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# Sintering behavior and microstructural evolution of NbC-Ni cemented carbides.

Mathilde Labonne

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## THÈSE

Pour obtenir le grade de

### DOCTEUR DE L'UNIVERSITÉ GRENOBLE ALPES

Spécialité : **2MGE : Matériaux, Mécanique, Génie civil, Electrochimie**

Arrêté ministériel : 25 mai 2016

Présentée par

**Mathilde LABONNE**

Thèse dirigée par

**Jean-Michel MISSIAEN, Professeur, Grenoble-INP**

et codirigée par

**Sabine LAY, Directrice de Recherche, CNRS**

préparée au sein du **Laboratoire Science et Ingénierie des Matériaux et Procédés**

dans l'**École Doctorale I-MEP2 – Ingénierie – Matériaux, Mécanique, Environnement, Energétique, Procédés, Production**

**Frittage et évolution microstructurale de carbures cémentés NbC-Ni.**

**Sintering behavior and microstructural evolution of NbC-Ni cemented carbides.**

Thèse soutenue publiquement le **20 novembre 2020**,  
devant le jury composé de :

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THÈSE

Pour obtenir le grade de  
DOCTEUR DE LA COMMUNAUTÉ UNIVERSITÉ GRENOBLE ALPES  
Spécialité : 2MGE : Matériaux, Mécanique, Génie civil, Electrochimie  
Arrêté ministériel : 25 mai 2016

Présentée par  
**Mathilde LABONNE**

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Au sein du Laboratoire Science et Ingénierie des Matériaux et Procédés dans l'École  
Doctorale I-MEP2 - Ingénierie - Matériaux, Mécanique, Environnement, Energétique, Procédés,  
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Jury :

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This work was carried out at SIMAP laboratory, part of Grenoble INP, Grenoble, France, in collaboration with Hyperion Materials & Technologies in Martorelles, Spain.



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---

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# Table of content

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<b>Introduction</b> .....	<b>9</b>
<b>I. Bibliography</b> .....	<b>13</b>
I.A. Cemented carbides .....	13
I.A.1 Context.....	13
I.A.1.a History of hardmetals.....	13
I.A.1.b Present economical context for WC-Co materials and NbC based alternative.....	13
I.A.2 Niobium based cemented carbides.....	14
I.A.2.a Production of niobium carbides .....	14
I.A.2.b General properties of NbC.....	15
I.A.3 NbC-M cemented carbides .....	17
I.A.4 The NbC-Ni system .....	18
I.A.4.a Phase diagrams .....	18
I.A.4.b Effect of the carbon content.....	19
I.B Sintering of cemented carbides .....	20
I.C Grain growth .....	24
I.C.1 Grain growth mechanism .....	25
I.C.1.a Ostwald ripening (LSW theory).....	25
I.C.1.b Abnormal grain growth and 2D nucleation on faceted grains .....	26
I.C.1.c Binder volume fraction & contiguity .....	28
I.C.2 Grain growth in NbC based cemented carbides .....	31
I.C.2.a Microstructure & kinetics .....	31
I.C.2.b Temperature .....	34
I.C.2.c Carbon content .....	36
I.C.2.d Effect of secondary carbide additions.....	37
I.D Mechanical properties .....	41
I.D.1 Hardness & Toughness in NbC based materials .....	41
I.D.2 Other mechanical properties in NbC based materials .....	45
<b>II. Method &amp; Materials</b> .....	<b>47</b>
II.A. Sample preparation .....	47
II.A.1 Compositions.....	47
II.A.2 Raw materials .....	49
II.A.3 Powder mixing .....	50
II.A.3 Powder compaction .....	51

II.B Thermal cycles .....	53
II.B.1 Thermal cycle atmospheres .....	53
II.B.2 Two-step thermal cycles .....	58
II.B.2.a Debinding cycle .....	58
II.B.2.b Sintering cycles .....	59
II.B.3 Industrial cycle .....	61
II.C Experimental characterization techniques .....	62
II.C.1 Sintering study .....	62
II.C.1.a Thermogravimetric Analysis .....	62
II.C.1.b Dilatometry .....	63
II.C.2 Carbon content measurements .....	64
II.C.3 Microstructural study .....	65
II.C.3.a Sample preparation .....	65
II.C.3.b XRD .....	66
II.C.3.c SEM & EDS .....	66
II.C.3.d TEM & EDS .....	67
II.C.3.e EBSD .....	68
II.C.4 Mechanical Study .....	68
II.D EBSD Image analysis .....	68
II.D.1 Data acquisition .....	69
II.D.2 Data cleaning and pre-processing .....	70
II.D.3 Processing and Grain size distribution .....	71
II.D.4 Contiguity .....	74
<b>III. Sintering &amp; microstructure evolution of NbC-0.5vol%WC-12vol%Ni .....</b>	<b>75</b>
III.A. Physical and chemical transformations during heating .....	75
III.A.1 Mass loss during debinding and sintering .....	75
III.A.2 Carbon loss .....	76
III.B Sintering .....	77
III.C Microstructure characteristics .....	77
III.D Discussion .....	80
<b>IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni .....</b>	<b>83</b>
IV.A. Physical and chemical transformations during heating .....	84
IV.A.1 Mass loss during sintering .....	84
IV.A.2 Carbon content after sintering .....	85
IV.B Sintering .....	86

IV.C Microstructural evolutions .....	88
IV.C.1 Microstructural analysis .....	88
IV.C.2 Effect of decarburizing on graphite distribution in C-rich compositions .....	92
IV.D Mechanical properties .....	94
IV.E Discussion .....	94
IV.E.1 General discussion .....	94
IV.E.2 Localization of the studied compositions with respect to the phase diagram .....	98
<b>V. Effect of Mo<sub>2</sub>C addition in NbC-0.5vol%WC-12vol%Ni addition effect .....</b>	<b>103</b>
V.A Physical and chemical transformations during heating .....	103
V.A.1 Mass loss .....	103
V.A.2 Carbon content after sintering .....	104
V.B Sintering .....	104
V.C Microstructural evolutions .....	105
V.C.1 Effect of Mo <sub>2</sub> C addition .....	106
V.C.2 Grain boundary and phase boundary analysis .....	110
V.C.3 Temperature dependent microstructural evolution .....	113
V.D Mechanical properties .....	116
V.E Discussion .....	117
<b>VI. Grain growth in NbC-12vol%Ni – Effect of Mo<sub>2</sub>C and WC additions .....</b>	<b>121</b>
VI.A. Studied compositions .....	121
VI.B Microstructure .....	121
VI.B.1 NbC-12vol%Ni .....	121
VI.B.2 NbC-12vol%Ni with WC and Mo <sub>2</sub> C additions .....	123
VI.C Evolution of grain size .....	124
VI.C.1 NbC-12vol%Ni .....	124
VI.C.2 NbC-12vol%Ni with WC and Mo <sub>2</sub> C additions .....	127
VI.D Contiguity .....	130
VI.D.1 NbC-12vol%Ni .....	130
VI.D.2 NbC-12vol%Ni with WC and Mo <sub>2</sub> C additions .....	131
VI.E Discussion .....	132
VI.E.1 Grain growth mechanisms in NbC-12vol%Ni .....	132
VI.E.2 Modelling of grain growth in systems with cooperative migration .....	137
VI.E.3 Grain growth in NbC-12vol%Ni with WC or Mo <sub>2</sub> C additions .....	141
<b>Conclusion &amp; Perspectives .....</b>	<b>143</b>
<b>References .....</b>	<b>147</b>



<b>Appendix 1 – Literature summary table.....</b>	<b>153</b>
<b>Appendix 2 – Toughness from Vickers indentations.....</b>	<b>157</b>
<b>Appendix 3 – Thermodynamic calculations.....</b>	<b>159</b>
<b>Appendix 4 – EBSD data treatment .....</b>	<b>161</b>
A4.1 Data cleaning and pre-processing .....	161
A4.2 Processing and Grain size distribution .....	162
A4.3 Aphelion code for processing.....	163
<b>Appendix 5 – 3D size distribution reconstruction .....</b>	<b>169</b>
A5.1 Saltykov method .....	169
A5.2 Regression method.....	170
<b>Appendix 6 – Nickel grain size .....</b>	<b>171</b>
<b>Appendix 7 – Effect of decarburizing on graphite distribution in C-rich compositions - Calculations .....</b>	<b>173</b>
<b>Appendix 8 – Chemical effect on interface energy for systems with mutual solubility.....</b>	<b>175</b>



# Introduction

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For more than 70 years, WC-Co materials have been used worldwide for numerous applications in tooling, mining equipment, wear parts and many other industrial applications. Their strong hardness and wear resistance, combined with an overall good toughness, and their relative cheapness, especially compared to diamond tooling, have made them a major industrial material. Therefore, many studies have been carried out over the years to understand their properties, and to develop WC-Co materials adapted for every application. To only state a few, mixed WC-Co materials with secondary carbides such as TiC, NbC or VC were developed, alternative binders were investigated, such as nickel and iron, and the effect of the size distribution of WC powder particles was analyzed, leading to the development of bimodal distributions materials. However, in most applications, tungsten carbide remains the main ingredient in cemented carbides.

Unfortunately, it has been recently determined that the cobalt and tungsten oxides present risks and health issues. Notably, the European commission classified cobalt as a carcinogenic component in 2013, strongly advising industries to find alternatives to this element. Furthermore, the worldwide demand for tungsten and cobalt has been continuously increasing, although the mining stocks of both elements are limited, which led to regular price increases over the years. This global context has led industrial companies to investigate alternative carbide based materials.

Niobium carbides are increasingly considered to replace tungsten carbides for specific applications. Although those carbides present a slightly lower hardness, they have remarkable wear properties and are twice less dense than WC. Furthermore, no particular health hazards were identified for the use of bulk metallic niobium, niobium carbides and niobium oxides (mostly Nb<sub>2</sub>O<sub>5</sub>), and those components are fully REACH-registered [1]. In the 60's and 70's, NbC based cemented carbides were already investigated, alongside tungsten carbides. However, the prohibitive availability of niobium and the resulting high prices at the time led researchers and companies to focus their attention on WC-Co materials. The situation has changed in the last 40 years, since large stocks of niobium have been discovered in Brazil, which led to a price drop, and made it economically competitive in comparison with tungsten.

Different binders were tested to be combined with niobium carbides in recent years, to compensate for their brittleness. Good properties were obtained with nickel and cobalt binders, both classically used with WC. However, as previously stated, the use of cobalt presents significant health hazard, and thus the use of this binder should be avoided. Iron based binders such as steel were also investigated with mitigated results.

Previous studies of NbC based materials have mostly focused on the microstructures and the resulting mechanical properties, notably the hardness, toughness and wear properties. However, the sintering process of niobium carbide based cemented carbides and the grain growth mechanism involved have not yet been fully investigated. The present study aims to analyze the sintering behavior and grain growth of NbC-Ni materials. Different secondary carbides are added to limit the grain size. The effect of addition of different quantities of Mo<sub>2</sub>C will notably be investigated. A particular focus will also be made on the grain growth in such materials. Furthermore, the effect of carbon content will be

investigated, as the carbon window for the two-phase (NbC + Ni) domain is much wider than for WC + Co.

The first chapter aims to give the necessary background to understand this study, and a general overview of what has been done regarding NbC-based materials in the literature in the last 70 years. The context for NbC based materials is presented, with a comparison of the NbC and WC general properties. Then, the thermodynamic behavior of a NbC-Ni system is introduced. In a second part, a general presentation of sintering and grain growth mechanisms is given, and then linked to the sintering and grain growth behavior observed so far in NbC based materials. The effects of the temperature, the carbon content and the addition of secondary carbides are detailed. Finally, the mechanical behavior of NbC based materials is discussed, and compared to what is obtained for WC based materials.

In a second chapter, the materials, processing methods and characterization techniques are detailed. The compositions and the processing of the raw powders up to compression, debinding and sintering are presented. A thermodynamic analysis is introduced to justify the thermal cycles used in this study. Then, the characterization techniques to track the sintering process and to analyze the microstructures are listed. Finally, the image analysis method used to process EBSD maps is explained, from the acquisition method and image cleaning, up to the grain size quantification and contiguity measurements.

A NbC-0.5vol%WC-12vol%Ni reference composition was chosen for this study, and is analyzed and compared to other compositions in the following chapters. The third chapter presents its debinding and sintering behavior, microstructure and mechanical properties. The debinding process is particularly investigated.

The carbon content in carbides can have a significant impact on the microstructures and the resulting mechanical properties. Its impact on the sintering behavior, microstructures and mechanical properties of NbC-Ni materials is studied in chapter IV. A range of compositions was chosen with different carbon contents, with the help of a thermodynamic phase diagram. The relationship between the measured carbon contents in sintered materials, the observed microstructures and properties, and the thermodynamic phase diagram is then discussed.

The fifth chapter focuses on the effect of secondary carbides addition to the reference mix NbC-0.5vol%WC-12vol%Ni studied in chapter III. Mo<sub>2</sub>C is added in different quantities to this mix. The sintering behavior, microstructures and mechanical properties with different Mo<sub>2</sub>C content are analyzed. The effect of addition of the secondary carbide on grain growth is particularly investigated. To understand the impact of this addition on the sintering process, interrupted test are performed. A low scale microstructural study of the interfaces is also carried out to localize the repartition of Mo after the dissolution of Mo<sub>2</sub>C carbides.

The last chapter focuses on the grain growth behavior of NbC based materials. For this study, samples of a NbC-12vol%Ni composition, without WC, are sintered with different dwelling times at 1360°C. 2D and 3D grain size distributions as well as the contiguity of the carbide phase and the binder volume fraction are determined from EBSD maps. To determine the grain growth mechanisms involved, grain growth constants are estimated from literature data and from microstructural parameters. The experimental growth rates are then compared to the theoretical growth rate derived from these constants. Finally, a model is proposed to analyze the respective contributions of phase boundary migration and

grain boundary migration on grain growth, and to explain the discrepancy between our experimental growth rate results and the predictions of simple models from the literature.



# I. Bibliography

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## I.A. Cemented carbides

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### I.A.1 Context

#### I.A.1.a History of hardmetals

The first hardmetals were developed after the First World War to replace diamond drawing dies which were very costly [2]. Tungsten carbide was considered due to its high hardness. To reduce its brittleness, it was first combined with Fe or Ni, but results were inconclusive. Combined with cobalt, it however gave impressive results and thus a first patent was filed by Schröter in 1923 [3]. The first production of such material was made by the Krupp Company in 1926 under the trade name “WIDIA”, created from the German word “wie” and “diamant”, meaning literally diamond-like [2].

During the Second World War, Germany and Austria both suffered severe shortages of tungsten, leading to researches for tungsten-free hardmetals [4]. In the late 60s and early 70s, other carbides were considered to produce hardmetals by Warren, including NbC combined with Co [5], Ni or Fe [6], but also VC [7], TaC or Mo<sub>2</sub>C, and mixed carbides [8]. However, no industrial interest emerged for these materials as the properties of WC-Co materials were constantly improved by secondary carbide additions as grain growth inhibitors [2] or by refining the initial powders grain size [4], combined with new sintering processes.

#### I.A.1.b Present economical context for WC-Co materials and NbC based alternative

Both cobalt and tungsten were classified as CRM (Critical Raw Materials) by the European commission in 2014 [9] and again in 2017 [10]. The demand for both those elements is strong although the mining stocks of virgin materials are limited, leading to regular prices increase over the last 50 years [11].

In addition, it was determined in the last 20 years that the use of cobalt and tungsten oxides presents risks and health issues [12][13]. The exposition to metallic dust is indeed a major issue in the processing of hardmetals. Recently, the tungsten trioxide (WO<sub>3</sub>) and the tricobalt tetraoxide (Co<sub>3</sub>O<sub>4</sub>) have been identified as having a mutagenic, carcinogenic and reproductive toxicity in the frame of the European ‘Registration, Evaluation, Authorization and Restriction of Chemical Substances Program’, also known under the acronym REACH [14]. Both those oxides can be secondary products of the WC-Co cemented carbides production. Tungsten carbide and bulk cobalt metal have however not yet been restricted by any important classifications.

Consequently to those limitations, alternative materials to WC-Co are investigated, among which WC combined with an alternative binder [15] or NbC based materials. Niobium is also from the groups IVB to VIB in the periodic table that is known to give very hard carbides, superior to that of most minerals.

Its density is half the tungsten carbide density, which could be advantageous for a number of applications. It also presents an impressive wear resistance [1]. However, little attention has been drawn to the niobium carbide in the past by industrials, probably because of the prohibitive availability and prices at the time.

Nowadays, stocks and resources of niobium are significant: up to 3.8 billion tons in 2009, mainly from Brazil [16]. This led to a drop of the metallic niobium price compared to tungsten in the 80s [11]. It is therefore more and more attractive for companies to consider niobium containing materials. Bulk niobium, niobium carbides and niobium oxides (mostly Nb<sub>2</sub>O<sub>5</sub>) are fully REACH-registered and so far no particular hazards or critical notifications were filed [1]. Although niobium is considered as a CRM by the European commission, it is mostly for its ferroniobium form [11], not its metallic or carbide form. NbC is preferentially combined with a nickel binder because nickel does not have hazardous classification contrary to cobalt [9], and because of its significantly lower price [17].

### I.A.2 Niobium based cemented carbides

#### I.A.2.a Production of niobium carbides

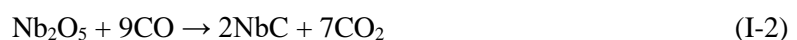
Niobium, also known as columbium, is extracted at 96% from a specific ore: The pyrochlor of formula (Na,Ca,Ba)(Nb,Ta)<sub>2</sub>O<sub>6</sub>Z (where Z = O, OH, F), a cubic mineral that is combined with microlite (tantalum) in solid solution. This ore is found in geological formation called “carbonites” which contains from 0,5% to 5% of Nb<sub>2</sub>O<sub>5</sub> (niobium pentoxide). The remaining 4% comes from Columbite (Fe,Mn)(Nb,Ta)<sub>2</sub>O<sub>6</sub> [18] [16].

At first, pyrochlor is scattered in a carbonated gangue with other minerals (sulfides...). To extract niobium from it, the following process is generally applied [18]: Rocks are crushed to separate different minerals. Then, a first flotation eliminates the carbonates, then the magnetic minerals are separated, and then a second flotation in oxalic acid and H<sub>2</sub>SiF<sub>6</sub> leads to the extraction of pyrochlor and sulfides. Finally, a leaching with hydrochloric acid is performed to eliminate the last traces of carbonates & sulfides. The obtained product contains 60-65wt.% Nb<sub>2</sub>O<sub>5</sub>, 10-15wt.% CaO or BaO and traces of Na<sub>2</sub>O, SiO<sub>2</sub> and Fe<sub>2</sub>O<sub>3</sub>.

Nb<sub>2</sub>O<sub>5</sub> is the raw material for niobium carbide production. It is reduced to obtain either metallic niobium or niobium carbides. One process to obtain NbC is the carbo-thermal reduction of Nb<sub>2</sub>O<sub>5</sub> under vacuum at high temperature (above 800°C), see formula (I-1) [1].



However, those reactions do not represent the actual steady state reaction since the process is controlled by gas-solid phase reaction. The correct reactions to take into account to describe the carbo-thermal reduction of Nb<sub>2</sub>O<sub>5</sub> into NbC are formulas (I-2) and (I-3) [1].





## I.A.2.b General properties of NbC

Niobium corresponds to the chemical element with atomic number 41, one of the transition elements from the Vth column. It is one of the lightest refractory element. It conducts heat and electricity, is highly resistant to chemical environments and can be very hard.

In order to elaborate a NbC-based hard material as an alternative to WC-based hardmetals for specific applications, it is essential to describe what are the advantages and disadvantages of both carbides.

Table I-1 presents general characteristics of WC and NbC. The NbC density is half the WC density. This feature could be very interesting for certain applications, especially knowing that material prices are fixed in weight. Even if NbC has poorer mechanical properties than WC (Elastic modulus, hardness), it presents good wear resistance properties [19][20]. It also has a relatively good thermal and electrical conductivity due to its metallic form.

**Table I-1 General properties of NbC and WC [1]**

Property	Unit	WC	NbC
Density	$\text{g.cm}^{-3}$	15.63	7.81
Melting point	$^{\circ}\text{C}$	2870	3520
Elastic modulus	GPa	700-730	380-480
Linear, thermal expansion	$\text{Ppm.K}^{-1}$	5.5	6.6
Micro-hardness	GPa	24-28	17-22
Electrical resistivity	$\mu\Omega.\text{cm}$	20	35
Thermal conductivity	$\text{W.m}^{-1}.\text{K}^{-1}$	84	14
Specific heat	$\text{J.g}^{-1}.\text{K}^{-1}$	0.203	0.351

NbC is a cubic carbide. Contrary to WC, it can form other cubic carbides, like  $\text{Nb}_6\text{C}_5$  or  $\text{Nb}_4\text{C}_3$ , over a wide range of carbon stoichiometry as presented in the Nb-C binary phase diagram displayed in Figure I-1. Many domains are visible, with phases such as  $\text{NbC}_{1-x}$ ,  $\text{Nb}_2\text{C}$ ,  $\text{Nb}_4\text{C}_{3-x}$  or  $\text{Nb}_6\text{C}_5$  [21]. Therefore, the amount of carbon will highly influence the structure of niobium carbides. We will focus our study on an amount of carbon superior to 40at% in order to obtain  $\text{NbC}_{1-x}$ . Other carbides than  $\text{NbC}_{1-x}$  have already been observed in NbC based cermets such as  $\text{Nb}_2\text{C}$  [22].

NbC structure consists of two interpenetrating face-centered cubic lattices of Nb and C atoms where C atoms are located in octahedral interstitial positions of the Nb lattice (Figure I-2). NbC has a large composition range due to the existence of vacancies in the carbon sublattice which results in a variation of the lattice parameter as a function of the carbon content as presented in Figure I-3 [21]. The C/Nb ratio within the  $\text{NbC}_{1-x}$  domain also greatly influences the properties of the material, including the hardness as presented in Figure I-4.

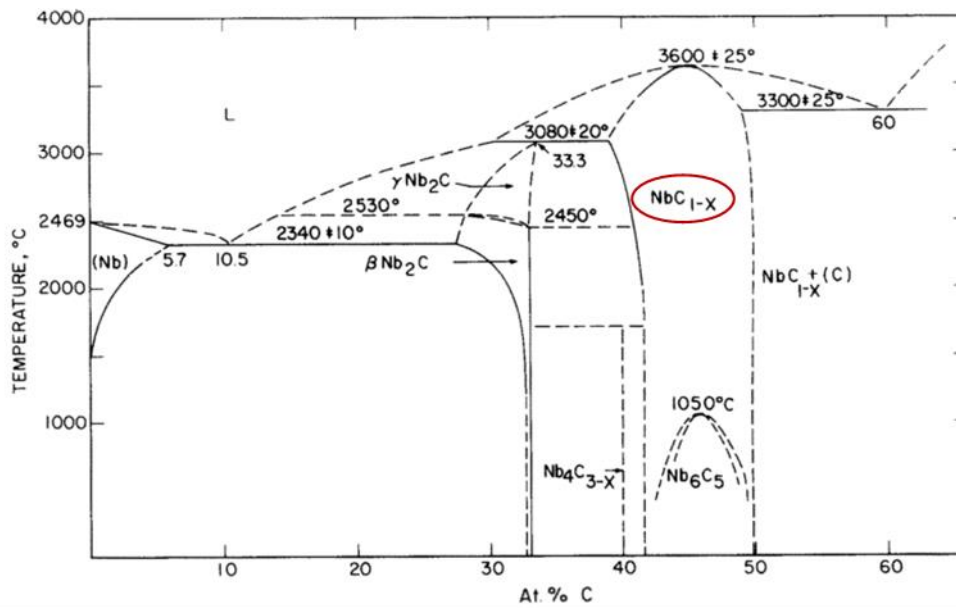


Figure I-1 Nb-C binary diagram [21]

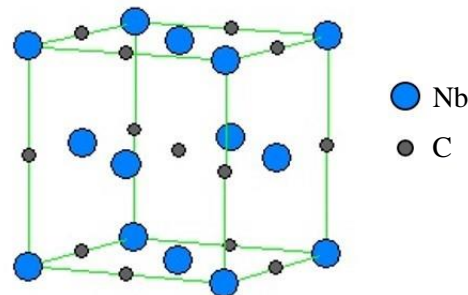
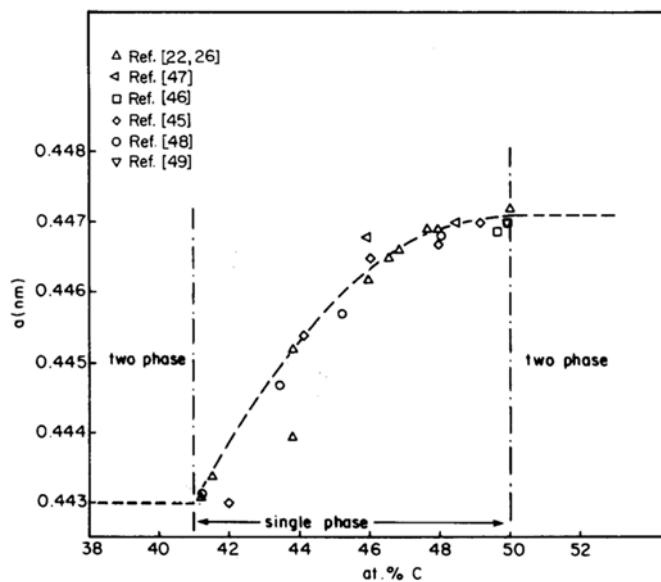


Figure I-2 Unit cell of NbC.



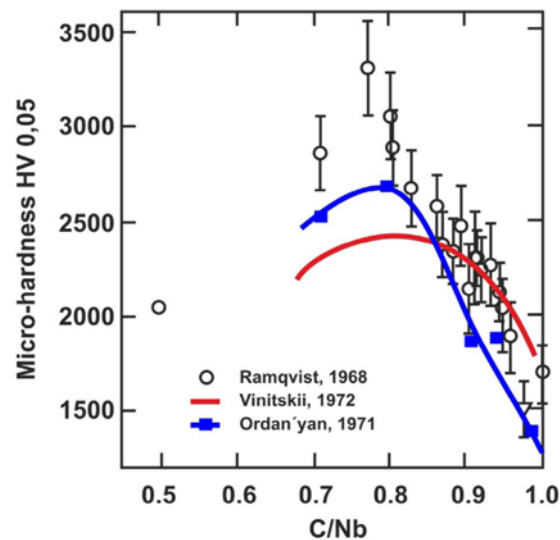
- [22] G. Brauer, H. Renner and J. Wernet, Z. anorg. u. allg. Chem. 277 (1954) 249.
- [26] G. Brauer and R. Lesser, Z. Metallkde. 50 (1959) 8.
- [45] H. Bittner and H. Goretzki, Monat. Chem. 93 (1962) 1000.
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- [47] V.N. Paderno and Yu.K. Lapshov, Porosh. Met. No. 1 (13) (1963) 75; Sov. Powder Met. No. 1 (13) (1963) 57.
- [48] P. Kempter and E.K. Storms, J. Less-Common Met. 13 (1967) 443.
- [49] E.K. Storms, High Temp. Sci. 7 (1975) 103.

Formula of the dashed line:

$$a \text{ (nm)} = 0.34519 + 3.9586 \cdot 10^{-3}c - 3.8409 \cdot 10^{-5}c^2$$

Where  $c$  is the carbon concentration in at%.

Figure I-3 Lattice parameter of  $\text{NbC}_{1-x}$  at room temperature as a function of the carbon concentration [21]



**Figure I-4 Hardness of niobium carbides as a function of the C/Nb ratio [23].**

### I.A.3 NbC-M cemented carbides

NbC-M cemented carbides can be obtained by adding to NbC a metallic binder M, to compensate for the brittleness of NbC. The metallic phase spreads into the microstructure during the sintering process, filling pores and wetting carbides. The formation of a eutectic liquid during the process leads to fully dense samples after liquid phase sintering (LPS). The choice of the binder is therefore essential to obtain fully dense materials with good mechanical properties.

The binder must have a good wettability toward the carbide grains to ensure a good cohesion of the material, and therefore good mechanical properties, and to facilitate solid state and liquid phase spreading into the porosity [24]. It can indeed be shown that the driving force for the densification during sintering is a function of the surface energy of the binder or liquid phase and of its contact angle on the carbide surface, which characterizes the wettability [8]. Experimental values of wetting angles  $\theta$  and adhesion energies  $W_{ad}$  for Ni or Co binders are presented in Table I-2.

**Table I-2 Experimental values of wetting angles  $\theta$  ( $^\circ$ ) and adhesion energies  $W_{ad}$  ( $J/m^2$ ) for a range of carbides bonded with Co or Ni [25].**

		WC	TiC	TaC	VC	NbC	Mo <sub>2</sub> C
Co wetting	$\theta$ ( $^\circ$ )	0 <sup>a</sup>	25 <sup>a</sup> / 26 $\pm$ 2 <sup>b</sup>	13 <sup>a</sup>	13 <sup>a,b</sup>	14 <sup>a</sup> / 11.5 $\pm$ 1 <sup>b</sup>	0 <sup>a</sup>
	$W_{ad}$ ( $J/m^2$ ) <sup>a</sup>	3.82	3.64	3.77	3.77	3.76	3.82
Ni wetting	$\theta$ ( $^\circ$ ) <sup>a</sup>	0	23	16	17	18	0
	$W_{ad}$ ( $J/m^2$ ) <sup>a</sup>	3.62	3.47	3.55	3.54	3.53	3.62

<sup>a</sup> performed at 1420 $^\circ$ C for Co and 1380 $^\circ$ C for Ni [26].

<sup>b</sup> performed at 1380 $^\circ$ C for VC, 1420 $^\circ$ C for WC, 1450 $^\circ$ C for TiC, TaC and NbC [8].

The solubility is also a key factor in the choice of a binder. First, a high solubility of the binder phase in the carbides must be avoided as it can lead to the formation of porosities and to a swelling [24]. On the contrary, a high solubility of the carbide in the binder facilitates LPS. It also enhances grain growth by solution-precipitation [24]. Finally, for a material with secondary carbide addition, the solubility of carbides in the binder and in the carbides impacts significantly the microstructure (grain size, formation of core-rim structures [27]) and thus the final mechanical properties of the material [28]. Table I-3 presents the solubility of different carbides in two binders, nickel and cobalt.

**Table I-3 Solubility of carbides and TiN in liquid binder metals at 1400°C in wt% [29]**

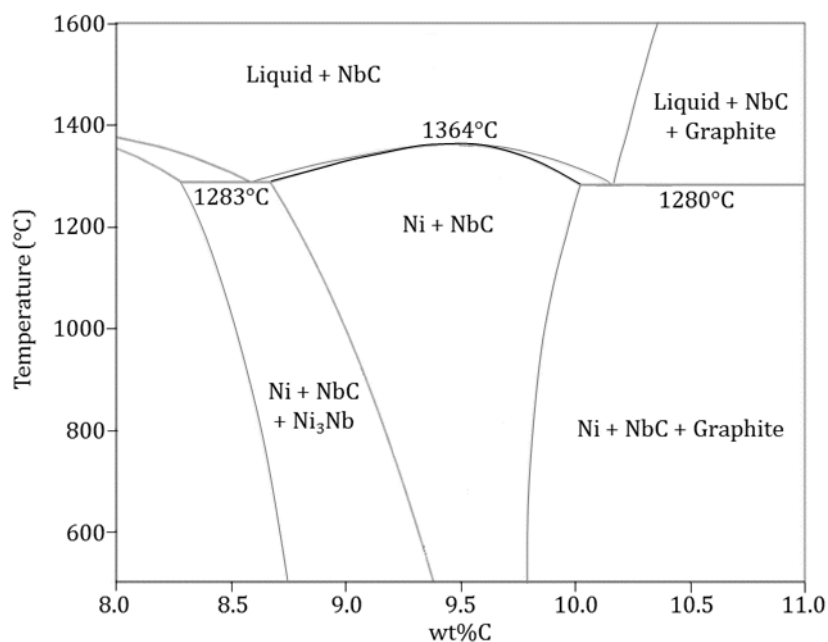
Binder	Co							Ni						
	TiC	TiN	VC	NbC	TaC	Mo <sub>2</sub> C	WC	TiC	TiN	VC	NbC	TaC	Mo <sub>2</sub> C	WC
Solubility at%	10	<0.5	18	4.8	1.9	11	12	11	<0.5	13	3.9	1.9	10	8

The most used binders combined with WC are cobalt, nickel and iron [30] and can also be considered for NbC-based cemented carbides. Both Co and Ni binders have a good wettability for NbC (Table I-2) and can dissolve a significant amount of carbide in the liquid state (Table I-3). As previously mentioned, cobalt has been classified as carcinogenic by the REACH program and iron is known for its poor resistance toward corrosion. Therefore, a nickel binder is chosen and will be investigated in the rest of this study. It can nevertheless be noted that other iron-based materials were also considered as potential binders in the literature, such as stainless steel (316L) [31], Fe<sub>3</sub>Al [32][22] or high speed steel [33][34].

#### I.A.4 The NbC-Ni system

##### I.A.4.a Phase diagrams

Figure I-5 presents an isopleth section of the ternary Nb-C-Ni phase diagram at 12vol%Ni, calculated with Thermocalc from the database TCNI9. Figure I-6 presents a 1420°C isothermal section of this ternary diagram. These diagrams show that the domain of existence of nickel and NbC is rather large in term of carbon content, which is consistent with the possibility to have a non-stoichiometric NbC carbide, as discussed previously.



**Figure I-5 Calculated isopleth section of the ternary Nb-C-Ni phase diagram for a NbC-12vol%Ni system (TCNI9 database).**

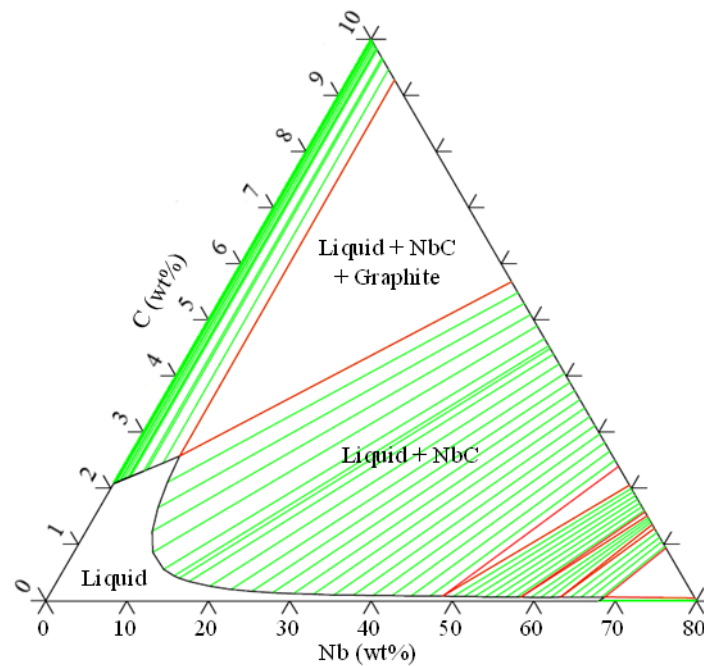


Figure I-6 Calculated isothermal section of the Nb-C-Ni system at 1420°C (TCNI9 database).

#### I.A.4.b Effect of the carbon content

During sintering, the interaction of the atmosphere with the material often leads to a carbon loss. Therefore, it is essential to study the impact of the carbon content fluctuation on the material microstructure and properties.

The influence of the carbon content in a NbC-12vol%Ni cemented carbide has been investigated by Huang et al. [35]. First, to modify the carbon content, either NbH<sub>2</sub> or C were added to a NbC-12vol%Ni material. The lattice parameter of NbC increases and the one of Ni decreases when increasing the carbon content, as presented in Figure I-7.

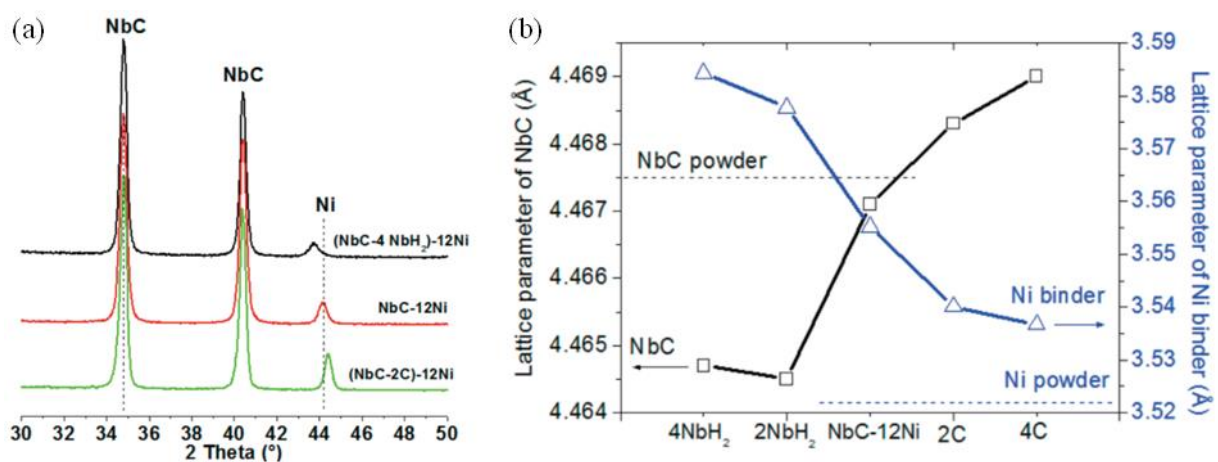
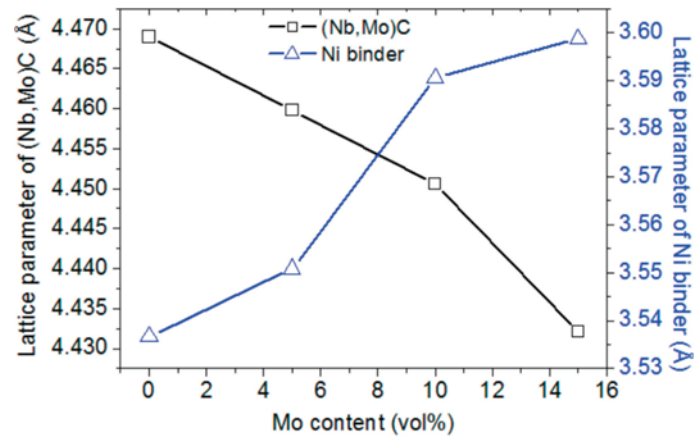


Figure I-7 Influence of carbon content of NbC-12vol%Ni based cermets sintered for 1 h at 1420°C on (a) X-ray diffractometer (XRD) patterns, (b) phases lattice parameters [35].

In the same study, Mo was added to a NbC-12vol%Ni material to form (Nb,Mo)C mixed carbides and thus decreasing the carbon content. When increasing the Mo content, the lattice parameter of the NbC phase decreases as presented in Figure I-8, and the one of the Ni phase increases.



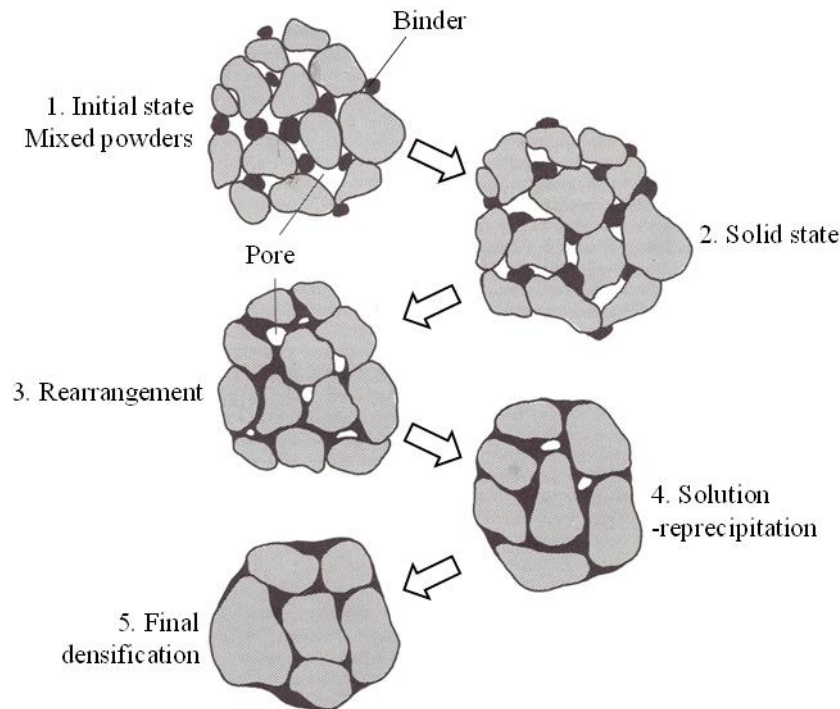
**Figure I-8 Influence of Mo content on the phases lattice parameter of NbC-12vol%Ni based cermets sintered for 1 h at 1420°C. [35].**

In both cases, the decrease of the carbon content increases the metallic solubility in the binder and thus a swelling of the nickel lattice parameter is observed. As previously stated for pure NbC in part I.A.2.b, the lattice parameter of NbC decreases when decreasing the carbon content, due to the formation of substoichiometric carbides (see Figure I-3).

## I.B Sintering of cemented carbides

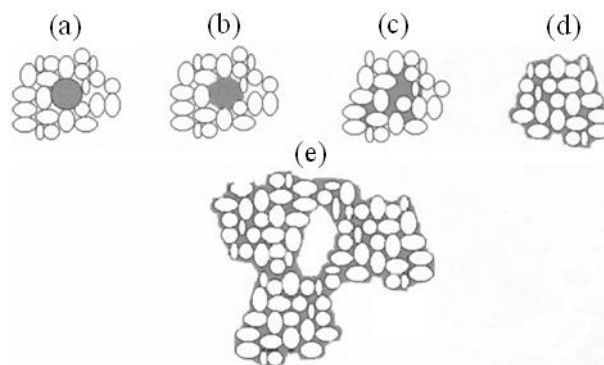
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Sintering of cemented carbides usually occurs with two main steps. During this solid state sintering step, the binder spreads into the porosity resulting in particle rearrangement and densification. A solution-precipitation process also takes place which modifies the grain shape and the grain size distribution and assists particle rearrangement. Then, the formation of a eutectic liquid results from the dissolution of carbide atoms in the binder. Particle rearrangement and solution-precipitation mechanisms are then strongly accelerated, filling the remaining large porosities. The microstructure evolution during solid state and liquid phase sintering is summarized in Figure I-9.



**Figure I-9 Microstructure evolution during sintering in cemented carbides [36].**

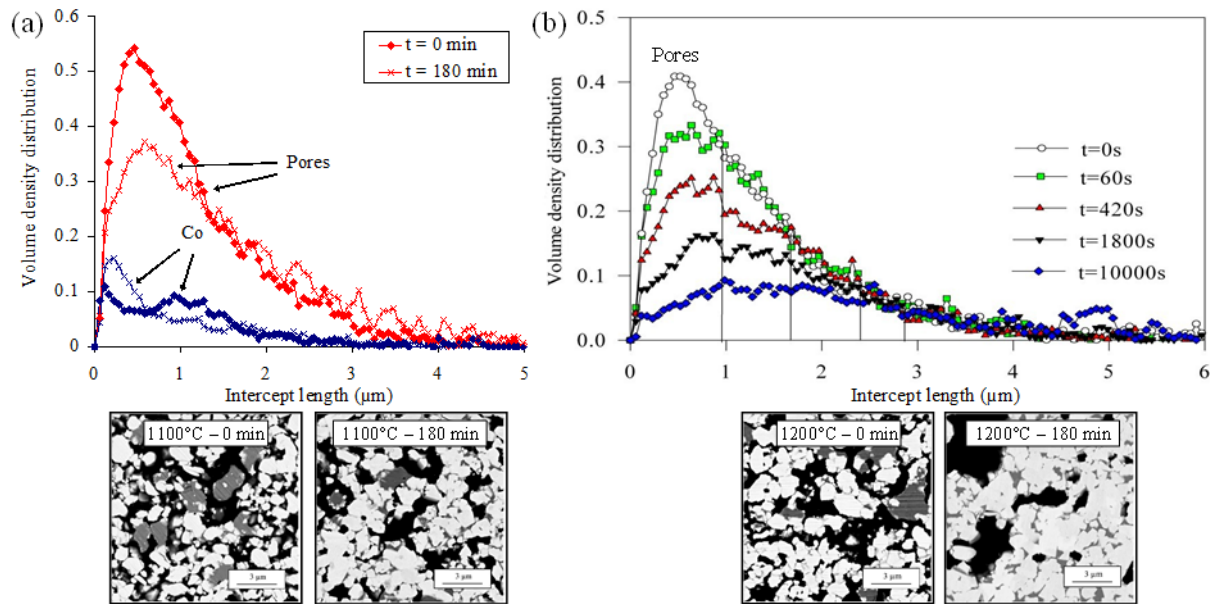
Major porosities often remain after solid state sintering as presented in Figure I-10, which are eliminated during liquid phase sintering.



**Figure I-10 Schematic of the solid state sintering mechanism, (a-d) Solid state sintering of carbide particles (white) with a binder phase (grey) into agglomerates, (e) The resulting structure consists of a network of dense carbide-binder agglomerates that sinter as large particles. A large peripheral porosity exists between the agglomerates [37].**

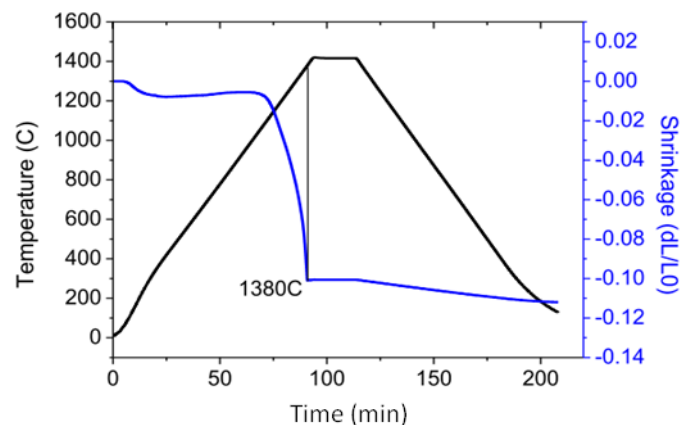
These large pores have been observed during solid state sintering in WC-Co alloys as presented in Figure I-11. The finest pores are filled with cobalt, and pores of increasing size disappear when increasing the sintering time. A population of large pores remains even after a few hours at high temperature in solid state sintering.





**Figure I-11 Pores and/or cobalt intercept length distributions in a WC-Co material as a function of the time spent at (a) 1100°C or (b) 1200°C with the corresponding microstructures [38].**

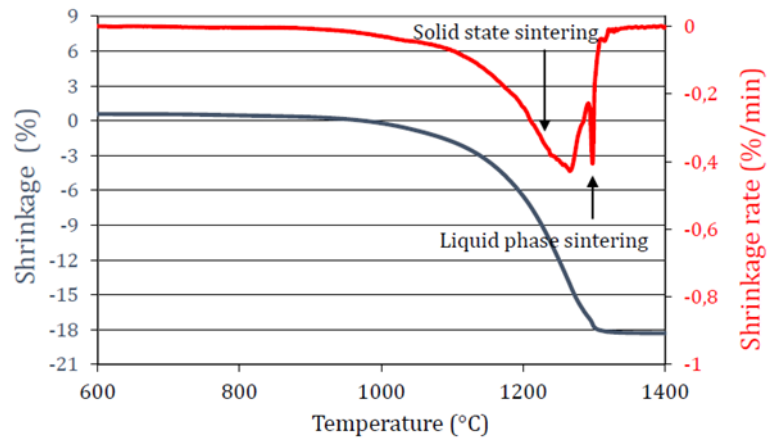
Similar shrinkage steps can be observed for NbC based materials by performing sintering at different temperatures. The melting point of the nickel-rich binder was measured by DSC (Differential Scanning Calorimetry) at 1372°C [39]. It is lower than the melting temperature of nickel (1455°C) because of the dissolution of carbides and/or additives in the binder. The shrinkage curve for this alloy measured by dilatometry is presented in Figure I-12.



**Figure I-12 Shrinkage behavior of NbC-12vol%Ni-4vol%Mo-4vol%VC sintered 1h in vacuum at 1420°C [39].**

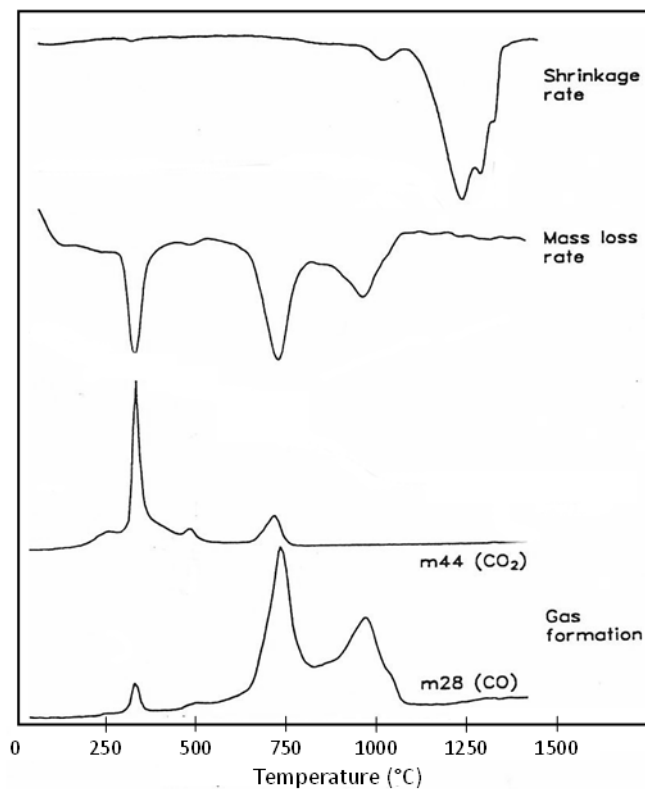
The two steps of sintering can be identified on a shrinkage rate curve, derived from the shrinkage curve, as presented in Figure I-13 for a WC-Co alloy [40]. A first wide peak corresponds to the solid state sintering and a second narrow peak to the liquid phase sintering.





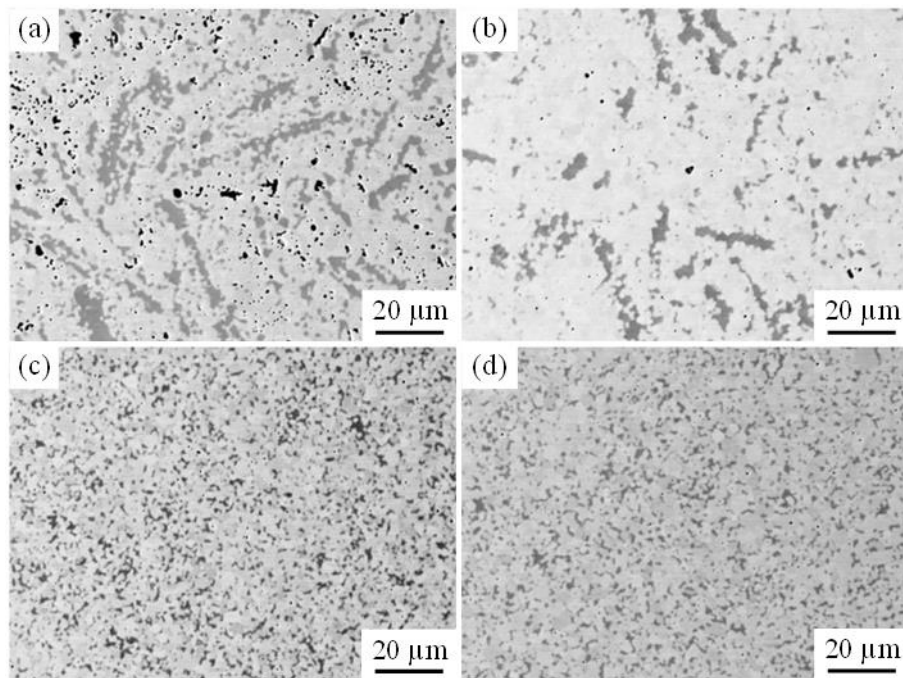
**Figure I-13 Shrinkage (%) and shrinkage rate (%/min) of a WC-Co alloy [40].**

Sintering starts after all surface metallic oxides have been reduced since oxides prevent wetting by the binder phase. It has been observed in WC-Co alloys as presented in Figure I-14 [41]. There are three peaks of oxide reduction on the mass loss rate curve, identified as such because of CO and CO<sub>2</sub> emissions. As soon as all oxides are reduced, a shrinkage is observed on the shrinkage rate curve, corresponding to the beginning of solid state sintering.



**Figure I-14 Sintering of WC-Co material doped with C and Cr [41].**

An example of the obtained microstructures for a NbC-12vol%Ni-4vol%Mo-4vol%VC sintered at different temperatures is presented in Figure I-15. The final material is composed of two phases, a carbide and a binder phase, respectively light grey and dark grey on Figure I-15 (d).

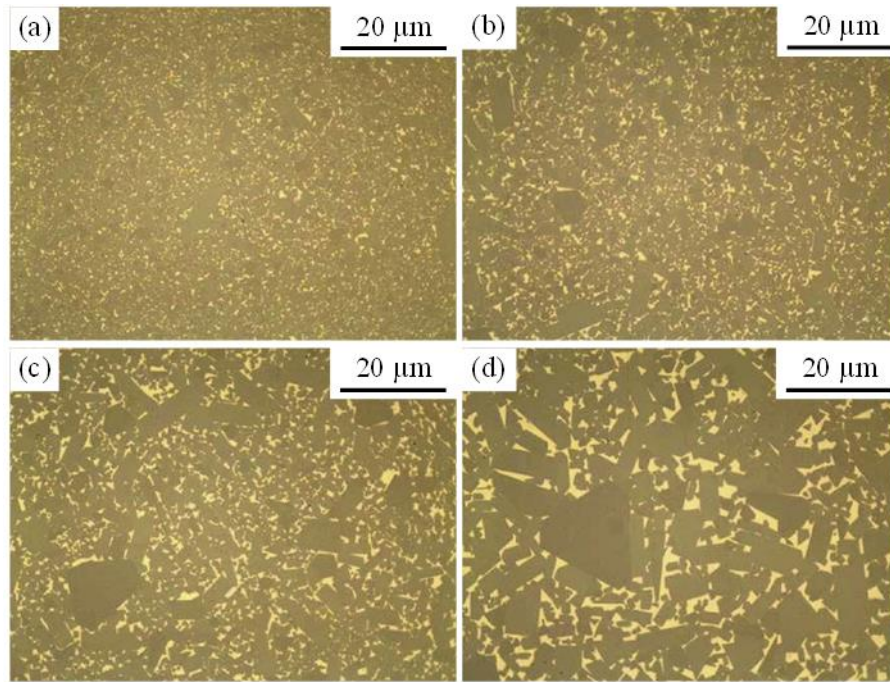


**Figure I-15 Microstructures of NbC-12vol%Ni-4vol%Mo-4vol%VC sintered 1h in vacuum at (a) 1300°C, (b) 1340°C, (c) 1380°C, (d) 1420°C [39].**

## I.C Grain growth

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Sintering is usually accompanied by grain growth which is detrimental for the mechanical properties. An example of grain size with different time spent at sintering temperature for a WC-Co material is presented in Figure I-16. Grain growth mechanisms must therefore be investigated in order to control the microstructure evolution. This part aims to first present grain growth mechanisms from a literature analysis and then to link these mechanisms to experimental observations made on NbC based cemented carbides. Then, the effect of secondary carbides addition to limit grain growth will be described.



**Figure I-16 Grain size of a WC-10%Co sintered at 1430°C with argon gas (40 mbar) for (a) 0.25 h, (b) 1h, (c) 4h, (d) 8h [42].**

### I.C.1 Grain growth mechanism

#### I.C.1.a Ostwald ripening (LSW theory)

In the case of NbC based materials, it has been found that grain growth during liquid phase sintering is based on a dissolution-precipitation process, called Ostwald ripening [43], which is controlled by diffusion of the solid atoms in the liquid [6]. The coarsening kinetics of dispersed spherical particles by solution-precipitation in a liquid have been well established theoretically by Lifshitz, Slyozov, and Wagner (the LSW theory) [44][45].

In this theory, the grain growth process can be described by formulas (I-4) when it is controlled by diffusion in the liquid and (I-5) when it is controlled by the interfacial reaction [45].

$$\bar{r}^3 - \bar{r}_0^3 = \frac{8\gamma_{sl}\Omega^2}{9RT} DC_0 t \quad (\text{I-4})$$

$$\bar{r}^2 - \bar{r}_0^2 = \frac{64\gamma_{sl}\Omega^2}{81RT} k_r C_0 t \quad (\text{I-5})$$

where  $\bar{r}$  is the mean particle radius after time  $t$ ;  $\bar{r}_0$  is the initial mean particle radius;  $T$  is the temperature;  $R$  is the gas constant;  $\Omega$  is the molar volume of the solid;  $\gamma_{sl}$  is the interfacial energy per unit area of the solid/liquid interface;  $D$  is the diffusion coefficient of the solid phase constituent in the liquid;  $C_0$  is the solubility of the solid in the liquid (at a planar interface); and  $k_r$  is the rate constant for the transfer of solid to liquid across the interface.

These formulas can be rewritten into a more simple form of formulas (I-6) and (I-7).

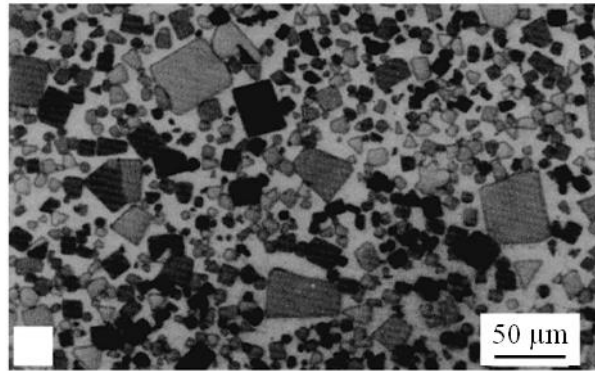
$$\bar{r}^3 - \bar{r}_0^3 = K_D t \quad (\text{I-6})$$

$$\bar{r}^2 - \bar{r}_0^2 = K_R t \quad (\text{I-7})$$

where  $K_D$  and  $K_R$  are temperature-dependent constants for the diffusion-controlled and for the reaction-controlled kinetics.

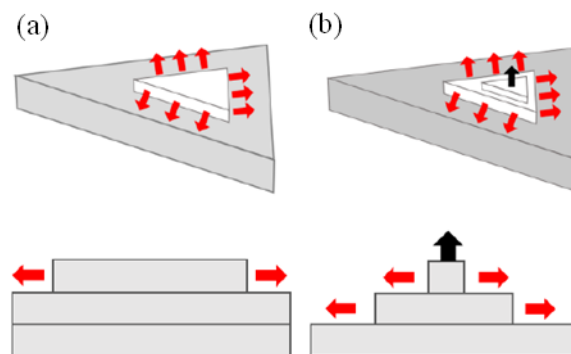
### I.C.1.b Abnormal grain growth and 2D nucleation on faceted grains

The self-similar evolution of the grain size distribution predicted by the LSW theory is not always observed experimentally. An abnormal grain growth can be observed in some cases, leading to the a fast growth of very big grains at the expense of the small matrix grains, as observed on Figure I-17 for a NbC-Fe material. In this case, the overall growth cannot be explained simply by the uniform precipitation at the surface of particles as in the LSW theory.



**Figure I-17** Abnormally big grains observed in NbC-50wt%Fe sintered at 1450°C for 1h in vacuum [46].

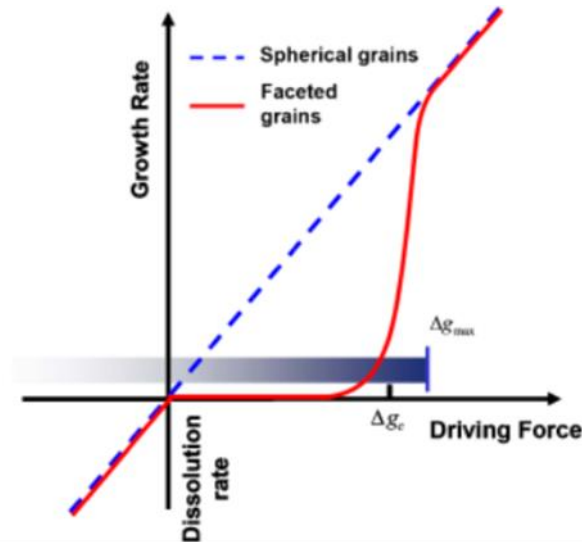
In the case of WC-Co materials, which present faceted grains, it was shown that the precipitation process has to overcome an energy barrier to nucleate on flat surfaces or on defects [47], leading to a 2D-controlled grain growth, as illustrated in Figure I-18. Following the nucleation, a lateral grain growth occurs. Grain growth is either limited by the 2D-nucleation step or by lateral growth [48].



**Figure I-18** Grain growth controlled by (a) nucleation step or (b) lateral 2D growth [15].

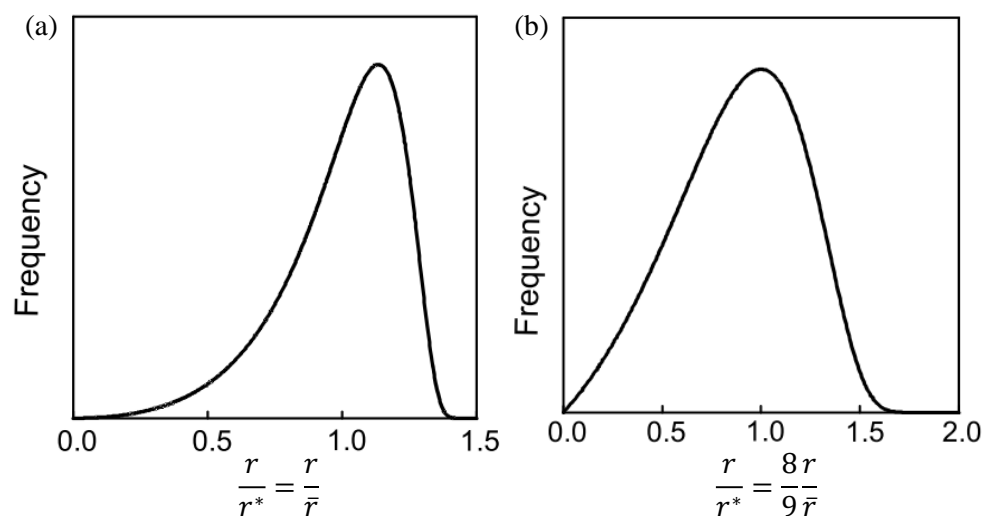
In the uniform precipitation approach of Wagner, the grain growth rate in the reaction-controlled process scales as  $\gamma_{sl}/\bar{r}$  (Eq. (I-5)), i.e. it is proportional to the driving force. Kang [49] has given a schematic representation of the variation of the grain growth rate with the driving force when the kinetics is

controlled by the 2D-nucleation (Figure I-19). From his approach, the driving force should overpass a critical value  $\Delta g_c$ , above which nucleation is no more limiting and uniform precipitation can occur. This would explain the very limited grain growth kinetics observed in most cases with faceted grains, and the abnormal grain growth when a few large grains have a sufficient driving force to overpass the critical value.



**Figure I-19** Schematic diagram showing the growth and dissolution rates of grains as a function of the driving force for spherical (dashed line) and faceted (solid line) grains with segments of singular (flat) interfaces. [49]

The theory also predicts a self-similar evolution of the grain size distribution in stationary conditions, i.e. the distribution of the reduced size  $r/\bar{r}$  is invariant with time. This behavior is referred to as normal grain growth. The normalized distribution for grain growth controlled by diffusion or by interface reaction are presented in Figure I-20. When grain growth is controlled by diffusion, the average grain size  $\bar{r}$  is the same as the critical grain size  $r^*$  of a grain that neither dissolves nor grows, i.e.  $dr/dt = 0$ . On the contrary, the average grain size  $\bar{r}$  in reaction-controlled growth equals  $\frac{8}{9} r^*$ .



**Figure I-20** Schematic diagram showing Stationary distribution of normalized particle sizes for (a) diffusion-controlled growth, (b) interface reaction-controlled growth [50].

## I.C.1.c Binder volume fraction &amp; contiguity

In the classical LSW theory described previously, it is assumed that the grains are fully dispersed in the liquid, which means that there is no contact between grains, and that grains are far apart. For concentrated systems, two factors are to be considered: the binder volume fraction and the contiguity of the carbide phase, which quantifies the surface fraction of contacts between grains.

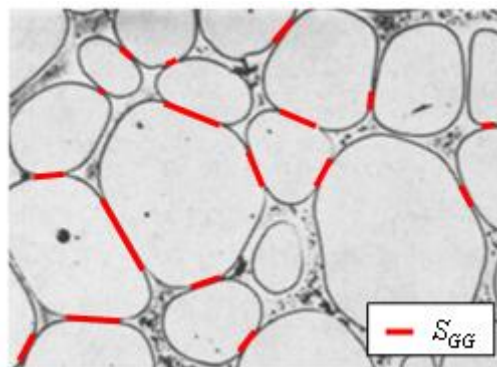
First, increasing the volume fraction of the binder increases the distance in between carbide particles. If the grain growth kinetics are limited by diffusion in the liquid (Eq. (I-5)), a slowdown of the dissolution/reprecipitation phenomenon occurs as solid atoms dissolved in the binder have more distance to cover until reaching a nucleation site [8].

Furthermore, the presence of contacts between grains must also be considered as the volume fraction of solid is increased. At a given binder volume fraction, the surface fraction of contact between grains can vary depending on relative values of the carbide-binder and carbide-carbide (grain boundary) interface energies. To quantify this, the contiguity is measured.

The contiguity is defined as the surface fraction of the grains which is occupied by the contacts (grain boundaries) (Eq. (I-8)) [51]. The carbide-carbide surface is multiplied by 2 in the formula to account for the fact that the contact surface is shared by two adjacent grains, as illustrated in Figure I-21.

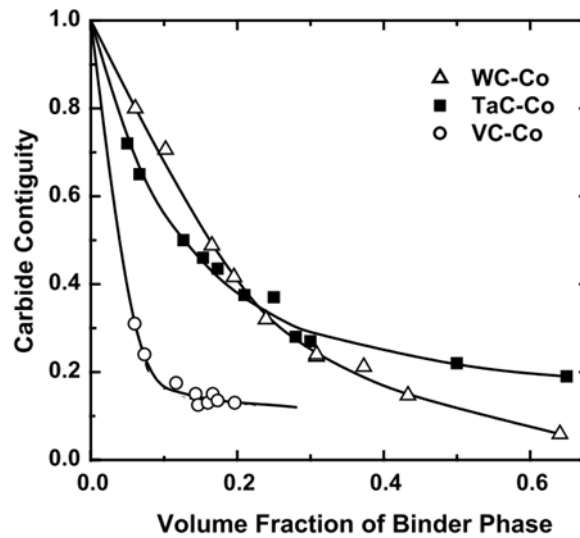
$$C = \frac{2 S_{CC}}{2 S_{CC} + S_{CB}} \quad (\text{I-8})$$

where  $S_{CC}$  is the surface shared by carbide grains in contact and  $S_{CB}$  is the surface of the carbide grains in contact with the binder.



**Figure I-21 Surfaces shared by two carbide grains ( $S_{CC}$ ) in a VC-20vol%Co alloy sintered at 1380°C for 2h in vacuum [7].**

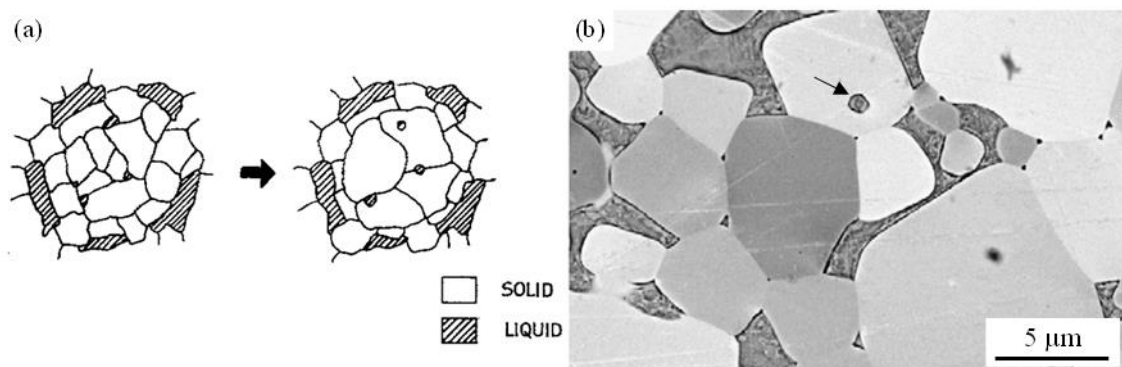
Figure I-22 presents the contiguity variations for different carbides as a function of the cobalt binder volume. For each volume fraction of cobalt binder corresponds a contiguity for each carbide type. VC carbides tend to have a lower contiguity than WC or TaC carbides.



**Figure I-22** Effect of binder phase content on contiguity for TaC-Co, VC-Co and WC-Co alloys [52].

In a situation where kinetics are limited by diffusion in the liquid, the presence of contacts will reduce the diffusion flux from the liquid, and hence the grain growth rate, by a factor of  $(1 - C)$  [8]. But grain growth also needs a cooperative migration of phase boundaries and grain boundaries. The presence of grain boundaries can either enhance or reduce grain growth, depending on their relative mobility and on the fractional area of grain boundaries on a particle surface, *i.e.* the contiguity [8].

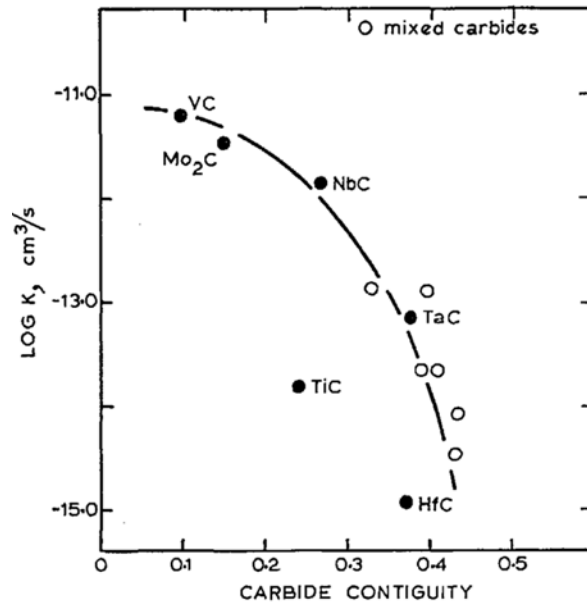
Warren [5] indicates that, in a system with few grain boundaries in comparison with carbide-binder interfaces, the grain boundaries may exert a drag force, slowing down the interfaces migration. On the contrary, for systems with a low binder content and which present a poor binder-carbide wettability, the contiguity can be much higher and grain growth can be dominated by grain boundary migration (“coalescence” of adjacent grains). This can lead to an entrapment of binder pockets inside the grains as presented in Figure I-23 (a). Therefore, binder inclusions inside grains are a marker of the grain boundary migration. In NbC based systems, such as the NbC-21wt%Co material studied by Warren [5], there is a good wetting of the carbides by the binder and coalescence is not expected to dominate grain growth. However, binder inclusions have been observed in NbC-Co materials, such as in the example presented in Figure I-23 (b). This point will be further discussed in the experimental part of this work (see Chapter III).



**Figure I-23** (a) Illustration of a grain coalescence process forming binder inclusions [5], (b) Cobalt inclusion observed in a NbC-24.5wt%Co-4wt%WC sample sintered for 10 min at 1300°C under a maximum pressure of 45 MPa in vacuum [27].



The effect of a variation of contiguity on grain growth is presented in Figure I-24. If contiguity is actually the main influencing parameter when changing the carbide nature (with respect to diffusion coefficient, interfacial energies, ...), the drop of nearly two orders of magnitude between VC and HfC cannot be explained simply by the geometrical reduction of the diffusion flux cross-section and it could involve a kinetic control by the grain boundary mobility.



**Figure I-24 Carbide grain growth rates as a function of the carbide contiguity for alloys bound with ~20vol%Co sintered at a temperature just above the eutectic melting point in vacuum [8].**

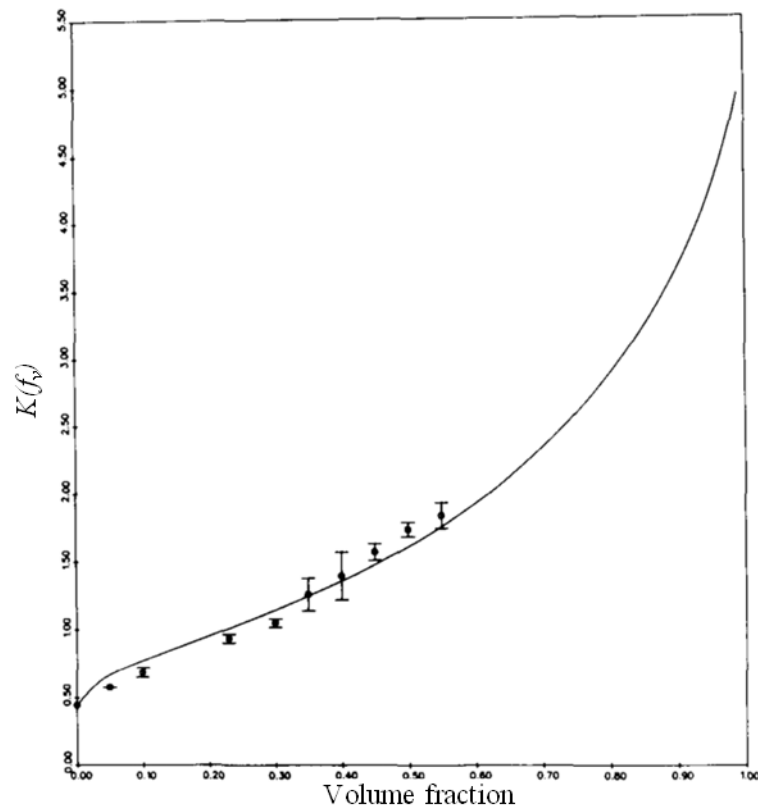
A simple correction of the LSW grain growth constant was proposed by Warren [8] to take into account the effect of the binder volume fraction on the diffusion distances and the effect of contacts on the available surface for precipitation, presented in formula (I-9).

$$K_D'' = (1 - C) \frac{9}{4} K(f_v) K_D \quad (\text{I-9})$$

The  $(1-C)$  term has been justified above and  $K(f_v)$  is a corrective factor taking into account the effect the binder volume fraction  $f_v$  on the average diffusion distance between particles.

The factor  $K(f_v)$  used by Warren was estimated by Sarian and Weart [53]. A statistical approach by Voorhees [54] presented in Figure I-25 gives a very good fit with different experimental results and will therefore be used in this study.



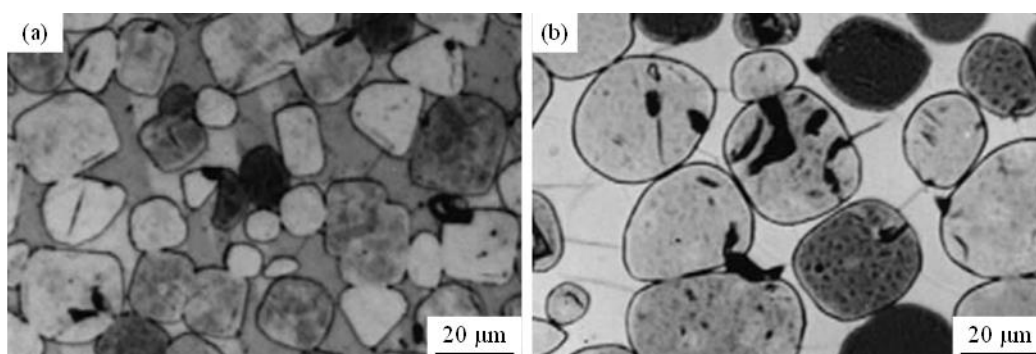


**Figure I-25**  $K(f_v)$  normalized to the LSW rate constant  $K(0) = 4/9$  as a function of the binder volume fraction. Data point derived from multiparticle diffusion problem (MDP) simulation; solid curve predicted from mean-field theory [54].

### I.C.2 Grain growth in NbC based cemented carbides

#### I.C.2.a Microstructure & kinetics

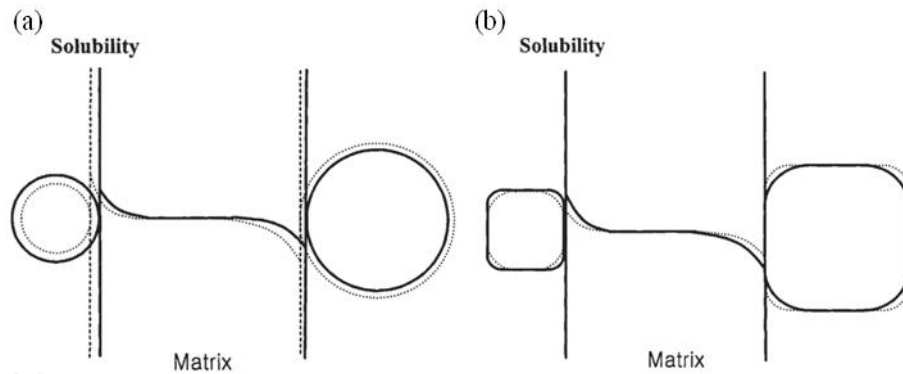
In NbC based materials, grain growth is due to a dissolution-precipitation process controlled by diffusion in the liquid according to Warren [6][8] (formula (I-4)(I-5)): small grains dissolve into the matrix and the solute atoms re-precipitate on large grains which grow. An example of grain growth is presented in Figure I-26 for a NbC-Co-B material which forms spheroidal grains.



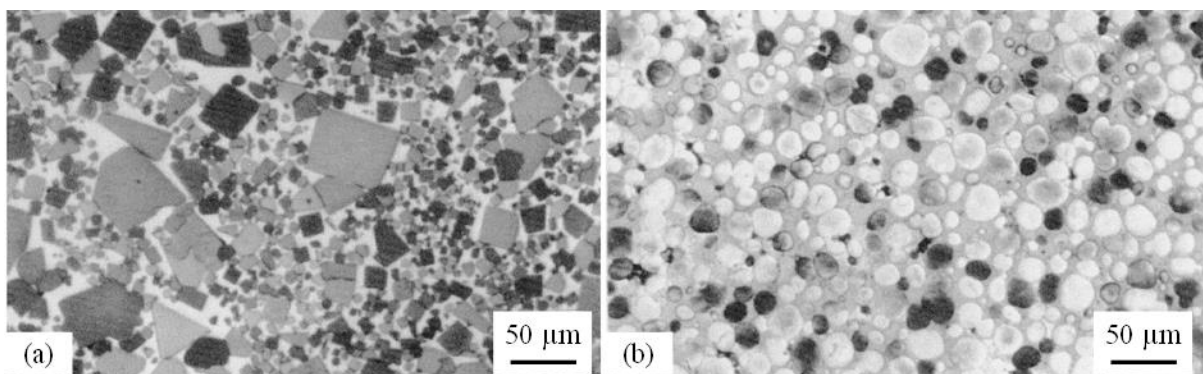
**Figure I-26** Grain growth phenomenon in a NbC-30wt%Co-2.3wt%B material sintered for 2h in vacuum at (a) 1400°C, (b) 1550°C [55].

As previously detailed, the dissolution-precipitation process is also significantly influenced by the presence of facets, as more energy is required for a nucleus to form on a planar surface. As illustrated

in Figure I-27, rounded grains will dissolve and grow equally in all directions whereas partially faceted grains will dissolve and grow preferentially at their rounded edges, where there is no nucleation barrier [55]. In the case of NbC-based materials, different grain shapes can be observed depending on the binder and on secondary carbide additions. One example is presented on Figure I-28 where the addition of boron to a NbC-Fe material changes the grain shape from faceted to rounded. The grain shapes observed so far for NbC based alloys are listed in Appendix 1.

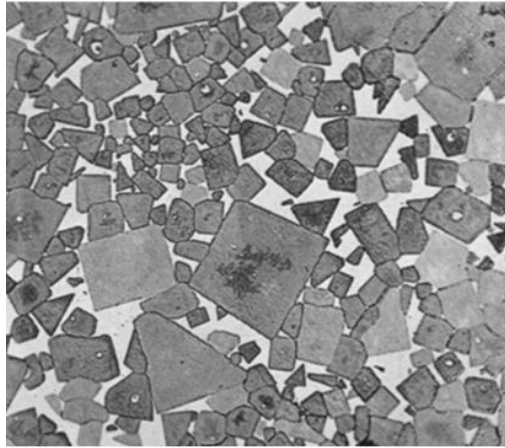


**Figure I-27 Schematic showing solubility changes in a liquid matrix between two grains in a dissolution-precipitation process depending on the grain shape, (a) spherical grains, (b) faceted with round edges grains [55].**



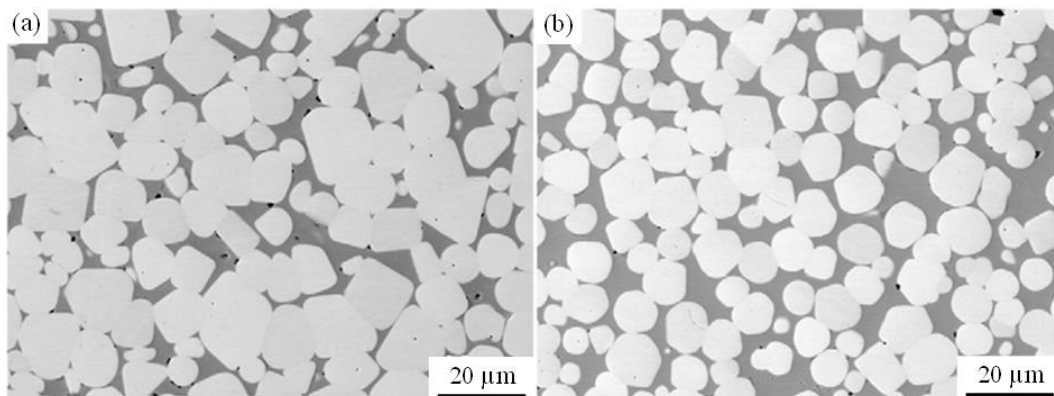
**Figure I-28 Micrographs showing the microstructures of samples sintered at 1450°C for 1h in vacuum (13 Pa), (a) NbC-30wt%Fe, (b) NbC-30wt%Fe-3wt%B [46].**

NbC based cemented carbides however often tend to form cuboidal grains, which presents planar facets and this can lead to abnormal grain growth, as observed in WC-Co materials. It was observed in NbC-Fe material as previously presented in Figure I-28, but also in NbC-Co materials as in Figure I-29, and probably in NbC-Ni although it was not clearly stated in the literature. This abnormal grain growth can be explained by the effect of 2D-nucleation on the precipitation kinetics described in part I.C.1.



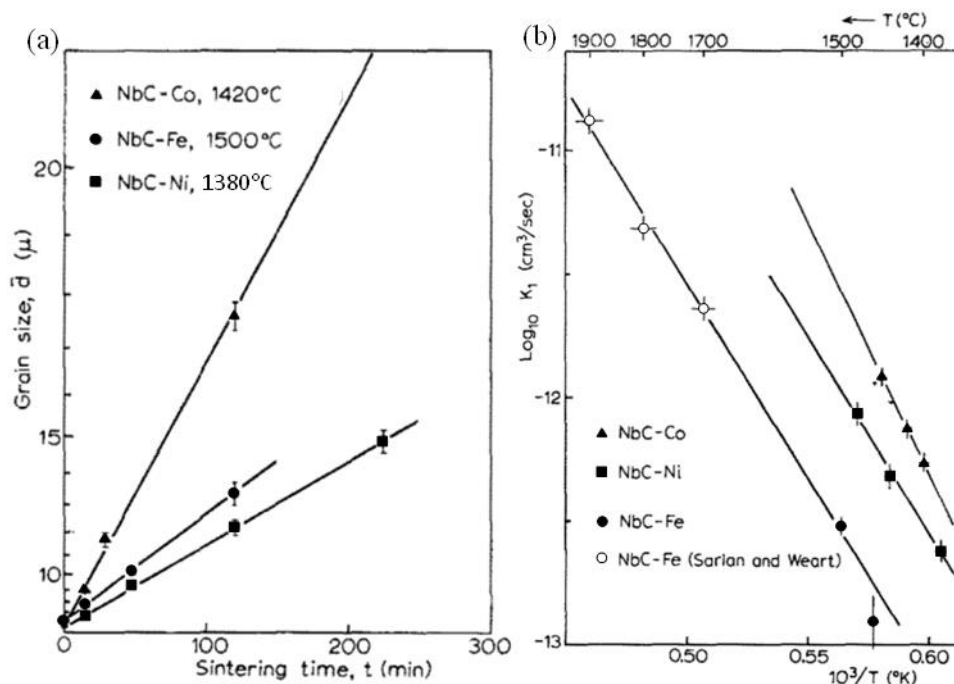
**Figure I-29 Abnormal grain growth phenomenon in a NbC-21wt%Co material sintered 2h in vacuum at 1420°C [5].**

It is experimentally observed that increasing the volume fraction of binder leads to a reduction of the grain size, as presented in Figure I-30. This confirms the assumption that the kinetics should be limited by diffusion in the liquid and that contiguity should not significantly impede grain growth [8].



**Figure I-30 NbC bonded with steel 316 L vacuum sintered at 1420°C for 1h, (a) NbC-15vol%316L, (b) NbC-30vol%316L [31].**

Figure I-31 presents the grain-growth behavior of NbC, liquid phase sintered with either Fe, Co or Ni, as a function of the sintering time and the sintering temperature. NbC-Co has the highest grain growth rate whatever the sintering parameters. It therefore may not be the best candidate as a binder for NbC based cemented carbides.



**Figure I-31** Effect of sintering time and temperature on NbC-Ni, NbC-Fe and NbC-Co alloys, (a) Carbide grain size as a function of the time spent at sintering temperature, (b) Arrhenius diagram showing the effect of sintering temperature on the carbide grain growth rate constant [6].

The contiguity and grain growth constants determined by Warren [8][6] for NbC based alloys are presented in Table I-4 (Eq. (I-4) and (I-9)). NbC bonded with nickel has a lower experimental grain growth rate constant than NbC bonded with cobalt. Therefore, a nickel binder is more appropriate than cobalt in order to limit grain growth.

**Table I-4** Experimental and theoretical grain-growth constants for NbC based materials.

Alloy	Sintering T ( $^{\circ}\text{C}$ )	$\gamma_{\text{SL}}$ ( $\text{mJ}/\text{m}^2$ )	$C_0$ ( $\text{mol}/\text{cm}^3$ $\times 10^3$ )	D ( $\text{cm}^2/\text{s}$ $\times 10^5$ ) estimated	G ( $t = 2\text{h}$ )	Growth rate constants $K$ ( $\text{cm}^3/\text{s} \times 10^{12}$ )	
						Theoretical	Experimental
NbC-20vol%Co [8]	1450	497	8.0	7	0.27	2.30	$1.3 \pm 0.05$
	1550	482	11.0	7.6	0.22	3.42	$4.4 \pm 0.2$
NbC-22wt%Ni [6]	1500	270	6.9	10	-	0.49*	1.05
NbC-18vol%Ni [6]	1380	-	-	-	0.40	-	-

\*Calculated without contiguity and volume fraction factors.

### I.C.2.b Temperature

Grain growth depends also on the sintering temperature and hold time, as illustrated in Figure I-32. The choice of the temperature must therefore be made in order to allow sintering of the material without too much grain growth, and the time spent at temperature must be reduced as much as possible. The contiguity, which influences grain growth, is also affected by sintering parameters, as presented in Figure I-33.

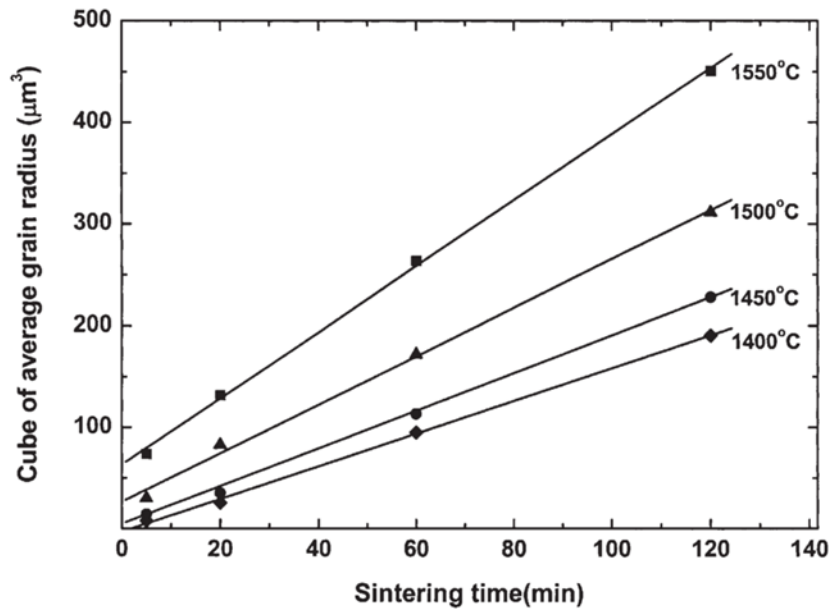


Figure I-32 Increase in average grain radius with sintering time and temperature for NbC-30wt%Co sintered in vacuum ( $\sim 10$  Pa) [55].

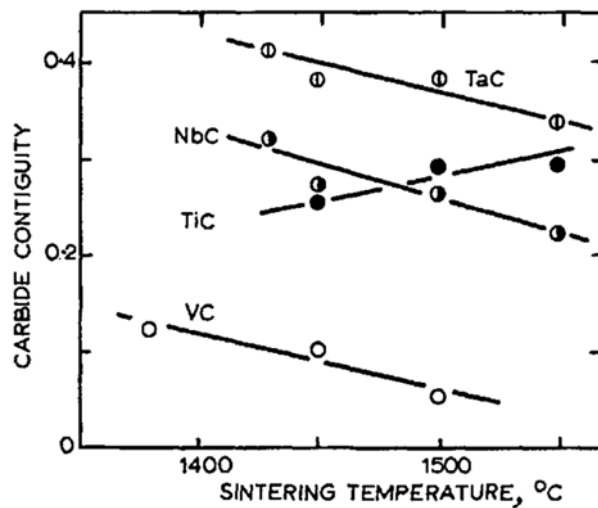


Figure I-33 Effect of sintering temperature on carbide contiguity in alloys bound with  $\sim 20$ vol%Co [8]

The  $K_D$  constant described previously is following an Arrhenius formula (Eq. (I-10)) depending on the temperature and the activation energy of the process  $Q$  [6].

$$K_D = K_0 \exp\left(-\frac{Q}{RT}\right) \quad (\text{I-10})$$

where  $R$  is the gas constant and  $K_0$  is a constant.

The activation energies for the growth rates determined by Warren [6] with this formula and the curves of Figure I-31 are presented in Table I-5. The activation energy is lower with a nickel or an iron binder compared to a cobalt binder. Therefore, grain growth is more thermally activated in NbC-Ni and NbC-Fe materials than in NbC-Co materials. These activation energy values are much larger than the values for diffusion in liquid metals, which are classically in the range 50-70 kJ/mol [56]. Another mechanism

is therefore expected to play a role on the activation of grain growth kinetics. This point will be discussed in the experimental part of this work (see Chapter VI).

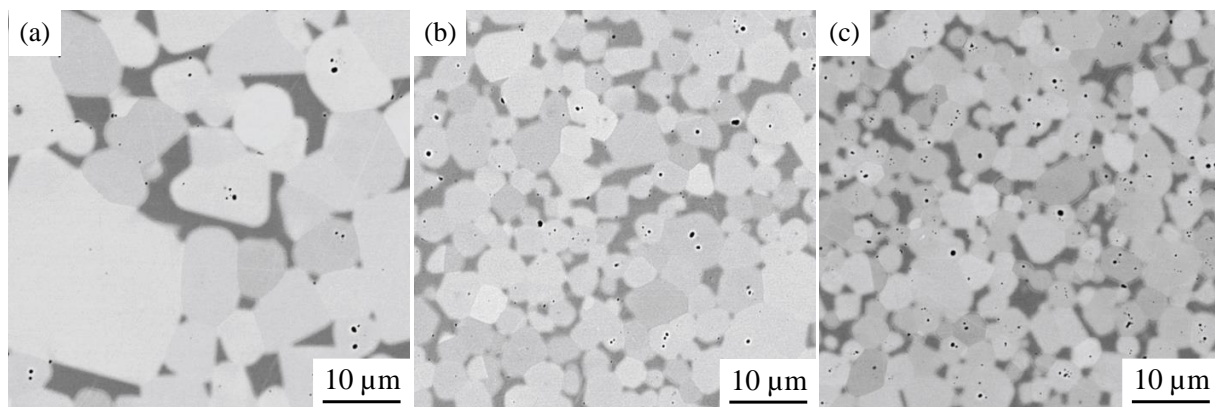
**Table I-5 Activation energies for growth rates of NbC-Ni, NbC-Co and NbC-Fe [6].**

Alloy	NbC-22wt%Fe	NbC-22wt%Ni	NbC-21wt%Co
Activation Energy (kJ/mol)	$306 \pm 42$	$306 \pm 42$	$398 \pm 63$

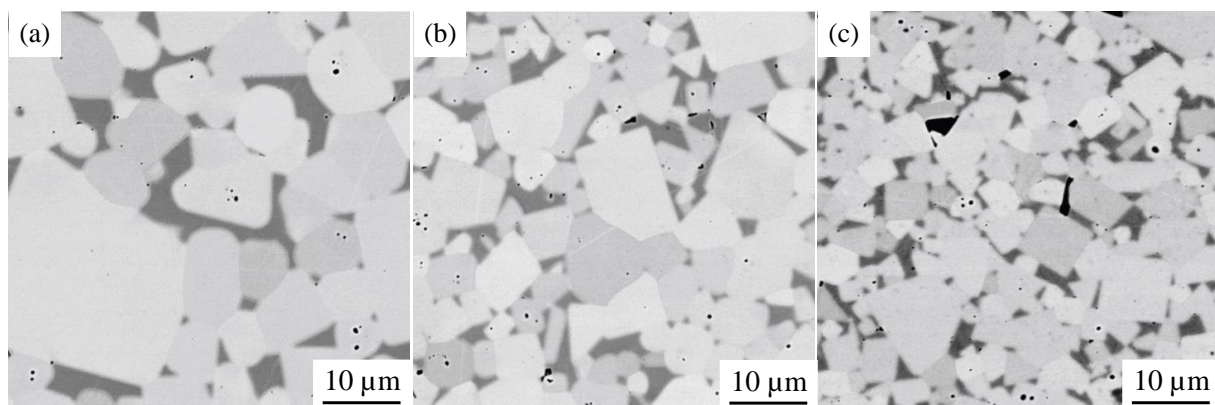
### I.C.2.c Carbon content

As previously stated, the interaction of the atmosphere with the material often leads to a carbon loss during sintering. The effect of the carbon content on the microstructure must therefore be analyzed.

Huang et al. have investigated the carbon content influence in a NbC-12vol%Ni cemented carbide [35]. The carbon content was reduced by adding NbH<sub>2</sub> and increased by adding C to NbC-12vol%Ni as presented in Figure I-34 and Figure I-35. On one hand, decreasing the carbon content by adding NbH<sub>2</sub> inhibits grain growth. On the other hand, adding carbon to increase the carbon content leads to the formation of a graphite phase (in black on SEM images) and to a smaller grain size. The grain growth inhibition could be due in that case to the pinning of grain boundaries by the graphite particles.



**Figure I-34 Influence of carbon content on the microstructure of NbC-12vol%Ni cermet sintered for 1 h at 1420°C. (a) NbC-12Ni, (b) (NbC-2NbH<sub>2</sub>)-12Ni, (c) (NbC-4NbH<sub>2</sub>)-12Ni (vol%) [35].**

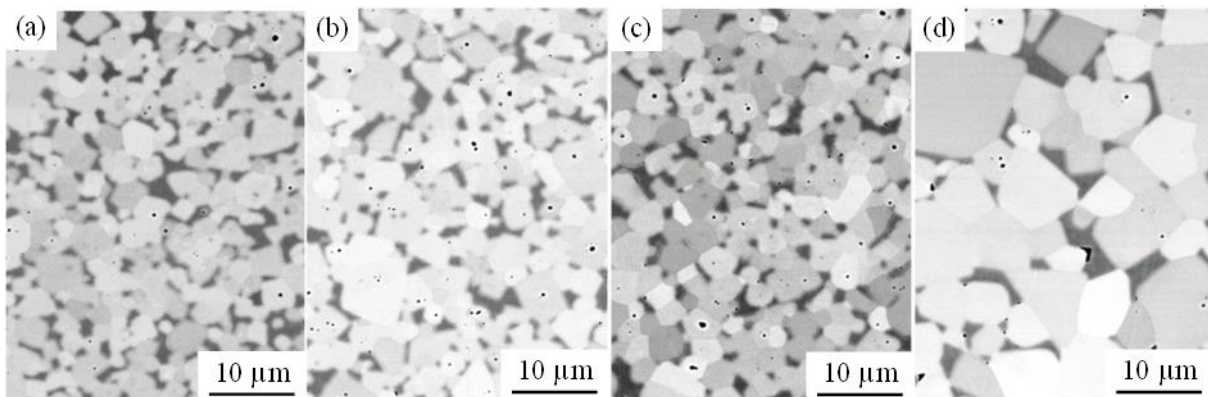


**Figure I-35 Influence of carbon content on the microstructure of NbC-12vol%Ni cermet sintered for 1 h at 1420°C. (a) NbC-12Ni, (b) (NbC-2C)-12Ni, (c) (NbC-4C)-12Ni (vol%) [35].**

VC was added to reduce the grain size beforehand and obtain better mechanical properties [57]. In the presence of VC grain growth inhibitor, grain growth is increased when increasing the C content only

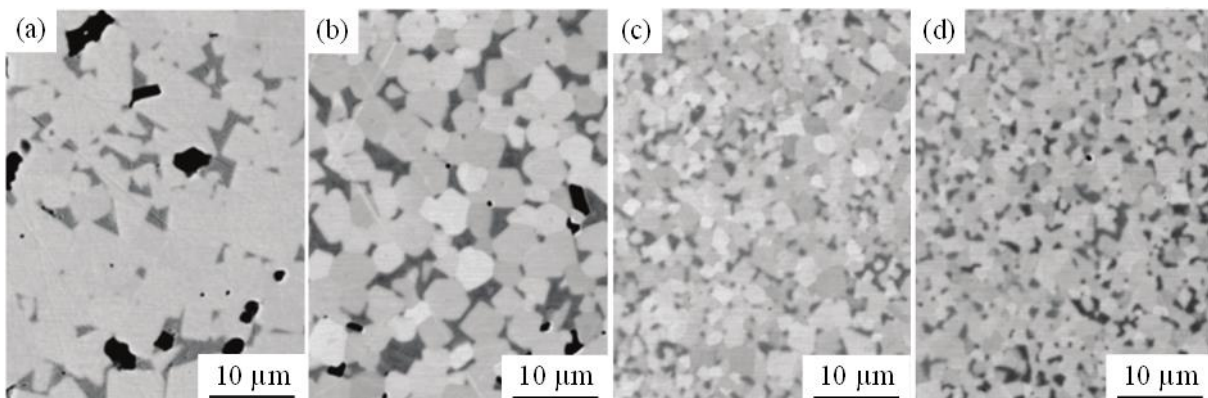


above the stoichiometric value as presented in Figure I-36. This grain growth could be explained by an effect of the carbon content increase in the carbide and binder phase, as very little graphite phase is observed.



**Figure I-36 Influence of carbon content on the microstructure of 5vol%VC modified NbC-12vol%Ni cermet sintered for 1 h at 1420°C. (a) (NbC-4NbH<sub>2</sub>)-5VC-12Ni, (b) (NbC-2NbH<sub>2</sub>)-5VC-12Ni, (c) NbC-5VC-12Ni, (d) (NbC-2C)-5VC-12Ni (vol%) [35].**

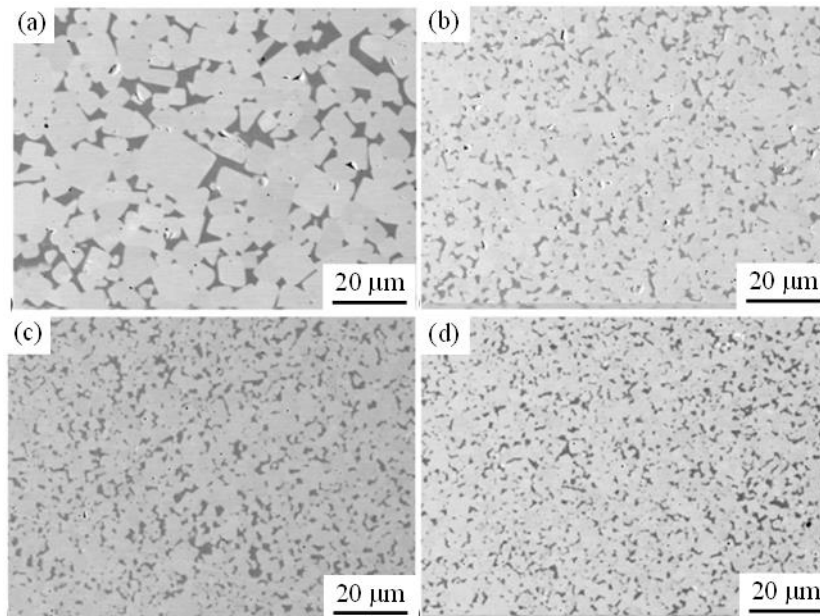
Then, the effect of Mo additions, which also reduce the carbon content by forming (Nb,Mo)C mixed carbides, was investigated in the same study for NbC-12vol%Ni mixtures without VC addition (Figure I-37). In that case, grain growth is continuously enhanced when increasing the C content in the alloys.



**Figure I-37 Influence of Mo content on the microstructure of NbC-12vol%Ni based cermet sintered for 1 h at 1420 °C. (a) NbC-12Ni, (b) (NbC-5Mo)-12Ni, (c) (NbC-10Mo)-12Ni, (d) (NbC-15Mo)-12Ni (vol%) [35].**

#### I.C.2.d Effect of secondary carbide additions

Secondary carbides are added to most cemented carbides in order to limit grain growth. Several carbides have been tested in this regard for NbC based materials, such as Mo<sub>2</sub>C, VC, WC and TaC. For example, VC efficiently reduces the grain size of NbC-Ni materials, as presented in Figure I-38. As the amount of secondary carbide is increased, the grain size is further reduced. However, the grain size reduction stalls above a certain amount of inhibitor.



**Figure I-38 Microstructure of a NbC-12vol%Ni material sintered 1h at 1420°C in vacuum with (a) 0vol% VC, (b) 5vol% VC, (c) 10vol% VC, (d) 15vol% VC [57].**

In the literature, the grain growth inhibiting effect of secondary carbide addition could be explained by a reduction of the driving force for NbC dissolution-reprecipitation due to the presence of metallic elements which would lower the interfacial energy of the binder toward NbC [58].

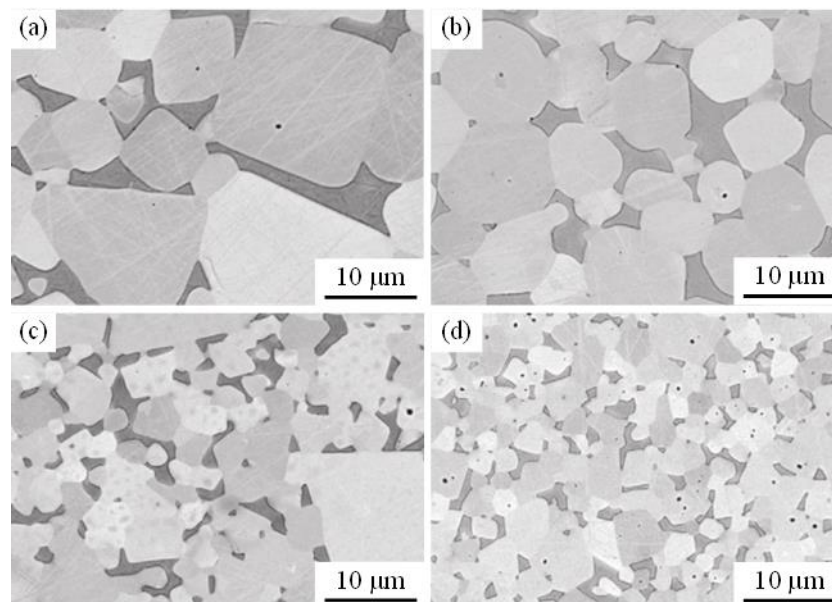
The addition of secondary carbides impacts the affinity of the carbide phase toward the liquid. As detailed in [59] for pseudo-binary systems, a better affinity of the carbide phase with the liquid usually leads to a comparatively lower value of interfacial energy and to a higher value of solubility in the liquid, having antagonist effects on grain growth kinetics. However, the solubility effect may be negligible compared to the interfacial energy effect for low amounts of additive. Considering for example WC and Mo<sub>2</sub>C, their higher solubility in liquid Ni suggests a higher affinity for Ni than NbC (Table I-3). An important aspect is also the solubility of the secondary carbide in NbC (Table I-6). For WC or Mo<sub>2</sub>C addition, the solubility in NbC is high and a uniform carbide composition is expected at equilibrium but two-phased carbides can be observed in other situations. A small amount of WC or Mo<sub>2</sub>C addition to NbC is then expected to decrease the interface energy with the liquid and then to slow grain growth kinetics (see Eq. I-4). The impact of secondary addition on grain growth will be investigated in chapters V and VI.



**Table I-6 Limited solid solution of group IV-VI transition metal carbides [60].**

Carbide system I-II	Size factor	Solubility (mol%)		Temperature (°C)
		II in I	I in II	
TiC-Cr <sub>3</sub> C <sub>2</sub>	15.0	32	0	1725
TiC-WC	4.3	90	5	2700
ZrC-VC	19.4	5	1	-
ZrC-MoC	15.1	90	-	2600
ZrC-WC	14.3	35	-	2100
HfC-VC <sub>2</sub>	18.6	5	5	-
HfC-Cr <sub>3</sub> C <sub>2</sub>	25.2	0	0	-
HfC-MoC	14.4	90	0	2000
HfC-WC	13.5	40	0	2000
VC-Mo <sub>2</sub> C	3.7	25	-	1500
VC-WC	4.5	20	-	2100
NbC-Mo <sub>2</sub> C	4.3	60	-	-
NbC-WC	3.6	72	-	2500
TaC-Mo <sub>2</sub> C	5.0	65	-	2100
TaC-WC	4.3	17-25	-	2100-2500
Nb <sub>2</sub> C-Mo <sub>2</sub> C	4.3	2	20	1900

Secondary carbide additions also affect the grain shape that can vary from faceted with round edges to spheroidal. For example, WC added to a NbC-Co material seems to make the grains rounder whereas VC additions accentuates the faceted aspect of the grains, as presented in Figure I-39. Mo<sub>2</sub>C additions do not seem to affect the grain shape. This shape variation can be one of the mechanisms for grain growth inhibition, since precipitation can be limited on faceted interfaces.



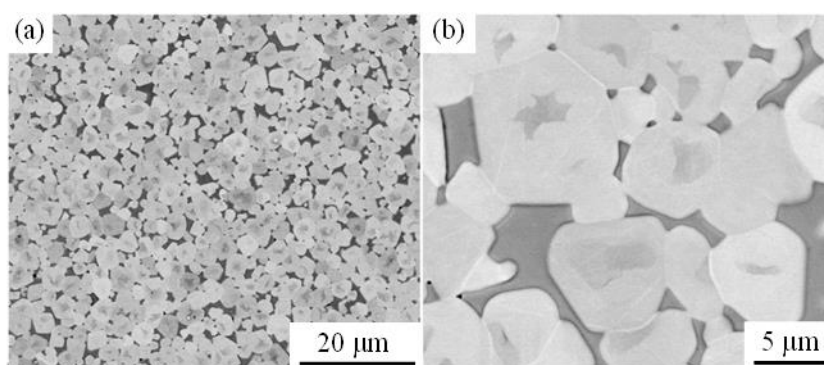
**Figure I-39** Microstructure of a NbC-12wt%Co based hardmetals sintered, (a) without addition, (b) 5wt%WC, (c) 5wt%VC, (d) 5wt%Mo<sub>2</sub>C [22].

The addition of secondary carbides also affects the contiguity. For example, the addition of TaC or VC to a NbC-Co alloy increases the carbide contiguity, as presented in Table I-7. According to the previously described laws, an increased contiguity can reduce grain growth if the kinetics is controlled by diffusion (see Eq. (I-9)), or by interfacial reaction (drag force exerted by the grain boundaries). Therefore, this contiguity increase can also be one of the mechanisms explaining the grain size reduction when adding secondary carbides.

**Table I-7 NbC carbide contiguity G in a NbC-20vol%Co alloy sintered at 1450°C in vacuum with TaC or VC additions [8].**

Alloy bound with 20vol% Co	Carbide contiguity G ( $\pm 0.02$ ) at t (min)			
	0	48	120	240
NbC	0.32	0.26	0.27	0.28
NbC-5at% TaC	0.40	-	0.32	-
NbC-18.5at% TaC	0.47	-	0.37	-
NbC-62at% TaC	0.46	-	0.38	-
NbC-27at% VC	-	-	0.41	-
NbC-43at% VC	-	-	0.43	-
NbC-80at% VC	-	-	0.40	-

In some cases, a core-rim structure of carbides is observed when adding a secondary carbide. For example, this structure is observed with WC (Figure I-40) or TiC added to a NbC-9wt%Ni material where carbide grains have a Nb-rich core and a W/Ti-containing rim. Huang explained it by an interface energy reduction between the carbides and the binder [61]. However, no core-rim structure was observed with VC and Mo<sub>2</sub>C additions, even though these carbides have a very good solubility in Ni and so probably also a good affinity for the liquid [57]. The reason for the formation of this core-rim structure is complex and also dependent on the solubility and diffusion coefficient of the secondary carbide in the major carbide [25].



**Figure I-40 Core-rim structure in a NbC-9wt%Ni-18wt%WC alloy sintered at 1450°C for 90min [61].**

Table I-8 presents all the secondary carbide additions tested for NbC based materials. For more details on those experiments, see Appendix 1. Their effect on the mechanical properties is described in part I.D.

**Table I-8 Secondary carbide additions in the literature.**

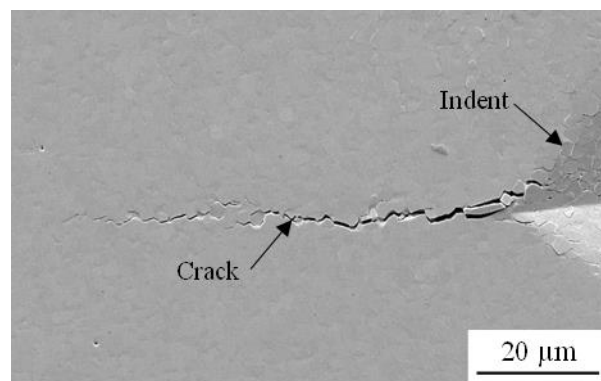
NbC-Co		NbC-Ni	
Secondary carbide	Source	Secondary carbide	Source
TiC	[5]	TiC	[39][61][58]

WC	[5][27][22]	-	WC	[62][61][58]
VC	[22][63]		VC	[63][62][39][35]
Mo <sub>2</sub> C	[22][63]		Mo <sub>2</sub> C	[63][62][39][58]

## I.D Mechanical properties

### I.D.1 Hardness & Toughness in NbC based materials

Two properties are generally used to characterize the mechanical behavior of cemented carbides. The Vickers hardness and the toughness, determined from the length of cracks formed at the angles of the indentation, as presented in Figure I-41. These cracks are called Palmqvist cracks, and the toughness was calculated by a method derived from the work of Shetty et al [64], detailed in Appendix 2. Any composition modification has a direct effect on hardness and fracture toughness.



**Figure I-41 Vickers indentation (HV<sub>30</sub>) with cracks forming at its edges in a NbC-12vol%Ni with Mo<sub>2</sub>C addition material.**

First, increasing the binder content causes a drop of the hardness and an increase of the toughness as presented in Figure I-42 for a NbC-Co material. The presence of the ductile binder indeed facilitates plastic deformation of the material and it also hinders the crack propagation between the grains. Depending on the binder, the crack propagation mechanism can vary. The cracks propagation is inter-granular for a nickel [65] or cobalt binder [27], along the grain boundaries and carbide-binder interfaces, whereas it is intra-granular for a steel binder [34], as visible on Figure I-43. In the case of inter-granular propagation, the binder slows the crack spread [66].

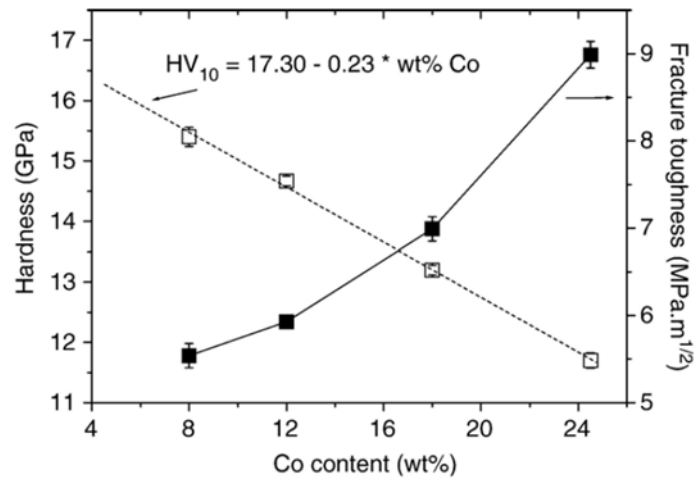


Figure I-42 Hardness and fracture toughness of NbC-Co cermets spark plasma sintered for 2 min at 1280°C under 60 MPa [67].

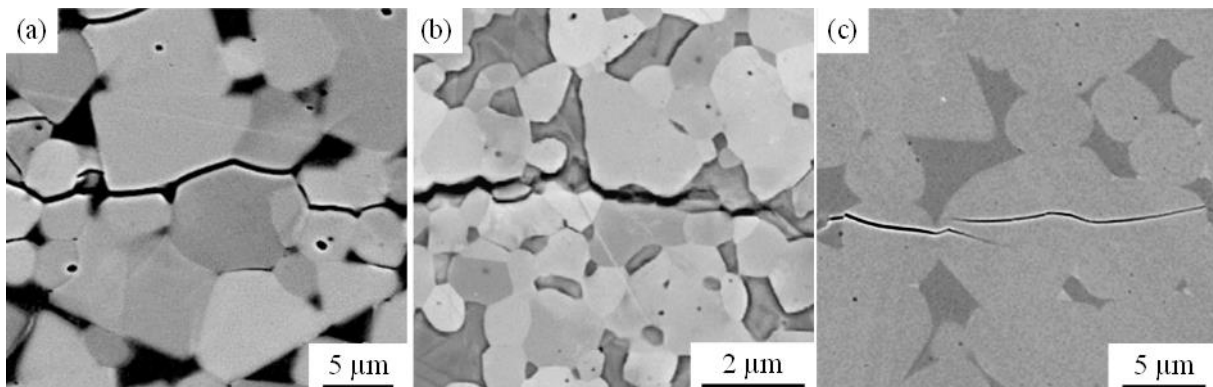


Figure I-43 Crack propagation in (a) NbC-10vol%Ni sintered 90min at 1390°C ( $HV_{30}$ ) [65], (b) NbC24.5wt%Co sintered 10min at 1300°C under 45MPa ( $HV_{10}$ ) [27], (c) NbC-12wt%M48 high speed steel sintered 1h at 1420°C ( $HV_2$ ) [34].

Second, modifying the overall carbon content also affects the mechanical properties of cemented carbides. It is well established for WC-Co materials, as for example visible on Figure I-44.

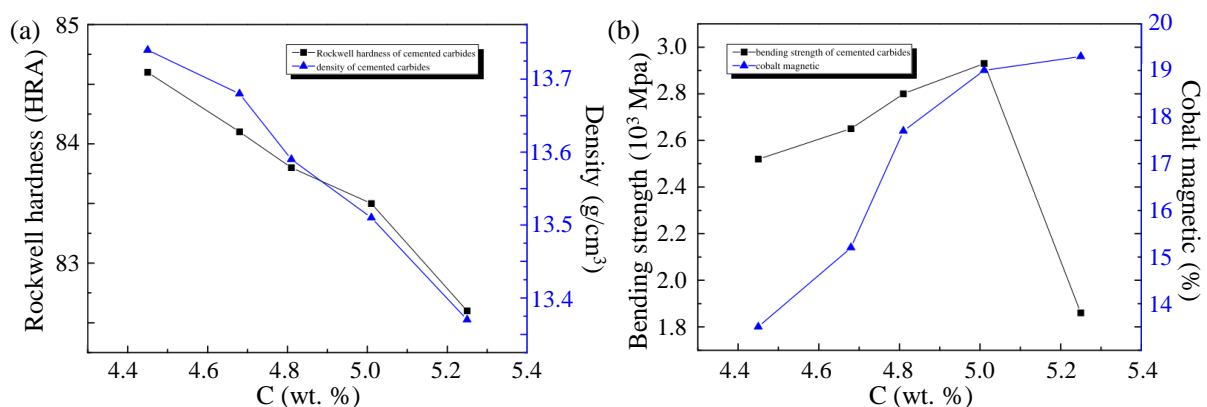
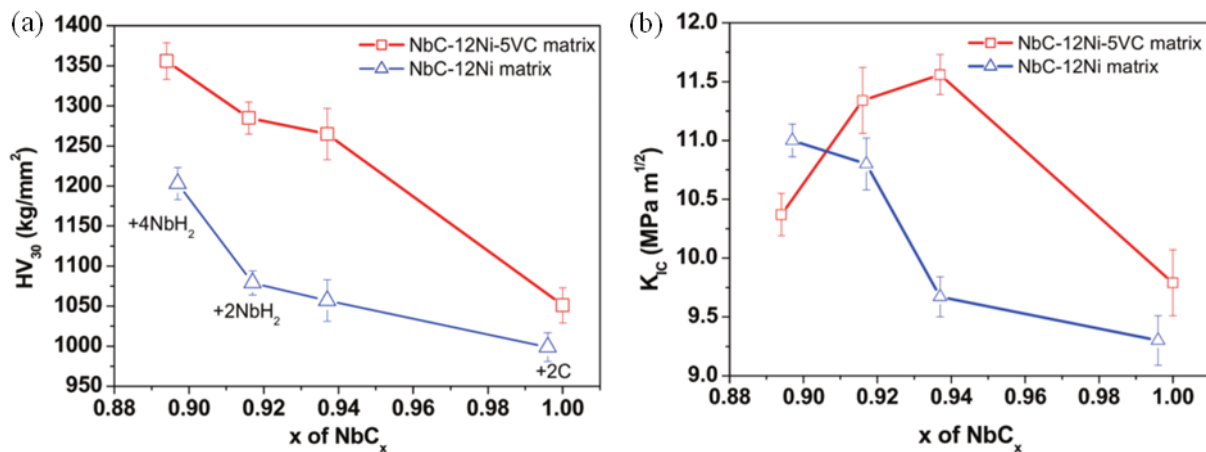


Figure I-44 Effects of carbon content in WC-20wt%Co alloys sintered at 1450°C for 1h on (a) density & Rockwell hardness, (b) bending strength & cobalt magnetic [68].

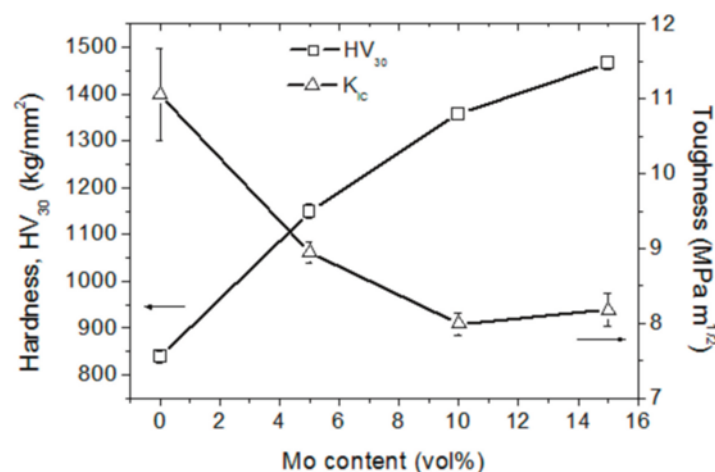
As previously explained, Huang et al investigated the effect of the carbon content in a NbC-12vol%Ni material by adding NbH<sub>2</sub> or C, or by adding different amount of Mo [35]. VC was added to reduce the initial grain size [57].

The hardness and toughness variations with NbH<sub>2</sub> or C additions are presented in Figure I-45. Without VC addition, an increase of the carbon content leads to decrease of hardness and toughness. With VC addition, the hardness still drops when increasing the carbon content, but the toughness increases up to a maximum value of 0.94, then decreases. The drop in hardness was explained by the intrinsic hardness of NbC which varies with the C/Nb ratio as presented in Figure I-4 and by the grain size effect.



**Figure I-45 Mechanical properties of the NbC-12vol%Ni and NbC-5vol%VC-12vol%Ni based cermets, (a) Vickers hardness (HV<sub>30</sub>) and (b) Indentation fracture toughness (K<sub>IC</sub>) [35].**

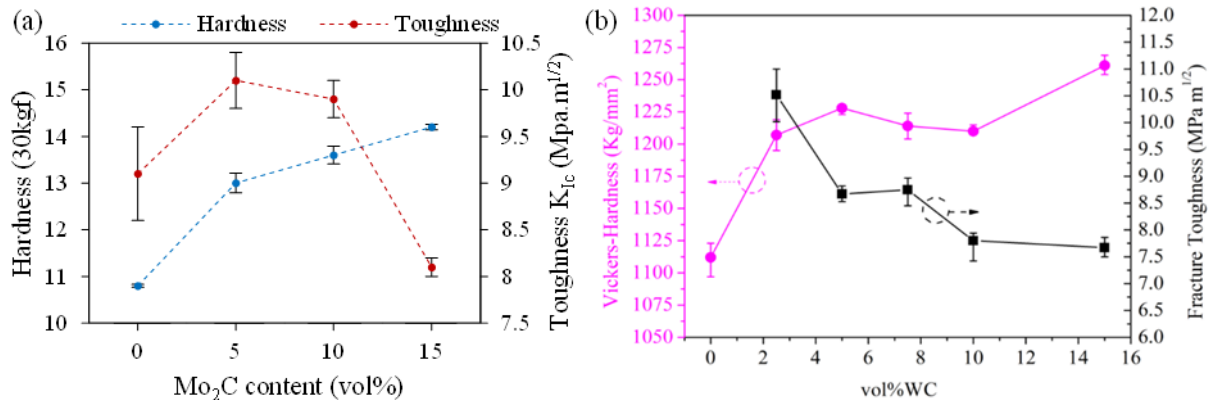
In the same study [35], Mo was added to a NbC-12vol%Ni to reduce the carbon content by forming mixed carbides (Nb,Mo)C. The effect of this addition on the hardness and toughness is presented in Figure I-46. When adding Mo, the hardness increases while the toughness decreases. The modification of mechanical properties are justified by the grain size variation and by the formation of a solid solution (Nb,Mo)C, in addition to the intrinsic effect of the C/Nb ratio described previously.



**Figure I-46 Mechanical properties of (NbC- x vol%Mo)-12 vol%Ni cermets [35].**

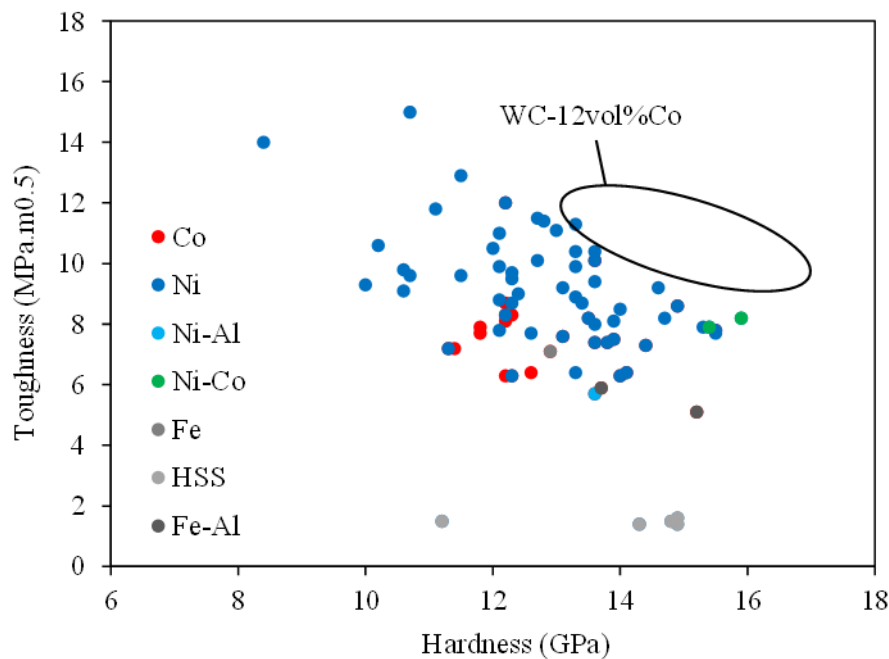
Finally, secondary carbide additions globally lead to an increase of hardness, and most often a decrease of toughness, as presented in Figure I-47 for Mo<sub>2</sub>C additions [57] and WC additions [65]. However, for

a small  $\text{Mo}_2\text{C}$  addition of 5vol% to a NbC-12vol%Ni material, both the hardness and toughness are improved. Therefore, by adjusting the secondary carbide content, the couple hardness/toughness can be optimized.



**Figure I-47 Hardness and fracture toughness of (a) NbC-12vol%Ni with 0 to 15vol% $\text{Mo}_2\text{C}$  additions sintered 1h at 1420°C [57], (b) NbC-10vol%Ni with 0 to 15vol%WC additions sintered 90 min at 1390°C [65].**

The hardness, toughness and grain size values reported in the literature for NbC based materials (see summary table in Appendix 1) are compiled in comparative graphs in Figure I-48, and compared to the performances of WC-Co materials. A thorough study on the comparison of these parameters was realized by Woydt et al [23] with other compositions as presented in Figure I-49. Although WC-Co materials can reach better hardness/toughness couple, as represented on Figure I-48 with WC-12vol%Co materials, NbC-Ni materials combined with secondary carbides such as  $\text{Mo}_2\text{C}$  or VC have sufficiently good hardness and toughness for some applications, like wear as presented in part I.D.2.



**Figure I-48 Hardness-Toughness map of cemented NbC carbides from the literature (see Appendix 1).**

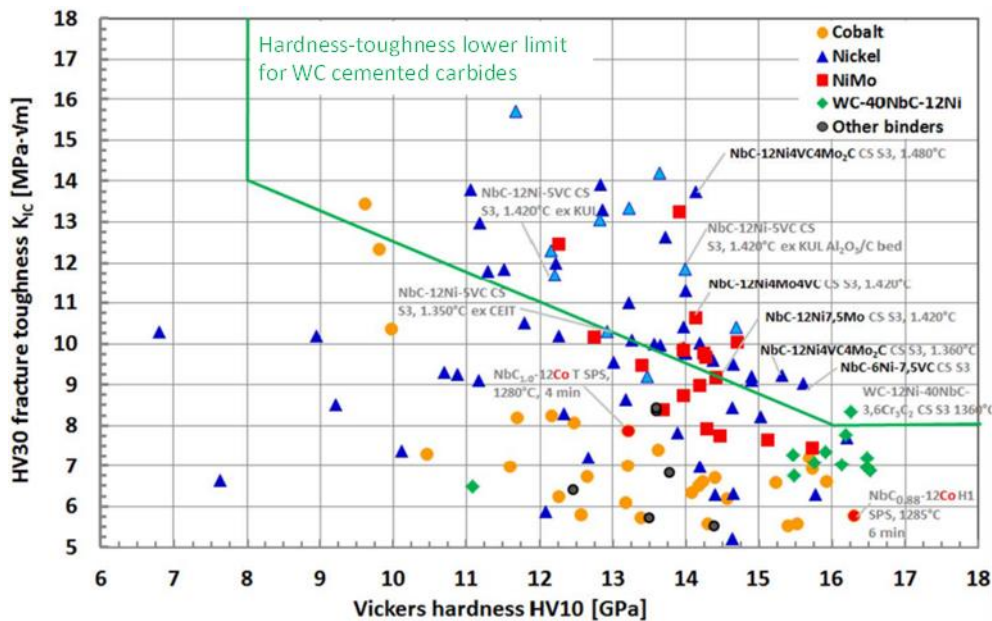


Figure I-49 Impact of binder type on Vickers hardness and fracture toughness of NbC-based hardmetals prepared by K.U. Leuven [23].

I.D.2 Other mechanical properties in NbC based materials

Although their hardness-toughness performances are globally below the one reached by WC-Co materials, NbC carbides have a good friction resistance compared to WC-Co materials as presented in Figure I-50 and NbC based materials are therefore considered for wear applications [23].

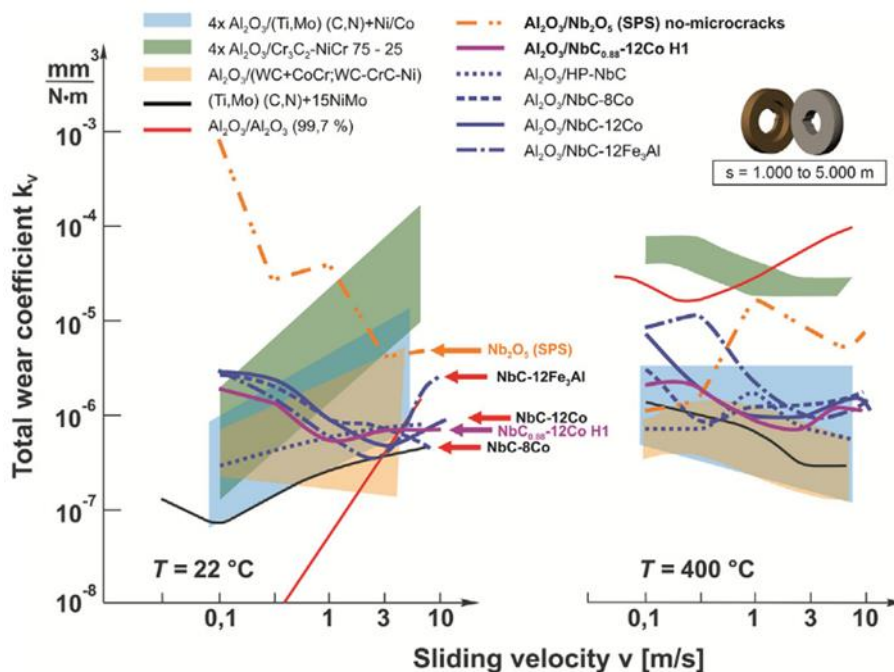


Figure I-50 Total wear coefficients of NbC-based hard metals and Fe<sub>3</sub>Al-NbC compared to different ceramics and hardmetals under dry friction at 22°C and 400°C [1].





## II. Method & Materials

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### II.A. Sample preparation

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#### II.A.1 Compositions

Two aspects will be studied: the effect of the secondary carbide  $\text{Mo}_2\text{C}$  addition and the effect of the carbon content. In order to do so, different compositions are prepared.

First, a simple mix is defined with only niobium carbides, 12 vol% of pure nickel and 0.5 vol% of tungsten carbide. Then, to study the effect of a secondary carbide addition, a defined volume fraction of niobium carbide is replaced by  $\text{Mo}_2\text{C}$ : 3%, 6% or 9% of the carbide volume. These compositions are presented in Table II-1.

**Table II-1 Nominal compositions to study the effect of secondary carbide addition ( $\text{Mo}_2\text{C}$ ).**

Name	%	NbC	Ni	$\text{Mo}_2\text{C}$	WC	Total
0% $\text{Mo}_2\text{C}$	vol	87.50	12.00	0	0.50	100,00
	wt	85.65	13.37	0	0.98	100,00
	at	87.29	12.18	0	0.53	100,00
3% $\text{Mo}_2\text{C}$	vol	84.86	12.00	2.64	0.50	100,00
	wt	82.72	13.32	2.99	0.97	100,00
	at	84.88	12.21	2.37	0.54	100,00
6% $\text{Mo}_2\text{C}$	vol	82.22	12.00	5.28	0.50	100,00
	wt	79.81	13.26	5.96	0.97	100,00
	at	82.46	12.25	4.76	0.54	100,00
9% $\text{Mo}_2\text{C}$	vol	79.58	12.00	7.92	0.50	100,00
	wt	76.92	13.20	8.91	0.97	100,00
	at	80.03	12.28	7.15	0.54	100,00

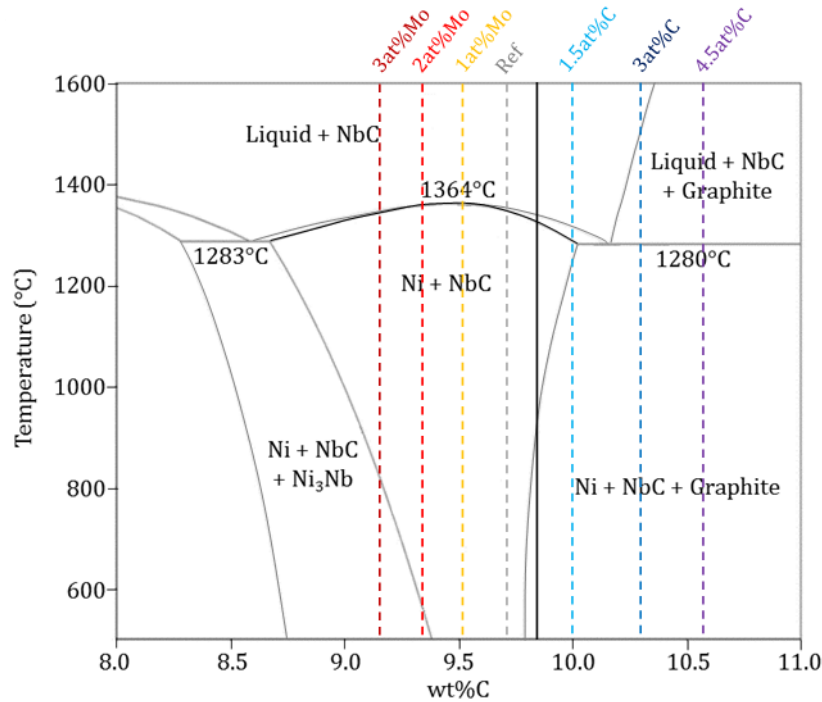
To study the carbon content effect, a reference composition is chosen among the previously chosen mixes. The simplest composition (0% $\text{Mo}_2\text{C}$ ) was not chosen as the absence of grain growth inhibitor leads to the formation of big grains and thus to poor mechanical properties. Instead, the 3% $\text{Mo}_2\text{C}$  mix is chosen.

Then, in three mixes, molybdenum is added to reduce the  $\text{C}/(\text{Nb}+\text{Mo}+\text{W})$  ratio (1, 2 or 3at%Mo) and, in three other mixes, carbon is added to increase the  $\text{C}/(\text{Nb}+\text{Mo}+\text{W})$  ratio (1.5, 3 or 4.5at%C). Mo is added rather than Nb because Nb cannot easily be found as a metallic powder. It is assumed that substitution of Nb by Mo in small quantities does not affect too much the phase equilibrium and the material properties. The compositions for the carbon window study are presented in Table II-2.

**Table II-2 Compositions to study the effect of the carbon content.**

Name	%	NbC	Ni	Mo <sub>2</sub> C	WC	Mo	C	Total
Ref (3%Mo <sub>2</sub> C)	At	84.88	12.21	2.37	0.54	0	0	100.00
	wt	82.72	13.32	2.99	0.97	0	0	100.00
	vol	84.86	12.00	2.64	0.50	0	0	100.00
3at%Mo	at	81.98	12.21	2.29	0.52	3.00	0	100.00
	wt	78.03	13.01	2.82	0.92	5.22	0	100.00
	vol	80.70	12.10	2.56	0.47	4.12	0	100.00
2at%Mo	at	82.95	12.21	2.32	0.52	2.00	0	100.00
	wt	79.57	13.11	2.88	0.94	3.51	0	100.00
	vol	82.00	12.10	2.60	0.48	2.75	0	100.00
1at%Mo	at	83.91	12.21	2.34	0.53	1.00	0	100.00
	wt	81.13	13.21	2.94	0.96	1.77	0	100.00
	vol	83.29	12.20	2.64	0.49	1.38	0	100.00
1.5at%C	at	83.43	12.21	2.33	0.53	0	1.50	100.00
	wt	82.25	13.47	2.98	0.97	0	0.34	100.00
	vol	83.30	12.34	2.66	0.50	0	1.21	100.00
3at%C	at	81.98	12.21	2.29	0.52	0	3.00	100.00
	wt	81.76	13.63	2.96	0.96	0	0.69	100.00
	vol	82.17	12.32	2.60	0.48	0	2.42	100.00
4.5at%C	at	80.53	12.21	2.25	0.51	0	4.50	100.00
	wt	81.27	13.79	2.94	0.96	0	1.04	100.00
	vol	80.98	12.36	2.56	0.48	0	3.62	100.00

The chosen amounts of molybdenum or carbon were based on a NbC-12vol%Ni phase diagram from the literature as presented in Figure II-1. This diagram does not however take into account the addition of WC or Mo<sub>2</sub>C, nor the effect of Mo additions. The carbon content of a NbC-12vol%Ni with stoichiometric NbC is 9.86wt% and is drawn in black on the phase diagram. All the compositions have a rather high carbon content initially, locating them on the right side of the two-phase domain, as they were chosen to surround the stoichiometric carbon content. As a carbon loss is expected, the carbon content of all compositions should be shifted closer to the two-phase domain after sintering.



**Figure II-1** Calculated phase diagram for the NbC-12vol%Ni system (TCNI9 database).

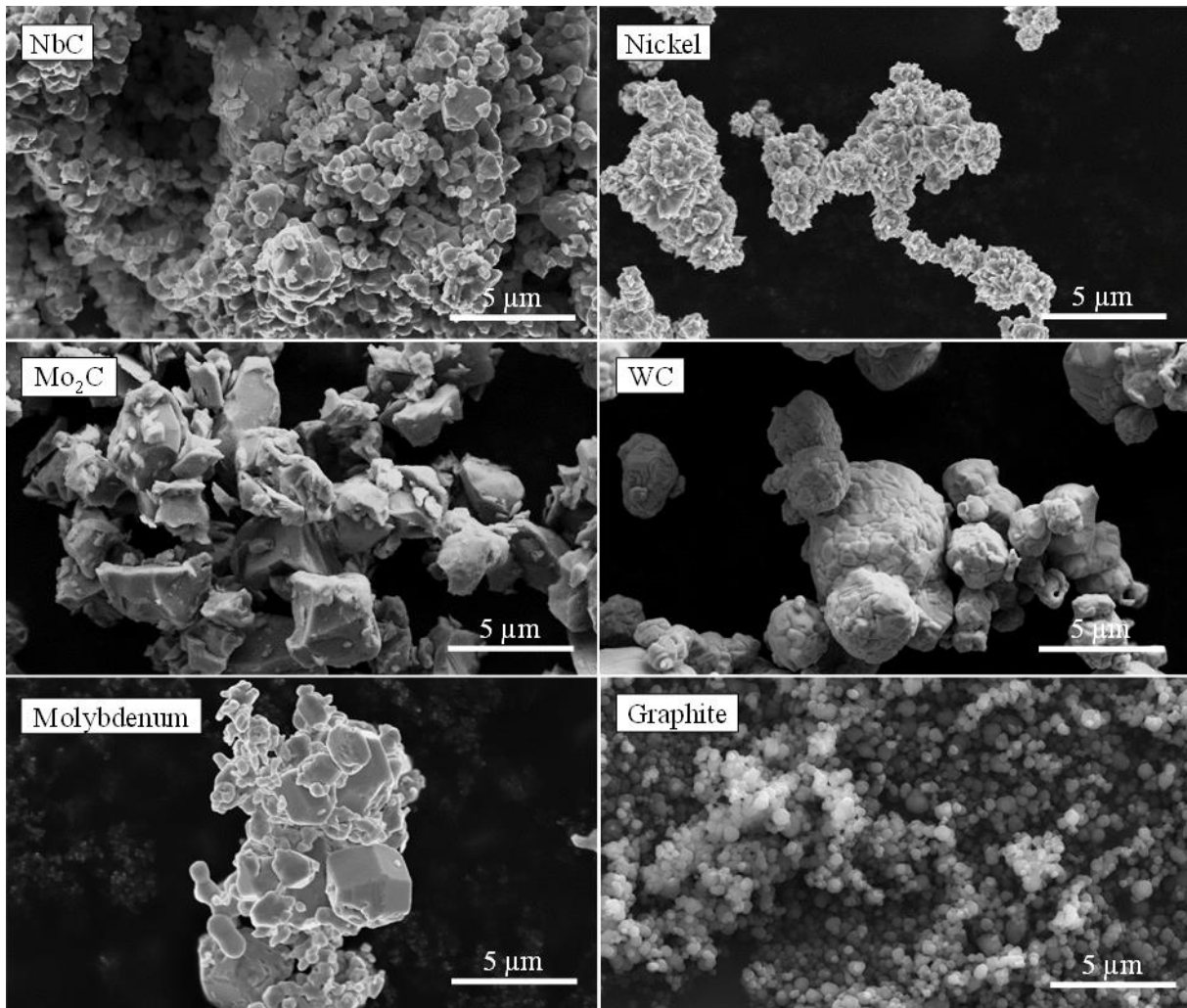
As previously mentioned, 0.5vol% of WC is added to all compositions. During this study, several results indicated that WC might also act as a grain growth inhibitor, as Mo<sub>2</sub>C. In order to confirm this observation, an additional composition without WC, called 0% WC, was prepared. Its composition is presented in Table II-3.

**Table II-3** Composition to study the effect of the WC addition.

Name	%	NbC	Ni	Total
0% WC	vol	88.00	12.00	100.00
	wt	86.23	13.77	100.00
	at	87.51	12.49	100.00

### II.A.2 Raw materials

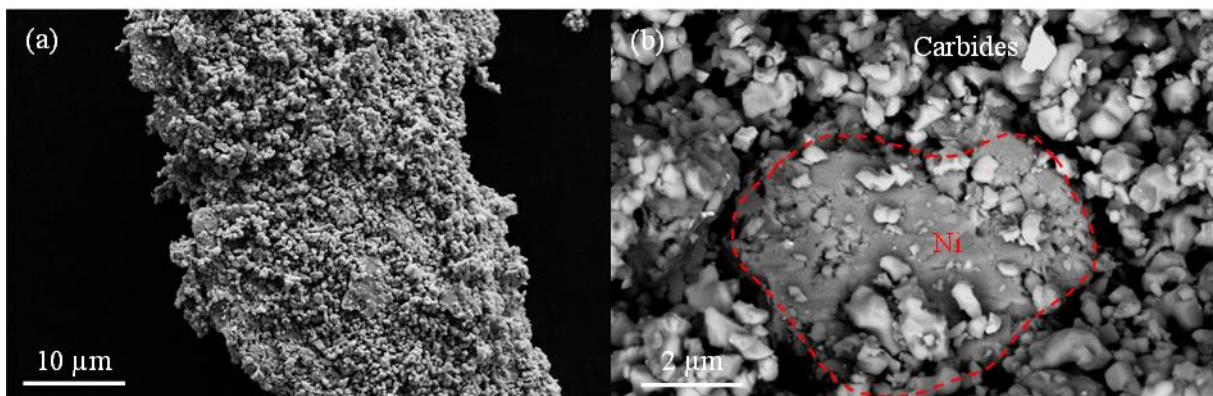
To prepare these compositions, the following raw powders with their FSSS particle size were used: NbC (1.45 μm), Ni (2.55 μm), WC (3.8 μm) and Mo<sub>2</sub>C (1.8μm). Raw materials were obtained from Hyperion Materials & Technologies. The microstructure of raw powders used to prepare the mixes is presented in Figure II-2.



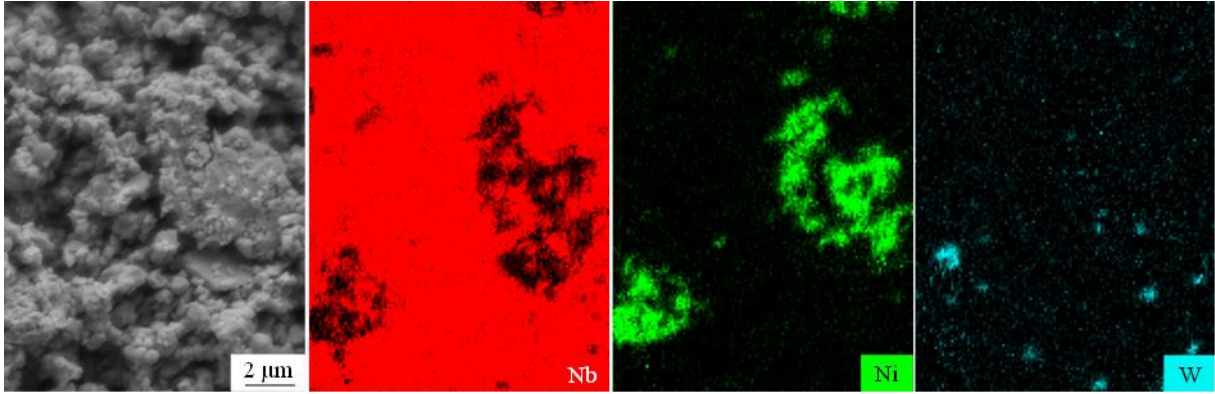
**Figure II-2 SEM image (secondary electrons) of raw powders used to prepare the mixes.**

### II.A.3 Powder mixing

For each composition, raw materials and organic polymer pressing agent (2wt%) were ball milled, dried and sieved at lab scale. An example of a mixed powder is presented in Figure II-3 a EDS mapping of the NbC-0.5vol%WC-12vol%Ni powder mix is presented in Figure II-4. Agglomerates of nickel are visible, indicating a bad mixing of the nickel. WC particles are evenly distributed.



**Figure II-3 SEM images of the NbC-0.5vol%WC-12vol%Ni powder mix, (a) Secondary electron image, 10kV, (b) Backscattered electron image, 10kV.**



**Figure II-4 SEM image and corresponding 8 kV EDS maps of NbC-0.5vol%WC-12vol%Ni mixed powders agglomerated with pressing agents.**

### II.A.3 Powder compaction

For every composition, pellets are pressed by uniaxial compaction in an 8 mm diameter die (see Figure II-5 (b)(c)). The compaction forces presented in Table II-4 were determined after drawing compressibility curves for each mix and selecting the force corresponding to a 55% relative density.

**Table II-4 Forces applied to compress the samples.**

Study	Name	Pressure (MPa)
Effect of Mo <sub>2</sub> C additions	0% Mo <sub>2</sub> C	90
	3% Mo <sub>2</sub> C (Ref)	130
	6% Mo <sub>2</sub> C	100
	9% Mo <sub>2</sub> C	80
Effect of the carbon content	3at% Mo	130
	2at% Mo	
	1at% Mo	
	1.5at% C	
	3at% C	
Effect of WC addition	4.5at% C	90
	NbC-12vol%Ni	

The relative density  $\rho_r$  is defined as:

$$\rho_r = \frac{\rho}{\rho_{th}} \quad (\text{II-1})$$

where  $\rho_{th}$  is the theoretical density and  $\rho$  is the sample's density.

The theoretical densities of each composition, presented in Table II-5, have been estimated from the densities of each component according to the following formula:

$$\rho_{th} = \sum_i f_{vol}^i \rho_i \quad (\text{II-2})$$

where  $i = \text{NbC, Ni, WC, Mo}_2\text{C, C, Mo}$ . In this calculation, dissolution effects are neglected, i.e. the volume of each element in the final material is assumed to be similar to its value in the constituents of the initial powder mixture, which usually gives a good first order approximation of the density.

**Table II-5 Theoretical densities at 20°C.**

Name	$\rho_{th}$
0% Mo <sub>2</sub> C	7.93
3% Mo <sub>2</sub> C	7.96
6% Mo <sub>2</sub> C	7.99
9% Mo <sub>2</sub> C	8.02
3at% Mo	8.06
2at% Mo	8.02
1at% Mo	8.00
1.5at% C	7.88
3at% C	7.83
4.5at% C	7.76
NbC-12vol%Ni	7.89

In the rest of the study, the sample's density is determined either by measurements of the sample mass and geometrical dimensions (Eq. II-3) or by Archimedes' principle method (Eq. II-4). Both method should give similar results. In case of green compacts, only the geometrical method is used and the pressing agent mass is subtracted to get the relative density of the carbide material.

$$\rho = \frac{4 m}{h \pi d^2} \quad (\text{II-3})$$

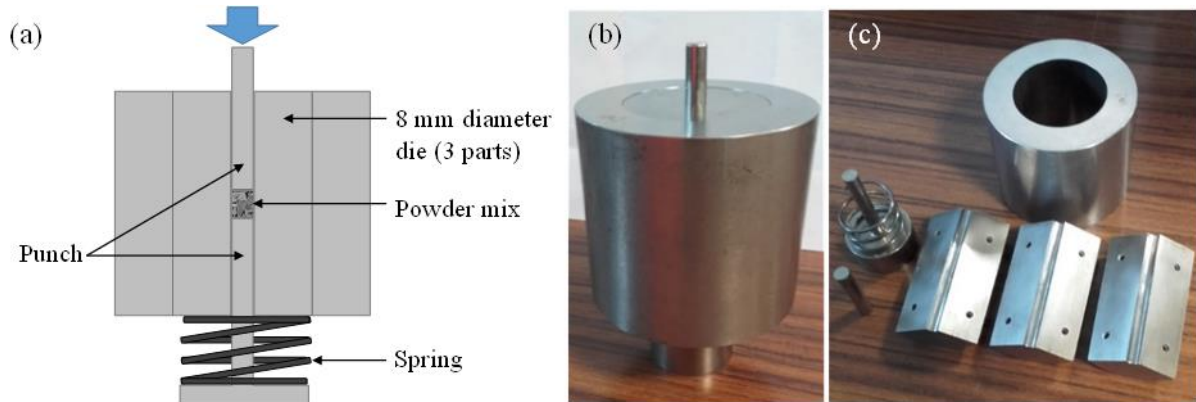
where  $m$  is the mass of the sample,  $h$  is the height and  $d$  the diameter of the cylindrical sample.

$$\rho_A = \frac{\rho_{eth}}{1 - \frac{m_{eth}}{m_{air}}} \quad (\text{II-4})$$

where  $\rho_{eth}$  is the density of ethanol at room temperature,  $m_{eth}$  is the mass of the sample in ethanol and  $m_{air}$  is the mass of the samples in air.

The method of uniaxial compaction is presented in Figure II-5. The powder compacts weigh about 1.3g and measure about 6 mm in height. They will be sintered according to the thermal cycles presented in part II-B, and then characterized with techniques described in part II-C.





**Figure II-5 Compression system, (a) Schematic of the compression method, (b)(c) Photos of the matrix (Courtesy of Zoé Roulon).**

## II.B Thermal cycles

Several thermal cycles have been studied. Each cycle must include two important steps. First, a 400°C heat treatment to remove pressing agents that were previously added to facilitate the pressing operation, and to reduce possible oxides and hydroxides. Second, a sintering heat treatment to densify the sample and reduce remaining metallic oxides. For most of the study, these two steps will be performed separately as presented in section II.B.1.

*Note: Debinding and sintering steps were separated in two thermal cycles because it was not technically possible to do them in a row with the existing set-up. It was indeed necessary to manually activate the secondary vacuum pump after the 9 hours debinding. Separating those two stages will however allow us to study them apart.*

### II.B.1 Thermal cycle atmospheres

One of the objective of debinding and sintering is to eliminate the organic binder, avoid any oxide formation and reduce possible pre-existing oxides. The thermal cycle atmospheres must allow these reductions. The debinding is performed in a H<sub>2</sub> atmosphere to facilitate the organic binder elimination. NbC based materials are then sintered at temperature above 1350°C under vacuum, no matter the chosen binder. The choice to work with a vacuum environment rather than with a neutral gas such as argon or with H<sub>2</sub> atmosphere can be explained by thermodynamic calculation.

The Ellingham diagram for P(O<sub>2</sub>) = 1 bar for our study is presented in Figure II-6. As we are working with a nickel binder and carbides additions of WC and Mo<sub>2</sub>C, the corresponding curves were drawn, additionally to the NbC ones. The standard Gibbs free energy of an oxidation reaction is calculated using Ellingham approximation formula (Eq. II-5).

$$\Delta G^0(T) \approx \Delta H^0(25^\circ\text{C}) - T\Delta S^0(25^\circ\text{C}) \quad (\text{II-5})$$

where, for an oxidation reaction,  $\Delta G^0(T)$  is the standard Gibbs free energy of the reaction,  $\Delta H^0(25^\circ\text{C})$  is the standard enthalpy and  $\Delta S^0(25^\circ\text{C})$  is the standard entropy of the reaction at room temperature. The values used to calculate the enthalpy and entropy variations are presented in Table II-6.

**Table II-6 Enthalpy of formation and free entropy at room temperature [69]**

Substance	$\Delta H_f^0(25^\circ\text{C})$	$S^0(25^\circ\text{C})$	Substance	$\Delta H_f^0(25^\circ\text{C})$	$S^0(25^\circ\text{C})$
Unit	kJ/mol	J/mol.K	Unit	kJ/mol	J/mol.K
(O <sub>2</sub> )	0	205.2 ± 0.04	⟨NbH <sub>2</sub> ⟩	-175.6	
(H <sub>2</sub> )	0	130.7 ± 0.08	⟨Mo <sub>2</sub> C⟩	-46.1 ± 2.9	65.7 ± 0.8
⟨C⟩ Graphite	0	5.74 ± 0.02	⟨MoO <sub>2</sub> ⟩	-588.2 ± 1.7	50.0 ± 1.3
(CO)	-110.6 ± 0.2	197.7 ± 0.04	⟨MoO <sub>3</sub> ⟩	-745.7 ± 0.8	77.8 ± 0.6
(CO <sub>2</sub> )	-393.8 ± 0.1	213.8 ± 0.04	⟨WC⟩	-38.1 ± 10.5	41.9 ± 4.2
(H <sub>2</sub> O)	-242.0	188.8	⟨WO <sub>2</sub> ⟩	-590.1 ± 6.3	50.7 ± 1.7
⟨NbC⟩	-138.2 ± 4.2	35.1 ± 0.4	⟨WO <sub>3</sub> ⟩	-843.4 ± 2.9	77.0 ± 1.3
⟨NbO⟩	-419.9 ± 12.6	46.1 ± 8.4	⟨Ni⟩	0	29.9 ± 0.4
⟨NbO <sub>2</sub> ⟩	-795.5 ± 8.4	54.6 ± 0.3	⟨NiO⟩	-240.7 ± 2.1	38.1 ± 0.4
⟨Nb <sub>2</sub> O <sub>5</sub> ⟩	-1900.8 ± 5.0	137.3 ± 1.3			

( ) gas, ⟨ ⟩ solid



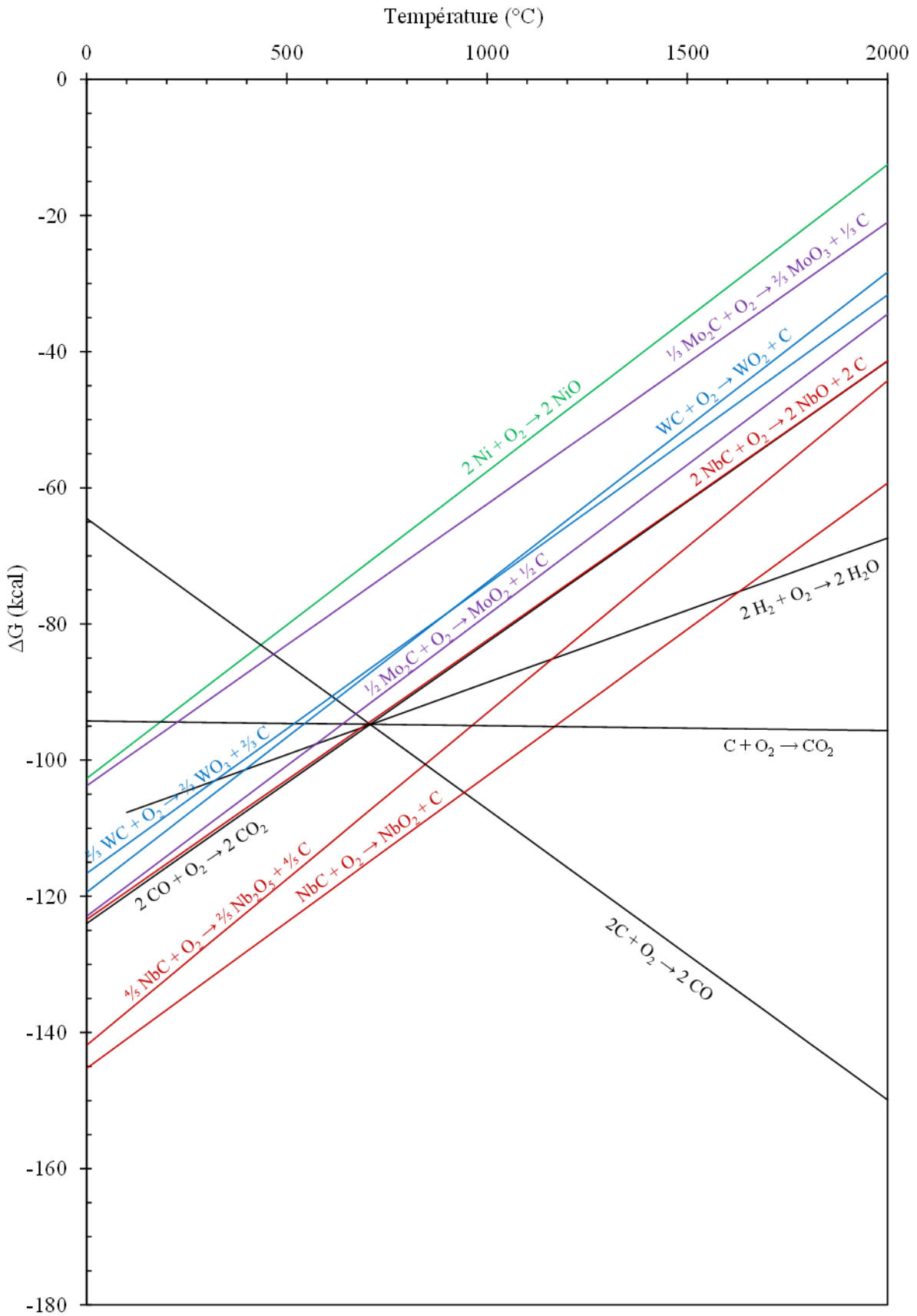


Figure II-6 Ellingham diagram at  $P(\text{O}_2) = 1$  bar.

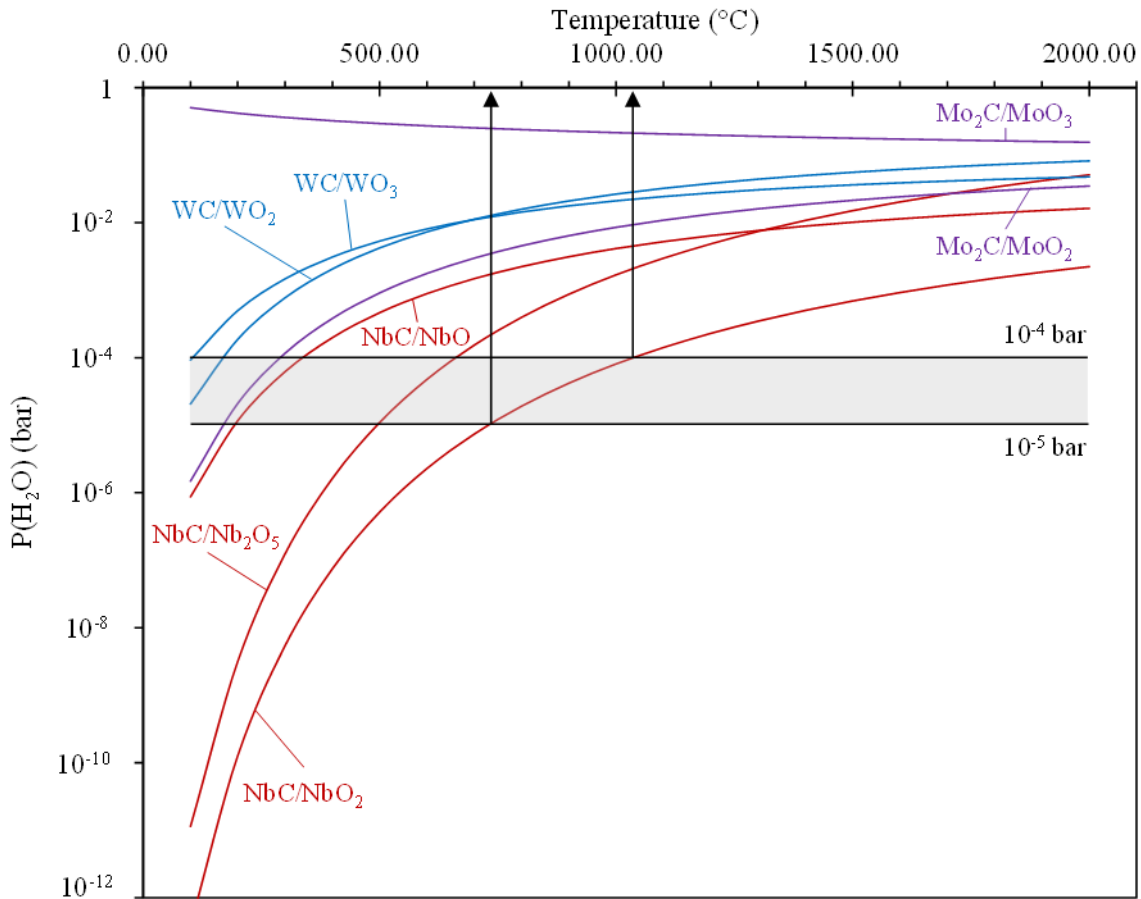
In our study, every sample is first debinded for 1h at 400°C in hydrogenated helium He-4% $H_2$ . The oxygen content in the commercial gas mixture is specified between 1 and 10 ppm, corresponding to a vapor partial pressure  $P(H_2O) \leq 10^{-5}$  bar. However, in practical situations, oxygen from degassing the device surfaces and possible micro-leaks may increase this partial pressure. The value was estimated in our TGA device as  $P(H_2O) \leq 10^{-5}$  bar, in a previous work on active oxidation of silicon [70] and different works in the lab tend to conclude that  $P(H_2O)$  is in the range  $10^{-4}$ - $10^{-5}$  bar in our experimental conditions. Therefore, the dihydrogen has a pressure of  $P(H_2) = 4 \cdot 10^{-2}$  bar and  $P(H_2O)$  is assumed to be in the range  $10^{-4}$ - $10^{-5}$  bar.

In a  $H_2$  rich atmosphere, the nickel oxides are easily reduced for any ratio  $P(H_2O)/P(H_2) < 1$ , no matter the temperature according to formula (II-6).



Other oxides can be reduced by  $H_2$  for  $P(H_2O)/P(H_2) < 1$  but only above a certain temperature depending on the pressure ratio. For example, for  $P(H_2O)/P(H_2) = 1$ ,  $WO_3$  is reduced above 320°C and  $WO_2$  above 400°C.  $MoO_2$  is reduced above 580°C and  $MoO_3$  is reduced no matter the temperature.  $NbO$  is reduced above 700°C,  $Nb_2O_5$  above 1160°C and  $NbO_2$  above 1620°C. But these temperatures are reduced for lower  $P(H_2O)/P(H_2)$  ratios. To deal with the problem, the water vapor pressure  $P(H_2O)$  was calculated for each oxidation reaction with  $P(H_2) = 4 \cdot 10^{-2}$  bar (see Appendix 3) and is presented in Figure II-7. It is assumed that there is an equilibrium between the metallic nickel, the oxides, the refractory element carbides, free carbon and the gases ( $O_2$ ,  $H_2$  and  $H_2O$ ), and therefore that metallic Nb, W and Mo are not involved.

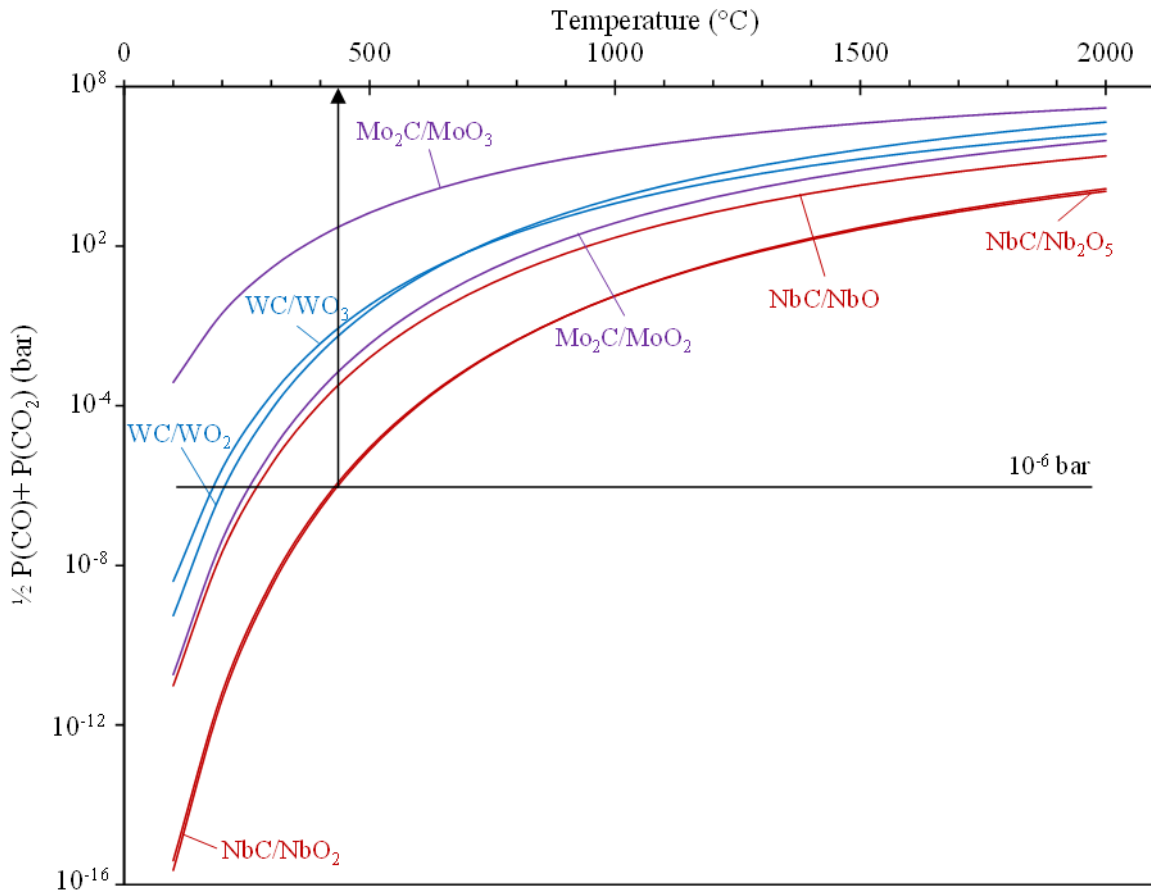
It can be seen that the equilibrium water partial pressure becomes larger than the actual partial pressure in the furnace ( $P(H_2O) = 10^{-4}$ - $10^{-5}$  bar) above a certain temperature no matter the oxide considered. It means that there is a transition from an oxidizing to a reducing atmosphere. The most stable oxide  $NbO_2$  is reduced above 750°C, and thus all the considered oxides are reduced above that temperature.



**Figure II-7  $P(\text{H}_2\text{O})$  at oxidation-reduction equilibrium as a function of the temperature.**

The Ellingham diagram indicates that carbon would be a more efficient reducing agent than  $\text{H}_2$  above approximately  $700^\circ\text{C}$ . In order for carbon to be able to reduce oxides, the total equilibrium partial pressure of carbon monoxide and dioxide must be larger than the value due to the oxygen pressure  $P(\text{O}_2)$  in the furnace, *i.e.*  $\frac{1}{2} P(\text{CO}) + P(\text{CO}_2) > P(\text{O}_2)$ . In our study, a secondary vacuum of  $5 \cdot 10^{-6}$  bar is applied during sintering, which leads to  $P(\text{O}_2) = 10^{-6}$  bar if pumping air.

Figure II-8 presents the value of  $\frac{1}{2} P(\text{CO}) + P(\text{CO}_2)$  at equilibrium for each oxide. It is assumed that there is an equilibrium between the metallic nickel, the oxides, the refractory element carbides, free carbon and the gases ( $\text{O}_2$ ,  $\text{CO}$  and  $\text{CO}_2$ ) and therefore that metallic Nb, W and Mo are not involved.  $P(\text{CO}) + P(\text{CO}_2)$  is superior to  $P(\text{O}_2) = 10^{-6}$  bar above  $430^\circ\text{C}$  for the most stable Nb oxides ( $\text{Nb}_2\text{O}_5$  and  $\text{NbO}_2$ ). Therefore, the furnace atmosphere becomes reducing above this temperature. Use of secondary vacuum involving oxide reduction by carbon is then more efficient than standard  $\text{H}_2$  atmosphere. In this work, a temperature of more than  $1000^\circ\text{C}$  is always reached during sintering, allowing the reduction of all the metallic oxides.



**Figure II-8  $\frac{1}{2} P(\text{CO})+P(\text{CO}_2)$  at oxidation-reduction equilibrium as a function of the temperature.**

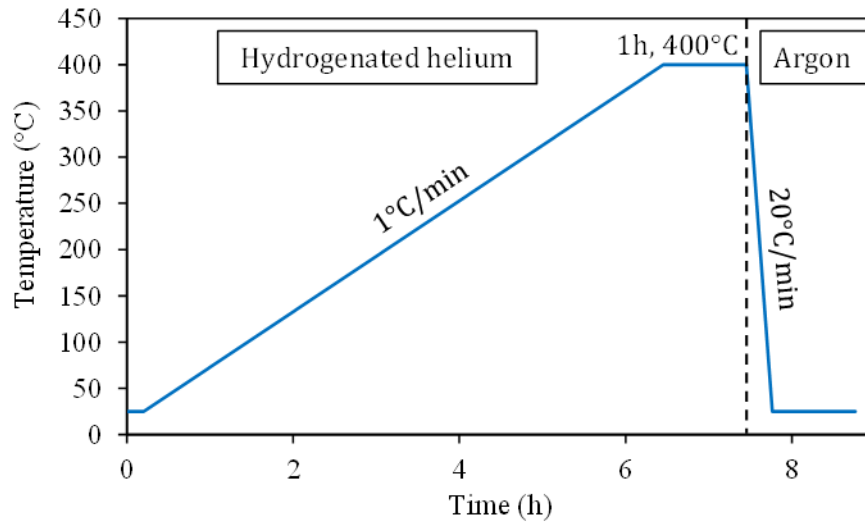
An argon atmosphere could also be considered, but with a  $P(\text{O}_2)$  of approximately  $10^{-5}$  bar, the oxide reduction would be less effective than in vacuum.

Although both a He- $\text{H}_2$  and vacuum atmospheres allow the reduction of Nb/Mo/W oxides, the use of hydrogenated helium at high temperature can damage the Pt thermocouples and lead to the formation of  $\text{CH}_4$  and thus to an uncontrolled decarburization. The use of vacuum as a reductive medium induces decarburization since carbon is a reactant to form CO or  $\text{CO}_2$ , but this can be anticipated by the addition of extra C in relation with the expected quantity of refractory oxides to eliminate. However, evaporation of metallic elements at high temperature should be avoided, which will be investigated in this study.

## II.B.2 Two-step thermal cycles

### II.B.2.a Debinding cycle

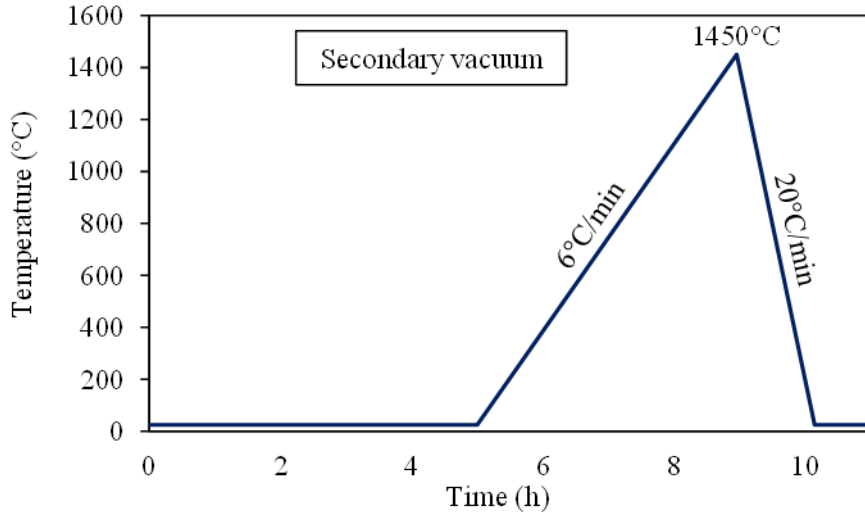
The debinding is performed in a helium – 4% dihydrogen atmosphere in order to eliminate the organic binder. The temperature increases at  $1^\circ\text{C}/\text{min}$  until  $400^\circ\text{C}$ , then is constant for 1h at  $400^\circ\text{C}$ , then decreases at  $20^\circ\text{C}/\text{min}$  until room temperature. This thermal cycle is presented in Figure II-9.



**Figure II-9 Debinding thermal cycle**

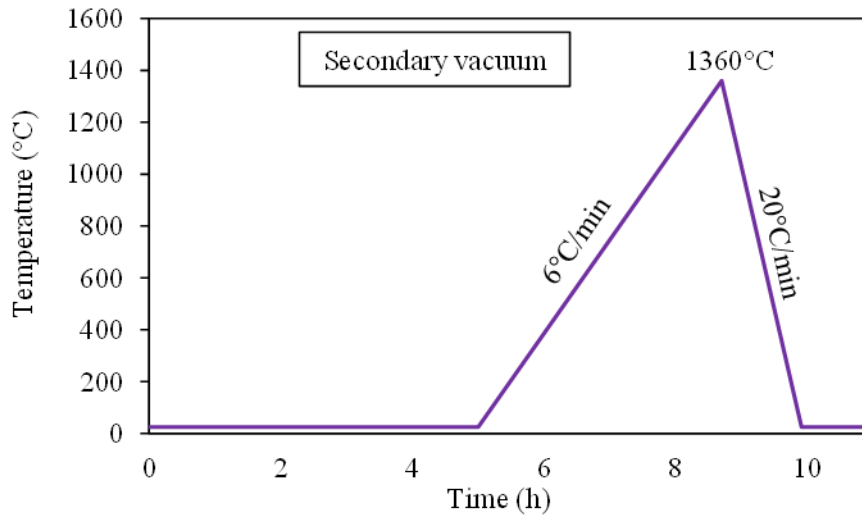
### II.B.2.b Sintering cycles

A sintering cycle has been chosen as a reference and has been applied to all studied compositions. It contains three steps presented in Figure II-10. First, a 5h pumping period at room temperature in order to reach a good secondary vacuum level of about  $5 \cdot 10^{-3}$  mbar. Then, a heating ramp at  $6^\circ\text{C}/\text{min}$  until  $1450^\circ\text{C}$ . Finally, a cooling ramp at  $20^\circ\text{C}/\text{min}$  until room temperature. This cycle will be called “ $1450^\circ\text{C}$  cycle” in this work.



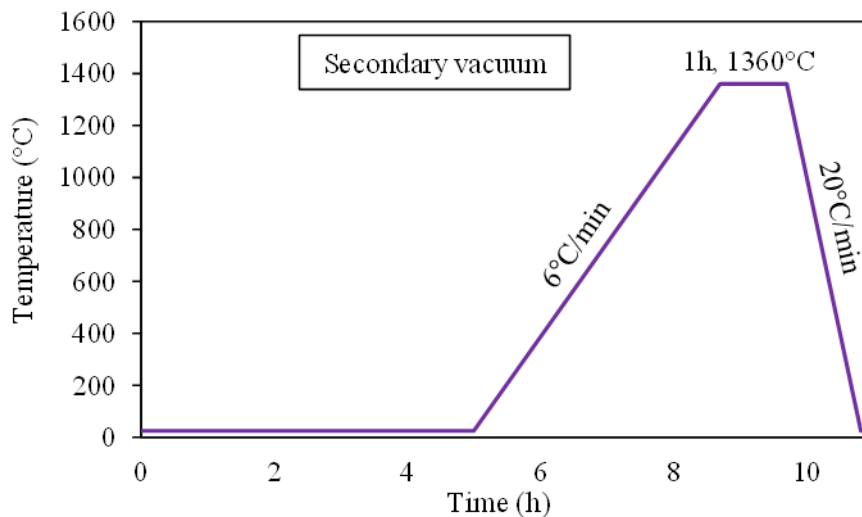
**Figure II-10 1450°C sintering thermal cycle**

A lower sintering temperature was also tested. Therefore, the same cycle was used but up to  $1360^\circ\text{C}$  instead of  $1450^\circ\text{C}$ . This cycle, presented in Figure II-11, will be called “ $1360^\circ\text{C}$  cycle” in this work.



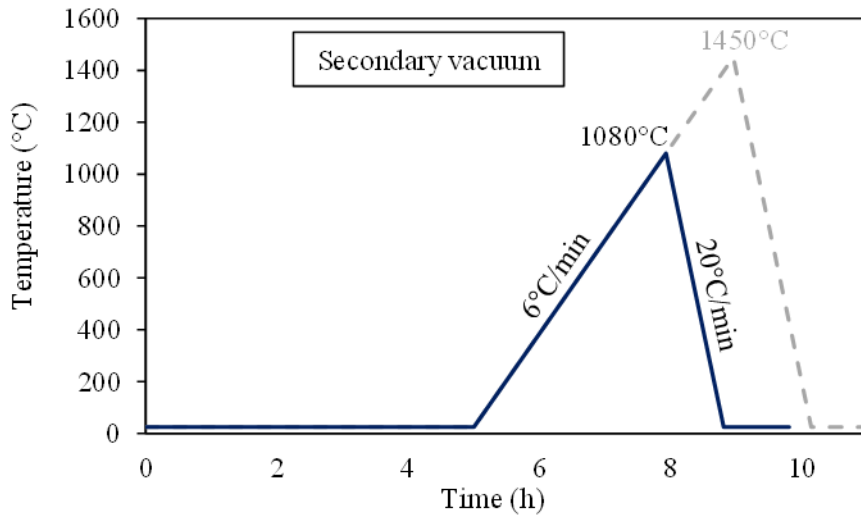
**Figure II-11 1360°C sintering thermal cycle**

In order to study the kinetics of grain growth, the cycle at 1360°C was extended with a temperature plateau at 1360°C of 1h, 2h or 4h. When referring to this thermal cycles, the length of this step will be specified. For example, the cycle presented in Figure II-12 will be called “1360°C 1h cycle”.



**Figure II-12 Example of a sintering thermal cycle with an extended time at 1360°C of 1h.**

Several interrupted tests were performed in order to analyze the sintering mechanisms. The chosen temperatures correspond to specific sintering steps determined by dilatometry (see part V), such as the beginning of liquid phase sintering. Those temperatures also vary with the composition. An example of an interrupted test at 1080°C is presented in Figure II-13 and all the studied interruption temperatures are presented in Table II-7.

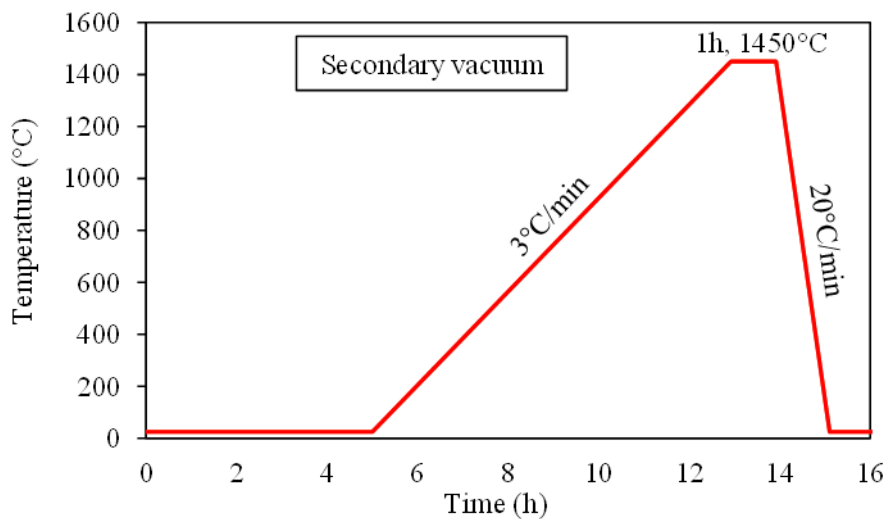


**Figure II-13** Example of an interrupted sintering thermal cycle at 1080°C.

**Table II-7** Interruption temperatures.

	0%Mo <sub>2</sub> C	3%Mo <sub>2</sub> C
Solid state sintering beginning	1080°C	1080°C
Acceleration of solid state sintering	-	1180°C
Liquid phase sintering beginning	1310°C	1320°C

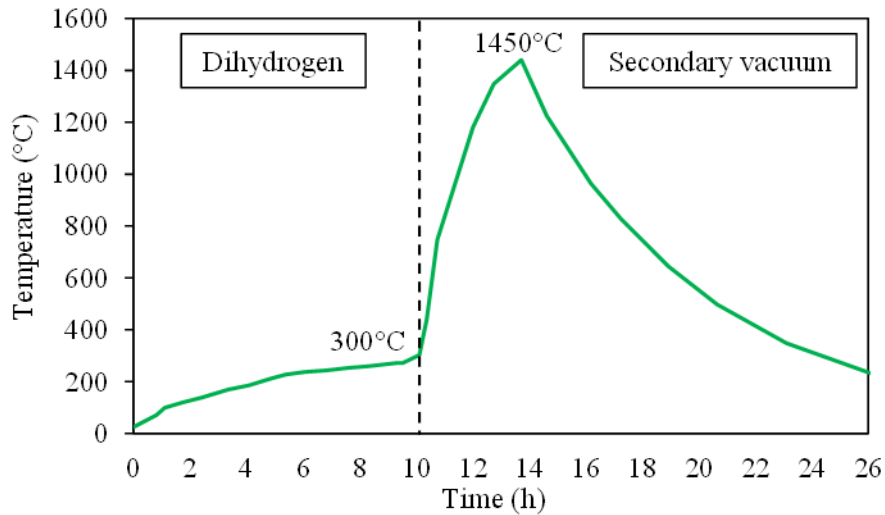
Finally, the heating rate influence was studied with a longer cycle. The heating ramp is 3°C/min and there is a maintaining of 1h at 1450°C as presented in Figure II-14. If needed, this longer cycle will be referred to as “1450°C long cycle”.



**Figure II-14** 1450°C long sintering thermal cycle

### II.B.3 Industrial cycle

In order to compare with the samples prepared in lab equipment, an industrial cycle presented in Figure II-15 was performed on every composition in an industrial furnace in Hyperion Materials & Technologies. This cycle includes both the debinding and the sintering steps.

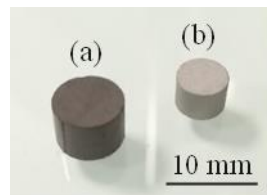


**Figure II-15 Industrial debinding & sintering thermal cycle**

## II.C Experimental characterization techniques

### II.C.1 Sintering study

During the thermal treatments, different phenomena occur such as oxide reductions or sintering, leading to changes in the sample's shape and weight, as shown in Figure II-16. Two techniques were used to follow up these changes: Dilatometry and Thermogravimetric Analysis (TGA).



**Figure II-16 Powder compact (a) before and (b) after sintering at 1450°C.**

#### II.C.1.a Thermogravimetric Analysis

This technique allows to follow the mass loss during heat treatments. The sample, that has been pressed beforehand at 55%, is placed on an alumina plate inside an alumina crucible, which hangs at the end of several suspensions hooked to one side of a balance beam, which is balanced on the other side with weights. This beam then enables to measure the variation of mass from an equilibrium point. The suspension-crucible system is then lowered inside the sample chamber. The chamber is placed in vacuum or controlled gas flow and heated by a furnace which enables thermal cycle from room temperature up to 1600°C. The operating principle of the device used in this study is presented in Figure II-17.

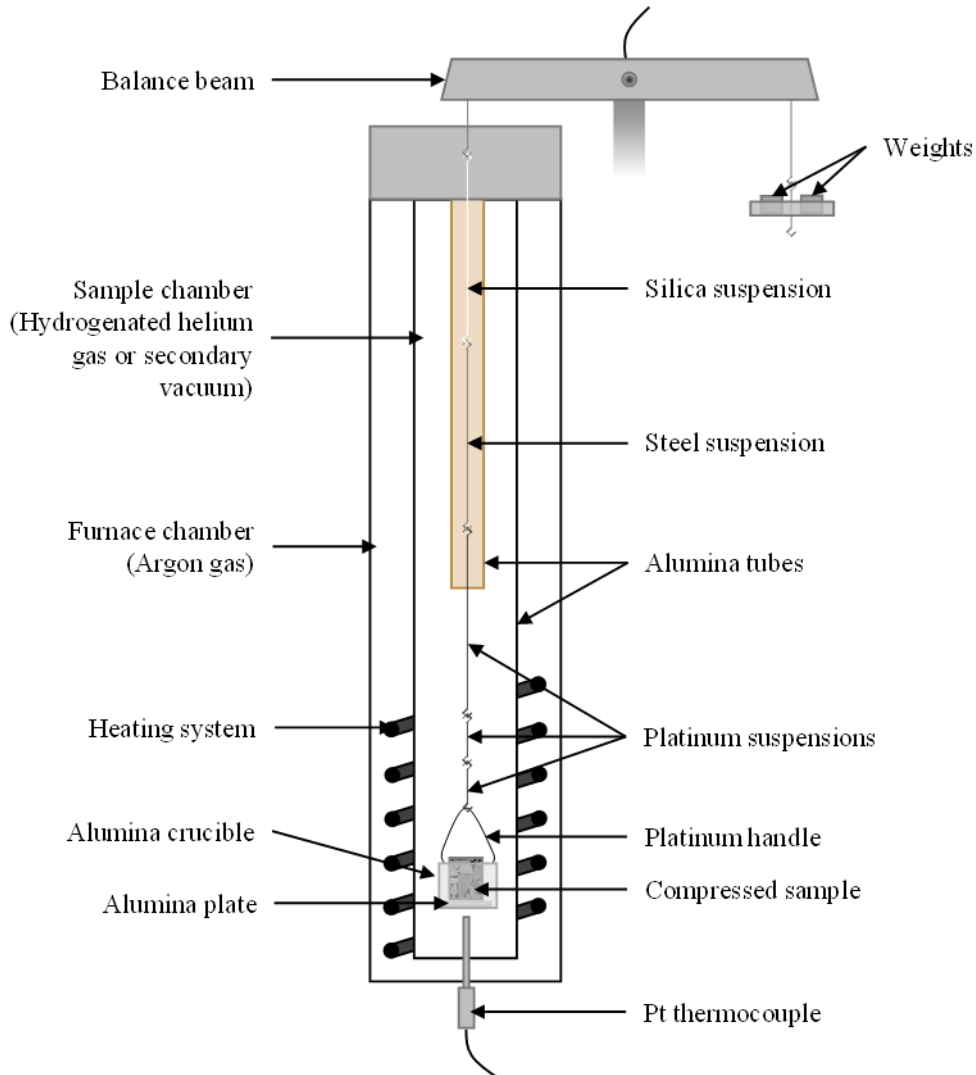
The mass loss  $\gamma$  of sample is calculated from the mass variation according to formula (II-7).

$$\gamma = 100 \times \left( \frac{\Delta m(t)}{m_0} \right) \quad (\text{II-7})$$



where  $m_o$  is the initial sample's mass and  $\Delta m(t)$  is the mass difference measured at time  $t$ .

The thermogravimetric analysis was performed on a Setaram Setsys 16/18 device with the software Setsoft 2000 for the acquisition.



**Figure II-17 Thermogravimetric analysis device**

### II.C.1.b Dilatometry

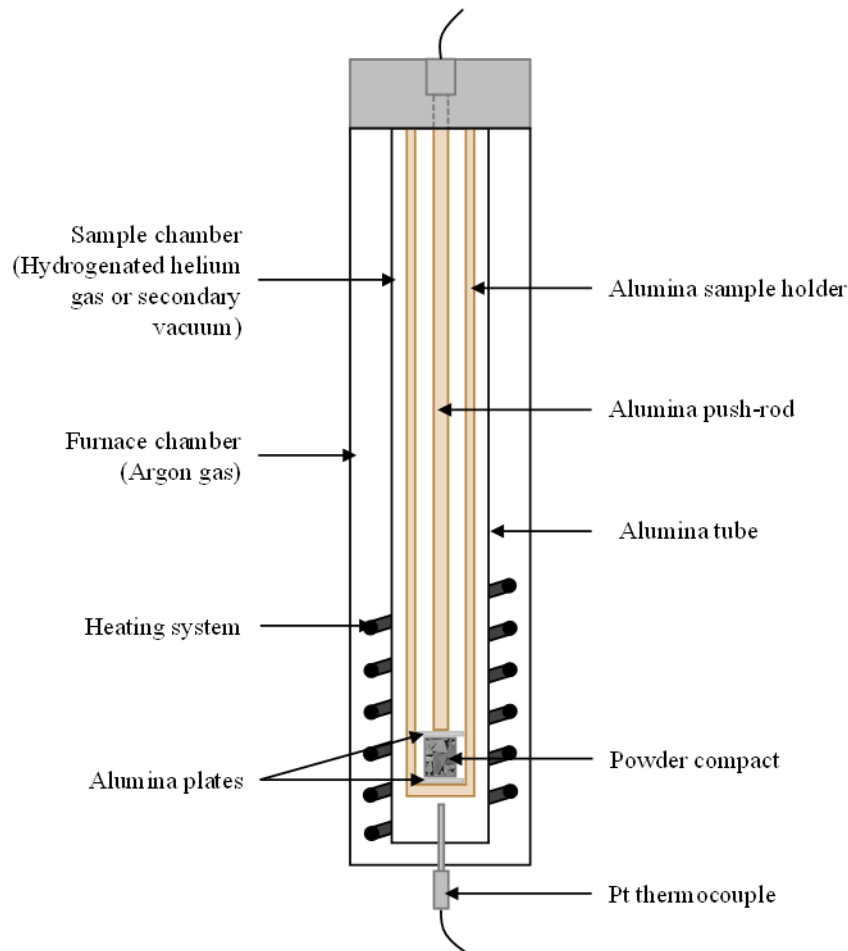
A dilatometer enables to follow the variation of height along a vertical axis  $z$  during a thermal cycle. The sample, that has been pressed beforehand at a 55% relative density, is placed on a sample holder between two alumina plates. An alumina push-rod, which is mechanically linked to a displacement sensor, is then lowered down until it barely touches the upper alumina plate. To hold the push-rod against the upper alumina plate, and to avoid any shift of the sample, a 2g charge is applied. The system is then lowered into the sample chamber. The chamber is placed in vacuum or controlled gas flow and heated by a furnace which enables thermal cycle from ambient temperature up to 1600°C. Figure II-18 illustrates the dilatometry device used in this study.

The uniaxial shrinkage  $\varepsilon$  of sample is calculated from the height variation according to formula (II-8).

$$\varepsilon = 100 \times \left( \frac{z(t)}{h_0} \right) \quad (\text{II-8})$$

where  $h_0$  is the initial height of the sample and  $z(t)$  is the displacement of the displacement sensor at time  $t$ .

A Setaram Setsys Evolution 16/18 device was used in this study with a Calisto Data Acquisition software for acquisition.



**Figure II-18 Dilatometry device**

### II.C.2 Carbon content measurements

In order to measure the carbon content in the samples, a destructive technique was used: Combustion Infrared Detection Technique. In the analyzer, the sample is burnt in the presence of an excess of oxygen which results in products that include carbon dioxide and water vapor. The obtained carbon dioxide is then analyzed by infrared detection.

These measurements were made with a LECO device. Samples are crushed and the resulting particles sieved and separated in three size categories: fine, medium and coarse. 0.2 g of the medium particles are

placed in an alumina crucible with a small quantity of combustion catalyst, usually tungsten. The crucible is then put inside the LECO device to do the measurement.

### II.C.3 Microstructural study

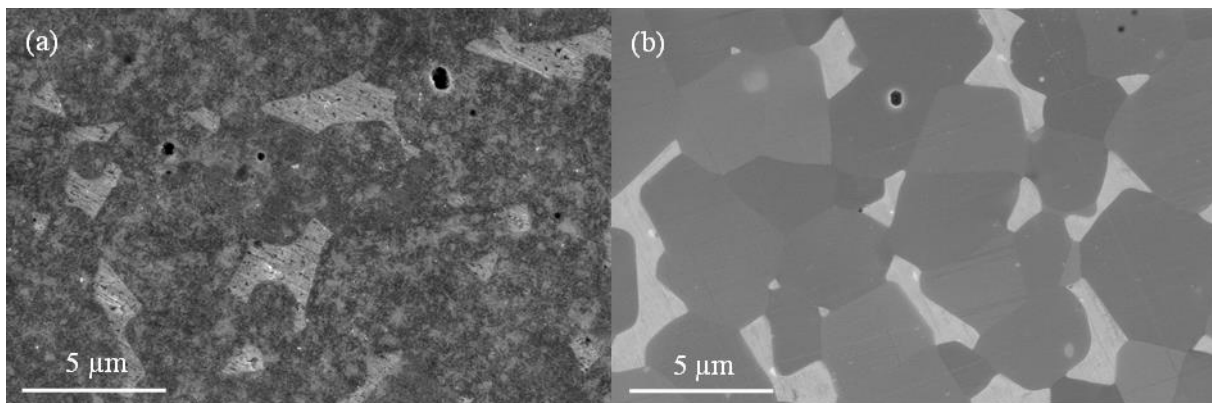
The study of the microstructure is essential as it will enable to identify the different existing phases and their lattice parameters, and to study the carbide grains' shape, size, distribution and orientation. In this work, the following techniques were used: SEM (Scanning Electron Microscopy), TEM (Transmission Electron Microscopy), EDS (Energy-Dispersive X-ray Spectroscopy), XRD (X-Ray Diffraction) and EBSD (Electron BackScatter Diffraction).

#### II.C.3.a Sample preparation

Prior to any characterization, cylindrical samples are cut in two parts using a diamond wire saw. Then the surface of each half of the sample is polished mechanically according to the steps presented in Table II-8. The alumina finish is essential as diamond polishing is very damaging for the nickel phase, so much that nickel is spread all over the carbides as presented in Figure II-19.

**Table II-8 Polishing method**

Step	Suspension	Polishing pad/cloth	Time	Comments
1	X	20 $\mu$ m diamond pad	-	Until flat
2	9 $\mu$ m diamond	TOP	20-40 min	Until big scratches disappear
3	3 $\mu$ m diamond	RAM	5-10 min	
4	1 $\mu$ m diamond	NT	5 min	
5	0.25 $\mu$ m diamond	NT	10 min	
6	50% alumina 0-0.3 $\mu$ m	NT	Less than 30 s	Rinse with water still on the polishing cloth for at least 10min



**Figure II-19 0%Mo<sub>2</sub>C sample polished (a) without alumina finishing step, (b) with alumina finishing step.**

In the case of porous samples, the ones resulting from interrupted experiments, the sample is embedded in an epoxy resin in order to fill pores, then polished with the method described above.

To observe grain shapes, a chemical attack is applied to remove the nickel-rich binder: Samples are immersed in a mixture of hydrochloric acid and water heated at 100°C for several hours.

### II.C.3.b XRD

X-ray diffraction is based on the interaction of a monochromatic X-ray beam with atoms of a crystalline solid material. The crystallographic planes in which the atoms are distributed may diffract when exposed to X-ray, depending of their orientation. The measure consists in simultaneously rotating the sample around an axis with a  $\theta$  angle and the X-ray detector around the same axis with a  $2\theta$  angle. For each orientation, the diffraction intensity of crystals with crystallographic planes in Bragg orientation with respect to the incident beam is measured. The obtained measurement depending of the  $\theta$  angle is called X-ray powder diffractogram by the Bragg-Brentano method.

For this study, a PANalytical X'Pert Pro MPD device has been used.

### II.C.3.c SEM & EDS

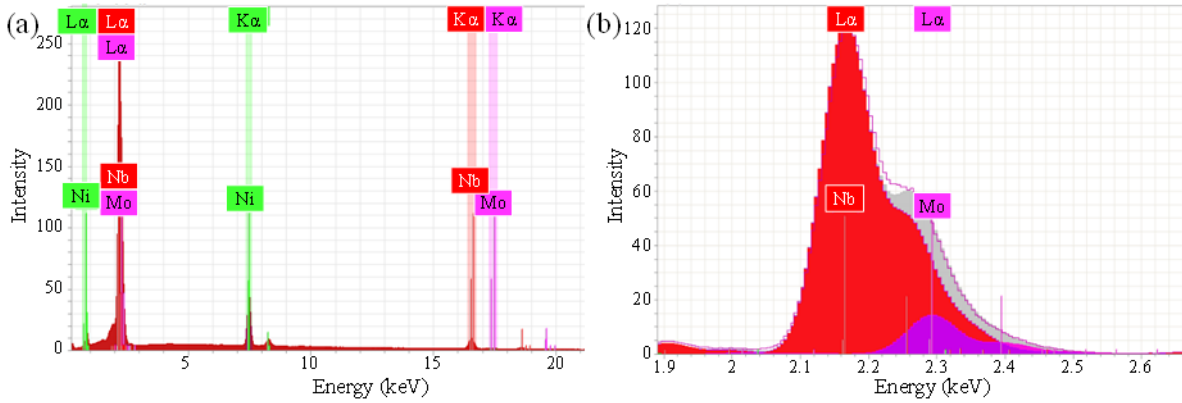
In the SEM (Scanning Electron Microscopy) technique, a focused beam of high-energy electrons is scanned point by point over the sample surface. Several signals are reemitted, from which an image of the sample is reconstructed. Two signals are detected: Secondary and backscattered electrons.

Secondary electrons are ejected from the outer layers of the sample atoms. They have a low energy and, as a result, have a low mean free path and an emission depth of only a few nm. This signal therefore allows to make a topographic image, as it only shows the very surface of the sample.

Backscattered electrons are electrons of the incident beam that emerge from the sample after multiple scattering events. Their mean free path is large, with an emission depth of about 100 nm to 1  $\mu\text{m}$ . The backscattered emission efficiency increases with the atomic number of the sample. A heavy element will therefore give an intense (bright) signal, a light element a weak (dark) signal. This signal therefore allows to make images with a composition contrast.

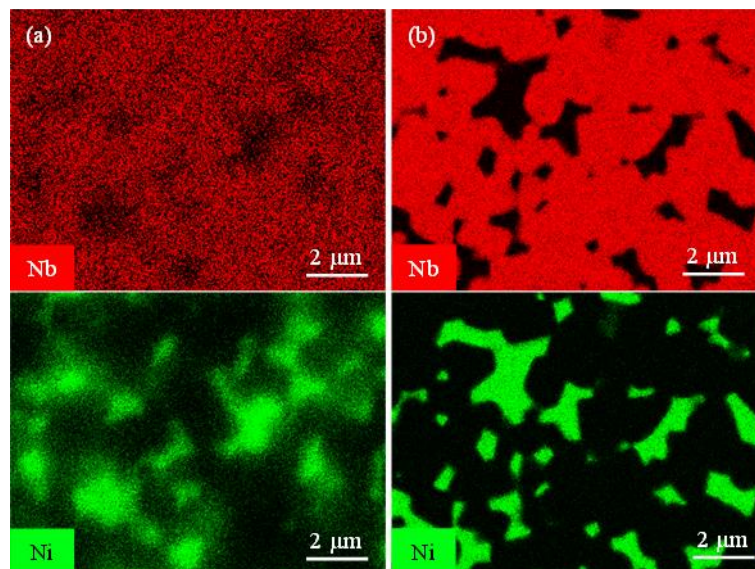
A FEG-Zeiss Ultra 55 SEM with an in-lens detector for secondary electrons and an EDS detector has been used. Most SEM images are realized at 20kV voltage with a 60  $\mu\text{m}$  aperture. EDS maps are realized at 8kV with a 90  $\mu\text{m}$  aperture in order to limit the width of the electron interaction zone.

For EDS measurements, it is important to note that  $L\alpha$  lines of niobium and molybdenum are very close in energy (2.169 and 2.292 keV), which leads to an overlap as presented in Figure II-20 (a). To overcome this problem, one method is to do a line decorrelation, as presented in Figure II-20 (b). Unfortunately, this method does not give accurate quantitative results as the decorrelation never completely fits the initial spectrum. It will only be used to localize each chemical element.



**Figure II-20 (a) EDS spectrum of a 3%Mo<sub>2</sub>C sample sintered at 1450°C, (b) Example of deconvolution of the niobium and molybdenum Lα lines.**

Another method to avoid the overlapping lines of Mo and Nb is to work at high voltage (30 kV) and to use Kα lines (Nb: 16.615 keV, Mo: 17.480 keV, see Figure II-20 (a)) instead of Lα lines. However, this method leads to a very wide electron interaction zone, which is inadequate to localize phases on a map as presented in Figure II-21, and it will therefore not be used in SEM. This method will nevertheless be used in TEM where the electron beam is more localized in order to make quantitative composition estimations.



**Figure II-21 EDS mapping of niobium in a 9%Mo<sub>2</sub>C sample sintered at 1450°C, (a) 30kV map (Kα line) and (b) 8kV map (Lα line)**

### II.C.3.d TEM & EDS

The transmission electron microscope provides a projection image of the thin slice area of material which is irradiated by an electron beam. Image contrasts are based on inelastic and elastic scattering, the latter being responsible for the diffraction in a crystallized material. Transmission electron microscopy (TEM) allows to visualize the information either in real space (image with contrasts representative of the localized crystalline structure) or in reciprocal space (spots constituting the diffraction pattern). It is therefore a good technique for high resolution imaging and local identification of crystalline phases. It can be combined with EDS (see below) to obtain composition map.

In this study, TEM samples were first ground to a thickness of 30 $\mu\text{m}$  and then Ar-ion-milled to electron transparency. Two microscopes were used: Jeol 3010 running at 300 kV & Jeol FEG 2100F running at 200kV. EDS mapping was performed at 200 kV using the  $K\alpha$  lines for niobium and molybdenum.

### II.C.3.e EBSD

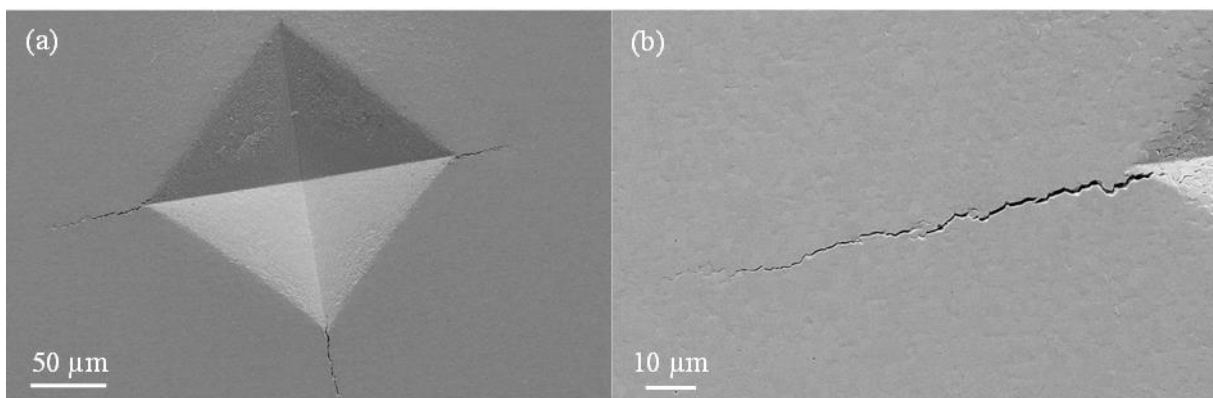
The EBSD (Electron BackScatter Diffraction) technique allows to determine the crystallographic orientations of grains of carbides or binder. The diffraction of elastically backscattered electrons is used to obtain, on a cathodoluminescent screen, a diffraction pattern consisting of pseudo-Kikuchi bands, characteristic of the crystallographic orientation at the corresponding point. A grain orientation map can be obtained by scanning the electron beam on the surface of the sample.

A FEG-Zeiss Gemini SEM with an EBSD detector was used in this study.

### II.C.4 Mechanical Study

In order to study the mechanical properties, Vickers macro-indentations have been done. The hardness is then calculated from the shape of the indent, and the toughness is deducted from the length of the cracks formed at the extremities of the indent (Figure II-22). The detailed method to measure the toughness from the cracks is presented in Appendix 2.

The macro-hardness Vickers indents were made at 30 kgf (294 N) with an EmcoTest DuraScan 50G5 indenting device. The mechanical testing was performed on sample sintered with the industrial cycle.



**Figure II-22 SEM images of Vickers macro-indentation made at 30kgf on a 9%Mo<sub>2</sub>C sample sintered with the industrial cycle.**

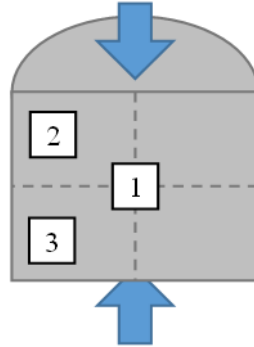
## II.D EBSD Image analysis

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In order to determine the grain size of the samples, an EBSD study is performed. This technique was chosen as grain boundaries are not easily identifiable on secondary electron SEM images, and because the contrast of niobium carbides varies greatly on backscattered electron SEM images, so much that we cannot separate them from the nickel phase by filtering the grey levels. EBSD detects the orientation of each grain, leading to a color map where each grain is clearly visible.

## II.D.1 Data acquisition

For each sample, three maps are made; one at the center of the sample, and two in different corners (see Figure II-23). The size of the map and resolution are chosen depending on the sample and its grain size, with the objective of having more than 1000 grains per sample. Table II-9 presents all the maps made with the chosen map size and resolution. An example of a raw map is presented in Figure II-24.



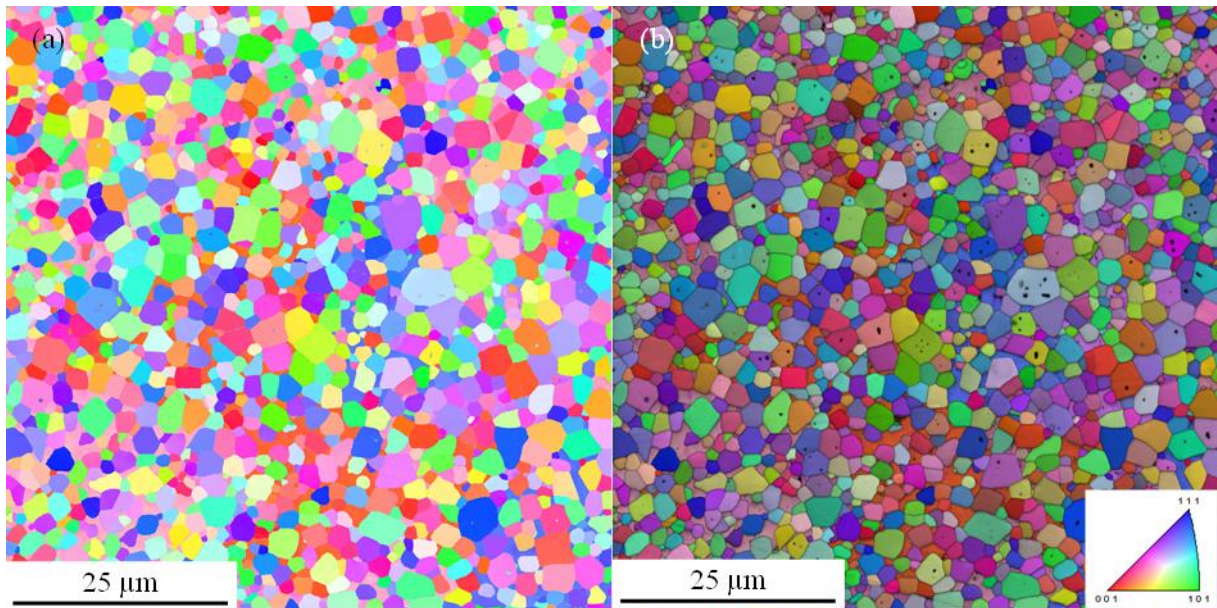
**Figure II-23 EBSD localization of the three maps. Blue arrows indicate the compression direction.**

**Table II-9 Map size and resolution of EBSD maps.**

Sample	Temperature	Time at T	Map size	Resolution	Total number of grains
0%Mo <sub>2</sub> C	1450°C	0h	175x175 μm	0.1 μm/px	3291
3%Mo <sub>2</sub> C	1450°C	0h	70x70 μm	0.05 μm/px	3027
6%Mo <sub>2</sub> C	1450°C	0h	70x70 μm	0.05 μm/px	5295
9%Mo <sub>2</sub> C	1450°C	0h	45x45 μm	0.03 μm/px	3312
3at%Mo	1450°C	0h	45x45 μm	0.03 μm/px	2954
3at%C	1450°C	0h	125x125 μm	0.08μm/px	3065
0%Mo <sub>2</sub> C	1360°C	0h	175x175 μm	0.1 μm/px	6490
0%Mo <sub>2</sub> C	1360°C	4h	175x175 μm	0.1 μm/px	3297
0%WC	1360°C	0h	125x125 μm	0.08μm/px	3126
0%WC	1360°C	1h	175x175 μm	0.1μm/px	1697
0%WC	1360°C	2h	245x245 μm	0.15μm/px	2208
0%WC	1360°C	4h	245x245 μm	0.2μm/px	1497

*Note: To avoid distortion at low magnification, 5 maps at high magnification instead of 3 were measured for the 0%WC sample sintered 4h at 1360°C.*





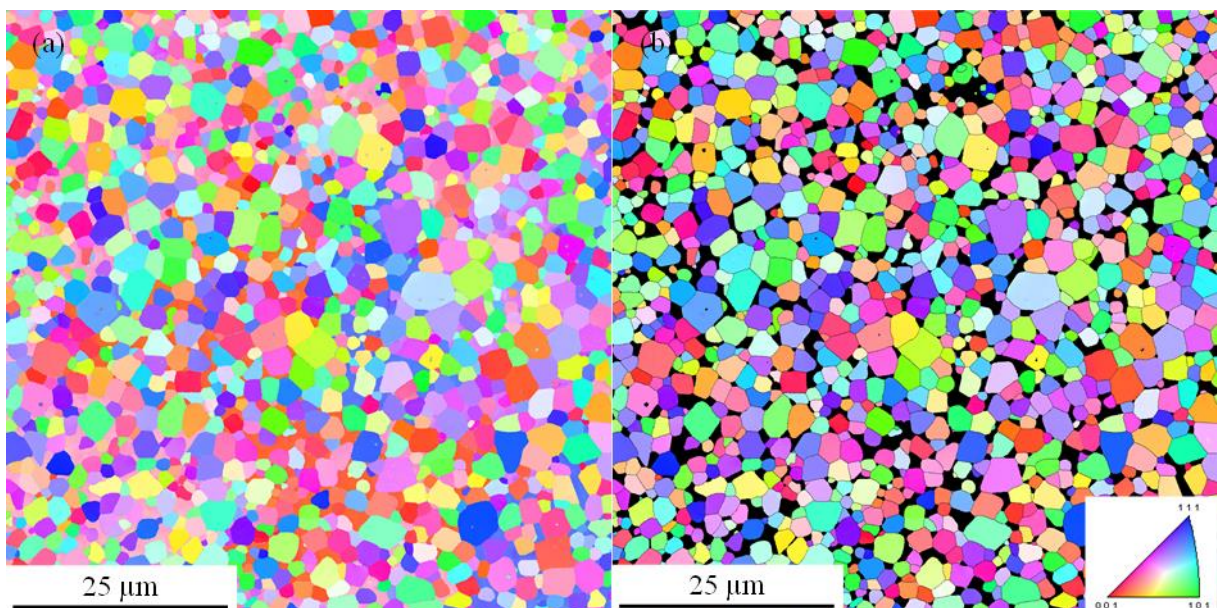
**Figure II-24 EBSD map of a 3%Mo<sub>2</sub>C composition heat treated in TGA with the 1450°C cycle, (a) Orientation map, (b) Orientation and quality map.**

#### II.D.2 Data cleaning and pre-processing

After acquisition, each map is cleaned by image analysis and the nickel-rich binder is manually selected and removed. Points with a low-quality index (below 0.3) are also removed. Finally, grain boundaries are drawn with the following conditions:

- Each grain must be bigger than 10 pixels.
- The misorientation between two neighboring grains must be more than 2°.

All of these steps are performed with the software OIM analysis 8. Figure II-25 presents the result of this step. This data cleaning and pre-processing step is detailed in Appendix 4.

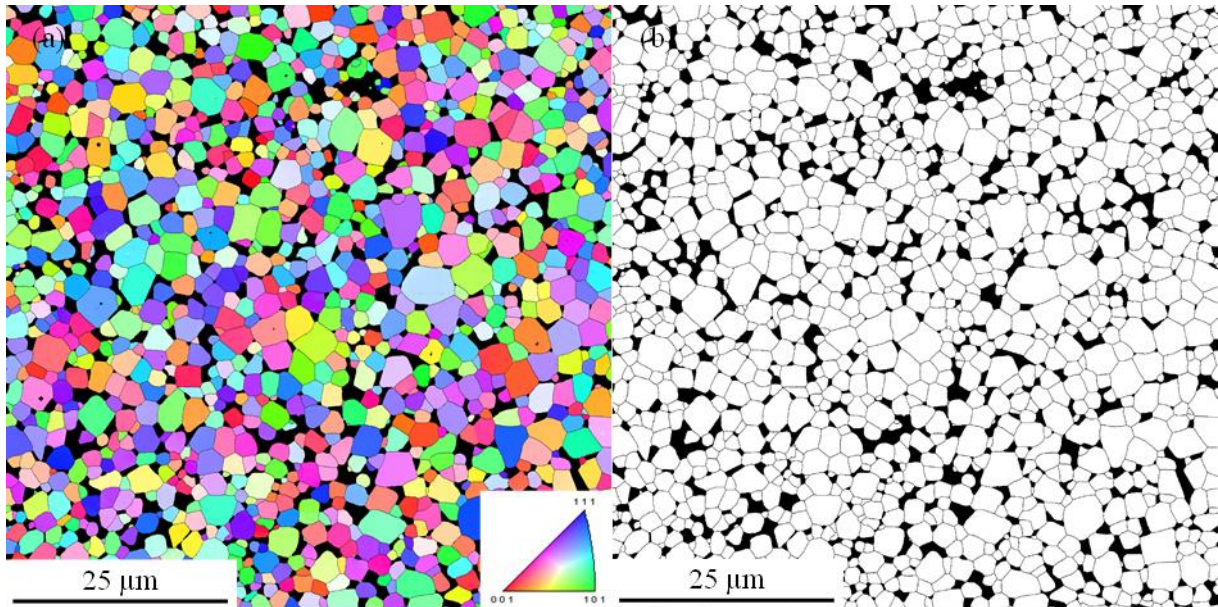


**Figure II-25 Cleaned and pre-processed EBSD map of a 3%Mo<sub>2</sub>C composition heat treated in TGA with the 1450°C cycle, (a) Raw orientation map, (b) Cleaned and pre-processed map.**



## II.D.3 Processing and Grain size distribution

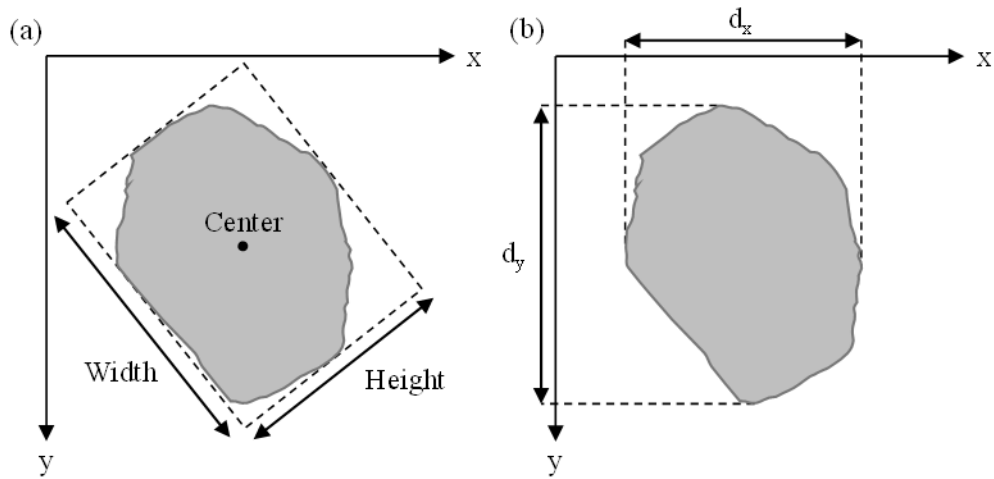
To determine the size of each grain, another image analysis is performed with the Aphelion software. This analysis aims to binarize the map, to remove intragranular porosities and to make the grain boundaries continuous, as visible on the example of Figure II-26. The process is detailed in Appendix 4.



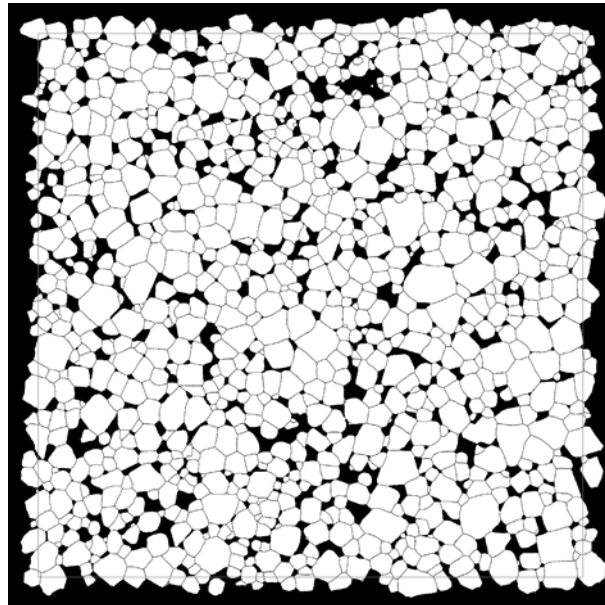
**Figure II-26 Processed EBSD map of a 3%Mo<sub>2</sub>C composition heat treated in TGA with the 1450°C cycle, (a) Cleaned and pre-processed map, (b) Processed map.**

It is then necessary to decide which grains will be taken into account in the grain size calculation. As the grain at the limit of the map are incomplete, it is necessary to elect an area of analysis that does not include the entire map. To do so, a square is drawn at 70 pixels from the image borders. Then the coordinates  $(x_c, y_c)$  of the center of each grain are calculated as the center of the minimum bounding rectangle (MBR), which is the smallest rectangle whose area wholly contains the grain as presented in Figure II-27. Only grain centers that are inside the square will be analyzed, as presented in Figure II-28. This procedure avoids underestimation of large grains in the distribution which would result from a simple elimination of grains hitting the edge of the image field.

*Note: In most cases, 70 pixels are enough to ensure the suppression of grains touching the border of the images. However, for the sample NbC-12vol%Ni sintered 4h at 1360°C in vacuum which features abnormally big grains, it has been necessary to increase this value up to 250 pixels.*



**Figure II-27 (a) Minimum bounding rectangle of a grain, (b) Projection of the grain diameter on axis x and y.**



**Figure II-28 Map of the grains whose centers are inside a square drawn 70 pixels away from the map borders.**

On this final map, all the grains are isolated from one another. The following parameters are then calculated for each grain with a code presented in Appendix 4: Area  $A$  in pixels, horizontal  $d_x$  and vertical  $d_y$  diameter in  $\mu\text{m}$  (Figure II-27 (b)), rectangular index  $I_r$ , elongation index  $I_e$  and the coordinates  $(x_c, y_c)$  of the center of the grain.

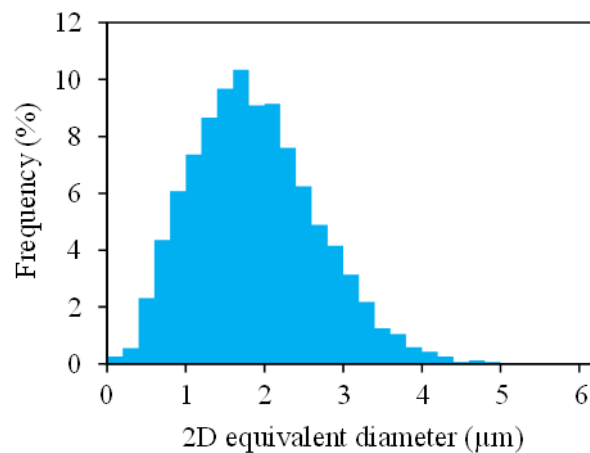
The area  $A$  is calculated by counting the number of pixels in each grain. The horizontal and vertical diameter ( $d_x$  and  $d_y$ ) are calculated by projecting the length of the grain on the axis  $x$  and  $y$  as presented in Figure II-27 (b).

The rectangular index  $I_r$  is the ratio between the height (smallest side) and width (largest side) of the MBR (see Figure II-27 (a)). The elongation index  $I_e$  is the absolute value of the difference between the major inertial axis, divided by the sum of inertias. This measure is zero for a circle and approaches 1 for a long and narrow ellipse. These two last parameters give an indication on the grain elongation.

The equivalent diameter  $d_{eq}$  of each grain is calculated from the area  $A$  according to eq. (II-9).

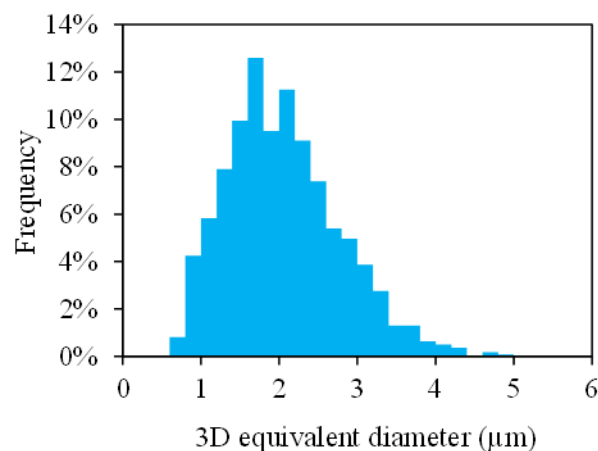
$$d_{eq} = \sqrt{\frac{4A}{\pi}} \quad (\text{II-9})$$

Figure II-29 presents an example of equivalent diameter distribution determined from the EBSD map presented in Figure II-25. For each distribution, key parameters are determined in order to allow a comparison between each sample: The average grain 2D diameter, D10, which is the diameter for which 10% of all considered grains have an inferior diameter, and D90, which is the diameter for which 90% of all considered grains have an inferior diameter.



**Figure II-29 Equivalent relative frequency of equivalent 2D diameter ( $\mu\text{m}$ ) from EBSD measurements on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample.**

A reconstruction by Saltykov method [71] and with a regression method was performed to obtain 3D distributions, as the example presented in Figure II-30. The method is detailed in Appendix 5. Those distributions will be presented only in chapter VI, as the 2D distributions are sufficient in a first approach.



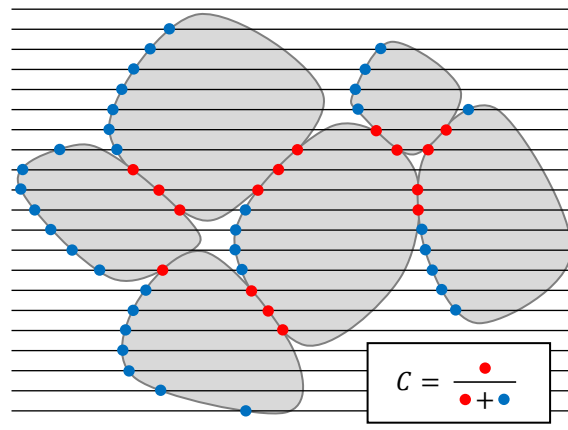
**Figure II-30 Calculated relative frequency of equivalent 3D diameter ( $\mu\text{m}$ ) from EBSD measurements on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample.**

## II.D.4 Contiguity

The software program to measure contiguity was developed by Pellan [72]. It is based on counting the fraction of entry points in the NbC rich phase along horizontal lines which are at grain boundaries, as presented in Figure II-31. Entry points are arbitrarily considered from the left to the right but this has no incidence on the result. From stereology, the fraction of entry points at grain boundaries is an estimate of the fraction of surface area of the carbide phase which is at the grain boundary, i.e. the contiguity. Contiguity is then calculated with formula (II-10). Basically, in the software, the separators between grains should be lines of thickness 1 pixel. Due to the imperfections of our images, the separators were reconstructed by skeletonization of the boundaries separating grains at a distance lower than 6 pixels.

$$C = \frac{N_{CC}}{N_{CC} + N_{BC}} \quad (\text{II-10})$$

where  $N_{BC}$  are the binder-carbide entry points and  $N_{CC}$  are the carbide-carbide entry points.



**Figure II-31 Contiguity measurement by counting entry points in carbides from the binder (blue) or from one carbide to another (red).**

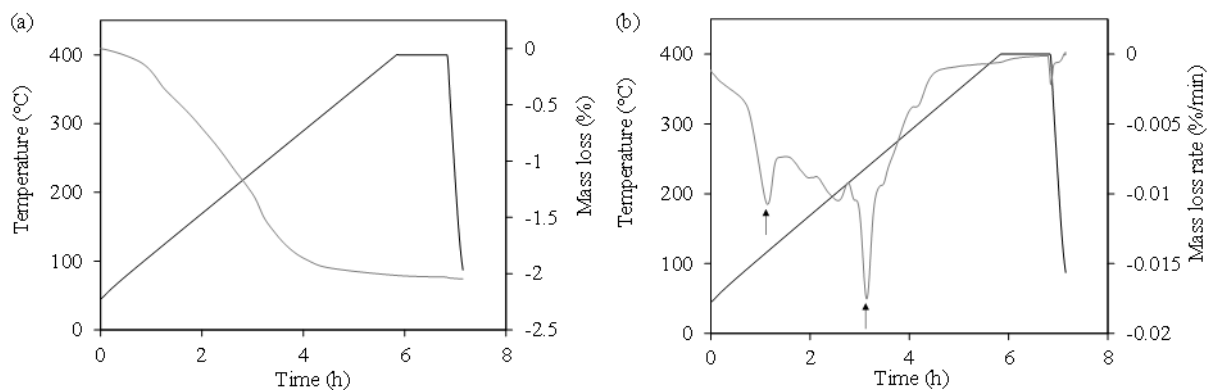
# III. Sintering & microstructure evolution of NbC-0.5vol% WC-12vol% Ni

This part aims to investigate the sintering behavior of the reference composition of this study NbC-0.5vol% WC-12vol% Ni. First, the transformations occurring during debinding and sintering will be investigated. Then, the sintering in itself will be analyzed by studying the shrinkage behavior. The microstructure resulting from sintering will also be described. The mechanical behavior of this composition will not be presented in this part, as it is presented in comparison with other compositions in the following chapters.

## III.A. Physical and chemical transformations during heating

### III.A.1 Mass loss during debinding and sintering

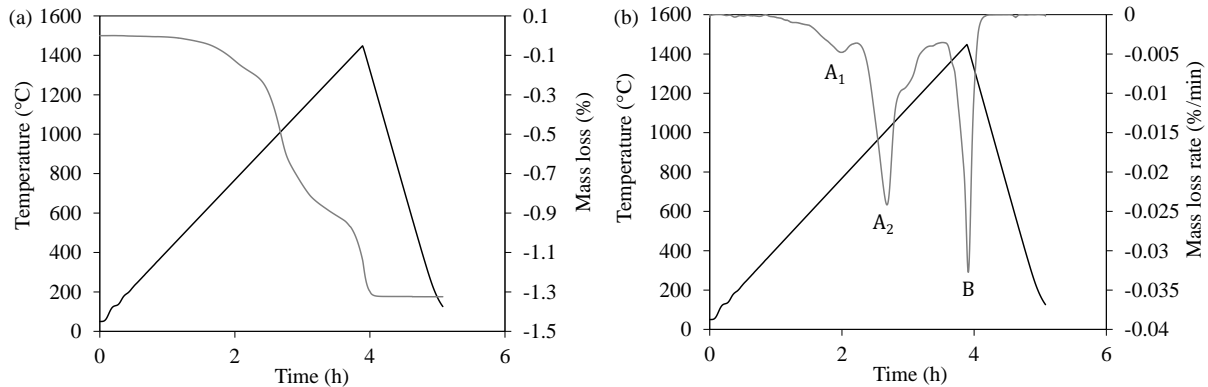
All compositions, including the reference, are first undergoing a debinding thermal cycle at 400°C in a hydrogenated helium He-4% H<sub>2</sub> atmosphere in order to eliminate the pressing agents. Figure III-1 presents the mass loss and mass loss rate measured during the debinding of NbC-0.5vol% WC-12vol% Ni. The debinding occurs through a succession of steps with a first mass loss around 100°C which probably corresponds to the decomposition of hydroxides in the material, and a final mass loss above 200°C corresponding to the reduction of the main organic component of the binder. The total mass loss is around 2wt% and there is no further mass loss above 330°C, indicating that all the organic binder has been removed. Similar observations can be made for every other composition. Therefore, the mass loss during debinding will not be further investigated.



**Figure III-1 Debinding at 400°C in hydrogenated helium of NbC-0.5vol% WC-12vol% Ni, (a) Mass loss (%), (b) Mass loss rate (%/min).**

The material then undergoes a sintering at 1450°C in vacuum. As visible on the mass loss and mass loss rate curves presented in Figure III-2, different mass loss phenomena happen during this thermal treatment. A first mass loss is observed between 500 and 800°C (A<sub>1</sub>) and a second one between 850 and 1100°C (A<sub>2</sub>), probably corresponding to the reduction of W oxides and Nb oxides (see § II.B.1). This

represents a mass loss of approximately 0.9wt%. Then, above 1300°C a large mass loss (B) is observed, corresponding to the evaporation of part of the nickel binder. It represents a mass loss of 0.4wt%.



**Figure III-2 Sintering at 1450°C in vacuum of NbC-0.5vol%WC-12vol%Ni, (a) Mass loss (%), (b) Mass loss rate (%/min).**

This nickel evaporation was identified by measuring the binder volume fraction by image analysis on SEM images. The measurement was performed for the sample sintered with the 1450°C cycle (heated at 6°C/min up to 1450°C, then cooled at 20°C/min) and for the sample of the same composition sintered with the 1450°C long cycle (heated at 3°C/min up to 1450°C, maintained 1h at 1450°C, then cooled at 20°C/min). The sample which underwent the long cycle had an overall mass loss of 3.1wt%, 0.9wt% due to oxide reduction and 2.2wt% due to nickel evaporation. The average binder volume was 12.2 vol% against 14.6 vol% in the other sample, confirming the loss of part of the binder. These results are summarized in Table III-1. The binder volume measured is higher than the one expected from the composition of 12vol% due to imprecision in the image analysis technique and to the dissolution of a part of NbC and WC in the nickel.

**Table III-1 Mass loss and binder volume fraction measured for NbC-0.5vol%WC-12vol%Ni samples sintered at 1450°C in vacuum with different heating rates and dwelling time.**

Heating rate (°C/min)	Dwelling time at 1450°C (h)	Mass loss (wt%)		Binder volume fraction (vol%)
		Oxide reduction	Nickel evaporation	
6	0	0.9	0.4	14.6
3	1	0.9	2.2	12.2

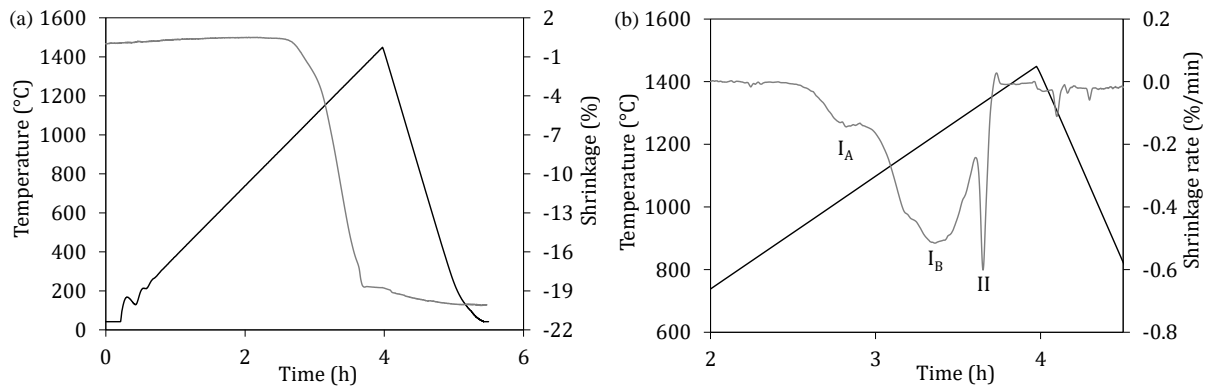
### III.A.2 Carbon loss

The oxides in a vacuum environment are reduced by the carbon contained in the material to form CO or CO<sub>2</sub>, as previously presented in part II. Therefore, the carbon content is reduced during sintering. The theoretical initial carbon content of this composition is 9.86 wt%, and the measured content after sintering is 8.96wt%. Therefore, there was a carbon loss of approximately 0.9wt%.

However, it was previously measured by TGA that the oxide reduction represents a global mass loss of 0.9wt%. If all the oxides were reduced by production of CO, the measured carbon mass loss should be 0.4wt%, which is significantly lower than the measured 0.9wt%. Therefore, the carbon loss cannot be fully explained by the oxide reduction. This inconsistency between the different estimates of the carbon mass loss will be further detailed in part IV which is dedicated to the study of the carbon content.

### III.B Sintering

The shrinkage  $\Delta h/h_0$  during the sintering process was followed by dilatometry. The shrinkage and shrinkage rate curves are presented in Figure III-3. The height and the diameter, which were measured geometrically before and after sintering, are reduced by 20 %. The sintered sample is globally dense, with a density of  $7.848 \text{ g/cm}^3$  measured by Archimede's method, which corresponds to a density of 99.0% if compared to the theoretical density presented in part II ( $7.93 \text{ g/cm}^3$ ). Solid state sintering starts around  $950^\circ\text{C}$  and is characterized by two broad peaks ( $I_A$  and  $I_B$ ) on the shrinkage rate curves. Spreading of the binder induces a rearrangement of the carbide particles and the densification of the compact as detailed in part I.B. The narrow peak observed at higher temperature on the shrinkage rate curve (II) is associated to liquid phase sintering, the metallic binder forming a eutectic liquid with the carbides around  $1315^\circ\text{C}$ . A last peak is also visible during cooling below  $1350^\circ\text{C}$ , which corresponds to the solidification of the liquid phase.

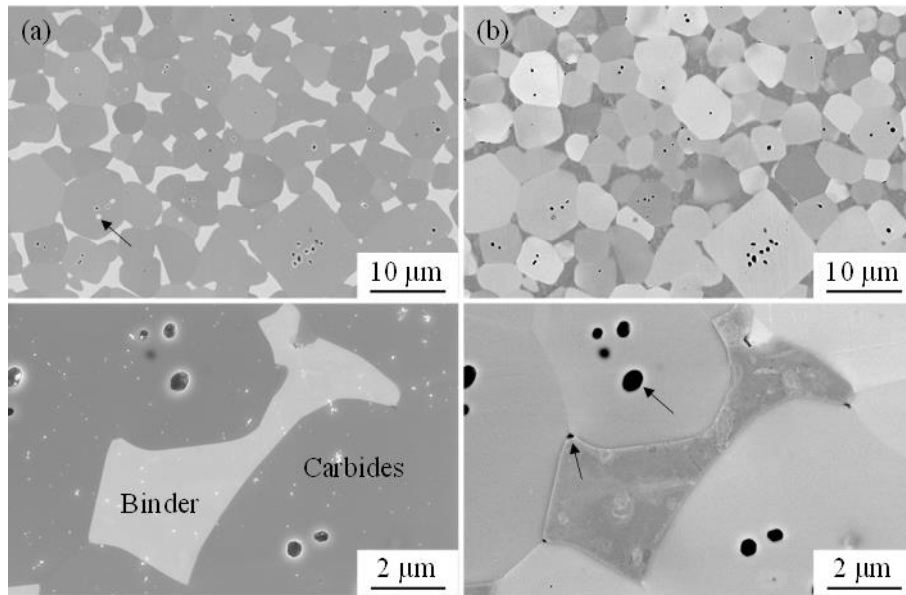


**Figure III-3 Sintering at  $1450^\circ\text{C}$  in vacuum of NbC-0.5vol%WC-12vol%Ni, (a) Shrinkage (%), (b) Shrinkage rate (%/min).**

### III.C Microstructure characteristics

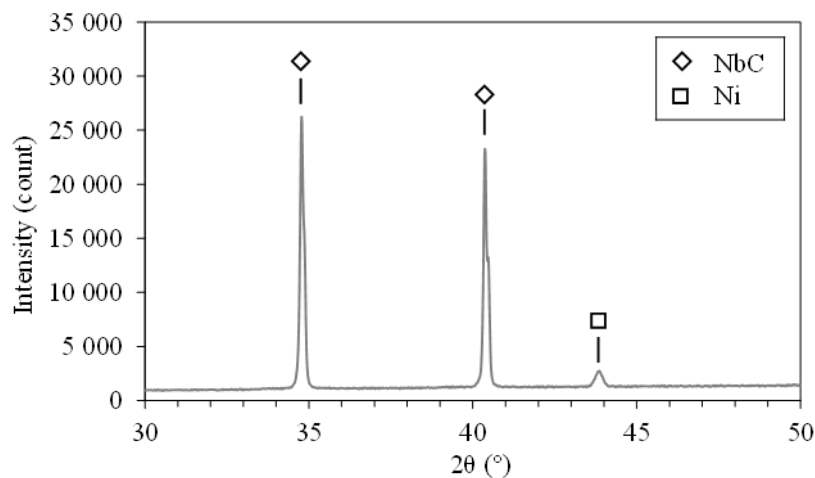
For NbC-12vol%Ni-0.5vol%WC, two phases are visible as presented in Figure III-4 (a). A NbC-rich carbide phase (dark) and a nickel-rich binder (bright). The carbide grain shape is cuboidal with rounded edges. Smaller grains are more spheroidal and bigger grains are more cuboidal. At the center of grains, small holes and binder inclusions are visible, probably entrapped during sintering. The thin white particles visible on secondary electron images are alumina particles remaining from the polishing.

The corresponding backscattered electron image is presented in Figure III-4 (b). A contrast is visible from one carbide grain to another, induced by the crystallographic orientation of each grain. Small porosities are visible at carbide-binder interfaces, most often at triple lines between grain boundaries and the binder.



**Figure III-4 SEM images of a NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum, (a) Secondary electron images, (b) Backscattered electron images**

The XRD pattern for NbC-0.5vol%WC-12vol%Ni is presented in Figure III-5. As observed in SEM, two phases are detected. A face centered cubic (fcc) NbC rich phase with a lattice parameter of 4.4657 Å and a face centered cubic (fcc) nickel-rich phase with a lattice parameter of 3.5740 Å are identified, as presented in Table III-2.



**Figure III-5 XRD pattern of NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum.**

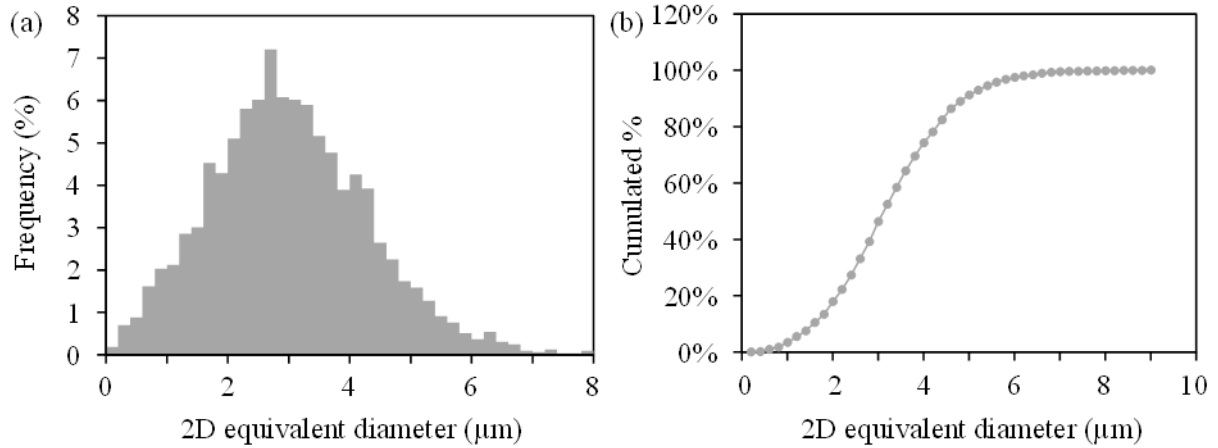
**Table III-2 Lattice parameters at room temperature**

Phase	Lattice parameter (Å)		
	Theoretical	Raw powder	NbC-0.5vol%WC-12vol%Ni
NbC	4.4709 [21]	4.4704	4.4657
Ni	3.499 [73]	-	3.5740

The NbC grain size distribution obtained by image analysis of EBSD images (see part II.D) of this sample is presented in Figure III-6 and the key resulting parameters are presented in Table III-3. An average grain size of 3.0 µm is obtained. It is rather small compared to the grain size of more than 10 µm obtained by Huang et al. for a NbC-12vol%Ni material sintered 1h at 1420°C in vacuum with similar



initial NbC particle size [31]. This difference could be due to the effect of the small 0.5vol%WC addition. This effect will be studied in part VI which is dedicated to the study of grain growth.

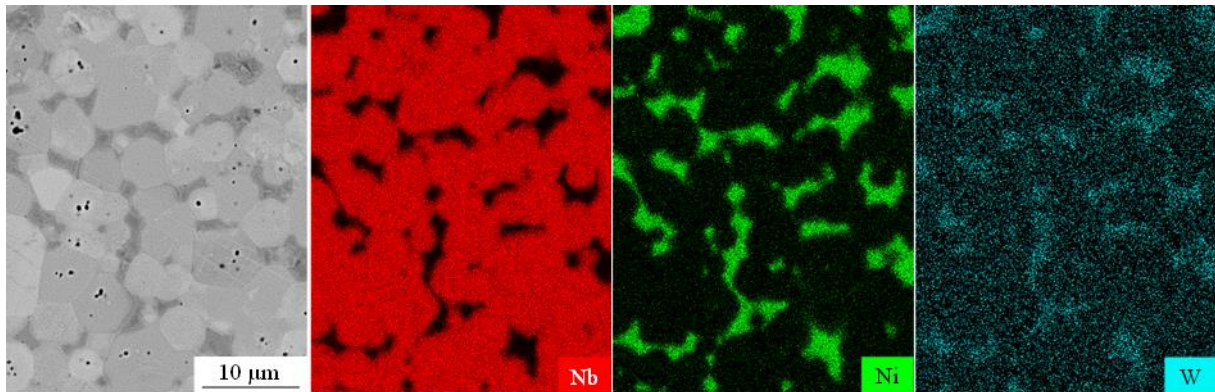


**Figure III-6** NbC grain size distribution of a NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum from EBSD measurements, (a) Relative frequencies as a function of the equivalent diameter ( $\mu\text{m}$ ), (b) Cumulated frequency as a function of the equivalent diameter.

**Table III-3** Key parameters resulting from the EBSD quantitative study.

Average diameter ( $\mu\text{m}$ )	Standard deviation ( $\mu\text{m}$ )	d10 ( $\mu\text{m}$ )	d90 ( $\mu\text{m}$ )
3.0	1.3	1.6	4.9

The EDS mapping of Figure III-7 shows the repartition of niobium, nickel and tungsten. As expected, niobium is mainly found in the carbides and nickel in the binder. Tungsten seems to be preferentially found in the binder rather than in the carbides. This can be explained by the good solubility of WC in Ni of 27wt% at 1400°C [29], see part I.A.3.

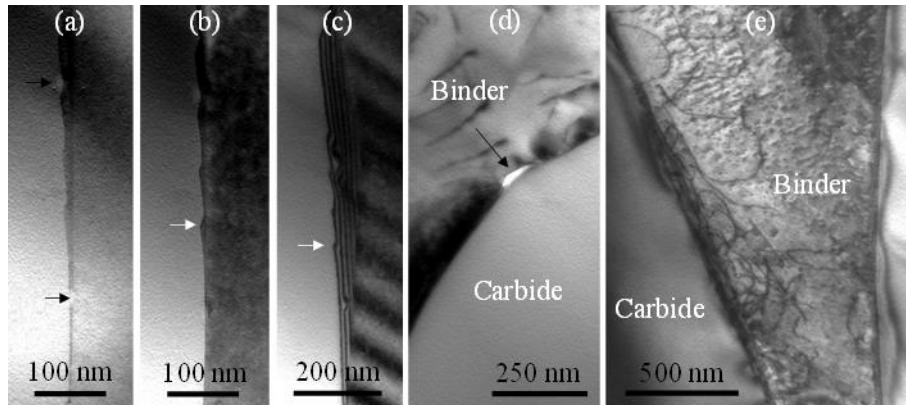


**Figure III-7** SEM image and corresponding 20 kV EDS maps of NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum.

At this scale and resolution there is no clear evidence of the dissolution of Nb in the binder or of Ni in the carbides. To identify this possible inter-dissolution, TEM-EDS was performed on (NbC-6%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni. The results of this analysis are presented in part V.

At a finer scale, other defects are identifiable in the microstructure, as presented in Figure III-8. At grain boundaries, small porosities and steps are observed (Figure III-8 (a)(b)(c)). Small porosities are also found at the binder-carbide interphase (Figure III-8 (d)), as previously observed on SEM images.

Finally, a high density of dislocations is observed inside the binder phase (Figure III-8 (e)), probably induced by the thermal stresses exerted during cooling.



**Figure III-8** TEM image of NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum, (a)(b)(c) Carbide-carbide grain boundaries with porosities and steps, (d) porosity found at a carbide-binder interface, (e) Binder phase with a high density of dislocations.

### III.D Discussion

This part aims to present the sintering behavior and microstructure of the reference material of this study NbC-0.5vol%WC-12vol%Ni sintered at 1450°C in vacuum.

The debinding step efficiently allows the elimination of the pressing agents as no mass loss is observed above 330°C during debinding under He-4% $H_2$  and below 400°C during sintering.

The solid state sintering starts around 950°C, as NbC/WC surface oxides starts to be reduced and spreading of nickel becomes favorable (see Figure III-2). This is a classical result for cemented carbides, since solid state spreading of the binder into the porosity requires clean carbide surfaces [59]. It slows down above 1200°C, before the beginning of liquid phase sintering. This indicates that the heating rate (6°C/min) was sufficiently slow to allow the solid state sintering to fully occur before the melting of the binder although full densification cannot be obtained in the solid state. Liquid phase sintering occurs above 1315°C. Shrinkage stops before cooling and the measured final density is 99.0%, indicating that the time spent at high temperature was sufficient to allow the densification. The estimated 1% residual porosity may be attributed to porosities that are found inside the carbide grains and at binder-carbide interfaces and to uncertainty in the theoretical density values (see part II.A.3).

On the NbC-12vol%Ni phase diagram presented in part II.1, a temperature of liquid formation of 1315°C corresponds to a carbon content of 9.0wt%C. As the initial carbon content of the NbC-0.5vol%WC-12vol%Ni powder mix was estimated to 9.86wt%, it would correspond to a carbon mass loss of 0.9wt%. The carbon content of a sample sintered at 1450°C under vacuum was measured by LECO and indicates a carbon content of 9.0wt% (mass loss of 0.9wt%), which is consistent with the value deduced from the liquid formation temperature.

The microstructure presents two phases, the cuboidal NbC carbide grains with rounded edges and the Ni-rich binder phase. Bigger grains have a more faceted shape, which could indicate a difficulty for

grain growth due to a 2D-nucleation step, as presented in part I.C.1.b. This aspect will be investigated in part VI which is dedicated to grain growth.

A good wetting of carbide grains by the nickel binder is observed. However, inclusions or holes are observed inside the NbC grains, which is usually the mark of a bad wetting of the carbides by the binder and can be associate to coalescence of carbide grains, as described by Warren [5] and detailed in part I.C.1.c of this work. In our case, the formation of these inclusions by coalescence could be due to the bad repartition of the nickel binder in the powder mix (see Figure II-2), which forms Ni-rich agglomerates of a few  $\mu\text{m}$ . The local lack of binder may lead to the coalescence of carbide grain clusters and thus to the entrapment of holes or binder inclusions. In the future, to avoid such defects, the mixing of the powder should be improved to allow a good repartition of the nickel binder.

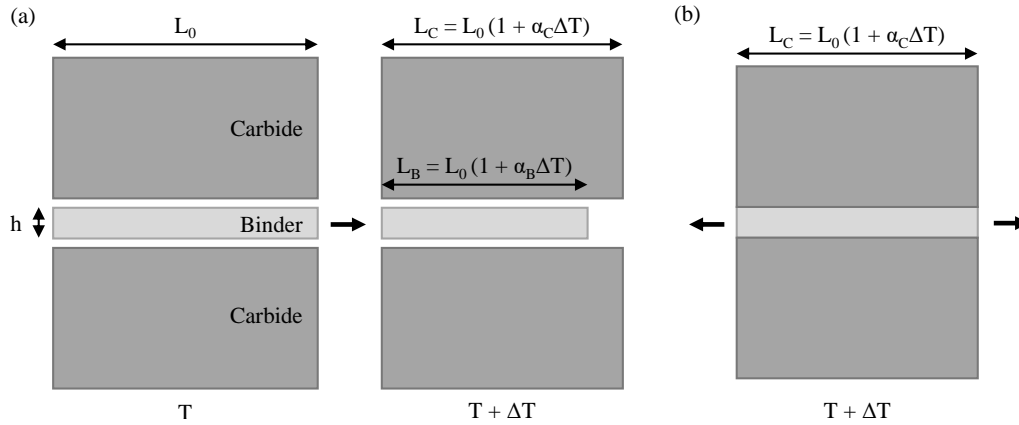
The composition of the carbide and binder phases evolves during sintering. WC carbides are dissolved into the microstructure during sintering and W is preferentially found in the binder phase after dissolution, although it is also detected in the NbC phase. This can be explained by the good solubility of WC in nickel (27wt%, see Table I-3). A small quantity of NbC also dissolves into the binder despite its lower solubility (7.0wt%, see Table I-3). As NbC and WC dissolve in the binder, the lattice parameter of the binder phase is affected. The atomic radius of tungsten (193 pm) is smaller than the atomic radius of niobium (198 pm) but bigger than the atomic radius of nickel (149 pm) [74]. Therefore, the lattice parameter of the nickel-rich phase increases as WC and NbC dissolve. This is consistent with the measured value of the nickel-rich phase lattice parameter, which is higher than the value for pure nickel (Table III-2).

The measured lattice parameter of NbC phase is lower than the lattice parameter of the NbC pure powder used to prepare the mix. This difference could partly be explained by the dissolution of tungsten in this phase. However, the WC content in our powder mixtures is rather low and the atomic radius of W is not so different from the atomic radius of NbC. Also, W preferentially dissolves in the binder. Therefore, the difference is probably related to the non-stoichiometry of the NbC carbides. As presented in Figure I-3, a lattice parameter of 0.4466 nm approximately corresponds to a  $\text{Nb}_{0.53}\text{C}_{0.47}$  stoichiometry. Therefore, the low measured lattice parameter could partly be explained by a carbon loss leading to the formation of sub-stoichiometric carbides, with a carbon content between 47 and 50 at%. This point is to be taken into account when evaluating the different sources of mass loss and will be further addressed in chapter IV, which is dedicated to the study of the carbon content.

Other defects are observed in the microstructure at a smaller scale. First, small pores are often found at the binder-carbide interface, or at the junction of a grain boundary and the binder as visible in Figure III-4. Moreover, at a smaller scale, a great density of dislocations is observed in the binder, especially close to the interface with NbC grains. These defects are likely due to the difference in thermal contraction between Ni and NbC during cooling. This difference of thermal contraction leads to a compressive stress acting on NbC grains and in a tensile stress on binder regions as observed for WC-Ni alloys [75]. The interfacial pores can then be due to a de-cohesion of the binder induced by the thermal stresses exerted during cooling. The binder deformation can be estimated to 1% for a cooling from  $T = 1300^\circ\text{C}$  to  $T + \Delta T = 20^\circ\text{C}$ , with formula (I-6), assuming that the binder withstands all the deformation (Figure III-9). The linear thermal expansions  $\alpha_B$  of Ni and  $\alpha_C$  of NbC carbides are  $13.4 \cdot 10^{-6} \text{ K}^{-1}$  [76] and  $6.6 \cdot 10^{-6} \text{ K}^{-1}$  respectively (see Table I-1).

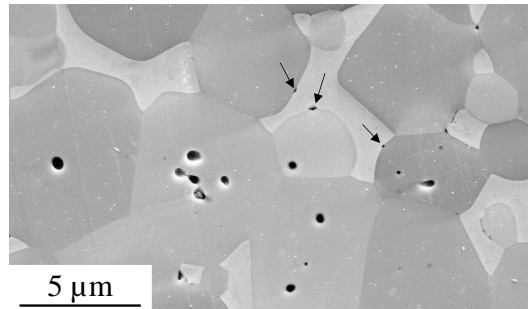
$$\varepsilon = \frac{\Delta L}{L_0} = \frac{L_C - L_B}{L_B} \approx (\alpha_C - \alpha_B)\Delta T \quad (\text{III-11})$$

where  $\varepsilon$  is the linear deformation,  $L_0$  is the length of the carbide and binder phase at  $T$ ,  $L_C$  and  $L_B$  are the length of the carbide and binder phase at  $T+\Delta T$  ( $\Delta T < 0$ ).



**Figure III-9 Schematic of the thermal contraction of a multilayered carbide-binder assembly, (a) Thermal contraction of isolated layers of carbide and binder, (b) Thermal contraction of a binder layer entrapped between two carbide layers, inducing a tensile strain in the binder.**

If the elastic and plastic energy variation in the binder due to the thermal stresses is larger than the adhesion energy, a de-cohesion may occur, resulting in the formation of pores at the carbide-binder interface, as in the examples presented in Figure III-10. The pore size is estimated to represent 1 to 5% of the interface length from the microstructural observations. Therefore, these interfacial pores could have their origin in the relaxation of thermal stresses during cooling.



**Figure III-10 SEM images of a NbC-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum.**

Nanometric steps are also visible at some NbC grain boundaries (Figure III-8). Such a stepped grain boundary structure indicates a difficult migration of certain grain boundaries as explained by Kang [50]. However, the majority of grain boundaries are smooth and the grain boundary migration is probably not limiting grain growth in most situations. These assumptions will be further investigated in part VI, which is dedicated to the study of grain growth.

## IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni

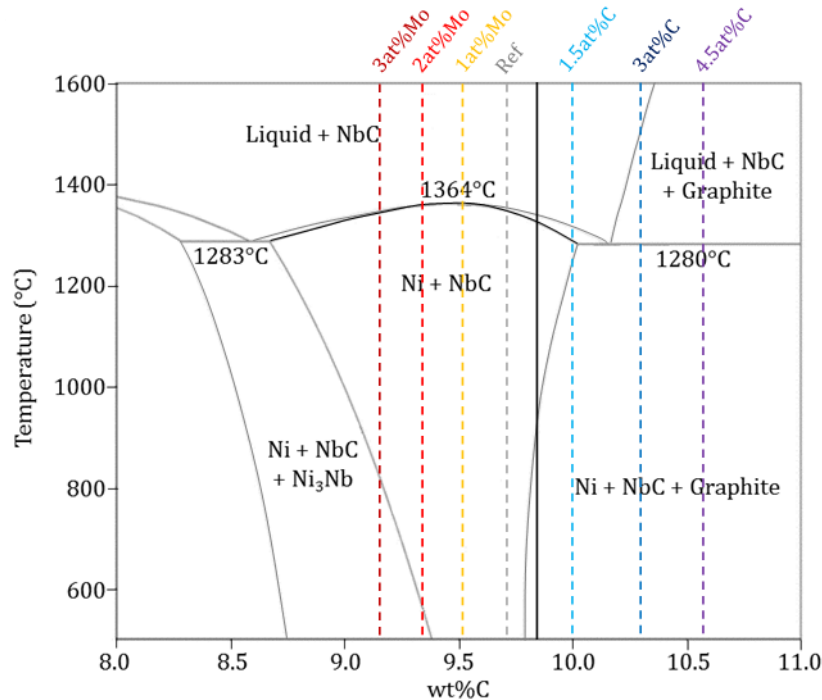
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In this part, the effect of the carbon content on the sintering behavior and resulting properties will be investigated. To do so, carbon or molybdenum were added in different quantities to the (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni reference composition to adjust the carbon content. Three compositions with an excess of carbon (1.5, 3 and 4.5 at%) or with an addition of molybdenum (1, 2 or 4.5 at%) were investigated (Table IV-1). It was assumed that a small quantity of Mo substitutes Nb and decreases the carbon content without impacting the phase equilibrium and material properties. This aspect will be discussed at the end of this part. The carbon content of the seven studied composition is presented on the phase diagram of Figure IV-1. The effect of decarburizing on the position of this experimental carbon window in the phase diagram will also be discussed at the end of this part.

First, the global mass loss and more specifically the carbon loss will be investigated. The effect of carbon content on the solid state and liquid phase sintering will also be considered. Then, its effect on the microstructure and the mechanical properties will be studied.

**Table IV-1 Nominal compositions (at%) and corresponding calculated carbon content (wt%)**

Alloy	at%				wt%		
	NbC	Ni	Mo <sub>2</sub> C	WC	Mo	C	Carbon content
Reference	84.9	12.2	2.37	0.540	0	0	9.70
3at%Mo	82.0	12.2	2.29	0.520	3.00	0	9.15
2at%Mo	83.0	12.2	2.32	0.520	2.00	0	9.33
1at%Mo	83.9	12.2	2.34	0.530	1.00	0	9.51
1.5at%C	83.4	12.2	2.33	0.530	0	1.50	9.98
3at%C	82.0	12.2	2.29	0.520	0	3.00	10.3
4.5at%C	80.5	12.2	2.25	0.510	0	4.50	10.6



**Figure IV-1** Calculated phase diagram for the NbC-12vol%Ni system (TCNI9 database) with the carbon content of the studied compositions, in black is the stoichiometric NbC-12vol%Ni composition.

## IV.A. Physical and chemical transformations during heating

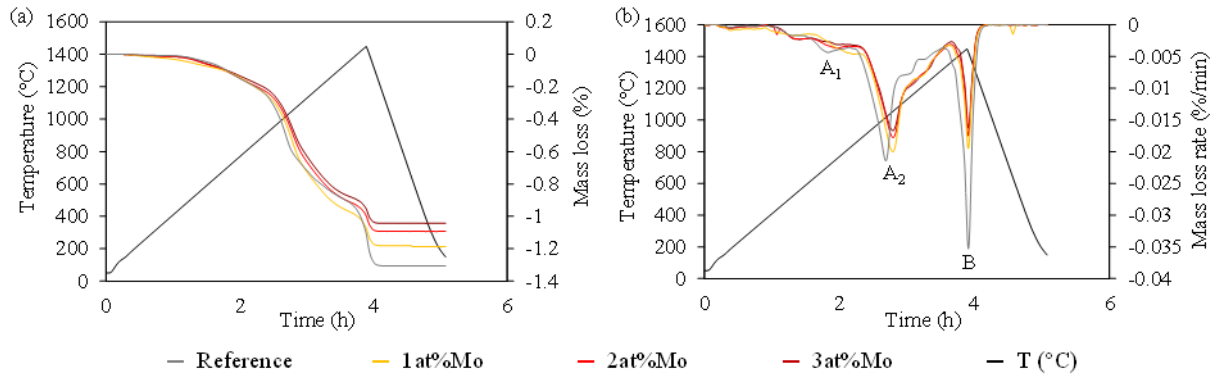
### IV.A.1 Mass loss during sintering

The mass loss due to the elimination of hydroxides and to the decomposition of the organic binder agents is presented in part III as it is similar for every composition of this work.

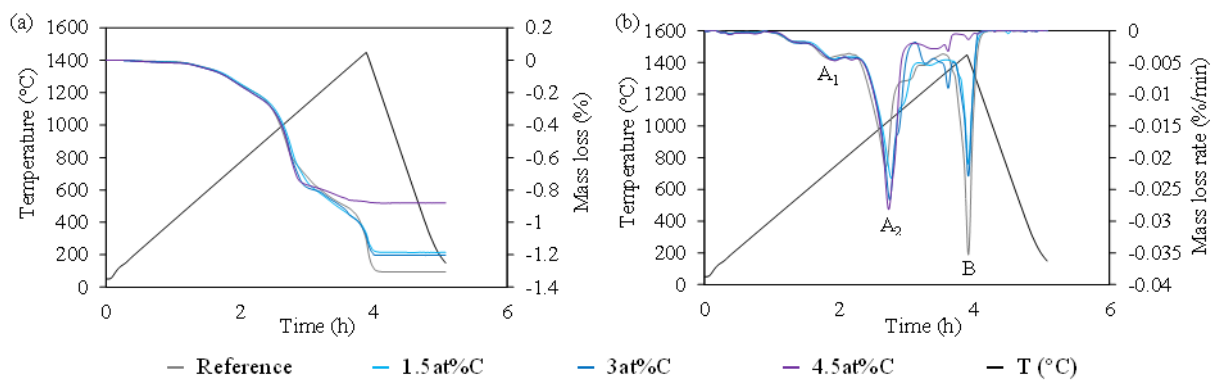
When undergoing a sintering at 1450°C, two mass loss phenomena are detected in the samples, as presented in part III.A.1. A first mass loss is observed between 500 and 800°C ( $A_1$ ) and a second one between 850 and 1200°C ( $A_2$ ), probably corresponding to the reduction of W oxides, Mo oxides and Nb oxides (see § II.B.1). It represents a mass loss of 0.9wt%. Then, the nickel evaporation (B) above 1300°C induces a mass loss of 0.4wt%.

The TGA curves for Mo additions and C additions are presented respectively in Figure IV-2 and Figure IV-3. When adding molybdenum, the peak ( $A_2$ ) is slightly reduced and happens at a higher temperature, and the nickel evaporation (B) is significantly reduced, and happens at a higher temperature. When adding carbon, the peak ( $A_2$ ) is slightly increased but remains at the same temperature. Moreover, the second peak (B) of nickel evaporation is significantly reduced. It is nearly suppressed for the sample with 4.5at%C.

IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni



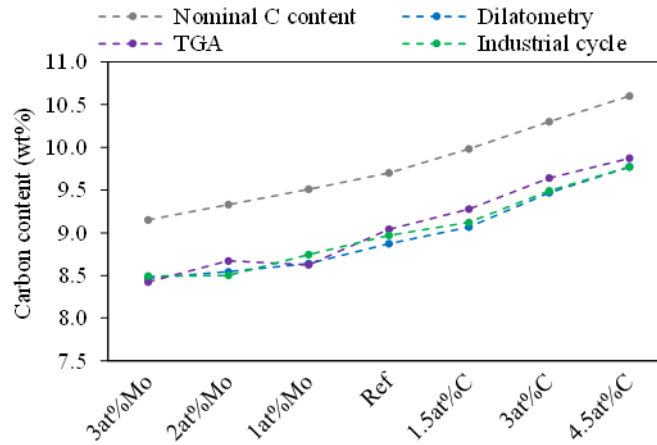
**Figure IV-2 Thermogravimetric plots of powder compacts with different Mo contents during the standard sintering cycle, (a) Mass loss (%), (b) Mass loss rate (%/min).**



**Figure IV-3 Thermogravimetric plots of powder compacts with different C contents during the standard sintering cycle, (a) Mass loss (%), (b) Mass loss rate (%/min).**

IV.A.2 Carbon content after sintering

The global carbon content in each sample was determined after sintering by the LECO technique (§ II.C.2) in order to evaluate the carbon loss and to reposition the compositions on the phase diagram. The carbon content after sintering performed in dilatometry or TGA or with the industrial thermal cycle is compared to the nominal carbon contents in Figure IV-4. The carbon loss is about 0.8wt% for all compositions whatever the sintering device used.



**Figure IV-4 Carbon content after sintering at 1450°C in vacuum compared to nominal carbon content for (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC samples with Mo or C additions, measured by LECO.**

## IV.B Sintering

The shrinkage behavior during the sintering process was followed by dilatometry. The sintering curves of samples with molybdenum additions are presented in Figure IV-5, and with carbon additions in Figure IV-6 and Figure IV-7. In all cases, samples are fully dense with about 1% of remaining porosity after sintering, as presented in Table IV-2. A relative density above 100% is obtained for compositions where graphite was added because the theoretical density for those mixes does not take into account the dissolution of the graphite in the carbides.

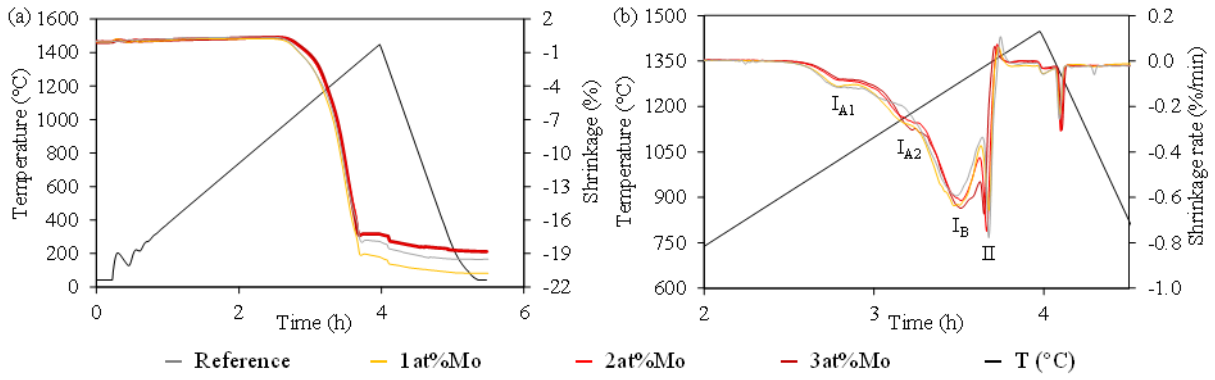
The shrinkage occurs with the same two steps presented in part III.B for NbC-0.5vol%WC-12vol%Ni and identified on the shrinkage rate curves. First, solid state sintering starts around 950°C and is characterized by three broad peaks ( $I_{A1}$ ,  $I_{A2}$  and  $I_B$ ) on the shrinkage rate curve. In part III.B, only two peaks were identified, corresponding to  $I_{A1}$  and  $I_B$ . Therefore,  $I_{A2}$  is probably due to Mo<sub>2</sub>C additions. Then, for all the compositions, the metallic binder forms a eutectic liquid with the carbides around 1315°C, which corresponds to the narrow peak (II) of liquid phase sintering on the shrinkage rate curve. The total shrinkage in height for all compositions is in the range 18-21%.

When adding molybdenum, the  $I_{A1}$  and  $I_B$  peaks are slightly delayed to higher temperatures, while the  $I_{A2}$  peak is slightly more pronounced. Liquid phase sintering (II) also starts at a slightly lower temperature with Mo addition.

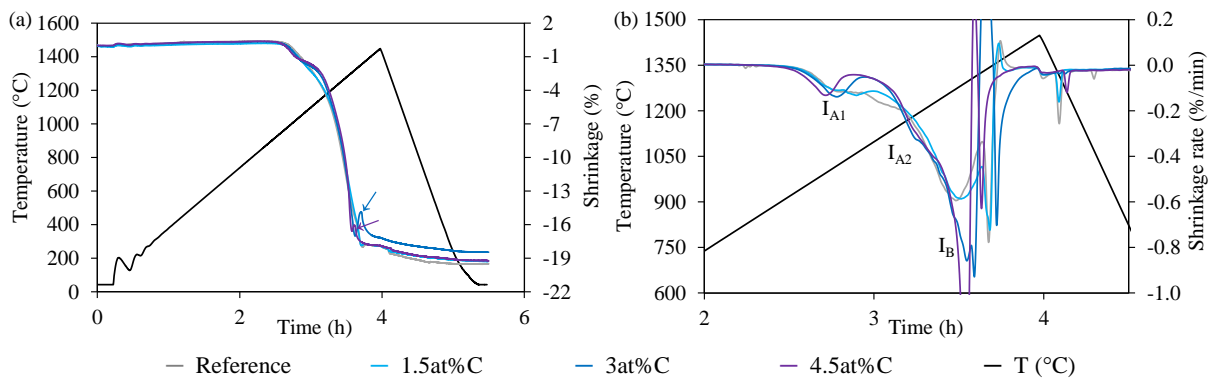
When adding carbon, the  $I_{A1}$  peak starts at a lower temperature whereas the  $I_{A2}$  peak remains similar. The  $I_B$  peak is slightly delayed to higher temperatures for the 1.5at%C composition compared to the reference. The liquid phase sintering peak (II) starts at a significantly lower temperature and even merges with the solid state sintering peak for the 3at%C and 4.5at%C compositions. Therefore, the peaks  $I_B$  and II are difficult to discern. Figure IV-7 presents the shrinkage rate curves with an offset in order to distinguish the different phenomena. A swelling is observed at the end of liquid phase sintering for the 3at%C and 4.5at%C compositions, encircled on Figure IV-7.



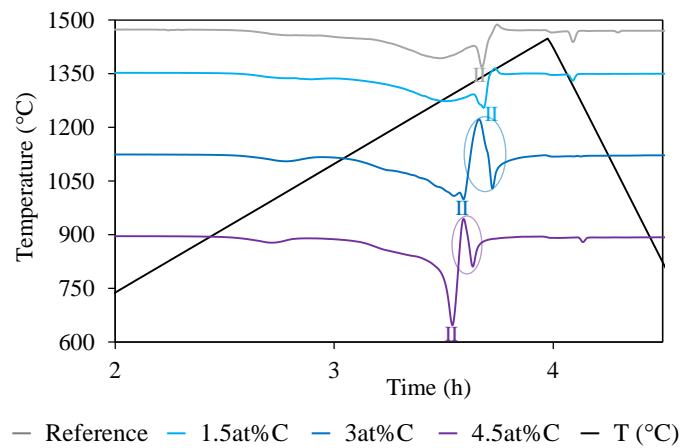
IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni



**Figure IV-5 Dilatometry curves of powder compacts with Mo additions (a) Shrinkage (%), (b) Shrinkage rate (%/min).**



**Figure IV-6 Dilatometry curves of powder compacts with C additions (a) Shrinkage (%), (b) Shrinkage rate (%/min). A swelling is visible for the higher C content, indicated by arrows.**



**Figure IV-7 Shrinkage rate curves of powder compacts with C additions with an offset in the vertical direction. A swelling is visible for the higher C content (encircled).**

**Table IV-2 Density after sintering at 1450°C in vacuum.**

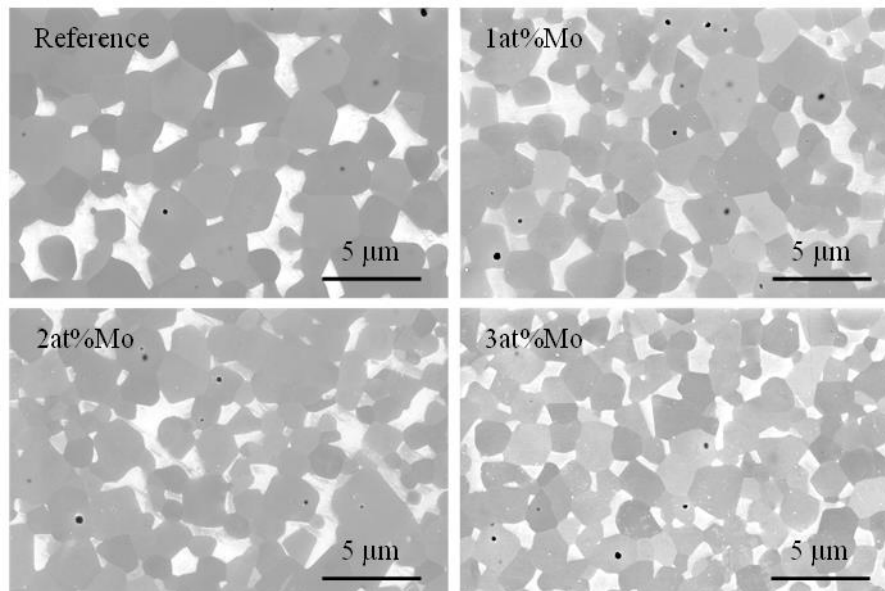
Alloy	Archimedes density after sintering (g/cm <sup>3</sup> )	Theoretical densities (g/cm <sup>3</sup> )	Relative density (%)
Reference	7.813	7.963	98.1
3at%Mo	7.966	8.057	98.9
2at%Mo	7.938	8.023	98.9
1at%Mo	7.915	7.998	99.0
1.5at%C	7.938	7.876	100.8
3at%C	7.896	7.828	100.9
4.5at%C	7.823	7.762	100.8

## IV.C Microstructural evolutions

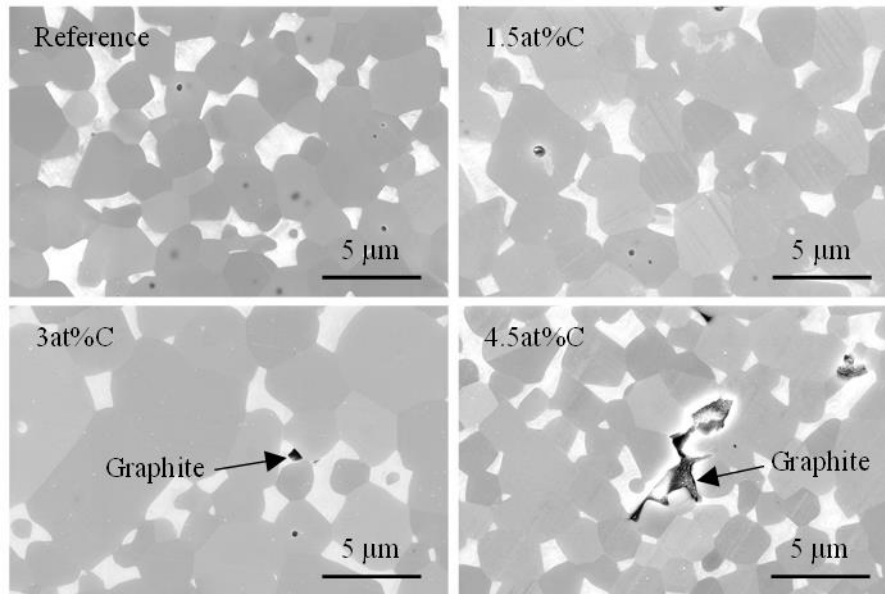
### IV.C.1 Microstructural analysis

The microstructure evolution as a function of molybdenum or carbon additions has been studied by SEM (Scanning Electron Microscopy) as presented in Figure IV-8 and Figure IV-9. Two phases are always visible: carbides (dark) and binder (bright). A third phase of graphite (black) appears when adding large quantities of carbon (3at%C or 4.5at%C). The carbide grain shape is cuboidal with rounded edges. Smaller grains are more spheroidal and bigger grains are more cuboidal. At the center of grains, small holes and binder inclusions are visible, probably trapped during sintering, as detailed in part III.C.

When adding molybdenum, the grain size is significantly reduced. On the contrary, when adding carbon, the grain size increases significantly. However, the grain size is reduced between the 3at%C sample and 4.5at%C sample.



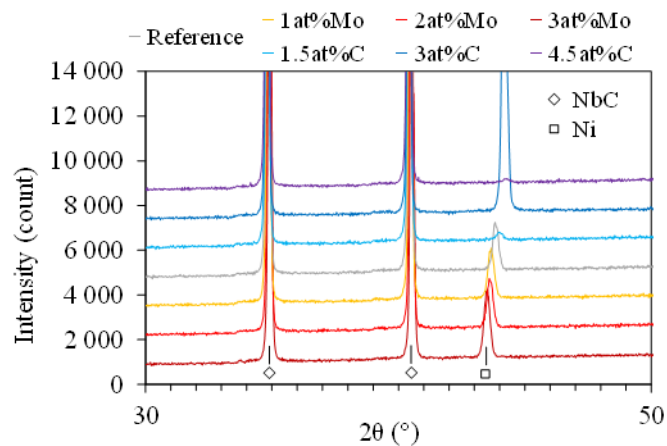
**Figure IV-8 Secondary electron images of (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC with Mo additions.**



**Figure IV-9 Secondary electron images of (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC with C additions.**

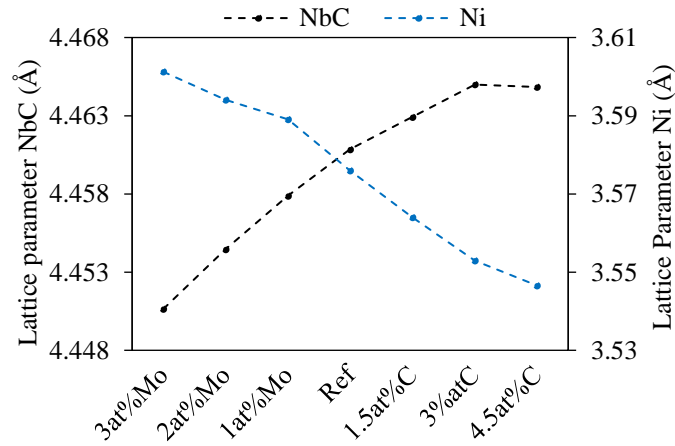
The XRD patterns of the NbC and Ni phases of the carbon window samples are presented in Figure IV-10. The fluctuation of the Ni peak intensity is induced by the large size of the nickel grains in carbon-rich samples (See Appendix 6) and therefore by the low number of nickel grains analyzed. When increasing the carbon content, the NbC peaks are shifted to lower  $\theta$  values whereas the Ni peaks are shifted to higher  $\theta$  values.

The corresponding lattice parameters of the two major phases (NbC and Ni) are presented in Figure IV-11. When increasing the carbon content, the lattice parameter of the NbC phase increases whereas the lattice parameter of the nickel phase decreases.



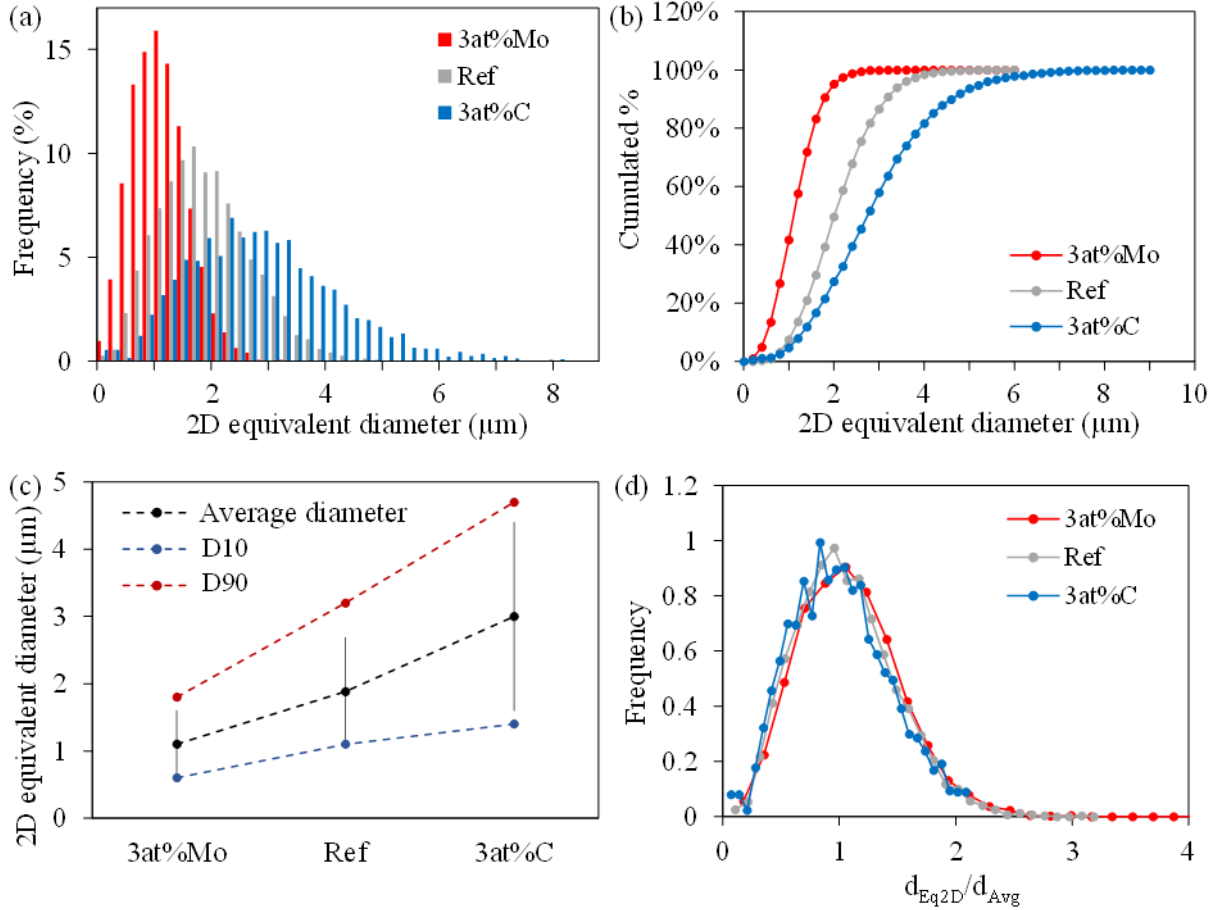
**Figure IV-10 XRD patterns of (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC with Mo or C additions showing a NbC-rich and a Ni-rich phase.**

IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni



**Figure IV-11 Lattice parameter evolution of NbC-rich and Ni-rich phases as a function of Mo or C additions.**

The grain size of the reference sample and of the 3at%C and 3at%Mo samples was measured on EBSD maps (see part II.D) and the results are presented in Figure IV-12 and in Table IV-3. The average grain size rises with the carbon content from 1.1  $\mu\text{m}$  (3at%Mo) to 1.9  $\mu\text{m}$  (Ref) and 3.0  $\mu\text{m}$  (3at%C). This increase is in adequacy with what was observed in SEM. The reduced grain size distribution keeps the same shape no matter the carbon content, which is confirmed by the ratio  $\sigma/\bar{d}$  which remains more or less constant.

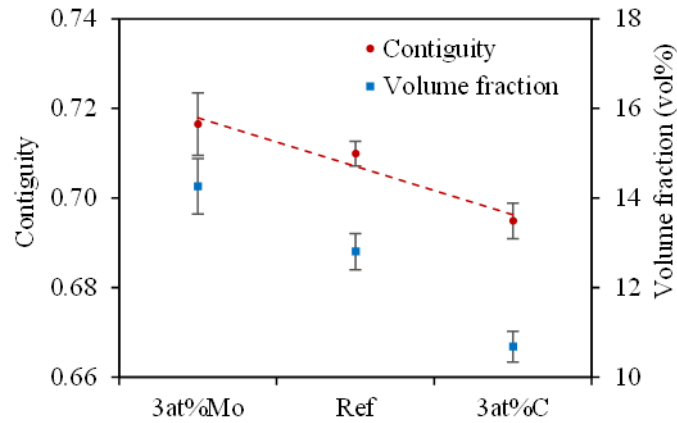


**Figure IV-12** Grain size analysis from EBSD measurements on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample and with 3at%C or 3at%Mo addition, (a) Relative frequency of equivalent 2D diameter (μm), (b) Cumulated frequency of equivalent 2D diameter (μm), (c) Average diameter, D10 and D90 values (μm), (d) Density of distribution of the reduced grain size (ratio of the 2D equivalent diameter on the average 2D equivalent diameter).

**Table IV-3** Key parameters resulting from the EBSD quantitative study.

Composition	Average diameter $\bar{d}$ (μm)	Standard deviation $\sigma$ (μm)	$\sigma/\bar{d}$	d10 (μm)	d90 (μm)
3at%Mo	1.1	0.5	0.45	0.6	1.8
Ref	1.9	0.8	0.42	1.1	3.2
3at%C	3.0	1.4	0.47	1.4	4.7

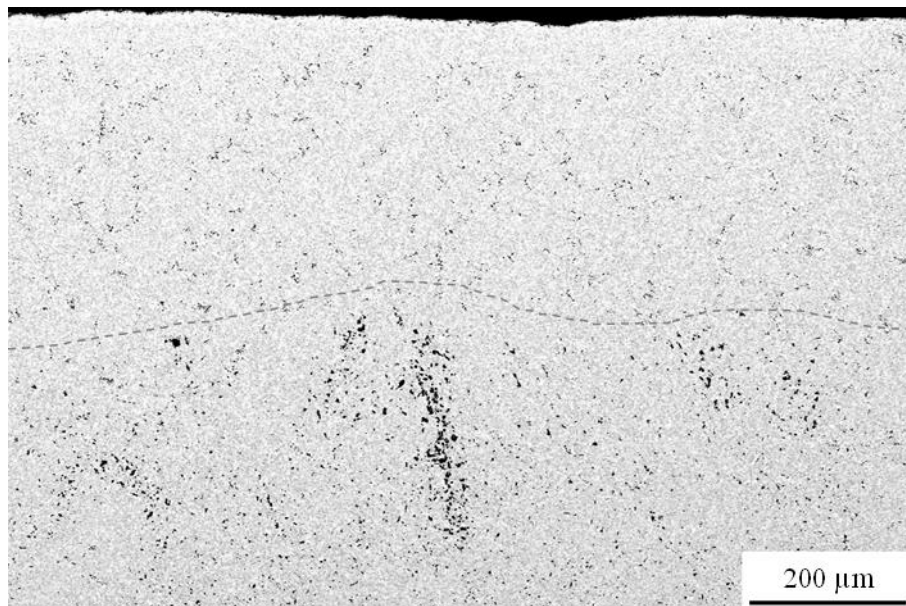
The contiguity and binder volume fraction of the samples analyzed by EBSD are presented in Figure IV-13. Both parameters decrease when increasing the carbon content.



**Figure IV-13 Contiguity and binder volume fraction measured from EBSD images on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample and with 3at%C or 3at%Mo addition.**

IV.C.2 Effect of decarburizing on graphite distribution in C-rich compositions

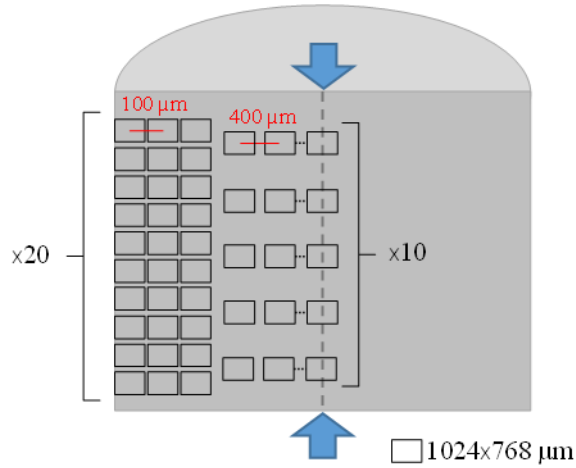
Heterogeneity of composition was observed in C-rich samples, with a lower fraction of graphite particles near the surface. For example, it was observed on the 4.5at%C sample that there is a 300 to 400  $\mu\text{m}$  large area at the border of the cross section with less graphite phase (in black), as presented in Figure IV-14. This indicates a decarburization and may explain part of the carbon loss discussed above (see part IV.A.2).



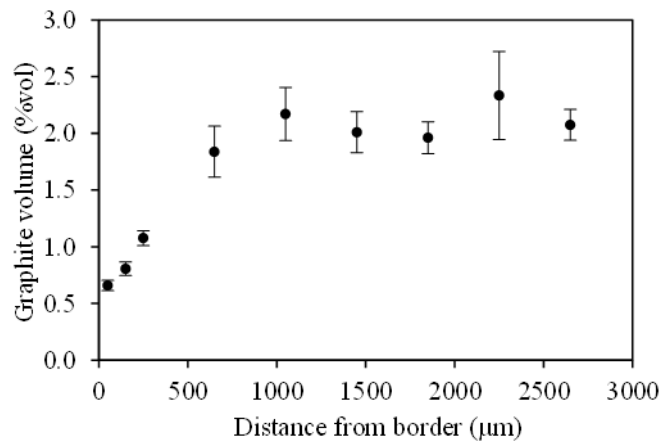
**Figure IV-14 SEM backscattered electron image of the border of a cross section of a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with 4.5at%C. The border is at the top of the image and the black phase is graphite.**

To quantify the difference of graphite volume between the edges and the center of this sample, a SEM mapping of a cross section has been performed, as presented in Figure IV-15. Three first rows of 20 SEM images were made at 50 $\mu\text{m}$ , 150  $\mu\text{m}$  and 250  $\mu\text{m}$  from the border, then additional 6 rows of 10 images 400 $\mu\text{m}$  apart until the center of the sample is reached. The resulting volume fraction of graphite is presented in Figure IV-16.

There is a large drop of the graphite volume fraction from about 2vol% at the center of the sample to 0.8vol% at the border of the sample, indicating that the carbon loss is more significant at the external surface of the sample.

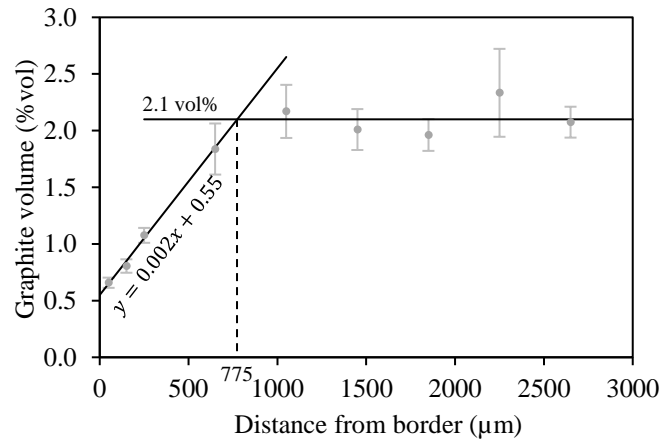


**Figure IV-15 Schematic of the SEM graphite mapping of a 4.5at%C sample.**



**Figure IV-16 Graphite volume fraction measured on 2D SEM images on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with 4.5at%C.**

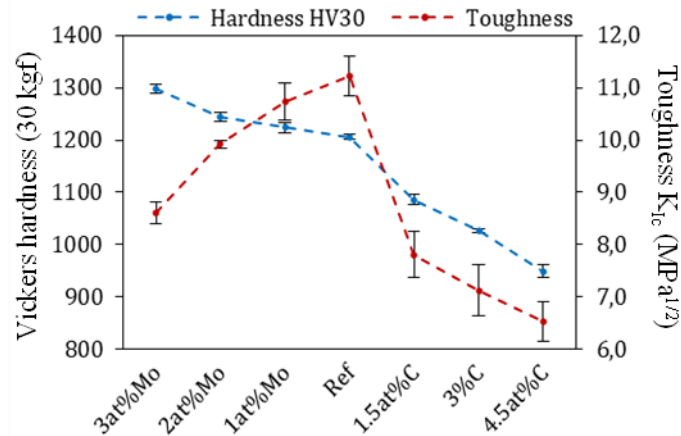
The graphite distribution can be approximated from the straight lines presented in Figure IV-17. From 0 to 775 μm from the external surface, the carbon content increases from 0.55vol% to 2.1vol%. Then, from 775 μm from the border to the center of the sample, the carbon content is 2.1vol%. When comparing that distribution with a sample with 2.1vol% graphite everywhere, assuming that the carbon loss is due to the graphite phase only, the carbon mass loss in the outer cylindrical ring of the sample of 775μm width can be estimated to 0.10 wt% (See Appendix 7). Therefore, about 12% of the 0.8wt% carbon mass loss can be attributed to a heterogeneous decarburization of the surface layers for the C-rich samples.



**Figure IV-17 Graphite volume distribution estimated from the measured values on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with 4.5at%C.**

## IV.D Mechanical properties

The hardness and toughness of each composition were deduced from Vickers macro-indentations at 30 kgf (300 N), as presented in Figure IV-18. The hardness increases when adding molybdenum and decreases when adding carbon. The toughness drops when adding molybdenum and even more when adding carbon. The carbon composition therefore strongly impacts the mechanical properties of the material.



**Figure IV-18 Hardness and toughness as a function of Mo or C additions.**

## IV.E Discussion

### IV.E.1 General discussion

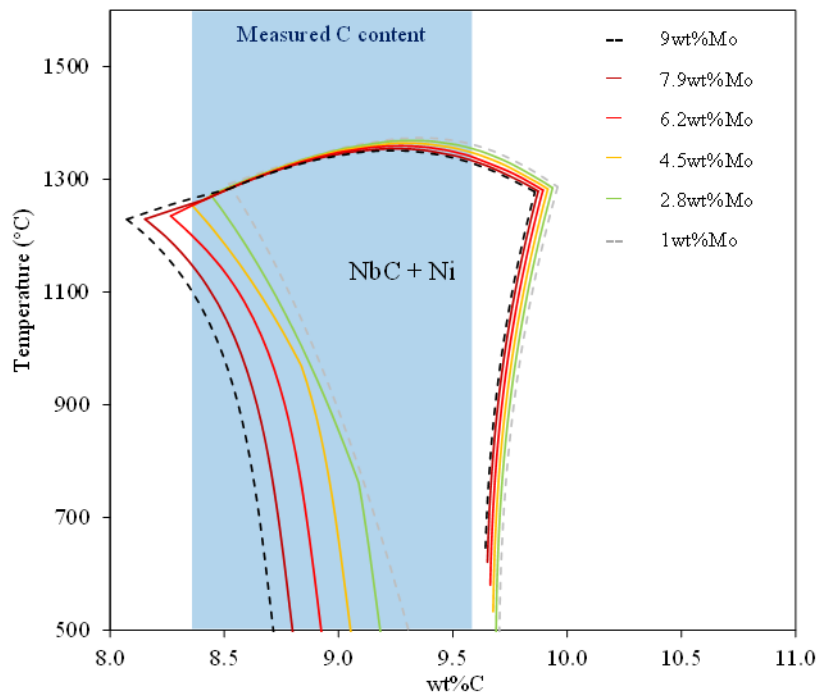
In this work the carbon content effect was studied by adding C or Mo in different quantities to the (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni reference composition. Substitution of Nb with Mo to decrease the carbon content is questionable. First it must be noticed that this substitution does not introduce new phases in the systems, which always consists in Nb-rich carbides embedded in a Ni-rich matrix, with



Mo<sub>2</sub>C additions. The experimental variation of lattice parameters of the carbide phase and of the binder phase with the carbon content is consistent with other studies which use different additions to adjust the C content [35]. Also the mechanical properties (hardness and toughness) have a similar variation with the carbon content than in other studies of the literature [35]. A continuous enhancement of grain growth is also observed in this study, from Mo-rich to C-rich samples, which suggests that C content is the pertinent parameter.

A more direct analysis of the effect of Mo substitution to Nb on the phase equilibria was performed with Thermocalc. Figure IV-19 presents the calculated limits of the 2-phase NbC-Ni domain for different Mo contents. The Mo contents of the carbon window compositions are presented in Table IV-4. On one hand, the right limit, separating the NbC + Ni and the NbC + Ni + graphite domains, is only slightly shifted to lower carbon contents with our Mo composition range. On the other hand, the left limit, separating the NbC + Ni and the NbC + Ni + Ni<sub>3</sub>Nb domain, is significantly shifted to lower carbon contents. The Ni<sub>3</sub>Nb phase is apparently destabilized by Mo additions and this might be one reason why the Ni<sub>3</sub>Nb phase has never been observed in our experiments.

Except for this last point, it will be assumed in the following that the procedure used in this work to adjust the composition gives a good representation of the C content effect in our system.



**Figure IV-19** Limit of the NbC + Ni domain depending on the Mo content in the Nb-C isopleth with 0.5vol%WC and 12vol%Ni calculated with thermocalc (TCNI9 base).

**Table IV-4** Mo content of the carbon window compositions.

Composition	3at% Mo	2at% Mo	1at% Mo	Ref	1.5at%C	3at%C	4.5at%C
wt% Mo	7.89	6.23	4.53	2.82	2.80	2.79	2.77

The onset of solid state sintering virtually coincides with the temperature of oxide reduction observed in TGA, as already observed for the reference composition (see Chapter III). It is slightly delayed to

lower temperatures when increasing the carbon content. As solid state sintering is initiated by the spreading of the binder phase on the carbide grains, this may be due to a lower interfacial energy for higher carbon contents. This is consistent with the contiguity variation observed on the final sintered materials.

Liquid phase sintering starts at a lower temperature when adding either Mo or C. It can be explained by assuming that the reference composition is close to the composition with maximum solidus temperature on the phase diagram. The decrease of the solidus temperature is more rapid when increasing the carbon content, in agreement with our observations.

For the compositions with high carbon addition, a swelling is observed in dilatometry during liquid phase sintering. This swelling occurs during heating in the (NbC + Ni + liquid) 3 phase domain of the phase diagram (see Figure IV-1). In that domain, the niobium content in the remaining solid binder increases with the temperature, which leads to an increase of the lattice parameter and may explain swelling. Swelling ends as soon as all the binder turns liquid. Similar swelling observations were previously made on WC based materials [15].

Beside the temperature of liquid formation, composition of the alloys also affects the nickel evaporation, which is significantly reduced when adding either Mo or C. This is probably due to the earlier densification due to the lower solidus temperature, as discussed before. Indeed, after pore closure, the liquid is in contact with the vacuum atmosphere only at the external surface of the compact and evaporation kinetics are therefore significantly reduced.

The lattice parameters of the two phases (niobium-rich carbide & nickel-rich binder) are influenced by the C/(Nb+Mo+W) ratio. When the C/(Nb+Mo+W) ratio increases, the lattice parameter of the carbide phase increases while the one of the binder phase decreases. Indeed, addition of C probably also reduces the solubility of metallic elements in the solid binder, as it does in the liquid [35], which may explain the lower lattice parameter in this phase. For the carbide phase, increase of the lattice parameter with C content is similar to previous observations in the literature [21]. The effect of Mo substitution to Nb may further amplify the effect of C content reduction, Mo having a smaller atomic radius than Nb.

The binder volume decreases when increasing the carbon content from image analysis measurements. Looking at the ternary phase diagram Nb-Ni-C in Figure IV-20, it can be identified that the niobium content in the liquid phase decreases when increasing the carbon content, which could explain the result. For example, considering the points A and B on the phase diagram for 100g of nickel, the corresponding binder volume were calculated and are presented in Table IV-5. Carbon was assumed not to contribute to the volume as it is in interstitial position. It was confirmed by EDS measurements that the niobium content of the binder decreases when increasing the carbon content.

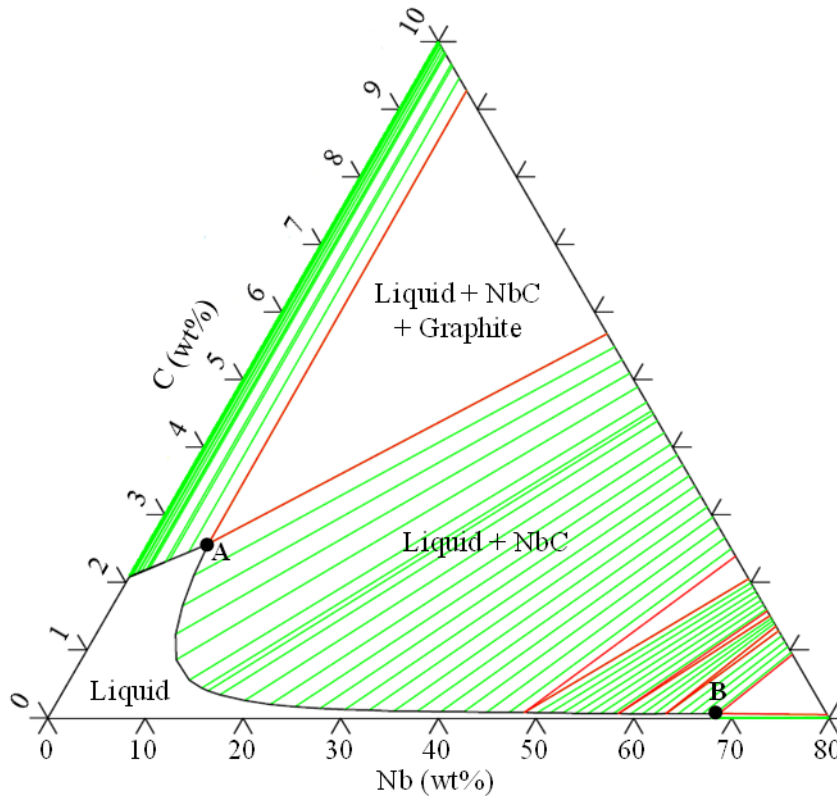


Figure IV-20 Calculated isothermal section of the Nb-C-Ni system at 1420°C (TCNI9 database).

Table IV-5 Binder liquid phase volume for 100g of nickel for different carbon contents.

		Ni	Nb	C	Total
	wt%	91.4	6	2.6	100
<b>A</b>	For 100g of Ni (g)	100	6.6	2.8	109
	Volume (cm <sup>3</sup> )	13.3	0.77	0	<b>14.1</b>
	wt%	31.9	68	0.10	100
<b>B</b>	For 100g of Ni (g)	100	213	0.32	313
	Volume (cm <sup>3</sup> )	13.3	24.9	0	<b>38.2</b>

The contiguity in the final sintered materials decreases when increasing the carbon content. This cannot be explained by the decrease of the binder volume, which should lead to an increase of the contiguity. Therefore, the variation of the contiguity is most probably due to a variation of interfacial energy. The contiguity decrease would then correspond to a relative decrease of the carbide-binder interfacial energy with respect to the grain boundary energy. This lower interfacial energy could also explain the earlier solid state spreading of the binder for C-rich compositions, as explained previously.

Grain growth of the carbide phase is enhanced as the carbon content increases from Mo-rich to C-rich compositions. Huang et al observed a similar effect of the carbon content on grain growth [35]. This is also a classical result for WC cemented carbides [77][78][79]. However, grain growth seems to stall for composition above 3 at% C, likely due to the pinning effect of graphite inclusions on grain boundaries.

The hardness increases as the carbon content decreases which is probably mainly due to a decrease in grain size and to an increase of the metallic solute content in the binder. The increase of hardness of the carbide phase may also play a role. It was indeed shown that the  $C/(Nb+Mo+W)$  ratio has an effect on

the mechanical properties of the carbide grains [1]. Regarding the toughness, grain size variations cannot fully explain the effect. The toughness is maximal for a C/(Nb+Mo+W) ratio close to 1.

#### IV.E.2 Localization of the studied compositions with respect to the phase diagram

This part aims to examine the carbon loss occurring during sintering and to position the studied alloys after sintering in the phase diagram.

Beforehand, it is important to note that the nominal carbon content previously presented was calculated theoretically from the chemical compositions. The initial carbon content of the pure powders used in our mixtures was measured by LECO and is presented in Table IV-6. The carbon content in stoichiometric carbides is presented in Table IV-7. One can conclude that the carbides used for the study are slightly sub-stoichiometric. The nickel and molybdenum powders contain a little quantity of carbon which should be taken into account. The recalculated initial carbon content of the compositions compared to the nominal content is presented in Table IV-8. For every composition, the initial carbon content is 0.2wt% smaller than the assumed nominal content,

**Table IV-6 Carbon content in pure powders measured by LECO (wt%).**

NbC	Ni	WC	Mo <sub>2</sub> C	Mo
11.2	0.20	6.21	5.8	0.034

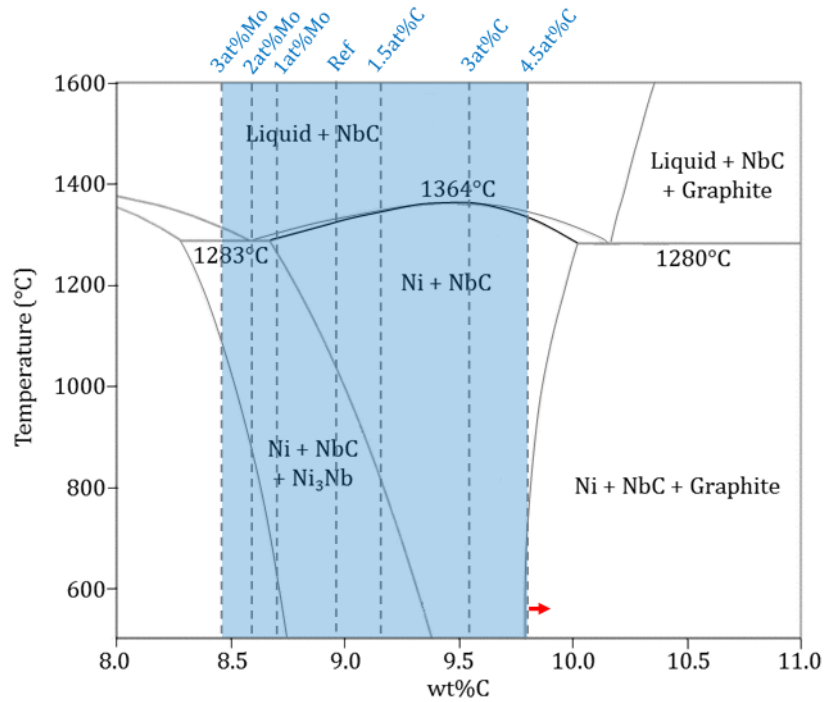
**Table IV-7 Carbon content in stoichiometric pure carbides (wt%)**

NbC	WC	Mo <sub>2</sub> C
11.45	6.13	5.89

**Table IV-8 Recalculated initial carbon content compared to the nominal carbon content (wt%)**

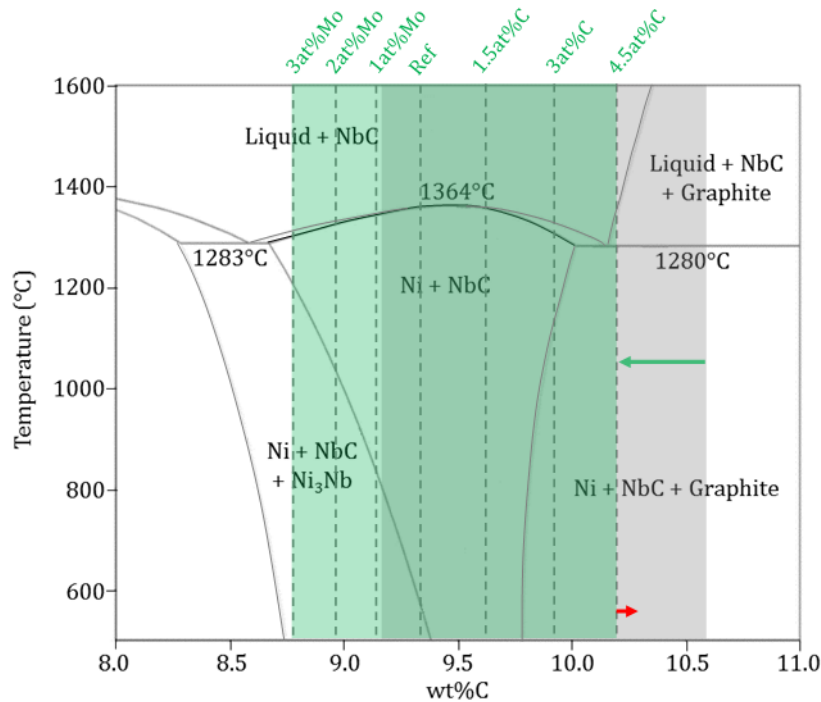
Alloy	Nominal carbon content	Recalculated initial carbon content
3at%Mo	9.15	8.99
2at%Mo	9.33	9.16
1at%Mo	9.51	9.34
Reference	9.70	9.52
1.5at%C	9.98	9.81
3at%C	10.3	10.1
4.5at%C	10.6	10.4

The average carbon content measured by LECO after sintering is reported on the phase diagram in Figure IV-21. According to these data, the Ni<sub>3</sub>Nb phase should be present for compositions with low carbon content and no graphite should be observed in carbon-rich compositions. Looking at the microstructure and at the XRD patterns (see part IV.C), no Ni<sub>3</sub>Nb is observed in the Mo rich alloys and graphite is found in the 3at%C and 4.5at%C compositions.



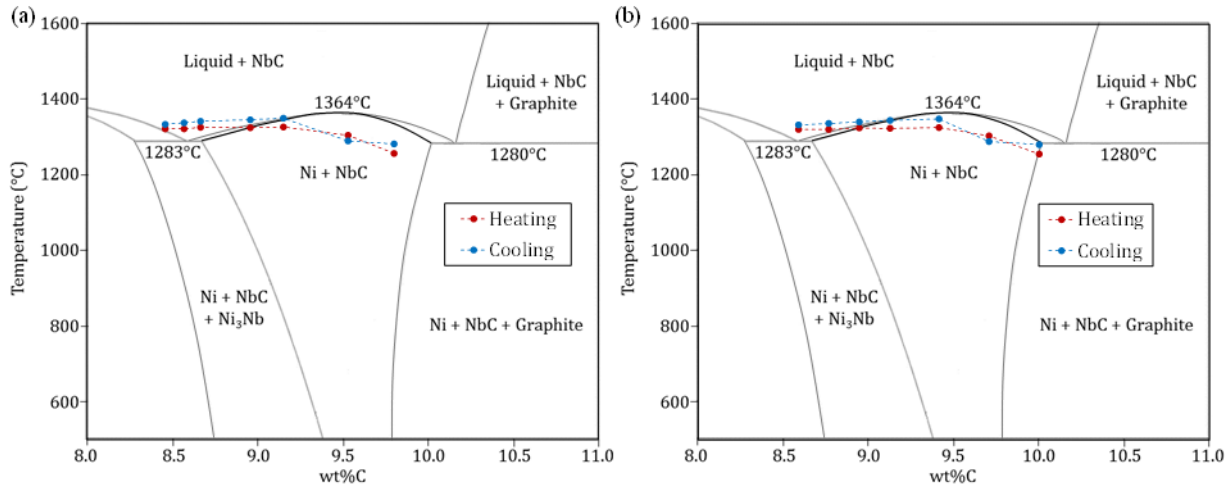
**Figure IV-21** Calculated phase diagram for a NbC-12vol%Ni system (TCNI9 database) with the average carbon content measured by LECO. The red arrow indicates the composition in the core of the specimens.

Another method to evaluate the carbon content is to apply the mass loss deduced from TGA measurements to the recalculated nominal carbon content. It was previously established that a measured mass loss of 0.9wt% is due to an oxide reduction. If the reduction product is CO gas, then 0.4wt% of the sample mass loss is carbon. This shift of the carbon content from the recalculated nominal composition is reported on the phase diagram in Figure IV-22. With this shift, the window is more or less centered on the Ni + NbC domain. This positioning on the phase diagram is more consistent with the microstructural observations and XRD patterns (see part IV.C).



**Figure IV-22** Calculated phase diagram for a NbC-12vol%Ni system (TCNI9 database) with a carbon content shift of 0.4wt% applied to the nominal carbon content. The red arrow indicates the composition in the core of the specimens.

When looking at the solidification peak during cooling on the dilatometry plots, the highest solidification temperature is obtained for 1.5at%C. Both temperatures, at heating and at cooling, have been reported on the phase diagrams in Figure IV-23. The carbon contents are estimated from LECO measurements or recalculated nominal temperatures and TGA measurements. The difference of temperature between cooling and heating can be explained by the homogenization of the binder phase in liquid state. Looking at the phase diagram, and using the carbon content deduced from the recalculated initial composition and TGA measurements, the highest solidus temperature is close to the 1.5at%C, which is more or less consistent the experimental solidus lines. Therefore, this carbon content seems to be consistent with the measured temperatures.



**Figure IV-23** Calculated phase diagram for a NbC-12vol%Ni system (TCNI9 database) with the melting and solidification temperatures measured at heating and cooling in dilatometry, (a)LECO carbon contents, (b) Carbon content estimated from recalculated nominal composition and TGA.

A heterogeneous carbon loss between the center and the periphery of the sample was observed, so that the microstructure analysis would correspond to a C content higher than the global value. This assumption was investigated in part IV.C.2 for a 4.5at%C sample which presents a high volume of graphite phase. A carbon loss at the external surfaces of the sample corresponding to a global carbon loss of 0.10 wt% was identified. The microstructures presented in part IV.C were observed at the center of the samples, where the carbon loss was smaller. Therefore, the global carbon content measured by LECO or deduced from TGA analysis does not correspond to the carbon content in the core of the sample. An approximate carbon content at the center of the sample could be obtained by adding 0.10wt% to the measured global values. This shift is figured by a red arrow on Figure IV-21 and Figure IV-22.

When looking at Figure IV-21 and Figure IV-22, the actual carbon window is probably intermediate between the one deduced from LECO measurements and the one deduced from TGA analyses. However, the difference between the two carbon windows is significant (about 0.3 wt%), and remains unjustified. One can also question on the accuracy of the calculated phase diagram as few experimental investigations were conducted on the NbC-Ni alloys. Further experimental investigations would be helpful to validate the phase diagram.

#### IV. Effect of the carbon content in (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni



## V. Effect of Mo<sub>2</sub>C addition in NbC-0.5vol% WC-12vol% Ni

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Mo<sub>2</sub>C is added to limit grain growth in NbC-Ni cemented carbides [57]. In this part, the impact of Mo<sub>2</sub>C addition, to a NbC-0.5vol% WC-12vol% Ni composition on the sintering behavior and resulting properties will be investigated. A portion of NbC is replaced by Mo<sub>2</sub>C in order not to affect the other element quantities. The samples of this study will be referred to as the volume percentage of NbC replaced by Mo<sub>2</sub>C. For example, the (NbC-3vol% Mo<sub>2</sub>C)-0.5vol% WC-12vol% Ni material will be referred to as “3% Mo<sub>2</sub>C”.

First, the impact of Mo<sub>2</sub>C on the mass loss, and on the sintering process will be investigated. Then, the microstructure with different Mo<sub>2</sub>C contents will be analyzed at different scales and for different sintering temperatures. Finally, the resulting hardness and toughness will be considered.

### V.A Physical and chemical transformations during heating

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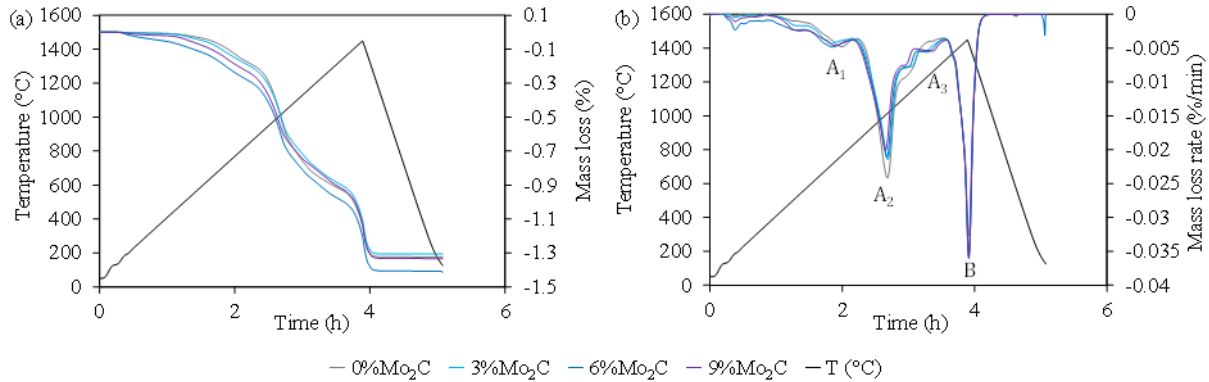
#### V.A.1 Mass loss

The mass loss due to the elimination of hydroxides and to the decomposition of the organic binder agents was presented in part III and is similar for every composition of this work.

The mass loss during sintering measured by TGA is presented in Figure V-1. There is a global mass loss of 1.3% for the 0%, 6% and 9% Mo<sub>2</sub>C compositions, and 1.4% for the 3% Mo<sub>2</sub>C composition. This difference can be explained by an incomplete debinding step for the 3% Mo<sub>2</sub>C composition as a mass loss is measured before 400°C. The rest of the mass loss curve is very similar to the other compositions, confirming that the 0.1% mass loss shift is due only to an incomplete debinding. The mass loss during sintering can thus be estimated to 1.3% for all compositions (0, 3, 6 & 9% Mo<sub>2</sub>C contents). Therefore, the addition of Mo<sub>2</sub>C has no significant effect on the global mass loss.

Looking at the mass loss rate (Figure V-1 (b)), two mass loss phenomena are detected. First, a mass loss due to oxides reduction is measured. This mass loss presents several steps, between 500 and 800°C (A<sub>1</sub>), between 850 and 1200°C (A<sub>2</sub>) and between 1200°C and 1300°C (A<sub>3</sub>), probably corresponding to the reduction of W, Nb and Mo oxides. Nickel oxides are reduced at low temperature during debinding (see part II.B.1). As the Mo<sub>2</sub>C content increases, the A<sub>2</sub> peak intensity is slightly decreased and the A<sub>3</sub> peak appears. This may look surprising because Mo oxides are in average less stable than Nb oxides from Ellingham diagrams (see Figure II-6). However, the total mass loss due to oxide reduction remains equivalent for all compositions. Second, a mass loss occurs above 1340°C, which was previously identified as nickel evaporation (see part III). The global mass loss can therefore be attributed to these two phenomena, with 0.9 % of the mass loss due to metallic oxide reduction and 0.4% due to nickel evaporation.

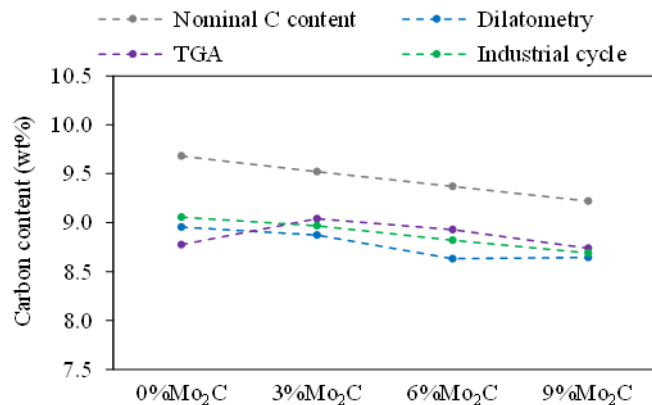
*Note:* As the mass loss due nickel evaporation is similar for every composition, the effect of this phenomenon on the microstructures will not be taken into account.



**Figure V-1 Thermogravimetric plots of powder compacts with different Mo<sub>2</sub>C contents during the standard sintering cycle, (a) Mass loss (%), (b) Mass loss rate (%/min).**

#### V.A.2 Carbon content after sintering

The global carbon content was measured by LECO technique (see part II.C.2) in order to ensure that it does not vary from one batch of samples to the other. Figure V-2 presents the carbon content of samples with different amounts of Mo<sub>2</sub>C sintered at 1450°C in vacuum in a TGA or dilatometry device, or in an industrial furnace from Hyperion Materials & Technologies. The carbon loss is globally the same for all samples and for all furnaces and can be estimated to 0.6 wt%. The same carbon loss was obtained when studying samples with different carbon contents (see part IV). Therefore, the carbon loss is not significantly influenced by the composition variations of this study (Mo<sub>2</sub>C, Mo or C additions).



**Figure V-2 Carbon content after sintering at 1450°C in vacuum compared to the recalculated nominal carbon content for NbC-12vol%Ni-0.5vol%WC samples with different amount of Mo<sub>2</sub>C.**

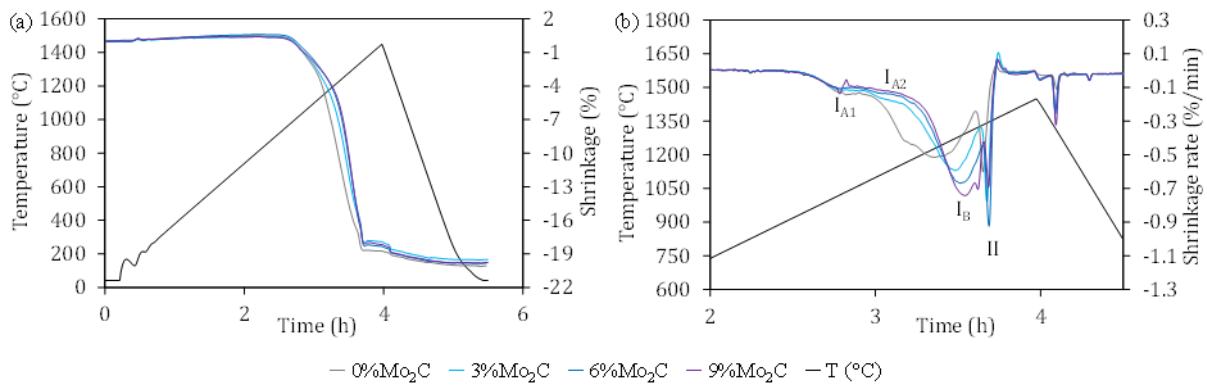
## V.B Sintering

The influence of Mo<sub>2</sub>C additions on sintering was studied by dilatometry. The shrinkage behavior as a function of the Mo<sub>2</sub>C content is presented in Figure V-3. The global shrinkage  $\Delta h/h_0$  induced by

sintering varies between 19% and 21%. All the samples are almost fully dense after sintering, with about 1% of remaining porosity, as presented in Table V-1.

On the shrinkage rate curve, the different steps of solid state ( $I_{A1}$ ,  $I_{A2}$  and  $I_B$ ) and liquid phase (II) sintering, already observed in part IV, are identified. The solid state sintering is significantly delayed to higher temperature when adding Mo<sub>2</sub>C. For 0%Mo<sub>2</sub>C, it starts at approximately 1100°C, whereas it starts above 1170°C for 3%, 6% and 9%Mo<sub>2</sub>C. On the other hand, the liquid phase sintering starts around 1300°C and is little affected by the Mo<sub>2</sub>C addition. The slight shift of the peak II toward higher temperature is probably due to the larger overlap between the solid state sintering and liquid phase sintering peaks when adding Mo<sub>2</sub>C, and therefore does not indicate an effect of Mo<sub>2</sub>C on the liquid phase sintering.

A third peak is visible on the shrinkage rate curve during cooling at 1350°C, corresponding to the solidification of the liquid binder.



**Figure V-3 Dilatometry curves of powder compacts with different Mo<sub>2</sub>C content. (a) Shrinkage (%), (b) Shrinkage rate (%/min) showing the different sintering steps: I. Solid state sintering, II. Liquid phase sintering.**

**Table V-1 Density after sintering at 1450°C in vacuum.**

Alloy	Archimedes density after sintering (g/cm <sup>3</sup> )	Theoretical densities (g/cm <sup>3</sup> )	Relative density (%)
0% Mo <sub>2</sub> C	7.848	7.934	98.9
3% Mo <sub>2</sub> C	7.813	7.963	98.1
6% Mo <sub>2</sub> C	7.840	7.992	98.1
9% Mo <sub>2</sub> C	8.011	8.022	99.9

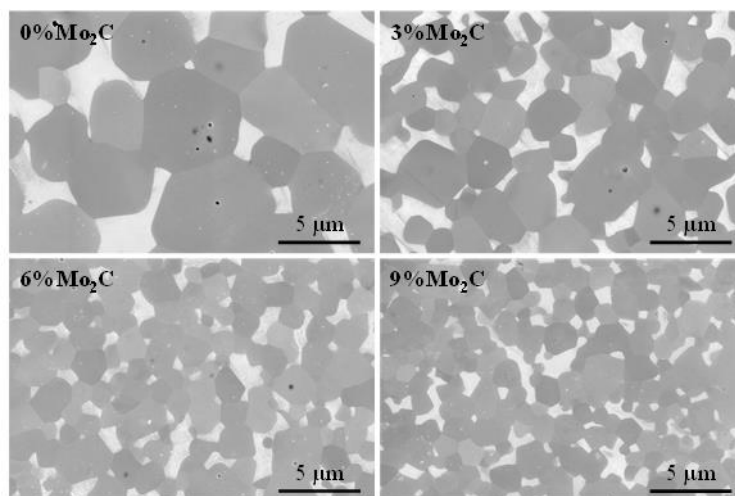
## V.C Microstructural evolutions

This part aims to present the effect of Mo<sub>2</sub>C on the microstructure but also the evolution of the microstructure during sintering. First, the effect of Mo<sub>2</sub>C on grain size will be observed. Then, a thorough characterization at low scale of grain boundaries and phase boundaries will be conducted in order to study the mechanism allowing grain growth inhibition. Finally, the evolution of the microstructure with the temperature will be analyzed in order to explain the solid state sintering delay observed previously by dilatometry.

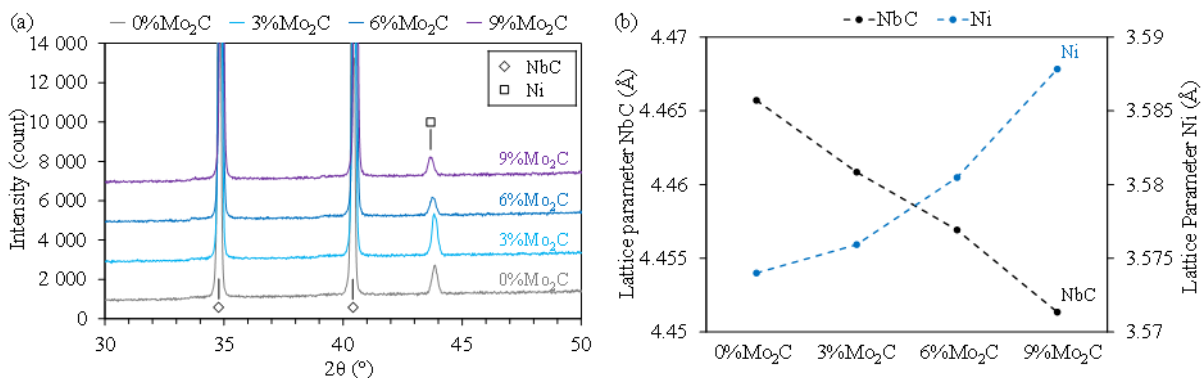
V.C.1 Effect of Mo<sub>2</sub>C addition

Figure V-4 presents the microstructure of NbC-12vol%Ni-0.5vol%WC sintered compacts with different amounts of Mo<sub>2</sub>C. The same type of microstructure with two phases as presented in part III is observed no matter the Mo<sub>2</sub>C content. A NbC-rich carbide phase (dark) and a nickel-rich binder phase (bright) are visible.

The nature of these phases is confirmed by XRD identification as presented in Figure V-5 (a). A variation of lattice parameter when adding Mo<sub>2</sub>C presented in Figure V-5 (b) confirms the dissolution of Mo in both phases, forming a mixed carbides with NbC and a metallic solution with Ni. The lattice parameter of the NbC phase decreases when increasing the Mo<sub>2</sub>C content whereas the lattice parameter of the nickel phase increases.



**Figure V-4** Microstructure of NbC-12vol%Ni-0.5vol%WC with different Mo<sub>2</sub>C content sintered at 1450°C in vacuum.



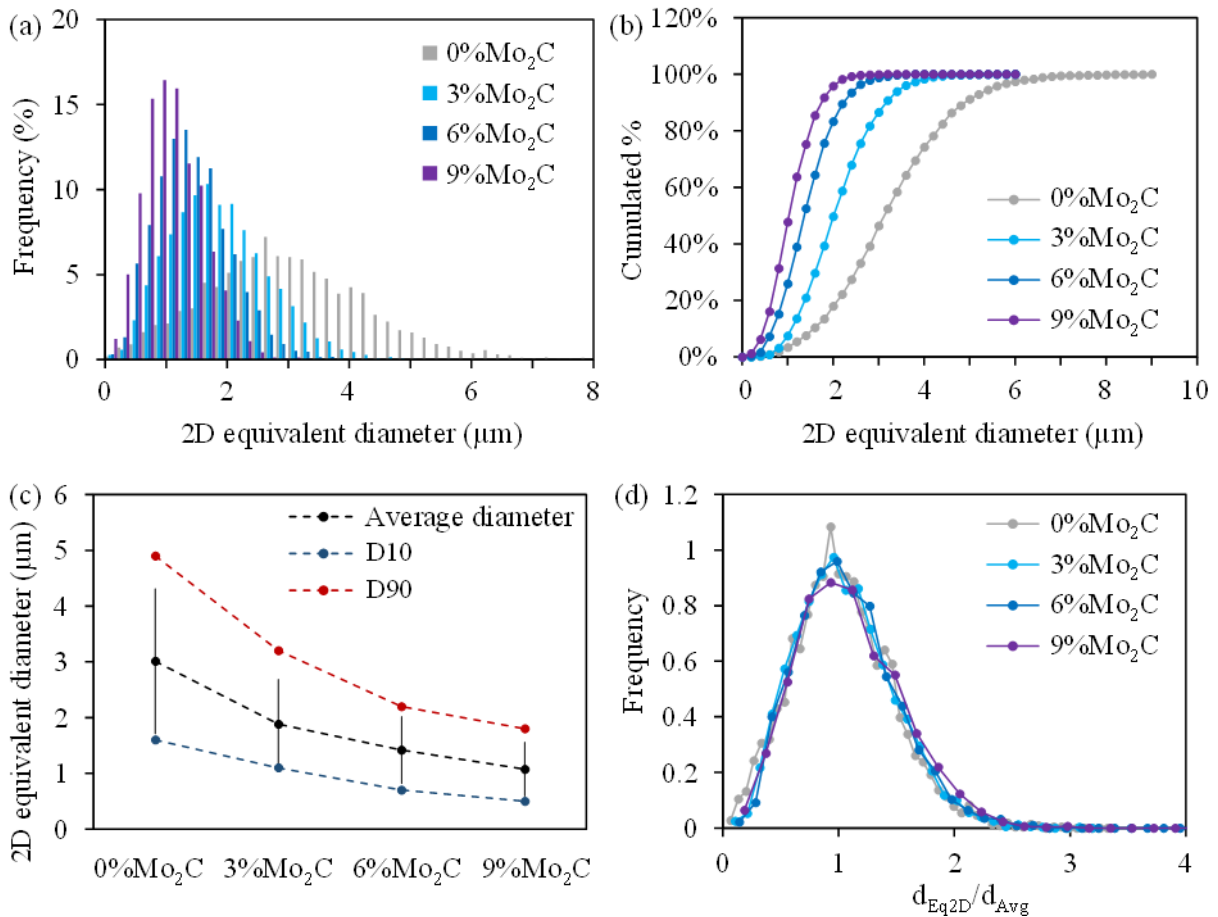
**Figure V-5** XRD study of NbC-12vol%Ni-0.5vol%WC compacts with different Mo<sub>2</sub>C contents sintered at 1450°C in vacuum, (a) XRD pattern showing a NbC phase and a Ni phase, (b) Lattice parameters evolution of both phases as a function of the Mo<sub>2</sub>C content.

A grain size reduction induced by the secondary carbide addition is also clearly visible on Figure V-4. The different parameters of grain size quantification from EBSD maps are presented in Figure V-6 and in

Table V-2.

Without Mo<sub>2</sub>C, the average grain size is 3.0  $\mu\text{m}$  with a dispersion of 1.3  $\mu\text{m}$ . As Mo<sub>2</sub>C is added, the grain size distribution is narrowed as visible on Figure V-6 (a). The average grain size decreases to 1.1  $\mu\text{m}$  for 9% Mo<sub>2</sub>C, with a dispersion of only 0.5  $\mu\text{m}$ . The addition of 3% Mo<sub>2</sub>C leads to an approximate global grain size reduction of 35%. The same reduction of 35% is again observed when comparing 3% Mo<sub>2</sub>C and 6% Mo<sub>2</sub>C. It indicates that the inhibition of grain growth is linearly related to the quantity of secondary carbide added. However, only a 25% reduction is observed when comparing 9% Mo<sub>2</sub>C to 6% Mo<sub>2</sub>C, indicating that the overall inhibiting effect of Mo<sub>2</sub>C starts diminishing when adding more than 6% Mo<sub>2</sub>C.

Figure V-6 (d) presents the normalized distribution with respect to the reduced diameter. Mo<sub>2</sub>C inhibits grain growth without changing the shape of the reduced grain size distribution. The ratio  $\sigma/\bar{d}$  remains more or less constant, confirming that the microstructures for different Mo<sub>2</sub>C contents are homothetic.

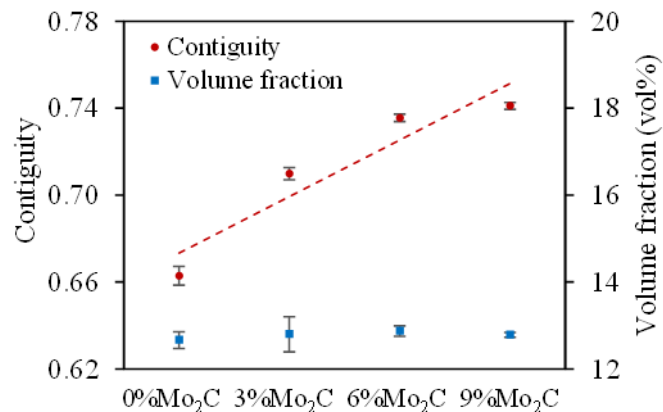


**Figure V-6 NbC grain size analysis from EBSD measurements on NbC-12vol%Ni-0.5vol%WC samples with different Mo<sub>2</sub>C contents sintered at 1450°C in vacuum, (a) Relative frequency of equivalent 2D diameter ( $\mu\text{m}$ ), (b) Cumulated frequency of equivalent 2D diameter ( $\mu\text{m}$ ), (c) Average diameter, D10 and D90 values ( $\mu\text{m}$ ), (d) Density of distribution of the reduced grain size (ratio of the 2D equivalent diameter on the average 2D equivalent diameter).**

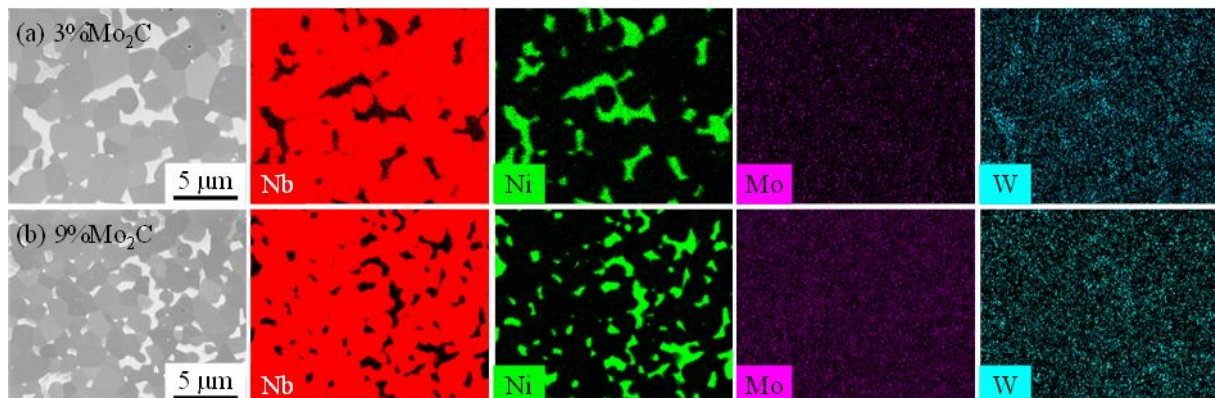
**Table V-2 Key parameters resulting from the EBSD quantitative study of NbC-12vol%Ni-0.5vol%WC compacts with different Mo<sub>2</sub>C contents sintered at 1450°C in vacuum.**

Composition	Average diameter $\bar{d}$ ( $\mu\text{m}$ )	Standard deviation $\sigma$ ( $\mu\text{m}$ )	$\sigma/\bar{d}$	d10 ( $\mu\text{m}$ )	d90 ( $\mu\text{m}$ )
0%Mo <sub>2</sub> C	3.0	1.3	0.43	1.6	4.9
3%Mo <sub>2</sub> C	1.9	0.8	0.42	1.1	3.2
6%Mo <sub>2</sub> C	1.4	0.6	0.43	0.7	2.2
9%Mo <sub>2</sub> C	1.1	0.5	0.45	0.5	1.8

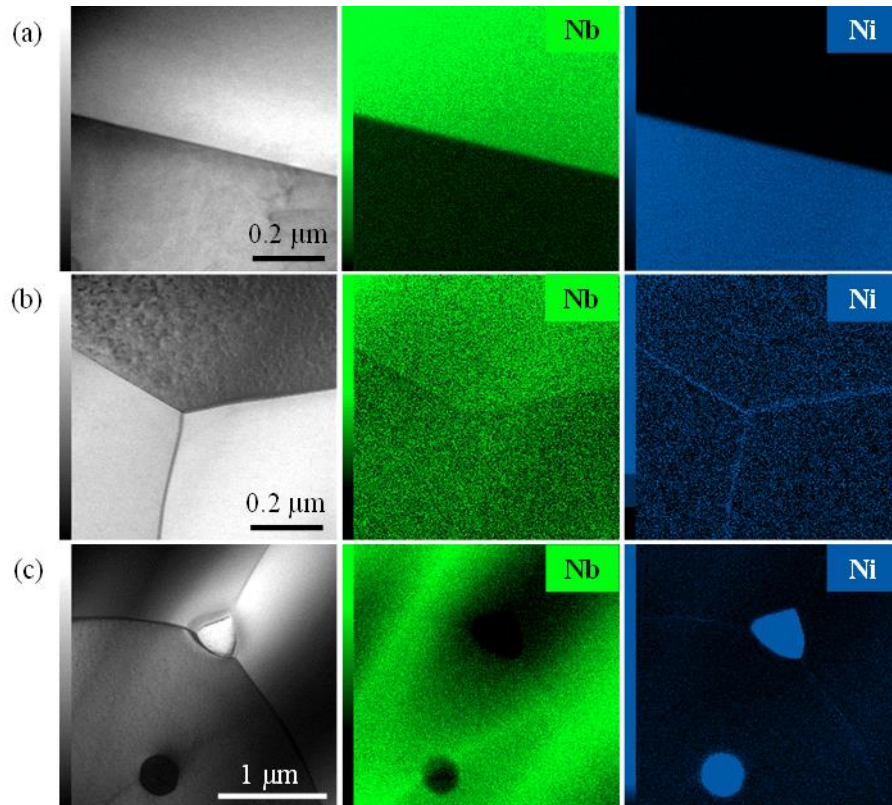
The contiguity and binder volume fraction were measured from EBSD maps and are presented in Figure V-7. When adding Mo<sub>2</sub>C, the contiguity increases. The binder volume fraction does not vary, indicating that the nickel evaporation identified in chapter III is more or less the same no matter the composition.

**Figure V-7 Contiguity and binder volume fraction of NbC-12vol%Ni-0.5vol%WC samples with different Mo<sub>2</sub>C contents measured from EBSD images.**

A chemical mapping was performed by SEM-EDS on samples with different Mo<sub>2</sub>C contents as presented in Figure V-8. The niobium is mostly found in the carbides and nickel is mostly found in the binder. Molybdenum is evenly distributed between the two phases. Tungsten is found in both phases, but seems to preferentially go into the binder phase. To better characterize the mutual solubility, TEM-EDS was performed on (NbC-6%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni, as presented in Figure V-9.

**Figure V-8 EDS mapping of NbC-12vol%Ni-0.5vol%WC compacts with different Mo<sub>2</sub>C contents sintered at 1450°C in vacuum, (a) 3%Mo<sub>2</sub>C, (b) 9%Mo<sub>2</sub>C.**



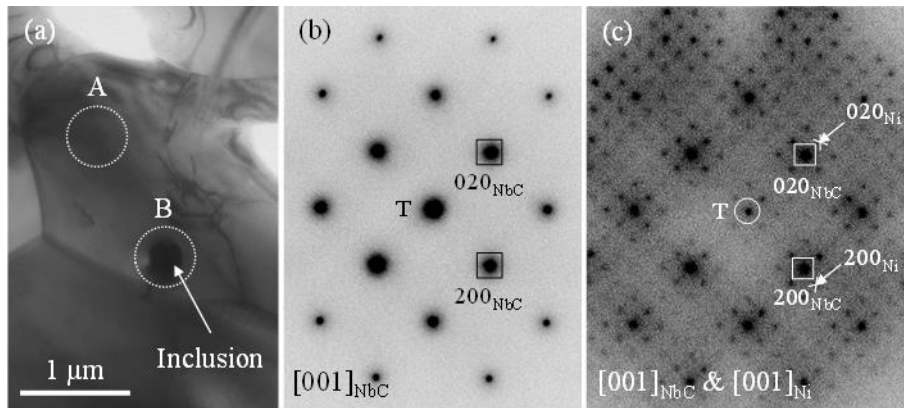


**Figure V-9 TEM image and corresponding 200 kV EDS maps of NbC-0.5vol%WC-12vol%Ni with 6%Mo<sub>2</sub>C sample sintered at 1450°C in vacuum, (a) Carbide-binder interface, (b) Triple grain boundary junction, (c) Intra-granular defect & binder inclusion at triple grain boundary junction.**

First, looking at a carbide-binder interface, niobium is found in small quantity inside the binder, whereas there is no nickel detected inside the carbide grains. Niobium has a solubility of 7 wt% in nickel [29], which explains this slight Nb signal in the binder.

Then, a segregation of nickel is detected at carbide/carbide grain boundaries, as visible on Figure V-9 (b). The segregation width was estimated to be close to 0.2 nm (1 monolayer) using a method developed previously for WC cemented carbides [80].

An intra-granular inclusion was also analyzed, confirming a binder entrapment. The diffraction pattern of such an inclusion is presented in Figure V-10. This inclusion presents a cube/cube orientation associated with a parametric misfit of 22% with the surrounding grain.

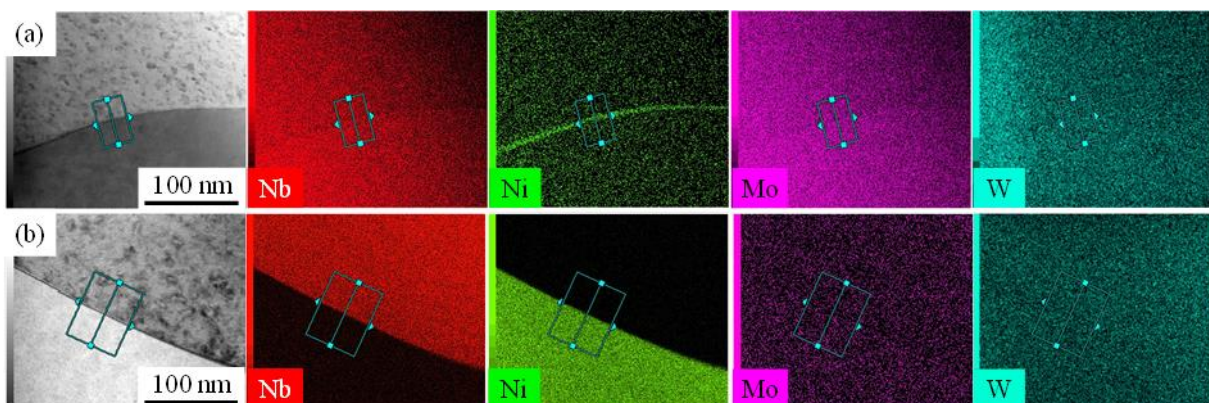


**Figure V-10 300 kV TEM image of (NbC-6%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum, (a) TEM image of the area of analysis, (b) Electron diffraction pattern of cubic NbC in region A viewed along [001], (c) Diffraction pattern of NbC in region B with Ni inclusion depicting a cube-cube relation. The additional reflections are due to double diffraction.**

### V.C.2 Grain boundary and phase boundary analysis

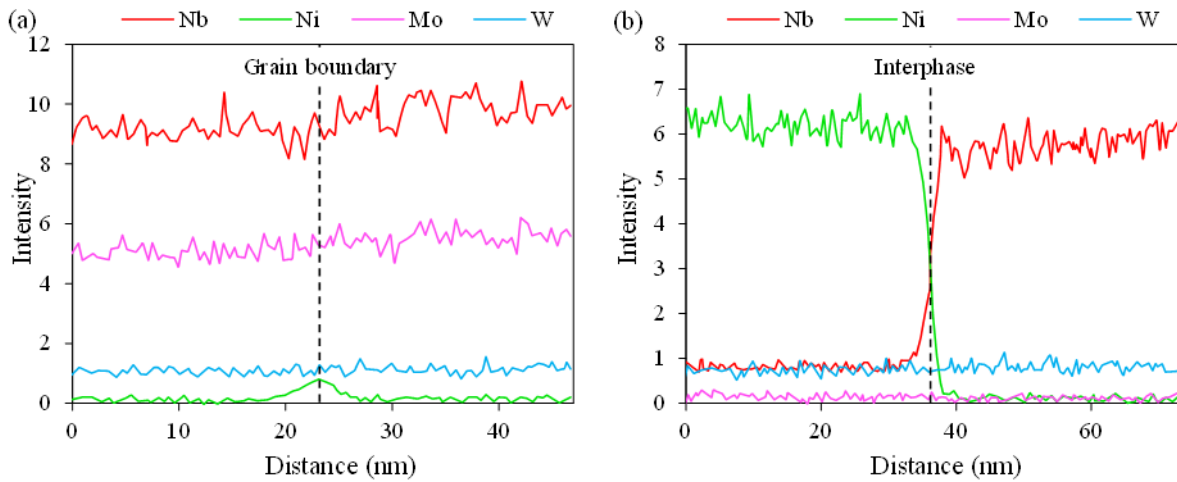
The mechanism by which Mo<sub>2</sub>C addition reduces grain growth is yet to be identified. A study at a smaller scale has been performed in order to determine how Mo might impact the microstructure once Mo<sub>2</sub>C has dissolved.

A TEM-EDS composition analysis has been performed in order to identify possible segregations of molybdenum on 9%Mo<sub>2</sub>C sample at grain boundaries or phase boundaries. First, a global mapping of the chemical elements at a grain boundary or at a carbide-binder interface are presented in Figure V-11. Composition profiles were drawn on these maps and are presented in Figure V-12. As previously observed, a film of nickel is found at grain boundaries. Mo is found both in the carbides and in the binder. On these maps, there is no clear evidence of finding more W in the binder. This element seems to be equally distributed in the binder and in the carbides. There are no signs of segregations of Mo or W at grain boundaries or interfaces.



**Figure V-11 TEM-EDS mapping of NbC-12vol%Ni-0.5vol%WC compacts with 9%Mo<sub>2</sub>C sintered at 1450°C in vacuum, (a) Grain boundary, (b) Carbide-binder interface.**

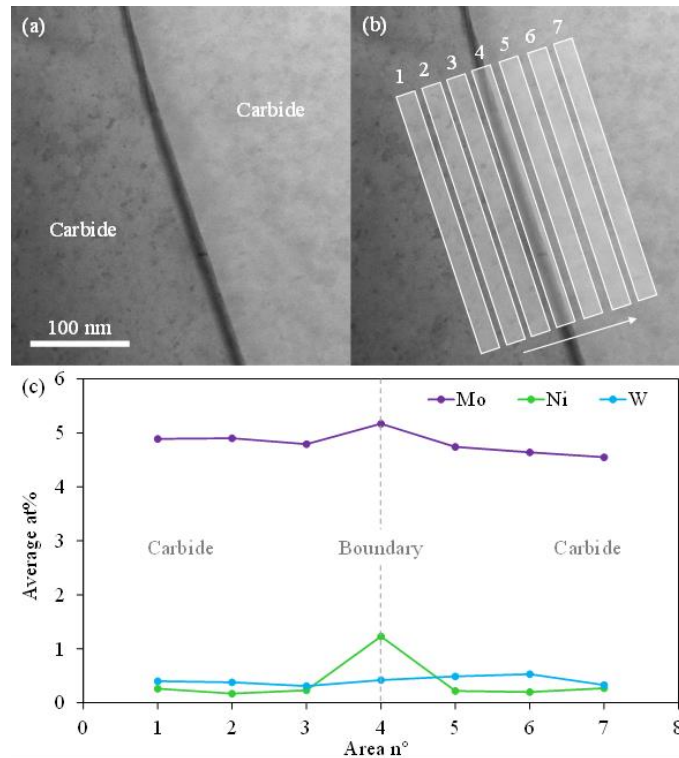




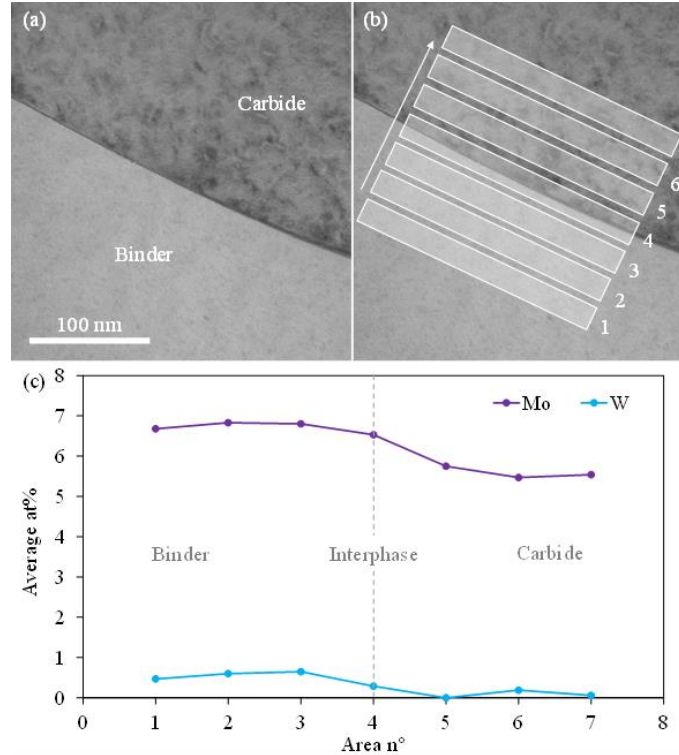
**Figure V-12 Chemical composition profile performed on the Figure V-11 maps, (a) Grain boundary, (b) Carbide-binder interface.**

However, the previous results were obtained from small volumes, with a rather weak signal intensity for W and Mo. To get an overview of the composition of the interfacial areas compared to the bulk, the overall signal of volumes parallel to the interface presented in Figure V-13 (b) and Figure V-14 (b) was measured. The resulting average composition in each area is presented in Figure V-13 (c) for a grain boundary and in Figure V-14 (c) for a carbide-binder interface.

A slight increase of the Mo concentration can be observed at the observed grain boundary. However, this observation could not be systematically verified for other grain boundaries. Regarding the binder-carbide interfaces, it is observed that tungsten is preferentially found in the binder rather than in the carbides. Molybdenum is found in both phases, but in larger amount in the binder.



**Figure V-13** TEM-EDS analysis at a grain boundary in a NbC-12vol%Ni-0.5vol%WC with 9%Mo<sub>2</sub>C sample sintered at 1450°C in vacuum, (a) SEM image, (b) Approximate areas of measurement of the average compositions (width ≈ 20nm), (c) Average compositions of Mo, Ni and W (at%).



**Figure V-14** TEM-EDS analysis at a carbide-binder interphase in a NbC-12vol%Ni-0.5vol%WC with 9%Mo<sub>2</sub>C sample sintered at 1450°C in vacuum, (a) SEM image, (b) Approximate areas of measurement of the average compositions (width ≈ 20nm), (c) Average compositions of Mo and W (at%).

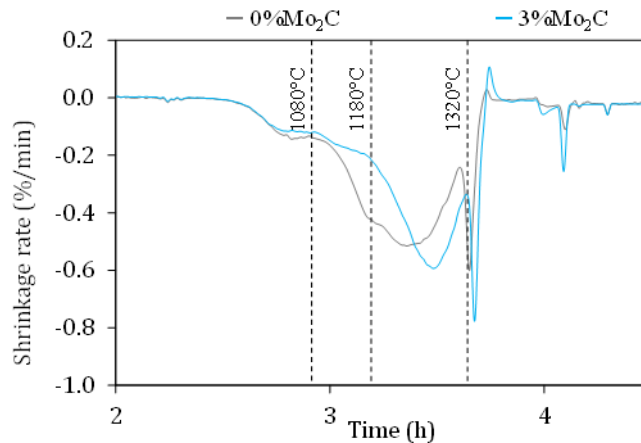
The binder composition measured by TEM-EDS for samples with 6% or 9% Mo<sub>2</sub>C additions is presented in Table V-3. The niobium and molybdenum are not distinguished as the L $\alpha$  signal is used to maximize the collected data (see part II.C.3.c). The solute content of the binder increases when adding more Mo<sub>2</sub>C to the composition.

**Table V-3 Binder composition measured by TEM-EDS for samples with 6% or 9% Mo<sub>2</sub>C additions**

Composition	Ni (at%)	W (at%)	Mo + Nb (at%)
6%Mo <sub>2</sub> C	87,6	0.5	11.9
9%Mo <sub>2</sub> C	83,1	0.8	16.0

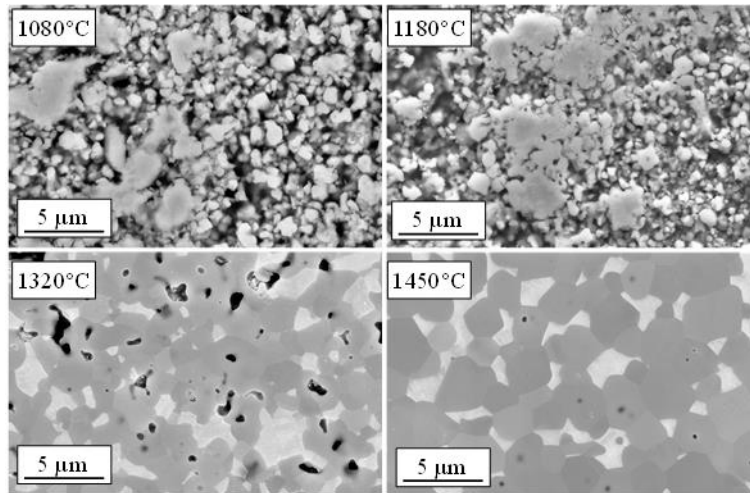
### V.C.3 Temperature dependent microstructural evolution

To understand the sintering behavior observed previously by dilatometry, (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC samples were sintered at different temperatures. First, at 1080°C and 1180°C, corresponding to the beginning of solid state sintering respectively without and with Mo<sub>2</sub>C additions. Then, at 1320°C, which corresponds to the intermediary step between solid state and liquid phase sintering with Mo<sub>2</sub>C. Figure V-15 presents the chosen temperatures on the previously presented shrinkage rate curve for 0 and 3%Mo<sub>2</sub>C contents.



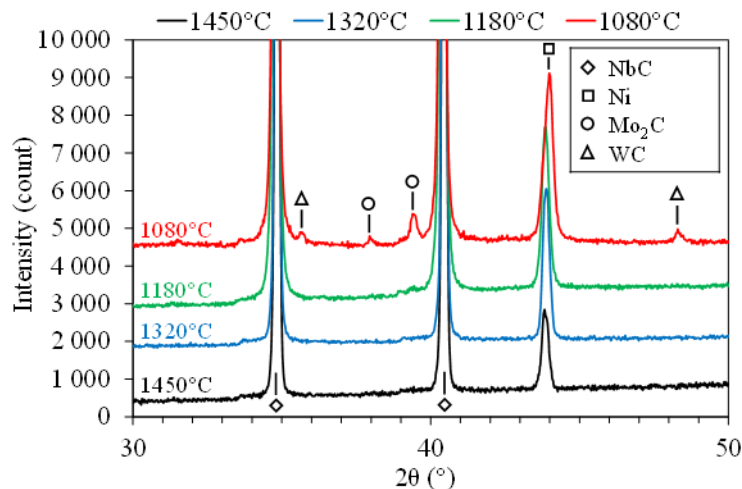
**Figure V-15 Shrinkage rate curve of NbC-12vol%Ni-0.5vol%WC with 0%Mo<sub>2</sub>C or 3%Mo<sub>2</sub>C sintered in vacuum at 1450°C.**

Figure V-16 presents the corresponding microstructures. On the 1080°C and 1180°C samples, agglomerates of nickel binder and small carbide grains of no more than 1  $\mu\text{m}$  are visible, similarly to what was observed on powder particles in part II. On the 1320°C sample, the nickel phase seems to have spread, filling porosities, and carbide grain have grown. However, porosities remain as the binder has not yet melted. Reaching 1450°C, most porosities have been removed except for some intra-granular remaining pores. The binder phase has therefore efficiently spread into the remaining porosities following its melting. The carbide grains have also further grown.



**Figure V-16 Microstructure of NbC-12vol%Ni-0.5vol%WC with 3%Mo<sub>2</sub>C compacts sintered in vacuum at different temperatures.**

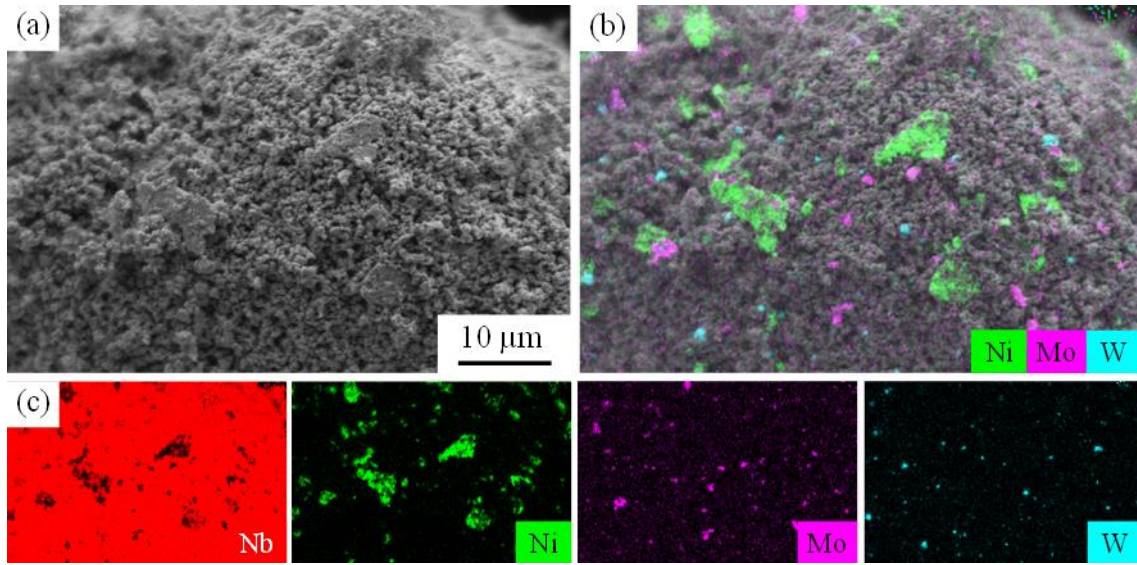
In order to determine how molybdenum affects sintering, an XRD analysis and EDS chemical mapping have been performed. First, Figure V-17 presents the XRD diffraction patterns for each temperature. For a sintering at 1080°C, all the individual components (NbC, Ni, Mo<sub>2</sub>C, WC) of the initial powder mixture are still identified. For a sintering at 1180°C, a very small peak of Mo<sub>2</sub>C can still be identified but there is no more WC peak, indicating the dissolution into the NbC and Ni phases of the majority of these carbides between 1080°C and 1180°C. For a sintering at 1320°C or 1450°C, only the NbC and Ni phases are detected.



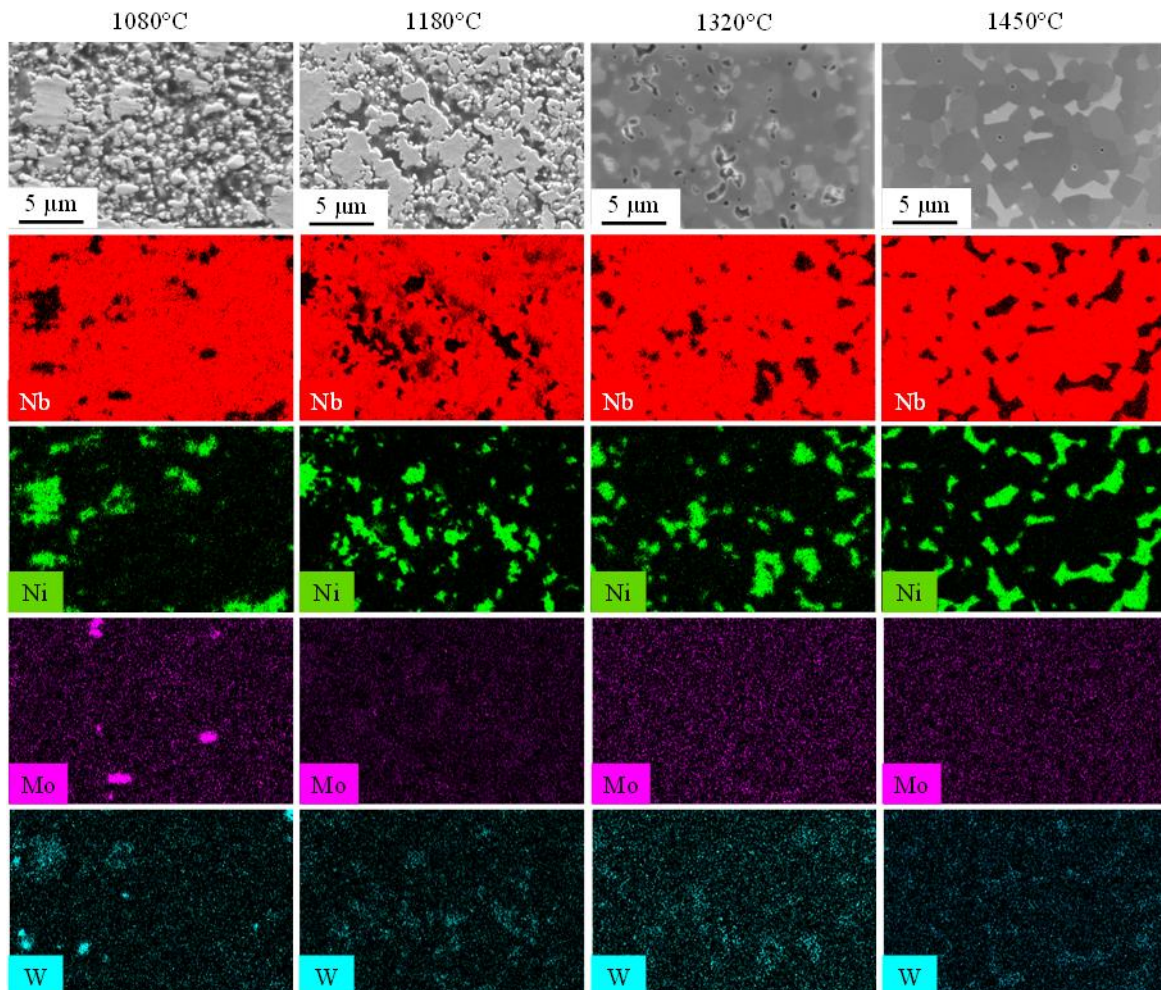
**Figure V-17 XRD pattern of NbC-12vol%Ni-0.5vol%WC with 3%Mo<sub>2</sub>C samples sintered at different temperatures.**

The chemical analysis mapping (EDS) of the initial powder mix for the 3%Mo<sub>2</sub>C composition is presented in Figure V-18. Agglomerates of nickel binder and carbides of a few μm are visible, and niobium carbide, molybdenum carbide and tungsten carbides are clearly identifiable, well disseminated in the microstructure. Figure V-19 presents the EDS mapping of 3%Mo<sub>2</sub>C samples sintered at 1080°C, 1180°C, 1320°C and 1450°C in vacuum. On the samples sintered at 1180°C or higher, WC and Mo<sub>2</sub>C are no longer detected by EDS, confirming that these carbides have dissolved into the microstructure, as indicated by the XRD diffraction patterns. For those samples, molybdenum seems to have diffused equally in the niobium carbides and in the nickel binder, while tungsten is found in higher quantity in the nickel binder.



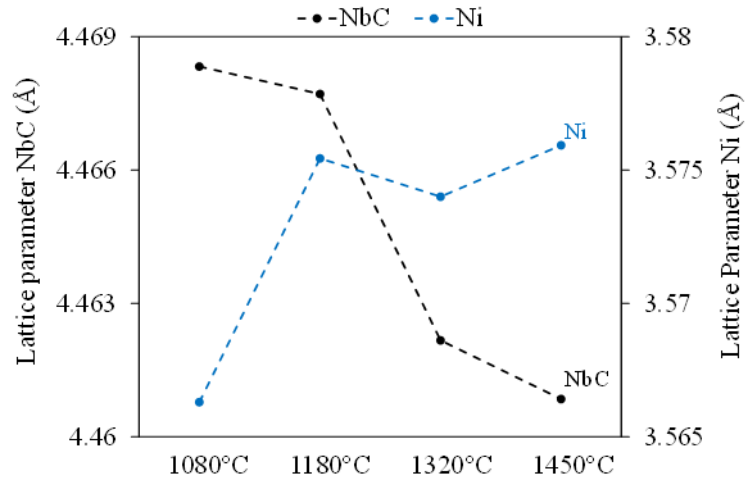


**Figure V-18** SEM images and EDS mapping of NbC-12vol%Ni-0.5vol%WC with 3%Mo<sub>2</sub>C powder mix with pressing agents, (a) Secondary electron SEM image, (b) EDS map of Ni, Mo and W superimposed on SEM image, (c) EDS map of Nb, Ni, Mo and W.



**Figure V-19** EDS mapping of NbC-12vol%Ni-0.5vol%WC samples with 3%Mo<sub>2</sub>C sintered at different temperature in vacuum.

Figure V-20 presents the lattice parameters of the NbC and Ni phases as a function of the sintering temperature. The lattice parameter of the NbC-rich phase decreases gradually from 1080°C to 1450°C. The lattice parameter of the Ni-rich phase increases considerably from 1080°C to 1180°C, then remains more or less constant above 1180°C.



**Figure V-20 Lattice parameters of the NbC-rich and Ni-rich phases of NbC-12vol%Ni-0.5vol%WC with 3%Mo<sub>2</sub>C samples sintered at different temperatures.**

## V.D Mechanical properties

All samples from this mechanical study were sintered with the industrial thermal cycle. The hardness HV<sub>30</sub> and toughness K<sub>Ic</sub> measured from Vickers indents performed at 30 kgf (294 N) is presented in Figure V-21. The toughness could not be measured for the 0%Mo<sub>2</sub>C sample as the applied load did not allow a crack formation at the edges of the indent because of the large grain size ( $\bar{d} = 3 \mu\text{m}$ ) of this composition. The hardness increases and the toughness decreases when adding Mo<sub>2</sub>C.

Examples of crack propagation patterns obtained for the 3%Mo<sub>2</sub>C and 9%Mo<sub>2</sub>C are presented in Figure V-22. In both cases, the propagation of the fracture is preferentially in the binder phase and along the NbC grain boundaries.

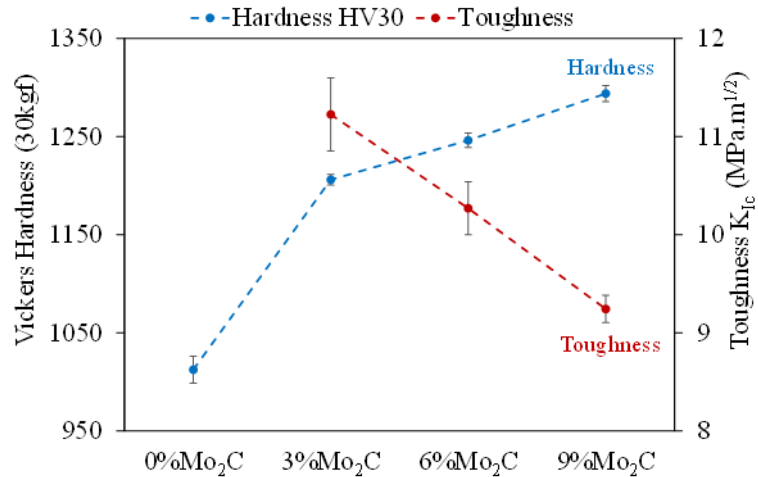


Figure V-21 Hardness and toughness of NbC-12vol%Ni-0.5vol%WC samples with different amount of Mo<sub>2</sub>C sintered at 1450°C in vacuum.

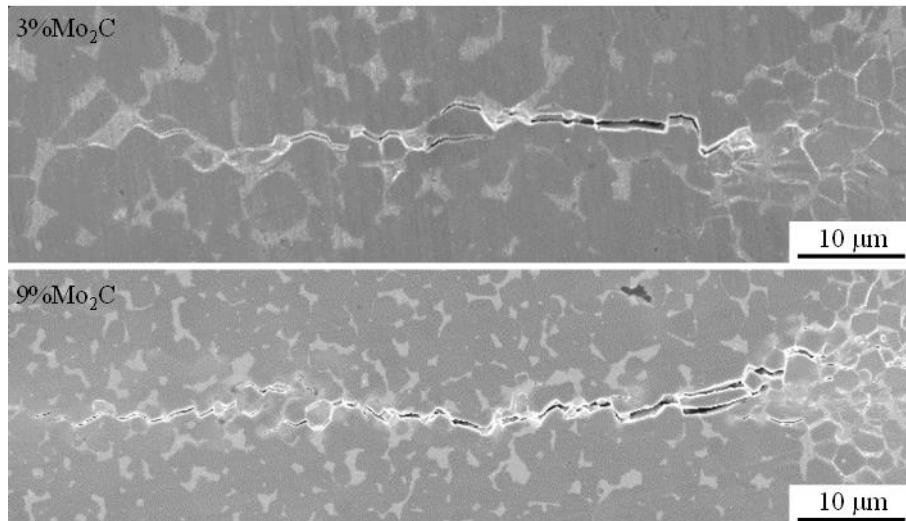


Figure V-22 Cracks formed at the edges of 30 kgf (294 N)Vickers indentations for NbC-12vol%Ni-0.5vol%WC samples with different amount of Mo<sub>2</sub>C sintered at 1450°C in vacuum.

## V.E Discussion

This part aimed to investigate the effect of a secondary carbide addition, Mo<sub>2</sub>C, to a NbC-12vol%Ni-0.5vol%WC material on sintering and on the resulting microstructures.

In comparison with chapter IV, a new peak A<sub>3</sub> was identified on the mass loss rate curve. The oxide reduction associated to this peak may be related to the late reduction of a stable oxide during formation of the (Nb,Mo)C mixed carbides which occurs around 1200°C (see § V.C.3). The global mass loss associated to oxide reduction and to nickel evaporation are about 0.9 wt% and 0.4 wt% respectively as observed in chapter IV and these amounts are not affected by Mo<sub>2</sub>C additions. If the reduction product were CO gas, then 0.4wt% of the sample mass loss would be carbon. The carbon content measured by LECO on the final sintered materials is little affected by Mo<sub>2</sub>C additions and is about 0.6-0.7 wt% lower

than the initial recalculated nominal compositions. Therefore, Mo<sub>2</sub>C additions do not impact the carbon loss. However, the LECO measurements still underestimate by 0.2-0.3 wt% the carbon content compared to the values deduced from TGA analyses.

During sintering, the composition of both the carbide and the binder phase evolves, although only these two phases are detected no matter the Mo<sub>2</sub>C content after sintering. First, a small quantity of NbC is detected in the binder, which is consistent with its solubility in nickel (7.0 wt% [29]). On the contrary, no nickel is detected in the carbides. Molybdenum is found in both the carbides and the binder as expected from the solubility of Mo<sub>2</sub>C in both phases (36 wt% in nickel [29] and 60 mol% in NbC [60]). Tungsten is mostly found in the binder because of the good solubility of WC in nickel (27 wt% [29]). Mo<sub>2</sub>C and WC dissolve during the first step of solid state sintering, between 1080°C and 1180°C, with the heating rate of 6°C/min. Increasing the heating rate would probably lead to a higher dissolution temperature range. The formation of mixed carbides with Mo and W is sometimes reported to have a positive effect on densification as it should increase the affinity for the binder and then improve the wettability between the carbide and the binder phase [58]. This better affinity is indeed confirmed by the higher solubility of metallic elements (Nb + Mo + W) in the binder identified by TEM analyses. However, the contiguity increases when adding Mo<sub>2</sub>C, which indicates an increase of the interfacial energy with respect to the grain boundary energy. As detailed in Appendix 8, the addition of a third element like Mo with a good affinity with liquid nickel to a pseudo binary system NbC-Ni could increase the interfacial energy, essentially because the number of Mo-Ni bonds at the interfaces would be lower than the number of Mo-Ni bonds in the liquid phase, for solubility reasons, thus making the impact of Mo on the interfaces less significant. Therefore, it is difficult to conclude on the link between the atomic affinities and the measured contiguity when considering a pseudo ternary system like NbC-Mo-Ni.

The lattice parameters of the carbide and binder phase evolve accordingly with the dissolution of Mo<sub>2</sub>C and WC. When Mo<sub>2</sub>C dissolves in both phases, the lattice parameter of the niobium-rich carbide phase decreases, while the lattice parameter of the nickel-rich phase increases. It can be explained by a difference of atomic radius. Indeed, the atomic radius of molybdenum (190 pm) is smaller than the atomic radius of niobium (198 pm) but bigger than the atomic radius of nickel (149 pm) [74]. When WC dissolves preferentially in the binder, it contributes to the increase of the binder lattice parameter as the atomic radius of tungsten is 193 pm [74]. This is confirmed by the evolution of these lattice parameters with the sintering temperature. Between 1080°C and 1180°C, the lattice parameter of the Ni-rich phase significantly increases and remains virtually constant above 1180°C. On the contrary, the lattice parameter of the NbC-rich phase decreases mainly above 1180°C. This delay can be explained by the slower diffusion kinetic of Mo and W in NbC than in Ni.

As it was previously observed (see part III & IV), the spreading of the binder and solid state sintering start when the Nb/Mo/W oxides are reduced. A delay of densification is however observed for samples with Mo<sub>2</sub>C additions. For those samples, solid state sintering significantly accelerates as soon as secondary carbides are dissolved. Mo<sub>2</sub>C inclusions may exert local tensile stresses on the surrounding NbC particles, which prevent shrinkage of the NbC skeleton undergoing solid state sintering [81]. Another explanation could be that the formation of mixed Nb/Mo secondary carbides leads to an increase of the interfacial energy and thus to a less favorable wetting of the carbides and to a less efficient solid state sintering. This would be consistent with the contiguity measurements discussed above. The apparent slight delay of liquid phase sintering could be a consequence of this, as solid state sintering is



not finished when the liquid forms. Liquid phase sintering is thereafter more active and densification is at the end almost complete.

A nickel segregation is visible at grain boundaries. Ni grain boundary segregation could have the same effect at NbC grain boundaries as Co segregation at WC grain boundaries. It could decrease their energy and prevent their complete infiltration during sintering [82].

Binder inclusions and holes are observed inside the grains. This is usually the sign of a bad carbide-binder wettability (see part I.C.1.c) and is unexpected as the NbC-Ni wettability is rather good (see Table I-2). The initial heterogeneity of the powder mixes, with Ni-rich agglomerates, could explain the formation of these pores/inclusions. A local lack of nickel during solid state sintering could lead to the coalescence of NbC clusters by grain boundary migration, entrapping pores or solid binder inclusions.

The grain size of the sintered material is significantly reduced when adding Mo<sub>2</sub>C. A slight molybdenum segregation was detected by TEM-EDS, which could indicate a grain growth inhibition by a decrease of either the grain boundary mobility or the grain boundary energy due to this segregation. However, the measured segregation is very low and not systematic in grain boundaries. The effect of Mo<sub>2</sub>C on grain growth will be further investigated in chapter VI.

When adding Mo<sub>2</sub>C, the hardness increases while the toughness decreases, which can be explained by the carbide grain size variations and by the higher solute content in the binder.



## VI. Grain growth in NbC-12vol%Ni – Effect of Mo<sub>2</sub>C and WC additions

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The objective of this part is to study the grain growth behavior of NbC-Ni materials in order to determine the mechanisms involved. The effect of secondary carbide additions will also be investigated. First, the different compositions studied and the applied sintering conditions will be presented. Then, the microstructure evolving with the dwelling time will be described. The grain size will be quantified and plotted as a function of time to estimate grain growth constants. The contiguity will also be followed in parallel with grain growth.

### VI.A. Studied compositions

---

In this part, the objective is to study grain growth in a NbC-Ni material. First, the reference material of this study, NbC-0.5vol%WC-12vol%Ni has been investigated. Then, to eliminate the possible influence of WC on grain growth, the NbC-12vol%Ni material has been studied. Finally, a composition with a 3%Mo<sub>2</sub>C addition was considered, in order to understand the effect of secondary carbides additions on grain growth.

All the samples were sintered in vacuum by heating at 6°C/min up to 1360°C, with different dwelling time, as presented in Table VI-1. The sample were not sintered at 1450°C, as in the other parts of this study, because it was observed that the shrinkage of the sample ends around 1350°C, no matter the composition. Therefore, the sintering temperature was reduced so that, with a dwelling time of 0h, the smallest grain size for a fully dense material is obtained.

**Table VI-1 Dwelling times used for each studied composition.**

Composition	Tested dwelling times			
	0h	1h	2h	4h
NbC-12vol%Ni	x	x	x	x
NbC-0.5vol%WC-12vol%Ni	x			x
(NbC-3%Mo <sub>2</sub> C)-0.5vol%WC-12vol%Ni	x	x	x	x

### VI.B Microstructure

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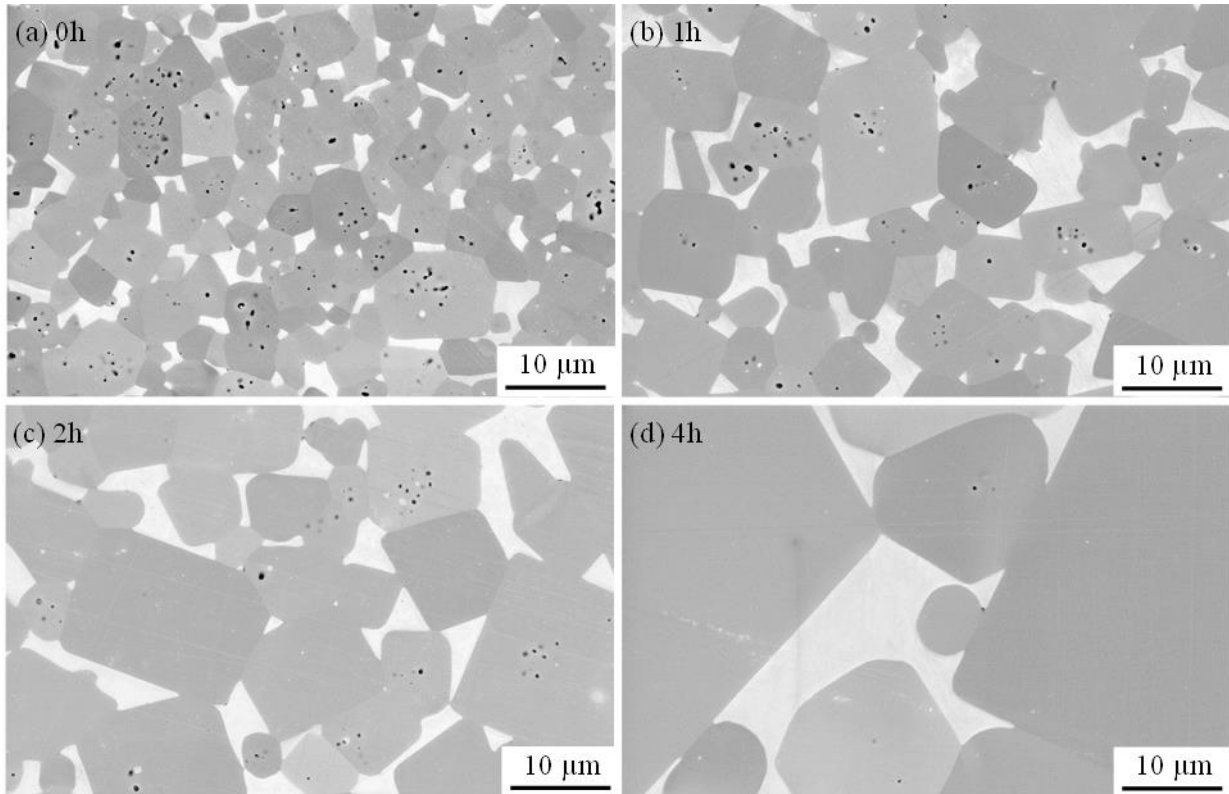
#### VI.B.1 NbC-12vol%Ni

Figure VI-1 presents the microstructures of NbC-12vol%Ni samples sintered at 1360°C with a dwelling time of 0h, 1h, 2h or 4h in vacuum. The grain size significantly increases with the dwelling time.

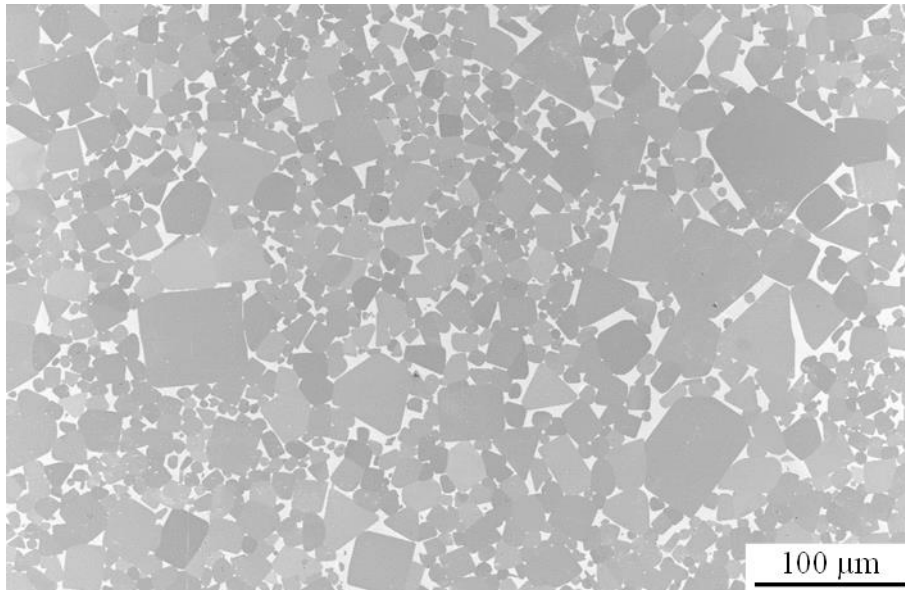
A significant intra-granular porosity is observed on the sample sintered without dwelling time, whereas there are almost no remaining porosities for the samples with a 4h dwelling time at 1360°C. As explained

in previous chapters, this porosity is probably due to grain boundary migration leading to a porosity or binder entrapment in grains in areas with a low binder content. When increasing the dwelling time, these porosities are removed by a diffusion process.

The grain shape is faceted with rounded edges with a more faceted aspect when increasing the dwelling time. Abnormally big grains seem to form with a long dwelling time. For a 4h dwelling time, grains bigger than 50µm in width are observed, as visible on Figure VI-2.



**Figure VI-1 Microstructure of NbC-12vol%Ni sintered at 1360°C in vacuum with a dwelling time of (a) 0h, (b) 1h, (c) 2h and (d) 4h.**

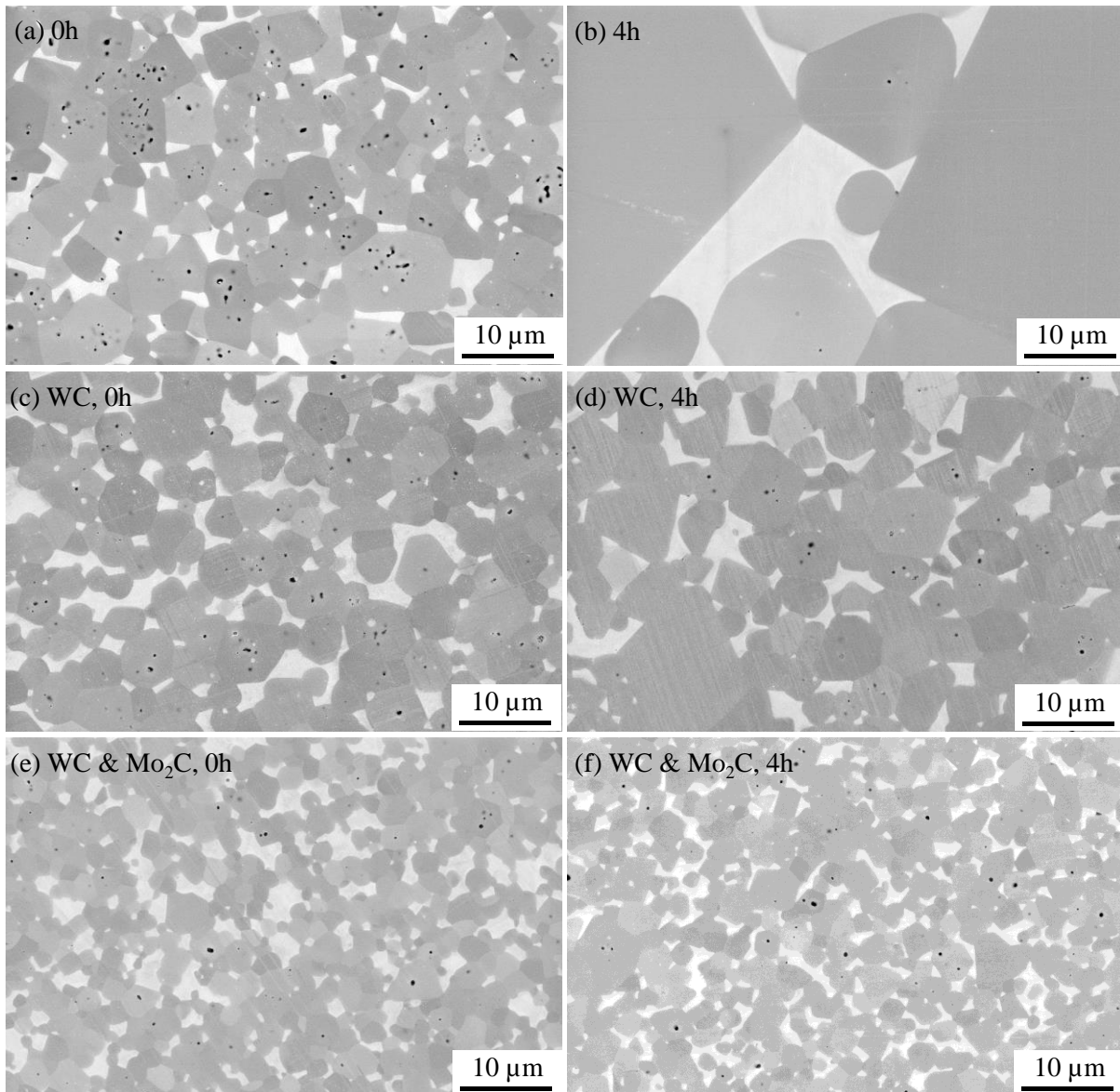


**Figure VI-2 Microstructure of NbC-12vol%Ni sintered at 1360°C in vacuum with a dwelling time of 4h, abnormally big grains are visible.**

#### VI.B.2 NbC-12vol%Ni with WC and Mo<sub>2</sub>C additions

The effect of 0.5vol% WC and 3% Mo<sub>2</sub>C additions on grain growth is presented in Figure VI-3. Without dwelling time, the grain size is comparable with or without WC. However, the intra-granular porosity is significantly reduced with the WC addition. For a dwelling time of 4h, the grain size is increased with WC, but much less than without WC. Therefore, the addition of WC inhibits grain growth.

When adding Mo<sub>2</sub>C, the grain size does not seem to increase much when increasing the time spent at high temperature. Therefore, the addition of Mo<sub>2</sub>C significantly slows the grain growth. On the microstructure without dwelling time, the intra-granular porosity is reduced compared to NbC-12vol%Ni and NbC-0.5vol% WC-12vol%Ni samples.



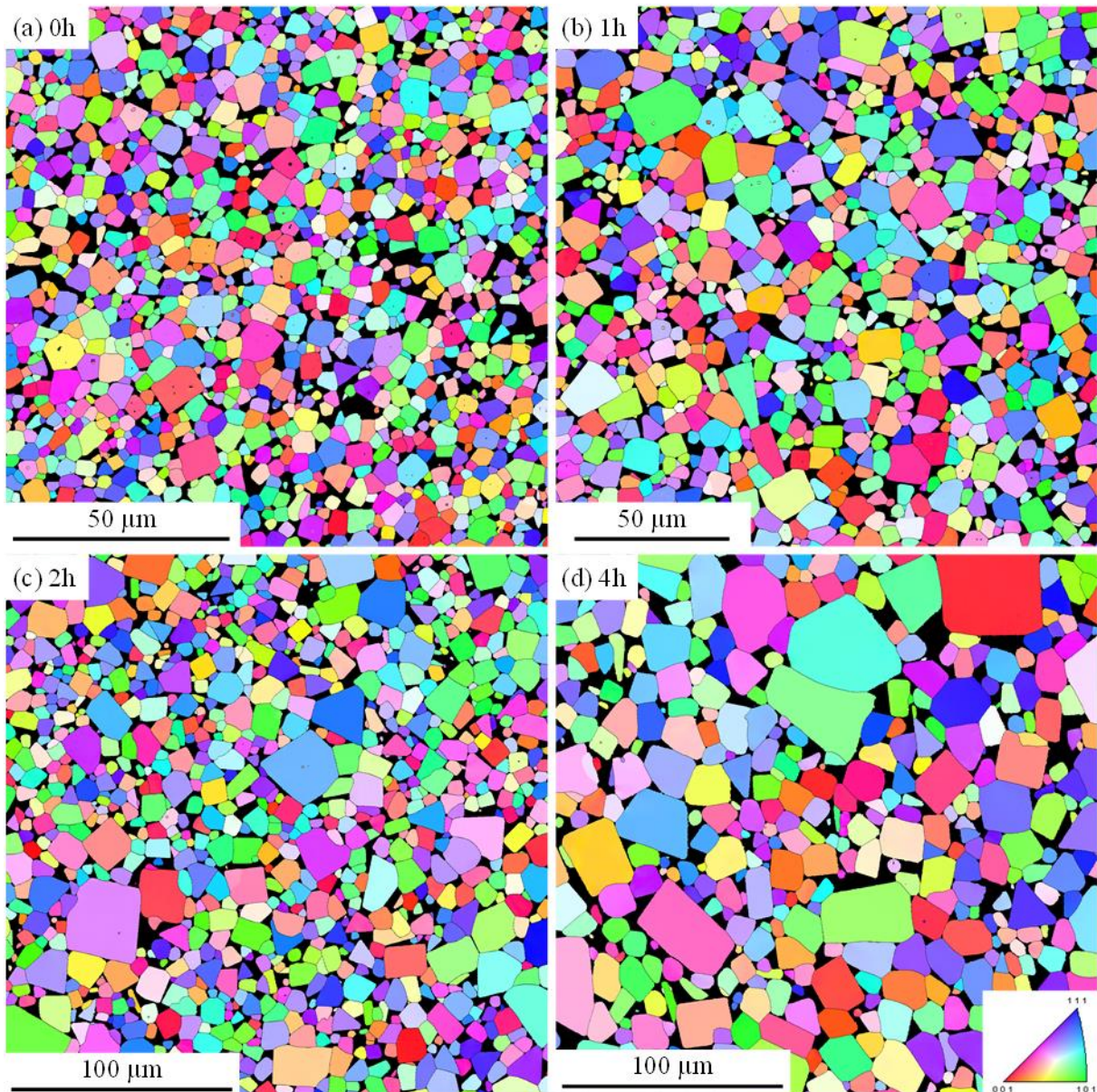
**Figure VI-3** Microstructure of NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C samples sintered at 1360°C in vacuum with a dwelling time of 0h and 4h.

## VI.C Evolution of grain size

### VI.C.1 NbC-12vol%Ni

EBSM measurements were performed on the samples in order to quantify the grain size. An example of map obtained for samples with a 0h, 1h, 2h or 4h dwelling time is presented in Figure VI-4.

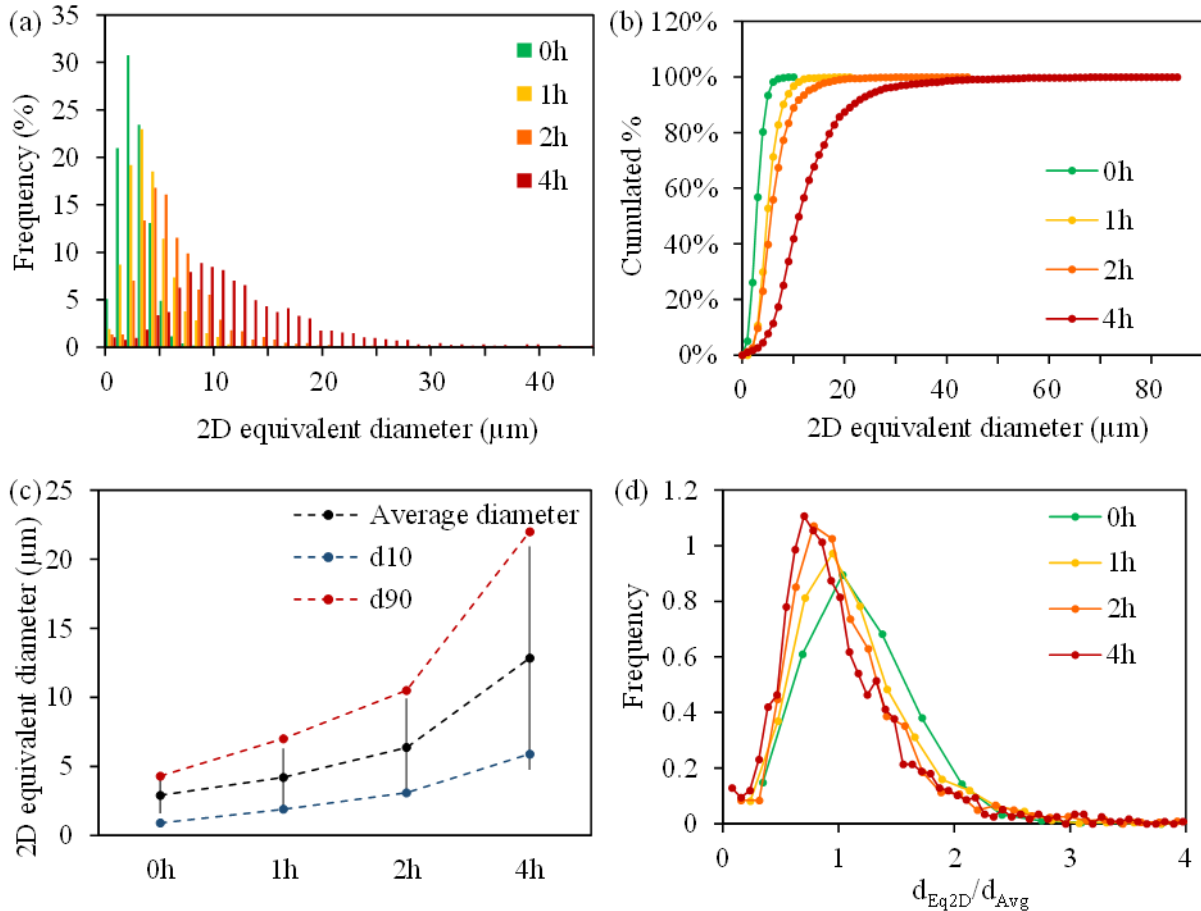




**Figure VI-4 Orientation images with grain boundaries of NbC-12vol%Ni samples sintered at 1360°C in vacuum with a dwelling time of 0h, 1h, 2h and 4h obtained by EBSD.**

The grain size quantification in 2D for NbC-12vol%Ni samples sintered at 1360°C with different dwelling times is presented in Figure VI-5 and the resulting parameters are presented in Table VI-2.

The grain size increases significantly with the dwelling time, from an average particle diameter of 2.9 μm for 0h to 12.8 μm for 4h. The reduced grain size distribution of Figure VI-5 (d) becomes broader, with a shift of the peak towards smaller size and an increase of the fraction of large grains with time. This is also shown by the ratio  $\sigma/\bar{d}$  which increases with the dwelling time. Therefore, grain growth cannot be qualified as normal and the microstructures do not evolve in a homothetic way.



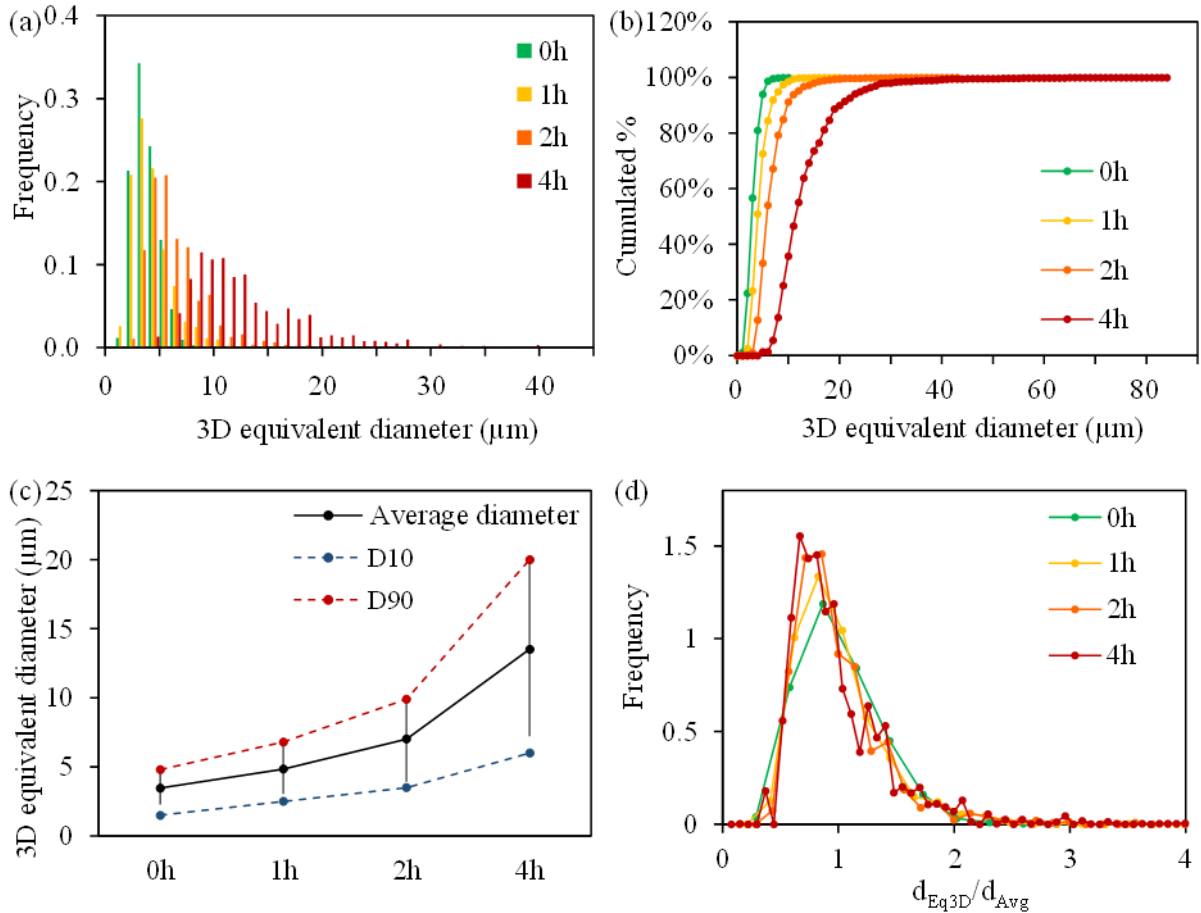
**Figure VI-5** NbC grain size analysis from EBSD measurements on NbC-12vol%Ni samples with different dwelling times sintered at 1360°C in vacuum, (a) Relative frequency of equivalent 2D diameter (μm), (b) Cumulated frequency of equivalent 2D diameter (μm), (c) Average diameter, D10 and D90 values (μm), (d) Density of distribution of the reduced grain size (ratio of the 2D equivalent diameter on the average 2D equivalent diameter).

**Table VI-2** Key parameters resulting from the EBSD quantitative study of NbC-12vol%Ni-compact sintered at 1360°C with a dwelling time of 0h, 1h, 2h or 4h in vacuum.

Dwelling time (h)	Average diameter $\bar{d}$ (μm)	Standard deviation $\sigma$ (μm)	$\sigma/\bar{d}$	d10 (μm)	d90 (μm)
0	2.9	1.3	0.45	0.9	4.3
1	4.2	2.1	0.50	1.6	7.0
2	6.4	3.6	0.56	3.1	10.5
4	12.8	8.0	0.63	5.9	22

The 3D grain size distribution was reconstructed with the method described in Appendix 5 and is presented in Figure VI-6 with the resulting parameters in Table VI-3. As for the 2D distributions, the grain size increases significantly with the dwelling time, from 3.5 μm for 0h to 13.5 μm for 4h in average. The reduced grain size distribution of Figure VI-6 (d) seems to become broader, with a shift of the peak towards smaller size and an increase of the fraction of large grains, as for the 2D distribution. However, this evolution is not as marked as for the 2D distribution. When selecting a 2D section of a 3D distribution, there is indeed a stronger chance to cut big grains than small grains, therefore enhancing the shift of the reduced grain size distribution. The ratio  $\sigma/\bar{d}$  also increases with the dwelling time. Therefore, grain growth cannot be qualified as normal and the microstructures do not evolve in a homothetic way.





**Figure VI-6 3D NbC grain size estimation from EBSD measurements on NbC-12vol%Ni samples with different dwelling time sintered at 1360°C in vacuum, (a) Relative frequency of equivalent 3D diameter (μm), (b) Cumulated frequency of equivalent 3D diameter (μm), (c) Average diameter, D10 and D90 values (μm), (d) Density of distribution of the reduced grain size (ratio of the 3D equivalent diameter on the average 3D equivalent diameter).**

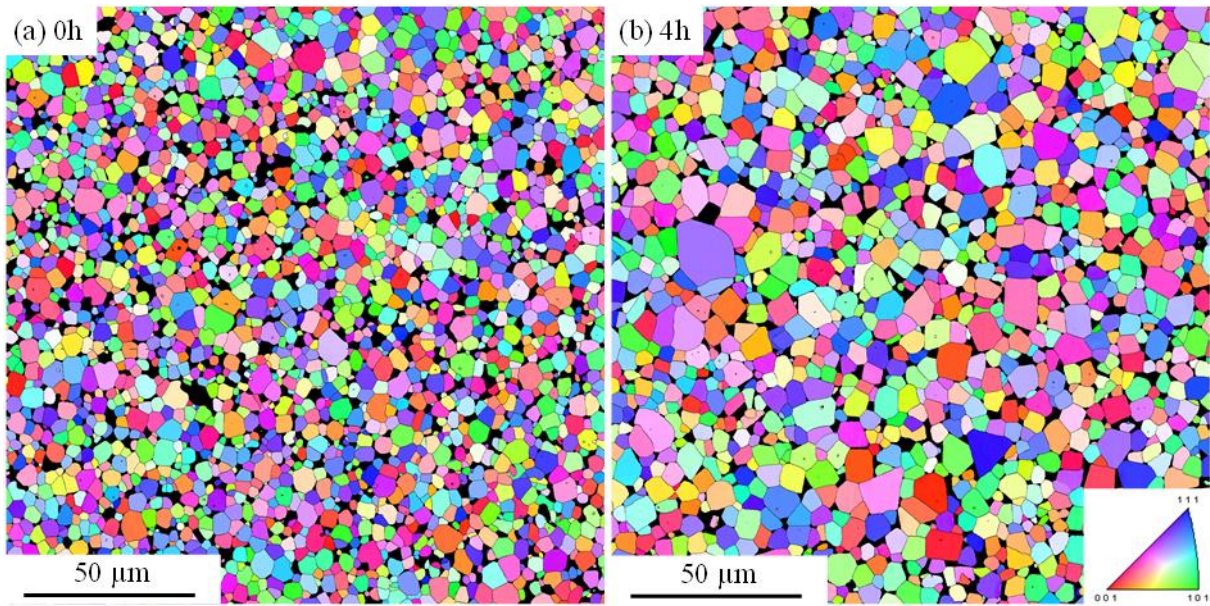
**Table VI-3 3D Key parameters resulting from the EBSD quantitative study of NbC-12vol%Ni-compacts sintered at 1360°C with a dwelling time of 0h, 1h, 2h or 4h in vacuum.**

Dwelling time (h)	Average diameter $\bar{d}$ (μm)	Standard deviation $\sigma$ (μm)	$\sigma/\bar{d}$	d10 (μm)	d90 (μm)
0	3.5	1.2	0.34	1.5	4.8
1	4.8	1.8	0.38	2.5	6.8
2	7.0	3.1	0.44	3.5	9.9
4	13.5	6.3	0.47	6.0	20

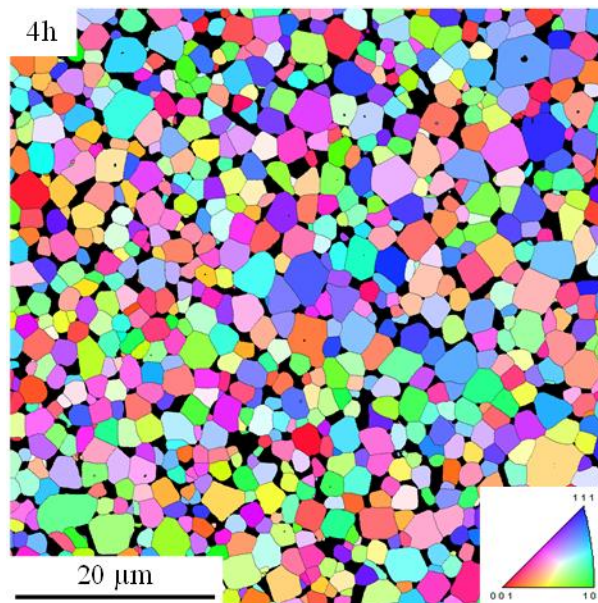
### VI.C.2 NbC-12vol%Ni with WC and Mo<sub>2</sub>C additions

The grain size distribution of NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C sintered at 1360°C with a dwelling time of 4h was quantified on EBSD maps (see Figure VI-7 and Figure VI-8) and the results are presented in Figure VI-9 and Table VI-4.

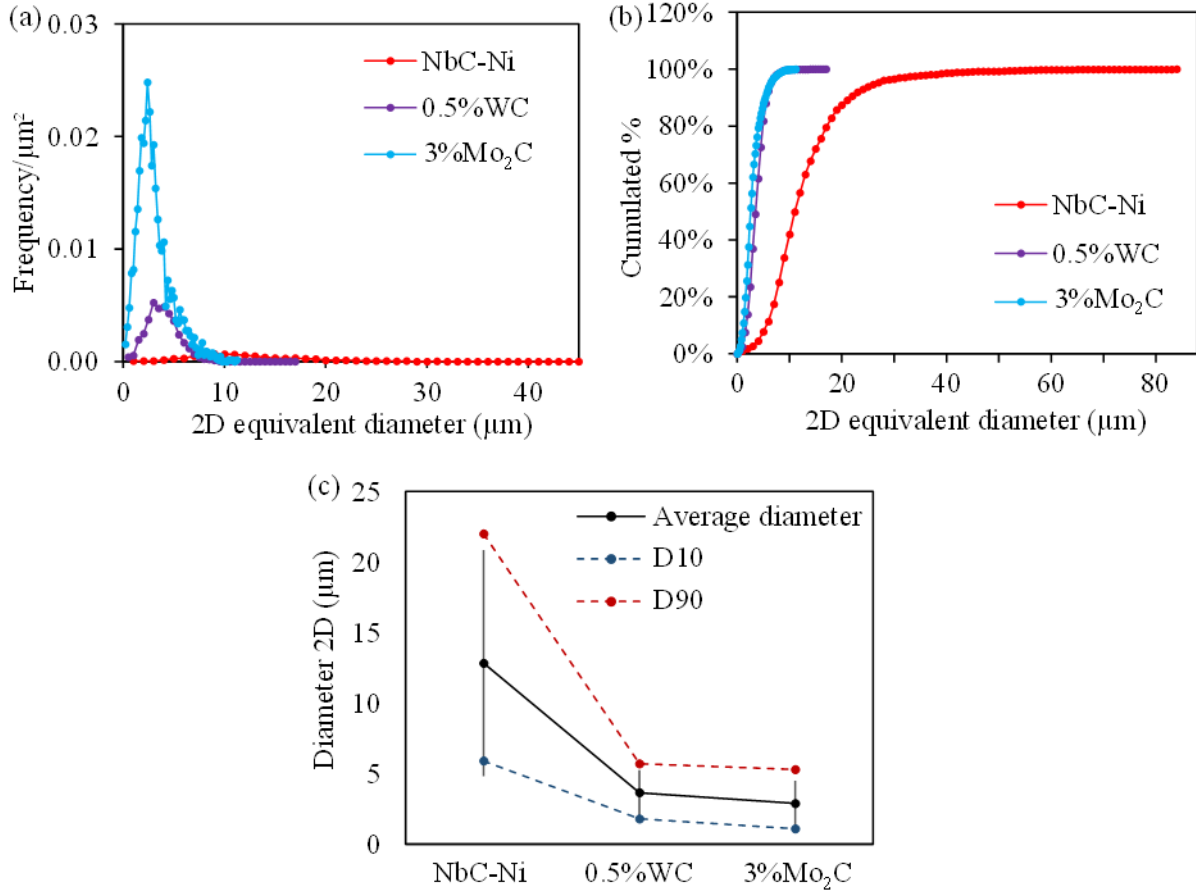
When adding a small quantity of WC, the grain growth is significantly inhibited, with a final average grain size of 3.7 μm instead of 12.8 μm. When adding 3%Mo<sub>2</sub>C to this composition, the final average grain size is further reduced to 2.9 μm. Therefore, a small addition of secondary carbide, such as 0.5%WC, can already significantly limit the grain growth.



**Figure VI-7** Orientation images with grain boundaries of NbC-0.5vol%WC-12vol%Ni samples sintered at 1360°C in vacuum with a dwelling time of 0h and 4h obtained by EBSD.



**Figure VI-8** Orientation images with grain boundaries of NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C samples sintered at 1360°C in vacuum with a dwelling time of 4h obtained by EBSD.

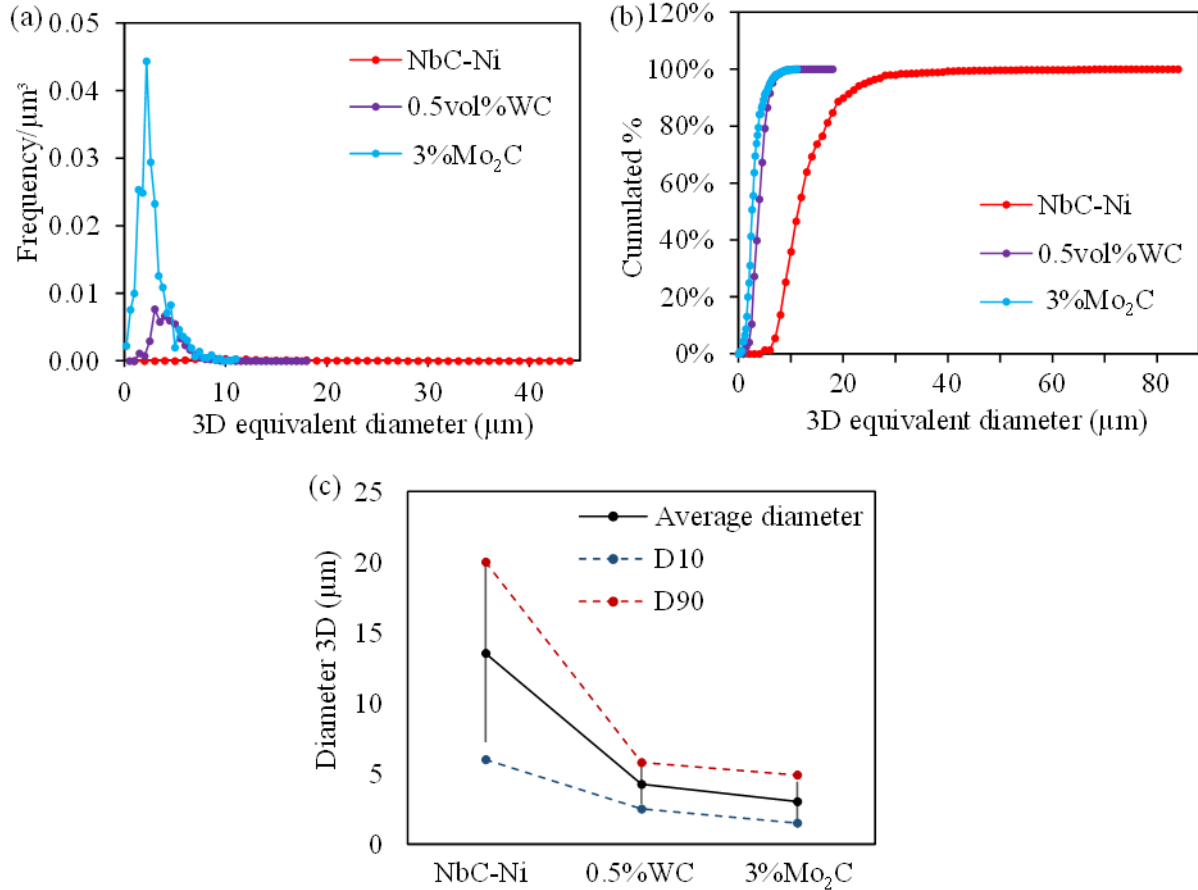


**Figure VI-9** NbC grain size distribution in NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C compacts sintered at 1360°C with a dwelling time of 4h in vacuum from EBSD measurements, (a) Equivalent diameter (μm), (b) Cumulated grain % as a function of the 2D equivalent diameter, (c) Average diameter, D10 and D90 values (μm).

**Table VI-4** Key parameters resulting from the EBSD quantitative study of NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C compacts sintered at 1360°C with a dwelling time of 4h in vacuum.

Composition	Average diameter $\bar{d}$ (μm)	Standard deviation $\sigma$ (μm)	d10 (μm)	d90 (μm)
NbC-12vol%Ni	12.8	8.0	5.9	22
0.5vol%WC	3.7	1.6	1.8	5.7
3%Mo <sub>2</sub> C	2.9	1.6	1.1	5.3

The 3D grain size distribution is presented in Figure VI-10 and the resulting parameters are presented in Table VI-5. As for the 2D distribution, it is observed that the grain growth is limited by an addition of 0.5vol%WC, with a final average grain size of 4.3μm instead of 13.5 μm. The further addition of 3%Mo<sub>2</sub>C also limits grain growth, with a final average grain size of 3.0 μm instead of 4.3μm.



**Figure VI-10 3D NbC grain size distribution in NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C compacts sintered at 1360°C with a dwelling time of 4h in vacuum from EBSD measurements, (a) Relative frequency of equivalent 3D diameter (μm), (b) Cumulated frequency of equivalent 3D diameter (μm), (c) Average diameter, D10 and D90 values (μm).**

**Table VI-5 3D Key parameters resulting from the EBSD quantitative study of NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C sintered at 1360°C with a dwelling time of 4h in vacuum.**

Composition	Average diameter $\bar{d}$ (μm)	Standard deviation $\sigma$ (μm)	d10 (μm)	d90 (μm)
NbC-12vol%Ni	13.5	6.3	6.0	20.0
0.5vol%WC	4.3	1.4	2.5	5.8
3%Mo <sub>2</sub> C	3.0	1.4	1.5	4.9

## VI.D Contiguity

The contiguity of the NbC phase was measured from EBSD maps for NbC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni samples with different dwelling times.

### VI.D.1 NbC-12vol%Ni

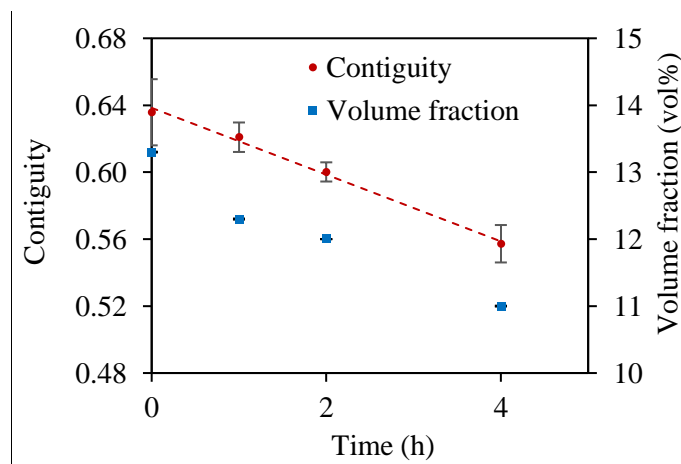
The contiguity of the NbC phase and the binder volume fraction of NbC-12vol%Ni samples sintered at 1360°C in vacuum with dwelling time of 0h, 1h, 2h and 4h are presented in Figure VI-11. Both



parameters decrease more or less linearly with the dwelling time. As previously established in chapter III, there is a nickel evaporation occurring at high temperature, which explains the binder fraction decrease with the time spent at 1360°C.

Table VI-6 presents the mass loss induced by nickel evaporation measured by TGA with the dwelling time. The binder volume is reduced from 13.3vol% for 0h to 11 vol% from image analysis measurements, which represents a reduction of approximately 25%. Therefore, the variation of the binder volume fraction is fully explained by the nickel evaporation.

The decrease of the binder volume fraction should induce an increase of the fraction of contact between grains and therefore of the contiguity. The observed decrease of contiguity with time is then probably due to an evolution towards equilibrium between interfaces and grain boundaries.



**Figure VI-11 Contiguity and binder volume fraction of NbC-12vol%Ni samples sintered at 1360°C with different dwelling times measured from EBSD images as a function of the dwelling time.**

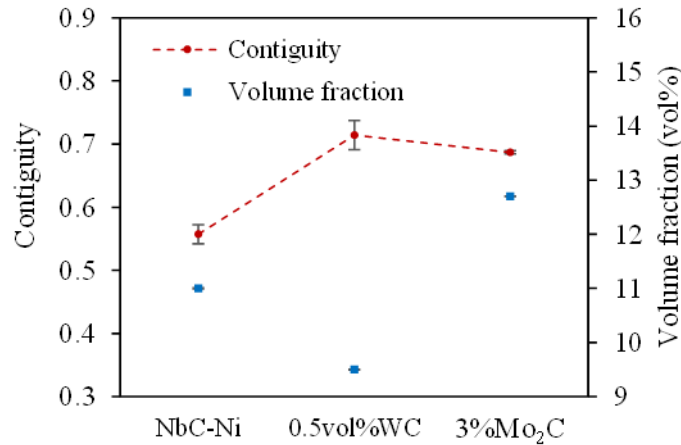
**Table VI-6 Mass loss induced by nickel evaporation measured by TGA of NbC-12vol%Ni samples sintered at 1360°C with different dwelling times**

Dwelling time (h)	0	1	2	4
Mass loss due to nickel evaporation (wt%)	0.15	1.04	1.89	3.49
% of the initial nickel phase (13.77 wt%)	1.09	7.55	13.7	25.3

#### VI.D.2 NbC-12vol%Ni with WC and Mo<sub>2</sub>C additions

Figure VI-12 presents the contiguity and binder volume fraction of NbC-12vol%Ni, NbC-0.5vol% WC-12vol%Ni and NbC-0.5vol% WC-12vol%Ni with 3% Mo<sub>2</sub>C samples sintered at 1360°C in vacuum with dwelling time of 4h.

As previously observed in chapter V, the addition of Mo<sub>2</sub>C induces an increase of the contiguity. A similar conclusion could be made for WC additions. However, a very low binder volume fraction was measured in the NbC-0.5vol% WC-12vol%Ni sample sintered 4h at 1360°C, which would suggest a larger nickel evaporation in that sample. With less binder phase, the contiguity increases. Therefore, no clear conclusion could be established on the intrinsic effect of WC addition on the contiguity.



**Figure VI-12 Contiguity and binder volume fraction of NbC-12vol%Ni, NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C samples sintered at 1360°C with a 4h dwelling time measured from EBSD images.**

## VI.E Discussion

This chapter aims to study the grain growth as a function of the dwelling time and the effect of additions of WC and Mo<sub>2</sub>C on grain growth. No matter the studied composition or the dwelling time, the 2D and 3D distribution show similar tendency.

The grain size is significantly increased when increasing the dwelling time. The reduced grain size distribution becomes slightly broader with dwelling time, with a shift of the peak towards smaller size and an increase of the fraction of large grains. This suggest that grain growth is not normal, as the grain size distribution does not remain self-similar with time. To deepen this analysis, theoretical calculations will be performed to investigate the grain growth mechanism in part VI.E.1.

The contiguity decreases with the dwelling time. This may look surprising, as the nickel evaporation, identified by measuring the binder volume fraction, would imply an increase of the contiguity. This increase is then more than compensated by a decrease, due to an evolution towards equilibrium between phase boundaries and grain boundaries, at the advantage of phase boundaries. Indeed, a decrease of the interface energy is expected at the melting point, due first to the relaxation of elastic stresses at the interface and second to the better chemical affinity with the liquid in relation with the higher solubility of solid atoms in the liquid. This decrease can be as high as 30-50% for metallic systems with eutectic liquids [83]. Therefore there is a natural trend for the liquid to infiltrate the grain boundaries created in the solid state and contiguity usually tends to decrease with time during liquid phase sintering, as observed by many authors on different cemented carbides [8][84][85][86].

The grain size is significantly reduced when adding only 0.5vol%WC to the NbC-12vol%Ni composition. It is further reduced when adding Mo<sub>2</sub>C to the composition. The mechanisms responsible for this effect will be discussed in part VI.E.2.

### VI.E.1 Grain growth mechanisms in NbC-12vol%Ni

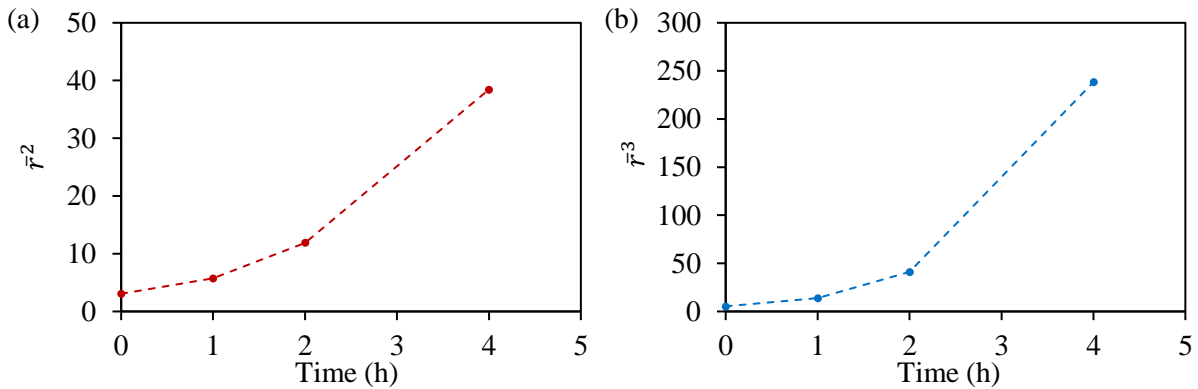
In order to determine the mechanism responsible for grain growth (see part I.C.1.a), the variation of  $\bar{r}^2$  and  $\bar{r}^3$  with the dwelling time was drawn,  $\bar{r}$  being the mean particle radius estimated from the 3D grain size distribution. The objective is to identify a linear behavior, following formulas (VI-1) and (VI-2) which respectively describe a grain growth controlled by diffusion in the liquid or by interfacial reaction, in the framework of the LSW theory of normal grain growth by solution-precipitation (see I.C.1).

$$\bar{r}^3 - \bar{r}_0^3 = K_D t \quad (\text{VI-1})$$

$$\bar{r}^2 - \bar{r}_0^2 = K_R t \quad (\text{VI-2})$$

where  $\bar{r}$  is the mean particle radius after time  $t$ ;  $\bar{r}_0$  is the initial mean particle radius;  $K_D$  and  $K_R$  are the characteristic grain growth constants for diffusion and reaction-controlled grain growth respectively.

The results are presented in Figure VI-13. There is no linear evolution of those parameters with time. Therefore, the theory of normal grain growth controlled by diffusion in the liquid or by interfacial reaction is not adapted to this system. The non-self-similar evolution of the grain size distribution (see Figure VI-5 (d) and Figure VI-6 (d)) also confirms this point.



**Figure VI-13 Evolution of the (a) square and (b) cube mean radius of NbC-12vol%Ni samples sintered at 1360°C in vacuum as a function of the dwelling time.**

It is however interesting to compare the order of magnitude of our experimental growth rate to the theoretical predictions of the LSW theory for diffusion. The grain growth constant  $K_D$  can actually be recalculated for each dwelling time with formula (VI-3). The used data and resulting constant are presented in Table VI-7.

$$K_D = \frac{8\gamma_{sl}\Omega^2}{9RT} DC_0 \quad (\text{VI-3})$$

where  $T$  is the temperature;  $R$  is the gas constant;  $\Omega$  is the molar volume of the solid;  $\gamma_{sl}$  is the interfacial energy per unit area of the solid/liquid interface;  $D$  is the diffusion coefficient of the solid phase constituent in the liquid;  $C_0$  is the solubility of the solid in the liquid (at a planar interface).

The corrected grain growth constant  $K''$ , which takes into account the effect of the contiguity and binder volume fraction on grain growth (see part I.C.1), can also be calculated as both these parameters are known with formula (VI-4). The used data and resulting constants are presented in Table VI-8.

$$K_D'' = (1 - C) \frac{9}{4} K(f_v) K_D \quad (\text{VI-4})$$

Where  $C$  is the contiguity and  $K(f_v)$  is a corrective term depending on the binder volume fraction  $f_v$  estimated by Voorhees [54] (see Figure I-25).

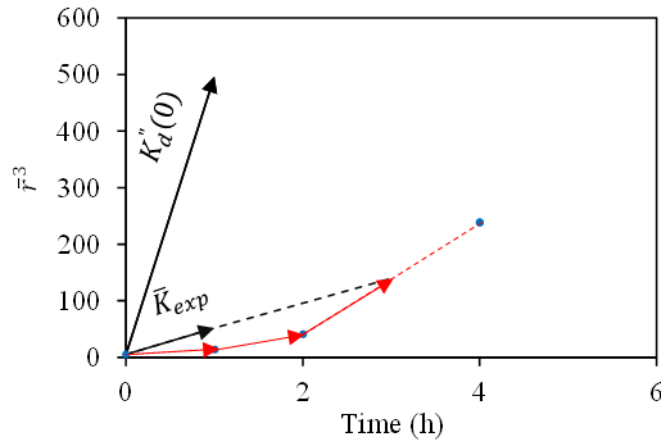
**Table VI-7 Parameters for  $K_D$  grain growth constant calculation for NbC-12vol%Ni samples sintered at 1360°C in vacuum.**

Parameters	$\gamma_{sl}$	$\Omega$	R	T	D	$C_0$
Value	0.27	13.42	8.314	1633	$3 \cdot 10^{-9}$	$5.1 \cdot 10^3$
Unit	J/m <sup>2</sup>	cm <sup>3</sup> /mol	J/mol/K	K	m <sup>2</sup> /s	mol/m <sup>3</sup>
Source	[6]	-	-	-	[87]	[29]

**Table VI-8  $K_D$  and  $K_D''$  grain growth constant calculation for NbC-12vol%Ni samples**

Dwelling time (h)	Contiguity	Binder volume fraction $f_v$	$K(f_v)$	$K_D$ ( $\mu\text{m}^3/\text{s}$ )	$K_D''$ ( $\mu\text{m}^3/\text{s}$ )
0	0.636	0.133	3.40		0.14
1	0.621	0.123	3.58	0.049	0.15
2	0.600	0.120	3.60		0.16
4	0.557	0.110	3.70		0.18

The theoretical growth rate (volume growth rate) corresponding to the grain growth constant  $K_D'' = 0.14 \mu\text{m}^3/\text{s}$  at 0h is presented in Figure VI-14. The experimental volume growth rate is slower by about one order of magnitude than the theoretical growth rate for diffusion-limited kinetics. This means that another mechanism than diffusion slows down the growth kinetics.



**Figure VI-14 Evolution of the cube mean radius of NbC-12vol%Ni samples sintered at 1360°C in vacuum as a function of the dwelling time and comparison of the average experimental volumic growth rate  $\bar{K}_{exp}$  with the theoretical constant  $K_D''$  (see Table VI-8).**

It was previously established by Warren [6][8] that grain growth kinetics in NbC-20vol%Ni and NbC-20vol%Co materials follows a  $\bar{r}^3 = K t$  law with an experimental growth rate constant which is about twice smaller than the theoretical one. This slight discrepancy on the growth rate constant is not enough to discard the diffusion-controlled process, due to imprecision on the physical parameters, especially the diffusion coefficients and solubilities. However, in the complete analysis of grain growth mechanisms for various cemented carbides [8], Warren concluded that only the grain growth in VC-Co and Mo<sub>2</sub>C-

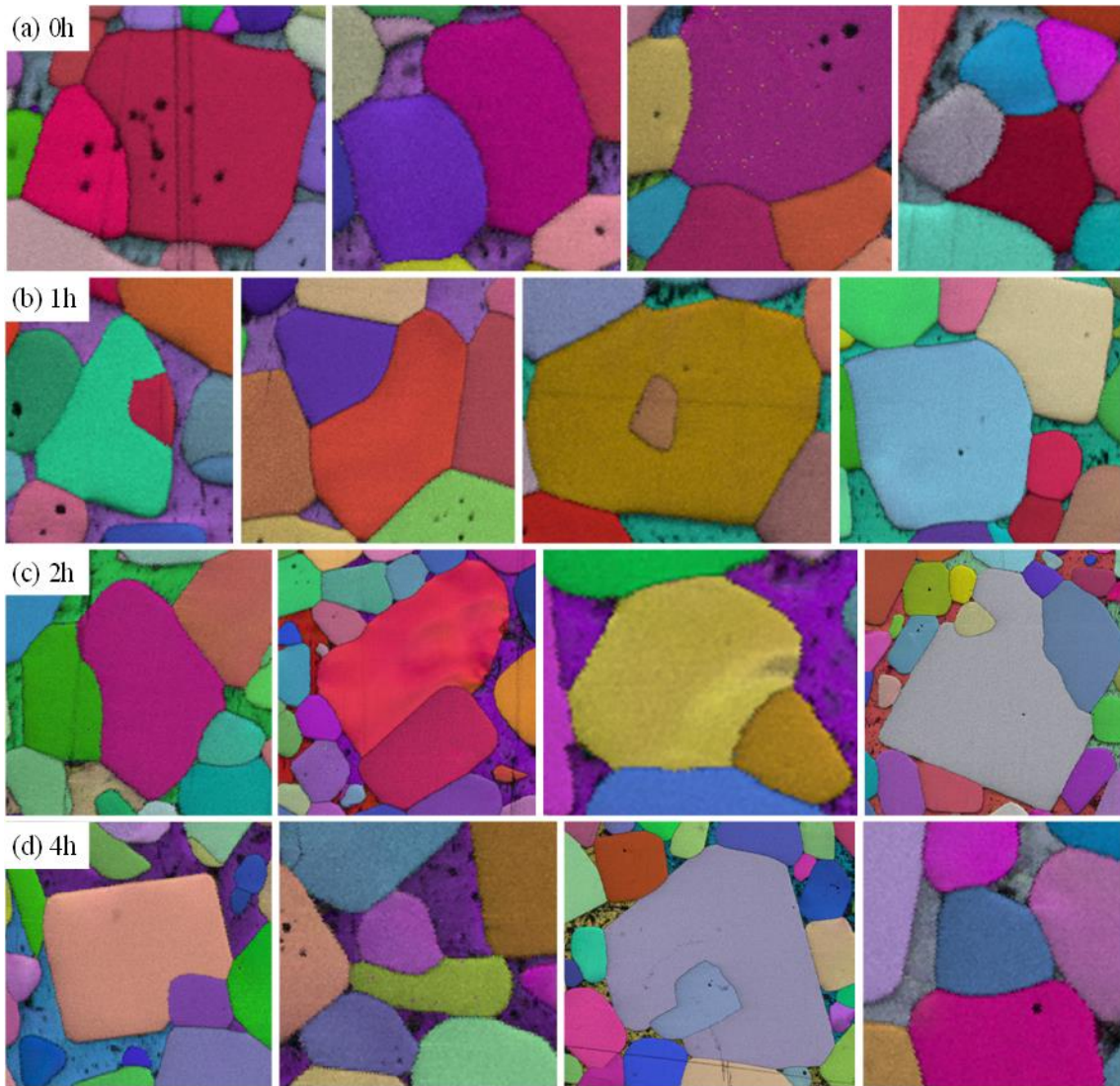


Co alloys were fully consistent with a diffusion-controlled process. For TiC, HfC and WC based cemented carbides, another mechanism should take place which slows down the kinetics. And the NbC-Co and TaC-Co systems would be at the transition where diffusion still mostly controls grain growth kinetics. Following their conclusion, in our alloys with 12vol% binder phase and similar grain sizes, diffusion distances are smaller and diffusion kinetics are then more rapid, especially at short times, which could explain that our experimental growth rate constant is an order of magnitude smaller than the theoretical value calculated with the diffusion process. Moreover, Warren compares the grain growth behaviours for the different cemented carbides in a diagram showing the growth rate constants as a function of the contiguity (see Fig I-24 in chapter I). The systems where diffusion controls the kinetics have the lowest contiguity, whereas the systems where another mechanism slows down the kinetics have the highest contiguity, NbC-Co being at the transition. Again, following Warren analysis, contiguity may slow down grain growth and this may be more pronounced in our system with 12vol% binder than in his experiments with 20vol% binder.

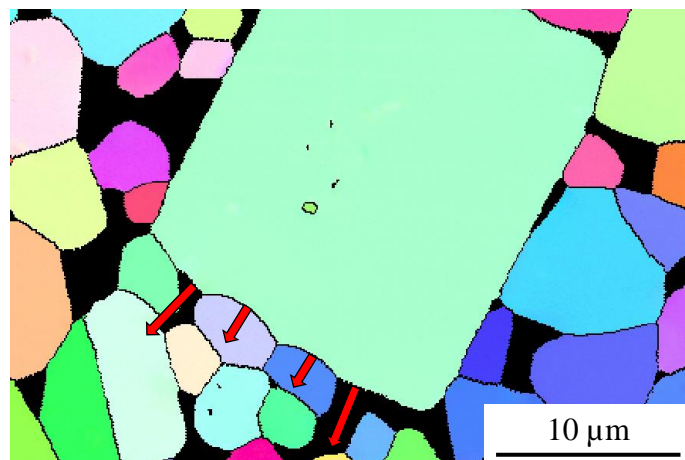
As the contiguity increases, the fraction of phase boundaries available for precipitation is reduced, but this is already taken into account in the theoretical formula VI-4. However, as suggested by Warren, for systems with high contiguity values, grain growth kinetics can be modified or controlled by the migration of grain boundaries rather than phase boundaries.

The kinetics could then significantly slow down with the increase of contiguity and this may even be more pronounced at the transition between the phase boundary-controlled process to the grain boundary-controlled process. Inversely, infiltration of grain boundaries at the beginning of liquid phase sintering, as quantified by the reduction of contiguity with the sintering time, could reduce the amount of grain boundaries and explain that grain growth kinetics are enhanced (see Figure VI-14), in addition to the increase of the fraction of phase boundaries available for precipitation (term (1-C) in formula VI-4).

In the NbC-12vol%Ni samples, curved grain boundaries and partial engulfment of grains were observed, as presented in Figure VI-15, which confirms that grain boundary migration is not rapid in the system and could at least compete with phase boundary migration. The fastest migration of carbide-binder interfaces in comparison with grain boundaries can be observed, as in the example of Figure VI-16.



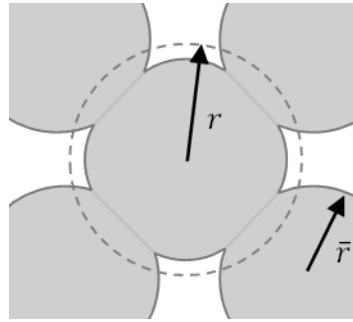
**Figure VI-15 Curved grain boundaries and partial grain engulfment observed on EBSD orientation & quality maps of NbC-12vol%Ni samples sintered at 1360°C in vacuum with different dwelling times.**



**Figure VI-16 Curved grain boundaries of a NbC-12vol%Ni sample sintered 1h at 1360°C in vacuum. The relative rate of grain boundary and phase boundary migration is indicated by red arrows.**

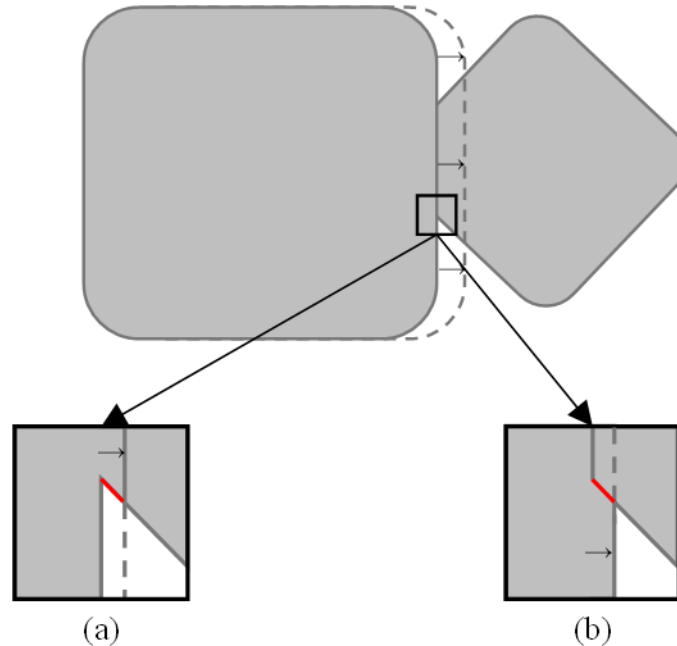
VI.E.2 Modelling of grain growth in systems with cooperative migration

A simplified model of grain growth in systems with cooperative phase boundary and grain boundary migration will be presented in the following. In a solid-liquid system with solid-solid interfaces (grain boundaries) and solid-liquid interfaces (phase boundaries) as presented in Figure VI-17, grain growth is a combination of phase boundary and grain boundary migration. A cooperative migration is necessary for grain growth under the action of the capillary driving force  $P_C$ : phase boundaries will not move if grain boundaries do not follow and reciprocally.



**Figure VI-17 Schematic of a solid particle in a solid-liquid system with a tendency to grow.**

Indeed, the migration of grain boundaries or phase boundaries alone would lead to the formation of unstable phase or grain boundaries, as presented in Figure VI-18.



**Figure VI-18 Schematic the migration of a (a) grain boundary or (b) an interface alone leading to the formation of an unstable interface or grain boundary (in red).**

Let us assume that the capillary pressure is shared between phase boundaries and grain boundaries, with respective values  $P_{PB}$  and  $P_{GB}$ . The capillary pressure will be naturally larger for the interface which has the slower mobility, so that both interfaces can move at the same rate. The contribution of phase

boundaries and grain boundaries to grain growth kinetics is then related to the corresponding driving force and to the respective mobilities  $M_{PB}$  and  $M_{GB}$  (see formulas (VI-5) and (VI-6)).

$$\left(\frac{dr}{dt}\right)_{PB} = M_{PB} P_{PB} \quad (\text{VI-5})$$

$$\left(\frac{dr}{dt}\right)_{GB} = M_{GB} P_{GB} \quad (\text{VI-6})$$

The total capillary pressure  $P_C$  is the average of the pressure on phase boundaries  $P_{PB}$  and grain boundaries  $P_{GB}$ , weighted by the proportion of grain boundaries with respect to the phase boundaries, *i.e.* the contiguity  $C$ , as presented in formula (VI-7).

$$P_C = P_{PB} (1 - C) + P_{GB} C \quad (\text{VI-7})$$

By combining (VI-5), (VI-6) and (VI-7), formula (VI-8) is obtained.

$$P_C = \frac{(1 - C)}{M_{PB}} \left(\frac{dr}{dt}\right)_{PB} + \frac{C}{M_{GB}} \left(\frac{dr}{dt}\right)_{GB} \quad (\text{VI-8})$$

In stationary conditions,  $\left(\frac{dr}{dt}\right)_{PB}$  should equal  $\left(\frac{dr}{dt}\right)_{GB}$ , from which it follows that the resulting grain growth rate is related to the grain growth rates of each mechanism by formula (VI-9).

$$\frac{1}{\left(\frac{dr}{dt}\right)} = \frac{(1 - C)}{M_{PB} P_C} + \frac{C}{M_{GB} P_C} = \frac{(1 - C)}{\left(\frac{dr}{dt}\right)_{PB}^*} + \frac{C}{\left(\frac{dr}{dt}\right)_{GB}^*} \quad (\text{VI-9})$$

where  $\left(\frac{dr}{dt}\right)_{PB}^*$  and  $\left(\frac{dr}{dt}\right)_{GB}^*$  are the grain growth rates in the case of phase boundary control and grain boundary control respectively.

*Note:*  $P_C$  is an average capillary pressure, taking into account the contribution of phase boundaries and grain boundaries. In the following limiting case, the capillary pressure is for systems with phase boundaries only or grain boundaries only. It will be assumed that the capillary pressure is then not significantly modified, since phase boundary and grain boundary energies have a similar order of magnitude. A general expression of the capillary pressure can be found in [72].

This formula can be assimilated to the “loi des lenteurs” [88] in kinetics for mechanisms sharing the same driving force. It means that the slowest mechanism will impose its kinetics for the migration of both interfaces.

If phase boundary migration is the slowest mechanism, formula (VI-10) applies.

$$\frac{dr}{dt} = \frac{1}{1 - C} \left(\frac{dr}{dt}\right)_{PB}^* \quad (\text{VI-10})$$

The result of the LSW theory for diffusion-controlled grain growth [44][45] can then be applied to the average grain growth rate, by multiplying by the  $1/(1-C)$  constant (formula (VI-11)).

$$\left(\frac{d\bar{r}}{dt}\right) = \frac{1}{1-C} \frac{1}{3} \frac{K_D}{\bar{r}^2} \quad (\text{VI-11})$$

If grain boundary migration is the slowest mechanism, formula (VI-12) applies.

$$\frac{dr}{dt} = \frac{1}{C} \left(\frac{dr}{dt}\right)_{GB} \quad (\text{VI-12})$$

The average grain growth rate can then be estimated from the Hillert theory of grain growth in a polycrystalline material [89] by multiplying by the  $1/C$  constant (formula (VI-13)).

$$\left(\frac{d\bar{r}}{dt}\right) = \frac{1}{C} \frac{K_{GB}}{2\bar{r}} \quad (\text{VI-13})$$

where  $K_{GB}$  is a constant related to the grain boundary energy  $\gamma_{GB}$  and to the grain boundary mobility  $M_{GB}$  by the relation (VI-14).

$$K_{GB} = \left(\frac{8}{9}\right)^2 \gamma_{GB} M_{GB} \quad (\text{VI-14})$$

The mobility term  $M_{GB}$  is related to the kinetics of atomic diffusion through the grain boundary.

It must be emphasized that the average grain growth rate decreases for both mechanisms as the grain size increases. This is due first to the decrease of the driving force related to the curvature, which scales as  $1/\bar{r}$ . But in addition, the diffusion-controlled phase boundary migration rate scales inversely with the diffusion distance which can be shown to be proportional to  $\bar{r}$ , therefore the  $1/\bar{r}^2$  term. Diffusion is therefore expected to become the limiting mechanism as grain growth proceeds.

This approach then brings an expression of the average grain growth rates in case of a control by pure phase boundary migration or pure grain boundary migration. Let us now assume that the “loi des lenteurs” (Eq. (VI-10)) also holds for the average grain growth rate, giving formula (VI-15).

$$\frac{1}{\left(\frac{d\bar{r}}{dt}\right)} = \frac{(1-C)}{\left(\frac{d\bar{r}}{dt}\right)_{PB}^*} + \frac{C}{\left(\frac{d\bar{r}}{dt}\right)_{GB}^*} \quad (\text{VI-15})$$

This is valid only as a first approximation, but at least would give the correct result in the pure cases of control by phase boundary or grain boundary migration. Using formula (VI-11) and (VI-13), formula (VI-16) is obtained.

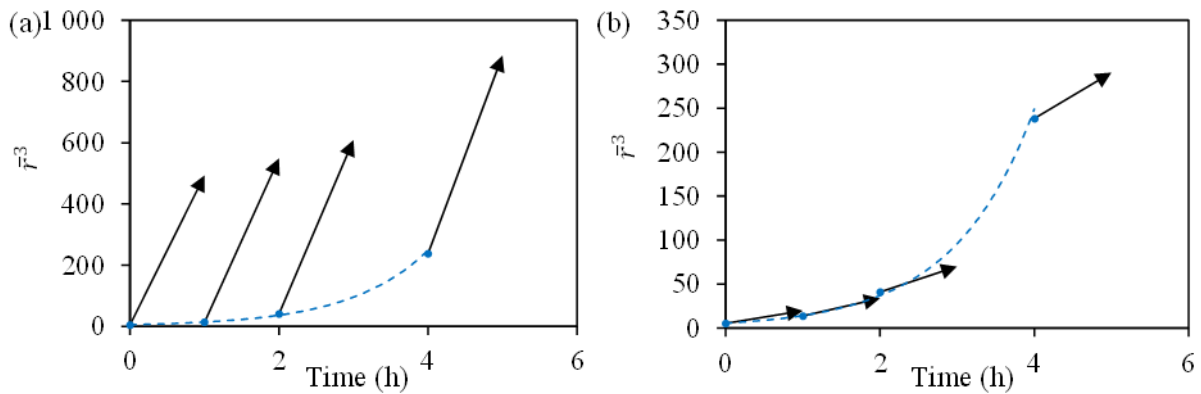
$$\frac{d\bar{r}}{dt} = \frac{1}{(1-C) \frac{3\bar{r}^2}{K_D} + C \frac{2\bar{r}}{K_{GB}}} \quad (\text{VI-16})$$

The rate of variation of  $\bar{r}^3$  can then be advantageously expressed with formula (VI-17).

$$\frac{d\bar{r}^3}{dt} = \frac{1}{(1 - C)\frac{1}{K_D} + \frac{2}{3}C\frac{1}{K_{GB}\bar{r}}} \quad (\text{VI-17})$$

The first term in the denominator represents the classical contribution of solution-precipitation mechanisms, the second term the additional effect of grain boundary migration on grain growth kinetics. Due to this grain size dependency, the grain boundary controlled mechanism can be dominant initially for small grain sizes, but the solution-precipitation controlled mechanism can become dominant at long times, as the grain size and consequently the diffusion distance increases.

The result predicted by formula (VI-17) is plotted on the experimental kinetic curve in Figure VI-19 (b). The  $K_D$  value is the one in Table VI-8. Experimental values are taken for the contiguity and for the average grain size at times 0, 1h, 2h and 4h. Figure VI-19 (a) shows the results for pure diffusion-controlled phase boundary migration with the values of  $K_D$  taken from Table VI-8.

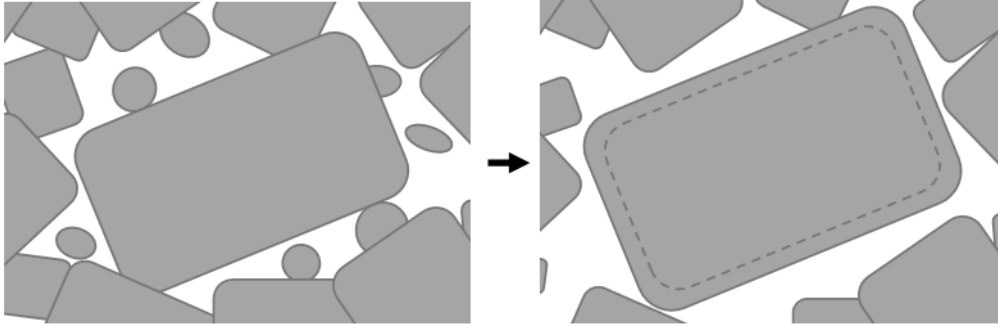


**Figure VI-19 Evolution of the cube mean radius of NbC-12vol%Ni samples sintered at 1360°C in vacuum as a function of the dwelling time with arrows indicating (a) the normal volume grain growth rate predicted by the LSW theory, (b) the volume grain growth rate predicted from formula (VI-17).**

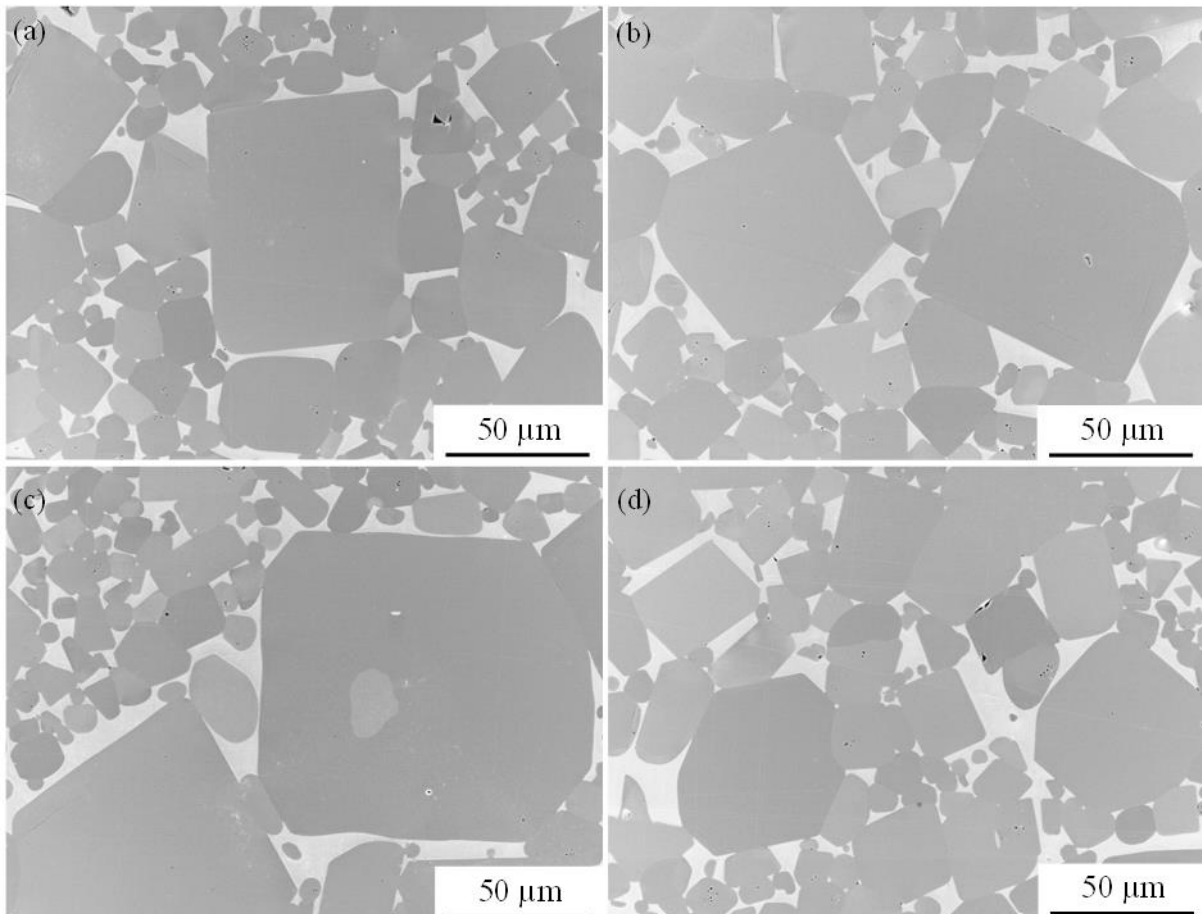
The calculation for pure diffusion-controlled phase boundary migration clearly overestimates the experimental kinetics. The calculation for the cooperative migration of phase boundaries and grain boundaries gives a more correct estimate. It captures the increase of the grain growth rate and of the diffusion contribution as grain growth proceeds (see Eq. (VI-17)), although the grain growth rate at long times is significantly underestimated, which may be due to imprecision in the model and to the lack of data for longer times. The fit was obtained with a value of the grain growth rate constant for grain boundary migration  $K_{GB}$  around  $0.001 \mu\text{m}^2/\text{s}$ . From an experimental study of grain growth kinetics in a pure NbC polycrystalline material [90],  $K_{GB}$  can also be estimated from formula (VI-13) to  $0.001 \mu\text{m}^2/\text{s}$ , which is in fairly good agreement, and validates the hypothesis of a cooperative migration between phase boundary and grain boundary migration in our NbC-Ni materials.

The acceleration of grain growth for long dwelling times, which is not totally captured by the model, could be explained by the elimination of small grains nearby big grains by cooperative solution-precipitation and grain boundary migration, which could decrease the local contiguity of large grains

(Figure VI-20). The growth kinetics of such grains would be closer to the prediction of the diffusion-controlled model, which could explain the larger average grain growth rate (see Figure VI-19 (a)) and also the broadening of the grain size distribution at long dwelling times (see Figure VI-6 (d)). It was indeed observed for a dwelling time of 4h that big grains are mostly surrounded by the binder phase, as in the example presented in Figure VI-21.



**Figure VI-20 Schematic of the growth of a big grain.**



**Figure VI-21 Big grains in NbC-12vol%Ni sintered 4h at 1360°C in vacuum.**

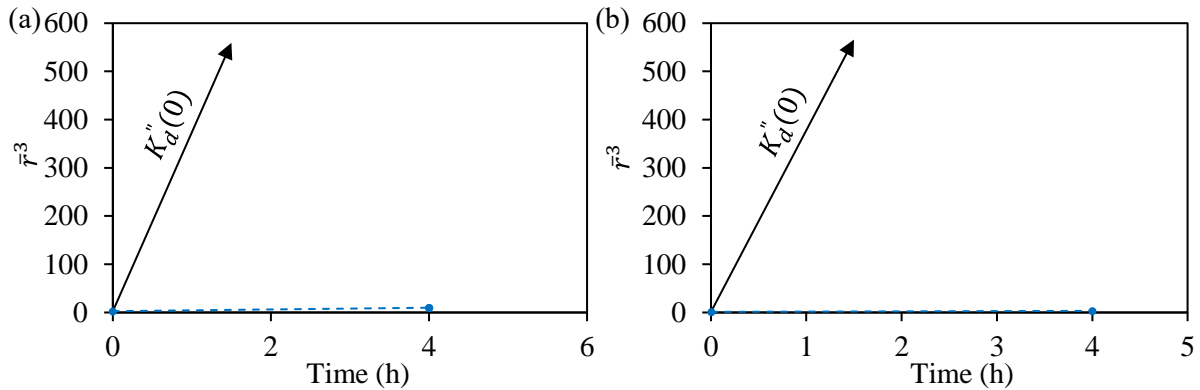
### VI.E.3 Grain growth in NbC-12vol%Ni with WC or Mo<sub>2</sub>C additions

The  $\bar{r}^3$  experimental values for NbC-0.5vol%WC-12vol%Ni and NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C are presented in Figure VI-22. The diffusion-controlled volume grain growth rate for a NbC-12vol%Ni composition was drawn and is indicated by arrows. In both cases, the grain growth was



significantly slower than what was predicted by the LSW model for a NbC-12vol%Ni material, even more than what was previously observed for NbC-12vol%Ni samples. It confirms that the addition of WC and Mo<sub>2</sub>C has a significant inhibiting effect on grain growth.

*Note: Due to the Covid-19 health context, no NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C sample sintered at 1360°C with a dwelling time of 0h was analyzed by EBSD. However, a sample sintered at 1450°C with a dwelling time of 0h was analyzed, and was used as reference to study the grain growth rate for this composition. It is assumed that the actual average grain diameter of a sample sintered at 1360°C would be slightly smaller than 1.9μm.*



**Figure VI-22 Evolution of the cube mean radius of (a) NbC-0.5vol%WC-12vol%Ni and (b) NbC-0.5vol%WC-12vol%Ni with 3%Mo<sub>2</sub>C samples sintered at 1360°C in vacuum as a function of the dwelling time with the NbC-12vol%Ni normal grain growth evolution indicated by an arrow deduced from the contiguity and volume fraction measurements for each dwelling time.**

The contiguity is increased when adding WC, or WC and Mo<sub>2</sub>C, (see Figure VI-12). As already discussed in section V.E and detailed in Appendix 8, the addition of a third element Mo or W with a good affinity with liquid nickel to a pseudo binary system NbC-Ni could increase the interfacial energy and therefore the contiguity.

Following the previous discussion, the contiguity increase favors the contribution of grain boundaries and diminishes the contribution of phase boundaries on grain growth kinetics (see Eq. (VI-17)) in the NbC-Ni reference system. Moreover, addition of doping elements usually slows down phase boundary migration due to a solute drag effect. For example in pure alumina, the grain boundary mobility is about  $10^{-11}$ - $10^{-12}$   $m^4 \cdot J^{-1} \cdot s^{-1}$  but it falls in the range  $10^{-12}$ - $10^{-15}$   $m^4 \cdot J^{-1} \cdot s^{-1}$  for doped alumina [91]. In our case, segregation of W or Mo at grain boundaries could slow down the grain boundary migration. This could explain the inhibiting effect on grain growth of addition of WC and Mo<sub>2</sub>C secondary carbides.



# Conclusion & Perspectives

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Past studies have shown that a good range of mechanical properties could be reached with NbC based cemented carbides, confirming the potential of niobium carbide as an alternative to tungsten carbide. This research aimed to understand the sintering behavior and microstructural evolutions of NbC-Ni materials. The impact of the carbon content was studied, as well as the effect of a secondary carbide addition. Grain growth was also investigated for different compositions and the mechanisms mentioned for WC-Co materials were revisited to explain the results observed for NbC-Ni materials.

Debinding and chemical transformations during heating were first investigated. The debinding of the NbC-12vol%Ni reference material was studied, and the full decomposition of the organic binder at 400°C in hydrogenated helium was confirmed. Different oxide reduction steps were identified by TGA. The debinding and oxide reduction steps are little affected by the carbon content and by Mo<sub>2</sub>C or WC additions. Above 1300°C, nickel evaporation was identified. The nickel evaporation (0.4wt%) was significantly reduced when increasing or decreasing the carbon content. It can be explained by an earlier densification due to the lower solidus temperature. A significant carbon loss during heating was also evidenced by chemical analysis of final samples for every composition. Part of this loss corresponds to the oxide reduction measured in TGA. A heterogeneity of the carbon loss was furthermore identified, with a bigger loss at the periphery of the sample.

The different steps of sintering in vacuum were analyzed by dilatometry. Solid state sintering starts around 950°C, followed by liquid phase sintering above 1315°C for the NbC-0.5vol%WC-12vol%Ni reference material. The onset of solid state sintering coincides with the temperature of oxide reduction measured in TGA. This is a classical result for cemented carbides and it is explained by a lower interfacial energy and an easier solid state spreading of the binder on oxide-free carbide particles. Solid state sintering is delayed to higher temperatures for low carbon contents, probably due to a higher interfacial energy and therefore to a more difficult spreading of the binder on carbide surfaces. The same effect is observed when adding Mo<sub>2</sub>C to the reference composition. The formation of mixed Nb/Mo carbides could also be responsible for an increase of the interfacial energy, and therefore to a more difficult spreading of the binder. The presence of Mo<sub>2</sub>C particles exerting local tensile stresses on the NbC skeleton could also delay the onset of shrinkage. Liquid phase sintering is unaffected by the addition of Mo<sub>2</sub>C, but it starts at a slightly lower temperature when modifying the carbon content, due to the maximum of the solidus on the phase diagram. A swelling was observed during liquid phase sintering for high carbon contents and can be related to the transition through the 3 phase (NbC + Ni + liquid) domain of the phase diagram. The sintering densification is almost completed at 1450°C for all compositions.

The microstructure of the NbC-12vol%Ni composition presents two phases, cuboidal carbide grains with rounded edges and a Ni-rich binder phase. The same phases were identified when adding WC and Mo<sub>2</sub>C or when decreasing the carbon content. For high carbon contents, a graphite phase was identified. Nickel segregation was observed at grain boundaries that could decrease the grain boundary energy and prevent their complete infiltration during sintering. Bigger grains have a more faceted shape. A remaining ≈1% porosity was found inside the grains, whose formation could be due to a coalescence

process with a local lack of binder during solid state sintering. In addition, very small porosities were found at the carbide-binder interfaces, and a great density of dislocations was observed in the binder. These defects can be attributed to the thermal stresses exerted on the binder during cooling.

The composition of the carbide and binder phases evolves during sintering. WC and Mo<sub>2</sub>C, when present, dissolve in the NbC carbide and in the binder during the first step of solid state sintering, between 1080°C and 1180°C. Molybdenum was found in both the carbide and the binder but tungsten was mostly found in the binder after sintering. A small quantity of niobium was also found in the binder, but no nickel was identified in the carbide, except in the form of small inclusions which could be related to the solid state coalescence discussed above.

The contiguity of the final sintered materials was determined by analysis of EBSD images and significantly evolves with the composition and time spent at high temperature. It increases when decreasing the carbon content or when adding Mo<sub>2</sub>C or WC to the NbC-Ni material, as the corresponding interfacial energy increases with respect to the grain boundary energy. The higher interface energy when decreasing the carbon content or increasing the amount of secondary carbides is also consistent with the delay of solid state sintering discussed above. The increase of interface energy as pure NbC carbide is replaced by Nb/Mo mixed carbide may look surprising, owing to the good affinity of Mo<sub>2</sub>C for Ni. A simplified calculation of interface energy in a pseudo-ternary system was undertaken to justify this apparent paradox. When increasing the time spent at high temperature, the contiguity decreases. This is due to an evolution towards equilibrium between carbide-binder interfaces and grain boundaries, at the advantage of interfaces. Indeed, a decrease of the interface energy is expected at the melting point, due first to the relaxation of elastic stresses at the interface and second to the better chemical affinity with the liquid in relation with the higher solubility of solid atoms in the liquid. Infiltration of grain boundaries created in the solid state by the liquid, as quantified by a decrease of the contiguity in the early stage of liquid phase sintering, is a classical result for cemented carbides [8][84][85][86].

A significant grain growth was observed for the NbC-12vol%Ni material. The reduced grain size distribution evolves with dwelling time at 1360°C, which suggests that grain growth is not normal. The evolution of the square and cube mean particle radius with time is not linear, confirming that the theory of normal grain growth controlled by diffusion in the liquid or by interfacial reaction is not adapted to this system. The experimental volume growth rate is one order of magnitude slower than expected by the LSW theory for limitation by diffusion in the liquid. A model was proposed for grain growth kinetics by a cooperative grain boundary and phase boundary migration, which could explain this discrepancy. The growth constant for grain boundary migration fitting the results is close to a value from the literature obtained for grain growth in pure NbC polycrystalline materials, which validates the analysis. Grain growth kinetics predicted by the model are dominated by grain boundary migration and are quite close to the experimental kinetics at short times. For long dwelling times, an acceleration of the experimental grain growth was observed, which is partly explained by the exaggerated growth of large grains.

Grain growth is highly influenced by the composition. First, a slight increase of the carbon content accelerates grain growth, but grain growth is limited for a more consequent addition, due to the pinning of grain boundaries by graphite particles. Second, the addition of WC or Mo<sub>2</sub>C to a NbC-Ni material significantly inhibits grain growth. The grain growth inhibition is explained by the increase of contiguity which favors the contribution of grain boundaries and diminishes the contribution of phase boundaries on grain growth kinetics. Segregation of W or Mo at grain boundaries could further decrease the grain

boundary mobility by a solute drag effect, although only a slight segregation was detected for Mo in TEM.

The hardness and toughness were measured from Vickers indentations and significantly vary with the composition. When adding Mo<sub>2</sub>C, the hardness increases while the toughness decreases, which can be explained by the decrease of the carbide grain size and by the higher solute content in the binder. The hardness increases when decreasing the carbon content. Again this can be explained by the grain size decrease and by an increase of the metallic solute content in the binder. The increase of hardness of the carbide phase may also play a role as it was shown that the C/(Nb+Mo+W) ratio has an effect on the mechanical properties of the carbide grains. The toughness is maximal for a C/(Nb+Mo+W) ratio close to 1. Grain size variations cannot fully explain this trend.

Different perspectives to this work can be considered. First, from the application point of view, improving the mixing step to obtain a more even repartition of the binder could help limiting the formation of intra-granular porosities and ease the sintering process, and could therefore allow a faster densification. As for inhibition of grain growth, the study of the impact of secondary carbide addition of Mo<sub>2</sub>C was carried out with a reference mix already containing a very small quantity of WC. It was later shown that this small addition already significantly inhibits grain growth. It would therefore be interesting to add different quantities of WC or Mo<sub>2</sub>C independently to a NbC-Ni material, in order to quantify the inhibiting effect of each secondary carbide. Such compositions were already investigated by Huang et al [65][57]. Secondly, from the theoretical point of view, atomistic calculations would be helpful to understand the effect of composition on the energy of carbide-grain boundaries and carbide-binder interfaces. Such effects could also be addressed experimentally by a more systematic analysis of the contiguity variations with composition.

As for grain growth, the proposed theory of cooperative grain boundary and phase boundary migration would need to be confirmed by other experiments and analyses. From this theory, the grain growth behavior would be strongly linked to the contiguity of the carbide phase. It would therefore be interesting to study the growth behavior in samples with different binder contents. Notably, a quasi-normal grain growth behavior (with a linear evolution of the cube mean radius) should be observed for a high binder content, as observed by Warren [6]. The field of this theory should also be extended to other materials and especially to WC-based cemented carbides. Grain growth kinetics in these materials are also much slower than predicted by the classical Ostwald ripening models for diffusion-controlled kinetics [8] [80]. An explanation often put forward is the contribution of 2D nucleation for faceted grains, which would considerably slow down precipitation of solute atoms at the carbide-liquid interface [50]. But grain boundary migration could also be the limiting grain growth mechanism in such materials with high contiguity and considerably slow down grain growth kinetics as observed in our NbC-Ni materials.



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## Appendix 1 – Literature summary table

Table A1-1 presents a recap of the different binder and secondary additions that have been used in NbC alloys in the literature, with the corresponding sintering parameters, grain size and shape and mechanical properties (hardness and toughness).

**Table A1-1 Summary table of NbC-based hardmetals and corresponding properties studied.**

Binder	Additive(s)	Sintering parameters			Grain size (µm)	Grain shape	Hardness (GPa)	Toughness (MPa.m <sup>0.5</sup> )	Year & Source		
		T (°C)	Time (h)	Atmosphere							
Co (21wt%)	-	1420	0	Vacuum	4.8	c-r	-	-	1968 Warren [5]		
		1420	0.25		9.0	c-r c-a	-	-			
		1420	0.5		11.7	c-r	-	-			
		1420	2		17.6	c-r c-a	-	-			
		1420	5		23.9	c-r	-	-			
		1400	0.8		11.8	c-r c-a	-	-			
		1450	0.8		15.5	c-r	-	-			
	1.25wt%NbB <sub>2</sub>	1420	0.83		15.7	p-r	-	-			
		1420	2		19.2	p-r	-	-			
	3.75wt% NbB <sub>2</sub>	1420	0		7.2	p-r p-a	-	-			
		1420	0.25		10.0	p-a	-	-			
		1420	0.8		13.0	p-r	-	-			
		1420	2		16.0	p-r	-	-			
		1420	5		20.2	p-r	-	-			
	2wt%TiC	1420	0.8		11.2	c-a	-	-			
		1420	0.25		5.0	c-a	-	-			
	5wt%TiC	1420	0.8		7.2	c-a	-	-			
		1420	2		10.7	c-a	-	-			
		1420	5		12.0	c-a	-	-			
		1450	0.8		9.1	c-a	-	-			
		1480	0.8		11.3	c-a	-	-			
	5wt%WC	1420	0.25		7.4	p-r	-	-			
		1420	0.84		9.8	p-r p-a	-	-			
		1420	2		12.8	p-r p-a	-	-			
0.976wt%Nb	1420	0.25	10.0	p-r	-	-					
	1420	0.8	14.0	p-r	-	-					
	1420	2	17.7	p-r	-	-					
0.08wt%C	1420	0.85	12.5	c-r c-a	-	-					
Ni (22wt%)	-	1380	0	Vacuum (~10 <sup>-4</sup> torr)	4.4	c-r	-	-	1969 Warren [6]		
		1380	0.25		6.6		-	-			
		1380	0.8		9.3		-	-			
		1380	2		12.2		-	-			
		1380	3.75		14.9		-	-			
		1440	0.8		11.4		-	-			
		1480	0.5		12.0		-	-			
Fe (22wt%)	-	1500	0		5.8	c-r	-	-			
		1500	0.25		8.0		-	-			
		1500	0.8		10.1		-	-			
		1500	2		13.4		-	-			
Co (20vol%)	-	1420	0.8		Vacuum (1.33 mN/m <sup>2</sup> )	13.5	c-r	-		-	1972 Warren [8]
		1420	2			18.0		-		-	
		1450	0.8			15.8		-		-	
		1450	1.67	20.0		-		-			
		1450	2	20.8		-		-			
		1450	3.67	25.7		-		-			
		1500	0.8	20.5		-		-			
		1500	2	26.6		-		-			

Appendix 1 – Literature summary table

Co (20vol%)	-	1550	0.8	Vacuum (1.33 mN/m <sup>2</sup> )	23.7	c-r	-	-	1972 Warren [8]
		1550	2		31.8		-	-	
Fe (30wt%)	-	1450	1	Vacuum	12	faceted	-	-	2000 Oh [46]
	3wt%B	1450	1		17	s	-	-	
Fe (50wt%)	-	1450	1		12	faceted	-	-	
Co (30wt%)	-	1400	0.08	Vacuum (~10Pa)	4	c-a	-	-	
		1400	0.33		5.8		-	-	
		1400	1		8.8		-	-	
		1400	2		11.1		-	-	
		1450	0.08		5.0		-	-	
		1450	0.33		6.2		-	-	
		1450	1		9.4		-	-	
		1450	2		12.2		-	-	
		1500	0.08		6.2		-	-	
		1500	0.33		8.6		-	-	
		1500	1		11.0		-	-	
		1500	2		13.4		-	-	
		1550	0.08		8.2		-	-	
		1550	0.33		10.2		-	-	
		1550	1		12.8		-	-	
1550	2	15.4	-	-					
Co (30wt%)	2.3wt%B	1400	0.08	Vacuum (~10Pa)	7.4	c-r	-	-	2001 Moon [55]
		1400	0.33		9.2		-	-	
		1400	1		13.6		-	-	
		1400	2		15.4		-	-	
		1450	0.08		8.2		-	-	
		1450	0.33		12.0		-	-	
		1450	1		14.6		-	-	
		1450	2		17.4		-	-	
		1500	0.08		9.8		-	-	
		1500	0.33		12.6		-	-	
		1500	1		18.4		-	-	
		1500	2		22.2		-	-	
		1550	0.08		11.6		-	-	
		1550	0.33		14.4		-	-	
		1550	1		20.4		-	-	
1550	2	26.0	-	-					
Co (30wt%)	3wt%B	1400	0.08	Vacuum (~10Pa)	7.8	s	-	-	
		1400	0.33		10.4		-	-	
		1400	1		15.4		-	-	
		1400	2		19.1		-	-	
		1450	0.08		8.6		-	-	
		1450	0.33		12.5		-	-	
		1450	1		17.5		-	-	
		1450	2		21.1		-	-	
		1500	0.08		10		-	-	
		1500	0.33		14.1		-	-	
		1500	1		19.1		-	-	
		1500	2		23.8		-	-	
		1550	0.08		11.4		-	-	
		1550	0.33		14.5		-	-	
		1550	1		19.6		-	-	
1550	2	24.8	-	-					
Co (24.5wt%)	-	1360	1	Vacuum	4.2	c-r	HV <sub>10</sub> = 11.4	7.2	2007 Huang [27]
	4wt% WC	1360	1		4.4		HV <sub>10</sub> = 11.8	7.9	
	7wt% WC	1360	1		4.5	Rounder + Core-rim structure	HV <sub>10</sub> = 11.8	7.7	
	10wt% WC	1360	1		4.5		HV <sub>10</sub> = 12.2	8.1	
	20wt% WC	1360	1		3.0		HV <sub>10</sub> = 12.3	8.3	
	30wt% WC	1360	1		2.8		HV <sub>10</sub> = 12.2	8.7	

Appendix 1 – Literature summary table

Co (12vol%)	-	1420	1	Vacuum (~7Pa)	-	Irregular	HV <sub>10</sub> = 12.2	6.3	2014 Huang [58]
	0.9vol%Cr <sub>3</sub> C <sub>2</sub>	1420	1		-	s	HV <sub>10</sub> = 12.6	6.4	
Fe (12vol%)	-	1420	1		5-20	p-r	HV <sub>10</sub> = 12.9	7.1	
Fe-25at% Al (12vol%)	-	1420	1		5-10	Al <sub>2</sub> O <sub>3</sub> phase	HV <sub>10</sub> = 13.7	5.9	
Fe-50at% Al (12vol%)	-	1420	1		5-10	Al <sub>2</sub> O <sub>3</sub> phase	HV <sub>10</sub> = 15.2	5.1	
Ni-25at% Al (12vol%)	-	1420	1		5-10	Al <sub>2</sub> O <sub>3</sub> phase	HV <sub>10</sub> = 13.6	5.7	
Ni (12vol%)	-	1420	1		< 15	c-r	HV <sub>10</sub> = 12.2	12.0	
Ni (15vol%)	5vol%Mo <sub>2</sub> C	1420	1		< 3	-	HV <sub>10</sub> = 13.5	8.2	
	8.5vol% Mo <sub>2</sub> C + 8.5vol% WC	1420	1		1-2	-	HV <sub>10</sub> = 13.6	10.1	
	8.5vol%Mo <sub>2</sub> C + 8.5vol% WC + 8.5vol% TiC	1420	1		-	-	HV <sub>10</sub> = 15.5	7.8	
	8.5vol%Mo <sub>2</sub> C + 8.5vol% WC + 17vol% TiC	1420	1	-	-	HV <sub>10</sub> = 14.9	8.6		
Co (12wt%)	-	1420	1	Vacuum	8.8	c-r	HV <sub>10</sub> = 12.3	6.3	2015 Huang [22]
	5wt% WC	1420	1		6.6	Rounder + Core-rim structure	HV <sub>10</sub> = 12.2	8.3	
	5wt% VC	1420	1		3.8	c	HV <sub>10</sub> = 14.1	6.4	
	5wt%Mo <sub>2</sub> C	1420	1		3.8	c	HV <sub>10</sub> = 13.6	7.4	
Co (12vol%)	-	1420	1	Vacuum ~20Pa	-	-	HV <sub>30</sub> = 11.3	7.2	2017 Huang [57]
	5vol% VC	1420	1		-	-	HV <sub>30</sub> = 13.1	7.6	
	10vol% VC	1420	1		-	-	HV <sub>30</sub> = 13.8	7.4	
	5vol% Mo <sub>2</sub> C	1420	1		-	-	HV <sub>30</sub> = 14.0	6.3	
	10vol% Mo <sub>2</sub> C	1420	1		-	-	HV <sub>30</sub> = 14.4	7.3	
	4vol% VC + 4vol% Mo <sub>2</sub> C	1420	1		-	-	HV <sub>30</sub> = 13.9	7.5	
Ni (12vol%)	-	1420	1		5-20	c-r	HV <sub>30</sub> = 10.6	9.1	
	5vol% VC	1420	1		< 10	-	HV <sub>30</sub> = 13.0	11.1	
	10vol% VC	1420	1			-	HV <sub>30</sub> = 13.3	11.3	
	15vol% VC	1420	1			-	HV <sub>30</sub> = 13.3	10.4	
	5vol% Mo <sub>2</sub> C	1420	1	0.5-5	-	HV <sub>30</sub> = 12.7	10.1		
	10vol% Mo <sub>2</sub> C	1420	1		-	HV <sub>30</sub> = 13.3	9.9		
	15vol% Mo <sub>2</sub> C	1420	1		-	HV <sub>30</sub> = 13.9	8.1		
4vol% VC + 4vol% Mo <sub>2</sub> C	1420	1	0.5-5	-	HV <sub>30</sub> = 13.6	9.4			
Ni (20vol%)	4vol% VC + 4vol% Mo <sub>2</sub> C	1420	1	< 5	-	HV <sub>30</sub> = 10.7	15		
Ni (12vol%)	-	1420	1	Vacuum 7Pa	5-20	c-r	HV <sub>10</sub> = 11.1	11.8	2016 Huang [62]
	30mol% Al	1420	1		<10	more faceted	HV <sub>10</sub> = 13.3	6.4	
	4vol% Mo <sub>2</sub> C + 4vol% WC + 4vol% VC	1420	1		0.5-2	rounder	HV <sub>10</sub> = 14.6	9.2	
Ni (12vol%)	-	1420	1	Vacuum ~20Pa	7.7	c-r	HV <sub>30</sub> = 10.2	10.6	2018 Huang [39]
	5vol% VC	1420	1		2.3	-	HV <sub>30</sub> = 11.5	12.9	
	7.5vol% VC	1420	1			-	HV <sub>30</sub> = 12.1	9.9	
	10vol% VC	1420	1			-	HV <sub>30</sub> = 12.3	9.7	
	5vol% Mo <sub>2</sub> C	1420	1		2.0	-	HV <sub>30</sub> = 12.4	9.0	
	7.5vol% Mo <sub>2</sub> C	1420	1			-	HV <sub>30</sub> = 13.1	9.2	
	10vol% Mo <sub>2</sub> C	1420	1			-	HV <sub>30</sub> = 13.3	8.9	
	10vol% TiC + 7.5vol% VC	1420	1		1.3	Core-rim structure	HV <sub>30</sub> = 13.4	8.7	
10vol% TiC + 7.5vol% Mo <sub>2</sub> C	1420	1	0.9	Core-rim structure	HV <sub>30</sub> = 14.0	8.5			
Ni (12vol%)	-	1420	1	Vacuum ~20Pa	-	c-r + Graphite	HV <sub>30</sub> = 8.4	14	2018 Huang [35]
	4vol% NbH <sub>2</sub>	1420	1		-	Rounder	HV <sub>30</sub> = 12.1	11.0	
	2vol% NbH <sub>2</sub>	1420	1		-	Rounder	HV <sub>30</sub> = 10.7	9.6	

Appendix 1 – Literature summary table

	2vol% C	1420	1		-	Rounder + Graphite	HV <sub>30</sub> = 10.0	9.3	
	4vol% C	1420	1		-	Rounder + Graphite	-	-	
	5vol% Mo	1420	1		-	Graphite	HV <sub>30</sub> = 11.5	9.6	
	10vol% Mo	1420	1		< 5	-	HV <sub>30</sub> = 13.6	8.0	
	15vol% Mo	1420	1		< 5	-	HV <sub>30</sub> = 14.7	8.2	
	5vol% VC	1420	1		< 10	-	HV <sub>30</sub> = 12.7	11.5	
	5vol% VC + 2vol% NbH <sub>2</sub>	1420	1		< 10	-	HV <sub>30</sub> = 12.8	11.4	
	5vol% VC + 4vol% NbH <sub>2</sub>	1420	1		< 10	-	HV <sub>30</sub> = 13.6	10.4	
	5vol% VC + 2vol% C	1420	1		-	Graphite	HV <sub>30</sub> = 10.6	9.8	
M48 HSS (12wt%)	-	1260	0	Argon	2.9	-	HV <sub>2</sub> = 11.2	1.5	2018 Hadian [34]
		1300	0	Argon	3.8	-	HV <sub>2</sub> = 14.9	1.4	
		1340	0	Argon	4.9	c-r	HV <sub>2</sub> = 14.8	1.5	
		1380	0	Argon	6.1	c-r	HV <sub>2</sub> = 14.9	1.6	
		1420	0	Argon	10	c-r + Mo-Cr-W rich phase at grain boundaries	HV <sub>2</sub> = 14.3	1.4	
Ni (9wt%)	18wt% WC	1450	1.5	Vacuum ~20Pa	4.82	-	HV <sub>30</sub> = 12.3	9.5	2019 Huang [61]
	14wt% Ti(C <sub>0.5</sub> N <sub>0.5</sub> ) + 18wt% WC	1450	1.5		0.97	Core-rim structure	HV <sub>30</sub> = 15.3	7.9	
Ni (12wt%)	22wt% Ti(C <sub>0.5</sub> N <sub>0.5</sub> ) + 20wt% WC + 4wt% Mo <sub>2</sub> C	1450	1.5		0.88		HV <sub>30</sub> = 15.5	7.7	
Ni-Co (7-7wt%)	32wt% Ti(C <sub>0.5</sub> N <sub>0.5</sub> ) + 20wt% WC + 4wt% Mo <sub>2</sub> C	1450	1.5		0.83		HV <sub>30</sub> = 15.4	7.9	
	42wt% Ti(C <sub>0.5</sub> N <sub>0.5</sub> ) + 20wt% WC + 4wt% Mo <sub>2</sub> C	1450	1.5		0.87		HV <sub>30</sub> = 15.9	8.2	
M2 HSS (12wt%)	-	1450	1	Argon	> 20	Small s + Big p-r	-	-	2019 Hadian [33]
Ni (10vol%)	-	1390°C	1.5	Vacuum ~20Pa	-	c-r	HV <sub>30</sub> = 11.1	-	2019 Huang [65]
	2.5vol% WC				-	c-r	HV <sub>30</sub> = 12.0	10.5	
	5vol% WC				-	s	HV <sub>30</sub> = 12.3	8.7	
	7.5vol% WC				-	Core-rim structure	HV <sub>30</sub> = 12.1	8.8	
	10vol% WC				-	WC phase	HV <sub>30</sub> = 12.1	7.8	
	15vol% WC				-	WC phase	HV <sub>30</sub> = 12.6	7.7	

s = spherical; c = cubic; p = polyhedral; r = round edges; a = angular edges

## Appendix 2 – Toughness from Vickers indentations

---

It has been shown by Shetty et al that the resulting cracks of the Vickers indentation are a good indication of the toughness of the material [64]. Their aim was to combine existing theories and experimental results on WC-Co materials in order to determine which was the right way to link the characteristics of the Palmqvist cracks to the toughness of the material.

Exner [92] first defined a crack resistance  $W$  based on the relationship between the indentation load  $P$  and the mean radial crack length  $\bar{a}$ , as presented in formula (A2-1).

$$W = \frac{P}{4\bar{a}} \quad (\text{A2-1})$$

This formula is valid for low-binder content cermets (less than 10%). For higher contents, the experimental results of Ogilvy and Perrott [93][94] show that the formula (A2-2) that takes into account the threshold indentation load for cracking  $P_0$  is more appropriate.

$$W = \frac{P - P_0}{4\bar{a}} \quad (\text{A2-2})$$

The question that remains is how to link the crack resistance  $W$  to the fracture toughness  $K_{Ic}$ . It was suggested by Niihara [95] and Warren and Matzke [96] that this dependence would take the following formula (A2-3).

$$K_{Ic} = \beta(HW)^{1/2} \quad (\text{A2-3})$$

where  $\beta$  is a non-dimensional constant dependent, according to Niihara's model [95], on the ratio of Young's modulus ( $E$ ) and the hardness ( $H$ ).

To determine  $K_{Ic}$ , it is necessary to identify  $\beta$ . An example of Palmqvist crack is presented in Figure A2-1. Its 3D shape makes it difficult to analyze, especially because it is complicated to measure the depth of the crack.

However, the Palmqvist crack can be realistically represented as two-dimensional through cracks in equilibrium with a wedge of height  $2h$  as illustrated in Figure A2-1 and Figure A2-2 rather than three-dimensional surface cracks. Taking this simplification of shape into account, formula (A2-4) has been determined by Barenblatt [97] and Tweed [98]. For a diamond pyramid indentation, the toughness will then be given by the formula (A2-5).

$$K_{Ic} = \frac{Eh}{(1 - \nu^2)(2\pi\bar{a})^{1/2}} \quad (\text{A2-4})$$

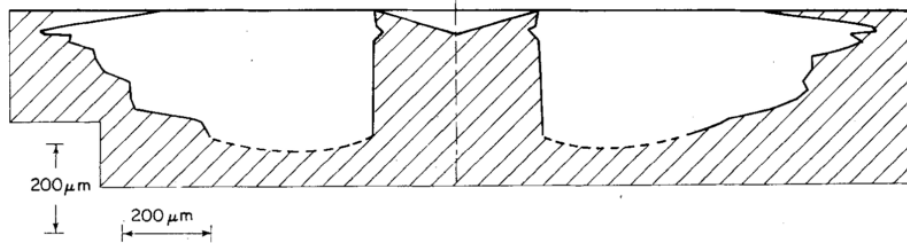
$$K_{Ic} = \frac{1}{3(1 - \nu^2)(2^{1/2}\pi \tan \psi)^{1/3}} \frac{(HP)^{1/2}}{(4\bar{a})^{1/2}} \quad (\text{A2-5})$$

where  $\nu$  is Poisson's ratio and  $\psi$  is the apex angle of the indent.

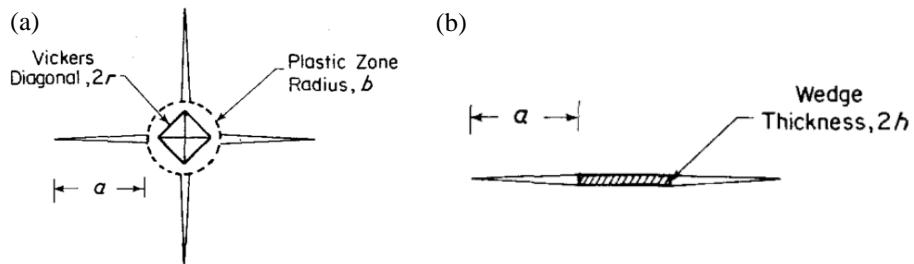
This formula (A2-6) can be approximated as formula (A2-6) [99].

$$K_{Ic} = 0.0028 \frac{(HP)^{1/2}}{(4L)^{1/2}} \quad (\text{A2-6})$$

where  $L$  is the total length of the four edges cracks cumulated.



**Figure A2-1** Palmqvist cracks in a WC-5.1vol.%Co cermet indented at a load of 1200 N [64].



**Figure A2-2** The Palmqvist indentation crack (a) and the equivalent wedge-loaded crack analogue (b) used in the analysis [64].



## Appendix 3 – Thermodynamic calculations

---

The different steps of calculation of the curves presented in part II.B.1 are detailed in this part. The standard Gibbs free energies are calculated depending on the temperature with Eq. (A3-1).

$$\Delta G^0(T) \approx \Delta H^0(25^\circ C) - T\Delta S^0(25^\circ C) \quad (\text{A3-1})$$

For each given oxidation reaction, the constants of reaction K depending on the temperature are calculated with Eq. (A3-2).

$$K = e^{-\frac{\Delta G^0(T)}{RT}} \quad (\text{A3-2})$$

For a H<sub>2</sub> reducing agent, the considered reactions are (A3-3) and (A3-4), with the corresponding reaction constants K<sub>1</sub> and K<sub>2</sub>.



From the reaction constants, the different gas pressures depending on the temperature can be calculated according to (A3-5) and (A3-6).

$$P(O_2) = \frac{1}{K_1} \quad (\text{A3-5})$$

$$\frac{P(H_2O)}{P(H_2)} = \left(\frac{K_2}{K_1}\right)^{0.5} \quad (\text{A3-6})$$

For a fixed value of P(H<sub>2</sub>), P(H<sub>2</sub>O) can be drawn depending on the temperature.

For a C reducing agent, the considered reactions are (A3-7), (A3-8) and (A3-9), with the corresponding reaction constants K<sub>1</sub>, K<sub>3</sub> and K<sub>4</sub>.



From the reaction constants, the different gas pressures are plotted as a function of the temperature according to (A3-10), (A3-11) and (A3-12).

$$P(O_2) = \frac{1}{K_1} \quad (\text{A3-10})$$

$$P(\text{CO}_2) = \frac{K_3}{K_1} \quad (\text{A3-11})$$

$$P(\text{CO}) = \left(\frac{K_4}{K_1}\right)^{0.5} \quad (\text{A3-12})$$

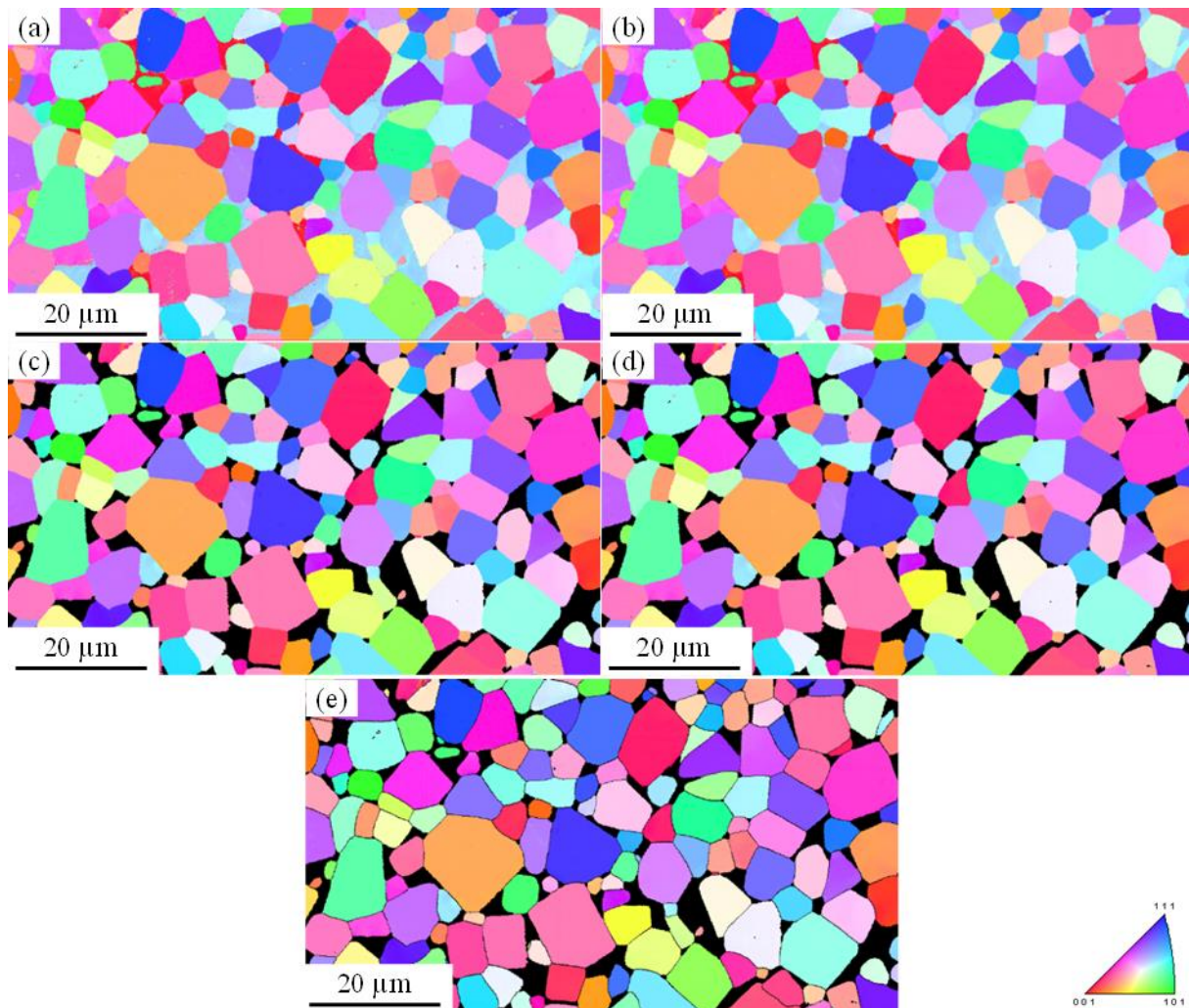
Therefore,  $P(\text{CO})$  and  $P(\text{CO}_2)$  can be plotted as a function of the temperature.

## Appendix 4 – EBSD data treatment

This appendix aims to present the different steps of the processing of EBSD maps in this study, along with the Aphelion code which was used.

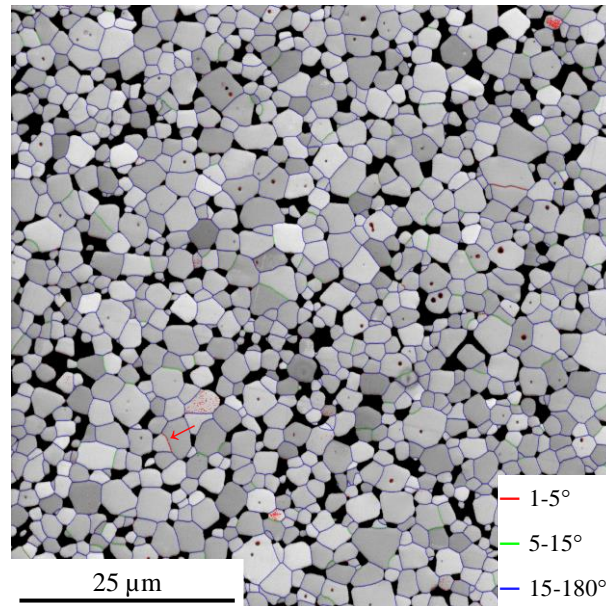
### A4.1 Data cleaning and pre-processing

Figure A4-1 presents the different steps of data cleaning and pre-processing performed with the software OIM analysis 8. First, a cleaning is performed in order to remove noise and unattributed pixels. Then, the nickel binder is manually removed. It could not be done by EBSD species identification as the Kikuchi lines of Ni and NbC overlap. Therefore, the entire map's orientation is NbC indexation. A condition on the image quality is then applied to remove any points that could not be identified as NbC with certainty, such as for example points corresponding to alumina particles from polishing. Every points with a quality index (IQ) below 0.3 is removed. Finally, grain boundaries are drawn for a disorientation of two neighboring grains above  $2^\circ$ . This low value was chosen after observing that some grain boundaries have a very low disorientations ( $< 5^\circ$ ) as presented in Figure A4-2.



**Figure A4-1** Data cleaning and pre-processing of an EBSD map of a NbC-12vol%Ni sample sintered 1h at 1360°C in vacuum, (a) Initial map, (b) Cleaned map, (c) Cleaned map without

binder, (d) Cleaned map with binder with a quality index > 0.3, (e) Cleaned map with binder with a quality index > 0.3 with grain boundaries drawn for a minimum disorientation of 2°.

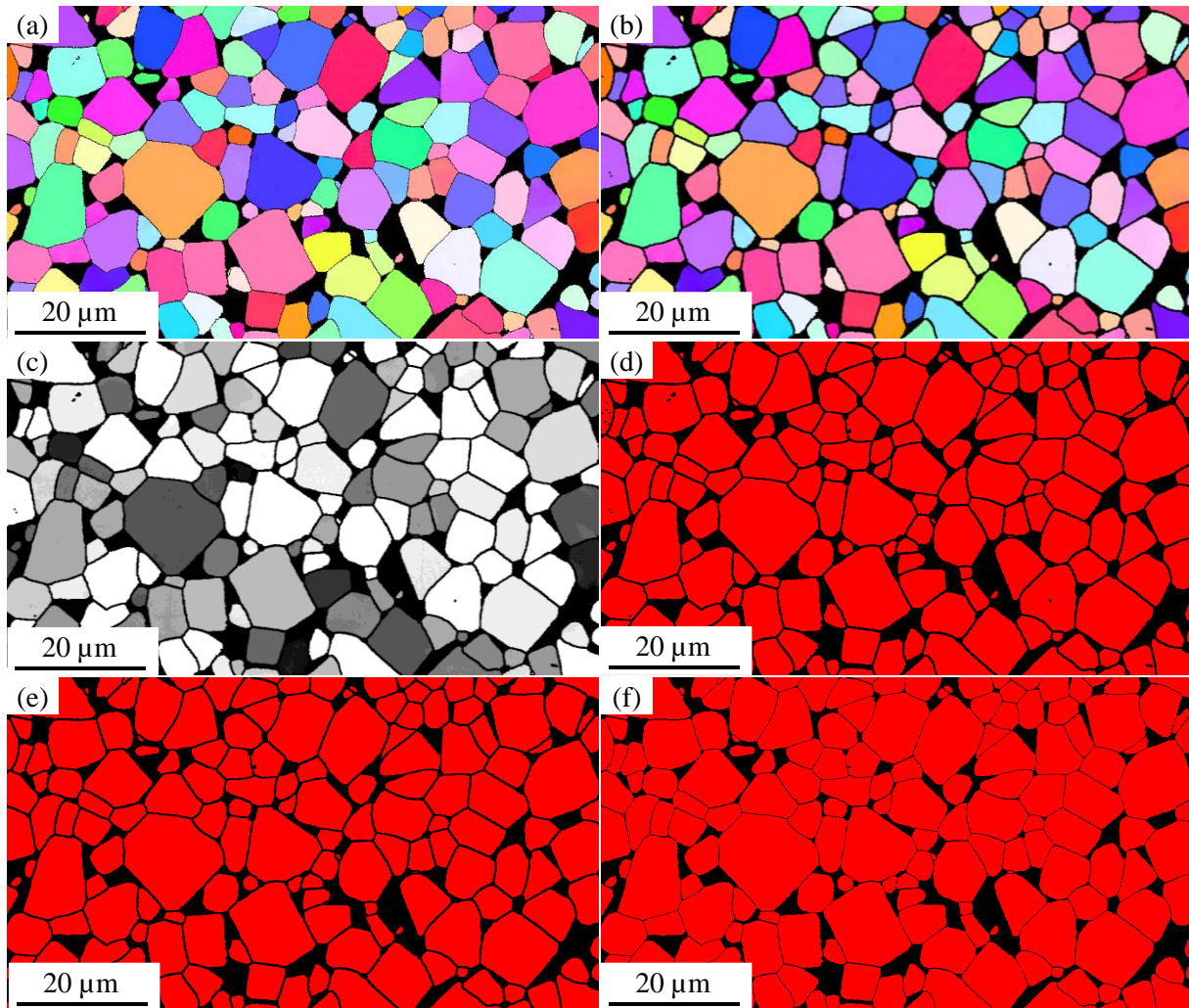


**Figure A4-2 Grain boundaries disorientation on a EBSD map of a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni sample sintered at 1450°C under vacuum.**

#### A4.2 Processing and Grain size distribution

The image is then processed to obtain a binary image with only dense individual grains separated by continuous grain boundaries. The different steps of this process are presented in Figure A4-3. First, an erosion of the grains is performed to enlarge grain boundaries and ensure their continuity. Then, the image is transformed into a greyscale image, and then into a binary image. Then, isolated black spots inside the grains, which originally corresponded to pores or binder inclusions, are eliminated. Finally, a dilation is performed to regain a normal grain boundary width.

From this image, a macro allows us to index each individual grain (see part A4.3). An example of the obtained data is presented in Table A4-1.



**Figure A4-3** Data processing of an EBSD map of a NbC-12vol%Ni sample sintered 1h at 1360°C in vacuum, (a) Initial map, (b) Grain boundary erosion, (c) Grey level, (d) Binary threshold, (e) Elimination of intra-granular defects, (f) Dilation.

**Table A4-1** Example of data obtained for 10 grains from an EBSD map of a NbC-12vol%Ni sample sintered 1h at 1360°C in vacuum.

Grain	Index	Area	Horizontal diameter	Vertical diameter	Rectangle_index	Elongation	Xcenter	Ycenter
1	8	33110	2.15	1.54	0.72	0.38	756.50	77.00
2	35	10657	1.00	1.11	0.94	0.37	1246.24	76.05
3	36	17466	1.25	1.71	0.74	0.35	1539.93	112.17
4	40	13473	1.21	1.26	0.80	0.26	1448.87	87.76
5	41	10964	1.08	1.04	0.90	0.10	1843.13	78.71
6	51	3009	0.55	0.57	0.79	0.37	110.22	84.88
7	52	1230	0.30	0.41	0.73	0.38	549.00	75.50
8	53	4278	0.64	0.79	0.97	0.21	1970.75	96.75
9	54	4415	0.66	0.70	0.80	0.23	1121.12	95.64
10	55	4680	0.72	0.65	0.90	0.25	1346.00	95.50

#### A4.3 Aphelion code for processing

This part presents the code used to measure the grain size and shape in this study.

```

*****
'Analyse individuelle version du 30-04-19 (travail avec des images couleur)
*****

Sub main

'Declarations

Const crlf = chr$(13) + chr$(10)

Dim fichimage As String, image As String, imagedir As String, sauudir As String, taille As String
Dim ul(0 to 1) As Long, dr(0 to 1) As Long
Dim size() As Long
Dim pixelx, pixely as Double
Dim aire As Double, airemasque As Double
Dim indexes() As Long
Dim diameter() As Single, centre() As Single
Dim n_exclus As Double, n_compte As Double

' PRELIMINAIRES
'Viewmacro = ActiveFormId("4_Analyse_individuelle_fichier")
'APIViewMinimize Viewmacro
AphImgFreeAll
'AphDeferRefresh 1

u$="µm"
n_exclus=0
n_compte=0

repertoireir$="C:\Users\Utilisateur\Documents\Data\Mathilde\Aphelion\"
chDir repertoireir$

*****
'Lecture des données du fichier text
*****

donnees = repertoireir$ & "donnees_ana_individuelle.txt"

Open donnees For Input As #1

Line Input #1, imagedir$
Line Input #1, pixx$
Line Input #1, pixy$
Line Input #1, fich$

```

Close #1

\*\*\*\*\*

'Boucle de lecture des noms de fichier image

\*\*\*\*\*

```
ChDrive("C")
chDir imagedir$
fichimage$ = Dir$(".tif")
```

```
nchamps = 0
```

```
While (fichimage$ <> "")
  nchamps = nchamps + 1
  fichimage$=dir$
WEnd
```

\*\*\*\*\*

'Création du fichier de sauvegarde

\*\*\*\*\*

```
ChDrive("C")
chDrive repertoiremdir$
```

```
sauvdir$ = repertoiremdir$
```

```
fichier_sauvegarde = sauvdir$ & fich$ & ".tdg"
open fichier_sauvegarde For Output Access Write As #1
```

```
Write #1,"Mesure de taille et de géométrie des grains de NbC dans les carbures cémentés"
write #1,
write #1, imagedir$
Write #1,"Nombre d'images", nchamps
Write #1,
write #1, "taille du pixel", pixx$, u$
```

\*\*\*\*\*

'Boucle sur les images

\*\*\*\*\*

'Initialisation des images

```
Initiale = AphImgNew("Initiale")
InitialeBW = AphImgNew("InitialeBW")
```



```

Threshold = AphImgNew("Threshold")
Erode = AphImgNew("Erode")
Separee = AphImgNew("Separee")
Separee2 = AphImgNew("Separee2")
    Separee3 = AphImgNew("Separee3")
Grains = AphObjNew("Grains")

ChDrive("C")
chDir imagedir$
fichimage$ = Dir$("*.*tif")

While (fichimage$ <> "")

    write #1,
    write #1,
    write #1,
    Write #1,fichimage$
    Write #1,
    write #1,"Grain", "Index", "Aire", "Diametre horizontal", "Diametre
vertical", "Rectangle_indice", "Elongation", "Xcentre", "Ycentre"

    AphImgRead Initiale, imagedir & fichimage

'Taille de l'image
    AphImgGetSize Initiale, size
    xsize=size(0)
    ysize=size(1)

'Calibration
    AphImgSetCalibrationUnit Initiale, 0, u$
    AphImgSetCalibrationUnit Initiale, 1, u$

'Calibrage de l'axe x
    AphImgSetCalibrationScale Initiale,0, pixx

'Calibrage de l'axe Y
    AphImgSetCalibrationScale Initiale,1, pixy

'Début du traitement

    AphImgSplitBands Initiale, InitialeBW, 0
    AphImgThreshold InitialeBW, Threshold, Aph4Interval(1,255)

```



```

Aph4ImgErode Threshold, Erode, pseSquare, 3
Aph4ImgDilateReconsClose Erode, Separee, pseSquare, 9, pngSquare8
Aph4ImgDilate Separee, Separee2, pseSquare, 3
Aph4ImgSplitConvex Separee2, Separee3, 10, pngSquare8

```

```

AphImgClustersObj Separee3, Grains, AphNamedNgbGraph("2D 8-connected")

```

```

AphObjGetIndexList Grains, indexes
NbVoisins = UBound(indexes)

```

```

AphObjShape Grains, "REGION"
AphObjComputeMeasurements Initiale, Grains, AphMeasurementSet()

```

```

for i = 0 to NbVoisins

```

```

    Area = AphObjGetAttributeD( Grains, indexes(i), "AREA")
        Hauteur = AphObjGetAttributeD( Grains, indexes(i), "MBR_HEIGHT")
        Largeur = AphObjGetAttributeD( Grains, indexes(i), "MBR_WIDTH")
    Rectangle_indice = Hauteur / Largeur
    Elong_indice = AphObjGetAttributeD( Grains, indexes(i), "ELONGATION")
    AphObjGetAttributeA Grains, i+1, "MBR_DIAMETERS", diameter
    AphObjGetAttributeA Grains, i+1, "MBR_CENTER", centre

```

```

    Diametre_X= diameter(0) * pixx
    Diametre_Y= diameter(6) * pixy
    Centre_X= centre(0)
    Centre_Y= centre(1)

```

```

'Sauvegarde des résultats

```

```

If Centre_X > 70 And Centre_X < xsize-70 And Centre_Y > 70 And Centre_Y < ysize-70 Then

```

```

    n_compte = n_compte + 1

```

```

    Write #1,n_compte,indexes(i), Area, Diametre_X,Diametre_Y, Rectangle_indice,
    Elong_indice, Centre_X, Centre_Y

```

```

    Else n_exclus = n_exclus + 1
End If

```

```

Next

```

```

    ntotal= n_compte + n_exclus

```

```

    Write #1,

```

```

    Write #1,

```

```

    Write #1,"Nombre de Grains Exclus:", n_exclus

```

```
Write #1,"Nombre Total de Grains:", ntotal
```

```
fichimage$ = Dir$
```

```
WEnd
```

```
'AphImgFreeAll
```

```
MsgBox("C'est fini")
```

```
End Sub
```

## Appendix 5 – 3D size distribution reconstruction

This appendix presents the reconstruction method to obtain 3D grain size distributions from 2D sections. First, the Saltykov method [71] is used to obtain a 3D distribution. However, as the grain shape is not spherical, negative values are obtained for small grain sizes. To correct this result, a regression is performed.

### A5.1 Saltykov method

The method described in this part was detailed by Missiaen [100]. From equivalent 2D diameters, a distribution of 3D equivalent diameters can be estimated with formula (A5-1).

$$N_A f(d_k)\Delta d = \sum_{i=1}^N p(D_i, d_k)\Delta d N_v(D_i) D_i \quad (\text{A5-1})$$

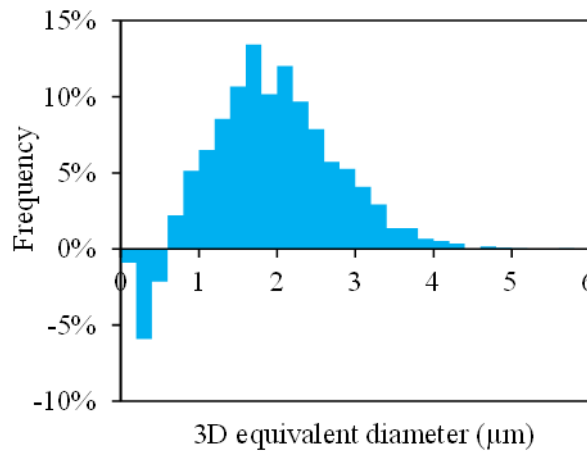
where  $N_A f(d_k)\Delta d$  is the number of particle per unit area with a diameter between  $d_k$  and  $d_k + \Delta d$ ,  $p(D_i, d_k)\Delta d$  is the probability that a particle with a 3D diameter  $D_i$  has a 2D diameter in section between  $d_k$  and  $d_k + \Delta d$ , and  $N_v(D_i)$  is the number of article per unit volume with a diameter  $D_i$ .

This formula can be simplified into an array formula (A5-2). To obtain the number of particles in 3D with a diameter, the matrix is inverted as presented in formula (A5-3).

$$N_A f(d_k)\Delta d = (P_{i,k})N_v(D_i) \quad (\text{A5-2})$$

$$N_v(D_i) = (P_{i,k})^{-1}N_A f(d_k)\Delta d \quad (\text{A5-3})$$

The 3D distribution obtained by this method for a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC composition is presented in Figure A5-1. Negative values are obtained for small diameters, due to the non-spherical shape of the grains and to imprecisions in the 2D frequencies of small grains associated to image processing. To correct this error, a regression method is used.



**Figure A5-1** Calculated equivalent relative frequency of equivalent 3D diameter ( $\mu\text{m}$ ) from EBSD measurements on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with Saltykov method.

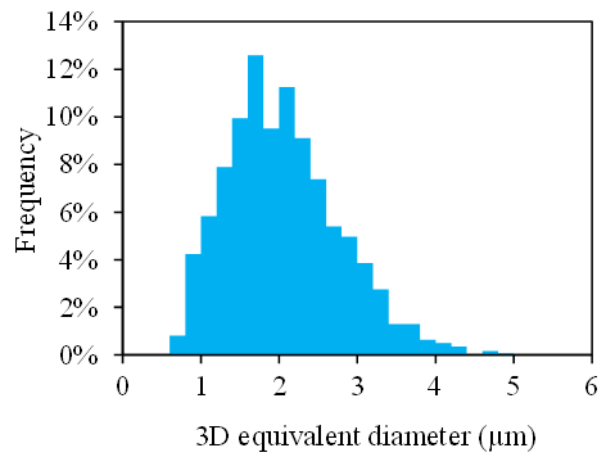
## A5.2 Regression method

The 3D distribution determined previously is used to recalculate a 2D distribution using formula (A5-2). This distribution is then compared to the experimental 2D distribution by calculating the sum of the squared deviation, with formula (A5-4).

$$\chi^2 = \sum_{k=1}^N \frac{(N_A f(d_{th,k}) \Delta d - N_A f(d_{exp,k}) \Delta d)^2}{N_A f(d_{th,k}) \Delta d} \quad (\text{A5-4})$$

where  $f(d_{th,k})$  is the recalculated frequency of 2D diameters from the 3D distribution and  $f(d_{exp,k})$  is the experimental frequency of 2D diameters.

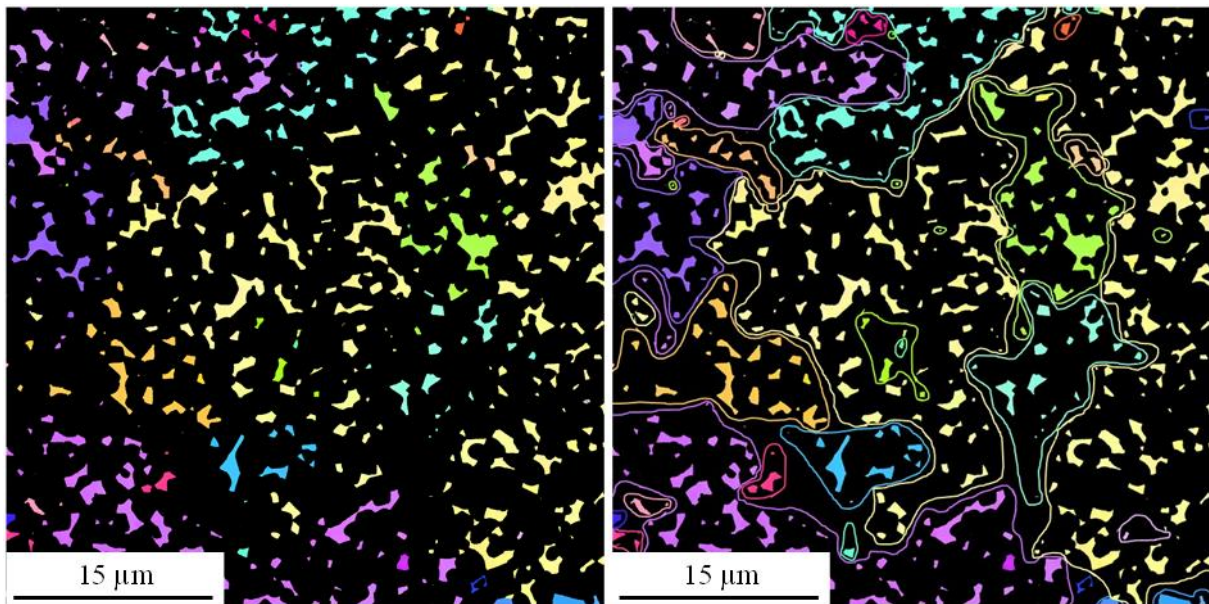
Then, a regression is performed on the 3D distribution with two conditions: minimizing  $\chi^2$  and having only positive values in the 3D distribution. An example of obtained 3D distribution by this method is presented in Figure A5-2.



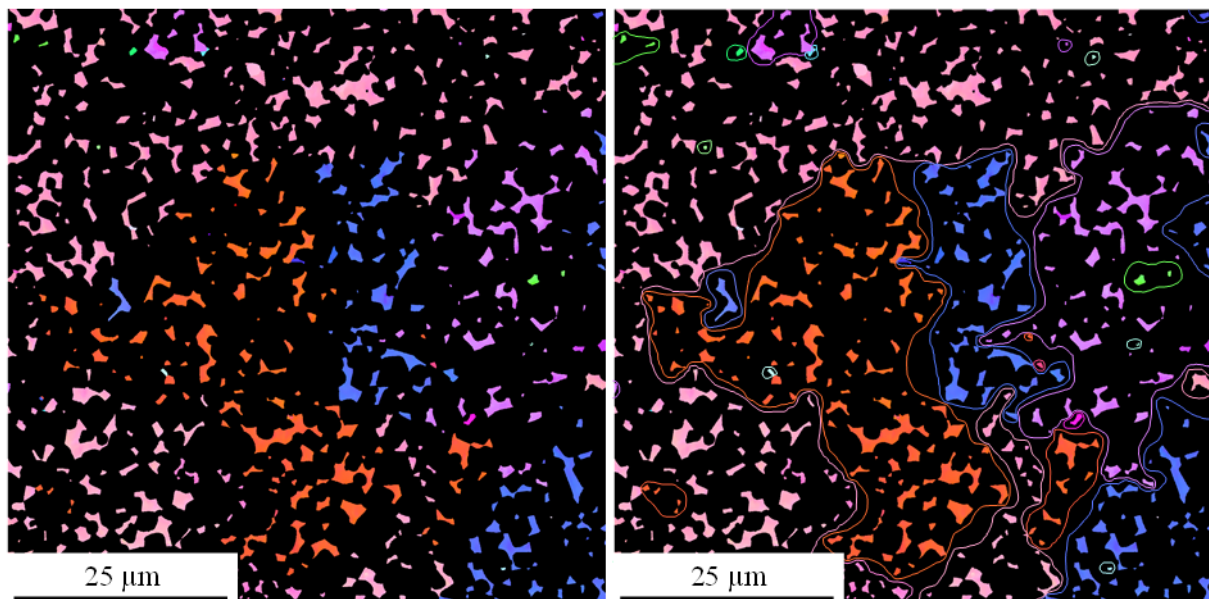
**Figure A5-2** Calculated equivalent relative frequency of equivalent 3D diameter ( $\mu\text{m}$ ) from EBSD measurements on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with Saltykov method and regression.

## Appendix 6 – Nickel grain size

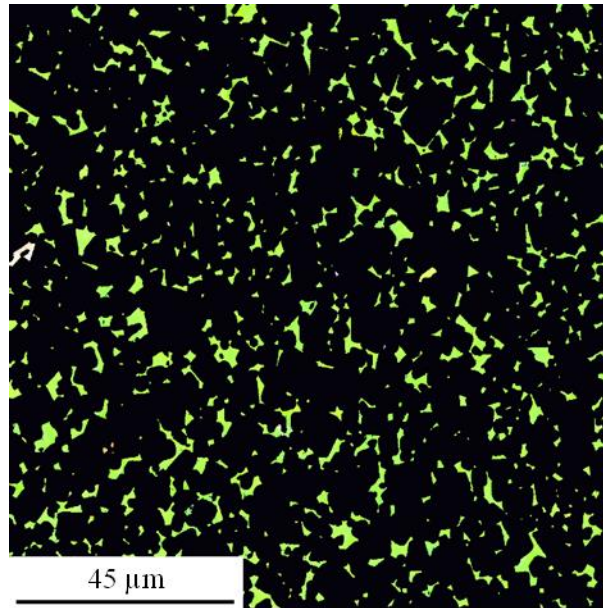
This appendix aims to present the evolution of the Ni-rich phase grain size depending on the carbon content. The nickel phase has been isolated from EBSD maps for a (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni samples with 3at%Mo (see Figure A6-3), without addition (see Figure A6-4) and with 3at%C (see Figure A6-5). For a low carbon content, several nickel grains are observed on a 45x45 μm map, whereas only one grain is observed on a 125x125 μm map of a carbon rich sample. For the reference composition, a few grains are observed on a 70x70 μm map. Therefore, the nickel grain size increases with the carbon content.



**Figure A6-3** Nickel phase of a (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni with 3at%Mo sample sintered at 1450°C in vacuum from EBSD measurements



**Figure A6-4** Nickel phase of a (NbC-3%Mo<sub>2</sub>C)-0.5vol%WC-12vol%Ni sample sintered at 1450°C in vacuum from EBSD measurements

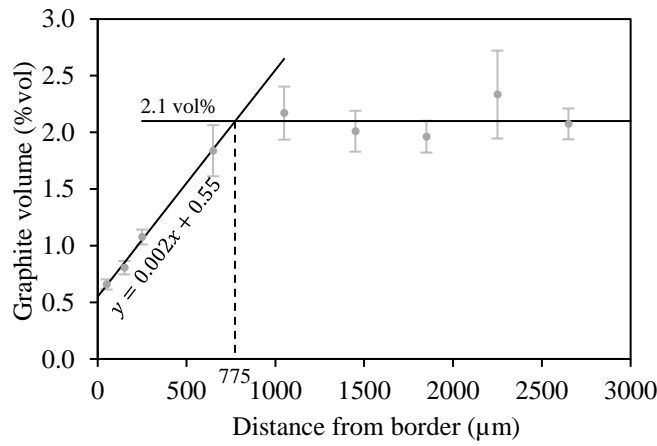


**Figure A6-5 Nickel phase of a NbC-0.5vol%WC-12vol%Ni with 3at%C sample sintered at 1450°C in vacuum from EBSD measurements**

*Note: The color code correspondence to orientation is not presented on the above representation because the Kikuchi lines of nickel and NbC overlap, and therefore both phases could not be differentiated. Therefore, only the NbC phase was indexed during acquisition, and the nickel phase was identified by its morphology.*

## Appendix 7 – Effect of decarburizing on graphite distribution in C-rich compositions - Calculations

This appendix aims to evaluate the carbon loss in the outer cylindrical ring of the sample with a thickness of 775  $\mu\text{m}$ . It was estimated from experimental measurements that the graphite content increases from 0.55vol% to 2.1vol% from 0 to 775  $\mu\text{m}$  from the external surface. Then, from 775  $\mu\text{m}$  to the center of the sample, the graphite content is 2.1vol%. This graphite content distribution is presented in Figure A7-1. The disturbed 775  $\mu\text{m}$  outer cylindrical ring of the sample represents 42.33% of the entire sample's volume. The dimensions and mass of the entire sample and of the outer cylindrical ring are given in Table A7-1.



**Figure A7-1 Graphite volume distribution estimated from the measured values on a (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with 4.5at%C.**

**Table A7-1 Properties of the (NbC-3%Mo<sub>2</sub>C)-12vol%Ni-0.5vol%WC sample with 4.5at%C.**

Entire sample				Outer cylindrical ring
d (mm)	h (mm)	V (mm <sup>3</sup> )	m (g)	V <sub>o</sub> (mm <sup>3</sup> )
6.44	4.76	155	1.2558	65.61

The global graphite fraction in volume of the sample is given by formula (A6-1).

$$V_{graphite} = \frac{1}{100} \int_0^x 2.10 \times h2\pi r dr + \frac{1}{100} \int_x^{d/2} (-0.002r + 6.99)h2\pi r dr \quad (\text{A7-1})$$

Where  $x = 3220 - 775 = 2445 \mu\text{m}$ .

The volume of graphite in the sample is thus  $V_{graphite} = 2.73 \text{ mm}^3$ , with  $m_{graphite} = 6.09 \text{ mg}$ . Therefore, there is **0.48 wt%** of graphite in the sample. If the graphite volume fraction was 2.10 vol% in the entire sample, then  $V_{graphite} = 3.26 \text{ mm}^3$  and  $m_{graphite} = 7.27 \text{ mg}$ , and there would be **0.58wt%** of graphite in the sample. Therefore, **0.10 wt%** of carbon is lost at the external surface of the sample.

Data:  $\rho_{graphite} = 2.23 \text{ g/cm}^3$





## Appendix 8 – Chemical effect on interface energy for systems with mutual solubility

---

### Introduction

Let us consider a binary system A-B, where a solid containing only A atoms is in equilibrium with a liquid solution  $\beta$  containing A and B atoms. The structural and chemical contribution to the solid-liquid interface energy can be estimated with a simple model of broken bonds and regular solution for the liquid [101]:

$$\sigma_{A\beta} = \sigma_{A\beta}^{\text{pure A}} + \frac{m}{\Omega} \lambda_{AB} (1 - x_A^\beta)^2 \quad (\text{A8-1})$$

where  $\sigma_{A\beta}^{\text{pure A}}$  represents the interface energy between A and  $\beta$  when the liquid  $\beta$  contains only A atoms. Therefore, it does not contain information on the chemistry but on the structure of the interface, *i.e.* the atomic arrangement near the interface.  $m$  is the fraction of broken bonds at the interface,  $\Omega$  is the area occupied by one mole of atoms at the interface,  $x_A^\beta$  is the molar fraction of A in the liquid and  $\lambda_{AB}$  is the interchange energy of the regular AB solution, which is related to the bonding pair energies  $\varepsilon_{AA}$ ,  $\varepsilon_{BB}$  and  $\varepsilon_{AB}$ , to the Avogadro number  $N_{Av}$  and to the coordination number  $z$ :

$$\lambda_{AB} = N_{Av} z \left( \varepsilon_{AB} - \frac{\varepsilon_{AA} + \varepsilon_{BB}}{2} \right) \quad (\text{A8-2})$$

In this model, the coordination number  $z$  is assumed to be constant in the solid, in the liquid and at the interface. This assumption simplifies the structural description to focus on chemical effects on the interface energy. The chemical contribution to the interface energy is contained in the second term of formula (A8-1). It is deduced that a good affinity between A and B atoms, which will decrease the interchange energy but also increase the solubility of A atoms in the liquid, will decrease the interface energy.

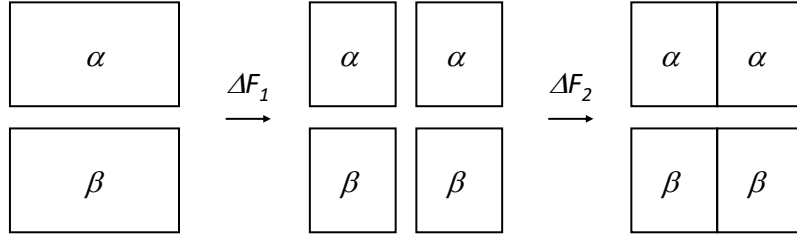
This argument is sometimes found in the literature to explain the effect of addition of a third element to a binary system. If this element has a good affinity for B atoms in the liquid, it is speculated to reduce the interface energy. However, things are not so simple in that case. First, it is not simply the absolute affinity of the additive element with the liquid atoms which is to be considered, but rather the relative affinity with liquid atoms and with solid atoms. Then, the effect of a minor element in the solid phase cannot be discussed the same way as the effect of the main element A in the binary case. The aim of this paper is to discuss these aspects in a ternary system.

### Theoretical background

Let us consider a binary solid solution  $\alpha$  containing  $A_1$  and  $A_2$  atoms, in equilibrium with a liquid solution  $\beta$  containing mostly B atoms and a small amount of  $A_1$  and  $A_2$  solute atoms. B atoms are supposed to have negligible solubility in the solid phase  $\alpha$ .

The formation of an interface between  $\alpha$  and  $\beta$  from bulk  $\alpha$  and  $\beta$  phase is sketched in Figure A8-1. In a first step, the fracture of  $\alpha$  and  $\beta$  bulk materials is performed along a cleavage plane. In a second step, the two free surfaces are put into contact and new chemical bonds are formed. As in the binary case, it is assumed that the coordination number  $z$  is constant in  $\alpha$  and  $\beta$  and at the interface. The interface energy is then simply the sum of the free energy variations  $\Delta F_1$  and  $\Delta F_2$  for the two steps divided by the surface area  $S$  of the interface:

$$\sigma_{\alpha\beta} = \frac{\Delta F_1 + \Delta F_2}{S} \quad (\text{A8-3})$$



**Figure A8-1** Formation of an interface between  $\alpha$  and  $\beta$  bulk phases.

This formula can be expressed per atom at the  $\alpha\beta$  interface, *i.e.* for 1/2 atom at the surface of  $\alpha$  and  $\beta$ :

$$\sigma_{\alpha\beta} = -\frac{1}{2} \frac{z m}{\omega} \overline{\varepsilon^\alpha} - \frac{1}{2} \frac{z m}{\omega} \overline{\varepsilon^\beta} + \frac{z m}{\omega} \overline{\varepsilon^{\alpha\beta}} = \frac{z m}{\omega} \left( \overline{\varepsilon^{\alpha\beta}} - \frac{\overline{\varepsilon^\alpha} + \overline{\varepsilon^\beta}}{2} \right) \quad (\text{A8-4})$$

where  $m$  is the fraction of broken bonds at the cleavage plane,  $\omega$  is the area occupied by an atom at the surface or interface,  $\overline{\varepsilon^\alpha}$ ,  $\overline{\varepsilon^\beta}$  and  $\overline{\varepsilon^{\alpha\beta}}$  are the average bonding pair energies in bulk  $\alpha$  and  $\beta$  phases and at the  $\alpha\beta$  interface, respectively.

Assuming a uniform distribution of the atoms, the average bonding pair energies are related to the bonding pair energies between the different kind of atoms  $j$  and to the corresponding atomic fractions  $x_j^\alpha$  and  $x_j^\beta$  in both phases:

$$\overline{\varepsilon^\alpha} = x_{A_1}^{\alpha 2} \varepsilon_{A_1 A_1}^\alpha + x_{A_2}^{\alpha 2} \varepsilon_{A_2 A_2}^\alpha + 2x_{A_1}^\alpha x_{A_2}^\alpha \varepsilon_{A_1 A_2}^\alpha \quad (\text{A8-5})$$

$$\overline{\varepsilon^\beta} = x_{A_1}^{\beta 2} \varepsilon_{A_1 A_1}^\beta + x_{A_2}^{\beta 2} \varepsilon_{A_2 A_2}^\beta + x_B^{\beta 2} \varepsilon_{BB}^\beta + 2x_{A_1}^\beta x_{A_2}^\beta \varepsilon_{A_1 A_2}^\beta + 2x_{A_1}^\beta x_B^\beta \varepsilon_{A_1 B}^\beta + 2x_{A_2}^\beta x_B^\beta \varepsilon_{A_2 B}^\beta \quad (\text{A8-6})$$

$$\begin{aligned} \overline{\varepsilon^{\alpha\beta}} = & x_{A_1}^\alpha x_{A_1}^\beta \varepsilon_{A_1 A_1}^{\alpha\beta} + x_{A_2}^\alpha x_{A_2}^\beta \varepsilon_{A_2 A_2}^{\alpha\beta} + x_{A_1}^\alpha x_B^\beta \varepsilon_{A_1 B}^{\alpha\beta} + x_{A_2}^\alpha x_B^\beta \varepsilon_{A_2 B}^{\alpha\beta} + (x_{A_1}^\alpha x_{A_2}^\beta \\ & + x_{A_2}^\alpha x_{A_1}^\beta) \varepsilon_{A_1 A_2}^{\alpha\beta} \end{aligned} \quad (\text{A8-7})$$

Reporting these expressions in the expression of interface energy (A8-4) gives:

$$\begin{aligned}
 \sigma_{\alpha\beta} = & \frac{z m}{\omega} \left[ x_{A_1}^\alpha x_{A_1}^\beta \varepsilon_{A_1 A_1}^{\alpha\beta} + x_{A_2}^\alpha x_{A_2}^\beta \varepsilon_{A_2 A_2}^{\alpha\beta} + x_{A_1}^\alpha x_B^\beta \varepsilon_{A_1 B}^{\alpha\beta} + x_{A_2}^\alpha x_B^\beta \varepsilon_{A_2 B}^{\alpha\beta} + (x_{A_1}^\alpha x_{A_2}^\beta \right. \\
 & \left. + x_{A_2}^\alpha x_{A_1}^\beta) \varepsilon_{A_1 A_2}^{\alpha\beta} \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} x_{A_1}^{\alpha 2} \varepsilon_{A_1 A_1}^\alpha + \frac{1}{2} x_{A_2}^{\alpha 2} \varepsilon_{A_2 A_2}^\alpha + x_{A_1}^\alpha x_{A_2}^\alpha \varepsilon_{A_1 A_2}^\alpha \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} x_{A_1}^{\beta 2} \varepsilon_{A_1 A_1}^\beta + \frac{1}{2} x_{A_2}^{\beta 2} \varepsilon_{A_2 A_2}^\beta + \frac{1}{2} x_B^{\beta 2} \varepsilon_{BB}^\beta + x_{A_1}^\beta x_{A_2}^\beta \varepsilon_{A_1 A_2}^\beta + x_{A_1}^\beta x_B^\beta \varepsilon_{A_1 B}^\beta + x_{A_2}^\beta x_B^\beta \varepsilon_{A_2 B}^\beta \right]
 \end{aligned} \tag{A8-8}$$

A structural term  $\sigma_{\alpha\beta}^{\text{pure } A_1}$  can be isolated as in formula (A8-9):

$$\begin{aligned}
 \sigma_{\alpha\beta} = & \frac{z m}{\omega} \left( \varepsilon_{A_1 A_1}^{\alpha\beta} - \frac{\varepsilon_{A_1 A_1}^\alpha + \varepsilon_{A_1 A_1}^\beta}{2} \right) \\
 & + \frac{z m}{\omega} \left[ (x_{A_1}^\alpha x_{A_1}^\beta - 1) \varepsilon_{A_1 A_1}^{\alpha\beta} + x_{A_2}^\alpha x_{A_2}^\beta \varepsilon_{A_2 A_2}^{\alpha\beta} + x_{A_1}^\alpha x_B^\beta \varepsilon_{A_1 B}^{\alpha\beta} + x_{A_2}^\alpha x_B^\beta \varepsilon_{A_2 B}^{\alpha\beta} + (x_{A_1}^\alpha x_{A_2}^\beta \right. \\
 & \left. + x_{A_2}^\alpha x_{A_1}^\beta) \varepsilon_{A_1 A_2}^{\alpha\beta} \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} (x_{A_1}^{\alpha 2} - 1) \varepsilon_{A_1 A_1}^\alpha + \frac{1}{2} x_{A_2}^{\alpha 2} \varepsilon_{A_2 A_2}^\alpha + x_{A_1}^\alpha x_{A_2}^\alpha \varepsilon_{A_1 A_2}^\alpha \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} (x_{A_1}^{\beta 2} - 1) \varepsilon_{A_1 A_1}^\beta + \frac{1}{2} x_{A_2}^{\beta 2} \varepsilon_{A_2 A_2}^\beta \right. \\
 & \left. + \frac{1}{2} x_B^{\beta 2} \varepsilon_{BB}^\beta + x_{A_1}^\beta x_{A_2}^\beta \varepsilon_{A_1 A_2}^\beta + x_{A_1}^\beta x_B^\beta \varepsilon_{A_1 B}^\beta + x_{A_2}^\beta x_B^\beta \varepsilon_{A_2 B}^\beta \right] \\
 = & \sigma_{\alpha\beta}^{\text{pure } A_1} \\
 & + \frac{z m}{\omega} \left[ (x_{A_1}^\alpha x_{A_1}^\beta - 1) \varepsilon_{A_1 A_1}^{\alpha\beta} + x_{A_2}^\alpha x_{A_2}^\beta \varepsilon_{A_2 A_2}^{\alpha\beta} + x_{A_1}^\alpha x_B^\beta \varepsilon_{A_1 B}^{\alpha\beta} + x_{A_2}^\alpha x_B^\beta \varepsilon_{A_2 B}^{\alpha\beta} + (x_{A_1}^\alpha x_{A_2}^\beta \right. \\
 & \left. + x_{A_2}^\alpha x_{A_1}^\beta) \varepsilon_{A_1 A_2}^{\alpha\beta} \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} (x_{A_1}^{\alpha 2} - 1) \varepsilon_{A_1 A_1}^\alpha + \frac{1}{2} x_{A_2}^{\alpha 2} \varepsilon_{A_2 A_2}^\alpha + x_{A_1}^\alpha x_{A_2}^\alpha \varepsilon_{A_1 A_2}^\alpha \right] \\
 & - \frac{z m}{\omega} \left[ \frac{1}{2} (x_{A_1}^{\beta 2} - 1) \varepsilon_{A_1 A_1}^\beta + \frac{1}{2} x_{A_2}^{\beta 2} \varepsilon_{A_2 A_2}^\beta \right. \\
 & \left. + \frac{1}{2} x_B^{\beta 2} \varepsilon_{BB}^\beta + x_{A_1}^\beta x_{A_2}^\beta \varepsilon_{A_1 A_2}^\beta + x_{A_1}^\beta x_B^\beta \varepsilon_{A_1 B}^\beta + x_{A_2}^\beta x_B^\beta \varepsilon_{A_2 B}^\beta \right]
 \end{aligned} \tag{A8-9}$$

Assuming that pair energies are the same in the 2 phases and at the interface ( $\varepsilon_{A_1 A_1}^\alpha = \varepsilon_{A_1 A_1}^\beta = \varepsilon_{A_1 A_1}^{\alpha\beta} = \dots$ ), and grouping the terms with same pair energies:

$$\begin{aligned}
 \sigma_{\alpha\beta} \approx & \sigma_{\alpha\beta}^{\text{pure } A_1} - \frac{z m}{2\omega} (x_{A_1}^\alpha - x_{A_1}^\beta)^2 \varepsilon_{A_1 A_1} - \frac{z m}{2\omega} (x_{A_2}^\alpha - x_{A_2}^\beta)^2 \varepsilon_{A_2 A_2} - \frac{z m}{\omega} (x_{A_1}^\alpha \\
 & - x_{A_1}^\beta) (x_{A_2}^\alpha - x_{A_2}^\beta) \varepsilon_{A_1 A_2} + \frac{z m}{\omega} (x_{A_1}^\alpha - x_{A_1}^\beta) x_B^\beta \varepsilon_{A_1 B} + \frac{z m}{\omega} (x_{A_2}^\alpha \\
 & - x_{A_2}^\beta) x_B^\beta \varepsilon_{A_2 B} - \frac{z m}{2\omega} x_B^{\beta 2} \varepsilon_{BB}
 \end{aligned} \tag{A8-10}$$

This expression can be further rearranged by introducing the interchange energies  $\lambda_{ij}$ , which are defined in Eq. (A8-11), for the 3 different pairs  $A_1 A_2$ ,  $A_1 B$  and  $A_2 B$ :

$$\begin{aligned}
 \sigma_{\alpha\beta} \approx & \sigma_{\alpha\beta}^{\text{pure } A_1} \\
 & - \frac{m}{\Omega} (x_{A_1}^\alpha - x_{A_1}^\beta) (x_{A_2}^\alpha - x_{A_2}^\beta) \lambda_{A_1 A_2} + \frac{m}{\Omega} (x_{A_1}^\alpha - x_{A_1}^\beta) x_B^\beta \lambda_{A_1 B} + \frac{m}{\Omega} (x_{A_2}^\alpha - x_{A_2}^\beta) x_B^\beta \lambda_{A_2 B}
 \end{aligned} \tag{A8-11}$$

$\Omega = N_{Av}\omega$  being the average area occupied by one mole of atoms at the surface or at the interface. This formula is reduced to Eq. (A8-1) as  $x_{A_2}^\alpha, x_{A_2}^\beta \ll 0$ , *i.e.* for the binary system.

Formula (A8-11) summarizes the complex effect of chemical affinities on the  $\alpha\beta$  interface energy. Let us assume for simplicity that the 3 solutions are stable at all temperatures ( $\lambda_{A_1A_2} < 0, \lambda_{A_1B} < 0$  and  $\lambda_{A_2B} < 0$ ). The effect of addition of a ternary element  $A_2$  to the binary system  $A_1B$  can be seen in the 2<sup>nd</sup> and 4<sup>th</sup> term of formula (A8-11). If  $A_2$  has a strong affinity for B atoms in the liquid,  $x_{A_2}^\beta > x_{A_2}^\alpha$  and the 4<sup>th</sup> term tends to increase the interface energy whereas the 2<sup>nd</sup> term tends to decrease it. And since  $|\lambda_{A_2B}|$  is expected to be larger than  $|\lambda_{A_1A_2}|$  in this case, the positive contribution may be dominant. The presence of the ternary element would then increase the interface energy although it has a good affinity for atoms in the liquids. In other words, the addition of a ternary element  $A_2$  having a good affinity for atoms B in the liquid would increase the interface energy, first because the number of  $A_2B$  bonds at the interface would be lower than the number of such bonds in the liquid (for solubility reasons), then because the resultant positive contribution of  $A_2B$  bonds to the interface energy is not compensated by the negative contribution of  $A_1A_2$  bonds which are not so strong.

The present approach only gives a first order representation of chemical effects on the interface energy. Structural variations at the interface when adding a third element may complicate the approach. Also the model assumes a uniform composition in the liquid. Adsorption at the interface can play a role and especially decrease the interface energy for an element having a good affinity for atoms in the liquid. But still the model should give the basic trends of chemical effects on the interface energy in ternary systems and avoid erroneous intuitive conclusions based on simple binary systems.