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# Patient-specific cardiovascular biomechanical modeling to augment interpretation of clinical data and assist planning interventions for patients with congenital heart disease

Maria Gusseva

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INSTITUT  
POLYTECHNIQUE  
DE PARIS

NNT : 2022IPPAX007

Thèse de doctorat



# Patient-specific cardiovascular biomechanical modeling to augment interpretation of clinical data and assist planning interventions for patients with congenital heart disease

Thèse de doctorat de l'Institut Polytechnique de Paris  
préparée à l'École polytechnique

École doctorale n°626 École doctorale de l'Institut Polytechnique de Paris (EDIPP)  
Spécialité de doctorat : Biomécanique

Thèse présentée et soutenue à Palaiseau, le 24 Mars 2022, par

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Associated Research Team  
**TOFMOD**





*To the memory of my grandmother, Anna G.*



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# Contents

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<b>Introduction</b>	<b>1</b>
<b>1 Biomechanical modeling to evaluate ‘current mechanical state’ of the myocardium in repaired tetralogy of Fallot patients</b>	<b>31</b>
1.1 Introduction . . . . .	34
1.1.1 Objectives . . . . .	34
1.2 Methods . . . . .	35
1.2.1 Data . . . . .	35
1.2.2 Biomechanical model . . . . .	36
1.2.3 Model calibration to data of individual patients . . . . .	36
1.2.4 Statistical analysis . . . . .	37
1.3 Results . . . . .	37
1.3.1 Direct analysis of clinical data . . . . .	37
1.3.2 Model-derived ventricular contractility . . . . .	37
1.3.3 Post-PVR changes in RV EDV and effective PA flow . . . . .	40
1.4 Discussion . . . . .	41
1.4.1 Limitations . . . . .	42
1.4.2 Future perspectives . . . . .	43
1.5 Conclusion . . . . .	43
1.6 Supplemental Appendix. Additional Methodology . . . . .	45
<b>2 Biomechanical modeling to evaluate the evolution of myocardial mechanical properties under different loading conditions</b>	<b>59</b>
2.1 Introduction . . . . .	61
2.2 Methods . . . . .	61
2.2.1 Patient-specific biomechanical models pre- and post-PVR . . . . .	61
2.2.2 Post-PVR <i>in silico</i> prediction of contractility . . . . .	63
2.2.3 Statistical analysis . . . . .	64
2.3 Results . . . . .	64
2.3.1 RV and PA peak systolic pressures in patient-specific models pre- and post-PVR . . . . .	64
2.3.2 <i>In silico</i> prediction of right ventricular contractility . . . . .	64
2.4 Discussion . . . . .	66
2.5 Appendix . . . . .	69
<b>3 Model-assisted time-synchronization of clinical pressure-volume data</b>	<b>77</b>
3.1 Introduction . . . . .	80
3.2 Methods . . . . .	82

---

3.2.1	Data . . . . .	82
3.2.2	Biomechanical model of the heart . . . . .	83
3.2.3	Time-varying elastance . . . . .	84
3.2.4	Time-synchronization . . . . .	84
3.2.5	Statistical analysis . . . . .	85
3.3	Results . . . . .	85
3.4	Discussion . . . . .	89
3.4.1	Limitations . . . . .	93
3.4.2	Conclusion . . . . .	93
3.5	Appendix 1: Extended research letter to editor . . . . .	94
3.5.1	Introduction . . . . .	94
3.5.2	Methods . . . . .	95
3.5.3	Results . . . . .	96
3.5.4	Discussion . . . . .	97
3.6	Appendix 2: Conference proceeding of Functional Imaging and Modeling of Heart FIMH 2021 . . . . .	99
3.6.1	Introduction . . . . .	100
3.6.2	Methods . . . . .	101
3.6.3	Results . . . . .	103
3.6.4	Discussion . . . . .	106
3.6.5	Conclusion . . . . .	106
<b>4</b>	<b>Conceptual link between model-derived measures of ventricular contractility and clinically accepted surrogate measures of ventricular systolic performance</b> . . . . .	<b>113</b>
4.1	Introduction . . . . .	115
4.1.1	$E_{\max}$ as a measure of ventricular pump function during systole . . . . .	115
4.1.2	Conceptual link between $E_{\max}$ and $\max(dP/dt)$ . . . . .	116
4.1.3	Model-derived contractility . . . . .	117
4.1.4	Objectives . . . . .	117
4.2	Methods . . . . .	117
4.2.1	Data . . . . .	117
4.2.2	Time-varying elastance and $\max(dP/dt)$ . . . . .	117
4.2.3	Myocardial contractility and $\max(dP/dt)$ from patient-specific biomechanical models. . . . .	118
4.2.4	Quantitative analysis of the relationships between the data- and model-derived measures of contractility . . . . .	118
4.3	Results . . . . .	118
4.3.1	Relationship between $E_{\max}$ and $\max(dP/dt)^{\text{data/model}}$ . . . . .	118
4.3.2	Relationship between contractility and $\max(dP/dt)^{\text{data/model}}$ . . . . .	120
4.3.3	Relationship between contractility and $E_{\max}$ . . . . .	123
4.4	Discussion . . . . .	123
4.4.1	Quantitative link between $E_{\max}$ and $\max(dP/dt)$ . . . . .	123
4.4.2	Data- and model-derived $\max(dP/dt)$ . . . . .	124
4.4.3	Quantitative interdependence between the surrogate measures of ventricular contractility . . . . .	125
4.4.4	Clinical implications . . . . .	126
4.4.5	Model-derived $\max(dP/dt)$ as a model-based data filter . . . . .	128
4.4.6	Limitations . . . . .	128
4.5	Concluding remarks . . . . .	129

4.6 Appendix . . . . .	129
4.6.1 In silico effect of QRS duration on $\max(dP/dt)$ . . . . .	129
4.6.2 In silico effect of atrioventricular valve regurgitation on $\max(dP/dt)$	130
<b>Conclusions and perspectives</b>	<b>136</b>
<b>Appendix A: Coupled model of two ventricles and two circulatory systems</b>	<b>148</b>



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# Introduction

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*Nothing in life is to be feared, it is only to be understood. Now is the time to understand more, so that we may fear less.*

— Marie Curie



---

This PhD work was performed in the MΞDISIM team at Inria Saclay Ile-de-France and École Polytechnique, Palaiseau (France) jointly with University of Texas Southwestern (UTSW) Medical Center, Dallas (USA). It was supervised by Dr. Dominique Chapelle (Inria senior research scientist in the ‘Mathematical and Mechanical Modeling with Data Interaction in Simulations for Medicine’, MΞDISIM, team), Dr. Tarique Hussain (pediatric cardiologist at UTSW Medical Center Dallas) and Dr. Radomír Chabiniok (applied cardiac modeler connecting Inria and UTSW Medical Center).

The aim of this interdisciplinary project is to translate the cardiovascular biomechanical modeling to a clinical environment of patients with congenital heart disease. The advancement of this work was possible thanks to the close collaboration between the biomechanical modeling team at Inria and the department of pediatric cardiology at UTSW Medical Center, particularly within the Inria - UTSW Associated Team ‘Tetralogy of Fallot and Modeling of Diseases’ (TOFMOD).

## Clinical management of congenital heart disease. Application to repaired tetralogy of Fallot

Congenital heart diseases (CHD) account for nearly a third of all congenital birth defects with an incidence of 1% of the total live births worldwide ( $\approx 1.35$  million annual births) [1; 2]. Accurate diagnosis and effective treatment of all types of congenital heart defects has dramatically improved over the past 50 years. Infant survival within the first year after birth with complex congenital heart defects increased from 67.4 % for the 1979-1993 birth cohort to 82.5 % for the 1994-2005 cohort [3]. Long-term survival of patients with CHD also improved with a mean decline of mortality rate by 34.5 % between 1990 and 2017 across all age groups (from birth to 50 years old) [4]. Increased survival of children with CHD has created a new cohort of population containing adolescents and adults who are at risk of suffering (or are suffering) from chronic heart disease. In 2010 in the USA it was estimated that the adult population exceeded the children population with CHD, with 1.4 million adults vs. 1.0 million children with CHD, and 300 000 of these adults had severe forms of CHD [5]. A growing population of adults with chronic life-long morbidity creates an associated burden on the healthcare systems over the world. This translates into increased financial expenses, increased need for educated professionals and the optimization of the existing and/or the development of new care strategies to ensure (1) the choice of the most efficient initial intervention for a given patient (e.g. to minimize a long-term detrimental effect of residual lesions), (2) a smooth transition from the pediatric to adult care, and (3) an adequate surveillance of sustained cardiovascular lesions to avoid uncontrolled deterioration of the heart function.

This thesis will primarily deal with the right ventricular congenital disease called tetralogy of Fallot (TOF), which is the most common cyanotic CHD with the incidence of 3.5 per 10 000 births [6] (e.g.  $\approx 1660$  babies with TOF are born in the USA every year [7]). Tetralogy of Fallot is a disease containing (Figure 1): (1) ventricular septal defect (VSD), (2) obstruction of the right ventricular outflow tract (RVOT), (3) overriding of the aorta (i.e. the aorta appears directly over VSD instead of over the left ventricle which makes blood from the right ventricle flow directly into the aorta), and (4) right ventricular hypertrophy. Complete surgical repair of TOF is usually performed within the first 1.5 years of life where the ventricular septal defect is closed and a transannular patch is inserted into the RVOT to enlarge the size of RVOT and pulmonary artery (PA).

Patients with repaired TOF (rTOF) show excellent survival rates after initial repair, e.g. 94.8 % and 92.8 % in the 10 and 25 years after the initial surgery [9], respectively. However,

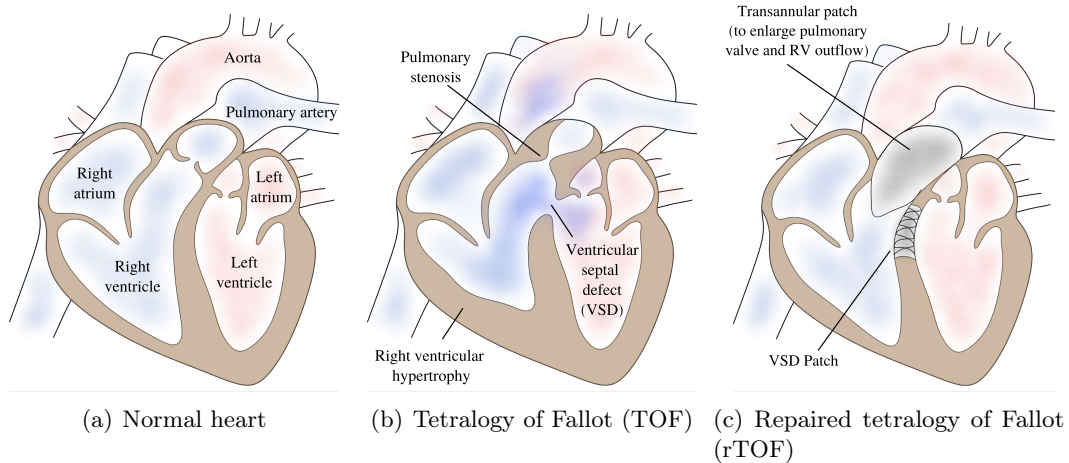


Figure 1: Anatomy of the normal heart (a), tetralogy of Fallot (b), and tetralogy of Fallot after complete repair (rTOF) (c). Figure taken from [8].

their mortality rates are higher compared to normal population [10; 11] because some residual lesions could remain present after the initial surgery: (1) regurgitation through the PV (due to the insertion of the transannular patch), (2) stenosis on the RVOT, and (3) stenosis of the branched pulmonary arteries. RVOT obstruction and PV regurgitation create pressure- and/or volume- overload in the RV leading to ventricular remodeling, hypertrophy and/or dilatation of the ventricular chamber, respectively. In addition, rTOF RVs are prone to electrophysiological abnormalities caused either by the initial surgical repair [12] or developed later in the remodeled heart [13]. The most prevalent one is the right bundle branch block (RBBB) — a block along the electrical conduction system of the right ventricle that causes delay or blockage of the ventricular activation. It creates intraventricular (e.g. when the activation of RV is not homogeneous) or interventricular activation dyssynchrony (e.g. delayed activation of right with respect to the left ventricle). In such electrophysiological conditions RV contraction becomes delayed and mechanically less efficient. The patients tend to develop severe arrhythmias. If left untreated the rTOF RV function progressively diminishes and patients become at high risk of sudden death from cardiac arrest and arrhythmia. Park et al. [9] showed that at least 18%, 31% and 53 % of patients in 5, 10 and 20 years after the initial repair, respectively, require some type of reintervention – to correct the hemodynamic burden and/or to control the pathological RV remodeling and associated deterioration of electrophysiology.

Pulmonary valve replacement (PVR) is a common intervention that is performed to correct the hemodynamic burden and avoid deterioration of ventricular function. Predicting the correct timing for PVR is crucial. In the conditions of longstanding exposure to pressure- and volume overload the myocardium undergoes uncontrolled remodeling that at some point becomes irreversible. In addition, PVR has to be repeated throughout life as the lifespan of the implanted valve is typically up to  $\approx 10$  years. Therefore, PVR should be performed as late as possible but before the irreversible remodeling has occurred [14].

In clinical practice it is still unclear how to distinguish irreversible versus reversible myocardial remodeling and how to prevent or maintain a failing volume- and/or pressure-loaded RV [15]. Current decision-making protocols for interventional planning and monitoring of the functional response to the therapy of rTOF patients (as well as patients with other types of CHD [16; 17]) are vastly based on image-derived biomarkers [18; 19; 20]. The gold standard technique is cardiovascular magnetic resonance imaging (MRI) [16] that allows the quantification of ventricular volumes, mass and phase contrast flow through the valves.

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However, the sensitivity and specificity of image-based biomarkers remain elusive, e.g.  $\approx 40\%$  of rTOF patients show an unfavorable outcome post-PVR (i.e. normalization of ventricular volumes does not occur) [21; 22] suggesting that the timing of PVR was possibly incorrect [23].

## Emerging need for personalized approaches in patient care of congenital heart disease

To date there is a knowledge gap regarding the underlying long-term mechanisms of a progression of congenital heart pathologies for a number of reasons:

1. Thanks to the ongoing progress in surgical techniques, children and adult populations with CHD continue to grow every year, creating a novel set of cardiovascular problems that were not seen before the era of progress of cardiac interventions [18]. As the longitudinal data of CHD progression had been quite sparse until recently, the current guidelines for the management of patients with CHD do not yet most adequately inform the therapy and follow-up planning.
2. Patients with CHD possess unique and complex anatomical arrangements with rare and varied lesions both prior to and after corrective surgery that trigger a long-term remodeling processes.
3. There is a lack of sensitivity and specificity of existing biomarkers.

The complexity of the CHD profiles necessitates the development of functional biomarkers that are (1) patient-specific, (2) capable of tracking the time-varying profile of the disease progression, and (3) capable of elucidating functional changes indicative of ventricular dysfunction. Existing biomarkers are primarily given by imaging and biochemical modalities. Practice shows that they are not sensitive enough to detect, track and assess the development of advanced pathological states. The loss of regulatory capacity of the heart to stress factors at tissue- and organ-level may well occur prior to the occurrence of detectable symptoms [24] (e.g. reduction in the ejection fraction). Hence, it is inherently challenging to spot the onset of failing organ. The ultimate goal is therefore to develop a patient-specific monitoring and surveillance tool that could consider an interaction between disease, therapy and time. Such a tool should integrate all levels of disease progression – from molecular to organ-level function and back – as well as take into account the lifestyle and the environment of a given patient. The development of monitoring tools of such a precision clearly necessitates an integration of collaborative efforts from multiple disciplines: applied mathematics, computer science, computational biology, biophysics, biomechanics, experimentation, and cardiovascular medicine (including imaging, surgery, cardiology). [25].

With an expansion of the availability of clinical data and accelerating progress of computer power, the creation of personalized monitoring tools containing a physiological representation of internal organ mechanics is becoming a reality [26]. A new era of digital medicine is developing with the emerging concept of ‘digital twin’, which could be defined as a virtual tool that coherently integrates the clinical data acquired over time by means of mechanistic and statistical models providing (1) augmented interpretation of existing clinical biomarkers, and (2) novel model-based patient-specific indices of heart function [25]. The schematics in Figure 2 demonstrates an example of a rTOF patient management profile, that in addition to conventional clinical biomarkers, could be augmented by novel mechanical and statistical model-derived indicators when e.g. evaluating the ‘current state’ of ventricular function prior to performing the PVR. In the sequel, we will briefly review the state of the art of existing modeling techniques.

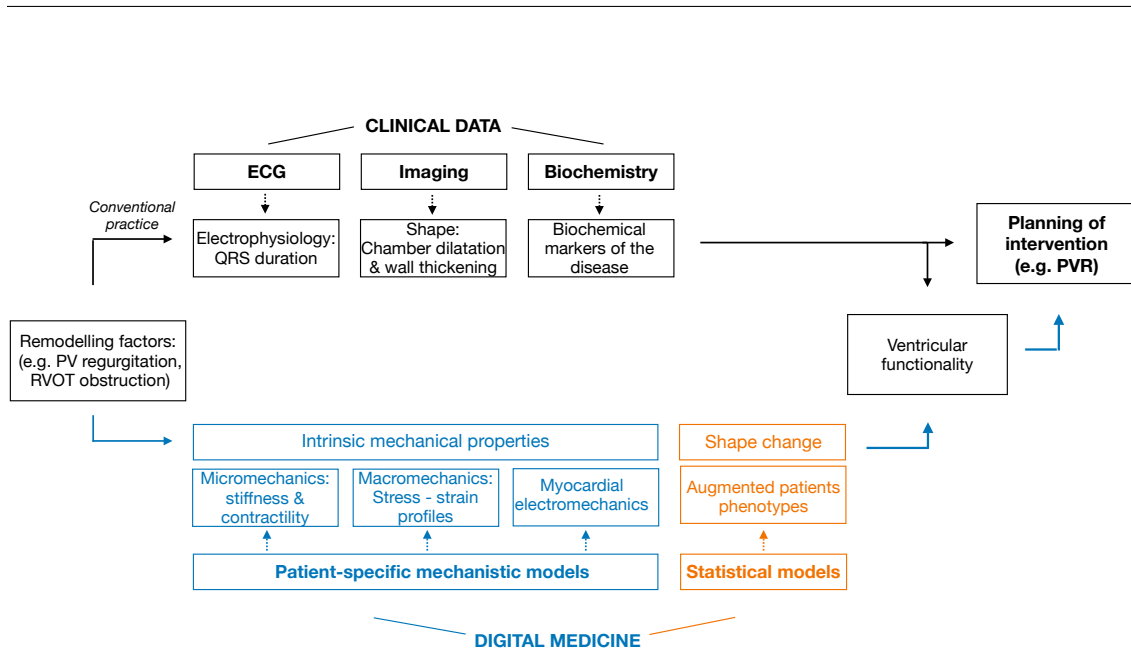


Figure 2: Clinical management of patients augmented by digital medicine tools, example of repaired tetralogy of Fallot patients. Black chart: current pipeline of the clinical decision-making; blue and orange charts: integration of model-derived indices into the clinical decision-making. ECG: electrocardiogram; PV: pulmonary valve; PVR: pulmonary valve replacement; RV: right ventricle; RVOT: right ventricular outflow tract obstruction.

## Fundamental characteristics of cardiac anatomy, structure and function

The predominant role of the heart is to pump the blood through the vascular system to sustain the bodily demand in oxygen and nutrients. The function of the heart as a muscular pump arises from the orchestration of multiphysics processes occurring at various spatial scales.

The myocardium is a hierarchical structure. Its functional capacity is determined by the interaction between the electrical signal conducting system (e.g. sinus node and Purkinje system, Figure 3) and a force-generating unit of the myocardium (e.g. sarcomere, Figure 4). Sarcomeres are composed of thick and thin myofilaments (which contain myosin and actin filaments, respectively). Electrical activation of the myocytes triggers the release of the calcium ions from the sarcoplasmic reticulum into the cytoplasm. Calcium ions bind to the troponin-C (TN-C on Figure 4 (b)) causing the configurational change of the actin filament that unmask the myosin binding sites. Myosin heads then attach to the actin filaments and produce the power stroke that pulls the Z-bands towards each other inducing the shortening of the sarcomere and the associated contraction of the myocardium. For a detailed description of excitation-contraction coupling of the cardiac myocytes see e.g the textbook of [27].

At the organ-level cardiac myocytes form the myofibers that are axially arranged into a three-dimensional (3D) structure [28] (Figure 5 (a)), and are wrapped by a 3D network of collagen connective tissue [29] (Figure 5 (b)). In addition, the tissue is organized in laminae – cleavage planes [29]. Such a structural organization of the tissue facilitates fast propagation of electrical waves in the direction of the fibers [30] and allows complex deformations of the myocardium that occur throughout the cardiac cycle [31].

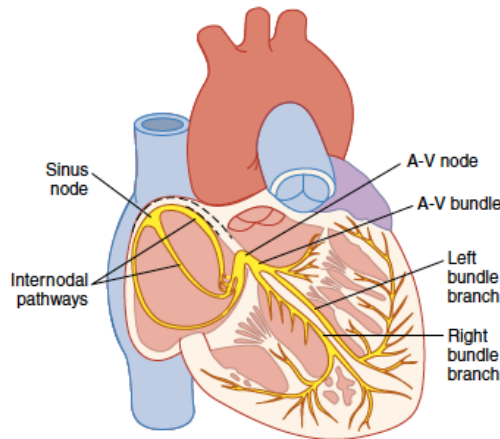


Figure 3: Electrical signal conducting system of the heart. Figure taken from [27]. Copyright Elsevier (2011) with permission.

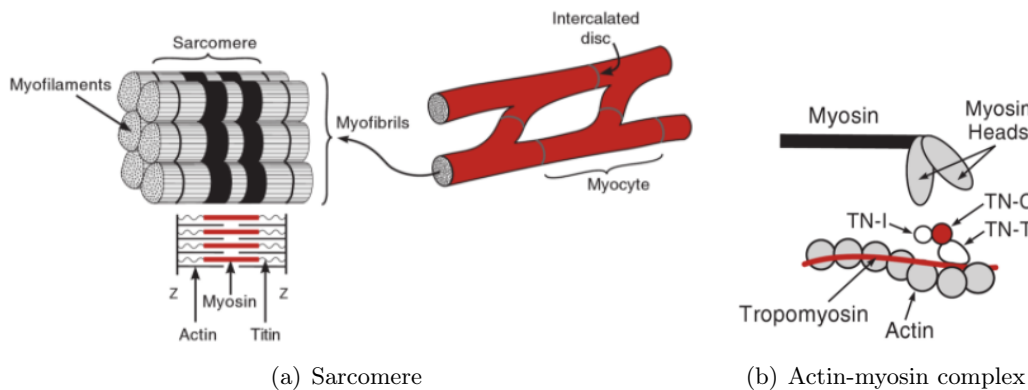


Figure 4: Microstructural arrangement of cardiac myocytes. (a) At the tissue-level myofibers are composed of myocytes that are interconnected by intercalated discs. Myocytes are arranged into myofibrils that are divided into the functional compartments – sarcomeres. Sarcomeres are composed of thin and thick myofilaments (actin and myosin filaments, respectively), and are separated by Z-discs. Protein titin anchors myosin filaments into the z-line (b) Microstructure of the sarcomere force producing region. Thick filaments are composed of myosin molecules that contain myosin heads. Thin filaments are composed of actin, tropomyosin that wraps the actin filament, and regulatory troponin complex (TN) proteins. TN-I: inhibits myosin binding to actin; TN-C: troponin-C, calcium ion binding site; and TN-T: binds to tropomyosin. Excitation-contraction coupling with subsequent power stroke production arise via binding of the myosin head to the actin filaments. Attached myosin heads pull the Z-bands towards each other producing the shortening of the sarcomere (contraction of the myocardium). Figure taken from [32]. Copyright Wolters Kluwer Health (2012) with permission.

## Cardiac cycle

The mechanical pumping action of the heart is characterized by the cardiac cycle that describes the change in atrial and ventricular pressure and volume (Figure 6). The initiation of the cardiac cycle is determined by the generation of action potential in the sinus node and its propagation downstream the conducting system of the heart (see Figure 3). These electrophysiological events are reflected in the electrocardiogram (ECG) recording. The P-wave corresponds to the depolarization of the atria, triggered by the activation of the sinus node. The QRS complex represents the depolarization of the ventricles and corresponds to the signal propagation through the Purkinje network and ventricular myocardium, see Figure

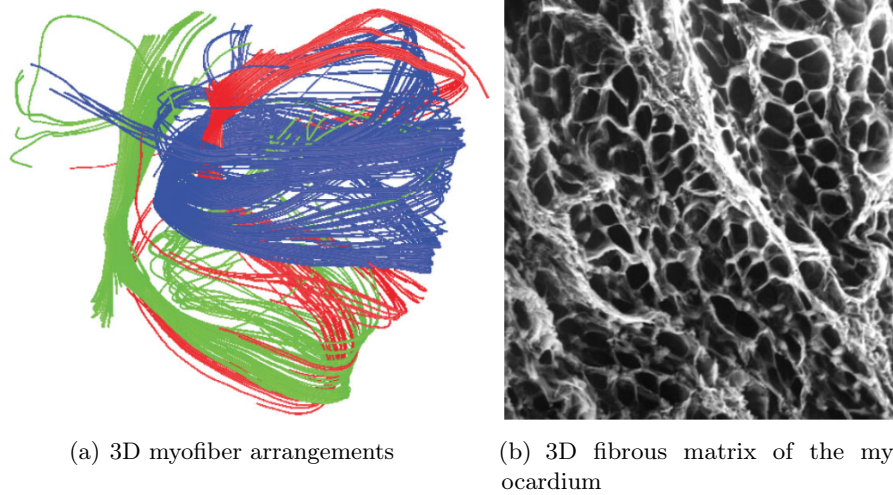


Figure 5: Organ-level structural arrangements of the myocardial wall. (a) Directions of myocardial fibers reconstructed from diffusion tensor magnetic resonance imaging of porcine heart showing a three-dimensional (3D) myofiber arrangement within the myocardial wall. (b) Scanning electron microscope image of the cross section of the ventricular wall demonstrating the 3D structural arrangement of the extracellular matrix. Figures taken from [28] (open source).

6 and Figure 3. The travelling of the electrical signal throughout the myocardium induces an electro-mechanical coupling within the myocardium leading to an active contraction of the corresponding heart chambers, period called as atrial (ventricular) systole. Atrial (ventricular) systole is followed by the diastole when the repolarization of the myocardium occurs with associated relaxation of the tissue allowing the blood to fill up the chambers. The atrial physiological function is to facilitate an optimal filling of the ventricle with blood, while the ventricular function is to generate a major contractile force to pump the blood into the vascular tree [27].

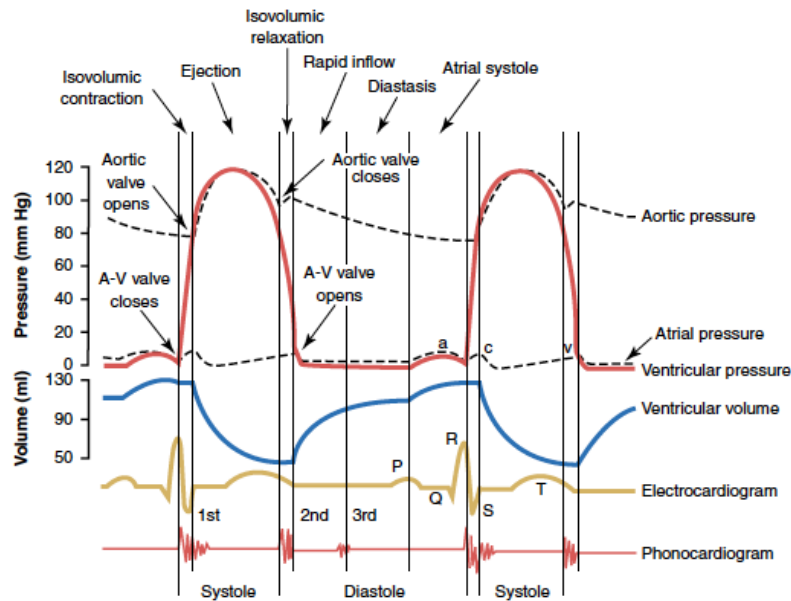


Figure 6: Orchestration of the events occurring during the cardiac cycle demonstrating changes in left atrial, left ventricular, and systemic circulation pressures, left ventricular volume, and electrocardiogram. Figure taken from [27]. Copyright Elsevier (2011) with permission.

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## Modeling of cardiovascular anatomy, physiology and function

An extended anatomical description of the cardiac compartments (e.g. ventricular chambers) could be obtained from the statistical shape models [33; 34; 35]. These models constitute population atlases based on the acquired patient-specific 3D image data of the pathology in question. Such an atlas contains the representation of a *mean shape* and *shape variations* around this mean for a given population. These metrics allow to detect correlative relationships between the specific geometrical features, which in turn could augment the phenotyping of a given pathology.

The representation of physiology (function) of various compartments of the cardiovascular system is a subject of biomechanical modeling studies. The major focus of these studies is to represent functional characteristics of the heart and cardiovascular system in order to provide additional mechanical perspective on the physiology and function that are not measurable *in vivo*. Considering a multiscale organization of the heart and interaction of the multiphysics processes on various spatial scales the modeling of the cardiovascular mechanics is a fundamentally complex task. The challenge is to achieve a balance between sufficient model complexity to represent the underlying physiology and (1) the availability of the numerical methods and computational techniques able to solve the associated system of equations and (2) the availability of the experimental data to estimate the parameters of the constitutive mechanical laws.

The mechanical behaviour of the heart and blood is often represented within the theory of continuum mechanics. The solution of the associated system of partial differential equations provides simulations of the tissue motion and blood flow. Mechanical actions of the heart (e.g. myocardial contraction and/or relaxation) can be represented by various formulations: (1) solid mechanics (modeling of myocardium), (2) fluid mechanics (flow of blood inside the cavity or vessels), (3) electrophysiology. These three can be coupled, e.g. fluid-structure interaction (blood inside heart cavities, or blood inside the coronary vessel tree modeled using porous material), electro-mechanical models (interaction between electrical signal propagation and subsequent mechanical action of the myocardium). Mechanical properties of the arteries (e.g. Young's modulus) and mechanical profile of the arterial blood flow (e.g. blood flow velocity) can be represented by the models of computational fluid dynamics.

The framework for formulating the biomechanical model of the heart within a theory of solid mechanics is of particular relevance to this thesis. In the sequel we provide a description of a formulation of such models. We also briefly mention a principle of integrating electrophysiology into solid mechanics.

### General framework to formulate a biomechanical model of the heart

Cardiac biomechanics are often modeled with a theory of solid mechanics. An advancement of finite element methods and computers in the 1960s [36; 37] triggered the development of heart models with complex geometries where the solution of the prescribed non-linear constitutive equations became tractable [38]. First attempts to model a 3D function of the heart containing the description of underlying tissue-level mechanics date back to the 1970s in the works of P. J. Hunter [39; 40] and others [41; 42; 43; 44]. In order to model the 3D function of the heart the models need to contain the following constituents:

- A heart geometry with detailed description of myocardial tissue architecture
- Theoretical framework for the description of tissue motion
- Material constitutive laws that describe the mechanics of resting and contracting myocardium (derived from experimental measurements of tissue- and sarcomere-level

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mechanics)

- Representation of electrical activation of the tissue
- Physiological boundary conditions that define an external loading and/or kinematic constraints acting on the deforming body
- Effective numerical method to solve the associated system of equations

Over the last decades, an advancement in imaging techniques has provided an accurate 3D description of patient-specific geometry. Acquired *in vivo* cardiac geometry could be directly deployed in the cardiac simulation pipeline. One of the common techniques is MRI thanks to its high spatial and temporal resolution and a high contrast between deforming myocardium and the surrounding tissues [45; 46]. In addition, MRI does not have any X-ray dose and can provide a wide variety of data (e.g. static high resolution anatomical, functional cine images, flow, tissue characterization – e.g. T1 weighted images, gadolinium late enhancement). Computed tomography and echocardiography are also widely used to extract hearts geometry, motion and flow.<sup>1</sup> The structural information about the spatial arrangement of the myofibers (e.g. fiber orientation, fiber angles) could be prescribed to the FE mesh [48; 49]. Patient-specific myocardial fiber architecture could be generated by processing diffusion tensor MRI data [50; 51; 52; 53]; however the accuracy of such data is still limited. In addition, the availability of patient-specific fiber data is hampered by the technical difficulty of their acquisition (e.g. only a few centers in the world are equipped for such exams) and practical difficulty (e.g. long acquisition time for patients). In the absence of patient-specific data the common practice is to assign fiber angles between 50 and 70° for endocardium and -50 and -70° for epicardium [54; 55; 56], the values being provided by the histological data [57].

A foundation for the formulation of mechanistic models of the heart is the theory of finite deformation elasticity. It characterizes myocardial deformations in space and time with prescribed stress-strain constitutive laws. The deformation acting on the material is given by the strain tensor. The stress acting on infinitesimally small planes within the material is given by the stress tensor. By solving the system of partial differential equations that govern the conservation of mass, momentum and energy it is possible to simulate the motion of the myocardial tissue [40; 58; 31].

Myocardium is commonly modeled as a viscoelastic, anisotropic (e.g. transversely isotropic) and incompressible material. Such a non-linear material behavior was observed in numerous experimental studies on tissue-mechanics (e.g. [59; 60], the review about the architecture of the myocardium by [61]) and was incorporated into the various forms of the elastic strain energy density function such as [62; 63; 64; 65; 60; 66]. The strain energy function is often expressed in terms of Piola-Kirchhoff stress to denote the passive stress tensor in the overall description of the constitutive law.

In order to model the active contraction of the myocardium the description of the active tension must be included. The active tension tensor, often in the form of second Piola-Kirchhoff stress, can be combined with the passive stress tensor to provide an overall description of active and passive constitutive law of the myocardium [58] – *active stress* framework. The representation of active tension within the myocardium can be either given by a single variable of active stress (such as in [67; 68; 49; 69; 70; 71]) or by integrating micro-

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<sup>1</sup>After semiautomatic (e.g. Circle Cardiovascular Imaging, Calgary, Alberta, Canada) or manual contouring of the ventricular cavity (e.g. MeVisLab software) finite element (FE) mesh generation softwares (e.g. GMSH [47]) could be used to provide a patient-specific tetrahedral mesh that could be directly employed for subsequent simulations.



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scale mechanics of actin-myosin interaction into the overall constitutive law of myocardial contraction (e.g. [72; 73; 74]).

One of the earliest constitutive model of active contraction of the myocardium was proposed by A. V. Hill [75] in 1938. He derived an empirical three-element rheological model of muscle contraction that consisted of a contractile element in series with a passive elastic element and both in parallel to the other passive elastic element. Elastic elements were considered as springs of a given stiffness while the contractile element constitutive law was in a form of force-velocity relationship. Another theoretical framework of active contraction modeling belongs to A. F. Huxley who proposed a sarcomere level contraction model [76]. In 1954 the experiments with skeletal muscle brought a sarcomere level description of location and arrangement of actin and myosin, with the contractile force being produced concurrently with the myosin sliding over the actin filaments. [77; 78]. Following that discovery in 1957 A. F. Huxley formulated a sliding filament theory of muscle contraction [76]. It considers all myosin heads as series of linear springs with a certain energy. Attachment of the myosin heads to the corresponding actin sites produces active tension and relative sliding of myosin over the actin causing shortening of the muscle. This theory was revolutionary to the field and later formed a basis for the other models of subcellular myocardial contraction, e.g. [79; 80; 81; 82; 83; 65; 84]. As more experimental data on subcellular myocyte physiology became available (e.g. calcium kinetics, passive properties of cardiac muscles, length-dependent kinetics of the cross-bridges) Huxley's model of actin-myosin sliding was extended to account for the dynamics of calcium binding and passive properties of the myocytes [65; 68; 84] that allowed a more holistic description of myocardial physiology.

A model proposed by Bestel-Clément-Sorine (BCS) within Huxley's framework [84] is of particular relevance to this thesis. It is a model of a sarcomere level kinetics with chemically controlled (i.e. calcium concentration) constitutive law of actin-myosin mechanics in myofiber direction. The contractile component was coupled with passive component of visco-elastic type, and a set of differential equations provided a constitutive relation between stress and strain. The BCS model was further extended by Chapelle and colleagues in 2012 [72] to incorporate more physiological Frank-Starling mechanism (i.e. preload dependent property of the myocytes force generating capacity) of myocardial contraction and embed this model into an energy-consistent framework of continuum mechanics. This multi-scale model formulated by [72] is the biomechanical model used in this thesis in application to the modeling of cardiac mechanics of patients with CHD.

Electrical activation input to the mechanical model is often given by reaction-diffusion partial differential equations (e.g. bidomain, monodomain equations [85; 86; 87; 88]) that simulate the propagation of transmembrane potential over the 3D myocardium of the heart with subsequent intracellular calcium release. Calcium kinetics couple electrical and mechanical components in the model via embedding of the activation time or calcium concentration (output from the electrophysiological model) into the sarcomere-level constitutive model of active tension [68; 89; 90; 58; 73; 91; 92; 93]. However, if the modeled pathology is not related to electrical abnormalities the input variable of electrical activation duration could be directly prescribed into the sarcomere model of active tension. The electrical input is prescribed such that the timing of complete activation of the ventricular myocardial tissue corresponded to e.g. measured QRS duration [56; 94; 95; 96].

In the 3D space the boundary conditions define the displacement constraints on the deforming ventricle. They account for the presence of the surrounding anatomical structures: diaphragm, thoracic cage, and the lungs. One of the approaches is to consider viscoelastic boundary conditions [97] or sliding of the complementary surfaces [98]. Boundary conditions that define the external loading can be represented by a lumped-parameter model of the circulation coupled to the ventricular outflow tract [90; 99; 100; 93; 71].

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## Phenomenological model of the heart function

The simplest way to represent an organ-level pumping behavior of the heart is to consider the cardiac chamber as a generator of blood flow and pressure. A time-varying elastance model describes the time-variant changes of instantaneous intraventricular pressure and volume. It was originally proposed by H. Suga in the 1970s [101] and later extensively employed by others [102; 103; 104; 105; 106; 107; 108]. The model determines the ability of ventricular chamber to generate systolic pressure and flow against given vascular impedance [101]. It does not describe constitutive properties of underlying tissue-level mechanics, but gives a global organ-level function. Thanks to its simplicity the model has been used in clinical physiological studies to characterize organ-level cardiac performance [109; 110; 111].

## Applied modeling to augment interpretation of clinical data

The development of the applied modeling workflow that may be directly employed in a clinical environment is a subject of ongoing research. The aim is to augment the interpretation of clinical data by model-derived characteristics of myocardial (vascular) mechanics (i.e. mechanical models) or by additional geometrical indices of the pathology (i.e. statistical shape models). Within a framework of mechanical modeling an augmented interpretation of clinical data is usually achieved by the following: (1) an appropriate choice of the model ingredients that sufficiently represent the pathophysiology in question; (2) the calibration of patient-specific parameters of the constitutive laws. The latter is achieved via matching the model prediction (e.g. output of the model in terms of ventricular pressure and/or volume curves) with the observed data – the so-called *data-model coupling*. The mathematical methods that enable a personalized *data-model coupling* are a vast area of research in applied mathematics. Briefly these methods are data assimilation (optimization) techniques [112; 113; 114; 115] that aim to find a balance between the two conditions: (1) a distance between the model prediction (defined by a given set of parameters) and the data, and (2) a confidence in the model (i.e. typically parameters cannot take arbitrary values). In application to cardiac modeling see e.g. [116; 71; 48; 56; 117].

As regards cardiac mechanics we can highlight some interesting approaches pertaining to model-augmented clinical data interpretation. Patient-specific diastolic and/or systolic mechanical properties may be extracted by coupling the 3D heart model with MRI data. The passive (active) constitutive law of the myocardium – i.e. that describes myocardial behavior during diastole (systole) – is prescribed to the 3D finite element model of the ventricle. The kinematics of the model are coupled to that given by CMR data by adjusting parameters of passive (active) constitutive law. Such a procedure provides patient-specific parameters of myocardial stiffness (active stress) that can be used to assess e.g. diastolic (systolic) heart failure in patients. Studies investigating the passive behavior of the myocardium are [48; 118; 119], and active behavior [120; 121; 56; 122]. Electromechanical models of the heart, where the electrophysiological input is embedded into the active constitutive law, allow to e.g. describe and predict the effect of cardiac resynchronization therapy on ventricular contraction. Various patterns of electrical wave propagation are prescribed and the subsequent response of ventricular mechanics is studied, e.g. the models of [55; 74; 100; 69; 123; 93].

Whilst 3D finite element models allow modeling of the realistic multi-level and multi-physics phenomena, they require (1) high computational cost (e.g. in fluid structure interaction models simulation of one cardiac beat could be at the time-scale of days), and (2) adjustment of the large number of constitutive parameters. Such features limit their direct translation into clinical bench and make the studies of large patient cohorts almost

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unattainable. Some approaches of a reduced geometrical representation of ventricular cavity exist that decrease the computational time of one cardiac beat to the duration of seconds. The family of CircAdapt models [124; 125; 70] – 1D models of cardiac mechanics – provide a global representation of a chamber-level stress-strain relationship. The geometry of the cavity is determined by the adaptation of the cavity to the imposed mechanical loading. Another approach was developed by Caruel et al. [126], where the geometry of a complete multi-scale 3D mechanical model [72] was reduced to a sphere while preserving all the constitutive laws (i.e. 0D model). The resulting 0D model preserves the sarcomere-level mechanical description but thanks to the only one radial displacement of the ventricular cavity the simulation of one cardiac beat takes around 10ms.

## Modeling of patients with congenital heart disease

In this section we provide an overview of the biomechanical modeling techniques that can be coupled to the clinical data of patients with CHD. These models could be used in order to obtain biomechanical insight into the myocardial health. One of the first efforts to simulate the mechanical behaviour of the cardiovascular system of patients with CHD was in the early 2000s. Clinical data of patients with single-ventricle geometry was coupled to mechanical models of the heart and circulation. The pumping action of the heart was represented by a simple time-varying elastance model [104] that was coupled to the lumped parameter model of the circulation [127; 128; 129] (see also a later study in [130]). These models studied the influence of hemodynamic factors (e.g. resistance of the circulation) on the ability of the heart to generate cardiac output in rest and exercise. Despite a simple phenomenological representation of cardiac mechanics these models showed promising results in their ability to provide a global view on circulation dynamics of patients with single-ventricle physiology. A more mechanistic description of cardiac mechanics of patients with CHD was deployed by [131] for patients with single-ventricle physiology and by [132] with rTOF patients. The studies used CircAdapt models coupled to lumped parameter models of circulation. The model of the outflow valve allowed to incorporate the regurgitation into the system. The Bernoulli relation was used to model the pressure drop across the valve. The results of these studies reproduced the clinical nature of the complex pathophysiological patients conditions. Moreover, the study of [132] investigated a comparative effect of pulmonary regurgitation versus ventricular dyssynchrony on exercise capacity of rTOF patients. Another group used 3D finite element mechanical models coupled to the MRI data to study passive and active properties of RV myocardium of patients with rTOF [133; 134; 135]. The studies adjusted the parameters of the material law of the myocardium based on the direct measurements of the biaxial stress-strain data obtained from a cadaveric normal heart sample. The methods of obtaining biaxial mechanical dataset for ventricular tissue were previously developed by [136; 137]. The studies that compared active properties [133; 134] of the myocardium of rTOF pre- and post-PVR showed that patient-specific model-derived active stress pre-PVR was the best predictor of the outcome of PVR 6 months post-PVR. The study of [135] demonstrated that passive mechanical properties of rTOF patients pre-PVR were distinct from the healthy subjects substantiating the potential of the proposed method to discriminate patient-specific indices of myocardial health. In the study [138] a 3D biomechanical model of the heart coupled to pre-operative clinical data (stage 2 surgery) of a patient with single-ventricle physiology allowed to simulate various post-operative conditions of the cardiovascular system (i.e. virtual surgical planning). Mechanical properties of patients with single-ventricle geometry were extracted by coupling 0D model of the heart [126] with the clinical data of these patients. The framework provided patient-specific properties of myocardial stiffness, contractility and vascular resistance – the

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indices that have clinical significance in studying potential mechanisms of failure of patients with Fontan circulation. Finally, various patterns of ventricular filling could be investigated by the models of ventricular fluid dynamics of e.g. patients with hypoplastic left heart syndrom [139; 140; 141]. These models provide an insight into e.g. filling efficiency, kinetic energy and viscous dissipation of the blood flow, and intraventricular pressure gradient.

## Aims and objectives

The burden placed on the healthcare system due to the growing population of adults with CHD necessitates the development of new healthcare solutions. Often this cohort of patients requires lifelong monitoring of their cardiovascular health and repeated interventions. However, the complexity and uniqueness of their cardiovascular anatomy and function challenge the development of optimal diagnostic tools. It is particularly challenging to track the disease progression and elucidate the cut-off values of loss of functional capacity of the heart. Currently, clinical decision-making is driven by the image-based or biochemical biomarkers of the disease that are limited in their specificity and sensitivity to the advanced pathological states [24]. These biomarkers track the progression of the disease in terms of the change in organ's size and shape, or occurrence of biochemical agents in the blood (e.g. due to severe tissue-level remodeling). These changes however occur downstream sarcomere- and tissue-level remodeling. The latter in turn could be characterized in terms of associated changes in the myocardial mechanical properties (e.g. stiffness and contractility), that are difficult to access via standard clinical biomarkers.

Biomechanical modeling has a potential to provide an extended understanding of underlying tissue mechanics [30]. We have discussed above the ability of various types of models to provide an augmented description of various components of the cardiovascular system. Regardless of the variety of the modeling approaches available to date, studies demonstrating the translation of these models to a real clinical environment are lacking. Thus there is a need to explore the applicability of these modeling approaches in assisting clinical decision-making.

The aim of this PhD thesis is to apply biomechanical modeling in a cohort of patients with CHD to demonstrate its potential in assisting clinical decision-making. Firstly, we aim to provide patient-specific mechanical indicators of the pathology that may augment the interpretation of CMR and catheter pressure data in a cohort of patients with rTOF. Secondly, we aim to demonstrate the potential of the model to assist in clinical data processing – to ameliorate the interpretation of various data sources and data acquisition protocols – in a cohort of patients with single-ventricle geometry.

## Objectives

The biomechanical model used in this thesis was developed at Inria by Chapelle et al. [72]. We use a 0D version of this model [126] in which the constitutive mechanical laws are preserved as in the full 3D model [72] but the geometry and kinematics are reduced to a sphere. The model also contains the valvular components to account for arterial and/or atrioventricular valve regurgitation and stenosis of the outflow tract (see valve law presented in [73] and proof-of-concept clinical studies e.g. [94; 95; 96]).

The clinical data (catherization pressures and MR images) were provided by the University of Texas Southwestern Medical Center, Dallas (USA) and the University of Texas, Austin (USA). We work with two cohort of patients with CHD: (1) rTOF prior to and after PVR, (2) patients with single-ventricle geometry prior to completing the Fontan circulation.

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The following objectives are pursued:

**I: Evaluate the mechanics of the right ventricle and pulmonary circulation in a patient-specific manner**

We explore the ability of the model to represent RV and pulmonary circulation mechanics of rTOF patients pre- and post-PVR. These patients are subjected either to independent or combined effect of PV regurgitation and RVOT stenosis. We build patient-specific models of the cardiovascular system by calibrating the model to represent the measured data of these patients. We evaluate the mechanical response of the system (1) to the presence, and (2) to the immediate removal of PV regurgitation and RVOT stenosis.

**II: Predict the evolution of ventricular mechanics under different loading conditions**

The ability of the model to predict the evolution of RV mechanics under different loading conditions is explored. We use patient-specific models of RV calibrated considering the PV regurgitation and RVOT stenosis. We explore the ability of these models to predict an immediate response of RV contractility to acute changes of the level of RVOT resistance.

**III: Develop a model-assisted time-synchronization technique of clinical pressure-volume data**

We develop a model-assisted time-synchronization technique of clinical pressure-volume (P-V) data that can address the problem of P-V data dyssynchrony. The universality of the method for the variety of data acquisition protocols is demonstrated.

**IV: Validate model-derived indices of ventricular systolic function against clinically accepted surrogate measures of systolic performance**

We explore the correspondence of the indices of systolic performance directly obtained from the clinical measurements – maximum value of time-varying elastance ( $E_{\max}$ ) and maximum time derivative of ventricular pressure ( $\max(dP/dt)$ ) – with model-derived contractility and  $\max(dP/dt)$ . In addition, we confront data- vs. model-derived  $\max(dP/dt)$  in order to show possible applications of model-derived  $\max(dP/dt)$  as a model-based data-filter.

## Thesis outline

This thesis manuscript is divided into four chapters.

**Chapter 1: Biomechanical modeling to evaluate ‘current mechanical state’ of the myocardium in repaired tetralogy of Fallot patients.**

The purpose of this chapter was to use the biomechanical model in a cohort of rTOF patients to assess comparative influences of residual right ventricular outflow tract obstruction (RVOTO) and pulmonary regurgitation on ventricular health. We analyzed 20 patients pre- and post-PVR. The data contained volume and phase contrast flow measurements given by CMR a few months prior to PVR, and catheterization pressures obtained during PVR. The model was coupled to the patients’ data to obtain patient-specific mechanical properties of the cardiovascular system. Thanks to the valvular component in the model we could incorporate PV regurgitation and stenosis into the calibration of constitutive mechanical properties of the myocardium. The results showed an increased contractility pre-PVR in all patients compared to normal RVs. After PVR the contractility significantly decreased in all patients. Patients with predominantly RVOTO showed higher levels of contractility and

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stroke work decrease compared to those with predominantly PV regurgitation. This chapter shows the ability of the biomechanical model (1) to integrate multiple clinical data inputs, (2) to assess the influence of both combined and independent effects of PV regurgitation and RVOT stenosis on RV health, and (3) to augment clinical indicators of RV health in patient-specific manner.

This chapter was published as a clinical research article entitled ‘Biomechanical modeling to inform pulmonary valve replacement in tetralogy of Fallot patients after complete repair’ in the *Canadian Journal of Cardiology* [142]. An electronic supplementary material of the paper contains a detailed description of biomechanical heart model, model calibration procedure, and demographic and clinical details of the patients.

## **Chapter 2: Biomechanical modeling to evaluate the evolution of myocardial mechanical properties under different loading conditions**

The purpose of this chapter was to explore the ability of the biomechanical model to predict the response of ventricular mechanics to the progressive decrease of the afterload in rTOF RVs that are predominantly affected by RVOTO. Patient-specific models pre-PVR created in chapter 1 were used, cessation of PV regurgitation and progressively decreasing RVOT resistance were assumed. Myocardial contractility was re-calibrated for the corresponding decrease in RVOT resistance. *In silico* relationships between the contractility and RVOT resistance post-PVR were built. The predictive value of these relationships was tested against the post-PVR models created from the actual post-PVR datasets in chapter 1. The resulting *in silico* relationships between contractility and RVOT resistance showed a linear form. *In silico* predicted contractility was in correspondence with post-PVR model-derived contractility. The chapter demonstrates that these relationships have the potential to inform clinicians about the hypothetical mechanical response of the ventricle based on the degree of pre-operative RVOTO.

This chapter was published as an original research article article entitled ‘Prediction of ventricular mechanics after pulmonary valve replacement in tetralogy of Fallot by biomechanical modeling: A step towards precision healthcare’ in the *Annals of Biomedical Engineering* [143].

## **Chapter 3: Model-assisted time-synchronization of clinical pressure-volume data**

Clinical pressure-volume (P-V) data when acquired by different modalities (e.g. CMR and pressure catheter) are often subjected to time-synchronization errors. This yields acquired P-V loops with artificial shape, in particular skewed isovolumetric phases. These errors are inherent to data acquisition and data processing techniques. The prime motivation of this chapter was to develop a time-synchronization technique that could be directly employed in clinical settings, e.g. during a volume-challenge study (manipulating preload conditions of the heart via administration of fluid bolus) of patients with single-ventricle geometry prior to completing Fontan circulation. This chapter develops a model-assisted time-synchronization method for ventricular P-V signals from two types of data acquisition protocols: (1) P-V data with prospectively (N=24, single-ventricle patients) and (2) with retrospectively (N=45, predominantly rTOF left and right ventricular patients) ECG-triggered cine MRI sequences. The qualitative improvement of time-synchronized P-V loops is demonstrated by plotting the clinically acquired P-V loops and those obtained after the proposed time-synchronization. We use a metrics derived from cardiovascular physiology to demonstrate the physiologically meaningful quantitative improvement of time-synchronized P-V data. In particular, we statistically compare the original and time-synchronized

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maximum time derivative of time-varying elastance ( $E(t) - (dE/dt)_{\max}$ ) – in relation to  $\max(dP/dt)$  derived from the original pressure signals. The proposed time-synchronization method produced high-quality P-V loops yielding statistically more accurate indices of myocardial energetics – max value of time-varying elastance ( $E_{\max}$ ) and stroke work (area encompassed within P-V loop). This chapter demonstrated an ability of the model to assist in clinical data treatment during pre-interventional planning for e.g. patients with single-ventricle geometry.

The material of this chapter and the presented methods composed two publications that are in the appendices:

- Appendix 1 is an extended research letter to editor entitled ‘Time-synchronization of invasive cardiovascular magnetic resonance data using a biomechanical model for pressure-volume loop analysis’ that was accepted for publication in the *Journal of Magnetic Resonance Imaging*.
- Appendix 2 is a published peer-reviewed conference paper entitled ‘Model-Assisted Time Synchronization of Cardiac MR Image and Catheter Pressure Data’ in *Proc. of Functional Imaging and Modeling of Heart, FIMH 2021*. This work was a proof-of-concept study to demonstrate the model-assisted time-synchronization technique on 10 patients from rTOF left ventricular datasets.

#### **Chapter 4: Conceptual link between model-derived measures of ventricular contractility and clinically accepted surrogate measures of ventricular systolic performance**

Translation of novel model-derived indices into clinical practice necessitates their validation against existing clinically accepted biomarkers. In this chapter the goal is to explore a conceptual link between data- and model-derived indices of ventricular contractility. Time-synchronized datasets and calibrated models of all patients from Chapter 3 were used to (1) build statistical relationships between the aforementioned indices, and (2) statistically compare model- and data-derived  $\max(dP/dt)$ . In addition, a thorough literature review on the clinical relevance of the presented indices of cardiac systolic function was performed. The results (1) showed significant correlation between all model- and data-derived indices, and (2) emphasized a potential application of model-derived  $\max(dP/dt)$  as a model-based data filter of noisy values of clinical  $\max(dP/dt)$ . A literature review demonstrated that (1) the gold standard measure of ventricular contractility is challenging to use with the current physiological and biomechanical knowledge, and (2) some clinical scenarios might benefit from combining several indices of contractile performance.

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## Scientific contributions

### Journal articles

- Gusseva, M., T. Hussain, C. H. Friesen, P. Moireau, A. Tandon, C. Patte, M. Genet, K. Hasbani, G. Greil, D. Chapelle, and R. Chabiniok. Biomechanical Modeling to Inform Pulmonary Valve Replacement in Tetralogy of Fallot Patients after Complete Repair. *Canadian Journal of Cardiology*, 37: pp.1798-1807, 2021.
- Gusseva, M., T. Hussain, C. H. Friesen, G. Greil, D. Chapelle, R. Chabiniok. Prediction of ventricular mechanics after pulmonary valve replacement in tetralogy of Fallot by biomechanical modeling: A step towards precision healthcare. *Annals of Biomedical Engineering*, 49: pp. 3339–3348, 2021.
- Gusseva, M., D. A. Castellanos, J. S. Greer, M. A. Hussein, K. Hasbani, G. Greil, S. R. Veeram Reddy, T. Hussain, D. Chapelle, and R. Chabiniok. Time-synchronization of invasive cardiovascular magnetic resonance data using a biomechanical model for pressure-volume loop analysis. *Journal of Magnetic Resonance Imaging*, 2022. *Accepted*.

### Peer-reviewed conference proceedings

- Gusseva, M., J. S. Greer, D. A. Castellanos, M. A. Hussein, G. Greil, S. R. Veeram Reddy, T. Hussain, D. Chapelle, and R. Chabiniok. Model-Assisted Time- Synchronization of Cardiac MR Image and Catheter Pressure Data, Proc. of Functional Imaging and Modeling of Heart FIMH 2021, Volume 12738 of Lecture Notes in Computer Science (LNCS), pp. 362-372, Springer 2021.

### Peer-reviewed book chapters

- Chabiniok, R., M. Gusseva, K. Škardová, R. Galabov, P. Eichler, J. Janoušek, R. Fučík, J. Tintěra, T. Oberhuber, T. Hussain. Translational Cardiovascular Modeling: Tetralogy of Fallot and Modeling of Diseases. A peer-reviewed book chapter in *Modeling Biomaterials*, 2021 (Birkhauser Science, editors Josef Málek and Endre Suli). *Accepted*

### Conference presentations

- International Society for Magnetic Resonance in Medicine – ISMRM 2018 – Paris, France  
Electronic poster presentation: *Augmenting the interpretation of cardiac MRI by biomechanical modeling*
- International workshop on Tetralogy of Fallot and Modeling of Diseases - TOFMOD 2018 – Palaiseau, France  
Oral presentation: *Cardiac modelling for Tetralogy of Fallot*
- Virtual Physiological Human – VPH 2020 – Paris, France  
Poster presentation: *Biomechanical modeling to assess the functionality of right heart myocardium*  
Oral presentation at TOFMOD workshop: *Prediction of pulmonary valve replacement based on right ventricular mechanics. Application to repaired Tetralogy of Fallot*
- International Society for Magnetic Resonance in Medicine – ISMRM 2021 – Vancouver, Canada  
Poster presentation: *Time resynchronization of data obtained during cardiovascular MRI combined with catheterization using biophysical cardiac modeling*



- International Conference on Functional Imaging and Modeling of the Heart – FIMH 2021 – Stanford, USA  
Poster presentation: *Model-Assisted Time-Synchronization of Cardiac MR Image and Catheter Pressure Data*

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## CHAPTER 1

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# Biomechanical modeling to evaluate ‘current mechanical state’ of the myocardium in repaired tetralogy of Fallot patients

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The purpose of this chapter was to use the biomechanical model in a cohort of rTOF patients to assess comparative influences of residual right ventricular outflow tract obstruction (RVOTO) and pulmonary regurgitation on ventricular health. We analyzed 20 patients pre- and post-PVR. The data contained volume and phase contrast flow measurements given by CMR a few months prior to PVR, and catheterization pressures obtained during PVR. The model was coupled to the patients’ data to obtain patient-specific mechanical properties of the cardiovascular system. Thanks to the valvular component in the model we could incorporate PV regurgitation and stenosis into the calibration of constitutive mechanical properties of the myocardium. The results showed an increased contractility pre-PVR in all patients compared to normal RVs. After PVR the contractility significantly decreased in all patients. Patients with predominantly RVOTO showed higher levels of contractility and stroke work decrease compared to those with predominantly PV regurgitation. This chapter shows the ability of the biomechanical model (1) to integrate multiple clinical data inputs, (2) to assess the influence of both combined and independent effects of PV regurgitation and RVOT stenosis on RV health, and (3) to augment clinical indicators of RV health in patient-specific manner.

## Contents

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<b>1.1 Introduction</b>	<b>34</b>
1.1.1 Objectives	34
<b>1.2 Methods</b>	<b>35</b>
1.2.1 Data	35
1.2.2 Biomechanical model	36
1.2.3 Model calibration to data of individual patients	36
1.2.4 Statistical analysis	37
<b>1.3 Results</b>	<b>37</b>
1.3.1 Direct analysis of clinical data	37
1.3.2 Model-derived ventricular contractility	37
1.3.3 Post-PVR changes in RV EDV and effective PA flow	40
<b>1.4 Discussion</b>	<b>41</b>
1.4.1 Limitations	42

1.4.2 Future perspectives . . . . .	43
<b>1.5 Conclusion . . . . .</b>	<b>43</b>
<b>1.6 Supplemental Appendix. Additional Methodology . . . . .</b>	<b>45</b>

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# Biomechanical Modeling to Inform Pulmonary Valve Replacement in Tetralogy of Fallot Patients After Complete Repair

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## Abstract

**Background:** A biomechanical model of the heart can be used to incorporate multiple data sources (electrocardiography, imaging, invasive hemodynamics). The purpose of this study was to use this approach in a cohort of patients with tetralogy of Fallot after complete repair (rTOF) to assess comparative influences of residual right ventricular outflow tract obstruction (RVOTO) and pulmonary regurgitation on ventricular health.

**Methods:** Twenty patients with rTOF who underwent percutaneous pulmonary valve replacement (PVR) and cardiovascular magnetic resonance imaging were included in this retrospective study. Biomechanical models specific to individual patient and physiology (before and after PVR) were created and used to estimate the RV myocardial contractility. The ability of models to capture post-PVR changes of right ventricular (RV) end-diastolic volume (EDV) and effective flow in the pulmonary artery ( $Q_{\text{eff}}$ ) was also compared with expected values.

**Results:** RV contractility before PVR (mean  $66 \pm 16$  kPa, mean  $\pm$  standard deviation) was increased in patients with rTOF compared with normal RV (38-48 kPa) ( $P < 0.05$ ). The contractility decreased significantly in all patients after PVR ( $P < 0.05$ ). Patients with predominantly RVOTO demonstrated greater reduction in contractility (median decrease 35%) after PVR than those with predominant pulmonary regurgitation (median decrease 11%). The model



simulated post-PVR decreased EDV for the majority and suggested an increase of  $Q_{\text{eff}}$  both in line with published data.

**Conclusions:** This study used a biomechanical model to synthesize multiple clinical inputs and give an insight into RV health. Individualized modeling allows us to predict the RV response to PVR. Initial data suggest that residual RVOTO imposes greater ventricular work than isolated pulmonary regurgitation.

**Keywords**— translational research, cardiovascular modeling, myocardial contractility, tetralogy of Fallot, personalized medicine, cardiovascular magnetic resonance imaging

## 1.1 Introduction

Stenosis of the pulmonary valve is a relatively common congenital condition isolated, or as a part of the constellation of tetralogy of Fallot (TOF). The initial pressure overload is corrected surgically often using a transannular patch, causing varying degrees of pulmonary regurgitation (PR). Over time, valve-sparing techniques have been preferred, but at the cost of potentially higher rates of residual right ventricular outflow tract obstruction (RVOTO). Chronic RV volume overload leads to RV dilation and chronic RVOTO can cause RV hypertrophy. To avoid RV failure and even to allow reverse remodeling, patients undergo pulmonary valve replacement (PVR) in adolescence or early adult life. There is a robust debate regarding the optimal timing of PVR [1; 2; 3; 4; 5; 6; 7]. Among the main clinical indicators considered in decision making are the degree of RV dilation, RV ejection fraction (EF), and pulmonary valve regurgitation fraction (RF) [8]. However, the ability to predict post-PVR reverse remodeling remains elusive and is witnessed in only 60% of patients regardless of the threshold for intervention [2]. Upstream, the debate as to the optimal initial repair remains whether transannular patch with mild residual obstruction is preferable to annulus-sparing approaches in borderline valves. Current practice is to favor valve preservation, but recent long-term outcome data suggest [9] that RV hypertrophy may be a predictor of adverse events.

Although the current guidelines focus on the direct measures taken from imaging data, the underlying physiology is not the primary driver of interventions. Incorporating physical and physiologic assumptions of cardiovascular function in the framework of biomechanical modeling [10; 11] has the potential to augment the interpretation of clinical data, such as by estimating some clinically relevant functional quantities, eg, myocardial contractility [12; 13; 14]. The contractility in the present work represents the active stress generated by the myocardial sarcomere unit during contraction. The contractility in a given patient can be increased chronically (eg, in ventricular overloading such as due to a valvular defect) and adapts to the actual physiologic state by inotropic stimulation [14]. We remark that the level of myocardial contractility as used in this work was demonstrated to correlate with the maximum time derivative of ventricular pressure,  $\max(dp/dt)$  [14], which is a widely accepted surrogate measure of global ventricular contractility. Assessing mechanical properties of the hearts of patients with tetralogy of Fallot after complete repair (rTOF) provides additional metrics which may provide new insight into the initial procedure choice in cases of borderline pulmonary valve annulus.

### 1.1.1 Objectives

The goal of this retrospective observational work was to quantify the level of RV myocardial contractility before PVR and immediately after PVR by coupling the available clinical data with a biomechanical model. We hypothesize that the RV contractility is chronically

increased in rTOF patients with PR or residual RVOTO and that it will decrease immediately after PVR. This reduction toward the normal values will also translate into the reduction of stroke work that the heart needs to exert.

## 1.2 Methods

### 1.2.1 Data

A group of 20 patients with rTOF who underwent percutaneous PVR were included in this retrospective study. The data collections were performed under the ethical approvals of Institutional Review Boards of the UT South-western Medical Center, Dallas (STU-2020-0023), and the University of Texas, Austin (IRB 2020-06-0128). The IRBs waived the need for a consent to use the anonymized retrospective data.

All patients underwent cardiovascular magnetic resonance (CMR) examination 4-6 months before PVR. CMR was processed semi-automatically by using the CVI42 software (Circle Cardiovascular Imaging, Calgary, Alberta, Canada) and a finite element method for image registration [15; 16]. The results of CMR analyses are RV time-vs-volume plots and time-vs-flow through the pulmonary artery (PA) together with the flow integrated throughout the cycle containing the forward and regurgitant components ( $Q_{\text{for}}$  and  $Q_{\text{back}}$ , respectively). The effective flow through the pulmonary circulation (ie,  $Q_{\text{for}} - Q_{\text{back}}$ ) will be denoted as  $Q_{\text{eff}}$ .

During the percutaneous PVR, the right-heart pressures (containing right atrial, RV, and PA pressures) were taken before and after deploying the valve. Figure 1.1 displays an example of processed clinical data of a selected patient.

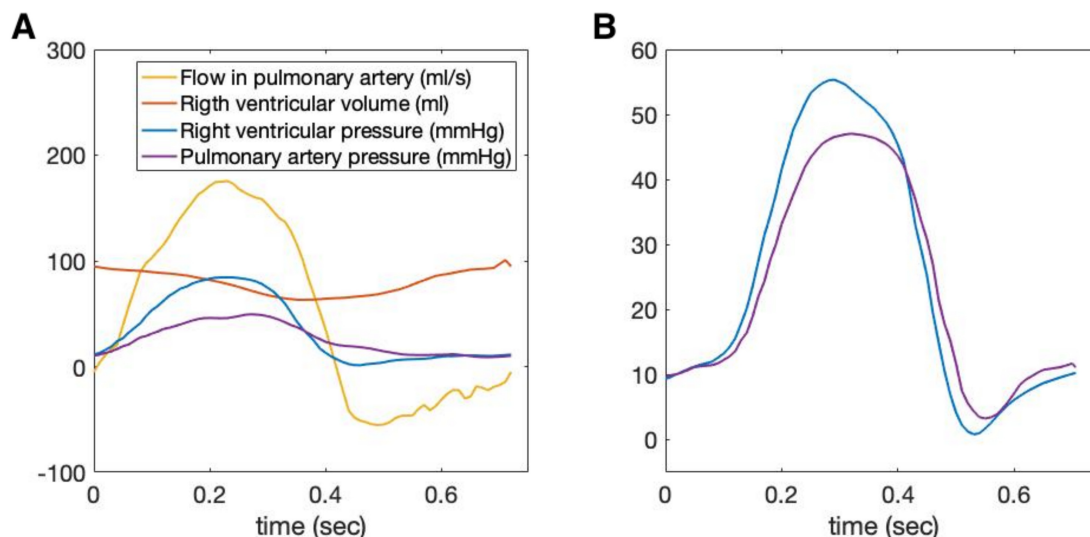


Figure 1.1: Example of processed clinical data (A) before and (B) after pulmonary valve replacement.

Supplemental Tables 1.4 and 1.3 provide information about the basic demographics, baseline anatomy, possible palliation, type and age of TOF repair, reintervention (if any), and the type of prosthesis in each patient.

Data of healthy subjects were obtained from a population study [17] that contained the values (weighted means) of RV and PA end-systolic pressures (ESPs), RV end-diastolic volume (EDV) and end-systolic volume (ESV), and RV myocardial mass.

### 1.2.2 Biomechanical model

The biomechanical heart model of reduced order [18; 19] was used. While the geometry and kinematics of RV are reduced, the constitutive mechanical laws are preserved as in the full 3D model [20] (Fig. 1.2). Specifically, myocardial tissue was modeled by a viscoelastic material with active contractile component representing the actin-myosin interaction (consistent with the sliding filament theory by A.F. Huxley [21; 22]). The myocardial internal stresses – passive (given by the tissue stiffness of the viscoelastic material) and active (generating the active shortening of the myocardial fibers leading to heart contraction) – are in equilibrium with the external loading (the pressure exerted on the endocardial surface) and inertia forces. The model of the RV was connected to a Windkessel model of the circulatory system [23], which consisted of proximal resistance and capacitance  $R_{\text{prox}}$ ,  $C_{\text{prox}}$  (representing the main and branch pulmonary arteries) with pressure  $P_{\text{ar}}$ , distal resistance and capacitance  $R_{\text{dist}}$ ,  $C_{\text{dist}}$  (representing the remaining pulmonary circulation) with pressure  $P_{\text{dist}}$ , and terminal venous pressure  $P_{\text{vs}}$ , as depicted in Figure 1.2. The detailed description of the model is provided in Supplemental Appendix, and rigorous formulations can be found in our previous work [18; 20; 24].

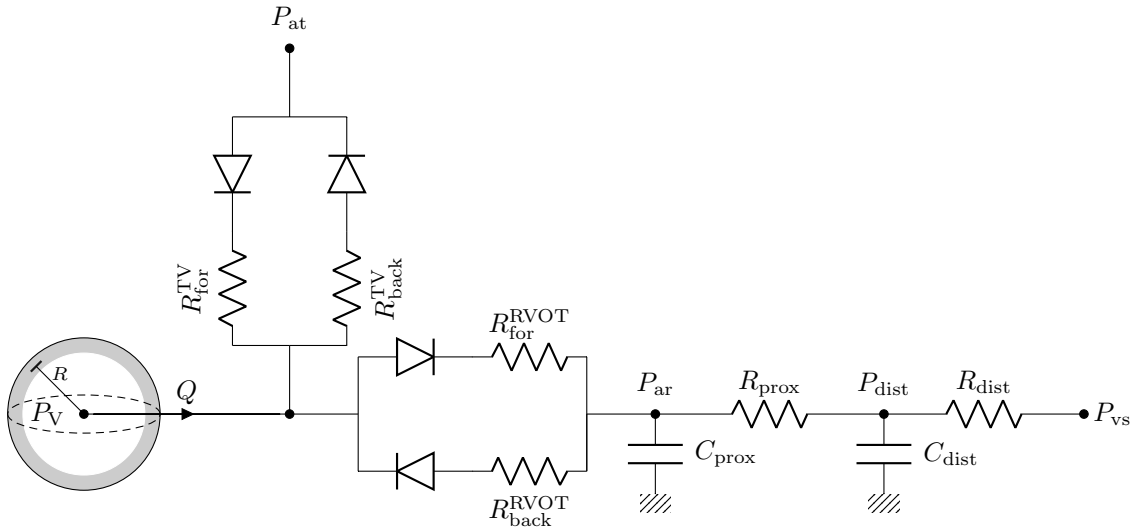


Figure 1.2: Model of right ventricle coupled with atrioventricular and arterial outflow valves and with circulation system represented by a Windkessel model.  $P_V$ ,  $P_{\text{at}}$ ,  $P_{\text{ar}}$ ,  $P_{\text{dist}}$ , and  $P_{\text{vs}}$  stand for pressures in ventricle, atrium, large arteries, distal circulation, and venous system, respectively,  $R_{\text{for}}^{\text{TV}}$ ,  $R_{\text{back}}^{\text{TV}}$ ,  $R_{\text{for}}^{\text{RVOT}}$  and  $R_{\text{back}}^{\text{RVOT}}$  are forward and backward resistances of the tricuspid valve and forward and backward resistances of the ventricular outflow tract, respectively, and  $R_{\text{prox}}$ ,  $R_{\text{dist}}$ ,  $C_{\text{prox}}$ , and  $C_{\text{dist}}$  are proximal and distal resistances and capacitances of the circulation.

### 1.2.3 Model calibration to data of individual patients

The biomechanical model of RV and pulmonary circulation was adjusted manually to the data of each individual patient by a sequential calibration [19]. The pulmonary Windkessel model was adjusted while imposing the measured PA flow. Using cine magnetic resonance imaging, we prescribed the wall thickness and the ventricular volume in reference configuration (when zero intraventricular pressure is assumed) [25]. The RV preload was prescribed according to the measured RV pressure in diastole. Passive myocardial properties (ie, myocardial stiffness) were calibrated so that the simulated EDV matched the measurement. RVOT was modeled as an outlet valve allowing the forward and regurgitant

flow [24] (with resistances  $R_{\text{for}}^{\text{RVOT}}$  and  $R_{\text{back}}^{\text{RVOT}}$ , respectively). The backward resistance  $R_{\text{back}}^{\text{RVOT}}$  was adjusted to match the backward flow waveform.  $R_{\text{back}}^{\text{RVOT}}$  was set to a high value if no PR was present (effectively zero backward conductance). Similarly, a negligible  $R_{\text{for}}^{\text{RVOT}}$  was used if no pathologic RVOTO was present. The tricuspid valve (TV) was modeled as an inlet valve with resistances  $R_{\text{for}}^{\text{TV}}$  and  $R_{\text{back}}^{\text{TV}}$ , respectively [26]. The myocardial contractility was adjusted according to the measured RV stroke volume (SV) and RV ESP. Physiologic assumptions of mechanochemical coupling of the actin-myosin complex in the sarcomere are translated into the mechanical system generating force – the active contractility – which combines with the passive viscoelastic properties of the tissue.

The post-PVR model was obtained by recalibrating the pre-PVR model with the aim to match the post-PVR pressure measurements. We preserved the passive myocardial properties, prescribed the preload as in the measurement,  $R_{\text{back}}^{\text{RVOT}}$  was set to its maximum (to eliminate PR) and  $R_{\text{for}}^{\text{RVOT}}$  adjusted to match the pressure difference between RV and PA. Finally, the contractility was adjusted so that the simulated RV ESP matched the data.

The mechanical parameters of these patient-specific models give an insight into the cardiovascular physiology of each patient. The simulated RV EDV and pulmonary flow after PVR were used to validate the model prediction against published data by Lurz et al. [27]. Further details of the model calibration, including quantitative values of parameters for each patient (Supplemental Table 1.5), are presented in the Supplemental Appendix 1.6.

### 1.2.4 Statistical analysis

Wilcoxon signed-rank tests were conducted for the changes in model-derived contractility and PA ESP at  $P < 0.05$ . Bland-Altman plots were constructed to evaluate the difference between simulated and measured functional indicators.

## 1.3 Results

### 1.3.1 Direct analysis of clinical data

The results of direct analysis of clinical data are summarized in Supplemental Table 1.3. Pre-PVR CMR revealed that 45% of patients had mild PR, with RF of 10%-30%, 15% of patients had moderate PR (RF 30%-40%), and 40% had severe PR (RF > 40%). Considering the RV size, 25% of patients had moderate RV dilation (EDV indexed to the body surface area, RV EDVi, 120-140 ml/m<sup>2</sup>) and 20% had moderate to severe RV dilation (RV EDVi 140-150 ml/m<sup>2</sup>), while the remaining patients had normal or mildly dilated RV. Finally, 30% of patients had moderate pulmonary stenosis, with the RV to PA pressure gradient of  $\geq 25$  mmHg. The degree of RVOTO was evaluated based on the ratio of RV to LV ESP, where ratios  $\geq 50\%$  and  $< 50\%$  were considered to represent high and low, respectively, degrees of RVOTO. The comparison of RV SV and outflow volume  $Q_{\text{for}}$  revealed mild TR in 4 patients.

The patients were divided into 3 groups according to the level of RVOTO and PR: low degree of RVOTO and at least moderate PR (group A); high degree of RVOTO and mild PR (group B); and high degree of RVOTO and at least moderate PR (group C). Groups A-C contained 9, 8, and 3 patients, respectively.

### 1.3.2 Model-derived ventricular contractility

We successfully calibrated the model for all patients before and after PVR. Figure 1.3 and Supplemental Figures 1.8-1.10 show the simulated cardiac cycles compared with data in

selected patients. Bland-Altman plots in Figure 1.4 and quantitative summary in Table 1.1 show the mean bias between the simulations and measurements before and after PVR.

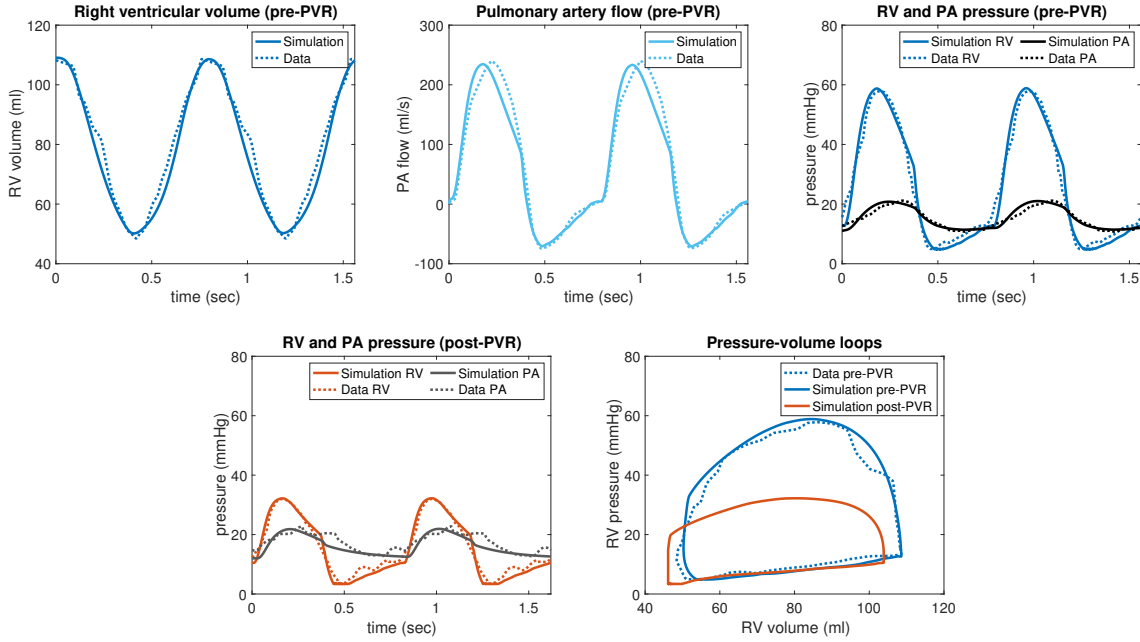


Figure 1.3: Measured data (dashed lines) and simulation (solid lines) for patient 16. PA, pulmonary artery; PVR, pulmonary valve repair; RV, right ventricle.

Table 1.1: Bland-Altman quantitative statistics summarizing the mean bias  $\pm$  standard deviation and limits of agreement (95% confidence intervals) between simulations and measurements. EDVi, end-diastolic volume indexed to body surface area; ESP, end-systolic pressure; ESVi, end-systolic volume indexed to body surface area; PA, pulmonary artery; PVR, pulmonary valve replacement;  $Q_{\text{back}}$ , backward flow;  $Q_{\text{for}}$ , forward flow; RV, right ventricle.

	mean $\pm$ SD	95%-confidence interval
Pre-PVR		
RV EDVi (ml/m <sup>2</sup> )	0.01 $\pm$ 0.04	-0.08 to 0.01
RV ESVi (ml/m <sup>2</sup> )	-0.77 $\pm$ 1.41	-3.53 to 2.00
RV ESP (mmHg)	0.06 $\pm$ 1.10	-2.11 to 2.23
PA ESP (mmHg)	-0.08 $\pm$ 0.95	-1.93 to 1.78
PA $Q_{\text{for}}$ (ml/cardiac cycle)	3.00 $\pm$ 5.40	-7.60 to 13.70
PA $Q_{\text{back}}$ (ml/cardiac cycle)	0.01 $\pm$ 5.00	-10.20 to 10.22
Post-PVR		
RV ESP (mmHg)	-0.05 $\pm$ 0.60	-1.23 to 1.13
PA ESP	0.41 $\pm$ 0.67	-0.90 to 1.72

Calibrating the model by using the data from a healthy population revealed the contractility of healthy RV to be in the range of 38-48 kPa. The values of RV contractility in all patients (assessed by models calibrated to pre- and post-PVR data) are plotted against RV ESP in Figure 1.5A. Pre- and post-PVR median contractilities were 66 and 51 kPa, respectively. Figure 1.5B shows a strong positive correlation ( $R^2 = 0.95$ ;  $P < 0.001$ ) between the RV ESP and contractility rescaled by the ratio of myocardial wall thickness

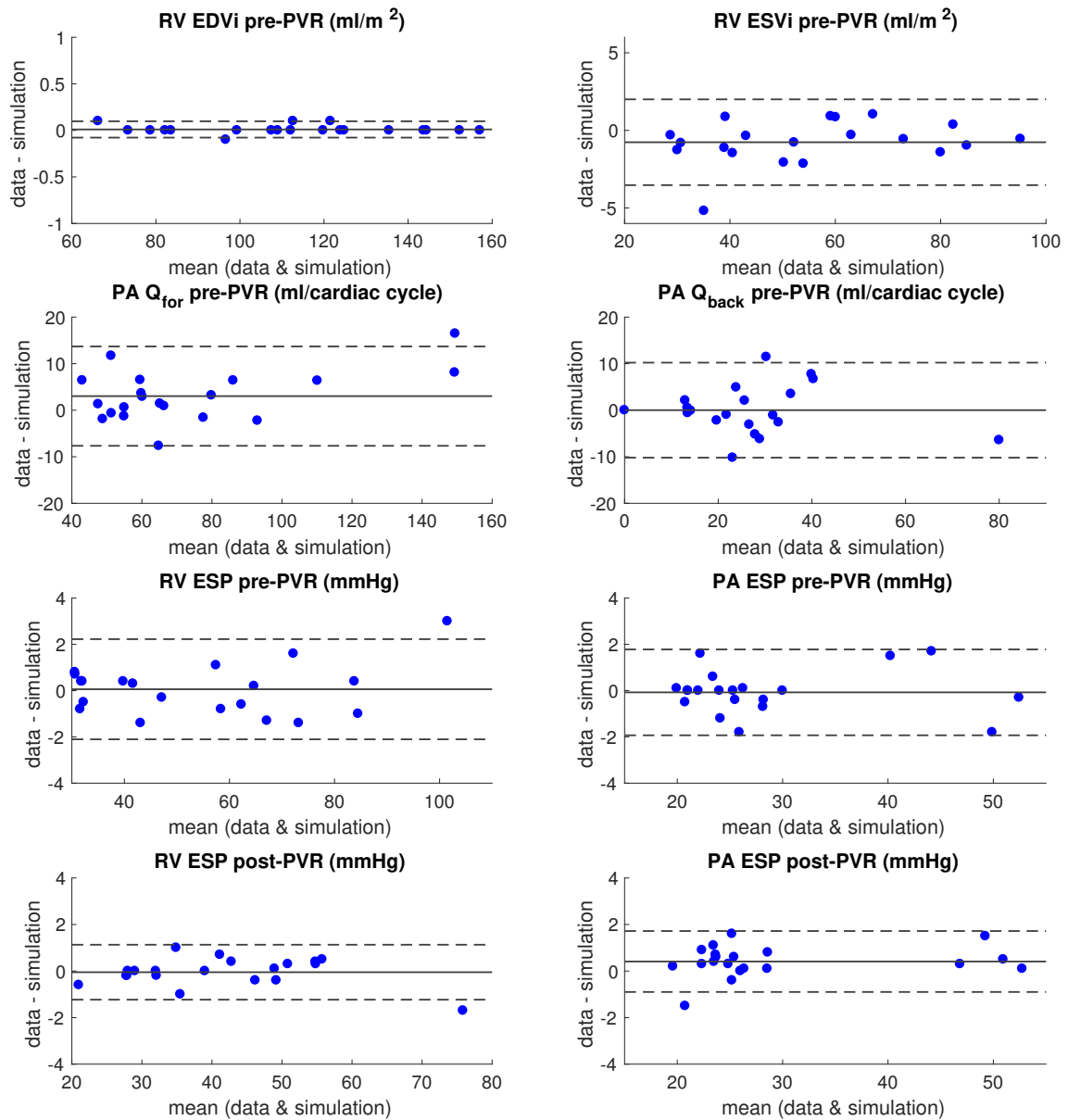


Figure 1.4: Bland-Altman plots for RV EDVi, ESVi, PA  $Q_{\text{for}}$ ,  $Q_{\text{back}}$ , RV ESP, PA ESP (all lines represent the mean of the difference between data and simulation, top and 95% prediction interval ( $\pm 1.96$  times SD)). EDVi, end-diastolic volume indexed to body surface area; ESP, end-systolic pressure; ESVi, end-systolic volume indexed to body surface area; PA, pulmonary artery; PVR, pulmonary valve replacement;  $Q_{\text{back}}$ , backward flow;  $Q_{\text{for}}$ , forward flow; RV, right ventricular.

over ventricular radius. Stroke work was calculated as the area encompassed within the simulated ventricular pressure-volume (P-V) loops and is plotted against RV ESP in Figure 1.5C. Pre- and post-PVR median stroke works were 327 and 233 mJ, respectively. The stroke works obtained from the healthy subjects were in the range of 103-150 mJ. The values of mean contractility and stroke work for each group, relative to the maximum values of the normal population, are presented in Table 1.2.

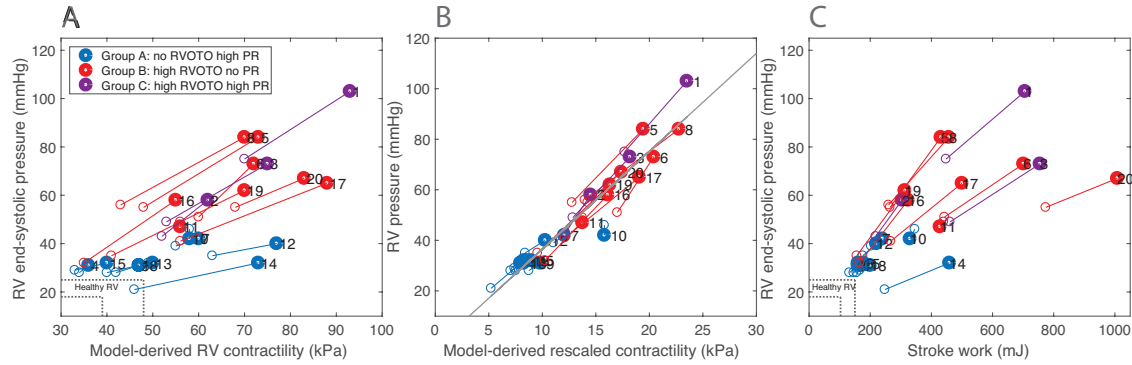


Figure 1.5: Model-derived right ventricular (RV) (A) contractility and (C) stroke work for each patient. Filled and empty circles correspond to pre- and post-PVR values, respectively. The number labels of the circles correspond to individual patients. The values for healthy RVs are represented by the area encompassed within the dashed lines. (B) RV contractility rescaled by the ratio of myocardial wall thickness over ventricular chamber radius against RV end-systolic pressure, where gray line is Pearson correlation with  $R^2 = 0.95$  and  $P < 0.05$ . PR, pulmonary regurgitation; PVR, pulmonary valve replacement; RVOTO, right ventricular outflow tract obstruction.

Table 1.2: Median right ventricular (RV) contractility and relative contractility compared with normal RV contractility (48 kPa), and median RV stroke work and relative stroke work compared with normal RV stroke work (150 mJ). Group A: no right ventricular outflow tract obstruction (RVOTO) and high pulmonary regurgitation (PR), group B: high RVOTO and no PR, group C: high RVOTO and high PR. Significance is assumed at  $P < 0.05$ .

	Contractility (kPa)		Contractility with respect to normal		Stroke work (mJ)		Stroke work with respect to normal	
	Pre-PVR	Post-PVR	Pre-PVR	Post-PVR	Pre-PVR	Post-PVR	Pre-PVR	Post-PVR
Group A	50	46 (p=0.008)	4%	0%	200	166 (p=0.027)	34%	10%
Group B	71	52 (p=0.016)	48%	8%	444	266 (p=0.016)	196%	77%
Group C	75	53 (p=0.250)	56%	10%	706	448 (p=0.250)	370%	199%
All patients	66	51 (p=0.000)	38%	6%	327	233 (p=0.000)	118%	55%

### 1.3.3 Post-PVR changes in RV EDV and effective PA flow

The patient-specific post-PVR models were used to assess the changes of RV volumes and PA flow after PVR based on captured post-PVR invasive pressures. Figure 1.6 shows the model-derived changes of RV EDVi and PA  $Q_{eff}$ . The average EDP decrease in our cohort was lower than that in the study by Lurz et al. [27]: 14.2% vs 26.5%. Consequently, the average EDV decrease was also lower: 3% decrease suggested by the model vs 12% reported by Lurz et al. The model suggested an increase of PA  $Q_{eff}$  after PVR, which fell between the pre-PVR values of  $Q_{for}$  and  $Q_{eff}$  – in line with Lurz et al.

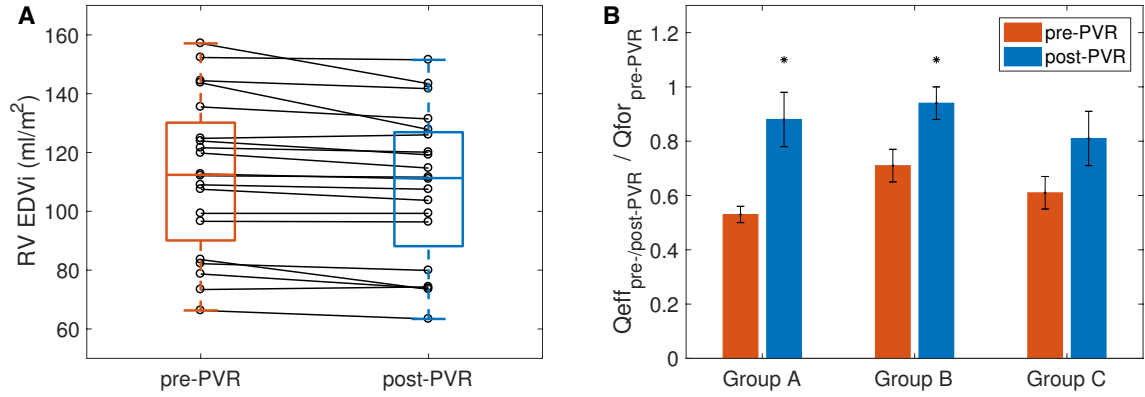


Figure 1.6: (A) Model-predicted post-pulmonary valve replacement (PVR) changes in right ventricular end-diastolic volumes indexed to body surface area (EDVi). Central line inside each box indicates the median, and the bottom and top edges of the boxes show 25th and 75th percentiles, respectively. Black circles connected by black lines show the EDVi change from before to after PVR for individual patients. (B) Post-PVR model-predicted change in effective flow ( $Q_{\text{eff}}$ ) in each patient group. Orange bars:  $Q_{\text{eff,pre-PVR}}/Q_{\text{for,pre-PVR}}$  corresponding to the complement of regurgitation fraction ( $1 - Q_{\text{back}}/Q_{\text{for}}$ ), where  $Q_{\text{for}}$  and  $Q_{\text{back}}$  are forward and backward flows, respectively. Blue bars:  $Q_{\text{eff,post-PVR}}$  (model) is scaled by  $Q_{\text{for,pre-PVR}}$  (data) (consistently with the orange bars) to demonstrate model-predicted significant increase of  $Q_{\text{eff,post-PVR}}$ . Note that model-derived  $Q_{\text{eff}}/Q_{\text{for}} = 1$  for post-PVR (owing to the assumption of zero pulmonary regurgitation post-PVR). Asterisks indicate significant difference at  $P < 0.05$ .

## 1.4 Discussion

This study applied patient-specific biomechanical modeling on a group of patients with rTOF indicated for PVR. We aimed to assess whether the proposed data-model coupling framework provides any additional clinical indicators of the effects of PVR.

CMR- and pressure-derived clinical indicators suggested 3 groups of patients based on the grade of PR and severity of RVOTO. The patient-specific models created separately for pre- and post-PVR physiology revealed that PVR triggered an immediate adaptation of RV contractility for a majority of patients, with a decrease of the median contractility by 23%. Table 1.2 reveals that the patients with a high degree of RVOTO showed a larger contractility decrease (groups B and C) and the contractility of all patients decreased close to the normal values (Table 1.2 and Figure 1.5).

The stroke work represents the mechanical energy generated by the ventricle during a heartbeat. The analysis of P-V loops suggested that even though the patients with a high degree of RVOTO (groups B and C) experienced a significant decrease of the median stroke work (Table 1.2), the actual stroke work after PVR remained elevated owing to a limited decrease of RV pressure after PVR. The patients with predominantly PR and a low degree of RVOTO (group A) showed a decrease of the median stroke work by 17%. The resulting stroke work was only  $\sim 10\%$  above normal values (Table 1.2), thanks to the normal RV pressure after PVR.

The strong correlation between the ventricular pressure and the rescaled contractility is in line with Laplace's law of myocardial wall stress being directly proportional to the level of pressure developed in the chamber for a given geometry during ventricular systole. Thus, before PVR we observed higher levels of contractility in patients with increased RV systolic pressure and in those with dilated RVs (patients 12 and 14 from group A). The RV contractility decreased after PVR primarily owing to the release of RVOTO. The sole effect of regurgitation on the system was visible in the patients from group A. The median



contractility and stroke work in that group appeared to be only up to 4% and 34% higher, respectively, than a range of reference healthy RV contractility and stroke work (Table 1.2). This suggests that, in infants with TOF with borderline pulmonary valve annulus, inserting a transannular patch might be preferential, because the RV is likely to well tolerate the created PR. However, 2 patients with the most dilated RVs (RV EDVi > 140 mL/m<sup>2</sup>) appeared to be the outliers, with pre-PVR contractilities of 77 and 73 kPa (patients 12 and 14, respectively). It is likely that before PVR, the majority of patients in group A had been preserving their cardiac output at moderately elevated myocardial stresses. Those with dilated RVs had been progressively becoming less efficient and had elevated metabolic demands. Therefore, our model revealed that the myocardial contractility was substantially increased in the patients with dilated RVs before PVR. However, the critical range of RV dilation is unclear from this study and is the subject of our ongoing work. Furthermore, we showed that the greatest reduction of contractility occurred because of removal of RVOTO, while removing the regurgitation itself did not lead to a significant immediate decrease of contractility in the majority of patients.

Not having post-PVR CMR prevented us from performing a detailed validation of our post-PVR models. However, a partial validation was possible thanks to the study by Lurz et al. [27], in which CMR was performed immediately after the intervention on the pulmonary valve. Our models showed a decrease of RV EDV for patients experiencing a decrease in RV EDP and an increase of PA  $Q_{\text{eff}}$  after PVR, both of which qualitatively match with the study of Lurz et al. The lower decrease of EDP (as measured in our patients compared with those of Lurz et al.) explains the lower EDV decrease in our group of patients.

#### 1.4.1 Limitations

A number of limitations should be addressed. First, an assumption of spherical RV might have overestimated the contractility because the spherical shape is mechanically more efficient than a crescent shape. Figure 1.7 demonstrates the effect of interobserver variability in estimating RV myocardial mass: The difference between pre- vs post-PVR contractility were preserved in a relative sense, and this would be expected also if an accurate RV geometry was used. It is expected that the active stress developed in various parts of the RV varies (eg, inflow part may be very contractile, while the outflow will be hypo- or dyskinetic due to the passive transannular patch). Such a heterogeneity cannot be captured by our simplified model. However, our pilot study demonstrates that using such a modeling approach is directly feasible in the clinical setup. The level of complexity allows medical doctors to set up the patient-specific models. This may facilitate launching a large-scale and multisite clinical study counting hundreds of cases, which would be out of reach for a number of complex models.

Percutaneous PVR is mostly not used in patients with very dilated RVs and RVOTs, who rather undergo surgical PVR. In this pilot study we took advantage of the accessibility of measured pressures after PVR. However, we acknowledge that the population in this study was biased and did not allow for general conclusions regarding relative impact of PR and pulmonary stenosis over the whole range of this patient population. In a future study, we aim to use an estimation of RVOT pressure gradient based on the measured flow profile through the RVOT [28] and the estimated RV end-diastolic pressure according to the right atrial volume and flow through the tricuspid valve [29].

Another important concern is the time period of 4-6 months between the acquisition of CMR and catheterization data in this proof-of-concept work. The progressive RV remodeling might have caused an underestimation of the current RV volumes, which may in turn affect the predicted model-derived properties. Finally, the validation of our post-PVR

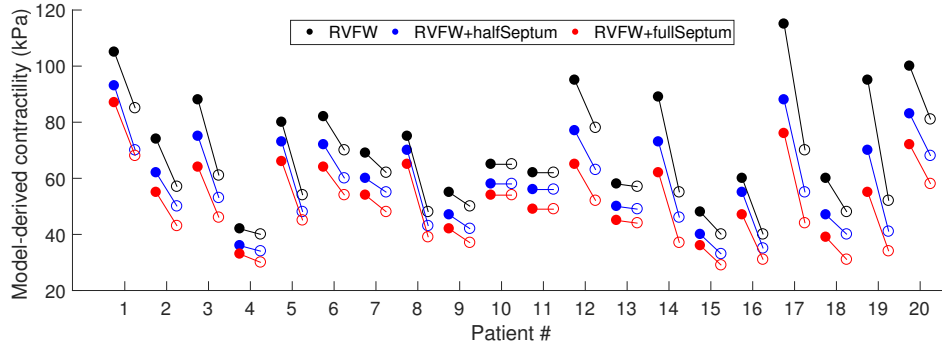


Figure 1.7: Sensitivity of the model-derived contractility to the variation of right ventricular (RV) mass. Pre- and post-pulmonary valve replacement (PVR) contractilities (filled and empty points, respectively) were calibrated for the following type of mass input for each patient: RV mass represented by RV free wall (RVFW; black points), RVFW mass increased by the mass of half septum mass (blue points), and RVFW with full septum (red points). Solid lines between the points show the decrease of the model-derived contractility after PVR.

model was limited by the absence of post-PVR CMR. In the future we aim to perform an additional CMR within 48 hours after PVR, which would be plausible for most patients. RV electromechanical dyssynchrony is another major pathophysiologic factor that can lead to further pathologic RV remodeling. Cardiac models with a detailed electrical component provide perspective in optimizing RV cardiac resynchronization therapy [30], and modeling could even contribute to the decision making of a possible combination of PVR and RV cardiac resynchronization therapy.

#### 1.4.2 Future perspectives

In addition to RV contractility at rest, a reduced exercise capacity may play a significant role in the response to PVR and will be studied [14]. The present work demonstrates an application of models capturing an immediate state of the cardiovascular system to inform about the current physiologic state without explicitly considering the previous progress of ventricular remodeling. Models of long-term evolution [31; 32] have the potential to include information about the initial state of pathology, type of repair, and the evolution throughout the life of patient (Supplemental Table 1.4). They could also be useful in better understanding the reverse remodeling after PVR – a crucial step in predicting the long-term effect of PVR and subject of our ongoing research [33; 34]. Advanced imaging techniques such as the assessment of myocardial fiber directions by magnetic resonance diffusion tensor imaging<sup>35</sup> could be considered in the future.

Even though the present study demonstrated a substantially increased work that the RV must exert in patients with residual RVOTO and therefore favors transannular patch in the case of borderline annulus size, we are aware that our proof-of-concept modeling study cannot have immediate implications on patient care. Furthermore, it is known that a mild-to-moderate stenosis in the early postoperative period may spontaneously regress and the strategy of preservation of annular function may be compatible with long-term relief of RVOTO.

## 1.5 Conclusion

Combination of computational models and clinical data is feasible in a cohort of patients with rTOF. The present study quantifies the level of RV overload with chronic valvular

disease and how the level of overloading decreases after intervention on the valve. Though not directly predicting who should undergo PVR and who should wait, we think our physiologic finding is of clinical interest as a step toward optimal clinical evaluation and management of patients with valvular heart disease. Furthermore, the need to aim for long-term relief of RVOTO is highlighted, perhaps prejudicing the initial surgical approach. Predictions based on coupling clinical data and biomechanical models have the potential to become part of clinical assessment to contribute to optimizing and personalizing the clinical management of every patient.

## 1.6 Supplemental Appendix. Additional Methodology

### Detailed clinical information

Supplementary Table 1.3 shows patients' demographics and direct analysis of clinical data. Supplementary Table 1.4 summarizes clinical details about patients included in the study, namely the complicating features of the baseline anatomy, palliation (if any), type of repair, age of repair, possible re-intervention prior to the pulmonary valve replacement (PVR) and the type prosthesis used in percutaneous PVR (related to this study).

### Description of the model

The model used in the present study is a biomechanical model of single heart cavity [18; 19] with the preload imposed from the measured pressure data in diastole, and connected to a Windkessel model of circulatory system [23] (schematics of the model is shown in Figure 1.2 of the main article body). The geometry and kinematics of the ventricle are reduced to a sphere with inner radius  $R$  and wall thickness  $d$ , while constitutive mechanical laws are preserved as in the full 3D heart model described in [24] and [20].

The displacement of the sphere in radial direction  $y$  and the intraventricular pressure  $P_V$  are the principal unknowns that the model is solving for. The variation of ventricular volume during the cardiac cycle and systolic wall thickening is then directly derived from the radius  $R$  (from the center of the cavity to the middle of ventricular wall (see Figure 1.2):

$$V = \frac{4}{3}\pi \left(R - \frac{d}{2}\right)^3, \quad (1.1)$$

where

$$R = R_0(1 + e_{\text{fib}}), \quad d = d_0(1 - e_{\text{fib}})^{-2}, \quad e_{\text{fib}} = (R - R_0)/R_0, \quad (1.2)$$

with  $R_0$  and  $d_0$  being the ventricular radius and wall thickness at reference (stress-free) configuration.

The multiscale formulation of the model allows to capture the behavior of actin-myosin interaction at the fiber level and translate it into the whole organ performance [24; 20]. The constitutive behavior of myocardium is represented by a Hill-Maxwell rheological model [35]. It contains an active contractile component, that represents sliding of the myosin heads along actin filament, and a visco-elastic component accounting for the visco-elastic properties of surrounding connective tissue (collagen-rich components in myocardium). Physiological assumptions of mechano-chemical coupling of the actin-myosin complex in the sarcomere are translated into the mechanical system generating force. It was originally developed by A. F. Huxley [21] (see also recent work [22]). All myosin filaments in the sarcomere unit are considered as a series of springs with certain energy. The following system of ordinary differential equations describes the active stress ( $\tau_c$ ) and active stiffness ( $k_c$ ) produced in each actin-myosin unit (by all myosin springs) at certain sarcomere extension ( $e_{\text{fib}}$ ):

$$\begin{cases} \dot{k}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)k_c + n_0(e_{\text{fib}})k_0|u|_+ \\ \dot{\tau}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)\tau_c + \dot{e}_{\text{fib}}k_c + n_0(e_{\text{fib}})\sigma_0|u|_+, \end{cases} \quad (1.3)$$

where  $u(t)$  is an electrical activation function that represents intracellular calcium concentration levels and induces contraction (when  $u > 0$ ) or relaxation. The maximum value of  $u$  was taken as  $35 \text{ s}^{-1}$  [36] and the minimum negative value within the range of  $-100$  to  $-20 \text{ s}^{-1}$  (depending on the rate of ventricular pressure decay in early relaxation).

The function  $n_0(e_{\text{fib}}) \in [0, 1]$  is the fraction of recruited myosin heads at a given sarcomere extension  $e_{\text{fib}}$  representing the Frank-Starling law [20]. The parameter  $\alpha$  is a bridge destruction rate upon rapid change in length in the sarcomere [20]. The parameter  $\sigma_0$  is the asymptotic active stress developed by the sarcomere during systole at a given inotropic level and under optimal fiber extension (when Frank-Starling function  $n_0 = 1$ ). This parameter is referred in this work as the myocardial contractility.

The elastic component of the myocardium is modeled by the passive constitutive law inspired from [37] (see also detailed analysis in [38]) in the form of the exponential hyperelastic potential

$$W_e = C_0 e^{C_1(J_1-3)^2} + C_2 e^{C_3(J_4-1)^2}, \quad (1.4)$$

with material constants  $C_i$ ,  $i \in \{0, 1, 2, 3\}$ , representing the myocardial passive properties. They account for the behavior of ventricle when a given preload (internal pressure) is imposed – the so-called end-diastolic pressure-volume relationship (EDPVR). The reduced invariants of the right Cauchy-Green strain tensor  $\underline{\underline{C}}$  are given by  $J_1 = I_1 I_3^{-\frac{1}{3}}$  and  $J_4 = I_4 I_3^{-\frac{1}{3}}$ , where  $I_1 = \text{tr}(\underline{\underline{C}})$ ,  $I_3 = \det(\underline{\underline{C}})$ ,  $I_4 = \tau_1 \cdot \underline{\underline{C}} \cdot \tau_1$  (with  $\tau_1$  being the unit vector in myocardial fiber direction). The overall dynamics is described by a Lagrangian formulation, where the aforementioned Cauchy-Green deformation tensor  $\underline{\underline{C}}$  and Green-Lagrange strain tensor  $\underline{\underline{e}}$  are defined by

$$\underline{\underline{C}} = \underline{\underline{F}}^T \cdot \underline{\underline{F}}, \quad \underline{\underline{e}} = \frac{1}{2}(\underline{\underline{C}} - \underline{\underline{I}}), \quad (1.5)$$

where  $\underline{\underline{F}} = \underline{\underline{I}} + \nabla \underline{\underline{y}}$  is the deformation gradient with  $\underline{\underline{y}}$  being the displacement with respect to the stress-free reference configuration ( $\underline{\underline{I}}$  stands for the identity tensor).

The viscous pseudo-potential is given by

$$W_v = \frac{\eta}{2} \text{tr}(\dot{\underline{\underline{e}}}), \quad (1.6)$$

where  $\eta$  is a viscous damping parameter.

The ventricle is connected with the circulation by coupling the pressures in the atrium, ventricle and outflow artery ( $P_{\text{at}}$ ,  $P_{\text{V}}$  and  $P_{\text{ar}}$ ) with the ventricular volume change  $\dot{V}$  (by inflow or outflow of blood). The inflow via the tricuspid valve (TV) and outflow via the right ventricular outflow tract (RVOT) are controlled by diodes [24], which allow forward as well as pathological regurgitant backward flows (we recall Figure 1.2). These valve components allow to model pathological stenosis by increasing the resistance to forward flow  $R_{\text{for}}^{\text{TV}}$  or  $R_{\text{for}}^{\text{RVOT}}$  for TV or RVOT, respectively (which have minimal resistance under physiological conditions). Likewise, the regurgitation is modeled by decreasing the backward resistance  $R_{\text{back}}^{\text{TV}}$  or  $R_{\text{back}}^{\text{RVOT}}$  (for TV or RVOT, respectively), while very high resistances are used under physiological non-regurgitant regime (practically, zero back-conductance). The overall ventricular volume change  $\dot{V}$  by inflow or outflow of blood is given by [24]:

$$\begin{cases} \dot{V} = \frac{(P_{\text{at}} - P_{\text{V}})}{R_{\text{for}}^{\text{TV}}} + \frac{(P_{\text{ar}} - P_{\text{V}})}{R_{\text{back}}^{\text{RVOT}}} & , \text{ when } P_{\text{V}} \leq P_{\text{at}} & (\text{filling of ventricle}) \\ \dot{V} = \frac{(P_{\text{V}} - P_{\text{at}})}{R_{\text{back}}^{\text{TV}}} + \frac{(P_{\text{ar}} - P_{\text{V}})}{R_{\text{back}}^{\text{RVOT}}} & , \text{ when } P_{\text{at}} \leq P_{\text{V}} \leq P_{\text{ar}} & (\text{isovolumic contraction}) \\ \dot{V} = \frac{(P_{\text{ar}} - P_{\text{V}})}{R_{\text{for}}^{\text{RVOT}}} + \frac{(P_{\text{at}} - P_{\text{V}})}{R_{\text{back}}^{\text{TV}}} & , \text{ when } P_{\text{V}} \geq P_{\text{ar}} & (\text{ejection}). \end{cases} \quad (1.7)$$

Finally, the peripheral circulation is represented by a two-stage Windkessel model [23] containing proximal resistance and capacitance  $R_{\text{prox}}$ ,  $C_{\text{prox}}$  (representing the main and branch pulmonary arteries, with pressure  $P_{\text{ar}}$ ), and distal resistance and capacitance  $R_{\text{dist}}$ ,

$C_{\text{dist}}$  (representing the remaining pulmonary circulation, with pressure  $P_{\text{dist}}$  and terminal venous pressure  $P_{\text{vs}}$ ), as depicted in Figure 2. The Windkessel system reads:

$$\begin{cases} C_{\text{prox}}\dot{P}_{\text{ar}} + (P_{\text{ar}} - P_{\text{dist}})/R_{\text{prox}} = Q \\ C_{\text{dist}}\dot{P}_{\text{dist}} + (P_{\text{dist}} - P_{\text{ar}})/R_{\text{prox}} = (P_{\text{vs}} - P_{\text{d}})/R_{\text{dist}}. \end{cases} \quad (1.8)$$

## Model calibration

This section describes the model calibration procedure.

### I Model calibration prior to pulmonary valve replacement

- 1 The pulmonary Windkessel model was adjusted independently of the heart model. The forward flow was imposed from the measured data into the two-stage Windkessel system, and proximal and distal resistance and capacitance elements were adjusted to match the arterial pressure waveform in the data.
- 2 The geometrical parameters for the heart model were obtained from the end-diastolic time frame of the short axis cine magnetic resonance image (end-diastolic volume and the right ventricular myocardial mass). The volume at reference configuration ( $V_{\text{ref}}$ ), when zero intraventricular pressure is assumed, was prescribed using the phenomenological relation obtained experimentally in [25]. The volume  $V_{\text{ref}}$  (in ml) is given by

$$V_{\text{ref}} = EDV(0.6 - 0.006EDP),$$

with  $EDV$  being the measured end-diastolic volume in (ml) and  $EDP$  the measured end-diastolic ventricular pressure (in mmHg). The right ventricular (RV) mass was considered as the mass of the RV free wall increased by half of the interventricular septum. In the simplified geometry of the model, the measured RV mass directly provided the myocardial wall thickness at reference configuration of the model ( $d_0$ ).

- 3 To calibrate the passive properties of myocardium (given by hyperelastic potential Eq. (1.4)), we followed [19] and used coefficients  $C_0 = 665$  Pa,  $C_1 = 2.4$  Pa,  $C_2 = 103$  Pa and  $C_3 = 5.5$  Pa (which were obtained to fit the experimentally measured end-diastolic pressure-volume relationship to a reference healthy human data). The passive potential Eq.(1.4) was then multiplied by a “stiffness multiplication factor” aiming for the simulated end-diastolic volume to match the measurement, once the measured end-diastolic pressure (preload) was imposed.
- 4 To calibrate the resistances  $R_{\text{for}}^{\text{RVOT}}$  and  $R_{\text{back}}^{\text{RVOT}}$ , the pulmonary Windkessel model was connected to the heart model. The forward resistance  $R_{\text{for}}^{\text{RVOT}}$  was adjusted to match the measured difference between RV and pulmonary artery (PA) end-systolic pressures. The initial guess (pre-calibration) of the value of  $R_{\text{for}}^{\text{RVOT}}$  was made by taking

$$R_{\text{for}}^{\text{RVOT}} = (\bar{P}_V - \bar{P}_{\text{ar}})/Q_{\text{for}},$$

with  $\bar{P}_V$  being the average RV pressure during systole,  $\bar{P}_{\text{ar}}$  the average PA pressure during systole and  $Q_{\text{for}}$  the forward flow in PA. The backward resistance was adjusted to match the backward flow waveform in the data (decreased  $R_{\text{back}}^{\text{RVOT}}$  value, if pulmonary regurgitation was present). After introducing the backflow into the system, the distal Windkessel parameters ( $R_{\text{dist}}$  and  $C_{\text{dist}}$ ) were readjusted so that the simulated pressure decay matched the acquired pulmonary pressure waveform.

- 5 The backward resistance of the tricuspid valve  $R_{\text{back}}^{\text{TV}}$  was adjusted in the patients with tricuspid regurgitation to match the level of regurgitation volume to the measurement [39].
- 6 The profile of the electrical activation function  $u$  in Eq. (1.3) was calibrated based on QRS and ST durations measured from the ECG.
- 7 The parameter of active contractility  $\sigma_0$  in Eq. (1.3) was adjusted to match RV stroke volume and end-systolic pressure.

## II Model calibration after pulmonary valve replacement

The datasets after pulmonary valve replacement (post-PVR) were limited to pressure waveforms of right-heart catheterization. In the absence of any post-PVR flow data, we assumed that non-significant pulmonary regurgitation was present after the intervention and excluded the possibility for the backflow by increasing the backward resistance  $R_{\text{back}}^{\text{RVOT}}$ . In addition, we assumed that the passive stiffness properties and reference volume ( $V_{\text{ref}}$ ) did not change immediately after PVR.

The ventricular preload was prescribed according to the RV pressure measurement post-PVR. The forward resistance of the  $R_{\text{for}}^{\text{RVOT}}$  was decreased to match the pressure gradient between RV and PA (which decreased due to elimination of RVOT obstruction) and the distal Windkessel parameters were modified to match the pressure decay of the post-PVR PA pressure waveform. Finally, the contractility  $\sigma_0$  was adjusted to match the peak of RV end-systolic pressure.

Supplementary Table 1.5 provides model parameters in each patient-specific models. Figures 3 (main body of article) and Supplementary Figures 1.8-1.10 display RV pre- and post-PVR calibrations for selected patients.

Automatization of the model setup, would allow the performance of a sensitivity analysis [40] and is a necessary step to translate the present proof-of-concept work into clinical practice.

## Model-derived relative stiffness

Supplementary Table 1.5 last column shows patient-specific tissue stiffness. The median stiffness in our cohort was 1.67 and in the healthy population [17] 1.10. The model-estimated tissue stiffness seem to reveal a stiffening of the right ventricular myocardium by around 50% above normal in the studied cohort. We remark that these results are preliminary due to the uncertainty in the estimation of reference volume in the right ventricle. Detailed study of passive tissue stiffness is out of scope of this work.

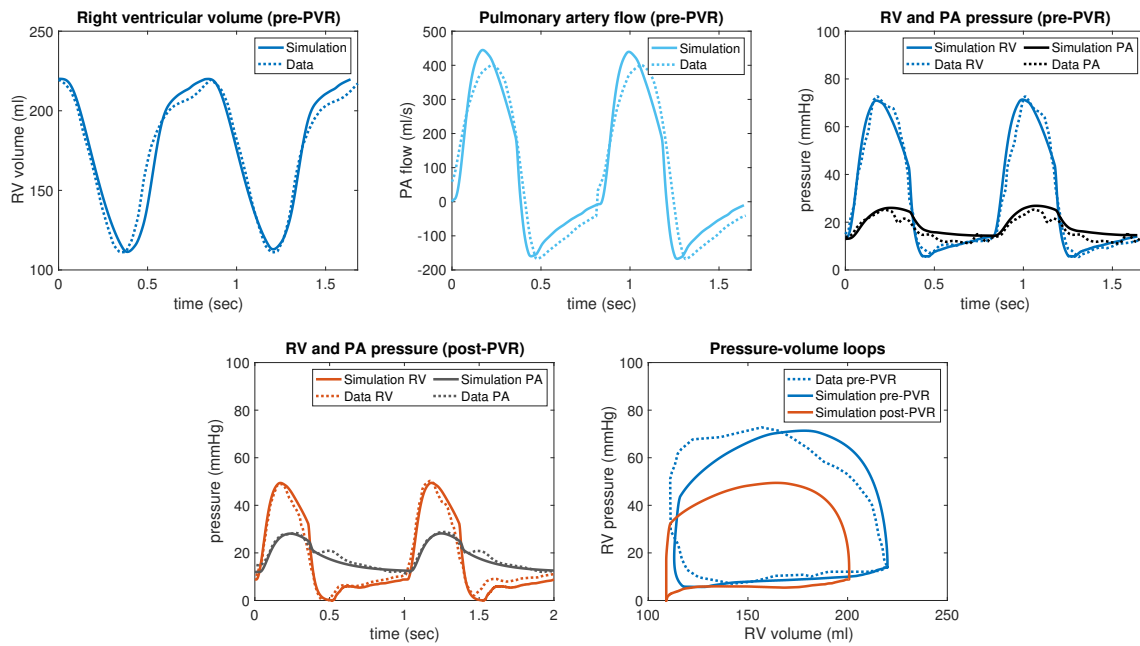


Figure 1.8: Measured data (dashed line) and simulation (solid line) for Patient #3.

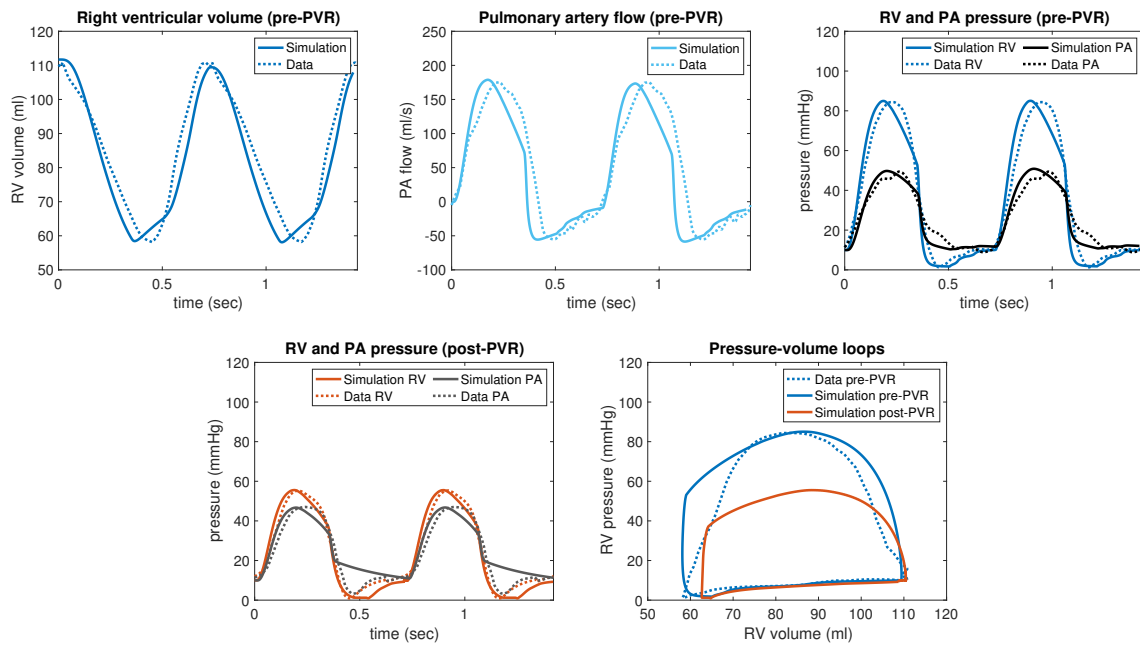


Figure 1.9: Measured data (dashed line) and simulation (solid line) for Patient #8.



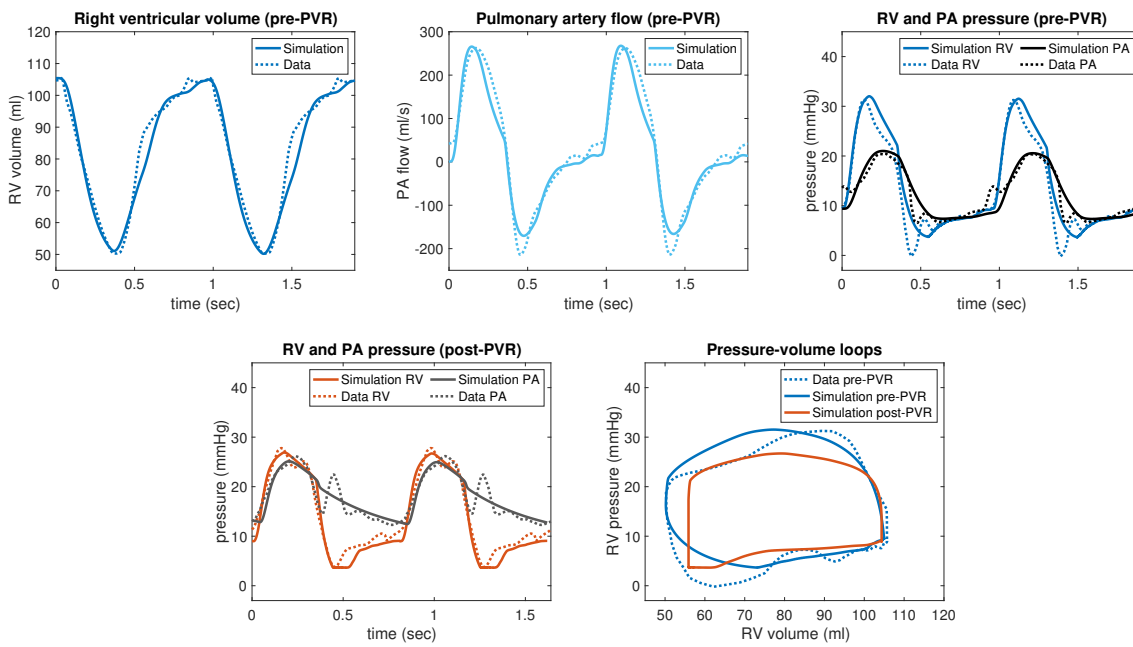


Figure 1.10: Measured data (dashed line) and simulation (solid line) for Patient #9.

Patient #	age year	sex	BSA m <sup>2</sup>	pre-PVR										post-PVR					Group
				RV FW mass g	Septum mass g	RV iEDV ml/m <sup>2</sup>	RV iESV ml/m <sup>2</sup>	RV EF %	PR %	TR %	LV ESP mmHg	RV ESP mmHg	PA ESP mmHg	QRSd ms	HR beats/min	RV ESP mmHg	PA ESP mmHg	QRSd ms	
1	12	F	1.21	70	26	136	83	39	47	-	-	103	45	0.147	58	75	50	C	
2	8	M	0.86	40	16	120	60	50	36	-	85	28	0.098	67	43	26	C		
3	13	M	1.4	85	41	157	79	50	39	-	90	25	0.147	59	49	29	C		
4	11	F	0.97	44	18	122	63	48	58	-	77	23	0.12	79	28	24	A		
5	9	F	0.99	70	20	144	95	34	29	-	91	49	0.098	86	55	51	B		
6	11	F	1.34	70	25	107	40	63	29	-	101	28	0.09	71	51	24	B		
7	8	M	0.87	33	12	113	40	65	46	10	69	24	0.126	68	39	25	A		
8	8	F	0.99	70	18	112	60	47	30	11	89	49	0.156	84	56	47	B		
9	11	F	0.97	35	18	109	52	53	59	-	76	20	0.145	75	28	26	A		
10	11	F	1.34	66	19	99	32	68	29	-	105	24	0.093	67	46	26	A		
11	17	M	2.23	69	28	73	29	61	0	18	87	21	0.151	61	49	24	B		
12	6	M	0.84	25	15	152	85	44	47	-	99	26	0.129	91	35	26	A		
13	8	M	1.18	31	13	97	49	49	46	-	-	25	0.115	86	32	25	A		
14	16	F	2.02	47	26	144	73	49	49	-	96	25	0.104	58	21	21	A		
15	26	F	1.69	47	19	79	38	51	35	10	93	24	0.133	68	29	24	A		
16	17	F	1.64	55	23	66	29	56	21	-	88	21	0.164	74	32	23	B		
17	15	M	1.52	40	28	84	30	64	24	-	76	22	0.11	79	41	22	B		
18	11	F	1.17	37	27	124	68	45	47	-	78	20	0.101	88	28	20	A		
19	13	F	1.29	33	29	82	43	48	29	-	86	30	0.135	76	35	29	B		
20	19	F	2.1	84	42	125	53	58	29	-	85	41	0.12	50	55	53	B		
Healthy	12		1.2	25	14	100	47	54	-	-	100	18-25	-	-	-	-	-		

Table 1.3: Demographics and patients' characteristics derived from measured data prior to and after pulmonary valve replacement (pre-PVR and post-PVR, respectively). HR: heart rate; LV, RV and PA ESP: LV, RV and pulmonary artery end-systolic pressures; PR: pulmonary valve regurgitation fraction; QRSd: duration of QRS complex measured in ECG; RVFW mass: right ventricular free wall mass; RV EDVi and ESVi: RV end-diastolic and end-systolic volumes indexed to body surface area (BSA); RV EF: RV ejection fraction; TR: tricuspid valve regurgitation fraction.

Patient number	Anatomical complicating features	Palliation	Type of repair	Age of repair (years)	Re-intervention/re-surgery	Type of prosthesis
1	Pulmonary atresia	BT shunt	Conduit	0.42	RPA angioplasty at 2 years	Melody PB10 20mm pulmonary valve at 12 years
2	Pulmonary atresia	–	Conduit	0.02	RPA angioplasty and conduit change at 6 months	Melody PB10 20mm pulmonary valve at 8 years
3	Pulmonary atresia	–	Conduit	0.04	–	Melody PB10 20mm pulmonary valve at 13 years
4	–	BT shunt	Transannular patch	0.75	–	Edwards Sapien 29mm XT valve at 11 years
5	DORV	BT shunt	Conduit	1.17	–	Melody PB10 20mm pulmonary valve at 9 years
6	–	–	Transannular patch	0.33	–	Edwards Sapien 26mm pulmonary valve at 11 years
7	Absent pulmonary valve		Conduit	0.02	Conduit replaced at 3 years	Edwards Sapien 23mm XT valve at 8 years
8	Pulmonary atresia	BT shunt	Conduit	1.17	Conduit replaced at 3 years	Melody PB10 20mm pulmonary valve at 8 years
9	–	–	Transannular patch	0.75	–	Edwards Sapien 29mm XT valve at 11 years
10	–	–	Transannular patch	0.33	–	Edwards Sapien 26mm pulmonary valve at 11 years
11	–	–	Conduit	0.83	Conduit replace at 3 years Bioprosthesis (8 years)	Melody PB10 20mm pulmonary valve at 17 years
12	–	–	Transannular patch	0.33	–	Edwards Sapien 23mm XT valve at 6 years
13	Pulmonary atresia	–	Conduit	0.02	–	Edwards Sapien 23mm XT valve at 8 years
14	–	–	Transannular patch	0.58	–	Edwards Sapien 23mm XT valve at 16 years
15	–	–	Conduit	0.58	Conduit revision at 12 years	Edwards Sapien 23mm XT valve at 26 years
16	Pulmonary atresia	–	Conduit	0.02	–	Melody 22mm pulmonary valve at 17 years
17	Pulmonary atresia	BT shunt	Conduit	0.75	–	Melody PB10 20mm pulmonary valve at 15 years
18	Pulmonary atresia	–	Conduit	0.02	–	Melody PB10 20mm pulmonary valve at 11 years
19	–	BT shunt	Conduit	0.17	Conduit replaced at 1 year and at 7 years	Melody PB10 20mm pulmonary valve at 13 years
20	–	–	Transannular patch	0.42		Melody 22mm pulmonary valve at 19 years

Table 1.4: Clinical details about patients included in the study. BT shunt, Blalock-Taussig shunt; DORV, double outlet right ventricle; RPA, right pulmonary artery.

Patient #	$R_{\text{for}}^{\text{RVOT}}$		$R_{\text{back}}^{\text{RVOT}}$	Contractility		Relative tissue stiffness
	pre-PVR ( $\times 10^6 \frac{\text{Pa}\cdot\text{s}}{\text{m}^3}$ )	post-PVR ( $\times 10^6 \frac{\text{Pa}\cdot\text{s}}{\text{m}^3}$ )	pre-PVR ( $\times 10^6 \frac{\text{Pa}\cdot\text{s}}{\text{m}^3}$ )	pre-PVR (kPa)	post-PVR (kPa)	pre-/post-PVR
1	43.0	18.1	35.0	93	70	1.72
2	22.0	11.4	30.3	62	52	1.63
3	14.0	7.7	9.2	75	53	1.74
4	7.1	4.3	10.0	36	34	1.56
5	27.0	3.8	50.0	73	48	1.58
6	18.0	11.5	18.2	72	60	1.68
7	11.0	11.0	7.7	60	55	1.58
8	27.0	7.8	25.0	70	43	1.49
9	6.9	1.5	5.0	47	42	1.65
10	6.3	6.3	18.0	58	58	1.78
11	11.0	11.0	$2 \cdot 10^5$	56	56	1.53
12	8.1	7.7	8.3	77	63	1.95
13	3.8	3.8	3.3	50	49	1.72
14	2.3	0.1	5.7	73	46	2.50
15	5.0	4.0	14.3	40	33	1.75
16	22.0	5.5	15.4	55	35	1.67
17	18.0	7.9	22.2	88	56	1.63
18	5.3	5.0	7.7	47	40	1.50
19	22.0	3.7	30.3	70	41	1.66
20	7.7	0.8	14.3	83	68	1.78
Healthy	0.1	-	$2 \cdot 10^5$	38-48	-	1.10

Table 1.5: Main patient-specific parameters of the model and their changes after pulmonary valve replacement (PVR).

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## CHAPTER 2

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# Biomechanical modeling to evaluate the evolution of myocardial mechanical properties under different loading conditions

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The purpose of this chapter was to explore the ability of the biomechanical model to predict the response of ventricular mechanics to the progressive decrease of the afterload in rTOF RVs that are predominantly affected by RVOTO. Patient-specific models pre-PVR created in chapter 1 were used, cessation of PV regurgitation and progressively decreasing RVOT resistance were assumed. Myocardial contractility was re-calibrated for the corresponding decrease in RVOT resistance. *In silico* relationships between the contractility and RVOT resistance post-PVR were built. The predictive value of these relationships was tested against the post-PVR models created from the actual post-PVR datasets in chapter 1. The resulting *in silico* relationships between contractility and RVOT resistance showed a linear form. *In silico* predicted contractility was in correspondence with post-PVR model-derived contractility. The chapter demonstrates that these relationships have the potential to inform clinicians about the hypothetical mechanical response of the ventricle based on the degree of pre-operative RVOTO.

### Contents

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<b>2.1</b>	<b>Introduction</b>	<b>61</b>
<b>2.2</b>	<b>Methods</b>	<b>61</b>
2.2.1	Patient-specific biomechanical models pre- and post-PVR	61
2.2.2	Post-PVR <i>in silico</i> prediction of contractility	63
2.2.3	Statistical analysis	64
<b>2.3</b>	<b>Results</b>	<b>64</b>
2.3.1	RV and PA peak systolic pressures in patient-specific models pre- and post-PVR	64
2.3.2	<i>In silico</i> prediction of right ventricular contractility	64
<b>2.4</b>	<b>Discussion</b>	<b>66</b>
<b>2.5</b>	<b>Appendix</b>	<b>69</b>

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# Prediction of Ventricular Mechanics After Pulmonary Valve Replacement in Tetralogy of Fallot by Biomechanical Modeling: A Step Towards Precision Healthcare

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## Abstract

Clinical indicators of heart function are often limited in their ability to accurately evaluate the current mechanical state of the myocardium. Biomechanical modeling has been shown to be a promising tool in addition to clinical indicators. By providing a patient-specific measure of myocardial active stress (contractility), biomechanical modeling can enhance the precision of the description of patient’s pathophysiology at any given point in time. In this work we aim to explore the ability of biomechanical modeling to predict the response of ventricular mechanics to the progressively decreasing afterload in repaired tetralogy of Fallot (rTOF) patients undergoing pulmonary valve replacement (PVR) for significant residual right ventricular outflow tract obstruction (RVOTO). We used 19 patient-specific models of patients with rTOF prior to pulmonary valve replacement (PVR), denoted as  $PSM_{pre}$ , and patient-specific models of the same patients created post-PVR ( $PSM_{post}$ )—both created in our previous published work. Using the  $PSM_{pre}$  and assuming cessation of the pulmonary regurgitation and a progressive decrease of RVOT resistance, we built relationships between the contractility and RVOT resistance post-PVR. The predictive value of such *in silico* obtained relationships were tested against the  $PSM_{post}$ , i.e. the models created from the actual post-PVR datasets. Our results show a linear 1-dimensional relationship between the *in silico* predicted contractility post-PVR and the RVOT resistance. The predicted contractility was close to the contractility in the  $PSM_{post}$  model with a mean ( $\pm$  SD) difference of 6.5 ( $\pm$  3.0)%. The relationships between the contractility predicted by *in silico* PVR vs. RVOT resistance have a potential to inform clinicians about hypothetical mechanical response of the ventricle based on the degree of pre-operative RVOTO.

**Keywords**— myocardial contractility, ventricular overload, valvular heart disease, biomechanical modeling, valve replacement

## 2.1 Introduction

After initial repair of tetralogy of Fallot (TOF) pulmonary regurgitation (PR) and/or residual right ventricular outflow tract obstruction (RVOTO) detrimentally affect ventricular mechanical properties in the long-term. A chronic right ventricular (RV) volume and/or pressure overload leads to RV dilatation and/or hypertrophy. At the extent of the myocardial ability to compensate, the RV remodeling become pathologic and RV systolic and/or diastolic function may deteriorate. To avoid decompensated RV remodeling or to allow the RV to reverse-remodel back to normal size, patients undergo a pulmonary valve replacement (PVR). Timing of PVR is critical. PVR is ideally performed as late as possible because of the limited lifespan of an implanted valve [1], but before the myocardium undergoes significant irreversible changes. The ability to most accurately evaluate the current mechanical state of the myocardium and subsequently predict optimal timing of PVR is a subject of ongoing research. The sensitivity and specificity of the current clinical guidelines for PVR are limited and in practice post-PVR RV reverse-remodeling occurs in only 60% of patients [2]. The level of PR, RV dilatation (end-diastolic volume typically indexed to body surface area), and RV ejection fraction are often considered as the main clinical indicators for PVR [3; 4]. A recent observational cohort study has demonstrated that greater RV hypertrophy and higher levels of RV systolic pressures are strongly associated with irreversible remodeling and/or sudden death in tetralogy of Fallot patients after surgical repair (rTOF) [5]. It is therefore important to understand both the independent and combined effect of RV dilation and hypertrophy triggering factors (PR and RVOTO, respectively) on the evolution of RV mechanics.

Biomechanical modeling has been shown to be a promising tool for modeling ‘*in silico*’ (a new term that has been coined to contrast to ‘*in vivo*’) myocardial function. The short-term models (capturing single or a few cardiac beats) have been shown to be useful in predicting short-term effects of Cardiac Resynchronization Therapy [6; 7; 8]. Biomechanical modeling has also been proposed to assess the effect of pulmonary vasodilatation therapy in Fontan patients with early-stage heart failure [9].

In our recently published retrospective study [10] we demonstrated the ability of a biomechanical model to provide information about the current mechanical state of the myocardium in late rTOF patients prior to and immediately after PVR, while using the clinical data obtained pre- and post-PVR. In the present work we would like to explore the ability of these patient-specific models created from the pre-PVR data ( $PSM_{pre}$ ) to predict the response of ventricular mechanics to PVR. Specifically, we aim to build a relationship between the myocardial contractility predicted *in silico* using  $PSM_{pre}$ , while decreasing the RVOT resistance (and subsequently decreasing RV-to-PA pressure difference, in clinical medicine often incorrectly called the pressure gradient). This will simulate the reduction of RVOTO during PVR when the valve is implanted. The predictive capability of such an *in silico* PVR will be assessed by comparing the predicted RV contractility with the contractility in the patient-specific models post-PVR ( $PSM_{post}$ ) as reported in our previous work [10], where  $PSM_{post}$  and the associated contractility values were obtained when using the catheterization data measured after the actual PVR.

## 2.2 Methods

### 2.2.1 Patient-specific biomechanical models pre- and post-PVR

The creation of  $PSM_{pre}$  and  $PSM_{post}$  of the studied cohort has been reported previously [10]. Here, we provide only a brief description. We used a biomechanical model of the RV

cavity with simplified geometry (spherical ventricle with inner radius  $R$  and wall thickness  $d$ ). The model developed by Caruel et al. [11] has been previously employed in various clinical studies [12; 13]. The constitutive mechanical properties of the myocardium are preserved as in the full 3D heart model [14].

The overall tissue-level mechanical behavior of the myocardium is described by a rheological model of Hill-Maxwell type [15] that allows to represent an active contractile component (actin-myosin unit) and a viscoelastic component (collagen-rich elements). The active behavior of the myocardium is modeled within A.F.Huxley's sliding filament theory [16; 17]. The myosin filaments within a sarcomere unit are considered as an element that produces active stress ( $\tau_c$ ) and active stiffness ( $k_c$ ) at certain sarcomere extension ( $e_{\text{fib}}$ ) with the following system of differential equations [18; 14]:

$$\begin{cases} \dot{k}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)k_c + n_0(e_{\text{fib}})k_0|u|_+ \\ \dot{\tau}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)\tau_c + \dot{e}_{\text{fib}}k_c + n_0(e_{\text{fib}})\sigma_0|u|_+, \end{cases} \quad (2.1)$$

where  $u(t)$  is an electrical activation function that is related to intracellular calcium kinetics and induces contraction (when  $u > 0$ ) or relaxation (when  $u < 0$ ) of the myocardium. The parameter  $\alpha$  is a bridge destruction rate upon rapid change in length in the sarcomere [14]. The function  $n_0(e_{\text{fib}}) \in [0, 1]$  is the representation of the Frank–Starling law that gives the fraction of recruited myosin heads at a given sarcomere extension ( $e_{\text{fib}}$ ) [14]. The parameters  $\sigma_0$  and  $k_0$  are the active stress and stiffness developed by the sarcomere during systole under optimal fiber extension ( $e_{\text{fib}}$ ), when  $n_0 = 1$ , reflecting the inotropic state [13]. The parameter  $\sigma_0$  will be further referred to as contractility. The elastic part of passive behavior of the myocardium is modeled by the constitutive law inspired by Holzapfel and Ogden [19; 20] in the form of the hyperelastic potential:

$$W_e = C_0 e^{C_1(J_1-3)^2} + C_2 e^{C_3(J_4-1)^2}, \quad (2.2)$$

where  $C_i$ ,  $i \in 0, 1, 2, 3$  are material constants that account for the passive behavior of the ventricle under imposed preload pressure. The calibration of  $C_i$  to the given end-diastolic pressure (EDP) and volume provides the patient-specific end-diastolic pressure volume relationship (EDPVR). The overall dynamics of the system are given by a Lagrangian formulation, where  $\underline{\underline{e}}$  is Green–Lagrange strain tensor and  $J_{1,4}$  are the reduced invariants of the right Cauchy–Green strain tensor ( $\underline{\underline{C}}$ ), that accounts for transversely isotropic behavior of the myocardium (for details see Refs. [11; 14; 20]).

The viscous part of the passive behavior is given by viscous pseudo-potential:

$$W_v = \frac{\eta}{2} \text{tr}(\underline{\underline{\dot{e}}}), \quad (2.3)$$

where  $\eta$  is a viscous damping parameter.

The ventricular cavity model was coupled with inlet and outlet valves to control the inflow from the tricuspid valve (TV) and outflow through the right ventricular outflow tract (RVOT). Both TV and RVOT were represented by a system of diodes with forward and backward resistances that allowed simulation of the required forward and backward flows in the corresponding compartments (Fig. 2.1). Thus, by increasing the resistance to forward flow ( $R_{\text{for}}^{\text{TV}}$  and  $R_{\text{for}}^{\text{RVOT}}$  for TV and RVOT, respectively) we can simulate valvular stenosis of a given grade. Likewise, by decreasing the backward resistances ( $R_{\text{back}}^{\text{TV}}$  and  $R_{\text{back}}^{\text{RVOT}}$  for TV and RVOT, respectively) we can model valvular regurgitation. The pressures in the atrium, ventricle and pulmonary artery ( $P_{\text{at}}$ ,  $P_{\text{RV}}$  and  $P_{\text{PA}}$ , respectively) are coupled with a ventricular volume change  $\dot{V}$  (inflow or outflow of blood) as described by Sainte-Marie et al [21].

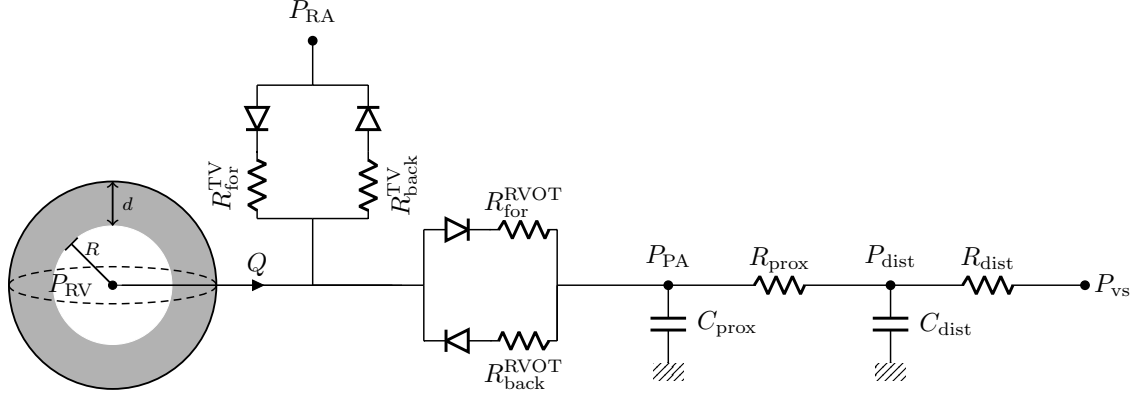


Figure 2.1: Reduced-order model of the right ventricle (RV) with inner radius  $R$  and wall thickness  $d$ : The ventricle is coupled to tricuspid valve (TV) and RV outflow tract (RVOT) via a system of diodes with forward and backward resistance ( $R_{\text{for}}^{\text{TV}}$ ,  $R_{\text{back}}^{\text{TV}}$  and  $R_{\text{for}}^{\text{RVOT}}$ ,  $R_{\text{back}}^{\text{RVOT}}$ ). The pulmonary circulation is represented by a Windkessel model with resistance and capacitance of proximal and distal arteries ( $R_{\text{prox}}$ ,  $C_{\text{prox}}$ , and  $R_{\text{dist}}$ ,  $C_{\text{dist}}$ , respectively).  $P_{\text{RV}}$  and  $P_{\text{RA}}$  are pressure in the RV and right atria, respectively.  $P_{\text{PA}}$  and  $P_{\text{dist}}$ , and  $P_{\text{vs}}$  are pressure in the proximal and distal pulmonary artery, and venous system, respectively.

$$\begin{cases} \dot{V} = \frac{(P_{\text{at}} - P_{\text{v}})}{R_{\text{for}}^{\text{TV}}} + \frac{(P_{\text{ar}} - P_{\text{v}})}{R_{\text{back}}^{\text{RVOT}}}, & \text{when } P_{\text{v}} \leq P_{\text{at}} \\ \dot{V} = \frac{(P_{\text{v}} - P_{\text{at}})}{R_{\text{back}}^{\text{TV}}} + \frac{(P_{\text{ar}} - P_{\text{v}})}{R_{\text{back}}^{\text{RVOT}}}, & \text{when } P_{\text{at}} \leq P_{\text{v}} \leq P_{\text{ar}} \\ \dot{V} = \frac{(P_{\text{ar}} - P_{\text{v}})}{R_{\text{for}}^{\text{RVOT}}} + \frac{(P_{\text{at}} - P_{\text{v}})}{R_{\text{back}}^{\text{TV}}}, & \text{when } P_{\text{v}} \geq P_{\text{ar}}. \end{cases} \quad (2.4)$$

The circulation system is represented by a two-stage Windkessel model [22], as is also depicted in Fig. 2.1.

In the present study, we will use  $\text{PSM}_{\text{pre}}$  and  $\text{PSM}_{\text{post}}$  of nineteen rTOF patients created in our previous work [10]. These models are given by the patient-specific parameters characterizing the circulation (proximal and distal resistance and capacitances  $R_{\text{p}}$ ,  $R_{\text{d}}$ ,  $C_{\text{d}}$ ); the heart geometry and function (myocardial passive stiffness, active contractility), and RVOT mechanics (RVOT forward and backward resistances  $R_{\text{for}}^{\text{RVOT}}$ ,  $R_{\text{back}}^{\text{RVOT}}$ ). The detailed model calibrations are described in our previous work [10]. We remark that the ethical approvals in the study [10], in which the patient-specific models were created, were granted by the Institutional Review Boards of UT Southwestern Medical Center Dallas (STU-2020-0023) and UT Austin (IRB 2020-06-0128).

### 2.2.2 Post-PVR *in silico* prediction of contractility

The pre-PVR patient-specific models  $\text{PSM}_{\text{pre}}$  were used to predict *in silico* the RV contractility after PVR. We assumed no PR after PVR (therefore we increased the backward RVOT resistance  $R_{\text{back}}^{\text{RVOT}}$  to a large value). Sequentially, the RVOT resistance  $R_{\text{for}}^{\text{RVOT}}$  was decreased to its minimum (representing no RVOTO assumed after PVR). For each value of  $R_{\text{for}}^{\text{RVOT}}$  the ventricular contractility was recalibrated in the model to preserve pulmonary artery peak systolic pressure (PA PSP). This is in line with our observations made in this cohort [10] and another study using clinical data [23] and is detailed in Sec. 2.3.1. The RVOT pressure difference for the corresponding decrease of the  $R_{\text{for}}^{\text{RVOT}}$  resistance was calculated by subtracting PA PSP from the simulated RV pressure. The *in silico* decrease of RVOT pressure difference was plotted against the corresponding values of *in silico* predicted contractility. The contractility in  $\text{PSM}_{\text{post}}$  reported previously [10] (see Sec. 2.2.1) was

used to validate the *in silico* predicted contractility for a measured value of RVOT pressure difference post-PVR.

### 2.2.3 Statistical analysis

The changes in post-PVR RV and PA PSP were evaluated via the Wilcoxon signed-rank test at  $p < 0.050$ . The difference between the *in silico* predicted post-PVR contractility and the contractility in  $PSM_{\text{post}}$  was quantitatively evaluated via a Bland–Altman plot analysis. In addition, the *in silico* predicted contractility and the contractility in  $PSM_{\text{post}}$  were multiplied by the ratio of myocardial wall thickness over ventricular chamber radius—rescaled in accordance with the Laplace law. Pearson’s correlations were built between the rescaled *in silico* predicted contractility, rescaled contractility in  $PSM_{\text{post}}$  and the post-PVR RV peak systolic pressure (RV PSP) at  $p < 0.050$ .

## 2.3 Results

### 2.3.1 RV and PA peak systolic pressures in patient-specific models pre- and post-PVR

Patients were divided into 2 groups according to the level of RVOTO: low- and high-RVOTO groups based on RV-to-LV systolic pressure ratio. RVOTO was defined as low if the ratio of RV to LV peak systolic pressures was  $< 50\%$  and high if the ratio was  $\geq 50\%$ . All patients from the low-RVOTO group had at least moderate PR (regurgitation fraction  $> 30\%$ ), while the high-RVOTO patients had at least mild PR (regurgitation fraction  $> 20\%$ ). There were  $n = 9$  patients with low-RVOTO and  $n = 10$  patients with high-RVOTO. Complete clinical and hemodynamic characteristics of this patient cohort can be found in the supplementary material of published work [10].

Figure 2.2 shows RV PSP and PA PSP pre- and post-PVR in the low- and high-RVOTO groups. RV PSP showed insignificant post-PVR change ( $p = 0.133$ ) and a significant decrease ( $p = 0.004$ ) in low-RVOTO group and high-RVOTO group, respectively. In the low-RVOTO and high-RVOTO groups the median change of PA PSP was 2.0 and 1.0 mmHg, respectively. The analysis of pressure data in our patient cohort therefore showed an insignificant change of PA PSP post-PVR ( $p = 0.326$  for all patients).

### 2.3.2 *In silico* prediction of right ventricular contractility

Figures 2.3 and 2.4 show the *in silico* prediction of RV contractility for a sequential decrease of RVOT resistance ( $R_{\text{for}}^{\text{RVOT}}$ ) in 4 patients from high- and 2 patients from low-RVOTO groups, respectively. *In silico* predictions of contractility for the remaining patients can be found in the Supplementary Figs. 2.6 and 2.7. With a decreasing level of  $R_{\text{for}}^{\text{RVOT}}$ , the RV contractility was decreasing along a line for all studied patients, creating a 1D patient-specific trajectory within the RV contractility-RVOT pressure difference space. The mean ( $\pm$  SD) *in silico* predicted contractility at 0 mmHg RVOT pressure difference for all patients was 36 ( $\pm$ ) 9 kPa. Note that the range of healthy RV contractility was assumed to be 38–48 kPa [10].

Figure 2.5a shows a Bland–Altman plot of the difference between the *in silico* predicted contractility post-PVR and data-estimated contractility in  $PSM_{\text{post}}$  for the measured RVOT pressure difference post-PVR.

The mean ( $\pm$ SD) absolute difference between the *in silico* predicted contractility and the contractility in  $PSM_{\text{post}}$  in the low- and high-RVOTO group was 4.0 ( $\pm$ 2.6) and 3.1 ( $\pm$ 2.1) kPa, respectively. Pearson’s correlation ( $R^2$ ) for the *in silico* predicted contractility and

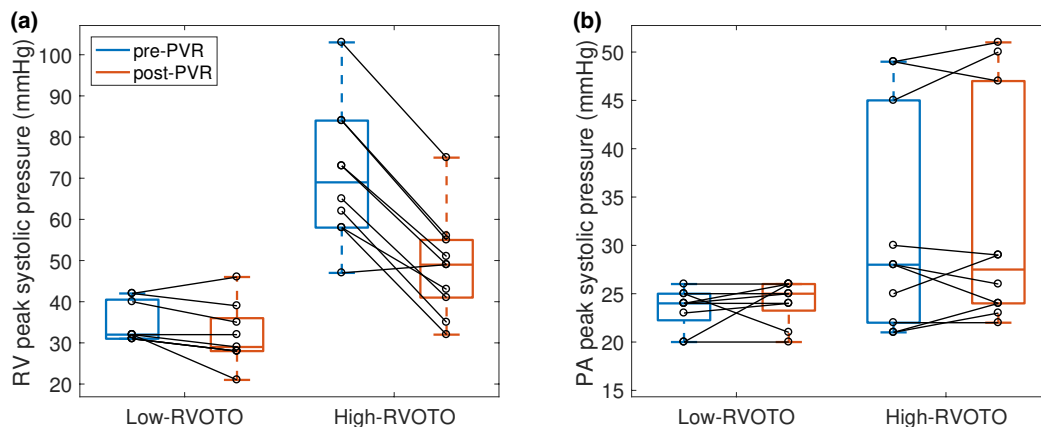


Figure 2.2: (a) right ventricular (RV) peak systolic pressure pre- and post-PVR. (b) pulmonary artery (PA) peak systolic pressure pre- and post-PVR. Central line inside the boxplots is the median, bottom and top edges of the boxes show 25th and 75th percentiles, respectively, the whiskers connect the most extreme data points not considered as outliers. Low-/High-RVOTO low/high right ventricular outflow tract obstruction patient groups.

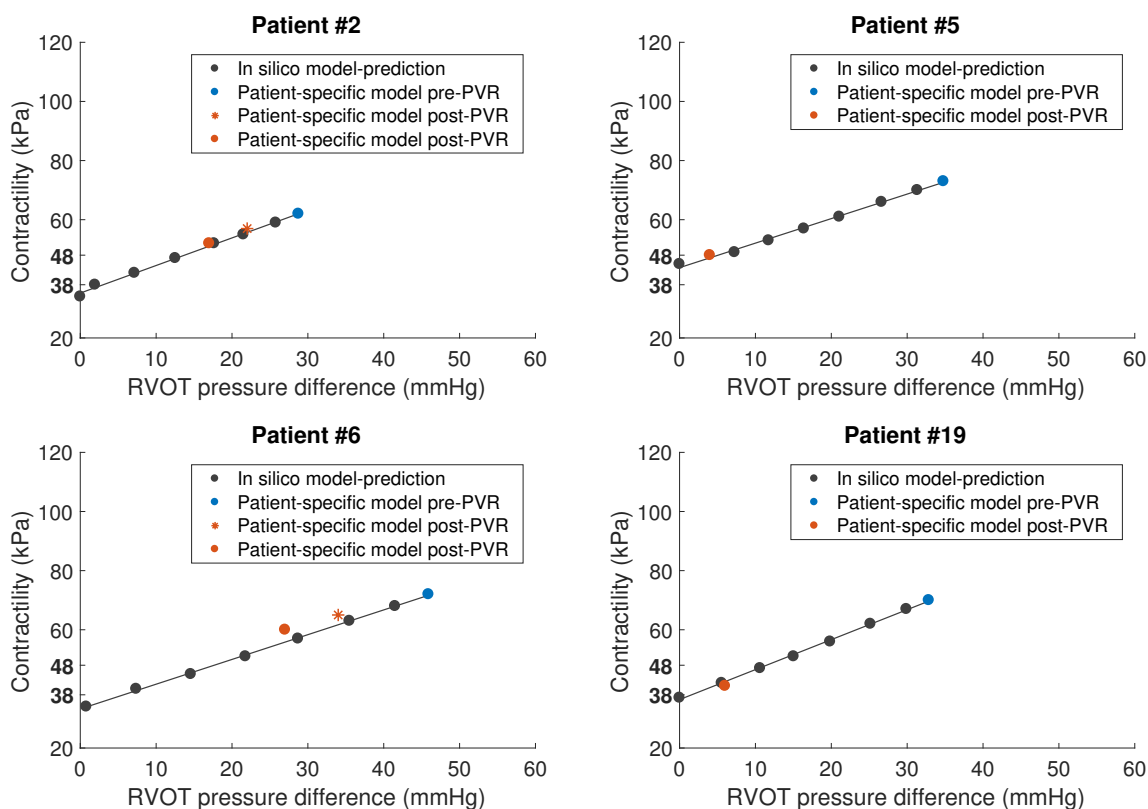


Figure 2.3: *In silico* prediction of right ventricular (RV) contractility post-PVR (black points) for selected patients from high right ventricular outflow tract obstruction (RVOTO) group. Filled blue and orange points are the contractilities in the pre- and post-PVR patient-specific models. Orange stars in patients #2 and #6 are additional post-PVR data-estimated contractility, where post-PVR pressures were acquired  $\sim 2$  h earlier than the final post-PVR pressure measurement (filled orange points). Healthy RV contractility was assumed to be 38-48 kPa [10].

for the contractility in  $PSM_{\text{post}}$  (both multiplied by the ratio of myocardial wall thickness over ventricular chamber radius according to Laplace's law) was 0.902 ( $p=0.000$ ) and 0.910



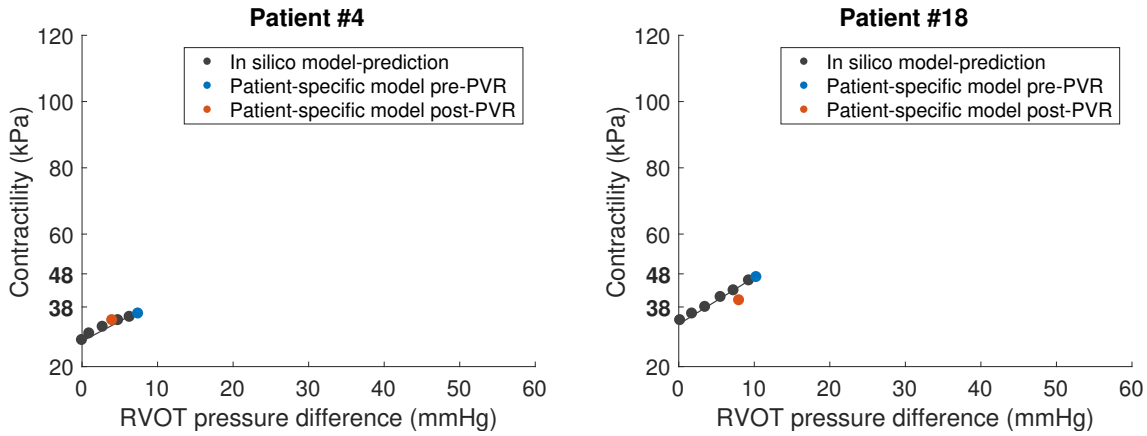


Figure 2.4: *In silico* prediction of right ventricular (RV) contractility post-PVR (black points) for selected patients from low right ventricular outflow tract obstruction (RVOTO) group. Filled blue and orange points are the contractilities in the pre- and post-PVR patient-specific models

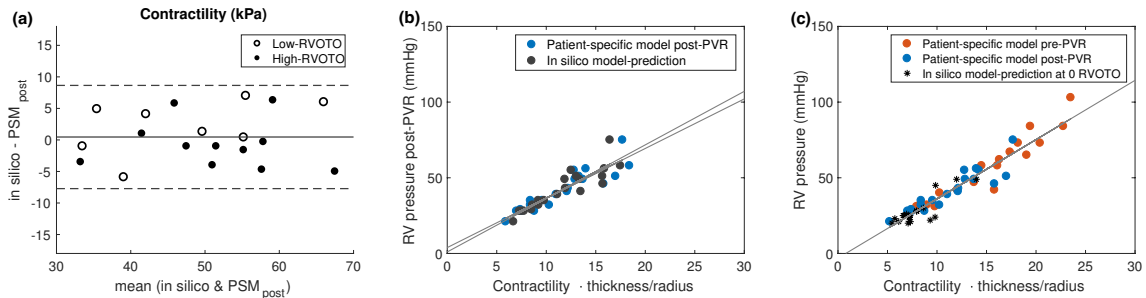


Figure 2.5: Quantitative difference between the contractility from *in silico* prediction and that from the post-PVR patient-specific models for a measured post-PVR right ventricular (RV) outflow tract pressure difference. A: Bland–Altman plot, solid horizontal line is the mean of the difference between the *in silico* predicted and contractility in the patient-specific model, top and bottom dashed horizontal lines are the limits of agreement at 95% prediction interval ( $\pm 1.96$  times standard deviation). B: Pearson’s correlation for *in silico* predicted and the contractility in patient-specific model, while both are multiplied by the ratio of myocardial wall thickness over ventricular chamber radius (rescaled contractility) and measured RV peak systolic pressure post-PVR at  $p < 0.05$ . C: Pearson’s correlation between the rescaled contractility and RV pressure. Orange and blue points are the pre- and post-PVR contractilities in the patient-specific models, respectively. Black stars are the *in silico* predicted contractility at zero mmHg RVOT pressure difference,  $R^2=0.954$ ,  $p=0.000$ .

( $p=0.000$ ), respectively (Fig. 2.5b). Figure 2.5c shows Pearson’s correlation for the *in silico* predicted contractility at 0 mmHg pressure difference and the contractility in pre- and post-PVR patient-specific models rescaled in accordance with the Laplace’s law in relation to the RV PSP.  $R^2$  for all values of contractility (orange, and blue points and black stars in Fig. 2.5c) is 0.954 ( $p=0.000$ ). For the contractility at 0 mmHg pressure difference alone (black stars in Fig. 2.5c),  $R^2$  is 0.850 ( $p=0.000$ ).

## 2.4 Discussion

In this work we evaluated the predictive value of patient-specific biomechanical models created from patients’ data prior to PVR in previously reported study [10] to establish model-predicted (*in silico*) changes in RV contractility post-PVR. We used an assumption that PA PSP was preserved post-PVR as it showed little or no variation in clinical data [10; 23]. We therefore gradually decreased the RVOT resistance and re-calibrated the

contractility to maintain PA PSP for each patient to represent the set of *in silico* predicted contractilities. We used the data-estimated contractility in  $\text{PSM}_{\text{post}}$ , also created in the previous study [10], to validate the *in silico* predicted contractility. For the majority of patients, the *in silico* predicted contractility fell close to the RV contractility in  $\text{PSM}_{\text{post}}$ . The mean ( $\pm$  SD) error in low- and high-RVOTO groups corresponded to 5.0 ( $\pm$ 4.0)% and 4.0 ( $\pm$ 3.0)% with respect to pre-PVR contractility, respectively. The difference between the *in silico* predicted contractility and the contractility in  $\text{PSM}_{\text{post}}$  on individual patient basis varied from negative (negative part of y-axis on Bland–Altman plot denoting the *in silico* predictions underestimating the contractility in  $\text{PSM}_{\text{post}}$ ) to positive (positive part of y-axis, the *in silico* predictions overestimating the contractility from patient-specific models). These errors could be explained by the measurement uncertainties in the catheterization pressure signals. In addition, different preload pressures imposed during the *in silico* PVR prediction vs. the preload used in the patient-specific models could have contributed to the discrepancy presented in the Bland–Altman plot: the *in silico* prediction did not assume any preload change from the pre-PVR RV end-diastolic pressure (EDP), while the actual post-PVR patient-specific models directly used the measured post-PVR pressures. However, as only a mild decrease of EDP post-PVR was observed, we expect that its effect on the post-PVR contractility will be small compared to that given by the decrease in RVOTO. We also evaluated the difference between the *in silico* predicted contractility values and the contractility in  $\text{PSM}_{\text{post}}$  rescaled with respect to the Laplace’s law (Wall stress directly proportional to Pressure  $\cdot$  chamber radius/wall thickness). Pearson’s correlations between the rescaled contractility and measured RV pressure post-PVR — using either the *in silico* prediction or the in  $\text{PSM}_{\text{post}}$  — showed almost identical strong correlations (Fig. 2.5b). This suggests that the effect of the variation present in Bland–Altman plot is small with respect to the global mechanical properties of the ventricle for a given ventricular geometry.

We observe linearity of the relationship between the *in silico* predicted contractility and the RVOT resistance. In addition, these 1D relationships predict the contractility at 0 mmHg RVOT pressure difference to be in the range of healthy RV contractility [10] in all patients. In accordance with Laplace’s law we plotted the rescaled *in silico* predicted contractility at 0 mmHg RVOT pressure difference against the pre-PVR PA PSP and combined that correlation with previously published Laplace’s correlations of pre- and post-PVR data-estimated contractility in  $\text{PSM}_{\text{pre}}$  and  $\text{PSM}_{\text{post}}$ , respectively [10]. The combined correlation revealed a strong overall relationship. The return to a completely unobstructed RVOT may not be possible in some patients due to the residual RVOT gradient of at least 10–15 mmHg (as a result of placing a stented bioprosthesis). Nevertheless, the *in silico* predicted contractility at hypothetically minimal RVOT resistance also follows the Laplace’s law of ventricular wall stress generation. This further strengthens the physiological relevance of the *in silico* predicted relationship between the contractility vs. RVOT resistance post-PVR for a given ventricular geometry.

Although the model used in this study is rather complex and nonlinear in various aspects (e.g. passive and active behavior of myocardium), the final finding is a nearly linear relationship between the *in silico* predicted contractility and the RVOT resistance throughout a wide range of the resistance values. As shown in Fig. 2.2, there is an insignificant change of PA PSP post-PVR. With the systolic PA pressure waveform being rather flat and PA PSP being close to PA end-systolic pressure (ESP), the pressure change between the start and end of ejection is nearly preserved post-PVR as well as the amount of ejected blood (stroke volume, SV). This was also observed in the clinical study of Lurz et al. [23], which suggested that the SV undergoes insignificant change immediately after PVR.

We can approximate SV by

$$SV = \frac{RVSP - PAPSP}{R_{\text{for}}^{\text{RVOT}}} \cdot t_{\text{eject}}, \quad (2.5)$$

where  $t_{\text{eject}}$  stands for time of ejection. In the previous work [10] we demonstrated that model-derived contractility  $\sigma_0$  is proportional to RV PSP via the Laplace law:

$$RVSP = 2\sigma_0 \frac{h}{r}, \quad (2.6)$$

where  $h$  and  $r$  are ventricular wall thickness and chamber radius, respectively. Assuming that SV is relatively unchanged immediately after the RVOTO release, by combining Eqs. (2.5) and (2.6) the linearity between  $\sigma_0$  and RVOT resistance ( $R_{\text{for}}^{\text{RVOT}}$ ) becomes apparent:

$$2\sigma_0 \frac{h}{r} = \frac{SV \cdot R_{\text{for}}^{\text{RVOT}}}{t_{\text{eject}}} + PAPSP. \quad (2.7)$$

We hypothesize that the linearity between the *in silico* predicted contractility and the RVOT resistance observed in the current cohort of patients is determined by the preservation of SV immediately post-PVR. A larger cohort of patients containing both RVOTO and PR should be studied to elucidate if the preservation of the SV post-PVR and if the observed linearity consistently happens. Nevertheless, the contractility itself accounts for nonlinear active constitutive behavior of the myocardium and in the present modeling approach is an inherently patient-specific index of the inotropic state of the myocardium and our results support its prognostic significance.

It should be recognized that there are other mechanical models that could provide the representation of the internal myocardial stress. For example, a lumped-parameter model of the heart and circulation as in CircAdapt is an alternative patient-specific approach for modeling the stress–strain relationship of the myocardium and the interaction between ventricles and the vascular tree [24; 25; 26]. Even though CircAdapt models contain the interaction between the two ventricles and are fast in computation time (real time or close to real time), their micromechanical formulation does not account for sarcomere-level kinetics but is in the form of phenomenological myofiber-level stress–strain relationship. The family of 3D finite element models [14; 27; 28; 7] allows the investigation of regional wall mechanics (e.g. contractility [29; 30]), see also review articles [31; 32] and references therein. However, these are computationally expensive approaches, which limits their practical applicability in the investigation of large cohorts of patients in clinical settings. In the current work we ran 7 simulations per patient to establish the *in silico* relationships. This would be hardly achievable with a 3D model.

Overall, the *in silico* predicted relationship between the contractility and RVOT resistance demonstrates an immediate response of RV active mechanical properties to the varying afterload pressure. Our results suggest that after PVR the RVOT pressure difference and myocardial contractility will follow a nearly linear relationship (1D line). The linearity of pressure vs. contractility relationship could be used to inform about evolution of RV mechanics at a given level of RVOTO post-PVR and subsequently assist in planning the patient’s intervention. Should the goal be to reduce the myocardial stress to a larger extent, the surgical procedure might be preferential as opposed to the percutaneous procedure that is known to typically result in a higher level of residual RVOT difference. The current workflow, however, cannot provide the exact estimate of post-PVR physiology. The level of RVOT resistance decrease may depend on a number of factors (e.g. actual morphology of RVOTO, type of prosthetic valve used, initial type of repair). Possibly, patient-specific

computational fluid dynamics simulations on the RVOT and PA could contribute to predict the decrease of the RV-to-PA pressure difference [33; 34; 35]. This is, however, out of scope of the current work.

The complete validation of the *in silico* predicted relationship between the post-PVR contractility and RVOT resistance is limited due to the limitation of the study [10], in which the only post-PVR measured pressures were available. In addition, the number of patients used in the present study is relatively small to draw universal conclusions regarding the response of RV mechanics to decreased RVOT pressure difference. Therefore, a detailed study with extended cohort of patients and simultaneous acquisition of pressure-volume data during the intervention (e.g. by a conductance catheter) is a natural follow-up to the present paper. The current modeling approach predicts an instantaneous change of ventricular mechanics at a given geometry in response to different levels of RVOTO whereas the long-term effect of PVR on the mechanical and morphological properties remain unknowable. In the future we propose to combine our prediction of immediate response to PVR with a kinematic growth theory framework [36; 37; 38; 39] or machine learning approach [40], which could include some distinct features obtained from advanced image data analysis constrained by a biomechanical model [41]. In particular, it will be interesting to study the morphological change of the ventricular cavity to mechanical unloading at several points along the predicted (1D) line, where a given change of intraventricular pressure with respect to pre-PVR could be considered as a stress-based unloading stimuli [42].

Biomechanical modeling has a potential to provide an insight into the evolution of RV mechanics. We have demonstrated the ability of the model to predict the mechanical response of the ventricle under progressive recovery of RV ESP through decreasing levels of RVOTO. Our results show a linear relationship between contractility and the level of RVOTO post- PVR. This step could contribute to the optimal clinical management for individual patients. The future objective would be to suggest what type of intervention (e.g. type or size of prosthesis, or percutaneous vs. surgical approach) would be the best for a given patient and combine with our ventricular-mechanics prediction. In the current paper we demonstrated the approach on the cohort of tetralogy of Fallot patient with pulmonary valve pathology and right ventricular overload. This type of model-derived predicted outcome may have relevant application even in other valvular pathologies (e.g. aortic valve pathologies).

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## 2.5 Appendix

Supplementary Figures 2.6-2.7 show the *in silico* prediction of right ventricular (RV) contractility post-PVR for all patients.

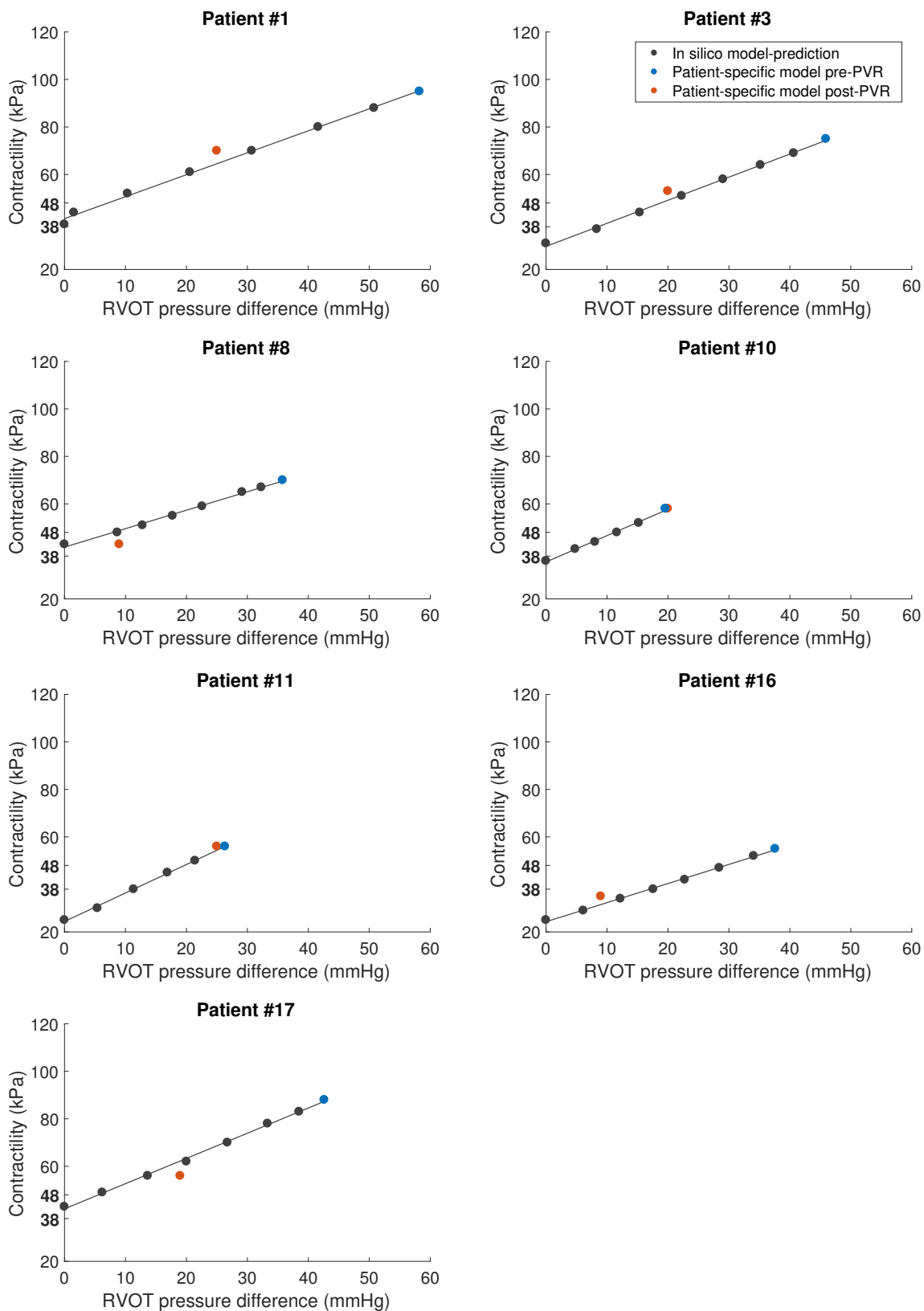


Figure 2.6: *In silico* prediction of right ventricular (RV) contractility post-PVR (black points) for the patients from high right ventricular outflow tract obstruction (RVOTO) group. Filled blue and orange points are the contractilities in the pre- and post-PVR patient-specific models, respectively.

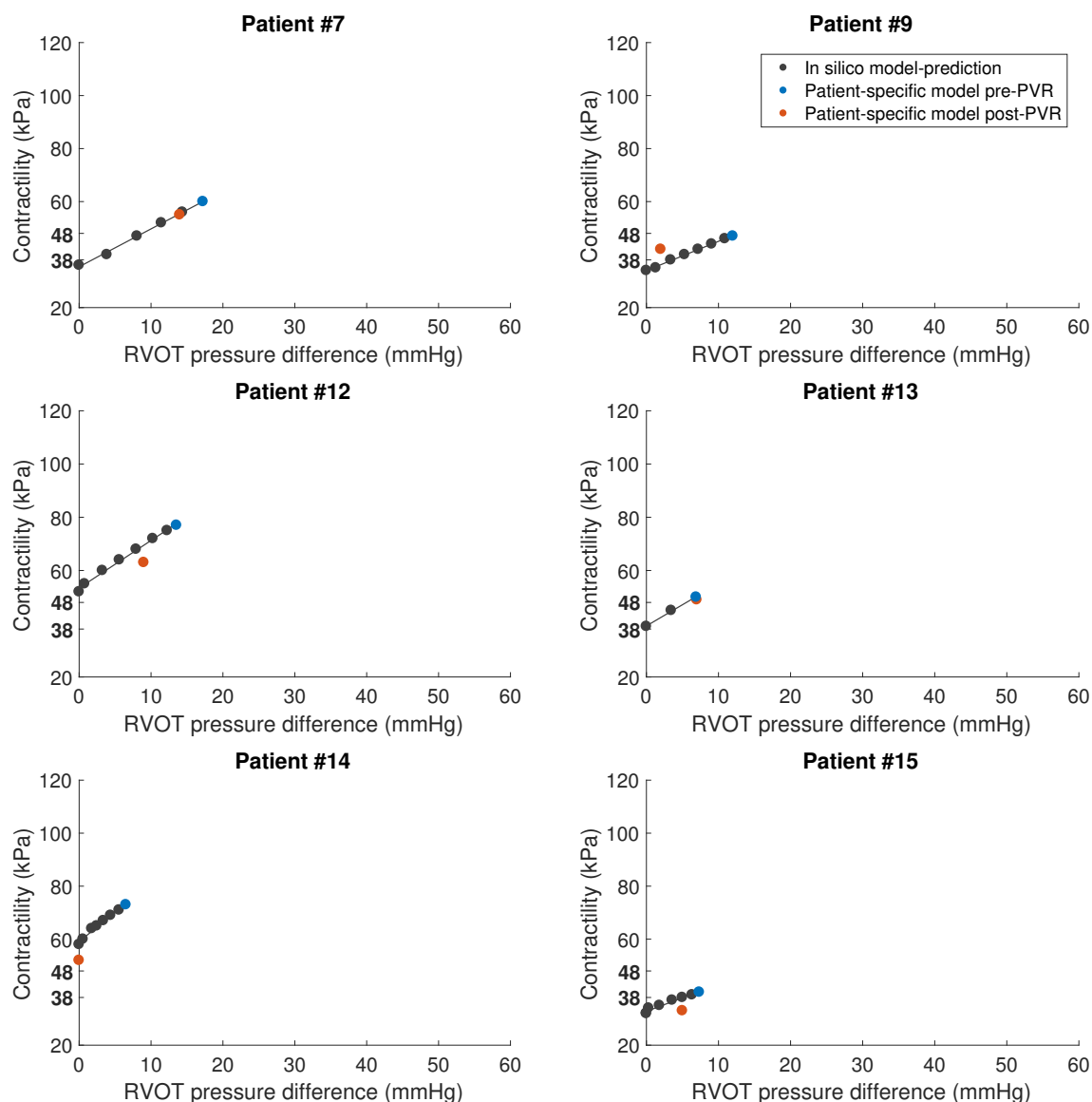


Figure 2.7: *In silico* prediction of right ventricular (RV) contractility post-PVR (black points) for the patients from low right ventricular outflow tract obstruction (RVOTO) group. Filled blue and orange points are the contractilities in the pre- and post-PVR patient-specific models, respectively.

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## CHAPTER 3

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# Model-assisted time-synchronization of clinical pressure-volume data

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Clinical pressure-volume (P-V) data when acquired by different modalities (e.g. CMR and pressure catheter) are often subjected to time-synchronization errors. This yields acquired P-V loops with artificial shape, in particular skewed isovolumetric phases. These errors are inherent to data acquisition and data processing techniques. The prime motivation of this chapter was to develop a time-synchronization technique that could be directly employed in clinical settings, e.g. during a volume-challenge study (manipulating preload conditions of the heart via administration of fluid bolus) of patients with single-ventricle geometry prior to completing Fontan circulation. This chapter develops a model-assisted time-synchronization method for ventricular P-V signals from two types of data acquisition protocols: (1) P-V data with prospectively (N=24, single-ventricle patients) and (2) with retrospectively (N=45, predominantly rTOF left and right ventricular patients) ECG-triggered cine MRI sequences. The qualitative improvement of time-synchronized P-V loops is demonstrated by plotting the clinically acquired P-V loops and those obtained after the proposed time-synchronization. We use a metrics derived from cardiovascular physiology to demonstrate the physiologically meaningful quantitative improvement of time-synchronized P-V data. In particular, we statistically compare the original and time-synchronized maximum time derivative of time-varying elastance ( $E(t) - (dE/dt_{\max})$ ) – in relation to  $\max(dP/dt)$  derived from the original pressure signals. The proposed time-synchronization method produced high-quality P-V loops yielding statistically more accurate indices of myocardial energetics – max value of time-varying elastance ( $E_{\max}$ ) and stroke work (area encompassed within P-V loop). This chapter demonstrated an ability of the model to assist in clinical data treatment during pre-interventional planning for e.g. patients with single-ventricle geometry.

### Contents

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<b>3.1</b>	<b>Introduction</b>	<b>80</b>
<b>3.2</b>	<b>Methods</b>	<b>82</b>
3.2.1	Data	82
3.2.2	Biomechanical model of the heart	83
3.2.3	Time-varying elastance	84
3.2.4	Time-synchronization	84
3.2.5	Statistical analysis	85
<b>3.3</b>	<b>Results</b>	<b>85</b>
<b>3.4</b>	<b>Discussion</b>	<b>89</b>
3.4.1	Limitations	93

3.4.2	Conclusion	93
<b>3.5</b>	<b>Appendix 1: Extended research letter to editor</b>	<b>94</b>
3.5.1	Introduction	94
3.5.2	Methods	95
3.5.3	Results	96
3.5.4	Discussion	97
<b>3.6</b>	<b>Appendix 2: Conference proceeding of Functional Imaging and Modeling of Heart FIMH 2021</b>	<b>99</b>
3.6.1	Introduction	100
3.6.2	Methods	101
3.6.3	Results	103
3.6.4	Discussion	106
3.6.5	Conclusion	106

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# Time-synchronization of invasive cardiovascular magnetic resonance data using a biomechanical model for pressure-volume loop analysis

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The material of this chapter was converted into an extended research letter to editor format and was accepted for publication in the Journal of Magnetic Resonance Imaging. Preliminary proof-of-concept study of the proposed methods was published as a conference paper in the Proc. of Functional Imaging and Modeling of Heart (FIMH).

## Abstract

**Background:** Therapy planning benefits from assessment of myocardial energetics using ventricular pressure-volume (P-V) loops. The acquisition of P-V signals using cardiac MRI and intraventricular pressure is often subjected to time-synchronization errors yielding P-V diagrams with skewed isovolumetric phases.

**Purpose:** We aim to develop a universal time-synchronization method for the ventricular P-V signals, independent of the setup of MRI sequence, type of catheter and patients' pathophysiology, by using biomechanical cardiac modeling.

**Study type:** retrospective.

**Population:** Two groups of P-V data with prospectively (N=24) and retrospectively (N=45) ECG-triggered cine MRI.

**Field strength / sequence:** 1.5 T scanner. bSSFP cine sequence in prospective ECG-triggering (kt-BLAST factor 6, partial Fourier 0.625) and in retrospective ECG-gating (SENSE 2), both in temporal resolution 30ms.

**Assessment:** Ventricular time-varying elastance  $E(t) = P(t)/V(t)$  was computed from original (orig) and time-synchronized (t-syn) data. The expected physiological relationship between  $\max(dP/dt)$  and  $\max(dE/dt)$  was statistically evaluated.

**Statistical test:** Linear regression models through the origin were fitted to investigate the difference between  $\max(dP_{\text{orig}/t\text{-syn}}/dt)$  and  $\max(dE_{\text{orig}/t\text{-syn}}/dt)$ . Wilcoxon signed-rank tests at  $p < 0.05$  were conducted to assess the quantitative difference between  $\max(dE_{\text{orig}}/dt)$  and  $\max(dE_{t\text{-syn}}/dt)$ . Standard error of regression coefficients ( $SE_{\text{coef}}$ ) and coefficients of determination ( $R^2$ ) of fitted models were computed. Original and time-synchronized values of  $E_{\text{max}}$  and stroke work were compared with Wilcoxon signed rank test at  $p < 0.05$ .

**Results:** The shapes of time-synchronized P-V loops were qualitatively improved. Time-synchronized P-V signals showed statistically stronger relationship between  $\max(dP/dt)$  and  $\max(dE/dt)$  in terms of physiologically more relevant coefficient of linear regression model and decreased variance of  $\max(dE/dt)$  with respect to  $\max(dP/dt)$  ( $R^2 > 0.88$  in all patients). Time-synchronized and original  $\max(dE/dt)$  were significantly different ( $p = 0.00$  in all patients). Time-synchronized stroke work was significantly higher than original stroke work ( $p = 0.00$  in all patients).

**Data conclusion:** The proposed time-synchronization method produces high-quality P-V loops from cine MRI combined with catheter-derived pressure recordings.

**Keywords**— time-synchronization of clinical data, pressure-volume loop, invasive cardiovascular magnetic resonance imaging, cardiovascular modeling, translational research

### 3.1 Introduction

Pressure-volume (P-V) loops provide a meaningful insight into myocardial energetics and their employment in the clinical practice is beneficial in a number of situations both for left and right ventricles (LV, RV), e.g. to investigate ventricular-arterial coupling [1; 2; 3] or to assess the myocardial function [4; 5]. For instance, patients with single ventricle geometry prior to completing a complex surgical intervention undergo a detailed assessment of the current mechanical state of the myocardium [6]. P-V loops can be reconstructed from invasive cardiovascular magnetic resonance imaging (iCMR) (simultaneous acquisition of catheter pressure and CMR volume) in order to assess the functionality of the heart [7]. However, the recorded pressure and volume tracings are not perfectly synchronous yielding P-V loops with artificial shapes (see Figure 3.1). While the acquired pressure data are aligned with respect to the R-wave of the ECG, in the acquisition of the cine magnetic resonance imaging (MRI) a number of factors could cause a suboptimal alignment of data with respect to the R-wave peak of patient’s ECG. First, the acquisition of cine MRI data is performed typically over hundreds of cardiac cycles yielding a time-volume plot that represents an average cycle during the acquisition. Second, due to a strong magnetic field of the MR scanner, it is difficult to derive a standard ECG. The so-called ventriculogram (VCG) is used instead, even though the terminology is often slightly abused and the signal is called ECG (as we do in our paper). Typically, the most prominent peak in the VCG is automatically detected and used as a trigger point. Particularly, in patients with pathological QRS duration (e.g. in some patients with a bundle branch block the QRS duration may go even beyond 200 ms), a more prominent S or R’ wave can be detected instead. Third, retrospective ECG gating, which is preferred for cine MRI, allows the whole cardiac cycle to be acquired (as opposed to prospective triggering, in which typically the first 30-50 ms and final 100 ms are missed). However, the retrospective reconstruction and associated temporal interpolation is yet another factor in the temporal offset of volume

data. Finally, the fluid-filled catheter could record the pressures with some delay due to inertia effect, which could vary depending on the catheter type and size [8].

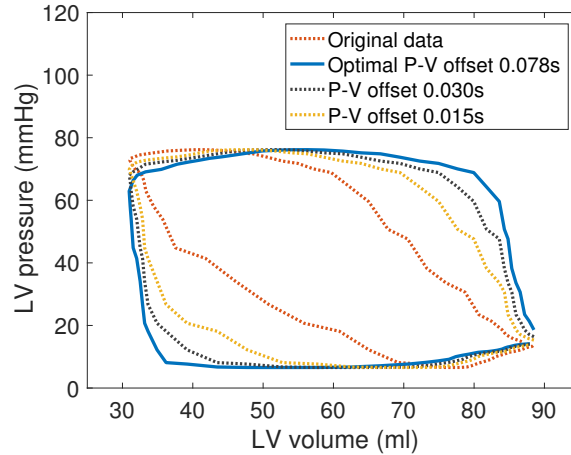


Figure 3.1: Illustration of the pressure-volume (P-V) offsets on the shape of the P-V loop in the measured data of left ventricle (LV) of a patient with repaired tetralogy of Fallot (#AF25 LV).

A complete synchronization of the P-V signals is possible when the data are acquired fully synchronously, for instance when using a conductance and pressure catheter. During iCMR procedures, as the time offset between the ventricular pressure and volume varies among patients due to the actual configuration of iCMR protocol (e.g. setup of the MRI sequence or the type and size of catheter used), the synchronization of corresponding cardiac phases in the P-V signals is typically performed manually. This approach relies on the isovolumic contraction and relaxation phases to be “perpendicular” to the x-axis in the P-V loop (we recall Figure 3.1). However, a manual synchronization can be suboptimal particularly in some clinical scenarios, e.g. for patients with valvular regurgitation where a clear appearance of isovolumic phases in P-V diagrams is lacking.

Due to the numerous factors contributing to the P-V dyssynchrony causing the P-V time-offset being different in each patient and acquisition, we aim to develop a universal time-synchronization procedure independent of a variability of the volume-pressure time-offset given by the individual patients and a given examination protocol. We use a biophysical model specific to a given patient and created using the acquired data. A recent methodological study [9] demonstrated the potential of such an approach to synchronize P-V signals in time [9]. Using the measured values of maximum and minimum ventricular pressure and volume, simplified patient-specific models of a cardiac cycle are created. These models provide synchronous pressure and volume waveforms, which can be used as a template to time-synchronize the measured data (see Figure 3.2). In the current work we aim to apply such a model-assisted time-synchronization technique on an extended cohort of patients: 24 P-V datasets of patients with single-ventricle geometry; 20 datasets of RVs; and 18 datasets of LVs, both in patients with tetralogy of Fallot after complete surgical repair (rTOF). We show the qualitative improvement by plotting the clinically acquired P-V loops and those obtained after the proposed time-synchronization. Since the ground truth synchronized data are not available in the cohort of patients undergoing CMR and pressure catheter exams, we use a metrics derived from cardiovascular physiology that demonstrates the physiologically meaningful quantitative improvement. Specifically, we statistically compare the original and time-synchronized maximum time derivative of time-varying elastance ( $E(t)$ ) –  $\max(dE/dt)$  – in relation to the maximum time derivative of pressure –  $\max(dP/dt)$  – derived from the original pressure signals.



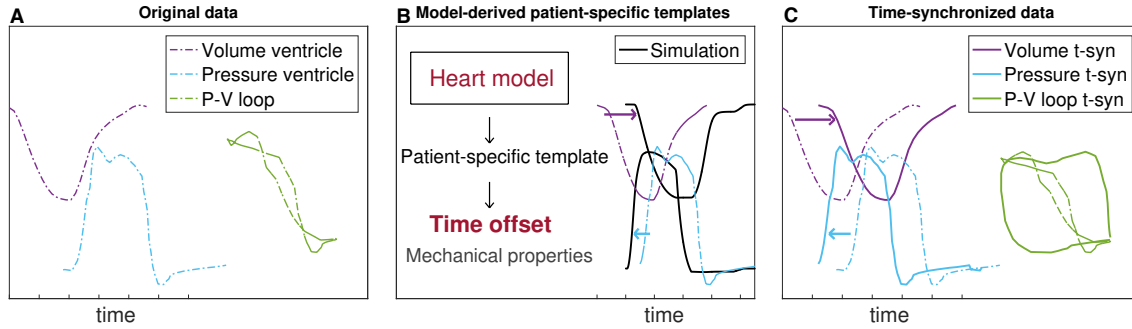


Figure 3.2: A: Distorted pressure-volume (P-V) loop due to the time offset between the acquired pressure and volume data illustrated on Patient AF24 LV from our study. B: Detection of the time offset by using patient-specific biomechanical model, simulating synchronous pressure and volume waveforms. C: Corrected P-V loop (solid line) reconstructed from the time-synchronized (t-syn) data.

## 3.2 Methods

### 3.2.1 Data

Datasets from two groups of patients were included in this study. The first group contained 10 patients with single-ventricle physiology prior to completing the Fontan circulation. The patients underwent an iCMR procedure where the catheterization pressure and cine MRI were simultaneously acquired prior to and after a fluid bolus administration. Patients received 5 ml/kg within 1 or 2 fluid boluses. Ventricular volumes were measured using a prospectively ECG-triggered balanced steady-state free precession (bSSFP) cine sequence (kt-BLAST factor 6, partial Fourier 0.625, in order to shorten acquisition time in this volume-challenge study). The temporal resolution was  $\sim 30$  ms. In total, 24 pressure-volume (P-V) datasets from a baseline and post-bolus conditions were acquired. Additionally, most patients also had standard clinical cine MRI with retrospective ECG gating (SENSE 2, temporal resolution  $\sim 30$  ms) taken at baseline conditions. The second group consisted of 20 rTOF patients prior to the pulmonary valve replacement (PVR). CMR was acquired and reconstructed with standard settings and retrospective ECG gating (as described above). Catheter pressures were acquired in a separate session. In total 17 and 20 P-V datasets of left and right ventricles (LV, RV), respectively, were included in the study. We remark that two rTOF patients did not have LV pressure tracing and one had LV pressure tracing of an inferior quality. CMR ventricular volumes were processed using the CVI42 software (Circle Cardiovascular Imaging Inc., Calgary, Canada) and the motion tracking algorithm [10; 11]. The data collections for single-ventricle patients were performed under the ethical approval of the Institutional Review Boards of UT Southwestern Medical Center Dallas (STU 032017-061). The data collections for rTOF patients were performed under the ethical approvals of the Institutional Review Boards of UT Southwestern Medical Center Dallas (STU-2020-0023) and UT Austin (IRB 2020-06-0128). The IRBs waived the need for a consent to use the anonymized retrospective data.

An additional dataset with fully synchronous pressure and volume signals, acquired using a conductance P-V loop catheter in a pre-clinical study, was included as a verification case. Seven P-V loops were generated out of the single measured cardiac cycle: original P-V data (perfectly synchronous pressure and volume signals); and six P-V loops with imposing an artificial time offsets of  $\pm 50$ ,  $\pm 100$  and  $\pm 150$  ms between the pressure and volume signals.

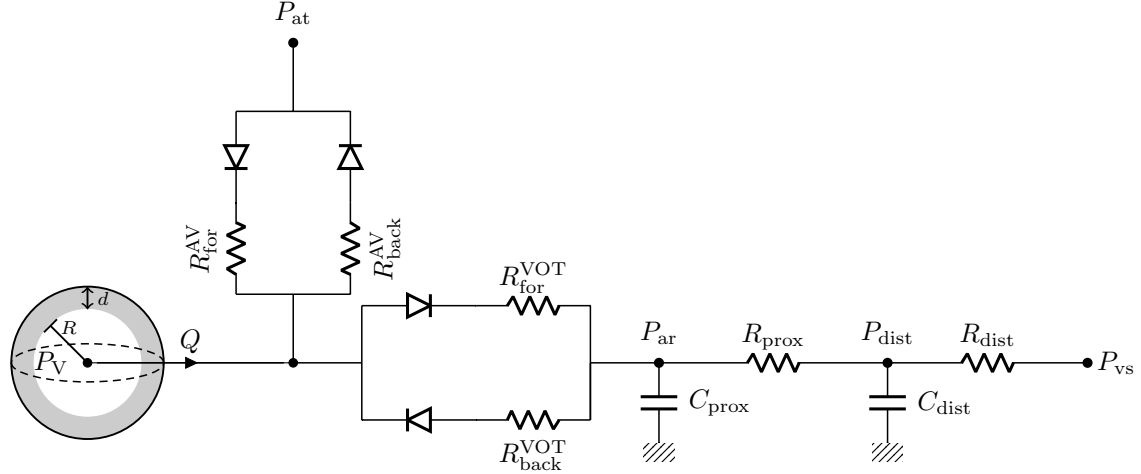


Figure 3.3: Coupling of the heart with atrioventricular and arterial valves via system of diodes and with circulation system represented by a two-stage Windkessel model.  $P_{at}$ ,  $P_V$ ,  $P_{ar}$ ,  $P_{dist}$ ,  $P_{vs}$  are pressures in atrium, ventricle, proximal artery, distal circulation and venous system, respectively;  $R_{for}^{AV}$ ,  $R_{back}^{AV}$  and  $R_{for}^{VOT}$ ,  $R_{back}^{VOT}$  are forward, backward resistances of atrioventricular valve and ventricular outflow tract, respectively.  $R_{prox}$ ,  $C_{prox}$ ,  $R_{dist}$ ,  $C_{dist}$  are proximal and distal resistances and capacitances in Windkessel model.

### 3.2.2 Biomechanical model of the heart

We employed the biomechanical heart model described in [12]. This model was used in clinical research projects, such as [5; 13; 14]. A subject-specific model was created for each dataset in our study. Ventricular geometry and kinematics are reduced to a sphere with inner radius  $R$  and wall thickness  $d$  (Figure 3.16). Constitutive material laws that provide myocardial passive and active properties are preserved as in the full 3D heart model [15]. Myocardial passive stiffness is given by visco-elastic constitutive law [16]. Myocardial contractility is modeled within the A. F. Huxley sliding filament theory framework [17; 18] where mechano-chemical coupling of actin-myosin unit produces an active contraction of the sarcomere. The internal myocardial stresses (passive and active) are in mechanical equilibrium with external intraventricular cavity pressure. Globally, the ventricular cavity pressure and volume are an output of the model. The heart model is coupled with inlet and outlet valves that are described by a system of diodes to control an inflow from the atrioventricular (AV) valve and an outflow through the ventricular outflow tract (VOT) with corresponding forward and backward AV/VOT valve resistances,  $R_{for}^{AV/VOT}$  and  $R_{back}^{AV/VOT}$ , as described in [19] and applied in a recent clinical study [14]. The circulation system is represented by a two-stage Windkessel model [20]. The model was made patient-specific by applying the following sequential calibration procedure [14]: Windkessel model parameters (resistances and capacitances), were adjusted to match maximum, minimum and dicrotic notch pressures in the outflow artery. Then, in the ventricular cavity model wall thickness and sphere radius were prescribed from cine MRI mass and EDV measurements. The stress-free reference configuration was derived as in the Klotz et al. study [21]. Ventricular preload was imposed from the measured end-diastolic pressure (EDP). Passive myocardial stiffness was adjusted to match measured EDV under imposed preload. Myocardial contractility was calibrated according to the measured stroke volume and end-systolic pressure in the ventricle.

### 3.2.3 Time-varying elastance

We perform a quantitative evaluation of the time-synchronized P-V loops with respect to the time-varying elastance (TVE) model of Suga et al. [22]. Time-varying elastance  $E(t)$  is defined by

$$E(t) = \frac{P(t)}{V(t) - V_0} \quad (3.1)$$

with  $P(t)$  and  $V(t)$  being ventricular pressure and volume. In this work, the correction factor  $V_0$  is taken as zero. Sunagawa and Sagawa [23] proposed that time derivative of ventricular pressure can be expressed in terms of time derivative of  $E(t)$  and that in the isovolumetric phase the maximum derivative of ventricular pressure ( $\max(dP/dt)$ ) is linearly related to the maximum derivative of  $E(t)$  ( $\max(dE/dt)$ ).

$$\max(dP/dt) = \max(dE/dt) \cdot (EDV - V_0). \quad (3.2)$$

The linearity of  $\max(dP/dt)$  vs. end-diastolic volume (EDV) relationship with a slope corresponding to  $\max(dE/dt)$  was experimentally detected in canine hearts by Little et al. [24]. Therefore, in the present work we consider the relationship between  $\max(dP/dt)$  and  $\max(dE/dt)$  as a quantitative measure of ventricular function during ejection.

### 3.2.4 Time-synchronization

Thanks to the biophysical and physiological behavior of the model, the simulated pressure and volume traces are synchronous and were therefore taken as templates to synchronize the original data in time. Optimal time offsets  $t_{\text{offset}}^{\text{pressure}}$  and  $t_{\text{offset}}^{\text{volume}}$  between the measured data and the model were derived by minimizing the criterion:

$$\min_{t_{\text{offset}}} \int_0^{t_{\text{end}}} (f(t) - g(t - t_{\text{offset}}))^2 dt, \quad (3.3)$$

where  $f(t)$  represents the simulated ventricular pressure or volume;  $g(t)$  is the measured ventricular pressure (volume);  $t_{\text{end}}$  is the end of the cardiac cycle in the measured data.

First, we applied the time-synchronization procedure on the dataset acquired by the conductance P-V loop catheter, in which the pressure-volume time offsets were manually imposed. The time-synchronized data were compared with the original fully synchronous acquisition to verify our approach.

Then, all clinical datasets with intraventricular pressure catheter and cine MRI-cardiac volumes were time-synchronized according to criterion given by eq. (3.3). P-V loops were constructed both for original and time-synchronized (t-syn) data. They were first assessed qualitatively. Secondly, the TVE function was computed for the original and t-syn data:

$$\begin{aligned} E_{\text{orig}}(t) &= \frac{P_{\text{orig}}(t)}{V_{\text{orig}}(t)}, \\ E_{\text{t-syn}}(t) &= \frac{P_{\text{t-syn}}(t)}{V_{\text{t-syn}}(t)}, \end{aligned} \quad (3.4)$$

where  $P_{\text{orig/t-syn}}(t)$ ,  $V_{\text{orig/t-syn}}(t)$  are ventricular cavity pressures and volumes as originally measured / time-synchronized, respectively. The time derivative of ventricular pressure can be expressed in terms of TVE [23] for the original or t-syn data, respectively:

$$\frac{P_{\text{orig/t-syn}}(t)}{dt} = \frac{dE_{\text{orig/t-syn}}(t)}{dt} \cdot V_{\text{orig/t-syn}}(t) + E_{\text{orig/t-syn}}(t) \cdot \frac{dV_{\text{orig/t-syn}}(t)}{dt}. \quad (3.5)$$

During the isovolumic contraction phase,  $dP/dt$  reaches its maximum value,  $\max(dP/dt)$ , and  $V(t)$  is constant (equal to EDV) [24], hence:

$$\max(dP_{\text{orig}/t\text{-syn}}/dt) = \max\left(\frac{dP_{\text{orig}/t\text{-syn}}(t)}{dt}\right) = \max\left(\frac{dE_{\text{orig}/t\text{-syn}}(t)}{dt}\right) \cdot EDV. \quad (3.6)$$

Original and time-synchronized values of maximum TVE:  $E_{\text{max}} = \max(P(t)/V(t))$ , and stroke work: area encompassed within the P-V loop, were computed for each patient.

### 3.2.5 Statistical analysis

Considering the identity relationship in Eq. (3.6), a reference linear relationship through the origin with slope coefficient (Coef) equal to 1 was constructed. Then, linear regression models through the origin were fitted to investigate the difference between  $\max(dP_{\text{orig}/t\text{-syn}}/dt)$  computed directly from original/time-synchronized data and  $\max(dP_{\text{orig}/t\text{-syn}}/dt)$  expressed in terms of TVE of original/time-synchronized data (Eq. (3.6)). Coef of the fitted models of original/time-synchronized data were computed and compared with the reference linear regression model. Wilcoxon signed-rank tests at  $p < 0.05$  were conducted to assess the quantitative difference between  $\max(dE_{\text{orig}}/dt)$  and  $\max(dE_{t\text{-syn}}/dt)$ . Standard error of regression coefficients ( $SE_{\text{coef}}$ ) and coefficients of determination ( $R^2$ ) of fitted models of original/time-synchronized data were computed. Original and time-synchronized values of  $E_{\text{max}}$  and stroke work were statistically compared with Wilcoxon signed rank test at  $p < 0.05$ .

## 3.3 Results

Figure 3.4 shows pressure and volume waveforms from the dataset acquired by the conductance catheter with manually imposed time offsets. Our time-synchronization corrected the P-V loops within 0.002s of the imposed time offset, verifying the approach.

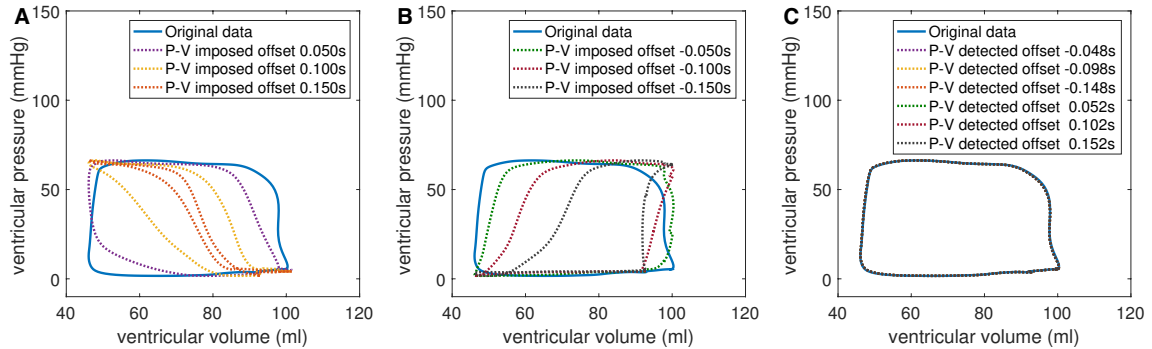


Figure 3.4: Verification of time-synchronization technique using conductive catheter pressure-volume (P-V) data. A: Original P-V loop from conductive catheter (solid blue line) and artificially imposed P-V time-offsets in positive direction (colored dotted lines). B: original P-V loop from conductive catheter (solid blue line) and artificially imposed P-V time-offsets in negative direction (colored dotted lines). C: model-detected P-V time-offsets imposed in A. Offsets are the difference between the pressure and volume in absolute values.

Figures 3.5 and 3.6 show ventricular pressure, volume and outflow from the ventricle acquired by pressure catheter and CMR, plotted together with the result of simulation. The measured data are plotted before and after the time-synchronization for single-ventricle

patient #10 (prospective ECG-gating) and rTOF RV patient #F05 (retrospective ECG-gating, 58% of pulmonary regurgitation), respectively. Figures 3.7 and 3.8 display the original and time-synchronized P-V loops for selected single-ventricle patients and rTOF patients (left and right ventricular data), respectively.

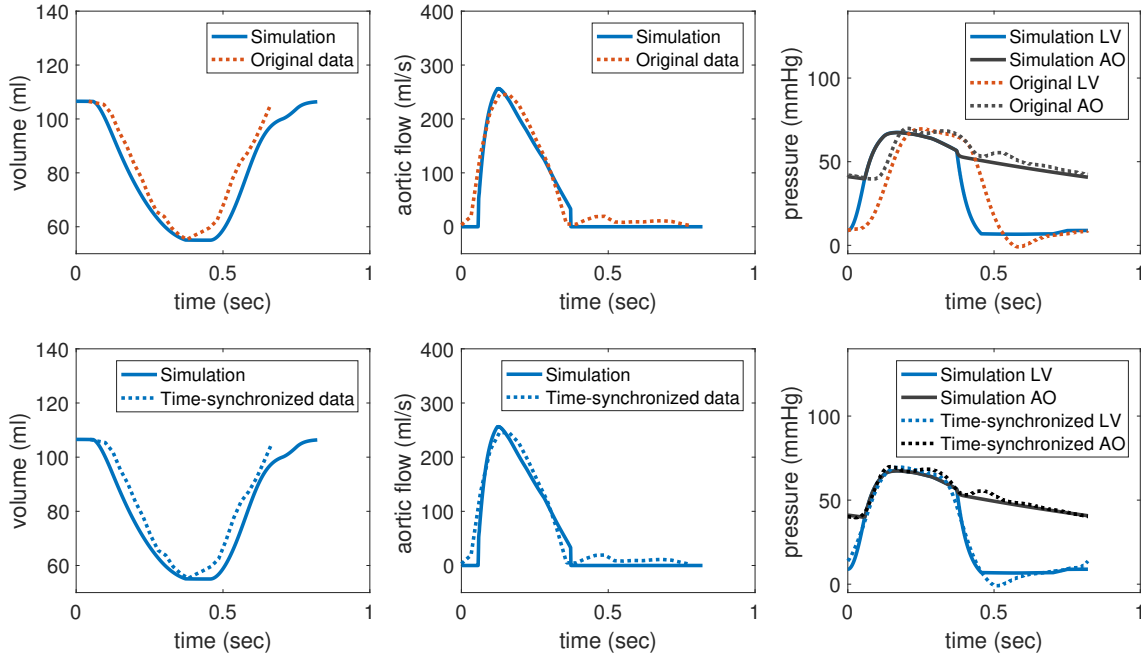


Figure 3.5: Example of measured data plotted together with simulation for single-ventricle patient #10 with prospective ECG-trigger. Top row: model calibration versus original (non-synchronized) data; bottom row: model calibration versus time-synchronized data. LV: left ventricle; AO: aorta.

Figure 3.9 shows the fitted linear regression models for original and time-synchronized signals in single-ventricle patients (panel A), rTOF LV and RV patient datasets (panels B-C). Table 3.1 summarizes statistics of linear regression models presented in Figure 3.9 and p-values of Wilcoxon signed-rank test for the difference between  $\max(dE_{\text{orig}}/dt)$  and  $\max(dE_{\text{t-syn}}/dt)$ . The coefficients of linear regression models for  $\max(dE_{\text{orig}}/dt)$  vs.  $\max(dE_{\text{t-syn}}/dt)$  were: 1.279 vs. 1.040 in single-ventricle patients; 1.795 vs. 1.126 in rTOF LVs; and 1.615 vs. 1.180 in rTOF RVs. The difference between  $\max(dE_{\text{orig}}/dt)$  and  $\max(dE_{\text{t-syn}}/dt)$  was significant with  $p=0.000$  in all three groups.

Table 3.1: Statistics for linear regression models of original and time-synchronized (t-syn) data, and a reference linear (ref.) model; and -values of Wilcoxon signed-rank test between original and t-syn data. Coef: regression coefficient;  $R^2$ : coefficient of determination; rTOF- LV/-RV: repaired tetralogy of Fallot left/right ventricle, respectively;  $SE_{\text{coef}}$ : standard error of the coefficient;  $W$ : Wilcoxon signed-rank test.

	Single-ventricle		rTOF-LV		rTOF-RV		ref.
	Original	T-syn	Original	T-syn	Original	T-syn	
Coef	1.279	1.040	1.795	1.126	1.615	1.180	1.000
$SE_{\text{coef}}$	0.039	0.012	0.087	0.026	0.064	0.023	0.000
$R^2$	0.774	0.963	0.626	0.881	0.712	0.912	1.000
$W$		0.000		0.000		0.000	

Table 3.2 shows the model-derived time offsets of single-ventricle patients at baseline,

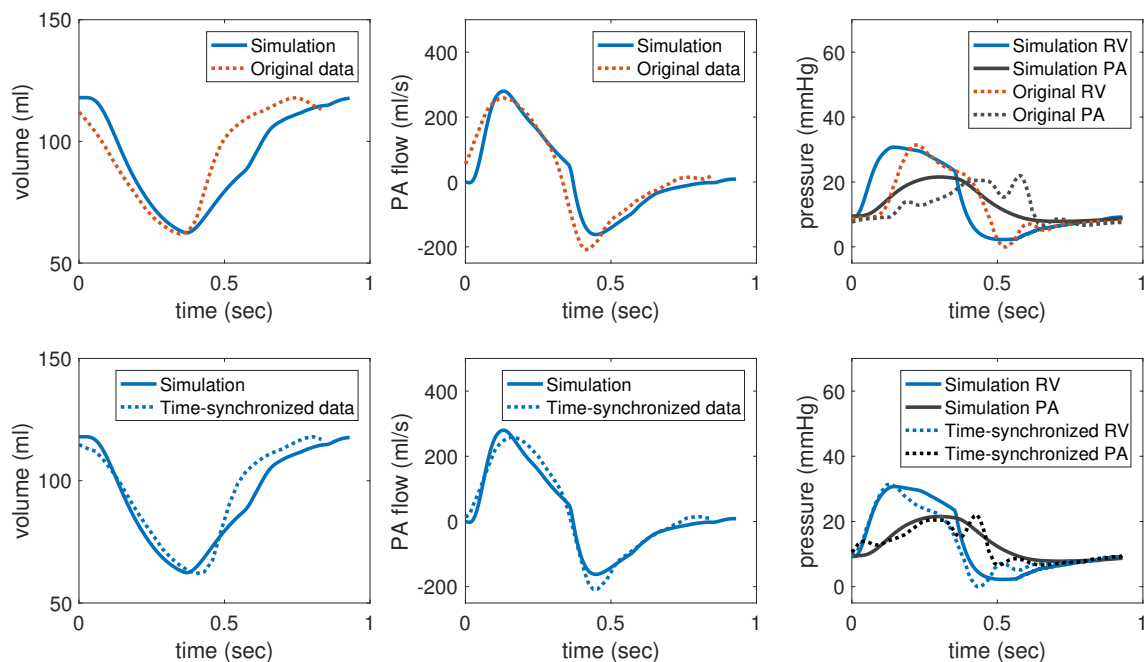


Figure 3.6: Example of measured data plotted together with simulation for right ventricle of rTOF patient #F05, who had pulmonary regurgitation (regurgitation fraction of 58%). Top row: model calibration versus original (non-synchronized) data; bottom row: model calibration versus time-synchronized data. RV: right ventricle; PA: pulmonary artery.

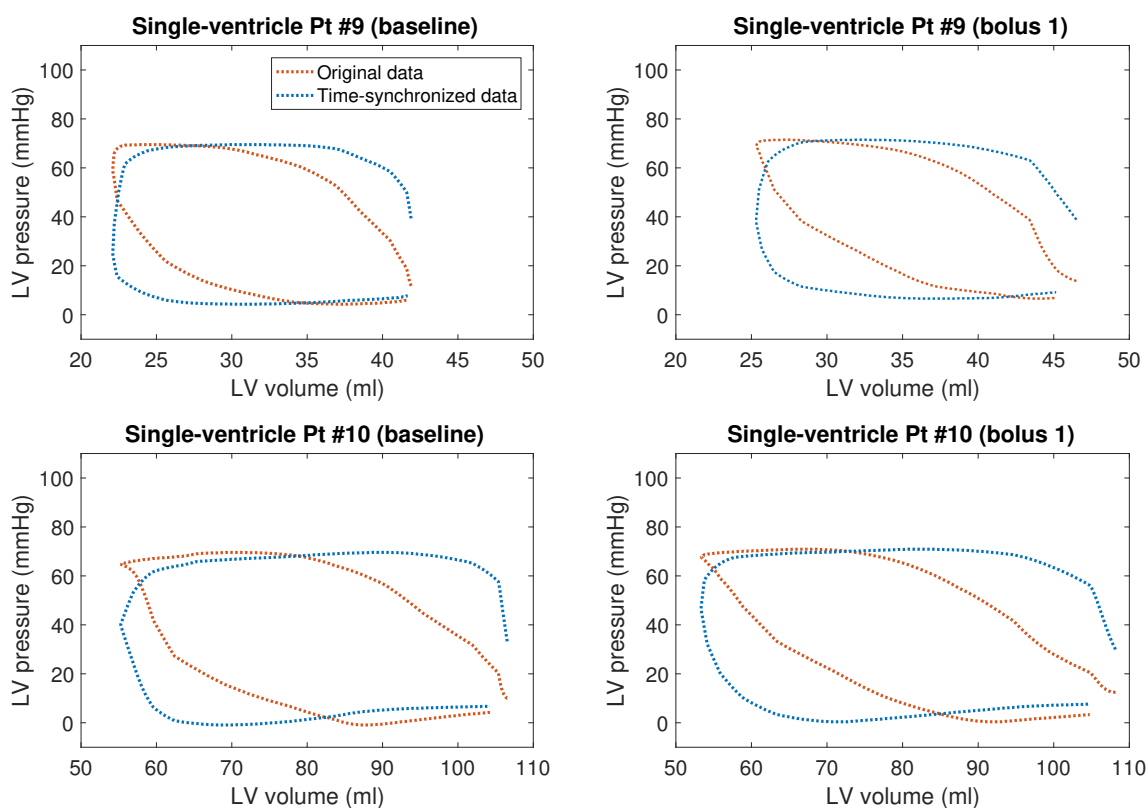


Figure 3.7: Pressure-volume loops for single-ventricle patients #9 and #10 at baseline and after administration of 5ml/kg fluid bolus (bolus 1), CMR is with prospective ECG-trigger.

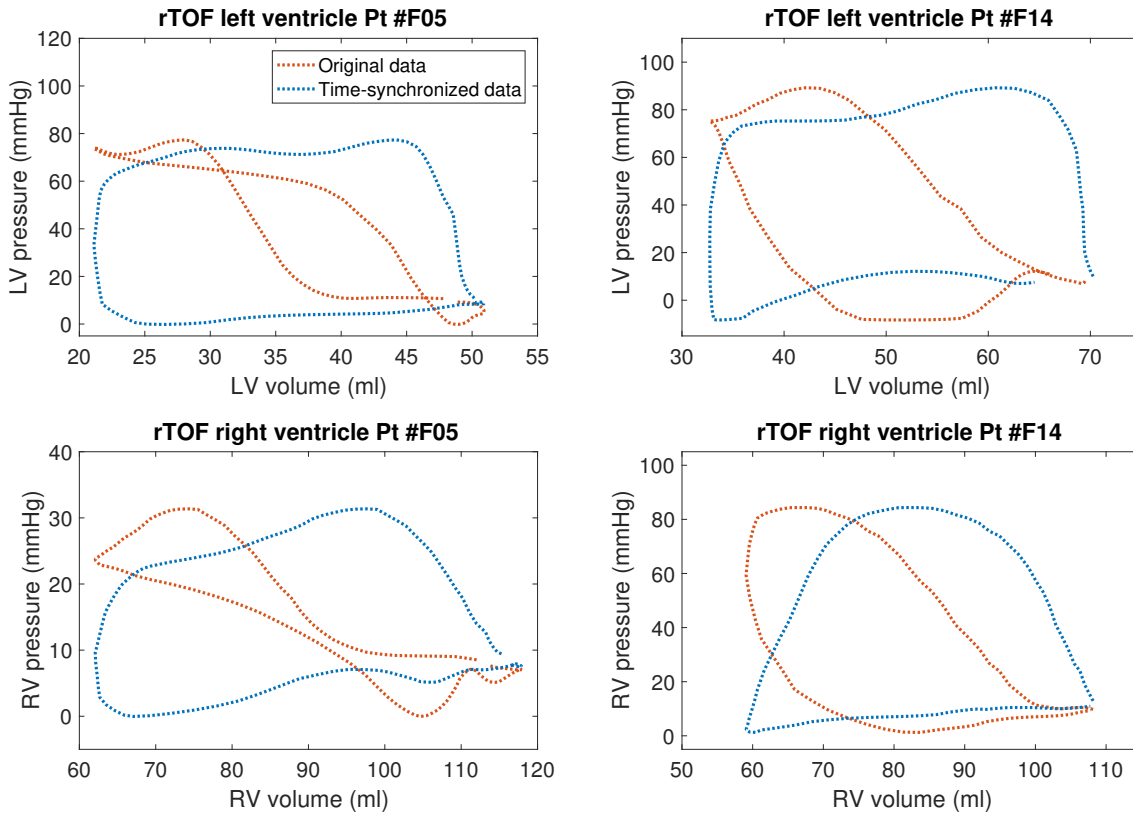


Figure 3.8: Pressure-volume loops for rTOF patients. Patient #F05 right ventricle (RV) contains 58% of pulmonary regurgitation. Patient #F14 RV contains 11% and 30% of tricuspid and pulmonary regurgitation, respectively.

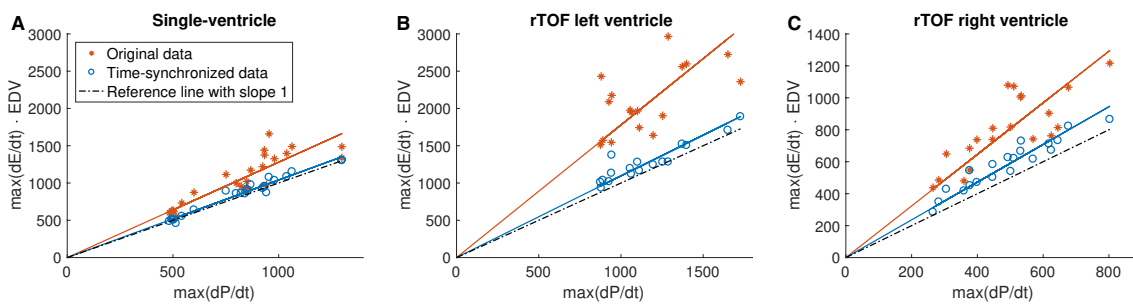


Figure 3.9: Linear regression models for original and time-synchronized data for single-ventricle patients (A), rTOF left ventricle (rTOF-LV) (B), and rTOF right ventricle (rTOF-RV) (C). Dotted black line is a reference model with slope equal to 1.

bolus 1 and bolus 2 for prospective cine MRI sequence combined with catheterization pressures, and that at baseline for retrospective cine MRI combined with the baseline catheterization pressures. Mean ( $\pm$  SD) P-V offsets were 0.054 ( $\pm$  0.021) s, 0.062 ( $\pm$  0.026) s, and 0.063 ( $\pm$  0.018) s in baseline, bolus 1 and 2, respectively (all using prospectively ECG-triggered cine MRI). Mean ( $\pm$  SD) P-V offsets in baseline with retrospective cine MRI were 0.079 ( $\pm$  0.033) s. Table 3.3 shows the model-derived time offsets of left and right ventricles of rTOF patients, where mean ( $\pm$  SD) P-V offsets were 0.110 ( $\pm$  0.039) s and 0.116 ( $\pm$  0.032) s in LV and RV, respectively.

Table 3.2: Model-derived time offsets of pressure-volume data for single-ventricle patients with prospective ECG trigger (prosp) in three bolus conditions: baseline, bolus 1, and bolus 2; and retrospective ECG gating (retro) in baseline. QRSd: QRS duration; HR: heart rate; SD: standard deviation.

	QRSd (s)	HR (bpm)	Time-offsets (pressure-volume) (s)			
			Baseline	Bolus 1	Bolus 2	Baseline
			prosp	prosp	prosp	retro
Pt #1	-	72	0.074	0.081	0.082	-
Pt #2	-	102	0.039	0.055	0.043	0.079
Pt #3	-	93	0.028	-	-	0.061
Pt #4	-	78	0.040	0.040	0.048	0.053
Pt #5	-	103	0.064	0.086	0.080	-
Pt #6	-	85	0.077	0.075	0.060	0.122
Pt #8	0.080	96	0.021	0.005	-	0.041
Pt #9	0.080	82	0.067	0.074	-	0.077
Pt #10	0.091	73	0.080	0.079	-	0.130
Pt #11	0.078	81	0.050	0.064	-	0.091
mean			0.054	0.062	0.063	0.079
SD			0.021	0.026	0.018	0.033

Figure 3.10 shows original and time-synchronized values of  $E_{\max}$  and stroke work, where time-synchronized  $E_{\max}$  was significantly lower ( $p=0.00$  in all patients) and time-synchronized stroke work was significantly higher ( $p=0.00$  in all patients) compared to those calculated from the original P-V data.

### 3.4 Discussion

The present study develops a time-synchronization technique for the P-V data by using patient-specific biomechanical models. The fully synchronous pre-clinical dataset allowed to verify the model-based synchronization. A small error in the detected time offset of 2 milliseconds was most likely caused by a slight model-data discrepancy given by: noise or other artifacts in the P-V data (which cannot be completely avoided in any type of data acquisition); and model imperfection (even though in line with biophysical and physiological principles, the model is only a representation of reality). Taking into account the fact that the temporal resolution of cine MRI – the core of presented work – is typically 20-30 ms, the detected offset of 2 ms (i.e.  $<10\%$  of temporal resolution) is negligible.

Then, we applied the method on two types of data acquisition protocols: iCMR P-V datasets, in which the pressure is acquired together either with highly-accelerated cine



Table 3.3: Model-derived time offsets for repaired tetralogy of Fallot (rTOF) left and right ventricle patients. CMR: cardiovascular magnetic resonance; Cath: catheterization; LV: left ventricle; QRSd: QRS duration; HR: heart rate; RV: right ventricle; SD: standard deviation.

	QRSd (s)	HR (bpm)		Time-offsets (pressure-volume) (s)	
		CMR	Cath	rTOF-LV	rTOF-RV
Pt #F02	0.165	64	61	-	0.079
Pt #F03	0.137	66	63	0.128	0.059
Pt #F04	0.166	58	73	0.118	0.120
Pt #F05	0.158	68	64	0.148	0.130
Pt #F06	0.137	72	85	0.108	0.106
Pt #F07	0.086	70	70	0.061	0.086
Pt #F13	0.126	63	81	0.095	0.107
Pt #F14	0.159	72	85	0.088	0.094
Pt #F16	0.160	68	64	0.098	0.122
Pt #F17	0.089	60	80	-	0.091
Pt #F18	0.165	83	61	0.152	0.113
Pt #F19	0.129	88	87	0.100	0.098
Pt #F20	0.117	93	87	-	0.136
Pt #F21	0.133	81	59	0.098	0.141
Pt #F22	0.147	91	67	0.200	0.199
Pt #AF24	0.163	82	75	0.096	0.101
Pt #AF25	0.129	75	74	0.047	0.127
Pt #AF26	0.096	74	95	0.155	0.153
Pt #AF29	0.146	79	79	0.123	0.165
Pt #AF31	0.129	49	75	0.058	0.095
mean	0.140			0.110	0.116
SD	0.030			0.039	0.032

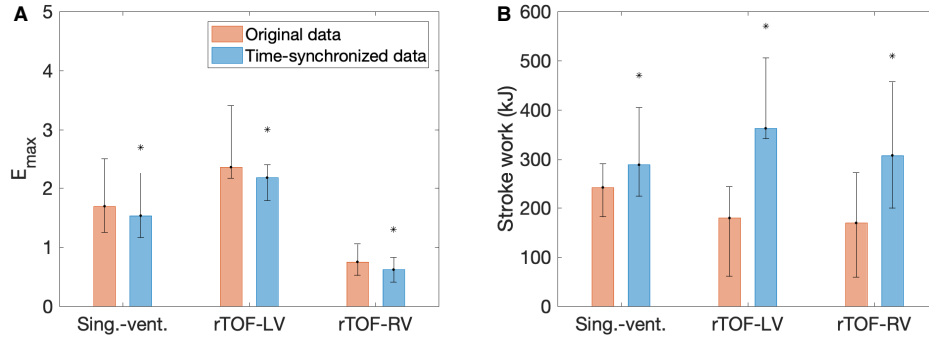


Figure 3.10: Boxplot of original and time-synchronized indices of myocardial function in each patient group. A: maximum values of time-varying elastance ( $E_{\max}$ ). B: stroke work (area encompassed within the pressure-volume loop). Bars correspond to the medians, upper and lower error bars are 75th and 25th percentiles, respectively. Asterisks show significant difference of time-synchronized data with respect to the original at  $p < 0.05$ . rTOF-LV/-RV: repaired tetralogy of Fallot left/right ventricle patients.

MRI using prospective ECG triggering (at baseline and post-fluid bolus), or with standard cine MRI in retrospective ECG gating (at baseline); and P-V data obtained during separate catheterization and CMR sessions (cine MRI in retrospective ECG gating). While the patients with single ventricles underwent the iCMR procedures, the sequential pressure catheter and CMR exam were performed for the patients with rTOF. Figures 3.7 and 3.8 demonstrate that the proposed time-synchronization qualitatively improves the shapes of P-V loops in both single-ventricle and rTOF patients. Figure 3.9 shows that the time-synchronization changes the slope of the relationship between  $\max(dE_{t-\text{syn}}/dt) \cdot EDV$  and  $\max(dP_{t-\text{syn}}/dt)$  closer to the reference linear model with the slope of 1. Furthermore, the time-synchronization decreases the variance of  $\max(dE_{t-\text{syn}}/dt)$  with respect to  $\max(dP_{t-\text{syn}}/dt)$  with  $R^2 > 0.93$  in all three groups and consequently shifts the original time-varying elastance to its more physiologically meaningful relationship. The time-synchronized value of maximum time-varying elastance ( $E_{\max}$ ) could be later used as a surrogate measure of ventricular mechanical performance. Note that in rTOF patients in both LVs and RVs the slope of time-synchronized linear regression is above 1 by 13% and 18%, respectively. This could be due to the volumes acquired 3-4 months prior to the catheterization causing an underestimation of EDV with respect to the pressure signals. RVs, and to a lesser extent LVs, of rTOF patients undergo progressive remodeling (dilatation of the chamber and/or thickening of the myocardial wall) until the pressure is measured during the percutaneous PVR [25]. The relationship between  $\max(dE/dt) \cdot EDV$  and  $\max(dP/dt)$  (Eq. (3.6)) assumes constant EDV during isovolumic contraction. Some RVs in our cohort have a mild level of tricuspid valve regurgitation causing an imperfect isovolumic contraction. Therefore, the EDV variability is more prominent in the RVs than in LVs of the rTOF patients in our study. Since the tricuspid regurgitation is mild, we find this correlation reliable.

The model-detected P-V time offsets vary both between the patients and within individual datasets (e.g. between bolus conditions, or rTOF LV and RV datasets). Such a variability demonstrates that the image acquisition – with P-V synchronously measured or not – is subjected to time-synchronization errors and that those errors appear to be different during every new acquisition. In single-ventricle patients with cine MRI acquired with prospective ECG-trigger the time offsets are of the order of 2-3 MRI time frames (Table 3.2). In single-ventricle patients at baseline when the additional retrospectively ECG-gated cine MRI were combined with pressures, the offsets became 3-4 cine MRI time frames. In

rTOF patients the offsets are of the order of 3-5 frames (Table 3.3). The pathologically long QRS duration was observed in rTOF patients, mean ( $\pm$  SD) QRSd is 0.137 ( $\pm$  0.025) s, which could have increased the uncertainty in detecting the R-peak by the MR scanner. Also, errors associated with the temporal interpolation when reconstructing from the retrospectively ECG-gated sequence could have increased the P-V offset. Our study therefore confirms that when combining intraventricular catheterization and CMR procedures, the temporal errors in P-V signals are associated with CMR acquisition and reconstruction techniques. In particular, temporal resolution, the type of ECG trigger / gating (prospective vs. retrospective), averaging over hundreds of cycles, and detection of R-peak from a wide QRS complex might have contributed to the detected P-V offsets in the studied datasets. This also substantiate developing a universal model-based time-synchronization.

The multiscale biomechanical model employed in this work has been previously used in a number of patient-specific applications, e.g. in planning of cardiac resynchronization therapy [26]. The model was also directly coupled with in vivo cine MRI via data assimilation [27]. These works demonstrate its compatibility with real cardiology data, including physiologically relevant timings of cardiac phases. We acknowledge that alternative cardiac models with adequate representation of P-V changes could be employed for the proposed synchronization method. The advantage of using physiologically compatible cardiac models, e.g. [15; 28], is that such models can be coupled with datasets with complex hemodynamics. The model could represent inflow and/or outflow valve mechanics, such as valvular regurgitation and/or stenosis [14; 29] and account for the mechanics of circulatory system such as pulmonary/systemic vasoconstriction in pulmonary/systemic hypertension. Figure 3.8 (bottom row) demonstrates the effect of tricuspid and pulmonary regurgitation on the shape of RV P-V loops in rTOF patients. The regurgitation on either valve suppresses the corresponding isovolumic phases. This would limit the ability to time-synchronize such datasets, if the criterion for the time synchronization was solely the constant ventricular volume during the isovolumic phases. The proposed time-synchronization template provided by the model is a solution suitable even in patients with valvular pathologies.

We remark that, furthermore, the biophysical model (thanks to the predictive capability) substitutes for the missing part of the cardiac cycle, as can be seen in the time-volume plots acquired by cine MRI in prospective ECG trigger (Figure 3.5 left). We also appreciate that the model used for this study was demonstrated to be easily deployed in clinical environment and directly used by physicians [5; 13; 30]. The proposed time-synchronization method is not limited neither to the presented data acquisition protocols nor to the P-V signals themselves, and could be utilized in a variety of clinical scenarios, e.g. when catheterization is combined with transthoracic echocardiography [5], or to synchronize aortic pressure-flow loops [31].

The study demonstrates that time-synchronization of P-V data yields a more physiological relationship of TVE where the time-synchronized value of  $E_{\max}$  is significantly lower than the non-synchronized one. Suga et al. demonstrated in [22] on invasive data from canine hearts that the maximum value of ventricular TVE ( $E_{\max}$ ) is a load independent measure of ventricular contractility. Subsequent studies consistently showed its applicability across species (e.g. in pigs [32] or human patients [33; 34]). Therefore, the maximum value of TVE is commonly used as a surrogate measure of myocardial contractility [2; 35], and the time-synchronization of P-V data is therefore crucial for an accurate and reproducible detailed assessment of the ventricular systolic function. Finally, Figure 3.10 shows a significant difference between the stroke work between synchronized and non-synchronized data. The plot demonstrates the need of synchronizing the data to derive correct values of important quantities of ventricular energetics. While the actual ground truth is not known, the indirect evidence by the elastance-derived metrics and the qualitative amelioration of

the P-V loops, gave a confidence to our method of data synchronization.

While the biomechanical model was used in this work only to synchronize the measured P-V data, the model itself has a physiological significance and could provide additional information of clinical interest. The high-quality PV-loops can therefore be combined e.g. with physiological parameters of myocardial contractility, periphery vascular resistance or ventriculo-arterial coupling [5; 13; 14], to give some examples.

#### 3.4.1 Limitations

There are a number of limitations of the study. First of all, CMR and pressure catheter exams do not provide the ground truth measurement of P-V loop. This is why we employed a metrics based on time-varying elastance and demonstrated that the proposed time synchronization is significantly ameliorating the P-V loop, as is reflected by this measure commonly used in cardiac physiology. We used only one fully synchronous dataset obtained by the conductance to verify our approach. However, the synchronicity of simulated pressure and volume of our physiologically and biophysically based model was validated in our previous clinical studies: with full 3D model [26] and with reduced-order model [5; 13; 14]. We also name the limitation of this small-scale study being a single-observer only.

#### 3.4.2 Conclusion

Clinical scenarios that necessitate high-quality P-V loops for the therapy planning can benefit from the proposed model-based time-synchronization of P-V signals. The modeling framework is fast in computation time and can be directly employed in clinical settings. The method is universal for the variety of P-V data acquisition protocols and pathologies. It is efficient for both simultaneously and sequentially acquired cine MRI and pressure data, i.e. it makes the use of P-V loops available to all centers and not only to those with dedicated and costly pressure and conductance catheter simultaneous P-V loop systems. It could be also adapted to other imaging protocols (e.g. echocardiography, or dynamic CT) combined with catheterization data. In conclusion, the proposed method applies the model to contribute to the planning of complex interventions and, thanks to the biophysical and physiological background of the models, it can consequently augment the possibilities of the optimal clinical management.

### 3.5 Appendix 1: Extended research letter to editor

## Time-synchronization of interventional cardiovascular magnetic resonance data using a biomechanical model for pressure-volume loop analysis

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#### 3.5.1 Introduction

Pressure-volume loops (PV loops) provide a meaningful insights into myocardial energetics [6; 7]. Pressure-volume (P-V) data is often acquired by different modalities and subject to time-synchronization errors yielding skewed shapes of PV diagrams (Figure 3.11 (A)). A temporal dyssynchrony could be associated with cardiac magnetic resonance imaging (MRI) acquisition and reconstruction techniques: the temporal resolution of the scanner, the type of ECG trigger / gating (prospective vs. retrospective), averaging over cardiac cycles, and detection of R-peak from a wide QRS complex [9]. In addition, some delays could arise from the inertia effect of the fluid-filled catheter that varies depending on the catheter type and size [8].

A complete synchronization of the P-V signals is possible when using an intraventricular conductance catheter. In other settings, a manual delineation of the isovolumic phases may be performed. However, such an approach can be suboptimal, e.g. in patients with valvular regurgitation due to the absence of clear isovolumic phases. We aim to develop a time-synchronization technique that is independent of the data acquisition methods or patients' pathophysiology by using patient-specific biomechanical model derived waveforms to detect P-V offsets in the measured data. Our previous study demonstrated the potential of such an approach on P-V data acquired sequentially (volumetric data during cardiac MRI followed by pressure data during cardiac catheterization) without valvular regurgitation [9]. In the present study we aim to extend the method for simultaneously acquired P-V data

(i.e. during interventional cardiac MRI) and for patients with pulmonary regurgitation (i.e. right ventricles of patients with repaired tetralogy of Fallot, rTOF).

### 3.5.2 Methods

#### 3.5.2.1 Data

Datasets from two groups of patients were included in the study. The first group contained 10 patients with single-ventricle physiology undergoing interventional cardiac MRI within the pre-Fontan assessment. P-V were acquired simultaneously at baseline and immediately after fluid administration providing 24 P-V datasets. Fluid boluses were administered either as a single 5 ml/kg bolus or as two 2.5 ml/kg boluses. A highly-accelerated prospective ECG-triggered cine bSSFP sequence (kt-BLAST factor 5, partial Fourier 0.625, slice thickness 10 mm, spatial resolution 2.4 x 2.4 x 10 mm) was used. Another group contained patients with rTOF with 17 left and 20 right ventricular P-V datasets (rTOF-LV and rTOF-RV, respectively). A retrospective ECG-gated cine bSSFP sequence (SENSE 2, spatial resolution 2 x 2 x 10 mm) was used. Catheter pressures were sequentially acquired during pulmonary valve replacement therapy. Temporal resolution was 30 ms in both groups and all acquisitions. A motion tracking algorithm [11] was used to obtain time-vs.-volume signals from cardiac MRI.

#### 3.5.2.2 Biomechanical heart model

We employed a biomechanical heart model of a single heart cavity where the geometry and kinematics of the ventricle are represented by sphere [12] with constitutive mechanical laws describing active (contractile) and passive (viscoelastic) behavior of the myocardium. To model a cardiac cycle in patient-specific manner model parameters were tuned such that simulated waveforms corresponded to the maximum and minimum pressure and volume for a given patient (see e.g. [13]).

#### 3.5.2.3 Time synchronization

The model was used as a template for temporal synchronization of original P-V data. We assumed that synchronicity of simulated P-V traces is given by the biophysical and physiological formulation of the model. We minimized the distance between data and model waveforms (Figure 3.11 (B)) to detect the corresponding time offsets for  $t_{\text{offset}}^{\text{pressure}}$  and  $t_{\text{offset}}^{\text{volume}}$ :

$$\min_{t_{\text{offset}}} \int_0^{t_{\text{end}}} (f(t) - g(t - t_{\text{offset}}))^2 dt, \quad (3.7)$$

where  $f(t)$  and  $g(t)$  are the simulated and original ventricular pressure or volume, respectively;  $t_{\text{end}}$  is the end of the cardiac cycle in the original data. Total P-V time offset was given by:

$$t_{\text{offset}}^{\text{P-V}} = \text{abs}(t_{\text{offset}}^{\text{pressure}} - t_{\text{offset}}^{\text{volume}}). \quad (3.8)$$

PV loops were constructed for original and time-synchronized (t-syn) data. Quantitative evaluation of t-syn traces was derived from time-varying elastance (TVE). TVE [22], defined as  $E(t) = P(t)/V(t)$ , was computed from original and t-syn data, where  $P(t)$  and  $V(t)$  are ventricular pressures and volumes, respectively. According to TVE the isovolumic phase could be described as [24; 23]:

$$\max(dP/dt) = \max(dE/dt) \cdot EDV, \quad (3.9)$$

where  $\max(dP/dt)$  and  $\max(dE/dt)$  are maximum time derivatives of ventricular pressure and elastance, respectively, end-diastolic volume ( $EDV$ ) is assumed to be constant during isovolumic contraction. We confronted  $\max(dE/dt)$  derived from original and t-syn data ( $\max(E_{orig}/dt)$  and  $\max(E_{t-syn}/dt)$ , respectively) with respect to the  $\max(dP/dt)$  of the original pressure waveforms via linear regression analysis. Note that  $\max(dP/dt)$  does not change with time-synchronization.

Finally, the original, t-syn and model-derived values of maximum TVE (i.e.  $E_{max} = \max[P(t)/V(t)]$ ), and stroke work (i.e. area encompassed within the PV loop) were computed for each patient and statistically compared with Wilcoxon signed rank test at  $p < 0.05$ .

### 3.5.3 Results

Figure 3.11 (D-F) shows t-syn PV loops for selected patients from all groups. The slope coefficients of linear regressions models ( $Coef$ ) of  $\max(dE_{orig}/dt)$  vs.  $\max(dE_{t-syn}/dt)$  in single-ventricle patients were 1.279 vs. 1.040; in rTOF LVs 1.795 vs. 1.126; and in rTOF RVs 1.615 vs. 1.180 (Figure 3.12).

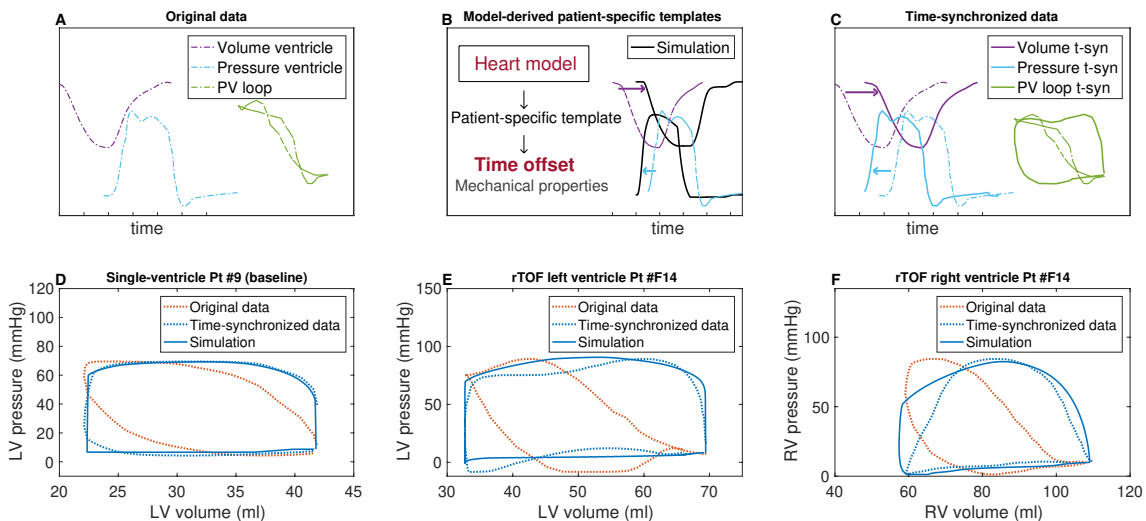


Figure 3.11: Model-assisted time-synchronization of clinical pressure-volume data. A: Distorted pressure-volume loop (PV loop) due to the time offset between the acquired pressure and volume data illustrated on rTOF left ventricle Pt #AF24 from our study. B: Detection of the time offset by using patient-specific biomechanical model, simulating synchronous pressure and volume waveforms. C: Corrected PV loop (solid line) reconstructed from the time-synchronized (t-syn) data D: PV loops for single-ventricle patient #9 at baseline (cine MRI is prospectively ECG-triggered). E-F: PV loops for rTOF patient left and right ventricles (rTOF-LV and -RV, respectively), rTOF-RV Pt #F14 contains 11% and 30% of tricuspid and pulmonary regurgitation, respectively.

Mean ( $\pm$  SD) model-derived P-V offsets of single-ventricle patients were  $0.059 (\pm 0.022)$  s; of rTOF-LVs and rTOF-RVs  $0.110 (\pm 0.039)$  s and  $0.116 (\pm 0.032)$  s, respectively.

T-syn vs. original  $E_{max}$  ( $p = 0.000$ ) and stroke work ( $p = 0.000$ ) were significantly lower in all patients. The difference for model-derived vs. time-synchronized (1)  $E_{max}$  was not significant in all three groups ( $p > 0.050$  in all patients), (2) stroke work was not significant for single-ventricle and rTOF LV ( $p > 0.050$  in both groups) and significant for rTOF RV patients ( $p = 0.000$ ), Figure 3.13.

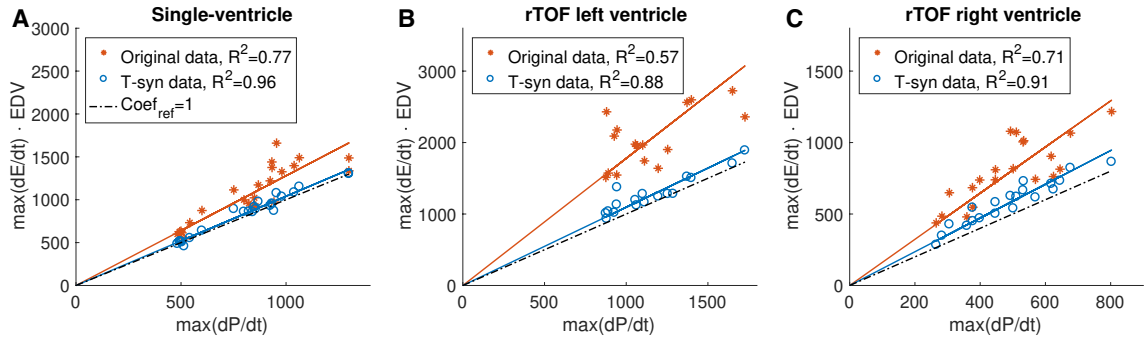


Figure 3.12: Linear regression models for original and time-synchronized (t-syn) data for single-ventricle patients (A), rTOF left ventricle (B), and rTOF right ventricle (C). Dotted black line is a reference linear model with slope ( $Coef_{ref}$ ) equal to 1.  $R^2$ : coefficients of determination of linear regression models.

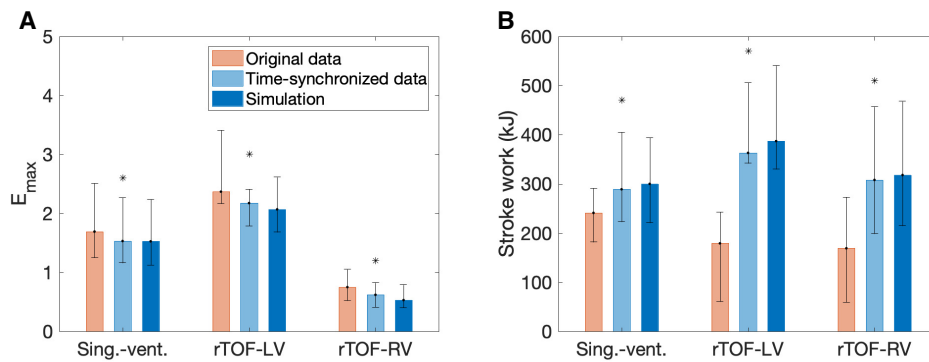


Figure 3.13: Boxplot of original and time-synchronized indices of myocardial function in each patient group. A: maximum values of time-varying elastance ( $E_{max}$ ). B: stroke work (area encompassed within the pressure-volume loop). Bars correspond to the medians, upper and lower error bars are 75th and 25th percentiles, respectively. Asterisks show significant difference of time-synchronized data with respect to the original at  $p < 0.05$ . rTOF-LV/-RV: repaired tetralogy of Fallot left/right ventricle patients, respectively.

### 3.5.4 Discussion

We developed a biomechanical model-assisted time-synchronization technique for clinical P-V data. Time-synchronization qualitatively improves the shapes of PV loops in all patients. Quantitative improvement of t-syn data was demonstrated via stronger TVE relationship during isovolumic phase. In addition to that t-syn values of  $E_{max}$  and stroke work were significantly different from the non-synchronized ones. Therefore, time-synchronization of P-V data is crucial to derive meaningful values for ventricular energetics.

This model can replace noisy data traces, thus overcoming errors associated with data acquisition and/or processing protocols (e.g. missing part of the cardiac cycle, noise in the data, or non-physiological over-/undershoot in pressure measurements).

### Acknowledgments

We would like to acknowledge Dr Philippe Moireau, Inria research team M $\Xi$ DISIM, for the development of the cardiac simulation software CardiacLab used in this work.



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## **Ethics approval and consent to participate**

The data collections for single-ventricle patients were performed under the ethical approvals of the Institutional Review Boards of UT Southwestern Medical Center Dallas (STU 032017-061). The data collections for rTOF patients were performed under the ethical approvals of the Institutional Review Boards of UT Southwestern Medical Center Dallas (STU-2020-0023) and UT Austin (IRB 2020-06-0128). The IRBs waived the need for a consent to use the anonymized retrospective data.

## 3.6 Appendix 2: Conference proceeding of Functional Imaging and Modeling of Heart FIMH 2021

### Model-assisted time-synchronization of cardiac MR image and catheter pressure data

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#### Abstract

When combining cardiovascular magnetic resonance imaging (CMR) with pressure catheter measurements, the acquired image and pressure data need to be synchronized in time. The time offset between the image and pressure data depends on a number of factors, such as the type and settings of the MR sequence, duration and shape of QRS complex or the type of catheter, and cannot be typically estimated beforehand. In the present work we propose using a biophysical heart model to synchronize the left ventricular (LV) pressure and volume (P-V) data. Ten patients, who underwent CMR and LV catheterization, were included. A biophysical model of reduced geometrical complexity with physiologically substantiated timing of each phase of the cardiac cycle was first adjusted to individual patients using basic morphological and functional indicators. The pressure and volume waveforms simulated by the patient-specific models were then used as templates to detect the time offset between the acquired ventricular pressure and volume waveforms. Time-varying ventricular elastance was derived from clinical data both as originally acquired as well as when time-synchronized, and normalized with respect to end-systolic time and maximum elastance value ( $E_{\text{orig}}^N(t)$ ,  $E_{\text{t-syn}}^N(t)$ , respectively).  $E_{\text{t-syn}}^N(t)$  was significantly closer to the experimentally obtained  $E_{\text{exp}}^N(t)$  published in the literature ( $p < 0.05$ ,  $L^2$  norm). The work concludes that the model-driven time-synchronization of P-V data obtained by catheter measurement and CMR allows to generate high quality P-V loops, which can then be used for clinical interpretation.

**Keywords**— interventional cardiovascular magnetic resonance imaging, cardiovascular modeling, time-synchronization of clinical data, pressure-volume loops, personalized medicine, translational research

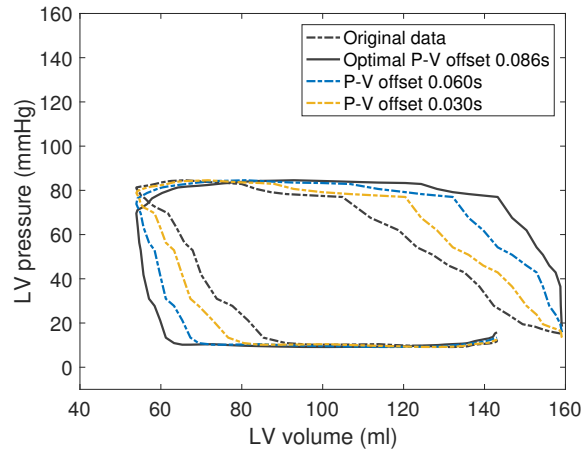


Figure 3.14: Illustration of the pressure-volume (P-V) offsets on the shape of the P-V loop in the measured data.

### 3.6.1 Introduction

The analysis of intraventricular pressure-volume (P-V) loops contributes to the detailed assessment of the heart function and can be employed when planning a complex intervention. Combining the catheter pressure measurement with cardiovascular magnetic resonance imaging (CMR) yields rich morphological and functional datasets of the current state of patients' pathology. However, the recorded ventricular pressures and volumes are often not precisely synchronous, even during a simultaneous acquisition, which affects the shape of the P-V loop (see Fig. 3.14). There are three principal reasons for this P-V dyssynchrony:

- I Data of cine MRI are obtained over a number of cardiac cycles and the reconstructed time-volume plot therefore represents an average cycle during the acquisition.
- II The detection of R-peak in the strong magnetic field of MRI is known to be challenging, particularly in patients with a pathological QRS complex in whom the S wave or R' wave (e.g. in some right bundle branch block patients) may be detected instead. This leads into the time offset up to the QRS duration.
- III The fluid-filled catheter is known to record the pressure changes with some delay, which varies depending e.g. on the catheter size.

The time offset caused by these points varies among the patients and practically cannot be predicted beforehand. In this work we propose to create biophysical models of a reduced complexity using the patient-specific information of the maximum and minimum ventricular volume and pressure provided by the CMR and catheter data. These models will then be used as a template for the time-synchronization of the measured P-V data (see the pipeline depicted in Fig. 3.15). Qualitative assessment will be carried out by plotting the P-V loops using the original and the time-synchronized pressure and volume waveforms. The quantitative comparison will be performed by comparing the generated ventricular time-varying elastance functions with the experimentally obtained time-varying elastance published by Suga et al. [22]. Furthermore, some functional characteristics of the cardiovascular system not directly visible in the data can be obtained thanks to using a patient-specific biophysical model.

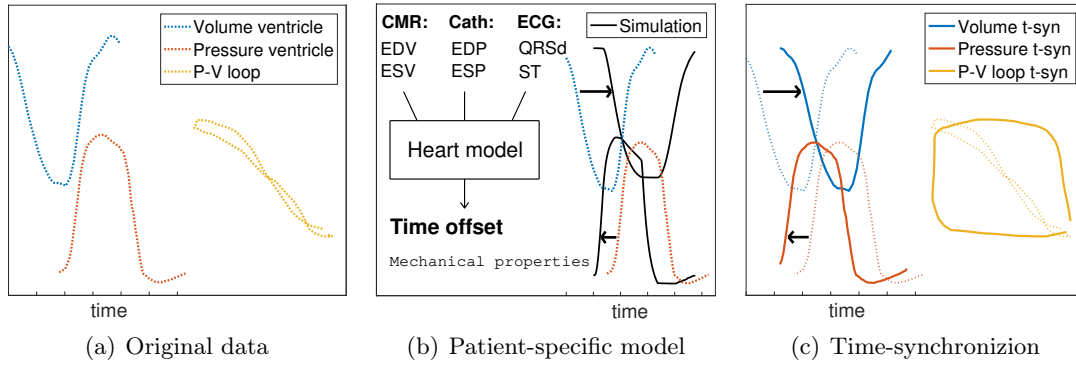


Figure 3.15: Time-synchronization pipeline. (a) Original pressure-volume (P-V) data; (b) Patient-specific time offset detected by the model (black arrows); (c) Time offset detected in (b) applied on original P-V data in (a) to obtain time-synchronized (t-syn) P-V data (solid lines). ED/ESV: end-diastolic/-systolic volumes (ventricle); ED/ESP: end-diastolic/-systolic pressures (ventricle); ECG: electrocardiogram timings.

## 3.6.2 Methods

### 3.6.2.1 Data

Datasets of left ventricles of ten patients with repaired tetralogy of Fallot (rTOF) were included in the study. The data collections were performed under the ethical approvals of the Institutional Review Board of UT Southwestern Medical Center Dallas (STU-2020-0023). The IRB waived the need for a consent to use the anonymized retrospective data. Cine MRI data with retrospective ECG gating (with parallel factor SENSE=2, temporal resolution  $\sim 30$  ms) covering both ventricles and phase-contrast flow through the aortic valve were acquired. The ventricular volumes from cine MRI were obtained using the CVI42 software (Circle Cardiovascular Imaging Inc., Calgary, Canada) combined with the motion tracking algorithm of [10]. The catheterization was performed in a separate session after CMR. A left-heart catheter was advanced into aorta and left ventricle, where the pressures were recorded during a breath-hold.

### 3.6.2.2 Biophysical heart model

A reduced-complexity biomechanical model of left ventricle (LV) and systemic circulation was employed [12]. The schematics of the model is depicted in Fig. 3.16. The geometry and kinematics of the ventricle are reduced to a sphere with an inner radius  $R$  and a wall thickness  $d$ , while the constitutive mechanical laws are preserved as in the full 3D heart model [15; 19]. The mechanical behavior of the myocardium is described by a rheological model of Hill-Maxwell type [36] that contains an active contractile component (actin-myosin complex) and a visco-elastic component (collagen-rich elements in the surrounding connective tissue). The active component is represented within Huxley's sliding filament theory [17; 18]. All myosin filaments in the sarcomere unit are considered as a series of springs, with active stress ( $\tau_c$ ) and active stiffness ( $k_c$ ) produced for sarcomere extension ( $e_{\text{fib}}$ ) given by, see [15]:

$$\begin{cases} \dot{k}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)k_c + n_0(e_{\text{fib}})k_0|u|_+ \\ \dot{\tau}_c = -(|u| + \alpha|\dot{e}_{\text{fib}}|)\tau_c + \dot{e}_{\text{fib}}k_c + n_0(e_{\text{fib}})\sigma_0|u|_+, \end{cases} \quad (3.10)$$

where  $u(t)$  is an electrical activation function representing intracellular calcium kinetics that induces contraction (when  $u > 0$ ) or relaxation ( $u < 0$ ) of the myocardium (Fig. 3.16b).

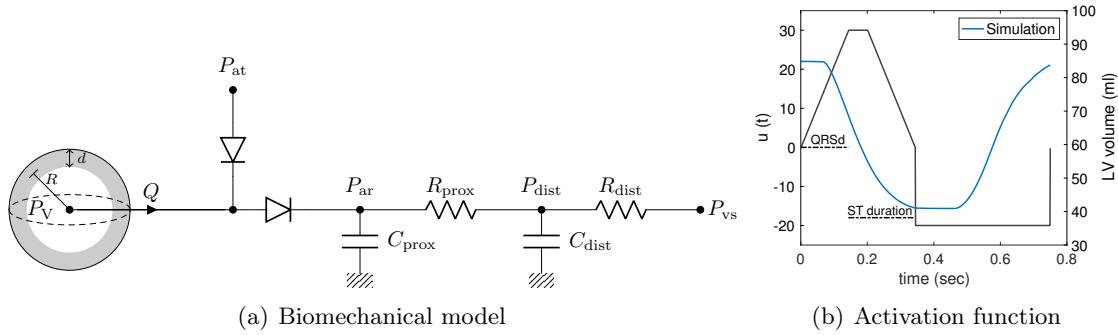


Figure 3.16: (a) Coupling of the heart with atrioventricular and arterial valves via system of diodes and with circulation system represented by a two-stage Windkessel model.  $P_{at}$ ,  $P_V$ ,  $P_{ar}$ ,  $P_{dist}$ ,  $P_{vs}$  stand for pressures in left atrium, left ventricle, aorta, distal systemic circulation and venous system;  $R_{prox}$ ,  $C_{prox}$ ,  $R_{dist}$ ,  $C_{dist}$  are proximal and distal resistances and capacitances in Windkessel model; (b) Electrical activation function  $u(t)$  (black line) with imposed timings of duration of QRS and ST segment from electrocardiogram measurements.

The parameter  $\alpha$  is a bridge destruction rate upon rapid change in length in the sarcomere [15]. The parameter  $\sigma_0$  is the active stress developed by the sarcomere during systole under optimal extension  $e_{fib}$ , and  $n_0(e_{fib}) \in [0, 1]$  represents the Frank-Starling law [15]. The parameter  $\sigma_0$  will be further referred to as the contractility.

The model was turned into patient-specific regime according to the sequential calibration procedure described in [5]. Briefly, the 2-stage Windkessel model was adjusted by imposing the flow measured by phase-contrast MRI aiming to match the maximum and minimum aortic pressure and the pressure at the dirotic notch. Then, the LV wall thickness and the ventricular volume were prescribed according to the cine MRI. The model stress-free configuration was assumed as in the experimental data of [21]. The ventricular preload was prescribed from the measured end-diastolic ventricular pressure. Passive myocardial properties were calibrated to match the measured end-diastolic volume under imposed preload. Myocardial contractility was adjusted to match the measured stroke volume. Patient-specific parameters adjusted during this process, therefore were in the Windkessel model: distal and proximal resistances of the circulation, distal capacitance of the circulation; in the heart model: myocardial stiffness and contractility. Ventricular stroke work was calculated as the area encompassed within the model-derived P-V loop.

### 3.6.2.3 Time-synchronization

Thanks to the biophysical and physiological character of the model, the timing of the cardiac phases was considered as a reference. The simulated waveforms were therefore used as templates to time-synchronize the original data to find an optimal time offset  $t_{offset}$  between the measured data and the model, minimizing the criterion:

$$\min_{t_{offset}} \int_0^{t_{ES}} (f(t) - g(t - t_{offset}))^2 dt, \quad (3.11)$$

where  $f(t)$  represents the simulated LV pressure or volume;  $g(t)$  represents the measured ventricular pressure (volume);  $t_{ES}$  is the time of end-systole in the data.

The P-V loops were constructed by using both original and time-synchronized waveforms. Time-varying elastance was computed for the original and time-synchronized data as:

$$E_{orig/t-syn}(t) = \frac{P_{orig/t-syn}(t)}{V_{orig/t-syn}(t)}, \quad (3.12)$$

Table 3.5: Model-derived time offsets and biophysical parameters. QRSd: QRS duration; MRI: magnetic resonance imaging; Cath: catheterization;  $R_{\text{dist}}$ : distal resistance of the circulation.

	Heart rate			Time offsets	Mechanical indicators		
	QRSd s	MRI bpm	Cath bpm	Pressure-volume s	Contractility kPa	Stroke work mJ	$R_{\text{dist}}$ $\times 10^8 \text{Pa} \times \text{s}/\text{m}^3$
Pt #1	0.089	70	74	0.081	95	698	1.05
Pt #2	0.165	83	61	0.142	85	853	0.52
Pt #3	0.129	88	87	0.139	86	367	1.55
Pt #4	0.133	81	59	0.098	100	893	0.85
Pt #5	0.147	91	67	0.139	73	467	1.60
Pt #6	0.163	82	75	0.120	73	462	1.40
Pt #7	0.129	75	74	0.106	67	445	0.90
Pt #8	0.096	74	95	0.161	56	304	1.10
Pt #9	0.146	79	79	0.158	48	328	1.30
Pt #10	0.129	49	75	0.086	80	907	0.54

where  $P_{\text{orig}/t\text{-syn}}(t)$ ,  $V_{\text{orig}/t\text{-syn}}(t)$  are LV pressures and volumes as originally measured / time-synchronized. The time-varying elastances were then double-normalized in time (with respect to the end-systolic time  $t_{\text{ES}}$ ) and with respect to the maximal elastance value, as in Suga et al. [22]:

$$E^N(\bar{t}) = \frac{E(\bar{t} \cdot t_{\text{ES}})}{\max(E)}. \quad (3.13)$$

### 3.6.2.4 Statistical analysis

The distances between the normalized time-varying elastance obtained from the original, time-synchronized data, respectively ( $E_{\text{orig}}^N$ ,  $E_{t\text{-syn}}^N$ , respectively) and the experimentally obtained  $E_{\text{exp}}^N$  (by Suga et al. [22]) were compared using the  $L^2$  norm over the whole cardiac cycle, i.e. relative distance RD is given by

$$\text{RD}(E_{\text{orig}/t\text{-syn}}^N, E_{\text{exp}}^N) = \frac{\|E_{\text{orig}/t\text{-syn}}^N - E_{\text{exp}}^N\|_{L^2}}{\|E_{\text{exp}}^N\|_{L^2}}. \quad (3.14)$$

Wilcoxon signed-rank tests at  $p < 0.05$  were conducted to assess the difference between  $\text{RD}(E_{\text{orig}}^N, E_{\text{exp}}^N)$  and  $\text{RD}(E_{t\text{-syn}}^N, E_{\text{exp}}^N)$ .

### 3.6.3 Results

Fig. 3.17 shows an example of the patient-specific model and the model-based time-synchronization for Patient #6. Fig. 3.18 displays P-V loops for selected patients, while using original or time-synchronized pressure and volume waveforms. Fig. 3.19(a) shows for patient #6  $E_{\text{orig}/t\text{-syn}}^N(\bar{t})$  (original and time-synchronized data, respectively), in comparison with the experimentally obtained  $E_{\text{exp}}^N(\bar{t})$ . Median  $\text{RD}(E_{\text{orig}}^N, E_{\text{exp}}^N)$  and  $\text{RD}(E_{t\text{-syn}}^N, E_{\text{exp}}^N)$  (original and time-synchronized data for all patients) were 0.16 and 0.03, respectively, as shown in Fig. 3.19(b). According to the Wilcoxon signed-rank test,  $E_{t\text{-syn}}^N(\bar{t})$  was significantly closer to  $E_{\text{exp}}^N(\bar{t})$  ( $p < 0.05$ ). Table 3.5 shows the time offsets for all patients detected by the proposed procedure between original and time-synchronized data. Table 3.5 (right columns) shows examples of patient-specific quantities derived by the model characterizing the functional state of the heart and circulation system.

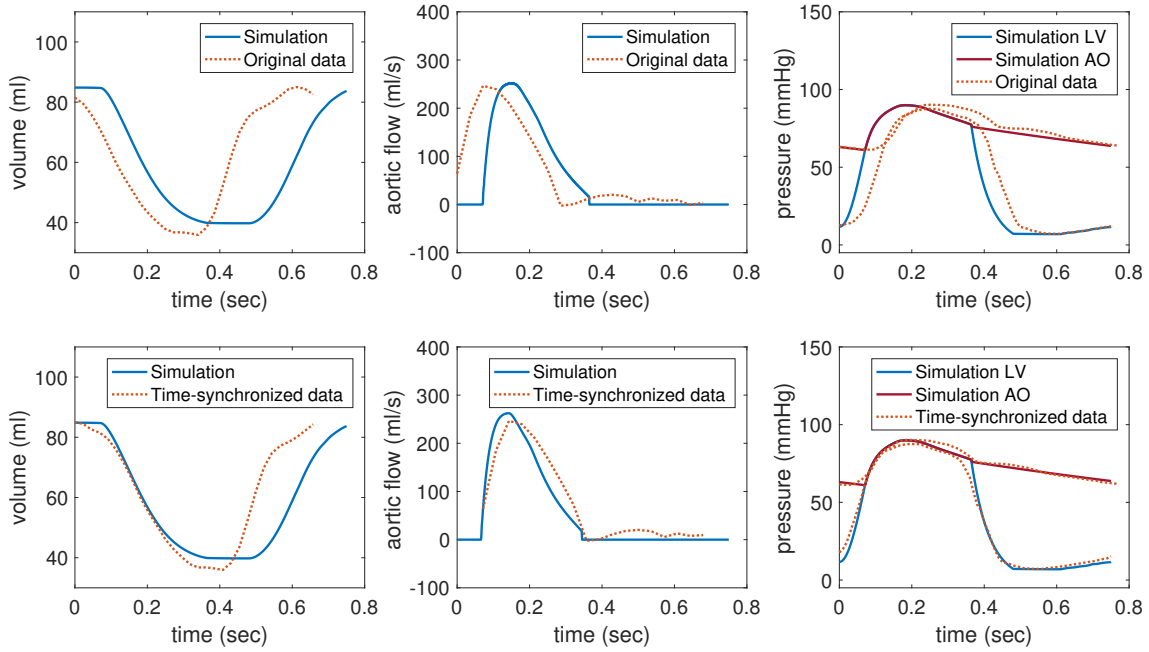


Figure 3.17: Example of data-model coupling for Patient #6. Top: model calibration versus original (non-synchronized) data; bottom: model calibration versus time-synchronized data.

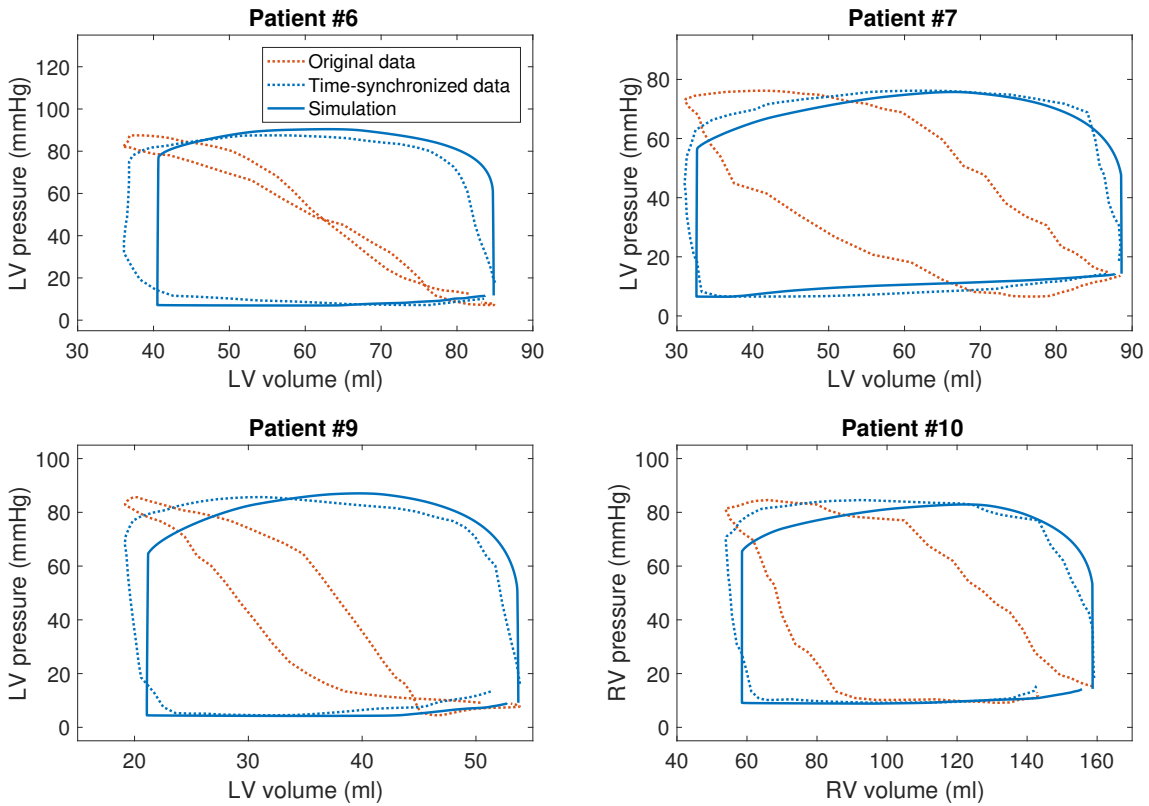


Figure 3.18: Pressure-volume loops for selected patients.

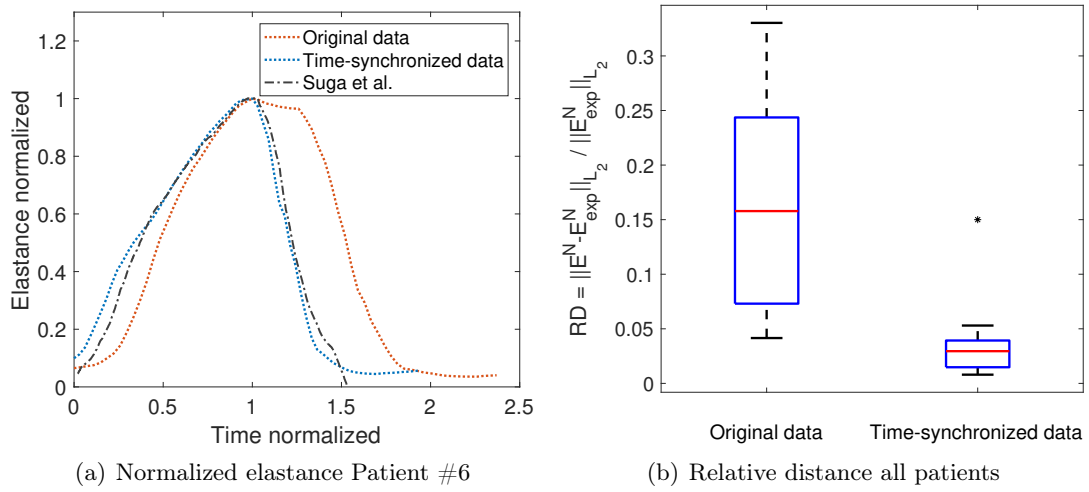


Figure 3.19: (a) Normalized elastance for a selected patient versus elastance in [22] (b) Boxplots of relative distance (RD) of normalized elastance between original/time-synchronized data and the elastance in [22] for all patients. Central line inside the boxes indicates the median, and the bottom and top edges of the boxes show the 25th and 75th percentiles, respectively. The star indicates significant difference at  $p < 0.05$ .

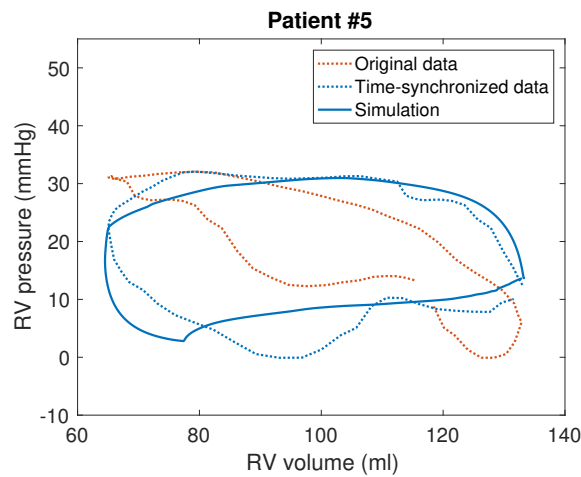


Figure 3.20: Pressure-volume loops for right ventricle of patient #5 with 11% and 30% of tricuspid and pulmonary regurgitation, respectively.



### 3.6.4 Discussion

In this work we developed a time-synchronization protocol for the ventricular pressure obtained by catheter measurement and volume from cine MRI using a patient-specific computational model. Fig. 3.18 shows a qualitative improvement of the P-V loops after time-synchronizing the pressure and volume data. The box plot in Fig. 3.19 shows that in all 10 patients the shape of normalized time-varying elastance obtained from time-synchronized P-V data is substantially closer to the experimentally measured curve [22]. This suggests that the time-synchronization restores the time-varying elastance to give a physiologically more meaningful relationship. Even though the time-varying elastance obtained in [22] was obtained in dogs, some data obtained in other animal species (e.g. pigs [32]) or even from invasive measurements in human patients [33; 34] suggest their compatibility with [22]. Therefore, in the present work we assumed the normalized time-varying elastance [22] to be a physiological representative of ventricular pressure-volume relationship. The time offsets detected by the model appeared to vary among the patients (Table 3.5) demonstrating that the same offset cannot be applied to all patients even though the same setup of MR acquisition was used. The offsets between P-V data were between 0.081 and 0.161 s, what is in the order of the QRS duration in all patients in our study. In addition to that in patients #2, #4, #5, #10 the difference between MRI and pressure heart rates was more than 30%. Therefore we hypothesize that when combining MRI and catheterization techniques the offsets in the P-V data might be associated with several factors: wide QRS complex, difference in the heart rates, and an error in the order of temporal resolution of the MRI (0.020-0.030s).

The model employed in this work was a biomechanical model incorporating physiology of muscle contraction built on various spatial scales. Of note, any other heart model with adequate timings of P-V change could be used as a template in the proposed time-synchronization method. The advantage of using our model [15] is that it can also account for the valvular mechanics (inflow and/or outflow valve regurgitation, see [29]) and hence can be used for time-synchronization of the ventricles with more complicated physiology. Fig. 3.20 shows an example of model-assisted time-synchronization of the right ventricle of Patient #5 with 11% and 30% of tricuspid and pulmonary regurgitation, respectively, where the absence of isovolumic phases would have limited the ability to yield a physiological P-V loop without using a model as a resynchronization template. In addition, the advantage of using a truly biophysical model is that it provides, as a “side effect”, additional patient-specific mechanical indicators of various compartments of the cardiovascular system (some examples are displayed in Table 3.5). Those may not be directly visible in the data but are clinically relevant. For instance, myocardial contractility characterizes the functionality of the ventricle, the stroke work can provide information about myocardial energetics, and the distal resistance of the circulation system is an important indicator of a functional state or cardiovascular health in a variety of pathologies. The proposed time-synchronization strategy is not limited neither to the CMR & catheterization data acquisition protocol nor to the ventricular pressure & volume data itself, and could be utilized in the variety of patients’ data. It can be used e.g. to time-synchronize data acquired in an interventional CMR suite (iCMR, [7]). Moreover, the model can substitute e.g. a missing part of the cardiac cycle in the volume waveform (typically, in highly accelerated cine MRI in prospective ECG trigger).

### 3.6.5 Conclusion

Time-synchronization of data using patient-specific biophysical modeling can be applied when combining various imaging techniques (echoardiography, dynamic CT, or CMR) and/or

when imaging is combined with catheterization data. The proposed modeling framework is fast in computational time and can be employed in clinical settings allowing to plot high-quality P-V loops and extract some useful functional indices of the cardiovascular system.

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## CHAPTER 4

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# Conceptual link between model-derived measures of ventricular contractility and clinically accepted surrogate measures of ventricular systolic performance

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Translation of novel model-derived indices into clinical practice necessitates their validation against existing clinically accepted biomarkers. In this chapter the goal is to explore a conceptual link between data- and model-derived indices of ventricular contractility. Time-synchronized datasets and calibrated models of all patients from Chapter 3 were used to (1) build statistical relationships between the aforementioned indices, and (2) statistically compare model- and data-derived  $\max(dP/dt)$ . In addition, a thorough literature review on the clinical relevance of the presented indices of cardiac systolic function was performed. The results (1) showed significant correlation between all model- and data-derived indices, and (2) emphasized a potential application of model-derived  $\max(dP/dt)$  as a model-based data filter of noisy values of clinical  $\max(dP/dt)$ . A literature review demonstrated that (1) the gold standard measure of ventricular contractility is challenging to use with the current physiological and biomechanical knowledge, and (2) some clinical scenarios might benefit from combining several indices of contractile performance.

## Contents

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<b>4.1 Introduction</b>	<b>115</b>
4.1.1 $E_{\max}$ as a measure of ventricular pump function during systole	115
4.1.2 Conceptual link between $E_{\max}$ and $\max(dP/dt)$	116
4.1.3 Model-derived contractility	117
4.1.4 Objectives	117
<b>4.2 Methods</b>	<b>117</b>
4.2.1 Data	117
4.2.2 Time-varying elastance and $\max(dP/dt)$	117
4.2.3 Myocardial contractility and $\max(dP/dt)$ from patient-specific biomechanical models.	118
4.2.4 Quantitative analysis of the relationships between the data- and model-derived measures of contractility	118
<b>4.3 Results</b>	<b>118</b>
4.3.1 Relationship between $E_{\max}$ and $\max(dP/dt)^{\text{data/model}}$	118
4.3.2 Relationship between contractility and $\max(dP/dt)^{\text{data/model}}$	120



4.3.3	Relationship between contractility and $E_{\max}$	123
<b>4.4</b>	<b>Discussion</b>	<b>123</b>
4.4.1	Quantitative link between $E_{\max}$ and $\max(dP/dt)$	123
4.4.2	Data- and model-derived $\max(dP/dt)$	124
4.4.3	Quantitative interdependence between the surrogate measures of ventricular contractility	125
4.4.4	Clinical implications	126
4.4.5	Model-derived $\max(dP/dt)$ as a model-based data filter	128
4.4.6	Limitations	128
<b>4.5</b>	<b>Concluding remarks</b>	<b>129</b>
<b>4.6</b>	<b>Appendix</b>	<b>129</b>
4.6.1	In silico effect of QRS duration on $\max(dP/dt)$	129
4.6.2	In silico effect of atrioventricular valve regurgitation on $\max(dP/dt)$	130

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## 4.1 Introduction

Myocardial contractility is often described by myocardial inotropic state. Myocardial inotropy is the potential of the myocardium to generate an optimal level of force during systole in a steady state and on a beat-to-beat basis. It is a specific characteristic of an organ-level performance of the heart to develop an optimal level of force that is required to pump the blood into the arterial network during ventricular systole. Pathological conditions due to substantial tissue-level remodeling could diminish an overall inotropy of the myocardium. This could lead to an inability of the heart to maintain an adequate bodily blood supply and if sustained to organ failure. Therefore, to optimize the diagnostics and treatment of cardiac pathologies it is important to have accurate measures of myocardial inotropy (contractility) that are able to characterize the functional state of the heart.

Myocardial inotropic state can be measured during the two points throughout the cardiac systole: (1) during isovolumic phase when the ventricle is building pressure against the closed arterial and atrioventricular valves and the rate of tension development reaches its maximum, and (2) at the end of ejection when the maximum level of stress is generated for given loading conditions to push all the blood into the circulation. The maximum rate of pressure development during isovolumic systole ( $\max(dP/dt)$ ) is a surrogate measure of the maximum rate of tension development within the myocardium [1; 2; 3; 4; 5]. The end of ejection and the associated level of myocardial stress has been characterized by the maximum value of time-varying elastance ( $E_{\max}$ ). In the sequel we will introduce the theoretical relationship between  $\max(dP/dt)$  and  $E_{\max}$ .

### 4.1.1 $E_{\max}$ as a measure of ventricular pump function during systole

The time-varying elastance model ( $E(t)$ ) of Suga et al [6] describes ventricular systolic pump function in terms of variation in instantaneous ratio of pressure versus volume during the cardiac cycle. It determines the ability of ventricular chamber to generate systolic pressure and flow against given vascular impedance [7]. Recall:

$$E(t) = \frac{P(t)}{V(t) - V_0}, \quad (4.1)$$

with  $P(t)$  and  $V(t)$  being ventricular pressure and volume. In this work, similar to Chapter 3 the correction factor  $V_0$  is taken as zero.

The time-varying elastance model has been shown to be valid for a variety of species: dogs [6; 8], pigs [9], and humans [10; 11]. Suga and colleagues [6; 8] have demonstrated that the normalization of  $E(t)$  curve by its peak amplitude ( $E_{\max}$ ) and the time to peak amplitude ( $t_{\max}$ ) among studied ventricles yields a single normalized elastance curve ( $E^N(\bar{t})$ ) with unique shape regardless of the canine ventricle studied.  $E^N(\bar{t})$  was shown to be independent of loading conditions, heart rate and contractile state:

$$E^N(\bar{t}) = \frac{E(\bar{t} \cdot t_{\max})}{E_{\max}}. \quad (4.2)$$

Hence:

$$E(t) = E_{\max} * E^N(\bar{t}), \quad (4.3)$$

where

$$E_{\max} = \frac{P_{ES}}{V_{ES}}, \quad (4.4)$$

with  $P_{ES}$  and  $V_{ES}$  being end-systolic pressure and volume, respectively.

The characteristic parameters of time-varying elastance curve are  $E_{max}$  and  $t_{max}$ , the latter characterizing the time of end systole [6].  $E_{max}$  is independent from loading conditions and is sensitive to the changes in inotropic state of the myocardium [6; 8; 12] and is therefore considered as a surrogate measure of contractility.

#### 4.1.2 Conceptual link between $E_{max}$ and $\max(dP/dt)$

Senzaki et al. [10] demonstrated in human LV's that mean  $E^N(\bar{t})$  curves appeared to have the same shape despite different disease conditions, afterload, preload and inotropic states (Figure 4.1).

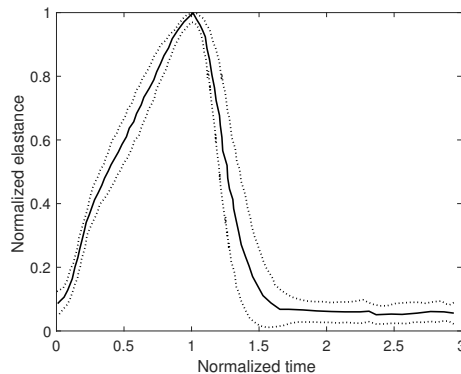


Figure 4.1: Averaged normalized elastance curve ( $E^N(\bar{t})$ ) for the cohort of 78 human left ventricles, where 15 patients were normal heart and 63 contained various forms of chronic heart disease. Solid line is the mean and dashed lines are the  $\pm 1$  standard deviation. Adapted from [10]

Figure 4.1, reproduced from Senzaki et al. [10], shows that the least variance of the normalized elastance for the studied cohort of 78 patients (15 normal heart and 63 various forms of chronic heart disease) occurred in two points of cardiac cycle: (1) early contraction (isovolumic phase) and (2) just before the end of systole, suggesting that a time-varying elastance model could systematically predict the chamber performance at these two characteristic time points. Similar conclusion was reached in animal study by Little in 1985 [13] who showed that  $\max(dP/dt)$  can be expressed in terms of maximum time derivative of  $E(t)$  ( $\max(dE/dt)$ ) during isovolumetric systole assuming that end-diastolic volume (EDV) is constant. Recall from Chapter 3:

$$\max(dP/dt) = \max(dE/dt) * EDV. \quad (4.5)$$

In addition the time-varying elastance model predicts that  $\max(dE/dt)$  is proportional to  $E_{max}$  via time differentiation of eq. (4.3):

$$\max(dE/dt) = (E_{max}/t_{max}) * \max(dE^N/d\bar{t}). \quad (4.6)$$

The value of  $E^N(\bar{t})$  was shown to be preserved across canine [6; 8] and human [10] ventricles. The maximum value of the derivative of  $E^N(\bar{t})$  should also be constant –  $k$ :

$$\max(dE/dt) = (E_{max}/t_{max}) * k. \quad (4.7)$$

Little [13] invasively obtained  $\max(dP/dt)$  vs. EDV relationship via acute preload reduction by caval occlusion in closed chest canine LV's and compared it with  $P_{ES}$  vs.  $V_{ES}$  relationship (where the slope is equal to  $E_{max}$ ). It was found that (1)  $\max(dP/dt)$  vs. EDV relationship was described by straight line and the slope of this line was equivalent to

$\max(dE/dt)$ , and (2) in response to positive inotropic stimulation both  $\max(dE/dt)$  and  $E_{\max}$  significantly increased. Similar proportionality between the slopes of the relationships between  $\max(dP/dt)$  vs. EDV and  $P_{ES}$  vs.  $V_{ES}$  was demonstrated in another study by [14]. Therefore, the time-varying elastance model has the potential to provide a conceptual link between dynamics of contraction occurring in isovolumic phase and end of ejection.

### 4.1.3 Model-derived contractility

The biomechanical model-derived contractility represents a maximum inotropic stress generated by the myocardium during systole. It is derived from Huxley's sliding filament theory of actin-myosin dynamics that are integrated into the Hill-Maxwell rheological law to model the overall tissue-level contraction (see Appendix in Chapter 1). In Chapters 1 and 2 we have demonstrated the potential of the model-derived contractility to characterize RV function before and after pulmonary valve replacement in patient-specific manner. The model-derived contractility (rescaled by the ratio of ventricular wall thickness over chamber radius) was in agreement with the Laplace's law and has been previously shown to correlate with  $\max(dP/dt)$  in [15].

### 4.1.4 Objectives

Translation of novel model-derived indices into clinical practice necessitates their validation against existing clinically accepted biomarkers. The first aim of this chapter is to explore a conceptual link between data- and model-derived indices of ventricular contractility. The following associated relationships between data- and model-derived indices will be quantitatively evaluated: (1)  $E(t)$  vs. data- and model-derived  $\max(dP/dt)$ , (2) model-derived contractility vs. data-derived  $\max(dP/dt)$ , and (3) model-derived contractility vs. data-derived  $E_{\max}$ .

Clinically acquired  $\max(dP/dt)$  might not always be available due to the absence of continuous pressure signal or due to the high level of noise present in the acquisition of the pressure signal. Therefore, the second aim is to explore the the potential of model-derived  $\max(dP/dt)$  to act as a model-based 'data-filter'. We will statistically evaluate the difference between data- and model-derived  $\max(dP/dt)$  with respect to their relationship with data-derived  $E_{\max}$ .

## 4.2 Methods

### 4.2.1 Data

Three groups of patients were included in this study. Time-synchronized datasets from Chapter 3 were used: N=16 single-ventricle patients, N=17 rTOF LV patients, and N=20 rTOF RV patients. The catheters used to collect pressure signals in three patients' groups were: in single ventricle and rTOF RV patients 6-French Arrow, Balloon Wedge-Pressure Catheter (Teleflex Medical Headquarter International, Ireland, Model #: AI-07124 or AI-07126), and in rTOF LV patients 4F 90cm Pigtail 4-French non-braided pigtail catheter (Angiodynamics, Soft-Vu, Amsterdam, The Netherlands).

### 4.2.2 Time-varying elastance and $\max(dP/dt)$

To explore the relationship between  $E_{\max}$  and  $\max(dP/dt)$  we used the time-varying elastance model according to which  $\max(dP/dt)$  should be related to  $E_{\max}$  via eqs. (4.5) and (4.7):

$$\frac{\max(dP/dt)}{EDV} = (E_{\max}/t_{\max}) * k. \quad (4.8)$$

### 4.2.3 Myocardial contractility and $\max(dP/dt)$ from patient-specific biomechanical models.

For single-ventricle and rTOF RV patients we used the calibrated models from Chapters 3 and 1, respectively. For rTOF LV patients the same biomechanical model described in detail in the Chapter 1 was used to calibrate the model (see description of calibration procedure in the Appendix of Chapter 1). Briefly, the following patient-specific parameters of the circulation were adjusted: proximal resistance, distal resistance and capacitance. EDV and ventricular mass were used to derive the reference volume and mean wall thickness. End-diastolic pressure was imposed to calibrate patient-specific parameters of myocardial wall thickness from hyperelastic law (eq. (1.4) in Chapter 1). Finally, myocardial contractility was calibrated to match measured end-systolic pressure and volume (ESP and ESV, respectively) in the data. Intraventricular pressure is the output of the model that is given by the principle of virtual work. Model-derived pressure-vs-time waveform was differentiated in time to obtain the maximum value of its derivative  $\max(dP/dt^{\text{model}})$ .

### 4.2.4 Quantitative analysis of the relationships between the data- and model-derived measures of contractility

Data-derived measures of ventricular contractility were  $E_{\max}$  and  $\max(dP/dt^{\text{data}})$ , and model-derived measures were  $\max(dP/dt^{\text{model}})$  and contractility. The following associated relationships were built:

- I Linear regression model through the origin for  $E_{\max}/t_{\max}$  vs.  $\max(dP/dt^{\text{data/model}})/EDV$  (eq. (4.8)) at  $p < 0.050$ . Note that in the sequel this relationship will be referred to as  $E_{\max}$  vs.  $\max(dP/dt^{\text{data/model}})$  relationship. The reference value of  $k$  in this relationship was assumed be  $k_{\text{ref}} = \max(dE^N/d(\bar{t}))$ , where  $\max(dE^N/d(\bar{t})) = 2.3$  as suggested by Senzaki et al. [10] for a human ventricle.
- II Pearson's correlation between model-derived contractility rescaled by the ratio of myocardial wall thickness over chamber radius (in accordance with Laplace's law) and  $\max(dP/dt^{\text{data/model}})$  at  $p < 0.050$ .
- III Pearson's correlation between  $\max(dP/dt^{\text{data/model}})$  and ventricular ESP in the data at  $p < 0.050$ . Bland-Altman plots were constructed to quantitatively evaluate the difference between  $\max(dP/dt^{\text{data}})$  and  $\max(dP/dt^{\text{model}})$ .
- IV Pearson's correlation between model-derived rescaled contractility and  $E_{\max} \cdot EDV$  at  $p < 0.050$ .

## 4.3 Results

### 4.3.1 Relationship between $E_{\max}$ and $\max(dP/dt^{\text{data/model}})$

The mean ( $\pm$  SD)  $E_{\max}$  in three patients groups was: 1.92 ( $\pm$  1.13) mmHg/ml, 2.23 ( $\pm$  0.82) mmHg/ml, and 0.61 ( $\pm$  0.25) mmHg/ml in single-ventricle, rTOF LV and rTOF RV, respectively. The mean ( $\pm$  SD)  $t_{\max}$  in three patients groups was: 0.27 ( $\pm$  0.04) s, 0.32 ( $\pm$  0.03) s and 0.27 ( $\pm$  0.05) s in single-ventricle, rTOF LV and rTOF RV, respectively.

Figure 4.2 shows fitted linear regression models for the relationships between  $E_{\max}/t_{\max}$  vs.  $\max(dP/dt^{\text{data/model}})/\text{EDV}$  in single-ventricle, rTOF LV and RV patients. Table 4.1 summarizes quantitative statistics of the fitted regression models. In all three groups  $R^2$  of data- vs. model-derived  $E_{\max}$  vs.  $\max(dP/dt)$  relationships were 0.87 vs. 0.98 in single-ventricle patients, 0.60 vs. 0.82 in rTOF LV patients, and 0.72 vs. 0.86 in rTOF RV patients. The mean ( $\pm$  SD) coefficients of data- vs. model-derived  $E_{\max}$  vs.  $\max(dP/dt)$  relationships were 1.66 ( $\pm 0.28$ ) vs. 2.25 ( $\pm 0.20$ ), respectively, in single-ventricle group; 2.16 ( $\pm 0.61$ ) vs. 2.28 ( $\pm 0.32$ ), respectively, in rTOF LV group; 1.68 ( $\pm 0.47$ ) vs. 1.72 ( $\pm 0.28$ ), respectively, in rTOF RV group.

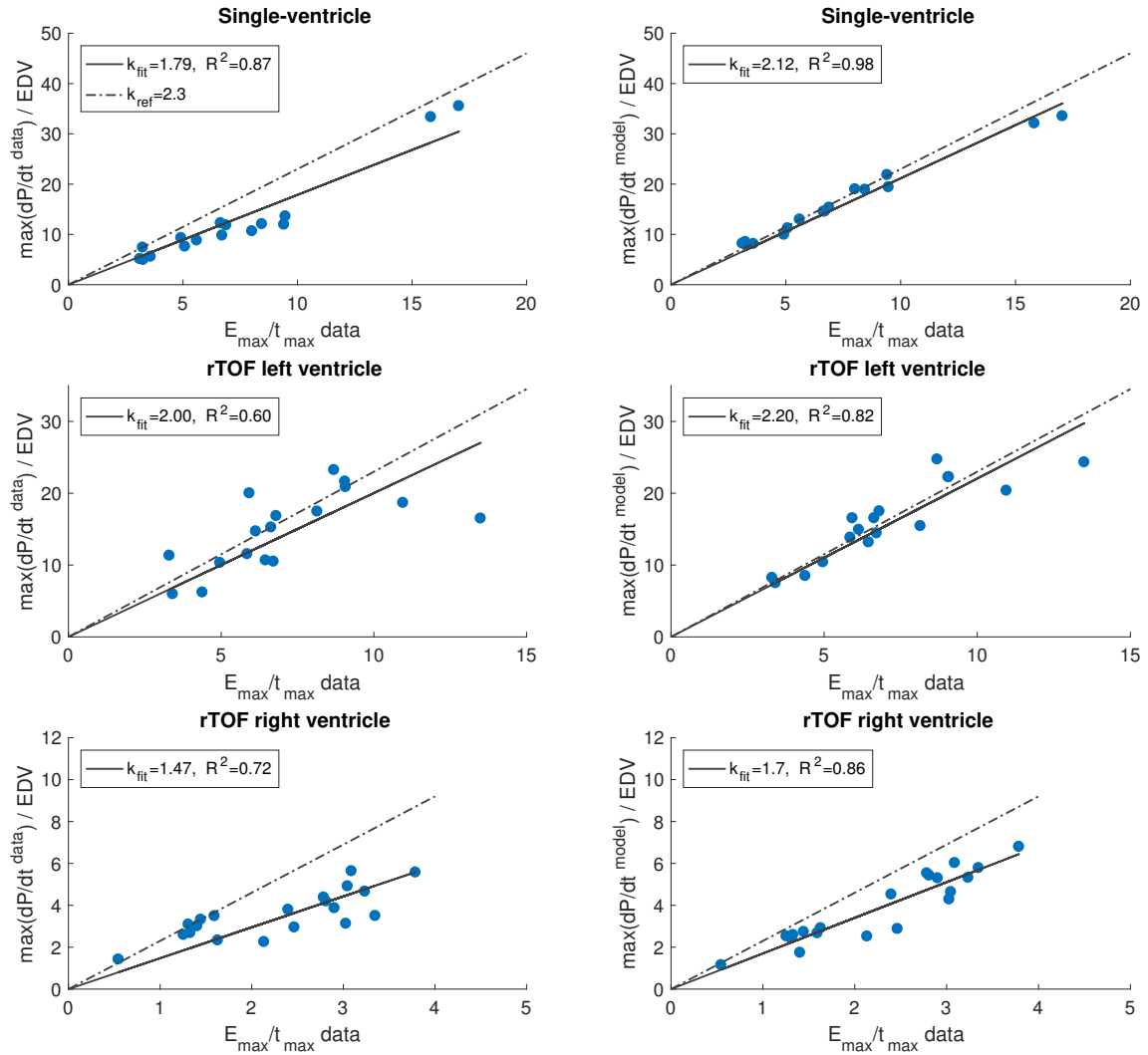


Figure 4.2: Linear regression models for the relationships between time-varying elastance given by the data and maximum time derivative of ventricular pressure given by the data ( $\max(dP/dt^{\text{data}})$ ) and that provided by the model ( $\max(dP/dt^{\text{model}})$ ) in single-ventricle, rTOF left and right ventricle patients. EDV: end-diastolic volume;  $E_{\max}$ : maximum time-varying elastance;  $t_{\max}$ : time of occurrence of  $E_{\max}$ ;  $k_{\text{fit}}$ : coefficient of the fitted linear regression model;  $k_{\text{ref}}$ : reference coefficient equal to 2.3 derived from Senzaki et al. normalized time-varying elastance curve [10];  $R^2$ : coefficient of determination at  $p < 0.05$ .

Table 4.1: Statistics for linear regression models for the relationships between data-derived time-varying elastance and data- and model-derived maximum time derivative of ventricular pressure,  $\max(dP/dt^{\text{data}})$  and  $\max(dP/dt^{\text{model}})$ , respectively, in single-ventricle, rTOF left (LV) and right ventricle (RV) patients.  $k_{\text{fit}}$ : regression coefficient;  $SE_{k_{\text{fit}}}$ : standard error of the coefficient;  $R^2$ : coefficient of determination.

	$\max(dP/dt^{\text{data}})$				$\max(dP/dt^{\text{model}})$			
	$k_{\text{fit}}$	$SE_{k_{\text{fit}}}$	$R^2$	p-value	$k_{\text{fit}}$	$SE_{k_{\text{fit}}}$	$R^2$	p-value
Single-vent.	1.79	0.09	0.87	0.00	2.12	0.04	0.98	0.00
rTOF LV	2.00	0.14	0.60	0.00	2.20	0.09	0.82	0.00
rTOF RV	1.47	0.08	0.72	0.00	1.70	0.06	0.86	0.00

### 4.3.2 Relationship between contractility and $\max(dP/dt^{\text{data/model}})$

Figure 4.3 shows Pearson’s correlations between model-derived rescaled contractility and  $\max(dP/dt^{\text{data}})$  and  $\max(dP/dt^{\text{model}})$ .  $R^2$  between contractility and  $\max(dP/dt^{\text{data}})$  were 0.80 (p=0.00), 0.55 (p=0.02) and 0.80 (p=0.00) in single-ventricle, rTOF LV, and rTOF RV patients, respectively.

#### 4.3.2.1 Difference between data- and model-derived $\max(dP/dt)$

Mean  $\max(dP/dt^{\text{data}})$  ( $\pm$  SD) was 821 ( $\pm$  247) mmHg, 1157 ( $\pm$  262) mmHg, and 484 ( $\pm$  144) mmHg in single-ventricle, rTOF LV and rTOF RV groups, respectively. Mean arterial diastolic pressure ( $\pm$  SD) was 47.8 ( $\pm$  6.3) mmHg, 52.4 ( $\pm$  7.6) mmHg, and 11.8 ( $\pm$  2.2) mmHg in single-ventricle, rTOF LV, and rTOF RV patient groups, respectively.

Figure 4.4 and Table 4.2 show the Bland-Altman statistics for the difference between  $\max(dP/dt^{\text{data}})$  and  $\max(dP/dt^{\text{model}})$ . The mean ( $\pm$  SD) difference was -272 ( $\pm$  168) mmHg, -78 ( $\pm$  221) mmHg and -40 ( $\pm$ 118) mmHg in single-ventricle, rTOF LV and rTOF RV patients, respectively.

Figure 4.5 shows Pearson’s correlations between ventricular ESP and  $\max(dP/dt^{\text{data/model}})$ , where  $R^2$  of data- vs. model-derived relationships were 0.84 (p=0.00) vs. 0.91 (p=0.00), 0.66 (p=0.00) vs. 0.83 (p=0.00), and 0.77 (p=0.00) vs. 0.95 (p=0.00) in single-ventricle, rTOF LV, and rTOF RV patients, respectively.

Table 4.2: Bland-Altman quantitative statistics showing the mean bias  $\pm$  standard deviation (SD) and limits of agreement at 95%-confidence interval (CI) ( $\pm$  1.96 times standard deviation) between data- and model-derived  $\max(dP/dt)$  in mmHg.

	mean $\pm$ SD	95% - CI
Single-vent.	-274 $\pm$ 168	-601 to 57
rTOF LV	-78 $\pm$ 221	-511 to 357
rTOF RV	-40 $\pm$ 118	-271 to 190

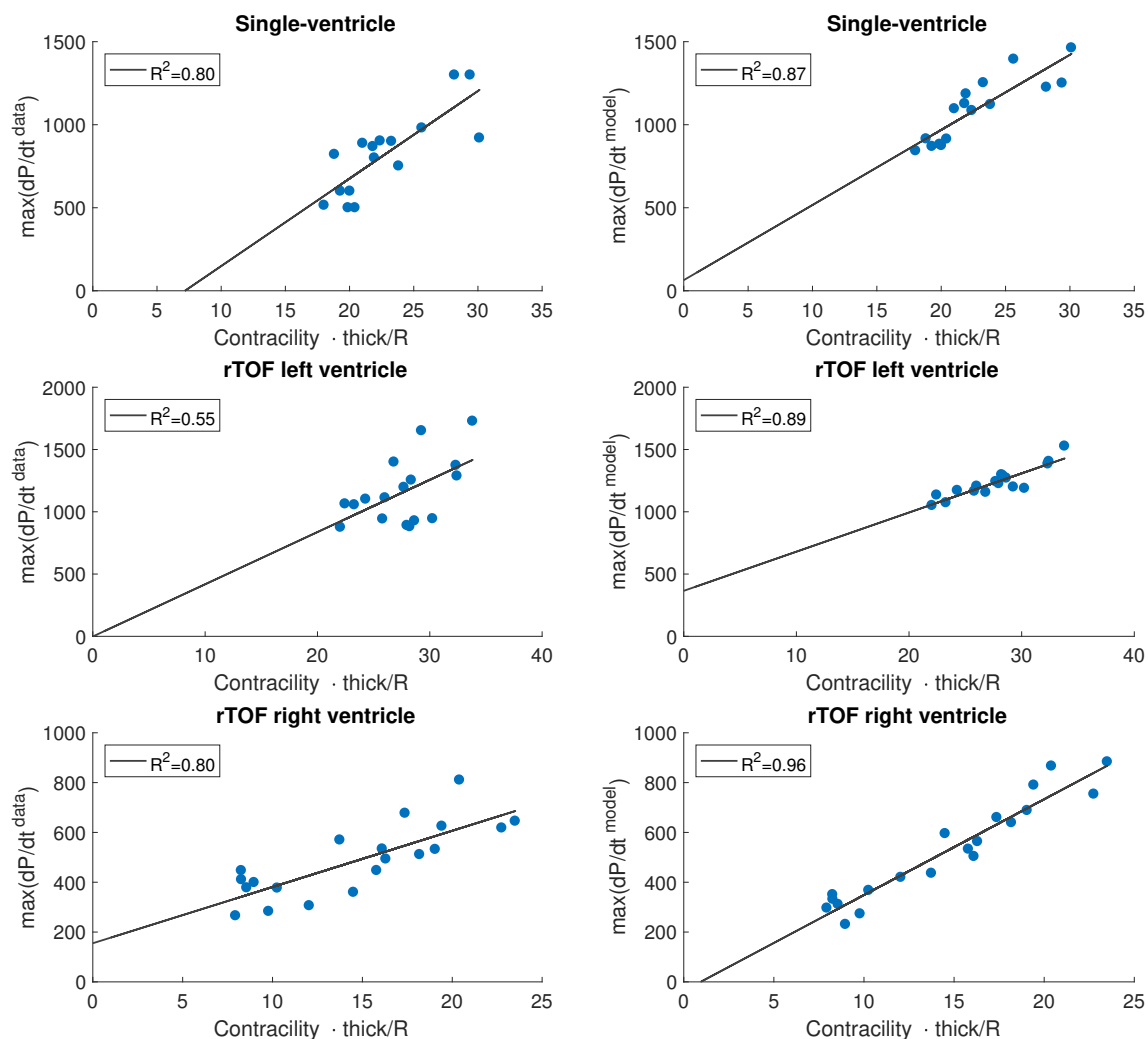


Figure 4.3: Pearson's correlations between model-derived contractility rescaled by the ratio of ventricular wall thickness over chamber radius and maximum time derivative of ventricular pressure given by the data ( $\max(dP/dt^{\text{data}})$ ) and that provided by the model ( $\max(dP/dt^{\text{model}})$ ) in single-ventricle (top row), rTOF left (middle row) and right ventricle (bottom row) patients.  $R^2$ : Pearson's coefficient at  $p < 0.05$ .

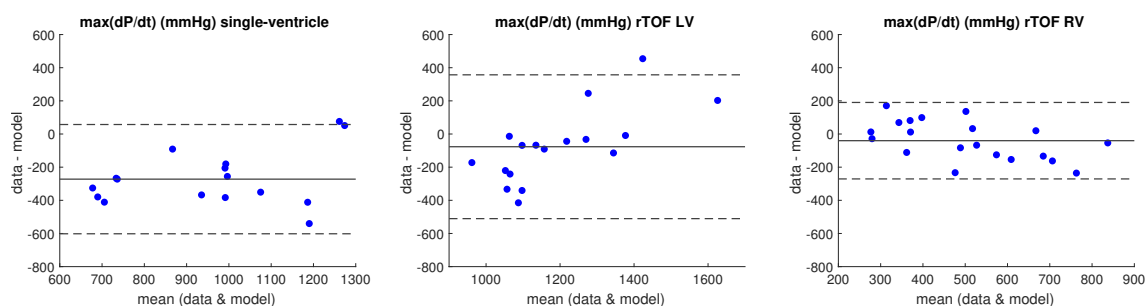


Figure 4.4: Bland-Altman plots for the difference between data- and model-derived  $\max(dP/dt)$  in single-ventricle (left), rTOF left (middle) and right ventricle (right) patients. Solid horizontal line is the mean of the difference between data- and model-derived  $\max(dP/dt)$ . Top and bottom dashed horizontal lines are the 95 % prediction interval ( $\pm 1.96$  standard deviation). LV: left ventricle; RV: right ventricle.



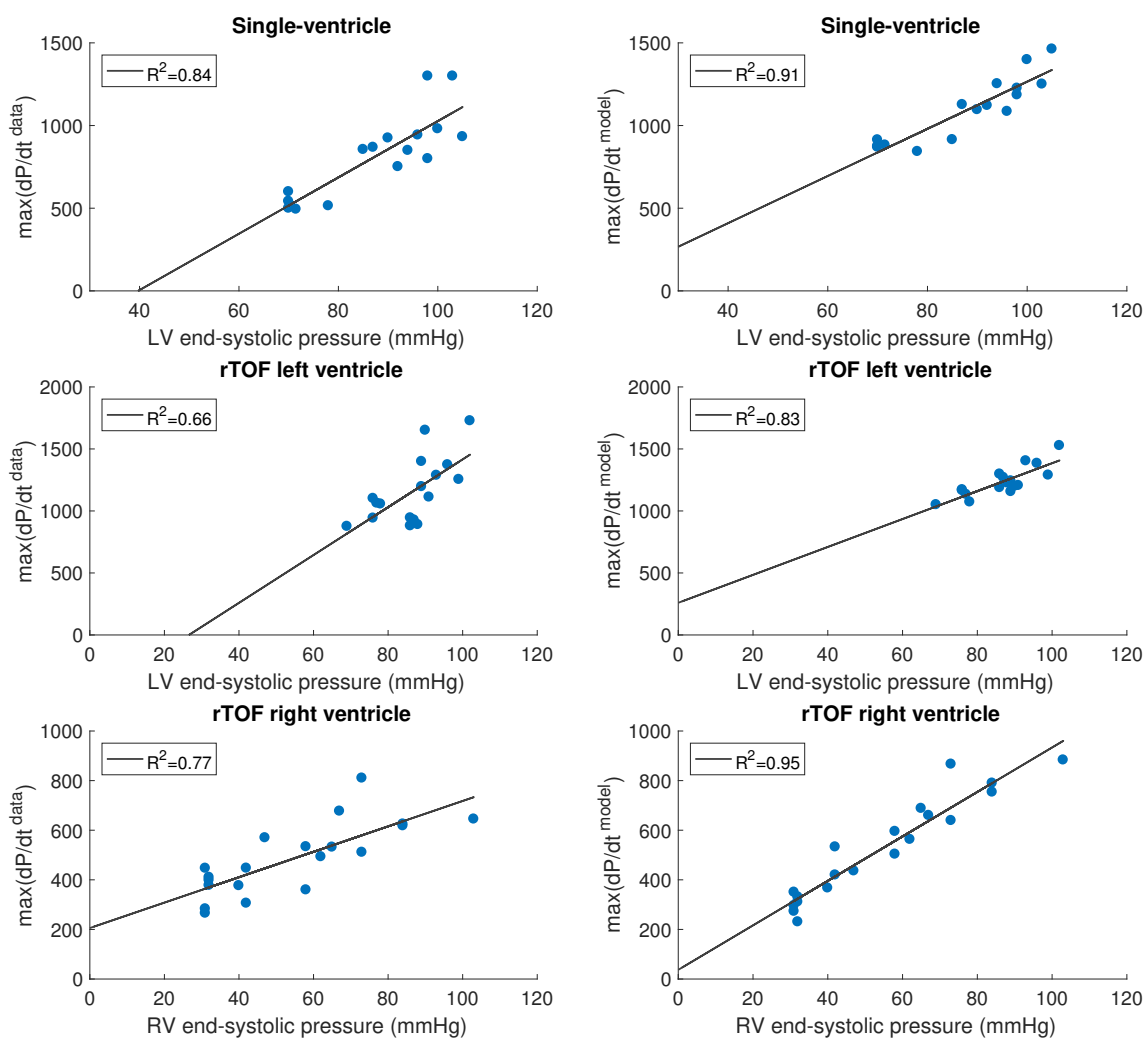


Figure 4.5: Pearson's correlations between ventricular end-systolic pressure and data-/ model-derived maximum time derivative of ventricular pressure ( $\max(dP/dt^{\text{data/model}})$ ) in single-ventricle (top row), rTOF left (middle row) and right ventricle (bottom row) patients. LV: left ventricle; RV: right ventricle;  $R^2$ : Pearson's coefficient at  $p < 0.05$ .

### 4.3.3 Relationship between contractility and $E_{\max}$

Figure 4.6 shows Pearson's correlations between model-derived contractility rescaled by the ratio of ventricular wall thickness over chamber radius and maximum time-varying elastance ( $E_{\max}$ ) divided by EDV.  $R^2$  was 0.89 ( $p=0.00$ ), 0.78 ( $p=0.00$ ), and 0.97 ( $p=0.00$ ) in single-ventricle, rTOF LV and rTOF RV patients' groups, respectively.

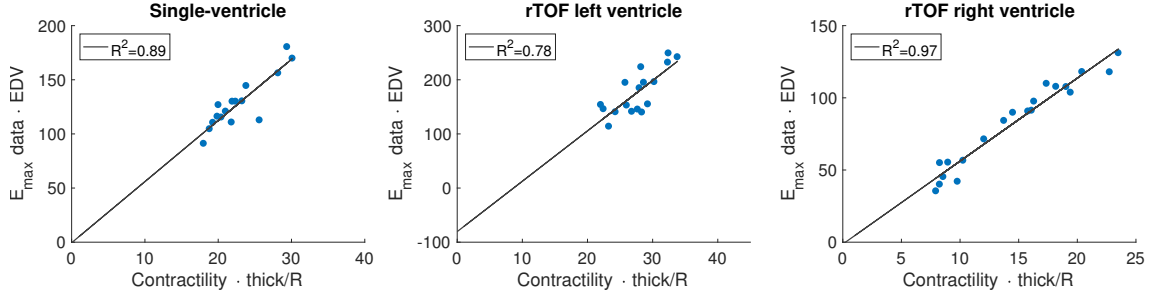


Figure 4.6: Pearson correlations between model-derived contractility rescaled by the ratio of ventricular wall thickness over chamber radius and maximum time-varying elastance ( $E_{\max}$ ) divided by end-diastolic volume (EDV) in single-ventricle (left), rTOF left (middle) and right ventricle (right) patients.  $R^2$ : Pearson's coefficient at  $p < 0.05$ .

## 4.4 Discussion

The aim of the present chapter was to explore a conceptual link between data- and model-derived surrogate measures of ventricular contractility. The main findings were:

- $E_{\max}$  vs.  $\max(dP/dt^{\text{data}})$ : strong relationship in single-ventricle, and moderate relationships in rTOF LV and rTOF RV patients' groups
- $E_{\max}$  vs.  $\max(dP/dt^{\text{model}})$ : strong relationship in all three patients' groups
- contractility vs.  $\max(dP/dt^{\text{data}})$ : strong relationship in single-ventricle and rTOF RV patients, and moderate relationship in rTOF LV patients.
- contractility vs.  $E_{\max}$ : strong relationship in all patients' groups.

### 4.4.1 Quantitative link between $E_{\max}$ and $\max(dP/dt)$

Linear regression analysis of the relationship between  $E_{\max}$  vs.  $\max(dP/dt^{\text{data}})$  were strong and significant in single-ventricle group, and moderate and significant in rTOF LV and RV groups substantiating the correspondence of pressure-volume data with the elastance model of Suga [8]. Similarly in the relationship between  $E_{\max}$  vs.  $\max(dP/dt^{\text{model}})$  the strongest relationship with the least variance was observed in single-ventricle group. In single-ventricle patients pressure-volume data were simultaneously acquired whereas in rTOF LV and RV patients pressure-volume data were recorded in separate sessions (volumes 3-4 months prior to catheterization). As already acknowledged in Chapter 3 volume data might be underestimated with respect to the pressure data in rTOF patients as both ventricles are progressively remodeling until the catheterization is performed.

Linear regression models for the relationships of  $E_{\max}$  vs.  $\max(dP/dt^{\text{data/model}})$  in Figure 4.2 suggest that model-derived  $\max(dP/dt)$  quantitatively strengthens  $E_{\max}$  vs.  $\max(dP/dt)$  relationship yielding higher values of  $R^2$  and lower values of  $SE_{\text{fit}}$  in all patients. In addition model-derived  $\max(dP/dt)$  shifted  $k_{\text{fit}}$ -coefficients ( $k_{\text{fit}} = 2.12$ ,  $k_{\text{fit}} =$

2.20 and  $k_{\text{fit}} = 1.70$  in single-ventricle, rTOF LV, and rTOF RV, respectively) closer to the  $k$ -coefficient reported in the literature:  $k_{\text{ref}} = 2.3$  in Senzaki et al. [10] (human),  $k_{\text{suga}} = 2.4$  in Suga et al [6] (canine), and  $k = 2.2 \pm 0.7$  in the study by Little [13] (canine).

The  $k_{\text{fit}}$ -coefficient in rTOF RV group was lower compared to that in single-ventricle and rTOF LV groups. Anatomical and physiological characteristics that are inherent to the RV — crescent geometry, greater regional wall variation, mechanical coupling with the LV— might contribute to the observed difference in  $E_{\text{max}}$  vs.  $\max(dP/dt)$  relationship between rTOF LVs and RVs. Suga and colleagues [7; 6; 8] originally proposed the time-varying elastance concept for the description of LV systolic pump function. Later, a number of researcher showed the validity of time-varying elastance for the characterization of RV systolic performance. Maughan et al [16], in canine RVs, Dell'Italia et al. [17] and Brown et al. [18], in human RVs, invasively derived time-varying elastance and demonstrated that (1) the linear relationship between pressure and volume at any given point in time within the cardiac cycle for the range of imposed preload and afterload conditions, (2) the maximum slope of these linear relationships ( $E_{\text{max}}$ ) was sensitive to the imposed changes in the inotropic state. Thus, assuming that the time-varying elastance model is valid for RV the lower  $k_{\text{fit}}$ -coefficient of rTOF RV  $E_{\text{max}}$  vs.  $\max(dP/dt^{\text{model}})$  relationship might signify that for a given level of  $E_{\text{max}}$  RV generates a lower  $\max(dP/dt)$  compared to that in the rTOF LV. The diastolic pressure in the pulmonary circulation is lower than in the systemic (e.g. in our cohort pulmonary artery diastolic pressure was by 40 mmHg lower than that in the aorta of rTOF), therefore during RV isovolumetric systole semilunar valve opens at lower pressures and the peak rate of achieved pressure increase is also lower [2]. Also, RV contractile behaviour could be determined by the mechanical dependence of RV systolic pump function from LV contraction as demonstrated by [19; 20; 21] and extensively discussed in the review by [22]. Oboler et al. [19] and [21] in canine heart and Feneley et al. [20] in human hearts demonstrated that in the conditions of induced dyssynchronous ventricular contraction (e.g. RV pressure derivative becomes double peaked and LV remains single peaked) either peak I or peak II of RV  $dP/dt$  (depending on the conditions of ventricular assynchrony) becomes temporally coincident with the peak of LV  $dP/dt$ . In addition to that [21] showed that RV  $dP/dt$  peak that is coincident with LV  $dP/dt$  peak is significantly greater in magnitude than the other RV  $dP/dt$  peak. Since the ventricles anatomically share the fibers via septum and pericardial sac [23; 24] the tension that is developed by the LV contraction could be transmitted to RV via common fibers. LV could consequently contribute to the total tension that is developed by the RV during systole. Finally, a lower  $k_{\text{fit}}$ -coefficient in rTOF RV might be a marker of a pathological state of the ventricle. Recall that RVs in the present dataset are severely affected by pulmonary valve regurgitation and/or stenosis. Therefore, lower  $k_{\text{fit}}$ -coefficient might be associated with the remodeling of the RV myocardium due to long-term exposure to pulmonary valve regurgitation and/or stenosis and associated depressed ventricular systolic function. However, more extensive RV data are required in order to distinguish whether anatomical characteristics of the RV or pathological hemodynamic conditions contribute to the lower  $k_{\text{fit}}$  values observed in the present chapter.

#### 4.4.2 Data- and model-derived $\max(dP/dt)$

In single-ventricle patients model-derived  $\max(dP/dt)$  appeared to be systematically over-estimated with respect to the data-derived  $\max(dP/dt)$ . In rTOF patient groups the difference between data- and model-derived  $\max(dP/dt)$  in LV is more prominent than in RV (Bland-Altman plot on Figure 4.4). The ground truth for  $\max(dP/dt)$  is however not known. Therefore the observed difference between data- and model-derived  $\max(dP/dt)$

could be associated with both the noise present in the catheterization pressure signals and modeling errors. Firstly, fluid-filled catheters are subjected to inertia artefacts that arise due to their design (i.e. a column of fluid that fills the catheter) and that distort a pressure wave to certain extent as it travels inside the probe altering the shape of the recorded waveform [25]. The catheter-induced artefacts present in the acquired signal depend on catheter size and type [26] and become exaggerated once the pressure time derivative is computed [27]. Hence, rTOF LV pressure recordings could be more noisy than the rTOF RV recordings as different types of catheters were used. Secondly, model induced errors might also play a role. The observed overestimation of model-derived  $\max(dP/dt)$  might be due to the model being less accurate for the description of single-ventricular mechanics. In particular, an assumption of the spherical ventricular shape might be less appropriate for single-ventricle patients. This patient cohort was not homogeneous with some patients having systemic left and some systemic right ventricle. Therefore, the further investigation of modeling errors need to be performed.

#### 4.4.3 Quantitative interdependence between the surrogate measures of ventricular contractility

The correlation between model-derived contractility and data-derived  $\max(dP/dt)$  was strong and significant in single-ventricle and rTOF RV patients, and moderate and significant in rTOF LV patients. A weaker correlation in rTOF LV could be due to the noisy pressure signals as acknowledged above. Strong correlations between model-derived contractility and data-derived  $E_{\max} \cdot EDV$  were observed in all patients' groups. Therefore, model-derived contractility is in correspondence with the clinically accepted surrogate measures of contractility: one that represents the rate of tension development and the other systolic pump function of the heart. As outlined in Section 4.1.2 time-varying elastance model conceptually links the contractile performance during isovolumetric systole with that of end-ejection. Significant relationships between (1)  $E_{\max}$  and  $\max(dP/dt^{\text{data/model}})$  and (2) between model-derived contractility and  $\max(dP/dt^{\text{data/model}})$  both substantiate that contractile performance of the heart during isovolumetric contraction is linked to that at the end of systole. Therefore, we hypothesize that muscle ability to develop tension is likely to be proportional to its ability to generate the end-systolic level of tension for a given ventricular geometry. Garcia et al [28] investigated the interaction between  $\max(dP/dt)$  and  $E_{\max}$  in porcine LVs under different afterload conditions and contractile states and concluded that  $E_{\max}$  was the main determinant of the changes in  $\max(dP/dt)$ . Gleason et al [27] proposed that in the conditions of chronic exposure to pressure overload the ventricle adapts its rate of tension development in similar fashion as it adapts its ability to develop maximum tension. Gleason et al [27] found a good correlation between  $\max(dP/dt)$  and ESP (our data also showed a significant correlation between  $\max(dP/dt)$  and ESP, Figure 4.5). Sandler et al [29] and Spann et al. [30] discovered that when ventricular sustains chronic elevation of ESP ventricular wall increases in mass, but the tension per unit of myocardial mass remains constant. In the view of these findings [27; 29] and others [31; 32; 33] it has been proposed that mechanism of adaptive hypertrophy maintains the tension per unit of myocardial mass constant whilst ventricular wall is adding myocardial muscle units in order to sustain increased contractile demand. Therefore, it is possible that in the increased hemodynamic loading the rate of tension development is also maintained constant per unit of myocardial mass as a results of adaptive ventricular hypertrophy [27].

#### 4.4.4 Clinical implications

Identifying reliable, reproducible and predictive indices of myocardial contractility for clinical use has been a subject of translational and clinical research for many years. It is particularly challenging to derive load independent measures of myocardial performance that could characterize the changes in myocardial material properties and predict the onset of tissue-level irreversible remodelling.

Since 1970s time-varying elastance has been recognized as a physiologically relevant concept to index organ-level cardiac performance. Changes in chamber elastance (in particular  $E_{\max}$ ) are assumed to represent a net effect of changes of myocardial material properties (i.e. stiffness, contractility, contractile to elastic element ratio) and chamber structural properties that together determine ventricular pumping ability [34]. Even though some researchers have shown the efficiency of  $E_{\max}$  to characterize the pathological state of the myocardium [35; 36; 37] and to evaluate cardiac performance in response to various therapeutic interventions (in LV: [38; 39; 40; 37], and in RV [41]) its clinical use remains to be limited. Firstly, an estimation of  $E_{\max}$  is technically demanding as it pertains fitting linear regression to several end-systolic pressure-volume points that are typically acquired by varying preload via e.g. inferior vena cava occlusion, whilst latter could be dangerous in some pathological conditions. Some alternative methods exist to estimate  $E_{\max}$  from single-beat pressure-volume measurement using systolic time intervals and normalized elastance curve ( $E^N(\bar{t})$ ) with invasive [10; 42] and noninvasive [43] pressure acquisitions. Le Gall et al [11] argued, however, that such methods are too sensitive to their parameters (e.g. there is high probability of error in measured  $t_{\max}$  that could render an inaccurate estimation of  $E_{\max}$  from  $E^N(\bar{t})$ ). Le Gall et al. [11] proposed biomechanical model-assisted estimation of patient-specific elastance from single-beat echocardiographic flow and catheterization pressure data. The model allows to vary loading conditions *in silico* rendering several points on ESPVR to estimate  $E_{\max}$ . Secondly, the assumption of ESPVR non-linearity might not be universally correct [44]. The linearity of the ESPVR has been shown to be violated in the hearts subjected to regional coronary occlusion [45] where the slope appeared to be convex to the volume axis. Another group of studies have demonstrated contractility-dependent curvilinearity of ESPVR on *in situ* canine left ventricles [46; 47; 48]: at higher contractile states ( $E_{\max} > 7$  mmHg/ml) ESPVR was concave to volume axis, and at lower ( $E_{\max} < 3.5$  mmHg/ml) it was convex (note that in these studies the values of  $E_{\max}$  were calculated with  $V_0$ ). These studies however concluded that in the physiological inotropic range of contractility in the *in vivo* heart the ESPVR is linear [46; 47; 48]. In addition to that the linearity of human RV elastance has been questioned as the wide range of resting  $E_{\max}$  values and the varying  $V_0$  throughout the contraction was reported by [17; 18] in normotensive RVs. Nevertheless, lack of linearity should not be interpreted against the validity of varying-elastance model to describe systolic performance of a given heart at a given inotropic state [44]. Instead, the potential nonlinearity should be considered depending on the operating range of pressure-volume data and when interpreting the data for intra-subject comparison [18; 44]. Thirdly, in extreme pathological scenarios time-varying elastance might be an inadequate model. Vandenberghe et al [49] showed that in patients with advanced heart failure with inserted mechanical circulatory support (e.g. LV assist device patients) obtained time-varying elastance curves showed high variation in amplitude on beat-to-beat basis due to interaction between the heart and ventricular assist device. Simple elastance model where pressure is determined by the instantaneous volume and that assumes that hemodynamics are internally regulated by the heart and cardiovascular system cannot account for complex heart-to-device interaction where the heart is subjected to extreme loading condition that are suddenly changing on beat-to-beat

basis. Such cases justify a need for a more complex microstructurally oriented modeling approaches such as [50] that are able to track cardiac performance in real time [51; 52].

Since early 1960s the maximum rate of pressure increase has been proposed as a surrogate measure of contractility that from phenomenological point of view represents velocity of fiber shortening. Even though it is highly sensitive to changes in inotropic state [53; 54; 55; 1; 56; 57], it has been shown to be dependent on a number of organ-level factors. (1)  $\text{Max}(dP/dt)$  is highly preload dependent via Frank-Starling mechanism (length-dependent affinity of binding of  $Ca^{2+}$  to troponin-C and the numbers of cross-bridges cycling) [55; 58; 59]. Therefore to account for length-dependent effect the correction of  $\text{max}(dP/dt)$  by EDV was introduced [13]. The relationship between  $\text{max}(dP/dt)$  vs. EDV (with slope of  $\text{max}(dE/dt)$  see Section 4.1.2) was found to be more sensitive to acute changes in contractility than  $E_{\text{max}}$  [14; 60]. (2)  $\text{Max}(dP/dt)$  is dependent on the diastolic pressure in the arterial system [55; 59].  $\text{Max}(dP/dt)$  occurs just before the opening of the semilunar valve, thus, if ventricle is building a pressure against lower load (e.g. diastolic pressure in the pulmonary circulation) its ability to generate maximum pressure is reduced during isovolumetric systole. At the onset of opening of the semilunar valve the work generated by the ventricle is distributed into the pushing the blood into the arterial tree and the rate of pressure rise decreases. (3)  $\text{Max}(dP/dt)$  is subjected to chronotropic effect, increased heart rate causes the increased concentration of  $Ca^{2+}$  in the sarcoplasmic reticulum of the myocardium and consequently increases the rate of myocardial activation (so-called 'Treppe' effect originally discovered by Bowditch in 1871 [61] ) [62; 27; 55]. (4) The manner of ventricular activation could affect the muscle ability to build force. In clinical studies it was shown that left bundle branch block slows down  $\text{max}(dP/dt)$  [20]. Similarly, in our *in silico* simulations we demonstrated that increased duration of QRS complex tends to decrease  $\text{max}(dP/dt)$  (Figure 4.7 in Appendix). Finally, an *in silico* effect of the level of the atrioventricular (AV) valve regurgitation on  $\text{max}(dP/dt)$  appeared to be small (Figure 4.8 in Appendix).

Preload, arterial diastolic pressure, and heart rate could be altered by interventions and vary in resting state between the patients. Therefore, the inotropic effect of the contractility change as indexed by  $\text{max}(dP/dt)$  could be hidden especially when comparing on inter-subject basis [55]. However, due to an excellent sensitivity of  $\text{max}(dP/dt)$  to changes in inotropic state it has been viewed as a fair measure of contractility when the variation in preload, diastolic arterial pressure and heart rate could be relatively controlled. A number of studies with patients undergoing cardiac resynchronization therapy (CRT) demonstrated the potential of  $\text{max}(dP/dt)$  to evaluate the acute response to the therapy and predict the incidence of heart failure or mortality in 1 year following the implantation of the CRT device [57; 63; 56]. The predictors of the long-term response were either the baseline or the acute  $\text{max}(dP/dt)$  post CRT implantation, whilst the level of relative response of  $\text{max}(dP/dt)$  to CRT (e.g. baseline  $\text{max}(dP/dt)$  divided by acute  $\text{max}(dP/dt)$ ) did not correlate with the long-term outcome [63; 56]. These results support the ability of  $\text{max}(dP/dt)$  to evaluate the contractile state within individual patients and not between the patients.

Model-derived contractility is a measure of maximum inotropic stress developed by the myocardium during systole. During the calibration the model is sequentially coupled to patient-specific measurements of heart rate, QRS duration, end-diastolic and end-systolic pressures and volumes in the arteries (only pressures) and ventricle. Therefore, the calibrated value of contractility is a function of the patient-specific levels of preload and afterload conditions rendering the comparison between the subjects possible. In Chapter 1 we assessed the ability of model-derived contractility to evaluate the current state of the myocardium of the rTOF patients pre- and post-PVR. In Chapter 2 we explored the ability of the model to predict an *in silico* mechanical response of the myocardium to

different afterload conditions post-PVR in the hypothetical absence of post-PVR data. We demonstrated that model-derived contractility could augment clinical indicators of patients' pathology and inform clinicians about the level of myocardial contractility in response to varying afterload conditions. Our results show that the current model has the potential to provide personalized assessment of myocardial function. We acknowledge, that a long-term prognostic value of the model-derived contractility is yet to be determined. Only recently the current modeling approach started to be employed with real patients' data in [64; 15; 11; 52; 65; 66], where no long-term follow-up data were available. A translation of the novel model-derived indexes into clinical practise necessities their validation against the existing clinically accepted biomarkers of myocardial function. In the current chapter we established strong correlations between model-derived contractility and  $\max(dP/dt^{\text{data}})$  and  $E_{\max}$  substantiating the physiological relevance of the model-derived contractility to describe systolic performance of the heart.

#### 4.4.5 Model-derived $\max(dP/dt)$ as a model-based data filter

Our results showed that model-derived  $\max(dP/dt)$  is statistically more equivalent to the data-derived  $E_{\max}$ . This suggests that model-derived  $\max(dP/dt)$  quantitatively strengthens the relationship between contractile performance of isovolumetric phase and end of ejection.  $\max(dP/dt)$  acquired in clinical settings is a continuous variable that is highly subjected to measurement artefacts. Hence, even tiny fluctuations of the probe during pressure acquisition are exaggerated once the first derivative of the pressure is computed. Model-derived  $\max(dP/dt)$  is calculated from the pressure signal that is an output of the model at a given time-step of the cardiac cycle simulation (e.g. in the current model it is 0.001 s). Therefore, model-derived  $\max(dP/dt)$  has a potential to be used (1) as a filter for the noisy pressure signals, so-called 'model-based data filtering', and (2) as an index of isovolumic contraction in the conditions when clinical acquisition of the contentious pressure wave is either too noisy or not available at all. The function of model-derived  $\max(dP/dt)$  as a data-filter should be further explored by fitting *in silico* noise to the the model-derived pressure signal and subsequently comparing the *in silico* and model-derived  $\max(dP/dt)$ .

#### 4.4.6 Limitations

The results of the current chapter are subjected to some limitations. In the calculation of  $E(t)$  the volume correction factor  $V_0$  was not considered. In human heart the value of  $V_0$  was shown to be relatively small,  $18 \pm 16$  ml in LVs by Starling et al. [67] and  $10 \pm 19$  ml in RV by Karunanithi et al. [68]. Starling et al. [67] showed a good correlation between  $E_{\max}$  taken from  $E(t)$  model with  $V_0$  and that without ( $R^2 = 0.90$ ). In comparison to the reported values in the literature for  $E_{\max}$  that are taken from  $E(t)$  model with  $V_0$  the  $E_{\max}$  values in the current chapter are likely to be systematically underestimated. In human LVs Starling et al. [67] showed that mean values for  $E_{\max}$  without  $V_0$  are by 35% lower than  $E_{\max}$  with  $V_0$ , and in human RVs  $E_{\max}$  without  $V_0$  was by 68% lower than  $E_{\max}$  with  $V_0$  [18]. In the future the calculation of  $E_{\max}$  that contains the correction for  $V_0$  could be performed via model-assisted estimation of patient-specific elastance as shown by Le Gall et al. [11]. The limitations that are inherent to the model-derived contractility were already addressed in Chapters 1 and 2.

## 4.5 Concluding remarks

In this chapter we demonstrated the correspondence of data-derived indices of myocardial contractility ( $E_{\max}$  and  $\max(dP/dt)$ ) with model-derived contractility and  $\max(dP/dt)$ . Considering the complexity and hierarchical nature of the heart function and limited availability of measurable quantities *in vivo* the gold standard measure of ventricular contractility cannot be yet advised. Some clinical scenarios might benefit from combining several indices of contractile performance for the assessment of the effects of the intervention. Whilst the plausibility of the data- and model-derived myocardial indices to assess acute changes has been recognized more research is needed to elucidate their long-term predictive value. Finally, we suggested a potential application of  $\max(dP/dt)$  as a model-based data filter of clinical  $\max(dP/dt)$ .

## 4.6 Appendix

*In silico* effect of (1) QRS duration and (2) AV valve regurgitation on  $\max(dP/dt^{\text{model}})$  was investigated. In three selected patient-specific models from each patient group in the activation function of the heart we prescribed the duration of QRS from 0.08 to 0.150 s, preserved all other parameters and re-calculated the corresponding values of  $\max(dP/dt^{\text{model}})$ . Similarly, an *in silico* effect of AV valve regurgitation was investigated by decreasing by imposing the regurgitation on AV valve from 10 % to 50 % (via decreasing the value of AV valve resistance in the model). Preserving all other parameters we re-calculated the corresponding values of  $\max(dP/dt^{\text{model}})$ .

### 4.6.1 In silico effect of QRS duration on $\max(dP/dt)$

Figure 4.7 shows an *in silico* effect of increasing the duration of QRS complex on  $\max(dP/dt^{\text{model}})$  in three selected patient-specific models from single-ventricle, rTOF LV and RV patients groups. Increasing QRSd from 0.08 s to 0.150 s resulted in the 27%, 27% and 30 % decrease of the  $\max(dP/dt)$  in single-ventricle, rTOF LV and rTOF RV selected patient-specific models, respectively.

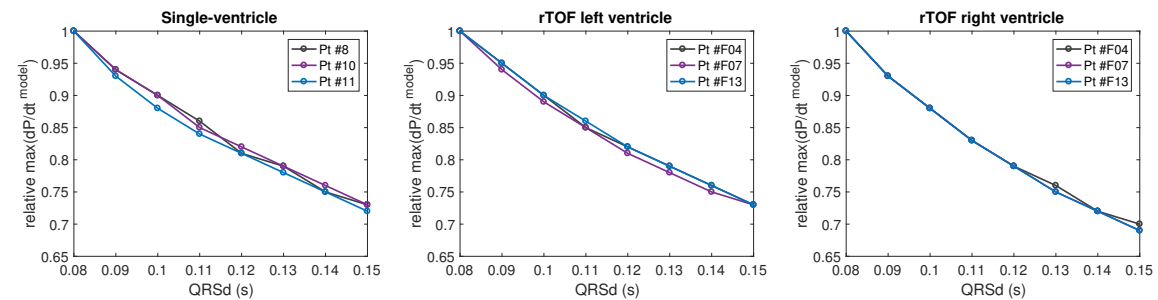


Figure 4.7: *In silico* effect of increasing QRS duration (QRSd) on  $\max(dP/dt)$  in three selected patient-specific models from single-ventricle (left), rTOF left (middle) and right ventricle (right) patients groups, respectively.  $\max(dP/dt)$  was rescaled by the value of patient-specific model-derived  $\max(dP/dt)$  obtained at QRSd of 0.08 s.

The mean ( $\pm$  SD) QRSd in our patients' cohort was 0.082 ( $\pm$  0.006) in single-ventricle patients, 0.140 ( $\pm$  0.030) in rTOF LV and RV patients.



#### 4.6.2 In silico effect of atrioventricular valve regurgitation on $\max(dP/dt)$

Figure 4.8 shows an *in silico* effect of increasing AV valve regurgitation on  $\max(dP/dt^{\text{model}})$  in three selected patient-specific models from single-ventricle, rTOF LV and rTOF RV patient groups. Increasing AV valve regurgitation to 50 % resulted in 10% , 12% and 15% decrease of  $\max(dP/dt^{\text{model}})$  in single-ventricle, rTOF LV and rTOF RV patients, respectively.

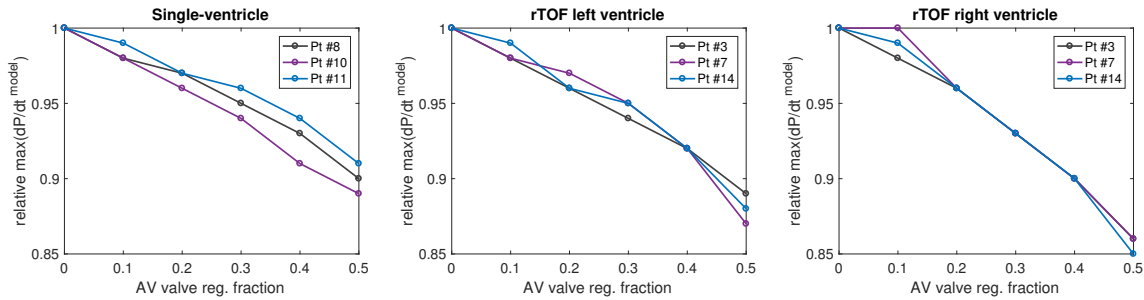


Figure 4.8: *In silico* effect of increasing atrioventricular (AV) valve regurgitation fraction on  $\max(dP/dt^{\text{model}})$  in three selected patient-specific models from single-ventricle (left), rTOF left (middle) and right ventricle (right) patients groups, respectively.  $\max(dP/dt^{\text{model}})$  was rescaled by the value of  $\max(dP/dt^{\text{model}})$  obtained at QRSd of 0.08 s.

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## Conclusions and perspectives

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## Conclusions

Patients with CHD are particularly in demand of personalized healthcare solutions. Standard clinical biomarkers are limited in their ability to provide specific descriptions of advanced pathological states. This could lead to mistaken conclusions regarding the functional capacity of their cardiovascular system [1; 2; 3] and inability to define a correct timing for certain interventions. The knowledge of internal mechanical characteristics of the heart and vasculature could potentially enhance our understanding of the pathological profile of CHDs. With a growing potential of computational models to provide a detailed mechanical (geometrical) description of various compartments of the cardiovascular system a possibility to deploy a model-assisted diagnosis is becoming more and more evident.

The main goal of this thesis was to demonstrate the potential of biomechanical modeling to assist in clinical decision-making related to the management of patients with CHD. In particular, the goal was to develop scientific evidence to support the translation of biomechanical modeling to the clinical environment. The ability to fully exploit such goals largely depends on the collaborative potential of the research environment. The availability of clinical data is often a limiting factor in translational research. In this PhD work such a barrier was overcome thanks to the close collaboration between the modeling team at Inria and the department of pediatric cardiology at UTSW. In addition, a constant clinical outlook on the evolution of the applied modeling framework guided the development of our research.

We employed a previously developed multi-scale biomechanical model [4] with a geometry reduced to a spherical shape [5]. The model represents the mechanical behavior of a single ventricular cavity that is coupled to the circulatory system via a system of diodes and Windkessel model. The system of diodes contains forward and backward components allowing to include forward and backward flow through the arterial valve, respectively [6]. We demonstrated the potential of this model to provide an enhanced mechanical description of the RVs of patients with rTOF that are subjected to PV regurgitation and/or RVOTO. In particular, we showed an ability of this model (1) to evaluate the ‘current mechanical state’ of the RVs prior to and after PVR, and (2) to predict the evolution of RV mechanics under different loading conditions. In addition we showed an application of the model to provide a rigorous clinical data treatment of a variety of data acquisition protocols. This further extends the spectrum of clinical problems that could be optimized by means of patient-specific biomechanical modeling.

The results of this PhD work could be summarized in the following categories.

### **I: Patient-specific mechanical description of the right ventricle and pulmonary circulatory system**

Model-derived contractility provided an insight into the mechanical state of the RV subjected to different hemodynamic conditions. Thanks to the valvular component in the model the calibration of the myocardial contractility considered the presence of PV regurgitation and RVOTO. Thus, we could assess the influence of independent and combined effects of PV regurgitation and RVOTO on RV functional state. Our results demonstrated that patients with predominant RVOTO experience higher levels of contractility pre-PVR and higher levels of contractility decrease post-PVR compared to those with predominant PV regurgitation. In addition, we have demonstrated the ability of the model to predict the mechanical response of the ventricle under progressive recovery of RV PSP through decreasing levels of RVOTO. We derived *in silico* relationships between myocardial contractility and RVOTO that appeared to be linear. These relationships demonstrated an immediate response of the

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cardiac contractility to a given decrease in RVOTO.

Overall, we could quantify the level of RV overload and how the level of overloading could decrease after intervention on the valve. Even though our study is not able to provide the cut-off values of ventricular overload (when myocardial remodeling becomes irreversible) we speculate that revealed mechanical insight into the RV function could contribute to the optimal clinical management of patients with valvular pathologies. For example, if the need to aim for long-term relief of RVOTO is highlighted, the surgical approach of valve replacement might be preferential. The *in silico* relationship between contractility and RVOTO could be interpreted as a ‘virtual experimental tool’ for testing various post-PVR loading conditions. This could further contribute to the choice of the type of intervention on the valve (e.g. type or size of prosthesis, or percutaneous vs. surgical approach).

## II: Model-assisted pressure-volume time-synchronization to optimize patients diagnostics

We developed a model-assisted time-synchronization technique of clinical P-V signals that is universal w.r.t. data acquisition protocols. The universality of the approach is determined by the model ability (1) to represent the physiological timing of the events of the cardiovascular system, (2) to integrate multiple data inputs, and (3) to represent ventricular mechanics considering the valvular regurgitation (i.e. the shape of the simulated P-V accounts for valvular mechanics). The time-synchronized P-V loops were qualitatively improved yielding physiologically more meaningful measures of ventricular energetics (e.g.  $E_{\max}$  and stroke work).

Clinical scenarios that necessitate high-quality P-V loops for the therapy planning can benefit from the proposed model-based time-synchronization of P-V signals. The modeling framework is fast in computation time and can be directly employed in clinical settings. The applicability of the method for both simultaneously and sequentially acquired CMR and pressure data makes the use of P-V loops available to all centers and not only to those with dedicated and costly pressure and conductance catheters for simultaneous P-V loop systems. The proposed method applies the model to contribute to the planning of complex interventions and, thanks to the biophysical and physiological background of the models, it can consequently augment the possibilities of optimal clinical management.

The developed model-assisted time-synchronization belongs to the ‘model-constrained’ data processing techniques. We proposed a novel approach to align the data ‘in time’ while there are a number of model-constrained techniques to register data in space [7; 8; 9].

## III: Correspondence of model-derived indices of myocardial contractility with clinically accepted measures of systolic performance

We have demonstrated the correspondence of data-derived indices of myocardial contractility ( $E_{\max}$  and  $\max(dP/dt)$ ) with model-derived contractility and  $\max(dP/dt)$ . A physiological validation of novel model-derived indices is one of the necessary steps in their translation to a clinical usage. In addition, we confronted data- and model-derived  $\max(dP/dt)$ . We suggested a potential application of  $\max(dP/dt)$  as a model-based data filter of clinical  $\max(dP/dt)$ . The latter could be either (1) not available at all due to the absence of continuous intraventricular pressure signal; or (2) too noisy. The noise is inherent to the pressure acquisition itself and is amplified once the time derivative is computed.

A thorough literature review on clinical relevance of the data- and model-derived measures of contractility showed that the gold standard measure of ventricular contractility is challenging to use with the current physiological and biomechanical knowledge. This further substantiates a need for (1) a rigorous validation of the existing model-based

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indicators and (2) a continued search for other biomechanical model-based indices of myocardial function. In addition, the literature review emphasized that some clinical scenarios might benefit from combining several indices of contractile performance.

## Perspectives

Having obtained a detailed mechanical description of the RV myocardial function pre- and post-PVR of patients with rTOF, a natural perspective of these results will be to further explore a functional characteristic of the myocardium in terms of (1) myocardial energetics, (2) contractile reserve, (3) long-term evolution of contractility post-PVR, and (4) biventricular (i.e. RV-LV) interaction.

### Myocardial energetics

A decreased contractility and stroke work post-PVR suggest a possible decrease in the myocardial metabolic and oxygen demand. Myocardial oxygen consumption was not evaluated but could be in principle measured by the Fick principle (from arterial and coronary sinus oxygen concentration) or via the ammonia positron emission tomography [10].

### Contractile reserve

A knowledge of the contractile reserve of the patients could help to understand the functional limits of the heart. We could normalize patient-specific contractility indices obtained in Chapter 1 by the corresponding maximum contractility value to stratify the level of severity of pre-PVR contractility among patients. In addition, the level of contractile reserve itself could be an independent index of cardiac functionality [11], and could be used to access the response to PVR. Previous works have quantified the contractile reserve during dobutamine stress testing using the current 0D model [12] or time-varying elastance [13]. A similar methodology could be directly transferred to the patients with rTOF.

### Automatization of the model setup

To date there exists a variety of biomechanical modeling approaches allowing a realistic simulation of myocardial mechanics in pathophysiological conditions. However, the validation of these models against *in vivo* patients data has not been extensively performed on large patients cohorts ( $> 100$  subjects) [14]. Efficient methods enabling automatic parameter estimation could substantially contribute to the translation of the models into clinical practice. These methods could make large scale clinical studies possible and enable the sensitivity analysis of the novel model-derived indices. Some parameter estimation techniques have the potential to be integrated into an applied modeling pipeline, e.g. [15; 16; 17; 18; 19].

In this thesis patient-specific parameters were adjusted manually by following a sequential calibration of the corresponding model compartments. By using automated parameter identification a similar study could be extended to a larger cohort of patients (e.g.  $> 100$  subjects). We particularly envision to run the model with more data of RVs of patients with rTOF solely affected by PV regurgitation. In Chapter 1 we have observed that 2 patient with dilated RVs ( $iEDV > 150 \text{ ml/m}^2$ ) from the group predominantly affected by PV regurgitation were outliers due to the high contractility. This suggests there might be an association between the level of RV dilatation and contractility. With only 9 patients in that group we could not investigate such a relationship. More patients data coupled to the

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current 0D model could possibly provide a richer mechanical insight of the effect of PV regurgitation on the RV health.

### **Long-term remodeling of the myocardium**

We showed that the biomechanical model employed in this thesis could be an efficient tool in characterizing immediate mechanical properties of the cardiovascular system. The results of Chapters 1 and 2 were interpreted without explicitly considering the previous or future progress of ventricular remodeling. The state of the myocardial health of patients with rTOF is however constantly evolving in time both prior to and after PVR. Therefore, in the future we propose to combine our modeling approach with models capturing a long-term evolution of cardiac mechanics. In particular, the kinematic growth theory framework [20; 21; 22] allows to simulate growth and remodeling of the myocardium. This theory assumes that changes in ventricular shape and size occur in response to the applied stress- and/or strain-based mechanical stimuli. Thus, various studies have modeled concentric and/or eccentric cardiac growth (as well as reverse growth) in terms of the chronic changes in ventricular morphology in response to e.g. simulated aortic stenosis and mitral valve regurgitation [23], simulated diastolic and systolic heart failure [24], and unloading of the left ventricle (i.e. a strain-driven reverse myocardial growth) [25]. The simulation results of these studies showed a good agreement with the image data of patients. The kinematic growth theory allows to study a consequence of growth (reverse growth) on organ morphology but does not capture an evolution of intrinsic tissue mechanical properties (i.e. myocardial contractility and stiffness).

We hypothesize that patients with rTOF could notably benefit from a modeling tandem combining the current patient-specific instantaneous model with the models of cardiac growth. Models of long-term evolution have the potential to include information about the initial state of pathology, type of repair, and the evolution throughout the life of patient. They could also be useful in better understanding the reverse remodeling after PVR – a crucial step in predicting the long-term effect of PVR.

The interpretation of the model-derived post-PVR indicators obtained in Chapter 1 could be enhanced by the model of reverse myocardial growth (such as [25]). As a perspective study of Chapter 2 we propose to investigate the morphological change of the ventricular cavity to mechanical unloading at several points along the predicted line, where a given change of intraventricular pressure with respect to pre-PVR could be considered as a stress-based unloading stimulus.

### **Synergetic approaches in modeling of cardiovascular disease**

Digital tools that could aid clinical decision-making do not only reside within the mechanical models. Data-driven models (i.e. based on statistical and machine learning techniques) have proved their utility and robustness to identify unseen trends from large data cohorts that are of prognostic significance. For example, in application to rTOFs statistical shape modeling studies quantified the level of RV remodeling in terms of the association between morphological indices (i.e. global and regional RV dilatation, outflow tract bulging) and the level of PV regurgitation [26; 27]. A recent study by Mauger et al. [28] quantified the relationship between the biventricular shape variation and the severity of PV regurgitation. These studies assume that tissue-level remodeling is translated to the geometrical alterations of the ventricular cavity. Therefore, the associated statistical relationships between the morphological indices are interpreted as representative of the underlying changes in tissue functional capacity. The approach seems to be promising in (1) identifying global trends

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in the population and (2) stratifying anatomical variability among patients. It is however limited in explaining the functional state of the outliers from the population templates [26].

An employment of a synergetic modeling approach in creation of digital medicine tools has been recently proposed [29]. It implies to combine data-driven and mechanical models in order to tackle clinical problems from a broader perspective [30]. For example, an application of this thesis combining current biomechanical model with aforementioned statistical shape modeling approaches [26; 27; 28] could provide tissue-level mechanical insight into revealed statistical relationships between the level of RV dilatation and PV regurgitation. In addition such a synergy could potentially describe the functional state of the population outliers revealed by the shape models (e.g. such as in [26]).

From a global perspective model synergies could be used to create population atlases that combine geometrical and mechanical indices of a variety of cardiovascular diseases. For example, considering the UK Biobank [31] as a source of population data, the machine learning algorithms could be used to classify and identify geometrical biomarkers of specific cardiac phenotypes. Then, each phenotype could be studied by means of biomechanical models (such as the model deployed in this thesis) in a patient-specific manner. The aim could be to obtain a range of patient-specific biomechanical parameters of the observed geometrical variability within a given phenotype. Biomechanical model-based description of the population phenotypes could in turn (1) broaden our knowledge of the key pathophysiological mechanisms, (2) enable *in silico* trails for the therapy development, and (3) provide prognosis of the effects of therapeutic interventions [29].

### Indirect ventricular coupling via coupled model of two ventricles

In this thesis we primarily focused on the model-based analysis of the RV function of patients with rTOF. However, in patients with rTOF RV dysfunction often impairs LV systolic and diastolic performance [32; 33]. Some studies indeed suggest that the degree of LV dysfunction might be of prognostic significance and deterministic of exercise capacity in rTOFs [34; 35; 36].

In patients with rTOF LV dysfunction arises secondarily to RV volume and pressure overload. This is likely to be attributed to the biventricular coupling (i.e. anatomical and mechanical interdependence). The two ventricles are encapsulated by the common pericardial sac and share their fibers via the septum and subepicardial layers. During every cardiac beat both contraction and relaxation of the ventricular chambers are determined by such an interdependence. Thus, during cardiac systole the LV has been found to substantially contribute to RV contraction [37; 38; 39]. The total diastolic LV motion is constrained by the level of RV dilation due to the limited ability of the pericardial sac to stretch [38]. For example, reduced diastolic wall strain was found in the LVs of rTOFs with high level of RV volume overload [40; 41]. The reduced ability of LV to stretch during diastole leads to the decreased LV preload. This in turn could lead to a decrease in LV cardiac output via (1) reduced LV EDV, and (2) reduced ESV via Frank-Starling mechanism (i.e. length dependent decrease in the ability of the myocytes to develop maximum force).

The mechanisms that link RV dysfunction to a decrease in LV function remain poorly understood. Therefore, we hypothesize that extending the current model of single-ventricle to a coupled model of two ventricles (LV and RV) and two circulatory systems (pulmonary and systemic) could provide a tool to investigate the ventricular interdependence in patients with rTOF. In particular, we aim to investigate indirect ventricular coupling – e.g. the effect of RV output on LV preload pre- and post-PVR in terms of changes in LV EDV and myocardial contractility. After the removal of PV regurgitation from the RV during PVR the effective PA flow ( $Q_{\text{eff}}$ ) increases [42]. Recall that in Chapter 1 we showed a model-

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predicted increase in effective pulmonary flow ( $Q_{\text{eff}}$ ) immediately post-PVR. Therefore, the volume of blood that fills the LA and LV should also increase. A number of studies showed an immediate increase in LV EDV immediately post-PVR [42; 35]. Therefore, we hypothesize that increased PA  $Q_{\text{eff}}$  is associated with increased LV EDV post-PVR via indirect ventricular coupling – an effect that we would aim to reproduce with a coupled model. A description of an envisioned 4-cavity closed-loop model of two ventricles and two circulatory systems as well as some preparatory work can be found in the Appendix A.

## Final conclusions

This thesis demonstrated that biomechanical modeling could be deployed in a clinical environment to address various types of problems. The biomechanical model provides a common framework to integrate multiple datasets from individual patients. In application to patients with rTOF suffering from ventricular overload we have demonstrated that the current framework could assist in evaluating the response to the intervention and potentially advise a type of intervention on the valve. Moreover, this type of model-predicted outcome could be directly translated to the population of patients with other valvular pathologies (e.g. aortic valve stenosis). In addition, we demonstrated the potential of the model to provide ‘model-constrained’ data-processing of the variety of data acquisition protocols.

Models can facilitate the transition from population-based clinical decision-making (i.e. derived from empirical evidence-based clinical studies) to a patient-specific care. Predictions based on coupling clinical data and biomechanical models have the potential to become part of clinical assessment to contribute to optimizing and personalizing the clinical management of every patient.

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## Appendix A: Coupled model of two ventricles and two circulatory systems

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As a perspective of this thesis we would like to investigate an indirect right- and left-ventricular (RV-LV) coupling in patients with rTOF. We aim to address an immediate effect of pulmonary valve replacement (PVR) on LV by means of a 4-cavity closed loop model.

## Coupled model of two ventricles and two circulatory systems

We aim to create a 4-cavity closed-loop model with the following constituents: (1) ventricular cavities are represented by the 0D model [1]; (2) atrial cavities by the time-varying elastance model [2]; and (3) valvular components by the system of diodes; and (4) circulation systems by the lumped-parameter model with associated resistance and capacitance of the distal and proximal parts.

In the sequel we present a description of an atrial component in the 4-cavity model. In this model we aim to simulate simultaneous LV and RV contraction and the effect of circulatory system hemodynamics on ventricular mechanics. The goal of the atria in this setup is to provide a realistic ventricular filling. Therefore, we chose a phenomenological description of atrial mechanics – time-varying elastance model [2] – to simulate the flow that fills the ventricles as a result of active atrial contraction. First, we calibrated a 0D model [1] of the atria to *in silico* healthy left atrial dataset obtained from a population study of [3]. Then, we derived a time-varying elastance function from the simulated atrial pressure-volume (P-V) loop that was used as an input for the biomechanically-derived time-varying elastance model of the atrial cavity [4].

### *In silico* atrial pressure-volume loop

The atrial role in the cardiac cycle can be split into three functional phases [5]:

- I Reservoir phase: atria act as a reservoir for blood during ventricular contraction. Passive filling of the atria by the venous return. Atrioventricular (AV) valves are closed.
- II Conduit phase: atria act as a conduit that passively fills the ventricle during initial filling period. The phase initiates with the opening of the AV valves.
- III Pump phase: atria actively pump the blood into the ventricles at the end of the filling period. Active atrial contraction contributes 15-30 % of total ventricular filling.

The data representative of a healthy left atrial function are presented in Table A1.

Table A1: Healthy left atrial *in silico* dataset. Volume data are the weighted means of healthy left atria from the population study of [3].

$V_{\max}$ (ml)	89.00	maximum volume achieved during reservoir phase
$V_{\min}$ (ml)	41.00	minimum volume achieved during pump phase
Wall thickness (mm)	2.73	
$P_{\max}$ (mmHg)	8.00	maximum pressure achieved during pump phase
$P_{\min}$ (mmHg)	2.00	preload for the atrial model (venous pressure)
P-wave (ms)	0.80	depolarization of the atria
PR-section (ms)	0.10	repolarization of the atria

We created a 0D model of the atria to represent the active atrial contraction during the pump phase. The calibration of the atrial model followed the calibration procedure as it is described for the ventricle in the Appendix of Chapter 1. Briefly, the following ingredients were adjusted:

- I The afterload for the atria was given by a Windkessel model. Low resistance parameters were set such that simulation was periodic.
- II Atrial cavity mass was derived from the atrial wall thickness given by [3].
- III Preload pressure (i.e. pressure in the atrium at the end of the conduit phase) was assumed to be 2 mmHg. Atrial stiffness was adjusted to match the *in silico*  $V_{\max}$ .
- IV Forward and backward resistances of the outflow valve were set to the default values to represent no outflow valve obstruction and regurgitation, respectively.
- V Electrical activation function was created based on the physiological timings of the duration of atrial depolarization (i.e. equal to 0.08 s) and repolarization (i.e. equal to 0.10 s).
- VI Atrial contractility was adjusted to match the *in silico*  $V_{\min}$ .

The simulation cycle was initiated by the active contraction of the atrium (pump phase). Time-varying elastance –  $E(t) = P(t)/V(t)$  – was derived from the simulated pressure-volume loop.

Figure A1 shows the simulated atrial pressure-volume (P-V) loop and the associated time-varying elastance. We remark that ventricular filling happens during the conduit and pump phases of the atrial cycle. During the reservoir phase atrium is decoupled from the ventricle since the AV valve is closed. Therefore, we were particularly interested in reproducing the mechanical behavior of the conduit and pump phases. Our results show a good agreement with *in silico* atrial data. In addition the calculated volume integrals of the conduit and pump phases were 28.96 ml and 12.78 ml, respectively. This suggests that in the simulated 0D model the pump phase contributes 30 % to the total ventricular filling (i.e. given by the sum of the volume of blood of conduit and pump phases).

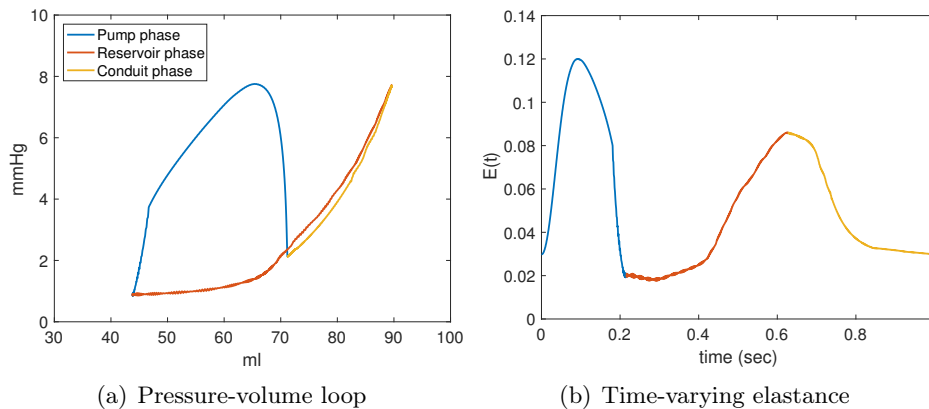


Figure A1: *In silico* atrial pressure-volume loop and the associated time-varying elastance.

## Atrial time-varying elastance model

Instead of using a multi-scale description of the cavity mechanics (e.g. atria or ventricle) as provided by a 0D model, the mechanics of contraction could be represented by the time-varying elastance ( $E(t)$ ) model and a passive filling by end-diastolic pressure volume relationship (EDPVR) – given by the hyperelastic potential eq. (1.4) as shown by Le Gall et al. [4]:

$$\begin{cases} P(t) = E(t) + \eta_V^s \dot{V}(t) & \text{systole} \\ P(t) = \text{EDPVR}(t) + \eta_V^d \dot{V}(t), & \text{diastole} \end{cases} \quad (4.9)$$

where  $\eta_V^s \dot{V}(t)$  and  $\eta_V^d \dot{V}(t)$  are the penalty terms introduced for numerical stability for systole and diastole, respectively.

We run a biomechanically-derived time-varying elastance model (VEM) of the atria as described by Eq. (4.9). The systolic part was represented by the time-varying elastance of the pump phase derived from the 0D atrial model (Figure A1(b), blue part of the curve), and the diastolic part by the calibrated EDVPR of the atrial 0D model. Figure A2 shows the output of the VEM in comparison with the output of the 0D model of *in silico* atrium. Figure A2 demonstrates that VEM model can accurately reproduce the atrial mechanics. VEM is therefore an optimal modeling choice for a representation of atrial mechanics in the closed-loop 4-cavity model.

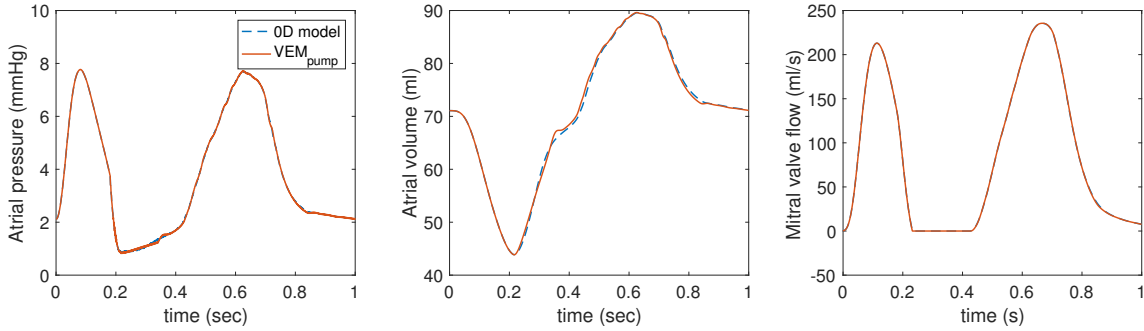


Figure A2: Simulation results of the time-varying elastance ( $\text{VEM}_{\text{pump}}$ ) model of the atrium (orange solid lines) in comparison to the 0D model of the atrium (blue dotted lines).

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**Titre :** Modélisation biomécanique cardiovasculaire personnalisée pour améliorer l'interprétation des données cliniques et aider à planifier les interventions chez les patients atteints de cardiopathie congénitale

**Mots clés :** modélisation biomécanique, contractilité du myocarde, surcharge ventriculaire, cardiopathie valvulaire, cardiopathie congénitale, synchronisation temporelle des données cliniques, médecine personnalisée

**Résumé :** Cette thèse de doctorat est une recherche interdisciplinaire qui porte sur la modélisation biomécanique appliquée du système cardiovasculaire chez les patients atteints de cardiopathies congénitales. L'objectif est d'explorer le potentiel de la modélisation biomécanique pour aider à la prise de décision clinique.

Tout d'abord, nous avons exploré la capacité d'un modèle biomécanique précédemment développé à améliorer l'interprétation des données cliniques pour les patients atteints de tétralogie de Fallot après réparation (TOFr) avant et après le remplacement de la valve pulmonaire (RVP). Des modèles personnalisés du ventricule droit (VD) et de la circulation pulmonaire ont été construits pour 20 sujets avant et après le RVP à l'aide de données obtenues par résonance magnétique cardiovasculaire (RMC) et par cathéter de pression. Ces modèles ont été soumis aux effets de la régurgitation de la valve pulmonaire (VP) et/ou de l'obstruction du canal de sortie du VD (RVOT). Les modèles ont fourni des indices de contractilité myocardique personnalisés avant et après la RVP. Les résultats ont montré une diminution de la contractilité chez tous les patients après la RVP. Les patients présentant une obstruction prédominante de l'RVOT ont connu une diminution plus importante de la contractilité, alors que l'arrêt de la régurgitation elle-même n'a pas entraîné de réduction significative de la contractilité. Après cette étude détaillée de la pathophysiologie des VD surchargés avant et après la RVP, nous avons exploré la capacité du modèle à prédire la réponse de la mécanique ventriculaire lors de la diminution progressive de la post-charge des VD chez les patients atteints de TOFr. Des modèles pré-PVR personnalisés ont été utilisés et l'arrêt de la régurgitation PV et la diminution progressive de la résistance RVOT ont été supposés. Les relations *in silico* résultantes entre la contractilité et la résistance de l'RVOT après la RVP sont apparues linéaires et cohérentes avec celles données par les modèles post-RVP spécifiques aux

patients.

Pour les patients dont le cœur est constitué d'un seul ventricule et qui subissent une RMC combinée à un cathéter de pression (dans le cadre de la planification d'une chirurgie complexe), le modèle a été utilisé pour synchroniser dans le temps les données de pression du cathéter et les volumes ventriculaires obtenus par RMC. Cette synchronisation temporelle assistée par le modèle produit des boucles P-V de haute qualité qui donnent des indices plus précis de l'énergétique cardiaque (valeur maximale de l'élastance variable dans le temps ( $E_{max}$ ) et du travail systémique) et qui pourraient donc être utilisés pour améliorer l'interprétation clinique.

Enfin, nous avons comparé les indices de  $E_{max}$  et de la dérivée temporelle maximale de la pression ventriculaire,  $\max(dP/dt)$ , obtenus directement à partir des mesures cliniques, avec la contractilité et le  $\max(dP/dt)$  dérivés du modèle. Tous les indices dérivés des données et du modèle ont montré une bonne concordance. De plus, une application potentielle du  $\max(dP/dt)$  dérivé du modèle comme filtre de données basé sur le modèle a été soulignée.

Dans l'ensemble, cette thèse a démontré (1) la capacité de la modélisation biomécanique à fournir des indices mécaniques supplémentaires de la fonction ventriculaire et leur évolution dans différentes conditions de charge, ce qui pourrait contribuer à la planification d'une thérapie optimale ; (2) une application du modèle pour fournir un traitement robuste des données cliniques d'une variété de protocoles d'acquisition de données ; et (3) une correspondance des indices dérivés du modèle avec des mesures de substitution de la contractilité acceptées cliniquement. En conclusion, cette thèse a montré que la modélisation biomécanique pouvait être déployée dans un environnement clinique pour résoudre divers types de problèmes en médecine personnalisée.

**Title :** Patient-specific cardiovascular biomechanical modeling to augment interpretation of clinical data and assist planning interventions for patients with congenital heart disease

**Keywords :** biomechanical modeling, myocardial contractility, ventricular overload, valvular heart disease, congenital heart disease, time-synchronization of clinical data, personalized medicine

**Abstract :** This PhD thesis is an interdisciplinary research that deals with applied biomechanical modeling of the cardiovascular system in patients with congenital heart diseases. The aim is to explore the potential of biomechanical modeling to assist in clinical decision-making. First, we explored the ability of a previously developed biomechanical model to augment the interpretation of clinical data for patients with tetralogy of Fallot after repair (rTOF) prior to and after pulmonary valve replacement (PVR). Patient-specific models of the right ventricle (RV) and pulmonary circulation were built for 20 subjects pre- and post-PVR using cardiovascular magnetic resonance (CMR) and pressure catheter data. These models were subjected to the effects of pulmonary valve (PV) regurgitation and/or RV outflow tract (RVOT) obstruction. The models provided patient-specific indices of myocardial contractility pre- and post-PVR. The results showed a decrease of contractility in all patients post-PVR. Patients with predominantly RVOT obstruction experienced a higher level of contractility decrease whereas ceasing the regurgitation itself did not lead to a significant reduction in contractility. After this detailed study of pathophysiology of the overloaded RVs pre- and post-PVR, we explored the ability of the model to predict the response of ventricular mechanics when progressively decreasing the afterload of the RVs in patients with rTOF. Pre-PVR patient-specific models were used and cessation of PV regurgitation and progressive decrease of RVOT resistance were assumed. The resulting *in silico* relationships between the contractility and RVOT resistance post-PVR appeared to be linear, and consistent with that given by the patient-

specific post-PVR models.

For patients with single-ventricle hearts undergoing CMR combined with a pressure catheter (as part of planning for complex surgery), the model was used to synchronize in time the catheter-pressure data and the ventricular volumes obtained by CMR. This model-assisted time-synchronization produces high quality P-V loops that yield more accurate indices of myocardial energetics (maximum value of time-varying elastance ( $E_{max}$ ) and stroke work) and hence could be used to ameliorate the clinical interpretation.

Finally, we compared the indices of  $E_{max}$  and maximum time derivative of ventricular pressure,  $\max(dP/dt)$ , when obtained directly from the clinical measurements vs. model-derived contractility and  $\max(dP/dt)$ . All data- and model-derived indices showed a good agreement. In addition, a potential application of model-derived  $\max(dP/dt)$  as a model-based data filter was emphasized.

Overall, this thesis demonstrated (1) an ability of biomechanical modeling to provide additional mechanical indices of ventricular function and their evolution under different loading conditions, which has the potential to contribute into the planning of optimal therapy; (2) an application of the model to provide robust clinical data processing of a variety of data acquisition protocols; and (3) a correspondence of model-derived indices with clinically accepted surrogate measures of contractility. In conclusion, this thesis showed that biomechanical modeling could be deployed in a clinical environment to address various types of problems towards the delivery of personalized healthcare solutions.