

## What makes us allergic? Defining common features of an "allergic" immune phenotype

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Anticorps en Thérapie et Pathologies

# What makes us allergic? Defining common features of an "allergic" immune phenotype

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Thèse de doctorat d'Immunologie

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## **Table of contents**

Ab	stract	6
Ré	sumé	8
1.	Introduction	10
	1.1. Healthy immune response	11
	1.1.1. Elements in innate immunity	
	Human FcγRs	
	Mouse FcγRs	
	1.1.2. Adaptive immunity	
	Two important features of adaptive immunity: specificity and memory	
	Cell-mediated adaptive immunity	
	Antibody-mediated immunity	
	1.2. Example of an immune system out of balance - allergy	
	1.2.1. Clinical features of allergic diseases	
	Allergy diagnosis	
	Allergens composition and example of allergic disease	
	Current allergy treatments and their limitations	
	1.2.2. Immunopathogenesis of allergic disorders	
	Allergen recognition	
	IgE receptors:IgE	
	Regulation of serum IgE level by IgE receptors:	
	Generation of IgE memoryGeneration of IgE memory	
	Alternative anaphylaxis pathway	
2.	Summary and objectives	
3.	Results	58
	3.1. Part I Environmental, immunological and genetic parameters asswith total serum IgE concentration in healthy individuals	
	3.2. Part II – Characterizing the immune phenotype of allergic individuals.	81
	3.2.1 WASPenIP study	90
	3.2.2 Wasp venom allergy mouse model:	114

	3.3.	Part III IgG-FcγRs interspecies cross-binding	134
4.	Discus	ssion:	149
		High total serum IgE, an indicator for individuals at-risk to egic condition?	-
	4.2.	One allergic immune phenotype fits all?	154
	4.3.	From antibody evolution to application	159
5.	Persp	ective	167
6.	Refere	ence	169
7.	Annex	ζ	191
		Platelets expressing IgG receptor FcγRIIA/CD32A determine the imental anaphylaxis	-
	7.2.	Expression, Role, and Regulation of Neutrophil Fcγ Receptors	204

## **Tables in the Introduction and discussion**

Table 1 Human FcγRs expression pattern	15
Table 2 Binding affinity of IgGs to FcγRs	
Table 3 Mouse FcγR expression pattern	17
Table 4 Number of HLA alleles Category	22
Table 5 Human IgGs properties	27
Table 6 (a) Classification of systemic reactions to insect stings by Mueller, (b) classification of systemic reactions modified according to Ring and Messmer	37
Table 7 Biomarkers for the prognosis of inhaled allergen immunotherapy	47

## Figures in the Introduction and discussion

Figure 1 Proposed model for the evolution of rat and mouse C $\gamma$ genes	28
Figure 2 Possible interactions between the stromal reticulum, plasma cells, and eosinophils	30
Figure 3 Short algorithm for beta-lactam allergy diagnoses	38
Figure 4 Summary of the four types of hypersensitivity reaction	48
Figure 5 Models of mast cell bound IgE binding to antigens	50
Figure 6 IgE receptors	51
Figure 7 Modeled structure of the entire IgE molecule in different biological cont	exts52
Figure 8 The scheme of the Milieu Interieur Project	59
Figure 10 The different immunoglobulin classes evolved in various clades of vertebrates.	160
Figure 11 Species tree generated with PhyloT	161
Figure 12 Phylogenetic analyses of selected mammalian IgG and chicken IgY	162
Figure 13 Phylogenetic analyses of human and mouse FcgR proteins	166

#### **Abstract**

The immune system consists of an innate and an adaptive branch that interact with each other to preserve body homeostasis and defend the organism against invading pathogens. This is partly achieved by the action of antibodies that can bind to antigen via their Fab portion and trigger effector functions with their Fc portion. Produced by cells of the adaptive immune system, antibodies enable cells of the innate immune system to react in an antigen-specific manner. Antibodies are mainly characterized in or generated from animal models that support particular infections, respond to particular antigens or allow the generation of hybridomas. Due to the availability of numerous transgenic mouse models and the ease of performing bioassays with human blood cells *in vitro*, most antibodies from species other than mice and humans are tested *in vitro* using human cells and/or *in vivo* using mice. In my thesis, I undertook a systematic approach to characterize interactions between IgG from different species and mouse and human IgG receptors (FcyRs) that will be a useful reference for the transition from one animal model to preclinical mouse models or human cell-based bioassays.

Non-infectious diseases can arise from an imbalanced immune homeostasis. Allergic conditions are one such example and are in general associated with a Th2-driven IgE-dependent physiopathology involving mast cells and basophils. More recently, the contribution of other cellular populations and antibody subclasses to allergic diseases was put forward. To systematically characterize the immune phenotype of allergic patients, we recruited a new cohort of patients severely allergic to wasp venom or amoxicillin. Using fresh blood samples, I analysed steady state and induced immune responses and compared them to healthy individuals. My preliminary data document a trend for elevated Th2 and Th17 cells in allergic individuals and fewer but more mature dendritic cells. They also illustrate a large inter-individual variability in terms of induced immune responses. To identify immunological, genetic and environmental factors that determine the concentration of total serum IgE in healthy individuals, I also explored available data of an extensively analysed cohort of age- and sex-stratified 1000 healthy donors (Milieu Intérieur). My analysis reveals that total serum IgE concentrations in

these donors are associated with age, sex, smoking habits, certain HLA alleles, FcERI

expression on basophils, and a particular profile of cytokines released in whole blood

stimulation assays.

My thesis provides a basis for the in-depth characterization of the immune phenotype of

severely allergic patients and contributes to a better understanding of the parameters

that associate with serum IgE concentrations in healthy individuals. Additionally, my

work draws a comprehensive map of the interactions between IgG from different

species and mouse and human FcyRs that will help to anticipate FcyR-dependent

effector functions when using IgGs from other species with human or mouse effector

cells.

Keywords: Allergy; Immune phenotype; IgE; IgG; FcyRs; Interspecies cross-binding

7

#### Résumé

Le système immunitaire est constitué d'une branche innée et d'une branche adaptative qui interagissent ensemble et qui permettent de préserver l'homéostasie et de se défendre contre des agents pathogènes. Ceci dépend notamment de l'action d'anticorps, qui peuvent se lier à des antigènes via leur région Fab et activer des fonctions effectrices grâce à leur région Fc. Produits par les cellules du système immunitaire adaptatif, les anticorps permettent aux cellules du système immunitaire inné de répondre de manière spécifique à un antigène donné. Les anticorps sont principalement caractérisés et synthétisés en laboratoire, à partir de modèles animaux d'infections particulières, répondant à des antigènes d'intérêts, ou permettant la génération d'hybridomes.

Grâce au développement de nombreux modèles de souris transgéniques et de la facilité à effectuer des tests biologiques avec des cellules sanguins humaines *in vitro*, la plupart des anticorps d'espèces autres que murins et humains sont étudiés *in vitro* à partir de cellules humaines et / ou *in vivo* en utilisant des modèles murins. Au cours de ma thèse, j'ai entrepris une approche systématique afin de caractériser les interactions entre les IgG de différentes espèces et les récepteurs aux IgG (Fc $\gamma$ R) murins et humains. Ce travail pourra à terme servir de référence pour le passage de modèles animaux à des modèles précliniques utilisant les souris, ou des bio-essais à partir de cellules humaines.

Des maladies non infectieuses peuvent être le résultat d'une homéostasie immunitaire déséquilibrée. Les allergies en sont un exemple, et sont généralement associées à physiopathologie orientée Th2, dépendante des IgE et faisant intervenir mastocytes et basophiles. Récemment, la contribution d'autres populations cellulaires et d'autres sousclasses d'anticorps a été mise en évidence lors de réactions allergiques. Dans le but de caractériser systématiquement le phénotype immun de patients allergiques, nous avons participé au recrutement d'une nouvelle cohorte de patients sévèrement allergiques au venin de guêpe ou à l'amoxicilline. À partir de prélèvements sanguins, j'ai analysé les

caractéristiques de leur état basal et lors de l'induction de réactions immunitaires, et les

ai comparés à des donneurs contrôles sains.

Mes résultats préliminaires démontrent une tendance à l'augmentation des cellules Th2

et Th17 chez les patients allergiques et suggèrent une diminution de la taille de la

population des cellules dendritiques, mais qui sont néanmoins plus matures. Ils

illustrent également une grande variabilité interindividuelle lors de l'induction de

réponses immunitaires. Pour identifier les facteurs immunologiques, génétiques et

environnementaux qui déterminent la concentration d'IgE sériques totales chez des

individus sains, j'ai également étudié les données disponibles d'une cohorte de 1000

donneurs sains stratifiés par âge et par sexe (Milieu Intérieur). Mon analyse révèle que

les concentrations sériques totales d'IgE chez ces donneurs sont corrélés à des facteurs

tels que l'âge, le sexe, le tabagisme, certains allèles HLA, l'intensité d'expression de

Fc RI sur les basophiles et un profil particulier de cytokines libérées lors de tests de

stimulation du sang total.

Ma thèse fournit ainsi une base pour la caractérisation approfondie du phénotype

immunitaire des patients gravement allergiques et contribue à une meilleure

compréhension des paramètres associés aux concentrations sériques d'IgE chez des

individus sains. De plus, mon travail dresse une carte complète des interactions entre les

IgG de différentes espèces et les FcyR murins et humains, qui aideront à terme à

anticiper les fonctions effectrices dépendantes de FcyR lors de l'utilisation d'IgG d'autres

espèces avec des cellules effectrices humaines ou murines.

Mots-clés : allergie ; phénotype immunitaire, IgE, IgG, FcyRs, interactions inter-espèces.

9

#### 1. Introduction

The immune system maintains body homeostasis through many aspects; these include the surveillance and elimination of endogenous and exogenous factors, regulation of inflammation, as well as the repair of damaged tissues. One can distinguish two arms of the immune system that act through different mechanisms: the innate immunity and the adaptive immunity. The innate immunity includes physical and chemical barriers, cellular and humoral components, which non-specifically defend against pathogens. In contrast, the adaptive immune system can specifically recognize and clear pathogens through cell-mediated or/and antibody-mediated immune responses. In addition to its specificity, another essential feature of the adaptive immunity is the immunological memory, which allows a potent and effective recall response to already encountered pathogen.

In the introduction I will first introduce features and functions of a healthy immune response. While I will outline general mechanisms and important aspects of this immune response, it is however important to bear in mind that the exact expression and intensity of the immune response to challenges varies greatly from one individual to another. It is shaped by our genetics, our personal life history and environmental factors each individual is exposed to. In the second part I will give outline key concepts of anunequilibrated immune response by introducing allergy as an example. As my main Ph.D. project focus on allergy, I will start from clinical features of allergic disease, then move to the immunopathogenesis of allergic reactions.

#### 1.1. Healthy immune response

#### 1.1.1. Elements in innate immunity

From an evolutionary point of view, the innate immune system is the ancesteral branch of the immune system. Sometimes falsely regarded as "simple" or even "primitive", the innate immune system defends the host against invading pathogens through rapid and well-definded mechanisms. Indeed, these were so successful and effective that the adaptive immune system made its apprearance only in jawed vertebrates<sup>1</sup>.

Innate immunity consists of physical and chemical barriers, cellular and humoral components that non-specifically defend the body against pathogens. Physical barriers include the skin and epithelial surfaces, which separate our body from the outside environment<sup>2</sup> Moreover, the dry skin surface avoids the attachment of microbiome, and mucus on the epithelium surface cooperates with cilia, which pushes the pathogen outside of the body<sup>3</sup>. Chemical barriers like gastric acid with low pH inhibit the growth of the pathogens (with *Helicobacter pylori* as an exception).

In vertebrates, a crucial function of innate immunity exerts through myeloid cells and innate lymphoid cells. Myeloid cells mostly develop in the bone marrow and derive from a common precursor cell the common myeloid progenitor, which gives rise to lineages of megakaryoblast and platelets, erythrocytes, mast cells, granulocytes and monocytes. Granulocytes include neutrophils, eosinophils and basophils. Monocytes can further differentiate into macrophages or dendritic cells in tissues or lymphoid organs.

Most myeloid cells express different receptors capable of sensing pathogens and for their recruitment to the local site during inflammation. Those receptors include pattern recognition receptors (PPRs) for sensing pathogen-associated molecular patterns (PAMPs) and damage-associated molecular patterns (DAMPs), chemokine receptors guiding the migration of myeloid cells in distinct stages, complement receptors serving as opsonins during the elimination of the pathogen<sup>4</sup>. Among those receptors, Fc

receptors (FcRs) that bind the Fc portion of antibodies stand out. FcRs equip cells of the innate immunity with the capacity to react in an antigen-specific manner and hence serve as a link between innate and adapative immunity. There are different types of Fc receptors that bind to distinct antibody classes. For example, in humans Fc $\gamma$ Rs bind IgG; Fc $\epsilon$ RI and Fc $\epsilon$ RII bind IgE, and Fc $\alpha$ / $\mu$ R binds both IgA and IgM. In my work I especially focused on Fc $\gamma$ Rs that I will introduce in the following chapter in more detail.

#### Human FcyRs

In humans, there exist 6 classical FcγRs: 4 activating FcγRs: FcγRI (CD64), FcγRIIA (CD32A), FcγRIIC (CD32C), FcγRIIIA (CD16A); 1 inhibitory FcγR: FcγRIIB (CD32B); 1 FcγR without intracellular signalling motif, FcγRIIIB (CD16B). FcγRIIIB is a glycosylphosphatidylinositol (GPI) anchored protein, which also named human neutrophil antigen (HNAs), and mainly express on neutrophils<sup>5,6</sup>.

#### FcyR encoding genes and polymorphism

All genes encoding human FcyRs are found on chromosome 1: FCGR1A, FCGR1B, and FCGR3C at the locus 1q21. The other genes encoding low affinity FcyRs cluster at 1q23<sup>7,8</sup>. FcyRI is encoded by FCGR1A. FCGR1B and FCGR1C are pseudogenes<sup>9</sup>. The FCGR2A gene arose from gene duplication events, which occured before primate divergence, explaining why gene homology analysis reveals that FCGR2A is unique to humans and primates<sup>10,11</sup>. FCGR2A shows like FCGR3A a polymorphic variation that affect its affinity to IgG. The best-characterized polymorphism of FCGR2A is H131R (rs1801274), which decreased its binding affinity to IgG212 and is associated with susceptibility to autoimmune disorders<sup>13–17</sup>. More recently, a splice variant of FCGR2A, FcyRIIA (exon6\*), has been described<sup>18,19</sup> that retains a cryptic exon in the cytoplasmic tail of the receptor. It results in a gain-of-function allele that increases neutrophil sensitivity to IgG stimulation<sup>20</sup>. FCGR2C is the product of non-allelic homologous recombination between FCGR2A and FCGR2B, which is only present in human and chimpanzee genomes 8,10,21. 80% of individuals don't express this receptor, because of a polymorphism introducing a stop codon in its third exon (FcgR2C-Stop)<sup>22,23</sup>. FCGR3A and FCGR3B are paralogous genes and they are orthologous to mouse Fcgr4. For FCGR3A a polymorphism is described inducing an amino acid change in positition 176, F or V<sup>24</sup>. FcyRIIIA-176V shows an increased binding affinity to most IgG subclasses, which translates into a better therapeutical response to monoclonal antibodies treatments<sup>25,26</sup>. For FCGR3B, three variants have been described: NA1 (R<sub>36</sub> N<sub>65</sub> A<sub>78</sub> D<sub>82</sub> V<sub>106</sub>), NA2 (S<sub>36</sub> S<sub>65</sub> A<sub>78</sub> N<sub>82</sub> I<sub>106</sub>),

and SH  $(S_{36} S_{65} D_{78} N_{82} I_{106})^{27}$ . Those variants don't result in detectable affinity difference to IgGs<sup>12</sup> for FcyRIIIB.

#### Human FcyR expression and downstream signaling

Each FcγR shows a unique expression pattern on the different immune cells, and thus contributes to immune responses in a specific fashion. As the only high affinity FcγR in humans, capable of binding monomeric IgG, FcγRI is constitutively expressed on monocytes, macrophages and DCs. There is also minor expression of FcγRI on neutrophils at steady state. Upon in vitro activation by interferon-γ (IFN-γ) or granulocyte colony-stimulating factor, the FcγRI expression can rapidely increase, up to 20 fold on neutrophils<sup>28–31</sup>. In addition, it can be inducible expressed on mast cells<sup>32</sup>. FcγRIIA is the most abundantly expressed receptor and is present on all myeloid cells including platelets<sup>33</sup>. FcγRIIB is highly expressed on B cells and basophils but poorly expressed on monocytes, neutrophils, macrophages and DCs<sup>34,35</sup>. FcγRIIC is expressed by NK cells, monocytes, macrophages and possibly at very low amounts by neutrophils<sup>36</sup>. FcγRIIIB is mainly restricted to neutrophils. Table 1 summarizes the human FcγRs expression for each FcγR.

	FcγRI	FcγRIIA	FcγRIIB	FcγR <b>II</b> C*	FcγRIIIA	FcγRIIIB
B cells	-	-	+	-	-	-
T cells	-	-	-	-	-	-
NK cells	-	-	-†	+	+	-
Monocytes/macrophages	+	+	+/-	+	+	-
Neutrophils	(+)	+	+/-	+	-	+
DCs	+	+	+	-	-	-
Basophils	-	+	+	-	-	+/-
Mast cells	(+)	+	-	-	-	-
Eosinophils	-	+	-	-	-	-
Platelets	-	+	-	-	-	-

**Table 1 Human FcyRs expression pattern.** +, indicates expression; -, no expression; +/-, very low percentages or rare subsets express the receptor; \* In Fcgr2c-ORF persons; † Detectable and functional expression in nonconventional Fcgr2c-Stop persons. Adapted from<sup>37</sup>.

Upon crosslinking by polymeric ligands, Fc $\gamma$ Rs transduce signals to the cytoplasma. Human Fc $\gamma$ RIIA and Fc $\gamma$ RIIC carry in the cytoplasmic portion their own immunoreceptor tyrosine-based activation motif (ITAM) enabling cell activation. The capacity of Fc $\gamma$ RI and Fc $\gamma$ RIIIA relies on their association with the accessory FcR $\gamma$  chain carrying an ITAM. Human Fc $\gamma$ RIIB negatively regulates the cell activation through immunoreceptor tyrosine-based inhibition motif (ITIM) $^{33}$ . The exact function of Fc $\gamma$ RIIIB as a GPI-anchored protein devoid of any signalling motif remains a matter of active debate $^{38}$ . Its abundant expression on the neutrophil surface at steady state, and association with lipid rafts however suggests that it can contribute to cell activation via co-clustering with integrins and by helping Fc $\gamma$ RIIA to efficiently capture immune complexes (ICs) $^{28,39}$ .

#### Binding of human IgGs to human FcyRs

In humans four different IgG subclasses exist. The binding specificity and affinity of each human FcγR varies from one IgG subclass to another. Furthermore, most FcγRs display some polymorphic variations that affect its affinity to IgG. By convention and depending on their binding affinity for IgGs, human FcγRs are classified as high affinity and low affinity FcγRs. The only human high-affinity FcγR is FcγRI, which has an equilibrium association constant (KA) for human IgGs higher than 10<sup>7</sup> M-1. The other FcγRs are low affinity FcγRs, with KA for human IgGs ranging from 10<sup>4</sup> to 10<sup>7</sup> M-140. High affinity FcγRs can bind and retain to human monomeric IgG, whereas the low affinity FcγRs can only reatin IgGs when they are present in immune complexes (ICs) or when opsonizing a surface, which enables binding by avidity. Whether this differentiation is however relevant in vivo remains debated, because immune complexes can rapidely displace monomeric IgG from high-affinity FcγRs<sup>40</sup>. Table 2 summarizes the binding affinity and specificity of FcγRs for human IgGs. Section 1.2.2 will further introduce human IgGs.

Subclasses	lgG1	lgG2	lgG3	lgG4
FcγRI	+++a	-	++++	++
FcγRIIA H131	+++	++	++++	++
FcγRIIA R131	+++	+	++++	++
FcγRIIB/C	+	-	++	+
FcγRIIIA F176	++	-	++++	-
FcγRIIIA V176	+++	+	++++	++
FcγRIIIB	+++	-	++++	-
FcRn (at pH<6.5)	+++	+++	++/+++ <sup>b</sup>	+++

**Table 2 Binding affinity of IgGs to Fc\gammaRs.** <sup>a</sup> Multivalent binding to transfected cells; <sup>b</sup> Depend on allotype. Adapted from <sup>41</sup>

#### Mouse FcyRs

Mice express only four classical FcγRs<sup>5,42</sup>. FcγRI, FcγRIII and FcγRIV are activating FcγRs and associate with the ITAM-carrying FcRγ subunit for cell activation. As in humans, mouse FcγRIIB contains an ITIM and is an important negative regulator of cell activation. Mouse FcγRI is largely restricted to monocyte-derived DCs, and possesses a high affinity for IgG2a, but low affinity to IgG2b<sup>43</sup> and IgG3<sup>44</sup>. FcγRIIB and FcγRIII are expressed on all myeloid cells, but not on platelets. Moreover, FcγRIII also expressed by NK cells and NKT cells, whereas the inhibitory receptor is highly express by B cells. Both FcγRIIB and FcγRIII can bind mouse IgG1, IgG2a and IgG2b with low affinity. Compared to the other FcγRs, FcγRIV shows a restricted expression profile, being only present on macrophages, neutrophils and a subset of monocytes, where it binds IgG2a and IgG2b with high affinity<sup>33</sup>. In opposition to human FcγRs, mouse FcγRIIB, FcγRIII and FcγRIV were reported to also bind IgE.<sup>43,45</sup>. Table 3 summarizes mouse FcγR expression.

	FcγRI	FcγRIIB	FcγRIII	FcγRIV
B cells	-	+	-	-
T cells	-	-	-	-
NK cells	-	-	+	-
Monocytes/macrophages	-	+	+	+
Neutrophils	-	+	+	+
DCs	+*	+	+	-
Basophils	-	+	+	-
Mast cells	-	+	+	-
Eosinophils	-	+	+	-
Platelets	-	-	-	-

**Table 3 Mouse FcγR expression pattern.** +, Indicates expression; -, no expression; +/-, very low percentages or rare subsets express the receptor; \* monocyte-derived DCs. Adapted from<sup>33</sup>.

#### 1.1.2. Adaptive immunity

Two important features of adaptive immunity: specificity and memory

In the early 20th century, scientists had already been aware that the adaptive immune system functioned through two main components: the cellular component<sup>46</sup> and the humoral (antibody) component<sup>47</sup>. However, it was not until the 1960s that the cellular players started to get characterized. In 1961, Miller described that thymectomies in neonatal mice lead to infection and a remarkable paucity of certain lymophocytes in peripheral immune organ<sup>48</sup>. Based on this observation, he proposed that thymus may regulate the production of these lymphocytes especially in early life, and termed them accordingly T cells. In addition, specially selected lymphocytes leaving from thymus would migrate to other sites at about the time of birth. Only a few years later, these findings were complemented by Cooper, who reported that the removal of a specific organ (the bursa of Fabricius) in chickens lead to the suppression of immunoglobulin-producing cells, suggesting that these cells originate from this organ. He therefore named these cells B cells (for bursa) <sup>49</sup>. Together, those works identify and distinguish the two main populations of cells responsible for the cellular and humoral components of adaptive immunity.

These two lymphocyte populations share a critical feature of the adaptive immune response: i) they exert pathogen-specific recognition and ii) they can give rise to an immunological memory.

Pathogen-specific recognition is achieved through antigen receptors. These are unique receptors that are composed of different gene segments V (variable) D (diversity) J (joining). These gene segments exist in several variants in the genome and their random assembly (VDJ recombination) generates a first level of diversity. Additional diversity is created through the process of end joining, during which the enzymes contributing to

this process add nucleotides or delete parts of these regions. Finally, during an immune response, during which an expansion of a specific lymphocyte population is observed, a process termed somatic hypermutation edits already recombined antigen receptors thereby generating mutations of the receptor that may show increased binding to the target (affinity maturation). In this way a comparably small number of genes can generate a vast amount of antigen receptors with different specificities: B cell receptors (BCR or antibodies) can display up to  $\sim 10^{10}$  specificities, and T cell receptors (TCR) up to  $\sim 10^{12}$ .

Another crucial feature for adaptive immunity is immunological memory, which relies on the formation of memory T and B cells after immune responses<sup>50</sup>. Although the term "immunological memory" started to be widely used in the scientific literature only in the 1950s, the concept has been used in practice long time before<sup>51</sup>. One example is vaccination. At the end of the 18th century, Edward Jenner formalized the beneficial effects of immunization with cowpox to prevent smallpox infections. 80 years later, Louis Pasteur realized that injection of chickens with less virulent bacterial cultures could protect them from chicken cholera<sup>52</sup>. This observation revolutionized immunology and marked the beginning of fruitful period of Pasteur, during which he pursued vaccine studies against several infectious diseases: In 1881 he developed the vaccine against anthrax; in 1885, he tested his first human vaccine against rabies, which saved (or not) the life of a nine-year-old boy. Today vaccination is commonly used to protect against various infectious diseases, as well as for the prevention against certain types of infection-triggered cancers.

Traditionally, the concept of immunological memory is limited to T cells and B cells, and it is based on the survival and rapid response of cells that have undergone somatic recombination and clonal expansion. Recently, this paradigm has been expanded both in terms of cells capable or acquiring memory as well as in terms of its appearance during evolution. Immunological memory is no longer restricted to adaptive immune cells but is also recognized in innate immune cells like NK cells, monocytes, and macrophages. This was exemplified by the observation that mice deficient in T cells and B cells were

capable of responding more rapidly to hapten exposure after sensitization than without. This memory response was mediated by hapten-trained NK cells and could be transferred to naïve recipients through hapten-trained NK cells<sup>53</sup>. Similar observations have been made for macrophages, which acquire memory through epigenetic programming<sup>54</sup>.

Adaptive immune cells appeared during evolution in jawed vertebrates. Hence, immunological memory was believed to not exist in more ancient phyla. Recent studies however suggest that some sort of immunological memory may be at work independently of somatic recombination and clonal expansion. For example, immunological memory in Dorsophila was reported to be achieved through RNA interference amplification and dissemination. During viral infections, Drosophila haemocytes are able to convert viral RNA to DNA, which induces the synthesis of virus-derived siRNA. Those siRNAs can then be loaded into exosome-like vesicles and transferred to naïve cells to exert anti-viral immunity<sup>55</sup>. Bacteria and archaea protect themselves from phages through the now famous CRISPR (Clustered, regularly interspaced, short palindromic repeats)- Cas system. Once invaded, the DNA from phages was incorporated into CRISPR array, and then CRISPR array transcribed to generate CRISPR RNAs. Finally, CRISPR RNAs guide a Cas protein complex to cut the nucleic acids of the invador<sup>56</sup>.

#### Cell-mediated adaptive immunity

#### T cells

T cells originate from bone marrow progenitors, which migrate into thymus for development<sup>57</sup>. In the thymus, progenitor lymphocytes go through a series of developmental steps. Based on their surface expression of CD4 and CD8, developing T lymphocytes are named double negative (CD4·CD8· T cells), double positive (CD4+CD8+ T cells), and single positive (CD4+T cells or CD8+ T cells)<sup>58</sup>. As double negative cells in the thymic cortex, they start to undergo VDJ recombination before migrating towards the medulla of the thymus. Double positive T cells are selected through positive and negative selection. In this process thymocytes have to interact via their TCR with its binding partner, the major histocompatibility complex (MHC), loaded with self-antigens on the thymic epithelium. During positive selection, a thymocyte bearing a TCR that does not bind to an MHC or bind too weakly will undergo apoptosis. On the other hand during negative selection, thymocytes that recognize self peptid-MHC complexes are eliminated to avoid auto-immunity (central tolerance). After passing both selections, thymocytes expressing either CD8 or CD4 on their surface reach the medulla. Single positive cells then exit from the thymus to circulations as naive T cells<sup>59</sup>.

Naïve T cells circulate through the blood stream and lymphoid tissue until they encounter MHC complex loaded with a peptide that they recognize, by which they became activated. In humans, the MHC is coded on chromosome 6p21 is composed of 5 regions coding classes of human leukocyte antigen complex (HLA): extended class I, class II, class II and extended class II. Many HLA gene products are components involved in the inflammatory response, antigen processing and presentation<sup>60</sup>. HLA class I molecules, such as HLA-A,-B,-C, are express on all nucleate cells and are responsible for presenting peptides from intracellular pathogens to CD8+ T cells. HLA class II, such as HLA-DP, -DQ, -DR, are exclusively expressed by professional antigen presenting cells, notably dendritic cells, B cells, macrophages, and present peptides from extracellularly derived antigens to CD4+ T cells<sup>61</sup>. Importantly, HLA genes show a high

degree of polymorphism. There are 2110 alleles for HLA-A, -B, -C, and 954 alleles on HLA-DP, -DQ, -DR<sup>60</sup>. Detailed numbers of HLA allels are listed in Table 4. Although in each individual expresses only 6 HLA genes, with maximal 12 different alleles, such a gene pool results in a large number of possible variations and therefore heterogeneity in a population. This is important for the overall fitness, because any HLA gene comes with its own limitations and peptid preferences.

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**Table 4 Number of HLA alleles Category.** This information was obtained from IMGT/HLA Database release 2.22. Bold letters show the HLA genes with classical functions. Reprinted from Shiina T. J. Hum. Genet. 2009<sup>60</sup>.

In a primary immune response, naïve CD8 T cells are activated when they recognize their cognate antigen presented by MHC class I. Then the activated CD8+ T cells undergo clonal expansion reach to 10<sup>4</sup>-10<sup>6</sup> clones in one week and differentiate into cytotoxic T cells (CTLs)<sup>62-64</sup>. CTLs not only have the capacity to quickly migrate between lymphoid organs and peripheral tissue, but also have enhanced killing function<sup>65</sup>. CTLs kill target

cells through a contact-dependent mechanism<sup>66</sup>. Upon recognition CTLs will release perforin and granzyme to target cells to induce cell death. Activation of naïve CD4+ T cells results in the differentiation of T helper (Th) cells. Th cells regulate and orchestrate the activity of the immune response mainly through the secretion of cytokines. Depending on the cytokines secretion profile; several types of Th cells can be distinguished: Th1 cells secrete IFN- $\gamma$  and IL-2, which augment the immune response against the intracellular pathogen; Th2 cells mainly secrete IL-4, IL-5, IL-13 and participate in antibody-mediated immunity; Th17 cells secreted IL-17, IL-22, TNF- $\alpha$  to defend against extracellular bacteria. Of note, other types of T cells, like follicular helper T cells (TFH cells), regulatory T cells (Tregs), as well as Gamma delta T cells ( $\gamma\delta$  T cells) contribute to the cellular compartment of the adaptive immunity, but will not be introduce in this chapter.

The majority of effector T cells are short-lived. They die after the elimination of the pathogens. Whereas a small fraction of primed T cells enters into a memory phase, which provides long-term protection. Although further researches are still needed to clarify the molecular pathways which determine the effector and memory fate of the T cells, there is already some evidence suggesting that the transcriptional regulators expressed during the early stage of immune response may determine the entry into memory state<sup>67</sup>. Memory T cells are a heterogeneous cell populations in terms of phenotype, function, and also the anatomic site the locate to<sup>68</sup>. Memory T cells can be subdivided into distinct populations based on their phenotype: stem-cell memory T cells (T<sub>SCM</sub>, CD45RA+CCR7+CD95+CD122+), central-memory T cells (T<sub>CM</sub>, CD45RA-CCR7+), effector-memory T cells (TEM, CD45RA-CCR7-), and terminal effector cells (TEMRA, CD45RA+CCR7-)69,70. Each of these memory subsets has distinct functions. T<sub>CSM</sub> keeps the stem-cell properties among memory T cells with the least differentiation status; selfrenew capacity and attribute to the other memory T cell subsets<sup>62</sup>. T<sub>CM</sub> express the chemokine receptor CCR7 are prone to migrate to secondary lymphoid tissues to keep central memory. T<sub>EM</sub> cells exert rapid effector functions and notably cytokine secretion upon reactivation. CD4+ T<sub>EM</sub> and CD8+ T<sub>EM</sub> have different cytokine secrection profile. CD4+ T<sub>EM</sub> cells secrete high level of IL-4 and IL-5 and IFN-γ. CD8+ T<sub>EM</sub> only secrete IFN- $\gamma^{70}$ . T<sub>EMRA</sub> is a subset exhibiting "terminal effector" function<sup>71</sup>.

#### Antibody-mediated immunity

#### B cells

B cell development takes place in a primary lymphoid organ (fetal liver and bone marrow). Mature naïve B cells subsequentially migrate towards secondary lymphoid organ (lymph node and spleen) for functional maturation. Naïve B cells circulate in the blood and lymphoid tissues until they get activated by antigen<sup>72</sup>. Depending on the type of antigen, the antibody-mediated (or humoral) immune response can be dependent on or independent of the help of T cells<sup>73</sup>. For T-independent antigens, such as lipopolysaccharides (LPS), B cells elicit rapid antibody response upon activation<sup>74</sup>. The majority of antigens, however, are T-dependent antigens, which means that such antigens require a presention to T cells through MHC. Antigen presenting cells present peptides of these antigens in their MHC class II to specific CD4+ T cells. Those T cells then help B cells proliferate and differentiate<sup>75,76</sup> through secretion of cytokines and direct B cell activating contact signals, such as CD40L expression. B cells can differentiate along distinct pathways. Upon recognition of an antigen via their BCR, a proportion of B cells differentiate into short-lived extrafollicular plasmablasts with the ability to rapidly produce antibodies<sup>73,77</sup>. Another fraction of B cells migrate into B cell follicles where they undergo germinal center (GC) reaction<sup>78</sup>.

In the GC, B cells vigorously proliferate, and their BCR is undergoes somatic hypermutation (SHM), generating thereby new affinities and specificities. Spatially, GC can be divide into the light zone (LZ) and dark zone (DZ)<sup>79–81</sup>. In the LZ B cells test their BCR affinity to antigens presented by follicular dendritic cells (FDC): B cells with low affinity BCR will undergo apoptosis; whereas high affinity BCR B cells with get sufficiently stimulated and receive survival signals from limited numbers of  $T_{\rm FH}$  cells<sup>82</sup>. These B cells can than either migrate from LZ to DZ for another round of clonal expansion or stay in the LZ undergo class-switch recombinant<sup>78</sup>. During class-switch recombination, the Cµ gene (coding for the constant portion of the IgM heavy chain) is replaced by one of the downstream CH genes: Cγ1-4, Cα1-2, or Cε. Class switch

recombination enables the production of the different classes of antibodies with distinct effector functions<sup>83</sup>. Subsequencially, GC B cells differentiate into antibodies secreting cells to participate in humoral immunity.

#### Human immunoglobulins

There are five classes of human immunoglobulins (Ig): IgM, IgD, IgA, IgG and IgE<sup>84,85</sup>. They are composed of two pairs of identical light and heavy chains, which are linked together by interchain disulphide bonds<sup>86</sup>. The light chain consists of one N-terminal variable domain (VL) and one constant domain (CL). The heavy chain has one N-terminal variable domain (VH)<sup>41</sup> with 3 (IgD, IgG, IgA) or 4 (IgM and IgE) constant domains. All immunoglobulin classes (with the exception of IgE) possess a hinge region between CH1 and CH2, which increases the flexibility of the molecule. The light chain together with the VH and CH1 of the heavy chain forms the antibody-binding fragment (Fab). Other parts of the heavy chain and the lower hinge region form the fragment crystalline (Fc). The antibody binds to antigen through their variable region<sup>87</sup>. Their Fc part can trigger effector function by binding to Fc receptors expressed on or inside the cells or complement components.

Immunoglobulins are glycoproteins, which are composed of 82%-96% protein and 4%-18% of carbohydrate. These carbohydrate structures are critical determinants for their biological activity. Immunoglobulins exert their function through different mechanisms, such as antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), or complement-dependent cytotoxicity (CDC).

Among the five Ig classes, IgG is the most abundant in the blood, the concentration goes up to 10-15 mg/mL<sup>88</sup>. In humans, IgG can further subdivide into four subclasses IgG1, IgG2, IgG3, and IgG4<sup>89</sup>.

#### Human IgG subclasses

Among all IgGs, IgG1 is the most abundant IgG subclass in circulation. IgG1 is capable of binding to all of the IgG receptors and can induce ADCC, ADCP and CDC<sup>41</sup>. Of note, IgG1 is

the most commonly used IgG subclass for the development of therapeutic antibodies. Until now, there are 55 IgG1 therapeutic antibodies approved in EU or US (www.antibodysociety.org /resources/approved-antibodies/).

IgG2 are the dominat Ig class produced in response to bacterial capsular polysaccharide antigens<sup>90</sup>. Compared with IgG1, IgG2 show a weaker binding to FcγRs. They can elicit monocyte-mediated ADCC and macrophage-mediated ADCP. Their hinge region is rigid when compared to IgG1, making it the most proteolytic cleavage resistant IgG subclass<sup>87</sup>. Until today, there are 10 approved IgG2 therapeutic antibodies (www.antibodysociety.org /resources/approved-antibodies/) that target mainly autoimmune diseases and metabolism disorders.

IgG3 shows potent binding to all FcγRs and is also a strong inducer of the complement system. However, their effector functions are limited by their short half-life, which is only 7 days (as compared to an average of 21 days for IgG1) and due to the presence of an arginine at position 435 instead of a histidine, which is found in all other IgG subclasses. This amino acid change reduces IgG3 interactions with the receptor for antibody recycling named neonatal Fc receptor (FcRn)<sup>91</sup>. Additionally, IgG3 has a long hinge region containing up to 11 disulfide bridges in its core region, which makes it susceptible to proteolytic cleavage<sup>91</sup>.

IgG4 is mainly produced during long-term antigen exposure. It generally presents a minor component of the Ig pool in the circulation (around 0,5 g/L). IgG4 is a poor inducer of Fc-dependent and independent Ig effector functions. In vivo, IgG4 can furthermore undergo Fab-arm exchange, thereby generating bi-specific, functional monovalent antibodies<sup>92</sup>. As a consequence, this bi-specific IgG4 show a diminished capacity to form immune complexes (IC) that require cross-linking of antigens. Together, this endows IgG4 with a possible anti-inflammatory role. The main characteristics of human Ig subclasses are summerized in Table 5.

Subclasses	lgG1	lgG2	lgG3	lgG4		
Structure						
General						
Molecular mass (kD)	146	146	170	146		
Amino acid in hinge region	15	12	62ª	12		
Inter-heavy chain disulfide bonds	2	<b>4</b> <sup>b</sup>	11ª	2		
Mean adult serum level (g/l)	6.98	3.8	0.51	0.56		
Relative abundance (%)	60	32	4	4		
Half-life (days)	21	21	7/21ª	21		
Placental transfer	++++	++	++/++++a	+++		
Antibody respinse to:						
Proteins	++	+/-	++	++c		
Polysaccharides	+	+++	+/-	+/-		
Allergens	+	-	-	++		
Complement activation	Complement activation					
C1q binding	++	+	+++	-		

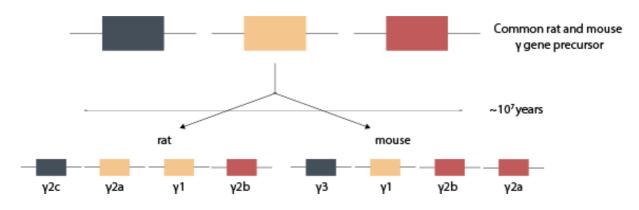
**Table 5 Human IgGs properties.** <sup>a</sup> Depending on the allotype; <sup>b</sup> for A/A isomer; <sup>c</sup> After repeated encounters with protein antigens, often allergens. Adapted from<sup>93</sup>.

#### Mouse IgGs

Similar to humans, there exist 4 IgG subclasses in mice: IgG1, IgG2a/c, IgG2b and IgG3<sup>94-96</sup>. Whether a mouse expresses IgG2c (C57BL/6, NOD, SJL) or IgG2a (Balb/c and many other strains) depends on the strain<sup>96,97</sup>. IgG subclasses expression is influenced by many factors, such as cytokine profile and the nature of antigen. Th1 cytokines and protein antigen elicit T cell-dependent antibody production of IgG2a, IgG2b and IgG3. Th2 cytokines induce the expression of IgG1>>IgG2a<sup>98</sup>. Carbohydrate antigen elicits T-independent immune responses favoring the production of IgG3.

#### Rat IgGs

In rat, there are also four IgG subclasses: IgG1<sup>99</sup>, IgG2a<sup>100,101</sup>, IgG2b<sup>100,101</sup>, IgG2c<sup>102</sup>. It has been proposed that rat and mouse C $\gamma$  gene probably evolved from a common set of ancestral genes: the rat  $\gamma$ 2c gene shows homology to mouse  $\gamma$ 3; the rat  $\gamma$ 2a/ $\gamma$ 1 pair to mouse  $\gamma$ 1; and the rat  $\gamma$ 2b is homologous to mouse  $\gamma$ 2a/2b. Functionally, all of the rat IgGs can bind to complement component C1q, with rat IgG2b being the most effective and rat IgG2c showing reduced activity<sup>103–105</sup>.



**Figure 1 Proposed model for the evolution of rat and mouse C γ genes.** Adapted from <sup>103</sup>.

#### IgG-FcyRs interspecies cross-binding

Antibody and Fc receptor interactions play a critical role in the immune response. Since the late 1980s this potential is being harnessed by the development of antibody-based therapeutics. Indeed, there are today over 500 monoclonal antibodies licensed for use in humans. Before their approval, these therapeutic antibodies have to go through vigourous pre-clinical and clinical validations. Preclinical studies are often done in animal models, and most frequently those are mouse models. Although humans and mice share some similarities in IgG and Fc $\gamma$ Rs, their difference in genetics, affinity, expression, endogenous IgG and polymorphic variations largly affect biological functions. In order to anticipate therapeutic success of antibodies tested and developed in animal models, a precise understanding of binding capacity between human and mouse Fc $\gamma$ Rs and IgGs from various species is therefore of critical importance.

#### Memory B cells

In adaptive immunity, B cell memory plays critical role in humoral immune response. As previously mentioned, many memory B cells are produced during GC reactions. One hypothesis proposes that the BCR affinity and antigen avidity determine the fate of B cells in GC reaction: GC B cells with low-affinity BCRs differentiated into memory B cells; those with high-affinity BCR differentiate into plasma cells and the intermediate-affinity ones re-enter the GC reaction<sup>106</sup>. Besides, B cells with high-affinity BCR would get more potent T cell help through CD40 signaling, when this help presented over prolonged periods of time, B cells differentiate into plasma cells<sup>107</sup>. In the re-activation phase, memory B cells differentiate into plasma cells or re-enter GC. Although it still debated how the memory fate decision is made, it plausible to think that it may depend on the location of the memory B cell and/or the Ig isotype it expresses. Memory B cells with Ig switched isotype may thus directly differentiate into plasma cells, whereas memory B cells with an IgM isotype would re-enter GC, where they undergo affinity maturation and further differentiated into memory B cells or plasma cells<sup>108-110</sup>. However, this view was challenged by the observation that IgM memory B cells appeared to have much less GC forming capacity than Ig switched memory B cells that rapidly re-form GCs upon antigen re-exposure, leading to further diversification of their BCRs<sup>111</sup>. Furthermore, in malaria rechallenge, IgM memory B cells were reported to directly differentiate into plasma cells enabling them to rapidly secrete antibodies<sup>112</sup>...

#### Plasma cells

Antibody-secreting cells mark the terminal stage in B cell differentiation, which includes plasmablasts and plasma cells. Plasmablasts are antibody-secreting cells with the capacity to divide and migrate. They can further differentiate into plasma cells. Plasma cells are terminally differentiated B cells with the ability to secrete large amounts of antibodies<sup>113</sup>. The current paradigm proposes that two populations of plasma cells existed: Short-lived plasma cells and long-lived plasma cells. Like memory B cells, long-lived plasma cells are another cell population that through their existence keep the memory of previous immunological challenges. The bone marrow provides niche for

plasma cells. It provides factors and ligands (including IL-5, IL-6, TNF- $\alpha$ , BAFF, APRIL, CXCL12) crucial for plasma cell survival<sup>114</sup>. It has been proposed that plasma cells, stromal cells and eosinophils engaged in a complicated interplay necessary for the survival of plasma cells (Figure 2): eosinophils and plasma cells attached to stromal cells through chemokines (CXCR4 to CXCL12) and adhesion molecules (VLA-4 to VCAM-1); components of the extracellular matrix, like hyaluronic acid and fibronectin, are also involved in these interactions; the interaction between CD28 and CD80 promotes plasma cells survival; plasma cells secrete Ig that binds to eosinophils and stimulates their production of cytokines like IL-1 and TGF- $\beta$ . These cytokines in turn induce stromal cells to secrete IL-6 and CXCL12 required for the survival of plasma cells, and IL-5 and GM-CSF for the maturation of eosinophils<sup>114</sup>.

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Figure 2 Possible interactions between the stromal reticulum, plasma cells, and eosinophils. Reprinted from 114.

Although the majority of long-lived plasma cells were found in the bone marrow, other organs or tissues may also provide a niche for their survival. Indeed, intestinal stromal cells expressed adhesion molecules like VCAM-1 and ICAM-1 and some cells in intestinal mucosa (monocytes, macrophages, dendritic cells and also regulatory T cells) express abundantly APRIL, the proliferation-inducing ligand<sup>115</sup>, suggesting that the intestine could also serve as an survival niche for long-lived plasma cells. Furthermore, certain

disease conditions may promote plasma cell survival outside the bone marrow. This has for example been suggested for multiple sclerosis patients, in which the presence of non-proliferating plasma cells in the central nervous system (CNS) was observed<sup>116</sup>, or in spleen biopsies of patients with primary warm autoimmune hemolytic and treated with rituximab<sup>117</sup>. More studies are however needed to clarify the presence of plasma cell survival niches outside of bone marrow in healthy and pathological conditions.

#### 1.2. Example of an immune system out of balance - allergy

#### 1.2.1. Clinical features of allergic diseases

Allergic diseases regroup a rather large spectrum of afflictions, including allergic asthma, rhinitis and conjunctivitis, as well as atopic dermatitis, and hypersensitivity towards food, drugs, insect stings and others. Common to all allergic conditions is the overreaction of the immune system to a generally harmless trigger. The prevalence of allergic diseases is rising dramatically worldwide in both developed and developing countries. Allergies are now the most common chronic afflictions in Europe and affect up to 20% of the population<sup>118</sup>. The European Academy of Allergology and Clinical Immunology (EAACI) defines allergy as "a hypersensitivity reaction initiated by specific immunologic mechanisms." The term hypersensitivity is used to describe: "Objectively reproducible symptoms or signs initiated by exposure to a defined stimulus at a dose tolerated by normal person" <sup>119</sup>.

Antigens at the origin of allergic diseases are termed allergens. Depending on the route of exposure to an allergen, allergic patients will develop local symptoms: inhaled allergens composed of pollen, fungi, animal products (from mammalian and arthropod), dust and other small particles are likely to induce coughing, wheezing and shortness of breath; ingested allergens like food or drugs, rather provoke swelling of the tongue (Quincke's edema), vomiting, and diarrhea. There exist also contact allergens (nickel, chemicals/drugs applied topically) that often induce dysesthesia, pruritus, or purpura on the exposed skin. In the case of drugs, venom or saliva of insects the allergens may be injected and frequently trigger pruritus or erythema. In rare cases, allergies can be overwhelming and evolve from locally restricted reactions to systemic manifestations that can be life threatening. This is the case for anaphylaxis. "Anaphylaxis is a severe, life-threatening generalized or systemic hypersensitivity reaction." In Europe, 0.3% of the population will experience anaphylaxis throughout their lives 120. In the US, the prevalence of anaphylaxis is even reported to be 5.1% 120. Food, drugs, and hymenoptera venom are the most common triggers of the anaphylaxis 120.

Allergy diagnosis

Overview

The diagnosis of allergic diseases always starts with a careful patient history and

physical examination. When an allergic disorder is suspected, a series of tests can be

conducted to confirm the presence of hallmarks of allergic physiopathology. Those tests

include allergen-specific IgE assay, basophil activation assay, skin tests, and in some

circumstances even challenge tests. Additional criteria listed in box I further apply in the

special case of anaphylaxis:

Anaphylaxis is highly likely if any one of the following three conditions is satisfied.

1. Acute onset of illness with:

Mucocutaneous involvement (pruritus, flushing, urticaria, angioedema) and one of the following:

A. Respiratory complications (wheezing, stridor, hypoxemia/cyanosis)

B. Hypotension<sup>a</sup> or end-organ damage (encephalopathy, kidney injury, etc.)

2. Two or more of the following occurring rapidly after exposure to known or likely allergen:

• Mucocutaneous involvement (pruritus, flushing, urticaria, angioedema)

• Respiratory complications (wheezing, stridor, hypoxemia/cyanosis)

• Hypotensiona or evidence of end organ hypoperfusion (encephalopathy, kidney injury, etc.)

• Persistent gastrointestinal symptoms (pain, nausea, vomiting)

3. Reduced BP soon after exposure to a known allergen.

a Hypotension in adults is regarded as systolic BP of <90 mm Hg or greater than a 30% decrease in systolic BP from the patient's baseline. Hypotension in infants and children: systolic BP <70 mm Hg (1 - 12 months); <(70 mm Hg + [2x age]) (1 - 10 years);

<90 mm Hg (11-17 years); or >30% decrease in systolic BP.

Box I: Adapted from 121.

33

#### Allergen-specific IgE assay

Allergen-specific IgE is generally considered to be a necessity for the occurance of most allergies. Its clinical deterction is based on the incubation of patients' samples, generally serum, with allergens coupled to a solid phase, with subsequent specific detection and quantification.

#### Basophil activation test

Basophils are besides mast cells the prototype of allergic effector cells. Whereas mast cells are tissue resident cells and hence difficult to obtain, basophils can be directly tested in fresh blood samples of patients. Both cell types express high-affinity IgE receptors (FceRI) that capture circulating IgE enabling them to instantaneously react upon exposure to allergens. In contrast to the allergen-specific IgE assay, the basophil activation test (BAT) is a functional assay, which evaluates the response of basophils to exposure with allergen. The principle of BAT is based on the detection of basophil activation markers, such as CD63, CD203c, CD13, and CD69 by flow cytometry. There is little consensus about gold standard conditions in which BATs should be executed and as a consequence many clinical laboratories have established their own protocol and criteria. Variations include use of whole blood or isolated peripheral blood mononuclear cells (PBMCs), allergen concentrations tested, activation markers evaluated, addition of IL-3 for basophil priming, and the formula to quantify BAT positivity. The sensitivity of BAT varies from 55% to 97.6% depending on the allergen type<sup>122</sup>.

#### Skin test

Skin tests are the most widely used functional in vivo tests in allergy diagnosis. In analogy to the BAT that test IgE-loaded basophils, skin tests directly monitor the response of resident mast cells. Upon challenge with the allergen, cross-linking of allergen-specific IgE-loaded mast cells will degranulate and release mediators that induce local vasodilation and increased capillary permeability. As a consequence, wheal-

and-flare reactions appear within 15 min to 20 min upon challenge that can be quantified by the allergologist. There are two types of skin tests: epicutaneous and intracutaneous. The epicutaneous test is easy and rather safe to perform and causes little pain. The intracutaneous test is 100 to 1000 more sensitive than epicutaneous test and is more reproducible 123.

#### Provocation tests

Compare to all the tests mentioned above, allergen challenge tests might be of the biggest diagnostic use, but also bare the largest potentiel of causing adverse reactions <sup>123</sup>. Provocation tests are based on the controlled introduction of the allergen through inhalation, application, injection and ingestion and can be done as bronchial challenges (especially in occupational asthma), nasal challenges for the diagnosis of allergic rhinitis, oral challenges for the food or drug allergy and also injections for drug or insect sting allergy. Due to the associated risk they need to be performed in appropriate supportive care units. Challenge tests are especially useful in the absence of all classical signs of IgE-driven allergies (specific IgE, positive BAT and skin test), in order to establish a diagnosis.

As mentioned before, allergies can develop towards nearly every molecule the human body is exposed to. With the exception of drugs, most allergy-inducing substances are composed of different molecules that can alone or in combination trigger the reaction. In my thesis, I was particularly focused on allergies to wasp venom and the beta-lactam antibiotics amoxicilline that I will introduce in more detail below. The three major components in vespid/wasp venom are: VesV1, VesV2, and VesV5. VesV1 is a phospholipase A1, which participates in the hydrolysis of phosphatidylcholine. VesV2 is an enzyme hydrolyzing high molecular weight hyaluronic acid to derive smaller oligosaccharides. VesV5 is a member of the CAP (cysteine-rich secretory protein/antigen 5/pathogenesis related-1) family with unknown function<sup>124</sup>. Penicillin belongs to beta-lactam antibiotic, which shares the common feature in their structure: the 3-carbon and 1-nitrogen ring (beta-lactam ring)<sup>125</sup>. Penicillin group includes penicillin G, penicillin V, ampicillin, amoxicillin and methicillin. Their structural difference is based on R group on the acyl side chain<sup>126</sup>. Like most of the drugs, penicillin is too small to be immunogenic; the allergic response to penicillin is against the complexes of penicillin products covalently bound to self-proteins. The penicillin allergen component derived from beta-lactam ring or a specific side chain R group. Upon administration, the beta-lactam ring opens and forms several breakdown products. Among those products, the major allergenic determinant is penicilloyl. In some cases, individuals do not react to beta-ring products but react to R-chain groups<sup>127</sup>, which means they could be sensitized to amoxicillin without developing allergic reaction to penicillin G.

#### Hymenoptera venom allergy

Hymenoptera venom allergy includes any allergic reaction cause by the sting of Hymenoptera insects<sup>128</sup>. 55%-95% of individuals are stung at least once by a hymenoptera species in their lifetime<sup>129</sup>. The resulting reaction can range from local to systemic anaphylactic<sup>124</sup> with large local reactions and systemic anaphylactic reactions being the most frequent ones. A large local reaction is defined as a swelling exceeding a diameter of 10 cm and lasting for longer than 24 hours. The systemic anaphylactic reaction includes generalized skin symptoms such as flushing, urticaria, dizziness, dyspnea, and even cardiac or respiratory arrest. Systemic anaphylactic reactions are further classified in different grades of severity according to Mueller<sup>130</sup> and Ring and Messmer<sup>131</sup>.

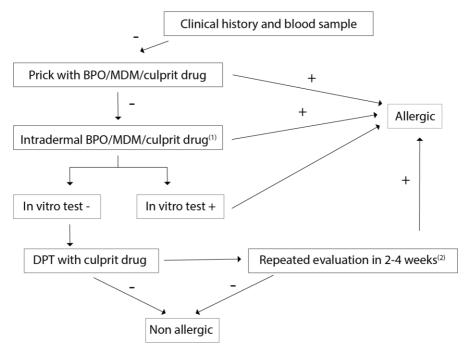
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Table 6 (a) Classification of systemic reactions to insect stings by Mueller, (b) classification of systemic reactions modified according to Ring and Messmer.

Diagnosis of hymenoptera sting allergy is based on information about the date of sting, the severity of the symptoms, the interval between sting and symptoms, ideally the insect itself in combination with the general allergic testing (epicutaneous or the intracutaneous test; allergen-specific IgE test; other in vitro tests like basophil activation test and leukotriene release test<sup>124</sup>). Patients that experienced a severe reaction will be proposed to undergo venom immunotherapy, the only treatment able to reduce the severity of allergic reactions. This therapy is effective in 77%-84% of patients with allergies to honeybee venom, and 91%-96% of patients with wasp venom allergy<sup>132</sup>. Mechanisms of allergen specific immunotherapy will be further discussed in section 4.3.

## Beta-Lactam drug allergy

Drugs are the most frequent trigger of anaphylaxis in adults<sup>133</sup>. Among those drugs, beta-lactam antibiotics are the most common causative drugs. About 8% of individuals in the USA are reported to have a history of penicillin allergy<sup>134</sup>. Depending on the time interval between drug administration and the onset of allergic reactions, drug allergic reactions can be classified as immediate, accelerated, and delayed<sup>135</sup>. Typical symptoms for the immediate reaction include urticaria, angioedema, and even anaphylaxis. The diagnosis for beta-lactam drug allergy is difficult and includes skin testing, allergenspecific IgE, drug provocation test, and BAT. It is however noteworthy that the BAT frequently returns a negative result. Furthermore, it is frequently observed that reintroduction of penicillin in individuals with reported penicillin allergy do not elicit allergic reactions. Due to the diagnostic difficulties the European Network for Drug Allergy and EAACI interest group on drug hypersensitivity recommended two diagnostic algorithms: the short algorithm and the long algorithm<sup>136</sup>. The short algorithm is depicted in Figure 3.



**Figure 3 Short algorithm for beta-lactam allergy diagnoses.** BPO: benzylpenicilloyl; MDM: minor determinants mixture; DPT: drug provocation test; (1) If chronology unknown for uncertain check for late reading; (2) If positive clinical history and long interval of the reaction. Adapted from <sup>136</sup>.

Notably, patients allergic to beta-lactam may be sensitized to several antibiotics from the same family, while being tolerant to others. For those patients, it is not recommended to prohibit the whole group of beta-lactams but carefully evaluate reactivity to each molecule. Finally, for multi-sensitized patients there is the possibility to move to alternative antibiotic families, such as cephalosporins that show little cross-reactivity<sup>137</sup>. For all the above-mentioned reasons, immunotherapy is usually not proposed to patients allergic to beta-lactams.

# Current allergy treatments and their limitations

To limit the clinical signs associated with allergic diseases, there exist three major strategies: avoidance, control of symptoms by pharmacotherapy and allergen-specific immunotherapy (AIT). Without doubt the most effective way to prevent the occurrence of allergic reactions is to avoid any contact with allergen. Depending on the allergen, however, this strategy can be anything ranging from easily achieved to inapplicable in everyday life. Amoxicillin allergy is a good example for the first case. Amoxicillin is generally not required on a daily basis and additionally it can be rather easily replaced by an alternative drug of a different chemical class, when there is an indication for antibiotic therapy. On the contrary, it is close to impossible to avoid exposure to host dust mite-related allergens in daily life or pollen during flower season. Both types of allergens spread through the air in such quantities that they are present everywhere in the environment. In such cases, clinical signs of allergic diseases can be reduced by pharmacotherapy. Currently, there are several kinds of anti-allergy medicines on market: blockers or competitors of the most prominent allergic players, such receptor antagonists of histamine or platelet activating factor (PAF) receptors, anti-IgE monoclonal antibodies (mAbs), and mAbs interfering with IL-4/IL-13 signalling or the IL-5 pathway. Finally, in some cases of allergy (e.g. hymenoptera venom and some cases of food or pollen allergy), in which the causative allergen has been identified, AIT can be applied aiming to profoundly alter the immune response of the patients to an allergen. It can sustainably induce immune tolerance, allowing patients to re-expose themselves to their allergic trigger without any or only mild adverse reactions; sometime even years after cessation of AIT.

#### Pharmacotherapy to reduce symptoms associated with allergies

Bioactive mediators released from mast cells or basophils during allergic reactions are at the origin of various clinical signs associated to allergies. For example, mast cell-derived histamine binds to histamine 1 receptors (H1Rs) expressed by smooth muscle cells, endothelium and sensory nerves in the periphery, resulting in

bronchoconstriction, vasodilation and hyper-nociception. Therefore antihistamines (or better H1R antagonists) are widely used to control the symptoms of allergic disease. However, H1R are not only expressed in the periphery but also in the central nervous system and additionally to H1R three other histamine receptor exist that may be blocked concomitantly if the drugs used are not specific enough to only block H1R. This is the reason, why the first generation of antihistamines often caused side effects, including dizziness, blurred vision, nausea and vomiting. The second antihistamine drugs were designed to reduce their passage through the blood-brain barrier and thus somnolence as a side effect, undesired effects on the periphery however remain a matter of concern. Compared to the second generation, the third generation of antihistamines further reduced those side effects, and show for examples no more cardiac toxicity 138.

# Anti-IgE monoclonal antibodies (Omalizumab and Ligelizumab)

Because IgE plays a central role in allergic reactions, reducing the amount of IgE in an allergic individual became one of lead strategy to reduce allergic symptoms. Omalizumab (Xolair®; Novartis) is humanized IgG1, $\kappa$  anti-IgE mAb, which binds to the heavy chain C $\epsilon$ 3 domain of free IgE, thus preventing its binding to Fc $\epsilon$ RI<sup>139</sup>. It triggers the clearance of IgG-bound IgE, thereby leading to an immediate reduction of tIgE levels. Because Fc $\epsilon$ RI requires binding of IgE to be stabilized on the cell surface, Omaluzimab treatment also reduces in the long run Fc $\epsilon$ RI-expression levels on mast cells and basophils<sup>140</sup> and hence mediator release and symptoms of allergic crises. This observation is in agreement with my observation that serum IgE concentrations positively correlate with basophil Fc $\epsilon$ RI expression. Omalizumab has been approved in 2003 for the treatment of severe allergic asthma and chronic idiopathic urticaria<sup>141</sup>.

The resolution of the crystal structure of omalizumab: IgE complex revealed that omalizumab-Fab binds to the middle part of the IgE Cɛ3 domain, its heavy chain interacts with a reagent proximal to the CD23 binding site and its light chain with a segment proximal to FcɛRI binding sites<sup>142</sup>. This explains its capacity to only bind free IgE. On average Omalizumab is administered at a dose of 75-375 mg every 2-4 weeks in the case of asthma and at 150 mg or 300 mg every 4 weeks for urticaria. At a price of

541\$/150mg, the monthly cost of Omalizumab treatment therefore ranges between \$541-\$2706, which is a heavy economic burden.

Last year, a second generation high-affinity humanized monoclonal anti-IgE antibody named Ligelizumab has been tested in a phase 2b trail for chronic spontaneous urticaria<sup>143</sup>. Compared to Omalizumab, patients needed 10 times lower doses of Ligelizumab to achieve the same effect on IgE reduction. It could therefore present an interesting alternative to Omalizimab treatment.

Furthermore, Omaluzimab has been described to trigger adverse reactions and notably anaphylaxis in 0.1-0.2% fraction of patients  $^{144,145}$ . It also was reported to transiently increase basophil sensitivity  $^{146}$ . It is therefore recommended to be used only when IgE titers are lower than 500-700 IU/mL $^{147}$ . Our laboratory has recently published a Fcengineered Omalizumab which has the equivalent IgE blocking efficacy without inducing FcyR-dependent adverse effect  $^{148}$ . In extension, a similar approach could be applied to make Ligaluzimab safer.

## Blockade of IL-4 and IL-13 signaling (Dupilumab)

IL-4 and IL-13 are critical cytokines involved in Th2 biased allergic reactions. They are predominantly secreted by Th2 cells, ILC2s and basophils and trigger class-switch reactions to IgE in antibodies secreting cells. In addition, they enhance the contractility of smooth muscles in the airways, mucus production and expression of inducible nitric oxide synthase in airway epithelial cell. Il-4 has furthermore been described to upregulate collagen and fibronectin synthesis in fibroblasts thus participating to tissue remodelling, which is hallmark of severe asthma<sup>149</sup>. Besides IL-4 and IL-13, Th2 cells also produce large quantities of other cytokines, such as IL-5 and IL-9<sup>150</sup>. IL-5 promotes eosinophil egress from the bone marrow in cooperation with eotaxin<sup>151</sup>. IL-9 attracts mast cells to tissues and promotes their growth <sup>152</sup>. Together these cytokines are involved in many key aspect of the allergy pathology.

IL-4 and IL-13 exert their biological activity through binding to a heterodimeric receptor, composed of the IL-4 receptor  $\alpha$ -subunit and the IL-13 receptor  $\alpha$ 1-subunit (IL-4R $\alpha$ /IL-13R $\alpha$ 1)<sup>153</sup>. IL-4 can additionally induce signal transduction through a receptor complex made of IL-4R $\alpha$  and the common gamma ( $\gamma$ C) chain, shared among many cytokine receptors. IL-13-driven cell activation can be counter-balanced through expression of the IL-13 receptor  $\alpha$ 2 chain (IL-13R $\alpha$ 2), which does not contain a intracellular signalling domain and competes with the activating receptor<sup>154</sup>. It follows that productive IL-4 and IL-13 signalling requires in all cases the IL-4R $\alpha$ , which makes it a prime target to contain exaggerated Th2-driven immune responses.

In 2017, the FDA has approved Dupilumab (Dupixent®), a human IgG4 anti-IL-4R $\alpha$  mAb<sup>149</sup> for the treatment of moderate-to-severe atopic dermatitis. Its application was since extended to moderate-to-severe asthma, and inadequately controlled chronic rhinosininusitis with nasal polyps in adults. The administration of Dupilumab significantly reduces Th2 associated cytokines, IgE levels and fractional exhaled NO (FENO) concentration (as a measure for the extent of eosinophilic inflammation) in asthma patients. However, it was also reported to transiently induce eosinophilia in a fraction of the patients<sup>149</sup>. In addition, persistent anti-drug antibodies were also observed in Dupilumab-treated patients, limiting its effect and use<sup>155</sup>. The list price for Dupilumab exceeds with \$3110 per month of treatment the costs of Omalizumab treatment.

Anti-IL-5/ anti-IL-5R monoclonal antibodies (Mepolizumab, Reslizumab, and Benralizumab)

As mentioned above, IL-5 plays an essential role in eosinophil production and survival, which made IL-5 and its receptor an attractive therapeutic target in allergic disease. IL-5, IL-3 and GM-CSF belong to the  $\beta$  common chain ( $\beta$ c) cytokine family. They all bind to a heterodimeric receptor consisting of the  $\beta$ c and a cytokine specific  $\alpha$  chain <sup>156</sup>.Unlike IL-3 and GM-CSF, IL-5 is a homodimeric cytokine. It first binds to the IL-5R $\alpha$  chain in its homodimeric form and then this tertiary complex associates with the  $\beta$ c subunit. In

addition to Th2 cells and ILC2s, IL-5 can be secreted by eosinophils as an autocrine growth factor or by mast cells. The IL-5 receptor is highly expressed on eosinophils and their precursors, as well as on neutrophils and basophils in humans.

Eosinophil infiltration is one of the often-cited hallmarks of several allergic diseases and notably asthma. In some asthma patients, eosinophil infiltration of the airways results in reduced responses to bronchodilator therapy and inhaled corticosteroids. Two anti-IL-5 and one anti-IL-5R mAbs are available for the treatment of eosinophilic asthma.

Mepolizumab is a humanized IgG1 anti-IL-5 monoclonal antibody<sup>157</sup>. The administration of Mepolizumab to severe eosinophilic asthma patients significantly reduces asthma exacerbations and markedly decreases blood eosinophil numbers. No anti-drug antibodies were described. Reslizumab is another anti-IL-5 mAb, it comes in the format of a human IgG4. A recent study shows Reslizumab has higher binding affinity for IL-5 and a greater IL-5 inhibition potency in vitro than Mepolizumab<sup>158</sup>.

Benralizumab is an anti-IL-5R $\alpha$  mAb, which entered the market in 2017. The administration of Benralizumab decreases the blood eosinophil numbers in patients with baseline blood eosinophils> 300 cells per  $\mu L^{159}$  and reduces the annual number of asthma exacerbations. However, compared to Mepolizumab and Reslizumab, Benralizumab shows a high rate of adverse reactions with around 10% of patients experiencing serious adverse events during treatment<sup>160</sup>.

#### Allergen specific immunotherapy

Allergen specific immunotherapy (AIT) has been used for around 100 years<sup>161</sup>. Its application has been approved for respiratory allergies, venom hypersensitivity as well as more recently for food allergy <sup>162</sup>. The concept of AIT is to "desensitize" the immune system by gradually increasing the allergen exposure until reaching a maintenance dose, which is often comparable to the dose of exposure to the allergen upon a natural

encounter. In comparison to drugs that control allergic symptoms or mAbs, patients receiving AIT generally show long-term remission of symptoms after discontinuation. This is due to the profound modulation of the immune system that is induced by AIT. Generally, AIT consists of two phases: a build-up phase and a maintenance phase <sup>163</sup>. Depending on the protocol, the build-up phase can last between days and months. For example, in ultra-rush venom immunotherapy (VIT), the build-up phase is performed in a single day with repeated incremental injections, whereas in the conventional protocol, this phase lasts for four months with injections at defined intervals. After reaching the maintenance dose, AIT enters a maintenance phase, which generally lasts for 3 to 5 years. AIT administration can be done subcutaneously, sublingually, or orally <sup>132,164,165</sup>. Subcutaneous injections and oral immunotherapy during build-up stage require specialized clinical settings as severe adverse reactions can occur in rare cases that require immediate medical assistance. Sublingual immunotherapy is considered to be sufficiently safe to be self-administered by the patient at home.

AIT re-establishes tolerance towards a given antigen or sometime even group of antigens. It acts through different immune pathways that show considerable variations between patients and as a function of the protocol employed. AIT was reported to reduce Th2 cell and ILC2 numbers, and with them the production of IL-4 and IL-13<sup>166</sup>. AIT was also described to induce both T and B regulatory cells and thus to increase secretion of IL-10<sup>167,168</sup>. B regulatory cells display an immunoregulatory receptor profile, with the expression of CD25, PD-L1, SOCS3<sup>168</sup>. Antibody responses are also modified during AIT, notably an increase of allergen-specific IgG4 can be observed, that is considered to compete with IgE for allergen binding and to "neutralize" the antigen due to its poor capacity to induce Fc-dependent effector functions<sup>169–172</sup>. In the build-up phase of AIT, there is often a transient increase of allergen-specific IgE to be observed that gradually decreases during AIT<sup>173–175</sup>, but does not necessarily go back to baseline levels. AIT was finally reported to decrease mast cells and basophils degranulation<sup>176,177</sup>, as well as eosinophil infiltration to sites of allergic inflammation<sup>178</sup>.

Compared to therapeutic mAbs, AIT has a low cost and together with its long-lasting

beneficial effects could be considered the treatment of choice for all allergic diseases, but in practice the use of AIT is limited for several reasons: Firstly, a complete cycle of AIT lasts years, which can be as such a reason for some patients not to initiate the treatment or for discontinuation. Adherence, however, notably to VIT is high<sup>132</sup>, which might be due to the patients' fear of reliving a severe allergic reaction if left untreated, or the perspective a suffering life-long from allergic rhinitis. Secondly, the causative allergen for a given allergy needs to be identified and available as a high-quality, standardized allergen extract or recombinant protein needed for AIT. Together these factors limit the actual application of AIT. Finally, an important disadvantage of AIT lies in that fact that there exist no validated biomarkers that could inform on the success of the therapy. As a consequence patients treated with AIT often continue to live as if they were still allergic. Also there are no markers defined that allow to estimate the chances of a successful AIT for a given patient. Several biological parameters, including cell populations or antibodies response have been reported to be different before and after AIT and could be candidates for such "prediction" or "AIT success markers" in inhaled allergen immunotherapy. They are summarized in Table 7<sup>179</sup>.

Domains	Biomarkers
Antibodies	lgE (serum lgE, total lgE, serum lgE/ total lgE)
	serum lgG4
	IgA
Serum Inhibotory activity for IgE	IgE-FAB
	ELIFAB
Basophil activation	CD63
	CD203c
	Diamine oxidase
	Basophil histamine release
Cytokines and chemokines	Th2: IL-4, IL-13, IL-9, IL-17, eotaxin, TNF-α
	Th1: IFN-γ, IL-12
	Regulatory: IL-10, TGF-β
Cellular biomarkers	Treg cells
	Breg cells
	DCs
In vivo biomarkers	Allergen provocation tests
	Chamber studies

**Table 7 Biomarkers for the prognosis of inhaled allergen immunotherapy.** Adapted from 179.

#### 1.2.2. Immunopathogenesis of allergic disorders

In an attempt to clarify the immunopathologic mechanism of hypersensitivity, Gell and Coombs classified the reactions into four different groups: Type I (immediate or anaphylactic), Type II (cytotoxic, or cytolytic), Type III (antigen-antibody complex), and Type IV (delayed or cell-mediated)<sup>180</sup>. During hypersensitivity reactions only one or several reaction types can be at play at the same time. For example, the major reaction in the immediate phase of penicillin allergy is Type I, and the Type IV pattern participates in the late phase reaction<sup>123</sup>.

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**Figure 4 Summary of the four types of hypersensitivity reaction.** Type I: mast cells or basophils (not shown) bind to IgE through FcɛRI. Surface-bound IgE is cross-linked by allergen, which leads to the actication of mast cells or basophils. Activated cells release mediators. Type II: Antibodies directly bind antigen on target cells, which leads to CDC or cytotoxicity by killer cells. Type III: Immune complexes are deposited in the tissue, and induce complement activation, attract polymorphonuclear cells causing local damage. Type IV: Antigen-specific T cells release cytokines, which attract and activate macrophages leading to the damage. Reprinted from google: <a href="https://clinicalgate.com/immediate-hypersensitivity-type-i/">https://clinicalgate.com/immediate-hypersensitivity-type-i/</a>

Classically, the allergic reaction considered to be Type I reaction, which is an IgE-dependent Th2-biased immune reaction. Upon first exposure to an allergen, antigen-presenting cells process and present allergen peptides through MHC class II to CD4+ T cells. Antigen recognition and epithilum derived cytokines IL-25, IL-33 and thymic

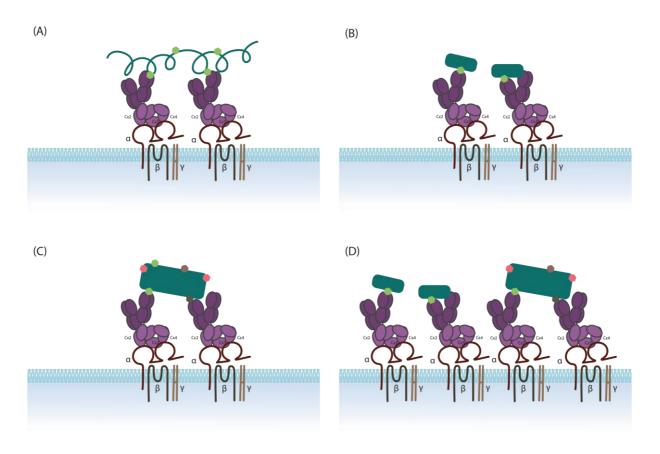
stromal lymphopoietin (TSLP) induce CD4+ T cell activation  $^{181,182}$ . Activated T cells get expanded, secrete type 2 cytokines, upregulate chemokine receptors and integrins to migrate to the inflammatory site. The type 2 cytokines promote eosinophil maturation and survival, participate in airway hyperresponsiveness, as well as induce B cell isotype switching to IgE183. During this process,  $T_{FH}$  closely cooperate with Th2 cells to favor IgE production184. Apart from Th2 cells and  $T_{FH}$ , another type of T cells, type2 innate lymphoid cells (ILC2) also participate. ILC2 develop from common lymphoid progenitors. Although they lack antigen specific receptors, ILC2 could also respond to certain cytokine stimulations (IL-25, IL-33 and TSLP) and secrete type 2 cytokines, which contribute to tissue eosinophilia and mucus production185. One important negative regulator cell type in this process are Tregs, which secrete anti-inflammatory cytokines like IL-10 and TGF- $\beta$ 186.

The IgE further binds to IgE receptors expressed on mast cells in tissue or basophils in the circulation, thereby sensitizing them to the allergen recognized by that IgE. The initial sensitizing phase is "silent "and not associated with any clinical signs. Upon reexposure to the same allergen, however, the allergen will cross-link the pre-bound IgE on target cells. As a consequence, target cells get activated and release biologically active mediators, such as preformed histamine, tryptase, chymase and proteoglycans, or newly formed lipid-derived mediators, such as PGD2, LTB4, LTC4, LTD4 and LTE4. Those mediators lead to an increase in vascular permeability and mucus production, bronchoconstriction and vasodilation. It also attracts other immune cells to the inflammatory site<sup>187</sup>. Moreover, a wide spectrum of cytokines and chemokines are subsequently produced, further attracting cells to the inflammatory site, including neutrophils and eosinophils, which sustain the inflammatory reaction.

In addition to the cells mentioned above, there is also other types of cells involved in this classical pathway, which will be discussed in section 4.1.

#### Allergen recognition

Recognition of allergens by specific antibodies can occur in different manners, depending on the abundance and structures of the recognized epitope: 1) allergens like polysaccharides often present several repeated epitopes sufficient to cluster IgE-bound FceRI; 2) very small molecules, such as haptens, have only one epitope are incapable of cross-link antibodies by themselves; 3) Some allergens may have several different epitopes with different binding specificity to antibodies; 4) for some allergens, the epitopes could be the mixture of the three conditions<sup>188</sup>. In addition, the distance between epitope have an influence on the shape of the immune complex, thus this distance can determine the strength of effector cell activation<sup>189</sup>.

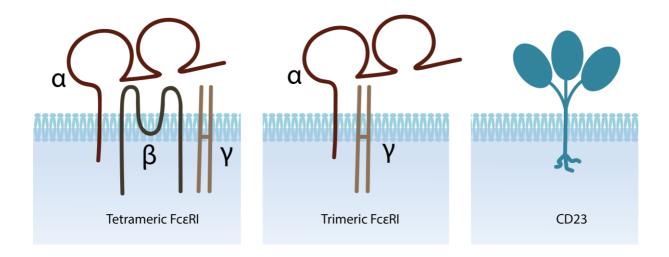


**Figure 5 Models of mast cell bound IgE binding to antigens.** Two IgE molecules on FcεRI receptors attached to a mast cell can bind to (A) a single antigen with multiple identical epitopes (B) an oligomeric antigen with identical epitopes on the subunits, (C) a single antigen with two different epitopes and (D) two different antigens with different epitopes. Adapted from <sup>188</sup>. The structure of FcεRI will introduce in the following section.

#### IgE receptors:

In humans, two IgE receptors exist that bind IgE at the C $\epsilon$ 3 domain in its Fc portion: the high affinity IgE receptor (Fc $\epsilon$ RI) and low affinity IgE receptor (Fc $\epsilon$ RII or CD23). Fc $\epsilon$ RI is expressed by mast cells and basophils in a tetrameric form,  $\alpha\beta\gamma$ 2, and on dendritic cells, monocytes and eosinophils in a trimeric form  $\alpha\gamma_2^{190}$ . Some reports also found Fc $\epsilon$ RI expressed on neutrophils from allergic individuals<sup>191</sup>. Fc $\epsilon$ RI is an activating receptor that transduces signal via the ITAM-containing associated common gamma chain.

CD23 has a broader expression profile and is present on B cells, follicular dendritic cells, monocytes, macrophages, eosinophils, neutrophils and intestinal epithelium. Unlike the classical Fc receptor FceRI, CD23 belongs to the C-type lectin superfamily. Its extracellular domain contains a trimeric alpha-helical coiled-coil "head", which is connected to a "stalk" region. N-terminal of CD23 is intracellular, with two isoforms, CD23a and CD23b. CD23a is constitutively expressed, whereas CD23b is inducibly expressed in response to IL-4.



**Figure 6 IgE receptors.** The high-affinity IgE receptor FcεRI is expressed in its tetrameric form (left) a trimeric form (middle). CD23, the low-affinity IgE receptor, is a type II transmembrane protein (N-terminus intracellular) assembled as a multimer with  $\alpha$ -helical coiled-coil stalks terminating in IgE-binding C-type lectin heads. Adapted from 190.

#### IgE

IgE is the most recently discovered immunoglobulin and was first described by Teruko Ishizaka in the  $1960s^{192}$ . Like all other human immunoglobulins, IgE consists of two pairs of identical heavy and light chains, with four constant domains in its heavy chain. However, IgE does not contain a hinge region, but uses disulphide bonds in the C $\epsilon$ 2 to connect the two heavy chains. Moreover, IgE is devoid of any complement-binding site. IgE binds to both IgE receptors in a bent conformation<sup>193</sup>. The crystal structure reveals that in bent conformation, C $\epsilon$ 2 domains are folded back onto C $\epsilon$ 3 and C $\epsilon$ 4 domains<sup>194</sup>. As a part of a BCR complex, membrane IgE exists in a bent and extended conformation. The later conformation is optimal for capturing allergens, since it has a greater range of conformational space.

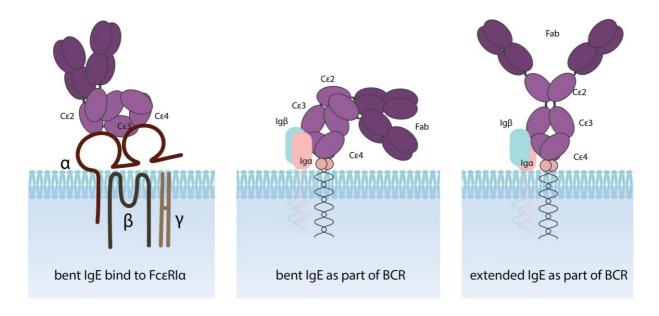


Figure 7 Modeled structure of the entire IgE molecule in different biological contexts. Acutely and rigidly bent IgE bound to Fc $\epsilon$ RI $\alpha$  (left), membrane bent IgE as part of the BCR (middle); extended IgE conformation as part of the BCR (right). Adapted from 195.

IgE is produced by plasma cells in lymphoid organs or local tissues, by both extrafolicular and GC pathways. It appears, however, that early IgE results mainly from extrafolicular production<sup>196</sup>, albeit with limited affinity maturation<sup>197</sup>. The role of IgE production by the GC pathway, is currently under debate and will be discussed later. There are two possibilities of class switch recombination described to move from an IgM

producing cell to an IgE producer: direct switch and sequential switch. During direct class switching, B cells will undergo  $S\mu$ -S $\epsilon$  recombination. In the sequential switch, the B cell will first switch towords an IgG producer (generally IgG1) and then recombine a second time to gain IgE producing capacity ( $S\mu$ -S $\gamma$  switch; then  $S\gamma$ -S $\epsilon$ )  $^{198}$ .

## Regulation of serum IgE level by IgE receptors:

Due to the extremely high affinity of FcɛRI for IgE, it is difficult to estimate the total amount of IgE in the human body, because most IgE will be bound to these receptors on mast cells and basophils and not be free in the circulation. The IgE concentration in the serum of a healthy individual ranges between 20.8-83.3 IU/mL¹99. The IgE serum concentration seems to be regulated by binding of IgE to its receptors. In this context it has been proposed that IgE binding to FcɛRI especially on dendritic cells and monocytes induces its internalization and clearance²00. Additionally, CD23 plays a dual role in IgE level regulation, which is due to its susceptibility to be cleaved in its stalk region²01. The soluble and membrane form of CD23 are involved in IgE up- and down- regulation, respectively. Soluble CD23 triggers up-regulation of IgE concentration through its capacity to co-ligate CD21 and membrane IgE on transitional B cells and thus stimulate B cell proliferation²02. On the contrary, membrane CD23 cross-linked by IgE-antigen complex inhibites B cell proliferation and IgE production²03,204.

#### Generation of IgE memory

How exactly IgE memory is kept is still a matter of active debate. One of the reasons is that IgE+ B cells and plasma cells are rare and particularly difficult to distinguish from other types of B cells due to their expression of CD23 that can also bind IgE. Among all plasma cells in blood, IgE+ plasma cells account for only 0.32% in allergic patients and only 0.06% in healthy individuals<sup>205</sup>. By analyzing blood B cell IGH repertoires from healthy individuals and allergic patients, Looney et al. concluded that IgE+ B cells can derive from IgM+ B cells either through direct switching or from IgG-expressing B cells following at least two sequential recombination events (IgM-IgG-IgE)<sup>206</sup>. Blood, however, does not seem to be a good source of IgE+ B cells, because another study suggested that

in allergic patients, the majority of allergen-specific IgE were produced locally, in allergen-exposed tissues<sup>207</sup>. In line with this observation, IgE-producing cells were observed in human nasal mucosa, adenoids, tonsils, the lung, spleen and bone marrow<sup>208</sup>. It remains however an open question, whether these cells are capable to constitute an IgE memory cell pool.

In mouse models, several studies have tried to solve this question and reached seemingly contradictory conclusions: While Yang et al. described IgE+B cells in germinal centers, these decreased in numbers after day 6 of immunization and notably showed different patterns of mutations than IgE-producing plasma cells<sup>197</sup>, suggesting that GC IgE B cells were not the precursors of IgE-producing plasma cells. In addition, *Huizhong* Xiong et al. reported that IgE inherits fingerprints of somatic hypermutation of IgG1 GC B cells<sup>209</sup>. On the contrary, *Talay et al.* observed IgE+ GC B cells 35 days after parasite infection. They also visualized a dynamic population of IgE-switched B cells in the draining lymph node 13 days after infection by two-photon microscopy<sup>210</sup> and thus proposed that IgE+ GC B cells gave rise to IgE memory B cells and IgE plasma cells. As a consequence, it is still unclear whether IgE memory is maintained in the form of IgG1+ memory cells<sup>197,209</sup> that require switching to yield new IgE-producing cells or directly as IgE+ memory cells<sup>210</sup>. In my opinion it is a caveat of many of the cited studies that they used transgenic mice infected with parasites to study IgE memory. Parasites are indeed well-known to induce a strong pan IgE responses that are not targeted to a given antigen<sup>211</sup>.

#### Alternative anaphylaxis pathway

Many studies addressed the contribution of IgE-dependent mast cells and basophils activation in the physiopathology of anaphylaxis. However, several arguments support the hypothesis that anaphylaxis can occur without contribution of the classical type I hypersensitivity reaction: 1) anaphylaxis can be induced in IgE-deficient mice immunized with ovalbumin<sup>212</sup>; 2)  $\gamma$ 1-antibodies were found to be capable of sensitizing mice in cutaneous anaphylaxis<sup>213</sup>;3) mast cell activation was not required in an anaphylaxis mouse model<sup>214</sup> and histamine antagonists are not sufficient to prevent anaphylactic symptoms in most models of anaphylaxis<sup>215</sup>.

Among IgE-independent anaphyalxis pathways, probably the best described one is IgG dependent anaphyalxis. A large body of evidence support its existence in mice<sup>215–218</sup>. Interestingly, whereas there seems to be a consensus on the fact that IgG (and FcgR) can be at the origin of anaphylactic symptoms in mice, the effector cell population(s) contributing to the reaction seems to vary depending on the model used<sup>219</sup>.

Among the four mouse FcyRs, FcyRIII is the activating IgG receptor with the largest expression and not suprisingly was found to be the dominant contributor to IgG1-, IgG2a-, and IgG2b-trinitrophenyl immune complex induced passive systemic anaphylaxis. FcyRIV can also contribute at high doses of IgG2-induced reactions. Depending on the IgG-subclass used to trigger the reaction, mast cells, basophils, neutrophils, and monocyte/macrophages participate to different extends and hence the reaction depends on histamine and/or platelet activating factor (PAF) <sup>220</sup>. In a mouse model deficient for FcɛRI, FcɛRII, FcyRI, FcyRIIb and FcyRIII (5KO mice), active anaphylaxis was dependent on neutrophil FcyRIV leading to the release of PAF<sup>221</sup>. Other groups have suggested that basophils were the main inducers of IgG1 dependent anaphylaxis<sup>215</sup>, which was put into question with the appearance of a new basophil-deficient mouse model<sup>222</sup>. And macrophages were suggested to be the main players in anti Goat-IgD immunized mice challende with goat IgD<sup>223</sup>

As already introduced (chapter 1.2.1 and 1.2.2), human and mouse IgG-FcγRs have different properties in terms of binding affinities and expression profile. The use of mice

expressing humanized FcyRs in the absence of mouse FcyRs, enabled my lab to demonstrate that human FcyRIIA is sufficient to trigger both passive and active anaphylaxis. Human FcyRIIA dependent anaphylaxis was associated with IgG-activation of monocytes/macrophages and neutrophils leading to the release of PAF.<sup>224,225</sup> As part of my thesis I contributed to further investigate the role of FcyRIIA-expressing cells in this model. Notably, we focussed on the role of platelets that express FcyRIIA in humans, but no IgG receptor in mice. We found that activation of FcyRIIA-expressing platelets were activated by IgG ICs in vivo, leading to their aggregation and activation. This translated into a severe thrombocytopenia and the release of serotonin by platelets, which critically contributed to the severity of anaphylaxis<sup>225</sup>. Interestingly platelet depletion prior to anaphylaxis was sufficient to prevent the reaction in mice expressing exclusively hFcyRIIA, whereas it only reduced the allergic reaction in mice expressing all human IgG receptors (hFcyR<sup>KI</sup> mice). The article describing these findings is attached to this thesis (Annex 7.1). Similar findings were reported in mice that express hFcyRIIA in WT mice<sup>226</sup>. In addition, in the mouse strain comprising both low affinity activating human FcyR (hFcyRIIA, hFcyRIIIA, and hFcyRIIIB) and inhibitory (hFcyRIIB), the contribution of anaphylaxis is predominantly by hFcyRIIA, which is abundant on neutrophils. Also, in this mouse strain, depletion of neutrophils protected the mice from hypothermia<sup>227</sup>.

Building on the results obtained from mouse data, a clinical study could recently provide new lines of evidence for a contribution of IgG-dependent pathway to human drug-induced anaphylaxis. Human anaphylaxis severity was correlated with elevated anti-drug IgG levels, FcgR downregulation on neutrophils, and associated with neutrophil and platelet activation<sup>225,228</sup>. Collectively, these examples illustrate that multiple pathways can be at play in human anaphylactic reactions and therefore probably in allergies in general.

#### 2. Summary and objectives

Until today it is largely unknown what events drive the development of allergies. The same stimuli and exposure may trigger their occurrence in one individual, while others remain tolerant. The goal of my thesis was to gain new insights into what makes a person allergic and in which way the immune system of an allergic individual differs from the one of a healthy person. To this aim, I evaluated the immune phenotype in a small number of allergic patients insteady state as well as in induced immune responses and compared them to the phenotype of healthy individuals. I could also profit from the available data of the Milieu Interieur cohort, an extensively analysed cohort of 1000 healthy donors to question which immunological, genetic and environmental factors determine the concentration of serum IgE. Additionally, in an attempt to better predict desired and adverse reactions to therapeutic antibodies and to guide the choice of target formats, I evaluated the capacity of IgG from different species to bind human and mouseFcyRs. Finally, I contributed to unveal the role of FcgRIIA-bearing platelets in IgG anaphylaxis. This latter study was a side project and I therefore I decided to include the article summarizing our findings in the annex.

## 3. Results

# 3.1. Part I Environmental, immunological and genetic parameters associated with total serum IgE concentration in healthy individuals

The term "Milieu Intérieur" was coined in1859 by Claude Bernhard to describe the internal environment, which is at the basis of a "free and independent life." The immune system plays a key role in maintaining this internal environment through its capacity to prevent infections and malignant transformations as well as through its role in tissue homoeostasis. Whenever this equilibrium is perturbed, inflammatory processes are initiated that can either be resolved in a timely manner or trigger the onset of diseases if they result in a permanent disequilibrium of the internal environment. Parameters that determine the magnitude of this inflammation and the speed of its resolution are still ill defined and include intrinsic, environmental, and genetic determinants.

The Milieu Intérieur (MI) project aims to determine what genetic and environmental factors drive the human immune response. To do so, extended epidemiological and biological data was collected from 1,000 healthy donors with a homogeneous ethnic background, stratified across gender (50% men/women) and age (20 to 69 years). In order to minimize pre-analytical biases, a huge effort has been made in the establishment of standardized and robust procedures. In this context, a suite of whole blood, syringe-based assay systems have been developed, thus permitting reproducible assessment of induced innate and adaptive immune responses. The final goal is to define healthy donor reference values for induced inflammatory genes and propose an analytical strategy for deconvoluting inter-cellular interactions. This approach may help identify new applications for therapeutic inhibition of selected cytokine pathways

The Milieu Interieur project set out to characterize the boundaries of a healthy immune response, to reveal natural variations between individuals and to determine how immune responses are influenced by environmental and genetic factors. To this aim, the consortium recruited 1000 healthy donors spanning 5 decades of life with an equal

representation of men and women. Extended epidemiological and biological data were collected and efforts made to establish standardized and robust analysis procedures. Notably, a collection of whole blood, syringe-based assay systems were developed to allow reproducible assessment of induced innate and adaptive immune responses (these procedures were likewise adapted to the WASPenIP study presented in chapter 3.2). The gathered information on the 1000 healthy donors were stored in a dataware house and made accessible to members of the Milieu Interieur consortium. Figure 8 presents an overview on the collected samples and analysis effectuated for each individual.

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**Figure 8 The scheme of the Milieu Interieur Project.** Reprinted from: http://www.milieuinterieur.fr/en/project/project-overview.

Numerous studies have described the pathways involved in different pathologies (e.g., infectious diseases, auto-immunity, allergy), but few have provided an exhaustive description of healthy immune responses. However, knowledge of baseline responses in healthy persons is crucial for the understanding of the pathologic context and can be used as a reference.

Despite an ever-increasing incidence of allergies in the global population, it is largely unknown what events drive the development of allergies. The same stimuli and exposures may trigger their occurrence in one individual, while others remain tolerant. In the first part of my thesis, I explored the rich database from the Milieu Interieur Consortium in collaboration with a bioinformatician to reveal associations between total serum IgE concentrations and the immunological, environmental and genetic determinants in healthy individuals.

I surprising found that nearly 20 % of healthy individuals showed elevated total serum IgE concentrations (>114 kU/L), while reporting no allergic disease or helminth infection. I confirmed that a number of social- demographic factors (age, sex, smoking habit, and family history of allergic diseases), are associated with IgE concentrations, and reproduced the tight relationship between serum IgE concentration and the level of high-affinity IgE receptor (FcɛRI) expression on basophils. My analyses reveal that individuals with high IgE concentrations in their serum, showed a distinct pattern of secreted cytokines in certain whole blood stimulation assays and showed significant overrepresentation of certain HLA alleles.

The following summarizes my findings in the form of an article, which will be submitted to a scientific journal, as soon as we will have the green light from the Milieu Interieur Consortium.

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## 3.2. Part II - Characterizing the immune phenotype of allergic individuals

## **WASPenIP** study

#### Introduction

Allergic diseases are often consider to be the result of a biased Th2/Th1 response, resulting in the overproduction of Th2 cytokines (IL-4, IL-5 and IL-13) that favor IgE secretion from B cells<sup>199</sup>. These IgE are then bound by high affinity FcɛRI on mast cells and basophils, thus arming them for immediate responses upon encounter of a cognate allergen. However, this concept has been considerably extended by our growing understanding of immunology. Apart from Th2 cells, other cells types have been described to significantly contribute to allergy<sup>166</sup>. For example, innate lymphoid cells, which contribute to Th2 response through their production of IL-5 and IL-13<sup>229</sup> and promoting activated dendritic cells to drain lymph nodes for Th2 cell differentiation<sup>230</sup>. Another study showed that infants suffering from food allergy had a higher CD14+ monocytes/CD4+ T cell ratio in their cord blood at birth.<sup>231</sup> For the reason that CD14+ monocytes suppressed CD4+ T cells IL-2 secretion; the absence of IL-2 decreased activated natural regulatory T cells and promoted the differentiation of Th2 cells<sup>231</sup>. Furthermore, allergic patients are known to respond differently to allergic specific immunotherapy (AIT). AIT is frequently used in patients with pollen or insect sting allergy and has proven effective in most patients to prevent or at least ameliorate allergic symptoms. (Advanced discussion about benefit and limitation of AIT is in section 4.3) It follows that the mechanisms underlying allergic diseases are much more complicated than previously thought and it highlights the necessity to reconsider allergy as a systemic change of immune phenotype.

To systematically identify characteristic features of the allergic immune phenotype, including immune particularities of steady state, induced immune responses, and to what extand it is influenced by environmental and genetic factors, we applied an

adapted methodology from the Milieu Interieur cohort analysis to a newly recruited cohort of severely allergic patients, the WASPenIP cohort. Over a period of 3 years, we aimed at recruiting two groups of severely allergic, but otherwise healthy patients: i) 30 individuals with wasp venom allergy, ii) 15 individuals with allergy to amoxicillin. This clinical study was designed to achieve two goals: 1) the characterization of the immune phenotype of allergic patients; and 2) the definition of novel biomarker candidates that would allow prediction of the success of AIT.

The scope of this project unfortunately had to be revised several times throughout my PhD: First, due to the delay in the legal validation, patient inclusions could only start with a 6-month delay (May 2017 instead of December 2016). Secondly, whereas estimations suggested that we would be able to recruit 20-30 patients a year, only four patients could be included over the first year in our affiliated center. In order to adapt to this situation, we opened our second recruitment center at the Hopital Bichat. Thirdly and completely unexpected by all allergologists, there was a stock rupture of authorized clinical grade wasp venom for diagnosis in the French market, putting all new inclusions on ice for a period of nearly 9 months. Finally, our clinical lead collaborator left the recruitment center, requiring 1) a new submission of legal forms to the ethics committees, 2) the opening of a third center and 3) further delays.

For all the above reasons, only eight patients were included in this cohort, with three wasp venom allergic patients and five amoxicillin allergic patients. To complement this group of allergic patients, eight healthy donors were included as controls from the Clinical Investigation and Access to BioResources platform (ICAReB) at the Institut Pasteur.

As a consequence, the initial scope of my PhD project had to be revised and this translational project only describes some of this preliminary work I have undertaken to characterize the immune phenotype of allergic patients. All results reported in this chapter are preliminary and reflect the state of the project at this moment (March 2020).

Due to the small number of inclusions the analyses lack statistical power, but allow a glimpse of what can be a possible outcome if confirmed with higher patient numbers.

In addition, to facilitate future mechanistic studies on wasp venom allergy and to complete findings from the clinical cohort, I established a mouse model of experimental wasp venom allergy that will be introduced in the second part of this chapter.

#### Materials and methods:

#### **Patient recruitment**

Samples came from the WASPenIP Cohort, which was approved by the Comité de Protection des Personnes – Sud-Ouest et outre mer II (Committee for the protection of persons) on April 7th, 2016. The study was sponsored by Institut Pasteur (Pasteur ID-RCB Number: 2016-A02013-48), and was conducted as a multi-centres interventional study with minimal risks and constraints (RIPH 2). The original protocol was registered under ClinicalTrials.gov (study# NCT01699893). The study planned to recruit two groups of allergic individuals (30 allergic individuals to wasp venom the other 15 to amoxicillin, aged [20 – 69]) having experienced either an anaphylactic reaction of >= grade 3 or a quincke edema that are otherwise healthy. Patients were informed and their consent obtained prior to inclusion. Until today, all allergic patients were recruited at the Medical Center of the Institut Pasteur by Dr. Nhan Pham Ti and Dr. Alice Seringulian. Blood samples from healthy individuals were obtained from the ICAReB platform (Institut Pasteur) as part of their CoSImmGEn cohort.

# Study design

Patients underwent two consecutive visits: At visit V0 (enrolment), which was conducted at least 6 weeks after exposure to the allergen, general demographic data, serology and health parameters were recorded. Furthermore, an antigen-dependent

basophil activation test (BAT) was conducted in the laboratory of Pr. Sylvie Chollet-Martin at the Bichat hospital. If the BAT for wasp venom or amoxicillin, respectively, was negative, the patient would not be recruited for a V1 visit. The laboratory of Pr. Sylvie Chollet-Martin at the Bichat hospital monitored the presence of allergen-specific IgE antibodies in the serum of patients. Although this parameter could confirm an allergic phenotype, it would not be considered an exclusion criterion, if no allergen-specific IgE antibodies could be detected; as long as the BAT yields a positive result. At visit V1 (inclusion) a detailed medical historiy and questionnaires collecting lifestyle and family health history were collected. Furthermore, after blood drawing for immune assays and genetic analysis, skin tests were undertaken to confirm the specific allergy and would allow final diagnostics.

## For patients with suspicion of allergy to amoxicillin:

Intra dermal skin testing was performed with diluted antibiotic solution. To this aim dilutions of amoxicillin were prepared (1:100 to 1:10000)<sup>232</sup>. Following, intradermal injection of physiological saline (control solution) and the amoxicillin solution were injected in distinct sites, with minimal distances of 2 cm between the drops on the palmar forearm. A positive skin reaction was characterised by the formation of a papule with characteristic look of "orange peel". After 15 to 20 min, the readings were done in the same way as the prick test.

## For patients with suspicion of allergy to wasp venom:

In subjects with a history of anaphylactic sting reaction, sensitization was confirmed by the demonstration of venom sensitization by a skin test reaction to venom. Skin tests were performed by skin prick or intradermal testing with stepwise incremental venom skin tests. When the patient had a conclusive reaction at a set concentration the test can be stopped. For skin prick test venom concentrations of  $0.01\text{--}100~\mu\text{g/ml}$  were used. Intradermally a 0.02~ml venom concentration ranging from  $0.001~\text{to}~1~\mu\text{g/ml}$  was injected into the volar surface of the forearm. The techniques were described in the European position paper  $^{124}$ .

Based on the results of V0 (BAT, wasp-specific IgE) and V1 (skin test) the decision was taken if the patient receives immunotherapy to wasp venom or not.

## Flow cytometry analysis

Flow cytometry procedures were adapted from the Milieu Interieur study<sup>233</sup>. In brief, 2 mL fresh whole blood samples were collected on Li-heparin and washed by mixing with PBS at a 1:1 ratio, followed by centrifugation at 1500 rpm for 5 min at room temperature. The supernatant was aspirated and discarded, followed by the addition of fresh PBS taking it to the same final volume as input whole blood. Antibody premixes were prepared and 100 µl/ 200 µl of the resuspended cells was aliquoted into tubes containing the pre-mixed antibody cocktail. The samples were shortly vortexed and incubated 20 min in the dark at room temperature. Thereafter, all samples, irrespective of the panel used, were resuspended in 2 ml of 1x RBC lysing solution (BD Biosciences, ref. 349202), shortly vortexed and incubated 15 min at RT protected from light. After centrifugation for 5 min at 1500 rpm, the supernatant was aspirated; the samples were washed by 1mL of PBS and then resuspended in 200 µl PBS and immediately acquired on a MACSQuant analyzer. Calibration of the instruments was done using MacsQuant calibration beads (Miltenyi, ref. 130-093-607) and samples were acquired using bank setting to avoid variation during sample processing in different days. Flow cytometry antibodies were purchased from BD Bioscience or MiltenyiBiotec and listed in the Supplementary Table 1.

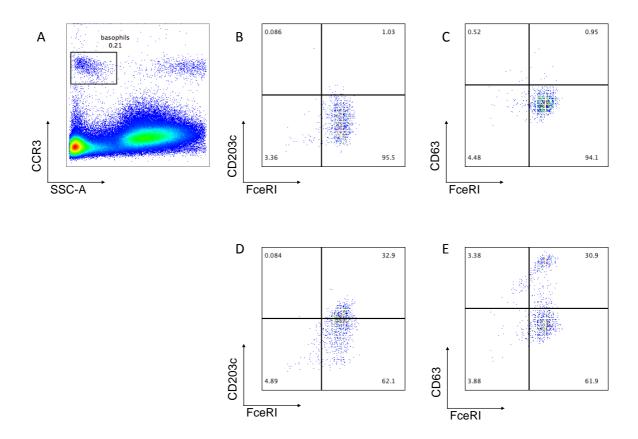
#### **Truculture stimulation**

Preloaded TruCulture tubes (null, CD3/CD28, LPS, poly I:C) were purchased from RBM Myriad and maintained at  $-20\,^{\circ}$ C until use. Wasp venom (Citeq biologics) and amoxicillin (Sigma) were aliquoted and added freshly to a set of null tubes on the day of analysis. Within 15 min after blood collection, 1 ml of fresh whole blood drawn on Naheparine was added to each pre-warmed TruCulture tube (37 °C), mixed by several inversions and incubated in a dry block incubator at 37 °C ( $\pm$  1 °C) in room air for 22 h.

At the end of the incubation period, tubes were opened and a plunger was inserted to separate the sedimented cells from the supernatant. Supernatant were aliquot and frozen at -80 °C until protein analysis. Pellets were mixed with 1.6 mL of Trizol (Sigma), votexed at RT for 10 min and then frozen at -80 °C.

## Truculture basophil activation test

A 600  $\mu$ l sample of Truculture tubes containing wasp venom and amoxicillin was taken after 1 hour of incubation at 37 °C. Li-heparin blood was used as negative control. The samples were washed using 600  $\mu$ l of PBS, centrifuged at 1500 rpm for 5 min at room temperature and the pellet resuspended in 200  $\mu$ l fresh PBS. The staining procedure was the same as described above. The basophils were defined as CCR3+SSClowFceRI+cells. The up-regulation of CD63+ or CD203c+ basophils were calculated as previously described<sup>234</sup> and showed in Figure 1.



**Figure 1 Quantitation of CD203c and CD63 up-regulation in basophils.** Basophils were identified as CCR3+SSClow cells (A). Up-regulation of CD203c in basophils was quantified as percentage of CD203c+ cells (FcεRI+CD203c+) after stimulation (D) compared with no stimulation (B). Up-regulation of CD63 in basophils was quantified as percentage of CD63+ cells (FcεRI+CD63+) after stimulation (E) compared with no stimulation (C).

## **Luminex multianalyte profiling**

Supernatants from Truculture stimulations were analyzed by Luminex® xMAP technology using a Human cytokine & chemokine (34 plex) ProcartaPlex (Thermo Fisher), able to quantify Eotaxin/CCL11; GM-CSF; GRO alpha/CXCL1; IFN alpha; IFN gamma; IL-1 beta; IL-1 alpha; IL-1RA; IL-2; IL-4; IL-5; IL-6; IL-7; IL-8/CXCL8; IL-9; IL-10; IL-12 p70; IL-13; IL-15; IL-17A; IL-18; IL-21; IL-22; IL-23; IL-27; IL-31; IP-10/CXCL10;

MCP-1/CCL2; MIP-1 alpha/CCL3; MIP-1 beta/CCL4; RANTES/CCL5; SDF1 alpha/CXCL12; TNF alpha and TNF beta/LTA simultaneously. We used Droparrays® plates (Curiox), that allow the use of a single reagent batch for all tested samples. The experimental procedure was prescribed in more detail in<sup>235</sup>.

# Data analysis and statistical methods

Unless stated otherwise, I used unpaired Student's t-test and power test for flow cytometry data; t-SNE analysis of cytokine responses were performed using the *tsne* package in R. Graphs were generated with the graphical packages ggplot2 v.2.1.0, ggpubr v.0.2.4 and GraphPad Prism 6.

## **Results and Discussion:**

## 3.2.1 WASPenIP study

## **Cohort description**

Until January 2020, we could only recruit eight allergic patients for the WASPenIP cohort, with three wasp venom allergic patients and five amoxicillin allergic patients. Those eight patients included five women and three men, aged 33 to 64. Among these eight patients, only two patients declared they had family members affected by allergic diseases (Table 1). Much information on each patient's lifestyle including work and living environment, eating habits, physical parameters and medical history were available through the questionnaire. The low number of patients, however, did not allow at this point identifying socio-demographic parameters associated with an allergic immune phenotype with statistical significance. I therefore reported in the table below only the intrinsic factors identified in the IgE study in chapter 3.1.

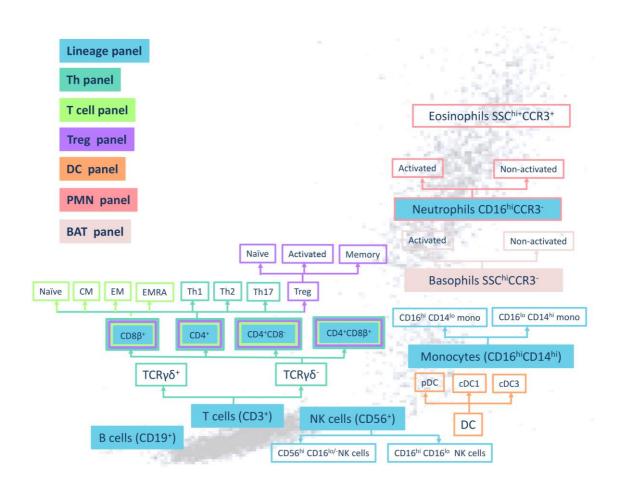
ID	Sex	Age	Allergen	Family allergic disease history	Tobacco Consumption
AD 1	М	41	WASP venom	Yes	Smoker
AD 2	М	33	WASP venom	Not known	Ex-smoker
AD 3	F	64	Amoxicillin	Not known	Non-smoker
AD 4	F	45	Amoxicillin	No	Ex-smoker
AD 5	F	35	Amoxicillin	Not known	Smoker
AD 6	F	56	WASP venom	No	Non-smoker
AD 7	М	61	Amoxicillin	No	Ex-smoker
AD 8	F	55	Amoxicillin	Yes	Ex-smoker
HC 1	М	54	N.C.	N.C.	N.C.
HC 2	F	54	N.C.	N.C.	N.C.
HC 3	F	54	N.C.	N.C.	N.C.
HC 4	М	66	N.C.	N.C.	N.C.
HC 5	F	21	N.C.	N.C.	N.C.
HC 6	F	29	N.C.	N.C.	N.C.
HC 7	F	37	N.C.	N.C.	N.C.
HC 8	М	31	N.C.	N.C.	N.C.

**Table 1 Demographic information inWASPenIP cohort and healthy control subjects.** AD, allergic donor; HC, healthy control; F, female; M, male; N.C. not conducted.

# Phenotype of blood cell populations in allergic individuals

To determine immune phenotypes in steady state conditions, I performed flow cytometry analyses on the major cell populations in freshly drawn blood. The 7 flow cytometry panels to monitor the blood cell populations included: 1) major cell lines in

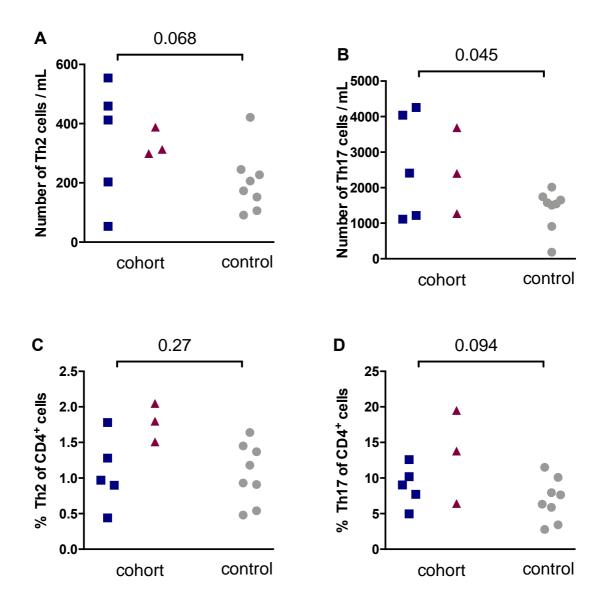
blood, 2) T helper (Th) cells, 3) Memory T cell subsets, 4) Treg cells, 5) DCs, 6) granulocytes, and 7) the panel corresponding to the basophil activation test (BAT). These panels were designed in consideration of the limited amount of available blood and to mirror to the best of our possibilities the panels used in the initial Milieu Interieur study, while extending a number of parameters that seemed appropriate to characterize blood samples from allergic patients (Figure 2) The gating strategy to define cells populations are indicated in supplementary Figure 1-6.



**Figure 2 Immunophenotype included in WASPenIP study.** Flow cytometry was used to analyze major blood cells populations. The panels used for the measurement of a cell subsets are color-coded: blue fill color - lineage panel; outlines of dark green - Th panel; light green - T cells panel; purple - Treg panel; orange - DC panel; red - PMN panel; and pink as fill color for the BAT panel. Multiple outlines indicate cells populations analysed in different panels.

Because of the low number of allergic patients included, I had to pool the two groups: wasp veom allergy and amoxicillin allergy together for statistical analysis. In the following figures, I will therefore represent each individual donor to account for their heterogeneity. Among all measured flow cytometry data (see Supplementary table 2), only a couple of parameters showed a differential behavior that I will present in the following:

As allergic conditions are often characterized by a Th2-biased immune response, I first evaluated their T helper cell subsets. The three wasp venom allergic patients had increased Th2 cell numbers compared to amoxicillin allergic patients and healthy donors (Figure 3A); and all allergic donors had increased Th17 cell numbers (Figure 3B). Only there was a trend in wasp venom allergic patients had higher percentages of Th2 and Th17 among all CD4 T cells (Figure 3C, 3D). There was no significant difference between the cohort and control groups when I pooled the data from the two allergic groups together.



**Figure 3 Number and phenotype of T cells subsets in WASPenIP cohort and healthy control subjects.** Number of Th2 cells (A) and Th17 cells (B) per mL blood and percentage of Th2 cells (C) and Th17 (D) cells in CD4+ T cells and were represented as individual measurements from amoxicillin allergic patients (blue squares), wasp venom allergic patients (red triangles) and healthy individuals (grey dots). Unpaired t-test on grouped allergic donors vs healthy subject were performed and P values were indicated.

To explore the memory T cell subsets proportion and their phenotype in allergic donors, I analyzed the data obtained from memory T cell panel. This panel included CD4+ and CD8+ naïve, Tcm, Tem, Temra cells as well as their surface expression of HLA-DR and CCR7. Interstingly, I could only detect increased HLA-DR expression on naive CD4+ T cells from allergic donors (Figure 4A), which could suggest that they stay in a priming state in naïve stage. Moreover, CD8+ Tcm, Temra from allergic patients expressed less CCR7 on their surface than their corresponding population from healthy donors (Figure 4B-4D). CCR7 is expressed by DCs, B cells, and also memory T cells subsets and is critical for homing of immune cells to secondary lymphoid organs<sup>117</sup>. It has been proposed that CD8+ CCR7+ T cells suppress CD4+ T cells proliferation and cytokine production in vitro<sup>236</sup>. Thus the lower CCR7 expression on CD8 T cells from allergic donors may translate into a reduced suppressive function from CD8+ T cells to CD4+ T cells.

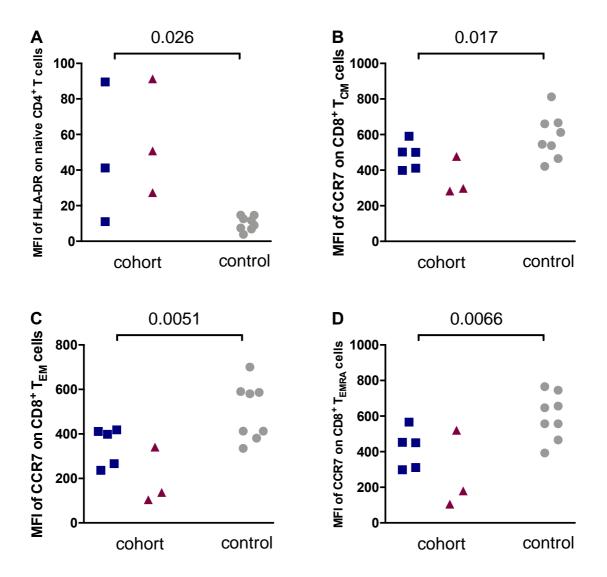
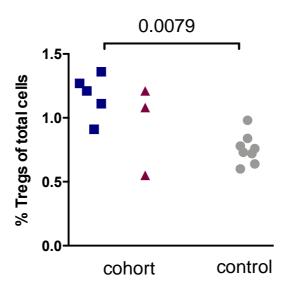


Figure 4 Phenotype of memory T cells subsets in WASPenIP cohort and healthy control subjects. MFI of HLA-DR on naïve CD4 $^+$  T cells (A) and CCR7 on CD8 $^+$  T<sub>CM</sub> cells (B), CD8 $^+$  T<sub>EM</sub> cells (C), CD8 $^+$  T<sub>EMRA</sub> cells (D) were represented as individual measurements from amoxicillin allergic patients (blue squares), wasp venom allergic patients (red triangles) and healthy individuals (grey). Unpaired t-test on grouped allergic donors vs healthy subject were performed and P values were indicated.

Since the allergic immune response could also reflect a failure to maintain tolerance towards a specific allergen<sup>237</sup>, I also compared the absolute number, percentage and subsets (naïve, memory, activated) of T regulatory cells (Tregs) (Supplementary table 2). Unexpectedly, most allergic donors had increased percentages of Tregs among total cells (Figure 5). This difference however did not appear when looking at absolute numbers. Because another study showed that Tregs from allergic donors inhibited less efficiently

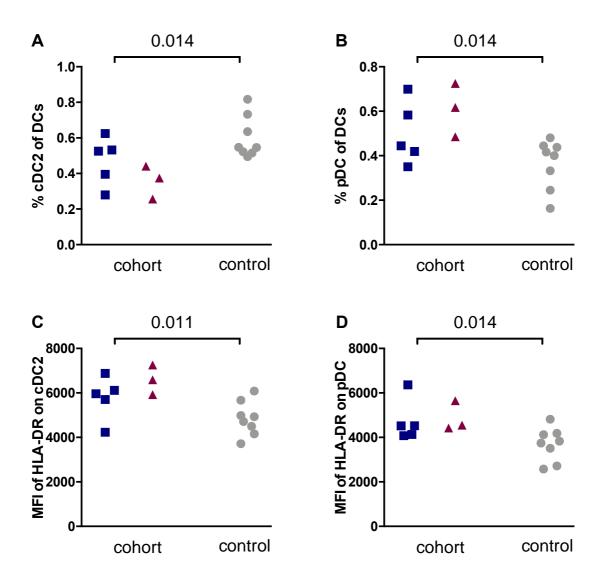
CD4<sup>+</sup> T cells proliferation and IL-5 production than T regs from healthy individuals<sup>238</sup>, the increased Tregs in allergic cohort could be a compensatory mechanism to overcome possibly defective inhibitory functions.



**Figure 5 Percentage of memory Tregs cells subsets in WASPenIP cohort and healthy control subjects.** Data were represented as individual measurements from amoxicillin allergic patients (blue squares), wasp venom allergic patients (red triangles) and healthy individuals (grey). Unpaired t-test on grouped allergic donors vs healthy subject were performed and P values are indicated.

In the DC panel, I observed a low percentage of cDC2 (Figure 6A) and high percentage of pDC (Figure 6B) in allergic cohort, which was the opposite of what I had expected. Human cDC2 expresses lectins, TLRs, NOD-like receptors and RIG-I-like receptors on their surface and respond well to LPS, flagellin, poly I:C and R484<sup>239</sup>. Upon stimulation, they were capabile of secreting IL-12, IL-23, IL-1 and TNF-α. In an asthma mouse model, cDC2 promoted eosinophil recruitment<sup>240</sup>. Thus, it was surprising to me that allergic patients had lower percentage of cDC2. Plasmacytoid DC are characterized by rapid production of large quantities of type I and type III interferons in response to viral infections<sup>239</sup>. Several studies indicated that pDC played a regulatory role in allergic conditions<sup>241–243</sup>. Heer and colleagues reported that pDCs were able to restore tolerance in an asthma mouse model<sup>243</sup>, while Antonia L and colleagues found that IFN secreted by pDCs constrain the Th2 cytokines production. In addition, the number of cDC1, cDC2 and

pDC showed a trend to be decreased in our allergic cohort compared to healthy individuals (supplementary table 2). Of note, cDC2s and pDCs express higher levels of the activation marker CD86 (Figure 6C-6F), which suggests that these cells, albeit present in lower numbers, are more proficient in activating T cells in allergic individuals.



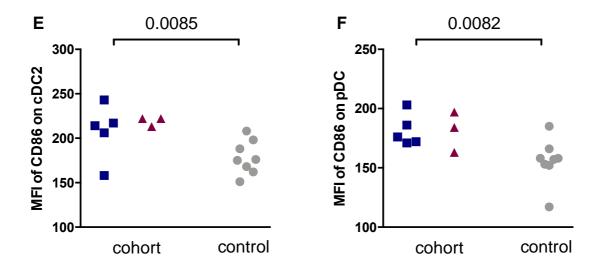


Figure 6 Percentage and phenotype of cDC2 and pDC in WASPenIP cohort and healthy control subjects. Percentage of cDC2s (A) and pDCs (B) among total DCs and Mean fluorescence intensity (MFI) of HLA-DR and CD86 on cDC2s (C, E) and pDCs (D,F), were represented as individual measurements from amoxicillin allergic patients (blue squares), wasp venom allergic patients (red triangles) and healthy individuals (grey). Unpaired t-test on grouped allergic donors vs healthy subject were performed and P values are indicated.

My lab showed previously that FcγRs and neutrophils play important roles in IgG-mediated anaphylactic reactions. It was therefore of particular interest for me to determine the phenotype of neutrophils and their expression of FcγRs in this cohort. I found that neutrophils from allergic patients expressed more CD10 and CD32/FcγRII on their surface (Figure 7A-7B). CD10 was described as a marker to distinguish mature neutrophils from immature neutrophils in G-CSF treated donors. Whereas CD10+neutrophils could inhibit T cells proliferation through a CD18-mediated contact-dependent mechanism, CD10-neutrophils promoted T-cell survival, T cell proliferation and IFN-γ production<sup>122</sup>. Importantly, I could not detect CD10-neutrophils in the individuals of the cohort, which is likely due to the fact that neutrophils without inflammatory stimulation exit the bone marrow as mature cells.

CD32 regroup FcyRIIA, FcyRIIB and FcyRIIC. Neutrophils, however, express mainly FcyRIIA and very little FcyRIIB. Very little is known about the factors that regulate CD32 expression on neutrophils. It is generally accepted that the engagement of FcyRIIA on

neutrophils by IgG immune complexes triggers their internalization. However, for this to happen in measurable proportions, there must be a certain amount of circulating immune complexes in the blood. Here, however, the blood was taken at a distance from any allergic event that may include the generation of allergen immune complexes with anti-allergen IgG in these individuals that are otherwise healthy. It is therefore remarkable that an increased CD32 expression of neutrophils could be observed in the allergic individuals of our cohort compared to healthy donors. Neutrophils express large amounts of CD16/ FcγRIIIB on their surface, which is also named neutrophil antigens (NAs)<sup>5,6</sup>. Its abundant expression on the neutrophil surface at steady state, and association with lipid rafts suggests that it can contribute to cell activation via coclustering with integrins and by helping FcγRIIA to efficiently capture immune complexes (ICs) <sup>28,39</sup>.

Together an increased CD10 and CD32 expression could indicate a possible regulatory role of neutrophils: on the one hand, neutrophils may contribute to regulate T cell proliferation by increased CD10 expression; on the other hand, increased CD32 could trap more allergen-IgG immune complexes on neutrophils and thereby decrease the chance of allergen capture by FcɛRI-bound IgE on mast cells or basophils. Paralleling to the observation on neutrophils, I also found an increased expression of CD16 (Figure 7C) and CD32 (Figure 7D) on eosinophils of allergic patients. A similar finding was reported for eosinophils from asthmatic patients, which was interpreted as a sign of eosinophil priming associated with systemic inflammatory response during late asthmatic response<sup>244</sup>.

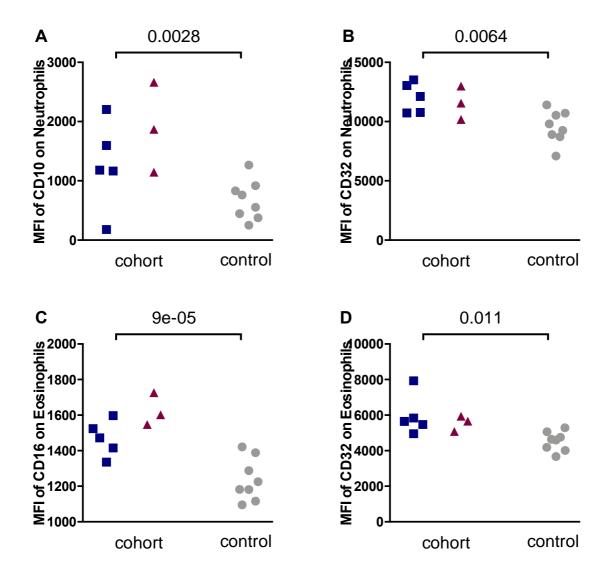
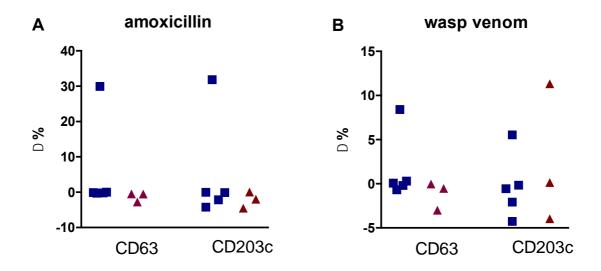


Figure 7 Phenotype of neutrophils and eosinophils in WASPenIP cohort and healthy control subjects. Mean fluorescence intensity (MFI) of CD10 (A) and CD32 (B) on neutrophils, and MFI of CD16 (C) and CD32 (D) on eosinophils were represented as individual measurements from amoxicillin allergic patients (blue squares), wasp venom allergic patients (red triangles) and healthy individuals (grey). Unpaired t-test on grouped allergic donors vs healthy subject were performed and P values were indicated.

Finally, we included in our FACS panel a panel aimed to analyse basophil phenotype and activation. We used this panel to evaluate basophil activation at steady state and also after 1-hour incubation of blood in the Truculture tube assays, either stimulated by wasp venom or by amoxicillin. Allergic samples were stimulated systematically with both compounds, amoxicillin serving as a control for the WASP-allergic samples, and

wasp venom serving as a control for the amoxicillin-allergic samples. We named this test "adapted basophil activation test" (adapted BAT), because it is a flow cytometry-based functional assay for to diagnosis of allergic sensitization. Classical BAT analysis for patient care was performed in parallel at the Hopital Bichat under the supervision of



**Figure 8 Basophil activation tests in WASPenIP cohort.** Percentage of increased CD63+ basophils and CD203c+ basophils upon stimulate with amoxicillin (A) or wasp venom (B) were represented as individual measurements from amoxicillin allergic patients (blue squares) and wasp venom allergic patients (red triangles).

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In steady state, basophils from allergic donors express low amounts of CD63 and CD203c on their surface. Among the 5 amoxicillin allergic individuals only 1 showed increased CD63+ and CD203c+ expression on basophils upon amoxicillin stimulation (Figure 8A) in this adapted BAT and 1 wasp venom allergic patients out of three showed increased CD203c but no increased CD63 expression on their basophils after wasp venom stimulation (Figure 8B). On the other hand, all of these patients showed a positive response in the classical BAT performed at the hospital. Basophil reactivity is influenced by many factors<sup>122</sup>, such as time between blood collection and BAT; anticoagulants used, whether IL-3 is used to prime basophils; and finally the range and quality of the allergen. The cut-off values for a classical BAT used in amoxicillin allergy

diagnostics is a minimum of 5% CD63+ basophils, with 55% sensitivity and 80% specificity<sup>234</sup>. For wasp venom allergy, it was described as 2.5 - fold increase in the number of activated basophils (>25%) as compared with the negative control (10%), with 85.3% sensitivity and 83.3% specificity<sup>245</sup>. The low sensitivity of this adapted BAT could be due to the absence of IL-3 during the stimulation or the quantity (100ng/mL) or quality (wasp venom extract) of allergen used.

## Cytokines and chemokines concentration upon stimulation

In addition to the phenotyping of blood cells populations in steady state conditions, the WASPenIP study included the assessment of whole blood stimulation assays that aimed to capture differences in induced immune responses between allergic patients and healthy donors. To minimize variations between samples, we used preloaded Truculture tubes, in which 1 ml of blood was added within 30 minutes of sampling. Six different stimuli were included in the study: a null tube to measure baseline activation, LPS as a mimic of a gram-negative bacterial infection,  $\alpha$ -CD3/ $\alpha$ -CD28 as a T cells stimulus, poly I:C as a surrogate for a viral infection, and finally two tubes loaded with wasp venom, and amoxicillin, respectively. After 22 hours incubation at 37°C, the supernatant was recovered and, after a storage period at -80°C, subjected to quantification of 34 cytokines and chemokines using luminex technology.

My analysis shows that the inter-individual variations in such a small sample number is too big to detect any significant differences using supervised statistical. Nevertheless, I will describe some of the observed trends that seem interesting paths for future investigations.

In the Milieu Interieur cohort approximately 1 out of 3 donors failed to fully respond to stimulation with  $\alpha$ -CD3/ $\alpha$ -CD28<sup>246</sup>. It was therefore not unexpected that in our cohort 2 allergic patients and 2 healthy individuals failed to produce IL-2 (Figure 9A), 2 allergic patients and 4 healthy individuals failed to produce IL-6 (Figure 9B) in response to  $\alpha$ -CD3/ $\alpha$ -CD28 stimulation. All of the donors in our cohort and control group were capable

of producing IFN-γ (Figure 9C).

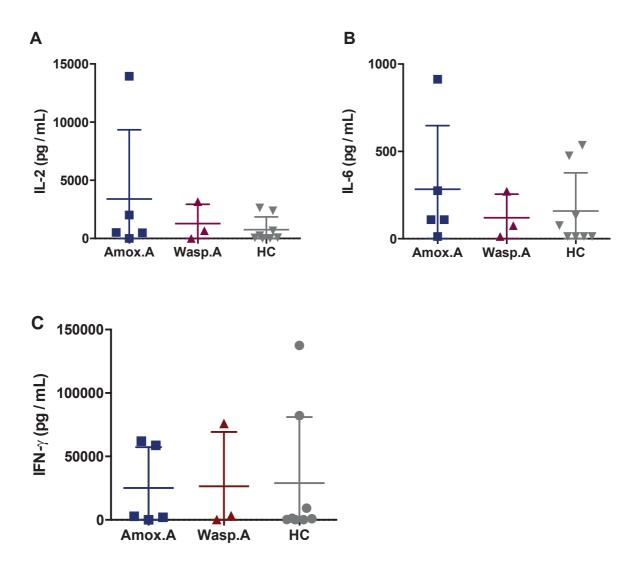


Figure 9 IL-2, IL-6 and IFN- $\gamma$  concentration in whole blood stimulation assay following  $\alpha$ -CD3/ $\alpha$ -CD28 stimulation. Dot plots with individual measurements for induced IL-2 (A), IL-6 (B) and IFN- $\gamma$  (C) in response to incubation of whole blood with  $\alpha$ -CD3/ $\alpha$ -CD28 in allergic patients (wasp venom allergic patients: red triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

To question whether allergic patients in the cohort show a pronounced Th2 bias, I compared Th2 cytokines following  $\alpha$ -CD3/ $\alpha$ -CD28 stimulation to the null condition. Importantly my flow cytometry data revealed that there was no statistical difference in

Th2 cell numbers, and Th2 cell percentage between healthy donors and allergic patients in steady state, a difference could therefore only arise from cell intrinsic effects.

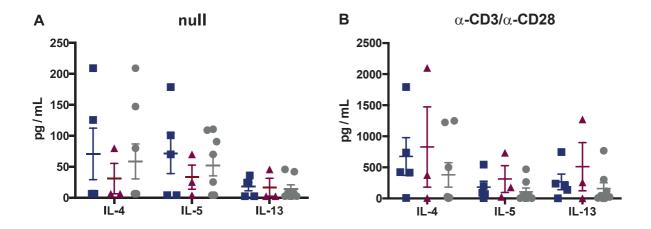
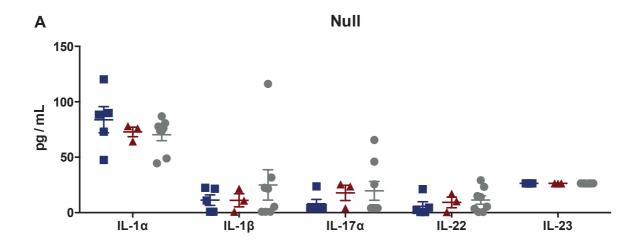


Figure 10 Measurement of Th2 cytokine concentrations in Truculture supernatant. Dot plot with individual measurements indicated IL-4, IL-5 and IL-13 concentration in response upon incubation of whole blood without stimuli (A) and with  $\alpha$ -CD3/ $\alpha$ -CD28 (B) stimulation in allergic patients (wasp venom allergic patients: triangles; amoxicillin allergic patients: blue squares) and healthy subjects (grey dots) Incomplete responders are indicated with an x in the symbol. Mean and SEM were indicated by overlaid horizontal bar and whiskers.

Without stimulation, there were no differences in IL-4, IL-5 and IL-13 concentrations between the groups (Figure 10A). T cells stimulation induced measurable Th2 cytokine concentrations irrespectively of the test group, but it appears that the blood from allergic donors produced slightly more IL-4, IL-5 and IL-13 than cells from the control group (Figure 10B). These data therefore suggest that allergic donors may respond stronger after induction of Th2 cytokines upon T cells stimulation, in agreement with Th2 biased immune responses.

Based on my observation that allergic donors show higher ratios of Th17 cells - CD4 T cells in my flow cytometry analysis, I next investigated whether this difference would also functionally translate into augmented cytokine concentrations typically associated with Th17 cells upon T cells stimulation (Figure 11).



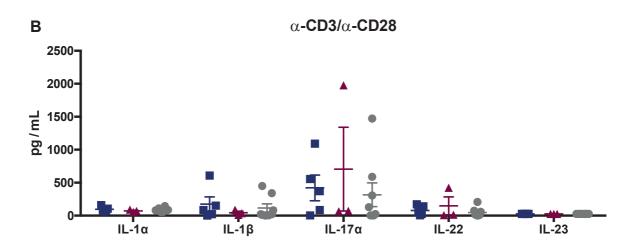


Figure 11 Measurement of Th17 cytokine concentrations in Truculture supernatant. Dot plot with individual measurements indicated IL-1A, IL-B, IL-17A, IL-22 and IL-23 concentration in response upon incubation of whole blood without stimuli (A) and with  $\alpha$ -CD3/ $\alpha$ -CD28 (B) stimulation in allergic patients (wasp venom allergic patients: triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

As expected, there were little Th17 cytokines detected without stimulation (Figure 11A). I however observed a tendency for augmented IL-17 and IL-22 secretion in blood samples from allergic donors compared to controls, in agreement with my flow cytometry data revealing augmented Th17 /CD4 T cell ratios. Inter-individual variations within each group were high, precluding any analysis of significance.

The hygiene hypothesis postulates that a the loss of infection pressure on the population leading to the reduction of Th1 immunity, and as a consequence to an imbalance of Th1/Th2 immunity, resulting in a higher incidence of allergic disorders<sup>247</sup>. Although I did not observe a reduction of Th1 cells in allergic donors, I wanted to compare their Th1 cytokine profile without stimulation, as well as following surrogate bacteria and virus infection stimulants with LPS and poly I:C respectively (Figure 12).

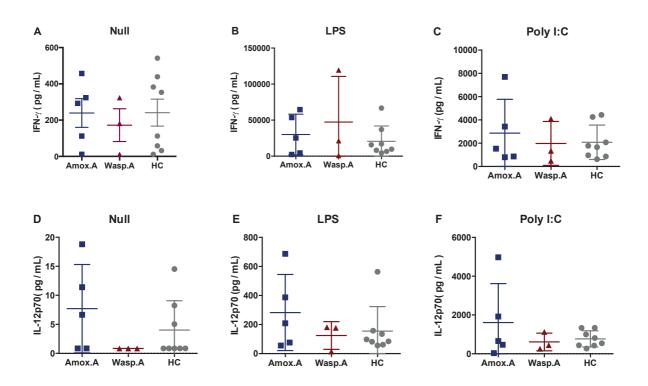


Figure 12 Measurement of Th1 cytokine concentrations in Truculture supernatant. Dot plot with individual measurements indicated IFN- $\gamma$  and IL-12p70 concentration in response upon incubation of whole blood without stimuli (A, D) and with  $\alpha$ -CD3/ $\alpha$ -CD28 (B, E) stimulation, with LPS (C, F) stimulation in allergic patients (wasp venom allergic patients: triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

Again, the inter-individual variations did not enable me to determine any clear trends between the groups for neither IFN- $\gamma$  (Figure 12A-C) nor IL-12p70 (Figure D, E, F) secretions.

In the IgE association study, I could show that individuals with high serum IgE concentrations secreted more of the regulatory cytokine IL-10 upon T cell stimulation with  $\alpha$ -CD3/ $\alpha$ -CD28. I therefore tested whether the IL-10 secretion was also augmented in allergic individuals and compared the 6 conditions of stimulation (Figure 13). Surprisingly, there is no difference between the null, T cell stimulation and LPS stimulation. However, the wasp venom allergic individuals had even lower IL-10 secreted in Poly I: C, wasp venom and amoxicillin stimulation compared to the null condition.

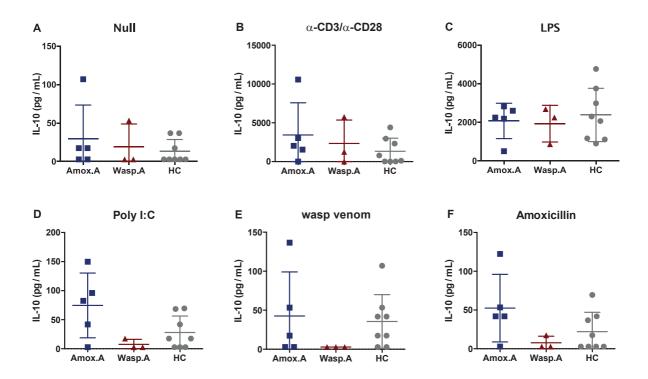


Figure 13 Measurement of IL-10 concentrations in Truculture supernatant. Dot plot with individual measurements indicated IL-10 concentration in response upon incubation of whole blood without stimuli (A) and with  $\alpha$ -CD3/ $\alpha$ -CD28 (B) stimulation, with LPS (C) stimulation, with Poly I: C stimulation (D), with wasp venom (E) and with (amoxicillin) in allergic patients (wasp venom allergic patients: red triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

With so few samples it is again difficult to determine a trend from these results. Notably in the amoxicillin group there was a larger heterogeneity that was already visible in the null condition. Surprisingly, in samples from wasp venom allergic individuals there

seemed to be lower IL-10 secreted upon poly I:C, wasp venom and amoxicillin stimulation than in the null condition and less than in the controls and amoxicillin allergic groups.

Indeed, for most of the chemokines tested, there was no difference between healthy controls and the two groups of allergic patients, as exemplified for Eotaxin, an eosinophil chemotactic protein (Figure 14).

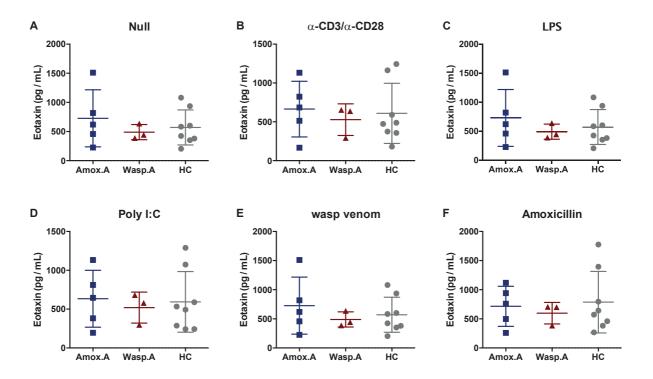


Figure 14 Measurement of Eotaxin concentrations in Truculture supernatant. Dot plot with individual measurements indicated induced CCL5 levels in response upon incubation of whole blood without stimuli (A), with  $\alpha$ -CD3/ $\alpha$ -CD28 (B), with LPS (C), with poly I: C (D), with wasp venom (E) and with amoxicillin (F) in allergic patients (wasp venom allergic patients: red triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

Remarkably, however, the two groups of allergic donors showed a distinct response from each other in certain conditions and for some released proteins. Of course, one has to keep in mind the very low sample number, but to give just two examples: amoxicillin allergic donors tended to produce more CCL5 (Figure 15) and CXCL12 (Figure 16) than

wasp venom allergic donors in relevant and non-relevant allergen stimulation conditions.

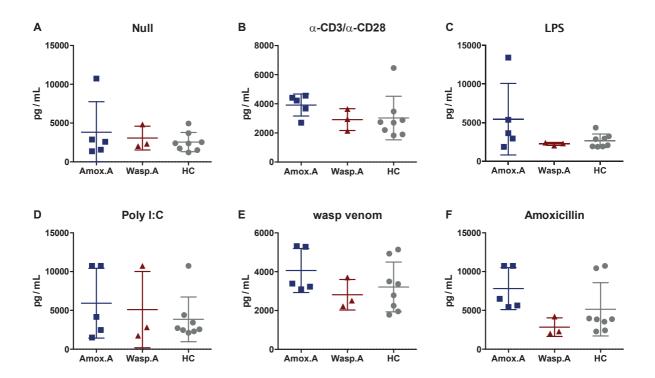


Figure 15 Measurement of CCL5 concentrations in Truculture supernatant. Dot plot with individual measurements indicated induced CCL5 levels in response upon incubation of whole blood without stimuli (A), with  $\alpha$ -CD3/ $\alpha$ -CD28 (B), with LPS (C), with poly I: C (D), with wasp venom (E) and with amoxicillin (F) in allergic patients (wasp venom allergic patients: red triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

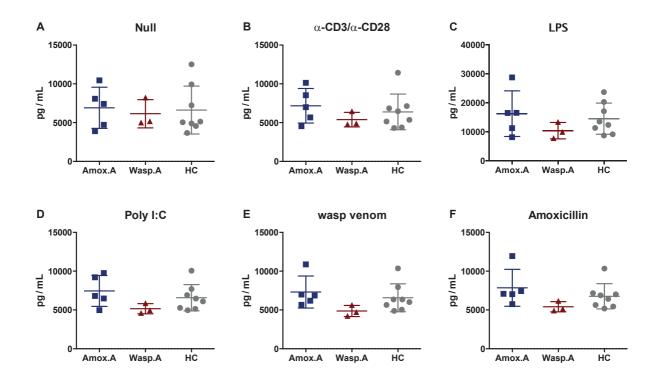


Figure 16 Measurement of CXCL12 concentrations in Truculture supernatant. Dot plot with individual measurements indicated induced CCL5 levels in response upon incubation of whole blood without stimuli (A), with  $\alpha$ -CD3/ $\alpha$ -CD28 (B), with LPS (C), with poly I: C (D), with wasp venom (E) and with amoxicillin (F) in allergic patients (wasp venom allergic patients: red triangles, amoxicillin allergic patients: blue squares) and healthy subjects (grey dots). Mean and SEM were indicated by overlaid horizontal bar and whiskers.

Of course, this behaviour needs to be confirmed with a bigger number of included patients. Our limited data concluded that in the steady state, allergic donors had increased Th2 cells, Th17 cells as well as Tregs, although there was no difference in their related cytokines been observed in our 6 conditions of stimulation. Of note, the amoxicillin allergic patients had high CCL5 concentrations upon relevant and non-relevant allergen stimulation. CCL5 was expressed by T cells upon stimulation <sup>248</sup>, which had been shown to play a critical role in recruiting leukocytes <sup>249</sup>. The high CCL5 concentrations in amoxicillin allergic patients indicated that in amoxicillin allergic conditions, leukocytes were prone to be recruited in the circulation upon stimulation and induced systematic reaction; while in wasp venom allergy, the situation was reverse. The sensitization route in these two types of allergies could also explain such difference:

oral/injection sensitization in amoxicillin allergy and skin sensitization (through insect sting) in wasp venom allergy. Moreover, another potent leukocytes activator CXCL12 was also increased in amoxicillin allergic patients<sup>250</sup>.

In the next step, since the high inter-individuals variations could not provide us more evidence on difference between allergic and healthy individuals from single cytokine detected. I applied a machine-learning algorithm to visualize proximity of these multi-dimensional samples in a two-dimensional space. For each of the conditions, I performed a t-distributed stochastic neighbour embedding (t-SNE) analysis (Figure 17).

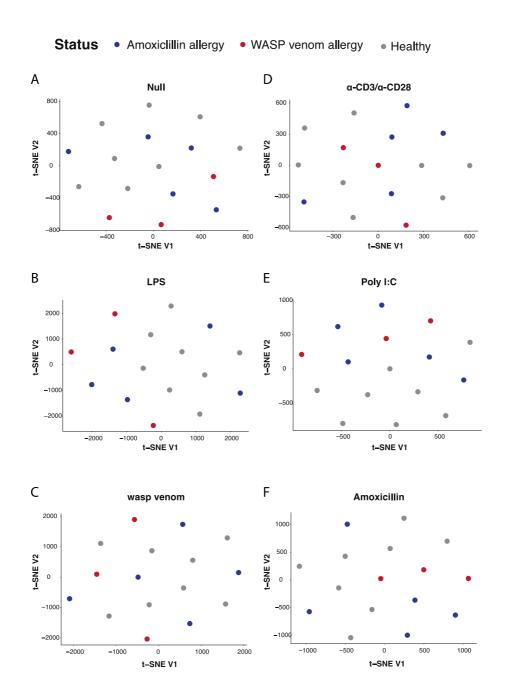


Figure 17 T-SNE analysis of induced immune response in WASPenIP cohort. Data used for t-SNE approach were cytokines and chemokine levels from null stimulation condition (A), CD3/CD28 stimulation condition (D), LPS stimulation condition (B), poly I:C condition (E), wasp venom condition (C), and amoxicillin condition (F). Each dot represented result calculated from one individual. Healthy individuals are depicted in grey; amoxicillin allergic patients in blue; and wasp venom allergic patients in red.

As expected allergic patients and controls showed an almost perfect mixing in the null

condition with no clusters that could be identified (Figure 17A). But also in the other conditions the groups stayed mostly mixed and no distinct clusters could be identified that would allow separation of the patient groups (Figure 17). This failure to separate the two or three groups is mostly due to the low sample number and the rather large inter-individual variations.

### **Conclusion WASpenIP**

Taken together, my analysis of the WASPenIP study remains preliminary due to the small numbers of inclusions and the resulting limited statistical power. My results seem to suggest that allergic patients present with increased Th2 cells and Th17 cells ratios, augmented expression of HLA-DR in naive T cells, and CD86 on cDC2s and pDCs, suggestive of a more mature/activated phenotype. My data further reveal a higher expression of CD32 by neutrophils as well as CD16 and CD32 eosinophils and a higher expression of CD10 on neutrophils. Finally, the analysis of induced immune responses failed so far to identify different immune phenotype between allergic individuals and healthy donors, it however seems to be in accordance with the data from the flow cytometry analyses. Interestingly, analysis of induced responses points towards distinct immune responses of wasp venom and amoxicillin allergic patients that need to be confirmed with greater patient number.

#### 3.2.2 Wasp venom allergy mouse model:

Clinical studies in humans remain often descriptive and it was my goal to accompany the WASPenIP study with some fundamental research in a mouse model to tackle some more mechanistic questions. Surprisingly there were only 2 reports on mouse models of wasp venom allergy<sup>251,252</sup>; both were both done in Balb/c mice, a mouse strain known to favour Th2-driven immune responses.

To be able to mechanistically investigate the physiopathology involved in sensitization during wasp venom allergy, I chose to complement my research project with a wasp venom allergy mouse model in C57Bl/6 mice. This would allow me to use transgenic mice that are mostly on this background to address questions about the antibody subclass, the effector cell type and the antibody receptors responsible for the reaction.

A wasp injects between  $15\mu g$ -50  $\mu g$  of wasp venom per sting <sup>128</sup>. Wasp venom is a natural product of several components, the relative contribution of which may vary from one preparation to another. After a first series of failed immunizations using only wasp venom at natural sting doses via the subcutaneous route, I applied a standard immunization procedure including adjuvant. I augmented the venom dose and added an adjuvant to obtain a good and reproducible level of immunization. I decided to use Freund's Complete adjuvant/ Freund's Incomplete adjuvant (CFA/IFA), that induces a similar antibody secretion profile in C57BL/6J mice as does alum adjuvant in Balb/c mice (internal communication, unpublished). I used 100  $\mu$ g of wasp venom in presence of CFA/IFA to immunize mice via an intraperitoneal (i.p.) route. After a first injection of wasp venom in CFA, three boosts of venom in IFA were separated by 2-4 weeks (Figure 18).



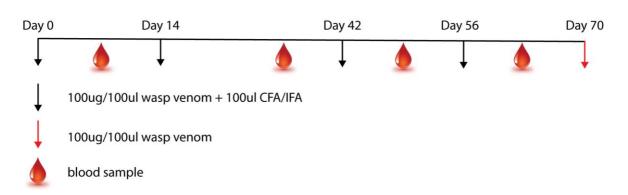
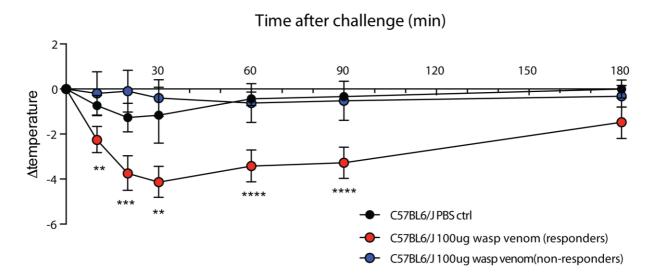


Figure 18 Mouse model of wasp venom allergy. Wasp venom (100  $\mu$ g) in emulsion with Complete Freud's adjuvant (CFA) was injected i.p. on day 0, followed by three boosts with wasp venom in Incomplete Freud's adjuvant (IFA) on day 14, 42 and 56. Blood was collected 7 days before each immunization and challenge. Challenge was performed on day 70 with 100  $\mu$ g of i.p. Injected wasp venom. Rectal temperature measurements were taken over the course of the experiment using a digital thermometer (YSI).

I collected serum samples at baseline and 7 days before each immunization to monitor wasp venom-specific antibodies by ELISA. Surprisingly, I found that most secondary antibodies used in our ELISA assays to detect specific mouse Ig classes bound directly to wasp venom, thus limiting significantly the choice of antibody to trace a successful immunization.

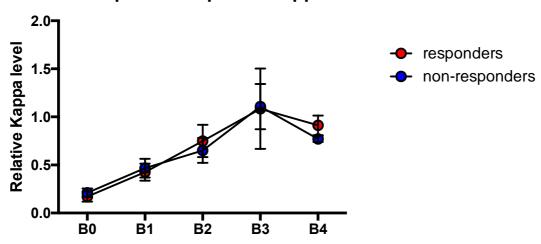
Using anti-mouse kappa chain antibodies, however, I could monitor the induction of wasp venom specific antibodies in the serum of all immunized mice. Fourteen days after the final boost, I challenged the mice i.p. with  $100~\mu g$  wasp venom. Anaphylactic reactions in mice are characterized by a reduced mobility, oedema, loss of body temperature and death in severe cases. As the most simple and objective measure, our laboratory uses body temperature and eventually time of death to document anaphylaxis.

Although all mice showed similar wasp venom specific antibody levels following immunization, only two-thirds of mice developed hypothermia after challenge (Figure 20/19). The heterogeneity in response could be caused by the already mentioned variations in wasp venom composition, but also by differences in induced anti-wasp venom antibody classes, notably IgE vs IgG. As our tools did not allow separating these two phenomena, we decided to change the experimental approach and to focus on immunizations elicited against only one component of wasp venom.



**Figure 19 Heterogenous hypothermia responses in mice sensitized and challenged with wasp venom.** Changes in body temperature in each time points after challenge with wasp venom in wasp venom sensitized (red and blue dots) or PBS treated mice (black dot). N=4 in PBS treated group; 8 mice showed hypothermia after challenge (red dots); 4 mice didn't response to wasp venom challenge. T-test was performed between responder group and control group separately in each time points. \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### wasp venom specific kappa



**Figure 20 Relative wasp venom specific kappa levels.** Blood was taken before immunization as B0 and after the first immunization B1, first boost B2, second boost B3 and last boost B4. The wasp venom specific kappa ELISA were tested by coating plate with 10ug/mL wasp venom and detected with 1:4000 dilutions anti-mouse kappa antibody. Relative kappa level was calculated by dividing the OD values in each sample to the standard sample (pooled serum from the immunized mice).

To this aim, I first tried to produce the major wasp venom allergens, Ves V 1 and Ves V 5 in insect cells using a baculovirus infection approach<sup>253</sup>. However, despite a promising production at low scale, it was impossible to obtain sufficient intact protein for immunization of larger groups of mice. Building on the laboratory's expertise in Expi293 liquid culture transfections, I then developed a mammalian expression system and could successfully obtain large amounts of Strep-tagged Ves V 5. Supernatant from transfected cells was purified on a streptactin column (Iba) and dialysed to remove biotin used for protein elution. Currently, the immunizations are ongoing and first results will be presented during my oral PhD defence. The Ves V 5 sensitization mouse model would provide a tool for the mechanistical studies of wasp venom allergy. Once established, we will apply our immunization protocol in the mice expressing human FceRI, human IgE and human FcyRs, human IgG mouse model to clarify the contribution of classical pathway and alternative pathway in wasp venom allergy. Moreover, Strep-tagged Ves V 5 could be a tool to label B cells specific for Ves V 5 in immunized mice or patient samples (as done now in our lab), which would help in identifying the antibody repertoires against Ves V 5.

# **Supplementary Table 1** Antibodies used in Flow cytometry

Antigen	Fluorophore	Clone	Supplier	Panel
CD8b	VioBlue	REA715	Miltenyi Biotech	1
CD4	VioGreen	REA623	Miltenyi Biotech	1
CD56	VioBright 515	REA196	Miltenyi Biotech	1
CD45 (LCA)	PE	REA747	Miltenyi Biotech	1
CD14	PerCP-Vio700	REA599	Miltenyi Biotech	1
CD19	PE-Vio770	REA675	Miltenyi Biotech	1
CD16 (FcγRIIIA, FcγRIIIB)	APC	REA423	Miltenyi Biotech	1
CD3	APC-Vio770	REA613	Miltenyi Biotech	1
CD8b	VioBlue	REA715	Miltenyi Biotech	2
CD4	VioGreen	REA623	Miltenyi Biotech	2
CD185 (CXCR5)	FITC	REA103	Miltenyi Biotech	2
CD294 (CRTH2)	PE	REA	Miltenyi Biotech	2
CD196 (CCR6)	PE-Vio615	REA190	Miltenyi Biotech	2
CD194 (CCR4)	PE-Vio770	REA279	Miltenyi Biotech	2
CD183 (CXCR3, CKR- L2, GPR9)	APC	REA232	Miltenyi Biotech	2
TCRg/δ	APC-Vio770	REA	Miltenyi Biotech	2
CD8b	VioBlue	REA715	Miltenyi Biotech	3
Viobility Fixable Dye	VioGreen		Miltenyi Biotech	3

CD45RA	FITC	REA562	Miltenyi Biotech	3
CD25 (IL-2Rα)	PE	REA570	Miltenyi Biotech	3
HLA-DR	PerCP-Vio700	REA805	Miltenyi Biotech	3
CD278 (ICOS)	PE-Vio770	REA192	Miltenyi Biotech	3
CD127 (IL-7Rα chain)	APC	REA614	Miltenyi Biotech	3
CD4	APC-Vio770	REA623	Miltenyi Biotech	3
CD8b	VioBlue	REA734	Miltenyi Biotech	4
CD4	VioGreen	REA623	Miltenyi Biotech	4
CD45RA	FITC	REA562	Miltenyi Biotech	4
CD27	PE	REA499	Miltenyi Biotech	4
HLA-DR	PerCP-Vio700	REA805	Miltenyi Biotech	4
CD8	PE-Vio770	REA715	Miltenyi Biotech	4
CD197	APC	REA546	Miltenyi Biotech	4
(CCR7)	APC	REA546	Miltenyi Biotech	4
CD86 (B7-2)	VioBlue	FM95	Miltenyi Biotech	5
Viobility Fixable Dye	VioGreen		Miltenyi Biotech	5
CD19	VioGreen	REA675	Miltenyi Biotech	5
CD3	VioGreen	REA613	Miltenyi Biotech	5
CD335 (NKp46, NCR1)	BV510	29A1.4	BD Biosciences	5
CD1c (BDCA-1, R7, M241)	VioBright FITC	REA694	Miltenyi Biotech	5
CD303 (BDCA-2)	PE	REA693	Miltenyi Biotech	5

HLA-DR	PerCP-Vio700	REA805	Miltenyi Biotech	5
CD141 (BDCA-3)	PE-Vio770	REA674	Miltenyi Biotech	5
CD304 (BDCA-4, Neuropilin-1, NRP1)	APC	REA774	Miltenyi Biotech	5
CD14	APC-Vio770	REA599	Miltenyi Biotech	5
CD193(CCR3)	VioBlue	REA574	Miltenyi Biotech	6
CD16 (FcγRIIIA, FcγRIIIB)	VioGreen	REA423	Miltenyi Biotech	6
CD62L	FITC	145/15	Miltenyi Biotech	6
CD32 (FcgRII)	PE	2E1 / REA	Miltenyi Biotech	6
CD10 (CALLA, neprilysin)	PerCP-Vio700	REA877	Miltenyi Biotech	6
CD184 (CXCR4)	PE-Vio770	REA649	Miltenyi Biotech	6
CD177	APC	REA258	Miltenyi Biotech	6
CD66b (CEACAM8)	APC-Vio770	REA306	Miltenyi Biotech	6
Viobility Fixable Dye	VioGreen		Miltenyi Biotech	7
FcεRIα	FITC	REA758	Miltenyi Biotech	7
CD193 (CCR3)	PE	REA574	Miltenyi Biotech	7
CD63 (LIMP1, LAMP-3)	PE-Vio770	H5C6	Miltenyi Biotech	7
CD203c	APC	REA826	Miltenyi Biotech	7

# **Supplementary Table 2** Immunephenotypes determined by flow cytometry

Phenotype	Mean (sobort)	Mean	Standard Error	Panel
	(cohort)	(control)		
Number of CD45+ cells/mL	208404	146424	31349	1
Number of CD19+ cells/mL	4369	3355	1176	1
Number of CD3+ cells/mL	30547	30613	6784	1
Number of CD4+ CD8- cells/mL	15775	19135	3362	1
Number of CD8+ CD4- cells/mL	9901	8056	2572	1
Number of CD56+ cells/mL	6418	5681,38	1397	1
Number of CD56 <sup>dim</sup> cells/mL	5904	5029	1249	1
Number of CD56 <sup>hi</sup> cells/mL	359	416,88	115,29	1
Number of neutrophil/mL	145122	91867	21489	1
Number of CD16+monocytes cells/mL	1154	1177	354	1
Number of CD16 <sup>-</sup> monocytes cells/mL	285	203	124	1
% CD45+ cells in total cells	81,53	71,61	6,56	1
% of CD19+ cells in CD45+ cells	1,91	2,26	0,44	1
% of CD3+ cells in CD45+ cells	13,56	22,16	3,12	1
% of CD4+CD8- cells in CD3+ cells	50,59	65,93	5,05	1
% of CD8+CD4- cells in CD3+ cells	32,93	24,36	4,35	1
% of CD56+ cells in CD45+ cells	2,94	4,19	0,80	1
% of CD56 <sup>dim</sup> cells in CD56 <sup>+</sup> cells	92,26	87,54	2,54	1
% of CD56hi cells in CD56+ cells	5,58	8,61	2,25	1
% of neutrophil in CD45+ cells	66,33	57,7	3,71	1
% of CD16- monocytes in monocytes	82,06	86,28	2,61	1

% of CD16+ monocytes in monocytes	17,63	13,70	2,59	1
Number of γδ T cells/mL	1557	2020	1322	2
Number of CD4+ CD8- cells/mL	25110	21385	5763	2
Number of Th1 cells/mL	6658	6599	1915	2
Number of Th2 cells/mL	335	203	66	2
Number of Th17 cells/mL	2551	1391	505	2
Number of CD8+ cells/mL	8674	7322	2464	2
% of $\gamma\delta$ T cells in total cells	0,79	0,81	0,39	2
% of CD4+ CD8- in total cells	16,09	8,90	2,01	2
% of Th1 cells in CD4+ cells	25,03	34,48	4,78	2
% of Th2 cells in CD4+ cells	1,34	1,06	0,24	2
% of Th17 cells in CD4+ cells	10,53	6,95	1,97	2
% of CD8+ in total cells	5,08	3,17	0,69	2
% of CCR6+cells in CD8+ cells	2,76	0,86	0,88	2
% of CCR6-cells in CD8+ cells	96,40	98,38	1,03	2
Number of CD4+ CD8- cells/mL	40431	47557	7117	3
Number of Tregs/mL	3949	4028	787	3
% of CD4+CD8- in total cells	11,65	9,02	1,28	3
% of Tregs in total cells	1,09	0,76	0,10	3
% of activated Tregs in Tregs	19,81	21,26	2,92	3
% of memory Tregs in Tregs	55,31	61,16	3,73	3
% of naïve Tregs in Tregs	24,28	17,33	3,58	3
MFI of ICOS on activated Tregs	1396	1127,75	201,60	3
MFI of ICOS on memory Tregs	745,38	605,88	109,41	3
MFI of ICOS on naïve Tregs	294,38	204,75	50,16	3

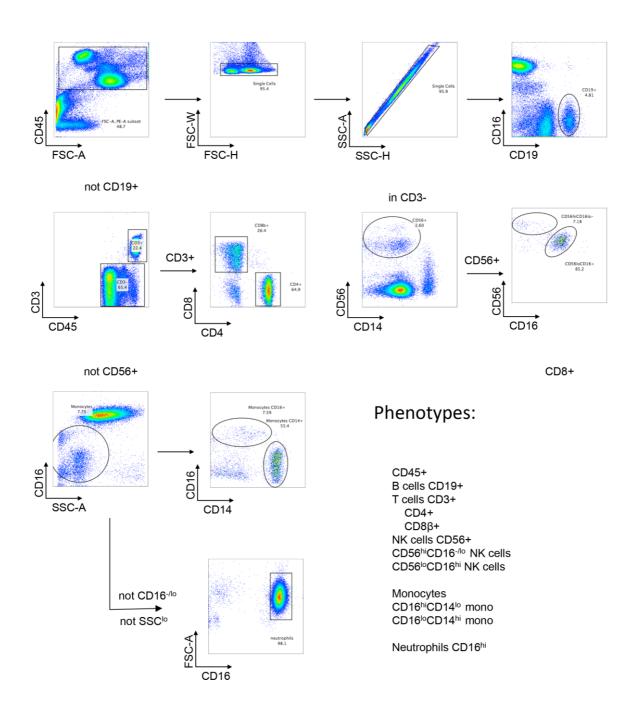
Number of CD3+ cells/mL	37127	38479	7574	4
Number of CD4+CD8- cells/mL	20880	23096	4821	4
Number of CD4+CD8a+cells/mL	373	480	236	4
Number of CD4+ T <sub>CM</sub> /mL	10975	10572	2602	4
Number of CD4+ T <sub>EM</sub> /mL	1570	1553	555	4
Number of CD4+ T <sub>EMRA</sub> /mL	429	398	347	4
Number of naïve CD4+/mL	7906	10574	3416	4
Number of CD8+CD4- cells/mL	8937	11061	2557	4
Number of CD8+ T <sub>CM</sub> /mL	4036	5004	1373	4
Number of CD8+ T <sub>EM</sub> /mL	921	1736	679	4
Number of CD8+ T <sub>EMRA</sub> /mL	1263	1029	652	4
Number of naïve CD8+/mL	2717	3292	820	4
% of CD3+ in total cells	18,89	14,46	5,98	4
% of CD4+CD8- in CD3+ cells	64,53	59,38	0,82	4
% of CD4+CD8a+cells in CD4+ cells	1,69	2,01	6,64	4
% of CD4+ T <sub>CM</sub> in CD4+ cells	51,33	48,90	2,14	4
% of CD4+ T <sub>EM</sub> in CD4+ cells	7,04	7,37	1,22	4
% of CD4+ T <sub>EMRA</sub> in CD4+ cells	1,86	1,54	8,78	4
% of naïve CD4+ in CD4+ cells	39,77	42,19	5,98	4
% of CD8+CD4- in CD3+ cells	23,51	28,91	4,44	4
% of CD8+ T <sub>CM</sub> in CD8+ cells	43,54	46,40	6,25	4
% of CD8+ T <sub>EM</sub> in CD8+ cells	8,07	13,68	3,67	4
% of CD8+ T <sub>EMRA</sub> in CD8+ cells	11,09	8,23	4,03	4
% of naïve CD8+ in CD8+ cells	37,31	31,71	8,09	4
MFI of HLA-DR on CD4+ T <sub>CM</sub>	177,83	141,28	44,13	4

MFI of HLA-DR on CD4 <sup>+</sup> T <sub>EM</sub>	394,83	404	110,01	4
MFI of HLA-DR on CD4 <sup>+</sup> T <sub>EMRA</sub>	364,12	134,15	157,97	4
MFI of HLA-DR on naïve CD4+	51,87	10,11	13,43	4
MFI of HLA-DR on CD8+ T <sub>CM</sub>	327,83	182,88	70,82	4
MFI of HLA-DR on CD8+ T <sub>EM</sub>	328,17	253,63	73,18	4
MFI of HLA-DR on CD8+ T <sub>EMRA</sub>	252,33	164,40	80,61	4
MFI of HLA-DR on naïve CD8+	84,95	36,68	23,09	4
MFI of CCR7 on CD4+ T <sub>CM</sub>	821,67	989,63	102,10	4
MFI of CCR7 on CD4+ T <sub>EM</sub>	380,17	524,50	78,96	4
MFI of CCR7 on CD4+ T <sub>EMRA</sub>	382,20	546,38	106,35	4
MFI of CCR7 on naïve CD4+	1885,33	1906,88	185,34	4
MFI of CCR7 on CD8+ T <sub>CM</sub>	424,83	589,88	66,18	4
MFI of CCR7 on CD8+ T <sub>EM</sub>	301,50	499,50	74,41	4
MFI of CCR7 on CD8+ T <sub>EMRA</sub>	379	598,25	90,06	4
MFI of CCR7 on naïve CD8+	1289,17	1232,13	181,99	4
Number of CD14+ cells/mL	18117	35414	6538	5
Number of cDC1/mL	24	39	9	5
Number of cDC2/mL	306	762	154	5
Number of pDC/mL	414	438	153	5
% of cDC1 in DC	0,03	0,03	-0,30	5
% of cDC2 in DC	0,43	0,60	-2,82	5
% of pDC in DC	0,54	0,36	2,83	5
MFI of HLA-DR on cDC1	4413,75	3894,88	448,79	5
MFI of HLA-DR on cDC2	6081,88	4843,25	422,90	5
MFI of HLA-DR on pDC	4778,13	3687,00	388,10	5

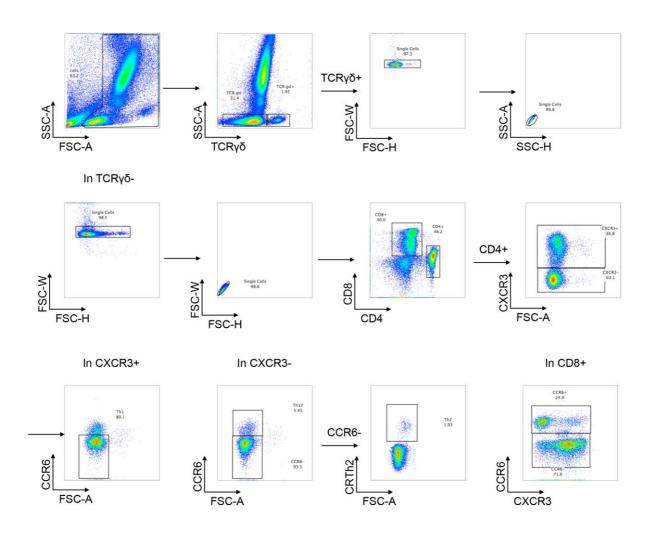
MFI of CD86 on cDC1	229,38	218,63	14,56	5
MFI of CD86 on cDC2	211,88	178,25	10,89	5
MFI of CD86 on pDC	181,50	155,75	8,23	5
Number of eosinophils/mL	4105	6569	1924	6
Number of neutrophils/mL	87441	137497	24439	6
Number of CD177+ neutrophils/mL	49420	83798	16795	6
Number of CD177 <sup>int</sup> neutrophils/mL	8591	48425	25174	6
Number of CD177- neutrophils/mL	30383	33568	6608	6
% of neutrophils in total cells	37,29	50,75	5,98	6
% of eosinophils in total cells	1,74	2,39	0,58	6
% of CD177+ neutrophils in neutrophils	52,23	62,04	6,67	6
% of CD177 <sup>int</sup> neutrophils in neutrophils	8,78	26,87	11,91	6
% of CD177- neutrophils in neutrophils	40,14	25,59	7,55	6
MFI of CD16 on eosinophils	1554,71	1237,13	56,95	6
MFI of CD32 on eosinophils	5863,14	4525,25	418,48	6
MFI of CD62L on eosinophils	1582,57	1409,50	122,81	6
MFI of CD16 on neutrophils	6496,43	5578,88	660,35	6
MFI of CD32 on neutrophils	11829,00	9552,63	699,95	6
MFI of CD62L on neutrophils	3552,00	3331,88	324,77	6
MFI of CD10 on neutrophils	1689,43	675,38	251,74	6
MFI of CD66b on neutrophils	2702,57	1712,00	444,88	6
MFI of CD16 on CD177+ neutrophils	6716,57	5662,00	676,88	6
MFI of CD32 on CD177+ neutrophils	11547,00	9399,50	684,35	6
MFI of CD62L on CD177+ neutrophils	3662,14	3354,00	322,99	6
MFI of CD16 on CD177 <sup>int</sup> neutrophils	6208,71	5377,50	677,01	6

MFI of CD32 on CD177 <sup>int</sup> neutrophils	12050,71	9808,38	705,98	6
MFI of CD62L on CD177 <sup>int</sup> neutrophils	3398,86	3177,88	342,13	6
MFI of CD16 on CD177 <sup>-</sup> neutrophils	6211,00	5336,13	668,68	6
MFI of CD32 on CD177-neutrophils	12011,86	9720,25	699,84	6
MFI of CD62L on CD177- neutrophils	3422,43	3220,25	329,42	6
Number of basophils/mL	1823	2453	378	7
% of basophils in total cells	0,52	0,53	0.08	7

# **Supplementary Figure 1** Gating strategy used in panel 1 to define major cells populations in blood



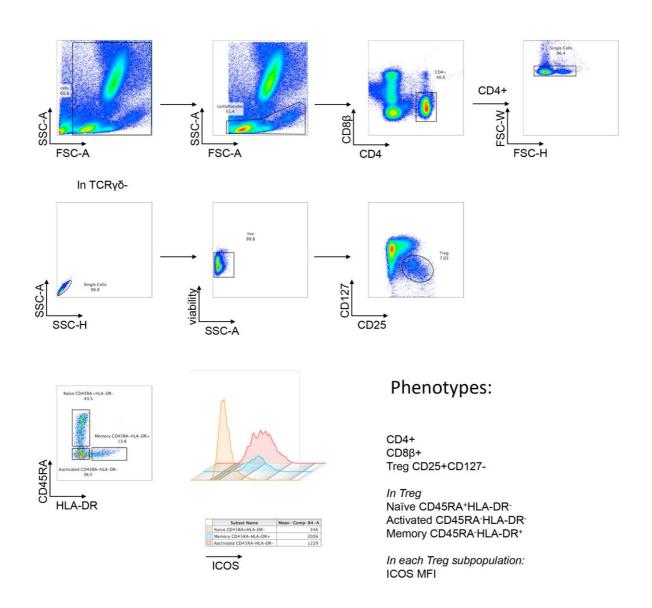
### **Supplementary Figure 2** Gating strategy used in panel 2 to define Th cells.



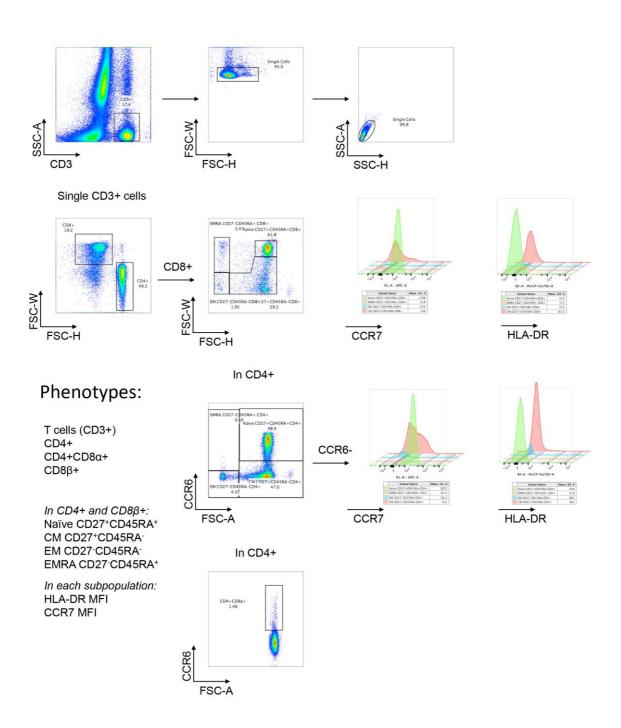
### Phenotypes:

```
TCRγδ+
TCRγδ-
CD8β+TCRγδ-
CCR6+
CCR6-
CD4+TCRγδ-
CXCR3+CCR6- →Th1
CXCR3-
CXCR3-CCR6+ →Th17
CXCR3-CCR6-CRTh2+ →Th2
```

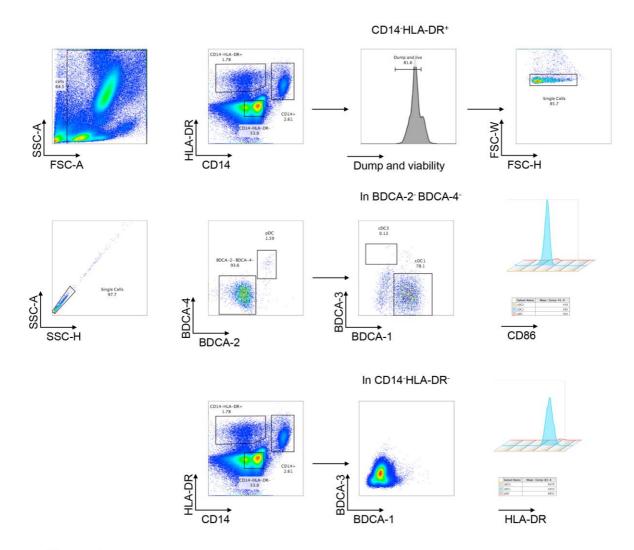
**Supplementary Figure 3** Gating strategy used in panel 3 to define Tregs subsets and phenotype.



**Supplementary Figure 4** Gating strategy used in panel 4 to define memory T cells subsets and phenotype.



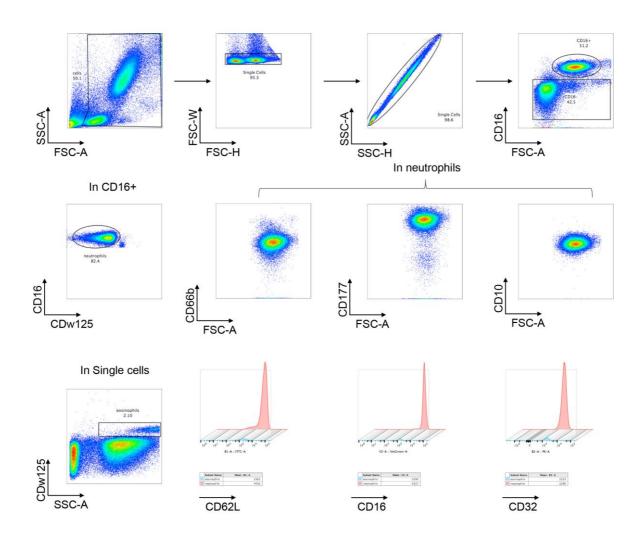
**Supplementary Figure 5** Gating strategy used in panel 5 to define DC subsets and phenotype.



## Phenotypes:

pDC BDCA-2+BDCA-4+ cDC1 BDCA-1+BDCA-3cDC2/3 BDCA-1-BDCA-3+ CD14hi monocytes

In each DC subpopulation CD86 MFI HLA-DR MFI **Supplementary Figure 6** Gating strategy used in panel 6 to define neutrophils and eosinophils and phenotype.



# Phenotypes:

Neutrophils CD16<sup>hi</sup>CCR3<sup>-</sup> Eosinophils SSC<sup>hi</sup>CCR3<sup>+</sup>

In neutrophils CD66b+ neutrophils CD177+ neutrophils CD10+neutrophils

In neutrophils and eosinophils:

CD62L MFI CD32 MFI CD16MFI

### 3.3. Part III IgG-FcyRs interspecies cross-binding

Different animal models have been widely used in researches for the reason that they shared some similarities with human. In the studies involved in antibodies and antibodies receptor functions, it was inevitable that the human antibodies may bind to the antibodies receptors expressed by the animal model, or the human cells with antibodies receptor expression could bind to the endogenous antibodies produced by animal model. Additionally, we also used antibodies from different species in *in vitro* and *in vivo* experiment. The choice of host species or antibodies subclasses sometimes influenced the experiment results. Thus, it is important to know the interspecies antibodies-antibodies receptor-binding pattern, especially for IgG and FcyRs.

In analogy to the previous work from my group, I evaluated the capacity of complexed IgG from various species (human, mouse, macaque, rat, hamster, guinea pig, rabbit, goat, horse, sheep, bovine and chicken (IgY)) to bind to human and mouse FcyRs by flow cytometry. F(ab')<sub>2</sub>-aggregated IgG and immune complexes (ICs, TNP-BSA – anti-TNP IgG) were used in parallel. My results document the specific binding patterns for each of these IgG (sub)classes and will be a useful reference for the transition from one animal model to preclinical mouse models or human cell-based bioassays.

The results of my work are presented in form of an article. It will be submitted for publication in a scientific journal once a limited number of control experiments have been done.

# Specificity of human and mouse Fegamma receptors for IgG subclasses of different species

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INSERM, Department of Immunology, Institut Pasteur, 25 rue du Docteur Roux, 75015 Paris, France. Phone: +33140613545. Mail: joensson@pasteur.fr.  Keywords: IgG, Fcy receptors, cross-binding, immune complexes, F(ab')2-IgG aggregates
Keywords. 1go, 1 cy receptors, cross-omaing, miniane complexes, 1 (at )2-1go aggregates

#### Abstract (194/200 Words)

Immunoglobulin G (IgG) is the predominant antibody class generated during infections and used for the generation of therapeutic antibodies. Antibodies are mainly characterized in or generated from animal models that support particular infections, respond to particular antigens or allow the generation of hybridomas. Due to the availability of numerous transgenic mouse models and the ease of performing bioassays with human blood cells *in vitro*, most antibodies from species other than mice and humans are tested *in vitro* using human cells and/or *in vivo* using mice. In this process, it is expected, but not yet systematically documented, that IgG from these species interact with human or mouse IgG receptors (Fc $\gamma$ Rs). In this study, we undertook a systematic assessment of binding specificities of IgG from various species - macaque, hamster, rat, rabbit, guinea pig, cow, sheep, goat, horse, chicken, and mouse and human as controls - to the families of human Fc $\gamma$ Rs, including their polymorphic variants, and of mouse Fc $\gamma$ Rs. Our results document the specific binding patterns for each of these IgG (sub)classes and will be a useful reference for the transition from one animal model to preclinical mouse models or human cell-based bioassays.

#### Introduction

Different animal models are used to study various aspects of immunity and in particular antibodydriven functions. Therapeutic antibodies are often generated from and evaluated in different animal models for efficacy and toxicity. Mice, rats and guinea pigs are primary models for infection studies, because they share similarities to humans regarding symptoms and triggered immune responses. Macaques are regularly used for preclinical vaccine development but while their IgG subclasses carry the same denomination as their human counterparts, their sequences are different from and their structure less divergent than human IgG subclasses<sup>1</sup>. IgG antibodies exert many of their biological functions through the crystallizable fragment (Fc) that can engage IgG receptors (Fc\gammaRs) and complement<sup>2</sup>. Transfer of polyclonal IgG, purified antigenspecific IgG fractions or even purified antibodies from hybridomas or cloned antibodies from various species into validated in vitro bioassays involving human cells or into transgenic or advantageous strains of mice for in vivo studies is tempting, but requires knowledge on IgG-FcγR interactions that may result from these experimentations. In addition, IgGs from rabbit, rat, hamster, goat and sheep are widely used as reagents for routine experimental procedures and notably immunostainings that may be affected by IgG-FcyR interactions. In humans four IgG subclasses exist (IgG1-4) that all have specific binding profiles to the six human FcyRs and their polymorphic variants, as demonstrated in our landmark publication<sup>3</sup>. Mice produce four IgG subclasses (IgG1, IgG2a/c, IgG2b, IgG3) and express four classical IgG receptors. While binding of IgG to FcγRs within a given species is rather well-documented<sup>3-6</sup>, only a few studies investigated binding specificities across species using various assays<sup>7-11</sup>. We therefore undertook herein to describe the interaction of IgG (sub)classes from twelve different species to human and mouse FcyRs.

#### Methods

Cells

Stably transfected CHO-K1 expressing either human or mouse FLAG-tagged Fc $\gamma$ Rs were cultured as described  $\gamma$ . Cells were used for binding experiments 3 days after passage. Ig binding, transfection level and Fc $\gamma$ R expressed were analyzed by flow cytometry (MACSQuant10/16, Miltenyi Biotec).

Antibodies and reagents

Bovine serum albumin (BSA) (Sigma-Aldrich) was tri-nitrophenylated by incubation with picric acid (Eastman Kodak) and the product fractionated on a gel filtration column (AKTA, GE Healthcare). Collected TNP<sub>s</sub>-BSA was biotinylated using the Pierce Biotin-Conjugated Molecule kit (Thermo Fisher). The hybridoma producing mAbs mouse IgG2a anti-TNP (Hy1.2) were provided by S. Izui (University of Geneva, Geneva, Switzerland), IgG2b anti-TNP (GORK) by B. Heyman (Uppsala Universitet, Uppsala, Sweden) and IgG3 anti-TNP (C3110E3) by J. Van Snick (Ludwig Institute for Cancer Research Ltd, Brussels, Belgium). Codon-usage optimized variable regions of the mouse H and L chain hybridoma IGELa2 (X65772.1, X65774.1) were cloned into human pUC19-Igγ1-or -Igκ expression

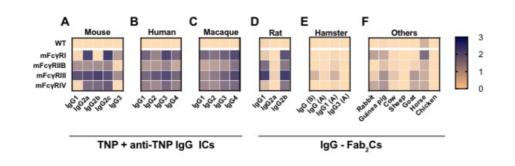
vectors (a kind gift from Hugo Mouquet, Institut Pasteur, Paris), respectively. Antibody switch variants were generated by replacement of the human L or H chain C regions by human C regions with IgG2, IgG3 and IgG4<sub>1239</sub>; mouse κ L chain (AJ487682.1) or γ2b H chain C regions (J00479.1); Cynomolgus κ L chain (JN984930) or γ1-4 H chain C regions (IgG1: JN984927; IgG2: JN984928; putative IgG3: DJ444798, IgG4: JN984929. – gene synthesis: Synbio Technologies). All in house produced antibodies were obtained by FectoPRO (Polyplus) transfection of Expi cells purified on a ProteinG column followed by a desalting column on an HPLC instrument (AKTA, GE Healthcare).

#### Immunoglobulin binding assays

Two types of IgG complexes were formed: i)  $F(ab')_2$ -aggregated IgG complexes, preformed by incubating 10 µg/ml IgG (Table S1) with 5 µg/ml fluorescently-labeled anti-IgG F(ab')<sub>2</sub> fragments (Table S2) in MACS buffer (PBS 0.05% BSA 2 µM EDTA pH7.4) for 30 minutes at 37°C; ii) *immune complexes (ICs)* made of 10 µg/mL anti-TNP IgG mAbs incubated with 3.3 µg/mL TNP<sub>33</sub>-BSA-Biotin for 30 min at 37°C in MACS buffer.  $2 \times 10^5$  transfectants CHO cells expressing human or mouse FLAG-tagged FcγRs were incubated with either of these two types of IgG complexes for 30 minutes at 4°C, washed, and cell-bound IgG complexes were revealed using 1 µg/mL APC-labeled streptavidin for 30 minutes at 4°C.

#### **Results and Discussion**

The vast majority of human and mouse FcγRs display low affinities for human and mouse IgG, precluding detectable interactions with IgG monomers. They do, however, readily interact with IgG complexes, either immune complexes (ICs) made of antigen and antigen-specific IgG, or complexes made of anti-IgG F(ab')<sub>2</sub> fragments and IgG (Fab<sub>2</sub>Cs)<sup>14</sup>. We used from our previous studies<sup>3,12</sup> CHO transfectants expressing a single human or mouse FcγR to evaluate the binding of Ig complexes from different species, including human, cynomolgus monkey, mouse, rat, hamster, rabbit, cow, horse, sheep, goat IgG, and also chicken IgY, for fluidity hereafter termed chicken IgG. Binding of Ig complexes was either assessed for all species by incubation of these CHO cells with fluorescently-labelled Fab<sub>2</sub>Cs, but also in addition for mouse, human and macaque IgG by incubating cells with preformed ICs of engineered switch-variants or hybridomas of anti-trinitrophenyl (TNP) IgGs and biotinylated TNP-labeled BSA. Untransfected CHO cells were included in each experiment to monitor unspecific binding.



#### Figure 1: Binding of IgG complexes from indicated species to mFcyRs.

Heatmaps summarizing binding of complexed mouse (A), human (B), macaque (C), rat (D), hamster (E), and rabbit, guinea pig, cow, sheep, goat, horse and chicken IgG ("others" (F)) to FLAG-tagged mFc $\gamma$ Rs on CHO transfectants. Preformed immune complexes (ICs) of biotinylated TNP $_9$ -BSA and anti-TNP IgG were revealed by streptavidin-APC, Fab<sub>2</sub>Cs formed by aggregating IgG with fluorescently labeled-F(ab') $_2$  anti-IgG (F(ab') $_2$  fragment-specific for for rat, rabbit and goat). Values are log10 transformed MFI of IgG binding and represent means of at least three independent experiments.

#### IgG binding to mouse FcyRs

To validate our approach, we first assayed mouse IgG subclasses with mouse FcγR interactions using both types of IgG complexes (Figure 1A/S1A) and reproduced the pattern previously described by us and others<sup>5,6</sup>. Mouse IgG1 bound exclusively to mFcγRIIB and mFcγRIII, mouse IgG2a and IgG2c bound to all mFcγRs, mouse IgG2b to mFcγRIIB, mFcγRIII and mFcγRIV, and mouse IgG3 to mFcγRI<sup>14</sup>. Unexpectedly, mIgG3 immune complexes bound detectably to mFcγRIII. In terms of binding strength to mouse IgG complexes, mFcγRI bound mIgG2a=2c>>3, mFcγRIIB bound mIgG1=2a=2b=2c, mFcγRIII bound mIgG2a=2b=2c>1 and mFcγRIV bound mIgG2a=2b=2c.

We next investigated binding of human IgG subclasses (IgG1, IgG2, IgG3, IgG4) to mouse Fc $\gamma$ Rs using the same approach and conditions (Figure 1B/S1B). All four human IgG subclasses bound all mFc $\gamma$ Rs, with hIgG3 showing the strongest interactions among all subclasses. Our data using human IgG complexes reveal more generalized crossbinding towards mFc $\gamma$ Rs than previous reported using a binding competition assay<sup>7</sup> that might require stronger IgG-Fc $\gamma$ R interactions to be revealed, or using surface plasmon resonance (SPR) that relied on monomeric interactions between human IgG and mFc $\gamma$ Rs<sup>8</sup>. Of note, we used herein the S<sub>228</sub>P variant of hIgG4 that enhances its stability and minimizes the dissociation of its two heavy chains (a process termed "Fab arm exchange")<sup>15</sup>. This enables its binding to mFc $\gamma$ RIIB<sup>11</sup>.

Similarly to human IgG subclasses, the four macaque IgG subclasses (IgG1, IgG2, IgG3, IgG4) complexed as anti-TNP mAbs in ICs bound to all mFc $\gamma$ Rs (Figure 1C). Macaque IgG4 binding strength was surprisingly stronger than the other subclasses: IgG4 > IgG3 > IgG1 = IgG2. Macaque Fab<sub>2</sub>Cs show overall lower binding to mFc $\gamma$ Rs than ICs (Figure S1C).

Fab<sub>2</sub>Cs from rat IgG1, IgG2a, and IgG2b demonstrated a peculiar pattern (Figure 1D), with rat IgG2b complexes binding to all mFc $\gamma$ Rs<sup>16</sup>, rat IgG1 complexes exclusively to mFc $\gamma$ RIIB and mFc $\gamma$ RIII, and rat IgG2a complexes not binding mFc $\gamma$ Rs. This striking difference may explain why rat IgG2b depletes cells in mice much more efficiently than rat IgG2a for the same target<sup>17</sup>.

Hamster IgG subclasses are still ill-defined and classified so far only as IgG1 and IgG3. They may originate from Syrian (S) or Armenian (A) hamsters. All hamster IgGs tested showed poor binding to mFcγRs, with low detectable binding of total IgG (S) and IgG1 (A) to mFcγRIII (Figure 1E). These results are in agreement with the observation that the Armenian hamster IgG1 anti-mFcγRIV mAb 9E9 can also block mFcγRIII in vivo through its Fc portion<sup>18</sup>.

Other species, express only one IgG subclass (rabbit, chicken IgY), or could only be tested on a pool of total IgG (horse - 7 IgG subclasses<sup>19</sup>; sheep, cow and goat - 3 IgG subclasses<sup>20</sup>; guinea pig, - 1 or 2 IgG subclasses<sup>21,22</sup>; Figure 1F). Rabbit IgG complexes bound weakly to all mFcyRs with the exception of

mFcγRIIB; guinea pig IgG to all mFcγRs; cow IgG bound only to mFcγRIII; sheep IgG complexes did not bind; goat IgG complexes only to mFcγRIII and mFcγRIV; horse IgG complexes only to mFcγRI above background levels; chicken IgY complexes did not bind.

#### IgG binding to human FcyRs

To assess the binding of IgGs from different species to human Fc $\gamma$ Rs and their polymorphic variants, hFc $\gamma$ RIIA (H<sub>131</sub> and R<sub>131</sub>), hFc $\gamma$ RIIB, hFc $\gamma$ RIIIA (F<sub>158</sub> and V<sub>158</sub>), and hFc $\gamma$ RIIIB (NA1, NA2, and SH), we used a collection of CHO cells transfected with N-terminal FLAG-tagged hFc $\gamma$ Rs sorted to express comparable levels of each hFc $\gamma$ R<sup>3</sup>. This collection therefore allows ranking of interactions between similarly complexed IgG subclasses.

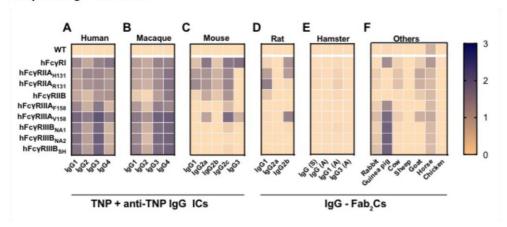


Figure 2: Binding of IgG complexes from indicated species to hFc $\gamma$ Rs.

Heatmaps summarizing binding of complexed human (A), macaque (B), mouse (C), rat (D), hamster (E), and rabbit, guinea pig, cow, sheep, goat, horse IgG and chicken IgY("others" (F) to FLAG-tagged hFc $\gamma$ Rs on CHO transfectants. Preformed immune complexes (ICs) of biotinylated TNP $_0$ -BSA and anti-TNP IgG were revealed by streptavidin-APC, Fab,Cs formed by aggregating IgG with fluoresently labeled-F(ab'), anti-IgG (F(ab') $_2$  fragment-specific for rat, rabbit and goat). Values are log10 transformed MFI of IgG binding and represent means of at least three independent experiments.

ICs made of any of anti-TNP mAbs of the four human IgG subclasses bound to all hFc $\gamma$ Rs, but with different overall binding strength: IgG3 > IgG1 > IgG2 > IgG4 (Figure 2A). Fab $_2$ Cs of the same mAbs showed a very similar pattern, except for an undetectable interaction between hFc $\gamma$ RIIB and IgG2 (Figure S2A). These datasets are mostly in agreement with our landmark study published in 2009 $^3$  using polyclonal human IgG subclasses, but reveal interactions using human IgG subclass mAbs: IgG2 interactions with hFc $\gamma$ RIIB, and IgG2 and IgG4 $_{S228P}$  interactions with hFc $\gamma$ RIIIA $_{F158}$  and the three hFc $\gamma$ RIIIB variants. We confirm that hFc $\gamma$ RIIB has the overall weakest capacity to bind IgG among all hFc $\gamma$ Rs, and that hFc $\gamma$ RIIIA $_{V158}$  shows a higher avidity for IgG aggregates than its polymorphic variant hFc $\gamma$ RIIIA $_{F158}$ 

Macaque IgG ICs made of anti-TNP macaque IgG subclasses showed a very similar binding pattern than human IgG ICs, with macaque IgG4 ICs however demonstrating a stronger binding strength than the

other subclasses: IgG4 > IgG3 > IgG1 > IgG2 (Figure 2B/S2B). As for human IgG subclasses, macaque IgG subclasses bound with the weakest strength to hFc $\gamma$ RIIB, as reported previously<sup>10</sup>.

Mouse IgG complexes demonstrated few interactions with hFc $\gamma$ Rs (Figure 2C/S2C), as anticipated<sup>23</sup>. Mouse IgG1 complexes bound predominantly to hFc $\gamma$ RIIA<sub>R131</sub> and hFc $\gamma$ RIIA<sub>H131</sub>; mouse IgG2a and IgG2c complexes to all hFc $\gamma$ Rs except hFc $\gamma$ RIIIA<sub>F158</sub> and hFc $\gamma$ RIIIB variants; IgG2b complexes weakly to hFc $\gamma$ RIIA variants and hFc $\gamma$ RIIB; IgG3 complexes to Fc $\gamma$ RI and weakly to hFc $\gamma$ RIIIA<sub>V158</sub>.

Rat IgG Fab<sub>2</sub>Cs demonstrated restricted binding to hFc $\gamma$ Rs (Figure 2D). Rat IgG1 Fab<sub>2</sub>Cs bound hFc $\gamma$ RIIA<sub>R131</sub> > hFc $\gamma$ RIIA<sub>H131</sub> > hFc $\gamma$ RIIB; rat IgG2a complexes did not bind; rat IgG2b complexes bound exclusively hFc $\gamma$ RI, hFc $\gamma$ RIIA<sub>H131</sub> and hFc $\gamma$ RIIIA<sub>V158</sub>.

Hamster IgG complexes demonstrated no binding to hFcγRs (Figure 2E), except a weak binding of hamster IgG1 complexes to hFcγRIIA<sub>R131</sub> and even weaker to hFcγRIIA<sub>H131</sub>.

Rabbit Fab<sub>2</sub>Cs demonstrated a weak binding to hFcγRIIA, hFcγRIIIA and hFcγRIIIB (Figure 2F). This may explain the ability of rabbit IgG to trigger human neutrophil (which express hFcγRIIA and hFcγRIIIB) responses *in vitro*<sup>24</sup>.

Unexpectedly, guinea pig IgG complexes demonstrated strong and selective interaction with hFcγRI, hFcγRIIIA and hFcγRIIIB variants, but no detectable interaction with hFcγRIIA, hFcγRIIB and hFcγRIIC (Figure 2F).

Cow and Goat IgG Fab<sub>2</sub>Cs weakly interacted with hFc $\gamma$ RIIA and hFc $\gamma$ RIIIA $_{V158}$  and hFc $\gamma$ RII, as reported<sup>25</sup>, and Goat IgG also detectably with hFc $\gamma$ RI and hFc $\gamma$ RIIB.

Horse IgG complexes bound only to hFcyRI above background levels.

Chicken IgY complexes did not bind to hFcyRs.

This study demonstrates that with the exceptions of sheep IgG and chicken IgY, it is to be expected that IgG from species other than human and mice will interact with at least one, and mostly a sizeable fraction of, human and mouse FcγR(s). Our study presents several limitations: Firstly, the level of IgG receptors expressed by these CHO transfectants does not reflect the endogenous expression of FcγRs. A high (non-physiological) density of FcγRs on transfectants might enable avidity interactions that may not occur *in vivo*. Also, some IgG complexes were generated using anti-IgG (H+L) F(ab')<sub>2</sub> fragments that may alter the binding of the Fc domain with FcγR binding (Table S2), or even account for the absence of binding of sheep IgG and chicken IgY complexes.

Collectively, our data draw a comprehensive map of interactions between IgG from various species and mouse and human Fc $\gamma$ Rs. It allows inferring Fc $\gamma$ R effector functions triggered by each of these IgG subclasses.

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#### **Authorship Contributions**

YW performed binding experiments. BI, OR, LR, DAM, PB and FJ prepared cell lines and reagents. YW, PB and FJ wrote the manuscript.

#### **Disclosure of Conflicts of Interest**

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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Supplemental Material:

Supplemental Table 1: Primary antibodies used in the study

Species	Antibody subclass	Clone	Source	Secondary Ab used	Antigen
Human	IgG1, κ	(IgELa)*	home made	109-606-097	TNP
	IgG2, κ	(IgELa)*	home made	109-606-097	TNP
	IgG3, κ	(IgELa)*	home made	109-606-097	TNP
	IgG4, κ	(IgELa)*	home made	109-606-097	TNP
Macaque	IgG1, κ	(IgELa)*	home made	109-606-097	TNP
	IgG2, κ	(IgELa)*	home made	109-606-097	TNP
	IgG3, κ	(IgELa)*	home made	109-606-097	TNP
	IgG4, κ	(IgELa)*	home made	109-606-097	TNP
Mouse	IgG1, κ	107.3	BD Biosciences	115-606-072	TNP
	IgG2a, κ	Hy1.2	home made	115-606-072	TNP
	IgG2b, κ	GORK	home made	115-606-072	TNP
	IgG2c, κ	(IgELa)*	home made	115-606-072	TNP
	IgG3, κ	C3110E3	home made	115-606-072	TNP
Rat	IgG1, κ	RTK2071	Biolegend	112-606-072	TNP-KLH
	IgG2a, κ	RTK2758	Biolegend	112-606-072	KLH
	IgG2b, κ	RTK4530	Biolegend	112-606-072	TNP-KLH
Syrian Hamster	IgG	SHG-1	Biolegend	6062-02	TNP-KLH
Armenian Hamster	IgG	HTK888	Biolegend	6062-02	TNP-KLH
	Hamster IgG1, κ	A19-3	BD Biosciences	6062-02	TNP
	Hamster IgG3, κ	E36-239	BD Biosciences	6062-02	TNP-KLH
Rabbit	Purified Serum IgG	011-000-003	Jackson ImmunoResearch	111-096-047	/
Guinea pig	Purified Serum IgG	006-000-003	Jackson ImmunoResearch	706-606-148	/
Bovine	Purified Serum IgG	001-000-003	Jackson ImmunoResearch	101-606-003	/
Sheep	Purified Serum IgG	013-000-003	Jackson ImmunoResearch	713-546-147	/
Goat	Purified Serum IgG	005-000-003	Jackson ImmunoResearch	305-606-047	/
Horse	Purified Serum IgG	008-000-003	Jackson ImmunoResearch	108-606-003	/
Chicken	Purified Serum IgY	003-000-003	Jackson ImmunoResearch	703-606-155	/

# Supplemental Table 2: Secondary antibodies used in the study

Specificity and Format	Label	Provider	Order number	Used for
F(ab') <sub>2</sub> Fragment Goat <b>Anti-Human</b> IgG,	Alexa Fluor® 647	Jackson ImmunoResearch	109-606-097	Human/ Macaque
F(ab') <sub>2</sub> fragment specific				
F(ab') <sub>2</sub> Fragment Goat Anti- <b>Mouse</b> IgG,	Alexa Fluor® 647	Jackson ImmunoResearch	115-606-072	Mouse
F(ab') <sub>2</sub> fragment specific	A1 FI 6 (47		112 (0) 072	ъ.
F(ab')₂ Fragment Goat Anti- <b>Rat</b> IgG,	Alexa Fluor® 647	Jackson ImmunoResearch	112-606-072	Rat
F(ab') <sub>2</sub> fragment specific Goat F(ab') <sub>3</sub> Anti- <b>Hamster</b> IgG(H+L)	FITC	SouthernBiotech	6062-02	Hamster
F(ab') <sub>2</sub> Fragment Goat Anti-Rabbit IgG,	FITC	Jackson ImmunoResearch	111-096-047	Rabbit
F(ab') <sub>2</sub> fragment specific				
F(ab') <sub>2</sub> Fragment Donkey Anti- <b>Guinea Pig</b> IgG (H+L)	Alexa Fluor® 647	Jackson ImmunoResearch	706-606-148	Guinea Pig
F(ab') <sub>2</sub> Fragment Goat Anti-Bovine IgG (H+L)	Alexa Fluor® 647	Jackson ImmunoResearch	101-606-003	Cow
F(ab') <sub>2</sub> Fragment Donkey Anti- Sheep IgG (H+L)	Alexa Fluor® 488	Jackson ImmunoResearch	713-546-147	Sheep
F(ab') <sub>2</sub> Fragment Rabbit Anti-Goat IgG	Alexa Fluor® 647	Jackson ImmunoResearch	305-606-047	Goat
F(ab') <sub>2</sub> fragment specific				
F(ab') <sub>2</sub> Fragment Goat Anti- <b>Horse</b> IgG (H+L)	Alexa Fluor® 647	Jackson ImmunoResearch	108-606-003	Horse
F(ab') <sub>2</sub> Fragment Donkey Anti- Chicken IgY (IgG) (H+L)	Alexa Fluor® 647	Jackson ImmunoResearch	703-606-155	Chicken
Anti-Flag	APC	Miltenyi biotec	130-101-565	
Anti-hFcγRI (10.1)	FITC	BD Pharmingen	555527	
Anti-hFcγRIIA (IV.3)	FITC	StemCell Technologies	60012FI	
Anti-hFcγRIIB (2B6)	FITC	home made	/	
Anti-hFcyRIII (3G8)	FITC	BD Pharmingen	555406	
Anti-mFcyRI (290322)	FITC	R&D Systems	FAB20741G	
Anti-mFcγRIIB (AT130-2)	APC	eBioscience	17-0321-80	
Anti-mFcyRII/III (2.4G2)	FITC	BD Pharmingen	553144	
Anti-hFcyRIII (270053)	FITC	R&D Systems	FAB19601F	
Anti-hFcγRIV (9E9)	FITC	home made	/	
Streptavidin	APC	BD Biosciences	554067	

# **Supplemental Figure 1:**

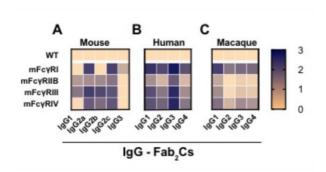


Figure S1: Binding of Fab<sub>2</sub>Cs from indicated species to mFcγRs.

Heatmaps summarizing binding of Fab<sub>2</sub>Cs of mouse (A), human (B), macaque (C) IgG with fluorescently labeled-F(ab')<sub>2</sub> anti–IgG (F(ab')<sub>2</sub> fragment-specific) to mFc $\gamma$ Rs. Values are log10 transformed MFI of IgG binding and represent means of at least three independent experiments.

# **Supplemental Figure 2**

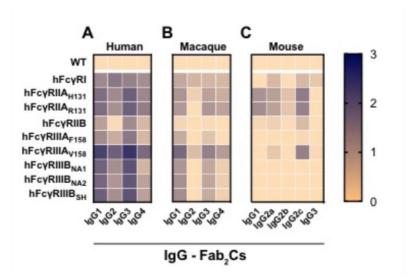
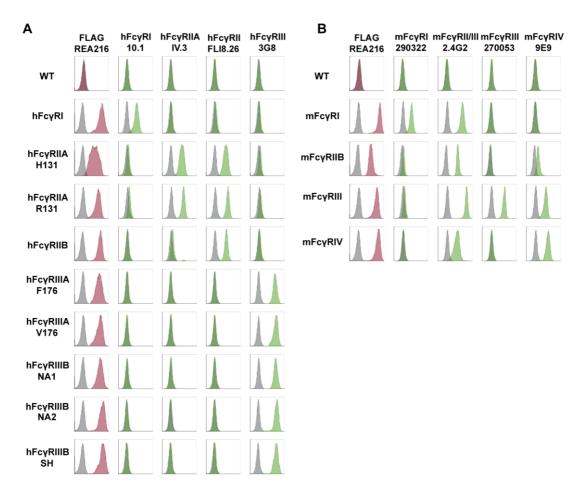


Figure S2: Binding of Fab<sub>i</sub>Cs from indicated species to hFcγRs.

Heatmaps summarizing binding of Fab<sub>2</sub>Cs formed of human (A), macaque (B) and mouse (C) IgG with fluorescently labeled-F(ab'), anti–IgG (F(ab')<sub>2</sub> fragment-specific) to hFcγRs. Values are log10 transformed MFI of IgG binding and represent means of at least three independent experiments.

## **Supplemental Figure 3**



Supplemental Figure 3: Characterization of CHO transfectants. CHO transfectants expressing no, or a single human (A) or mouse (B)  $Fc\gamma R$  were analyzed by flow cytometry. Histograms represent fluorescent intensity of unstained cells (grey), cell stained with an anti-Flag (red) or with indicated  $Fc\gamma R$ -specific antibodies (green).

#### 4. Discussion:

Until today, it is still unclear how the switch in immunity occurs that renders a given individual allergic. One possibility is that these individuals are prone to develop allergies, and that factors like lifestyle, environmental exposure but also genetic factors may pre-dispose otherwise healthy individuals to develop an allergic condition throughout their lifes<sup>254</sup>. As many allergic diseases are characterized by a Th2 biased IgE mediated immune response, we hypothesized that elevated total serum IgE (tIgE) concentration may identify in a cohort of healthy donors, individuals at risk for developing allergies. Unexpectedly, I identified in a 1000-healthy donor cohort a large variability of the tIgE concentrations among individuals, ranging from 1-3,000 IU/mL, and around 20% of the participants with a serum IgE concentration above the normal range 114 IU/mL. This is different to other studies that reported 21-83 IU/mL. This observation drove me to question which intrinsic and extrinsic factors lead to high tIgE concentration in these donors and I will discuss the question whether this elevated tIgE could serve as an indicator of a transitional immune state towards an allergic condition in chapter 4.1.

In the second results part of my thesis, I attempted to compare the immune phenotypes of severely allergic patients with healthy controls. However, due to the limited number of recruited patients, this part of my PhD remains preliminary. Until today, I could observe some immune characteristics of allergic donors that are in agreement with previously reported findings (e.g. increased Th2 and Th17 cells), but also made some new observations that were previously not described (increased HLA-DR in na $\ddot{\text{i}}$  cells and decreased CCR7 on CD8+  $T_{\text{CM}}$ ,  $T_{\text{EM}}$  and  $T_{\text{EMRA}}$ ). Notably, some of the measured immune parameters appear to be different in amoxicillin allergy and wasp venom allergy, indicating that many pathways may lead to allergies, involving different cellular actors and cytokines and are hence affected differently by environmental and genetic parameters. I will discuss in chapter 4.2 whether it is possible to define one allergic immune phenotype to regroup all the individual causes that may underlie allergy.

Finally, in the last chapter 4.3 of the discussion I will come back to my results of the interspecies IgG-  $Fc\gamma R$  cross-binding study that I undertook during the last part of my thesis. Beyond the discussion that is already integrated in the article, I will discuss the results on the observed interaction patterns from an evolutionary point of view, highlighting expected relationships and surprising results.

I will then conclude my work and provide some outlooks into future steps of this research in the perspectives.

4.1. High total serum IgE, an indicator allergic condition?	for individuals at-risk to develop an
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## 4.2. One allergic immune phenotype fits all?

One of the major goals of the WASPenIP cohort is to answer the question whether all wasp venom allergic individuals have a similar immune phenotype, when compared to healthy controls; and whether this immune phenotype resemble the one of amoxicillinallergic donors. In other words: does an allergic immune phenotype exists that extends over a specific allergy and embraces all causes of allergy that have in common to potentially cause severe systemic reactions, such as anaphylaxis? And even all types of allergies, be it hay fever, food allergies, atopic dermatitis or even allergic asthma? The simplified model of allergy as a Th2 biased, IgE-dependent immune response would indeed suggest so, however my preliminary data from the WASPenIP study suggest that allergic donors show an individually dysregulated immune phenotype with a more or less pronounced involvement of the different immune compartments. In this chapter, I will discuss the implication of these different cellular players in allergic diseases.

My results show that the variations of immune parameters tested were larger among amoxicillin allergic patients than among wasp venom allergic patients, suggesting that amoxicillin allergy shows a more heterogeneous phenotype.

Although allergic diseases are characterized by Th2 response, however, in the two types of allergies we tested, their Th2 cell numbers and percentage of Th2 cells among all the CD4+ T cells did not a show significant difference compared to healthy donors. One reason for this might be that we analysed these blood samples more than 6 weeks after allergen exposure, at a time when allergen-triggered immune reactions are thought to have come back to baseline levels. In addition, in contrast to exposure with other types of allergens such as house dust mite or pollen, the contact of amoxicillin and wasp venom can be avoided in daily life. It was therefore even more surprising that, unlike Th2 cells, Th17 cells in both allergic groups were augmented.

#### Implication of Th17 cells in allergic diseases

Th17 cells are characterized by the capacity to secrete IL-17 cytokines<sup>267</sup>. The IL-17 cytokine family consist of IL-17A, IL-17B, IL-17C, IL-17D, IL-17E, IL-17F and contributes to immunity through the induction of chemokines such as CXCL1, CXCL2 and CXCL8, which attract myeloid cells<sup>268</sup> and cytokines such as IL-6 and GM-CSF that promote inflammation<sup>269</sup>. Although Th17 cells are the major source of IL-17, other cells like CD8+ T cells, natural killer T cells,  $\gamma\delta$  T cells, and ILC3s can also produce IL-17<sup>270</sup>.

Recently, there is increasing evidence for the participation of the Th17 pathway in allergic diseases, and especially in severe asthma. In severe asthma patients the number of IL-17 producing cells presented in the bronchoalveolar lavage fluid, lung biopsies, sputum, and in the blood was positively correlated with asthma severity and accompanied by neutrophil infiltration<sup>271</sup>. Furthermore, gene expression analysis from endobronchial tissues of asthmatic patients separated patients into three phenotypical clusters, which were Th2-high, Th17-high, Th2 and Th17 low<sup>272</sup>. Notably, both of the Th2-high and Th17-high phenotypes were associated with both neutrophil and eosinophil infiltration<sup>272</sup>. It possible that co-induction of Th2 and Th17 reflects a compensatory mechanism aiming at limiting an unbalanced cytokine production. Other in vitro and in vivo studies suggested that Th2 cytokines are negatively regulated Th17 cytokines<sup>273,274</sup>, which could explain why I could not detect elevated numbers of Th2 cells in the WASPenIP cohort, characterized by increased Th17 cells numbers. Previous research further demonstrated that asthma patient's infiltration of neutrophils in the lung resistant to corticosteroid therapy<sup>158</sup>.

Furthermore, to reduce airway inflammation, corticosteroids can be given locally or systematically. In nowadays inhaled corticosteroid are one of the major medicines to control asthma crises. However, 10% of asthma patients are resistant to this therapy<sup>275</sup>. Some of these patients show a high degree of neutrophil infiltration in the lungs, together with elevated IL-17 levels in the airway tissues or in the bronchoalveolar lavage fluids, suggesting that IL-17 could be causal in this neutrophil accumulation. Corticosteroids have been suggested to exert anti-apoptotic effects on neutrophils and thus prolong their survival, whereas they seem to have the opposite effect on

eosinophils<sup>276</sup>. It has also been reported that the infiltration of neutrophils resulted from corticosteroid therapy<sup>277</sup>. In the WASPenIP cohort, I could not observe elevated neutrophil numbers that accompanied the augmented Th17 cells. This might be due to the fact that I sampled blood and not tissue from allergic sites or by the fact that the allergies I was studying are not chronic conditions like asthma (in which the neutrophil accumulation could be escalated by the corticosteroid therapy).

## Monocytes/ macrophages

Human monocytes are a heterogeneous cell population of mononuclear cells that circulate in the blood. Two subsets are generally distinguished based on their expression of CD16 on their surface. Classical monocytes are CD14++CD16- 278, they exert multiple functions to preserve homeostasis, and contribute to pathogen defence and tissue repair. Inflammatory monocytes express CD16 on their surface and notably expand during inflammatory conditions<sup>279,280</sup>. Depending on the expression level of CD16, these can be further divided into two subsets with proinflammatory properties: intermediate CD14++CD16+ monocytes and non-classical CD14+CD16++ monocytes<sup>278</sup>. Interestingly, the percentage of CD14++CD16+ monocytes was reported to increase in the blood of patients with severe asthma as compared to patients with mild/moderate asthma <sup>281</sup>. In the WASPenIP study, I also included the detection of monocyte subsets in the flow cytometry analysis panels. However, I could not observe the increase of CD16<sup>+</sup> blood monocytes. A possible reason could be that CD16+ monocytes depend on sustained allergen stimulation for their expansion. K. Kowal and colleagues showed that house dust mite allergic patients had elevated CD14++CD16+ monocytes upon bronchial challenges in the blood<sup>282</sup>. Another possibility could be that CD16<sup>+</sup> monocytes only contribute to severe asthma instead of amoxicillin allergy or wasp venom allergy. Upon extravasation and migration into tissues, monocytes can differentiate into macrophages. Depending on the microenvironment, macrophages can polarize into classically activated macrophages (M1) or alternatively activated macrophages (M2)<sup>283</sup>. Similar to the concept of Th1-Th2, M1 macrophages are induced by LPS and exert proinflammatory functions, such as the release of IFN-y, in response to clearance of intracellular pathogens; on the contrary M2 macrophages will be induced by exposure to IL-4 and IL-13 and play important roles in clearance of damaged cells as well as in

wound healing<sup>284</sup>. Increased M2 macrophage polarization has been observed in a mouse model of allergic airway inflammation<sup>285</sup> and in human asthma, M2 macrophages were reported to become a major source of IL-13, thus driving the amplification of Th2 response<sup>283</sup>. In the WASPenIP cohort I only had access to blood samples and therefore could not analyse tissue macrophages. However, in the wasp allergic mouse model, we could evaluate the role of M2 macrophages in the future.

#### **Dendritic cells**

It has been proposed that pDCs balance allergic inflammatory conditions through induction of T regulatory cells by the release of retinoic acid and the induction of retinaldehyde dehydrogenase enzymes. Several lines of research have shown a role for tolerogenic pDCs in allergic diseases including allergic asthma. In a clinical study, it was found that the number of pDCs in infancy inversely correlates with asthma development during the first five years of life<sup>286</sup>. A recent study shows that human tonsilar pDCs suppress effector T cell through the induction of Tregs<sup>287</sup>. In mice, the depletion of pDC caused the lung inflammation in asthma model<sup>243</sup>, and the increased number of pDC could alleviate the asthma-like symptoms<sup>288</sup>. Moreover, other study also showed the tolerogenic roles of pDC in food allergy<sup>289</sup>. In our study, I observed an increased percentage of pDC and a decrease percentage of cDC2 in the blood of allergic patients as compared to healthy donors. This observation was surprising, because cDCs were described to participate in the initiation of Th2 responses and to attract eosinophils into tissues<sup>290</sup>. On the other hand, I observed that cDCs in the allergic donors were more activated and expressed elevated levels of CD86 and HLA-DR, which makes them more proficient in activating naïve T cells.

Importantly, although allergic donors had increased Th17 cells, pDCs and Tregs in their blood, their Th1 cytokines, Th2 cytokines, Th17 cytokines and IL-10 were comparable with healthy donors in the six whole blood stimulation conditions. This could be a consequence from the above-described homeostasis between inflammatory and anti-inflammatory responses, which restrict exaggerated responses by any of these cell

types. In contrast to the comparable cytokine levels, some of the chemokines showed distinct expression between healthy donors and allergic patients in certain stimulatory conditions. Amoxicillin allergic patients had a tendency to release more CCL5 and CXCL12 upon stimulation with relevant allergens. CCL5 is chemotactic for T cells, eosinophils, and basophils and CXCL12 for T cells and monocytes, suggesting that these patients could show enhanced leukocyte mobilization into the circulation. As mentioned in the chapter 3.2, this difference may be related to the sensitizing route: amoxicillin sensitization occurs most likely systemically, whereas wasp venom sensitization happens in skin.

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Figure 9 Molecular mechanisms in allergic inflammation. Reprinted from 291.

In conclusion, and as summarized in Figure 9, multiple cellular players contribute to allergic diseases and their respective contribution may differ from one allergic disease to another, and more importantly from one patient to another. While some features may be shared between different allergic conditions and/or patients it is unlikely that a unique immune phenotype is at the origin or these diverse conditions, which indicated that on allergic immune phenotype could not fit all of the allergic conditions.

## 4.3. From antibody evolution to application.

In the last part of my thesis, I evaluated the binding of IgG (sub) classes from different species to human and mouse FcyRs. This allowed me to draw a comprehensive map of interspecies cross-binding interactions that allows inferring FcyR effector functions triggered by each of these IgG subclasses in in vitro studies using human cells or in vivo when using mouse models. In the following chapter, I would like to discuss these results from an evolutionary point of view. I will therefore begin this chapter with a brief introduction to the evolution of immunoglobulins and FcyRs.

### The evolution of immunoglobulins

The diversity of the adaptive immune system relies on immunoglobulins (Ig), T cell receptors (TCR), and MHC. Expression of both Ig and TCR requires somatic recombination of germline-coded gene segments and evolved in vertebrates almost 500 million years ago. With the exception of agnatha (lamprey and hagfish), all vertebrates possess Ig of the M class (Figure 10) that exist in both a membrane-bound as well as in a secreted form<sup>1</sup>, revealing that IgM are the evolutionary oldest and most successful Ig still found in mammals today. Cartilaginous fish have three types of immunoglobulins IgM, IgW, and IgNAR (new antigen receptor). Their IgM is an orthologue to mammalian IgM and can be present as monomers or pentamers. IgW has two membrane-expressed forms and two secreted forms with different CH length. Compare to IgM and IgW, IgNAR appears to be the most recent isotype and shows some homology to mammalian IgD. The ray-finned fish also has three immunoglobulin classes IgM, IgD, and IgZ (catfish lack IgZ). IgZ is a smaller than IgM and possesses only limited complement activation function. Interestingly, the ray-finned fish Cδ locus is already linked to Cµ, and therefore presents a similar organisation as observed in mammals. In amphibians, such as *Xenopus* tropicalis, five immunoglobulin classes can be found: IgM, IgD, IgX, IgY, and IgF. IgX appears to be an analogue of mammalian IgA, whereas IgF and IgY show sequence similarities, with IgF and IgY having two and three constant domains, respectively. IgY indeed is thought to be a key isotype during immunoglobulin evolution<sup>84</sup>. It has been suggested that it originates from an IgM gene duplication event and is present in reptiles, together with IgM, IgD, and IgX, and in chicken alongside with IgA and IgM84.

Except for analogy to IgX, IgA also shares features with IgM and IgY. IgG and IgE finally appeared in mammals (the duck-billed platypus) and are thought to have derived from  $IgY^{292}$ .

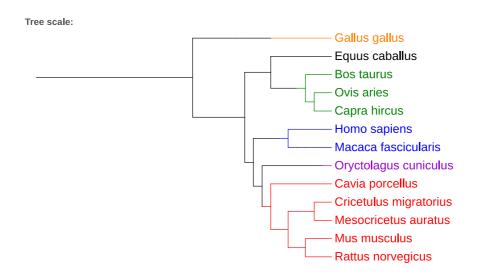
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**Figure 10 The different immunoglobulin classes evolved in various clades of vertebrates.** Filled cycles mark whole-genome duplication events. Reprinted from<sup>1</sup>.

## **IgG** in mammals

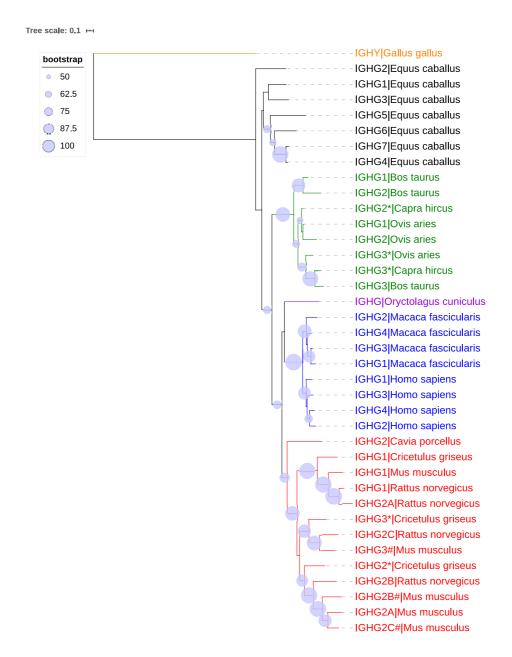
As one of the most recent immunoglobulin classes, IgG plays an important role in the immune homeostasis in circulation. During my thesis, I investigated crossbinding of IgGs from different mammalian species and the chicken IgY to mouse and human  $Fc\gamma Rs$ . I focused on species that are among the most relevant models used in immunology to develop models of infections, test antibody-related hypotheses or generate hybridomas. Among mammalian IgG, I tested IgGs from Perissodactyla (horse/*Equus caballus*),

Artiodactyla (ruminants: cow/Bos taurus, goat/Hircus capra and sheep/Ovis aries), Lagomorpha (rabbit/ Oryctolagus cuniculus), Rodents (hamster/ Cricetinae, guinea pig/ Cavia porcellus, rat/ Rattus and mouse/ Mus musculus), and Primates (Cynomolgus monkey/ Macaca fascicularis and human/ Homo sapiens). The overall phylogenetic relationship between the species used in my work is depicted in Figure 11.



**Figure 11 Species tree generated with PhyloT.** Representation in ITOL IgG/Fc R tree. Fasta sequences aligned using ClustalW with standard settings for slow/accurate alignment of Protein sequences. Species trees were generated using the PhyML Bootstrap method.

The evolutionary relationships of these species is mostly conserved on the level of IgG immunoglobulins, with maybe the exception of rabbit/rabbit IgG that appear closer related to rodents in the species tree and whose IgG forms a (badly supported) node with primates. It is noteworthy that IgG subclasses from a given animal showed sometimes a closer relationship with the same subclass from a closely related species (e.g. mouse, rat and hamster IgG1), and sometimes with other IgG subclasses within a given animal (rat IgG1 and IgG2a) (Figure 12).



**Figure 12 Phylogenetic analyses of selected mammalian IgG and chicken IgY.** Protein sequences were aligned using ClustalW with standard settings for slow/accurate alignment of Protein sequences. Trees were generated using the PhyML Bootstrap method. Alignements were done on the whole constant region sequence, including CH1, hinge, CH2, CH3 (and CH4 for Gallus). \* Indicates hypothetical/predicted protein, # indicates truncated sequences after CH3 domain.

As expected, chicken IgY forms an outgroup of the IgG tree and also did not bind to any human or mouse  $Fc\gamma R$  in the assays I used. This suggests that chicken IgY is an antibody class of choice to avoid unspecific (FcR-mediated) binding when working with mouse or human cells or tissues. In horse seven IgG subclasses were identified<sup>293</sup>. Horse IgG1-7

bind to Staphylococcal protein A and protein G with different affinities, show differences in complement C1q engagement and have different capacities to induce respiratory burst in equine peripheral blood leukocytes<sup>294</sup>. Due to a lack of tools, I could only test whole equine IgGs in my work, and surprisingly these bound only to the human and mouse high affinity IgG receptor, FcyRI. This is an interesting observation and may explain the successful use of horse-derived snake venom antiserum in humans<sup>295</sup>. Cow, goat and sheep all belong to Bovidae, in which three subclasses of IgG were identified<sup>296</sup>. For all these species we again only had access to whole purified IgG. Unexpectedly, IgG complexes from these three species showed different binding to human and mouse FcyRs: sheep IgG did not bind to either mouse or human FcyRs, whereas goat and cow IgG complexes showed the same binding pattern, with binding to human FcyRI, FcyRIIA H131, FcyRIIIA V131, and all the mouse FcyRs. How can we reconcile this discrepancy? Indeed, in order to aggregate IgGs, I used anti-IgG F(ab')2 fragments. Whenever available, I used F(ab')2 fragments directed against the F(ab')2 fragment of the target antibody. For sheep, cow, horse, guinea pig and chicken these were, however, not available. I therefore used for anti-IgG (H+L) to aggregate IgG from these species that could bind to the Fc portion of these IgGs and interfere or even block the interaction site Rabbit only have one IgG subclass<sup>297</sup> that bound to all mouse and human with FcyRs activating FcyRs except human FcyRI, consistent with the use of rabbit IgG to trigger human FcyR-expressing cells in vitro<sup>298</sup>.

Guinea pig has two IgG subclasses<sup>299</sup>, but we could only retrieve the sequence of one of them. The phylogenetic analyses showed that those two Guinea pig IgG subclasses are next to each other. Rabbit IgG was closer to human IgG, whereas guinea pig IgG are closer to mouse IgGs. Thus it is not a surprise to us that the binding patterns of those rabbit and guinea pig IgGs are similar, with rabbit IgGs showing a boarder binding profile to human  $Fc\gamma Rs$ . Nevertheless, it is interesting to see that guinea pig IgGs have also a potent binding avidity for human  $Fc\gamma Rs$ .

Syrian hamsters used in laboratories are believed to have originated from only three to four littermates captured in  $1930^{300}$ . All the hamster IgGs I could test (Syrian hamster

total IgG, Armenian hamster IgG1, Armenian hamster IgG3, Armenian hamster total IgG), Syrian hamster IgG, Armenian hamster IgG1, and Armenian hamster IgG bound to mouse Fc $\gamma$ RIII and weakly to human Fc $\gamma$ RIIA R131. Armenian hamster IgG3 did not bind to any human or mouse Fc $\gamma$ Rs. The divergent evolutionary time of hamster from Muridae was twice as long as the split between mouse and rat; this could explain the rather poor binding of complexed hamster IgGs to mouse (and human) Fc $\gamma$ Rs (Figure 10 and<sup>301</sup>).

As indicated in the introduction, it has been proposed that mouse and rat IgGs derived from a common set of ancestral genes: with rat  $\gamma 2c$  gene showing homology to mouse  $\gamma 3$ ; the rat  $\gamma 2a/\gamma 1$  pair to mouse  $\gamma 1$ ; and the rat  $\gamma 2b$  is homologous to mouse  $\gamma 2a/2b$ . Our results partially support this hypothesis: complexed mouse IgG1, and rat IgG1 showed the same binding pattern to mouse and human Fc $\gamma$ Rs, rat IgG2a, however only showed very weak interactions with Fc $\gamma$ Rs; mouse IgG2a/2b/2c binding resembled the pattern observed with rat IgG2b.

Macaques are widely used non-human primates for studies on vaccination and infection diseases, especially HIV. Cynomolgus monkeys have four IgG subclasses. Their intronexon organization is similar to their human counterparts and also their amino acid sequences share 86.3-90.3% with human IgGs. Macaque IgG1-4 carry however a number of amino acid changes which are thought to be potentially affecting their effector functions<sup>302</sup>. In agreement with their evolutionary proximity, we found the macaque IgGs shared overall a very similar binding pattern with their human counterparts.

### IgG-FcyRs cross binding between human and mouse

The phylogenetic analysis of human and mouse FCGR genes in Figure 13 reveals the sometimes misleading denomination of these genes in the two species, which mixes historical discovery with functional resemblance. The FCGR1 locus separated from the low affinity IgG receptor locus and indeed mouse and human FCGR1 are closely related. Thus one could expect that mouse and human FcyRI show similar binding patterns to IgGs from different species. Indeed, both FcyRIs bound to all human complexed IgGs

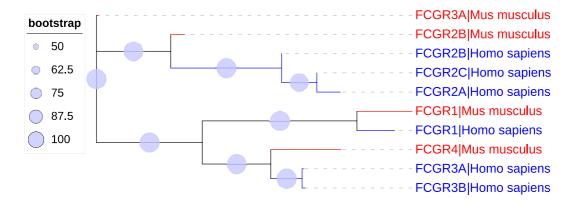
with lowest binding to human IgG2; and to mouse IgG2a/c and IgG3 and much weaker or not to mouse IgG1 and IgG2b. Human FcgRIIA, IIB and IIC derived from gene duplication and exon swapping events. Albeit the closer evolutionary relationship of mouse FcgRIIB to human FcgRIIB, than to human FcgRIIA, the binding pattern of mouse FcyRIIB showed higher overlap to the one observed for human FcyRIIA (H131).

Other than what one may think from the common denomination mouse and human FcyR do not show many similarities. Indeed, mouse FcyRIII is rather related to mouse FcyRIIB and the human FcyRIIIA and FcyRIIIB show commonality with mouse FcgRIV. Among the 4 mouse FcyRs, mouse FcyRIII displayed the broadest binding profile: interacting with all complexed human and mouse IgGs. However, its counterpart human FcyRIIIA and FcyRIIIB selectively bound to mouse IgGs: human FcyRIIIA F176 bound to mouse IgG2c; FcyRIIIA F176 bound to mouse IgG2a/c and IgG3; FcyRIIIB did not bind any mouse IgGs.

While these similarities may explain some of the observed binding patterns, they can not explain all the observations, I have made during this study. On example is the mouse FcyRIV: Although the amino acid sequence clusters with human FcyRIIIA and FcyRIIIB, its binding pattern to mouse IgGs rather resembles the one of human FcyRIIB. Indeed, the phylogenetic analysis is based on the alignment of the whole amino acid sequence of the different receptors whereas the binding to IgG is mediated by certain regions of the receptor and strongly depends on a couple of amino acids<sup>303</sup>.

This also explains why some well-documented polymorphisms of human FcyRs show such a strong impact on IgG binding of the receptors. FcyRIIA H131 is generally described to show better binding to human IgG2 than hFcyRIIA, except for mouse IgG1. Additionally, I could confirm that the human FcyRIIIA V176 variant showed more binding to all human IgGs tested, and extend this observation to IgGs from other species.

Tree scale: 0.1 →



**Figure 13 Phylogenetic analyses of human and mouse FcgR proteins.** FcgR protein sequences were aligned using ClustalW with standard settings for slow/accurate alignment of Protein sequences. Trees was generated using the PhyML Bootstrap method detailed below.

Collectively, my data adds interesting insights into the co-evolution of IgGs and FcyRs and identifies crossbinding patterns between IgGs from different species and human and mouse FcyRs that allow inferring FcyR effector functions.

#### 5. Perspective

The results of my thesis can be summarized by three major outcomes:

In healthy individuals, total serum IgE concentrations are associated with age, sex, smoking habits, certain HLA alleles, FcɛRI expression on basophils, and a particular profile of cytokines released in whole blood stimulation assays. The observation that the group of individuals with high IgE concentrations contained a two times higher proportion of individuals with a reported family history of allergic diseases (12.4 % instead of 6 % in the overall cohort), suggests that this group is "at-risk" of developing allergies. This should be particularly true for individuals that present with persisting high IgE concentrations, because their "milieu interieur" may be permanently challenged by augmented cytokine productions and notably cytokines of the Th2 type.

My preliminary data from the analysis of the WASPenIP cohort suggest that classical features of an allergic immune phenotype are conserved in this cohort. Data from induced immune responses, however, are yet too preliminary to draw solid conclusions. This study will continue after my PhD. We expect that we will be able to present a complete description on immune phenotypes of these two types of allergies at the end of the study. Furthermore, the WASPenIP study will in the future include the analysis of wasp venom allergic individuals before and after 1 year of VIT, which will shed light on the changes induced by this therapy in these allergic patients. It will be particularly interesting to test whether we can define biomarker candidates that will allow measuring the success of VIT, and/or predict the appropriateness of this therapy for individual patients. As VIT is unsuccessful in 10% of treated patients after 2-3 years of therapy, it would be very informative to determine, which immune parameters preclude successful VIT, in order to propose to these patients' alternative treatment approaches before engaging into this long therapeutic process.

The wasp venom allergy mouse model that I have established will further provide a valuable tool for more mechanistic studies of wasp venom allergy. To my knowledge, it

is the first model working in C57BL/6 mice, the genetic background on which most genetically modified lines were generated. Using these transgenic mice will enable to identify key components of the effector phase of this allergy model. Furthermore, this model will help to answer the following questions:

- Where is anatomical IgE production site and does it depend on the location of antigen exposure?
- How are allergen-specific memory B cells produced?
- Can we detect allergen-specific long-lived plasma cells?

Indeed, as outlined in my introduction the mechanisms underlying IgE memory are still very much debated and this model may help to elucidate the generation of allergen-specific memory B cells and antibody producing cells, because we have in the laboratory the capability to functionally phenotype these cell types using microfluidics-based single cell approaches to identify specific antibody producing cells in droplets using fluorescently labelled recombinant antigen(s).

Lastly, my analysis of the binding of IgG from various species to human and mouse  $Fc\gamma Rs$  provide a comprehensive map of their interactions that provides a useful reference for the transition from one animal model to preclinical mouse models or human cell-based bioassays. It allows inferring  $Fc\gamma R$ -dependent effector mechanisms at play when using IgG(s) from "exotic" species in mice or in vitro on human cells. It adds also to our understanding of IgG and  $Fc\gamma R$  co-evolution. In the future, it would be interesting to test isolated subclasses from species from which only pooled total IgG could be tested during my PhD. Furthermore, the aggregation of IgGs using F(ab')2 anti-IgG F(ab')2, but even more so using F(ab')2 anti-IgG E(ab')2 but even more so using E(ab')2 anti-E(ab')2 anti-E(ab')2 anti-E(ab')2 anti-E(ab')2 and E(ab')2 and E(ab')2

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### 7. Annex

## 7.1. Platelets expressing IgG receptor FcyRIIA/CD32A determine the severity of experimental anaphylaxis

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### 7.2. Expression, Role, and Regulation of Neutrophil Fcy Receptors

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# Expression, Role, and Regulation of Neutrophil Fcy Receptors

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Neutrophils are best known for their critical role in host defense, for which they utilize multiple innate immune mechanisms, including microbe-associated pattern recognition, phagocytosis, production of reactive oxygen species, and the release of potent proteases, mediators, antimicrobials, and neutrophil extracellular traps. Beyond their well-established contribution to innate immunity, neutrophils were more recently reported to interact with various other cell types, including cells from the adaptive immune system, thereby enabling neutrophils to tune the overall immune response of the host. Neutrophils express different receptors for IgG antibodies (Fcy receptors), which facilitate the engulfment of IgG-opsonized microbes and trigger cell activation upon crosslinking of several receptors. Indeed, FcyRs (via IgG antibodies) confer neutrophils with a key feature of the adaptive immunity: an antigen-specific cell response. This review summarizes the expression and function of FcyRs on human neutrophils in health and disease and how they are affected by polymorphisms in the FCGR loci. Additionally, we will discuss the role of neutrophils in providing help to marginal zone B cells for the production of antibodies, which in turn may trigger neutrophil effector functions when engaging FcyRs.

Keywords: neutrophils, Fcy receptors, IgG, immune complexes, B cells

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### INTRODUCTION

Neutrophils are key players of the innate immune response. They are the most abundant leukocytes in the human blood  $(4.5-11\times10^3/\text{mm}^3)$ . Following a circadian rhythm, neutrophils are released from the bone marrow (1-3) and circulate in the blood for 4–6 days (4,5). If they are not attracted to sites of inflammation, they will express markers of aged neutrophils, and preferentially home to the liver, spleen, or bone marrow, where they undergo apoptosis and are cleared by resident macrophages (6-8). This immunologically silent mechanism allows for maintaining a high number of functional neutrophils in the blood (55-70%) of all blood leukocytes in the periphery), while guaranteeing a quick removal of deregulated or altered neutrophils. The tight control of neutrophil homeostasis is critical for the organism as many of their effector functions [i.e., production of reactive oxygen species (ROS), release of neutrophil extracellular traps (NETs), or granules containing potent proteases and lipophosphatases (9)] bare the potential to be deleterious for the host and damage surrounding tissues and organs.

Neutrophils express various receptors that enable them to respond almost instantaneously to diverse inflammatory stimuli and danger signals. Among these, receptors for the constant region of IgG immunoglobulins ( $Fc\gamma Rs$ ) stand out. They bestow on neutrophils the capacity to react in an antigen-specific way—hence to acquire a key feature of the adaptive immunity.  $Fc\gamma Rs$  enable neutrophils to interact with and respond to monomeric or aggregated immunoglobulins,

205

antigen–antibody immune complexes, and opsonized (antibody-coated) particles, cells, or surfaces. Humans express six classical FcyRs: FcyRI/CD64, FcyRIIA/CD32A, FcyRIIB/CD32B, FcyRIIC/CD32C, FcyRIIIA/CD16A, and FcyRIIIB/CD16B (**Table 1**). All these FcyRs bind at least two of the four different human IgG subclasses with association constants ( $K_A$ ) ranging from  $8 \times 10^7$  down to  $2 \times 10^4$  M $^{-1}$  (10).

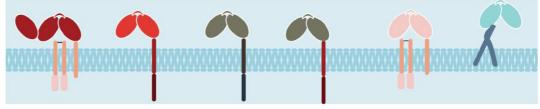
All FcyRs, except for FcyRIIB and FcyRIIIB, are classical activating receptors. Their activating signals are transduced by an immunoreceptor tyrosine-based activation motif (ITAM) that is either present in the cytoplasmic domain of the FcyR itself (FcyRIIA/FcyRIIC) or in an associated signaling subunit, notably the FcRy chain. Upon FcyR aggregation by multimeric ligands, Src family kinases phosphorylate these motifs, allowing the activation of a signaling cascade, involving the spleen tyrosine kinase (SYK), phosphatidylinositol 3-kinase (PI3K), phospholipase C (PLC)-y, Rho, and Rac, resulting in calcium mobilization, cell activation, cytokine/chemokine production, and cell migration (11-13). Counterbalancing these activating FcyRs, the inhibitory receptor FcyRIIB possesses an immunoreceptor tyrosine-based inhibition motif (ITIM) in its intracytoplasmic domain. Upon its co-engagement with an activating receptor, the phosphorylated ITIM recruits the inositol polyphosphate-5-phosphatase SHIP1 (14) that negatively regulates the signaling cascades initiated by ITAM-containing receptors (15-17). Moreover, several FCGR polymorphisms have been described in humans, adding to the complexity of this receptor family with overlapping functions and affinities for their ligands that collaborate, regulate, or compete with each other to tune cellular responses.

In this review, we will focus on IgG receptors (Fc $\gamma$ Rs) on neutrophils and their role and regulation in steady state and inflammatory conditions.

## EXPRESSION AND ROLE OF FCyR ON NEUTROPHILS DURING HOMEOSTASIS

Blood neutrophils from healthy individuals express large amounts of a rather atypical Fc $\gamma$ R, the Fc $\gamma$ RIIIB. Fc $\gamma$ RIIIB is a glycophosphatidylinositol (GPI)-anchored protein with no signaling capacity on its own. It was first described on neutrophils in 1982 with the means of a newly developed monoclonal antibody (mAb, 3G8) that also recognizes FcyRIIIA on monocytes and NK cells (18). Incubation of neutrophils with 3G8 could efficiently block binding of rabbit IgG-opsonized sheep erythrocytes and soluble rabbit IgG immune complexes (ICs), demonstrating that the newly identified receptor is an IgG Fc receptor (18). FcyRIIIB is one of the most abundant proteins on the surface of neutrophils, with each cell expressing between 100,000 and 200,000 copies (19). In resting neutrophils, the receptor is equally distributed over the cell membrane and is present in both low- and high-density detergent-resistant membranes (DRMs) (20). Additionally, intracellular storage compartments have been described that allow rapid FcyRIIIB mobilization to the cell surface upon receptor engagement (21, 22). Previously thought to have no signaling function, it is now generally accepted that FcyRIIIB can trigger neutrophil activation. Following multivalent crosslinking, FcyRIIIB accumulates in high-density DRMs (20) and elicits downstream signals, leading to Ca<sup>2+</sup> mobilization, cell adhesion, and degranulation, but not to respiratory burst (23-27). The exact intracellular signaling cascade remains a matter of debate (20, 27-29), but seems to involve phosphorylation of the Src kinase Hck, mitogen-activated kinases (MAPKs) ERK (extracellular signal regulated kinase), and p38 and the tyrosine kinase Pyk2 (30-32). In this context, it is noteworthy that the 3G8 antibody, which is often used to block FcyRIIIB, can trigger

**TABLE 1** | Classical Fc $\gamma$ Rs and their expression on neutrophils.



Name	FcyRI	FcyRIIA	FcyRIIB	FcyRIIC	FcyRIIIA	FcyRIIIB
CD	CD64	CD32A	CD32B	CD32C	CD16A	CD16B
Gene	FCGR1A	FCGR2A	FCGR2B	FCGR2C	FCGR3A	FCGR3B
Alleles	-	H <sub>131</sub> R <sub>131</sub>	I <sub>232</sub> T <sub>232</sub>	Q <sub>57</sub> stop <sub>57</sub>	V <sub>176</sub> F <sub>176</sub>	NA1 NA2 SH
Affinity	High	Low to medium	Low to medium	Low to medium	Low to medium	Low to medium
Expression on resting neutrophils	< 2,000 copies	30,000-60,000 copies	Low to none; increase when 2B4 promotor haplotype	Low to none	Low to none	100,000–200,000 copies
	Up to 10-fold increased expression in presence of IFN-γ and G-CSF	Upregulated in presence TNF-α	Low to none; increase when 2B4 promotor haplotype	Low to none	Low to none	100,000-200,000 copies, subject to shedding

Structure

intracellular Ca-mobilization and neutrophil aggregation on its own. This cell activation requires co-engagement of another neutrophil FcyR, FcyRIIA via the Fc portion of the intact antibody (33). The main functions of neutrophil FcγRIIIB during homeostasis are the removal of spontaneously forming ICs from the vasculature, and the maintenance of the soluble FcyRIIIB (sFcyRIIIB) pool. FcyRIIIB-bound ICs are internalized through a mechanism used by GPI-anchored receptors and fluid-phase endocytosis (27), thereby clearing ICs without triggering further cell activation that could be deleterious to the host. sFcyRIIIB is present in serum of healthy individuals at concentrations of 5 nM (34). It is generated by proteolytic cleavage of surface FcyRIIIB on activated and apoptotic neutrophils (34, 35). Despite its relative abundance, the function of sFcyRIIIB remains elusive. sFcyRIIIB retains Fc-binding capacities and hence competes with membrane low-affinity receptors to dampen Fc-dependent immune reactions (36, 37). Due to the fact that FcyRIIIB binds to multimeric IgG1 and IgG3, but not or poorly IgG2 or IgG4 (10), the biological activity of these latter IgG subclasses should not be affected by sFcγRIIIB. Notably, both IgG2 and IgG4 also bind less well to other low-affinity FcyRs (with the exception of FcyRIIA-H131) (10). Adding to this possible immunemodulatory function, it also has been reported that sFcyRIIIB can bind to complement receptors CD11b/CD18 or CR3/Mac-1 and CD11c/CD18 or CR4 via lectin/carbohydrate interactions (38). These interactions can result in cytokine production by neutrophils and monocytes or may inhibit β2 integrin-dependent adhesion and subsequent transendothelial migration (38).

Neutrophils constitutively express a second low-affinity IgG receptor, the FcyRIIA. Albeit less abundant than FcyRIIIB, each neutrophil expresses between 30,000 and 60,000 copies of FcyRIIA (19). Interestingly, this receptor was described to have a lower affinity for IgG on resting than on primed or activated neutrophils (39), a feature that has been attributed to its interactions with integrins (40). Upon efficient cross-linking of FcyRIIA in vitro, neutrophils become activated, degranulate, and produce inflammatory mediators and ROS and trigger neutrophil extracellular trap (NET) formation (27, 41-44). More recent data, however, suggest that resting neutrophils rather poorly respond to FcyRIIA-induced activation. One possible explanation for these divergent observations may be found in the purification techniques used to isolate neutrophils. Indeed, density gradient centrifugation or dextran sedimentation used to be the standard techniques. Nowadays, neutrophils are mostly isolated by negative selection procedures that maintain the cells in isotonic buffer, but expose them to magnetic fields. Indeed, while comparative data between the procedures are sparse (45, 46), possible differences in neutrophil priming and purity need to be taken into account when interpreting the data.

In contrast to the abundant FcγRIIB and FcγRIIA, neutrophils express less than 2,000 copies of the high-affinity FcγRI (19). Ligation of FcγRI on resting neutrophil with a specific antibody does not induce a significant degree of cell activation (47) and neutrophils show poor binding to monomeric IgG1 and phagocytosis of IgG-opsonized particles (48, 49). Neutrophils can also express FcγRIIB; however, its detection is variable among individuals ranging from low to undetectable (27, 50).

Although it is well-established that co-engagement of FcyRIIB potently inhibits FcyR-driven cell activation (15-17), it is questionable if the low expression of FcyRIIB on neutrophils could oppose signals generated by the other FcyRs in this context. Finally, a very recent report suggests that neutrophils may also express modest amounts of FcyRIIIA (51), a receptor that was previously thought to be exclusively expressed by NK cells and monocytes (52). In this study, the authors report that FcyRIIIA engagement on neutrophils from FcyRIIIB-deficient and normal individuals efficiently triggers cell activation and mediates phagocytosis of IgG-opsonized beads that could not be blocked by anti-FcγRIIA F(ab')<sub>2</sub> fragments (51). Many different groups have studied FcyR expression on neutrophils before, but were incapable to affirm FcyRIIIA expression. This might be due to the fact that most antibodies used to study FcyRIII recognize both FcyRIIIA and FcyRIIIB, and that FcyRIIIB deficiency is rare. In a study from 1994, one can however appreciate some residual FcyRIII [3G8] staining on neutrophils from paroxysmal nocturnal hemoglobinuria patients [a disorder characterized by the deficiency of glycosyl phosphatidylinositol (GPI)-anchored proteins in blood cell membranes] and an FcyRIIIB-deficient patient, as well as on neutrophils treated with GPI-phospholipase C as compared to an isotype control (53). This residual binding, if specific, could support the hypothesis of a low expression of FcyRIIIA on neutrophils. The central piece of evidence for FcyRIIIA expression on neutrophils in the recent report is a single FcyRIIIB-deficient donor, whose genotype was confirmed by RT-PCR and not by sequencing of the FcγR locus. This allows speculation about a cryptic FcyRIIIB expression in this donor. Furthermore, if a low expression of FcyRIIIA can be confirmed in other FcyRIIIB-deficient donors, it will be necessary to clarify to what extent it can contribute to neutrophil effector functions in vivo. One point is certain, this study refuels the discussion about the capacity of FcyRIIIB to trigger cell activation and suggests that neutrophil activation observed following receptor cross-linking with anti-FcyRIII F(ab')2 fragments or ICs can be rather attributed to FcyRIIIA than to the GPI-anchored receptor.

## NEUTROPHIL FCyR IN AN INFLAMMATORY CONTEXT

Neutrophil FcγR expression can change dramatically in the context of an inflammation or infection. Notably, FcγRI is strongly upregulated in the presence of inflammatory cytokines such as interferon-γ (IFN-γ) or granulocyte colony-stimulating factor reaching up to 20,000 copies per cell (19, 48, 54, 55). This confers neutrophils the capacity to efficiently bind monomeric IgG (48), phagocytose IgG-opsonized bacteria (49), exert antifungal functions (56), and induce ROS production in response to FcγRI cross-linking (47). FcγRI upregulation also enables neutrophils to efficiently trigger antibody-dependent cytotoxicity (ADCC) (55). As a consequence, neutrophil FcγRI expression has been shown to reflect infection state and disease activity in numerous inflammatory conditions (57–61), and a low CD64 expression is a marker for sustained remission in Crohn's disease patients receiving infliximab (62). Consecutively, neutrophil

CD64 has been discussed as an interesting biomarker, especially in the case of sepsis (57). Sepsis diagnosis includes a blood culture that allows specific identification of the disease-causing bacteria and their antibiotic resistances, but takes up to 2 days to generate results. Precious time, during which patients with sepsis suspicion commonly receive broad-spectrum antibiotics to avoid deterioration of their condition. This common practice has it flaws, because antibiotics are not adapted in case of non-bacterial infections, and because some bacteria require specific antibiotics and are not targeted by broad-spectrum ones. Certainly, inappropriate use of antibiotics contributes to the increase of antibiotic resistance among different bacteria that has been classified as "one of the biggest threats to global health, food security, and development today" by the WHO. Neutrophil CD64 expression was proposed as a way to detect infection so that timely decisions and treatment refinement can be made. Neutrophil CD64 can be evaluated within 1-2h, making it a rapid diagnostic and prognostic marker. Indeed, a study reported not only elevated CD64 expression in septic patients compared to healthy controls, but also a decrease in CD64 expression following treatment with an appropriate antibiotic compared to inefficient treatment (63). However, not all studies find in neutrophil CD64 a reliable marker for sepsis detection, and different studies report divergent sensitivity and specificity for sepsis detection by neutrophil CD64 (64-67). Two meta-analyses report a large heterogeneity in study design and results (68, 69). This may be due to the fact that this test can be run in any laboratory with a flow cytometer and that the results can be expressed either as percentage of neutrophils expressing CD64 or as mean fluorescent intensity of the whole neutrophil population. In the absence of a standardized assay, each laboratory needs to establish its own cutoff. Furthermore, confounding factors (previous use of antibiotics, delayed culture collection, etc.) may result in a negative result from the microbiological test that, as a consequence, poses problems with the classification of the patients. Given the low costs of the assay, neutrophil CD64 remains an interesting candidate to monitor in case of sepsis suspicion. Larger prospective studies are however required to conclude on its sensitivity and suitability as a biomarker, especially in light of new approaches for sepsis diagnosis and the evolution of our understanding of sepsis as a condition involving not only the bacterial infection and its resulting immune response, but also changes in coagulation, immunosuppression, and organ dysfunction (70).

IFN- $\gamma$  treatment has little effect on neutrophil FcyRIIA expression but can induce Fc $\gamma$ RIIB expression (albeit on a low level) and, depending on the experimental conditions, may induce Fc $\gamma$ RIIIB down-modulation (19, 71). Fc $\gamma$ RIIA expression on neutrophils may however be induced by TNF- $\alpha$  (72). As a consequence, primed neutrophils and neutrophils from individuals with inflammatory conditions show enhanced Fc $\gamma$ R-dependent responses (73–75).

Finally, IgG ICs can also be at the onset of inflammation, allergic reactions, and autoimmunity (76, 77). This is notably the case, when the amounts of circulating ICs suddenly rise and exceed the body's capacity to silently remove them, when ICs form that are insoluble and "precipitate" onto endothelial

cells, or when autoantibodies bind to large surfaces, i.e., cartilage, thereby opsonizing phagocytosis-resistant structures. All these conditions can be mimicked in vitro and helped to understand that soluble ICs require primed neutrophils to efficiently trigger external ROS production and degranulation, while insoluble ICs can activate unprimed neutrophils, leading to intracellular ROS production, degranulation, and sustained liberation of inflammatory mediators such as IL-8 and leukotriene B4 (LTB<sub>4</sub>) that sustain neutrophil-driven inflammation (73, 78). An elegant study, using transgenic mice expressing either FcyRIIA or FcyRIIIB or both in the absence of endogenous activating FcyR, demonstrated that FcyRIIIB has a primordial role in the homeostatic removal of soluble ICs within the vasculature, whereas FcyRIIA engagement by soluble ICs in tissues generates NETs, a pro-inflammatory process linked to autoimmunity (27, 44). Engagement of either FcyR by deposited ICs leads to neutrophil accumulation (44). These data illustrate the specialized role of FcyRs in triggering neutrophil effector functions, despite their overlapping binding properties to IgG.

# GENETIC VARIATIONS AFFECTING NEUTROPHIL FCyR EXPRESSION AND FUNCTIONS

A number of polymorphisms have been identified in the *FCGR* loci that affect their biological functions and may consequently impact the individual's susceptibility for diseases and their capacity to respond to therapies based on monoclonal antibodies. This is notably the case for polymorphisms that alter the affinity of FcγRs for IgG, thus directly affecting their capacity to clear immune complexes.

Until today, no polymorphism of FcyRI has been identified that modifies the affinity of the receptor for IgG or its associated functions. In contrast, the low-affinity IgG receptor locus on chromosome 1q23.3 coding for all FCGR2/3 genes is home to multiple genetic variants, including single-nucleotide polymorphisms (SNPs) and copy number variations (CNVs). These genetic variants display heterogeneity among ethnic groups (79). The best-characterized polymorphism of FCGR2A results in substitution of an arginine residue by a histidine at position 131 (rs1801274) that results in a receptor variant with an improved binding to IgG2 (and to a lesser extent to IgG1 and IgG3) (80). The FCGR2A-R131 variant is therefore expected to show lower clearance of IgG immune complexes and is indeed associated with susceptibility to auto-immune disorders (81-85) and recurrent bacterial infections with encapsulated bacteria (86). The FCGR2A-H131 variant, on the other hand, predisposes individuals to Kawasaki disease and Myasthenia gravis (87, 88). More recently, a splice variant of FCGR2A, FcγRIIa(exon6\*), has been described that retains a cryptic exon in the cytoplasmic tail of the receptor (89, 90). This results in a gain-of-function allele that increases neutrophil sensitivity to IgG stimulation and hence predisposes to anaphylactic reactions following IVIg infusions in patients with hypogammaglobulinemia (71). As described above, FcyRIIB is poorly expressed on neutrophils (50). A specific haplotype in the promoter region, termed 2B.4,

was shown to augment FcyRIIB expression on myeloid cells, including neutrophils (91). This promoter variant enables a more efficient binding of the transcription factors GATA4 and Yin-Yang1, resulting in a higher promoter activity and hence higher FcyRIIB expression (92). Surprisingly, this gain-of-function promoter haplotype was found to be associated with systemic lupus erythematous (SLE) (91). A possible explanation might reside in an FcyRIIB-dependent inhibition of IC-phagocytosis, but experimental data to support this hypothesis are still missing. Additionally, a polymorphism of FCGR2B (rs1050501) results in the replacement of a threonine by an isoleucine in the transmembrane domain (I232T). The presence of threonine in that position entails a failure of FcyRIIB to enter lipid rafts and, as a consequence, reduces its ability to inhibit activatory receptors (93). For FCGR3B, three different allotypes have been described, resulting from five non-synonymous polymorphisms that all affect the neutrophil antigen (NA) located in the membranedistal Ig-like domain. These variants are termed NA1 (R<sub>36</sub> N<sub>65</sub>  $A_{78}$   $D_{82}$   $V_{106}$ ), NA2 ( $S_{36}$   $S_{65}$   $A_{78}$   $N_{82}$   $I_{106}$ ), and SH ( $S_{36}$   $S_{65}$ D<sub>78</sub> N<sub>82</sub> I<sub>106</sub>) (94). They do not result in detectable differences in affinity for hIgG subclasses (10). The NA1 allotype was nevertheless reported to increase phagocytosis of IgG-opsonized particles (95) and is associated with a reduced responsiveness to IVIG therapy in Kawasaki disease (96). The SH allotype is the rarest allele and less well-characterized. Recently, it has been reported to be associated to increased FCGR3B copy numbers (79) that could account for the higher FcyRIIIB expression levels described earlier (97).

A rather large number of studies have associated a single FcγR polymorphism with the induction or severity of antibodyrelated diseases, or the efficacy of antibody-based therapies. It is however important to bear in mind that all low-affinity IgG receptors are encoded in a single locus on chromosome 1 (1q23). Indeed, a high degree of linkage disequilibrium has been reported for the FCGR2/3 locus (79, 98, 99) that are strongly linked to ethnic background (79). Association studies should therefore take into account the entire locus and not investigate an isolated gene (100). Adding to the complexity of the 1q23 locus, gene copy number variations (CNVs) have been described for FCGR3A, FCGR3B, and FCGR2C that directly impact the expression level of the receptors (97). These CNV can include deletions of parts of the locus, giving rise to FCGR2A/2C chimeric genes, reducing the expression and function (ROS induction) of the resulting receptor (101). CNVs of FCGR3B have been associated to a number of autoimmune disorders, including SLE, rheumatoid arthritis, and systemic auto-immunity (102-106). Indeed, fewer than two copies of FCGR3B have been associated to SLE susceptibility (107, 108), which has been confirmed in meta-analysis (109, 110).

Lastly, 0.03–0.1% of the population show a deficiency of FCGR3B (and the FCGR2C gene) (101, 107). While most studies did not find an association of the FCGR3B<sup>null</sup> genotype with a disease phenotype, one report suggested an association with SLE (102, 111, 112). This apparent contradiction with the finding that low copy numbers increase SLE susceptibility could be due to the low frequency of this genotype in the population, resulting in an insufficient power for calculation.

On the other hand, Fc $\gamma$ RIIIB-deficient individuals were included at the estimated frequency in a selective cohort of healthy donors with a long list of exclusion criteria, suggesting that Fc $\gamma$ RIIIB deficiency remains undetected in most carriers (113). This suggests that FCGR3B deficiency does not directly cause disease, but possibly aggravates disease pathogenesis when ICs are accumulating.

### REGULATION OF NEUTROPHIL FCYRS

The best-described pathway of inhibiting activating FcγRs is, without doubt, through co-engagement of the inhibitory FcγRIIB by the same immune complexes (114, 115). However, as mentioned earlier, human neutrophils express little to no FcγRIIB (50), and it appears therefore mandatory that neutrophils rely on other mechanisms to regulate their activation by FcγRs.

Recently, several groups have reported that the glycosylation state of the IgG antibodies significantly modifies their affinity for FcyRs (116-118). Indeed, all human IgG contain a single Nlinked glycan positioned at asparagine 297 in the antibody Fc portion, which is critical for their interaction with FcyRs. Several studies have illustrated how the composition of the Fc glycan influences IgG effector functions (119, 120) (Figure 1A). As an example, IgG Fc glycans lacking fucose display a greatly enhanced affinity to the FcyRs, FcyRIIIA, and FcyRIIIB, compared to fucosylated IgG. Besides their well-established improvement of NK cell-dependent ADCC in vivo (121-123), afucosylated IgG used to opsonize target cells also activate neutrophils more efficiently than wild-type IgG, inducing pro-inflammatory cytokines and phagocytosis of target cells, but no ROS production or antibody-dependent cellular cytotoxicity activity (124). Addition of an afucosylated anti-CD20 mAb (obinutuzumab) to blood samples from RA and SLE patients resulted in a superior B cell deletion than wt anti-CD20 mAb, concomitantly with neutrophil and NK cell activation (125), suggesting that both cells cooperate to eliminate target cells in vitro. Opposing these results, another study reported FcyRIIIB-dependent inhibition of neutrophil ADCC or trogocytosis of solid cancer cells coated with either anti-HER2 mAb (trastuzumab) or anti-EGFR mAb (cetuximab). Notably, copy numbers of FcyRIIIB could be linked to the inhibitory effect and blocking FcγRIIIB with F(ab')<sub>2</sub> 3G8 improved target cell killing (126). Further studies are required to determine whether these discrepancies depend on the target cell (solid tumor vs. hematological) and how neutrophil FcγRIIIB (and FcyRIIIA) (51) contribute to cancer elimination in vivo. Terminal sialylation of the Fc glycan, instead, decreases the affinity for activating FcyRs (while maintaining the affinity for inhibitory FcyRIIB), and sialylated IgG has reduced capacity to initiate ADCC in vivo (118, 127). This might explain why antibodies against autoantigens can often be detected months to years before the first sign of an autoimmune inflammation (128-130). De-sialysation of these antibodies might therefore be a hallmark of autoimmune disease progression (131, 132). In this context, it is noteworthy that a recent report evidenced the potential of in vivo glycan engineering of antibodies to

209

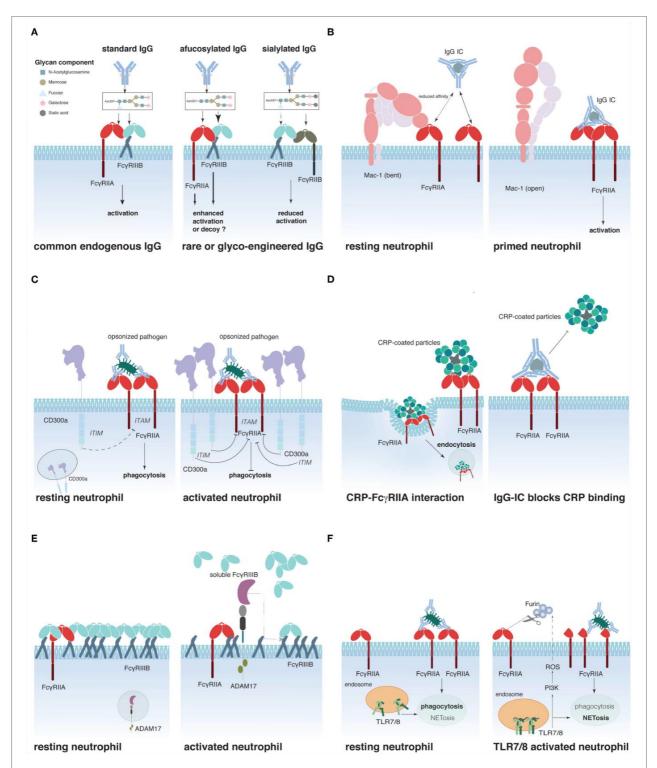


FIGURE 1 | Schematic representation of proposed mechanisms that regulate FcyR activity on neutrophils. (A) IgG glycosylation determines its affinity for FcyRs, (B) Mac-1 regulates FcyRIIA affinity for IgG, (C) CD300a-mediated FcyRIIA inhibition, (D) C-reactive protein (CRP)-driven FcyRIIA down-regulation, (E) FcyRIIIB shedding by ADAM17, and (F) Interplay between Toll-like receptors (TLR)7/8 and FcyRIIA.

modulate of IgG effector functions. Indeed, application of soluble glycosyl-transferases could reduce autoantibody-induced inflammation in models of arthritis and nephrotoxic nephritis (133). However, it remains to be determined whether these very promising findings are exclusively due to IgG glycan modulation or the result of a more complex alteration of multiple glycan structures.

Sialic acid residues have recently been reported to contribute to another mechanism of FcyR regulation (Figure 1B). Prompted by the finding that CD18-deficient neutrophils show an enhanced recruitment to IgG-coated endothelium (134) and that an SNP in the CD18 integrin Mac-1 (rs1143679) is a risk factor for SLE (135), Saggu et al. investigated the interaction between FcγRIIA and Mac-1 on the cell surface. The authors convincingly show that the extracellular portion of Mac-1 in its inactive bend configuration interacts with sialylated FcyRIIA on resting neutrophils and thereby lowers the affinity of the receptor for IgG (40). Interestingly, once the interaction between FcγRIIA and IgG is sufficiently strong to overcome this increased activation threshold, Mac-1 assists FcyRIIA to induce cell spreading (136). This study provides a mechanistic explanation for the observation made earlier that FcyRIIA appears to have a lower affinity for IgG on resting neutrophils than on preactivated ones.

Other molecules have been suggested to interact and modulate FcyRIIA activity. These include the ITIM-containing CD300a that can be rapidly mobilized from an intracellular pool to the surface of peripheral blood neutrophils following stimulation. Co-engagement of CD300a and FcyRIIA reduced FcyRIIAdependent activation in an in vitro system (137) (Figure 1C). Furthermore, two plasma proteins produced in the liver during the acute phase of inflammations were described to interact with FcyRIIA (and FcyRIIIB), the C-reactive protein (CRP) and the serum amyloid P (SAP) component (138, 139). CRP preferentially interacts with the FcyRIIA-R131-allele and can act like an opsonin, triggering the uptake of CRP-coated particles (140) (Figure 1D). Interestingly, IgG ICs can reduce CRP binding to FcyRIIA, whereas the reverse is not true (141). SAP was similarly described to act as an opsonin that could enhance phagocytosis via FcyRs (139). Additionally, it was described to reduce neutrophil adhesion by binding to FcyRIIA (142). Whether SAP binding can regulate IgG-dependent FcyRIIA activation remains however to be determined.

Another possibility to modulate FcγR-induced cell activation is to regulate receptor availability on the cell surface. This can be achieved by receptor internalization (8, 90, 93), translocation from intracellular storage compartments to the cell surface (22), or shedding of the extracellular portion of the FcγR. This latter phenomenon is best documented for FcγRIIIB, which is rapidly and efficiently cleaved from the cell surface following neutrophil stimulation (143), and during neutrophil apoptosis (34, 35). The main protease responsible for this ectodomain shedding appears to be ADAM17 (A Desintegrin and Metalloprotease-17) (144) that is rapidly activated following multiple cell stimulating pathways, such as FcγR/CR-dependent phagocytosis or stimulation with fMLP or PMA (145) (Figure 1E). ADAM17 activation appears to require

activation of caspase 8 and mitochondrial ROS production (146). Supporting an important role for the regulation of cell activation by ADAM17, ADAM17 deficiency in humans has been associated with severe inflammatory disorders of the skin and the gut, resulting in recurrent sepsis and poor survival (147, 148). Similar to the ectodomain shedding of FcyRIIIB, reduction of surface FcyRIIA on Langerhans cells and activated neutrophils has been described (39, 149). These initial observations have recently gained attention by the demonstration that TLR-induced activation resulted in the cleavage of extracellular FcyRIIA on the neutrophil surface, thus removing the N-terminal portion of the receptor (150) (Figure 1F). This cleavage has functional consequences for the neutrophils. It reduces their phagocytic activity, while augmenting their propensity to produce NETs, thereby supporting the concept that phagocytosis and NETosis could be neutrophil effector functions that oppose each other, as had been suggested by the finding that NETosis was reduced when microbes where small enough to be phagocytized (151). Similarly, neutrophils from SLE patients and especially their lowdensity granulocytes that were reported to spontaneously release NETs (152) seem to express less "full-length" FcyRIIA than neutrophils from healthy donors (150). This might explain why neutrophils from SLE patients fail to efficiently clear circulating ICs and are NET-prone (153). The cleavage of the N-terminal portion of FcyRIIA involves a PI3K-dependent production of ROS and seems to be mediated by the serin-protease furin (150); the exact mechanism of its action, however, as well as the fate and role of the N-terminal fragment of FcyRIIA following cleavage remains to be discovered. Similarly, questions on the stability, function, and possibly altered affinity of the shortened membrane-bound FcyRIIA justify further research in this area.

Finally, it has been suggested that FcyRIIIB could represent an efficient modulator of FcyRIIA activity in neutrophils. Indeed, the weakly signaling FcyRIIB predominantes FcyRIIA expression on resting neutrophils. Furthermore, CD16B extends out further from the cell surface membrane (154, 155), implying that FcyRIIIB is likely to capture circulating immune complexes, thus competing with and preventing FcyRIIA–IgG interactions. The picture is very different with regard to activated neutrophils that, through FcyRIIIB ectodomain shedding, grant access to cell-activating FcyRIIA (154).

## CROSS-TALK OF NEUTROPHILS AND B CELLS

Collectively, these studies underline the critical involvement of IgGs in the modulation of neutrophil activity. Indeed, IgGs through  $Fc\gamma Rs$  render neutrophils capable to act to threats to the host in an antigen-specific manner, but are also the trigger for tissue damage if autoantigens are being targeted. Interestingly, there is accumulating evidence that neutrophils are not mere effector cells of the immune system, but actively shape and modulate immune responses through interactions with other cells and the release of mediators. In the context of

IgG-dependent immunity, their recently described interactions with B cells are of particular interest.

Data from patients receiving G-CSF suggested that neutrophils can communicate with B cells through production of BAFF (B cell-activating factor) (156), a molecule known to sustain B cell survival and responsiveness (157, 158). Similarly, APRIL (A Proliferation Inducing Ligand) was suggested to be an important survival proliferation factor for human B cells, which additionally drives class-switching reactions (159-161). Neutrophils constitutively secrete APRIL (162), but circulating neutrophils fail to directly activate B cells. Upon infection, neutrophil-derived APRIL is retained by heparan sulfate proteoglycans in mucosal tissues, thereby creating a niche for local plasma cell survival and sustained antibody production (163). Similarly, it has been reported that diffuse large B cell lymphoma secretes chemokines to recruit APRILproducing blood neutrophils to the tumor (162) and that high APRIL concentrations in tumors are correlated with decreased patient survival rates (164). In SLE, neutrophils interact with B cells in many different ways. SLE neutrophils were reported to show increased expression of BAFF, APRIL, and IFN-α that fuels B cell development and autoantibody production in the bone marrow. In the circulation, SLE neutrophils secrete increased amounts of IL-6 upon IFN-α stimulation that supports survival and maturation of B cells and plasma blasts (165). Concomitantly, they are also NET-prone and release LL37-DNA complexes that trigger polyclonal B cell activation via TLR9, giving rise to more NET-specific autoantibodies (166).

Neutrophils can also be found in multiple locations of the spleen, including the perifollicular zone, around the marginal zone (MZ) and the red pulp (167-170). Their exact role in each of these compartments is not fully understood. In the spleen, a specialized subset of neutrophils has been described that has the capacity to provide B cell support. These "B cell helper neutrophils" (NBH) are located around the MZ of healthy human donors and express high levels of the B cellstimulating cytokines BAFF, APRIL, and IL-21 in response to microbial stimuli and thereby provide help to MZ B cells to trigger antibody production against T cell-independent antigens (169). In patients with severe congenital neutropenia (SCN), CD27<sup>+</sup>IgD<sup>low</sup> circulating MZ B cells and levels of IgM, IgG, and IgA antibodies against T cell-independent antigens were less abundant than in healthy subjects (169). Contradicting this report, no N<sub>BH</sub> could be identified in spleen samples from organ transplant donors (171); also, similar numbers of CD27+IgD+ memory B cells were reported in patients suffering from chronic idiopathic neutropenia and healthy subjects (172). In addition to BAFF and APRIL, Pentraxin3 (PTX3) has been proposed to be a neutrophil-derived factor that supports B cell functions (173). PTX3 is stored in secondary granules of neutrophils and released upon stimulation with Toll-like receptor agonists (174). PTX3 binds to MZ B cells and enhances the secretion of class-switched IgG in the presence of BAFF (173).

The capacity of neutrophils to secrete B cell-stimulating factors enables them to directly interact with the adaptive immune system and shape antibody responses, which, in turn, can trigger potent neutrophil effector functions. This underlines the complexity of our immune system and the multiple layers of regulation that are at play to efficiently protect us from external threats.

### CONCLUDING REMARKS

Collectively, the discussed literature exemplifies how our understanding of neutrophils has evolved from their early descriptions as simple first-line defense cells, equipped with powerful weapons to defend the host but unable to differentiate between different threats, to portrayals of cells capable of tailoring their responses according to their environment. We now appreciate that neutrophils interact with various other cell types, integrate complex stimuli, and cooperate with other players of the immune system to fine-tune their responses. The role and regulation of FcyR on neutrophils is not an exception to this rule. Early reports frequently suggested that IgG ICs had very strong neutrophil-activating capacities, but more recently, a much more detailed picture has been drawn, taking into account the size, solubility, composition, and location of ICs. Furthermore, IgG enables neutrophils to function as antigenspecific cells; at the same time, accumulating evidence suggest that neutrophils in turn tune the activity of (at least some) B cells to regulate antibody production. Much remains to be discovered and hopefully new techniques will allow us to unravel previously unappreciated functions of neutrophils and revise others. We are looking forward to hearing more about these exciting cells.

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All authors listed have made a substantial, direct and intellectual contribution to the work, and approved it for publication.

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#### **Abstract**

The immune system consists of an innate and an adaptive branch that interact with each other to preserve body homeostasis and defend the organism against invading pathogens. This is partly achieved by the action of antibodies that can bind to antigen via their Fab portion and trigger effector functions with their Fc portion. Produced by cells of the adaptive immune system, antibodies enable cells of the innate immune system to react in an antigen-specific manner. Antibodies are mainly characterized in or generated from animal models that support particular infections, respond to particular antigens or allow the generation of hybridomas. Due to the availability of numerous transgenic mouse models and the ease of performing bioassays with human blood cells *in vitro*, most antibodies from species other than mice and humans are tested *in vitro* using human cells and/or *in vivo* using mice. In my thesis, I undertook a systematic approach to characterize interactions between IgG from different species and mouse and human IgG receptors ( $Fc\gamma Rs$ ) that will be a useful reference for the transition from one animal model to preclinical mouse models or human cell-based bioassays.

Non-infectious diseases can arise from an imbalanced immune homeostasis. Allergic conditions are one such example and are in general associated with a Th2-driven IgE-dependent physiopathology involving mast cells and basophils. More recently, the contribution of other cellular populations and antibody subclasses to allergic diseases was put forward. To systematically characterize the immune phenotype of allergic patients, we recruited a new cohort of patients severely allergic to wasp venom or amoxicillin. Using fresh blood samples, I analysed steady state and induced immune responses and compared them to healthy individuals. My preliminary data document a trend for elevated Th2 and Th17 cells in allergic individuals and fewer but more mature dendritic cells. They also illustrate a large inter-individual variability in terms of induced immune responses. To identify immunological, genetic and environmental factors that determine the concentration of total serum IgE in healthy individuals, I also explored available data of an extensively analysed cohort of age- and sex-stratified 1000 healthy donors (Milieu Intérieur). My analysis reveals that total serum IgE concentrations in these donors are associated with age, sex, smoking habits, certain HLA alleles, FcERI expression on basophils, and a particular profile of cytokines released in whole blood stimulation assays.

My thesis provides a basis for the in-depth characterization of the immune phenotype of severely allergic patients and contributes to a better understanding of the parameters that associate with serum IgE concentrations in healthy individuals. Additionally, my work draws a comprehensive map of the interactions between IgG from different species and mouse and human  $Fc\gamma Rs$  that will help to anticipate  $Fc\gamma R$ -dependent effector functions when using IgGs from other species with human or mouse effector cells.

Keywords: Allergy; Immune phenotype; IgE; IgG; FcyRs; Interspecies cross-binding

#### Résumé

Le système immunitaire est constitué d'une branche innée et d'une branche adaptative qui interagissent ensemble et qui permettent de préserver l'homéostasie et de se défendre contre des agents pathogènes. Ceci dépend notamment de l'action d'anticorps, qui peuvent se lier à des antigènes via leur région Fab et activer des fonctions effectrices grâce à leur région Fc. Produits par les cellules du système immunitaire adaptatif, les anticorps permettent aux cellules du système immunitaire inné de répondre de manière spécifique à un antigène donné. Les anticorps sont principalement caractérisés et synthétisés en laboratoire, à partir de modèles animaux d'infections particulières, répondant à des antigènes d'intérêts, ou permettant la génération d'hybridomes.

Grâce au développement de nombreux modèles de souris transgéniques et de la facilité à effectuer des tests biologiques avec des cellules sanguins humaines in vitro, la plupart des anticorps d'espèces autres que murins et humains sont étudiés in vitro à partir de cellules humaines et / ou in vivo en utilisant des modèles murins. Au cours de ma thèse, j'ai entrepris une approche systématique afin de caractériser les interactions entre les IgG de différentes espèces et les récepteurs aux IgG ( $Fc\gamma R$ ) murins et humains. Ce travail pourra à terme servir de référence pour le passage de modèles animaux à des modèles précliniques utilisant les souris, ou des bioessais à partir de cellules humaines.

Des maladies non infectieuses peuvent être le résultat d'une homéostasie immunitaire déséquilibrée. Les allergies en sont un exemple, et sont généralement associées à physiopathologie orientée Th2, dépendante des IgE et faisant intervenir mastocytes et basophiles. Récemment, la contribution d'autres populations cellulaires et d'autres sous-classes d'anticorps a été mise en évidence lors de réactions allergiques. Dans le but de caractériser systématiquement le phénotype immun de patients allergiques, nous avons participé au recrutement d'une nouvelle cohorte de patients sévèrement allergiques au venin de guêpe ou à l'amoxicilline. À partir de prélèvements sanguins, j'ai analysé les caractéristiques de leur état basal et lors de l'induction de réactions immunitaires, et les ai comparés à des donneurs contrôles sains.

Mes résultats préliminaires démontrent une tendance à l'augmentation des cellules Th2 et Th17 chez les patients allergiques et suggèrent une diminution de la taille de la population des cellules dendritiques, mais qui sont néanmoins plus matures. Ils illustrent également une grande variabilité interindividuelle lors de l'induction de réponses immunitaires. Pour identifier les facteurs immunologiques, génétiques et environnementaux qui déterminent la concentration d'IgE sériques totales chez des individus sains, j'ai également étudié les données disponibles d'une cohorte de 1000 donneurs sains stratifiés par âge et par sexe (Milieu Intérieur). Mon analyse révèle que les concentrations sériques totales d'IgE chez ces donneurs sont corrélés à des facteurs tels que l'âge, le sexe, le tabagisme, certains allèles HLA, l'intensité d'expression de FceRI sur les basophiles et un profil particulier de cytokines libérées lors de tests de stimulation du sang total.

Ma thèse fournit ainsi une base pour la caractérisation approfondie du phénotype immunitaire des patients gravement allergiques et contribue à une meilleure compréhension des paramètres associés aux concentrations sériques d'IgE chez des individus sains. De plus, mon travail dresse une carte complète des interactions entre les IgG de différentes espèces et les FcyR murins et humains, qui aideront à terme à anticiper les fonctions effectrices dépendantes de FcyR lors de l'utilisation d'IgG d'autres espèces avec des cellules effectrices humaines ou murines.

 $\textbf{Mots-cl\'es}: allergie \ ; \ ph\'e notype \ immunitaire, \ IgE, \ IgG, \ Fc\gamma Rs, \ interactions \ inter-esp\`eces.$