional encryption as introduced in [GKL<sup>+</sup>14].

### 5.1.1 Multi-Client Functional Encryption (MCFE)

**Definition 5.1.** *A multi-client functional encryption (MCFE) scheme for a message space  $\mathcal{M}$  and tag space  $\mathcal{T}$  is a tuple (Setup, Encrypt, DKeygen, Decrypt) of efficient algorithm with the following specifications:*

**Setup**(cp,  $1^\ell$ ): *Takes in global parameters cp and a pre-determined number of users  $1^\ell$ , where cp specifies a security parameter  $1^\lambda$ . It outputs a set of public parameters mpk, a master secret key msk, and a set of encryption keys  $\{ek_i\}_{i=1}^\ell$ . We assume that mpk is included in all encryption keys  $ek_i$ .*

**Encrypt**( $ek_i, x_i, t$ ): *Takes as input the encryption key  $ek_i$  of user  $i \in [\ell]$ , a message  $x_i$  and a tag  $t \in \mathcal{T}$ . It outputs a ciphertext  $C_{t,i}$ .*

**DKeygen**(msk,  $f$ ): *Takes as input the master secret key msk and an  $\ell$ -argument function  $f : \mathcal{M}^\ell \rightarrow \mathcal{R}$ . It outputs a functional decryption key  $dk_f$ .*

**Decrypt**( $dk_f, t, \mathbf{C}$ ): *Takes as input a functional decryption key  $dk_f$ , a tag  $t$ , and an  $\ell$ -vector of ciphertexts  $\mathbf{C} = (C_{t,1}, \dots, C_{t,\ell})$ . It outputs a function evaluation  $f(\mathbf{x}) \in \mathcal{R}$  or an error message  $\perp$ .*

**Correctness.** For any public parameters cp,  $(mpk, msk, \{ek_i\}_{i=1}^\ell) \leftarrow \text{Setup}(cp, 1^\ell)$ , any vector  $\mathbf{x} \in \mathcal{M}^n$  any tag  $t \in \mathcal{T}$  and any function  $f : \mathcal{M}^\ell \rightarrow \mathcal{R}$ , for all  $i \in [\ell]$   $C_{t,i} \leftarrow \text{Encrypt}(ek_i, x_i, t)$  and  $dk_f \leftarrow \text{DKeygen}(msk, f)$ , we have  $\text{Decrypt}(dk_f, t, \mathbf{C}_t = (C_{t,1}, \dots, C_{t,\ell})) = f(\mathbf{x})$  with overwhelming probability.

### 5.1.2 Security

We now recall the security definition given in [CDG<sup>+</sup>18a], for an adaptively secure MCFE. Then we give another equivalent definition for the same security notion,

that we use in the main proof. Both definitions are given as indistinguishability (IND) games between a challenger and an adversary.

**Definition 5.2** (IND-security). *For an MCFE scheme with  $\ell$  senders, consider the following game between an adversary  $\mathcal{A}$  and a challenger  $\mathcal{C}$ . The game involves a set  $\mathcal{H}\mathcal{S}$  of honest senders (initialized to  $\mathcal{H}\mathcal{S} := [\ell]$ ) and a set  $\mathcal{C}\mathcal{S}$  (initialized to  $\mathcal{C}\mathcal{S} := \emptyset$ ) of corrupted senders.*

**Initialization:** *The challenger  $\mathcal{C}$  runs  $(\text{mpk}, \text{msk}, \{\text{ek}_i\}_{i=1}^{\ell}) \leftarrow \text{Setup}(\text{cp}, 1^{\ell})$ . Then, it chooses a random bit  $b \leftarrow \{0, 1\}$  and gives the master public key,  $\text{mpk}$ , to the adversary*

**Encryption queries:** *The adversary  $\mathcal{A}$  can adaptively make encryption queries of the form  $\text{QEncrypt}(i, x^0, x^1, t)$ , to which the challenger replies with the encryption  $\text{Encrypt}(\text{ek}_i, x^b, t)$ . For any given pair  $(i, t)$ , only one query is allowed and subsequent queries involving the same  $(i, t)$  are ignored.*

**Functional decryption key queries:** *The adversary can adaptively obtain decryption keys by making queries of the form  $\text{QDKeygen}(f)$ . The challenger returns  $\text{dk}_f \leftarrow \text{DKeygen}(\text{msk}, f)$ .*

**Corruption queries:** *For any user  $i \in \mathcal{H}\mathcal{S}$ , the adversary can adaptively make queries  $\text{QCorrupt}(i)$ , to which the challenger replies with  $\text{ek}_i$  and updates  $\mathcal{H}\mathcal{S}$  and  $\mathcal{C}\mathcal{S}$  by setting  $\mathcal{C}\mathcal{S} := \mathcal{C}\mathcal{S} \cup \{i\}$  and  $\mathcal{H}\mathcal{S} := \mathcal{H}\mathcal{S} \setminus \{i\}$ .*

**Finalize:** *The adversary makes its guess  $b' \in \{0, 1\}$ ;  $\mathcal{A}$  wins the game if  $\beta = b$ , where  $\beta$  is defined to be  $\beta := b'$  except in the following situations.*

1. *An encryption query  $\text{QEncrypt}(i, x^0, x^1, t)$  has been made for an index  $i \in \mathcal{C}\mathcal{S}$  with  $x^0 \neq x^1$ .*
2. *For some label  $t$ , an encryption query  $\text{QEncrypt}(i, x_i^0, x_i^1, t)$  has been asked for  $i \in \mathcal{H}\mathcal{S}$ , but encryption queries  $\text{QEncrypt}(j, x_j^0, x_j^1, t)$  have not been asked for all  $j \in \mathcal{H}\mathcal{S}$ .*
3. *For a label  $t$  and some function  $f$  queried to  $\text{QDKeygen}$ , there exists a pair of vectors  $(\mathbf{x}^0, \mathbf{x}^1)$  such that  $f(\mathbf{x}^0) \neq f(\mathbf{x}^1)$ , where*
  - $x_i^0 = x_i^1$  for all  $i \in \mathcal{C}\mathcal{S}$ ;
  - $\text{QEncrypt}(i, x_i^0, x_i^1, t)$  have been asked for all  $i \in \mathcal{H}\mathcal{S}$ .

*In any of the above cases,  $\mathcal{A}$ 's output is replaced by a random  $\beta \leftarrow U(\{0, 1\})$ .*

*An MCFE scheme provides IND security if, for any PPT adversary  $\mathcal{A}$ , we have  $\text{Adv}_{\mathcal{A}}^{\text{MCFE}}(\lambda) := |\Pr[\beta = 1 \mid b = 1] - \Pr[\beta = 1 \mid b = 0]| \in \text{negl}(\lambda)$ .*

In this chapter, it will be convenient to work with the following security definition, which is equivalent to Definition 5.2, and the equivalence is proved below in Proposition 5.2.

**Definition 5.3** (1-challenge-IND-security). *For an MCFE scheme with  $\ell$  senders, we consider the following game between an adversary  $\mathcal{A}$  and a challenger  $\mathcal{C}$ . The game involves a set  $\mathcal{H}\mathcal{S}$  (initialized to  $\mathcal{H}\mathcal{S} := [\ell]$ ), of honest senders and a set  $\mathcal{C}\mathcal{S}$  (initialized to  $\mathcal{C}\mathcal{S} := \emptyset$ ), of corrupted senders.*

**Initialization:** *The challenger  $\mathcal{C}$  runs  $(\text{mpk}, \text{msk}, \{\text{ek}_i\}_{i=1}^{\ell}) \leftarrow \text{Setup}(\text{cp}, 1^{\ell})$  for the chosen global parameters  $\text{cp}$ . Then, it chooses a random bit  $b \leftarrow \{0, 1\}$  and gives the master public key  $\text{mpk}$  to the adversary  $\mathcal{A}$ .*

**Encryption queries:** *The adversary can adaptively make encryption queries of the form  $\text{QEncrypt}(i, x, t)$ , to which the challenger replies with  $\text{Encrypt}(\text{ek}_i, x, t)$ . Any further query involving the same pair  $(i, t)$  is ignored.*

**Challenge queries:** *The adversary adaptively makes challenge queries of the form  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$ . The challenger replies with  $\text{Encrypt}(\text{ek}_i, x_i^{*b}, t^*)$ . Only one tag  $t^*$  can be involved in a challenge query. If  $t^*$  denotes the tag of the first query, the challenger only replies to subsequent challenge queries for the same label  $t^*$ . Moreover, only one query  $(i, t^*)$  is allowed for each  $i \in [\ell]$  and subsequent queries involving the same  $i \in [\ell]$  are ignored.*

**Functional decryption key queries:** *The adversary can adaptively obtain decryption keys via queries  $\text{QDKeygen}(f)$ . At each query, the challenger returns  $\text{dk}_f \leftarrow \text{DKeygen}(\text{msk}, f)$ .*

**Corruption queries:** *For any user  $i \in \mathcal{H}\mathcal{S}$ , the adversary can adaptively make queries  $\text{QCorrupt}(i)$ , to which the challenger replies with  $\text{ek}_i$  and updates  $\mathcal{H}\mathcal{S}$  and  $\mathcal{C}\mathcal{S}$  by setting  $\mathcal{C}\mathcal{S} := \mathcal{C}\mathcal{S} \cup \{i\}$  and  $\mathcal{H}\mathcal{S} := \mathcal{H}\mathcal{S} \setminus \{i\}$ .*

**Finalize:** *The adversary outputs a bit  $b' \in \{0, 1\}$ . The adversary  $\mathcal{A}$  wins if  $\beta = b$ , where  $\beta$  is defined as  $\beta := b'$ , unless of the situations below occurred.*

1. *A challenge query  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  has been made for an index  $i \in \mathcal{C}\mathcal{S}$  with  $x_i^{*0} \neq x_i^{*1}$ .*
2. *An encryption query  $\text{QEncrypt}(i, x, t^*)$  has been made for the challenge tag  $t^*$  for some index  $i \in [\ell]$ .*
3. *For the challenge tag  $t^*$ , a challenge query  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  has been asked for some index  $i \in \mathcal{H}\mathcal{S}$ , but not all the challenge queries  $\text{CQEncrypt}(j, x_j^{*0}, x_j^{*1}, t^*)$  have not been asked for all  $j \in \mathcal{H}\mathcal{S}$ .*
4. *For the challenge tag  $t^*$  and some function  $f$  queried to  $\text{QDKeygen}$ , there exists a pair of vectors  $(\mathbf{x}^{*0}, \mathbf{x}^{*1})$  such that  $f(\mathbf{x}^{*0}) \neq f(\mathbf{x}^{*1})$ , where*
  - $x_i^{*0} = x_i^{*1}$  for all  $i \in \mathcal{C}\mathcal{S}$ ;
  - $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  have been asked for all  $i \in \mathcal{H}\mathcal{S}$ .

*If any of these events occurred,  $\mathcal{A}$ 's output is overwritten by  $\beta \leftarrow U(\{0, 1\})$ .*

We say that an MCFE scheme is 1ch-IND secure if, for any PPT adversary  $\mathcal{A}$ , we have  $\text{Adv}_{\mathcal{A}}^{\text{1ch-IND}}(\lambda) := |\Pr[\beta = b] - \frac{1}{2}| \in \text{negl}(\lambda)$ .

Condition 1 and condition 4 from the above **Finalize** step are necessary to prevent the adversary to trivially win the indistinguishability game. Also the adversary is only allowed to make one encryption or challenge query per (slot, label)-pair (specified in the syntax and by condition 2). Without condition 2 the adversary could easily win the game for any scheme that only supports deterministic encryption. We can actually relax condition 2 by the following, which allows both encryption and challenge queries on the pair  $(i, t^*)$  as long as  $x_i^{0^*} = x_i^{1^*}$ , while maintaining the same level of security:

- 2'. Both  $\text{QEncrypt}(i, x, t^*)$  and  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  have been made for an index  $i$  and the challenge label  $t^*$ , such that  $x_i^{0^*} \neq x_i^{1^*}$

Proposition 5.1 below shows that replacing condition 2 by condition 2' does not make the adversary any stronger. We say that a scheme is 1ch-IND' secure if it satisfies the above security definition with condition 2 replaced by condition 2'.

The most artificial condition in the **Finalize** step is condition 3, which stops the adversary from using any information on partial ciphertexts. We require this condition since we need it in the security proof (Theorem 5.2). In section 5.4 we give a compiler that works in the standard model and upgrades the security of any 1-challenge-IND secure MCFE, by removing the unnatural condition 3 from the security definition.

Next, we give the formal definition of an MCFE scheme without the artificial condition 3. We call this notion *1-or-less-IND* security, because the adversary can choose not to make or to make at most one challenge query for every honest slot.

**Definition 5.4** (1-or-less-IND-security). *For an MCFE scheme with  $\ell$  senders, we consider the following game between an adversary  $\mathcal{A}$  and a challenger  $\mathcal{C}$ . The game involves a set  $\mathcal{H}\mathcal{S}$  (initialized to  $\mathcal{H}\mathcal{S} := [\ell]$ ), of honest senders and a set  $\mathcal{C}\mathcal{S}$  (initialized to  $\mathcal{C}\mathcal{S} := \emptyset$ ), of corrupted senders.*

**Initialization:** *The challenger  $\mathcal{C}$  runs  $(\text{mpk}, \text{msk}, \{\text{ek}_i\}_{i=1}^{\ell}) \leftarrow \text{Setup}(\text{cp}, 1^{\ell})$  for the chosen global parameters cp. Then, it chooses a random bit  $b \leftarrow \{0, 1\}$  and gives the master public key mpk to the adversary  $\mathcal{A}$ .*

**Encryption queries:** *The adversary can adaptively make encryption queries of the form  $\text{QEncrypt}(i, x, t)$ , to which the challenger replies with  $\text{Encrypt}(\text{ek}_i, x, t)$ . Any further query involving the same pair  $(i, t)$  is ignored.*

**Challenge queries:** *The adversary adaptively makes challenge queries of the form  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$ . The challenger replies with  $\text{Encrypt}(\text{ek}_i, x_i^{*b}, t^*)$ . Only one tag  $t^*$  can be involved in a challenge query. If  $t^*$  denotes the tag of the first query, the challenger only replies to subsequent challenge queries for the same label  $t^*$ . Moreover, only one query  $(i, t^*)$  is allowed for each  $i \in [\ell]$  and subsequent queries involving the same  $i \in [\ell]$  are ignored.*



**Functional decryption key queries:** *The adversary can adaptively obtain decryption keys via queries  $\text{QDKeygen}(f)$ . At each query, the challenger returns  $\text{dk}_f \leftarrow \text{DKeygen}(\text{msk}, f)$ .*

**Corruption queries:** *For any user  $i \in \mathcal{H}\mathcal{S}$ , the adversary can adaptively make queries  $\text{QCorrupt}(i)$ , to which the challenger replies with  $\text{ek}_i$  and updates  $\mathcal{H}\mathcal{S}$  and  $\mathcal{C}\mathcal{S}$  by setting  $\mathcal{C}\mathcal{S} := \mathcal{C}\mathcal{S} \cup \{i\}$  and  $\mathcal{H}\mathcal{S} := \mathcal{H}\mathcal{S} \setminus \{i\}$ .*

**Finalize:** *The adversary outputs a bit  $b' \in \{0, 1\}$ . The adversary  $\mathcal{A}$  wins if  $\beta = b'$ , where  $\beta$  is defined as  $\beta := b'$ , unless of the situations below occurred.*

1. *A challenge query  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  has been made for an index  $i \in \mathcal{C}\mathcal{S}$  with  $x_i^{*0} \neq x_i^{*1}$ .*
2. *An encryption query  $\text{QEncrypt}(i, x, t^*)$  has been made for the challenge tag  $t^*$  for some index  $i \in [\ell]$ .*
4. *For the challenge tag  $t^*$  and some function  $f$  queried to  $\text{QDKeygen}$ , there exists a pair of vectors  $(\mathbf{x}^{*0}, \mathbf{x}^{*1})$  such that  $f(\mathbf{x}^{*0}) \neq f(\mathbf{x}^{*1})$ , where*
  - $x_i^{*0} = x_i^{*1}$  for all  $i \in \mathcal{C}\mathcal{S}$ ;
  - $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  have been asked for all  $i \in \mathcal{H}\mathcal{S}$ .

*If any of these events occurred,  $\mathcal{A}$ 's output is overwritten by  $\beta \leftarrow U(\{0, 1\})$ .*

*We say that an MCFE scheme provides 1-or-less security if, for any PPT adversary  $\mathcal{A}$ , we have  $\text{Adv}_{\mathcal{A}}^{\text{1-or-less}}(\lambda) := |\Pr[\beta = b] - \frac{1}{2}| \in \text{negl}(\lambda)$ .*

The definition of 1-or-less security is identical to the 1-challenge-IND definition, except that we removed Condition 3 of the Finalize step in Definition 5.3. In Definition 5.4, we insist that the last condition of the Finalize step does not impose any restriction on the functions queried to  $\text{QDKeygen}$  if there exists a single index  $i \in \mathcal{H}\mathcal{S}$  for which no challenge query  $\text{CQEncrypt}(i, \cdot, \cdot, t^*)$  was made.

**Proposition 5.1.** *1ch-IND security is equivalent to 1ch-IND' security.*

*Proof.* Recall that 1ch-IND' refers to the same security notion as described in Definition 5.3, except that Condition 2 in the Finalize step is replaced by

- 2': Both  $\text{QEncrypt}(i, x, t^*)$  and  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$  have been made for an index  $i$  and the challenge label  $t^*$ , such that  $x_i^{*0} \neq x_i^{*1}$ .

The non-trivial implication to prove is that 1ch-IND security implies 1ch-IND' security. Towards a contradiction, suppose that there exists an adversary  $\mathcal{A}'$  that wins the 1ch-IND' game with noticeable advantage. We build an adversary  $\mathcal{A}$  that breaks the 1ch-IND security game. Our adversary  $\mathcal{A}$  answers both corruption and functional decryption key queries made by  $\mathcal{A}'$  by relaying them to its challenger and forwarding the responses to  $\mathcal{A}'$ . For a query  $\text{QEncrypt}(i, x, t)$  made by  $\mathcal{A}'$ ,  $\mathcal{A}$

checks if a challenge query  $\text{QCEncrypt}(i, x_i^{*0}, x_i^{*1}, t)$  was made earlier. If no challenge query has been made so far for the pair  $(i, t)$ , the reduction sends the same  $\text{QEncrypt}(i, x, t)$  query to its challenger and passes the answer to  $\mathcal{A}'$ . Otherwise, it makes a  $\text{Corrupt}(i)$  query to its challenger and thereby obtains the encryption key  $ek_i$ . To answer any subsequent query for slot  $i$ , the reduction simply uses  $ek_i$ .

To answer a challenge query  $\text{QCEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$ , the reduction  $\mathcal{A}$  forwards the query to its challenger and transmits the answer to  $\mathcal{A}'$  if it did not previously make an encryption  $\text{QEncrypt}(i, x, t^*)$  for the pair  $(i, t^*)$ . If  $\mathcal{A}$  previously made an encryption query for the pair  $(i, t^*)$ , it also invokes its challenger and sends it the query  $\text{Corrupt}(i)$ . Upon receiving  $ek_i$ ,  $\mathcal{A}$  is able to answer any query concerning the  $i$ -th slot.

Notice that the adversary  $\mathcal{A}'$  is only allowed to make both encryption and challenge queries on the same pair  $(i, t^*)$  if  $x_i^{*0} = x_i^{*1}$ . This implies that the reduction is allowed to make the query  $\text{Corrupt}(i)$  to its challenger, which allows it to answer all queries concerning the  $i$ -th slot.  $\square$

As mentioned above, definitions 5.2 and 5.3 are equivalent. We prove the non-trivial implication below.

**Proposition 5.2.** *For any MCFE scheme, 1ch-IND security implies IND security.*

*Proof.* Let us consider an efficient MCFE adversary  $\mathcal{A}$  in the IND security game. We show that  $\mathcal{A}$  implies an MCFE adversary in the 1ch-IND game.

Suppose that  $\mathcal{A}$  makes encryption queries for  $Q$  distinct tags during the game. The proof uses a standard hybrid argument over the distinct tags that  $\mathcal{A}$  queries throughout the attack. Let  $H_k$  with  $k \in \{0, 1, \dots, Q\}$  be the game in which the challenger replies to encryption queries  $\text{QEncrypt}(i, x_i^0, x_i^1, t)$  in the following way.

- If the tag  $t$  is one of the first  $k$  distinct tags appearing in  $\mathcal{A}$ 's encryption queries, it replies with  $\text{Encrypt}(ek_i, x_i^0, t)$ .
- Otherwise, it replies with  $\text{Encrypt}(ek_i, x_i^1, t)$ .

Note that an IND adversary  $\mathcal{A}$  in the sense of Definition 5.2 implies a distinguisher between  $H_0$  and  $H_Q$ .

We claim that, for any  $k \in \{0, \dots, Q-1\}$ , an efficient distinguisher  $\mathcal{A}_k$  between  $H_k$  and  $H_{k+1}$  implies the existence of an efficient adversary  $\mathcal{B}_k$  in 1ch-IND game and such that  $\text{Adv}_{\mathcal{B}_k}^{\text{1ch-IND}}(\lambda) = \text{Adv}_{\mathcal{A}_k}^{k, k+1}(\lambda)$ . This adversary  $\mathcal{B}_k$  proceeds as follows.

**Initialization:** Having received  $\text{mpk}$ ,  $\mathcal{B}_k$  runs  $\mathcal{A}_k$  by feeding it with the same public parameters  $\text{mpk}$ .

**Encryption queries:** For each query  $\text{QEncrypt}(i, x_i^0, x_i^1, t)$  sent by  $\mathcal{A}_k$ ,  $\mathcal{B}_k$  does the following.

- If  $t$  is among the first  $k$  distinct tags queried by  $\mathcal{A}_k$ ,  $\mathcal{B}_k$  sends the query  $\text{QEncrypt}(i, x_i^0, t)$  to its own challenger in the 1ch-IND game and relays the answer back to  $\mathcal{A}_k$ .
- If  $t$  coincides with  $(k + 1)$ -th distinct tag queried by  $\mathcal{A}_k$ ,  $\mathcal{B}_k$  makes the challenge query  $\text{QCEncrypt}(i, x_i^0, x_i^1, t)$  and transmits the response to  $\mathcal{A}_k$ .
- Otherwise,  $\mathcal{B}_k$  sends the encryption query  $\text{QEncrypt}(i, x_i^1, t)$  to its challenger and forwards the answer to  $\mathcal{A}_k$ .

**Functional decryption queries:** For each query  $\text{QDKeygen}(f)$  made by  $\mathcal{A}_k$ ,  $\mathcal{B}_k$  sends the same query to its own challenger and passes the answer to  $\mathcal{A}_k$ .

**Corruption queries:** For each query  $\text{QCorrupt}(i)$  made by  $\mathcal{A}_k$ ,  $\mathcal{B}_k$  makes the same query to its own challenger and forwards the answer to  $\mathcal{A}_k$ .

**Finalize:** When  $\mathcal{A}_k$  halts,  $\mathcal{B}_k$  outputs the same bit  $b'$  as  $\mathcal{A}_k$ .

We can conclude that, for any efficient IND adversary  $\mathcal{A}$ , there exists an efficient 1ch-IND adversary  $\mathcal{B}$  such that

$$\text{Adv}_{\mathcal{A}}^{\text{MCFE}}(\lambda) \leq Q \cdot \text{Adv}_{\mathcal{B}}^{\text{1ch-IND}}(\lambda).$$

□

## 5.2 MCFE for Linear Functions from KH-PRF

In this section we highlight the connection between MCFE for Inner-Products and KH-PRFs, which was implicitly used in [CDG<sup>+</sup>18a] and explicitly in [LT19] as well as [Cho19].

In the simple version of the MCFE scheme of [CDG<sup>+</sup>18a], which is itself a variant of the selectively secure Inner-Product Functional Encryption scheme of [ABDCP15], the encryption takes place in a finite group  $G = \langle g \rangle$  of prime order  $p$ , where the DDH assumption holds. In order to encrypt a message  $x_i \in \mathbb{Z}_p$ , under some label  $t$ , user  $i \in [\ell]$ , uses its secret key  $s_i \in \mathbb{Z}_p$  to compute the ciphertext  $C_{t,i} := g^{x_i} \cdot H(t)^{s_i} \in G$ , where the function  $H : \{0, 1\}^* \rightarrow G$  is modeled as a random oracle. Notice that the function  $F : \mathbb{Z}_p \times \{0, 1\}^* \rightarrow G$  given by  $F(s, t) := H(t)^s$  is the Key-Homomorphic PRF of Naor, Pinkas and Reingold [NPR00] that was discussed in Section 3.3.1. More abstractly, we can view the encryption as blinding the message  $x_i$  using the pseudo-randomness of  $F(s_i, t)$ , as shown in Figure 5.1 below.

This suggests the following generic construction of MCFE for linear functions using KH-PRFs as a black-box.

-	[CDG <sup>+</sup> 18a]	Abstraction( $F: \mathcal{K} \times \mathcal{T} \rightarrow \mathcal{M}$ )
<b>Setup :</b>	$\{s_i \leftarrow \mathbb{Z}_p\}_{i=1}^\ell, H$	$\{s_i \leftarrow (\mathcal{K}, +)\}_{i=1}^\ell, \text{pp}_{\text{PRF}}$
<b>Encrypt :</b>	$C_{i,t} = g^{x_i} \cdot H(t)^{s_i}$	$C_{i,t} = x_i + F_{s_i}(t)$
<b>DKeygen :</b>	$SK_y = \langle \mathbf{y}, \mathbf{s} \rangle$	$SK_y = y_1 \cdot s_1 + y_2 \cdot s_2 + \dots + y_N \cdot s_N$
<b>Decrypt :</b>	$\text{DLog}\left(\prod_{i=1}^N C_{i,t}^{y_i} / H(t)^{SK_y}\right)$	$\sum_i y_i \cdot C_{i,t} - F_{SK_y}(t)$

 Figure 5.1: Parallel between a simplified [CDG<sup>+</sup>18a] variant and its abstraction

### 5.2.1 The Generic Construction

Given  $F: \mathcal{K} \times \mathcal{T} \rightarrow \mathcal{M}$ , a KH-PRF, we construct the following MCFE scheme with message space  $\mathcal{M}$  and tag space  $\mathcal{T}$ . We will use additive notation for all the group operations done below on  $\mathcal{M}$  and  $\mathcal{K}$ .

**Setup**( $1^\ell, 1^\lambda$ ): Takes as input the number of users  $1^\ell$  and the security parameter  $1^\lambda$ . Chooses a secure KH-PRF  $F: \mathcal{K} \times \mathcal{T} \rightarrow \mathcal{M}$  and the secret PRF keys  $\text{ek}_i \in \mathcal{K}$  for each user  $i \in [\ell]$ . The mpk contains the description of the PRF and  $\text{msk} := \{\text{ek}_i\}_{i \in [\ell]}$ .

**Encrypt**( $\text{ek}_i, x_i, t$ ): Takes as input the encryption key  $\text{ek}_i$  of user  $i \in [\ell]$ , a message  $x_i \in \mathcal{M}$  and a tag  $t \in \mathcal{T}$ . It outputs the ciphertext  $C_{t,i} := x_i + F_{\text{ek}_i}(t)$ .

**DKeygen**( $\text{msk}, f_y$ ): Takes as input the master secret key  $\text{msk}$  and an  $\ell$ -argument function  $f_y: \mathcal{M}^\ell \rightarrow \mathcal{M}$ , such that  $f_y(\mathbf{x}) = y_1 \cdot x_1 + y_2 \cdot x_2 + \dots + y_\ell \cdot x_\ell \in \mathcal{M}$ , for  $\mathbf{y} \in \mathbb{Z}^\ell$  and  $\mathbf{x} \in \mathcal{M}^\ell$ . Computes  $\text{ek}_y = y_1 \cdot \text{ek}_1 + y_2 \cdot \text{ek}_2 + \dots + y_\ell \cdot \text{ek}_\ell \in \mathcal{K}$  and outputs the functional decryption key  $\text{dk}_y := (\mathbf{y}, \text{ek}_y)$ .

**Decrypt**( $\text{dk}_y, t, \mathbf{C}$ ): Takes as input a functional decryption key  $\text{dk}_y$ , a tag  $t$ , and the ciphertexts  $\mathbf{C} = (C_{t,1}, \dots, C_{t,\ell})$ . It outputs  $y_1 \cdot C_{t,1} + \dots + y_\ell \cdot C_{t,\ell} - F_{\text{ek}_y}(t)$

**Correctness.** The algorithm Decrypt outputs the evaluation of  $f_y(\mathbf{x}) = \langle \mathbf{y}, \mathbf{x} \rangle$  as long as all the ciphertexts are encrypted under the same label  $t$ . Indeed,

$$\sum_{i=1}^{\ell} y_i \cdot C_{t,i} = \sum_{i=1}^{\ell} (y_i \cdot x_i + y_i \cdot F_{\text{ek}_i}(t)) = \langle \mathbf{y}, \mathbf{x} \rangle + F_{\text{dk}_y}(t)$$

where the last equality follows from the key-homomorphic property of  $F$ .

Unfortunately, in terms of security this simple generic construction can only achieve *selective*-IND security, a weaker security model in which the adversary has to announce in advance the challenge queries in the security game of Definition 5.2. A proof for this is given in [Cho19, Theorem 4.1].

So if we want to achieve adaptive security, in the sense of Definition 5.2, we cannot rely on the pseudo-randomness of the key-homomorphic PRF in a black-box manner.

We have to mention that the simple scheme from Figure 5.1 was proved in [CDG<sup>+</sup>18a] to only be selectively secure. To achieve adaptive security they used a modified version of the fully secure functional encryption scheme of [ALS16], where they replaced the encryption randomness by random oracles. They proved adaptive security by reducing it directly to the DDH assumption.

### 5.3 LWE based MCFE for Linear Functions

If we plug in the LWE-based almost KH-PRF of [BLMR13] into the generic MCFE construction from the previous Section 5.2.1, we can expect a selectively-secure MCFE, based on LWE assumption, without using random oracles.

Recall that in Section 3.5 we managed to give a security proof, for the distributed version of the PRF that was adaptively secure. We did this, not by leveraging its pseudo-randomness in a modular way, but by exploiting the particularity of the construction, and reducing the security directly to the LWE assumption.

So a natural question would be: can we do a similar thing here and adapt the same KH-PRF to get an adaptively secure MCFE? The short answer is 'yes', and the approach is detailed in this section.

#### 5.3.1 Overview

Our construction and proof for the KH-PRF component depart from the one in Section 3.4.2 in that we use an additional multiplication by  $\mathbf{G}^{-1}(\mathbf{V}^\top \cdot \mathbf{G}_0)$  in order to introduce a matrix  $\mathbf{V} \in \mathbb{Z}_q^{n_0 \times n}$  in the expression of  $\mathbf{A}(\tau^*)$ . In addition, in this variant we do not rely on a randomness extraction argument to exploit the entropy of  $\mathbf{A}(\tau^*)^\top \cdot \mathbf{s}_i + \mathbf{e}_i$  in the challenge phase. Instead, we use a trapdoor for the matrix  $\mathbf{U} = \begin{bmatrix} \mathbf{V} \\ \mathbf{C} \end{bmatrix}$  to "equivocate" the challenge ciphertexts and explain them as an encryption of  $\mathbf{x}_{1,i}^*$  instead of  $\mathbf{x}_{0,i}^*$ .

The scheme encrypts  $\mathbf{x}_i \in \mathbb{Z}^{n_0}$  as a vector  $\mathbf{C}_{t,i} = \mathbf{G}_0^\top \cdot \mathbf{x}_i + \mathbf{A}(\tau)^\top \cdot \mathbf{s}_i + \mathbf{e}_i$ , where  $\mathbf{G}_0$  is a gadget matrix;  $\tau = \text{AHF}(t) \in \{0, 1\}^L$  is an admissible hash of the tag  $t$ ; and  $\mathbf{e}_i$  is a Gaussian noise. This is done in a way that a functional secret key  $\mathbf{s}_y = \sum_{i=1}^\ell y_i \cdot \mathbf{s}_i \in \mathbb{Z}^n$  allows computing  $\sum_{i=1}^\ell y_i \cdot \mathbf{x}_i$  from  $\{\mathbf{C}_{t,i}\}_{i=1}^\ell$  by using the public trapdoor of the lattice  $\Lambda^\perp(\mathbf{G}_0)$ .

We derive  $\mathbf{A}(\tau)$  from a set of  $2L$  public matrices  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L$  and an additional matrix  $\mathbf{V} \in \mathbb{Z}_q^{n_0 \times n}$ . Like the proof in Section 3.4.2, matrices  $\mathbf{A}_{i,b} \in \mathbb{Z}_q^{n \times m}$  are interpreted as a GSW ciphertext  $\mathbf{A}_{i,b} = \mathbf{A} \cdot \mathbf{R}_{i,b} + \mu_{i,b} \cdot \mathbf{G}$ , where  $\mathbf{R}_{i,b} \in \{-1, 1\}^{m \times m}$ ,  $\mu_{i,b} \in \{0, 1\}$  and  $\mathbf{G} \in \mathbb{Z}_q^{n \times m}$  is the gadget matrix of [MP12]. Then, we homomorphically compute  $\mathbf{A}(\tau)$  as an FHE ciphertext  $\mathbf{A} \cdot \mathbf{R}'_\tau + (\prod_{i=1}^L \mu_{i,\tau[i]}) \cdot \mathbf{G}$ , for some small-norm  $\mathbf{R}'_\tau \in \mathbb{Z}^{m \times m}$ , which is in turn multiplied by  $\mathbf{G}^{-1}(\mathbf{V}^\top \cdot \mathbf{G}_0)$  in such a way that  $\mathbf{A}(\tau) = \mathbf{A} \cdot \mathbf{R}'_\tau + (\prod_{i=1}^L \mu_{i,\tau[i]}) \cdot (\mathbf{V}^\top \cdot \mathbf{G}_0)$ . Via a careful choice of  $\{\mu_{i,b}\}_{i \in [L], b \in \{0,1\}}$ , the

properties of admissible hash functions imply that  $\prod_{i=1}^L \mu_{i,x[i]}$  vanishes in all encryption queries but evaluates to 1 on the challenge tag  $\tau^*$ . In order to prevent the encryption oracle from leaking too much about  $\mathbf{s}_i \in \mathbb{Z}^n$ , we proceed as before and replace the random  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  by a lossy matrix  $\mathbf{A}^\top = \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E}$ , where  $\hat{\mathbf{A}} \leftarrow U(\mathbb{Z}_q^{n_1 \times m})$ ,  $\mathbf{C} \leftarrow U(\mathbb{Z}_q^{n_1 \times n})$  and for a small-norm  $\mathbf{E} \in \mathbb{Z}^{m \times n}$ .

### 5.3.2 The Construction

In the following description, we assume public parameters

$$\text{cp} := \left( \lambda, \ell_{\max}, X, Y, n_0, n_1, n, m, \alpha, \alpha_1, \sigma, \ell_t, L, q, \text{AHF} \right),$$

consisting of a security parameter  $\lambda$  and the following quantities:

- $(X, Y, \ell_{\max}, n_0, n_1, n, m)$ , which are all in  $\text{poly}(\lambda)$   
 $X = 1$ ,  $n_1 = \lambda^d$ ,  $q = 2^{\lambda^{d-1}}$ ,  $\alpha = 2^{-\sqrt{\lambda}}$ ,  $\alpha_1 = 2^{-\lambda^{d-1} + d \log \lambda}$ ,  $n_0 = o(\lambda^{d-2})$ ,  $n = O(\lambda^{2d-1})$ ,  $\sigma = 2^{\lambda^{d-1} - 2\lambda}$  and  $n_0 \cdot \ell_{\max} = O(\lambda^{d-2})$  where  $d$  is a constant; for instance  $d = 3$  works asymptotically.
- The description of a tag space  $\mathcal{T} = \{0, 1\}^{\ell_t}$ , for some  $\ell_t \in \text{poly}(\lambda)$ , such that tags may be arbitrary strings (e.g., time period numbers or dataset names).
- A balanced admissible hash function  $\text{AHF} : \{0, 1\}^{\ell_t} \rightarrow \{0, 1\}^L$ , for a suitable  $L \in \Theta(\lambda)$  (Def. 2.1).
- The message space will be  $\mathcal{M} = [-X, X]^{n_0}$ , for some  $n_0 \in \text{poly}(\lambda)$ .
- Integers  $n, n_0, n_1, m \in \text{poly}(\lambda)$  satisfying the conditions  $m > 2n \cdot \lceil \log q \rceil$  and  $n > 3 \cdot (n_0 + n_1) \cdot \lceil \log q \rceil$ .
- A real  $\alpha > 0$  and a Gaussian parameter  $\sigma > 0$ , which specifies an interval  $[-\beta, \beta] = [-\sigma\sqrt{n}, \sigma\sqrt{n}]$  where the coordinates of users' secret keys will live (with probability exponentially close to 1).

Letting  $\ell \in \text{poly}(\lambda)$ , with  $\ell \leq \ell_{\max}$ , be the number of users, our function space is the set of all functions  $f_{\mathbf{y}} : \mathbb{Z}^{n_0 \times \ell} \rightarrow \mathbb{Z}^{n_0}$  indexed by an integer vector  $\mathbf{y} \in \mathbb{Z}^\ell$  of infinity norm  $\|\mathbf{y}\|_\infty < Y$ .

We define  $\mathbf{G}_0 \in \mathbb{Z}_q^{n_0 \times m}$  to be the gadget matrix

$$\mathbf{G}_0 = [\mathbf{I}_{n_0} \otimes (1, 2, 4, \dots, 2^{\lceil \log q \rceil}) \mid \mathbf{0}^{n_0} \mid \dots \mid \mathbf{0}^{n_0}] \in \mathbb{Z}_q^{n_0 \times m}$$

where the product  $\mathbf{I}_{n_0} \otimes (1, 2, 4, \dots, 2^{\lceil \log q \rceil})$  is padded with  $m - n_0 \cdot \lceil \log q \rceil$  zero columns. We similarly denote by  $\mathbf{G} \in \mathbb{Z}_q^{n \times m}$  the gadget matrix of rank  $n$ :

$$\mathbf{G} = [\mathbf{I}_n \otimes (1, 2, 4, \dots, 2^{\lceil \log q \rceil}) \mid \mathbf{0}^n \mid \dots \mid \mathbf{0}^n] \in \mathbb{Z}_q^{n \times m}.$$

Our MCFE construction goes as follows.

**Setup**( $\text{cp}, 1^\ell$ ): On input of  $\text{cp}$  and a number of users  $\ell$ , do the following.

1. Choose random matrices  $\mathbf{A}_{i,j} \leftarrow U(\mathbb{Z}_q^{n \times m})$ , for each  $i \in [L]$ ,  $j \in \{0, 1\}$ .
2. Choose a uniformly random matrix  $\mathbf{V} \leftarrow U(\mathbb{Z}_q^{n_0 \times n})$ .
3. For each  $i \in [\ell]$ , sample  $\mathbf{s}_i \leftarrow D_{\mathbb{Z}^n, \sigma}$  and define  $\text{ek}_i = \mathbf{s}_i \in \mathbb{Z}^n$ .

Output the master secret key  $\text{msk} := \{\text{ek}_i\}_{i=1}^\ell$  and the public parameters

$$\text{mpk} := \left( \text{cp}, \mathbf{V}, \{\mathbf{A}_{i,0}, \mathbf{A}_{i,1} \in \mathbb{Z}_q^{n \times m}\}_{i=1}^L \right).$$

**DKeygen**( $\text{msk}, f_{\mathbf{y}}$ ): Given the master secret key  $\text{msk} := \{\text{ek}_i\}_{i=1}^\ell$  and a linear function  $f_{\mathbf{y}} : \mathbb{Z}^{n_0 \times \ell} \rightarrow \mathbb{Z}^{n_0}$  defined by an integer vector  $\mathbf{y} = (y_1, \dots, y_\ell)^\top \in \mathbb{Z}^\ell$  which maps an input  $\mathbf{X} = [\mathbf{x}_1 \mid \dots \mid \mathbf{x}_\ell] \in \mathbb{Z}^{n_0 \times \ell}$  to  $f_{\mathbf{y}}(\mathbf{X}) = \mathbf{X} \cdot \mathbf{y} \in \mathbb{Z}^{n_0}$ , parse each  $\text{ek}_i$  as a vector  $\mathbf{s}_i \in \mathbb{Z}^n$ . Then, compute and output the functional secret key  $\text{dk}_{\mathbf{y}} := (\mathbf{y}, \mathbf{s}_{\mathbf{y}})$ , where  $\mathbf{s}_{\mathbf{y}} = \sum_{i=1}^\ell \mathbf{s}_i \cdot y_i \in \mathbb{Z}^n$ .

**Encrypt**( $\text{ek}_i, \mathbf{x}_i, t$ ): Given  $\text{ek}_i = \mathbf{s}_i \in \mathbb{Z}^n$ ,  $\mathbf{x}_i \in [-X, X]^{n_0}$ , and  $t \in \{0, 1\}^{\ell_t}$ ,

1. Compute  $\tau = \text{AHF}(t) \in \{0, 1\}^L$  and parse it as  $\tau = \tau_1 \dots \tau_L$ .
2. Define  $\mathbf{W} = \mathbf{G}_0^\top \cdot \mathbf{V} \in \mathbb{Z}_q^{m \times n}$  and compute

$$\begin{aligned} \mathbf{A}(\tau) = \mathbf{A}_{1,\tau_1} \cdot \mathbf{G}^{-1} \left( \mathbf{A}_{2,\tau_2} \cdot \mathbf{G}^{-1} \left( \dots \mathbf{A}_{L-1,\tau_{L-1}} \cdot \mathbf{G}^{-1} (\mathbf{A}_{L,\tau_L}) \right) \right) \\ \cdot \mathbf{G}^{-1} (\mathbf{W}^\top) \in \mathbb{Z}_q^{n \times m}. \end{aligned} \quad (5.1)$$

3. Sample a noise vector  $\mathbf{e}_i \leftarrow D_{\mathbb{Z}^m, \alpha q}$ . Then, compute and output

$$\mathbf{C}_{t,i} = \mathbf{G}_0^\top \cdot \mathbf{x}_i + \mathbf{A}(\tau)^\top \cdot \mathbf{s}_i + \mathbf{e}_i \in \mathbb{Z}_q^m.$$

**Decrypt**( $\text{dk}_{\mathbf{y}}, t, \mathbf{C}_t$ ): On input of a functional secret key  $\text{dk}_{\mathbf{y}} = (\mathbf{y}, \mathbf{s}_{\mathbf{y}})$  for a vector  $\mathbf{y} = (y_1, \dots, y_\ell)^\top \in [-Y, Y]^\ell$ , a tag  $t \in \{0, 1\}^{\ell_t}$ , and an  $\ell$ -vector of ciphertexts  $\mathbf{C}_t = (\mathbf{C}_{t,1}, \dots, \mathbf{C}_{t,\ell}) \in (\mathbb{Z}_q^m)^\ell$ , conduct the following steps.

1. Compute  $\tau = \text{AHF}(t) \in \{0, 1\}^L$  and parse it as  $\tau = \tau_1 \dots \tau_L$ .
2. Compute  $\mathbf{A}(\tau) \in \mathbb{Z}_q^{n \times m}$  as per (5.1).
3. Compute  $\mathbf{f}_{t,\mathbf{y}} = \sum_{i=1}^\ell y_i \cdot \mathbf{C}_{t,i} - \mathbf{A}(\tau)^\top \cdot \mathbf{s}_{\mathbf{y}} \pmod q$ .
4. Interpret  $\mathbf{f}_{t,\mathbf{y}} \in \mathbb{Z}_q^m$  as a vector of the form  $\mathbf{f}_{t,\mathbf{y}} = \mathbf{G}_0^\top \cdot \mathbf{z} + \tilde{\mathbf{e}} \pmod q$ , for some "small" error vector  $\tilde{\mathbf{e}}$ . Using the public trapdoor of  $\Lambda^\perp(\mathbf{G}_0)$ , compute and output the underlying vector  $\mathbf{z} \in [-\ell \cdot X \cdot Y, \ell \cdot X \cdot Y]^{n_0}$ .

### 5.3.3 Correctness and Security

**Lemma 5.1** (Correctness). *Assume that  $\alpha q = \omega(\sqrt{\log \ell})$ ,  $Y \cdot \ell \cdot \alpha q \cdot \log q < q/2$  and  $\ell \cdot X \cdot Y < q/2$ . Then, for any  $(\text{mpk}, \text{msk}, \{\text{ek}_i\}_{i=1}^\ell) \leftarrow \text{Setup}(\text{cp}, 1^\lambda)$ , any message  $\mathbf{X} = [\mathbf{x}_1 | \dots | \mathbf{x}_\ell] \in [-X, X]^{n_0 \times \ell}$ , any  $\mathbf{y} \in [-Y, Y]^\ell$ , any tag  $t \in \{0, 1\}^{\ell_t}$ , algorithm  $\text{Decrypt}(\text{dk}_y, t, \mathbf{C}_t)$  outputs  $\mathbf{X} \cdot \mathbf{y} \in \mathbb{Z}^{n_0}$  with probability exponentially close to 1, where  $\mathbf{C}_{t,i} \leftarrow \text{Encrypt}(\text{ek}_i, \mathbf{x}_i, t)$  and  $\text{dk}_y \leftarrow \text{DKeygen}(\text{msk}, f_y)$ .*

*Proof.* Suppose that  $\mathbf{C}_{t,i} = \mathbf{G}_0^\top \cdot \mathbf{x}_i + \mathbf{A}(\tau)^\top \cdot \mathbf{s}_i + \mathbf{e}_i \in \mathbb{Z}_q^m$ , with each error vector  $\mathbf{e}_i \leftarrow D_{\mathbb{Z}^m, \alpha q}$  and  $\tau = \text{AHF}(t)$ . Defining  $\mathbf{E} = [\mathbf{e}_1 | \dots | \mathbf{e}_\ell] \in \mathbb{Z}^{m \times \ell}$ , we have

$$\mathbf{f}_{t,y} = \sum_{i=1}^{\ell} y_i \cdot \mathbf{C}_{t,i} - \mathbf{A}(\tau)^\top \cdot \mathbf{s}_y = \mathbf{G}_0^\top \cdot \mathbf{X} \cdot \mathbf{y} + \mathbf{E} \cdot \mathbf{y} \pmod{q}.$$

We know from [MP12] that  $\Lambda^\perp(\mathbf{G}_0)$  has a public trapdoor  $\mathbf{T}_0 \in \mathbb{Z}^{m \times m}$  such that  $\mathbf{G}_0 \cdot \mathbf{T}_0 = \mathbf{0} \pmod{q}$  and  $\|\mathbf{T}_0^\top\|_\infty \leq \log q$  where  $\|\mathbf{T}_0^\top\|_\infty := \sup_{\mathbf{x} \neq \mathbf{0}} \frac{\|\mathbf{T}_0^\top \cdot \mathbf{x}\|_\infty}{\|\mathbf{x}\|_\infty}$ . By using this short trapdoor, we are able to recover  $\mathbf{X} \cdot \mathbf{y} \in \mathbb{Z}^{n_0}$  in the following way: observe that  $\mathbf{T}_0^\top \cdot \mathbf{f}_{t,y} = \mathbf{T}_0^\top \cdot \mathbf{E} \cdot \mathbf{y} \pmod{q}$ . By [MR07, Lemma 4.4], we can bound the Euclidean norm of a Gaussian vector  $\mathbf{e} \leftarrow D_{\mathbb{Z}^\ell, \alpha q}$  by  $\|\mathbf{e}\| \leq \sqrt{\ell} \cdot \alpha q$  with probability exponentially close to 1. It comes that

$$\|\mathbf{E}\|_\infty = \max_{i \in [m]} \sum_{j=1}^{\ell} |e_{i,j}| \leq \sqrt{\ell} \cdot \max_{i \in [m]} \sqrt{\sum_{j=1}^{\ell} e_{i,j}^2} \leq \ell \cdot \alpha q.$$

Moreover, the hypotheses imply

$$\|\mathbf{T}_0^\top \cdot \mathbf{E} \cdot \mathbf{y}\|_\infty \leq \|\mathbf{T}_0^\top\|_\infty \cdot \|\mathbf{E}\|_\infty \cdot \|\mathbf{y}\|_\infty \leq \log q \cdot \ell \cdot \alpha q \cdot Y < q/2.$$

Hence,  $\mathbf{T}_0^\top \cdot \mathbf{f}_{t,y} \pmod{q}$  actually reveals  $\mathbf{T}_0^\top \cdot \mathbf{E} \cdot \mathbf{y}$  over  $\mathbb{Z}^m$ . Since  $\mathbf{T}_0^\top$  is a  $\mathbb{Z}$ -basis, it comes that we can recover  $\mathbf{E} \cdot \mathbf{y} \in \mathbb{Z}^m$ , at which point we also get  $\mathbf{X} \cdot \mathbf{y} \pmod{q}$ . Since  $\|\mathbf{X} \cdot \mathbf{y}\|_\infty \leq \ell \cdot X \cdot Y < q/2$  by hypothesis, the modular product  $\mathbf{X} \cdot \mathbf{y} \pmod{q}$  is nothing but  $\mathbf{X} \cdot \mathbf{y} \in \mathbb{Z}^{n_0}$ .  $\square$

We now prove the security of the scheme in the sense of Definition 5.3 (and thus Definition 5.2 modulo some loss of tightness in the reduction).

For the current parameters  $n_1 = \lambda^d$ ,  $q = 2^{\lambda^{d-1}}$ , and  $\alpha_1 = 2^{-\lambda^{d-1} + d \log \lambda}$ ,  $\alpha_1 q = \Omega(\sqrt{n_1})$ , we know from [Reg05] that  $\text{LWE}_{q, n_1, \alpha_1}$  is at least as hard as  $\text{GapSVP}_\gamma$ , with  $\gamma = \tilde{O}(n_1 / \alpha_1) = \tilde{O}(2^{\lambda^{d-1}})$ . The best known algorithms [Sch87] for solving  $\text{GapSVP}_\gamma$  run in  $2^{\tilde{O}(\frac{n_1}{\log \gamma})}$ , which for our parameters is  $2^{\tilde{O}(\lambda)}$ .

**Theorem 5.2.** *Under the  $\text{LWE}_{q, m, n_1, \alpha_1}$  assumption, the above MCFE scheme provides adaptive security.*

*Proof.* The proof considers a sequence of games. In each game, we denote by  $W_i$  the event that  $b' = b$ . For each  $i$ , the adversary's advantage function in  $\text{Game}_i$  is  $\text{Adv}_{\mathcal{A}}^i(\lambda) := |\Pr[b' = b] - 1/2| = \frac{1}{2} \cdot |\Pr[b' = 1 | b = 1] - \Pr[b' = 1 | b = 0]|$ .



**Game<sub>0</sub>**: This is the real security game. We denote by  $t^*$  the tag of the challenge phase while  $t^{(1)}, \dots, t^{(Q)}$  are the tags involved in encryption queries. Namely, for each  $j \in [Q]$ ,  $t^{(j)}$  stands for the  $j$ -th distinct tag involved in an encryption query. Since up to  $\ell$  encryption queries  $(i, \mathbf{x}_i, t)$  are allowed for each tag  $t$ , the adversary can make a total of  $\ell \cdot Q$  encryption queries. The game begins with the challenger initially choosing encryption keys  $\{\text{ek}_i\}_{i=1}^\ell$  by sampling  $\text{ek}_i = \mathbf{s}_i \leftarrow D_{\mathbb{Z}^n, \sigma}$  for each  $i \in [\ell]$ . In addition, the challenger flips a fair coin  $b \leftarrow U(\{0, 1\})$  which will determine the response to challenge queries. At each corruption query  $i \in [\ell]$ , the adversary obtains  $\text{ek}_i$  and the challenger updates a set  $\mathcal{CS} := \mathcal{CS} \cup \{i\}$ , which is initially empty. At each encryption query  $(i, \mathbf{x}_i^{(j)}, t^{(j)})$ , the challenger samples  $\mathbf{e}_i^{(j)} \leftarrow D_{\mathbb{Z}^m, \alpha q}$  and returns

$$\mathbf{C}_{t,i}^{(j)} = \mathbf{G}_0^\top \cdot \mathbf{x}_i^{(j)} + \mathbf{A}(\tau^{(j)})^\top \cdot \mathbf{s}_i + \mathbf{e}_i^{(j)} \in \mathbb{Z}_q^m,$$

where  $\tau^{(j)} = \text{AHF}(t^{(j)})$ . In the challenge phase, the adversary  $\mathcal{A}$  chooses a fresh tag  $t^*$  and two vectors of messages  $\mathbf{X}_0^* = [\mathbf{x}_{0,1}^* \mid \dots \mid \mathbf{x}_{0,\ell}^*] \in [-X, X]^{n_0 \times \ell}$  and  $\mathbf{X}_1^* = [\mathbf{x}_{1,1}^* \mid \dots \mid \mathbf{x}_{1,\ell}^*] \in [-X, X]^{n_1 \times \ell}$  subject to the constraint that, for any private key query  $\mathbf{y} \in [-Y, Y]^\ell$  made by  $\mathcal{A}$ , we must have  $\mathbf{X}_0^* \cdot \mathbf{y} = \mathbf{X}_1^* \cdot \mathbf{y}$  over  $\mathbb{Z}$ . In addition, the invariant that  $\mathbf{x}_{0,i}^* = \mathbf{x}_{1,i}^*$  for any  $i \in \mathcal{CS}$  must be satisfied at any time during the game. In response to a challenge query  $(i, \mathbf{x}_{0,i}^*, \mathbf{x}_{1,i}^*, t^*)$ , the challenger generates a challenge ciphertext  $\mathbf{C}_{t^*,i}$ , where

$$\mathbf{C}_{t^*,i} = \mathbf{G}_0^\top \cdot \mathbf{x}_{b,i}^* + \mathbf{A}(\tau^*)^\top \cdot \mathbf{s}_i + \mathbf{e}_i^*, \quad (5.2)$$

where  $\tau^* = \text{AHF}(t^*)$  and  $\mathbf{e}_i^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$  for all  $i \in [\ell]$ .

When  $\mathcal{A}$  halts, it outputs  $\hat{b} \in \{0, 1\}$  and the challenger defines  $b' := \hat{b}$ . We have  $\text{Adv}_{\mathcal{A}}(\lambda) := |\Pr[W_0] - 1/2|$ , where  $W_0$  is event that  $b' = b$ .

**Game<sub>1</sub>**: This game is identical to Game<sub>0</sub> except for the following changes. The challenger runs  $K \leftarrow \text{AdmSmp}(1^\lambda, Q_{\max}, \delta)$  to generate a key  $K \in \{0, 1, \perp\}^L$  for a balanced admissible hash function  $\text{AHF} : \{0, 1\}^{\ell t} \rightarrow \{0, 1\}^L$ , where  $\delta := \text{Adv}_{\mathcal{A}}(\lambda)$  and  $Q_{\max}$  is the maximum number of distinct tags that the adversary queries during the game. When the adversary halts and outputs  $\hat{b} \in \{0, 1\}$ , the challenger checks if the conditions

$$P_K(t^{(1)}) = \dots = P_K(t^{(Q)}) = 1 \wedge P_K(t^*) = 0 \quad (5.3)$$

are satisfied. If conditions (5.3) do not hold, the challenger ignores  $\mathcal{A}$ 's output  $\hat{b} \in \{0, 1\}$  and overwrites it with a random bit  $b'' \leftarrow \{0, 1\}$  to define  $b' = b''$ . If conditions (5.3) are satisfied, the challenger sets  $b' = \hat{b}$ . By Lemma 2.17,

$$|\Pr[W_1] - 1/2| \geq \gamma_{\min} \cdot \text{Adv}_{\mathcal{A}}(\lambda) - \frac{1}{2} \cdot (\gamma_{\max} - \gamma_{\min}),$$

where the right hand side is a noticeable function in  $\lambda$ .

**Game<sub>2</sub>:** In this game, we modify the generation of mpk in the following way. Initially, the challenger samples a uniformly random matrix  $\mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m})$ . Next, for each  $i \in [L]$ , it samples  $\mathbf{R}_{i,0}, \mathbf{R}_{i,1} \leftarrow U(\{-1, 1\}^{m \times m})$  and defines the public matrices  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L$  as follows: for all  $i \in [L]$  and  $j \in \{0, 1\}$

$$\mathbf{A}_{i,j} := \begin{cases} \mathbf{A} \cdot \mathbf{R}_{i,j} & \text{if } (j \neq K_i) \wedge (K_i \neq \perp) \\ \mathbf{A} \cdot \mathbf{R}_{i,j} + \mathbf{G} & \text{if } (j = K_i) \vee (K_i = \perp) \end{cases} \quad (5.4)$$

Since  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  was chosen uniformly, the Leftover Hash Lemma ensures that  $\{\mathbf{A}_{i,0}, \mathbf{A}_{i,1}\}_{i=1}^L$  are statistically independent and uniformly distributed over  $\mathbb{Z}_q^{n \times m}$ . It follows that  $|\Pr[W_2] - \Pr[W_1]| \leq L \cdot 2^{-\lambda}$ .

We note that, at each encryption query  $(i, \mathbf{x}_i^{(j)}, t^{(j)})$ , the admissible hash function maps  $t^{(j)}$  to  $\tau^{(j)} = \text{AHF}(t^{(j)})$ , which is itself mapped to a GSW encryption

$$\mathbf{A}(\tau^{(j)}) = \mathbf{A} \cdot \mathbf{R}_{\tau^{(j)}} + \left( \prod_{i=1}^L \mu_i \right) \cdot \mathbf{W}^\top, \quad (5.5)$$

of a product  $\prod_{i=1}^L \mu_i$ , for some small norm matrix  $\mathbf{R}_{\tau^{(j)}} \in \mathbb{Z}^{m \times m}$ , where

$$\mu_i := \begin{cases} 0 & \text{if } (\text{AHF}(t^{(j)})_i \neq K_i) \wedge (K_i \neq \perp) \\ 1 & \text{if } (\text{AHF}(t^{(j)})_i = K_i) \vee (K_i = \perp) \end{cases}$$

If conditions (5.3) are satisfied, at each encryption query  $(i, \mathbf{x}_i^{(j)}, t^{(j)})$ , the admissible hash function ensures that  $\tau^{(j)} = \text{AHF}(t^{(j)})$  satisfies

$$\mathbf{A}(\tau^{(j)}) = \mathbf{A} \cdot \mathbf{R}_{\tau^{(j)}} \quad \forall j \in [Q], \quad (5.6)$$

for some small norm  $\mathbf{R}_{\tau^{(j)}} \in \mathbb{Z}^{m \times m}$ . Moreover, the challenge tag  $t^*$  is mapped to an  $L$ -bit string  $\tau^* = \text{AHF}(t^*)$  such that

$$\mathbf{A}(\tau^*) = \mathbf{A} \cdot \mathbf{R}_{\tau^*} + \mathbf{W}^\top = \mathbf{A} \cdot \mathbf{R}_{\tau^*} + \mathbf{V}^\top \cdot \mathbf{G}_0 \quad (5.7)$$

**Game<sub>3</sub>:** In this game, we modify the distribution of mpk and replace the uniform matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  by a lossy matrix such that

$$\mathbf{A}^\top = \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E} \in \mathbb{Z}_q^{m \times n}, \quad (5.8)$$

where  $\hat{\mathbf{A}} \leftarrow U(\mathbb{Z}_q^{n_1 \times m})$ ,  $\mathbf{C} \leftarrow U(\mathbb{Z}_q^{n_1 \times n})$  and  $\mathbf{E} \leftarrow D_{\mathbb{Z}^{m \times n}, \alpha_1 q}$ , for  $n_1 \ll n$ . The matrix (5.8) is thus “close” to a matrix  $\hat{\mathbf{A}}^\top \cdot \mathbf{C}$  of much lower rank than  $n$ . Under the LWE assumption in dimension  $n_1$  with error rate  $\alpha_1$ , this change should not significantly affect  $\mathcal{A}$ ’s behavior and a straightforward reduction  $\mathcal{B}$  shows that  $|\Pr[W_3] - \Pr[W_2]| \leq n \cdot \text{Adv}_{\mathcal{B}}^{\text{LWE}_{q,m,n_1,\alpha_1}}(\lambda)$ , where the factor  $n$  comes from the use of an LWE assumption with  $n$  secrets.

**Game<sub>4</sub>:** In this game, we modify the encryption oracle. At each encryption query  $(i, \mathbf{x}_i^{(j)}, t^{(j)})$ , the challenger generates the ciphertext by computing:

$$\mathbf{C}_{t,i}^{(j)} = \mathbf{G}_0^\top \cdot \mathbf{x}_i^{(j)} + \mathbf{R}_{\tau^{(j)}}^\top \cdot \hat{\mathbf{A}}^\top \cdot \mathbf{C} \cdot \mathbf{s}_i + \mathbf{e}_i^{(j)} \in \mathbb{Z}_q^m, \quad (5.9)$$

and for each challenge query  $(i, \mathbf{x}_{0,i}^*, \mathbf{x}_{1,i}^*, t^*)$  the challenger replies with:

$$\mathbf{C}_{t^*,i} = \mathbf{G}_0^\top \cdot \mathbf{x}_{b,i}^* + (\mathbf{R}_{\tau^*}^\top \cdot \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{G}_0^\top \cdot \mathbf{V}) \cdot \mathbf{s}_i + \mathbf{e}_i^* \in \mathbb{Z}_q^m \quad (5.10)$$

where  $\mathbf{e}_i^{(j)} \leftarrow D_{\mathbb{Z}^m, \alpha q}$  and  $\mathbf{e}_i^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$ . The only difference between Game<sub>3</sub> and Game<sub>4</sub> is thus that the terms  $\mathbf{R}_{\tau^{(j)}}^\top \cdot \mathbf{E} \cdot \mathbf{s}_i + \mathbf{e}_i^{(j)}$  and  $\mathbf{R}_{\tau^*}^\top \cdot \mathbf{E} \cdot \mathbf{s}_i + \mathbf{e}_i^*$  are replaced by  $\mathbf{e}_i^{(j)}$  and  $\mathbf{e}_i^*$  respectively, at each encryption or challenge query. However, the smudging lemma (Lemma 2.9) ensures that the two distributions are statistically close as long as  $\alpha$  is sufficiently large with respect to  $\alpha_1$  and  $\sigma$ . Concretely, Lemma 5.3 implies  $|\Pr[W_4] - \Pr[W_3]| \leq \ell \cdot (Q+1) \cdot 2^{-\Omega(\lambda)}$ .

**Game<sub>5</sub>:** This game is like Game<sub>4</sub> but we modify the challenge oracle. Instead of encrypting  $\mathbf{X}_b^* = [\mathbf{x}_{b,1}^* \mid \dots \mid \mathbf{x}_{b,\ell}^*]$  as in (5.10), the challenger encrypts a linear combination of  $\mathbf{X}_0^*$  and  $\mathbf{X}_1^*$ . It initially chooses a uniformly random  $\gamma \leftarrow U(\mathbb{Z}_q)$  and, at each challenge query  $(i, \mathbf{x}_{0,i}^*, \mathbf{x}_{1,i}^*, t^*)$ , computes  $\mathbf{C}_{t^*,i}$  as

$$\mathbf{C}_{t^*,i} = \mathbf{G}_0^\top \cdot ((1-\gamma) \cdot \mathbf{x}_{b,i}^* + \gamma \cdot \mathbf{x}_{1-b,i}^*) + (\mathbf{R}_{\tau^*}^\top \cdot \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{G}_0^\top \cdot \mathbf{V}) \cdot \mathbf{s}_i + \mathbf{e}_i^*,$$

with  $\mathbf{e}_i^* \leftarrow D_{\mathbb{Z}^m, \alpha q}$ , for all  $i \in [\ell]$ . Lemma 5.4 shows that Game<sub>4</sub> and Game<sub>5</sub> are negligibly far apart as  $|\Pr[W_5] - \Pr[W_4]| \leq 2^{-\Omega(\lambda)}$ .

In Game<sub>5</sub>, we have  $\Pr[W_5] = 1/2$  since the challenge ciphertexts  $(\mathbf{C}_{t,1}^*, \dots, \mathbf{C}_{t,\ell}^*)$  reveal no information about  $b \in \{0, 1\}$ .  $\square$

**Lemma 5.3.** *Let  $\mathbf{R}_\tau \in \mathbb{Z}^{m \times m}$  be as in equation (5.5). Let  $\mathbf{E} \leftarrow D_{\mathbb{Z}^{m \times n}, \alpha_1 q}$  and  $\mathbf{s} \leftarrow D_{\mathbb{Z}^n, \sigma}$ . If  $\alpha_1 q = \omega(\sqrt{\log n})$ ,  $\sigma = \omega(\sqrt{\log n})$  and  $\alpha \geq 2^\lambda \cdot L \cdot m^4 \cdot n^{3/2} \cdot \alpha_1 \cdot \sigma$ , we have the statistical distance upper bound  $\Delta(D_{\mathbb{Z}^m, \alpha q}, \mathbf{R}_\tau^\top \cdot \mathbf{E} \cdot \mathbf{s} + D_{\mathbb{Z}^m, \alpha q}) \leq 2^{-\lambda}$ .*

*Proof.* If the matrices  $\mathbf{A}_{i,j} = \mathbf{A} \cdot \mathbf{R}_{i,j} + \mu_{i,j} \cdot \mathbf{G} \in \mathbb{Z}^{n \times m}$ , for  $i \in [L]$  and  $j \in \{0, 1\}$ , with  $\mathbf{R}_{i,j} \leftarrow \{-1, 1\}^{m \times m}$  and  $\mu_{i,j} \in \{0, 1\}$ , defined as in equation (5.4), then  $\mathbf{R}_\tau = \mathbf{R}'_\tau \cdot \mathbf{G}^{-1}(\mathbf{W}^\top)$ , where  $\mathbf{R}'_\tau$  is given below:

$$\begin{aligned} \mathbf{R}'_\tau &= \mathbf{R}_{1,\tau_1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{2,\tau_2} \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,\tau_3} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,\tau_L})))) \\ &\quad + \tau_1 \cdot \mathbf{R}_2 \cdot \mathbf{G}^{-1}(\mathbf{A}_{3,\tau_3} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,\tau_L}))) \\ &\quad + x_1 \cdot x_2 \cdot \mathbf{R}_3 \cdot \mathbf{G}^{-1}(\mathbf{A}_{4,\tau_4} \cdot (\dots \mathbf{G}^{-1}(\mathbf{A}_{L,\tau_L}))) \\ &\quad \vdots \\ &\quad + \tau_1 \cdot \tau_2 \cdots \tau_{L-2} \cdot \mathbf{R}_{L-1} \cdot \mathbf{G}^{-1}(\mathbf{A}_{L,\tau_L}) \\ &\quad + \tau_1 \cdot \tau_2 \cdots \tau_{L-1} \cdot \mathbf{R}_L \end{aligned}$$

$\mathbf{R}_\tau^\top$  is thus a sum of  $L$  terms of products of at most 3 binary matrices. Since each binary matrix has infinity norm less than  $m$  we obtain the upper bound  $\|\mathbf{R}_\tau^\top\|_\infty \leq L \cdot m^3$ . By [MR07, Lemma 4.4], we can bound the Euclidean norm of a Gaussian vector  $\mathbf{e} \leftarrow D_{\mathbb{Z}^n, \alpha_1 q}$  by  $\|\mathbf{e}\| \leq \sqrt{n} \cdot \alpha_1 q$ , with probability exponentially close to 1. We thus obtain

$$\|\mathbf{E}\|_\infty = \max_{i \in [m]} \sum_{j=1}^n |e_{i,j}| \leq \sqrt{n} \cdot \max_{i \in [m]} \sqrt{\sum_{j=1}^n e_{i,j}^2} \leq n \cdot \alpha_1 q,$$

so that  $\|\mathbf{R}_\tau^\top \cdot \mathbf{E} \cdot \mathbf{s}\|_\infty \leq \|\mathbf{R}_\tau^\top\|_\infty \cdot \|\mathbf{E}\|_\infty \cdot \|\mathbf{s}\|_\infty \leq Lm^3 \cdot n\alpha_1 q \cdot \sigma\sqrt{n}$ . By Lemma 2.9, the statistical distance to be bounded is at most  $\leq m \cdot \frac{Lm^3 \cdot n\alpha_1 q \cdot \sigma\sqrt{n}}{\alpha q} = 2^{-\lambda}$ .  $\square$

**Lemma 5.4.** *We have  $|\Pr[W_5] - \Pr[W_4]| \leq 2^{-\Omega(\lambda)}$ .*

*Proof.* To prove the result, we resort to a technique of guessing in advance the difference  $\mathbf{X}_{1-b}^* - \mathbf{X}_b^*$ , which was previously used in [Wee14, BBL17] and can be seen as complexity leveraging with respect to a statistical argument. We consider the following variants of Game<sub>4</sub> and Game<sub>5</sub>, respectively.

We define Game'<sub>4</sub> and Game'<sub>5</sub> simultaneously by using an index  $k \in \{4, 5\}$ :

**Game'<sub>k</sub>:** This game is like Game<sub>k</sub> with one difference in the setup phase. To generate mpk, the challenger generates a statistically uniform  $\mathbf{U} \in \mathbb{Z}_q^{(n_0+n_1) \times n}$  with a trapdoor  $\mathbf{T}_U$  (Lemma 2.8) for the lattice  $\Lambda^\perp(\mathbf{U})$ . Then,  $\mathcal{B}$  parses  $\mathbf{U}$  as

$$\mathbf{U} = \begin{bmatrix} \mathbf{V} \\ \mathbf{C} \end{bmatrix} \in \mathbb{Z}_q^{(n_0+n_1) \times n},$$

where  $\mathbf{V} \in \mathbb{Z}_q^{n_0 \times n}$  and  $\mathbf{C} \in \mathbb{Z}_q^{n_1 \times n}$  are statistically independent and uniform over  $\mathbb{Z}_q$ . Next, it computes

$$\mathbf{A}^\top = \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{E} \in \mathbb{Z}_q^{m \times n},$$

where  $\hat{\mathbf{A}} \leftarrow U(\mathbb{Z}_q^{n_1 \times m})$  and  $\mathbf{E} \leftarrow D_{\mathbb{Z}^{m \times n}, \alpha_1 q}$ . The obtained matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  is then used to generate  $\{\mathbf{A}_{i,j}\}_{i \in [L], j \in \{0,1\}}$  as per (5.4). The upper part  $\mathbf{V} \in \mathbb{Z}_q^{n_0 \times n}$  of  $\mathbf{U}$  is included in mpk, the distribution of which is statistically close to that of Game<sub>k</sub>: we indeed have  $|\Pr[W'_k] - \Pr[W_k]| \leq 2^{-\Omega(\lambda)}$ .

We do the same as above and define Game''<sub>4</sub> and Game''<sub>5</sub> simultaneously by using an index  $k \in \{4, 5\}$ :

**Game''<sub>k</sub>:** This game is identical to Game'<sub>k</sub> with the following difference. At the outset of the game, the challenger randomly chooses  $\Delta \mathbf{X} \leftarrow U([-2X, 2X]^{n_0 \times \ell})$  as a guess for the difference  $\mathbf{X}_{1-b}^* - \mathbf{X}_b^*$  between the challenge messages  $\mathbf{X}_0^*, \mathbf{X}_1^*$ . In the challenge phase, the challenger checks if  $\Delta \mathbf{X} = \mathbf{X}_{1-b}^* - \mathbf{X}_b^*$ . If not, it aborts and replaces  $\mathcal{A}$ 's output  $\hat{b}$  with a random bit  $b'' \leftarrow U(\{0, 1\})$ . If the guess for  $\mathbf{X}_{1-b}^* - \mathbf{X}_b^*$  was successful (we call Guess this event), the challenger proceeds exactly as it did in Game'<sub>k</sub>.

Since the choice of  $\Delta\mathbf{X} \leftarrow U([-2X, 2X]^{n_0 \times \ell})$  is completely independent of  $\mathcal{A}$ 's view, we clearly have  $\Pr[\text{Guess}] = 1/(4X)^{n_0 \ell}$ . Since  $\text{Game}_4''$  is identical to  $\text{Game}_4'$  when Guess occurs, this implies  $\text{Adv}_{\mathcal{A}}^{A'}(\lambda) = (4X)^{n_0 \ell} \cdot \text{Adv}_{\mathcal{A}}^{A''}(\lambda)$ . Indeed,

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{A''}(\lambda) &:= \frac{1}{2} \cdot |\Pr[b' = 1 \mid b = 1, \text{Guess}] \cdot \Pr[\text{Guess}] + \frac{1}{2} \cdot \Pr[\neg \text{Guess}] \\ &\quad - \Pr[b' = 1 \mid b = 0, \text{Guess}] \cdot \Pr[\text{Guess}] - \frac{1}{2} \cdot \Pr[\neg \text{Guess}]| \\ &= \frac{1}{2} \cdot \Pr[\text{Guess}] \cdot |\Pr[b' = 1 \mid b = 1, \text{Guess}] - \Pr[b' = 1 \mid b = 0, \text{Guess}]| \\ &= \Pr[\text{Guess}] \cdot \text{Adv}_{\mathcal{A}}^{A'}(\lambda) = \frac{1}{(4X)^{n_0 \ell}} \cdot \text{Adv}_{\mathcal{A}}^{A'}(\lambda) \end{aligned}$$

and we can similarly show that  $\text{Adv}_{\mathcal{A}}^{5'}(\lambda) = (4X)^{n_0 \ell} \cdot \text{Adv}_{\mathcal{A}}^{5''}(\lambda)$ .

**Game<sub>5</sub>'''**: This game is identical to  $\text{Game}_4''$  except that encryption keys  $\{\text{ek}_i\}_{i=1}^{\ell}$  are replaced by alternative encryption keys  $\{\text{ek}'_i\}_{i=1}^{\ell}$ , which are generated as follows. After having sampled  $\text{ek}_i = \mathbf{s}_i \leftarrow D_{\mathbb{Z}^n, \sigma}$  for all  $i \in [\ell]$ , the challenger chooses  $\gamma \leftarrow U(\mathbb{Z}_q)$  and uses the trapdoor  $\mathbf{T}_{\mathbf{U}}$  for  $\Lambda^{\perp}(\mathbf{U})$  to sample a small-norm matrix  $\mathbf{T} \in \mathbb{Z}^{n \times n_0}$  (Lemma 2.8, Remark 1) satisfying

$$\mathbf{U} \cdot \mathbf{T} = \begin{bmatrix} \gamma \cdot \mathbf{I}_{n_0} \\ \mathbf{0}^{n_1 \times n_0} \end{bmatrix} \pmod{q}, \quad (5.11)$$

so that  $\mathbf{V} \cdot \mathbf{T} = \gamma \cdot \mathbf{I}_{n_0} \pmod{q}$  and  $\mathbf{C} \cdot \mathbf{T} = \mathbf{0}^{n_1 \times n_0} \pmod{q}$ . For each  $i \in [\ell]$ , the alternative key  $\text{ek}'_i = \mathbf{s}'_i$  of user  $i$  is defined as:

$$\mathbf{s}'_i = \mathbf{s}_i + \mathbf{T} \cdot \Delta \mathbf{x}_i \in \mathbb{Z}^n \quad \forall i \in [\ell], \quad (5.12)$$

where  $\Delta \mathbf{x}_i$  is the  $i$ -th column of  $\Delta\mathbf{X}$  (i.e., the guess for  $\mathbf{x}_{1-b,i}^* - \mathbf{x}_{b,i}^*$ ). These modified encryption keys  $\{\text{ek}'_i = \mathbf{s}'_i\}_{i=1}^{\ell}$  are used to answer all encryption queries, to generate the challenge ciphertext and to answer functional decryption key queries as well. At each corruption query  $i$ , the adversary is also given  $\text{ek}'_i$  instead of  $\text{ek}_i$ .

We first claim that, conditionally on Guess,  $\text{Game}_5'''$  is statistically close to  $\text{Game}_4''$ . To see this, we first argue that trading  $\{\text{ek}_i\}_{i=1}^{\ell}$  for  $\{\text{ek}'_i\}_{i=1}^{\ell}$  has no incidence on queries made by a legitimate adversary:

- We have  $\mathbf{C} \cdot \mathbf{s}'_i = \mathbf{C} \cdot \mathbf{s}_i \pmod{q}$ , so that encryption queries obtain the same responses no matter which key set is used among  $\{\text{ek}_i\}_{i=1}^{\ell}$  and  $\{\text{ek}'_i\}_{i=1}^{\ell}$ .
- We have  $\sum_{i=1}^{\ell} \mathbf{s}'_i \cdot \mathbf{y}_i = \sum_{i=1}^{\ell} \mathbf{s}_i \cdot \mathbf{y}_i$  so long as the adversary only obtains private keys for vectors  $\mathbf{y} \in \mathbb{Z}^{\ell}$  such that  $(\mathbf{X}_0^* - \mathbf{X}_1^*) \cdot \mathbf{y} = \mathbf{0}$  (over  $\mathbb{Z}$ ). Thus functional decryption queries are answered identically, regardless of which key set is used.

- For any corrupted user  $i \in \mathcal{CS}$ , it should be the case that  $\mathbf{x}_{0,i}^* = \mathbf{x}_{1,i}^*$ , meaning that  $\mathbf{s}'_i = \mathbf{s}_i$  as long as Guess occurs.

This implies that  $\text{Game}_5'''$  is identical to  $\text{Game}_4''$ , except that users' secret keys are defined via (5.12) and thus have a slightly different distribution. Lemma 5.5 shows that the statistical distance between the distributions of  $\{\mathbf{s}'_i\}_{i=1}^\ell$  and  $\{\mathbf{s}_i\}_{i=1}^\ell$  is at most  $2^{-\lambda} \cdot (4X)^{-n_0\ell}$ . This implies that  $\text{Game}_4''$  and  $\text{Game}_5'''$  are statistically close assuming that Guess occurs. When Guess does not occur, both games output a random  $b' \leftarrow U(\{0, 1\})$ , so that  $|\Pr[W_5'''] - \Pr[W_4'']| \leq 2^{-\lambda} \cdot (4X)^{-n_0\ell}$ .

We finally claim that, from the adversary's view  $\text{Game}_5'''$  is identical to  $\text{Game}_5''$ . Indeed, our choice of  $\mathbf{T}$  ensures that  $\mathbf{V} \cdot \mathbf{T} = \gamma \cdot \mathbf{I}_{n_0} \bmod q$ , so that we have  $\mathbf{V} \cdot \mathbf{s}'_i = \mathbf{V} \cdot \mathbf{s}_i + \gamma \cdot (\mathbf{x}_{1-b,i}^* - \mathbf{x}_{b,i}^*) \bmod q$ . This implies

$$\begin{aligned} \mathbf{C}_{t^*,i} &= \mathbf{G}_0^\top \cdot \mathbf{x}_{b,i}^* + (\mathbf{R}_{t^*}^\top \cdot \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{G}_0^\top \cdot \mathbf{V}) \cdot \mathbf{s}'_i + \mathbf{e}_i^* \\ &= \mathbf{G}_0^\top \cdot ((1-\gamma) \cdot \mathbf{x}_{b,i}^* + \gamma \cdot \mathbf{x}_{1-b,i}^*) + (\mathbf{R}_{t^*}^\top \cdot \hat{\mathbf{A}}^\top \cdot \mathbf{C} + \mathbf{G}_0^\top \cdot \mathbf{V}) \cdot \mathbf{s}_i + \mathbf{e}_i^* \end{aligned}$$

which is exactly the distribution from  $\text{Game}_5''$ .

Putting the above altogether, we find  $|\Pr[W_4''] - \Pr[W_5'']| \leq 2^{-\Omega(\lambda)} \cdot (4X)^{-n_0\ell}$ , which in turn implies  $|\Pr[W_4] - \Pr[W_5]| \leq 2^{-\Omega(\lambda)}$ , as claimed.  $\square$

**Lemma 5.5.** *If  $\sigma \geq 2^\lambda \cdot n_0 \cdot (4X)^{n_0\ell+1} \cdot \omega(n^2 \sqrt{\log n})$ , then we have the inequality*

$$\Delta(D_{\mathbb{Z}^n, \sigma}, \mathbf{T} \cdot \Delta \mathbf{x}_i + D_{\mathbb{Z}^n, \sigma}) \leq 2^{-\lambda} \cdot (4X)^{-n_0\ell}.$$

*Proof.* By Lemma 2.8, Remark 1, each column of  $\mathbf{T}$  has norm smaller than:

$$\|\mathbf{t}_i\| \leq O(\sqrt{n \cdot (n_0 + n_1) \log q}) \cdot \omega(\sqrt{\log n}) = n \cdot \omega(\sqrt{\log n}),$$

so that  $\|\mathbf{T} \cdot \Delta \mathbf{x}_i\|_\infty \leq \|\mathbf{T} \cdot \Delta \mathbf{x}_i\| \leq 2Xn_0 \cdot n \cdot \omega(\sqrt{\log n})$ . By Lemma 2.9, the considered distance is smaller than  $n \cdot \frac{2Xn_0 \cdot n \cdot \omega(\sqrt{\log n})}{\sigma} \leq 2^{-\lambda} \cdot (4X)^{-n_0\ell}$ .  $\square$

## 5.4 One-or-less Compiler

### 5.4.1 Adaptive Multi-Instance PRFs

In order to achieve 1-or-less-IND security (Definition 5.4), our compiler uses as a building block a pseudo-random function family that satisfies a definition of *adaptive multi-instance PRF*. This notion is defined as follows.

**Definition 5.5** (ad-mi-PRF). *An efficiently computable function  $F: \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  is an adaptively secure  $N$ -instance PRF, for some  $N \in \text{poly}(\lambda)$ , if no PPT adversary  $\mathcal{A}$  has non-negligible advantage in winning the following game.*

**Initialization:** The challenger  $\mathcal{C}$  samples  $N$  secret keys  $k_1, k_2, \dots, k_N$  from the key space  $\mathcal{K}$  and a uniformly random bit  $b \leftarrow U(\{0, 1\})$ .

**Queries.** The adversary  $\mathcal{A}$  adaptively interleaves the following kinds queries:

**Evaluation:** Upon receiving a query  $\text{Eval}(i, X)$ , where  $i \in [N]$  and  $X \in \mathcal{X}$ , the challenger returns  $\perp$  if it previously replied to a challenge query for the same pair  $(i, X)$ . Otherwise, it replies with  $F_{k_i}(X) \in \mathcal{Y}$ .

**Corruption:** When the adversary makes a query  $\text{Corrupt}(i)$ , the challenger returns  $\perp$  if it previously replied to a challenge query for  $i$ . Otherwise, the challenger returns  $k_i \in \mathcal{K}$ .

**Challenge:**  $\mathcal{A}$  makes challenge queries  $\text{Challenge}(i, X^*)$  for a unique arbitrary input  $X^*$  (any subsequent challenge query involving a different input  $X \neq X^*$  is ignored). If  $\text{Eval}(i, X^*)$  or  $\text{Corrupt}(i)$  was queried before, the challenger returns  $\perp$ . Else, it returns  $F_{k_i}(X^*)$  if  $b = 1$  and a uniformly random value from  $\mathcal{Y}$  if  $b = 0$ .

**Guess.**  $\mathcal{A}$  outputs a guess  $b' \in \{0, 1\}$  and wins if  $b' = b$ .

The adversary's advantage is  $\text{Adv}_{\mathcal{A}}^{\text{ad-mi-PRF}}(\lambda) := |\Pr[b' = b] - \frac{1}{2}|$ .

It is possible to show that any PRF that provides single-instance security in the sense of a “find-then-guess” (Definition 2.12) is also secure in the sense of the above Definition 5.5. In short, the proof of Proposition 5.3 proceeds using a hybrid argument over the challenge queries and guesses the index of an uncorrupted index in each hybrid. However, this reduction incurs a quadratic security loss in  $N$ .

**Proposition 5.3.** *If  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  is a secure PRF (Def. 2.12) then it is also a secure  $N$ -instance ad-mi-PRF. Moreover, if there exists an adversary  $\mathcal{A}$  that breaks the ad-mi-PRF we give a reduction  $\mathcal{B}$  that breaks the standard PRF security such that:*

$$\text{Adv}_{\mathcal{A}}^{\text{ad-mi-PRF}}(\lambda) \leq N^2 \cdot \text{Adv}_{\mathcal{B}}^{\text{FG}}(\lambda)$$

*Proof.* To prove the above result we use a sequence of hybrid games. We define each game  $H_k$  for  $k \in \{0, 1, 2, \dots, N\}$  very similar to the ad-mi-PRF game. In game  $H_k$  the challenger starts by sampling  $N$  secret keys for the PRF  $k_1, k_2, \dots, k_N$ . To answer evaluation and corruption queries that are made in an adaptive manner, the challenger proceeds exactly as in the ad-mi-PRF game. Concretely, for an evaluation query for the pair  $(i, X) \in [N] \times \mathcal{X}$ , the challenger returns  $F(k_i, X)$ . Corruption queries for any index  $i$  are answered with  $k_i$ . Challenge queries are answered differently from the original security game. In game  $H_k$ , the first  $k$  challenge queries are answered with honest evaluations of the PRF and the rest are answered with uniformly random values. As in the ad-mi-PRF game, corruption queries and challenge queries on the same index are not compatible. Also, the challenge input  $X^*$  should not appear as an evaluation queries.

Notice that an efficient adversary that is able to win the ad-mi-PRF security game is able to distinguish between games  $H_0$  and  $H_N$ . We prove below that any two consecutive games are computationally indistinguishable, thus completing the proof. Assume there exists an efficient algorithm  $\mathcal{A}_k$  that distinguishes between Game  $H_k$  and  $H_{k+1}$  with non-negligible advantage. We give an efficient adversary  $\mathcal{B}_k$  that breaks the find-then-guess PRF security game.

The reduction  $\mathcal{B}_k$  starts by sampling the uniformly random value  $i_0 \leftarrow U([N])$ , which represents a guess for the index for the  $(k+1)$ -th challenge query that  $\mathcal{A}_k$  will make. Then it samples the keys  $k_1, k_2, \dots, k_{i_0-1}, k_{i_0+1}, \dots, k_N$ . Notice the reduction is able to answer corruption, challenge and evaluation queries for any  $[N] \setminus \{i_0\}$ . If the adversary makes an evaluation query for  $(i_0, X) \in [N] \times \mathcal{X}$ , the reduction makes an evaluation query for  $X \in \mathcal{X}$  to its challenger and receives  $F(k_{i_0}, X)$ . The value is relayed to the adversary as a response. If a corruption query is made for  $i_0$  the reduction aborts. When the adversary makes a challenge query for  $(i_0, X) \in [N] \times \mathcal{X}$ , the reduction makes a challenge query on  $X^*$  to its challenger to receive  $y_b$ , which is either the honest evaluation  $F(k_{i_0}, X^*)$  or a uniformly random value. The value  $y_b$  is given to  $\mathcal{A}_k$  as a response to the challenge query. At the end of the game, the reduction outputs the same bit as the adversary  $\mathcal{A}_k$ . Notice that depending on the nature of the value  $y_b$ , the view of the adversary is either as in  $H_k$  or  $H_{k+1}$ . This means that the advantage of  $\mathcal{B}_k$  in breaking the PRF is the same as the advantage of  $\mathcal{A}_k$ , provided that the guess of  $i_0$  was right. Since the probability of a right guess is  $1/N$ , and we have  $N$  transitions between the hybrid games, we obtain the quadratic loss in security of  $N^2$ .  $\square$

The  $N^2$  security loss from the above reduction translates into an  $\ell^5$  loss factor for the actual compiler, where  $\ell$  is the number of clients in the MCFE scheme. This is because Lemma 5.8 uses a reduction with  $N = \ell^2$  PRF instances, which gives a degradation factor  $\ell^4$ , which eventually becomes  $\ell^5$  because of the bound (5.13).

The PRF described in Section 3.4.2 can be proven secure in the sense of Definition 5.5 without the  $O(N^2)$  loss in the reduction. This gives a compiler that works under the LWE assumption, with a loss factor that is linear in  $\ell$ , the number of clients. Since our MCFE scheme from Section 5.3.2 relies on the LWE assumption anyway, we do not need an extra assumption in order to use the compiler. In comparison, the compiler of [ABG19] loses a factor  $O(\ell^2)$  but works with any PRF.

**Theorem 5.6.** *The PRF from Section 3.4.2 is also ad-mi-PRF secure, under the LWE assumption. Moreover, for any efficient adversary  $\mathcal{A}$ , there exists an efficient reduction  $\mathcal{B}$  such that:*

$$\text{Adv}_{\mathcal{A}}^{\text{ad-mi-PRF}}(\lambda) \leq n \cdot \text{Adv}_{\mathcal{B}}^{\text{LWE}_{q,m,n',\alpha}}(\lambda) + 2^{-\Omega(\lambda)}.$$

The proof is essentially identical to the proof of Theorem 3.3. Intuitively, it exploits the fact that the reduction knows the secret keys at any time, which makes it easy to consistently answer adaptive corruption queries. The details can be found in [LT19].



### 5.4.2 The Compiler

The compiler is similar to the random-oracle-based transformation of Abdalla *et al.* [ABKW19, Section 4.2] – which is itself inspired by [ACF<sup>+</sup>18] – and its intuition is the following. The ciphertexts of individual slots contain partial MCFE ciphertexts  $\text{Encrypt}'(ek'_i, x_i, t)$  which are super-encrypted using a symmetric encryption scheme with secret key  $K_{t,i}$ . The partial ciphertexts of the compiled scheme also contain shares  $k_{ijt}$  of the secret key  $K_{t,i}$  in such a way that, once all the partial ciphertexts are gathered for a given tag  $t$ , the decryptor can recover the secret  $K_{t,i} = \bigoplus_{j=1}^{\ell} k_{ijt}$  and thus the encryption  $\text{Encrypt}'(ek'_i, x_i, t)$ . If only one such ciphertext is missing, then the secret key  $K_{t,i}$  remains computationally hidden because the decryptor does not have all the shares. The symmetric encryption layer thus hides all the information that could leak when the adversary does not make encryption queries for all uncorrupted slots.

Given a scheme  $\mathcal{M}^{\mathcal{C}\mathcal{F}\mathcal{E}'} = (\text{Setup}', \text{Encrypt}', \text{DKeygen}', \text{Decrypt}')$ , an adaptively secure  $\ell^2$ -instances ad-mi-PRF  $F : \mathcal{K} \times \mathcal{T} \rightarrow \{0, 1\}^\lambda$  and a pseudo-random generator  $G : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{|\text{ct}'|}$ , where  $|\text{ct}'|$  is the length of the output of the algorithm  $\text{Encrypt}'$ , we obtain the following compiled scheme  $\mathcal{M}^{\mathcal{C}\mathcal{F}\mathcal{E}}$ .

**Setup**( $\text{cp}, 1^\ell$ ): Runs  $(\text{mpk}', \text{msk}', \{ek'_i\}_{i=1}^{\ell}) \leftarrow \text{Setup}'(\text{cp}, 1^\lambda)$ . Samples  $\ell^2$  secret keys  $k_{ij} \leftarrow \mathcal{K}$  for all  $i, j \in [\ell]$ . Then, set  $\text{mpk} := \text{mpk}'$   $\text{msk} := \text{msk}'$  and  $ek_i := (ek'_i, \{k_{ij}, k_{ji}\}_{j \in [\ell]})$

**Encrypt**( $ek_i, x_i, t$ ): Given  $ek_i = (ek'_i, \{k_{ij}, k'_{ji}\}_{j=1}^{\ell})$  it computes  $k_{ijt} := F_{k_{ij}}(t)$ ,  $k_{jiti} := F_{k_{ji}}(t)$  for all  $j \in [\ell]$ ,  $C'_{t,i} := \text{Encrypt}'(ek'_i, x_i, t)$  and  $K_{t,i} := \bigoplus_{j=1}^{\ell} k_{ijt}$ . Next, it computes  $C_{t,i} := C'_{t,i} \oplus G(K_{t,i})$ . It outputs  $\text{ct}_{t,i} = (C_{t,i}, \{k_{jiti}\}_{j=1}^{\ell})$ .

**DKeygen**( $\text{msk}, f$ ): Given  $\text{msk}$  and an  $\ell$ -argument function  $f : \mathcal{M}^\ell \rightarrow \mathcal{R}$ . It outputs a functional decryption key  $\text{dk}_f := \text{DKeygen}'(\text{msk}', f)$ .

**Decrypt**( $\text{dk}_f, t, \{\text{ct}_{t,1}, \text{ct}_{t,2}, \dots, \text{ct}_{t,\ell}\}$ ): Takes as input a functional decryption key  $\text{dk}_f$ , a tag  $t \in \mathcal{T}$ , and an  $\ell$ -vector of ciphertexts  $\mathbf{C} = (\text{ct}_{t,1}, \dots, \text{ct}_{t,\ell})$ . For all  $i \in [\ell]$  it computes  $K_{t,i} = \bigoplus_{j=1}^{\ell} k_{ijt}$  and  $C'_{t,i} := C_{t,i} \oplus G(K_{t,i})$  and runs  $\text{Decrypt}'(\text{dk}_f, t, \{C'_{t,1}, C'_{t,2}, \dots, C'_{t,\ell}\})$

The security proof relies on the idea that, if the adversary  $\mathcal{A}$  does not make all the allowed challenge encryptions queries, there must exist an honest slot  $j_0 \in \mathcal{H}\mathcal{S}$  such that  $\text{CQEncrypt}(j_0, x_{j_0}^{0*}, x_{j_0}^{1*}, t^*)$  is never asked. This ensures that none of the PRF values  $\{k_{ij_0t^*} = F_{k_{ij_0}}(t^*)\}_{i \in \mathcal{H}\mathcal{S}}$  is ever exposed to the adversary, which implies that  $\{k_{ij_0t^*}\}_{i \in \mathcal{H}\mathcal{S}}$  are pseudo-random in the adversary's view since  $F$  is a PRF. This implies that secret keys  $K_{t^*,i} := \bigoplus_{j=1}^{\ell} k_{ijt^*}$  are also pseudo-random for all  $i \in \mathcal{H}\mathcal{S}$ . Hence, the ciphertexts  $C_{t^*,i} = \text{Encrypt}'(ek'_i, x_i, t^*) \oplus G(K_{t^*,i})$  computationally hide the  $x_i$ 's for all uncorrupted slots  $i$ . To translate this intuition into a

formal security proof, we need a PRF family that provides security in the sense of Definition 5.5.

**Theorem 5.7.** *If  $\mathcal{MCE}'$  is 1ch-IND secure then the compiled  $\mathcal{MCE}$  scheme is 1-or-less-IND secure. Moreover, if  $\mathcal{A}$  breaks the security of the  $\mathcal{MCE}$  scheme, we give a reduction  $\mathcal{B}$  that breaks the security of the  $\mathcal{MCE}'$  such that:*

$$\mathbf{Adv}_{\mathcal{A}}^{1\text{-or-less}}(\lambda) \leq \mathbf{Adv}_{\mathcal{B}}^{1\text{ch-IND}}(\lambda) + \ell \cdot \mathbf{Adv}^{ad\text{-mi-PRF}}(\lambda) + \mathbf{Adv}^{PRG}(\lambda)$$

*Proof.* Given an adversary  $\mathcal{A}$  that breaks the  $\mathcal{MCE}$  scheme by winning the game of Definition 5.4 with non-negligible probability, we give an efficient reduction  $\mathcal{B}$  that wins the game of Definition 5.3 with noticeable advantage, thus breaking the  $\mathcal{MCE}'$  scheme. The algorithm  $\mathcal{B}$  works as follows.

**Initialization:** The challenger  $\mathcal{C}$  gives  $\mathcal{B}$  the public parameters  $\text{mpk}'$  and keeps to itself the secret keys  $(\text{msk}', \{\text{ek}'_i\}_{i=1}^{\ell})$ . In order to initialize the game with  $\mathcal{A}$ , the reduction  $\mathcal{B}$  samples  $\ell^2$  secret keys  $k_{ij} \leftarrow \mathcal{K}$  for all  $i, j \in [\ell]$ . Then gives  $\mathcal{A}$  the public parameters  $\text{mpk} := \text{mpk}'$ .

**Encryption queries:** For each encryption query  $\text{QEncrypt}(i, x, t)$  made by  $\mathcal{A}$ ,  $\mathcal{B}$  sends to same query to its challenger and obtains  $C'_{t,i} = \text{Encrypt}'(\text{ek}'_i, x, t)$ . Then,  $\mathcal{B}$  computes  $\{k_{ijt} := F_{k_{ij}}(t), k_{jit} := F_{k_{ji}}(t)\}_{j=1}^{\ell}$ ,  $K_{t,i} := \bigoplus_{j=1}^{\ell} k_{ijt}$ ,  $C_{t,i} := C'_{t,i} \oplus G(K_{t,i})$  and gives  $\text{ct}_{t,i} := (C_{t,i}, \{k_{jit}\}_{j=1}^{\ell})$  to  $\mathcal{A}$ .

**Challenge queries:** If  $\mathcal{A}$  makes a query  $\text{CQEncrypt}(i, x_i^{*0}, x_i^{*1}, t^*)$ ,  $\mathcal{B}$  relays it to its challenger and obtains  $C'_{t^*,i} := \text{Encrypt}'(\text{ek}'_i, x_i^{*b}, t^*)$ . Then,  $\mathcal{B}$  computes  $\{k_{ijt^*} := F_{k_{ij}}(t^*), k_{jit^*} := F_{k_{ji}}(t^*)\}_{j=1}^{\ell}$ ,  $K_{t^*,i} := \bigoplus_{j=1}^{\ell} k_{ijt^*}$ , as well as  $C_{t^*,i} := C'_{t^*,i} \oplus G(K_{t^*,i})$ . The adversary  $\mathcal{A}$  is given  $\text{ct}_{t^*,i} := (C_{t^*,i}, \{k_{jit^*}\}_{j=1}^{\ell})$ .

**Functional decryption key queries:** Each query  $\text{QDKeygen}(f)$  made by  $\mathcal{A}$  is relayed by  $\mathcal{B}$  to its challenger, which replies with  $\text{dk}'_f$ . Then,  $\mathcal{B}$  gives  $\text{dk}_f := \text{dk}'_f$  to  $\mathcal{A}$ .

**Corruption queries:** For each corruption query  $\text{QCorrupt}(i)$  that  $\mathcal{A}$  makes, the reduction  $\mathcal{B}$  replies with  $\text{ek}_i := (\text{ek}'_i, \{k_{ij}, k_{ji}\}_{j=1}^{\ell})$ , where  $\text{ek}'_i$  is the key given by its challenger in response to the same corruption query.

**Finalize:** When  $\mathcal{A}$  outputs a result  $b'$ ,  $\mathcal{B}$  distinguishes two situations.

- If  $\mathcal{A}$  makes queries  $\text{CQEnc}(i, \star, \star, t^*)$  for all slots  $i \in \mathcal{HS}$  and the conditions of the Finalize step in Definition 5.4 are all satisfied (i.e.,  $\mathcal{A}$ 's output is not overwritten by a random bit  $\beta$ ),  $\mathcal{B}$  outputs the same bit  $\tilde{b} := b'$  as  $\mathcal{A}$ .
- Otherwise,  $\mathcal{B}$  outputs a random  $\tilde{b} \leftarrow U(\{0, 1\})$ . We call this event Abort.

Next, we analyze the probability of  $\mathcal{B}$  winning the game.

$$\begin{aligned}
 \Pr[\tilde{b} = b] &= \Pr[\tilde{b} = b|\text{Abort}] \cdot \Pr[\text{Abort}] + \Pr[\tilde{b} = b|\overline{\text{Abort}}] \cdot \Pr[\overline{\text{Abort}}] \\
 &= \frac{1}{2} \cdot \Pr[\text{Abort}] + \Pr[b' = b|\overline{\text{Abort}}] \cdot \Pr[\overline{\text{Abort}}] \\
 &= \Pr[b' = b] + \left( \frac{1}{2} - \Pr[b' = b|\text{Abort}] \right) \cdot \Pr[\text{Abort}] \\
 &= \Pr[b' = b] + \left( \frac{1}{2} - \Pr[b' = b|\text{abort}] \right) \cdot \Pr[\text{abort}]
 \end{aligned}$$

where `abort` is the event that  $\mathcal{B}$  aborts the attack because  $\mathcal{A}$  did not make all the challenge queries for all honest slots (but all the other conditions from the Finalize step in Def. 5.4 are satisfied). We can replace the event `Abort` with the event `abort` in the equality above because, in the case  $\mathcal{A}$  does not meet at least one of the other conditions from the Finalize step, its output is the same as  $\mathcal{B}$ 's, namely a uniformly random bit.

In order to finish the proof, we need to prove the following claim.

**Claim.** *If  $F$  is an ad-mi-PRF and  $G$  a PRG, then  $|\Pr[b' = b|\text{abort}] - \frac{1}{2}|$  is negligible.*

*Proof.* Since we are in the setting where `abort` happens, we can consider that the class of adversaries  $\mathcal{A}$  is restricted to those who always satisfy the conditions of the event `abort`, namely it does not make all the encryption queries for all honest slots, but meets all the other conditions in the Finalize step. We will prove this claim via a series of games. For each  $i \in \{0, 1, 2\}$ , we call  $W_i$  the event that  $b' = b$  in  $\text{Game}_i$ , i.e. the adversary  $\mathcal{A}$  makes the correct guess and wins the game.

**Game<sub>0</sub>:** This is the original game in the one-or-less security experiment (Definition 5.4) for the compiled scheme. The challenger uniformly samples a bit  $b \leftarrow U(\{0, 1\})$  and then runs the setup algorithm of  $\mathcal{MCE}'$  to obtain  $(\text{mpk}', \text{msk}', \{\text{ek}'_i\}_{i=1}^\ell)$ , samples  $k_{ij} \leftarrow \mathcal{K}$ , for all  $i, j \in [\ell]$  and sets  $\text{mpk} := \text{mpk}'$ ,  $\text{msk} := \text{msk}'$  and  $\text{ek}_i := \left( \text{ek}'_i, \{k_{ij}, k_{ji}\}_{j=1}^\ell \right)$ . It gives  $\text{mpk}$  to  $\mathcal{A}$  and handles queries  $\text{QCorrupt}(i)$  using  $\text{ek}_i := (\text{ek}'_i, \{k_{ij}, k_{ji}\}_{j=1}^\ell)$ . To answer queries  $\text{QEnc}(i, x_i, t)$ , it computes the shares  $\{k_{ijt} := F_{k_{ij}}(t), k_{jti} := F_{k_{ji}}(t)\}_{j=1}^\ell$  and the seed  $K_{t,i} := \bigoplus_{j=1}^\ell k_{ijt}$ . Then, it computes  $C_{t,i} := \text{Encrypt}'(\text{ek}'_i, x_i, t) \oplus G(K_{t,i})$ . The adversary  $\mathcal{A}$  is given  $\text{ct}_{t,i} := (C_{t,i}, \{k_{jit}\}_{j=1}^\ell)$ . To answer a challenge encryption query  $\text{CQEncrypt}(i, x_i^0, x_i^1, t^*)$ , it replies with  $\text{QEnc}(i, x_i^b, t^*)$ . For functional decryption queries  $\text{QDKeygen}(f, \text{msk})$ , the challenger replies with  $\text{dk}_f \leftarrow \text{DKeygen}'(\text{msk}', f)$ . When  $\mathcal{A}$  halts, it outputs a bit  $b'$ , so  $\Pr[W_0] = \Pr[b' = b|\text{abort}]$  by definition.

**Game<sub>1</sub>:** The challenger interacts with  $\mathcal{A}$  exactly as in  $\text{Game}_0$  except that, upon receiving a challenge query  $\text{CQEncrypt}(i, x_i^0, x_i^1, t^*)$ , it does the following. If

$x_i^0 = x_i^1$ , it replies as in  $\text{Game}_0$ . Otherwise, for each slot  $i \in [\ell]$  such that  $x_i^0 \neq x_i^1$ , it samples a uniform value for  $K_{t^*,i} \leftarrow U(\{0,1\}^\lambda)$  which it uses as a seed for the pseudo-random generator  $G$  in order to compute  $C_{t^*,i}$ .

Since we are conditioning on the event  $\text{abort}$ , there exists an index  $j_0 \in \mathcal{HS}$  which is never the input to a query of the form  $\text{CQEnc}(j_0, \cdot, \cdot, t^*)$ . Moreover, for any index  $i$  such that  $x_i^0 \neq x_i^1$ , corruption queries  $\text{Corrupt}(i)$  are disallowed by the conditions of the  $\text{Finalize}$  step which must be satisfied (recall that we are conditioning on event  $\text{abort}$ ). The only information that  $\mathcal{A}$  can gather about  $K_{t^*,i}$  is thus obtained from  $\text{QEnc}(j, x_j, t)$  and  $\text{CQEnc}(j, x_j^0, x_j^1, t^*)$  queries. By making these types of queries,  $\mathcal{A}$  learns any  $\{k_{ij} = F_{k_{ij}}(t)\}$  for any  $i, j \in [\ell]$ , any  $t \in \mathcal{T} \setminus \{t^*\}$  and  $\{F_{k_{ij}}(t^*)\}_{j \in \mathcal{HS} \setminus \{j_0\}}$ , respectively. Intuitively the pseudo-randomness of  $F$  should be enough to prove that  $F_{k_{ij_0}}(t^*)$  is pseudo-random which implies that the key  $K_{t^*,i}$  is also pseudo-random, and this assures that  $\text{Game}_0$  and  $\text{Game}_1$  are indistinguishable.

In order to prove that  $\text{Game}_0$  and  $\text{Game}_1$  are indeed computationally indistinguishable, we make use of another two intermediate games,  $\text{Game}'_0$  and  $\text{Game}'_1$  that are proved to be computationally indistinguishable. They are defined below.

**Game'<sub>d</sub>** ( $d \in \{0,1\}$ ): This game is identical to  $\text{Game}_d$  with the difference that the challenger initially chooses  $j_0 \leftarrow U([\ell])$  as a guess for the smallest index  $j_0 \in \mathcal{HS}$  such that no challenge query  $\text{CQEnc}(j_0, \cdot, \cdot, t^*)$  is ever made. As soon as the guess turns out to be wrong, the challenger stops the interaction and outputs a uniformly random bit  $b' \leftarrow U(\{0,1\})$ , instead of an output provided by  $\mathcal{A}$ . For each  $d \in \{0,1\}$ , we have  $\Pr[W'_d] = (\ell - 1)/2\ell + 1/\ell \cdot \Pr[W_d]$ , which is equivalent to:

$$\Pr[W_d] = \ell \cdot \left( \Pr[W'_d] - \frac{1}{2} \right) + \frac{1}{2}$$

Notice that this implies  $|\Pr[W_0] - \Pr[W_1]| = \ell \cdot |\Pr[W'_0] - \Pr[W'_1]|$ , where the event  $W'_d$  is the event that  $b' = b$  in  $\text{Game}'_d$ .

Lemma 5.8 shows that  $\text{Game}'_0$  and  $\text{Game}'_1$  are computationally indistinguishable if  $F$  is a secure PRF in the multi-instance setting and  $G$  is a secure pseudo-random generator.

**Game<sub>2</sub>**: This game is identical to  $\text{Game}_1$  except that the challenger responds to challenge queries of the form  $\text{CQEncrypt}(i, x_i^0, x_i^1, t^*)$  such that  $x_i^0 \neq x_i^1$ , with a uniformly random  $C_{t^*,i}$ , as part of the ciphertext. In this game, we obviously have  $\Pr[W_2] = \Pr[b' = b | \text{abort}] = \frac{1}{2}$ , because the ciphertext does not contain any information about the bit  $b$ .

To see that  $\text{Game}_1$  and  $\text{Game}_2$  are computationally indistinguishable, recall that  $C_{t^*,i} = C'_{t^*,i} \oplus G(K_{t^*,i})$ . When the seed  $K_{t^*,i}$  is uniformly generated,  $G(K_{t^*,i})$  is pseudo-random, hence  $\text{Game}_1$  and  $\text{Game}_2$  are computationally indistinguishable. In particular, we thus obtain  $|\Pr[W_2] - \Pr[W_1]| \leq \mathbf{Adv}^{\text{PRG}}(\lambda)$ .

When combining the above, we obtain

$$|\Pr[W_0] - \Pr[W_2]| \leq \ell \cdot \mathbf{Adv}^{\text{ad-mi-PRF}}(\lambda) + \mathbf{Adv}^{\text{PRG}}(\lambda), \quad (5.13)$$

which proves the result.  $\square$

**Lemma 5.8.** *If  $F$  is secure in the ad-mi-PRF sense, then  $\text{Game}'_0$  and  $\text{Game}'_1$  are computationally indistinguishable. More precisely, for any distinguisher  $\mathcal{A}$ , there exists a PRF adversary  $\mathcal{B}$  such that  $\mathbf{Adv}_{\mathcal{A}}(\lambda) \leq \mathbf{Adv}_{\mathcal{B}}^{\text{ad-mi-PRF}}(\lambda)$ .*

*Proof.* Suppose that  $\mathcal{A}$  is a distinguisher between the two games. We construct an adversary  $\mathcal{B}$  that breaks the ad-mi-PRF security of  $F$  when the challenger samples  $N = \ell^2$  secret keys  $k_{ij} \leftarrow \mathcal{K}$  for all  $i, j \in [\ell]$  in the game of Definition 5.5. Algorithm  $\mathcal{B}$  proceeds in the following way.

**Initialization:**  $\mathcal{B}$  starts by drawing  $j_0 \leftarrow U([\ell])$  as a guess that  $j_0$  is the smallest index such that no challenge query  $\text{CQEncrypt}(j_0, \cdot, \cdot, t^*)$  is made. Then, it runs  $(\text{mpk}', \text{msk}', \{\text{ek}'_i\}_{i=1}^{\ell}) \leftarrow \text{Setup}(1^\lambda)$  and  $\text{mpk} := \text{mpk}'$  is given to  $\mathcal{A}$ .

**Corruption queries:** In order to answer a corruption query  $\text{Corrupt}(j)$ , if  $j = j_0$  the reduction  $\mathcal{B}$  stops the attack, since its guess for  $j_0$  was incorrect and outputs the same bit as the distinguisher in this situation. Otherwise, it obtains the values  $\{k_{j\tau}, k_{\tau j}\}_{\tau=1}^{\ell}$  by making corruption queries to its PRF challenger. Then, it replies with  $(\text{ek}'_j, \{k_{j\tau}, k_{\tau j}\}_{\tau=1}^{\ell})$ . Notice that, if  $j_0$  is a correct guess, we have  $j_0 \in \mathcal{H}\mathcal{S}$ , which implies that the adversary never learns  $\{k_{j_0 i}, k_{i j_0}\}_{i \in \mathcal{H}\mathcal{S}}$  from corruption queries.

**Encryption queries:** To answer  $\text{QEncrypt}(i, x, t)$  queries,  $\mathcal{B}$  asks its PRF challenger for the evaluations  $\{k_{ij t} := F_{k_{ij}}(t), k_{ji t} := F_{k_{ji}}(t)\}_{j=1}^{\ell}$  and computes  $K_{t,i} := \bigoplus_{j=1}^{\ell} k_{ij t}$ . Then, it computes  $C'_{t,i} = \text{Encrypt}'(\text{ek}'_i, x, t)$  as well as  $C_{t,i} := C'_{t,i} \oplus G(K_{t,i})$ . The ciphertext  $\text{ct}_{t,i} := (C_{t,i}, \{k_{ji t}\}_{j=1}^{\ell})$  is given to  $\mathcal{A}$ .

**Challenge queries:** When receiving a challenge query  $\text{CQEncrypt}(i, x_i^{0^*}, x_i^{1^*}, t^*)$ ,  $\mathcal{B}$  stops the interaction if  $i = j_0$  and outputs the same guess bit  $b'$  as the distinguisher would in this situation. Otherwise, it considers the following two cases.

- If  $x_i^{0^*} = x_i^{1^*} = x_i^*$ , then  $\mathcal{B}$  responds as it would answer the encryption query  $\text{QEncrypt}(i, x_i^*, t^*)$ .

- If  $x_i^{0^*} \neq x_i^{1^*}$  (which is only possible if  $i \in \mathcal{H}\mathcal{S}$ ), the reduction  $\mathcal{B}$  asks its challenger for the PRF evaluations  $\{k_{ij t^*} := F_{k_{ij}}(t^*)\}_{j \neq j_0}$ . It also makes a challenge query for the input  $t^*$  evaluated with the secret key  $k_{ij_0}$ . The challenger replies with a value  $y_{ij_0 t^*}$ , which is either the evaluation  $F_{k_{ij_0}}(t^*)$  for the unknown secret key  $k_{ij_0}$  chosen by the PRF challenger or a random value. Then,  $\mathcal{B}$  computes  $K_{t^*, i} := (\bigoplus_{j \neq j_0} k_{ij t^*}) \oplus y_{ij_0 t^*}$  and uses  $K_{t^*, i}$  as a seed for the PRG, by computing  $C_{t^*, i}^b := C_{t^*, i}^{b'} \oplus G(K_{t^*, i})$ . The adversary  $\mathcal{A}$  is given  $\text{ct}_{t, i} := (C_{t, i}^b, \{k_{ji t^*}\}_{j=1}^\ell)$ .

**Guess:** The reduction  $\mathcal{B}$  always outputs the same guess bit  $b'$  as the distinguisher  $\mathcal{A}$ , when the interaction stops.

We now observe that, if  $\mathcal{B}$ 's challenger always returns truly random values  $y_{ij_0 t^*}$  at each challenge query, then  $\mathcal{A}$ 's view is identical to that of  $\text{Game}'_1$ . If  $\mathcal{B}$ 's challenger always returns pseudo-random values,  $\mathcal{A}$ 's view is as in  $\text{Game}'_0$ . We thus conclude that  $\text{Adv}_{\mathcal{B}}^{\text{ad-mi-PRF}}(\lambda) \geq \text{Adv}_A(\lambda)$ .  $\square$

$\square$

## ***Simulation-Sound NIZK Arguments for LWE-based Encryption***

In this chapter we discuss the details of the generic transformations presented in [LNPT19], that yield non-interactive zero-knowledge (NIZK) argument systems. The first result is a generic compiler that gives *multi-theorem* NIZK arguments directly from trapdoor  $\Sigma$ -protocols, assuming lossy encryption and correlation-intractable hash functions.

Our second result improves the generic compiler, such that it directly transforms trapdoor  $\Sigma$ -protocols into *unbounded simulation-sound* NIZKs. Again, we need to assume some form of lossy encryption, correlation-intractable hash functions but also one-time signatures. The observation that some forms of lossy encryption admit an efficient opening is crucially used by the NIZK simulators in the above results. An efficient opening is an algorithm that takes input a lossy ciphertext and a message and outputs some randomness that explains the ciphertext as the encryption of this particular message.

It is also possible to obtain unbounded simulation sound NIZK by combining the generic NIZK techniques from [FLS99, SCO<sup>+</sup>01] and the latest results on instantiating the Fiat-Shamir paradigm without random oracles [CLW19, PS19]. But the resulting scheme would be terrible inefficient as it would have to go through a Karp reduction to the graph Hamiltonicity language. Our results enable more efficient NIZK constructions for languages of interest, because we can bypass the Karp reduction.

We can apply these ideas to obtain the most efficient public-key scheme, key-dependent message (KDM) [BRS02] secure against adaptive chosen-ciphertext attacks (CCA2), under the standard LWE assumption. KDM security guarantees privacy even when the adversary gets encryptions of messages that depend of the secret key itself. Constructions of public-key KDM-CCA2 secure schemes under

lattice assumptions, were previously known to be possible from any KDM-CPA scheme, by combining generic NIZK techniques [FLS99, SCO<sup>+</sup>01] and the recent results of [CLW19, PS19] with the Naor-Yung [NY90] transform. Efficient KDM-CCA constructions from standard DDH or DCR assumptions are known as well [HLL16, KT18, KMT19], but not from lattice assumptions.

To construct more efficient KDM-CCA2 secure schemes under standard lattice assumptions, we give a construction for a trapdoor  $\Sigma$ -protocol, that proves two ciphertexts of the LWE-based KDM-CPA secure scheme of [ACPS09] encrypt the same message. Together with the compiler for unbounded simulation-soundness, it allows us to apply the Naor-Yung transform to the ACPS scheme. This yields the most efficient public-key scheme, key-dependent message (KDM) secure under chosen-ciphertext attacks (CCA2), under the standard LWE assumption.



## Organization

We start the last part of this thesis, with Section 6.1, by defining all the building-blocks that we use in this chapter, together with their security properties. Next, in Section 6.2 we give the construction and the security proof for the  $R_{\text{BM}}$ -lossy public-key encryption scheme with efficient opening, that we need for the unbounded simulation-sound NIZK transformation of Section 6.4. In Section 6.3 we present the generic construction of multi-theorem NIZKs and discuss an application of this result in Section 6.3.4.

## 6.1 Definitions

In this section we present the notions that we need for our results.

### 6.1.1 Trapdoor Sigma Protocols

Canetti *et al.* [CLW19] considered a definition of  $\Sigma$ -protocols that slightly differs from the usual formulation [CDS94, Cra96].

**Definition 6.1** (Adapted from [CLW19, AJW12]). *Let a language  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$  associated with two NP relations  $R_{\text{zk}}, R_{\text{sound}}$ . A 3-move interactive proof system  $\Pi = (\text{Gen}_{\text{par}}, \text{Gen}_{\mathcal{L}}, \text{P}, \text{V})$  in the common reference string model is a Gap  $\Sigma$ -protocol for  $\mathcal{L}$  if it satisfies the following conditions:*

- **3-Move Form:** *The prover and the verifier both take as input  $\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}})$ , with  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$  and  $\text{crs}_{\mathcal{L}} \leftarrow \text{Gen}_{\mathcal{L}}(\text{par}, \mathcal{L})$ , and a statement  $x$  and proceed as follows: (i)  $\text{P}$  takes in  $w \in R_{\text{zk}}(x)$ , computes  $(\mathbf{a}, st) \leftarrow \text{P}(\text{crs}, x, w)$  and sends  $\mathbf{a}$  to the verifier; (ii)  $\text{V}$  sends back a random challenge  $\text{Chall}$  from the challenge space  $\text{ChSp}$ ; (iii)  $\text{P}$  finally sends a response  $\mathbf{z} = \text{P}(\text{crs}, x, w, \mathbf{a}, \text{Chall}, st)$  to  $\text{V}$ ; (iv) On input of  $(\mathbf{a}, \text{Chall}, \mathbf{z})$ ,  $\text{V}$  outputs 1 or 0.*
- **Completeness:** *If  $(x, w) \in R_{\text{zk}}$  and  $\text{P}$  honestly computes  $(\mathbf{a}, \mathbf{z})$  for a challenge  $\text{Chall}$ ,  $\text{V}(\text{crs}, x, (\mathbf{a}, \text{Chall}, \mathbf{z}))$  outputs 1 with probability  $1 - \text{negl}(\lambda)$ .*
- **Special zero-knowledge:** *There is a PPT simulator  $\text{ZKSim}$  that, on input of  $\text{crs}$ ,  $x \in \mathcal{L}_{\text{zk}}$  and a challenge  $\text{Chall} \in \text{ChSp}$ , outputs  $(\mathbf{a}, \mathbf{z}) \leftarrow \text{ZKSim}(\text{crs}, x, \text{Chall})$  such that  $(\mathbf{a}, \text{Chall}, \mathbf{z})$  is computationally indistinguishable from a real transcript with challenge  $\text{Chall}$  (for  $w \in R_{\text{zk}}(x)$ ).*
- **Special soundness:** *For any  $\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}})$  obtained as  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$ ,  $\text{crs}_{\mathcal{L}} \leftarrow \text{Gen}_{\mathcal{L}}(\text{par}, \mathcal{L})$ , any  $x \notin \mathcal{L}_{\text{sound}}$ , and any first message  $\mathbf{a}$  sent by  $\text{P}$ , there is at most one challenge  $\text{Chall} = f(\text{crs}, x, \mathbf{a})$  for which an accepting transcript  $(\text{crs}, x, \mathbf{a}, \text{Chall}, \mathbf{z})$  exists for some third message  $\mathbf{z}$ . The function  $f$  is called the “bad challenge function” of  $\Pi$ . That is, if  $x \notin \mathcal{L}_{\text{sound}}$  and the challenge differs from the bad challenge, the verifier never accepts.*

Definition 6.1 is taken from [CLW19, AJW12] and relaxes the standard special soundness property in that extractability is not required. Instead, it considers a bad challenge function  $f$ , which may not be efficiently computable. Canetti *et al.* [CLW19] define *trapdoor*  $\Sigma$ -protocols as  $\Sigma$ -protocols where the bad challenge function is efficiently computable using a trapdoor. They also define instance-dependent trapdoor  $\Sigma$ -protocol where the trapdoor  $\tau_\Sigma$  should be generated as a function of some instance  $x \notin \mathcal{L}_{\text{sound}}$ . Here, we use a definition where  $x$  need not be known in advance (which is not possible for instance in applications to chosen-ciphertext security, where  $x$  is determined by a decryption query) and the trapdoor does not depend on a specific  $x$ . However, the common reference string and the trapdoor may depend on the language.

The common reference string  $\text{crs} = (\text{par}, \text{crs}_\mathcal{L})$  consists of a fixed part  $\text{par}$  and a language-dependent part  $\text{crs}_\mathcal{L}$  which is generated as a function of  $\text{par}$  and a language parameter  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ .

**Definition 6.2** (Adapted from [CLW19]). *A  $\Sigma$ -protocol  $\Pi = (\text{Gen}_{\text{par}}, \text{Gen}_\mathcal{L}, \text{P}, \text{V})$  with bad challenge function  $f$  for a trapdoor language  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$  is a **trapdoor  $\Sigma$ -protocol** if it satisfies the properties of Definition 6.1 and there exist PPT algorithms  $(\text{TrapGen}, \text{BadChallenge})$  with the following properties.*

- $\text{Gen}_{\text{par}}$  inputs  $\lambda \in \mathbb{N}$  and outputs public parameters  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$ .
- $\text{Gen}_\mathcal{L}$  is a randomized algorithm that, on input of public parameters  $\text{par}$ , outputs the language-dependent  $\text{crs}_\mathcal{L} \leftarrow \text{Gen}_\mathcal{L}(\text{par}, \mathcal{L})$  of  $\text{crs} = (\text{par}, \text{crs}_\mathcal{L})$ .
- $\text{TrapGen}(\text{par}, \mathcal{L}, \tau_\mathcal{L})$  takes as input public parameters  $\text{par}$  and a membership-testing trapdoor  $\tau_\mathcal{L}$  for the language  $\mathcal{L}_{\text{sound}}$ . It outputs a common reference string  $\text{crs}_\mathcal{L}$  and a trapdoor  $\tau_\Sigma$ .
- $\text{BadChallenge}(\tau_\Sigma, \text{crs}, x, \mathbf{a})$  takes in a trapdoor  $\tau_\Sigma$ , a CRS  $\text{crs} = (\text{par}, \text{crs}_\mathcal{L})$ , an instance  $x$ , and a first prover message  $\mathbf{a}$ . It outputs a challenge  $\text{Chall}$ .

In addition, the following properties are required.

- **CRS indistinguishability:** For any  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$ , and any trapdoor  $\tau_\mathcal{L}$  for the language  $\mathcal{L}$ , an honestly generated  $\text{crs}_\mathcal{L}$  is computationally indistinguishable from a CRS produced by  $\text{TrapGen}(\text{par}, \mathcal{L}, \tau_\mathcal{L})$ . Namely, for any PPT distinguisher  $\mathcal{A}$ , we have

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{\text{indist-}\Sigma}(\lambda) &:= |\Pr[\text{crs}_\mathcal{L} \leftarrow \text{Gen}_\mathcal{L}(\text{par}, \mathcal{L}) : \mathcal{A}(\text{par}, \text{crs}_\mathcal{L}) = 1] \\ &\quad - \Pr[(\text{crs}_\mathcal{L}, \tau_\Sigma) \leftarrow \text{TrapGen}(\text{par}, \mathcal{L}, \tau_\mathcal{L}) : \mathcal{A}(\text{par}, \text{crs}_\mathcal{L}) = 1]| \leq \text{negl}(\lambda). \end{aligned}$$

- **Correctness:** For all pairs  $(\text{crs}_\mathcal{L}, \tau_\Sigma) \leftarrow \text{TrapGen}(\text{par}, \mathcal{L}, \tau_\mathcal{L})$ , where  $\tau_\mathcal{L}$  is a language-specific trapdoor, and for any instance  $x \notin \mathcal{L}_{\text{sound}}$  we have

$$\text{BadChallenge}(\tau_\Sigma, \text{crs}, x, \mathbf{a}) = f(\text{crs}, x, \mathbf{a}) .$$

Note that the  $\text{TrapGen}$  algorithm does not take a specific statement  $x$  as input, but only a trapdoor  $\tau_\mathcal{L}$  allowing to recognize elements of  $\mathcal{L}_{\text{sound}}$ .

### 6.1.2 NIZKs and Simulation-Sound Proofs

We recall the definitions of NIZK proofs. Since it is sufficient for our applications, we allow the common reference string to be generated as a function of the language  $\mathcal{L}_R$ .

**Definition 6.3.** A non-interactive zero-knowledge (NIZK) argument system  $\Pi$  for a class of NP relations  $\mathcal{R}$  consists of four PPT algorithms  $(\text{Gen}_{\text{par}}, \text{Gen}_{\mathcal{L}}, \text{P}, \text{V})$  with the following syntax:

- $\text{Gen}_{\text{par}}(1^\lambda)$  inputs a security parameter  $\lambda$  and outputs public parameters  $\text{par}$ .
- $\text{Gen}_{\mathcal{L}}(1^\lambda, R)$  takes as input a security parameter  $\lambda$  and the description of a relation  $R \in \mathcal{R}$  which specifies a statement length  $N$ . It outputs the language-dependent part  $\text{crs}_{\mathcal{L}}$  of the common reference string  $\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}})$ .
- $\text{P}(\text{crs}, x, w)$  is a proving algorithm taking as input the common reference string  $\text{crs}$ , a statement  $x \in \{0, 1\}^N$  and a witness  $w$  such that  $(x, w) \in R$ . It outputs a proof  $\pi$ .
- $\text{V}(\text{crs}, x, \pi)$  is a verification algorithm taking as input a common reference string  $\text{crs}$ , a statement  $x \in \{0, 1\}^N$ , and a proof  $\pi$ . It outputs 1 or 0.

Moreover,  $\Pi$  should satisfy the following properties. For simplification we denote below by  $\text{Setup}$  an algorithm that runs successively  $\text{Gen}_{\text{par}}$  and  $\text{Gen}_{\mathcal{L}}$  to generate a common reference string.

- **Completeness:** For any  $(x, w) \in R$ , we have

$$\Pr \left[ \text{crs} \leftarrow \text{Setup}(1^\lambda, R), \pi \leftarrow \text{P}(\text{crs}, x, w) : \text{V}(\text{crs}, x, \pi) = 1 \right] \geq 1 - \text{negl}(\lambda) .$$

- **Soundness (non-adaptive):** Let the language  $\mathcal{L}_R = \{x \mid \exists w \text{ s.t. } (x, w) \in R\}$  associated with  $R$ . For any  $x \in \{0, 1\}^N \setminus \mathcal{L}_R$  and any PPT prover  $P^*$ , we have

$$\Pr \left[ \text{crs} \leftarrow \text{Setup}(1^\lambda, R), \pi \leftarrow P^*(\text{crs}, x) : \text{V}(\text{crs}, x, \pi) = 1 \right] \leq \text{negl}(\lambda) .$$

- **Zero-Knowledge:** There is a PPT simulator  $(\text{Sim}_0, \text{Sim}_1)$  such that, for any PPT adversary  $\mathcal{A}$ , we have

$$\begin{aligned} & \left| \Pr[\text{crs} \leftarrow \text{Setup}(1^\lambda, R) : \mathcal{A}^{\text{P}(\text{crs}, \cdot)}(\text{crs}) = 1] \right. \\ & \quad \left. - \Pr[(\text{crs}, \tau_{\text{zk}}) \leftarrow \text{Sim}_0(1^\lambda, R) : \mathcal{A}^{\mathcal{O}(\text{crs}, \tau_{\text{zk}}, \cdot)}(\text{crs}) = 1] \right| \leq \text{negl}(\lambda) . \end{aligned}$$

Here,  $\text{P}(\text{crs}, \cdot, \cdot)$  is an oracle that outputs  $\perp$  on input of  $(x, w) \notin R$  and outputs a valid proof  $\pi \leftarrow \text{P}(\text{crs}, x, w)$  otherwise;  $\mathcal{O}(\text{crs}, \tau_{\text{zk}}, \cdot, \cdot)$  is an oracle that outputs  $\perp$  on input of  $(x, w) \notin R$  and outputs a simulated proof  $\pi \leftarrow \text{Sim}_1(\text{crs}, \tau_{\text{zk}}, x)$  on input of a pair  $(x, w) \in R$ . Note that this simulated proof  $\pi$  is generated independently of the witness  $w$  provided as input.<sup>1</sup>

<sup>1</sup>In particular,  $\text{Sim}_1$  can be run on any statement  $x$ , even  $x \notin \mathcal{L}_R$ . This is central in the definition of unbounded simulation soundness (Definition 6.4).

Definition 6.3 captures a notion of multi-theorem zero-knowledge, which allows the adversary to obtain proofs for multiple statements. Feige *et al.* [FLS99] gave a generic transformation of a multi-theorem NIZK argument system from a single-theorem one (where the adversary can only invoke the oracle once).

**SIMULATION-SOUNDNESS.** We now recall the definition of simulation-soundness introduced in [Sah99], which informally captures the adversary’s inability to create a new proof for a false statement  $x^*$  even after having seen simulated proofs for possibly false statements  $\{x_i\}_i$  of its choice.

In the following, in order to allow a challenger to efficiently check the winning condition (ii) in the security experiment, we restrict ourselves to *trapdoor languages*, where a language-specific trapdoor  $\tau_{\mathcal{L}}$  makes it possible to determine if a given statement  $x^* \in \{0, 1\}^N$  belongs to the language  $\mathcal{L}_R$  with overwhelming probability.

**Definition 6.4** ([Sah99, SCO<sup>+</sup>01]). *A NIZK argument system for a class of relations  $\mathcal{R}$  provides **unbounded simulation soundness** if no PPT adversary has noticeable advantage in this game.*

1. *The challenger chooses a relation  $R \in \mathcal{R}$  together with a membership testing trapdoor  $\tau_{\mathcal{L}}$  that allows recognizing elements of  $\mathcal{L}_R$ . Let  $\text{Sim} = (\text{Sim}_0, \text{Sim}_1)$  be an efficient NIZK simulator for  $\mathcal{L}_R$ . The challenger generates  $(\text{crs}, \tau_{\text{zk}}) \leftarrow \text{Sim}_0(1^\lambda, R)$  and gives  $(\text{crs}, \tau_{\mathcal{L}})$  to the adversary  $\mathcal{A}$ .*
2.  *$\mathcal{A}$  is given oracle access to  $\text{Sim}_1(\text{crs}, \tau_{\text{zk}}, \cdot)$ . At each query,  $\mathcal{A}$  chooses a statement  $x \in \{0, 1\}^N$  and obtains  $\pi \leftarrow \text{Sim}_1(\text{crs}, \tau_{\text{zk}}, x)$ .*
3.  *$\mathcal{A}$  outputs  $(x^*, \pi^*)$ .*

*Let  $\mathcal{Q}$  be the set of all simulation queries and responses  $(x_i, \pi_i)$  made by  $\mathcal{A}$ . The adversary  $\mathcal{A}$  wins if the following conditions are satisfied: (i)  $(x^*, \pi^*) \notin \mathcal{Q}$ ; (ii)  $x^* \notin \mathcal{L}_R$ ; and (iii)  $\bigvee(\text{crs}, x^*, \pi^*) = 1$ . The adversary’s advantage  $\text{Adv}_{\mathcal{A}}^{\text{USS}}(\lambda)$  is its probability of success taken over all coin tosses.*

### 6.1.3 Correlation Intractable Hash Functions

We consider unique-output searchable binary relations [CCH<sup>+</sup>19]. These are binary relations such that, for every  $x$ , there is at most one  $y$  such that  $R(x, y) = 1$  and  $y$  is efficiently computable from  $x$ .

**Definition 6.5.** *A relation  $R \subseteq \mathcal{X} \times \mathcal{Y}$  is **searchable** in size  $S$  if there exists a function  $f : \mathcal{X} \rightarrow \mathcal{Y}$  which is computable by a size  $S$  boolean circuit such that, if there exists  $y$  such that  $(x, y) \in R$ , then  $f(x) = y$ .*

Letting  $\lambda \in \mathbb{N}$  denote a security parameter, a hash family with input length  $n(\lambda)$  and output length  $m(\lambda)$  is a collection  $\mathcal{H} = \{h_\lambda : \{0, 1\}^{s(\lambda)} \times \{0, 1\}^{n(\lambda)} \rightarrow \{0, 1\}^{m(\lambda)}\}$

of keyed hash functions implemented by efficient algorithms (Gen, Hash), where  $\text{Gen}(1^\lambda)$  outputs a key  $k \in \{0, 1\}^{s(\lambda)}$  and  $\text{Hash}(k, x)$  computes a hash value  $h_\lambda(k, x) \in \{0, 1\}^{m(\lambda)}$ .

**Definition 6.6.** For a relation class  $\mathcal{R} = \{\mathcal{R}_\lambda \subseteq \{0, 1\}^{n(\lambda)} \times \{0, 1\}^{m(\lambda)}\}$ , i.e. a set of relations for each  $\lambda$ , a hash function family  $\mathcal{H} = \{h_\lambda : \{0, 1\}^{s(\lambda)} \times \{0, 1\}^{n(\lambda)} \rightarrow \{0, 1\}^{m(\lambda)}\}$  is  **$\mathcal{R}$ -correlation intractable** if, for any probabilistic polynomial time (PPT) adversary  $\mathcal{A}$  there is a negligible function  $\nu$ , such that for any  $R \in \mathcal{R}_\lambda$  we have

$$\Pr \left[ k \leftarrow \text{Gen}(1^\lambda), x \leftarrow \mathcal{A}(k) : (x, h_\lambda(k, x)) \in R \right] \leq \nu(\lambda).$$

**Definition 6.7** ([CLW19, CCH<sup>+</sup>19]). Given a relation ensemble  $\mathcal{R} = \{\mathcal{R}_\lambda\}$ , a hash family  $\mathcal{H}$  is **somewhere statistically correlation intractable** w.r.t.  $\mathcal{R}$  if there is an efficient algorithm  $\text{StatGen}$  with the following properties:

- $\text{StatGen}(1^\lambda, \text{aux})$  is a fake key generation that takes as input a security parameter  $\lambda$  and an auxiliary input  $\text{aux}$ . It outputs a hashing key  $k$ .
- For any relation  $R \in \mathcal{R}_\lambda$ , there is an auxiliary input  $\text{aux}_R$  with the following properties:
  - **Key indistinguishability:** The distributions  $\{k \mid k \leftarrow \text{Gen}(1^\lambda)\}$  and  $\{k \mid k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_R)\}$  are computationally indistinguishable. For any PPT distinguisher  $\mathcal{A}$ , the following function should be negligible:

$$\text{Adv}_{\mathcal{A}}^{\text{indist-CI}}(\lambda) := |\Pr[k \leftarrow \text{Gen}(1^\lambda) : \mathcal{A}(k, \text{aux}_R) = 1] - \Pr[k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_R) : \mathcal{A}(k, \text{aux}_R) = 1]| .$$

- **Statistical Correlation Intractability:** With overwhelming probability over the choice of  $k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_R)$ , no pair  $(k, h(k, x))$  satisfies  $R$ :

$$\Pr_{k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_R)} \left[ \exists x \in \{0, 1\}^{n(\lambda)} : (x, h(k, x)) \in R \right] \leq 2^{-\Omega(\lambda)} .$$

Peikert and Shiehian [PS19] described a somewhere correlation-intractable hash family for any searchable relation (in the sense of Definition 6.5) defined by functions  $f$  of bounded depth. Their construction relies on the standard LWE assumption with polynomial approximation factors.

Here, we explicitly require the key indistinguishability property to hold even when the adversary is given the auxiliary information  $\text{aux}_R$  associated with the relation. However, this property is satisfied by the LWE-based construction of [PS19], where  $\text{aux}_R$  is the description of the circuit that evaluates the relation.

### 6.1.4 Strongly Unforgeable One-Time-Signatures

**Definition 6.8.** A signature scheme  $\text{OTS} = (\mathcal{G}, \mathcal{S}, \mathcal{V})$  is *Strongly Unforgeable One-Time-Signature (OTS)* if any PPT adversary  $\mathcal{A}$  has only negligible advantage in producing a forgery in the following game.

1.  $(\text{SK}, \text{VK}) \leftarrow \mathcal{G}(1^\lambda)$  and the verification key  $\text{VK}$  is given to  $\mathcal{A}$ .
2. The adversary can obtain a signature  $\sigma \leftarrow \mathcal{S}(\text{SK}, m)$  for a single message  $m$  of his choosing.
3. The adversary outputs a forgery  $(m^*, \sigma^*)$ .

We define the advantage of  $\mathcal{A}$  winning the game as:

$$\text{Adv}_{\mathcal{A}}^{\text{ots}}(1^\lambda) := \Pr[\mathcal{V}(\text{VK}, (m^*, \sigma^*)) = 1 \wedge (m^*, \sigma^*) \neq (m, \sigma)],$$

where the probabilities are taken over  $(\text{SK}, \text{VK}) \leftarrow \mathcal{G}(1^\lambda)$ ,  $(m^*, \sigma^*) \leftarrow \mathcal{A}(\text{VK}, \sigma)$ ,  $\sigma \leftarrow \mathcal{S}(\text{SK}, m)$  and the internal randomness of  $\mathcal{A}$ .

### 6.1.5 Lossy Encryption With Efficient Opening

We recall the notion of lossy encryption with efficient opening as considered by Bellare *et al.* [BHY09].

**Definition 6.9.** A lossy PKE scheme with efficient opening is a tuple of PPT algorithms  $(\text{Par-Gen}, \text{Keygen}, \text{LKeygen}, \text{Encrypt}, \text{Decrypt}, \text{Opener})$  such that:

**Public parameters:**  $\text{Par-Gen}$  inputs a security parameter  $\lambda \in \mathbb{N}$  and outputs public parameters  $\Gamma$ , which specify a message space  $\text{MsgSp}$ , a ciphertext space  $\text{CtSp}$  and a randomness space  $R^{\text{LPKE}}$ .

**Key generation:** On input of public parameters  $\Gamma$ ,  $\text{Keygen}$  outputs an injective public key  $pk \in \mathcal{PK}$  and a secret key  $sk \in \mathcal{SK}$ .

**Lossy Key generation:** On input of public parameters  $\Gamma$ ,  $\text{LKeygen}$  outputs a lossy public key  $pk \in \mathcal{PK}$  and a lossy secret key  $sk \in \mathcal{SK}$ .

**Decryption under injective keys:** For any  $\Gamma \leftarrow \text{Par-Gen}(1^\lambda)$ , any injective key pair  $(pk, sk) \leftarrow \text{Keygen}(\Gamma)$ , and any message  $\text{Msg} \in \text{MsgSp}$ , we have

$$\Pr[\exists r \in R^{\text{LPKE}} : \text{Decrypt}(sk, \text{Encrypt}(pk, \text{Msg}; r)) \neq \text{Msg}] < \nu(\lambda) ,$$

for some negligible function  $\nu(\lambda)$ , where  $(pk, sk) \leftarrow \text{Keygen}(1^\lambda)$  and the probability is taken over the randomness of  $\text{Keygen}$ .

**Indistinguishability:** The distributions  $D_{\text{inj}} = \{pk \mid (pk, sk) \leftarrow \text{Keygen}(1^\lambda)\}$  and  $D_{\text{loss}} = \{pk \mid (pk, sk) \leftarrow \text{LKeygen}(1^\lambda)\}$  are indistinguishable. For any PPT adversary, we have  $\text{Adv}^{\text{indist-LPKE}}(\lambda) \leq \text{negl}(\lambda)$ , where

$$\text{Adv}^{\text{indist-LPKE}}(\lambda) := |\Pr[pk \leftarrow D_{\text{inj}} : \mathcal{A}(pk) = 1] - \Pr[pk \leftarrow D_{\text{loss}} : \mathcal{A}(pk) = 1]| .$$

**Lossiness under lossy keys:** For any  $(pk, sk) \leftarrow \text{LKeygen}(1^\lambda)$  and any two messages  $\text{Msg}_0, \text{Msg}_1 \in \text{MsgSp}$ , the distributions

$$\{C \mid C \leftarrow \text{Encrypt}(pk, \text{Msg}_0)\} \approx_s \{C \mid C \leftarrow \text{Encrypt}(pk, \text{Msg}_1)\},$$

are statistically close.

**Efficient opening under lossy keys:** Let  $D_R$  the distribution over  $R^{\text{LPKE}}$ , that samples random coins for the `Encrypt` algorithm. For any  $\text{Msg} \in \text{MsgSp}$  and ciphertext  $C$ , let  $D_{PK, \text{Msg}, C}$  denote the probability distribution on  $R^{\text{LPKE}}$  with support  $S_{PK, \text{Msg}, C} = \{\bar{r} \in R^{\text{LPKE}} \mid \text{Encrypt}(pk, \text{Msg}, \bar{r}) = C\}$ , and such that, for each  $\bar{r} \in S_{PK, \text{Msg}, C}$ , we have

$$D_{PK, \text{Msg}, C}(\bar{r}) = \Pr_{r' \leftarrow D_R} [r' = \bar{r} \mid \text{Encrypt}(pk, \text{Msg}, r') = C] .$$

There is a PPT sampling algorithm `Opener` such that, for any keys  $(pk, sk) \leftarrow \text{LKeygen}(1^\lambda)$ , any randomness  $r \leftarrow D_R$ , and any  $\text{Msg}_0, \text{Msg}_1 \in \text{MsgSp}$ , takes as inputs  $C = \text{Encrypt}(pk, \text{Msg}_0, r)$ ,  $\text{Msg}_1$  and the lossy keys  $(pk, sk)$  and outputs an independent sample  $\bar{r}$  from a distribution that is statistically close to  $D_{PK, \text{Msg}_1, C}$ .

The variant of Regev's cryptosystem suggested in [GPV08, Section 8.1] where encryption coins are sampled from a Gaussian distribution is a Lossy PKE with efficient opening.

### 6.1.6 R-Lossy Public-Key Encryption With Efficient Opening

We generalize the lossy encryption notion from the previous section and the notion of  $R$ -lossy public-key encryption introduced by Boyle *et al.* [BSW11a]. As defined in [BSW11a], it is a tag-based encryption scheme [Kil06] where the tag space  $\mathcal{T}$  is partitioned into a set of *injective* tags and a set of *lossy* tags. When ciphertexts are generated for an injective tag, the decryption algorithm correctly recovers the underlying plaintext. When messages are encrypted under lossy tags, the ciphertext is statistically independent of the plaintext. In  $R$ -lossy PKE schemes, the tag space is partitioned according to a binary relation  $R \subseteq \mathcal{K} \times \mathcal{T}$ . The key generation algorithm takes as input an initialization value  $K \in \mathcal{K}$  and partitions  $\mathcal{T}$  in such a way that injective tags  $t \in \mathcal{T}$  are exactly those for which  $(K, t) \in R$  (i.e., all tags  $t$  for which  $(K, t) \notin R$  are lossy).



From a security standpoint, we require the existence of a lossy key generation algorithm  $LKeygen$  which outputs public keys with respect to which all tags  $t$  are lossy (in contrast with injective keys where the only lossy tags are those for which  $(K, t) \notin R$ ). Second, we also ask that the secret key makes it possible to equivocate lossy ciphertexts (a property called *efficient opening* by Bellare *et al.* [BHY09]) using an algorithm called  $Opener$ . Finally, we use two distinct opening algorithms  $Opener$  and  $LOpener$ . The former operates over injective public keys for lossy tags while the latter can equivocate ciphertexts encrypted under lossy keys for any tag.

**Definition 6.10.** *Let  $R \subseteq \mathcal{K}_\lambda \times \mathcal{T}_\lambda$  be an efficiently computable binary relation. An  $R$ -lossy PKE scheme with efficient opening is a 7-tuple of PPT algorithms,*

*(Par-Gen, Keygen, LKeygen, Encrypt, Decrypt, Opener, LOpener), such that:*

**Parameter generation:** *On input a security parameter  $\lambda$ , Par-Gen( $1^\lambda$ ) outputs public parameters  $\Gamma$ .*

**Key generation:** *For an initialization value  $K \in \mathcal{K}_\lambda$  and public parameters  $\Gamma$ , algorithm Keygen( $\Gamma, K$ ) outputs an injective public key  $pk \in \mathcal{PK}$ , a decryption key  $sk \in \mathcal{SK}$  and a trapdoor key  $tk \in \mathcal{TK}$ . The public key specifies a message space  $MsgSp$ , ciphertext space  $CtSp$  and a randomness space  $R^{LPKE}$ .*

**Lossy Key generation:** *Given an initialization value  $K \in \mathcal{K}_\lambda$  and public parameters  $\Gamma$ , the lossy key generation algorithm  $LKeygen(\Gamma, K)$  outputs a lossy public key  $pk \in \mathcal{PK}$ , a lossy secret key  $sk \in \mathcal{SK}$  and a trapdoor key  $tk \in \mathcal{TK}$ .*

**Decryption under injective tags:** *For any initialization value  $K \in \mathcal{K}$ , any tag  $t \in \mathcal{T}$  such that  $(K, t) \in R$ , and any message  $Msg \in MsgSp$ , we have*

$$\Pr \left[ \exists r \in R^{LPKE} : \text{Decrypt}(sk, t, \text{Encrypt}(pk, t, \text{Msg}; r)) \neq \text{Msg} \right] < v(\lambda) ,$$

*for some negligible function  $v(\lambda)$ , where  $(pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)$  and the probability is taken over the randomness of Keygen.*

**Indistinguishability:** *Algorithms  $LKeygen$  and Keygen satisfy the following:*

(i) *For any  $K \in \mathcal{K}_\lambda$ , the distributions*

$$D_{inj} = \{(pk, tk) \mid (pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)\},$$

$$D_{loss} = \{(pk, tk) \mid (pk, sk, tk) \leftarrow LKeygen(\Gamma, K)\}$$

*are computationally indistinguishable. For any PPT adversary  $\mathcal{A}$ , we have  $\text{Adv}_{\mathcal{A}}^{\text{indist-LPKE-1}}(\lambda) \leq \text{negl}(\lambda)$ , where*

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{\text{indist-LPKE-1}}(\lambda) := & \left| \Pr[(pk, tk) \leftarrow D_{inj} : \mathcal{A}(pk, tk) = 1] \right. \\ & \left. - \Pr[(pk, tk) \leftarrow D_{loss} : \mathcal{A}(pk, tk) = 1] \right| . \end{aligned}$$



(ii) For any distinct initialization values  $K, K' \in \mathcal{K}_\lambda$ , the following distributions

$$\{pk \mid (pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)\},$$

$$\{pk \mid (pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K')\}$$

are statistically indistinguishable. We require them to be  $2^{-\Omega(\lambda)}$ -close in terms of statistical distance.

**Lossiness under lossy tags:** For any initialization value  $K \in \mathcal{K}_\lambda$  and tag  $t \in \mathcal{T}_\lambda$  such that  $(K, t) \notin R$ , any  $(pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)$ , and any  $\text{Msg}_0, \text{Msg}_1 \in \text{MsgSp}$ , the following distributions are statistically close:

$$\{C \mid C \leftarrow \text{Encrypt}(pk, t, \text{Msg}_0)\} \approx_s \{C \mid C \leftarrow \text{Encrypt}(pk, t, \text{Msg}_1)\}.$$

**Efficient opening under lossy tags:** Let  $D_R$  denote the distribution, defined over the randomness space  $R^{\text{LPKE}}$ , from which the random coins used by  $\text{Encrypt}$  are sampled. For any message  $\text{Msg} \in \text{MsgSp}$  and ciphertext  $C$ , let  $D_{PK, \text{Msg}, C, t}$  denote the probability distribution on  $R^{\text{LPKE}}$  with support

$$S_{PK, \text{Msg}, C, t} = \{\bar{r} \in R^{\text{LPKE}} \mid \text{Encrypt}(pk, t, \text{Msg}, \bar{r}) = C\},$$

and such that, for each  $\bar{r} \in S_{PK, \text{Msg}, C, t}$ , we have

$$D_{PK, \text{Msg}, C, t}(\bar{r}) = \Pr_{r' \leftarrow D_R} [r' = \bar{r} \mid \text{Encrypt}(pk, t, \text{Msg}, r') = C].$$

There exists a PPT algorithm  $\text{Opener}$  such that, for any  $K \in \mathcal{K}_\lambda$ , any keys  $(pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)$  and  $(pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)$ , any random coins  $r \leftarrow D_R$ , any tag  $t \in \mathcal{T}_\lambda$  such that  $(K, t) \notin R$ , and any  $\text{Msg}_0, \text{Msg}_1 \in \text{MsgSp}$ , takes as inputs  $pk, \text{Msg}_1, C = \text{Encrypt}(pk, t, \text{Msg}_0, r)$ ,  $t$  and  $tk$ . It outputs a sample  $\bar{r}$  from a distribution statistically close to  $D_{PK, \text{Msg}_1, C, t}$ .

**Efficient opening under lossy keys:** There exists an efficient sampling algorithm  $\text{LOpener}$  such that, for any  $K \in \mathcal{K}_\lambda$ , any keys  $(pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)$ , any random coins  $r \leftarrow D_R$ , any tag  $t \in \mathcal{T}_\lambda$ , and any distinct  $\text{Msg}_0, \text{Msg}_1 \in \text{MsgSp}$ , takes as input  $pk, \text{Msg}_1, C = \text{Encrypt}(pk, t, \text{Msg}_0, r)$ ,  $t$  and  $sk$ . It outputs a sample  $\bar{r}$  from a distribution statistically close to  $D_{PK, \text{Msg}_1, C, t}$ .

In Definition 6.10, some of the first four properties were defined in [BSW11a, Definition 4.1]. The last two properties are a natural extension of the definition of efficient opening introduced by Bellare *et al.* [BHY09]. We note that property of decryption under injective tags does not assume that random coins are honestly sampled, but only that they belong to some pre-defined set  $R^{\text{LPKE}}$ .

## 6.2 An $R_{\text{BM}}$ -Lossy PKE Scheme from LWE

In this section we describe an  $R_{\text{BM}}$ -lossy PKE scheme below. Our scheme builds on a variant of the primal Regev cryptosystem [Reg05] suggested in [GPV08].

**Definition 6.11.** Let  $\mathcal{K} = \{0, 1, \perp\}^L$  and  $\mathcal{T} = \{0, 1\}^\ell$ , for some  $\ell, L \in \text{poly}(\lambda)$  such that  $\ell < L$ . Let  $F_{\text{ADH}}$  the partitioning function defined by  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$  in Definition 2.1. The **bit-matching relation**  $R_{\text{BM}} : \mathcal{K} \times \mathcal{T} \rightarrow \{0, 1\}$  for AHF is the relation where  $R_{\text{BM}}(K, t) = 1$  if and only if  $K = K_1 \dots K_L$  and  $t = t_1 \dots t_\ell$  satisfy  $F_{\text{ADH}}(K, t) = 0$  (namely,  $\bigwedge_{i=1}^L (K_i = \perp) \vee (K_i = \text{AHF}(t_i))$ ).

### 6.2.1 The Construction

Let  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$  an admissible hash function (Definition 2.1) with key space  $\mathcal{K} = \{0, 1, \perp\}^L$  and let  $R_{\text{BM}} \subset \mathcal{K} \times \{0, 1\}^\ell$  the corresponding bit-matching relation. We construct an  $R_{\text{BM}}$ -lossy PKE scheme in the following way.

**Par-Gen**( $1^\lambda$ ): Given a security parameter  $\lambda \in \mathbb{N}$ , let  $n_0 = \text{poly}(\lambda)$  the length of messages. Choose a prime modulus  $q = \text{poly}(\lambda)$ ; dimensions  $n = n_0 + \Omega(\lambda)$  and  $m = 2n \lceil \log q \rceil + O(\lambda)$ . Define the tag space as  $\mathcal{T} = \{0, 1\}^\ell$  where  $\ell = \Theta(\lambda)$ . Define the initialization value space  $\mathcal{K} = \{0, 1, \perp\}^L$  and Gaussian parameters  $\sigma = O(m) \cdot L$  and  $\alpha \in (0, 1)$  such that  $m^2 \alpha q \cdot (L + 1) \cdot \sigma \sqrt{2m} < q/4$ . Define public parameters as  $\Gamma = (\ell, L, n_0, q, n, m, u, \alpha, \sigma)$ .

**Keygen**( $\Gamma, K$ ): On input of public parameters  $\Gamma$  and an initialization value  $K \in \{0, 1, \perp\}^L$ , generate a key pair as follows.

1. Sample random matrices  $\bar{\mathbf{B}} \leftarrow U(\mathbb{Z}_q^{(n-n_0) \times m})$ ,  $\mathbf{S} \leftarrow U(\mathbb{Z}_q^{(n-n_0) \times n_0})$  and a small-norm  $\mathbf{E} \leftarrow D_{\mathbb{Z}^{m \times n_0}, \alpha q}$  to compute

$$\mathbf{A} = \begin{bmatrix} \bar{\mathbf{B}} \\ \mathbf{S}^\top \cdot \bar{\mathbf{B}} + \mathbf{E}^\top \end{bmatrix} \in \mathbb{Z}_q^{n \times m}.$$

2. Parse  $K$  as  $K_1 \dots K_L \in \{0, 1, \perp\}^L$ . Letting  $\mathbf{G} \in \mathbb{Z}_q^{n \times m}$  denote the gadget matrix, for each  $i \in [L]$  and  $b \in \{0, 1\}$ , compute matrices  $\mathbf{A}_{i,b} \in \mathbb{Z}_q^{n \times m}$  as

$$\mathbf{A}_{i,b} = \begin{cases} \mathbf{A} \cdot \mathbf{R}_{i,b} + \mathbf{G} & \text{if } (K_i \neq \perp) \wedge (b = 1 - K_i) \\ \mathbf{A} \cdot \mathbf{R}_{i,b} & \text{if } (K_i = \perp) \vee (b = K_i). \end{cases} \quad (6.1)$$

where  $\mathbf{R}_{i,b} \leftarrow U(\{-1, 1\}^{m \times m})$  for all  $i \in [L]$  and  $b \in \{0, 1\}$ .

Define  $R^{\text{LPKE}} = \{\mathbf{r} \in \mathbb{Z}^{2m} \mid \|\mathbf{r}\| \leq \sigma \sqrt{2m}\}$  and output  $sk = (K, \mathbf{S})$  as well as

$$pk := \left( \mathbf{A}, \{\mathbf{A}_{i,b}\}_{(i,b) \in [L] \times \{0,1\}} \right), \quad tk = (K, \{\mathbf{R}_{i,b}\}_{(i,b) \in [L] \times \{0,1\}}).$$

**LKeygen**( $\Gamma, K$ ): This algorithm proceeds identically to Keygen except that steps 1 and 2 are modified in the following way.

1. Run  $(\mathbf{A}, \mathbf{T}_\mathbf{A}) \leftarrow \text{TrapGen}(1^m, 1^n)$  so as to obtain a statistically uniform matrix  $\mathbf{A} \sim U(\mathbb{Z}_q^{n \times m})$  with a trapdoor for the lattice  $\Lambda^\perp(\mathbf{A})$  (Lemma 2.8). Notice  $m = 2n \lceil \log q \rceil + O(\lambda)$  is required by Lemma 2.8 in order to run algorithm TrapGen.
2. Define matrices  $\{\mathbf{A}_{i,b} \in \mathbb{Z}_q^{n \times m}\}_{(i,b) \in [L] \times \{0,1\}}$  as in (6.1).

Define  $R^{\text{LPKE}}$  as in Keygen and output

$$pk := \left( \mathbf{A}, \{\mathbf{A}_{i,b}\}_{(i,b) \in [L] \times \{0,1\}} \right), \quad sk = \mathbf{T}_\mathbf{A}, \quad tk = (K, \{\mathbf{R}_{i,b}\}_{(i,b) \in [L] \times \{0,1\}}).$$

**Encrypt**( $pk, t, \text{Msg}$ ): To encrypt  $\text{Msg} \in \{0, 1\}^{n_0}$  for the tag  $t = t_1 \dots t_\ell \in \{0, 1\}^\ell$ , conduct the following steps.

1. Encode the tag  $t$  as  $t' = t'_1 \dots t'_L = \text{AHF}(t) \in \{0, 1\}^L$  and compute  $\mathbf{A}_{F,t} = \sum_{i=1}^L \mathbf{A}_{i,t'_i} \in \mathbb{Z}_q^{n \times m}$ . Note that  $\mathbf{A}_{F,t} = \mathbf{A} \cdot \mathbf{R}_{F,t} + d_t \cdot \mathbf{G}$  for some  $\mathbf{R}_{F,t} \in \mathbb{Z}^{m \times m}$  of norm  $\|\mathbf{R}_{F,t}\|_\infty \leq L \cdot m$  and where  $d_t \in \{0, \dots, L\}$  is the number of non- $\perp$  entries of  $K$  for which  $K_i \neq t'_i$ .
2. Choose  $\mathbf{r} \leftarrow D_{\mathbb{Z}^{2m}, \sigma}$  and output  $\perp$  if  $\mathbf{r} \notin R^{\text{LPKE}}$ . Otherwise, output

$$\mathbf{c} = [\mathbf{A} \mid \mathbf{A}_{F,t}] \cdot \mathbf{r} + \begin{bmatrix} \mathbf{0}^{n-n_0} \\ \text{Msg} \cdot \lfloor q/2 \rfloor \end{bmatrix} \in \mathbb{Z}_q^n. \quad (6.2)$$

**Decrypt**( $sk, t, \mathbf{c}$ ): Given  $sk = (K, \mathbf{S})$  and the tag  $t \in \{0, 1\}^\ell$ , compute  $t' = t'_1 \dots t'_L = \text{AHF}(t) \in \{0, 1\}^L$  and return  $\perp$  if  $R_{\text{BM}}(K, t') = 0$ . Otherwise, compute  $\mathbf{w} = [-\mathbf{S}^\top \mid \mathbf{I}_{n_0}] \cdot \mathbf{c} \in \mathbb{Z}_q^{n_0}$ . For each  $i \in [n_0]$ , do the following:

1. If neither  $\mathbf{w}[i]$  nor  $|\mathbf{w}[i] - \lfloor q/2 \rfloor|$  is close to 0, halt and return  $\perp$ .
2. Otherwise, set  $\text{Msg}[i] \in \{0, 1\}$  so as to minimize  $|\mathbf{w}[i] - \text{Msg}[i] \cdot \lfloor q/2 \rfloor|$ .

Return  $\text{Msg} = \text{Msg}[1] \dots \text{Msg}[n_0]$ .

**Opener**( $pk, tk, t, \mathbf{c}, \text{Msg}_1$ ): Given  $tk = (K, \{\mathbf{R}_{i,b}\}_{i,b})$  and  $t \in \{0, 1\}^\ell$ , compute  $t' = t'_1 \dots t'_L = \text{AHF}(t) \in \{0, 1\}^L$  and return  $\perp$  if  $R_{\text{BM}}(K, t') = 1$ . Otherwise,

1. Compute the small-norm matrix  $\mathbf{R}_{F,t} = \sum_{i=1}^L \mathbf{R}_{i,t'_i} \in \mathbb{Z}^{m \times m}$  such that  $\mathbf{A}_{F,t} = \mathbf{A} \cdot \mathbf{R}_{F,t} + d_t \cdot \mathbf{G}$  and  $\|\mathbf{R}_{F,t}\|_\infty \leq L \cdot m$  with  $d_t \in [L]$ .

2. Use  $\mathbf{R}_{F,t} \in \mathbb{Z}^{m \times m}$  as a trapdoor for the matrix

$$\bar{\mathbf{A}}_{F,t} = [\mathbf{A} \mid \mathbf{A}_{F,t}] = [\mathbf{A} \mid \mathbf{A} \cdot \mathbf{R}_{F,t} + d_t \cdot \mathbf{G}] \in \mathbb{Z}_q^{n \times 2m}$$

to sample a Gaussian vector  $\bar{\mathbf{r}} \in \mathbb{Z}^{2m}$  such that

$$\bar{\mathbf{A}}_{F,t} \cdot \bar{\mathbf{r}} = \mathbf{c} - \begin{bmatrix} \mathbf{0}^{n-n_0} \\ \text{Msg}_1 \cdot \lfloor q/2 \rfloor \end{bmatrix}. \quad (6.3)$$

Namely, defining  $\mathbf{c}_{\text{Msg}_1} = \mathbf{c} - [(\mathbf{0}^{n-n_0})^\top \mid \text{Msg}_1^\top \cdot \lfloor q/2 \rfloor]^\top$ , sample and output fake random coins  $\bar{\mathbf{r}} \leftarrow D_{\Lambda_q^{\text{cMsg}_1}(\bar{\mathbf{A}}_{F,t}), \sigma}$ .

**LOpener**( $sk, t, \mathbf{c}, \text{Msg}_1$ ): Given  $sk = \mathbf{T}_A$  and  $t \in \{0, 1\}^\ell$ , use  $\mathbf{T}_A$  to derive a trapdoor  $\mathbf{T}_{A,t}$  for the lattice  $\Lambda_q^\perp(\bar{\mathbf{A}}_{F,t})$  and use  $\mathbf{T}_{A,t}$  to sample a Gaussian vector  $\bar{\mathbf{r}} \leftarrow D_{\Lambda_q^{\text{cMsg}_1}(\bar{\mathbf{A}}_{F,t}), \sigma}$  satisfying (6.3).

### 6.2.2 Security

The Theorem below states that the construction has the required properties under the LWE assumption.

**Theorem 6.1.** *The above construction is an  $R_{\text{BM}}$ -lossy public-key encryption scheme with efficient opening under the LWE assumption.*

*Proof.* To prove the statement, we prove that the scheme enables correct decryption with overwhelming probability in injective mode. We also prove the indistinguishability properties using the LWE assumption on one occasion.

**Decryption under injective tags.** For any initialization value  $K \in \mathcal{K}$ , any injective tag  $t \in \{0, 1\}^\ell$  (i.e.  $(K, t) \in R_{\text{BM}}$ ), any message  $\text{Msg} \in \{0, 1\}^{n_0}$ , and any encryption  $\mathbf{c} \in \mathbb{Z}_q^n$  of  $\text{Msg}$  under the  $\text{pk} = (\mathbf{A}, \{\mathbf{A}_{i,b}\}_{i,b})$  and  $t$ , we have:

$$[-\mathbf{S}^\top \mid \mathbf{I}_{n_0}] \cdot \mathbf{c} = \mathbf{E}^\top \cdot [\mathbf{I}_m \mid \mathbf{R}_{F,t}] \cdot \mathbf{r} + \text{Msg} \cdot \lfloor q/2 \rfloor \in \mathbb{Z}_q^{n_0}$$

We show that, for any  $\mathbf{r} \in \mathbb{Z}^{2m}$  of norm smaller than  $\|\mathbf{r}\|_\infty \leq \|\mathbf{r}\| \leq \sigma\sqrt{2m}$ , we have  $\|\mathbf{E}^\top [\mathbf{I}_m \mid \mathbf{R}_{F,t}] \cdot \mathbf{r}\|_\infty < q/4$  with overwhelming probability over the randomness of  $\text{Keygen}$ , so that the decryption algorithm recovers the message. To prove this, notice that our definition of the randomness space  $R^{\text{LPKE}}$  imposes  $\|\mathbf{r}\|_\infty \leq \|\mathbf{r}\| \leq \sigma\sqrt{2m}$ . Besides, we also have

$$\|[\mathbf{I}_m \mid \mathbf{R}_{F,t}]\|_\infty \leq 1 + \|\mathbf{R}_{F,t}\|_\infty \leq 1 + L \cdot m$$

with probability 1 and

$$\|\mathbf{E}^\top\|_\infty = \max_{i \in [n_0]} \sum_{j=1}^m |e_{ij}| \leq \sqrt{m} \cdot \max_{i \in [n_0]} \sqrt{\sum_{j=1}^m e_{ij}^2} \leq m \cdot \alpha q$$

with overwhelming probability when  $\mathbf{E}^\top \leftarrow D_{\mathbb{Z}^{n_0 \times m}, \alpha q}$ . Putting the above altogether, our choice of parameters implies that

$$\|\mathbf{E}^\top\|_\infty \cdot \|\mathbf{I}_m \mid \mathbf{R}_{F,t}\|_\infty \cdot \|\mathbf{r}\|_\infty \leq m\alpha q \cdot (L \cdot m + 1) \cdot \sigma \sqrt{2m} < q/4 .$$

**Indistinguishability.** The key generation algorithm  $\text{LKeygen}$  and  $\text{Keygen}$  satisfy the following properties:

- (i) The LWE assumption implies that, for any  $K \in \mathcal{K}_\lambda$ , the distributions  $D_{\text{loss}} = \{(pk, tk) \mid (pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)\}$  and  $D_{\text{inj}} = \{(pk, tk) \mid (pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)\}$  are computationally indistinguishable. These distributions only differ in the generation of the matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$ . The matrix  $\mathbf{A}$  produced by the  $\text{Keygen}$  algorithm is pseudo-random since, under the  $\text{LWE}_{q,m,n-n_0,\alpha}$  assumption, we can replace  $\mathbf{S}^\top \bar{\mathbf{B}} + \mathbf{E}^\top$  by a uniform matrix  $\mathbf{B} \sim U(\mathbb{Z}_q^{n_0 \times m})$  without the adversary noticing. When using  $\text{LKeygen}$ , the matrix  $\mathbf{A} \in \mathbb{Z}_q^{n \times m}$  is statistically uniform by the properties of the  $\text{TrapGen}$  algorithm (specifically, Lemma 2.8).
- (ii) For any distinct initialization values  $K, K' \in \mathcal{K}_\lambda$ , the two distributions  $\{pk \mid (pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)\}$  and  $\{pk \mid (pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K')\}$  are statistically indistinguishable since the public matrices  $(\mathbf{A}, \{\mathbf{A}_{i,b}\})$  are statistically uniform and independent regardless of which  $K$  is used as input by  $\text{LKeygen}$ . Recall the matrix  $\mathbf{A}$  produced by the  $\text{LKeygen}$  algorithm is statistically close to  $U(\mathbb{Z}_q^{n \times m})$  by the properties of the  $\text{TrapGen}$ . As for the matrices  $\mathbf{A}_{i,b} = \mathbf{A} \cdot \mathbf{R}_{i,b} + \kappa_{i,b} \cdot \mathbf{G}$ , for  $\kappa_{i,b} \in \{0, 1\}$ , the Leftover Hash Lemma 2.18 implies that the statistical distance between the distributions  $\{(\mathbf{A}, \mathbf{A} \cdot \mathbf{R}_{i,b}) \mid \mathbf{A} \leftarrow U(\mathbb{Z}_q^{n \times m}), \mathbf{R}_{i,b} \leftarrow U(\{-1, 1\}^{m \times m})\}$  and  $\{(\mathbf{A}, \mathbf{A}_{i,b}) \mid \mathbf{A}, \mathbf{A}_{i,b} \leftarrow U(\mathbb{Z}_q^{n \times m})\}$  is smaller than  $m \cdot \sqrt{q^n / 2^m} < 2^{-\lambda}$ , where the last inequality is implied by our choice of  $m = 2n \lceil \log q \rceil + O(\lambda)$ .

**Lossiness under lossy tags.** It is enough to prove that the distribution of a ciphertext obtained by encrypting under a lossy tag is statistically close to the uniform distribution on  $\mathbb{Z}_q^n$ .

For any initialization value  $K \in \mathcal{K}_\lambda$  and tag  $t \in \{0, 1\}^\ell$  such that  $(K, t) \notin R_{\text{BM}}$ , any  $(pk = (\mathbf{A}, \{\mathbf{A}_{i,b}\}_{i \in [L], b \in \{0,1\}}), sk = (\mathbf{S}, K), tk) \leftarrow \text{Keygen}(\Gamma, K)$ , and any message  $\text{Msg} \in \{0, 1\}^{n_0}$ , an encryption of  $\text{Msg}$  is generated as

$$\mathbf{c} = [\mathbf{A} \mid \mathbf{A}_{F,t}] \cdot \mathbf{r} + \begin{bmatrix} \mathbf{0}^{n-n_0} \\ \text{Msg} \cdot \lfloor q/2 \rfloor \end{bmatrix} \in \mathbb{Z}_q^n . \quad (6.4)$$

where  $\mathbf{r} \leftarrow D_{\mathbb{Z}^{2m}, \sigma}$  and  $\bar{\mathbf{A}}_{F,t} = [\mathbf{A} \mid \mathbf{A} \cdot \mathbf{R}_{F,t} + d_t \cdot \mathbf{G}] \in \mathbb{Z}_q^{n \times 2m}$ . The matrix  $\bar{\mathbf{A}}_{F,t}$  is of this form, with  $d_t > 0$ , because  $t$  is a lossy tag (i.e.,  $(K, t) \notin R_{\text{BM}}$ ). This implies that the columns of  $\bar{\mathbf{A}}_{F,t}$  generate  $\mathbb{Z}_q^n$ . By [MP12, Lemma 5.3], we know that  $\bar{\mathbf{A}}_{F,t}$  has a trapdoor  $\mathbf{T}_{F,t} \in \mathbb{Z}^{2m \times 2m}$  (namely, a short basis of the lattice  $\Lambda^\perp(\bar{\mathbf{A}}_{F,t})$ ) such that

$\|\tilde{\mathbf{T}}_{F,t}\| \leq (\|\mathbf{R}_{F,t}\| + 1) \cdot \sqrt{5}$  and thus  $\|\tilde{\mathbf{T}}_{F,t}\| \leq \sqrt{5} \cdot (\sqrt{m} \cdot L + 1)$ . Again, by [GPV08, Lemma 3.1], we know that  $\eta_{2^{-m}}(\Lambda^\perp(\tilde{\mathbf{A}}_{F,t})) \leq \|\tilde{\mathbf{T}}_{F,t}\| \cdot O(\sqrt{m})$ . By choosing  $\sigma = O(m) \cdot L$ , we have  $\sigma \geq \eta_{2^{-m}}(\Lambda^\perp(\tilde{\mathbf{A}}_{F,t}))$ . By applying [GPV08, Lemma 5.2], we conclude that  $\tilde{\mathbf{A}}_{F,t} \cdot \mathbf{r}$  is statistically close to the uniform distribution  $U(\mathbb{Z}_q^n)$  when  $\mathbf{r} \leftarrow D_{\mathbb{Z}^{2m}, \sigma}$ .

**Efficient opening under lossy tags.** From the previous paragraph, we know that the lattice  $\Lambda_q^\perp(\tilde{\mathbf{A}}_{F,t})$  has a basis satisfying  $\|\tilde{\mathbf{T}}_{F,t}\| \leq \sqrt{5} \cdot (\sqrt{m}L + 1)$ . By the choice of  $\sigma = O(m) \cdot L$ , the condition  $\sigma \geq \|\tilde{\mathbf{T}}_{F,t}\| \cdot \omega(\sqrt{\log 2m})$  holds. For any  $\mathbf{c}_{\text{Msg}_1} \in \mathbb{Z}_q^n$ , we can thus apply Lemma 2.2 and sample a Gaussian vector  $\tilde{\mathbf{r}} \in \mathbb{Z}^{2m}$  from the distribution  $D_{\Lambda_q^{\text{cMsg}_1}(\tilde{\mathbf{A}}_{F,t}), \sigma}$ . Our argument to prove the lossiness under lossy tags implies that encrypting any message  $\text{Msg}_0 \in \{0, 1\}^{n_0}$  under a lossy tag leads to a statistically uniform ciphertext  $\mathbf{c} \sim_s U(\mathbb{Z}_q^n)$ . In particular, for any  $\text{Msg}_1 \in \{0, 1\}^{n_0}$ , the distribution

$$\left\{ (\tilde{\mathbf{A}}_{F,t}, \mathbf{c}_{\text{Msg}_1} = \tilde{\mathbf{A}}_{F,t} \cdot \mathbf{r}_0 + \lfloor \frac{\mathbf{0}^{n-n_0}}{\text{Msg}_0 \cdot \lfloor q/2 \rfloor} \rfloor - \lfloor \frac{\mathbf{0}^{n-n_0}}{\text{Msg}_1 \cdot \lfloor q/2 \rfloor} \rfloor, \tilde{\mathbf{r}} \mid \right. \\ \left. \mathbf{r}_0 \leftarrow D_{\mathbb{Z}^{2m}, \sigma}, \tilde{\mathbf{r}} \leftarrow D_{\Lambda^{\text{cMsg}_1}(\tilde{\mathbf{A}}_{F,t}), \sigma} \right\}$$

is statistically close to

$$\left\{ (\tilde{\mathbf{A}}_{F,t}, \mathbf{c}_{\text{Msg}_1} = \mathbf{c} - \lfloor \frac{\mathbf{0}^{n-n_0}}{\text{Msg}_1 \cdot \lfloor q/2 \rfloor} \rfloor, \tilde{\mathbf{r}} \mid \mathbf{c} \leftarrow U(\mathbb{Z}_q^n), \tilde{\mathbf{r}} \leftarrow D_{\Lambda^{\text{cMsg}_1}(\tilde{\mathbf{A}}_{F,t}), \sigma} \right\},$$

which is itself statistically close to  $\{(\tilde{\mathbf{A}}_{F,t}, \mathbf{c}_{\text{Msg}_1} = \tilde{\mathbf{A}}_{F,t} \cdot \mathbf{r}, \mathbf{r} \mid \mathbf{r} \leftarrow D_{\mathbb{Z}^{2m}, \sigma}\}$ .

**Efficient opening under lossy keys.** By [CHKP10, Lemma 3.2], we know that a basis  $\mathbf{T}_{A,t} \in \mathbb{Z}^{2m \times 2m}$  for the lattice  $\Lambda_q^\perp(\mathbf{A} \mid \mathbf{A}_{F,t})$  can be efficiently computed given a basis  $\mathbf{T}_A \in \mathbb{Z}^{m \times m}$  of the lattice  $\Lambda_q^\perp(\mathbf{A})$ . Moreover, this basis satisfies  $\|\mathbf{T}_A\| = \|\tilde{\mathbf{T}}_{A,t}\|$ . By Lemma 2.8, it follows that  $\|\tilde{\mathbf{T}}_{A,t}\| \leq O(\sqrt{n \log q}) = O(\sqrt{m})$ . By the choice of parameters, we obtain that  $\sigma \geq \|\tilde{\mathbf{T}}_{A,t}\| \cdot \omega(\sqrt{\log 2m})$ . Hence, by Lemma 2.2, we can sample  $\tilde{\mathbf{r}} \in \mathbb{Z}^{2m}$  from a distribution statistically close to  $D_{\Lambda_q^{\text{cMsg}_1}(\tilde{\mathbf{A}}_{F,t}), \sigma}$ . The claim follows from the same arguments as in the case of efficient openings under lossy tags.  $\square$

### 6.3 Direct Constructions of Multi-Theorem NIZK from Trapdoor Sigma-protocols

In this section we present a generic transformation from trapdoor  $\Sigma$ -protocols to multi-theorem NIZKs. We need to assume lossy encryption with efficient opening and correlation-intractable hash functions.

#### 6.3.1 Overview

Our multi-theorem compiler is inspired by the modified protocol of [CLW19] for proving graph Hamiltonicity [FLS99]. We quickly recall the ideas of [CLW19] below. The prover's first message  $\mathbf{a}$  of the interactive protocol is computed using a

lossy encryption scheme, instead of an usual commitment. To make the scheme non-interactive, the random oracle of the Fiat-Shamir transform is replaced by a correlation-intractable hash function. The hash is applied to the transcript of the protocol so far, to derive the challenge  $\text{Chall} = h(k, (x, \mathbf{a}))$ . The proof for the message  $x$  is given by  $\pi := (\mathbf{a}, \text{Chall}, \mathbf{z})$ , where  $\mathbf{z}$  is the final response of the prover of the interactive  $\Sigma$ -protocol.

Recall that, depending on the generation of the keys, a lossy encryption behaves either as an extractable commitment or as a statistically-hiding commitment. The extractable mode is used to prove soundness, while the hiding property is used to prove zero-knowledge.

To simulate a proof, the honest verifier simulator is invoked on a random challenge, to produce a transcript  $(\mathbf{a}, \text{Chall}, \mathbf{z}) \leftarrow \text{HVZK}(x, \text{Chall})$ . Because the correlation intractable hash family can be programmed, they are able to generate a key  $k$  such that the challenge is explained as the hash value  $\text{Chall} = h(k, (x, \mathbf{a}))$ . This simulation strategy cannot be employed to prove multiple theorems, because for each new proof they would need a new programmed key for the hash function. This implies a CRS size proportional to the number of proofs that the adversary queries.

To simulate multiple proofs while keeping the CRS size constant, we notice that some particular lossy encryptions can admit efficient opening algorithms, that allow explaining a ciphertext as the encryption of any message of our choice.

In our construction the prover's first message  $\mathbf{a}' \leftarrow P(\text{crs}, x, w)$  is encrypted by a lossy encryption scheme as  $\mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{a}')$  and the challenge is computed as the hash  $\text{Chall} := h(k, (x, \mathbf{a}))$  of the message and the encryption of the prover's message.

We simulate a proof by first computing the challenge  $\text{Chall} := h(k, (x, \mathbf{a}))$ , for a dummy lossy encryption  $\mathbf{a} = \text{Encrypt}(pk, \mathbf{0})$ . Now we can invoke the honest verifier simulator to obtain a transcript  $(\mathbf{a}', \text{Chall}, \mathbf{z}') \leftarrow \text{HVZK}(x, \text{Chall})$ . The efficient opening algorithm returns some encryption randomness  $\mathbf{r}$  such that  $\mathbf{a} = \text{Encrypt}(pk, \mathbf{a}'; \mathbf{r})$ , i.e. the ciphertext  $\mathbf{a}$  is explained as an encryption of the prover's message  $\mathbf{a}'$ . This allows us to avoid programming the hashing keys, and we are able to prove zero-knowledge when unbounded number of proofs are queried by the adversary.

### 6.3.2 Direct Construction

In order to compile trapdoor  $\Sigma$ -protocols into multi-theorem NIZK proof systems for the same language, we use the following building blocks.

- A trapdoor  $\Sigma$ -protocol  $\Pi' = (\text{Gen}'_{\text{par}}, \text{Gen}'_{\mathcal{L}}, P', V')$  with challenge space  $\text{ChSp}$ , for a language  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ . In addition,  $\text{BadChallenge}(\tau_{\Sigma}, \text{crs}, x, \mathbf{a})$  should be computable by a boolean circuit of size  $S \in \text{poly}(\lambda)$  for any input  $(\tau_{\Sigma}, \text{crs}, x, \mathbf{a})$ .

- A somewhere correlation intractable hash family  $\mathcal{H} = (\text{Gen}, \text{Hash})$  with output in  $\text{ChSp}$  for the class  $\mathcal{R}_{\text{CI}}$  of relations that are efficiently searchable in size  $2S \in \text{poly}(\lambda)$ .
- A lossy PKE scheme  $\Pi^{\text{LPKE}} = (\text{Par-Gen}, \text{Keygen}, \text{LKeygen}, \text{Encrypt}, \text{Decrypt}, \text{Opener})$  with public (resp. secret) key space  $\mathcal{PK}$  (resp.  $\mathcal{SK}$ ), as defined in Section 6.1.5. We assume that the decryption algorithm  $\text{Decrypt}$  is computable by a boolean circuit of size  $S$ . We denote the message (resp. ciphertext) space by  $\text{MsgSp}$  (resp.  $\text{CtSp}$ ) and the randomness space by  $R^{\text{LPKE}}$ . Let also  $D_R^{\text{LPKE}}$  denote the distribution from which the random coins of  $\text{Encrypt}$  are sampled.

Our construction  $\Pi = (\text{Gen}_{\text{par}}, \text{Gen}_{\mathcal{L}}, \text{P}, \text{V})$  goes as follows.

**Gen<sub>par</sub>**( $1^\lambda$ ): Run  $\text{par} \leftarrow \text{Gen}'_{\text{par}}(1^\lambda)$  and output  $\text{par}$ .

**Gen<sub>L</sub>**( $\text{par}, \mathcal{L}$ ): Given public parameters  $\text{par}$  and a language  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$ , generate the common reference string as follows.

1. Generate a common reference string  $\text{crs}'_{\mathcal{L}} \leftarrow \text{Gen}'_{\mathcal{L}}(\text{par}, \mathcal{L})$  for the trapdoor  $\Sigma$ -protocol  $\Pi'$ .
2. Generate a key  $k \leftarrow \text{Gen}(1^\lambda)$  for the somewhere correlation intractable hash function.
3. Generate public parameters  $\Gamma \leftarrow \text{Par-Gen}(1^\lambda)$  for the lossy PKE scheme  $\Pi^{\text{LPKE}}$ . Then, generate lossy keys  $(pk, sk) \leftarrow \text{LKeygen}(\Gamma)$ .

Output the language-dependent  $\text{crs}_{\mathcal{L}} := (\text{crs}'_{\mathcal{L}}, k)$ . The global common reference string consists of

$$\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}}, \Gamma, pk).$$

**P**( $\text{crs}, x, w$ ): To prove a statement  $x$  using a witness  $w \in R_{\text{zk}}(x)$ ,

1. Compute  $(\mathbf{a}', st) \leftarrow \text{P}'(\text{crs}'_{\mathcal{L}}, x, w)$  via the invocation of the prover algorithm of  $\Pi'$ . Compute  $\mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{a}'; \mathbf{r})$  using randomness  $\mathbf{r} \leftarrow D_R^{\text{LPKE}}$  sampled from the distribution  $D_R^{\text{LPKE}}$  over  $R^{\text{LPKE}}$ .
2. Compute  $\text{Chall} := \text{Hash}(k, (x, \mathbf{a}))$
3. Compute  $\mathbf{z}' = \text{P}'(\text{crs}'_{\mathcal{L}}, x, w, \mathbf{a}, \text{Chall}, st)$
4. Output the proof  $\boldsymbol{\pi} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$ .

**V**( $\text{crs}, x, \boldsymbol{\pi}$ ): Given a statement  $x$  and a candidate proof  $\boldsymbol{\pi} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$ , do the following. Compute  $\mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{a}'; \mathbf{r})$ . Compute the challenge  $\text{Chall} = \text{Hash}(k, (x, \mathbf{a}))$ . If  $V'(\text{crs}'_{\mathcal{L}}, x, (\mathbf{a}', \text{Chall}, \mathbf{z}')) = 1$  if, return 1. Otherwise, return 0.



### 6.3.3 Security Proofs for the Multi-Theorem NIZK

We show below that the properties of Definition 6.3 are satisfied by the previous construction.

**Theorem 6.2.** *The above argument system is multi-theorem (statistically) ZK assuming that the trapdoor  $\Sigma$ -protocol  $\Pi'$  is special (statistically) ZK.*

*Proof.* We describe a zero-knowledge simulator  $(\text{Sim}_0, \text{Sim}_1)$  that uses the lossy secret key  $\tau_{\text{zk}} = sk$  of  $\Pi^{\text{LPKE}}$  to generate proofs  $\boldsymbol{\pi} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$  without using the witnesses. Namely, on input of  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$ ,  $\text{Sim}_0$  generates  $\text{crs}_{\mathcal{L}}$  by proceeding identically to  $\text{Gen}_{\mathcal{L}}$  while  $\text{Sim}_1$  is described hereunder.

**Sim<sub>1</sub>**( $\text{crs}, \tau_{\text{zk}}, x$ ): Given a statement  $x$  and the simulation trapdoor  $\tau_{\text{zk}} = sk$ , algorithm  $\text{Sim}_1$  proceeds as follows.

1. Let  $\mathbf{0}^{|\mathbf{a}'|}$  the all-zeroes string of the same length as the first prover message of  $\Pi'$ . Compute

$$\mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{0}^{|\mathbf{a}'|}; \mathbf{r}_0)$$

using random coins  $\mathbf{r}_0 \leftarrow D_R^{\text{LPKE}}$  sampled from the distribution  $D_R^{\text{LPKE}}$ .

2. Compute  $\text{Chall} = \text{Hash}(k, (x, \mathbf{a}))$ .
3. Run the ZK simulator  $(\mathbf{a}', \mathbf{z}') \leftarrow \text{ZKSim}(\text{crs}'_{\mathcal{L}}, x, \text{Chall})$  of  $\Pi'$  so as to obtain a simulated transcript  $(\mathbf{a}', \text{Chall}, \mathbf{z}')$  of  $\Pi'$  for the challenge  $\text{Chall}$ .
4. Using the lossy secret key  $sk$  of  $\Pi^{\text{LPKE}}$ , compute random coins  $\mathbf{r} \leftarrow \text{Opener}(pk, sk, \mathbf{a}, \mathbf{a}')$  that explain  $\mathbf{a}$  as an encryption of  $\mathbf{a}'$ . Then, output the proof  $\boldsymbol{\pi} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$ .

We now prove that the simulation is statistically indistinguishable from proofs generated by the real prover. The special property of  $\Pi'$  implies that its simulator produces  $(\mathbf{a}', \mathbf{z}') \leftarrow \text{ZKSim}(\text{crs}'_{\mathcal{L}}, x, \text{Chall})$  such that  $(\mathbf{a}', \text{Chall}, \mathbf{z}')$  is indistinguishable from a real transcript with challenge  $\text{Chall}$ . This implies that the distribution

$$\{(\mathbf{a}, \mathbf{a}', \mathbf{r}, \mathbf{z}') \mid \mathbf{r}_0 \leftarrow D_R^{\text{LPKE}}, \mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{0}^{|\mathbf{a}'|}; \mathbf{r}_0), \\ (\mathbf{a}', \mathbf{z}') \leftarrow \text{ZKSim}(\text{crs}'_{\mathcal{L}}, x, \text{Chall}), \mathbf{r} \leftarrow \text{Opener}(pk, sk, \mathbf{a}, \mathbf{a}')\}, \quad (6.5)$$

is computationally indistinguishable from

$$\{(\mathbf{a}, \mathbf{a}', \mathbf{r}, \mathbf{z}') \mid \mathbf{r}_0 \leftarrow D_R^{\text{LPKE}}, \mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{0}^{|\mathbf{a}'|}; \mathbf{r}_0), \\ (\mathbf{a}', st') \leftarrow P'(\text{crs}'_{\mathcal{L}}, x, w), \mathbf{z}' = P'(\text{crs}'_{\mathcal{L}}, x, w, \mathbf{a}', \text{Chall}, st'), \\ \mathbf{r} \leftarrow \text{Opener}(pk, sk, \mathbf{a}, \mathbf{a}')\}.$$

By the property of Lossiness under lossy keys of the  $\Pi^{\text{LPKE}}$  ciphertexts, the above is statistically close to:

$$\begin{aligned} \{(\mathbf{a}, \mathbf{a}', \mathbf{r}, \mathbf{z}') \mid & \mathbf{r}_0 \leftarrow D_R^{\text{LPKE}}, \mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{a}'; \mathbf{r}_0), \\ & (\mathbf{a}', st') \leftarrow P'(crs'_{\mathcal{L}}, x, w), \mathbf{z}' = P'(crs'_{\mathcal{L}}, x, w, \mathbf{a}', \text{Chall}, st'), \\ & \mathbf{r} \leftarrow \text{Opener}(pk, sk, \mathbf{a}, \mathbf{a}')\}. \end{aligned}$$

By the property of efficient opening under lossy keys, we know that the above is statistically indistinguishable from

$$\begin{aligned} \{(\mathbf{a}, \mathbf{a}', \mathbf{r}, \mathbf{z}') \mid & (\mathbf{a}', st') \leftarrow P'(crs'_{\mathcal{L}}, x, w), \mathbf{r} \leftarrow D_R^{\text{LPKE}} \\ & \mathbf{a} \leftarrow \text{Encrypt}(pk, \mathbf{a}'; \mathbf{r}), \mathbf{z}' = P'(crs'_{\mathcal{L}}, x, w, \mathbf{a}', \text{Chall}, st')\}. \end{aligned} \quad (6.6)$$

The distribution (6.5) corresponds to proofs generated by the simulator  $\text{Sim}_1$ , while (6.6) is identical to the distribution generated by the real prover. Simulated proofs are thus indistinguishable from real proofs if the simulator of  $\Pi'$  is ZK. Notice that if the simulator is statistically ZK then this implies that the simulated proofs are actually statistically indistinguishable from real proofs.  $\square$

**Theorem 6.3.** *The above argument system provides non-adaptive soundness assuming that: (i)  $\Pi^{\text{LPKE}}$  is a lossy encryption scheme; (ii) The hash family  $\mathcal{H}$  is somewhere correlation-intractable for all relations that are searchable in size  $2S$ , where  $S$  denotes the size of a boolean circuit computing  $\text{BadChallenge}(\cdot, \cdot, \cdot, \cdot)$  and the size of a boolean decryption circuit.*

*Proof.* To prove the result, we consider a sequence of games. For each  $i$ , we define a Boolean variable  $W_i \in \{\text{true}, \text{false}\}$  where  $W_i = \text{true}$  if and only if the adversary wins in  $\text{Game}_i$ .

**Game<sub>0</sub>:** This is the real soundness experiment. Namely, the challenger generates the parameters as in the real scheme and gives  $\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}}, \Gamma, pk)$  to the adversary  $\mathcal{A}$  and a statement  $x^* \notin \mathcal{L}_{\text{sound}}$ . The adversary outputs a proof  $\pi^*$ , and  $W_0$  is set to 1 iff  $V(\text{crs}, x^*, \pi^*) = 1$ . By the definition of  $\mathcal{A}$ 's advantage, we have  $\text{Adv}_{\mathcal{A}}^{\text{soundness}}(\lambda) = \Pr[W_0]$ .

**Game<sub>1</sub>:** We change the distribution of  $\text{crs}_{\mathcal{L}} = (\text{crs}'_{\mathcal{L}}, k)$  by leveraging the CRS indistinguishability property of the trapdoor  $\Sigma$ -protocol  $\Pi'$ . Namely, we use the  $\text{TrapGen}'$  algorithm of Definition 6.2 to generate  $\text{crs}'_{\mathcal{L}}$  as  $(\text{crs}'_{\mathcal{L}}, \tau_{\Sigma}) \leftarrow \text{TrapGen}'(\text{par}, \mathcal{L}, \tau_{\mathcal{L}})$  instead of  $\text{crs}'_{\mathcal{L}} \leftarrow \text{Gen}'_{\mathcal{L}}(\text{par}, \mathcal{L})$ . We immediately have  $|\Pr[W_1] - \Pr[W_0]| \leq \text{Adv}_{\mathcal{A}}^{\text{indist-}\Sigma}(\lambda)$ .

We note that the trapdoor  $\tau_{\Sigma}$  produced by  $\text{TrapGen}'$  in  $\text{Game}_1$  can be used in subsequent games to compute the  $\text{BadChallenge}$  function of the trapdoor  $\Sigma$ -protocol  $\Pi'$ .

**Game<sub>2</sub>:** We modify the distribution of  $\text{crs}$ . Namely, at step 3 of  $\text{Gen}_{\mathcal{L}}$ , we generate the keys for  $\Pi^{\text{LPKE}}$  as injective keys  $(pk, sk) \leftarrow \text{Keygen}(\Gamma)$  instead of lossy keys  $(pk, sk) \leftarrow \text{LKeygen}(\Gamma)$ . The indistinguishability property of  $\Pi^{\text{LPKE}}$  guarantees  $\Pr[W_2]$  is within negligible distance from  $\Pr[W_1]$ . We can easily build a distinguisher  $\mathcal{B}$  against  $\Pi^{\text{LPKE}}$  such that

$$|\Pr[W_2] - \Pr[W_1]| \leq \text{Adv}_{\mathcal{B}}^{\text{indist-LPKE}}(\lambda).$$

**Game<sub>3</sub>:** We introduce another change in the distribution of  $\text{crs}_{\mathcal{L}}$ . We consider the relation  $R_{\text{bad}}$  defined by

$$\begin{aligned} ((x, \mathbf{a}), \text{Chall}) &\in R_{\text{bad}} & (6.7) \\ \Leftrightarrow \text{Chall} &= \text{BadChallenge}(\tau_{\Sigma}, \text{crs}'_{\mathcal{L}}, x, \text{Decrypt}(sk, \mathbf{a})) . \end{aligned}$$

We now generate the key of the correlation-intractable hash function as  $k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_{R_{\text{bad}}})$  instead of  $k \leftarrow \text{Gen}(1^\lambda)$ . Here,  $\text{aux}_{R_{\text{bad}}}$  is the circuit that uses  $\tau_{\Sigma}$  and  $sk$  to evaluate  $\text{BadChallenge}$  according to (6.7). The key indistinguishability property of  $\mathcal{H}$  in the sense of Definition 6.7 implies  $|\Pr[W_3] - \Pr[W_2]| \leq \text{Adv}_{\mathcal{A}}^{\text{indist-CI}}(\lambda)$ .

In  $\text{Game}_3$ , we claim that  $\Pr[W_3] \leq 2^{-\Omega(\lambda)}$ . Indeed, the statistical correlation intractability property of  $\mathcal{H}$  implies that we can only have

$$\text{Hash}(k, (x^*, \mathbf{a}^*)) = \text{BadChallenge}(\tau_{\Sigma}, \text{crs}'_{\mathcal{L}}, x^*, \text{Decrypt}(sk, \mathbf{a}^*))$$

with exponentially small probability. The probability to have  $W_3 = \text{true}$  is thus smaller than  $2^{-\Omega(\lambda)}$ .

Putting the above altogether, the advantage of a PPT adversary is thus smaller than

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{\text{soundness}}(\lambda) &\leq \text{Adv}_{\mathcal{B}}^{\text{indist-LPKE}}(\lambda) \\ &\quad + \text{Adv}_{\mathcal{A}}^{\text{indist-}\Sigma}(\lambda) + \text{Adv}_{\mathcal{A}}^{\text{indist-CI}}(\lambda) + 2^{-\Omega(\lambda)}, \end{aligned}$$

which proves the claim. □

### 6.3.4 Application: Multi-Theorem Statistical NIZK for NP from LWE

By using the techniques from the previous section it is possible to get statistical NIZK for all NP languages, with non-adaptive soundness under the LWE assumption, in the Common *Random* String model. We describe the idea below.

The construction from the previous section can be applied to any trapdoor  $\Sigma$ -protocol. In particular, we can apply it to the modified FLS protocol of [CLW19], for the graph Hamiltonicity language, in which the first prover message is already encrypted using a lossy scheme. For this reason we don't need to actually encrypt

it a second time, as a straight-forward application of our transformation would require. Instead, we can use the simulator of Theorem 6.2 and simulate proofs by equivocating lossy encryptions.

To encrypt the prover's first message in the FLS protocol, we can use the variant of Regev's LWE-based cryptosystem suggested in [GPV08, Section 8.1]. This is in fact a lossy encryption scheme with uniformly random lossy public keys. In the simulation, the uniformly random public matrix can be generated together with a trapdoor, thus enabling the equivocation of lossy ciphertexts.

Combining the above with the LWE-based somewhat Correlation-Intractable hash family proposed in [PS19] (that supports uniformly random hashing keys), we obtain a Multi-Theorem statistical NIZK argument for NP languages, with non-adaptive soundness under the LWE assumption, in the Common *Random* String model.

Multi-Theorem NIZK for NP can also be obtained generically using the FLS transformation from [FLS99]. The compiler works by combining a single-theorem NIZK and a PRG. In comparison with our result, their CRS is not sampled from a uniformly random distribution.

## 6.4 Direct Constructions of Unbounded Simulation-sound NIZK from Trapdoor Sigma-protocols

In this section we show how to upgrade the construction from section 6.3.2 to obtain *unbounded simulation-soundness* (Definition 6.4) as well.

### 6.4.1 Overview

Continuing the discussion from section 6.3.1, we notice that lossy encryption with efficient opening is not enough to prove unbounded simulation soundness for our construction. The reason is that we need to be able to equivocate all the lossy ciphertexts in our simulation, while making sure that the proof the adversary outputs contains an injective (extractable) encryption, so we can prove simulation soundness.

This can be solved by using a lossy encryption scheme flavor, called *R*-lossy encryption with efficient opening (Definition 6.10). The encryption algorithm of such a scheme takes as input additional labels. Such a tag (label) can determine whether a ciphertext is lossy or injective, depending on the relation *R*. Now, when we use the injective mode of the encryption scheme to prove soundness, we are able to simulate the proofs by using lossy tags (on which we can equivocate the encryptions), while having an injective tag for the proof that the adversary outputs (thus making the encryption that the adversary outputs injective).

### 6.4.2 Direct Construction

Our proof systems is inspired by ideas from [GM03, MY04, Gen04] and relies on the following ingredients:

- A trapdoor  $\Sigma$ -protocol  $\Pi' = (\text{Gen}'_{\text{par}}, \text{Gen}'_{\mathcal{L}}, P', V')$  with challenge space  $\text{ChSp}$ , for the gap language  $\mathcal{L} = (\mathcal{L}_{\text{zk}}, \mathcal{L}_{\text{sound}})$  and which satisfies the properties of Definition 6.2. In addition,  $\text{BadChallenge}(\tau_{\Sigma}, \text{crs}, x, \mathbf{a})$  should be computable in size  $S \in \text{poly}(\lambda)$  for any input  $(\tau_{\Sigma}, \text{crs}, x, \mathbf{a})$ .
- A strongly unforgeable one-time signature scheme  $\text{OTS} = (\mathcal{G}, \mathcal{S}, \mathcal{V})$  with verification keys of length  $\ell \in \text{poly}(\lambda)$  (Definition 6.8).
- An admissible hash function  $\text{AHF} : \{0, 1\}^{\ell} \rightarrow \{0, 1\}^L$ , for some  $L \in \text{poly}(\lambda)$  such that  $L > \ell$ , which induces the relation  $R_{\text{BM}} : \{0, 1, \perp\}^L \times \{0, 1\}^{\ell} \rightarrow \{0, 1\}$  of Definition 6.11.
- An  $R$ -lossy PKE scheme given by the 7 algorithms:  
 $R\text{-LPKE} = (\text{Par-Gen}, \text{Keygen}, \text{LKeygen}, \text{Encrypt}, \text{Decrypt}, \text{Opener}, \text{Opener}')$  using the relation  $R_{\text{BM}} : \{0, 1, \perp\}^L \times \{0, 1\}^{\ell} \rightarrow \{0, 1\}$  with public (resp. secret) key space  $\mathcal{PK}$  (resp.  $\mathcal{SK}$ ). We assume that the decryption algorithm  $\text{Decrypt}$  is computable in size  $S$ . We denote the message (resp. ciphertext) space by  $\text{MsgSp}$  (resp.  $\text{CtSp}$ ) and the randomness space by  $R^{\text{LPKE}}$ . Let also  $D_R^{\text{LPKE}}$  denote the distribution from which the random coins of  $\text{Encrypt}$  are sampled.
- A somewhere correlation intractable hash family  $\mathcal{H} = (\text{Gen}, \text{Hash})$  for the relation class  $\mathcal{R}_{\text{CI}}$  of relations that are efficiently searchable in size  $2S$ .

We also assume that these ingredients are compatible in the sense that  $P'$  outputs a first prover message  $\mathbf{a}'$  that fits in the message space  $\text{MsgSp}$  of  $R\text{-LPKE}$ . The correlation-intractable hash function should output values in the challenge space  $\text{ChSp}$  of the  $\Sigma$ -Protocol  $\Pi'$  as well.

The construction goes as follows.

**Gen<sub>par</sub>**( $1^{\lambda}$ ): Run  $\text{par} \leftarrow \text{Gen}'_{\text{par}}(1^{\lambda})$  and output  $\text{par}$ .

**Gen<sub>L</sub>**( $\text{par}, \mathcal{L}$ ): Given public parameters  $\text{par}$  and a language  $\mathcal{L} \subset \{0, 1\}^N$ , let  $\mathcal{K} = \{0, 1, \perp\}^L$  and  $\mathcal{T} = \{0, 1\}^{\ell}$ . The CRS is generated as follows.

1. Generate a common reference string  $\text{crs}'_{\mathcal{L}} \leftarrow \text{Gen}'_{\mathcal{L}}(\text{par}, \mathcal{L})$  for the trapdoor  $\Sigma$ -protocol  $\Pi'$ .
2. Generate public parameters  $\Gamma \leftarrow \text{Par-Gen}(1^{\lambda})$  for the  $R_{\text{BM}}$ -lossy PKE scheme where the relation  $R_{\text{BM}} : \mathcal{K} \times \mathcal{T} \rightarrow \{0, 1\}$  is defined by an admissible hash function  $\text{AHF} : \{0, 1\}^{\ell} \rightarrow \{0, 1\}^L$ . Choose a random value  $K \leftarrow \mathcal{K}$  and generate lossy keys  $(pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)$ .

3. Generate a key  $k \leftarrow \text{Gen}(1^\lambda)$  for a somewhere correlation intractable hash function with output in the challenge space  $\text{ChSp}$  of the  $\Sigma$ -Protocol  $\Pi'$ .

Output the language-dependent  $\text{crs}_{\mathcal{L}} := (\text{crs}'_{\mathcal{L}}, k)$  and the simulation trapdoor  $\tau_{\text{zk}} := sk$ , which is the lossy secret key of R-LPKE. The global common reference string consists of  $\text{crs} = (\text{par}, \text{crs}_{\mathcal{L}}, pk, \text{AHF}, \Pi^{\text{ots}})$ .

**P**( $\text{crs}, x, w$ ): To prove a statement  $x$  using a witness  $w \in R_{\text{zk}}(x)$ , generate a one-time signature key pair  $(\text{VK}, \text{SK}) \leftarrow \mathcal{G}(1^\lambda)$ . Then, do the following.

1. Compute  $(\mathbf{a}', st') \leftarrow P'(\text{crs}'_{\mathcal{L}}, x, w)$  by invoking the prover for  $\Pi'$ . Then compute  $\mathbf{a} \leftarrow \text{Encrypt}(pk, \text{VK}, \mathbf{a}'; \mathbf{r})$  using random coins  $\mathbf{r} \leftarrow D_R^{\text{LPKE}}$  that are sampled from the distribution  $D_R^{\text{LPKE}}$  over  $R^{\text{LPKE}}$ .
2. Compute  $\text{Chall} := \text{Hash}(k, (x, \mathbf{a}, \text{VK})) \in \text{ChSp}$ .
3. Compute  $\mathbf{z}' = P'(\text{crs}'_{\mathcal{L}}, x, w, \mathbf{a}', \text{Chall}, st')$ . Define  $\mathbf{z} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$ .
4. Generate a one-time signature  $sig \leftarrow \mathcal{S}(\text{SK}, (x, \mathbf{a}, \mathbf{z}))$  and output the proof  $\boldsymbol{\pi} = (\text{VK}, (\mathbf{a}, \mathbf{z}), sig)$ .

**V**( $\text{crs}, x, \boldsymbol{\pi}$ ): Given a statement  $x$ , and a purported proof  $\boldsymbol{\pi} = (\text{VK}, (\mathbf{a}, \mathbf{z}), sig)$ , return 0 if  $\mathcal{V}(\text{VK}, (x, \mathbf{a}, \mathbf{z}), sig) = 0$ . Otherwise,

1. Write  $\mathbf{z}$  as  $\mathbf{z} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$  and return 0 if it does not parse properly. Return 0 if  $\mathbf{a} \neq \text{Encrypt}(pk, \text{VK}, \mathbf{a}'; \mathbf{r})$  or  $\mathbf{r} \notin R^{\text{LPKE}}$ .
2. Let  $\text{Chall} = \text{Hash}(k, (x, (\mathbf{a}, \text{VK})))$ . If  $V'(\text{crs}'_{\mathcal{L}}, x, (\mathbf{a}', \text{Chall}, \mathbf{z}')) = 1$  then return 1. Otherwise, return 0.

The NIZK simulator is very similar to the one used in the proof of Theorem 6.2 and the proof follows a similar pattern.

**Theorem 6.4.** *Assuming that the trapdoor  $\Sigma$ -protocol  $\Pi'$  is (statistical) special zero-knowledge, the above argument system is (statistical) multi-theorem zero-knowledge*

*Sketch.* We describe a simulator  $(\text{Sim}_0, \text{Sim}_1)$  which uses the lossy secret key  $\tau_{\text{zk}} = sk$  of R-LPKE to simulate proofs without using the witnesses. Namely, on input of  $\text{par} \leftarrow \text{Gen}_{\text{par}}(1^\lambda)$ ,  $\text{Sim}_0$  generates  $\text{crs}_{\mathcal{L}}$  by proceeding identically to  $\text{Gen}_{\mathcal{L}}$  while  $\text{Sim}_1$  is described hereunder.

**Sim**<sub>1</sub>( $\text{crs}, \tau_{\text{zk}}, x$ ): On input a statement  $x \in \{0, 1\}^N$  and the simulation trapdoor  $\tau_{\text{zk}} = sk$ , algorithm  $\text{Sim}_1$  proceeds as follows.

1. Generate a one-time signature key pair  $(\text{VK}, \text{SK}) \leftarrow \mathcal{G}(1^\lambda)$ . Let  $\mathbf{0}^{|\mathbf{a}'|}$  the all-zeroes string of length  $|\mathbf{a}'|$ . Sample random coins  $\mathbf{r}_0 \leftarrow D_R^{\text{LPKE}}$  from the distribution  $D_R^{\text{LPKE}}$  and compute  $\mathbf{a} \leftarrow \text{Encrypt}(pk, \text{VK}, \mathbf{0}^{|\mathbf{a}'|}; \mathbf{r}_0)$ .

2. Compute  $\text{Chall} = \text{Hash}(k, (x, \mathbf{a}, \text{VK}))$ .
3. Run the special ZK simulator  $(\mathbf{a}', \mathbf{z}') \leftarrow \text{ZKSim}(\text{crs}'_{\mathcal{L}}, x, \text{Chall})$  of  $\Pi'$  so as to obtain a simulated transcript  $(\mathbf{a}', \text{Chall}, \mathbf{z}')$  of  $\Pi'$  for the challenge  $\text{Chall}$ .
4. Using the lossy secret key  $sk$  of R-LPKE, compute random coins  $\mathbf{r} \leftarrow \text{Opener}'(sk, \text{VK}, \mathbf{a}, \mathbf{a}')$  which explain  $\mathbf{a}$  as an encryption of  $\mathbf{a}'$  under the tag  $\text{VK}$ . Then, define  $\mathbf{z} = (\mathbf{a}', \mathbf{z}', \mathbf{r})$
5. Generate a one-time signature  $sig \leftarrow \mathcal{S}(\text{SK}, (x, \mathbf{a}, \mathbf{z}))$  and output the proof  $\boldsymbol{\pi} = (\text{VK}, (\mathbf{a}, \mathbf{z}), sig)$ .

The proof proceeds in the same fashion as the proof of Theorem 6.2, so the details are omitted here.  $\square$

### 6.4.3 Proof of Unbounded Simulation-Soundness

**Theorem 6.5.** *The above argument system is unbounded simulation-sound if: (i) OTS is a strongly unforgeable one-time signature; (ii) R-LPKE is an  $R_{\text{BM}}$ -lossy PKE scheme; (iii) The hash family  $\mathcal{H}$  is somewhere correlation-intractable for all relations that are searchable in size  $2S$ , where  $S$  denotes the maximal running time of algorithms  $\text{BadChallenge}(\cdot, \cdot, \cdot, \cdot)$  and  $\text{Decrypt}(\cdot, \cdot, \cdot)$ .*

*Proof.* We consider a sequence of games where, for each  $i$ , we define a variable  $W_i \in \{\text{true}, \text{false}\}$  where  $W_i = \text{true}$  if and only if the adversary wins in  $\text{Game}_i$ .

**Game<sub>0</sub>:** This is the real game of Definition 6.4. Namely, the challenger first runs  $(\text{crs}, \tau_{\text{zk}}) \leftarrow \text{Sim}_0(\text{par}, 1^N)$  and gives  $\text{crs} = (\text{par}, \text{crs}'_{\mathcal{L}}, pk, \text{AHF}, \Pi^{\text{ots}})$  to the adversary  $\mathcal{A}$ . At the same time, the challenger generates a trapdoor  $\tau_{\mathcal{L}}$  for the language  $\mathcal{L}_{\text{sound}}$  in such a way that it can efficiently test if  $\mathcal{A}$ 's output satisfies  $x^* \notin \mathcal{L}_{\text{sound}}$ . The adversary is granted oracle access to  $\text{Sim}_1(\text{crs}, \tau_{\text{zk}}, \cdot, \cdot)$ . At each query,  $\mathcal{A}$  chooses a statement  $x \in \{0, 1\}^N$  and the challenger replies by returning a simulated argument  $\boldsymbol{\pi} \leftarrow \text{Sim}_1(\text{crs}, \tau_{\text{zk}}, x)$ . When  $\mathcal{A}$  halts, it outputs a pair  $(x^*, \boldsymbol{\pi}^*)$ , where  $\boldsymbol{\pi}^* = (\text{VK}^*, (\mathbf{a}^*, \mathbf{z}^*), sig^*)$ . The Boolean variable  $W_0$  is thus set to  $W_0 = \text{true}$  under the following three conditions: (i)  $(x^*, \boldsymbol{\pi}^*) \notin \mathcal{Q}$ , where  $\mathcal{Q} = \{(x_i, \boldsymbol{\pi}_i)\}_{i=1}^Q$  is the set of queries to  $\text{Sim}_1(\text{crs}, \tau_{\text{zk}}, \cdot, \cdot)$  and the corresponding responses  $\boldsymbol{\pi}_i = (\text{VK}^{(i)}, (\mathbf{a}_i, \mathbf{z}_i), sig_i)$ ; (ii)  $x^* \notin \mathcal{L}_{\text{sound}}$ ; and (iii)  $V(\text{crs}, x^*, \boldsymbol{\pi}^*) = 1$ . We may assume w.l.o.g. that the one-time verification keys  $\{\text{VK}^{(i)}\}_{i=1}^Q$  are chosen ahead of time at the beginning of the game. By definition we have  $\text{Adv}_{\mathcal{A}}^{\text{USS}}(\lambda) = \Pr[W_0]$ .

**Game<sub>1</sub>:** This is like  $\text{Game}_0$  except that the challenger  $\mathcal{B}$  sets  $W_1 = \text{false}$  if  $\mathcal{A}$  outputs a fake proof  $(x^*, \boldsymbol{\pi}^*)$ , where  $\boldsymbol{\pi}^* = (\text{VK}^*, (\mathbf{a}^*, \mathbf{z}^*), sig^*)$  contains a  $\text{VK}^*$  that coincides with the verification key  $\text{VK}^{(i)}$  contained in an output  $\boldsymbol{\pi}_i = (\text{VK}^{(i)}, (\mathbf{a}_i, \mathbf{z}_i), sig_i)$  of  $\text{Sim}_1(\text{crs}, \tau_{\text{zk}}, \cdot, \cdot)$ . The strong unforgeability of



OTS implies that  $\Pr[W_1]$  cannot noticeably differ from  $\Pr[W_0]$ . We can easily turn  $\mathcal{B}$  into a forger such that  $|\Pr[W_1] - \Pr[W_0]| \leq \mathbf{Adv}_{\mathcal{B}}^{\text{ots}}(\lambda)$ .

**Game<sub>2</sub>:** This game is like Game<sub>1</sub> with the following changes. At step 2 of Gen $\mathcal{L}$ , the challenger runs  $K \leftarrow \text{AdmSmp}(1^\lambda, Q, \delta)$  to generate a key  $K \in \{0, 1, \perp\}^L$  for an admissible hash function  $\text{AHF} : \{0, 1\}^\ell \rightarrow \{0, 1\}^L$ , where  $Q$  is an upper bound on the number of adversarial queries. By the second indistinguishability property of the  $R_{\text{BM}}$ -lossy PKE scheme, we know that changing the initialization value does not significantly affect  $\mathcal{A}$ 's view. It follows that

$$|\Pr[W_2] - \Pr[W_1]| \leq 2^{-\Omega(\lambda)}.$$

**Game<sub>3</sub>:** This game is identical to Game<sub>2</sub> with one modification. When the adversary halts and outputs  $x^*$ , the challenger checks if the conditions

$$F_{\text{ADH}}(K, \text{VK}^{(1)}) = \dots = F_{\text{ADH}}(K, \text{VK}^{(Q)}) = 1 \wedge F_{\text{ADH}}(K, \text{VK}^*) = 0 \quad (6.8)$$

are satisfied, where  $\text{VK}^*$  is the one-time verification key in the adversary's output and  $\text{VK}^{(1)}, \dots, \text{VK}^{(Q)}$  are those in adversarial queries. If these conditions do not hold, the challenger aborts and sets  $W_3 = \text{false}$ . For simplicity, we assume that  $\mathcal{B}$  aborts at the very beginning of the game if it detects that there exists  $i \in [Q]$  such that  $F_{\text{ADH}}(K, \text{VK}^{(i)}) = 0$  (recall that  $\{\text{VK}^{(i)}\}_{i=1}^Q$  are chosen at the outset of the game by  $\mathcal{B}$ ). If conditions (6.8) are satisfied, the challenger sets  $W_3 = \text{true}$  whenever  $W_1 = \text{true}$ . Letting Fail denote the event that  $\mathcal{B}$  aborts because (6.8) does not hold, we have  $W_3 = W_2 \wedge \neg \text{Fail}$ . Since the key  $K$  of the admissible hash function is statistically independent of the adversary's view, we can apply Theorem 2.17 to argue that there is a noticeable function  $\delta(\lambda)$  such that  $\Pr[\neg \text{Fail}] \geq \delta(\lambda)$ . This implies

$$\Pr[W_3] = \Pr[W_2 \wedge \neg \text{Fail}] \geq \delta(\lambda) \cdot \Pr[W_2], \quad (6.9)$$

where the inequality stems from the fact that Fail is independent of  $W_1$  since  $K$  is statistically independent of  $\mathcal{A}$ 's view.

We remark that, if conditions (6.8) are satisfied in Game<sub>2</sub>, the one-time verification keys  $(\text{VK}^{(1)}, \dots, \text{VK}^{(Q)}, \text{VK}^*)$  satisfy  $R_{\text{BM}}(K, \text{VK}^*) = 1$  and  $R_{\text{BM}}(K, \text{VK}^{(i)}) = 0$  for all  $i \in [Q]$ .

**Game<sub>4</sub>:** In this game, we modify the oracle  $\text{Sim}_1(\text{crs}, \tau_{zk}, \cdot, \cdot)$  and by exploiting the efficient opening property of R-LPKE for lossy tags (instead of lossy keys). At the  $i$ -th query  $x_i$  to  $\text{Sim}_1(\text{crs}, \tau_{zk}, \cdot, \cdot)$ , we must have  $F_{\text{ADH}}(K, \text{VK}^{(i)}) = 1$  (meaning that  $\text{VK}^{(i)}$  is a lossy tag as  $R_{\text{BM}}(K, \text{VK}^{(i)}) = 0$ ) if  $\mathcal{B}$  did not abort. This allows  $\mathcal{B}$  to equivocate  $\mathbf{a}$  using the trapdoor key  $tk$  instead of the lossy secret key  $sk$  of R-LPKE. Namely, at step 4 of  $\text{Sim}_1$ , the modified oracle computes random coins  $\mathbf{r} \leftarrow \text{Opener}(pk, tk, \text{VK}, \mathbf{a}, \mathbf{a}')$  instead of running  $\text{Opener}'$



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using  $sk$ . We define the Boolean variable  $W_4$  exactly as  $W_3$ . Since  $\text{Opener}$  and  $\text{Opener}'$  output samples from the same distribution  $D_R^{\text{LPKE}}$  over  $R^{\text{LPKE}}$ , this implies that  $|\Pr[W_4] - \Pr[W_3]| \leq 2^{-\lambda}$ .

**Game<sub>5</sub>:** We now modify the distribution of  $\text{crs}$ . At step 2 of  $\text{Gen}$ , we generate the keys for R-LPKE as injective keys  $(pk, sk, tk) \leftarrow \text{Keygen}(\Gamma, K)$  instead of lossy keys  $(pk, sk, tk) \leftarrow \text{LKeygen}(\Gamma, K)$ . The indistinguishability property (i) of R-LPKE ensures that  $\Pr[W_5]$  and  $\Pr[W_4]$  are negligibly far apart. Recall that this indistinguishability property ensures that the distributions of pairs  $(pk, tk)$  produced by  $\text{Keygen}$  and  $\text{LKeygen}$  are computationally indistinguishable. So we can easily build a distinguisher  $\mathcal{B}$  against R-LPKE that bridges between  $\text{Game}_4$  and  $\text{Game}_5$  (by using  $tk$  to simulate  $\text{Sim}_1(\text{crs}, \tau_{zk}, \cdot, \cdot)$  as in  $\text{Game}_4$ ). It comes that  $|\Pr[W_5] - \Pr[W_4]| \leq \text{Adv}_{\mathcal{B}}^{\text{indist-LPKE-1}}(\lambda)$ .

Due to the modification introduced in  $\text{Game}_5$ , if the conditions (6.8) are satisfied, we have  $R_{\text{BM}}(K, \text{VK}^*) = 1$ , meaning that the adversary's fake proof  $\pi^* = (\text{VK}^*, (\mathbf{a}^*, \mathbf{z}^* = (\mathbf{a}'^*, \mathbf{z}'^*, \mathbf{r}^*)), \text{sig}^*)$  involves an injective tag  $\text{VK}^*$ . Since  $pk$  is now an injective key, this implies that  $\mathbf{a}^*$  is an injective encryption of  $\mathbf{a}'^*$  under the tag  $\text{VK}^*$  using the randomness  $\mathbf{r}^*$ .

**Game<sub>6</sub>:** We introduce another change in the distribution of  $\text{crs}_{\mathcal{L}}$ . We consider the relation  $R_{\text{bad}}$  defined by

$$\begin{aligned} ((x, \mathbf{a}, \text{VK}), \text{Chall}) &\in R_{\text{bad}} & (6.10) \\ \Leftrightarrow \text{Chall} &= \text{BadChallenge}(\tau_{\Sigma}, \text{crs}'_{\mathcal{L}}, x, \text{Decrypt}(sk, \text{VK}, \mathbf{a})). \end{aligned}$$

We now generate the key of the correlation-intractable hash function as  $k \leftarrow \text{StatGen}(1^\lambda, \text{aux}_{R_{\text{bad}}})$  instead of  $k \leftarrow \text{Gen}(1^\lambda)$ . Here,  $\text{aux}_{R_{\text{bad}}}$  is the circuit that evaluates  $\text{BadChallenge}$  as per (6.10), where  $\tau_{\Sigma}$  and  $sk$  are hard-wired. Here, we crucially rely on the fact that Definition 6.7 captures key indistinguishability even when  $\text{aux}_R$  is given to the adversary. (This is necessary since  $\text{aux}_R$  depends on  $\tau_{\Sigma}$  and  $\tau_{\mathcal{L}}$  and the latter is given to  $\mathcal{A}$ ). By this key indistinguishability property of  $\mathcal{H}$ , we have  $|\Pr[W_6] - \Pr[W_5]| \leq \text{Adv}_{\mathcal{A}}^{\text{indist-CI}}(\lambda)$ .

In  $\text{Game}_6$ , we claim that  $\Pr[W_6] \leq 2^{-\Omega(\lambda)}$ . Indeed, if  $\mathcal{B}$  did not fail, we know that the adversary's output  $\pi^* = (\text{VK}^*, (\mathbf{a}^*, \mathbf{z}^* = (\mathbf{a}'^*, \mathbf{z}'^*, \mathbf{r}^*)), \text{sig}^*)$  involves an injective tag  $\text{VK}^*$ , so that  $\mathbf{a}^*$  is a statistically binding commitment to  $\mathbf{a}'^*$ . So there exists only one message  $\mathbf{a}'^*$  such that  $\mathbf{a}^* = \text{Encrypt}(pk, \text{VK}^*, \mathbf{a}'^*; \mathbf{r}^*)$  for some  $\mathbf{r}^* \in R^{\text{LPKE}}$ . Said otherwise, there exists only one  $\mathbf{a}'^*$  for which a pair  $(\mathbf{a}'^*, \mathbf{r}^*)$  satisfies step 1 of the verification algorithm. Moreover, since  $\mathbf{a}^*$  uniquely determines  $\mathbf{a}'^*$ , the statistical correlation intractability property of  $\mathcal{H}$  implies that we can only have

$$\text{Hash}(k, (x^*, \mathbf{a}^*, \text{VK}^*)) = \text{BadChallenge}(\tau_\Sigma, \text{crs}'_{\mathcal{D}}, x^*, \text{Decrypt}(sk, \text{VK}^*, \mathbf{a}^*))$$

with exponentially small probability. The probability to have  $W_6 = \text{true}$  is thus smaller than  $2^{-\Omega(\lambda)}$  as claimed.

Putting the above altogether, we obtain

$$\begin{aligned} \text{Adv}_{\mathcal{A}}^{\text{USS}}(\lambda) \leq \text{Adv}_{\mathcal{B}}^{\text{OTS}}(\lambda) &+ \text{Adv}_{\mathcal{B}}^{\text{indist-LPKE-2}}(\lambda) + \frac{1}{\delta(\lambda)} \cdot \left( \text{Adv}_{\mathcal{B}}^{\text{indist-LPKE-1}}(\lambda) \right. \\ &+ \left. \text{Adv}_{\mathcal{B}}^{\text{indist-}\Sigma}(\lambda) + \text{Adv}_{\mathcal{B}}^{\text{indist-CI}}(\lambda) + 2^{-\Omega(\lambda)} \right), \end{aligned}$$

which completes the proof. □

We note that the above security proof is not tight as the use of admissible hash functions induces a security loss  $1/\delta(\lambda)$  (where  $\delta(\lambda)$  is the non-negligible function of Theorem 2.16) in the upper bound on the adversary's advantage.

In [LNPT19] it was actually shown that it is possible to have a tightly secure unbounded-simulation sound NIZK scheme by constructing and using an  $R$ -lossy PKE corresponding to a relation induced by a PRF:  $\mathcal{X} \times \{0, 1\}^\ell \rightarrow \{0, 1\}^\lambda$ , instead of the AHF. The relation  $R_{\text{PRF}} : \mathcal{X} \times \{0, 1\}^\ell \rightarrow \{0, 1\}$  is defined as  $R_{\text{PRF}}(K, (t_a, t_c)) = 1$  iff  $t_c \neq \text{PRF}(K, t_a)$ .

#### 6.4.4 Application: Naor-Yung KDM-CCA2 scheme from LWE

In this section we discuss how to apply the Naor-Yung paradigm and the NIZK construction from section 6.4.2 to obtain the most efficient public-key encryption scheme, providing key-dependent message security under adaptive chosen-ciphertext attacks (or KDM-CCA2 security for short), under the standard Learning-With-Errors (LWE) assumption.

##### Naor-Yung Transform

Naor and Yung [NY90] showed how to use NIZK schemes to obtain public-key encryption, secure against chosen-ciphertext (CCA1) attacks ('lunchtime' attacks), from any scheme that is secure against chosen-plaintext attacks (CPA). They encrypted the same message twice, using two different public keys, and then computed a non-interactive zero-knowledge proof that the encryptions are of the same message. Sahai later showed [Sah99] that the double encryption paradigm gives CCA2 security if the NIZK scheme satisfies the simulation-soundness property.

### Key Dependent Message (KDM) Security

A public-key encryption with Key-Dependent Message (KDM) security [BRS02], assures privacy against adversaries that are able to obtain encryption of messages that depend on the secret key of the scheme. This security notion is useful in situations that may appear due to careless key management or when using disk encryption utilities [BHHO08]. It is also useful for the bootstrapping step in Fully Homomorphic Encryptions [Gen09].

Constructions of public-key KDM-CCA2 secure schemes under lattice assumptions, were previously known to be possible from any KDM-CPA scheme, by combining the generic NIZK techniques [FLS99, SCO<sup>+</sup>01] and the recent results of [CLW19, PS19] with the Naor-Yung [NY90] transform. Unfortunately such schemes are rather inefficient, as the NIZK construction goes through a Karp reduction to the graph Hamiltonicity problem.

To obtain more efficient KDM-CCA2 schemes, we apply the Naor-Yung construction to the scheme of Applebaum, Cash, Peikert, Sahai [ACPS09], which was proved secure under the LWE assumption, but only against key-dependent message for chosen-plaintext attacks (KDM-CPA). In order to prove the stronger KDM-CCA2 security, we use an unbounded simulation-sound NIZK that proves that two ACPS ciphertexts encrypt the same message. To this end, we first construct a trapdoor  $\Sigma$ -protocol for proving that two ACPS ciphertexts encrypt the same message (see Section 6.4.5), then we apply the generic transformation from section 6.4.2. By using this trapdoor  $\Sigma$ -protocol and our generic compiler, we obtain an unbounded simulation-sound NIZK, without going through the Karp reduction. Thus we obtain a more efficient public-key encryption scheme in the end.

The details and the proof of the KDM-CCA2 construction can be checked in [LNPT19], but they follow the same template as any Naor-Yung CCA2 scheme.

#### 6.4.5 A Trapdoor $\Sigma$ -Protocol for ACPS Ciphertexts

The KDM-CPA system of Applebaum *et al.* [ACPS09] uses a modulus  $q = p^2$ , for some prime  $p$ . Its public key  $(\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m$  contains a random matrix  $\mathbf{A} \sim U(\mathbb{Z}_q^{n \times m})$  and a vector  $\mathbf{b} = \mathbf{A}^\top \cdot \mathbf{s} + \mathbf{e}$ , for some  $\mathbf{s} \sim D_{\mathbb{Z}^n, \alpha q}$ ,  $\mathbf{e} \sim D_{\mathbb{Z}^m, \alpha q}$ . Its encryption algorithm proceeds analogously to the primal Regev cryptosystem [Reg05] and computes  $\mathbf{c} = (\bar{\mathbf{c}}, c) = (\mathbf{A} \cdot \mathbf{r}, \mathbf{b}^\top \mathbf{r} + \mu \cdot p + \chi) \in \mathbb{Z}_q^{n+1}$ , where  $\mathbf{r} \sim D_{\mathbb{Z}^m, r}$  is a Gaussian vector and  $\chi \sim D_{\mathbb{Z}, r'}$  is sampled from a Gaussian with a slightly larger standard deviation. Decryption proceeds by rounding  $c - \mathbf{s}^\top \cdot \bar{\mathbf{c}} \pmod q$  to the nearest multiple of  $p$ .

In this section, we describe a trapdoor  $\Sigma$ -protocol allowing to prove that two ACPS ciphertexts  $\mathbf{c}_0 = (\bar{\mathbf{c}}_0, c_0)$ ,  $\mathbf{c}_1 = (\bar{\mathbf{c}}_1, c_1)$  are both encryptions of the same  $\mu \in \mathbb{Z}_p$ . PROVING PLAINTEXT EQUALITIES IN ACPS CIPHERTEXTS. Let  $q = p^2$ , for some prime  $p$ , and a matrix  $\mathbf{A}$  which is used to set up two Regev public keys  $(\mathbf{A}, \mathbf{b}_0) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m$  and  $(\mathbf{A}, \mathbf{b}_1) \in \mathbb{Z}_q^{n \times m} \times \mathbb{Z}_q^m$ , where  $\mathbf{b}_0 = \mathbf{A}^\top \cdot \mathbf{s}_0 + \mathbf{e}_0$  and  $\mathbf{b}_1 = \mathbf{A}^\top \cdot \mathbf{s}_1 + \mathbf{e}_1$  for

some  $\mathbf{s}_0, \mathbf{s}_1 \sim D_{\mathbb{Z}^n, \alpha q}$ ,  $\mathbf{e}_0, \mathbf{e}_1 \sim D_{\mathbb{Z}^m, \alpha q}$ . Let also the matrix

$$\mathbf{A}_{\text{eq}} = \left[ \begin{array}{c|c|c|c} \mathbf{A} & & & \\ \mathbf{b}_0^\top & 1 & & \\ \hline & & \mathbf{A} & \\ & & \mathbf{b}_1^\top & 1 \end{array} \right] \in \mathbb{Z}_q^{2(n+1) \times 2(m+1)}, \quad (6.11)$$

We give a trapdoor  $\Sigma$ -protocol for the language  $\mathcal{L}^{\text{eq}} = (\mathcal{L}_{\text{zk}}^{\text{eq}}, \mathcal{L}_{\text{sound}}^{\text{eq}})$ , where

$$\begin{aligned} \mathcal{L}_{\text{zk}}^{\text{eq}} &:= \left\{ (\mathbf{c}_0, \mathbf{c}_1) \in (\mathbb{Z}_q^{n+1})^2 \mid \exists \mathbf{r}_0, \mathbf{r}_1 \in \mathbb{Z}^m, \chi_0, \chi_1 \in \mathbb{Z}, \mu \in \mathbb{Z}_p : \right. \\ &\quad \|\mathbf{r}_b\| \leq B_r, |\chi_b| \leq B_\chi \quad \forall b \in \{0, 1\} \\ &\quad \wedge \mathbf{c}_b = \bar{\mathbf{A}}_b \cdot [\mathbf{r}_b^\top \mid \chi_b]^\top + \mu \cdot [\mathbf{0}^{n^\top} \mid p]^\top \pmod{q} \left. \right\}, \\ \mathcal{L}_{\text{sound}}^{\text{eq}} &:= \left\{ (\mathbf{c}_0, \mathbf{c}_1) \in (\mathbb{Z}_q^{n+1})^2 \mid \exists \bar{\mathbf{c}}_0, \bar{\mathbf{c}}_1 \in \mathbb{Z}_q^n, v_0, v_1 \in [-B^*, B^*], \mu \in \mathbb{Z}_p \right. \\ &\quad \wedge \mathbf{c}_b = \left. \left[ \begin{array}{c} \bar{\mathbf{c}}_b \\ \mathbf{s}_b^\top \cdot \bar{\mathbf{c}}_b + p \cdot \mu + v_b \end{array} \right] \quad \forall b \in \{0, 1\} \right\}, \end{aligned}$$

where

$$\bar{\mathbf{A}}_b = \left[ \begin{array}{c|c} \mathbf{A} & \\ \mathbf{b}_b^\top & 1 \end{array} \right] \in \mathbb{Z}_q^{(n+1) \times (m+1)} \quad \forall b \in \{0, 1\}.$$

We note that  $\mathcal{L}_{\text{zk}}^{\text{eq}} \subseteq \mathcal{L}_{\text{sound}}^{\text{eq}}$  when  $B_r \alpha q \sqrt{m} + B_\chi < B^* \ll p$ . Also,  $\mathcal{L}_{\text{sound}}^{\text{eq}}$  is equivalently defined as the language of pairs  $(\mathbf{c}_0, \mathbf{c}_1)$  such that

$$\left[ \begin{array}{c|c|c|c} -\mathbf{s}_0^\top & 1 & & \\ \hline & & -\mathbf{s}_1^\top & 1 \end{array} \right] \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} \pmod{q} = \begin{bmatrix} v_0 \\ v_1 \end{bmatrix} + \mu \cdot \begin{bmatrix} p \\ p \end{bmatrix}$$

for some  $\mu \in \mathbb{Z}_p$ ,  $v_0, v_1 \in [-B^*, B^*]$ .

**Gen<sub>par</sub>**( $1^\lambda$ ): On input of a security parameter  $\lambda \in \mathbb{N}$ , choose moduli  $q, p$  with  $q = p^2$ , dimensions  $n, m$ , and error rate  $\alpha > 0$  and a Gaussian parameter  $\sigma_{\text{eq}} \geq \log(2m+2) \cdot \sqrt{B_r^2 + B_\chi^2}$ . Define public parameters  $\text{par} = \{\lambda, q, p, n, m, \alpha, \sigma_{\text{eq}}\}$ .

**Gen<sub>ℒ</sub>**( $\text{par}, \mathcal{L}^{\text{eq}}$ ): Takes in global parameters  $\text{par}$  and the description of a language  $\mathcal{L}^{\text{eq}} = (\mathcal{L}_{\text{zk}}^{\text{eq}}, \mathcal{L}_{\text{sound}}^{\text{eq}})$  specifying real numbers  $B^*, B_r, B_\chi > 0$  such that  $B_r \alpha q \sqrt{m} + B_\chi < B^* \ll p$ , and a matrix  $\mathbf{A}_{\text{eq}}$  from the distribution (6.11). It defines the language-dependent  $\text{crs}_{\mathcal{L}} = \{\bar{\mathbf{A}}, B^*, B_r, B_\chi\}$ . The global CRS is

$$\text{crs} = (\{\lambda, q, p, n, m, \alpha, \sigma_{\text{eq}}\}, \{\mathbf{A}_{\text{eq}}, B^*, B_r, B_\chi\}).$$

**TrapGen**( $\text{par}, \mathcal{L}, \tau_{\mathcal{L}}$ ): Given  $\text{par}$  and a language description  $\mathcal{L}^{\text{eq}}$  that specifies  $B^*, B_r, B_\chi > 0$  satisfying the same constraints as in **Gen<sub>ℒ</sub>**, a matrix  $\mathbf{A}_{\text{eq}}$  sampled from the distribution (6.11), as well as a membership-testing trapdoor  $\tau_{\mathcal{L}} = (\mathbf{s}_0, \mathbf{s}_1) \sim (D_{\mathbb{Z}^n, \alpha q})^2$  for  $\mathcal{L}_{\text{sound}}^{\text{eq}}$ , output  $\text{crs}_{\mathcal{L}} = \{\bar{\mathbf{A}}, B^*, B_r, B_\chi\}$ . The global CRS is  $\text{crs} = (\{\lambda, q, p, n, m, \alpha, \sigma_{\text{eq}}\}, \{\mathbf{A}_{\text{eq}}, B^*, B_r, B_\chi\})$  and the trapdoor  $\tau_{\Sigma} = (\mathbf{s}_0, \mathbf{s}_1) \in \mathbb{Z}^n \times \mathbb{Z}^n$ .

6.4. Direct Constructions of Unbounded Simulation-sound NIZK from Trapdoor Sigma-protocols

$\mathbf{P}(\text{crs}, (\mathbf{c}_0, \mathbf{c}_1), (\mu, \mathbf{w})) \leftrightarrow \mathbf{V}(\text{crs}, \mathbf{x})$ : Given crs and a statement

$$\begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} = \mathbf{A}_{\text{eq}} \cdot [\mathbf{r}_0^\top \mid \chi_0 \mid \mathbf{r}_1^\top \mid \chi_1]^\top + \mu \cdot [\mathbf{0}^{n^\top} \mid p \mid \mathbf{0}^{n^\top} \mid p]^\top \in \mathbb{Z}_q^{2(n+1)},$$

the prover  $P$  (who has  $\mathbf{w} = [\mathbf{r}_0^\top \mid \chi_0 \mid \mathbf{r}_1^\top \mid \chi_1]^\top \in \mathbb{Z}^{2(m+1)}$  and  $\mu \in \mathbb{Z}_p$ ) and the verifier  $V$  interact as follows.

1. The prover  $P$  samples a uniform scalar  $r_\mu \leftarrow U(\mathbb{Z}_p)$  and Gaussian vector  $\mathbf{r}_w \leftarrow D_{\mathbb{Z}^{2(m+1)}, \sigma_{\text{eq}}}$ . It computes the following which is sent to  $V$ :

$$\mathbf{a} = \mathbf{A}_{\text{eq}} \cdot \mathbf{r}_w + r_\mu \cdot [\mathbf{0}^{n^\top} \mid p \mid \mathbf{0}^{n^\top} \mid p]^\top \in \mathbb{Z}_q^{2(n+1)}.$$

2.  $V$  sends a random challenge  $\text{Chall} \in \{0, 1\}$  to  $P$ .
3.  $P$  computes  $\mathbf{z} = \mathbf{r}_w + \text{Chall} \cdot \mathbf{w} \in \mathbb{Z}^{2(m+1)}$ ,  $z_\mu = r_\mu + \text{Chall} \cdot \mu \pmod p$ . It sends  $(\mathbf{z}, z_\mu)$  to  $V$  with probability  $\theta = \min\left(\frac{D_{\mathbb{Z}^{2(m+1)}, \sigma_{\text{eq}}}(\mathbf{z})}{M \cdot D_{\mathbb{Z}^{2(m+1)}, \sigma_{\text{eq}}, \text{Chall} \cdot \mathbf{w}}(\mathbf{z})}, 1\right)$ , where  $M = e^{12/\log(2(m+1))+1/(2\log^2(2(m+1)))}$ .  $P$  aborts, with probability  $1 - \theta$ .
4. Given  $(\mathbf{z}, z_\mu) \in \mathbb{Z}^{2(m+1)} \times \mathbb{Z}_p$ ,  $V$  checks if  $\|\mathbf{z}\| \leq \sigma_{\text{eq}} \sqrt{2(m+1)}$  and

$$\mathbf{a} + \text{Chall} \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} = \mathbf{A}_{\text{eq}} \cdot \mathbf{z} + z_\mu \cdot [\mathbf{0}^{n^\top} \mid p \mid \mathbf{0}^{n^\top} \mid p]^\top \pmod q. \quad (6.12)$$

If these conditions do not both hold,  $V$  halts and returns  $\perp$ .

**BadChallenge**(par,  $\tau_\Sigma$ , crs,  $(\mathbf{c}_0, \mathbf{c}_1)$ ,  $\mathbf{a}$ ): Given  $\tau_\Sigma = (\mathbf{s}_0, \mathbf{s}_1) \in \mathbb{Z}^n \times \mathbb{Z}^n$ , parse the first prover message as  $\mathbf{a} = (\mathbf{a}_0^\top \mid \mathbf{a}_1^\top)^\top \in \mathbb{Z}_q^{2(n+1)}$ . If there exists  $d \in \{0, 1\}$  such that no pair  $(\mu'_d, \mathbf{v}_d) \in [-(p-1)/2, (p-1)/2] \times [-B^*/2, B^*/2]^2$  satisfies

$$\left[ \begin{array}{c|c|c|c} -\mathbf{s}_0^\top & 1 & & \\ \hline & & -\mathbf{s}_1^\top & 1 \end{array} \right] \cdot (\mathbf{a} + d \cdot \mathbf{c}) \pmod q = \mathbf{v}_d + \mu'_d \cdot \begin{bmatrix} p \\ p \end{bmatrix} \quad (6.13)$$

over  $\mathbb{Z}$ , then return  $\text{Chall} = 1 - d$ . Otherwise, return  $\text{Chall} = \perp$ .

The completeness of the protocol crucially uses the fact that  $p$  divides  $q$  to ensure that the response  $z_\mu = r_\mu + \text{Chall} \cdot \mu \pmod p$  satisfies (6.12).

The intuition of **BadChallenge** is that, for a false statement  $(\mathbf{c}_0, \mathbf{c}_1) \notin \mathcal{L}_{\text{sound}}^{\text{eq}}$ , there exists  $d \in \{0, 1\}$  such that no pair  $(\mu'_d, \mathbf{v}_d)$  satisfies (6.13) for a small enough  $\mathbf{v}_d \in \mathbb{Z}^2$ . Moreover, for this challenge  $\text{Chall} = d$ , no valid response can exist, as shown in the proof of Lemma 6.6. We note that **BadChallenge** may output a bit even when there is no bad challenge at all for a given  $\mathbf{a}$ . These “false positives” are not a problem since, in order to soundly instantiate Fiat-Shamir, we only need the somewhere CI hash function to avoid the bad challenge when it exists.

PARALLEL REPETITIONS. To achieve negligible soundness error, the protocol is repeated  $\kappa = \Theta(\lambda)$  times in parallel by first computing  $(\mathbf{a}_1, \dots, \mathbf{a}_\kappa)$  before obtaining the challenge  $\text{Chall} = \text{Chall}[1] \dots \text{Chall}[\kappa]$  and computing the response  $\bar{\mathbf{z}} = (\mathbf{z}_1, \dots, \mathbf{z}_\kappa)$ ,  $(z_{\mu,1}, \dots, z_{\mu,\kappa})$ . We then handle  $\bar{\mathbf{z}}$  as an integer vector in  $\mathbb{Z}^{\kappa \cdot (m+1)}$  and reject it with probability  $\theta = \min\left(1, D_{\mathbb{Z}^{2\kappa \cdot (m+1)}, \sigma_{\text{eq}}}(\mathbf{z}) / M \cdot D_{\mathbb{Z}^{2\kappa \cdot (m+1)}, \sigma_{\text{eq}}, \text{Chall} \cdot (\mathbf{1}^\kappa \otimes \mathbf{w})}(\mathbf{z})\right)$ , with the value of  $M = e^{12/\log(2\kappa \cdot (m+1)) + 1/(2\log^2(2\kappa \cdot (m+1)))}$ . Then, we need to slightly increase  $\sigma_{\text{eq}}$  and set  $\sigma_{\text{eq}} \geq \log(2\kappa(m+1)) \cdot \sqrt{\kappa(B_r^2 + B_\chi^2)}$ .

**Lemma 6.6.** *The above construction is a trapdoor  $\Sigma$ -protocol for  $\mathcal{L}^{\text{eq}}$  if we set  $\sigma_{\text{eq}} \geq \log(2m+2) \cdot \sqrt{B_r^2 + B_\chi^2}$  and*

$$B^* > \max(2\sigma_{\text{eq}}\sqrt{2m+2} \cdot (\alpha q\sqrt{m} + 1), B_r \alpha q\sqrt{m} + B_\chi).$$

*Proof.* The special ZK simulator proceeds as follows. Given a statement  $(\mathbf{c}_0, \mathbf{c}_1) \in \mathcal{L}_{\text{zk}}^{\text{eq}}$  and a challenge  $\text{Chall}^* \in \{0, 1\}$ , the simulator first samples  $\mathbf{z}^* \leftarrow D_{\mathbb{Z}^{2(m+1)}, \sigma_{\text{eq}}}$  and  $z_\mu^* \leftarrow U(\mathbb{Z}_p)$ . Then, it computes

$$\mathbf{a}^* = \mathbf{A}_{\text{eq}} \cdot \mathbf{z}^* + z_\mu^* \cdot [\mathbf{0}^{n^\top} \mid p \mid \mathbf{0}^{n^\top} \mid p]^\top - \text{Chall}^* \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} \bmod q.$$

It outputs  $(\mathbf{a}^*, \text{Chall}^*, (\mathbf{z}^*, z_\mu^*))$  with probability  $1/M$ . By construction, the triple  $(\mathbf{a}^*, \text{Chall}^*, (\mathbf{z}^*, z_\mu^*))$  satisfies the verification conditions with high probability. We show that it is statistically indistinguishable from a real transcript. If we have  $(\mathbf{c}_0, \mathbf{c}_1) \in \mathcal{L}_{\text{zk}}^{\text{eq}}$ , there exist  $\mathbf{r}_0, \mathbf{r}_1 \in \mathbb{Z}^m$ ,  $\chi_0, \chi_1 \in \mathbb{Z}$  and  $\mu \in \mathbb{Z}_p$  such that  $\|\mathbf{r}_b\| \leq B_r$ ,  $|\chi_b| \leq B_\chi$  and  $\mathbf{c}_b = \bar{\mathbf{A}}_b \cdot [\mathbf{r}_b^\top \mid \chi_b]^\top + \mu \cdot [\mathbf{0}^{n^\top} \mid p]^\top \bmod q$  for  $b \in \{0, 1\}$ . The distribution of  $\mathbf{z} \in \mathbb{Z}^{2(m+1)}$  in a real transcript is thus  $D_{\mathbb{Z}^{2(m+1)}, \sigma_{\text{eq}}, \text{Chall} \cdot \mathbf{w}}$ , where  $\mathbf{w} = [\mathbf{r}_0^\top \mid \chi_0 \mid \mathbf{r}_1^\top \mid \chi_1]^\top$ . By Lemma 2.7 and our choice of  $\sigma_{\text{eq}} \geq \log(2m+2) \cdot \sqrt{B_r^2 + B_\chi^2}$ , the distribution of the simulated  $\mathbf{z}^*$  is within statistical distance  $2^{-100}/M$  from that of a real non-aborting transcript. Moreover, the component  $z_\mu$  in the real protocol is uniformly random over  $\mathbb{Z}_p$ , so is the respective component  $z_\mu^*$  in the simulation. Finally, in both the real protocol and the simulation, the statement  $(\mathbf{c}_0, \mathbf{c}_1)$ , the challenge  $\text{Chall}$  and the response  $(\mathbf{z}, z_\mu)$  uniquely determine  $\mathbf{a}$ .

Soundness can be shown using the same arguments as well. Let us assume that, for a given  $\mathbf{a} \in \mathbb{Z}_q^{2(n+1)}$ , there exist two valid responses  $(\mathbf{z}_b, z_{\mu,b}) \in \mathbb{Z}^{2m+2} \times \mathbb{Z}_p$  with  $\|\mathbf{z}_b\| \leq \sigma_{\text{eq}} \cdot \sqrt{2m+2}$  for each  $b \in \{0, 1\}$  and such that

$$\mathbf{a} + b \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} = \mathbf{A}_{\text{eq}} \cdot \mathbf{z}_b + z_{\mu,b} \cdot \begin{bmatrix} \mathbf{0}^n \\ p \\ \mathbf{0}^n \\ p \end{bmatrix} \bmod q.$$

Subtracting them yields

$$\begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} = \mathbf{A}_{\text{eq}} \cdot (\mathbf{z}_1 - \mathbf{z}_0) + (z_{\mu,1} - z_{\mu,0} \bmod p) \cdot \begin{bmatrix} \mathbf{0}^n \\ p \\ \mathbf{0}^n \\ p \end{bmatrix} \bmod q,$$

where  $\|\mathbf{z}_1 - \mathbf{z}_0\| \leq 2\sigma_{\text{eq}} \cdot \sqrt{2m+2}$ . Then, we also have

$$\begin{aligned} & \left[ \begin{array}{c|c|c|c} -\mathbf{s}_0^\top & 1 & & \\ \hline & & -\mathbf{s}_1^\top & 1 \\ \hline \end{array} \right] \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} \\ &= \left[ \begin{array}{c|c|c|c} \mathbf{e}_0^\top & 1 & & \\ \hline & & \mathbf{e}_1^\top & 1 \\ \hline \end{array} \right] \cdot (\mathbf{z}_1 - \mathbf{z}_0) + (z_{\mu,1} - z_{\mu,0} \bmod p) \cdot \begin{bmatrix} p \\ p \end{bmatrix}, \end{aligned}$$

which implies that  $(\mathbf{c}_0, \mathbf{c}_1) \in \mathcal{L}_{\text{sound}}^{\text{eq}}$  since  $\|[\mathbf{e}_0^\top \mid 1 \mid \mathbf{0}^n \mid 0]^\top \cdot (\mathbf{z}_1 - \mathbf{z}_0)\| < 2\sigma_{\text{eq}}\sqrt{2m+2} \cdot (\alpha q\sqrt{m} + 1) < B^*$  and  $\|[\mathbf{0}^n \mid 0 \mid \mathbf{e}_1^\top \mid 1]^\top \cdot (\mathbf{z}_1 - \mathbf{z}_0)\| < B^*$ .

We now show that BadChallenge provides the correct output. First, assuming that  $(\mathbf{c}_0, \mathbf{c}_1) \notin \mathcal{L}_{\text{sound}}^{\text{eq}}$ , for a given  $\mathbf{a} \in \mathbb{Z}_q^{2n+2}$ , there cannot exist two distinct pairs  $(\mu_0, \mathbf{v}_0), (\mu_1, \mathbf{v}_1) \in [-(p-1)/2, (p-1)/2] \times [-B^*/2, B^*/2]^2$  such that the following equality holds over  $\mathbb{Z}$  for each  $b \in \{0, 1\}$ :

$$\left[ \begin{array}{c|c|c|c} -\mathbf{s}_0^\top & 1 & & \\ \hline & & -\mathbf{s}_1^\top & 1 \\ \hline \end{array} \right] \cdot \left( \mathbf{a} + b \cdot \begin{bmatrix} \mathbf{c}_0 \\ \mathbf{c}_1 \end{bmatrix} \right) \bmod q = \mathbf{v}_b + \mu_b \cdot \begin{bmatrix} p \\ p \end{bmatrix}. \quad (6.14)$$

Let us first assume that there exists no  $(\mu_0, \mathbf{v}_0) \in \mathbb{Z}_p \times [-B^*/2, B^*/2]^2$  satisfying (6.14) for  $b = 0$ . Then, there can be no valid response for  $\text{Chall} = 0$ . Indeed, the verifier would only accept a response  $(\mathbf{z}_0, z_{\mu,0}) \in \mathbb{Z}^{2m+2} \times \mathbb{Z}_p$  satisfying

$$\mathbf{a} = \mathbf{A}_{\text{eq}} \cdot \mathbf{z}_0 + z_{\mu,0} \cdot \begin{bmatrix} \mathbf{0}^n \\ p \\ \mathbf{0}^n \\ p \end{bmatrix} \bmod q$$

and  $\|\mathbf{z}_0\| \leq \sigma_{\text{eq}}\sqrt{2m+2}$ , which would imply

$$\left[ \begin{array}{c|c|c|c} -\mathbf{s}_0^\top & 1 & & \\ \hline & & -\mathbf{s}_1^\top & 1 \\ \hline \end{array} \right] \cdot \mathbf{a} \bmod q = \left[ \begin{array}{c|c|c|c} \mathbf{e}_0^\top & 1 & & \\ \hline & & \mathbf{e}_1^\top & 1 \\ \hline \end{array} \right] \cdot \mathbf{z}_0 + z_{\mu,0} \cdot \begin{bmatrix} p \\ p \end{bmatrix}$$

with the inequalities  $\|[\mathbf{e}_0^\top \mid 1 \mid \mathbf{0}^n \mid 0] \cdot \mathbf{z}_0\| < \sigma_{\text{eq}}\sqrt{2m+2} \cdot (\alpha q\sqrt{m} + 1) < B^*/2$  and  $\|[\mathbf{0}^n \mid 0 \mid \mathbf{e}_1^\top \mid 1] \cdot \mathbf{z}_0\| < \sigma_{\text{eq}}\sqrt{2m+2} \cdot (\alpha q\sqrt{m} + 1) < B^*/2$ .

Similarly, assuming that there exists no pair  $(\mu_1, \mathbf{v}_1) \in \mathbb{Z}_p \times [-B^*/2, B^*/2]^2$  satisfying (6.14) for  $b = 1$ , we obtain that no valid response can exist for  $\text{Chall} = 1$ . Since there exists  $b \in \{0, 1\}$  such that no pair  $(\mu_b, \mathbf{v}_b) \in [-(p-1)/2, (p-1)/2] \times [-B^*/2, B^*/2]^2$  satisfies (6.14), we conclude that BadChallenge always eliminates a  $\text{Chall} \in \{0, 1\}$  for which no valid response exists for a given  $\mathbf{a}$ .  $\square$

## Chapter

# 7

## Conclusion

### Summary of the Contribution

In this thesis, we focused our attention on building new cryptographic primitives, with modern functionalities and advanced security under standard computational assumptions, like learning With Errors (LWE), Decisional Diffie-Hellman (DDH) or Paillier's Decisional Composite Residuosity (DCR) assumption.

In Chapter 3, we discussed the construction of the first Distributed Pseudo-Random Function family that is simultaneously non-interactive and secure under adaptive corruptions. Security is proven under the LWE assumption. The main ingredient for our result is a new security proof for the Key-Homomorphic PRF of [BLMR13], that allows the reduction to know the secret key of the centralized version of the PRF. This feature is important when proving the security in the decentralized case, since a reduction that knows the secrets of all the users, is able to consistently answer adaptive queries for the adversary.

Then we continued with the study of Functional Encryption for the restricted class of linear functions. In Chapter 5 we gave the first construction of MCFE that supports labels, and is secure against adaptive corruptions, under a well established computational assumption. The security is proven under the subexponential hardness of LWE and it exploits, in a non-generic way, the connection with the previously mentioned security proof for DPRFs, against adaptive corruptions.

In Chapter 4, we showed that the IPFE schemes (or some variants) of [ALS16] are in fact adaptive-simulation secure, therefore establishing optimal security for this primitive, among the IND-based and SIM-based definitions considered in the literature so far. The main insight for these proofs was that the semi-adaptive simulator of [Wee17] can be adapted to prove AD-SIM security.

Finally, in Chapter 6, we presented alternative ways to [FLS99, SCO<sup>+</sup>01] for obtaining unbounded simulation-sound NIZKs, along with a trapdoor  $\Sigma$ -protocol



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for proving that two ACPS [ACPS09] ciphertexts encrypt the same message. Combining these two results, we can apply the Naor-Young [NY90] transformation, to get the most efficient public-key encryption scheme with Key-Dependent Message (KDM) security against CCA2 attacks, under a standard assumption (LWE). By using the particular trapdoor  $\Sigma$ -protocol for ACPS ciphertexts and our generic NIZK transformation, we avoid the use of a Karp reduction to the graph Hamiltonicity problem and applying the general transformations of [FLS99, SCO<sup>+</sup>01].

## Open Problems

Below, I propose a list of unsolved questions that naturally arise in the context of this thesis.

**Question 1:** *Can we get non-interactive DPRFs, secure against adaptive corruptions, under the LWE assumption with a polynomial modulus  $q$ ? In general, can we get efficient DPRFs, secure against adaptive corruptions, under any other standard assumption?*

A recent result [Kim20] shows that we can have Key-Homomorphic PRFs from the LWE assumption with polynomial modulus. This implies a generic DPRF construction that only achieves static security under LWE with small modulus.

The construction from [NPR00] is very efficient, but it is only heuristically secure in the static security model, under the assumptions of random oracles.

Another way of getting more efficient DPRFs might be to construct them using algebraic variants of LWE (like Ring-LWE or Module-LWE). This strategy may reduce the size of the public parameters (which in our construction is dominated by the matrices from the public key) and the computational cost of evaluating the PRF (in our case this is dominated by a sequence of matrix multiplications).

**Question 2:** *Can we have AD-SIM functional encryption for quadratic functions, under standard assumptions?*

Practical functional encryption schemes under standard assumptions, that work for functionalities beyond linear functions have been constructed as well [Lin17, BCFG17], but only in the IND model. Recently, [Gay20] gave a semi-AD-SIM secure construction, with succinct ciphertexts, for quadratic functions.

**Question 3:** *Can we construct MCFE (with labels), for quadratic functions, under standard assumptions?*

**Question 4:** *Can we push beyond quadratic functionality by constructing FE for degree-3 polynomials, under standard assumptions?*

This is one major open problem in functional encryption, as the existence of FE supporting degree-3 polynomials, with succinct ciphertexts, together with the existence of a special class of PRGs, implies [LT17] Indistinguishability Obfuscation (iO) [BGI<sup>+</sup>01]. This is a very powerful primitive that is known to imply a very large set of cryptographic applications. Moreover, from a practical point of view we want, efficient schemes that can handle a broad class of functionalities, from minimal assumptions. For instance, FE for quadratic functions has been used to apply Machine Learning on encrypted data [RDG<sup>+</sup>19].

**Question 5:** *Can we build more efficient Correlation-Intractable hash functions, under standard assumptions?*

The Fiat-Shamir transform is usually used to obtain very efficient constructions in the Random Oracle Model. Building very efficient CI hash functions will allow practical schemes, but under standard assumptions. The current standard model CI constructions [PS19] rely on techniques introduced for computing on encrypted data, which are not very efficient. In particular, in the construction of [PS19], the size of the hashing key grows with the description size of the circuits for which it is correlation intractable. It would be desirable to have more compact hashing keys under the standard LWE assumption.

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