

## Analysis of the expression of circulating microRNAs in an animal model of Alzheimer's disease

Ruth Elizabeth Aquino Ordinola

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## ÉCOLE DOCTORALE SANTE, SCIENCES BIOLOGIQUES ET CHIMIE DU VIVANT

CENTRE DE BIOPHISIQUE MOLECULAIRE (CBM, UPR 4301) / LABORATORIO DE PROLIFERACIÓN CELULAR Y REGENERACIÓN

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## Analysis of the expression of circulating microRNAs in an animal model of Alzheimer's disease

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## List of Abbreviatons

AD: Alzheimer's disease
ADAD: Autosomal dominant Alzheimer disease
AICD: Amyloid precursor protein intracellular domain
APP: Amyloid precursor protein
APOE: Apolipoprotein E
Arg1: Arginase 1
AMO: anti-miRNA oligonucleotide
A $\beta$ 1-40,1-42/A $\beta$ 40, A $\beta$ 42: Amyloid $\beta$ -peptide
A $\beta$ : 40/42 residues length Amyloid $\beta$ -peptide
BACE1: $\beta$ -site amyloid precursor protein cleaving enzyme 1
BBB: blood-brain barrier
BDNF: Brain-derived neurotrophic factor
CFH: Complement factor H
CNS: Central nervous system
CSF: Cerebrospinal fluid
CTF: C-terminal fragment
DMEM: Dulbecco's Modified Eagle's Medium
ECF: Extracellular fluid
ECM: Extracellular matrix
ELISA: Enzyme-Linked Immunosorbent Assay
EOAD: Early onset Alzheimer's disease
FBS: Fetal bovine serum
HAG: Human astroglial
HDL: high-density lipoprotein
HMG: Human microglial
HNG: Human neuron-glial
h-tau: Hyperphosphorylated tau
IFN- $\gamma$ : Interferon $\gamma$
IL: Interleukin
iNOS: Inducible nitric oxide synthase
IRAK1/2: Interleukin-1 receptor-associated kinase 1/2
L-glu: L-glutamine
LPS: Lipopolysaccharide
LOAD: Late onset Alzheimer's disease
MAPK: Mitogen-activated protein kinase

miRNA/miR: MicroRNA MWM: Morris Water Maze NFTs: Neurofibrillary tangles NF-κB: Nuclear factor κB NO: Nitric oxide qRT-PCR: quantitative Real Time Polymerase Chain Reaction **ROS:** Reactive oxygen species PDGF: Platelet-derived growth factor PDGF- $\beta$ : Platelet-derived growth factor subunit  $\beta$ Pre-miRNA: Precursor microRNA pri-miRNA: Primary microRNA PrP: Prion protein PRR: Pattern Recognition Receptors PSEN1 and PSEN-2: Presenilinas 1 and 2 **PNS:** Peripheral Central Nervous **RISC: RNA-Induced Silencing Complex** RNA: Ribonucleic acid **ROS:** Reactive Oxygen Species rRNA: Ribosomal RNA sAPP: Soluble amyloid precursor protein TGF- $\beta$  Transforming growth factor  $\beta$ TLR Toll-like receptor TNF- $\alpha$  Tumor necrosis factor  $\alpha$ TRAF6 Tumor necrosis factor receptor-associated factor 6 TREM2 Triggering receptor expressed on myeloid cells 2

### Résumé

La maladie d'Alzheimer (MA) est une maladie neurodégénérative progressive, affectant 36 millions de personnes dans le monde. Plusieurs enquêtes épidémiologiques ont estimé que le nombre de patients atteints de MA passera à plus de 66 millions dans le monde en 2030. Cette maladie est donc devenue l'un des plus grands défis du 21e siècle en termes de santé.

Deux formes de MA ont été identifiées. La forme sporadique de la MA est la forme la plus courante. Elle représente, en effet, plus de 99% des cas et affecte principalement les personnes âgées de plus de 65 ans. Les facteurs associés à cette forme ne sont pas bien connus et impliquent majoritairement le vieillissement, des facteurs environnementaux et des troubles du sommeil. La forme familiale, à l'inverse, est moins courante et représente moins de 1 % des cas. Sous cette forme, les symptômes cliniques se déclarent beaucoup plus tôt, dès l'âge de 45 ans. Des mutations autosomiques dominantes ont été identifiées dans les séquences des gènes codant pour l'apolipoprotéine E 4 (Apoe4), la protéine précurseur amyloïde (APP) et les protéines préséniles 1 et 2 (PS1 &2). Ces protéines sont directement impliquées dans la production de formes tronquées de la  $\beta$ -Amyloïde ( $\beta$ A) dans le cerveau. Dans les deux cas, la MA se caractérise par un déclin cognitif progressif et une perte de mémoire due à des lésions neuronales dans des régions cérébrales particulières notamment dans l'hippocampe. Les deux principales caractéristiques de l'apparition de la MA comprennent l'accumulation d'enchevêtrements neurofibrillaires intraneuronaux (ENF) et l'apparition de dépôts extracellulaires de la βA. D'autres caractéristiques comprennent la gliose, l'inflammation chronique, l'excitotoxicité, le stress oxydatif et l'homéostasie calcique altérée, qui illustrent la complexité de la maladie.

L'un des enjeux majeurs dans la prise en charge de cette pathologie neurodégénérative est la détection des signes cliniques suffisamment précoce pour pouvoir intervenir efficacement. De nos jours, les lésions neuronales associées à MA sont détectées trop tardivement ce qui explique l'échec des traitements pharmacologiques. Des traitements palliatifs sont mis en place pour essayer de ralentir la progression de cette maladie et permettent, à défaut de guérir la pathologie, d'améliorer le confort des patients qui présentent d'importants troubles de la mémoire et d'orientation spatiale. L'enjeu est donc de détecter des marqueurs précoces bien avant que les signes cliniques ne se manifestent et que les lésions neuronales deviennent incurables. C'est dans ce contexte précis que la détection des microARNs circulant trouve un intérêt particulier pour le dépistage précoce de la MA. Les microARNs sont des acides nucléiques de petites tailles qui contrôlent des réseaux entiers de gènes impliquées dans de nombreuses réponses biologiques aussi essentielles que le développement embryonnaire, la morphogénèse et le contrôle de l'homéostasie. Des dérèglements de leurs niveaux expression ont été rapportés dans la plupart des pathologies si ce n'est toutes. Un fait remarquable associé au microARN est leur grande stabilité dans les fluides corporels tel que le sang, les urines, la salive ou encore dans nombreux liquides interstitielles. Des défauts d'expression de certains microARNs ont été rapportés dans le sang périphérique de patients atteints de cancer ou de maladies cardiovasculaires ainsi que chez des patients atteints de la MA. Ces microARNs représentent par conséquent des cibles diagnostiques intéressantes pour le dépistage non invasif de pathologies.

C'est dans ce contexte précis que s'inscrit ce travail de doctorat. L'objectif majeur de notre étude visait à évaluer la valeur diagnostique de microARNs circulants dans un modèle animal de la MA. Pour cela, la première partie de ce doctorat a été consisté à la mise en place d'un modèle animal de MA par injection intra-hippocampique de peptide  $\beta$ A 1-42 à

différentes concentrations chez rats adultes. L'étude comportementale de nos animaux d'expérience a permis de révéler que de façon similaire à ce qu'on observe en clinique humaine, les animaux traités avec BA 1-42 présentaient des défauts d'apprentissage et d'orientation spatiale liés à une perte de mémoire. La caractérisation histologique et immunohistochimique des tissus neuronaux a permis de révéler la présence en nombre d'important d'astrogliose dans l'hipoccampe qui pourrait expliquer les défauts cognitifs observés. Ce premier travail expérimental a été réalisé au Laboratoire de Neurosciences et du Comportement à Lima au Pérou (Laboratoire de cotutelle de Doctorat). Dans un deuxième temps, nous avons évalué le niveau d'expression des microARNs circulants dans le sérum de ces animaux de laboratoire par qRT-PCR et ceci en fonction du temps. Ce travail a été réalisé dans le deuxième laboratoire de cotutelle de thèse, au Centre de Biophysique Moléculaire du CNRS localisé à Orléans en France. Pour cela, nous avons sélectionné une liste de plusieurs microARNs (miR-9a-5p, miR-146a-5p, miR-29a-3p, miR-29c-3p, miR-125b-5p, miR-181c-5p, miR-191-5p, miR-106b-5p et miR-135a-5p) sur la base des données bibliographiques qui indiquaient leur présence quasi systématique dans les échantillons cliniques des patients diagnostiqués avec cette pathologie. Les résultats de ces analyses moléculaires a permis de révéler que parmi l'ensemble de ces microARNs, 4 d'entre eux, les miR-9a, miR-29a, miR-29c, miR-146a, présentaient des défauts d'expression important dans le sérum des animaux infusés avec le peptide βA 1-42 par rapport aux animaux contrôles, non infusés. Nous avons alors établi la cinétique d'expression précise de ces 4 microARNs en fonction du temps post-infusion des peptides  $\beta$ A 1-42. Nous rapportons des différences notables d'expression chronologique de ces 4 microARNs notamment dès le 7<sup>ième</sup> jour pour les miR-29a et miR-29c et au 14<sup>ième</sup> jour pour le miR-146a. Nous avons alors comparé ces profils d'expression avec ceux détectés dans le sérum d'animaux transgéniques de la MA exprimant la forme mutée APP et de PS1. Ces animaux de laboratoire ont été

obtenus sous la forme de collaboration avec le Centre de Recherche en Imagerie Moléculaire, Fontenay-aux-Roses à Paris. Cette étude comparative a permis de révéler des différences d'expression de ces 4 microARNs qui tend à montrer que ces 2 modèles ; l'un transgénique et l'autre généré sur tissus adulte, ne mettent pas en jeu les mêmes mécanismes physiopathologiques à l'origine de la formation de plaque amyloïde. Nous avons alors focalisé la suite de nos travaux sur le miR-146a. D'une part parce que ce microARN présentait un profil d'expression atypique au cours du temps dans notre modèle animal et d'autre part parce qu'il n'était pas dérégulé dans le modèle de transgène animal représentatif de la forme héréditaire de la pathologie d'Alzheimer.

Dans la troisième partie de ce travail de doctorat, nous avons étudié le profil d'expression de ce microARN dans le liquide céphalorachidien (LCR) de notre modèle animal infusé avec les peptides  $\beta A$ . De façon surprenante nous avons constaté que ce microARN était surexprimé dans ce liquide corporel neuronal alors qu'il était sous-exprimé dans le sérum. Des hypothèses permettant d'expliquer ces résultats ont été proposées. Nous avons ensuite réalisé des études fonctionnelles pour tenter de mieux comprendre le rôle de ce microARN dans l'initiation de la pathologie. Pour cela, nous avons prélevé puis cultivé des cellules d'astrocytes de rat que nous avons ensuite traitées avec le peptide  $\beta A$  1-42 à différentes concentrations. Deux formes d'agrégation  $\beta A$  ont été évaluées ; oligomère (O $\beta A$ ) et fibrillaire (F $\beta A$ ) en présence ou en l'absence d'un inhibiteur pharmacologique BMS-345541 de la voie NF- $\kappa B$ , suspectée comme étant une des voies de régulation du miR-146a. Nos résultats ont montré que les deux espèces de  $\beta A$  conduisent à une expression positive de ce microARN et à l'activation de la voie de signalisation NF- $\kappa B$ . Également, la régulation à la baisse d'IRAK-1 et de TRAF-6, principales cibles transcriptomiques de miR-146a, a été démontrée. En revanche, nous avons trouvé une régulation positive inattendue pour la cible IRAK-2. De la même façon, nous n'avons pas détecté de production de cytokines inflammatoires dans ces cultures primaires suite à leur traitement avec les peptides O $\beta$ A ou F $\beta$ A. Ceci nous amène à penser que l'induction du miR-146a aurait pour fonction de contrecarrer l'activation de la voie de signalisation NF- $\kappa$ B activées dans les cellules astrocytaires suite au traitement avec O $\beta$ A et F $\beta$ A. Ce microARN jouerait par conséquent un rôle anti-inflammatoire par une régulation retro négative de la voie de signalisation NF- $\kappa$ B en contrôlant l'expression d'IRAK-1 et TRAF-6 conjointement à un mécanisme compensatoire sur la cible IRAK-2. Cette hypothèse a été confirmée plus tardivement à l'aide d'inhibiteur moléculaire du miR-146a. Le traitement avec cet antagoniste permet de restaurer l'expression d'IRAK 1 et TRAF-6 dans les cultures d'astrocyte et conduit à l'expression transcriptionnelle de cytokines inflammatoires tel qu'IL-6, IL-1 $\beta$  et CXCL1.

En conclusion, ce travail de doctorat a permis pour la première fois, à notre connaissance, d'établir des profils d'expression anormaux de microARNs circulants dans un modèle animal de la MA obtenu par injection intra-hippocampique de peptide  $\beta$ A 1-42 dans le cerveau d'animaux de laboratoire. Ces données sont d'autant plus intéressantes qu'elles permettent de corréler directement l'expression de microARNs sanguins avec la présence de  $\beta$ A dans un tissu neurologique au stade adulte qui représente, à l'inverse des modèles de souris transgéniques, 95 % des formes sporadiques de la MA. Ces microARNs pourraient par conséquent représenter des biomarqueurs précoces de cette pathologie. De plus, nous fournissons des informations complémentaires à la littérature sur le mode d'action moléculaire joué par le miR-146a dans les réponses inflammatoires du système nerveux central. Ces résultats sont par conséquent prometteurs et mériteraient d'être explorés plus en détail pour leurs applications potentielles dans le diagnostic précoce et la prise en charge thérapeutique de cette pathologie.

## Overview of the PhD project and objectives

Among all dementia disorders, Alzheimer's disease (AD) is the most common in the elderly. Pathologically, the hallmarks of AD are the deposition of amyloid- $\beta$  (A $\beta$ ) peptides in the form of plaques in the extracellular environment and neurofibrillary tangles composed of hyperphosphorylated Tau proteins within neuronal cells, which are associated with cognitive impairment and dementia.

Several evidences suggest that the generation of A $\beta$  peptides plays a central role in the initiation of the pathological cascade in AD, which is generated through the sequential proteolytic cleavage of the amyloid precursor protein (APP) by  $\beta$ -secretase (BACE1) and  $\gamma$ -secretase ((namely, presenilin 1/2 (PSEN1 / 2)). Therefore, the regulation of the expression of these proteins (BACE1, PSEN and APP) can be critical for the establishment of new AD treatment options.

The production of A $\beta$  1-42 peptides and phosphorylated Tau (P-Tau) have been used in clinical practice to differentiate cases of AD from normal aging with a sensitivity and specificity of more than 85% (Anoop, Singh, Jacob, & Maji, 2010). But, sample collection, transportation, storage, inconsistency of data analysis, and high cost of evaluations result in a real need to discover new classes of biomarkers (Humpel, 2011).

Today, there is still no cure for AD even though there are therapies that can delay the progress of the disease and/or treat the symptoms. For this reason, early diagnosis is essential, since it allows the patient to be given the required care before the advanced stage

is reached (Grela, Turek, & Piekoszewski, 2012). Biomarkers are diagnostic solutions that make it possible to measure changes in the patient's health status, as well as the response to treatment (Humpel, 2011). Regarding AD, different studies were performed to search for biomarkers in cerebrospinal fluid (CSF) and blood, which is more accessible and less invasive (Grela et al., 2012; Wu et al., 2017). Circulating microRNAs (miRNAs) have been proposed as good biomarkers. MiRNAs are small non-coding RNAs, 18-30 nucleotides (nt) in length that regulate gene expression, important biological processes and play an important role in the appearance and development of diseases by affecting the stability or translation of mRNAs, or suppress the translation of certain proteins (S. Kumar & Reddy, 2016). These small molecules are found to be stable in the circulation, resistant to RNAse digestion and to many extreme conditions including extreme pH, high temperature, extended storage, and multiple freeze- thaw cycles (Baldassarre, Felli, Prantera, & Masotti, 2017). More importantly, miRNAs could be detected in different body fluids (Weber et al., 2010) and their expression levels are closely correlated in various pathological stages of disease (X. Chen et al., 2008).

Knowing the regulatory role of miRNAs, numerous studies have been carried out to evaluate the alterations in the specific miRNA levels involved in the regulation of key AD genes (Cogswell et al., 2008; Herrera-Espejo, Santos-Zorrozua, Álvarez-González, Lopez- Lopez, & Garcia-Orad, 2019; P. Kumar et al., 2013). Current evidence on AD findings suggests that dysregulation of miRNAs could contribute to disease risk and that these can be used as potential biomarkers (Delay, Mandemakers, & Hébert, 2012), to diagnose AD in early stages, track the disease progression and predict response to treatment (Henriksen et al., 2014). There are different experimental models that have been developed to study AD. They are important for understanding and acquiring knowledge about the pathogenesis of AD. They are also essential for identifying biomarkers and evaluating the efficacy of potential therapeutic approaches. Transgenic mice that overexpress human genes associated with familial AD (FAD) leading to amyloid plaque formation are the most widely used animal models. In most experimental models, it is quite rare to find the presence of both amyloid plaques and neurofibrillary tangles.

Today, it is admitted that each model has its own advantages and limitations and none of the available models replicate all features of human AD. Nevertheless, the different animal models are interesting to address some issues of AD pathophysiology, as long as we recognize their intrinsic limitations, to ensure the interpretation of the experimental results with respect to their translation to human AD.

In this Ph. D project co-supervised between the Universidad Peruana Cayetano Heredia and University of Orléans, we aimed to assess the early events triggered by aggregated forms of  $A\beta$  1-42 and to investigate the impact on cognitive performance and to look at the correlation of these events with circulating miRNAs in serum and CSF samples as early biomarkers of AD. In addition, we conducted *in cellulo* studies with primary astrocytes treated with different forms of A $\beta$  peptides.

More specifically, we aimed to address this general scientific question: what will be the expression profile of circulating microRNAs in an animal model of Alzheimer's disease infused intrahippocampally with  $A\beta$  peptides? In order to answer to this question, we developed a project in 3 main parts consisting in:

- Establishment of an animal model of AD generated by intrahippocampal injection of the Aβ 1-42 peptide.
- Evaluation of the expression of circulating microRNAs associated with the presence of Aβ 1-42 as early biomarkers of AD
- Molecular and cellular investigation of the biological role of miRNA-146a in development to AD using a simplified in vitro model of this pathology.

To investigate *in vivo* early events, we injected  $A\beta$  peptides in the lateral ventricles of the rat brain. Although the synthetic form of  $A\beta$  may be less potent than  $A\beta$  naturally secreted from cells or human-derived  $A\beta$ , studies have shown similar neurotoxic effects. Furthermore, synthetic  $A\beta$  peptides are commercially available and can be easily reproduced in the laboratory following established protocols.

We assessed changes in spatial learning and memory using the Morris water maze. Moreover, we determined the presence of miRNAs known to be involved in the regulation of AD key genes in blood serum and CSF samples. Interestingly, we made a comparison of circulating miRNAs expression of our model with an APP/PS1 transgenic mouse model. Finally, we choose to investigate more deeply the impact of A $\beta$  peptides on miR-146a-5p expression, a well-known marker of inflammation. These studies have been conducted on primary rat astrocyte cultures.

The manuscript comprises 4 complementary chapters:

Chapter 1 describes the bibliographic aspects of AD, including the description of the key proteins involved in AD, the progression of AD, and the currently used transgenic and non-

transgenic animal models. Moreover, a bibliographic review of circulating miRNAs in patients and animal models is presented.

Chapter 2 describes all the protocols and techniques used for the development of the project. In chapter 3, we describe the results consisting of two parts. In part 1 it is shown from the establishment of the in vivo animal model generated by intrahippocampal injection of A $\beta$  1-42, to the identification of circulating miRNAs. The second part shows the results of mechanistic studies related to miR-146a in a primary culture of astrocytes.

In chapter 4, the results obtained are discussed and the final considerations of this project are shown.

Two manuscripts obtained from this thesis are under submission process:

# "Preclinical animal models of Alzheimer disease and relationship with identification of circulating microRNAs as early diagnosis biomarker"

Aquino Ruth, de Concini Vidian, Menuet Arnaud, Guerra Cristina, Baril Patrick, Pichon Chantal Submission in Current Neuropharmacology

"Identification of circulating microRNAs in rat model produced by intrahippocampal injection of Aβ 1-42"

Aquino Ruth, de Concini Vidian, Menuet Arnaud, Gosset David, Baril Patrick, Pichon Chantal. Submission in Cells.

## Chapter I Bibliographic study

#### 1. Summary

Alzheimer disease (AD) is a neurocognitive disease characterized by aberrant expressions & functions of Tau, APP, PSEN1, PSEN2 and/or APOE4 proteins. Such dysregulation has been used as basis for the development of in vivo animal models of Alzheimer's disease. To date, there are more than 190 animal models of AD that have contributed to a better understanding of the molecular basis of AD progression. These models have been also extensively used to evaluate therapeutic approaches to treat this neurodegenerative disease. However, there is still some debates about the relevancy of these animal models of AD as none of therapeutic drugs selected in those models have reached the clinic. The vast majority of these AD models are transgenics, based on aspects of the amyloid aggregation and the genetic mutations encountered in the familial form of AD (FAD) that account for only 5 % of AD patient. As a result, the late-onset sporadic form of AD (LOAD) that accounts for more than 90 % of AD patients have been mostly ignored. In this review, we recapitulate the main molecular basis of AD pathogenesis and discuss the latest generation of AD animal models that we found relevant in term of FAD and LOAD forms of AD. Advantages and drawbacks of recent animal models are given and we report the main advances made using them. An important question in the field of AD regarding the identification of relevant early diagnosis molecular markers is addressed with a focus on miRNAs. We provide an overview of significant literature in the field of detection of circulating miRNAs in body fluids of AD patient. We also provide a short list of miRNAs detected both in animal models and in patients that could be considered as promising diagnosis and therapeutic markers for this pathology and they deserve further investigation before possible translation to the clinic.

#### 2. Introduction

Alzheimer's disease (AD) is a chronic and devastating condition in which senile people have abnormal and progressive brain disorders (Domingues, da Cruz, & Henriques, 2017). Dementia is the main clinical syndrome observed in AD patients. The majority of severe cognitive impairments occur after age 65 constituting the late-onset AD (LOAD). Cases of AD that appear early or commonly termed as early-onset AD (EOAD) can be detected in patients younger than 65, but only corresponds to 5% of AD patients. AD is not considered as genetic disease. Although genetic mutations in apolipoprotein E(APOE), presenilin (PSEN) and Amyloid Beta Precursor Protein (APP) genes have been reported, their occurrence is low and concerns only to 1 to 2 % form of AD. These rare forms of inherited Alzheimer disease, referred as Autosomal dominant Alzheimer disease (ADAD), occur at very early age of onset and are characterized by distinctive neurologic symptoms and a rapid disease progression.

AD is a complex and multifactorial pathology in which the appearance of amyloid plaques and the hyperphosphorylation of the Tau protein are the two main characteristics (Figure 1) responsible for the gradual decline of cognitive functions such as loss of memory, language and thinking abilities.



**Figure 1. Overview of Alzheimer's disease**. AD is a multifactorial neurodegenerative pathology whose main characteristics are the accumulation of extracellular amyloid plaques and intracellular Tau aggregates that form neurofibrillary tangles. Proteolysis of Amyloid Beta Precursor Protein (APP) results in the production of A $\beta$  and amyloid plaques (detailed in the lower left part) as well as the process of hyperphosphorylation of Tau and formation of neurofibrillary tangles (lower right part). The inflammatory context, a feature of AD brains is shown by the presence of reactive astrocytes, activated microglia, and inflammatory cytokines.

Amyloid plaques are deposits of  $A\beta$  peptide that accumulate in the extracellular matrix between nerve cells preventing a correct communication (Mullard, 2016). Aß peptide accumulation arises from cleavage of the APP membrane protein. This type 1 transmembrane integral glycoprotein (Roberts et al., 1994) is encoded by a gene located on chromosome 21, spanning over 290 kilobases, that generates a 695 amino acids protein transported to the cell surface through the Golgi / trans- Golgi network (TGN) network (Agostinho, Pliássova, Oliveira, & Cunha, 2015). During transcription, differential splicing of APP mRNA can give rise to several isoforms. The major isoforms of APP have770, 751, or 695 amino acid residues (Figure 2). The APP751 and APP695 isoforms are produced as a result of exons 7 and / or 8 splicing (Weidemann et al., 1989). There are also other less common isoforms such as L-APP, which lacks exon 15 (Pangalos, Shioi, Efthimiopoulos, Wu, & Robakis, 1996) and APP639 devoid of exons 2, 7 and 8 (Tang et al., 2003). These isoforms are expressed in different amounts and in different cell types; for example, APP695 is the predominant neuronal isoform (Dawkins & Small, 2014), but non-neuronal cells mainly express APP770 and APP751 (Dawkins & Small, 2014; Rohan de Silva et al., 1997). L-APP is expressed in leukocytes, microglia, and astrocytes (König et al., 1992) and APP639 is widely expressed in fetal tissue and only in adult liver (Tang et al., 2003). APP is one of the most abundant proteins in the central nervous system (CNS). Its expression is required for adequate neuronal migration during early embryogenesis (Young-Pearse et al., 2007), the formation of neuromuscular synapses through heterooligomeric interaction with low-density lipoprotein (LDL) receptor-related protein 4 and agrin (a regulator of synaptogenesis) in adult CNS tissues.



**Figure 2. Structure of APP gene, mRNA and protein**. The APP gene is located on chromosome 21q21.3. The gene has 18 exons. Differential mRNA splicing of exons 7,8 (dark gray) leads to the expression of isoforms of 695, 751 and 770 amino acids. Exons 2 and 15 (light gray) are spliced into APP639 and L-APP, respectively. The most abundant form in brain is APP695. From (Dawkins & Small, 2014)

The proteolytic cleavage of APP operates through two main pathways: **amyloidogenic and non- amyloidogenic**. The amyloidogenic pathway is responsible for the release of pathologic A $\beta$  peptide outside of the cells. In this pathway, APP is cleaved by a  $\beta$ - secretase 1 also called BACE1, ASP2 or memapsin 2 to release a small N-terminal  $\beta$  fragment of APP (sAPP $\beta$ ) and a still-membrane anchored  $\beta$ -carboxyl terminal fragment (CTF $\beta$  or C99). This transmembrane CTF $\beta$  terminal fragment contains the full length amyloidogenic sequence (A $\beta$  peptide) which is further cleaved by a  $\gamma$ -secretase to generate a A $\beta$  cleavage product of 43–51 amino acids (Figure 3). The cleavage of  $\gamma$ - secretase is not very precise, which leads to the production of different peptides having different C- terminal. Amongst the different A $\beta$  species, those ending at position 40(A $\beta$ 40) are the most abundant (~80-90%), followed by those ending at position 42 (A $\beta$ 42, ~5-10%) (Olsson et al., 2014; Takami et al., 2009) (Figure 4). A $\beta$ 42 peptides are more hydrophobic and fibrillogenic, and are the principal main species deposited in the brain (Selkoe, 2001). Both A $\beta$  monomers are progressively aggregate in dimers, trimers, oligomers, protofibrils and fibrils to finally form insoluble amyloid plaques (G. F. Chen et al., 2017) (Figure 5). Despite their similarities, A $\beta$ 42 monomers are more prone to aggregation and fibrillation than that of A $\beta$ 40 peptide and therefore, they are considered more pathogenic (Recuero, Serrano, Bullido, & Valdivieso, 2004).



Figure 3. APP proteolysis through the amyloidogenic pathway. Amyloidogenic processing of APP is carried out by the sequential action of membrane bound  $\beta$ - and  $\gamma$ -secretases.  $\beta$ -Secretase cleaves APP into the membrane-tethered C-terminal fragments  $\beta$  (CTF $\beta$  or C99) and N-terminal sAPP $\beta$ . CTF $\beta$  is subsequently cleaved by  $\gamma$ -secretases into

the extracellular A $\beta$  and APP intracellular domain (AICD). From (O'Brien & Wong, 2011) with minor modifications.



Figure 4. Generation of different A $\beta$  peptide species from the processing of APP by BACE and  $\gamma$ -secretase. One of APP domains is displayed; the A $\beta$  domain is cleaved by  $\beta$ - and  $\gamma$ -secretases. The  $\beta$ -secretase BACE has a single cleavage site on APP and generates the N-terminus of A $\beta$  peptides. The  $\gamma$ -secretase has multiple cleavage sites on APP, which leads to the generation of A $\beta$  peptides of variable length that differ from their C-terminus. The most abundant peptides are A $\beta$ 40 and A $\beta$ 42. A $\beta$ 42 is particularly prone to aggregation. From (Mantile & Prisco, 2020).



**Figure 5.** Process of  $A\beta$  aggregation and amyloid plaque formation. The  $A\beta$  peptide, once excised from APP, is prone to misfolding and self-aggregation. Misfolded  $A\beta$  monomers aggregate in dimmers, trimers or small soluble oligomers. The oligomers interact to form protofibrils, which grow to form mature fibrils. Eventually the fibrils aggregate, forming the amyloid plaques that appear in brains with AD. From (Mantile & Prisco, 2020).

In the non-amyloidogenic pathway, APP processing is cleaved by  $\alpha$ -secretase at the cellular membrane (Figure 6). This endopeptidase cleaves the APP protein within another site of amyloidogenic sequence (A $\beta$  peptide) preventing the formation of full length A $\beta$ 40 and A $\beta$ 42 peptides. A soluble APP fragment (sAPP $\alpha$ ) and a CTF transmembrane domain ( $\alpha$ -CTF) are generated upon cleavage. The soluble APP fragment (sAPP $\alpha$ ), shorter than A $\beta$ 40 and A $\beta$ 42 peptides, are released into the extracellular spaces of neurons cells but cannot aggregate to form fibrils amyloid plaques. These extracellular monomers and even oligomers are not toxic to the CNS.



Figure 6. APP proteolysis through the non-amyloidogenic pathway. Nonamyloidogenic processing of APP refers to the sequential processing of APP by membrane bound  $\alpha$ -secretases, which cleave within the A $\beta$  domain to generate the membrane-tethered  $\alpha$ -C terminal fragment CTF $\alpha$  (C83) and the N-terminal fragment sAPP $\alpha$ . CTF $\alpha$  is then cleaved by  $\gamma$ -secretases to generate extracellular P3 and the APP intracellular domain (AICD). From (O'Brien & Wong, 2011) with minor modifications.

Like the APP, Tau protein is also highly expressed in the CNS but also in several other tissues (De- Paula, Radanovic, Diniz, & Forlenza, 2012). The coding sequence for the Tau protein (MAPT) is located on chromosome 17 and span over 16 exons. The alternative splicing of exons 2, 3 and 10 leads to six possible Tau isoforms (De-Paula et al., 2012; Shahani & Brandt, 2002) highly enriched in axons of neurons (Buée, Bussière, Buée-

Scherrer, Delacourte, & Hof, 2000) (Figure 7). Tau protein is a member of the Microtubules Associated Proteins (MAP) family, its main function in neurons is to coordinate the assembly and stabilization of microtubules (Kolarova, García-Sierra, Bartos, Ricny, & Ripova, 2012; Naseri, Wang, Guo, Sharma, & Luo, 2019) for maintenance of neuronal projections (Naseri et al., 2019) axonal elongation, maturation and transport (De-Paula et al., 2012; Ittner, Ke, & Götz, 2009; Yuan, Kumar, Peterhoff, Duff, & Nixon, 2008). Therefore, Tau is important for synaptic plasticity (Frandemiche et al., 2014; Qu et al., 2017). Tau protein is highly soluble (Jouanne, Rault, & Voisin-Chiret, 2017), very flexible and has a low tendency to aggregate. These properties have contributed to consider this protein as "natively deployed protein" (Mukrasch et al., 2009). Under pathological conditions, tau undergoes different post-translational modifications, such as glycosylation, nitration, ubiquitination, glycation and aberrant phosphorylation (Figure 8). Studies in patients with AD have reported three to four times more phosphorylated form of Tau than in healthy subjects (Cohen et al., 2011; Iqbal, Liu, & Gong, 2016; Jouanne et al., 2017) and many Tau phosphorylation sites have been identified (Figure 9). The degree of phosphorylation of Tau depends on the balance between the activity of kinases such as GSK-3β and CDK5, and phosphatases such as phosphatase 2A (PP2A), which represents 70% of the total activity of phosphatases found in the human brain. (F. Liu, Grundke-Iqbal, Iqbal, & Gong, 2005).


**Figure 7. Structure of MAPT gene, mRNA and Tau protein**. Tau is encoded by the MAPT gene (16 exons) located on chromosome 17. N1 and N2 domains are encoded by E2 (green) and E3 (purple), respectively. The R2 repeat is encoded by E10 (red box). E1, E4, E5, E7, E9, E11, E12 and E13 constitute the basic component of the Tau protein. E0 and E14 do not encode (blank). E4a (yellow) is transcribed only in peripheral tissue. E6 and E8 (pink) are not expressed in the human brain. Tau isoform is composed of four regions: N-terminal region, a proline-rich domain, a microtubule-binding domain (MBD), and a C-terminal projection region. (B) A total of six isoforms of Tau protein are generated by alternative splicing of exons 2, 3, and 10 (E2, E3, E10). There is the same amount of 3R and 4R Tau in the normal human brain. In Alzheimer's disease the proportion of Tau is altered. From (Y. L. Gao et al., 2018) with minor modifications.

Both GSK-3 $\beta$  and CDK5 kinases are overexpressed in AD, which favour the production of hyperphosphorylated form of Tau protein and thus prevent its binding to tubulin. As a result, disorganized microtubules accumulate in cells that later aggregate in paired helical

filaments (PHF) (Mandelkow, von Bergen, Biernat, & Mandelkow, 2007) and then in neurofibrillary tangles (NFT) (Y. Gao, Tan, Yu, & Tan, 2018; Medeiros, Baglietto-Vargas, & LaFerla, 2011; Querfurth & LaFerla, 2010). Aberrant NFT impairs axonal transport, synaptic metabolism and ultimately loss of cell viability and cell-death (De-Paula et al., 2012; Drechsel, Hyman, Cobb, & Kirschner, 1992). Another consequence of Tau hyperphosphorylation are changes in the conformational state of the protein that make it more prone to self-aggregation (Mukrasch et al., 2009; Smith, 2002; von Bergen et al., 2000).

Clinical findings suggest that Tau aggregation is a hierarchical process that undergoes through different gradual phases; from monomers, dimers, oligomers and finally pretangles and neurofibrillary tangles (Patterson et al., 2011). Recently, some studies have brought to light the fibrillogenesis mechanism. It is assumed that, dimers form oligomers, which then elongated to form fibrils (Jouanne et al., 2017), There is an increase of Tau oligomer levels before NFTs are formed and this is present prior the manifestation of clinical symptoms of AD. Fá et al., reported that acute exposure of Tau oligomers in the brain, is detrimental to long-term potentiation (LTP) and memory (Fá et al., 2016). This suggests that the formation of Tau oligomer may represent a sign very early brain aging and AD (Maeda et al., 2006). Note that oligomeric forms of Tau have also been found in other Tauopathies (Gerson et al., 2016; Sengupta et al., 2017; Vuonoet al., 2015).



**Figure 8.** Schematic representation of the mechanism of the post-translational modifications of Tau under normal and pathological conditions. Under pathological conditions, Tau undergoes different post-transcriptional modifications, such as hyperphosphorylation, acetylation, ubiquitination leading to detachment of Tau from microtubules, resulting in destabilization of microtubules in axons. From (Almansoub et al., 2019).



**Figure 9. The sequential steps of NFTs formation.** Phosphorylated Tau monomers can assemble to form dimers, trimers, oligomers, filaments (both straight and paired helical), and eventually tangles. From (Muralidar, Ambi, Sekaran, Thirumalai, & Palaniappan, 2020).

Another key mediator of AD development is the apolipoprotein E (apoE) gene which is localized on chromosome 19 and contains four exons and three introns (Figure 10A), generating the three main protein isoforms, APOE2, APOE3 and APOE4 (Giau, Bagyinszky, An, & Kim, 2015). APOE is a 35 kDa glycoprotein expressed in the brain and throughout the human body. In peripheral tissues, APOE is produced primarily by the liver and macrophages. Among the general functions of APOE are; the contribution to the homeostasis of cholesterol and other lipids to regulate the transport of lipids from one tissue to another (Mahley & Rall, 2000) and thus facilitating the cellular uptake of lipids (Mahley, 1988). In the CNS, APOE is produced mainly by astrocytes, although it can also be produced by microglia (Elliott, Kim, Jans, & Garner, 2007; LaDu et al., 1998). Cholesterol transport by APOE provides neurons the cholesterol necessary for synapse formation,

plasticity and repair (Poirier, 2008) and is ensured by APOE receptors, which belong to the low- density lipoprotein receptor (LDLR) protein family (Bu, 2009). The human apoE genes has three polymorphic alleles;  $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$ , with frequencies of 6.4, 78.3, and 14.5 %, respectively (Eisenberg, Kuzawa, & Hayes, 2010). While apoE3 is the most common allele in the world population, apoE2 allele is associated with reduce risk of dementia (C. Liu & Götz, 2013). ApoE4 allele is the most representative risk factor for late-onset Alzheimer's disease (LOAD) (Bu, 2009; Huang & Mucke, 2012) and cerebral amyloid angiopathy (CAA) (Biffi et al., 2010; C. Liu & Götz, 2013). The differences between the three isoforms of APOE depend on presence of cysteine or arginine in amino acids 112 and 158 (Muñoz, Garner, & Ooi, 2019) generating APOE2 (Cys112, Cys158), APOE3 (Cys112, Arg158) and APOE4 (Arg112, Arg158) (J. Chen, Li, & Wang, 2011; Mahley & Rall, 2000) (Figure 10B). According to homozygous or heterozygous status of mutated alleles, six different genotypes can be generated, homozygous  $\varepsilon 2 / 2$ ,  $\varepsilon 3 / 3$  and  $\varepsilon 4 / 4$ , and heterozygous  $\varepsilon^2$  / 3,  $\varepsilon^2$  / 4 and  $\varepsilon^3$  / 4. It is known that the frequency of the APOE4 allele increases dramatically in ~ 40% in AD patients (Farrer et al., 1997), and that homozygous patients for apoE4 isoform are approximately 10 times more likely to develop AD than heterozygous carrier (Corder et al., 1993; Muñoz et al., 2019). The exact mechanism by which apoE4 participates in the progression of AD is not totally clear. However, a direct correlation between APOE4 carrier and detection of senile plaques of A $\beta$  has been reported, suggesting a direct impact of APOE4 in modulation of A $\beta$  plaque clearance. It has been proposed that APOE proteins, especially those from astrocytes, are essential for the degradation and elimination of deposited A $\beta$ , and this process is altered in AD (Koistinaho et al., 2004). Based on studies that reported the presence of apoE4 fragments (14-20 kDa) in the brain with AD (Harris et al., 2003; Rohn, Catlin, Coonse, & Habig, 2012), It has been suggested that the intraneuronal proteolytic cleavage of APOE4 could

promote neuropathology and neurodegeneration in AD. Unlike the other isoforms, APOE4 is more susceptible to proteolysis and its hinge region has multiple protease-sensitive sites, such as cathepsin D (Zhou, Scott, Shelton, & Crutcher, 2006), a protease similar to chymotrypsin (Harris et al., 2003), and aspartic proteases (Marques, Owens, & Crutcher, 2004). Furthermore, other regions are also susceptible to being cleaved such as the N- and C-terminal domains (Elliott et al., 2011) (Figure 11).

The N- and C-terminal fragments are neurotoxic in nature (Andrews-Zwilling et al., 2010), and it has been reported that after APOE4 cleavage, each domain appears to localize to specific lesions in the AD brain. For example, the C-terminal domain has been implicated in A $\beta$  binding and is located in the plaques (Marques et al., 2004; Rohn et al., 2012). Some studies performed in mice expressing C-terminal truncated APOE4 has a lower affinity for A $\beta$  and a reduced ability to eliminate A $\beta$  resulting to behavioral deficits (Bien-Ly et al., 2011). Therefore, APOE4 cleavage leads to the accumulation and aggregation of toxic beta-amyloid species (Figure 12). Other authors have suggested that APOE4 more strongly stimulate A $\beta$  aggregation compared to APOE3 and that the increase in the level of A $\beta$ oligomers is isoform-dependent (i.e., APOE4> APOE3> APOE2) (Hashimoto et al., 2012). Furthermore, APOE4 stabilizes AB oligomers more than APOE3 (Cerf, Gustot, Goormaghtigh, Ruysschaert, & Raussens, 2011). Thus, APOE4 detrimentally triggers Aβ aggregation in AD (Uddin et al., 2019). On the other hand, the N-terminal domain is preferentially located within the NFTs (Harris et al., 2003), this has been confirmed with an antibody directed to a putative cleavage site within APOE4 at position D172 (Rohn et al., 2012). Therefore, APOE4 is also associated with increased phosphorylation of Tau and the formation of entangled inclusions (Andrews-Zwilling et al., 2010; Brecht et al., 2004; S. Chang et al., 2005). Among other functions, APOE4 has also been reported induce a mitochondrial dysfunction (H. K. Chen et al., 2011) (Figure 12). The specific N- terminal fragment has been shown to bind to mitochondrial proteins associated with oxidative phosphorylation (Nakamura, Watanabe, Fujino, Hosono, & Michikawa, 2009). Furthermore, APOE is one of the main lipid acceptors in the CNS and functions to transport cholesterol from cells generating high-density lipoprotein (HDL) particles. Loss of this function due to APOE4 cleavage susceptibility could reduce HDL cholesterol, which is essential for synaptogenesis and neurite outgrowth, and this is consistent with neurodegeneration seen in AD. Furthermore, APOE4 fragments have been shown to cause learning and memory deficits in transgenic mice. Together, these evidences could explain the risk of developing AD associated with the ApoE4 allele (Rohn, 2013).



**Figure 10. Structure of apoE gene and protein**. (A) Location and structure of the apoE gene on chromosome 19. (B) The three major isoforms of APOE are shown, which are located at residues 112 and 158. APOE2 has Cys residues at both positions, APOE3 has a Cys residue at 112 and an Arg residue at 158, and APOE4 has Arg residues at both positions. From (Giau et al., 2015).



**Figure 11.** Differences between the structure of APOE isoforms. APOE is a soluble secreted protein, with N-terminal and C-terminal domains joined by a central hinge region. The N-terminal domain contains the receptor-binding domain (green) and the C-terminal domain contains the lipid-binding region (orange). The difference between each isoform is in the position of the amino acids. In APOE2, cysteine is located at position 158 (C158) causing poor receptor binding. In contrast, in APOE4, arginine is positioned at 112 (R112), thus changing the conformation of the entire domain. Therefore, R61 connects to C255 in the terminal c domain (red dotted line). This is the basis of the differences between the functions of APOE4 with respect to other isoforms. One difference is, for example, APOE4's preference for VLDL over HDL. Likewise, it is known that in APOE3 and APOE2, which have C112 instead of R112, there is no interaction of R61 with the domain. From (Fernandez, Hamby, McReynolds, & Ray, 2019).



**Figure 12. Proteolysis of ApoE4 leads and its implication in AD pathology.** It is known that APOE4 promotes the pathogenesis of AD, this occurs after cleavage and the generation of an N-terminal fragment. In turn, the N-terminal fragment leads to a gain in APOE4 toxicity. This can occur through these ways: (1) Altered mitochondrial function caused by the deterioration of the enzymes involved in the respiratory chain complex; (2) Promotes the intracellular accumulation of beta-amyloid by stimulating cell uptake; (3) Induction of tangle-shaped inclusions that resemble neurofibrillary tangles. These results together, generate an enhanced pathology, as well as neuronal deficits at the level of memory and learning and therefore neurodegeneration. From (Rohn, 2013).

Among the genetic factors favoring accumulation of amyloid plaques, mutations of Presenilin 1 and 2 (PSEN1, PSEN2) and APP genes are the main responsible for the appearance of the autosomal dominant Alzheimer's disease form. PSEN1 and PSEN2 are involved in the processing of A $\beta$  by the  $\gamma$ -secretase of the amyloidogenic pathway,

mentioned above. PSEN-1 and PSEN-2 are the main components of the catalytic core of  $\gamma$ -secretase while Nicastrin (NCT), presenilin enhancer (PEN), anterior pharynx defective (APH-1a or APH-1b) are the other components (R. Francis et al., 2002; G. Yu et al., 2000). These four components are essential for full  $\gamma$  -secretase activity (Edbauer et al., 2003) (Figure 13).



**Figure 13.** *γ***-Secretase structure**. Lateral view of the *γ*-secretase complex. NCT (green), APH-1 (silver), PSEN1 (blue) and PSEN-2 (pink). Catalytic aspartates are also shown which are highlighted in yellow. Hydrophilic loop domains 1 and 2 (HL1 and HL2) that bind PSEN1, TMD1-TMD2, and TMD6-TMD7, respectively, are highlighted (hot pink). From (Escamilla-Ayala, Wouters, Sannerud, & Annaert, 2020). TMD (transmembrane domain).

Many pathogenic mutations into the PSEN1 and PSEN2 genes have been respectively reported in AD patients (<u>www.alzhforum.org/mutations</u>; (De-Paula et al., 2012; A. Kumar & Thakur, 2012)). PSEN1 gene is located on chromosome 14 (De-Paula et al., 2012) and has around 221 potentially pathogenic mutations affecting more than 100 amino acids

(www.alzforum.org/mutations; Escamilla-Ayala, Wouters, Sannerud, & Annaert, 2020). PSEN2 gene is located on chromosome 1 (De-Paula et al., 2012) and has only about 19 pathogenic mutations (Lanoiselée et al., 2017) affecting 11 amino acids (www.alzforum.org / mutations) (Figure 14). Mutations in PSEN1, PSEN2 and APP genes are responsible for the appearance of early-onset AD (EOAD) which is autosomal dominant type (Larner, 2011). PSEN1 and PSEN2 genes share a 66% sequence homology, and are ubiquitously expressed (A. Kumar & Thakur, 2012). The transcription rates of both PSENs are affected differently by brain injury or signaling (Nadler et al., 2008; Pluta et al., 2016). Likewise, the existence of a compensatory mechanism among PSENs has been reported, for example PSEN1 deficiency generates a positive regulation of PSEN2 expression (Watanabe, Iqbal, Zheng, Wines-Samuelson, & Shen, 2014).

PSEN1 and PSEN2 have different biological functions. Some studies performed in PSEN1 knockout mice suggest that PSEN1 plays a role in cognitive memory (H. Yu et al., 2001). (Mineur, McLoughlin, Crusio, & Sluyter, 2005). On the other hand, PSEN2 has been evidenced as a less efficient producer of A $\beta$  compared to PSEN1 (Bentahir et al., 2006). PSEN2 has been related to epidermal differentiation (Escamilla-Ayala et al., 2020), and is involved in innate immunity, for example, Agrawal et al., showed that macrophages lacking PSEN2 have a reduced response to lipopolysaccharide (Agrawal, Sawhney, Hickey, & McCarthy, 2016). Also, Jayadey et al., showed that primary murine microglia with loss of PSEN2 gene leads to a greater response to lipopolysaccharide that has been evidenced through measurements of pro-inflammatory cytokines released (Jayadev et al., 2010). PSEN2 deficiency has also been reported to affect the normal functioning of mitochondria (Contino et al., 2017).

Concerning PSEN1 and PSEN2 mutations, it has been mentioned that mutations in the PSEN1 gene are the most important causes of EOAD (Escamilla-Ayala et al., 2020). In

addition, it causes the most severe forms of AD with a very early onset that occurs between approximately 30 to 58 years of age. It has also been reported that some AD patients carrying specific PSEN1 mutations develop some atypical AD symptoms, for example; spastic paraparesis, seizures, degeneration of the corticospinal tract, among others. The clinical atypical phenotypic is dependent on the type of mutation in PSEN1. In contrast to PSEN1, mutations in the PSEN2 gene are a rare cause of EOAD, at least in Caucasian populations. The appearance of AD in these PSEN2 carriers generally appears between 45 to 88 years of age. Likewise, it has been stated mutations in PSEN2 are of lower penetrance, reviewed in (Bekris, Yu, Bird, & Tsuang, 2010).



**Figure 14. Structure and functions PSEN1 and PSEN2**. PSEN1 and PSEN2 are shown as part of the complete y-secretase in the APP processing. The magnified area shows PSEN

1 and PSEN 2, which were schematically represented with mutations (red dots). Autocatalytic cleavage of PSENs (arrow) into N- and C-terminal fragments is required for the activation of the  $\gamma$ -secretase. Adapted from (Chiba, 2013).

## 3. AD progression

The brain of a healthy adult contains about 100 trillion neurons. They have a body (known as the soma), in which are the nucleus, the smooth and rough endoplasmic reticulum, the mitochondria and the Golgi apparatus, among other cellular organelles and large branched extensions, which make possible the formation of connections with other neurons that allow signal flow throughout the central (CNS) and peripheral (PNS) nervous systems. The main functions of the CNS are to create memories, thoughts, sensations, emotions and motor responses; to achieve this goal, it has two specialized cells: nerve cells (neurons) and glial cells (Uehara, 2020). The latter play a fundamental role, since they are able to guide neurons in development to their destinations, provide myelin sheaths around axons, provide nutrients and protection to the entire nervous system.

Of all the cells in the nervous system, the glial cells play an important role, including; a) astrocytes, which provide nutrients to neurons, regulate the concentrations of ions and chemical compounds in the extracellular fluid, provide structural support in the synaptic process and block toxic substances that could enter the brain; b) the microglia, responsible for degrading dead cells and protecting the brain against invading pathogens in the CNS; c) oligodendrocytes and Schwann cells, which cover axons of neurons in the CNS and PNS with myelin, respectively; d) glial satellites, which cover the body of neurons in the PNS and provide nutrients and structural support; and, e) ependymal cells, which line the ventricles of the brain and the central canal of the spinal cord and which protect the brain thanks to the production and flow of CSF (Uehara, 2020). It is also known that the cerebral cortex has five parts: the primary, the secondary, the tertiary or heteromodal cortex (within

which it is considered a quaternary or supra-modal that belongs to the prefrontal sector of the frontal lobe), the paralimbic and limbic. It is in the tertiary and quaternary heteromodal and limbic areas where AD will present its main initial symptoms. Later, this pathology will affect secondary areas. At first, the anomaly, will appear from the posterior mesial-temporal sectors of the brain (damage and neuronal death in the hippocampus) to the anterior sectors in the prefrontal areas (progressive and irreversible general atrophy) (Uehara, 2020).

The brain is one of the organs with the greatest immunological ability, since it has a bloodlymphatic barrier and lacks conventional lymphatic drainage, it has been observed by histochemical and molecular biology that it has a very active endogenous immune system. Due to this, in cases of brain pathologies, chronic inflammation can damage the healthy tissue progressively generating neuronal death, since neurons such as postmitotic cells cannot be easily replaced (Angosto & González, 2009).

Likewise, neurons are responsible for metabolizing large amounts of glucose for energy; however, as will be seen later, in people with AD there will be a suppression in synaptic function. As a consequence of this, due to the lower amount of glucose that is metabolized, various regions of the brain will be reduced, such as the precuneus area, the lateral parietal lobe and the temporal lobe (Uehara, 2020).

Braak and Braak described the stages in the neuropathology of AD (Figure 15). The progression of AD has been divided into three stages (A-C). In stage A, amyloid is found in the base layer of the frontal, temporal, and occipital lobes. In stage B, amyloid progresses to almost all areas of isocortex and in stage C, amyloid is densely packed (Braak & Braak,

1991).

On the other hand, the Tau modification has been divided into six stages (I-VI). It is believed that from stage I to stage III, 30 years may pass and during this time the disease is not symptomatic. It is also estimated that there are 48 years from stage I to stage V of Braak, in which the symptoms are already evident.

Stage I is considered clinically silent. In Braak stages I and II, the neurofibrillary tangles are centered around the trans entorhinal region. However, stage II differs from stage I, because it is more densely populated with Tau pathology, the appearance of numerous neurofibrillary tangles and neuropil threads has been described in the trans entorhinal region, and some additional ones in the entorhinal region (Guimerà, Gironès & Cruz-Sánchez, 2002).

In stage III, the pathology moves towards the entorhinal region with low levels of Tau in CA1 of the hippocampus and little or no changes in isocortex (Braak & Braak, 1991). The hippocampus is responsible for episodic memory, which is the memory of autobiographical events (Tulving & Markowitsch, 1998). This corresponds to the first symptoms observed in AD and is defined as mild cognitive impairment (MCI). Patients who fit this definition arethree to five times more likely to develop dementia within three to five years (Borroni, Di Luca, & Padovani, 2006). In stage IV, there is an increase in pathology from the entorhinal region towards the amygdala, and the CA1 hippocampus, and towards the association areas of the basal temporal neocortex. At this stage, there is no detectable brain atrophy and the pathology does not meet the criteria for the neuropathological diagnosis of AD. However, in this phase, some individuals have impaired cognitive functions and subtle personality changes.

In Stage V, Tau is found in almost all areas of the hippocampus and isocortex. Another characteristic is the extremely severe destruction of the neocortical associative areas. In Stage VI, the areas are severely affected, the pathological process spreads to the primary areas. Isocortex involvement corresponds to late AD and can be clinically diagnosed (Braak & Braak, 1991). These last two stages correspond to fully developed AD (Guimerà,Gironès & Cruz-Sánchez, 2002).



**Figure 15. Schematic representation of the amyloid and Tau stages during the progression of Alzheimer's disease, proposed by Braak and Braak**. Mild, moderate, and severe correspond to the density of amyloid / tau protein. From (Swarbrick, Wragg, Ghosh, & Stolzing, 2019).

#### 3.1. Phases of Alzheimer's disease

In this section, we describe four phases of Alzheimer's disease: preclinical phase, prodromal phase, mild-moderate phase and advanced phase. Subsequently, the progression of AD will be explained from a molecular point of view.

## 3.1.1. Preclinical phase

The concept of the preclinical phase arises due to the evidence that the pathological process of AD begins years before the clinical manifestations of the disease. There are data from pathological anatomy studies of patients who had some type of neuronal damage typical of AD, but that they had not clinically manifested the disease until the moment of death (Valls-Pedret, Molinuevo, & Rami, 2010).

In this phase, the individual does not show any type of symptoms. However, it is known that the first molecular alterations occur, which lead to the beginning of a process of neuronal degeneration, but are insufficient to generate the first symptoms, because the brain compensates those changes allowing the person to have a normal life (Bhute et al., 2020; Sperling et al., 2011). This asymptomatic period offers the opportunity to begin to modify treatments of the evolutionary course of the disease, before there is extensive and irreversible brain damage (Valls-Pedret et al., 2010).

### **3.1.2 Prodromal phase or Mild Cognitive Impairment (MCI)**

The prodromal stage is the first symptomatic phase of Alzheimer's disease characterized by the presence of very mild symptoms related to memory (Valls-Pedret et al., 2010). At the biological level, an abnormal amount of  $A\beta$  is present and the brain can no longer counteract the damage and death of neuronal cells. Therefore, the patient begins to have a deficiency in cognitive abilities (Uehara, 2020). These mild cognitive problems are perceived only by the individual's closest environment, such as family or friends. Likewise, this type of symptomatology does not interfere with the normal activities carried out by the person (Albert et al., 2014).

It is known that the pathological sequence begins preferentially in the structures of the middle temporal lobe and then spreads to other areas. Alterations in the structure and functioning of the brain have been observed such as the decrease of A $\beta$ 42 in CSF, the presence of A $\beta$ 42 in the brain, inflammation, oxidative stress, microgliosis, regional hypometabolism, brain atrophy, increased tau and phosphorylated tau in CSF (Uehara, 2020).

In some cases, during this phase, the first "mild" symptoms may appear, as a result of the proliferation of senile plaques, which alters the synapse and destroys neurons. These manifestations are related to information retention and learning difficulties commonly called "hippocampal-type amnesic syndrome", gradual loss of temporal orientation and contextual references, autobiographical memory disturbances, and episodic memory loss (Lanfranco, Manríquez- Navarro, Avello, & Canales-Johnson, 2012; Valls-Pedret et al., 2010). Finally, the transition from a prodromal to a mild phase involves a reduction in the

frontotemporal region and the hippocampus of the brain, known as atrophy, which are involved in memory processes (Uehara, 2020).

#### **3.1.3.** Medium phase (mild-moderate)

In this stage, the pathological alterations progress towards lateral temporal cortical regions (Lanfranco et al., 2012). The memory loss and confusion increase. According to the National Institute on Aging (2010), the first symptoms will be associated with a decrease in memory, difficulty to orient oneself temporally and spatially, sudden mood swings, affective disturbances and apathy. Also, they are likely to hallucinate, delusions, paranoia, and impulsive behaviors (Albert et al., 2014; Calderón & Rodríguez, 2014; Lanfranco et al., 2012).

At the macroscopic level, a generally symmetric and diffuse atrophy is triggered in the brain turns. In the brain, the thickness of the convolutions begins to decrease, the depth of the sulci increases, the ventricular system dilates and the weight and volume of the brain decrease (the more advanced AD is, the less the brain weighs). However, it could also happen, although less frequent, that an asymmetric atrophy is triggered, which affects, more frequently, the temporal lobes, the frontal, parietal or occipital lobes.



**Figure 16: Superior view of the brain of an AD patient.** In the left hemisphere, the arachnoid and a large part of the vessels that occupy the subarachnoid space have been removed. Note the marked diffuse cerebral atrophy that characterizes AD, the widening of the fissures and the thinning of the circumvolutions. From (Guimerà, Gironès & Cruz-Sánchez, 2002).

At the microscopic level, more serious alterations have been revealed in the hippocampus, subiculum, amygdala, and areas of neocortical association. Likewise, in nucleus basalis of Meynert a predilection for neuronal loss, tangles formation (neurofibrillary degeneration) and absence of senile plaques is revealed (Guimerà, Gironès & Cruz-Sánchez, 2002; Valls-Pedret et al., 2010).

In summary, during this phase, the appearance of plaques appears poorly demarcated and they are called as "diffuse senile plaques" formed by a delicate network of fine fibrils of amyloid filaments, without degenerated neurites or a central zone of condensed amyloid. In the same way, the appearance of "primitive senile plaques" can be evidenced, composed of extracellular deposits of non-fibrillar that makes it insoluble. Plaques begin to appear in an apparently normal neuropil and precede the development of other components, such as dystrophic neurites or reactive glial cells, as will be mentioned later (Guimerà, Gironès & Cruz-Sánchez, 2002).

### **3.1.4.** Advanced phase (late or severe):

In this stage, the disease progresses towards subcortical deterioration, reaching temporoparietal regions (Lanfranco et al., 2012). In this phase, the brain tissues are completely atrophied, which generates language problems (alterations in literacy), lack of recognition by family members, agnosia, apraxia, gait disturbances, swallowing disturbances, lack of control sphincters, and complete dependence (Lanfranco et al., 2012; Calderón & Rodríguez, 2014). During this phase, neuronal damage involves the individual's motor capacity, which is why it becomes prone to the appearance of blood clots, skin infections and sepsis that, eventually, can cause organ failure. The fact that they cannot swallow causes the patient, instead of using the esophagus in the digestive process, to suck food through the trachea, generating a condition called aspiration pneumonia, which is one of the main causes of death from AD (Uehara, 2020).

At this time, diffuse plaques have become classic senile plaques (neuritic), as a product of neuropil degeneration that contains a central region of amyloid surrounded by reactive astrocytes, microglia and dystrophic neurites corresponding to dendrites and degenerated axons (Guimerà, Gironès & Cruz-Sánchez, 2002, Angosto & González, 2009). Likewise, these evolve until the cellular component disappears (they do not contain associated abnormal neurites) randomly a central zone of condensed amyloid is formed. These are known as burnt plaques. Similarly, during this stage, there is atrophy in the medial temporal

lobe, abnormal concentrations of Tau protein or  $A\beta$  in CSF and temporoparietal hypometabolism (Valls-Pedret et al., 2010).



Figure 17. Phases of Alzheimer's disease. Adapted from doi: 10.1002/alz.12068

## 3.2. AD progression: From a Molecular Point of View

As previously mentioned, AD is a very complex pathology that triggers several intrinsically related phenomena. Therefore, in the next section we explain its progression, considering four most important stages in AD.

## **3.2.1.** First stage: Initiation of the amyloid cascade

At this stage, it has been described that the entorhinal cortex and the hippocampus begin to present various alterations (Lanfranco et al., 2012). Mainly, AD is characterized by an abnormal increase in proteins (Valls-Pedret et al., 2010). Thus, at the histological level, extracellular deposits of A $\beta$  peptide, termed amyloid plaques, are observed in this phase (Lanfranco et al., 2012; Valls-Pedret et al., 2010).

The accumulation in the brain of senile plaques, especially formed by A $\beta$ 42 peptide, would be the trigger for AD. This leads to the formation of neurofibrillary tangles, the interruption of synaptic connections, the activation of microglial cells and astrocytes that would generate neuroinflammation and, eventually, a neuronal loss that would induce the development of AD. As previously stated, the literature indicates that the initiation of AD is related to the aggregation of the A $\beta$ , formed by 39-43 amino acids, generated from a proteolytic cleavage of the amyloid precursor protein (APP), for more details see the section **"amyloidogenic pathway"**.

Senile plaques produce an inflammatory reaction around  $A\beta$  and produce neurotoxic fibrillar aggregations. In addition, there are other very important mutations that are related to AD. For example, mutations related to chromosome 14q, encoding presenilin 1 (PS1); chromosome 1, which encodes presenilin 2 (PS2); chromosome 19q, which encodes apolipoprotein E and, although no mutations have been found in the gene that encodes it, one of its three genetic variants, apoE4, increases the risk of AD (Angosto & González, 2009).

Of these, it has been confirmed that PS (PS1 or PS2) are, at least in part, essential for aspartyl protease  $\gamma$ -secretase to act on APP in the process of releasing  $\beta$ -amyloid. (Angosto & González, 2009). Likewise, it has been concluded that the apoE4 genotype (apolipoprotein E4) influences the formation of A $\beta$  deposition and, therefore, the formation of neurofibrillary tangles.

Although it is not known precisely how  $A\beta$  deteriorates cells, it has been determined how  $A\beta$  can damage neurons through four situations. First, from the activation of microglia of

the CNS. Second, by activating the inflammatory response leading to the release of neurotoxic cytokines and, finally, by producing oxidative damage in neighboring cells or inducing apoptosis or programmed cell death (Von Bernhardi, 2005; Angosto & González, 2009).

The inflammatory response is marked by an increase of interleukins and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), pro-inflammatory cytokines, which cross the blood-brain barrier (BBB) and trigger a signaling cascade in the CNS. Although the release of pro-inflammatory cytokines and chemokines, activate microglia and astrocytes and protect the body from different pathogens, a prolonged activation of these glial cells could promote neurodegenerative processes (AD) through synaptic phagocytosis and positive regulation of kinases that would favor the hyperphosphorylation of Tau and the oligomerization of A $\beta$  (Uehara, 2020).

## **3.2.2. Second stage: Activation of glial cells**

A second aspect that plays a key role in neurodegeneration, is the activation of microglia and astrocytes (Meraz-Ríos, Toral-Rios, Franco-Bocanegra, Villeda-Hernández, & Campos-Peña, 2013), as an inflammatory response induced by the deposition of A $\beta$ . Glial cells play an important role in neuronal activity, since they are related to neuronal survival, plasticity and nutrition. They are also responsible for detoxification or cytotoxicity, and homeostatic regulation of the extracellular medium.

## a) Microglia

In general, microglia cells are generated in the bone marrow, then enter the circulation as monocytes and migrate to the brain during the last phase of embryonic life, establishing their permanent residence there. When the nervous tissue suffers damage; these cells act quickly. In doing so, the microglia will begin to undergo different morphological changes until it becomes "reactive microglia", generating phagocytosis, synthesis and expression of various molecules related to inflammation, modulation of the immune response and the secretion of high levels of cytokines, proteases and other factors. These cytokines cross the BBB, activate astrocytes and induce the production of  $\alpha$ 1-antichymotrypsin (ACT),  $\alpha$ 2macroglobulin ( $\alpha$ 2 M), and apolipoprotein. The Microglial reactivity is directly associated with the neuronal damage process as it is a great source of oxygen free radicals, which contributes to oxidative stress and its neurodegenerative effects (Angosto & González, 2009). Reactive oxygen species (ROS), and nitric oxide (NO) have also been implicated in AD (Angosto & González, 2009).

### **b)** Astrocytes

Astrocytes, also called astroglia, like microglia, play a crucial role in the regulation of neuroinflammation (Colombo & Farina, 2016). In the healthy CNS, astrocytes perform several physiological functions involved in ionic homeostasis, neurotransmitter transmission, growth factor secretion, synaptic remodeling, and regulation of oxidative stress (Wyss-Coray & Rogers, 2012). Astrocytes also participate in the maintenance and permeability of the BBB, due to their proximity to blood vessels and their interaction with endothelial cells (Abbott, 2002).

Astrocytic expression of growth factors and cytokines also tightly regulates the permeability of BBB during inflammatory conditions and, in doing so, helps control the passage of immune cells to the CNS (Argaw et al., 2012). In AD, astrocytes are mainly associated with senile plaques in the brain, for example through astrogliosis, a distinctive feature in AD patients in which reactive astrocytes accumulate around amyloid plaques. After activation by A $\beta$  or after a signal of damage, astrocytes can cause neuropathological changes through the expression of a large number of inflammatory factors such as IL-1, IL-1 $\beta$ , IL-6 and TNF- $\alpha$ , and transforming growth factor- $\beta$  (TGF- $\beta$ ), promoting the neurodegeneration observed in AD (Bai, Su, Piao, Jin, & Jin, 2021).

The exact mechanisms by which astrocytes react with A $\beta$  remain unclear, however it is known that astrocytes express a wide range of receptors, including RAGE, lipoprotein receptor-related proteins (LRPs), membrane-associated proteoglycans and scavenger receptor-like receptors, which recognize and bind to A $\beta$  (Wyss-Coray & Rogers, 2012). On the other hand, A $\beta$  aggregates can stimulate the production of chemotactic molecules, including monocyte chemoattractant protein 1 (MCP-1), that help mediate astrocyte recruitment to the injury site (Wyss-Coray et al., 2003). A $\beta$  has been shown to activate nuclear astroglial factor-kappa B (NF- B), thereby enhancing the production of inflammatory mediators and contributing to the neurodegenerative changes seen in AD. Astrocytes can also swallow large amounts of A $\beta$  that are partially digested, eventually leading to astrocytic defects and neuronal apoptosis (Söllvander et al., 2016). On the other hand, it has been observed that reactive astrocytes participate in the elimination of A $\beta$  in vitro, which suggests a direct role in the attenuation of neurodegenerative processes in AD (Wyss-Coray et al., 2003).

It is known that astrocytes are capable of producing A $\beta$ , thanks to inflammatory factors (Blasko et al., 2000). They are also involved in the formation of the NFTs. Astrocytes reactive by releasing nitric oxide (NO) and other pro-inflammatory cytokines have been reported to accelerate the formation of neurofibrillary tangles (Allaman et al., 2011). Finally, astrocytes have the ability to physically interact with microglia, thus exerting significant control over their activation, phagocytic capacity, and ability to secrete inflammatory mediators (Solà, Casal, Tusell, & Serratosa, 2002).



Figure 18. Activation of inflammation mediated by glial cells. Activation of astrocytes and microglia either by  $A\beta$  or by some other damaging stimulus, results in the secretion of inflammatory cytokines and chemokines, for example; IL-1, IL-6, and TNF- $\alpha$ . These, in turn, trigger a cascade of events; such as; oxidative stress, demyelination, and apoptosis. All of these elements lead to neurodegeneration and cognitive decline. However, reactive astrocytes also lead to scar formation, generally around injured tissue. For example,

accumulation of astrocytes has been observed around amyloid plaques. From (Fakhoury, 2018).

## **3.2.3.** Third stage: hyperphosphorylation of Tau

Tau, almost absent in dendrites, is a neuronal protein, located mainly in the axon, and to a lesser extent in cell bodies. As described above, this protein, along with others, is associated with microtubules, essential components of the cell cytoskeleton and fundamental for the formation of axons and dendrites, and formation and maintenance of cell morphology and their specific connections (Angosto & González, 2009). Tau hyperphosphorylation affects dendritic and axonal transport, the distribution of proteins and organelles, and cell signaling, therefore neurodegeneration, neuronal death and cognitive deterioration are triggered (Angosto & González, 2009; Uehara, 2020).

Tau hyperphosphorylation is considered in the third stage because the accumulation of  $A\beta$  plaques causes the activation of kinases and the inhibition of phosphatases leading to aberrant phosphorylation and the formation of neurofibrillary tangles. As a result, cellular transport and signaling processes are blocked, which causes neurons to become dysfunctional and eventually they die (Von Bernhardi, 2005; Uehara, 2020).

An interesting fact is that, in brains where oxidation is higher, Tau levels are also higher. This will generate an oxidative cross-linking that makes proteins more resistant to proteolysis by inhibition of proteasome activity and contributes significantly to the accumulation of ubiquitin conjugates in NFTs. It occurs through glycation processes (nonenzymatic addition of reducing sugars to a protein), manifested with a lower capacity of binding to the microtubules of the Tau filaments (Angosto & González, 2009). In this sense, "the Tau filaments undergo glycation". As a consequence of this, the Tau protein generates ROS and oxidative stress in neurons, which leads to the activation of NF- $\kappa$ B. Moderate ROS concentrations affect signaling pathways; whilst high level of ROS causes injury and cell death.

## 3.2.4. Fourth stage: deficiency in multiple neurotransmitters

A fourth stage of AD is related to the deficiency in multiple neurotransmitters. It is believed that, in the advanced AD stage, the presence of symptoms such as loss of memory, learning, perception of language and behavior are associated with altered levels of synaptic neurotransmitters. Therefore, neuron-to-neuron functioning is disrupted (Kaur et al., 2019).

The most marked characteristic in this stage is the decrease in cholinergic activity; that is, the function of the synapses or nerve endings in which acetylcholine acts as a neurotransmitter on nicotinic or muscarinic receptors. Under normal conditions, the neurotransmitter acetylcholine allows synapse between motor neuronal cells and muscle cells. However, when AD is present, acetylcholine levels drop due to the large amount of neuronal death (Uehara, 2020). This, mainly, is due to the fact that the presynaptic cholinergic terminals, the projection neurons that produce monoamine transmitters, and cortical neurons that produce glutamate, GABA, somatostatin, and neuropeptide begin to be affected (Guimerà, Gironès & Cruz-Sánchez, 2002). The intracellular signaling processes are altered once the neurotransmitters activate the receptors on the cell surface

(Angosto & González, 2009).

Other neurotransmitters, such as glutamate, serotonin, and dopamine, also contribute to the pathophysiology of AD. Recently, these neurotransmitters and their signaling receptors are under intense investigation due to their important contribution to learning and memory phenomena (Strac, Muck-Seler, & Pivac, 2015). This concept arises due to the findings of altered levels of neurotransmitters in the post mortem brains of patients with AD. It is also important to note that some current drugs in AD such as donepezil, rivastigmine, are cholinergic drugs, and function as acetylcholinesterase inhibitors (Kaur et al., 2019).

### 4. Animal experimental models of AD

Our basic knowledge of the development of AD is increasing rapidly and has now reached a level of maturity where it is possible to evaluate therapeutic procedures to treat this important public health problem. Therefore, important efforts have been made to develop relevant animal models to recapitulate the molecular basis of the pathology and to identify relevant early diagnosis biomarkers. They are almost 200 animal models of AD that can be classified into 2 subtypes: transgenic and non-transgenic. The first developed models are transgenic and they account from more than 80 % of animal models available to date. Although they were useful to better decipher the molecular basis of AD development, they failed to recapitulate accurately the late-onset AD (LOAD) that is the most common form of AD patients. These last 10 years, important progress has been made to fine tune the expression of mutated forms of APP, PSEN or APOE in adult tissue in order to mimic closely AD clinical features. In parallel, non-transgenic models of AD have been also developed and evaluated. Interest of these non-transgenic models rely on the fact that induction of the pathology arises from a non-genetic affected background and in adult specimens. These animal models were found relevant to evaluate neuroinflammation development and toxicity at late-time points which are well known to be responsible for cognitive impairment and discomfort in patient.

## 4.1. Transgenic animal models

The sequence homology between wild-type mouse APP (695 isoform) and human APP is about 97%. Three amino acids within the A $\beta$  sequence (Arginine5Glycine, Tyrosine10 Phenylalanine and Histidine13Arginine) result in impairment of A $\beta$  aggregation and prevention of amyloid plaques development in wild-type mice. Therefore, transgenic mice models that express human mutated gene have been built to recapitulate the AD pathology.

## **4.1.1. Single mutation**

## The V717F or Indiana mutation

The first mouse model of AD was described in 1995 (Games et al., 1995). This mice model expresses a human mutated APP gene found in patients with Valine 717 substituted by a Phenylalanine (Murrell, Farlow, Ghetti, & Benson, 1991). The transgene is under the control of the Platelet- derived growth factor subunit  $\beta$  (PDGF- $\beta$ ) promoter. This model exhibits lifelong cognitive deficits (3-19 months) showing impairment in working memory (Webster, Bachstetter, Nelson, Schmitt, & Van Eldik, 2014). These deficits precede the appearance of histological senile plaques lesions that appear at 6 months, which are increased with age.

### The KM670/671NL or Swedish mutation

The "Swedish mutation" refers to the human KM670/671NL mutation. This is a double mutation of APP: Lysine 670 is substituted by an Asparagine and Methionine 671 is substituted by a Leucine. The first model called Tg2576 was reported in 1996 (Hsiao et al., 1996), It overexpresses a mutant form of APP (APP 695) with the Swedish mutation. In this model the mutated human gene is under the Hamster PrP (Prion protein) promoter. Those mice have deficits in working memory as early as 9 months and are correlated with an increase in A $\beta$ 40 and A $\beta$ 42. Senile plaques were observed surrounded by glial activation and neuro dystrophy, but not neurofibrillary degeneration (Hsiao et al., 1996).

Figure 15 shows the mutations in APP that were the first causes of early-onset AD to be identified (Goate et al., 1991); These autosomal dominant mutations tend to cluster around the  $\beta$ - and  $\gamma$ - processing sites, thus affecting A $\beta$  production. The transgenic expression of familial APP mutations has made it possible to successfully reproduce amyloid pathology in mice (Games et al., 1995) and therefore create various transgenic and knock-in APP models. The Swedish and Indiana mutations are the most used.



Figure 19. Representative APP diagram of FAD mutations that have been incorporated into transgenic models of Alzheimer's disease. The  $A\beta$  domain is highlighted in blue, the amino acid sequence is shown, and different mutations are shown with highlighting the two best known mutations as the Swedish mutation and the Indian mutation.

There is also a second mice model called *APP23* in which the human APP gene with the Swedish mutation under the control of the Thy-1 mouse promoter. As early as 6 months of age, those mice have senile plaques, which increase with age combined with gliosis and neuro dystrophy. They have also an increase in phosphorylated Tau in the brain compared to wild-type mice, with neurofibrillary degeneration (Sturchler-Pierrat et al., 1997). Those mice have a defect in recognition and spatial memory as early as 3 months and in working memory at 19 month-of age (Dumont, Strazielle, Staufenbiel, & Lalonde, 2004; Lalonde, Dumont, Staufenbiel, Sturchler-Pierrat, & Strazielle, 2002; Prut et al., 2007).

In 2004, Saito's team has produced a model called *APPNL* with APP gene was humanized and the human KM670/671NL mutations have been inserted. Those mice do not overexpress human APP and one can observe only the effect of the mutations. This model has an A $\beta$ 42/A $\beta$ 40 ratio equivalent to that of a wild-type mouse and does not show cognitive deficits even at an advanced age (Saito et al., 2014).

## **4.1.2.** Multiple mutations of the same gene

## TgCRN8 and J20 mice models

TgCRN8 (Chishti et al., 2001) and J20 (Mucke et al., 2000) mice models contain both Swedish mutations together with Valine717 to Phenylalanine mutation, but under promoters of PrP and PDGF, respectively. Those mice models have deficits in working, associative and recognition memory as early as 3- month of age. Both models show an increase in A $\beta$ 40 and A $\beta$ 42 peptides with age with senile plaques combined with gliosis and neuro dystrophy without neurofibrillary degeneration.

## The APPNL-F mice model

In this model, the Swedish mutation in exon 16 is combined with the Beyreuther/Iberian mutation, Isoleucine Isoleucine 716 substituted with a Phenylalanine in exon 17 of mouse APP gene (Saito et al., 2014). The mouse APP gene has been humanized and these two human mutations have been inserted. This model has a late working memory deficit at 18 months with senile plaques composed predominantly of A $\beta$ 42 peptide. The Beyreuther / Iberian mutation increases  $\gamma$  cleavage at the C- terminal position 42, which specifically

increases the  $A\beta 42 / A\beta 40$  ratio. These species of peptide are found first in the cortex, then in the hippocampus and are combined with gliosis and synaptic loss. In this model, the Swedish mutation was used because it increases the cleavage of the APP  $\beta$  site, which leads to an increase in the level of APP-CTF- $\beta$  which in turn increases the levels of A $\beta$ 40 and A $\beta$ 42.

# APPNL -G-F model

This model presents the Swedish mutation, the Iberian mutation, and the Arctic mutation (Glutamic acid 693 to Glycine) (Saito et al., 2014). The combination of these 3 mutations lead to an aggressive pathology than the APPNL-F model with a very early and aggressive Aβ pathology from 2 months of age, including senile plaques in the subcortical structures, due to this the mice also show an earlier onset of neuroinflammation (gliosis and astrogliosis) in comparison with APPNL-F mice. Behavioral evaluations showed memory impairment from 6 months of age. Furthermore,  $A\beta$  together with the arctic mutation results in a greater inflammatory response. Mehla et al., characterized this model at a biochemical and behavioral levels in relation to age, using 3, 6, 9 and 12-month-old C57BL / 6J mice as controls (Mehla et al., 2019). They used different tests to evaluate the memory and behavior of the animals such as the Morris water maze (MWM), the recognition of objects and the fear conditioning tests. Open field test was performed to measure the locomotor activity of the mice. Biochemical studies were performed using immunostaining for amyloid plaques (4G8), glial fibrillar acid protein (GFAP), choline acetyltransferase (ChAT), and tyrosine hydroxylase (TH). The results obtained with MWM showed a memory and learning impairment that began at 6 months of age and became more severe at 12 months of age. Although in previous studies shown by Saito et al., no deficits in
learning and memory were observed at 6 months of age, in MWM (Saito et al., 2014), these discrepancies in the results may be due to differences in the protocol followed in these studies. The object recognition test showed a memory deficit at 9 months of age. Regarding locomotor and exploratory activities, there were no significant differences between the APPNL-GF compared with C57BL / 6J. The biochemical evaluation of this model showed an increase in amyloid load in different regions of the brain, which was dependent on age. However, at 3 months no amyloid pathology was found. There was also a significant reduction in ChAT and TH neurons and an increase in GFAP load, also dependent on age.

## The APP DSL mice model

The APP DSL model was generated by (H. Li et al., 2014). In this model exons 16 and 17 of mouse APP were replaced, with sequences containing Swedish (K670N, M671L), Dutch (E693Q) and London (V717I) mutations and the sequence of humanized A $\beta$  generated by homologous recombination was used. Homozygous APP DSL mice cannot develop A $\beta$  pathology, but when crossed with PSEN 1 mutant mice they are capable of developing this pathology (Jankowsky & Zheng, 2017), due to this the studies were confronted with APP SL which is an equivalent line but lacking the Dutch mutation, and both lines were crossed in the PS1M146V knock-in background (H. Li et al., 2014).

APP DSL mice has significantly elevated levels of A $\beta$ 40 and A $\beta$ 42 peptides at advanced age and showing amyloid plaque formation prominent in the hippocampus. They also showed levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A $\beta$ 42 in middle age, and increased levels of insoluble A $\beta$ 40 and A

open arm test, conditioned fear test, the two transgenic lines (APP DSL and APP SL), develop anxiety-like behaviors at a young age, but only APP DSL mice showed a spatial learning deficit and memory impairment in middle-aged animals. Similar results were also shown in other studies (Guo et al., 2013; Guo, Zheng, & Justice, 2012). Li et al. concluded that the Dutch mutation in APPDSL mice generates many of the relevant features of AD (vascular amyloid formation characteristic of CAA, decreased cerebral blood flow (CBF), late-onset microhemorrhage, and cognitive impairment) when crossed with a PSEN mutant line, and that these characteristics are accentuated in relation to age (H. Li et al., 2014).

## **4.1.3.** Mice models with mutations in multiple genes

## APP/PS1 mice model

One of the widely studied transgenic models is the double transgenic APP/PS1 mice. This model expresses a chimeric mouse/human beta amyloid precursor protein (Mo/HuAPP695swe) and a deletion or at least one mutation of PSEN1 gene. Mutated APP refers to the Swedish mutations Lys  $670 \rightarrow \text{Asn}$  and Met671  $\rightarrow$  Leu that led to the production of 695 isoform of A $\beta$  precursor protein. Regarding PSEN1 gene, it contains at least one mutation or more depending on the specific type of APP/PS1 model. Other differences between these models are the mode of expression of the mutated transgenes and the promoter used (Drummond & Wisniewski, 2017).

One model associates the Swedish APP mutation with a total deletion of exon 9 of the human PSEN1 gene (<u>http://alzforum.org/mutations</u>) under the control of the PrP mouse promoter (Jankowsky et al., 2004; Jankowsky et al., 2002). This mouse model exhibits

senile plaques as early as 4 months of age, with a progressive increase until 12 months of age. The plaques in this model appear earlier than in Tg 2576 but with a more progressive evolution (Garcia-Alloza et al., 2006). APP/PS1 mice show impairments in working memory as early as 3 months, and in reference and associative memory as early as 6 months (Knafo et al., 2009; Lalonde et al., 2002; Reiserer, Harrison, Syverud, & McDonald, 2007).

Kosel et al., proposed a classification of the APP / PS1 model according to the different mutations used (Kosel, Pelley, & Franklin, 2020). The APPSwe / PS1 A246E refers to the Swedish mutation in the APP gene and carries a punctual mutation of Alanine to Glutamine at the amino acid position 246 in PS1 (Borchelt et al., 1996). The PS1/APP model containing other common mutations such as APPSwe and PSEN1 M146L or Methionine  $146 \rightarrow$  Lysine (Holcomb et al., 1998), the APPSwe, PSEN1 Methionine  $146 \rightarrow$  Valine from (McGowan et al., 1999) and more recently the APPSwe, PSEN Leucine  $166 \rightarrow$ Proline created by (Radde et al., 2006), which is one of most commonly used APP/PS1 model. The neuron-specific Thy1promoter was used in this line to restrict APP expression in postnatal brain tissues and to achieve high levels of neuron-specific transgene expression. Overall, this model has shed on light on the highly pathogenic index of the Leucine mutation in amino acid 166 position (Pantieri et al., 2005).

In most of APP/PS1 models, the transgene expression of the mutated human APP transgene was found approximately 3 times greater than that of endogenous murine APP. Cerebral amyloidosis is detected progressively with age, starting from the cerebral cortex between 6 to 8 weeks. Amyloid deposits into the hippocampus could be observed later, between 3 and 4 months of age and into the striatum, the thalamus and brainstem between 4 and 5 months of age. Finally, at age of 7 to 8 months, amyloidosis occurs in all regions of the

brain, except the cerebellum. These transgenic lines generate high levels of soluble A $\beta$ 42 and lower levels of A $\beta$ 40, both of which increased with age (Maia et al., 2013; Radde et al., 2006).

#### 5xFAD mice model

The FAD model is another relevant model of APP/PS included in classification by (Drummond & Wisniewski, 2017). These transgenic lines are considered as an extreme APP/PS1 model because they carry more than a single mutation in APP and several mutations in PSEN1 gene. The 5xFAD mouse model, has 3 mutations in APP (K670N/M671L + 1716V + V717I) and PS1 (M146L + L286V) (Oakley et al., 2006), under the control of the murine Thy-1 promoter. This 5xFAD model exhibits an extreme pathology index as mice begin to express intracellular A $\beta$  42 at 1.5 months old of age (Holcomb et al., 1998), which evolves into massive response at 2 months characterized by an extracellular A $\beta$  accumulation, senile plaques, extensive neuronal loss and cognitive impairment that increased from 4 to 6 months of age (Esquerda-Canals, Montoliu-Gaya, Güell-Bosch, & Villegas, 2017). On other hand, those animals do not form neurofibrillary tangles (C. Li, Ebrahimi, & Schluesener, 2013; Oakley et al., 2006). In term of behaviour, Jawhar et al, reported that the 5XFAD model develops an age-dependent motor phenotype in addition to the defect in working memory and anxiety levels (Jawhar, Trawicka, Jenneckens, Bayer, & Wirths, 2012).

This 5XFAD animal mouse model is considered as a highly valuable research model of AD due to its ability to recapitulate many of the distinctive features of this pathology and although this model does not have the capacity to produce the formation of neurofibrillary

tangles, it represents a "predominantly amyloid" AD model (Jawhar et al., 2012).

# 3xTg-AD mice model

This model harbors three individual mutations: the common Swedish mutation, a single mutation in Methionine 146 $\rightarrow$ Valine in presenilin-1 (PS1) and a Tau mutation in Proline 301 to lysine. Importantly, this animal model progressively develops A $\beta$  deposit of two peptide forms (A $\beta$  1-40 and A $\beta$  1-42) and a Tau pathology that closely resembles that which appears in the human AD brain (Oddo, Caccamo, Kitazawa, Tseng, & LaFerla, 2003). These two characteristics make this model of great interest and considered one of the most advanced animal models of AD (Esquerda-Canals et al., 2017). A $\beta$  deposition occurs earlier in 3xTgAD mice, prior to any significant cognitive impairments that appear later, around 6 months of age, as evidenced by the spatial memory in the MWM (Ameen-Ali et al., 2017; Billings, Oddo, Green, McGaugh, & LaFerla, 2003), while the appearance of NFTs develop later, around 12 months of age (Ameen-Ali et al., 2017).

#### APOE based-mice models

As ApoE4 allele is also a hallmark of AD development, apoE4 transgenic mouse was generated. The coding sequence of mice ApoE gene including a part of exon 2, the entire exons 3 and 4 were replaced by those of human (Hamanaka et al., 2000). Several promoters are employed to drive expression of human ApoE cDNA in neurons and glia (Mann et al., 2004) to mimic the clinical setting with heterogeneous APOE levels described between

patients (Carter et al., 2001; Hamanaka et al., 2000; Holtzman et al., 1999).

There are three types of APOE models: mice invalidated on ApoE gene, called "Knock-Out" or KO, mice in which the gene has been replaced, called "Knock-in" or KI, and mice expressing an ApoE transgene.

Knocking out of APOE gene has allowed to better understand the physiological role of APOE and its involvement in AD. APOE KO mice have diffuse  $A\beta$  deposits in the hilus of the dentate gyrus of the hippocampus, even at advanced age (Holtzman et al., 2000). However, they do not present fibrillar deposits of  $A\beta$ , which indicates that the elimination of ApoE causes the blockage of the formation of cerebrovascular  $A\beta$ , or in the brain parenchyma.

These mice also did not show neuritic plaque formation. Therefore, in this study presented by Hotzman et al. ApoE was shown to facilitate the formation of both neuritic and cerebrovascular plaques, which are pathological features of AD and CAA (Holtzman et al., 2000).

On the other hand, knock-in mice have been created to allow researchers to compare the effects of various human APOE isoforms and to conditionally alter APOE expression. These mice express the full-length human ApoE protein under the control of endogenous mouse regulatory elements. APOE knock-in mice crossed with APP/PS1 mice show APOE immunoreactivity in the center of the plaques and in microglia and astrocytes. Another characteristic is the presence of amyloid deposition, which was more pronounced in female mice that carried the APOE4 sequence than in females that carried the APOE3 sequence ((Huynh et al., 2019); https://www.alzforum.org/research-models/apoe4-knock- floxed-curealz)).

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Other studies have evaluated the role of APOE in brain cholesterol metabolism. For example, Mann et al., used APOE knock-in (KI) model in which the human allele is expressed under endogenous regulatory elements, on a defined C57BL6/ J background (Mann et al., 2004). They demonstrated that the KI mice have significantly different steadystate levels of serum cholesterol and APOE levels in the brain, however, these mice had equivalent levels of brain cholesterol. Specifically, they found that the APOE level in the fasting brain and liver of the APOE ɛ2 KI mice was 2 times higher compared to those of the APOE ɛ3 KI and APOE ɛ4 KI animals. The APOE level in the fasting serum of APOE ε2 KI animals was also significantly higher than that found in APOE ε3 KI and APOE ε4 KI animals. The correspondence between genotype and APOE levels in KI mice is similar to that observed in humans (Mann et al., 2004). The evaluation of cholesterol levels showed that APOE  $\varepsilon_2$  KI animals had the highest cholesterol level (average 234 mg / dl) and APOE ε4 KI animals, the lowest cholesterol (average 67 mg / dl) while APOE ε3 KI animals did not differ significantly from wild type animals. Likewise, it was reported that the triglyceride levels found for ɛ3 KI, ɛ4 KI and wild type animals were statistically indistinguishable. Furthermore, they reported that the presence of APOE significantly increases A $\beta$  and cholesterol levels in the brain, but this increase is regardless of the allele. Therefore, there is an independent role for APOE in peripheral cholesterol metabolism in relation to the CNS, and despite the fact that these mice present altered levels of cholesterol and APOE, they are insufficient to influence Aß metabolism. However, this model has some advantages. Although the abundance of APOE in the brains of ɛ4 KI animals is low, they present normal levels of serum cholesterol. These animals would allow studying the effects of low APOE levels in the absence of high cholesterol, which is often observed in humans who inherit an ɛ4 allele. Likewise, ɛ2 KI animals have high levels of serum cholesterol and high levels of APOE in brain. They could be helpful in determining the

effects of high cholesterol independent of low APOE levels (Mann et al., 2004).

## 4.2. Regulatable transgenic lines

Although those single and multiples transgenic lines were found more relevant and physiological closer to the clinical setting, they still suffer from drawbacks as early-birth stage development of AD in young animal. Therefore, to induce expression of mutated form of AD markers (Tau, APP, etc) in more-advanced age of animal, regulatable-transgenic lines have been recently evaluated. Most of these transgenic lines relies on theTet/ON-OFF regulatable inducible system.

# rTg4510

The rTg4510 mouse is a regulatable expression model, representing the human Tauopathy mutation (TauP301L) (Gamache et al., 2019) associated with frontotemporal dementia and Parkinson disease both linked to chromosome 17 (Ramsden et al., 2005). The general strategy is based on the Tet – Off system in which expression of the mutated form of TauP301L is switched-OFF after the addition of doxycycline in the drinking water of animal. The brain specific CaMKII $\alpha$  promoter was employed to drive the expression of TauP301L in forebrain tissues in a physiological range of expression. The most representative feature of this model is the development of NFTs that correlated well with the detection of the hyperphosphorylated 64 kDa Tau isoform according to age (Ramsden et al., 2005; Santacruz et al., 2005). For example, phosphorylated Tau pre-tangles is detected at 2-3 months of age and correlated with the appearance of cell death at 4 and 5.5 months of age in the cortex and hippocampus (Ramsden et al., 2005; Santacruz et al., 2005).

Hippocampal neurodegeneration is also visible depending on the age of the mice, starting at approximately 5 months 10 months of age. The rTg4510 mice show severe brain atrophy as well as other Tauopathy-like phenotypes (Gamache et al., 2019; Ramsden et al., 2005; Santacruz et al., 2005), which are not discernible in the standard, not regulatable Tauopathy models (P301L) carrying the same mutation (Götz, Chen, van Dorpe, & Nitsch, 2001). Likewise, cognitive impairment in this model has also been shown to be age-dependent, becoming significant at 4.5 months of age and increasing in older mice. Cognitive impairment is consistent with macroscopic and severe forebrain atrophy and prominent loss of neurons, especially in the CA1 subdivision of the hippocampus of these mice (Ramsden et al., 2005). Interestingly, the addition of doxycycline in the drinking water of the animals to switch-off expression of the mutated form of Tau restored the cognitive impairment in those animals, confirming the specificity of the approach used (Santacruz et al., 2005). Gelman et al., 2018 investigated the synaptic activity of rTg4510 mice compared to the regular APP/ PS1 model (Gelman, Palma, Tombaugh, & Ghavami, 2018). Results indicated substantial differences in term of hippocampal function deficits. Paired pulse facilitation (PPF) deficiency at 6-7 months of age was noted early in rTg4510 mice in contrast to the APP / PS1 model in which it was observed from 8-9 months of age (Ramsden et al., 2005; Santacruz et al., 2005). Long Term Potentiation (LTP) deficiency was also evident at 6 to 7-month in Tg4510 mice and correlated with reduced presynaptic activation at this time. In contrast, any significant difference was visible in term of basal synaptic transmission (BST) activity between the two animal models. However, APP/ PS1 mice aged 2-3 and 8-10 months showed deficits in BST (Gelman et al., 2018). Overall, these data indicate that synaptic transmission deficits in these models progress differentially and that this difference is based on the spatial and temporal expression of the mutated form of Tau in the brain of mice.

### rTg3696AB model

Paulson et al., 2008, created another Tet-OFF regulatable transgenic animal model that, unlike to rTg4510, includes APP transgene (Paulson et al., 2008). The rTg3696AB model incorporated the human APP (APPNLI) gene harboring three mutations associated with the early development of AD: the Swedish mutations (K670 $\rightarrow$ N and M671 $\rightarrow$ L) and the London (V717 $\rightarrow$ I) mutation with the aim of improving the production of A $\beta$  42. The mutated form of Tau was the TauP301→L. As for the rTg4510 line, the CaMKII promoter was used to ensure transgene expression in the forebrain while the temporal transgene expression was achieved by addition of doxycycline. In this model, the main important features of AD were detectable i.e. AB plaque formation, neurofibrillary tangles and neurodegeneration which again correlated well with tissue atrophy and neuronal loss. Surprisingly, the expression of the APP transgene in the brain of rTg3696AB mice was 50% of that which could be detected in the Tg2576 model, while the tau expression from P301L was 25% that in rTg4510 (Santacruz et al., 2005). This indicates that the expression levels of mutated forms of APP and Tau, and the type and nature of the mutations are detrimental to the development of AD in animals. In any case, NFTs formation was agedependent as well as in the rTg4510 model, although transgenic human Tau levels were lower expressed in rTg3696AB compared to rTg4510 mice. At 13 months of age, the presence of senile plaques was observed throughout the forebrain, as well as the presence of NTFs. The acceleration of tangles pathology in this model is probably enhanced by the presence of A<sup>β</sup>. Neuronal loss was also observed in mice older than 11 months and this was significant in CA1. Paulson et al., 2008 did not carry out studies on behavior, but they deduced that this model also presents alterations at the cognitive level.

## rTg9191 model

Regarding the two transgenic lines described above, Liu et al., 2015 generated a Tet-OFF transgenic animal, rTg9191 model, carrying the 695 amino acid isoforms of human APP (APPNLI) with the Swedish (K670N and M671L) and London (V717I) mutations (P. Liu et al., 2015). This mouse has 4-fold higher level of mutant human APPNLI, preferably in excitatory forebrain neurons, than endogenous mouse APP which remains constant with age. Amyloid plaque formation was age- dependent and observed from 8 months of age in the cerebral cortex and between 10.5 - 12.5 months of age in the hippocampus. In 25month-old mice, plaque formation was observed to be distributed in at least 19 % of the cortex. Importantly, density of neuritic plaques in rTg9191 mice was found to be comparable to that found in the brain of AD patients. Formation of A $\beta$  aggregates was also observed to increase with age, with the fibrillar aggregates found majority with low amounts of non-fibrillar aggregates. These mice also develop neuroinflammation, tau misfolding near neuritic plaques and hyperphosphorylation. However, no clear signs of cognitive impairment were detected in these animal models even in old animals. Overall, there were some disadvantages with these regulatable OFF systems. Defective brain development was observed as well as a reduction in forebrain weight. The size of the dentate gyrus was regularly smaller in these animals than in the counterpart animals (P. Liu et al., 2015). Although no clear explanation was drawn from these mice, it has been suggested that the integration tTA promoter into genomic loci, might transcribe, in addition to the tTA gene, other genes located nearly to the integration sites, inducing a global change of gene expression and side effects. A phenomenon widely recognized as "Chromatin position effects" (X. Chen & Zhang, 2016).

### 4.3. Sporadic AD models

Transgenics models de AD has many advantages, and generally exhibit A $\beta$  aggregation and eventually, senile plaques and show significant cognitive impairment and some other characteristics of this pathology (H. Y. Kim, Lee, Chung, Kim, & Kim, 2016). But they suffer from several limitations. For example, they are uneconomical and time-consuming, taking several months to develop A $\beta$  plaques and even longer to detect A $\beta$ -induced behavioral or synaptic abnormalities (Bryan, Lee, Perry, Smith, & Casadesus, 2009; Elder, Gama Sosa, & De Gasperi, 2010). Moreover, since mutated forms of AD markers are expressed from birth, brain cells could develop rescue mechanisms throughout age to compensate for these defects. This could create some interpretation bias. For instance, the neuroinflammation process that occurs at a late time in AD patient could not be studied with precision in these transgenic lines.

Some alternatives have been explored in animals to closely mimic this specific feature. The general strategy is based on the intracranial injection of neurotoxic or inflammatory molecules into the brain of aged animals. Infusion of A $\beta$  peptides into hippocampal regions as well as inflammatory cytokines have been evaluated. In the next section, we will discuss the impact of these two different approaches in AD.

### **4.3.1.** Aβ infusion models

Several studied have shown that intrahippocampal injection of A $\beta$  1–42 into the brain of wild-type rat provides an excellent in vivo model which replicates the amyloidopathy (Facchinetti, Bronzuoli, & Scuderi, 2018). A $\beta$  peptide exists in several forms, such as monomeric, oligomeric, proto-fibrillar and/or fibrillar forms in AD brain; soluble peptide, oligomers or fibrils could be precisely infused into specific regions of the brain (Karthick et al., 2019). Results from these infused models indicated that the oligomeric form of the A $\beta$  peptide is the most neurotoxic in the AD pathogenesis (Marr & Hafez, 2014; Sun, Chen, & Wang, 2015). The brain parenchyma and the hippocampus are often chosen as target tissues because these regions are the most affected area in AD neurodegeneration (Facchinetti et al., 2018; Jean, Baleriola, Fà, Hengst, & Troy, 2015; Scuderi et al., 2014). Therefore, the infused model allows to replicate the increase of A $\beta$  peptide spatially and temporally as observed in AD, preventing any compensatory or side effects that can be found with transgenic lines.

Several recent investigations have shown the effects of A $\beta$  1-42 peptide injection at the cognitive and molecular level. In a study conducted by (Wong, Cechetto, & Whitehead, 2016), a single acute exposure of 150  $\mu$ M A $\beta$  oligomer (A $\beta$ O) was performed and the pathological effects were evaluated at different times (1, 3, 7, 21 days) post- surgery. It was found that; bilateral infusion of A $\beta$ O into the lateral ventricles of the hippocampus induced significant deficits in spatial learning and anxiety- like behavior as evidenced by MWM and open field assays. The pathological outcome was evaluated by immunolabelling of A $\beta$ , Ox-6, and IBA-1 proteins expressed from microglia while Choline acetyltransferase (ChAT) expression was evaluated from basal forebrain cholinergic neurons. Significant behavioral deficits were correlated with a transient increase of A $\beta$  within the corpus

callosum and cingulate gyrus. A significant increase in activated microglia was also observed within these same areas, and a significant decrease in cholinergic neurons within the basal forebrain. This study showed for the first time that acute exposure to A $\beta$ O resulted in deficits in learning, memory, and behavior, as well as loss of cholinergic cells, sustained activation of microglia, and other pathologies similar to those originating in AD brains. These features were concomitant with a transient increase in A $\beta$  deposition. Therefore, the acute A $\beta$ O exposure model can be a useful tool to assess the early stages of AD pathogenesis.

Faucher et al., reported the lasting impact of bilateral intrahippocampal infusion of ABO over several days in the dorsal hippocampal CA1 (dCA1). The procedure consisted of daily bilateral injections of A $\beta$ O at 0.2  $\mu$ g /  $\mu$ L for 4 days. The objective of this study was to evaluate the effect of  $A\beta O$  on working memory, spatial memory and on the activation of extracellular signal-regulated kinase (ERK) that participates in the metabolism of APP, the production of A $\beta$ , and its relationship to synaptic plasticity and memory formation. Furthermore, it has been described that the alteration of the ERK activation dynamics can also alter memory processes and above all is involved in the origin of memory deficits in AD. The results of this study showed that ABO infusions induced strong deficits in working memory, however, no changes in spatial memory were observed 7 days after the last injection. Furthermore, ABO infusion prevented the sequential activation of ERK in various brain structures, such as the hippocampus, specifically the DG area, the pre-limbic cortex and the medial septum, areas that are involved in the formation of working memory. This study was one of the first to demonstrate that subchronic ABO injections produce the main sign of cognitive impairment corresponding to the early stages of AD, through long-lasting alterations of the ERK / MAPK pathway. The authors suggested that this model is a valuable tool to study the impact of  $A\beta O$  on cognitive decline, which represents a very

early stage of AD (Faucher, Mons, Micheau, Louis, & Beracochea, 2015).

Another study using A $\beta$  peptide injection (15  $\mu$ M) was performed in a Samaritan rat model. Unlike previous studies, chronic intracerebroventricular injections were performed for 28 days. The authors co-infused peptides with other pro-oxidative substances such as ferrous sulphate heptahydrate (1 mM) and L-buthionine-(S, R)-sulfoximine (12 mM) (Petrasek et al., 2016) to improve the clinical onset of pathology. Different behavioral methods were used to evaluate the impact of these administration procedures. Two independent spatial cognitive tasks, MWM was used to evaluate the long-term memory version and the active allothetic place avoidance (AAPA) task to evaluate the dynamic memory. Spatial learning and memory deficits were reported with two spatial tasks with significant alterations in the cortical glutamatergic and hippocampal cholinergic systems. Generally, Samaritan rats exhibited significant changes in NR2A expression and CHT1 activity compared to controls rats.

Karthick et al., conducted a study to evaluate the time-dependent effect of A $\beta$ O on mRNA expression of gene encoding N-methyl D-aspartate (NMDA) at 8, 15 and 30 days after surgery. NMDA plays an important role in neurotransmission and memory formation in the CNS, and in the expression of acetylcholine receptors and also in cognitive impairment in the rat model of AD. Synthetic A $\beta$ O was infused bilaterally into the intrahippocampal region of the rat brain. Behavioral analysis was evaluated using the eight-arm Radial Arm Maze task, while changes in mRNA expression at the glutamatergic and cholinergic receptor were analyzed by qRT-PCR. The results showed that A $\beta$ O causes decreased expression of the  $\alpha$ 7 nicotinic acetylcholine receptor and an increased expression of the 2A and -2B subunits of the NMDA receptor. Spatial learning and memory deficits, behavioral disturbances, and neuron loss were also observed 15 days after injection. These findings were correlated with the presence of A $\beta$  1-42 deposits after 15 days of infusion. The authors

concluded that single exposure to  $A\beta O$  results in modulation of NMDA receptors (NMDAR) and acetylcholine receptors. They also concluded that this model allows the understanding of the molecular mechanisms caused by the temporal effect of  $A\beta O$  in the hippocampus, and therefore, this model is important to characterize the initial stage of AD pathogenesis (Karthick et al., 2019).

Recently, the A $\beta$  infusion model was used to evaluate a therapeutic procedure based on the administration of interferon - $\beta$ 1a (IFN $\beta$ 1a) employed as an attenuator of cognitive damage and inflammation. The rat model of AD was obtained by intra-hippocampal injection of A $\beta$  1-42 peptide (23 µg / 2 µL) and 6 days later by infusion of 3.6 µg of IFN $\beta$ 1a administered subcutaneously for 12 days. The novel object recognition (NOR) test was used to assess cognitive performance. Furthermore, the activity levels of pro-inflammatory or anti-inflammatory cytokines, reactive oxygen species (ROS) and superoxide dismutase (SOD) were analyzed in parallel. Results demonstrated that IFN $\beta$ 1a treatment was able to reverse memory impairment and to counteract microglia activation and upregulation of pro-inflammatory cytokines (IL-6, IL- 1 $\beta$ ) (Mudò et al., 2019).

#### **4.3.2.** Neuroinflammation animal models for LOAD

Several chemical compounds can be used to induce neuroinflammation in the brain. These chemical-induced animal models are widely used in several brain diseases and AD. The general strategy is based on the infusion neuroinflammatory or neurotoxic products.

## Lipopolysaccharide (LPS)

As example intracerebroventricular (ICV) intrahippocampal or intraperitoneal injection of lipopolysaccharide (LPS) in rats or mice has been widely used as a neuroinflammation model of AD (Herber et al., 2006; Ophir et al., 2003). LPS is a component of the cell wall of the outer membrane of gram-negative bacteria that interacts with the toll- like receptor 4 (TLR-4) (Alpizar et al., 2017; Boonen et al., 2018). Activation of TLR4 by LPS triggers the response of adapters molecules such as; myeloid differentiation primary response protein 88 (MyD88), adapter-inducing interferon- $\beta$  containing TIR domain (TRIF) and TRIF-related adapter molecule (TRAM) (Ruckdeschel et al., 2004). This process ends with activation of transcription factors for the production of pro-inflammatory genes (Gray et al., 2011).

In addition to its role in inflammation, LPS has been directly related to AD (Zhan, Stamova, & Sharp, 2018). For example, the presence of LPS together with A $\beta$  1-40 or A $\beta$ 1-42 has been reported in amyloid plaques in the gray and white matter of the brains of AD patients (Zhan et al., 2018). LPS has also been found abundantly in the neocortex and hippocampus of AD brains and has been shown to have strong adherence to the membrane of neuronal nuclei in AD patients (Y. Zhao, Cong, Jaber, & Lukiw, 2017).

Different studies have shown that single dose of LPS by intravenous injection (Herber et al., 2006) causes a significant increase in IL-1 $\beta$  and TNF- $\alpha$  (Sly et al., 2001) in various brain regions such as the cortex and hippocampus in wild-type mice, rats or in transgenic mice such as the aged Tg2576 mouse line (L. M. Wang et al., 2018). An increased in inflammatory cytokines (IL-1 $\beta$ , IL-6 and TNF- $\alpha$ ) has also been observed in the blood of

rats (L. M. Wang et al., 2018). Other findings revealed that LPS injection is sufficient to activate chronic microglial (L. M. Wang et al., 2018) as evidenced by the increase in glial fibrillar acidic protein (GFAP) expression (Herber et al., 2006). Interestingly, an increase in soluble A $\beta$  and phosphorylated Tau levels has also been observed after LPS injection in wild type animals, indicating that neuroinflammation could aggravate development of AD (L. Ma et al., 2016; L. M. Wang et al., 2018). This was correlated with memory impairment and behavioral changes in the animals. Likewise, successive injections of LPS have been shown to cause neuronal degeneration similar to AD (Behairi et al., 2016). Consecutive injections of LPS repeated three or seven times promoted the accumulation of A $\beta$  1-42 in the hippocampus and cerebral cortex of mice. Increased of  $\beta$ - and  $\gamma$ -secretase activities were also detected as well as astrocyte activation and cognitive impairment (Lee et al., 2008).

## Polyinosinic-polycytidylic acid (Poly(I:C))

Poly I:C administration has also been used to model inflammation in AD (Weintraub et al., 2014; J. D. White et al., 2016). Poly I:C is a synthetic double-stranded RNA that acts through Toll-like receptors 3 (TLR-3) and is commonly used experimentally to replicate the acute phase of a viral infection in animals. This component is capable of increasing the levels of INF $\alpha$  and INF $\beta$  and several other pro-inflammatory cytokines (McLinden et al., 2012; Reimer, Brcic, Schweizer, & Jungi, 2008; Weintraub et al., 2014) and chemokines (Kamer et al., 2008; Wyss-Coray & Rogers, 2012). Like A $\beta$  1-42 infusion, repeated administration of poly I: C has been shown to increase A $\beta$  deposition in the hippocampus of non-transgenic animals (Weintraub et al., 2014). White et al., examined the effect of successive poly I: C injections on cognitive deficits, A $\beta$  accumulation and phosphorylated

Tau at 7-, 14- and 21- days post-treatment. Poly I: C significantly increases A $\beta$  at all times evaluated in correlation with cognitive impairment after 14 and 21 days of administration. Phosphorylated Tau levels were also elevated after 14 days before decreasing at 21 days post- treatment. (J. D. White et al., 2016). Similar results were shown in a study conducted by Weintraub et al., who showed that intraperitoneal injections of poly I: C administered for 7 consecutive days cause an increase in A $\beta$  1-42 peptide levels, which has also been correlated with significant cognitive deficits evaluated with the contextual fear conditioning (CFC) test (Weintraub et al., 2014).

## Streptozotocin (STZ)

STZ is another component used as a model of neuroinflammation (Fine et al., 2017; Grieb, 2016). STZ is a glucosamine-nitrosourea compound isolated from the soil bacterium Streptomyces achromogenes. Initially patented as an antibiotic, it is used as an anticancer agent against rare neuroendocrine tumors (Turner et al., 2010), and it is also used as an inducer of diabetes in animals (Grieb, 2016) due to its toxicity to insulin-producing  $\beta$  cells in the pancreas (G. Francis et al., 2009) via its cellular uptake by the low-affinity glucose transporter protein 2 (GLUT2) located in their cell membranes (Grieb, 2016). STZ causes dysregulation of insulin metabolism, oxidative stress and inflammation (Fine et al., 2017), and in recent years it is used frequently for a sporadic AD model.

This is based on the fact that AD patients have a drop in cerebral oxygen and glucose consumption, poor insulin metabolism, as well as reductions in insulin signaling and glucose uptake (Hoyer, 2004). Single or constant dose intracerebroventricular (icv) injections of STZ have been shown to chronically decrease glucose uptake in the brain and

generate deficiencies such as those found in AD patients (Grieb, 2016) for example; neuroinflammation and oxidative damage resulting in behavioral deficits, memory loss, impaired locomotion, as well as impaired glucose metabolism, reduced glycolytic enzymes, cerebral amyloid angiopathy, reduced insulin transcription, increased phosphorylated Tau concentration (Barilar, Knezovic, Grünblatt, Riederer, & Salkovic-Petrisic, 2015; Salkovic-Petrisic et al., 2011) and mitochondrial oxidative damage (Du et al., 2015; Prakash, Kalra, & Kumar, 2015). Grieb et al., concluded that ICV STZ can damage brain glucose insulin- producing cells and / or brain glucose sensors.

#### 5. MicroRNAs biomarkers in AD

MicroRNAs (miRNAs) are small, non-coding, conserved RNA sequences, long from 20 to 22 nucleotides that play important roles in the regulation of gene through a posttranscriptional mechanism inducing mRNA degradation and/or translation arrest. Several investigations have reported that deregulation of miRNAs is a hallmark of multifactorial disease such as cancer, cardiovascular and neurodegenerative diseases. Currently more than 2 000 human miRNAs have been identified. Almost 70 % of them are expressed in the human brain where they regulate different key neurological functions such as neurite growth, neuronal differentiation and synaptic plasticity. Impairment of microRNA function is closely related to AD pathogenesis. A panel of miRNA have been specifically annotated to AD as miRNA-15, -107, -181, -146, -9 and-106 and thus are considered as relevant therapeutic agents and biomarkers for this disease type. In the field of research directed towards the search for non-invasive biomarkers for the diagnosis of diseases, it has been demonstrated that miRNAs are stably expressed in various body fluids such as serum, plasma, saliva and urine, etc. This makes them interesting as biomarkers of AD. In this section, we will focus our discussion on the role of miRNAs as circulating biomarkers of this disease. For this, we will compare the expression of circulating miRNAs in transgenic, non-transgenic animal models and in patients.

#### 5.1. Biogenesis

MicroRNAs are transcribed by RNA polymerase II and then form nascent "capped" and polyadenylated primary transcripts (3'), called "pri-miRNA," ranging from hundreds to thousands of ribonucleotides. These transcripts can be monocistronic (single hairpin) or polycistronic (various hairpins). Then, the pri-microRNA is cut to shorter precursors (~ 70 nucleotides, now called, pre-microRNAs) by Drosha (RNase type III) giving rise to premicroRNAs and shortly after they are directed from the nucleus to the cytoplasm by exportin-5. In the cytoplasm, Dicer cleavage pre-microRNAs resulting in smaller doublestranded RNAs of ~22 nt. Next, in the canonical pathway, one of the strands is taken by Argonaute (Ago) protein through a mechanism still needed to understand. Once taken by Ago, is formed the miRNA induced silencing complex known as miRISC; this complex is targeted to the messenger RNA (mRNA) (Xiao & MacRae, 2019; Zlotorynski, 2019). The post-transcriptional suppression is achieved by binding of the microRNA- RISC complex in the 3'-untranslated region (3'-UTR) of the mRNA commonly named as the "seed region" of about 6-8 nucleotides. It is essential to mention that one miRNA can regulate several mRNAs at the same time and different miRNAs targets a particular mRNA. Bioinformatics, in vivo and in vitro studies have shown that miRNAs regulate the majority of mammalian mRNAs, thus representing a crucial role in cell physiology (S. Kumar & Reddy, 2016; Xiao& MacRae, 2019). Figure 20. shows a summary of miRNA production and mechanism of action.



Figure 20. microRNA biogenesis

#### 5.2. miRNA Dysregulation in Alzheimer's Disease

Many of deregulated pathways described above led to important changes in the expression of miRNAs in brain tissues of AD patients. More than hundreds of miRNAs are altered in AD brain. Here, we review the most reported deregulated miRNAs in AD with a particular focus on their involvement in pathological mechanisms that contribute to AD development.

#### miR-29 family

The miR-29 family includes miR-29a, miR-29b and miR-29c isomiRs. They share the same seed sequences and differ by 2 to 3 nucleotides located in supplementary binding sites of miRNAs sequence. The miR-29 family has been shown to target multiple genes in Alzheimer's disease (Hébert et al., 2008; Wu et al., 2017; G. Yang et al., 2015).

BACE regulation by miR-29 isomirs is by far the most consistent and reproducible results published until now. Inverse relationship of expression between miR-29a and -29b and BACE-1 mRNA has been indeed reported in several independent cohort of brain tissues of AD patients as well as in related blood samples (Hébert et al., 2008; Nunez-Iglesias, Liu, Morgan, Finch, & Zhou, 2010; Shioya et al., 2010; L. B. Yang et al., 2003). MiR- 29 family are significantly reduced in AD tissues and principally in the human AD parietal lobe and frontal cortex as compared to age-matched control (Nunez-Iglesias et al., 2010; Shioya et al., 2010). This down-regulation is systematically correlated with higher level of BACE-1 ( $\beta$ -site APP cleaving enzyme-1) expression (Jahangard et al., 2020; Shioya et al., 2010; Zong et al., 2011). As mentioned before cleavage of APP by BACE-1 is the first and ratelimiting step A $\beta$  production and a hallmark of Alzheimer's disease pathogenicity. Downregulation of BACE1 expression by miR-29a (Hébert et al., 2008) and later miR-29c (Lei, Lei, Zhang, Zhang, & Cheng, 2015) was validated through an in vitro functional assay using the miR-OFF luciferase system. Putative binding sites complementary to the seed sequence of miR-29 family were identified in the 3'UTR part of BACE1 mRNA (Lei et al., 2015). Direct experimental proof of implication of miR-29 family in development of AD was brought by Hebert and collaborators (Hébert et al., 2008) who reported that modulation of miR-29a in human neuroblastoma SH-SY5Y cells promoted production of A $\beta$ . It is known more widely admitted that loss of miR-29 family, contribute to increased BACE1 expression that favorite A $\beta$  production and accumulation in brain tissues of AD patients.

Beyond the roles of miR-29 isomiRs in control of the amyloidogenic pathway, these miRNAs play also important role in survival of neuronal cells. Puma (p53 upregulated modulator of apoptosis), Bim (Bcl-2-like protein 11), Bmf (Bcl-2-modifying factor), Hrk (Activator of apoptosis harakiri) and N-Bak (neuronal Bak) and VDAC1 (Voltage Dependent Anion Channel 1) (Jahangard et al., 2020; Kole, Swahari, Hammond, & Deshmukh, 2011; Roshan et al., 2014) are commonly targeted by miR-29 isomiRs. Consequently down- regulation of miR-29 family in AD tissues is detrimental for patients as it might facilitate up-regulation of these pro-apoptotic genes. Roshan et al., 2014 showed that loss of miR-29 resulted in upregulation of VDAC1 in the hippocampus, cerebellum, and cortex of ataxia animal model following miRNA knockdown. Partial restoration of apoptosis was achieved by down-regulation of VDAC1 in miR-29 knockdown cells (Roshan et al., 2014). In addition, miRNA 29 isomirs are reported to regulate as well formation of synapse and synaptic plasticity. ARPC3 (Actin Related Protein 2/3 Complex Subunit 3) (Lippi et al., 2011) and NAV3 (Neuron Navigator 3) (Zong et al., 2015) are direct transcriptional regulators to miR-29 that fine tunes structural plasticity by regulating

actin network and axon guidance of brain cells respectively.

## miR-146a

The miR-146a is a brain-enriched miRNA with known function as regulator of inflammatory response in various neurodegenerative diseases including AD (Y. Y. Li, Cui, Hill, et al., 2011; Lukiw, Surjyadipta, Dua, & Alexandrov, 2012; Taganov, Boldin, Chang, & Baltimore, 2006). Expression of miR-146a is more widely expressed in microglial cells than in neurons in which it exerts its inflammatory functions by modulating the NF-κB signalling pathway.

In context of AD, upregulation of miR-146a is easily detected in hippocampus and temporal cortex brain regions of AD patients. Interestingly expression of miR-146a expression tends to increase with age of AD patients (Y. Y. Li, Cui, Hill, et al., 2011). The same was reported in age-related animal models of AD. It was concluded that this miRNA might play dual role, e;g in inflammatory response in one part, and cellular senescence in other part (Deng, Du, Zhao, & Du, 2017; Olivieri et al., 2013). The miR-146a regulates many transcriptional targets as TLR2 and TLR4 (Toll 2 or Toll 4 receptor), CFH (complement factor H), IRAK1 & 2 (interleukin- receptor-associated kinase 1 & 2), TRAF6 (TNF Receptor Associated Factor 6) and the TSPAN12 (Tetraspanin12). TLR2 is considered as the principal cellular receptor to oligomeric amyloid beta (OA $\beta$ ) and as a potent activator of the downstream-associated NF- $\kappa$ B -cell signaling pathway. This specific TLR2-OA $\beta$  interaction in M2 macrophages is expected to facilitate amyloid internalization and brain clearing, exemplifying, as such, its anti-inflammatory functions (Ravari, Mirzaei, Kennedy, & Kazemi Arababadi, 2017). While direct correlation was early demonstrated

between expression of miR-146a and the NF- $\kappa$ B cell signalling activation in glial cells, the exact role of this miRNA is the control of this pathway is complex and somewhere controversial.

Several NF-kB (p50/p65) recognition binding sites has been detected in the proximal promoter of miR-146 gene which are responsible for the de novo expression of this miRNAs in cells treated with pro-inflammatory cytokines (Lukiw, Zhao, & Cui, 2008; Taganov et al., 2006). It was therefore first suggested that this miRNA might play proinflammatory functions as other NF-KB transcriptional targets such as IL-1 $\beta$ , IFN- $\gamma$ , iNOS, IL-6, and TNF- $\alpha$  (Lukiw et al., 2008; Taganov et al., 2006). However, observation that IRAK-1, -2 and TRAF-6, three main effectors of the NF- κB signalling pathway and direct transcriptional targets of miR-146, that are significantly down-regulated in these neuronal cells lead to suggest rather that this miRNA might play a negative feedback regulation loop of control of the NF-kB cell signalling (Cui, Li, Zhao, Bhattacharjee, & Lukiw, 2010; Lukiw et al., 2008). As demonstrated by Taganov et al. the upregulation of miR-146a, negatively regulates the expression of IRAK1 and TRAF6 (Taganov et al., 2006) that was correlated with the reduction in the translocation of p65 NF-kB submit to the nucleus (Srinivasan & Lahiri, 2015). As consequence, miR-146a suppresses the production of proinflammatory cytokines in these cells such as IL-1 $\beta$ , IFN- $\gamma$ , iNOS, IL-6, and TNF- $\alpha$ . Similar results were generated by transfection of miR-146a mimic in neuronal cells treated with cytokines with A $\beta$  1- 42 (Cui et al., 2010; Lukiw et al., 2008). It is therefore likely that miR-146a antagonize the TLR signalling pathways activated in glial cells in response to  $OA\beta$ , alleviating as such neuroinflammation and glial activation. These experimental studies indicated that miR-146a therapeutic might be a promising approach for AD treatment. This point has been evaluated in a recent and elegant study by Hui Mai et al (Mai et al., 2019). Authors demonstrated that intranasal delivery of miR-146a mimic (agomiR-146) in the APP/PS1 animal model of AD improved the overall pathological state of AD. Reduction of neuroinflammation, glia activation, A $\beta$  deposit, and Tau phosphorylation was found in hippocampus of mice treated with this agomiR. The authors concluded that overexpression of miR-146a reduced the overall neurotoxic effects of exacerbated inflammation in the brain of APP/PS1 animal model of AD. Several other novel transcriptional targets were identified in this study among them, Srsf6 (Serine And Arginine Rich Splicing Factor 6), a splicing factor frequently altered in the brains of Huntington's disease patients. Down- regulation of this hallmark marker of neurocognitive disorder that led to neuronal degeneration might also contribute to the positive outcome of this miR-146-based therapy.

On other hand, other studies have reported that miR-146a overexpression in the brain potentiates fairly neuroinflammation. Expression of CFH (complement factor H) is frequently inversely correlated with miR-146a expression in AD patients (Hye et al., 2006; Y. Y. Li et al., 2012; Lukiw & Alexandrov, 2012; Lukiw et al., 2008). CFH is a potent repressor of the amplification cascade of the alternative pathway of complement activation (Makou, Herbert, & Barlow, 2013) and therefore considered as major negative regulator of the innate immune and inflammatory response. Transfection of miR-146a mimics in neuronal and glial cells down-regulated CFH expression at both mRNA and protein levels through direct 3'-UTR binding of CFH mRNA. On opposite, treatment of brain cells with antisense oligonucleotide to miR-146a restore expression of CFH mRNA (Lukiw, Alexandrov, Zhao, Hill, & Bhattacharjee, 2012; Lukiw et al., 2008). Therefore, elevated expression of miR-146a coupled to down-regulation of CFH in brain cells of AD patients is expected to exacerbate the pathogenicity of AD disease (Lukiw, Surjyadipta, et al., 2012). Another target of miR-146a that might turn on development of AD pathology is TSPAN12. TSPAN12 is an essential regulator of ADAM10  $\alpha$ -secretase that plays role in ADAM10-dependent processing of beta-amyloid precursor protein ( $\beta$ APP) in neuroblastoma cells (Lukiw et al., 2005; Pogue et al., 2009; Pogue & Lukiw, 2004; L. L. Wang, Huang, Wang, & Chen, 2012; Xu, Sharma, & Hemler, 2009). Studies demonstrated that TSPAN12 down-regulation by miR-146a induction leads to significant increase in catabolism rate of  $\beta$ APP by the non-amyloidogenic pathways. As consequence more neurotoxic A $\beta$ 42 peptides is generated in these cells.

# miR-181c

miR-181 is significantly enriched in brain tissues as compared to several other tissues (C.Z. Chen, Li, Lodish, & Bartel, 2004). Beyond the well-established roles of this miRNA in CNS (Hutchison et al., 2013). Several independent studies have frequently reported downregulation of miR-181 expression in different regions of brain of sporadic AD patients. The same was also reported in several transgenic animal models of AD (Cogswell et al., 2008; Hébert et al., 2008; Nunez-Iglesias et al., 2010; Takousis et al., 2019).

Interestingly, mouse primary hippocampal neurons cells treated with A $\beta$  1-42 led to significant reduction of miR-181 expression (Schonrock et al., 2010). By searching for mRNA targets regulated by miR-181, Geekiyanage et al., identified a putative binding site in the 3-UTR of SPTLC1 (long-chain base subunit of serine palmitoyltransferase 1) (Geekiyanage & Chan, 2011). SPTLC1 encodes for a component of the SPT heterodimer, a first rate-limiting enzyme involved in de novo ceramide synthesis pathway (Hannun & Obeid, 2008). Interestingly a significant negative correlation has been detected between the low expression level of miR-181c and the high expression levels of SPTLC1 in the frontal cortices of the brains of AD patients. This, correlated with higher abundance of A $\beta$ 

1-42 in these specific brain regions (X. He, Huang, Li, Gong, & Schuchman, 2010). Upregulation of ceramide, a sphingolipid expressed in cell membrane, is consistently observed in AD patients (X. He et al., 2010). It was speculated that such an increase in ceramide levels could contribute to the initiation of AD pathology by facilitating the mislocation of BACE1 and  $\gamma$ -secretase in lipid rafts. As previously discussed, mislocation or abnormal expression of BACE1 and  $\gamma$ -secretase contribute to A $\beta$  -formation and aggregation, in vivo and in vitro (Vetrivel et al., 2005).

Experimentally, it was shown that exogenous addition of ceramide in tissue culture of astroglia cells increased A $\beta$  production and tau hyperphosphorylation (Patil, Melrose, & Chan, 2007). This connection link between ceramide levels induced by miR-181 and A $\beta$  deposit have also been observed in another study. Transfection of primary astrocytes deriving from the human APP Swedish mutation animal model of AD with miR-181 led to down-regulation of SPTLC1 that correlated with reduction of A $\beta$  production in these cells (Geekiyanage, Upadhye, & Chan, 2013). Taken together these studies indicate that deregulated level of ceramide production is an important risk factor for sporadic AD and that down-regulation of miR-181 aggravate this negative outset of this pathology.

### miR-125b

miR-125b is also a miRNA enriched in the CNS (Millan, 2017) in which it plays neuroinflammation roles (Lukiw & Alexandrov, 2012). In AD, miR-125b is positively correlated with AD progression both in early and late onset of this pathology (Herrera-Espejo et al., 2019; Lukiw, 2012; McKeever et al., 2018; Pogue & Lukiw, 2018). Multiple functions have been assigned to miR-125b in AD pathogenesis.

In a first study (Banzhaf-Strathmann et al., 2014), it was shown that overexpression of miR-125b in primary neuronal cells induced Tau hyperphosphorylation and upregulation of p35, cdk5, and p44/42-MAPK, well-known regulators of cell cycle progression. On opposite, phosphatases DUSP6 and PPP1CA as well as the anti-apoptotic factor Bcl-W were found to be significantly down-regulated through direct binding to the 3'UTR of some of these transcriptional targets. Involvement of miR-125b in Tau phosphorylation and apoptosis have been confirmed in other independent studies.

For instance, Ma et al., showed that the overexpression of miR-125b in neurons increased the phosphorylation of Tau (X. Ma, Liu, & Meng, 2017) by activation of CDK5, a kinase, which promotes the phosphorylation of Tau (Mazanetz & Fischer, 2007). Pogue et al., reported that the upregulation of miR-125b can down-regulate the cyclin-dependent kinase inhibitor 2A (CDKN2A), a cell cycle inhibitor (Pogue et al., 2010). FOXQ1 is a member of the FOX family of genes involved in embryonic development, cell cycle regulation, cell signaling and tumorigenesis (Shimeld, Degnan, & Luke, 2010). Ma et al., 2017 also showed that FOXQ1 is a direct target gene for miR-125b, and this promotes neuronal cell apoptosis and phosphorylation of Tau.

On other hand, the expression of sphingosine kinase 1 (SphK1) is as well directly regulated by miR- 125b. SphK1 is a key enzyme responsible for the phosphorylation of sphingosine to sphingosine- 1-phosphate (S1P) that plays important roles in ceramide production at the cellular membrane and regulation of proinflammatory cytokines in activated microglia (Lv, Zhang, Dai, Zhang, & Zhang, 2016). The production imbalance between ceramide and S1P is closely associated with cell death and survival and is a hallmark of AD pathogenesis by modulating A $\beta$  production, as mentioned above for miR-181 and SPTLC1 (X. He et al., 2010). Because of this dual role of miR-125b in astrogliosis and inflammation as well in excessive Aß production, it was anticipated that miR-125- based therapy might be beneficial for AD treatment. Very recently Xiao C et al., evaluated this point (Xiao & Chauhan, 2020), in the 5XFAD transgenic mice model of AD by intranasal infusion of 2'-O-Methyl/locked nucleic acid (LNA)-modified antagomiR-125b oligonucleotide (AMO 125 inhibitor oligonucleotide). Results demonstrated remarkable improvement in cognitive performance of mice in the Morris Water Maze (MWM) test assay, mainly in working memory ability of animals, which correlated with reduction of oligomeric Aβ accumulation in the brain. Decreased in the phosphorylated status of Tau in cerebral tissues examined as well as ablation of astrogliosis was also observed that correlated with decreased in the expression of inflammatory markers (Xiao & Chauhan, 2020).

# miR-191

miR-191-5p has been shown to be one of the best biomarker candidates for predicting Alzheimer's disease with> 95% accuracy (P. Kumar et al., 2013). Among its functions in AD, miR-191 has been shown to target tropomodulin-2 (Tmod2), a neuron-specific member of the tropomodulin family (Hu et al., 2014). Tropomodulins are proteins that cap the ends of actin filaments and therefore regulate the dynamics, length and quantity of actin filaments (F-actin) (Fischer & Fowler, 2003). Tmod2 may also play a role in actin regulation during long-term depression (LTD). Tmod2 that decreases in response to increased expression of miR-191 after N-methyl-D-aspartate receptor (NMDAR) activity during LTD (Hu et al., 2014). N-methyl-D-aspartate (NMDA) receptor- dependent LTD (NMDAR-LTD) is a form of synaptic plasticity important for learning and memory. Impairment of LTD in mice caused by deactivation of NMDA receptor subunits or inhibition of NMDA receptor signalling pathways is associated with cognitive deficits,

such as dysfunctions in spatial learning, working memory, and behavioral flexibility (Brigman et al., 2010). The induction of NMDAR-LTD is accompanied by contraction and loss of the dendritic spine (Hu et al., 2014).

### miR-106b

The miR-106 was first discovered by Hebert and collaborators (Hébert et al., 2009) when looking for potential miRNA target sites located into the 3'UTR of APP mRNA. The miR-20a, -17-5p and

-106b belonging to the miR-20 family were identified as negative regulator of APP expression in human neuronal cell lines. In this study, confirmed by subsequent reports, an inverse relationship between miR-106 downregulation and APP upregulation was reported in brain tissues with sporadic AD compared to control tissues.

In a follow-up study, Wang et al., anticipated a possible link between miR-106 expression and the TGF- $\beta$  signaling pathway (H. Wang et al., 2010). This cell signaling pathway is frequently deregulated in AD. In the CNS, TGF- $\beta$  signaling acts as multifunctional pro survival cytokines, exerting neuroprotective functions by stimulating the growth and survival of neurons. The essential role of TGF- $\beta$  signaling in CNS survival was strongly demonstrated by the observation that invalidation of TGF- $\beta$ 1 signaling in transgenic mice induced extensive neurodegeneration (Brionne, Tesseur, Masliah, & Wyss-Coray, 2003). In the context of AD, dysfunction of TGF- $\beta$ 1 signaling has been shown to increase A $\beta$ accumulation and neurodegeneration in AD models, whereas the addition of TGF- $\beta$ 1 is sufficient to reverse A $\beta$ -induced neurotoxicity, in the brain of rats (Caraci et al., 2011). Of interest, an inverse relationship between miR-106b expression and TGF- $\beta$  receptor type II (T $\beta$ R II) expression was reported for the first time in the APPswe / PS $\Delta$ E9 transgenic double line animal model. Treatment of SH-SY5Y neuronal cells with Aβ42 oligomers induced the expression of miR-106b that was correlated with the down-regulation of the TβRII protein. Finally, two putative miR-106 binding sites were found in the 3'UTR of the  $T\beta R$  II receptor mRNA. The transfection of miR-106 in these neuronal cells reduced the expression of T $\beta$ R II that could be abrogated when specific mutations were inserted in one of the putative miR-106b binding sites. As a direct consequence, the phosphorylated form of one of the main effectors of TGF- $\beta$  signaling, Smad2 / 3, was found to be significantly reduced, which induced neurodegeneration in tissue culture. It was concluded that reduction of miR-106b expression in AD tissues might promote neuronal survival by keeping constant the pro-survival TGF-β signaling pathway. Another transcriptional target of miR-106 has been identified. In the study by Kin et al., (J. Kim et al., 2012), miR-106b was identified as a regulator of the ATP-binding cassette transporter A1 (ABCA1) and of A $\beta$  metabolism. ABCA1 is a cholesterol transporter that recycles excess cellular cholesterol into lipid-poor apolipoproteins. Several studies (W. S. Kim et al., 2007; Koldamova et al., 2003) have reported that ABCA1 decreases the levels of A $\beta$  and CTF $\beta$ . In this study, Kin et al., Demonstrated that miR-106b significantly decreased ABCA1 levels in neuronal cells and altered cellular cholesterol output. As a consequence, miR-106b transfection increased A $\beta$  production levels in neuronal cells and at the same time prevented A $\beta$  clearance.

More recently, a novel biological function was assigned to miR-106b in regard of Tau hyperphosphorylation. Liu et al., demonstrated (W. Liu, Zhao, & Lu, 2016) that expression level of miR-106b was decreased in the frontal cortex of AD patients. This spatial regulation was correlated with inversed expression of Fyn mRNA. Fyn is a non-receptor type tyrosine kinase that exerts variety of biological actions as, T-cell development and activation, brain development, neuroinflammation, synaptic function, and plasticity. In

AD, the Fyn protein is co-localized with Tau in neurons with neurofibrillary tangles (Nygaard, van Dyck & Strittmatter, 2014). Mechanically, it was found that in the Tauoverexpressed SH-SY5Y cell line (SH-SY5Y / Tau), miR-106b transfection inhibited phosphorylation of Tau in Tyr18 induced by the treatment of these cells with A $\beta$ 42. These inhibitory effects could be recapitulated when Fyn expression was silenced and, at opposite, could be rescued by inhibition of miR-106b expression using specific inhibitor. It was concluded that miR-106b inhibit A $\beta$ 42 induced Tau phosphorylation at Tyr18 via regulating the expression of Fyn (W. Liu et al., 2016).

#### miR-135a

Expression level studies have shown that miR-135a is downregulated in both serum and gray matter of AD patients (C. G. Liu et al., 2014; W. X. Wang, Huang, Hu, Stromberg, & Nelson, 2011). Initially, miR-135 has been reported to be a regulator of BACE-1 activity (C. G. Liu et al., 2014). It has also been shown that miR-135a targets the 3'UTR region of thrombospondin 1 (THBS1) suggesting a role of miR-135a in the angiogenesis process of AD development (Ko et al., 2015). Years later, miR-135a-5p was shown to be up-regulated in long-term depression (LTD) (Ge et al., 2010). In 2018, van Battun et al. reported that miR-135a-5p regulates synaptic functions in CNS axon regeneration (van Battum et al., 2018). In adult mice, intravitreal infusion of miR-135 facilitated CNS axon regeneration after nerve injury by modulating axon growth, branching, development and cortical neuronal migration. These processes were related to down-regulation of the Krüppel-like factor 4 (KLF4), a well-known endogenous inhibitor of axon regeneration. This work was the first to report a novel axis of miRNA regulation controlled by miR-135 that involves

the KLF4 signaling pathway (van Battum et al., 2018). Recently Zheng et al., (Zheng et al., 2021) evaluated the functions of miR-135a in synaptic activity in context of AD development. The results of this study revealed that the loss of expression of miR-135a-5p in brain tissues of an animal model of AD resulted in increased of the activity of Rho-associated coiled-coil containing protein kinase 2 (Rock2) that correlated with dendritic abnormalities and memory impairment. Rock2 activation is known to promote morphological changes in dendrites and dendritic spines by modulating the depolymerization of actin filaments (P. Sharma & Roy, 2020). To search for possible Rock2 substrates, a bioinformatic analysis was performed. Adducin was identified (Add1). Experimental studies demonstrated that miRNA-135 down-regulation led to up-regulation of Rock2 activity and Add1 hyperphosphorylation. This study provides an alternative pathway of regulation controlled by miR-135a-5p that implicated Rock2 and Add1 as partners in memory modulation /synaptic disorders in AD.

Another interesting finding of this study is that the decrease in the expression of miR-135a-5p was prominent in excitatory neurons and was induced by a decrease in factor de transcription in the expression of Forkhead box D3 (Foxd3). Foxd3 is a well-known transcriptional factor that is important for the development of the vertebrate nervous system, including the migration, and differentiation of neural crest lineages (Sommer, 2011). The authors also demonstrated that the loss of miR-135a expression is Taudependent and mediated by Foxd3. Finally, the dysregulation of miR-135a-5p was less evident in older mice of the AD model, e.g. 9 months, compared to younger mice (6 months of age). This later finding suggests that miR-135a-5p downregulation is more likely involved in the relatively early stages of AD (Zheng et al., 2021). In other studies, it was confirmed that the expression of miR-135a and also miR-200b and -429, was significantly reduced in the hippocampus of APP / PS1 transgenic mice and, more importantly, also in
samples from AD patients.

Functional studies performed in primary mouse hippocampal neurons, SH-SY5Y and HEK293 cells indicated that miR-135a could repress the expression and activity of BACE-1 by binding to the 3'– UTR of this target (C. G. Liu et al., 2014). Interestingly, downregulation of miR-135a-5p in the amygdala of mice was found to induce an increase in anxiety-like behavior in animals, indicating a regulatory role for miR-135a-5p in the presynaptic function of this brain region. Given that anxiety is one of the most prevalent psychiatric manifestations in the early stage of AD, the administration of synthetic miR-135a-5p might represent a promising therapeutic approach to treat both, emotional and cognitive disorders relating to AD etiology (Mannironi et al., 2018).

## 5.3. Challenges of selecting circulating miRNAs as biomarkers of AD

One of the main problems in the management of neurodegenerative diseases and specifically AD, is that the symptomatic clinical detection of this disease occurs late because the pathology is characterized by a slow dynamic pathological process with a long asymptomatic period. In fact, the first clinical symptoms appear in a late stage of the disease and, therefore, the possibility of reversing the pathology is compromised by the death of neurons, loss of important synaptic function, irreversible alteration of glial cells.

Usually, the diagnosis of Alzheimer's disease is based on invasive and expensive methods, such as analysis of cerebrospinal fluid or neuroimaging techniques. These monitoring methods lack of sensitivity and specificity and, again, do not offer sufficient resolution for a therapeutic intervention. In this context, the detection of biomarkers in body fluids have attracted strong interests in the field of clinical diagnosis. One of the main advantages of miRNAs as a diagnostic marker for AD is that this class of non-coding RNA has already been approved as relevant clinical biomarkers for diagnosing cancer patients. Furthermore, miRNAs are stable molecules, resistant to changes in freezing, thawing and pH conditions and can easily be detected in any body biofluids using appropriate methods.

## 5.3.1. Circulating miRNAs in patients

Here, we report, what we consider as the most representative studies, human clinical studies that included a minimal of 30 patients diagnosed with either early or late symptom of AD compared also with a significant number of controls-matched donor. We review the literature of the last 10 years and selected 6 important studies.

## MicroRNA signature in blood samples: impact of cohort size.

In a major study from Tan et al., a total of 413 people were included in this biomarker analysis and separated into two cohorts (Tan, Yu, Tan, et al., 2014). The first cohort included 208 people diagnosed with probable AD, while the second cohort included 205 age- and sex-matched control subjects diagnosed with some neurological disorder or common illnesses. Patients in the first cohort were classified with the classic Mini Mental State Examination (MMSE) based on cognitive tests to distinguish patients with presymptomatic, medium and severe cognitive impairments. This meta-analysis clinical study was performed over a 3-year follow-up study conducted in three constitutive steps. In the first stage, serum samples were collected from 50 probable AD patients and 50 healthy controls and miRNAs were extracted for subsequently sequencing using the Illumina 109 HiSeq 2000 sequencing technology. The differential expression profile of miRNA between the two cohorts of people was defined according to the detection of at least 10 copies of miRNA in serum sample and a threshold value corresponding to at least a 2-fold change between the two groups. The second stage consisted of confirming the RNAseq result using a qRT-PCR approach with a specific primer performed on the same patient sample used as in the first stage (AD = 50, Control = 50). The third stage consisted of the final validation procedure also performed by qRT-PCR but, this time, on a greater number of patients (AD = 158, Control = 155) due to the relevance of the statistical analysis. Results of this study indicated that a pool of 90 miRNAs was identified as significantly differentially expressed between the probable patients with AD group compared to control matched donor. A novel miRNA biomarker, e.g miR-36, was identified from this whole RNA sequencing approach that was not originally included in miRBase (Release 19) highlighting the performance of the RNAseq approach to detect novel biomarker. Among the 90 miRNAs detected in samples, 14 of them were also selected as the most deregulated microRNAs. Ten of them were down-regulated as; miR-36, miR-98-5p, miR-885-5p, miR-485-5p, miR-483-3p, miR-342-3p, miR-30e-5p, miR-191-5p, let-7g-5p, let-7d-5p whereas the 4 of them- miR-3158-3p, miR-27a-3p, miR-26b-3p, miR-151b- showed upregulation in AD patients compared to controls. At the second stage of the screening procedure only 6 over the 10 most down regulated miRNAs were found consistently reduced in the group of AD patients whereas none of 4 upregulated miRNAs from the first screening process were found consistent. At the final stage of this clinical study, miR-98-5p, miR-885-5p, miR-483-3p, miR-342-3p, miR-191-5p and miR-let-7d-5p were detected as the most relevant and distinguishable downregulated miRNA between the 2 groups while the miR-342-3p showed the highest precision with a sensitivity of 81.5% and a specificity of 70.1%.

## MiR-9-5p as regulator of the metabolic cascade of APP amyloidogenic pathway

In a second study by Souza et al., The miRNA pattern was examined in a cohort of female patientsolder than 55 years old diagnosed with late-onset Alzheimer's disease syndromes, attested by the presence of homozygous or heterozygous mutation in ApoE E4 allele (Souza et al., 2020).

A total of 74 women (38 controls and 36 patients with probable AD) were enrolled in the study according to specific and selective inclusion criteria related to the MMSE cognitive evaluation test, criteria from the American Psychiatric Association/Diagnostic and Statistical Manual of Mental Disorders and severity of dementia determined by the Clinical Dementia Rating scale. Lifestyle habits, psychiatric disorders, common illnesses and medication use were also included in the evaluation. Next, an original strategy was developed to define a list of miRNAs to evaluate their expression in body fluid samples from these patients.

A bioinformatics approach was employed to list potential miRNA candidates based on their prediction binding to the 3'UTR region of mRNA encoded from late-onset AD-related genes such as ApoE, APP, PS1 and PS2, MAPT, Clusterin, Picalm and BACE1. The second selection criteria were based on the previous experimental validation of these miRNAs in animal models or in AD patients. Finally, the Kyoto Encyclopedia of Genes and Genomes was used as a final selection filter of 25 miRNAs. Intriguingly, over the 25 miRNAs evaluated in blood serum sample of patients, comprising miR-I-3p, miR-I-2-5p, miR-9-5p, miR-16-2-3p, miR-21-5p,miR-27b-3p, miR-29b- 3p, miR-30a-3p, miR-34a-5p, miR-34c-5p, miR-192a-3p, miR-100- 5p, miR-126-3p, miR-130a-3p, miR-141-3p, miR-145-5p, miR-146a-5p, miR-155-5p, miR-181a-5p, miR-181c-5p, miR-183-5p, miR-200a-

3p, miR-221-3p, miR- 371-3p, miR-373-5p, only miR-9-5p was found to be significantly differentially represented between the group of patient with an average of 3-fold decrease. Interestingly miRNA-9 has been reported several times as differentially represented in AD samples from APP23 transgenic mice model and patient's cohort (Delay et al., 2012; Kiko et al., 2014; Lukiw, 2007; Schonrock et al., 2010), and this, independently of the biological nature of body fluids (serum, plasma or CSF). Overall, this clinical study is another valuable source of information for considering that the circulating form of miR-9 is a relevant marker for non-invasive diagnosis of AD. The miR-9 is an enriched miRNA in the adult brain (Souza et al., 2020) as it plays several key biological processes in apoptosis, inflammation and oxidative stress in neuronal cells. It was reported that this miRNA regulates the expression of multiple genes involved in the metabolic cascade of the APP amyloidogenic pathway responsible for accumulation of the A $\beta$  1- 42 production. Those genes include BACE1, PSEN1, Calcium / calmodulin-dependent protein kinase kinase 2 (CAMKK2), Sirtuin 1 (SIRT1), Transforming growth factor-beta (TGFβ), Tripartite motifcontaining protein 2 (TRIM2). In functional studies, showed that the down- regulation of miR-9 in AD is a negative prognostic factor of this pathology. Down-regulation of this miR is systematically associated with increase in expression of BACE1 and subsequently overproduction of A<sup>β</sup> 1-42 (Hébert et al., 2008). Other studies reported that miR-9 downregulation increased neurotoxicity through the modulation of the CAMKK2-AMPK pathway and increase the phosphorylated form of Tau (P-Tau) and amyloidogenesis (F. Chang, Zhang, Xu, Jing, & Zhan, 2014; Salminen, Kaarniranta, Haapasalo, Soininen, & Hiltunen, 2011).

## miRNA signature from mild cognitive impairment to AD

An interesting study by Siedlecki-Wullich et al., evaluated miRNAs from plasma levels of two different cohorts of people (Siedlecki-Wullich et al., 2019). The first cohort included 14 healthy subjects, 26 subjects with mild cognitive impairment (MCI) and 56 patients with sporadic AD. The second cohort included 24 healthy subjects and 27 patients with frontotemporal dementia (FTD). The clinical diagnosis of the patients was performed by neurologists using the international neurological diagnostic criteria of National Institute on Aging-Alzheimer's association (NIA-AA). This study is quite interesting because unlike most of studies focused on miRNAs regulating specific AD-related proteins, a particular emphasis was given in this study to select a panel of miRNAs known to regulate synaptic proteins, especially glutamatergic synapses. Indeed, the dysfunction of synaptic proteins might be related to early cognitive dysfunction in experimental AD models (Casaletto et al., 2017; Miñano-Molina et al., 2011; Reddy et al., 2005; Roselli, Hutzler, Wegerich, Livrea, & Almeida, 2009).

The miRNAs profiling in samples was performed by qRT-PCR using specific primers to detect expression of selected miRNAs. The results of this study revealed a significant increase of **miR- 92a-3p**, **miR-181c-5p** and **miR-210-3p** in **plasma of AD patients compared to healthy subjects**. A significant upregulation of miRNA-181c-5p and miR-210-3p was also observed in plasma from people with MCI whereas an increasing but not significant trend was observed for miR-92a-3p. Furthermore, these 3 microRNAs had a better diagnostic value for patients with MCI than patients with AD syndromes. Therefore, it was proposed that these microRNAs could represent a molecular signature for the early diagnosis of patients experiencing the development of AD with a history of MCI. This assumption was reinforced by the observation that patients with a history of MCI who

progressed to AD had higher levels of these miRNAs compared to MCI patients who did not develop AD. Interestingly, none of these three microRNAs were altered in FTD patients, therefore, the expression of these microRNAs was specific for MCI and AD patients.

Another recent study describes the miRNA expression pattern of blood samples from 465 individuals from two different geographically distributed patient cohorts; i.e Germany and the USA. Samples consist of 145 sera from people diagnosed with AD (AD), 38 diagnosed with Mild cognitive impairment (MCI), 68 diagnosed with other neurological disease (OND) and 68 healthy control subjects (HC). The authors selected a set of 21 miRNAs from their previous studies and from a literature review and evaluated their abundance in blood samples by qRT-PCR using the small-nucleolar RNA, RNU48 as endogenous control because it is the most stable expressed non- coding RNA in all of patient groups. Results of a Machine Learning approach combined with stringent statistical analysis (Benjamini-Hochberg adjusted p value below 0.001) indicated that over the 21 miRNAs investigated (miR-17-3p, -5010-3p, let-7d-3p, -532-5p, -345-5p, -1285-5p, -34a- 5p, -1468-5p, -26b-5p, -151-3p, -26a-5p, -139-5p, -103a-3p, -28-3p, -486-5p, let-7f- 5p, -3157-3p,

-4482-3p, -5006-3p and -107), 20 of them were consistently de-regulated in the US and German cohorts. Interestingly, 18 miRNAs were significantly correlated with neurodegeneration with highest significance for miR-532-5p, which was markedly decreased levels in AD patients, and slightly decreased levels in patients with OND and MCI.

The second most significant deregulated miRNA was miR-17-3p which had a similar abundance pattern to miR-532-5p. The third and fourth most important deregulated

miRNAs were miR-103a- 3p and miR-107, respectively. They are less abundant in the groups of patients with AD compared to HC. By contrast, the miR-1468-5 shows an opposite expression pattern, being more abundant in AD patients with regard to HC. One question raised by authors was: is it possible to distinguish MCI patients from AD patients based on their miRNA's expression profile. Data indicated that 11 miRNAs had significant differential expression in MCI versus AD patients. In fact, miR-17-3p, - 26b- 5p, -532-5p, -103a-3p, -107, -345-5p, let-7f-5p, -26a-5p were less abundant in AD patients compared to HC while let-7d-3p, miR-1468-5p and -139-5p which were found more abundant in the AD groups of patients. Interestingly miR-26a, 26b-5p, and let-7f- 5p, showed the highest representative miRNA correlated to MMSE. Surprisingly none of the 20 miRNAs investigated were significantly correlated with gender and age of patients and this independently of groups of patients. The specificity of this meta-analysis study was validated with the bioinformatics study indicating that same miRNA profiles can be obtained when the USA and the Germany cohorts were analyzed separately or combined. Finally, authors investigated the distribution of miRNAs expression pattern in blood components such as peripheral blood cells, serum, exosomes using a recently released miRNA blood cell type atlas (Juzenas et al., 2017). Interestingly, the miRNAs with lower abundance in AD patients were enriched in monocytes and T-helper cells, while those miRNAs more abundant AD patients were enriched in serum, exosomes, cytotoxic T cells, and  $\beta$ -cells. Therefore, this report highlights the existence of complex regulatory pattern of miRNAs expression among different blood cell compounds that can be taken in consideration when searching for accessible tool to detect miRNA biomarkers as well for better understanding miRNA functions in diseases development. Furthermore, this study was the first that correlates the expression pattern of miR-532-5p to AD. Downregulation of miR-532-5p expression has been reported in several cancer types as epithelial ovarian cancer (Wei, Tang, Zhang, Sun, & Ding, 2018), bladder cancer (Xie, Pan, Han, & Chen, 2019) and renal cell carcinoma (Yamada et al., 2019). This miRNA acts as tumor suppressor miRNA where its negatively regulates proliferation and migration of cancer cells though transcriptional repression of HMGB3, a member of the high-mobility group box family known to play key roles in DNA replication, recombination and repair, as well as the transcriptional factor TWIST. A closed interplay relationship between miR-532-5p and Wnt/β-catenin signaling has been suggested as HMGB3 and TWIST are two key regulators of this frequently deregulated cell signaling pathway in cancer. Moreover, miR-532-5p expression has been also associated to sporadic amyotrophic lateral sclerosis (Liguori et al., 2018) and is detected in exosomes from patients with multiple sclerosis (Selmaj et al., 2017) and geriatric fragility syndrome (Ipson, Fletcher, Espinoza, & Fisher, 2018). The exact role of miR-532-5p in development of AD remains to be determined. Recently a machine learning approach (X. Zhao et al., 2020) was applied to 96 serum samples collected from the Oxford Project to Investigate Memory and Aging (OPTIMA) program in the UK. This OPTIMA program enrolled 32 serum samples from patients with AD classified according to the Alzheimer's Disease and Related Disorders Association criteria, 13 serum samples from patient diagnosed as mild cognitive impairment (MCI) according to the Petersen practice parameter (Petersen et al., 1999) and 51 serum controls diagnosed with any neurocognitive impairment or deficiency. A list a 12 miRNA was first selected over a first machine learning test cohorts split in a ratio of 70:30 of AD and control patients to identify the most discriminable miRNAs from the 566 total miRNAs detected by a multiplex RT-qPCR serum analysis. Results indicated that miRNA-346, - 345, and -122 were upregulated in AD compared to controls, whereas miR- 208b, miR-499a, and miR-206 were downregulated in AD. The threshold p significant statistical values were adjusted to  $\leq 0.02$  in this study.

The expression pattern of these miRNAs allowed to diagnose AD patient from control subject with 90.0% sensitivity, 66.7% specificity, and 76.0% accuracy in an independent and separated cohort attesting the reliability of this bio-informatics blinded approach that does not rely on biological matters or literature consensus but rather over distribution of miRNAs in samples of different types or groups of patients. When the same strategy was applied to MCI serum samples to identify relevant miRNA biomarkers unable to discriminate MCI patients from control, none of the 12 selected miRNAs selected pass through the multivariable tests of statistical analysis. The authors conclude that the alteration of serum miRNA signature identified between AD and control groups probably occurred latter to the MCI symptoms and therefore could not be used to accurately diagnose the early stage of AD progression. In addition, the few numbers of MCI samples included in the analysis was recognized as a limit.

## MicroRNAs in cerebrospinal fluid

The expression levels of 754 miRNAs were analyzed from CSF samples of patients with AD and control subjects (Dangla-Valls et al., 2017). AD patients were diagnosed according to the revised criteria of the National Institute on Aging- Alzheimer's association (NIA-AA) in addition to clinical, neuropsychological and magnetic resonance examinations. Age and sex-matched controls enrolled in this clinical study, were evaluated for normal cognitive performances based on specific tests, clinical dementia and absence of

psychiatric symptoms or history of neurological disease. In addition, molecular and biochemical markers of AD such as A $\beta$ 42 production and phosphorylated form of Tau were also evaluated at the time of CSF collection from lumbar punctures performed in the two

groups of patients. The TaqMan Array Human MicroRNA cards technology, covering 384 human mature miRNA per card was employed to screen for the differential expression pattern of miRNA in the CSF sample. In the first stage, a cohort of 10 AD and 10 matched control CSF samples were analyzed to profile the expression pattern of miRNA in these samples. Twelve miRNAs were selected and then screened in a second and larger independent cohort of samples consisting in 37 AD and 32 matches control CSF samples. Then, 9 miRNA candidates were selected for a last screening procedure performed on AD samples and matched control. Results of this clinical trial evaluation indicated that 68 over the 750 miRNAs spotted on the TaqMan Array Human MicroRNA cards have a detectable expression level in samples and that 9 of them; miRNA-21, miRNA-126, miR-138, miR-146a, miR-146b, miR-205, miR-222, miR-375 and miR-885-5p, showed the most different distribution pattern (downregulated) between the AD samples and matched donor control groups. The miR-125b and miR-222 were the most significantly upregulated in the AD group. Interestingly positive regulation of miR-222 in AD was reported for the first time. In contrast, the miR-125b has been frequently detected in patients with Alzheimer's disease while its biological functions have been correlated with astrogliosis in neurodegeneration (Pogue et al., 2010).

# 5.3.2 Common pattern of microRNAs between patients and in AD animal models

Regarding the expression profile of miRNAs, the coincidence between species is not elusive. Animal models continue to be so widely used in bioassays that they allow extrapolation of results in humans, it has been observed some expression profiles of consensus miRNAs in specific organs and their deregulation in pathological state, more specifically it has been shown that the brains of AD mice reveal the same characteristics as the brains of humans with AD (Reddy et al., 2012).

The miRNA expression studies in mouse models with AD have helped to reveal, for example, that dysregulation in miRNA expression in AD brain correlates directly or indirectly with Aβ production and phosphorylated Tau, they have also allowed us evaluate whether the observed changes in miRNA levels in humans are a cause or a consequence of the neurodegenerative process (Delay & Hébert, 2011). In addition, it has made it possible to compare the changes in miRNAs expression with the alterations at the cognitive level (Pepeu & Giovannini, 2004). Although the expression profile of miRNAs has been investigated in transgenic or non- transgenic rodent models of AD, and the results have shown a similar expression profile in humans, not all microRNAs found in animal models have been validated in humans. This stage would be essential to translate the molecular observations from mice to humans, as has been done in recent years.

As in AD patients, most studies on miRNAs expression have been performed in brain tissue from transgenic mice (H. Wang et al., 2010) showed global miRNA profiles in an APP/PS1 (APPSwe- PS1M146L) transgenic mouse model using microarray analysis. Of the 37 differently expressed miRNAs, 5 microRNAs (miR-20a, miR29a, miR-125b, miR-128a and miR-106b) were down- regulated, while 4 (miR-34a, let-7, miR-28 and miR-98) were upregulated. The following investigations have also shown that some miRNAs present an expression similar to that found in the brain of humans with AD (Hébert et al., 2009; Hébert et al., 2008; Nunez-Iglesias et al., 2010; Shioya et al., 2010; W. X. Wang et al., 2011).

Several animal models have been developed that could continue to be used and exploited their potential to examine and verify microRNAs profiles in blood, serum and plasma, with the aim of searching for AD biomarkers and also to test and validate microRNA-based therapies.

# 5.3.3. Circulating microRNAs in transgenic animal models

To our knowledge, few reports have examined the expression pattern of circulating microRNAs in body fluids of animal models of AD. Most of the published studies focused on the biological functions of deregulated microRNAs in AD brain tissues or cell cultures as highlighted in the previous paragraphs. The first published study dedicated to the analysis of circulating microRNAs in animal models of AD was performed by (Garza-Manero, Arias, Bermúdez-Rattoni, Vaca, & Zepeda, 2015). The authors evaluated the expression pattern of plasma miRNAs in the 3xTg- AD triple transgenic mouse model as compared to the wild-type, littermate control mice. The samples were collected at different times according to the chronic development of the pathology throughout the age. The evaluation was carried out at different time points in the evolution of the pathology in this model. 4 groups were evaluated; Group 1) Wt mice of 2 to 3 months of age (n = 6), Group 2) Wt mice of 14 to 15 months of age (n = 7), Group 3) 3xTg-AD mice of 2 to 3 months (n = 7)= 6) and Group 4) 3xTg-AD mice aged 14 to 15 months (n = 6). The miScript miRNA PCR Array System that contained the Pathway-Focused miScript miRNA PCR Array "Neurological Development and Disease" was used, which included 84 miRNAs that have been associated with different neuropathologies, including AD. The initial results showed that the majority of the 84 miRNAs evaluated were detected in the plasma of the mice of all the groups analyzed, however, the highly abundant miRNAs detected belonged to the let-7 family, the miR-15 family, the miR-30 family, miR-24-27 group, miR-29 group, miR17-92 group and their paralogs miR-106a-363 and miR-106b -25. A group of less

detected or undetected miRNAs was also found, such as miR-135b, miR-302a / b, miR-488 and miR-9, however the comparisons of miRNAs abundance between both young groups, that is, 3xTg- AD and control group of 2 to 3 months, did not show significant differences in the profile of circulating miRNAs.

In a second stage, they compared the profile of circulating miRNAs between young and old mice for both the WT and 3xTg-AD groups. They showed that changes in the plasma levels of a certain group of microRNAs are associated with aging, with significant differences found in the levels of 33 miRNAs between old WT mice and young WT mice. In addition, significant differences were found in 40 miRNA levels when comparing old versus young 3xTg-AD mice (reviewed in Garza- Manero et al., 2015); 19 of these miRNAs were common in both comparisons and include family members of let-7, miR-30, miR-17- 92 cluster and their paralogs. miR-132, miR-138, miR-146a, miR-146b, miR-22, miR-24, miR-29a, miR29c, and miR-34a was found by comparing young and old 3xTg-AD mice, showing significant differences between plasma microRNAs only in the transgenic group, thus suggesting age-related changes that occur specifically in 3xTg-AD mice.

In 2017, Hong and collaborators published a comparative study (Hong, Li, & Su, 2017) between expression of circulating miRNAs previously detected in body fluids samples from AD patients with that could be detected in the APP/PS1 transgenic animal model of AD. Results indicated that miR-125b, -9 and -191-5p were significantly downregulated in the serum of APP/PS1 mouse model as was also found in AD human serum samples. The miR-28-3p was also upregulated in sera sample of human AD patients and APP/PS1 transgenic mice model. The miR-181c, and - 26b-3p did not show significant differences between the groups of animals although these miRNAs were detected as up- and down-regulated in human serum sample from AD patients. Pearson's correlation analysis revealed

a positive correlation between miR-125b expression and the cognitive function of the APP/PS1 animal model. Interestingly, authors evaluated whether treatment of APP/ PS1 mice with the epigallocatechin gallate (EGCG), an abundant bioactive polyphenol found in solid green tea extract with well characterized anti- inflammatory properties (Chu, Deng, Man, & Qu, 2017) and protective effects against neuronal damage and cerebral edema (Schaffer, Asseburg, Kuntz, Muller, & Eckert, 2012; Unno et al., 2007), could reverse the expression pattern of circulating miRNAs detected in APP / PS1 transgenic AD model. As anticipated, levels of serum miR-125b, -9, and - 191-5p were reversed in EGCG-treated APP/PS1 transgenic mouse models. It is worth to note that the neuroprotective effect of EGCG against cognitive impairments of patients with AD is currently evaluated in clinical trial (e.g., Sunphenon EGCg (Epigallocatechin- Gallate) in the Early Stage of Alzheimer's Disease (SUN-AK)). As miR-125b was the most consistent deregulated miRNA in AD samples from human and mouse and one of most reversed miRNAs following treatment of APP / PS1 transgenic mice with EGCG, the level of miR-125b was also evaluated in the SH-SY5Y neuroblastoma cell line treated with EGCG. The results of this study indicated that although the detection of miR-125b in cell culture supernatant was found difficult, treatment of cells with EGCG markedly increased this miRNA, i.e., the results were consistent with those found in the APP/PS1 model treated with EGCG. Authors concluded that circulating miR- 125b is the most likely relevant biomarker of AD.

In another major and elegant recent study (Ryan, Guévremont, Mockett, Abraham, & Williams, 2018), the expression level of circulating microRNAs related to age and to amyloid-β plaque deposition was evaluated in the APPswe/PSEN1dE9 AD transgenic mouse model. A custom designed Taqman microRNA arrays representing 185 neuropathology-related microRNAs was employed to evaluate the kinetic of miRNA

expression over the chronic development of pathology, at 4, 8, and 15 months of age. The results revealed that 8 microRNAs (miR-27b-3p, miR-30b-5p, miR-93-3p, miR-143-3p, miR-361-5p, miR-382-5p, miR-421-3p, miR-423-5p) were significantly affected by age alone in wild-type animals. Twelve microRNAs were altered in APPswe/PSEN1dE9 mice, either prior to amyloid- $\beta$  plaque deposition detected at 4 months, or later during course of AD development, i.e., detected between 8 to 15 months of age. When the pattern of miRNA expressions was analysed precisely at each time points, differing sets of microRNAs were identified. At 4 months of age there was a significant decrease in the expression of miR-200b- 3p, miR-139-5p and miR-27b-3p while it was found that the expression levels of miR-205-3p and miR-320-3p increased significantly. Functional analysis of this early-time miRNONE profile combined with bioinformatics tools to predict the enrichment of specific miRNA pathways, as well as targeted genes regulated by these miRNAs revealed alterations in common pathways related to inflammatory response, cell death and survival, molecular transport and protein synthesis. Of note, a specific enrichment of the TGFb / Smad signaling pathway was detected at this 4-month time point for which A<sup>β</sup> plaque deposition was not detected in the brains of these mice. It was then proposed that this earlytime response might reflect an early neuroprotective response to AD development. Later, at time point superior to 8 months of age, miR-140-3p, -486-3p, -339-5p and - 744-5p were upregulated in APPswe/PSEN1dE9 mice compared to control, littermate mice. On opposite; miR-143-3p and miR-34a-5p were found downregulated. This altered miRNAs patterns of expression and corresponding deregulated genes were more closely associated to chronic amyloidosis response with significant enrichment of inflammatory response genes focused to the TNF signaling pathway. Free Radical Scavenging, immunological Disease, and apoptosis signaling were also more specifically enriched at this time point that further increased till 15 months of age. This specific late-time response reflected more

a neurodegeneration signature that fitted well with the presence of important amyloid- $\beta$  plaque deposition in brains of in APPswe/PSEN1dE9 mice compare to control, littermate mice.

Finally, very Recently Kim et al., (Y. J. Kim et al., 2020) investigated change in expression of miR-16-5p in the 5xFAD animal model of AD. Panel of miRNA expression was evaluated using the Affymetrix Gene Chip miRNA 4.0 array from plasma samples collected from 1, 2, 3, 4, 6, and 9-month-old of 5xFAD mice and were compared to WT mice of the same age. Among the 84 miRNAs found as deregulated between the 5xFAD and WT mice, miRNAs miR-140-5p, -106b-5p and -16-5p were identified as the most significantly deregulated miRNAs. From them, the miRNA-16-5p was upregulated at a much higher level than the other miRNAs and was found as a better discriminating circulating biomarker between 5xFAD and WT mice. Spatial expression pattern of miR16-5p in brain tissues of 5xFAD mice revealed enrichment of this miRNA in the cortex, hippocampus and thalamus and, remarkably, near to A<sup>β</sup> plaques deposition and neuronal loss as evidenced by FISH and immunohistochemistry analysis. Interestingly, the level of miR-16-5p was significantly higher in 6- and 9-month-old 5xFAD mouse brains compared to those of age-matched WT mice. This increased in the expression of miR-16-5p coincided furthermore with the loss of neurons in the cortex, hippocampus and thalamus reinforcing again a direct link of correlation between expression of miR-16-5p, AB deposit and neurodegeneration. To investigate whether expression of miR-16-5p was induced in neuronal cells undergoing to cell death, primary cultured of cortical neurons and the human neuroblastoma SH-SY5Y cells were treated with a toxic form of Aβ. Results indicated that miR-16-5p expression was upregulated in A $\beta$ -treated cortical and SH-SY5Y neuronal cells. MiRNA data base interrogation combined with miRNA reporter assay identified a putative binding site in 3'UTR part of the anti-apoptotic factor, B cell lymphoma- 2 (BCL-2) which was significantly downregulated, both at the mRNA and protein level in SH- SY5Y transfected cells with miR-16-5p. All together this data support previous experiment (showing that upregulation of miR-16-5p in brain tissues of AD patients and animal model is a bad prognosis marker of AD development and an important risk factor for neuronal cells death in AD.

# **Chapter 2 Material and Methods**

This chapter describes the different materials and protocols that we use during the execution of this project. We receive important collaborations, for example, Dr. Marc Dhenain from The Molecular Imaging Research Center (Fontenay-aux-Roses, Paris), who has donated serum samples from transgenic APP / PS1 mice. Another collaboration was made with the staff of the Novaxia pathology laboratory (Saint-Laurent-Nouan, France), who performed the histological analyses.

# a. Animals

Sprague-Dawley rats (aged 8-12 weeks) with a body weight of 200-380 g were obtained from the Bioterium of the Research and Development Laboratory located in the Universidad Peruana Cayetano Heredia (Lima, Peru). Animals were maintained under controlled laboratory temperature ( $25 \pm 2^{\circ}$ C) and humidity (60 %) conditions, with a controlled light cycle (12 hours light/12 hours dark). Water and food were available ad libitum throughout the experiment. The animal handling and experimental procedures were approved by Ethics Committee of the Universidad Peruana Cayetano Heredia (CIEA-102069). The animal behaviors were monitored daily by the animal care staff to ensure that the animals were safe and healthy.

## b. Preparation of amyloid-β 1-42 peptide

A $\beta$ 1-42 (amyloid- $\beta$ 1-42) peptides were obtained from Sigma–Aldrich (A9810) and solubilized in Dimethyl Sulphoxide (DMSO), prepared at concentration to 2 mM, to generate a stock solution at a concentration of 10 µg/µl. The solution was then stored at - 20°C until use. The working solutions were then diluted in Phosphate Buffered Saline (PBS) at the final concentration of 0.5, 1 and 2.5 µg/µL, and incubated for 1 week at 37°C (Wu et al., 2017), to produce the fibrillar form of A $\beta$  1-42 peptide. This form of A $\beta$  1-42 peptide were called FA $\beta$  and was injected intracerebrally into the hippocampus of the rats as described below.

# c. Model of Alzheimer disease generated by intracranial injection of $FA\beta$

The general procedure to administrate the  $A\beta$  in the brains of rats was from (Wu et al., 2017) with some modifications. At first, rats were anesthetized with an intraperitoneal injection of 10 % (vol/vol) of chloral hydrate prepared in distilled water at a dose of 400 mg / kg of body weight per animal. Anesthetized animals were thereafter placed on a stereotaxic apparatus (KOPF <sup>®</sup> 900, David Kopf Instruments). The head of rats were shaved, disinfected using a chlorhexidine 0.5 % (w/vol, Sigma Aldrich). An anterior-posterior incision of 2.5 cm starting from the midline of the scalp through a longitudinal incision was performed between the eyes until the back of the ears. The Bregma was used as reference to perforate the skull and inject the A $\beta$  peptide into the hippocampus using the following coordinates: 2.6 mm lateral, 3.0 mm back, 3.0 mm deep from the bregma (Figure 1). The groups of animals (n = 11±2) were injected at the level of the CA1 region of the hippocampus, according to the Paxinos and Watson rat brain stereotaxic coordinate atlas,

fourth edition (Paxinos and Watson, 1998). A maximum of 3 μL of Aβ solution prepared at final concentrations of 0.5, 1 and 2.5  $\mu$ g/ $\mu$ l were then infused in the two hemispheres of the hippocampus using a microsyringe Hamilton® (Qiagen, Hilden, Germany). The control group of animals were injected with PBS of same volume in the same area. The solution was injected slowly over a 6 min time period using the following standardized procedure; for each microliter of A $\beta$  solution inoculated over 1 min, the microsyringe was left intact for an additional 1 min before inoculating the next microliter of A $\beta$  solution. This procedure was repeated until the total volume of Aß solution was inoculated. At the end of the inoculation, the microsyringe was held in place for an additional 3 min to ensure that the solution properly dispersed into the ventricles and that the injected volume was not reaspirated when the microsyringe was removed. Then, the holes in the skulls were filled with dental acrylic solution prepared from a dental powder commercially available, and dissolved in sterile distilled water. The dental acrylic was placed on the perforated region using a sterile spatula until the powder solidified at room temperature. Finally, the scalp was sutured using saturation threads and sterilized using a commercially available silver sulfadiazine cream (Sigma- Aldrich). Rats were held alone in a single cage until they were fully awake and monitored for viability. The rats were thereafter returned to cages (n=8) and monitored every day until the time of experimentation.



Figure 1. Scheme of stereotaxic coordinates for the injection of A $\beta$  1-42 peptides.

#### d. Morris Water Maze test

#### **4.1.** Presentation of the experimental procedure

The Morris Water Maze test (MWM) was used to evaluate the spatial memory of rats injected with A $\beta$  peptides or with the PBS control solution. The general procedure was derived from (Wenk, 2004) with modifications. MWM was performed 14 days after the infusion of A $\beta$  or PBS. The animals were assigned in 3 different groups (n=11); one treated group infused with 3 µl of A $\beta$  (0.5 µg/µL), a second group infused with 3 µl of A $\beta$  (1 µg/µL) and a control group infused with 3 µL of PBS. The Maze was built at the Universidad Peruana Cayetano Heredia using a circular black plastic circular pool of 126 cm in diameter and 75 cm in high filled with water at 21-22 °C to generate a swimming pool of 35 cm in high. The pool was divided with imaginary lines to delimitate four quadrants: Northeast (NE), Northwest (NW), Southeast (SE), Southwest (SW). The pool contained a transparent plastic platform of 10 cm in diameter and 33 cm in high located in the SE quadrant and 10 cm away from the pool wall. The pool had geometric figures (triangle, circle, rhombus and square) in walls located in each quadrant. Swimming trajectories used by the rats to reach the platform were monitored using a webcam connected to a computer to collect video every 90 seconds (Figure 2).



**Figure 2. Morris water maze test**. A) Dimensions of the pool and camera linked to the computer for tracking record of the path. B) Geometric cues and quadrants in the pool.

## 4.2. Morris water maze evaluation and video recording

To evaluate the effect of  $A\beta$  1-42 peptides on memory performance of rats, we used a memory protocol consisting in familiarization, acquisition and memory sessions steps. In all sessions, the rats were randomly placed in the maze, while the location of the platform remained constant during the procedure. We also kept a constant 5 min time interval period between the three different memory sessions.

Familiarization session was performed the first day of training. The objective of this session was to familiarize the animals to the maze and the platform. The procedure consisted in placing the rats in a quadrant of the pool and to perform at least 4 trials per rat. The video was switched-ON when the rats were placed in the pool until the time when the animals found and climbed the platform. We assigned a maximal arbitral time of 90 seconds for the rats to reach the platform. Above this time period, meaning that the rats did not climb onto the platform within 90 seconds, the trial was terminated. Then, an experimenter guided the

rat to the platform.

The acquisition session was performed the day after the familiarization session and was performed over four days. The objective of this session was to evaluate the spatial learning of the animals according to their treatment with the  $A\beta$  or PBS solutions. To do that, the rat was placed in different quadrants of the pool. A total of 8 trials were recorded per rat. The video was switched-on when the rats were placed into the pool and until the time when the animals found and climbed the platform. Again, we assigned a maximal arbitral time of 90 seconds for the rats to reach the platform and if the rat rats did not climb onto the platform, an experimenter placed the rat on the platform before starting the next trail. Videos were used to record and monitor the exact time and trajectories used by the animals to reach the platform

The memory session was done on the sixth day. The objective of this session was to evaluate the reference memory of rats according to their treatment with  $A\beta$  or PBS solutions. To do that, the rats were placed in the pool without any platform. One trial per rat was used in this specific session. The trajectory and time the rats spent swimming to the platform area were also recorded over a 90 seconds time period.

# 4.3. Video acquisition and data monitoring

The videos were processed with a RatsTrack plug-in, designed and settled up from both, the School of Engineering of the University of Ibague in Colombia and the Universidad Peruana Cayetano Heredia. RactsTrack consists a new image processing plug-in to evaluate automatically the variables of distance, time and velocity in the Morris water maze test in rats. This free access plug-in was implemented using ImageJ software and is currently being considered for publication: RatsTrack: New automatic method for tracking rats in a pool in the Morris water maze. Forero et al.

### e. Body fluid collection and sampling

#### i. Blood collection and serum separation

Blood samples were collected in BD Vacutainer® tubes coated with silica as coagulation activator according to the procedure described by (Vigneron et al., 2016). Samples were collected from 8 rats at 7, 14, 21 days post-injection with A $\beta$  1-42 or PBS solutions. Blood was collected using the cardiac puncture technique described by (Beeton, Garcia, & Chandy, 2007) to collect a sufficient amount of blood samples. Blood samples were left at room temperature for 30 to 40 min for blood clot formation. Then, the serum was collected by centrifugation at 1 900 x g for 10 min at 4°C. The supernatant corresponding to the serum was clarified through a second high speed centrifugation (16 000 x g for 10 min and 4°C). The clarified serum was carefully transferred into a new Eppendorf tube and stored at - 80 °C until used. Absence of hemolysis was visually inspected. Hemolyzed samples were discarded from the study.

# ii. Cerebrospinal fluid collection and preparation

CSF was collected 14 days after the inoculation of A $\beta$  1-42 from the cisterna magna of the rats according to procedure described by (Blanco, Mayo, Bandiera, De Pietri Tonelli, & Armirotti, 2020; Pegg, He, Stroink, Kattner, & Wang, 2010). Before CSF extraction, the animals were anesthetized by an intraperitoneal injection of ketamine (100 mg / kg) and

xylazine (10 mg / kg). Afterwards, the base of the skull was shaved and disinfected with a 70 % of alcohol solution. The animal was then positioned on a flat surface with the head manually placed in a position to form an angle of  $135^{\circ}$  with the body of the animal. In this position, the cisterna magna became more visible facilitating the extraction of the CSF (Blanco et al., 2020). The procedure to extract the CSF consisted in using an infusion system equipped with a 25-gauge butterfly needle to precisely collect the CSF into the dura mater/ atlanto-occipital membrane according to procedure (L. Liu & Duff, 2008; L. Liu, Herukka, Minkeviciene, van Groen, & Tanila, 2004). Precaution was taken during the procedure to avoid contaminating CSF samples with blood. Then the CSF was loaded in a 0.5 mL Eppendorf tube, stand for 1 hour before to centrifuge the tubes at 1 000 x g for 5 min at 4 ° C to remove cellular debris. The clarified CSF samples were stored at -80 ° C until use.

## 6. Analysis of circulating microRNAs expression in animal models of AD

# 6.1. Procedure presentation and normalization strategy

The procedure used to quantify the expression of miRNA in serum samples derived from a general procedure described by (Tan, Yu, Liu, et al., 2014; Vigneron et al., 2016) and was adapted to my studies. The procedure consisted in a 4 main steps: 1) total RNAs extraction from body fluids, 2) addition of a fixed amount of synthetic exogenous miRNAs to normalize the relative expression of circulating miRNA in samples, 3) reverse transcriptase step to generate cDNA and 4) qPCR analysis using specific primers.

An absolute prerequisite in qPCR analysis is the selection of an adequate housekeeper RNA to normalize the relative expression of molecular target of interest according to sample variations due to both experimental errors introduced during sample preparation and processing and biological variations. Whereas U6 or SNORD44 small nucleolar RNAs and the 5S ribosomal RNA (5S rRNA) are commonly used in "solid sample" as tissues or cells to normalize expression of miRNA, these RNA molecules cannot be used as circulating housekeeper miRNA. Several studies have reported that these conventional housekeeping miRNAs are variably secreted from cells and degraded in serum, plasma or CSF samples. Therefore, they cannot be used as reliable circulating housekeeping miRNA in body fluids. To palliate to this issue, one of alternative procedures, although being still debated, is to add a fixed and constant amount of synthetic oligonucleotide miRNAs in all samples to be analyzed. These exogenous miRNAs also frequently referred as spiked-in miRNAs can be used as circulating housekeeping miRNA to normalize the relative expression of target miRNA in samples according to experimental variation (technical and instrumentation errors, sample preparation and processing). In our study, we decided to follow recommendation from Vigneron et al. and to add 3 different spikein miRNA deriving from C. elegans: Cel-miR-39-3p, Cel-miR-238- and cel-miR-248. The choice of those spike-in miRNAs is justified by the fact that 1) those miRNAs are not phylogenic conserved in rat and 2) have GC content in sequence closed to 50 % meaning that they can be easily amplified by RT-qPCR. Sequences of these spike-in miRNAs were obtained from the miRBase database and synthesized by Eurogentec (Eurogentec, Lieja, Belgicum). The SDS-PAGE purified oligonucleotides were suspended in water at a fixed concentration of 200 amol/µL. Then 2.5 µL spike-in / 100 µL samples was added after the denaturation step of the extraction procedure in such way that the final concentration of these spike-in miRNAs in the sample was similar to concentration of circulating miRNA in samples (Vigneron et al., 2016).

We evaluated two different methods to extract the total RNA fraction from bloody fluid

samples. The first method was based on the miRNeasy Serum/Plasma Kit from Qiagen, while the second method derived from kit NucleoSpin® miRNA Plasma from Macherey-Nagel, Hoerdt, France). The total RNA extraction was performed from serum and CSF samples collected from the animal model of AD generated and also from serum samples collected from APP/PS1dE9 transgenic mice of AD. These latter were provided by Dr Marc Dhenain (PhD. Group Leader, Molecular Imaging Research Center, Fontenay-aux-Roses, Paris). In this specific case, bloods from 4- and 15-month-old transgenic mice were pooled, when necessary, to obtain a minimal final volume of 130  $\mu$ L of serum and to generate at least 5 animals by groups e.g APP/PS1dE9 transgenic mice and their littermate, not transgenic mice. Total RNA extraction was performed with the miRNeasy Serum / Plasma Kit, as this is less demanding in term of starting blood volumes required for serum preparation and for extract circulating miRNAs.

## **6.2. Total RNA extraction from body fluids**

#### 6.2.1. Total RNA extraction from serum samples

The method from the miRNeasy Serum/Plasma Kit (Qiagen, Hilden, Germany) consists in extracting the total RNA from 200  $\mu$ L of serum samples. One mL of QIAzol lysis reagent was added to the sample and vortexed vigorously before leaving the samples at room temperature (15– 25 °C) for 5 min. Then, 5  $\mu$ L of each exogenous miRNAs (celmiR-39- 3p, cel-miR-238- and cel- miR-248) prepared at 200 amol/ $\mu$ L were added to the sample. Samples were vortexed and incubated a further 5 min at room temperature. The proteins were precipitated by the addition of 200  $\mu$ L of chloroform solution followed by a vortexing step and an incubation period of 3 min at room temperature. The tubes were centrifuged at 12 000 g for 15 min at 4 ° C to collect the upper aqueous phase containing the total RNAs. The intermediate and lower phases containing the proteins and the organic solvent were discarded. The upper aqueous phase (approximately 600  $\mu$ L) was transferred to a new Eppendorf tube before adding 900  $\mu$ L of a 100 % ethanol solution. After mixing the solution by inversion, 700  $\mu$ L of the sample was transferred to a RNeasy MinElute spin column and then centrifuged at 8000 g for 15 s at RT. Then 700  $\mu$ l of RWT washing Buffer was added to the column before to centrifuge the tube at 8000 x g for 15 s. A second RPE washing buffer (500  $\mu$ l) was performed followed by a final wash with 500  $\mu$ l of 80 % ethanol solution. After centrifuged at 12 000 x g for 5 min to remove any trace of solvent and to dry the column. The column was placed in a new Eppendorf tube before water on the center of the column membrane and left at RT for 1 min to allow the elution volume to disperse into the full membrane surface. Finally, the tube was centrifuged for 1 min at 8 000 x g to elute the miRNA from the column. The sampleswere stored at -80 °C until use.

The method from NucleoSpin® miRNA Plasma kit (Macherey-Nagel, Hoerdt, France) consists in extracting the total RNA from 300  $\mu$ L of serum samples. At first, 90  $\mu$ L MLP buffer (Buffer Lysis) was added to the samples to denature the proteins then the samples were vortexed for 5 s and incubated for 3 min at room temperature. Then, 7.5  $\mu$ L of each exogenous miRNA (cel-miR-39-3p, cel-miR-238- and cel-miR-248) prepared at 200 amol/ $\mu$ L was added to the sample. Thereafter, 32.2  $\mu$ L of Buffer MPP was loaded to the samples to precipitate proteins by centrifugation at 11 000 x g for 3 min. The supernatant was transferred to new tube before addition of 430  $\mu$ L of isopropanol and vortexing the tube vigorously for 1 min. The samples were then loaded into a NucleoSpin® miRNA

Column to capture RNA. After an incubation of 2 min at RT, the tubes were centrifuged at 11 000 x g for 30 s. A first wash was performed by addition of 700 µL of Buffer MW2 followed by a centrifugation step at 11,000 x g for 30 s. A second wash was performed by addition of 250 µL of Buffer MW2 followed by a centrifugation step 11,000 x g for 2 min. Then, 50  $\mu$ L of recombinant DNase solution supplied in the kit was loaded onto the column to digest genomic DNA. After an incubation period of 15 min at RT, a first wash was performed by addition of 100 µL of MW1 buffer followed by a centrifugation step at 11000 x g for 30 s. A second wash was performed by addition of 700 µL of MM2 buffer followed by a centrifugation step at 11000 x g at 30 s. Finally, a third wash was performed by addition of 250 µL of MM2 buffer followed by a centrifugation step at 11000 x g for 2 min to completely dry the membrane. The column was placed in a new Eppendorf tube before adding 30µl of RNase free water in the center of the column for 1 min to allow the solution to disperse into the membrane. Last, the tube was centrifuged for 1 minute at 8 000 x g to elute the miRNA from the column. The samples were stored at -80 ° C until use. The total RNA fractions eluted from the 2 extraction methods were quantified using nanodrop spectrophotometer (Nanodrop 2000, Thermo Scientific). Concentration and purity of the samples were evaluated by reading absorbance of sample at 260, 280 and 230 nm. Samples with absorbance ratio values ranging from 1.8 to 2 at 260/280 nm and with absorbance ratio values ranging from 1.8 to 2.2 at 260/230 nm were considered for the experiments.

#### **6.2.2. RNA extraction from CSF samples**

After preliminary optimization procedures, we finally selected the miRNeasy Serum / Plasma Kit as the extraction method. This procedure allows us to extract and to quantify

miRNAs from as few as 50  $\mu$ L of CSF sample. Moreover, to further optimize the yield of miRNA recovery from these small volume samples, 5  $\mu$ g of glycogen solution prepared at concentration of 0.1  $\mu$ g/ $\mu$ L was added as recommended by (Duy, Koehler, Honko, & Minogue, 2015). The RT and qRT-PCR procedures were performed with the miRScript system (Qiagen, Hilden, Germany) as described in the details below.

## **6.3.** Reverse transcription reaction (**RT**)

Total RNAs were reverse transcribed into cDNA using the miScript II RT Kit (Qiagen) according to the manufacturer's instructions. Fifty ng of total RNAs prepared at concentration of 10 ng /  $\mu$ L were polyadenylated by a poly (A) polymerase and then reverse transcribed to cDNA by using oligo-dT primers. The RT-reactions were performed with a final volume of 20  $\mu$ l containing 4  $\mu$ L of 5X miScript HiSpec Buffer, 2  $\mu$ L of 10X miScript Nucleic Mix, 2  $\mu$ L of miScript Reverse Transcriptase Mix. The RT reaction was initiated at 37°C for 60 min followed by a final step at 95°C for 5 min. The cDNAs were then stored at -20°C until use.

## 6.4. Real time quantitative RT-PCR (qRT-PCR)

qRT-PCR was performed with the miScript SYBR® Green PCR Kit (Qiagen) according to the manufacturer's instructions (Figure 3). A volume of 2.5  $\mu$ L corresponding to 50 ng of cDNA were loaded in a final volume of 10  $\mu$ L containing 5  $\mu$ L of 2X QuantiTect SYBR Green PCR Master Mix, 1  $\mu$ L of 10X miScript Universal Primer and 10X miScript Primer Assay and 0.5  $\mu$ L of RNase- free water. The quantification of PCR products (amplicon) was collected using the Light Cycler® 480 (Roche Diagnostics Corporation, Indianapolis, IN, USA) according to the manufacturer's instructions. All miRNA specific forward primers (miScript Primer Assays) were purchased from Qiagen. The list of primer used is available in Table 1. The miScript Universal Primer from the kit was used as reverse primer. RNase-free water was used as negative control. Cycling conditions for real-time PCR were 95°C for 15 min, 45 cycles of 94°C for 15 s, 55°C for 30 s, and 70 °C for 30 s followed by a melt-curve analysis to evaluate PCR specificity. All samples were analyzed in triplicate and the geometric mean of the Ct values of each sample was calculated. The relative expression level of miRNAs was calculated using the relative threshold cycle and the comparative threshold cycle method. The miRNAs expression was calculated according to Livak and Schmittgen method (Livak & Schmittgen, 2001) after normalization by 3 cel-miRs exogenous. The results were finally expressed as (2- $\Delta\Delta$ Ct) in which  $\Delta\Delta$ Ct = Ct (miRNAs of interest) – Ct (miRNAs cel-miRs exogenous). Each experimental group consisted of 8 samples from the control and treated animal.



**Figure 3. Selective conversion of mature miRNAs into cDNA using the miScript system**. In a reverse transcription reaction with miScript HiSpec Buffer, mature miRNAs are polyadenylated by poly(A) polymerase and converted into cDNA by reverse transcriptase with oligo-dT priming. The cDNA is then used for real-time PCR quantification of mature miRNA expression using specific primer and a universal primer. https://www.qiagen.com/fr/resources/resourcedetail?id=7954ef25-3a39-4b0a-a27e-42689dbb4f5f&lang=en

Table 1	. Seque	nce of	micro	RNAs
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mature microRNA	Sequence	Sanger Accesion	Catalog number
rno-miR-9a-5p	UCUUUGGUUAUCUAGCUGUAUGA	MIMAT0000781	MS00013951
rno-miR-146a- 5p	UGAGAACUGAAUUCCAUGGGUU	MIMAT0000852	MS00000441
rno-miR-29a-3p	UAGCACCAUCUGAAAUCGGUUA	MIMAT0000802	MS00033397
rno-miR-29c-3p	UAGCACCAUUUGAAAUCGGUUA	MIMAT0000803	MS00000175
cel-miR-39-3p	UCACCGGGUGUAAAUCAGCUUG	MIMAT0000010	MS00019789
cel-miR-238-3p	UUUGUACUCCGAUGCCAUUCAGA	MIMAT0000293	MS00019439
cel-miR-248	AUACACGUGCACGGAUAACGCUCA	MIMAT0000304	MS00019516

# 7. Brain tissues collection

To collect the tissues from the brain of the animals, a general routine procedure developed by the staff of the laboratory of Neuroscience located in the Universidad Peruana Cayetano Heredia was used. First, rats were anesthetized by an intraperitoneal injection of sodium pentobarbital (90 mg/Kg) before infusing them with an injection system composed of a 16-gauge blunt cannula and a manometry pump. A lateral incision of approximately 5 cm was made through the integument of the animal to make accessible the diaphragm. A second incision was thereafter made on each side of the clavicle to expose the heart. Finally, a last small incision was made at the posterior end of left ventricle to the heart to insert the cannula into the ascending aorta. The cannula and the heart were clamped with a haemostatic forceps before cutting the right atrium and to inject 300 mL of a washing solution consisting of a saline solution containing 0,9 % NaCl. Thereafter, 200 mL of a fixing solution containing 4 % paraformaldehyde prepared in 0.2 142 M PBS was injected under of 80 mm Hg pressure guided by manometry pump. The injection was performed quickly and uniformly. Then brains of animals were removed manually and kept for 24 hours at 4°C in a 4 % paraformaldehyde solution. The next day, brains were washed 3 times with PBS and finally stored in PBS at 4 °C until the organs were embedded in paraffin for histological examination.



**Figure 4. Perfusion Surgery**. The positioning of the forceps to have access to the diaphragm and then to the entire thoracic cage are shown. Lateral incisions were done to expose the pleural cavity and the heart. Then a cannula was inserted into the ascending aorta. Image from (Gage, Kipke, & Shain, 2012).

# 8. Histology and immunofluorescence analysis of brain samples

## 8.1. Tissue sections and histology staining

Paraffin blocks containing brain tissue were cut into 5  $\mu$ m thick sections and mounted on glass slides. Hematoxylin-eosin (HE) and Cresyl violet staining were performed according to routine histology procedure performed by the staff of the Novaxia pathology laboratory (Saint-Laurent- Nouan, France).
#### 8.2. Immunofluorescence staining

Sections (5 µm) of brain tissues mounted on glass slides were subjected to deparaffinization and re-hydration procedures before staining the tissues with specific antibodies. Briefly, the deparaffinization step was performed by 3 sequential xylene baths of 5 min each followed by a rehydration step of tissue sections in sequential alcohol baths at 100 %, 95 % and 75 % of 5 min each. A final wash with distilled water was carried out for 1 min. Immunostaining was performed following the protocol described in (Reverchon et al., 2020). At first, the slides were incubated in citrate buffer (1 M citric acid, 1 M sodium citrate, pH 6 at 80 °C for 30 min to unmask the epitopes induced by brain fixation with the 4 % paraformaldehyde fixative solution. Then, tissue slides were rinsed 3 times in a TBS solution containing 0.3 % (vol/vol) Triton X-100 (TBST) and then incubated for 2 h in a humid chamber at room temperature with a blocking TBS solution containing 1 % (w/v) BSA; 10 % (vol/vol) Fetal Calf Serum (FCS); 0.3 % (vol/vol) Triton X-100 and 1% NaN3. The sections were then incubated with primary antibodies; anti-GFAP (Dako, Agilent, Santa Clara, USA, Z0334; 1: 500) or anti-Iba-1 (Abcam, Cambridge, England, ab5076; 1: 500) at 4 °C overnight. The next day, the sections were washed 3 times in TBST and incubated 2 hours at RT with a secondary anti-rabbit Alexa 488 antibody (Abcam, ab150077, 1: 1000) for GFAP labelling or with secondary anti-goat Alexa 488 antibody (Abcam, ab150129, 1: 1000) for IBA-1 labelling. After 3 washes in TBST buffer, the slides were stained with DAPI (14.3 mM) for 10 min and washed before mounting with Fluoromount-G (SouthernBiotech, Birmingham, England). Then, the slides were dried overnight and visually inspected using the ZEISS AXIOVERT 200 M Apotome microscope (Zeiss, Oberkochen, Germany) connected to a

digital camera located at the Pacyfic Platform (CBM, Orléans). Serial sections were analyzed at 20x magnification to reconstruct the whole hippocampus of the brain using the ZEN2.1 software (Zeiss). Images were collected as serial Z stack series of from 18 optical slices. Once the images were reconstructed, GFAP and IBA-1 labelled positive cells were counted manually. In parallel, the DAPI fluorescence counting was performed. Both analyses were performed for each area of the hippocampus, i.e., cornu ammonis (CA) 1/CA2, CA3 and the dentate gyrus (DG) using Image J -Fiji software (Schindelin et al., 2019). A total of 40 slides were analyzed, corresponding to treated group (n=5) and control group (n=5).

# **9.** *In vitro* assessment of the impact of OAβ and FAβ treatment on rat primary astrocytes

### 9.1. Primary astrocytes preparation and culture

Primary astrocyte cultures were prepared following the protocols described in (Galland et al., 2019; Y. J. Gao et al., 2009). Six brains were collected aseptically from newborn 3-day-old Sprague Dawley rats ordered from Janvier Labs (Le Genest-Saint-Isle, France), prior to isolating the cerebral hemispheres manually.

The tissues were put into small Petri dishes containing cold Hanks' Balanced Salt Solution buffer (Sigma-Aldrich, Saint-Quentin Fallavier, France). Then, the meninges were carefully removed under microscope before dissociating tissues mechanically using a pipette and scalpel. The solution was then centrifuged at 1 000 x g for 5 min. The supernatant was removed and the cell pellet was resuspended in DMEM medium (Dulbecco's Modified Eagle's Medium containing 4 g/L of glucose, Sigma-Aldrich) supplemented with 10% FCS, and 1 % of penicillin and 10 mM of L-Glutamine. The cells were seeded in 24-wells plate at density of 1.5 x 105 cells /cm2 and cultured for approximately 14 days in a humidified incubator in 5 % CO2 at 37 °C to reach 80 % of confluency. The medium was changed every 3-4 days. A GFAP staining was then performed to validate presence of astroglia cells in this primary tissue culture.

### **9.2.** Preparation of Aβ 1-42 peptide

A $\beta$  1-42 peptides obtained from Sigma – Aldrich (Sigma-Aldrich, Saint - Quentin Fallavier, France, A9810) were solubilized in 2 mM DMSO to generate a first stock solution at 220 mM and stored at -20 °C. This stock solution was then diluted in PBS at the final concentration of 100  $\mu$ M. The beta amyloid oligomer (OA $\beta$ ) or fibrillar (FA $\beta$ ) was generated according to procedures described in several publications (Dahlgren et al., 2002; Heo et al., 2007; J. A. White, Manelli, Holmberg, Van Eldik, & Ladu, 2005) and adapted to our study. Briefly, OA $\beta$  was generated by incubation of the 100  $\mu$ M working A $\beta$ 1-42 solution at 37 °C for 4 days.

#### 9.3. Treatment of primary astrocytes with OAB, FAB, LPS, and BMS

Experiments were performed after 14 days of culture, when the primary astrocytes monolayer reached 90 % confluence. Before treatment, cells monolayer was washed 2 times with 1 mL of D- PBS (Dulbecco's Phosphate Buffered Saline, Invitrogen, Carlsbad,

CA) and then incubated with the OA $\beta$  or FA $\beta$  preparation at final concentration of 5, 1 and 2  $\mu$ M for 3 days at 37°C. Cells incubated with 2  $\mu$ M of DMSO solution were used as controls. Additional conditions were performed by incubating cells with 1  $\mu$ M FA $\beta$  or 1 $\mu$ M OA $\beta$  and DMSO as control for 7 days and a renewal of the 1  $\mu$ M FA $\beta$  work solution on day 3.5. In parallel; cells were also treated with a NF- $\kappa$ B inhibitor (BMS-345541, Sigma-Aldrich, France) used at the final concentration of 5  $\mu$ M. When specified, the cells were pre-incubated for 1 hour in tissue culture with the BMS-345541 inhibitor before treatment with the OA $\beta$  or LPS solution for 3 days as described in here (Burke et al., 2003; Owens et al., 2017).

#### 9.4. Cell Viability Assay

Cell viability analysis was performed with the Alamar Blue<sup>TM</sup> HS reagent according to manufacturer's instructions (Invitrogen, Carlsbad, CA, A50101). A 1/10 dilution of Alamar blue solution was prepared in serum –free DMEM culture medium. Then, 500  $\mu$ L was added to each well of the 24-well plate for 2 h at 37 °C. After incubation, 5 aliquots of 50  $\mu$ L of cell supernatant were transferred to a 96-well white plate for monitoring fluorescence intensity ( $\lambda$ -excitation: 560 nm and  $\lambda$ -emission: 605 nm using a microplate reader (VICTOR3<sup>TM</sup> Multilabel Plate Reader, Perkin Elmer). The fluorescence value from the untreated cells (NT) was set up as the arbitral value of 100 %.

#### 9.5. miRNA and mRNA quantification from primary astrocytes culture

For miRNA quantification from the primary cells, total RNA was isolated with the miRNeasy kit (Qiagen, Hilden, Germany) following the manufacturer's instructions.

Reverse transcription was performed with 100 ng of total RNA as starting material using miScript II RT Kit (Qiagen, Hilden, Germany) as described in section 6.3. We performed the quantitative RT-PCR with 100 ng of cDNA using the miScript PCR System and specific primer miScript Primer Assay as described in section 6.4. The relative expression of miRNAs of interest was normalized to the relative expression of U6 using the miScript Primer Assay purchased from Qiagen (Hs\_RNU6-2\_11) (Qiagen, Hilden, Germany, MS00033740). Final results were expressed using the 2- $\Delta\Delta$ Ct as described in section 6.4. For mRNA quantification from the primary cells, we isolated the total RNA using Trizol reagent (Invitrogen, Carlsbad, CA). Briefly, cell monolayers were washed in PBS and then lysed by adding 800  $\mu$ L of Trizol solution. Then, 80  $\mu$ L (1/10 volume) of chloroform was added to each sample and the content vortexed vigorously followed by 5 min incubation at RT. Then, the tubes were centrifuged at 12,000 x g for 15 min at 4 °C before collecting the aqueous upper phase containing the total RNA. One volume of 70% ethanol solution was added and the mixture was gently mixed by inverting the tube several times. We loaded the samples onto a RNeasy mini-columns (Qiagen, Hilden, Germany) and centrifuged at 12 000 x g for 15 s. Columns were washed 2 times with 500 µL of RPE buffer (Qiagen, Hilden, Germany) followed by a final centrifugation step at 11 000 x g for 2 min to dry the membrane. total RNAs were eluted in a column by adding 20 µL of nuclease-free H2O (Qiagen, Hilden, Germany) followed by a centrifugation step for 1 min at 12 000 x g. The total RNA was quantified using the Nanodrop spectrophotometer (NanoDrop<sup>™</sup> 2000 / 2000c, ThermoFisher) as described above in the section 6.2.1. The RT and qPCR procedures were rigorously the same as previously described above (sections 6.3 and 6.4). The primers used to quantify the relative expression of IRAK1, TRAF6 and IRAK2 were purchased from Qiagen (Qiagen, Hilden, Germany). The other primers specifics for IL-6, IL-1 $\beta$ , TNF- $\alpha$  were designed in our laboratory according to a routine procedure. The

GAPDH housekeeping gene and corresponding primers were used to normalize the relative expression of mRNA in all samples. Finally, the data were expressed as  $2-\Delta\Delta$ Ct according to method from (Livak & Schmittgen, 2001).

Table 2. Primers for qRT-PCR

Gene (Rat)	Forward primer (5'->3')	Reverse primer (5'->3')	Reference
IL-6	TCCAGTTGCCTTCTTGGGAC	AGTCTCCTCTCCGGACTTGT	10.1038/s41598-018- 26421-5
IL-1β	TTCATCTTTGAAGAAGAGCCCAT	TCGGAGCCTGTAGTGCAGTT	10.1038/s41598-018- 26421-5
TNF- α	CAAGGAGGAGAAGTTCCCAA	TGATCTGAGTGTGAGGGTCTG	10.3390/cells8121553
GAPDH	GATGACATCAAGAAGGTGGTGA	ACCCTGTTGCTGTAGCCATATTC	10.1007/s10753-019- 01029-7

### 9.6. Sandwich enzyme-linked immunosorbent assay

A sandwich enzyme-linked immunosorbent assay (ELISA) system was used to measure the concentrations of IL-1B, IL-6, TNF- $\alpha$  and CXCL1, from the astrocytes culture medium, using paired antibodies according to the manufacturer's instructions. The procedures were carried out in collaboration with the laboratory; «Immunologie et Neurogénétique Expérimentales et Moléculaires» (INEM).

#### **10.** Statistical analysis

To ensure robustness of our analysis, two different statistical analysis were employed. The first analysis consists in evaluating the statistical significance of the  $OA\beta$  treatment in Test de Morris and the second in serum samples and in cell culture samples.

#### 10.1. Statistical analysis of Morris test

The quantitative variables were: distance, time spent by rats in each quadrant, distance travelled by rats in each quadrant, acceleration and velocity. The Stata 13.0 software was employed to analyze the data. GraphPrism 8.0 software was used to elaborate the graphs. The data obtained from the MWM test were analyzed with the Kruskal Wallis test. This non-parametric test (data did not follow the normal distribution), was used to evaluate the statistical difference between the three groups (0.5  $\mu$ g /  $\mu$ l, 1  $\mu$ g /  $\mu$ l and control group), P <0.05 was considered significant.

#### 10.2. Statistical analysis of microRNAs

The relative expression of miRNAs and mRNAs were expressed as mean  $\pm$  SEM. XLSTAT statistical software was used to analyze the data and the GraphPrism 8.0 software to elaborate the graphs. At first, the Grubbs test was run to identify outlier values. Then, after eliminating the outliers, the values were processed for a test of normality using 4 different statistical tests: Shapiro-Wilk, Anderson-Darling, Lilliefors and Jarque-Bera tests. If the statistical analysis indicated that groups of values followed a normal distribution then a parametric test was fixed and the t-student unilateral test was used. Conversely, if the result of 4 tests indicated that the data followed a non-parametric distribution then the Mann-Whitney unilateral test was used. In all cases p < 0.05 was considered as significant and p < 0.01 as highly significant.

# Chapter 3 Results

This chapter is composed of two parts:

- I. Identification of circulating microRNAs in rat model produced by intrahippocampal injection of A $\beta$  1-42.
- II. Mechanistic study of miR-146a-5p in primary astrocytes model of AD
- Results Part 1: Animal model of AD generated by intrahippocampal injection of Aβ 1-42 leads to memory impairment

### I.1. Rational procedure for intrahippocampal infusion of Aβ 1-42 peptides

Procedures to infuse A $\beta$  1-42 peptide in the brain of animals were derived from different publications with some modifications. Indeed, several reports indicated that a single, bilateral intra- hippocampal infusion of A $\beta$  peptide at a concentration ranging from 0.5 to > 5 µg/µL is sufficient to affect the working memory and cognitive performance of infused animals (Karthick et al., 2019; McLarnon & Ryu, 2008; Morroni, Sita, Tarozzi, Rimondini, & Hrelia, 2016; S. Sharma, Verma, Kapoor, Saini, & Nehru, 2016; Wong et al., 2016; Wuet al., 2017). In addition, other publications indicated that a repetitive infusion of A $\beta$  1-42 peptide with varying concentrations also generates similar results (Faucher et al., 2015; Fekete et al., 2019; Forny-Germano et al., 2014). Here, we decided to evaluate the impact of bilateral intrahippocampal infusion of the A $\beta$  1-42 peptide both on the cognitive performance of the animals and on the amounts of miRNA circulating in the blood (serum) and CSF. Since microRNAs can be useful as early AD biomarkers, our objective was to directly correlate the level of circulating miRNAs with the presence of the A $\beta$  1-42 peptide in fibrillar state, a major component of AD pathogenesis. Considering that the current diagnosis of AD uses cumbersome and expensive methods, the quantification of circulating microRNAs in serum with non-invasive methods is essential. It has been suggested that fibrillar insoluble form of A $\beta$  1-42 peptide (FA $\beta$  1–42), rather than the oligometric soluble form (OA $\beta$  1–42) is more neurodegenerative and responsible for synaptic and cognitive dysfunctions. The FAB aggregated form of the AB 1-42 peptide precedes the formation of amyloid plaques and deposits and is considered to be one of the main pathological hallmarks of AD. Again, the procedure for the preparation of A $\beta$  was also subject to significant divergences in the literature (Dahlgren et al., 2002; Heo et al., 2007; H. Y. Kim et al., 2016; Lecanu & Papadopoulos, 2013). In this project, we produced the FA $\beta$  by spontaneous aggregation of the Aβ 1-42 peptide for 7 days at 37°C (Lane- Donovan & Herz, 2017; Wu et al., 2017). Then, we infused the FA $\beta$  into the two hemispheres of hippocampus and more precisely in the bilateral CA1 region. It is known that this region is primarily involved in long-term memory storage and is widely affected in AD patients with the presence of significant deposits of amyloid plaques (Karthick et al., 2019; Mattsson et al., 2012). The infusion into the hippocampus was performed by stereotaxic surgery, following the standard procedures that have been described in the Materials and Methods. The Figure 1 shows a schematic representation of the general procedure used.



Figure 1. Schematic representation of the first experimental design of the intrahippocampal injection of A $\beta$  1-42 at 0.5 and 1 µg/µL prepared as FA $\beta$  1-42. Rats were injected with 3 µL of FA $\beta$  1–42 (A $\beta$ -treated group) or PBS as vehicle solution (Control group). Cognitive behavior was evaluated on day 14 and sample collection was performed on day 21.

## I.2. Cognitive impairments of rats inoculated with $1 \mu g/\mu L$ of FA $\beta$

Our rat animal model of AD, induced by intrahippocampal infusion of A $\beta$  1-42 peptide solution as shown in Figure 1, was challenged for the first time using the classic Morris Water Maze test to assess memory performance and behavior of treated animals (Wenk, 2004).

Procedures and methods used to record the trajectories and times for the animals to reach the platform are described in details in Materials & Methods. In collaboration with colleagues from the Faculty of Engineering of the University of Ibague (Ibagué, Tolima, Colombia Colombia), we developed a novel plugin called "RatsTrack" to automatically extract trajectories of rats in maze from video recorded by a camera placed on the top of the maze (Figure 2). An example of trajectory used by a rat to reach the platform is shown in Figure



Figure 2. Automatic monitoring of trajectory used by a rat to reach a platform during a Morris water maze test. (A) Maze designed with quadrant orientation and platform localization (pink circle) (B) Video detection of an animal in the maze (C) Automatic trajectory recording using the novel plug-in "RatsTrack" developed during this study.

For each rat, we monitored the distance travelled and the latency escape as variables of cognitive performance (Figure 3). During the familiarization session, no statistically significant differences were found on day 1 of the training session between all groups, indicating that animals in both groups have similar visual and motor skills.

Conversely, significant differences were found in the lengths of the trajectories from day 2 to day 5 (Figure 3A). Evaluations in the NW quadrant showed that A $\beta$ -treated rats used longer distances to find the platform during these 4-day time interval compared to PBS-treated rats (Figure S1A). When rats were placed in the NE quadrant, significant differences were only observed on day 4 and day 5 (Figure S1B). For rats placed in the SW quadrant, A $\beta$ -treated rats used longer distances compared to the control group of animals, but the differences were not statistically significant (Figure S1C). In the SE quadrant, the path lengths of A $\beta$  treated-group were significantly different to those of the control group from day 2 to day 4 (Figure S1D).

When the reference memory was evaluated on day 6, the difference between the A $\beta$  treatedgroup was statistically significant to that of PBS-treated group. In the NW and SE quadrants, the A $\beta$  treated-group performed wider distances compared to the control, but the difference was not statistically significant (Figure 3B).



Figure 3. Effects of FA $\beta$  1-42 injection on memory and learning, evaluated by the MWM test. The distance performed by rats injected with FA $\beta$  prepared with 0.5 and 1 µg / µL of A $\beta$  1- 42 to find the platform was evaluated on day 14 after injection. (A) Total distance traveled during the 5 days of training. (B) Reference memory evaluated in each quadrant on day 6 of the MWM test. Control group (n=10); group injected with FA $\beta$  made with 0.5 µg/µL of A $\beta$  1-42 (n=11); group injected with FA $\beta$  made with 1 µg/µL of A $\beta$  1-42 (n=13). Data are represented as mean ± SEM. Statistical comparisons were performed using Kruskal-Wallis. \*p≤0.05, \*\*p≤0.01, \*\*\*p≤0.001.

Figure 4 corresponds to the escape latency evaluated as second variable. The escape latency of each rat was evaluated in 4 quadrants. The groups of animals infused with FA $\beta$  1-42

showed a longer escape latency compared to the control group with a significant difference on day 4 and 5 (p <0.05) (Figure 4A). The results of the reference memory evaluation on day 6 were not statistically significant between the groups (Figure 4B). When the same test was evaluated on 28 days post treatment, no statistically significant differences were observed, indicating that the effect of A $\beta$  on cognitive performance is likely reversible due to peptide clearance (data not shown).



Figure 4. Effects of A $\beta$  1-42 injection on memory and learning, evaluated by the MWM test. The escape latency to find the platform was evaluated on day 14 post- treatment. (A) Total escapelatency during the 5 days of training. (B) Reference memory evaluated in each quadrant on day 6 of the MWM test. Control group (n=10); group injected with FA $\beta$  made with 0.5 µg/µL of A $\beta$  1- 42 (n=11); group injected with FA $\beta$  made with 1 µg/µL of A $\beta$  1-42 (n=13). Data are represented as mean ± SEM. Statistical comparisons were performed using Kruskal-Wallis. \*p≤0.05, \*\*p≤0.01, \*\*\*p≤0.001.

# I.3. Intrahippocampal injection of A $\beta$ 1-42 leads to dysregulation of circulating microRNAs

Our next objective was to evaluate whether the defective cognitive performance of the FA $\beta$  peptide- infused rats could be associated with the dysregulation of the circulating miRNAs detected in the serum of these animals collected on day 21, the last day of the MWM test. We selected from the literature a panel of circulating miRNAs reported as frequently dysregulated in the blood of AD patients and / or in transgenic animal models of AD. Those microRNAs are: miR-9a-5p, miR-146a-5p, miR-29a-3p, miR-29c-3p, miR-125b-5p, miR-181c-5p, miR-191-5p, -miR-106b-5p and miR-135a-5p. The results obtained by qRT-PCR indicated that among the 9 microRNAs evaluated, only the amount of one miRNA, miR-146a-5p, was statistically different in sera from A $\beta$ -treated animals compared to those of PBS-treated animals (p < 0.05) (Figure 5). Nevertheless, the amounts of miR-9a-5p, miR-29a-3p, and miR-29c-3p tend to be lower in the A $\beta$ -treated group compared to the control group, although the difference was not statistically significant, it was very close to 0.05 (Figure 5).



Figure 5. Relative expression of circulating miR-9a-5p, miR-29a-3p, miR-146a-5p, miR-29c-3p in serum samples. Sera from rats infused with FA $\beta$  (treated group) or PBS (Control group) were collected 21 days post-treatment. MicroRNA were extracted and quantified by qRT-PCR. Data are represented as mean ± SEM of 7-8 samples evaluated in triplicate. Statistical comparisons between A $\beta$  1-42 and PBS groups were performed using the Mann-Whitney test \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p≤ 0.001.

# I.4. Increasing the amount of $A\beta$ 1-42 peptide infused into hippocampus of rats enhanced the relative abundance of circulating miRNA in serum samples.

Our first results prompted us to evaluate whether increasing the amount of A $\beta$  1-42 peptide infused into the brain of rats could also increase the expression level of miRNAs in serum samples of these animals. We assessed this point in a second cohort of rats infused intrahippocampally with 3 µL of FA $\beta$  made with 2.5 µg/µl of A $\beta$  1-42 peptide. A schematic representation of procedure and experiments performed in this second cohort of animal is shown in Figure 6.



Figure 6. Schematic representation of the second experimental design of the intrahippocampal injection of FA $\beta$  made with A $\beta$  1-42 at 2.5 µg/µL. Rats were injected 3 µL with FA $\beta$  or vehicle solution (PBS). Samples collection was performed at 7, 14- and 21-days post-injection. n =8 rats in each group.

Data from the qRT-PCR analysis performed on serum samples collected on day 21 indicated that 3 of 4 circulating miRNAs (miR-29a-3p, miR-146a-5p and miR-29c-3p) were significantly reduced in the FA $\beta$ -treated group compared to the control group. By contrast, the relative abundance of miR-9 did not change upon infusion of 2.5 times more FA $\beta$  peptides (Figure 7 *versus* Figure 5).



Figure 7. Relative expression of circulating miR-9a-5p, miR-29a-3p, miR-146a-5p, miR-29c-3p in serum of rat infused with FA $\beta$  made with A $\beta$  at 2.5 µg/µL. Serum samples were collected 21 days post-infusion. MicroRNAs were extracted and quantified by qRT-PCR. Data are represented as mean ± SEM of 7-8 samples evaluated in triplicate. Statistical comparisons between A $\beta$  1-42 and PBS groups were performed using the Mann-Whitney test \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p≤ 0.001.

### I.5. Kinetics of miRNAs detection in the rat model of AD

To the best of our knowledge, there are very few studies reporting the kinetics of circulating miRNAs in sera from animal models with AD. For this purpose, we collected rat serum samples at various time points after inoculation of FA $\beta$  prepared with A $\beta$  1-42 at 2.5 µg / µL. Figure 8 shows the kinetics of miRNAs detected in serum samples. Concerning to miR-9-5p in A $\beta$ -treated group, its profile tends to be lower as a function of time compared to the control group, but again without significant differences (Figure 8A). In contrast, the miR-29a-3p and miR-29c-3p profiles were statistically reduced at all evaluation times compared to the control group and the difference is higher on day 7 (p = 0.016 and p <0.01 for miR-29a-3p and miR-

29c-3p, respectively. Figure 8B and 8C).

The amount of miR-146a was significantly reduced on both day 14 and day 21 in serum samples from A $\beta$ -infused rats (p = 0.022 and p = 0.027, respectively, Figure 8D) compared to the control group. Of note, for all kinetics drawn up for this analysis, it becomes apparent that, a at late time

e.g on day 21, the expression of all investigated miRNAs tended to return to the baseline expression level detected on day 0. This indicates, as observed in Morris water maze test, that effect of  $A\beta$  infused in hippocampus of rats seems reversible.

The amount of miR-146a was significantly reduced on both day 14 and day 21 in serum samples from A $\beta$ -infused rats (p = 0.022 and p = 0.027, respectively, Figure 8D) compared to the control group. Of note, for all kinetics drawn up for this analysis, it becomes apparent that, an at late time e.g on day 21, the expression of all investigated miRNAs tended to return to the baseline expression level detected on day 0. This indicates, as observed in Morris water maze test, that effect of A $\beta$  infused in hippocampus of rats seems reversible.



Figure 8. Kinetics of circulating microRNAs detected in serum. The quantification of microRNAs in serum was investigated at 0-, 7-, 14- and 21-days post-infusion with FA $\beta$  or PBS (A-D). Relative expression profiles for (A) miR-9a-5p, (B) miR-29a-3p, (C) miR-146a-5p, (D) miR-29c-3p are shown. The amount of each microRNAs was evaluated by quantitative real time qRT-PCR and normalized with exogenous cel-miRNAs. Data are expressed as mean ± SEM of result obtained from each serum assessed in triplicate (for each time, n = 8 rats per group). Statistical comparisons between groups at each time were made using the Mann-Whitney test. \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p ≤ 0.001.

### I.6. Aβ 1-42 infusion leads to an inflammatory response in the hippocampus of rats

Next, we evaluated whether the altered expression of circulating miRNAs found in serum samples could be corroborated with altered morphology of brain tissues and a modification in the activated status of astrocytes and microglia. The evaluation was done in FA $\beta$ -treated and control groups at 14 days post-infusion. We first looked at the appearance and the structure of the two hemispheres of hippocampus by Cresyl violet staining. As shown in Figure 9, no structural and/or cellular damage was detected in the CA1/CA2/ CA3 and DG regions of hippocampus in both groups of rats. However, in both groups, the impact of the intrahippocampal injection procedure led to some local damages in the CA1 region of the rat's hippocampus and along the whole trajectory of the Hamilton needle to reach the target region.



Figure 9. Histological staining of rat's brains infused with FA $\beta$  made with 2.5 µg/µL of A $\beta$  1-42. Cresyl violet staining was performed to assess neuronal viability on hippocampal sections from rats injected with FA $\beta$  or PBS 14 days post-surgery. Right panel: representative sections at 100x magnification of the entire hippocampus from rats injected with FA $\beta$  or PBS are shown.

We then presumed that discrete events, difficult to be observed by histological staining analysis, might be detected in these tissues by an immunohistochemical procedure using specific markers. Astrogliosis is a universally recognized feature of AD characterized by cellular hypertrophy and increased GFAP expression (Bagyinszky, Youn, An, & Kim, 2014). Therefore, we performed GFAP fluorescence labelling of brain tissues of rats extracted at 14 days post-infusion. Representative immunofluorescence images are shown in Figure 10A. Compared to brain sections from control group, a more pronounced fluorescence staining was detected in the whole hippocampus tissues with a pronounced staining in the CA1/CA2/ CA3 and DG regions in brain sections from FAβ- treated rats. The quantification of GFAP+ cells, indicated that 2-fold more GFAP positive cells were found in the whole hippocampus tissues of Aβ rats compared to control rats, precisely 2.1-fold more in CA1/CA2 and CA3 and 1.7-fold more in DG regions.



Figure 10. Quantification of astrocytes and microglial cells in the hippocampal areas. Brain sections from rats injected with FA $\beta$  1-42 or PBS were analyzed 14 days post injection. (A) Representative immunohistochemical staining (DAPI in blue and GFAP in green) in the DG area (40x magnification). (B) Histograms showing the number of GFAP + cells per mm2 quantified in the total hippocampus and (C) in each area of the hippocampus (CA1 / CA2, CA3 and DG). The quantification of GFAP + and IBA1 + cells was analyzed in 4-5 sections per animal (n = 5 for each group). In rats injected with FA $\beta$ 1-42, the number of GFAP+ cells and microglial cells increased in all areas of the hippocampus. Statistical comparisons between both groups were analyzed using Student's t-test. \* P ≤ 0.05, \*\* P ≤ 0.01, \*\*\* P ≤ 0.001.

# I.7. Analysis of circulating miRNA expression in the APP/PS1delE9 transgenic model of AD

Next, we sought to evaluate whether the alteration profile of circulating microARNs detected in the rat animal model generated by hippocampal infusion of FA $\beta$  could also be observed in the APP/PS1delE9 transgenic mice expressing two constitutive mutant forms of APP and PSEN1. APP/PS1delE9 mice model is one of the most commonly used transgenic animal model of AD. These transgenic mice develop A $\beta$  deposits at 6-months of age, with abundant plaques in the hippocampus and cortex at 9 months (Jankowsky et al., 2004). Plaques continue to increase up to around 12 months of age (Garcia-Alloza et al., 2006). We evaluated the expression pattern of the 9 miRNAs mentioned above from serum samples prepared from blood collected from APP/PS1delE9 transgenic mice of 4-and 15-months of age.

The results obtained indicated that the amount of miRNAs detected in the serum samples of APP / PS1delE9 mice of 4 months of age, were not significantly different from those found in control group (littermate mice). By contrast, in serum samples from 15-months old mice, 4 over 9 miRNAs were found significantly downregulated in the APP/PS1delE9 mice (Figure 11). Interestingly, 2 of these 4 deregulated miRNAs (miR-29a-3p and miR-29c-3p) were also down-regulated in rats injected with FAβ in the hippocampus.



Figure 11. Relative expression of circulating miR-125b-5p, miR-29a, miR-29c and miR-191-5p in the serum of APP/PS1delE9 transgenic animal model of AD. Serum samples from transgenic animals were extracted at 15 months of age and selected miRNAs were quantified by qRT-PCR. (A) miR-125b-5p, (B) miR-29a-3p, (C) miR-29c-3p, (D) miR-191-5p. Data are represented as mean  $\pm$  SEM of 5 samples performed in triplicate. Statistical comparisons between the transgenic and control group were performed using the Mann-Whitney test. \* p  $\leq 0.05$ , \*\* p  $\leq 0.01$ , \*\*\* p  $\leq 0.001$ 

# **I.8.** Intrahippocampal injection of FAβ 1-42 leads to an increased expression of miR-146a in CSF

We were intrigued by the fact that the kinetic of miR-146a-5p expression was not significantly altered in serum sample of A $\beta$ -injected rats at an early time point such as on day 7 post- injection and the down regulation peak detected on day 14 in contrast to other miRNAs (Figure 8). Therefore, we decided to focus our following experiments on the investigation of biological role of miR-146a-5p in our rat animal model. First because this miRNA was not found to be deregulated in APP/PS1 transgenic mice and second because

this miRNA is reported to be a key regulator of innate immune (Mattsson et al., 2012) and inflammatory responses in astrocytes (Angelucci et al., 2019, Y. Y. Li, Cui, Dua, et al., 2011; Lukiw et al., 2008, (Bartel, 2004; Johanson et al., 2014) and microglia. Regarding the negative impact of inflammation in AD development, better knowledge of mechanism of action of an inflammamiR as miR-146a-5p is of interest for potential therapeutic translation.

First, we evaluated the expression pattern of miR-146a-5p in CSF samples collected 14 days after A $\beta$  infusion into rat brains. Our results indicated that, in contrast to serum samples in which its expression was found to be significantly down-regulated (Figure 5; p = 0.022), the amount of miR-146a-5p in the CSF samples from FA $\beta$ -treated group was significantly up-regulated (p= 0.004) compared to those obtained from the control group (Figure 12).



Figure 12. Relative expression of circulating miR-146a-5p in CSF of rats infused with

**FAB 1-42**. CSF samples from rats infused with FAB or PBS solutions were collected at 14 169

days post-injection. miR-146-5p amounts was quantified by qRT-PCR. Data are represented as mean  $\pm$  SEM of n = 7 samples performed in triplicate. Statistical comparisons between A $\beta$ -infused and control group were performed using the Mann-Whitney test \* P  $\leq 0.05$ , \*\* p  $\leq 0.01$ , \*\*\* P  $\leq 0.00.1$ .

Altogether, our data indicate that there is a discrepancy between the presence of miR-146a in serum versus CSF samples.

### II. Mechanistic study of miR-146a-5p in primary astrocytes model of AD

# **II.1.** The upregulation of miR-146a is dependent on the state of aggregation and the concentration of A $\beta$ 1-42 in astrocyte cell culture.

Next, we evaluated the biological effects of A $\beta$  1-42 in primary astrocytes cell culture to recapitulate, at least partially, an AD-like environment. Astrocytes are considered to promote the first line of neuroinflammation response by regulating the expression of key mediators of innate and adaptive immune responses in the CNS (Johanson et al., 2014). We performed primary astrocytes cell cultures (Figure 13A) to evaluate the impact generated with the treatment of A $\beta$  1-42 peptides There are controversial results in the literature concerning the impact of A $\beta$  1-42 peptide aggregation states on the induction of neuroinflammation in AD. Therefore, we compared biological effects of 2 well known aggregation state of A $\beta$  1-42 peptides: oligomeric (OA $\beta$ ) and fibrillary (FA $\beta$ ) forms. The oligomeric form of A $\beta$  1-42 peptides was generated by incubating peptides for a short period of time, e.g., 24 hours, at 4°C, whereas the fibrillar form of the A $\beta$  1-42 peptides was generated by incubating peptides for a longer incubation period, e.g., 4 days, at 37 °C.

First, we evaluated the relative toxicity index of OA $\beta$  and FA $\beta$  made with several concentrations of A $\beta$  1-42 peptides on the viability of primary astrocytes cultured for 3 days. As shown in Figure 13B and 13C, none of concentrations evaluated in this study were toxic to cells.



Figure 13. Incubation of primary astrocytes cell culture with OA $\beta$  or FA $\beta$  made at the indicated concentration of A $\beta$  does not lead to any cell toxicity. (A) Representative bright-field image of primary astrocytes culture (40x magnification). Primary Astrocyte cell culture were obtained from neocortex of new-born animals. (B) Alamar Blue cell viability assay of primary Astrocyte cell culture treated with FA $\beta$  or (C) OA $\beta$  at 0.5, 1 and 2  $\mu$ M. The fluorescence value from the untreated cells (NT) was set up as the arbitral value of 100%. Next, we monitored the expression of miR-146a-5p in these cells after treatment with OA $\beta$  or FA $\beta$ . The qPCR results revealed that treatment of cells with OA $\beta$  for 3 days increased the expression of miR-146a at all concentrations evaluated (Fig. 14A). Maximum induction of miR-146a expression was detected when 1  $\mu$ M OA $\beta$  was used. The treatment of cells with FA $\beta$  for 3 days also resulted in upregulation of miR-146a-5p with a maximum induction obtained with 2  $\mu$ M of FA $\beta$  (Figure 14B). Then, we sought to increase the incubation time of FA $\beta$  to see if this could further enhance the expression of miR-146a. Astrocytes were treated 2 times with 1 $\mu$ M of FA $\beta$  for 3.5 days (7 days in total) before monitoring miR-146a expression. As shown in Figure 14C, miR-146a-5p expression was found to be significantly upregulated after this incubation time compared to that obtained in control cells (7 days). However, the induction level was not statistically different from the fold change value detected in cells treated once for 3 days only (Figure 14C *versus* B).



Figure 14. miR-146a-5p is up-regulated in OA $\beta$  and FA $\beta$ -treated primary astrocytes. Cells were treated with the OA $\beta$  or FA $\beta$  made at 0.5, 1 and 2  $\mu$ M for 3 days. (A) Relative expression of miR-146a-5p in primary astrocytes treated with OA $\beta$  or (B)

FA $\beta$  during 3 days at different concentrations. (C) Relative expression of miRNA 146a-5p in primary astrocyte culture treated with FA $\beta$  for 7 days at 1  $\mu$ M. The expression of miR-146a-5p was evaluated by quantitative real-time qRT-PCR. Small nuclear RNA U6 (RNU6) expression was used for normalization. Data are represented as the mean  $\pm$  SEM performed in triplicate. Statistical comparisons between OA $\beta$  or FA $\beta$  treated cells or DMSO treated cells were made with the student's t-test. \* p $\leq$  0.05, \*\* p $\leq$  0.01, \*\*\*p $\leq$ 0.001.

# II.2. OAβ induces miR-146a expression through the NF-κB cell signaling pathway

The expression of miRNA-146a has been reported to be upregulated in several CNS cells in response to TNF- $\alpha$ , IL-1 $\beta$  or LPS through activation of NF- $\kappa$ B cell signaling pathway. Therefore, we evaluated whether OA $\beta$  and FA $\beta$  treatments might be sufficient to induce the expression of miRNA-146a in primary astrocytes using the same cell signaling pathway. Cells were treated with OA $\beta$  or FA $\beta$  in presence or absence of the well-known pharmacological inhibitor BMS-345541 of NF- $\kappa$ B pathway for 3 days. As positive control, cells were treated with LPS (Johanson et al., 2014).

Results in the Figure 15 demonstrated, that treatment of primary astrocytes with 1  $\mu$ M OA $\beta$  induced the expression of miR-146a-5p by 3.33-fold compared to control cells. This expression can be inhibited by pre-treatment with 1  $\mu$ M of BMS-345541 inhibitor before incubation with OA $\beta$ . The expression level of miR-146a dropped-down significantly and reached the basal expression level detected in non-treated cells. As expected, LPS treatment of primary astrocytes significantly increased miRNA-146a expression by 3.50-

fold, which could also be significantly reversed by treatment with the BMS-345541 inhibitor.

The same results were generated with FA $\beta$  (data not shown). Taken together, these results indicate that both OA $\beta$  and FA $\beta$  could increase the basal expression level of miR-146 through transcriptional regulation of the NF- $\kappa$ B pathway, as well as pro-inflammatory cytokines (YY Li, Cui, Dua, et al., 2011; Lukiw et al., 2008) or LPS, but to a lesser extent.



**Figure 15. miRNA-146a expression is dependent on the NF-κB pathway**. The effects of NF-κB inhibition on miR-146a expression were evaluated in OAβ-treated primary astrocytes culture. Primary astrocytes were stimulated with OAβ at 1µM and LPS at 100 ng/mL from Escherichia coli (Positive Control) with or without pre-incubation with IκB kinase inhibitors (BMS-345541) for 3 days. Data are represented as the mean ± SEM performed in triplicate. Statistical comparisons between OAβ treated cells or DMSO treated cells were made with the student's t-test. \*  $p \le 0.05$ , \*\*  $p \le 0.01$ , \*\*\* $p \le 0.001$ .

### **II.3.**ΟAβ or FAβ treatment did not induce any inflammatory cytokines expression

Based on the above results, we checked whether the expression level of pro-inflammatory cytokines produced in primary astrocyte cells could also be induced in response to OA $\beta$  and FA $\beta$  treatments. Surprisingly, as shown in Figure 16, none of the cytokines evaluated as IL-6, TNF- $\alpha$  and IL-1 $\beta$  were transcriptionally induced by FA $\beta$  or OA $\beta$  treatment for 3 days (Figure 16). Same results were obtained when the expression level of these cytokines was evaluated at the protein level by sandwich ELISA (Data not shown). Long term treatment of astrocyte cells with FA $\beta$  for 7 days did not change these outcomes (data not shown). A significant and high induction level of those cytokines (IL-6, TNF- $\alpha$ , and IL-1 $\beta$ ) were detected in cells upon treatment with LPS for 3 days, as expected (Fig. 16D, 16E, 16F).



Figure 16. Expression of mRNA of inflammatory markers in cell culture of primary astrocytes stimulated with OA $\beta$  or FA $\beta$ . Three days post-stimulation with OA $\beta$  and FA $\beta$ , the expression of inflammatory cytokines was evaluated by RT-qPCR. mRNA expression of pro- inflammatory markers (A) IL-6 (B) IL-1 $\beta$  (C) TNF- $\alpha$ . GAPDH expression was used for normalization. Astrocytes were also stimulated with LPS at 100 ng/ mL (positive control). Data are represented as mean  $\pm$  SEM of experiments made in triplicate. Statistical comparisons between groups were made using the student's t-test. \* P  $\leq$  0.05, \*\* P  $\leq$  0.01.

II.4.miRNA-146a induction more likely counteracts NF-κB signaling pathway in astrocyte cells through down-regulation of IRAK-1 and upregulation of IRAK-2 as a compensatory mechanism.

It was intriguing to find out that miR-146a expression was induced in OA $\beta$ - and FA $\beta$ treated cells through the activation of the NF- $\kappa$ B pathway but without inducing cytokines production. To assess the specificity of this result and to go further, we evaluated the expression of IRAK-1 and TRAF- 6, two transcriptional targets of miR-146a. These proteins are part of the NF- $\kappa$ B pathway (Figure 17A) (Edsbagge et al., 2017). We selected OA $\beta$  treatment to conduct this investigation. As shown in Figure 17B, the relative expression of IRAK-1 was significantly down regulated (1.5- fold) in OA $\beta$ -treated cells compared to control cells, and IRAK-2 was increased in OA $\beta$ -treated cells. Surprisingly, the relative expression of TRAF-6, a direct downstream effector of the IRAK- 1/2 complex, was found unchanged in OA $\beta$ -treated cells compared to control cells (Figure 17C).



Figure 17. The expression of miR-146a counteracts the activation of the NF- $\kappa$ B cellular pathway through the regulation of its IRAK-1, IRAK-2 and TRAF-6 targets. (A) Schematic representation of NF- $\kappa$ B signaling pathway (B) The relative levels of IRAK-1 mRNA (C) IRAK-2 (D) TRAF-6 in primary astrocytes incubated with OA $\beta$  at 1  $\mu$ M or cells treated with DMSO for 3 days were detected by qRT-PCR. GAPDH expression was used for normalization. Data are represented as the mean  $\pm$  SEM of experiments performed in triplicate. Statistical comparisons were made between OA $\beta$ -treated cells and DMSO-treated cells with Student's t-test. \* p  $\leq$  0.05, \*\* p  $\leq$  0.01, \*\*\* p  $\leq$  0.001.

The downregulation of IRAK-1 was found to be not significant and unaltered expression of TRAF- 6 was also detected in FA $\beta$ -treated cells (Figure 18). Furthermore, no upregulation of IRAK-2 was detected compared to OA $\beta$ -treatment. This different results between OA $\beta$ - to FA $\beta$ -treated cells on IRAK-2 regulation might be a direct consequence of the lower expression level of miR-146a-5p in FA $\beta$ -treated cells as compared to OA $\beta$ treated cells. Indeed, the level of induction of miR-146a in FA $\beta$ -treated cells was only 1.52fold versus 2.6-fold for OA $\beta$ -treated cells. Furthermore, it is worth noting that the level of IRAK-1 downregulation is also more pronounced in OA $\beta$ -treated cells than in FA $\beta$ -treated cells. Beyond this consideration, the level of TRAF-6 expression does not change in FA $\beta$ - or OA $\beta$ -treated cells compared to control cells. This could be corroborated with the absence of production of cytokines detected in primary astrocyte cells upon treatment with FA $\beta$  or OA $\beta$ .



Figure 18. IRAK-1, IRAK-2 and TRAF-6 expression in FA $\beta$ -treated astrocytes. (A) The relative levels of IRAK-1 (B) IRAK-2 (C) TRAF-6 mRNA in cultures of primary astrocytes incubated with FA $\beta$  at 2  $\mu$ M or cells treated with DMSO for 3 days were quantified by qRT-PCR. GAPDH expression was used for normalization. Data are represented as the mean  $\pm$  SEM of experiments performed in triplicate. Statistical comparisons were made between FA $\beta$ -treated cells and DMSO-treated cells with Student's t-test. \* P  $\leq$  0.05, \*\* P  $\leq$  0.01, \*\*\* P  $\leq$  0.001.

Based on these last results, it is tempting to speculate that upregulation of miR-146a by the NF- $\kappa$ B transcription factor activated in response to OA $\beta$  or FA $\beta$  might play a negative feedback loop of control of NF- $\kappa$ B signaling pathway by down-regulation of IRAK-1 and up-regulation of IRAK-2. As a consequence of this retro-control loop of NF- $\kappa$ B pathway,

an abrogation of the expression of pro-inflammatory cytokines as IL-6, TNF- $\alpha$ , and IL-1 $\beta$  occurred by keeping constant the expression level of TRAF-6. This, is in line with reports showing that miRNA expression can act as a negative feed-back regulator of the same signaling pathway used for its own induction, thereby preventing an overstimulation of the inflammatory response (Mattsson et al., 2012).

#### **II.5.**OAβ and FAβ treatments stimulate the chemokines production

Since the treatment of cells with either  $OA\beta$  or  $FA\beta$  was sufficient to induce transcriptional changes in IRAK-1 and 2, this prompted us to postulate if other mechanistic events or signaling pathways different than NF- $\kappa$ B signaling might be activated by those treatments. We focused on expression level of chemokine as CXCL1 as potential candidate. Chemokines are considered to play active role in pro-inflammatory response by recruiting immune cells of sites of injury. In AD, it is well established that astrocyte and microglia can be activated by Aβ to produce chemokines as MCP-1, MIP-1α, CCL4, IL-8 and CXCL-1 (M. Wang, Qin, & Tang, 2019). We evaluated the production of CXCL-1 by primary astrocytes following treatment with either  $OA\beta$  or  $FA\beta$  for 3 days at several concentrations. ELISA assay data shown in Figure 20, revealed a slight, but significant, dose- response of CXCL-1 production by astrocytes in response to increased concentration of OAβ. The maximum level of CXCL-1 production was detected in cells treated with 1 µM of OAβ that correlated well and again with the maximum induction of miR-146a expression detected at the same concentration. In contrast in FAB-treated cells, no significant production, different to control cells treated with DMSO, was detected. This later result indicated again that the overall effect of FA $\beta$  on the astrocyte cells is weaker than OA $\beta$ .


Figure 19. CXCL-1 secretion by OA $\beta$ -treated primary astrocytes. CXCL-1 expression was evaluated by ELISA assays on supernatants from primary astrocytes stimulated with (A) OA $\beta$  at 0.5, 1 and 2  $\mu$ M or (B) FA $\beta$  at 0.5, 1 and 2  $\mu$ M for 3 days. Data are represented as the mean  $\pm$  SEM of experiments made in triplicate. Statistical comparisons were made between OA $\beta$ -treated cells and DMSO-treated cells with Student's t-test. \* p  $\leq$  0.05, \*\* p  $\leq$  0.01, \*\*\* p  $\leq$  0.001.

# Chapter 4

# **Discussion and Conclusions**

#### 1. Discussion

#### **1.1.** General aspects of Alzheimer's disease

AD is the most common form of primary degenerative dementia, whose pathophysiology is multifactorial due to the interaction between the APP, TAU, PSEN1, PSEN2, APOE proteins, among others. This brain pathology is characterized mainly by the formation of amyloid plaques and neurofibrillary tangles. The majority of AD cases correspond to 60-80% of all dementia cases, and have been established as LOAD, which represents 95% of AD cases (Lecanu & Papadopoulos, 2013). This form of AD occurs between 60 and 65 years of age, and is driven by a complex interaction between genetic and environmental factors and ~ 70% of risk is believed to be attributed genetic factors, with the APOE gene being the most important genetic risk factor (Bagyinszky et al., 2014; Lane-Donovan & Herz, 2017). The complex interaction between genetic and environmental factors has hampered the identification of early biomarkers for LOAD cases (Mattsson et al., 2012). Currently, there is no therapeutic agent capable of curing or preventing AD (Angelucci et al., 2019) and at the same time the need to predict developing symptomatic AD (MCI or dementia) is increasing in asymptomatic individuals (Shaffer et al., 2013). Therefore, the search for biomarkers for diagnosis is essential and is currently an active field of research. MiRNAs are a subclass of small non-coding RNAs that play an important role in the regulation of post- transcriptional gene expression, binding to complementary sequences of target messenger RNA (mRNA) (Friedman et al., 2009), and thus repress the translation (Bartel, 2004). miRNAs are present in most body tissues, including brain tissue, CSF, or serum (Friedman et al., 2009). Under pathological conditions, a dysregulation of miRNAs is

generated. The miRNAs that are present in biofluids are called circulating miRNAs (Gilad et al., 2008), and could reflect the composition of the fluid in the extracellular space of the brain and serve as biomarkers of disease (Edsbagge et al., 2017). The miRNAs are stable enough in biological fluids, including serum, plasma and CSF. Furthermore, many of them directly target genes involved in the pathophysiology of AD (Keifer, Zheng, & Ambigapathy, 2015; M. Wang et al., 2019). The detection of miRNAs in biofluids is a relatively simple procedure (Kalogianni, Kalligosfyri, Kyriakou, & Christopoulos, 2018) and is considered a type of non-invasive diagnosis, it has been described that its detection is highly sensitive, for example when they are amplified by PCR (S. Kumar & Reddy, 2016). On the other hand, the current diagnosis of AD uses cumbersome and expensive methods such as structural magnetic resonance imaging (MRI) and molecular neuroimaging with positron emission tomography (PET) (Angelucci et al., 2019). The main objective of our research was to evaluate the microRNAs in serum (circulating microRNAs), using an animal model of AD, which consists of the injection of the AB 1-42 peptide. The search for microRNAs in serum corresponds to non- invasive procedures that could be extrapolated in humans. This model, unlike transgenic models, is favorable for studying the direct effect on the expression of microRNAs in a single- component; A $\beta$  1-42, in this pathology.

# **1.2.** Challenge for the detection of early diagnosis markers/ Importance of detecting early markers of AD

Since AD comprises an asymptomatic stage, the search for early biomarkers is crucial. Clinico- pathological studies support the notion that this pathology begins 10-20 years before a significant neuronal death and the subsequent appearance of any cognitive and behavioral symptoms (Bateman et al., 2012). Several animal models have been created for AD research, with transgenic animal models being the most popular. However, there are several drawbacks that have been observed. Indeed, an animal model of AD must imitate all the cognitive, behavioral and neuropathological characteristics of the pathology, i.e., it must recapitulate the phenotype of the disease with high fidelity. The models that are currently used are called partial models, because they mimic only some components of AD. However, transgenic animal models of AD have been created based on the genetic origins of familial AD or EOAD and have contributed significantly to the understanding of the molecular mechanisms involved in the pathology, but the use of these models does not represent the majority of AD cases (LOAD) (Lecanu & Papadopoulos, 2013). On the other hand, the different genetic background of these models constitutes a real issue (Kaushal, Wani, Anand, & Gill, 2013). Due to these drawbacks, relevant non-transgenic animal models have been developed to study the LOAD or AD sporadic form (Lecanu & Papadopoulos, 2013). They have one or more distinctive characteristics such as senile plaques similar to AD, NFTs, oxidative stress and cognitive impairment (Kaushal et al., 2013). Amongst them, one model consists of injecting AB peptide into the brain of rodents. Previous studies have shown that Aβ causes learning and memory deficits in treated animals, the formation of amyloid plaque, and the disruption of long-term potentiation and behavior (Faucher et al., 2015; Karthick et al., 2019; Kaushal et al., 2013). Furthermore, A $\beta$  is the first component to appear in the long preclinical stage of AD, and the aggregated form is believed to gradually lead to the development of AD pathology (Sadigh-Eteghad et al., 2015). These characteristics make it an interesting model for the search for early markers such as microRNAs.

#### **1.3.** Rationale of using Aβ 1-42 intrahippocampal injected rat model

Various investigations have shown that the  $A\beta$  peptide plays a central role in the appearance and AD progression (Findeis, 2007). A $\beta$  peptide is also produced in normal individuals, or in young brains but thanks to the balance between its production and its elimination, a constant level or a steady state is maintained (Shankar & Walsh, 2009). By contrast, in aging and pathological conditions such as AD, there is an imbalance between production and elimination (Harkany et al., 2000) leading to the accumulation of A $\beta$  in the brain and the formation of senile plaques upon the formation of aggregates (Qiu, Kivipelto, & von Strauss, 2009; Sadigh-Eteghad et al., 2015).

In the present study, we injected the  $A\beta$  1-42 peptide into the CA1 region of the hippocampus instead of injecting it in other brain regions such as the ventricles (Faucher et al., 2015) or the neocortex (Bolmont et al., 2007). We chose the hippocampus because it plays an important role in memory formation (Faucher et al., 2015; Karthick et al., 2019) and we performed the injection in the CA1 subregion, since it is one of the first hippocampal areas affected in AD (Masurkar, 2018). The choice of the form of A $\beta$  1- 42 peptide was a real issue in our study. Being prone to aggregation, A $\beta$  1-42 peptide can be found as oligomer or fibrillar forms. Although A $\beta$  aggregation states are known to play an important role in this pathology, it is not clear which is the most important in this process. Nevertheless, many reports have shown that A $\beta$  oligomers trigger synapse dysfunction in AD (Bao et al., 2012; Esparza et al., 2013; Ono, 2018). Furthermore, small and stable oligomers of A $\beta$  1-42 have been isolated from the brain, the plasma and CSF of AD patients and they have been correlated with the severity of neurodegeneration in AD (Dahlgren et al., 2002). Nevertheless, there are studies that indicate that A $\beta$  fibrillation or formation of mature fibrils (FA $\beta$ ) is the main agent of neuronal dysfunction in AD and can cause neuronal damage by acting directly on synapses and indirectly activating astrocytes and microglia (Hardy & Selkoe, 2002). Bilateral intrahippocampal injections of fibrillar form of A $\beta$  did result in a reduction in neuronal density, an increase in the intensity of the glial fibrillar acid protein and caused deficiencies in behavioral performance (Chacón, Barría, Soto, & Inestrosa, 2004; Y. He et al., 2012). Borbely et al., demonstrated that intrahippocampal administration of synthetic FA $\beta$  simultaneously decreases both the spatial learning capacity in MWM and the density of the dendritic column in the CA1 region of the rat hippocampus (Borbély et al., 2014). There is still debate as the reports published so far showed evidence that both A $\beta$  oligomers and fibrils can play the major role in inducing synaptic loss and causing AD-associated dementia (Dahlgren et al., 2002; Haass & Steiner, 2001; Klein, Krafft, & Finch, 2001).

Looking carefully at the literature on the preparation of these forms, we have found heterogeneous and even contradictory reports regarding the solubilization protocols and the preparation of A $\beta$  aggregation. Some authors only mentioned that the state of aggregation is carried out by incubating the A $\beta$  peptide for 7 days at 37°C without specifying whether the oligomeric or fibrillar forms were obtained with this preparation (J. Li et al., 2010). Other authors have described the oligomerization of A $\beta$  by incubation at 37°C for 3 days (Karthick et al., 2019). However, we noticed that the majority of publications use oligomerization protocols based on the protocol published by Dahlgren et al., 2002. They have indicated that the oligomerization state is carried out by incubating A $\beta$  at 4°C for 1 day, and the fibrils are performed at 37°C. Note that the incubation times varied from 1 day to 7 days. In our study, we adapted the preparation and aggregation of the A $\beta$  1-42 peptide based on the different protocols). We injected the fibrillar form of A $\beta$  1-42, which was obtained by incubation at 37°C for 7 days. We also performed a single injection of A $\beta$  1-42 and tested different

concentrations of 0.5, 1, and 2.5  $\mu$ g/ $\mu$ L.

#### **1.4.** Impacts on the memory, microRNA expression and mechanistic studies

We used MWM to assess the effect of  $A\beta$  injection into the hippocampus in rats on spatial learning and working memory, as it is well known that the memory impairment is a central symptom of AD. When performing MWM, it is crucial to have very powerful tools to analyze the acquisitions without any ambiguity. Our data was analyzed using a plugin called "RatsTrack", compatible with the imageJ software and developed in partnership with researchers from a Colombian university. This plugin was created due to the difficulty of using other commonly used software programs such as Ethovision or MouBeat. Unlike these, this plugin allows to automatically obtain the trajectory of the rat in the Morris test, without user's intervention. Our results showed a deterioration of learning and memory capacity in animals treated with A $\beta$  1-42. On day 1, the absence of significant differences between the injected and control groups with regard to the distance travelled or the latency escape (Figure 3A and 4A), indicates that all animals are similar for the beginning of the learning and memory tasks. During the subsequent days of evaluation, the results showed that rats treated with 0.5  $\mu$ g/ $\mu$ L and 1  $\mu$ g/ $\mu$ L of A $\beta$  presented a memory loss, evidenced by a greater distance and time (escape latency) required to find the platform, unlike the control group (Figure 3A and 4A). MWM was performed 14 days post- surgery based on reports showing that the deterioration is visibly significant at this time. Indeed, Karthick et al., have found an impact on learning memory 15 days post-injection (Karthick et al., 2019). Another study using AB oligomers revealed an impaired spatial learning memory on day 12 postinjection (Wong et al., 2016). When verified earlier, for example 8 days post-injection, no significant differences were observed between groups (Nell, Whitehead, & Cechetto, 2015).

The short period may not have been sufficient to affect spatial learning. In addition to the evaluation of cognitive impairment, we also evaluated the inflammatory processes caused by the injection of 2.5  $\mu$ g/ $\mu$ L of A $\beta$  1-42. We first perfor a histological staining using the Cresyl violet stain, commonly used for neuronal tissue evaluation as it binds to the acidic components of the neuronal cytoplasm reflecting the number of viable neurons (Kasza et al., 2017). No differences were found in neuronal viability between the treated group and the control group (Figure 9). However, we decided to complete our evaluation by immunofluorescence staining. We investigated A $\beta$  1-42-induced astrocyte reactivity in the hippocampus by staining for the GFAP marker on day 14 after injection (Verkhratsky et al., 2012). It has been shown that abnormal accumulation and shedding of A $\beta$  can lead to localized inflammation involving reactive astrocytes with increased GFAP expression (Kamphuis et al., 2012). This process called gliosis occurs after brain injury and is characteristic of neurodegenerative disorders such as AD (Verkhratsky et al., 2012). Immunofluorescence staining analysis revealed that animals injected with AB1-42 had a higher number of astrocytes in all regions of the hippocampus CA1 / CA2, CA3 and DG, suggesting an activated morphology in contrast to the control group animals with a lower number of astrocytes. Each area contained approximately twice the number of astrocytes, compared to the areas of the control animals (Figure 10A, 10B, 10C). Our data are in line with those of different studies showing an increase in astrocytes in a model of injection of fibrillar Aβ in the hippocampus of AD model rats (Chacón et al., 2004; Y. He et al., 2012; Scuderi et al., 2014).

The amounts of circulating microRNAs in serum samples were assessed in rats injected with  $1 \ \mu g/\mu L$  of A $\beta$  1-42, which had exhibited cognitive impairment. We evaluated a total of 9 microRNAs frequently expressed in AD patients and / or in transgenic animal models. Amongst them, miR-9a, miR-29a, miR-29c and miR-146a were deregulated in animals

injected with A $\beta$  1-42. Only miR- 146a was found to be statistically significant, while the other miRs were not statistically different, but had p-values close to statistical significance (Figure 7C). Based on this rationale, we hypothesized that increasing the concentration of A $\beta$  1-42 would result in a more significant deregulated expression of microRNAs. The concentration of A $\beta$  was increased 2.5 times more, i.e., 2.5 µg / µL. Moreover, previous studies have shown that to achieve adequate memory impairment in animals, these aggregated forms of A $\beta$  must be used in higher doses (Townsend, Shankar, Mehta, Walsh, & Selkoe, 2006). As expected, in rats injected with 2.5 µg/µL of A $\beta$ , a dysregulation of 3 circulating microRNAs (miR-146a, miR-29a, miR29c) was observed on 21 days post injection.

With this second step of evaluation, miR-146a, miR-29a, miR-29c and miR-9 were significantly down regulated. For miR-9, no statistic difference was seen between the experimental group and the control group (Figure 7). Knowing that microRNA acts as a temporary regulator of different biological processes, we evaluated the kinetics of microRNA expression on days 7, 14 and 21 (Figure 8). Our data show that there is a clear down-regulation tendency for all microRNAs in treated rats compared to controls. Concerning miR-9, the expression kinetics of the treated group was different in relation to the control group, but these differences were not statistically significant (Figure 8A). MiR-9 is known to regulate BACE1 expression; lowering miR-9 levels resulted in an increase of BACE1 expression of miR-9 in the CNS would promote amyloidogenic processing, leading to a pronounced A $\beta$  aggregation and its deposition in senile plaques (Miya Shaik et al., 2018). Comparative study of miR-9 levels in the serum of AD patients, with mild cognitive impairment and controls subjects has shown that the circulating levels of miR-9 in AD was

the lowest (Souza et al., 2020). Our results suggest that although A $\beta$  1-42 aggregates were passively introduced into the hippocampus region, their presence was sufficient to alter microRNAs expression resulting in miR-9 down-regulation.

The reduced amount of miR-9 has also been found in plasma from 3xTg-AD and APP / PS1 transgenic mice models (Garza-Manero et al., 2015; Hong et al., 2017). Different studies carried out with human samples have also shown a down-regulation of miR-9 in whole blood, in serum and CSF enriched with exosomes (Geekiyanage, Jicha, Nelson, & Chan, 2012; Kiko et al., 2014; Riancho et al., 2017). Recently, Souza et al. evaluated samples of women carrying the ApoE e4 allele and showed that miR-9 concentration is also altered in whole blood; supporting the hypothesis, that miR-9 may constitute an accessible biomarker for AD (Souza et al., 2020).

The members of the miR-29 family showed similar trend, there is a strong dysregulation in the A $\beta$ -injected group at 7 days compared to control group. At 14 and 21 days, the deregulation was maintained but the curve began to rise very slightly, suggesting a reverse phase (Figure 8C and 8D). The down regulation of miR-29a and miR-29c is consistent with many previous reports that have evaluated serum and / or plasma from AD patients (Geekiyanage & Chan, 2011; Geekiyanage et al., 2012; Kiko et al., 2014; P. Kumar et al., 2013; Wu et al., 2017). Interestingly, miR-29 a/b cluster has been reported to be correlated with BACE1/ $\beta$ - secretase expression (Hébert et al., 2008).Combined with the miR-9 data, it can be suggested that a passive introduction of A $\beta$  aggregates is sufficient to retro-control the level of microRNAs. Overall, our data are in the same line as those recently reported by Calvo-Flores et al., which suggest that that the injection of synthetic A $\beta$  1-42 peptide could have a transient effect (Calvo-Flores Guzmán et al., 2020).

Regarding the expression of miR-146a, it was down-regulated at all evaluated times.

However, the difference was not significant at 7 days post- injection in contrast to days 14 and 21 (Figure 8B). MicroRNA-146a has been shown to be characteristic of AD, as it participates in the inflammatory response and neuroinflammation (Lukiw et al., 2008).

To our knowledge, our study reports for the first time the expression of circulating microRNAs in an A $\beta$  1-42 injection model. Nevertheless, our results are consistent with different studies that evaluated the circulating expression profile of miR-146a in transgenic animal models or in humans. Garza-Manero et al., Have reported the same profile for miR-146a in the transgenic model 3xTg- AD from 14 to 15 months compared to young mice (Garza-Manero et al., 2015)

Studies carried out in humans have also shown that the circulating profile of miR-146a is decreased in AD (Kiko et al., 2014; Müller et al., 2014). It is interesting to note that miR-146a levels in the blood of MCI patients were also downregulated in MCI patients progressing to AD (Ansari et al., 2019).

Next, we compared the microRNA expression profile of the in vivo Aβ 1-42 injection model with the APPswe / PSEN1dE9 transgenic model, also known as APP / PS1. These mice recapitulate the early AD phenotype, and are characterized by an increased Aβ in the brain (Ryan et al., 2018). These animals express a human / mouse chimeric APP and a human presenilin-1 and have early synaptic dysfunction (Ahmad et al., 2017) and chronic Aβ deposition, neuroinflammation and cognitive impairment from 6 months (Jankowsky et al., 2004; Savonenko et al., 2005). We checked the expression of 9 microRNAs in serum obtained from 4 and 15-month-old animals. We did not find any significant changes in the expression of microRNAs in the serum of 4- month-old mice. In the sera of 15-month-old animals, there was a significant downregulation of miR-125b, miR- 191-5p, miR-29a and miR-29c but not miR-146a (Figure 11). Although this model and ours show expression of the miR-

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29 family in serum. Therefore, each animal model of AD, which represents specific components of the disease, reflects the dysregulation of certain microRNAs that are more closely related to these components. To conclude, each AD model has some advantages and limitations. They must be carefully selected according to our objective and must be compared with those obtained from human samples.

In this study, we also evaluated the expression of miR-146a in CSF obtained from rats injected with 2.5  $\mu$ g /  $\mu$ L of A $\beta$  1-42 at 14 days post injection. We found an upregulation of miR-146a in A $\beta$  1- 42 treated animals compared to the control group (Figure 12). This is in line with reports showing high levels of miR-146a expression in human CSF samples from AD patients (Alexandrov et al., 2012; Denk et al., 2015). Due to its direct and intimate relationship with brain tissue, CSF reflects neurophysiological changes in AD (Denk et al., 2015).

In the last part of the project, we conducted functional studies and used an in vitro AD model, generated by incubation of A $\beta$  at different concentrations in a primary astrocytes culture. The main objective was to investigate the role of miR-146a and its relationship with inflammation. Neuroinflammation is characterized by the accumulation of reactive astrocytes and activated microglia, and these intervene in the severity and progression of the disease by exacerbating the inflammatory response (Garwood, Pooler, Atherton, Hanger, & Noble, 2011). Moreover, the severity of glial activation is correlated with the degree of brain atrophy and cognitive impairment. We chose to use primary astrocytes because they are the most abundant cell type in the CNS (Giovannoni & Quintana, 2020) and they are important modulators of the innate and inflammatory immune response of the brain of AD patients (Bai et al., 2021; Bell & Zlokovic, 2009; Y. Zhao, Cui, & Lukiw, 2006). It is known that the inflammatory cascade promoted by astrocytes, results in the pathological accumulation of the Tau protein (Birch et al., 2014). These characteristics make them important players in

Aβ-induced inflammation. We also took the opportunity to assess the impact of oligomers (OAβ) and fibrils (FAβ) on astrocytes. We compared which of these forms would cause a greater dysregulation of miR-146a expression because it is not clear which of them is the most important in the pathogenesis of AD, especially in inflammation. We first checked that the Aβ concentrations used (0,5, 1 and 2  $\mu$ M) were not toxic for cell viability (Figure 13). Both OAβ and FAβ incubation induced upregulation of miR-146a compared to control cells (Figure 14A and 14B). These findings are consistent with previous studies in human astrocytes that showed up- regulation of miR-146a when incubated with Aβ. However, Aβ aggregation form was not specified in this study. Similarly, Li et al., demonstrated that miR-146a was positively regulated in human neuronal-glial (HNG), human astroglial (HAG) and human microglial (HMG) cells treated with Aβ 1-42 and TNF- $\alpha$  in compared to untreated controls (Y. Y. Li, Cui, Dua, et al., 2011). Similar results were obtained when human neuronal primary cell culture was treated with inflammatory molecules such as Aβ 1-42, IL-1β, and H<sub>2</sub>O<sub>2</sub> (Lukiw et al., 2008).

It is known that miR-146a expression is under the transcriptional control of NF- $\kappa$ B (Lukiw, 2020; Lukiw et al., 2008). When NF- $\kappa$ B pathway was inhibited by BMS-345541, the upregulation of miR- 146a expression was abolished corroborating the involvement of this pathway (Figure 15). To go further in the dissection of OA $\beta$  and FA $\beta$  impacts on astrocytes, we quantified the expression of inflammatory cytokines such as IL-6, IL-1 $\beta$ , and TNF- $\alpha$ , which are known to be increased following astrocytes activation and they aberrantly expressed in AD brain (Garwood et al., 2011; Sudduth, Schmitt, Nelson, & Wilcock, 2013; Tuppo & Arias, 2005) Surprisingly, we did not find expression of inflammatory cytokines (Figure 16), which are different from those previous findings.

To understand the absence of inflammatory cytokines expression, we analyzed the expression of miR-146a targets (Figure 17 and 18). The role of this microRNA in the regulation of inflammation has been shown to be associated with the regulation of Toll-like (TLR) and interleukin-1 receptors (ILRs) signaling (Cui et al., 2010; Granic, Dolga, Nijholt, van Dijk, & Eisel, 2009; Kawagoe et al., 2008; Reverchon et al., 2020; Taganov et al., 2006). Furthermore, it is known that miR-146a causes direct repression of interleukin-1 receptor associated kinase 1 (IRAK1), and Tumor necrosis factor receptor associated factor 6 (TRAF6).

Different reports made with human astrocytes showed that concurrent with the positive regulation of miR-146a in cells exposed to A $\beta$ , a decrease in IRAK-1 associated with a compensatory increase in the expression of Interleukin-1 receptor-associated kinase-like 2 (IRAK-2) were induced leading to a sustained inflammatory response (Cui et al., 2010; Kawagoe et al., 2008; Pogue et al., 2009). The decrease of IRAK-1 gene was also reported when HNG, HAG, HMG cells were stressed by A $\beta$  1-42 and TNF- $\alpha$  (Y. Y. Li, Cui, Dua, et al., 2011). These studies are consistent with our results as we found that in OA $\beta$ -treated cells, IRAK1 expression was downregulated whilst IRAK- 2 was upregulated (Figure 17). With FA $\beta$  treatment, IRAK1 tends as well to decrease whilst it was not statistically significant compared to control cells (Figure 18).

It is known that upon activation of TLR/ILR, a molecular cascade including IRAK-1/IRAK-2 and TRAF6 leads to phosphorylation and degradation of I $\kappa$ B $\alpha$  allowing the activation of NF- $\kappa$ B and its nuclear import (Taganov et al., 2006). The activation of NF- $\kappa$ B induces the transcription of many genes, including miRNA precursors, such as pri-miR-146a. Once primiR-146a is transferred to the cytoplasm and loaded into the RISC complex, mature miR-146a acts in a negative feedback loop by binding to IRAK1 and TRAF6 mRNAs (Rusca & Monticelli, 2011). These two targets are upstream of the NF- $\kappa$ B signaling pathway, and consequently abolish signal transduction of NF- $\kappa$ B pathway by reducing the production of IL-6, IL-8, IL-1 $\beta$ , and TNF- $\alpha$  (Y. Y. Li, Cui, Dua, et al., 2011; Taganov et al., 2006). At this stage, there is an attenuation of inflammation (mild inflammation). Therefore, the non-expression of cytokines in our experiments could reflect the negative feedback caused by miR-146a on IRAK1 and TRAF6 targets. In advanced AD, there is a chronic inflammation due to many factors that activate different receptors, which induce several signaling pathways. The activation of inflammation is not only triggered by OA $\beta$  or FA $\beta$  but also by others danger signals (herpes virus, bacteria for instance) leading to the production of many cytokines and chemokines. Under such a condition, the neuronal cells are overwhelmed, and miR- 146a upregulation failed to resolve the inflammation contributing to the cognitive impairment and AD progression (Boldin & Baltimore, 2012; Cui et al., 2010; Granic et al., 2009; Sen, 2011). These mechanisms are recapitulated in Figure 1.



Figure 1. Overview of the injection of ΟAβ and FAβ in the hippocampus of rats and the role of miR-146a in mild and chronic inflammation.

#### 2. Conclusion

AD affects millions of people in the world due to progressive and irreversible neuronal damage in the brain. This multifactorial disease is characterized by the deregulation of many keys regulators proteins. Despite extensive research, the etiology of the disease is still not fully understood. Many studies have brought experimental evidences that one of main cause of AD is closely associated with the aggregation of the A $\beta$  peptide that accumulate in the extracellular matrix of the brain and trigger a variety of biochemical pathways such as formation of NFTs, the interruption of synaptic connections, the activation of neuro-inflammatory processes and finally neuronal loss. Due to the fact that the pathology is initiated at least 20 years before these symptoms become clinically detectable, the identification of early diagnosis markers is essential and a challenge to improve the management of this disease. In this context, circulating microRNAs have been proposed as promising diagnostic agents due to their relatively easy detection in patients body fluid samples and also because some of the circulating microARNs are closely associated in the clinic with the early development of other disease types such as cancer for instance. In recent years, several animal models have been developed to better understand the molecular events that govern the etiology of this disease and to identify circulating biomarkers. The most widely used AD animal models are those derived from transgenic animal models harboring targeted mutations in APP, PSEN1/2, APOE and Tau. Although they are relevant to recapitulate the main molecular characteristic of the AD development, these genetic forms of disease represent only 5% of AD cases. Therefore, other animal models of AD are required and notably those enabling to develop clinical symptoms of this disease in the adult stage that represent 95% of AD form. In this work,

we developed an animal model of AD by intracranial infusion of aggregated form (fibrillar amyloid beta) of peptide A $\beta$  1- 42 (FA $\beta$ ) into the hippocampus of wild type adult rats.

We demonstrate that increasing the amount of FA $\beta$  infused into the brain also increased the amount of deregulated circulating miRNAs in blood samples from animals that correlated with cognitive impairments of the animal such as loss of spatial and reference memory.

We established the kinetic of expression of 3 selected circulating miRNAs (miR-29a, miR-29c, miR-146a) and demonstrated that one of them, the miR-146a, exhibits a distinct expression pattern when compared to other miRNAs. Importantly, some of those miRNAs were also found to be deregulated in the APP/PS1dE9 animal model, indicating that the miRNAs pattern detected in our AD animal model is more likely to be associated with the pathogenicity of FA $\beta$ /OA $\beta$  accumulation in the brain and therefore, could represent early diagnosis markers of this pathology. We evaluated a possible defect in neuronal viability in the hippocampal regions by Cresyl violet staining and demonstrated that although none abnormal coloration was detected, GFAP immunohistochemistry analysis revealed the presence of astrogliosis. Finally, we examine a possible correlation link between astrogliosis and deregulated expression of miRNA-146a in serum and CSF samples as well as in primary rat astrocyte culture. We demonstrated that treatment of astrocytes with either OA $\beta$  or FA $\beta$  was sufficient to induce upregulation of miR-146a in astrocytes, which in turn downregulated the expression of IRAK-1, a known molecular target of miR- 146a involved in the initiation of NF-xB pathway signaling. However, this event was found insufficient to down regulate the expression of TRAF-6, a key effector of this cell signaling pathway. To finally assess whether NF- $\kappa$ B signaling was activated in these cells, we evaluated expression of pro-inflammatory cytokines at the transcriptional and translational levels. No changes in the expression of IL-6, TNF- $\alpha$ , IL-1 $\beta$  were detected, although a slight but significant change in the expression of CXCL1 was detected.

Based on these data and those reported in the literature, we assume that miR-146a upregulation in astrocyte cells is more likely to play an anti-inflammatory role through negative feedback of the NF- $\kappa$ B pathway. Taken together, our data indicated that miR-146a could be seen as a possible early- diagnostic circulating biomarker correlated with OA $\beta$  / FA $\beta$  accumulation in the brain, and may play an anti-inflammatory role in AD by counteracting initiation of the NF- $\kappa$ B signaling pathway.

## **3.** Supplementary Data



Figure S1. Effects of FA $\beta$  1-42 injection on memory and learning, evaluated by the Morris Water Maze (MWM) test. The distance performed by rats injected with FA $\beta$  made with 0.5 and 1 µg/µL of A $\beta$ 1-42 to find the platform was evaluated, at day 14 post-injection. Distance (A) in the quadrant NW (B) in the quadrant NE, (C) in the quadrant SW (D), in the target quadrant SE. Control group (n=10); group injected with FA $\beta$  made with 0.5 µg/µL A $\beta$  1-42 (n=11); group injected with FA $\beta$  made with 1 µg/µL A $\beta$  1-42 (n=13). Data are represented as mean ± SEM. Statistical comparisons were performed using Kruskal-Wallis. \*p≤0.05, \*\*p≤0.01, \*\*\*p≤0.001.



Figure S2. Relative expression of circulating miR-9a-5p, miR-29a-3p, miR-146a-5p and miR-29c-3p in serum of APP/PS1delE9 transgenic animal model of AD. Serum samples from transgenic animals at 4 months of age were extracted and selected miRNA were quantified by qRT-PCR. (A) miR-9a-5p, (B) miR-29a-3p, (C) miR-146a-5p, (D) miR-29c-3p. Data are represented as mean  $\pm$  SEM of 5 samples performed in triplicate. Statistical comparisons between the transgenic and control group were performed using the Mann-Whitney test. \*  $p \le 0.05$ , \*\*  $p \le 0.01$ , \*\*\*  $p \le 0.001$ 

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# Ruth Elizabeth AQUINO ORDINOLA Analyse de l'expression des microARNs circulants dans un modèle animal de la maladied'Alzheimer

### Résumé :

Parmi les maladies neurodégénératives, la maladie d'Alzheimer (MA) est la forme la plus courante de démencesénile caractérisée, dans sa forme typique, par une perte de mémoire immédiate et d'autres capacités cognitives liés au déclin graduel de la viabilité des cellules nerveuses et de l'activité de différentes régions ducerveau. La maladie est également associée à l'accumulation anormale de bêta-amyloïde (BA) et de la protéine Tau dans le cerveau. Le principal problème de la maladie d'Alzheimer est sa détection très tardive qui laisse très peu de place aux stratégies thérapeutiques. Une meilleure compréhension des mécanismes moléculaires de cette pathologie dans des modèles animaux est essentiel pour identifier des biomarqueurs diagnostiques beaucoup plus fiables et pour concevoir des thérapies efficaces. L'analyse des microARNs circulants a ouvertun champ d'exploration pour l'identification de biomarqueurs liés au dérèglement de diverses pathologies. Ici, nous avons évalué l'expression des microARN circulants (miARNs) dans un modèle de pathologie d'Alzheimerinduit par infusion dans les 2 hémisphères d'hippocampe de rats des formes agrégées du peptide BA 1-42. Nos résultats révèlent que la présence de ce peptide est suffisante pour déclencher une perte de l'activité cognitive des rats, une astrogliose et le dérèglement de l'expression de 3 miARNs circulants (miARN-29a, - 29c, et -146a). Nous montrons la cinétique d'expression de ces miARNs et rapportons des différences d'expression notamment du miARN-146a. Nous avons finalement concentré nos études sur ce miARN et avons étudié son rôle biologique dans des cultures primaires astrocytes de rat. utilisé comme modèle in vitro de la maladie d'Alzheimer. Nous avons observé que bien que ce miARN-146a est capable d'interagir avec leurs gènes cibles transcriptomiques tels que IRAK 1/2 et TRAF-6, le traitement des cellules avec le peptide ßA 1-42 sous sa forme oligomérique ou fibrillaire ne conduit pas à une réponse inflammatoire. De manière générale nous rapportons pour la première fois le dérèglement de l'expression de microARNs circulants qui peuvent être directement corrélés à la présence de forme agrégée de BA 1-42, un composant essentiel de l'aggravation chronique de cette pathologie. Nous avons également apporté des données mécanistiques sur le rôle du miARNA-146a dans cette pathologie. Ces résultats prometteurs méritent d'être exploités dans des études à longterme notamment pour évaluer leur potentiel application dans le diagnostic clinique de la maladie Alzheimer.

Mots clés : miARNs, maladie d'Alzheimer, βA 1-42, biomarqueurs, diagnostique

# Analysis of the expression of circulating microRNAs in an animal model of Alzheimer's disease

#### Abstract:

Among neurodegenerative diseases, Alzheimer's disease (AD) is the most common form of senile dementia characterized, in its typical form, by immediate memory loss and other cognitive abilities associated with gradual decline in the viability of nerve cells and the activity of different regions of the brain. The disease is also associated with the abnormal accumulation of amyloid-beta (AB) and Tau protein in the brain. The mainproblem with Alzheimer's disease is its very late detection, which leaves very little room for therapeutic strategies. A better understanding of the molecular mechanisms of this pathology in animal models is essential to identify much more reliable diagnostic biomarkers and to design effective therapies. The analysis of circulating microRNAs has opened a field of exploration for the identification of biomarkers linked to the deregulation of various pathologies. Here, we evaluated the expression of circulating microRNAs (miRNAs) ina model of Alzheimer's pathology induced by infusion in the 2 hemispheres of the rat hippocampus of the aggregated forms of the A
 A
 1-42 peptide. Our results reveal that the presence of this peptide is sufficient to trigger a loss of cognitive activity in rats, astrogliosis and the disruption of the expression of 3 circulating miRNAs (miRNA-29a, -29c, and -146a). We show the kinetics of expression of these miRNAs and report differences in expression of miRNA-146a in particular. Finally, we focused our studies on this miRNA and studied its biological role in primary rat astrocyte cultures, used as an in vitro model of Alzheimer's disease. We observed that although this miRNA-146a is able to interact with their transcriptomic target genes such as IRAK1/2 and TRAF-6, the treatment of cells with the Aβ 1-42 peptide in its oligomeric or fibrillar form does not lead an inflammatory response. In general, we report for the first time the deregulation of the expression of circulating microRNAs which can be directly correlated with the presence of aggregated form of A $\beta$  1-42, an essential component of the chronic worsening of this pathology. We have also provided mechanistic data on the role of miARNA-146 in this pathology. These promising results deserve to be used in long-term studies, inparticular to assess their potential application in the clinical diagnosis of Alzheimer's disease.

**Keywords:** *miRNAs, Alzheimer's disease, Aβ 1-42, biomarkers, diagnosis* 

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#### Article



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#### 1 Circulating microRNAs in a rat model of Alzheimer disease produced by 2 intrahippocampal inoculation of Aβ<sub>1-42</sub> peptide 3 Ruth Aquino<sup>1,5</sup>, Vidian de Concini<sup>3</sup>, Marc Dhenain<sup>4</sup>, Suzanne Lam<sup>4</sup>, Arnaud Menuet<sup>2,3</sup>, Laura Baquedano<sup>5</sup>, Manuel 4 G Forero<sup>6</sup>, David Gosset<sup>1</sup>, Patrick Baril<sup>1,2</sup>, Chantal Pichon<sup>\*1,2</sup>. 5 <sup>1</sup> Center of Molecular Biophysics, UPR 4301 CNRS, Orléans, France 6 2. Faculty of Science and Techniques, University of Orléans, France 7 Experimental and Molecular Immunology and Neurogenetic, UMR7355 CNRS, Orléans, France 8 4. Université Paris-Saclay, CEA, CNRS, Laboratoire des Maladies Neurodégénératives, 18 Route du Pano 9 rama, F-92265 Fontenay-aux-Roses, France. 10 5. Faculty of Science and Philosophy, Universidad Peruana Cayetano Heredia, Lima, Peru 11 6. Semillero Lún, Grupo D+Tec, Faculty of Engineering, Universidad de Ibagué, Ibagué, Tolima, Colombia 12 \* Correspondence: Correspondence: chantal.pichon@cnrs.fr, Tel: +33 238 255 595 13 Abstract: Circulating microRNAs aroused a lot of interest as reliable diagnostic biomarkers of Alz-14 heimer's disease (AD). This study aims to identify dysregulated serum circulating microRNAs (miR-15 NAs) in a rat model of AD generated by intra-hippocampal inoculation of aggregated A $\beta_{1.42}$ pep-16 tides. In addition to the cognitive impairments and astrogliosis, the presence of aggregated A $\beta_{1-42}$ 17 peptides led to a downregulation of serum circulating miR-146a-5p, -29a-3p, -29c-3p, -125b-5p and-18 191-5p. Those miRNAs except miR-146a were as well dysregulated in amyloid-bearing 19 APPswe/PS1dE9 transgenic mice. Unlike in the serum, miR-146a was increased in the cerebrospinal-20 fluid of A<sub>β1-42</sub>-inoculated rats. A functional study performed on primary astrocytes revealed that 21 cell treatment with aggregated forms of A $\beta$ led to miR-146a upregulation via NF $\kappa$ B signaling path-22 way which in turn downregulated the expression of IRAK-1 but was inefficient to downregulate the 23 expression of TRAF-6 key effector. Astonishingly, cell treatment with aggregated forms of Aβ did 24 not modulate IL-1 $\beta$ , IL-6 and TNF- $\alpha$ expression but increased CXCL1 expression. Overall, we show 25

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## 1. Introduction

tion in the brain.

Alzheimer's disease (AD) is a complex and multifactorial pathology that affects mil-34 lions of people around the world [1]. The appearance of amyloid plaques and the for-35 mation of neurofibrillary tangles (NFTs) are two representative features of AD, responsi-36 ble for the gradual deterioration of cognitive functions such as loss of memory, language 37 and thinking ability. Amyloid plaques are deposits of amyloid-beta (A $\beta$ ) peptide that ac-38 cumulate in the extracellular matrix between nerve cells [2]. The A $\beta$  peptides arise from 39 the cleavage of the amyloid precursor protein (APP). Amongst the different A $\beta$  species 40 generated,  $A\beta_{1-42}$  peptides are the most hydrophobic and fibrillogenic, and are the main 41 species deposited in the brain [3]. Neurofibrillary tangles are hyperphosphorylated in-42 traneuronal accumulations of tau protein [4]. 43

for the first time that the deregulation of specific serum circulating microRNAs can be directly cor-

related with the presence of aggregated form of A $\beta_{142}$ . We provided mechanistic data on the role of

miR-146a that support its anti-inflammatory role operating through a negative feedback loop of

NFκB pathway. These results give new insights in the early events occurring during Aβ accumula-

**Keywords:** MicroRNAs; miR-146a; Alzheimer's disease; A $\beta_{1-42}$  peptide; biomarkers; diagnosis

One of the main issues of AD is the existence of a long preclinical stage. This pathol-44 ogy begins between 10-20 years before significant neuronal death and before the cognitive 45 symptoms and behaviors are observed [5]. Although much is known about the disease, 46 the early triggers and pathogenesis of AD are not yet fully understood. It has been postulated that A $\beta$  peptides, especially A $\beta_{1-42}$  peptides, which are more prone to aggregation,47lated that A $\beta$  peptides, especially A $\beta_{1-42}$  peptides, which are more prone to aggregation,48initiate a cascade of pathological events that lead to aberrant phosphorylation of Tau, neuronal loss and eventual dementia [3,6]. The aggregation of A $\beta$  peptides can generate oligomers and fibrils forms that can both be toxic.50

Today, there is no therapeutic agent capable of curing or preventing AD [7]. There is52a real need to predict the development of symptomatic AD, for both mild cognitive impairment (MCI) and dementia in asymptomatic individuals [8]. The current AD diagnosis53uses cumbersome and expensive methods such as structural magnetic resonance imaging55(MRI) and molecular neuroimaging with positron emission tomography (PET) [7]. Therefore, the search for biomarkers for early diagnosis is still essential and is currently an active field of research.58

MicroRNAs (miRNAs) are a subclass of small noncoding RNAs that play important 59 roles in the regulation of post-transcriptional gene expression by binding to complemen-60 tary sequences of target messenger RNA (mRNA) inducing translation repression and/or 61 mRNA degradation [9,10]. Under different pathological conditions, there is a dysregula-62 tion of miRNAs present in most body tissues and fluids including brain tissue, cerebro-63 spinal fluid (CSF), or serum [10]. MicroRNAs present in biofluids are called circulating 64 microRNAs [11]. They are produced and secreted from cells present in tissues and organs 65 and so reflect the composition of the fluid in the extracellular space of the brain for in-66 stance. Circulating miRNA are now considered as disease biomarkers [12]. They are stable 67 in different biological fluids, relatively easy to detect using PCR-based methods and have 68 an expression pattern that reflect the disease stages of pathologies as AD [13-15]. All of 69 these characteristics position miRNAs as potential AD biomarkers. 70

Several animal models have been created for AD research, with transgenic animal 71 models being the most popular [16]. As any animal models, they must mimic all the cog-72 nitive, behavioral and neuropathological characteristics of the disease to recapitulate the 73 disease phenotype with high fidelity. Unfortunately, most of AD models currently used 74 are called partial models, as they mimic only some components of AD. Amyloid-bearing 75 transgenic animal models of AD have been created based on the genetic origins of familial 76 AD or early-onset AD (EOAD), detected in patients under 65 years of age that however 77 corresponds only to 5% of AD patients. These models have contributed significantly to 78 better understand the molecular mechanisms involved in the pathology, but they do not 79 represent the majority of AD cases, called late-onset AD (LOAD), representing 95% of 80 cases [17-19]. In addition, the different genetic backgrounds of these models constitute a 81 real issue [20]. Due to these drawbacks, non-transgenic animal models have been devel-82 oped to study the LOAD form [19]. These represent one or more distinctive features such 83 as AD-like senile plaques, NFT, oxidative stress, and cognitive impairment [20]. Amongst 84 them, one model consists in inoculating A $\beta$  synthetic peptide into the brain of rodents. 85 Previous studies have shown that this procedure causes learning and memory deficits in 86 treated animals due to the formation of amyloid plaques, and the disruption of long-term 87 potentiation and behavior [20-22]. As for the transgenic model, this model is not perfect 88 but it partially gives insights on the early impact of  $A\beta$  peptides and of their aggregation. 89

Different studies have investigated the expression of circulating miRNAs in blood of AD patients and/or in transgenic animal models of AD. Results indicated that the most representative deregulated miRNAs are miR-9a-5p, miR-146a-5p, miR-29a-3p, miR-29c-3p, miR-125b-5p, miR-181c-5p, miR-191-5p, -miR-106b-5p and miR-135a-5p [23-26]. Those miRNAs have been associated with different stages of progression of AD (for a review see [27]).

To our knowledge, no evaluation of circulating miRNA was performed in rodent 96 model generated by intrahippocampal inoculation of aggregated forms of the A $\beta_{1-42}$  peptide. Whilst such study could be interesting as it will permit to trace which miRNAs are 98 firstly dysregulated following the presence of aggregated A $\beta_{1-42}$  peptide inside the hippocampus. 100

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This work performed with a rat model generated by intrahippocampal injection of 101 aggregated A $\beta_{1.42}$  peptide aims to evaluate the relationships between the expression of 102 particular miRNA and the presence of aggregated Aß1-42. Morris Maze Water test was ap-103 plied to assess the cognitive deficit of the rat model. Then, we quantified the amount of 104 circulating miRNA in serum selected from a short list of the most relevant miRNAs found 105 in the AD literature. The same quantification was performed with the sera of amyloid-106 bearing APP<sub>swe</sub>/PS1<sub>dE9</sub> transgenic mice. We evaluated astroglyosis by GFAP staining of 107 brain tissues. Last, we focused our attention to miR-146a known to be involved in neu-108 roinflammation and conducted a functional in vitro study on rat primary astrocytes 109 treated with A $\beta_{1-42}$  peptide. We carried out different experiments to investigate the in-110 volvement of NFκB signaling pathway by investigating the impact of miR146a on expres-111 sion of NFkB regulator genes such Irak-1, Irak-2 and Traf6 as well as on induction of IL-1, 112 *IL-6, TNF-\alpha* and *Cxcl1* genes. 113

#### 2. Materials and Methods

### 2.1. Animals

Sprague-Dawley rats (aged 8-12 weeks) with a body weight of 200-380 g were ob-116 tained from the Bioterium of the Research and Development Laboratory located in the 117 Cayetano Heredia Peruvian University of Peru. Animals were maintained under con-118 trolled laboratory temperature (25  $\pm$  2 °C) and humidity (60%) conditions, with a con-119 trolled light cycle (12 hours light/12 hours' dark). Water and food were available ad libi-120 tum throughout the experiment. Ethics Committee of the Cayetano Heredia Peruvian Uni-121 versity (CIEA-102069) approved the animal handling and experimental procedures. The 122 animal care staff monitored the animal behaviors of rats daily to ensure that the animals 123 were safe and healthy. APP<sub>swe</sub>/PS1<sub>dE9</sub> transgenic mice and their littermate mice were bred 124 and hosted in the animal facility of Commissariat à l'Energie Atomique, Centre de Fon-125 tenay-aux-Roses; European Institutions (Agreement #B92-032-02). These mice express a 126 chimeric mouse/human APP with the Swedish mutation and a human presenilin-1 lack-127 ing exon 9. All experimental procedures were conducted in accordance with the European 128 Community Council Directive 2010/63/UE and approved by local ethics committees (CE-129 tEACEA DSV IdF N°44, France) and the French Ministry of Education and Research 130 (APAFIS#21333-2019062611099838v2). 131

#### 2.2. Preparation of amyloid $-\beta_{1-42}$ peptide for in vivo infusion

Amyloid- $\beta_{1-42}$  (A $\beta_{1-42}$ ) peptides were from Sigma–Aldrich (Sigma–Aldrich, France). 133 The dry powder was solubilized in DMSO, prepared at concentration of 2 mM, to generate 134 a stock solution of A $\beta_{1-42}$  peptides at concentration of 10 µg/µL in PBS. This stock solution 135 was stored at -20 °C until use. For production of aggregated A $\beta_{1-42}$  peptides, the working 136 solutions were diluted in PBS at final concentrations of 0.5, 1 and 2.5 µg/µL and incubated 137 for 1 week at 37 °C [28]. At the end of this incubation period, A $\beta$  solutions were directly 138 inoculated into the hippocampus of the rats as described below. 139

#### 2.3. Model of Alzheimer disease generated by intracranial infusion of AB

The general procedure to infuse the  $A\beta$  in the brains of rats derived from [28] with 141 some modifications. Briefly described, anesthetized rats were placed on a stereotaxic 142 frame (KOPF ® 900, David Kopf Instruments, CA, EEUU) to infuse 3 μL of Aβ or PBS 143 solutions into the two hemispheres of the hippocampus using a 10 µL syringe (Hamilton® 144 glass syringe 700 series RN, Hamilton, USA) connected to a 26-G needle (Hamilton®). The 145 precise procedure to inoculate  $A\beta$  and PBS solutions consisted in gradual infusion of so-146 lutions over a 6 min period followed by an additional 3 min period to ensure optimal 147 dispersion of solutions into the ventricles. The Bregma was used as reference. The coordi-148 nates to infuse the solutions were as follows: 2.6 mm lateral, 3.0 mm back, 3.0 mm deep 149 from the bregma corresponding to the CA1 regions of the hippocampus. After careful re-150 moving of the syringe over a final 5 minutes of time, the rats were returned to cages and 151 monitored every day until the time of experimentation. 152

#### 2.4. Morris Water Maze test

The Morris Water Maze test (MWM) was used to evaluate the spatial memory of 154 animals. The general procedure was from [29] with some modifications. A circular pool 155 was built (126 cm in diameter, 75 cm in high), filled with water at 21-22 °C and loaded 156 with a transparent plastic platform (10 cm in diameter) placed in a constant position. The 157 pool was divided with imaginary lines to delimitate four quadrants: Northeast (NE), 158 Northwest (NW), Southeast (SE), Southwest (SW). Animals were placed in all of quad-159 rants and their swimming trajectories to reach the platform were monitored using a 160 webcam connected to a computer. Videos were processed with a novel RatsTrack plug-in 161 (Under submission), designed for these experiments to accurately record distance, time 162 and velocity of animals in the pool. The MWM was performed 14 days after the infusion 163 of A $\beta$  or PBS solutions and was monitored using an arbitrarily fixed time period of 90 164 seconds for each trial. A reference memory protocol consisting in familiarization, acquisi-165 tion and memory sessions was set-up. The familiarization session procedure consisted in 166 placing rats in one quadrant of the pool and to allow the rats to find the platform over 4 167 trials. The acquisition session was performed the day after the familiarization session and 168 was lasted over four days. The objective of this session was to evaluate the spatial learning 169 of animals by placing rats in all of 4 quadrants of the pool and to evaluate their velocity 170 to reach the platform. A total of 8 trials per rat were recorded. Finally, the memory session 171 was performed on the sixth day. The objective of this trial if to record the reference 172 memory of rats by monitoring the swimming trajectories of rats placed into the pool but 173 without any platform. One trial per rat was used in this specific session. 174

#### 2.5. Body fluid collection and sampling

#### 2.5.1. Blood collection and serum separation

Blood samples were collected in BD Vacutainer® tubes coated with silica as coagula-177 tion activator according to procedures described by [30]. Cardiac punctures was per-178 formed to collect enough blood per animal at day 7 (n = 8), 14 (n = 8) and 21 (n = 8) post-179 injection of Aß peptides or PBS solutions by [31]. The samples were left at room tempera-180 ture for 40 min for the formation of blood clot and then centrifugated at  $1\ 900\ x\ g$  for 10 181 min at 4 °C to collect the serum. A second centrifugation at 16 000 x g for 10 min and 4 °C 182 was used to clarify the serum. Hemolyzed samples, inspected visually, were discarded 183 from the study. The clarified sera were stored at - 80 °C until used. 184

#### 2.5.2. Cerebrospinal fluid collection and preparation

CSF was collected 14 days after the inoculation of A $\beta$  solution from the cisterna 186 magna of the rats according to procedure described by [32]. The procedure to extract the 187 CSF consisted in using an infusion system equipped with a 25-gauge butterfly needle to 188 collect the CSF into the dura mater/atlanto-occipital membrane according to procedure 189 [33]. CSF liquids were loaded in a 0.5 mL eppendorf tube, stand for 1 hour at room tem-190 perature before to centrifuge the tubes at 1 000 x g for 5 min at 4 °C to remove cellular 191 debris. A second centrifugation at 16 000 x g for 10 min and 4 °C was used to clarify the 192 sample. The clarified CSF samples were stored at -80 °Cuntil use. 193

#### 2.6. Analysis of circulating microRNAs expression in animals

#### 2.6.1. Total RNA extraction from body fluids

The NucleoSpin® miRNA Plasma kit from Macherey-Nagel (Hoerdt, France) and the 196 miRNeasy Serum/Plasma Kit (Qiagen, Hilden, Germany) were used to extract respec-197 tively total RNAs from sera (300  $\mu$ L) and CSF (50  $\mu$ L) samples. In the latter, 5  $\mu$ g of a 198

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glycogen solution prepared at concentration of 0.1 µg/µL was added to each 50 µL of CSF 199 fraction to optimize the yield of miRNA recovery [34]. Exogenous spike-in miRs (cel-miR 200 39-3p, -54-3p and cel-miR 238-3p) were added to each sample to normalize the extraction 201 procedure of miRNA according to standardized protocol described by Vigneron et al. [30]. 202 Nucleotide's sequence of spike-in miRs were from miRNA database and were synthesized 203 by Eurogentec (Eurogentec, Liège, Belgium) as SDS-PAGE purified oligonucleotides. 204 These synthetic miR were resuspended in nuclease-free water at a fixed concentration of 205 200 amol/ $\mu$ L. Then 2.5  $\mu$ L per each 100  $\mu$ L of samples was added after the denaturation 206 step of the extraction procedure as recommended by the manufacturer's instructions. To-207 tal RNA fractions were quantified using a nanodrop spectrophotometer (Nanodrop 2000, 208 Thermo Scientific). Samples with an RNA integrity number (RIN) superior to 8 were con-209 sidered for the study. 210

#### 2.6.2. Reverse transcription reaction (RT)

Total RNAs were reverse transcribed by using the miScript II RT Kit (Qiagen) accord-212 ing to routine procedure described from [35-37]. Fifty ng of total RNAs prepared at con-213 centration of 10 ng/µl were polyadenylated by a poly (A) polymerase and then reverse 214 transcribed to cDNA using oligo-dT primers following recommendations from the man-215 ufacturer (Qiagen). The generated cDNAs were then stored at -20 °C until use. 216

#### 2.6.3. Real time quantitative RT-PCR (qRT-PCR)

qRT-PCR was performed with the miScript SYBR® Green PCR Kit (Qiagen) accord-218 ing to routine procedures described from [35-37]. A volume of 2.5 µL of cDNA corre-219 sponding to 50 ng of cDNA were loaded in a final volume of 10  $\mu$ L containing 5  $\mu$ L of 2X 220 QuantiTect SYBR Green PCR Master Mix, 1 µL of 10X miScript Universal Primer, 10x miS-221 cript Primer Assay and 0.5 µL of RNase-free water. All miR specific forward primers (miS-222 cript Primer Assays) were purchased from Qiagen and are listed in the Table 1 (Supple-223 mentary data). The quantification of PCR products was collected using the Light Cycler® 224 480 (Roche Diagnostics Corporation, Indianapolis, IN, USA). The relative miRNAs expres-225 sion was calculated according to Livak and Schmittgen method [38] and expressed as 2-226  $\Delta\Delta Ct$ . The mean of Ct from the 3 spike-in miRNA was used to normalize the data as de-227 scribed by Vigneron et al., and Faraldi et al., [30,39]. 228

#### 2.7. Immunofluorescence staining

Immunostaining was performed following the protocol described in [40] from 5 µm 230 paraffin sections of brain tissues. Sections were saturated (2h at room temperature in TBS 231 containing 0.2% triton, 0.5% FBS and 1% BSA), incubated with primary antibodies; anti-232 GFAP (Dako, Agilent, Santa Clara, USA, Z0334; 1: 500) at 4 °C overnight, washed and 233 incubated with a secondary anti-rabbit Alexa 488 antibody (Abcam, ab150077, 1: 1000). 234 The slides were stained with DAPI for 10 min, washed with PBS, mounted with Fluoro-235 mount-G (SouthernBiotech, Birmingham, England) and inspected visually using a ZEISS 236 AXIOVERT 200 M Apotome microscope (Zeiss, Oberkochen, Germany) connected to a 237 digital camera. Serial sections were analyzed at 20x magnification to reconstruct the whole 238 hippocampus volume of the brain using the ZEN2.1 software (Zeiss). Images were col-239 lected as serial Z stack series of from 18 optical slices. GFAP-positive cells were counted 240 manually from cornu ammonis (CA) 1/CA2, CA3 and the dentate gyrus (DG) using Image 241 J-Fiji software [41]. A total of 40 slides were analyzed, corresponding to treated group (n 242 = 5) and control group (n = 5). 243

2.8. Primary culture, A $\beta$ treatment, qRT-PCR and ELISA	244
2.8.1. Primary astrocytes preparation and culture	245

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Primary astrocyte cultures were prepared following the protocols described in 246 [42,43]. Six brains of new-born Sprague Dawley rats of 3 days of age were collected asep-247 tically before to isolate manually the cerebral hemispheres. After removing carefully, the 248 meninges, tissues were dissociated mechanically and rinsed with PBS. The cell suspension 249 was centrifuged at 1 000 x g for 5 min at 4 °C. Cell pellets were resuspended in DMEM 250 complete medium and seeded in 24-wells plate at density of 1.5 × 105 cells/cm2 until they 251 reach 80% of confluency. The medium was changed every 3-4 days. The cells were there-252 after maintained in tissue culture for approximatively 15 days. 253

# 2.8.2. Preparation of A $\beta_{1-42}$ peptide for cell culture

 $A\beta_{1-42}$  stock solution was prepared as described above and then diluted in PBS at the 255 final concentration of 100  $\mu$ M. The formation of aggregates of A $\beta_{1.42}$  was adapted from 256 procedures described in several publications [44-46]. Briefly, A $\beta$  was generated by incu-257 bation of the 100  $\mu$ M working A $\beta_{1-42}$  solution at 4 °C for 24 hours. 258

#### 2.8.3. Treatment of primary astrocytes with A $\beta$ , LPS, and BMS-345541

Confluent cells monolayers were washed 2 times with PBS and then incubated with 260 the A $\beta$  at final concentration of 5, 1 and 2  $\mu$ M for 3 to 7 days at 37 °C. In parallel, cells were 261 also treated with BMS-345541, a NF-κB inhibitor (Sigma-Aldrich, France) used at the final 262 concentration of 5  $\mu$ M. When specified, the cells were pre-incubated for 1 hour in tissue 263 culture with the BMS-345541 inhibitor before treatment with either the A $\beta$  or LPS solution 264 for 3 days as described in here [47,48]. 265

#### 2.8.4. Cell Viability Assay

Cell viability analysis was performed with the Alamar Blue™ HS reagent according 267 to manufacturer's instructions (Invitrogen, Carlsbad, CA, A50101). Briefly described, a 268 1/10 dilution of Alamar blue solution was added to each well of 24-wells plate for 2 h at 269 37 °C. Then 50  $\mu$ L of cell supernatant were monitored using a fluorescence microplate 270 reader set up at 560 and 605 nm as excitation and emission wavelength respectively. 271

### 2.8.5. miRNA and mRNA quantification from primary astrocytes culture

The procedure to quantify the relative miRNA expression from the primary astrocyte 273 culture was the same as described above expect that no miRNA spike-in were added to 274 the samples and that the relative expression of the small nuclear RNA 6 (U6) was used to 275 normalize the data as described before [35]. The procedure to quantify the relative mRNA 276 expression derived also from routine procedures described before [35-37]. Briefly de-277 scribed, total RNA was extracted from cells using the Trizol reagent (Invitrogen, Carlsbad, 278 CA) and reverse transcribed from 100 ng tRNA using the RevertAid RT Reverse Tran-279 scription Kit from ThermoFisher (Thermofisher Scientific, MA USA). Commercially avail-280 able primers (Qiagen) were used to monitor expression of IRAK1, TRAF6 and IRAK2. Spe-281 cific sequence primers to *IL-6*, *IL-1\beta* were designed in our laboratory. The sequences of 282 primers are listed in the Table 1 (Supplementary data). The relative expression of GAPDH 283 was used to normalize expression of mRNA transcripts [38]. 284

# 2.8.6. Sandwich enzyme-linked immunosorbent assay

A sandwich enzyme-linked immunosorbent assay (ELISA) system was used to quan-286 tify concentrations of IL-1 $\beta$ , IL-6, and CXCL1 from the culture medium of astrocytes, using 287 antibodies paired according to routine procedure described in [49]. 288

# 2.9. Statistical analysis

All data were expressed as the mean ± SEM. Statistical analyzes of the Morris test 290 were performed with the Stata 13.0 software and the non-parametric Kruskal Wallis test 291 was used to evaluate the difference between the groups. To analyze the relative expression 292

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of miRNAs and mRNA, the statistical software XLSTAT by Addinsoft was used and the non-parametric Mann-Whitney U test was used to compare the expression of miRNA between the groups. The t-student test was used to evaluate the difference in the relative expression of mRNA. All graphs were made using GraphPrism 8.0 software. In all cases Statistical significance was set at 95% confidence interval with p values set as  $* \le 0.05$ ,  $** \le 0.01$ . 293

#### 3. Results

299

# 3.1. Cognitive impairment in rats inoculated with 0.5 and 1 $\mu g/\mu L$ of $A\beta_{1.42}$ 300

The rat model of AD, induced by the intrahippocampal infusion of  $A\beta_{1-42}$  peptide was 301 first challenged using the classic Morris Water Maze test to evaluate the memory and behaviour performance of treated animals [29]. 303

For each rat, we monitored the distance travelled and the latency escape as variables 304 of cognitive performance using a videdo-based system called "RatsTrack" to automati-305 cally extract trajectories and time used by of rats to reach the platform on the maze . Dur-306 ing the acquisition session, no statistically significant difference was found at day 1 of 307 training session between all groups indicating that animals from both groups have similar 308 motor and visual abilities. In contrast, significant differences in path lengths were found 309 from day 2 to day 5 (Figure 1A). The evaluations of cognitive performance in the NW 310 guadrant showed that the A $\beta$ -treated rats used longer distances to find the platform over 311 these 4 days interval of time compared to PBS-treated rats (Figure S1A). When the rats 312 were placed in NE quadrant, significant differences were only observed at day 4 and day 313 5 (Figure S1B). For rats placed in the SW quadrant,  $A\beta$ -treated rats used longer distances 314 compared to the control group of animals but the differences were not statistically signif-315 icant (Figure S1C). In the SE quadrant, the path lengths of A $\beta$  treated-group were signifi-316 cantly different to those of the control group from day 2 to day 4 (Figure S1D). The escape 317 latency of each rat was evaluated in 4 quadrants. The groups of animals infused with A $\beta_1$ -318 42 showed a longer escape latency compared to the control group with a significant differ-319 ence at day 4 and day 5 (p < 0.05) (Figure 1B). 320



**Figure 1.** Effects of A $\beta_{1-42}$  injection on learning and memory, evaluated by the Morris Water Maze test. The distance and the escape latency performed by rats injected with A $\beta$  used at concentration of 0.5 and 1 µg/µL was evaluated at day 14 post-injection. (A) Total distance traveled during the 5 days of training. B) Total escape latency during the 5 days of training. Control group (n = 10); group of animal injected with 0.5 µg/µL A $\beta$  (n = 11); group of animal injected with 1 µg/µL A $\beta$  (n = 13). Data are represented as mean ± SEM. Statistical comparisons were performed using Kruskal-Wallis. \*p ≤ 0.05.

3.2. Intrahippocampal injection of  $A\beta_{1-42}$  leads to dysregulation of circulating microRNAs

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Our next objective was to evaluate whether the defective cognitive performance of 330 rats infused with A $\beta$  peptides might be correlated with dysregulation of circulating miR-331 NAs detected in serum of these animals collected at day 21, following the last day of the 332 MWM test. We quantified the expression of miR-146a-5p, miR-9a-5p, miR-29a-3p, miR-333 29c-3p selected from bibliography for their dysregulation in serum samples of animal 334 model of AD and/or AD patients [23-28]. 335

Results from qRT-PCR in Figure 2 indicated that amongst those microRNAs evalu-336 ated, only the amount of one miR, miR-146a-5p, was statistically significant different in 337 sera of A $\beta$ -treated animals compared to those from PBS-treated animals (p < 0.05). Never-338 theless, the amounts of miR-9a-5p, miR-29a-3p and miR-29c-3p tend to be lower in A $\beta$ -339 treated group compared to control group although the difference was not statistically sig-340 nificant albeit very close to a statistic p value of 0.05 (Figure 2). 341



Figure 2. Relative expression of circulating miR-146a-5p, miR-29a-3p, miR-9a-5p, miR-29c-3p in se-343 rum samples of rat infused with  $1 \mu g/\mu L$  of A $\beta_{1-42}$  peptides. Sera from rats infused with A $\beta$  (treated 344 group) or PBS (Control group) were collected 21 days post-treatment. MiRNA were extracted and 345 quantified by qRT-PCR. Data are represented as mean ± SEM of 7-8 samples evaluated in triplicate. 346 Statistical comparisons between  $A\beta_{1-42}$  and PBS groups were performed using the Mann-Whitney 347 test \*  $p \le 0.05$ . 348

# 3.3. Increasing amount of infused $A\beta_{1-42}$ peptide in hippocampus of rats enhanced the relative abundance of circulating miRNA in serum samples.

Then, we followed up our investigation by performing a second cohort of rats infused 351 intrahippocampally with 3  $\mu$ L of A $\beta$  made with 2.5  $\mu$ g/ $\mu$ L A $\beta$ <sub>1-42</sub> peptide. In this cohort, 352 we decided to assess the expression of 4 selected miR described above and, in addition, 353 miR-125b-5p, miR-181c-5p, miR-191-5p, -miR-106b-5p and miR-135a-5p. Data from qRT-354 PCR analysis made on serum samples collected at day 21 indicated that expression of miR-355 146a-5p, 29a-3p, miR-29c-3p, miR-125b-5p and miR-191-5p were significantly reduced in 356 the A $\beta$ -treated group of rats compared to the control group. By contrast, the relative abun-357 dance of miR-9 and miR-106b-5p did not change despite 2.5 times more amount of injected 358 A $\beta$  (Figure 3 *versus* Figure 2). 359

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**Figure 3.** Relative expression of circulating miR-146a-5p, miR-29a-3p, miR-9a-5p, miR-29c-3p, miR-125b, miR-191-5p in serum of rat infused with A $\beta$  at 2.5  $\mu$ g/ $\mu$ L. Serum samples were collected 21 days post-infusion. MicroRNAs were extracted and quantified by qRT-PCR. Data are represented as mean ± SEM of 7-8 samples evaluated in triplicate. Statistical comparisons between A $\beta$ <sub>1-42</sub> and PBS groups were performed using the Mann-Whitney test \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p ≤ 0.001. 365

# 3.4. Kinetics of miRNAs changes in rats after intrahippocampal injection of $A\beta_{1-42}$

Knowing the kinetics of expression of circulating miRNAs could be interesting data 367 to correlate their deregulation in serum samples with deposit and the biological consequence of A $\beta$  peptides in hippocampus of rat overtime. To this purpose, we collected serum samples from rats at several time points after inoculation of A $\beta$  prepared with A $\beta_{1.42}$  370 at 2.5 µg/µL. We focused our quantification on miR-9-5p, miR-29a-3p, miR-29c-3p and 371 miR-146a-5p as those miRs have been widely investigated in several other animal models 372 of AD [50]. 373

Figure 4 shows the kinetics of microRNAs detected in serum samples. For miR-146, 374 a statistically significant reduction of expression was detected at both, day 14 and day 21 375 (p = 0.022 and p = 0.027, respectively, Figure 4A) compared to that from control group. 376 The expression patterns of miR-29a-3p and miR-29c-3p were also found statistically re-377 duced at all evaluation times compared to control group although the most significant 378 difference was found at day 7 (p < 0.01; Figure 4B and 4D). The pattern of expression of 379 miR-9-5p tends to be lower as function of time in A $\beta$ -treated group compared to control 380 group but not statistically different (Figure 4C). 381

Of note, for all kinetics drawn for this analysis, it becomes apparent that, at late time 382 point, *e.g* day 21, the expression all miRNAs investigated tended to return to the baseline 383 expression level detected at day of infusion (day 0). This indicates, as observed in Morris 384 water maze test, that effect of A $\beta$  infused in hippocampus of rats seems reversible. 385



Figure 4. Kinetics of circulating microRNAs detected in the serum. The quantification of miRs in 387 serum was investigated at 0-, 7-, 14- and 21-days post-infusion with A $\beta$  or PBS (A-D). Relative ex-388 pression profiles of (A) miR-146a-5p, (B) miR-29a-3p, (C) miR-9a-5p, (D) miR-29c-3p. The amount 389 of each microRNAs was evaluated by qRT-PCR. Data are expressed as mean ± SEM of result ob-390 tained from each serum assessed in triplicate (for each time, n = 8 rats per group). Statistical com-391 parisons between groups at each time were made using the Mann-Whitney test. \*  $p \le 0.05$ , \*\*  $p \le$ 392 0.01. 393

# 3.5. Common miRNAs deregulated in APP<sub>swe</sub>/PS1<sub>dE9</sub> transgenic mice and Aβ-brain infused rat models.

Next, we sought to evaluate whether the alteration profile of circulating miRs de-396 tected in the rat animal model generated by hippocampal infusion of A $\beta$  could be also 397 observed in the APPswe/PS1dE9 transgenic mice expressing two constitutive mutant forms 398 of APP and PSEN1. APPswe/PS1dE9 mice are one of most commonly used transgenic animal 399 model of amyloidosis- $\beta$  characterized by A $\beta$  deposits by 6-months of age followed by 400 abundant plaques apparition in the hippocampus and cortex by 9 months that continue 401 to increase up to around 12 months of age [51]. 402

We evaluated the expression pattern of 9 miRs mentioned above from serum samples 403 of APPswe/PS1dE9 mice prepared at 4- and 15-months of age. The results obtained indicated 404 that miRs amount detected in serum sample of 4-month-old mice of APPswe/PS1dE9 mice 405 were not significantly different to those of control littermate mice (Figure S2). By contrast, 406 in serum samples from 15-months old mice, 4 (miR-125b-5p, miR29a-3p, miR-29c-3p and 407 miR-191-5p) over 9 miRs were found significantly downregulated in the APPswe/PS1dE9 408group of mice (Figure 5). Interestingly, those 4 miRs were also significantly downregu-409 lated in high-dose Aβ- injected rats. No statistical significant difference in the expression 410 of miR 146 was detected in the APP<sub>swe</sub>/PS1<sub>dE9</sub> transgenic mice (not shown). 411

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Figure 5. Relative expression of circulating miR-125b-5p, miR-29a, miR-29c and miR-191-5p in se-413rum of APPswe/PS1dE9 transgenic animal model of amyloidosis. Serum samples from transgenic ani-414mals at 15 months of age were extracted and selected miRNA were quantified by qRT-PCR. Data415are represented as mean  $\pm$  SEM of 5 samples performed in triplicate. Statistical comparisons between416the transgenic and control group were performed using the Mann-Whitney test. \* p  $\leq$  0.05, \*\* p  $\leq$ 4170.01.418

# 3.6. $A\beta_{1-42}$ infusion leads to an inflammatory response in the hippocampus of rats

Astrogliosis is a universally recognized feature of AD characterized by a cellular hy-420 pertrophy and an increase of glial fibrillar acid (GFAP) expression [52]. To confirm the 421 presence of this perturbation in our experimental design performed in the rat model, we 422 performed a GFAP fluorescence immunolabelling of brain tissues of rats harvested at 14 423 days post-infusion. Representative immunofluorescence images are shown in Figure 6A. 424 As compared to brain sections from control group, a more pronounced fluorescence stain-425 ing was detected in the whole hippocampal tissues including CA1/CA2, CA3 and DG re-426 gions in brain sections from A $\beta$ - treated rats. The quantitative analysis of the whole fluo-427 rescence staining indicated that there were 2.1-fold and 1.7-fold more GFAP positive cells 428 in CA1/CA2, CA3 and DG hippocampal regions of Aβ-infused rats compared to control 429 rats. 430

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Figure 6. Quantification of astrocytes in the hippocampal areas. Brain sections from rats inoculated432with  $A\beta_{1.42}$  or PBS were analyzed 14 days post injection. (A) Representative immunohistochemical433staining of GFAP expression (in green) in the DG area. The slides were counterstained with DAPI434(blue) (B) Histograms showing the number of GFAP + cells per mm² quantified in the total hippo-435campus and (C) in each area of the hippocampus (CA1/CA2, CA3 and DG). The quantification of436GFAP + cells was analyzed in 4-5 sections per animal (n = 5 for each group). Statistical comparisons437between both groups were analyzed using the Student's t-test. \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p ≤ 0.001.438

# 3.7. Intrahippocampal inoculation of $A\beta_{142}$ leads to an increased expression of miR-146a in CSF 439

We were intrigued by the fact that the kinetic of miR-146a-5p expression was not 440 significantly altered in serum sample of A $\beta$ -inoculated rats at the early 7 day post-inject 441 tion time point and also by the observation that the peak of its down regulation was detected at day 14 that is also different to the other miRs (Figure 4). Moreover, this miR was 443 not found deregulated in the APP<sub>swe</sub>/PS1<sub>dE9</sub> mice. This, prompt us to focus our following 444 experiments on the biological role of miR-146a in our rat model.

First, we evaluated the expression pattern of miR-146a-5p in CSF sample collected 14 days after infusion of A $\beta$  at 2.5 µg/µL, in rat brains. Our results indicated that in contrast to serum sample in which its expression was found significantly down-regulated (Figure 448 7; p = 0.022), the amount of miR-146a-5p in CSF samples from A $\beta$ -treated group was significantly up-regulated (p = 0.004) compared to those obtained from control group. These data highlight the discrepancy between the presence of miR-146a in serum versus CSF 451 samples.

To gain more insight on the role of miR-146a, we completed our work with a mecha-453 nistic study performed with primary astrocytes model of AD. Astrocytes are considered 454 to promote the first line of neuroinflammation response [53], by regulating the expression 455 of key mediators of innate and adaptive immune responses in central nervous system. 456



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**Figure 7.** Relative expression of circulating miR-146a-5p in CSF of rats infused with  $A\beta_{1.42}$  inside the hippocampus. CSF samples from rats infused with A $\beta$  or PBS solutions were collected at 14 days post-injection. The expression of miR-146-5p was quantified by qRT-PCR. Data are represented as 460 mean  $\pm$  SEM of n = 7 samples performed in triplicate. Statistical comparisons between A $\beta$ -infused 461and control group were performed using the Mann-Whitney test. \*\*  $p \le 0.01$ . 462

#### 3.8. The upregulation of miR-146a is dependent on the state of aggregation and the concentration 463 of $A\beta_{1-42}$ in astrocyte cell culture. 464

Primary rat astrocytes were treated with Aβ<sub>1-42</sub> peptides to recapitulate, at least par-465 tially, the AD-like environment induced by infusion of A $\beta$  in hippocampal tissues of rats. 466 First, we evaluated the relative toxicity index of induced by several concentrations of Aβ1-467 42 peptides on primary astrocytes viability cultured for 3 days. None of concentrations 468 evaluated in this study were toxic for the cells (Figure S3). Next, we monitored expression 469 of miR-146a-5p in these cells after treatment with  $A\beta_{1-42}$  peptides. The qPCR data revealed 470 that treatment of cells with A $\beta_{1-42}$  peptides for 3 days increased the expression of miR-146a 471 at all concentrations evaluated (Figure 8A). An almost of 2 fold of maximum induction of 472 miR-146a expression was detected when 1  $\mu$ M A $\beta$  was used as compared to control cells. 473 474



Figure 8. miR-146a-5p is up-regulated in primary astrocytes treated with  $A\beta_{1-42}$  peptides. Cells were476treated with  $A\beta_{1-42}$  peptides made at 0.5, 1 and 2  $\mu$ M for 3 days. (A) Relative expression of miR-146a-5p in primary astrocytes. The expression of miR-146a-5p was evaluated by quantitative real-time4775p in primary astrocytes. The expression of miR-146a-5p was evaluated by quantitative real-time478qRT-PCR. Small nuclear RNA U6 (RNU6) expression was used for normalization. Data are represented as the mean ± SEM performed in triplicate. Statistical comparisons between  $A\beta$  treated cells480or DMSO treated cells were made with the Student's t-test. \*  $p \le 0.05$ , \*\*  $p \le 0.01$ , \*\*\* $p \le 0.001$ .481

# 3.9. $A\beta_{1-42}$ peptides induce the expression of miR-146a through the NF- $\kappa$ B cell signalling pathway 482

The expression of miR-146a has been reported to be upregulated in several central 483 nervous cells in response to TNF- $\alpha$ , IL-1 $\beta$  or LPS through the activation of NF- $\kappa$ B cell 484 signalling pathway. In the next step, we evaluated whether  $A\beta_{1-42}$  peptides treatment 485 might induce the expression of miRNA-146a in primary astrocytes using the same cell 486 signaling pathway. For that, cells were treated with  $A\beta_{1-42}$  peptides in presence or absence 487 of the well-known BMS-345541 pharmacological inhibitor of NF-KB pathway for 3 days. 488 As positive control for the activation of NF-kB pathway activation, cells were treated with 489 LPS [54]. Results shown in Figure 9 confirmed that when primary astrocytes was treated 490 with 1  $\mu$ M A $\beta_{1-42}$  peptides, the expression of miR-146a-5p was significantly upregulated 491 compared to that of control cells. This expression can be inhibited by pre-treatment of cells 492 with 1  $\mu$ M of BMS-345541 inhibitor prior to the incubation with A $\beta_{1-42}$  peptides. The ex-493 pression level of miR-146a dropped-down significantly and reached the basal expression 494 level detected in non-treated cells. As expected, LPS-treatment of primary astrocytes in-495 creased significantly the expression of miRNA-146a that was also significantly reversed 496 by treatment with the BMS-345541 inhibitor. Those results indicate that both, A $\beta_{1-42}$  pep-497 tides increase the basal expression level of miR-146 through the transcriptional regulation 498 of the NF-κB pathway as did pro-inflammatory cytokines [55,56] or here LPS. 499



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**Figure 9.** miR-146a is dependent to the NF- $\kappa$ B pathway. The effects of NF- $\kappa$ B inhibition on miR-146a 501 expression were evaluated in A $\beta$ -treated primary astrocytes culture. Primary astrocytes were stimulated with A $\beta_{1-42}$  peptides at 1 $\mu$ M and LPS 100 ng/ $\mu$ L (Positive Control) with or without pre-incubation with I $\kappa$ B kinase inhibitors (BMS-345541) for 3 days. Data are represented as the mean  $\pm$  SEM performed in triplicate. Statistical comparisons between A $\beta$  treated cells or DMSO treated cells were made with the Student's t-test. \* p $\leq$  0.05, \*\* p $\leq$  0.01, \*\*\*p $\leq$  0.001. 506

# 3.10. *A*β<sub>1-42</sub> peptides treatment did not induce any inflammatory cytokines expression

Based on the above results, we checked whether the expression level of pro-inflammatory cytokines produced in primary astrocyte cells could be also induced in response to  $A\beta_{1-42}$  peptides treatment. Surprisingly as shown in Figure 10, none of pro-inflammatory cytokines evaluated as IL-6, IL-1 $\beta$  were transcriptionally induced by treatment of primary cells with  $A\beta_{1-42}$  peptides for 3 days (Figure 10). Same results were obtained when 512 the expression level of these cytokines was evaluated at the protein level by sandwich 513 ELISA (data not shown). As positive control, a significant and high induction level of 514



those cytokines (IL-6, and IL-1 $\beta$ ) were detected in cells upon treatment with LPS for 3 days as expected. 515

Figure 10. Expression of inflammatory markers mRNAs in cell culture of primary astrocytes stimulated518lated with A $\beta_{1-42}$  peptides. Three days post-stimulation with A $\beta$  peptides (Figure 10A and B), the519expression of IL-6 and IL-1 $\beta$  were quantified by RT-qPCR. Astrocytes were also stimulated with520LPS at 100 ng/ml as positive control (Fig 10C and D). Data are represented as mean ± SEM of exper-521iments made in triplicate 3. Statistical comparisons between groups were made using the Student's522t-test. \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p ≤ 0.001.523

# 3.11. miRNA-146a induction likely counteracts NF- $\kappa B$ signalling pathway in astrocyte through the down-regulation of IRAK-1 and upregulation of IRAK-2 as compensatory mechanism. 525

To go further in our study, we evaluated the expression of IRAK-1 and TRAF-6, two 526 well known transcriptional targets of miR-146a. Those proteins are part of NF- $\kappa$ B signalling pathway [57] (Figure 11A). As shown in Figure 11B, the relative expression of IRAK-1 was significantly down regulated (1.5-fold) in cells treated with A $\beta$  compared to control 529 cells while the expression of IRAK-2 was upregulated (Figure 11C). Surprisingly, the relative expression of TRAF-6, a direct downstream effector of the IRAK-1/2 complex was unchanged in cells treated with A $\beta_{1.42}$  peptides compared to control cells (Figure 11D). 532



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Figure 11. Expression of IRAK-1, IRAK-2 and TRAF-6 targets of the NF-κB cellular pathway in pri-534mary cells trated with Aβ1-42 peptides. (A) Schematic representation of NF-κB signalling pathway535(B) The relative levels of mRNA of IRAK-1 (C) IRAK-2 (D) TRAF-6 in primary astrocytes incubated536with Aβ at 1 µM or cells treated with DMSO for 3 days were detected by qRT-PCR. GAPDH express-537sion was used for normalization. Data are represented as the mean ± SEM of experiments performed538in triplicate. Statistical comparisons were made between Aβ-treated cells and DMSO-treated cells539with Student's t-test. \* p ≤ 0.05, \*\* p ≤ 0.01, \*\*\* p ≤ 0.001.540

## 3.12. $A\beta_{1-42}$ peptides treatment stimulates the production of chemokines

Since the treatment of cells with either A $\beta_{1.42}$  peptides was sufficient to induce the 542 transcriptional changes in IRAK-1 and 2, it prompts us to postulate if other mechanistic 543 events or signalling pathways different than NF-kB signalling might be activated by those 544 treatments. We focused on expression level of chemokine as CXCL1 as potential candi-545 date. In AD, CXCL-1 is amongst chemokines produced by astrocytes activated by AB pep-546 tides [58]. We evaluated the production of CXCL-1 by primary astrocytes following treat-547 ment with A $\beta_{1-42}$  peptides for 3 days at several concentrations. ELISA data shown in Figure 548 12, revealed a slight, but significant CXCL-1 production by astrocytes in response to in-549 creased concentrations of A $\beta_{1.42}$  peptides. The maximum level of CXCL-1 production was 550 detected in cells treated with 1  $\mu$ M of A $\beta_{1.42}$  peptides that correlated well and again with 551 the maximum induction of miR-146a expression detected at the same concentration. 552



Figure 12. CXCL-1 secretion by Aβ-treated primary astrocytes. CXCL-1 expression was evaluated554by ELISA assays on supernatants from primary astrocytes stimulated with A $\beta_{1.42}$  peptides at 0.5, 1555and 2 µM. Data are represented as the mean ± SEM of experiments made in triplicate. Statistical556comparisons were made between A $\beta$ -treated cells and DMSO-treated cells with Student's t-test. \* p557 $\leq 0.05$ , \*\* p  $\leq 0.01$ , \*\*\* p  $\leq 0.001$ .558

## 4. Discussion

It is widely accepted that  $A\beta$  peptide plays a central role in the appearance and progression of AD. Bilateral intrahippocampal injections of  $A\beta$  peptides did result in a reduction in neuronal density, an increasing expression of of the glial fibrillar acid protein and caused deficiencies in behavioral performance [59]. Borbely et al., demonstrated that intrahippocampal administration of synthetic  $A\beta$  peptides simultaneously decreases both the spatial learning capacity in MWM and the density of the dendritic column in the CA1 region of the rat hippocampus [60].

In the present study, we inoculated A $\beta_{1-42}$  peptides into the CA1 region of the hippo-567 campus. MWM tests was conducted to assess the effect of  $A\beta_{1-42}$  peptides injection into 568 the hippocampus of rats on spatial learning and working memory, as the memory impair-569 ment is one main symptom of AD. Our results showed a deterioration of learning capacity 570 in animals treated with A $\beta_{1-42}$ . On day 1 of the test, the absence of significant differences 571 between the injected and control groups with regard to the distance travelled or the la-572 tency escape, (Figure 1) indicates that all animals are similar for the start of the learning 573 and memory tasks. MWM test was performed 14 days post- surgery based on reports 574 showing that the deterioration is visibly significant around this time point [22]. A previous 575 study reported an impaired spatial learning memory on day 12 post-injection [3]. When 576 checked at earlier time as 8 days post-injection, no significant differences between the 577 groups were observed [61]. The short period may not have been sufficient to impair spatial 578 learning. Our data are in agreement with previous reports and validate that the injection 579 of A<sub>β1-42</sub> generates learning disorders in treated animals. 580

It has been shown that abnormal accumulation and shedding of A $\beta$  peptides can lead 581 to localized inflammation involving reactive astrocytes with increased expression of 582 GFAP [52]. This process called gliosis occurs after brain injury and is characteristic of neu-583 rodegenerative disorders such as AD [62]. We validated the presence of those reactive 584 astrocytes by immunofluorescence staining analysis of brain sections of animals inocu-585 lated with A $\beta_{1-42}$ . They had a higher number of astrocytes in all regions of the hippocam-586 pus CA1/CA2, CA3 and DG, suggesting an activated state by contrast to animals of the 587 control group having a lower number of astrocytes. Each area contained approximately 588 twice the number of detectable astrocytes than that of control animals (Figure 6). Our data 589 is in line with those of different studies showing an increase in astrocytes in a model of 590

injection of A $\beta$  in the hippocampus of rat's model of AD [59,63] and, in addition with MWM data, validate our animal model. 592

To the best of our knowledge, no reports have evaluated the quantification of circu-593 lating microRNAs in serum samples in this rat model of amyloidosis induced by infusion 594 of A<sub>β1-42</sub> peptides in hippocampus. It is therefore also interesting to compare the data with 595 those obtained with AD transgenic mice models and even with those obtained from hu-596 man studies. We selected a short list 9 microRNAs frequently expressed in patients with 597 AD and/or in transgenic animal models. Amongst them, miR-29a, miR-29c, miR-125b, 598 miR-191-5p and miR-146a were found significantly deregulated as compared to control 599 rats (Figures 2 and 3). The degree of dysregulation is proportional to A $\beta$  peptides concen-600 tration used for the injection. Since miRNA acts as temporal regulator of different biolog-601 ical processes, we sought to assess the kinetic of the expression of miRs at day 7, 14 and 602 21 (Figure 4). Kinetic studies were done on miR-9-5p, miR-29a-3p, miR-29c-3p and miR-603 146a-5p as they were the most deregulated miRs in our animal model but also in other 604 AD model as well as human studies [50,64]. Our data show that there is a clear downreg-605 ulation tendancy of all microRNAs in A $\beta$ -injected rats compared to controls. MiR-9 is 606 known to regulate BACE1 expression; lowering miR-9 levels resulted in an increase of 607 BACE1 expression, thereby increasing A $\beta_{1-42}$  peptides production [65]. The reduced ex-608 pression of miR-9 in CNS would promote amyloidogenic processing, leading to a pro-609 nounced A $\beta$  aggregation and their deposition into senile plaques [66]. A comparative 610 study of several miRs levels in sera of AD patients with mild cognitive impairment as 611 compared to control patients has shown that the circulating levels of the miR-9 was the 612 lowest [65]. Interestingly, a reduced amount of miR-9 has been also found in the plasma 613 of 3xTg-AD and APP/PS1 transgenic mice models [23,67]. In this work, the passive intro-614 duction of A $\beta$  aggregates, in the hippocampal region started to alter the expression of 615 miR-9, the difference between the two groups of rat was very close to statistic significance. 616

The members of the miR-29 family showed similar trend. There is a strong dysregu-617 lation in the A $\beta$ -injected group at 7 days compared to control group. At 14 and 21 days, 618 the dysregulation was maintained but the curve started to rise up very mildly suggesting 619 a reverse phase (Figure 4C and 4D). The down regulation of miR-29a and miR-29c is con-620 sistent with many previous reports that have evaluated serum and/or plasma from pa-621 tients with AD [28,68,69]. Interestingly, miR-29 a/b cluster has been reported to be corre-622 lated with BACE1/beta-secretase expression [70]. Combined to miR-9 data, one can sug-623 gest that a passive introduction of A $\beta$  aggregates is enough to retrocontrol the level of 624 microRNAs. 625

Concerning miR-146a expression, it was down-regulated at all times evaluated after 626 treatments. However, the difference was not significant 7 days post-injection by contrast 627 to 14 and 21 days. (Figure 4B). MiR-146a has been shown to be an early and prevalent 628 pathological feature of AD as it is involved in the inflammatory response and neuroin-629 flammation [56]. Our results are consistent with different studies that evaluated the circu-630 lating expression profile of miR-146a in transgenic animal models or in humans. Garza-631 Manero et al., have reported the same profile for miR-146a in 3xTg-AD transgenic model 632 from 14 to 15 months compared to young mice [23] . Studies carried out in humans have 633 shown as well that the circulating profile of miR-146a is decreased in AD [71]. 634

Two other miRNAs, miR-191-5p and miR-125b-5p were also significantly reduced in 635 Aβ-infused rats compared to the control rats. MiR-191-5p has been reported to be down-636 regulated in the sera of AD patients [14]. MiR-191-5p is involved in the regulation of the 637 level of BACE1 via its binding site targeting BACE1 3'UTR, and its down-regulation re-638 sulted in amyloidogenesis. MiR 125b-5p is known to be involved in synaptic plasticity 639 regulation and its level was higher in serum of AD patients [72], compared to healthy 640 controls. This observation is contradictory of ours and it could be explained by the partial 641 recapitulation of the human disorder in this rat model. Interesting to note that the expres-642 sion profile of the 9 circulating miRNAs from APPswe/PS1dE9 transgenic mice model at 15-643 month old mice were similar to those obtained with the rat model except for miR146a-5p 644

(Figure 5). These mice recapitulate the early AD phenotype as well, and are characterized 645 by an increased A $\beta$  peptides in the brain [73]. These animals express a human/mouse chi-646 meric APP and a human presenilin-1 and have early synaptic dysfunction and chronic A $\beta$ 647 deposition, neuroinflammation and cognitive impairment from 6 months [74]. Altogether, 648 results concerning the quantification of circulating miRNAs in those two different models 649 and the comparison with data from AD patients highlight the limitations of each model. 650 It worth to note that the down-regulation of circulating miR-29a-3p and miR29c-3p in sera 651 of those models has been consistently observed in serum of AD patients [28,70]. 652

Neuroinflammation is one of the hallmark of AD and miR-146a, is a key mediator of 653 immune response linked to a variety of inflammation processes. To complement our data, 654 we first quantified the amount of miR-146a-5p in CSF obtained from A $\beta$ -injected rats at 655 14 days post injection. The upregulation of miR-146a in A<sub>β1-42</sub> treated animals compared 656 to the control group (Figure 7) is in line with reports showing high levels of miR-146a 657 expression in CSF human samples of AD patients [75,76]. Due to its direct and intimate 658 relationship with brain tissue, CSF reflects neurophysiological changes in AD [76]. Our 659 data highlight the opposite trend between circulating miR-146a in serum and in CSF of 660 this rat model as also described for other miRs [14]. Reasons for this discrepancy is not 661 clear and may be related to change in extracellular vesicles types secreted by cells of the 662 CNS that might limit their passage across the blood brain barrier [77]. Nevertheless, to 663 understand further the role of the miR-146 in AD development and its relationship with 664 inflammation caused by A $\beta$  peptide aggregation tissues, we conducted functional *in vitro* 665 studies made on primary rat astrocytes. The study was done with astrocytes primary as-666 trocytes because they are the most abundant cell type in the CNS and important modula-667 tors of the innate and inflammatory immune response of the brain of patients with AD 668 [78]. Moreover, the severity of glial activation is correlated with the degree of brain atro-669 phy and cognitive impairment. We took as well the opportunity to assess the impact of 670 Aß aggregates on astrocytes. We observed an upregulation of miR-146a compared to con-671 trol cells (Figure 8A and 8B). These findings are consistent with previous studies done on 672 human astrocytes that had an upregulation of miR-146a when exposed to A $\beta$  peptides 673 [53,55]. Similarly, Li et al., demonstrated that miR-146a was positively regulated in human 674 neuronal-glial (HNG), human astroglial (HAG) and human microglial (HMG) cells 675 treated with A $\beta_{1-42}$  peptides and TNF- $\alpha$  compared to untreated controls [55]. However, 676 the impact of A<sub>β1-42</sub> peptides alone in expression of miR 146 by these cells was not inves-677 tigated in those studies. Our data validate that the upregulation of miR-146a expression 678 is under the transcriptional control of NF- $\kappa$ B [56] as it was abolished by BMS-345541, an 679 inhibitor of NF- $\kappa$ B (Figure 9). To go further in the dissection of A $\beta$  peptides impacts on 680 astrocytes, we quantified the expression of *IL-6*, *IL-1* $\beta$ , and *TNF-* $\alpha$  inflammatory cytokines 681 known to be increased following astrocytes activation and aberrantly expressed in AD 682 brain [79]. We did not observed expression defects of inflammatory cytokines (Figure 10), 683 which are different from those previous findings. Note that in those reports, cells were 684 put under oxidative stress or inflammatory conditions (H<sub>2</sub>O<sub>2</sub>, LPS, TNF- $\alpha$ ) in addition to 685 A $\beta$  treatment. MiR-146a is known to regulate Toll-like (TLR) and interleukin-1 receptors 686 (ILRs) signaling [40,57]. Furthermore, miR-146a causes a direct repression of interleukin-687 1 receptor associated kinase 1 (IRAK1), and Tumor necrosis factor receptor associated fac-688 tor 6 (TRAF-6). Different reports made with human astrocytes showed that concurrent to 689 miR-146a upregulation in cells exposed to A $\beta$  peptides, a decrease of IRAK-1 associated 690 with a compensatory increase in the expression of Interleukin-1 receptor-associated ki-691 nase-like 2 (IRAK-2) were induced leading to a sustained inflammatory response [57]. The 692 decrease of IRAK-1 gene was also reported when brain cells were stressed by A $\beta_{1-42}$  and 693 TNF- $\alpha$  [55]. Our results are consistent with this observation as we found that in A $\beta$ -treated 694 cells, IRAK1 expression was downregulated whilst IRAK-2 was upregulated. In the ma-695 jority of studies, cells are concomittantly treated with  $A\beta$  peptides and inflammatory mol-696 ecules as LPS, TNF $\alpha$  or IL-1 $\beta$  amplifying the inflammation state. Here, we found that A $\beta$ 697

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peptides treatment can trigger the induction of miR146a even in a suboptimal inflamma-698 tory and non cytotoxic state of primary astrocytes. It is tempting to speculate that the up-699 regulation of miR-146a by the NF- $\kappa$ B transcription factor activated in response to A $\beta$  pep-700 tides might play a negative feedback loop of control of NF-κB signalling pathway by 701 down-regulating IRAK-1 and up-regulating IRAK-2. As a consequence of this retro-con-702 trol loop of NF-κB pathway, an abrogation of the expression of pro-inflammatory cyto-703 kines as IL-6, TNF- $\alpha$ , and IL-1 $\beta$  might occur by keeping constant the expression level of 704 TRAF-6. This, is in line with reports showing that miRNA expression can act as a negative 705 feed-back regulator of the same signalling pathway used for its own induction, thereby 706 preventing an overstimulation of the inflammatory response [80]. 707

# 5. Conclusion

Data from this study show that circulating miR 146a-5p, 29a-3p, -29c-3p, 191-5p and 709 125b-5p are downregulated in sera of rats injected with Aß aggregates. Interestingly, those 710circulating miRNAs except miR-146a were also deregulated in the widely used amyloid-711 bearing APPswe/PS1dE9 transgenic mice. By contrast, miR146a-5p was upregulated in CSF 712 of those rats which presented astrogliosis in their brain. The mechanistic study done on 713 rat primary astrocytes revealed that their treatment with A $\beta$  aggregates led also to the 714 upregulation of miR-146a via NFκB signalling pathway which in turn downregulated the 715 expression of IRAK-1 but without affecting the expression of TRAF-6, key effector of this 716 NF $\kappa$ B signalling pathway. As consequence, no change in the expression of IL-6, IL-1 $\beta$  was 717 detected although a slight but significant expression of CXCL1 was quantified. Based on 718 those data and those reported in the literature, we propose that miR-146a upregulation in 719 astrocyte cells is likely to play an anti-inflammatory role through a negative feedback of 720 the NF-kB pathway. To sum-up, this study contributes to improve our knowledge on the 721 rat model of AD generated by intrahippocampal injection of  $A\beta_{1-42}$  peptides and point to 722 of dysregulated miRNAs that could represent early diagnosis markers. 723

Supplementary Materials: The following are available online at www.mdpi.com/xxx/s1, Figure S1: 724 Effects of A $\beta_{1-42}$  injection on memory and learning, evaluated by the Morris Water Maze test, **Figure** 725 S2. Relative expression of circulating miR-9a-5p, miR-29a-3p, miR-146a-5p and miR-29c-3p in serum 726 of APP<sub>swe</sub>/PS1<sub>dE9</sub> transgenic animal model of AD, Figure S3. Incubation of primary astrocytes cell 727 culture with AB at indicated concentration does not lead to any cell toxicity. 728

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