

## Native support for parallel and distributed computing by the network

Junior Dongo

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École doctorale MSTIC



# Native Support for Parallel and Distributed Computing by the Network

# THÈSE

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par

Junior Dongo

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Mis en page avec la classe thesul.

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#### Abstract

In the Big data community, MapReduce has been considered as one of the main approaches to answer the permanent increasing computing resources demand imposed by the large data amount. Its importance can be explained by the evolution of the MapReduce paradigm which permits massively parallel and distributed computing over many nodes.

Information-Centric Networking (ICN) aims to be the next Internet architecture. It brings many features such as network scalability and in-network caching by moving the networking paradigm from the current host-centric to content-centric where the resources are important not their location. To benefit from the ICN property, Big Data architecture needs to be adapted to comply with this new Internet architecture. One dominant of these CCN architectures is Named Data Networking (NDN) which has been financed by the American National Science Foundation (NSF) in the scope of the project Future Internet Architecture (FIA).

We aim to define Big Data architecture operating on Named Data Networking (NDN) and capitalizing on its properties. First, we design a fully distributed, resilient, secure and adaptable distributed file system NDFS (NDN Distributed File System) which is the first layer (Data Layer) in the Big Data stack. To perform computation on the data replicated using a Distributed File System, a Big Data architecture must include a Compute Layer. Based on NDN, N-MapReduce is a new way to distribute data computation for processing large datasets of information. It has been designed to leverage the features of the data layer. Finally, through the use of formal verification, we validate our Big Data architecture.

Keywords: NDN, Big Data, DFS, Distributed Computing

#### Résumé

Dans la communauté du Big Data, le squelette MapReduce a été considéré comme l'une des principales approches permettant de répondre à une demande permanente et croissante des ressources informatiques imposées par des données massives. Son importance peut être expliquée par l'évolutivité du paradigme MapReduce qui permet une exécution massivement parallèle et distribué sur un grand nombre de noeuds de calcul.

Le réseau centré sur l'information (ICN) vise à être la prochaine architecture Internet. Il apporte de nombreuses fonctionnalités telles que la mise à l'échelle du réseau et l'utilisation de cache réseau en déplaçant le paradigme de communication actuel centré sur l'hôte, vers une approche de communication centrée sur la donnée, où les ressources sont importantes et non leur emplacement.

Pour tirer profit des propriétés des réseaux ICN dans le domaine Big Data, l'approche d'architecture Big Data doit être adaptée pour se conformer à cette nouvelle architecture réseau. L'une des dominantes de ces architectures ICN est Named Data Networking (NDN) qui a été financée par l'American National Science Foundation (NSF) dans le cadre du projet d'architecture de l'Internet du Future (FIA).

Notre objectif est de définir une architecture Big Data fonctionnant sur NDN et capitalisant sur ses propriétés. Premièrement, nous concevons un système de fichiers distribués NDFS (NDN Distributed File System) entièrement distribué, résilient, sécurisé et adaptable qui est la première couche (Data Layer) de la pile Big Data. Pour effectuer le calcul sur les données répliquées à l'aide d'un système de fichiers distribués, une architecture Big Data doit inclure une couche de calcul. Basé sur NDN, N-MapReduce est une nouvelle façon de distribuer le calcul de données pour traiter de grands volume de données. Il a été conçu pour tirer profit des fonctionnalités de la couche de données. Enfin, grâce à l'utilisation de la vérification formelle, nous vérifions un ensemble de propriétés temporelles sur notre architecture Big Data.

Mots-clés: NDN, Big Data, Calcul parallele, Calcul Distribué.

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## Chapter 1

## Introduction

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This thesis has for title "Native Support for Parallel and Distributed Computing by the Network" and deals with how future distributed systems should be designed and operate due to the changes introduced by the evolution of computer networks to Information-Centric Networking (ICN) protocols, and more specifically Big Data applications over Named Data Networking (NDN). In this introduction, we first present the context and the motivations for this subject, then we present the problem we address. Finally, we briefly present the specific contributions of this work and how this document is organized. Our main objective is to provide useful information that helps the reader to familiarize themselves with Named Data Networking, Big Data, and also how to perform formal verification of systems from these domains.

#### 1.1 Context and thesis motivation

Introduced as a Future Internet Architecture, NDN (Named Data Networking) is deeply changing the way network communications are performed. Applications have to adapt to this new networking paradigm and these adoptions sometimes imply a complete change of how applications are built.

This is the case for IM (Instant Messaging) for which, a new implementation has been proposed with a serverless design approach, that enables IM clients to chat with each other without infrastructure support over NDN [1].

Video Streaming is a widely used application over Internet today. An implementation of NDNVideo was proposed for video streaming, it can provide reliable and rate-adaptive playback with no session negotiation necessary between parties using NDN [2].

Big Data is a hot topic for almost all domains. In fact, every domain is looking for a way to gain insight from data or simply find a way to manage huge volume of data. One of the most used architecture in Big Data is the Lambda architecture [3]. This architecture has three layers: the batch layer, the speed layer, and the service layer. Incoming data go through both the batch layer and the speed layer. The data in the batch layer are immutable, it is never updated and new data are added to the end of the file. Results that meet the business requirements are precomputed using distributed processing systems. The speed layer deals with real-time data flow processing.

In this layer, stream processing frameworks such as Spark Stream or Storm are used. In the service layer, the data from the batch views and those from the speed layer views are merged. The Lambda architecture is independent of the technologies to be implemented. Beyond the different architectures and technical solutions, to provide added value, we need to understand the data lifecycle management. This data management can be viewed in two layers: a Data layer used as a storage and a Compute layer used to perform computation on the stored data or data coming in real-time. Both of them work at the application level when considering TCP/IP communication. NDN enables in-network caching, meaning that the data are meaningful at the network layer. Data here represent information from files or applications, but also the script of any program and more generally any useful resource. This is a great advance in networking and it also offers great possibilities such as moving the data management from the application layer to the network layer. Managing data at the network level may have a significant impact on data processing and also data availability. Data locality becomes then an important property. This brings new challenges such as data freshness. Some data last longer than others, whereas freshness is a constant associated with the data.

The objective of this thesis is to propose a Big Data architecture based on NDN. This will respond to two subgoals: first, fill a gap concerning Big Data over NDN, and provide a continuity of services; being able to perform duties while moving from TCP/IP to NDN (adopting NDN as communication mechanism). We also capitalize and leverage NDN dissemination capabilities to improve how Big Data is performed today (for example, dealing with small files). We first propose a Distributed File System which we called NDFS (Named Data Networking Distributed File System) for the data layer and also a Computation Distribution mechanism based on the MapReduce paradigm called NMapReduce (Named Data Networking MapReduce).

#### 1.2 Problem statement

In this doctoral thesis, we study the new content-centric architecture designed and proposed to be the basis for the future Internet architecture. Named Data Networking architecture addresses the data rather than the hosts of the network, implying that the routing mechanisms, applications used in IP networks are no longer adequate for this new architecture.

Thus, NDN can not be fully functional without a redesign of applications and can not be deployed to replace the current architecture of the Internet. In fact, the removal of IP addresses in the NDN approach and the adoption of a content-centric communication lead to data dissemination on the network instead of a point to point communication pattern. Existing applications can't function as they are, especially applications needing network communications. It is therefore essential to propose new application mechanisms that are adapted to this network architecture. This redesign will consist of changing the way applications are built, in such a way that the network communication matches the one provided by NDN.

We focus in this thesis on Big Data architecture, namely a Distributed File System and a Computation mechanism.

This architecture must also take advantage of new features provided by NDN architecture, such as content caching. In-network caching is a technique that helps to accelerate content distribution. It consists of storing part of data at the network level and then use it to satisfy future requests for the data. There exist cache replacement mechanisms that are used to manage the content of the cache when it gets full.

#### 1.3 Contributions and thesis plan

In this PhD thesis, we study the Named Data Networking Architecture and we propose a new Big Data architecture based on this network architecture.

This is done by studying the problem considering different aspects. First, we start by defining a software architecture using formal specifications. We then prove properties on the specification to ensure invariants in the specified behaviors. Implementations for experimental validation of the expected results are performed and finally, we consider performing measurements for comparison purposes.

In this manuscript, we start by presenting in Chapter 2 the state of the art of Big Data, Distributed System, Distributed Computing, and introducing the Named Data Networking, its mechanism and methods of content distribution. Our Big Data architecture (NDFS and NMapReduce) and a formal specification of its components in Coq are presented in Chapter 3. In Chapter 4, we formally verify our architecture using formal methods. We prove properties about it using the UPPAAL model checker. Chapter 5 presents the implementations of our architecture and we evaluate the performance of our approach using simulation experiments on a prototype version to highlight the main factor impacting the system. In Chapter 6, we evaluate our solution using some experimentations and present the different results which are then compared with the Hadoop platform. We also consider three use cases where our approach is used in real-life situations.

Finally, we conclude this work in Chapter 7 where we summarize our contributions and present possible future research directions. We also list the various publications performed during this thesis period.

## Chapter 2

## State of the Art

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In this chapter, we first present the Big Data domain and its challenges. Then, we present the two main components of Big Data architecture, which are a Distributed File System (DFS) (Section 2.2) and a Distributed Computing (2.3), and discuss the state of the art related to each research domains. Finally, we conclude with a description of the Named Data Networking paradigm (Section 2.4).

### 2.1 Big Data

In this section, we define Big Data as it is pertinent to our work. We highlight its characteristics, its main applications and the research challenges in this domain.

#### 2.1.1 Big Data Definition

Several definitions for Big Data have been proposed over time as efforts have been made to understand this domain [4][5][6][7]. Those definitions have been based on different concepts related to big data such as the volume of the data, the engineering needed to process the data or the value of the data, etc. The most popular among them which tries to combine almost all the concepts is the one from Gartner [8]. According to this definition, Big Data is high-volume, highvelocity and/or high-variety information assets that demand cost-effective, innovative forms of information processing that enable enhanced insight, decision making, and process automation. Called the "3Vs" definition, this definition characterizes big data according to three aspects namely the Volume, the Velocity and the Variety of the data. Big Data is a large relative concept that is evolving. Today's big data may not be tomorrow's big data. A big data challenge for an organization may not be a big data challenge for another organization.

From all of these, we provide our definition of big data. Big data for an organization is a collection of large volumes of data, coming from a variety of sources, fast and in complex formats that cannot be processed using traditional computing techniques at the given organization.

#### 2.1.2 Big Data Characteristics

Big Data is generally characterized by the Volume, the Velocity, the Value, the Veracity and the Variety of the data. These are commonly referred to as the Big Data five Vs (Figure 2.1).



Figure 2.1: Big Data 5 Vs Characteristics

#### Volume

This aspect is related to the scale of the data. With current technologies, the hard disk size is growing faster than their read speed. The volume refers to the size of the data sets that need to be stored, read, analyzed and processed. Depending on an organization to another, the size of the data is frequently ranging from gigabytes to petabytes. Due to that size, the data require particular processing technologies than commonly used storage and processing capabilities. Namely, the data sets are too large to be processed using a simple computer. The 26 billion emails per day Yahoo has to deal with for its users is an example of a high-volume data set.

#### Velocity

This aspect is related to the analysis of the data. It refers to the speed and frequency at which the data to be processed is generated. According to this aspect, an analysis approach [9] (batch, real/near time, stream processing) will be chosen. The batch processing approach is no longer enough, and one might think of a computation where the data represent a potentially infinite stream of data processed as soon as elements appeared. Facebook status updates or Twitter messages are examples of data generated with high velocity.

#### Value

This aspect is related to the usefulness of the data. It refers to the worth of the data. When collecting data, unless the collected data are turned into value, it is useless. This value is very often the reason why the data are stored or not.

#### Veracity

This aspect is related to the uncertainty of the data. It refers to data reliability. Low veracity data, is data containing a high percentage of meaningless information also referred to as noise. High veracity data are data containing a high percentage of information that is trustworthy.

#### Variety

This aspect is related to the different forms of data. The data can be structured, unstructured or semi-structured.

Structured data generally refer to relational data. These data reside in a specified format. As an example, name, phone number, address, date amount, etc. These are the data contained in relational databases and spreadsheets.

Unstructured data refer to data that don't have a predefined data model. Data like PDF, Word, Text, audio, video, Media Logs, fall into this category.

Semi-structured data are data that have some organizational properties which ease their processing but do not reside in a relational database. Very often, some processing on the data can allow one to store them in a relational database. CSV data are an example of semi-structured data. NoSQL databases (often non-relational) are preferred for some data such as timestamped data.

#### 2.1.3 Big Data Architecture

Many architectures have been considered for Big Data. The two dominant ones are Lambda Architecture and Kappa Architecture.

#### Lambda Architecture

Lambda architecture (Figure 2.2) has been proposed by Nathan Maz [3]. It has three layers: the batch layer, the speed layer, and the service layer. Incoming data go through both the batch layer and the speed layer. The data in the batch layer are immutable, they are never updated and new data are added to the end of the file. Results that meet the business requirements are precomputed using distributed processing systems. The speed layer deals with real-time data flow processing. In this layer, stream processing frameworks such as Spark Stream or Storm are used. In the service layer, the data from the batch views and those from the speed layer views are merged. These operations involve data locks at runtime and could involve latencies.



Figure 2.2: Lambda Architecture

#### Kappa Architecture

Kappa architecture (Figure 2.3) has been proposed by Jay Kreps [10]. In this architecture, everything is considered as a stream. It has two layers: a real-time layer and a serving layer. The real-time layer is used for data processing of the incoming data streamed through this layer. The serving layer receives the results from the real-time layer and is used for queries regarding these results. This architecture reduces the data locks, but the data storage is limited to the use of log files. In this context, a message broker like Apache Kafka can play the role of a distributed file system. Every queue has an address and is bound to a topic of exchange.

#### 2.1.4 Technologies

Provided by different vendors such as Hortonworks, IBM, Amazon, Cloudera, Microsoft, etc., these technologies are important in providing infrastructures and means to manage and process huge volumes of structured, unstructured and semi-structured data. Big data technologies are divided into two categories: Operational Big Data and Analytical Big Data. Table 2.1 gives an overview of both classes.



Figure 2.3: Kappa Architecture

#### **Operational Big Data**

Operational Big Data applications include MongoDB and Cassandra databases. The goal is to provide a faster and better way to deal with a large amount of data distributed over many sources. This is generally done using cloud computing architectures, allowing efficient and inexpensive massive computation. This makes operational big data workloads cheaper, faster to implement and much easier to manage. They also have capabilities for real-time analysis through the use of streaming frameworks such as Spark [11] or Flink [12].

#### Analytical Big Data

Analytical Big Data applications are systems that analyze data in a batch or interactive processing for retrospective and complex analysis. Analytical Big Data systems include Massively Parallel Processing database systems [13] and MapReduce (Section 2.3.3).

	Operational	Analytical
Latency	$1~\mathrm{ms}$ - $100~\mathrm{ms}$	1 min - 100 min
Concurrency	1000 - 100,000	1 - 10
Access Pattern	Writes and Reads	Reads
Queries	Selective	Unselective
Data Scope	Operational	Retrospective
End User	Customer	Data Scientist
Technology	NoSQL	MapReduce, MPP Database

Table 2.1: Operational Big Data Vs Analytical Big Data

#### 2.1.5 Applications

Big Data is in use in every domain today. Most of the time, the use of Big Data is to enable processing for high volume or high-velocity data to increase productivity. In this section, we will give some application domain examples.

#### Banking

In this sector, Big Data is used for many purposes. It helps to improve employees' performance and management [14], improve cybersecurity and risk management, detect fraud and illegal activities [15][16]. It is also used for cost-saving and reduces the risk of failure by optimizing and

automating business processes [15]. In the stock market, it is used for short and medium-term predictive analytics [17].

#### Healthcare

The advent of many healthcare connected devices has increased the amount of data generated which organizations in this sector have to deal with. Big Data is used to predict the number of patients visiting at specific times to improved staffing [18]. It helps to improve medical screening, prognosis and diagnosis [19]. Big data applications are also used to predict locations where there is a chance of specific disease to spread [20].

#### E-commerce

In this domain, Big Data is used to increase the overall profitability [21], by helping to decrease product returns, offer customized promotions to customers, for example when a product is added to cart but was not bought by the customer, increasing the average revenue per customer. It is used to learn what customers really want and then deliver them accordingly [22].

#### Government

Governments need to deal with various complex issues on a daily basis at many levels. Big data has a very wide range of applications such as fraud detection, environmental protection, national security, scientific research, financial debts data management, taxes and tax categories management, cybersecurity, crime prediction and prevention, and financial market analysis [23].

#### Social Media

This sector can be seen as a cross-sector between all the others, as the data from this sector are also of benefit to the others. Marketing is one of the most impacted, where big data from social media can be used to better understand users, by analyzing their preferences, behaviors [24], in geo-marketing, challenge zones are recast based on visitors surfing in a commercial zone. Big data is also used to support healthcare in detecting disease spread [25].

#### 2.1.6 Challenges

Big data came with many challenges and some of them are still prevalent. Those challenges are technical and non-technical. We will focus only on the technical ones in this part. The main challenges include storage, integration, processing, privacy, and security. In this section, we will discuss these challenges and the proposed solutions.

#### Storage

This is the most obvious challenge associated with big data. How to store the rapidly growing data in an efficient way that can ease the processing, while preserving the CAP theorem (Consistency, Availability, Partition Tolerance) introduced by Eric A. Brewer [26]. Traditional storage approaches consist of storing data in flat files as a record of various fields which are delimited by a space, comma, pipe, or any special character directly on the operating system's file system. Another approach is the use of traditional databases such as MySQL. These traditional storage approaches are difficult to apply in a big data context due to the increase in data generation. Advanced techniques such as cloud storage [27] (including Distributed File System) have been

proposed as a solution to deal with data in a Big Data context. The use of data replication along with data integrity preserved by data consistency in all the location, help users and applications to access the data consistently. New schema-free databases approaches such as Apache HBase [28], and Apache Cassandra [29] are emerging and becoming core technology for Big Data. They provide replication, consistency and support large scale data.

#### Integration

Big Data integration is an important phase in a big data project [30]. How to combine data coming from different sources, under different formats and being able to give a unified view of the data. ETL (Extract, Transform, and Load) [31] technologies such as Flume [32], are used to provide a way to move data from one or many sources and send them to another data environment in traditional data warehouses [33]. These technologies need to be improved to work within big data environments, due to the increasing variety and volume when dealing with big data [34]. To reduce the load time, new approaches have been considered [35]. ELT (Extract, Load, and Transform), opposed to traditional ETL approaches, is an approach for data integration process consisting of transferring raw data from the sources directly into the target and then perform the transformation there. Tools in this context, usually enable batch integration processes and real-time integration across several sources. Tools such as Talend [36], Sqoop [37] and Scribe [38] are the most used.

#### Processing

After the successful integration of the data and their storage, the next step is to get useful information from the data. How to efficiently process the generated large data sets. Data processing is a real challenge due to the volume of the data and their complexity (structured, unstructured, semi-structured, ...) [39]. Traditional approaches based on local processing lack the capacity to handle such data. Distributed computing such as MapReduce [40] is now widely used for processing large data sets. It consists of parallelizing the computation on a cluster of nodes. This aspect will be cover in detail in Section 2.3. Other applications such as Spark [11], Hive [41], Flink [12] are also used to support the data processing.

#### Security and privacy

Security and privacy are also big challenges to deal with in big data context [42]. The replication of the data and the use of distributed computation on many nodes create an environment difficult to secure but highly vulnerable to attack [43]. Data integrity and confidentiality implementation are also difficult and complex [43]. The value of a big data deployment can be interesting for attackers. Valuable information can be used, sold if an unauthorized user succeeds to gain access to a big data platform. Nowadays ransom demands related to information systems are increasing. Data might be lost in case of a successful ransomware attack, recovering from such an attack can be very challenging and costly. Traditional security tools are improved to cope with the scalable aspect of big data, with the ability to secure multiple types of data in different stages. Tools are for example encryption [44], Access Control [45], Intrusion Detection and Prevention [46], ... We also have Apache Ranger [47] which provides comprehensive security across the Apache Hadoop ecosystem and Apache Knox [48] which provides a secure way for interacting with Hadoop clusters.

#### 2.2 Distributed File Systems

Storage is the first layer in a Big Data architecture (Figure 2.4). Many works [49] [50] have been conducted to be able to deal with the scalability of the data, and also the velocity at which data are being produced. The proposed approaches tend to improve how data are stored in such a way that ease big data processing. In this section, we define DFS, their characteristics and give examples of the most used ones.



Figure 2.4: Big Data layers

#### 2.2.1 DFS Definition

Before defining a DFS, it is good to know what a distributed system is. A distributed system is a collection of autonomous computing elements (hardware devices or software processes), collaborating and appears as a single coherent system (users or applications perceive a single system) [51]. From this definition, we define a distributed file system which is a type of distributed system [52]. A DFS is a file system residing on a collection of nodes but appears as a single integrated file system. It allows users to store and share data, but also working capability with the data as if the data were located on the user's computer.

#### 2.2.2 DFS Characteristics

A distributed file system should have the following characteristics [52].

#### Transparency

A DFS has to provide different types of transparencies for the user such as access, location, relocation, migration, replication, concurrency failure. The DFS has to hide differences in data representation and the way data are accessed, the true location of the data. If the data are moved from a location to another one, that moving has to occur discretely. The fact that the data are replicated has to be hidden to the user, and also nodes failure and recovery.

#### Performance

The amount of time needed by a DFS to satisfy client requests such as data replication or data retrieval has to be good on average. This should also be the case when dealing with a large number of users. Also, the performance of a DFS should not depend on explicit file placement [53].

#### Scalability

A good Distributed File System should be able to support an increase in the number of nodes without causing any disruption of service or being noticed by the users. This characteristic also includes the fact that the system should cope with a high service load and being able to accommodate the growth of the number of users or the resources. The scalability can be up and down.

#### High availability

A distributed file system should be resistant to failure. It should continue to operate in case of partial failures such as a storage device failure, a link failure, or even a node failure. Availability can be achieved through the use of file replication.

#### High reliability

A DFS should have a low probability of stored data loss. The System should provide a data recovery mechanism in the event of a loss. Having a good failover mechanism can help to achieve this goal.

#### Data integrity

A DFS should preserve the accuracy and consistency of the data when dealing with concurrent access requests from multiple users.

#### Security

The nature of a distributed system makes it much more prone to attack. Communication through the network can be intercepted. A DFS must provide data security to preserve data confidentiality and privacy. The resources must be protected from misuse and malicious use. Stored data protection mechanisms should be implemented.

#### 2.2.3 HDFS

In this section, we present one of the most used DFS. This DFS is compared to our DFS in Chapter 6.2.

HDFS is a distributed, scalable, and portable file-system used in the Hadoop framework. It is used to store large files across multiple commodity hardware. It has a master/slave architecture (Figure 2.5). All servers are connected and the communication between them is made using TCP/IP based protocol. A master server, called NameNode is responsible for keeping and maintaining the file system namespace, storing file system metadata and coordinates the operations on the cluster such as finding where to store the replicated files and ensuring that the system performs correctly. The slave servers are called DataNodes. Their role is to store application data and provide access to the data.

HDFS supports data replication. In fact, when storing data on the DFS, the data are replicated on many DataNodes for reliability based on the replication factor, which is 3 by default. When there is a need for a client application to read or write data, the client sends a message to the NameNode which checks where the operation should be performed. The NameNode then sends the information about the location to the client which can directly read or write to the DataNodes specified by the NameNode.

With all the functionalities and the position of the NameNode within the cluster, it can be considered as a Single Point of Failure (SPOF) [54]. The loss of the NameNode would result in a loss of the entire cluster. To try to solve this issue, the Apache Software Foundation

has introduced an active/passive mechanism with the use of a second NameNode which is in a passive mode [55]. The active NameNode manages the clients' operations in the cluster, while the passive NameNode is in standby mode, acting as a slave, and having similar data as the active NameNode. It is used to provide failover in case of an issue with the active NameNode. Thus, when the active NameNode fails, the passive NameNode takes control and replaces the active node for the cluster to continue working. This approach brings some issues in terms of data consistency. In fact, there should always exist a perfect synchronization between the active and passive NameNode to re-instantiate the cluster to the same state in case of a crash of the active NameNode [56]. Also, only one NameNode should be active at a time on the cluster. Having both NameNodes active will result in data corruption [57].

Furthermore, HDFS is inefficient in dealing with small data [58]. In fact, Big Data architectures may be required to handle a large collection of smaller datasets [59]. HDFS still faces challenges in this field of Big Data, for example, the lack of efficiency in the random reading of small files. The problem is that HDFS can't handle lots of files. Every file, directory, and block in HDFS is represented as an object in the NameNode's memory, each of which occupies 150 bytes. So 10 million files, each using a block, would use 3 Gigabytes of memory. Scaling up much beyond this size is a problem with current hardware.



Figure 2.5: HDFS Architecture

#### 2.3 Distributed Computing

After storing the data, one needs to gain insight from them. Distributed computing is the key to handle the deluge of data we have seen coming in recent years. It constitutes the second layer in a Big Data architecture (Figure 2.4). In this section, we define distributed computing, we give the main idea behind programming models and finally, we present MapReduce.

#### 2.3.1 Distributed Computing Definition

Born in the late 1970s, distributed computing can be defined as an approach of using distributed entities (usually called processors, nodes, processes, actors, agents, sensors, peers, etc.) to solve computational problems [60]. The problem is generally divided into many tasks, which are executed by one or more entities communicating with each other on a network [61]. This is done in such a way that each entity has only partial knowledge of the parameters involved in the problem that has to be solved. Data replication is also used to make sure that the failure of one node does not cause the failure of the entire computing. This approach is used when the working data set or problem to solve will take too long to execute on a single machine or fit on a single machine in memory.

#### 2.3.2 Programming Models

A distributed application consists of a set of programs that are distributed over several nodes, which execute a script on data. In most cases, a parallel algorithm is obtained by splitting the data into pieces and defining a task for each part of the data. Each task is then assigned to a particular node. It is necessary to implement communications between the nodes executing the dependent tasks, except in the case where the processing of a task does not depend on the other tasks. Algorithms can, therefore, be broken down into calculation steps and communication steps. One of the main goals for distributing an algorithm is to reduce its execution time. During the execution, two requirements have to be constantly checked: minimize the communication and balance the workload between the available nodes.

The life-cycle of a distributed application can be described in 5 steps as follow:

- System initialization using user-supplied settings
- Data pre-processing
- Computation distribution on a maximum of available nodes
- Parallel processing at each node.
- Results collecting and post-processing for final result computation.

The master-slave paradigm is one of the simplest approaches for creating a distributed application. This consists of creating a "master" program that triggers other programs, called "slave", and waits for the end of their execution to retrieve their results. The process running the master program deals with the results one by one or aggregates them to start subroutines (slaves) or finalize the computation.

In the remainder of this section, we present some of the most popular and important programming models which can be used to design distributed computing applications.

#### Message passing

The concept of message is the main abstraction with this paradigm. In this model, several processes work on local data. Each process has its own variables and does not have direct access to the variables of other processes. To exchange data, processes explicitly encode the data in the form of a message and send them to each other. The content and structure of a message vary according to the model. Message Passing Interface (MPI) [62] is considered as the standard for parallel message exchange programming. Another example of this model is the OpenMP (Open Multi-Processing) [63].

#### Remote Procedure Call (RPC)

This paradigm extends the concept of procedure call at a multi-process level. It allows the execution of code in remote processes located on another entity on a shared network as if it were a local procedure call. This approach relies on a client/server architecture. A server component is hosted by a remote process that allows client processes to request the invocation of methods, and the server returns the result of the execution requested. Communications between server and clients are often handled by a middleware. An example is the Common Object Request Broker Architecture (CORBA) [64]. CORBA is a specification standardized by the Object Management Group (OMG). It provides automation of communication tasks, location, activation of objects, and the transmission of messages exchanged between systems irrespective of the platform and language used to develop. Another example is the ESB (Enterprise Service Bus) in SOA architecture <sup>1</sup>.

#### Shared memory

The concept of shared memory is very often based on threads provided by operating systems. In this model, several tasks (threads) run in parallel, communicating with each other by reading and writing in physically or virtually shared memory. This programming model is the one implemented within Spark [11], where the context is distributed among the computing nodes.

#### 2.3.3 MapReduce

The MapReduce programming model is derived from the map and reduce combiners of functional languages like Lisp [65]. In this type of languages, a map goes through a list of elements and independently applies an operation on each element. Reduce combines the elements of a list using a binary operator. To use the MapReduce model, the developer must define a Map function that processes input data and a Reduce function that processes the results of the Map function, to produce the final result. Figure 2.6 shows the data flow when applying the MapReduce model. First, the input data are divided into blocks and distributed over the compute nodes. Nodes are classified into two categories: those that perform the Map function (called Mapper) and those that perform the Reduce function (called Reducer). Mapper nodes apply the Map function to each data block. The result of this execution is a list of pairs that associate a key k with a value v (list (k, v)). These new data generated are called intermediate results. Each Reducer node retrieves all the values v that are associated with a key k and applies the Reduce function to all the values ((k, list (v)). Finally, the results computed by the Reducer nodes are assembled to give a final result.

The Open Source Hadoop project [55] provides an implementation of the MapReduce model. It defines two types of components: a jobtracker and several tasktrackers. They control the process of executing a MapReduce operation, which is called a job in Hadoop.

The jobtracker coordinates the execution of jobs across the cluster. It communicates with tasktrackers by assigning them execution tasks (Map or Reduce). It allows having a global vision on the progression or the state of the treatment distributed via an administration console. The jobtracker is a process that runs on the same node as the namenode. This is the case because the jobtracker should run on a master node and also because the namenode stores metadata about the data. So there is only one instance per cluster.

<sup>&</sup>lt;sup>1</sup>https://www.opengroup.org/soa/source-book/soa/p1.htm

Tasktrackers perform the tasks (Map or Reduce) within a new JVM (Java Virtual Machine) that they instantiate for each task. A tasktracker is configured with a set of slots. A tasktracker can accept a task per slots such as a Map, a Reduce or a Shuffle. Thus, a crash of the virtual machine will not impact the tasktracker. In addition, tasktrackers periodically inform the jobtracker of the progress level of a task or errors so that it can reprogram and assign a new task. A tasktracker is a process that runs on the same node as a datanode. This is because the datanodes store the actual data, and the goal is to perform the computation very close to the data. So there are as many instances as there are datanodes. The communications between the different nodes (namenode / datanode, jobtracker / tasktracker) are carried out by RPC.



Figure 2.6: Word count using MapReduce model

### 2.4 Named Data Networking

Part of the Information-Centric Networking (ICN), the Named Data Networking architecture [66] is a fork of the CCN project originally proposed by Van Jacobson [67] in 2006. The CCN (Content-Centric Networking) project [68], initiated by the Palo Alto Research Center (PARC) has a proprietary architecture and has been acquired and managed now by CISCO. The NDN project is managed by UCLA with an open-source code. Many other architectures such as the Data-Oriented Network Architecture (DONA) [69], (Publish-Subscribe Internet Technologies) PURSUIT [70], and the Network of Information (NetInf) [71] have been proposed in the ICN context. In this section, we present NDN in more detail.

#### 2.4.1 NDN Architecture

NDN architecture moves the current host-to-host communication model to a general-purpose network model supporting requests for named data. NDN has two types of applications: Producers
and Consumers. Producers advertise name prefixes for the content they can serve. Consumers send *Interest* packets to retrieve data by name. In this approach of network communication, we lose the notion of source and destination addresses.

Each NDN node has three main components (Figure 2.7):

- Forwarding Information Base (FIB): The FIB stores the different available routes to forward an *Interest* packet toward the requested content potential source (Producer). It is similar to IP routing tables with a difference in that it maintains data name prefixes instead of IP addresses. Instead of having a single best next-hop (next closest node a packet can go through) as in IP, FIB in NDN contains a ranked list of multiple interfaces and forwarding decisions for each *Interest* packet is based on a forwarding strategy module. The forwarding mechanism is discussed in more detail in Section 2.4.4.
- Pending Interest Table (PIT): The PIT allows a node to keep a list of *Interest* packets that have been forwarded and are waiting for a response. It also stores the interfaces on which they were received. One of the main objectives of the PIT is to avoid the forwarding of the same *Interest*. There is an entry for each pending content name so that if two *Interests* for the same content are received, it will be forwarded once. PIT entries are also used so that *Data* messages can use the reverse path of the *Interest* packets.
- Content Store (CS): the CS is a cache memory that allows the storage of *Data* packets received. It is in this table that all the answers that could potentially satisfy future *Interest* packets are stored. Each NDN node can answer directly an *Interest* request if the data are available in its Content Store. Its management can be done using different cache strategies. Many researches have been performed to manage the CS [72] [73] [74].



Figure 2.7: NDN node Structure

In the NDN architecture, for a user to get a content, he sends an *Interest* packet (Figure 2.8) on the network, using the node network interface (Face0 or Face1 on Figure 2.7) which will be answered with a *Data* packet (Figure 2.8) on the same interface. *Interest* packet contains the name of the data (representing the prefix of the data), as well as a nonce (randomly-generated 4-octet long byte-string) and several fields possible options such as the validity period of the request or the owner's public key who signed the message. *Data* packets are composed of the name of the data (the same prefix as the *Interest* packet), the content itself and the signature of the content. The network has an important task to resolve name queries in order to allow the routing of the data to the user. The NDN architecture enables caches, several copies of the same content may be present in different nodes of the network. Data can then be served from different nodes in the network.



Figure 2.8: NDN Interest and Data packets

# 2.4.2 Naming

The basis of the exchanges in NDN is data. Each content is identified by a name or prefix which has a hierarchical structure like Unified Resource Identifiers (URI) [75]. This naming has the advantage of having a semantic meaning for users. For example, the prefix /lacl/papers/2019/ stored in a forwarder may allow a node to properly forward all the *Interests* for the papers published the year 2019 by researchers from our laboratory. The hierarchical naming system offers the possibility for prefix aggregation. For example /lacl/data/audio/call.mp3/part1/ and /lacl/data/audio/call.mp3/part2/ identify two data that can be aggregated by the same prefix /lacl/data/audio/call.mp3/.

# 2.4.3 Security

NDN secures the content itself instead of the communication channel as in IP architecture. When created by an application, each piece of data is signed together with its name. With this approach, data integrity, pertinence and provenance can be verified by any node on the network using the data producer's public key, which allows users to trust data independently from where and how the data are obtained. This aspect is important because of the possibility NDN offers to retrieve already available data from cache with an intermediate node instead of forwarding the *Interest* to the producer. One question that can be asked is how to prevent access to certain data, as there is no information about the source and the destination in *Interest* or *Data* packet.

Content access control can be managed using encryption and the decryption keys distributed as encrypted data [76]. Thus only nodes allowed to access that data will have the decryption key and able to access the data.

# 2.4.4 Forwarding and Routing

The routing is performed by an application called NDN Forwarding Daemon (NFD). When a forwarder receives an Interest packet (Figure 2.9), it first checks its Content Store (CS) if the data are already available. If the data are found, they are sent back using the incoming interface of the *Interest* and the *Interest* is not forwarded because it is considered satisfied and dropped. Otherwise, the forwarder performs a PIT entries lookup. When an entry is found, that entry is interpreted and an action performed. If it's a duplicate *Interest*, it is dropped. If it's an *Interest* retransmitted by the consumer, it can be forwarded using a different outgoing interface. In the case of an *Interest* for the same data from another consumer, then the incoming interface of the Interest is added to the requesting list in the existing PIT entry, and the Interest is dropped. When the data are found, a copy is sent back using the *Interest* incoming interfaces. If the Interest name is not in the PIT, a new PIT entry for the incoming Interest is created by the forwarder, and it looks up for the *Interest* name in the FIB table. If no FIB entry matches the Interest name, the Interest is dropped and a NACK is sent on the incoming interface. Otherwise, the incoming *Interest* will be forwarded using the interface provided by the forwarding strategy module based on the active forwarding strategy. When a forwarder receives a Data packet, it performs a PIT entries lookup. If a matching entry is found, the forwarder sends the Data packet to all the interfaces from which the *Interest* was received and clears the entry from the PIT. The Data packets take the same paths of *Interests* in the reverse direction. If no match is found, the Data packet is discarded. Each Interest also has an associated lifetime set by the consumer; a PIT entry is removed if the *Interest* is not satisfied before its lifetime expires. According to its caching policy, a forwarder stores the received Data packet in its CS. Many routing protocols such as Hyperbolic routing [77] and the NDN Link State Routing (NLSR) [78] have been designed to help the forwarder.



Figure 2.9: Forwarding

### 2.4.5 Caching

NDN enables automatic in-network caching. Nodes in the network can keep a copy of the content that has been forwarded through them. The contents are disseminated in the network and are closer to the users. Thus, the *Interest* will potentially encounter a closer node holding the content and not be propagated to the original producer, which will reduce the load on this producer and limit the impact of a search in the network. Delays in accessing content are reduced for the users and their quality of experience is improved.

#### 2.4.6 NDN Testbed

An open platform of shared resources has been created for research purposes. The NDN testbed allows researchers to design, test and deploy new NDN-based solutions at large scale. This testbed includes software routers and has several participating institutions, application host nodes, and other devices. The Algorithmic, Complexity and Logic Laboratory (LACL) is part of the testbed (Figure 2.10). Participating in this testbed is a great opportunity for us to test applications on a real scale.

# 2.4.7 Big Data on NDN

Adopting NDN as the Future Internet architecture [68] [79], implies a rethought of applications in almost all domains [80] [81] [2]. Big Data topic is also concerned by this move. In [82], a big data platform running on NDN has been proposed by porting Hadoop on NDN. NDN is data-centric and Hadoop is designed for address-based IP architecture. Therefore, Hadoop native code must be modified to run on NDN. While it has been possible to run Hadoop on NDN, the single point of failure issue of Hadoop still holds.

In [83], a Named Data Storage System (NDSS) has been proposed for NDN architecture. NDSS adopts named data to describe network packets and local storage. Data blocks are stored on the local machines in the file system, and metadata of blocks are managed by a central node. There is no replication of the data and NDSS implies a redesign of NDN architecture. Finally, NDSS nodes can't be used for another purpose. This work shows the advantages of the use of NDN in a distributed context. Based on that, our approach is to avoid the use of a central component in the DFS architecture.

The project NDNFS aims to provide a file system over an NDN network and support efficient data access [84] by local and remote applications. This result is essential for many data usages; but in the case of big data applications, rules such as data availability through the use of replication and failover modes are added.

#### 2.4.8 Simulation on NDN

Simulation has been for a long time a good way to run network experiments. In fact, they allow the execution of multiple scenarios for testing and validation purposes quickly, without the need to buy costly network equipment. ndnSIM [85] has been developed based on ns-3 [86] to provide a simulation environment for NDN experiments. This simulator meets the latest advances in NDN development.

In military communications [87], L. Zhang et al. studied the Army's Warfighter Information Network-Tactical (WIN-T) and the Navy's Automated Digital Networking System (ADNS) through emulations. They showed that NDN provides better efficiency in terms of average delivery delay, maximum delivery delay and bandwidth consumption than TCP-Based FTP. Other network simulators have since been adapted to be able to run NDN experiments with them. This is to benefit from specificities proposed by those simulators. This is the case for example for the OPNET simulator [88], wherein [89], Hafnaoui TALEB et al. used it to evaluate their NDN proposed multi-layer architecture for energy-aware Wireless Sensor Networks integration on the cloud computing.

# 2.5 Summary

In this chapter, we have presented the state of the art related to the context of the research in which this thesis is situated. Big data is in use in every domain. A lot of challenges related to big data still exist today. For example, the SPOF in distributed file systems, also transparency in distributed computing needs to be improved. NDN enables in-network caching which leads to improving data transfer across the network and improves computation in big data. NDN appears to be a good candidate to improve big data storage and processing. In the next chapter, we propose a big data architecture based on NDN with a fully distributed approach to maintain the replication coherence without any need for a central component.





# Chapter 3

# Architecture and Specification

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In this chapter, we aim to define an architecture for Big Data application processing based on NDN [79]. Based on best practices and guidelines on big data applications development using the Hadoop framework [49], two layers are considered: a data layer where data are split into segments and a compute layer where the application reads the data and computes a new view. First, the data are imported from the local file system into a distributed file system. Next, computation over the stored data is launched over the network. When the computation is done, the results are saved over the NDN nodes and finally exported into the local file system if needed.

We define the architecture of these layers (Section 3.1 and 3.2), define formal languages (Section 3.3) and formally specify each component using Coq proof assistant (Section 3.4 and 3.5). We also formally verify each component by proving properties about them (Section 3.6).

# 3.1 Named Data Networking Distributed File System

In this section, we present NDFS (Named Data Networking Distributed File System), a fully distributed, resilient, and scalable DFS (Distributed File System). Our solution is not based on TCP/IP Internet architecture as we aim to deploy it on NDN. Our DFS protocol uses only NDN architecture defined messages (*Interest* and *Data*) (Section 2.4) to manage the communications between the nodes. We first present the different components of the architecture, the main operating principle, and the failover mechanism. We then through an example explain and illustrate the different prefixes of the *Interest* messages used by the protocol.

#### 3.1.1 Architecture Overview

NDFS is a DFS based on NDN. It consists in a cluster of a set of nodes called Storage (Figure 3.1) whose function is to replicate data, manage the file system and ensure that the system performs in good conditions. They perform the functions of both a namenode and a datanode in HDFS [49]. A ReplicationClient node is a node running an application which is used to load data into the DFS (Distributed File System).

NDFS has a client/server architecture between the ReplicationClient and the Storages, and a peer to peer architecture between the Storage nodes. All the Storage nodes play the same role. This approach avoids the use of a central component for the DFS management, avoiding a Single Point of Failure issue (SPOF).

#### 3.1.2 Data replication

The working context considered is where the data are replicated for better availability. The data block size and replication factor are configurable in big data context.

An application can only specify the number of replicas of a file (replication factor). This number should be chosen by the user when importing the file into the DFS unlike Hadoop where this feature is fixed by the big data platform administrator for all the files.

The placement of replicas is crucial for reliability and performance. The purpose of a node replica placement policy is to improve data reliability, availability, and network bandwidth utilization. The caching availability of NDN (Section 2.4.5) gives the possibility to not bind the replica distribution to the node location as it's the case with HDFS where two replicas should not be distributed on the same rack [55]. The current implementation for the replica placement policy consists of a node set which advertises their storage capability. These nodes called Storage Nodes are the ones responsible for replicating the data and are those holding copies of the



Figure 3.1: NDFS Architecture

data. The ReplicationClient advertises the name of the data it wants to replicate and sends an *Interest* to store this data on the DFS with a replication factor. Because of their capability, the Storage nodes will maintain full or partial copies of the data and advertise the data name over the network. By the end of this dissemination, the data will be replicated as much as requested by the ReplicationClient, which receives an *Interest* about the end of this deployment which in turn allows for the start of a first computation with the data.

### 3.1.3 Failure, Heartbeats and after failure replication

By maintaining data on many nodes, a potential failure on the nodes may occur. Therefore, the system needs to protect itself from data loss by using a failover strategy. A heartbeat algorithm already exists in frameworks such as ZooKeeper [90]. In a cluster architecture, its role is essential because it guarantees an error recovery of nodes. Thus, when the nodes host data, they advertise a recovery name which allows one to check whether a given node is alive. In case of a lack of answer, another node is looked for a new storage capability with an *Interest* for a new replication demand. Of course, such a test of liveness should be done before sending any storage *Interest*. This heartbeat mechanism is performed in a circular way with all the nodes participating in the replication. For example, in Figure 3.2, StorageA checks StorageB which checks StorageC, and finally, StorageC checks StorageA.



Figure 3.2: Data replication in NDFS

#### 3.1.4 Protocol

For the design of our system, we chose to express a first view of our protocol with the UML collaboration diagram notation. The collaboration diagrams are used when we want to understand the message flow and the structural organization. Here, a message flow represents the sequence of control from one node to another and structural organization means the visual organization of the elements in a NDN network. It allows us to show explicitly the requests and the advertised names. The Figure 3.2 displays a simple topology with four nodes: ReplicationClient pilots the loading and the replication of the data on the NDN network. The links between the nodes play the role of the network configuration. The square over a line displays the weight of this link in a best route strategy[91]. The message routing strategy follows the minimum cost path. When an *Interest* is transmitted, then the request is not broadcasted on the network but a path is selected depending on the lowest cost. When multiple choices occur then the rule of longest prefix match is applied as used in NDN protocol.

An arrow pointing from the starting node to the destination node shows the interaction between these nodes. The number represents the order/sequence of this requests. Therefore, two arrows with the same number are sent in the same broadcast exchange. The resulting data is displayed as another arrow in the opposite direction with a bullet on the source side. Its label starts with a hierarchical number which shows the relationship between the request and the response.

The initial advertised names are displayed in the box of each node. After the importation of the data from its local file system, the ReplicationClient node sends an *Interest* over the network with the name /|acl/data/heartbeat/3. This *Interest* allows the ReplicationClient node to check whether a node has already advertised this name. It would mean that the third replication of the data is already done. After a timeout event (arrow labeled 2.1), it knows such node does not exist. As a second consequence, the ReplicationClient node emits the following *Interest* /|acl/storage/3/3/|acl/data/0/9 where:

- /lacl is the domain name,
- /storage is the precise demand. It expresses an *Interest* of storage capability for loading the data,
- /3/3 is the replication factor, followed by the rank in the replication process. This rank is essential for the heartbeat strategy.
- /lacl/data/0/9 is the name of the data followed by the index of the first segment and the index of the last segment.

The configuration of our example has enough nodes for three replications per data with a weight over the edges. In case of a lack of Storage node, the ReplicationClient node will receive an *Interest* with the name /|ac|/data/error/0/9.

At the beginning of the scenario, three nodes have an advertised name called /lacl/storage. With the computation of the lowest cost path StorageA receives the *Interest* (arrow labeled 2.2) and then sends an acknowledgement (arrow labeled 2.2.1) to the ReplicationClient node. Therefore, the responding node called StorageA on Figure 3.2, sends an *Interest* about the data to be saved (/lacl/data/0) for the first segment and (/lacl/data/9) for the last segment. On Figure 3.2, the arrow labeled 3 gathers all the segment requests from the StorageA node. Only the ReplicationClient node has advertised the right name, which means it's the only node able to satisfy this *Interest* at this time. It returns the data and a first replication is done. Next the StorageA node advertises a new data name about the received data (arrow labeled 3.2) and another name for the failover algorithm (/lacl/data/heartbeat/3). This name is used to check that the node is always alive. If it fails, then a Storage node will send a storage *Interest* to find another node with a storage capability able to handle the data managed by the failed node.

In parallel, the StorageA node sends an Interest about the existence of a second replication of the data with the Interest /lacl/data/heartbeat/2. When it receives a timeout (arrow labelled 4.1), it creates another storage Interest by decrementing the replication factor which has the value 2 (arrow labeled 4.2). As previously, one node with the right capability answers (arrow labeled 4.2.1). The message process follows the same pattern as before and the data is replicated for the second time on the StorageB node (arrow labeled 5.1). New advertised names are registered. One for the new data access (/lacl/data), another name for the heartbeat algorithm (/lacl/data/heartbeat/2). Because the replication factor is greater than 0, the StorageB node sends an Interest to check the existence of the first replication (arrow labeled 6). After a timeout, a last replication request is sent by StorageB over the network (arrow labeled 6.2). When the StorageC node receives the data (arrow labeled 7.1), it advertises also new names for the data access and the heartbeat control and decrements the replication factor. Its value becomes 0 and this stops the replication process. StorageC node sends an Interest to end the process (arrow labeled 8) with the name /lacl/data/stop/0/9. Only the ReplicationClient node can filter this request. It then removes all the advertised names and ends its activity.

The heartbeat monitoring continues and every 10 seconds (this interval has been chosen based on NDN *Interest* default timeout), each Storage node sends an *Interest* to check a replication data existence. As an example, the StorageA node sends the *Interest* /lacl/data/heartbeat/2 (arrow labeled 4) to control that the StorageB node is always alive. If it receives a timeout, then it will trigger a new request with the same *Interest* as it did during the first deployment: /lacl/storage/3/2/lacl/data/0/9.

The heartbeat does not apply to ReplicationClient as it represents a file loading task initiated by the administrator of the system. If ReplicationClient fails, the administrator of the system must manage the fail over. The Storage nodes will keep trying to retrieve the missing segments. One solution to fix such issue is to make the segments available by running again the ReplicationClient in recovery mode. It will advertise the segments and wait for the stop command without sending any replication request. Algorithm 1 presents a pseudo code of the protocol.

Algorithm 1 Replicas distribution (Storage node)					
Replication request Interest received					
if node capacity is not OK then					
Forward the replication request Interest					
EXIT					
end if					
Send acknowledgment					
Send Interest to retrieve <i>Data</i> packets					
Advertise data name					
Advertise heartbeat name					
if replication is needed then					
Send next replication request					
else					
Send stop Interest to end the replication process					
end if					
if errors then					
Send error Interest					
end if					

# 3.2 Computation distribution (NMapReduce)

In this section, we present the computation distribution NMapReduce. Our solution is based on NDN architecture and aims to provide a way for parallel and distributed computation based on the MapReduce [40] approach, through the use of NDN architecture *Interest* and *Data* messages (Section 2.4). We first present the different components of the architecture, the main operating principle and the underlying protocol.

# 3.2.1 Architecture Overview

Based on NDN, It consists in a cluster of nodes called Compute (Figure 3.3) whose function is to orchestrate data computation distribution, perform the computation in a parallel and distributed way for data replicated on a NDFS. Computation requests are sent to the cluster using a ComputationClient. The NMapReduce has a client/server architecture between the ComputationClient application and the Compute nodes, and a peer to peer architecture between the Compute nodes. Moreover, it is good to mention that a node can be both a Storage and Compute at the same time.



Figure 3.3: NMap Reduce Architecture

# 3.2.2 Principle

The computation distribution aspect has been introduced to take advantage of the replicated data. The idea is to distribute the computation and also avoid executing the same computation twice. Similar approach is used in the Hadoop framework where computations are performed on stored data using programming skeleton such as MapReduce [40]. The current implementation for the computation distribution policy consists of a set of nodes which advertise their computation capability. These nodes called Compute nodes are the ones responsible for performing the computation on the data. When a ComputationClient node sends an *Interest* for data computation, it is received by a Compute node which will perform a computation and using the same approach as in the replication, will find another node to compute another part if needed. Ultimately, the end result is consolidated by a node and sent back to the ComputationClient.

#### 3.2.3 Protocol

The computation distribution is an incremental process. Similar to the traditional MapReduce, it is performed in two phases: a map phase and a reduce phase. In our approach, the script of the program to be computed is considered to be a data available on the cluster over the network. For example, such script can be available through the name /domain/code/name. Every node able to perform computation advertises a special name: /domain/compute.

Let's consider data available under the name /lacl/data. This data has 9 segments, labeled from 0 to 9. To perform computation on this data, replica of the data are available over the network on the Storage nodes.

The compute command used by the ComputationClient to request computation on the data is of the form /domain/compute/domain/data/start/end/domain/code where :

- /domain/data is the name of the data
- /domain/compute is a keyword to qualify the command as a compute command
- /domain/code/name is the script to apply on the data
- /start is the first segment
- /end is the last segment

For the map phase, when a compute command is emitted on the network, it is forwarded to a compute node based on the network configuration using the lowest path cost. This node is then responsible for performing map computation for the first segment (start) of the data. The node increases the start index, if the new start is not equal to the end index, a compute request is sent with this new value to find a node for the map computation of the next segment. An *Interest* will be sent to retrieve the script for the computation if it is not already present locally. The same apply to the segment that the node is expected to compute. This process is repeated until the last segment is processed.

By default, we have one node in charge of the reduce phase. The first node to perform the map is the one responsible for this phase. It advertises a special name /domain/code/domain/data/heartbeat/reduce, with:

- /domain/code is the name of the script to apply on the data;
- /domain/data is the name of the data
- /heartbeat/reduce is a keyword to qualify the heartbeat for the reduce.

This name is used to inform the other nodes about this task.

When a node completes a map, it advertises a name to inform that it holds a result for the map on a given segment. This name has the following structure: /domain/code/domain/data/map/segment, with :

- /domain/code is the name of the code to apply on the data;
- /domain/data is the name of the data
- /map is a keyword to qualify the command as a map result
- /segment is the identifier of the segment on which the map has been performed

During the map phase, we provide a heartbeat *Interest* to check if the map is still working and to find another node if not. This *Interest* can be used to have information about a job status. This *Interest* is of the form

/domain/code/domain/data/heartbeat/map/segment. It also sends an *Interest* of the form /domain/code/domain/data/heartbeat/reduce/map/segment to the reducer to inform him about the availability of the result. These names mean:

• /domain/code is the name of the script to apply on the data;

- /domain/data is the name of the data
- /heartbeat/map/segment is a keyword to qualify the command as a map heartbeat check
- /heartbeat/reduce/map/segment is a keyword to qualify the command as a command to notify a reducer about a map availability.

The reduce phase starts in parallel with the maps. When receiving an *Interest* about the completion of a map by a node, the reducer sends a result retrieval using the name advertised by that node.

Algorithm 2 presents a pseudo code of the protocol.

Algorithm 2 Computation distribution (Compute node)						
Computation request Interest received						
Send acknowledgment						
if first segment then						
Advertise reduce name						
Advertise name for the result						
end if						
if computation is needed then						
Send next computation request						
end if						
if node has not data then						
Send Interest to retrieve data packet						
end if						
if node has not code then						
Send Interest to retrieve code						
end if						
Advertise map name						
Advertise heartbeat names						
Perform the computation						
Send Interest to reducer						

Different commands are used to communicate with the system and to send orders to perform different actions. As an example from Figure 3.2, to initiate a replication request, the replicationClient had to issue the following command:

#### /lacl/storage/3/3/lacl/data/0/9.

The command needs to have a specific format. Semantically speaking, this command can be considered as a formal language [92] where semantic actions are computed.

# 3.3 Formal Language

In this section, we recall what a formal language is, and its different components. From these definitions, and regarding the semantic of the replication and computation commands, we identify two languages: the replication language and the computation distribution language (Section 3.5).

# 3.3.1 Symbol

A symbol is an abstract thing that represents something else [93]. In formal language theory, the most commonly used symbols are letters from alphabets, special characters and digits.

# 3.3.2 Alphabet

An alphabet is considered to be a finite set of symbols [92]. An alphabet is very often named. The most frequently used name for an alphabet is  $\Sigma$ . For example:

- an alphabet of two symbols, 0 and 1 is denoted  $\mathcal{A} = \{0, 1\}$
- $\mathcal{Z} = \{x, y, z\}$  is an alphabet of three symbols, x, y and z.

# 3.3.3 Word or String

A word, also denoted string is defined as a finite sequence of symbols from an alphabet [92]. As an example, 0011 and 11111 are words from the previous alphabet  $\mathcal{A}$ . An example of strings from the alphabet  $\mathcal{Z}$  are zzzxxyyy and xy. A particular string is the null which is a string with no symbol and is noted  $\epsilon$ .

#### 3.3.4 Formal language definition

A formal language  $\mathcal{L}$  consists of a set of words whose letters are taken from an alphabet and are well-formed according to a specific set of rules. There exist different types of formal languages [94]. The set of all possible strings over some alphabet  $\Sigma$  is defined  $\Sigma^*$ . The notation for a language defined by a grammar G is  $\mathcal{L}(G)$ . The grammar G recognizes a certain set of strings, which all belongs to the language.

#### 3.3.5 Grammar

A language is characterized by a grammar. It's a way to define which words belong to the language and those which do not.

# 3.3.6 Context Free Grammar (CFG)

**Definition 3.1** (Context Free Grammar). A CFG is a set of recursive rules used to generate patterns of strings [95].

It is a 4-tuple (V, N, P, S) where:

- V is a set of terminal symbols; the characters of the alphabet that are present in the words generated by the grammar.
- N is a set of nonterminal symbols, which are placeholders for patterns of terminal symbols that can be generated by the nonterminal symbols.
- P is a set of productions (each production has the form V → (V∪N\*)), which are rules for rewriting nonterminal symbols (on the left side of the production) in a word with other nonterminal or terminal symbols (on the right side of the production).
- S is a start symbol, which is a special nonterminal symbol that appears in the initial string generated by the grammar.

The generation of a string of terminal symbols from a CFG, first, starts with a string consisting of the start symbol; then apply one of the productions with the start symbol on the left hand side, rewriting the start symbol with the right hand side of the production. Repeat the process of selecting nonterminal symbols in the string, and replacing them with the right hand side of some corresponding production, until all nonterminals have been replaced by terminal symbols. A language generated by a context-free grammar is called a Context Free Language.

# 3.4 Coq Proof Assistant

In this section, we provide a short introduction to the Coq Proof Assistant, in which the replication and computation language parsers are specified, implemented and formally verified. This presentation is designed to be a quick introduction to understand the notation used in this chapter. For a complete introduction to Coq and more technical aspects, the reader can refer to the following materials [96][97][98][99].

#### 3.4.1 Presentation

Coq (meaning Calculus Of Constructions) is a proof assistant developed in 1984 by Thierry Coquand and Gérard Huet. It has been since then improved and maintained by a INRIA team in France and also by its large users community. It allows the user to write formal specifications, functional programs and do proofs. It also offers through an extraction mechanism the possibility to produce ML (such as Ocaml) programs. Coq is based on a typed lambda-calculus with dependent types and inductive types. In fact, programs and proofs are formalized in the same language, the Calculus of Inductive constructions. The user can interact with Coq proof assistant using a basic shell command (coqtop), a graphical interface (coqide) or using emacs editor with access to the same functionality available in the graphical interface.

Coq has been used in many projects for different purposes. For example, Coq has been used to certify theorem provers [100] [101], as a framework for formalizing programming environments [102], to develop certified program such as the optimizing C compiler CompCert [103], and in mathematics for theorem proving [104] [105].

Many other proof assistants exist, such as Isabelle [106], HOL4 [107] and PVS [108]. They are all based on higher-Order Logic. Our choice for Coq is mainly based on the extraction feature it offers which enables a verified application, and also its large community of users. Coq is the proof assistant used in our laboratory [109] [110].

#### 3.4.2 Coq programming language

The programming language of Coq is called Gallina [111]. It allows the development of mathematical theories built from axioms, hypotheses, parameters, lemmas, theorems and definitions of constants, functions, predicates and sets. It enables one to prove specifications of programs. The language of commands for Gallina is called the Vernacular (Figure 3.4). A sentence of the vernacular language begins with a capital letter and ends with a dot.

The language generated by this grammar permitted us to write the function in Figure 3.5 and the ASTs in Figures 3.8 and 3.9.

Chapter 3. Architecture and Specification

sentence	::=declaration   definition   inductive   fixpoint   statement [proof]
declaration	::= declaration_keyword assums .
declaration_keyword	d::=Axiom Conjecture   Parameter Parameters   Variable Variables   Hypothesis Hypotheses
assums	::=ident ident : term   binder binder
definition	<pre>::=Definition ident_with_params := term .   Let ident_with_params := term .</pre>
inductive	<pre>::=Inductive ind_body with with ind_body .   CoInductive ind_body with with ind_body .</pre>
ind_body	::= ident [binderlet binderlet] : term := [[ ] ident_with_params     ident_with_params]
fixpoint	<pre>::=Fixpoint fix_body with with fix_body .   CoFixpoint cofix_body with with cofix_body .</pre>
statement	::=statement_keyword ident [binderlet binderlet] : term .
statement_keyword	::=Theorem Lemma Definition
proof	<pre>::=Proof Qed .   Proof Defined .   Proof Admitted .</pre>

Figure 3.4: Vernacular's partial syntax of sentences

#### Types

Every expression in Coq has a type. There exist many predefined types in Coq that can be used when programming. The use of these predefined types very often requires loading specific packages. For example, to use boolean type and functions on them, one needs to load the Bool package using the syntax: *RequireImportBool*. To use Natural numbers, *RequireImportArith*. Integers are enabled using *RequireImportZArith*. Coq also provides a powerful mechanism for defining new data types. This is done using the Inductive command. We used this mechanism when defining the inputs and outputs in Figures 3.8 and 3.9.

To verify if an expression is well-formed in Coq, one can use the *Check* command. This command also gives the type of the expression in case it is well-formed.

#### Functions

The definition of a function is performed by the use of the *Definition* command. The arguments and the return type of this function are explicitly declared. Coq can perform type inference, figuring out these types when they are not given explicitly. But it is a good practice to define

them, as they improve the readability of the code.

Coq offers the possibility to define intermediate results which are forgotten after returning the main result. This can be done using the syntax let x := ...in.... After having defined a function, it is possible to check that it works on some examples using the *Compute* command and also the *Eval* command.

Figure 3.5 gives an example of a function which takes a number, decreases its value and checks whether the result is 0 or not. This function is used in the replication process to check if another replication request is needed.

```
1 Definition replication_needed (n:nat) : bool :=
2 match decrease_replication_factor n with
3 | 0 => false
4 | _ => true
5 end.
```



#### Proofs

The ability to write proofs of properties is an important aspect of the language. Coq provides a set of commands to deal with the proof development, and also uses specialized commands called tactics which allow the user to deal with logical reasoning. When writing a proof, there is a list of goals to prove at each stage. Initially, the list consists only in the theorem itself. After having applied some tactics, sub-goals are generated and included in the list of goals. A proof starts with the command *Proof* and is closed with a closing *Qed* (Quod Erat Demonstrandum).

# 3.5 Replication and computation language parser

In order to use a formal language, one needs to provide a parser which recognizes expressions of that language. In this section, we explain the role of a parser, and then present our approach for building the parsers for the replication and computation languages after having defining the grammar related to these languages.

#### 3.5.1 Parser definition

A parser is a component of an interpreter or a compiler used to determine if an input data is part of a language defined by a grammar [112]. The parsing process is done at three stages:

- Lexical Analysis: A lexical analyzer is used to produce tokens from the stream of input string characters, which are then broken into small pieces to form meaningful expressions.
- Syntactic Analysis: Checks whether the tokens generated from the lexical analysis form a lexeme.
- Semantic Parsing: The final parsing stage in which the meaning and implications of the validated expression are determined and necessary actions are taken.

Two parsing approaches exist, which are:

- Top-Down Parsing [113]: this approach involves searching a parse tree to find the left most derivations of an input stream by using a top-down expansion. LL (Left-to-right, Leftmost derivation) parsers and recursive-descent parsers are some examples based on this technique.
- Bottom-Up Parsing [114]: in this technique, the input is rewritten back to the start symbol. This type of parsing is also known as shift-reduce parsing. LR (Left-to-right, Rightmost derivation) parser are built using this approach.

#### 3.5.2 Approach

The idea is to build a verified parser (LR) which will then be integrated to the different components of our architecture (ReplicationClient, Storage, ComputationClient Compute).

For this purpose, we use Menhir [115] to generate the parser from the grammar and the Abstract Syntax Tree. We validate the generated parser using the approach from Jacques-Henri Jourdan, François Pottier and Xavier Leroy [116], consisting in a posteriori validation of an LR(1) automaton produced by a parser generator.

Menhir is a parser generator. It is able to generate a parser (LR) whose correctness can be formally verified using the Coq proof assistant [96]. This feature is used to construct the parser of the CompCert verified compiler [117].

Figure 3.6 gives an overview of our generation process. The first step is the specification of the grammar of our language. We use the grammar specification language provided by Menhir. Then, we define an AST (Abstract Syntax Tree) using Coq language. We generate the parser in Coq using Menhir and its coq backend and using the Coq proof assistant, we verify our parser by writing theorems and proving them. Finally, we extract an Ocaml version of the verified parser to be integrated into our components at the development phase.



Figure 3.6: Parser generation process overview

#### 3.5.3 Coq Specification

For our Coq specification, we use an abstract representation of a node as presented in Figure 3.7 A node is represented as a component which takes an input, parses this input, and based on the semantic actions of the language, produces an output. Semantic actions are associated to the parser. These are actions that are performed when a command is accepted. The model consists of specifications of the input, the parser, lemmas, theorems with their proofs.





In the Figure 3.7, an example of input can be /lacl/storage/2/2/lacl/data/0/9, the output will be two *Interests* (/lacl/storage/2/1/lacl/data/0/9 and /lacl/data/0/9), the first for the next replication and the second to retrieve the data to replicate.

#### Grammar specification

First we specify the replication language, and then the one for the computation distribution. The replication language can be formally defined using the CFG :

- $V_r$ : {rootDomain, replicationParameter, dataName, domain, data, replicationFactor, replicationIndex, firstSegment, lastSegment}
- $\Sigma_r$ : { ['A-Z' 'a-z' 0-9]\*, SLASH, STORAGE, EOL}
- $S_r$ : main  $\rightarrow$  SLASH rootDomain SLASH STORAGE replicationParameter dataName EOL

The computation language CFG can be defined as follow:

- $V_c$ : {rootDomain, dataName, codeName, domain, data, firstSegment, lastSegment}
- $\Sigma_c$  : { ['A-Z' 'a-z' 0-9]\* , SLASH, COMPUTE, EOF }
- $S_c:$ main  $\rightarrow$  SLASH root<br/>Domain SLASH COMPUTE data Name code Name EOL

Figures 3.16 and 3.17 present the whole specifications which are used as input for Menhir as described in Figure 3.6

#### Abstract Syntax Tree (AST)

The abstract syntax tree is a light version of the parse tree which only contains information related to analyzing the input, and skipping extra syntactic information that are used while parsing [114]. It is created as the final result of the syntax analysis phase. For Menhir to be able to generate the parser, one needs to provide the data structure of the AST that is expected. This AST data structure is constructed using elements from the grammar specification. It is used by Menhir to carry the results of the syntax analysis phase and to provide a way for further processing the obtained results. The AST data structure of the replication language (Figure 3.8) is obtained by defining 3 Inductive types. The first is the AST inductive type, which is

obtained by using three parameters, a string, a replicationParameter and a dataName. The replicationParameter is the second inductive type which is built using 2 nat parameters. Finally, the inductive type dataName is built using 2 string and 2 natural (nat) parameters. A similar approach is used to build the computation language AST data structure (Figure 3.9). ASTs are built using semantic actions associated with the grammar in Figure 3.16 and 3.17.

```
Require Import String.
1
\mathbf{2}
3
    Inductive replicationParameter : Type :=
4
    | Parameters : nat \rightarrow nat \rightarrow replicationParameter.
5
    Inductive dataName : Type :=
6
7
    |Name : string \rightarrow string \rightarrow nat \rightarrow nat \rightarrow dataName.
8
9
    Inductive ast : Type := 
      Main : string -> replicationParameter -> dataName -> ast.
10
```

Figure 3.8: Replication Language AST data structure

```
1
    Require Import String.
\mathbf{2}
3
    Inductive dataName : Type :=
4
     Name : string -> string -> nat -> nat -> dataName.
5
     Inductive codeName : Type :=
6
7
     |Name : string \rightarrow string \rightarrow codeName.
8
9
    Inductive \ ast \ : \ Type \ :=
10
       {\rm Main} \ : \ {\rm string} \ -> \ {\rm dataName} \ -> \ {\rm codeName} \ -> \ {\rm ast} \, .
```

Figure 3.9: Computation Language AST data structure

#### **Extracting Programs from Coq**

Another interesting feature of Coq is its extraction feature. Extraction transforms a Coq program into OCaml code, so that it can be used as a component of a larger OCaml program. We have used this feature to extract an OCaml version of our parsers (Figure 3.10) which will be integrated during the implementation phase. First we define the working directory. Next we import the necessary modules and the Coq version of the Parser, specify the extraction target language. Finally, we define the location where the extracted code should be saved.

#### Input and output

In order to have a complete representation of our model in Coq, we need to specify the input and output. They are specified using Coq types. They are defined as message and data which represent *Interests* and *Data* (Section 2.4). A message in the replication context can be of the type Heartbeat, Replication or Stop (Figure 3.11). When dealing with the computation language, a message can be a Computation, a Heartbeat, or a Code (Figure 3.12). These definitions are used in the next section when proving properties about the components. The whole specification of the parsers and details about them can be found in Appendix A .

```
Add LoadPath "/home/lacl/Documents/coq/ndfs".
1
\mathbf{2}
   Require Import Parser.
3
   Require Import String.
4
5
   From Coq Require Import extraction.ExtrOcamlString.
   Require Import ExtrOcamlBasic.
6
7
8
   Extraction Language OCaml.
9
10
   Extraction "/home/lacl/Documents/coq/ndfs/parser.ml" main.
```

#### Figure 3.10: Parser extraction commands

```
1 Inductive message : Type :=
2 |Heartbeat
3 |Replication
4 |Stop
5 .
6
7 Inductive data : Type :=
8 |Data
9 .
```

Figure 3.11: Input and output specification for replication language

```
1 Inductive message : Type :=
2 |Heartbeat
3 |Computation
4 |Code
5 .
6
7 Inductive data : Type :=
8 |Data
9 .
```

Figure 3.12: Input and output specification for computation language

# 3.6 Theorems and proofs

In this section, we give all the theorems and properties related to our parsers and provide their proofs in Coq.

#### 3.6.1 Correctness

The correctness property states that if a command (replication or computation) is accepted by a parser, then the word is valid (with respect to the grammar) and the semantic value that is constructed by that parser is valid as well. The Coq proof (Figure 3.13) of this property is provided during the parser generation by mehnir.

```
Theorem g_expr_correct iterator buffer:
match g_expr iterator buffer with
| Parser.Inter.Parsed_pr sem buffer_new =>
exists word,
buffer = Parser.Inter.app_str word buffer_new /\
inhabited (Gram.parse_tree (NT g_expr'nt) word sem)
| _ => True
end.
Proof. apply Parser.parse_correct. Qed.
```

Figure 3.13: Parser correctness proof

#### 3.6.2 Completeness

The completeness property states that if a command (replication or computation) is valid (with respect to the grammar), then it is accepted by the parser. The Coq proof (Figure 3.14) of this property is provided during the parser generation by mehnir.

```
Theorem g_expr_complete (iterator:nat) word buffer_end (output: (string)):
  forall tree:Gram.parse_tree (NT g_expr'nt) word output,
  match g_expr iterator (Parser.Inter.app_str word buffer_end) with
  | Parser.Inter.Fail_pr => False
  | Parser.Inter.Parsed_pr output_res buffer_end_res =>
    output_res = output /\ buffer_end_res = buffer_end /\
    le (Gram.pt_size tree) iterator
  | Parser.Inter.Timeout_pr => lt iterator (Gram.pt_size tree)
  end.
Proof. apply Parser.parse_complete with (init:=Aut.Init'0); exact complete. Qed.
```

Figure 3.14: Parser completeness proof

#### 3.6.3 Consistency

An expected behavior of our parser is the sending of a NDN message when a command is accepted. This leads to formulate the following theorem:

If a word is accepted, then a message is sent and a data request is sent. We express the theorem in Coq and also provide the proof of this property (Figure 3.15). To this extend, we load the module Psatz [118] which gives access to several tactics for solving arithmetic goals.

# 3.7 Summary

In this chapter, we have defined the architecture of our Distributed File System and our approach to computation distribution based on NDN. We have presented the main operating principles of our protocols, their different initiation and transmission phases, and their algorithms. Our approach doesn't use a central component for the system management, but this task is distributed among the different nodes. We use *Interest* and *Data* messages for which we design a specific

```
Require Import Psatz.
Theorem ast_implies_message word buffer_end (output:ast)
        (tree:Gram.parse_tree (NT main'nt) word output):
    match main (Gram.pt_size tree) (Parser.Inter.app_str word buffer_end) with
        | Parser.Inter.Parsed_pr sem buffer_new =>
        (send_message sem = Replication \/ send_message sem = Stop) /\ (send_data_request sem = Data)
        | _ => False
        end.
Proof.
generalize (main_complete (pt_size tree) word buffer_end output tree).
destruct (main (pt_size tree) (Parser.Inter.app_str word buffer_end)) as [ | sem buffer_new]; auto.
        - lia.
        - intros _. split.
        + case sem; auto.
Qed.
```

Figure 3.15: Parser consistency proof

naming prefixes to allow nodes to communicate. Considering this specific naming as a formal language, we formally gave a grammar associated to the language, built a parser which accepts expressions from the language, and using Coq, we were able to prove that each node has a correct behavior. This formal verification is the first step in our approach for the architecture formal verification. In the next chapter, we will formally verify the whole architecture from the communication between components point of view.

Chapter 3. Architecture and Specification

```
(* expression example: /lacl/storage/3/2/lacl/data/0/9 *)
1
\mathbf{2}
   %{
3
   Add LoadPath "/home/lacl/Documents/coq/ndfs".
   Require Import ast.
4
5
   Require Import String.
6
   %}
   \operatorname{\%token} <nat> INT
7
   %token <string> STRING
8
   %token SLASH
9
   %token EOL
10
   %token STORAGE
11
   %type<string> rootDomain
12
   %type<replicationParameter> replicationParameter
13
   %type<dataName> dataName
14
   %type<string> domain data
15
   %type<nat>
                   replicationFactor replicationIndex firstSegement lastSegment
16
17
   %start <ast> main
18
19
   %%
20
   main:
    | SLASH r = rootDomain SLASH STORAGE rp =
21
22
       replication Parameter dn = dataName EOL
23
         \{ Main r rp dn \};
24
25
   rootDomain:
26
    | \mathbf{s} = \text{STRING}
27
        { s };
28
    replicationParameter:
29
    | SLASH factor = replicationFactor SLASH index = replicationIndex
30
31
        { Parameters factor index };
32
33
    replicationFactor:
    | r1 = INT
34
35
        { r1 };
36
37
    replicationIndex:
38
    | r2 = INT
39
        \{ r2 \};
40
41
   dataName:
    | SLASH dom = domain SLASH dat = data SLASH seg1 = firstSegement SLASH seg2 =
42
        lastSegment
43
        { Name dom dat seg1 seg2 };
44
45
    domain:
    | d = STRING
46
47
        \{ d \};
48
49
    data:
    | da = STRING
50
51
        \{ da \};
52
    firstSegement:
53
54
    | seg1 = INT
55
        \{ seg1 \};
56
57
    lastSegment:
58
    | seg 2 = INT
59
        \{ seg2 \};
```



Figure 3.16: Replication language grammar specification

```
(* expression example: /lacl/compute/lacl/data/1/2/lacl/code
1
\mathbf{2}
   SLASH STRING SLASH COMPUTE SLASH STRING SLASH STRING SLASH INT SLASH INT SLASH
        STRING SLASH STRING EOL *)
\mathbf{3}
   %{
4
    Add LoadPath "/home/lacl/Documents/coq_learning/nmap".
5
    Require Import ast.
6
    Require Import String.
7
   %}
   %token <nat> INT
8
   %token <string> STRING
9
10
   %token SLASH
   %token EOL
11
12
   %token COMPUTE
13
14
   %type<string> rootDomain
15
   %type<dataName> dataName
16
   \%type<codeName> codeName
17
   %type<string> domain data
18
   %type<nat>
                  firstSegement lastSegment
19
20
   \%start <ast> main
21
   %%
22
    main:
23
     | SLASH r = rootDomain SLASH COMPUTE dn = dataName
24
       cn = codeName EOL
25
          { Main r dn cn };
26
27
    rootDomain:
28
    | s = STRING
29
         \{ s \};
30
31
    dataName:
32
    | SLASH dom = domain SLASH dat = data SLASH seg1 = firstSegement SLASH seg2 =
        lastSegment
33
        { Name dom dat seg1 seg2 };
34
35
    codeName:
    | \hspace{.1in} SLASH \hspace{.1in} dom \hspace{.05in} = \hspace{.1in} domain \hspace{.1in} SLASH \hspace{.1in} cod \hspace{.1in} = \hspace{.1in} code
36
37
        { Name dom cod };
38
39
    domain:
    | d = STRING
40
41
        \{ d \};
42
43
    data:
    | da = STRING
44
45
        \{ da \};
46
47
    firstSegement:
48
    | seg1 = INT
49
        \{ seg1 \};
50
51
    {\tt lastSegment}:
    | seg2 = INT
52
53
        \{ seg2 \};
```



# Chapter 4

# Model Checking for System Verification

#### Contents

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Software verification remains an essential element in the software development cycle. Unfortunately, many projects still don't include this phase in their early development. In fact, a verification phase can help to detect bugs early in the project. This is important as the cost to fix a bug is lower if the bug is detected early, but higher if detected at a later phase of the software development.

One approach used for system verification is formal methods. Formal method can be defined as the use of mathematical modeling approach for the specification, development and verification of a system. Our approach combines two verification techniques. In Chapter 3, we formally verified the components (Storage and Compute) taken individually using Coq.

In this chapter, we aim to verify properties related to the whole system (communication between components). Our system is obtained by components communicating (Figures 4.5 and 4.10) in order to perform the required actions. Real-time systems are systems including a timing aspect. The verification of these systems should include temporal properties. This notion is missing in Coq and it is not convenient for these types of verification. To this extend, we use model checking techniques and the TCTL<sup>\*</sup> (Timed Computational Tree Logic) temporal logic to express system properties that need to be verified, and verify them using UPPAAL model checking tool.

# 4.1 Real Time System Verification

System verification is the use of techniques to establish that a system under development complies to some properties. Many approaches can be considered when one needs to verify a system. Peer review is an approach consisting in the static inspection of the source code of the system by a team of software engineers that were not involved in the development process. This approach presents some limitations such as its handcrafted nature, and also the fact that it can not be performed at a early stage of the process. Another approach consists instead of analyzing source code without execution as in peer review, to run the developed application for testing purpose. While this approach has good importance, it also, can not be applied at early stage. Moreover, it only allows the detection of errors, but not their absence.

Model checking techniques is another approach which can be applied at a very early stage. The system is modeled as a set of automata. The properties to be verified are then expressed using a logic, basically a temporal logic.

#### 4.1.1 Automaton System Specification

Model checking techniques [119] are generally performed by the use of finite state systems. Good candidates for applying these techniques are systems that can be easily represented as an automaton. This is the case for our systems (Named Distributed File System (NDFS) and NMapReduce) specified in Chapter 3.

**Definition 4.1** (Automaton). An automaton A can be defined as a 5-tuple  $\langle Q, E, T, q_0, \ell \rangle$ , where :

- Q is a finite set of states;
- E is the finite set of transition labels;
- $q_0$  is the initial state of the automaton  $(q_0 \in Q)$ ;
- $T \subseteq Q \times E \times Q$  is the set of transitions;
- $\ell$  is the application that binds any state of Q with the finite set of elementary properties verified in this state.

As in programming languages, when modeling real systems, the model needs sometimes to keep record of some events such as tracking the number of times a transition has been fired, the number of errors, or the value of specific properties related to the system under modeling. To this extend, one can include variables which can be read, written, and subject to common arithmetic operations. These variables are part of the state of the system.

A current trend in software development is the use of modular programming. This approach consists in dividing a system into separate sub-systems (modules). It helps to circumvent the system complexity and group in the same unit of programming code similar functions that can be reused to compose different systems. The modeling of such system has to follow the same concept, which is an automaton modeling of each sub-system composing a global system and then synchronize them to obtain the global system model. The synchronization can be performed using different approaches, but the obtained result (called synchronous product) may lead to a state explosion, which in this case makes the global system modeling difficult.

A state of the system is defined by the locations of all automata, and the values of the variables. For our system modeling, we will consider the particularity offered by the message synchronization approach, which is the use of a message sending/receiving mechanism for communication between all the automata composing the global system.

#### 4.1.2 Time in Automaton

Real application processes are subject to different phases in their execution. The process can for example be in a waiting state (for a resource or a lost message to be resent). All these elements can influence the run time, which aspect has to be taken into account for the modeling. Two approaches exist for the time modeling: discrete time and continuous time. Some works [120] [121] consider a discrete time based modeling approach while we will consider a continuous time for this thesis.

Timed Transition System are used for expressing the timed model semantics. In this representation, the time domain  $(\mathbb{T})$  is the natural number domain. The formal definition of a timed transition system is the following:

**Definition 4.2** (Timed Transition System). A timed transition system TTS can be defined as a tuple  $(S, \rightarrow, Act, s_0)$  where :

- S is a finite set of control states;
- $\rightarrow \subseteq S \times (\mathbb{T} \cup Act) \times S$  is a transition relation;
- Act is the set of actions;
- $s_0$  is the initial state of the automaton  $(s_0 \in Q)$ ;

This timed transition system allows two types of transition:

- those which correspond to instant actions and are represented with  $\xrightarrow{\alpha}$ , where  $\alpha \in Act$
- transition  $\xrightarrow{\nu}$ , with  $\nu \in \mathbb{T}$ , expressing an amount of time flow which satisfies the following conditions:
  - zero delay:  $a \xrightarrow{0} b$  if and only if b = a;
  - associativity: if a  $\xrightarrow{\nu}$  b and b  $\xrightarrow{\nu'}$  c, then a  $\xrightarrow{\nu+\nu'}$  c;
  - temporal determinism: if a  $\xrightarrow{\nu}$  b and a  $\xrightarrow{\nu}$  c, then b = c
  - continuity: a  $\xrightarrow{\nu}$  b, then for all  $\nu'$  and  $\nu'' \in \mathbb{T}$  such that  $\nu = \nu' + \nu''$ , there exists c such that a  $\xrightarrow{\nu'}$  c  $\xrightarrow{\nu''}$  b.

A timed transition system can have a finite or infinite sequence of transitions of S. An execution can be represented as follow:

$$\sigma = s_0 \xrightarrow{\nu_0} s_0 \stackrel{\alpha_0}{\longrightarrow} s_1 \xrightarrow{\nu_1} s_1 \stackrel{\alpha_1}{\longrightarrow} ... s_n \xrightarrow{\nu_n} s_n \stackrel{...}{\longrightarrow} ...$$

The works of Alur and Dill [122] [123] have extended normal automaton (definition 4.2) with a set of real-valued variables modeling clocks. The behavior of an automaton is restricted by the use of constraints on the clock variables. This new type of automaton is called timed automaton and is formally defined as follow:

**Definition 4.3** (Timed Automata). A timed automaton  $\mathcal{TA}$  can be defined as a tuple  $(S, T, A, C, I, s_0)$  where :

- S is a finite set of location or control states;
- A is the set of actions;
- C is a finite set of clocks;
- $T \subseteq S \times \varphi(C) \times A \times 2^C \times S$  is a finite set of transitions ( $\varphi(C)$  set of all clock constraints);
- $I: S \to \varphi(C)$  for each location, corresponding invariants;
- $s_0$  is the initial state of the automaton ( $s_0 \in S$ ).

A clock constraint is a conjunctive formula of atomic constraints of a form  $\mathbf{x} \sim \mathbf{y}$ , with  $\mathbf{x} \in \mathbf{C}$ ,  $\mathbf{y}$  a natural number and  $\mathbf{v} \in \{=, <, \leq, >, \geq\}$ . It can be used as guard for a transition, telling at which moment the transition can be fired, or used as a location invariant constraining the amount of time that may be spent in that location.

To express the fact that a timed automaton can evolve from a location  $s_0$  to a location  $s_1$ when a clock constraint  $\phi$  holds, and **b** an action associated to the transition, the representation  $s_0 \xrightarrow{\phi, b, \varphi(C)} s_1$  is used. When firing the transition, all the clocks( $\varphi(C)$ ) are reset to zero and **b** is performed.

#### 4.1.3 Temporal Logic

Temporal logic (first introduced by Arthur Prior [124] in 1960) is a way for reasoning with time-related propositions, using temporal quantifiers. It has then been extended for concurrent systems global properties specification by Amir Pnueli [125].

#### **Computation Tree Logic**

Computational Tree Logic(CTL) has been first proposed by Edmund M. Clarke and E. Allen Emerson [126] in 1981. It is used to express properties on the states of labeled transition systems.

Definition 4.4 (CTL Syntax). CTL formulas are generated by the following grammar:

 $\begin{array}{l} \phi, \varphi ::= p \mid \neg \phi \mid \phi \land \varphi \mid \phi \lor \varphi \mid \phi \Rightarrow \varphi \mid EX\phi \mid EF\phi \mid EG\phi \mid E\phi U\varphi \mid AX\phi \mid AF\phi \mid AG\phi \mid A\phi U\varphi \end{array}$ 

where:

- p ranges over a set of atomic formulas;
- E means "for some path";
- X means next;
- F means sometime;

- A means "for all paths";
- G means always;
- U until;

The following expressions are the basic CTL operators which are:

- EF  $\phi$ : it is possible to get to a state where  $\phi$  is true;
- AX  $\phi$ :  $\phi$  is Always true in the next state;
- EX  $\phi$ :  $\phi$  is Eventually true in the next state;
- AG  $\phi$  :  $\phi$  is Always true Globally;
- EG  $\phi$ : there exists a path where  $\phi$  is true Globally;
- AF  $\phi$ :  $\phi$  is Always true sometime (in the Future);
- AU  $\phi$ :  $\phi$  is Always true Until an event is true;
- EU  $\phi$ : there exists a path where  $\phi$  is true Until an event is true;

#### **Timed Computation Tree Logic**

With CTL temporal logic, it is not possible to reason about the duration related to events. Timed Computation Tree Logic (TCTL) [127] has been introduced as an extension of CTL for the specification of timed properties related to real-time systems.

Definition 4.5 (TCTL Syntax). TCTL formulas are generated as follow:

 $\phi, \varphi ::= a \mid c \mid \neg \phi \mid \phi \land \varphi \mid E(\phi \ U^K \ \varphi) \mid A(\phi \ U^K \ \varphi)$ 

where:

- a is an atomic action;
- c is a clock constraint;
- E means "for some path";
- A means "for all paths";
- K is an interval whose bounds are natural numbers;

TCTL allows the expression of the following type of properties:

- Reachability properties: A specific condition holds in some state of the system. They are expressed in the form : EF  $\phi$  "Exists eventually  $\phi$ " meaning there is an execution path in which  $\phi$  eventually holds.
- Safety properties: A specific condition holds in all the states of an execution path. They are expressed using one of the two possible forms.  $E\phi$  "Exists globally  $\phi$ " meaning there is an execution path in which  $\phi$  holds for all the states of the path, or  $A\phi$  "Always globally  $\phi$ " for each execution path  $\phi$  holds for all the states of the path.

- Liveness properties: A specific condition is guaranteed to hold eventually. Two possible expressions: A $\phi$  "Always eventually  $\phi$ "; For each execution path  $\phi$  holds for at least one state of the path, or  $\phi -> \varphi$  " $\varphi$  always leads to  $\phi$ "; Any path that "starts" with a state in which  $\varphi$  holds, reaches later a state in which  $\phi$  holds.
- Deadlock properties: A deadlock is a state system where it is impossible to move to a next state by any mean.

TCTL<sup>\*</sup> is the temporal logic which is used by UPPAAL model checker.

# 4.2 UPPAAL model-checking tool

Jointly developed by the Swedish University Uppsala and the Danish Aalborg University, UP-PAAL is a tool box used for real-time systems modeling, validation and verification. The modeling and validation are performed using graphical simulations via a Graphical User Interface(GUI) which runs on the user work stations. The verification is done using automatic model-checking by a model-checker engine which is by default executed on the same computer as the GUI, but can also run on a more powerful server.

UPPAAL uses a network of timed automata with properties expressed using temporal logics. During the modeling phase, model validation is performed to detect errors in the model.

The first release [128] of the tool was in 1995. Since then, it has a growing community, and many research activities such as [129] [130] [131] [132], and a lot of works for example [133] [134] [135] [136] are related to the tool. Also, UPPAAL Graphical User Interface is very user friendly. Due to all theses factors, UPPAAL is preferred instead of other model checking tools such as TAPAAL[137], Kronos [138], HYTECH [139]. It's the tool used in our research group and has been used for system properties verification in many thesis [140] [141] [142].

# 4.2.1 UPPAAL Automaton Formal Representation

UPPAAL is mainly based on timed automata. We give a formal definition of the timed automaton that it uses.

**Definition 4.6** (Timed Automaton in UPPAAL). A Timed Automaton  $\mathcal{T}$  can be defined as a 8-tuple  $\langle Q, V, C, F, Assign, Inv, K_L, q_0 \rangle$ , where :

- Q is a finite set of locations;
- V is a set of Variables;
- C is a set of Clocks  $(C \cap V = \emptyset)$ ;
- $F \subseteq L \times \mathcal{G}(C, V) \times Sync \times Act \times L$  is the finite set of transitions where  $\mathcal{G}(C, V)$  is a set of guard constraints, Sync is a finite set of actions (internal or synchronization), Act is a set of clocks setting or resetting;
- Assign  $\subseteq$  Act is a set of assignment which set variables with initial value;
- $L \to Inv(C, V)$  is the application which for each location associates an invariant.
- $K_L : L \to \{n, u, c\}$  assigns a type (normal, urgent, committed) to each location.

•  $q_0$  is a location of type initial  $(q_0 \in Q)$ ;

A transition between two locations  $l_1$  to  $l_2$  is defined by the tuple  $\langle l_1$ , Select, Guard, *action*, Assign,  $l_2 \rangle$  where :

- Select: set of local variable related to the transition,
- Guard: set of guards,
- *action*: synchronization action,
- Assign finite set of variable assignment or function call.

In UPPAAL, a system is modeled as a Network of Timed Automaton. From the previous definition, we give a formal definition of a Network of Timed Automaton in UPPAAL.

**Definition 4.7** (Network of Timed Automaton in UPPAAL). A Network of Timed Automaton  $\mathcal{N}$  can be defined as a 7-tuple  $\langle \overrightarrow{\mathcal{T}} \rangle$ ,  $V_q$ ,  $C_q$ , Ch,  $K_{Ch}$ ,  $Assign_q$ ,  $\overrightarrow{q_0} >$ , where :

- $\overrightarrow{\mathcal{T}} = (\mathcal{T}_1, \ldots, \mathcal{T}_n)$  is a vector containing n timed automata  $\mathcal{T}_i = \langle Q_i, V_i, C_i, F_i, Assign_i, Inv_i, K_i^L, q_i^0 \rangle$
- $V_q$  is a set of all the shared global variables by all the automata  $\mathcal{T}_i$  (i ranges from 1 to n);
- $C_g$  is a set of clocks shared by all the automata  $\mathcal{T}_i$   $(C_g \cap V_g = \emptyset);$
- Ch is a set of channels used by the timed automata  $\mathcal{T}_i$  to communicate  $(C_g \cap Ch = \emptyset$  and  $V_g \cap Ch = \emptyset)$
- $K_{Ch}$ :  $Ch \rightarrow \{n, u\}$  assigns a type (normal or urgent) to each channel.
- Assign<sub>q</sub> is a set of assignments which sets initial value for global variables;
- $\overrightarrow{q_0} = (q_n^0, ..., q_n^0)$  is a vector of the initial locations;

#### 4.2.2 Modeling and Validation with UPPAAL

An UPPAAL model is built as a set of concurrent processes. Each process is graphically designed as a timed-automaton. During the modeling phase, different steps have to be performed:

• Global declarations: the user has the possibility to define types, constants, variables and functions by placing them in the global section labeled Declarations. These elements will be accessible by all the timed automata in the system. An example of global declaration can be found in Figure 4.1. We define a constant *FACTOR* with value 100 of type integer, two boolean arrays *replica* and *waiting*, with 10 and 5 elements respectively, an integer variable *count* with the range [0, 10] initialized to 6, a clock *time*, a synchronization channel *shut\_down*, a new type definition whose variables range is [1, 100]. Finally, we define a function which returns the sum of two integers.
Chapter 4. Model Checking for System Verification

```
const int FACTOR = 100; // constant definition
1
\mathbf{2}
     bool replica [10], waiting [5]; // definition of two boolean arrays
3
4
     int[0,10] count=6; // an integer variable definition
5
\mathbf{6}
7
     clock timer; // a clock definition
8
9
     chan shut down; // a synchronization channel definition.
10
     typedef int [1,100] id client; //a new type definition
11
12
      /* declaration of a function which returns the sum of two integers */
13
     int add(int a, int b)
14
15
     {
          return a + b;
16
17
     }
```

Figure 4.1: Global scope declaration in UPPAAL

• Models definition: A timed-automaton is used to define a model also called template. It is represented as a graph which has locations as nodes and transitions as oriented edges between locations. The user has the possibility to define types, constants, variables and functions related to the model, by placing them in the local section labeled Declarations (Figure 4.4).

Edges can be annotated with guards, updates, synchronizations and selections.

- A guard is an expression which uses the model elements such as variables or clocks in order to define when the transition is enabled.
- An update is an expression whose evaluation changes the state of the system. This evaluation is performed as soon as the corresponding edge is fired.
- The synchronization is the basic mechanism used to coordinate the action of two or more processes, by allowing them to take a transition at the same time. This is done by the use of a channel. For example, declaring a channel c, then one process will have an edge annotated with c! and the other process another edge annotated with c?. There are three different kinds of synchronizations: Regular channel, Urgent channel and Broadcast channel.

Locations can be of type Initial, Urgent, Committed or Normal and can have an optional name and invariants.

- *Initial location*: identified with a double circle, it's the location from which the process starts.
- Urgent location: identified with a "U", location in which time is not allowed to pass.
- Committed location: identified with a "C", time is not allowed to pass when a process is in a location of this type and no transition other than those leaving a committed location can be enabled.
- Location name: identifier used to refer to the location during model checking and when writing documentation for the model.

- *Invariant*: conditions related to variables and clocks that must be fulfilled while the automaton is in that location.

Figure 4.2 gives an example of a model using UPPAAL graphical user interface.



Figure 4.2: UPPAAL timed automaton model GUI representation

• System declarations: This step consists in the instantiation of one or more concurrent processes which compose the system, each process having been previously modeled as a template (timed-automaton). As in the Global declaration, channels, variables and functions can be defined in the system declaration with a global scope. They are used when giving arguments to the formal parameters of templates. Having been declared after the templates, they are not directly accessible by any of them. An example of a system declaration containing two concurrent processes is presented in Figure 4.4. One process defined by the model ReplicationClient presented in Figure 4.2 and the second modeled by the timed automaton User defined in Figure 4.3



Figure 4.3: UPPAAL timed automaton User model

UPPAAL model validation is performed through the use of a simulator. The simulator permits the examination of the possible dynamic executions of a system after the modeling, providing an inexpensive way of fault detection prior to verification by the model-checker. The simulator is also used to display executions generated by the verification engine.

### 4.2.3 Verification using UPPAAL

After using the simulator to ensure that our model behaves as the system we wanted to model, the next step is to check that the model verifies our properties. The first step is to decide the properties to verify, formalize them and then translate them into UPPAAL query language which is a subset of TCTL (Definition 4.5). This language gives way to the expression of very simple properties directly. This design approach has been chosen by the UPPAAL designers instead of allowing complex queries, with the objective of improving the efficiency of the tool. One can still verify complex properties by checking many different simple queries.

UPPAAL allows for the verification of Reachability properties, Safety properties, Liveness properties and Deadlock properties. Considering  $\varphi$  and  $\psi$  some atomic propositions, a property that can be verified by UPPAAL is of the form:

Chapter 4. Model Checking for System Verification

Editor Simulator	ConcreteSimulator Verifier Yggdrasil
Project	// model instantiations.
- Declarations	user = <i>User(</i> );
- ಏ HeartbeatChecker - ఏ Storage - ఏ HeartbeatBesponder	<pre>replicationClient = ReplicationClient();</pre>
- 칣 ReplicationClient - 칣 User _ ㅣ Declarations	// List one or more processes to be composed into a system. system replicationClient, user;
- 🗋 System declarations	

Figure 4.4: System declaration UPPAAL

- E  $<> \varphi$  (Exists eventually  $\varphi$ ): there is an execution path in which  $\varphi$  eventually (in some state of the path) holds;
- E[]  $\varphi$  (Exists globally  $\varphi$ ): there is an execution path in which  $\varphi$  holds for all the states of the path;
- A[]  $\varphi$  (Always globally  $\varphi$ ): for each (all) execution path,  $\varphi$  holds for all the states of the path;
- A<>  $\varphi$  (Always eventually  $\varphi$ ): for each (all) execution path,  $\varphi$  holds for at least one state of the path;
- $\psi \rightarrow \varphi$  ( $\psi$  always leads to  $\varphi$ ) : any path, that "starts" with a state in which  $\psi$  holds, reaches later a state in which  $\varphi$  holds.

An atomic proposition is one or a combination of the form:

- $\mathcal{T}.\ell$ , which states that the timed automaton  $\mathcal{T}$  is in the state  $\ell$  (if  $\ell \in Q$ ), or references the value of the local variable  $\ell$  of the timed automaton  $\mathcal{T}$  (if  $\ell \in V$ ).
- variable or clocks values compared with a variable, clock or an integer. The comparison operators used are : =, <, >, ≤ et ≥ .

Finally, deadlock-freeness is checked using the property A[] not deadlock. In Section 4.4 we use this language to express properties related to our system.

# 4.3 System Modeling

In this section, we design each component that has been specified and verified using Coq (Section 3.5.3) as a timed automaton (model). We then use the automata to compose the whole system. The next step will be the verification of properties related to the whole system.

To reduce space state explosion, we used some tricks during the modeling. We used wherever applicable committed locations which reduce significantly the state space. The number of variables plays an important role for the verification and simulation time. For each variable, we provide a precise range for the integers. We also reset the shared variables when they are no longer needed.

# 4.3.1 NDFS

The NDFS is composed by a set of Storage Nodes (Section 3.1). It is the first layer of our Big data framework. In this section, we describe the timed automata templates used to define the Distributed File System (DFS). The global system is composed by four automata describing a Storage node (Figure 4.5). Two other automata modeled the ReplicationClient application to request data replication on the DFS, and also a user application to retrieve, or delete data.



Figure 4.5: Storage node automata network

### Storage Model

This model (Figure 4.6) represents a global view of the storage node. It has seven states:

- Idle: initial state, or inactivity state;
- Start: state in which the storage is able to process requests. It can be requested from a ReplicationClient (for replication) or the user (for data retrieval).
- Ready: this state is reached after a synchronization on the channel replication\_request[inIndex][inData]? with the ReplicationClient (Figure 4.2). This state corresponds to a state in which the storage has received a replication request from the ReplicationClient. All the necessary parameters are passed through the channel, with inIndex for the replication index and inData used to identify the data to replicate.

- Replicating: this state is reached after the storage initiates a Replication model (Figure 4.7) to handle the request. The initiation is done through the use of a channel  $init_r[id]!$  (*id* is the storage identifier). This state indicates that the node is processing the request.
- ReplicationComplete: this state is reached after the synchronization on the channel  $r\_ack[id]$ ? (*id* is the storage identifier) by the Replication model, which uses that channel to notify the completion of the replication process. The automaton can evolve to the Start state waiting for another replication request, or request from a User (Figure 4.3). The node is able to respond to data request.
- SendData: this state is reached after a synchronization on data\_request[in]?, which corresponds to the reception of an *Interest* for a data (identified by the incoming identifier in) replicated by the node. This request can be initiated by a User, or another Storage node through it's Replication template when replicating a data. The data are then sent to the requester using a synchronization on the channel data\_response[outDataChan]!.
- Failure: this state is used to simulate in a non deterministic way the failure of a node.



Figure 4.6: Storage model

# **Replication Model**

This model (Figure 4.7) represents the process in charge of performing the replication for a storage node. It has fourteen states. This large number of states can be explained by the fact that UPPAAL doesn't allow the use of multiple synchronization on a same channel. To be able to achieve that, we use a sequence of committed states. Then they represent an atomic action. This allows to execute all of them without time delay, when the first is enabled.

- Idle: initial state;
- Ready: this state is reached after the initialization by the Storage process using  $init_r[id]$ ? channel (Figure 4.6).
- Ack: this state is reached after the Replication automaton has responded with its ability to deal with the replication. This acknowledgement is sent directly to the ReplicationClient (Figure 4.2) using

*replication\_ack*[FACTOR][*data*]! (with *FACTOR* representing the replication factor, and *data*, identifies the data to replicate).

- Waiting: this state is reached after sending a request for the data to replicate;
- Replicating: when the data are received, the replication is performed;
- CompleteInitI: this state is reached when there is a need to send a replication request to another node and after the initialization of the heartbeat process (Figures 4.8 and 4.9) in the states InitResponderI and InitCheckerI (Figure 4.7);
- CheckNextReplica: in this state, an heartbeat *Interest* is sent to check if the replica related to the next replication index doesn't already exist.
- Replication: this state is reached when there is a need to send a replication request to another storage;
- CompleteF: this state is reached after the initialization of the heartbeat process in the states InitResponderF and InitCheckerF (Figure 4.7) and when there is no need to send a replication request to another node. From this state, a message is sent to the ReplicationClient using the channel *replication\_stop*! to complete the replication process.



Figure 4.7: Replication model

### HeartbeatChecker Model

This model (Figure 4.8) represents a sub-process in the heartbeat process which consists in checking the availability of a replica. This is done by the node which sent the replication request for that replica.

This model has six states:

- Idle: initial state;
- Ready: state from which the heartbeat *Interests* are sent;
- Replication: state from which a replication *Interest* is sent in replacement of a node which failed;
- CheckingNode: state in which a replica has been checked and response is waited;
- Detected: when a timeout for a heartbeat *Interest* is detected;
- Failure: This state is used to simulate the failure of the node. When a Storage automaton goes into end, all processes related to it (HeartbeatChecker and HeartbeatResponder) do the same.



Figure 4.8: HeartbeatChecker model

### HeartbeatResponder Model

This model (Figure 4.9) represents the second sub-process in the heartbeat process which consists in responding about the availability of a replica when checked by a HeartbeatChecker.

This model has three states:

- Idle is the initial state
- Ready: this state is reached after initialization using the synchronization channel called init\_hr[index][id\_data]? (with index for the replication index and id\_data used to identify the data to replicate) by a Replication automaton (Figure 4.7). During this initialization, all the information about the replica to check are received. In the Ready state, when the automaton receives an heartbeat Interest from the HeartbeatChecker via the synchronization channel heartbeat\_request[in][data]? (with in for the replication index and data, the data identifier), it sends back a confirmation message using the channel heartbeat\_response!.
- Failure: this state represents the end of the process. It is reached when the Storage having initialized the process fails.



Figure 4.9: HeartbeatResponder model

# **ReplicationClient Model**

This model represents the ReplicationClient, which is used to send a replication request to replicate data on the DFS. The automaton has six states.

- Idle: initial state;
- Start: state from which the automaton is ready to send replication *Interest*. This is done by synchronizing with a Storage automaton using *replication\_request*[FACTOR][*data*]! (Figure 4.6);
- WaitAck: after sending a replication request, the automaton goes into this state and waits for the acknowledgement that its request has been received by the Storage automaton. This is done by a Replication automaton through a synchronization on channel replication\_ack[FACTOR][data]?, the automaton then changes to the Wait state (Figure 4.2);
- Wait: state in which the ReplicationClient waits for an *Interest* request for the data to be replicated, in this case it moves to the SendData state or receives an *Interest* about the completion of the replication process, then it evolves to the End state.
- SendData: in this state, the ReplicationClient automaton sends a data message to the node requesting the data. This is done using the synchronization channel *data* response[*data*]!.
- End: This state is reached at the end of the replication process after receiving a confirmation from a Replication automaton using the synchronization channel *replication stop*?.

### User Model

The User model (Figure 4.3) is used to represent data retrieval from the system. It has five states:

- Idle: initial state;
- Start: state from which the automaton is ready to send *Interest* to retrieve data. This is done by synchronizing with a Storage replicating the data using *data\_request[data]*! (Figure 4.3);

- WaitData: after sending data request, the automaton goes into this state and waits for the data. The response will come by a Storage automaton through a synchronization on channel data\_response[data]?, then this automaton evolves to state DataReceived;
- DataReceived: state meaning that the User has received a copy of the data.
- End: This state is reached at the end of the data request process.

# 4.3.2 NMapReduce

The NMapReduce is composed by a set of Compute Nodes (Section 3.2). It is the second layer of our Big data architecture. In this section, we describe the timed automata templates used to define the NMapReduce. The global system is composed of four automata describing a Compute node (Figure 4.10). Two other automata are used to modeled the ComputationClient application used to request data computation on the system, and also a code application which contains the script of the program to use. The HeartbeatChecher (Figure 4.8) and the HeartbeatResponder (Figure 4.9) are shared components with the DFS.



Figure 4.10: Compute node automata network

# Compute Model

This model represents a global view of the compute node. It has seven states:

- Idle: initial state, or inactivity state;
- Start: state in which the compute node is able to process requests. It can be requested from a ComputationClient (for computation) or the other compute nodes (for map result retrieval).

- Ready: this state is reached after a synchronization on the channel called *computation\_request[inIndex][inData]*? (with *inIndex* for the computation index and *in-Data* used to identify the data) with the ComputationClient. This state corresponds to a state in which the compute node has received a computation request from the ComputationClient.
- Computing: this state is reached after the compute node initiates a Processor model to handle the request. The initiation is done through the use of a channel  $init_r[id]!$ . This state indicates that the node is processing the request.
- MapComplete: this state is reached after the synchronization on the channel  $r\_ack[id]$ ? by the Processor model, which uses that channel to notify the completion of the map process. The system can move to the Start state waiting for another computation request, or request for the map it has just completed.
- SendMap: this state is reached after a synchronization on *data\_request[in]*?, which corresponds to the reception of an *Interest* for a map computed by the node. The data are sent to the requestor using a synchronization on the channel *map\_response[outDataChan]*!.
- Failure: this state is used to simulate in a non deterministic way the failure of a node.

Figure 4.11 shows the model from UPPAAL.



Figure 4.11: Compute model

# Processor Model

This model represents the process in charge of computing the map and the reduce for a storage node. It has fourteen states.

- Idle: initial state;
- Ready: this state is reached after the initialization by the Compute process using  $init_r[id]$ ? channel.
- Ack: this state is reached after the Processor has answered with its ability to deal with the computation. This acknowledgement is sent directly to the ComputationClient using *computation\_ack[index][data]*!.
- Waiting: this state is reached after sending a request for the script that has to be applied on the data to the code node (Figure 4.14);

- ComputingMap: when the script is received, the map computation on the requested segment is performed in this state;
- CompleteInitI: this state is reached after the initialization of the heartbeat process in the states InitResponderI and InitCheckerI (Figure 4.12) and when there is a need to send a compute request to another node;
- CheckNext: in this state, an heartbeat *Interest* is sent to check whether the next index in the computation process has already been processed or not.
- SendComputation: this state is reached when there is a need to send a computation request to another compute node;
- ComputeReduce: this state is reached after the initialization of the heartbeat process in the states InitResponderF and InitCheckerF (Figure 4.12) and when there is no need to send a compute request to another node. In this state the reduce action will be performed. After computation, the result is sent to the ComputationClient using the channel *computation\_result*!
- MapRequest: from this state a node sends an *Interest* to retrieve all the intermediate map computation results in order to perform the reduce.



Figure 4.12: Processor model

### **ComputationClient Model**

This model represents the ComputationClient, which is used to send a computation request on data. The automaton has five states.

- Idle: initial state;
- Start: state from which the node is ready to send computation *Interest*. This is done by sending the request through *computation\_request[index][data]*!;
- WaitAck: this state is reached after sending a compute request. The client is waiting for the acknowledgement that its request has been received.
- Wait: this state is reached after the reception of the acknowledgment on channel *computation\_ack[index][data]*. The ComputationClient waits then for the result of its data computation request (Figure 4.13).
- End: this state is reached at the end of the computation process after receiving a data corresponding to the result of the computation on the synchronization channel *computation* result?



Figure 4.13: ComputationClient model

### Code Model

The Code model (4.14) is used to represent a node holding the script for the computation. Has described (Section 3.2), the script is considered as a data on the cluster. It has three states:

- Idle: initial state;
- Start: state from which the node is ready to respond to *Interest* for script retrieval. This is done by sending a message on the channel *code\_request*?;
- Sending: this state is reached after receiving an *Interest* for the script. The script is then sent using *code\_response*! to the requesting node.



Figure 4.14: Code model

# 4.4 System Verification

In this section, we are interested in the verification of system properties that our system must satisfy. Those properties are proved by applying model checking to our previous models. Our architecture is defined in two layers as presented in Section 4.3.1 and Section 4.3.2, with each layer having its own properties. The properties are related to the replication and the computation distribution. We have divided the properties into 3 categories, respectively communication, completeness and recovery after failure. These are essential properties that a distributed system should meet [51].



Figure 4.15: Property P1 UPPAAL verification

### 4.4.1 Communication properties

We have identified properties related to the communication between the ReplicationClient and Storage (Section 4.3.1) and between the Compute and ComputationClient (Section 4.3.2). First, we present those related to the NDFS and then those related the NMapReduce.

A replication message sent by a node is eventually received at some point in time by a Storage node. This property is verified using three formulas, as we have three possible cases. The replication message can be sent by a ReplicationClient, a Storage automaton or a HeartbeatChecker.

P1: A<> ReplicationClient(1).WaitAck imply exists(i:id\_s)(Storage(i).replicating
and Replication(i).ready)

This property covers the case where the replication message is sent by a ReplicationClient node. It expresses the fact that when a ReplicationClient reaches the state WaitAck, a replication message has been sent, a Storage reaches the state Replicating and the replication process is Ready, meaning that the replication message has been received by this Storage. The property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.15. The green bullet in the overview indicates that the system satisfies the specified property. The lower part has logged the communication with the model-checking engine and explicitly mentions the property satisfaction.

P2: A<> forall(i:*id\_s*)Replication(i).Replication and Replication(i).*replication\_sent* == *true* imply exists(i:*id\_s*)(Storage(i).Replicating and Replication(i).Ready)

Editor Simulator ConcreteSimulator Verifier Yggdrasil	
Overview	
E<> exists(i: id s)(Storage(i).End and nb failure==EATLURES) impl	
E<> nb failure==FAILURES and replicas[1]==FACTOR	Check
E<> (Client(1).End and replicas[1]==FACTOR)	Insert
A<> forall(i: id_h)HeartbeatChecker(i).Replication imply exists(i 🔘 🗍	Remove
A<> forall(i: id_s)Replication(i).Replication and Replication(i) 💽 📒	Comments
A<> ReplicationClient(1).WaitAck imply exists(i: id_s)(Storage(i) 🜑 🖵	connents
Query	
A<> forall(i: id_s)Replication(i).Replication and Replication(i).replication_sent==tru	e imply exists(i: id_s
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Figure 4.16: Property P2 UPPAAL verification

This property concerns the case where the replication message is sent by a Storage node during the replication process. It expresses the fact that when a Replication automaton reaches the state Replication with the variable *replication\_sent* is true, a replication *Interest* has been sent by this node. A Storage then reaches the state Replicating and the replication process is Ready, meaning that the replication message has been received by this Storage. The property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.16. The green bullet in the overview indicates that the system satisfies the specified property. The lower part has logged the communication with the model-checking engine and explicitly mentions the property satisfaction.

P3: A<> forall(i:*id\_h*)HeartbeatChecker(i).Replication imply exists(i:*id\_s*) (Storage(i).Replicating and Replication(i).Ready)

This property is for the case where the replication message is sent by a Storage node during the Heartbeat process. It expresses the fact that when a HeartbeatChecker reaches the state Replication, a replication *Interest* has been sent by this node. A Storage then reaches the state Replicating and the Replication process is Ready, meaning that the replication message has been received by this Storage. This property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.17. The green bullet in the overview indicates that the system satisfies the specified property. The lower part has logged the communication with the model-checking engine which explicitly mentions the property satisfaction.

Another aspect of this property is the one related to the computation. A computation message sent by a node is eventually received at some point in time by a Compute node. This property is verified using three formulas, as we have three possible cases: the computation message can be sent by a ComputationClient, a Compute automaton or a HeartbeatChecker.

P4: A<> ComputationClient(1).WaitAck imply  $exists(i:id_s)(Compute(i).Computing)$ 

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Overview	
E<> exists(i: id_s)(Storage(i).End and nb_failure==FAILURES) impl 🔘 🔺	
E<> nb_failure==FAILURES and replicas[1]==FACTOR	Check
E<> (Client(1).End and replicas[1]==FACTOR)	Insert
<pre>A&lt;&gt; forall(i: id_h)HeartbeatChecker(i).Replication imply exists(i)</pre>	Remove
A<> forall(i: id_s)Replication(i).Replication and Replication(i) 🔘 📒	Commonts
A<> ReplicationClient(1).WaitAck imply exists(i: id_s)(Storage(i) 🔵 🖵	comments
Query	
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(	•



Editor !	Simulator	ConcreteSimulator	Verifier	Yggdrasil		
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E<> (Com	putationCli	ent(1).End )			ŏ	Insert
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A<> Comp	utationClie	nt(1).WaitAck imply e	xists(i: id	d_s)(Compute(	i) 🔘 🖵	Comments
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Property	/ is satisfie	d.				-

Figure 4.18: Property P4 UPPAAL verification

### and Processor(i).Ready)

This property covers the case where the computation message is sent by a computation client node. It expresses the fact that when a ComputationClient reaches the state WaitAck, a computation message has been sent and a Compute node reaches the state Computing and the Processor process is Ready, meaning that the computation message has been received. This property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.18. The green bullet in the overview indicates that the system satisfies the specified property.

P5: A<> forall(i:*id\_s*)Processor(i).SendComputation and Processor(i).*request\_sent* == *true* imply exists(i:*id\_s*)(Compute(i).Computing and Processor(i).Ready)

This property concerns the case where the computation message is sent by a Compute node during the computation process. It expresses the fact that when a Processor reaches the state SendComputation with the variable *request\_sent* is true, a computation *Interest* has been sent by this node. A Compute automaton then reaches the state Computing and the Processor process is Ready, meaning that the computation message has been received. This property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.19. The green bullet in the overview indicates that the system satisfies the specified property.



Figure 4.19: Property P5 UPPAAL verification

P6: A<> forall(i:*id\_h*)HeartbeatChecker(i).Computation imply exists(i:*id\_s*) (Compute(i).Computing and Processor(i).Ready)

This property describes the case where the computation message is sent by the Heartbeat process when a node fails. It expresses the fact that when a HeartbeatChecker reaches the state Computation, a computation *Interest* has been sent. A Compute then reaches the state Computing and the Processor process is Ready, meaning that the computation message has been received. This property has been specified as a query to the system in the upper section of the verifier in UPPAAL GUI as displayed in Figure 4.20. The green bullet in the overview indicates that the system satisfies the specified property.

The communication properties from P1 to P6 related to our two layers are very important. They prove with certainty that the protocols correctly transfer messages from ReplicationClient to Storage, from ComputationClient to Compute, between Storages and between Computes.

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E<> nt	o_laiture==⊢AJ	LLORES and replicas[i]	==FACTOR		- XH	Incort
E<> ((	computationcli	lent(I).End )				Insert
A<> TO	prall(1: 1d_h)	HeartbeatChecker(1).C	omputation	imply exists	1	Remove
A<> to	orall(1: 1d_s)	Processor(1).SendComp	utation and	Processor(1		Comments
A<> Co	omputationClie	ent(l).WaitAck imply e	xists(i: id	_s)(Compute(:	.) 🛡 👻	
Query	1					
A<> fo	orall(i: id_h)Hea	rtbeatChecker(i).Compu	tation imply	exists(i: id_s)(	Compute(i).	Computing and Proc
•						
-						
Comm	ient					
Comm	ient					
Comm	ient					
Comm	ient					
Comm	ient					
Statu	ient s					
Comm Statu	s		74040 ( 42	050KB		
Comm Statu Reside	s ent/virtual me	emory usage peaks: 8	3,748KB / 43	960KB.		
Comm Status Resido Prope A<> fo	s ent/virtual me rty is satisfie orall(i: id h)H	emory usage peaks: 8 ed. eartbeatChecker(i).Cu	3,748KB / 43	,960KB. imply exists	(i: id s)(Co	mpute(i).Compu
Comm Statu Reside Prope A<> fo Verific	s ent/virtual me rty is satisfie orall(i: id_h)H cation/kernel/	emory usage peaks: 8 ed. eartbeatChecker(i).Co elapsed time used: 0	3,748KB / 43, omputation s / 0s / 0.00	.960KB. imply exists 3s.	(i: id_s)(Co	mpute(i).Compu
Statu Resido Prope A<> fo Verific Resido	s ent/virtual me rty is satisfie orall(i: id_h)H cation/kernel/ ent/virtual me	emory usage peaks: 8 d. eartbeatChecker(i).Co elapsed time used: 0 emory usage peaks: 8	3,748KB / 43 omputation s / 0s / 0.00 3,748KB / 43	,960KB. imply exists 3s. ,960KB.	(i: id_s)(Co	mpute(i).Compt
Statu: Reside Prope A<> fo Verific Reside Prope	s ent/virtual me rty is satisfie orall(i: id_h)H- cation/kernel/ ent/virtual me rty is satisfie	emory usage peaks: 8 d. eartbeatChecker(i).Co 'elapsed time used: 0 emory usage peaks: 8 d.	3,748KB / 43 omputation s / 0s / 0.00 3,748KB / 43	,960KB. imply exists 3s. .960KB.	(i: id_s)(Co	mpute(i).Compt

Figure 4.20: Property P6 UPPAAL verification

Editor Simulator ConcreteSimulator Verifier Yggdrasil	
Overview	
E<> nb failure==FAILURES and replicas[1]==FACTOR	Check
E<> (ReplicationClient(1).End and replicas[1]==FACTOR)	Insert
A<> forall(i: id_h)HeartbeatChecker(i).Replication imply exists(i	Remove
A<> forall(1: id_s)Replication(1).Replication and Replication(1)	Comments
Ouerv	
E<> (ReplicationClient(1).End and replicas[1]==FACTOR)	
Comment	
▲ <del>▼</del>	
Status	
Resident/virtual memory usage peaks: 8,712KB / 43,904KB.	<b>^</b>
Property is satisfied. E<> (ReplicationClient(1).End and replicas[1]==EACTOR)	
Verification/kernel/elapsed time used: 4.22s / 0.65s / 4.89s.	
Resident/virtual memory usage peaks: 313,964KB / 340,256KB.	=
	► ►

Figure 4.21: Property P7 UPPAAL verification

# 4.4.2 Completeness properties

These properties are related to the execution of a request. The first one is about the completeness of the replication process: the replication process completes.

P7: E<> (ReplicationClient(1).End and replicas[1]==FACTOR)

This property means that after a replication *Interest* has been sent by a ReplicationClient, it reaches the End state at some point in time and the number of replica requested is equal to the number of replica available on the cluster. This property has been verified using the UPPAAL verification system as shown in Figure 4.21. This property has been specified as a query to the system in the upper section of the verifier. The green bullet in the overview indicates that the system satisfies the specified property.

The second one is about the completeness of the computation process: the computation process completes.

P8: E<> (ComputationClient(1).End)

This property means that after a computation *Interest* has been sent by a ComputationClient, the ComputationClient at some point reaches the state End, meaning that it has received a message from a Compute node. This property has been verified using the UPPAAL verification system as shown in Figure 4.22. The green bullet in the overview indicates that the system satisfies the specified property.

Editor Simulator ConcreteSimulator Verifier Yggdrasil	
Overview	
E<> exists(i: id_s)(Storage(i).end and nb_failure==FAILURES) impl E<> nb_failure==FAILURES and replicas[1]==FACTOR E<> (ComputationClient(1).End ) A<> forall(i: id_h)HeartbeatChecker(i).Computation imply exists(i A<> forall(i: id_s)Processor(i).SendComputation and Processor(i) A<> ComputationClient(1).WaitAck imply exists(i: id_s)(Compute(i)	Check Insert Remove Comments
Query	
Comment	
▼	
Status	
Established direct connection to local server. (Academic) UPPAAL version 4.1.19 (rev. 5648), July 2014 server. E<> (ComputationClient(1).End ) Verification/kernel/elapsed time used: 0s / 0s / 0.004s. Resident/virtual memory usage peaks: 8,504KB / 43,928KB.	
Property is satisfied.	

Figure 4.22: Property P8 UPPAAL verification

### P9: A[] not deadlock

We also express a property regarding deadlock freeness. Deadlock is a very common problem in distributed systems. It is a state of the system in which each component is forever waiting for another component to take an action which will never occur. This property has been verified using the UPPAAL verification system. We have faced some issues trying to run this property using UPPAAL user interface. We used the command line version of the verifier to specify the property as a query to the system. The output (Figure 4.23) shows the property, the result and some metrics. The message "Formula is satisfied" indicates the property satisfaction.

The completeness properties from P7 to P9 related to our two layers guarantee that the different components work correctly to perform the required actions without any deadlock.

Chapter 4. Model Checking for System Verification



Figure 4.23: Property P9 UPPAAL verification

### 4.4.3 Recovery properties

These properties highlight our heartbeat process and our failover approach.

P10: E<> Storage(1).Failure imply exists(i: $id_h$ )(HeartbeatChecker(i).timer > HeartbeatChecker(i).TIMEOUT) and HeartbeatChecker(i).Detected

This property means that when a Storage node fails, it is detected by the heartbeat. This property has been verified using the UPPAAL verification system as shown in Figure 4.24. The green bullet in the overview indicates that the system satisfies the specified property.

Editor Simulator ConcreteSimulator Verifier Yggdrasil	
Overview	
<pre>E&lt;&gt; (replicas[1]==1) imply replicas[1]==FACTOR E&lt;&gt; (exists(i: id_s)Storage(i).SendData and user.WaitData) imply E&lt;&gt; Storage(1).Failure imply exists(i: id_h)(HeartbeatChecker(i) E&lt;&gt; exists(i: id_s)(Storage(i).End and nb_failure==FAILURES) impl E&lt;&gt; nb_failure==FAILURES and replicas[1]==FACTOR E&lt;&gt; (ReplicationClient(1).End and replicas[1]==FACTOR) Query E&lt;&gt; Storage(1).Failure imply exists(i: id h)(HeartbeatChecker(i).timer &gt; HeartbeatChecker(i).timer &gt; Heartbea</pre>	Check Insert Remove Comments
Comment	<b>)</b>
Status	
Resident/virtual memory usage peaks: 9,180KB / 44,624KB. Property is satisfied. E<> Storage(1).Failure imply exists(i: id_h)(HeartbeatChecker(i).timer > He Verification/kernel/elapsed time used: 0s / 0s / 0.001s. Resident/virtual memory usage peaks: 9,208KB / 44,624KB. Property is satisfied.	artbeatChecke

Figure 4.24: Property P10 UPPAAL verification

P11: E<>Storage(1).Failure imply exists (i:*id\_s*)(Storage(i).index==Storage(1).index) This property verifies that when a data node fails, there is always a component which will take over the data managed by this node. Figure 4.25 gives the proof from UPPAAL verification system. The green bullet in the overview indicates that the system satisfies the specified property.

Editor Simulator ConcreteSimulator Verifier Yggdrasil	
Overview	
All not deadlock	
A[](exists(i: id s)Storage(i).nb replica>=1)	Check
E<> Storage(1).Failure imply exists (i: id s)(Storage(i).index==)	Insert
E<> (replicas[1]==1) imply replicas[1]==FACTOR	Remove
E<> (exists(i: id_s)Storage(i).SendData and user.WaitData) imply	Comments
E<> Storage(1).End imply exists(i: id_h)(HeartbeatChecker(i).time 🔘 💌	connents
Query	
E<> Storage(1).Failure imply exists (i: id_s)(Storage(i).index==Storage(1).index)	
Comment	]
**	
Status	
Resident/virtual memory usage peaks: 7,536KB / 42,820KB.	<b></b>
Property is satisfied.	
E<> Storage(1).Failure imply exists (i: id_s)(Storage(i).index==Storage(1).	index)
verification/kernel/elapsed time used: 0s / 0s / 0.001s. Resident/virtual memory usage peaks: 9,180KB / 44,624KB	
Property is satisfied.	▼
	•

Figure 4.25: Property P11 UPPAAL verification



Figure 4.26: Property P12 UPPAAL verification

P12: A[](exists(i:*id\_s*)Storage(i).*nb\_replica>=*1)

There is always at least one replica on the cluster. After the replication is completed, the number of replica related to a data is at least one. Figure 4.26 gives the proof from UPPAAL

verification system. The output of the command line version of the verifier displays the message "Formula is satisfied", which indicates the property satisfaction.

P13: E<> (replicas[1]==1) imply replicas[1]==FACTOR

The whole replication can be rebuilt from one replica. If the number of replica related to a data is one at some point in time, the number of replication will be the same as the number initially requested by the client after some time. Figure 4.27 gives the proof from UPPAAL. The green bullet in the overview indicates that the system satisfies the specified property. verification system.

Overview         A[] not deallock         A[] (exists(i: id_s)Storage(i).nb_replica>=1)         E<> Storage(1).End imply exists (i: id_s)(Storage(i).index==Stor)         E<> (replicas[1]==1) imply replicas[1]==FACTOR         E<> Storage(1).End imply exists(i: id_h)(HeartbeatChecker(i).time)         Query         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Comment         Comment         Status         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         F         Status         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.	Editor	Simulator	ConcreteSimulator	Verifier	Yggdrasil		
A[] not deadlock   A[] not deadlock   A[] (exists(i: id_s)Storage(i).nb_replica>=1)   E<> Storage(1).End imply exists (i: id_s)(Storage(i).index==Stor)   E<> (replicas[1]==1) imply replicas[1]==FACTOR   E<> Storage(1).End imply exists(i: id_h)(HeartbeatChecker(i).time)   Comment   Status   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   E<> (replicas[1]==1) imply replicas[1]==FACTOR	Overvi	ew					
A[] (exists(i: id_s)Storage(i).nb_replica>=1)   E<> Storage(1).End imply exists (i: id_s)(Storage(i).index==Stor)   E<> (replicas[1]==1) imply replicas[1]==FACTOR   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Query   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Comment   Status   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   E<> (replicas[1]==1) imply replicas[1]==FACTOR		t deadlock					
Storage (1). End imply exists (i: id_s) (Storage (i).index==Stor)   E<> (replicas[1]==1) imply replicas[1]==FACTOR   E<> (exists (i: id_s) Storage (i). SendData and user. WaitData) imply)   E<> Storage (1). End imply exists (i: id_h) (HeartbeatChecker (i). time)   Query   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Comment   Status   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   E<> (replicas[1]==1) imply replicas[1]==FACTOR		rists(i, id s)	Storage(i) nh replicas	>=1)		ŏ	Check
E<> (replicas[1]==1) imply replicas[1]==FACTOR       Remove         E<> (exists (i: id_s)Storage (i). SendData and user. WaitData) imply •       Comments         Query       •         E<> (replicas[1]==1) imply replicas[1]==FACTOR       •         Comment       •         Status       •         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.       •         Property is satisfied.       •         E<> (replicas[1]==1) imply replicas[1]==FACTOR       •	E<> St	orage(1).End	imply exists (i: id s	s)(Storage(	i).index==Stor	ă1	Insert
E<> (exists (i: id_s)Storage (i). SendData and user. WaitData) imply    E<> Storage (1). End imply exists (i: id_h) (HeartbeatChecker (i). time    Query   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Comment   Status   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   E<> (replicas[1]==1) imply replicas[1]==FACTOR	E<> (r	eplicas[1]==]	<pre>L) imply replicas[1]==F</pre>	ACTOR	1,11100. 0101		Remove
E<> Storage(1).End imply exists(i: id_h)(HeartbeatChecker(i).time)   Query   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Comment   Status   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   E<> (replicas[1]==1) imply replicas[1]==FACTOR   Verification/kernel/elapsed time used: 0s / 0s / 0.001s.   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.   Property is satisfied.   Uerification/kernel/elapsed time used: 0s / 0s / 0.001s.   Resident/virtual memory usage peaks: 7,536KB / 42,820KB.	E<> (e	xists(i: id s	s)Storage(i).SendData a	and user.Wa	itData) imply	ŏ	Commente
Query         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Comment         Status         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         U         Imm	E<> St	orage(1).End	<pre>imply exists(i: id h)</pre>	(HeartbeatC	hecker(i).time		Comments
E<> (replicas[1]==1) imply replicas[1]==FACTOR         Comment         Status         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         U         Imm	Query		· · · _				
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Status Resident/virtual memory usage peaks: 7,536KB / 42,820KB. Property is satisfied. E<> (replicas[1]==1) imply replicas[1]==FACTOR Verification/kernel/elapsed time used: 0s / 0s / 0.001s. Resident/virtual memory usage peaks: 7,536KB / 42,820KB. Property is satisfied.							
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Status Resident/virtual memory usage peaks: 7,536KB / 42,820KB. Property is satisfied. E<> (replicas[1]==1) imply replicas[1]==FACTOR Verification/kernel/elapsed time used: 0s / 0s / 0.001s. Resident/virtual memory usage peaks: 7,536KB / 42,820KB. Property is satisfied.							
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Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         Image: satisfied.         Image: satisfied.         Image: satisfied.         Image: satisfied.         Image: satisfied.	Status	5					
Property is satisfied.         E<> (replicas[1]==1) imply replicas[1]==FACTOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied.         Image: Construction of the second s	Reside	ent/virtual me	emory usage peaks: 7,	536KB / 42	820KB.		<b>^</b>
E<> (replicas[1]==1) imply replicas[1]==FACIOR         Verification/kernel/elapsed time used: 0s / 0s / 0.001s.         Resident/virtual memory usage peaks: 7,536KB / 42,820KB.         Property is satisfied. <ul> <li>Imply replicas[1]==FACIOR</li> <li>Imply replicas[1]==F</li></ul>	Prope	rty is satisfie	ed.				
Resident/virtual memory usage peaks: 7,536KB / 42,820KB.	E<> (r	eplicas[1]==.	1) imply replicas[1]==H				
Property is satisfied.	Reside	ent/virtual me	emory usage peaks: 7.	536KB / 42	.820KB.		_
	Ргоре	rty is satisfie	d.				<b>•</b>
	4						•

Figure 4.27: Property P13 UPPAAL verification

The recovery properties from P10 to P13 prove that our system is fault tolerant. In fact, there is a continuity of services despite a node failure.

# 4.5 Summary

In this chapter, we have explored potential formal verification possibilities for our system.

Traditional approaches to system verification very often consider only the system in a global view when proving properties about it. Properties related to the internal behavior of the different components composing the system should also be proved. Combining model checking with Coq proof assistant help us to achieve this goal. First in Chapter 3, we have proved properties related to each components internal functionality and in this chapter, we considered properties for the interaction between these components. Our system is modeled into a network of timed automata, which allowed us to perform temporal system properties verification using model checking techniques.

The properties to prove have been chosen regarding essential properties Big Data architectures should satisfy, mainly recovery properties related to fail-over. Having nodes automatically taking over when a node fails is important for DFS and computation distribution. Through these properties, we have shown the fault tolerant aspect of our system. In fact, despite the inevitable interruptions caused by problems with equipment, normal functions can be maintained. We have also shown through communication properties verification that the different components of our system communicate correctly to perform the desired functionalities which are then proved using completeness properties. We were also able to prove that the system is free of communication issues such as deadlock. All these proofs give us more confidence in the system to implement. These properties should be preserved during the implementation phase. In the next chapter (Chapter 5), we propose a prototype and then an implementation of the system based on the specification, which satisfies the properties.

# Chapter 5

# Prototyping, Implementation and Simulation

### Contents

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To validate the different approaches introduced in Chapters 3 and 4, early in our work, we propose a prototype version of our solution.

Our prototype is built with the following goal: evaluate and validate the network communication aspects implied by our approach using the network simulator ndnSIM. It helps us to save time, but also to consider the validation of the scalability aspect of our approach that would be difficult to perform using a concrete implementation due to resource limitations related to our project. The implementation language is C++.

We then perform a concrete implementation of the solution. Our implementation is performed to confirm the network communication results obtained through simulation, but also to have a version that can run on physical devices for being able to compare our approach to existing solutions. This version of our architecture is developed in JavaScript and can run on any platform supported by the CCNx library.

In Section 5.1, we present the software architecture, Section 5.2 deals with our implementation approach. Section 5.3 describes our simulation experiments.

# 5.1 Software Architecture

In this section, we present our system requirements, the components involved in the construction of our system, but also the relation between these components and the interfaces they expose. Those components are coming from our formal specifications in Chapters 3 and 4.



Figure 5.1: Use Case diagram

### 5.1.1 Requirements

This section presents the requirements for our system. These requirements are grouped into three categories: functional requirements, non-functional requirements, and constraints.

### **Functional requirements**

The functional requirements are related to the functions the system has to accomplish. One way to represent them is through the use of a Use Case Model (Figure 5.1). Our system is packaged into subsystems: NDFS and NMapReduce. We describe the most important use cases for our software architecture. The use cases that we have retained are the following:

- Store data: The user wants to save a file on the DFS. He sends a replication request and defines the replication factor (number of replicas he wants to be available on the network), he then receives a confirmation about his request.
- Retrieve data: The user or an application wants to get the content of data stored on the DFS. It sends a retrieval request specifying the name of the file. A copy of the file is then returned to the requester. It is good to mention that, as the DFS is based on NDN, any

application able to send an NDN *Interest* using the name of the file can be used to get the content of the data.

- **Delete data**: The user wants to remove a file previously stored on the DFS. He sends a delete request specifying the name of the file to delete, and gets an acknowledgement about it.
- List all data: The user needs to have an overview of the files stored on the DFS. He sends a display request and gets a list of the different files stored on the DFS.
- **Perform a computation**: The user wants to perform a computation on data. He sends a computation request, specifying the name of the file, the script to be applied to the data. He receives back the name which has to be used for an *Interest* to get the result of the computation.

### Non-functional requirements

Non-functional requirements are properties that are not directly used by the user to interact with the system but are important for its good performing. In our case, we have defined the following non-functional requirements:

- Usability: The usage of the system should be intuitive to the end-users.
- **Performance**: Big data architects are looking for solutions which help them to quickly get results from their data. Therefore, the system should operate in such a way that the time needed to store a file or perform a computation is minimal.
- **Reliability**: The system should be fault-tolerant, and able to recover from a disaster. In the case of replication, the system should be able to maintain the exact number of replica requested by a user for every data replicated on the DFS.
- **Portability**: The system should be developed in such a way that it is platform independent, and being able to operate on low constrained devices.
- **Scalability**: The system should support an increase in the number of users and also in the number of physical devices.

### Constraints

A constraint is a restriction on a part of the system or on the whole system. For our architecture, we have the following constraints:

- the system should integrate the replication and computation parsers extracted in Ocaml from the Coq specification (Section 3.5);
- the system should provide a Command Line Interface (CLI) for the end-user to interact with the system.



Figure 5.2: Component diagram

### 5.1.2 Component diagram

The component diagram describes the system as reusable components and highlights their dependency relationships. The architecture that we propose is composed of two layers, the first being the DFS (Section 3.1) and the second being the computation distribution (Section 3.2). The different components for our system have been obtained from our specification in Chapter 3 and our model in Chapter 4. Figure 5.2 shows the component diagram, which can be organized into 4 groups:

- NDFS: This package contains the different components related to the DFS. It is composed with:
  - ReplicationParser: This component is an implementation of the parser for the replication language. This component is extracted in Ocaml from the Coq specification (Section 3.5.3).
  - Storage: This component implements the management of a Storage node, activates the replication mode, responds to replication requests and also sends data when replicas are available at the node. It has been implemented from our specification from Chapter 4. It's the implementation of the Storage model (Section 4.3.1).
  - Replication: This component is the implementation of the Replication model (Section 4.3.1). It implements the process in charge of performing the replication for a storage node.
- NMapReduce: This package contains the different components related to the computation distribution.

- ComputationParser: This component is an implementation of the parser for the computation language. This component is extracted in Ocaml from the Coq specification (Section 3.5.3).
- Compute: This component implements the management of a Compute node, activates the computation mode, responds to computation requests. It is the implementation of the Compute model (Section 4.3.2).
- Processor: Implemented from the Processor model (Section 4.3.2), this is the component implementing the computation mechanism.
- Common : This package contains the different components shared between the DFS and the NMapReduce.
  - HeartbeatChecker: This component implements the heartbeat process used to check the availability of an element (for example a replica in NDFS and a map in NMapReduce). It's the implementation of the HeartbeatChecker Model (Section 4.3.1). Messages are sent periodically to detect whether replica or map are still alive. Responses are provided by a HeartbeatResponder.
  - HeartbeatResponder: This component implements the heartbeat process used to send a response about the availability of an element (this is the case for a replica in NDFS and a map in NMapReduce). It has been implemented using the HeartbeatResponder Model (Section 4.3.1). It responds to requests from HeartbeatChecker about availability of a replica or map.
  - ndn-cxx: This component is a C++14 library implementing Named Data Networking (NDN) primitives that can be used to write various NDN applications [143].
- Client: We also considered additional components for the different clients (Replication-Client and ComputationClient).
  - ReplicationClient: Implemented from the ReplicationClient Model (Section 4.3.1), this component implements the process used to send a replication request to replicate data on the DFS.
  - ComputationClient: This component implements the process used to send a computation request on the data. It has been implemented from the ComputationClient (Section 4.3.2).

### 5.1.3 Scenarios

In this part, we highlight interactions between the administrator actions and the system. For each use case, we may have 3 parts. A normal course of events, which describes the ideal course of actions, where everything is fine. Alternative courses, which are about describing the different possible stages related to the choices of the administrator. This is the case for stages linked to conditions. Exceptions, when stages of the normal course of events could be disrupted due to abnormal events. We only present a sequence diagram for the normal course of events.

We abstract the communications with the forwarder (Section 2.4.4) which we consider outside of the scope of our system. For this purpose, we use the UML notation related to messages by presence of events, especially, the lost message and found message [144]. The lost messages are messages ending with a small black circle and represent messages that are sent to an unknown object and can be interpreted as it has not reached its destination. The found messages are messages starting with a small black circle to specify that the messages have been received by an unknown object. It can be interpreted as the origin of the message is outside the scope of the current description.



Figure 5.3: Store data sequence diagram

### Store data

This scenario describes the Administrator exchanges with the system to store data on the DFS (Figure 5.3). As a precondition, the system has enough Storage nodes to replicate the data (the number of storage nodes is greater or equal to the number of replicas the administrator is looking for). As a post-condition, the data are stored on the DFS and the requested number of replicas is met.

- Normal Course of Events
- 1. An administrator sends a command to store data on the DFS
- 2. The ReplicationClient validates the command through the ReplicationParser.
- 3. The ReplicationClient sends a replication request *Interest* on the network
- 4. A storage (s1) receives the replication request *Interest*, sends an acknowledgment to the ReplicationClient, performs the replication by sending an *Interest* to retrieve a copy of the data and looks for the next replica by sending a new replication request (Figure 5.3).

- 5. Another storage (s2) receives the replication request *Interest*, sends an acknowledgment to the storage which had initiated the replication request, performs the replication by sending an *Interest* to retrieve a copy of the data. It then sends a message to the ReplicationClient to complete the replication process.
- 6. The administrator is then notified about the completion of the process.
- Exceptions
- 4.a No Storage node is available.
- 4.b After a timeout, an error *Interest* is sent to the ReplicationClient.
- 5.a The number of storages is less than the number of replicas requested by the Administrator.
- 5.b An error *Interest* is sent to the ReplicationClient.



Figure 5.4: Retrieve data sequence diagram

### Retrieve data

This scenario describes the Administrator exchanges with the system to retrieve data from the DFS (Figure 5.4). As a precondition, the data have been stored on the system before, and the administrator knows the name of the data he wants to retrieve. As a post-condition, the stored data are returned to the administrator.

- Normal Course of Events
- 1. An administrator sends a command to retrieve data on the DFS.

- 2. The User application sends a data request *Interest* on the network.
- 3. A storage receives the data request *Interest*, then sends the data to the User application which displays them to the administrator.
- Alternative Courses

2.a An intermediate node has the data available in cache. The data request *Interest* is not forwarded to a storage node holding a replica of the data. The data are then sent from this node to the User application.

- Exceptions

2.a No data are available for the specified name. An informative message is sent to the administrator.



Figure 5.5: Delete data sequence diagram

### Delete data

This scenario describes the Administrator exchanges with the system to remove data from the DFS (Figure 5.5). As a precondition, the data have been stored on the system before, and the

administrator knows the name of the data he wants to retrieve. As a post-condition, the list of all data is returned to the administrator.

- Normal Course of Events
- 1. An administrator sends a command to delete data on the DFS.
- 2. The User application sends a data removal Interest on the network.
- 3. A storage replicating that data, receives the data removal *Interest*, performs the removal and then sends a data removal *Interest* for the next replica.
- 4. Another storage node replicating the data, receives the *Interest*, performs the removal and then notifies the User application as it was the last replica.
- Exceptions

3.a No data are available for the specified name. An informative message is sent to the administrator.



Figure 5.6: List all data sequence diagram

### List all data

This scenario describes the Administrator exchanges with the system to know the list of all data that are stored on the DFS (Figure 5.6). As a post-condition, the list of all data is returned to the administrator.

- Normal Course of Events

- 1. An administrator sends a command to list all the data available on the DFS.
- 2. The User application sends a data list *Interest* on the network.
- 3. A storage receives the data list *Interest*, then sends the list to the User application which displays it to the administrator.
- Alternative Courses

3.a No data have been stored on the DFS before. An empty list is sent to the administrator.

### Perform computation

This scenario describes the Administrator exchanges with the system to request a data computation (Figure 5.7). As a precondition, the data have been stored on the system before and also, the computation script is available on the network. As a post-condition, the result of the computation is returned to the administrator. The behavior of the Computes is quite identical. We only present two Computes on the sequence diagram to keep it readable, knowing that more Computes are involved. Maps start differently than Hadoop. A Compute node, when receiving a computation request, starts another map if needed, by sending a new computation request (Section 3.2).

- Normal Course of Events
- 1. An administrator sends a command to perform a computation on data stored on the DFS.
- 2. The ComputationClient validates the command through the ComputationParser.
- 3. The ComputationClient sends a computation request Interest on the network
- 4. A Compute (c1) receives the computation request *Interest*, in parallel, he sends an acknowledgment to the ComputationClient, performs the map computation for the first segment, and looks for another compute to perform the map for the next segment. These operations are repeated at each node receiving a computation request.
- 5. Another Compute (c2) receives the computation request *Interest*, sends an acknowledgment to the compute (c1) which had initiated the computation request, performs the map for the given segment.
- 6. One Compute object (c1) starts the reduce phase and sends *Interest* to retrieve the result for the different maps.
- 7. The compute object having performed the reduce computation sends the result back.

#### Alternative Courses

4.a The compute object doesn't have the data. It uses the "retrieve data" use case (Figure 5.4) to get a copy of the data.

4.b The compute object doesn't have the script for the computation. It sends an *Interest* to retrieve the script.

- Exceptions

4.a No Compute node is available.

4.b After a timeout, an error *Interest* is sent to the ComputationClient.



Figure 5.7: Perform computation sequence diagram

# 5.2 Implementation

The implementation is an important phase in the design of a software system. This part describes the implementation of the different versions of our system. We consider the different scenarios presented in Section 5.1.3. The prototype version is implemented to run within the ndnSIM simulator and evaluate the network communication aspects, while the concrete version is for the logic evaluation. Similarities for both versions are described in Section 5.2.1. Section 5.2.2 provides specificities about the prototype implementation. Technical details about our concrete implementation and a Command Line Interface (CLI) are provided in Section 5.2.3.

### 5.2.1 Model based approach

Our system has been modeled with timed automata, and properties about the system have been verified using UPPAAL model checker. The verified properties should be preserved during the implementation phase.

Automatic code generation is a technique that can be used in the implementation phase. It consists of using models of the system obtained during the specification, generates source code. The advantage of this technique is when the source code generator is formally proved, it ensures that the generated source code preserves properties verified during the modeling phase.

We were able to partially use the code generation approach for our implementations. When specifying our parsers (Section 3.5.3), the Coq specification has been used to generate the source code for these parsers in  $OCaml^2$  which are then integrated with the other components of the system.

Even though this approach is interesting, we didn't have the chance to use it for our models from UPPAAL since this approach requires a tool to support the source code generation. In our case, a tool that would take as input a UPPAAL model and outputs a source code in our target languages (C++ and JavaScript). Moreover, that tool should be proven.

In the literature, this problem has been considered before. This is the case for Sidra Sultana, and Fahim Arif [145], which proposed automation of UPPAAL automaton into C++ code. Unfortunately, no source code has been provided to the community and our efforts to reach the authors remained unsuccessful. Another solution is found in [146], where a tool is presented to generates embedded C code from UPPAAL models. The target language of this tool is different from ours. Using this tool would require too much work for performing code refactoring to adapt the output code to our target language. For this reason we didn't use this tool for our implementation.

Not having found a tool which satisfy our needs, we could build a tool for this purpose, and prove that the code generator preserves properties when generating code. But, it would be very time consuming while we are lacking time. We decide to perform the implementation manually instead of investing our time into the building of a source code generator.

In our approach, we consider each automaton as a software component as described in Section 5.1.2 and shown in Figure 5.2. Based on the automaton definitions, we implement the logic behind each component.

Introduced in 1994 by the Gang of Four (Erich Gamma, Richard Helm, Ralph Johnson, and John Vlissides) [147], design patterns are generic design solutions responding to specific software development problems. The state design pattern is a behavioral design pattern that allows an object to change its behavior when its internal state changes, performs treatments based on the current state and looks as if the class of the object has changed. The state design pattern is used

 $<sup>^{2}</sup> https://github.com/mistersound/thesis-source-code/tree/master/system/parser/sys$ 

where a state transitions diagram or state machines is possible. That is the case for our system modeled as a set of automata (Section 4.3).



Figure 5.8: State design pattern structure

Figure 5.8 presents the structure of this design pattern:

- Create state classes that declare a common interface.
- Delegate operations depending on the states of the Context object to its current state object.
- Ensure that the Context object points to a state object that reflects its current state.

Figure 5.9 presents the use of the design pattern for the building of the Storage component, based on the Storage model (Section 4.3.1):

- Context (Storage) is a class which allows to use a state object and which manages an instance of an object ConcreteState.
- State (StateStorage) defines an interface that encapsulates the behavior associated with a particular state of Context.
- ConcreteState (Idle, Start, Ready, Replicating, ReplicationComplete, SendData) implements a behavior associated with the state of Context.

The different automata communicate using communication channels (Section 4.2.2). This ensures synchronization between them. For our implementation, a communication channel between two automata gives place to an interface between the corresponding components (Figure 5.2).

For instantaneous states also called committed states (Section 4.2.2), for which no delay is allowed, we have an immediate movement of the component concerned. Thus as soon as the


Figure 5.9: Storage using state design pattern structure

system reaches a committed state, the operations are performed and an update is automatically called to move to the next state.

Finally, the adoption of a structured coding approach helps to preserve properties from the specification.

#### 5.2.2 Prototype version

Early in our project, we were looking for a way to quickly test our approach and perform an evaluation (Section 5.3). ndnSIM has been built for this purpose by the NDN community (Section 2.4.8). It helps to build test, and evaluate applications using the NDN architecture logics. The advantage of using ndnSIM is that the whole NDN stack and the core NDN protocol interactions such as receiving *Interest* and *Data* packets from upper and lower layers through Faces are already integrated as shown in Figure 5.10.

A prototype often implies a reduction of the specification. This is the case for our prototype where we only implemented the logic related to the different network messages exchanged by each component based on our approach defined in Section 5.2.1, and using the template<sup>3</sup> provided for building application using ndnSIM. The implementation language of ndnSIM is C++, and the template provided is in C++. Our prototype<sup>4</sup> has then been built in C++. Our prototype has been used for the simulation experiment described in Section 5.3, but also for the use cases in Section 6.3.

### 5.2.3 Concrete version

Later, to run our solution on physical devices and also being able to compare our approach to an existing solution, we decide to implement a concrete version (concrete because only this implemented version can be run on physical device) of our approach for this purpose. The

 $<sup>^{3}</sup>$  https://github.com/named-data-ndnSIM/scenario-template

 $<sup>{}^{4}</sup> https://github.com/mistersound/simulation/tree/master/ndnSIM/ns-3/src/ndnSIM/apps$ 



Figure 5.10: ndnSIM simulation package structure

implementation is based on the approach described in Section 5.2.1 and here, the main difference with the prototype version is the implementation of the business logic related to the replication, the computation, and the fact that it is built to run on a physical device. The NDN community has developed a library in JavaScript to ease the integration and the use of the NDN protocol by applications.

Node.js<sup>5</sup> is an open-source, cross-platform, JavaScript runtime environment that executes JavaScript code outside of a browser.

Using Node.js and the JavaScript library from NDN, we have built a concrete version<sup>6</sup> of the system. This version has been used for the system evaluation and to compare our approach with the Hadoop platform (Section 6.2).

# Commands

This section presents the different commands that have been developed for our system. They have been developed based on the requirements in Section 5.1.1. The commands of the CLI are mainly used by the administrator to interact with the system.

• To store data:

\$ node ReplicationClient.js /domain/storage/ReplicationFactor /ReplicationIndex/domain/dataName

- To retrieve data:
  - \$ node ndfs.js get /domain/dataName

<sup>&</sup>lt;sup>5</sup>https://nodejs.org/en/docs/

 $<sup>^{6}</sup> https://github.com/mistersound/thesis-source-code/tree/master/system$ 

• To delete data:

\$ node ndfs.js remove /domain/dataName

- To get the list of all data:
  - \$ node ndfs.js get /all
- To request computation on data:
  - \$ node ComputationClient.js /domain/compute/domain/data/domain/sourceCode

# 5.3 Simulation

This section describes the experiment used to evaluate the architecture in a simulated environment using the prototype version (Section 5.2.2). Moreover, the methodology used is presented. The goal is to determine and gain insight into the important parameters that impact our system and potentially affect its performance. This helps to better understand and characterize our system, but also better optimize it. Performance optimization is obtained by choosing the optimal values for the important parameters affecting our system.

### 5.3.1 Tools

Our experiment was conducted in several steps. The first step was the development of our prototype in C++, which is the language used by the simulator. We then designed the experiments to be run. The next step consisted in the analysis of the data collected during the executions. We present the simulation and the analysis tool used during this experiment.

#### Simulation tool

In the network domain, it is costly to deploy a complete testbed containing multiple nodes, routers, and data links to check a network algorithm. A network simulator such as ndnSIM [85] affords to test a network algorithm with various network topologies under a controlled environment. Nowadays, the list of ndnSIM related papers on simulations and measurements is impressive. ndnSIM implements the NDN protocol stack, to run simulations for a variety of network topologies and scenarios (Section 2.4.8). We use it to simulate the behavior of our architecture on different kind of topologies and provides tracers using libraries and packages to collect information such as in table 5.1. We have implemented a prototype version [148] of our architecture to run on ndnSIM.

### Dataplot

Dataplot [149], is a statistical analysis tool developed and maintained by the National Institute of Standard and Technology (NIST). It is a powerful tool for data analysis which supports both quantitative and graphical statistical method. We have been introduced to dataplot during our visit as guest researcher at NIST. This is one of the reasons why we have chosen this tool for our analysis, but also because of its capability when dealing with sensitivity analysis.

Type of measurement	Description
InInterests	measurements of incoming Interests
OutInterests	measurements of outgoing Interests
InData	measurements of incoming Data
OutData	measurements of outgoing Data
SatisfiedInterests	measurements of satisfied Interests
TimedOutInterests	measurements of timed out Interests
InSatisfiedInterests	measurements of incoming satisfied Interests
InTimedOutInterests	measurements of incoming timed out Interests
OutSatisfiedInterests	measurements of outgoing satisfied Interests
OutTimedOutInterests	measurements of outgoing satisfied Interests

Table 5.1: ndnSIM Metrics

Factor	Name	Low (-1)	High $(+1)$
X1	Network Size	64	100
X2	Number of Storage Nodes	20	40
X3	Size of File	5  Gb	10 Gb
X4	Number of Replication	3	5
X5	Content Store Size	10 packets	1000 packets
X6	Node Capacity	2 Tb	$5 \mathrm{Tb}$
X7	MeanFailureTime	300 s	700 s
X8	MeanFailureDuration	30 s	100 s
X9	Number Of Producer (Admin)	1	3
X10	Number Of Consumer Users	5	10
X11	Number Of Failure Nodes	1	4
X12	Links Speed	10 Mbps	$1000 { m ~Mbps}$
X13	Links Delay	1 ms	10 ms
X14	Cache Policy	Lru	Fifo
X15	Consumer Request Distribution	Uniform	Exponential

Table 5.2: Input Parameters and value simulated

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Figure 5.11: Fault tolerance sequence diagram

#### 5.3.2 Experiment

The network topology used for this experiment is a grid topology that has to be implemented programmatically. The experiment consisted of using a uniform distribution, select some nodes on the network on which to start a set of ReplicationClients, Storage Nodes, and User application (Figure 5.3). The User application is used to play the role of an application needing the data from the DFS, such as NMapReduce application. The clients send replication requests to replicate data in the DFS which will be satisfied by the Storage nodes. Also, User applications are used to retrieve data from NDFS. The data retrieval is based on uniform distribution and an exponential distribution (factor X15 from Table 5.2). Also, we randomly selected some nodes to fail during the simulation. This was to test the fault tolerance of the system. Figure 5.11 presents a scenario with 3 storage nodes. Storage s1 and s2 are replicating the same data, and check each other periodically using they HeartbeatChecker and HeartbeatResponder through the heartbeat mechanism (Section 3.1.3) which has been initiated during the store data scenario of an admin (Figure 5.3). When storage s2 fails (failure of hr2 and hc2), it is detected by the HeartbeatChecker of storage s1 (hc1) which initiates a replication request to find a storage to take over the data replicated by s2. Storage s3 responds to the replication request, replicates the data and starts a heartbeat mechanism (hc3 and hr3) to check storage s1 and responds to heartbeat requests.

To evaluate the performance of our proposed DFS, we identified 15 input parameters (Table 5.2) and 10 response parameters (Table 5.3) to run our simulation experiments. These parameters and system responses have been identified by analyzing the available parameters in ndnSIM. This is to analyze the impact of these input parameters on the system. For each input parameter, we chose only two values: "low" and "high" [150]. Having 15 variables with two possible values implies  $2^{15}$  possible combinations to run using a full factorial design (which consists of running all the possible combinations). Using a full factorial design will give more precise information. But this is difficult to run due to the time needed for a simulation to complete which requires approximately 40 minutes per execution. To reduce the number of runs, we used the method of

the fractional factorial [150] with a  $2^{15-8}$  design (design specification). This consisted of using a part of the runs needed for a full factorial and still having good results. The 128 runs were chosen carefully to be representative of the whole possibilities. We then measured 10 system responses (Table 5.3) to capture the behavior of the DFS. After the execution of the simulations, the metrics are produced in files (3 files) on which R scripts (Appendix E) are applied to compute the response parameters. Finally, the results are analyzed using the statistical tool dataplot [149]. Routing configuration is a crucial aspect of these experiments. As the NLSR (Section 2.4.4) is not integrated into ndnSIM, the GlobalRoutingHelper [85] (a ndnSIM integrated function used to perform the routing) is used to calculate the route weight. Each time that the system creates a new route to the local application, a new origin route is added to the GlobalRoutingHelper and all the route weights are recalculated. At the beginning of the experiment, all the FIBs are empty. They are populated on-the-go by the ReplicationClient and the Storage applications. As the existing routing strategies are not suitable for our system because of the replication constraints, a custom forwarding strategy is installed on each node for the storage prefix. The other prefixes on the FIB are handled by the Best Route Strategy [91] that is used by default for the data prefixes (Section 2.4.4).

Response	Name	Description
Y1	Replication Time	Time between a storage Interest and the com-
		pletion of the last replication
Y2	Mean Distance to data	Mean hop count before an Interest reaches a
		storage node
Y3	Mean Retrieval Time	Average time used by a user to get the data.
Y4	Total Replication request	Total number of replication requests
Y5	Number Of Exchanged Packets	Total of exchanged packets over the network
		(number of packets/s)
Y6	Data Rate	$({ m Kilobits/s})$
Y7	Number Of Incoming Interests	Total of Incoming Interest over the network
Y8	Number Of Outgoing Interests	Total of Outgoing Interest over the network
Y9	Number Of Outgoing Data	Total of Outgoing Data over the network
Y10	Number Of Incoming Data	Total of Incoming Data over the network

Table 5.3: System Responses

# 5.3.3 Results and discussion

This section describes the experimental results obtained using ndnSIM to measure the performance of the system.

A sensitivity analysis has been adopted to evaluate the behavior of our model. Performing this analysis permits us to determine for each system response, the factors which are the most important for that system response and the best and worst settings for these factors (main effect). We present the results for the replication time, the Mean distance to data and the Mean Retrieval time which have a great interest in our study, as their values indicated how the DFS performs. As soon as data are replicated, it can be involved in a computation process, also when an application wants to access data, one wants this access to be fast.





Figure 5.12: Main Effect plot - Mean Replication Time

Each factor was set at its high value for 64 runs and its low value for 64 runs within the 128-run design. The main effects plot graphed the average of these two sets. Figures give the main effect plot for the replication time, the mean distance to data and the mean retrieval time. The main effect plots have been produced using dataplot [149].

The mean plot is formed with:

- Horizontal Axis: we have the 15 factors and the two settings ("-" and "+") within each factor.
- Vertical Axis: we have the mean response for a given setting ("-" or "+") of a factor, for each of the 15 factors.

It is a sequence of 15 mean plots, with one mean plot for each factor. All of the mean plots are on the same scale to permit comparison and relative importance. The vertical axis of each mean plot is the mean response for each setting of the factor and the horizontal axis is the two settings of the factor: "-" and "+" (-1 and +1). A huge difference in the two means (for the two settings) implies that the factor is important while a small difference implies that the factor is not important. For each of the 15 factors, the mean values for that factor are connected with a line. The magnitude of that line indicates the factor effect. The longer line means that the factor has effects while the shorter line indicates the factor has not. The slope of the line shows whether there is an increasing or decreasing effect of the factor on the responses.

Figure 5.12 shows that the replication time (response Y1) is mainly affected by the number of replication (factor X4). Its mean value was 34.11s during our experiment. The time needed to perform the replication changes only with the number of replication. All the other factors don't impact the time needed to replicate the data. This result contradicts our initial expectation, which was that the replication time would be influenced by the size of the network, the number of storage, the content store (cache) size or at least the size of the file to replicate. This result is important as it shows that the system can highly scale with no impact on the time needed to replicate data on the network. This also shows that the communication properties (Section 4.4.1) hold, as the replications are performed, meaning that the different nodes in charge of the request are exchanging data to perform the request.



Figure 5.13: Main Effect plot - Mean distance to data

For the response Y2 (mean distance to data), we consider here how close are nodes running an application on the cluster to a replica. Figure 5.13 shows that factors X5, X1 and X4 are the most important. For the best settings X5 with a high value, X1 having low value and X4 a high value. Its mean value was 5 nodes, during our experiment. The size of the content store, the size of the network, and the number of replicas have an impact on the number of nodes that an *Interest* has to reach before this *Interest* has been satisfied. Having a small value for the mean distance to data means that the applications will quickly have access to the data. The size of the content store, the network size and the number of replicas might be chosen appropriately.

In Figure 5.14, we can see that Response Y3 (Mean Retrieval time) is mainly affected by Factors X13, X10, and X1. Its value was around 64 ms during our experiment. The size of the network, the delays on the links and the number of Users (applications accessing the data in the DFS) are affecting the time needed for the application to retrieve data from the DFS.



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Figure 5.14: Main Effect plot - Mean Retrieval Time

The two first results show that the system behaves as expected (Chapter 3) and the last one shows how scalable the system can be (these satisfy our non-functional requirement related to the system scalability in Section 5.1.1). In fact, increasing the number of users (Factor X10) improves data retrieval time. Also, experiments show that having only one replica available in NDFS, the system can move to a stable state if there are sufficient Storage nodes to replace the failed ones. This shows that our recovery properties (Section 4.4.3), related to the fact that failed nodes are detected and their processing are managed by other nodes, hold.

# 5.4 Summary

In this chapter, we presented the software architecture that we have proposed for our solution in Chapter 3. We presented the different requirements the system must meet. Based on the modeling performed in Chapter 4, we described the different components of the system. We have then described our approach for the implementation in general and details about a prototype and a concrete implementation. We have also considered a simulation of the prototype version of our system and performed a sensitivity analysis to study the different factors impacting the system. We found that the system is highly scalable with no impact on the replication time. We have also shown that properties from Section 4.4 hold. This shows that the properties are preserved with our implementations, and our approach of implementation despite its simple aspect is successful.

In the next chapter, we use the concrete implementation for an evaluation phase. We then compare our solution to existing solutions from IP using classical metrics used to compare DFS and computation distribution.

# Chapter 6

# **Experimentation and Results**

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In Chapter 5, we have implemented our architecture for Big Data over NDN. Our architecture is composed by a Distributed File System (Section 3.1) and a Computation distribution (Section 3.2). In this chapter, we perform the evaluation of our architecture. We analyze different statistics by running experiments on our architecture and comparing the results with experiments from an Hadoop architecture. In Section 6.1, we first describe the experimental environment, then Section 6.2 gives the evaluation and comparison. Finally, we also consider in Section 6.3 three use cases where our architecture have been used to consider real life problem.

# 6.1 Experimental Platform

As we are considering the evaluation of our architecture, which is compared to another architecture, we have built two identical experimental platforms (partially presented in Figure 6.1). One for our architecture based on NDN and the second one for the Hadoop architecture based on TCP/IP. Our experimental platforms have been built using a cloud platform available in our laboratory.

### 6.1.1 NDN experimental platform

NDN possesses a testbed for applications evaluation and testing with real settings (Section 2.4.6). Unfortunately, our solution is difficult to test on the testbed because of the software stack that



Figure 6.1: Cluster Architecture

has to be installed on the nodes. The management of a node in the testbed is operated by the organization which holds that particular node, so we only have administrative power on the LACL node. To get our environment as close as possible with what exist on the NDN testbed, we have adopted the configuration settings used for the nodes on the testbed. We have then built a mini testbed using a quarter of the number of nodes used in the current testbed. This mini testbed is used only as a test environment and has no connection with our link in the NDN testbed nor the NDN testbed itself. It is also good to mention that the number of nodes has also been chosen to have a meaning when building a Big Data cluster as recommended in best practices for building Big Data clusters [151].

The experimental cluster consisted of 16 nodes which are interconnected using a node called router. One node was used as a client and the 15 others were used as Storage and Compute nodes. The hardware information of the nodes is as follows:

- 15 nodes of storage / compute (receive replication, perform computation) with 8Go RAM, 50Go storage and 1 CPU
- 1 client (initiates replication, initiates computation) with 16Go RAM, 50Go storage and 2 CPU

At the software level, we have the following:

- OS is Ubuntu 16.04
- ndn-cxx has been installed [143]
- NFD has been installed [152]
- ndn-tools has been installed (essential command-line tools) [153]

- NLSR (NDN Link State Routing Protocol) has been installed [154] (it requires ChronoSync [155] and PSync [156])
- Nodejs has been installed [157].

Finally the JavaScript version of our Big Data framework was installed, that requires Nodejs (version  $\geq 10.15.3$ ). Both the client and the 15 nodes have the same software stack, except for our framework. The ReplicationClient and the ComputationClient were installed on the client while the master versions (Storage and Compute) were installed on the other nodes. The generated parsers (Section 3.5) were integrated into the deployed solution (Section 5.1.2) and were giving satisfactory results.

In order to allow researchers working on NDN to reproduce our work easily, and also because we have to repeat the experiments many times, we have built some scripts in order to automatize the different tasks for the cluster deployment, specially the installation of the software stack. The different scripts can be found in Appendix B, and the configuration script for the nodes on github (https://github.com/mistersound/NDN-1/tree/master/configuration).

# 6.1.2 Hadoop experimental platform

Our goal is to be able to compare our solution with the one from Hadoop. For this reason, we have to design the Hadoop experimental platform similar to the one we built for our framework. As previously, the experimental cluster consists of 16 nodes interconnected with a node called router. We consider a simple architecture with only one node as NameNode (master) and the other 15 nodes are designed to be DataNodes (slaves). We don't consider any backup, nor secondary NameNode. The master node manages the cluster and typically runs master components of distributed applications. Slave nodes coordinate data storage as part of the Hadoop Distributed File System (HDFS). The hardware information of the nodes is as follows:

- DataNodes: 8Go RAM, 50Go storage and 1 CPU
- NameNode: 16Go RAM, 50Go storage and 2 CPU

As mention in the previous section, the configuration is meaningful for a Hadoop cluster deployment [151]. We have used Ubuntu 16.04 as OS, the Hadoop-2.9.1 [158] and Java 7 [159] for HDFS and MapReduce. In this context, we also keep the experiments reproducibility constraint in mind while deploying the cluster. We then take this constraint into consideration by automating the deployment and providing a script for this automation (Appendix B.4). Our platform can easily be rebuilt.

# 6.2 Evaluation and Comparison

The evaluation is performed in two phases. Section 6.2.1 describes the evaluation of NDFS, which consists in sending replication requests from the ReplicationClient to replicate a file on NDFS. We then measure metrics such as the running time, and the throughput. This evaluation is performed considering the experiment parameters in Table 6.1, while increasing each time the size of the file and modifying the replication factor. Section 6.2.2 is for the evaluation of the NMapReduce. Based on the replication performed in Section 6.2.1 a computation request on the data is sent by the ComputationClient and we measure the runtime. In order to verify the reliability of the results as well as their adequacy to a normal behavior, we compare in each phase our result with the same experiment performed using the Hadoop framework.

Input	Experiment 1	Experiment 2	Experiment 3	Experiment 4
File size	500 Mo	500 Mo	5 Go	5 Go
Replication factor	3	15	3	15

Table 6.1: Evaluation parameters

#### 6.2.1 NDFS vs HDFS

In this section, we present the results of the comparison between NDFS and HDFS for data replication. We present namely the replication time, and the throughput.

The execution of an experiment from Table 6.1 on NDFS consisted in performing a set of actions. On the ReplicationClient, we first load the file to be replicated using ndnputchunks [153].

This step is important as this permits to have the file available in NDN format. Then the command for the replication request is issued:

For Experiment 1 & 3:

```
$ ndnputchunks /lacl/data < file500Mo.txt</pre>
```

\$ node ReplicationClient.js /upec/storage/3/3/lacl/data

For Experiment 2 & 4:

```
$ ndnputchunks /lacl/data < file5Go.txt</pre>
```

```
$ node ReplicationClient.js /upec/storage/15/15/lacl/data
```

The ReplicationClient.js corresponds to an implementation of the specification of the ReplicationClient Model (Section 4.3.1).

In order to perform measurements for the runtime, we have modified the default behavior of our application to include an aspect to detect the end of the replication. This aspect consisted for each Storage participating to a replication, to send an Interest to the ReplicationClient as an acknowledgement when they complete the replication (when the last segment of the file to be replicated is received). We then compute the difference between the start of the replication request and the reception of that acknowledgment

(Runtime = last\_acknowledgement\_time - start\_time). For the second measurement which is the throughput, we have used an output provided by ndntools which provides metrics on each Storage node.

To perform the same experiment for HDFS, we have performed commands of the form:

```
$ time hdfs dfs -put (fileName) (destinationHDFS)
```

For example for Experiment 1:

\$ time hdfs dfs -put /home/hadoop/file300Mo.txt /usr/jdongo/file300Mo.txt

In order to perform the measurements, we used the Linux time command. It is used when one wants to determine how long a given command takes to run. In our case, how long does the hadoop command takes to run. Each time, HDFS replication settings are adapted to the replication factor of the experiment.

Each experiment was performed many times to confirm that results are stable over each execution.

#### Runtime



This measures the time spent for replicating a file on the cluster using the parameters in Table 6.1.

Figure 6.2: HDFS runtime vs NDFS

As we can see (Figure 6.2), for all the experiments, HDFS takes more time to perform the replication. Increasing the replication factor has a little impact on the replication time for HDFS, while it has a huge impact on the replication time for NDFS and decreases it considerably. This can be explained by the use of a cache in NDFS, which enables the retrieval of the data from many sources. We have also noticed that the client used for sending the replication in the NDFS experiments always terminates and the exact number of requested replica is created in the cluster. This confirms that our communication properties (Section 4.4.1) hold (P1, P2, P3) as the replication request is received by the storage nodes and the requested operation is performed. This also confirms that our property P7 which is about the completeness property (Section 4.4.2) of the replication process holds.

#### Throughput

This measures the amount of data passing through the system. It depends on both parameters (Table 6.1), the file size and the replication factor. For HDFS, increasing the replication factor increases the throughput (Table 6.2), while the opposite effect is observed with NDFS. The increase of the replication factor in NDFS decreases the throughput. One suspect of this behavior is the NFD as presented in [160]. NDFS still presents good throughput compared to HDFS, as for the same file size and same replication factor, the throughput from NDFS is almost two times the one from HDFS.

Having good throughput shows that there is a communication between the ReplicationClient and the different Storage nodes. Data to be replicated are first retrieved from the Replication-Client and then from a Storage, from a cache at an intermediate node or from the Replication-Client. This confirms that our communication properties set forth in Section 4.4.1 hold. This also confirms the holding of our consistency property (Section 3.6.3) about the behavior of our replication parser which sends a NDN message when a replication command is accepted.

	NDFS	HDFS
experiment1	373,38	64, 45
experiment2	$316,\!17$	84,1
experiment3	349,46	$153,\!42$
experiment4	276,3	$162,\!05$

Table 6.2: HDFS Throughput vs HDFS (Mbits/s)

#### 6.2.2 Hadoop MapReduce vs NMapReduce

In this section, we present the results from the comparison between Hadoop MapReduce and NMapReduce while counting the number of times each word appears in a text data file. We present the time used for the computation. The different files replicated on the DFS are text files containing English books. After the replication, we perform two experiments using the result of experiment 1 and 3 from Table 6.1.

For NMapReduce, the experiment consisted in using a ComputationClient to send a computation request for the data. The following command was used:

#### \$ time node ComputationClient.js /upec/compute/lacl/data/lacl/WordCount

The ComputationClient.js corresponds to an implementation of the specification of the ComputationClient Model (Section 4.3.1).

As mentioned in Section 3.2, the script here is also considered as a data available on the network. The script of the JavaScript program which was applied on the data is available in Appendix C

For the Hadoop MapReduce the following command was used after implementing a Java version of the WordCount program available in Appendix D

\$ time hadoop jar wordCount.jar org.lacl.jdongo.WordCount /user/jdongo/file300Mo.txt
/user/jdongo/output

In order to perform the measurements, we used the Linux time. The time command is used when one wants to determine how long a given command takes to run. Here, how long the NMapReduce and MapReduce commands take to run.

Each experiment has been performed many times and the results are stable over the different executions.

#### Runtime

This is the time spent by the application to perform the computation. The computation has been performed using the parameters in Table 6.1. We only performed two experiments using settings of experiment 1 and 3. Results revealed that the execution time increases with the size of the input file (Table 6.3) in both applications. It can be noted that NMapReduce presented good execution time compared to MapReduce. This can be explained by the fact that NMapReduce somehow takes full advantage of data available in NDN cache. Getting the data quickly from a closed node helps to decrease the overall time, as it reduces the time needed to get the data when they are not available at the processing node. Having these results is a confirmation that

the computation process ended. This shows that our completeness property P8 (Section 4.4.2) related to the fact that the computation process completes, holds.

	NDFS	HDFS
experiment 1	310	380
experiment 2	1535	1850

Table 6.3: Hadoop MapReduce wordcount execution time vs NMapReduce (s)

Both approaches produced the same result for the word counting. This is an important aspect, as it shows the validity of our implementation. Other examples such as data extraction from a CSV file have been implemented but are not presented in this document.

### 6.3 Use Case

In this Section, we present three use cases considered to apply our approach. The first use case is from the IoT field and is described in Section 6.3.1. It helps us consider the high variability aspect of Big Data, where we process a large collection of small datasets.

The second use case is about Smart Grids and is described in Section 6.3.2. Nowadays, the Smart Grid presents new opportunities to apply Big Data. As a matter of fact, it helps to consider the volume aspect of Big Data as we have many nodes generating data to be stored and analyzed.

The third use case is about Building Management Systems and is described in Section 6.3.3. In a building environment, the transmitted data can easily vary from few kilobytes to several gigabytes a day going back and forth between the sensors, actuators, and control processes. That's the reason why we choose this use case to apply our Big Data approach.

The comparison performed in Section 6.2 was based on a JavaScript implementation, while all the use cases are performed using a C++ implementation. This is due to the fact that ndnSIM doesn't support JavaScript, and its simulation language is C++.

### 6.3.1 IoT

The development of big data is rapidly accelerating and affecting all areas of technologies and businesses. As the Internet of Things (IoT) development is following this trend and producing tremendous amount of data, it has played a major role on the big data landscape [161]. New challenges are presented by the capability to analyze and use huge amounts of IoT data, including applications in smart cities, smart transport and grid systems, energy smart meters, and remote patient healthcare monitoring devices. Rethinking big data to enable built-in data aggregation can benefit both the networking side, by reducing the network traffic, and the processing side by sharing the results between the compute infrastructure at the edge of the network.

#### Description

For our use case, we consider an IoT temperature monitoring system. We have a set of devices (smart temperature and humidity sensors) that are queried periodically to provide temperature information. In this application, we have redundancy when requesting temperature informations. In fact, all the nodes requesting a temperature value will directly query the IoT device, even if

the data had been requested before. This scenario is a good candidate for applying our big data approach (Section 3.1 and 3.2).

Let's take an example of a data name: /location/temperature/201803201000/TempY/ These data are for the temperature value on March 20, 2018 at 10am from the IoT device TempY located in a room in a building (location is formed using the building name and the room number). Requesting these data will trigger an Interest for replicating the data on the DFS located in the Big Data platform (Figure 6.3). The consequence of this replication is the fact that future request for these data can be retrieved from the replicas, but also from some caches along the network; as NDN enable in-network caching. This considerably alleviates the load of the IoT devices.

Having these temperature measures on the DFS, we want now to make a computation using hourly historical values for a month. The compute request is issued from the cloud data center and performed on the Big Data platform. With this approach, we mutualize the use of the infrastructure and avoid redundancy in the computation. To evaluate the behavior and validate our approach, we have implemented our use case in a simulator. Simulations have been performed using the ndnSIM simulator [85]. We simulated a case with 20 IoT devices, 10 edge-storage/compute nodes, and 100 servers at the cloud data center. The simulation has been performed using IP communication and then with our NDN Distributed File System approach.

The platform used for this simulation is different from the one presented in Section 6.1. This is first due to the fact that we needed more nodes in this experiment and were bound by some resource restrictions in the cluster in our lab. Also, this platform is more adapted to IoT Big data computation as it includes the use of IoT devices and enables edge computing. Using ndnSIM, we were able to easily perform simulations up to the number of desired nodes.



Figure 6.3: IoT Big Data Architecture

#### **Results and discussions**

The simulations have been performed for both the Distributed File System approach (Section 3.1) and the computation distribution (Section 3.2). We examined the packets exchanged on the network and the number of compute request Vs number of compute execution. NDN provides a set of tools. The dumping tool ndndump [162] was created to provide a tcpdump-like tool for Named Data Networking (NDN), for dumping data traffic between nodes over a NDN network. So for our approach, we used the ndndump tool (used during the comparison in Section 6.2), while using tcpdump for the IP based solution, to dump the traffic and perform analysis.



Figure 6.4: Average packet rate

Figure 6.4 shows the average packet rate on the network. The impact of replication factor on requesting the data from the source is linear. That means that the Interests are aggregated by NDN. That shows the efficiency of the system as only a minimal number of packets is transmitted.



Figure 6.5: Compute request vs Execution - IP

Figure 6.5 shows that using an IP based solution, if a computation for a data is requested

for a number of time, that computation will be executed at least the same number of time and more if an error occurs during a computation. Our approach optimizes the computation and reuses already executed results. In this case, partial results for data chunks that have already evaluated and need to be reused in another evaluation, are not re-evaluated but the result is retrieved directly on the network.



Figure 6.6: Compute request vs Execution - NDN

We can see in Figure 6.6 that based on our NDFS, the number of computation for the same content is almost always 1 with an exception when errors occur.

These results confirm that our communication properties (Section 4.4.1) hold as the protocols correctly transfer messages from ReplicationClient to Storage, from ComputationClient to Compute, between Storages and between Computes. We can see that with the different packets dumped.

#### Summary

This use case first strengthens our findings from Section 6.2, especially with the good throughput. This good throughput helps in the request for computation of already evaluated data. Also, the architecture considered in this use case highlights other benefits of our solution, which was not observed during the evaluation phase. For example, the fact that less packets are exchanged on the network using our approach.



Figure 6.7: Smart Grid Scenario Architecture

# 6.3.2 Smart Grid

Since the emergence of the renewable energy sources, energy production scenario are evolving toward adoption of such an energy sources to address the foreseen oil shortage and the increasing demand for energy [163]. Therefore, stakeholders are changing their energy production and distribution processes to provide judicious uses of energy resources and a more "green" production [164]. This trend initiated a change in the model of the energy market. The transition is begun from a monopolistic single-provider model to a competitive model where several players (providers, vendors, and even consumers themselves) become producers in an open model [165]. Cheap photovoltaic panels and other affordable sources of renewable energy are heavily supporting this trend.

# Description

The Smart Grid is defined as an electrical grid in which a set of operations and energy measures are performed for electricity production and distribution control [166]. It depends on measurements to provide an accurate state estimation. As state estimation guarantees the balance by controlling the production, gathering and processing these measurements is crucial to the Smart Grid. Measurement within the Smart Grid is based on two main devices. Phasor Measurement Units (PMUs), used to monitor and control the power system by measuring voltage angular and magnitude as well as active and reactive power.[167]. The Smart Meter, used to monitor the energy consumption at the consumer level (Residence in Figure 6.7) [168]. Communication is crucial in the Smart Grid to provide a reliable delivery of power from the sources to the consumers. Indeed, power delivery depends on reliable and real-time information sharing [169]. The Smart Grid is considered as a network of computers and power infrastructures that monitors and manages energy usage [170]. The use of advanced technologies and applications generates a huge amount of data that is very important for the Smart Grid. For example consumption data, billing information etc. Data in the Smart Grid need to be saved, shared among many entities and need to be analyzed for control, real-time pricing etc. The data computation are repeated at many nodes and should be fast. Let's consider a network with a huge number  $\mathcal{N}$  of subscribers. An information that needs to be processed at each node will be requested from the central source (measurement device) by the  $\mathcal{N}$  subscribers and processed  $\mathcal{N}$  times. New challenges are faced by the Smart Grid such as how to alleviate the load of the measurement device, to preserve the data in case of network failure and mutualise on the infrastructure for the data computation. Our Big Data approach is a good candidate in order to address these challenges.

For our use case, we consider the topology in Figure 6.7. In the Smart Grid, many Compute/Storage nodes are deployed at every Power plant, one storage/compute node at the microgrid level and a compute node at the User Home level. The compute nodes advertise /electricity/compute to inform about the computation capability, while Storage nodes advertise /electric*ity/storage* for storage capability. When a PMU receives an Interest for a measure, it sends an interest to replicate that measure on the network. It defines the replication factor (number of replica that should be available on the network) for the data. Our experiment consisted in starting a set of Clients which hold a specific information such as the dynamic pricing of electricity that will be replicated. For example, using a name such as /electricity/prices/8pm/ProvX, we define the prices at 8:00 pm for the provider ProvX. A set of nodes which are storage/compute nodes, will replicate the data after having received the replication interest /electricity/storaqe/RepFactor/Rank/prices/8pm/ProvX (conforms with the language defined in Section 3.5). The replication factor (RepFactor, which is the number of replicas for a data) is dynamically determined by the Client. The rank (Rank) is essential for the heartbeat mechanism as discussed in Section 3.1.4. We then start another set of nodes (located at the Residence level of Figure 6.7) that we will call User application which requested the replicated data. To evaluate the behavior and validate our approach, we have implemented our use case in a simulator.

#### **Results and discussions**

We only consider one aspect; the packets exchanged on the network. The simulations were concerning the Distributed File System approach. We compare our results with the ones from a scenario using NDN and in-network storage [171] in Table 6.3.2. This shows that the use of our approach significantly reduce the average number of packets exchanged on the network. We also compare these results with the theoretical bandwidth used in case of IP-Network [172][173]. This result once again confirms the network optimization introduced by our approach. In fact, the use of NDN cache, and the way replications are performed through our approach help a lot in obtaining data very closely to the requesting node. This reduces the number of packets that have to be forwarded to the producer of the data. These results confirm that our communication properties (Section 4.4.1) hold.

	NDN DFS	NDN with cache	IP
InData (kbits/s)	11.37	7.5	1810.9
OutData (kbits/s)	29.92	161.8	2117.5

Table 6.4: Average packet rate in the case of IP-network, NDN-Network with cache and NDN with DFS

#### Summary

The simulation of the architecture presented in this use case and the IP theoretical values confirmed our good throughput results presented in Section 6.2. Additionally, these results show that our architecture considered in Chapter 3 and implemented in Chapter 5 is performing well and has an added value to the NDN architecture. In fact the approach using our architecture presented better results compared to a default NDN approach.

#### 6.3.3 Building Management System

The development of the Internet of Things (IoT) is rapidly growing and leading ways for changes and improvements in many areas of technology. Building Management System (BMS) is not an exception as IoT devices are widely used as lower energy consumption equipment to sense and actuate while reducing infrastructure costs. Moreover, those devices are enabler for interoperability between proprietary systems [174] in an environment where communication is crucial for the success of a solution.

The Edge Computing consists of placing the data collected by the sensors on the periphery of the IoT infrastructure. There are many solutions for processing data near the sensors. Based on event programming, a collector node does not necessarily send the data to the cloud and starts the analysis process directly afterward. The Edge Computing architecture and the IoT requirements reduce the processing time of sensor data placed on an industrial site. Because the data are stored on edge nodes near the production sites, the processing functions might be hosted directly on the local infrastructure. Such an approach allows manufacturers to obtain a nearreal-time treatment of the information collected [175]. Sectors such as energy and smart building need this speed to handle huge amounts of often-critical data on productivity and security.

#### Description

In building management, several protocols are involved to build the control loop between the sensors and actuators. As illustrated in Figure 6.8, usually protocols such as BACnet [176] are used to implement the communications inter-controllers and protocols such as KNX [177] or simple IO are used to connect devices including sensors and actuators to those controllers. However, control is not limited to a single controller within its own control loop, but a control application involves several controllers. Indeed, for an HVAC application, temperature regulation might involve several local controllers regulating the temperature per room or per floor driven by a common objective represented by a setpoint to maintain.

Implementing such a control system requires analysis and processing of data coming from all over the system. That implies disseminating sensors generated data within the network. Our proposed architecture leverages the dissemination property of NDN to reduce the interactions between the sensors (Section 6.3.1). For the best exploitation of this property and to keep the compatibility with the brownfield deployments, we propose to introduce two new components to the architecture. The first component is the NDN-to-BACnet adapter. Its role on this architecture is to be an extension to the local controller in such a way that it adapts every request from/to the controller to NDN communication pattern. The second component is the edge NDN forwarder that are designed to be deployed at the floor level.

As illustrated in Figure 6.9, the way those components are introduced to the architecture is non-invasive to the established system. In addition to the classical provisioning path kept unchanged between the engineering station and the controllers, the two layers containing the adapters and the forwarders introduce the dissemination property to the system. The forwarding

#### Chapter 6. Experimentation and Results



Figure 6.8: Architecture

layer optimizes the way data packets are transmitted. As the sensors data flows between the controllers, it keeps a copy on the Content Store within those floors' forwarders. Data are retrieved only once from the sensor using the NDN-to-BACnet adapter. After that the same chunk of data is retrieved only once per floor and served from the controllers local to the floor from the nearest common forwarder. This aspect of the architecture reduces drastically the amount of network exchanged (as presented in Section 6.3.2) and enables a new dimension of scalability to the system.

The processing decision at the edge might be driven by several techniques including stream processing where the data runs over several pipes and filters to produce the result. On our proposed architecture, we opted for a MapReduce model to process data and implement the central control mechanism. The assumption on this processing model is driven by the building management system style where a control application tries to orchestrate individual control loops towards the same objective. We use the two newly introduced component as infrastructure to run the map and the reduce operations. The NDN forwarders are used in this architecture as compute node at the edge that runs the map and reduce operations. The NDN-to-BACnet nodes are considered as storage nodes from which the compute nodes can retrieve the data chunks to be processed. The data chunks here are NDN segments.

For our use case, we consider the "Jeddah Tower". This building which will be the world's next tallest skyscraper is under construction in Jeddah, Saudi Arabia. It is expected to be 1 kilometer height, and is designed to include 168 floors and 2 basements with around 59 elevators. This building is a good candidate for applying our Building Management System approach.

Let's consider the 168 floors, using traditional IP based controller, we will need 2 controllers at each floor, which will result in 336 controllers for the whole building. This solution can be costly, and also a controller will be able to manage only the devices located at the floor where it is. Elevators are shared resources between all the floors of the building. This means that at some point in time, controllers have to share data information regarding devices located in the



Figure 6.9: Architecture with NDN

elevators. The management design has to take this aspect into consideration. In a building like the "Jeddah Tower", elevator downtime is a crucial aspect. One wants to be able predict them and avoid them as much as possible or be able to schedule them during a period for which there is less traffic. Monitoring through the IoT devices can help improve. Using an approach based on NDN, We use the NDFS (Section 3.1) to replicate data from these elevators device over the network. When requesting data from an IoT device related to the elevator, the data generate an Interest for replicating that data on the DFS. The use of replication has a great impact, as future requests can be served from replicas, and from cache, thanks to NDN in-network caching.

We want to make a computation on a daily basis for the number of trips an elevator made during a period of time. Having data available in NDN, we can apply the NMapReduce (Section 3.2) for the computation. With this approach we are able to make part and the whole result available for another controller requesting the same computation or computation needing an already evaluated part.

Our use case has been implemented and evaluated using simulations. The platform considered in this simulation is the same as in Section 6.3.1. Based on the network simulator ns-3 [86], ndnSIM [85] is a discrete event based network simulator for Named Data Networking. ndnSIM matches the simulation platform with the latest advancements of NDN research, giving the opportunity to simulate code for real application.

#### **Results and discussions**

During the experimentation, we consider the number of requests compared with the number of cache hits. The requests concerned those for a data replicated and also request for already evaluated data in the case of a computation. Figure 6.10 shows the number of request and numbers of them have been satisfied by results available in cache. We can conclude that the use of NDN and our replication approach help to reduce bandwidth consumption. These results confirm that our communication properties (Section 4.4.1) hold.



Figure 6.10: Number of request vs cache hit

#### Summary

This use case brought the light and explained the results from Section 6.3.1 and Section 6.3.2. In fact, we have presented one of the reasons why our architecture gave the previous results. This has been done by comparing the number of requests issued and the number of requests satisfied from a cache. In each case, most of the issued requests were satisfied from cache. This also explains the good throughput from Section 6.2.

### 6.4 Summary

In this Chapter, we described our experimental platform by presenting its hardware architectures, software as well as the test scenario which was executed in this environment. We also presented the experimentation used to evaluate our solution, at the DFS level as well as the computation distribution level. We found that the number of replica for a data can be scaled up without issue, as the time used for the replication of a data decreases with the increase of the replication factor. We have compared our results with experimentation results using the Hadoop architecture. We have found that our approach presents good results compared to Hadoop when considering replication time using a DFS and computation execution time for distributed computation.

Finally, we have considered three use cases in which we applied our solution to solve real life problems and showing the benefit of our solution. The First use case showed that our solution is suitable when considering Big Data variability aspect. The second one presented adequacy of the solution when dealing with Big Data volume attribute. And the last use case highlighted one of the reason why our solution presented these good results.

With all these results of experiments, we can conclude that our architecture fulfills well the objectives that we had set ourselves, namely, to propose a Big Data architecture to NDN (Chapter 3) satisfying the properties defined in Chapter 4.

In the next chapter, we present our conclusions and also the perspectives offered by our study.

# Chapter 7

# **Conclusion and Perspectives**

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7.1.1	Formal definition of a software architecture
7.1.2	Development of a framework
7.1.3	Measurements for evaluation
7.2 Futu	re works

The ICN paradigm is proposed as an architecture for the Future Internet in order to enable the Internet to fulfill its new role with the increase of usages. This is done by focusing on the data rather than on the hosts of the network, with the goal of moving data more efficiently to users. Several ICN architectures are proposed and from our point of view, the NDN architecture is the most advanced and brings together the largest community of researchers and companies for its development. By providing its nodes with a caching capability, the NDN network allows storing copies of the contents in the network to improve their distribution. The adoption of NDN as the Future Internet architecture will have a big impact on how applications are designed and implemented. This is due to the switching of the paradigm between IP based Network and NDN architecture based on data naming. Considering the OSI model, Big Data computations resides at the application layer. NDN enables the management of the data at the network layer thanks to the in-network caching, name-based routing, native support of multicast, and easy data access.

Our doctoral thesis work leads to the definition and implementation of a Big Data architecture in which data and script code are in motion to ease computations based on the NDN network architecture. In this chapter, we summarize our contributions and present future perspectives for the rest of our work. Finally, we list the publications made during this PhD thesis. This work fully integrates with the works on software mobility of our working group.

# 7.1 Summary of contributions

The main objective of this thesis is to provide a Big Data architecture for Named Data Networking architecture. We primarily gave an overview of the state of the art for Big Data, distributed system in general and distributed computation particularly, and presented the NDN paradigm. From there, we defined a formal model for our architecture and developed a framework for our architecture.

#### 7.1.1 Formal definition of a software architecture

For our architecture, we have two property families to deal with. First, properties related to the parsers for our different languages and their implementation, and secondly, temporal properties related to the whole system. This lead to the adoption of two proof approaches; one using Coq and another one using timed automata.

Our formal specifications written in Coq provides a formal definition for the replication and the computation languages. Ours timed automata model components of a software architecture for parallel and distributed computing. The distributed file system derived from this architecture can handle different types of data files and also support a high scale in terms of users. For the computation, based on the traditional map-reduce model, we describe an architecture that can adapt to the number of available resources and fully use the data available at the network level to speed up the computations it has to deal with. All these properties have been formally proven.

#### 7.1.2 Development of a framework

The formal modeling carried out in the first part of this thesis pilots the development of an architecture for Big Data computation based on NDN. Our framework is composed of two layers, a DFS, and a distributed computation mechanism. We have studied how to evolve a data layer in a big data context. We have defined a specific naming which enforces the features of our distributed file system: a replication factor and failover control. Using the specification of the system, we have considered an approach of implementation from specification, which enables us to implement a prototype and a concrete version of our solution. With the use of simulations, we have shown that the deployment of large data over an NDN network is achieved in suitable conditions under the control of a custom forwarding strategy. More importantly, we have shown that the proposed approach is highly scalable and fault-tolerant. In fact, increasing the number of user applications, decrease the meantime needed for these applications to access the data, and from one replica the DFS moves to a stable state. We have also addressed the computation part of the big data processing by providing a fully distributed and efficient approach on top of the DFS.

#### 7.1.3 Measurements for evaluation

Measurements have been performed for the evaluation of the developed framework through experimentations. These measurements show that our approach is highly scalable, as the network size doesn't have a negative impact on the replication time, which decreases when increasing the number of replicas.

In Section 6.2.2, a Big Data computation has been performed using our framework and produces the same result as Hadoop but with less execution time.

Our Big Data approach is correctly responding when considering the Big Data variability, and volume aspects. It also guarantees consistency and availability regarding the CAP theorem.

To ensure reproducibility, each stage of the experimentations, have been controlled. Automation has been provided where possible and description otherwise. The reproducibility of this experimental approach has been evaluated and the source code of our work made available on github.

# 7.2 Future works

Our work presents many perspectives that could be considered. First, the aspect of the computation considered in this thesis is a batch processing approach. One future work could be the extension of the computation mechanism to include streaming processing. Also, for data to be replicated or computed in the network, these data have to be available in NDN packet format <sup>7</sup>. In this thesis, we relied on tools such as ndnputchunks (Ndn essential tools) to have the data available in NDN format. This tool has some bad performances. A possible work could be the development of a tool with better performances. Nowadays, Machine Learning (ML) is a hot topic and considered in almost all the domain. Another research possibility could be the extension of our framework to provide Machine Learning capability using NDN.

Definitely, we can consider presenting at meetings, looking for industrial partnerships to push this work as a standard when talking about Big Data in NDN, but also promote this solution to companies to take advantage of it when they look for a solution to gain insight from their data. I think we have to continue and look for partnerships to develop a complete approach of Big Data on NDN. This work can be continued on a post-doc where the Big Data aspects will be combined with predictive approaches for NDN network maintenance prediction.

# Publications

- Distributed File System for NDN: an IoT Application Dongo J, Atik Y, Mahmoudi C, Mourlin F.
   In 2018 International Conference on Selected Topics in Mobile and Wireless Networking (MoWNeT) (pp. 138-141).
- NDN Log Analysis Using Big Data Techniques: NFD Performance Assessment. Dongo J, Mahmoudi C, Mourlin F.
   In 2018 IEEE Fourth International Conference on Big Data Computing Service and Applications (Big-DataService) (pp. 169-175).
- Service Abstraction Layer for Smart Grid Measurement Dongo J, Mahmoudi C, Mourlin F.
   In 2018 Renewable Energies, Power Systems & Green Inclusive Economy (REPS-GIE) (pp. 1-6).
- Distributed Edge Solution for IoT based Building Management System with NDN Dongo J, Foltete L, Mahmoudi C, Mourlin F.
   In 2019 Global Information Infrastructure and Networking Symposium (GIIS 2019)
- Elastic Gigabit NDN Forwarder for Big Data Applications Dongo J, Mahmoudi C, Mourlin F.
   In 2019 Global Information Infrastructure and Networking Symposium (GIIS 2019)

<sup>&</sup>lt;sup>7</sup>https://irl.cs.ucla.edu/ cawka/spec/index.html

• NDFS: The Named Data Networking Distributed File System Dongo J, Mahmoudi C, Mourlin F. Submitted

# Appendix A

# Replication language parser in Coq

1 2Add LoadPath "/home/lacl/Documents/coq\_learning/ndfs". 3 Require Import ast. Require Import String. 4 56Require Import Notations. 7Require Import Logic. Require Import Specif. 8 9 10 From Coq. Lists Require Import List. 11 From Coq.Numbers.Cyclic.Int31 Require Import Int31. 1213From Coq. Program Require Import Syntax. From MenhirLib Require Import Tuples. 1415From MenhirLib Require Import Alphabet. 16From MenhirLib Require Grammar. 17From MenhirLib Require Automaton. 18Unset Elimination Schemes. 1920Module Import Gram <: Grammar.T. 21 2223Local Obligation Tactic := let x := fresh in intro x; case x; reflexivity. 2425Inductive terminal' : Set := 26| EOL't 27| INT't 28| SLASH't 29| STORAGE't 30 | STRING't. 31Definition terminal := terminal'. 3233 Program Instance terminalNum : Numbered terminal := 34 $\{ inj := fun x \Longrightarrow match x return with \}$ 35EOL't  $\implies$  Int31.On 36 $INT't \implies Int 31.In$ 37 $SLASH't \implies (twice Int31.In)$ 38STORAGE't => (twice\_plus\_one Int31.In) STRING't => (twice (twice Int31.In)) 3940end;  $surj := (fun n \Rightarrow match Int31.phi n return _ with$ 41  $| 0 \Rightarrow EOL't$ 42

```
| 1 \Rightarrow INT't
43
           2 \implies SLASH't
44
45
          3 \implies STORAGE't
46
          4 \implies \text{STRING't}
         | \ \_ => EOL't
47
         end)%Z;
48
49
         inj bound := 5\%int31 }.
50
     Instance TerminalAlph : Alphabet terminal := .
51
     Inductive nonterminal' : Set :=
52
     | data'nt
53
       dataName'nt
54
55
       domain'nt
56
       firstSegement 'nt
57
       lastSegment 'nt
       main'nt
58
       replicationFactor 'nt
59
60
       replicationIndex 'nt
61
       replicationParameter 'nt
62
       rootDomain'nt.
     Definition nonterminal := nonterminal'.
63
64
    Program Instance nonterminalNum : Numbered nonterminal :=
65
66
       \{ inj := fun x \Rightarrow match x return with \}
67
           data'nt => Int31.On
68
           dataName'nt => Int31.In
69
           domain'nt \Rightarrow (twice Int31.In)
           firstSegement'nt => (twice_plus_one Int31.In)
70
           lastSegment'nt => (twice (twice Int31.In))
71
           main'nt \Rightarrow (twice_plus_one (twice Int31.In))
72
           replicationFactor int => (twice (twice_plus_one Int31.In))
73
           replicationIndex'nt => (twice_plus_one (twice_plus_one Int31.In))
74
           replication Parameter 'nt \Rightarrow (twice (twice Int31.In)))
75
76
           rootDomain'nt => (twice_plus_one (twice (twice Int31.In)))
77
         end;
78
         surj := (fun n \Longrightarrow match Int31.phi n return _ with
79
           0 \implies data'nt
80
           1 \implies dataName'nt
81
           2 \implies domain 'nt
82
           3 => firstSegement'nt
83
           4 \implies lastSegment'nt
84
           5 \implies main 'nt
           6 \implies replication Factor'nt
85
           7 \implies replicationIndex'nt
86
           8 => replicationParameter 'nt
87
88
           9 \implies rootDomain'nt
89
             => data'nt
         end)%Z;
90
91
         inj bound := 10\%int31 }.
92
     Instance NonTerminalAlph : Alphabet nonterminal := _.
93
    Include Grammar. Symbol.
94
95
     Definition terminal_semantic_type (t:terminal) : Type:=
96
97
       match t with
98
         STRING't =>
                               (string)%type
99
         STORAGE't => unit%type
100
         SLASH't => unit%type
         INT 't =>
                            (nat)%type
101
```

```
102
       | EOL't \Rightarrow unit%type
103
       end.
104
105
     Definition nonterminal semantic type (nt:nonterminal) : Type:=
106
       match nt with
107
         rootDomain 'nt \implies
                                    (string)%type
108
          replicationParameter 'nt \Rightarrow
                                                (replicationParameter)%type
109
          replicationIndex 'nt =>
                                           (nat)%type
110
          replicationFactor 'nt =>
                                            (nat)%type
          main 'nt \Rightarrow
                               (ast)%type
111
          lastSegment 'nt =>
112
                                     (nat)%type
113
          firstSegement 'nt =>
                                       (nat)%type
114
          domain 'nt \Rightarrow
                               (string)%type
115
         dataName'nt \Rightarrow
                                 (dataName)%type
116
         data 'nt =>
                            (string)%type
117
       end.
118
119
     Definition symbol semantic type (s:symbol) : Type:=
120
       match s with
121
         T t \implies terminal semantic type t
122
       | NT nt => nonterminal semantic type nt
123
       end.
124
125
     Inductive production' : Set :=
126
       Prod'rootDomain'0
127
       Prod'replicationParameter'0
128
       Prod'replicationIndex'0
129
       Prod'replicationFactor'0
       Prod'main'0
130
       Prod'lastSegment'0
131
       Prod'firstSegement'0
132
       Prod'domain'0
133
       Prod 'dataName'0
134
135
     | Prod'data'0.
136
     Definition production := production'.
137
     Program Instance productionNum : Numbered production :=
138
139
       { inj := fun x \Rightarrow match x return _
                                                 with
140
            Prod rootDomain 0 \implies Int31.On
141
            Prod'replicationParameter '0 => Int31. In
            Prod'replicationIndex '0 \implies (twice Int31.In)
142
143
            Prod'replicationFactor'0 \implies (twice_plus_one Int31.In)
144
            Prod'main'0 \implies (twice Int31.In))
145
            Prod'lastSegment'0 => (twice_plus_one (twice Int31.In))
146
            Prod'firstSegement'0 => (twice (twice_plus_one Int31.In))
147
            Prod 'domain' 0 \implies (twice_plus_one (twice_plus_one Int31.In))
            Prod'dataName'0 => (twice (twice Int31.In)))
148
149
           Prod'data'0 \Rightarrow (twice plus one (twice (twice Int31.In)))
150
          end;
151
          surj := (fun n \Rightarrow match Int31.phi n return with
152
           0 \implies \text{Prod 'rootDomain '0}
           1 \implies Prod'replicationParameter'0
153
154
           2 => Prod'replicationIndex'0
           3 \implies \operatorname{Prod}'replicationFactor'0
155
           4 \implies \operatorname{Prod}'\operatorname{main}'0
156
           5 => Prod'lastSegment'0
157
           6 \implies Prod'firstSegement'0
158
           7 \implies \operatorname{Prod}'domain'0
159
           8 \implies Prod 'dataName '0
160
```
```
| 9 => Prod'data'0
161
162
             \implies Prod 'rootDomain '0
          163
         end)%Z;
164
         inj bound := 10\%int31 }.
     Instance ProductionAlph : Alphabet production := .
165
166
167
     Definition prod contents (p:production) :
168
       { p:nonterminal * list symbol &
169
          arrows left (map symbol semantic type (rev (snd p)))
                        (symbol semantic type (NT (fst p))) }
170
171
       let box := existT (fun p =>
172
173
          arrows_left (map symbol_semantic_type (rev (snd p)))
                       (symbol semantic type (NT (fst p))))
174
175
       in
176
       match p with
177
       | Prod'data'0 \Rightarrow box
          (data'nt, [T STRING't])
178
179
          (fun da =>
180
         ( da )
181
     )
       | Prod 'dataName '0 \Rightarrow box
182
         (dataName'nt, [NT lastSegment'nt; T SLASH't; NT firstSegement'nt; T SLASH't;
183
             NT data'nt; T SLASH't; NT domain'nt; T SLASH't])
184
          (fun seg2 _7 seg1 _5 dat _3 dom _1 =>
185
          (Name dom dat seg1 seg2)
186
     )
187
       | Prod 'domain '0 \Rightarrow box
          (domain'nt, [T STRING't])
188
189
          (fun d \Rightarrow)
          ( d )
190
191
     )
       | Prod'firstSegement'0 \Rightarrow box
192
          (firstSegement 'nt, [T INT't])
193
194
          (fun seg1 \Rightarrow
195
          (seg1)
196
     )
197
         Prod'lastSegment'0 \implies box
       198
          (lastSegment'nt, [T INT't])
199
          (fun seg2 \Rightarrow
200
          (seg2)
201
     )
202
       | Prod 'main '0 \Rightarrow box
203
          (main'nt, [T EOL't; NT dataName'nt; NT replicationParameter'nt; T STORAGE't; T
              SLASH't; NT rootDomain'nt; T SLASH't])
204
          (fun _7 dn rp _4 _3 r _1 \Longrightarrow
205
           (Main r rp dn)
206
207
       | Prod'replicationFactor'0 \implies box
208
          (replicationFactor'nt, [T INT't])
209
          (fun r1 =>
          (r1)
210
211
212
       | Prod'replicationIndex '0 \Rightarrow box
          (replicationIndex 'nt, [T INT't])
213
214
         (fun r2 =>
215
         (r2)
216
       | Prod'replicationParameter '0 \Rightarrow box
217
```

```
218
                     (replication Parameter 'nt, [NT replication Index 'nt; T SLASH't; NT replication Parameter 'nt, [NT replicatin Parameter 'nt, [NT replication Parameter '
                             replicationFactor'nt; T SLASH't])
219
                     (fun index _3 factor _1 \Rightarrow
220
                     ( Parameters factor index )
221
           )
222
                | Prod'rootDomain'0 \Rightarrow box
223
                     (rootDomain'nt, [T STRING't])
224
                     (fun s =>
225
                     (s)
226
           )
227
               end.
228
229
           Definition prod lhs (p:production) :=
                fst (projT1 (prod_contents p)).
230
           Definition prod rhs rev (p:production) :=
231
232
               snd (projT1 (prod contents p)).
           Definition prod_action (p:production) :=
233
234
               projT2 (prod contents p).
235
236
          Include Grammar. Defs.
237
          End Gram.
238
239
240
          Module Aut <: Automaton.T.
241
242
          Local Obligation Tactic := let x := fresh in intro x; case x; reflexivity.
243
244
           Module Gram := Gram.
245
          Module GramDefs := Gram.
246
           Definition nullable nterm (nt:nonterminal) : bool :=
247
248
               match nt with
249
                    rootDomain'nt => false
250
                     replicationParameter 'nt => false
251
                     replicationIndex 'nt => false
252
                    replicationFactor 'nt => false
253
                    main'nt => false
254
                     lastSegment 'nt => false
255
                     firstSegement 'nt => false
256
                     domain'nt \implies false
257
                     dataName'nt => false
                   data'nt \Rightarrow false
258
259
               end.
260
           Definition first_nterm (nt:nonterminal) : list terminal :=
261
262
               match nt with
263
                    rootDomain 'nt => [STRING 't]
264
                     replication Parameter 'nt \Rightarrow [SLASH't]
265
                     replicationIndex 'nt => [INT't]
266
                     replication Factor 'nt => [INT't]
267
                     main 'nt \Rightarrow [SLASH't]
                     lastSegment<sup>;</sup>nt => [INT't]
268
269
                     firstSegement 'nt => [INT 't]
                     domain 'nt => [STRING 't]
270
271
                    dataName'nt => [SLASH't]
                    data'nt => [STRING't]
272
273
               end.
274
275 | Inductive noninitstate' : Set :=
```

276	Nis '26
277	Nis '25
278	Nis '24
279	Nis '23
280	Nis '22
281	Nis '21
201	
202	
283	NIS 19
284	Nis'18
285	Nis '17
286	Nis '16
287	Nis '15
288	Nis '14
289	Nis '13
290	Nis '12
291	Nis '11
292	Nis '10
293	Nis'9
200	Nic '8
294	Nis 27
290	
290	
297	NIS 5
298	Nis '4
299	Nis '3
300	Nis '2
301	Nis'1.
302	Definition noninitstate := noninitstate'.
303	
304	Program Instance noninitstateNum : Numbered noninitstate :=
305	$\{ inj := fun x \Rightarrow match x return with \}$
306	Nis '26 $=$ Int 31. On
307	Nis '25 $\Rightarrow$ Int31. In
308	Nis $24 \Rightarrow (twice Int31 In)$
300	$ $ Nic 23 $\rightarrow$ (twice plus one Int31 In)
310	$  \text{Nis} 22 \rightarrow (\text{twice }   \text{twice } \text{Int} 31 \text{ In}) \rangle$
911	$  \text{ Nis } 22 \rightarrow (\text{twice (lwice int())})  $
011 919	$ $ Nis 21 $\rightarrow$ (twice_pius_one (twice ints1.11))
012	$ $ Nis $20 \Rightarrow (twice (twice plus one intsi.in))$
313	Nis $19 => (twice_pius_one (twice_pius_one int31.in))$
314	Nis $18 \Rightarrow$ (twice (twice int31.in)))
315	$Nis' 17 \Rightarrow (twice_plus_one (twice (twice Int31.In)))$
316	$ $ Nis'16 $\Rightarrow$ (twice (twice_plus_one (twice Int31.In)))
317	$ $ Nis'15 $\Rightarrow$ (twice_plus_one (twice_plus_one (twice Int31.In)))
318	$ $ Nis'14 $\Rightarrow$ (twice (twice_plus_one Int31.In)))
319	Nis'13 => (twice_plus_one (twice (twice_plus_one Int31.In)))
320	Nis'12 => (twice (twice_plus_one (twice_plus_one Int31.In)))
321	Nis'11 $\Rightarrow$ (twice plus one (twice plus one (twice plus one Int31.In)))
322	Nis'10 => (twice (twice (twice Int31.In))))
323	Nis'9 $\Rightarrow$ (twice plus one (twice (twice (twice Int31.In))))
324	Nis'8 $\Rightarrow$ (twice (twice plus one (twice (twice Int31.In)))
325	Nis'7 $\Rightarrow$ (twice plus one (twice plus one (twice (twice Int31, In))))
326	Nis '6 => (twice (twice plus one (twice Int31 In))))
327	Nis '5 => (twice plus one (twice plus one (twice plus one (twice $Int31$ In))))
308	Nie $' \rightarrow (twice_prus_one_(twice_prus_one_(twice_prus_one_(twice_rite(truin)))))$
040 200	$  Nis 4 \rightarrow (twice (twice plus one (twice plus one (twice nlue one (twice nlue$
ა∠ყ ეე∩	$ $ Nic'2 $\rightarrow$ (twice_prus_one (twice_prus_one (twice_prus_one (twice_nts1.In))))
პპ∪ ეე1	NIS 2 => (twice (twice (twice plus one int 31.1n))))
331	Nis I => (twice_plus_one (twice (twice_plus_one Int31.1n))))
332	end;
333	$surj := (fun n \Rightarrow match Int31.phi n return with$
334	0 => Nis'26

$Appendix \ A. \ \ Replication$	language	parser	in	Coq
---------------------------------	----------	--------	----	-----

```
335
              1 \implies Nis' 25
336
              2 \implies \text{Nis}'24
337
              3 \implies Nis' 23
338
              4 \implies Nis' 22
339
              5 \implies Nis' 21
340
              6 \implies Nis'20
341
              7 \implies Nis' 19
342
              8 \implies Nis' 18
              9 \implies \text{Nis'}17
343
              10 \implies Nis' 16
344
              11 \implies Nis' 15
345
346
              12 \implies \text{Nis}'14
347
              13 \implies Nis' 13
              14 \implies Nis' 12
348
              15 \implies Nis' 11
349
              16 \implies Nis' 10
350
351
              17 \implies Nis'9
352
              18 \implies \text{Nis'}8
353
              19 \implies Nis'7
              20 \implies \text{Nis'}6
354
              21 \implies \operatorname{Nis} `5
355
              22 \implies \text{Nis'}4
356
              23 \implies \operatorname{Nis} {}^{\prime}3
357
358
              24 \implies Nis' 2
359
              25 \implies Nis'1
360
                => Nis '26
361
            end)%Z;
            inj bound := 26\%int31 }.
362
363
      Instance NonInitStateAlph : Alphabet noninitstate := _.
364
      Definition last_symb_of_non_init_state (noninitstate:noninitstate) : symbol :=
365
366
         match noninitstate with
            Nis '1 \implies T SLASH't
367
            Nis '2 \implies T STRING 't
368
369
            Nis'3 \implies NT rootDomain'nt
            Nis '4 \implies T SLASH't
370
371
            Nis '5 => T STORAGE't
372
            Nis '6 \implies T SLASH't
373
            Nis '7 \implies T INT 't
374
            Nis'8 => NT replicationFactor'nt
            Nis'9 \Rightarrow T SLASH't
375
            Nis '10 \implies T \, INT 't
376
377
            Nis'11 \Rightarrow NT replicationIndex'nt
378
            Nis'12 \implies NT replicationParameter'nt
379
            Nis '13 \implies T SLASH't
380
            Nis '14 \implies T STRING 't
381
            Nis '15 => NT domain 'nt
382
            Nis'16 \implies T SLASH't
383
            Nis '17 \implies T STRING 't
            Nis'18 \Longrightarrow NT data'nt
384
            Nis '19 \implies T SLASH't
385
            Nis'20 \implies T INT't
386
            Nis'21 \implies NT firstSegement'nt
387
            Nis '22 \implies T SLASH 't
388
            Nis '23 \implies T INT 't
389
            Nis'24 \Longrightarrow NT lastSegment'nt
390
            Nis'25 \implies NT dataName'nt
391
            Nis '26 \implies T EOL't
392
393
         end.
```

394

```
395
    Inductive initstate' : Set :=
396
     | Init '0.
397
     Definition initstate := initstate'.
398
399
     Program Instance initstateNum : Numbered initstate :=
       \{ inj := fun x \Rightarrow match x return with \}
400
401
         | Init '0 => Int31.On
402
         end;
403
         surj := (fun n \Longrightarrow match Int31.phi n return with
         | 0 => Init '0
404
         | => Init'0
405
         end)%Z;
406
407
         inj bound := 1\%int31 }.
408
     Instance InitStateAlph : Alphabet initstate := .
409
     Include Automaton. Types.
410
411
412
     Definition start nt (init:initstate) : nonterminal :=
413
       match init with
       | Init '0 \Rightarrow main 'nt
414
415
       end.
416
417
     Definition action_table (state:state) : action :=
418
       match state with
419
       | Init Init '0 => Lookahead act (fun terminal:terminal =>
420
         match terminal return lookahead action terminal with
421
          SLASH't \implies Shift act Nis'1 (eq refl)
422
         => Fail act
423
         end)
        Ninit Nis'1 => Lookahead act (fun terminal:terminal =>
424
       match terminal return lookahead action terminal with
425
         | STRING't \Rightarrow Shift act Nis'2 (eq refl)
426
             = Fail_act
427
428
         end)
429
         Ninit Nis'2 => Default reduce act Prod'rootDomain'0
         Ninit Nis'3 => Lookahead act (fun terminal:terminal =>
430
         match terminal return lookahead action terminal with
431
432
         | SLASH't => Shift act Nis'4 (eq refl)
433
             \Longrightarrow Fail act
         434
         end)
435
       | Ninit Nis'4 => Lookahead act (fun terminal:terminal =>
         match terminal return lookahead_action terminal with
436
         | STORAGE't => Shift act Nis'5 (eq refl )
437
             \Rightarrow Fail_act
438
         439
         end)
        Ninit Nis'5 \Rightarrow Lookahead act (fun terminal:terminal \Rightarrow
440
         match terminal return lookahead action terminal with
441
         | SLASH't => Shift act Nis'6 (eq refl))
442
443
         | => Fail act
444
         end)
       | Ninit Nis'6 => Lookahead act (fun terminal:terminal =>
445
         match terminal return lookahead action terminal with
446
         | INT't \Rightarrow Shift_act Nis'7 (eq_refl_)
447
           => Fail act
448
         end)
449
450
         Ninit Nis'7 => Default reduce act Prod'replicationFactor'0
         Ninit Nis'8 => Lookahead act (fun terminal:terminal =>
451
         match terminal return lookahead_action terminal with
452
```

```
453
          SLASH't \implies Shift act Nis'9 (eq refl)
            \Rightarrow Fail_act
454
         455
         end)
456
        Ninit Nis'9 => Lookahead act (fun terminal:terminal =>
457
         match terminal return lookahead_action terminal with
         | INT't => Shift_act Nis'10 (eq_refl_)
458
459
            => Fail act
         460
         end)
        Ninit Nis'10 => Default reduce act Prod'replicationIndex'0
461
         Ninit Nis'11 => Default_reduce_act Prod'replicationParameter'0
462
        Ninit Nis'12 => Lookahead act (fun terminal:terminal =>
463
         match terminal return lookahead action terminal with
464
465
          SLASH't => Shift_act Nis'13 (eq_refl_)
466
            \Rightarrow Fail act
         467
         end)
        Ninit Nis'13 => Lookahead act (fun terminal:terminal =>
468
       match terminal return lookahead action terminal with
469
470
         | STRING't => Shift act Nis'14 (eq refl)
471
            \Rightarrow Fail act
         472
         end)
        Ninit Nis'14 => Default_reduce_act Prod'domain'0
473
        Ninit Nis'15 => Lookahead act (fun terminal:terminal =>
474
       475
         match terminal return lookahead action terminal with
476
          SLASH't \implies Shift_act Nis'16 (eq_refl_)
477
            \Rightarrow Fail act
478
         end)
479
        Ninit Nis'16 => Lookahead act (fun terminal:terminal =>
480
         match terminal return lookahead action terminal with
481
          STRING't => Shift_act Nis'17 (eq_refl_)
482
            \Rightarrow Fail act
         L
483
         end)
        Ninit Nis'17 => Default_reduce_act Prod'data'0
484
        Ninit Nis'18 => Lookahead act (fun terminal:terminal =>
485
         match terminal return lookahead_action terminal with
486
487
          SLASH't => Shift_act Nis'19 (eq_refl_)
488
             => Fail_act
489
         end)
        Ninit Nis'19 => Lookahead act (fun terminal:terminal =>
490
491
         match terminal return lookahead action terminal with
492
          INT't \implies Shift act Nis'20 (eq refl)
493
            \Rightarrow Fail act
         494
         end)
        Ninit Nis'20 \Rightarrow Default_reduce_act Prod'firstSegement'0
495
496
        Ninit Nis'21 \Rightarrow Lookahead act (fun terminal:terminal \Rightarrow
497
         match terminal return lookahead_action terminal with
498
          SLASH't => Shift_act Nis'22 (eq_refl_)
         | =  Fail_act
499
500
         end)
        Ninit Nis'22 => Lookahead act (fun terminal:terminal =>
501
502
         match terminal return lookahead action terminal with
503
         | INT't => Shift_act Nis'23 (eq_refl_)
504
         = Fail act
505
         end)
506
        Ninit Nis'23 => Default reduce act Prod'lastSegment'0
        Ninit Nis'24 => Default_reduce_act Prod'dataName'0
507
        Ninit Nis'25 => Lookahead act (fun terminal:terminal =>
508
509
        match terminal return lookahead action terminal with
510
         | EOL't => Shift act Nis'26 (eq refl))
511
         = Fail act
```

```
512
          end)
513
       | Ninit Nis'26 => Default reduce act Prod'main'0
       end.
514
515
516
     Definition goto table (state:state) (nt:nonterminal) :=
517
       match state, nt return option { s:noninitstate | NT nt =
           last\_symb\_of\_non\_init\_state s \ \} with
         Init Init '0, main'nt => None | Ninit Nis'1, rootDomain'nt => Some (exist
518
           Nis'3 (eq_refl_))
          Ninit Nis'5, replicationParameter'nt => Some (exist
519
                                                                        Nis'12 (eq refl))
          Ninit Nis'6, replicationFactor'nt \Rightarrow Some (exist _ Nis'8 (eq_refl Ninit Nis'9, replicationIndex'nt \Rightarrow Some (exist _ Nis'11 (eq_refl ))
520
                                                                                        ))
521
                                                                                        ))
         Ninit Nis'12, dataName'nt \Rightarrow Some (exist _ Nis'25 (eq_refl_Ninit Nis'13, domain'nt \Rightarrow Some (exist _ Nis'15 (eq_refl_))
522
                                                                                _))
523
          Ninit Nis'16, data'nt \Rightarrow Some (exist _ Nis'18 (eq_refl_))
524
          Ninit Nis'19, firstSegement'nt => Some (exist _ Nis'21 (eq_refl
525
                                                                                      _))
         Ninit Nis'22, lastSegment'nt => Some (exist _ Nis'24 (eq_refl _))
526
       | _, _ => None
527
528
       end.
529
     Definition past symb of non init state (noninitstate:noninitstate) : list symbol
530
         :=
531
       match noninitstate with
         Nis'1 \Rightarrow []
532
          Nis'2 \implies []
533
534
          Nis'3 \implies [T SLASH't]
          Nis '4 => [NT rootDomain 'nt; T SLASH't]
535
          Nis'5 => [T SLASH't; NT rootDomain'nt; T SLASH't]
536
537
          Nis'6 \Rightarrow
                    []
          Nis'7 \Rightarrow
538
                    Nis '8 \implies [T SLASH't]
539
          Nis '9 \Rightarrow [NT replicationFactor 'nt; T SLASH't]
540
          Nis'10 \Rightarrow []
541
          Nis'11 => [T SLASH't; NT replicationFactor'nt; T SLASH't]
542
          Nis'12 => [T STORAGE't; T SLASH't; NT rootDomain'nt; T SLASH't]
543
          Nis '13 \implies []
544
          Nis'14 \implies []
545
          Nis '15 \implies [T SLASH't]
546
547
          Nis'16 \implies [NT domain'nt; T SLASH't]
548
          Nis '17 \implies []
549
          Nis '18 \implies [T SLASH't; NT domain 'nt; T SLASH't]
550
          Nis'19 => [NT data'nt; T SLASH't; NT domain'nt; T SLASH't]
         Nis '20 \implies []
551
         Nis'21 => [T SLASH't; NT data'nt; T SLASH't; NT domain'nt; T SLASH't]
552
         Nis'22 => [NT firstSegement'nt; T SLASH't; NT data'nt; T SLASH't; NT domain'nt
553
            ; T SLASH't]
         Nis '23 \implies []
554
         Nis'24 => [T SLASH't; NT firstSegement'nt; T SLASH't; NT data'nt; T SLASH't;
555
           NT domain 'nt; T SLASH't]
         Nis'25 => [NT replication Parameter'nt; T STORAGE't; T SLASH't; NT rootDomain'
556
            nt; T SLASH't]
         Nis'26 => [NT dataName'nt; NT replicationParameter'nt; T STORAGE't; T SLASH't;
557
            NT rootDomain'nt; T SLASH't]
558
       end.
     Extract Constant past symb of non init state => "fun -> assert false".
559
560
561
     Definition state_set_1 (s:state) : bool :=
562
       match s with
       | Init Init '0 => true
563
```

```
564
      | = = false
       end.
565
     Extract Inlined Constant state set 1 \implies "assert false".
566
567
568
     Definition state set 2 (s:state) : bool :=
569
       match s with
570
         Ninit Nis'1 => true
       => false
571
       end.
572
     Extract Inlined Constant state set 2 \implies "assert false".
573
574
575
     Definition state set 3 (s:state) : bool :=
       match s with
576
       | Ninit Nis'3 => true
577
578
       | => false
       end.
579
     Extract Inlined Constant state set 3 \implies "assert false".
580
581
     Definition state set 4 (s:state) : bool :=
582
583
       match s with
       | Ninit Nis'4 => true
584
       | \_ \Rightarrow false
585
       end.
586
587
     Extract Inlined Constant state set 4 \implies "assert false".
588
589
     Definition state set 5 (s:state) : bool :=
590
       match s with
591
       | Ninit Nis'5 \Rightarrow true
       | = false
592
593
       end.
     Extract Inlined Constant state set 5 => "assert false".
594
595
     Definition \ state\_set\_6 \ (s:state) \ : \ bool \ :=
596
597
       match s with
       | Ninit Nis'6 => true
598
599
           => false
       end.
600
     Extract Inlined Constant state_set_6 \Rightarrow "assert false".
601
602
     Definition \ state\_set\_7 \ (s:state) \ : \ bool \ :=
603
604
       match s with
605
         Ninit Nis'8 => true
       | \_ => false
606
607
       end.
     Extract Inlined Constant state set 7 \implies "assert false".
608
609
     Definition state_set_8 (s:state) : bool :=
610
       match s with
611
612
         Ninit Nis'9 => true
       | _ => false
613
       end.
614
     Extract Inlined Constant state set 8 => "assert false".
615
616
617
     Definition state_set_9 (s:state) : bool :=
618
       match s with
       | Ninit Nis'12 \Longrightarrow true
619
620
       | => false
       end.
621
622 | Extract Inlined Constant state_set 9 => "assert false".
```

623

```
624
     Definition state set 10 (s:state) : bool :=
625
       match s with
626
         Ninit Nis'13 => true
       | \_ \Rightarrow false
627
628
       end.
     Extract Inlined Constant state set 10 \implies "assert false".
629
630
     Definition state set 11 (s:state) : bool :=
631
632
       match s with
         Ninit Nis'15 => true
633
634
           \Rightarrow false
       635
       end.
     Extract Inlined Constant state set 11 \implies "assert false".
636
637
     Definition state set 12 (s:state) : bool :=
638
639
       match s with
640
         Ninit Nis'16 \implies true
       | \Rightarrow false
641
642
       end.
     Extract Inlined Constant state set 12 => "assert false".
643
644
645
     Definition state_set_13 (s:state) : bool :=
646
       \mathrm{match}\ \mathrm{s}\ \mathrm{with}
647
         Ninit Nis'18 => true
648
           = false
649
       end.
650
     Extract Inlined Constant state set 13 \implies "assert false".
651
     Definition state set 14 (s:state) : bool :=
652
653
       match s with
         Ninit Nis'19 => true
654
655
           \Rightarrow false
       end.
656
     Extract Inlined Constant state set 14 \implies "assert false".
657
658
     Definition state_set_15 (s:state) : bool :=
659
660
       match s with
661
         Ninit Nis'21 \Longrightarrow true
662
           = false
       663
       end.
     Extract Inlined Constant state set 15 => "assert false".
664
665
     Definition \ state\_set\_16 \ (s:state) \ : \ bool \ :=
666
667
       match s with
668
         Ninit Nis'22 => true
       | = \Rightarrow false
669
670
       end.
     Extract Inlined Constant state_set_16 \Rightarrow "assert false".
671
672
     Definition state set 17 (s:state) : bool :=
673
674
       match s with
       | Ninit Nis'25 => true
675
676
           \Rightarrow false
       end.
677
678
     Extract Inlined Constant state set 17 => "assert false".
679
     Definition past_state_of_non_init_state (s:noninitstate) : list (state -> bool) :=
680
681
      match s with
```

```
682
                       Nis'1 \implies [ state_set_1 ]
683
                       Nis'2 \Rightarrow
                                                      state_set_2 ]
                                                      state_set_2; state_set_1 ]
684
                       Nis '3 =>
                                                      state\_set\_3; state\_set\_2; state\_set\_1 ]
685
                       Nis '4 =>
                                                     state_set_4; state_set_3; state_set_2; state_set 1 ]
686
                       Nis '5 \Rightarrow [
687
                       Nis '6 \Rightarrow [
                                                     state_set_5
                       Nis'7 \Rightarrow
688
                                                      state_set_6 ]
                                                      state_set_6; state_set_5 ]
689
                       Nis '8 \Rightarrow
                                                Nis '9 => [
690
                                                      state set 7; state set 6; state set 5
                       Nis '10 \Rightarrow
691
                                                        state set 8
                       Nis '11 =>
                                                         state set_8; state_set_7; state_set_6; state_set_5 ]
692
                       Nis '12 \Rightarrow
                                                         state_set_5; state_set_4; state_set_3; state_set_2; state_set_1 ]
693
                       Nis '13 =>
694
                                                         state set 9 ]
                       Nis '14 =>
695
                                                         state set 10 ]
                       Nis '15 =>
696
                                                         state set 10; state set 9 ]
                       Nis '16 =>
697
                                                         state set 11; state set 10; state set 9
                                                   Nis '17 => [
698
                                                         state set 12
                       Nis '18 => [
699
                                                        state set 12; state set 11; state set 10; state set 9
700
                       Nis'19 \Rightarrow [ state set 13; state set 12; state set 11; state set 10;
                            state set 9 ]
701
                       Nis'20 \implies [ state_set_14 ]
702
                       Nis'21 \Rightarrow [ state_set_14; state_set_13; state_set_12; state_set_11;
                            state\_set\_10; state\_set\_9 ]
703
                       Nis'22 \Rightarrow [ state_set_15; state_set_14; state_set_13; state_set_12;
                           state_set_11; state_set_10; state_set_9 ]
704
                       Nis'23 \implies [ state_set_16 ]
705
                  | Nis'24 \Rightarrow [ state\_set\_16; state\_set\_15; state\_set\_14; state\_set\_13; 
                            state_set_12; state_set_11; state_set_10; state_set_9 ]
                       \label{eq:Nis'25} Nis'25 \implies [ state\_set\_9; state\_set\_5; state\_set\_4; state\_set\_3; state\_set\_2; state\_set\_4; state\_set\_3; state\_set\_3; state\_set\_4; state\_set\_3; state\_sa; statas\_sa; stas\_sa; statas
706
                            state\_set_
                                                       1
                       707
                            state_set_2; state_set_1 ]
708
                  end.
            Extract Constant past_state_of_non_init_state => "fun _ -> assert false".
709
710
            Definition lookahead set 1 : list terminal :=
711
                  [STRING't; STORAGE't; SLASH't; INT't; EOL't].
712
713
            Extract Inlined Constant lookahead set 1 \implies "assert false".
714
715
            Definition lookahead set 2 : list terminal :=
716
                  [SLASH't].
717
            Extract Inlined Constant lookahead set 2 \implies "assert false".
718
719
            Definition lookahead set 3 : list terminal :=
720
                  [EOL't].
721
            Extract Inlined Constant lookahead set 3 => "assert false".
722
723
            Definition \ items\_of\_state\_0 \ : \ list \ item \ :=
                  [ {| prod item := Prod'main'0; dot pos item := 0; lookaheads item :=
724
                            lookahead\_set_1 | \} ].
            Extract Inlined Constant items of state 0 \implies "assert false".
725
726
            Definition items_of_state_1 : list item :=
727
                  [ \ \{| \ prod_item \ := \ Prod \ main \ 0; \ dot_pos_item \ := \ 1; \ lookaheads_item \ := \ loo
728
                            lookahead\_set\_1 |;
729
                        {| prod item := Prod'rootDomain'0; dot pos item := 0; lookaheads item :=
                                 lookahead set 2 \mid \} ].
            Extract Inlined Constant items_of_state_1 => "assert false".
730
731
```

```
732
    Definition \ items\_of\_state\_2 \ : \ list \ item \ :=
        {| prod item := Prod'rootDomain'0; dot pos item := 1; lookaheads item :=
733
          lookahead\_set_2 | \} ].
    Extract Inlined Constant items of state 2 \implies "assert false".
734
735
736
     Definition items_of_state_3 : list item :=
737
       [ { | prod item := Prod'main'0; dot pos item := 2; lookaheads item :=
          lookahead_set_1 |} ].
     Extract Inlined Constant items_of_state 3 => "assert false".
738
739
     Definition items_of_state_4 : list item :=
740
       [ {| prod item := Prod'main'0; dot pos item := 3; lookaheads item :=
741
          lookahead_set_1 | \} ].
     Extract Inlined Constant items of state 4 => "assert false".
742
743
     Definition items of state 5 : list item :=
744
       [ {| prod item := Prod'main'0; dot pos item := 4; lookaheads item :=
745
          lookahead set 1 \mid \};
         {| prod_item := Prod'replicationParameter'0; dot pos item := 0;
746
            lookaheads item := lookahead set 2 \mid \} ].
     Extract Inlined Constant items_of_state_5 \implies "assert false".
747
748
     Definition items_of_state_6 : list item :=
749
      [ {| prod_item := Prod'replicationFactor'0; dot_pos_item := 0; lookaheads_item
750
          := lookahead_set_2 |;
         {| prod_item := Prod'replicationParameter'0; dot pos item := 1;
751
            lookaheads_item := lookahead_set_2 | \} ].
752
     Extract Inlined Constant items of state 6 => "assert false".
753
     Definition items of state 7 : list item :=
754
      [ {| prod_item := Prod'replicationFactor'0; dot_pos_item := 1; lookaheads_item
755
           = lookahead_set_2 |} ].
    Extract Inlined Constant items of state 7 => "assert false".
756
757
758
     Definition \ items\_of\_state\_8 \ : \ list \ item \ :=
       [ {| prod_item := Prod'replicationParameter'0; dot pos item := 2;
759
          lookaheads item := lookahead_set_2 | ].
760
    Extract Inlined Constant items of state 8 => "assert false".
761
762
     Definition items of state 9 : list item :=
763
      [ {| prod item := Prod'replicationIndex'0; dot pos item := 0; lookaheads item :=
           lookahead_set_2 |;
         {| prod item := Prod'replicationParameter'0; dot pos item := 3;
764
            lookaheads_item := lookahead_set_2 | \} ].
    Extract Inlined Constant items_of_state_9 => "assert false".
765
766
767
     Definition items_of_state_10 : list item :=
       [ {| prod item := Prod'replicationIndex'0; dot pos item := 1; lookaheads item :=
768
           lookahead\_set_2 | \} ].
    Extract Inlined Constant items of state 10 => "assert false".
769
770
     Definition items_of_state_11 : list item :=
771
       [ {| prod item := Prod'replicationParameter'0; dot pos item := 4;
772
          lookaheads_item := lookahead_set_2 | \} ].
    Extract Inlined Constant items_of_state_11 => "assert false".
773
774
775
     Definition items of state 12 : list item :=
      [ {| prod_item := Prod'dataName'0; dot_pos_item := 0; lookaheads item :=
776
          lookahead set 3 \mid \};
```

```
777
         \{ | \text{ prod item} := \text{Prod 'main'}0; \text{ dot pos item} := 5; \text{ lookaheads item} :=
             lookahead set 1 \mid \} ].
778
     Extract Inlined Constant items of state 12 \implies "assert false".
779
     Definition items_of_state_13 : list item :=
780
       [ {| prod_item := Prod'dataName'0; dot_pos_item := 1; lookaheads item :=
781
         lookahead_set_3 |};
{| prod_item := Prod'domain'0; dot_pos_item := 0; lookaheads_item :=
782
             lookahead set 2 \mid \} ].
     Extract Inlined Constant items of state 13 \implies "assert false".
783
784
785
     Definition items of state 14 : list item :=
        {| prod_item := Prod'domain'0; dot_pos_item := 1; lookaheads_item :=
786
           lookahead set 2 \mid \} ].
     Extract Inlined Constant items of state 14 \implies "assert false".
787
788
789
     Definition items of state 15 : list item :=
        {| prod_item := Prod'dataName'0; dot_pos_item := 2; lookaheads item :=
790
       L
           lookahead set 3 \mid \} ].
791
     Extract Inlined Constant items_of_state_15 \implies "assert false".
792
793
     Definition \ items\_of\_state\_16 \ : \ list \ item \ :=
794
       [ {| prod_item := Prod'data'0; dot_pos_item := 0; lookaheads_item :=
           lookahead\_set_2 |;
795
         {| prod item := Prod'dataName'0; dot pos item := 3; lookaheads item :=
             lookahead_set_3 | ].
     Extract Inlined Constant items of state 16 => "assert false".
796
797
     Definition \ items\_of\_state\_17 \ : \ list \ item \ :=
798
       [ {| prod item := Prod'data'0; dot pos item := 1; lookaheads item :=
799
           lookahead\_set_2 | \} ].
     Extract Inlined Constant items of state 17 => "assert false".
800
801
     Definition items_of_state_18 : list item :=
802
       [ {| prod_item := Prod 'dataName'0; dot_pos_item := 4; lookaheads_item :=
803
           lookahead set 3 \mid \} ].
     Extract Inlined Constant items of state 18 => "assert false".
804
805
806
     Definition items of state 19 : list item :=
807
        {| prod item := Prod'dataName'0; dot pos item := 5; lookaheads item :=
       [
           lookahead set 3 \mid \};
         {| prod item := Prod'firstSegement'0; dot pos item := 0; lookaheads item :=
808
             lookahead\_set_2 | \} ].
809
     Extract Inlined Constant items of state 19 => "assert false".
810
811
     Definition ~items\_of\_state\_20 ~:~ list ~item :=
       [ {| prod_item := Prod'firstSegement'0; dot_pos_item := 1; lookaheads item :=
812
           lookahead\_set_2 | \} ].
     Extract Inlined Constant items of state 20 \implies "assert false".
813
814
     Definition items_of_state_21 : list item :=
815
       [ {| prod_item := Prod 'dataName'0; dot_pos_item := 6; lookaheads item :=
816
           lookahead\_set_3 | \} ].
     Extract Inlined Constant items of state 21 => "assert false".
817
818
819
     Definition items of state 22 : list item :=
820
       [ {| prod_item := Prod'dataName'0; dot_pos_item := 7; lookaheads_item :=
           lookahead set 3 \mid \};
```

```
821
          {| prod item := Prod'lastSegment'0; dot pos item := 0; lookaheads item :=
              lookahead set 3 \mid \} ].
822
     Extract Inlined Constant items of state 22 => "assert false".
823
     Definition items_of_state_23 : list item :=
824
       [ {| prod item := Prod'lastSegment'0; dot pos item := 1; lookaheads item :=
825
           lookahead_set_3 |} ].
     Extract Inlined Constant items of state 23 => "assert false".
826
827
     Definition items of state 24 : list item :=
828
       [ {| prod_item := Prod'dataName'0; dot_pos_item := 8; lookaheads_item :=
829
           lookahead set 3 \mid \} ].
     Extract Inlined Constant items of state 24 => "assert false".
830
831
832
     Definition items of state 25 : list item :=
       [ {| prod_item := Prod'main'0; dot_pos_item := 6; lookaheads item :=
833
           lookahead set 1 \mid \} ].
834
     Extract Inlined Constant items of state 25 => "assert false".
835
     Definition items of state 26 : list item :=
836
       [ {| prod_item := Prod'main'0; dot_pos_item := 7; lookaheads item :=
837
           lookahead\_set\_1 ~ | \} ~ ].
     Extract Inlined Constant items_of_state_26 \implies "assert false".
838
839
840
     Definition items of state (s:state) : list item :=
841
       match s with
842
         Init Init '0 \implies items_of_state_0
          Ninit Nis'1 => items_of_state_
843
                                            1
844
          Ninit Nis'2 => items_of_state_
                                            2
          Ninit Nis'3 => items of state 3
845
          Ninit Nis'4 \implies items_of_state_4
846
          Ninit Nis'5 \implies items_of_state_5
847
          Ninit Nis'6 \implies items of state 6
848
          Ninit Nis'7 \implies items_of_state_7
849
850
          Ninit Nis'8 \implies items of state 8
851
          Ninit Nis'9 \Longrightarrow items of state 9
          Ninit Nis'10 \Rightarrow items of state 10
852
853
          Ninit Nis'11 \Rightarrow items of state 11
854
          Ninit Nis'12 \implies items of state 12
855
          Ninit Nis'13 \Longrightarrow items of state 13
856
          Ninit Nis'14 \Rightarrow items of state 14
         Ninit Nis'15 \implies items_of_state_15
857
858
         Ninit Nis'16 => items_of_state_16
         Ninit Nis'17 \implies items_of_state_17
859
860
         Ninit Nis'18 \Rightarrow items_of_state_18
861
         Ninit Nis'19 \implies items_of_state_19
862
         Ninit Nis'20 \Rightarrow items_of_state_20
          Ninit Nis'21 \implies items_of_state_21
863
          Ninit Nis'22 \implies items_of_state_22
864
          Ninit Nis'23 => items_of_state_
                                             23
865
         Ninit Nis'24 => items_of_state_
Ninit Nis'25 => items_of_state_
866
                                             24
                                             25
867
         Ninit Nis'26 \Rightarrow items of state 26
868
869
       end.
     Extract Constant items of state \Rightarrow "fun \rightarrow assert false".
870
871
872
     End Aut.
873
874
```

```
From MenhirLib Require Import Main.
875
876
877
    Module Parser := Main.Make Aut.
878
    Theorem safe:
       Parser.safe_validator () = true.
879
    Proof eq refl true <: Parser.safe validator () = true.
880
881
882
    Theorem complete:
       Parser.complete validator () = true.
883
    Proof eq refl true <: Parser. complete validator () = true.
884
885
886
    Definition main := Parser.parse safe Aut.Init'0.
887
888
    Theorem main correct iterator buffer:
889
      match main iterator buffer with
890
       | Parser.Inter.Parsed pr sem buffer new =>
891
         exists word,
892
           buffer = Parser.Inter.app str word buffer new /
893
           inhabited (Gram. parse tree (NT main'nt) word sem)
894
       \Rightarrow True
895
       end.
896
    Proof. apply Parser.parse correct. Qed.
897
    Theorem main_complete (iterator:nat) word buffer_end (output:
                                                                             (ast)):
898
899
       forall tree:Gram.parse_tree (NT main'nt) word output,
900
       match main iterator (Parser.Inter.app_str word buffer_end) with
901
         Parser.Inter.Fail_pr => False
        Parser.Inter.Parsed\_pr output\_res buffer\_end\_res =>
902
903
         output_res = output /\ buffer_end_res = buffer_end
         le (Gram.pt size tree) iterator
904
905
       | Parser.Inter.Timeout pr => lt iterator (Gram.pt size tree)
906
      end.
    Proof. apply Parser.parse complete with (init:=Aut.Init'0); exact complete. Qed.
907
908
909
    (*adding stuff to prove message sending *)
910
911
912
    Inductive message : Type :=
913
    Replication
914
    Stop
915
916
917
    Inductive data : Type :=
    Data
918
919
920
    (* Function used to decrease the replication factor *)
921
    Definition decrease replication factor := fun x \Rightarrow x - 1.
922
923
924
    (* Function to determine when a replication is needed according to the replication
925
         factor *)
926
    Definition replication needed (n:nat) : bool :=
927
        match decrease replication factor n with
       | O \Rightarrow false
928
929
      | => true
930
      end.
931
   (* send the correct message according to the need for replication or not*)
932
```

```
933
     Definition send message(a:ast): message :=
934
        match a with
935
        | Main c d e \Rightarrow match d with
                           | \ Parameters \ n \ m \Longrightarrow match \ replication \ needed \ m \ with
936
937
                                                   | true \Rightarrow Replication
938
                                                   | false \Rightarrow Stop
939
                                                  end
940
                          end
941
     end.
942
     (* Always send a data request *)
943
944
     Definition send data request(a:ast): data :=
945
        match a with
        | Main c d e \Rightarrow Data
946
947
     end.
948
949
     (*This normally is created by coq when defining the type message, but don't know
950
         why it's not.
       So Copy paste from another terminal where it's created, the result of Check
951
           message ind
952
     *)
953
     Axiom message ind
954
          : forall P : message -> Prop, P Replication -> P Stop -> forall m : message,
              P m
955
956
957
     (* any message type is necessarily one of the least known.*)
958
959
     Theorem message equal:
     \quad \text{forall } m \ : \text{message} \ ,
960
            m = Replication \setminus m = Stop.
961
962
     Proof.
     intro m. pattern m.
963
964
     apply message ind.
965
     induction m. auto. auto. auto.
966
    Qed.
967
968
969
     (* If a word is in the ast(accepted by the parser), then a message is sent and a
         data request is sent*)
970
     Theorem accepted_implies_message iterator buffer (m:
                                                                       (message)):
971
       match main iterator buffer with
972
       | Parser.Inter.Parsed pr sem buffer new =>
973
         forall sem: ast,
               (send_message sem = Replication \setminus/ send_message sem = Stop) /\setminus (
974
                   send_data_request sem = Data)
     | _ => True
975
976
       end.
977
978
979
     Proof.
980
      intros.
981
      induction main.
982
      auto. auto.
983
      intro sem.
984
      constructor.
985
      pattern sem.
986
      induction sem.
```

987	apply message_equal.
988	pattern sem.
989	induction sem.
990	constructor.
990	constructor.
991	Qed.

### Appendix B

## Automation scripts

#### **B.1** Framework installation

```
#INSTALL NODEJS
1
\mathbf{2}
3
   #download it from https://nodejs.org/en/download/
   #unzip the binary archive to /usr/local/lib/nodejs
4
5
   sudo mkdir -p /usr/local/lib/nodejs
   sudo tar -xJvf node-v10.15.3-linux-x64.tar.xz -C /usr/local/lib/nodejs
6
7
   \#set the environment variable ~/.profile, add below to the end
8
9
   export PATH=/usr/local/lib/nodejs/node-v10.15.3-linux-x64/bin:$PATH
10
11
   #test installation
12
   node -v
13
   npm version
14
   npx -v
15
   #(optionnal) to create sudo link (because "export" is not persistent)
16
   sudo \ln -s /usr/local/lib/nodejs/node-v10.15.3 - linux-x64/bin/node /usr/bin/node
17
   sudo ln -s /usr/local/lib/nodejs/node-v10.15.3-linux-x64/bin/npm /usr/bin/npm
18
   sudo ln -s /usr/local/lib/nodejs/node-v10.15.3-linux-x64/bin/npx /usr/bin/npx
19
20
21
   \#download the framework
   git \ clone \ https://github.com/mistersound/ndfs-evaluation.git
22
23
24
   #create two directory for the app
25
   cd ndfs-evaluation/
26
   mkdir conf #for conf files
   mkdir store \#to stock replication files
27
28
29
   #make shure "nfd-start" is running
30
   #client advertising for /lacl
31
   #ON NODE 1
32
   #the advertising must be /upec/storage on nodes
33
34
   node storage.js
35
   #ON CLIENT
36
37
   #ndnputchunks fileName < file</pre>
   \# node \ client.js \ /domain/storage/repF/repI/fileName
38
39
   ndnputchunks /lacl/data/0/9 < hello.txt
   node client.js /upec/storage/2/2/lacl/data/0/9
40
```

#### B.2 Install NDN node from source

```
1
2
    //install git
3
   apt-get install git
4
    //download ndn-cxx (main dependence of NFD)
5
6
    git clone https://github.com/named-data/ndn-cxx
7
8
    //download NFD
9
    git clone -- recursive https://github.com/named-data/NFD
10
11
    //download ndn-tools (ping, dump...)
    git clone https://github.com/named-data/ndn-tools
12
13
    //install ndn-cxx library and its requirements
14
   apt-get install build-essential pkg-config libboost-all-dev libsqlite3-dev libssl-
15
       dev libpcap-dev libsystemd-dev
16
    //(optional) install valgrind
17
   apt-get install valgrind valgrind-dbg kcachegrind alleyoop valkyrie
18
19
20
    //to build manpages and API documentation
21
   apt-get install doxygen graphviz python-sphinx
22
23
   //to build ndn-cxx
24
   cd ndn-cxx/
25
   ./waf configure
26
   ./waf
27
   sudo ./waf install
28
    //if ndn-cxx library is installed into a non-standard path
29
30
   export PKG CONFIG PATH=/user/local/lib/pkgconfig/
31
   #to build NFD
32
   cd NFD/
33
34
   ./waf configure
   ./waf
35
36
   sudo ./waf install
37
   sudo ldconfig
38
39
   #to build ndn-tools
40
   cd ndn-tools/
41
   ./waf configure
42
   ./waf
43
   sudo ./waf install
44
   sudo ldconfig
45
   \#to create proper config file
46
   cp /usr/local/etc/ndn/nfd.conf.sample /usr/local/etc/ndn.nfd.conf
47
```

### **B.3** Install NLSR from source

```
\mathbf{2}
   #NFD and its requirements must be install
3
   #INSTALLATION PART
4
5
   #install requirements
   git clone https://github.com/named-data/ChronoSync
6
7
   cd ChronoSync/
8
   ./waf configure
9
   ./waf
10
   sudo ./waf install
11
12
   git clone https://github.com/named-data/PSync
13
   cd PSync/
   ./waf configure
14
15
   ./waf
16
   sudo ./waf install
17
   #install NLSR (Named Data Link State Routing)
18
   git clone https://github.com/named-data/NLSR
19
20
   cd NLSR/
21
   ./waf configure
22
   ./waf
23
   sudo ./waf install
24
25
   sudo ldconfig
26
27
   #to create dir for nlsr file (include nlsr.conf maked by nlsr)
28
   mkdir /var/lib/nlsr
29
30
   #to get rights to nlsr to write in
31
   chmod 777 /var/lib/nlsr
32
33
   #SETTING UP THE SECURITY
34
35
   #generate the router key
36
   ndnsec-key-gen /ndn/edu/uaslp/%C1.Router/routerX > routerX.key
37
   #generate the certificate for the router key
38
39
   ndnsec-cert-dump - i /ndn/edu/uaslp/%C1.Router/routerX > routerX.cert
40
41
   #install the router certificate
   ndnsec-cert-install -f routerX.cert
42
43
   #to verify that the certificates have been installed
44
   ndnsec-list
45
46
47
   #CONFIGURING NFD
48
   #test physical network configuration
49
   ping 192.168.10.2
50
51
52
   #remember to start "nfd-start" in an other terminal
53
   nfd-status
54
   \#configure each face that a computer uses to connect to a neighboring computer
55
   nfdc face create udp4://192.168.10.2
56
57
58 #to display the face id
```

1

```
nfdc face list
59
60
61
   #to verify the status of the face
   nfdc face show id 265 \#because <face-id> = 265
62
63
64
   #SETTING UP THE CONFIGURATION FILE
65
   #see https://named-data.net/doc/NLSR/current/ROUTER-CONFIG.html
66
67
   touch nlsr.conf
68
   #STARTING NLSR
69
70
71
   #general command (recommended to open in other terminal)
72
   nlsr -f nlsr.conf
73
   #to verify what is NLSR doing
74
75
   export NDN LOG=nlsr.*=TRACE && nlsr -f nlsr.conf
76
   #TURNING EVERYTHING OFF
77
78
79
   \#1 - \text{stop} nlsr processus by pressing Ctrl-C
   \#2 – destroy the face to the remote computers
80
   nfdc face destroy 265
81
82
   \#3 - \text{stop NFD}
83
84
   nfd-stop
```

#### B.4 Deploy Hadoop cluster

```
#!/bin/bash
1
   namenode = 192.168.20.254
2
3
   d1 = 192.168.20.1
   d2 = 192.168.20.2
4
   d3 = 192.168.20.3
5
   d4 = 192.168.20.4
6
   d5 = 192.168.20.5
\overline{7}
   d6 = 192.168.20.6
8
9
   d7 = 192.168.20.7
10
   d8 = 192.168.20.8
   d9 = 192.168.20.9
11
12
   d10 = 192.168.20.10
13
   d11 = 192.168.20.11
14
   d12 = 192.168.20.12
15
   d13 = 192.168.20.13
16
   d14 = 192.168.20.14
17
    d15 = 192.168.20.15
18
    d16 = 192.168.20.16
19
20
   ##INSTALLATION
21
22
   #check where java is installed
    path='which java'
23
24
25
    #remove the last two components (bin/java) of the path
   path='readlink -f $path | rev | cut -d / -f 3- | rev'/
26
27
```

```
\#set the environment variable (add this line to \tilde{} ).bashrc to get it permanently)
28
29
   export JAVA HOME=$path
30
   #download the Hadoop 2.9.1 version
31
   wget -O ~/hadoop - 2.9.1.tar.gz http://mirror.ibcp.fr/pub/apache/hadoop/common/
32
       hadoop-2.9.1/hadoop-2.9.1.tar.gz
33
34
   #extract files
   tar xzf ~/hadoop-2.9.1.tar.gz
35
36
   ##CONFIGURATION
37
38
39
   #add property to core-site.xlm
40
   file = \tilde{hadoop} - 2.9.1 / etc / hadoop / core - site .xml
41
   head -n -3 $file > temp.txt
42
   mv temp.txt $file
43
   echo "
44
   <configuration>
45
        <property>
46
            <name>fs.default.name</name>
\overline{47}
            <value>hdfs://$namenode:9000</value>
48
        </property>
   </configuration >  $file
49
50
51
   #create directory who contains data
52
   mkdir data
53
   mkdir data/namenode
54
   mkdir data/datanode
55
56
   #edit hdfs-site.xml
   file = \tilde{hadoop} - 2.9.1 / etc / hadoop / hdfs - site .xml
57
   head -n -4 $file > temp.txt
58
   mv temp.txt $file
59
   echo "
60
61
   <\!{\rm configuration}\!>
62
        <property></property>
            <name>dfs.namenode.name.dir</name>
63
64
            <value>home/user/data/nameNode</value>
65
        </property>
66
67
        <property>
68
            <name>dfs.datanode.data.dir</name>
69
            <value>home/user/data/dataNode</value>
70
        </property>
71
72
        <property>
73
            <name>dfs.replication </name>
74
            <value>3</value>
75
        </property>
76
77
    <property>
78
     <name>dfs.namenode.datanode.registration.ip-hostname-check</name>
79
     <value>false</value>
80
    </property>
81
   </configuration>" >> $file
82
   #the file "workers" is used to start required daemons on all nodes
83
   file = \/hadoop - 2.9.1/etc/hadoop/workers
84
85 echo "$d1
```

86	d2
87	\$d3
88	d4
89	$d_5$
90	\$d6
91	d7
92	\$d8
93	\$d9
94	\$d10
95	\$d11
96	\$d12
97	\$d13
98	d14
99	\$d15
100	d16" > file
101	
102	#duplicate config files on each node
103	ssh-keygen
104	for node in \$d1 \$d2 \$d3 \$d4 \$d5 \$d6 \$d7 \$d8 \$d9 \$d10 \$d11 \$d12 \$d13 \$d14 \$d15 \$d16
	; do
105	ssh-copy-id -i ~/.ssh/id_rsa.pub user@\$node
106	$\mathrm{ssh}\mathrm{-add}$
107	scp hadoop-*.tar.gz \$node:~
108	ssh user@\$node 'tar $-xzf$ hadoop $-2.9.1.tar.gz$ '
109	$\operatorname{scp} \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$
110	done
111	
112	#HDFS needs to be formatted like any classical file system
113	/hadoop-2.9.1/bin/hdfs namenode -format

Appendix B. Automation scripts

### Appendix C

# NMapReduce WordCount script (JavaScript)

```
1
\mathbf{2}
    // mapper function
    var map = function (str) {
3
        return str.split(" ").map(function(str) {
4
            return [str, [1]];
5
6
        }).filter(function(array){
7
          return array [1][0] > 0;
8
        });
9
   }
10
11
   // Shuflle function
12
   var shuffle = function (arr) {
13
     var sortedArray = arr.sort();
14
      var size = sortedArray.length -1;
15
      for (var i = 0; i < size; i++) {
16
        if (sortedArray[i][0] == sortedArray[i+1][0]) {
17
          sortedArray[i][1].push(1);
18
          sortedArray.splice(i+1, 1);
19
          size --;
20
          i ---;
21
        }
22
     }
23
      return sortedArray
24
   }
25
26
    // reducer function
27
   var reduce = function (arr){
28
     for (var j = 0; j < shuffledArray.length; j++)
29
      {
30
        shuffledArray[j][1] = shuffledArray[j][1].reduce(function(x, y) {
31
          return x + y;
32
        });
33
     }
34
   }
```

### Appendix D

# MapReduce WordCount source code (Java)

### D.1 Mapper Class Code

1	public static class Map extends MapReduceBase implements Mapper {
2	private final static IntWritable one = new IntWritable(1);
3	private Text word = new Text();
4	public void map(LongWritable key, Text value, OutputCollector output, Reporter
	reporter)
5	throws IOException {
6	String line = value.toString();
7	StringTokenizer tokenizer = new StringTokenizer(line);
8	<pre>while (tokenizer.hasMoreTokens()) {</pre>
9	<pre>word.set(tokenizer.nextToken());</pre>
10	output.collect(word, one);
11	}
12	}
13	}

### D.2 Reducer Class Code

```
1
    public static class Reduce extends MapReduceBase implements Reducer {
\mathbf{2}
3
        public void reduce(Text key, Iterator values, OutputCollector output,
4
5
            Reporter reporter) throws IOException {
6
\overline{7}
            int sum = 0;
8
             while (values.hasNext()) {
9
                 sum += values.next().get();
10
            }
11
            output.collect(key, new IntWritable(sum));
12
        }
13
    }
```

### D.3 Main Class Code

JobConf conf = new JobConf(WordCount.class);

conf.setOutputValueClass(IntWritable.class);

conf.setInputFormat(TextInputFormat.class); conf.setOutputFormat(TextOutputFormat.class);

conf.setJobName("WordCount");

conf.setMapperClass(Map.class);

JobClient.runJob(conf);

conf.setOutputKeyClass(Text.class);

//conf.setCombinerClass(Reduce.class);

conf.setReducerClass(Reduce.class);

public static void main(String[] args) throws Exception {

FileInputFormat.setInputPaths(conf, new Path(args[0]));

FileOutputFormat.setOutputPath(conf, new Path(args[1]));

}

 $21 | \}$ 

### Appendix E

# R script for simulation response computation

```
1
   # install.packages('ggplot2')
\mathbf{2}
    library(ggplot2)
3
   library (plyr)
4
    library(stringr)
5
   library(dplyr)
6
7
8
   n = 57
9
10
   df <- data.frame(experiment = numeric(n+1), ReplicationTime =
                          {\tt numeric}\,(n\!+\!1)\,,\ {\tt nbNodeBeforeRes}\ =\ {\tt numeric}\,(n\!+\!1)\,,\ {\tt RetrieveTime}\ =
11
12
                          numeric(n+1), TotalReplication = numeric(n+1))
13
   #import files
14
15
    for (exp in 0:n) {
16
17
      pathElems <- c("~/data/dump-trace-", ".txt")</pre>
18
      #pathElems <- c("/home/lacl/Dropbox/simulation/dump-trace-", ".txt")</pre>
19
20
21
22
23
      file <- paste(pathElems, collapse=toString(exp))</pre>
24
      if (file.exists(file) && file.size(file) > 0) { #check file existence
25
26
        data = read.table(file, header=T, sep=";")
27
28
29
30
31
32
33
        \# Y1 Replication time
34
35
        storLine <- grep("storage", data$Name) #store storages</pre>
36
37
        stopLine <- grep("stop", data$Name) #store stop</pre>
38
39
```

Appendix E. R script for simulation response computation

```
40
        part1 <- data[storLine ,]</pre>
41
        part2 <- data[stopLine,]</pre>
42
43
        \#global <- rbind(part1, part2)
44
        \#results <- cbind(part, str split fixed(partV3, "/", Inf))
45
46
47
        #res <- c("Time","ID","Value") # will generate a warning due to NA when</pre>
48
            looping
        res1 <- data.frame(character(),character(),character())
49
        res2 <- data.frame(character(),character(),character())
50
51
        for(i in 1:nrow(part1)){
52
53
          #keep only rows corresponding to start and stop replication command from an
              admin node lacl-AdminID
          if (length (grep (paste0 ("lacl", part1 [i, 2], "/"), part1 [i, 4])) == 1) {
54
55
            res1 <- rbind(res1, part1[i,])
            #res <- rbind(res, dump.trace.1[grep(paste0("lacl",i),part[i,3]),])</pre>
56
          }
57
58
        }
59
60
        for(i in 1:nrow(part2)){
61
62
63
          #keep only rows corresponding to start and stop replication command from an
              admin node lacl-AdminID
          if (length (grep (paste0 ("lacl", part2 [i,2], "/"), part2 [i,4])) == 1) {
64
65
            res2 \ll rbind(res2, part2[i,])
            #res <- rbind(res, dump.trace.1[grep(paste0("lacl",i),part[i,3]),])</pre>
66
          }
67
68
        }
69
70
71
        \#res <- res[-1,] \#remove the first row wich contains the NA
72
73
74
      if(nrow(res2)!=0){
75
        X \le  split(res1$Time, res1$Node) #keep only the min and max time which
            represent the start and completion time
76
        Y <- split (res2$Time, res2$Node)
        val <- data.frame(repTime = numeric(min(length(X), length(Y)))) #value for the
77
            replication Time for every request in the experiment
78
        for (i \text{ in } 1:\min(\operatorname{length}(X),\operatorname{length}(Y)))
79
80
          \#maxi = as.numeric(max(X[[i]]))
81
          \#mini = as.numeric(min(X[[i]]))
82
          #dif = maxi-mini
83
          # print(dif)
84
          val$repTime[i] <- as.numeric(min(Y[[i]])) - as.numeric(min(X[[i]]))
85
          moy = mean(val\$repTime)
86
87
        }
88
      }else
89
        {
90
          moy = NA
91
       }
92
93
```

```
94
95
96
97
         df experiment [exp+1] = exp
98
         df Replication Time [exp+1] = moy
99
100
         # Mean distance before an interest reach a Storage
101
         dataInterest <- filter(data, !grepl("Interest", data$Name)) #keep only lines</pre>
102
             from data request interest
103
104
         dataInterest <- filter(dataInterest, !grepl("data", dataInterest$Type)) #added
              4/21 remove the data lines
105
         data count <- ddply(dataInterest, c("Name"), summarise,</pre>
106
107
                               nbNodes=length(Name))
108
         t = mean(as.numeric(data count$nbNodes))
109
110
         df nbNodeBeforeRes [exp+1] = round(t)
111
112
113
         #begin mean retrieve
         dat <- filter(data, !grepl("stop", data$Name))</pre>
114
115
         dat <- filter(dat, !grepl("storage", dat$Name))</pre>
116
         dat <- filter(dat, !grepl("heartbeat", dat$Name))</pre>
117
118
         v < - dat[c(1,3,4)]
119
         re <- data.frame(character(),character(),character())
120
121
         re <- rbind(re, v[1,])
122
123
124
         current <-v[1,2]
         for(i in 1:nrow(v)){
125
126
           if (v[i,2]!=current){
127
128
129
             re <- rbind(re, v[i,])
130
             current \langle -v[i,2]
131
           }
132
133
         }
134
135
         cmp <- data.frame(rep=numeric(nrow(re)))</pre>
136
         for(i in 1:nrow(re)){
           if (i %%2 == 0) {
137
138
             cmp$rep[i]=NA
139
             next()
140
           }
           cmp [i] = re[1+i, 1] - re[i, 1]
141
142
143
144
         cmp <- cmp [complete.cases(cmp), ]
145
146
         #end mean retrieve
147
148
         a = mean(cmp)
149
150
```

Appendix E. R script for simulation response computation

```
151
         df Retrieve Time [exp+1] = a
         #TotalReplication Total number of replication requests
152
153
         part1 = part1[grep("interest", part1$Type),]
         tDt = as.numeric(length(unique(part1$Name)))
154
         df TotalReplication [exp+1] = tDt
155
156
157
158
      \# end if
159
160
      else
161
      {
         df experiment [exp+1] = exp
162
163
         df Replication Time [exp+1] = NA
         df nbNodeBeforeRes [exp+1] = NA
164
         df Retrieve Time [exp+1] = NA \#
165
         df TotalReplication [exp+1] = NA
166
167
168
      }
169
170
    }
171
172
173
    for (exp in 0:n) {
174
      #pathElemsRate <- c("/home/lacl/work_ndn/dump-trace-", ".txt")
pathElemsRate <- c("~/data/rate-trace-", ".txt")</pre>
175
176
177
178
      fileRate <- paste(pathElemsRate, collapse=toString(exp))
179
       if (file.exists(fileRate) & file.size(fileRate) > 0) { #check file existence
180
         dataRate = read.delim(fileRate, header=T, sep="\t")
181
182
         dataRate $Node = factor (dataRate $Node)
183
         dataRate$FaceId <- factor(dataRate$FaceId)
184
         dataRate<sup>$</sup>Kilobits <- dataRate<sup>$</sup>Kilobytes * 8
185
186
         dataRate Type = factor(dataRate Type)
         dataRate Time = factor(dataRate Time)
187
188
189
         idata = subset(dataRate, Type %in% c("InInterests", "OutInterests",
190
                                                "InData", "OutData"))
         idata = subset(idata, FaceDescr %in% c("appFace://"))
191
192
         df experiment [exp+1] = exp
193
194
195
         df$NbPackets[exp+1] <- sum(idata$Packets) #number of packets per sec
196
         df$Rate[exp+1] <- sum(idata$Kilobits) #Kilobits/s
197
198
199
        #df Replication Factor [exp+1] <- i
200
201
         202
203
         Test = subset(dataRate, Type \%in\%)
204
                          c("InSatisfiedInterests", "OutSatisfiedInterests", "
                              InTimedOutInterests", "OutTimedOutInterests"))
205
         Test = subset (Test, FaceDescr \%in\% c("appFace://"))
         Test = subset (Test, Packets >0)
206
207
208
```

```
209
210
         out = subset(idata, Packets>0)
211
212
        #inInterest
213
         inInterest = subset(out, Type %in% c("InInterests"))
214
         df nbInInterest [exp+1] = length (inInterest Type)
215
216
        #outInterest
         outInterest = subset(out,Type %in% c("OutInterests"))
217
218
         df nbOutInterest [exp+1] = length (outInterest Type)
219
220
221
        #outData
         outData = subset(out,Type %in% c("OutData"))
222
223
         df hbOutData [exp+1] = length (outData Type)
224
225
        #inData
         inData = subset (out, Type %in% c("InData"))
226
         df nbInData [exp+1] = length (inData Type)
227
228
229
        \#inSatisfiedInterests
         inSati = subset(Test,Type %in% c("InSatisfiedInterests"))
230
231
         length(inSati$Type)
232
233
        \# outSatisfiedInterests
234
         outSati = subset(Test,Type %in% c("OutSatisfiedInterests"))
235
         length (outSati$Type)
236
237
        #inTimedOutInterests
         inTime = subset(Test,Type %in% c("InTimedOutInterests"))
238
239
         length(inTime$Type)
240
241
        #out TimedOutInterests
242
         outTime = subset(Test,Type %in% c("OutTimedOutInterests"))
243
         length(outTime$Type)
244
245
246
        247
248
      }#end if
249
      else
250
      {
251
         df experiment [exp+1] = exp
252
         df NbPackets [exp+1] = NA
         df Rate [exp+1] = NA
253
         df n b In Interest [exp+1] = NA
254
255
         df nbOutInterest [exp+1] = NA
256
         df nbOutData [exp+1] = NA
257
         df nbInData [exp+1] = NA
258
259
      }
260
261
    }# end for
262
    write.csv(x = df, file = "^{\prime}/result.csv", sep = ";")
263
```

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