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Xiong Zhang

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Institut de Chimie et Procédés pour l'Énergie, l'Environnement et la Santé  
(ICPEES)

**THÈSE** présentée par :

**Xiong Zhang**

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Discipline/ Spécialité: Chimie / Chimie

**Fe-N-C catalysts toward electrocatalytic oxygen reduction  
reaction**

**Catalyseurs Fe-N-C pour la réaction électrocatalytique de  
réduction de l'oxygène**

THÈSE dirigée par :

**Dr. Cuong Pham-Huu**

Directeur de recherche, CNRS, Strasbourg

**Dr. Sergey Pronkin**

Maitre de conférences, Université de Strasbourg

RAPPORTEURS :

**Prof. Timo Jacob**

Professeur, Ulm University

**Dr. Enrique Garcia-Bordejé**

Directeur de recherche, CSIC, Zaragoza

---

AUTRES MEMBRES DU JURY :

**Dr. Katia Araujo Da Silva**

Maitre de conférences, Université Clermont Auvergne

**Prof. Sylvie Bégin**

Professeur, Université de Strasbourg

**Xiong Zhang**

## **Catalyseurs Fe-N-C pour la réaction électrocatalytique de réduction de l'oxygène**

### **Résumé**

L'électrocatalyseur Fe-N-C est en train de devenir un sujet de recherche stimulant avec de grands espoirs pour les dispositifs énergétiques de la prochaine génération en raison de sa haute activité intrinsèque ORR comparable à celle de l'électrocatalyseur des métaux du groupe du platine (PGM). Cependant, une stratégie de préparation très efficace avec une performance catalytique améliorée et une grande robustesse, employant des composants non critiques, est d'une grande importance pour le développement de l'électrocatalyseur Fe-N-C. L'identification de la nature du site actif pour le matériau Fe-N-C pyrolytique est toujours un sujet de débat animé en raison de la présence potentielle de sites actifs multiples.

Dans ce travail, nous avons développé des catalyseurs avec des atomes uniques de Fe décorés de N dans du carbone poreux par pyrolyse à haute température en utilisant des composants bruts rentables et non toxiques. La variation systématique de la composition des précurseurs N, et C et de divers agents structurants, ainsi que les conditions des différentes étapes nous ont permis de déterminer les paramètres optimaux pour une synthèse en plusieurs étapes. Diverses technologies caractéristiques, notamment TGA/TPD-MS, Raman, BET, XRD, XPS, HAADF-STEM et XAS ont été appliquées pour étudier la composition et la structure des catalyseurs Fe-N-C synthétisés. Les performances électrocatalytiques des catalyseurs ont été étudiées par des mesures de (R)RDE et des tests de durabilité accélérés. Cette étude nous a permis de démontrer que les électrocatalyseurs Fe-N-C avec une structure et une composition optimisées offrent des performances stables d'électroréduction de l'oxygène 4e<sup>-</sup> dans un électrolyte KOH 0.1 M avec une activité supérieure à celle des catalyseurs de référence 20% Pt/C. Plus important encore, la nature des sites actifs et la corrélation structure-performance des électrocatalyseurs tels qu'ils sont obtenus sont systématiquement étudiées. Ce travail vise à fournir une compréhension fondamentale du catalyseur Fe-N-C, à la fois du point de vue de la conception du matériau et de la nature des sites actifs, ce qui devrait favoriser le développement de la prochaine génération d'électrocatalyseurs pour la technologie des piles à combustible.

### **Abstract**

Fe-N-C electrocatalyst is becoming a challenging research topic with great expectations for the next-generation energy devices due to its high intrinsic ORR activity comparable to platinum group metals (PGM) electrocatalyst. However, a high effective preparation strategy with improved catalytic performance and high robustness, employing noncritical components is of great importance for the development of Fe-N-C electrocatalyst, and the identification nature of active site for pyrolytic Fe-N-C material is still a topic of heated debate due to potential presence of multiple active sites.

In this work we developed catalysts with N-decorated single atom Fe catalysts in porous carbon by high temperature pyrolysis using cost-effective, non-toxic raw components. Systematic variation of composition of N, and C precursors

and various structuring agents, as well as conditions of different steps allowed us to determine the optimal parameters for a multi-step synthesis. Various characteristic technologies, including TGA/TPD-MS, Raman, BET, XRD, XPS, HAADF-STEM and XAS have been applied to study the composition and structure of synthesized Fe-N-C catalysts. The electrocatalytic performance of the catalysts were studied by (R)RDE measurements and accelerated durability tests. This study allowed us to demonstrate that Fe-N-C electrocatalysts with optimized structure and composition provide stable 4e<sup>-</sup> oxygen electroreduction performance in 0.1 M KOH electrolyte with activity outperforming benchmark 20% Pt/C catalysts. Most importantly, the nature of active sites and structure-performance correlation of as-obtained electrocatalysts are systematically investigated. The work aims to provide fundamental understanding of Fe-N-C catalyst from both material design and nature of active sites which is believed to favor the development of next generation electrocatalyst for fuel cell technology.

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# Résumé

## 1. Introduction

La réduction de l'utilisation des combustibles fossiles et l'amélioration du rendement de conversion des sources d'énergie propres et renouvelables deviennent une priorité urgente de la chimie et du génie chimique modernes. Aborder ces objectifs sont des questions cruciales, non seulement liées à l'épuisement constant des combustibles fossiles, mais aussi pour faire face à toutes les principales préoccupations environnementales et climatiques causées par leur utilisation massive. La technologie basée sur l'utilisation des piles à combustible suscite un grand intérêt dans l'exploration de systèmes énergétiques durables, car elle permet de combiner des émissions zéro carbone avec une efficacité de conversion énergétique élevée pour le même dispositif [1]. Malgré cela, l'exploitation des dispositifs de piles à combustible est limitée par le coût élevé de ses composants, en particulier des matériaux servant d'électrodes qui sont à base de métaux nobles, chers et peu abondants. Une concentration de l'ordre de  $0,4 \text{ mg} \cdot \text{cm}^{-2}$  de platine est généralement nécessaire à la cathode de la pile à combustible pour réaliser la réaction de réduction de l'oxygène en raison d'une cinétique très lente de cette réaction [2]. Par conséquent, la synthèse de matériaux servant de base aux électrodes, à faible coût avec des performances catalytiques améliorées et d'une grande robustesse, utilisant des composants non critiques, devient un sujet de recherche stimulant avec de grandes attentes pour les dispositifs énergétiques de prochaines générations.

La recherche de systèmes électrocatalytiques plus robustes a été fortement active pendant les dernières décennies. Les premiers travaux se sont principalement concentrés sur l'étude des catalyseurs moléculaires, plus précisément des complexes macrocycliques Fe-N<sub>4</sub> [3-5], tandis qu'une activité et une stabilité plus élevées peuvent être obtenues par une approche de pyrolyse à haute température (appelée catalyseur Fe-NC) [6, 7]. La nature du site actif du matériau pyrolytique Fe-NC fait toujours l'objet d'un débat dans le domaine en raison de la présence potentielle de plusieurs sites actifs tels que des sites dopés N sans métal, des nanoparticules à base de Fe et des fragments FeN<sub>x</sub>C<sub>y</sub>[8]. Dans le même temps, l'identification des sites actifs est d'une grande importance dans la conception rationnelle des catalyseurs d'électrocatalyse présentant de meilleures performances et stabilité. Malgré la pluralité des sites actifs existant dans le catalyseur Fe-NC, le site actif le plus probable proposé dans la littérature est largement revendiqué comme étant des analogues structuraux du centre Fe-N<sub>4</sub>. La plupart des travaux se sont concentrés sur l'amélioration des performances des catalyseurs dans les processus électrocatalytiques clés [9-11]. Il est communément admis que le contrôle des caractéristiques structurelles électroniques et géométriques des sites métalliques actifs est l'outil clé pour obtenir des catalyseurs présentant des performances uniques et améliorées dans ce domaine de recherche très concurrentiel [12, 13].

Certains d'entre nous ont récemment proposé une technologie synthétique écologique (verte) et polyvalente pour la préparation de matériaux à base de carbone mésoporeux enrichis en azote à partir de matériaux de base non toxiques, issus des produits de l'alimentaire, et bon marché [14, 15]. Une telle approche a été utilisée avec succès pour obtenir des poudres aux structures de mousse 3D hiérarchiquement organisées et aux composites organiques-inorganiques [16-18], qui seront ensuite utilisés en tant que systèmes sans métal efficaces et stables pour catalyser un nombre relativement important de procédés chimiques industriels. Les sources de carbone et azote utilisées

pour la synthèse de ces nouveaux matériaux ont été sélectionnées parmi des matières premières solides couramment disponibles où l'eau est utilisée comme solvant dans la plupart des cas. Le D-glucose ( $C_6H_{12}O_6$ ) a été sélectionné comme source de carbone, un agent levant (c'est-à-dire carbonate d'ammonium  $(NH_4)_2CO_3$ ) comme source d'azote et de l'acide citrique ( $C_6H_8O_7$ ) comme support sacrificiel, pour la fixation de l'ammoniac libéré lors de la décomposition du carbonate sous forme de citrate d'ammonium ( $HO-C_3H_4(COO)_3H_x(NH_4)_{3-x}$ ) avec  $x = 0-3$ ). Des traitements thermiques successifs et contrôlés des matériaux ont conduit par la suite à des réseaux carbonés mésoporeux présentant des surfaces spécifiques relativement élevées (de l'ordre de  $150\text{ m}^2\cdot\text{g}^{-1}$ ) et des teneurs en azote allant jusqu'à 5 % en poids. Dans cette thèse, le D-glucose de qualité alimentaire et/ou l'acide citrique comme précurseur de carbone, les sels d'ammoniac inorganiques ou l'urée comme source d'azote, sont utilisés comme squelette principal pour la préparation du catalyseur Fe-NC. Les propriétés physico-chimiques et les performances électrocatalytiques pour la réaction ORR ainsi que la relation structure-performance des électrocatalyseurs obtenus sont systématiquement étudiées. En particulier, l'effet de l'ajout de médiateur structurel (KSCN,  $SiO_2$  et noir de carbone) sur la nature des sites actifs et sur l'activité des produits finaux a également été examiné. Le présent travail vise à fournir une compréhension fondamentale du catalyseur Fe-NC à partir de la conception des matériaux et de la nature des sites actifs, ce qui favoriserait le développement d'électrocatalyseurs de prochaine génération pour la technologie des piles à combustible.

## **2. Résultats et discussions**

### **2.1 Catalyseur Fe-NC inséré dans le réseau de carbone dopé avec de l'azote pour la réaction de réduction de l'oxygène.**

Dans ce travail, un protocole original a été développé pour la synthèse de catalyseurs contenant des atomes de fer isolés stabilisés par des atomes de carbone et d'azote, Fe-NC, à haute densité. Le catalyseur est préparé à partir de matériaux de base bon marché et de qualité alimentaire: le D-glucose sert comme principal précurseur de carbone, le  $(\text{NH}_4)_2\text{CO}_3$  comme source d'azote et l'acide citrique comme composant sacrificiel pour stabiliser les ions  $\text{Fe}^{3+}$  et  $\text{NH}_4^+$ . La combinaison d'ions citrate chélatants avec le ligand auxiliaire monodenté  $\text{SCN}^-$  s'est établie comme une "porte ouverte" pour accueillir les ions fer solubles dans l'eau sous la forme de fragments Fe-NC dans les réseaux CN (phase pré-catalyseur, Fe/NSMC-W). Le pré-catalyseur ainsi synthétisé est alors traité thermiquement en présence de  $\text{NH}_3$  (dopage thermo-chimique) pour obtenir le catalyseur final (Fe/NSMC-SHT). Les rôles spécifiques de l'effet du traitement KSCN et  $\text{NH}_3$  ont été étudiés.

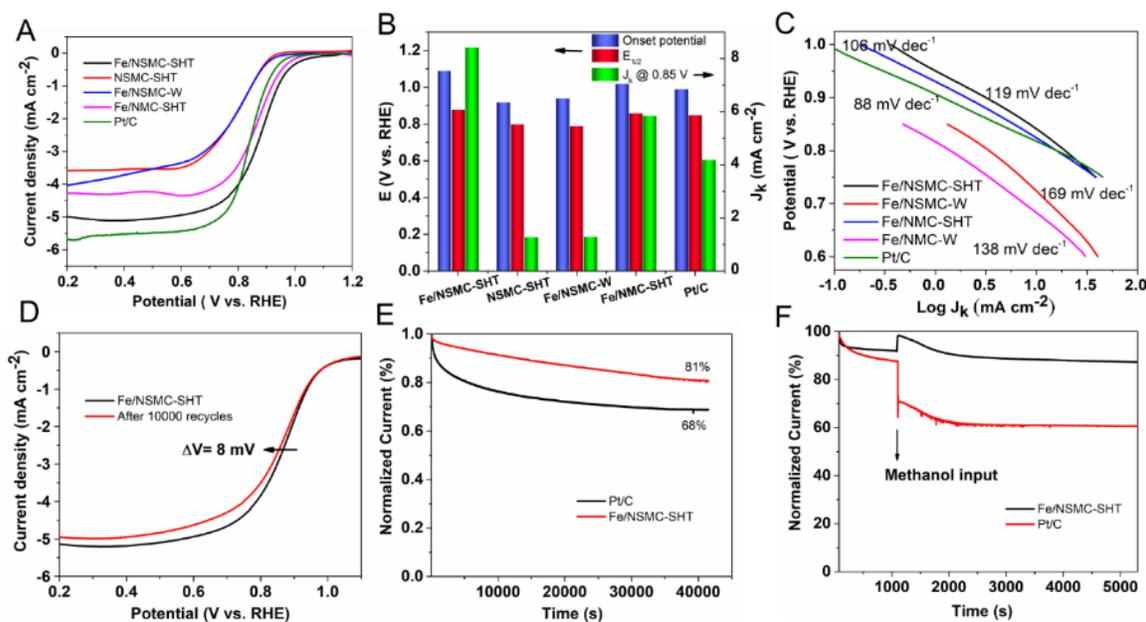


Figure 1. (A) Courbes de polarisation ORR, (B) Potentiel d'apparition, potentiel demi-onde ( $E_{1/2}$ ) et densité de courant cinétique ( $J_k$ ) pour différents catalyseurs, (C) Courbes de Tafel pour les catalyseurs préparés, (D) Test de durabilité accéléré (ADT) pour Fe/NSMC-SHT, (E) Réponse CA pour Fe/NSMC-SHT, (F) Test de tolérance au méthanol pour Fe/NSMC-SHT.

Le résultat montre que le KSCN sert de médiateur de surface permettant d'empêcher la génération de nanoparticules à base de Fe, maximisant ainsi la présence de sites actifs de Fe sous forme d'atomes isolés. Le post-traitement avec  $\text{NH}_3$  introduit en outre les groupements fonctionnels contenant des terminaisons N hautement basiques, et une meilleure exposition des sites actifs de Fe atomique, produisant ainsi une porosité plus abondante et une accessibilité des réactifs plus aisée. L'existence de sites Fe sous forme d'atomes isolés et la nature des sites actifs sur le catalyseur modèle (Fe/NSMC-SHT) sont extensivement caractérisées par la microscopie électronique à transmission en champ sombre annulaire à angle élevé (HAADF-STEM), et par la spectroscopie d'adsorption aux rayons X (XAS). Les résultats révèlent que le catalyseur Fe/NSMC-SHT présente une morphologie inattendue avec une phase mixte contenant des atomes de Fe isolés et des petites particules de Fe. Les analyses détaillées par l'ajustement d'une structure fine d'adsorption de rayons X étendue (EXAFS) ont indiqué que le mélange contenant des sites de fer N-coordonnés (Fe-NC) sous forme d'atomes uniques et des nanoparticules sont des espèces polyatomiques à l'interface entre l'oxyhydroxyde de fer et une matrice à base de carbone dopé à l'azote tel qu'identifié. Le catalyseur Fe/NSMC-SHT ainsi préparé présente des activités en électrocatalyse, pour la réaction de réduction d'oxygène réalisée en milieu alcalin, qui se rangent parmi les meilleures reportées à l'heure actuelle pour les catalyseurs à base de fer sous forme d'atomes isolés (Figure. 1). En conclusion de cette étude nous avons réussi à préparer des catalyseurs à base de fer, une partie sous forme d'atomes isolés et une autre sous forme de nanoparticules, par une méthode de synthèse simple, faisant appel à des matières premières à base alimentaire, et qui présente des performances en électrocatalyse parmi les meilleures pour cette catégorie d'électrocatalyseurs.

## **2.2 Catalyseur à base d'atomes isolés FeN<sub>4</sub>-Cl dispersés dans un réseau mésoporeux de carbone dopé à l'azote utilisant la silice comme porogène.**

Dans les travaux précédents, nous avons reporté une nouvelle voie de synthèse des catalyseurs à base d'atomes isolés de fer, stabilisés par des liaisons N et C, avec l'aide de ligand auxiliaire thiocyanate monodentate et un traitement thermochimique avec de NH<sub>3</sub>. Dans ce présent chapitre, une nouvelle stratégie est rapportée, et permet d'éviter le traitement thermochimique sous NH<sub>3</sub> en utilisant uniquement un sel inorganique (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> et l'acide citrique comme précurseur d'azote et de carbone respectivement. L'adjonction d'un porogène à base de silice permet l'obtention d'un catalyseur avec une structure poreuse et hiérarchisée, contenant des micropores interconnectés, des mésopores bimodaux et des macropores avec une distribution de pores ajustable. L'interconnectivité entre les différents réseaux poreux permet d'augmenter l'accessibilité des sites actifs aux réactifs et d'améliorer les performances en électrocatalyse. Il est important de noter que la concentration des atomes d'azote peut être finement ajustée en utilisant des sources séparées pour le carbone et l'azote, ce qui permet une meilleure optimisation des performances électrochimiques du catalyseur. Le mécanisme de formation respectif du carbone poreux hiérarchique décoré avec des atomes isolés de fer est proposé sur la Figure 2.

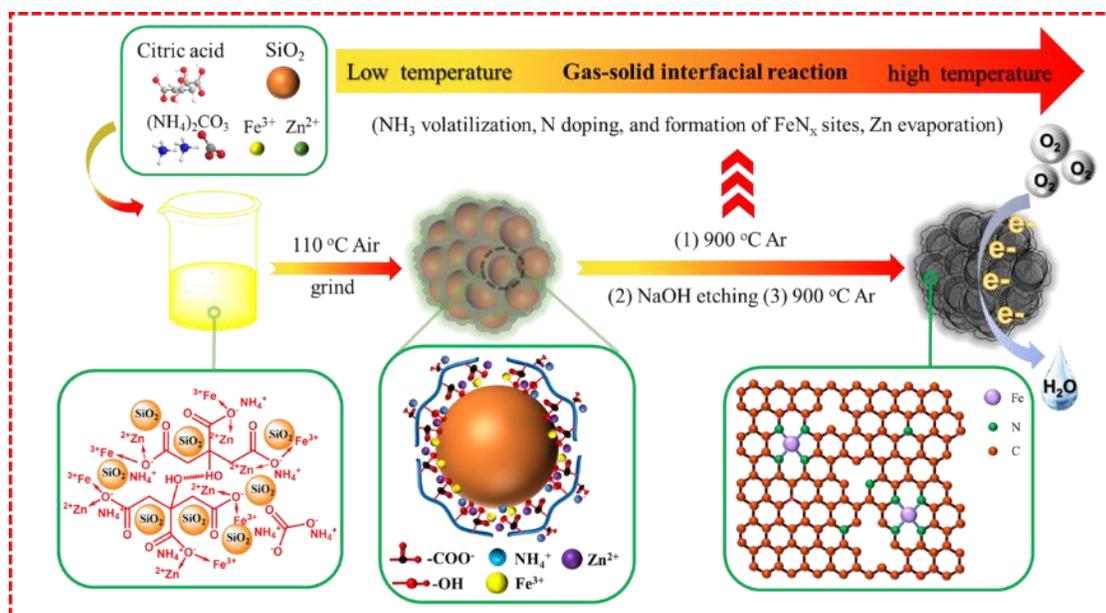


Figure 2. Schéma du mécanisme proposé pour la formation de carbone poreux hiérarchique dopé N décoré de Fe atomique.

Les analyses HAADF-STEM et XAS montrent que le catalyseur modèle contient des sites de fer atomiquement dispersés, principalement sous la forme de noyaux Cl-FeN<sub>4</sub> avec l'ion métallique logé dans une sphère de coordination pyramidale. D'autre part, l'influence de la teneur en N dans le mélange de précurseurs et les concentrations en Fe dans les catalyseurs sur l'activité ORR ont été systématiquement étudiées, afin de déterminer la relation entre les performances électrochimiques et les caractéristiques du catalyseur. Les résultats démontrent que le catalyseur <sup>2</sup>Fe/NHPC<sup>5AC</sup> présente des performances électrochimiques en ORR optimales (Figure 3). Il semblerait que l'utilisation simultanée du ZnCl<sub>2</sub> et de SiO<sub>2</sub> joue un rôle crucial dans la production de sites uniques Cl-FeN<sub>4</sub> avec une densité de sites plus élevée, garantissant une activité électrochimique la plus élevée en ORR. Les résultats des caractérisations ont permis d'identifier la nature des sites actifs comme étant la fraction Cl-FeN<sub>4</sub> combinée avec des sites N pyridiniques.

Il est à noter également que les fragments Cl-FeN<sub>4</sub> sont aussi considérés comme les sites les plus actifs pour la réaction ORR, tandis que les nanoparticules à base de Fe ne montrent aucune activité dans des conditions réactionnelles en milieu alcalin.

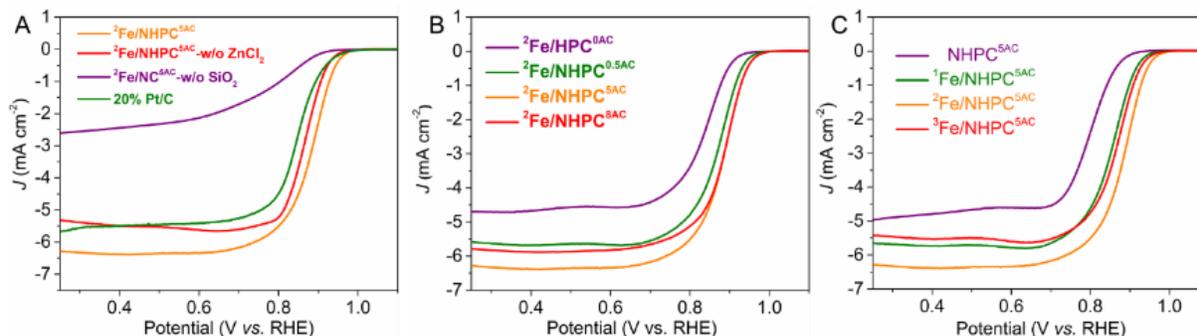


Figure 3. Courbes LSV (A) des catalyseurs de contrôle, (B) les catalyseurs produits par différentes additions de  $(\text{NH}_4)_2\text{CO}_3$ , et (C) les catalyseurs obtenus par diverses charges de Fe. Toutes les données sont enregistrées sous  $\text{O}_2$  saturée en solution KOH à 0.1 M pour des vitesses de balayage de  $10 \text{ mV} \cdot \text{s}^{-1}$  et de rotation de 1600 tours par minute.

### 2.3 Mise en œuvre d'une procédure de fabrication des électrocatalyseurs à base de Fe-NC pour la réaction d'ORR.

Dans le précédent travail, nous avons proposé une nouvelle voie de synthèse de carbone poreux hiérarchisé décoré avec des atomes uniques de fer en utilisant du  $(\text{NH}_4)_2\text{CO}_3$  et de l'acide citrique comme sources d'azote et de carbone respectivement pour être utilisé comme électrocatalyseur pour la réaction d'ORR. Dans ce présent chapitre, le D-glucose est utilisé comme seule source de carbone. Le glucose est également connu comme excellent agent chélatant pour l'ancrage du cation métallique (ici les ions  $\text{Fe}^{3+}$ ) dans la solution de précurseur [19, 20]. Plus important encore, le rendement de carbonisation du glucose, qui est plus élevé que celui de l'acide citrique, permet de produire des catalyseurs à plus grande échelle en vue des transferts technologiques futurs.

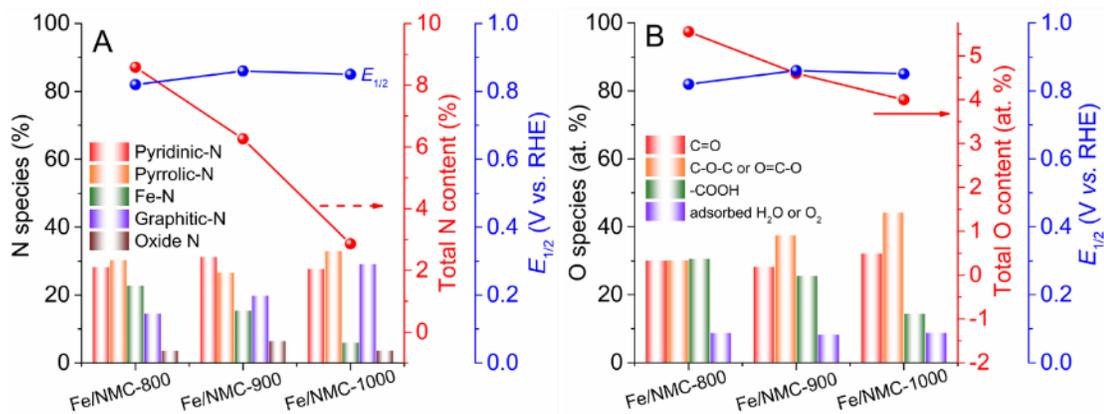


Figure 4. (A) Corrélation entre la composition en azote et l'activité ORR; (B) corrélation entre la composition en oxygène et l'activité ORR.

La synthèse consiste aussi à utiliser du chlorure d'ammonium ( $NH_4Cl$ ) comme source d'azote tout au long du procédé de préparation, ainsi que la silice comme agent de porogène pour la fabrication des structures hôtes à base de carbone poreux hiérarchisé qui sera décoré par la suite avec des atomes isolés de Fe dispersés de manière homogène sur la surface de l'électrocatalyseur. On constate également que la température de pyrolyse a une influence significative sur l'activité ORR du catalyseur final. En établissant une relation structure-performance, l'activité ORR semble être fortement dépendante de la température de pyrolyse, et l'activité en ORR est influencée par la densité des sites actifs ainsi que la conductivité électronique (Figure 4). Le catalyseur optimal, obtenu à une température de pyrolyse de 900 °C, présente une excellente activité ORR ( $E_{1/2} = 0,86$  V), une excellente durabilité ainsi qu'une résistance à la désactivation en présence du méthanol qui surpassent celles obtenues sur le catalyseur Pt/C commercial en milieu alcalin. En milieu acide, le Fe/NMC-900 présente également une activité ORR relativement intéressante, avec  $E_{1/2}$  de 0,63 V et une bonne stabilité. Les résultats obtenus confirment l'importance de la température de pyrolyse sur les propriétés géométriques et électroniques du catalyseur, qui à leur tour, influencent fortement l'activité en ORR.

## 2.4 Effet coopératif entre les atomes uniques de Fe et des nanoparticules $\text{Fe}_3\text{O}_4/\text{Fe}_3\text{C}$ supportés sur une structure hôte hybride de nanofeuillets de graphène/noir de carbone pour la réaction d'ORR.

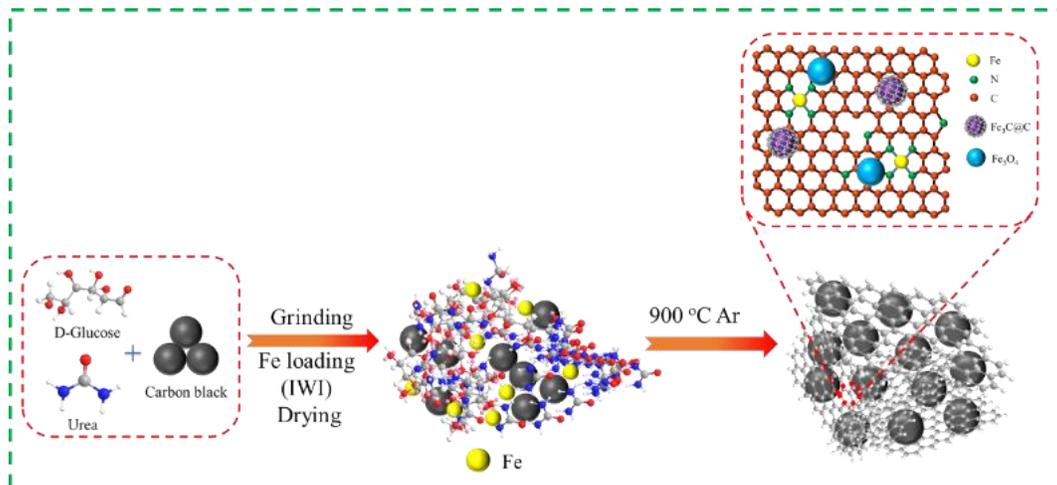


Figure 5. Schéma de la procédure de fabrication de l'électrocatalyseur hybride  $^{4.5}\text{Fe}@NGC/\text{CB}$ .

Dans le précédent travail, nous avons utilisé deux étapes thermo-chimiques à haute température en utilisant le  $\text{SiO}_2$  comme porogène pour la préparation d'électrocatalyseurs à sites uniques en Fe hautement actifs pour la réaction d'ORR. Dans le présent travail, nous investiguons l'influence de l'utilisation des nanoparticules de noir de carbone à la place des nanoparticules de  $\text{SiO}_2$  qui nécessitent un post-traitement fastidieux pour l'obtention de la porosité finale. En effet, contrairement aux nanoparticules de  $\text{SiO}_2$ , celles de noir de carbone permettent une amélioration en rendement du matériau final, mais elles participent également dans la génération de l'hétérostructure souhaitée sans avoir besoin d'avoir recours au post-traitement pour enlever les nanoparticules de  $\text{SiO}_2$  comme précédemment. Plus précisément, nous développons dans ce chapitre une stratégie facile et évolutive pour améliorer la préparation des catalyseurs hybrides

enrichis avec d'abondantes fractions  $\text{FeN}_x$  en interaction avec une couche de carbone hautement graphitique encapsulant des nanoparticules  $\text{Fe}_3\text{C}/\text{Fe}_3\text{O}_4$ . La synthèse est réalisée en utilisant une imprégnation d'humidité naissante d'une solution aqueuse de Fe directement sur un mélange contenant glucose, urée et noir de carbone, traitée ensuite directement par l'unique étape de pyrolyse (Figure 5). L'électrocatalyseur, tel que préparé, présente une hétérostructure composée de noir de carbone dopé avec des atomes d'azote, jouant le rôle d'échafaudage de carbone primaire, et de graphène dopé N entrelacé comme phase de carbone secondaire, présentant une structure poreuse hiérarchique avec un mélange de différents types de pores, e.g. micro-, méso- et macropores. également produits en utilisant différentes quantités de précurseur de Fe. Le catalyseur optimal avec une quantité appropriée d'ajout de Fe ( $^{4.5}\text{Fe@NGC/CB}$ ) affiche une performance ORR supérieure (Figure 6A). Une série d'expériences de contrôle telles que la lixiviation acide de  $^{4.5}\text{Fe@NGC/CB}$  et le test d'empoisonnement KSCN ont révélé sans ambiguïté que les fractions  $\text{FeN}_x$  sont de véritables sites actifs (Figure 6B et Figure 6D) vers l'ORR et les nanoparticules de  $\text{Fe}_3\text{O}_4$  ne montrent aucune activité mais exerce un effet de promotion positif sur l'activité des sites  $\text{FeN}_x$  via une interaction électronique (Figure 6C).

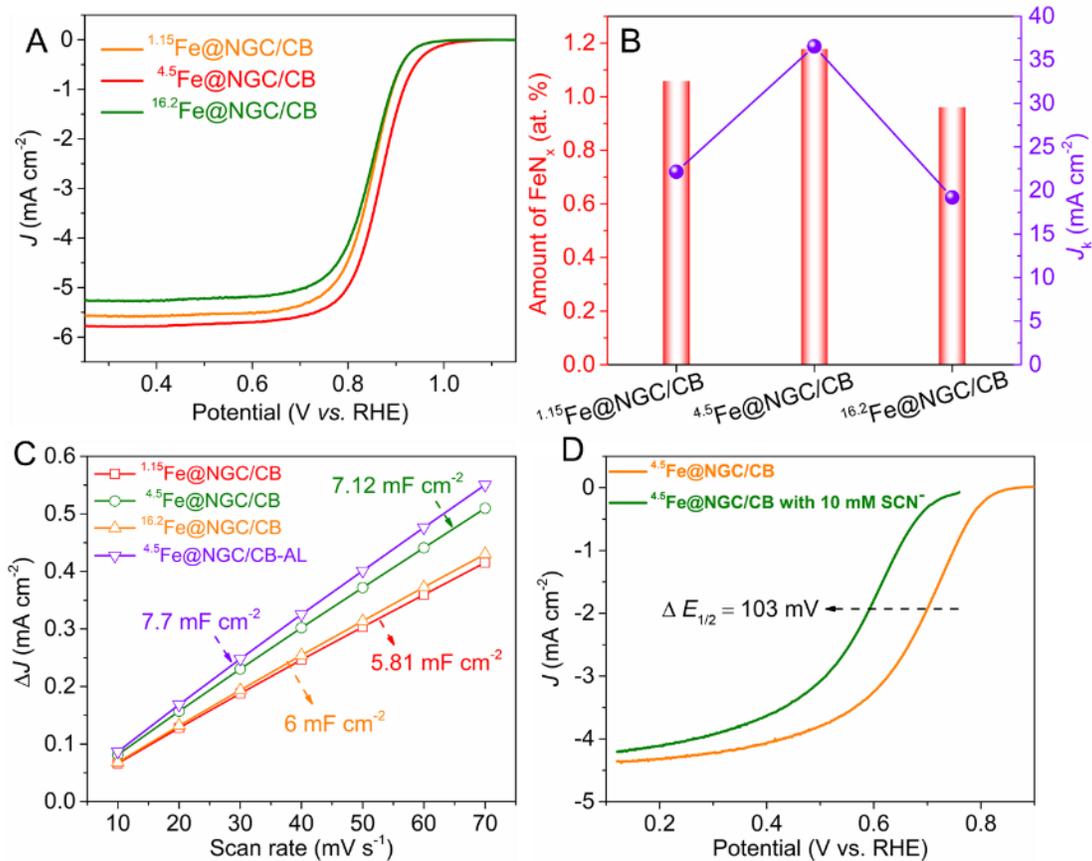


Figure 6. (A) Les courbes de polarisation en régime permanent des échantillons produits par l'utilisation de différents précurseurs de Fe à des vitesses de balayage de 10 mV·s<sup>-1</sup> et de rotation de 1600 tr/min en milieu réactionnel constitué par de l'O<sub>2</sub> - électrolyte KOH à 0,1 M saturé; (B) La corrélation entre la quantité de fractions FeN<sub>x</sub> et l'activité ORR pour les échantillons avec des charges de Fe variables; (C) Courbes d'ajustement linéaire des courants capacitifs par rapport aux taux de balayage, en mV·s<sup>-1</sup>, pour les échantillons avec des charges de Fe variables ainsi que l'échantillon 4.5Fe@NGC/CB-AL après une lixiviation à l'acide; (D) Courbes LSV de l'échantillon 4.5Fe@NGC/CB, avant et après l'ajout de 10 mM de KSCN, dans une solution saturée en O<sub>2</sub> de H<sub>2</sub>SO<sub>4</sub> à 0,5 M pour des vitesses de balayage de 10 mV·s<sup>-1</sup> et de rotation de 1600 tr/min.

### 3. Conclusions et perspectives

En conclusion, nous avons pu développer des nouvelles méthodes de synthèse des catalyseurs électrochimiques à base de Fe dispersé atomiquement dans une matrice carbonée, dopée avec des

atomes d'azote, en utilisant des matières premières à base de produits alimentaires non toxiques. La synthèse met également en œuvre des composés chimiques simples tels que KSCN, SiO<sub>2</sub>, ZnCl<sub>2</sub>, qui jouent le rôle de stabilisateurs pour la formation de sites Fe atomiquement dispersés dans l'ensemble du catalyseur. Nous avons pu mettre en évidence les bénéfices en utilisant une étape de post-traitement sous ammoniac en vue d'améliorer par l'intermédiaire des groupements fonctionnels riches en azote l'exposition et la stabilisation des sites actifs à base de fer pour la réaction d'ORR. Les études d'optimisation ont permis d'ajuster la composition chimique des différents composites, à savoir la concentration en fer et en azote pour la stabilisation des sites actifs, la température de pyrolyse pour l'obtention des catalyseurs finaux, et d'obtenir des activités en ORR améliorées. Les résultats obtenus sur différents matériaux synthétisés dans cette étude ont permis de montrer que la phase active est constituée par le fragment FeN<sub>x</sub>, les nanoparticules à base de Fe<sub>3</sub>O<sub>4</sub> ne jouant pas de rôle actif mais participant probablement dans l'amélioration des interactions électroniques avec les sites actifs. Néanmoins, il est à préciser que l'effet synergique varie en fonction des systèmes. Il convient de noter que notre approche synthétique dans cette étude représente également une stratégie universelle pour la synthèse d'autres catalyseurs à atome de métal unique (Co-N-C, Mn-N-C, etc.), associés à des nanoparticules métalliques ou oxydes, pour diverses applications telles que la réduction électrochimique du CO<sub>2</sub> en gaz de synthèse.

# **Chapter 1**

## **Literature Reviews**

## 1. Introduction

The developments of green and sustainable energy conversion and storage systems go alongside with the development of renewable energy (RE) to reduce the problem of climate change [21, 22]. Fuel cells are proven to be clean electrochemical energy-converting device with high efficiency and zero emissions which have attracted great research interest to meet improving energy requirements in the future [23, 24]. The working mechanism for typical proton exchange membrane fuel cells (PEMFCs) mainly involves in two half-cell reactions, namely anodic oxidation reaction of H<sub>2</sub> fuels, and cathodic oxygen reduction reaction (ORR), with electric energy output and only pure water as by-product. The ORR process is the main limitation to the fuel cells efficiency due to the high overpotential and sluggish kinetic at cathode [25]. Nowadays, Platinum-based catalysts are generally regarded as the state-of-art electrocatalysts for ORR. However, the high cost and scarcity of Pt significantly impede the development of PEMFCs [26, 27]. To address this issue, several strategies have been put forward to decrease Pt loading so as to reduce overall cost of cathode: (1) downsize Pt nanostructure to improve atom utilization efficiency; (2) alloying with non-precious metal to decrease amount of Pt usage. Nowadays, considerable advances have been achieved by alloying strategy which not only reduces cost but also enhances ORR performance over pure Pt-based catalyst due to the alteration of intrinsic electronic structure of Pt metal and optimal oxygen absorption [28-30]; (3) Structural optimization such as shape-controlled, with aim to expose preferential facets of Pt for maximizing catalytic activity [31-33]. Despite tremendous efforts in Pt-based catalyst achieved, the synthetic procedure is often tedious and the optimized Pt-based catalyst is prone to agglomerate or deactivated during electrochemical process, leading to poor long-term durability operation. Most importantly, the limited Pt reserves in the long-term makes Pt-based catalyst large-scale application less promising for future deployment.

Earth-abundant non-precious metal catalysts with much lower cost compared to Pt-based compounds have received an ever growing interest for both environmental and economically point of view [34]. Recently, the strong driving force for commercialization of low-costs fuel cells has stimulated a great deal of research interests in exploration of non-precious metal catalysts. Among a variety of them, transition metal and nitrogen co-doped carbon materials, denoted as M-N-C (M = Fe, Co, Cu, Mn) with high intrinsic activity toward ORR are become promising potential candidates for the replacement of Pt catalysts [35-37].

For the first time, cobalt phthalocyanine has been proposed in 1964 by Jasinski as an active catalyst for ORR process which opened an avenue for exploring non-precious metal ORR catalysts [38]. Following this pioneering work, electrocatalysts prepared by high temperature pyrolysis of metal-macrocycle complex adsorbed on carbon substrate, contributed to a significant enhancement of ORR activity [5, 39, 40]. In 1989, the breakthrough progress was reported by Yeager et al. that the M-N-C could be acquired through pyrolysis of separated metal precursor, carbon and nitrogen source which enabled better flexibility and control of the electrocatalysts preparation [41]. Immediately, extensive efforts have been devoted to the development of such catalysts [42-44]. Among these emerging M-N-C catalysts, Fe-N-C, which can be regarded as one of the most promising replacements for precious Pt catalyst, has been intensively investigated over the past decades. The large interest on such catalyst is not only due to its environment-friendliness and cost effectiveness as well as comparable or superior activity toward ORR but also due to its intrinsic methanol/CO poisoning-tolerance. The latter is severe problem for Pt-based catalyst, and even trace amount of CO (as low as 10 ppm) will result in dramatical degradation of catalytic activity [45-47]. To date, tremendous advancements have been obtained regarding the preparation of Fe-N-C and its application in fuel cell as well. Despite recent progress, the groundbreaking progress

in both activity and stability is not reported yet. In view of complicated coordination environment especially for those fabricated via high temperature pyrolysis, development and optimization of Fe-N-C are hindered by lack of understanding of the reaction mechanism and identification of precise nature of the active site. Thereby, there is still room for the improvement of performance on Fe-N-C by rational modulation of active sites and structure engineering for the sake of effective electrocatalyst design for ORR.

## 2. The mechanism and electrochemical evaluation of ORR

### 2.1 The mechanism of ORR

Table 1. Overall reaction equations of ORR in acidic and alkaline solution.

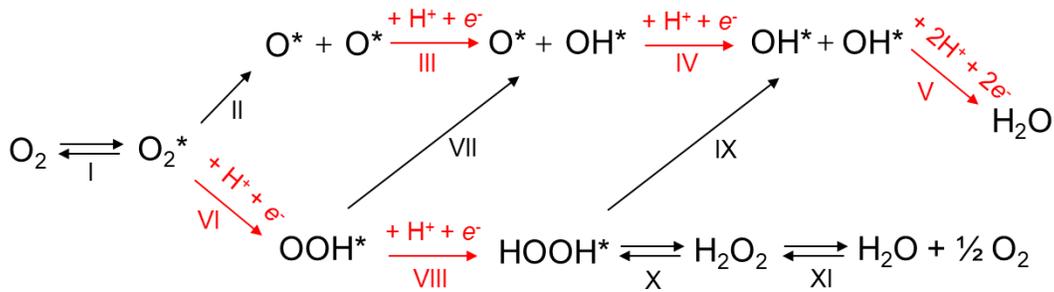
Electrolyte	Reactions
Acidic solution	4 e <sup>-</sup> reaction: $O_2 + 4 H^+ + 4 e^- \rightarrow H_2O$
	2 e <sup>-</sup> reaction: $O_2 + 2 H^+ + 2 e^- \rightarrow H_2O_2$
	Serial reaction: $O_2 + 2 H^+ + 2 e^- \rightarrow H_2O_2$
	$H_2O_2 + 2 H^+ + 2 e^- \rightarrow 2 H_2O$
Alkaline solution	4 e <sup>-</sup> reaction: $O_2 + H_2O + 4 e^- \rightarrow 4 OH^-$
	2 e <sup>-</sup> reaction: $O_2 + H_2O + 2 e^- \rightarrow OOH^- + OH^-$
	Serial reaction: $O_2 + H_2O + 2 e^- \rightarrow OOH^- + OH^-$
	$OOH^- + H_2O + 2 e^- \rightarrow 3 OH^-$

The ORR process primary depends on the physicochemical surface properties of electrocatalysts. The overall reaction equations can be depicted in Table 1, where ORR proceeds by either four-electron (4 e<sup>-</sup>) pathway with H<sub>2</sub>O (acidic media) and OH<sup>-</sup> (alkaline media) as reduction products or two-electron (2 e<sup>-</sup>) pathway with H<sub>2</sub>O<sub>2</sub> (in acid) and HO<sub>2</sub><sup>-</sup> (in alkaline) as products. Obviously, direct four-electron pathway is more preferred due to higher efficiency of energy utilization while the H<sub>2</sub>O<sub>2</sub> product from two-electron pathway is highly desired for the

replacement of industrial energy-intensive anthraquinone-based method [48].

The detailed microscopic mechanism of ORR process can be classified into three pathways as summarized in Figure 1. Typically, the oxygen molecule is first adsorbed and activated on the surface of catalyst to form  $O_2^*$ , herein the \* is denoted as active site of catalyst, followed by O-O bond cleavage in three different manners:

- (1) Dissociation pathway: The O-O bond in  $O_2^*$  is cleaved directly into two  $O^*$  intermediates (reaction II), which then are successively reduced to  $OH^*$  and  $H_2O^*$  (IV) and eventually end up with desorption of  $H_2O^*$  (V) along with the active site released again.
- (2) Association pathway: the  $OOH^*$  are first generated from  $O_2^*$  (VI), then O-O bond in  $OOH^*$  is cleaved into  $O^*$  and  $OH^*$  (VII), followed by the successive reduction to form  $H_2O$ .
- (3) The second association pathway: the  $O_2^*$  is sequentially reduced to  $OOH^*$  and  $HOOH^*$  (VIII), then 2  $OH^*$  (IX) can be generated and finally reduced to  $H_2O$

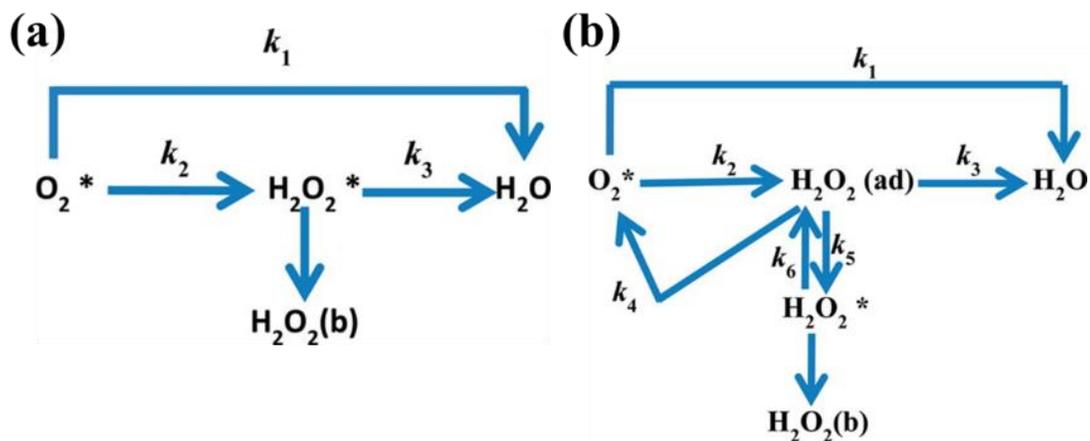


**Figure 1.** The microscopic reaction pathway toward ORR.

The ORR reaction pathway mainly depends on the initial dissociating energy barrier of adsorbed  $O_2$  molecule on the surface of active site. The  $O_2$  dissociating energy barrier on carbon materials surface is relatively high, as reported by some DFT studies [49], thus not favorable for four-electron transfer pathway. As a result, the experimental measured electron transfer numbers for most carbon materials reported to date are much less than 4. On the contrary, the dissociating

energy barrier on metal surface is much lower than that occurred on carbon materials especially for noble metal Pt. For example, the O<sub>2</sub> dissociating energy barrier on the surface of Pt (111) is calculated to be less than 0.3 eV (or ca. 29 kJ/mol), consequently taking four-electron transfer pathway [50]. The theoretical computation result is also consistent with experimental finding that Pt-based catalysts and other transition metal always present perfect four-electron selectivity. In addition, some studies also reported that the O<sub>2</sub> degree of coverage on the surface of catalyst plays a crucial role for O-O bond cleavage [51], the O-O bond is prone to cleave with the lowest dissociating energy barrier at low oxygen coverage before OH\* formation (dissociation mechanism), whereas a high oxygen coverage induces O-O bond cleavage with a higher energy barrier thus favoring the formation of OOH\* intermediate (association pathway).

Damjanovic et al. [52] proposed a model which is mostly used for evaluation of ORR rate constant and identification of reactive intermediates by means of rotating ring-disk electrode voltammograms (Figure 2(a)). However, the actual reaction process might be even more complicated due to the disproportionation reaction of intermediate H<sub>2</sub>O<sub>2</sub> (reaction XI in the Figure 1) and equilibrium between adsorbed and dissolved H<sub>2</sub>O<sub>2</sub> on the surface of electrode. It is taken into account in more general reaction kinetic model proposed by Wroblowa et al. [53], as shown in Figure 2(b). Ohsaka et al. [54] applied these two type of models to evaluate O<sub>2</sub> reduction process, they found that the Fe in Fe-N-C catalyst plays a crucial role for four-electron process, whereas in the case of N-C catalyst, two-electron pathway dominate ( $k_1 < k_2$ ). Besides, it is also believed that the reaction pathway is closely linked with the loading density of catalyst, where high loading density contributes to four-electron pathway ( $k_1 \gg k_2$ ) whilst the two-electron reduction of O<sub>2</sub> becomes more pronounced at low loading density [55-57].



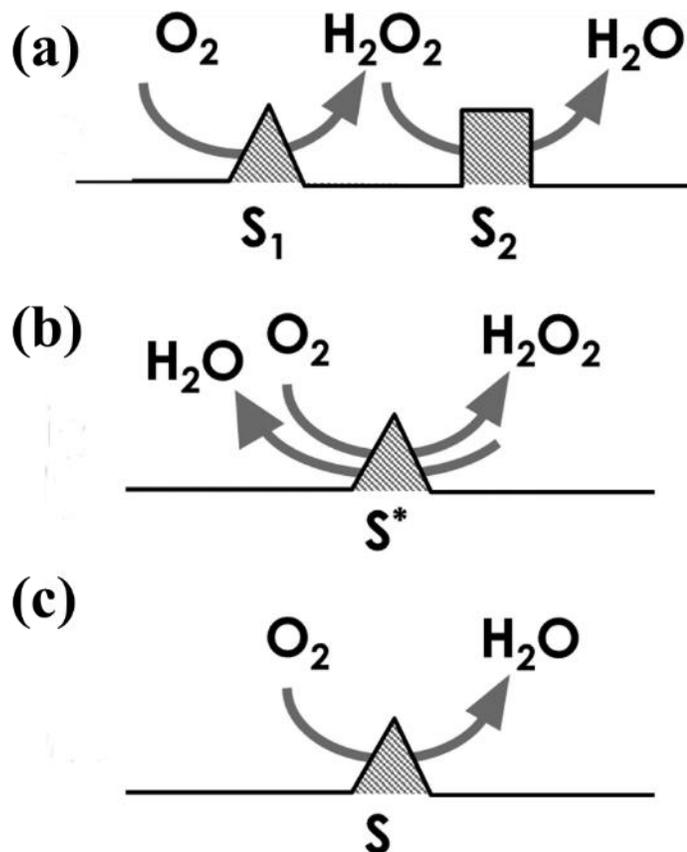
**Figure 2.** (a) Damjanovic and (b) Wroblowa model for ORR process. Reproduced from Ref. [54]

Owing to the simultaneous presence of multiple active sites with totally different structural features and kinetic parameter, the ORR pathway on different active sites can be described as shown in Figure 3:

- (a) dual sites  $2 \times 2 e^-$  model where  $O_2$  is reduced to  $H_2O_2$  and  $H_2O$  successively on two different active sites.
- (b) the single site  $2 + 2 e^-$  with reduction of  $O_2$  into  $H_2O$  on the same active site.
- (c) the direct  $4 e^-$  mechanism on the single active site.

The detailed reaction mechanism is specifically dependent on the electrode materials and pH used during ORR. Some researchers believed that ORR preferred to proceed through the  $2 e^-$  reduction pathway on metal-free carbon-based catalysts with  $H_2O_2$  as production [58-61]. Jaouen and Dodelet revealed a direct  $4e^-$  mechanism on Fe-N-C as they observed that the  $H_2O_2$  yield is nearly independent of the loading density and rotation speeds. The electroreduction of  $H_2O_2$  shows a negligible contribution to the overall reaction kinetic [62]. Tylus et al [63] proposed indirect four-electron process with different reduction pathways depended on pH of electrolyte. ORR catalyzed by  $Fe^{2+}$ - $N_4$  center proceeded through single site  $2 + 2 e^-$  mechanism without any desorption of

reactive intermediates in alkaline electrolyte while dual-site  $2 \times 2 e^-$  mechanism is governed in acid media with concomitant Fe/Fe<sub>x</sub>O<sub>y</sub> nanoparticles as secondary active site for reduction of desorbed H<sub>2</sub>O<sub>2</sub>.



**Figure 3.** Possible reaction pathways and active sites of performing the ORR process. (a) dual site  $2 \times 2 e^-$ , (b) single site  $2 + 2 e^-$ , and (c) single site direct  $4 e^-$  mechanism. Reproduced from Ref. [64]

On the other hand, researchers focused on determining the rate determining step (RDS) which is of importance to understand the sequence of electron and proton transfer. In most cases, the overall ORR process rate is determined by one of the following three steps. (1) the first electron transfer process, (2) hydration of absorbed oxygen, and (3) the desorption of intermediates production.

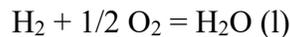
A large number of theoretical simulations have mentioned that the first reduction step of adsorbed molecular oxygen is RDS of ORR [65-68]. For instance, Anderson's group found that the first electron transfer step had the highest reaction barrier, and proton transfer was involved in the RDS in acid medium where the peroxy radical,  $\text{OOH}(\text{ads})$  was the first reduction intermediate. In the basic mechanism, the superoxide,  $\text{O}_2^-(\text{ads})$  is thought to be the first reduction intermediate without proton transfer [69, 70]. Janik and Taylor et al. suggested that electron transfer precedes the protonation of the adsorbed  $\text{O}_2$  molecule [71]. Differently, Goddard reported the reaction pathway and barriers for the oxygen reduction reaction (ORR) on platinum, and they claimed that  $\text{OH}^*$  could be formed with aid of  $\text{O}^*$  hydration and this process possessed highest barrier energy. It suggested that decreasing the barrier for  $\text{O}^*$  hydration while providing hydrophobic condition for the  $\text{OH}$  and  $\text{H}_2\text{O}$  formation steps is a highly efficiency strategy to improve ORR performance [72].

Recently, it has also been widely approved that the absorption energy of oxygenated species such as  $\text{O}^*$  and  $\text{OH}^*$  plays a pivotal role for ORR on the surface of metal catalyst, but they are turned out to be difficult for experimental identification [73, 74]. The extraordinary efforts carried out by density functional theory (DFT) for surface process have significantly promoted the development of electrocatalysts field. Norskov et al. [75] introduced a detailed procedure for calculating free energy of intermediates as function of applied electrode potential using DFT calculation in the process of electrochemical reaction. They found that the adsorbed oxygen and hydroxyl intermediates tended to be stable at potential close to equilibrium, while the electron and proton transfer are not taking place. The adsorption energy of these intermediates gradually decreased as the increasing of potential subsequently reaction may proceed, which clarified the origin of the overpotential for electrochemical process. According to Sabatier principle, high

performance electrocatalysts for ORR should possess moderate binding energy with oxygenated species. If the adsorption on the electrode surface is too weak, the ORR process will be limited by the transformation of proton-electron transfer into adsorbed O<sub>2</sub> or restrained by O-O bond cleavage. On the other hand, too strong binding force will lead to difficulties in the desorption step of OH<sup>-</sup> and H<sub>2</sub>O from the surface of the catalyst. The variation of absorption energy is dependent of the electronic structure of the electrode surface, which can be described by the coupling between the adsorbate valence states and the metal d-states for the transition metals [76-78]. The higher the energy of the d-states is, the stronger the interaction with adsorbate states is. In view of the fact described above, Norskov established the correlation between metal-O bond interaction and the position of metal d states relative to Fermi energy level [79, 80]. As a result, the regulation of electronic structure of electrocatalyst is believed to be a feasible way to optimize the adsorption of intermediates accordingly the enhancement of activity.

## 2.2 Electrochemical evaluation of ORR

For hydrogen-fed fuel cell, the overall chemical reaction equation can be described as follow:

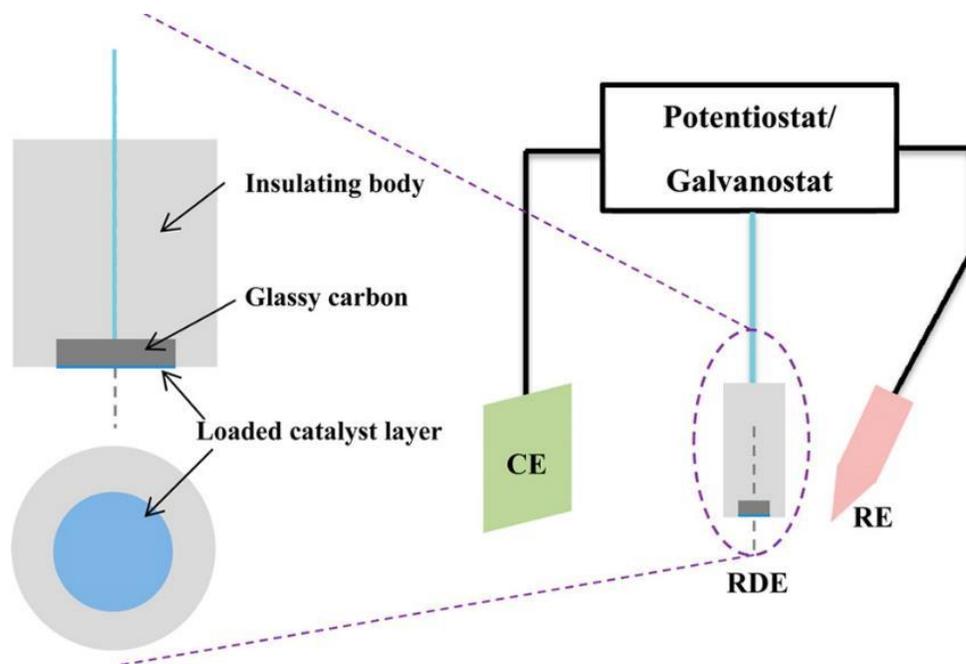


Under standard conditions (298 K and 1 atm), the thermal energy ( $\Delta\text{H}$ ) for this reaction is 285.8 kJ mol<sup>-1</sup>, and the free energy for useful work ( $\Delta\text{G}$ ) is 237.1 kJ mol<sup>-1</sup>. The thermal efficiency for ideal fuel cell can be determined to be:

$$\eta_{\text{ideal}} = \Delta\text{G} / \Delta\text{H} = 237.1 \text{ kJ mol}^{-1} / 285.8 \text{ kJ mol}^{-1} = 0.83$$

Under actual condition, the cell thermal efficiency:  $A = E_{\text{useful}} / \Delta\text{H} = 0.83 * E_{\text{useful}} / \Delta\text{G} = 0.83 E_{\text{actual}} * I / E_{\text{ideal}} * I = 0.83 E_{\text{actual}} / E_{\text{ideal}}$ , wherein  $E_{\text{ideal}} = 1.23 \text{ V}$ , thus  $A = 0.675 E_{\text{actual}} = 0.675 (E_{\text{cathode}} - E_{\text{anode}})$ , generally, O<sub>2</sub> can be reduced into H<sub>2</sub>O on the side of cathode with certain overpotential

( $E_{op}$ ). The equation can ultimately be converted to  $A = 0.67 [(E_{cathode}-E_{op})-E_{anode}]$  with taking the  $E_{op}$  into consideration. Accordingly, the higher thermal efficiency which corresponds to maximum energy utilization efficiency featured by higher  $E_{ORR}$  would be achieved with lowest overpotential. As the  $E_{op}$  is closely associated with cathode electrode materials, the rational design of electrocatalyst with optimized overpotential is highly desired.

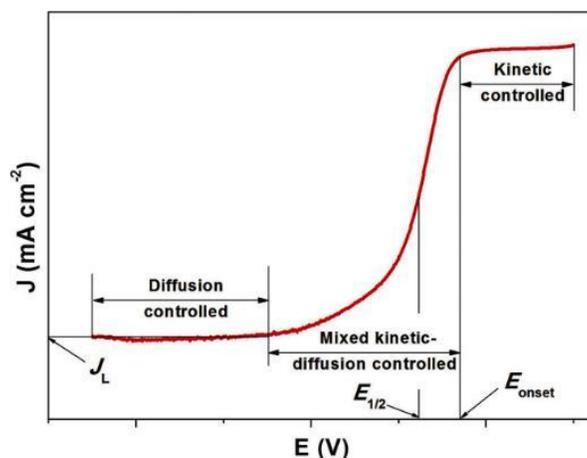


**Figure 4.** Schematic diagram of typical rotating-disk electrode (RDE) testing setup in three-electrode configuration. Reproduced from Ref. [81]

In a model three-electrode cells only  $O_2$  dissolved in electrolyte reaching the electrode surface are participating in reduction of  $O_2$ , and solubility of  $O_2$  in aqueous electrolytes is low ( $1.26 \times 10^{-1}$  mol/L at 25 °C in 0.1M KOH). In order to control and force the mass transport of  $O_2$  in solution, rotating disk electrode (RDE) measurement with three electrode system is developed for the evaluation of electrochemical performance, as depicted in Figure 4.

Figure 5 displays the typical ORR polarization curve for model catalyst with three-electrode system. The curve can be divided into three parts. In the region of kinetic control, the rate of

reaction is the slowest and it will gradually increase as the overpotential increases. A dramatic increase of current density with overpotential results in depletion of near-electrode area of  $O_2$  and mixed kinetic-diffusion control of the reaction at higher overpotentials. As the overpotential increases further, the near-electrode layer is getting completely depleted of  $O_2$ , and the reaction rate is determined exclusively by the rate of mass transport of  $O_2$  toward electrode surface, which does not depend on electrode potential.



**Figure 5.** Typical ORR polarization curve. Reproduced from Ref. [82]

Hence, a well-defined current density plateau occurs in so-called diffusion-controlled zone. The limiting current density  $J_L$  of the plateau is closely related to diffusion rate of reactant and electrode rotation rate. It is well known that the limiting current density increases as the rotation rate increases due to the enhanced mass transport of reactant by forced convection. When porous electrodes are used, the abundant porosity of electrode particularly for hierarchical porous configuration with high reactant accessibility to exposed active site is highly anticipated for achievement of high diffusion current density as well as ultimate availability of higher energy efficiency [83].

The phenomenon is in accordance with the result that ORR tends to proceed via more efficient

four-electron pathway with higher catalyst loading as aforementioned [57]. Two important parameters, half-wave potential ( $E_{1/2}$ ) which can be read in the area of mixed kinetic-diffusion control and onset potential which usually is calculated by threshold current density of 0.1 mA / cm<sup>2</sup> [84], can be used as indicators for evaluation of electrochemical activity. The more positive these values are, the more active are the catalysts. The kinetic current density ( $j_k$ ) is another vital criterion for evaluation of electrocatalyst, which can be obtained by polarization curves with mass-transport correction through Levich-Koutechky (K-L) equation as follow:

$$\frac{1}{j} = \frac{1}{j_L} + \frac{1}{j_K} = \frac{1}{0.62nFC_0(D_0)^{2/3} \nu^{-1/6} \omega^{1/2}} + \frac{1}{nFkC_0} \quad (1)$$

Where  $j$  is measured current density and  $j_L$  refers to diffusion limited current density. It is noting that the measured current density should be corrected by elimination of background contribution to exclude interference of capacitive current density especially for porous carbon materials with high specific surface area;  $\omega$  represents the angular velocity,  $n$  is the number of transfer electron,  $C_0$  is oxygen bulk concentration,  $D_0$  is diffusion coefficient of O<sub>2</sub> in electrolyte,  $\nu$  is the kinematic viscosity of the electrolyte, and  $k$  signifies the electron-transfer rate constant. The  $j_K$  value is usually compared at a the same relatively high applied potential (i.e. 0.9 V vs. RHE for Pt -based catalyst) depending on different electrode materials due to the smaller inaccuracy of mass-transport [85]. Besides, the electron transfer number  $n$  for ORR process can also be calculated directly from K-L equation. At a certain overpotential  $\eta = E - E_{eq}$ , the overall current density for a certain reaction step can be expressed as follows [21]:

$$j = j_f + j_b = j_0 [C_O/C_O^* \exp(-\alpha f \eta) - C_R/C_R^* \exp((1 - \alpha) f \eta)] \quad (2)$$

Where  $j_f$  is forward current density for a certain chemical reaction,  $j_b$  is backward current density,

$j_0$  is exchange current density derived from equilibrium potential,  $f$  is defined as  $F/RT$ ,  $\alpha$  is the transfer coefficient,  $C_o^*$  and  $C_R^*$  are bulk oxygen concentration for oxidized and reduced species respectively,  $C_o$  and  $C_R$  are the counterparts for surface oxygen concentration. In kinetic controlled region, the  $O_2$  concentration differences from bulk and surface of solution are negligible ( $C_o^* = C_o$ ,  $C_R^* = C_R$ ). Thus, the equation can be simplified as well-known Butler-Volmer equation:

$$j = j_0 [\exp(-\alpha f \eta) - \exp((1 - \alpha) f \eta)] \quad (3)$$

The equation implies that the higher the exchange current density ( $j_0$ ), the more accelerated reaction kinetic. Furthermore, the above equation can be converted into two parts due to the irreversibility of ORR at higher overpotential ( $\eta > 50$  mV) under the operation condition:

$$j_a = j_0 \exp\left(\frac{\alpha_a n F \eta_a}{RT}\right) \quad (4)$$

$$j_c = -j_0 \exp\left(-\frac{\alpha_c n F \eta_c}{RT}\right) \quad (5)$$

Where  $j_a$  and  $j_c$  signify current density of anode and cathode respectively, and correspond semilogarithmic forms:

$$\eta_a = \frac{RT}{nF\alpha_a} \ln j - \frac{RT}{nF\alpha_a} \ln j_0 = b \ln j - a \quad (6)$$

$$\eta_c = \frac{RT}{nF\alpha_c} \ln j_0 - \frac{RT}{nF\alpha_c} \ln j = a - b \ln j \quad (7)$$

$b$  refers to Tafel slope, one of the most important reaction mechanism descriptors. Tafel slopes are typically found at  $60 \text{ mV dec}^{-1}$  or  $120 \text{ mV dec}^{-1}$  in acidic media, while  $40 \text{ mV dec}^{-1}$  and  $120 \text{ mV dec}^{-1}$  is reported in basic media.

The change of Tafel slopes is thought to be potential-dependent. The different Tafel slope in

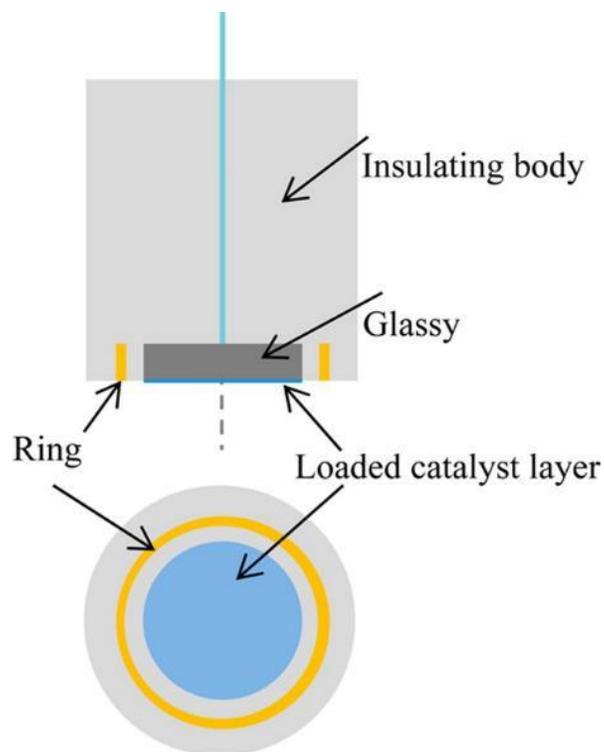
high potential (40 vs. 60 mV dec<sup>-1</sup>) for distinct electrolyte is associated to different elementary reaction step which depends on applied potential. The same Tafel slope in low potential (120 mV dec<sup>-1</sup>) which is independent on pH suggests that first-electron reduction of oxygen is rate determining step which means the subsequent O-O bond breaking and reduction become facile [86-89].

To obtain the deeper understanding of kinetic mechanism, rotating ring-disk electrode (RRDE) system is established as depicted in Figure 6. In this configuration, O<sub>2</sub> reduction reaction proceeds on the disk electrode and its intermediate products (H<sub>2</sub>O<sub>2</sub> or HO<sub>2</sub><sup>-</sup>) are oxidized on ring electrode. The transportation efficiency of intermediate products from disk to ring electrode can be quantitatively described by collection efficiency N, which is the ratio of an amount of an intermediate detected on ring electrode to the amount formed on disk electrode. Generally, N is determined in a control experiment with standard reversible redox couple, for example [Fe(CN)<sub>6</sub><sup>3-</sup>/Fe(CN)<sub>6</sub><sup>4-</sup>] solution. The potential window for ring electrode can be set between diffusion-limiting value for the oxidation of intermediates and onset potential for oxidization of H<sub>2</sub>O or OH<sup>-</sup> to O<sub>2</sub>. Therefore, the yield of intermediate products and electron transfer number during ORR are calculated by recording ring and disk current density according to followed equations [90, 91]

$$X_{\text{HO}_2^-} = \frac{2 j_R / N}{j_D + j_R / N} \quad (8)$$

$$n_{e^-} = \frac{4 j_D}{j_D + j_R / N} \quad (9)$$

Where  $j_R$  is ring electrode current density,  $j_D$  is disk electrode current density, and N is collection efficiency.

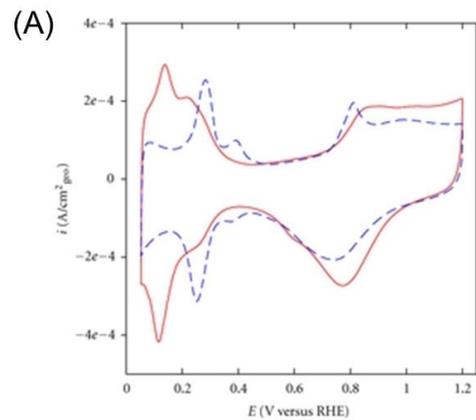


**Figure 6.** Schematic diagram of rotating ring-disk electrode system. Reproduced from Ref. [81]

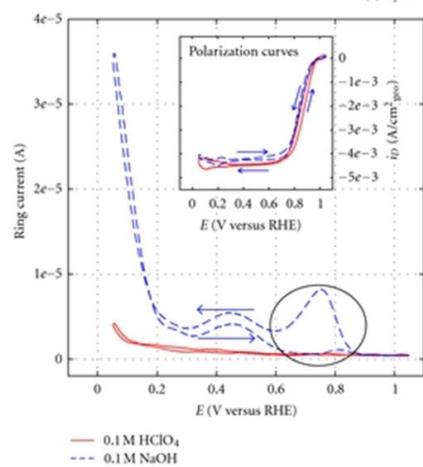
The pH-dependence of ORR activity is also elucidated taking precious metal Pt as the reference system. More insight regarding pH-dependence behaviors for Fe-N-C can also be derived on the basis of Pt metal which will be stated in detail after. The ORR process is complicated not only because of multiple electron and proton transport steps and its competitive reaction involving in two-electron and four-electron pathway, but also due to its different reaction mechanism under various pH conditions [92]. The fundamental understanding of ORR performance gap between acid and alkaline media is of paramount importance for design of high performance electrocatalysts.

Mukerjee et al. [93] found that higher intermediate product yield is obtained at 0.1 M NaOH than that at 0.1 M HClO<sub>4</sub> solution and the byproduct continues to increase as the improved concentration of NaOH electrolyte (Figure 7b and c), The consistence between Pt-OH formation

arising from specifically adsorbed hydroxide anion as seen from CV curves (Figure 7a) and the sharp increase in ring current (i.e. yield of an intermediate of ORR) in alkaline media demonstrated the interaction between O<sub>2</sub> molecule and hydroxyl species on the electrode surface. Subsequently, the mechanistic origin for the so-called kinetic facility of ORR in alkaline media for Pt electrocatalysts are investigated from the perspectives of the changes in the double-layer structure and the reaction mechanisms [94, 95]. As shown in Figure 7d, in acidic media, the chemisorbed O<sub>2</sub> molecules (with either nondissociatively or dissociatively adsorbed), solvent water dipoles and specifically adsorbed hydroxyl species constitute Inner-Helmholtz Plane (IHP), while the H<sub>3</sub>O<sup>+</sup> ion and solvated O<sub>2</sub> populate Outer-Helmholtz Plane (OHP). The direct or series 4e<sup>-</sup> reaction predominantly proceeds by reduction of adsorbed O<sub>2</sub> at IHP by a proton transfer from OHP to IHP, so-called inner sphere electron transfer (ISET), resulting in water as dominating products without desorption of intermediates. In the case of alkaline media, water molecules play double role of solvent and source of proton required for ORR. The constituents in IHP differ appreciably from those in acid media, where the specifically adsorbed hydroxyl species (mainly stem from adsorption of OH<sup>-</sup> anion), solvent water dipoles and adsorbed O<sub>2</sub> serve as IHP while solvated O<sub>2</sub> molecule, alkali metal ion populate OHP. The ORR takes place via both ISET and outer-sphere electron transfer (OSET) with water as proton source instead of H<sub>3</sub>O<sup>+</sup>. For OSET mechanism, solvated molecular O<sub>2</sub> weakly interacts with adsorbed hydroxyl species to promote a 2 e<sup>-</sup> reaction pathway to HO<sub>2</sub><sup>-</sup> anion as a reaction product which desorbs from the surface of catalyst. Therefore, the slower kinetic and higher H<sub>2</sub>O<sub>2</sub> yield are ascribed to the contribution of OSET.



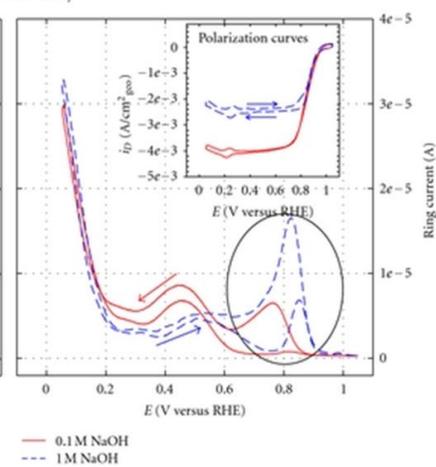
(B)



(b)

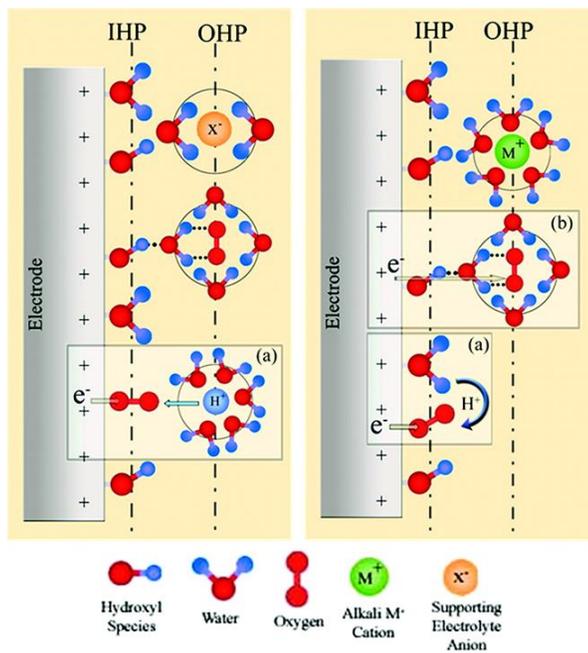
(a) Cyclic voltammery

(C)



(c)

(D)

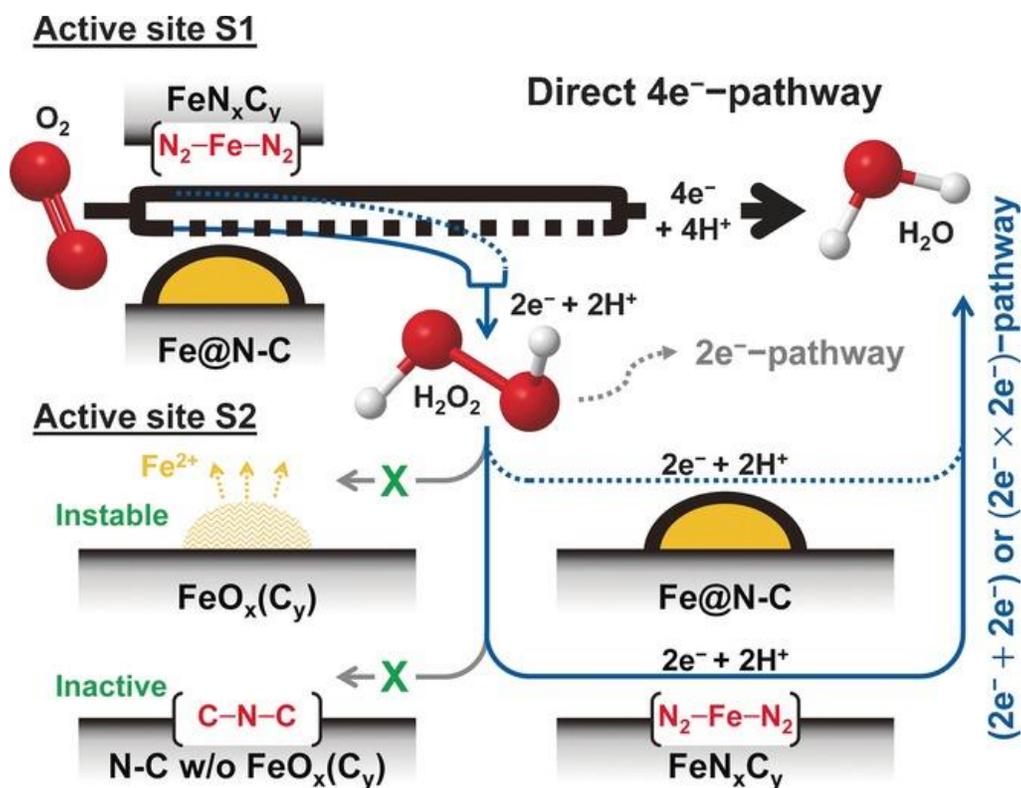


**Figure 7.** Comparison of electrochemical characteristics of BASF-ETEK 30% Pt/C in 0.1 M HClO<sub>4</sub>, 0.1 M NaOH, and 1.0 M NaOH electrolytes. (a) CV in argon-saturated electrolytes, (b, c) Ring currents measured during ORR study at 900 rpm in O<sub>2</sub>-saturated electrolytes. Insets in (b, c) show the corresponding ORR polarization curves at 900 rpm. Scan rate: 20 mV/s. E<sub>Ring</sub> = 1.1 V versus RHE in 0.1 M NaOH and 1.3 V versus RHE in 0.1 M HClO<sub>4</sub>. Catalyst loading: 15 μg Pt/cm<sup>2</sup> on 5.61mm Glassy Carbon disk electrode. Adapted from Ref. [93], (d) Schematic illustration of the double-layer structure during ORR in acidic (left) and alkaline (right) conditions. Reproduced from Ref. [94]

### 3. Outline of Fe-N-C

It is generally believed that FeN<sub>x</sub> sites are active for four-electron ORR process [96]. For instance, Ohsaka et al. [97] reported the introduction of Fe led to a larger increase in the rate constant of four-electron reduction than that of two-electron process. Furthermore, they estimated the rate constant  $k_1$ ,  $k_2$ ,  $k_3$  of ORR corresponding to the four-electron direct reduction of O<sub>2</sub> to H<sub>2</sub>O, the two-electron reduction of O<sub>2</sub> to H<sub>2</sub>O<sub>2</sub> and two-electron reduction of H<sub>2</sub>O<sub>2</sub> to H<sub>2</sub>O respectively (see Figure 2). They claimed that only two-electron active site existed in the case of N-C while the FeN<sub>x</sub> played a crucial role in making the four-electron active site ( $k_1 \gg k_2$ ) as well as it may catalyze the H<sub>2</sub>O<sub>2</sub> reduction to H<sub>2</sub>O, resulting in the enhanced ORR performance [54]. Artyushkova et al. [64] analyzed more than 45 Fe-N-C electrocatalysts and proposed that pyrrolic nitrogen acted as a site for partial O<sub>2</sub> reduction to H<sub>2</sub>O<sub>2</sub> and pyridinic nitrogen displayed catalytic activity in reducing H<sub>2</sub>O<sub>2</sub> to H<sub>2</sub>O, whereas Fe coordinated to N (FeN<sub>x</sub>) serves as an active site for four-electron direct reduction of O<sub>2</sub> to H<sub>2</sub>O. The indispensable role of efficient four-electron transfer process for FeN<sub>x</sub> was confirmed by Gewirth et al. [98] who demonstrated that in the absence of Fe the carbon and nitrogen site in the catalyst exhibited a larger overpotential and lower selectivity for the four-electron reduction of oxygen in both acidic and alkaline conditions.

Choi et al. [99] elucidated the reaction mechanism of Fe-N-C with several underlying active sites in acid media as depicted in Figure 8 and demonstrated that  $\text{FeN}_x\text{C}_y$  moieties and Fe@N-C (Fe nanoparticles encapsulated into carbon layer) species were moderately active toward reduction of  $\text{H}_2\text{O}_2$ , proving their possible roles in both direct (major path) and indirect four-electron ORR pathways. In the latter, the released  $\text{H}_2\text{O}_2$  was further reduced in another site ( $\text{FeN}_x\text{N}_y$  and Fe@N-C), while electrolyte-exposed Fe nanoparticles and N-doped carbon are inactive toward peroxides reduction reaction (PRR).

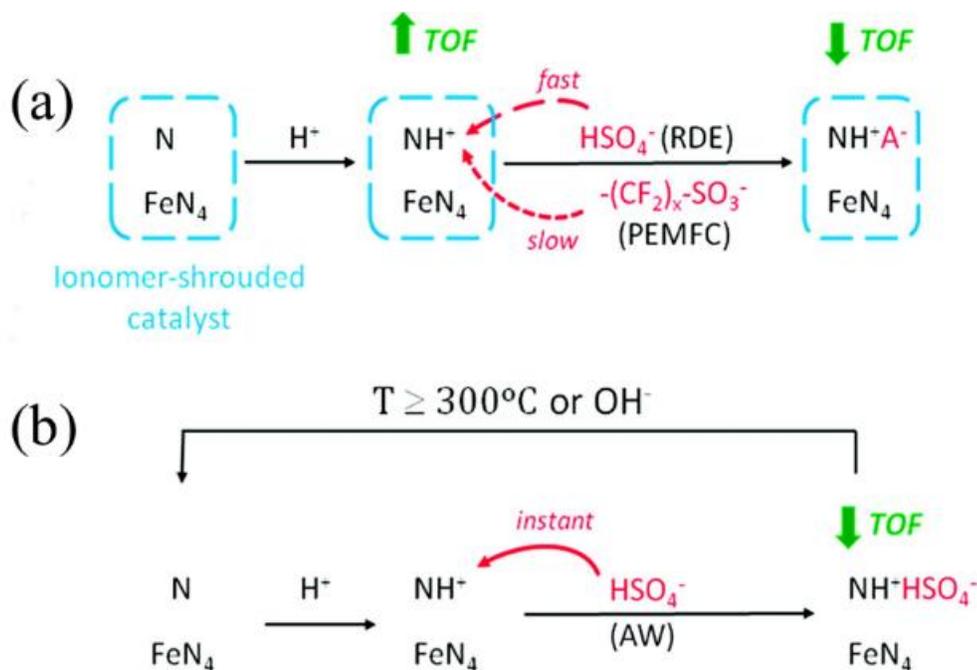


**Figure 8.** ORR mechanisms on Fe-N-C catalyst in acid medium. The site S1 is  $\text{FeN}_x\text{C}_y$  moieties or Fe@N-C.  $\text{FeN}_x\text{C}_y$  mostly catalyzes direct  $4e^-$  ORR, along with a minor fraction of  $\text{H}_2\text{O}_2$ . Fe@N-C produces higher fraction of  $\text{H}_2\text{O}_2$  in comparison to  $\text{FeN}_x\text{C}_y$ . The released  $\text{H}_2\text{O}_2$  is then reduced to  $\text{H}_2\text{O}$  on site S2, either  $\text{FeN}_x\text{C}_y$  or Fe@N-C. Surface-exposed Fe particles and N groups without subsurface Fe are PRR inactive. Reproduced from Ref. [99]

Similar to Pt/C catalyst, the Fe-N-C also presents distinct pH-dependence. However, contrary to the behavior of Pt/C, the apparent ORR activity of Fe-N-C is much higher with lower H<sub>2</sub>O<sub>2</sub> yield in alkaline media than those in acidic condition [93, 100, 101]. The improved performance in basic media can be rationalized by variation of adsorption strength of reactant and intermediate. For Pt/C catalyst in alkaline media, the specifically adsorbed OH<sup>-</sup> on the IHP act as a bridge for OSET as well as site blockage for ISET which leads to slower reaction kinetic and larger yield of 2 e<sup>-</sup> products. On the contrary, the adsorbed OH<sup>-</sup> on the IHP of Fe-N-C is not stable and easily replaced by molecular O<sub>2</sub>. As such OSET is inhibited on the Fe-N-C, ensuring predominant 4 e<sup>-</sup> reduction of O<sub>2</sub> in the alkaline medium [102]. Meanwhile, hydrogen peroxide intermediates HO<sub>2</sub><sup>-</sup>, which is a Lewis basic, can be stably adsorbed and immediately reduced to the 4 e<sup>-</sup> product on Fe<sup>2+</sup> active site (Lewis acid sites) via the formation of stabilized acid–base adducts. On the other hand, the neutral intermediate H<sub>2</sub>O<sub>2</sub> negates its Lewis basic property and undermines its stabilization on Fe<sup>2+</sup>, ultimately facilitating the 2 e<sup>-</sup> process and enhanced H<sub>2</sub>O<sub>2</sub> yield [95, 103]. There is another hypothesis that the protonation of doped nitrogen atom in Fe-N-C hinders the charge delocalization and thus degrades its electrocatalytic activity in acid media [104]. Rauf et al. [105] proposed that the protonation of pyridinic N would greatly decrease the charge density (Lewis basicity) of adjacent carbon atoms thus reducing the affinity to O<sub>2</sub> and ORR activity in acidic media. If FeN<sub>x</sub> active sites were concerned, the electron density of Fe-center also would be reduced significantly due to the low basicity of adjacent carbon, which result in negative shift of Fe<sup>2+</sup>/Fe<sup>3+</sup> redox potential and decreased ORR activity.

Herranz et al. [106] demonstrated that protonation of basic N-group and subsequent anion binding resulted in a decreased turnover frequency of FeN<sub>4</sub> sites for the ORR as shown in Figure 9a. Pyridinic nitrogen is possible candidate for basic-N group since pyridine has a low of pK<sub>a</sub> of

5.2. However, the  $\text{FeN}_4$  site was assumed to possess higher turnover frequency for the ORR as long as nearby basic N-groups were protonated but not anion bound. The result was verified that the ORR activity could be restored through heat treatment or acid washing of protonated catalyst with removal of bound anion (Figure 9b). Generally, the  $\text{FeN}_x\text{C}_y$  structure,  $\text{Fe}/\text{FeC}_x@\text{N-C}$ , and N-doped carbon are all relatively active in alkaline media [107, 108]. But in acid electrolyte, the N-doped carbon free of Fe-based particles shows negligible activity with considerable  $\text{H}_2\text{O}_2$  yield [99]. By introducing inorganic Fe-based compounds encased by carbon overlayer, the ORR activity increases sharply. These Fe-based nanoparticles play a crucial role in the electrocatalysis by imparting a synergistic effect on the N-doped carbon that allows stabilization of the peroxide intermediate and enable the full  $4\text{e}^-$  reduction of oxygen to water [109].



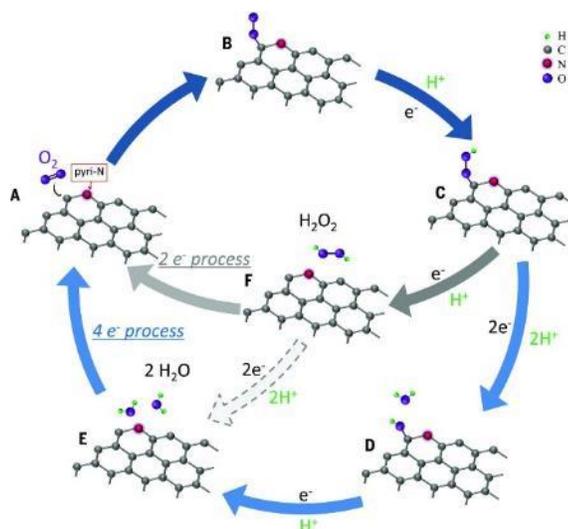
**Figure 9.** Change of the chemical state of protonable N-groups and simultaneous change of the turnover frequency (TOF) for the ORR of  $\text{FeN}_4$  catalytic sites when the  $\text{Fe}/\text{N}/\text{C}$  catalyst is shrouded by proton-conducting ionomer (a) or directly in contact with an aqueous acidic solution (b). In case (b), the acid-washed catalyst can be reactivated either thermally or chemically. Reproduced from Ref. [106]

#### 4. Nature of active site for Fe-N-C

As alternative electrode materials for Pt/C, one particular family for non-precious metal catalyst (NPMC) is  $MN_4$  type complex which has been extensively explored. The metal atom M acts as central of molecular moiety coordinated by four pyrrolic nitrogen atoms constituting square-planar configuration. The most studied macrocycle complexes have focused on transition-metal phthalocyanines (M-Pcs) and metal porphyrins (M-Ps) as well as their analogues, with supported or without supported by various carbon substrates [110]. Although the activity has been significantly improved by recent studies, the lack of long-term stability required for continuous operation in a fuel cell still remains enormous challenge. To overcome this key issue, the catalysts pyrolyzed at high temperature containing these metal-macrocycle complexes or mixture of individual Fe inorganic precursor and N/C-containing organic molecules have been well developed and demonstrated enhanced durability toward fuel cell application. It appears that  $FeN_4$  or  $FeN_x$  centers can be well preserved and act as potential active sites which are responsible for high activity of ORR after pyrolysis. Nevertheless, in most cases particularly for Fe-N-C catalysts synthesized by pyrolysis of iron precursor, N-containing precursor and C resources, the resulting materials typically present highly heterogeneous structure with multiple active components. To date, three type of active sites are proposed to exhibit considerable catalytic activity toward ORR, namely, N-doped carbon moiety (denoted as N-C), iron-based species encased in N-doped carbon (denoted as Fe@N-C), and atomically dispersed iron embedded in carbon matrix (denoted as Fe-N-C).

At present, it is widely believed that Fe atom acts as adsorption/desorption site of reactant  $O_2$  or oxygenated intermediate. Thus high turnover frequency (TOF) can be obtained by adjusting the Fe-O binding energy [111, 112]. For instance, Kramm et al. [113] presented a simple and feasible

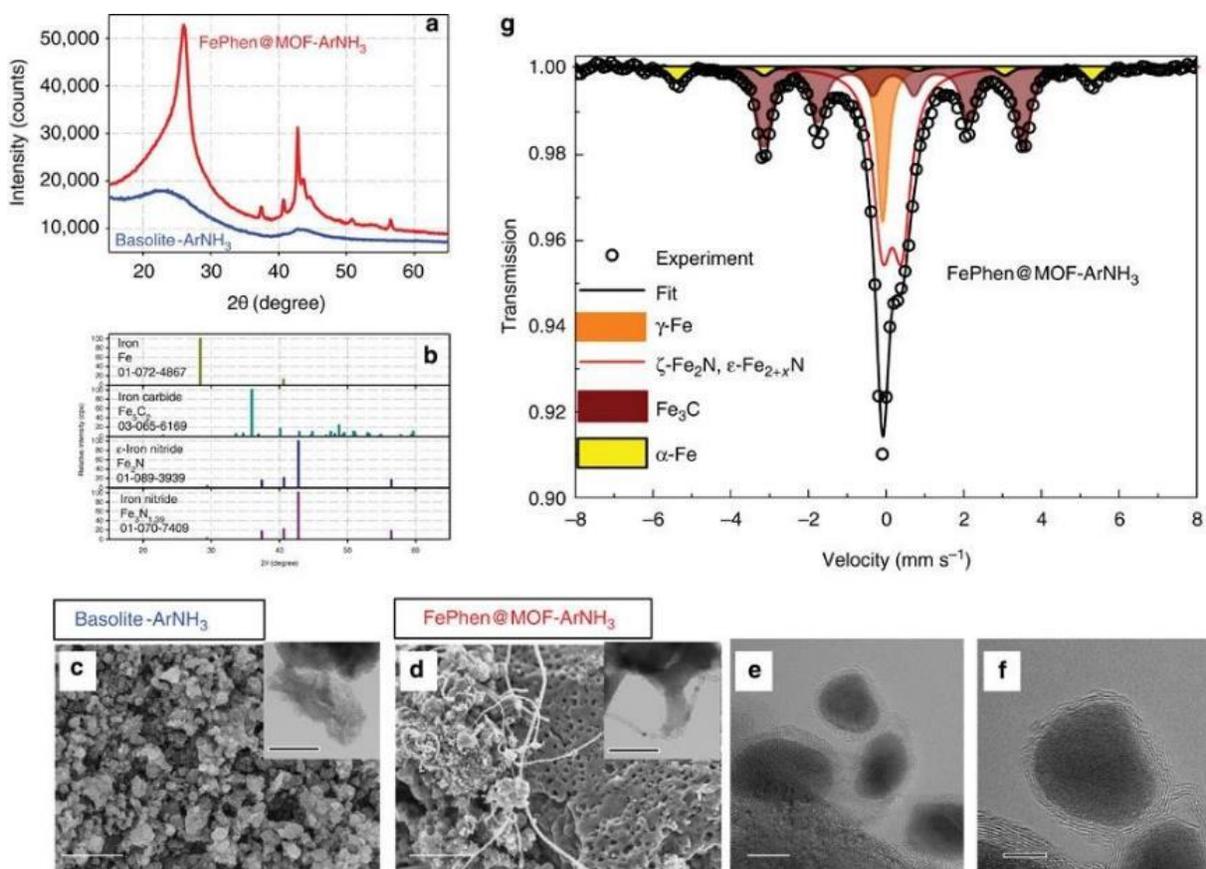
way to reduce the contribution of inorganic metal species even down to zero. Such catalyst revealed the desired homogeneous composition of FeN<sub>4</sub> site in the carbon matrix accompanied by a significant enhancement in ORR activity, the desired FeN<sub>4</sub> catalysts displayed onset potential of 0.88 V and kinetic current density of 3.15 A g<sup>-1</sup> at applied potential of 0.8 V. Zhang et al. [114] achieved complete atomic dispersion of FeN<sub>4</sub> sites based on Mössbauer spectroscopy data by regulation of doped Fe content in the zeolitic imidazolate framework (ZIF)-8 precursor and the optimal Fe-N-C catalyst with highest FeN<sub>4</sub> active sites presented exceptional activity with onset potential as high as 0.88 V in H<sub>2</sub>SO<sub>4</sub> solution. Choi et al. [99] proposed that Fe-N-C moieties were more selective than Fe particles encapsulated in N-doped carbon toward 4 e<sup>-</sup> ORR process, and N-doped carbon free of Fe-based species exposed to electrolyte was inactive toward reduction of H<sub>2</sub>O<sub>2</sub> thus 2 e<sup>-</sup> process dominated. On the contrary, other group reported that the role played by transition metal Fe in the catalyst precursor was only to catalyze the formation of active site for oxygen reduction reaction, and itself was not the component of active site and the ORR activity is exclusively attributed to N-C sites [115-117]. However, a real sense of “metal-free” N-doped carbon derived from metal-assisted high temperature synthesis remains debated because even trace amount of Fe can intensely boost the ORR activity [118, 119]. A large number of works have been implemented and respectable ORR activity have been achieved for N-doped carbon especially in alkaline media [120]. In addition to aid in the formation of Fe-N-C catalytic centers [121, 122], N atom can also be in-situ incorporated into carbon lattice during pyrolysis in several well-known form, such as graphite N, pyridinic N (hexagonal ring at the edge), and pyrrolic N (five-membered ring at edge) with sp<sup>2</sup> hybridized and quaternary-oxidized N. Results of DFT calculations indicate that the intrinsic catalytic activity and the ORR reaction mechanism depend on the triple effect: the charge, the spin density and the coordinate state (ligand effect) of the carbon sites [123-126].



**Figure 10.** Schematic pathway for oxygen reduction reaction on nitrogen-doped carbon materials. Reproduced from Ref. [127]

The identification of active sites for N-C catalyst mainly focus on the type of N-containing functionalities. The suggested that underlying active sites for ORR are pyridinic N and graphitic N [116, 128-135]. Guo et al. [127] proposed reaction mechanism in the acid media on the basis of N-doped carbon as depicted in Figure 10. The active sites were created by pyridinic N and the adsorption site for  $O_2$  was the carbon atom adjacent to the pyridinic N. The subsequent ORR could occur through the direct  $4 e^-$  process or indirect  $4 e^-$  process. The proposal was evidenced by Xing et al. [136] that oxygen reduction intermediate OH (ads) remained on the carbon atoms neighboring pyridinic nitrogen after ORR using Synchrotron-based X-ray photoelectron spectroscopy analyses. Although N-doped carbon materials have been proposed as effective electrocatalysts for ORR in acid media with either  $2 + 2 e^-$  process or direct  $4 e^-$  pathway [127], still they displays moderate catalytic activity as well as high  $H_2O_2$  yield up to 30 % [129, 137]. To address this issue, metallic Fe or  $FeC_x$  species wrapped by graphitic carbon or N-doped carbon overlayer are proposed, which result in dramatically increase in activity, four-electron selectivity as well as durability in both acidic and basic electrolyte [138-141]. For example, Wen et al. [142]

reported nitrogen-enriched core-shell structured catalyst with iron-based composite (Fe/Fe<sub>3</sub>C) nanorod as the core and graphite carbon as the shell (N-Fe/Fe<sub>3</sub>C@C). Such catalyst exhibited a kinetic current density of 26.89 mA cm<sup>-2</sup> at 0 V which is almost twice higher than that of the Pt/C catalysts (14.20 mA cm<sup>-2</sup>) in neutral phosphate buffer solution. Strickland et al. [109] prepared Fe@N-C catalyst devoid of any direct metal-nitrogen coordination with Fe/Fe<sub>x</sub>C surrounded by graphitic layers as shown in Figure 11. The resulting FePhen@MOF-ArNH<sub>3</sub> provided 40 mV and 90 mV improvements in terms of onset potential and half wave potentials respectively as well as one order of magnitude lower H<sub>2</sub>O<sub>2</sub> yield compared to pristine Basolite-ArNH<sub>3</sub> without metal loading in acid media. They claimed that the presence of the subsurface Fe/Fe<sub>x</sub>C was able to stabilize the peroxide intermediate on the active site thus promoted the selectivity toward the 4 e<sup>-</sup> ORR pathway. Meanwhile, the reaction occurred primarily on the carbon-nitrogen structure in the outer skin of the nitrated carbon fibres and Fe/Fe<sub>x</sub>C itself is not directly involved in the oxygen reduction pathway. Similar conclusion has also been proposed by Mukerjee's group [111]. Due to specific core-shell configuration, almost no significant performance loss was observed after 10000 potential cycles which might be attribute to potential elimination of any Fenton-type process involving exposed iron ions culminating in peroxide initiated free-radical formation.



**Figure 11.** (a) X-ray diffraction pattern of FePhen@MOF-ArNH<sub>3</sub> and Basolite-ArNH<sub>3</sub>. (b) Diffraction patterns of iron, iron carbide and iron nitrides. (c) SEM image of Basolite-ArNH<sub>3</sub>. Scale bar, 2 μm. Inset TEM image of amorphous carbon. Scale bar, 100 nm. (d) SEM image of FePhen@MOF-ArNH<sub>3</sub>. Scale bar, 2 μm. Inset TEM image of bamboo-jointed CNT. Scale bar, 100 nm. (e) HR-TEM image of iron encapsulated in bamboo joints of CNT in FePhen@MOF-ArNH<sub>3</sub>. Scale bar, 10 nm. (f) HR-TEM image of Fe/Fe<sub>x</sub>C nanoparticle surrounded by graphite layers. Scale bar, 5 nm. (g) <sup>57</sup>Fe Mössbauer spectrum of FePhen@MOF-ArNH<sub>3</sub> measured at room temperature. Reproduced from Ref. [109]

Apart from the pivotal role of coated iron-based species on N-doped carbon toward enhancement of ORR activity, it is also widely reported that these inorganic iron-based species sharply increase the ORR activity of Fe-N-C active sites [107, 143-146]. For example, Jiang et al. [147] developed a highly active Fe-N-C ORR catalyst containing FeN<sub>x</sub> coordination sites and

Fe/Fe<sub>3</sub>C nanocrystals (Fe@C-FeNC) and revealed that the interaction between metallic iron and Fe-N<sub>4</sub> coordination structure favored the adsorption of oxygen molecule thus the superior catalytic activity of Fe@C-FeNC could be attributed to the Fe-N<sub>x</sub> sites promoted by neighboring Fe/Fe<sub>3</sub>C nanoparticles. Nevertheless, Kramm et al. [148] argued that an excessive iron-carbide formation led to disintegration of FeN<sub>4</sub>-centers, hence limiting the number of ORR active sites on the final catalyst which in turn decreased the ORR activity. Similar results were also reported by other research groups suggesting that FeN<sub>x</sub>C<sub>y</sub> active sites possessed more superior catalytic activity and durability toward ORR than Fe@N-C sites in completely identical synthetic condition or the same system [114]. Specifically, Song et al. [112] revealed that activity order of different active sites of Fe-N-C in both acid and basic media combined with experimental and theoretical calculation follows: Fe-N<sub>4/2</sub>-C > Fe<sub>4</sub>-N-C > N-C >> Fe<sub>4</sub>-C > C.

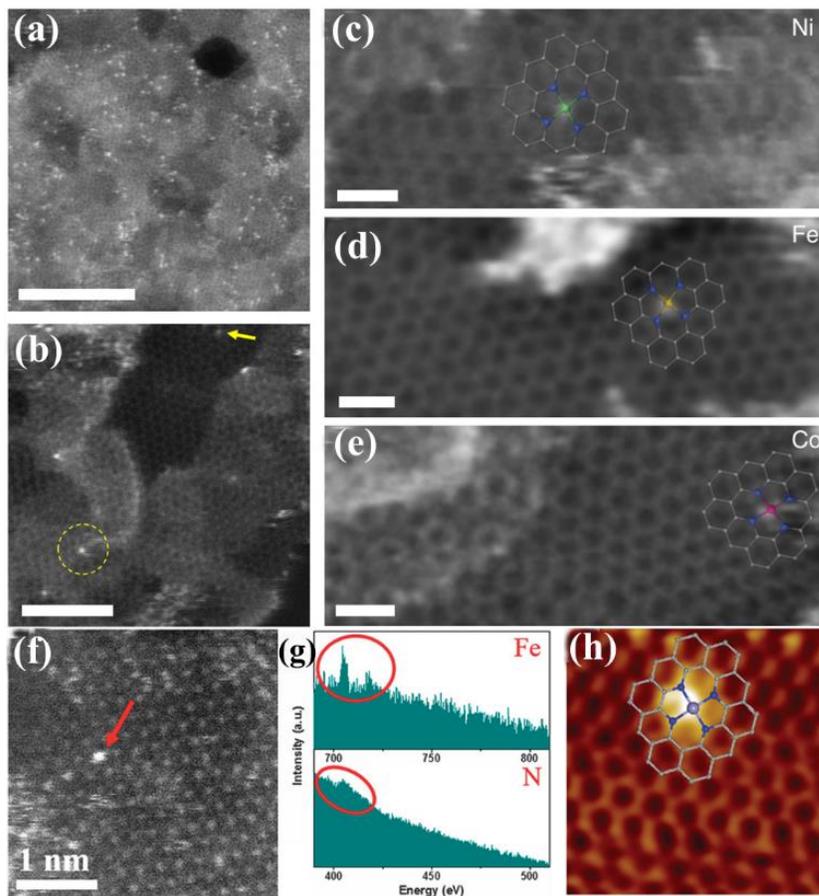
Kumar et al. [149] made a comparison on activity after 1000 startup/shutdown cycles in fuel cell test between FeN<sub>x</sub>C<sub>y</sub> and Fe@N-C, and they found most of the metal-NC catalysts initially comprising MN<sub>x</sub>C<sub>y</sub> sites showed measurable ORR activity at 0.8 V, while those initially comprising M@N-C particles did not. The distinct contribution of activity for iron-based particles could be explained since the reactivity of M@N-C species varied with the thickness of the N-doped carbon shells surrounding the metal core, and this thickness may depend on different preparation routes and/or using different precursors [150, 151].

Although extensive efforts have pointed out the indispensable role of FeN<sub>x</sub>C<sub>y</sub> site toward ORR process [152], the exact structure of FeN<sub>x</sub>C<sub>y</sub> moiety required for high catalytic activity of ORR has been debated. Meanwhile, the underlying reaction mechanism of FeN<sub>x</sub>C<sub>y</sub> catalyst toward ORR also remains elusive. For most pyrolyzed catalysts derived from macrocycle phthalocyanine (FePc) or Fe porphyrin analogs, the coordination structure has been confirmed to be Fe-N<sub>4</sub> with

pyrrolic-N atom surrounded, which typically inherit their parent macrocycle features. The ligands centered on Fe are partially destructed and only part of these Fe-N<sub>4</sub> sites could be responsible for the ORR activity [153]. On the other hand, the synthesized catalysts by high temperature pyrolysis for individual Fe precursor, nitrogen and/or carbon organic molecules exhibit highly diverse coordination configuration with possible one- to six-coordinated nitrogen as well as variety of N-doped (pyridinic N and pyrrolic N) on the basis of experimental or theoretical findings [154-161]. Li et al. [162] prepared a series of Fe-N-C catalysts with controllable FeN<sub>x</sub> (x = 1,3,5) active sites by tuning pyrolysis temperature and revealed that the ORR activity and PEMFC performance follow the order FeN<sub>4</sub> > FeN<sub>3</sub> > FeN<sub>1</sub>. Combined with theoretic calculations, they found that the FeN<sub>4</sub> is proved to have lowest formation energy among the types of FeN<sub>x</sub> (x = 1-5). Lefevre and Dodelet [163, 164] reported simultaneous presence of FeN<sub>2</sub> and FeN<sub>4</sub> sites in Fe-based catalysts prepared from two iron precursors (Fe acetate or Fe porphyrin) adsorbed on a synthetic carbon. The synthesis consists of the pyrolysis of perylene tetracarboxylic dianhydride (PTCDA) in a reduction atmosphere, and the relative abundance of FeN<sub>2</sub> sites is dependent of used Fe precursor and pyrolysis temperature, the obtained FeN<sub>2</sub>/C is more electrocatalytically active than FeN<sub>4</sub>/C.

To date, the atomically dispersed Fe center surrounded by four nitrogen atoms have been unambiguous identified using advanced spectrum technology such as Mossbauer spectroscopy, X-ray absorption spectroscopy (XAS) and high-resolution aberration correction electron microscope to achieve image with atom size below 0.1 nm [111, 153, 165]. Duang' group [166] reported the direct visualization of NiN<sub>4</sub>, FeN<sub>4</sub>, CoN<sub>4</sub> coordination moieties as depicted in Figure 12 (a-e), Deng et al. [167] revealed atomic structure of FeN<sub>4</sub> center in graphene by combining high-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) coupled with electron energy loss (EEL) spectra around with Fe atom and low temperature scanning tunneling

microscopy (Figure 12 (f-h)).

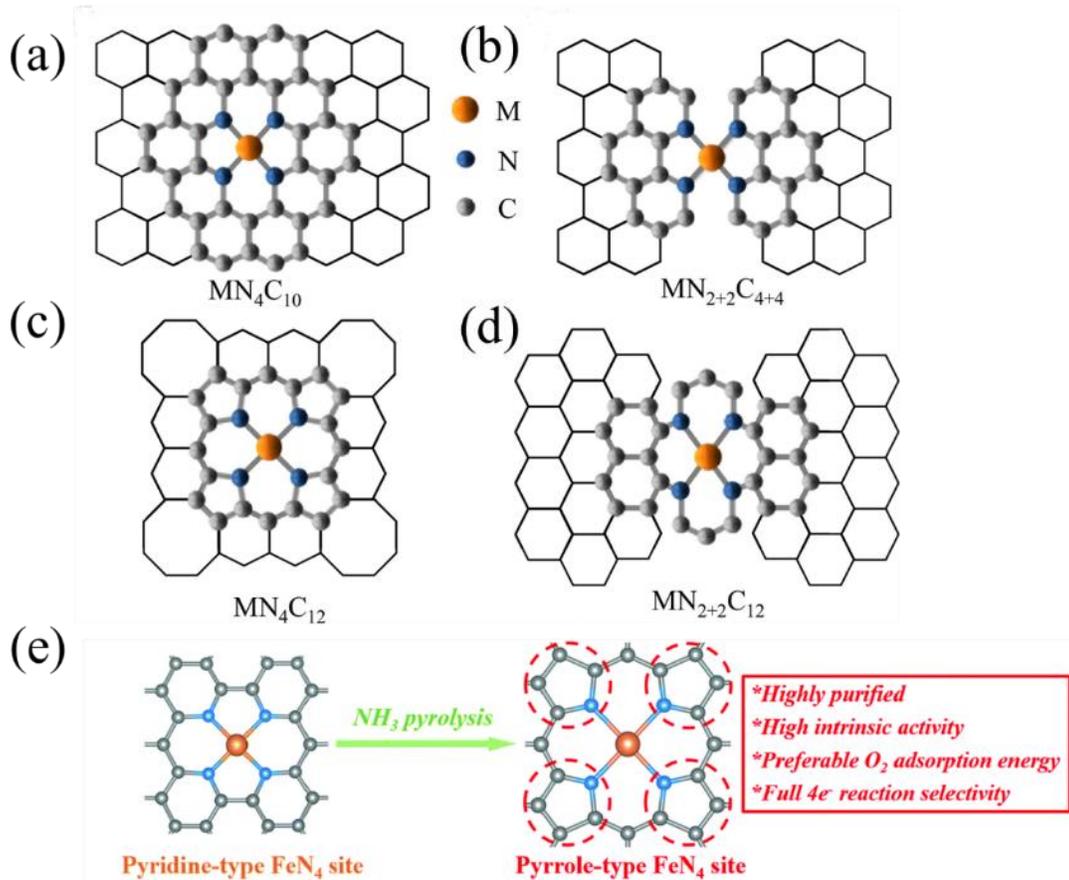


**Figure 12.** (a-e) Atomic structure characterizations of M-NHGFs by annular dark-field STEM. (f) High-angle annular dark-field scanning transmission electron microscopy (HAADF-STEM) image. (g) the electron energy loss spectroscopy (EELS) atomic spectra of elemental Fe and N from the bright dots. (h) Low temperature scanning tunneling microscopy (LS-STM) image of FeN<sub>4</sub>/GN. Reproduced from Ref. [166, 167]

These advanced characteristic techniques especially for recently emerging in-situ X-ray absorption and Mossbauer spectroscopy under reaction condition provide a powerful support for deeper insights on electronic and geometry of FeN<sub>4</sub> moiety and evolution of active sites at applied potential toward ORR which facilitate the understanding of activity origin on Fe-N-C catalyst. [168, 169]. These results, combined with DFT calculation, allow to suggest two commonly

accepted structures of active  $\text{FeN}_x\text{C}_y$  moieties: a metal ion coordinated by four pyridinic N, either occupying two-carbon-atom in-plane defect ( $\text{MN}_4\text{C}_{10}$ ) [166, 170-172] or localized at armchair edges bridging two graphene sheets [ $\text{MN}_{2+2}\text{C}_{4+4}$ ] [173, 174] as shown in Figure 13 (a-b). For example, Liu et al. [175] employed the first-principle density functional theory calculations to predict activation energy for O-O bond breaking and free-energy evolution as a function of electrode potential of ORR on three  $\text{FeN}_4$ -type active sites with different local carbon structures. It is found that the  $\text{FeN}_4$  site surrounded by eight carbon atoms ( $\text{FeN}_{2+2}\text{C}_8$ ) at the edge of micropores has the lowest activation energy for O-O bond breaking for promoting a direct four-electron ORR. Another  $\text{MN}_x\text{C}_y$  moiety typically is embedded in amorphous carbon with large number of five-membered carbon rings where M center is coordinated with pyrrolic N (Figure 13 (c-d)) [36, 176, 177], which could often be achieved by ZIF-based materials or as a derivation of FePc and Fe-porphyrins. It appears to be reasonable that porphyrin-type Fe moiety presents higher ORR activity with respect to pyridinic-typed Fe moiety due to the positive correlation between disorder of the carbon matrix and ORR reactivity.

Very recently, Zhang et al. [178] reported that the high-purity pyrrole-type  $\text{FeN}_4$  catalyst exhibited more superior ORR activity with an ultrahigh active area current density of  $6.89 \text{ mA m}^{-2}$  in acid medium than that of pyridine-type  $\text{FeN}_4$  site. By removing additional carbon atoms by ammonia treatment, pyrrole-type  $\text{FeN}_4$  sites could be successfully achieved via chemical configuration transformation from pyridine nitrogen to pyrrole nitrogen as schematic illustrated in Figure 13e.



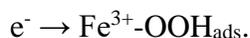
**Figure 13.** Proposed active site structures for Fe-centered moieties. (a) Pyridinic in-plane  $\text{FeN}_4\text{C}_{10}$  moiety, (b) pyridinic armchair edge  $\text{FeN}_{2+2}\text{C}_{4+4}$  moiety, (c) porphyrinic in-plane  $\text{FeN}_4\text{C}_{12}$  moiety, (d) porphyrin zig-zag edge  $\text{FeN}_{2+2}\text{C}_{12}$  moiety, (e) schematic illustration of preparation of high-purity pyrrolic-type  $\text{FeN}_4$  site. Reproduced from Ref. [178, 179]

On the basis of Mössbauer spectrum results, some researchers propose there are typically three types of  $\text{FeN}_4$  active sites coexisting in the Fe-N-C which may be responsible for high intrinsic ORR activity. They can be assigned to D1 (ferrous low-spin  $\text{Fe}^{2+}\text{-N}_4$ ), D2 (ferrous intermediate spin  $\text{Fe}^{2+}\text{-N}_4$  like FePc) and D3 (high spin  $\text{Fe}^{2+}\text{N}_{2+2}/\text{C}$  featuring a composite structure with a protonated basic nitrogen) respectively [168, 180]. It is widely accepted that D1 is predominant active site for high catalytic activity [167-169]. However, the exact  $\text{FeN}_4$  structure has been debated due to the limitation of Mossbauer spectroscopy. For example, similar Mossbauer

parameter for the D1 doublet could be observed for iron oxide nanoparticle or high-spin  $O_x-Fe^{3+}-N_4$  site in room-temperature (RT) [119, 181, 182]. Zitot et al. [183] proposed two porphyrin-type architectures of  $FeN_4C_{12}$  moieties with two different  $O_2$  adsorption configurations (the Fe atom is hexa- or penta-coordinated with 5th and/or 6th axial oxygen ligand), on the basis of ex situ X-ray absorption spectroscopy (XAS) analysis and DFT calculation, the obtained structure would preferentially generate via bridging two graphene sheets with zigzag edges. This viewpoint is further verified by other researchers [184], who suggested that doublet D1 could be assigned to  $Fe(III)N_4C_{12}$  moieties in high-spin state and the doublet D2 is attributed to  $Fe(II)N_4C_{10}$  moieties in low and medium spin. Recently, it has been reported that nature of D1 active site with high spin  $O_x-Fe^{3+}N_4$  feature is severely altered by the variation of applied potential or during durability measurement [171]. Xiao et al. [185] claimed that high spin  $Fe^{3+}-N_4$  configuration would convert to  $Fe^{2+}-N_4$  at low potential, and the in-situ reduced  $Fe^{2+}-N_4$  moiety can be responsible for high activity due to its high TOF value of ca.  $1.71 e^{-} s^{-1} site^{-1}$ . Li et al. [186] revealed that both sites initially contributed to the ORR activity but only D2 ( $Fe(II)N_4C_{10}$ ) significantly contributes activity after 50 h of operation in fuel cell. The transformation of D1 ( $Fe(III)N_4C_{12}$ ) into iron oxides ultimately results in loss of activity. Mukerjee's group has made great efforts on the switching behavior of nature of active sites in Fe-N-C electrocatalysts under reaction condition by using advanced in-situ techniques [111, 119, 187]. Three important conclusions can be elucidated from their findings:

(1) the  $Fe^{2+}$ -sites are poisoned by the  $*OH$  from water activation above the redox potential as following equation:  $Fe^{2+}-N_4 + H_2O \leftrightarrow OH_{ads}-Fe^{3+}-N_4 + H^+ + e^-$ .

(2) at  $Fe^{3+}/Fe^{2+}$  redox transition potential,  $Fe^{3+}-N_4$  can be reduced through redox mechanism described by Beck and Zagal [89, 188]:  $Fe^{3+}-OH_{ads} + H^+ + e^- \leftrightarrow Fe^{2+} + H_2O$  (1);  $Fe^{2+} + O_2 + H^+ +$



(3) D1 active site (high spin  $\text{Fe}^{3+}\text{-N}_4$ ) at low potential (below  $\text{Fe}^{2+}/\text{Fe}^{3+}$  redox potential) is composed of a distorted  $\text{Fe}^{2+}\text{-N}_4$  moiety embedded in amorphous carbon matrix characterized with out-of-plane Fe displacement. The central Fe ion moves back toward the plane upon the adsorption of oxygen specie in the axial position at high potential, the switching behavior is opposite as those in D2 active site (typical catalyst with FePc-like square order structure).

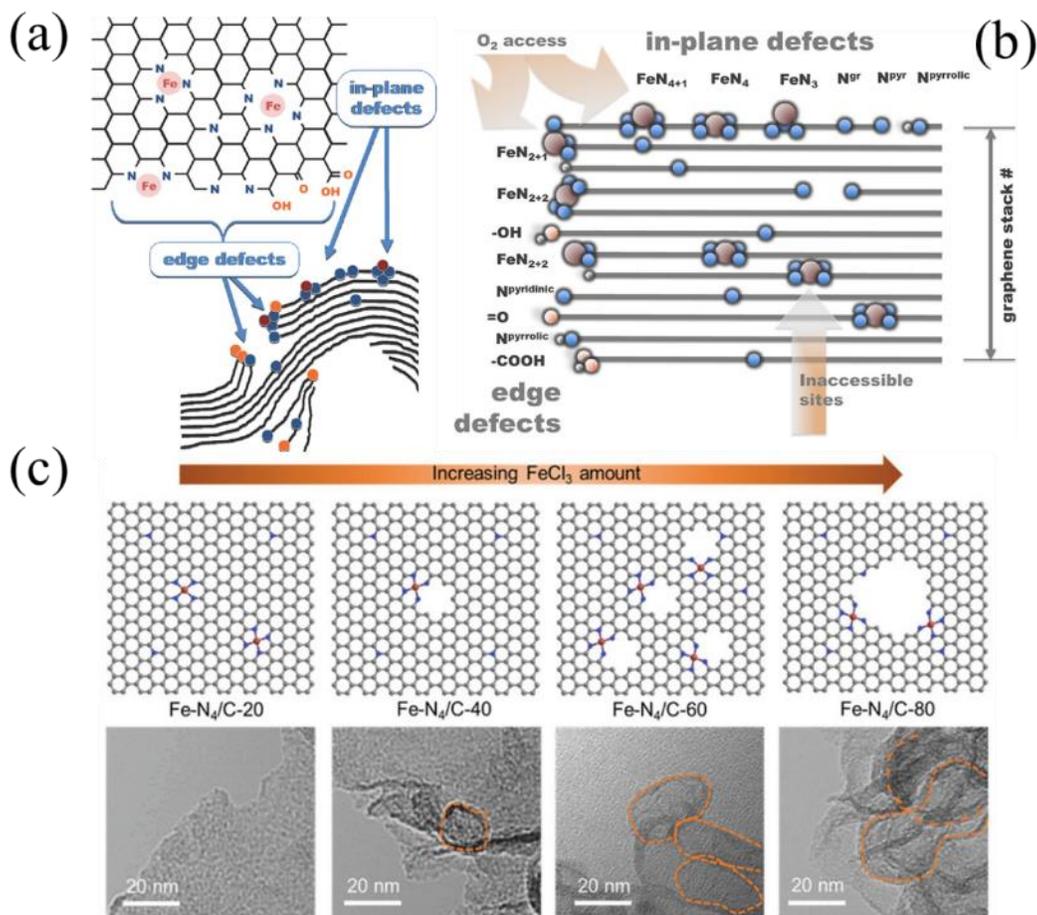
The easier escape of absorbed OH species on in-plane distorted  $\text{Fe}^{3+}\text{-N}_4$  site induced by reduction at low potential, longer Fe-N distance as well as enriched charge of Fe center on out-plane  $\text{Fe}^{2+}\text{-N}_4$  site results in higher  $\text{Fe}^{2+}/\text{Fe}^{3+}$  redox potential, stronger  $\text{O}_2$  absorption and adjusted oxygenated intermediate binding energy. This factor leads to higher ORR activity of D1 active site than that of D2 site, which is also well explained that the carbonization is critical for Fe-based catalysts compared to that of original Fe- $\text{N}_4$  macrocycle [189]. On the basis of these findings, site-blocking effect resulted from water activation is proposed as crucial consideration of apparent activity, and intrinsic activity could be significantly improved by increasing the  $\text{Fe}^{3+}/\text{Fe}^{2+}$  redox transition potential [190, 191].

As previously mentioned, catalytic kinetic occurring on a wide range of electrode materials is governed by d-electron density of Fe center because the alteration of d-electron density greatly affects the  $\sigma$ -type bonding between Fe center and molecular  $\text{O}_2$  orbital or oxygenated intermediate. The onset potential is accordance with  $\text{Fe}^{3+}/\text{Fe}^{2+}$  redox transition mechanism aforementioned [192-194]. In the early study, the ORR activity is considered to be positively correlated to electron density of Fe center. For instance, Kramm et al. [195] suggested a relationship between the electron density of the  $\text{FeN}_4$  center and the catalytic activity. They found that electron transfer occurred from coordinated N atom to Fe metal in the  $\text{FeN}_4$  site induced by the pyrolysis of the FeTMPPCl

under higher temperatures, while an increase in electron density of the iron center enables an improvement in the turnover frequency during ORR. Likewise, Rauf et al. [105] proposed the protonation of pyridinic N in acidic media decreased the electron density of Fe center, which result in loss of ORR activity due to the weak affinity of O<sub>2</sub> toward Fe center of lower charge density. Nevertheless, there are growing consensus that the lower charge density of Fe center is crucial for the achievement of high ORR catalytic activity [196]. It is well known that the d-electron density of Fe center can be readily regulated by the surrounding  $\pi$ -conjugated ligand with various donating- or withdrawing-electron properties. For example, Seo et al. [197] reported FePc modified with electron donating diphenylphenthioether substituent presented lower catalytic activity than pristine FePc. Lower activity was attributed to stronger bonding of oxygen intermediate due to the enhance d-electron density imparted by enriched electron diphenylphenthioether ligand. Ramaswamy et al. [95] relocated the Fe-N<sub>4</sub> active site from a  $\pi$ -electron rich macrocyclic ligand environment to a relatively  $\pi$ -electron deficient graphitic carbon environment by pyrolysis of iron(III) meso-tetraphenylporphine chloride (FeTPPCl). The electron-withdrawing character of electron deficient graphitic carbon resulted in decreased electron density at the Fe center and downshift of the e<sub>g</sub>-orbital away from Fermi-level, thus optimizing the adsorption strength of ORR intermediate and leading to higher turnover number. To further verify this proposal, they took advantage of stronger electron-withdrawing character of highly disordered graphitic carbon (ketjen EC600JD) acting as support. As expected, the TOF of as-prepared catalyst was significantly enhanced from  $\sim 10 \text{ s}^{-1} \text{ site}^{-1}$  to  $\sim 30 \text{ s}^{-1} \text{ site}^{-1}$  in alkaline media as a consequence of lower electron density of Fe center. On the other hand, the decreased charge density of Fe center positively shifts Fe<sup>3+</sup>/Fe<sup>2+</sup> redox transition potential, which finally lead to higher onset potential. Overall, these experimental and theoretical findings demonstrate that ORR catalytic activity of Fe-

N-C catalyst can be well modulated by changing the d-electron density of Fe center in the  $\text{FeN}_4$  coordination configuration.

The preferential location of  $\text{FeN}_x\text{C}_y$  active site would explicitly offer useful guidance for rational design of ORR catalysts with more exposed active sites. It has been recognized that the enhanced microporosity could extremely facilitate improvement of ORR activity due to the larger number of accessible active sites exposed in open electrochemical system [7, 198]. Actually, the location of single atom Fe active sites strongly depends on the nature of  $\text{FeN}_x\text{C}_y$ . Mineva et al. [199] proposed that D1 site (porphyrin-type  $\text{O-Fe}^{3+}\text{-N}_4\text{C}_{12}$ ) corresponds to surface-exposed sites while D2 (pyridinic type  $\text{Fe}^{2+}\text{-N}_4\text{C}_{10}$ ) is bulk site that is inaccessible to  $\text{O}_2$ . This result suggests D1 site is probably located in micropore while D2 site is specifically located in in-plane of graphitic carbon. Similar conclusion is also made by Primbs et al. [200] that high spin Fe sites D1 are present in micropore and medium spin D2 are preferentially in mesopores. Concomitantly, there will be extra issue that the enriched defect Fe-N-C site is located at in-plane with divacancy substitution [166, 172] or on the edge of micropore/graphene sheet as well as slit between two graphene sheets [183, 201, 202] as depicted in Figure 14(a-b).



**Figure 14.** (a) Schematic representation of the transition metal-containing nitrogen defects in graphene sheet ( $\text{Fe-N}_x$ ) and other possible defects that may have catalytic activity in ORR and its component reactions. (b) Illustration of potential active species and how position within the graphitic structure affects reactant access. Active species on the edges of graphitic planes are accessible without respect to the number of graphitic layers. Active species within the graphitic plane are accessible only on exterior planes. (c) The schematic illustration and transmission electron microscopy of (TEM) images of morphological evolution for  $\text{Fe-N}_4\text{-C}_x$  samples when varying the amount of reactant  $\text{FeCl}_3$ . Reproduced from Ref. [203-205].

It is clear that the electrochemical accessible active site number of  $\text{FeN}_4$  moiety will significantly increase with the decrease of average graphene sheet numbers if majority of  $\text{FeN}_4$  moiety are located at in-plane of graphene sheet. Workman et al. [204] found that sample with

fewer graphitic layers exhibited increased kinetic performance in fuel cell test and this trend was consistent with the dominant active specie residing within the graphitic plane. For those  $\text{FeN}_4$  moieties preferentially located at edge of micropore or graphene sheet, it is not closely linked with number of vertically stacked graphene sheets. On the contrary, increasing the edge site of graphene sheet or porosity with abundant edge in the lateral of graphene will play crucial role in the enhancement of catalytic activity. For instance, Jiang et al. [206] constructed hierarchical porous carbon structure by porosity engineering which transform local coordination of pyridinic-N like into edge -hosted  $\text{Fe-N}_4$  moieties by selective C-N bond cleavage nearby Fe center. These sites demonstrated higher activity and long-term durability arising from the lower overall ORR barrier compared to intact atomic configuration. Likewise, Wang et al. [205] fabricated structurally controllable  $\text{Fe-N}_4\text{-C}$  with adjustable quantity of hole equipped with different extent of edge site by simply varying the initial dosage of  $\text{FeCl}_3$  precursor through two-step pyrolysis process followed by an acid leaching with in situ pore formed (Figure 14c). As a result, the ORR performance strongly depends on the concentration of edge site  $\text{Fe-N}_4\text{-C}$  moiety and the higher amount of edge sites at a proper range is revealed to be more conducive of improvement of ORR catalytic activity. It is in accordance with density functional theory calculations that local electronic redistribution and bandgap shrinkage for edge-rich N-modified Fe endow it with a lower free-energy barrier toward direct four-electron ORR.

## **5. Material design on Fe-N-C**

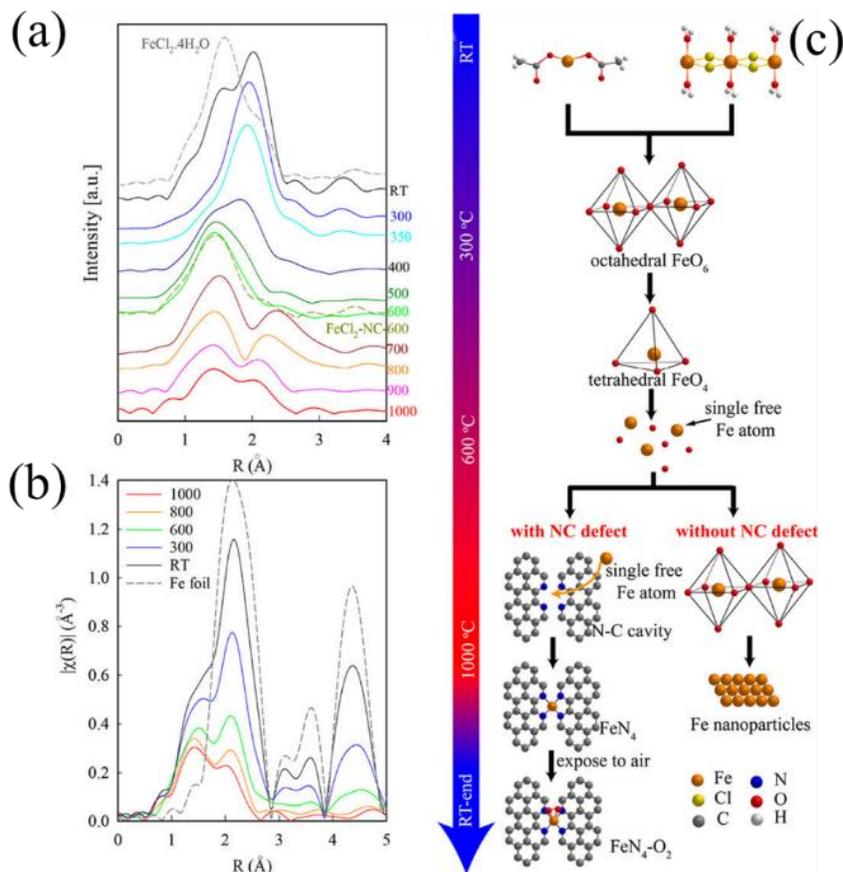
### **5.1 The formation mechanism of Fe-N-C**

Generally, there are two requirements needed for improvement of Fe-N-C electrocatalyst performance: (1) the high density of active sites; (2) high intrinsic activity (or high TOF) of single

active site [207]. Currently most of developed synthesis strategies primarily rely on trial and error approach to screen desired N, C and metal precursor or optimal pyrolysis temperature for maximization of catalytic activity [208]. Hence, the most institutive manner for achieving high activity catalyst is to increase the metal loading, while it is challenging to achieve high metal loading catalysts via traditional high temperature pyrolysis method due to unavoidable aggregation of ion species. The underlying mechanism for competitive formation of atomically  $\text{FeN}_x$  site and bulk Fe-based species is required to be unraveled prior to rational design of electrocatalyst.

Li et al. [209] studied that chemical transformation state from Fe precursor to exclusively ORR-active  $\text{Fe-N}_4$  site by the use of in-situ X-ray absorption spectroscopy as schematically illustrated in Figure 15. The iron precursor is firstly transformed into Fe oxide below 300 °C, then to tetrahedral  $\text{Fe(II)-O}_4$  between 300 °C and 600 °C by means of crystal-like melt transformation, finally Fe atom released by tetrahedral  $\text{Fe(II)-O}_4$  above 600 °C was trapped into N-modified carbon defect in the “non-contact pyrolysis” manner, leading to the formation of  $\text{Fe-N}_4$  sites by gas phase diffusion. The formation mechanism was further verified by the use of Fe precursor with no physical contact with N and C resources during pyrolysis and as expected,  $\text{Fe-N}_4$  site was successfully achieved. Moreover, the proposed “non-contact pyrolysis” mechanism has recently been extended to other preparation strategy induced by chemical vapour deposition method [210]. It appears that there are some similarities of these fabrication strategies with bulk metal emitting and trapping of atoms during high temperature pyrolysis developed by Li and Wu’s research groups [211-215], albeit there are no report yet on the direct synthesis of  $\text{FeN}_4$  site from bulk Fe-based specie. Overall, the synthesis methodology described above can be unified as “Top-down synthesis” which offers a deeper-level understanding for formation mechanism of atomically dispersed Fe site under high temperature pyrolysis and serves as guidance for preparation of

effective ORR catalysts.



**Figure 15.** FT-EXAFS spectra of FeCl<sub>2</sub>·4H<sub>2</sub>O mixed with SiO<sub>2</sub> collected with (A) temperature increasing from room temperature to 1000 °C together with and (B) cooling down to room temperature. (C) Schematic illustration of the common pathway up to 600 °C of the thermal evolution of iron compounds during pyrolysis, and then diverging pathways at T ≥ 600 °C depending on the absence or presence of N-C defects. Note the FeN<sub>4</sub> configuration in the edge of two carbon planes displayed is only a representative case for illustration, without excluding other possible structures. Reproduced from Ref. [209]

Recently, considerable efforts have been devoted to development of Fe-N-C catalyst without agglomeration of Fe atoms during pyrolysis. Preliminary studies mainly pay attention to ball milling approach to disperse the iron atoms in carbon precursors [167, 216, 217]. On the basis of various design principles, wherein the synthetic protocols can be typically classified into protective

atmosphere synthesis, sacrificial template method, coordination design method, confinement effect and the non-pyrolyzed method.

## 5.2 Protective atmosphere synthesis

The protective atmosphere assisted synthesis has emerged as an effective protocol for preparation of Fe-N-C, aside from the most commonly used Ar/N<sub>2</sub>. A variety of protective gases have been employed including O<sub>2</sub> (air) [218], CO<sub>2</sub> [219, 220], NH<sub>3</sub> [221, 222], H<sub>2</sub>/N<sub>2</sub> [113], H<sub>2</sub>/NH<sub>3</sub>/Ar [163] etc. NH<sub>3</sub> has been most extensively studied as a protective gas involving the material preparation by high temperature pyrolysis, ascribing to its underlying triple roles: (1) afford partial N resources based on second NH<sub>3</sub> treatment of as-formed catalyst [223] [6, 224] or only N resource throughout pyrolysis procedure required to form FeN<sub>x</sub> site by coordinating with Fe atom [225, 226]; (2) enlarge specific surface area by creating more micropores resulted from NH<sub>3</sub> etching effect. It is believed that the micropores improve ORR catalytic activity because the micropores can host most of active FeN<sub>x</sub> sites [227, 228]; (3) introduce more N-bearing functionalities which have been revealed to be active for ORR especially in alkaline media.

## 5.3 Sacrificial template method

The sacrificial template method has recently been enormously applied for synthesis of high active atomic level Fe site. Generally, there are dual functions being responsible for formation of atomically dispersed Fe site with high performance:

(1) The pore-forming agent, it is well-known that hierarchical porous structure of carbon support will significantly facilitate the mass transport of reactants or electrolyte ions, thus improving the ORR activity. The implications of micropores have been well unveiled as a host of FeN<sub>x</sub> active site aforementioned. In addition to that, the mesopores or macropores can serve as diffusion channel between active sites and the bulk solution, thus shorten the diffusion pathway

and accelerate the reaction. Due to importance of pore structure, the sacrificial template method offers a great potential for fabrication of Fe-N-C catalysts with hierarchical pore structure by elimination of template in post-treatment such as acid or basic solution leaching after pyrolysis.

(2) Interfacial constraint effect, the hard template can typically be employed as a “rigid” interface where immigrate and aggregate of iron atom in high pyrolysis temperature is restricted. The interface thermal stress induced by high temperature would stabilize Fe atom and eventually preferentially generate atomically dispersed FeN<sub>x</sub> catalytic sites incorporated in the carbon matrix. In traditional catalysis, such approach is usually used to prevent sintering of catalytic particles under high reaction temperature or harsh reaction condition [229, 230].

#### **5.4 Coordination design method**

Coordination site is of great importance for synthesis of Fe-N-C catalyst, which can serve as “paws” to absorb Fe atom or precursor preventing agglomeration during pyrolysis. Rational design of coordination site with N-containing function group as anchoring site to stabilize the Fe atom is expected to be an effective strategy for synthesis of Fe-N-C catalyst [13]. A large number of works have been reported based on coordination design strategy and all these as-prepared electrocatalysts show typically atomically dispersed FeN<sub>x</sub> site without appreciable Fe agglomeration [231, 232]. Metal-organic hybrid frameworks assembled from metal ions and N-containing organic complexes have recently emerged as most common class of precursors for synthesis of Fe-N-C catalysts. There are mainly following several advantages for formation of atomically dispersed Fe:

(1) Organic linkers enriched heteroatom N bridges and isolates Fe ion in the form of complex-metal node, ensuring atomic-level dispersion of Fe during the pyrolysis process. Besides this, it also can be in-situ converted into N-doped carbon framework induced by heat treatment, offering strong anchoring site to bind isolated Fe atom.

- (2) The metal-organic hybrid frameworks usually have abundant micropores volume with high specific surface area and tunable pore size distribution, which is highly conducive of accommodating more isolated Fe atoms and contributing to better electrocatalytic performance.
- (3) The diversity and flexibility of organic linkers endow resulting Fe-N-C with different physicochemical properties after pyrolysis, thus enabling the maximum of catalytic activity.

### **5.5 Spatial Confinement method**

Spatially confining single Fe atom into molecular-scale cage to prevent migration and agglomeration during pyrolysis has been developed as an effective strategy to prepare Fe-N-C catalyst. This spatial confinement method typically includes following two steps:

- (1) The guest Fe precursors in the form of either inorganic Fe salts or Fe macrocycles are absorbed and confined into the pore cavities of host materials with high spatial distribution and mononuclear dispersion, these host materials are typically porous support materials with size-defined cavities such as MOF [233], ZIF [6, 234, 235] or porous N-doped carbon materials [236];

- (2) Precursor ligands are removed and atomically dispersed Fe atoms are formed and stabilized by N atoms presented in carbon skeletons or derived from carbonization of organic scaffold induced by high temperature pyrolysis.

### **5.6 Pyrolysis-free method**

High temperature pyrolysis involves tedious carbonization process and unpredictable Fe atom agglomeration. Thus, pyrolysis-free synthetic strategy is highly desirable in terms of its controllable coordination environment and cost-effective but challenging due to the intrinsic poor electrical conductivity. Directly grafting or incorporating Fe macrocycle complexes into conductive carbon matrix via  $\pi$ - $\pi$  interaction and covalent functionalization are believed to be an effective approach to prepare atomically dispersed Fe catalyst [237-242]. In principle, this class of

catalyst is inspired by enzymatic process and extensively studied in the preliminary stage [243].

## **6. Scope and outline of this thesis**

In summary, extensive efforts have been devoted to the development of highly efficient Fe-N-C material towards ORR on both sides of mechanism and materials design. Although considerable progresses have been made in these fields, there are still some scientific and technological challenges remaining to be solved for the practical commercial application. The first issue is the identification of active site which is the fundamental prerequisites for the rational guidance in the synthesis of high active Fe-N-C. In fact, the knowledge of precise active site is still lacking especially for the multiple active sites existed in the Fe-N-C usually in the high Fe loading. The second point is the use of raw components. To date, almost all works take advantage of organic complex or polymer for the preparation of Fe-N-C catalyst. The exploitation of cost effective, non-toxic, earth-abundance feedstock with simple molecular structure is thought to be of great crucial for the consideration of practical application.

In this work, small molecular carbon and nitrogen precursor (particularly inorganic ammonia carbonate) are applied for the preparation of Fe-N-C catalysts. The explicit and well-defined molecular structure of precursors afford more rational understanding of the origin of final product's chemical-physical property. Electrocatalytic oxygen reduction reaction (ORR) is selected as target reaction and electrochemical activity and stability of as-prepared catalysts are evaluated by R(R)DE measurements. The roles of chemical composition at starting mixture and preparation conditions on nature of active site and ORR activity are systematically investigated. A comprehensive understanding of the structure-activity relationship on as-prepared catalyst will be given for the identification of ORR active sites.

Firstly, as described in the chapter 3, the two thermo-chemical steps in inert ( $A_r$ ) and reactive ( $NH_3$ ) atmosphere are employed for the preparation of high active atomically dispersed Fe catalyst, using glucose as main carbon source, citric acid as chelating reagent for hosting metal ions, ammonia carbonate as partial nitrogen source. Potassium thiocyanate (KSCN) is additionally introduced to tune chemical composition of final product. It is suggested that KSCN serves as a structural mediator for the preferentially generation of atomically dispersed Fe sites. Post  $NH_3$  thermo-chemical treatment for as-prepared pre-catalyst phase, plays an important role for the enhanced ORR activity, the enhanced electrochemical activity is associated with change of surface properties.

Secondly, the chapter 4 presents the modified strategy, which is applied for the preparation of atomically dispersed Cl-FeN<sub>4</sub> sites where citric acid is used as only carbon source, ammonia carbonate as only nitrogen source. The simultaneously entering of SiO<sub>2</sub> and ZnCl<sub>2</sub> is critical for the generation of higher density single Cl-FeN<sub>4</sub> sites and is found to be indispensable for high ORR activity. On the other hand, the systematic variables such as different amount of ammonia carbonate and various amount of Fe loadings are also studied. As a result, the formation mechanism of Fe-N-C single atom catalyst based on gas-solid interface reaction is proposed and the real active sites are identified.

Thirdly, in the chapter 5, an active and durable single atom Fe catalyst toward ORR over both alkaline and acid media is developed based on pyrolysis approach with glucose as carbon source, NH<sub>4</sub>Cl as nitrogen source, and FeCl<sub>3</sub> as metal precursor. It is found that pyrolysis temperature has a significant influence on ORR activity, and optimal pyrolysis temperature is 900 °C. By establishing structure-performance relationship, the pyrolysis temperature dependent ORR activity

is well clarified in terms of geometric and electronic properties, which is a result of trend-off between site density and intrinsic activity as well as electron conductivity.

Furthermore, the chapter 6 describes a facile and scalable one-step pyrolysis strategy, which is developed for the preparation of hybrid catalyst enriched abundant FeN<sub>x</sub> moieties coupling with highly graphitic carbon layer encapsulated Fe<sub>3</sub>C/Fe<sub>3</sub>O<sub>4</sub> nanoparticles, where carbon black is used as support backbone and glucose as secondary carbon precursor, urea serves as nitrogen source. The obtained catalyst shows a hierarchical structure consisting of carbon black as primary carbon phase and graphene-like carbon sheet as secondary carbon phase. The influence of Fe loading on ORR activity is investigated and the optimal Fe loading is found to be 4.5 wt. %. A series of control experiments reveal that FeN<sub>x</sub> moieties are real active sites toward ORR and Fe<sub>3</sub>O<sub>4</sub> nanoparticle itself shows no activity but endows positive promotion effect on activity of FeN<sub>x</sub> sites via electronic interaction.

The last chapter 7 will be devoted to the general conclusion, the further work and perspective are also discussed especially for the extended research in the other field with as-existed Fe-N-C system.

## **Chapter 2**

# **Materials preparation, characterization and catalytic application**

## 1. Catalyst preparation

### 1.1 Materials and catalysts (Chapter 3- the synthesis of Fe/NSMC-SHT and control samples)

#### 1.1.1 Materials

D-glucose [ $C_6H_{12}O_6$ , 100 %, MW: 180.16 g mol<sup>-1</sup>], citric acid [ $C_6H_8O_7$  anhydrous, > 99.5 %, MW: 192.12 g mol<sup>-1</sup>] and ammonium carbonate [(NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>, MW: 96.09 g mol<sup>-1</sup>], were provided by ACROS Organic™, MYPROTEIN™ and VWR Chemicals, respectively. Potassium thiocyanate (KSCN > 97 %; MW: 97.18 g mol<sup>-1</sup>), Iron (III) chloride hexahydrate (FeCl<sub>3</sub>·6H<sub>2</sub>O > 98%, MW: 270.30 g mol<sup>-1</sup>) and Nafion® (5 wt. % in isopropanol and water) were obtained from Merck and Fluka. Unless otherwise stated, all reagents and solvents were used as provided by commercial suppliers without any further purification/treatment.

#### 1.1.2 Catalyst preparation

In a typical procedure, 1 g of D-glucose, 1.5 g of citric acid, 1.5 g of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>, and 0.1566 g of KSCN are dissolved into 25 mL of ultrapure water (Veolia Ultra Analytique, 18.2 Mohm.cm, TOC < 2 ppb) at room temperature. Afterwards, 0.1452 g of FeCl<sub>3</sub>·6H<sub>2</sub>O is dissolved into another 3 mL of water, followed by adding dropwise into above clear solution under stirring condition. The obtained yellow solution is stirred for 30 min and then transferred into oven at 110 °C overnight. Then, the resulting gel mixture (denoted as Fe/NSMC-P) is subjected to first pyrolysis in Ar at 900 °C for 1 h with a ramp of 5 °C/min (denoted as Fe/NSMC). Next leaching in 0.5 M H<sub>2</sub>SO<sub>4</sub> at 80 °C is performed for 8 h. The leached sample is washed until neutral with water and ethanol for several times and dried at 75 °C overnight (denoted as Fe/NSMC-W). Finally, the as-obtained sample undergoes the second NH<sub>3</sub> treatment at 900 °C for 10 min, as denoted Fe/NSMC-SHT. For the sake of comparison, different control samples are also prepared under almost identical condition except without addition of Fe precursor (NSMC-SHT), without addition of

KSCN (before the first Ar thermal treatment: Fe/NMC-P, after the first Ar thermal treatment but without acid leaching: Fe/NMC, after acid leaching: Fe/NMC-W, after the second NH<sub>3</sub> treatment: Fe/NMC-SHT), without addition of Fe precursor and KSCN (corresponding to NMC-P, NMC, NMC-W, NMC-SHT).

## **1.2 Materials and catalysts (Chapter 4- the synthesis of <sup>2</sup>Fe/NHPC<sup>5AC</sup> and control samples)**

### **1.2.1 Materials**

Citric acid [C<sub>6</sub>H<sub>8</sub>O<sub>7</sub> anhydrous, > 99.5 %, MW: 192.12 g mol<sup>-1</sup>] and ammonium carbonate [(NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>, MW: 96.09 g mol<sup>-1</sup>], are provided by Fisher Chemicals and ACROS Organics, respectively. Zinc chloride (ZnCl<sub>2</sub>, anhydrous, > 98 %, MW: 136.286 g mol<sup>-1</sup>) and silica (SiO<sub>2</sub>, fumed, 7 nm, MW: 60 g mol<sup>-1</sup>) are obtained by Sigma-Aldrich. Iron (III) chloride hexahydrate (FeCl<sub>3</sub>·6H<sub>2</sub>O; > 98 %, MW: 270.296 g mol<sup>-1</sup>) and Nafion® (5 wt. % in isopropanol and water) are obtained from Alfa Aesar and Fluka, respectively. Unless otherwise stated, all reagents and solvents are used as provided by commercial suppliers without any further purification/treatment.

### **1.2.2 Catalyst preparation**

For a typical procedure, 2 g of citric acid (10.41 mmol), 5 g of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> (52 mmol), 1.2 g of silica (20 mmol) are first dissolved in 25 mL ultrapure water (Veolia Ultra Analytique, 18.2 Mohm.cm, TOC < 2 ppb) under stirring until uniform liquid sol is obtained. Then, 0.024 g FeCl<sub>3</sub>·6H<sub>2</sub>O (0.087 mmol), 0.2435 g ZnCl<sub>2</sub> (1.75 mmol) are dissolved into another 3 mL distilled water, followed by adding dropwise into above sol. After continuous stirring for 30 minutes, the mixture solution is transferred to oven for drying at 110 °C overnight. The dried solid is well crushed into fine powder and calcinated at 900 °C for 2 h under Ar atmosphere. Next, the as-obtained products are immersed into 120 mL of 2 M NaOH with stirring overnight at 80 °C to remove the silica template. After water and ethanol washing and drying, the sample is finally

obtained by the second calcination at 900 °C for 2 h under Ar atmosphere, which is denoted as  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  (thereafter superscripts “2” and “5AC” referred to as usage of Fe precursor and  $(\text{NH}_4)_2\text{CO}_3$ , respectively)

For the sake of comparison, a series of control samples are also prepared with identical synthetic route except for various usage of  $(\text{NH}_4)_2\text{CO}_3$  (0 g, 0.5 g, 8 g) which are denoted as  ${}^2\text{Fe}/\text{HPC}^{0\text{AC}}$ ,  ${}^2\text{Fe}/\text{NHPC}^{0.5\text{AC}}$ ,  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ , respectively. Besides, control samples with addition of 0 g, 0.006 g, 0.1 g  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$  are also prepared with the same condition based on optimized addition amount of 5 g  $(\text{NH}_4)_2\text{CO}_3$  which are denoted as  $\text{NHPC}^{5\text{AC}}$ ,  ${}^1\text{Fe}/\text{NHPC}^{5\text{AC}}$ ,  ${}^3\text{Fe}/\text{NHPC}^{5\text{AC}}$ , respectively. To investigate the effect  $\text{ZnCl}_2$  and  $\text{SiO}_2$  addition on catalytic performance. The  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ -w/o  $\text{ZnCl}_2$ , and  ${}^2\text{Fe}/\text{NC}^{5\text{AC}}$ -w/o  $\text{SiO}_2$  are also obtained without addition of  $\text{ZnCl}_2$ , without addition of  $\text{SiO}_2$ , respectively.

### **1.3 Materials and catalysts (Chapter 5- the synthesis of Fe/NMC-900 and control samples)**

#### **1.3.1 Materials**

D-glucose [ $\text{C}_6\text{H}_{12}\text{O}_6$ , 100 %, MW: 180.16 g mol<sup>-1</sup>] are provided by ACROS Organic<sup>TM</sup>. Silica ( $\text{SiO}_2$ , fumed, 7 nm, MW: 60 g mol<sup>-1</sup>) and ammonium chloride [ $\text{NH}_4\text{Cl}$ , MW: 53.5 g mol<sup>-1</sup>] are obtained by Sigma-Aldrich. Iron (III) chloride hexahydrate ( $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$ ; > 98 %, MW: 270.296 g mol<sup>-1</sup>) and Nafion® (5 wt. % in isopropanol and water) are obtained from Alfa Aesar and Fluka, respectively. Unless otherwise stated, all reagents and solvents are used as provided by commercial suppliers without any further purification/treatment.

#### **1.3.2 Preparation of catalyst**

For a typical procedure, 2 g of Glucose (11.1 mmol), 5 g of  $\text{NH}_4\text{Cl}$  (93.5 mmol), 1.2 g of silica (20 mmol) are first dissolved in 25 mL ultrapure water (Veolia Ultra Analytique, 18.2 Mohm.cm,

TOC < 2 ppb) under stirring until uniform liquid sol is obtained. Then, 0.05 g  $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$  (0.185 mmol) are dissolved into another 3 mL distilled water, followed by adding dropwise into above sol. After continuous stirring for 30 minutes, the mixture solution is transferred to oven for drying at 110 °C overnight. The dried solid is well crushed into fine powder and calcinated at different temperature (800, 900, 1000 °C, respectively) for 2 h under Ar atmosphere. Next, the as-obtained products are immersed into 120 mL of 2 M NaOH with stirring overnight at 80 °C to remove the silica template. After water and ethanol washing and drying, the samples are finally obtained by the second calcination at same temperature as the first thermal pyrolysis for another 1 h under Ar atmosphere, which are denoted as Fe/NMC-X (where “X” = 800, 900, 1000 °C, referred to pyrolysis temperature). The control sample NMC is also prepared under the identical condition at comparison without Fe precursor addition.

## **1.4 Materials and catalysts (Chapter 6 - the synthesis of $^{4,5}\text{Fe@NGC/CB}$ and control sampels)**

### ***1.4.1 Materials***

D-glucose [ $\text{C}_6\text{H}_{12}\text{O}_6$ , 100 %, MW: 180.16 g mol<sup>-1</sup>], Urea [ $\text{CH}_4\text{N}_2\text{O}$ , high purity, MW: 60.06 g mol<sup>-1</sup>], Carbon black (Vulcan XC-72) are provided by ACROS Organic<sup>TM</sup>, VWR International, and Cabot corporation, respectively. Iron (III) chloride hexahydrate ( $\text{FeCl}_3 \cdot 6\text{H}_2\text{O}$  > 98%, MW: 270.30 g mol<sup>-1</sup>) and Nafion<sup>®</sup> (5 wt. % in isopropanol and water) are obtained from Merck and Fluka, respectively. Unless otherwise stated, all reagents and solvents are provided by commercial suppliers without any further purification/treatment.

### ***1.4.2 Preparation of catalysts***

In a typical procedure, 0.2 g of carbon black, 0.2 g of glucose, 4 g of urea are well mixed together by grinding to obtain a uniform solid mixture. Afterwards, an incipient-wetness impregnation of iron chloride aqueous (0.05 g of  $\text{FeCl}_3 \cdot \text{H}_2\text{O}$  is dissolved into 2.3 mL ultrapure

water, Veolia Ultra Analytique, 18.2 Mohm.cm, TOC < 2 ppb) is employed on the mixture to form a black slurry followed by drying overnight at 80 °C. The obtained mixture is ground into powder, followed by annealing at 900 °C for 2 h under argon atmosphere. Eventually, the <sup>4.5</sup>Fe@NGC/CB hybrid is directly collected without any other post-treatment, where superscript is denoted as mass loading of Fe determined by inductively coupled plasma-atomic emission spectroscopy (ICP-AES). For the sake of comparison, the variable amounts of Fe precursor (0 g, 0.01 g and 0.25 g FeCl<sub>3</sub>·H<sub>2</sub>O) are also used for the fabrication of NGC/CB, <sup>1.15</sup>Fe@NGC/CB, <sup>16.2</sup>Fe@NGC/CB, respectively, with identical synthetic route as described above. Fe@NCB and Fe@C/CB are prepared without addition of glucose and urea at comparison to gain insight into the role played by secondary graphene-like carbon phase and N-doped effect, respectively.

## 2. Catalysts characterization

*Scanning Electron Microscopy (SEM)* analyses are carried out on a Zeiss 2600F with a resolution of 5 nm. Samples are deposited onto a double face graphite tape in order to avoid charging effects during the measurements.

The loading amount of Fe of as-prepared catalyst is determined by *inductively coupled plasma-atomic emission spectroscopy (ICP-AES, Plasma-Spec-II spectrometer)*.

The *Raman spectra* is recorded using a LabRAM ARAMIS Horiba Raman spectrometer equipped with a Peltier cooled CCD detector. A laser line (532 nm/100 mW (YAG) with Laser Quantum MPC600 PSU) is used to excite sample.

The *temperature-programmed desorption-mass spectra (TPD-MS)* is conducted on a Micromeritics ASAP-2100 setup equipped with a multichannel mass spectrometer: In a typical procedure, 50 mg of the samples is loaded in the reactor and then flushed with He (50 mL min<sup>-1</sup>)

at 50 °C for 1 h. Afterward, the temperature is raised from 50 to 1000 °C at a heating rate of 5 °C min<sup>-1</sup>. The evolved species are monitored with intensities of m/e.

*Thermogravimetric analyses (TGA)* are performed under A<sub>r</sub> or air (25 mL min<sup>-1</sup>) atmosphere on an EXSTAR thermogravimetric analyzer (TG/DTA) Seiko 6200, the temperature is raised from room temperature to 900 °C with a heating rate of 10 °C min<sup>-1</sup>.

*Fourier transform infrared (FTIR)* measurements were carried out using a Nicolet iS10 FTIR spectrometer (Thermo Scientific) equipped with a Smart Diamond attenuated total reflection (ATR) accessory in the spectral range of 400 - 4000 cm<sup>-1</sup>.

*Transmission electron microscopy (TEM)* is carried out on a JEOL 2100F working at 200 kV accelerated voltage, equipped with a probe corrector for spherical aberrations and a point-to-point resolution of 0.2 nm. The sample is dispersed by ultrasounds in an ethanol solution for 5 min and a drop of the solution is deposited on a copper grid covered with a holey carbon membrane for observation.

*X-ray photoelectron spectroscopy (XPS)* measurements are carried out in an ultrahigh vacuum (UHV) spectrometer equipped with a VSW Class WA hemispherical electron analyzer. A monochromatic Al K $\alpha$  X-ray source (1486.6 eV) is used as incident radiation. Survey and high-resolution spectra are recorded in constant pass energy mode (90 and 50 eV, respectively).

*X-ray diffractograms (XRD)* is recorded using Cu K $\alpha$  radiation (40 mA, 45 kV) in the 10–80° 2 $\theta$  range, using step size and step time of 0.05° and 80 s, respectively.

The pore structural property of the different samples is determined by *N<sub>2</sub> adsorption/desorption isotherms* at 77 K with a Micromeritics Tristar II instrument (Micromeritics GmbH, Munich, Germany). Before measurement, the sample is outgassed at 200 °C under vacuum overnight.

*X-ray adsorption spectroscopy (XAS)* measurements is carried out in fluorescence mode at the BL14W1 station of the Shanghai Synchrotron Radiation Facility (SSRF, 3.5 GeV, 250 mA in maximum, Si (311) double crystals). IFEFFIT software packages are used to process the data. The extended X-ray absorption fine structure (EXAFS) contributions is separated from different coordination shells using a Hanning window ( $dk = 1.0 \text{ \AA}^{-1}$ ).

### **3. Preparation of electrode and oxygen reduction reaction (ORR)**

In order to prepare working electrode, various catalyst loadings are investigated, and the optimal catalyst loading for ink preparation in four works are described as following:

(1) for the first work (Chapter 3), 6 mg catalyst is first dispersed in mixture solution containing 100  $\mu\text{L}$  ethanol, 300  $\mu\text{L}$  ultrapure water and 15  $\mu\text{L}$  Nafion solution (5 wt. % in isopropanol and water), followed by sonicating for 30 min to form a homogeneous ink. Then, 10  $\mu\text{L}$  ink is drop-casted on the surface of glassy carbon rotating (ring) disk electrode (RDE/RRDE) Metrohm, 5 mm in diameter), followed by naturally drying in room temperature. The dried electrode is used as working electrode with a catalyst loading of  $0.75 \text{ mg cm}^{-2}$ ;

(2) for the second work (Chapter 4), the 5 mg catalyst is first dispersed in mixture solution containing 750  $\mu\text{L}$  ultrapure water, 250  $\mu\text{L}$  isopropanol and 80  $\mu\text{L}$  Nafion solution (5 wt. % in isopropanol and water), followed by sonicating for 30 min to form a homogeneous ink. Then, 16  $\mu\text{L}$  ink is drop-casted on the surface of glassy carbon rotating (ring) disk electrode (RDE/RRDE Metrohm, 5 mm in diameter), followed by naturally drying in room temperature. The dried electrode is used as working electrode with a catalyst loading of  $377 \text{ \mu g cm}^{-2}$ ;

(3) for the third and fourth works (Chapter 5 and 6), 5 mg of catalyst powder are dispersed in a 1 mL solution including 250  $\mu\text{L}$  of ethanol and 750  $\mu\text{L}$  of ultrapure water. 50  $\mu\text{L}$  of a Nafion<sup>®</sup> solution (5 wt. % isopropanol and water) are added to above solution. The obtained mixture solution is then ultrasonically treated for 30 min until uniform ink formed. 16  $\mu\text{L}$  ink is drop-casted on the surface of glassy carbon rotating (ring) disk electrode (RDE/RRDE, Metrohm, 5 mm in diameter), followed by naturally drying in room temperature. The dried electrode is used as working electrode with a catalyst loading of 388  $\mu\text{g cm}^{-2}$ ;

Ag/AgCl electrode and graphite rod as reference and counter electrode respectively, all of the potentials are calibrated to reversible hydrogen electrode (RHE) according to following equation:  $E_{\text{RHE}} = E_{\text{Ag/AgCl}} + 0.197 + 0.0592 \text{ pH}$ . All measurements are performed in three-electrode cell with compartments of working and counter electrode separated by porous glass membrane to exclude any transfer of possible products of processes on CE to WE compartment. Electrochemical measurements are performed with Bio-Logic SP-300 potentiostat by using EC-Lab 11.32 software. Linear sweep voltammetry (LSV) is conducted in O<sub>2</sub>-saturated 0.1 M KOH electrolyte with a scan rate of 10 mV s<sup>-1</sup> at a rotating rate of 1600 rpm. For comparison, the standard Pt loading amount of 20  $\mu\text{g cm}^{-2}$  is used for the Pt/C (Vulcan carbon XC-72, 20 wt. %, E-TEK, Inc. USA) sample. The accelerated durability tests (ADT) are measured by cycling the catalysts between 0.6 and 1.0 V at scan rate of 50 mV s<sup>-1</sup> under O<sub>2</sub> atmosphere. The chronoamperometry response is collected by polarizing the catalyst with a rotating speed of 1600 rpm at 0.65 V (vs. RHE). The methanol poisoning experiment is performed in O<sub>2</sub>-saturated 0.1 M KOH with addition of 1 % (v : v) MeOH. The number of electrons involved in oxygen reduction reaction (ORR) is determined by the Koutecky-Levich equation as follow:

$$\frac{1}{J} = \frac{1}{J_L} + \frac{1}{J_K} = \frac{1}{B\omega^{1/2}} + \frac{1}{J_K} \quad (1)$$

$$B = 0.62nFC_0D_0^{2/3}\nu^{-1/6} \quad (2)$$

where  $J$  is the measured current density per electrode geometric surface area,  $J_K$  and  $J_L$  are the kinetic and diffusion-limiting current densities respectively,  $\omega$  is the angular velocity ( $\text{rad s}^{-1}$ ),  $n$  is a number of electrons in ORR reaction,  $F$  is the Faraday constant ( $96485 \text{ C mol}^{-1}$ ),  $C_0$  is the bulk concentration of  $\text{O}_2$  ( $1.2 \times 10^{-6} \text{ mol cm}^{-3}$ ),  $D_0$  is the diffusion coefficient of  $\text{O}_2$  in 0.1 M KOH ( $1.9 \times 10^{-5} \text{ cm}^2 \text{ s}^{-1}$ ), and  $\nu$  is the kinetic viscosity of the electrolyte ( $0.01 \text{ cm}^2 \text{ s}^{-1}$ ).

For rotation ring-disk electrode (RRDE) tests, the working electrode (PINE AFE6R2GCPT, glassy carbon disk with a diameter of 5 mm, Pt ring with inner diameter of 6.5 mm and outer diameter of 7.5 mm) is prepared with the same catalyst loading as RDE test, the ring potential is kept at 1.2 V vs. RHE. The number of electron transfer and  $\text{HO}_2^-$  yield are evaluated by following equations:

$$X_{\text{HO}_2^-} = \frac{200 I_r/N}{I_d + I_r/N} \quad (3)$$

$$n_{e^-} = \frac{4 I_d}{I_d + I_r/N} \quad (4)$$

wherein  $I_r$  and  $I_d$  are the ring and disk current, respectively, and  $N$  (0.25) is the ring collection efficiency.

The electrochemical double layer capacitances ( $C_{dl}$ ) of catalysts are calculated by the use of simple CV method. A potential range of 1.02 - 1.12 V vs. RHE is selected for the capacitance measurements. Then, the average capacitive currents derived from difference of anode and cathode

current at potential of 1.07 V vs. RHE are plotted as a function of the CV scan rate of 5, 10, 15, 20, 25, 30 mV s<sup>-1</sup>. These data are then fit to a line, the slope of which is used as  $C_{dl}$  value.

## **Chapter 3**

# **An Open Gate for High-Density Metal Ions in N-doped Carbon Networks: Powering Fe-N-C Catalyst Efficiency in Oxygen Reduction Reaction**

## Abstract

Non-noble metal catalysts displaying catalytic activity for Oxygen reduction Reaction (ORR) comparable or even superior to that of the commercial Pt/C catalyst are of high interest for the development of a mature fuel cells technology. Fe-N-C moieties exhibit excellent performance in the ORR although a synthetic strategy for their production still remains a challenging matter. Herein, an original and general protocol for the preparation of high-density and discrete Fe-N-C-based atomically dispersed catalysts on carbon-based matrix has been proposed. The catalysts were produced starting from cheap and food-grade raw components which significantly contributes to the reduction of energy incentive treatment after the synthesis step. The rational combination of chelating citrate ions with the ancillary monodentate  $\text{SCN}^-$  ligand has established as an “open gate” for water soluble iron ions to be accommodated in the form of Fe-N-C moieties within final C-N networks.  $\text{NH}_3$  post thermo-chemical treatment further introduces the highly basic N-terminated groups, as well as enhancing the exposure of active sites by creating more abundant porosity. Although recent findings in the field of electrocatalysis have pointed out the often-beneficial synergistic action between isolated and metallic iron species or iron carbides and their protecting C-N shells, the poor selectivity on the nature of the final Fe-species in N-doped C-networks remains matter of debate and does not contribute to shed light on the effective nature of the active species in the process. The highly metal-loaded catalysts in the form of atomically dispersed Fe-N-C moieties prepared with the synthetic protocol described in this chapter have been tested as electrocatalysts in the oxygen reduction reaction (ORR), showing excellent electrocatalytic performance under alkaline environment. Detailed study suggested that the high catalytic activity observed can be ascribed to the optimal porous structure, surface chemical composition as well as

specific active site of FeN<sub>x</sub> moieties, generated between iron oxyhydroxide and N-doped graphitic C network.

## 1. Introduction

In order to keep with the relentless pace in the development of H<sub>2</sub>-O<sub>2</sub> fuel cells (PEMFC, AFC), the kinetically sluggish oxygen reduction process (ORR) is among the most investigated reactions with both metal-[244] and metal-free [245, 246] catalysts.[247] On this regard, the last years have witnessed impressive progresses in the development of innovative synthetic methodologies for the straightforward preparation of efficient (electro)catalysts from cheap and non-critical components with a special attention to the replacement of scarce and costly platinum-group metals (PGMs) [248, 249]. In addition, Numerous researches have been devoted in controlling the shape and reducing the size of the active phases from a nanometer scale down to few-atoms cluster-sized and finally, to single atomic site catalysts (SACs). Such an effort has been dictated by the need of reducing the active-phase amount, maximizing the atom utilization and optimizing the metals microenvironment by improving their stabilization with appropriate donor atom sets. In other words, all this work has been focused on the improvement of catalysts performance in key (electro)catalytic processes [250-254]. Although reducing nanocatalysts sizes down to atomically dispersed metal systems still represents a challenging matter and especially for medium-to-high metal loading [255], it is commonly recognized that the control of both electronic and geometric structural features of metal sites is the key tool to get catalysts exhibiting unique and improved electro(catalytic) performance [256-258].

In our previous work we have proposed a green and versatile synthetic method for the preparation of N-enriched mesoporous carbon-based materials starting from cheap and food-grade raw building blocks [259-261]. Such an approach was successfully used to translate powdered catalyst into hierarchically 3D foam catalyst structures [259, 260] and organic-inorganic composites [262-264] to be employed as effective, stable and single-phase metal-free systems for catalyzing a relatively wide number of industrially relevant transformations. At odds with more conventional methods to produce N-rich carbon networks, *i.e.* the chemical-vapor-deposition (CVD) technique, C and N sources employed for the synthesis of these novel materials were selected from commonly available solid feedstock to be simply dissolved in water. D-glucose ( $C_6H_{12}O_6$ ) was selected as C-source, a leavening agent [*i.e.* ammonium carbonate ( $(NH_4)_2CO_3$ )] as N-source and citric acid ( $C_6H_8O_7$ ) as a sacrificial carrier for harvesting and conveying  $NH_3$  released from the carbonate decomposition under the form of ammonium citrate [ $HO-C_3H_4(COO)_3H_x(NH_4)_{3-x}$ ] ( $x = 0 - 3$ ). Successive and controlled material thermal treatments have led to mesoporous carbon networks featuring with relatively high specific surface areas (*i.e.*  $150\text{ m}^2\text{ g}^{-1}$ ) and N-contents up to 5 wt.%.

The presence of a potentially tridentate chelating agent (*i.e.* citrate ions) prompted us to reconsider our original metal-free scheme as a protocol for the preparation of highly dispersed and atomically sized metal-based catalysts. Indeed, citrates are known to act as chelating agents towards a variety of transition metals, forming relatively stable and water-soluble complexes. Accordingly, their generation can be regarded as an “open gate” for water soluble metal ions to be accommodated and stabilized within final C-N networks.

Although in the past few years’ huge efforts have been devoted to power single-atom catalysts technology within light-heterodoped nanocarbon networks, their large-scale production with a

high metal-active site density, avoiding the generation of inhomogeneous metal agglomerates or the co-generation of less active metal species, remains a challenging task to be properly addressed.

Seminal works from two independent teams have recently shed light on the role of thiocyanate ( $\text{SCN}^-$ ) as a sacrificial ancillary ligand for Fe-metal ions in the synthesis of atomically dispersed Fe-N-C composites with superior electrocatalytic activity [265, 266]. In particular, Wu et al. have demonstrated the role of sulfur ligands addition (in the form of  $\text{SCN}^-$ ) to a mixture of 2,2-bipyridine and  $\text{FeCl}_3$  on the ultimate composition of an acid-washed CNT-coating layer obtained from the materials pyrolysis at 900 °C [265]. They found that S-salt addition to the mixture during pyrolysis resulted in the formation of Fe-sulfides mainly instead of the more acid-resistant Fe carbides. Given the higher solubility of the former in acidic media, the large excess of Fe not present in the form of  $\text{Fe-N}_x$  coordinated ions was easily etched and removed during the washing treatment, leaving behind atomically dispersed  $\text{Fe-N}_x$  species [267]. Wei, and co-workers proposed another intriguing example for the control of SACs in Co-N/C systems through the pyrolysis of an urea and glucose mixture in the presence of  $\text{SCN}^-$  as the counterion for a  $\text{Co}^{2+}$  salt precursor [268]. They found that  $\text{SCN}^-$  addition reduced the temperature at which Co-rich particles grew respect to the temperature at which their C-shell encapsulation occurred. Accordingly, their removal along with other various impurities was easily accomplished by a simple acid washing while preserving the SA-Co-N/C active phases only.

On the other hand, it is well known that the Fe-N-C catalysts derived from pyrolysis in  $\text{NH}_3$  possess relatively high ORR activity but limited durability [174, 269]. Zitolo et al. [183] claimed the formation of the same Fe-center moieties in both Ar pyrolysis and  $\text{NH}_3$  post-treatment by the use of XANES and Mössbauer spectra, and attributed the much higher activity, after  $\text{NH}_3$  post-treatment, to the change of the chemical-physical properties of support. Subsequently Kramm et

al. [113] fabricated Fe-N doped carbon with exclusive presence of FeN<sub>4</sub>-type sites taking advantage of N<sub>2</sub>/H<sub>2</sub> treatment of Fe/N/C followed by acid leaching. They came to the conclusion that the second N<sub>2</sub>/H<sub>2</sub> treatment allowed one to increase the surface area of the catalyst whereas the inorganic Fe species migrate to the surface that is easier to be washed out by acid treatment. Hence, an enhanced surface area and better utilization of active sites is at the origin of higher ORR activity after purification. Although recent advances have significantly improved Fe-N-C catalytic performance by NH<sub>3</sub> treatment, but the role of such post-synthesis NH<sub>3</sub> thermal treatment on the modification of the active sites still remains a problem to be resolved.

Herein, we report a novel and effective approach towards the preparation of highly dispersed Fe-N-C frameworks, starting from the chelating ability of the tridentate citrate ion with a Fe<sup>3+</sup> salt as metal precursor, followed by two thermal pyrolysis steps in different atmosphere (Ar and NH<sub>3</sub>). The simultaneous entering of the monodentate thiocyanate (SCN<sup>-</sup>) ion in the metal coordination sphere was found to be highly beneficial for the ultimate preparation of a Fe-N-C composite. Indeed, the excess of iron which was not stabilized in the form of Fe-N-C nuclei throughout the high-temperature pyrolysis, was preferably converted into iron sulfide species on the outer surface of carbon matrix which can be easily etched and removed compared to iron carbides upon the sample acid washing. Although any mechanistic consideration on the Fe-coordinated SCN<sup>-</sup> ion(s) during the material pyrolysis remains merely speculative, our outcomes leave no doubts that catalysts prepared without the addition of SCN<sup>-</sup> ions lead to a more inhomogeneous Fe-containing samples with the evident formation of iron-carbide nanoparticles to a markedly higher extent. The NH<sub>3</sub> post thermo-chemical treatment also plays a crucial role of improvement of ORR activity and its activation effect is also well unveiled. The as-prepared Fe-N-C catalysts, with and without SCN<sup>-</sup> and NH<sub>3</sub> treatment, were thoroughly characterized and evaluated for the ORR process under

alkaline environment. The optimal catalyst Fe/NSMC-SHT exhibiting highly graphitic porous C networks structure enriched with high density FeN<sub>x</sub> moieties in the interface between N-doped carbon basal plane and Fe oxyhydroxide, shows outstanding electrocatalytic performance.

## 2. Results and discusses

D-glucose, citric acid, ammonium carbonate are cost-effective and non-toxic naturally carbon/nitrogen-containing chemicals, which have been intensively employed in food-processing industries. Integration these cheap and abundance components into the synthesis procedure of specific catalysts affords a great progress toward renewable energy technology. The use of these basic materials in the synthesis of the Fe-N-C catalyst, atomically dispersed on the surface of the catalyst, allows one to demonstrate the high efficiency of nitrogen doping with (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> as an inorganic precursor. Typically, a mixture of D-glucose, citric acid, KSCN and (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> are dissolved in deionized water to get a homogeneous solution followed by a dropwise addition of an aqueous solution containing Fe precursor. A subsequently drying process at 110 °C under air induces the formation of gelation accompanied by a series of complex transformations (condensations, acid-base reactions, decompositions). Particularly, this process results in the complete decompositions of ammonium carbonate [(NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> → 2 NH<sub>3</sub> + CO<sub>2</sub> + H<sub>2</sub>O] and subsequent trapping of ammonia (NH<sub>3</sub>) in the form of basic ammonium citrate [15]. Attenuated total reflectance-Fourier transform infrared spectroscopy (ATR-FTIR) is conducted to figure out the coordination state of Fe cation existed in the precursor phase. As can be seen in Figure 1A, the peaks at 1710 cm<sup>-1</sup>, 1657 cm<sup>-1</sup>, 1562 cm<sup>-1</sup>, 1390 cm<sup>-1</sup>, 1185 cm<sup>-1</sup> and 1072 cm<sup>-1</sup> can be ascribed to COOH, C=O, N-H, O-H, C-N, C-O characteristics vibration mode of different function groups

present in the precursor material, respectively [270, 271]. Notably, the presence of both  $\text{SCN}^-$  adsorption peak, located at  $2062\text{ cm}^{-1}$  [272] for KSCN solution and Fe/NSMC precursor while its absence in  $\text{Fe}(\text{SCN})_3$  solution imply that  $\text{Fe}^{3+}$  ions are preferentially coordinated with citric acid or glucose rather than free  $\text{SCN}^-$  anions, albeit strong binding force between  $\text{Fe}^{3+}$  and  $\text{SCN}^-$ . Furthermore, the solution color (Figure 1B) is subjected to an immediate change from blood-red to yellow upon  $\text{Fe}(\text{SCN})_3$  solution is added into mixture solution of D-glucose, citric acid and  $(\text{NH}_4)_2\text{CO}_3$ , indicating the stronger binding strength of  $\text{Fe}^{3+}$  cations toward citric acid/glucose with respect to  $\text{SCN}^-$  anions. Most likely, the  $\text{Fe}^{3+}$  cation preferences to chelate with citric acid due to its improved complexation capacity in comparison to D-glucose and  $\text{SCN}^-$  under the action of dative bonds derived from vacant electron orbits of  $\text{Fe}^{3+}$  ions and the lone pair electrons of O existed in the form of  $-\text{COO}^-$  groups [273].

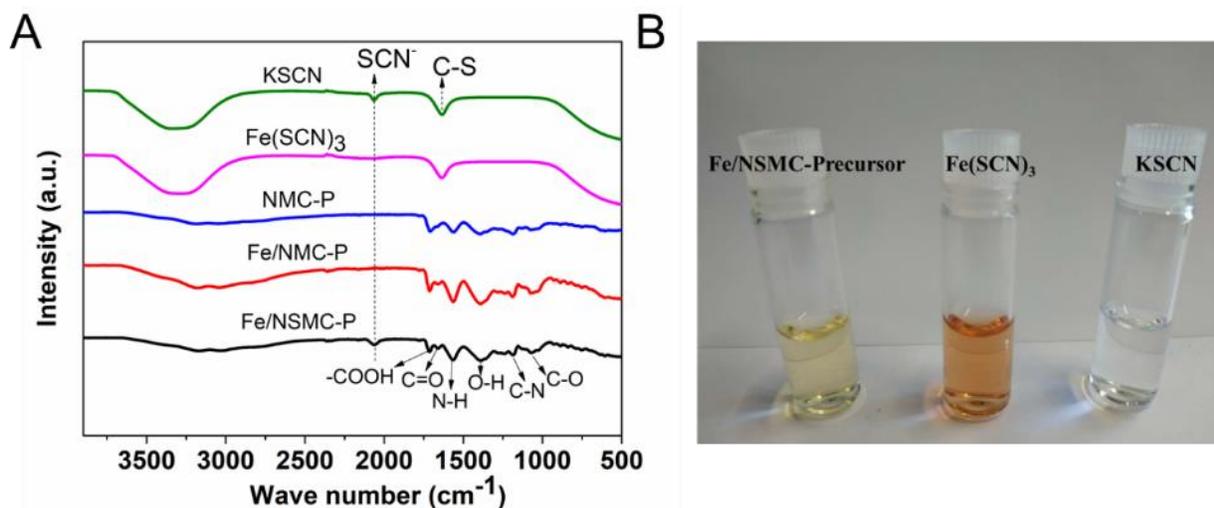


Figure 1 (A) ATR-FTIR spectra of Fe/NSMC, Fe/NMC and NMC precursor gel after  $110\text{ }^\circ\text{C}$  drying as well as KSCN and  $\text{Fe}(\text{SCN})_3$  aqueous solution; (B) Digital photos of Fe/NSMC precursor,  $\text{Fe}(\text{SCN})_3$  and KSCN aqueous solution.

The phase structure and composition are detected by X-ray diffraction (XRD) for as-prepared catalysts. As shown in Figure 2, without addition of KSCN, Fe and  $\text{FeC}_x$  crystalline phases are

formed which are hardly removed by acid leaching, demonstrating that the Fe-related species have been coated by highly anti-acid protective carbon layer. Instead,  $\text{FeS}_x$  and Fe big particle are generated with addition of KSCN, which can be washed out more easily as a consequence of their improved dissolution in acidic medium. Thus, it can be deduced that the position for these species is probably located at out of carbon surface and not encapsulated by carbon layer. Furthermore, the stronger peaks intensity and positive shift of position (from  $24^\circ$  shift up to  $26^\circ$  assigned to (002) peaks of amorphous carbon and graphitized carbon, respectively) for KSCN-free catalysts verify again that Fe-related species are coated by carbon layer. It is well known that the Fe species surrounded by carbon layer have a significantly enhancement toward graphitization [274]. No apparent peaks regarding Fe particles or other impurities are detected after second heating treatment in  $\text{NH}_3$  atmosphere for Fe/NSMC-W, indicating the Fe atoms probably are confined into carbon matrix in highly dispersed form.

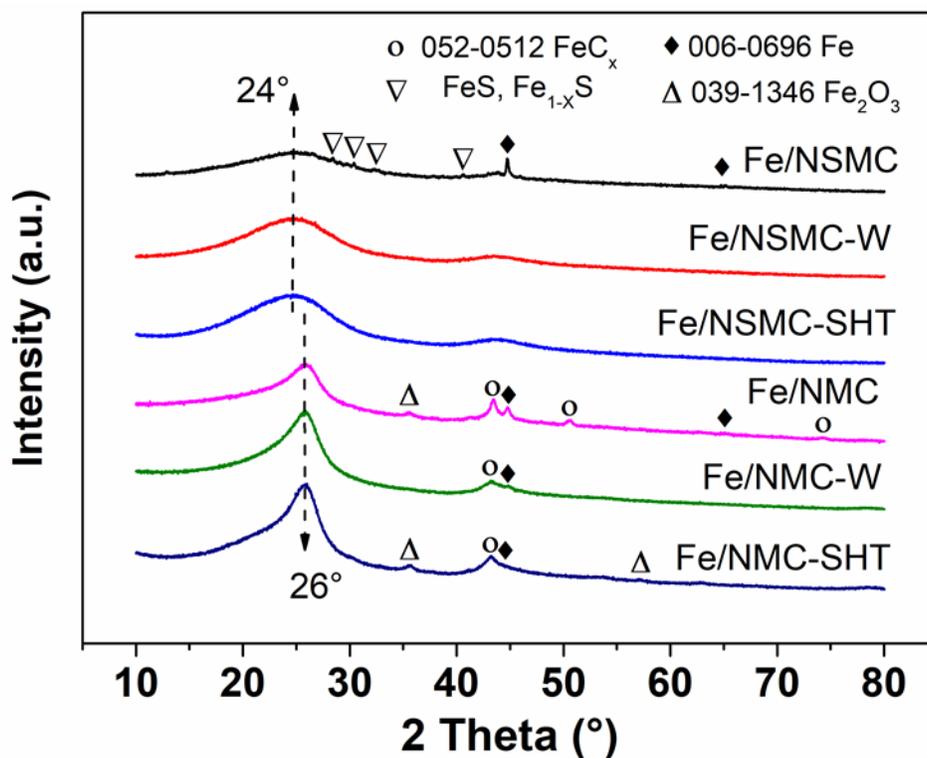


Figure 2. XRD patterns of the different as-prepared iron-based catalysts after calcination.

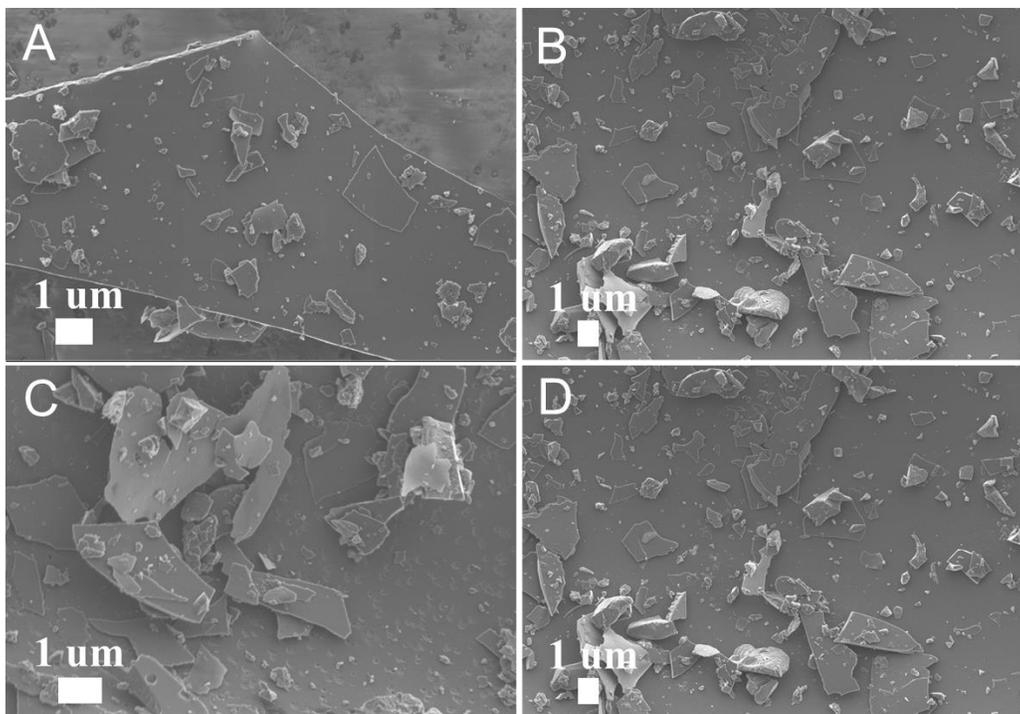


Figure 3. FESEM images at low magnification of (A) Fe/NSMC-SHT, (B) NSMC-SHT, (C) Fe/NMC-SHT, (D) Fe/NSMC-W.

Scanning electron microscope (SEM) is employed to investigate the morphology of the as-prepared catalysts. The similar morphologies of Fe/NSMC-SHT, NSMC-SHT, Fe/NMC-SHT and Fe/NSMC-W at low magnification (Figure 3) indicate that the addition of Fe and S- source as well as the second  $\text{NH}_3$  treatment have a negligible effect on the overall morphology. As can be seen in Figure 4A, the nanosheet-like architectures, with thickness varied between 70 and 310 nm, are observed for Fe/NSMC-SHT. Interestingly, there are significant differences on the surface of control catalysts. As shown in Figure 4E, some holes with an average diameter of 100 nm can be clearly found on the outer surface of Fe/NSMC-W, which are caused by acid-washing of large  $\text{FeS}_x$  and metal Fe particles. On the contrary, a large number of small nanoparticles with mean size of 20 nm coated by carbon layers along with bulge are observed in Fe/NMC-W presented in Figure

4F, which could be well preserved by the protection of carbon layer even with such a severe condition of acid medium.

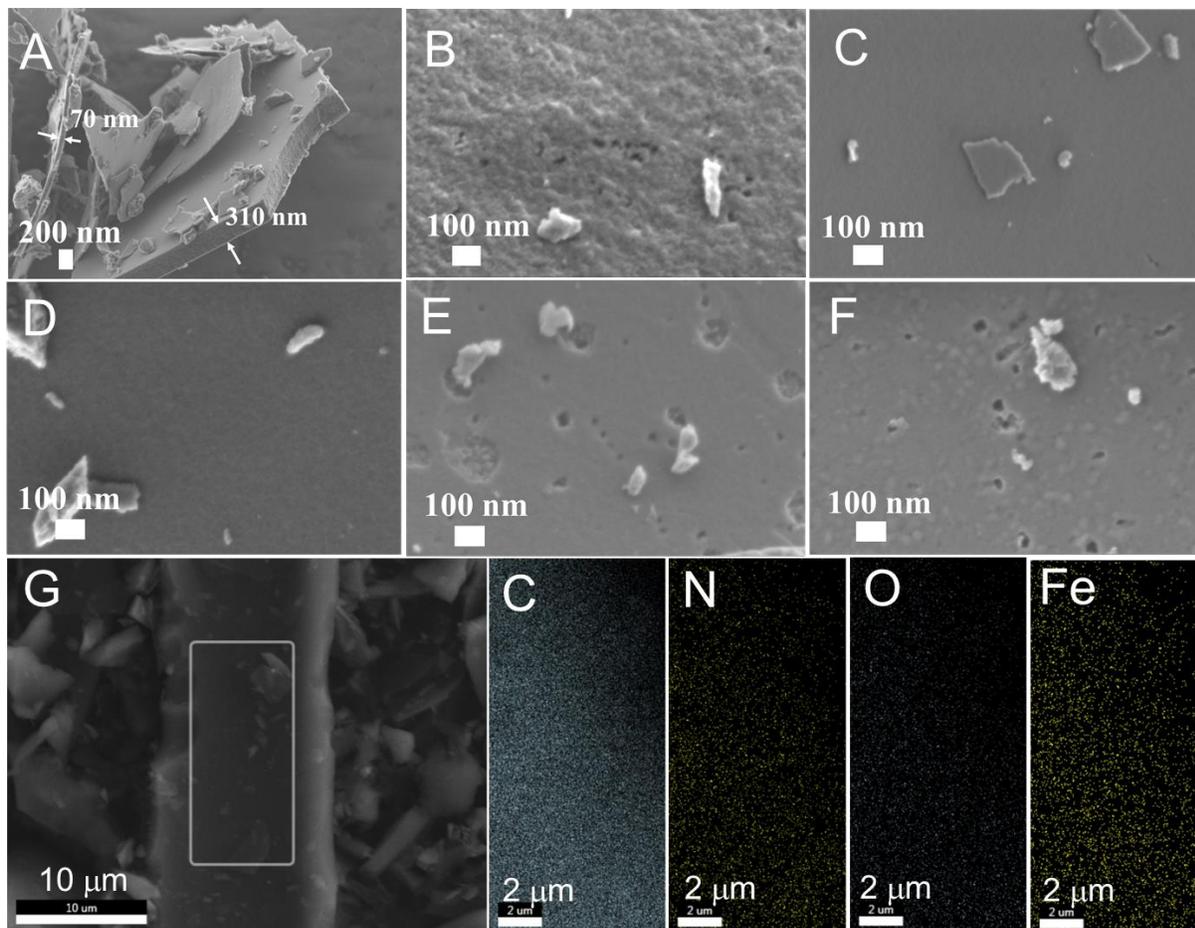


Figure 4. FESEM images of (A) Fe/NSMC-SHT at low magnification, of which high magnification images (B), and corresponding images of (C) Fe/NMC-SHT, (D) NSMC-SHT, (E) Fe/NSMC-W, (F) Fe/NMC-W, as well as energy-dispersive x-ray (EDX) mapping (G) of Fe/NSMC-SHT.

The findings unambiguously illustrate that  $\text{SCN}^-$  serves as surface mediator which can “catch” Fe atoms by S segments formed by the decomposition of  $\text{SCN}^-$  during high annealing temperature thus devoid of the encapsulation of Fe species by carbon layer. After the second  $\text{NH}_3$  treatment,

the surface of the Fe/NSMC-SHT catalysts become more porous (Figure 4B) while only solid surface is found for Fe/NMC-SHT which can be ascribed to improved graphitization against NH<sub>3</sub> etching (Figure 4C). Accordingly, NSMC-SHT shows moderate porous structure (Figure 4D), suggesting the addition of Fe promotes the formation of porous structure. It can also be inferred that such puffed porous configuration of Fe/NSMC-SHT will facilitate the exposure of active sites and reactant accessibility, thus enabling the enhancement of electrochemical catalytic performance as compared with that of monolithic nanosheets with lower porosity and accessibility. The energy-dispersive X-ray (EDX) mapping and the spectra of the different elements for Fe/NSMC-SHT (Figure 4G) confirm that the homogeneous distribution of C, N, O, Fe within the material. It is worth noting that there is no S signal observed on the analyzed catalysts, which could be attributed to the chemical reaction between S and NH<sub>3</sub> in the second NH<sub>3</sub> treatment at 900 °C as follow:  $3 S + 2 NH_3 = 3 H_2S + N_2$  [222].

The detailed microstructure is investigated by transmission electron microscope (TEM) and the results are presented in Figure 5A. The result shows that Fe/NSMC-SHT presents sheet-like morphology with no obvious large nanoparticles aggregation. Hierarchical porous structure with the presence of meso and micropores in the Fe/NSMC-SHT sample can be clearly observed at high resolution TEM image (Figure 5B). It is expected that such porous combination could greatly increase the wettability which thus contributes to the improvement of the mass transport of proton and O<sub>2</sub> molecules in bulk solution to FeN<sub>x</sub> active sites. High-angle annular dark-field scanning transmission electron microscope (HAADF-STEM) images (Figure 5C-D) reveal that both single atom Fe sites and prevalent Fe nanoclusters are presented in Fe/NSMC-SHT sample. On the other hand, a large number of nanoparticles are found in Fe/NMC-SHT, which can be assigned to Fe-related species (Figure 5E-F). These findings are in good agreement with the XRD results on the

different samples. As revealed by XRD and TEM results, the Fe/NMC-SHT shows enhanced graphitization degree with respect to Fe/NSMC-SHT, resulting from the Fe-related species (especially for  $\text{FeC}_x$  species) catalyzed graphitization mechanism. In turn, the improved graphitization degree is detrimental to obtain high density active  $\text{FeN}_x$  active sites due to loss of nitrogen in high graphitization carbon matrix [275]. Moreover, the well-developed porous structure will significantly enhance exposure of  $\text{FeN}_x$  active sites for Fe/NSMC-SHT, contributing to higher ORR activity than that of Fe/NMC-SHT and  $\text{NH}_3$  treatment-free Fe/NSMC-W.

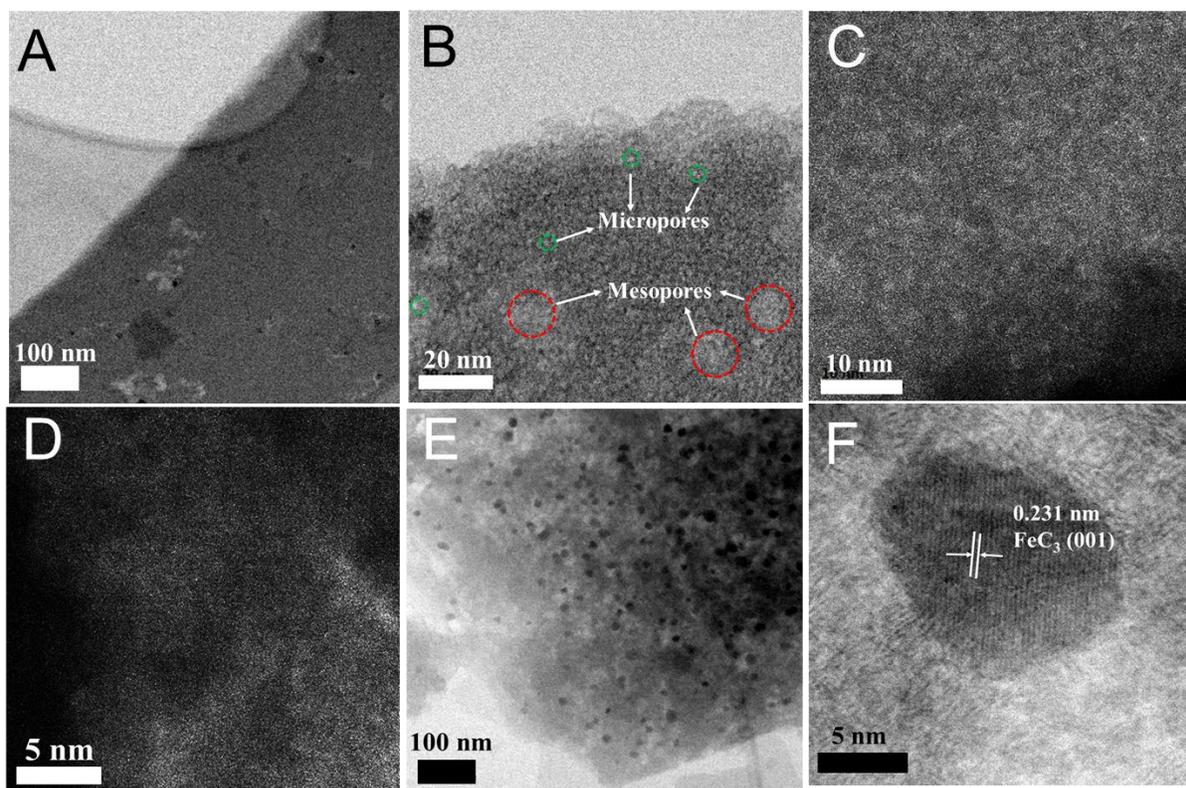


Figure 5. TEM (A-B) and (C-D) HAAF-STEM images of Fe/NSMC-SHT and TEM images (E-F) of Fe/NMC-SHT.

TGA/DTG are conducted in Ar atmosphere at a ramp of  $5\text{ }^\circ\text{C min}^{-1}$  to investigate the carbonization process of control samples. As shown in Figure 6, the TGA spectra for three

precursor samples present almost the same thermal behavior at a moderate temperature decomposition range (below 600 °C) which can be associated to the dehydration of the precursors, condensation and thermal decomposition of D-glucose and citric acid. It is worthy to note that significant differences on DTA curves occur in the high temperature region with and without addition of KSCN to the synthesis process. Two peaks located at 692 °C and 799 °C in the Fe/NSMC-P can be observed (top spectrum in Figure 6) while only one broad peak centered at 866 °C is visible for Fe/NMC-P. The peak at higher temperature for both samples is attributed to the carbon deposition showing that carbon deposition for Fe/NSMC-P occurred at lower temperature compared to that of precursor with no addition of KSCN [276]. The discrepancy can be ascribed to the introduction of S which increase the disorder of carbon lattice thus decreasing the carbon deposition temperature. Besides, the extra peak at 692 °C for Fe/NSMC-P can be assigned to the generation of Fe-related species such as  $\text{FeS}_x$  and metal Fe. The absence of such typical peak in Fe/NMC-P illustrates the simultaneous occurrence of Fe species (metal Fe and  $\text{FeC}_x$  in this case) and carbon deposit which lead to the direct formation of carbon layer coated Fe species resistant to acid leaching. Combined with the TGA results, the formation temperature for large  $\text{FeS}_x$  and metal Fe precedes that of carbon deposition. Such phenomenon gives rise to the incomplete encapsulation of Fe species, thereby the atomically dispersion Fe can be generated by “top-down” process. Herein, single Fe atoms can escape at high temperature from the uncoated large Fe particles across the discontinuous carbon layers and eventually coordinate with N to form  $\text{FeN}_x$  moiety with high thermodynamically stability. In sharp contrast, a large number of small Fe-related particles coated by carbon layer are obtained due to its formation in parallel to carbon deposition without addition of KSCN.

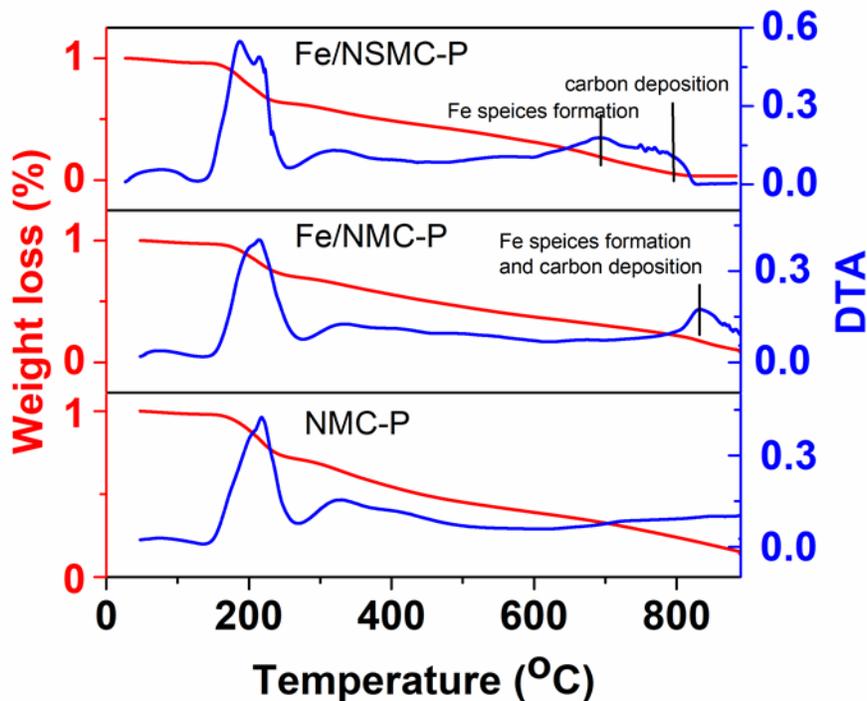


Figure 6. TGA and DTG curves of Fe/NSMC, Fe/NMC and NMC precursor gel.

The X-ray photoelectron spectroscopy (XPS) is conducted to investigate the surface elemental composition and electronic structure of the as-prepared samples. The different surface elemental compositions determined by XPS analysis are summarized in Table 1. The doped N content of Fe/NSMC-SHT is amounted to 6.1 at. %, higher than that of Fe/NMC-SHT (4.6 at.%), indicating that the introduction of S into the sample can be at the origin of the increase of N content in the catalyst. The origin of enhanced N-doping should be ascribed to the lower graphitization degree induced from S addition. The higher graphitization degree results in loss of N-doped level, which fails to form high active  $\text{FeN}_x$  for ORR process. Owing to the etching effect of  $\text{NH}_3$ , the N and Fe content for Fe/NSMC-SHT are evidently improved with respect to those of Fe/NSMC-W. Deconvolution of high-resolution spectra at their N 1s core regions accounted for five distinct N-

components whose relative % (before and after the NH<sub>3</sub> thermo-chemical treatment) were used to fix the materials composition (Table 1).

Table 1 Selected chemico-physical and morphological properties of catalysts and precursors.

Entry	Sample	SSA <sup>a</sup> (m <sup>2</sup> g <sup>-1</sup> )	Total pore volume (cm <sup>3</sup> g <sup>-1</sup> ) <sup>b</sup>	t-plot micropore volume (cm <sup>3</sup> g <sup>-1</sup> ) <sup>c</sup>	Fe wt.% <sup>d</sup>	Fe at.% <sup>e</sup>	XPS data, N-species (%) <sup>f</sup>					
							N at.% <sup>e</sup>	Pyridinic	Fe-N	Pyrrolic	Graphitic	Oxidized
1	Fe/NSMC-W	220	0.125	0.084	n.d.	0.8	3.7	21.8	25.9	30.3	17.4	4.6
2	Fe/NSMC-SHT	622	0.346	0.235	2.54	1.7	6.1	21.9	31.1	19.8	20.8	6.4
3	Fe/NMC-W	260	0.268	0.015	n.d.	0.5	4.3	26.7	23.3	29.9	17.9	2.2
4	Fe/NMC-SHT	461	0.406	0.058	1.67	0.9	4.6	26.8	25.4	20.1	20.5	7.2
5	NSMC-SHT	618	0.318	0.251	-	-	6.8	51.4	-	36.5	9.3	2.9

<sup>a</sup> Brunauer-Emmett-Teller (BET) specific surface area (SSA) measured at T = 77 K. <sup>b</sup> Total pore volume determined using the adsorption branch of N<sub>2</sub> isotherm at P/P<sub>0</sub> = 0.98. <sup>c</sup> Determined by t-plot method. <sup>d</sup> Determined by ICP analysis on the properly digested samples. <sup>e</sup> Determined by XPS analysis. <sup>f</sup> Determined by high resolution XPS N 1s core region and its relative peak deconvolution.

An appreciable increase of the components at 399.9 eV, conventionally ascribed to iron-coordinated N sites [64, 277], are found in NH<sub>3</sub> treated samples (Fe/NSMC-SHT and Fe/NMC-SHT) (Figure 7). On the other hand, the amount of pyridinic N and graphitic N are also enhanced. Both of these two sites have been well documented to be highly active for ORR [127, 278]. It can be well expected that Fe/NSMC-SHT will display best electrochemically activity among all other catalysts. The actual weight fraction of Fe in the Fe/NSMC-SHT and Fe/NMC-SHT is 2.54 wt. % and 1.67 wt. % by inductively coupled plasma atomic emission spectroscopy (ICP-AES) analysis. Sample with a relatively high metal loading in the Fe/NSMC-SHT strengthens the role of SCN<sup>-</sup> for the preparation of atomically dispersed Fe-N-C nuclei. The high resolution of Fe 2p spectrum

(Figure 8) of Fe/NSMC-SHT can be deconvoluted into five peaks. The binding energy at 710.9 eV and 724.0 eV are assigned to  $\text{Fe}^{2+} 2p_{3/2}$  and  $\text{Fe}^{2+} 2p_{1/2}$  peaks, respectively. While the peaks at 714.8 eV and 727.3 eV correspond to  $\text{Fe}^{3+} 2p_{3/2}$  and  $\text{Fe}^{3+} 2p_{1/2}$  peaks [182]. Besides, the satellite peak at 719.0 eV further demonstrates the co-existing of mixture valence of  $\text{Fe}^{2+}$  and  $\text{Fe}^{3+}$  [279]. No zero valence of metallic Fe is observed in Fe/NSMC-SHT, which is consistent with most reported atomically dispersed  $\text{FeN}_x$  catalysts [100, 280]. Unfortunately, it is difficult to deconvolute Fe 2p spectra in the Fe/NSMC-W and Fe/NMC-SHT due to its relative weak signal resulted from low Fe surface concentration.

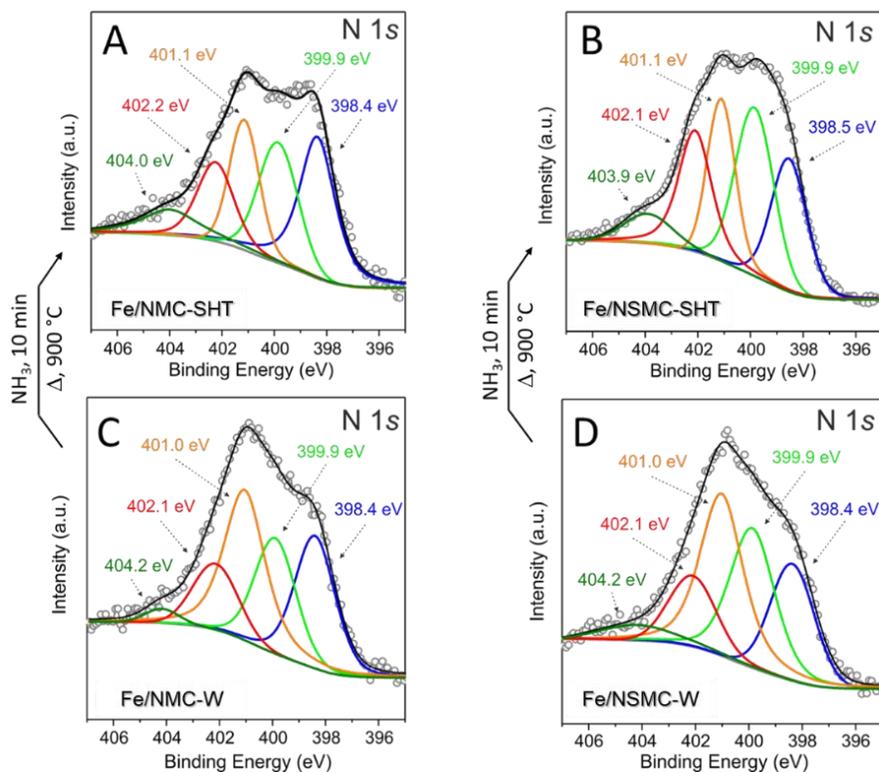


Figure 7. High resolution XPS N 1s core level region of samples Fe/NSMC-W, Fe/NMC-W (D and C) and Fe/NSMC-SHT, Fe/NMC-SHT (B and A) along with the respective curve fittings. The vertical view of these spectra C vs. A and D vs. B accounts for the N 1s profile changes recorded upon neat- $\text{NH}_3$  treatment of samples at 900 °C for 10 min.

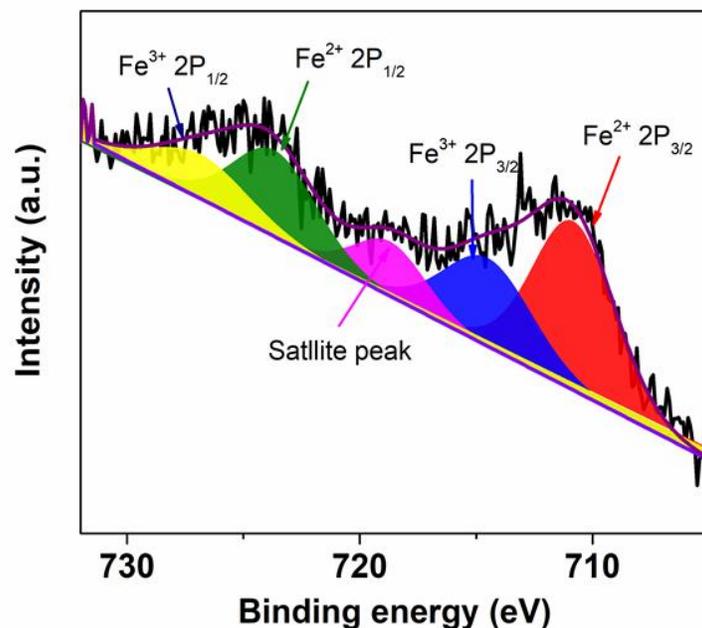


Figure 8. The deconvolution spectra of high-resolution Fe 2p for Fe/NSMC-SHT.

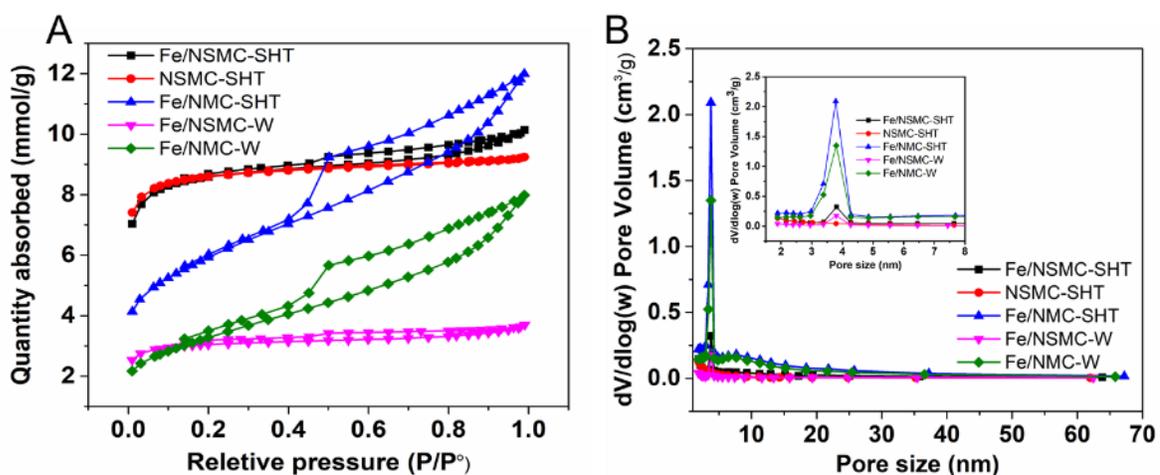


Figure 9. (A)  $N_2$  adsorption-desorption isotherms curves recorded at 77 K, and (B) respective pore size distribution curves with Barrett-Joyner-Halenda (BJH) method based on desorption branches of representative samples.

$N_2$  adsorption-desorption isotherms curves shown in Figure 9A exhibit type IV isotherm character with pronounced hysteresis loop implying the existence of mesoporous of all representative samples. Obviously, the  $N_2$  uptakes significantly increase after the second  $NH_3$

treatment, suggesting the improved specific surface area. As listed in Table 1, Fe/NSMC-SHT and Fe/NMC-SHT deliver specific surface area of 622 and 460 m<sup>2</sup>/g, respectively, much higher than that of Fe/NSMC-W (220 m<sup>2</sup>/g) and Fe/NMC-W (260 m<sup>2</sup>/g). The improved specific surface area is attributed to partly gasification of the carbon support by NH<sub>3</sub> etching, giving rise to the generation of micropore as well as mesopore [281]. At odds with the pore size distribution of prevailing mesopores with the narrow 3-5 nm range in Fe/NMC-W, Fe/NSMC-W presents predominant micropores density (Figure 9A-B), as further evidenced by much larger micropore volume for Fe/NSMC-W with respect to Fe/NMC-W (Table 1). As a result, the Fe/NSMC-W exhibits slight lower total specific surface area than Fe/NMC-W (220 vs. 260 m<sup>2</sup>/g), which is different from those conclusions reported by most other works that S-doping can enhance specific surface area of carbon-based catalysts thus boosting catalytic activity [282-284]. However, the enhancement of specific surface area for Fe/NSMC-W is more prominent (from 220 to 622 m<sup>2</sup>/g) than that of Fe/NMC-W (from 260 to 460 m<sup>2</sup>/g) after the second NH<sub>3</sub> heat treatment, in agreement with SEM observations. The result demonstrates that addition of KSCN result in the generation of more disorder carbon enriched defect and partial collapse of mesopores. The disorder carbon phases react much faster with NH<sub>3</sub> and are preferentially gasified [174, 285, 286], eventually creating a larger amount of micropores and small mesopores due to the interconnected pore channels. The generated hierarchical porous structure is well agreement with the result observed in TEM. It is believed that the micropore is more beneficial to increase the number of active sites thus boosting ORR activity.

To get additional details on the effective nature of the iron species in Fe/NSMC-SHT, and to address properly the nature of their coordination sphere and fix the nature of the metal-active sites engaged in ORR (*vide infra*), the model catalyst is investigated by X-ray adsorption spectroscopy

(XAS). Figures 10A-B refers to the X-ray absorption near edge structure (XANES) spectra at the Fe K edge of model catalyst along with that of common iron oxides and metallic iron as reference samples at comparison.

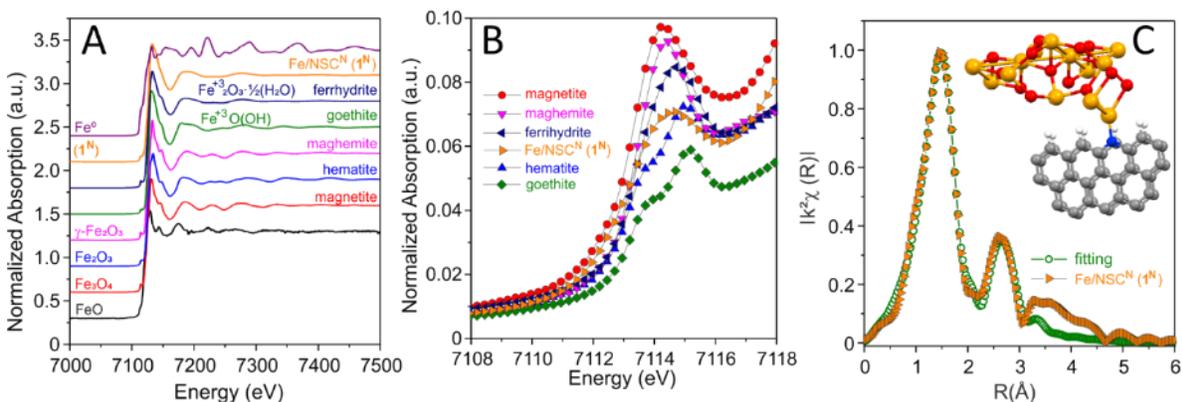


Figure 10. A) Normalized XANES spectra for model catalyst (1<sup>N</sup> is referred to as Fe/NSMC-SHT for the sake of convenience) and more common iron oxide samples or metallic iron. B) Magnification section of Figure 10A dealing with the absorption pre-edge (1s → 3d) resonances. C) k<sup>2</sup> weighted Fourier transform of EXAFS data of model catalyst and related fit. Color codes for the inset: C = gray, H = white, N = blue, Fe = orange, O = red

A careful analysis of Figure 10A reveals a striking match between ferrhydrite (*Fh*) [287] and our sample Fe/NSMC-SHT. Even if the former may be present in several forms and crystallinity, all of them share similar structural features such as the prevalence of six coordinated Fe<sup>III</sup> centers and distinct Fe-Fe second and third shells distributed around 3.0 and 3.4 Å. A closer look to the pre-edge peak (1s → 3d transition) in Figure 10B confirms that Fe/NSMC-SHT contains prevalently Fe<sup>III</sup>. While the positions of the absorption pre-edge (1s → 3d) as well as that of the edge peak (1s → 4p transition) resonances are sensitive to the iron oxidation state, the intensity of the 1s → 3d transition depends on the site symmetry. In particular, the lower the transition intensity the higher the symmetry of the Fe sites. Therefore, intense pre-edge peaks will account for tetrahedral or distorted octahedral geometries while octahedral coordination environments give

rise to less intense signals. As Figure 10B shows, the pre-edge resonance in Fe/NSMC-SHT well align with maghemite ( $\gamma\text{-Fe}_2\text{O}_3$ ) and ferrihydrite ( $\text{Fe}_2\text{O}_3 \cdot \frac{1}{2}\text{H}_2\text{O}$ , *Fh*) with a peak intensity [288] evidently lower than that of other partially four coordinated compounds. This feature clearly suggests a prevalent six coordination sphere around the metal center. Finally, a quantitative evaluation of the local structure has been performed by fitting the Fe K edge EXAFS signal (see Figure 10C) and structural data are reported in Table 2.

Table 2. Structural data from the fitting of Fe/NSMC-SHT sample Fe K-edge EXAFS signal.

Path	Coord. Numb.	R( $\text{\AA}$ ) <sup>a</sup>	$\sigma^2$ ( $10^{-2} \text{\AA}^2$ ) <sup>b</sup>	$\Delta E_0$ <sup>c</sup>	R-factor <sup>d</sup>
Fe-O(N)	6.2 (6)	1.957 (7)	1.2 (1)	-5 (1)	0.007
Fe-Fe (1)	1.3 (3)	3.00 (1)	-	-	-
Fe-Fe (2)	1.6 (4)	3.45 (2)	-	-	-

<sup>a</sup> Interatomic distance. <sup>b</sup> Debye-Waller factor. <sup>c</sup> Difference in the threshold Fermi level between data and fit. <sup>d</sup> Goodness of fit parameters

If the signal fitting establishes a coordination very close to that expected for ferrihydrite (*Fh*) [287], with a *hcp* form of Fe oxyhydroxide, where  $\text{Fe}^{\text{III}}$  cations are coordinated with O atoms and terminal OH species, Fe-Fe(1,2) coordination numbers are appreciably lower (1.3 and 1.6, respectively) than those expected for bulk ferrihydrite [287] or crystallized goethite [289] whose Fe-Fe(1) and Fe-Fe(2) values are roughly estimated in 4 and 6, respectively. This structural features found a pretty good match between data recorded for Fe/NSMC-SHT and previously reported data on N-coordinated iron sites (Fe-N-C) as single atoms or polyatomic species at the interface between iron oxyhydroxide and a nitrogen-doped carbon network [258]. In their seminal contribution, Arrigo et al. concluded on the structural nature of their N-coordinated iron sites and their catalytic performance in the  $\text{CO}_2$  electrochemical reduction ( $\text{CO}_2\text{RR}$ ) by the combination of

operando XAFS analysis and *in-silico* studies. These authors demonstrated that their iron active-sites were not directly embedded in nitrogen doped C-network but they were present as small *Fh*-FeOOH clusters or Fe single atoms at the edge of N-doped graphitic frameworks (*inset* Figure 10C). This is particular true for our present work that Fe nanocluster and single Fe atoms are co-existed in the catalytic system reflected from the HAADF-STEM (Figure 5C-D). It should be stressed that EXAFS cannot establish if N or O are mixed in the first shell, since scattering amplitudes and phases are very similar. Anyhow, a fit with only N-coordinative sites would result in a too large coordination number. According to XPS evidences for the presence of a Fe-N component in the fitting of the N 1s high resolution peak (see Figure 7) and the last NH<sub>3</sub> thermochemical treatment Fe/NSMC-W underwent, it can be inferred that active iron sites of the latter possess a mixed N, O coordination environment. The Debye Waller factor reported in Table 2 is enough large as to confirm a distribution of distances in the octahedron (0.01Å<sup>2</sup>). However, the introduction of two distinct Fe-O, N sub shells does not improve the fit at all: the octahedron is deformed, but the contributions cannot be resolved.

All these data taken together confirm the role of KSCN in the starting mixture to get highly dispersed Fe-N-C nuclei at the interface between an iron oxyhydroxide nanostructure and a nitrogen-doped carbon network almost exclusively in the final composite. The addition of SCN<sup>-</sup> ions was found to prevent the generation of iron carbides to a larger extent, while favoring the conversion of the excess of iron (not present as dispersed Fe-N-C nuclei of the type described above) into iron sulfides [265] that were leached and easily removed upon the acid washing step.

Based on systematic analysis above, the formation mechanism toward model Fe/NSMC-SHT catalysts are put forward. As shown in Figure 11, the Fe ions/atoms tend to coordinate with citric acid in precursor solution/gel, during which SCN<sup>-</sup> anions are dispersed in precursor/gel in the form

of dissociation. The gelation under 110 °C is involved in a series of chemical reactions such as the decomposition of  $(\text{NH}_4)_2\text{CO}_3$  followed by acid-basic chemical reaction. The first thermal treatment at 900 °C under Ar atmosphere induces polymerization/condensation/aromatic processes, where D-glucose represents main carbon source while as-generated basic ammonium citrate plays double role of N-reservoir and pore-forming agents during first thermal treatment. As the temperature increasing, the added  $\text{SCN}^-$  will be decomposed into sulfide and cyanide along with the incorporation of S into carbon matrix. The resultant sulfide subsequently serves as surface mediator to “catch” Fe by the formation of large  $\text{FeS}_x$  and metal Fe nanoparticles prior to carbon deposition, thus preventing the formation of carbon coating layer. Simultaneously, the  $\text{FeN}_x$  sites are created gradually by the top-down process, where atomically dispersed Fe can be obtained via direct transformation of Fe metal into Fe atom induced by high thermal treatment followed by trapping of atoms powered by N capture. After acid leaching, the composite of atomically dispersed Fe specie is finally obtained. The second thermal treatment (SHT) under  $\text{NH}_3$  are executed to develop porosity as well as activate the  $\text{FeN}_x$  sites, during which more basic N-containing groups (pyridinic N and graphitic N) are in-situ introduced. Resultantly, the densely accessible  $\text{FeN}_x$  active sites coupled to Fe oxyhydroxide with high basic N-containing groups supported carbon nanosheets are achieved. As a comparison, a large number of Fe nanoparticles coated by carbon layer are visible when no additional  $\text{SCN}^-$  introduced. Taken together, during the first Ar annealing stage, the addition of KSCN (i) mediates surface reaction by the formation of S-related Fe species, preventing the formation of carbon coated Fe-related species. (ii) leads to lower graphitization degree, hosting more active  $\text{FeN}_x$  sites. (iii) induces the formation of prevailing micropores, thus indirectly help improving  $\text{FeN}_x$  active sites. On the other hand, the following  $\text{NH}_3$  treatment (i) introduces more abundant pore structure by gasifying amorphous carbon. (ii) creates

more basic N-containing groups by in-situ doping. All these compelling benefits drive us to explore its further application in ORR.

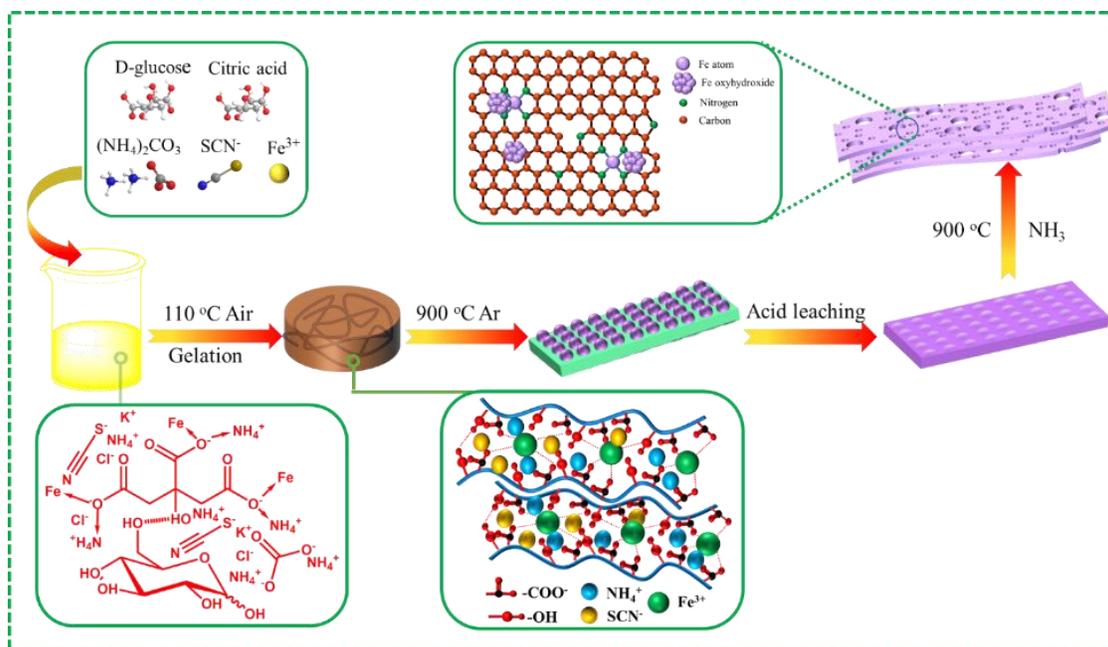


Figure 11. The proposed schematic illustration for synthetic route of Fe/NSMC-SHT

The electrocatalytic ORR performance of catalyst is investigated in O<sub>2</sub>-saturated 0.1 M KOH solution by a typical three-electrode system. For the sake of comparison, commercial Pt/C (20% Pt/C), a series of control samples are also evaluated. As shown in Figure 12A-B, the Fe/NSMC-SHT exhibits the superior ORR activity with onset potential (1.14 V) and half-wave potential ( $E_{1/2}$ ) of 0.87 V, which is highest amongst all investigated samples including commercial benchmark catalyst.

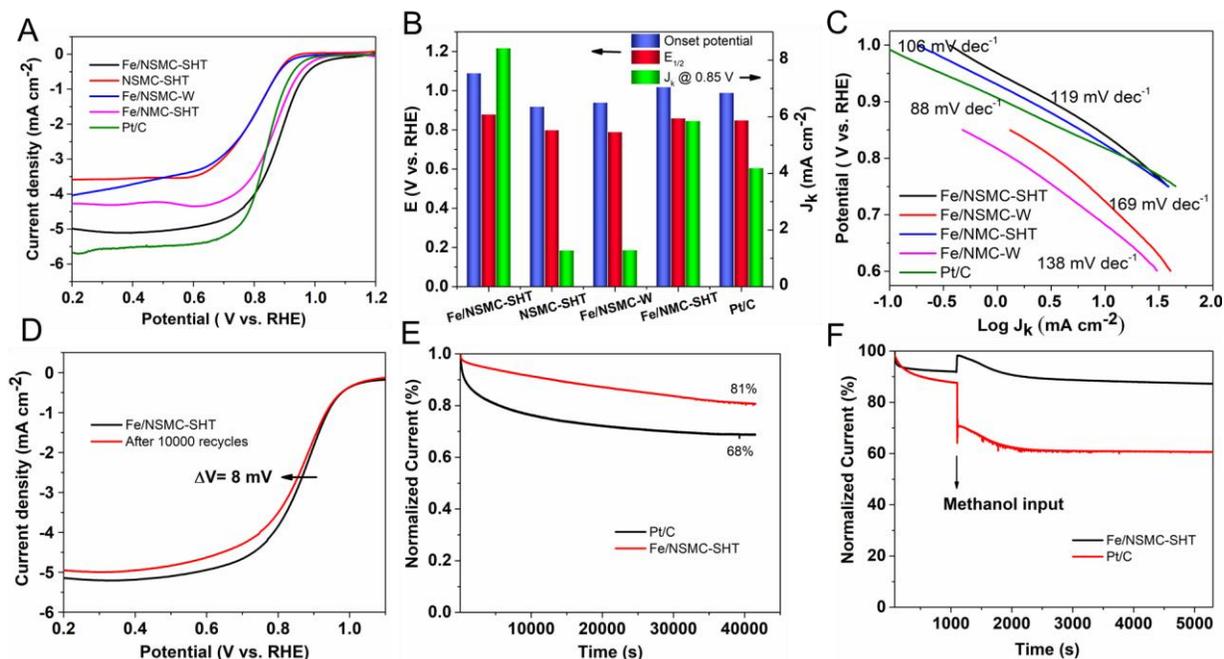


Figure 12. (A) ORR polarization curves, (B) Onset Potential, half-wave potential ( $E_{1/2}$ ) and kinetic current density ( $J_k$ ) for different catalysts, (C) Tafel slop for as-prepared catalysts, (D) Accelerated durability test (ADT) for Fe/NSMC-SHT, (E) CA response for Fe/NSMC-SHT, (F) methanol tolerance test for Fe/NSMC-SHT.

Furthermore, the kinetic current density ( $J_k$ ) of Fe/NSMC-SHT reaches  $8.43 \text{ mA cm}^{-2}$  at  $0.85 \text{ V}$ , which is higher than those for other samples and twice higher than  $4.19 \text{ mA cm}^{-2}$  for Pt/C. The ORR performance for the desired catalyst also outperforms reported most Fe-based atomically dispersed electrocatalysts. The Tafel slope (Figure 12C) of Fe/NSMC-SHT is determined to be  $92 \text{ mV dec}^{-1}$ , comparable with that of Pt/C ( $89 \text{ mV dec}^{-1}$ ), and much lower than those of Fe/NMC-SHT ( $106 \text{ mV dec}^{-1}$ ), NSMC-SHT ( $119 \text{ mV dec}^{-1}$ ) and Fe/NSMC-W ( $169 \text{ mV dec}^{-1}$ ), indicating Fe/NSMC-SHT electrocatalyst manifests accelerated ORR kinetic and similar reaction mechanism with Pt/C catalyst. The limited diffusion current density ( $J_L$ ) for Fe/NSMC-SHT is measured to be  $5.08 \text{ mA cm}^{-2}$ , far outperforming those for Fe/NSMC-W ( $3.65 \text{ mA cm}^{-2}$ ), NSMC-SHT ( $3.57 \text{ mA}$

cm<sup>-2</sup>), Fe/NMC-SHT (4.35 mA cm<sup>-2</sup>), albeit a slightly lower value than commercial Pt/C (5.5 mA cm<sup>-2</sup>). The higher value of  $J_L$  for Fe/NSMC-SHT compared with controlled catalysts can be attributed to efficient four electron transfer process resulted from abundant hierarchical porous featured with larger specific surface area for efficient O<sub>2</sub> diffusion and transportation as well as the more FeN<sub>x</sub> active sites [81, 290].

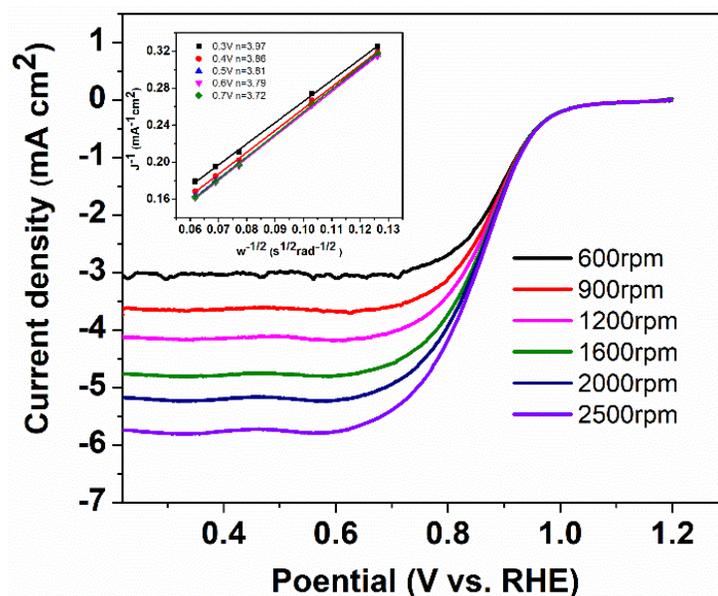


Figure 13. Polarization curve at different rotation speed for Fe/NSMC-SHT.

To further explore the ORR kinetics, the rotating disk electrode (RDE) measurements with different rotation speeds are performed and corresponding Koutecky-Levich (K-L) plots are presented in Figure 13, the increase of limited-diffusion current with increasing of rotation speeds suggests the diffusion-controlled oxygen reduction process in alkaline electrolyte [291]. The linear and almost parallel K-L plots at different applied potential indicate the first-order reaction kinetics toward the concentration of dissolved oxygen [292]. The electron transfer number is determined

to 3.86 at applied potential of 0.4 V, demonstrating Fe/NSMC-SHT favors four-electron oxygen reduction process [293]. The electrocatalytic durability is key criterion for practical application of fuel cell. We conducted accelerated durability test (ADT) for Fe/NSMC-SHT, as shown in Figure 12D, after continuous 10,000 cyclic voltammetry (CV) scans between 0.6 V to 1.0 V at a rate of 50 mV s<sup>-1</sup> under O<sub>2</sub> atmosphere, the E<sub>1/2</sub> for Fe/NSMC-SHT only decrease by 8 mV, exhibiting superb long-term stability in alkaline electrolyte. Besides, chronoamperometric (CA) response curves are also conducted to evaluate the stability and methanol tolerance at applied potential of 0.6 V. As displayed in Figure 12E, the current density of Fe/NSMC-SHT retain up to 81% of its initial current density, while only 68% current density retention is obtained for Pt/C under the same experimental condition after testing more than 40,000 seconds. In comparison with the dramatic decrease of current density for Pt/C after injecting 1% (v/v) methanol, the current density for Fe/NSMC-SHT remains negligible decay. All above results disclose remarkable stability and methanol tolerance of Fe/NSMC-SHT (Figure 12F). Several important factors should be responsible for the superb electrochemical performance toward ORR for Fe/NSMC-SHT in comparison to other catalysts: (1) The hierarchical porous structures with dominating micropore resulting from KSCN surface mediator effect greatly foster mass-transport during electrochemical reaction on the premise of ensuring abundant FeN<sub>x</sub> active sites, which can significantly enhance the ORR activity. (2) NH<sub>3</sub> heat treatment improves the exposure of FeN<sub>x</sub> active sites by producing slacker surface configuration, which increases the accessible active FeN<sub>x</sub> active sites and result in enhanced ORR activity. (3) more basic N-containing groups introduced by NH<sub>3</sub> post-treatment especially for pyridinic N and graphitic N can afford extra active sites. These active sites can also contribute more activity for ORR. (4) On the basis of existing literature, the co-existing Fe nanoclusters (Fe oxyhydroxide) probably play a crucial role for improved intrinsic activity of

single FeN<sub>x</sub> site by optimization of reaction oxygenated intermediates over FeN<sub>x</sub> active sites, although a lack of more convincing evidence like DFT simulation for the comparison between exclusively atomically dispersed FeN<sub>x</sub> sites and FeN<sub>x</sub>/Fe oxyhydroxide mix sites in present work.

### 3. Conclusions

In summary, we have described an effective and general strategy to the clean production of highly metal charged Fe-based composites containing discrete FeN<sub>x</sub> moieties at the interface of iron oxyhydroxide sub-nanometric structures and N-doped carbon network through the combination of chelating citrate ions with the ancillary monodentate SCN<sup>-</sup> ligand. The control of the metal ion donor atom set originates an ideal “open gate” for water soluble iron ions to be accommodated in the form of Fe-N-C moieties within final C-N networks. A rational exploitation of their thermo-chemical and washing sequences has finally provided an effective and durable electrocatalyst for promoting the kinetically sluggish oxygen reduction reaction. Besides the general synthetic scheme that may apply to a variety of water-soluble transition-metal based salts, the protocol is a cost-effective path to the clean production of iron-composites containing catalytically active Fe-N-C moieties for the electrochemical process. The as-prepared highly metal-loaded catalyst has shown excellent electrocatalytic performance under alkaline environment. Studies devoted to the preparation and characterization of different transition metal SACs based on the functional protocol described in the paper are currently on-going in the lab and will be reported elsewhere in combination with different catalytic applications.

# **Chapter 4**

## **Atomic Fe-decorated N-doped Hierarchical Porous Carbon for Oxygen Electroreduction**

## Abstract

The preparation of atomically dispersed Fe on N-doped carbon (Fe-N-C) using separate metal precursor, N and C sources enables better flexibility of the synthesis and control on the structure and composition of the final product towards next generation viable fuel cell or metal-air batteries technologies, but remains a huge challenge to face. In this featured work, atomic Fe decorated N-doped hierarchical porous carbon (Fe/NHPC) is successfully synthesized through the use of  $(\text{NH}_4)_2\text{CO}_3$  as single N source, citric acid as C source, and  $\text{FeCl}_3$  as metal precursor. Combined with template-assisted strategy, the formation mechanism of Fe/NHPC on the basis of gas-solid interfacial reactions is proposed. To the best of knowledge, this is the first time that inorganic N-containing compound is employed as exclusive N source involving in the synthesis of Fe-N-C catalyst. Owing to this compelling feature of completely separated precursor, N-doped level can be finely tuned by adapting the amount of  $(\text{NH}_4)_2\text{CO}_3$  and the dependence of electrochemical activity of prepared catalysts in ORR on N-doping is observed. Detailed investigation reveals that both single Cl-FeN<sub>4</sub> sites and pyridinic N are the active sites with Cl-FeN<sub>4</sub> moieties being the most active sites, while Fe/Fe<sub>3</sub>O<sub>4</sub> nanoparticles show no activity for ORR in alkaline condition. The optimized catalyst presents high ORR activity with a half-wave potential ( $E_{1/2}$ ) of 0.88 V, large kinetic current density ( $109.6 \text{ A g}^{-1}$  normalized by catalyst loading at 0.8 V vs. RHE) along with outstanding stability, outperforming commercial Pt/C catalyst. This work affords a completely new route for the rational design of cost-effective highly active ORR electrocatalysts.

## 1. Introduction

The sluggish kinetics of electrocatalytic oxygen reduction reaction (ORR) is one of the main obstacles in large scale commercialization of the next-generation energy storage and conversion devices such as fuel cell, metal-air batteries [23, 294]. Presently, Pt group metal (PGM) is the most efficient and viable ORR catalysts, while the problem of its high cost and availability has stimulated extensively searches for alternative cost-effective PGM-free catalysts [34, 295]. The exploitation of highly active, robust PGM-free catalysts especially for non-precious metal catalysts (NPMCs) with comparable or even better performance in ORR with respect to commercial Pt/C remains a challenging research topic nowadays.

The metal-nitrogen coordination sites embedded into carbon matrix catalysts (M-N-C, M = Fe, Co, Mn, etc.) have been typically regarded as promising alternative to PGM catalysts [165, 174, 296]. Four milestones can be outlined in the development of M-N-C catalysts. The first important step was an observation of cobalt phthalocyanine capable of catalyzing the ORR in an alkaline medium, reported by Jasinski [38]. Followed by this pioneering work, other M-N<sub>4</sub> macrocycles were also found to be active towards ORR in both alkaline and acid mediums [297]. The second important step was the discovery that high temperature treatment (400-1000 °C) in inert atmosphere of M-N<sub>4</sub> macrocycles could significantly increase their activity and stability [298-300]. The nature of active sites generated by thermal decomposition of M-N<sub>4</sub> macrocycles has ever since been debated. Currently it is widely believed that M-N<sub>4</sub> moiety inherited from parent configuration of macrocycles survived pyrolysis is most likely active site towards ORR [153]. The third breakthrough made by Yeager et al. was a synthesis of NPMCs using metal salts, N-containing precursor and high surface area carbon. This approach opened a new avenue for preparation of M-N-C electrocatalyst independent on M-N<sub>4</sub> macrocycles molecule [41],

suggesting that high temperature synthesis of M-N-C catalyst was typically involved in the simultaneous presence of metal salt, N, and C sources. Afterwards extensive efforts have been followed to the developments of highly active and durable M-N-C catalysts by this route, in particular Fe-N-C electrocatalysts due to its best ORR activity [290, 301, 302]. Of note is that in all these works, MOF-based [233, 234, 303], N-containing organic small molecule [304, 305] and/or polymers [306, 307], were primarily utilized as a simultaneous N and C sources. The fourth significant breakthrough was achieved by Dodelet's group, who demonstrated that for the synthesis of Fe-N-C catalyst, the transition metal, N, C could be separately introduced by the use of reactive gas  $\text{NH}_3$  or  $\text{CH}_3\text{CN}$  as exclusive N source [308, 309]. Subsequent numerous studies have been devoted to improving catalytic activity and stability of  $\text{NH}_3$ -treated Fe-N-C catalysts [223, 225, 226]. However, high temperature  $\text{NH}_3$  treatment is a highly dangerous and hardly controllable procedure, hindering intensive development of Fe-N-C ORR catalysts. The preparation of Fe-N-C with high ORR performance using a single N source such as inorganic N-containing compound rather than  $\text{NH}_3$  presents a desirable but yet challenging progress. On the other hand, the fabrication strategy for Fe-N-C catalysts relies mainly on error and trial process due to the complexity of chemical nature of as-prepared catalysts arising from high temperature pyrolysis as well as precursor species. The utilization of simple precursor molecules with well-defined chemical structure will lead to better control on the properties of prepared Fe-N-C electrocatalysts.

The identification of ORR active site is of great importance for the rational design of high ORR performance electrocatalyst. Actually, the real active sites which are responsible for operating the ORR process on Fe-N-C catalysts have been debated since relatively long time due to possible formation of multiple active species upon high temperature pyrolysis. For instance, the

metallic Fe [310, 311], oxidative  $\text{Fe}_x\text{O}_y$  [312-314], carbide  $\text{Fe}_x\text{C}$  [147, 315] as well as nitride  $\text{Fe}_x\text{N}$  [223, 316, 317] nanoparticles encapsulated into N-doped carbon layer have been proposed either as an active site itself or as co-catalyst exerting synergistic effect together with  $\text{FeN}_x$  active sites. Existence of the multiple possible coordination of N-sites, such a pyridinic N and graphitic N, which are active toward ORR particularly in alkaline media, further complicates the analysis of the nature of active site. Although the controversy still exists in regards to a relative activity of Fe-based species, the  $\text{FeN}_x$  moiety is commonly considered to be most active sites with highly efficient 4-electron oxygen reduction [113, 114]. The activity of  $\text{FeN}_x$  moiety can be further altered by tuning d-electron density of Fe center through heteroatom doping (e.g. O, S, B) [283, 318, 319] or by changing carbon  $\text{sp}^2$ -plane size [320]. Alternatively, the most intuitive approach is to increase Fe loadings which enriches the total density of  $\text{FeN}_x$  active sites. Zhao et al [321] prepared Fe-N-C catalyst with a metal loading up to 12.1 wt % using cascade anchoring strategy. The as-prepared Fe-N-C catalyst shows a superior ORR activity with a half-wave potential of 0.90 V in 0.1 M KOH. However, in many cases, the excess Fe loadings inevitably generate less active metallic and/or carbide particles with possible deterioration of ORR activity, thus optimal Fe loading has to be optimized. Moreover, Kucernak's group revealed that even in the case of Fe almost exclusively dispersed into  $\text{FeN}_x$  sites, only a small proportion (less than 5 %) of these sites was actually involved in the ORR process [322], assuming that majority of sites may be hidden within the bulk of carbon and inaccessible for electrolyte/reactants. On this regard, increasing of exposure of  $\text{FeN}_x$  sites is another viable strategy of improvement of ORR activity. Lee et al [323] investigated systematically the roles of macro and mesopore on the ORR performance of Fe-N-C. They found that mesopores serve as a channel for reaction medium accessibility into active sites, while macropores significantly decrease mass transport resistance of the electrocatalyst. Hence,

the rational design of hierarchical porous structure with interconnected macro, meso, and micropores not only affords high surface area for hosting more underlying active sites but also facilitate the ORR kinetic due to the improved exposure of active sites and facile mass (reactant/product) transfer.

In our last work we have reported an original and general synthetic route for atomically dispersed Fe-N-C catalysts with aid of ancillary monodentate thiocyanate ligand [324]. The synthesis allowed fine control on the composition of the final product, but required a step of high temperature annealing in  $\text{NH}_3$  atmosphere to develop a carbon structure optimal for ORR electrocatalyst. In this present work, a modified strategy is reported, allowing to avoid  $\text{NH}_3$  annealing at high temperature by using inorganic salt  $(\text{NH}_4)_2\text{CO}_3$  as single nitrogen precursor and citric acid as only carbon precursor. With the aid of silica template, the as-prepared catalyst presents favorable hierarchical porous structure containing interconnected micropores, bimodal mesopores and macropores with tunable pore distribution. Importantly, N-doping level can be finely tuned using separated sources for carbon and nitrogen in this synthesis and respective formation mechanism of atomic Fe-decorated hierarchical porous carbon is proposed. To our best knowledge, this is the first example of a synthesis of Fe-N-C catalyst by the use of separated N and C source instead of using gas phase N resource ( $\text{NH}_3$ , etc.). As a result, the optimal catalyst exhibits outstanding ORR activity and stability as well as methanol tolerance, outperforming commercial Pt/C catalyst. Moreover, the ORR reactivity dependence on the level of N-doping is observed. Systematic investigation reveals that both porphyrin-like single Cl-FeN<sub>4</sub> sites and pyridinic N are active sites in ORR with Cl-FeN<sub>4</sub> moieties being the most active sites, and Fe/Fe<sub>3</sub>O<sub>4</sub> metal nanoparticles show no activity for ORR in alkaline media. This work highlights the great promises of cost-effective Fe-N-C in electrocatalysis and offers a completely new route for the

synthesis of Fe-N-C catalyst. Last but not least, this work also presents a general strategy for the synthesis of other single metal atom catalysts with superior performance for other applications.

## 2. Results and discussion

### 2.1 The formation mechanism of Fe/NHPC catalyst

Ammonium carbonate ( $(\text{NH}_4)_2\text{CO}_3$ ), one of the cheapest inorganic ammonium salts, has been extensively applied in the field of fertilizer industry. Utilization of this abundant and ecofriendly inorganic feedstock in the synthesis of atomically dispersed Fe-N-C electrocatalyst is challenging, especially due to its low decomposition temperature (as low as 60 °C). We propose a synthesis route for atomic dispersed Fe decorated N-doped hierarchical porous carbon employing silica template-assisted strategy with citric acid as single carbon source, and  $(\text{NH}_4)_2\text{CO}_3$  as single N-precursor. The entire synthetic procedure takes full advantage of strong coordination of citric acid with cations ( $\text{Zn}^{2+}$ ,  $\text{Fe}^{3+}$  and  $\text{NH}_4^+$ ).



Figure 1. The digital picture of pH value for precursor sol solution of model catalyst.

First of all, the mixture of citric acid,  $(\text{NH}_4)_2\text{CO}_3$ , silica nanosphere powder are dissolved into deionized water to form uniform liquid sol, during which  $(\text{NH}_4)_2\text{CO}_3$  is subjected to initial hydrolysis accompanied by release of  $\text{CO}_2$  gas and the formation of large number of  $\text{NH}_4^+$  in the aqueous solution. After adding metal salts,  $\text{Zn}^{2+}$  and  $\text{Fe}^{3+}$  cations can be readily complexed by citrate ion due to the strong coordination interaction [325]. The total contents of metal cations (1.87 mmol) are much lower than that of carboxylate ion (31.23 mmol based on addition amount of citric acid) giving rise to the formation of ammonium citrate [14, 15]. The pH of sol precursor solution is measured to be about 8.5 (Figure 1), higher than isoelectric point ( $\text{pH} = 2.5$ ) of  $\text{SiO}_2$  aqueous solution, implying that the surface of silica nanosphere is negatively charged. Hence, excess  $\text{NH}_4^+$  ions are presumably adsorbed at surface of silica nanosphere. After drying at  $110\text{ }^\circ\text{C}$  a solid deposit is obtained. Upon pyrolysis under Ar at  $900\text{ }^\circ\text{C}$ , the black power is formed. After silica template removal by NaOH etching, the atomic Fe decorated hierarchical porous carbon, referred to as  $^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  is finally produced by the second thermal treatment in Ar at  $900\text{ }^\circ\text{C}$ . On the contrary, the viscous gel-like substance is formed after drying the solution of citric acid, ammonium carbonate, and metal salts, when no silica template particles are added. After pyrolysis under Ar at  $900\text{ }^\circ\text{C}$ , the silver-gray foam product is obtained which is also subjected to second thermal treatment in Ar at  $900\text{ }^\circ\text{C}$  (Figure 2).

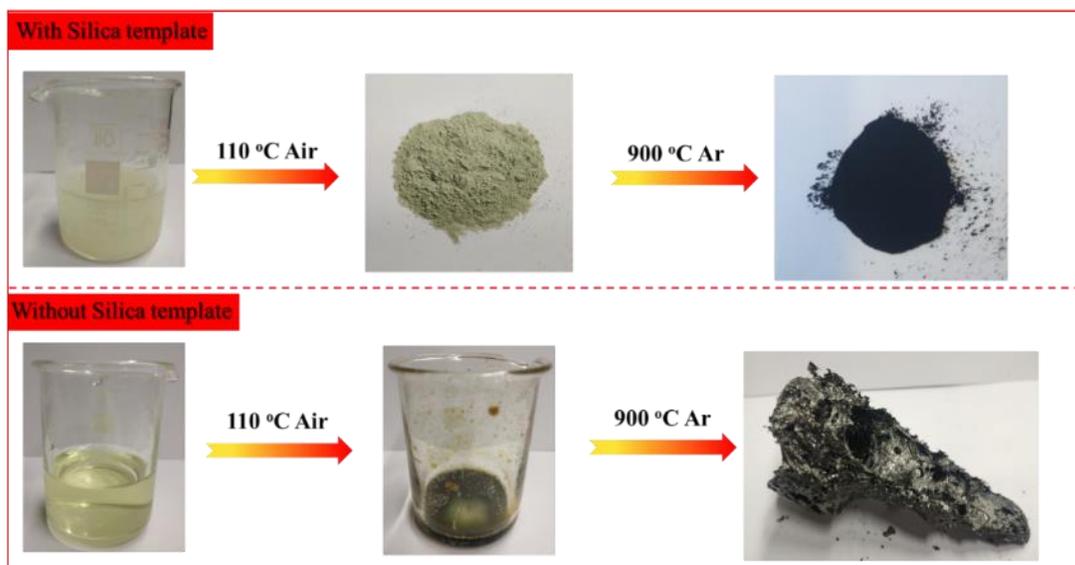


Figure 2. The digital picture of synthetic procedure of model catalyst  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  (above), and control sample  ${}^2\text{Fe}/\text{NC}^{5\text{AC}}\text{-w/o SiO}_2$  (below).

In order to understand the processes of pyrolysis of precursors mixture and formation mechanism of atomic Fe decorated N-doped hierarchical porous carbon, TPD-MS experiment of precursor powder of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  obtained after 110 °C dryness are first conducted. As Figure 3 shows, the main mass loss occurs with the temperature range from 100 °C to 500 °C along with generation of considerable amount of  $\text{H}_2\text{O}$  ( $m/z = 18$ ),  $\text{CO}_2$  ( $m/z = 44$ ),  $\text{NH}_3$  ( $m/z = 17$ ) and small portion of  $\text{H}_2$  ( $m/z = 2$ ),  $\text{O}_2$  ( $m/z = 32$ ),  $\text{CO}$  ( $m/z = 28$ ). This process is attributed to the dehydration along with thermal decomposition of citrate ions and  $\text{NH}_4^+$  in the precursor mixture. The process results in the formation of citraconic anhydride and itaconic anhydride [326], during which the evolved  $\text{NH}_3$  reacts with oxygen-containing functional groups of carbon network resulting in the in-situ N-doping. At the temperature above 500 °C, a significant amount of  $\text{H}_2$  is gradually released, along with generation of small amount  $\text{CO}$ , which can be attributed to dehydrogenation carbonization process with formation of amorphous carbon and decomposition of more thermal-

resistant oxygen function groups [327]. As pyrolysis temperature increases, Fe atoms are reduced by amorphous carbon and trapped by N atoms, leading to the formation of highly stable  $\text{FeN}_x$  active sites incorporated into carbon matrix. When pyrolysis temperature reaches up to 900 °C, the metal Zn is vaporized and leave micropore channel, which is highly beneficial for hosting more  $\text{FeN}_x$  active sites thus improvement of ORR activity.

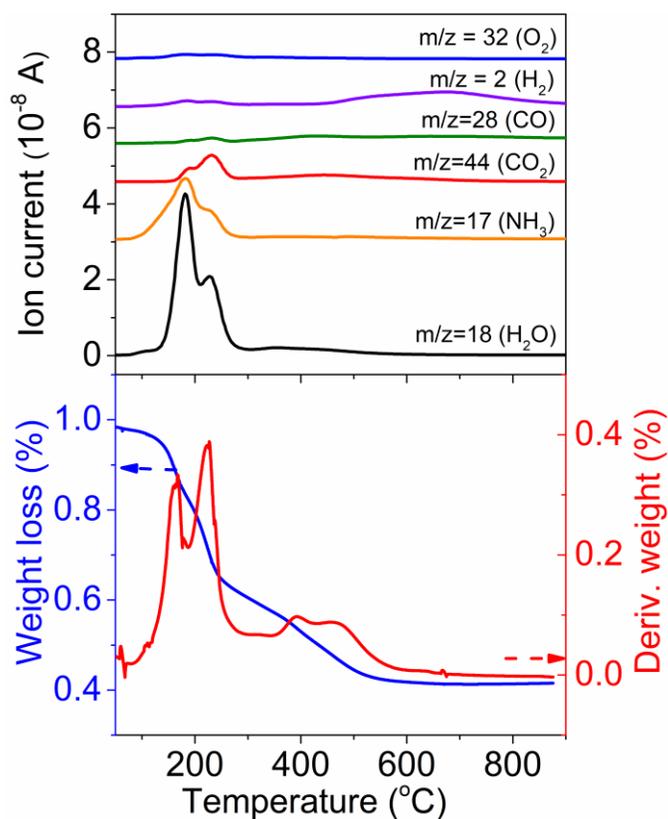


Figure 3. TPD-MS (above) and corresponding TGA plots (below) of precursor powder of  $^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  after a drying step at 110 °C.

$\text{N}_2$  adsorption isotherms for catalysts prepared with different amount of  $(\text{NH}_4)_2\text{CO}_3$  are then measured to get an insight into the formation of porous structure containing atomic Fe catalyst. As Figure 4A shows, all investigated samples display type IV isotherm profiles with markedly type-

H3 hysteresis loop, a typical characteristic of mesoporous carbon structure [328]. Dependencies of BET specific surface area (SSA) and relative pore volume on the amount of  $(\text{NH}_4)_2\text{CO}_3$  are presented in Figure 4B. The SSA of catalyst prepared in the absence of  $(\text{NH}_4)_2\text{CO}_3$  is  $931 \text{ m}^2 \text{ g}^{-1}$ . An introduction of small amount of  $(\text{NH}_4)_2\text{CO}_3$  (0.5 g) induces a slight decrease in surface area ( $840 \text{ m}^2 \text{ g}^{-1}$ ). However, further increase of  $(\text{NH}_4)_2\text{CO}_3$  amount results in increase in SSA to  $925 \text{ m}^2 \text{ g}^{-1}$  for  $^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  and  $1036 \text{ m}^2 \text{ g}^{-1}$  for  $^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ . The relative volumes of micro-, meso-, as well as total pore volume monotonically increase with the increasing of amount of  $(\text{NH}_4)_2\text{CO}_3$ . In order to better understand the role of  $(\text{NH}_4)_2\text{CO}_3$  in the formation of the catalyst porous structure, we analyze the dependence of relative surface area and volume of micro-, meso- and macropores as function of the added amount of  $(\text{NH}_4)_2\text{CO}_3$ , for detail see Figure 4B and Table 1. Compared with N-free catalyst, addition of small amount of  $(\text{NH}_4)_2\text{CO}_3$  (0.5 g) results in significant increase in surface area and micropores volume. However, a decrease in surface area of mesopores is observed, while their relative volume is increased. This can be rationalized by the fact that the total length of mesopores becomes slightly shorter but their average diameter increases as  $(\text{NH}_4)_2\text{CO}_3$  is introduced. Therefore, the surface area, proportional to the product of length and diameter, is decreasing, while their volume, proportional to product of length and square of diameter, is increasing. Indeed, an average pore diameter, estimated from the ratio of volume to area (Table 1), is increasing from ca. 7.8 nm to ca. 12.8 nm with addition of  $(\text{NH}_4)_2\text{CO}_3$ . We can speculate that  $\text{NH}_3$  evolution produces a large number of micropores by etching carbon matrix during pyrolysis, and hardly contributes new mesopores which results in shortening/broadening of mesopores formed by silica template. Further increase in  $(\text{NH}_4)_2\text{CO}_3$  amount (5 g) results in a slight increase in average diameter of mesopores (13.3 nm), and gradual increase in both pores volume and surface area, while for larger amount of  $(\text{NH}_4)_2\text{CO}_3$  added (8 g) a slight decline in average pore

diameter is detected (12.7 nm). In this case, mesopores surface area improves sharply while the micropores surface area and pores volume reach up to saturation and shows limited improvement. The observation suggests that an excess amount of  $\text{NH}_3$  probably forms bubbles, enabling the formation of new mesopores. The conclusion is further verified by analysis of pore size distribution.

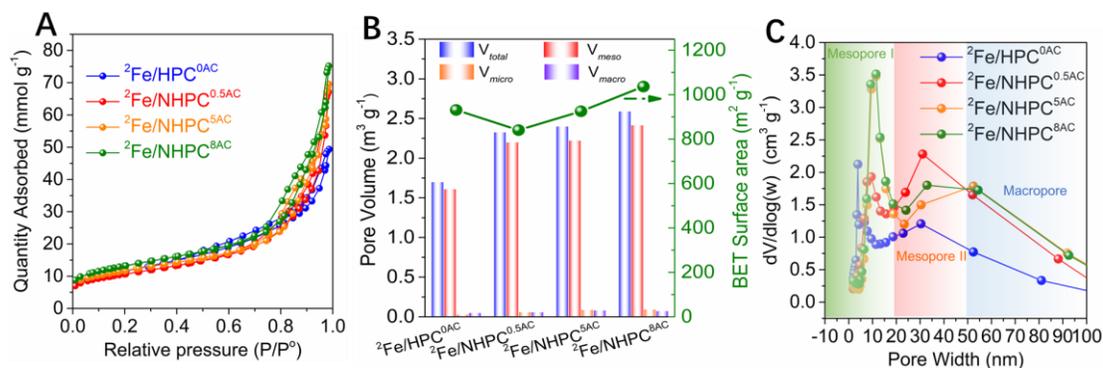


Figure 4. (A)  $\text{N}_2$  adsorption-desorption isotherm plots for samples with different amount of  $(\text{NH}_4)_2\text{CO}_3$  recorded at 77 K; (B) The correlation plots of specific surface area (SSA) and pore volume as function of addition of  $(\text{NH}_4)_2\text{CO}_3$ ; (C) The respective pore size distribution using the desorption branch of  $\text{N}_2$  isotherms (BJH method, the data recorded with a pore width from 1.7 nm to 100 nm).

As shown in Figure 4C, all samples show hierarchical porous features with two apparent mesopore regions along with a small amount of macropores. The bimodal mesopore contains two domains: with low pore diameter region (Mesopore I) mostly presented by silica template-derived mesopores, and larger mesopores region with broad diameter distribution (Mesopore II) mostly formed consecutively by gas ( $\text{CO}_2$ ,  $\text{CO}$ ,  $\text{H}_2$ ,  $\text{NH}_3$ ) generation during pyrolysis. Without addition of  $(\text{NH}_4)_2\text{CO}_3$ , the main peaks in region I is centered at ca. 7 nm, well in accordance with the size of silica template (7 nm). The peak gradually positively shifts to 9.5 nm for  ${}^2\text{Fe}/\text{NHPC}^{0.5\text{AC}}$ , 11.5 nm for both  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  and  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ , respectively.

Table 1. Textural properties of as-obtained catalysts

Sample	Total SSA <sup>a</sup> (m <sup>2</sup> g <sup>-1</sup> )	SSA <sub>micro</sub> (m <sup>2</sup> g <sup>-1</sup> )	SSA <sub>meso</sub> (m <sup>2</sup> g <sup>-1</sup> )	Total V <sup>b</sup> (cm <sup>3</sup> g <sup>-1</sup> )	V <sub>micro</sub> <sup>c</sup> (cm <sup>3</sup> g <sup>-1</sup> )	V <sub>meso</sub> (cm <sup>3</sup> g <sup>-1</sup> )	Average pore size <sup>d</sup> (nm)
<sup>2</sup> Fe/HPC <sup>0AC</sup>	931	85	831	1.70	0.03	1.61	7.8
<sup>2</sup> Fe/NHPC <sup>0.5AC</sup>	840	141	661	2.33	0.06	2.20	12.8
<sup>2</sup> Fe/NHPC <sup>5AC</sup>	925	205	677	2.40	0.09	2.23	13.3
<sup>2</sup> Fe/NHPC <sup>8AC</sup>	1036	213	785	2.59	0.10	2.42	12.7
NHPC <sup>5AC</sup>	1158	293	809	2.93	0.14	2.01	13.9
w/o ZnCl <sub>2</sub>	828	196	591	2.28	0.09	2.12	14.0

<sup>a</sup> Brunauer-Emmett-Teller (BET) specific surface area (SSA) measured at T = 77 K. <sup>b</sup> Total pore volume determined using the adsorption branch of N<sub>2</sub> isotherm at P/P<sub>0</sub> = 0.98. <sup>c</sup> Determined by t-plot method. <sup>d</sup> Determined by BJH desorption average pore width (4V/A).

Table 2. Chemical composition properties of as-obtained catalysts

Sample	XPS data							
	Element composition <sup>a</sup> (at. %) <sup>c</sup>			N species (at. %) <sup>b</sup>				
	Carbon	Oxygen	Nitrogen	Pyridinic	Pyrrolic	Graphitic	Oxidized	Fe-N
<sup>2</sup> Fe/HPC <sup>0AC</sup>	96.21	3.79	-	-	-	-	-	-
<sup>2</sup> Fe/NHPC <sup>0.5AC</sup>	88.90	6.24	4.85	1.74	1.37	0.82	0.3	0.64
<sup>2</sup> Fe/NHPC <sup>5AC</sup>	88.59	5.07	6.35	1.96	1.47	1.18	0.6	1.13
<sup>2</sup> Fe/NHPC <sup>8AC</sup>	88.04	4.30	7.66	3.30	2.28	1.17	0.36	0.55
NHPC <sup>5AC</sup>	88.86	5.09	6.05	2.69	2.31	0.60	0.45	-
w/o ZnCl <sub>2</sub>	89.20	3.96	6.84	1.95	2.03	1.44	0.66	0.78

<sup>a</sup> Determined by XPS analysis. <sup>b</sup> Determined by high resolution XPS N 1s core region and the product of relative deconvoluted peak and total nitrogen content on each sample.

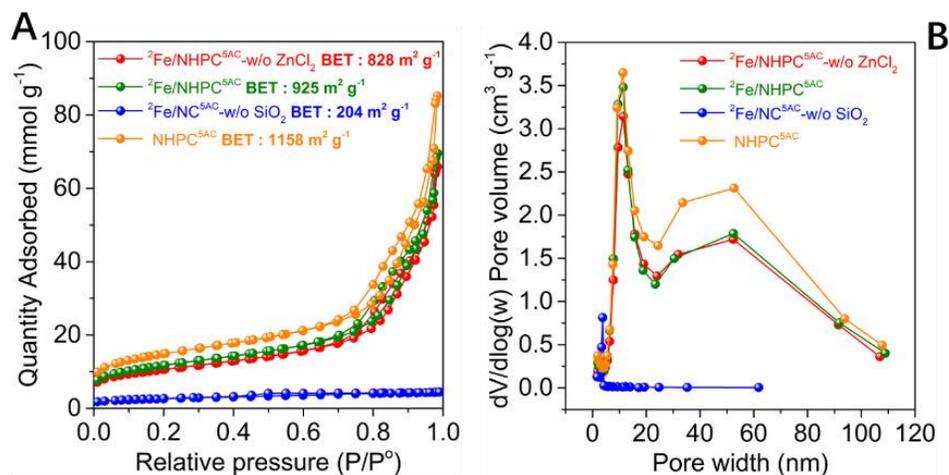


Figure 5. A) N<sub>2</sub> adsorption-desorption isotherm linear plot of <sup>2</sup>Fe/NHPC<sup>5AC</sup>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub>, <sup>2</sup>Fe/NC<sup>5AC</sup>-w/o SiO<sub>2</sub>, and NHPC<sup>5AC</sup> recorded at 77 K and respective pore size distribution determined by BJH method using the desorption branch of N<sub>2</sub> isotherm (the data recorded with a pore width from 1.7 nm to 100 nm).

Likewise, in the region II, the density of large mesopores (ca. 31 nm) increases with addition of 0.5 g (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>. The further increase in amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> leads to an increase of pores density, both small (11.5 nm) and large mesopores with wider pore size dimension (ca. 52 nm), which could be induced by the formation of NH<sub>3</sub> which is at the origin of pore expansion and formation of new mesopores. It can be also mentioned that the macropores in samples prepared with (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> have larger relative pore volume and surface area as compared to N-free samples. It is still believed that macropores play a critical role for the improved electrochemical performance due to kinetic accessibility of active sites during electrocatalytic reaction [323]. N<sub>2</sub> adsorption isotherms for <sup>2</sup>Fe/NC<sup>5AC</sup>-w/o SiO<sub>2</sub> and <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub> have also been performed to investigate the ZnCl<sub>2</sub> and silica template effects on the textural nature. The BET surface area of sample prepared without silica template is 204 m<sup>2</sup> g<sup>-1</sup> (Figure 5A), much lower than

that of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ , suggesting the pivotal role of silica for the formation of porous structure. The introduction of  $\text{ZnCl}_2$  does not significantly affect micropore surface area with only slight enhancement of mesopore surface area compared to that on  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}\text{-w/o ZnCl}_2$  (Table 1). Similar pore size distribution (Figure 5B) for both  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  and  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}\text{-w/o ZnCl}_2$  further confirms the introduction of  $\text{ZnCl}_2$  indeed has a negligible contribution for the generation of micropores and the change of pore structure. The observation differs from often reported conclusions that  $\text{ZnCl}_2$  can serve as micropore-forming agent due to its “escape pore” after evaporation at high temperature. In present work, the generation of micropore is governed by  $\text{NH}_3$  evolution as aforementioned, and  $\text{ZnCl}_2$  is likely positive beneficial to improvement of ORR activity in different manner. For instance, the Zn atoms can also be acted as isolator to enlarge distance between Fe atoms, which prevents the aggregation of Fe atoms at high pyrolysis temperature and consequently promotes the  $\text{FeN}_x$  active sites density [329]. Further evidences on this hypothesis will be given in the following part.

Based on the results discussed above, the schematic illustration of the formation mechanism of atomic Fe decorated hierarchical porous carbon is proposed in Figure 6. The strong coordination ability of citric acid results in interaction between citrate ion and metal cations as well as  $\text{NH}_4^+$  ions, while the excess  $\text{NH}_4^+$  is adsorbed on the surface of silica nanosphere due to electrostatic interaction. The gas-solid interfacial reaction occurred on the rigid outer surface of silica nanosphere, resulting in decomposition of precursors, in-situ N-doping, carbonization, and formation of  $\text{FeN}_x$  active sites. To be specific, as the temperature of pyrolysis increases, the decomposition of citrate complexes and  $\text{NH}_4^+$  result in generation of a large amount of gas. Gases issued from decomposition of citrate, i.e.  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{H}_2\text{O}$ , etc., primary escape from precursor matrix, forming large mesopores and small portion of macropores with wide pore size distribution.

On the other hand,  $\text{NH}_3$  formed from the decomposition of  $\text{NH}_4^+$  on the surface of silica nanoparticles, produces a large number of escape channels. These escape channels further enlarge the pore size of small mesopores derived from silica etching. Simultaneously, the escaping  $\text{NH}_3$  readily reacts with carbon matrix and O-containing functional groups of carbon precursor [330], along solid-gas interface, leading to the formation of micropores and in-situ N-doping at relatively low temperature. As pyrolysis temperature increases, Fe atoms are trapped by N atoms and  $\text{FeN}_x$  active sites are formed along the channel walls, in which rigid interface plays an important role in suppressing the aggregation of Fe atoms and consequently prevent the formation of large Fe particles. As temperature reaches up 900 °C, the metal Zn reduced by carbon is volatilized due to its relatively low boiling point, which enables the achievement of more densely  $\text{FeN}_x$  active sites due to the effect of steric hindrance (the presence of Zn atoms enlarging the distance between Fe atoms). Finally, the atomic Fe decorated N-doped hierarchical porous carbon consisting of interconnected micropores, bimodal mesopores and macropores is obtained. Such a hierarchical structure significantly facilitates fast mass transport and offers efficient utilization of  $\text{FeN}_x$  sites. More importantly, the porosity of as-prepared catalysts can be easily tuned ranging from micro- to macro pores scale by adjusting the addition amount of  $(\text{NH}_4)_2\text{CO}_3$ . All of these compelling features are highly beneficial for an electrochemical process.

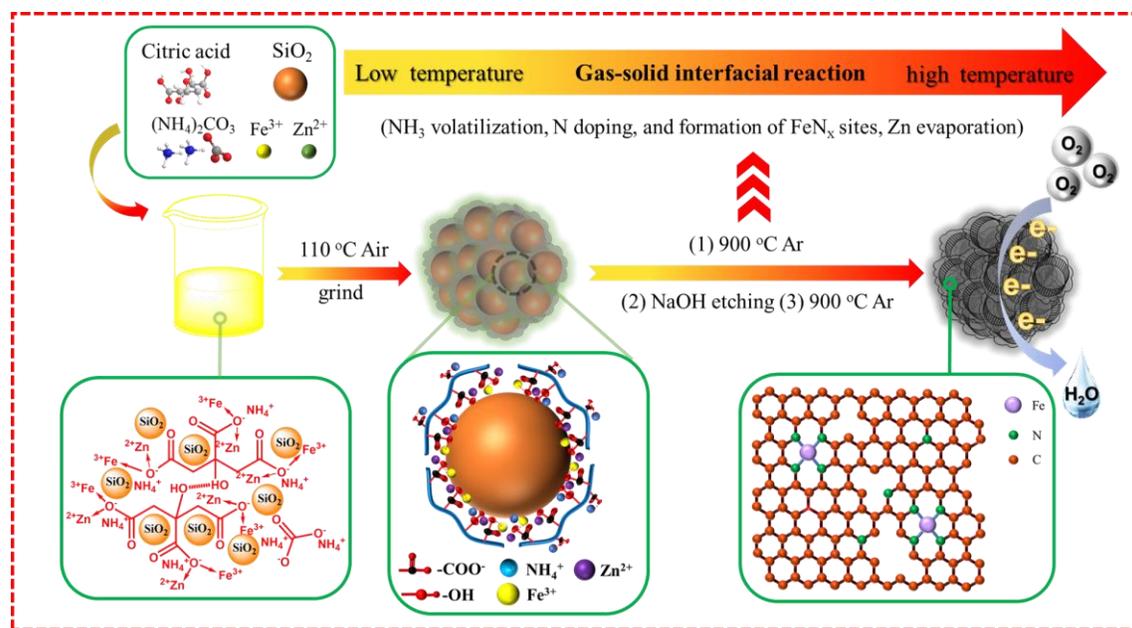


Figure 6. The proposed schematic illustration for the formation of atomic Fe decorated N-doped hierarchical porous carbon.

## 2.2 Structural characterization of as-prepared catalysts

X-ray diffraction (XRD) patterns (Figure 7A) of as-prepared catalysts shows the formation of  $\text{Fe}_3\text{C}$  nanoparticles in absence of  $\text{SiO}_2$  template. Well defined peak at  $26^\circ$  of this sample suggests the enhanced graphitization in the  $^2\text{Fe}/\text{NC}^{5\text{AC}}$ -w/o  $\text{SiO}_2$  in comparison to samples prepared with silica template. On the contrary, when silica template is used, only Fe metallic phases, and no  $\text{Fe}_3\text{C}$ , are found, even though no  $(\text{NH}_4)_2\text{CO}_3$  is added. This result indicates that  $\text{SiO}_2$  template plays critical role in suppressing the formation of  $\text{Fe}_3\text{C}$  phase. All samples with simultaneous presence of  $(\text{NH}_4)_2\text{CO}_3$  and  $\text{SiO}_2$  template exhibit two broad peaks located at  $24.4^\circ$  and  $44^\circ$ , which can be assigned to (002) and (100) planes of graphitic carbon, respectively. No other peaks associated

with Fe metal phase are observed, suggesting that Fe is predominantly atomically dispersed into single site within the carbon matrix.

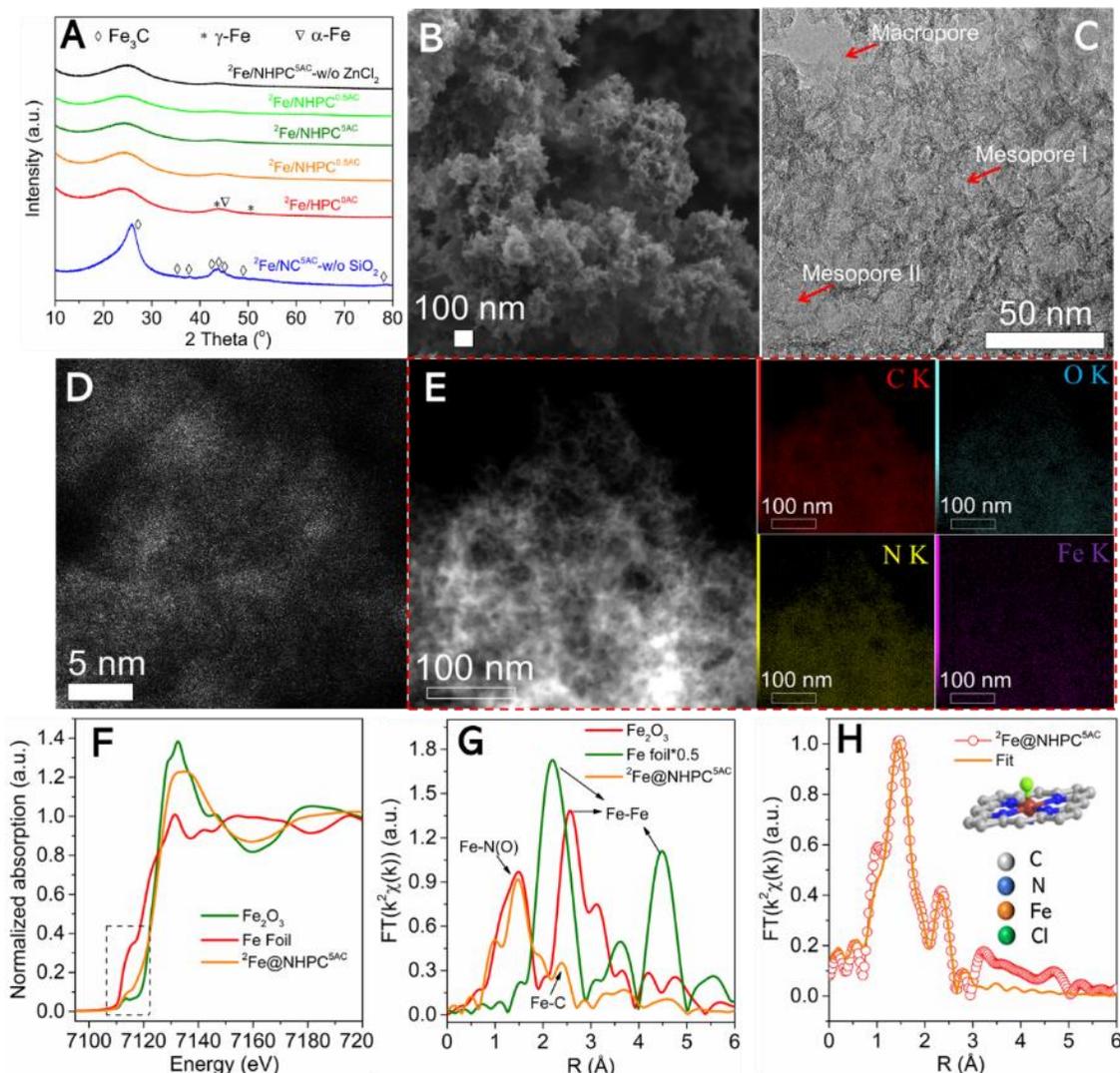


Figure 7. (A) XRD profile of as-prepared catalysts; (B) representative SEM image; (C) TEM image; (D) HAADF-STEM image, and (E) EDX elemental mapping at a selected region of model catalyst  ${}^2\text{Fe}/\text{NHPC}^{\text{SAC}}$ ; (F) The normalized XANES spectra at the Fe K-edge of  ${}^2\text{Fe}/\text{NHPC}^{\text{SAC}}$  and respective Fe foil and  $\text{Fe}_2\text{O}_3$  reference samples; (G) The  $k^2$ -weighted Fourier transform spectra of  ${}^2\text{Fe}/\text{NHPC}^{\text{SAC}}$  catalyst as well as reference samples; (H) The corresponding EXAFS fitting curves of the  ${}^2\text{Fe}/\text{NHPC}^{\text{SAC}}$  with Cl-Fe-N<sub>4</sub> model.

Scanning electron microscope (SEM) in Figure 7B reveals the flower-like morphology with highly developed pore structure and open carbon network framework of model catalyst  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ . In a sharp contrast, only solid bulk carbon with poor porosity is found for the sample prepared without silica-template (Figure 8A-B), demonstrating a key role of silica template in the formation of highly developed porous structure. Transmission electron microscopy (TEM) at low magnification with different selected region (Figure 9A-C) showing an overview of microscopic nature of model catalyst, suggests that almost no nanoparticle is present and Fe atoms probably exist in atomically dispersed form. The high-resolution TEM image (Figure 7C) shows a hierarchical porous structure with distinctive pore size scale spanning from meso- to macropore forming interconnected networks, in line with the observation by BET and SEM analysis. HAADF-STEM image (Figure 7D) shows a number of bright spots assigned to heavier Fe atoms that are observed across the carbon framework, unambiguously suggesting the formation of single Fe atoms. The energy-dispersive X-ray (EDX) mapping (Figure 7E) has presented a homogeneous distribution of Fe and N along with C, O elements, further confirming the formation of atomically dispersed  $\text{FeN}_x$  moieties over N-doped porous carbon.

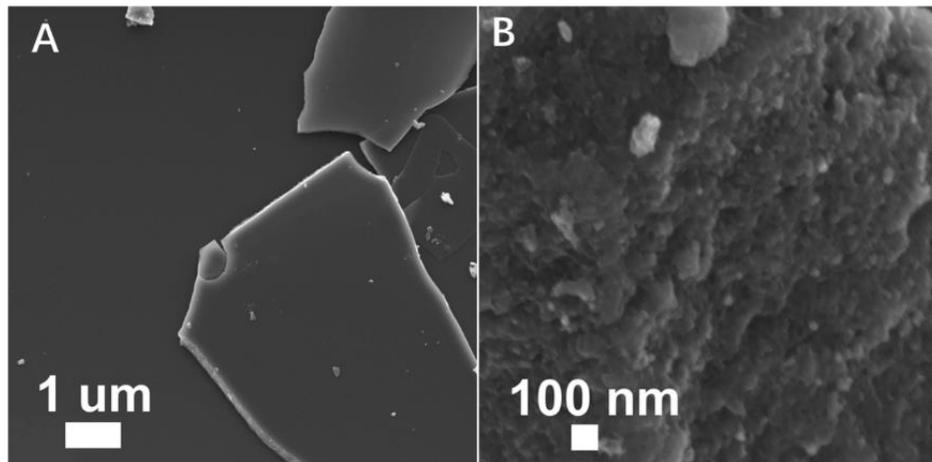


Figure 8. SEM images of  ${}^2\text{Fe}/\text{NC}^{5\text{AC}}$ -w/o  $\text{SiO}_2$  at low (A) and high (B) magnification, respectively.

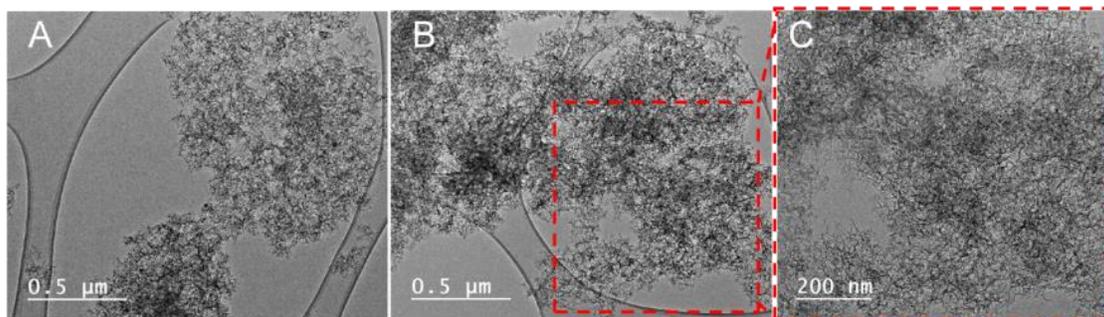


Figure 9. The overview of low-magnification TEM images at different selected region (A and B) and corresponding high-resolution image (C).

To better identify active site structure of  $\text{FeN}_x$  sites, X-ray absorption spectroscopy (XAS) is performed to obtain more coordination information. Figure 7F shows X-ray absorption near edge structure (XANES) spectra at the Fe K edge of model catalyst. The absorption pre-edge peak at 7118 eV assigned to  $1s - 4p_z$  shakedown transition (e.g. typical FePc structure) is of no presence for  $^2\text{Fe@NHPC}^{5\text{AC}}$ , revealing the broken  $D_{4h}$  symmetry [183]. In this case, an absorption pre-edge peak appeared at 7113.6 eV whose peak intensity lies between  $\text{Fe}_2\text{O}_3$  and Fe foil, suggesting that the tetrahedral or distorted octahedral geometries feature is dominated in our system [331]. The finding further suggests that the Fe single atom is probably coordinated in the form of Fe- $\text{N}_4$  moiety with porphyrin-like structure [332]. Of note is that the absorption edge ( $1s - 4p$  transition) of model catalyst is nearly superimposed on the  $\text{Fe}_2\text{O}_3$  reference sample, which demonstrates the valence state of  $\text{Fe}^{3+}$  is prevalent in the sample  $^2\text{Fe@NHPC}^{5\text{AC}}$ . This result is different from most usually report on single Fe atom supported carbon carrier with mixture chemical valence of + 2 and + 3. In this case, the Fe ions is oxidized from + 2 to + 3 during the pyrolysis, which is closely associated with involvement of more electronegative heteroatoms [333]. The Fourier-transformed (FT)  $k^2$ -weighted extended X-ray absorption fine structure (EXAFS) is further conducted to get more

insight into coordination structure of sample. As Figure 7G shows, the pronounced Fe-Fe backscattering signals can be found at 2.20 Å and 2.58 Å in the Fe foil and Fe<sub>2</sub>O<sub>3</sub> samples, respectively [334]. On the contrary, the sample <sup>2</sup>Fe@NHPC<sup>5AC</sup> exhibits a prominent peak at 1.47 Å, assigned to Fe-N(O) in the first coordination shell, while another peak at 2.39 Å can be ascribed to Fe-C distance of the second neighbor shell [147]. No Fe-Fe bonds signal is detected, indicating the single metal Fe is incorporated into carbon matrix in the sample. The observation is consistent with results obtained by the XRD and HAADF-STEM techniques. The curve-fitting result of FT-EXAFS (Figure 7H and Table 3) on the model catalyst reveals that the average coordinated number of Fe-N contribution is ca. 3.4, suggesting conventional Fe-N<sub>4</sub> moiety structure. Interestingly, the additional Fe-Cl contribution in the first coordination shell with coordination number of ca. 1 is also observed, in which Cl atom is primarily originated from the ZnCl<sub>2</sub> in the starting precursor.

Table 3. Structural data from the fitting of Fe K-edge EXAFS signal in the <sup>2</sup>Fe@NHPC<sup>5AC</sup>.

Path	Coord. Numb.	R(Å) <sup>a</sup>	$\sigma^2$ (10 <sup>-2</sup> Å <sup>2</sup> ) <sup>b</sup>	$\Delta E_0$ <sup>c</sup>	R-factor <sup>d</sup>
Fe-N	3.4 ± 0.2	1.97 ± 0.01	2.9 ± 0.9	-2.8 ± 0.4	0.009
Fe-Cl	1.0 ± 0.1	2.32 ± 0.01	2.7 ± 1.2	4.6 ± 0.9	-
Fe-C	4.8 ± 0.6	2.90 ± 0.01	3.8 ± 1.9	-2.8 ± 0.4	-

<sup>a</sup> Interatomic distance. <sup>b</sup> Debye-Waller factor. <sup>c</sup> Difference in the threshold Fermi level between data and fit. <sup>d</sup> Goodness of fit parameters.

Therefore, the nature of active sites can be proposed as Fe-N<sub>4</sub> center axially coordinated with one chloride anion, make it a Cl-FeN<sub>4</sub> configuration (see picture in inset of Figure 7H). Indeed, the existence of Fe-Cl coordination shall be responsible for the predominant chemical state of Fe<sup>3+</sup> revealed in the XANES analysis, further reinforcing the accuracy of fitting results. Worthy of note, such active site structure is similar to porphyrin-based macrocycle compound

(Iron(tetraphenylporphyrinato) chloride (FeTPPCl)) which is known to be high active toward ORR albeit with the unsatisfied durability [335, 336]. Such an active sites structure similar to macrocycle molecular catalyst presents two compelling benefits: I) the unique nature of active site inherits advantage of high ORR activity of macrocycle compound molecular catalyst; II) at odds with conventional macrocycle compounds which are known to be less stable in ORR process, the single FeN<sub>4</sub>Cl site is strongly stabilized in inert carbon matrix consequently giving rise to much enhanced durability toward ORR. All of these advantages make such carbon-based single-phase system ideal candidates to act as efficient electrocatalyst toward ORR with high active and stability.

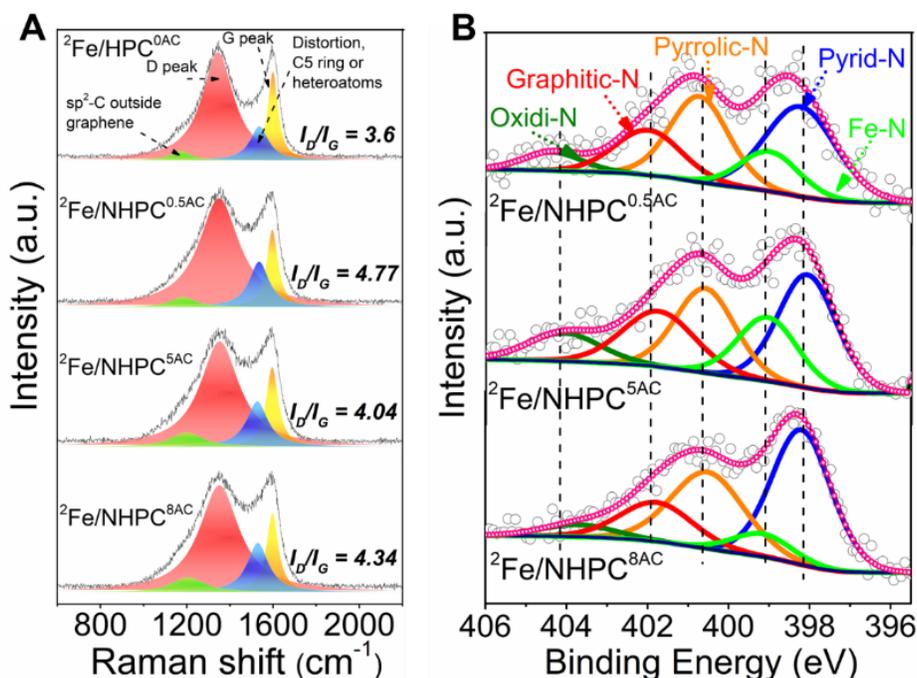


Figure 10. (A) Raman spectra of samples using various amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>; (B) XPS spectra of high-resolution N 1s core region in the N-containing catalysts.

Raman measurements are carried out to get a deeper insight into carbon structure information of catalysts prepared with different amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>. As shown in Figure 10A, the spectra of

all samples are well fit by four Lorentzian peaks. The dominating peaks at 1596 cm<sup>-1</sup> and 1352 cm<sup>-1</sup> are ascribed to G and D bands of carbon material, typically characteristic resonance of sp<sup>2</sup>-hybridized carbon atoms within ideal graphene layer and carbon atoms close to edge of graphene sheet or structural defect, respectively [337]. The intensity ratio of D band and G band can be used as indicator for a density of defects of specific carbon-based materials. The calculated  $I_D/I_G$  values for <sup>2</sup>Fe/NHPC<sup>0.5AC</sup>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>, <sup>2</sup>Fe/NHPC<sup>8AC</sup> are 4.77, 4.04, 4.34, respectively, higher than that of N-free sample (3.6), indicating the introduction of N increases the more edge defects and disorder carbon. The two weak peaks centered at 1200 cm<sup>-1</sup> and 1526 cm<sup>-1</sup> are attributed to carbon atoms outside of perfectly planar graphene networks associated with aliphatic and amorphous carbon, and distortion carbon structure related to five-members or heteroatoms, respectively [338]. Both of these two peaks proportion gradually improve as the increase of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> (Table 4), suggesting that more N heteroatoms are introduced into carbon matrix.

Table 4. Raman fitting results for samples prepared by using different amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>

Sample	Deconvoluted results <sup>a</sup> (Area. %)					
	Sp <sup>2</sup> carbon Outside	D peak	Distortion C5 ring Heteroatom	G peak	<sup>b</sup> $I_D/I_G$	<sup>c</sup> $L_a$ (nm)
<sup>2</sup> Fe/HPC <sup>0AC</sup>	3.77	65.63	12.36	18.24	3.60	5.34
<sup>2</sup> Fe/NHPC <sup>0.5AC</sup>	4.00	67.38	14.48	14.13	4.77	4.03
<sup>2</sup> Fe/NHPC <sup>5AC</sup>	7.00	62.36	15.19	15.43	4.04	4.76
<sup>2</sup> Fe/NHPC <sup>8AC</sup>	7.16	62.53	15.92	14.40	4.34	4.43

<sup>a</sup> fitting by Lorentz method, <sup>b</sup> determined by the area ratio between D peak and G peak, <sup>c</sup> calculated by the general equation proposed by Cançado et al [339]:  $L_a(\text{nm}) = (2.4 \times 10^{-10}) \lambda^4 (I_D/I_G)^{-1}$ , where  $\lambda$  is referred to wave length of laser (532 nm).

Table 5. N1s deconvoluted results of as-prepared catalysts

Sample	N species (at. %)				
	Pyridinic N	Pyrrolic N	Graphitic N	Oxidized N	Fe-N <sub>x</sub>
<sup>2</sup> Fe/NHPC <sup>0.5AC</sup>	35.81	28.16	16.82	6.11	13.10
<sup>2</sup> Fe/NHPC <sup>5AC</sup>	30.83	23.30	18.64	9.47	17.77
<sup>2</sup> Fe/NHPC <sup>8AC</sup>	43.09	29.71	15.21	4.75	7.24
NHPC <sup>5AC</sup>	44.45	38.20	9.99	7.36	0
<sup>2</sup> Fe/NHPC <sup>5AC</sup> -w/o ZnCl <sub>2</sub>	28.47	29.69	20.98	9.60	11.34

XPS measurements are carried out to elucidate the effect N-doping on the electronic structure of the different samples. The relative elemental compositions determined by semi-quantitative analysis for various catalysts are summarized in Table 2. The Fe signal is invisible due to its low loading (the mass loading of <sup>2</sup>Fe/NHPC<sup>5AC</sup> is determined to be 0.4 wt. % by ICP-AES measurement). It is found that much higher carbon content (96.2 %) are obtained for <sup>2</sup>Fe/HPC<sup>0AC</sup> respect to that of N-containing catalysts, demonstrating the improvement of the carbonization and graphitization degree. Once (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> is added, the carbon content is significantly decreased. The N contents gradually increase with increasing the amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> from 4.85, 6.35 to 7.66 % for <sup>2</sup>Fe/NHPC<sup>0.5AC</sup>, <sup>2</sup>Fe/NHPC<sup>5AC</sup> and <sup>2</sup>Fe/NHPC<sup>8AC</sup>, respectively. On the contrary, oxygen contents decrease accordingly as a function of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> addition. Noteworthy, the total amount of N and O species remains unchanged, indicating that the introduction of N is mostly linked by a reaction between NH<sub>3</sub> and oxygen functional groups. At odds with all of results reported for the Fe-N-C catalysts, the N-doping level can be carefully tuned by changing the amount of solid N precursor. The high-resolution N 1s spectra for N-containing samples is further analyzed to reveal the more details of N-doping species. As presented in Figure 10B, a deconvolution of high-resolution spectra at their N 1s core regions accounted for five distinct N-components, where the

peaks at ca. 398.0 eV, 400.6 eV, 401.8 eV, 404.1 eV are assigned to pyridinic N, pyrrolic N, graphitic N and oxidized N respectively. The additional peak at 399.1 eV is conventionally ascribed to the contribution of Fe-N sites (Cl-FeN<sub>4</sub> moiety in this case) [146, 340]. The relative fraction of N-species and the amount of nitrogen species calculated on a basis of total nitrogen content and its fraction on each sample has been summarized (Table 2 and Table 5). It is worth noting that the <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub> shares similar elemental composition and almost the same N-doped content to that of <sup>2</sup>Fe/NHPC<sup>5AC</sup> (Table 2). However, at odds with predominant pyridinic N-doping of <sup>2</sup>Fe/NHPC<sup>5AC</sup>, the graphitic N is main contributor for the ZnCl<sub>2</sub>-free catalyst with much lower Cl-FeN<sub>4</sub> content (Figure 11, Table 2). The observation suggests that ZnCl<sub>2</sub> plays important role of generation of more densely Cl-FeN<sub>4</sub> active sites during pyrolysis.

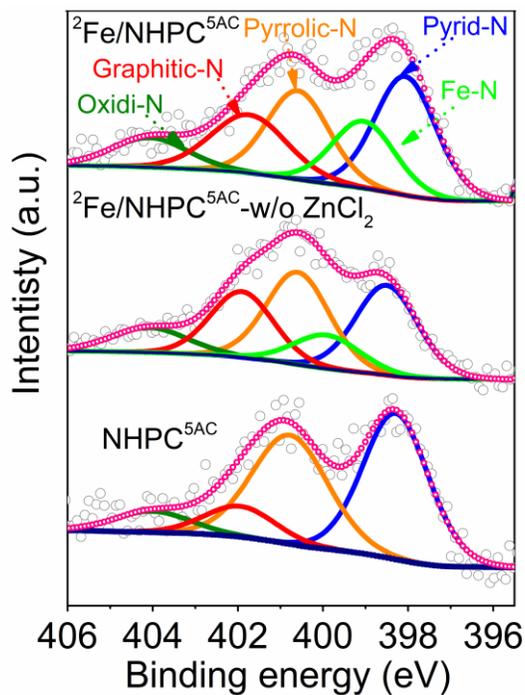


Figure 11. High resolution XPS N 1s core level region of <sup>2</sup>Fe/NHPC<sup>5AC</sup>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub>, and NHPC<sup>5AC</sup>.

### 2.3 The influence of different precursors on ORR activity

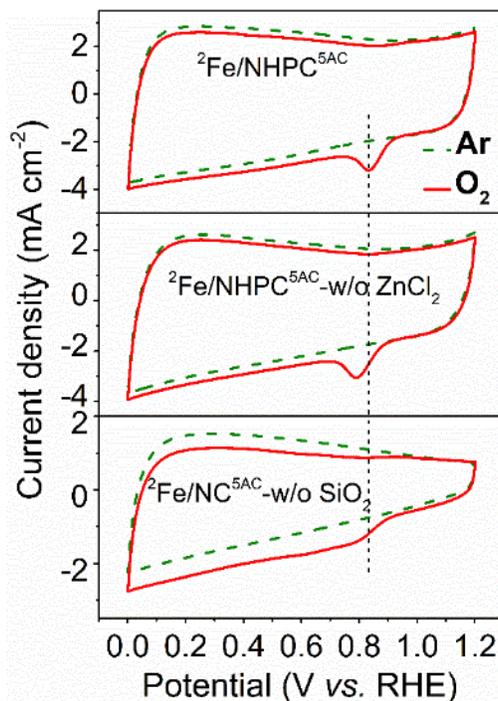


Figure 12. Cyclic voltammetry (CV) curves under Ar and O<sub>2</sub>- saturated 0.1 M KOH solution at scan rate of 50 mV s<sup>-1</sup> for <sup>2</sup>Fe/NHPC<sup>5AC</sup>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub>, and <sup>2</sup>Fe/NC<sup>5AC</sup>-w/o SiO<sub>2</sub>.

The ORR electrocatalytic performance of model catalyst <sup>2</sup>Fe/NHPC<sup>5AC</sup> along with counterparts prepared without addition of ZnCl<sub>2</sub> and silica template are evaluated. Figure 12 presents the CV curves of various catalyst in Ar-saturated and O<sub>2</sub>-saturated 0.1 M KOH electrolyte with a scan rate of 50 mV s<sup>-1</sup>. The distinct reduction peaks are observed only in O<sub>2</sub>-saturated electrolyte, confirming that all the catalysts possess the capacity to catalyze oxygen reduction. The most positive reduction peak position for <sup>2</sup>Fe/NHPC<sup>5AC</sup> suggests its highest onset potential and the best ORR activity. The polarization curve and calculated onset potential (E<sub>on</sub>), half wave potential (E<sub>1/2</sub>) as well as kinetic current density at applied potential of 0.8 V (J<sub>k</sub>@0.8 V) are displayed in

Figure 13A and Table 6.  ${}^2\text{Fe}/\text{NC}^{5\text{AC}}\text{-w/o SiO}_2$  shows the much lower ORR activity compared to catalysts prepared with silica template irrespectively of addition of  $\text{ZnCl}_2$ , indicating the indispensable role of silica addition for the generation of catalysts with improving ORR activity.

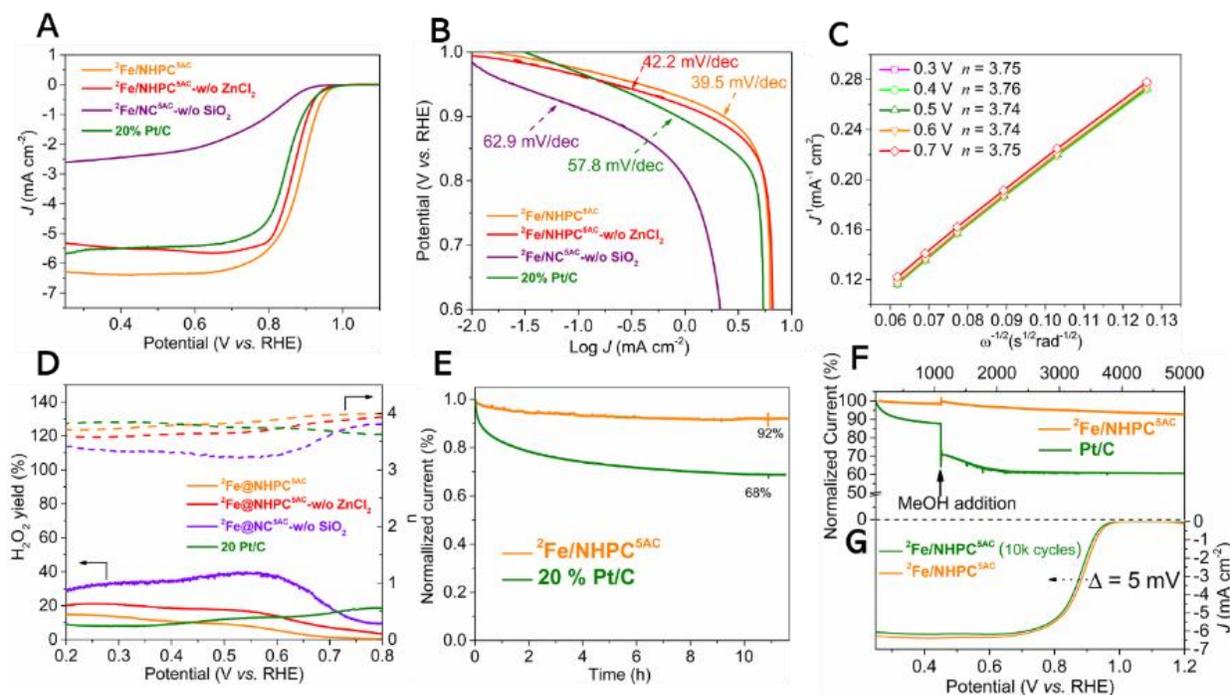


Figure 13. (A) Linear sweep voltammograms (LSVs) curves of model catalyst along with control samples recorded in an  $\text{O}_2$ -saturated 0.1M KOH electrolyte solution at a scan rate of  $10 \text{ mV s}^{-1}$  at a rotating speed of the working electrode of 1600 rpm; (B) corresponding Tafel plots; (C) K-L plots of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  as obtained from the respective LSVs at 0.3 - 0.7 V; (D) The plots of electron transfer number and  $\text{H}_2\text{O}_2$  yield of all concerned samples based on RRDE measurements at rotation speed of 1600 rpm with scan rate of  $10 \text{ mV s}^{-1}$  in  $\text{O}_2$ -saturated 0.1 M KOH solution; (E) Chronoamperometric (CA) responses of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  and 20% Pt/C catalyst at applied potential of 0.65 V with a rotating speed of 1600 rpm under  $\text{O}_2$  atmosphere; (F) Methanol poisoning and (G) durability tests.

The silica template-boosting catalytic activity can be attributed to: (1) the sharply enhanced specific surface area along with developed pore structure, which significantly facilitates the mass

transfer during electrocatalytic process, (2) prevention of the formation of Fe<sub>3</sub>C particles and increased density of single atom Fe active sites. The model catalyst <sup>2</sup>Fe/NHPC<sup>5AC</sup> shows superior ORR activity with  $E_{on}$  of 0.97 V and  $E_{1/2}$  of 0.88 V, outperforming that of <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub> ( $E_{on}$  = 0.96 V,  $E_{1/2}$  = 0.86 V). The result demonstrates that the ZnCl<sub>2</sub> also plays an important role for further improved ORR activity. In light of the similar textural properties for both catalysts with/without addition of ZnCl<sub>2</sub>, the improved ORR activity can be rationalized by the formation of denser FeN<sub>x</sub> active sites. The calculated kinetic normalized by electrode geometric area and catalyst loading, respectively, which are the highest value over all investigated samples. Note of worthy, the ORR activity for <sup>2</sup>Fe/NHPC<sup>5AC</sup> surpasses that of commercial Pt/C ( $E_{on}$  = 0.97 V,  $E_{1/2}$  = 0.85 V) catalyst, suggesting its great potential as a promising alternative to the commercial Pt-based catalysts.

Table 6. Electrochemical performance of all samples in ORR

Entry	Catalyst	$E_{on}^a$ (V)	$E_{1/2}^b$ (V)	$J_L^c$ (mA cm <sup>-2</sup> )	Tafel slope (mV dec <sup>-1</sup> )	$J_k^d$ (mA cm <sup>-2</sup> )
1	<sup>2</sup> Fe/HPC <sup>0AC</sup>	0.93	0.84	4.60	47.20	12.75
2	<sup>2</sup> Fe/NHPC <sup>0.5AC</sup>	0.96	0.87	5.70	37.60	29.96
3	<sup>2</sup> Fe/NHPC <sup>5AC</sup>	0.97	0.88	6.35	39.50	41.31
4	<sup>2</sup> Fe/NHPC <sup>8AC</sup>	0.97	0.884	5.87	39.50	40.12
5	NHPC <sup>5AC</sup>	0.90	0.80	4.60	40.0	4.93
6	<sup>2</sup> Fe/NC <sup>5AC</sup> -w/o SiO <sub>2</sub>	0.91	0.77	2.45	62.9	1.8
7	<sup>2</sup> Fe/NHPC <sup>5AC</sup> -w/o ZnCl <sub>2</sub>	0.96	0.86	5.56	42.2	19.05
8	<sup>1</sup> Fe/NHPC <sup>5AC</sup>	0.95	0.85	5.72	39.7	23.84
9	<sup>3</sup> Fe/NHPC <sup>5AC</sup>	0.96	0.87	5.52	44.0	33.84
10	Pt/C	0.97	0.85	5.44	57.8	25.98

<sup>a</sup> Onset and <sup>b</sup> half-wave potential values reported vs. RHE, <sup>c</sup> limited diffusion current density, <sup>d</sup> Kinetic current density calculated from K-L equation at 0.80 V vs. RHE.

The Tafel slope is closely linked surface reaction mechanism and can give insights into rate-determining steps towards ORR process. As shown in Figure 13B, the Tafel slopes are calculated to be 62.9, 42.2, 39.5, 57.8 mV dec<sup>-1</sup> for <sup>2</sup>Fe/NC<sup>5AC</sup>-w/o SiO<sub>2</sub>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>-w/o ZnCl<sub>2</sub>, <sup>2</sup>Fe/NHPC<sup>5AC</sup>, and Pt/C catalysts, respectively. The lowest Tafel slope for model catalyst further confirms the most superior ORR reaction kinetic amongst all samples. From the mechanism viewpoint, the Tafel slope of 39.5 mV dec<sup>-1</sup> suggests the first order reaction towards OH<sup>-</sup>, which corresponds to Fe<sup>III</sup>-OH / Fe<sup>II</sup> redox transition. Simultaneously, the rate-determining step (RDS) is proposed to be the formation of superoxide (O<sub>2</sub><sup>-</sup>) character on the surface of Fe<sup>2+</sup> without concerted proton transfer [89]. The electron transfer numbers based on polarization curves of model catalysts at different rotation speeds are determined to ca. 3.75 at applied potential of 0.3 - 0.7 V according to Koutecky-Levich (K-L) plots, suggesting the model catalyst follows ideally four-electron reduction process (Figure 13C, Figure 14A). The kinetic study on the basis of rotating ring disk electrode (RRDE) tests (Figure 13D, Figure 14B) further reveals the low H<sub>2</sub>O<sub>2</sub> yield of less than 10 % with approximately 4-electron transfer numbers across the whole potential range on the model catalyst. It is worth noting that the H<sub>2</sub>O<sub>2</sub> yield for model catalyst is even slightly lower than that measured on Pt/C in the higher potential region of 0.45 - 0.8 V, suggesting the preferentially inner-sphere electron transfer (ISET) mechanism is dominant in the model catalyst during ORR. On the contrary, the Pt/C catalyst prefers to follow outer-sphere electron transfer (OSET) where specifically adsorbed OH<sup>-</sup> on the oxidized-Pt surface as bridge for electron transfer giving rise to the formation of H<sub>2</sub>O<sub>2</sub> at higher potential region [93]. On the other hand, the ZnCl<sub>2</sub>-free and SiO<sub>2</sub>-free samples show markedly higher H<sub>2</sub>O<sub>2</sub> yield of approx. 20 % and 40 % respectively, strengthening the key role of both silica template and ZnCl<sub>2</sub> in enhancing four-electron selectivity toward ORR. The chronoamperometry (CA) response (Figure 13E) at applied

potential of 0.65 V in O<sub>2</sub> atmosphere is carried out to confirm the stability of model catalyst. After 12 h continuous operation, the 92 % retention respect to initial current density is obtained, much higher than that of commercial Pt/C catalyst (68%), manifesting much enhanced stability of <sup>2</sup>Fe/NHPC<sup>5AC</sup>. Accelerated durability tests (ADT) shows that the *E*<sub>1/2</sub> of <sup>2</sup>Fe/NHPC<sup>5AC</sup> exhibits minor degradation with only 5 mV negatively shift after 10000 continuous potential cycles at a scan rate of 50 mV s<sup>-1</sup> in the potential range of 0.6 - 1 V, further indicating outstanding long-term durability (Figure 13G).

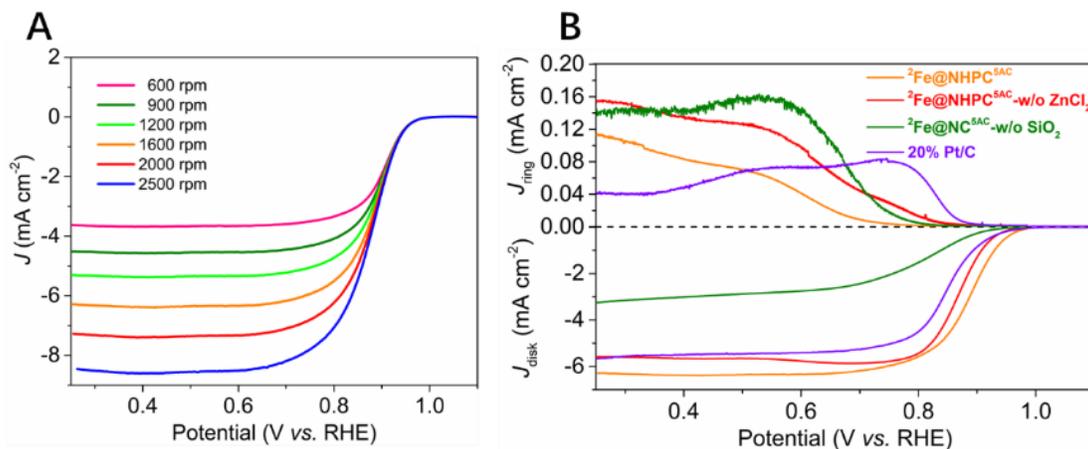


Figure 14. (A) Linear sweep voltammograms (LSVs) for ORR in O<sub>2</sub>-saturated 0.1 M KOH solution for <sup>2</sup>Fe/NHPC<sup>5AC</sup> at variable spin rates. Scan rates: 10 mV s<sup>-1</sup>; (B) RRDE current-potential curves of <sup>2</sup>Fe/NHPC<sup>5A</sup> and respective control samples as well as Pt/C catalyst at 293 K for ORR in O<sub>2</sub> saturated 0.1 M KOH solution recorded at the Pt-ring potential of 1.2 V (vs. RHE). All ring currents have been measured at an angular rotation rate ( $\omega$ ) of 1600 rpm.

As an additional trial, the tolerance of the best performing electrocatalyst towards alcohol poisoning has been investigated, which is known to critical consideration for practical application of fuel cell. As displayed in (Figure 13F), after introducing methanol, the dramatic decrease of current density is detected for commercial Pt/C catalyst. In contrast, no pronounced response can

be observed for model catalyst at identical operation condition, demonstrating its superior tolerance towards methanol crossover. All these measurement results indicate the model catalyst  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  possesses remarkable catalytic activity, durability and methanol tolerance toward ORR, which hold great promise for the development of fuel cell with cost-effective, and high efficiency.

#### **2.4 The influence of N-content in precursor mixture on ORR activity**

The ORR activity for catalysts prepared with various amount of  $(\text{NH}_4)_2\text{CO}_3$  are systematically investigated. As shown in Figure 15A, the evident dependence of ORR activity on usage of  $(\text{NH}_4)_2\text{CO}_3$  is observed. More detailed electrochemical activity parameters are presented in Table 6. The catalyst  ${}^2\text{Fe}/\text{HPC}^{0\text{AC}}$  shows relatively low ORR activity with  $E_{\text{on}}$  of 0.93 V and  $E_{1/2}$  of 0.84 V, along with low limited current density of  $4.6 \text{ mA cm}^{-2}$ . After introduction of  $(\text{NH}_4)_2\text{CO}_3$ , the catalytic activity for  ${}^2\text{Fe}/\text{NHPC}^{0.5\text{AC}}$  is markedly improved, indicating that the N doping is indispensable to the construction of active sites. The enhanced catalytic activity is closely linked with the formation of N-containing active sites especially for atomically dispersed  $\text{Cl-FeN}_4$  sites. As the amount of  $(\text{NH}_4)_2\text{CO}_3$  increase, the optimal catalytic ORR activity is obtained for  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ . Further increasing amount of  $(\text{NH}_4)_2\text{CO}_3$  results in unchanged  $E_{\text{on}}$  and slightly higher  $E_{1/2}$  but with significant decreased of the limited current density.

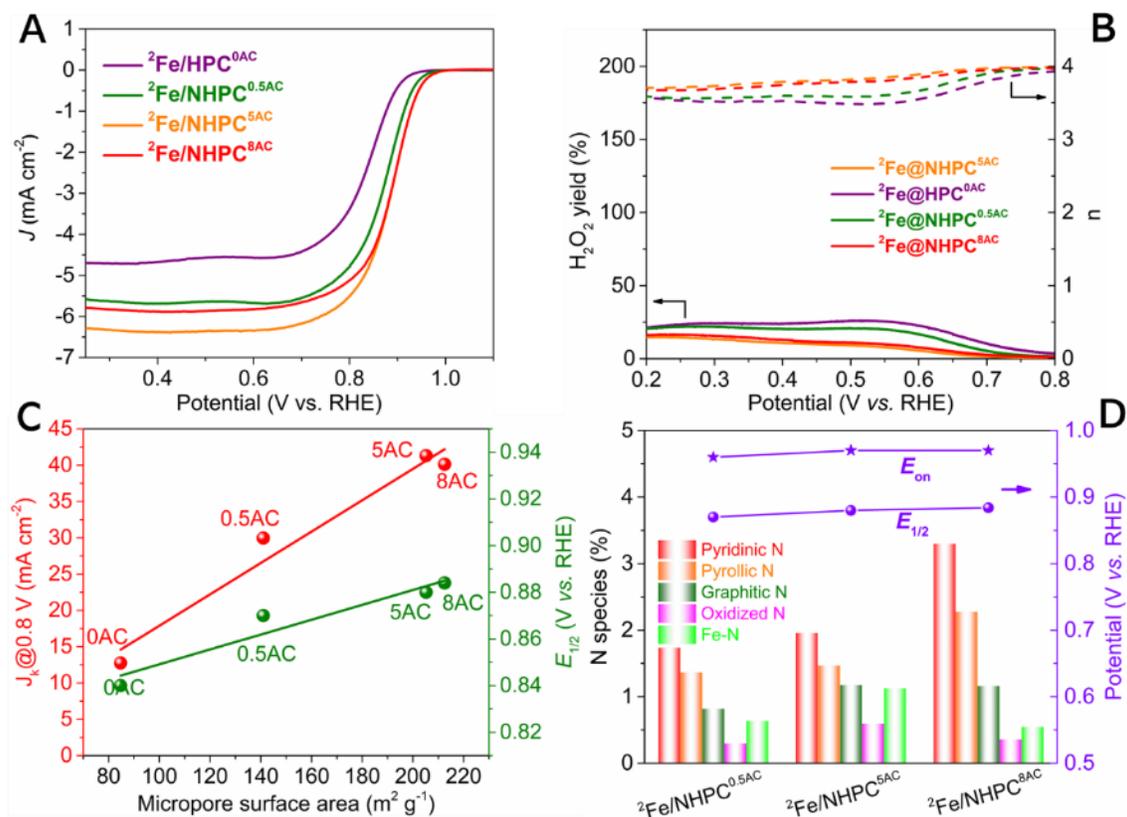


Figure 15. (A) The LSV curves of various catalysts prepared with different addition of  $(\text{NH}_4)_2\text{CO}_3$  at  $\text{O}_2$ -saturated 0.1 M KOH solution at a scan rate of  $10 \text{ mV s}^{-1}$ ; (B) The plots of electron transfer number and  $\text{H}_2\text{O}_2$  yield of samples prepared by various  $(\text{NH}_4)_2\text{CO}_3$  addition based on RRDE measurements at rotation speed of 1600 rpm with scan rate of  $10 \text{ mV s}^{-1}$  in  $\text{O}_2$ -saturated 0.1 M KOH solution; (C) the correlation plots between ORR activity ( $J_k$  @ 0.80 V) and micropore surface area; (D) The correlation between ORR activity ( $E_{\text{on}}$  and  $E_{1/2}$ ) and N-species.

RRDE measurement further is carried out for the kinetic analysis on investigated samples. As shows in Figure 15B and Figure 16, the significant advance in generation of  $\text{H}_2\text{O}_2$  products on  $^2\text{Fe}/\text{HPC}^{0\text{AC}}$  respect to that of N-containing samples reveals the probably mixture between ISET and OSET reaction mechanism. The generation of OSET reaction mechanism is ascribed to the introduction of Fe nanoparticles on  $^2\text{Fe}/\text{HPC}^{0\text{AC}}$ , which in turn verifies the N-doped is highly

required to build Cl-FeN<sub>4</sub> active sites with higher 4-electron selectivity by ISET reaction mechanism. In addition, the trend on H<sub>2</sub>O<sub>2</sub> yield and electron transfer numbers of all N-containing samples are consistent with apparent ORR activity with the lowest H<sub>2</sub>O<sub>2</sub> yield and the highest electron transfer numbers obtained on <sup>2</sup>Fe@NHPC<sup>5AC</sup>.

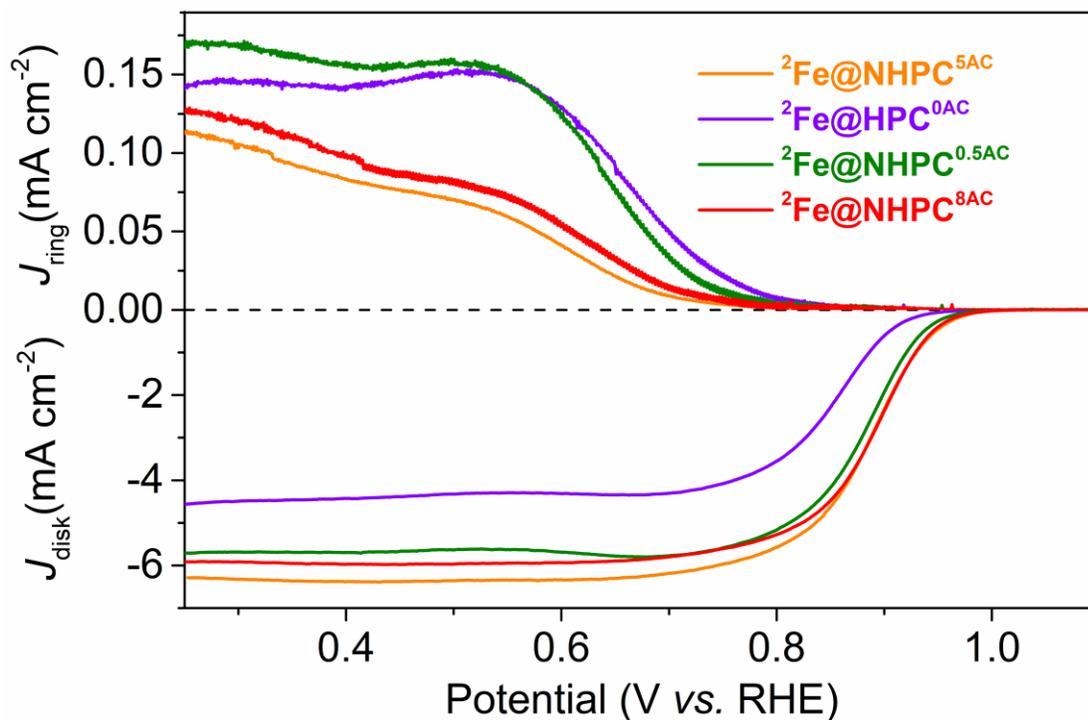


Figure 16. RRDE current-potential curves of samples prepared by different (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub> addition at 293 K for ORR in O<sub>2</sub> saturated 0.1 M KOH solution recorded at the Pt-ring potential of 1.2 V (vs. RHE). All ring currents have been measured at an angular rotation rate ( $\omega$ ) of 1600 rpm.

To get the deeper insight into the origin of the dependence of ORR activity on N-doping, the correlation between textural property and activity is established. As Figure 15C shows, the ORR activity is clearly correlated with micropore surface area, as both are increasing with the amount of (NH<sub>4</sub>)<sub>2</sub>CO<sub>3</sub>. The finding indicates the active sites are mainly hosted in micropores created by NH<sub>3</sub> evolution, in well agreement with reported conclusion [227, 228].

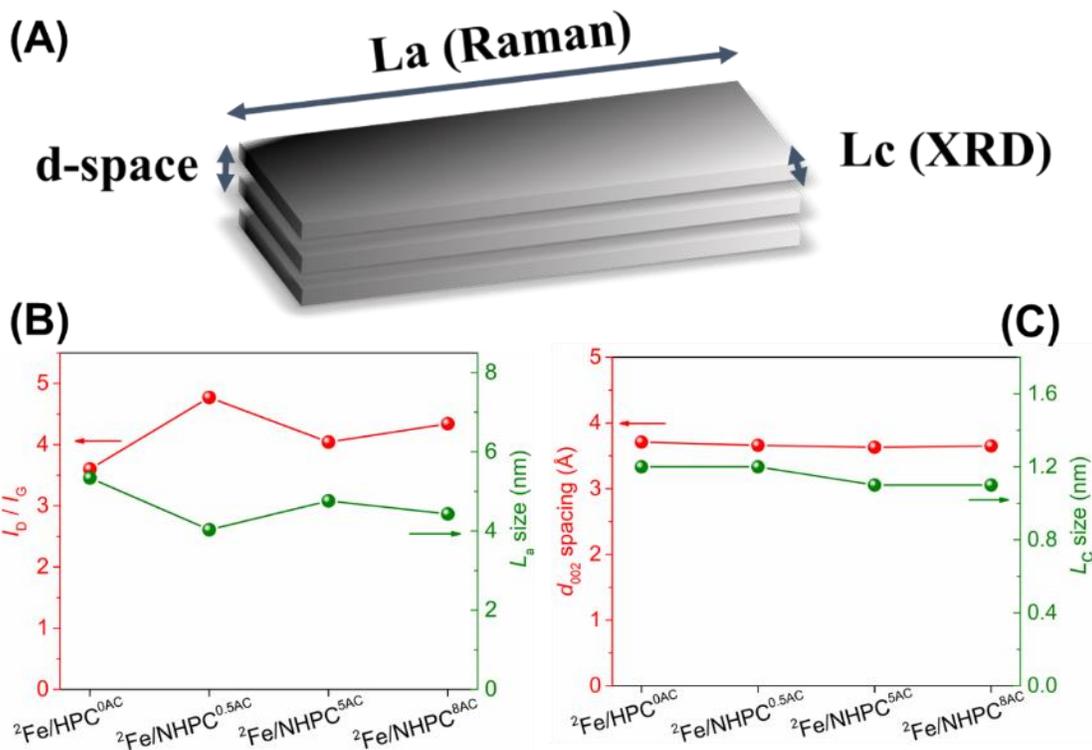


Figure 17. (A) The proposed model of carbon structure; (B)  $I_D/I_G$  values and  $L_a$  sizes obtained from Raman spectra; and (C) the  $d$  spacing values and  $L_c$  sizes from XRD analysis as function of addition amount of  $(\text{NH}_4)_2\text{CO}_3$ .

The intrinsic activity of Fe-N-C catalyst can be regulated by carbon plane size due to distinct electron-withdrawing/donating effects. A larger carbon plane size with electron-enriched  $\pi$ -band results in the higher electron density of  $\text{FeN}_x$  moieties due to electron-donating effect of carbon plane. The higher charge density of  $\text{FeN}_x$  moiety is responsible for stronger affinity between Fe center and oxygen-containing species, thus reducing the ORR activity, and *vice versa* [320]. To quantitatively evaluate the carbon crystallite size arising from different N-doping, the  $L_a$  sizes are obtained from Raman spectrum according to the general equation proposed by Cançado et al. [339], the  $L_c$  sizes and  $d$ -spacing value are calculated by XRD pattern. The corresponding model structure of carbon crystallite is presented as Figure 17A. It is found that the  $L_a$  sizes for all investigated

catalysts show comparable value, and similar  $L_a$  size along with  $d$ -spacing value are also observed (Figure 17B-C). The result indicates that the change of carbon plane size is not responsible for N doping dependence on ORR activity.

The correlation between ORR activity and N-doped composition are further established to give a deeper insight into N doping-dependent ORR activity. As Figure 15D shows, the contents of pyridinic N and graphitic N as well as Fe-N sites are simultaneously enhanced with the increase of  $(\text{NH}_4)_2\text{CO}_3$  addition from 0.5 g to 5 g, which gives rise to a significant increase of both  $E_{\text{on}}$  and  $E_{1/2}$ . The further increasing amount of  $(\text{NH}_4)_2\text{CO}_3$  results in decreased Fe-N sites (1.13 % for  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  to 0.55 % for  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ ), along with a pronounced increase in the densities of pyridinic N and unchanged graphitic N. Accordingly, the ORR activity for  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$  does not show significantly improve, with similar  $E_{\text{on}}$  and  $E_{1/2}$  and even lower  $J_L$  compared to those of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  (see Figure 15A, Table 6). Hence, in this case of  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ , the comparable ORR activity respect to that on  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  is primary due to the enhancement of pyridinic N content, in spite of decreased density of  $\text{FeN}_x$  sites. In light of the underlying active sites (pyridinic N, graphitic N and  $\text{FeN}_x$  sites) documented by most studies in alkaline media [129, 132]. It can be well suggested that both pyridinic N and Cl- $\text{FeN}_4$  sites are actual active sites with Cl- $\text{FeN}_4$  sites being the most active for ORR in present work (the latter statement stems from the fact that the decrease in the density of Cl- $\text{FeN}_4$  sites is much lower than the increase in the density of pyridinic N). To further verify the conclusion, the study of surface specific double-layer capacitance ( $C_{\text{dl}}$ ) of electrocatalyst, a characteristic value proportional to electrochemically active surface area (ECSA) [341], is performed. The calculated  $C_{\text{dl}}$  values obtained from cyclic voltammetry measurements are 24.99, 26.62, 27.81, 31.45  $\text{mF cm}^{-2}$  for  ${}^2\text{Fe}/\text{HPC}^{0\text{AC}}$ ,  ${}^2\text{Fe}/\text{NHPC}^{0.5\text{AC}}$ ,  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ ,  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$ , respectively (Figure 18). The gradual increase in  $C_{\text{dl}}$  with the amount

of N precursor suggests the enhanced N doping level. The higher  $C_{dl}$  value of  ${}^2\text{Fe}/\text{NHPC}^{8\text{AC}}$  compared to that of  ${}^2\text{Fe}/\text{NHPC}^{5\text{AC}}$  further confirms that pyridinic N indeed serves as active sites. All taken together, the improved activity with increase of N doping is originated from the increased density of active sites associated with pyridinic N and Cl-FeN<sub>4</sub> moieties, with Cl-FeN<sub>4</sub> being the most active sites.

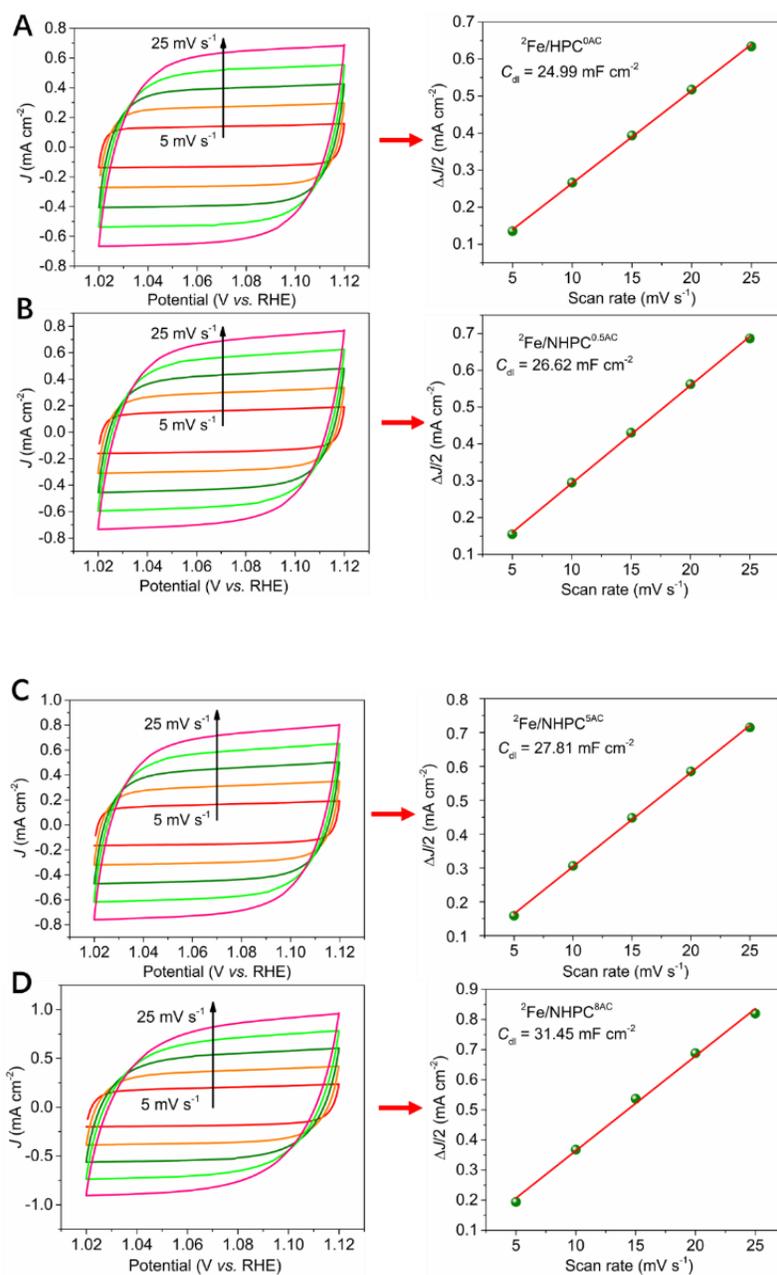


Figure 18. The CV curves in the potential range of 1.02-1.12 V vs. RHE with various scan rates in  $N_2$ -saturated 0.1 M KOH solution and corresponding linear fitting of the capacitive currents vs. scan rates for (A)  $^2Fe/HPC^{0AC}$ ; (B)  $^2Fe/NHPC^{0.5AC}$ ; (C)  $^2Fe/NHPC^{5AC}$ ; (d)  $^2Fe/NHPC^{8AC}$ .

## 2.5 The influence of Fe content on Fe-N-C activity in ORR

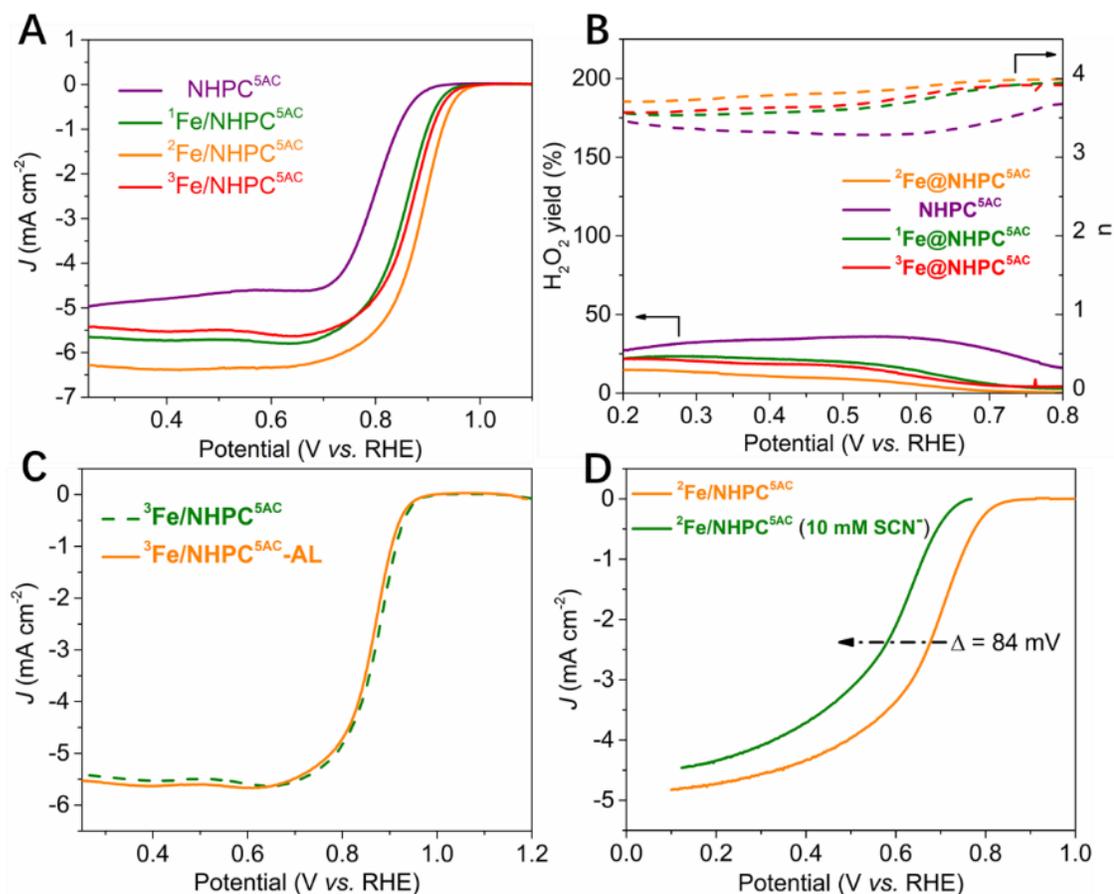


Figure 19. (A) The LSV curves of various catalysts prepared with different addition of Fe precursor at  $O_2$ -saturated 0.1 M KOH solution at a scan rate of  $10$  mV  $s^{-1}$ ; (B) The plots of electron transfer number and  $H_2O_2$  yield of samples prepared by various Fe precursor addition based on RRDE measurements at rotation speed of 1600 rpm with scan rate of  $10$  mV  $s^{-1}$  in  $O_2$ -saturated 0.1 M KOH solution; (C) The LSV curves of  $^3Fe/NHPC^{5AC}$  and  $^3Fe/NHPC^{5AC}-AL$  at  $O_2$ -saturated 0.1 M KOH solution at a scan rate of  $10$  mV  $s^{-1}$ ; (D) The LSV curves of  $^2Fe/NHPC^{5A}$  in  $O_2$ -saturated 0.5 M  $H_2SO_4$  solution at a scan rate of  $10$  mV  $s^{-1}$  with/without 10 mM KSCN.

The catalysts with various loading of Fe precursor based on the optimal addition of  $(\text{NH}_4)_2\text{CO}_3$  are ultimately investigated to get insight into nature of active site in ORR. The Fe loadings are determined to be 0.0714 wt.%, 0.4 wt.%, 5.27 wt.% using inductively coupled plasma-atomic emission spectroscopy (ICP-AES) for  $^1\text{Fe}/\text{NHPC}^{5\text{AC}}$ ,  $^2\text{Fe}/\text{NHPC}^{5\text{AC}}$ ,  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}$ , respectively. The polarization curve and corresponding  $E_{\text{on}}$ ,  $E_{1/2}$  as well as  $J_k@0.80\text{ V}$  are presented in Figure 19A and Table 6. Without introduction of Fe,  $\text{NHPC}^{5\text{AC}}$  displays relatively poor activity with an  $E_{\text{on}}$  of 0.9 V and  $E_{1/2}$  of 0.80 V respect to those of Fe-containing catalysts. The much higher  $\text{H}_2\text{O}_2$  yield and lower electron transfer number in whole potential range compared to those of Fe-containing samples demonstrates Fe doping is indispensable for high ORR activity (Figure 19B and Figure 20). It can be attributed to the formation of more active Cl-FeN<sub>4</sub> sites compared with CN<sub>x</sub> sites [161]. The ORR activity is greatly dependent on the Fe loadings. As increase in the Fe loading from 0.0714 % to 0.4 %, the  $E_{1/2}$  and  $E_{\text{on}}$  gradually shift positively, accompanied by significant decrease of  $\text{H}_2\text{O}_2$  yield and enhanced electron transfer number, approaching optimal values (Figure 19B). This can be attributed to higher density of Cl-FeN<sub>4</sub> active sites. Further increase in Fe loading (5.27 %) leads to decreased ORR activity with  $E_{\text{on}}$  of 0.96 V and  $E_{1/2}$  of 0.87 V and increased  $\text{H}_2\text{O}_2$  yield and declined four-electron selectivity. Similar tendency is also observed in regards to  $J_k$  at applied potential of 0.80 V with various Fe loading. This decrease in ORR activity is likely due to the severe aggregation of excessive Fe atoms (Figure 19A-B). XRD pattern and TEM images (Figure 21A-B) confirms the generation of Fe and  $\text{Fe}_3\text{O}_4$  phase for  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}$ . As a matter of fact, the specific role of Fe-based nanoparticles towards ORR is strongly debated to date. For example, Jiang et al. [147] reported the Fe/ $\text{Fe}_3\text{C}$  nanoparticles encapsulated N-doped carbon layer boost activity of FeN<sub>x</sub> sites by altering the charge density of central Fe atom in FeN<sub>x</sub> configuration. Kramm et al. claimed [148] excessive Fe/ $\text{Fe}_3\text{C}$

nanoparticles lead to disintegration of FeN<sub>4</sub> centers, thus degrading ORR activity. On the other hand, Zhang et al. [342] claimed that the synergistic effect between Fe<sub>3</sub>O<sub>4</sub> and single FeN<sub>x</sub> sites contributes to the enhanced ORR activity. Tylus et al. [63] proposed that FeN<sub>4</sub> moiety underwent single site 2e<sup>-</sup> \* 2e<sup>-</sup> mechanism with no involvement of adjacent Fe/Fe<sub>x</sub>O<sub>y</sub> nanoparticles in alkaline electrolyte, while these nanoparticles serve as secondary active sites for reduction of intermediates in acidic medium.

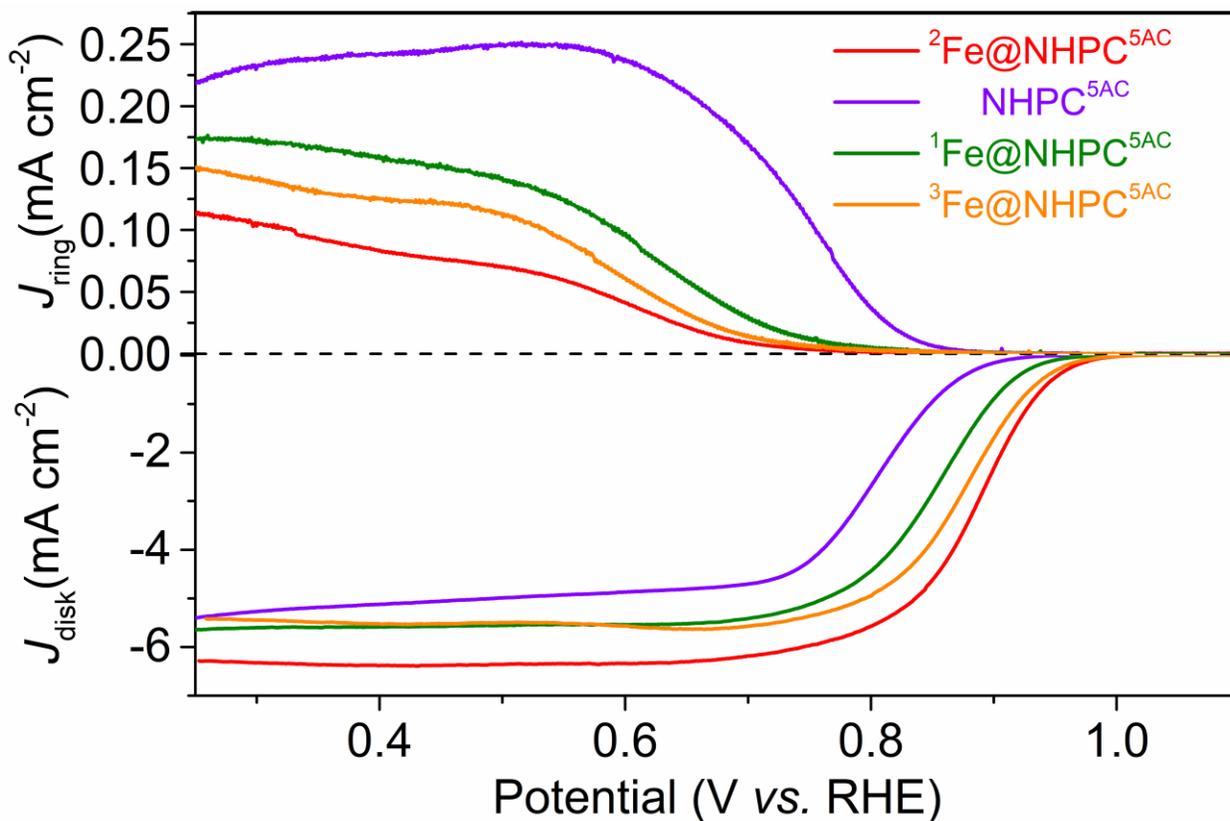


Figure 20. RRDE current-potential curves of samples prepared by different Fe addition at 293 K for ORR in O<sub>2</sub> saturated 0.1 M KOH solution recorded at the Pt-ring potential of 1.2 V (vs. RHE). All ring currents have been measured at an angular rotation rate ( $\omega$ ) of 1600 rpm.

In this present work, the formation of Fe/Fe<sub>3</sub>C coated by carbon layer is prevented by silica-protect strategy and only Fe/Fe<sub>3</sub>O<sub>4</sub> nanoparticles are formed. These nanoparticles are easily washed out by acidic solution thus simplifying the identification of active sites. In order to further verify active sites, control experiment is conducted: catalyst <sup>3</sup>Fe/NHPC<sup>5AC</sup> is leached by 0.5 M H<sub>2</sub>SO<sub>4</sub> solution at 80 °C for 8 h to remove metal Fe/Fe<sub>3</sub>O<sub>4</sub> nanoparticles (referred as <sup>3</sup>Fe/NHPC<sup>5AC</sup>-AL). XRD results (Figure 21C) demonstrates that no metal crystallite phase is observed, and TEM images (Figure 21D) further confirms nearly no nanoparticles are found after acid leaching. Accordingly, the polarization curve (Figure 19C) exhibits <sup>3</sup>Fe/NHPC<sup>5AC</sup>-AL shows negligible activity deterioration compared with pristine <sup>3</sup>Fe/NHPC<sup>5AC</sup>. The observation suggests that Fe/Fe<sub>3</sub>O<sub>4</sub> nanoparticles do not display appreciable catalytic activity towards ORR. It is well known that the SCN<sup>-</sup> could be used as a probe to identify the active site of FeN<sub>x</sub> moiety due to the interaction between Fe metal center and SCN<sup>-</sup> ion [147, 302]. To precisely elucidate the role of Cl-FeN<sub>4</sub> moiety, the poison test is further carried out under acidic media due to the more stable adsorption of SCN<sup>-</sup> on Cl-FeN<sub>4</sub> moiety (Figure 19D). It is clearly found that the E<sub>1/2</sub> shifts negatively by 80 mV after addition of SCN<sup>-</sup> along with significantly decrease of E<sub>on</sub> and J<sub>L</sub> with respect to that on <sup>3</sup>Fe/NHPC<sup>5AC</sup>, demonstrating the Cl-FeN<sub>4</sub> moiety is actual active site towards ORR. Taken together, these control experiments unambiguously corroborate the Cl-FeN<sub>4</sub> sites should be responsible for highly efficient ORR process and Fe-based nanoparticles show no activity in alkaline medium.

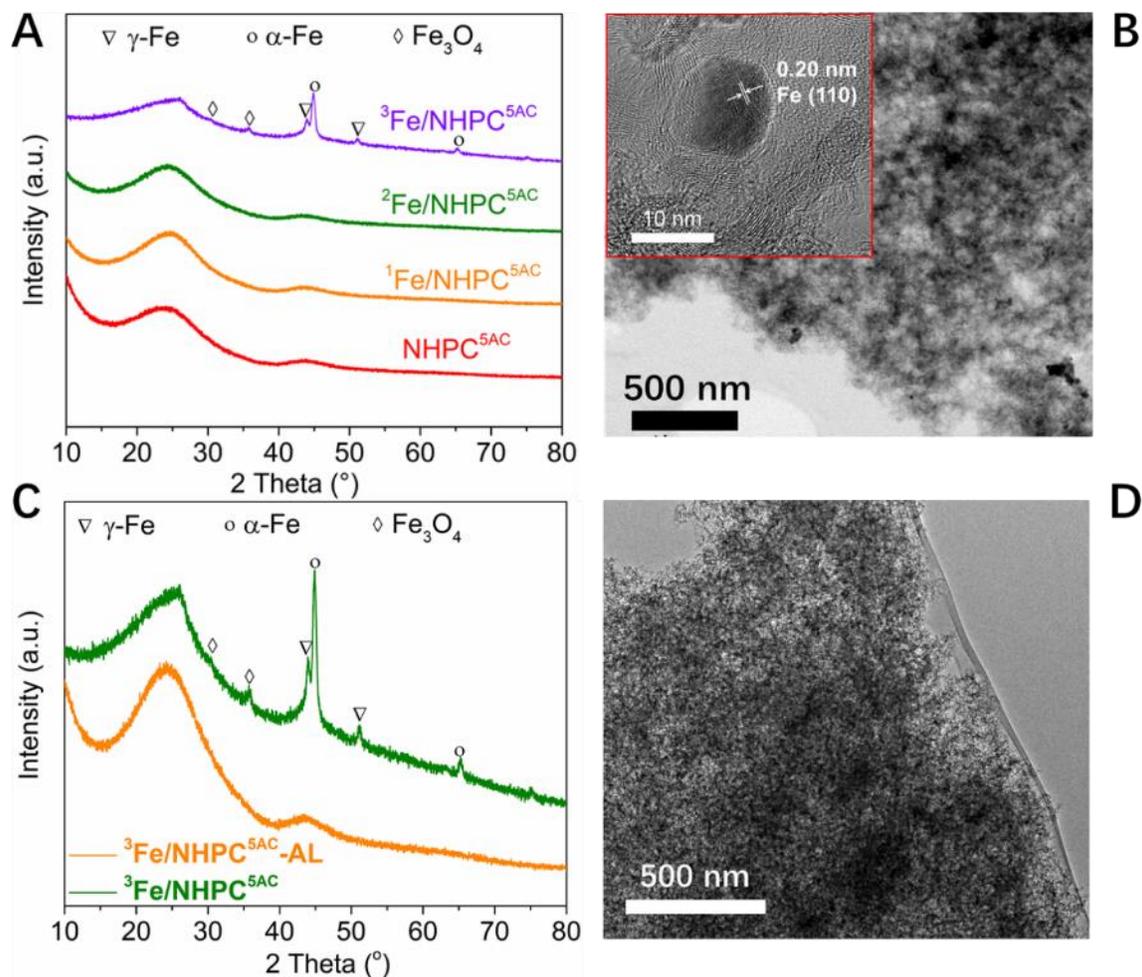


Figure 21. (A) XRD patterns of as-obtained catalysts using different amount of Fe precursor; (B) TEM image of  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}$  (HRTEM shown in the inset); (C) XRD patterns of  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}$  and  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}\text{-AL}$ ; (D) TEM image of  $^3\text{Fe}/\text{NHPC}^{5\text{AC}}\text{-AL}$ .

### 3. Conclusion

In summary, highly active Fe-N-C catalysts are developed via silica template-assisted strategy using inorganic  $(\text{NH}_4)_2\text{CO}_3$  as exclusive N source, citric acid as carbon source and  $\text{FeCl}_3$  and  $\text{ZnCl}_2$  as metal precursor. Accounting for the strong coordination and hard-template effect of silica, the formation mechanism of atomically dispersed Fe catalyst based on gas-solid interfacial

reaction is proposed. The as-prepared catalyst shows hierarchical porous structure with interconnected micropores, bimodal mesopores and macropore size distributions. More importantly, the geometry of pore structure and N-doped level can be easily tuned by the in-situ doping of  $\text{NH}_3$  during pyrolysis leading to the formation of catalysts with N doping-dependent ORR activity.

The systematic investigation demonstrates that ORR activity is gradually improved with the increase of  $(\text{NH}_4)_2\text{CO}_3$  added to the precursor, which is attributed to the formation of higher density of ORR active sites. However, the excess amount of  $(\text{NH}_4)_2\text{CO}_3$  also contributes to the formation of largest surface area (as high as  $1036 \text{ m}^2 \text{ g}^{-1}$ ), but exerts adverse effect on ORR activity. Additionally, silica template effect and  $\text{ZnCl}_2$  role on the catalyst preparation and ORR measurement are also scrutinized, both of them play crucial role for the achievement of high density of single Fe active sites and optimization of ORR activity. Finally, the identification of active site conducted by a series of control experiments and poisoning tests confirms that both of Cl-FeN<sub>4</sub> moiety and pyridinic N are active sites. Cl-FeN<sub>4</sub> moieties are concluded to be most active site, while Fe-based nanoparticles show no activity in alkaline condition.

Our work opens a new path for the preparation of single site Fe-N-C by using separated N, C, and metal sources, which shed a light on the understanding of the nature of active sites and rational design of Fe-N-C catalyst. Most importantly, this work also represents a universal approach for the synthesis of other single metal atom catalysts for numerous downstream applications.

# **Chapter 5**

## **Fe-N-C Electrocatalyst with High ORR Performance: Assessment of Fabrication Procedure.**

## Abstract

Fe-N-C electrocatalyst has recently received a great deal of research interests due to its potential alternative to the commercial Pt/C catalyst towards oxygen reduction reaction. A deep understanding and optimization of fabrication process is necessary for the development of Fe-N-C catalyst to step forward practical availability. In this work, a novel fabrication strategy is adopted by means of hard template with glucose as only carbon source, inorganic  $\text{NH}_4\text{Cl}$  as solely nitrogen source, and  $\text{FeCl}_3$  as metal precursor. The effect of pyrolysis temperature on ORR activities is scrutinized by establishing the correlation between structure and performance from geometric and electronic insights. Resultantly, the study emphasizes the importance on the trend-off between the number of  $\text{FeN}_x$  active sites and intrinsic activity of  $\text{FeN}_x$  site as well as conductivity of materials. A pyrolysis temperature at 900 °C is found to be optimal temperature due to good balance of both relative high number of active sites, excellent intrinsic activity and electron conductivity. Along with a desire hierarchical porous structure composed of micropore pore, bimodal mesopore and macropore, the resulting Fe/NMC-900 exhibits high ORR activity, stability and methanol tolerance in both alkaline and acidic media, comparable with or even outperforms the commercial Pt/C catalyst. The work provides a comprehensive understanding of structure- performance relationship for rational design of Fe-N-C based on high temperature pyrolysis methodology.

## 1. Introduction

The main obstacle for the widespread commercialization of hydrogen fuel cell electric vehicles is the lack of highly efficient, cost-effective, durable cathode catalyst toward oxygen reduction reaction (ORR) [23]. The current commercial cathode catalyst based on Pt group metal (PGM) makes up over 40 % of stack costs [343]. Over the past decade, there is consensus among researchers on the priority of decreasing PGM content, such as the use of alloy with 3d transition metal [344], and the modification of electrocatalyst morphology [345, 346]. Although both of performance improvement and reduction of Pt usage are obtained, there still is urgency on the investigation of PGM-free ORR electrocatalyst because these Pt-based catalysts contribute to most of cost of fuel cell stack resulted from the scarcity and limited global reserves. The development of PGM-free catalyst with comparable ORR activity and durability to those of low-PGM catalyst represents a reliable key to large-scale manufacturing of fuel cell with good cost competitiveness.

M-N-C (M = Fe, Co, Mn, Zn etc.) catalysts have recently been extensively studied due to their excellent ORR activity, comparable with commercial PGM-based catalyst [176, 290, 347, 348]. Specifically of an Fe-based catalyst (Fe-N-C) is emerged as most reliant electrocatalytic ORR materials due to its highest intrinsic activity amongst these 3d transition metals. Initial investigation on Fe catalyst is mainly concentrated on molecule catalyst on the basis of Fe macrocyclic complexes [3-5]. The performance can be further improved by pyrolysis of metal precursor, carbon and nitrogen source, called Fe-N-C [7, 64]. In spite of enhanced ORR activity on these pyrolysis-derived material, ration design of Fe-N-C electrocatalyst toward practical application is still impeded by insufficient cognition of nature of active sites due to multiplicity of potential active sites. One widespread opinion on such material for nature of active site is  $\text{FeN}_4$  moiety incorporated into carbon matrix, which is claimed to be responsible for the ORR activity

in both acidic and basic medium. To maximum electrochemistry activity of Fe-N-C material, two strategies are taken into account: 1) increase the site density of FeN<sub>x</sub> moiety. Very recently, Jiao et al [210] successfully synthesized Fe-N-C catalyst with recorded site density of  $1.92 \times 10^{20}$  and 100 % site utilization of FeN<sub>x</sub> on the basis of non-contact chemical vapour deposition of FeCl<sub>3</sub> to Zn-N-C substrate at relatively low temperature at 750 °C, which exhibited unprecedented ORR activity very close to commercial Pt/C in the condition of fuel cell. It is worth noting that the site utilization of FeN<sub>x</sub> can be further enhanced by design of porous structure particularly for construction of hierarchical porous structure [323]. 2) increase intrinsic activity (or Turnover frequency, TOF) in single FeN<sub>x</sub> moiety. The coordination environment of Fe center [162], degree of  $\pi$ -electron delocalization [95], and heteroatom doping (S, P, B etc.) [319, 320, 349] surrounding FeN<sub>x</sub> significantly change the intrinsic activity of Fe center. The ORR activity is mainly realized by regulation of adsorption energy of O intermediates on FeN<sub>x</sub> moiety during ORR. Pyrolysis atmosphere effect has been widely investigated and confirmed to be of importance for the fabrication of high active Fe-N-C particularly in NH<sub>3</sub> [340, 350]. However, of note is that the pyrolysis temperature plays also a pivotal role especially because the formation of active sites is closely related to pyrolysis temperature [351, 352]. The most studies on influence of pyrolysis temperature on ORR activity mainly focus on geometric property of as-prepared catalyst [353, 354]. A comprehensive understanding on both geometric and electronic properties of pyrolytic material should be paid more attention to obtain overall activity descriptor in ORR while remain hitherto no well explored.

Our previous work has reported a novel strategy for the fabrication of single Fe-N-C catalyst using separate carbon and nitrogen source on the basis of gas-solid interfacial reaction, which could be used as highly efficient electrocatalyst towards O<sub>2</sub> reduction reaction. However, the

influence of pyrolysis temperature on ORR activity has yet been optimized because the limitation of preparation condition. In present work, a modified method is adopted by the using of glucose as only carbon source, inorganic  $\text{NH}_4\text{Cl}$  as nitrogen source and  $\text{FeCl}_3$  as metal precursor. With the aid of silica template, an atomic Fe decorated N-doped mesopore carbon electrocatalyst is obtained. Likewise, the final catalyst shows a desirable micropore, bimodal mesopore and macropore structure, which serves a requisite for high mass transfer and more electrochemically available active sites. On the other hand, it is found that pyrolysis temperature has significantly influence on ORR activity. By means of establishing structure-performance relationship, the pyrolysis temperature dependent ORR activity is well clarified in terms of geometric and electronic properties, which is a result from trend-off between site density and intrinsic activity as well as electron conductivity. The optimal catalyst obtained with a pyrolysis temperature of 900 °C exhibits an excellent ORR activity ( $E_{1/2} = 0.86$  V) and durability as well as methanol tolerance which outperforms the commercial Pt/C catalyst in alkaline media. In acidic media, the Fe/NMC-900 presents also decent ORR activity with  $E_{1/2}$  of 0.63 V and good stability. Our work emphasizes the importance of pyrolysis temperature on ORR activity, not only in geometric property, but also more in electronic structure.

## **2. Results and discussion**

### **2.1 Preparation and characterization of Fe/NMC electrocatalyst.**

In our previous work, we proposed a synthesis route of atomic-decorated hierarchical porous carbon using  $(\text{NH}_4)_2\text{CO}_3$  and citric acid as only N source and carbon source respectively, to be applied for efficient  $\text{O}_2$  electroreduction. Inspired by such unique design strategy, modified approach is deployed for the development of atomic dispersed Fe supported N-doped mesopore

carbon (Fe/NMC) catalyst. In this work, D-glucose, a non-toxic and earth abundance component, is used as only carbon source. In addition, glucose is also known to serve as an excellent chelating agent for the anchorage of the metal cation ( $\text{Fe}^{3+}$  ions here) in the precursor solution [19, 20]. More importantly, the higher carbonization yield of glucose respect to that of citric acid enables more practicality and the large-scaled production. Ammonia chloride ( $\text{NH}_4\text{Cl}$ ) acts as an optimal N source throughout entire preparation process. The feature of C-free for  $\text{NH}_4\text{Cl}$  makes the N-doped level more controllable, which is critical for the development of highly efficient electrocatalytic materials. The adopted approach for the synthesis of Fe/NMC is based on gas-solid interfacial reaction proposed on our previous work. Firstly, glucose dissolved into water interacts strongly with Fe cation in the precursor gel solution while  $\text{NH}_4^+$  ions are adsorbed on the surface of silica nanoparticles. The gel solution is then dried directly in an oven overnight at 110 °C. Afterwards, the first annealing treatment of obtained powder is carried out at different pyrolysis temperature under Ar atmosphere for carbonization and graphitization. As pyrolysis temperature increases, the glucose is subjected to carbonization and  $\text{NH}_4\text{Cl}$  is gradually also decomposed into  $\text{NH}_3$  and HCl at relative low temperature range. The generated  $\text{NH}_3$  reacts easily with oxygenated group in carbon intermediate framework leading to N-doped into carbon matrix. Meanwhile, the evaporated  $\text{NH}_3$  and HCl gas act as pore-expansion reagent in micro- and mesoscopic level which is conducive of the formation of hierarchical porous structure. Such structure has been intensively confirmed to be high desired toward electrocatalytic  $\text{O}_2$  reduction. As the further temperature increases, the Fe atoms is trapped N atoms induced by high temperature ultimately giving rise to the formation of Fe- $\text{N}_x$  moieties. The obtained sample is followed by NaOH leaching to remove silica template. The final catalyst is collected by second thermal treatment in Ar with identical temperature as the first heat-treated step. Such a post heat-treatment is necessary because it is thought to be critical

for the improvement of ORR performance due to the elimination of adverse oxygenated groups created by NaOH etching as well as possible formation of vacancy defects [355]. The preparation conditions of electrocatalysts are optimized by changing pyrolysis temperature (800, 900, 1000 °C), which are denoted as Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000, metal-free N-doped mesopore carbon (NMC) is also fabricated with the same process as Fe/NMC-900 except for the addition of Fe precursor.

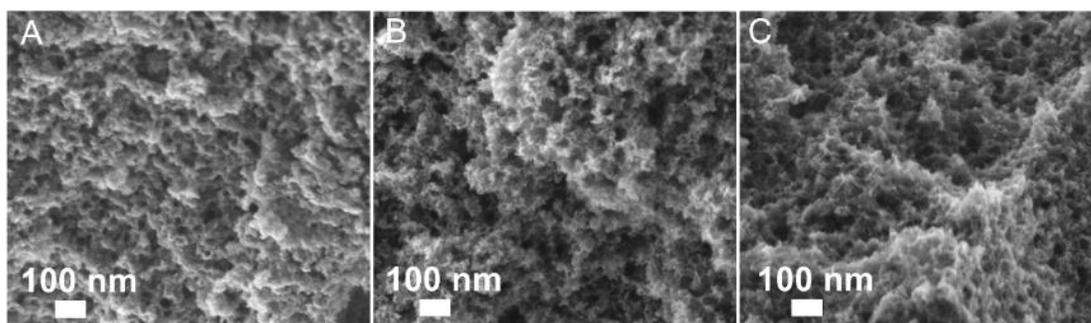


Figure 1. SEM microscopic images for (A) Fe/NMC-800, (B) Fe/NMC-900, (C) Fe/NMC-1000, respectively.

The morphology of as-prepared samples with different pyrolysis temperature is firstly measured by means of scanning electron microscopy (SEM). As shown in Figure 1A-C, all investigated samples exhibit almost the same geometric morphology with highly interconnected porous structure, which leads to developed open carbon network framework. Such a structure extremely facilitates mass transport in the catalyst layer, thus enhancing the ORR activity.

XRD pattern shown in Figure 2A reveals two distinct broad peaks at 24 °C and 43 °C, corresponding to (002) and (100) plane of typical amorphous carbon. Notably,  $\text{Fe}_3\text{O}_4$  phase related to is observed as the pyrolysis temperature approaching to 1000 °C, demonstrating that Fe metal

atoms begin to aggregate. The generation of Fe nanoparticles potentially results in the decrease of active  $\text{FeN}_x$  moieties, which in turn diminishes the ORR activity.

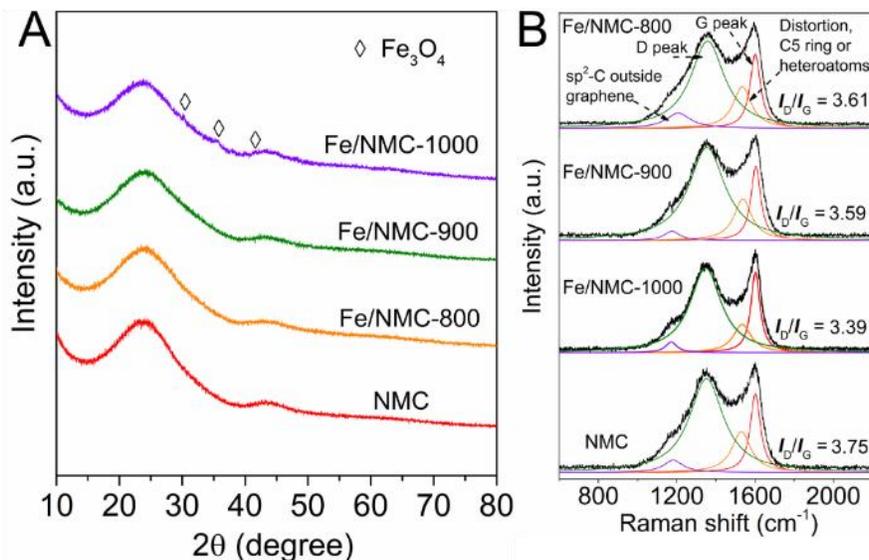


Figure 2. (A) XRD pattern and (B) Raman spectra of as-prepared catalyst.

Raman measurement is then carried out to get details on carbon structure. As shown in Figure 2B and Table 1, the spectra of all samples are well deconvoluted into four components, where the peaks located at ca. 1350 and 1600  $\text{cm}^{-1}$  can be attributed to D band related to structural disorder or defect and G band associated with in-plane tangential stretching vibration mode (E2g) of the graphite sheet [356]. The graphitization degree determined by  $I_D/I_G$  is calculated to be 3.75, 3.61, 3.59, 3.39 for NMC, Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000, respectively. This result indicates the increased pyrolysis temperature leads to higher graphitization degree. Generally, higher graphitization degree implies better conductivity which is beneficial for the enhancement of ORR. Besides, the additional peak at ca. 1530  $\text{cm}^{-1}$  for Fe-containing samples, a characteristic peak assigned to five-member or heteroatoms in graphene-sheet structure [198], gradually

decreases in portion as the pyrolysis temperature increases. This result indirectly implies the higher pyrolysis temperature is detrimental for the N doping of final materials.

Table 1 Raman fitting results for all samples

Sample	Deconvoluted results <sup>a</sup> (Area. %)					
	Sp <sup>2</sup> carbon Outside	D peak	Distortion C5 ring Heteroatom	G peak	<sup>b</sup> I <sub>D</sub> /I <sub>G</sub>	<sup>c</sup> L <sub>a</sub> (nm)
NMC	4.78	62.79	15.68	16.75	3.75	5.12
Fe/NMC-800	7.69	59.81	15.94	16.56	3.61	5.32
Fe/NMC-900	2.93	64.00	15.24	17.82	3.59	5.48
Fe/NMC-1000	3.13	64.93	12.79	19.15	3.39	5.67

<sup>a</sup> fitting by Lorentz method, <sup>b</sup> determined by the area ratio between D peak and G peak, <sup>c</sup> calculated by the general equation proposed by Cançado et al [339]:  $L_a \text{ (nm)} = (2.4 \times 10^{-10}) \lambda^4 (I_D/I_G)^{-1}$ , where  $\lambda$  is referred to wave length of laser (532 nm).

The XPS spectra is further conducted to obtain more elemental composition and N doping details. No obvious Fe signal is visible due to the low Fe loadings. The Fe loading amount are determined to be 0.43 wt. %, 0.52 wt. %, and 1.42 wt.% for Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000, respectively, based on ICP-AMS measurement. As show in Table 2, it is found that the carbon content gradually increases as the pyrolysis temperature increases, which confirms the improved graphitization degree induced by high temperature as consistent with Raman analysis. Accordingly, N-doped contents show pronounced decrease, from 8.56 at. % for Fe/NMC-800 to 6.26 at. % for Fe/NMC-900, finally decreasing to only 2.86 at. % for Fe/NMC-1000. This indicates the heat treatment at higher temperature leads to the loss of N content.

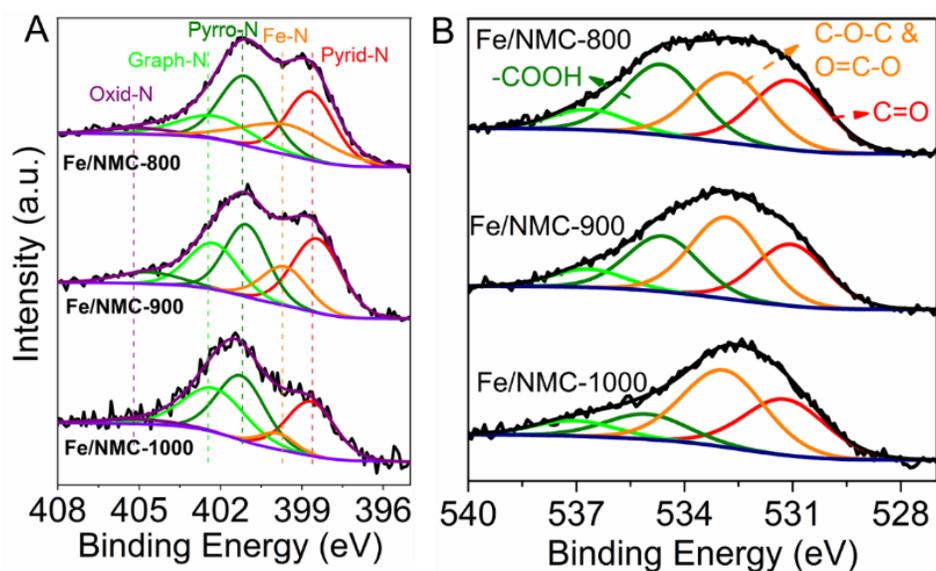


Figure 3. (A) High resolution spectra of N1s core level and O1s (B) for Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000.

Table 2. Relative elementary content of samples on the basis of XPS analysis.

Sample	XPS element analysis (at. %)		
	C	N	O
NMC	89.61	6.58	3.81
Fe/NMC-800	85.86	8.58	5.55
Fe/NMC-900	89.14	6.26	4.60
Fe/NMC-1000	93.17	2.86	4.00

The high resolution N1s spectra for Fe-contained samples can be deconvoluted into five components (Figure 3A). The peak at ca.398.5, 401.2, 402.6, 405.2 eV are assigned to pyridinic N, pyrrolic N, graphitic N and oxidized N respectively while the peak at 399.7 eV can be conventionally attributed to Fe-N moieties [200]. The relative content of such five species is

present in Table 3 on the base of deconvolution fitting results. The relative content of pyridinic N remains negligible change while the graphitic N shows significantly increase as the pyrolysis temperature increase. It is reasonable that graphitic N possesses higher thermal stability as comparison to that of edge-located pyridinic- and pyrrolic- N species and thus graphitic N is typically predominant on higher pyrolysis temperature. Notably, the Fe-N content undergoes a gradually decrease as the pyrolysis temperature increases, which is believed to exert an important influence on ORR activity. On the other hand, an appreciable decrease in overall O content has also been observed ranging from 5.55 at. %, 4.6 at. % to 4.0 at. % for Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000, respectively.

Table 3. XPS fitting results of N1s spectra. (The values shown in the brackets refer to the absolute values of N species determined by the production of overall N content and relative content of N specie on each sample)

Sample	Content of N species (at. %), XPS				
	Pyridinic N	Pyrrolic N	Fe-N	Graphitic N	Oxidized N
NMC	40.64(2.67)	38.75(2.55)	0	18.18(1.20)	2.43(0.16)
Fe/NMC-800	28.34(2.43)	30.42(2.61)	22.89(1.96)	14.62(1.25)	3.73(0.32)
Fe/NMC-900	31.28(1.96)	26.68(1.67)	15.58(0.98)	19.95(1.25)	6.50(0.41)
Fe/NMC-1000	27.85(0.79)	33.03(0.93)	6.11(0.17)	29.25(0.83)	3.76(0.11)

The deconvoluted results of O1s high resolution spectra (Figure 3B) are assigned to four components, namely ketonic groups (-C=O, 531.1 eV), ether groups and/or lactone, anhydride (C-O-C, 532.8 eV), carboxylic groups (-COOH, 534.6 eV), and satellite structure of the spectrum or

adsorbed oxygen-containing molecules ( $\text{H}_2\text{O}/\text{O}_2$ , 536.6 eV) [357, 358]. It is worth noting that the relative content of  $-\text{COOH}$  subjected to distinctly decrease as increase of pyrolysis temperature, as a result of low thermally stability respect to those of ketonic groups or ether groups [357]. The further analysis of effect of oxygen species on ORR activity is discussed in the following parts.

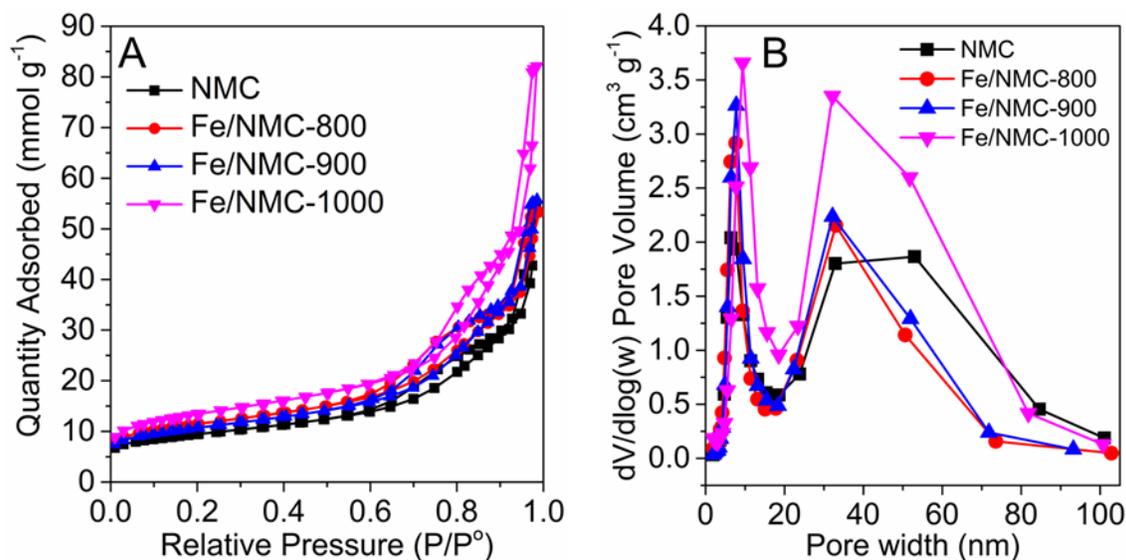


Figure 4. (A)  $\text{N}_2$  adsorption/desorption isotherm plots for as-prepared catalysts recorded at 77 K; and (B) respective pore size distribution curves using desorption branch of isotherms for all samples (BJH method, the data recorded with a pore width from 1.7 nm to 100 nm).

The texture properties of all as-samples are further revealed using  $\text{N}_2$  isotherms. As Figure 4A shows, the curves show type IV isotherms with pronounced type H3 hysteresis loop, a typically characteristic of mesopore structure [359]. The corresponding structural parameter is summarized in Table 4. The specific surface area (SSA) values are 725, 886, 829, 1041  $\text{m}^2 \text{g}^{-1}$  for NMC, Fe/NMC-800, Fe/NMC-900, Fe/NMC-1000, respectively, with mesopore pore being mainly contributor of SSA. Pore size distribution (Figure 4B) exhibits that all samples feature two-modal mesopore, with small pore region located at ca. 7 to 10 nm, a characteristic pore arising from silica

template removal. Another large pore region is derived from gas-expansion effect. ( $\text{NH}_3$ ,  $\text{CO}_2$ ,  $\text{HCl}$  etc.). Moreover, the average pore size gradually increases as pyrolysis temperature increases. Such hierarchical pore structure composed of micropore (not shown in  $\text{N}_2$  isotherms), interconnected bimodal mesopore and macropore (shown in PSD with size of larger than 50 nm) is known to favor the electrochemically accessibility into active sites [323], thus enhancement of electrocatalytic ORR performance.

Table 4. Texture property of as-prepared catalysts.

Sample	SSA <sup>a</sup> (m <sup>2</sup> /g)	S <sub>micro</sub> <sup>b</sup> (m <sup>2</sup> /g)	S <sub>external</sub> (m <sup>2</sup> /g)	V <sup>c</sup> (cm <sup>3</sup> /g)	V <sub>micro</sub> (cm <sup>3</sup> /g)	PSD <sup>d</sup> (nm)
NMC	725.36	236.04	492.86	1.361	0.112	13.35
Fe/NMC-800	885.67	273.82	611.85	1.548	0.131	10.67
Fe/NMC-900	829.18	250.07	579.11	1.603	0.118	11.62
Fe/NMC-1000	1040.99	288.92	752.07	2.146	0.136	14.47

<sup>a</sup> Brunauer-Emmett-Teller (BET) specific surface area (SSA) measured at T = 77 K. <sup>b</sup> Determined by t-plot method. <sup>c</sup> Total pore volume determined using the adsorption branch of  $\text{N}_2$  isotherm at  $P/P_0 = 0.98$ . <sup>d</sup> Determined by BJH desorption average pore width (4V/A).

## 2.2 Electrochemical performance of as-prepared catalysts

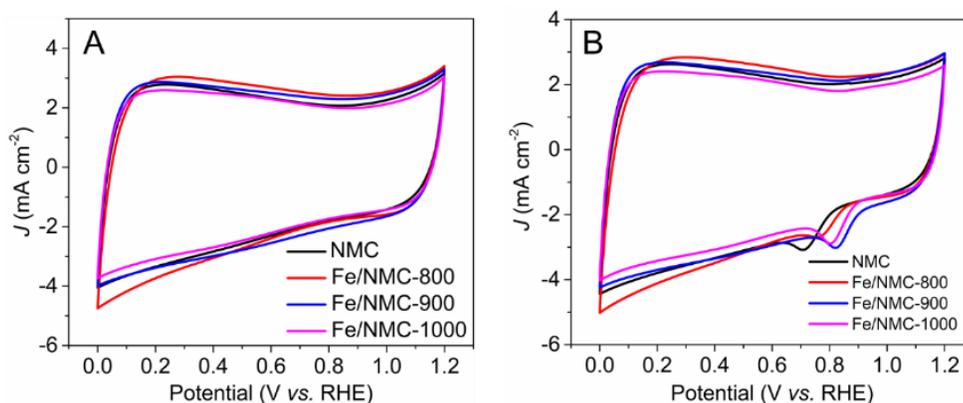


Figure 5. (A) Cyclic voltammetry of as-prepared catalyst under  $\text{N}_2$ -saturated and  $\text{O}_2$ -saturated (B) 0.1 M KOH solution at scan rate of  $50 \text{ mV s}^{-1}$ .

The electrochemical oxygen reduction activities of various catalysts prepared at different pyrolysis temperature is firstly evaluated at 0.1 M KOH. Figure 5 presents the cyclic voltammetry (CV) curves recorded at N<sub>2</sub> and O<sub>2</sub> saturated 0.1 M KOH electrolyte. In N<sub>2</sub> saturated electrolyte, all samples exhibit similar quasi-rectangular pattern, demonstrating negligible faradic process occurs on the electrode surface with charging/discharging process of double layer current being predominant. The area of rectangle gradually decreases as following order: Fe/NMC-800 > Fe/NMC-900 = NMC > Fe/NMC-1000, indicating Fe/NMC-800 possesses highest electrochemical active surface area. In O<sub>2</sub>-saturated electrolyte, well-defined O<sub>2</sub> reduction peaks are observed in all samples. Previous studies [89, 190] have pointed out that the peak is closely linked to Fe<sup>3+</sup>-OH/Fe<sup>2+</sup> redox transition, and the more positive the potential is, the better the electrochemical performance is. Clearly, the Fe/NMC-900 shows the most positive oxygen reduction potential, implying that the most superior electrochemical performance is obtained among all samples.

Table 5. ORR performance and respective electrochemical parameters in O<sub>2</sub>-saturated 0.1 M KOH solution.

Entry	Catalyst	$E_{\text{on}}^a$ (V)	$E_{1/2}^b$ (V)	$J_L^c$ (mA cm <sup>-2</sup> )	Tafel slope (mV dec <sup>-1</sup> )	$J_k^d$ (mA cm <sup>-2</sup> )
1	NMC	0.87	0.77	4.09	62	0.20
2	Fe/NMC-800	0.91	0.82	4.68	57	1.53
3	Fe/NMC-900	0.96	0.86	5.28	54	9.36
4	Fe/NMC-1000	0.944	0.85	5.68	54	6.68
5	Pt/C	0.97	0.85	5.44	68	25.98

<sup>a</sup> Onset and <sup>b</sup> half-wave potential values reported vs. RHE, <sup>c</sup> limited diffusion current density, <sup>d</sup> Kinetic current density calculated from K-L equation at 0.80 V vs. RHE.

RRDE measurement are next carried out to evaluate the ORR activity for samples obtained in different pyrolysis temperature. Detailed electrochemical parameters have been summarized in

Table 5. The metal-free sample exhibits an ORR activity with half-wave potential ( $E_{1/2}$ ) of only 0.77 V along with onset potential ( $E_{on}$  of 0.87 V) and limiting diffusion current ( $J_L$  of 4 mA cm<sup>-2</sup>), much lower respect to that of Fe-containing samples, suggesting that the Fe is indispensable for the construction of FeN<sub>x</sub> active sites. It is noted that the ORR activity gradually increases with the pyrolysis temperature increases, with optimal performance being obtained for Fe/NMC-900 (Figure 6A). The high  $E_{1/2}$  of 0.86 V even outperforms that of commercial Pt/C (0.85 V), showing the potential commercial availability in the fuel cell application.

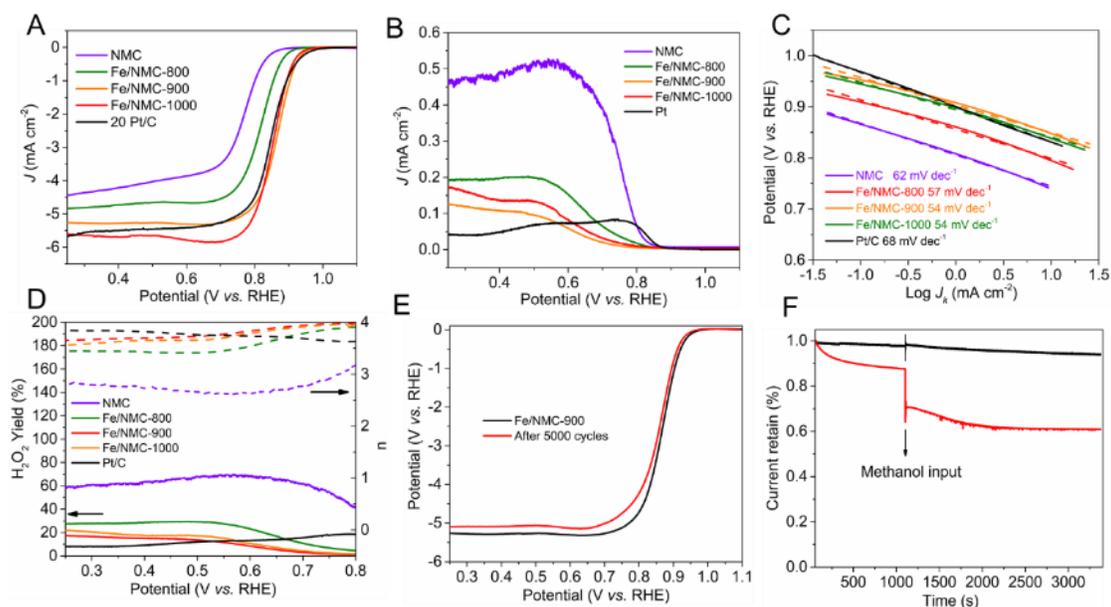


Figure 6. (A) LSVs curves of disk current for all as-prepared catalysts recorded at O<sub>2</sub> saturated 0.1 M KOH at rotation speed of 1600 rpm, along with commercial Pt/C catalysts at comparison, and (B) respective ring current curves, the ring potential is fixed at 1.2 V vs. RHE; (C) The Tafel slope for all as-prepared catalysts; (D) H<sub>2</sub>O<sub>2</sub> yield and exchanged electron transfer number of all samples; (E) Durability test for sample Fe/NMC-900 and (F) Methanol tolerance test for Fe/NMC-900 and Pt/C.

It is worth noting that H<sub>2</sub>O<sub>2</sub> generation for Fe-containing samples occurs at much lower potential range than corresponding onset potential of ORR, which differs from the case in the commercial Pt/C that (see ring current curves in Figure 6B). This observation suggests different ORR mechanism between Fe-containing samples and Pt/C. Briefly, the ORR process on Fe-containing samples initialized on single Fe center of Fe-N<sub>x</sub> moieties by reaction as following: N<sub>x</sub>-Fe<sup>3+</sup>-OH + e<sup>-</sup> - N<sub>x</sub>-Fe<sup>2+</sup> + OH<sup>-</sup>, which leads to a direct O<sub>2</sub> adsorption on Fe<sup>2+</sup> center within inner Helmholtz plane (IHP) to favor inner sphere electron transfer process (ISET) [93]. In sharp contrast, the ORR starts on Pt/C is dominated by out-sphere electron transfer (OSET) (or parallel inner and outer sphere electron transfer mechanism) process in alkaline media due to the block effect of Pt surface by oxide species in high potential range, the oxide species on the surface of Pt serves as bridge for electron transfer from electrode surface to solvated O<sub>2</sub> for the generation of H<sub>2</sub>O<sub>2</sub>. The all samples show similar Tafel slope (Figure 6C) and are found to fall within the range of 54 - 68 mV dec<sup>-1</sup>, indicating the first electron transfer is rate-determining step (RSD) for all samples. The H<sub>2</sub>O<sub>2</sub> yield and electron transfer number for all investigated samples are present in Figure 6D. For metal-free NMC sample, a high H<sub>2</sub>O<sub>2</sub> yield (as high as 70 % at maximum) is found. And the average electron transfer number is 2.6, implying a predominant two-electron pathway with H<sub>2</sub>O<sub>2</sub> as mainly products. With the same pyrolysis temperature, the introduction of Fe significantly alters the reaction pathway which enables change from two-electron to four-electron (approximately 10 % H<sub>2</sub>O<sub>2</sub> yield and almost completely four-electron pathway for Fe/NMC-900). This result further verifies the ORR process on single FeN<sub>x</sub> center prefers to direct 4e<sup>-</sup> pathway or indirect 2 \* 2 e<sup>-</sup> pathway with H<sub>2</sub>O as main products. The H<sub>2</sub>O<sub>2</sub> yield on Fe-containing samples shows similar trend to that in the LSVs curves. The H<sub>2</sub>O<sub>2</sub> yield of Fe/NMC-900 decreases significantly respect to that of Fe/NMC-800, further increasing pyrolysis temperature contrarily results in increase of H<sub>2</sub>O<sub>2</sub>

yield. Therefore, a proper pyrolysis temperature is also of paramount importance for the optimization of electrode materials. To evaluate durability of Fe/NMC-900, cyclic voltammetry in O<sub>2</sub>-saturated atmosphere at a potential range of 0.6 - 1 V with rotation speed of 1600 rpm is carried out. After continuous 5000 recycles, the electrocatalytic performance exhibits negligible change with a slight decrease of limiting current density, demonstrating Fe/NMC-900 possesses excellent stability in alkaline media. An additional methanol poison trail is further conducted to ensure the practical availability in anti-crossover effect fuel cell. As shown in Figure 6F, the current significantly decreases once adding methanol on commercial Pt/C. In contrast, nearly no current change is observed for sample Fe/NMC-900, suggesting its superior methanol-resistance property.

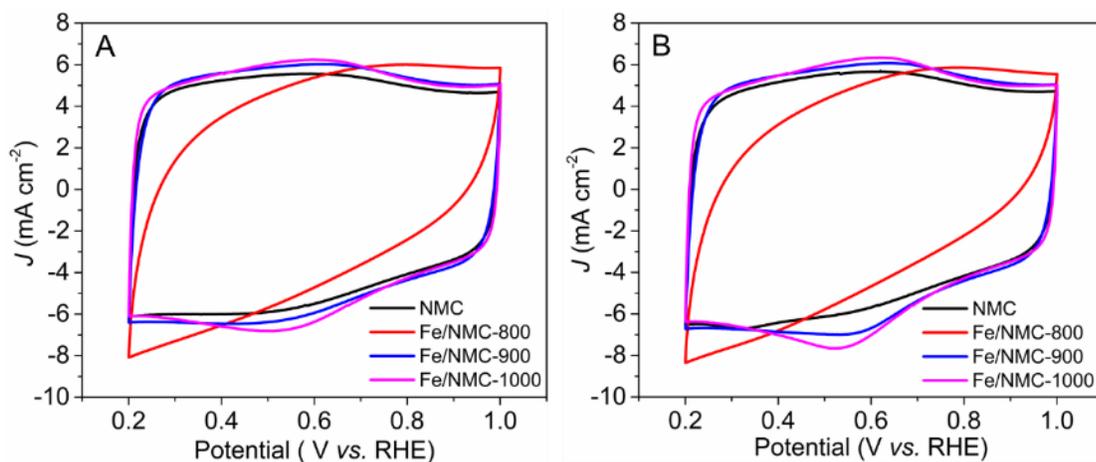


Figure 7. Cyclic voltammetry of as-prepared under N<sub>2</sub>-saturated (A) and O<sub>2</sub>-saturated (B) 0.5 M H<sub>2</sub>SO<sub>4</sub> solution at scan rate of 50 mV s<sup>-1</sup>.

Further assessment of electrocatalytic O<sub>2</sub> reduction in acidic electrolyte (0.5 M H<sub>2</sub>SO<sub>4</sub>) is also carried out for all catalysts. Cyclic voltammetry behaviors in N<sub>2</sub> and O<sub>2</sub> atmosphere are firstly analyzed for all as-prepared samples (Figure 7). At odds with electrochemical behavior under N<sub>2</sub> displayed in basic electrolyte, the CV curves exhibit well-defined redox peaks with two broad

peaks, the cathodic peak is in the potential range of 0.8 - 0.3 V and another anodic peak is located in the range of 0.4 - 0.8 V for NMC, Fe/NMC-900, Fe/NMC-1000. The reversible redox peaks at almost the same peak position for these three samples are acknowledged to result from the oxidation/reduction of hydroquinone-/quinone-like groups on the carbon surface [104, 360]. Under O<sub>2</sub> atmosphere, the distinct O<sub>2</sub> reduction peaks occur at slightly more positive potential respect to that observed at N<sub>2</sub> atmosphere for Fe/NMC-900 and Fe/NMC-1000 while the reduction peak is suppressed for Fe-free NMC sample, which is associated with Fe<sup>3+</sup>-OH/Fe<sup>2+</sup> redox transition, similar to CV behavior found in basic media. Of note is that the less pronounced redox peaks are found on Fe/NMC-800 in both N<sub>2</sub> and O<sub>2</sub> atmosphere, which is correlated with surface properties of carbon surface (the enriched surface functional groups).

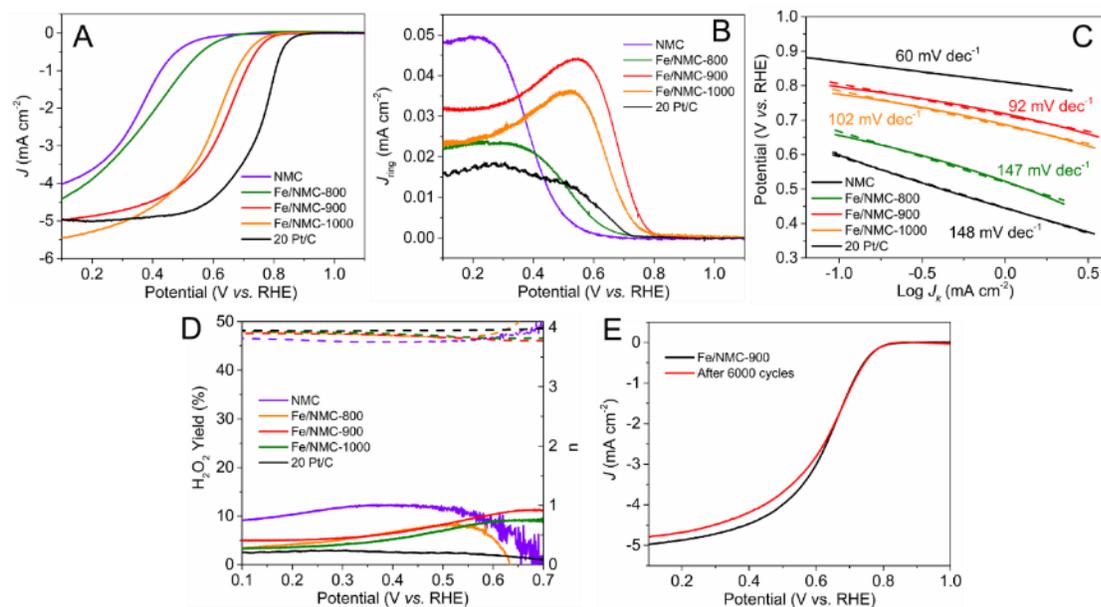


Figure 8. (A) LSVs curves of as-prepared along with commercial Pt/C catalyst at comparison recorded at O<sub>2</sub>-saturated 0.5 M H<sub>2</sub>SO<sub>4</sub> solution at a scan rate of 10 mV s<sup>-1</sup> with rotation speed of 1600 rpm; (B) Respective ring current density curves; (C) Tafel slope extracted by LSVs curves in the disk electrode; (D) H<sub>2</sub>O<sub>2</sub> yield and electron transfer number derived from RRDE measurement; (E) The durability test for Fe/NMC-900.

The RRDE measurement of as-prepared samples along with commercial Pt/C catalyst are then conducted in O<sub>2</sub>-saturated 0.5 M H<sub>2</sub>SO<sub>4</sub> solution to evaluate the ORR activity and corresponding electrochemical parameters are present in the Table 6. As Figure 8A, the dependence on pyrolysis temperature is also found. As expected, the ORR activity for all samples on acidic media is much less than that of alkaline media. The Fe-free sample shows negligible ORR activity with an onset potential ( $E_{on}$ ) of 0.6 V and limited half potential ( $E_{1/2}$ ) of 0.39 V. Once Fe introduced, the ORR activity shows a visible improvement. Noteworthy, the Fe/NMC-800 exhibits a limited enhancement of ORR activity respect to NMC, the increased pyrolysis temperature results in dramatically increase in ORR activity. The optimal catalyst (Fe/NMC-900) shows a decent  $E_{on}$  of 0.80 V and  $E_{1/2}$  of 0.63 V, although lower than that of commercial Pt/C with loading of 40  $\mu\text{g Pt cm}^{-2}$ . Further increase of pyrolysis temperature results in a slight decrease of ORR activity. As Figure 8B presents, the potential of H<sub>2</sub>O<sub>2</sub> generation for Fe-containing samples is the same with onset potential of oxygen reduction. The observation suggests the reaction mechanism for these materials prefers to follow 2 \* 2 e<sup>-</sup> pathway with successive generation and reduction of H<sub>2</sub>O<sub>2</sub> on Fe-N moieties in acidic media. Meanwhile, this result further reveals the instable binding of generated H<sub>2</sub>O<sub>2</sub> on Fe<sup>2+</sup> active sites thus giving rise to desorption and/or decomposition of H<sub>2</sub>O<sub>2</sub> intermediates into bulk electrolyte [93]. On contrary, the generation of H<sub>2</sub>O<sub>2</sub> on Pt/C occurs at much lower potential range, demonstrating a dominant inner-sphere electron transfer mechanism in acidic media. Tafel slopes (Figure 8C) are determined to be 92, 102, 147, 148 mV dec<sup>-1</sup> for Fe/NMC-900, Fe/NMC-1000, Fe/NMC-800, NMC, respectively. This finding demonstrates the first electron transfer is rate-determining step as following reaction: Fe<sup>2+</sup>-OH<sub>2</sub> + O<sub>2</sub> + e<sup>-</sup> → Fe<sup>2+</sup> - O<sub>2</sub><sup>-</sup> + H<sub>2</sub>O [89]. The quantitatively of H<sub>2</sub>O<sub>2</sub> yield and electron transfer number (Figure 8D) shows that all samples present almost complete four-electron reaction with

similar H<sub>2</sub>O<sub>2</sub> yield of lower than 10 % over a wide potential range except for NMC sample (ca. 13 % yield of H<sub>2</sub>O<sub>2</sub>). Further durability test shown in Figure 8E present an excellent stability with the same onset potential and kinetic-diffusion region besides the slight decrease of limiting current density at harsh acidic media for Fe/NMC-900 after 6000 recycles.

Table 6. ORR performance and respective electrochemical parameters in O<sub>2</sub>-saturated 0.5 M H<sub>2</sub>SO<sub>4</sub> solution.

Entry	Catalyst	$E_{\text{on}}^a$ (V)	$E_{1/2}^b$ (V)	$J_L^c$ (mA cm <sup>-2</sup> )	Tafel slope (mV dec <sup>-1</sup> )	$J_k^d$ (mA cm <sup>-2</sup> )
1	NMC	0.60	0.39	4.01	148	0.013
2	Fe/NMC-800	0.65	0.61	4.40	147	0.024
3	Fe/NMC-900	0.80	0.63	4.98	92	0.088
4	Fe/NMC-1000	0.78	0.59	5.46	102	0.031
5	Pt/C	0.87	0.76	5.0	60	1.51

<sup>a</sup> Onset and <sup>b</sup> half-wave potential values reported vs. RHE, <sup>c</sup> limited diffusion current density, <sup>d</sup> Kinetic current density calculated from K-L equation at 0.80 V vs. RHE.

### 2.3 Discussion of activity dependence on pyrolysis temperature.

To reveal the origin of ORR activity trend on samples prepared by different pyrolysis temperature. The correlations between structure and performance are established (The ORR activity obtained at alkaline media is taken as a sample for comparison due to the same ORR activity trend in both acidic and alkaline medium). In general, a large specific surface area (SSA) particularly micropore-prevailing carbon materials is known to host higher number of FeN<sub>x</sub>C<sub>y</sub> moieties thus positively contributing to enhanced ORR activity. However, no pronounced correlation is observed between ORR activity (in terms of  $E_{1/2}$ ) and total surface area as well as micropore surface area (Figure 9), illustrating that the specific surface area is not main decisive factor for the ORR activity. In other words, the high SSA is sufficient to host all available FeN<sub>x</sub>

moieties into carbon matrix, and adverse effect especially for Fe/NMC-800 resulted from diffusion limitation is not true in this case due to developed hierarchical porous structure.

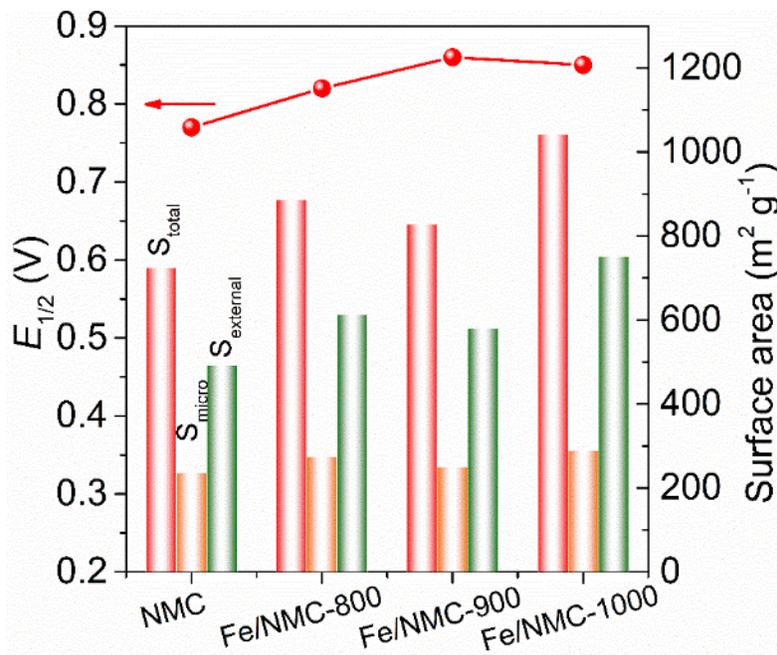


Figure 9. The correlation between texture property and ORR activity in alkaline media.

It is well acknowledged that the  $\pi$ -electron delocalization of carbon basal plane exerts a significant effect on d-orbital charge density of Fe center on FeN<sub>x</sub>, which thus affects the ORR activity due to different binding energy between O species and Fe atom. The degree of  $\pi$ -electron delocalization of carbon plane can be described quantitatively by C 1s full-width at half maxima (FWHM). A narrow FWHM of C1s indicates a higher degree of  $\pi$ -electron delocalization and stronger electron-donating capacity, and *vice versa* [95]. The C1s spectrums for three samples showing in Figure 10 are deconvoluted into three components, the peaks located at 284.7, 285.9, 289.0 eV are assigned to be graphitic carbon, C bonded to heteroatoms, and a high energy satellite plasmon peak [95]. A gradually decrease of C-heteroatoms content as the increase of pyrolysis

temperature are observed, indicating the loss of heteroatoms induced by high temperature pyrolysis. This result is well agreement with conclusion in previous elemental composition analysis of XPS. However, it is noted that FWHM values of graphitic carbon remain negligible change as the increase of pyrolysis temperature. Consequently, in combination with similar size of carbon crystallite ( $L_c$  and  $L_a$ , see Table 1 and 7), the activity differences of samples derived from change of  $\pi$ -electron delocalization are thus ruled out.

Table 7. The  $d$ -spacing and  $L_c$  value obtained by XRD pattern.

Sample	$d$ -spacing	$L_c$
NMC	3.71	10
Fe/NMC-800	3.71	10
Fe/NMC-900	3.72	9
Fe/NMC-1000	3.73	9

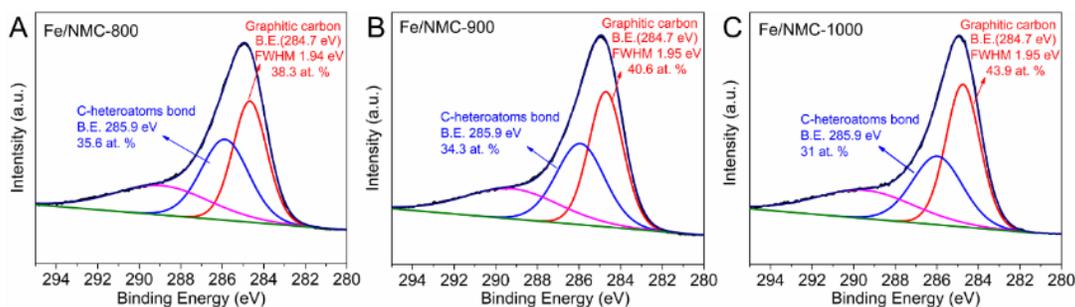


Figure 10 The high resolution C1s spectra for samples prepared at 800 °C (A), 900 °C (B), 1000 °C (C).

The ORR activity in terms of  $E_{1/2}$  is then plotted as a function of N doping. As shown in Figure 11A, the Fe/NMC-900 exhibits the highest ORR activity with moderate overall N content and  $\text{FeN}_x$  relative moieties. Further increasing the pyrolysis temperature leads to a significant drop of overall N content as well as  $\text{FeN}_x$  relative content. The loss N content probably results in number of insufficient active  $\text{FeN}_x$  sites or change of nature of  $\text{FeN}_x$  active sites [361], which in turn

decreases the ORR activity. However, it is surprised that the Fe/NMC-800 exhibits the worst ORR activity, although possessing both of the highest overall N doping content and Fe-N<sub>x</sub> relative contents. Hence, we come to conclusion that the low ORR activity of Fe/NMC-800 is not dictated by the number of active FeN<sub>x</sub> moieties, but the intrinsic ORR activity (Turnover frequency in single Fe sites). Preceding analysis has shown that the  $\pi$ -electron delocalization of various catalysts prepared by different pyrolysis temperature has a negligible effect on ORR activity. In fact, the nature of O doping significantly affects the electronic structure of atomic Fe center in FeN<sub>x</sub> moieties. Ni' et al [318] emphasized the critical role of carbonyl group next to FeN<sub>4</sub> moieties on the enhanced ORR activity, which was mainly ascribed to the reduced formation barrier of \*OOH and facilitation in reduction of \*OH intermediates. However, for most cases, the higher O content is detrimental for ORR by four-electron pathway (prefer to two-electron pathway with H<sub>2</sub>O<sub>2</sub> production), which is generally attributed too weak binding force between O-contained intermediates and electron-poor Fe atom center induced by excess of O-bearing groups in the carbon matrix [362, 363]. To reveal electron effect of O species on Fe center of FeN<sub>x</sub> moieties, the correlation between O composition and ORR activity is established (Figure 11B). It is worth note that the content of O specie is retained at large extent in relative low pyrolysis temperature especially for carboxyl group with low thermal stability. The carboxyl groups are subjected to a significantly decrease as pyrolysis temperature increases, which in turn leads to a gradually improvement of ORR activity. Given the electron withdrawing characters of carboxyl groups, it can be rationalized that much more positive charge state in single atom Fe center diminishes the interaction between the O species and Fe center. The too weak binding of O species on Fe center makes the first adsorption step of O<sub>2</sub> difficult, thus resulting in low onset potential and activity of Fe/NMC-800. Indeed, the specific shape of CV curve with high polarization and irreversible redox

peaks feature for Fe/NMC-800 in N<sub>2</sub>-saturated acidic media (see Figure 7A) is additional hint for the enriched electron of surface property, which imparts adverse effect on ORR activity. On the other hand, the higher graphitization degree and conductivity are usually found at higher temperature which is beneficial for the enhanced electrocatalytic activity due to improved electron transport. Thus, the inferior electrocatalytic performance of FeNMC-800 respect to those observed in Fe/NMC-900 and Fe/NMC-1000 is partially attributed to the poor conductivity arising from high heteroatom dopants. Taken together, an appropriate trend-off between N-doped level (FeN<sub>x</sub> moiety) and graphitization degree (electron conductivity) as well as proper O-doped level (electron effect on FeN<sub>x</sub> moiety) should be paid more attention for the optimization of ORR activity.

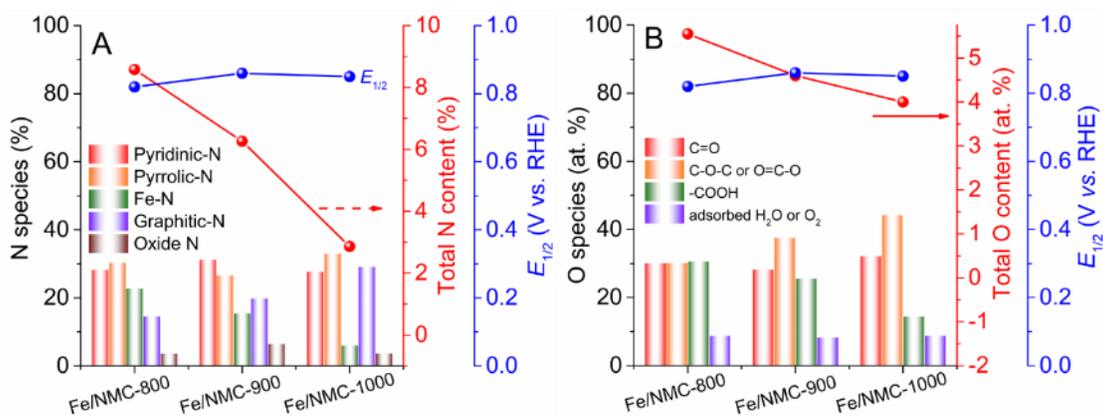


Figure 11 (A) Correlation between nitrogen composition and ORR activity; (B) correlation between oxygen composition and ORR activity.

### 3. Conclusion

In this work, an active and durable single atom Fe catalyst toward ORR over both alkaline and acid media is developed based on pyrolysis approach using silica as hard template, glucose as carbon source, NH<sub>4</sub>Cl as nitrogen source, and FeCl<sub>3</sub> as metal precursor. The ORR activity is found

to be greatly dependent of pyrolysis temperature, with 900 °C being the optimal temperature. The obtained optimal catalyst Fe/NMC-900 exhibits an excellent ORR activity ( $E_{1/2} = 0.86$  V) and durability as well as methanol tolerance which outperforms the commercial Pt/C catalyst in alkaline media. In acidic media, the Fe/NMC-900 shows also decent ORR activity with  $E_{1/2}$  of 0.63 V and good stability, although inferior than those of Pt/C. No direct correlation between ORR activity and total specific surface area and micropore surface area as a function of pyrolysis temperature is found because the sufficient surface area for host almost all Fe active sites. Further analysis discloses that both overall nitrogen and oxygen content undergo significantly decrease as increasing of pyrolysis temperature. Too high pyrolysis temperature (1000 °C) leads to the aggregation of Fe atoms and generation of Fe-based nanoparticle, as well as dramatic decrease of N content, which avoidably deteriorate ORR activity due to lower density of FeN<sub>x</sub> active sites. On the other hand, although N-content and active FeN<sub>x</sub> moieties are retained at largest extent under a relative low pyrolysis temperature (800 °C), the ORR activity is still limited by low electron conductivity and the highest oxygen content. The high oxygen content especially for carboxylic groups with electron-withdrawing property is detrimental for ORR activity due to the too weak binding force between single Fe atom center and O intermediates during ORR arising from decreased electron-density of Fe center. An improved pyrolysis temperature (900 °C) decreases the overall N content and FeN<sub>x</sub> moieties, but intrinsic activity of FeN<sub>x</sub> moiety is significantly enhanced due to optimized electron structure of Fe center associated with decreased amount of oxygen groups. In combination of higher graphitization degree, the Fe/NMC-900 exhibits highest ORR activity. This work emphasizes the importance on the trend-off between the number of FeN<sub>x</sub> active sites and intrinsic activity of FeN<sub>x</sub> site as well as conductivity of materials and it also affords an extra way for the fundamental insights into temperature dependent ORR activity.

## **Chapter 6.**

**Fe<sub>3</sub>O<sub>4</sub>/Fe<sub>3</sub>C nanoparticles coupled with  
single Fe atoms on hierarchical porous  
graphene-like carbon nanosheet/carbon  
black hybrid**

## Abstract

The synthesis of Fe-N-C catalyst, for oxygen reduction process, showing comparable and even better performance with respect to Pt-based catalyst via simple and high-efficient strategy is still a challenge for the next-generation clean and sustainable energy technologies like fuel cell and metal-air batteries. This chapter describes a straightforward and easy-scale-up methodology for the fabrication of Fe, N co-doped graphene-like carbon nanosheet/carbon black hybrid featuring  $\text{Fe}_3\text{C}/\text{Fe}_3\text{O}_4$  nanoparticles wrapped by graphitic carbon layer. The catalysts were prepared via one-step thermal pyrolysis, starting from incipient wet impregnation of Fe salt aqueous solution of a mixture of cost-effective raw components. Such a heterostructure allows synchronous construction of open porous network and high density exposure of single  $\text{FeN}_x$  active sites, leading to an enhanced electrocatalytic ORR performance, outperforming the benchmarked Pt/C catalyst. Importantly, in-depth investigation on hybrid material have unambiguously unraveled that  $\text{FeN}_x$  moieties are real active sites for ORR and less acid-resistant  $\text{Fe}_3\text{O}_4$  itself does not serve as active site but exert promotion effect on activity of  $\text{FeN}_x$  site. This work not only clarifies the origin of high catalytic performance of Fe-N-C catalysts, but also affords a simple and large-scale synthetic strategy toward high performance ORR catalyst.

## 1. Introduction

Addressing the concerns related to massive depletion of fossil fuels and increasing environmental and climate changes are becoming urgent priority of modern chemistry. The next generation of energy conversion devices, such as fuel cells have been receiving a great mark of interest as a part of clean and renewable energy systems, which represent a viably scheme for tackling the upcoming energy crisis due to its compelling merits of zero-carbon emissions along with high energy conversion efficiency [25, 364]. The high cost and scarcity of platinum group metal-based (PGM) electrocatalyst at the cathode to be applied for driving oxygen reduction reaction (ORR) with sluggish kinetic have been considered as a heart issue to be reckoned with [117]. Therefore, the exploitation employing non-critical components like cost-effective PGM-free catalyst with improved catalytic performance and high robustness is highly expected but remains quite challenging research topic.

The recent years have witnessed a real boom in the development of innovation synthetic strategy for the preparation of PGM-free electrocatalyst. A variety of novel PGM-free catalyst have been explored, including heteroatom-doped nanocarbons [365, 366], metal oxides [367, 368], and metal coordinated with N doped carbon materials (M-N-C, M = Fe, Co, Mn etc.) [37, 369]. Specifically, the atomically dispersed Fe-N-C catalysts have recently been extensively investigated due to its excellent ORR activity comparable to or even better than commercial Pt/C catalyst. Numerous efforts have been devoted to improving electrocatalytic intrinsic activity of single atom Fe-N-C catalytic by optimization of geometric and electronic structure as well as Fe coordination chemical state [89, 317, 370]. On the other hand, a structural design with higher density of exposed active sites ensuring the reactants/electrolyte availability provides an access for the improvement of ORR activity. A carbon scaffold (*e.g.* carbon black) not only provides high

population of single atom Fe active sites arising from large surface but also afford a soft self-template to avoid the aggregation of Fe atoms during high temperature pyrolysis. The carbon black has been widely employed especially on preliminary exploration as support of metal macrocycle compounds [371-373].

Recently, graphene or graphene-like two-dimensional carbon nanosheet has emerged as a new-generation carbon carrier because of its outstanding electrical conductivity, high surface area, and excellent chemical stability. More importantly, the flexible surface chemical structure can be readily regulated by introduction of light-heteroatoms (nitrogen, sulfur, boron, etc.) to stabilize metal atoms in the form of atomically dispersed dopants [374]. Compared with conventional post-functionalization of as-existed graphene oxides, a “Top-down” strategy on the basis of high temperature pyrolysis is preferentially required for simultaneous construction of graphene framework and active atomically dispersed Fe sites [375]. However, the low carbonization yields particularly derived from small molecular carbon and/or nitrogen source, hinder its fabrication at large-scale production for practical application. On this regard, the preparation of hybrid network composed of cheap carbon black as primary phase and in-situ generated graphene-like material as secondary carbon phase afford a synergistic advantage with both high-production and abundant single atom Fe sites. Moreover, the presence of carbon black phase is thought to play a crucial role for the separation of two-dimension graphene/graphene-like carbon sheets thus enhanced accessibility of electrolyte and O<sub>2</sub> molecule [366, 376]. Indeed, the combination benefit based on N- doped mesopore carbon phase coupling with other flexible substrates toward challenging industrial application have been reported recently by our groups [15, 377-382], which further prompts us to explore a novel hybrid electrocatalyst with high catalytic activity by simple and scalable strategy.

Another key step for further improvement of Fe-N-C catalytic performance is to increase the density of FeN<sub>x</sub> active sites, as well they accessibility, within a specific carbon support. Unfortunately, simple increase in the quantity of Fe precursor leads to Fe-based nanoparticles (oxides, carbide) or nanoclusters co-generation along with FeN<sub>x</sub> sites. In particular, excessive Fe loading (> 10 wt. %) inevitably leads to the formation of larger size Fe-based nanoparticles coated with carbon layers upon high temperature pyrolysis. Previous studies have demonstrated that metal Fe [310, 311], Fe<sub>3</sub>C [315, 383], oxidative FeO<sub>x</sub> [313, 384-386] nanoparticles encapsulated into N-doped carbon show high and stable ORR activity. All these works come to the conclusion that the electronic interaction between Fe-based nanoparticle and N-doped carbon layer is mainly responsible for excellent electrocatalytic activity and durability. However, in most cases, the formation of FeN<sub>x</sub> sites is not taken into account, it is worth noting that actually single atom Fe coordinated N site is easily formed in concert with Fe-based nanoparticles which underlying contribute to catalytic activity. On the contrary, from high active single atom Fe viewpoint, these less-active Fe-based nanoparticles are typically removed by acid leaching in most works in attempt to achieve exclusive atomically dispersed Fe specie [113, 282]. Noteworthy, recent studies have also revealed that these Fe-based nanoparticles play a crucial role of enhanced ORR activity through synergistically cooperation effect with FeN<sub>x</sub> moiety. Wei and co-workers reported the interaction between Fe/Fe<sub>3</sub>C nanocrystals and FeN<sub>4</sub> sites favored the adsorption of oxygen molecule, which significantly boosted the activity of Fe-N<sub>x</sub> sites [147]. Yang and co-workers revealed the FeN<sub>x</sub> moieties played a critical role for ORR activity and the co-existed Fe<sub>2</sub>N nanoparticles could further improve activity of FeN<sub>x</sub> by weakening the adsorption of ORR intermediates on active sites [317]. Zhang's group [342] and Xiang's group [314] have disclosed that the synergistic effects between the Fe<sub>3</sub>O<sub>4</sub> nanoparticles and the atomically dispersed Fe-N<sub>x</sub>

resulted in enhanced ORR activity. Contrarily, Li et al. claimed that metallic Fe/Fe<sub>3</sub>C promoted ORR activity on FeN<sub>x</sub> sites while Fe<sub>3</sub>O<sub>4</sub> nanoparticles exerted an adverse effect on FeN<sub>x</sub> sites [387]. These disputable results along with multiple active components besides Fe-N<sub>x</sub> coordination further complicates the identification of exact activity origin of Fe-N-C electrocatalysts in catalyzing the ORR process. Hence, a viably experimental proof for action mechanism of each component toward ORR is highly desired but still challenging.

Herein, we report a facile and easily-scalable strategy for the preparation of highly active Fe-N-C hybrid catalyst based on one-step thermal pyrolysis starting from cheap and non-toxic raw components. The as-obtained hybrid catalyst exhibits a unique hierarchical structure consisting of carbon black as primary carbon phase and in-situ generated graphene-like carbon nanosheet as secondary carbon phase, along with the generation of highly porous structure. With appropriate amount of Fe precursor addition, the optimal catalyst not only possesses the highest content of single atom FeN<sub>x</sub> active sites, which plays a decisive role for the most superior ORR activity amongst all investigated samples, but also generates acid-resistance graphitic onion-like nanocarbon-shells wrapped Fe<sub>3</sub>C nanoparticles in concert with less acid-tolerance Fe<sub>3</sub>O<sub>4</sub> nanoparticles. Interestingly, in-depth investigation based on a series of control trials have unambiguously shown that the Fe<sub>3</sub>O<sub>4</sub> nanocrystals significantly boost the electrocatalytic activity of adjacent FeN<sub>x</sub> sites for ORR while themselves show negligible activity. The synergistic effect between FeN<sub>x</sub> active sites and Fe<sub>3</sub>O<sub>4</sub> nanocrystals is claimed to be responsible for the enhanced ORR activity. As a result, the optimal catalyst (<sup>4.5</sup>Fe@NGC/CB) displays a superior ORR performance with an onset potential of 1.0 V and half-wave potential of 0.87 V along with outstanding durability and methanol tolerance, outperforming the commercial Pt/C catalyst and most reported non-precious catalyst. This present work provides a simple and large-scale materials

fabrication strategy using cheap raw components. More importantly, this finding shed light on the activity origin of high-performance Fe-N-C catalyst toward ORR, and affords a new cognition for rational design of high active ORR electrocatalysts.

## 2. Results and discussions

### 2.1 The Synthesis of Fe@NGC/CB catalyst

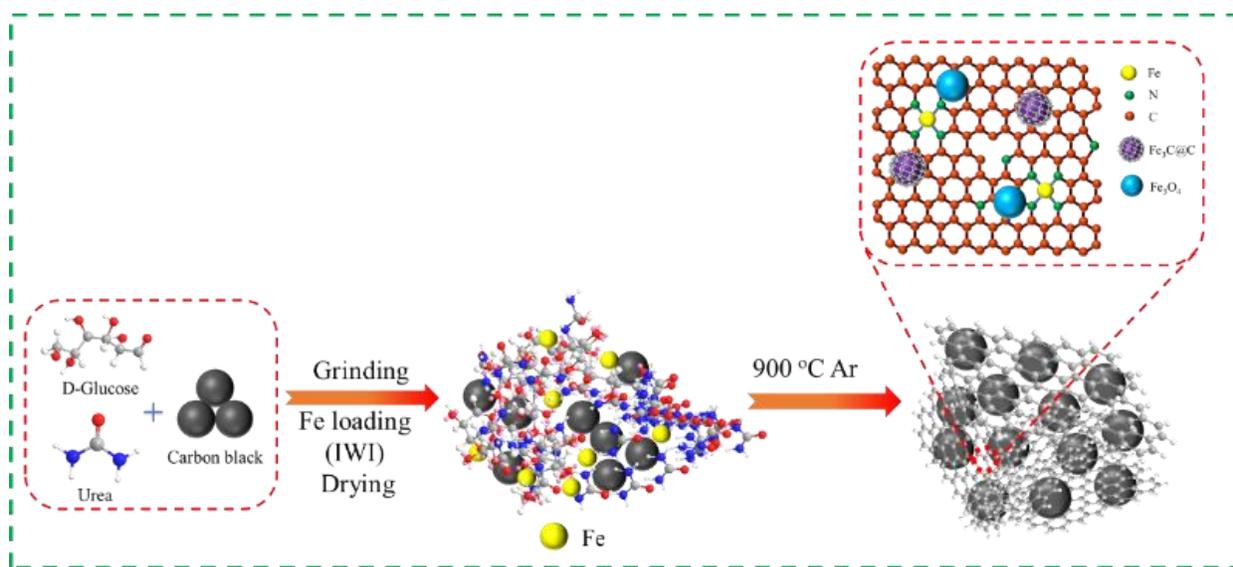


Figure 1. Schematic illustration of fabrication procedure of  $^{4.5}\text{Fe@NGC/CB}$  hybrid electrocatalyst.

Figure 1 illustrates the adopted synthetic methodology of Fe@NGC/CB hybrid. Carbon black (Vulcan XC-72, Cabot) is used as a “standing scaffold” (primary carbon phase) for the accommodation of porous N-doped graphene-like nanosheets (secondary carbon phase) to enable the host of graphitic carbon layer encapsulated  $\text{Fe}_3\text{O}_4/\text{Fe}_3\text{C}$  along with high densely atomic Fe-N<sub>x</sub> nuclei. The physical mixture of three raw components starting from carbon black, D-glucose, urea is firstly subjected to incipient wetness impregnation with control concentration (0, 0.016, 0.08, 0.4 M) of iron chloride precursor solution, hereafter referred to as NGC/CB,  $^{1-15}\text{Fe@NGC/CB}$ ,

$^{4.5}\text{Fe@NGC/CB}$ ,  $^{16.2}\text{Fe@NGC/CB}$ , respectively). In contrast to conventional solid phase synthesis from direct physical mixtures of N and C-contained components and Fe precursor powder, the impregnated phase with an aqueous Fe-based solution allows a uniform distribution of metal cations where  $\text{Fe}^{3+}$  can be firmly bound to deprotonated alcoholic hydroxyl groups in the glucose molecule due to its strong chelating ability [20]. The impregnated samples after dryness are then annealed at 900 °C under argon atmosphere without any post-treatment (e.g., acid/basic leaching, the second  $\text{NH}_3/\text{N}_2$  thermal treatment). During annealing process, urea is first thermally polymerized into layered graphitic carbon nitride ( $\text{g-C}_3\text{N}_4$ ) at around 550 °C which then assists the directed formation of two-dimension carbon nanosheet on the carbon black scaffold [388]. Specifically, the in-situ formed layered  $\text{g-C}_3\text{N}_4$  acts as space-limited self-sacrificial template to confine the patches of aromatic carbon derived from decomposition of glucose-metal complex, while N sites in the  $\text{g-C}_3\text{N}_4$  is thought to be an ideal nucleation and stabilization sites for Fe atoms. Above 750 °C, the thermally unstable layered  $\text{g-C}_3\text{N}_4$  is completely decomposed accompanied with evolution of N-containing gases and graphene-like carbon nanosheet, with defects, is then liberated [389]. Together with  $\text{NH}_3$  generated from urea at relatively low temperature range, N-doping and pore structure are created by reaction between N-containing gases and oxygen function group in the glucose/carbon intermediate/carbon black and subsequently substitution of carbon atom at elevated temperature. Simultaneously, the Fe atoms are moved into carbon matrix and then trapped by N- species giving rise to the formation of atomically dispersed  $\text{FeN}_x$  sites at a relatively high temperature range. Some of Fe atoms are not coordinated to N sites, resulting in the formation of graphitic carbon layer encapsulated Fe-based nanoparticles through thermal-induced carbon diffusion inside/outside crystalline lattice of Fe [147, 390]. Eventually, Fe-based nanoparticles

encapsulated by graphitic carbon layer are coupled with  $\text{FeN}_x$  moieties and supported on porous N-doped graphene-like carbon nanosheet/carbon black hybrid (Fe@NGC/CB).

## 2.2 Characterization of Fe@NGC/CB catalysts.

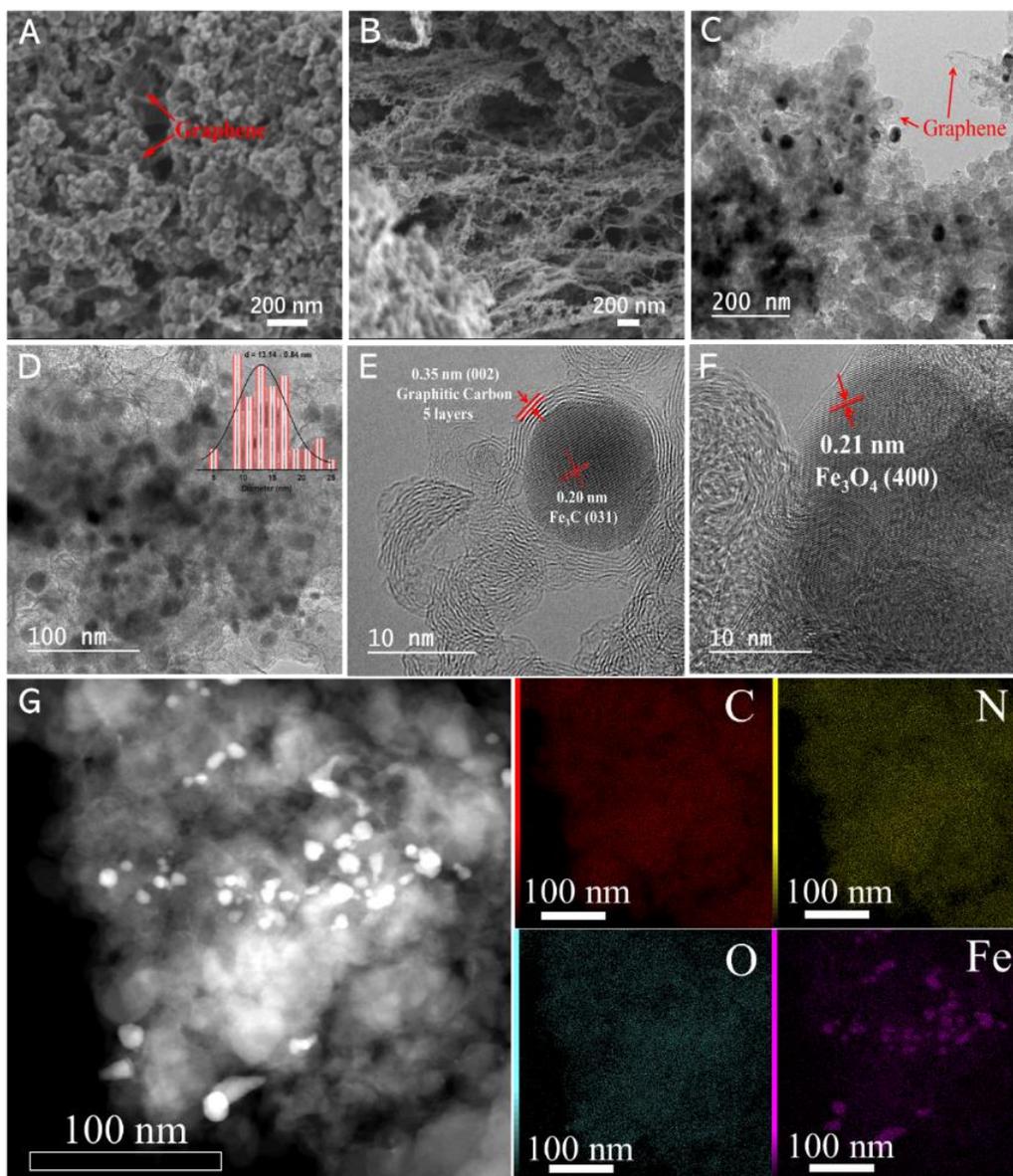


Figure 2. The SEM micrographs at different selected region (A-B), low-resolution (C-D) TEM images (inset in Figure D showing the nanoparticle size distribution), high-resolution (HRTEM) images (E-F), and energy-dispersive-X ray (EDX) mapping images (G).

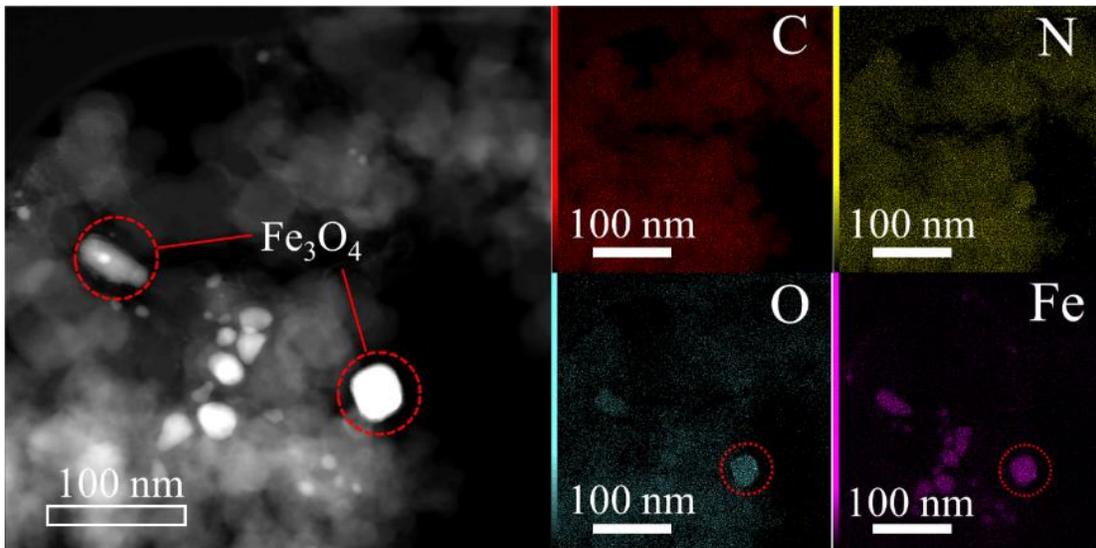


Figure 3. Energy-dispersive-X-ray (EDX) mapping on the  $^{4.5}\text{Fe@NGC/CB}$ .

The selected representative micrographs (SEM) recorded for  $^{4.5}\text{Fe@NGC/CB}$  sample reveal hierarchal structure with carbon black nanoparticles as mainly carbon phase and ultra-thin, highly crumpled nanosheets as secondary carbon phase (Figure 2A-B). The entangled layered carbon structures on the carbon black grains give rise to the formation of open network framework and well-developed meso-macro scale pore structure of as-prepared electrocatalyst. The open network framework with abundant porous structure is thought to play an important role in the ORR process as it provides an easily accessibility of the reactant to the active sites.

Transmission electron microscopy (TEM) image (Figure 2C) further displays ultrathin characteristic of transparent carbon nanosheet localized on the edge of carbon grains which might be (-in part at least-) attributed to graphene. Indeed, several works in regard to the use of glucose and N-containing small molecules to be employed for the fabrication of N-doped graphene nanosheet-based materials have been documented [374, 375]. An overview of  $^{4.5}\text{Fe@NGC/CB}$

(Figure 2D) reveals the presence of some nanoparticles with mean size of ca. 13 nm, while a close look at high resolution image (HR-TEM) shown in Figure 2E-F unveils that these nanoparticles are composed of Fe<sub>3</sub>C nanoparticle encapsulated by onion-like graphitic carbon nano-shells with around 5 layers, along with less carbon layers coated Fe<sub>3</sub>O<sub>4</sub> nanocrystal. In light of the similar interplanar distance (0.21 nm) for (400) plane of Fe<sub>3</sub>O<sub>4</sub> and (211) plane of Fe<sub>3</sub>C, the direct evidence for the presence of Fe<sub>3</sub>O<sub>4</sub> phase with less carbon layers encased is further validated by energy-dispersive-X-ray (EDX) mapping (Figure 3). A more representative region selected on this sample has explicitly shown a co-existence of homogeneous distribution of atomic Fe nuclei sites and Fe-based nanoparticles along with N and O elements over the entire carbon hybrid carrier (Figure 2G).

X-ray diffraction (XRD) patterns of samples prepared with distinctive amount of Fe precursor along with respective control samples are present in Figure 4A. The broad diffraction peaks at  $2\theta = 24.7^\circ, 43.8^\circ$  for <sup>1.15</sup>Fe@NGC/CB, ascribed to (002), (100) planes of amorphous carbon, share almost the same pattern with sample obtained without Fe precursor (NGC/CB), indicating the highly dispersed Fe are presumably incorporated into carbon hybrid framework. As the amount of Fe precursor increases, the distinctive reflection peaks associated with Fe<sub>3</sub>O<sub>4</sub> and Fe<sub>3</sub>C crystalline phase are observable for <sup>4.5</sup>Fe@NGC/CB, in agreement with the results shown in TEM analysis. Further increase of Fe precursor amount (<sup>16.2</sup>Fe@NGC/CB) leads to sharper diffraction peaks of Fe<sub>3</sub>C phases, corresponding to the formation of larger size of Fe<sub>3</sub>C nanoparticles, while the Fe<sub>3</sub>O<sub>4</sub> nanoparticles are no longer detected and a new crystalline phase related to Fe<sub>0.945</sub>O starts to appear. The control catalysts are also reproduced with the same synthetic procedure as <sup>4.5</sup>Fe@NGC/CB but without the use of glucose, urea as to afford Fe@NCB, Fe@C/CB samples for comparison, respectively. Apart from as-existed Fe<sub>3</sub>O<sub>4</sub> and Fe<sub>3</sub>C crystalline phases, the additional metallic Fe phase ( $\gamma$ -Fe) are clearly observed for Fe@NCB. As for Fe@C/CB, the sharp peaks regarding

metallic Fe phase occur while  $\text{Fe}_3\text{C}$  phase could not be found. These outcomes disclose that both urea and glucose play a crucial role for the transformation of crystal phase, while the distinctive composition of Fe-based phases might be responsible for the apparent variability in activity towards ORR.

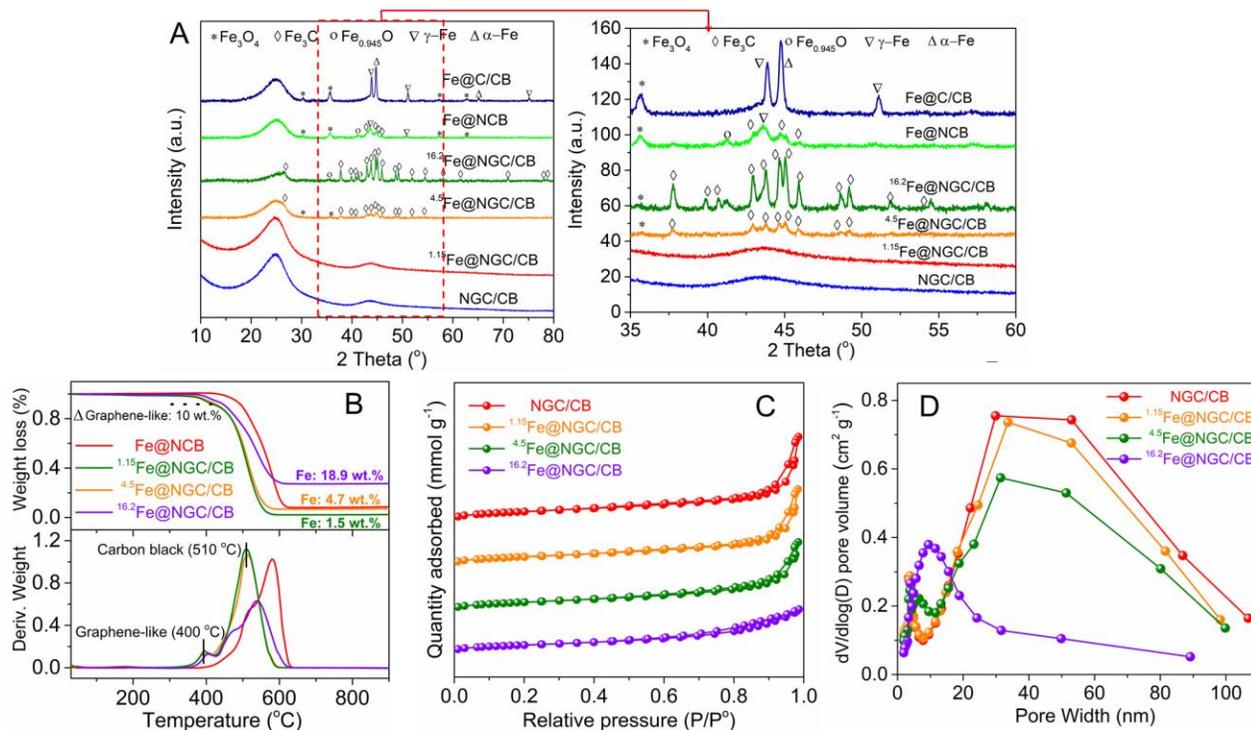


Figure 4. (A) The XRD profiles of all as-prepared catalysts; (B) The TGA (above) and DTG (below) curves of  $^{1.15}\text{Fe@NGC/CB}$ ,  $^{4.5}\text{Fe@NGC/CB}$ ,  $^{16.2}\text{Fe@NGC/C}$ , and Fe/NCB; (C) N<sub>2</sub> adsorption-desorption isotherm plots of samples with variable Fe addition recorded at 77 K along with (D) the respective pore size distributions (BJH method) based on desorption branch.

Thermogravimetric analysis (TGA) is conducted (from r.t. to 900 °C with a heating rate of 10 °C min<sup>-1</sup> under air atmosphere) to further reveal the composition of catalysts synthesized with variable amount of Fe precursor together with Fe/NCB at comparison. As shown in Figure 4B, the weak peak at  $\approx 400$  °C observed on the  $^{1.15}\text{Fe@NGC/CB}$ ,  $^{4.5}\text{Fe@NGC/CB}$ ,  $^{16.2}\text{Fe@NGC/CB}$

samples, which is not present in Fe/NCB, can be attributed to the decomposition of graphene-like carbon nanosheet. The mass fraction of the graphene-like carbon phase in the hybrid carbon framework account for roughly 10 wt. % on the basis of weight loss at low temperature range. The broad peak located at relatively high temperature region (510 °C) for  $^{1.15}\text{Fe@NGC/CB}$ ,  $^{4.5}\text{Fe@NGC/CB}$  arising from the carbon black-relevant degradation, shifts up to higher temperature range for  $^{16.2}\text{Fe@NGC/CB}$  and Fe/NCB. The higher decomposition temperature of carbon black is closely associated with enhanced graphitization degree. Based on the analysis of  $\text{Fe}_2\text{O}_3$  phase residues in the final product, the mass loadings of Fe are calculated to be 1.5, 4.7, 18.9 wt. % for the  $^{1.15}\text{Fe@NGC/CB}$ ,  $^{4.5}\text{Fe@NGC/CB}$ ,  $^{16.2}\text{Fe@NGC/CB}$ , respectively, basically consistent with outcomes of ICP-AES measurement.

Table 1. Textural properties of as-obtained catalysts

Sample	SSA <sup>a</sup> (m <sup>2</sup> g <sup>-1</sup> )	SSA <sub>micro</sub> <sup>a</sup> (m <sup>2</sup> g <sup>-1</sup> )	SSA <sub>external</sub> <sup>b</sup> (m <sup>2</sup> g <sup>-1</sup> )	V <sup>c</sup> (cm <sup>3</sup> g <sup>-1</sup> )	V <sub>micro</sub> <sup>d</sup> (cm <sup>3</sup> g <sup>-1</sup> )	Mean pore size <sup>e</sup> (nm)
NGC/CB	286	85	201	0.482	0.040	13.8
$^{1.15}\text{Fe@NGC/CB}$	258	64	194	0.452	0.030	12.8
$^{4.5}\text{Fe@NGC/CB}$	256	63	193	0.447	0.028	11.7
$^{16.2}\text{Fe@NGC/CB}$	218	47	171	0.343	0.022	8
Fe@C/CB	244	123	120	0.383	0.060	16.8
Fe@NCB	209	77	132	0.332	0.037	13.8
$^{4.5}\text{Fe@NGC/CB-AL}$	255	61	194	0.411	0.029	11.9

<sup>a</sup> Brunauer-Emmett-Teller (BET) total specific surface area (SSA) measured at T = 77 K. <sup>b</sup> The total specific surface area of mesopore and macropore. <sup>c</sup> Total pore volume determined using the adsorption branch of N<sub>2</sub> isotherm at P/P<sub>0</sub> = 0.98. <sup>d</sup> Determined by t-plot method. <sup>e</sup> Determined by BJH desorption average pore width (4V/A).

Further textural properties for as-prepared samples are evaluated at N<sub>2</sub> adsorption isotherms (T = 77 K). All concerned catalysts present type IV isothermal profiles (Figure 4C and Figure 5A)

with pronounced H3 - type hysteresis loop in the range of 0.4 - 1.0  $P/P^0$ , a typical characteristic of mesopore carbon featuring with slit-shaped pore structure [328]. Notably, as Fe precursor amount increases, the hysteresis loop and  $N_2$  adsorption amount (Figure 4C) at relatively high-pressure range ( $P/P^0 > 0.9$ ) are gradually suppressed which could be linked to the decreasing of the external surface area (the total SSA of mesopores and macropores). The pore size distribution curve shown in Figure 4D exhibits two types of characteristic mesopores. The small mesopore region (ca. 5 nm) is originated from the N-doped graphene-like carbon phase, on which both of the use of glucose and urea play pivotal role in the formation of small mesopore (Figure 5B), and the pore size distribution at ca. 40 nm can be ascribed to the interstitial pores of carbon black particles. The smaller population of large mesopore range is found with the increase of Fe loading (Figure 4D), which is originated from the blockage of large mesopores by Fe-based nanoparticles due to agglomeration of excessive Fe. This conclusion is further verified by a lower mean pore size (Table 1). The quantitative results on the texture properties shown in Table 1 present a SSA of  $256 \text{ m}^2 \text{ g}^{-1}$  over  $^{4.5}\text{Fe@NGC/CB}$ , higher than that measured on  $\text{Fe@NCB}$  ( $210 \text{ m}^2 \text{ g}^{-1}$ ), indicative of the contribution of secondary graphene-like carbon phase on the enhancement of SSA enabled by the use of glucose. Interestingly, in spite of the comparable SSA for both samples with and without the use of N-source ( $256 \text{ m}^2 \text{ g}^{-1}$  for  $^{4.5}\text{Fe@NGC/CB}$  vs.  $244 \text{ m}^2 \text{ g}^{-1}$  for  $\text{Fe@C/CB}$ ), the larger fraction of micropore ( $\text{SSA}_{\text{micro}} : 123 \text{ m}^2 \text{ g}^{-1}$ ;  $V_{\text{micro}} : 0.06 \text{ cm}^3 \text{ g}^{-1}$ ) for  $\text{Fe@C/CB}$ , twice higher respect to that of  $^{4.5}\text{Fe@NGC/CB}$  ( $\text{SSA}_{\text{micro}} : 60 \text{ m}^2 \text{ g}^{-1}$ ;  $V_{\text{micro}} : 0.028 \text{ cm}^3 \text{ g}^{-1}$ ) affords an evidence of the formation of  $\text{Fe-N}_x$  active sites due to the well-known micropore-hosted atomically dispersed  $\text{FeN}_x$  moieties in the  $^{4.5}\text{Fe@NGC/CB}$ . Indeed, the gradual decrease of micropore surface area is mainly responsible for smaller SSA as Fe loading increases (Table 1), which further enhances formation of  $\text{FeN}_x$  moieties even though the higher graphitization degree also results in

inevitably the decrease of micropores. The as-formed  $\text{FeN}_x$  sites are thought to occupy a certain number of micropores, for sure the part of N-doped sites should also be considered as a contribution for the micropore loss. The observation exhibits that N-source is of paramount component for construction of essential active sites towards ORR process.

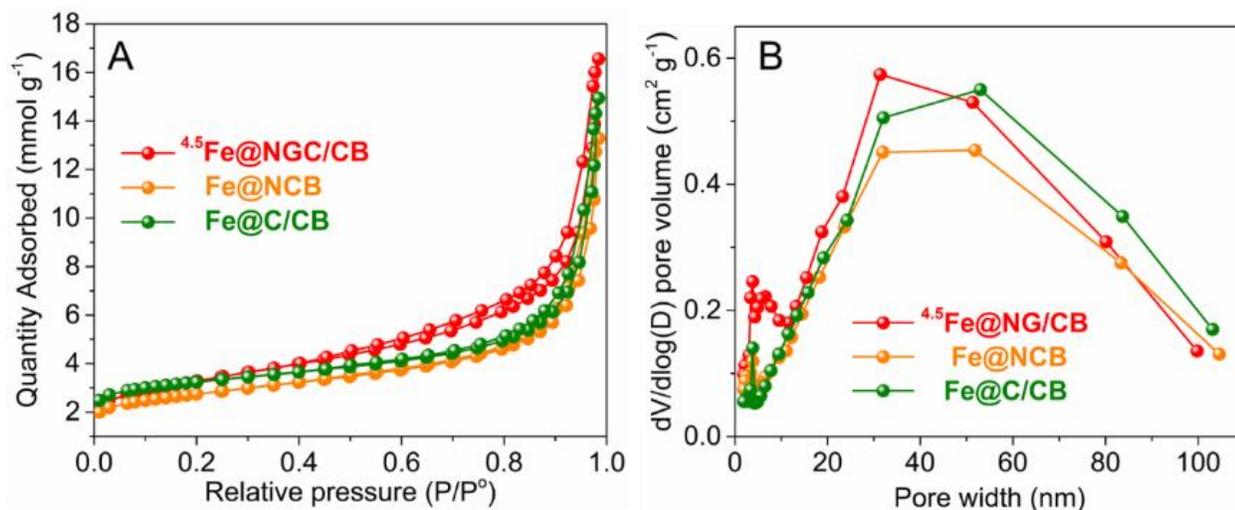


Figure 5. (A)  $\text{N}_2$  adsorption-desorption isotherm plots of  $^{4.5}\text{Fe@NGC/CB}$  and control samples without using glucose ( $\text{Fe@NCB}$ ), urea ( $\text{Fe@C/CB}$ ) recorded at 77 K along with (B) the respective pore size distributions (BJH method) based on desorption branch.

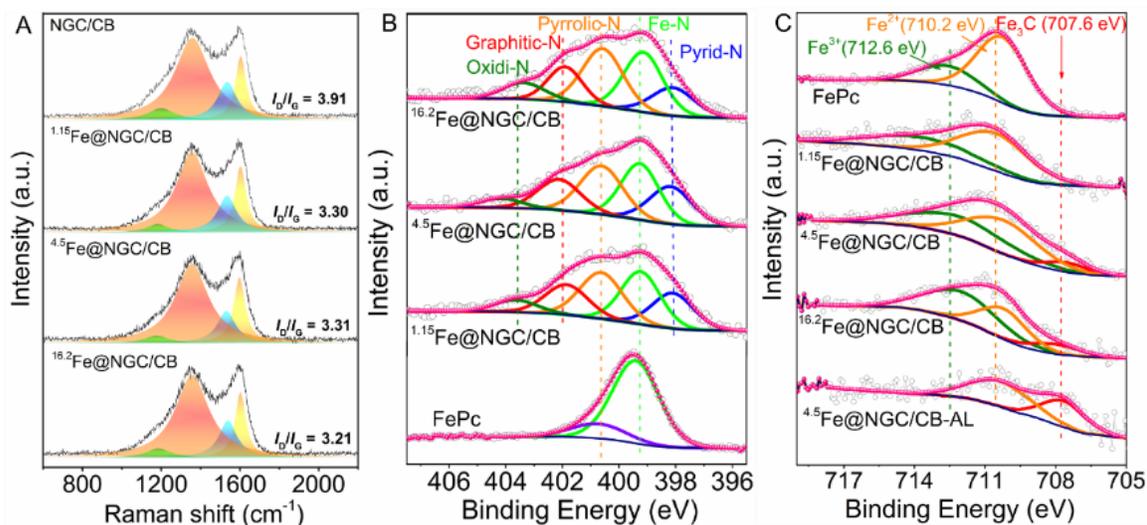


Figure 6. (A) The Raman spectra of samples prepared with different amount of Fe precursor; (B) XPS spectra of high-resolution N 1s core region in the samples containing variably Fe content along with FePc as reference sample at comparison; (C) XPS spectra of high-resolution Fe 2p<sub>3/2</sub> core regions in all concerned samples.

To get more insights on carbon structure information, the Raman spectra is carried out for all investigated samples. All samples can be well fit into four Lorentz peaks (Figure 6A and Figure 7), and the respective fitting results are shown in the Table 2. The calculated intensity ratios of D and G band ( $I_D/I_G$ ), typically used as indicator of graphitization degree on specific carbon materials, are 3.30, 3.31, 3.21 for <sup>1.15</sup>Fe@NGC/CB, <sup>4.5</sup>Fe@NGC/CB, <sup>16.2</sup>Fe@NGC/CB, respectively, slightly lower respect to that on NGC/CB (3.91). This finding demonstrates that the introduction of Fe increases the graphitization degree of the sample. On the other hand, the  $I_D/I_G$  values for Fe@NCB and Fe@C/CB are accounted for 2.41, 2.37, respectively, significantly lower compared to the other samples (Figure 7 and Table 2). Given the fact that a relative low density of FeN<sub>x</sub> sites are generated in high graphitized carbon due to the low nitrogen content in the graphitic matrix [275], the high graphitization degree of Fe@NCB and Fe@C/CB is mainly associated with a large number of Fe-based nanoparticles. The larger proportion of nanoparticles in turn results in decreased FeN<sub>x</sub> active sites and subsequently lower ORR performance. This observation further strengthens the viewpoint that introduction of glucose and N-source plays important role in the improvement of FeN<sub>x</sub> site density. Further effect on ORR catalytic activity will be unveiled in the following electrochemistry part.

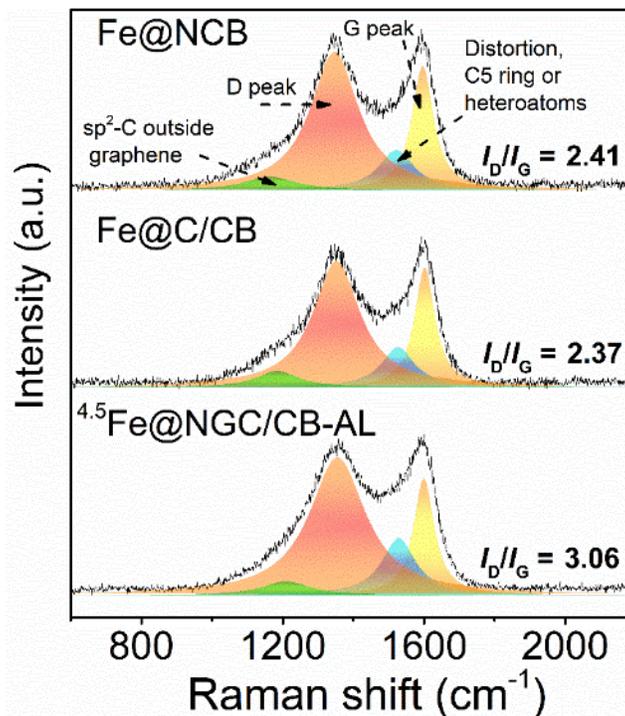


Figure 7. The Raman spectra of Fe@NCB, Fe@C/CB, and acid leached  $^{4.5}\text{Fe@NGC/CB-AL}$  samples (0.5 M  $\text{H}_2\text{SO}_4$  at 80 °C for 8 h).

X-ray photoelectron spectroscopy (XPS) is further performed to gain more insight about the chemical composition of the as-synthesized samples. The pure FePc is used as a reference to precisely identify the assignment of chemical composition and bonding state of target elements. The relative elemental compositions on the different samples are displayed in Table 3. The N content of Fe-containing samples is significantly decreased compared to that of the counterparts without Fe addition, suggesting the Fe doping promotes the decomposition of N-configuration with weak thermostability [375]. The N1s core level spectrum of samples is further deconvoluted to gain more insight into the coordination state of N atom. As Figure 6B shows, the FePc reference sample shows main peak at 399.4 eV accompanied with a satellite at 400.7 eV, ascribed to four nitrogen coordinated with Fe atom ( $\text{FeN}_4$  configuration) [147, 391]. Accordingly, the peak

occurred at 399.21 eV in all Fe-containing samples confirms the presence of Fe-N<sub>x</sub> moieties similar to FeN<sub>4</sub> configuration in FePc. Additional signals at around 398, 400.4, 401.8, 403.8 eV can be assigned to pyridinic N, pyrrolic N, graphitic N and oxidized N, respectively [7, 200]. According to the total nitrogen and respective fraction of N species on each sample based on the deconvolution results (Table 4), the N species contents are calculated and displayed in Table 3. The amount of FeN<sub>x</sub> moiety is determined to be 1.06 % in <sup>1.15</sup>Fe@NGC/CB, which further increases to 1.18 % with the increase of Fe content (<sup>4.5</sup>Fe@NGC/CB), while the value decreases to 0.96 % in <sup>16.2</sup>Fe@NGC/CB since the excessive additional of Fe gives rise to severe aggregation of Fe atoms during pyrolysis step. High-resolution Fe core level spectra (Fe 3/2p) is further deconvoluted to obtain deeper insight into the effect of Fe species change on electrocatalytic activity. As Figure 6C shows, the peaks at 710.2 eV, 712.6 eV shown in FePc reference sample can be ascribed to Fe<sup>2+</sup>, Fe<sup>3+</sup> in the macrocycle in the form of FeN<sub>4</sub> moiety with mostly being in the Fe<sup>II</sup> state (Fe<sup>2+</sup> : Fe<sup>3+</sup> = 3, Table 5) [392, 393]. As for <sup>1.15</sup>Fe@NGC/CB, the same feature peaks as that of FePc are found but with lower ration of Fe<sup>2+</sup>/Fe<sup>3+</sup> (Fe<sup>2+</sup> : Fe<sup>3+</sup> = 2), and the ratio gradually increases with increasing Fe precursor amount (Table 5), demonstrating the formation of Fe<sub>3</sub>O<sub>4</sub> nanoparticles in all three samples. However, an additional peak occurred at 707.6 eV, which could be assigned to Fe<sub>3</sub>C phase, is visible for both <sup>4.5</sup>Fe@NGC/CB and <sup>16.2</sup>Fe@NGC/CB, consistent with the XRD and TEM results. The relative fraction of Fe species and the amount of Fe species calculated on a basis of total Fe content and the fraction of Fe species has also been summarized (Table 5, Table 6).

Table 2. Raman fitting results for all samples

Sample	Deconvoluted results <sup>a</sup> (Area. %)				
	sp <sup>2</sup> carbon outside	D peak	Distortion C5 ring Heteroatom	G peak	<sup>b</sup> I <sub>D</sub> /I <sub>G</sub>
NGC/CB	6.62	61.6	16.03	15.76	3.91
<sup>1.15</sup> Fe@NGC/CB	4.10	60.7	16.70	18.40	3.30
<sup>4.5</sup> Fe@NGC/CB	4.11	62.6	14.34	18.95	3.31
<sup>16.2</sup> Fe@NGC/CB	4.89	60.0	16.41	18.70	3.21
Fe@NCB	5.85	57.7	12.46	23.99	2.41
Fe@C/CB	6.29	56.8	12.87	24.02	2.37
<sup>4.5</sup> Fe@NGC/CB-AL	5.06	59.9	15.43	19.60	3.06

<sup>a</sup> fitting by Lorentz method, <sup>b</sup> determined by the area ratio between D peak and G peak.

Table 3. Chemical composition properties of as-obtained catalysts

Sample	XPS data								
	Element composition <sup>a</sup> (at. %) <sup>c</sup>				N species (at. %) <sup>b</sup>				
	Carbon	Oxygen	Nitrogen	Iron	Pyridinic	Pyrolic	Graphitic	Oxidized	Fe-N
NGC/CB	93.68	0.98	5.34	0	1.44	1.42	1.56	0.915	--
<sup>1.15</sup> Fe@NGC/CB	93.94	1.97	3.75	0.33	0.75	1.01	0.66	0.27	1.06
<sup>4.5</sup> Fe@NGC/CB	93.22	2.09	4.14	0.55	0.878	1.14	0.724	0.22	1.18
<sup>16.2</sup> Fe@NGC/CB	93.0	3.15	3.22	0.63	0.497	0.97	0.5	0.29	0.962
Fe@C/CB	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
Fe@NCB	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.	n.d.
<sup>4.5</sup> Fe@NGC/CB-AL	92.76	3.92	3.15	0.18	0.66	1.00	0.263	0.194	1.03

<sup>a</sup> determined by XPS analysis; <sup>b</sup> Determined by high resolution XPS N 1s core region and the product of relative deconvoluted peak and total nitrogen content on each sample.

Table 4. N1s deconvoluted results of as-prepared catalysts

Sample	N species (at. %)				
	Pyridinic N	Pyrrolic N	Graphitic N	Oxidized N	Fe-N <sub>x</sub>
NGC/CB	27.0	26.52	29.21	17.13	-
<sup>1.15</sup> Fe@NGC/CB	19.98	27.03	17.6	7.12	28.26
<sup>4.5</sup> Fe@NGC/CB	21.21	27.55	17.49	5.33	28.42
<sup>16.2</sup> Fe@NGC/CB	15.43	30.14	15.52	9.03	29.88
<sup>4.5</sup> Fe@NGC/CB-AL	20.97	31.94	8.35	6.17	32.57

Table 5. Fe 2p<sub>3/2</sub> deconvoluted results of as-prepared catalysts

Sample	Fe species			
	Fe <sub>3</sub> C (at. %)	Fe <sup>2+</sup> (at. %)	Fe <sup>3+</sup> (at. %)	Fe <sup>2+</sup> /Fe <sup>3+</sup>
FePc	0	75.4	24.6	3.07
<sup>1.15</sup> Fe@NGC/CB	0	68.2	31.8	2.14
<sup>4.5</sup> Fe@NGC/CB	8.37	49.88	41.75	1.19
<sup>16.2</sup> Fe@NGC/CB	11.7	35.3	53	0.67
<sup>4.5</sup> Fe@NGC/CB-AL	35.54	64.46	0	-

Table 6. Fe composition based on production of Fe contents and respective fraction of Fe species on each sample.

Sample	Fe species		
	Fe <sub>3</sub> C (at. %)	Fe <sup>2+</sup> (at. %)	Fe <sup>3+</sup> (at. %)
<sup>1.15</sup> Fe@NGC/CB	0	0.201	0.129
<sup>4.5</sup> Fe@NGC/CB	0.046	0.274	0.230
<sup>16.2</sup> Fe@NGC/CB	0.074	0.222	0.334
<sup>4.5</sup> Fe@NGC/CB-AL	0.064	0.116	0

### 2.3 Electrochemical properties of Fe@NGC/CB catalysts

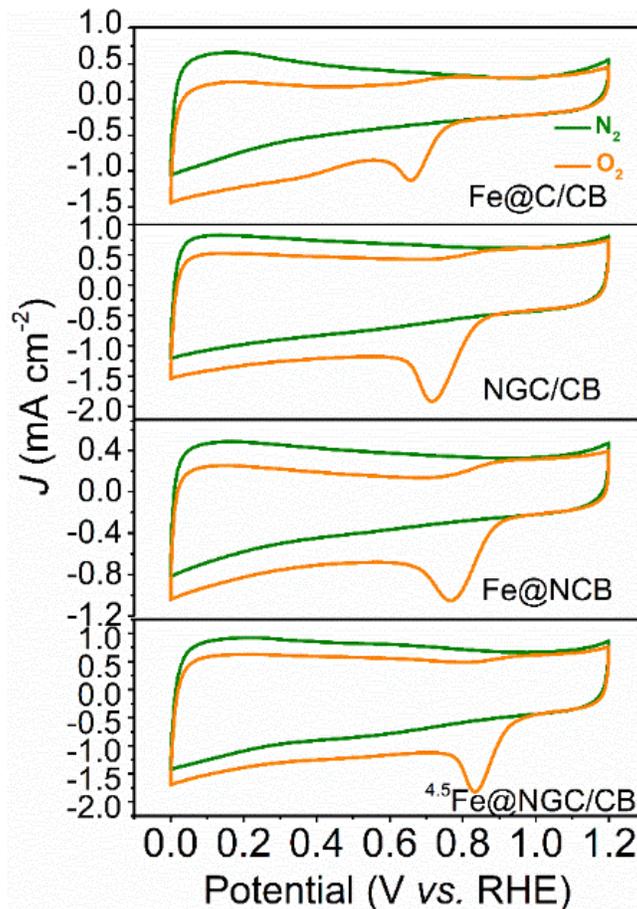


Figure 8. The CV curves of control samples at potential range of 0 - 1.2 V vs. RHE with a scan rate of  $50 \text{ mV s}^{-1}$  under  $\text{N}_2$  and  $\text{O}_2$ - saturated 0.1 M KOH solution, respectively.

The ORR electrocatalytic activity of sample  $^{4.5}\text{Fe@NGC/CB}$  along with control catalysts without the use of glucose and urea are firstly evaluated. As Figure 8 shows, the CV curves recorded on  $\text{N}_2$  and  $\text{O}_2$ -saturated 0.1 M KOH with a scan rate of  $50 \text{ mV s}^{-1}$  show pronounced reduction peaks in  $\text{O}_2$  atmosphere for all concerned samples with the most positive potential observed in  $^{4.5}\text{Fe@NGC/CB}$ , indicating all samples display oxygen reduction ability with  $^{4.5}\text{Fe@NGC/CB}$  being the most active catalyst for ORR.

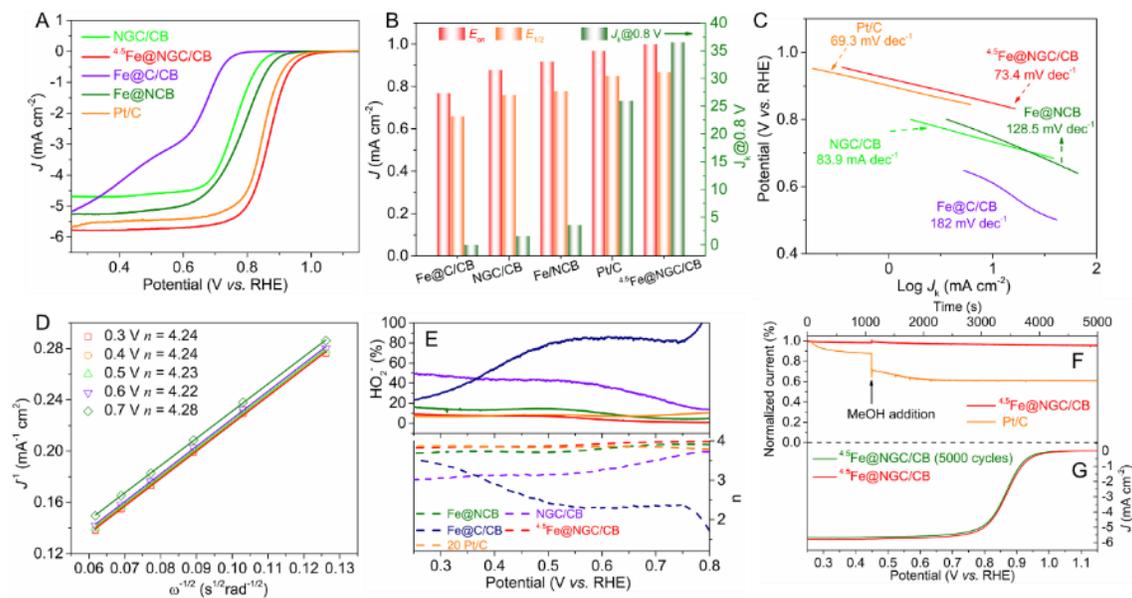


Figure 9. (A) Steady-state polarization curves of all control samples along with commercial Pt/C recorded in an O<sub>2</sub>-saturated 0.1 M KOH electrolyte solution at a scan rate of 10 mV s<sup>-1</sup> at a rotating speed of 1600 rpm and (B) corresponding electrochemical parameter ( $E_{on}$ ,  $E_{1/2}$ , and kinetic current density at potential of 0.8 V,  $J_k@0.8$  V); (C) Tafel plots for all control samples along with benchmarked Pt/C; (D) K-L plots for <sup>4,5</sup>Fe@NGC/CB; (E) The H<sub>2</sub>O<sub>2</sub> yield and electron transfer number for <sup>4,5</sup>Fe@NGC/CB and control samples along with Pt/C derived from rotation disk-ring electrode (RRDE) measurement at a scan rate of 10 mV s<sup>-1</sup> at a rotation speed of 1600 rpm in O<sub>2</sub>-saturated 0.1 M KOH electrolyte; (F) Methanol poisoning and (G) durability tests.

The LSV curves and corresponding electrocatalytic parameter (onset potential ( $E_{on}$ ), half-wave potential ( $E_{1/2}$ ), and kinetic current density at applied potential of 0.8 V ( $J_k@0.8$  V)) collected at rotation speed of 1600 rpm at scan rate of 10 mV s<sup>-1</sup> are depicted in Figure 9A-B and Table 7. The control catalyst Fe@C/CB shows much lower ORR activity with a limited  $E_{1/2}$  of 0.66 V and  $E_{on}$  of 0.77 V, indicating that Fe/Fe<sub>3</sub>O<sub>4</sub> nanoparticles (see XRD result in Figure 4A) show negligible activity toward ORR and N doping is indispensable for the construction of active sites. However, the introduction of N-source alone (NGC/CB) leads to a limited enhancement of ORR

activity ( $E_{1/2}$  of 0.76 V and  $E_{on}$  of 0.88 V). Noteworthy, the presence of both Fe and N source ( $^{4.5}\text{Fe@NGC/CB}$ ) dramatically boosts the ORR activity with optimal  $E_{on}$  of 1 V,  $E_{1/2}$  of 0.87 V along with a highest limited current density ( $5.75 \text{ mA cm}^{-2}$ ). The finding reveals Fe and N synergistically contribute to enhanced ORR activity, implying the formation of  $\text{FeN}_x$  coordination configuration.

Table 7. Electrochemical performance of all samples in ORR

Entry	Catalyst	$E_{on}^a$ (V)	$E_{1/2}^b$ (V)	$J_L^c$ (mA $\text{cm}^{-2}$ )	Tafel slope (mV $\text{dec}^{-1}$ )	$J_k^d$ (mA $\text{cm}^{-2}$ )
1	NGC/CB	0.88	0.76	4.66	83.9	1.59
2	$^{1.15}\text{Fe@NGC/CB}$	0.95	0.85	5.55	84.29	22.15
3	$^{4.5}\text{Fe@NGC/CB}$	1.0	0.87	5.75	73.40	36.56
4	$^{16.2}\text{Fe@NGC/CB}$	0.95	0.85	5.23	86.25	19.2
5	$\text{Fe@C/CB}$	0.77	0.66	3.78	182.0	0.026
6	$\text{Fe@NCB}$	0.92	0.78	5.2	128.5	3.59
7	$^{4.5}\text{Fe@NGC/CB-AL}$	0.97	0.85	5.36	-	25.20
8	20 Pt/C	0.97	0.85	5.44	69.3	25.98

<sup>a</sup> Onset and <sup>b</sup> half-wave potential values reported vs. RHE, <sup>c</sup> limited diffusion current density, <sup>d</sup> Kinetic current density calculated from K-L equation at 0.80 V vs. RHE.

On the other hand,  $\text{Fe@NCB}$  presents an inferior ORR activity ( $E_{on} = 0.92 \text{ V}$ ,  $E_{1/2} = 0.78 \text{ V}$ ) with respect to that of  $^{4.5}\text{Fe@NGC/CB}$ , indicating that the addition of glucose also plays important role for the improved ORR. In consideration to similar Fe content and crystal phase composition (see XRD and TGA results in Figure 4A-B) for  $^{4.5}\text{Fe@NGC/CB}$  and  $\text{Fe@NCB}$ , the enhanced electrocatalytic performance could be rationalized that N-doped graphene-like carbon nanosheet derived from glucose facilitate accommodation of larger portion of active sites due to higher total specific surface area and lower graphitic degree (Table 1, Figure 6A and Figure 7).

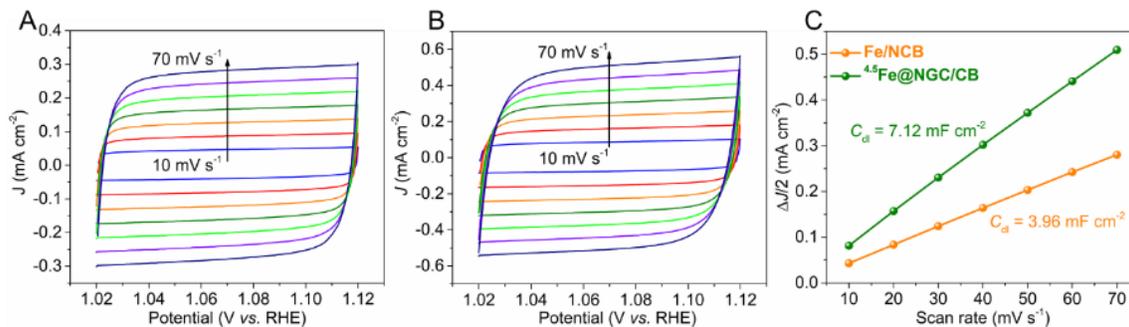


Figure 10. The CV curves of Fe@NCB (A) and  $^{4.5}\text{Fe@NGC/CB}$  (B) in the potential range of 1.02-1.12 V vs. RHE with various scan rates in  $\text{N}_2$ -saturated 0.1 M KOH solution and corresponding linear fitting of the capacitive currents vs. scan rates (C).

Indeed, the double-layer capacitance ( $C_{dl}$ )- a parameter applied as a characteristic of electrochemically active surface area (ECSA) [341] - is calculated to be  $3.96 \text{ mF cm}^{-2}$  for Fe/NCB, nearly twice lower than that on  $^{4.5}\text{Fe@NGC/CB}$  ( $7.3 \text{ mF cm}^{-2}$ ) (Figure 10), further strengthening the pivotal role of glucose on the formation of higher active sites density for the electrocatalytic ORR process. More importantly, the improved electric conductivity and desired hierarchical open framework imparted by N-doped secondary carbon phase are important for both easily electron and mass transport. All of beneficial actions on the  $^{4.5}\text{Fe@NGC/CB}$  contribute to the eventually excellent ORR activity, which even outperforms the commercial Pt/C catalyst ( $E_{on} = 0.97 \text{ V}$ ,  $E_{1/2} = 0.85 \text{ V}$ ) and most previously reported non-precious metal catalyst. The Tafel slope (Figure 9C) determined on  $^{4.5}\text{Fe@NGC/CB}$  exhibits a value of  $73.4 \text{ mV dec}^{-1}$ , lower than that of NGC/CB ( $83.9 \text{ mV dec}^{-1}$ ), Fe@NCB ( $128.5 \text{ mV dec}^{-1}$ ) and Fe@C/CB ( $182 \text{ mV dec}^{-1}$ ), demonstrating the fastest reaction kinetics over all control samples.

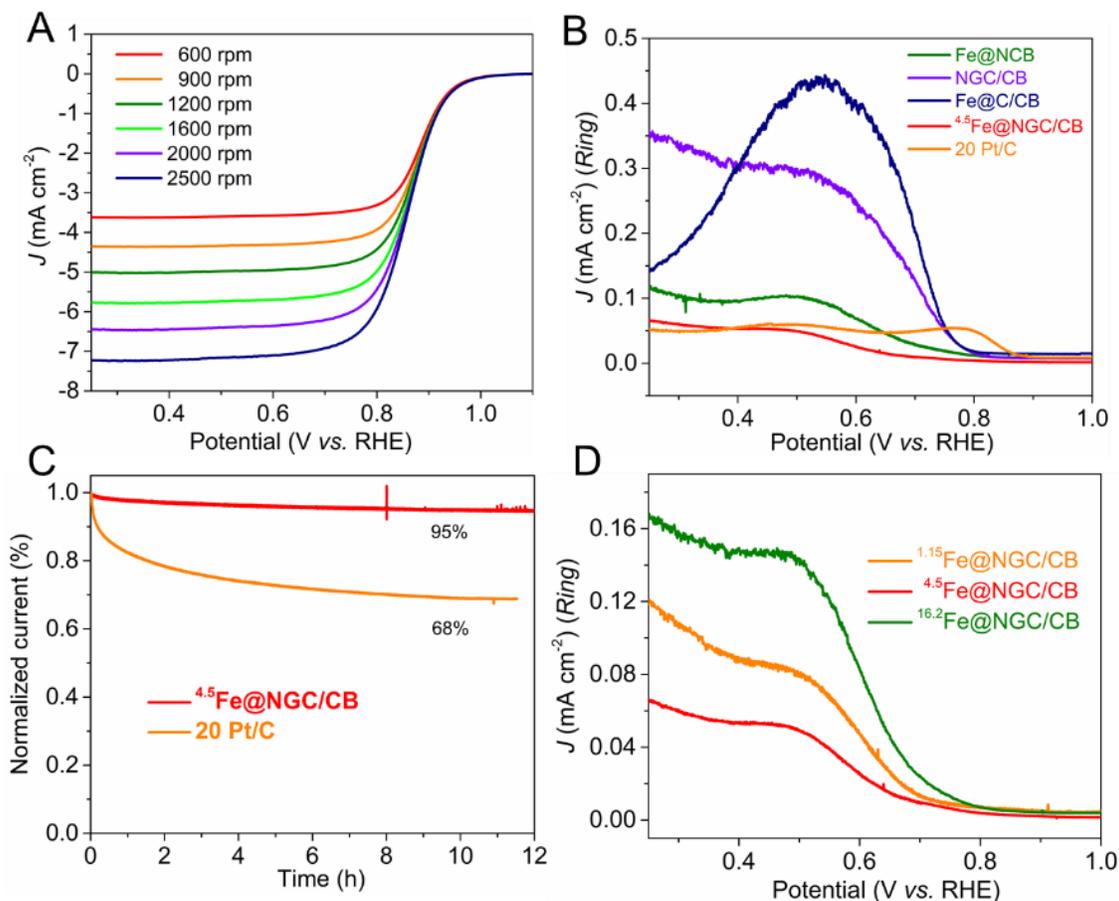


Figure 11. (A) Linear sweep voltammograms (LSVs) for ORR in  $O_2$ -saturated 0.1 M KOH solution for  $^{4.5}\text{Fe@NGC/CB}$  at variable rotation rates with a scan rate of  $10 \text{ mV s}^{-1}$ ; (B) RRDE current-potential curves of  $^{4.5}\text{Fe@NGC/CB}$  and respective control samples as well as benchmarked Pt/C catalyst in  $O_2$  saturated 0.1 M KOH solution recorded at the Pt-ring [ $A = 0.11 \text{ cm}^2$ ]. All ring currents have been measured at a rotation rate ( $\omega$ ) of 1600 rpm; (C) The chronoamperometric (CA) response curves of  $^{4.5}\text{Fe@NGC/CB}$  at a potential of 0.65 V vs. RHE with rotation speed of 1600 rpm in  $O_2$ -saturated 0.1 M KOH solution; (D) RRDE current-potential curves of samples prepared by variable Fe addition in  $O_2$  saturated 0.1 M KOH solution recorded at the Pt-ring [ $A = 0.11 \text{ cm}^2$ ], and all ring currents have been measured at a rotation rate ( $\omega$ ) of 1600 rpm.

The LSV curves of  $^{4.5}\text{Fe@NGC/CB}$  at different rotation speeds are investigated and corresponding Koutecky-Levich (K-L) plots are present in Figure 9D and Figure 11A. The

proportional increase of limited-diffusion current density with increasing rotation speeds (Figure 11A) implies a diffusion-controlled oxygen reduction process, and the good linearity of K-L plots at various applied potentials indicates the first-order reaction toward dissolved  $O_2$ . The average electron transfer number ( $n_E$ ) based on K-L equation is calculated to be 4.2 in the range of 0.3-0.7 V (The value of  $n_E$  over 4 is ascribed to the deviation between theory parameter and practical parameter under operate condition), exhibits an ideally 4-electron transfer pathway.

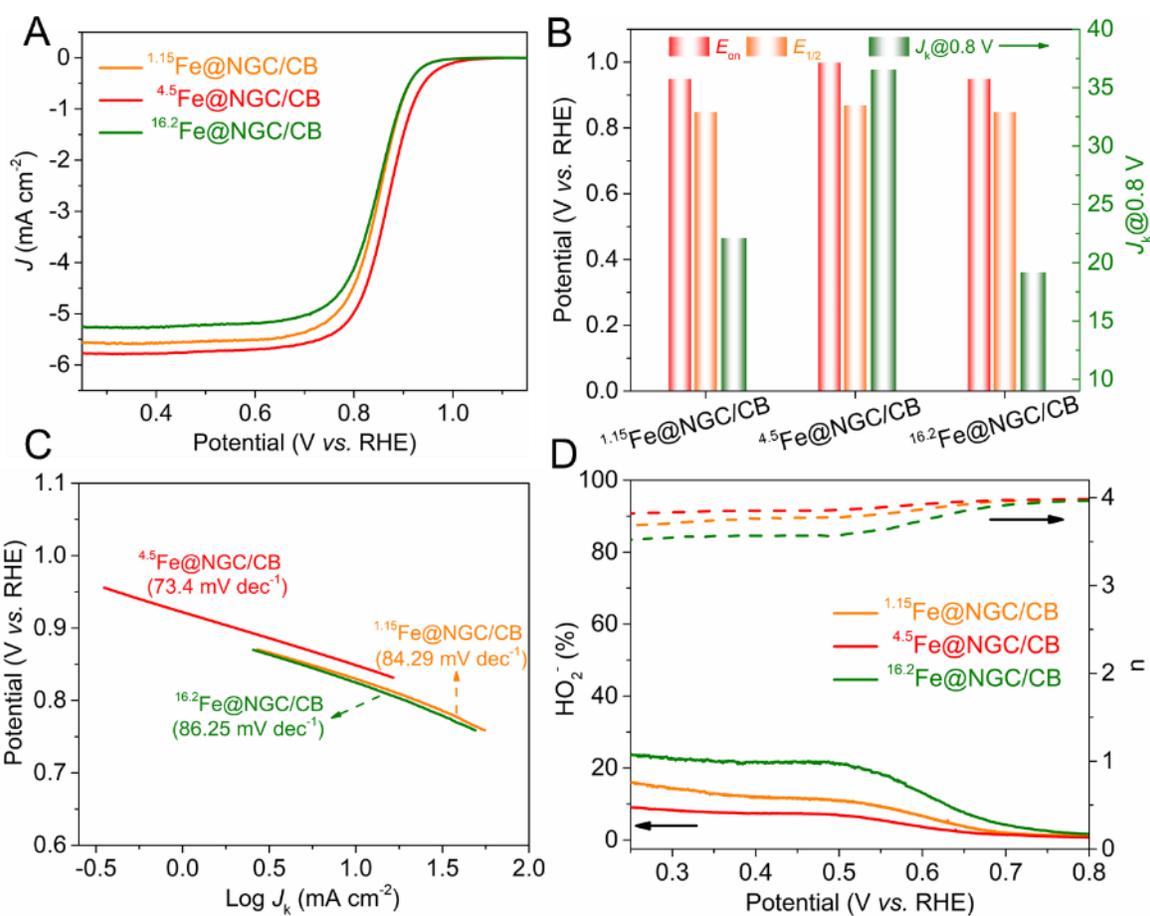


Figure 12. (A) Steady-state polarization curves of samples produced by the use of different Fe precursor and (B) respective electrochemical parameter ( $E_{on}$ ,  $E_{1/2}$ , kinetic current density,  $J_k@0.8$  V); (C) Tafel plots for samples prepared by variably addition of Fe; (D) The  $H_2O_2$  yield and electron transfer number for samples with different Fe loadings derived from rotation disk-ring

electrode (RRDE) measurement at a scan rate of  $10 \text{ mV s}^{-1}$  at a rotation speed of 1600 rpm in  $\text{O}_2$ -saturated 0.1 M KOH electrolyte.

Rotation ring-disk electrode measurements (RRDE) are further performed to gain more understanding on kinetic behavior over all samples. As Figure 9E and Figure 11B show, the  $\text{H}_2\text{O}_2$  yields of Fe@C/CB and NGC/CB are as high as 84.9 % and 37.5 %, corresponding to electron transfer number of 2.3 and 3.2 at applied potential of 0.6 V, respectively, exhibiting poor selectivity toward 4-electron transfer path. The simultaneously introduction of Fe and N (sample Fe@NCB) significantly decreases the  $\text{H}_2\text{O}_2$  yields and increases the number of electron transfer, strengthening the beneficial role of  $\text{FeN}_x$  sites towards ideally 4- electron reduction process. The addition of glucose (sample  $^{4.5}\text{Fe@NGC/CB}$ ) further improves the selectivity of reaction with  $\text{H}_2\text{O}_2$  yield accounted for less than 10 % and almost full 4-electron path across the whole investigated potential range ( $\text{H}_2\text{O}_2$  yield of as low as 3.72 % and electron transfer number of 3.93 at 0.6 V, even lower than those of benchmarked Pt/C). This result demonstrates the outstanding 4-electron selectivity of  $^{4.5}\text{Fe@NGC/CB}$  and the importance of secondary graphene-like carbon phase on the improvement of the ORR activity.

As an additional trial, the durability and methanol tolerance of the most active catalyst  $^{4.5}\text{Fe@NGC/CB}$  have also been investigated, which are considered to be fundamental prerequisite for the successful exploitation on the side of cathode at the heart of fuel cell. As shown in Figure 9G, the  $E_{1/2}$  shows negligible degradation after 5000 continuous potential cycling in the range of 0.6 - 1 V at a scan rate of  $50 \text{ mV s}^{-1}$  under  $\text{O}_2$  atmosphere, only with slight decrease of onset potential and limited-diffusion current density, thus demonstrating the excellent long-term stability of the catalyst. The additional chronoamperometric (CA) response curves are also performed to

evaluate the stability and methanol tolerance at applied potential of 0.65 V. As Figure 11C shows, the current density for  $^{4.5}\text{Fe@NGC/CB}$  with respect to initial value retains up to 95 % after 12 h continuous operation, much higher than that measured on Pt/C (68 %). Furthermore, upon introduction of methanol (1 % v/v), a rapidly irreversible drop of current density is observed for Pt/C catalyst while no appreciable change is observed for  $^{4.5}\text{Fe@NGC/CB}$  under the identical operation condition (Figure 9F). All these measurement results unambiguously confirm  $^{4.5}\text{Fe@NGC/CB}$  presents superior stability and tolerance toward methanol crossover. Combined with high ORR activity along with facile, scalable fabrication methodology, the as-prepared hybrid holds great promise as non-precious metal catalyst for the replacement of Pt-based noble catalyst toward next generation of fuel cell.

The deeper insight into Fe loading effect on electrocatalytic activity and identification of active sites for as-prepared hybrid are further explored. As shown in Figure 12A-B and Table 7, the  $^{1.15}\text{Fe@NGC/CB}$  displays an  $E_{\text{on}}$  of 0.95 V and  $E_{1/2}$  of 0.85 V, 50 mV and 20 mV lower than that on  $^{4.5}\text{Fe@NGC/CB}$  ( $E_{\text{on}} = 1$  V, and  $E_{1/2} = 0.87$  V). Further increasing Fe content ( $^{16.2}\text{Fe@NGC/CB}$ ) leads to a deteriorated of both  $E_{\text{on}}$  (0.95 V) and  $E_{1/2}$  of (0.85 V), comparable electrocatalytic performance but with slightly lower limited-diffusion current density respect to that of  $^{1.15}\text{Fe@NGC/CB}$ . The highest kinetic current density ( $36.56 \text{ mA cm}^{-2}$  calculated on a basis of K-L equation) at 0.80 V (Figure 12B, and Table 7) and lowest Tafel slope for  $^{4.5}\text{Fe@NGC/CB}$  (Figure 12C) verifies its most superior ORR activity among three samples. RRDE measurement (Figure 11D and Figure 12D) shows that  $^{1.15}\text{Fe@NGC/CB}$  catalyst presents moderate ORR selectivity respect to that of  $^{4.5}\text{Fe@NGC/CB}$ , while  $^{16.2}\text{Fe@NGC/CB}$  displays the worst selectivity among three samples in terms of highest yield of  $\text{H}_2\text{O}_2$  and lowest electron transfer number

throughout scanning region. This finding suggests an appropriate amount of Fe precursor is of great importance for the optimal activity and selectivity toward ORR.

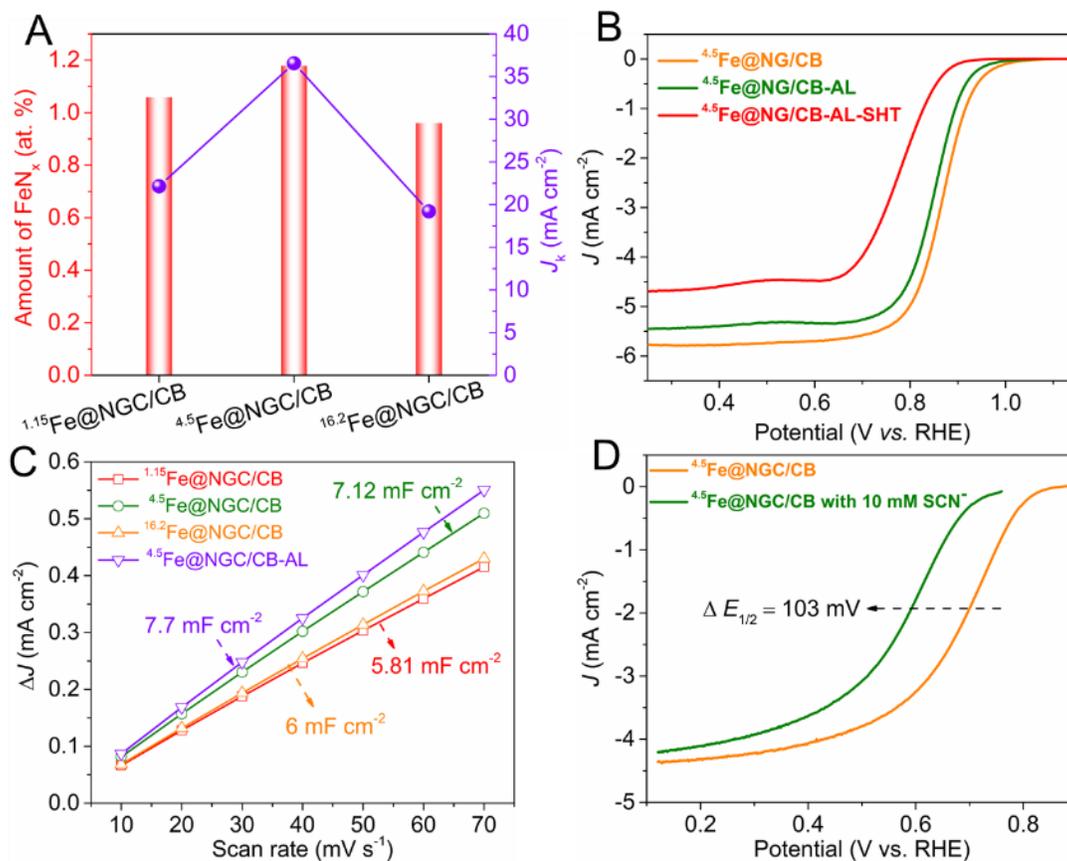


Figure 13. (A) The correlation plots between amount of FeN<sub>x</sub> moieties and ORR activity for samples with variable Fe loadings; (B) LSV curves of pristine 4.5Fe@NGC/CB, acid leached sample 4.5Fe@NGC/CB-AL, and subsequently second heating treatment sample 4.5Fe@NGC/CB-AL-SHT recorded at a rotation speed of 1600 rpm with scan rate of 10 mV s<sup>-1</sup> in O<sub>2</sub>-saturated 0.1 M KOH solution; (C) linear fitting plots of the capacitive currents vs. scan rates for samples with variable Fe loadings along with acid leached sample 4.5Fe@NGC/CB-AL; (D) LSV curves of sample 4.5Fe@NGC/CB before and after addition of 10 mM KSCN in O<sub>2</sub>-saturated 0.5 M H<sub>2</sub>SO<sub>4</sub> solution with scan rate of 10 mV s<sup>-1</sup> at a rotation speed of 1600 rpm.

To unveil ORR activities trend on the samples with various amount of Fe contents, the correlation plot between the density of FeN<sub>x</sub> sites based on XPS analysis and ORR activity is established. As shown in Figure 13A, the ORR activity is proportional to amount of FeN<sub>x</sub> moieties. Given the fact that N-doped carbon with higher amount of pyridinic N and graphitic N (NGC/CB) exhibit much inferior activity compared to that measured on <sup>4.5</sup>Fe@NGC/CB, the FeN<sub>x</sub> is thought to be the most electrochemically active sites for ORR, as it is generally accepted [119, 394]. In light of possible role of nanoparticles existed in the form of Fe<sub>3</sub>C and Fe<sub>3</sub>O<sub>4</sub> in the electrocatalyst <sup>4.5</sup>Fe@NGC/CB on enhanced electrochemical performances, some additional control experiments are conducted to gain deeper insight into potential synergism/promotion effect of Fe<sub>3</sub>C/Fe<sub>3</sub>O<sub>4</sub> and FeN<sub>x</sub> active sites toward ORR. First of all, a conventional acid etching of <sup>4.5</sup>Fe@NGC/CB, which is expected to remove the Fe-based nanoparticles (the leached sample is denoted as <sup>4.5</sup>Fe@NGC/CB-AL), is employed and respective electrocatalytic activity is investigated. Of note is that the potential deconstruction on the carbon structure and generation of oxygen function groups induced by acid etching process should be took into account on the leached sample. These factors may significantly influence on the identification of active sites, and, unfortunately, nearly no study previously systematically reported on these impacts. Indeed, the oxygen contents have been significantly improved by approximately twice (3.92 at. % for <sup>4.5</sup>Fe@NGC/CB-AL vs. 2.09 at. % for <sup>4.5</sup>Fe@NGC/CB) after 0.5 M H<sub>2</sub>SO<sub>4</sub> acid leaching at 80 °C for 8 h (Table 3), which in turn results in decrease of N relatively content. Hence, as an additional parallel trial, NGC/CB sample is also subjected to acid leaching with identical condition at comparison (referred as to NGC/CB-AL). As can be seen in Figure 14A, the ORR electrocatalytic activity of NGC/CB-AL almost retains the original level in terms of E<sub>on</sub> and E<sub>1/2</sub> only with slightly drop of limited current density respect to that of sample before acid leaching (NGC/CB). In sharp contrast, a significantly

decreased ORR activity with  $E_{on}$  of 0.97 V and  $E_{1/2}$  of 0.85 V for  $^{4.5}\text{Fe@NGC/CB-AL}$ , 30 mV and 20 mV lower than that of  $^{4.5}\text{Fe@NGC/CB}$ , is observed (Figure 13B). This finding demonstrates that the increase of the oxygen content on the surface of carbon itself is not responsible for the decreased ORR activity. The similar texture properties (Table 1) and graphitization degree (Figure 7) for both  $^{4.5}\text{Fe@NGC/CB}$  and  $^{4.5}\text{Fe@NGC/CB-AL}$  also exclude the impact of potential destruction of carbon structure resulted from acid leaching on electrocatalytic activity.

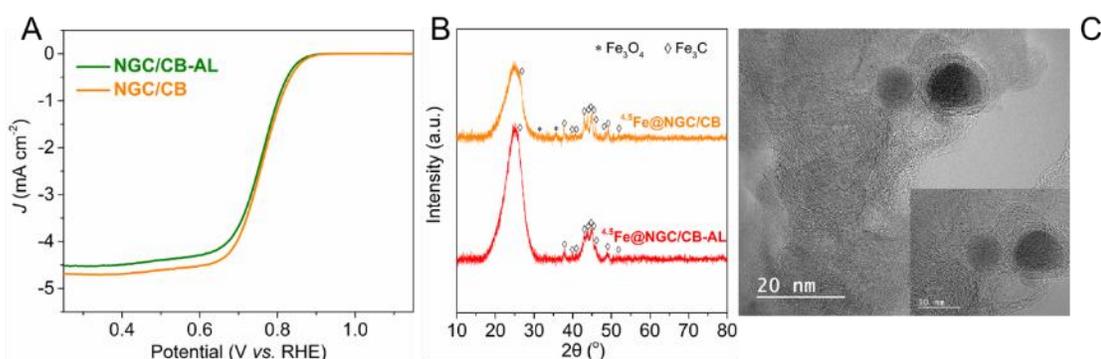


Figure 14. (A) LSVs for NGC/CB and respective acid-leached sample NGC/CB-AL; (B) The XRD patterns of  $^{4.5}\text{Fe@NGC/CB}$  and respective acid-leached sample  $^{4.5}\text{Fe@NGC/CB-AL}$ ; (C) TEM images at different magnification for sample  $^{4.5}\text{Fe@NGC/CB-AL}$ .

To further eliminate the impact of protonation of basic N-groups and subsequent  $\text{HSO}_4^-$  adsorption during acid leaching, along with oxygen-containing groups generated on the carbon matrix, which results in a decreased turnover frequency of adjacent  $\text{FeN}_x$  sites for the ORR [106, 395],  $^{4.5}\text{Fe@NGC/CB-AL}$  is thermally treated at 900 °C for 1 h under Ar again to remove adsorbed anion ions and oxygen functional groups on the surface of carbon matrix, and the sample is labelled as  $^{4.5}\text{Fe@NGC/CB-AL-SHT}$ . Surprisingly, a dramatically decreased electrocatalytic ORR activity is observed after the thermal treatment at 900 °C (Figure 13B), with  $E_{on}$  of 0.9 V and  $E_{1/2}$  of 0.78 V, both 70 mV lower than that of  $^{4.5}\text{Fe@NGC/CB-AL}$ , respectively, indicating that both

protonation of N groups followed by anion adsorption and generation of oxygen-containing groups are not also responsible for the degradation of ORR activity after acid leaching. All above control trials imply that the removal of Fe<sub>3</sub>O<sub>4</sub>/Fe<sub>3</sub>C nanoparticles existed in the <sup>4.5</sup>Fe@NGC/CB during acid leaching is the only origin of the ORR activity loss. As Figure 14B shows, the distinctive reflection peaks ascribed to Fe<sub>3</sub>C phase still present, while ones corresponding to Fe<sub>3</sub>O<sub>4</sub> crystalline phase are no longer visible in the <sup>4.5</sup>Fe@NGC/CB-AL. The result indicates that the Fe<sub>3</sub>O<sub>4</sub> NPs are preferentially etched by acid washing, which is related to the insufficient carbon coating layers around Fe<sub>3</sub>O<sub>4</sub> and easier acid etching. On the contrary, the Fe<sub>3</sub>C NPs can survive the harsh acidic etching conditions due to the presence of robust carbon layer encapsulation which prevent contact with the acid medium. It is worthy to note that similar results have also been reported previously about the resistance of carbon encapsulated iron nanoparticles during the carbon nanotubes synthesis [396]. The TEM images (Figure 14C) of the <sup>4.5</sup>Fe@NGC/CB-AL catalyst support the conclusion that there are indeed some graphitic carbon layers coated Fe<sub>3</sub>C NPs preserved, and the intact scaffold buildings with integrated N-graphene and carbon blacks are also well maintained after acid leaching. From a quantitative viewpoint, the Fe mass loading of <sup>4.5</sup>Fe@NGC/CB-AL deceases to 2.2 wt. %, approaching half of mass loss observed with respect to <sup>4.5</sup>Fe@NGC/CB on the basis of ICP-AES measurements. The significant decline of surface Fe atom content has also been observed by XPS analysis (Table 3). Interestingly, there is still presence of Fe<sub>3</sub>C phase with much higher proportion (Figure 6C and Table 5-6), while the peak related to Fe<sup>3+</sup> is no longer detected for <sup>4.5</sup>Fe@NGC/CB-AL, further confirming that acid etching preferentially eliminates Fe<sub>3</sub>O<sub>4</sub> nanoparticles and Fe<sub>3</sub>C nanoparticles are retained during acid leaching. Given the fact that FeN<sub>x</sub> sites is known to be highly robust moieties which is difficult to be removed by acid leaching (the lower amount of FeN<sub>x</sub> shown in Table 3 in <sup>4.5</sup>Fe@NGC/CB-AL respect to that of pristine

$^{4.5}\text{Fe@NGC/CB}$  is aroused from the introduction of O species during acid leaching procedure), all these systematically analysis unambiguously suggest the removal of  $\text{Fe}_3\text{O}_4$  nanoparticles is responsible for the ORR activity loss after acid leaching. To figure out the promotion mechanism of  $\text{Fe}_3\text{O}_4$  nanoparticles on ORR process, the ESCAs for various prepared electrocatalyst are further evaluated. The calculated  $C_{dl}$  values are 5.81, 7.12, 6, and 7.7  $\text{mF cm}^{-2}$  for  $^{1.15}\text{Fe@NGC/CB}$ ,  $^{4.5}\text{Fe@NGC/CB}$ ,  $^{16.2}\text{Fe@NGC/CB}$ , and  $^{4.5}\text{Fe@NGC/CB-AL}$ , respectively (Figure 13C and Figure 15). The higher  $C_{dl}$  values for  $^{4.5}\text{Fe@NGC/CB-AL}$  respect to that of pristine  $^{4.5}\text{Fe@NGC/CB}$  evidence the loss of  $\text{Fe}_3\text{O}_4$  nanoparticles do not lead to the decline of ESCA values. In fact, the enhanced ESCA values are probably attributed to the more exposed active sites located at the edge of  $\text{Fe}_3\text{O}_4$  nanoparticles. This result indicates  $\text{Fe}_3\text{O}_4$  nanoparticle itself does not serve as active site, which is well agreement with most inferior ORR activity on  $\text{Fe@C/CB}$  featuring  $\text{Fe/Fe}_3\text{O}_4$  nanoparticles phase (Figure 4A, Figure 9A-B).

Taken together, it comes to the conclusion that these  $\text{Fe}_3\text{O}_4$  nanoparticle indirectly boost ORR process on  $\text{FeN}_x$  sites via the beneficial electronic interaction, agreeing with some previously reports on  $\text{Fe}_3\text{O}_4$ -involved accelerated ORR reaction [384-386, 397, 398]. The poison experiment is finally carried out on sample  $^{4.5}\text{Fe@NGC/CB}$  to confirm the role of  $\text{FeN}_x$  sites on dominating ORR activity. As show in Figure 13D, the remarkably degradation of electrocatalytic activity (decreased by 103 mV in terms of  $E_{1/2}$ ) after introduction of  $\text{SCN}^-$  is observed, which can be attributed to the block of  $\text{FeN}_x$  sites by  $\text{SCN}^-$ , indicating ORR process prefers to occur on the  $\text{FeN}_x$  active sites. The finding reinforces the point that  $\text{FeN}_x$  moieties are real active sites in catalyzing ORR, and  $\text{Fe}_3\text{O}_4$  nanoparticles positively promote the ORR catalytic activity on  $\text{FeN}_x$  sites. It is worthy to note that we afford a clear-cut experimental proof on the role of  $\text{Fe}_3\text{O}_4$  nanoparticles

played in the hybrid electrocatalyst toward ORR process, which shed light on the real activity origin of multiple active sites with high complexity.

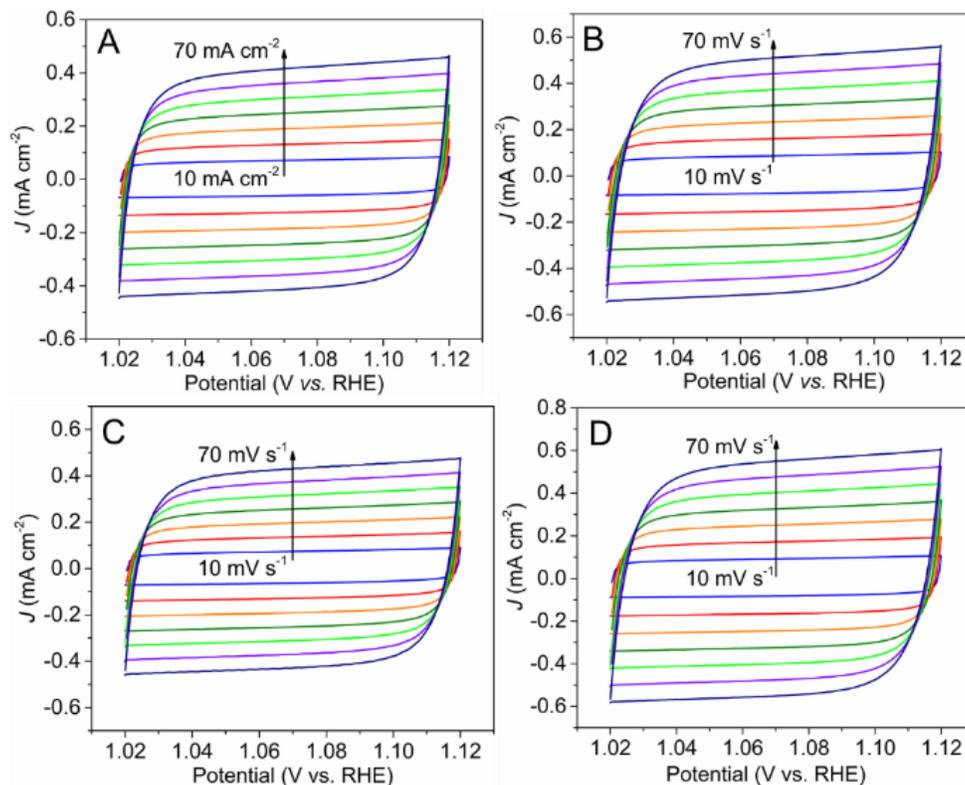


Figure 15. The CV curves in the potential range of 1.02-1.12 V vs. RHE with various scan rates in  $N_2$ -saturated 0.1 M KOH solution of (A)  $^{1.15}Fe@NGC/CB$ ; (B)  $^{4.5}Fe@NGC/CB$ ; (C)  $^{16.2}Fe@NGC/CB$ ; (D)  $^{4.5}Fe@NGC/CB-AL$

### 3. Conclusion

In summary, a facile and scalable strategy has been well developed for the preparation of hybrid catalyst enriched abundant  $FeN_x$  moieties coupling with highly graphitic carbon layer encapsulated  $Fe_3C/Fe_3O_4$  nanoparticles. The synthesis is performed by an incipient wetness impregnation of Fe aqueous solution on physically mixture of glucose, urea, and carbon black,

followed by one-step pyrolysis without any other post-treatment (e.g. acid leaching,  $\text{NH}_3$  second treatment etc.). The as-prepared electrocatalyst exhibits a heterostructure composed of N-doped carbon black as primary carbon scaffold and interweaved N-doped graphene as secondary carbon phase, featuring hierarchical porous structure with micro, meso and macropores. The addition of glucose is responsible for the formation of N-doped graphene, which significantly increases specific surface area and is believed to host more active sites, thus exerting a positive effect on the ORR activity. Thanks to above compelling merits, the optimal catalyst with an appropriate amount of Fe addition ( $^{4.5}\text{Fe@NGC/CB}$ ) displays a superior ORR performance.

A series of control experiments have unambiguously unraveled that  $\text{FeN}_x$  moieties are real active sites toward ORR and  $\text{Fe}_3\text{O}_4$  nanoparticles endow positive promotion effect on activity of  $\text{FeN}_x$  sites via electronic interaction. Our present work affords a simple and cost-effective route for the rational design of highly performance ORR electrocatalyst. More importantly, this work systematically illustrates the real activity origin of electrocatalyst with high complicated multiple active sites toward ORR process, which would be a valuable guideline for the design of next generation non-precious metal Fe-based electrocatalyst.

# **Chapter 7**

## **Conclusions and perspectives**

## 7.1 Concluding remarks and respective perspectives

In summary, the contribution describes a general and original strategy for the fabrication of atomically dispersed Fe-N-C catalysts, using food-grade precursor as carbon source for C-networks, and inorganic ammonia salts as nitrogen source. The preparation condition and chemical composition of the as-prepared catalysts have been systematically investigated. Finally, the as-synthesized Fe-N-C catalysts are evaluated for the oxygen reduction reaction and the structure-performance relationship properties have also been established and discussed.

**Chapter 3** focuses on the synthesis of highly metal charged Fe-N<sub>x</sub> moieties located at the interface of iron oxyhydroxide sub-nanometric structures and a N-doped carbon network through the combination of chelating citrate ions with the ancillary monodentate SCN<sup>-</sup> ligand. The role of SCN<sup>-</sup> on the chemico-physical properties and composition of the final catalysts along with their respective electrocatalytic ORR performances are investigated in detail. The result evidences that the introduction of SCN<sup>-</sup> is of great importance for the preparation of the atomically dispersed Fe-N-C active site configuration. The SCN<sup>-</sup> plays a role of structural mediator to prevent the formation of the inactive carbides phase. Ammonia post thermo-chemical treatment further promotes the exposure of surface atomic Fe sites by introducing the formation of more abundant porosity and to introduce simultaneously the highly basic N-terminated groups. The optimal catalyst obtained displayed high electrocatalytic performance towards ORR in alkaline media. We attribute the high performance of such model catalyst to the combination effect of desired geometric properties and high density of proposed atomic sites, i.e. FeN<sub>x</sub> located between Fe oxyhydroxide and N-doped C networks. However, the nature of the active sites on ORR is still needed to be unraveled from DFT calculation according to the proposed active sites configuration by EXAFS fitting results (FeN<sub>x</sub> moiety between Fe oxyhydroxide and N-doped C networks), particularly in the aspect of electron

structure. The stability of such unique active sites under operation should also be studied by in-situ XAS, which can be conducive for the understanding of the stability of the catalyst as a function of cycling tests. On the other hand, the ORR activity in acid media should be also optimized in our catalytic system. Lastly, the as-synthesized catalyst should be also evaluated in the membrane electrode assembly (MEA) such as anion-exchanged membrane fuel cell (AEMFC) for the consideration of future practical application. Indeed, regarding the future practical operation of such catalyst the problem of mass transfer should be assessed and benchmarked with that of the platinum-based catalysts.

**Chapter 4** describes a modified strategy for the synthesis of highly N-doped hierarchical porous carbon networks decorated with single atom Fe sites without using high temperature  $\text{NH}_3$  post thermo-treatment. Herein, the citric acid and ammonium carbonate are used as only carbon and nitrogen source, respectively. Thus, this methodology enables the flexible modulation on N-doped level by tuning in an independent way the amount of N-source. The addition of the third components ( $\text{SiO}_2$  and  $\text{ZnCl}_2$ ) also plays a crucial role on the modification of the physico-chemical properties of the as-synthesized active phase. The use of  $\text{SiO}_2$  not only produces the prevalent mesopore but also allows the preferential generation of single Fe sites. Besides, the formation mechanism of single Fe sites based on the gas-solid interfacial reaction is proposed based on the use of  $\text{SiO}_2$  as hard template. The  $\text{ZnCl}_2$  addition is found to be also important for the improvement of the ORR activity. Nonetheless, at odds with conventional conclusion that  $\text{ZnCl}_2$  usually acts as “porogen” to create micropore for hosting more  $\text{FeN}_x$  sites, the role of  $\text{ZnCl}_2$  in our work is supposed to operate in other ways. Further, the Fe sites are proposed to exist in the form of Cl- $\text{FeN}_4$  moieties revealed by EXAFS fitting result. The control experiments reveal that both of Cl- $\text{FeN}_4$  moieties and pyridinic-N are active sites with Cl- $\text{FeN}_4$  being the most active sites and the

Fe-based nanoparticles shows no activity towards ORR in alkaline media. However, similar to the first work, the activity of Cl-FeN<sub>4</sub> moieties and its comparison analysis with pristine FeN<sub>4</sub> sites still need to be verified by DFT calculation. On the other hand, the role of Cl in axial orientation and its stability under operation are elusive, and corresponding XAS measurement of model catalyst after reaction should be further tested to reveal the reduction mechanism of O<sub>2</sub> at such active sites. Likewise, the ORR activity in acidic media and application in real condition like MEA are also required for further development.

**Chapter 5** presents a simplified fabrication methodology for the synthesis of Fe-N-C hierarchical porous carbon networks using glucose as optimal carbon source, ammonium chloride as nitrogen source and SiO<sub>2</sub> as hard template for the construction of porous electrocatalyst. In this work, pyrolysis temperature has been investigated to obtain an optimal synthesis condition. The result suggests that the ORR activity is greatly dependent to the pyrolysis temperature, with 900 °C being the optimal one. Indeed, the excessive pyrolysis temperature (1000 °C) will lead to aggregation of Fe atom and the loss of N contents (FeN<sub>x</sub> moieties) which compromise the ORR activity. While the high N content and FeN<sub>x</sub> moieties are retained with low pyrolysis temperature (800 °C) compared with those of sample prepared in 900 °C, the ORR activity is still inferior with respect to those of other samples. Systematical studies reveal that too high oxygen content, especially for that belonging to carboxyl groups, with strong electron withdrawing property and low electron conductivity, are mainly responsible for the low ORR activity. The too strong effect of electron withdrawing results in the reduction of TOF on the Fe center, thus decreasing the ORR activity. This work sheds light on the importance of trend-off between the exposure density of FeN<sub>x</sub> active sites and its intrinsic activity as well as the whole conductivity of the samples. However, there still are some prospective investigations for the development of this work. First of

all, the precise nature of the samples prepared with different pyrolysis temperature should be analyzed by XAS analysis and HAADF-STEM images. In fact, there have been precedents regarding the role of pyrolysis temperature on tailoring the coordination number of  $\text{FeN}_x$  ( $X = 1, 3, 4, 5, 6$ ) [162, 351]. Once the nature of the active sites is identified, corresponding DFT calculation is required to confirm the reactivity of different  $\text{FeN}_x$  moiety. In this end, the structure-performance might be resized in our present work. Secondary, the site density (SD) of single Fe sites on different samples, prepared with different pyrolysis temperature, should be evaluated by nitrite stripping voltammetry as to determine the corresponding TOF. Further comparison on TOF of various samples allows more directly and convinced establishment of structure-performance relationship. Lastly, although optimal catalyst shows a decent ORR activity in alkaline media, the performance in acidic media still needs to be further improved.

**Chapter 6** reports a new catalytic system which allows facile one-step thermal pyrolysis using carbon black as primary carbon source and backbone structure, glucose as secondary carbon source, and urea as nitrogen source. The use of carbon black not only significant increases the yield of the final production of the catalyst, but also acting as soft template to facilitate the dispersion of secondary carbon phase and Fe-based species. The resulting material presents a desired hierarchical porous structure with co-existence of  $\text{FeN}_x$  moieties and Fe-based metal compounds. Specifically, the Fe-based metal phases are composed of high carbon-coated  $\text{Fe}_3\text{C}$  phase and low carbon-coated  $\text{Fe}_3\text{O}_4$  phase, which afford a great chance for the selective removal of single  $\text{Fe}_3\text{O}_4$  phase by post-synthesis acid leaching step. A series of control experiments suggest that  $\text{FeN}_x$  moieties are the actual active sites while  $\text{Fe}_3\text{O}_4$  itself is inactive but exerts an electron synergistic effect on  $\text{FeN}_x$  moieties for improving ORR activity. This work unravels the ORR activity origin on Fe-N-C catalyst with high complicated multiple active sites, which would be a

valuable guideline for the design of next generation non-precious metal Fe-based electrocatalysts. However, direct evidence for the existence of  $\text{FeN}_x$  moieties needs to be verified by HAADF-STEM and XAS is required to get more insight into coordination information of Fe. In addition, the DFT calculation is also required to reveal the electron interaction between  $\text{FeN}_x$  moiety and  $\text{Fe}_3\text{O}_4$  nanoparticles which is expected to be at the origin of the ORR activity in alkaline medium. Further MEA should also be evaluated for the assessment of the ORR performance in view of practical application.

The results obtained from this work confirm that single  $\text{FeN}_x$  sites are active sites for performing ORR process with full 4-electron selectivity. The results also confirm that  $\text{Fe}_3\text{O}_4$  nanoparticles shows no activity toward ORR in alkaline but the synergistic effect between  $\text{Fe}_3\text{O}_4$  nanoparticles and  $\text{FeN}_x$  moiety might exist which is dependent of different catalytic systems. There are still additional works remain to complete the investigation such as stability degradation mechanism for the proposed catalytic active sites ( $\text{FeN}_x$  moiety at interfacial between Fe oxyhydroxide and N-doped C networks, and Cl- $\text{FeN}_4$  active sites) in the alkaline medium. Finally, it is worth noting that this work also represents a universal approach for the synthesis of other single metal atom catalysts such as Co-N-C, Mn-N-C, Ni-N-C, Cu-N-C etc., which can be further applied in numerous applications of interest, i.e.  $\text{CO}_2$  electroreduction,  $\text{N}_2$  electroreduction; Li-sulfur battery etc. Besides, such single site catalysts could also be used as heterogeneous catalysts and not only to the field of electrocatalysis.

# Annex: Publications, oral presentations

## 1. Publications

- [1] **Xiong. Zhang**, Lai. Truong-Phuoc, Xuemei. Liao, Giulia. Tuci, Emiliano. Fonda, Vasiliki. Papaefthymiou, Spyridon. Zafeiratos, Giuliano. Giambastiani, Sergey. Pronkin, Cuong. Pham-Huu, An Open Gate for High-Density Metal Ions in N-Doped Carbon Networks: Powering Fe–N–C Catalyst Efficiency in the Oxygen Reduction Reaction, *ACS Catalysis*, 11 (2021) 8915–8928.
- [2] **Xiong Zhang**, Lai Truong-Phuoc, Xuemei Liao, Vasiliki Papaefthymiou, Matteo Pugliesi, Giulia Tuci, Giuliano Giambastiani, Sergey Pronkin, Cuong Pham-Huu, Inducing Atomically Dispersed Cl-FeN<sub>4</sub> Sites for ORR in the SiO<sub>2</sub>-Mediated Synthesis of Highly Mesoporous N-enriched C-networks, *Journal of Materials Chemistry A*, 2021, DOI: 10.1039/D1TA09519F.
- [3] Giulia Tuci, Andrea Rossin, **Xiong Zhang**, Cuong Pham-Huu, and Giuliano Giambastiani, Chemically Functionalized Carbon-Based Networks as Catalysts for Electrochemical Syntheses, *Current Opinion in Green and Sustainable Chemistry*, 2021, DOI: 10.1016/j.cogsc.2021.100579.
- [4] **Xiong Zhang**, Lai Truong-Phuoc, Tristan Asset, Sergey Pronkin, Cuong Pham-Huu, Is Fe-N-C an Alternative to Pt-based Electrocatalysts for the Next Generation of Proton Exchange Membrane Fuel Cells? *To be submitted*.
- [5] **Xiong Zhang**, Lai Truong-Phuoc, Vasiliki Papaefthymiou, Giulia Tuci, Giuliano Giambastiani, Sergey Pronkin, Cuong Pham-Huu, Fe<sub>3</sub>O<sub>4</sub>/Fe<sub>3</sub>C nanoparticles coupled with single Fe atoms on hierarchical porous graphene-like carbon nanosheet/carbon black hybrid for electrocatalytic oxygen reduction reaction. *In preparation*.

## 2. Oral presentations

- [1] Atomic Fe decorated N-doped porous carbon electrocatalysts with high performance in oxygen electroreduction, **Xiong Zhang**, Lai Truong-Phuoc, Giuliano Giambastiani, Sergey Pronkin, Cuong Pham-Huu, 29th Topical Meeting of the International Society of Electrochemistry 18 - 21 April 2021 Online Meeting - Mikulov, Czech Republic
- [2] Fe<sub>3</sub>O<sub>4</sub>/Fe<sub>3</sub>C nanoparticles coupled with single Fe atoms on hierarchical porous graphene-like carbon nanosheet/carbon black hybrid for electrocatalytic oxygen reduction reaction, **Xiong Zhang**, Lai Truong-Phuoc, Vasiliki Papaefthymiou, Giulia Tuci, Giuliano Giambastiani, Sergey Pronkin, Cuong Pham-Huu, 6<sup>th</sup> Indo-French Symposium “Functionalized MATerials for Sustainable CATalytic and Related Applications” 26 - 28 October 2021 - Strasbourg, France.

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