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# Tailoring calcium phosphate powder properties for additive manufacturing

Pedro Navarrete-Segado

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En vue de l'obtention du

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M. PEDRO NAVARRETE SEGADO

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Laboratoire de Génie Chimique ( LGC)

**Directeur(s) de Thèse :**

MME CHRISTINE FRANCES

M. DAVID GROSSIN

**Rapporteurs :**

M. ERIC CHAMPION, UNIVERSITE DE LIMOGES

M. KHASHAYAR SALEH, UNIVERSITE DE TECHNOLOGIE DE COMPIEGNE

**Membre(s) du jury :**

MME ANNE LERICHE, UNIVERSITE DE VALENCIENNES, Président

M. DAVID GROSSIN, TOULOUSE INP, Membre

M. LIAM GROVER, UNIVERSITY OF BIRMINGHAM, Membre

MME CHRISTINE FRANCES, TOULOUSE INP, Membre

MME MALLORIE TOURBIN, TOULOUSE INP, Membre

M. RODRIGO MORENO, Instituto de Cerámica y Vidrio, Membre



*For my parents*



*I hope you'll make mistakes. If you're making mistakes, it means you're out there doing something.*

Neil Gaiman



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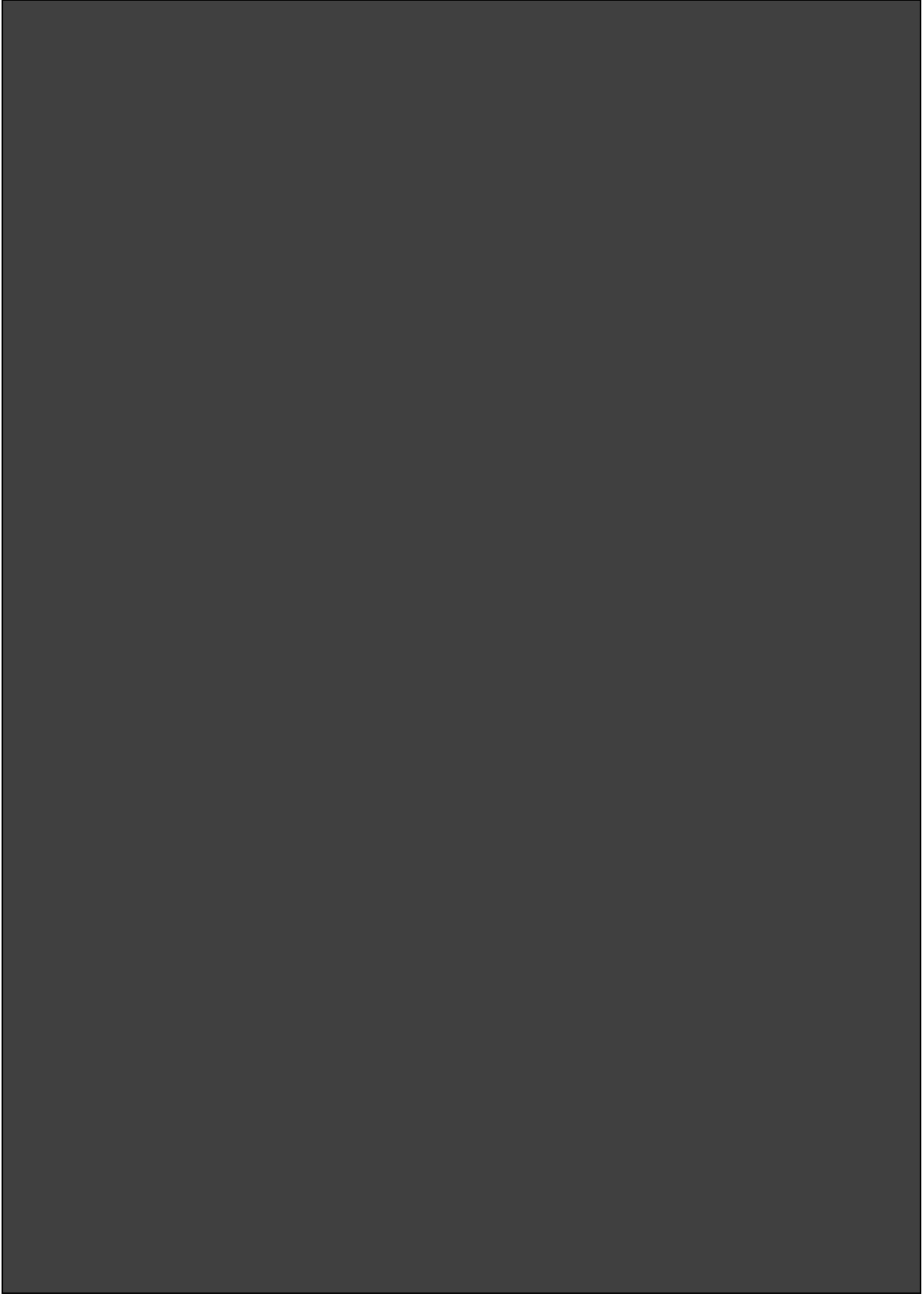
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*Pedro*

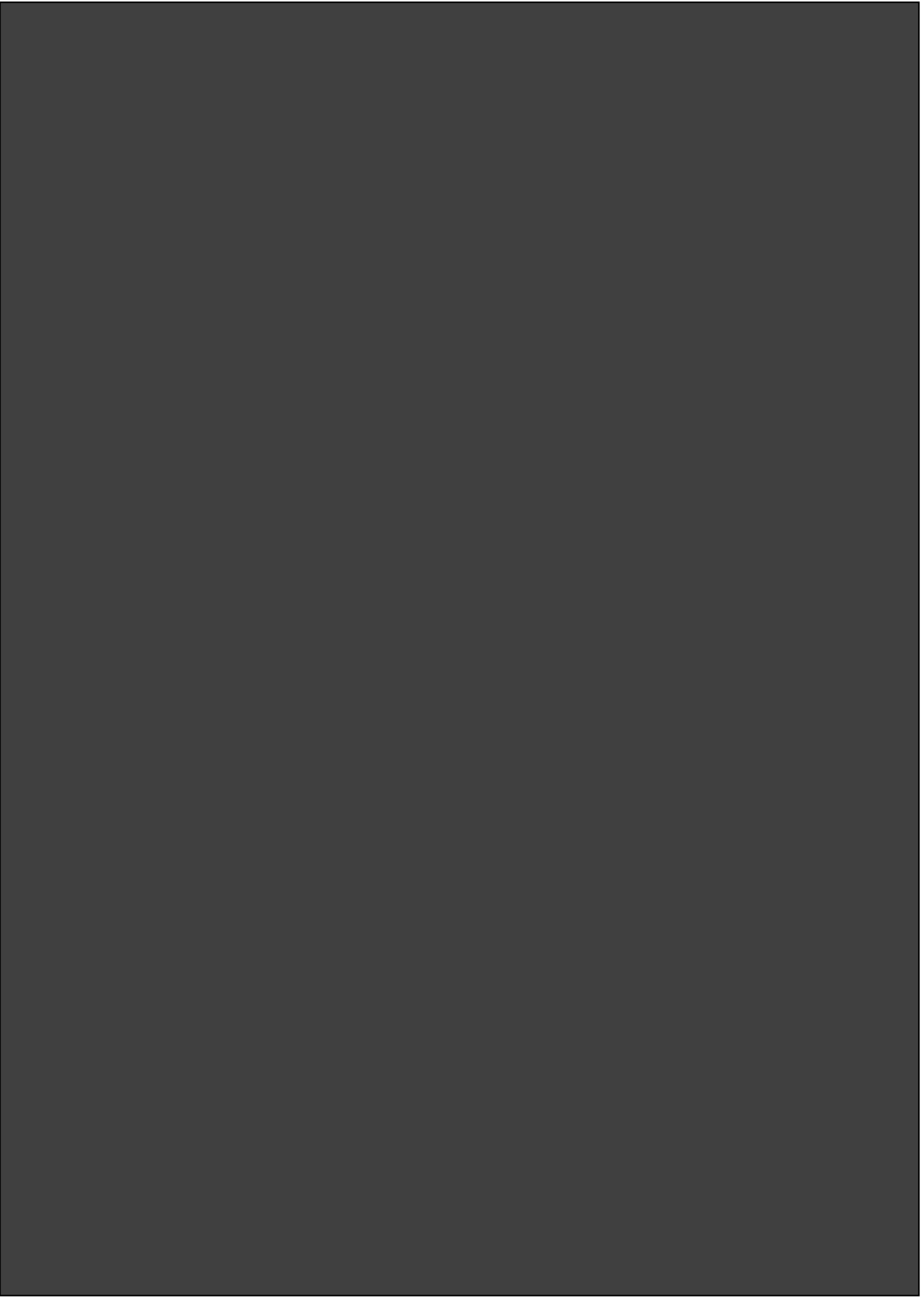
# Abstract



**English:** Calcium phosphates ceramics (stoichiometric hydroxyapatite (HA),  $\beta$ -TCP, substituted calcium phosphates, and biphasic compounds) are privileged biomaterials as orthopaedic implants or dental restorations in medical or aesthetics applications. In combination with additive manufacturing technologies, bioceramic parts can be designed with complex shapes with better biocompatibility and bioactivity than metals improving the osseointegration/osseoincorporation of the implant. Powder bed fusion (PBF) and VAT photopolymerization are promising additive manufacturing (AM) groups of techniques allowing the production of functional complex net-shaped or fully dense parts. However, specific tailored ceramic powders for these 3D printing techniques need to be produced. In this context, the challenge here is the evaluation of the characteristics of a suitable feedstock depending of the additive manufacturing technique. HA was chosen as starting material for the production of scaffolds for bone tissue regeneration. In the course of tailoring the AM feedstock properties, depending on the additive manufacturing technique different processes can be necessary. In the case of the PBF techniques, the processability of the powder feedstock is better achieved when using laser-reactive and flowable dry microspheres. In this regard, this thesis deals with the tailoring of the HA particles morphology through a combination of processes such as, synthesis, wet milling, spray drying, and mixing of the powder with absorption additives. For a second AM group of technologies, like VAT photopolymerization, different characteristics are required for the material feedstock. In this case, the production of slurries composed of stable and low viscosity HA powder-filled photosensitive resins was essential. Their preparation was achieved by a milling step of the powder and a proper homogenization of the different components (photoinitiator, monomers, and diluent). Thus, as part of this PhD work the understanding of the relationships between the powder properties and the processing parameters was of special importance. For this reason, a wide variety of powder and suspension characterization methods was employed to assess the effect of the formulation and the operating parameters on the final product properties. It included the analysis of the chemical composition and structure of the compounds, as well as the physical properties of the particles dispersed in a liquid or in a dry state (morphology, flowability, stability, and rheology of suspensions). Finally, the suitability of the feedstock produced was examined through direct testing on the AM technologies and the quality of the printed parts was evaluated in terms of relative density, porosity, and mechanical properties.

**Français :** Les céramiques de phosphates de calcium (hydroxyapatite stœchiométrique (HA),  $\beta$ -TCP, phosphates de calcium substitués et composés biphasiques) sont des biomatériaux privilégiés comme implants orthopédiques ou pour réaliser des restaurations dentaires dans des applications médicales ou esthétiques. En association avec les technologies de fabrication additive (FA), les pièces biocéramiques peuvent être conçues avec des formes complexes tout en ayant une meilleure biocompatibilité et bioactivité que les métaux améliorant l'ostéointégration/ostéoincorporation de l'implant. La fusion sur lit de poudre (FLP) et la photopolymérisation (VAT) sont des familles de techniques de fabrication additive prometteuses permettant la production de pièces complexes fonctionnelles sous forme de réseaux poreux ou entièrement denses. Cependant, un défi est de produire une poudre céramique ayant des propriétés spécifiques pour ces techniques d'impression 3D. Dans ce contexte, l'enjeu dans cette thèse est l'évaluation des caractéristiques de la matière première adaptée à chaque technique de fabrication additive. HA a été choisie comme matériau de départ pour la production de pièces pour la régénération du tissu osseux. En fonction de la technique de fabrication additive utilisée, différents procédés peuvent être nécessaires pour l'optimisation des propriétés de la matière première à imprimer. Dans le cas des techniques FLP, de meilleurs résultats sont obtenus lors de l'utilisation d'une poudre constituée de microsphères réactives au laser et présentant de bonnes propriétés d'écoulement. Dans cette thèse l'optimisation de la morphologie des particules de HA a été étudiée grâce à une combinaison de procédés tels que la synthèse, le broyage en voie humide, le séchage par atomisation et le mélange de la poudre avec des additifs d'absorption. Pour les technologies de FA telles que la photopolymérisation VAT, des caractéristiques différentes sont requises pour la matière première. Dans ce cas, il est essentiel de produire des suspensions composées de résines photosensibles chargées de poudre d'HA, stables et de faible viscosité. Leur préparation a été réalisée par une étape de broyage de la poudre suivi d'une bonne homogénéisation des différents composants (photoinitiateur, monomère, et diluant). Dans le cadre de cette thèse, la compréhension des relations entre les propriétés de la poudre et les paramètres des procédés sont d'une grande importance. Une large gamme de techniques de caractérisation des poudres et des suspensions a été utilisée pour évaluer l'effet de la formulation et des paramètres opératoires sur les propriétés du produit final à chaque étape. Ces techniques ont permis l'analyse de la composition chimique et de la structure des composés, ainsi que la détermination des propriétés physiques des particules dispersées dans un liquide ou à l'état sec (morphologie, coulabilité, stabilité, et rhéologie des suspensions). Enfin, l'adéquation de la poudre produite a été évaluée directement par des expériences réalisées avec les technologies de FA, et la qualité des pièces imprimées a été analysée en termes de densité relative, de porosité et de propriétés mécaniques.

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# **General Introduction**



The rising incidence of bone disorders and the increasing ageing population has generated a growing interest for new efficient therapies. Bone tissue engineering is considered a means for the development of alternatives that could replace conventional bone grafts with the aim of repairing or reconstructing bone defects through the combination of biomaterials, signalling factors, and cells. Calcium phosphate bioceramics are well-known materials in this field because of their excellent bioactive properties, serving as resorbable scaffolds for the bone cells' anchorage, promotion, growth, and differentiation. Thus, helping the bone regeneration process. Most frequently used calcium phosphates are hydroxyapatite (HA) and tricalcium phosphate (TCP), depending on the type of calcium phosphate employed, bioactivity properties can change due to differences in stability, solubility, mechanical strength, and ion release. For this reason, they are usually employed as mixtures to fully benefit from their advantages and minimize their disadvantages.

One of the biggest current challenges in the field is the translation of skeletal tissue engineering to clinical practice. The use of additive manufacturing techniques for bone biofabrication could be the key for its progress. This due to their valuable inherent capability for reproducibility, accuracy and customisation of printed parts. However, the printing of complex and rigid skeletal tissue has not yet reached an optimal maturity state for a real application. In fact, many problems can arise during the printing process and post-processing that can worsen the properties of the scaffolds. Specifically, the main challenges in additive manufacturing of bioceramic scaffolds include the low resolution and speed, optimization of pore parameters, the use of bioincompatible techniques, and the low mechanical strength or brittleness obtained. Ceramic feedstock properties are one of the most influencing parameters that can influence the scaffolds quality during their production. Non-compliance of the requirements of the printer by the feedstock, difficult the obtention of parts meeting the properties needed for its application. For this reason, an extensive knowledge is required about their production and the tailoring of their characteristics.

In this context and as part of the EU research framework programme H2020/ Marie Skłodowska-Curie Actions the DOC-3D PRINTING network ([cordis.europa.eu/project/id/764935](https://cordis.europa.eu/project/id/764935)) was conceived (Marie Curie grant agreement number 764935). It is a transnational multidisciplinary EU network focused on ceramics additive manufacturing applied on medical and aerospace fields. The team includes six academic, one non-profit association and seven non-academic (large companies and SME) partners with varied expertise. The 14 early-stage researchers (PhD) composing the network are intended to study and develop the whole value chain of ceramics 3D printing from elaborating feedstock to testing in products for commercialisation. More specifically, the present three-years long doctoral study focuses on the “**tailoring of calcium phosphate powder properties for additive manufacturing technologies**” with the “Centre Inter-universitaire de Recherche et d’Ingénierie des Matériaux” (CIRIMAT) and the “Laboratoire de Génie Chimique” (LGC), which are part of the national polytechnic institute of Toulouse (Toulouse INP), acting as host institutions. As result, this manuscript composed of six chapters was made gathering the state-of-the-art knowledge on the topic and the different scientific results obtained during the course of the study.

Chapter I serves as a bibliographic introduction to the most relevant concepts presented in the work. First, calcium phosphate materials are, as main protagonists, introduced and their properties are detailed. Then, the different additive manufacturing technologies are located giving a special relevance to the two techniques treated in this work, the powder bed selective laser process (PBSLP) and the masked stereolithography (MSLA). It concludes with a brief explanation of the two techniques with highest significance for our work on the calcium powders tailoring: the stirred bead mill (SBM) and the spray drying (SD) processes.

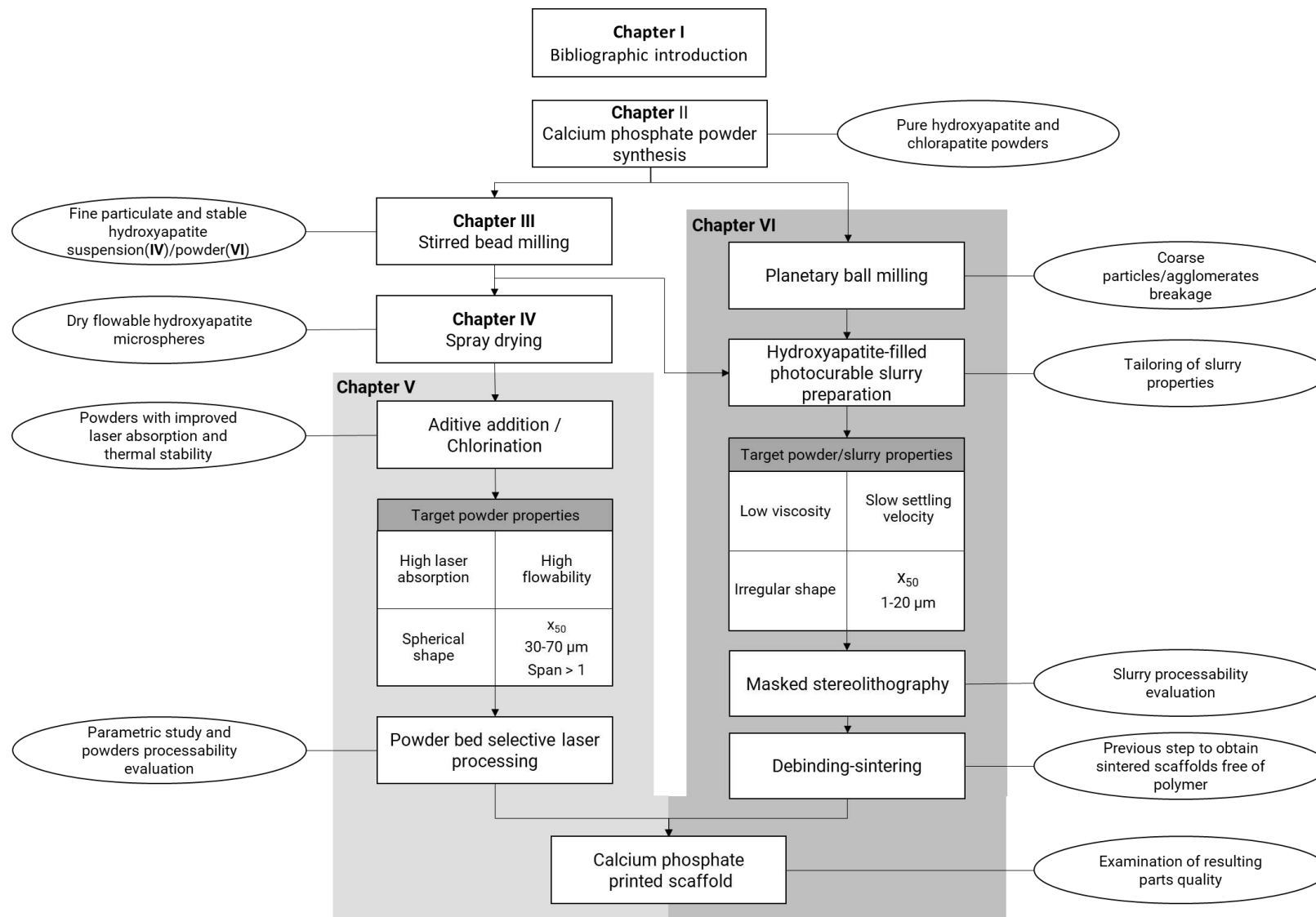
Chapter II brings a first contact with the materials and methods used along our work. Brief theoretical explanation of the techniques used for the characterization of the prepared feedstock and printed products. It also includes the procedures followed for the synthesis of HA and chlorapatite (CIA) calcium phosphate powders as well as the study of their composition.

In the course of optimizing the feedstock qualities, depending on the additive manufacturing technique, some other processes can be indispensable. In the case of PBSLP technique, the processability of the powder feedstock is better achieved when using flowable dry spherical agglomerates of several tens of microns as diameter. In this regard, chapters III and IV deal with the tailoring of the HA particles morphology through a combination of milling and spray drying processes, respectively. Both procedures are exhaustively studied for better understanding and optimization of process conditions.

The importance of the characteristics of the HA and CIA powders is disclosed in chapter V through the analysis of their performance in a PBSLP process. A study of the processing window depending on the properties of the powder employed as well as the printing parameters is offered, including the analysis and the evaluation of the quality of the obtained printed parts.

As mentioned previously, a second AM technology, the MSLA process, was also envisaged for the tailoring of the powders. Chapter VI presents the evaluation of different prepared HA-filled photocurable slurries for their use as feedstock in an MSLA process. Finally, the properties of the printed HA scaffolds as bone graft substitutes are assessed.

## Short Thesis outline





## List of abbreviations

$v_{Stokes}, v_{\infty}$	Stokes's velocity	DCPA	Dicalcium phosphate anhydrous
$v_{as}$	Asymmetric stretching	DCPD	Dicalcium phosphate dihydrate
$v_s$	Symmetric stretching	DED	Directed energy deposition
$\mu$ -CT	X-ray microtomography	DEF	Diethyl fumarate
3D	Three-dimensional	DLP	Digital light processing
A	Absorptance	DMD	Digital micromirror device
AAS	Atomic absorption spectroscopy	DSC	Differential scanning calorimetry
ACP	Amorphous calcium phosphate	ECM	Extracellular matrix
AM	Additive manufacturing	EDS	Energy dispersive X-ray spectroscopy
AMCSD	American mineralogist crystal structure database	EDTA	Ethylenediaminetetraacetic acid
APS	Atmospheric plasma spray	EP	Epoxy resin.
ASTM	American society for testing and materials	ESEM	Environmental scanning electron microscope
ATCP	Amorphous tricalcium phosphate	FA	Fluorapatite
BAP	Bioapatite	FDM	Fused deposition modelling
BCP	Biphasic calcium phosphate	FEG	Field emission gun
BET	Brunauer-Emmett-Teller	ffc	Flowability coefficient, flow function coefficient
BJH	Barrett-Joyner-Halenda	FHA	Fluorine-substituted HA
BS	Backscattering	FTIR	Fourier transform infrared spectroscopy
CAD	Computer-aided design	G	Graphite
CaO	Calcium oxide	GM	Grinding media
CaP	Calcium phosphate	HA	Hydroxyapatite
CDHA	Calcium-deficient hydroxyapatite	HA_Cyl	Calcium phosphate cylinders
CEN/TC	European committee for standardization/technical committee	HA_Cyl_PT	Calcium phosphate cylinders after post-treatment.
CHN	Carbon hydrogen nitrogen analyzer	HAm	Hydroxyapatite microspheres
CIF	Crystallographic information framework	HDPE	High-density polyethylene
CIRIMAT	Centre inter-universitaire de recherche et d'ingénierie des matériaux	HR	Hausner ratio
CIA	Chlorapatite	HR	High reactive
CIHA	Chlorine-substituted HA	ICDD	International centre for diffraction data
COD	Crystallography open database	ICP	Inductively coupled plasma
CT	X-ray computed tomography	ICP/AES	Inductively coupled plasma atomic emission spectroscopy
DC	Darvan C	ICSD	Inorganic crystal structure database

IPA	Isopropyl alcohol	PEG200	Polyethylene glycol 200
ISO	International Organization for Standardization	PGT	Princeton Gamma Tech
IUPAC	International union of pure and applied chemistry	PHBV	Poly(3-hydroxybutyrate-co-3-hydroxyvalerate)
JCPDS	Joint committee on powder diffraction standards	PLA, PDLA,	Poly(lactic acid)
LAB	Laser-assisted bioprinting	PLLA	
LBM	Laser beam melting	PM	Powder mixture
LCD	Liquid-crystal display	PP	Polypropylene
LDV	Laser doppler velocimetry	PPF	Polypropylene fumarate
LED	Light-emitting diode	PSD	Particle size distribution
LED	Linear energy density	PVA	Polyvinyl alcohol
LFD	Large field detector	R	Reflectivity
LGC	Laboratoire de génie chimique	Ra	Raw area
MBAm	N,N'-Methylenebisacrylamide	Ra	Roughness average
M <sub>CaO</sub>	Molar weight of calcium oxide	S.A.	Société anonyme (anonymous society, company)
MCPA	Monocalcium phosphate anhydrous	SAED	Selected area electron diffraction
MCPM	Monocalcium phosphate monohydrate	SAP	Analysis and processes service
M <sub>HA</sub>	Molar weight of hydroxyapatite	SBM	Stirred bead mill
MSLA	Masked stereolithography	SD	Spray drying
M <sub>β-TCP</sub>	Molar weight of β-tricalcium phosphate	SED	Surface energy density
Nd:YAG	Neodymium-doped yttrium aluminium garnet	SEM	Scanning electron microscopy
NF	Norme Française (French standard)	SHA	Substituted hydroxyapatite
NMR	Nuclear magnetic resonance	SLA	Stereolithography
OA, OAP,	Oxyapatite	SLM	Selective laser melting
OXA		SLS	Selective laser sintering
OCP	Octacalcium phosphate	SMEs	Small and medium-sized enterprises
OHA	Oxyhydroxyapatite	T	Transmission
PBF	Powder Bed Fusion	TA6V	Titanium alloy with aluminium and vanadium
PBM	Planetary ball mill	TCP	Tricalcium phosphate
PBM	Population balance modelling	TEM	Transmission electron microscopy
PBSLP	Powder bed selective laser processing	TGA	Thermogravimetric analysis
PCL	Polycaprolactone	TRIS	Tris(hydroximetil)aminometano
PDLLA	Poly-d,l-lactic acid	TSI	Turbiscan stability index
		TTCP	Tetracalcium phosphate
		UV	Ultraviolet
		UV-Vis	Ultraviolet-visible
		VP	Variable pressure

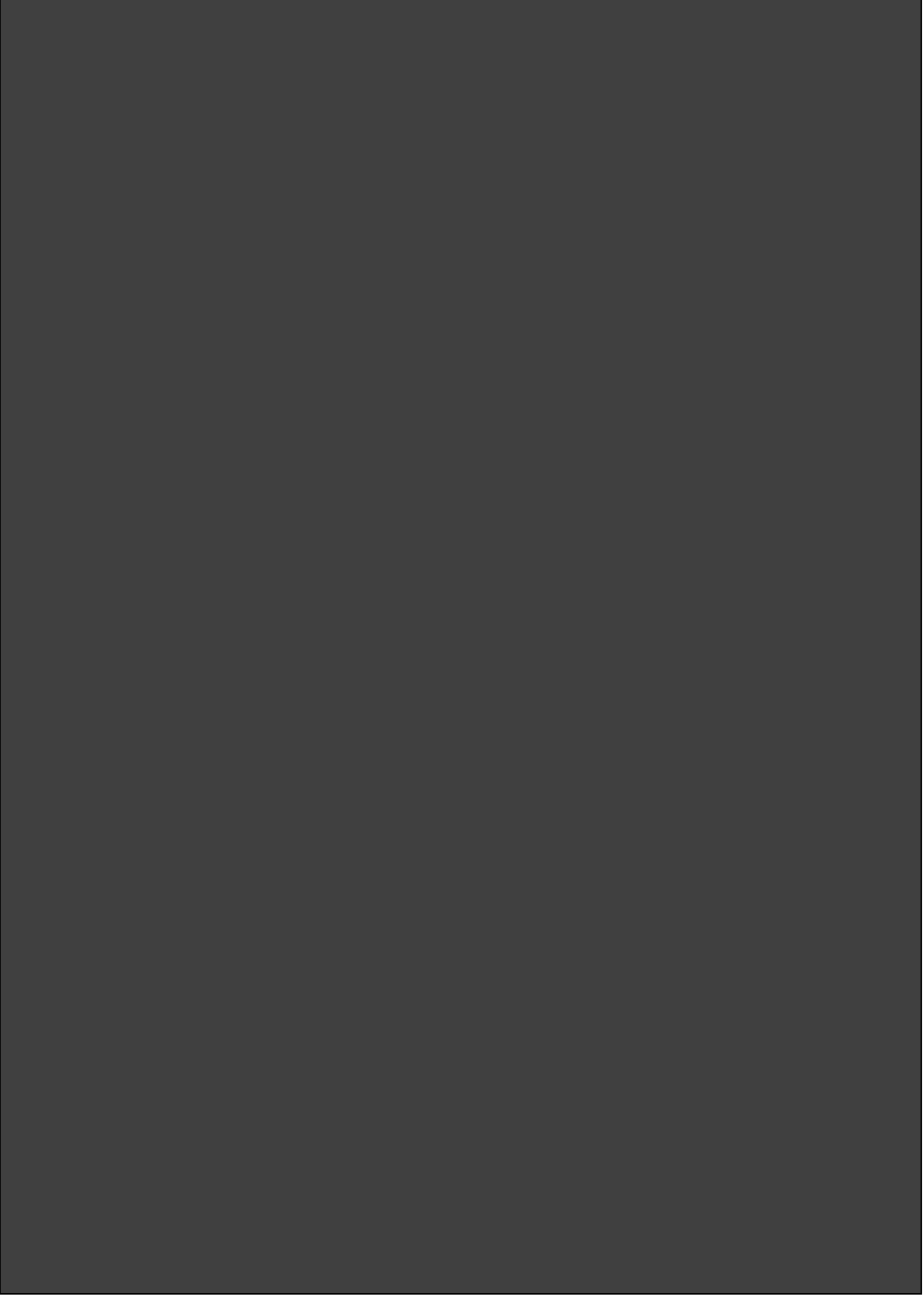
WDS	Wavelength dispersive spectrometry	YSZ	Yttria-stabilized zirconia
		$\alpha$ -TCP	$\alpha$ -Tricalcium phosphate
XRD	X-ray diffraction	$\beta$ -TCP	$\beta$ -Tricalcium phosphate

## Symbols

$\varepsilon$	Porosity of the grinding media filling at rest [-]	$N_c$	Normal load [MPa]
$h_{space}$	Hatching distance [cm]	$N_f$	Normal force at specimen failure [MPa]
$P_{laser}$	Laser power [W]	$N_{GM}$	Number of grinding beads in the mill [-]
$R_b$	Bragg error [%]	$N_p$	Number of feed particles inside the mill [-]
$R_{exp}$	Residual exponential distribution [%]	$P$	Power input (power draw) [W]
$R_{wp}$	Weighted residual profile [%]	$P$	Spray air pressure [bar]
$U_E$	Electrophoretic mobility [ $\text{cm}^2 \cdot \text{V}^{-1} \cdot \text{s}^{-1}$ ]	$P_0$	No-load power [W]
$t_{layer}$	Layer thickness [cm]	$P_{laser}$	Laser power [ $\text{J} \cdot \text{s}^{-1}$ ]
$v_{scan}$	Scanning speed [ $\text{cm} \cdot \text{s}^{-1}$ ]	$P_s$	Probability that a particle is caught and sufficiently stressed [-]
$\dot{\gamma}$	Shear rate [ $\text{s}^{-1}$ ]	$Q_s$	Feed rate [ $\text{kg} \cdot \text{h}^{-1}$ ]
$[\eta]$	Intrinsic viscosity [ $\text{s}^{-1}$ ]	$Q_S$	Optical parameter given by Mie theory
$A_{UCT}$	Cross-sectional area [ $\text{mm}^2$ ]	$R$	Reynolds number [-]
$B$	Build rate coefficient [ $\text{mm}^3 \cdot \text{s}^{-1}$ ]	$R^2$	Coefficient of determination [-]
$C_{DC}$	Darvan C concentration [ $\text{mg} \cdot \text{m}^{-2}$ ]	$SE_{GM}$	Stress energy of the grinding media [J]
$d$	Diameter [ $\mu\text{m}$ ]	$SI$	Stress intensity [ $\text{J} \cdot \text{kg}^{-1}$ ]
$E(t)$	Residence time density function [-]	$\text{Sig}$	Sigma [%]
$E_d$	Volumetric energy density [ $\text{J} \cdot \text{cm}^{-3}$ ]	$SN$	Stress number [-]
$E_m$	Specific energy [ $\text{kJ} \cdot \text{kg}^{-1}$ ]	$t$	Comminution time [s]
$f_c$	Unconfined yield strength [-]	$t$	Process duration [s]
$ff_c$	Flowability coefficient, flow function coefficient [-]	$T_{int}$	Inlet temperature [ $^{\circ}\text{C}$ ]
$g$	Optical parameter given by Mie theory	$t_{layer}$	Powder layer thickness [mm]
$HR$	Hausner ratio [-]	$V_{GC}$	Grinding chamber volume [ $\text{m}^3$ ]
$h_{space}$	Hatch distance [mm]	$V_{GM}$	Overall solid volume of the grinding media [ $\text{m}^3$ ]
$K$	Consistency index [ $\text{Pa} \cdot \text{s}^n$ ]	$V_p$	Average volume of one product particle [ $\text{m}^3$ ]
$k$	Thermal conductivity [ $\text{M} \cdot \text{m}^{-1} \cdot \text{K}^{-1}$ ]	$V_{p,tot}$	Total volume of the product particles [ $\text{m}^3$ ]
$m_p$	Product mass [kg]	$V_r$	Stirrer speed [ $\text{m} \cdot \text{s}^{-1}$ ]
$n$	Number of revolutions [ $\text{s}^{-1}$ ]	$v_{scan}$	Scanning speed [ $\text{mm} \cdot \text{s}^{-1}$ ]
$n$	Flow behaviour index [-]	$w$	Terminal settling velocity of a solitary particle in a fluid [ $\text{m} \cdot \text{s}^{-1}$ ]
$n$	Empirically determined exponent dependent on the particle Reynolds number [-]	$w_{HA}$	Hydroxyapatite solid mass fraction [-]
$N_c$	Number of bead contacts [-]		

$w_m$	Settling velocity of sediment particles dispersed at the volumetric concentration $c$ [ $\text{m.s}^{-1}$ ]	$\varepsilon$	Dielectric constant of the medium [-]
$w_p:w_{GM}$	Powder/grinding media weight ratio [-]	$\eta$	Viscosity [Pa.s]
$x_{10}$	the 10 <sup>th</sup> percentile [ $\mu\text{m}$ ] (diameter of a sphere at which 10% of the particles in the sample are smaller)	$\eta_{medium}$	Viscosity of the medium [Pa.s]
$x_{50}$	Median particle size [ $\mu\text{m}$ ] : the 50 <sup>th</sup> percentile (diameter of a sphere at which 50% of the particles in the sample are smaller)	$\eta_r$	Relative viscosity
$x_{90}$	The 90 <sup>th</sup> percentile [ $\mu\text{m}$ ] (diameter of a sphere at which 90% of the particles in the sample are smaller)	$\kappa$	Debye length [ $\text{m}^{-1}$ ]
$x_f$	Final particle size [ $\mu\text{m}$ ] (after milling process)	$\kappa\alpha$	Thickness of electrical double layer to particle diameter ratio [-]
$x_{GM}$	Grinding media size [ $\mu\text{m}$ ]	$\lambda$	Wavelength
$x_i$	Initial median particle size [ $\mu\text{m}$ ] (before milling process)	$\lambda^*$	Photon transport mean free path
$\alpha$	Acceleration [ $\text{m.s}^{-2}$ ]	$\rho_{GM}$	Grinding media density [ $\text{kg.m}^{-3}$ ]
$\beta$	Effective packing factor of ceramic powder	$\rho_{GM}$	Grinding media density [ $\text{kg.m}^{-3}$ ]
		$\tau$	Shear stress [Pa]
		$\varphi$	Volume fraction [-]
		$\varphi_{GM}$	Beads filling degree [-]
		$\chi_{BS}$	Average of $\chi_i$
		$\chi_i$	Average backscattering for each minute of measurement
		$E$	Electric field [ $\text{V.m}^{-1}$ ]
		$\varphi_m$	Maximum volume fraction of solids in the suspension [-]

# **Chapter I. Bibliographic introduction**



This chapter gives a general description of the most relevant materials and methods we made use of during the course of this thesis work. First, calcium phosphates are detailed referring to the most important works on the topic, thus gathering information about their composition, classification, properties, and applications.

It is followed by a listing of the existing additive manufacturing technologies and their different approaches to bone scaffold fabrication using calcium phosphates as feedstock material. Special emphasis is given to the two techniques used in this work, powder bed selective laser process (PBSLP) and masked stereolithography (MSLA). A description of these processes and the main parameters involved are given. Precisely, a review published in *Open Ceramics* and titled “A review of additive manufacturing of ceramics by powder bed selective laser processing (sintering/melting): Calcium phosphate, silicon carbide, zirconia, alumina, and their composites” was previously reported with the contribution of the present author (Grossin, Monton, Navarrete-Segado et al., 2021). Extracts of the sections contributed by the author to this review will be provided for the sections related to the PBSLP technique: 2.1.1 Powder feedstock criteria, 2.1.2 Process parameters and strategy, and 2.1.3 PBSLP of calcium phosphate ceramics.

The discussion of the material feedstock requirements of each of the two techniques (PBSLP and MSLA) leads us to the last part of the chapter. It consists of the definition of the two main processes we employed for the tailoring of calcium phosphate powders properties: stirred bead milling and spray drying. Thus, this chapter ends with a short explanation of the technique fundament and the influence of the operating parameters on the final product properties.

## 1. Introduction to calcium phosphates

Calcium phosphate (CaP), with empiric formula  $\text{Ca}_3(\text{PO}_4)_2$ , is a family of minerals containing calcium cations ( $\text{Ca}^{2+}$ ) together with orthophosphate ( $\text{PO}_4^{3-}$ ), metaphosphate ( $\text{PO}_3^-$ ), pyrophosphate ( $\text{P}_2\text{O}_7^{4-}$ ) anions, or hydrogen phosphate ( $\text{HPO}_4^{2-}$ ). CaP is the main form of calcium found in bovine milk and it is known that bone tissue is 60% composed of carbonated hydroxyapatite (HA); it receives the name of bone mineral (also called inorganic bone phase, bone salt, or bone apatite) (Eliaz and Metoki, 2017). CaPs with a Ca/P atomic ratio between 1.5 and 1.67 are called apatites (e.g., HA or fluorapatite). Following, a brief overview of CaP bioceramics structure, properties, and biomedical applications is offered. A more extended description of these ceramics based on calcium phosphate and their application in the field of bone regeneration was stated by Eliaz and Metoki (Eliaz and Metoki, 2017).

The structure of bones, teeth, and other calcified tissues has been studied from the last quarter of the 17<sup>th</sup> century (Wdfert, 1697). According to the available literature, in 1881 Roscoe and Schorlemmer published the following statement: “Gahn, in 1769, discovered the existence of calcium phosphate in bones, but it was not until this fact was published by Scheele in 1771 that phosphorus was obtained from bone ash, which has from that time invariably served for its preparation” (Dorozhkin, 2012). The organic-inorganic composite nature of bone has been known since at least 1788. In the last decade of the 18<sup>th</sup> century, the existence of acidic CaPs was discovered by the French chemists Antoine François Comte de Fourcroy and

Nicolas Louis Vauquelin. A summary of the different CaP phases and their corresponding formulas are summarized in Table 1 (Canillas et al., 2017). CDHA, HA, FA, CIA, and OA belong to the apatite group while MCPM, MCPA, DCPD, and DCPA belong to the acidic phases group. It is important to reference the early books on the field. The earliest collected book of 1983 was edited by de Groot (de Groot, 1984), followed by a book of 1984 edited by Misra (Misra, 1984), while three most important monographs on apatites and other calcium orthophosphates were published in 1991 by LeGeros (LeGeros, 1991) and Aoki (Aoki, 1991), as well as in 1994 by Elliott (Elliott, 1994). An example of a more recent contribution on the synthesis, characterization, and applications of calcium phosphates was offered by Rey et al. (Rey et al., 2017).

Table 1. Summary of CaP phases, their corresponding Ca/P molar ratios, abbreviations, and formulas.

Name	Chemical formula	Ca/P	Typical abbreviations
Monocalcium phosphate monohydrate	$\text{Ca}(\text{H}_2\text{PO}_4)_2 \cdot \text{H}_2\text{O}$	0.5	MCPM
Monocalcium phosphate anhydrous	$\text{Ca}(\text{H}_2\text{PO}_4)_2$	0.5	MCPA or MCP
Dicalcium phosphate dehydrate (mineral brushite)	$\text{CaHPO}_4 \cdot 2\text{H}_2\text{O}$	1.0	DCPD
Dicalcium phosphate anhydrous (mineral monetite)	$\text{CaHPO}_4$	1.0	DCPA or DCP
Octacalcium phosphate	$\text{Ca}_8(\text{HPO}_4)_2(\text{PO}_4)_4 \cdot 5\text{H}_2\text{O}$	1.33	OCP, OCPt
Alpha-Tricalcium phosphate	$\alpha\text{-Ca}_3(\text{PO}_4)_2$	1.5	$\alpha$ -TCP
Beta-Tricalcium phosphate	$\beta\text{-Ca}_3(\text{PO}_4)_2$	1.5	$\beta$ -TCP
Amorphous calcium phosphates	$\text{Ca}_x\text{H}_y(\text{PO}_4)_z \cdot n\text{H}_2\text{O}$ , $n=3-4.5; 15\%-20\% \text{H}_2\text{O}$	1.2- 2.2	ACP
Calcium-deficient hydroxyapatite	$\text{Ca}_{10-x}(\text{HPO}_4)_x(\text{PO}_4)_{6-x}(\text{OH})_{2-x}$ ( $0 < x < 1$ )	1.5- 1.67	CDHA, CDHAP, pHA, pHAP or Ca-def HAP
Hydroxyapatite	$\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$	1.67	HA, HAP or OHAP
Fluorapatite	$\text{Ca}_{10}(\text{PO}_4)_6\text{F}_2$	1.67	FA or FAp
Chlorapatite	$\text{Ca}_{10}(\text{PO}_4)_6\text{Cl}_2$	1.67	CIA or ClAp
Oxyapatite	$\text{Ca}_{10}(\text{PO}_4)_6\text{O}$	1.67	OA, OAP or OXA
Tetracalcium phosphate (mineral hilgenstockite)	$\text{Ca}_4(\text{PO}_4)_2\text{O}$	2.0	TetCP or TTCP

### 1.1 Important properties for bone grafting

Calcium phosphates are widely used in medical applications in many different ways such as coating, paste, scaffold, and cement. In the case of bone graft, the main role is to provide a framework for the regeneration of new bone tissue, soft tissue, vascular-, and other metabolic components (Habraken et al.,

2016). For correct performance, these materials have to gather several critical properties. Some of these properties are listed in Table 2.

**Bioactivity** can be defined in different ways depending on the field in which it is used. In the field of polymeric materials applied to biology, the International Union of Pure and Applied Chemistry (IUPAC) defines it as "the capacity of a substance to elicit a response from a living system" (Vert et al., 2012). In the domain of inorganic biomaterials, bioactivity is defined as the ability to induce a biological response in the biomaterial/host tissue interface allowing the formation of bonds between tissue and material (ISO 23317, (Hench, 1991)). In the case of calcium phosphates, they can partially dissolve themselves releasing ionic products *in vivo*, like calcium and phosphate ions, and precipitating a biological apatite on the surface of the ceramics. However, some CaPs can be highly **biostable** maintaining their physical and chemical integrity after implantation into living tissue (e.g., highly crystallized HA) (Fulmer et al., 2002). Most CaPs ceramics are also **biocompatible**, meaning that they do not unchain any kind of response from the immune system of the organism or tissue. This high degree of biocompatibility of CaP materials is directly related to its similarity to the composition of the bone mineral due to the high presence of them in the body in both solid and dissolved form (Posner and Betts, 1975).

In Figure 1 are represented **osteinduction**, **osteogenesis**, and **osteoconduction**, the three essential elements of bone regeneration along with the final bonding between host bone and grafting material which is called **osteointegration** (Hench, 1991). Suggested definitions by Albrektsson and Johansson (Albrektsson and Johansson, 2001) of these terms are offered below:

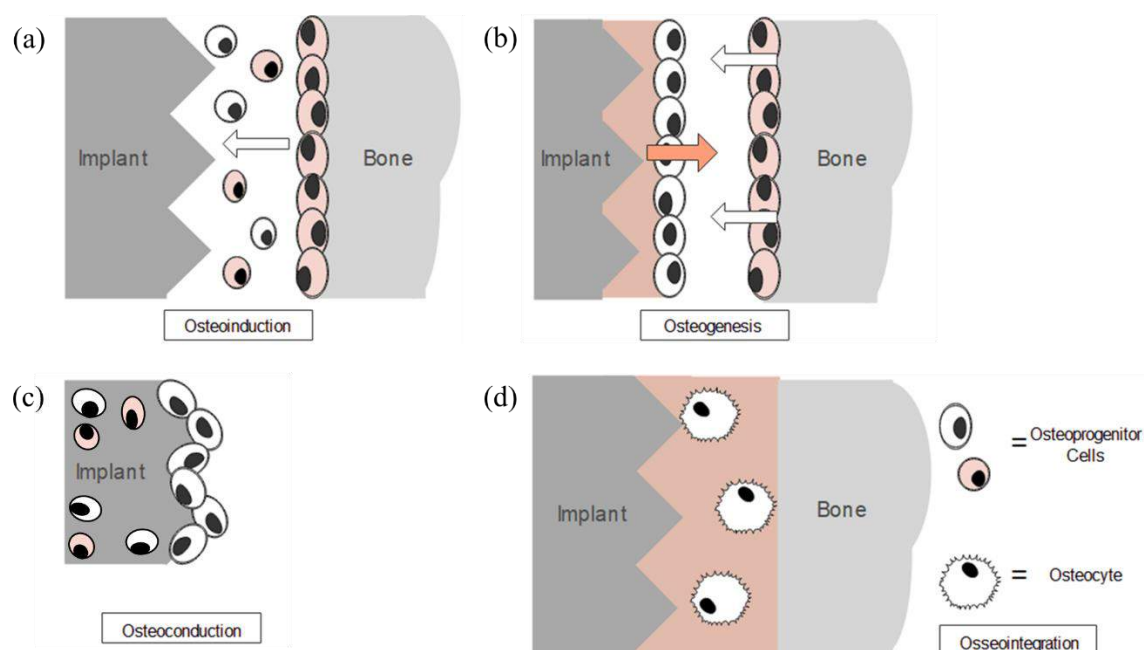
- Osteoinduction represents the ability of a biomaterial to induce the recruitment and differentiation of local cells allowing the formation of a mineralizable bone matrix at a non-bone site. Thus, an osteoinductive biomaterial stimulates the progenitor cells present in the surrounding tissues of the implantation area and causes their differentiation into bone cells. This capacity is strongly linked to the presence of growth factors such as bone morphogenetic proteins and cytokines which are either associated with the material or present in the surrounding biological fluids.
- Osteogenesis is made possible by the presence of bone-forming cells, such as osteoblasts, capable of inducing bone neoformation. An osteogenic biomaterial would contain bone-forming cells or allow their recruitment to induce rapid bone neoformation inside or on the surface of the biomaterial.
- Osteoconduction is defined as the ability of a material in contact with healthy bone tissue to act as a support to guide the new bone formation and allow the formation of an intimate and solid interface. It closely depends on the porosity of the material (size and shape), the specific surface area and the surface state of the biomaterial which will influence the migration and the cellular and vascular colonization of the substitute from healthy tissues.

The use of CaPs in the bone replacement field requires good mechanical properties. This is due to the high average load that the parts undergo during their lifetime. For example, the hip joints have to endure peak loads as high as 10 times body weight during jumping. Depending on the activity the repetitively and fluctuation of the stresses are different. CaPs are brittle (primary ionic bonds) with relatively low tensile stress (6 to 10 MPa) and low impact resistance because of their porosity acting as preferred initiation sites for crack propagation. However, their compressive strength is higher than that of normal bone. For that reason, CaPs are more used in non-load bearing implants like middle ear surgery, as a coating on dental and orthopaedic metallic implants (**interfacial stability**), or as the filler of bone defects in the skeleton and oral cavity.

*Table 2. Major properties of calcium phosphates for medical applications following the order of mention in the text. Adapted from (Eliaz and Metoki, 2017)*

Property	Definition/Function
Bioactivity	The inherent ability of a material to participate in specific biological reactions or have an effect on living tissues
Biostability	The ability of a material to maintain its properties in vivo
Biocompatibility	The ability of a material to perform with an appropriate host response in a specific application
Osteoinduction	The ability of a biomaterial to induce the recruitment and differentiation of local cells allowing the formation of a mineralizable bone matrix at a non-bone site.
Osteogenesis	The process of laying down new bone material by bone-forming cells, such as osteoblasts
Osteoconduction	The ability of a material in contact with healthy bone tissue to act as a support to guide the new bone formation and allow the formation of an intimate and solid interface
Osseointegration	The ability of the material to bind to host tissue without a layer of fibrous tissue
Interfacial stability and good adhesion	Prevent mechanical failures under load-bearing conditions
Bioactive fixation	Reactive surfaces form chemical bonding with bone, thus minimizing the fibrous capsule formation
Resorption	Gradual degradation over time to replace the biomaterial with the natural host tissue
Crystallinity	A higher level of crystallinity prevents fast resorption (dissolution) of the bioceramic in body fluids
Wettability	The property that indicates a material's ability to attract/repel water molecules

A stable interface between the cementless implants and bone is required for a correct bioceramic tissue fixation. There are four types of attachments: (1) **Bioactive fixation**, where some bioceramics like HA show reactive surfaces able to form chemical bonds, minimizing the fibrous capsule formation. (2) Dense, porous (or nonporous) resorbable bioceramics like TCP are progressively replaced by bone tissue. (3) Biological fixation, where the porous surface of the material induces a mechanical attachment. (4) Morphological fixation, in this case, is a dense, nonporous, nearly inert ceramic that by cementing the device into the tissue or by press-fitting into a defect it is attached by bone growth into surface irregularities.



*Figure 1. Osteoinduction (a) is the ability of a material to recruit and induce progenitor cells to differentiate towards the osteoblastic lineage. Osteogenesis (b) is initiated by the osteogenic cells migrating from the host bone border (direct osteogenesis, white arrows) and by the osteogenic cells colonizing the implant surface (contact osteogenesis, orange arrow). Osteoconduction (c) involves support of osteogenic cells adhesion/proliferation and integration of cells in the implant. An adequate formation and bone viability (presence of osteocytes) surrounding the implant allows for successful osseointegration (d) at the bone-implant interface. Adapted from (Alejandro et al., 2011).*

**Resorption** is the term used to describe the absorption of a bioceramic in the body, either by dissolution or by cells (such as macrophages and osteoclasts). This property depends on the phase content of the CaP, **crystallinity**, particle size and porosity. Some phases like TCP may resorb fast and replace the coating or cement with bone. More specifically, concerning HA, its resorption rate in a physiological environment depends on its crystallinity. Low crystallinity HA resorbs slowly, while high crystallinity renders HA almost non-resorbable (and exhibit low solubility) (Ben-Nissan, 2014). Grain size, porosity, specific surface, and ionic substitution are other factors that influence the resorption of HA. A small grain size with a high specific surface gives a larger exposed area to osteoclastic resorption, bone-resorbing cells, favouring the resorption. Moreover, a higher degree of porosity means a higher surface area in contact between the implant and the body fluids, inducing a faster dissolution rate. Some studies have demonstrated how the increase of the specific surface area and pore volume of biomaterials produce an acceleration of the

kinetic process of biological apatite deposition and therefore enhance bone formation. The lattice defects in the CaPs structure can influence the solubility of the scaffolds, it explains the large differences in solubility between scaffolds prepared with the same CaP. The rate of bone substitution also depends on age, sex and general metabolic health of the recipient, even on the anatomic site. The desired resorbability rate is the rate comparable to the formation of bone tissue (between a few months and a few years), in the case of CaP, it may take 3 to 36 months to be replaced by bone (Dorozhkin and Epple, 2002).

The **wettability** (or hydrophilicity), and hence the surface energy of CaPs, is a key factor in osteogenesis regulation. Generally, a positively charged surface becomes hydrophilic, and some plasma proteins essential for cell interaction adsorb to the surface. Hydrophilic (high surface energy) implants experience enhanced fibroblast cell response. Aronov et al. (Aronov et al., 2006) through a post-treatment were able to tune the wettability of HA ( $10^\circ < \theta < 100^\circ$ , where  $\theta$  is the contact angle) by exposure to low-energy electron irradiation. It also affects other cellular activities like maturation, differentiation, and osseointegration. Higher surface energy exhibited faster cell activation and differentiation than those with lower surface energy.

Another important factor is the chemical resistance of the implants. The human body is a very aggressive environment for metals since it contains water, salt, dissolved oxygen, bacteria, proteins, and various ions such as chloride and hydroxide. HA coatings have been demonstrated to have excellent corrosion resistance; that is why they are widely used to coat base metals to ensure biocompatibility of the ceramic on the metal surface (Sudha et al., 2018). Furthermore, another benefit of HA is its dielectric properties because electromagnetic fields have been shown to accelerate healing in bone fractures (Kao et al., 2019).

## 1.2 Individual Calcium Phosphate phases and their properties

In this section, the properties of the most used calcium phosphates phases for the clinical purpose will be described.

The solid-state phase diagram of the CaP system is relatively unexplored maybe due to the importance that metastable phases, water, and kinetics have in this system (Eliaz and Metoki, 2017). Water and temperature have a large effect in defining thermodynamically stable salts. One of the different versions of this phase diagram without water vapour is showed in Figure 2 (Groot, 1988). It puts in evidence the HA calcium phosphate phase which is in the scope of the present work.

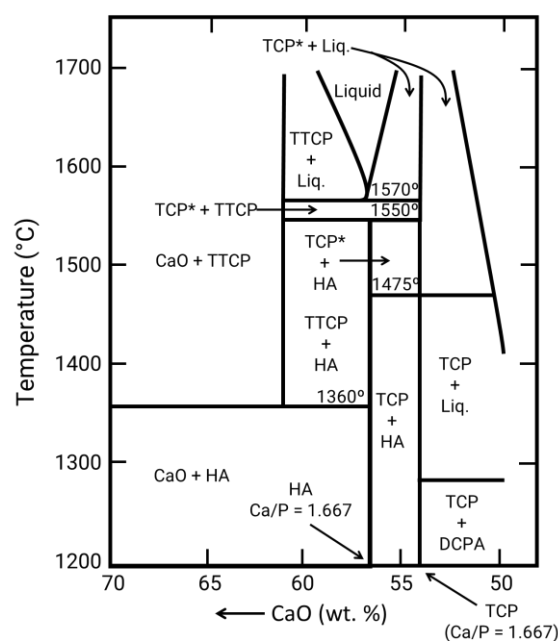


Figure 2. Phase diagram of  $\text{CaO}/\text{P}_2\text{O}_5$  mixtures without water vapour. Adapted from (Groot, 1988). TCP\* refers to the super  $\alpha$  polymorph (also called alpha prime,  $\alpha'$ ) of tricalcium phosphate obtained at temperatures above approximately  $1500^\circ\text{C}$  (Hench and Best, 2013).

Table 3 summarizes the values of solubility, density, and pH stability ranges of the CaP materials having more interest in biomedical applications. A clear relation between a lower Ca/P atomic ratio with higher solubility and acidity of the CaP phase can be observed. The comparative solubility in acidic buffer decreases in the following order: ACP  $\gg$   $\alpha$ -TCP  $\gg$   $\beta$ -TCP  $>$  CDHA  $\gg$  HA. The information of their crystallographic structure is summarized in Table 4.

Table 3. Solubility, density and pH stability ranges of CaP phases of interest for biomedical applications. Adapted from (Eliaz and Metoki, 2017)

Ca/P Molar Ratio	Name	pH Stability Range	Density ( $\text{g}\cdot\text{cm}^{-3}$ )	Solubility at $25^\circ\text{C}$ , $-\log(\text{K}_s)$	Solubility at $25^\circ\text{C}$ , $\text{g/L}$
0.5	MCPM	0.0–2.0	2.22	1.14	~18
1.0	DCPA	2.0–5.5 ( $>80^\circ\text{C}$ )	2.929	6.90	~0.048
1.0	DCPD	2.0–6.0	2.319	6.59	~0.088
1.33	OCP	5.5–7.0	2.673	96.6	~0.0081
1.5	$\alpha$ -TCP	Precipitated from aqueous solutions only at $T > 1125^\circ\text{C}$	2.814	25.5	~0.0025
1.5	$\beta$ -TCP	Precipitated from aqueous solutions only at $T > 800^\circ\text{C}$	3.067	28.9	~0.0005
1.2–2.2	ACP	~5–12*	-	**	-
1.50–1.67	CDHA	6.5–9.5	-	85.1	~0.0094
1.67	HA	9.5–12.0	3.155	116.8	~0.0003
2.0	TTCP	Precipitated from aqueous solutions only at $T > 1300^\circ\text{C}$	3.056	38–44	~0.0007

\* Always metastable. The composition of the precipitate depends on the composition and pH of the electrolyte solution. \*\* Cannot be measured precisely. However, the following values were found:  $25.7 \pm 0.1$  ( $\text{pH} = 7.40$ ),  $29.9 \pm 0.1$  ( $\text{pH} = 6.00$ ),  $32.7 \pm 0.1$  ( $\text{pH} = 5.28$ ).

Table 4. Crystallographic data on selected CaP phases. Adapted from (Eliaz and Metoki, 2017)

Name	Space Group	Unit Cell Parameters	JCPDS <sup>*,**</sup> File	Main diffraction lines
MCPM	Triclinic $P\bar{1}$	$a = 6.250, b = 11.892, c = 5.629 \text{ \AA}$ $\alpha = 96.67^\circ, \beta = 114.20^\circ, \gamma = 92.95^\circ$	00-009-0347	
DCPA	Triclinic $P\bar{1}$	$a = 6.910, b = 6.627, c = 6.998 \text{ \AA}$ $\alpha = 96.34^\circ, \beta = 103.82^\circ, \gamma = 88.33^\circ$	00-003-0398, 00-004-0513, 00-009-0080, 01-075-1520, 04-009-3755, 04-009-6216, 04-011-3070	
DCPD	Monoclinic $Ia$	$a = 5.812, b = 15.180, c = 6.239 \text{ \AA}$ $\beta = 116.42^\circ$	00-009-0077, 00-011-0293, 04-008-2081, 04-013-3344	
OCP	Triclinic $P\bar{1}$	$a = 9.529, b = 18.994, c = 6.855 \text{ \AA}$ $\alpha = 92.33^\circ, \beta = 90.13^\circ, \gamma = 79.93^\circ$	00-026-1056, 00-044-0778, 04-013-3883, 04-016-3473	
$\alpha$ -TCP	Orthorhombic (Monoclinic $P2_1/a$ )	$a = 15.220, b = 20.710, c = 9.109 \text{ \AA}$ $\alpha = \beta = \gamma = 90^\circ$	<b>00-009-0348</b> , 04-018-9895, 00-029-0359	441, 170
$\beta$ -TCP	Rhombohedral $R3c$	$a = b = 10.439, c = 37.375 \text{ \AA}$ $\alpha = \beta = 90.00^\circ, \gamma = 120.00^\circ$	<b>00-009-0169</b> , 00-070-2065, 04-002-4776, 04-008-8714	0.2.10
CDHA	Hexagonal $P6_3/m$	$a = b = 9.4157-9.4490,$ $c = 6.8777-6.8865 \text{ \AA}$ $\alpha = \beta = 90^\circ, \gamma = 120^\circ$	00-046-0905	
HA	Monoclinic $P2_1/b$ or Hexagonal $P6_3/m^{***}$	$a = 9.84214, b = 2a, c = 6.8814 \text{ \AA}$ , $\gamma = 120.00^\circ$ (monoclinic). $a = b = 9.418, c = 6.884 \text{ \AA}$ $a = b = 90.00^\circ, \gamma = 120.00^\circ$ (hexagonal)	<b>00-009-0432, 00-072-1243</b> , 00-024-0033, 01-074-0565, 01-074-0566, 01-084-1998, 01-089-4405, 04-007-2837, 04-007-5086, 04-016-1185	210, 211
TTCP	Monoclinic $P2_1$	$a = 7.018, b = 11.980, c = 9.469 \text{ \AA}$ , $\alpha = \gamma = 90.00^\circ, \beta = 90.88^\circ$	<b>00-025-1137</b> , 070-1379, 00- 011-0232, 00-025-1137	040

<sup>\*</sup>JCPDS: Joint Committee on Powder Diffraction Standards; <sup>\*\*</sup>In bold: Standard ISO 13779-3:2008 recommended files for use in experimental studies; <sup>\*\*\*</sup>At temperatures of  $< 212^\circ\text{C}$  stoichiometric HA is monoclinic. However, in biology, a transformation from monoclinic to hexagonal can be induced due to small quantities of impurities.

The following described CaP phases are relevant in the context of this work either they are used as the main material for the 3D-printing technology operated in the present thesis or they appear as by-products during the shaping process:

-Hydroxyapatite (HA):

Stoichiometric HA, also called hydroxylapatite (HA), has the chemical formula  $\text{Ca}_5(\text{PO}_4)_3(\text{OH})$  but it is usually written  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$  to denote that the hexagonal unit cell is comprised of two repeating patterns. It is named pentacalcium hydroxide tris(phosphate) in the IUPAC nomenclature. After fluorapatite (FA), HA is the second most stable and least soluble of CaPs (see Table 3). Although not highly soluble, HA is used as a model for the inorganic component of bones and teeth, thanks to the ability of its surface to provide nucleating sites for precipitation of apatite crystals in culture medium (typically, saturated with calcium and phosphate ions) and in body fluids. HA is recognized as a bioactive substance, since it forms a strong chemical bond with host bone tissue, for hence it is considered as a good bone graft material. It is also osteoconductive, non-toxic, non-immunogenic, and its crystallographic structure is similar to that of bone mineral with an adequate amount of carbonate substitution.

Stoichiometric HA has been described as monoclinic, space group  $P2_1/b$  (see Table 4). However, the most common form in biology and medicine is hexagonal and comes after a monoclinic-to-hexagonal phase transition at temperatures above  $\sim 250^\circ\text{C}$ . This crystal structure has been described in the space group  $P63/m$  (No. 176) with lattice parameters  $a = b = 9.432 \text{ \AA}$  and  $c = 6.881 \text{ \AA}$ ,  $Z = 1$ . The hexagonal phase of HA is more stable at ambient temperature due to the strains in the hexagonal lattice produced by pointing either upward or downward of the hydroxide ions in the structure. These strains are compensated by substitutions or ion vacancies. Thus, the hexagonal phase of HA is a common form in biology and medicine (Dorozhkin, 2009). This hexagonal structure is illustrated in Figure 3.

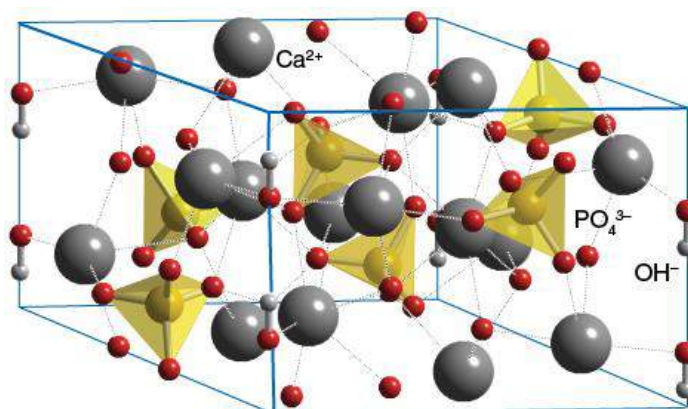


Figure 3. The unit cell of hexagonal HA (space group  $P63/m$ ). Retrieved from (Eliaz and Metoki, 2017).

It is known, HA is osteoconductive, but not osteoinductive; these properties between others can be turned through ionic substitution although (Samavedi et al., 2013). The anionic substitution of carbonate for phosphate has been shown to increase HA solubility (and bioactivity), while the substitution of fluoride for hydroxide increases stability. Other studies have demonstrated that cationic substitutions such as magnesium in place of calcium can have favourable biological effects by providing trace ions. There are several JCPDS files for HA (Table 4). The difference between them is both the crystal orientation and in pairing with a crystallographic system.

Different studies showed that the atomic Ca/P ratio in biomineralized tissues can vary significantly due to ion substitutions and vacancies. HA is recognized as the final solid mineral of the bone. All other phases are considered minor or precursor phases, they are acid-stable and will convert to the thermodynamically stable HA at high pH (Palmer et al., 2008). The key properties of HA are summarized in Table 5.

Table 5. Physicochemical, mechanical and biological properties of HA. Adapted from (Eliaz and Metoki, 2017)

Property	Value	Comments
Binding energy	-280.6 eV	
Kohn-Sham gap	-5.4 eV	
Dielectric constant	7.40-10.47	-
Thermal conductivity	0.013 W / (cm.K)	-
Relative density	95%-99.5%	-
Decomposition temperature	>1000 °C	-
Melting point	1614 °C	-
Tensile strength	38-300 MPa ~3 MPa	For dense HA For porous HA
Compressive strength	120-900 MPa 2-100 MPa	For dense HA For porous HA
Bending strength	38-250 MPa 2-11 MPa	For dense HA For porous HA
Young's (elastic) modulus	35-120 GPa	For dense HA
Fracture toughness	0.7-1.2 MPa·m <sup>1/2</sup>	Decreases almost linearly with porosity
Fracture energy	2.3-20 J/m <sup>2</sup>	Behaves like a typical brittle ceramic
Vickers hardness	3-7 GPa	For dense HA
Poisson's ratio	0.27	For synthetic HA (bones ~0.3)
Biocompatibility	High	-
Bioactivity	High	-
Biodegradation	Low	-
Cellular compatibility	High	-
Osteoinduction	Nil	-
Osteoconduction	High	-

It has been found, the higher the Ca/P atomic ratio the higher the strength of HA is, reaching the maximum at Ca/P ~1.67 and decreasing suddenly when Ca/P > 1.67 (Suchanek and Yoshimura, 1998). Moreover, the strength decreases almost exponentially with increased porosity. Although, changing the pore geometry it is possible to modulate the strength of porous bioceramics. Porous HA is quite less fatigue resistant than dense HA. Exists an anisotropy in the stress-strain behaviour of perfect HA crystals (Ching et al., 2009).

However, well-crystalline HA can be prepared from aqueous solutions. We can find different clinical uses of HA due to the chemical and phase (and, sometimes, shape) similarities to the biological mineral in bone and teeth. Synthetic HA has been widely used as a coating on orthopaedic and dental implants range from augmenting atrophic alveolar ridges to repairing long bone defects, ununited bone fractures, middle ear prostheses, spinal fusions, and craniofacial repair. HA nanoparticles, as well as scaffolds, have been used also for controlled drug delivery. For further information, different reviews of these and other applications have been previously reported (Aoki, 1991; C. C. Berndt and Gross, 2002; Damien and Revell, n.d.; de Groot et al., 1998; Ferraz et al., 2004).

-Ca-deficient Hydroxyapatite (CDHA):

Ca-deficient hydroxyapatite (CDHA) with chemical formula  $\text{Ca}_{10-x}(\text{HPO}_4)_x(\text{PO}_4)_{6-x}(\text{OH})_{2-x}$  ( $0 < x < 1$ ), belongs to the apatite family. Comparing its structure with the stoichiometric hydroxyapatite both have some ions of calcium and hydroxide missing and incorporating protons. However, in the CDHA these protons replaced some of  $\text{PO}_4^{3-}$  ions with  $\text{HPO}_4^{2-}$ . The formation of  $\text{HPO}_4^{2-}$  is due to the occupation by  $\text{H}_2\text{O}$  molecules at the vacant  $\text{OH}^-$  sites and hydrogen bonding to the nearest  $\text{PO}_4^{3-}$ . The loss of  $\text{OH}^-$  ions from its unit cell is reflected in the  $\text{OH}^-$  stretching and vibrational bands, less intense in CDHA compared with that of stoichiometric HA. CDHA has a higher chemical activity than pure HA and it is possible to synthesize it by a homogeneous precipitation method using solutions with a Ca/P ratio of 1.00-1.67. It seems to be more efficient in inducing the precipitation of bone-like apatite than stoichiometric HA; naturally, it is known that CDHA plays an important role in bone remodelling and bone formation. It shows high solubility in water or body fluids while high crystalline pure HA exhibit low solubility. Comparing with  $\beta$ -TCP, it shows more favourable properties with a higher reproducibility of the seeding efficacy and it has been considered as new bone substitution material due to its high specific surface area (Dorozhkin, 2009; Elkhooly, 2008).

- Tricalcium phosphate (TCP) polymorphs

TCP has greater solubility than HA and is rapidly resorbed in vivo with new bone growth replacing the implanted TCP. It is thermodynamically stable only at elevated temperatures (1000–1500°C). The term TCP is used to designate phases with the chemical composition  $\text{Ca}_3(\text{PO}_4)_2$  with a Ca/P ratio close to 1.5. There are four TCP polymorphs:  $\alpha$ ;  $\beta$ ;  $\gamma$ ; and super  $\alpha$ . The  $\gamma$  polymorph is a high-pressure phase while the super  $\alpha$  (or  $\alpha'$ ) polymorph is observed at temperatures above approximately 1500°C. Then, the most frequently observed polymorphs in bioceramics are  $\alpha$ - and  $\beta$ -TCP (Hench and Best, 2013):

○  $\beta$ -Tricalcium Phosphate ( $\beta$ -TCP):

$\beta$ -TCP cannot be precipitated from aqueous solutions. Mg-stabilized  $\beta$ -TCP (Whitlockite) has been identified during pathological calcification, such as dental calculus formation and in renal stones, as well as in arthritic cartilage. However,  $\beta$ -TCP has not been observed in enamel, dentin, or bone. In biomedical applications,  $\beta$ -TCP is used in CaP bone cement and other types of bone substitution bioceramics, as well as in dentistry. HA in combination with  $\beta$ -TCP both form BCP and is used as a bone-substitution bioceramic. A review of the toxicology of  $\beta$ -TCP and other CaPs as food ingredients is provided in the Ref. (Weiner et al., 2001). Due to the low interfacial energy of  $\beta$ -TCP to apatite, it can provoke the precipitation of an apatite layer upon incubation in aqueous ionic solutions.  $\beta$ -TCP is purely osteoconductive, while with the addition of bone marrow aspirate is possible to provide it too osteoinductive and osteogenic factors to the scaffold material (Elkhooly, 2008).

○  $\alpha$ -Tricalcium Phosphate ( $\alpha$ -TCP):

$\alpha$ -TCP may be considered a high-temperature phase of  $\beta$ -TCP since  $\alpha$ -TCP can be prepared from  $\beta$ -TCP by heating at above 1125°C and quenching to prevent the reverse transformation.  $\alpha$ -TCP is more reactive in aqueous systems than  $\beta$ -TCP, it has a higher specific energy, and can be hydrolysed in aqueous

solutions to CDHAP. The main problem to use  $\alpha$ -TCP in bone replacement is its quick resorption rate (faster than the formation of a new bone), limiting its use in biomedical applications.

-Tetracalcium Phosphate (TTCP):

Tetracalcium phosphate is the compound  $\text{Ca}_4(\text{PO}_4)_2\text{O}$ . It is the most basic of the calcium phosphates and has a Ca/P ratio of 2, making it the most phosphorus-poor calcium phosphate. TTCP cannot be precipitated from aqueous solutions. It can be prepared only by a solid-state reaction above  $1300^\circ\text{C}$ . This reaction has to be carried out under a dry atmosphere or vacuum to avoid the decomposition of TTCP to HA due to the presence of water vapour. TTCP is usually obtained as an unwanted byproduct as a result of the thermal decomposition of HA to a mixture of high-temperature phases of  $\alpha$ -TCP, TTCP, and CaO.

-Biphasic calcium phosphate (BCP):

Biphasic calcium phosphates (BCPs) are a family of two-phase ceramics that combine the low solubility and osteoconductivity of HA with the osteoinductivity of a more soluble phase such as TCP. It has been used as a bone graft material during the last decade. It can be produced by mixing HA and TCP, or through the sintering of CDHA at a high temperature ( $>700^\circ\text{C}$ ) to result in a mixture of two different phases. The HA/TCP ratio is determined by the calcium deficiency of the unsintered apatite (the higher the deficiency, the lower the ratio) and the sintering temperature. Depending on the method of preparation (precipitation, hydrolysis or mechanical mixture) and the conditions of the reaction (pH and temperature) different calcium deficiency is obtained. The bioreactivity of BCP bioceramics can be tuned by manipulating the composition (HA/ $\beta$ -TCP ratio) and/or its crystallinity. Currently, BCP bioceramics are recommended for use as an alternative or additive to the autogenous bone for orthopaedic and dental application. Different ratios of HA/TCP ceramics have been compared as scaffolds for optimum stem-cell induced bone formation. A ratio of 20/80 HA/TCP was found to have the greatest effect on bone formation, where pure HA and pure TCP had the least effect on bone formation (Daculsi and Legeros, 2008).

### 1.3 Substituted hydroxyapatite (SHA)

Numerous reviews have reported the production and properties of CaP materials, more importantly for HA. One of the most recent reviews summarizing the HA was written by Sadat-Shojai et al. (Sadat-Shojai et al., 2013). The advantages and disadvantages involved in each method are clarified in detail. It is also possible to obtain the CaPs mineral phase from a wide variety of natural sources. In this case, the material is defined as bioapatite (BAP), serving as a secondary option to synthetic apatite. When nanosized BAP is isolated and obtained from biogenic sources can be considered as the best material for bone tissue regeneration, exhibiting higher resorbability and bioactivity than synthetic HA. Because of this, many scientific studies and research projects have tried to chemically and structurally mimic the BAP through the ionic substitution of synthetic HA. These substitutions affect the lattice parameters and crystallinity of the substituted hydroxyapatite (SHA) influencing its solubility. Bone-forming agents, microbial agents, and drug delivery systems are some of the applications of these SHAs. Geological apatites are examples of ionic substitution in apatites. The geological conditions reached such as the variety of elements available and the high temperatures make apatite susceptible to substitutions.  $\text{OH}^-$ ,  $\text{F}^-$ , and  $\text{Cl}^-$  anions (also called column anions), can be incorporated in the channel sites in almost any proportion constituting the stoichiometric core apatites. Then, the phosphate group can be substituted by anionic complexes, while calcium can be replaced by bivalent and trivalent metal cations (Figure 4). Half of the elements of the periodic system can be incorporated in apatite atomic structure, although the conditions needed for it make it unfeasible in a laboratory (Šupová, 2015).

The scope of this work involves high-temperature processing, thus requiring a certain familiarization with the thermal stability and transition upon heating of HA and SHAs. Substitution of calcium and phosphates in SHAs produce a phase instability at temperatures less than  $900^\circ\text{C}$ . However, for the anion substitution at the hexagonal axis, F in FA and Cl in CIA are the last to leave upon heating, leading to high-temperature stability. To summarize these substitutions, they can be better represented in an onion diagram, with the stoichiometric apatite (HA, FA, and CIA) in the inner circle followed by anionic substitutions, replacing  $\text{PO}_4$ , ordered in a decreasing substitution capability. The rest onion layers involve monovalent, divalent and trivalent cation substitutions of calcium (Tõnsuaadu et al., 2012).

The anion position in the hexagonal axis plays an important role in the stability of apatite decreasing in the following order  $\text{F} > \text{Cl} > \text{OH}$ . Thus, fluoride is the most negative and is small enough to be located in between the calcium triangle giving high stability to the FA. It melts at  $1644^\circ\text{C}$  and decomposes in a dry atmosphere. In stability, it is followed by CIA which undergoes a phase change from hexagonal to monoclinic upon heating at  $200\text{--}300^\circ\text{C}$  and is stable to  $1200^\circ\text{C}$  when pores, large grains, and point cracks are observed. It has poor sinterability compared with HA. CIA as well as all apatites can lose the column anions when heating between  $900$  and  $1200^\circ\text{C}$  in vacuum (Tõnsuaadu et al., 2012). The use of CIA as a substitute for HA in plasma spraying has been studied showing a lower decomposition of the previous one, thus confirming higher thermal stability (Demnati et al., 2015).

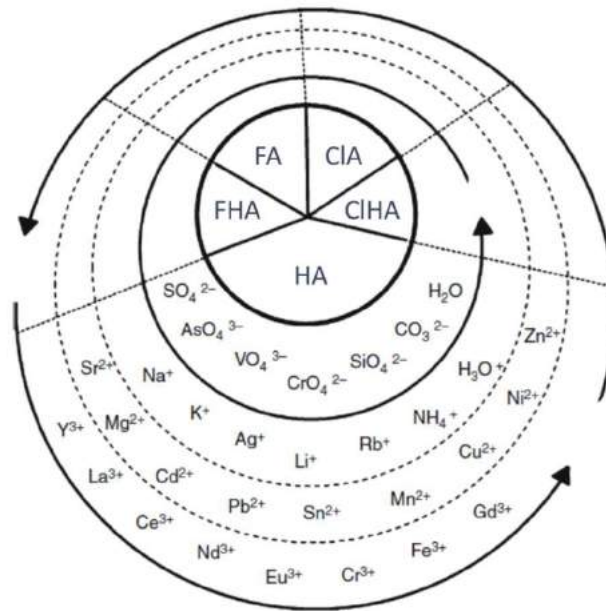


Figure 4. Onion diagram with core apatites in the centre and substitution elements in different layers. Anionic substitutions in the inner layer, followed by monovalent, divalent and trivalent cationic substitutions in the outer layers. Ordered in a decreasing ability of substitution. Retrieved from (Tõnsuaadu et al., 2012). FHA = Fluorine-substituted HA, ClHA = Chlorine-substituted HA.

Figure 5 summarizes the thermal stability and the transitions due to loss of water, carbonate and decomposition of apatites. Carbonate substitution of apatites produces thermal instability, while as explained above, between core apatites the FA is the most stable followed by CIA and HA.

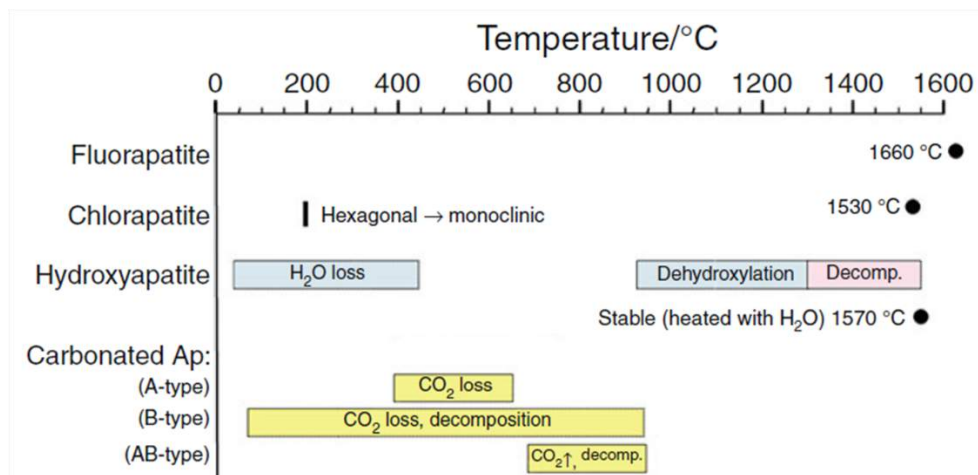


Figure 5. Thermal events for apatites (FA, CIA, HA, and carbonated apatites). (Black circles and black bar (CIA) indicate the melting point and phase change, respectively). Adapted from (Tõnsuaadu et al., 2012).

The knowledge of the thermal stability between different apatites was of special interest since the processing of these materials at high temperatures was within our scope. The comparison between the performance of some of them in additive manufacturing technologies was studied and will be detailed in future chapters.


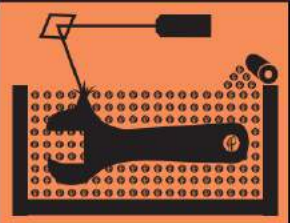


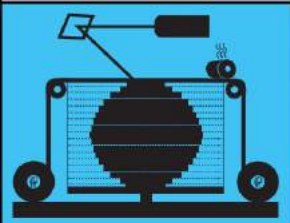
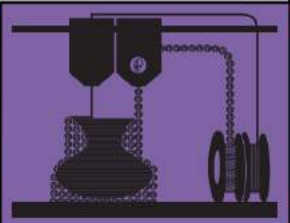


## 2. Additive manufacturing for hydroxyapatite bone scaffolds production

### 2.1 Introduction to additive manufacturing technologies

Since the introduction of the additive manufacturing (AM) concept by Chuck Hull in 1986 via a process known as “stereolithography (SLA)” (Hull, 1986; Japanese Technology Evaluation Center (Loyola College in Maryland) et al., 1997), it has been used for many applications without the need for specific tooling. For most people, the term 3D printing conjures up only images of desktop polymer extrusion printers, but it embraces much more than that. In the last 30 years, the focus of 3D printing has grown from making prototypes using vats of resin and beds of powder, to include making production parts with metal and ceramics. These processes have collectively now become referred to as additive manufacturing (AM). ASTM and ISO (ISO/ASTM 52900) standardization activities have grouped additive processes into seven categories, however some new technologies are emerging, such as the AMBIT™ which is a combination of AM and machining in a single machine (Figure 6).

Additive manufacturing integrates such techniques as computer-aided design (CAD), computer-aided manufacturing, numerical control techniques, laser techniques, polymers, and 3D computed tomography techniques. The 3D geometry information is determined by CAD models of the parts, or by scanning objects in two dimensions and processing the acquired data into a 3D model. Then a 3D sample is fabricated from sheet material or powder (Wen et al., 2017).

The growth of such techniques in the area of biomedical devices has been slow due to the strict analysis criteria, reproducibility and part quality production in such a recent technology. However, the use of AM technologies in bone tissue engineering has been growing in recent years (Table 6).

<b>Quick Reference: 7 Families of Additive Manufacturing</b> According to ISO/ASTM52900-15 (formerly ASTM F2792)			
			
<b>VAT PHOTOPOLYMERIZATION</b>	<b>POWDER BED FUSION (PBF)</b>	<b>BINDER JETTING</b>	<b>MATERIAL JETTING</b>
<b>Alternative Names:</b> SLA™ - Stereolithography Apparatus DLP™ - Digital Light Processing 3SP™ - Scan, Spin, and Selectively Photocure CLIP™ - Continuous Liquid Interface Production	<b>Alternative Names:</b> SLS™ - Selective Laser Sintering; DMLS™ - Direct Metal Laser Sintering; SLM™ - Selective Laser Melting; EBM™ - Electron Beam Melting; SHS™ - Selective Heat Sintering; MJF™ - Multi-Jet Fusion	<b>Alternative Names:</b> 3DP™ - 3D Printing ExOne Voxeljet	<b>Alternative Names:</b> Polyjet™ SCP™ - Smooth Curvatures Printing MJM - Multi-Jet Modeling Projet™
<b>Description:</b> A vat of liquid photopolymer resin is cured through selective exposure to light (via a laser or projector) which then initiates polymerization and converts the exposed areas to a solid part.	<b>Description:</b> Powdered materials is selectively consolidated by melting it together using a heat source such as a laser or electron beam. The unfused powder surrounding the consolidated part acts as a support material for overhanging features.	<b>Description:</b> Liquid bonding agents are selectively applied onto thin layers of powdered material to build up parts layer by layer. The binders include organic and inorganic materials. Metal or ceramic powdered parts are typically fired in a furnace after they are printed.	<b>Description:</b> Droplets of material are deposited layer by layer to make parts. Common varieties include jetting a photocurable resin and curing it with UV light, as well as jetting thermally molten materials that then solidify in ambient temperatures.
<b>Strengths:</b> <ul style="list-style-type: none"> <li>• High level of accuracy and complexity</li> <li>• Smooth surface finish</li> <li>• Accommodates large build areas</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• High level of complexity</li> <li>• Powder acts as support material</li> <li>• Wide range of materials</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• Allows for full color printing</li> <li>• High productivity</li> <li>• Uses a wide range of materials</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• High level of accuracy</li> <li>• Allows for full color parts</li> <li>• Enables multiple materials in a single part</li> </ul>
<b>Typical Materials</b> UV-curable Photopolymer Resins (with various fillers)	<b>Typical Materials</b> Plastics, Metal and Ceramic Powders, and Sand	<b>Typical Materials</b> Powdered Plastic, Metal, Ceramics, Glass, and Sand.	<b>Typical Materials</b> Photopolymers, Polymers, Waxes
			
<b>SHEET LAMINATION</b>	<b>MATERIAL EXTRUSION</b>	<b>DIRECTED ENERGY DEPOSITION (DED)</b>	<b>HYBRID</b>
<b>Alternative Names:</b> LOM - Laminated Object Manufacture SDL - Selective Deposition Lamination UAM - Ultrasonic Additive Manufacturing	<b>Alternative Names:</b> FFF - Fused Filament Fabrication FDM™ - Fused Deposition Modeling	<b>Alternative Names:</b> LMD - Laser Metal Deposition LENS™ - Laser Engineered Net Shaping DMD™ - Direct Metal Deposition	<b>Alternative Names:</b> AMBIT™ - Created by Hybrid Manufacturing Technologies
<b>Description:</b> Sheets of material are stacked and laminated together to form an object. The lamination method can use adhesives or chemical bonding (paper/plastics), ultrasonic welding, or brazing (metals). Unneeded regions are usually cut layer by layer and removed after the object is built.	<b>Description:</b> Material is extruded through a nozzle or orifice in tracks or beads, which are then combined into multi-layer models. Common varieties include heated thermoplastic extrusion (similar to a hot glue gun) and syringe dispensing.	<b>Description:</b> Powder or wire is fed into a melt pool which has been generated on the surface of the part where it adheres to the underlying part or layers by using an energy source such as a laser or electron beam. This is essentially a form of automated build-up welding.	<b>Description:</b> Laser metal deposition (a form of DED) is combined with CNC machining, which allows additive manufacturing and 'subtractive' machining to be performed in a single machine so that parts can utilize the strengths of both processes.
<b>Strengths:</b> <ul style="list-style-type: none"> <li>• High volumetric build rates</li> <li>• Relatively low cost (non-metals)</li> <li>• Allows for combinations of metal foils, including embedding components.</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• Inexpensive and economical</li> <li>• Allows for multiple colors</li> <li>• Can be used in an office environment</li> <li>• Parts have good structural properties</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• Not limited by direction or axis</li> <li>• Effective for repairs and adding features</li> <li>• Multiple materials in a single part</li> <li>• Highest single-point deposition rates</li> </ul>	<b>Strengths:</b> <ul style="list-style-type: none"> <li>• Smooth surface finish AND High Productivity</li> <li>• Geometrical and material freedoms of DED</li> <li>• Automated in-process support removal, finishing, and inspection</li> </ul>
<b>Typical Materials</b> Paper, Plastic Sheets, and Metal Foils/Tapes	<b>Typical Materials</b> Thermoplastic Filaments and Pellets (FFF); Liquids, and Slurries (Syringe Types)	<b>Typical Materials</b> Metal Wire and Powder, with Ceramics	<b>Typical Materials</b> Metal Powder and Wire, with Ceramics

Created and designed by Hybrid Manufacturing Technologies. Copyright 2015-2018. For more information go to [www.hybridmanutech.com](http://www.hybridmanutech.com)

Figure 6. Quick reference guide of AM technologies. It provides a basic illustration of each process and an overview description of how it works. Retrieved with permission from Hybrid™ Manufacturing Technologies ([hybridmanutech.com](http://hybridmanutech.com)).

Table 6. AM techniques used for bone scaffold fabrication through calcium phosphate materials feedstock. Adapted from (Bose et al., 2013). MBAM = Methylenebisacrylamide, PLLA = Poly(lactic acid), PPF = Poly(propylene fumarate), DEF = Diethyl fumarate, PDLLA = Poly-d,l-lactic acid, PP = Polypropylene, PCL= Polycaprolactone.

Technique	Process details	Processed materials for bone tissue engineering	Advantages (+) and disadvantages (-)
<b>3D plotting/direct ink writing</b>	<ul style="list-style-type: none"> <li>→ Strands of paste/viscous material (in solution form) extrusion based on the predesigned structure</li> <li>→ Layer by layer deposition of strands at a constant rate, under specific pressure</li> <li>→ Disruption of strands according to the tear of speed</li> </ul>	<ul style="list-style-type: none"> <li>→ HA<sup>1</sup></li> </ul>	<ul style="list-style-type: none"> <li>+:</li> <li>→ Mild condition of the process allows drug and biomolecules (proteins and living cells) plotting</li> <li>-:</li> <li>→ Heating/post-processing needed for some materials restricts the biomolecule incorporation</li> </ul>
<b>Laser-assisted bioprinting (LAB)</b>	<ul style="list-style-type: none"> <li>→ Coating the desired material on a transparent quartz disk (ribbon)</li> <li>→ Deposition control by laser pulse energy</li> <li>→ Resolution control by the distance between ribbon/substrate, spot</li> <li>→ size and stage movement</li> </ul>	<ul style="list-style-type: none"> <li>→ HA<sup>2</sup></li> <li>→ HA/MG63 osteoblast-like cell<sup>3</sup></li> <li>→ Nano HA<sup>4</sup></li> </ul>	<ul style="list-style-type: none"> <li>+:</li> <li>→ Ambient condition</li> <li>→ Applicable for organic, inorganic materials and cells</li> <li>→ Quantitatively controlled</li> <li>→ 3D stage movement</li> <li>→ Homogeneous ribbons needed</li> </ul>
<b>Selective laser sintering (SLS)</b>	<ul style="list-style-type: none"> <li>→ Preparing the powder bed</li> <li>→ Layer by layer addition of powder</li> <li>→ Sintering each layer according to the CAD file, using a laser source</li> </ul>	<ul style="list-style-type: none"> <li>→ Nano HA<sup>5</sup></li> <li>→ CaPs/PHBV<sup>6</sup></li> <li>→ Carbonated HA/PLLA<sup>6</sup></li> </ul>	<ul style="list-style-type: none"> <li>+:</li> <li>→ No need for support</li> <li>→ No post-processing is needed</li> <li>-:</li> <li>→ Feature resolution depends on laser beam diameter</li> </ul>
<b>Stereolithography (SLA)</b>	<ul style="list-style-type: none"> <li>→ Immersion of platform in a photopolymer liquid</li> <li>→ Exposure to focused light according to the desired design</li> <li>→ Polymer solidifying at the focal point, the non-exposed polymer remains liquid,</li> <li>→ Layer by layer fabrication by platform moving downward</li> </ul>	<ul style="list-style-type: none"> <li>→ PPF/DEF-HA<sup>7</sup></li> <li>→ PDLLA/HA<sup>8</sup></li> <li>→ Acrylamide/MBAM/<math>\beta</math>-TCP<sup>9</sup></li> </ul>	<ul style="list-style-type: none"> <li>+:</li> <li>→ Complex internal features can be obtained</li> <li>→ Growth factors, proteins, and cell patterning is possible</li> <li>-:</li> <li>→ Only applicable for photopolymers</li> </ul>

*Bibliographic introduction*

<b>Fused deposition modelling (FDM)</b>	→ Strands of heated polymer/ceramics extrusion through the nozzle	→ PP/β-TCP <sup>10</sup> → PCL/β-TCP <sup>11,12</sup>	+: → No need for platform/support -: → Material restriction due to the need for molten phase
<b>Robotic-assisted deposition/robocasting</b>	→ Direct writing of liquid using a nozzle → Consolidation through a liquid-to-gel transition	→ HA/PLA <sup>13</sup> → HA/PCL <sup>13</sup>	+: → Independent 3D nozzle movement → Precise control on thickness → No need for platform/support -: → Material restriction

<sup>1</sup>(Detsch et al., 2008)<sup>2</sup>(Doraiswamy et al., 2007)<sup>3</sup>(Harris et al., 2008)<sup>4</sup>(Catros et al., 2011)<sup>5</sup>(Shuai et al., 2011)<sup>6</sup>(Duan et al., 2010a)<sup>7</sup>(Lee et al., 2009)<sup>8</sup>(Ronca et al., 2013)<sup>9</sup>(Bian et al., 2011)<sup>10</sup>(Kalita et al., 2003)<sup>11</sup>(Lam et al., 2007)<sup>12</sup>(Lam et al., 2009)<sup>13</sup>(Russias et al., 2007).

In the present work, we will focus our explanation on Powder Bed Fusion (PBF) and VAT photopolymerization categories, specifically on powder bed selective laser processing (PBSLP) and masked stereolithography (MSLA) techniques. These two technologies were envisaged for the preparation of the ceramic feedstock and their feasibility was tested. Important differences exist between these two techniques regarding the powder/slurry feedstock requirements. The process description, powder feedstock criteria, process parameters, and a summary of the most important works published on the application of these techniques for the processing of calcium phosphates are detailed in the following sections.

## 2.1 Powder bed selective laser sintering (PBSLP)

The term PBSLP has been recently defined as a substitute of the traditional but not properly standardized terms: selective laser sintering and selective laser melting. Both techniques are part of the Powder Bed Fusion (PBF) category, ISO/ASTM 52900 “Additive manufacturing—General principles—Terminology” but due to the inclusion of ceramics as material feedstock, the use of these terms to describe the process became uncertain. The reason is the fact that some materials such as ceramics experience a change in their composition before sinter/melt. Then, as the process induces densification from powders to produce a solid part by increasing the temperature both phenomena can be carried out, however, the exact mechanism taking place in ceramics is not evidenced yet. Therefore, to avoid a misusing of the terms already mentioned, this new term, powder bed selective laser processing, was proposed by Grossin et al. (Grossin, Monton, Navarrete-Segado et al., 2021) which will be employed for the rest of this work.

The technique is based on the creation of 3D solid pieces from computer-aided design/manufacturing (CAD/CAM) data by processing (sintering/melting) of powdered materials by laser irradiation through the consequence of multiple layers. The laser is considered the most important part of the PBSLP machine. Commercial printers are equipped with CO<sub>2</sub> ( $\lambda$ :10600 nm) and/or Nd:YAG ( $\lambda$ :1064 nm) lasers. Then, other relevant parts of their design are the two pistons forming the building platform and powder reservoir, and the layer deposition device. Each layer starts with the coordination of the movement of the pistons and the layer deposition device creating a homogeneous powder bed with controlled thickness before laser irradiation. The exceeded powder is collected and can be sieved to remove partially treated powder for recycling.

Laser type, spot size and energy density are three parameters that should be studied meticulously evaluated for each material. These and other parameters will be deeply discussed in future sections. In addition, the different strategies followed to surpass the challenges found for the application of PBSLP on ceramic materials, will be examined, more specifically on calcium phosphates.

## 2.1.1 Powder feedstock criteria

**Extract corresponding to section “3.3. Powder feedstock criteria” in the review (Grossin, Monton, Navarrete-Segado et al., 2021) previously contributed by the author.**

A successful powder bed in PBSLP technologies relies on a careful optimization of the powder properties (Figure 7). Ensuring that a ceramic powder has good handling for PBSLP processing is still not possible only by analytical analysis, it is usually needed a trial-and-error investigation on the equipment as well. Even if it is not possible to evaluate the handling of the powder with an exact accuracy there are different existing methods to evaluate their main features and deliver valuable information helping on the development of new or improved PBSLP powder feedstock (Schmid et al., 2013).

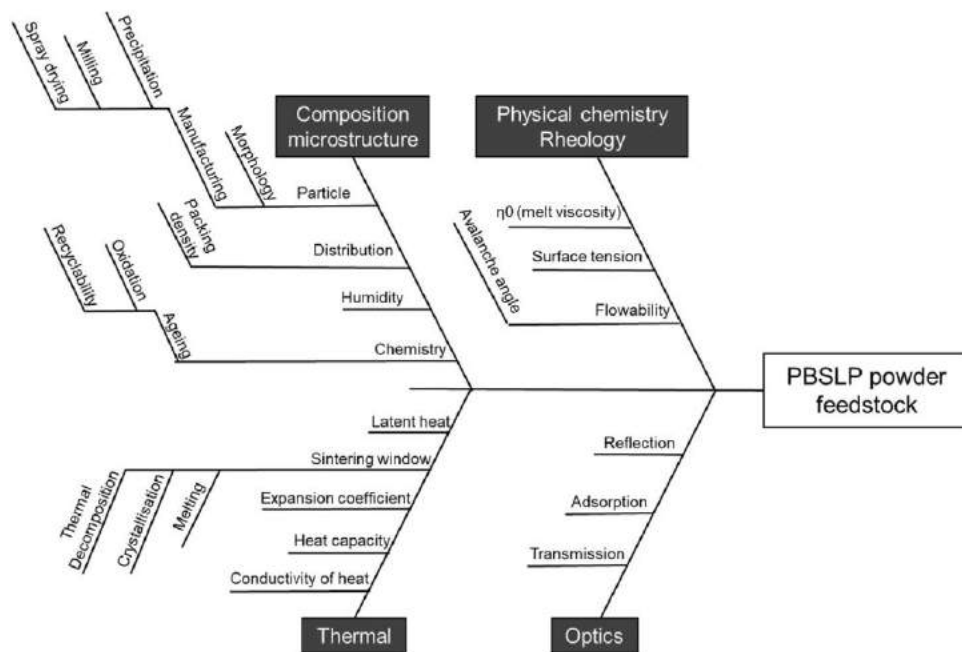


Figure 7. Ishikawa diagram with influencing parameters for PBSLP powders. Retrieved from (Grossin et al., 2021).

Powder flow behaviour is known to have a direct impact on the quality and homogeneity of a spread powder bed and for hence, on the density of the powder and parts. Spierings (Spierings et al., 2016) made an elaborate work collecting the comparisons that different authors made between existing powder flowability measurement techniques (static and dynamic) to determine the flow properties of powders (Table 7). Krantz (Krantz et al., 2009) established that no single technique is suitable for a full characterization of a powder; it is required the use different techniques to fully understand the flow properties of the powder and predict its behaviour under different process conditions. And hence, the powder flow characterization technique should match the powder application to select the most appropriate characterization technique. AM processes use a ruler or a rotating cylinder as layer creation devices, where the powders have a high free surface and can be aerated to some degree depending on the speed of the device. Minimum limits on the flowability requirements will depend on the machine-specific differences, e.g., layer creation device.

Table 7. Comparative of some existing powder flowability measurement techniques.

Powder flowability measurement technique	Details	Drawbacks
Ring shear cell tester (ASTM D6773)	- Industrial standard for measurement of powder flowability, compressive strength, compressibility, consolidation time, internal and wall friction, and bulk density.	- A compressive load is used during the assessment of powder, which does not suit well with the situation during AM process.
Hausner ratio HR (ASTM D7481-09)	- Common and widely used technique because of its simplicity.  - Hausner ratio (HR) is defined as the ratio between tapped and bulk density.	- HR measurement differs from an AM process where thin powder layers are created and no compression or tapping is applied. HR is not considered to be ideal for application in AM.  - Powders often do not reach stable density after a certain number of tapping cycles, and a high dependency on the number of tapping has been elucidated.
Angle of repose/Hall flowmeter (ISO-4490/ASTM B213)	- Recommended by ASTM as the characterization method for metal powders for AM since the methodology is closer to the AM processing conditions than other techniques.  - Time required to discharge the powder and the angle formed for the powder pile are used as a measure for flowability.	- Operators filling method can influence the results.  - The diameter of the cone opening can be too small for some powders making it difficult to quantitatively compare different powders.  - Not considered as best suited since the stress state of the powder in the developed powder cone is still different from the AM process.
Avalanche test	- Nearer to the PBSLP process than the other techniques.  - Good correlation with the angle of repose method since similar stress states are induced to the powder.  - Avalanche angle, surface fractal, volume expansion rate and many more parameters can be measured.	- Not standardized yet

Particle morphology (e.g., shape and surface) and size distribution of the powder have an important effect on the final properties of the sintered artefact since they are directly related to the flowability and packing density of a powder bed. As a rule of thumb in different scientific fields, we can assume that for powders of narrow particle size distribution, the most spherical and larger the particles, the better their flow behaviour (Fu et al., 2012; Liu et al., 2008; Lu et al., 2015; Stanford et al., 2003). The benefits of using multimodal powders as powder feedstock have been often exposed since the packing with a bimodal size distribution allows the smaller particles to fill the interstitial voids between the larger particles. A suitable size ratio between coarse and fine particles (over 1:10) and a weight fraction of large particles about 70% are the conditions showing maximum packing (Karapatis and Egger, 1999; Sofia et al., 2018, 2016).

The laser is considered the most important part of a PBSLP device. Commercial devices can be equipped with either CO<sub>2</sub> lasers or Nd:YAG lasers (also called fibre lasers), being the last one the most common for industrial applications. One of the most important properties that will influence the laser-material interaction is the capacity of the powder to absorb the laser and transform the energy into heat to be sintered/melted. This is one of the issues that researchers have to face for the development of new ceramic PBSLP powder feedstock. The wavelength of the laser should match with the maximum absorptivity of the powder to ensure the absorption of the energy. Some authors as Tolochko (Tolochko et al., 2000) and Ho (Ho et al., 2002) studied this matter with some important statements such as that the particle size distribution doesn't affect the laser absorptance of the material and that the use of composites can improve this property and hence, the interaction with the laser.

There have been some attempts of using reactive raw materials to fabricate 3D models of high-temperature structural materials like Al<sub>2</sub>O<sub>3</sub> through an exothermic combustion reaction with low laser energy (Kamitani et al., 2000). The main problem comes from connecting the layers of the piece by having different reactivity. For this reason and because there are not many works on the use of this method in ceramic materials, this topic will not be covered in this review.

### 2.1.2 Process parameters and strategy

**Extract corresponding to section “3.4. Process parameters and strategy” of the review (Grossin, Monton, Navarrete-Segado et al., 2021) previously contributed by the author.**

Many parameters belonging to the laser machine influence the build-up process and, in the end, over the part's relative density. The user must manage it to have the best performance as possible by modifying those parameters (Figure 8).

The power and the scanning speed are very related parameters since they define the amount of energy transferred in an area. While the power indicates the amount of energy transferred per second, the speed will control the time spent in the same area. An equilibrium between both has to be reached to avoid the formation of pores, either by too much or insufficient energy. For the same scanning strategy, the scanning speed, together with the hatching distance and the layer thickness will control the build rate. The build rate coefficient is the volume of material produced per hour, normally expressed in cm<sup>3</sup>.h<sup>-1</sup> and is used to compare processes productivity:

$$B = h_{space} * t_{layer} * v_{scan} \quad (1)$$

where  $B$  is the build rate coefficient (mm<sup>3</sup>.s<sup>-1</sup>),  $h_{space}$  the hatching distance (mm),  $t_{layer}$  the layer thickness (mm), and  $v_{scan}$  is the scanning speed (mm.s<sup>-1</sup>).

A higher scanning speed will lead to higher productivity; therefore, it is important to try to increase it. Always taking into account that too high speed will produce poor sintering or melting of the part resulting

in the formation of pores. Alternatively, excessively slow speed can evaporate the material forming pores and imperfections as well.

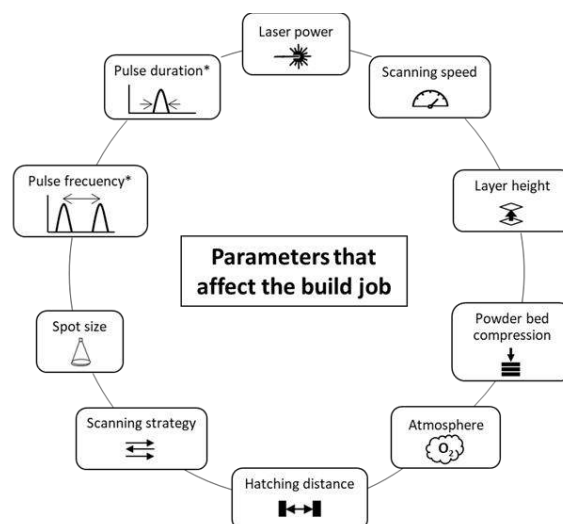


Figure 8. Example of process parameters that can be programmed. \*Belonging to pulsed lasers.

The layer height or layer thickness is the distance between layers and it also has an impact on the build rate. A lower number of layers spread means that the recoater will be used fewer times having a big impact on the processing time. Additionally, the higher the layer thickness is, the lower the resolution of the part will be. In addition, if this distance is too large, it can lead to a poor attachment between layers and the breaking of the part. For all this, the layer height must be the highest as possible, but shorter enough to ensure the attachment between layers and avoid possible breaks.

The hatching distance refers to the distance between centres of adjacent laser beam tracks. This parameter affects the build rate as previously explained. It is necessary to find the correct distance between tracks to avoid zones with no or not enough interaction with the laser beam leading to unreacted powder, even if there is some powder stroke by two different laser tracks, causing an overlap. A distance to obtain a good quality part but at the same time optimizing the build rate of the process should be pursued.

During the PBSLP process, it is possible to shift the laser beam focus, or “defocus”, by displacing the building platform in the z-axis to increase the laser spot size (Metelkova et al., 2018). The diameter of the spot during the PBSLP process can influence the energy transmitted and the area affected by the powder bed. It can also originate different microstructure to the piece due mainly to a different cooling rate. Additionally, some PBSLP machines are equipped with a rotating cylinder as part of the recoating device been able to perform a controlled compression of the powder bed after its spreading. The compression would increase the packing density of the powder bed and hence have a direct influence on the final density and quality of the printed parts. The chemical species present in the chamber atmosphere during PBSLP can induce different effects on the material being irradiated since high temperatures are reached. While an inert atmosphere can avoid any chemical reaction (i.e. combustion, phase transition...) from taking place during

the process, atmospheric “air” could favour it. It is the work of the user to find the best conditions to print the material in question.

Even if there are many parameters involved, most of the current research on the process optimization have only in consideration the energy density ( $E_d$ ) or energy input in a defined volume that can be calculated through:

$$E_d = \frac{P_{laser}}{v_{scan} \cdot h_{space} \cdot t_{layer}} \quad (2)$$

where  $E_d$  is the energy density or energy input in  $\text{J} \cdot \text{mm}^{-3}$ ,  $P_{laser}$  is the laser power ( $\text{J} \cdot \text{s}^{-1}$ ),  $v_{scan}$  the scanning speed ( $\text{mm} \cdot \text{s}^{-1}$ ),  $h_{space}$  the hatch distance (mm), and  $t_{layer}$  the powder layer thickness (mm). This parameter should be considered an approximation since a lot more aspects affect the real energy transferred to the powder bed such as the direction of gas flow, laser diameter, scan strategy, offset at the surface of the melt, and so on (Peng and Chen, 2018; Prashanth et al., 2017). Although recent studies have started to evaluate the effect of the scan strategy during the fabrication process (Suryawanshi et al., 2016; Thijs et al., 2013). The use of the diameter of the laser beam ( $d_{spot}$ ) in equation (2) instead of  $h_{space}$  can be found in some studies. Even if the basic concept is the same, both parameters should be coordinated to control a certain overlap ratio (Peng and Chen, 2018).

Figure 9 illustrates the different parameters that compose the  $E_d$  for a better understanding. Thus,  $E_d$  is the energy that the laser beam transfers per unit volume of powder bed and serves as a broad guideline for parameter selection. However, it is a thermodynamic quantity, and  $E_d$  does not include the kinetics of the irradiated material physics missing a correct understanding of the mass and heat transfer between the laser track and the surrounding material such as spattering of irradiated material. A careful approach is recommended when comparing results from experiments done under different conditions even to the same material and when testing new parameters (Scipioni Bertoli et al., 2017).

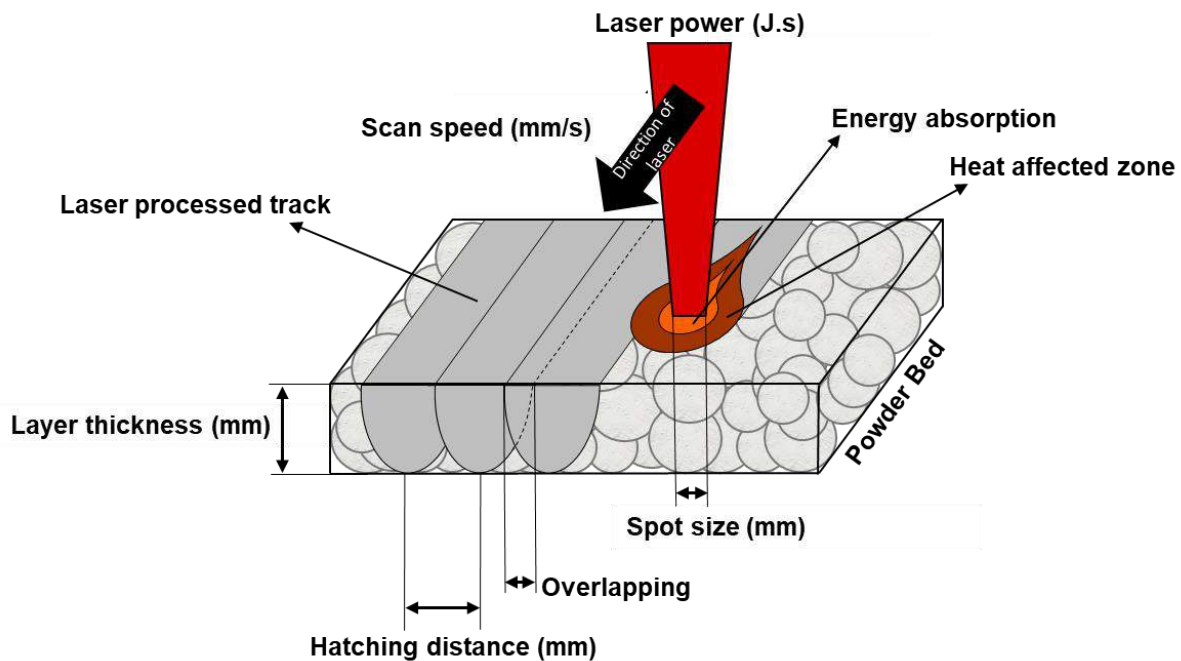


Figure 9. Scheme of variable parameters involved during the PBSLP process and from which the  $E_d$  can be calculated.

The scanning strategy is the pattern that the laser beam follows to irradiate the selected region of the powder bed. It controls the energy density distribution during the process and as explained above, it has an important effect on the final structural properties of the printed parts. Figure 10 illustrates some examples of scanning strategies used in PBSLP. It is important to find the scanning strategy in which an optimal energy density distribution occurs for each material thus avoiding adverse effects like decomposition, thermal cracks, and so on.

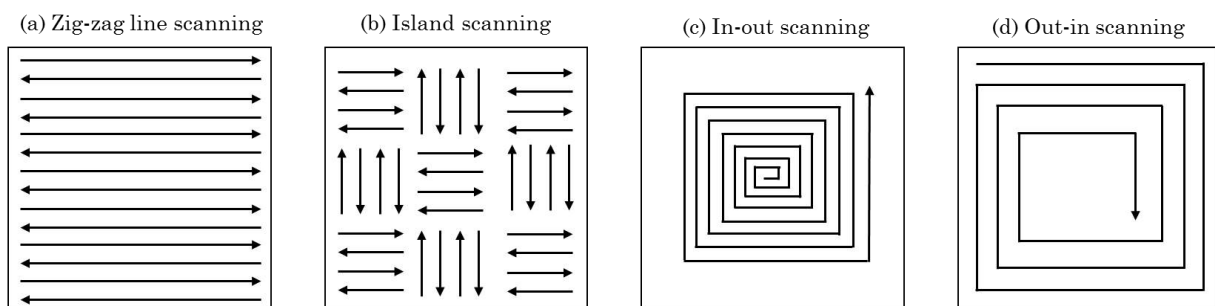


Figure 10. Examples of different scan strategies that the laser can follow during PBSLP.

### 2.1.3 PBSLP of calcium phosphates

**Extract corresponding to section “4.1. Calcium phosphate” of the review (Grossin, Monton, Navarrete-Segado et al., 2021) previously contributed by the author.**

Calcium phosphate (CaP) bioceramics are widely used in medical applications in many different ways such as coatings, paste, scaffolds, and cements. The use of a PBSLP process could allow the manufacturing of patient-matched tissue engineering scaffolds with controlled interconnected porous networks and shapes made of CaP. With the present document, we wanted to explain the more recent studies focused on the two phases forming biphasic calcium phosphate (BCP) since they are the CaP phases most used to produce scaffolds through the PBSLP process. Hydroxyapatite (HA) and  $\beta$ -Tricalcium phosphate ( $\beta$ -TCP) form BCP at different ratios to be used as bone-substitution bioceramics.

In the case of bone graft, the main role of a scaffold would be to provide a framework for the regeneration of new bone tissue, soft tissue, vascular-, and other metabolic components. CaP materials gather several critical properties needed for the correct performance of a bone graft material. For example, they can promote the formation of new biological tissue reducing the response from the immune system of the organism or tissue through its osteoconductivity (or osteoinductivity) and biocompatibility. Resorption is the term used to describe the absorption of a bioceramic in the body, either by dissolution or by cells (such as macrophages and osteoclasts). The desired resorbability rate is the rate comparable to the formation of bone tissue (between a few months and a few years), in the case of CaP, it may take 3 to 36 months to be replaced by bone. This property depends on the phase content of the CaP, crystallinity, lattice defects, particle size, and porosity. Some CaP phases like TCP may resorb fast and replace the coating or cement with bone. Other CaP phases like HA have a low resorption rate but they are osteoconductive materials acting as scaffolds promoting the formation of new bone (Eliaz and Metoki, 2017).

The use of CaP bioceramics in the bone replacement field requires also good mechanical properties. This is due to the high average load that the parts undergo during their lifetime. CaPs are brittle (primary ionic bonds) with relatively low tensile stress (6 to 10 MPa) and low impact resistance because of their porosity acting as preferred initiation sites for crack propagation. However, their compressive strength is higher than that of normal bone. For that reason, CaPs are more used in non-load bearing implants. One important advantage is the chemical resistance of ceramic implants compared to metal implants. The human body is a very harsh environment for metals since it contains water, salt, dissolved oxygen, bacteria, proteins, and various ions such as chloride and hydroxide. HA coatings have been demonstrated to have excellent chemical resistance; that is why they are widely used to coat base metals to ensure the biocompatibility of the ceramic on the metal surface. Furthermore, another benefit of HA is their dielectric properties (piezoelectricity) because electromagnetic fields have been shown to accelerate healing in bone fractures (Elkhouly, 2008; Kao et al., 2019).

Some solutions have been conceived to compensate for the low absorption of the laser by CaP powders during PBSLP. An increase of the energy density delivered during the process is usually performed by intensifying the power of the laser, reducing its speed, and/or by using other sources of energy. But it leads to a loss of productivity, poor final quality of the scaffolds, and cost-ineffectiveness. A method to deal with the low absorptivity of CaP materials of Nd:YAG laser and perform the bonding of CaP particles and the subsequent manufacturing of biomedical devices is disclosed by Colin et al. (Colin et al., 2014). It consists of the mixing of the ceramic substrate with an absorption additive before the PBSLP process. In this method, the ceramic powder constitutes the predominant portion by weight of particles together with a dispersed absorption additive. This additive has a higher specific absorptivity at the wavelength of the laser used than the ceramic components and it can be biocompatible, biodegradable, soluble, and/or heat degradable. It is used for transferring the radiant energy of the laser into thermal energy to melt/sinter the ceramic material in the mixture. This method is considered a direct PBSLP process.

The decomposition due to high temperature and the subsequent adverse effect on the mechanical properties is another important challenge that researchers have to face when printing CaP materials through the PBSLP process. It has been already studied in plasma spray coating processes that the sintering temperature usually triggers the phase transformation of HA or  $\beta$ -TCP scaffolds into other CaP phases. Phases such as tetracalcium phosphate (TTCP),  $\alpha$ -TCP, and/or calcium oxide (CaO) can be produced before melting, which can influence the densification process. Porosity and grain size changes produced by the phase transition were found to play an important role in the mechanical performance of sintered HA. The decomposition of HA is a process of continuous reactions depending on the obtaining conditions. Ramesh et al. (Ramesh et al., 2013) performed a study of the sintering properties of HA powders prepared by different methods concluding that the HA powder prepared via the wet precipitation method shows better thermal stability, translated in less phase transformation and superior better mechanical properties. However, the usual mechanical behaviour limitations of CaP materials, in terms of brittleness, poor fatigue resistance, low tensile strength, and low fracture toughness value preclude HA from use in load-bearing situations.

To deal with the drawbacks previously mentioned, numerous works using CaP powders as part of composite materials to produce scaffold through the PBSLP technique have been reported (Eosoly et al., 2010; L. Hao et al., 2007; Minh et al., 2014; Xia et al., 2013).

In one direct method approach, the CaP powder is used as a filler in a mixture together with a polymer matrix and provides bioactive properties and higher strength to the scaffold (Cruz, 2010; Eosoly et al., 2010; Xia et al., 2013). Poly- $\epsilon$ -caprolactone, polyethylene (PE), high-density polyethylene (HDPE), and poly(l-lactic acid) (PLLA) are the most often used polymers in such composite materials. Due to the lower temperature needed to melt and bond the polymer particles, the ceramic phase transformation is avoided. Although the time of implantation can have some adverse effects on polymeric materials losing some of their properties. These polymers are not removed after the PBSLP process, being the main part of the final

scaffold used in the application. For this reason, these works are part of the direct PBSLP category. Even if the application is currently limited to the field of the filling of bone defects this method results in the production of promising improved bioactive scaffold with higher strengths and stiffness than the unfilled polymer (Figure 11a).

A different approach is an indirect method, consisting of the use of polymers as a binder together with calcium phosphate powders as the main matrix in the composite. The organic polymer is melted during the PBSLP process to obtain a green part, afterward, the green part is sintered removing completely the binder and producing the final porous ceramic part. Then the final part will be composed of pure ceramic. Recently, Zeng et al. (Zeng et al., 2020) achieved the production of microporous BCP scaffolds by low-temperature PBSLP keeping the physicochemical properties of BCP and improving the mechanical strength, porosity, and bioproperties. In this case, epoxy resin was used as a sacrificial organic polymer and was completely decomposed and removed by a posterior sintering process.

Shuai et al. (Shuai et al., 2013, 2011, 2010) attained the fabrication of pure CaP ceramic scaffolds of nano-HA and BCP in different proportions using a homemade PBSLP system equipped with a CO<sub>2</sub> laser. Although the presence of CaP secondary phases due to the phase transformation during the sintering process was confirmed by XRD analysis. Nano-HA powder used had a needle-like or irregular shape and  $\beta$ -TCP powders were mostly spherical with also a nanometric size. A ratio of TCP/HA (30/70) was found to exhibit the most outstanding resorption properties. Figure 11b shows the porous BCP scaffold with 3D orthogonal porous square channels with 13 mm in width, 7 mm in height, spaced by 2 mm, and a porosity of 61% measured with the Archimedes method.

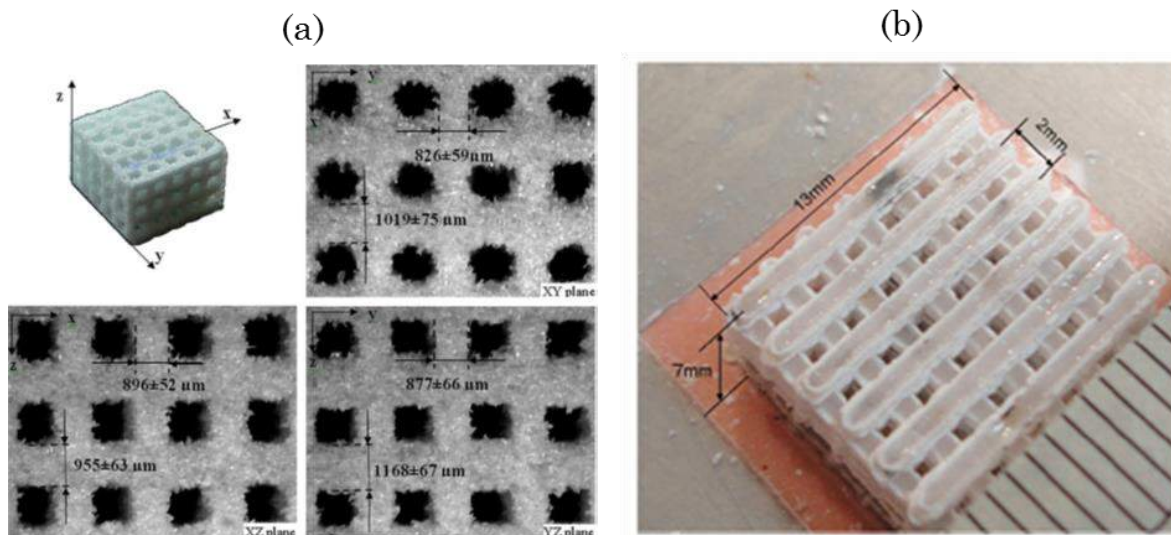


Figure 11. Sintered scaffolds made by PBSLP process using different calcium phosphate materials and composites. (a) nano-HA/poly-e-caprolactone (Eosoly et al., 2010) and (b) BCP (Shuai et al., 2013).

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To summarize, the main advantages of using the PBSLP technique for the fabrication of CaP pieces lie in the flexibility to produce complex and well-controlled porous scaffolds with no need for supports or post-processing. There are some requirements that the powder feedstock has to fulfill to obtain good results, and as we observed, different approaches have been developed within the last years. Diverse powder feedstocks have been developed combining CaP materials between them and with a wide variety of additives and/or polymers/bioglasses to surpass the issues of CaP materials in PBSLP. There is still a need for research on the improvement of the mechanical properties and the resolution of the scaffolds to ensure their correct performance in bone tissue engineering applications. Their brittleness still limits their clinical application, especially for load-bearing implants. Although they showed promising results in terms of bioactive properties. Even if the tendency is to start modifying the initial powder feedstock, the improvement of PBSLP devices with a better design and a range of parameters adapted for better processing of ceramic materials will be crucial for their future in PBSLP processes. A summary of the most recent works on the application of PBSLP of CaP materials is offered in Table 8.

Table 8. Summary of the process conditions and properties of the CaP sintered scaffolds by the PBSLP process. PM= Powder mixture \*Values will be given when available in the publication. HDPE: high-density polyethylene, PHBV: poly(3-hydroxybutyrate-co-3-hydroxyvalerate), PLLA: poly(l-lactic acid), PCL: polycaprolactone, EP: Epoxy resin.

Ref.	Powder feedstock	Laser & strategy	Post-processing	Properties of processed parts*
(L Hao et al., 2007)	HA (20%) / HDPE  0 < x <sub>50</sub> < 105 μm & 105 μm < x <sub>50</sub>	CO <sub>2</sub> 3.6-6.0 W Scan speed 3.6 m/s Line spacing 63 μm Layer thickness 150 μm Powder bed T: 128°C	No post-processing	Porosity: 45-55 % Wettability: 60-140 deg.
(Xiao et al., 2008)	PM1: Apatite / Wollastonite (x <sub>50</sub> : 45-90 μm) + 5% acrylic binder  PM2: Apatite / Wollastonite (64% x <sub>50</sub> 45-90 μm 21% PS 0-45 μm) + 15% acrylic binder	CO <sub>2</sub> 250W Spot size 0.6/1.1 mm Layer thick. 125 μm Line spacing (spot size/2) (Indirect)	Heat treatment process + infiltration of CaP glass	Bend strength: PM1 35 MPa PM2 70MPa PM2 with CaP glass infiltrated 100 MPa Porosity: 40%
(Duan et al., 2010b)	PM1: PHBV (x <sub>50</sub> : 53.18 μm)  PM2: nanoCaP 15% / PHBV (x <sub>50</sub> : 46.34 μm)  PM3: PLLA (x <sub>50</sub> : 40.03 μm)  PM4: nano-carbonated HA 10% / PLLA (x <sub>50</sub> : 39.78 μm)	CO <sub>2</sub> laser 13-15W Spot size 457 μm Scan speed 1257 mm/s Line spacing 100-150 μm Layer thick. 100-150 μm Part bed T: 35-45°C	No post-processing	Porosity: 62.6-68.5% Compressive strength (dry scaffolds): 0.47-0.62 MPa Strength modulus (dry scaffolds): 5-6.5 MPa
(Cruz, 2010)	HA 60% (x <sub>50</sub> : 111 μm) / PLLA (x <sub>50</sub> : 163 μm)	CO <sub>2</sub> laser 5-7.5 W Scan speed 200-300 mm/s Line spacing 100-150 μm Energy density 2.66-8.96 cal/cm <sup>2</sup>	No post-processing	Compressive strength: 2.4-4.6 MPa Bend strength: 1.6-4 MPa Density: 0.78-1.1 g/cm <sup>3</sup>
(Eosoly et al., 2010)	HA 30% (x <sub>50</sub> : 38 μm) / PCL (x <sub>50</sub> : 125 μm)	CO <sub>2</sub> laser fill 8.32-11.68 W (outline laser 3.32-6.68 W) Spot size: 410 μm Line spacing 100-200 μm Layer thickness 150 μm Part bed T: 38°C	No post-processing	Density: 0.33 g/cm <sup>3</sup> Compressive strength: 1-2 MPa
(Shuai et al., 2013)	Nano-HA (x <sub>50</sub> : 0.06-0.1 μm) 0%/10%/30%/50%/70%/100%/ β-TCP (x <sub>50</sub> : 0.1-0.3 μm)	CO <sub>2</sub> laser 12 W Spot size 800 μm Scan speed 100 mm/min Layer thick. 200 μm	No post-processing	For HA 70%/ β-TCP scaffold: Porosity: 61% Fracture toughness: 1.33 MPa.m <sup>1/2</sup> Compressive strength: 18.36 MPa Higher bone-forming ability and balanced biological stability and dissolution rate.

(Xia et al., 2013)	PM1: 0%-5%-10% needle-like nano-HA (150 nm long, 20 nm wide)/ PCL  PM2: needle-like nano-HA 5%/10%/ PCL	CO <sub>2</sub> laser fill 4.5 W (outline laser 3 W) Spot size 150 μm Internal scan speed 1.25 m/sec Peripheral scan speed 0.55 m/sec Support scan speed 1.33 m/sec Layer thick. 150 μm	No post-processing	Porosity: 70.31-78.54% Compressive strength: 1.38-3.17 MPa More effective osteogenesis than pure PCL scaffolds
(Colin et al., 2014)	Examples 1 & 2 respectively:  PM1: HA 95-99% ( $x_{50}$ : 5-25 μm) / Absorption additive (Carbon)  PM2: TCP ( $x_{50}$ : 5-25 μm) / Absorption additive (SiC) ( $x_{50}$ : 1 nm – 100 μm)	PM1: Nd: YAG laser 40 W Scan speed 100 mm/s Line spacing 200 μm  PM2: Nd: YAG laser 100 W at 10% 10% defocused Scan speed 20 mm/s	PM1: Thermal treatment to improve mechanical strength at 1100°C-2h  PM2: Thermal treatment at 300-1200°C for 10 minutes to 5 hours.	N/A
(Ferrage, 2018)	Pure HA ( $x_{50}$ : 75 μm)	CO <sub>2</sub> laser 220W Spot size 200 μm Scan speed 100 mm/s Line spacing 800 μm Layer thick. 100 μm	No post-processing	Decomposition of HA into TTCP and CaO was observed. Density: 3.0691 (helium pycnometer)
(Zeng et al., 2020)	EP ( $x_{50}$ : 20 μm) 35%/40%/45%/50% in wt. / BCP (70/30 ratio of HA and TCP) ( $x_{50}$ : 80 μm)	CO <sub>2</sub> laser 1.8W Spot size 200 μm Scan speed 200 mm/s Line spacing 150 μm Layer thick. 100 μm	Sintering of green part at 1100°C for 4h for binder removal. (Indirect method)	Scaffold with EP and BCP 50/50 ratio showed superior mechanical properties: Compressive strength: 113.25 KPa Porosity: 80.8% Elastic modulus: 4.38 MPa This scaffold showed the most outstanding bioproperties in terms of osteogenic differentiation, ALP staining, ALP activity, and OCN immunocytochemistry.



## 2.2 Masked stereolithography (MSLA)

The word “stereolithography” can be defined as a combination of the Greek word “stereo”, meaning solid, and “(photo)lithography”, which means “writing” employing light. Then, in a Stereolithography Apparatus (SLA) 3D printer we are continuously drawing solids with light layer to layer. SLA processes are divided into three main categories, laser-based stereolithography (laser SLA), digital light processing (DLP), and masked SLA (MSLA), also called Liquid Crystal Display (LCD). Even if the three types of techniques use the same technology concept, they can produce different outputs (Zyzalo, 2008). Figure 12 illustrates the three types of SLA printing technologies.

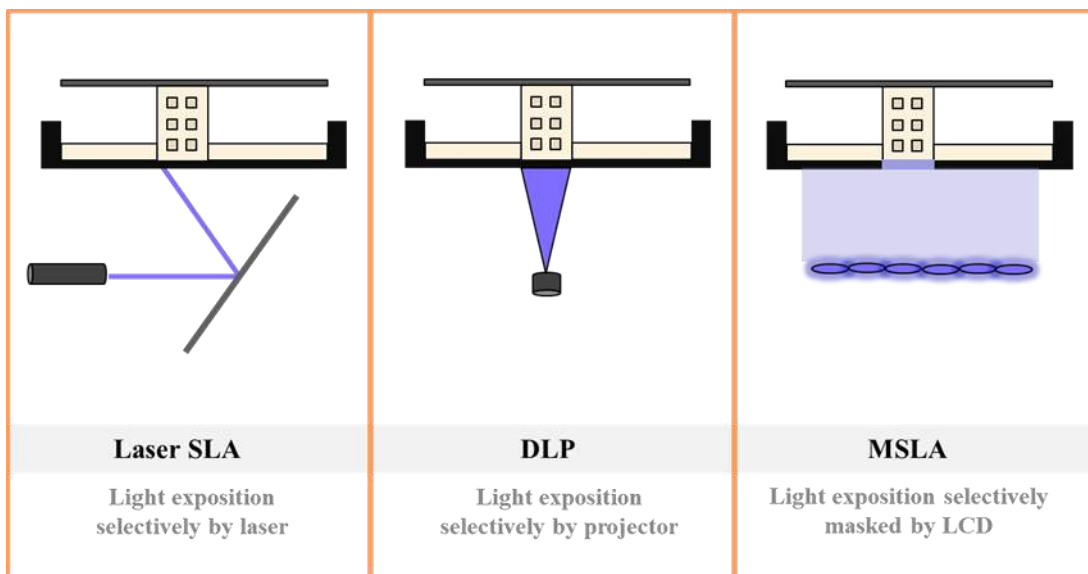


Figure 12. Illustration comparing the three different VAT photopolymerization printing technologies: Laser SLA, DLP, and MSLA.

Laser SLA was the original idea of stereolithography. In this technology, the UV laser draws each layer of the object led by two mirrors driven by a motor to rapidly aim the laser beam through the print area. The solid object design is layer by layer defined by a series of points and lines that the laser has to trace. DLP instead uses a digital projector to flash a single image for each layer across the entire platform at once.

MSLA is the last of these technologies arriving on the market and it has substantially grown in the last years. The LCD devices are the same used on mobile phones, TV, and computers. Their cost is much lower than DLP apparatuses because they do not require the use of a digital micromirror device (DMD) which is the base of the DLP technology, although LCD screens have a shorter service life and need to be replaced regularly. They are available in sizes from 3” to 80”, for hence the light emitted is direct, homogeneous, and perpendicular all along the printing surface. This avoids the use of expensive and complex lens projection systems and the distortions on parts observed in DLP. This technology not only almost replaced the DLP technique, but it also started to replace the laser SLA in fields like odontology where the laser is a standard. However, one of the main disadvantages of MSLA is the excessive overheating produced by the LED system. It requires the use of cooling systems to avoid the heating of the resins during

long printing sessions (Quan et al., 2020; Zyzalo, 2008). A deeper explanation of its fundament can be found below.

### 2.2.1 Process description and parameters

The MSLA technique, as part of the VAT photopolymerization category uses a UV-light source to cross-link a photocurable resin feedstock (Figure 13). MSLA has significant advantages in printing resolution, efficiency, and working conditions when compared with other AM technologies, such as extrusion-based methods. Indeed, the use of light masked with an LCD, instead of one focused laser point like in laser SLA, provides this technology with high precision and printing speed. In addition, it is a non-direct contact technique providing a relatively mild condition to cells. The production of medical devices functionalized artificial tissues, and specific drug delivery systems are some of their medical applications (Iuliano et al., 2020).

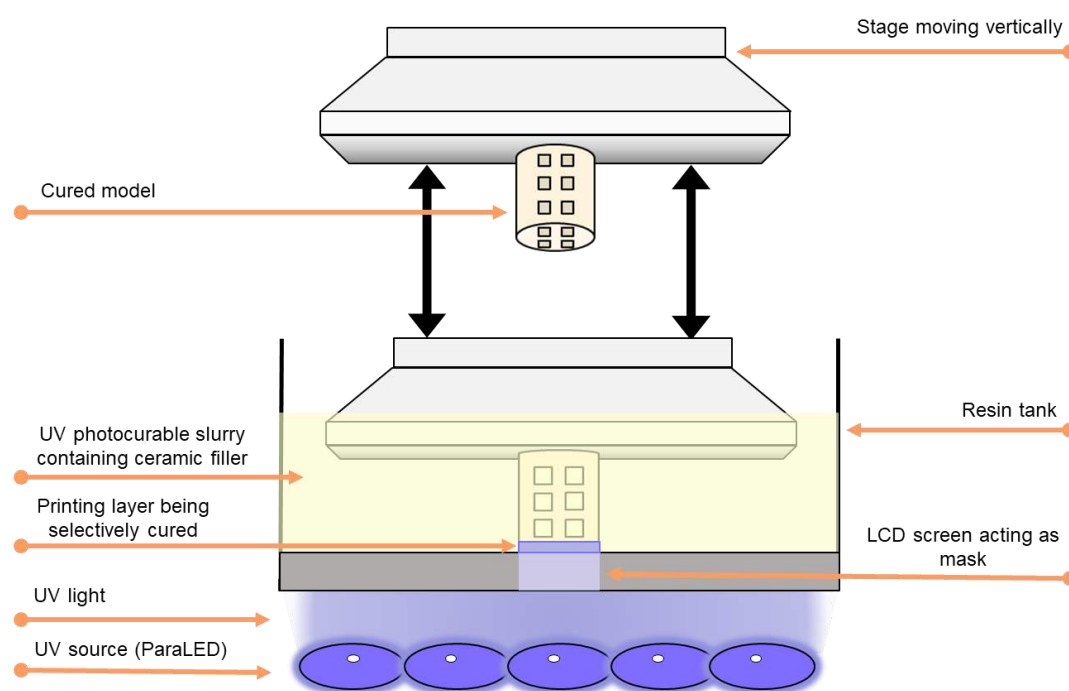


Figure 13. Schematic diagram of MSLA process.

MSLA 3D printing technique uses a LED array as a light source together with an LCD photomask to display the light image from the LED array. This array of 405 nm UV-LED replaces the laser source, contributing to diminishing their price. LCD photomask is digitally displayed and composed of square pixels. The resolution of the layer is then highly influenced by how the LCD photomask is manufactured since individual pixels are deactivated on the LCD to let the LED light pass through forming the resulting layer.

Although some biocompatible resins exist (e.g., photocurable hydrogels) (Preobrazhenskiy et al., 2021; Qin et al., 2014; Wu et al., 2019), the majority of the resins used in VAT photopolymerization processes are poisonous to cells. For hence, after the printing of parts containing the ceramic powder,

successive debinding and sintering processes are required. The debinding process is crucial to confirm that the totality of the resin has been pyrolyzed avoiding their toxic effects in the final part. Then the sintering of the green parts at higher temperatures is further required to achieve densification improving the mechanical properties of the part (Chen et al., 2019). This debinding-sintering step can be performed as once or divided into two different processes. Depending on the pyrolyzing and sintering behaviours of the resin and ceramic powder the process conditions need to be tailored (e.g. maximum temperature needed for the powder sintering) (Feng et al., 2020; Li et al., 2020). The sintering process can take several days to be performed in a muffle furnace. However, the use of microwave sintering to process the parts can shorten this duration while also generating micropores on the macropore surface improving the material-driven bone formation of a scaffold for bone tissue engineering (Pei et al., 2017; Wu et al., 2013).

Figure 14 shows the Ishikawa diagram of the parameters (causes) that influence the part quality characteristics (effects) during an MSLA process. Many parameters are shared with the other VAT photopolymerization techniques (Singe Gowda et al., 2014). It is important to mention the differences in light energy between the three, following the order, laser SLA > DLP > MSLA, being the laser the most powerful light source of the three. Thus, for the same resin, the parameters need to be adjusted in accordance when using different devices. In some cases, due to high exposure time needed for the reaction make impossible the use of a resin in apparatuses with a low energy source. On the other side, an overexposure of light on the resin will cross-link the surrounded resin producing the deformation of the part.

The layer thickness of the parts is the thickness in which the model is sliced, affecting the printing resolution as well as the printing speed. The orientation of the model can also affect the mechanical properties (Farzadi et al., 2014). The concentration and compatibility between the ceramic particles and the additives have an important influence on the reactivity, sedimentation, and rheology of the suspensions affecting the final part quality (Xing-Bang et al., 2019).

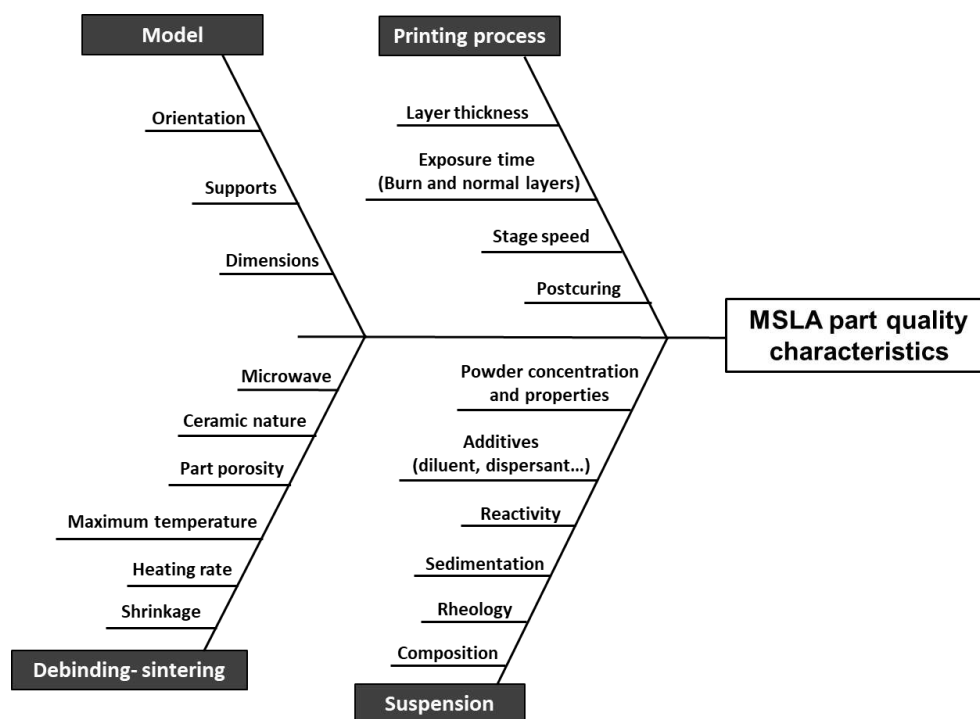


Figure 1. Ishikawa diagram with the process parameters affecting the MSLA parts quality characteristics.

### 2.2.2 Suspension feedstock criteria

In an MSLA process, as in the rest of VAT photopolymerization techniques, the liquid feedstock is mainly composed of photocurable resins. For a photopolymer to be suitable for SLA, it must provide certain characteristics such as a suitable rheological behaviour. The highest slurry viscosity that can be employed in SLA is approximately 5 Pa.s (Melchels et al., 2010). These resin feedstocks are usually composed of a photoinitiator, monomers or oligomers, diluents, light absorbers, and various fillers such as ceramic powders. It is common to use diluents to reduce the viscosity, always controlling that the rest of the properties (e.g., reactivity and rate of polymerisation) are not compromised.

At the moment of adding solid particles into a resin, it is important to understand how the resin is affected and which new properties for the suspension need to be controlled. The presence of particles can increase the suspension viscosity depending on its concentration. Then, usually, the use of dispersants helps to reduce this unfavourable effect by avoiding the formation of agglomerates through effective interparticle repulsion. The use of polydisperse particles instead of narrow PSDs allows the particles to pack more easily, having more free space for the individual particles thus flowing more easily. For particles with the same dispersion level, a too small average particle size will increase the suspension viscosity (Komissarenko et al., 2018). However, small particles tend to settle slower when comparing with particles of large sizes. The settling velocity can be one of the most important properties since too fast sedimentation can make the particles at the bottom blocking the light making impossible to continue with the printing process (Song et al., 2019). Researchers have to find the equilibrium between the viscosity and stability of the suspension

without surpassing the limits of resin processability for the technical specifications of the printer (Feng et al., 2020).

We already explained that the debinding step is a critical process. The resin components have different evaporation/decomposition temperatures and behaviours. A weight reduction and also in dimension usually happens, which depends on the concentration and composition of organic components, as well as, the temperature cycle. In addition, ceramic powder morphology properties, such as particle size and PSD can influence the debinding behaviour. A constant gas evolution rate is pursued to avoid too high internal gas pressures inside of the green body that could initiate cracks (Chen et al., 2019; Fernandes, 2019). The “surface-to-volume ratio” also affects the debinding characteristics. For hence, it is necessary to optimize the debinding cycles for specific geometries allowing the crack-free debinding of parts (Pfaffinger et al., 2015).

When comparing different ceramics, it has been found that for CaPs like TCP, large particles can be processed ( $x_{50}$  in the range of  $1\mu\text{m}$ - $20\mu\text{m}$ ). If dispersion and stabilization problems of the ceramic slurries are overcome, these bigger particles allow a much easier debinding process. The shorter cycle times lead to overnight processes in some cases. The higher is the ceramic load the lower will be the shrinkage. Thus, higher solid loadings are pursued. A lower shrinkage during the thermal treatment led to better control of the final dimensions of the parts. However, a high solid loading can difficult the debinding process complicating the diffusion of gases (Pfaffinger et al., 2015).

Regarding the influence of the ceramic feedstock during the sintering, it is known that HA powders produced by the wet precipitation method exhibit superior sinterability properties especially at low sintering temperatures between  $1000^{\circ}\text{C}$  and  $1200^{\circ}\text{C}$ . Indeed, it is less susceptible to decomposition into residual phases and shows superior hardness and fracture toughness (Ramesh et al., 2013). Powder morphology and the agglomeration state are other features that control the sinterability of the compacted material. Particle size determines the activation energy and the grain growth of the ceramic (Ellis and McNamara, 1989; Murray et al., 1995). Then, the presence of agglomerates in the powder, especially, of hard nature, tends to disrupt the densification process and can lead to the formation of defects in the microstructure, limiting the strength of the sintered part (Ramesh et al., 2004).

The main characterization methods to evaluate the properties of a suspension will be described in the next chapter.

### **3. Tailoring of HA powder properties for additive manufacturing technologies**

#### **3.1 Target powder/slurry properties**

HA was chosen as starting calcium phosphate for the utilization of the different AM technologies. It has a feasible production process making it an accessible material for the evaluation of the multi-step process parameters needed to obtain the desired powder properties intended for AM. Before start tailoring the feedstock properties, it is important to identify the requirements of the material for the technology in

which it will be used. Previously, some indications were provided about the feedstock needs that the AM technologies envisaged in the present work require. We can summarize them in two groups dividing the properties that the powders should meet for each of the two techniques PBSLP (Table 9) and MSLA (Table 10).

**For the PBSLP process** (powder bed fusion category), **highly-flowable powder is desirable** to produce homogeneous powder beds during the process. As an example, in the powder flowability classification described by Barbosa-Canovas et al. (Barbosa-Cánovas, 2005), the ratio of tap and bulk density (Hausner ratio, HR) provides a statement on the flowability of powders. Thus, PBSLP powders should be typically under an HR limit of 1.25 (high powder flowability) to be considered a suitable powder with enough powder density (Schmid et al., 2013). It is known that powder flowability is significantly affected by particle size, shape, and size distribution. For powders with the same median particle size, higher size dispersion increases the flowability and for powders of equal dispersion, an increase of the particle size increases the flowability (Liu et al., 2008). The size polydispersity or size distribution span of powders can be calculated as:

$$Span = x_{90} - x_{10}/x_{50} \quad (3)$$

It can be used to classify the powders as monodisperse (span close to zero) or polydisperse (high span). In addition, spherical particles show higher flowability (Fu et al., 2012). In this case, the material interacting with the laser is the ceramic powder whose response will be highly influenced by the type of laser used. It is known that calcium phosphates poorly absorb the light emitted by a fiber laser source ( $\lambda = 1070$  nm) which is the most common in PBSLP apparatuses (Tolochko et al., 2000). Taking this information into account, the addition of absorptance additives will be considered to produce blends with **increased fiber laser energy absorptance** needed for their processing. Moreover, the production of **spherical agglomerated particles** with at least a median particle size of  $x_{50} > 20 \mu\text{m}$  and a **span higher than 1** was stated as a target. Meeting these properties, it was expected that the resulting powder would yield an **HR of less than 1.25**. These powder properties will be then pursued through a multi-step process: First, the synthesis of stoichiometric HA will provide the initial micron-sized particles with irregular shapes (Chapter II). Then, the as-synthesized powder can be wet ground in a stirred bead mill to produce suspensions with sub-micron particles as well with irregular shapes (Chapter III). Consequently, these suspensions will be spray-dried to produce the dry spherical HA agglomerates of a few tens of microns (Chapter IV). In addition, to improve the interaction laser-material the mixing with absorption additives will be carried out before evaluating its processability in a PBSLP apparatus (Chapter V). The chlorination of the spherical HA agglomerates to produce an SHA with better thermal stability as Chlorapatite (described in Chapter II) was also performed for feedstock comparison in PBSLP.

Table 9. PBSLP target powder properties

Particle shape	Particle size ( $x_{50}$ )	Distribution span	Flowability (HR)	Laser absorption (%)
Spherical	30-70 $\mu\text{m}$	> 1	< 1.25	Should be > 60

For the MSLA process (VAT photopolymerization category), powder showing **effective redispersion** in a photocurable resin, **low settling velocity, a low increase of suspension viscosity, and good debinding-sintering behaviour** (avoiding the formation of cracks) is envisaged. Powder agglomeration would lead to uneven distribution of raw materials and faster sedimentation. Thus, particle size in suspension will be examined to evaluate and avoid agglomeration. This could require the use of dispersants. The Turbiscan<sup>LAB</sup> stability index (TSI) which will be described in the next chapter can evaluate the stability of a suspension. The lower the index value the higher the stability of the suspension. A value of **TSI < 2** for the whole duration of the printing process was fixed as an objective. This value would be the limit before showing important stability destabilization corresponding to large sedimentation, wide particle size variation, and small phase separation. As explained previously a **viscosity at a low shear rate under 5 Pa.s** should be kept for the utilization of the suspension in the MSLA equipment. It is known that particle size plays an important role in the stability and viscosity in suspension, then different sizes will be evaluated to find the one yielding the most suitable suspension properties (Song et al., 2019; Xing-Bang et al., 2019). In addition, the particle size can influence the debinding-sintering behaviour preventing the formation of cracks in the sintered parts. Calcium phosphates show a correct sintering behaviour with a **particle size in the range of 1-20 $\mu\text{m}$**  (Pfaffinger et al., 2015). Thus, the target  $x_{50}$  was fixed within this range. A different procedure was followed for the tailoring of the HA powder intended for MSLA. HA powder obtained through synthesis and calcination was used as starting material. Then, a controlled milling process results on powders with different particle sizes that could be evaluated and compared as filler in a liquid photocurable resin. Their processability in an MSLA apparatus will be evaluated in Chapter VI.

Table 10. MSLA target powder/slurry properties

Particle shape	Particle size ( $x_{50}$ )	Dispersion stability (TSI)	Viscosity at a low shear rate
Irregular	1-20 $\mu\text{m}$	< 2	< 5 Pa.s

The two main processes used for the tailoring of HA powder and the two with the highest complexity were the stirred bead mill and the spray-drying processes. For this reason, two complete chapters were dedicated to their optimization. It allowed us to better control and understand the parameters involved in the processes and their effects on the product. A short introduction of both processes is written below.

### 3.2 Stirred bead mill process

This section is dedicated to giving an overview of stirred bead mill process by describing its principles, presenting its applications, advantages, and parameters. The literature shows the large potential of stirred bead mills to produce sub-micron ground particles (Hennart et al., 2010). A horizontal stirred bead mill uses a grinding chamber filled with small beads whereby comminution takes place by collision and attrition between the beads. Three types of stirred media mills can be distinguished depending on the stirrer design and mill chamber geometry (Figure 15). The design of a mill is optimized to enhance the power density in the mill. The mill design strongly influences the motion of the grinding medium affecting the stress-energy and the surface area of the impeller in contact with the grinding media.

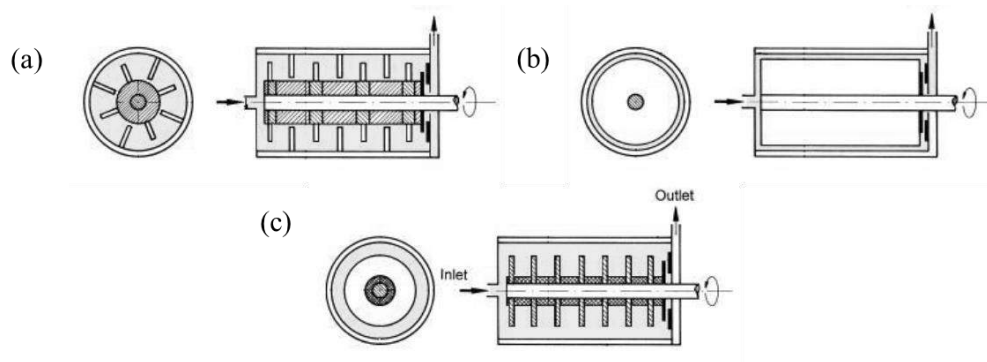


Figure 15. Types of mills and their stirrer. (a) pin-counter-pin stirrer. (b) annular gap mill (c) disc stirrer. Retrieved from Kwade (Kwade, 1999).

The stirring effect is most often caused by an agitator system (pin-counter-pin or disc stirrer) mounted on a shaft or by the rotation of the inner cylinder in an annular mill. The mill feed is pumped from the top of the grinding chamber. During continuous operation, the suspension has a horizontal flow and passes through the chamber until being discharged from the opposite side of the device. In a discontinuous process, the suspension passes several times inside the chamber mill (pendulum or recycling modes).

Kwade et al. (Kwade and Schwedes, 2007) describe milling experiments using two key parameters. These are the stress number  $SN$  (number of collisions that a product particle requires to break to reach a given size) and the stress-energy (energy of a collision between two grinding beads in the mill). At low and medium solid concentrations and suspension viscosities, it is most probable that at each contact of two beads and thus, at each stress event only one particle is stressed intensively. Under this condition in batch mode, the average number of stress events of each product particle, the stress number  $SN$ , is determined by the number of bead contacts,  $N_c$ , by the probability that a particle is caught and sufficiently stressed at a bead contact,  $P_s$ , and by the number of feed particles inside the mill,  $N_p$ :

$$SN = \frac{N_c P_s}{N_p} \quad (4)$$

The number of bead contacts can be assumed to be proportional to the number of revolutions  $n$ , to the grinding time  $t$ , and to the number of grinding media  $N_{GM}$  in the mill (in function of grinding chamber

volume,  $V_{GC}$ , the grinding media filling ratio  $\varphi_{GM}$ , the porosity of the grinding media filling at rest  $\varepsilon$ , and grinding media diameter  $x_{GM}$ ).

$$N_c \propto n \cdot t \cdot N_{GM} \propto n \cdot t \cdot \frac{V_{GC} \varphi_{GM} (1 - \varepsilon)}{\frac{\pi}{6} x_{GM}^3} \quad (5)$$

The probability of obtaining a particle stress higher enough at a bead contact depends on the operation type. For the grinding of tough agglomerates or crystalline materials, such as minerals or ceramics, the probability is proportional to the active volume between two beads. Then, the following proportionality of the stress number  $SN$  can be derived:

$$SN \propto \frac{\varphi_{GM} (1 - \varepsilon)}{\{1 - \varphi_{GM} (1 - \varepsilon)\} c_v} \frac{n \cdot t}{x_{GM}^2} \quad (6)$$

Where  $c_v$  is the solids volume concentration,  $n$  the number of revolutions ( $s^{-1}$ ), and  $t$  the comminution time (s). Then, for grinding of crystalline materials the stress number  $SN$  is inversely proportional to the square of the diameter of the grinding media. We supposed through these equations that the number of particles is equal to the ratio of the overall volume of the feed particles  $V_{p,tot}$ , to the average volume of the feed particles,  $V_p$  as follows:

$$N_p \propto \frac{V_{p,tot}}{V_p} = V_{GC} \cdot \frac{\{1 - \varphi_{GM} (1 - \varepsilon)\} \cdot c_v}{V_p} \quad (7)$$

Kwade (Kwade and Schwedes, 2007) specified that, for weak to medium-hard crystalline materials, as a measure of the stress intensity the so-called stress-energy  $SE_{GM}$  can be used, if the same feed material with constant particle size distribution is ground. He proposed to express the stress-energy of beads as follows:

$$SI \propto SE_{GM} \propto x_{GM}^3 \rho_{GM} V_r^2 \quad (8)$$

being  $V_r$  the circumferential speed of the stirrer.

The stress numbers and stress energies acting during stress events influence the result of the grinding process. Since the stress-energy can be considered as the specific energy,  $E_m$ , consumed at each stress event, the overall specific energy consumption of the mill will be proportional to the product of the stress-energy (stress intensity)  $SI$  and the stress number  $SN$ :

$$E_m \propto SN \cdot SI \quad (9)$$

In addition, the consumed specific energy after a grinding time,  $t$ , can be described as follows:

$$E_m(t) = \frac{E(t)}{m_p} = \int_0^t \frac{(P(\tau) - P_0)d\tau}{m_p} \quad (10)$$

Where  $m_p$  is the mass of the ground product and  $P(\tau)$ , the power draw of the motor at a time  $\tau$ .  $P_0$  is the no-load power.

A variety of materials, inorganic and organic materials were, for example, reduced to the sub-micron size range. The pharmaceutical industry focuses on the grinding of organic products. The use of additives such as polymers or cyclodextrins is common. The use of additives allows reaching better physical stability, finer grinding, and/or chemical stability. In these cases, the polymer acts as a surfactant to enhance the physical stability of ground particles (Li et al., 2016).

Other important aspects and issues have been examined regarding this type of milling process:

- Heavy metals contamination issues: The material hardness of the media is a relevant factor, the harder the medium the less contamination. Also, the type of material being mill influences the contamination detected depending on its hardness. The size of the media is also important, bigger media size tends to generate more media wear (Breitung-Faes and Kwade, 2008). The grinding bead wear has been demonstrated to be a function of the specific energy input, thus its level of wear is determined by the shape and hardness of beads and feed material (Becker and Schwedes, 1999).
- Modelling and characterization of mills: Several types of models can be useful for the prediction of the grinding process, upscaling, etc. Population balance modelling (PBM) has demonstrated to often be the best way to fit experimental data. This mathematical tool studies and describes the evolution of particle size in a particle size reduction process (Sommer et al., 2006; Varinot et al., 1999).
- The stability of ground particles: The stability of the product and its final particle size was found to be a function of the electrostatic properties of the particles and pH of the solution (Mende et al., 2003).
- Effect of process parameters on ground product quality: Many researchers have investigated the influence of process parameters on the final particle size and product quality. A summary of factors influencing the final ground product quality can be found in Figure 16.

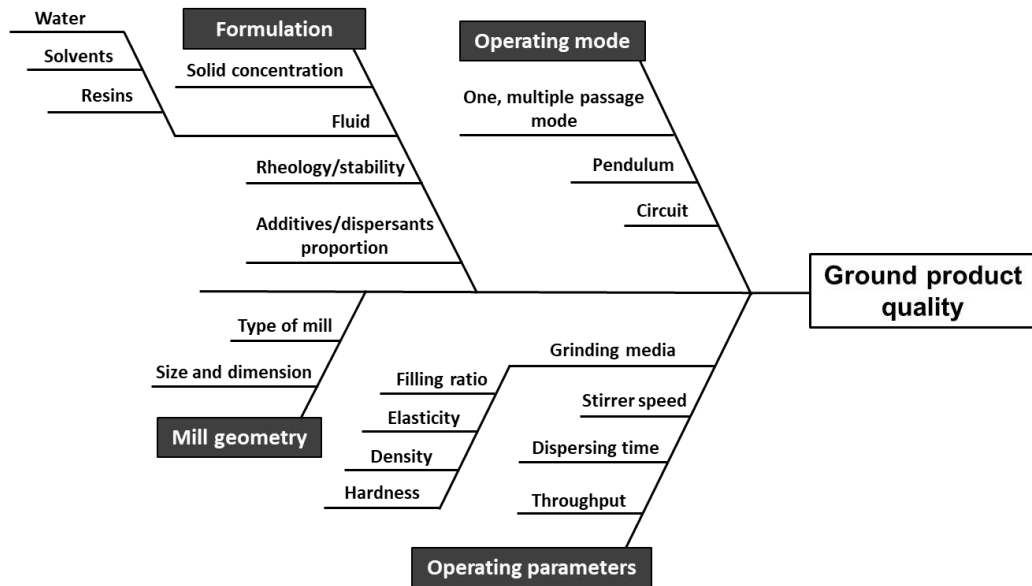


Figure 16. Ishikawa diagram with factors influencing the grinding result.

Table 11 summarizes the effect of the operating parameters [stirrer speed (Breitung-Faes and Kwade, 2014; Gao et al., 1996; Kwade, 2003), grinding media size (Herbst and Sepulveda, 1978; Tanaka, 1995), solid concentration (Inam et al., 2011; Strobel et al., 2018), and filling ratio (Guo et al., 2021; He et al., 2006)] on the final product taking into account the tendencies observed by different authors on the milling of diverse materials. It should be noted that the influence of the parameters such as stirrer speed, grinding media size, and solid concentration is difficult to quantify. The tendencies can vary in function of the material processed and also on the authors depending if they focus their interest on the kinetic breakage, product particle size, or the energetic efficacy of the process (Ouattara, 2010). An increase in the grinding media filling ratio improves the milling process; however, the value should not exceed 75-80% of the chamber volume since it could impede the free movement of the beads.

Table 11. Summary of the effect of the operating parameters on SBM process results. Influence can vary depending on the material treated.

Parameter	Stirrer speed	Grinding media size	Solid concentration	Product flow rate	Grinding media filling rate
(At same $E_m$ ) Effect on	↑	↑	↑	↑	↑
Kinetic breakage	↑	↑	↓	↓	↑
Product particle size	↓	↑	↓	↑	↓
Polydispersity	↓	—	—	—	—
Energetic efficacy	—	↑ (When optimal)	—	—	↑
Thermal effect	↑	—	—	—	—
The influence depend on the material treated.					Should not be higher than 75-80%



Stirred bead milling technology has proven to be for many industries a modern, sophisticated, flexible, and energy-efficient grinding solution for fine and ultra-fine grinding of materials. Obtaining the correct particle and PSD is crucial for many applications. Its use to process HA powders has not been amply investigated yet. A synthesis of the existing literature on the milling of HA using stirred bead mill will be presented in Chapter III.

Chapter III is dedicated to the study of the most important factors influencing the HA ground product characteristics. The aqueous suspension formulation (ceramic concentration, dispersant proportion...) and its influence on the suspension viscosity and stability, as well as, on the product quality after milling is evaluated. Then the different operational parameters such as stirrer speed, and grinding media size were under examination. Optimal parameters for energy saving on the production of HA powders depending on the needs were pursued. First, a suspension containing sub-micron-sized particles was obtained for its use in a posterior spray-drying process to produce spherical agglomerates. Then, another type of suspension composed of micron-sized particles was obtained to be used (after filtering and drying them) in VAT photopolymerization technologies.

### 3.3 Spray drying of hydroxyapatite

In the same way as the previous section, in this one we will expose a general overview of the spray-drying process, starting with the technology basics, a short review of its applications, and the main parameters affecting the properties of the spray-dried powder.

The spray drying process is a one-step drying operation process in which a liquid feed is atomized into fine droplets defined as “spray” and just after evaporated by a hot drying gas having as product a dry powder. Four basic stages define the process: atomization of the feed, droplet air contact, droplet drying, and separation of the dried particles from the gas.

Samuel Peroy invented the spray drying process describing its principle in a patent issued in 1872 (“Improvement in drying and concentrating liquid substances by atomizing,” 1872). This invention comes from the need to reduce the food's weight and other materials during transportation. Manufacturers have continued improving the technology to adapt it to the large scale and for its application in many fields such as food, chemicals, and pharmaceutical (Broadhead et al., 1992; He et al., 1999; Langrish and Fletcher, n.d.). The dairy industry including whole milk, fat-enriched milk, and skim milk is the largest employer of spray dryers in food processing (Chen and Mujumdar, 2008). In the case of pharmaceutical applications, the most treated has been the obtention of dry extracts of active raw materials from plants. A broad range of active ingredients and excipients in pharmacy are produced yearly by spray drying (Sollohub and Cal, 2010).

Some of the spray drying main advantages that make it an interesting technology for the industry are described:

- Control of product size and morphology (Nandiyanto and Okuyama, 2011). In some pharmaceutical applications, the control of the PSD of products is of special relevance, for example, in pulmonary therapy (Seville et al., 2007).
- Maximum efficiency, minimal environmental impact, and continuous operation. Shortening the number of operations to reach the same product quality meaning a reduction of production costs (Jain et al., 2012).
- Enhancing the solubility of poorly soluble drugs. Through this process, amorphous materials and metastable form are obtained. Thus, improving the solubility and bioavailability of the products (Chen et al., 2004; Jung et al., 1999; Yi et al., 2008). The co-spray drying of ibuprofen with ordered mesoporous silica acts as an example (Ruffel et al., 2020; Shen et al., 2010).
- The microencapsulation of active ingredients modifies the release profile while protecting it from degradation (Ré, 1998). It has been largely used for the microencapsulation of food materials, for example, the encapsulation of flavours in Arabic gum since the 1930s (Krishnan et al., 2005).

The atomizer is the device producing the atomization of the feed. Exist different types on the market: spinning disk, pressure nozzle, pneumatic atomizer, two-fluid nozzle, and sonic nozzle. By choosing the type of atomizer we can control the droplet size which will influence the final particle size. For small-scale production, the lower consumption of atomizing gas by the two-fluid nozzle is preferred. The type of contact between the spray and the hot gas (air or nitrogen) is determined by the direction of both spray and drying air (Crosby, 1989; Ziaee et al., 2019). Several types exist:

- Co-current dryer: Spray and hot airflow in the same direction inside the chamber. It is considered the best design for heat-sensitive substances (e.g., active pharmaceutical ingredients). The hottest drying air is in contact with the droplets just after being released from the nozzle, producing a quick drying compared with other designs.
- Counter-current dryer: The hot air flows in the opposite way of the spray. This type is recommended for materials keeping internal moisture since longer cycles of heat are required to draw the moisture.
- Mixed flow (fountain type): It is a combination of the two previous air flows. The solution is sprayed by the atomizer that is placed in the central part of the drying chamber. The particles move through the drying chamber experiencing both co-current and counter-current phases. This mode is suitable for heat-stable products where coarse powder requirements necessitate the use of nozzle atomizers, spraying upwards into an incoming airflow, or for heat sensitive products where the atomizer sprays droplets downwards towards an integrated fluid bed.

Dried particles are separated from the gas stream and collected by a conical container called cyclone. The gas containing the particles enters into the cyclone with an inlet velocity and moves in a spiral pattern. The strong swirling flow forms a vortex inside, then the large particles won't be able to follow the curve of the vortex. They will progressively collide with the cyclone walls (by the effect of centrifugal force), lose speed, and finally fall at the bottom of the cyclone being collected. Small particles will remain in the gas

stream exiting the cyclone by the gas outlet located at the top. This kind of separation is considered an efficient method since it is a cheap and continuous process without consuming energy, fitting conditions of temperature, and process pressure.

Many parameters can be adjusted by the operator to modify the properties of spray-dried substances (Buckton et al., 2002; Littringer et al., 2012; Maa et al., 1997). Table 12 summarizes the parameters and their effect on the final properties of the spray-dried product.

Table 12. Spray drying parameters and their influence on the properties of the spray-dried product. Adapted from Buchi® “Mini Spray Dryer B-290 process parameters” and (Santos et al., 2018).

Parameter	Inlet temperature	Aspirator	Humidity drying gas	Spray gas flow	Feed rate	Solid concentration	Organic solvent instead of water
Effect on	↑	↑	↑	↑	↑	↑	↑
Outlet temperature	↑	↑	↑	↓	↓	↑	↑
Particle size	—	—	—	↓	↑	↑	↓
Humidity in final product	↓	↓	↑	—	↑	↓	↓

	High influence		Moderate influence		Minor influence
	Increasing parameter		Increasing variable		Decreasing variable

Before carrying out spray drying experiments, several questions related to the material should be answered: Kind of material, historical references about its spray drying, temperature sensitivity, phase-change information, hygroscopicity, hazardousness, aqueous or solvent-based, explosion risk, particle size requirements.

We can find numerous research studies about the spray drying of hydroxyapatite, being the biomedical the most recurrent application of the agglomerated products. Either the spray drying is used as a means for the production of HA chemically (Erdem, 2013) and from natural sources (Kusrini et al., 2012) or to tailor the powder properties for its use in medical applications (e.g., plasma spray) (Bastan et al., 2016; Murtaza et al., 2012). In addition, the influence of the experimental conditions on the final dried HA powder has been largely studied (Bastan et al., 2017; Yang and Wang, 2001).

Chapter IV is then devoted to the study of the parameters influence on HA spray dried product. The aqueous suspension formulation (binder dosage, solid concentration...) and the impact on suspension stability and viscosity are evaluated. Also, its influence on the final product quality was under examination. Operational parameters' effect on final HA microspheres was evaluated. Finally, the tailoring of HA powders was carried out to obtain powders with certain morphology enhancing the flowability properties needed for a PBSLP process.

The next chapter (chapter II) presents the materials and methods used along with the whole thesis work. It will serve as an introductory description of the techniques used for the characterization and evaluation of thesis products. It also includes the methods followed for the synthesis of HA and CIA which are the starting powders used during our work.

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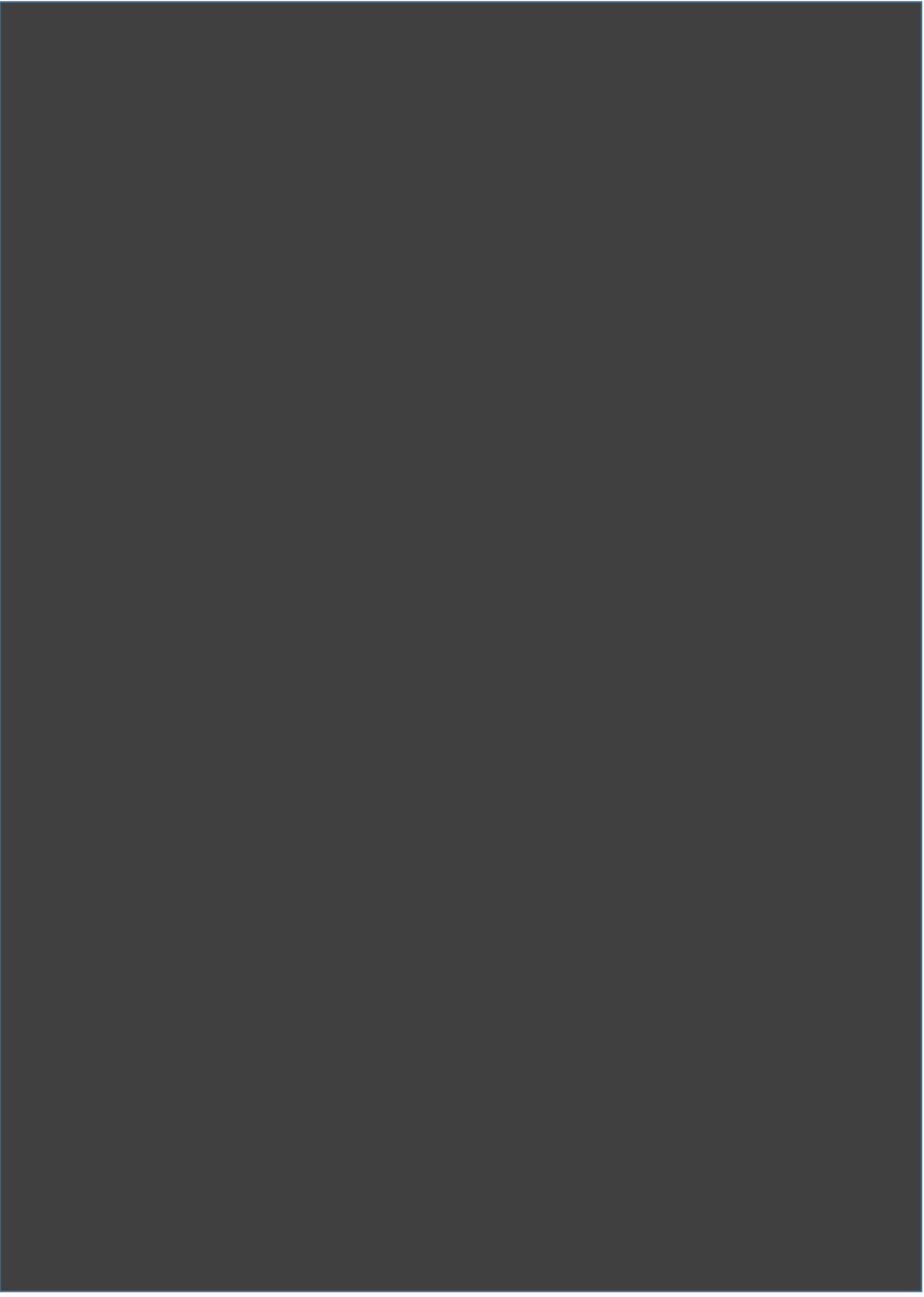
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**Chapter II. Materials, characterization  
methods, and syntheses.**



## 1. Introduction

This chapter serves as a general description of the materials employed throughout the entire thesis, as well as, the methods used for their characterization and syntheses. After a description of the materials used, an overview of the techniques used to characterize the products is given. Starting with the most used techniques to analyse calcium phosphate (CaP) materials in literature, which are the main protagonists in this work. Since aqueous and organic hydroxyapatite (HA)-filled slurries were prepared during our study, the general principles of suspensions together with the methods used for the evaluation of their stability and rheology are defined. Finally, we offer a description of the procedures followed for the preparation of stoichiometric HA and chlorapatite (ClA) calcium phosphate powders and their examination.

All the equipment used in this thesis were part of two different establishments:

- Analysis and processes service (SAP) which is a shared analytical service of the LGC laboratory. This service brings together essential analysis equipment for this laboratory with dedicated technical staff.
- The CIRIMAT technical competence centre, which involves the equipment of nine different competence centres.

## 2. Materials

Materials used for this work are presented in Table 1. All the chemicals were used as received and without further purification.

The water used in this study, both for preparing the suspension of reagents and media, and for cleaning the equipment was ultrapure water (18.2 M $\Omega$  at 25°C), dispensed from the equipment “Purelab Ultra” of VWS Ltd. Its density was found to be 0.9952 g.cm<sup>-3</sup> at 25°C whereas its pH varied between 6.3 to 6.8 at 25°C.

185 mm diameter very slow filtering (grade:393), purple dot filter paper discs from SARTORIUS were used for vacuum filtration during this thesis. Composed of 100 % cotton linters with an  $\alpha$ -cellulose content of > 98 % have been acid-washed to make the papers ashless and achieve high purity.

All the glassware, beads, alumina crucibles (SCERAM Advanced Ceramics), and planetary mill alumina jar used within this study were, when needed, washed by soaking them in a 10% v/v HNO<sub>3</sub> aqueous solution for at least one day and followed by washing with plenty of ultrapure water. After washed, they were dried at room temperature before being used.

Table 1. Summary of materials used during the thesis.

No.	Chemical name	Chemical structure	MW (g.mol <sup>-1</sup> )	Supplier	Used for
1	Ammonia solution 28%	NH <sub>3</sub>	17.031	CARLO ERBA <sup>®</sup> Reagents	Reagents for HA synthesis (chapter II)
2	Calcium Nitrate 4-hydrate	Ca(NO <sub>3</sub> ) <sub>2</sub> *4H <sub>2</sub> O	236.150	Dr. Paul Lohmann <sup>®</sup>	
3	Orthophosphoric Acid, 85%	H <sub>3</sub> PO <sub>4</sub>	97.994	CARLO ERBA <sup>®</sup> Reagents	
4	Ethanol, absolute, >= 99%	C <sub>2</sub> H <sub>5</sub> OH	46.070	Fisher <sup>®</sup> Scientific	Washing scientific glassware
5	Nitric Acid, 65% Ultra Pure.	HNO <sub>3</sub>	63.010	Chem Lab <sup>™</sup>	pH control, alumina crucible cleaning
6	TIMREX <sup>®</sup> KS 44 Graphite	C	12.010	Kindly provided by Imerys <sup>®</sup> Graphite & Carbon	Powder bed selective laser processing feedstock preparation (chapter V)
7	Polyvinyl alcohol (Rhodoviol <sup>®</sup> )	CH <sub>2</sub> CHOH	44.050	Sigma-Aldrich <sup>®</sup>	Spray drying process (chapter IV)
8	Ammonium polymethacrylate (DARVAN <sup>®</sup> C-N)	C <sub>4</sub> H <sub>9</sub> NO <sub>2</sub>	103.120	Kindly provided by Vanderbilt Minerals <sup>®</sup> , LLC	HA aqueous suspensions preparation (chapter III and IV)
9	Polyethylene glycol 200 (PEG200)	H(OCH <sub>2</sub> CH <sub>2</sub> ) <sub>n</sub> OH	190.000	Sigma-Aldrich <sup>®</sup>	Photosensitive organic resin preparation (chapter VI)
10	Isopropyl alcohol (IPA)	C <sub>3</sub> H <sub>8</sub> O	60.100	Charbonneaux brabant <sup>®</sup>	
11	Dentifix HR	N/A	N/A	FunToDo <sup>®</sup>	
12	Ammonium chloride, 99+%, pure	NH <sub>4</sub> Cl	53.490	ACROS Organics <sup>™</sup>	CIA synthesis (chapter II)
13	Calcium hydroxide	Ca(OH) <sub>2</sub>	74.100	Merck <sup>®</sup>	
14	Tris(hydroxymethyl)methylamine	H <sub>2</sub> NC(CH <sub>2</sub> OH) <sub>3</sub>	121.140	Electran <sup>®</sup>	Degradation rate test (chapter VI)
15	Hydroxyapatite commercial powders (300-08-2 & 206.93.003*)	Ca <sub>5</sub> (PO <sub>4</sub> ) <sub>3</sub> (OH)	3.160	Urodelia <sup>®</sup> SA	CIA synthesis (chapter II), starting material* (chapter III, VI), and 3D printing feedstock preparation (chapter V)

### 3. Calcium phosphates characterization

The characterization of bioactive calcium phosphates could be either the easiest or the most complicated task depending on the type of compound and the amount of information needed. The conjoint use of several techniques along with chemical analyses is of foremost importance for the precise characterization of complex CaP materials. This section is an outline of the main methods used to characterize CaP materials. Some of them have been used in future chapters to characterize different products as well as precursors. Rey et al. [1] presented a compilation of the most relevant characterization methods for calcium phosphates. The different techniques can be divided into different categories, chemical analysis methods, followed by diffraction methods, electron microscopy techniques, vibrational spectroscopies, and thermal analyses.

#### 3.1 Chemical analysis

Determining precisely the nature and relative amounts of the chemical elements composing the system is of particular importance for apatitic materials, which can accommodate a large number of ionic vacancies and which can tolerate many ionic substitutions. Such data hence allows decoding the chemical formula of the compound studied.

We can distinguish between three main types of ions in CaPs of biological origin and many synthetic apatites:

- Major ions such as  $\text{Ca}^{2+}$ , orthophosphates, including  $\text{PO}_4^{3-}$  and  $\text{HPO}_4^{2-}$ ,  $\text{CO}_3^{2-}$ , and  $\text{OH}^-$ ;
- Minor ions like  $\text{Na}^+$ ,  $\text{Mg}^{2+}$ ;
- Trace elements like  $\text{Sr}^{2+}$ ,  $\text{Zn}^{2+}$ ,  $\text{F}^-$ .

Table 2, based on the work of Rey et al. [1], summarizes the main techniques used depending on the determination of major and minor ions, and trace elements.

During the thesis the chemical characterization of the CaP products was crucial. Some of these techniques have been used, as FTIR spectroscopy and RAMAN analysis to characterize the CaP powders produced or used during this thesis. Such techniques are detailed in the next sections. Moreover, inductively coupled plasma – atomic emission (ICP-AES) spectroscopy analyses were performed in a Horiba - ULTIMA 2 for the determination of Fe, Zr, and Y ions impurities (cf. chapter III) and the content of  $\text{Ca}^{2+}$  ion for the dissolution rate analysis of printed parts (cf. chapter VI). We will provide precisely our experimental procedure for the measurements of each material.

Table 2. Main techniques used for the determination of different elements or species in calcium phosphates [1].

Element to determinate	Technique
Calcium	Atomic absorption spectroscopy (AAS)
	Inductively coupled plasma (ICP) emission spectroscopy
	Iometry (specific electrode)
	Ion chromatography
	Complexometry (e.g., with a complexing agent such as ethylenediaminetetraacetic acid (EDTA))
	X-ray fluorescence or electron microscopy-based techniques (microprobe analyses, energy-dispersive X-ray analyses)
Phosphorus (Orthophosphate ions $\text{PO}_4^{3-}$ , $\text{HPO}_4^{2-}$ , $\text{H}_2\text{PO}_4^-$ and pyrophosphate ions $\text{P}_2\text{O}_7^{4-}$ )	Inductively coupled plasma (ICP)
	Electron microprobe analysis
	Ion chromatography
	Vibrational spectroscopies
	Solid-state NMR
	Spectrophotometry (for the distinction between the different orthophosphate ions)
Carbonate	Coulometry
	Gas chromatography
	CHN classical analysis system
	FTIR spectroscopy
	Thermogravimetric determination
Hydroxide ions (Determination rarely made)	Substitution of $\text{OH}^-$ ions by $\text{F}^-$ ions (method proposed by Trombe and Montel [2])
	FTIR analysis ( $\text{OH}^-$ lines at $3570$ or $633\text{ cm}^{-1}$ )
	Raman analysis ( $\text{OH}^-$ stretching band at $3570\text{ cm}^{-1}$ )
Minor ions and trace elements (eg, Fluoride)	Spectroscopic methods (e.g., AAS)
	ICP-related methods (using emission spectroscopy or mass spectroscopy)
	Neutron activation analysis
	Iometry

### 3.2 Ca/P ratio and diffraction method

The atomic Ca/P ratio of calcium phosphates is one of their major characteristics. It is frequently used to characterize the apatite composition. Two cases can be found:

The first case concerns non-stoichiometric apatite with Ca/P ratios lower than that of the stoichiometric composition ( $\text{Ca/P} = 10/6 = 1.667$ ), which occurs when the apatite contains  $\text{HPO}_4^{2-}$  ions in place of  $\text{PO}_4^{3-}$  ions. In this case, the Ca/P ratio represents the number of cationic vacancies.

The second case concerns non-stoichiometric apatites with carbonate ions replacing phosphate groups (type B carbonate apatites). In this case, the Ca/P ratio does not represent the number of cationic vacancies and the meaning becomes more complex. Considering that there are only a few vacancies in the trivalent anionic sites of the apatite structure (all six sites are occupied either by phosphate or by carbonate groups), it is the  $\text{Ca}/(\text{P}+\text{C})$  ratio (where C represents the number of carbonate ions replacing phosphate groups) which represents the departure from stoichiometry and the cationic vacancies.

For complex compositions with other cations substituting calcium, for example,  $\text{Sr}^{2+}$ , in carbonated apatite, the atomic ratio related to cation vacancies is considered as  $(\text{Ca}+\text{Sr})/(\text{P}+\text{C})$ . In the same way when silicate or other ions are incorporated in place of phosphate the cationic vacancies possibly present are given by  $\text{Me}/(\text{P}+\text{Si})$ , where Me represents all the cations in the apatite structure.

The ratio is usually determined by solution chemical analyses, atomic absorption spectroscopy, or electron-microprobe spectroscopy. These methods have drawbacks like time-consuming, experimental error or they are not accurate. Some special techniques for the determination of Ca/P ratio using XRD have also been developed and standardized in the case of HA ceramics and coatings, which also imply several assumptions. It was further shown that for  $1.5 < \text{Ca/P} < 1.67$  the XRD method is even more accurate than the classical wet methods [1,3].

XRD is an essential method for the characterization of calcium phosphate materials. These experiments can be performed on monocrystals, polycrystalline bulk ceramics, coatings, or powder samples. The existence of several databases of diffraction patterns makes possible the identification of all crystalline calcium phosphate compounds. Some of these databases are the International Centre for Diffraction Data (ICDD) and the Joint Committee for Powder Diffraction Standards (JCPDS) among others. Some specific intense peaks in the patterns are used to recognize the main CaP phases; they are summarized in Table 3.

Table 3. Useful lines for the identification of main CaP and secondary phases in ceramics and thermal coatings by diffraction techniques (ISO 13779-3)

Phase	JCPDS folder	hkl	$d_{hkl}$ (Å)	$2\theta$ ( $\lambda_{Cu}=1.54$ Å)
$\alpha$ -Tricalcium phosphate ( $\alpha$ -TCP)	09-0348	4 4 1	2.905	30.753
$\beta$ -Tricalcium phosphate ( $\beta$ -TCP)	09-0169	0 2 10	2.880	31.027
Tetracalcium phosphate (TTCP)	25-1137	0 4 0	2.995	29.807
Calcium oxide (CaO)	82-1690	2 0 0	2.405	37.361
Calcium deficient Hydroxyapatite	09-0432	2 1 0	3.080	28.966
Hexagonal Hydroxyapatite	72-1243	2 1 1	2.810	31.820

The identification of the different phases needed for several biological applications has been standardized (Standard ISO 13779-3), mainly related to the high-temperature processing of apatites as coatings or ceramics. The method described is based on the use of reference samples and single peaks integrated intensity ratios and needs the establishment of a standardization curve using known mixtures of phases in presence. It is a very accurate technique, for the determination of the Ca/P ratios between 1.50 and 2. Non-stoichiometric HA are not stable and they decompose on heating at 900-1100°C depending on their Ca/P ratio. If the Ca/P ratio is lower than 1.67, the heated sample is constituted by stoichiometric  $\beta$ -TCP and HA. If the Ca/P ratio is higher than 1.67, a mixture of stoichiometric HA and calcium oxide is observed. For a Ca/P ratio equal to 1.67, no decomposition is observed. The foreign phases ( $\beta$ -TCP and CaO) can be detected with high accuracy by XRD and quantified [1].

In literature, we can find some examples for the calculation of the ratios of HA/  $\beta$ -TCP and HA/CaO mixtures by XRD like the one proposed by Zyman et al.[4] After the determination of the phase proportion through the main diffraction lines of each phase, the Ca/P atomic ratio of the initial non-stoichiometric powder, subjected to the calcination, can be calculated.

To characterize the composition of the powders treated during this work, calibration lines were obtained from a series of mixtures containing different ratios of HA,  $\beta$ -TCP, and CaO references. Graphs with the calibration lines are shown in Figure 1a (HA/  $\beta$ -TCP) and Figure 1b (HA/CaO). The lines represent the ratio between the phase's main peaks raw areas (Ra) versus the percentage concentration ratio (%/%) of the phases. The selected diffraction lines for the analysis were taken following the standard ISO 13779-3:2008:

The line (0.2.10) ( $d=2.88 \times 10^{-10}$  m) of the  $\beta$ -TCP.

The line (2.0.0) ( $d=2.405 \times 10^{-10}$  m) of the CaO.

The line (2.1.0) and (2.1.1) ( $d=3.08 \times 10^{-10}$  m and  $d = 2.81 \times 10^{-10}$  m) of the HA.

The best-fit parameter values of these calibration lines can be found in Table 4.

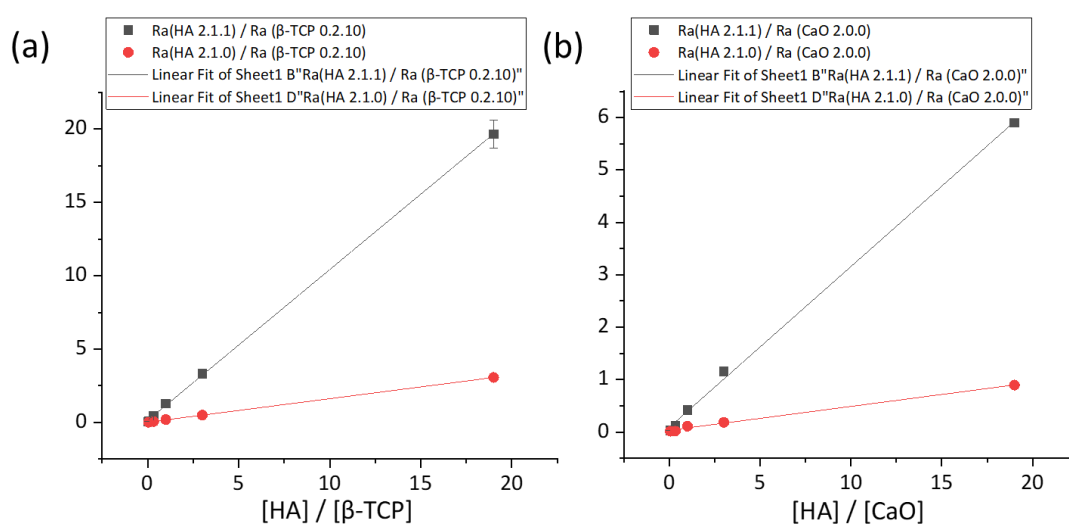


Figure 1. Calibration lines of the different calcium phosphate phases mixtures (a) HA/ $\beta$ -TCP and (b) HA/CaO for quantitative analyses.

Table 4. Best-fit parameter values for calibration lines of the calcium phosphate phases mixtures.

	Intercept		Slope		Statistics
	Value	Std Error	Value	Std Error	Adj. R-Square
Ra (HA 2.1.1) / Ra ( $\beta$ -TCP 0.2.10)	0.14	0.06	1.03	0.01	0.9998
Ra (HA 2.1.0) / Ra ( $\beta$ -TCP 0.2.10)	0.02	0.01	0.16	0.00	0.9990
Ra (HA 2.1.1) / Ra (CaO 2.0.0)	0.09	0.05	0.31	0.01	0.9983
Ra (HA 2.1.0) / Ra (CaO 2.0.0)	0.03	0.01	0.04	0.00	0.9941

The analysis of the samples following the calibration lines allowed us to calculate the percentage concentration ratio of each one of the phases present in the products. Once the weight percent of each phase was known, the Ca/P atomic ratio of the powders containing a mixture of HA and  $\beta$ -TCP (Ca/P < 1.67) was calculated following the formula described by Zyman et al. [4]:

$$\frac{Ca}{P} = \frac{3x+10(100-x)M_{\beta-TCP}/M_{HA}}{2x+6(100-x)M_{\beta-TCP}/M_{HA}} \quad (1)$$

Where  $M_{HA}$  and  $M_{\beta-TCP}$  are the molar weights of HA and  $\beta$ -TCP (1004.64 and 310.18 g.mol<sup>-1</sup>, respectively) and  $x$  the weight percent of  $\beta$ -TCP.

In the case of powders containing a mixture of HA and CaO (Ca/P > 1.67), a modified version of the equation shown previously was used:

$$\frac{Ca}{P} = \frac{x+10(100-x)M_{CaO}/M_{HA}}{6(100-x)M_{CaO}/M_{HA}} \quad (2)$$

Where  $M_{CaO}$  is the molar weight of CaO (56.08 g.mol<sup>-1</sup>) and  $x$  the weight percent of CaO.

Another method employing the integrated intensity of all the peaks of the phases present in the compound is the Rietveld refinement method developed by Luca Lutterotti [5]. This requires a knowledge of the crystallographic structure, including atomic positions of each crystallized phase and the assumption that the phases are pure, containing only specified atoms. This method was used to estimate the crystalline phase compositions of powders and obtained parts. More details about the procedure followed for the refinement will be offered in chapter V.

A BRUKER's X-ray diffractometers D8 using Cu K $\alpha$  radiation (wavelength  $\lambda = 0.15406$  nm), a nickel filter at 40 kV, and 40 mA was employed for the analysis of the phase composition and crystallinity of powders and solid parts produced in this work. Our experimental procedure followed for the measurements will be described in detail in chapters III, IV, V, and VI.

### 3.3 Electron microscopy techniques

The use of electron microscopy techniques for materials characterization can have several objectives:

- Composition determination using energy dispersive spectrometry (EDS) or wavelength dispersive spectrometry (WDS).
- Determination of the crystal morphology, their size, and organization, including pores distribution in ceramics.
- Analyses of crystal defects through lattice imaging at high resolution.
- Identification of phases at the microscopic level using electron diffraction.

Some CaPs exhibit their own crystal morphology, which can be used for their identification. A classification was proposed based on three major structural types: (1) the apatite-type structure also including apatite-related structures such as octacalcium phosphate (OCP), (2) the glaserite-type structure in which all the TCP polymorphs can be included, and (3) the  $\text{CaPO}_4$  sheet-containing compounds including dicalcium and monocalcium phosphate phases. However, this classification cannot be used for the determination of the crystal morphology of these compounds, since other parameters like formation conditions can control nucleation and crystal growth of CaPs [1]. Still, some morphologies can be considered as recurrent, some examples of scanning electron microscope (SEM) micrographs are shown in Figure 2.

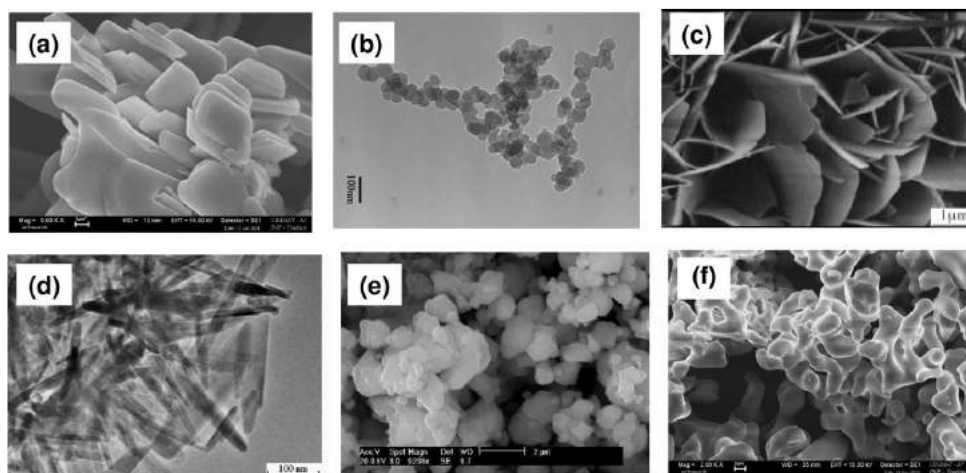


Figure 2. Example of typical morphologies of CaP compounds. (a) SEM image of dicalcium phosphate dihydrate (DCPD) platelet crystals; (b) TEM image of amorphous calcium phosphate (ACP), generally spherical units; (c) SEM image of OCP crystals, it can hydrolyse into apatite keeping the crystal habit; (d) TEM image of apatite crystals, well-crystallized apatites generally exhibit a needle-like morphology; (e) SEM image of  $\beta$ -TCP crystals; (f) SEM image of TTCP crystals. Retrieved from Rey et al. [1].

Upon heating, the morphology of apatite crystals changes considerably: the length is reduced and the width-thickness is increased, resulting in a lower crystal surface energy. The hexagonal shape, however, remains recognizable. Some preparations such as hydrothermal synthesis, slow hydrolysis of more soluble CaP salts (dicalcium phosphate dihydrate (DCPD), TCPs), and reactions in fused salts may give apatite crystals a very high aspect ratio (length/width ratio) that can be considered as fibres [6]. Mostly, the needle-like crystals tend to form dendritic spherical units.

Bone crystals appear as platelet crystals with a quite irregular shape, with an elongation on the c axis of the hexagonal structure but with different perpendicular dimensions along with a and b axis directions. A hexagonal crystal is supposed to possess equivalent a and b directions, it could be an indication of the formation of OCP precursor phases.

One of the main problems with these techniques is beam damage, which can lead to the decomposition of hydrated phases or even volatilize phosphorus. The high vacuum may also alter the hydrated CaP phases; however, environmental microscopes can be used. Calcium phosphates are nonconductive, which it makes necessary to limit the charge effect. For scanning electron microscopy (SEM), several techniques can be used:

- Coating with an electrically conductive layer (preferred for morphology examination)
- Working under altered vacuum
- Working at the low accelerating voltage at short working distances

In the present work, dry powder morphologies and parts surfaces were investigated with scanning electron microscopy (LEO 435 VP) equipped with a Ge detector (Imix-PC, PGT) and metallization of the ceramic surface with a thin film of silver using a Scancoat Six sputter coater. More details will be offered in chapters III, IV, V, and VI. Only in chapter V, an additional microscope was used for the analysis of specific printed samples. In this case, the analyses were performed in an FEI ESEM Quanta™ 450 FEG, an environmental scanning electron microscope (ESEM) used with a large field detector (LFD) in low vacuum (LowVac) mode without previous metallization of the surface of the sample.

### 3.4 Vibrational spectroscopies

FTIR and Raman spectroscopies are very useful techniques to identify most calcium phosphate phases. It is possible through the analysis of the internal vibrational levels of molecules and ions that can change depending on the short-range environments of these molecules or ions. Phosphate, carbonate, hydroxide ions, and water molecules are the main species detected by FTIR and Raman spectroscopies.

While some calcium phosphate phases cannot be well distinguished by XRD analysis, it is possible using FTIR and Raman spectroscopies. This is the case of OCP, HA, oxyhydroxyapatite (OHA), and amorphous phases. It is also possible to perform phase quantification using standard mixtures and standardization curves based on line intensity ratios. Raman spectroscopy allows also a mapping of the different phases in coatings. The main advantage over XRD is the identification of fine structural details, especially concerning apatites, such as the location of carbonate species on the different sites of the apatite structure, the presence of  $\text{HPO}_4^{2-}$  species, the quantification of the amount of  $\text{OH}^-$  ions, or the fine characterization of nanocrystalline apatites.

A detailed presentation of vibrational spectroscopy of CaPs was proposed by Rey et al. [7]. The main line positions of the FTIR and Raman spectra of different CaPs are reported in Table 5, Table 6, and Table 7 showing the four main vibrational domains for  $\text{PO}_4^{3-}$  group and carbonate ions. FTIR and Raman spectra of the most important CaPs are shown in Figure 3 and Figure 4.

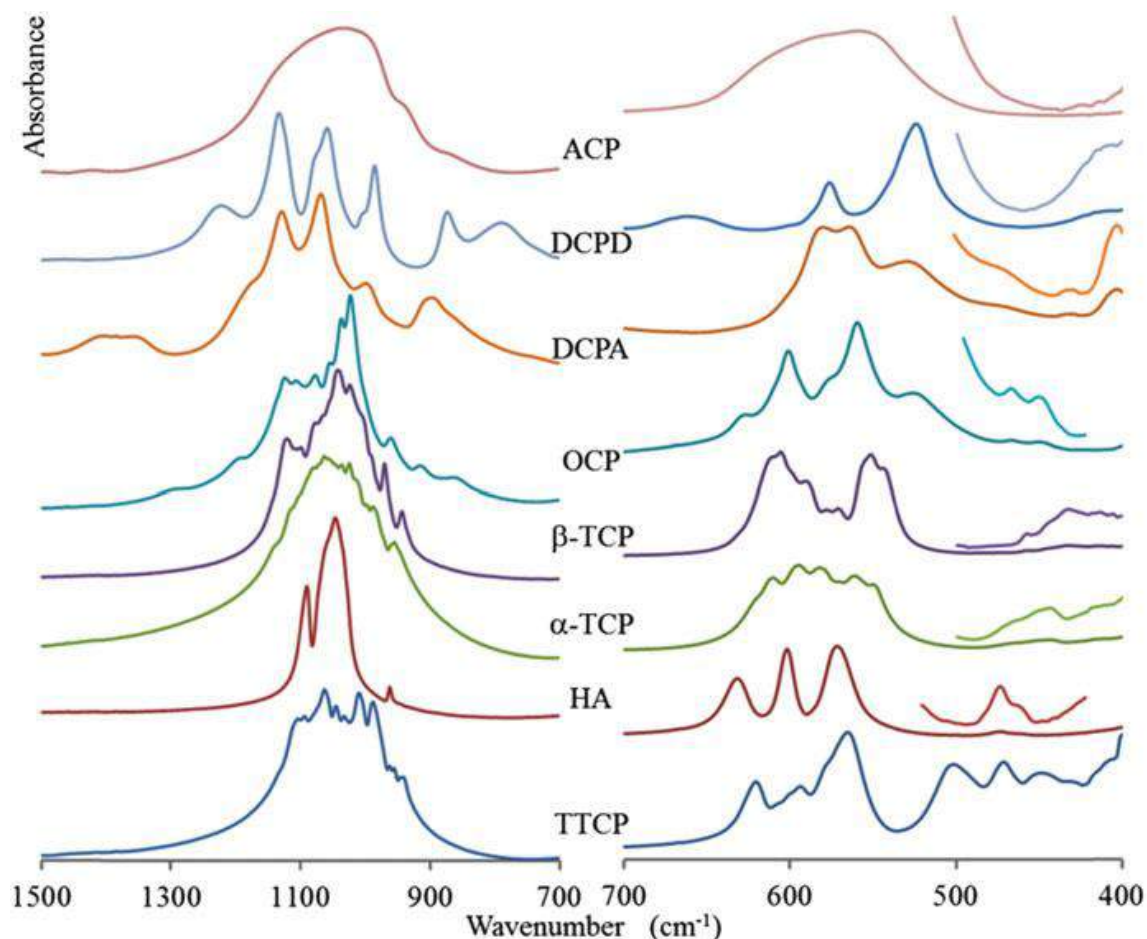


Figure 3. FTIR spectra of calcium phosphates in the domains of internal vibrations of phosphate ions. Retrieved from Rey et al. [7].

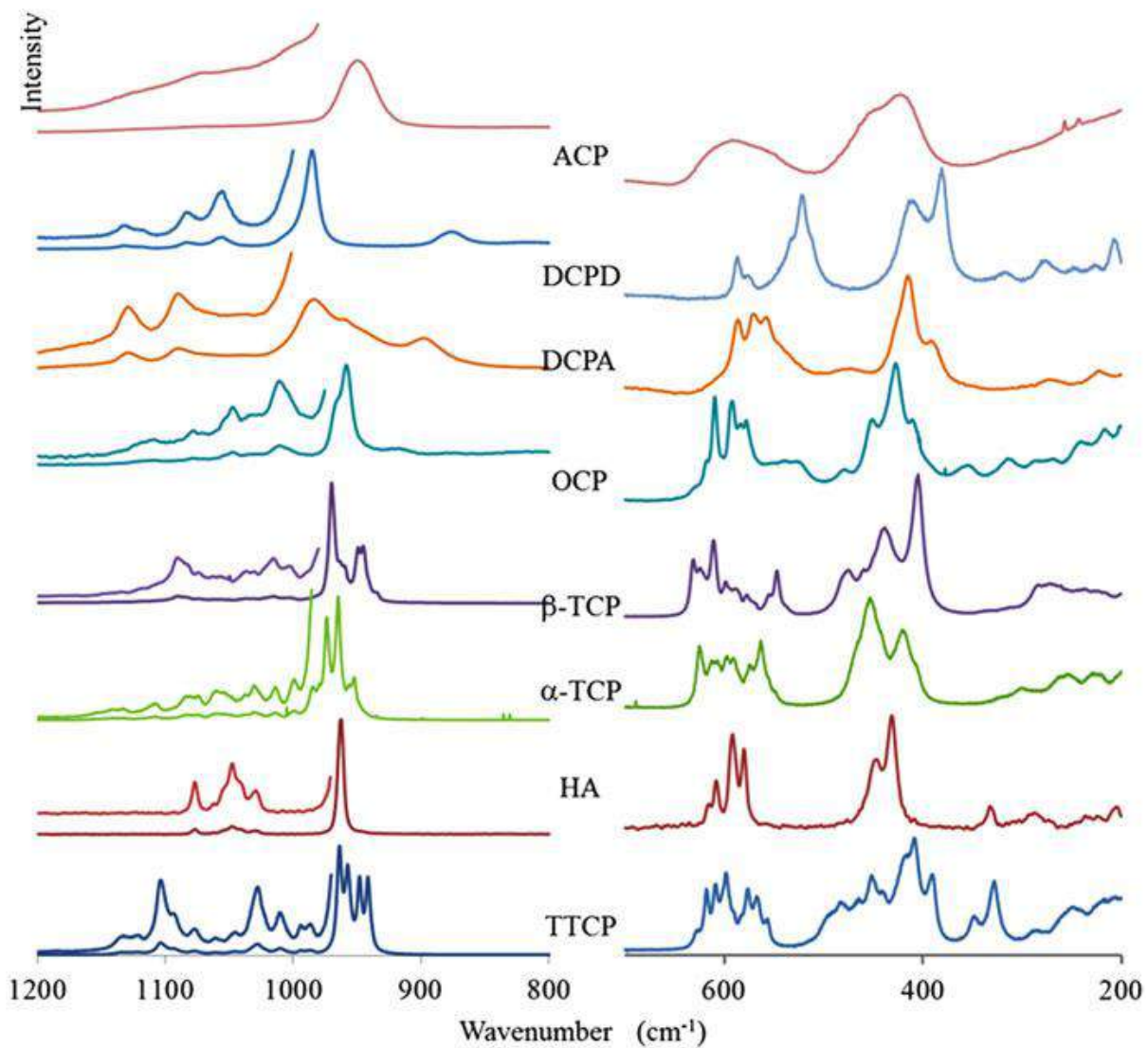


Figure 4. Raman spectra of calcium phosphates in the domains of internal vibrations of phosphate ions (for comparison purposes the wavenumber axis is in reverse order, like for the FTIR spectra). Retrieved from Rey et al. [7].

In the case of  $\text{OH}^-$  ions, there is only one vibrational domain corresponding to the stretching of the O-H bond ( $3400\text{--}3650\text{ cm}^{-1}$ ). However, a vibration line, corresponding to a rotational energy level of the ion in the lattice, is also observed ( $630\text{--}750\text{ cm}^{-1}$ ), within the usual wavenumber window of the technique.  $\text{OH}^-$  bands are essentially detected in apatites and related compounds like partly hydrolysed OCP. The  $\text{OH}^-$  stretching and vibration movements are very sensitive to hydrogen bonding, and it has been shown in fluoride- and chloride-containing apatites that the  $\text{OH}^-$  bands can be considerably shifted away from their position in HA. Carbonate ions are always present in biological apatites and can occupy different types of sites labelled as A and B: site A correspond to monovalent anionic sites of the apatite structure and site b corresponds to trivalent anionic sites.

Water molecules exhibit three vibrational modes: the symmetric stretching mode ( $\nu_s$ ) and the antisymmetric stretching mode ( $\nu_{as}$ ) have very close energy and they are difficult to distinguish. They generally give a broad absorption band on FTIR spectra (3000–3700  $\text{cm}^{-1}$ ). The bending movement around 1640  $\text{cm}^{-1}$  gives a narrower band. Like OH, the water molecules are very sensitive to hydrogen bonding. In some calcium phosphates such as DCPD, the lines due to water molecules appear very specific and allow a clear identification. In other calcium phosphates (OCP, HA, ACP), however, the water bands appear rather unspecific [7].

FTIR analyses were employed in chapters III, IV, V, and VI for the characterization of the compounds present in powders and printed samples. A spectrometer Nicolet™ FTIR iS50 was used to perform the analyses together with a sample preparation based on the KBr pellet method. A deeper description of the procedure is offered in the corresponding chapters.

In chapter V a Horiba Jobin Yvon Labram HR 800 was used to perform the RAMAN analyses of the calcium phosphate printed samples. More details will be given in the specific chapter.

Table 5. FTIR line positions of calcium phosphates ( $\text{cm}^{-1}$ ; m medium, s strong, sh shoulder, v very, w weak. DCPA = dicalcium phosphate anhydrous. Retrieved from Rey et al. [7].

	TTCP	HA	$\alpha$ -TCP	$\beta$ -TCP	OCP	DCPA	DCPD	ACP
$\nu_3 \text{PO}_4$						1,400 w		
						1,350 w		
					1,295 w		1,215 m	
					1,193 w	1,175 m,sh		
					1,137 vvw	1,128 s	1,132 s	
				1,119 s	1,121 s			
	1,105 w				1,103 s			
	1,093 w	1,092 s		1,094 w,sh				
	1,073 w			1,080 w,sh	1,077 s		1,070 s	
	1,062 s		1,055 s		1,055 s	1,064 s	1,060 s	
	1,046 s	1,040 vs	1,039 s	1,041 vs				1,040 s
	1,033 m		1,025 s		1,037 s			
			1,013 s		1,023 s			
	1,010 s		997 s	1,010 w,sh	1,000 vvw		1,000 w,sh	
989 s		984 s						
$\nu_1 \text{PO}_4$				972 s		992 m	984 s	
	962 w	962 w			962 w			
	956 w		954 m					
	946 w			945 m				949 w, sh
	941 w							
P-OH of $\text{HPO}_4^{2-}$					917 w			
					861 w	892 m	872 m	
$\nu_4 \text{PO}_4$	620 w		613 m		627 vw			
	594 w	601 m	597 m	602 m	601 m			
			585 m	589 w				
	571 s	575 m,sh	563 m		575 w	576 s	577 m	
		561 m	551 m	550 m	560 m	563 s		560 m
501 w			541 m	524 w	525 m	526 s		
$\nu_2 \text{PO}_4$	471 m	472 vw	471 w			480		
		462 sh	463 w		466 vw			
			454 w					
	450 w		430 w	432 vw	449 vw	428 vw	418 sh	
	429 w		415 w			405 m	400 m	
	399 m					398 sh		

Table 6. Raman line positions of calcium phosphates ( $\text{cm}^{-1}$ ; *m* medium, *s* strong, *sh* shoulder, *v* very, *w* weak). DCPA = dicalcium phosphate anhydrous. Retrieved from Rey et al. [7].

	TTCP	HA	$\alpha$ -TCP	$\beta$ -TCP	OCP	DCPA	DCPD	ACP
$\nu_3 \text{PO}_4$						1,131 m	1,132 vw	
	1,119 vw						1,119 vw	1,118 w
	1,101 w				1,112 w			
	1,091 sh	1,077 w	1,077 w	1,090 w	1,079 vw	1,094 m	1,079 w	
	1,076 vw	1,064 w					1,061 m	
		1,057 w	1,058 w		1,052 w			
	1,045 vw	1,048 w			1,048 w			1,050 w
		1,041 w						
		1,034 w			1,036 vw			
	1,026 w	1,029 w	1,027 w		1,027 vw			
	1,008 w		1,012 w	1,015 w	1,011 m			
			998 w		1,005 w,sh			
$\nu_1 \text{PO}_4$	983 vw		976 s					
	961 vs	964 vs	964 s	970 s	966 s	988 s	986 s	
	956 vs		954 sh	948 s	959 vs			
	946 s							951 s
	940 s							
P-OH of $\text{HPO}_4^{2-}$					916 w	900 m		
					874 w		878 m	
$\nu_4 \text{PO}_4$	615 vw	614 w	620 w		619 vw			
	608 vw	607 w	610 w	612 w	609 mw			
	597 w	591 w	593 w		591 m	588 m	588 w	594 m
	576 vw	580 w	577 w		577 m	563 m		
	566 sh		563 w					
	556 vw			549 w				
	495 sh				523 w, b		525 w	
$\nu_2 \text{PO}_4$	481 sh			480 w				
	463 sh	448 w	451 w		451 m			451 m
	449 w	433 w		439 w				
	414 sh		421 w		427 m	420 w		419 m
	407 w			408 w	409 m		411 w	
	389 w				353 w	394 w	381 w	

Table 7. FTIR and Raman spectral characteristics of carbonate ions in different calcium phosphates (wavenumbers in  $\text{cm}^{-1}$ ). Retrieved from Rey et al. [7].

	Type A carbonate		Type B carbonate (in HA)		Type B carbonate (in FA)	Carbonate in ACP
	FTIR	Raman	FTIR	Raman	FTIR	FTIR
$\nu_3\text{CO}_3$	1542 s					1490 s
	1465 s		1462 s		1452 s	
			1412 s		1425 s	1425 s
$\nu_1\text{CO}_3$		1107 m		1070 m		
$\nu_2\text{CO}_3$	883 m	765 w	872 m		862 m	868 m
$\nu_4\text{CO}_3$	757 vw	675 w	718 vw		716 vw	
	670 vw		692 vw		695 vw	

### 3.5 Thermal analysis

Thermal analysis becomes very useful when processing CaP materials. Figure 5 shows the information related to the thermal decomposition of different CaPs. [1]

Considering apatites, there are two types of decompositions depending if the apatites are stoichiometric (reversible decomposition) or not (irreversible decomposition). The irreversible decomposition also occurs in the case of apatites-containing thermally unstable ions such as carbonate and  $\text{HPO}_4^{2-}$ , for example.

Stoichiometric HA is thermally very stable and is generally sintered at 1200-1250°C without strong alteration. It starts to lose water at 850 °C at a very low  $\text{H}_2\text{O}$  partial pressure and forming oxyapatite (OAP). Then, OCP decomposes into TCP and TTCP at about 1050°C and, at a higher temperature (1720°C), CaO and a liquid phase form. These reactions are theoretically reversible and, on cooling under a certain water partial pressure, HA structure is possible to be reformed [1].

This knowledge on the thermal behaviour of CaP materials has a big interest for the understanding of the processes happening during, for example, a laser-based 3D printing process. The high temperatures can produce the phase transition, so knowing the final phases obtained can give us an idea of the temperatures reached during the process. In addition, it can be helpful when designing a debinding-sintering process for the pyrolysis of the cured resin of the printed green bodies where any phase transition could affect the quality of the parts.

Thermogravimetric analyses (TGA) were performed during the work in chapter VI. A Setsys Evolution 1750 Setaram® was used to carry out the analyses. A deeper explanation of the procedure and temperature ramps is provided in the corresponding chapter.

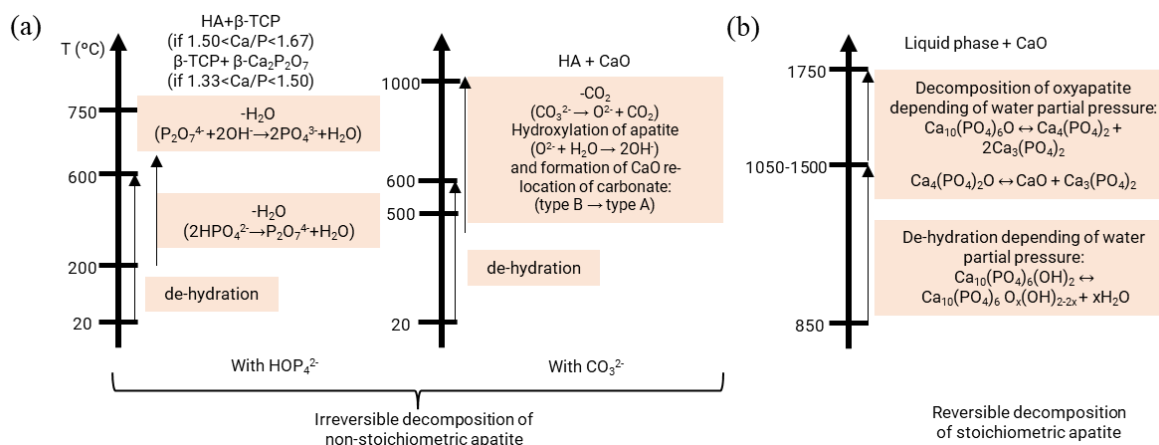


Figure 5. Thermal decomposition of apatites. For non-stoichiometric apatite (a) depending on their composition ( $\text{HPO}_4^{2-}$  or  $\text{CO}_3^{2-}$  or both) vacancies-containing apatites decompose differently, these reactions are always irreversible. For stoichiometric apatite (b), at high temperature (above 850°C) it decomposes reversibly into different CaP compounds depending on the temperature and water partial pressure. (Adapted from Rey et al. [1])

#### 4. Suspension characterization

This section will focus on giving a brief explanation of the general principles of suspensions, as well as their classification and characterization methods more relevant for the present study.

A suspension is a “heterogeneous mixture in which some of the particles settle out of the mixture upon standing” [8]. In comparison with a solution, coarse suspensions contain considerably larger particles (over 1000 nm) which are submitted to gravitational forces pulling them out of the dispersion medium. Then, an active mixing is needed to have a uniform distribution of the substances present in the mixture. When particles size is ranging 1-1000 nm the system is called colloidal suspension. Apart from the granulometric types of suspensions (colloidal, coarse) they can be mono-dispersed or poly-dispersed depending if the particles have the same size or not. Based on the solid content, suspensions can be classified as diluted (< 10 wt. %) or concentrated (10-50 wt. %). Then, depending on the nature and behaviour of solids, suspensions can be classified as flocculated (physical bridging forming particle aggregates) and deflocculated (individual solid particles).

During the present work, different suspensions containing ceramic particles will be prepared for their direct consolidation (stereolithography) or further processing operations (milling, spray drying...). Sometimes, particles size will be in the coarse and/or colloidal range depending on the application. To control the behaviour of the particles in suspension it is important to understand the different interactions taking place between the dispersed phase - dispersing medium interface (surface charge, electrical double layer, adsorption...). The total interaction will be the result of particle-particle, fluid-fluid, and particle-fluid interactions [9,10].

## 4.1 Stability of the suspensions

### 4.1.1 Interparticle forces

Suspensions are commonly thermodynamically unstable systems. Physical stability is defined as the state in which particles remain uniformly distributed, dispersed, and without showing any sedimentation. For specific applications (ceramics, pharmaceuticals, painting, etc.) reaching the stabilization of the media at least for the required duration of use (it can be hours, days, months, or years) is one of the scientific challenges to surpass. To obtain stable suspensions two conditions should be fulfilled, the size of the particle should prevent the effects of gravity, and repulsive interactions should predominate between the different interparticle forces. Four types of interparticle interactions can be identified in concentrated suspensions:

- “Hard-sphere” interactions from where attractive and repulsive forces are distinguished.
- “Soft” or electrostatic interactions are affected by double-layer repulsion.
- Steric repulsion from the interaction between grafted or adsorbed polymeric and surfactant layers.
- Van der Waals attraction is mostly caused by London dispersion forces.

A more detailed explanation of each of the four types of interparticle interactions was offered by Tadros [10].

Concerning colloidal suspensions, Brownian motion produces the collision between particles and if the attraction forces govern, particles agglomerate after the collision. Instead, if the repulsive forces are higher, the particles remain separated after the collision. The authors Derjaguin, Landau, Verwey, and Overbeek developed the DLVO theory allowing the electrostatic stability of a suspension to be established relatively easy [11–13]. It consists in superimposing the Van der Waals attractive potential and the electrostatic repulsive potential. The minimum of the resulting total potential fixes the equilibrium distance between the particles. Four different cases can be expected:

- Stable suspensions (low risk of agglomeration): the total interaction potential stays positive for any distance between particles.
- Suspensions in which particles tend to form agglomerates (agglomerates of feasible redispersion): total interaction profile shows a secondary minimum for long-range repulsive forces.
- Agglomerated suspensions: the total interaction energy is negative. The repulsive energy barrier is surpassed leading to the formation of agglomerates. These are reversible physicochemical interactions.
- Aggregated suspensions: Electrical double layers have covered themselves and non-reversible chemical bonds have been formed between particles. It is usual in short separation distances between particles of the same nature.

Electrolytes or macromolecules can be added to the suspension modifying the electric double layer repulsive force controlling the coagulation/dispersion state of particles [14].

#### 4.1.2 Sedimentation

The phenomenon inducing a certain velocity to the Brownian particles by the action of an external field is called sedimentation. This translational velocity is also referred to as settling velocity. The stabilization of ceramic suspensions has been largely studied intended for a wide variety of applications [15–17].

For low concentrated suspensions (0.5-2 wt. %) with non-cohesive particles, the particles settle individually. In this case, the rate of sedimentation of particles can be expressed by Stoke's law following the formula:

$$v_{Stokes} = v_{\infty} = \frac{(\rho_p - \rho_1)gd^2}{18\eta_{medium}} \quad (3)$$

Where  $\rho_p$  is the density of the particles with diameter  $d$ ,  $\rho_1$  the density of the liquid of viscosity  $\eta$ , and  $g$  refers to the gravity acceleration. Different factors can affect the rate of sedimentation [18]:

- Smaller the particle size the slower the sedimentation rate. Particle-particle interaction can induce the formation of aggregates or flocs which will increase the sedimentation rate.
- Closer the densities of solid particles and dispersion medium slower the rate of sedimentation. The density of the medium can be changed through the addition of, for example, non-ionic substances. When the density of the particles is lower than the medium density, the creaming phenomenon occurs instead of sedimentation.
- Higher the viscosity of the suspension slower the sedimentation rate. Suspending agents or thickening agents can be added to increase the viscosity. However, a too high viscosity can bring disadvantages such as making suspension handling difficult.

#### 4.1.3 Agglomeration of particles in suspension

The agglomeration of particles suspended in a fluid is a method frequently used to speed up their sedimentation. This strategy is regularly utilized in water potabilization and wastewater treatment processes. Conversely, the agglomeration of particles might be inconvenient in specific fields like materials, cosmetics, or paints. For this situation, the ideal objective is frequently to develop a homogeneous and stable slurry. Furthermore, the change of the particle size, due to agglomeration, can alter the viscosity of the medium. In the field of ceramics or particulate composites, the agglomeration of fillers should be controlled to guarantee the handling and performance of these materials. Mastering the mechanisms dominating the agglomeration

is important to ensure stable behaviour. The different particles agglomeration mechanisms in the presence or none of the surfactants will be briefly discussed below.

A micron particle suspended in water becomes charged, either by dissociation of functional groups from the surface of the solid, surrounding itself with the interphase of other ions or by forming an electric double layer by adsorption of ions present in water [19]. The particle in suspension interacts with three types of forces: electrostatic pressure, gravitational force, and osmotic pressure caused by the non-uniform distribution of ions in the aqueous medium (Figure 6).

When two charged particles approach each other in aqueous media, their electrical double layers interact by electrostatic forces. Adding a polyelectrolyte or a salt to a suspension containing negatively charged particles, the positive ions are displaced to the surface of the particles neutralizing the net charge of the particle. The diffuse layer is compressed and the particle collision energy barrier becomes weak. The particles can therefore cross this barrier and agglomerate. Moreover, if macromolecules are added, agglomeration can occur according to other types of mechanisms: agglomeration by depletion, and/or agglomeration by bridging [20].

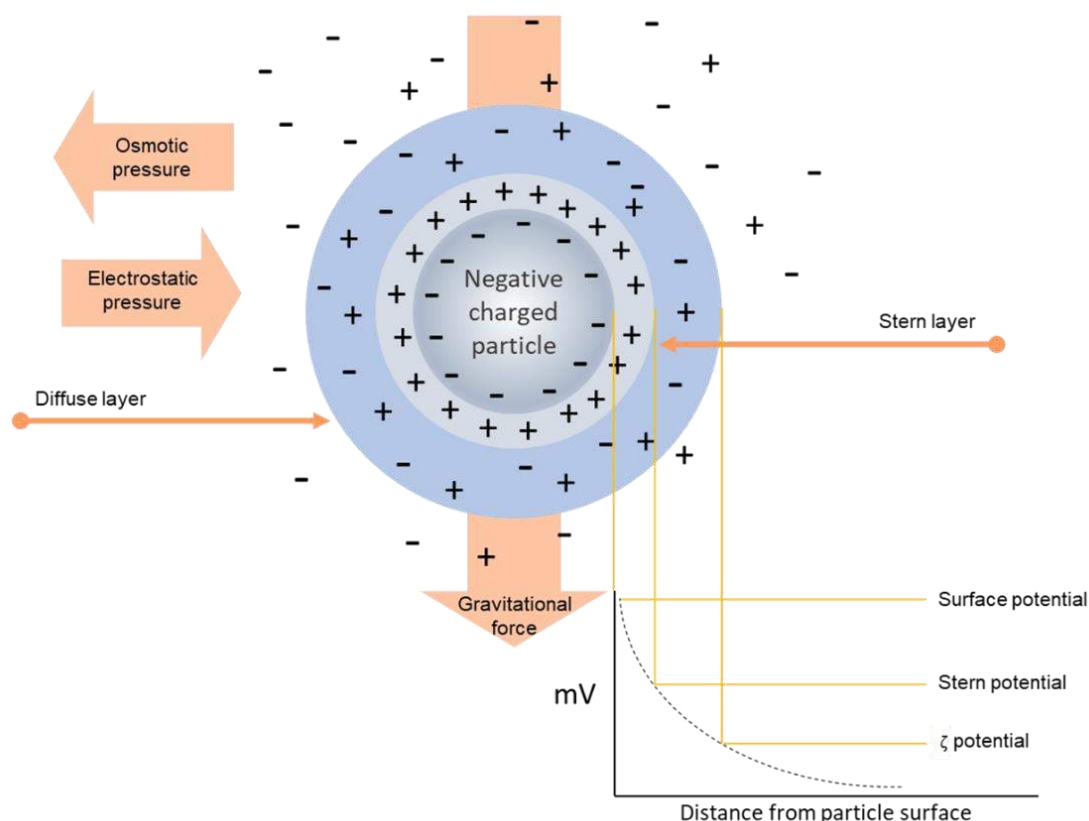


Figure 6. Schematic illustration of the electric double layer and the three types of forces interacting on the particle.

#### 4.1.4. Characterization of the stability

A brief explanation of each of the different characterization methods employed during this thesis to evaluate the dispersion stability of the suspensions is given below:

**Preliminary tests:** The explanation of the first method was offered by Garcia et al.[21] in their study on the agglomeration phenomena in ultrafine particles and the use of polyelectrolyte additives as a dispersing agent. This common preliminary test is used to evaluate the dispersion ability of a dispersant. It consists of the preparation of several test tubes containing the same amount of suspension (~30 wt. %) composed of fine particles. Different quantities of polymer are then added to each tube varying their final concentration. The tubes are then agitated for several hours at ambient temperature and after equilibration, a qualitative evolution of the suspension is followed. The separation particle sedimentation rate and the sedimentary volume are evaluated. This final sedimentary volume indicates the dispersion state of the suspension. It is dependant on the nature and the intensity of the interparticle forces as is illustrated in Figure 7. We could distinguish three different states: (i) Interparticle forces are highly attractive, large and loose-packed flocs are formed and the resulting sedimentary volume is important and not dense. (ii) The interactions are less attractive; the constitutive aggregates are smaller and the density of the sedimentary volume becomes higher. (iii) Interparticle interactions are repulsive maintaining the particles in a well-dispersed state and giving a maximum density of the sedimentary volume.

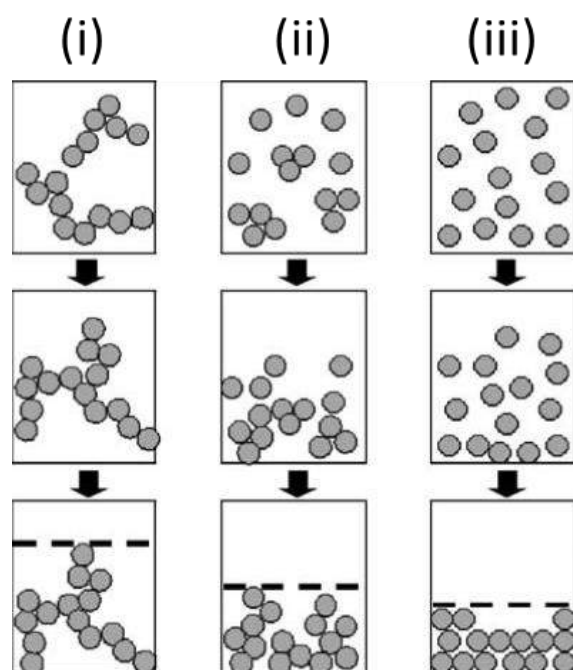


Figure 7. Effect of the dispersion state on the sedimentary volume. Retrieved from Garcia et al. [21]. (i) Highly attractive interparticle forces, (ii) less attractive, and (iii) repulsive interparticle interactions.

**Turbiscan<sup>LAB</sup> (Formulation) optical suspension analyser (Figure 8a):** This apparatus consists of 3 parts, an optical part called the read head, a mechanical part, and a software part called Turbisoft. This equipment, based on multiple light scattering, enables the analysis of suspension stability in its native state

without dilution. After placing the sample contained in a cylindrical cell (16x125 mm) inside of the apparatus, a read head, moved by the stepper motor, scans it vertically. Every 40  $\mu\text{m}$ , a diode emits a light beam in the near-infrared ( $\lambda = 860 \text{ nm}$ ) and it is diffused by the sample. At  $135^\circ$  and  $0^\circ$  there are placed two detectors to collect the backscattered and transmitted light beams (Figure 8b).

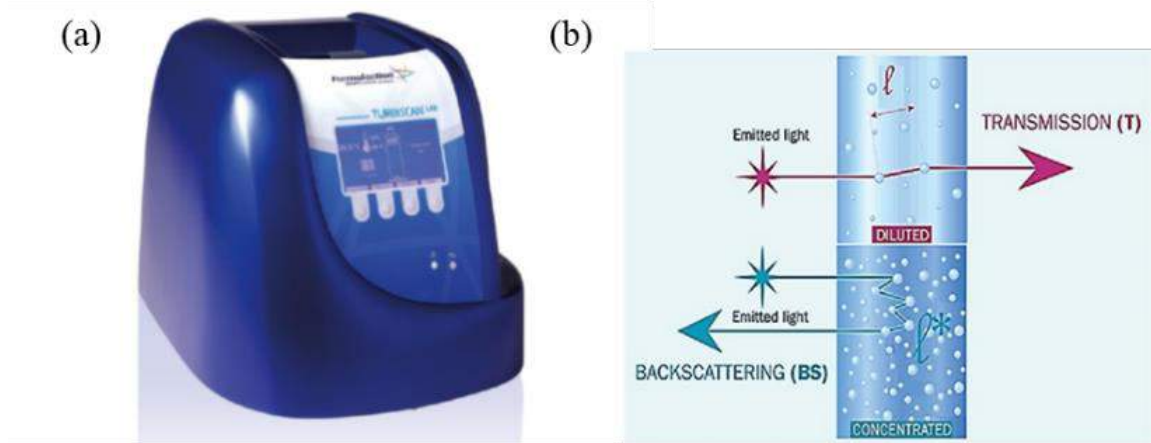


Figure 8. (a) Turbiscan<sup>LAB</sup> (Formulacton) optical suspension analyser and (b) scheme of the Turbiscan<sup>LAB</sup> measurement principle. (Adapted from equipment user guide).

Backscattered and transmitted profiles are registered to establish an image of the current state of the sample allowing the qualitative analysis of the phenomena due to destabilization (creaming, sedimentation, agglomeration...) (Figure 9). Destabilization kinetics and destabilization phenomena can be recognized and quantitatively assessed from these profiles.

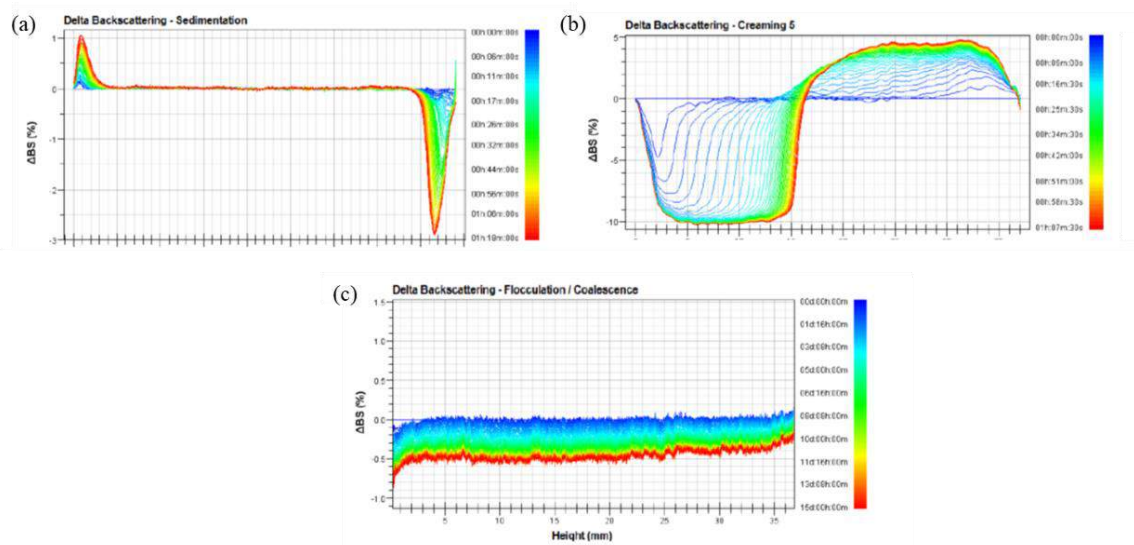


Figure 9. Delta backscattering examples of (a) sedimentation, (b) creaming, and (c) flocculation/coalescence suspension phenomena. (Adapted from equipment user guide).

Measurement of zeta ( $\zeta$ ) potential: The zeta ( $\zeta$ ) potential of particles in suspensions can be measured by Laser Doppler Velocimetry (LDV). A laser is used as a source of light, which is split into incident and reference beams. The incident beam passes through the sample cell and a detector detects the attenuated scattered light at angle  $173^\circ$ . When an electric field is applied, the particles move towards the electrodes and create a fluctuation of the scattered light. The intensity of the fluctuating scattered light will be converted to particle speed and then further computed into zeta potential thanks to the expression of the electrophoretic mobility:

$$U_E = \frac{V}{E} \quad (4)$$

Being  $V$  the particles speed and  $E$  the electric field.

Then the zeta potential  $\zeta$  can be obtained applying the Henry law:

$$U_E = \frac{2 \varepsilon \zeta f(\kappa a)}{3\eta} \quad (5)$$

Or

$$\zeta = \frac{3U_E\eta}{2 \varepsilon f(\kappa a)} \quad (6)$$

Where  $\varepsilon$  is the dielectric constant of the medium,  $\kappa$  is the Debye parameter,  $\kappa a$  corresponds to the ratio between the particle diameter  $a$  and the thickness of an electrical double layer, and  $\eta$  is the viscosity of the medium. The function  $f(\kappa a)$  changes with the concentration or the polarity of the suspension or the presence of salts. It lies between 1 and 1.5.

The analyses of the suspension stability were crucial during this PhD work, as it will be presented in chapters III, IV, and VI to obtain a proper performance during the milling, spray drying, and masked stereolithography processes. Different types of analyses were performed to compare the stability of suspensions (e.g., containing different dispersant dosages, particle sizes, solid concentrations...).

The preliminary sedimentation test was carried out to evaluate the use of a polyelectrolyte additive in chapter III.

The zeta ( $\zeta$ ) potential of HA particles in aqueous suspensions with different additives concentrations was measured in chapters III and IV. A zetasizer nano-ZS from Malvern Instrument was used for the measurements at a temperature of  $25^\circ\text{C}$ .

A Turbiscan<sup>LAB</sup> (Formulacton) was used to compare the dispersion stability of organic photocurable suspensions containing HA powders of different characteristics. A deeper description of the backscatter and transmission profiles will be given as well as the definition of the Turbiscan stability index (TSI) (a Turbiscan® specific parameter) in chapter VI.

## 4.2 Rheological behaviour of suspensions

Rheology is the science studying the relation between the forces (stress) applied to a material and its response as deformation or shear rate. Its application is crucial to understand the complex physics dominating the behaviour of a suspension.

The “suspension viscosity”  $\eta$  [Pa.s] is an intrinsic property that represents the behaviour between external applied forces (shear stress  $\tau$  [Pa]), and the internal response through deformation rate (shear rate  $\dot{\gamma}$  [ $s^{-1}$ ]). The viscosity  $\eta$  ( $\varphi$ ) is an essential function of  $\varphi$ , the volume concentration of particles in the suspension.

Then, rheometry is the group of experimental techniques employed to determine the rheological properties of materials. Two types of rheometers exist, the shear rheometers and the extensional ones. Inside of shear rheometers, two groups are distinguished, drag flows (shear produced between a moving and a fixed solid surface) and pressure-driven flows (shear generated by a pressure difference over a closed channel). A more extended description of the different experimental artifacts and the calculation of the rheological parameters were provided by Macosko [22].

From a rheological point of view, suspensions are classified according to their behaviour to the amplitude of the shear. Thus, we can distinguish:

- Newtonian suspensions whose viscosity is constant whatever the shear,
- shear-thinning suspensions (or pseudoplastic fluids) whose viscosity decreases with increasing shear rate,
- stress threshold suspensions (or Bingham plastic fluids) which do not begin to flow only from a certain so-called threshold constraint and whose viscosity decreases with increasing shear,
- shear-thickening (or dilating) suspensions whose viscosity increases with the shear rate.

This simple classification is observed only in limited ranges of shear and the described behaviours change when the volume fraction increases [23].

In this manuscript, a two-parallel-disk geometry (Figure 10) installed on a shear rheometer (TA Instruments AR2000) was used for the characterization of the viscosity of the HA-filled suspensions. More details will be given about the measurement's conditions in the next chapters.

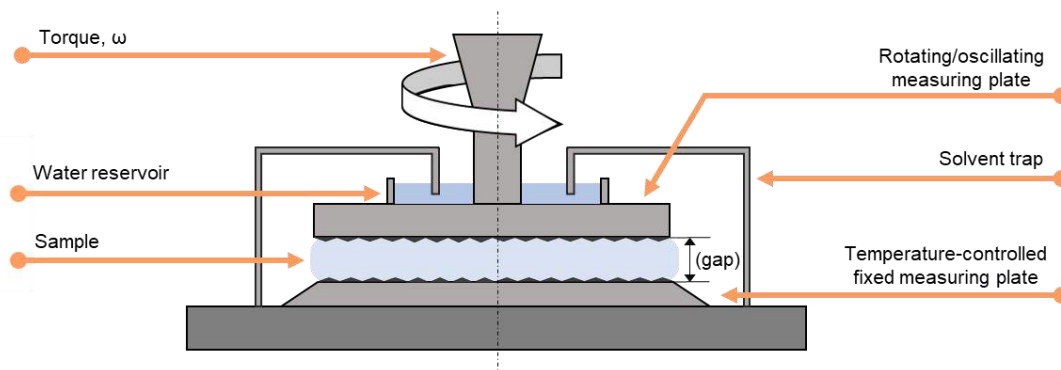


Figure 2. Schematic drawing of the rheometer parallel plate geometry with positioning of the solvent trap.

In chapters III and IV aqueous HA-filled suspensions were prepared and characterized for their correct processing by milling and spray drying processes. Then, in chapter VI HA-filled organic suspensions (photosensitive resin) were produced and analysed to study their processability in a masked stereolithography equipment.

### 5. 3D-printed parts characterization

New emergent technologies need to develop a series of international standards based on a set of consistent documents compiling terminology, test methods, and data exchange. It is needed to offer recommendations and advice to machine manufacturers, feedstock suppliers, machine users, part providers, and customers, as well as to improve communication between these stakeholders concerning test methods. Table 8 shows the structure of AM standards including terminology, process/materials, test methods, and design/data formats of AM models.

Table 8. Summary of AM international standards for ceramics. (Retrieved from European Committee for Standardization CEN/TC 438)

<b>Additive manufacturing general standards</b>			
<b>Terminology</b>	<b>Processes/Materials</b>	<b>Test Methods</b>	<b>Design/Data Formats</b>
ASTM F2792-12a ISO 17296-1 ISO/ASTM 52921-13 ISO/ASTM 52900	ISO 17296-2 NF E67-010	ISO 17296-3	ISO 17296-4 ISO/ASTM 52915-13
<b>Main quality characteristics and corresponding recommended test standards for ceramics (ISO 17296-3)</b>			
<b>Feedstock</b>			
Bulk raw material requirements	Powder particle size and distribution	ISO 13319 ISO 13320 ISO 24235 ISO 14703	
	Morphology	ISO 9276-6	
	Surface	ISO 18757 ISO 9277	
	Density (tap and apparent)	ISO 18753 ISO 23145-1 ISO 23145-2	
	Flowability/pourability	ISO 14629	
<b>Parts</b>			
Surface requirements	Appearance	ISO 16348	
	Surface texture	ISO 1302 (specification) ISO 4288 (measurement)	
	Colour	ISO 11664-1 ISO 11664-2 ISO 11664-4 ISO 11664-5	
Geometric requirements	Size, length and angle dimensions, dimensional tolerances	ISO 129-1 ISO 286-1 ISO 14405-1 (specification) ISO 1938-1 (measurement) ISO 2768-1	
	Geometrical tolerancing (deviations in shape and position)	ISO 1101 (specification) + Isostatism ISO 2768-2	
Mechanical requirements	Shear resistance	ISO 14129	
	Crack extension	ISO 15732 ISO 18756 ISO 24370	

		ISO 23146
	Hardness	ISO 14705
	Tensile strength	ISO 15490
	Impact strength	ISO 11491 <sup>a</sup>
	Compressive strength	ISO 17162
	Flexural strength	ISO 14704 ISO 14610
	Fatigue strength	ISO 22214 ISO 28704
	Creep	ISO 22215
	Frictional coefficient	ISO 20808
Build material requirements	Density	ISO 18754

<sup>a</sup> Specific to implants for surgery.

Chapters V and VI include several characterizations of printed parts obtained by either PBSLP and MSLA 3D printing techniques.

First to study the quality of the parts as implants for surgery the standard ISO-13175 was followed for two specific types of characterization methods:

To compare the mechanical properties between parts printed by a different set of parameters the compressive strength test was employed. The maximum amount of compressive load the parts could bear before fracturing was measured. Two different presses were used for the measurements depending on the sensibility of the load cell needed. A universal mechanical testing press HOUNSFIELD - Tinius Olsen Series- H25KS and an MTS Criterion® Model 42 electromechanical universal test system was used for the measurements.

The measurements of the in vitro dissolution rate of the bone substitutes parts and pH change of the dissolution medium were also performed. Calcium ions concentrations in the dissolution medium versus the soaking time (three days) were determined.

For the determination of the apparent solid densities two methods were followed (ISO 18754): Liquid displacement (Archimedes method) and geometric calculation (measurement of dimensions and mass).

$\mu$ -CT imaging, also referred to as X-ray microtomography was as well employed to evaluate the quality of the printed parts. This technique involves the use of rotating x-ray equipment, combined with a digital computer, to obtain images of the parts. Using  $\mu$ -CT imaging, cross-sectional images of parts can be produced without sacrificing clarity. It was also used to measure parts density and to detect defects to internal architecture.

A more detailed description of the followed procedures will be offered in the corresponding chapters.

## 6. Complementary characterization techniques

In this section, other techniques used in this work for the characterization of powders, suspensions, and parts are listed and briefly described:

- **Mercury porosimetry**: it is an analytical technique used to determine the material's porous structure of powders and parts. It is determined by applying different pressure levels to a sample soaked in mercury. The pressure required by the mercury to enter pores is inversely proportional to the size of the pores. The analyses were carried out in a Micromeritics, Autopore™ IV.

- **Laser diffraction analysis** is the most common technique among optical techniques for the characterization of the particle size distribution of powders and suspensions. It is based on the principle of diffraction and scattering of a laser (or a coherent and monochromatic light beam) by the particles. The diffraction pattern obtained depends only on the radius of the particle and can be expressed as a size distribution in area, volume, or number. A Mastersizer MS3000 Malvern Panalytical apparatus was used in this work. The wavelengths of the light-emitting diodes equipped in the Mastersizer are 632.8 nm (red laser) and 470.0 nm (blue light).

- Specific surface area analysis by **nitrogen adsorption**, following the Brunauer-Emmett-Teller (BET) theory. The BET theory allows to determine the pressure resulting from the adsorption or condensation of a gas (e.g., nitrogen, helium), called the adsorbent, that do not chemically react with material surfaces as adsorbates to quantify specific surface area. The calculation of the specific surface area is based on the theory of multilayer adsorption. Assuming that the first layer of gas molecules absorbed on the particle surface depends only on the adsorbent/substrate interaction energy and the subsequent layers condensing are only a function of the energy of vaporization (or condensation) adsorbent/adsorbent [24]. A Micromeritics, model ACCUPYC 1330 equipment was used to measure the surface area during this work.

- **UV-Visible/NIR spectrophotometer** equipped with integrating spheres accessory was used to measure the total reflectance of powder samples. The absolute transmittance and reflectance can be measured directly with high accuracy and the absorptance can be obtained from these by a simple calculation. The radiation, which is reflected by the powder sample and integrated by the sphere, arrives at the photo-receiver detector. The photo-receiver output signal varies proportionally with the intensity of the reflected radiation. It is registered by a digital processing oscilloscope. A predetermined photometry calibration was made using specimens with known reflectance. The study of a powder absorptance at a certain laser wavelength is of particular interest for the PBSLP process (Chapter V) because it allows one to determine the processing window suitable for sintering or melting without pronounced evaporation. A double beam spectrophotometer AGILENT UV/Visible/NIR and integration sphere accessory of 110 mm were used for the measurement.

- **Powder moisture**, is the loss in weight (%) after a drying process at 110°C until constant weight is obtained. The water content analyses were carried out on powdered samples in chapter IV with a commercial moisture analyser (MBT model from VWR®).

- **Powder flowability**: many techniques are available to characterize the flow properties of powders. Krantz et al. [25] provide a comprehensive description of different techniques, considering static and dynamic powder state conditions. Depending on the method used is possible to obtain a different kind of information. Techniques that reflect an aired state as the bed expansion ratio are appropriate to predict the fluidization performance, while methods with externally charged and compacted powder suggest static stability and compressibility ratio. Some of these methods are easy to access and can be simply accomplished (Angle of repose/ Bulk & Tap density). Others need higher sophisticated apparatus and corresponding investments (Ring Shear Cell, revolution powder analyser). However, any of these specific methods have been established for PBSLP powders. It is not completely clear which one of these methods provides reliable analytical data for PBSLP powders currently. In our work different methods were used to assess the powder flowability. The angle of repose, compressibility percentage, and Hausner ratio were obtained in a Hosokawa Micron's powder characteristics tester PT-X. The flow rate was analysed in discrete samples by measuring the time it took for 50g of powder to pass through the orifice to the nearest tenth of a second. Finally, the flow factor (ffc) of the powders, a flowability index according to the classification by Jenike [26] was measured in the previously mentioned universal mechanical testing press (HOUNSFIELD - Tinius Olsen Series- H25KS) following the procedure described by Parrella et al. [27]. More details of the parameters evaluated and procedures followed for the characterization of powders flowability will be given in chapter IV.

- **pH measurements**: The pH of the solutions and suspensions was measured by Hach Sension+ PH3 Basic laboratory pH meter, which was calibrated with standards pH 4, 7, and 10 each time before measurement.

## 7. Synthesis of calcium phosphate powders

### 7.1 Previous studies on hydroxyapatite (HA) and chlorapatite (ClA) syntheses

Orthopaedic joint replacement, prosthesis, and dental restoration employing bioceramic materials have been investigated since the 1960s; its interest is due to the many advantages compared to other materials. Currently, metallic prostheses are the most developed bone substitutes, and a hydroxyapatite (HA) coating, with chemical formula  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ , is used to improve the bone-implant interface. Thus, enhancing the osteointegration properties, shortening the surgery time, and obtaining better durability of the prosthesis over time. The quality of the coating is highly influenced by the characteristics of the HA powder used and its production has been exhaustively studied to be adjusted for the coating processing step. Consequently, numerous research laboratories, such as the “Centre Inter-universitaire de Recherche et d’Ingénierie des Matériaux” (CIRIMAT), the “Laboratoire de Génie Chimique” (LGC), and small and medium companies (SMEs) have investigated the production of HA powders for biomedical applications during many years.

Numerous methods to produce HA powder already exist: Wet chemical precipitation, hydro/solvothermal processing, solid-state, sol-gel...[28,29]. However, the simplicity and the possibility of variation related to the shape, size, and phase of the samples make the wet chemical precipitation an interesting method [30]. The collaboration of the previously mentioned academic laboratories (CIRIMAT and LGC) and an industrial partner (Urodelia<sup>®</sup> S.A.) made possible the realization of a deeper study on the HA precipitation and the scale-up of the process into a pilot scale, keeping a continuous procedure [31]. During this study, the influence of the operating conditions on the stoichiometric hydroxyapatite powder properties such as, morphology, crystallinity, and phase composition was evaluated. It was discovered that the most crucial step was the beginning of the process, during the formation of the large agglomerates. Some advantages observed comparing to the conventional processes were the improvement of the reproducibility, and the energy saving. After this initial study, the pilot-scale development of the process (1-5 tons / year) continued by members of the project in terms of know-how transfer and a start-up creation.

After this, the adaptation of the production process parameters to produce hydroxyapatite powders with suitable properties for additive manufacturing (AM) started with the present work. Finally, the tailoring of the HA powder properties for masked stereolithography (MSLA) and powder bed selective laser processing (PBSLP) techniques required more steps than only the synthesis. The impossibility of producing hydroxyapatite microspheres (giving a level of flowability to the dry powder required for a PBSLP process) directly from the synthesis makes the tailoring of the powder properties dependent on consequent processes. For this reason, and the need for important quantities of powder for future studies (such as, processes optimization), it was decided that powder produced commercially by Urodelia<sup>®</sup> S.A company, which share same properties (composition and morphology) with the powder produced in-house will be acquired as starting material. However, during this work, some preliminary syntheses were performed following the already optimized procedure to fully understand the HA production process. It was possible then to obtain

a general view of all the steps involved in the production of HA powder intended for AM technologies. Starting with the synthesis described in this chapter and followed by the milling, spray-drying, and additive mixing steps detailed in the next chapters.

The synthesis of an additional calcium phosphates powder is also detailed in the present section. Chlorapatite (CIA) with chemical formula  $\text{Ca}_{10}(\text{PO}_4)_6\text{Cl}_2$ , results from the chloride-substitution of the hydroxyl group in the hydroxyapatite structure. CIA feedstock powder was prepared for performance comparison against HA in a PBSLP apparatus. Interestingly, CIA was never been tested in a PBSLP despite its ability to melt without decomposition unlike HA, which could be one important advantage [32]. In addition, it has demonstrated highly enhanced bioactivity and osteoconductive compared with HA making it a very promising material for its use as a bone grafting material [33]. The production of CIA from hydroxyapatite chloride-substitution was previously studied by Demnati et al. [34] so a similar procedure was followed and only the HA powder used as precursor was changed.

As explained before, stoichiometric-HA and CIA synthesis methods were already optimized by previous works. In the case of the HA precipitation process, the optimal set of operating parameters described by Tourbin et al. [31] was followed. Only slight and scale-up oriented variations were imposed on the processes. A complete powders characterization was performed to ensure that the obtained powders share the same properties that the powders produced in previous studies.

## 7.2 Methods of characterization for in-house produced HA and CIA powders

The PSD of the particles in suspension and dry powders was measured by laser diffraction using a Mastersizer 3000 (Malvern Instruments Ltd.). In addition, the quality of the products was examined in terms of structural and chemical composition. A scanning electron microscope (SEM) LEO 435 VP equipped with a Ge detector (Imix-PC, PGT) was used to study the morphology of the powders through metallization of the ceramic surface with a thin film of silver using a Scancoat Six sputter coater. PSD of the commercial Hydroxyapatite microspheres (HAM) and SEM micrographs of the granules, can be observed in Figure 11.

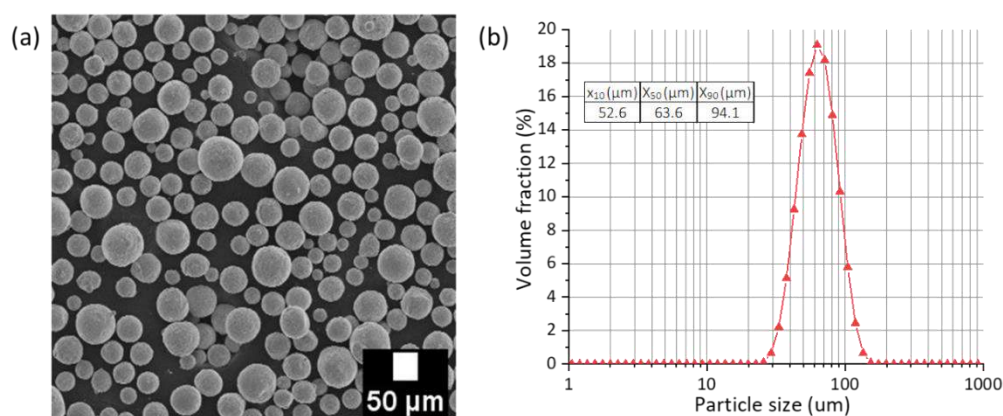


Figure 11. Morphology and microstructural analysis of the commercial HAM used for the production of CIA powder. (a) SEM micrograph, (b) Particle size distribution.

The analyses of the composition of initial and resulting powders were carried out by Fourier transform infrared spectroscopy (FTIR) performed by transmission using KBr pellets in a spectrometer FTIR iS50. The sample preparation consisted in weighing and transferring of about 9 mg of sample powder to a clean mortar. The sample was homogeneously mixed with dry KBr powder ( $300 \pm 5$  mg). A compression at 6000 kg was used to produce the analysed sample pellets. FTIR spectra was measured from the pellet in a 4000-400  $\text{cm}^{-1}$  wavenumber range with a step width of 0,48  $\text{cm}^{-1}$ .

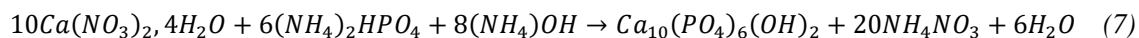
In addition, X-ray diffraction (XRD) analyses were performed by BRUKER's X-ray diffractometer D8-2 by using Cu K $\alpha$  radiation (wavelength  $\lambda = 0.15406$  nm) with a nickel filter at 40 kV and 40 mA. A chosen  $2\theta$  range between  $20^\circ$  and  $90^\circ$ , a step of  $0.03^\circ$   $2\theta$ , and a time per step of 0.2 s were used to record the diffractograms.

The quality of the precipitated HA was then evaluated since only the powders with a stoichiometric ratio Ca/P=1.67 are stable after calcination. Powders with a higher Ca/P ratio decompose into a mixture of HA and calcium oxide (CaO) phases while powders with a lower ratio decompose into HA and  $\beta$ -TCP phases. Calibration curves of HA powder combined with  $\beta$ -TCP and CaO at different weight fractions were produced to perform quantitative analyses of the calcium phosphate phases present in the produced powder. Data analysis, line fitting, and calculation of parameter values were performed using OriginLab 2018 software. A stoichiometric HA powder purity higher than 95% was pursued. In the case of the chlorination process, the main purpose was to obtain a total conversion of the HA into CIA microspheres by complete chloride-substitution of the hydroxyl groups of HA.

### 7.3 Synthesis of HA suspensions and powders

#### 7.3.1 Stoichiometric-HA synthesis procedure (wet-chemical precipitation)

The wet chemical precipitation synthesis of stoichiometric-HA follows the overall global equation:



The synthesis process was slightly adapted from the procedure described by Tourbin et al. [31]. The main reactor of 1L of capacity was used previously to perform the precipitation but, in the present work, there was a slight change on the scale of the process, and a reactor of 4 L was used instead to produce a higher amount of HA in the same batch. The quantities for the reagents were increased and the pumps speed was adjusted in accordance. Figure 12 shows the scheme of the set-up used for the precipitation process.

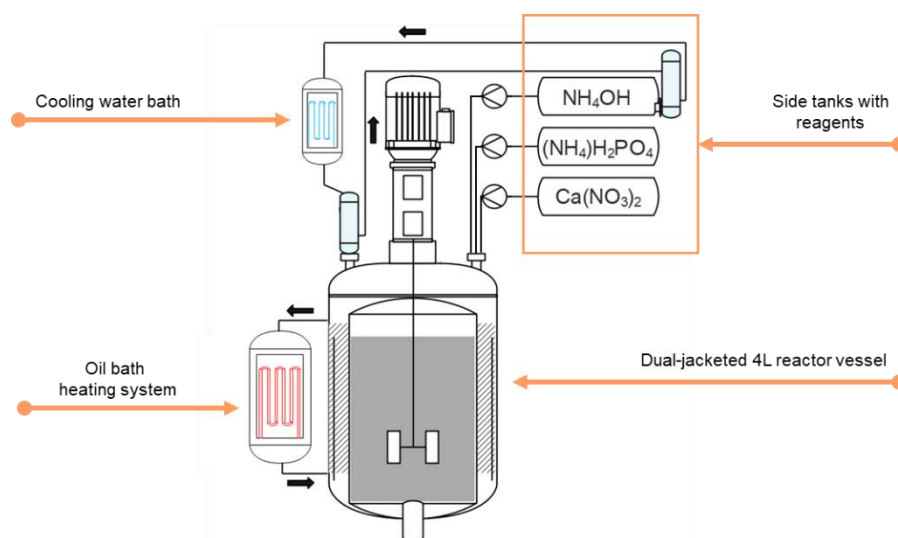


Figure 12. Schematic illustration of the stoichiometric-HA wet chemical precipitation synthesis set-up.

The main dual-jacketed 4L reactor vessel is equipped with an oil bath heating system for controlled heating during the process. Then it is connected to three side tanks containing aqueous ammonia ( $\text{NH}_4\text{OH}$ ), ammonium dihydrogen phosphate ( $(\text{NH}_4)\text{H}_2\text{PO}_4$ ), and calcium nitrate ( $\text{Ca}(\text{NO}_3)_2$ ) reagents solutions. The reactor is also equipped with a mechanical agitator with a three blades Lightning A310 axial stirrer of 6 cm in diameter, placed at one-third of the height of the reactor.

At the beginning of the process, an initial volume of calcium nitrate aqueous solutions is poured into the reactor and stirred at 1000 rpm, while the temperature of the reactor is raised reaching and keeping a temperature of  $80^\circ\text{C}$  during the rest of the process. The feeding of the three solutions contained in the side tanks is controlled employing peristaltic pumps set at fixed flow rates. The total pumped volume ratio of reagents during the precipitation was 1:1.7:1.4 for  $\text{NH}_4\text{OH}$ ,  $(\text{NH}_4)\text{H}_2\text{PO}_4$ , and  $\text{Ca}(\text{NO}_3)_2$  solutions respectively. The three side tanks are kept at room temperature. A refrigerant connected to the reactor and a cooling water bath is used to condense the ammonia vapor in a close circuit.

First, the ammonia solution is pumped into the main reactor to adjust the pH of the solution to 7.5 to avoid the formation of non-stoichiometric HA. Once the desired pH is reached (15 minutes), the precipitation starts with the continuous feeding of the three solutions. After 1 hour of synthesis, the reagents feeding is stopped but the stirring and the temperature of the reactor are kept constant during a further maturation step for 15 minutes. The final suspension is vacuum filtered, and the agglomerates are washed with distilled water. The filtration cake is then frozen overnight and then freeze-dried to obtain poorly crystallized apatite powder. Finally, this powder is calcined at  $1000^\circ\text{C}$  for 15 hours into an oven to obtain a completely dried and free of impurities crystallized HA powder.

Suspension samples were collected during the whole process to characterize the particle size distribution (PSD) evolution during the process.

### 7.3.2 Characterization of precipitated HA powders

Since the wet chemical precipitation process parameters were already optimized no further effort was employed on changing the process conditions. The stirring rate was varied since a scale-up of the process was performed from a reactor of 1 L of capacity to one of 4 L. Since the amounts of reagents were increased to obtain higher quantities of product, an increase in the stirring rate was needed for correct homogenization. Then from the 600 rpm of stirring rate defined previously it was increased to 1000 rpm for proper mixing of the compounds inside the process reactor. Aliquots were taken at different times during the process giving the PSD evolution shown in Figure 13a. A decrease of the particle size is observed with time, as well as a change in the number of particles populations from 1 to 3. It is remarkable the no variation in the PSD during the maturation phase. The SEM micrograph shown in Figure 13b confirms the presence of coarse and dendritic agglomerates with diverse proportions. A closer look at one of the agglomerates is shown in Figure 13c. They are composed of an assembly of crystallites with characteristic acicular morphology.

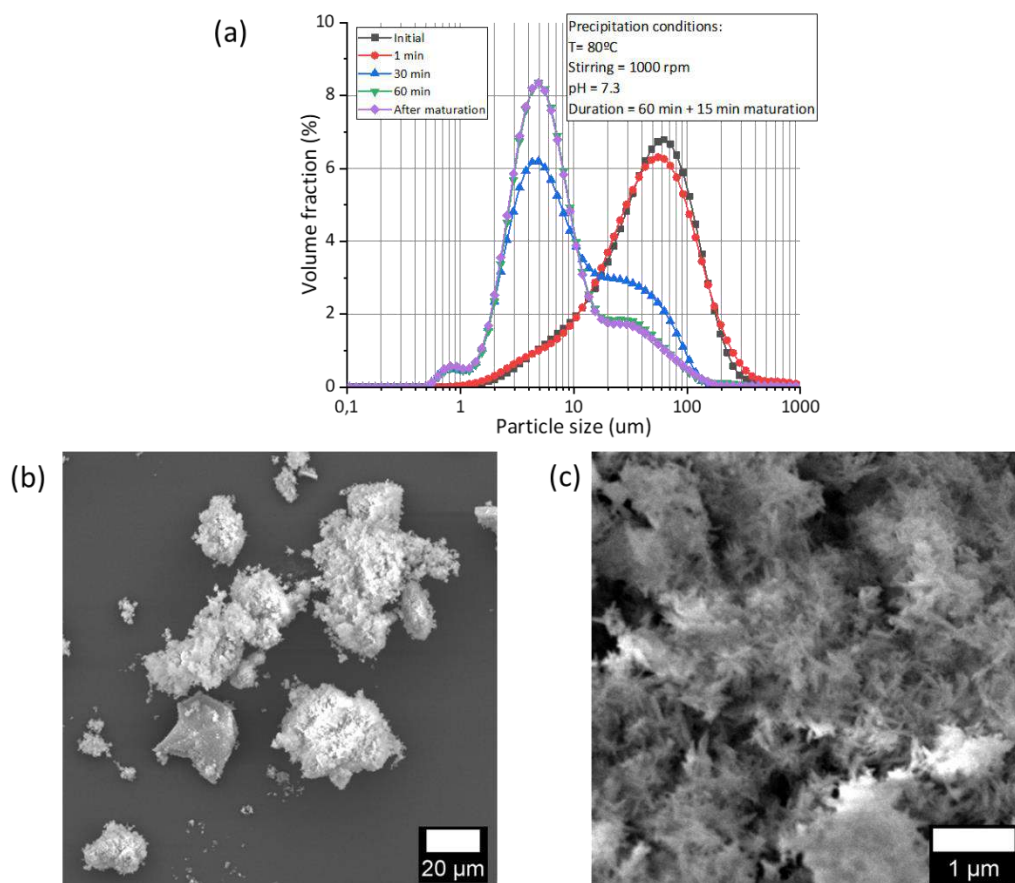


Figure 13. (a) HA powders PSD evolution during the wet precipitation process. SEM micrographs of HA powders produced showing (b) large agglomerates composed of (c) smaller needle-like particles.

For the quality analysis of the precipitation product, FTIR and XRD spectra were obtained of the produced powders before and after calcination. To determine the product quality, the standard ISO 13779-3:2008 was followed regarding the powder chemical composition (Ca/P ratio), and phase composition. A comparison between the XRD spectra of the powders obtained (before and after calcination) is shown in Figure 14. A clear increase in the crystallinity of the powder is observed for the powder after calcination. Crystallinity is understood as the degree of completeness of a crystal in the sense of crystallography. Normally, the bigger the crystal is, the better the crystallinity, and narrower and more isolated the XRD reflections. For example, a broad XRD peak means low crystallinity, and a sharper XRD peak indicates an increase in crystallinity [35]. Even if the crystallinity degree was not quantified, the differences between the XRD spectra denote an influence of the temperature on the HA powder crystallinity.

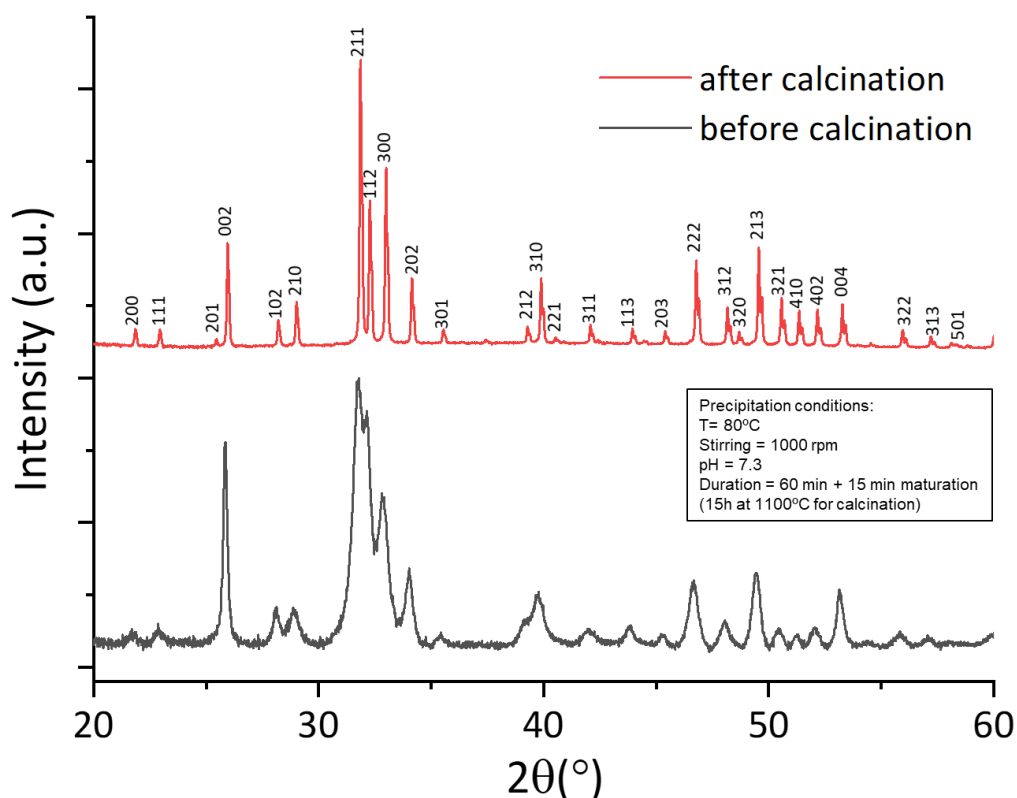


Figure 14. XRD analyses of the products obtained after the wet chemical precipitation process. Before and after the calcination process. All the diffraction peaks correspond to stoichiometric hydroxyapatite (JCPDS 00 009 0432).

The Ca/P ratio of stoichiometric HA is equal to 1.67, then a value considered acceptable for this ratio was in the range between 1.658 (which corresponds to a HA powder containing 5% of  $\beta$ -TCP) and 1.824 (HA powder + 5% CaO). It was found, through the comparison with the calibration lines obtained previously (Figure 1) that none of the powders produced in this study surpassed the mentioned limits of Ca/P ratio, so the composition was confirmed to be stoichiometric HA.

For a deeper analysis of the powder composition, FTIR analysis was performed before and after the calcination process. The spectra comparison can be observed in Figure 15. The broader peaks in the non-calcined powder spectrum to the calcinated one indicate a lower degree of crystallinity. It can be also observed that the calcination treatment results in the production of powder completely free of impurities from the precipitation process such as  $\text{CaNO}_3$ . No secondary phases were detected by FTIR analysis. The vibration bands corresponding to  $\text{PO}_4^{3-}$  are visible at  $1092\text{ cm}^{-1}$ ,  $1040\text{ cm}^{-1}$ ,  $962\text{ cm}^{-1}$ ,  $601\text{ cm}^{-1}$ ,  $575\text{ cm}^{-1}$ ,  $561\text{ cm}^{-1}$ , as well as the band belonging to  $\text{OH}^-$  at  $650\text{ cm}^{-1}$   $3600\text{ cm}^{-1}$  [7].

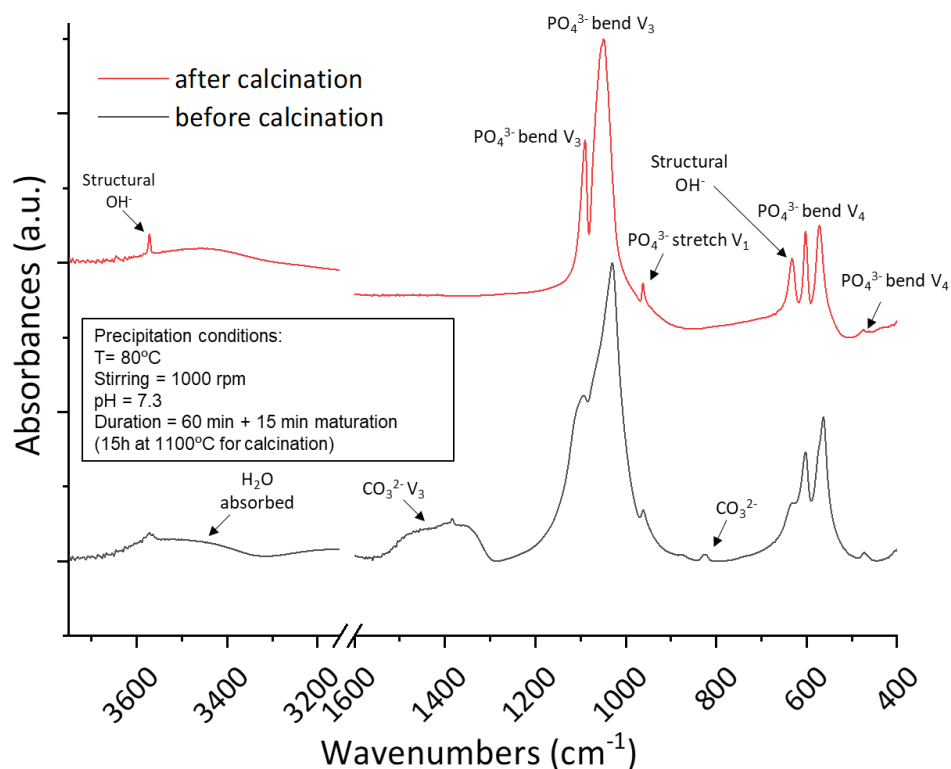


Figure 15. FTIR spectra of the product obtained after the wet chemical precipitation process. For comparison spectra before and after the calcination process are shown.

A SEM micrograph of the HA powder after calcination is shown in Figure 16. An increase in the size of the agglomerates is observed compared to the agglomerates of the powder before the thermal treatment. With the increase in temperature, the morphology of apatite crystals changes considerably: the width-thickness is increased, resulting in a lower crystal surface energy, and the length of the particles is reduced.

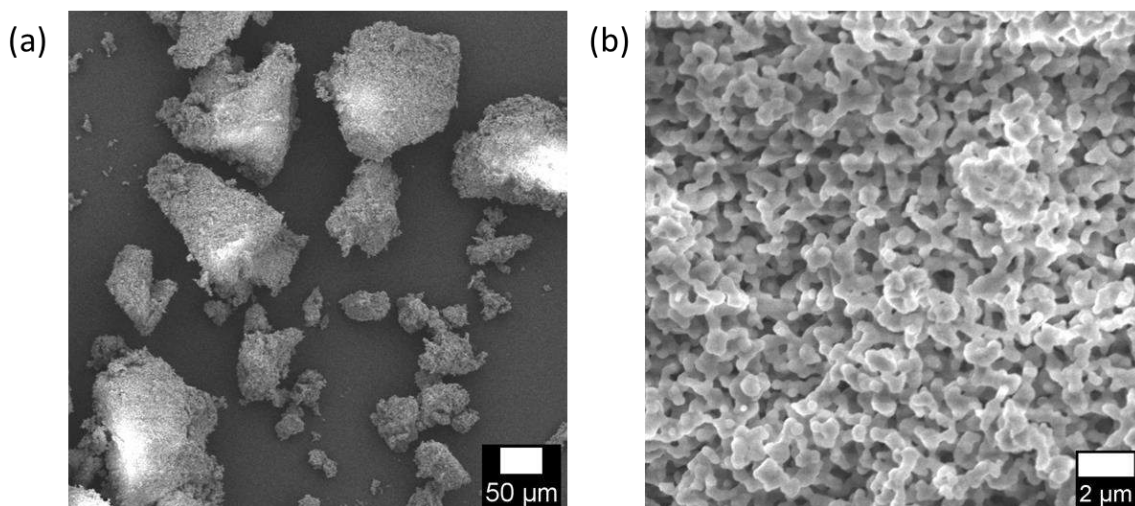


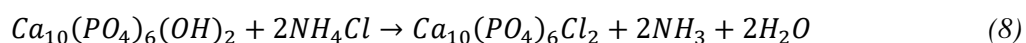
Figure 16. SEM micrographs of calcined HA powder showing (a) large agglomerates composed of (b) smaller partially sintered particles.

In conclusion, the production of pure stoichiometric-HA powder was confirmed by physical-chemical analysis. A calcination process was required to obtain completely free of impurities powder. In addition, the changes in particles morphology due to the thermal treatment were illustrated by the comparison of SEM analyses of powders before and after calcination.

#### 7.4 Synthesis of CIA powders

##### 7.4.1 Chlorapatite synthesis procedure (chlorination)

The CIA powder was produced from the chloride substitution of the commercial HA microspheres (HAM) provided by the company Urodelia® S.A. (ref. 300-08-2) by using ammonium chloride (NH<sub>4</sub>Cl) through a solid-gas reaction. The synthesis was performed in a tubular furnace under inert gas (ALPHAGAZ™ 2 Argon) flow (Figure 17) at 900°C following the reaction:



The reagents were placed in alumina crucibles. HAM powder was placed in the middle of the tube where the maximum temperature (900°C) is reached while the NH<sub>4</sub>Cl was placed in a region of the tube where the temperature reaches a value close to its sublimation value (400°C). The temperature pattern followed during the process can be seen in Figure 18. A first purge phase is performed to remove the presence of air inside of the tube. Then, the temperature increases following a heating rate of 5°C/min and followed by an isotherm step of 1 hour at 950°C before the cooling phase of the furnace. A mass proportion of powders of 1:1.85 between the HAM and the NH<sub>4</sub>Cl was used. An excess of NH<sub>4</sub>Cl was used to ensure a complete chloride substitution of the powder.

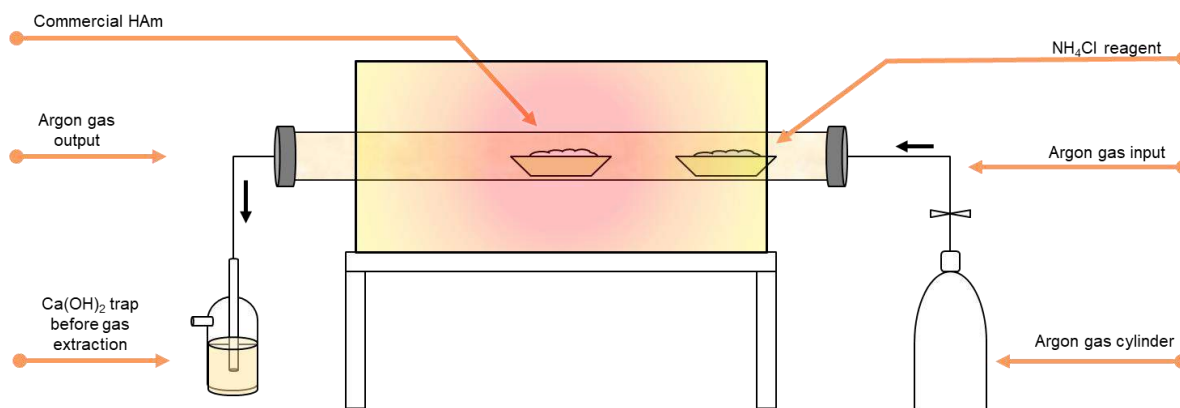


Figure 17. Schematic illustration of the CIA synthesis setup.

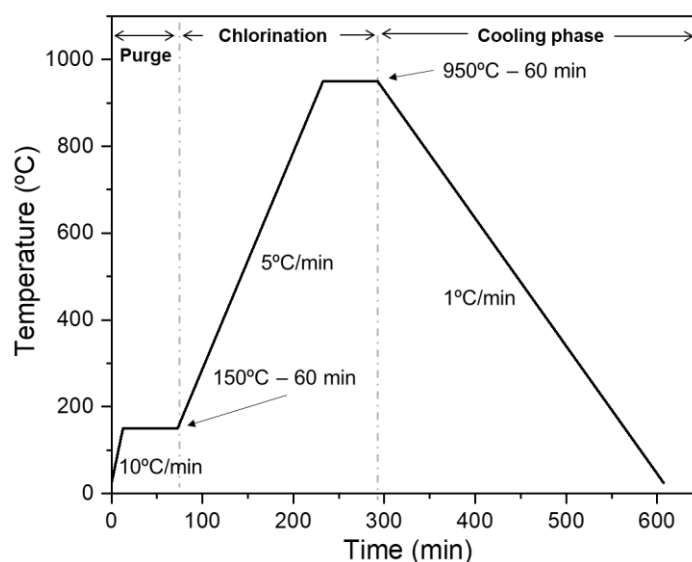
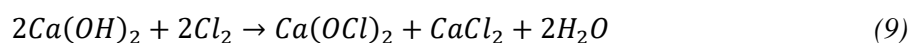


Figure 18. Temperature pattern for chlorination process.

A calcium hydroxide ( $\text{Ca}(\text{OH})_2$ ) and water trap was placed before the extraction of the argon gas output which contains the chlorine ( $\text{Cl}_2$ ) produced during the reaction. The conversion of chlorine into calcium hypochlorite ( $\text{Ca}(\text{OCl})_2$ ), less hazardous liquid bleach, eliminates the risk of a chlorine gas leak during the process. Chlorine treatment with calcium hydroxide follows the equation below:



Several reactions were performed to produce the amount of CIA powder needed for the tests in a PBSLP apparatus.

## 7.4.2 Characterization of synthesized CIA powder

The production of CIA powder was based on the chloride substitution of commercial HA microspheres (HAM). Since this commercial powder already demonstrated correct suitability to be used in a PBSLP process (in terms of powder flowability) it was important to perform complete chlorination of the powder avoiding any modification of the particle morphology. Once the reaction finished, the powder obtained was analysed to confirm the obtention of pure CIA microspheres.

First, to analyse the final product purity a comparison between XRD spectra of the initial HAM and the produced CIA powder was carried out (Figure 19). We can observe a difference in the degree of crystallinity between both powders being higher on the powder treated at high temperatures during chlorination. After the diffraction lines identification, the absence of peaks from the HA phase on the final product means that the chloride substitution was complete and pure CIA powder was obtained. We could point out a partial phase of CIA during the chlorination. CIA undergoes a phase change from hexagonal to monoclinic upon heating at 200-300 °C [16].

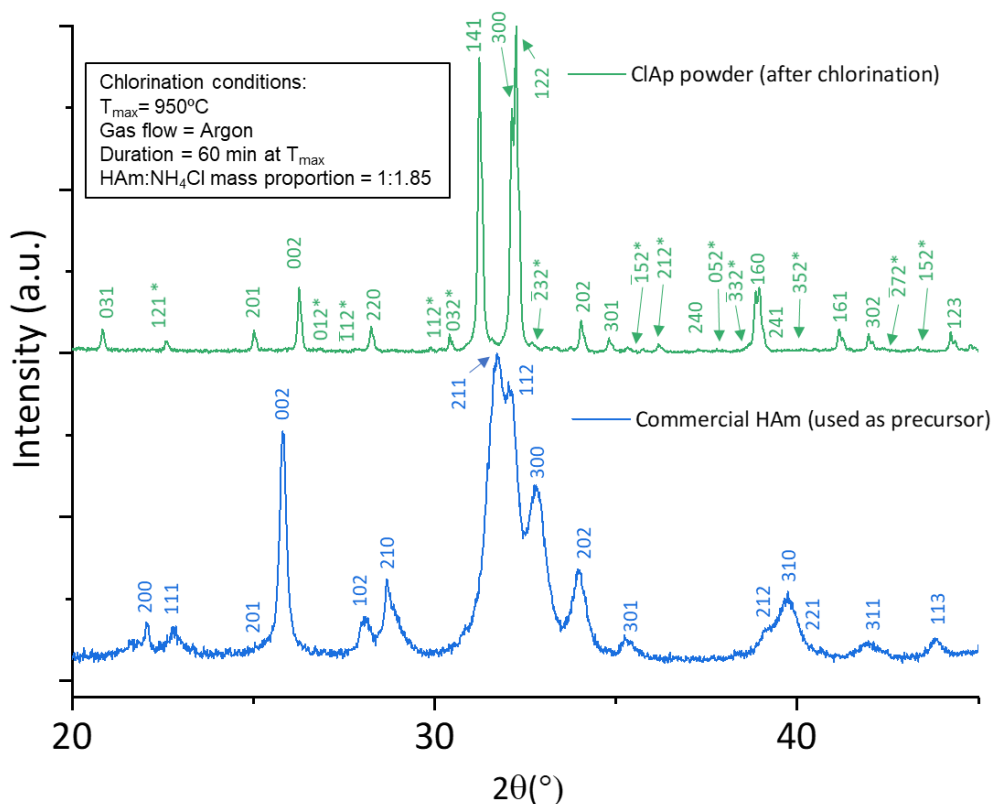


Figure 19. XRD powder patterns of commercial HAM and CIA produced by chlorination. Diffraction peaks correspond to (below) stoichiometric hydroxyapatite (JCPDS 00 009 0432) and (above) hexagonal chlorapatite (JCPDS 00-33-0271) [36]. \*Monoclinic lines for CIA.

FTIR analyses of the initial HAM and the obtained CIA powder were as well carried out. The comparison between both spectra is shown in Figure 20. The disappearance of the structural carbonate impurities located at the A and B sites (hydroxyl and phosphate sites, respectively) of apatite structure in the initial powder was confirmed after the chlorination. The absence of peaks corresponding to the structural hydroxyl  $\text{OH}^-$  in the final product at  $650\text{ cm}^{-1}$  and  $3600\text{ cm}^{-1}$  confirms complete chlorination of the initial HAM. No secondary phases were detected by FTIR analysis. The vibration bands corresponding to  $\text{PO}_4^{3-}$  are visible at  $1092\text{ cm}^{-1}$ ,  $1040\text{ cm}^{-1}$ ,  $962\text{ cm}^{-1}$ ,  $601\text{ cm}^{-1}$ ,  $575\text{ cm}^{-1}$ ,  $561\text{ cm}^{-1}$  [7].

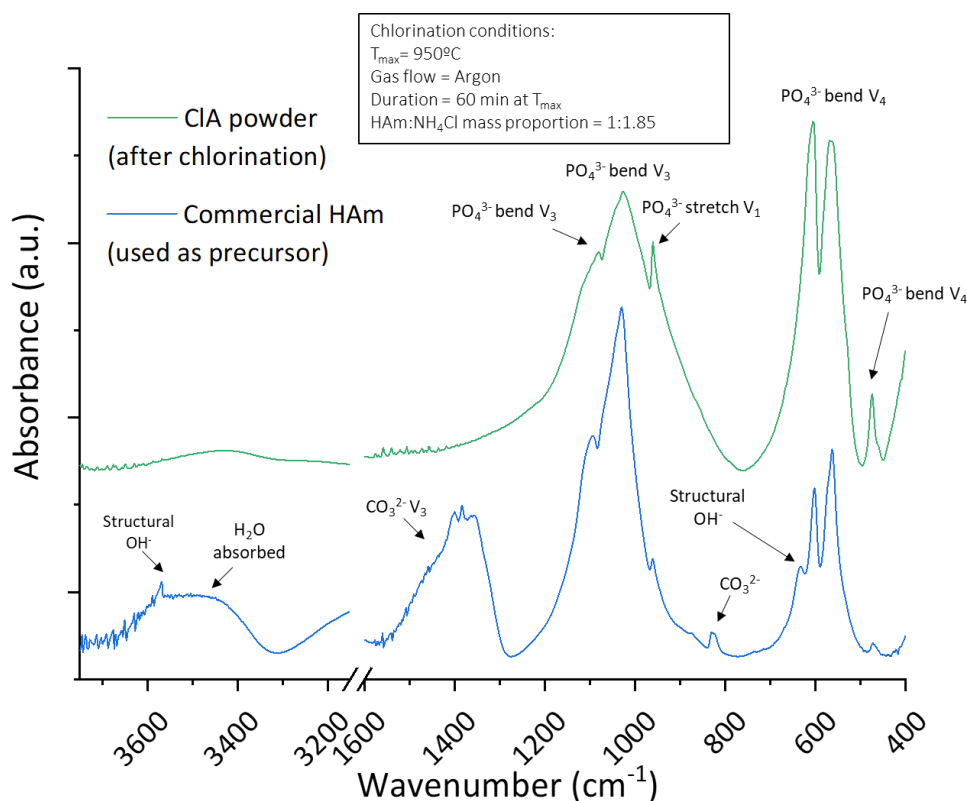


Figure 20. FTIR spectra comparison between the commercial HAM used as a precursor and the obtained CIA powder after chloride substitution.

The morphology of the CIA microspheres was examined to check that no modification of the particle shape occurred during their production. The SEM micrograph shown in Figure 21a proves the no alteration of the particles during its conversion in comparison with the HAM particles used as precursors. A closer look at the particle morphology can be seen in Figure 21b. Small rough spherical dense particles shape has been kept intact after chlorination.

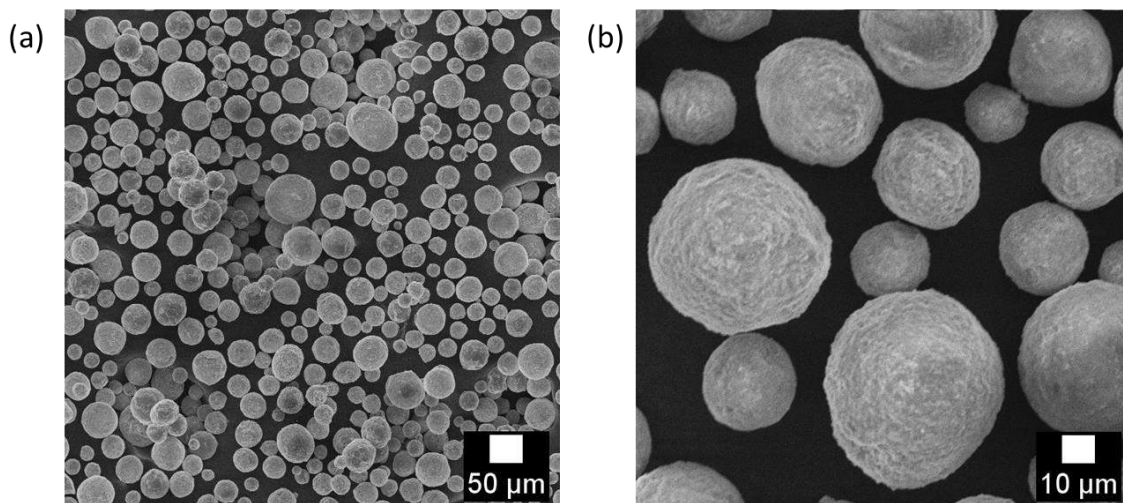


Figure 21. (a) A SEM micrograph of CIA microspheres produced after chlorination. (b) SEM micrograph at higher magnification of (a).

As a conclusion, complete chlorination of HAm particles to produce CIA microspheres was demonstrated to be feasible through FTIR and XRD analyses. A study on particles morphology by SEM approved the absence of morphological alterations on the microspheres after the process. This study established that the use of a tubular furnace to perform a solid-gas chlorination reaction of HA powder to produce pure CIA can be suitable.

## 8. Conclusions

In this chapter, the materials, equipment, methods, and syntheses procedures used have been presented.

A complete list of the materials employed was compiled to facilitate access to their characteristics and supplier information. A battery of complementary characterization techniques focused on the analyses of CaP powders was presented and exhaustively described. It is a piece of basic and essential knowledge for the correct understanding of the next chapters of this work. Then, a basic description of suspensions principles and their properties was offered accompanied by the techniques used in this thesis for their assessment. The analyses carried out to evaluate the 3D printed parts quality were exposed too. The standards that have been agreed upon by experts and followed to perform our analyses were also mentioned.

And finally, two different processes were re-evaluated for the production of pure stoichiometric-HA and CIA powders. The relevance of these studies on the production of calcium phosphate powders intended for PBSLP and other AM techniques made this section one interesting contribution for the completion of this chapter.

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The next chapter (chapter III) is dedicated to the study of the stirred bead milling process of HA powder. The different factors influencing the HA ground product characteristics (suspension formulation, operating parameters...) will be evaluated. The objective will be the production of two different products: (i) a suspension containing sub-micron-sized particles intended for a posterior spray-drying process to produce spherical agglomerates and (ii) a suspension composed of micron-sized particles that, after filtering and drying steps, could be used in VAT photopolymerization technologies.

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**Chapter III. Powder bed fusion and VAT  
photopolymerization hydroxyapatite powder  
feedstock obtained by stirred bead milling**



## Powder bed fusion and VAT photopolymerization hydroxyapatite powder feedstock obtained by stirred bead milling

Pedro Navarrete-Segado,<sup>a,b</sup> David Grossin,<sup>a</sup> Mallorie Tourbin,<sup>b</sup> Christine Frances<sup>b,\*</sup>

<sup>a</sup> CIRIMAT, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

<sup>b</sup> Laboratoire de Génie Chimique, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

**Abstract.** This paper focuses on the preparation of ceramic hydroxyapatite (HA) powder feedstock for additive manufacturing (AM) technologies. For VAT photopolymerization technologies the use of calcium phosphate particles within the size range of 1-20  $\mu\text{m}$  and irregular shape have been demonstrated to be suitable. While powder bed fusion (PBF) material feedstock generally involves the use of spherical powders that could be obtained by atomisation of particles within a 0.2-1.5  $\mu\text{m}$  particle size range. Since as-synthesized powders usually do not meet these required sizes, in this work the use of stirred bead milling process to tune the HA particle size distribution adjusting the process parameters was investigated. A wide variety of parameters was evaluated such as the slurry formulation and milling operating conditions. Ground HA-filled slurries were analysed in terms of stability and rheological behaviour to ensure their suitability for the process. A Darvan C dispersant agent dosage of 2.1  $\text{mg}\cdot\text{m}^{-2}$  (active component) to the specific surface of the ceramic was found to be the most outstanding dosage for the stabilization of the dispersion. Milling chamber, bead wear, and energy consumption were evaluated and minimized finding the most efficient operating parameter setting for the milling process of hydroxyapatite particles depending on the application. It was found that for the studied material, the use of a fast stirrer speed, smaller grinding media size, and higher solids concentration shorten the grinding time and increased the energy efficiency. In conclusion, the laboratory-scale milling used in the present work can be considered a promising method for studying material processability and energy requirements for the production of hydroxyapatite powder feedstock in the additive manufacturing industry.

**Keywords:** hydroxyapatite, slurry, stirred bead milling, 3D-Printing, photopolymerization, powder bed fusion

\*Corresponding author: christine.frances@ensiacet.fr

## **1. Introduction**

Additive manufacturing (AM) technologies have demonstrated the feasibility of the production of ceramic parts for tissue engineering and implantology by different categories such as VAT photopolymerization [1,2], or powder bed fusion (PBF) [3,4]. This group of techniques might produce convenient, fast, and individualized implants, shortening the production period of patient-matched tissue engineering scaffolds from a bio-ceramic powder feedstock, as hydroxyapatite (HA) [5,6]. HA is already a well-known calcium phosphate-based bio-ceramic material, frequently used in bone tissue engineering because of its ideal properties for bone tissue reparation [7]. Its bioactivity and biocompatibility ensure the osseointegration of the implants while keeping their mechanical properties [8].

At the time of tailoring the powder feedstock properties, it is necessary to know the needs for the technique in which it will be used. In the VAT photopolymerization category different ceramic particle characteristics have been demonstrated to affect the rheology and stability of the photocurable resins as well as the posterior debinding-sintering process [9]. Calcium phosphate particles with median particle size,  $x_{50}$ , in the range of 1  $\mu\text{m}$  to 20  $\mu\text{m}$  have been demonstrated to be suitable for the preparation of ceramic slurries avoiding dispersion and stabilization issues [10]. Indeed, these larger particles show an appropriate debinding-sintering behaviour evading the formation of cracks in the printed structures [11]. In the case of PBF techniques, a homogeneous powder bed is preferably achieved by flowable spherical particles with median particle size,  $x_{50}$ , between 10 and 100  $\mu\text{m}$  [6]. Various material studies [12–16] have shown how the larger size and spherical shape improve the flowability of powders. A Hausner ratio lower than 1.25 (high flowability) should be obtained for the powder, which is recommended for PBF powders [17]. Thus the production of an optimal PBF powder feedstock should involve a previous process step like spray drying to shape the ceramic particles into spherical granules [18]. In a spray-drying process, it is known that the size of the primary particles present in the liquid suspension feedstock has an effect on the spray formation, morphology and internal structure of the produced granules. Several studies have demonstrated that higher heat transfer efficiency (resulting in the lowest moisture content of the granules) and more solid, spherical and dense granules are obtained when using ceramic primary particles having an  $x_{50}$  commonly in the range of 0.2 to 1.5  $\mu\text{m}$  [19–22]. Thus, the tailoring of the particle size of powders is preferred before its utilisation as feedstock in a spray drying process.

In ceramic powders synthesis, the particle size distribution (PSD) of the as-synthesized powders rarely corresponds to process requirements and frequently a milling process is carried out to tune their PSD. In a spray drying process, a high concentration of solutes in the liquid (thus reducing the volume of liquid to evaporate) is desirable to increase dryer thermal efficiency [23]. However, the higher the concentration, the higher the viscosity of a slurry. If the viscosity is too high, it can cause the grinding media to be stuck on the surface of the grinding chamber affecting the comminution of the particles and the fineness of the obtained particles [24]. It could also bring handling difficulties during the spray drying process itself,

especially during the pumping and mixing of the slurry [25]. The successful spray drying of ceramic slurries having viscosities exceeding the 0.1 Pa.s has been reported previously [19].

The most important factors influencing a grinding process could be grouped into four main categories: formulation properties, operating parameters, operating mode of the mill, and mill geometry. There is a variety of works in the literature using different methods to perform the comminution of HA powders to reduce their particle size (Table 1).

Kwade et al. [26] pointed out the interest of having a large number of stress events and stress intensity in a stirred bead milling (SBM) process compared to those present in other ball-milling processes (mechanical, tumbling, vibrating, high energy ball mills...). The grindability of materials is not so easy to evaluate concerning ultrafine wet grinding processes, so according to the recent review analysis by Taylor et al. [27], the most practical method to define optimum conditions and specific energy requirements for a stirred bead milling process is to perform tests on a laboratory-scale mill.

The purpose of the present study is to produce HA-filled suspensions with properties meeting the requirements depending on the intended 3D printing technology:

For PBF techniques, before 3D printing, a preliminary spray drying process of the suspensions is preferred to obtain a suitable spherical and flowable HA powder. Thus, our objective will be to find the SBM conditions able to produce highly loaded (HA solid mass fraction,  $w_{HA}$ , equal or higher than 0.50) and stabilized HA-filled slurries for their use as spray drying process feedstock. The produced HA-filled suspensions should contain dispersed particles with an  $x_{50}$  in the range of 0.2 to 1.5  $\mu\text{m}$  and show a viscosity lower than 0.1 Pa.s during the whole process.

The targeted HA-filled suspensions intended for the VAT photopolymerization technique should contain particles with a final  $x_{50}$  in the range of 1-20  $\mu\text{m}$ .

By evaluating the effect of the formulation and operating parameters on the HA particles and HA-filled suspensions properties, the different combinations of formulation and process parameters producing HA-suspensions meeting our objectives will be found. Then, between this wide range of parameter combinations the optimal set of operating conditions for the process, thus meaning less energy consumption to reach the same targeted powders properties, will be determined.

Table 1. Report of previous bibliographic studies on the grinding of hydroxyapatite powders using different milling processes. N/A will be written when data was not indicated by the authors in the publication. ( $w_p:w_{GM}$  = powder/grinding media weight ratio,  $x_{GM}$  = grinding media size,  $x_i$ = initial median particle size,  $x_f$ = final median particle size)

No.	Reference	Kind of mill, wet or dry conditions	Operating conditions	Crushed material, initial and final particle sizes
1	[28]	Agitator bead mill, wet	t = 1h, $w_p:w_{GM}$ = N/A $x_{GM}$ = 200 $\mu$ m, zirconia beads, additive BYK 151	Si, Ti, HA (Alfa aesar), $x_i$ = <325 mesh, $x_f$ = 0.06 $\mu$ m
2	[29]	Vibration ball milling, high-energy ball milling, dry	t = 1h, $w_p:w_{GM}$ = 1:40 $x_{GM}$ = N/A	HA (Wako, 99.5%), $x_i$ = 250 $\mu$ m, $x_f$ = 0.04-0.07 $\mu$ m
3	[30]	Ball milling, high-energy ball milling, dry	t = 1h, $w_p:w_{GM}$ = N/A $x_{GM}$ = N/A inert atmosphere,	Ti-20%HA, $x_f$ $\approx$ 100 $\mu$ m
4	[31]	Ball milling, dry	t = 3h $w_p:w_{GM}$ = N/A $x_{GM}$ = N/A	Synthesized (Wet method) then calcinated HA, $x_i$ $\approx$ 30 $\mu$ m, $x_f$ = 5 $\mu$ m
5	[32]	Agitator bead mill, moliNEX system (Netzsch), wet	t = N/A, $w_p:w_{GM}$ = N/A $x_{GM}$ = 1850 $\mu$ m, zirconia beads, water & ethanol	Synthesized (Wet method) then calcinated HA, $x_i$ $\approx$ 30 $\mu$ m, $x_f$ = 5 $\mu$ m
6	[33]	Agitator bead mill, moliNEX system (Netzsch), wet	t = 8h, $w_p:w_{GM}$ = N/A $x_{GM}$ = 1850 $\mu$ m, zirconia beads, ethanol/water	Synthesized (Wet method) then calcinated HA, $x_i$ $\approx$ 30 $\mu$ m, $x_f$ = 1 $\mu$ m (ethanol), $x_f$ = 3-10 $\mu$ m (water)
7	[34]	Shaker-mixer, tubular, dry	t = 3-6-24-96h, $w_p:w_{GM}$ = N/A $x_{GM}$ = 2000 $\mu$ m, zirconia beads	Calcium phosphate powders, $x_i$ = 3 $\mu$ m, $x_f$ = 2-1.5-0.75-0.3 $\mu$ m resp.

## 2. Material and methods

### 2.1 Materials

The starting stoichiometric HA powder used during the present study was produced by Urodelia (SA Company, Saint-Lys, France) via wet precipitation process (product reference 206.93.003). More details about the synthesis procedure can be found in a previous work [35]. Ultrapure water served as a dispersion medium in the suspensions prepared in this work. It was obtained from the equipment ‘‘Purelab Ultra’’ of VWS (UK) Ltd. Its density was found to be 0.9952 g.cm<sup>-3</sup> at 25°C whereas its pH varied between 6.3 to 6.8 at 25°C. A commercial dispersant Darvan® C (DC) was used for better stabilization of the prepared HA-filled slurries. It is an anionic polyelectrolyte (ammonium poly(methacrylate)) provided by R. T. Vanderbilt Co. (Norwalk, CT, USA) consisting of an aqueous solution with an active matter of 25 wt. %, 0.075 Pa.s of viscosity, a volumetric mass density of 1.11  $\pm$  0.02 g.cm<sup>-3</sup>, a pH of 7.5 to 9, and an average molecular weight of 10,000 to 16,000 Da, with a low tendency to foam.

## 2.2 Characterization of HA powders and HA-filled slurries

### 2.2.1 Particle size distributions and morphology

The PSD of the starting HA powder and HA-filled ground suspensions collected during the SBM process was determined by laser diffraction method (Mastersizer MS3000 Malvern Panalytical). A total number of replicate measurements (N) of five was performed for each suspension to calculate the resulting sample mean and sample standard deviation. Obtained values will be given as follows: mean  $\pm$  standard deviation. Refractive indices of 1.632 and 1.330 were used for HA particles and water, respectively, and a particle absorption index of 0.1. For dry powders, a venturi pressure of 0.1 bar was used for the measurement. Figure 1a shows the PSD of the starting dry HA powder, which has an  $x_{50}$  of  $21.20 \pm 1.65$   $\mu\text{m}$ . Initial and ground powder morphologies were investigated by scanning electron microscopy (LEO 435 VP) equipped with a Ge detector (Imix-PC, PGT). In the case of the ground powders, the slurries were freeze-dried overnight and the resulting dry powder was metallized with a thin film of silver using a Scancoat Six sputter coater. Figure 1b shows the scanning electron microscope (SEM) micrographs of the starting powder, it is composed of aggregates of smaller particles with irregular shapes.

Powders specific surface areas were measured by nitrogen adsorption, following the Brunauer-Emmett-Teller (BET) method. A Micromeritics® TriStar II Plus 3.00 equipment was used for the physisorption analysis of powders (N=3, mean  $\pm$  standard deviation). The specific surface area of the starting HA powder was found to be  $0.77 \pm 0.02$   $\text{m}^2 \cdot \text{g}^{-1}$ . Ground powders were freeze-dried overnight before the measurement. All samples were previously degassed at  $40^\circ\text{C}$  overnight in a VacPrep™ 061. The specific surface of ground powder was found to be  $23.81 \pm 6.00$   $\text{m}^2 \cdot \text{g}^{-1}$ . This value will be used as a reference for the calculation of the dispersant concentration during the analyses.

The particle density of the starting HA powder was analysed by measuring the pressure change of helium in a calibrated volume in an AccuPyc 1330 Pycnometer (N=10, mean  $\pm$  standard deviation). The obtained true density of the initial powder was  $3.10 \pm 0.01$   $\text{g} \cdot \text{cm}^{-3}$ , close to the density of microscale hydroxyapatite powder reported in the literature ( $3.16$   $\text{g} \cdot \text{cm}^{-3}$ ) [36].



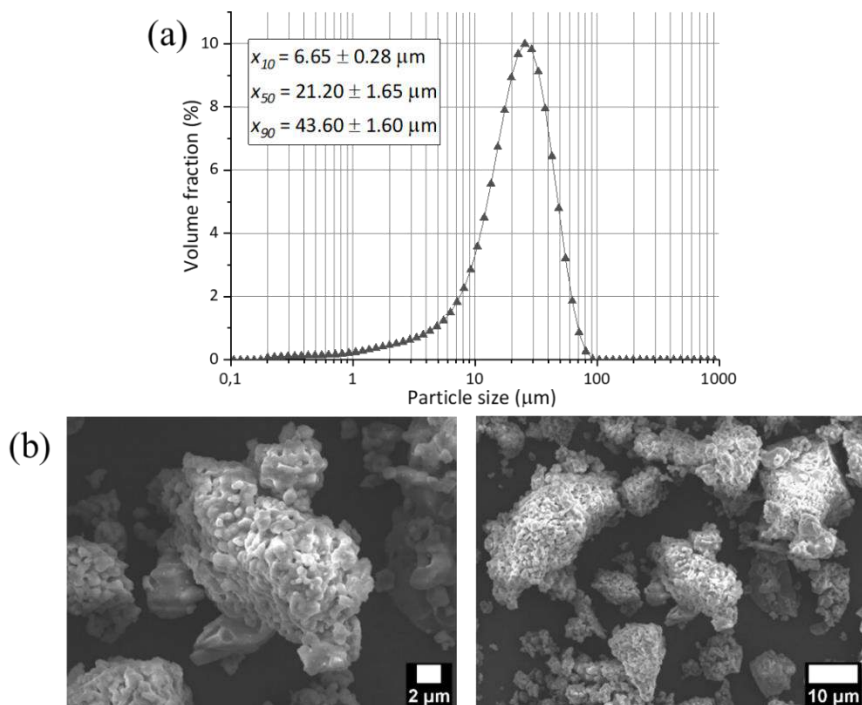


Figure 1. (a) PSD and (b) SEM micrographs of as-received hydroxyapatite powder.

### 2.2.2 Chemical and structural analyses

Fourier transform infrared spectroscopy (FTIR) analyses performed in a spectrometer Nicolet™ FTIR iS50 (Thermo Scientific™) were used to determine the chemical composition of the initial HA powder as well as the freeze-dried ground HA powder. KBr pellet method was used for the sample preparation.

X-ray diffraction (XRD) analyses of dry starting and ground HA powders were performed in a BRUKER's X-ray diffractometer D8 by using Cu K $\alpha$  radiation (wavelength  $\lambda = 0.15406$  nm) with a nickel filter at 40 kV and 40 mA. A step of  $0.03^\circ$   $2\theta$ , and a time per step of 0.2 s were used to record the diffractograms in a  $2\theta$  range between  $20^\circ$  and  $60^\circ$  for the analysis and comparison of phase composition and crystallinity of powders.

Analysis of the species produced due to the milling chamber and grinding media wear (Fe, Zr, and Y) during the SBM process was carried out by inductively coupled plasma atomic emission spectrometry (ICP-AES, Ultima2R HORIBA). Slurry aliquots collected during and after the SBM process were freeze-dried to obtain a dried powder. One gram of each sample was accurately weighed and introduced in a mineralization tube, then dissolved by acid digestion, adding 12 ml HNO<sub>3</sub> 65%, 3 ml HCl 36.5-38%, and 2 ml ultrapure water, and then heated at  $95^\circ\text{C}$  during 1h. After cooling, ultrapure water was added to all solutions until a total of 50 ml before being injected into the plasma. One of the three SBM runs analysed (Table 2-Run 2) was performed and analysed three times to obtain the mean value and the standard error of the measurement.

### 2.2.3 HA-filled slurries rheological and electrophoretic measurements

A TA Instruments AR2000 rheometer equipped with a temperature control Peltier plate was used for the rheological analysis of the HA-filled suspensions. A 40mm crosshatched parallel plates system and a gap between plates of 1300  $\mu\text{m}$  were chosen as configuration. A conditioning step was applied before the measurements at a shear rate of 200  $\text{s}^{-1}$  during 30.0 s and an equilibration time of 30.0 s.

The geometry with roughened surfaces allowed us to prevent wall slip effects. In addition, the gap of 1300  $\mu\text{m}$  was chosen for being at least 10 times higher than the largest particle size under study, which corresponds to an  $x_{50}$  of 100  $\mu\text{m}$  in the starting HA powder, thus also avoiding wall effects (slip) [37]. However, one practical limitation of this geometry-gap configuration was the lowering of the highest shear rate limit that some samples can reach before being ejected out of the gap due to centrifugal forces. For this reason, the suspensions were compared in an equal shear rate range of 10-100  $\text{s}^{-1}$ . To produce the flow curve of each sample a constant plate temperature of 25°C and an increasing shear rate (steady-step flow step) was used taking ten points by decade. At least three measurements of each slurry were performed to obtain the standard error of the mean.

Even though prepared suspensions are intended for a posterior spray drying process with typically high shear rates (10<sup>5</sup> to 10<sup>6</sup>  $\text{s}^{-1}$ ), the step of the process that could bring more handling problems (higher viscosity) is during the pumping and mixing of the suspensions, which produce lower shear rate (10 to 10<sup>3</sup>  $\text{s}^{-1}$ ) [38].

The influence of different  $w_{\text{HA}}$  and DC dosages on the rheological behaviour of ceramic suspensions was investigated. HA slurries were prepared by gradually pouring the corresponding amounts of DC and starting HA powder (before milling) in water. Samples were stirred at 600 rpm for 10 min to ensure the homogeneity of the suspensions before the rheological analyses.

Several rheological mathematical models are usually applied to rheograms to transform them into the information of the steady shear fluid rheological behaviour. In this work, data were fitted to the Power Law model (Equation 1), which is a two-parameter rheological model describing a pseudoplastic fluid, i.e., a fluid whose viscosity decreases as the shear rate increases. Power-law fluids can be described mathematically as follows:

$$\tau = K(\dot{\gamma})^n \quad (1)$$

Where  $\tau$  [Pa] is the shear stress,  $\dot{\gamma}$  [ $\text{s}^{-1}$ ] the shear rate,  $K$  [ $\text{Pa}\cdot\text{s}^n$ ] is the consistency index, and  $n$  [-] is the flow behaviour index. Data analysis, curve fitting, and calculation of parameter values were performed using OriginLab 2016 software.

The stabilization of a suspension may be evaluated by the zeta potential of the suspended particles. The potential refers to the electrical potential difference between the particle surface and the liquid beyond the charge cloud of ions. A zeta potential value outside the limits of  $-30$  mV to  $+30$  mV is generally considered to have sufficient repulsive force to attain better physical suspension stability. The electrophoretic mobility of the HA particles in slurries containing different DC dispersant concentrations was determined in a Zetasizer Nano-ZS (Malvern Panalytical) at  $25^{\circ}\text{C}$ . Different samples of 20 ml at  $w_{\text{HA}} = 0.20$  were prepared by adding the corresponding HA powder amount and different dosages of DC (active component) from 0 to  $2.100 \text{ mg}\cdot\text{m}^{-2}$  (to the ceramic powder surface) before being stirred for 2 hours. Samples were diluted before the analysis to avoid the multiple diffusion phenomena and adjusted to a solids concentration of  $4 \text{ mg}\cdot\text{mL}^{-1}$ . Zeta potential was obtained from electrophoretic mobility measurements. Each zeta potential value reported was calculated from the mean of five measurements as well as the standard error.

The pH of the water and HA-filled suspensions was measured by Hach Sension+ PH3 Basic laboratory pH meter, which was calibrated with standards pH 4, 7, and 10 each time before the repetition of at least five different measurements. The pH of an HA-filled suspension at  $w_{\text{HA}} = 0.50$  was found to be  $9.5\pm 0.2$  at  $25^{\circ}\text{C}$  due to the basic properties of HA particles. Padilla et al.[39] already offered a study of the influence of the pH and dispersants on the zeta potential of HA particles. They found that the most constant and highest zeta potential values were always obtained at  $\text{pH} \geq 8$ . For this reason, no further analyses neither alteration of the pH of the HA-filled suspensions was followed during our study.

### 2.3 Stirred bead milling (SBM) experiments

Several SBM runs (Table 2) were carried out in commercial equipment Labstar manufactured by NETZSCH (Germany) to reduce the size of the HA particles present in the slurries. For each experiment, firstly, the suspension was prepared in a stirrer tank before being pumped to the milling chamber. The corresponding dispersant agent DC volume was added to water followed by the addition of the weighted HA powder amount. The total batch size was approximately 2 kg for each of the runs. All dispersions were agitated for 10 minutes at 600 rpm at  $25^{\circ}\text{C}$  before starting the pumping and grinding process. The duration of the run and the DC and HA amounts used at each run are indicated in Table 2.

Table 2. List of experiments performed, including the experimental conditions, final specific energy, particle size obtained at the end, and purpose of the run. \* Run was performed three times for the repeatability study.

Run no.	$x_{GM}$ [mm]	$\phi_{GM}$ [-]	$V_r$ [m.s <sup>-1</sup> ]	$w_{HA}$ [-]	DC dosage [mg.m <sup>-2</sup> ]	Grinding time [min]	Ending $E_m$ [kJ.kg <sup>-1</sup> ]	$x_{10}$ [μm]	$x_{50}$ [μm]	$x_{90}$ [μm]	Operating condition studied
1	0.6	0.85	7.7	0.20	0	120	2880	0.62 ± 0.04	1.70 ± 0.16	3.74 ± 0.76	DC dosage
2*	0.4	0.75	5.7	0.20	2.1	120	5760	0.27 ± 0.01	0.42 ± 0.01	0.62 ± 0.01	
3	0.4	0.75	3.8	0.20	2.1	135	3240	0.39 ± 0.00	0.69 ± 0.03	2.63 ± 0.49	$V_r$
4	0.4	0.75	11.5	0.20	2.1	15	1800	0.41 ± 0.00	0.59 ± 0.00	0.86 ± 0.00	
5	1.0	0.85	7.7	0.10	2.1	60	2571	0.47 ± 0.05	0.86 ± 0.02	2.61 ± 0.17	$x_{GM}$
6	0.4	0.85	7.7	0.10	2.1	60	1600	0.40 ± 0.01	0.61 ± 0.02	1.02 ± 0.07	
7	0.4	0.75	11.5	0.10	2.1	7	3360	0.34 ± 0.03	0.54 ± 0.05	1.36 ± 0.13	
8	0.4	0.75	11.5	0.30	2.1	15	1714	0.48 ± 0.00	0.77 ± 0.02	1.85 ± 0.12	$w_{HA}$
9	0.4	0.75	11.5	0.50	2.1	15	720	0.47 ± 0.02	0.89 ± 0.04	2.00 ± 0.15	
10	0.4	0.75	5.7	0.50	2.1	120	654	0.46 ± 0.00	0.88 ± 0.02	2.06 ± 0.04	PSD evolution with grinding time
11	0.6	0.85	7.7	0.30	2.1	60	720	0.44 ± 0.01	0.94 ± 0.10	2.35 ± 0.37	

A detailed scheme of the experimental setup is provided in previous work [40]. This SBM Labstar equipment is a laboratory-scale model with a grinding chamber volume of 0.62 l. The horizontal configuration of this circulation Zeta<sup>®</sup> grinding system ensures uniform exposure of the product through the media-intensive grinding zone within the mill as well as a high product throughput rate. A pin grinding system, of 7.2 cm in diameter, as a media mill stirrer, provides a high grinding intensity while a multi-zone cooling system prevents overheating of the product. The equipment has a stirrer speed ( $V_r$ ) limit range of 1000-4000 rpm corresponding to a tip stirrer speed range of 3.8-17.3 m.s<sup>-1</sup>. The bead wear was reduced by using high resistant Yttrium Stabilized Zirconia 95% (YSZ, ZrO<sub>2</sub>) micro grinding beads (Zirmil<sup>®</sup> Y from WAB-Group) ( $\rho_{GM} = 6020$  kg.m<sup>-3</sup>, bulk density: 3700 kg.m<sup>-3</sup>). Different grinding media sizes ( $x_{GM}$ ) ranging from 400 to 1000 μm were used to study their effect on the final product quality. The nominal and laser diffraction measured  $x_{GM}$  values for the grinding media used are described in Table 3. Refractive and absorption indices of 2.148 and 1.000 respectively were used for the measurements.

Table 3. Vendor provided nominal and measured grinding media sizes. The measured values show the average and the standard deviation obtained from three measurements.

Nominal $x_{GM}$ value (mm)	Measured $x_{10}$ value (mm)	Measured $x_{50}$ value (mm)	Measured $x_{90}$ value (mm)
0.35-0.45 (0.4)	$0.32 \pm 0.00$	$0.39 \pm 0.00$	$0.45 \pm 0.00$
0.6	$0.55 \pm 0.00$	$0.71 \pm 0.00$	$0.93 \pm 0.00$
1.0	$0.76 \pm 0.00$	$1.15 \pm 0.00$	$1.85 \pm 0.03$

Once comminution has occurred in the grinding chamber, the dispersion leaves through a steel (Cr-Ni-steel) separating cartridge of 100  $\mu\text{m}$  installed at the outlet of the grinding chamber to separate the product returning to the feeding tank and keep the beads inside the chamber. In this way, the circulation mode (multi-passes) was selected as the operating mode. The product flow rate was kept constant at  $8.8 \times 10^{-6} \text{ m}^3 \cdot \text{s}^{-1}$  and its analysis was kept out of the scope since it was already demonstrated that it does not have a significant effect on the product quality [41].

According to the literature [42,43], the specific energy ( $E_m$ ) is more relevant than the grinding time to quantify the effect of operating parameters in SBM processes to reach a certain product fineness.  $E_m$  is the ratio of the total energy supplied to the grinding chamber to the mass of solid processed. The determination of  $E_m$  for a demanded product quality also depends among others on the mode of the milling operation [44]. Details on its calculation can be found in a previous experimental study [41].

### 3. Results and discussion

In this section, the influence of different factors on the final suspension quality and the particle dispersion stability is analysed. In between the groups of factors already discussed in the Introduction of this article, we focused our work on the formulation of the initial suspensions (Section 3.1) and the operating parameters of the mill (Section 3.2). The section dealing with the formulation of the suspensions refers to the study of the impact of  $w_{\text{HA}}$  and dispersant Darvan C dosage on the properties of the initial suspension before being treated by SBM. The effect of the milling operating parameters, including the stirrer speed, grinding media size, and solid concentration, is then discussed in (Section 3.2) analysing the particle size distribution during the SBM process. Finally, the comparison of the chemical and structural analysis of the product before and after been treated in the milling process is detailed in Section 3.3.

### 3.1 Initial suspension formulation

#### 3.1.1 Influence of solid mass fraction ( $w_{HA}$ ) on suspension viscosity

Since the production of an optimal fluid feedstock for a subsequent spray drying process was within the scope of this work, it was important to find the HA concentration that gives a balance between process effectiveness and suspension stability for optimal performance in a spray drying process as well as in an SBM process.

Figure 2a shows the shear stress versus shear rate diagram of the initial suspensions at different  $w_{HA}$ . The best-fit parameter values of these rheograms can be observed in Table 4. Suspensions from  $w_{HA} = 0.40$  to  $w_{HA} = 0.50$  exhibited a Newtonian behaviour over the whole shear rate range, also confirmed by the flow behaviour indexes very close to 1, whereas the flow behaviour of the slurry at  $w_{HA} = 0.55$  changes to a pseudoplastic (shear-thinning) behaviour for the measured shear rate range. It is important to note that the coefficient of determination ( $R^2$ ) indicates that the Power Law model fits the measurements well, better for lower HA concentrations. Suspensions with  $w_{HA}$  higher than 0.55 showed handling difficulties and adverse phenomena produced by the suspension instability, such as sedimentation. For this reason, it was not possible to perform their measurement.

Figure 2b shows the viscosity of the suspension at different  $w_{HA}$ . It can be observed that the higher the solid loading, the higher the slurry viscosity is, with a slight increase between  $w_{HA} = 0.40$  and  $w_{HA} = 0.50$  compared with the pronounced rise observed at  $w_{HA} = 0.55$ . Within a similar volume, the high solids content increases the resistance to gradual deformation by shear stress due to the higher number of entities with a reduced space to move. The suspension at  $w_{HA} = 0.50$  showed the most suitable behaviour as a highly loaded suspension in terms of experimental handling for the SBM process and the subsequent spray drying process. This concentration was kept constant for the rest of the work.

Additionally, a shear-thickening behaviour is observed at a high shear rate for the samples with higher concentrations. (This behaviour will be also observed in the next rheological analyses although our explanation will be only offered in this section.) This phenomenon was already observed by Bergström [45] in his study on the rheological behaviour of concentrated ceramic suspensions. He observed that concentrated aqueous silicon carbide (SiC) suspensions showed a strong, sometimes discontinuous, shear thickening. He attributed this behaviour to a possible hydrodynamic clustering, where anisotropic particles will be strongly affected by flow changing the suspension structure. Thus, altering the resistance to flow at some critical shear rate. This shear thickening behaviour seemed to increase with the suspension concentration. This transition to shear-thickening is sample-specific and other factors like particle size (distribution) and particle shape can control it [46].

Table 4. Parameters fitted to Power Law model for suspensions with different hydroxyapatite solid mass fraction before milling and in absence of dispersant in steady-state conditions during the shear stress-shear rate test (imposed shear range  $10\text{-}100\text{ s}^{-1}$ ).

Sample		Solid mass fraction ( $w_{HA}$ )			
		0.40	0.45	0.50	0.55
Consistency index $K$ ( $10^{-3}\text{ Pa}\cdot\text{s}^n$ )	Value	8	9	10	16
	Standard Error	0.30	0.20	0.50	3.00
Flow index $n$	Value	1.02	0.97	0.98	0.92
	Standard Error	0.01	0.01	0.01	0.04
$R^2$		0.99	0.99	0.99	0.98

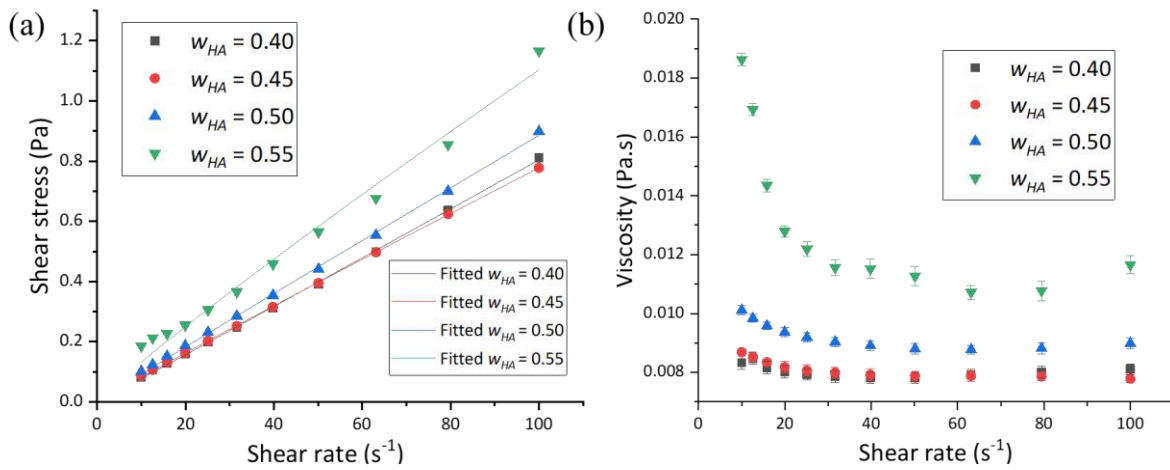


Figure 2. (a) The flow data for several suspensions containing different solid mass concentrations fitted to the Power Law model and (b) viscosity-shear rate curves.

### 3.1.2 Dispersing agent content

The amount of dispersing agent DC needed to obtain well-stabilized suspensions was determined through different preliminary analyses.

First, the zeta-potential evolution of the initial HA particles in an aqueous suspension was analysed. The changes in the zeta potential at different DC concentrations can be observed in Figure 3a. In the range studied, a concentration  $\geq 2.100\text{ mg}\cdot\text{m}^{-2}$  of DC the zeta-potential value exceeded the targeted limit of  $-30\text{ mV}$ , thus indicating that there is a sufficient number of negatively charged particles that could trigger their repulsion and prevent the flocculation.

Secondly, the influence of the dispersant DC concentration on the  $x_{50}$  of the HA particles in a ground suspension (Table 2– run 1) at  $w_{HA} = 0.20$  was evaluated. The evolution of the  $x_{50}$  of the HA particles at different DC concentrations is shown in Figure 3b. For concentrations higher than  $0.84\text{ mg}\cdot\text{m}^{-2}$  of DC the  $x_{50}$  of grounded particles was reduced until enter in our targeted range of particle size values ( $0.2\text{ }\mu\text{m} < x_{50}$

$< 1.5 \mu\text{m}$ ) at a DC dosage of  $2.100 \text{ mg}\cdot\text{m}^{-2}$ . An initial increase of the particle size is noticed at low dispersant concentrations, which leads us to hypothesize that a particle-binding bridge process between the HA particles is taking place due to an insufficient dispersant covering degree. Then, by increasing the dispersant concentration, the coverage is increased and the repulsion of the particles could be triggered. The third study was the evaluation of the sedimentation behaviour of these suspensions, which contain different DC dosages. The suspensions were magnetically stirred for 10 min and different aliquots of 10 ml of suspension were poured on nine different test tubes. To evaluate the sedimentation behaviour, photographs of the tubes after 48 hours and after 4 weeks were taken for comparison (Figure 3c). It can be observed how at very low DC concentrations the sedimentation behaviour shows minor changes compared with the absence of dispersant. Instead, for the concentration range from  $0.042$  to  $0.210 \text{ mg}\cdot\text{m}^{-2}$  faster sedimentation is observed and at the end of the experiment, the final sedimentary volumes of these samples were more important than for the rest of the tubes. It is indicative of the dispersion state, when the interparticle forces are highly attractive, large and loose-packed flocs are formed resulting in a more important sedimentary volume and not dense [24]. From a DC concentration of  $0.420 \text{ mg}\cdot\text{m}^{-2}$  the dispersant starts triggering the deflocculation of the particles showing a slower settling velocity and producing a minor but dense sedimentary volume.

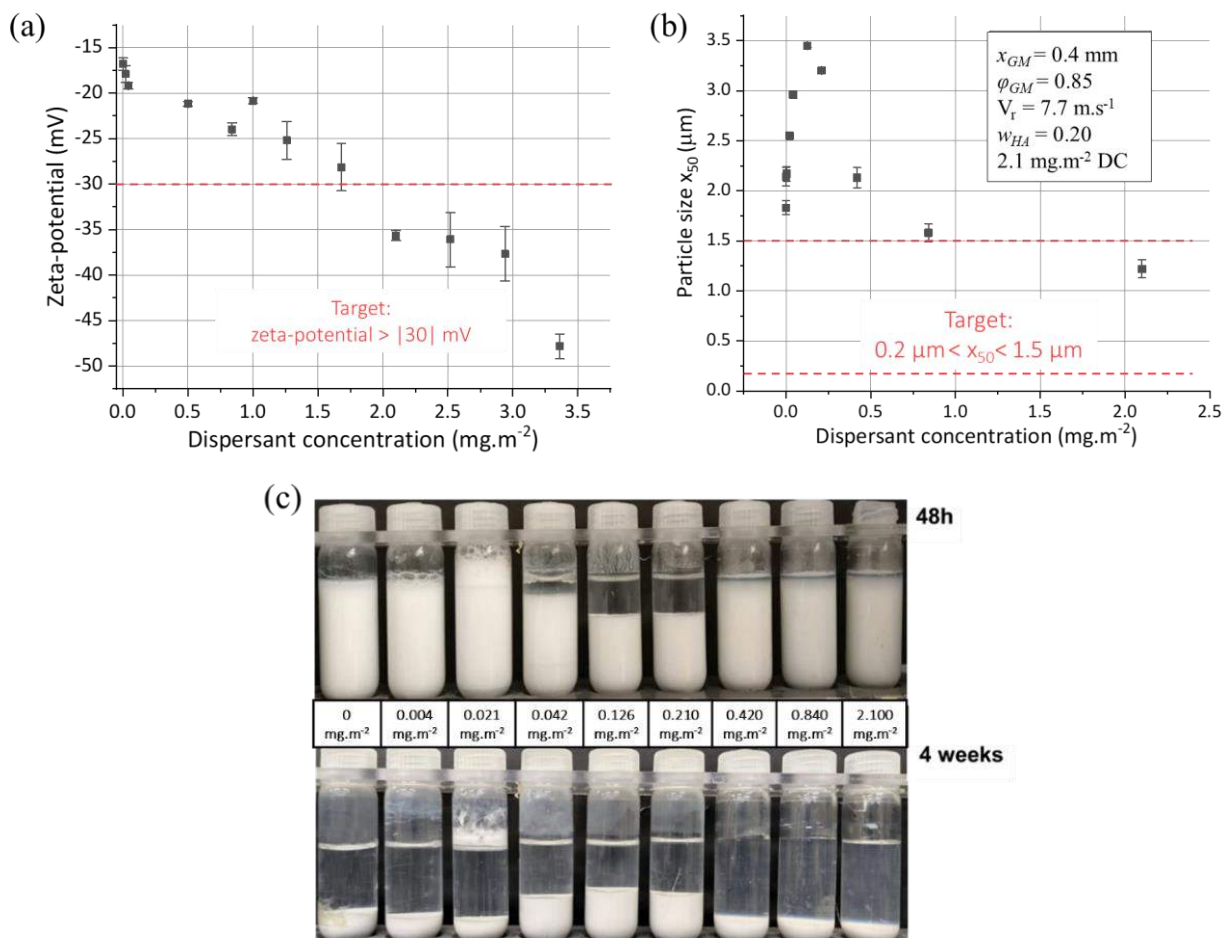


Figure 3. Effect of DC concentration on the (a) suspended particles zeta potential, (b) powder median size ( $x_{50}$ ) and (c) the settlement after 48 hours and after 4 weeks of a slurry ground at  $E_m = 2880 \text{ kJ}\cdot\text{kg}^{-1}$  with a HA solid mass fraction of 0.20.

The effect of the DC dosage on the rheological behaviour of the suspensions containing initial HA powder ( $w_{HA} = 0.50$ ) was evaluated. The rheograms obtained together with the fitting to the Power Law model can be observed in Figure 4a. The best-fit parameter values of these rheograms can be found in Table 4. It was observed that in presence of dispersant, the suspensions show a Newtonian behaviour over the shear rate ranging from  $10 \text{ s}^{-1}$  to  $100 \text{ s}^{-1}$ , with flow index values close to 1. It is less evident for the sample without dispersant, having a lower flow index value (0.96).

Figure 4b shows the flow curves obtained for the samples containing different amounts of DC. The first decrease in viscosity is observed at low DC concentration ( $0.42 \text{ mg.m}^{-2}$ ), then the viscosity tends to increase gradually as the DC concentration is increased beyond  $0.42$  to  $2.10 \text{ mg.m}^{-2}$ . This phenomenon was already studied by Khan et al. [47] in their work focused on the mechanism followed by Darvan C as a ceramic dispersant. They explained this behaviour as a result of the aggregates in the suspension breaking down into smaller flow units. By adsorption isotherm measurements, they could confirm that the DC concentration giving the lowest viscosity of their alumina suspensions corresponds to all the dispersant molecules being adsorbed on the particles. Then, when more dispersant is added, the attractive interactions between the particles are reduced and gradually the interactions become repulsive. It is not easy to find a clear explanation for the mode of stabilization that could be due to steric interactions between the adsorbed DC layers and/or to electrostatic interactions, due to an increase in the surface charge.

Additionally, the viscosity of the commercial DC (aqueous solution) was studied showing a higher viscosity than pure water ( $7.5 \cdot 10^{-2} \text{ Pa.s}$  at  $25^\circ\text{C}$ ) and the increase of viscosity at shear rate  $100 \text{ s}^{-1}$  with the concentration in a Darvan C-Water system was found to follow ( $R^2 = 0.98$ ) the equation below:

$$\ln \eta = -5.03 + 0.12 C_{DC} \quad (2)$$

where  $\eta$  (Pa.s) is the viscosity of the system and  $C_{DC}$  ( $\text{mg.m}^{-2}$ ) the DC concentration in an aqueous solution.

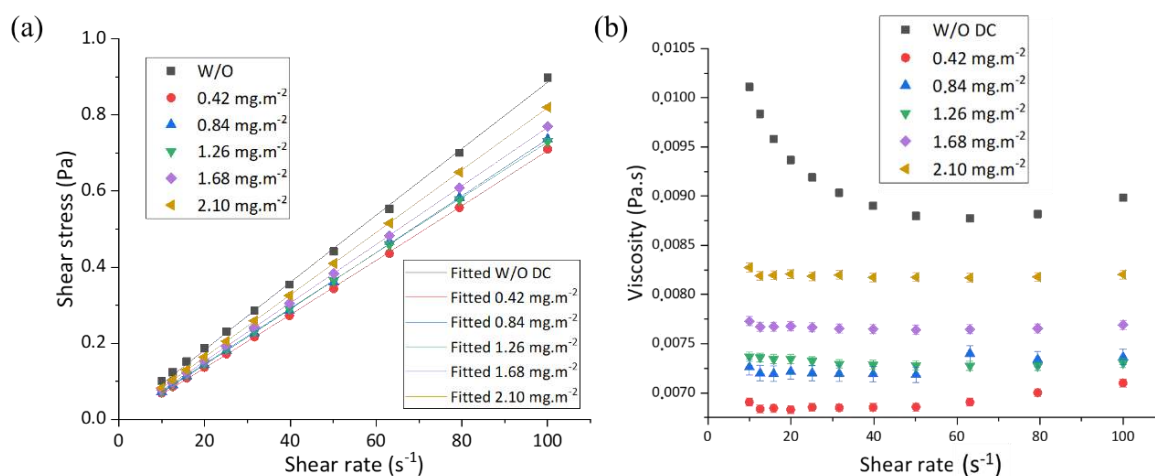


Figure 4. (a) The flow data for several suspensions at  $w_{HA} = 0.50$  before grinding process containing different amounts of dispersant DC fitted to the Power Law model and (b) viscosity-shear rate curves.

Table 5. Parameters fitted to Power Law model for  $w_{HA} = 0.50$  hydroxyapatite suspensions with different Darvan C concentrations before milling in steady-state conditions during the shear stress-shear rate test (shear range 10-100 s<sup>-1</sup>).

Sample		Darvan C concentration (mg.m <sup>-2</sup> )					
		0	0.42	0.84	1.26	1.68	2.10
Consistency index $K$ (10 <sup>-3</sup> Pa.s <sup>n</sup> )	Value	9.64	6.20	6.81	7.33	7.57	8.15
	Standard Error	0.50	0.14	0.15	0.05	0.05	0.04
Flow index $n$	Value	0.96	1.02	1.01	1.00	1.00	1.00
	Standard Error	0.01	0.01	0.01	0.00	0.00	0.00
$R^2$		0.99	0.99	0.99	0.99	0.99	0.99

### 3.2 Effect of grinding operating parameters

Firstly, the repeatability of the process for the particle size of the product obtained can be noted in Figure S1 (supplementary material) where the mean value and standard error for the same run (Table 2 – runs 2) performed three times under identical conditions are reported.

In this section, grinding operating parameters (stirrer speed, grinding media size and solid concentration) have been investigated to find the combination of parameters able to produce suspensions fulfilling the as mentioned targeted characteristics (particle size, viscosity...) depending on the application (spray drying and VAT photopolymerization processes). The resulting PSD and the required specific energy input will be examined for their evaluation. To do not overextend the present paper the study of the impact of the rest of the operational parameters was relegated outside of the scope. An example is the grinding media filling degree ( $\varphi_{GM}$ ) which was settled to values between 75-85% of the total volume of the chamber

for the experiments performed. It has been recently demonstrated by Guo et al [48], using a vertical stirred media mill, that the PSD uniformity is generally independent on the  $\phi_{GM}$ , although a higher  $\phi_{GM}$  produces finer particle size at the same specific energy input. The increase of the grinding media filling rate increases in turn the collision frequency leading to a higher frequency of impacts on the material during the ball-ball collision [49].

### 3.2.1 Stirrer speed ( $V_r$ )

The rotation speed of a stirred mill is considered one of the most important factors affecting the grinding performance. It affects the collision intensity between beads, and hence the interactions with material particles to be ground. To study the influence of the stirrer speed on the SBM process results runs with different stirrer speeds ( $V_r = 3.8$ ,  $V_r = 5.7$ , and  $V_r = 11.5$  m.s<sup>-1</sup>) were performed (Table 2 – runs 2, 3, 4) keeping the rest of the conditions identical between runs. These  $V_r$  correspond to 1000, 1500, and 3000 rpm respectively. Figure 5a shows the evolution of the particle means size versus the grinding time. Increasing the stirrer speed increases the breakage kinetics and smaller particle size is reached for the same grinding time. This phenomenon agrees well with those reported on the impact of stirrer speed for YSZ beads [50]. Indicating that increasing stirrer speed results in a faster apparent breakage rate. The increase in stirrer speed increases the stress intensity in the mill, thus influencing the interaction between beads and suspension, being an important operating variable in the stirred mill operation. The stirrer speed increases the stress intensities which eventually increases the breakage kinetic due to the high probability of bead to particle collisions [50–52].

Figure 5a shows the relation between the particle size  $x_{50}$  and the specific energy  $E_m$  at different stirring speeds  $V_r$ . If we compare both graphs the difference of amplitude between curves is significant. It indicates that higher stirrer speed consumes lower energy to reduce the desired product particle size. This observation differs from the observed in literature where the energy consumption increases at higher stirrer speed to reach a defined particle size. Indeed, for constant specific energies, the product becomes finer with the speed. It could be explained by the nature of the initial powder, which is composed of aggregates made of smaller particles [53]. The HA powder used in our study could then be defined as a weak material because of its capability to be ground [54]. When a certain value of specific energy is surpassed, the aggregates break rapidly into particles with a much smaller size than the initial aggregates. That could explain the verticality of the curves reaching relatively fast the final plateau in which adding higher energies do not decrease the particle size. Increasing the stirrer speed, the number of collisions and the stress intensity both increases however for weak materials the milling process depends more of the collision probability than the energy needed to break particles. The supplied energy needs to be at least equal to the required for the breakage of the aggregates. In addition, the operating time should be long enough for all the aggregates have the opportunity to be bead-stressed or on the other hand the collision probability should be boosted by increasing the stirrer speed [55].

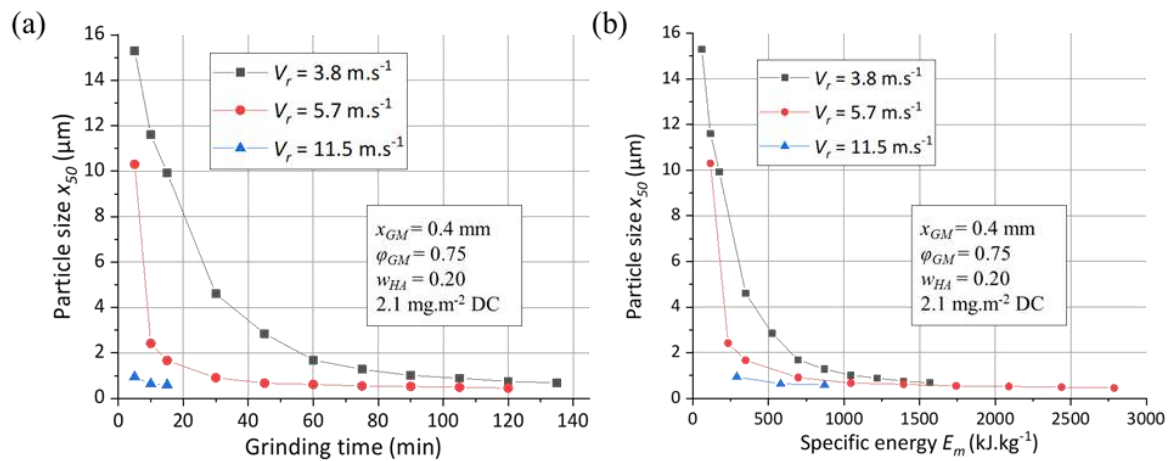


Figure 5. Influence of stirrer speed on SBM product quality. a) Particle mean size versus grinding time. b) Particle mean size versus specific energy.

A comparison of the whole size distribution at similar specific energies for the runs performed at different stirred speeds is shown in Figure 6. At  $660 \text{ kJ.kg}^{-1}$  (Figure 6a), it can be observed how a contraction of the size distribution is more rapidly reached by increasing the agitation speed. For this  $E_m$  value, the PSDs of the suspensions show different grinding stages, being the grinding of suspensions from  $V_r = 3.8 \text{ m.s}^{-1}$  and  $V_r = 5.7 \text{ m.s}^{-1}$  still incomplete. It is not until higher specific energies (Figure 6b) that the suspensions at lower stirrer speeds experience a PSD transformation from bimodal to unimodal to finally all suspensions have nearly similar PSDs reaching the complete grinding stage. The obtention of finer particle size at the same specific energy input supports the importance of using a higher stirrer speed for a more efficient and time-effective milling process. A similar tendency was observed in suspensions at  $w_{HA} = 0.50$ .

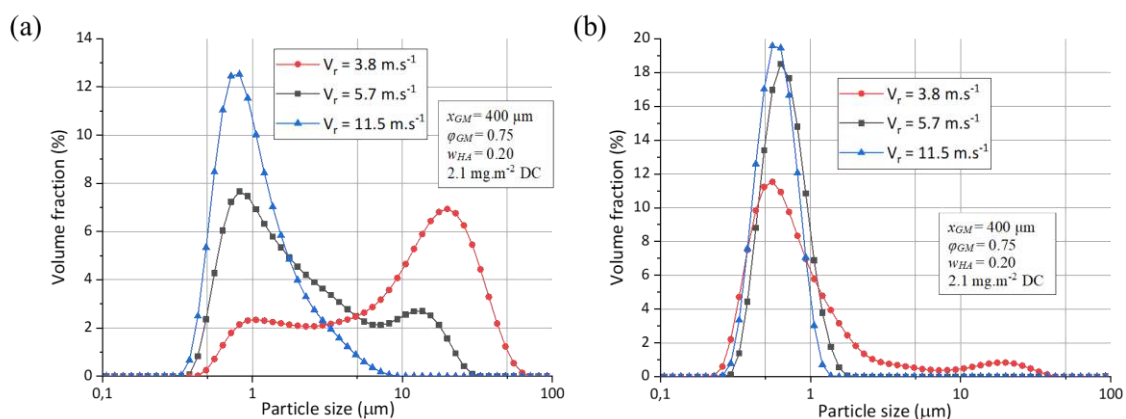


Figure 6. (a) Effect of stirrer speed on the PSD of ground suspensions at  $660 \text{ kJ.kg}^{-1}$ . (b) PSD of ground suspensions at the moment of obtaining uniform and unimodal distribution, equivalent to  $3240 \text{ kJ.kg}^{-1}$ ,  $2160 \text{ kJ.kg}^{-1}$ , and  $1800 \text{ kJ.kg}^{-1}$  for  $V_r = 3.8 \text{ m.s}^{-1}$ ,  $V_r = 5.7 \text{ m.s}^{-1}$ , and  $V_r = 11.5 \text{ m.s}^{-1}$  respectively.

### 3.2.2 Grinding media size ( $x_{GM}$ )

There are different factors to consider at the time of choosing the right type of grinding media like size, beads filling degree, contamination, cost, shape... depending on the application. The diameter of the grinding media will define the efficacy of the milling process since it can output diverse PSDs of the material supplied to the mill for the same duration. Tanaka [56] derived an empirical equation to calculate the optimum diameter in ultrafine wet milling processes under given conditions (i.e. particle size and strength and ball or bead density and hitting speed). Since the inherent strength of the material is not precisely known, these indications cannot be easily applied but it gives one idea of the influence of bead size in a wet stirred milling process.

Two different mean grinding media sizes ( $x_{GM} = 1000 \mu\text{m}$  and  $x_{GM} = 400 \mu\text{m}$ ) were used on different runs (Table 2 – runs 5, 6) of the SBM process by keeping the rest of the operational parameters identical and the median particle sizes and PSDs obtained are compared in Figure 7. For a given specific energy, faster production of finer particle size and a monomodal distribution occurs when using  $x_{GM} = 400 \mu\text{m}$ , thus yielding a different product quality. A similar decrease of the median particle size and the width of the size distribution decreasing the bead size was also reported on  $\text{TiO}_2$  dispersion [57] while a quite different effect was observed on the grinding of talc [54]. For this lamellar material, the minimum fineness was reached for intermediate bead size, and an increase of the width of the distribution was obtained using the largest beads [54]. More recently, Li et al. [58] concluded that optimal bead size decreased upon an increase in the stirrer speed. It was explained by the two counter-acting effects of bead size: more bead-bead collisions (more stressing events) with less energy/force (lower stress intensity) upon a decrease in bead size. Strobel et al. [59] also observed that the bead size affects the flow pattern of the suspension through the milling chamber. They found that for small bead size (0.5 mm in their study), different milling bead packing densities arise in the mill chamber resulting in different flow rates through those zones. The suspension evades regions with high bead concentrations inside the mill. It results in a large fraction of non-stressed particles and at the same time many impacts on stressed particles which could help to explain the multimodal profile of the PSDs.

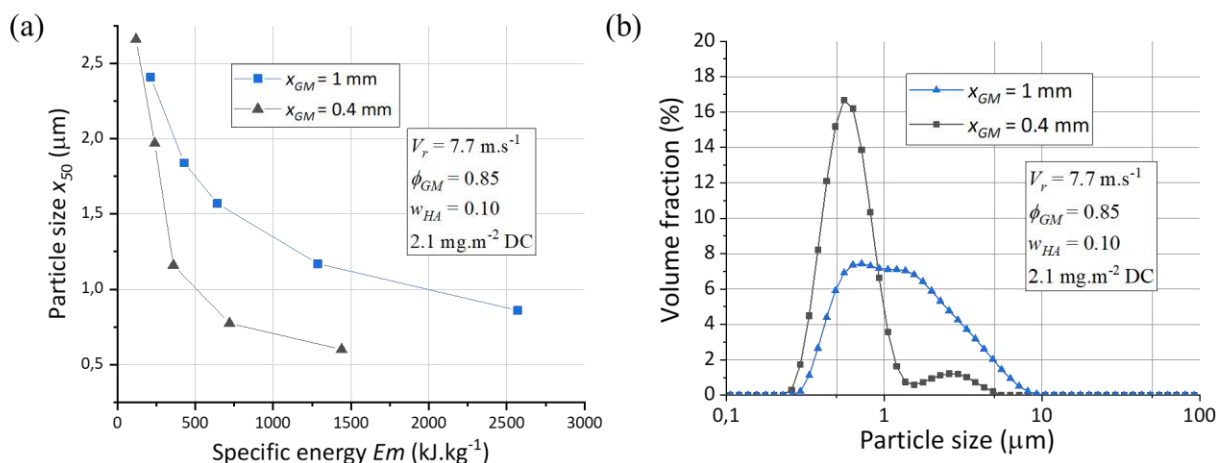


Figure 7. Effect of the (YSZ,  $\text{ZrO}_2$ ) grinding media size on the (a) particle median size and (b) PSD ( $x_{GM} = 1 \text{ mm}$  and  $0.4 \text{ mm}$ , at  $1350 \text{ kJ.kg}^{-1}$ ) of a hydroxyapatite slurry during the stirred bead milling process. Each distribution is the average of five measurements on the same sample.

### 3.2.3 Solid mass fraction ( $w_{HA}$ )

Suspensions solid mass fraction can also influence the evolution of the PSD during a milling process. A comparison of the effect of the suspension solid concentration on the quality of the product during the milling process is shown in Figure 8. To analyse this effect, runs (Table 2 – runs 7, 8, 9) with different concentrations ( $w_{HA} = 0.10$ ,  $w_{HA} = 0.30$ , and  $w_{HA} = 0.50$ ) were performed keeping the rest of the conditions ( $V_r$ ,  $x_{GM}$ , DC dosage...) identical between runs. This  $w_{HA}$  range ( $w_{HA} = 0.10$  to  $w_{HA} = 0.50$ ) comprises all concentrations used during the runs carried out for the present study.

Figure 8a shows the evolution of the particle mean size ( $x_{50}$ ) versus the grinding time. It is observed that for the same process duration finer mean particle size is obtained when the solid concentration is lower. However, when comparing the particle mean size versus the specific energy, the effect of the solid concentration can be truly followed. It can be deduced that for an equal specific energy input spent, the higher is the load of the suspension the finer is the mean particle size obtained. It indicates that a higher process energy efficiency is reached in highly loaded suspensions. Similar results were observed on the dispersion of  $\text{TiO}_2$  [57] but an opposite effect was reported on other materials [40,59] for which an inverse proportion between milling efficiency (capturing efficiency of the product particles by milling beads collisions) and suspension viscosity was observed. In the present study, such an effect was not noticeable. It could be explained by the smaller difference magnitude between suspensions viscosities (cf. next section) within the  $w_{HA}$  range in our work compared with the above-mentioned studies.

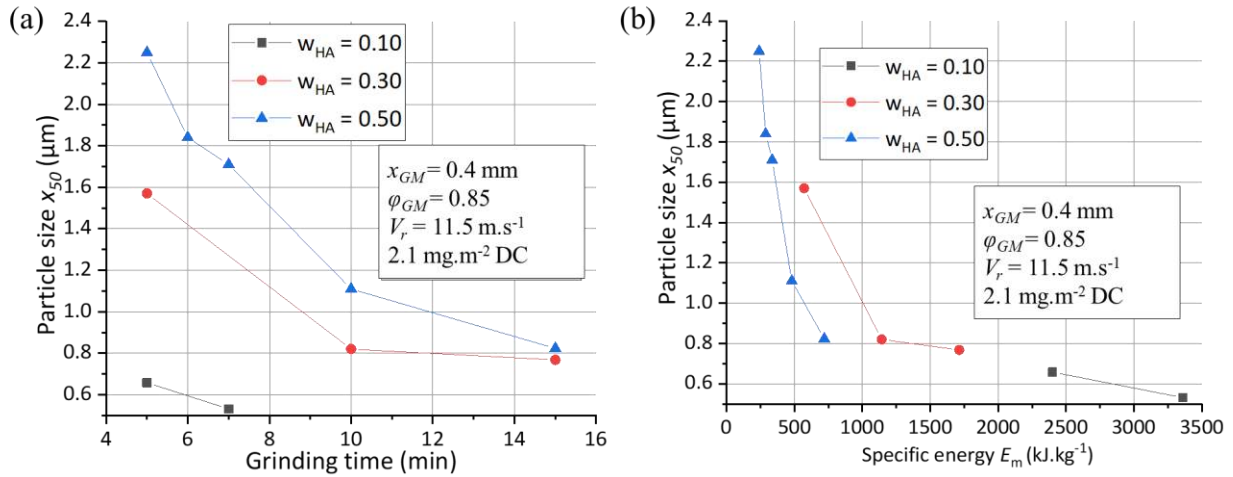


Figure 8. Influence of  $w_{HA}$  on SBM product quality. a) Particle mean size versus grinding time. b) Particle mean size versus specific energy.

We could then conclude that the importance of the control of the suspension formulation (Darvan C dosage, and HA solid mass fraction) and the operational conditions of the mill (stirrer speed, grinding media size, ...) has been proved through the studies performed in Sections 3.1 and 3.2. In addition, we were able to adjust these conditions to tune the final HA product quality depending on the application of the resultant suspensions. Highly loaded stabilized suspensions with finely ground HA particles in an  $x_{50}$  range of 0.2-1.5  $\mu\text{m}$  could be prepared for its use as liquid feedstock in a spray drying process. Alternatively, the production of suspensions containing HA powder with a particle size in the micron range ( $x_{50} = 1\text{-}20$   $\mu\text{m}$ ) was also feasible. In this case, additional drying steps should be carried out for the use of the dry powder in VAT photopolymerization. Other authors have studied the use of other milling methods such as the planetary mill and ball mill for the grinding of particles suspended in photocurable resins with milling times that can reach several days of duration [60]. An additional step in the research of industrial photocurable ceramic suspension production would be their direct milling in an SBM process thus shortening, even more, the time needed for their preparation. However, special care should be given to the viscosity of the suspensions to make it feasible.

A wide range of operating parameters gave a product meeting the targeted characteristics; however, it was demonstrated that by using a faster  $V_r$ , smaller  $x_{GM}$ , and higher  $w_{HA}$  is possible to reduce the energy consumption in the process reaching a higher energy efficiency.

### 3.3 Characterization of slurries and powder products

In this section, the HA product chemical composition is analysed before and after the SBM process. These analyses become relevant since during a milling process it is possible to induce chemical structural modifications on the HA mineral phase that could significantly compromise the integrity, function, and aesthetic quality of the product. The rheology of the ground suspensions concerning the milling time is also analysed as well as its PSD evolution during the process since as mentioned in the introduction, a too high increase of the viscosity ( $> 0.1 \text{ Pa}\cdot\text{s}$ ) of the suspensions could affect negatively the process.

#### 3.3.1 Physico-chemical properties of ground powders

To discard any kind of alteration of the physico-chemical properties of the powder after the SBM process different analyses were performed. Figure 9 shows the XRD and FTIR spectra of ground powders (Table 2 – runs 6, 10 respectively) to the initial powder. After the experiment of the SBM process, the suspensions were freeze-dried and the powder obtained was submitted to the KBr pellet method in the case of FTIR analyses. For XRD sample preparation the dry powder was manually crushed using a mortar and pestle.

Through the XRD analysis (Figure 9a) of the powders, the maintenance of the calcium phosphate phase (stoichiometric hydroxyapatite (JCPDS 00-009-0432)) and crystallinity state can be verified as unaltered, as well as no secondary phases were detected [7]. The FTIR analysis (Figure 9b) also confirmed the absence of relevant changes in the chemical composition of the HA powder after the SBM process. The vibration bands corresponding to  $\text{PO}_4$  are visible at  $1092 \text{ cm}^{-1}$ ,  $1040 \text{ cm}^{-1}$ ,  $962 \text{ cm}^{-1}$ ,  $601 \text{ cm}^{-1}$ ,  $575 \text{ cm}^{-1}$ ,  $561 \text{ cm}^{-1}$ , as well as the band belonging to  $\text{OH}^-$  at  $650 \text{ cm}^{-1}$   $3600 \text{ cm}^{-1}$  [7,61]. The band at  $1400 \text{ cm}^{-1}$  was attributed to the vibrational band of the dispersant DC [62]. Thus pointing out the need for filtering and washing steps of the particles before drying if the powder is intended for VAT photopolymerization. Whether or not the presence of DC affects the posterior spray-drying step will be discussed in chapter IV although it is expected that it will thermally degrade during the process.

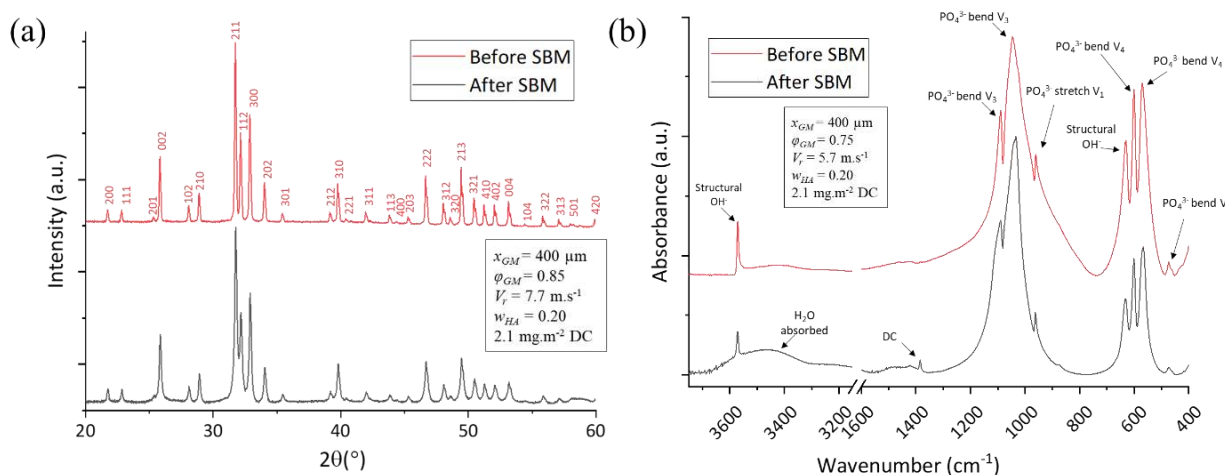


Figure 9. XRD (a) and FTIR (b) analyses of the hydroxyapatite powder before and after a stirred bead milling process. All the diffraction peaks correspond to stoichiometric hydroxyapatite (JCPDS 00 009 0432).

SEM micrographs of the freeze-dried powder after the SBM process (Table 2 – run 5) are shown in Figure S2. The size of the particles observed would confirm the PSD measured by laser diffraction. The ground powder density was found to be  $2.97 \pm 0.00 \text{ g.cm}^{-3}$  showing a slight alteration of the density of the particles to the ones in the initial powder ( $3.10 \pm 0.01 \text{ g.cm}^{-3}$ ).

The chemical analysis of the product with ICP-AES equipment allowed us to characterize accurately the presence of species coming from the grinding chamber and grinding media wear. The species analysed were Fe, Zr, and Y, and an example of how an operational parameter, such as the stirrer speed  $V_r$ , can influence its production is illustrated in Figure S3. To reach the same particle size, three different runs (Table 2 – runs 2, 3, 4) were performed at three different speeds ( $3.8$ ,  $5.7$ , and  $11.5 \text{ m.s}^{-1}$ ), then samples were collected at different times (135 min, 30 min, and 5 min respectively) once an objective median particle size ( $x_{50} = 0.8 \mu\text{m}$ ) was reached. In this study, the generation of the grinding media and chamber wear was minimized using the highest stirrer speed.

It is known that grinding media wear can be minimized by applying optimal media diameter, specific energy input, and stirrer speed [63]. However, since the nature and amount of these elements were not too relevant for our goal, a deeper study on the selection, operation and optimization of the process to minimize the grinding media wear was out of the scope of this paper. Indeed, a simple solution could be the changing of the material of the grinding chamber and/or stirrer from stainless steel into ceramic or polymer, avoiding or minimizing the generation of these species.

### 3.3.2 PSD evolution with grinding time

The evolutions of the PSDs during SBM runs (Table 2 – runs 10, 11) with two different sets of parameters are shown in Figure 10. Initial particle population having a median size of  $x_{50} = 22 \mu\text{m}$  experiments a gradual reduction during the SBM process. Final populations of micron and submicron particles can be obtained for different grinding times. In addition, a different combination of parameters can have diverse effects on the uniformity of the PSDs. SBM process parameters could be tuned to pursuit a determinate PSD depending on the application.

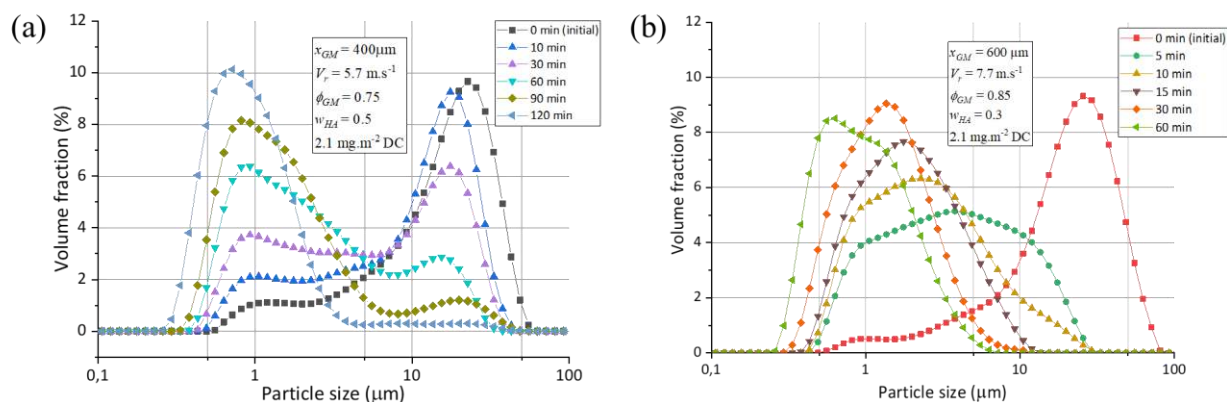


Figure 10. Evolution of PSD of a HA slurry with the grinding time for two different sets of parameters. (a) run 11 and (b) run 12

### 3.3.3 Rheological behaviour of the ground suspension

The effect of the grinding time on the rheological behaviour of the suspensions was evaluated by taking aliquots at different times during an SBM process. The parameters of this SBM experiment (Table 2 – run 11) together with the rheograms and fitting to the Power Law model can be observed in Figure 11a. The best-fit parameter values of these rheograms can be found in Table 6. It is observed a clear tendency of the rheological behaviour to turn into shear thinning with the grinding time since the flow index decreases from about 1 to 0.88 with the grinding time, therefore for a given solid concentration, this effect is more pronounced for suspensions with smaller particles.

Table 6. Parameters fitted to Power Law model for  $w_{HA} = 0.50$  hydroxyapatite suspensions at different grinding times in steady-state conditions during the shear stress-shear rate test (shear range  $10\text{-}100\text{ s}^{-1}$ ).

Sample		Grinding time (min)					
		0	10	30	60	90	120
Consistency index $K$ ( $10^{-3}\text{ Pa}\cdot\text{s}^n$ )	Value	7.22	7.00	8.50	9.06	11.30	14.88
	Standard Error	0.08	0.12	0.27	0.32	0.66	0.62
Flow index $n$	Value	1.01	1.01	0.97	0.95	0.92	0.88
	Standard Error	0.00	0.00	0.01	0.01	0.01	0.01
$R^2$		0.99	0.99	0.99	0.99	0.99	0.99

Figure 11b shows the viscosity-shear rate curves obtained for suspensions ground at different times. After 10 min of the process, an increase of the viscosity is observed at low shear rates with the increase of the grinding time (or decrease of the particle size). For a given solid mass fraction and the same volume, the smaller the particle size the higher the number of particles present. Thus, the particle surface area can be several orders of magnitude higher. Very small particles show Brownian motion acting against an applied shear force [64]. A clear shear-thinning behaviour is observed for the suspensions containing particles of smaller sizes. It could be due to a more favourable rearrangement of small particles to the flow direction. A higher grinding time increases the PSD span, increasing the maximum packing density as space can be filled more efficiently.

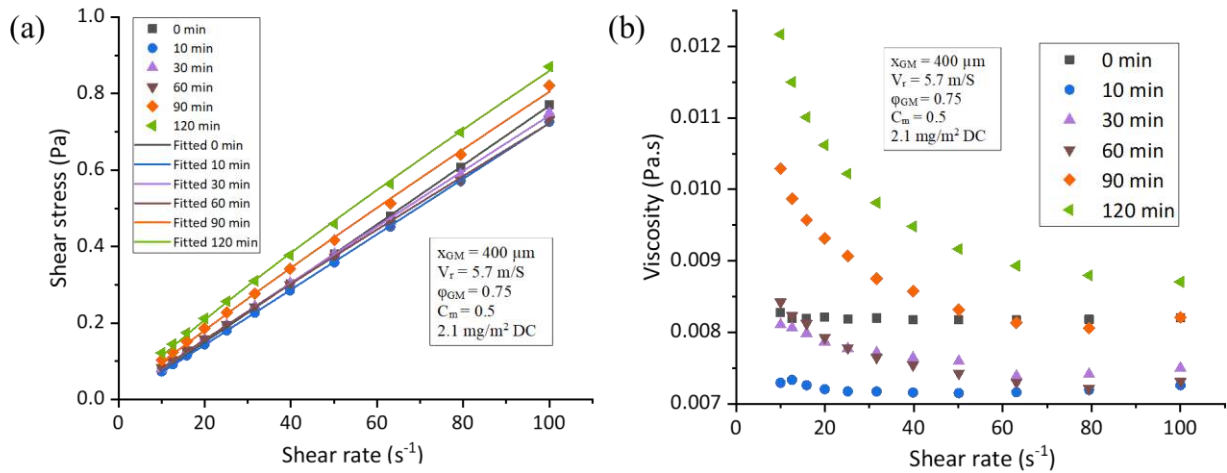


Figure 11. (a) The flow data for several suspensions ground at different grinding times fitted to the Power Law model and (b) viscosity-shear rate curves.

## 4. Conclusions

This investigation toward the production of HA feedstocks for additive manufacturing techniques revealed that its production is possible by SBM. The choice of the suspension formulation and operating parameters set within the process has been demonstrated to be of high importance for the feasibility of the process, the quality of the final product, and the energy efficiency.

Highly loaded suspensions were successfully ground and the additive DC was demonstrated to be an efficient dispersant additive altering the zeta potential of the particles and thus stabilizing the suspensions, better at a concentration of 2.1 mg.m<sup>-2</sup>. For this specific material, the operating parameters were demonstrated to influence the product quality as well as the process energy efficiency. Hence, for the same specific energy values, faster stirrer speed, smaller grinding media size, and higher HA solid concentration shorten grinding times obtaining finer particles. Indeed, the increase in stirrer speed showed a lower generation of grinding chamber and media wear during the process. The explanation for the low specific energy spent to perform the milling of the product comes from the weakness of the initial HA aggregates and the relative low viscosities of the ground HA products even in fairly loaded suspensions. No high increases in viscosity were observed for the suspensions in the conditions studied, thus keeping their processability.

The production of suspensions with characteristics and properties adapted for either a subsequent spray drying step ( $w_{HA} \geq 0.50$ ;  $x_{50} = 0.2-1.5 \mu\text{m}$ ; viscosity < 0.1 Pa.s) or as dried powder in VAT photopolymerization ( $x_{50} = 1-20 \mu\text{m}$ ) was possible by SBM process. And this was possible without major alteration of the composition or the structural phase of the initial HA product. The specific energy cost reduction and shortening of the processing time compared with other beads milling processes make the SBM an interesting technique for the production of hydroxyapatite powder feedstock for additive manufacturing technologies.

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## 7. Supplementary material

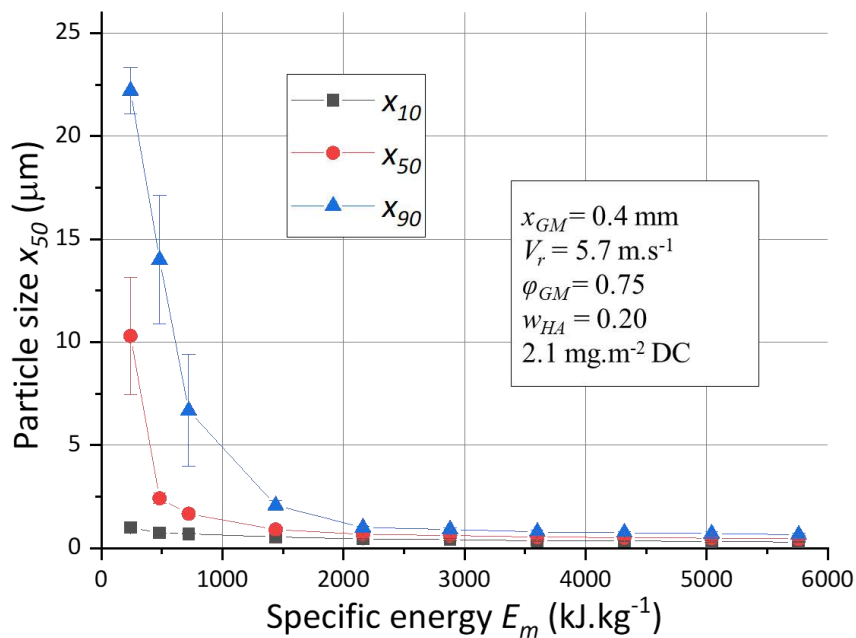


Figure S1. Study of repeatability of the process

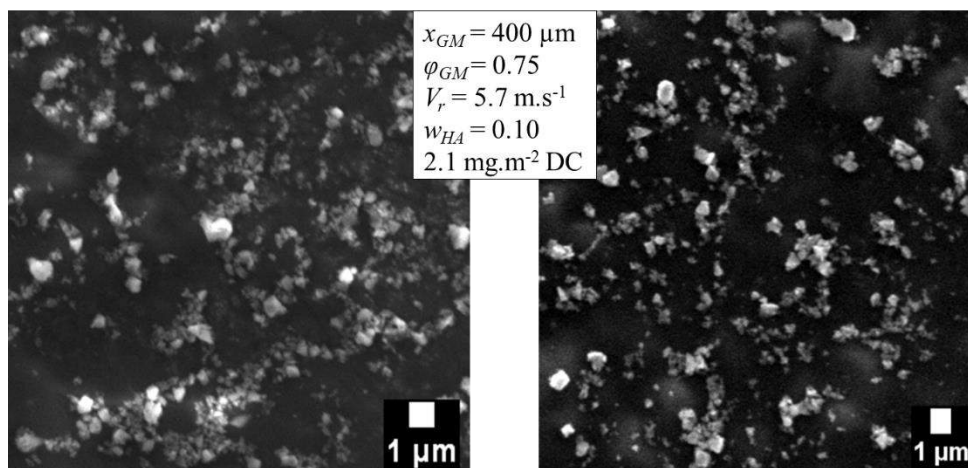


Figure S2. SEM micrographs of freeze-dried HA powder after the milling process.

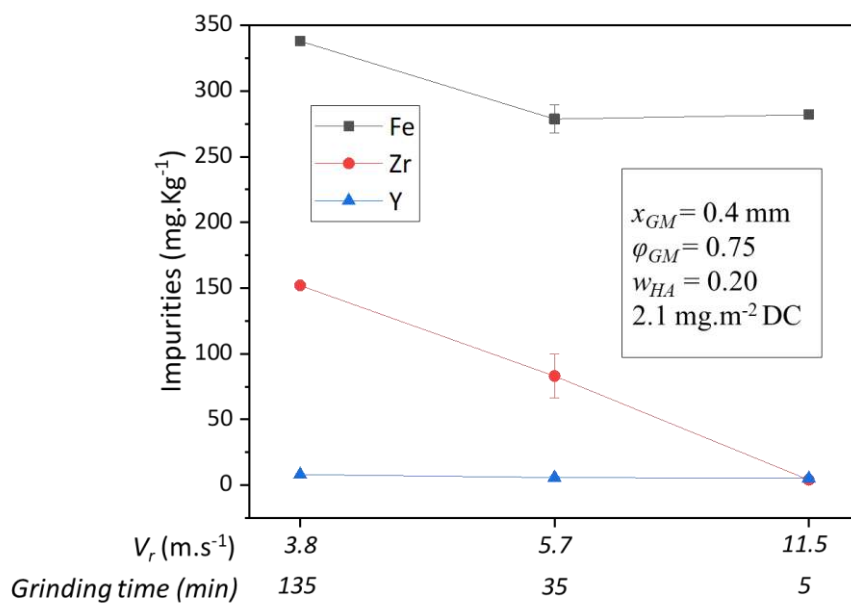


Figure S3. ICP-AES elemental analysis of Fe, Zr, and Y of the final product obtained by three different SBM process runs (Table 2– runs 2,3,4). Samples were collected once the same particle size objective ( $x_{50} = 0.8 \mu\text{m}$ ) was reached.



**Chapter IV. Production of hydroxyapatite microspheres via spray drying for powder bed selective laser processing (sintering/melting)**



## Production of hydroxyapatite microspheres via spray drying for powder bed selective laser processing (sintering/melting).

Pedro Navarrete-Segado,<sup>a,b</sup> Christine Frances,<sup>b</sup> David Grossin,<sup>a</sup> Mallorie Tourbin,<sup>b,\*</sup>

<sup>a</sup> CIRIMAT, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

<sup>b</sup> Laboratoire de Génie Chimique, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

**Abstract.** The present work deals with a study on the physical characteristics of hydroxyapatite microspheres produced by spray-drying process through different operating conditions. Obtained hydroxyapatite microspheres will be used as powder feedstock in powder bed selective laser processing additive manufacturing technologies where the flowability and the particle size distribution of the powder feedstock is of special importance. Spray air pressure and solid content of the slurries were the most influent parameters to control the final particle size distribution, while higher air pressure and temperatures gave the higher process recovery rate. The use of polyvinyl alcohol as organic binder increased the granules strength. The produced hydroxyapatite microspheres were found to fulfil the powder bed selective laser processing feedstock requirements, keeping the physico-chemical properties of the material. These promising results confirm the use of a spray dryer as an efficient method for the production of hydroxyapatite microspheres for powder bed selective laser processing.

**Keywords:** hydroxyapatite, microspheres, spray-drying, 3D printing, flowability, powder bed fusion,

\*Corresponding author: mallorie.tourbin@ensiacet.fr

## **1. Introduction**

Spray drying (SD) process is one of the many methods proposed for the production of ceramic particles with controllable morphology, from which we can distinguish bottom-up (precipitation, supercritical fluid...) and top-down (mechanical milling, freeze-drying...) processes [1]. While with SD is possible to produce and/or tailor already existing particles into high-purity spherical shape ceramic agglomerates in an economical, continuous, and rapid way [2]. SD technique leads to the transformation of fluid state feed into dried particulate form by spraying the feed into a hot drying medium. The feed can be constituted of a suspension, emulsion, or a solution. In addition, it is common the use of additives, such as, dispersants and binders to control the stability of the particles against flocculation as well as the hardness of the resulting particles. The final product morphology depends of several factors including the physical and chemical properties of the feed formulation, process conditions, and the equipment geometry [2,3].

The advantages of a SD process, such as particle size distribution (PSD) and morphology control, make it an interesting option for the production of microspheres composed of hydroxyapatite (HA), a very well-known bio-ceramic material in bone tissue engineering because of its ideal properties for bone tissue repairment [4–6]. It has chemical similarity to bone and its high bioactivity, biocompatibility, and stability ensures good osseointegration of the implants while keeping good mechanical and chemical resistance [7]. The recent application of Additive Manufacturing (AM) technologies to process ceramic materials open new ways for the production of ceramics for many diverse applications (healthcare, aerospace...). Precisely the Powder Bed Selective Laser Processing (PBSLP) method uses a laser to sinter/melt selectively regions of a ceramic powder bed fabricating parts from previously designed 3D models [8]. The production of HA parts for tissue engineering and implantology has been possible through the use of composites comprising biocompatible polymers such as poly-L-lactic acid (PLLA), polycaprolactone (PCL), and poly(3-hydroxybutyrate-co-3-hydroxyvalerate (PHBV)) as the main component [9–12]. However, still some research is needed on the direct use of pure HA as powder feedstock, or at least as main component, for the production of parts which could wholly benefit from the ideal properties for bone tissue repairment of this calcium phosphate-based bio-ceramic [13–15].

At the time of tailoring the powder feedstock properties for PBSLP method it is important to know the needs required for an optimal performance during the process. Microstructural composition, physico-chemistry rheology, thermal, and optical properties should be tailored to improve printed parts quality [16,17]. One of the PBSLP method steps make use of a recoater to repeatedly spread the powder in a homogeneous way on the building platform creating thickness-controlled powder beds before the laser scanning. Powder feedstock flowability and packing density are then crucial properties for their processability, and these can be tuned by modifying the powder morphology (shape and size) and size distribution. One measurement method for the flowability of powder is the ratio of tap and bulk density (Hausner ratio) [18]. A PBSLP powder feedstock should yield a Hausner ratio of less than 1.25. This is

better achieved by flowable spherical agglomerates particles within the micron range ( $x_{50} > 20 \mu\text{m}$ ) [19–22]. In addition, the use of polydisperse size distribution ( $\text{span} > 1$ ) has demonstrated to improve their flowability and packing density, with the smaller particles filling the interstitial void between larger particles [23–25]. It makes the SD an excellent process for the production of hydroxyapatite microspheres (HAM) intended for PBSLP, since the possibility of controlling their PSD as well as the morphology allow the tailoring of powders properties such as flowability and packing density.

A growing interest for the production and employment of HAM on diverse applications, including AM technologies [26–28] and biomedical fields [29–32] can be observed in an increase of the number of research works focused on these materials during the most recent years. Though, it can be observed that not many studies are focused on the production of HAM through SD, neither on the evaluation of the experimental conditions on the final dried HAM properties. Some recent examples have been offered by Bastan [5], Erdem [33], Yang and Wang [34], and Chow [35], however, these works do not cover completely the large variety of parameters affecting the final powder properties (slurry formulation, operating conditions, apparatus geometry...) for hence, there is still then a need to extend the research in this topic.

The goal of the present study would be, first, the preparation of the HA slurries meeting the expected properties in terms of rheology and stability for their processing by SD (this part was mainly covered in chapter III). Then, we will focus on the evaluation of the effect of the slurry formulation and operating parameters during the SD process on the final HAM powder properties. The main objective will be then the production of HAM powder with a targeted particle size in the micron range ( $30 \mu\text{m} < x_{50} < 70 \mu\text{m}$ ) and a certain size polydispersity ( $\text{span} > 1$ ) to fulfil the flowability requirements (e.g., Hausner ratio  $< 1.25$ ) for its use in a PBSLP process.

## 2. Materials and method

### 2.1 Materials

Stoichiometric hydroxyapatite (HA) aqueous slurries used during the present study were produced by precipitation and stirred bead milling process following the conditions already discussed during previous works (chapter II and chapter III, respectively). Once the objective initial particle size range ( $x_{50} = 0.2 - 1.5 \mu\text{m}$ ) was reached by wet milling, the influence of the HA load and the dispersant dosage in the viscosity of the slurry was studied since the higher is the load content of a suspension the less is the amount of water needed to be dried, thus increasing the process efficiency. First, a suitable dispersant able to produce the deflocculation of the HA particles and hence increase the suspension stability was found. The ammonium polymethacrylate dispersant, named Darvan C and provided by R. T. Vanderbilt Co. (Norwalk, CT, USA) was assessed. The optimum dispersant dosage was determined in terms of viscosity, zeta potential, and sedimentation speed measurements. It was a concentration of  $2.1 \text{ mg/m}^2$  of dispersant active matter respect to the ceramic surface or what is the same, a 5 wt. % respect to the ceramic powder mass. Secondly, the

highest processable HA load in a suspension was found to be a solid mass fraction ( $w_{HA}$ ) of 0.5, that is to say the 50% of the total mass of the suspension. Following this previous study, all suspensions used in the present work contain a 5 wt. % of dispersant DC and a solid content of HA  $w_{HA} = 0.5$  was preferred even if the influence of lowering the load content on the final HAM properties was assessed. Thus, in this work only the effect of the binder addition on the slurry stability was studied. A deeper description of the dispersant and the studies about the influence of HA and dispersant concentrations on slurries stability and rheology can be found in chapter III.

Polyvinyl alcohol (PVA), Rhodoviol® 8/140 was obtained from VWR Chemicals® and was used as an organic binder during the spray drying process for its excellent granulation properties. PVA granules were dissolved in water at 90°C (20 wt. % granules, 80 wt. % distilled water) to prepare a homogeneous solution before adding it to the respective HA powder slurries.

Two additional powders were evaluated for flowability comparison with the spray-dried powder produced in the present work:

- First, a commercial HA powder from the company Urodelia® S.A (ref. 300-08-2) was used as reference material of powder that already showed good results in terms of flowability in a PBSLP apparatus.
- The HA powder of the initial slurries was filtered and dried at 70°C overnight to obtain an initial HA powder for comparison of flowability.

## 2.2 Characterization of the powders and slurries

### 2.2.1 Morphological and structural properties of the particles

All PSD of HA suspensions and dry powders were performed with a Mastersizer MS 3000 (Malvern Panalytical®) laser particle size analyser (refractive indexes: 1.63 and 1.33 for particles and water, respectively; dispersion pressure of 0.1 bar and a standard stainless steel venturi disperser for dry powders). A total number of replicate measurements (N) of five was performed for each measurement to calculate the resulting sample mean and sample standard deviation. Obtained values will be given as follows: mean  $\pm$  standard deviation.

The morphology of powders present in this work was investigated with a scanning electron microscope (SEM) LEO 435 VP (Leica®) equipped with a Ge detector (Imix-PC, PGT) and metallization of the ceramic surface was performed with a thin film of silver using a Scancoat Six sputter coater. Particle size distribution of the initial HA slurry and SEM micrographs of the particles (dried) constituting of the initial suspension, can be observed in Figure 1.

The specific surface of these initial particles was found to be  $23.81 \pm 6.00 \text{ m}^2 \cdot \text{g}$ . Determined in a Micromeritics® TriStar II Plus 3.00 equipment through physisorption analysis of overnight freeze-dried powder (N=3). Ultrapure water used as dispersion medium was produced by the equipment “Purelab Ultra” of VWS (UK) Ltd. The water density was measured at  $25^\circ\text{C}$  obtaining  $0.99 \text{ g} \cdot \text{cm}^{-3}$ , whereas its pH varied between 6.3 to 6.8 at  $25^\circ\text{C}$ .

### 2.2.2 Chemical and structural analyses

Fourier transform infrared spectroscopy (FTIR) analysis performed with a spectrometer FTIR iS50 and the KBr pellet method was used to determine the composition of the powder before and after the spray drying process. About 9 mg of sample powder were homogeneously mixed in a clean mortar to mix it with dry KBr powder ( $300 \pm 5 \text{ mg}$ ). A compression at 6000 kg was used to produce the analysed sample pellets. FTIR spectra was measured from the pellet in a  $4000\text{--}400 \text{ cm}^{-1}$  wavenumber range with a step width of  $0.48 \text{ cm}^{-1}$ .

Thereafter, X-ray diffraction (XRD) analysis was performed by BRUKER’s X-ray diffractometer D8 by using  $\text{Cu K}\alpha$  radiation (wavelength  $\lambda = 0.15406 \text{ nm}$ ) with a nickel filter at 40 kV and 40 mA. Samples diffractograms were recorded in a  $2\theta$  range between  $20^\circ$  and  $90^\circ$ , with a step of  $0.03^\circ 2\theta$ , and a time per step of 0.2 s.

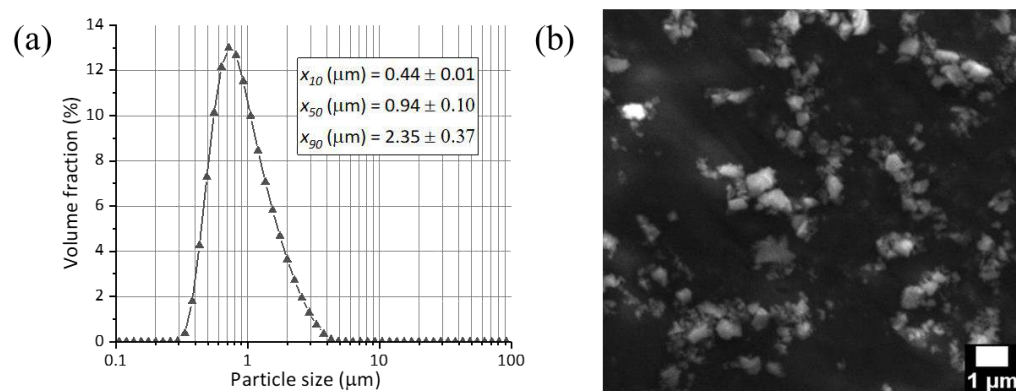


Figure 1. (a) PSD of the primary stoichiometric hydroxyapatite powder measured in dispersion. (b) SEM micrograph of the same dried powder.

### 2.2.3 Rheological behaviour

Rheological analyses were undertaken to study the PVA binder influence on HA slurries viscosity and stability. These analyses were performed in a TA Instruments® AR2000 rheometer using a 40mm crosshatched parallel plates system with a gap equal to  $1300 \mu\text{m}$  and a Peltier plate. The measurements were performed with a conditioning step at a shear rate of  $200 \text{ s}^{-1}$  during 30.0 s and an equilibration time of 30.0 s. Steady-step shear flow curves were then obtained for each sample at a constant plate temperature of  $25^\circ\text{C}$

and an increasing shear rate in the range of 1–1000 s<sup>-1</sup> by taking ten data points by decade. It includes the pumping and mixing processes typical ranges of shear rate [36]. Power Law model was found to fit properly the rheological analyses data.

#### 2.2.4 Suspension stability analyses

Additionally, to support our study on the HA slurries stability before drying, electrophoretic analyses were performed to determine their zeta-potential depending on the amount of PVA binder. A Zetasizer Nano-ZS (Malvern Panalytical®) was employed for the analyses at a constant temperature of 25°C. Samples were diluted and adjusted to a concentration of 4 mg.ml<sup>-1</sup> before the analysis to avoid the multiple diffusion phenomena.

#### 2.2.5 Powder flow experiments

Powder flow experiments of initial, spray-dried, and commercial powders were conducted following the standardized methods, specifications, and comments raised by the European Pharmacopoeia Chapter 2.9.36. A brief explanation for each of the five different flowability tests used in this work is presented below:

- Angle of repose, is the angle formed by the pile powder (relative to the horizontal) when it is poured through a funnel. It is a characteristic related to interparticle friction or resistance to movement between particles. Some variation in the qualitative description of powder flow using the angle of repose exists, however authors seem to agree with the classification given by Carr [37], which is shown in Table S1. According to this classification, for good or even excellent flowability, the powder must present an angle of repose between 25° to 35°.
- Compressibility index and Hausner ratio are closely related and both have become the most simple, fast, and popular methods to predict the powder flow behaviour. Both are determined by measuring the bulk ( $\rho_0$ ) and tapped ( $\rho_f$ ) densities of powders. They are calculated as follows:

$$\text{Compressibility index} = 100 * \left( \frac{\rho_0 - \rho_f}{\rho_0} \right) \quad (1)$$

$$\text{Hausner ratio} = \frac{\rho_0}{\rho_f} \quad (2)$$

The largely accepted scale of flowability is given in Table S1. For good or even excellent flowability, the compressibility index of the powder must be lower or equal to 15% and the Hausner index must be under 1.25 [16].

- The flow through an orifice, has been proposed as the best measure of flowability of free-flowable powders [38]. It is measured as the mass per time flowing from any of a number of types of containers (cylinders, funnels, hoppers). There is no general flowability scale available since the flow rate is dependent on the method used to measure it. Comparison between different published results is then difficult. Experimental studies have detected a significant influence of orifice height on powder mass flow rate, thus for better comparison of the experimental results information regarding the orifice height used should always be referred [38].
- The flow function of powders ( $ff_c$ ) is used as a flowability index according to the classification by Jenike [39] shown in Table S2. The flowability of a bulk solid can be characterized by its unconfined yield strength,  $f_c$ , in dependence on consolidation stress,  $\sigma_1$ . Usually, the ratio  $ff_c$  of consolidation stress,  $\sigma_1$ , to unconfined yield strength,  $f_c$ , is used to characterize flowability numerically. The larger the  $ff_c$  is, the better a bulk solid flows. For easy or free flowing of the powder, the flow function must be higher than 4.

The following paragraphs contain more detailed information about the procedures followed and the equipment used for each of the five flowability tests performed:

- The angle of repose, compressibility index, and Hausner ratio (ratio of tapped density to aerated density) of the powders were evaluated on a powder characteristics tester PT-S (Hosokawa Micron B. V<sup>®</sup>).
- The flow rate of the different powders was measured in discrete samples by measuring the time it took for 100g of powder to pass through the orifice of a stemless funnel (truncated cone) to the nearest tenth of a second. A glass funnel with an inner diameter of 15.5 cm, 13.5 cm height and a circular orifice diameter of 3.2 cm was used as container. Then, the orifice was circular and free of any vibration. The use of a stemless funnel was preferred instead of one with stem to avoid any effect of the friction between the stem and the flowing powder.
- The  $ff_c$  ratio was measured in a universal mechanical testing press HOUNSFIELD H25KS (Tinius Olsen<sup>®</sup>, USA) following the procedure described by Poletto et al. [40]. Once the cylindrical mould (diameter of 8.3 mm) was filled with 1 gram of powder sample, the piston is moved downwards applying a normal load,  $N_c$ , of 75 MPa with a speed of 20 mm/min. The compression step is carried with the piston moving downwards with a speed of 1 mm/min onto the consolidated sample increasing the normal force, until reaching the normal force at specimen failure,  $N_f$ . The flow function ( $ff_c$ ) is then calculated as the ratio between the major principal stress at steady state,  $\sigma_1$ , and the unconfined yield strength,  $f_c$ . Both calculated in turn following the equations below:

$$\sigma_1 = \frac{N_c}{A_{UCT}} \quad (3)$$

$$f_c = \frac{N_f}{A_{UCT}} \quad (4)$$

Where  $A_{UCT}$  is the cross-sectional area of the mould (54.11 mm<sup>2</sup>).

At least six measurements (N=6, mean  $\pm$  standard deviation) were recorded to obtain the standard deviations of the means for the angle of repose, compressibility index, Hausner ratio, and flow rate, then at least three measurements (N=3, mean  $\pm$  standard deviation) to obtain the standard deviation of the mean for  $f_c$  of each powder.

### 2.3. Spray drying experiments

The spray drying process was carried out in an APV Anhydro (SPX Flow®, USA) 1-meter diameter chamber pilot spray drying plant (Figure 2), model PSD 52 in 316 stainless steel. The process starts with the HA slurry being pumped from the bottom of the chamber by means of a BOYSER® peristaltic pump (model AMP-10/B) while stirred with a Heidolph instruments® mechanical stirrer equipped with a straight-blade impeller at 150 rpm. Then, the slurry was finely sprayed into the chamber through a SU04 two-fluid external mixing nozzle (Fluid Cap 60100 + Air Cap 120) from Spraying Systems Co.® with round spray pattern in a 17-19° spray angle. An air duct heater produces a stable heating of the chamber drying the drops into powder, then a flow is created by an aspirator, to lead the powder reaching the cyclone where the solid particles are separated from the air flow and settled into a collection jar. Once the powder is separated, the air loaded with very fine particles passes through a filter bag in order to minimize the fine particles emissions. The list of experimental runs performed for the present study together with the slurry formulation, experimental conditions, and results information can be found in Table 1.

The experimental runs were carried out following the methodology below:

- Run 1: The objective of this run was to evaluate using “standard” experimental conditions the steady state regime of the equipment, as well as, the global performance of the process (recovery rate, residual powder moisture, PSD...) and the operation time needed for the rest of the study.
- Runs 2-14: Through these runs, we could examine the influence of the slurry formulation and the experimental conditions on the final powder properties. It also allowed us to find the set of parameters producing the powder that better meet the properties needed for its use in a PBSLP process.

The procedure followed for the runs started with the operating parameters of the SD process being settled by using water as feed. Thus, reaching an estimated temperature of the chamber before start pumping the suspension. After this preliminary step, the pumping of the suspension starts together with the chronometer. The powder produced during the spraying of the suspension starts to be gathered through the cyclone into the collection jar. Once the process finished the powder inside of the jar was extracted and stocked for analysis. In the case of the Run 1 (operation time study) the cyclone valve was closed every ten minutes and the powder inside of the container was collected and stocked for analysis. Then, the recipient was returned to the cyclone and the valve was reopened to continue collecting the product.

Powders obtained were weighted for recovery rate studies and analysed for their water content with a commercial moisture analyser (MBT 64 M-C model from VWR®). A standard drying profile was used to dry the powder to constant weight at 110 °C.

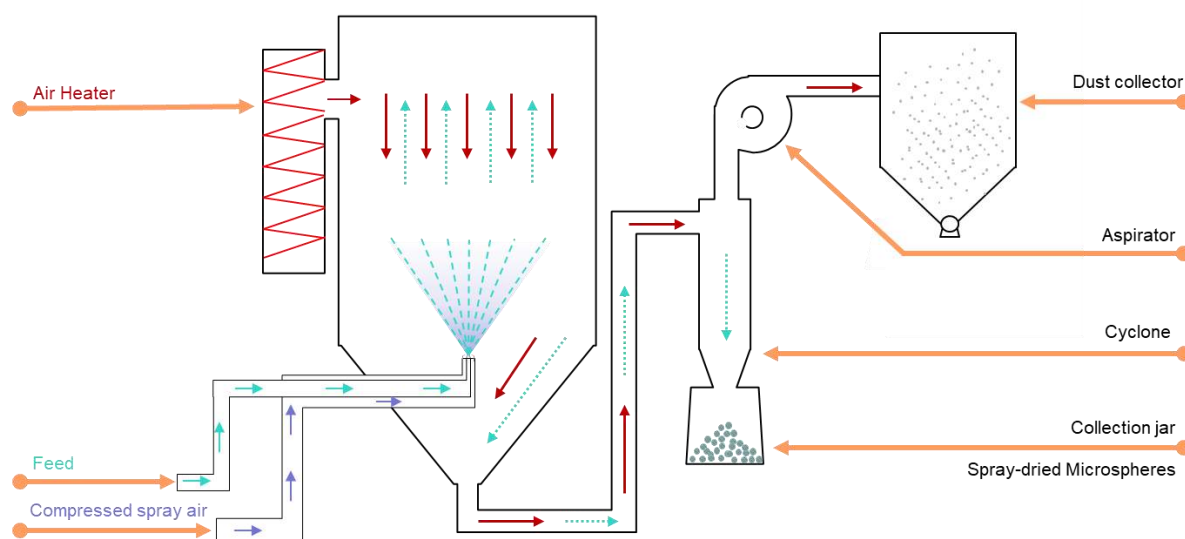


Figure 2. Schematic representation of the pilot-scale spray dryer.

Table 1. List of spray drying experiments performed, including the slurry formulation, experimental conditions, and resulting powder properties.

Run N°	Formulation			Experimental conditions			Result						Parameters effect studied
	$w_{HA}$ (-)	DC conc. (wt. % of active matter)	PVA conc. (wt. %)	$T_{int}$ (°C)	$P$ (bar)	$Q_s$ (kg.h <sup>-1</sup> )	$x_{10}$ (µm)	$x_{50}$ (µm)	$x_{90}$ (µm)	Recovery rate (%)	Span (-)	Moisture content (%)	
1	0.5	5	0	250	0.25	3.2	25 ± 7	64 ± 4	123 ± 1	37	1.77	1.5	$Q_s, P, w_{HA}$ , Steady-state regime, PVA conc., repeatability, $T_{int}$ , flowability
2	0.1	5	0	250	0.25	3.2	10 ± 2	27 ± 2	61 ± 2	32	1.78	1.1	$w_{HA}$
3	0.3	5	0	250	0.25	3.2	16 ± 3	47 ± 2	88 ± 0	22	1.38	1.3	$w_{HA}$
4	0.5	5	0	250	0.25	4.9	56 ± 4	88 ± 3	137 ± 2	23	0.81	1.6	$Q_s$
5	0.5	5	0	250	0.25	5.8	72 ± 2	101 ± 2	140 ± 5	19	0.64	1.8	$Q_s$
6	0.5	5	0	250	1	3.2	29 ± 4	58 ± 2	101 ± 2	24	1.56	1.6	$P$
7	0.5	5	0	250	2	3.2	16 ± 3	45 ± 1	88 ± 2	46	1.93	1.4	$P, Q_s+T_{int}$
8	0.5	5	3	250	0.25	3.2	44 ± 4	78 ± 5	131 ± 6	20	1.15	3.8	PVA conc.
9	0.5	5	5	250	0.25	3.2	30 ± 3	65 ± 4	117 ± 6	19	1.37	4.6	PVA conc.
10	0.5	5	0	275	0.25	3.2	27 ± 8	66 ± 2	122 ± 2	16	1.21	1.4	$T_{int}$
11	0.5	5	0	300	0.25	3.2	30 ± 8	87 ± 10	221 ± 12	18	1.99	1.2	$T_{int}$
12	0.5	5	0	250	2	4.9	8 ± 0	31 ± 0	75 ± 1	79	2.15	2.0	$Q_s+T_{int}$
13	0.5	5	0	300	2	9.7	9 ± 0	38 ± 1	101 ± 3	76	2.34	2.3	$Q_s+T_{int}$
14	0.5	5	0	320	2	11.3	10 ± 1	40 ± 1	100 ± 2	75	2.17	1.9	$Q_s+T_{int}$

### 3. Results and discussion

#### 3.1 Preparation of HA slurry properties

It was already mentioned in section 2 that the preparation of the aqueous HA slurries already started in a previous work with the diminution of the particle size in a sub-micron range ( $x_{50} = 0.2-1.5 \mu\text{m}$ ) by wet grinding of the suspensions (chapter III). This process was necessary since the use of initial particles with too large size affect the formation of the sprayed droplets making impossible the production of the spherical agglomerates.

Depending on the application, spray-dried spherical granules with controlled hardness and deformability may be required. We can find some works studying the effect of adding different organic binders to the ceramic suspension on the final granule characteristics [41,42]. However, the use of a binder can induce an increase in the slurry viscosity and produce the destabilization of an already dispersant-stabilized suspension. In a PBSLP process the importance of having coherent granules or not has not been studied yet. Some PBSLP apparatus are equipped with a cylindrical recoater part that is able to produce a compression of the powder bed once it has been spread. Further research is needed to know if the packing density of the powder bed is improved by using easy-deformable granules or not at the moment of compress it. In the present study, suspensions with and without binder in their composition were spray-dried and its influence on the final HAM characteristics (morphology and strength) was analysed.

The effect of the addition of the binder PVA on the dispersant-stabilized slurries was determined through viscosity and zeta-potential measurements. Three similar volumes of aqueous solutions, two of them containing 3 and 5 wt. % of binder PVA (respect to the ceramic powder) were prepared and poured to three different samples of a HA suspension at  $w_{HA} = 0.3$  to study the binder influence on the viscosity. Figure 3a shows the shear stress versus shear rate diagram of the HA suspensions at different PVA concentrations. The best-fit parameter values of these rheograms by a power-law of type  $\tau = K(\dot{\gamma})^n$  with  $\tau$  the shear stress [Pa] and  $\dot{\gamma}$  the shear rate [ $s^{-1}$ ] can be observed in Table 2. All suspensions analysed exhibited pseudoplastic behaviour over the whole shear rate range, also confirmed by the flow behaviour indexes ( $n$  being less than 1). It is important to note that the correlation ratios indicate that the Power Law model fits the measurements well. The viscosity of aqueous suspensions with different PVA concentrations is compared in Figure 3b. As expected, the higher the PVA concentration, the higher the slurry viscosity is. However low viscosity values were observed, even for high binder concentration ( $< 0.1$  Pa.s for the whole shear rate range). These results are in agreement with those of Tsetsekou et al. [43] who observed that the addition of PVA binder into alumina slurries exhibited a slight increase of the viscosity. A higher PVA content may increase the resistance to deformation by shear stress due to the presence of more entities in the suspension reducing the free space for individual particles to move around.

Table 2. Parameters fitted to Power Law model for HA suspensions with different PVA concentrations in steady-state conditions during the shear stress-shear rate test (imposed shear range 1-1000  $s^{-1}$ ).

Sample		PVA conc. (wt. %)		
		0	3	5
Consistency index $K$ [ $10^{-3}$ Pa.s <sup>n</sup> ]	Value	9	18	21
	Standard Error	0.90	2.24	2.47
Flow index $n$	Value	0.69	0.66	0.67
	Standard Error	0.01	0.02	0.02
Correlation ratio $R^2$		0.99	0.98	0.99

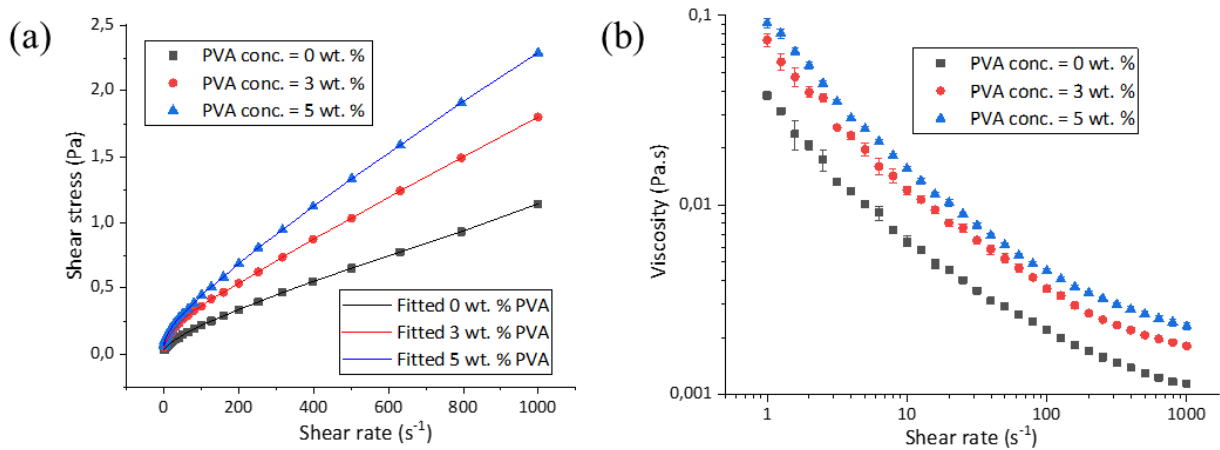


Figure 3. (a) Flow data for several suspensions containing different binder concentrations fitted to the Power Law model and (b) viscosity-shear rate curves.

In addition, the zeta-potential evolution of the HA suspensions was analysed in the PVA dosage range of 0-5 wt. % relative to the HA content. The addition of PVA can alter the slurry stability, reducing the zeta-potential of the slurries and producing the pre-agglomeration of the particles in suspension that could preclude a correct formation of the dry agglomerates during the SD process. An absolute zeta-potential value higher than 30 mV would indicate a good stabilization of the suspension [44]. Then, it was important to avoid the alteration and diminution of the zeta potential of the suspension under this limit value. Figure 4 shows the average of the zeta-potential values from a set of five measurements for each of the three analysed suspensions. It can be observed how the suspension was already well stabilized due to the previous addition of DC. Between the suspensions containing PVA, the one with a 5 wt. % of PVA respect to the ceramic showed a higher absolute value for the zeta potential of the suspension indicating a lower alteration of the initial stability of the slurry.

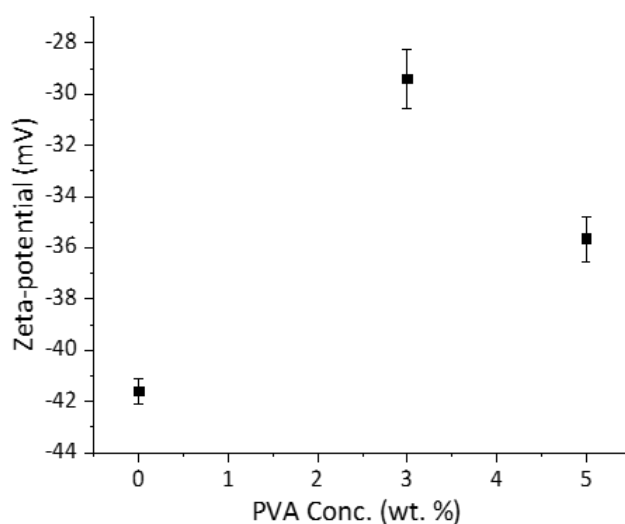


Figure 4. Effect of PVA dosage on HA dispersant-stabilized slurries zeta-potential.

In this section it was demonstrated that the addition of PVA to the slurries prior to the SD process induced a higher but controlled slurry's viscosity. In addition, comparing these concentrations, a concentration of 5 wt. % of PVA respect to the ceramic kept a higher stability of the suspensions with a higher zeta-potential absolute value.

### 3.2 Spray drying of the HA suspension

Below, the time to reach a steady-state regime and the effect of the operating parameters of the SD continuous process on the final HAM properties are analysed. Different experiment runs where performed with diverse parameters combinations. These operating parameters were the feed rate, solid mass fraction, spray air pressure, inlet temperature, and the binder dosage. The influence of these parameters has been investigated in terms of resulting PSD and particles shape through SEM micrographs analyses of the produced HAM and residual moisture content of the powder after drying.

#### 3.2.1 Steady-state regime

Firstly, the time to reach the steady-state process regime in which the variables remain constant as time changes was evaluated in the conditions described for the run 1. Figure 5a shows the average particle size obtained at different process times. A certain variation of the particle size is observed within the time of the experiment but not a clear tendency can be perceived. Assuming that the time required to bring the equipment up to operating capability under these conditions is no more than two hours (time over which the

process has been monitored), it would indicate that the steady-state of the process is reached rapidly at the beginning of the experiment and that not important variations for the particle size of the powder obtained are expected. As was already indicated in Table 1, the average  $x_{10}$ ,  $x_{50}$ , and  $x_{90}$  of the whole process are 25  $\mu\text{m}$ , 64  $\mu\text{m}$ , and 123  $\mu\text{m}$  with standard deviations of  $\pm 7 \mu\text{m}$ ,  $\pm 4 \mu\text{m}$ , and  $\pm 1 \mu\text{m}$  respectively. This variation of the product quality with the process time is also observed in the evolution of the PSD of the powders shown in Figure 5b. A certain difference is perceived between distributions but neither here a clear variation tendency depending on the process time is observed. All powders showed a roughly similar PSD span.

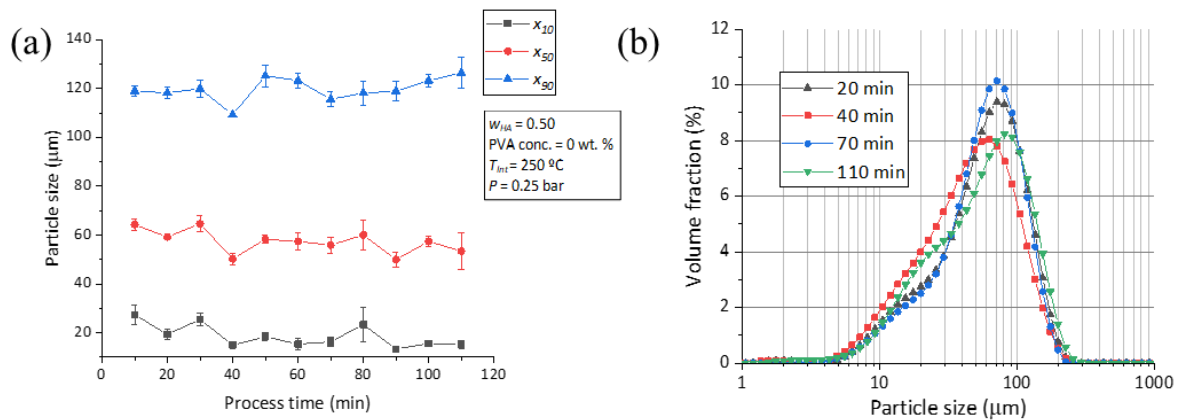


Figure 5. (a) Average particle size ( $x_{10}$ ,  $x_{50}$ , and  $x_{90}$ ) of powders obtained at different process times and (b) PSD of the powders obtained at 20, 40, 70, and 110 minutes.

HAm morphologies at different process time can be seen on Figure 6. The majority of the particles had small rough spherical and dense morphology, produced by the use of submicronic particles (much smaller than the size of droplets) as starting material. The spherical particles have almost no external visible porosity in their structure. Other particle morphologies can also be observed in the SEM micrographs but in a very lesser extent (Figure 7). These are doughnut, convex, and mushroom particle morphologies produced by the hydrodynamics, the structural stability, and the behaviour of the droplets transforming the spherical droplet into other forms [2,45]. This droplet-transformation phenomenon happens at specific process conditions (e.g., too high temperature process, presence of surfactants...). Okuyama et al. [2] found in their study that doughnut type particles had higher surface area, lower density and more than a 30% of porosity than spherical dense particles.

Formation mechanisms of dense small rough spherical dense particles, as well as, mushroom, convex, and doughnut particles are illustrated in Figure 7a and Figure 7b, respectively. In addition, the SEM micrographs of individual spray-dried HAm, doughnut HA particle, and the cross-section of a HAm showing the initial HA particles can be observed from Figure 7c to Figure 7f.

Regarding the residual moisture of powders obtained at different times, the moisture percentage values oscillated in a range between 1.5% and 0.6%. This tolerable variation of less than 1% on the powder humidity led us to assume that the steady-state regime of the process is rapidly reached and no longer operation times are required.

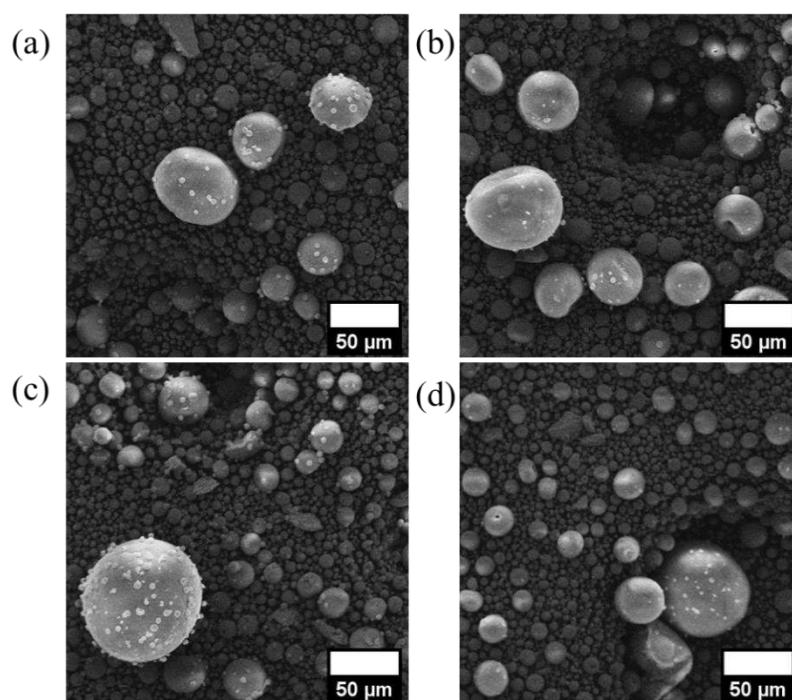


Figure 6. SEM micrographs of spray-dried HAM at (a) 20 min, (b) 60 min, (c) 90 min, and (d) 110 min of process time.

To conclude this preliminary study of the SD process time influence on particles morphology, not important differences between the obtained HAM morphologies, sizes and humidities at different process times were found during the first two hours; demonstrating that the steady-state regime of the process is rapidly reached. Thus, it was chosen to characterize the following experimental runs performed in this study at a same duration time of 20 min for better comparison. Since the presence of a small percentage of mushroom/convex/doughnut particles in a PBSLP powder feedstock has not been demonstrated to have an unfavourable effect, the variables involved in their production have not been further investigated.

Once the time required to reach the steady-state of the process has been evaluated, the effect of the different operating conditions on the product quality was carried out. For hence, the following study was focused on the analysis of HAM morphology in terms of particle size and their PSD only.

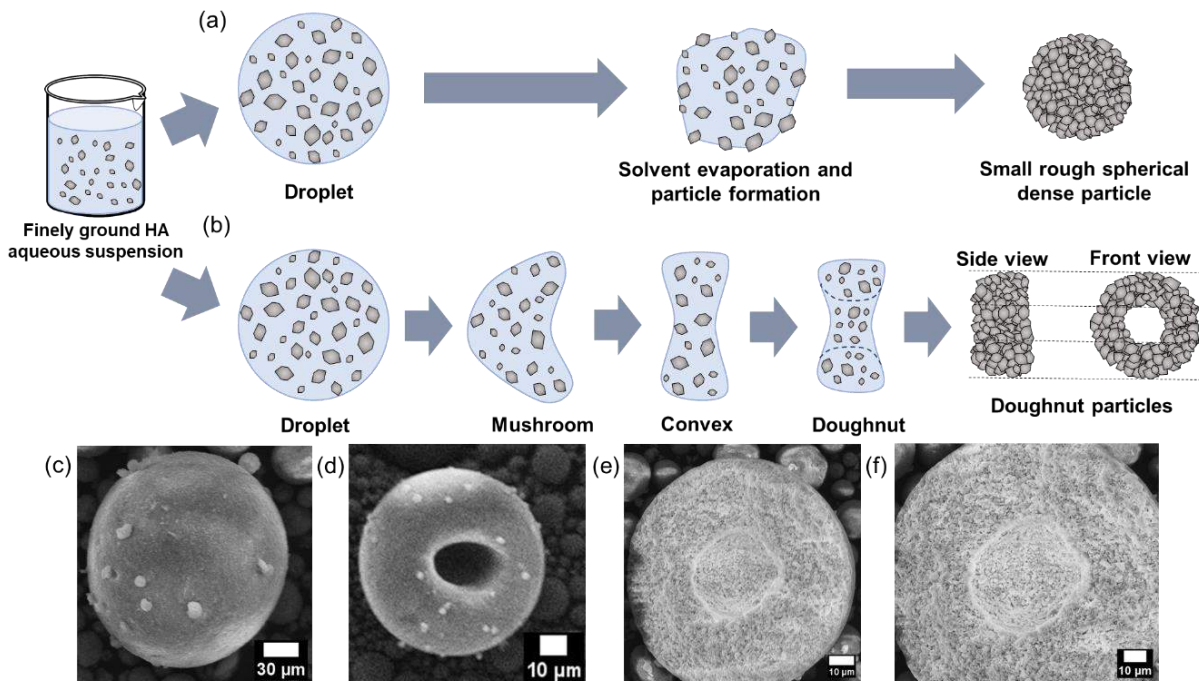


Figure 7. Formation mechanism of (a) small rough spherical dense particles and (b) mushroom, convex, and doughnut particles (based on Okuyama et al. [2]). SEM micrographs of spray-dried (c) small rough HAM, (d) doughnut HA particle produced at specific conditions, (e) cross-section of a HAM showing the initial HA particles forming the agglomerate and (f) at higher magnification.

### 3.2.2 Influence of the parameters of the spray drying process on the properties of the powders

First, experiments with different combinations of operating parameters, such as, feed rate, solid mass fraction, spray air pressure, and inlet temperature were performed and the powder obtained was analysed for comparison of average particle size (Figure 8) and PSD (Figure 9).

It can be observed from both, average particle size and PSD analyses results that the different SD process conditions have an important effect on the final powder size distributions. The most influential effect observed in the parameters studied ranges came from the increase of the feed rate (runs 1, 4 and 5) and the temperature of the process (runs 1, 10 and 11).

At similar SD conditions, an increase of feed rate from  $3.2 \text{ kg}\cdot\text{h}^{-1}$  (run 1) to  $5.8 \text{ kg}\cdot\text{h}^{-1}$  (run 5) means almost the double of matter sprayed per hour and in consequence, bigger droplets are formed producing larger average particle size. Same reasoning could have the increase of the average particle size with a higher solid mass fraction on the suspension. A higher amount of HA precursor particles per droplet produces the increase of the final particle size. This direct proportional relation between the droplet size and the feed rate/solid mass fraction operating parameters was already mentioned in literature [45,46]. It is also clearly observed that a higher spray air pressure produces finer droplets reducing the average particle size [45–47].

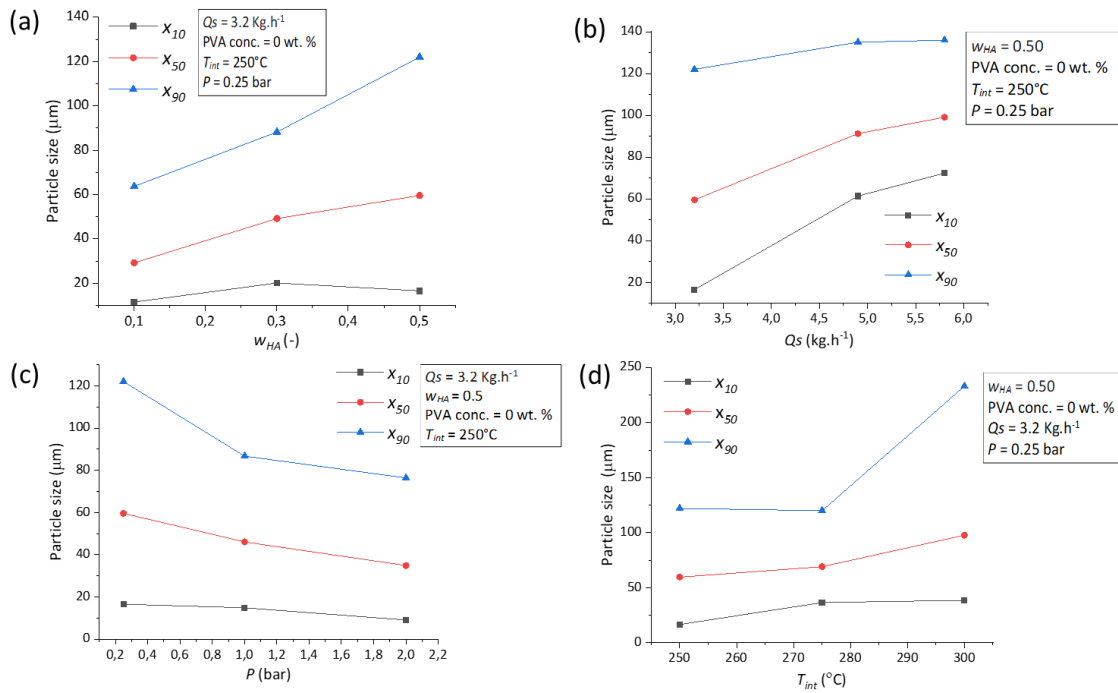


Figure 8. Main spray-dryer operating parameters effect on HAM average particle size ( $x_{10}$ ,  $x_{50}$ , and  $x_{90}$ ). (a) Solid mass fraction (runs 1-2-3), (b) feed rate (runs 1-4-5), (c) spray air pressure (runs 1-6-7), and (d) inlet temperature (runs 1-10-11).

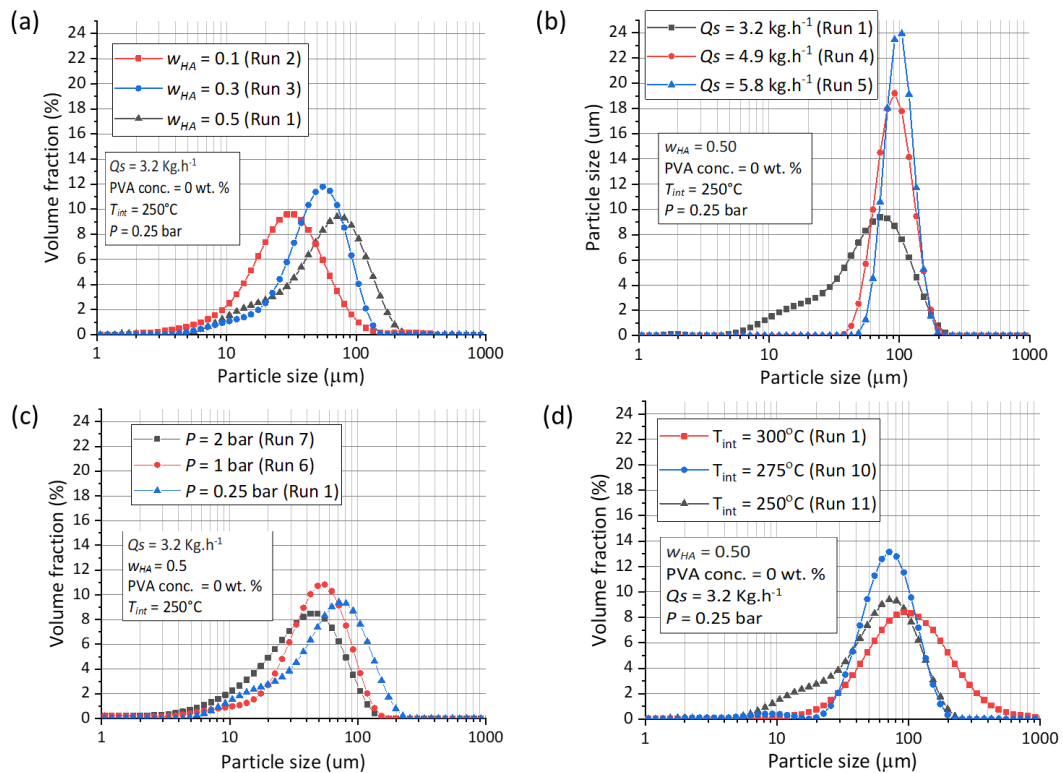
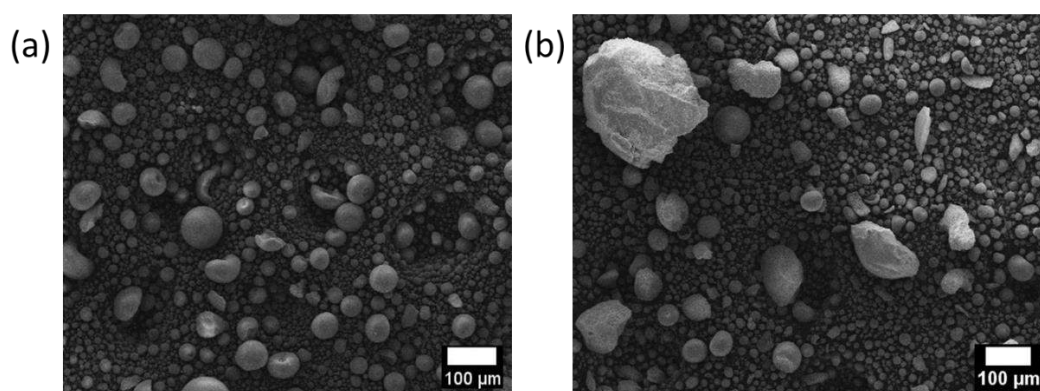


Figure 9. PSD of hydroxyapatite powders depending on the operating parameters: (a) Solid mass fraction (runs 1-2-3), (b) feed rate (runs 1-4-5), (c) spray air pressure (runs 1-6-7), and (d) inlet temperature (runs 1-10-11).

The inlet temperature is known to affect the final particle size in different ways [46,48]. A rise of the temperature reduces the slurry surface tension, expanding in consequence the droplets and dried particles size. The runs 1, 10, and 11 performed at different inlet temperatures from 250°C to 300°C showed an increase of the average particle size. However, the SEM analysis performed on these powders (Figure 10) showed the apparition of large particles with irregular shape when the inlet temperature was too high (Figure 10b, run 11). A higher temperature, and therefore a shorter drying time do not allow the correct organization of the particles forming the agglomerates. Thus, inducing an irregular shape as well as an increase of the agglomerates size, then in the runs 1 and 10 the critical temperature value was not exceeded and the formation of particles with irregular shape was avoided giving as result the production of HAM (Figure 10a).



*Figure 10. SEM micrographs of (a) HAM formed at inlet temperature of 275°C (run 10), (b) powder at inlet temperature of 300°C (run 11) with irregular shape particles.*

The addition of PVA to the suspensions did not suppose a high increase of the suspension viscosity ( $< 0.1$  Pa.s for the whole evaluated shear rate range). A too high viscosity could lead to handling problems during the spray drying process, especially during the pumping and mixing of the slurry [49]. However, even at higher viscosities than 0.1 Pa.s the successful spray drying processing of ceramic suspensions has been previously observed [43]. Then, together with the previous rheological study it was demonstrated that the use of PVA as binder during the granule formation in a SD process was possible. The addition of PVA (runs 1, 8 and 9) acting as binder in a range of 0-5 wt. % did not show any clear effect on the median particle size of the spray-dried HAM (Figure 11a). However, the addition of PVA seems to reduce the population of particles with a  $x_{50} = 15-20$  μm. This could indicate a favouring of the formation of the HAM of larger size during the SD process or the enhancement of their hardness. A preliminary analysis of the mechanical properties of the HAM obtained was possible by using different dispersion pressures during the particle size analysis by laser diffraction in a dry way. It was observed that the granules spray-dried with a slurry free of PVA were more fragile and were more affected by the increase of the dispersion pressure reducing their

particle size (Figure 11b). More than a 50% of average particle size diminution was observed for the powder without PVA when the dispersion pressure was increased from 0.1 bar to 4 bar, while the powders containing PVA decreased their size a 25%.

A higher residual moisture was observed on spray-dried powders in presence of PVA (Runs 8 and 9) showing moisture content values in between 4-5%. While in absence of PVA, (rest of experimental runs) lower moisture content values ranging 1-2% were observed. This could be due to a higher water retaining in the structure of the agglomerates by the polymeric binder, but this increase of residual moisture should not affect their performance in a PBSLP apparatus. We could conclude that the addition of a binder such as PVA can improve the granules breakage resistance if the intended application requires it without an important alteration of the powder properties such as, PSD.

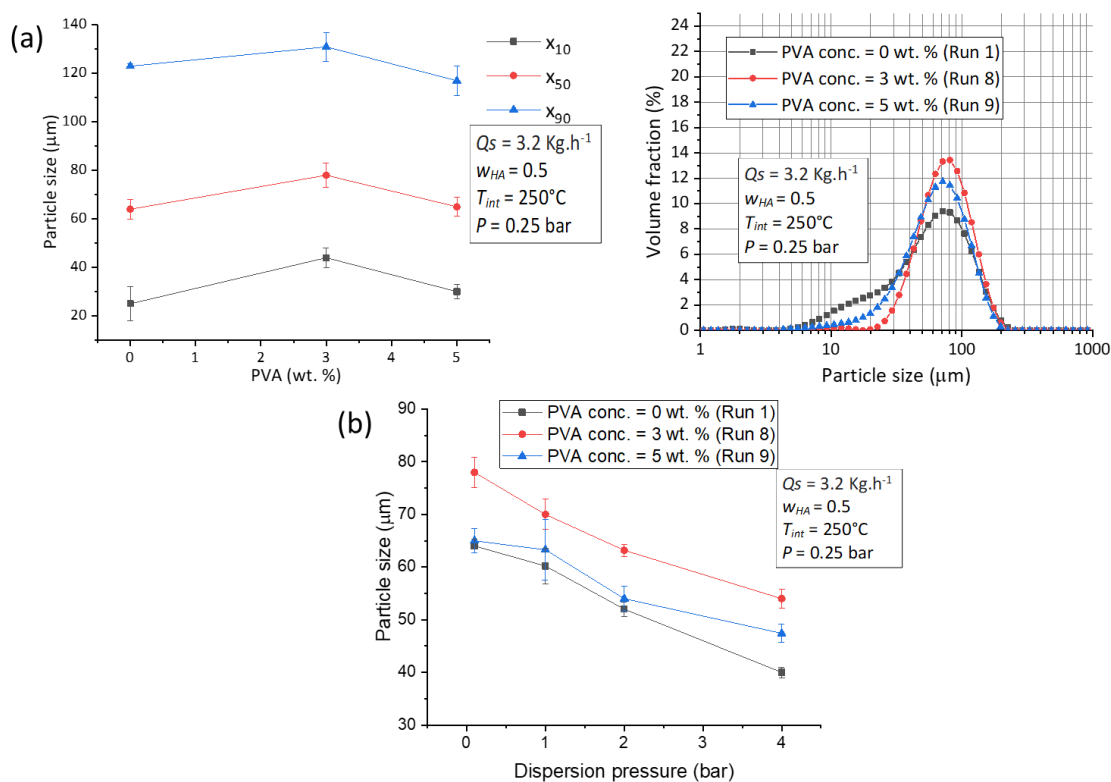


Figure 11. (a) Average particle size and PSD of spray-dried HA powders depending on slurry PVA binder concentration (Runs 1, 8, and 9). (b) Average particle size evolution of previous spray-dried powders at different dispersion pressures during the particle size analysis.

### 3.2.3 Spray drying operating parameters optimization

An additional study was performed to find a more optimal SD operating parameters combination for better process efficiency, what is to say, reaching a higher recovery rate (process performance) and evaporation capacity of the equipment. An increase of the feed rate could make difficult the atomization of too large droplets, since it could cause an insufficient drying rate when the inlet temperature is not high enough, reducing the recovery rate. For this reason, several experiments (runs 12, 13 and 14) were performed at experimental conditions where both, the feed rate and the inlet temperature were increased accordingly. Figure 12a shows the PSD and average particle size comparison between the three runs at different SD operating parameters sets (feed rates and temperatures). A slight increase of the average particle size is observed on the runs using higher feed rate and inlet temperature. In terms of particles morphology there were no big differences between them, showing all of them a predominance of the already seen HAm, also defined as, small rough spherical dense particles. SEM micrographs were obtained from runs 13 and 14 and they can be observed in Figure 12b and Figure 12c, respectively, showing no important differences in terms of particles morphology.

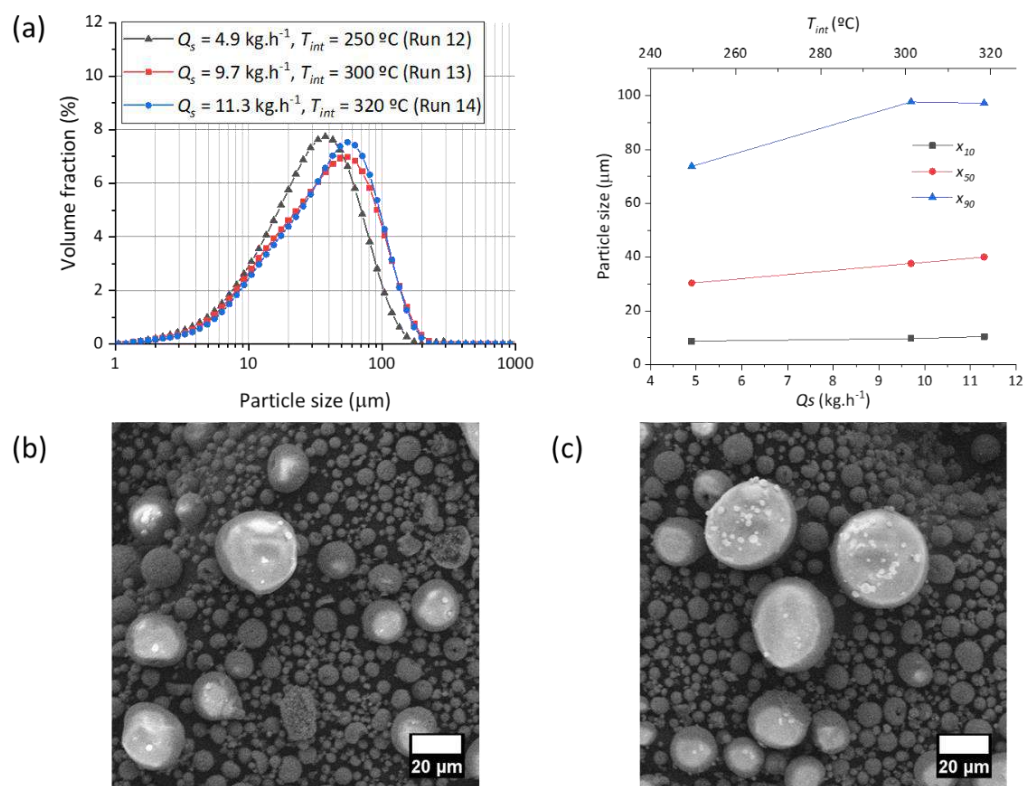


Figure 12. (a) PSD and average particle size of HA powders produced with the most efficient SD operating parameters sets (Runs 12, 13, and 14). SEM micrographs of HA powders produced at different combinations of feed rate and inlet temperature (a)  $Q_s = 9.7 \text{ kg.h}^{-1}$ ,  $T_{int} = 300^\circ\text{C}$  (run 13) and (b)  $Q_s = 11.3 \text{ kg.h}^{-1}$ ,  $T_{int} = 320^\circ\text{C}$  (run 14).

For all the experiments, the recovery rate is understood as the yield of dry HA powder recovered in the collection jar at the end of the process respect to the solid mass within the spray-dried slurry. It was observed that the operating parameters of the SD process have an influence on the recovery percentage. Recovery rates of all experiment runs are summarized in Figure 13. A clear tendency to obtain higher recovery rate is observed when using higher spray air pressure (Runs 6 and 7). In addition, feed rate and inlet temperature play an important role to obtain a higher recovery rate. The highest recovery rates were obtained when, at high spray air pressure, the feed rate and the inlet temperature were increased accordingly (Runs 12, 13, and 14), thus reaching also the highest evaporation rate (amount of slurry evaporated per hour). Run 12 reached the highest recovery rate value of this study.

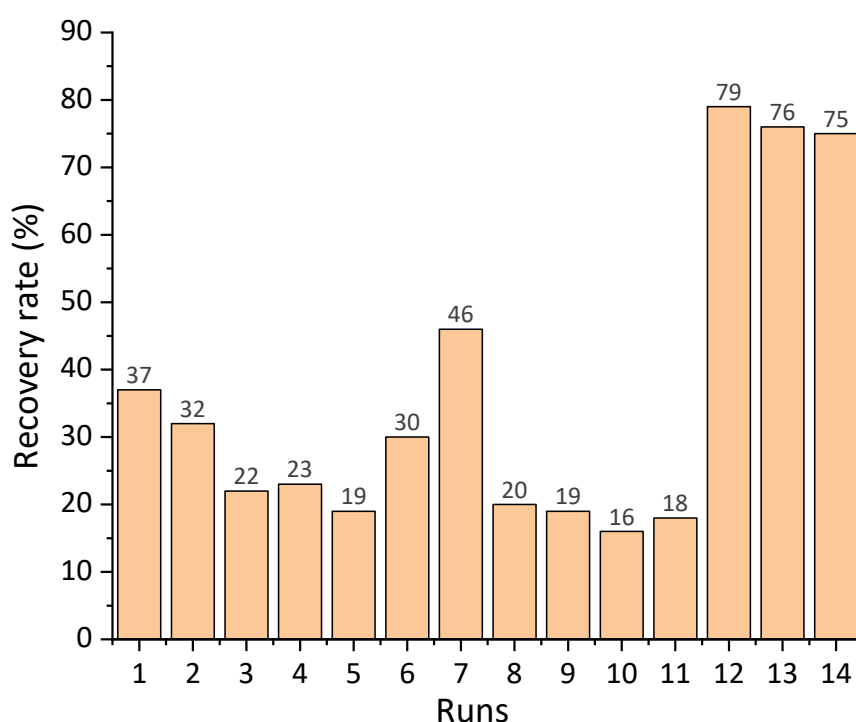


Figure 13. HA powder recovery rate of runs at different SD operating parameters.

In this section, several studies were performed to evaluate the SD process and the influence of its operating parameters on the final product quality. First, the time to reach the steady-state regime of the process was assessed and we concluded that it was relatively fast for the standard conditions used in the study. Then, in this case the time of the process was determined as not a relevant factor for the granule's formation and their final morphology at least as other operating conditions. Small rough dense particles or HAM was the predominant morphology in the SEM analyses of the majority of spray-dried powders with a lower presence of more irregular shape particles. Subsequently, the effect of the different operating parameters on the final product quality was evaluated. Feed rate and solid mass fraction showed the highest

effect obtaining larger average particle size when they are increased. It was demonstrated that there is a permissible limit value for the inlet temperature and when it is surpassed the morphology of the particles is affected producing particles with irregular shape. PVA addition to the slurries did not show a clear influence on the morphology of the powders obtained, neither at high a concentration of 5 wt. % although its presence improved the agglomerates breakage resistance. Spray air pressure seemed to be the parameter that affect the most the powder recovery rate obtaining higher values when it is increased.

### 3.3 Powders characterization

#### 3.3.1 Flowability of powders

In this section, powders flowability, packing density, and physico-chemical properties were evaluated before and after the SD process to ensure that their flowability is improved and their material integrity remains unaltered during the process.

The importance of having a good powder flow behaviour is due to its direct impact on the homogeneity of a spread powder bed in a PBSLP apparatus. The quality of the powder bed influences the density of the printed parts. A deeper description of the different powder flowability measurement techniques (static and dynamic) to determine the flow behaviour of powders was offered by Spierings et al. [17]. It does not exist a single technique suitable for a complete characterization of a powder flow, for hence different techniques have to be used. The method used should match as much as possible the powder dynamics during its application to have the closest approximation to the reality [50]. The minimum limits for the flowability requirements are highly influenced by the PBSLP apparatus design and the type of layer creation device.

PSD and morphology of powders have an important effect on flowability of powders. In literature, different works have exposed that for powders of narrow PSD the most spherical and larger the particles, the better they flow [19–22]. Between powders with different level of PSD dispersion, a higher dispersion improves the flowability and packing density of the powder. In bimodal size distributions, the smaller particles fill the interstitial voids between the larger particles. A weight fraction of coarse particles about 70% is the condition showing maximum packing density [23–25].

In this study, three different powders were evaluated in terms of flowability. Initial HA powder before the SD process, spray-dried HAM produced following the conditions described in the run 1, and commercial HA powder 300-08-2 from Urodelia®. SEM micrographs of the spray-dried HAM were already shown previously (Figure 6) while the micrographs of the commercial and initial powders can be seen in Figure 14. Both commercial HA and the HAM produced in the present study have spherical shape due to the spray drying step carried out as part of their production process. This is not the case of the initial (and

pre-spray-dried) dry powder which shows an irregular shape. This last powder has a tendency to agglomerate when dry and an additional hand-crushing step was needed to homogenize it.

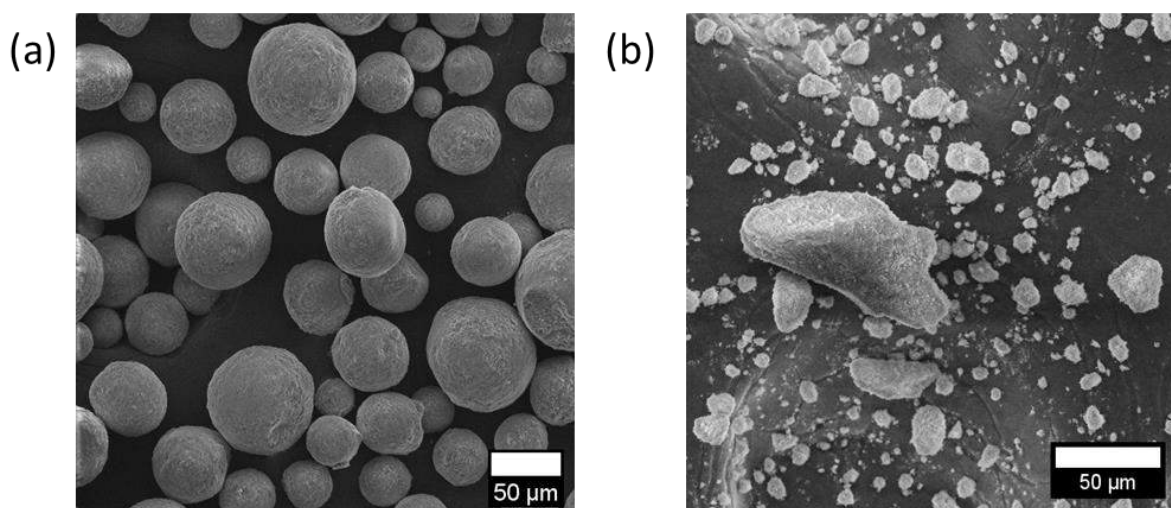


Figure 14. (a) Commercial Urodelia<sup>®</sup> 300-08-2 powder and (b) dry initial HA powder SEM micrographs.

Table 3 shows a summary of the properties of the three powders (Initial HA, spray-dried HAM, and commercial HA powder) that were evaluated with the different flowability tests. Between both spray-dried powders, the one produced during the run 1 in the present study and the powder from Urodelia<sup>®</sup>, the differences in flowability are less notorious and the powder that shows the best flowability is dependant of the flowability test. The results obtained from the angle of repose and flow rate tests indicate that the spray-dried powder from the present study could have a better performance than the commercial powder. However, the Hausner ratio indicates that these two are very close in flowability, slightly better in the commercial one. Only in the case of the flow function analysis, the initial powder takes the first position as the most flowable, although the three of them are classified as free flowing powders.

If we take into account that static and dynamic characterization techniques do not necessarily agree, for example, powders showing good fluidization performance can also show agglomeration. It means that flow properties are dependent upon the stress state and that there is no a unique technique suitable for a full characterization of a powder. Both types of techniques, static (e.g., flow function, compressibility, and Hausner ratio) and dynamic (e.g., flow rate) should be used to fully understand the flow behaviour of a powder. In the case of the angle of repose technique, it combines both static and dynamic elements and it is a common test for quality control allowing a quick comparison between flow properties of powders [52]. In this case, the flow rate technique has a closer powder dynamic to the recoating process in a PBSLP additive manufacturing apparatus, and then we could consider it as the most accurate method to evaluate the performance of the powders for a use as PBSLP powder feedstock.

Table 3. Flowability measurements of HA powders in the present study for comparison. Standardized methods according to the European Pharmacopoeia Chapter 2.9.36.

HA Powder sample	Powder characteristics		Flowability tests results				
	$x_{50}$ ( $\mu\text{m}$ )	Span	Angle of repose $\alpha$ ( $^{\circ}$ )	Compressibility index (%)	Hausner ratio $HR$ (-)	Flow rate ( $\text{kg}\cdot\text{h}^{-1}$ )	$f_{\text{f}}$ (-)
Initial HA (filtered and dry)	43.7	12.77	$41.1 \pm 1.9$	$27.62 \pm 1.20$	$1,380 \pm 0.021$	$86.9 \pm 17.53$	$22,3 \pm 2.4$
Spray-dried HAm (Run 1)	59.6	1.78	$26.5 \pm 2.3$	$10.95 \pm 0.56$	$1.123 \pm 0.007$	$344.6 \pm 27.7$	$21,4 \pm 2.3$
Urodelia <sup>®</sup> 300-08-2	63.6	0.81	$30.9 \pm 0.9$	$10.68 \pm 1.67$	$1.120 \pm 0.021$	$274.9 \pm 21.8$	$15,9 \pm 2.4$

### 3.3.2 Physico-chemical properties of powders

To discard any possible alteration in the properties of the product, for example due to a phase transition or to any kind of contamination during the process, different physico-chemical analyses of the products were performed. Figure 15 shows the XRD spectra comparison between the initial HA powder and the powder once it has been spray-dried (run 1). A certain increase of the powder crystallinity (narrower peaks) was observed for the powder after the SD process. No phase transition was observed of the initial calcium phosphate phase (stoichiometric hydroxyapatite (JCPDS 00- 009-0432)) on the spectrum after the process [51].

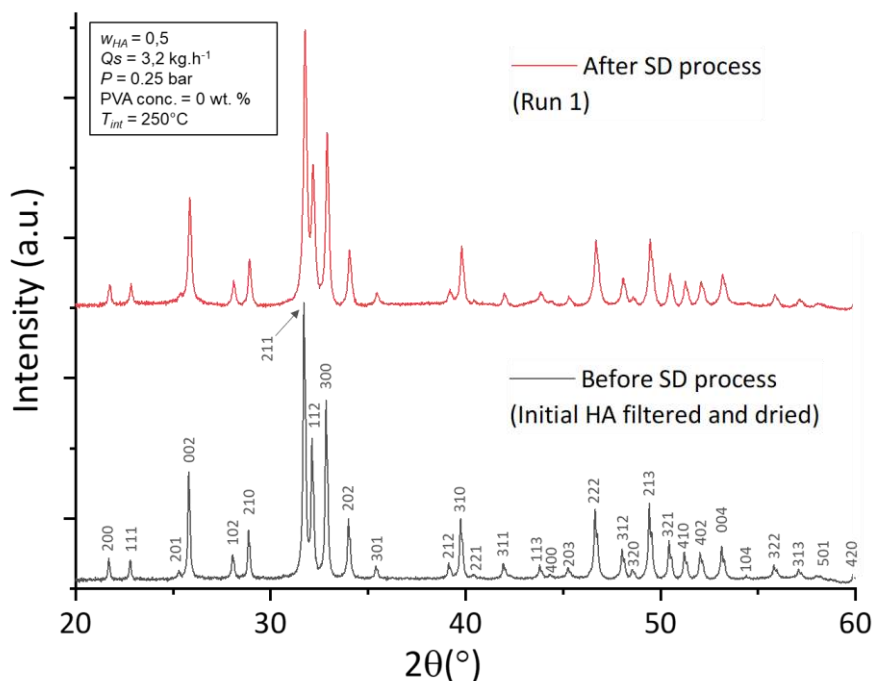


Figure 15. XRD spectrum analysis of the HA before and after the SD process. All the diffraction peaks correspond to stoichiometric hydroxyapatite (JCPDS 00 009 0432).

The FTIR spectra of the powder before and after the SD process are shown in Figure 16. There was no presence of side compounds that could be produced during the SD process. Then, as for XRD analysis, the FTIR method confirmed that the physico-chemical integrity of the material remains nearly unaltered.

$\text{PO}_4$  and  $\text{OH}^-$  vibration bands are visible at  $1092\text{ cm}^{-1}$ ,  $1040\text{ cm}^{-1}$ ,  $962\text{ cm}^{-1}$ ,  $601\text{ cm}^{-1}$ ,  $575\text{ cm}^{-1}$ ,  $561\text{ cm}^{-1}$ , and at  $650\text{ cm}^{-1}$   $3600\text{ cm}^{-1}$  respectively [51,52]. In the spray-dried powder spectrum, a weak, almost invisible band corresponding to water absorbed ( $3400\text{ cm}^{-1}$ ) is observed confirming the low moisture present powder. A very weak band can be observed at  $1400\text{ cm}^{-1}$  in pre-spray-dried HA powder which could correspond with a low presence of dispersant DC used in the suspension feedstock [53]. Then, the filtering and washing steps to obtain the initial dry powder could not be enough for a complete removal of the dispersant. However, after the SD process this band becomes nearly invisible indicating a more evident elimination of the dispersant during the process, which could be due to a thermal degradation.

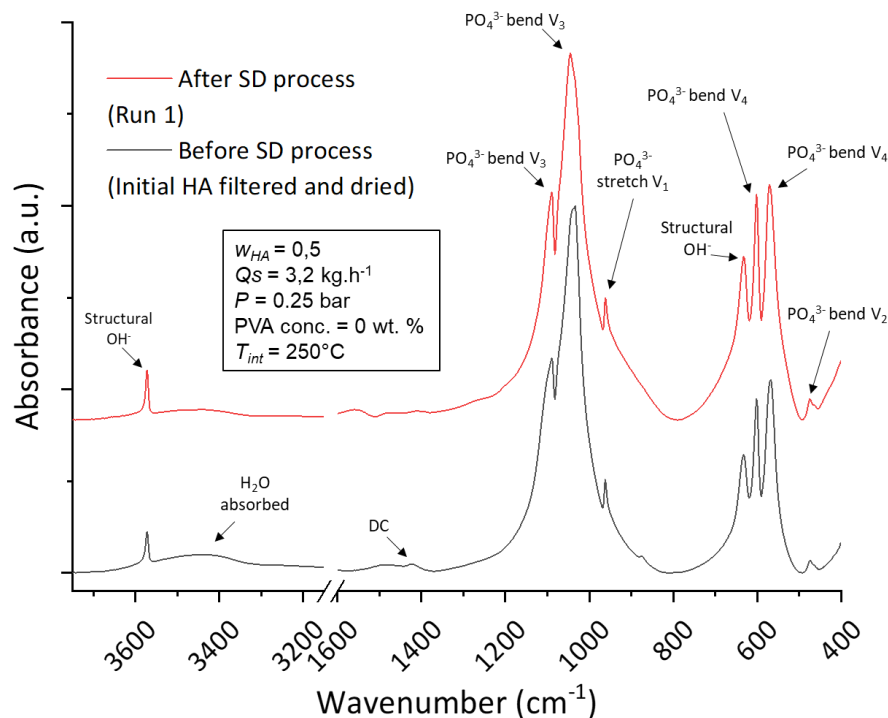


Figure 16. FTIR spectrum analysis of the HA before and after the SD process.

This section allowed us to emphasize the purpose of using a SD process to obtain HAM composed powder able to fulfil the flowability requirements in a PBSLP process. The comparison of the produced powder with the starting powder and an already commercial flowable powder made possible to evaluate the quality of our final product. The production of powder with a suitable flowability was then possible by SD process while the physico-chemical properties of the material remained unaltered and no presence of relevant contamination was observed.

#### 4. Conclusions

In this paper, the effect of the SD process conditions concerning the slurry formulation and experimental parameters on the HAM size and morphology was discussed. PVA was demonstrated to be effective as binder improving the agglomerates breakage resistance without significant increase of suspension viscosity. The results indicated that the feed rate and solid mass fraction showed the highest effect on spray-dried particles morphology obtaining larger average particle size when they are increased. It exists a permissible limit value for the inlet temperature respect to the feed rate and when it is surpassed it alters the morphology of the particles producing particles with irregular shape. For temperatures under this limit, HAM was the main morphology obtained during the process. Several parameters set allowed us to obtain the targeted HAM particle size of  $30 \mu\text{m} < x_{50} < 70 \mu\text{m}$  with a size distribution span higher than 1.

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However, highest powder recovery rate values were obtained when using high spray air pressure together high temperature. It was demonstrated that the targeted powder properties help to fulfil the flowability requirements for its use as PBSLP powder feedstock through comparison with different powders. Physico-chemical properties of the powder were not altered during the process, demonstrating that SD process is a non-invasive method. This study allowed us to develop a quantitative relationship between powder morphology and SD process conditions while confirming that this is a promising process for the production of HAM with suitable properties for its use in a PBSLP process.

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## 7. Supplementary material

Table S1. Flow properties based on angle of repose, compressibility index, and Hausner ratio.

Angle of repose (°)	Compressibility index (%)	Hausner ratio HR (-)	Flow properties
25-30	<10	1.00-1.11	Excellent
31-35	11-15	1.12-1.18	Good
36-40	16-20	1.19-1.25	Fair
41-45	21-25	1.26-1.34	Passable
46-55	26-31	1.35-1.45	Poor
56-65	32-37	1.46-1.59	Very poor
>66	>38	>1.60	Very very poor

Table S2. Classification of flow function used by Jenike [39].

$ff_c < 1$	not flowing
$1 < ff_c < 2$	very cohesive
$2 < ff_c < 4$	cohesive
$4 < ff_c < 10$	easy flowing
$10 < ff_c$	free flowing



**Chapter V. Powder bed selective laser processing (sintering/melting) applied to tailored calcium phosphate-based powders**



## Powder bed selective laser process (sintering/melting) applied to tailored calcium phosphate-based powders

Pedro Navarrete-Segado,<sup>a,b</sup> Christine Frances,<sup>b</sup> Mallorie Tourbin,<sup>b</sup> C. Tenailleau<sup>c</sup>, B. Duployer<sup>c</sup>,  
David Grossin<sup>a,\*</sup>

<sup>a</sup> CIRIMAT, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

<sup>b</sup> Laboratoire de Génie Chimique, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

<sup>c</sup> CIRIMAT, Université de Toulouse, CNRS, 118, Route de Narbonne, 31062 Toulouse cedex 9, France.

**Abstract.** This paper focuses on the tailoring of calcium phosphate powders for their use as powder bed selective laser process feedstock. Hydroxyapatite and chlorapatite were used as starting powders for the preparation of different blends through the addition of graphite as a laser absorptance additive. A methodical study was conducted to compare the processing windows of the blends containing different amounts of graphite through the laser patterning of circular samples. It was found that the addition of graphite increases the process window of the powder blends being the powder without additive non processable. Hydroxyapatite showed a clear phase transition (decreased when using higher volumetric energy density) into other calcium phosphate phases while chlorapatite was demonstrated to be thermally stable during the whole process (examined through X-ray diffraction and vibrational spectroscopies). In parallel, the study evaluating the powder blend composed of hydroxyapatite and graphite for the production of solid and complex parts was carried out although it required long printing times. The productivity of the process was improved by modification of printing parameters. Then, a series of solid samples were produced for the analysis of the microstructure and mechanical properties. High interconnected porosity was observed in the samples which could improve the bioactivity of the bioceramic scaffolds. A post-treatment of the parts increased their proportion in the hydroxyapatite phase and their mechanical properties. These results are expected to contribute to the application of powder bed selective laser processing of calcium phosphates powders toward bone tissue engineering.

**Keywords:** hydroxyapatite, chlorapatite, powder bed fusion, selective laser sintering, selective laser melting

\*Corresponding author: david.grossin@ensiacet.fr

## **1. Introduction**

The use of powder bed fusion selective laser processing (PBSLP) (sintering/melting) additive manufacturing (AM) technology for the production of calcium phosphate scaffolds has been largely studied [1]. The printed bioactive scaffolds would serve as the starting point for the growth of new bone for bone replacement applications. Three main strategies have been followed by researchers for the shaping of bioceramic powders through PBSLP.

The first strategy consisting of the use of polymeric powders (e.g., polyethylene, poly- $\epsilon$ -caprolactone, and poly (L-lactic acid)) filled with calcium phosphate powders has been widely studied [2–5]. The polymer as the main component in the powder feedstock is melted through the laser irradiation giving as result the printed polymer/ceramic composite scaffold. Since these polymers are not removed after the PBSLP process the time of implantation can have some adverse effects on them losing some of their properties.

A second strategy is based on the use of a more similar ratio of polymer /ceramic components to print green body (polymer/ceramic composite) scaffolds. These are then submitted to pyrolysis (of the sacrificial polymer) and sintering steps to obtain the final sintered bioceramic scaffold free of the polymer [6].

The third strategy is the use of the bioceramic powder directly as the main component in the PBSLP process feedstock [7]. In this case, the material interacting with the laser is the ceramic powder whose response will be highly influenced by the type of laser-equipped in the PBSLP apparatus. The laser beam energy has to be absorbed and transformed into heat to trigger the sintering/melting of the powder. Different materials show different absorptance levels depending on the wavelength of the laser used. It is known that ceramic insulators (e.g. calcium phosphates) mainly absorb the light energy emitted by CO<sub>2</sub> lasers ( $\lambda = 10600$  nm) however it is not the case when using a fiber laser source ( $\lambda = 1070$  nm). The second one is the most common laser-equipped in PBSLP apparatus since it is greatly absorbed by metals, which are the most explored material feedstock in PBSLP technology. For this reason and because of the high cost of the PBSLP equipment there is a significant demand for the development of ceramics adapted to this kind of laser increasing the number of suitable materials feedstock for the same printer. The addition of absorptance additives has been studied as a method to deal with the observed low absorptance by oxide ceramic materials of fiber laser energy needed for their processing. Carbon graphite-based filler has been demonstrated to increase the fiber laser absorptance by adding small amounts in the material feedstock making possible the printing of oxide ceramics [8,9].

The energy input of the process is defined as volumetric energy density ( $E_d$ ) (J.cm<sup>-3</sup>) and different formulations have been considered for its calculation [1]. Most of the current research on process optimization considers the equation (1) for its calculation, which will be used in the present study. However, caution should be given when using  $E_d$  since recent works already showed that this equation gives only an

approximate estimation and further analyses on the hatch parameters and material properties should be done for a correct evaluation of the energy density [10].

$$E_d = \frac{P_{laser}}{v_{scan} \cdot h_{space} \cdot t_{layer}} \quad (1)$$

Where  $P_{laser}$  is the laser power (W),  $v_{scan}$  the scanning speed ( $\text{cm.s}^{-1}$ ),  $h_{space}$  is the hatching distance (cm), and  $t_{layer}$  is the layer thickness (cm). Recently, Leo et al. [11] remarked the importance of the laser defocus (positive and negative sense) for the process since it has a significant effect on the final microstructure by varying the spot size and for hence the  $E_d$ . In particular, when working at low laser power ( $\sim 38$  W), they found that small variations in the defocus value induced significant changes in the part properties. It is then of vital importance the study of the different PBSLP parameters for each material feedstock to find a processing window showing better sintering/melting phenomena of the powder, thus obtaining high-quality parts.

Thus, the goal of the present work was to evaluate the performance of different graphite-filled calcium phosphate powders (HA & CIA) as PBSLP process feedstock. Our study started with the preparation of calcium phosphate powders feedstock through the addition of different amounts of graphite as an absorbance additive. Then the performance of the different feedstock was evaluated in a PBSLP process at different printing conditions to evaluate the influence of the  $E_d$  in the final sample properties. The comparison between the different powders and parameters was possible through the examination of the chemical and structural composition, morphology, and mechanical properties of the printed samples.

## 2. Materials and method

### 2.1 Materials

Hydroxyapatite (HA) microspheres powder was used as the main component for the production of the powder blends that will be used as material feedstock for the PBSLP process. This initial powder was produced by Urodelia (SA Company, Saint-Lys, France) via wet-chemical coprecipitation and a posterior spray-drying process (product reference 300.08.2). More information about the wet-chemical approach followed was offered in previous work [12]. The spray drying process of hydroxyapatite powders was covered previously with a deeper examination of the HA microspheres flowability in chapter IV. The spray drying step was crucial to produce the flowable HA powder composed of spherical agglomerates.

In addition, pure chlorapatite (CIA) powder was produced through a solid-gas reaction (chlorination) of the initial HA powder in a tubular furnace. The procedure followed (based on the work of Demnati et al. [13]) was already described in chapter II of the present work. This CIA powder was also used as the main component for the preparation of blends employed as PBSLP process feedstock.

Different powder blends were produced by mixing graphite as an absorptance additive with HA and CIA powders as main components. TIMREX<sup>®</sup> KS 44 Graphite by Imerys is a carbon graphite-based filler that acts as a near-infrared absorptance additive improving the laser-material interaction of the powders. The preparation of the blends was carried out by pouring the previously weighted amounts of powders in a mixing jar, then the powders were mechanically blended using a Turbula shaker mixer for 1 hour. A list of the acronyms referring to the raw and prepared powder blends is given in Table 1.

*Table 1. Acronyms for different raw materials and blends feedstock used during our study.*

Powder/blend acronym	Description
HA	Hydroxyapatite microspheres used as raw material.
CIA	Chlorapatite microspheres used as raw material.
G	Graphite flakes used as raw material (absorptance additive).
HA_5G	Powder blend composed of hydroxyapatite and a 5 wt. % of graphite.
HA_10G	Powder blend composed of hydroxyapatite and a 10 wt. % of graphite.
CIA_5G	Powder blend composed of chlorapatite and a 5 wt. % of graphite.

TA6V discs with one side coated by plasma spray with pure HA (0.1 mm coating thickness) were used as a substrate to improve the attachment of the first printing layers to the building platform surface. The dimensions of the discs were 10 cm in diameter, 2 mm of TA6V thickness. They were produced by the company 2PS (Projection Plasma Système, Montbazens, France), which is specialized in plasma projection coating of orthopedic implants.

## 2.2 Characterization methods

### 2.2.1 Chemical and structural analyses

Different characterization techniques were used to confirm the chemical and structural composition of the raw and produced materials. X-ray diffraction (XRD) analyses of both powders and printed samples were performed in a BRUKER's X-ray diffractometer D8 Advance system with Cu K $\alpha$  radiation (wavelength  $\lambda = 0.15406$  nm), a nickel filter at 40 kV and 40 mA, and a LYNXEYE XE-T detector (energy resolution of 0.38 keV). Samples were analysed using a 6 mm x 2 mm slit in a  $2\theta$  range between 20° and 90°, a step of 0.03°  $2\theta$ , and a time per step of 0.2 s.

Rietveld refinement method was applied to estimate the crystalline phase compositions of the different printed samples containing a multiphase calcium phosphate mixture of hydroxyapatite (HA)  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ , alpha-tricalcium phosphate ( $\alpha$ -TCP) ( $\text{Ca}_3(\text{PO}_4)_2$ ), tetracalcium phosphate (TTCP)  $\text{Ca}_4(\text{PO}_4)_2\text{O}$ , and graphite (C) phases. MAUD (Material Analysis Using Diffraction) software (written by Luca Lutterotti [14]) was used for the diffraction analyses. The following methodology was followed to estimate the phase compositions of the multiphase samples. Rietveld refinements were performed in a  $2\theta$  range between  $21^\circ$  and  $36^\circ$ . This range was chosen to include the main reflections of each phase. All samples were refined assuming the possible presence of the four phases, and the crystallographic information frameworks (CIF) of the standard single-phase standards were employed for the refinement (phase/database/code: HA/AMCSD/0002281; Graphite/AMCSD/0000049;  $\alpha$ -TCP/COD/2106194 TTCP/ICSD/2631). Seven background points were settled for the calculation of the complete background curve via linear interpolation.

Fourier transform infrared spectroscopy (FTIR) analyses were performed to determine the chemical composition of powders using a spectrometer FTIR iS50 and the KBr pellet preparation method. About 9 mg of powdered sample was transferred to a clean mortar, then a weighed amount of dry KBr powder ( $300 \pm 5$  mg) was added and homogeneously mixed. A compression at 6000 kg was employed to produce the sample disk used for the analysis. FTIR spectra were recorded in the  $4000\text{--}400\text{ cm}^{-1}$  wavenumber range using a step width of  $0,48\text{ cm}^{-1}$ .

A Horiba-Jobin Yvon Labram HR 800 Raman spectrometer provided with 633 nm laser excitation was used to obtain the Raman spectra of the samples during our study. For reference, standard Raman spectra have been obtained from the RRUFF™ Project database for pure HA (RRUFF id: R100225, 780 nm), and CIA (RRUFF id: R060192, 780 nm). Raman spectra from TTCP and  $\alpha$ -TCP were obtained through the analyses of pure ceramic samples in the laboratory.

### 2.2.2 Morphological and structural properties

The particle size distribution (PSD) of the different powders used in this work was analysed in dry conditions with a Mastersizer MS 3000 (Malvern Panalytical®) laser particle size analyser. For the analyses, the powder was dispersed at a venturi pressure of 0.1 bar. Refractive indexes for the different powders were taken as 1.63 (HA), 1.65 (CIA), 2.70 (graphite) while the absorption indexes were 0.01 (HA), 0.01 (CIA), 1 (graphite). The morphology of powders was investigated with a scanning electron microscope (SEM) LEO 435 VP (Leica®) equipped with a Ge detector (Imix-PC, PGT) after metallization of the ceramic surface with a thin film of silver using a Scancoat Six sputter coater. Printed samples were analysed in a FEI ESEM Quanta™ 450 FEG, an environmental scanning electron microscope (ESEM) used with a large field detector (LFD) in low vacuum (LowVac) mode without previous metallization of the surface of the sample. ImageJ was used for the processing of the images [15].

High-resolution X-ray micro-computed tomography (CT) analyses of the HA cylinders were performed in a phoenix nanotom® equipped with a 180 kV / 15 W high-power nanofocus X-ray tube (9 μm voxel size, resolution). A 3D perspective of the cylinders was reconstructed and it was possible to examine their total porosity. VGStudio MAX 4.4 software was used to reconstruct the data sets and visualize the objects three-dimensionally.

The geometrical density of the cylinders was calculated from the mass and dimensions of at least ten samples. For comparison, the density of the parts was also measured by Archimedes' method in distilled water as liquid.

### 2.2.3 Laser absorptance of powders

Diffuse reflectance spectra were collected using a double beam spectrophotometer AGILENT® Cary 5000 UV/Visible/NIR and integration sphere accessory of 110 mm. Before the measurements, predetermined photometry calibration was made using specimens with known reflectance. Experimental data were collected within the 900-1200 nm range with 1 nm step and 0.5 s integration time. Powders were compacted in the sample holder and were approximately 3 mm thick. The absolute transmittance and reflectance can be measured directly with high accuracy and the absorptance can be obtained from these by a simple calculation:

$$A = 1 - R - T \quad (2)$$

Where A represents absorptance, R is reflectance and T is transmittance (which is considered equal to zero). A predetermined photometry calibration was made using specimens with known reflectance. Three different samples (N=3) were taken for each blend and were measured obtaining the mean value and the standard deviations (mean ± standard deviation) evaluating their homogeneity.

### 2.2.4 Mechanical properties of HA cylinders

The procedure followed for the analysis of the compression strength of the HA cylinders is described in ISO 13175-3:2012 [16]. The compression strength of the printed cylinders before and after thermal post-treatment was measured in an MTS Criterion® Model 42 electromechanical universal test system. An MTS load cell model LSB.203, with a capacity of 2000 N was used to measure the shear force at a crosshead speed of 0.085 mm/s at room temperature. Samples were submitted to a pre-stress of -3N to avoid any irregularity due to the state of the sample surface before starting the compression test. The measurements were stopped manually once the cylinder collapsed. The samples were set in the middle of the plate vertically inverted to the printing orientation. Since it was a preliminary study, a low number of measurement

repetitions were performed: three post-treated cylindrical samples (N=3, mean  $\pm$  standard deviation) and one as-printed.

### 2.3 PBSLP process

PBSLP process of the blend powders was performed in a 3D Systems ProX<sup>®</sup> DMP 200. Parts are built up layer by layer sintering/melting the powder particles by a laser which is directed to the powder particles. The laser follows the pre-loaded 3D model building selectively the subsequent horizontal layers. The manufacturing process is then carried out by joining each new layer to the previous one.

The main components present in the manufacturing chamber of the printer are illustrated in Figure 1. A roller (with 110 mm of diameter) which can move in a straight line using a carriage (speed of 400 mm/s, accuracy <1 mm) and rotate (with speed 3.7 turns per second, accuracy <5°) carry out the actions of layering such as spreading, smoothing, and compacting. The layer thickness of powder can differ depending on the material properties (particle size, flowability...). A scraper enables to carry the powder from the feeding piston to the sintering piston. The feeding piston contains the powder reservoir used for sintering (140 x 140 mm, powder volume: 3.85 liters). Parts are manufactured on the TA6V discs coated with HA (which are glued onto the sintering plate which is positioned on plate support on the sintering piston (140 x 140 mm). A collecting tank recovers all non-sintered powders and residues that can be treated for recycling. Since no material is removed, waste is reduced significantly. It is a Class I Laser System. The main optical devices are a fiber laser source (continuous operation, single-mode, power at the collimator output 300W, wavelength in the shortwave infrared range (approx. 1070 nm), a collimator (outlet beam diameter at 1/e<sup>2</sup> 15 mm), a scanner head, and a flat field F-Theta lens (420 mm focus length). This configuration gives a beam diameter of the focal spot of 38.1  $\mu$ m. A preliminary trial-and-error study of the powders' processability allowed us to enclose the parameters range used in the present study. These are described in Table 2.

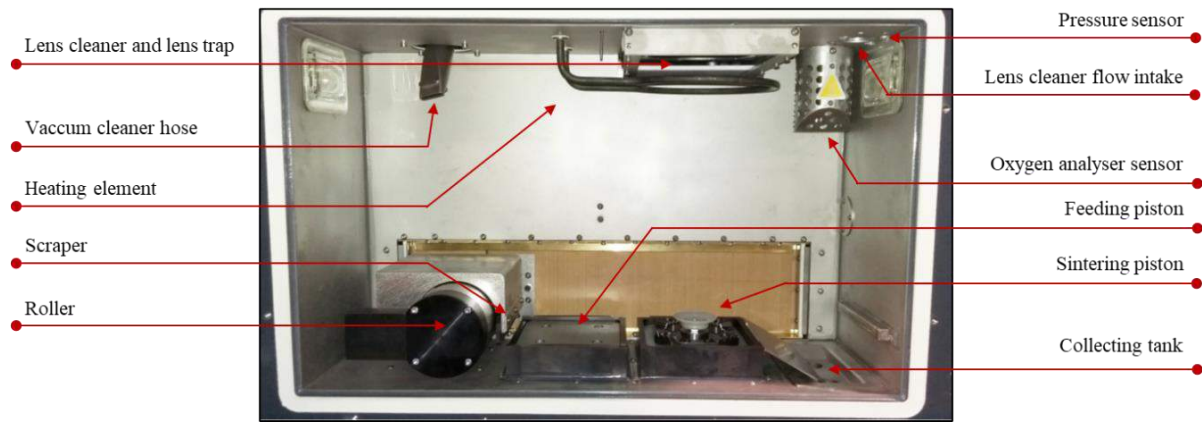


Figure 1. Photograph showing main components present in the manufacturing chamber of a 3D Systems ProX<sup>®</sup> DMP 200 printer (Adapted from equipment user guide).

Table 2. Fixed and studied parameters for the determination of the processing window of the PBSLP process in the present work.

	Parameter	Value
<b>Studied</b>	Fiber laser power (W)	30-54
	Scan speed (mm/s)	25-125
	Laser scan strategy	Hexagonal & Zig-Zag (5 laser passes) (90° rotation between layers)
<b>Fixed</b>	Hatching distance (mm)	0.05
	Layer thickness (mm)	0.10
	Atmosphere	Air
	Powder compression (%)	300
	Laser defocus	3 cm downward of the focus plane
	Printing substrate	HA-coated TA6V disc
	Chamber preheating	No

Three different approaches were performed in parallel to study the influence of the printing parameters and feedstock formulation. For each of the approaches different 3D models were used for the printing of the samples, also they were printed with two different laser scanning strategies. These two laser scanning strategies are illustrated in Figure 2.

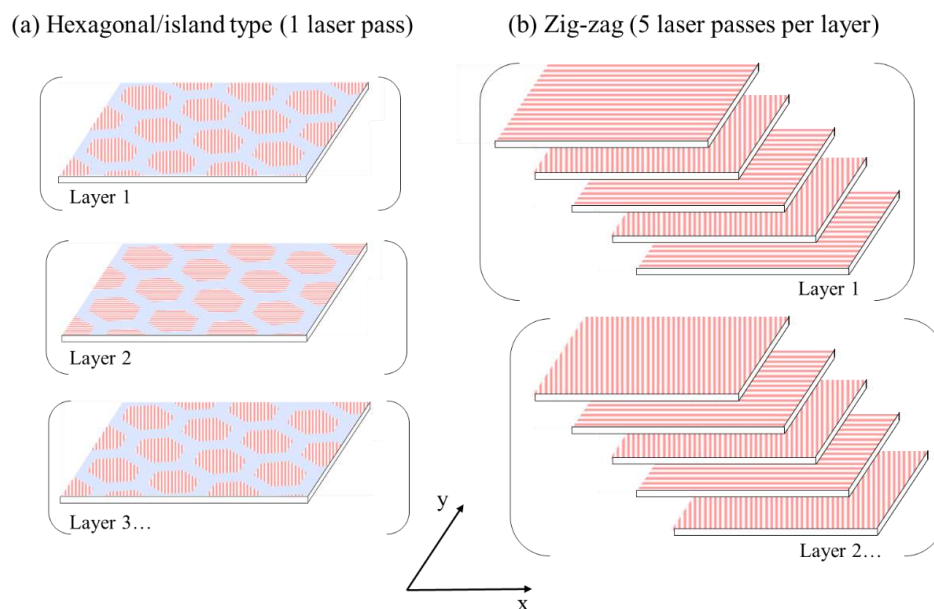


Figure 2. Schematic diagram of the two laser scanning strategies used during the three approaches: (a) Hexagonal/island type scanning (1 laser pass per layer) and (b) zig-zag scanning (5 laser passes per layer). In red the pattern followed by the laser scans and the blue regions refer to the overlap between hexagons.

The three kinds of samples together with the strategies followed for each approach are described below:

- The first approach, laser-induced circular patterns: The 3D model consisted of a short cylinder of 8 mm of diameter and 0.8 mm of height. In this case, the laser scan strategy used was a hexagonal (island type) strategy (Figure 2a). The continuous hexagons had 0.2 mm of diameter and overlap between them of 0.1 mm. The orientation of the hexagons was rotated 90° between layers. This kind of sample was conceived to study the influence of the printing parameters (or  $E_d$ ) and evaluate the process window of the different powder blends. This specific sample design allowed us to shorten the printing times and to perform different characterization techniques (XRD, Raman, SEM...) directly on the substrate. Once the samples were produced, compressed air was used to clean the surface of the disk to remove the raw material particles settled on the samples.
- The second approach, HA complex shape: In parallel to the previous approach, the printing of two different 3D models with increased shape complexity was evaluated. One model consisted of a cylindrical scaffold (20 x 17 mm) with controlled internal porosity while the second one was based on a trapezoid with a toothed bottom surface (8 x 25 x 13 mm). The same hexagon-based laser scan strategy as in the first approach was used (Figure 2a). However, only one combination of laser parameters was tested (laser power: 30 W, scanning speed: 25 mm/s,  $E_d$ : 240 J.cm<sup>-3</sup>) for both parts. The model used for these samples was designed to test the printing

feasibility of 3D complex parts with a set of printing parameters. After printing, a homemade endless diamond wire saw was used to detach the scaffold from the substrate while for the trapezoid it was not necessary thanks to its toothed bottom surface.

- The third approach, HA cylinders: A cylinder of 10 mm of diameter and 15 mm of height was used as a 3D model (taking into account the dimensions needed for mechanical tests which are described in ISO 13175-3:2012). The  $E_d$  and laser parameters combination used for the printing was obtained from the results of the first approach studying the process window (laser power: 36 W, scanning speed: 75 mm/s,  $E_d$ : 96 J.cm<sup>-3</sup>). Due to the low building rate of the hexagonal-based laser strategy for the printing of solid parts (second approach), a new laser scan strategy of zig-zag was used to increase it (Figure 2b) (the building rates obtained for the second and third approaches will be discussed in the next section). Because the overlapping of laser passes in the same region seemed to enhance the final result, an overlapping of 5 laser passes per layer over the same irradiated region was used. The orientation of the laser tracks was rotated between both passes and layer steps. The model used for these samples was designed to perform a posterior compression strength test as well as chemical and structural characterization. After printing, it was possible to detach the HA cylinders from the substrate by hand without modifying their surface.

A list of the acronyms referring to the printed samples corresponding to each of the three different approaches followed is given in Table 3.

Table 3. Acronyms for different printed samples in each of the three approaches followed during our study

Approach	Printed sample acronym	Description
First approach	HA_5G_96	Laser-induced circular pattern produced at 96 J.cm <sup>-3</sup> with a powder blend composed of hydroxyapatite and a 5 wt. % of graphite.
	HA_10G_57.6 / 96 / 288	Laser-induced circular patterns were produced at 57.6, 96, and 288 J.cm <sup>-3</sup> with a powder blend composed of hydroxyapatite and a 10 wt. % of graphite.
	CIA_5G_96 / 336	Laser-induced circular pattern produced at 96, and 336 J.cm <sup>-3</sup> with a powder blend composed of chlorapatite and a 5 wt. % of graphite.
Second approach	HA_Scaffold	Calcium phosphate scaffold complex part produced at 240 J.cm <sup>-3</sup> with a powder blend composed of hydroxyapatite and a 5 wt. % of graphite.
	HA_Trapezoid	Calcium phosphate trapezoid complex part produced at 240 J.cm <sup>-3</sup> with a powder blend composed of hydroxyapatite and a 5 wt. % of graphite.
Third approach	HA_Cyl	Calcium phosphate cylinders produced at 96 J.cm <sup>-3</sup> with a powder blend composed of hydroxyapatite and a 10 wt. % of graphite.
	HA_Cyl_PT	Sample HA_Cyl after thermal post-treatment.

## 2.4 Thermal post-treatment

A posterior thermal post-treatment was performed at air presence for the HA cylinders to study the changes in their chemical and structural properties as well as in their mechanical properties. The cylinders were placed between two alumina crucibles which were set in the middle of the muffle Nabertherm® LT 9/13 furnace chamber favouring a more homogeneous heat distribution. The temperature programme consisted of a heating ramp of 2°C/min till a temperature of 1250°C. This temperature was kept constant for 2 hours before starting the cooling phase (-1°C/min).

## 3. Results and discussion

### 3.1 Characterization of raw/prepared powders and substrate

The PSD and the SEM micrographs of each of the three raw powders (HA, CIA, and graphite) used for the preparation of the PBSLP feedstock materials are illustrated in Figure 3. It can be observed how the CIA and the HA powders have almost similar properties. It demonstrates that the chlorination of the HA powder to obtain CIA powder does not modify their morphology keeping unaltered the PSD and the spherical shape of the agglomerates. The graphite powder is composed of flakes with smaller median particle size and a more dispersed distribution.

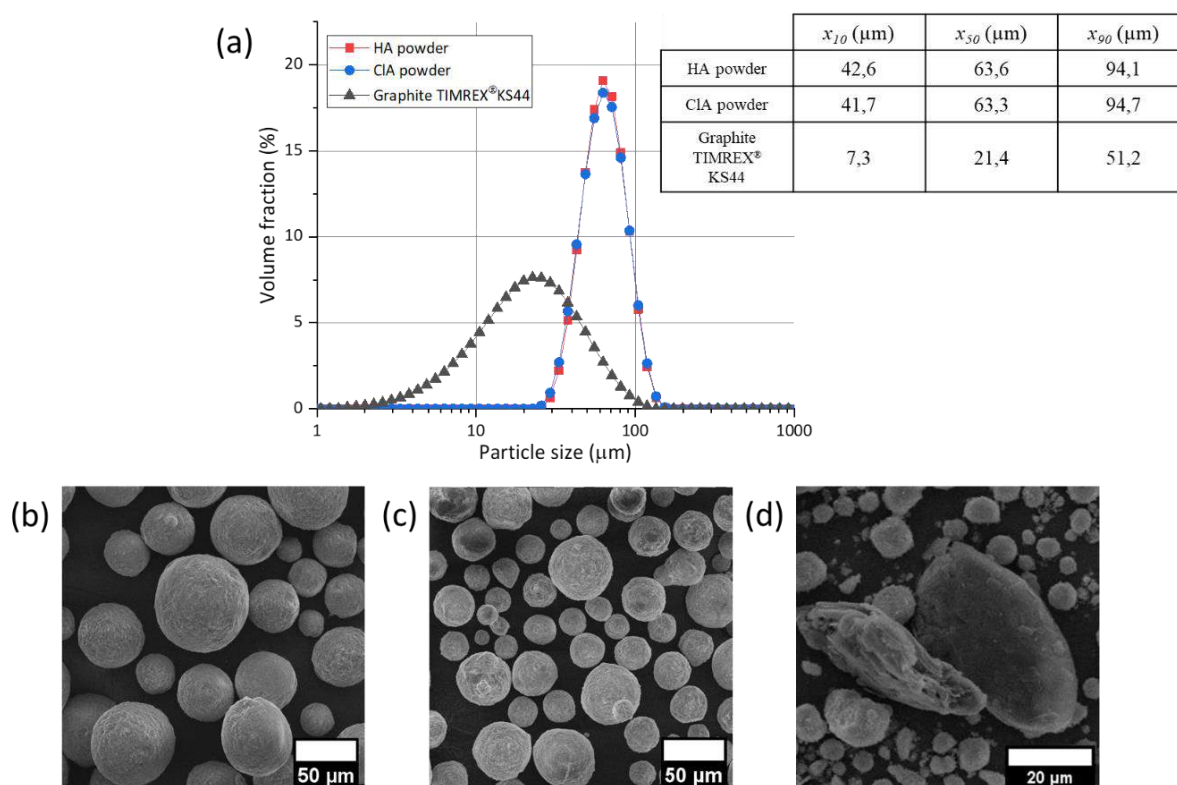


Figure 3. (a) Particle size distributions and SEM micrographs of (a) HA, (b) CIA microspheres, and (d) graphite flakes (in a blend) used as raw materials

The obtained Raman spectra and X-ray diffractograms of the initial ceramic powders are offered in Figure 4 and Figure 5, respectively. For reference, standard Raman spectra have been obtained for HA (RRUFF id: R100225), and CIA (RRUFF id: R060192) from the RRUFF™ Project database. The chemical and structural composition of each of the initial powders was confirmed. We can point out the presence of both monoclinic and hexagonal phases in the CIA diffractogram. This partial phase change could be produced during its synthesis since it is known that CIA undergoes a phase change from hexagonal to monoclinic upon heating at 200-300 °C [17].

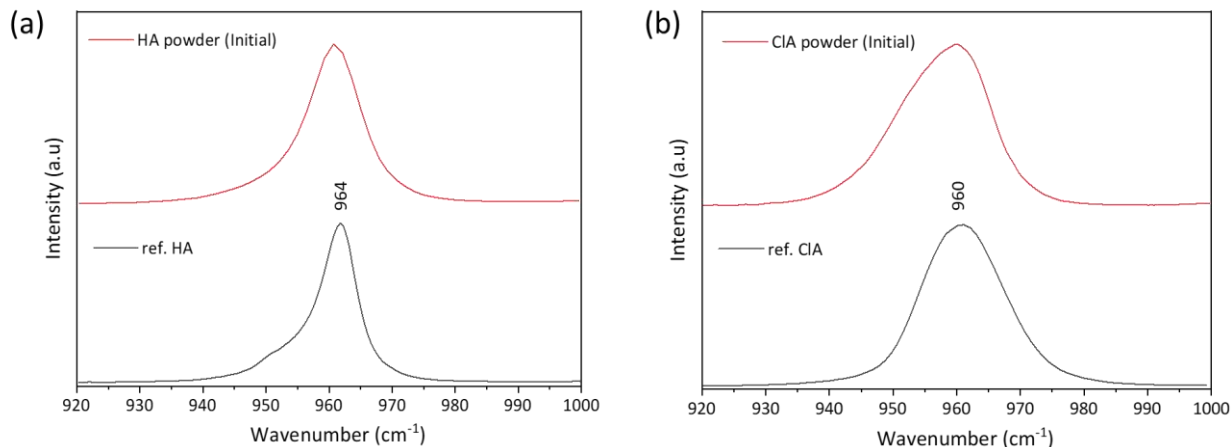


Figure 4. Raman spectra were obtained for the initial ceramic powders used in our study. For reference Raman spectra for (a) HA (RRUFF id: R100225), and (b) CIA (RRUFF id: R060192) were obtained from the RRUFF™ Project database.

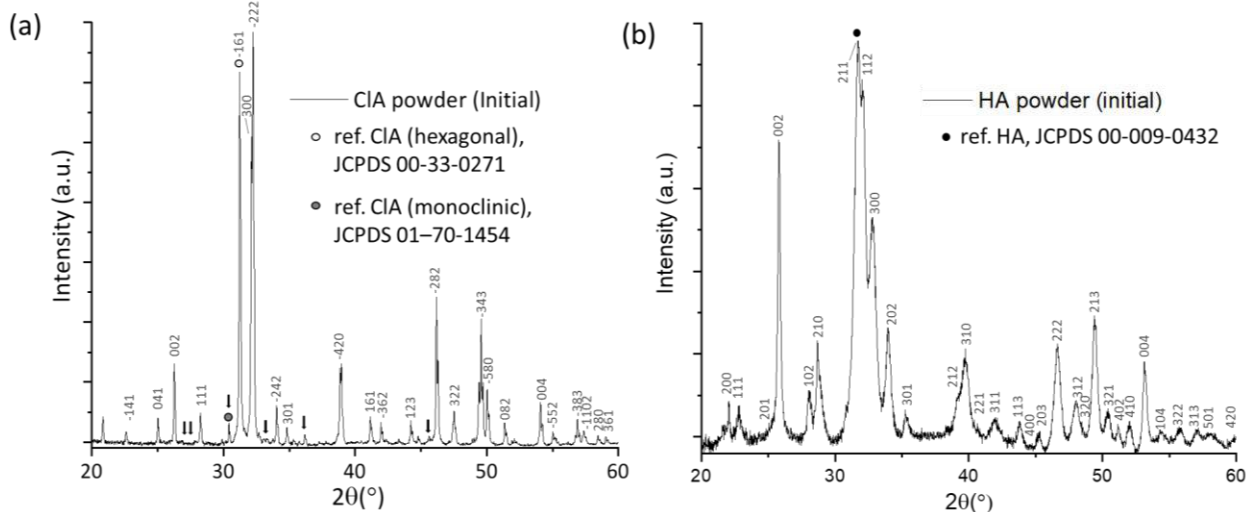


Figure 5. XRD diffractograms obtained for the initial (a) CIA and (b) HA ceramic powders used in our study. Reference patterns: CIA (hexagonal) JCPDS 00-33-0271, CIA (monoclinic) JCPDS 01-70-1454, and HA JCPDS 00-009-0432. Superlattice lines on CIA pattern are shown by arrows.

The study of the powdered material absorptance at a certain laser wavelength is of particular interest for the PBSLP process because it allows one to determine the processing window suitable for sintering or melting. Tolochko et al. [18] carried out an exhaustive study on the laser absorption of different materials stating that the absorption mechanism has a complex character and it depends on the kind of material (metals, ceramics...). Even for the same material, it can vary from one spectral region to another. They explained that the laser sintering of a single-component powder is a complicated process since the sintering processing window can be very narrow. The particles either do not sinter staying as loose powder or undergo a complete melting at high power density that leads to the formation of resolidified droplets due to the liquid surface melt contraction. They also studied the addition of water-soluble powders such as  $\text{NaNO}_3$  and  $\text{NaCl}$  to metal/ceramic powders as absorption additives. After laser sintering of the blend, the sintered parts could be immersed in water to dissolve the added substance, controlling the porosity of the part by adding different additive volume fractions. They concluded that the greater the absorptance of a powder, the less the laser energy density needed for the sintering. For this reason, absorption additives have gained special relevance in PBSLP, while they should be carefully selected for the improvement of the product properties.

For the present study, powdered graphite was chosen as the second component in our blends. Its application as an absorption additive has also been evaluated previously showing promising results [8,19,20]. It highly absorbs the laser beam energy emitted at the fiber laser near-infrared wavelength ( $\lambda = 1.070 \mu\text{m}$ ) equipped in our printer and can transform it into heat to sinter/melt the powder feedstock. The mechanism expected for its burnout and removal will be detailed below.

The absorptance of the different pure and powder blends used in this work was determined before their use. The absorptance values obtained are shown in Table 4. Different clear changes in powder colour were observed since HA was initially white but after its chlorination, it produced a yellowish CIA powder increasing its laser absorptance from 2.37% to 12.51%. Then, after the addition of graphite to produce the blends, powders became light grey (darker with the increase of graphite proportion). Results showed an increase of the powder absorptance from 2.37% of pure HA to 66.83% and 73.73% when containing 5% and 10% wt. of graphite, respectively, demonstrating its capacity as absorption additive.

Table 4. Determined absorptance at the emitted wavelength (1070 nm) of the fiber laser light for the powders used as PBSLP feedstock. (N=3, mean  $\pm$  standard deviation)

Material	Absorptance at $\lambda = 1070$ nm (%)
HA	2.4 $\pm$ 0.1
HA_5G	66.8 $\pm$ 0.1
HA_10G	73.7 $\pm$ 1.1
CIA	12.5 $\pm$ 0.1
CIA_5G	69.2 $\pm$ 0.0
G	80.5 $\pm$ 0.1

Figure 4 shows the SEM micrographs of the HA coating surface on TA6V discs used as a substrate during the printing process. The HA coating homogeneity is observed for the whole disc surface and surface roughness can be also appreciated at higher magnification, which is common for the atmospheric plasma spray (APS) process [21,22]. For example, high roughness average (Ra) values in between 4.4 and 5.4  $\mu$ m have been observed for the same kind of HA coatings produced by APS in previous works [23].

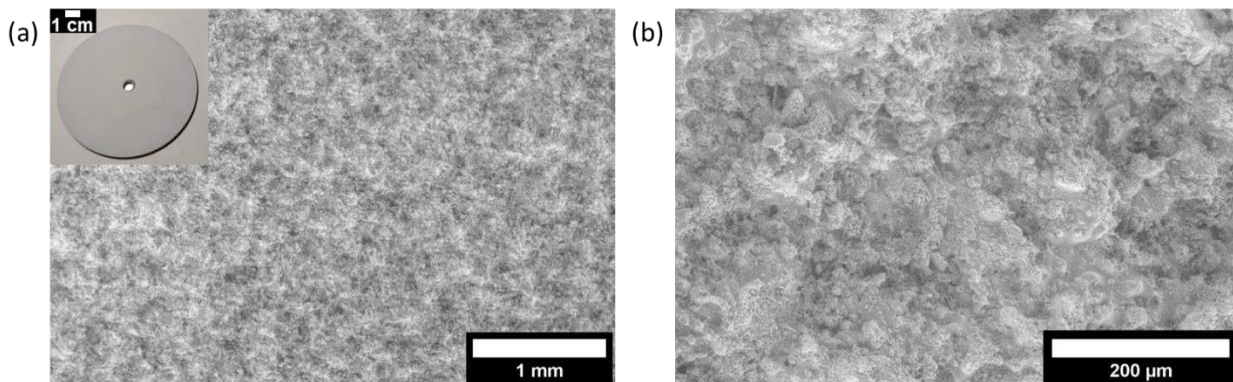


Figure 6. SEM micrographs of HA coating surface on TA6V discs used as a printing substrate.

### 3.2 Analyses and evaluation of PBSLP samples

#### 3.2.1 First approach: Laser-induced circular patterns.

The printing of circular patterns was used as a faster method to evaluate the performance of the printing parameters as well as the influence of the powder feedstock formulation. The printed single circular patterns obtained with different powder feedstock and  $E_d$  can be observed in Figure 7. The corresponding PBSLP process windows are shown in Figure 8. The surrounding circles indicate the samples that will be characterized more in detail for comparison of powder feedstock and  $E_d$  (HA\_5G\_96 / HA\_10G\_57.6 / HA\_10G\_96 / HA\_10G\_288 / CIA\_5G\_96 / CIA\_5G\_336). Three quality criteria were used to identify and evaluate macroscopically the different parameters performance at each assigning point: no densification, sintered/melted powder, and thermal cracking. When the laser energy is not enough it does not produce densification of the powder, in absence of sintering/melting phenomena it results in loose particles. Instead, too much energy can induce different adverse effects on the powder bed such as thermal cracks (due to high thermal gradients and stresses) with the impossibility of forming solid parts.

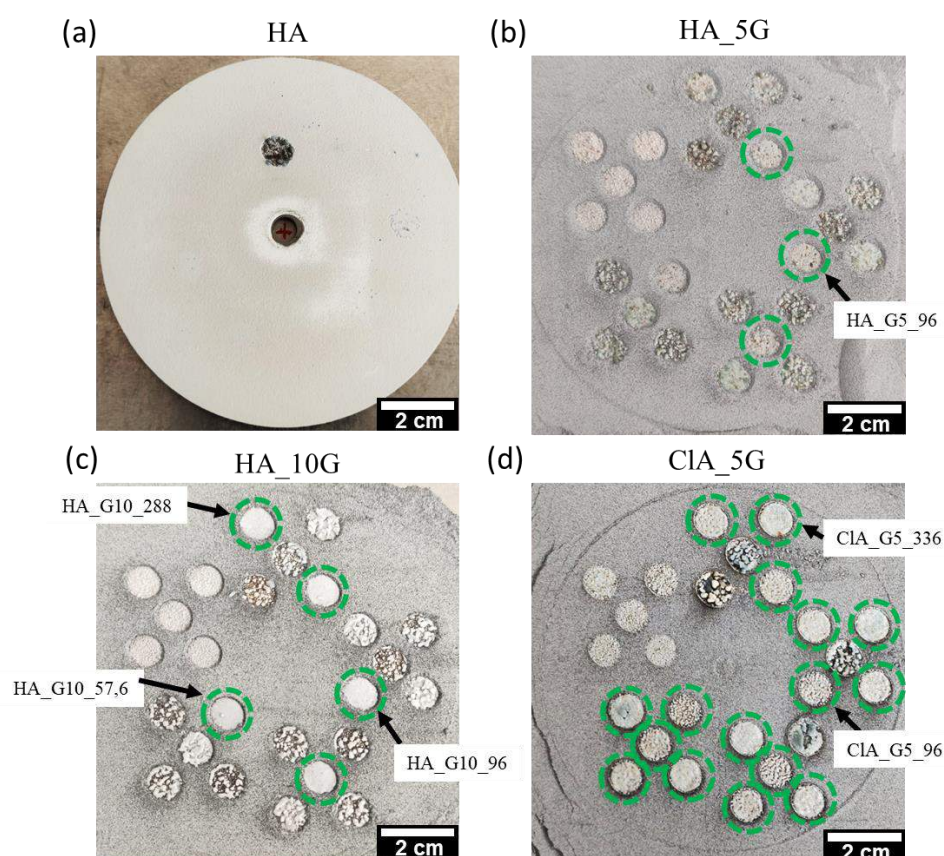


Figure 7. The single circular patterns were obtained for different powder feedstock and printing parameters: (a) HA, (b) HA\_5G, (c) HA\_10G, (d) CIA\_5G. (Process window study)

In the case of pure HA powder, no densification was observed for the whole range of parameters studied. The majority of the set of parameters did not show any kind of interaction between the powder and

the laser. Only at the highest laser power and lowest scanning speed ( $432 \text{ J.cm}^{-3}$ ), the powder bed was spattered and the HA coating was removed from the substrate.

Between the process windows obtained for HA\_5G and HA\_10G, we could observe that the range of parameters in which a correct powder sintering/melting occurred was relatively narrow. However, for the powder containing a higher amount of graphite the process window seemed to be enlarged, thus indicating that a higher number of laser parameters ( $E_d$  range) can properly sinter/melt the powder. As we mentioned above, the higher the amount of graphite in the blend the better the interaction of the laser with the powder blend thus leading to a better surface finishing.

It is also important to point out that for the same HA\_10G powder blend, we could observe some cases in which samples treated at constant energy density the result depends on the laser speed and laser power used. It is the case for the samples produced with 36 W,  $75 \text{ mm.s}^{-1}$  and 48 W,  $100 \text{ mm.s}^{-1}$ . Both produced the same energy density ( $96 \text{ J.mm}^{-3}$ ) however only the first sample showed a proper sintering/melting of the powder. Even if the energy density remains constant, it is known that the combination of laser hatch distance, laser scanning speed, and laser power has a significant influence [10]. These three tuneable laser parameters do not have the same effect in the printed parts. Laser scanning has the lowest effect, followed by the hatching distance, and the laser power having the highest influence [24]. These different degrees of influence are not considered in the concept of laser energy density. For this reason, the energy density should not be the only criterion in the optimisation of the process parameters and additional parameters should be indicated (e.g. laser scanning strategy, laser defocus...) [10].

The enlargement of the process window is even more notorious when changing the calcium phosphate powder to CIA. It is known that CIA is more stable than HA. This higher thermal stability is given by the anion substitution in the hexagonal axis of the apatite structure [17]. CIA melts at  $1530^\circ\text{C}$  while HA powders are reported to be sintered up to a theoretical density at  $1000\text{--}1200^\circ\text{C}$ . The drawback is that the processing (sintering/melting) of HA is difficult due to the presence of the OH content, which decomposes to form TCP and anhydrous calcium phosphates at  $\sim 1200\text{--}1450^\circ\text{C}$  leading to grain growth. Indeed, the water present in the sintering atmosphere can inhibit HA densification and accelerates grain growth. The decomposed phases will trigger different dissolution rates, when present in physiological conditions [25]. For this reason, CIA has been studied as a substitute for HA in other manufacturing processes involving high temperatures (e.g. plasma spraying) [26].

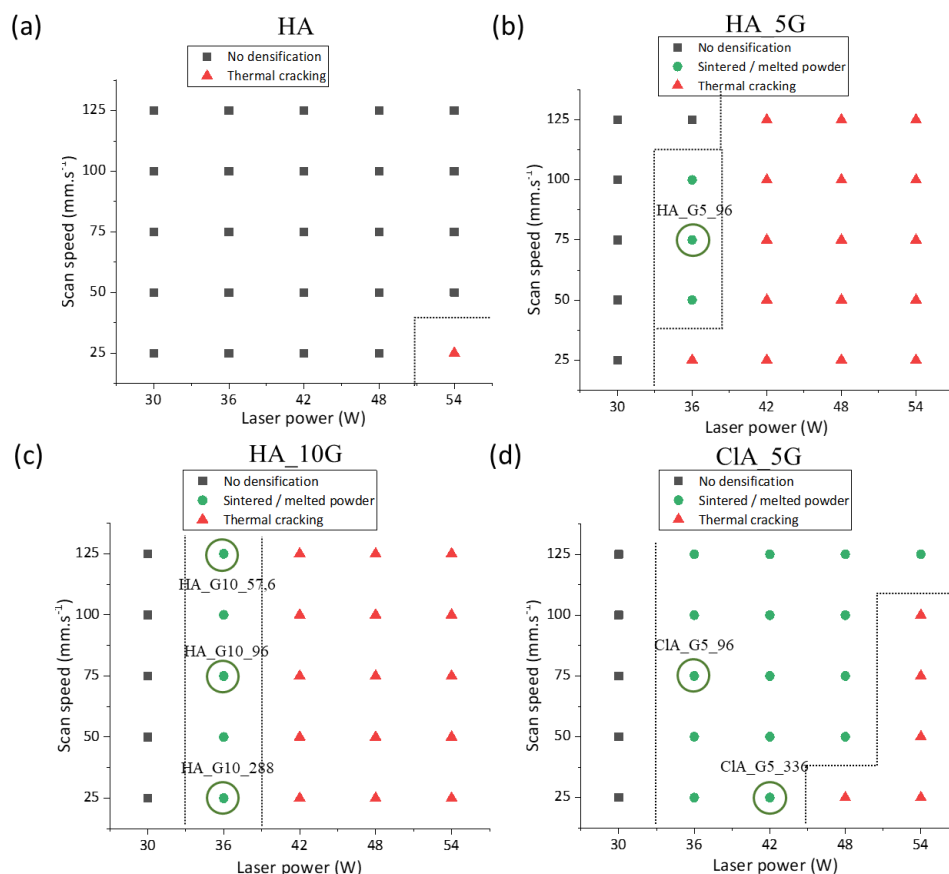


Figure 8. PBSLP process window comparison of the different powder feedstock: (a) HA, (b) HA\_5G, (c) HA\_10G, and (d) CIA\_5G. The surrounding circles indicate the posteriorly characterized samples for comparison.

The SEM analyses of the circular pattern microstructures produced at the same  $E_d$  (96 J.cm<sup>2</sup>) and using different powder feedstock blends are shown in Figure 11. The three different laser-induced circular pattern samples showed a balling effect. It is a common unfavourable defect in laser processing of both ceramics and metallic materials which has been widely studied [27,28]. Gu et al. [29] defined two different types of balling phenomena:

- The first type of balling phenomenon is defined by coarsened balls giving an interrupted dendritic structure having an inherent structural weakness. It gives as results a rough surface and is caused by a limited liquid formation and low undercooling degree of the melt because of a low laser input. A schematic representation of the process forming this first type of balling phenomenon is illustrated in Figure 9.

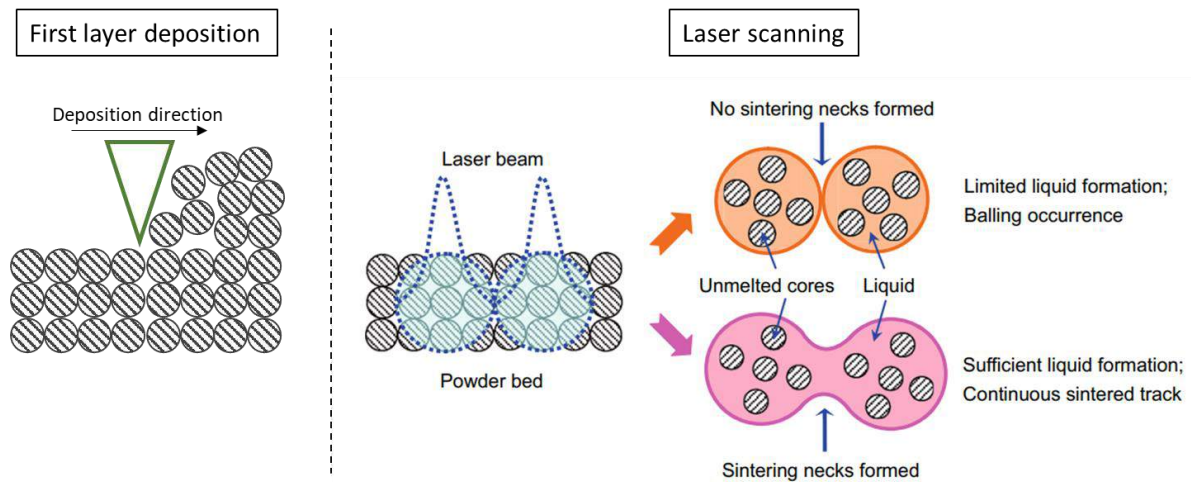


Figure 9. Schematic representation of the formation of the first type of balling phenomenon featured by coarse spherical-shaped sintered agglomerates caused by limited liquid formation. Adapted from Gu et al. [29].

- The second type is defined by numerous micrometric balls over the region irradiated by the laser. These balls should not be confused with the HA or CIA microspheres used as raw material which had a smaller size. In addition, the smoother surface of the balls is an indication that these are produced as a product of a melting process. Its initiation is attributed to the melt splashes due to a high capillary instability of the melt [30].

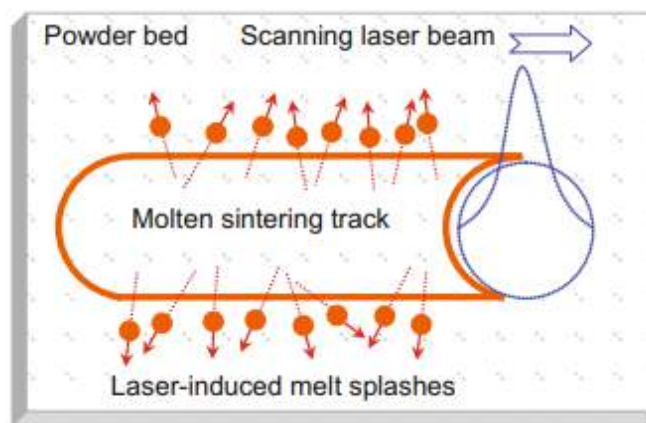


Figure 10. Schematic representation of the formation of the second type of balling phenomenon featured by laser-induced melt splashes. Adapted from Gu et al. [29].

For the sample defined as HA\_10G\_96, the second type of balling phenomenon can be identified due to the presence of numerous micrometric balls on the surface. In this case, the surface irradiated remained flat apart from the presence of the balls. The CIA\_5G\_96 sample showed instead signals of the first type of balling effect having a higher surface roughness but the number of micrometric balls was lower. Finally, the sample HA\_5G\_96 showed a combination of both kinds of phenomena. The differences in laser absorptance and powder stabilities could produce different melting behaviours resulting in different surface finishing.

At higher magnification, pinholes (about 20  $\mu\text{m}$  diameter) can be observed randomly distributed over the whole surface of the samples. We could hypothesize that the formation of these pinholes could be due to the formation of micro gas bubbles of  $\text{CO}$  and  $\text{CO}_2$  as a product of the graphite burnout which diffuses leaving a space. The reasons supporting this idea are that the size of the graphite flakes ( $x_{50} = 21.4 \mu\text{m}$ ) fits well with the size of the observed pinholes and their number is increased when higher amounts of graphite are present in the blend. However, further efforts should be made on the study of the effect of increasing the quantity of graphite on the porosity of the samples.

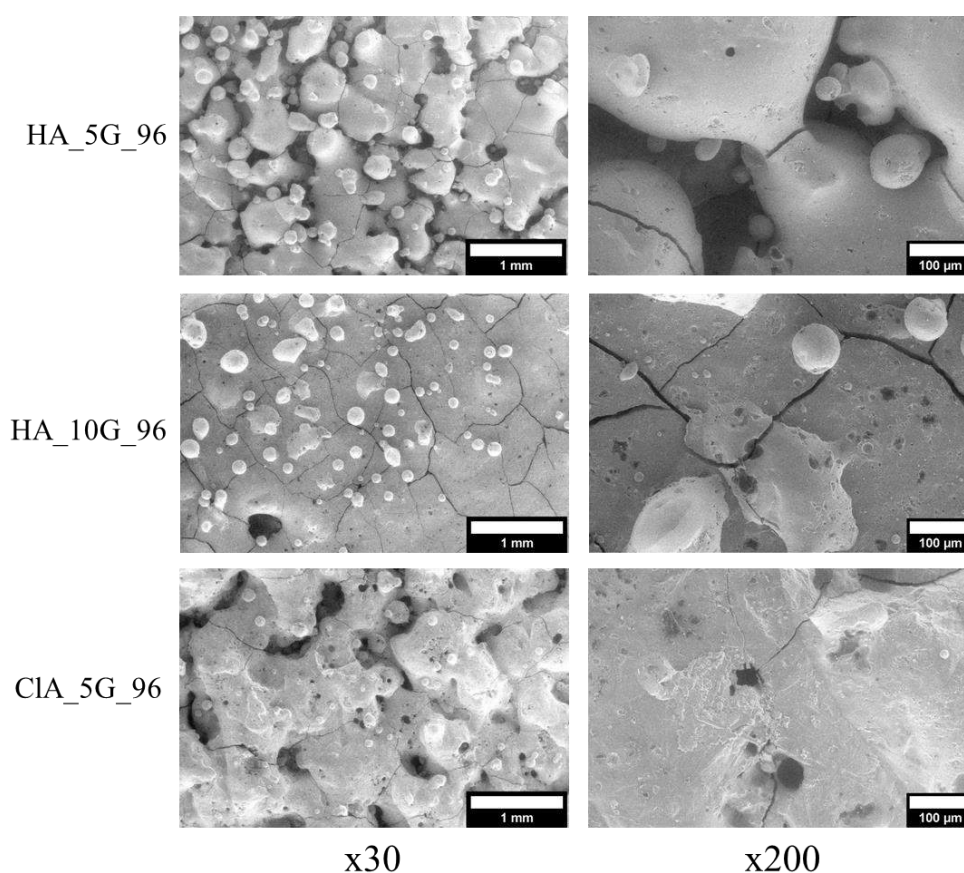


Figure 11. SEM analyses of laser-induced circular patterns produced at same  $E_d$  ( $96 \text{ J.cm}^{-3}$ ) with different powder feedstock blends: HA\_5G\_96, HA\_10G\_96, CIA\_5G\_96.

The comparison of the microstructures of the samples produced using HA\_10G feedstock blend at different  $E_d$  is offered in Figure 12. The samples produced at higher  $E_d$  ( $288 \text{ J.cm}^{-3}$  and  $96 \text{ J.cm}^{-3}$ ) showed both the second type of balling phenomenon (apparition of molten micrometric balls). However, in the

sample printed at lower  $E_d$  ( $57.6 \text{ J.cm}^{-3}$ ), the surface showed a clear diminution of the number of balls produced, indicating a diminution of the splashing of molten balls. The surface finishing of this last one was found to be flatter due to the absence of balls. The grain boundary cracking (2D defects) in the surfaces of the three kinds of samples was detected. Grain boundaries are known to have a strong effect on the propagation of cracks and the generation of impurity-controlled porosity. At high temperatures, they are also the main starting point for the deformation in polycrystalline ceramics [31]. An increase in the dimensions of the grains and cracking incidence was observed when increasing the  $E_d$  used during the process.

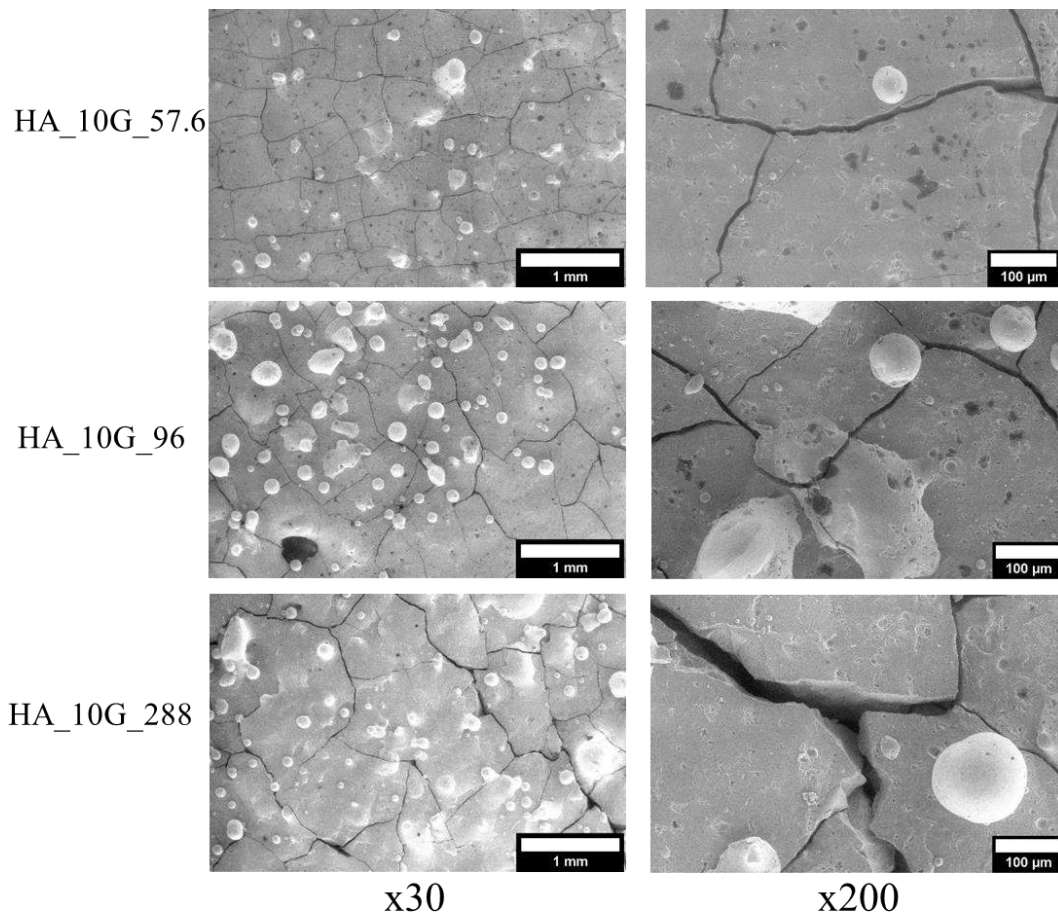


Figure 12. SEM analyses of laser-induced circular patterns produced with same powder feedstock blend (HA\_10G) at different  $E_d$ :  $288 \text{ J.cm}^{-3}$ ,  $96 \text{ J.cm}^{-3}$ ,  $57.6 \text{ J.cm}^{-3}$ . Analyses of sample HA\_10G\_96 are repeated here for better comparison.

The CIA sample produced at higher  $E_d$  (CIA\_5G\_336) was analysed for comparison. Figure 13 shows the resulting microstructure. This increase in  $E_d$  diminished the presence of the first type of balling phenomenon giving the sample a flatter surface compared with the CIA sample at lower  $E_d$  (Figure 11). Holes with approximately  $100 \mu\text{m}$  of diameter are distributed randomly over the surface. Most of these holes are filled with stick-shaped CIA particles parallel to the surface plane. This CIA particle morphology has been previously observed after the treatment of CIA at high temperatures [32,33].

## CIA\_5G\_336

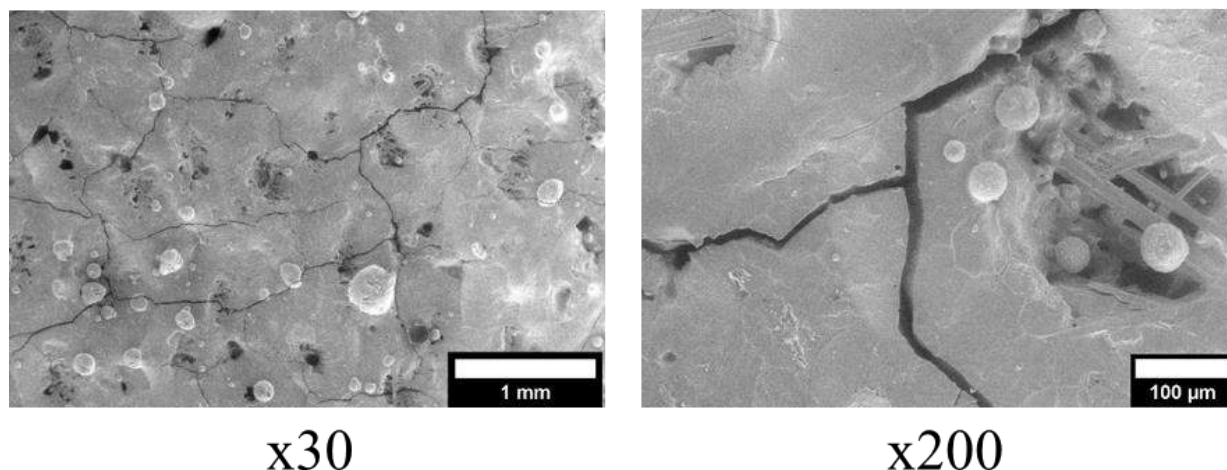
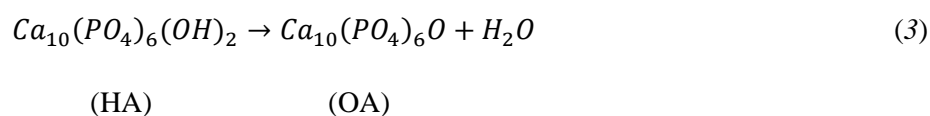
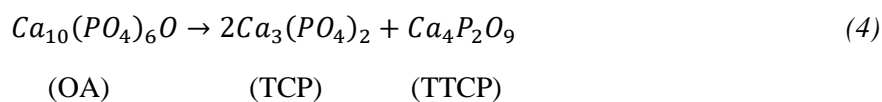


Figure 13. SEM analyses of laser-induced circular patterns produced with CIA\_5G feedstock blend at  $E_d = 336 \text{ J.cm}^{-3}$ .

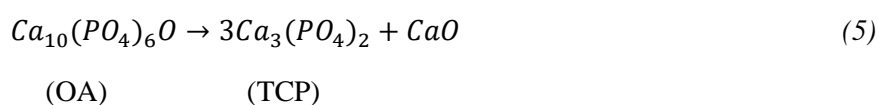
The chemical and structural composition of the previous samples was analysed for comparison by Raman and XRD analyses. Figure 14a shows the comparison between the Raman spectra of the circular patterns obtained from different feedstock powders at the same printing parameters. For samples in which HA was used as main component feedstock (HA\_5G and HA\_10G), it was found that the most significant contributions come from the strongest spectral bands corresponding to the  $\nu_1\text{PO}_4$  vibration of TTCP (961, 956, 946, 940  $\text{cm}^{-1}$ ),  $\alpha$ -TCP (976, 964, 954  $\text{cm}^{-1}$ ), and HA (964  $\text{cm}^{-1}$ ) can be observed. This indicates that a phase transition occurred during the PBSLP process due to the high temperature reached. HA dehydroxylation into oxyapatite (OA) occurs at about 900°C in air [14]:



Then, two outcomes are stoichiometrically possible above 1050 °C: (i) a mixture of TTCP and TCP ( $\beta$ -TCP at temperatures below 1200°C or  $\alpha$ -TCP at higher temperatures) or (ii) a mixture of TCP and CaO by the following reactions [14]:



and



We could hypothesize that the temperature reached during the process is higher than 1200°C. A slight difference can be observed between the relative intensities of the bands for the spectra of these two samples, thus indicating a different proportion between phases present in the samples. For the sample prepared from the feedstock CIA\_5G, only the band corresponding to the  $\nu_1\text{PO}_4$  vibration of CIA (960  $\text{cm}^{-1}$ ) was observed, indicating that there was no visible alteration of the phase.

Figure 14b shows the comparison between the Raman spectra obtained from samples produced with the same powder feedstock (HA\_5G) but at different printing parameters. The Raman bands of the three studied spectra corresponded again with the  $\nu_1\text{PO}_4$  vibration of TTCP (961, 956, 946, 940  $\text{cm}^{-1}$ ),  $\alpha$ -TCP (976, 964, 954  $\text{cm}^{-1}$ ), and HA (964  $\text{cm}^{-1}$ ). In this case, no clear variation between the relative intensities of the bands was observed. Therefore, the calcium phosphate phases obtained in the three samples resulted in having very similar proportions for the range of parameters studied.

It should be pointed out that small variations on intensity and shift of bands can be due to several reasons such as:

- If the band is characteristic of more than one compound, then the intensity would be the contribution of these compounds.
- The crystal orientation can affect the relative intensity of the characteristic Raman band of a compound.
- HA and CIA reference spectra were obtained at different laser radiation (780 nm) to the rest of the samples (622 nm).

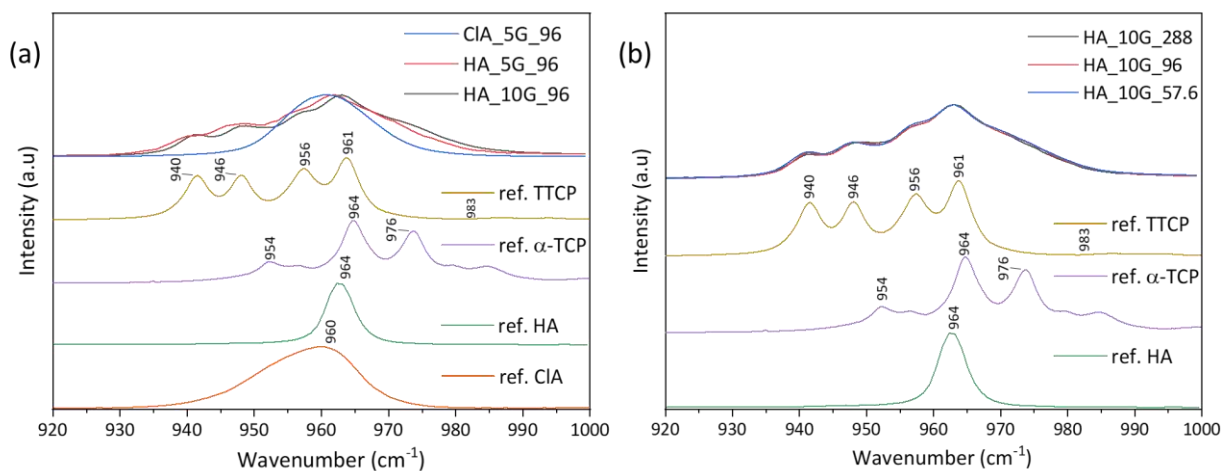


Figure 14. Raman spectra were obtained for the laser-induced circular samples printed with (a) different powder feedstock and (b) different printing parameters.

Figure 15a shows the X-ray diffractograms of the circular samples produced with powder blends of HA and different amounts of graphite (HA\_5G\_96 and HA\_10G\_96) at the same energy density ( $E_d = 96 \text{ J}\cdot\text{cm}^{-3}$ ). The diffractogram of the initial HA powder was added for comparison. Both samples showed a phase transition. A combination of HA, TTCP,  $\alpha$ -TCP, and graphite phases was observed in both

diffractograms. However, differences in the relative intensities of the peaks were detected. This indicates that the proportion between phases is different depending on the sample.

Figure 15b shows X-ray diffractograms of the initial HA powder and the samples printed with the powder blend of HA and 10 wt. % of graphite (HA\_10G\_57.6, HA\_10G\_96, and HA\_10G\_288) with different energy densities. The comparison between the peaks corresponding to graphite indicates that its diminution is higher with the increase in energy density. It could be caused by a more significant burnout of the graphite particles due to the higher temperatures generated. Regarding the calcium phosphate phases present in the samples, the three of them showed a HA transition into TTCP and  $\alpha$ -TCP. Another difference between the diffractograms was the unlike HA crystals orientation depending on the energy density used. A lower energy density seemed to induce a higher preference on the HA crystals orientation to the 002 plane (peak at  $25.70^\circ$ ). However, since it is found out of the scope of this study no further investigations were done on the laser-induced texture of HA crystals.

Figure 15c shows the XRD diffractograms of the sample printed with the powder blend of CIA and 5 wt. % (CIA\_5G\_96) at  $96 \text{ J.cm}^{-3}$  and the initial CIA powder. The analysis did not show a phase transition from CIA into other calcium phosphate phases, however, a phase transition from monoclinic to hexagonal CIA was detected. A preferred crystals orientation or crystallographic texture to the 002 plane is also clearly visible for this sample. In addition, a small amount of graphite was observed in the sample apart from CIA.

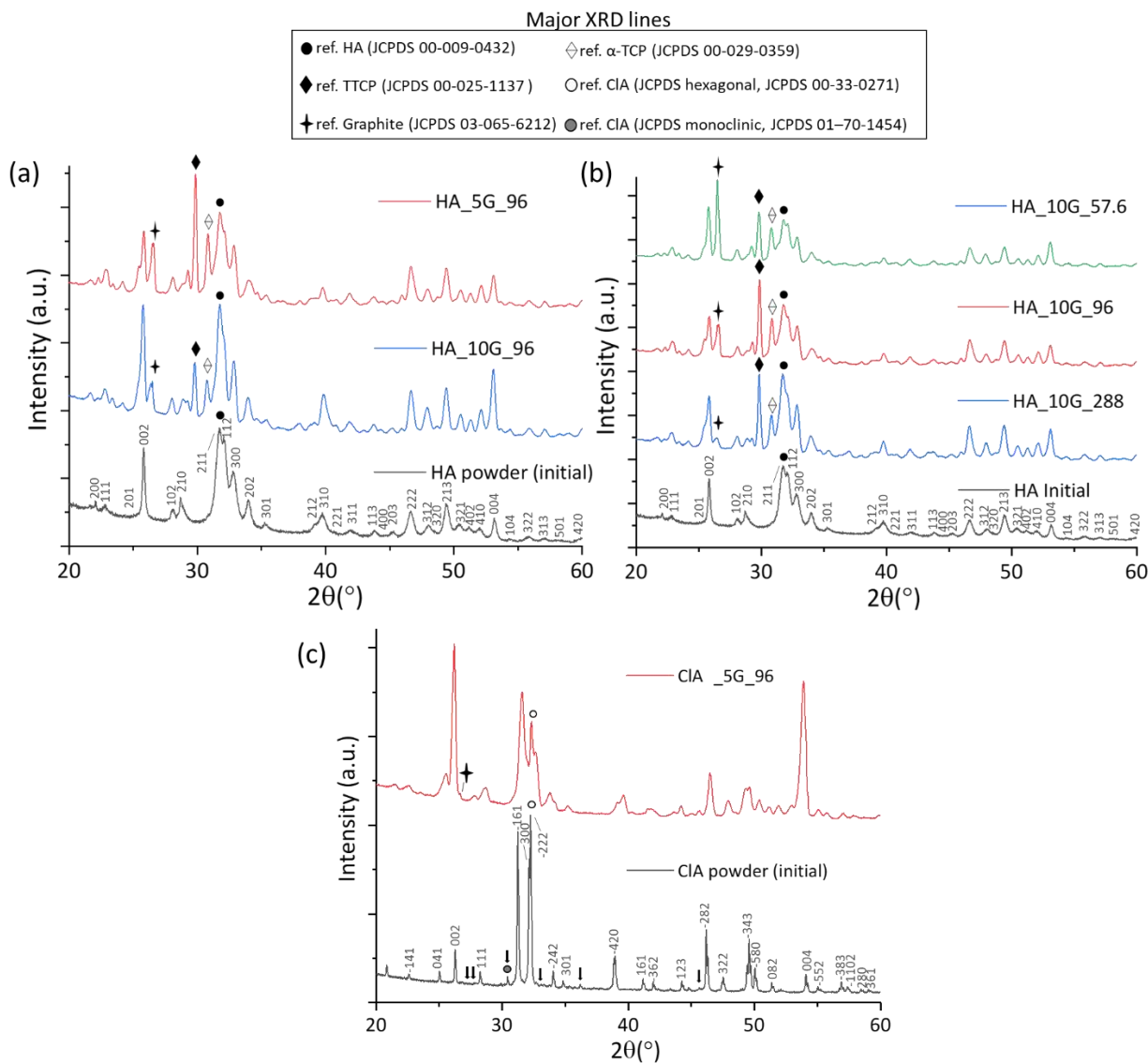


Figure 15. X-ray diffractograms were obtained for the laser-induced circular samples printed with (a) HA with different amounts of graphite (5 and 10 wt. %), (b) at different printing parameters, and (c) using chlorapatite with 5 wt. % graphite as feedstock. Superlattice lines on CIA pattern are shown by arrows.

The Rietveld refinement method was performed to quantify the different phases present in the HA samples after the phase transition. Plots of the Rietveld refinement of the samples analysed are offered in Figure S1. Table 5 shows the refined phase compositions and reliability factors obtained through Rietveld refinement for the calcium phosphate printed samples. Figure 16 shows the refined phase compositions plotted in stacked columns chart type to allow the part-to-whole comparison.

Table 5. The refined phase compositions and reliability factors for the calcium phosphate printed samples.

Sample	Mean Rietveld composition (wt. %)			
	HA_5G_96	HA_10G_57.6	HA_10G_96	HA_10G_288
HA (wt. %)	48.1±0.3	28.0±1.6	35.5±0.9	46.5±2.0
TTCP (wt. %)	13.2±0.1	13.7±0.6	25.5±0.6	19.90±0.9
$\alpha$ -TCP (wt. %)	33.2±0.5	50.1±2.1	31.1±0.8	29.0±1.3
Graphite (wt. %)	5.5±0.1	8.1±0.2	7.8±0.0	4.6±0.3
Sig (%)	2.19	8.03	3.63	3.74
$R_b$ (%)	1.15	3.29	1.64	1.65
$R_{wp}$ (%)	1.68	5.12	2.49	2.74
$R_{exp}$ (%)	0.77	0.64	0.68	0.72

The sample containing less amount of graphite (HA\_5G\_96) was the one showing the highest HA amount (48.1%) to the other phases, thus indicating that the sample experimented with a weaker phase transition during the PBSLP process. For the samples containing the same level of graphite but printed at different scanning speeds (HA\_10G\_57.6 / HA\_10G\_96 / HA\_10G\_288), some differences were observed in the calcium phosphates proportions. The remaining amount of HA has a clear tendency to increase when the scanning speed is lower (higher energy density) indicating that a lower phase transition occurred at lower scanning speeds during the process (46.5 wt.% HA at 25 mm.s<sup>-1</sup>,  $E_d = 288 \text{ J.cm}^{-3}$ ). This behaviour could be explained through the reversible character of the HA transformation. Liao et al. [34] studied the thermal decomposition and reconstitution of HA after heating at 1500°C and cooling at a rate of 10°C/min under air. They observed that the TTCP and  $\alpha$ -TCP phases reconstructed gradually into HA, reaching a complete reconstitution under 1100°C during the cooling phase. The different cooling rates and heat distributions produced by using different scanning speeds during the PBSLP process could affect differently to the decomposition and reconstitution behaviour of HA. However, to better understand this behaviour a deeper study on the effect of the cooling rate on the HA phase transition should be performed.

Additionally, it was observed that a higher  $E_d$  or lower scanning speed favoured the burnout and the corresponding removal of graphite (4.6 wt.% graphite at 288 J.cm<sup>-3</sup>).

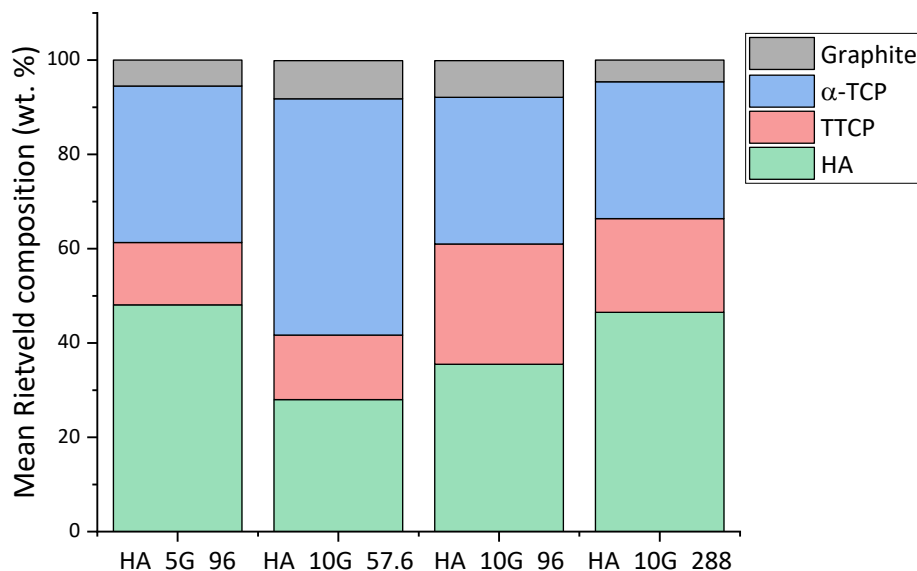


Figure 16. The refined phase compositions of the calcium phosphate printed samples

### 3.2.2 Second approach: HA complex parts

The printing of HA complex parts was performed in parallel to the previous approach. In this case, only the feedstock powder HA\_5G composed of HA and a 5 wt. % of graphite was used. The 3D model used and the resulting parts are shown in Figure 17. Both samples were printed at  $240 \text{ J.cm}^{-3}$  (30 W,  $25 \text{ mm.s}^{-1}$ ) following the same hexagonal laser strategy. It is important to note that even if this combination of printing parameters did not show a good sintering/melting of the powder during the first approach, it was possible to print solid parts with a certain height. We could hypothesize that the changes in the thermal conductivity with the number of layers made possible the densification of the powder even if at the first layers the energy input was too low to sinter/melt the powder.

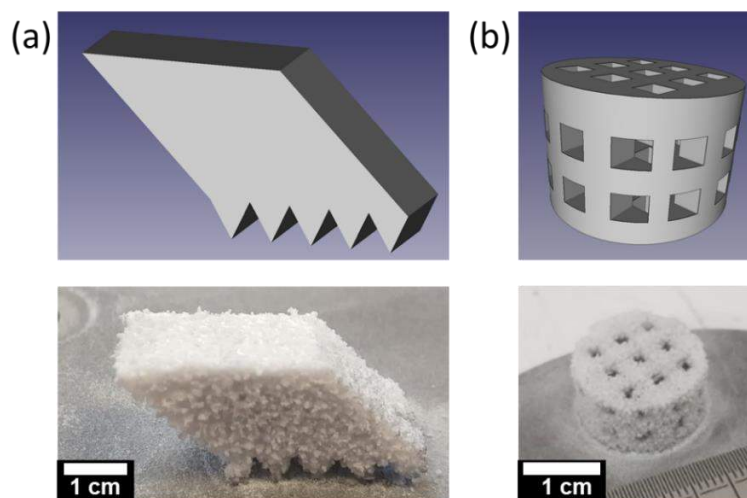


Figure 17. 3D model and printed HA complex parts: (a) HA\_Trapezoid and (b) HA\_Scaffold

Figure 18 shows the three-dimensional reconstructions of the printed samples using X-ray micro-computed tomography. Both parts presented a surface with high roughness. Two kinds of porosity were observed during the analysis of the internal microstructures. In Figure 18b it can be observed a homogeneous interconnected porosity presents on the whole volume of the samples together with a closed porosity of smaller size.

We found two possible hypotheses for the formation of the small closed porosity. A first option relates this kind of porosity (blowholes) to the pinholes previously observed in the surface of the samples of the first approach (Figure 11). The origin of these pores would be the formation of  $CO$  and  $CO_2$  microbubbles during the graphite burnout in the liquid which remains enclosed in the microstructure. This kind of defect is common in the processing of metals containing carbon [35,36]. Our second hypothesis is that this porosity is originated from the decomposition of the carbonate present in the structure of the HA used as raw material. AB-type carbonated apatite starts to decompose at about  $400^\circ C$  releasing mainly  $CO_2$ . Since AB-type carbonated HA retains its apatite structure and partly the carbonates groups at  $1100^\circ C$ , it has been useful for the production of porous carbonated apatite ceramics intended for bone tissue engineering scaffolds [37].

An analysis of the cross-section and the volume of the parts shows a distortion of the parts and the presence of cracks due to the high stresses to which the material was submitted during the printing process. The total porosity of these parts was found to be around 24% of the total volume. The designed toothed bottom surface of the sample HA\_Trapezoid facilitated the detachment of the part from the substrate surface. However, the HA\_scaffold sample suffered some deterioration due to the detachment using the homemade endless diamond wire saw.

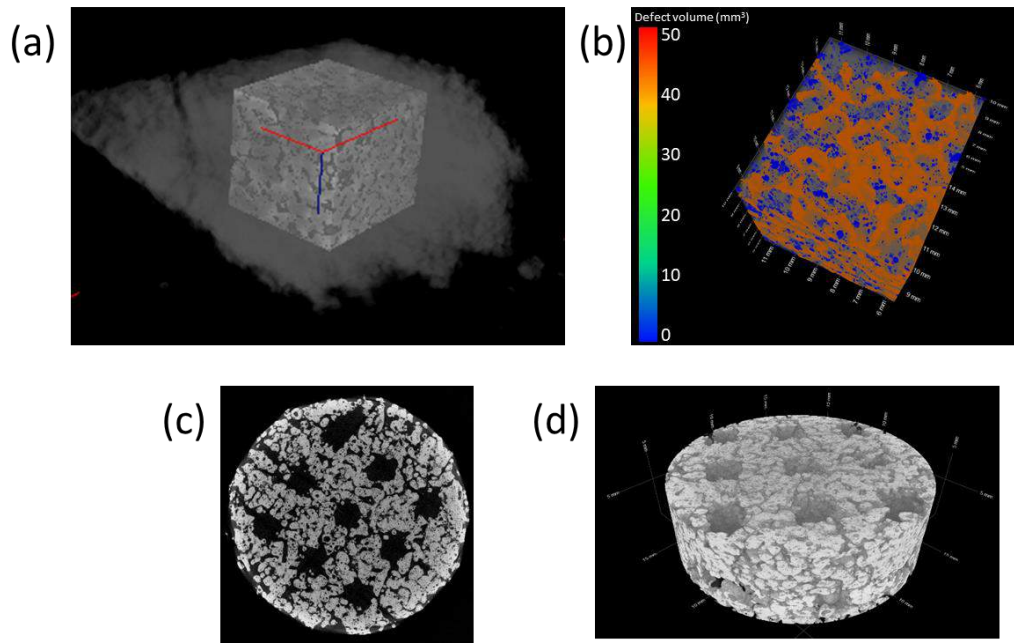


Figure 18. Visualization obtained using X-ray micro-computed tomography of the two kinds of printed parts: (a) Selection of the region of interest in HA\_Trapezoid sample (b) Analysis of the porosity distribution in the region of interest (blue: closed porosity, orange: interconnected porosity) (c) Cross-section view of HA\_Scaffold in the X-Y plane. (d) Volume analysis of the HA\_Scaffold sample.

### 3.2.3 Third approach: HA cylinders

The previous approaches were carried out to evaluate the results of using different powder feedstock and printing parameters on the final composition and morphology of the printed parts. This approach instead, focused on the evaluation of the capacity of the HA\_10G feedstock combined with an energy density of  $96 \text{ J}\cdot\text{cm}^{-3}$  to produce solid parts with a certain shape intended for mechanical testing. A change of the laser strategy (from hexagonal to zig-zag) and parameters as needed to reduce the printing time of solid parts. The comparison between the time needed for the printing of the parts is done by calculating the build rate coefficient ( $B$ ,  $\text{cm}^3\cdot\text{h}^{-1}$ ) (or productivity) of the process. This coefficient indicates the volume of material produced per hour and is proportional to the layer thickness, hatch distance, and laser scanning speed (equation 4). The higher the build rate the more productive will be the printing process [38].

$$B = v_{scan} \cdot h_{space} \cdot t_{layer} \quad (6)$$

Then, for the printing of the samples of the second approach, a  $B = 0.45 \text{ cm}^3\cdot\text{h}^{-1}$  was calculated while for this approach a  $B = 1.35 \text{ cm}^3\cdot\text{h}^{-1}$  was obtained. This productivity is three times higher than the previous one. Only the laser power and the scanning speed were modified (36W, 75 mm/s). Of course, this calculation should be taken as an approximation since factors like the overlapping rate of the layers are not taken into account. Moreover, this change of parameters does not aim to reach the maximum material processing speed of the PBSLP process but to speed up the process to facilitate the production of several cylinders intended

for the analysis of the mechanical properties. The produced cylinders with 10 mm of diameter and 15 mm of height are shown in Figure 19. The change in colour (from white powder to brown) could be due to the presence of the secondary phases in the sample. It was not detected any important variation of the colour after the thermal treatment, which could indicate a not complete HA reconstruction. They were analysed chemically, structurally, and mechanically.

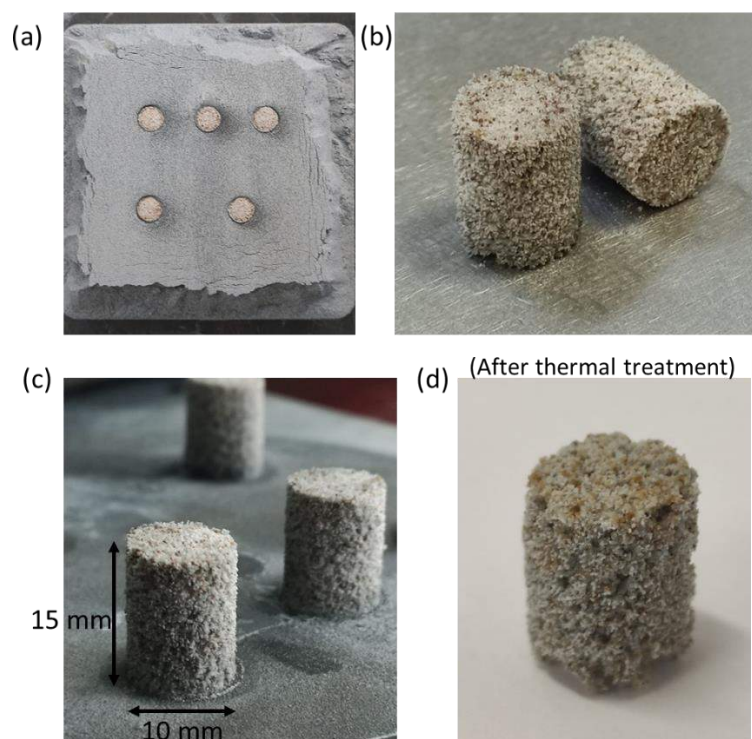


Figure 19. Photographs of HA cylinders samples produced by PBSLP at  $96 \text{ J.cm}^{-3}$  and a zig-zag laser scanning strategy (5 passes). (a) As printed, (b) after substrate removal, (c) general view, (d) sample after thermal-treatment.

The Figure 20a shows the Raman spectrum of the HA\_Cyl sample in comparison with the TTCP, HA, and  $\alpha$ -TCP spectra for comparison. The spectrum shows a contribution of the three calcium phosphate phases; however, the relative intensity of the bands is different from the one found for the samples produced during the first approach. The relative intensity related to the HA band is higher than the rest of the phases indicating a low phase transition. The X-ray diffractogram of the HA\_Cyl sample before and after been post-treated is shown in Figure 20b. This result confirms the higher presence of the HA phase to the other phases in the HA\_Cyl sample, these residual phases become invisible in the diffractogram after the thermal treatment. as was already observed in the Raman spectrum. Almost no presence of graphite was detected through XRD analysis. Once the sample is submitted to the thermal treatment, even a higher proportion of HA is detected, thus indicating a possible reverse phase transition from the others two phases (TTCP and  $\alpha$ -TCP) into HA. Once again it can be observed that the crystal orientation of HA has been affected after PBSLP process (it is reflected in the change of the HA lines intensities).

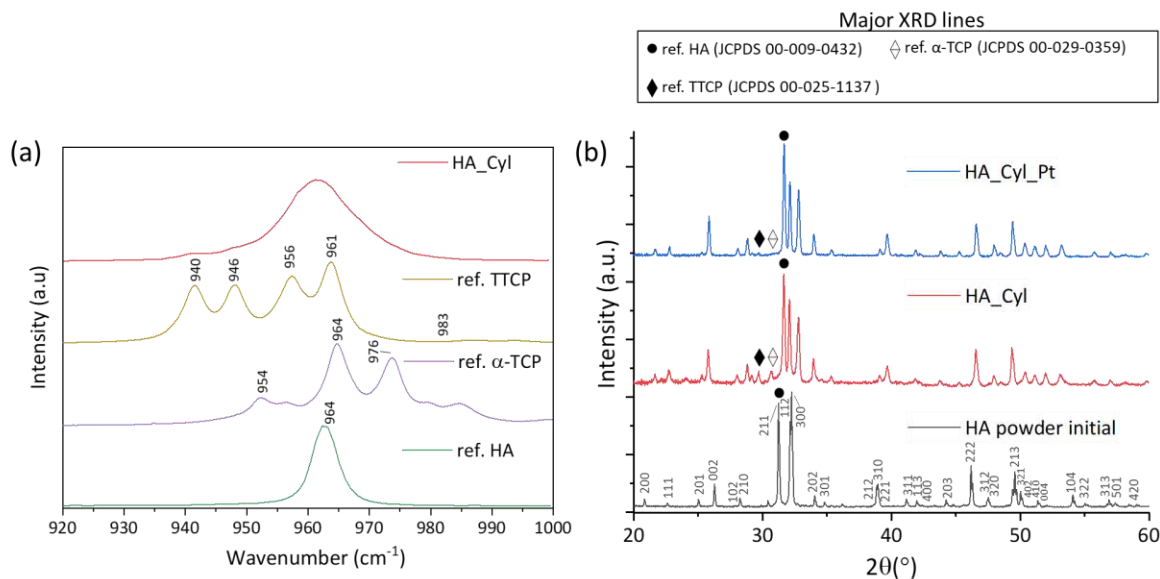


Figure 20. (a) Raman spectrum and (b) X-ray diffractograms were obtained for the cylinders printed at 96  $J.cm^{-3}$ .

The FTIR spectra of the initial HA powder, the as-printed HA\_Cyl, and after its post-treatment are shown in Figure 21. For reference, the characteristics FTIR line positions of the calcium phosphates HA, TTCP, and  $\alpha$ -TCP are offered in Table S1. First, the presence of structural carbonate impurities located at the A and B sites (hydroxyl and phosphate sites, respectively) of apatite structure in the initial powder was detected. It is observed from the bands at 1454  $cm^{-1}$  and 877  $cm^{-1}$  in the three samples. However, a decrease in their intensities is detected after each process step being almost invisible after the post-treatment of the cylindrical sample. All the characteristic frequencies of  $PO_4^{3-}$  modes [39] together with the bands corresponding to the structural  $OH^-$  of HA can also be found in the spectrum of the initial powder. Once the PBSLP process is performed to produce the HA\_Cyl sample, the  $PO_4^{3-}$  bands corresponding to TTCP and  $\alpha$ -TCP appeared in the spectrum (through the broadening of the bands) confirming a partial phase transition. Bands corresponding to the structural  $OH^-$  become invisible due to the loss of intensity. It is after the post-treatment of the cylinder at high temperature that the bands corresponding to the HA phase recover a certain intensity becoming sharper, indicating that a reverse phase transition occurred.

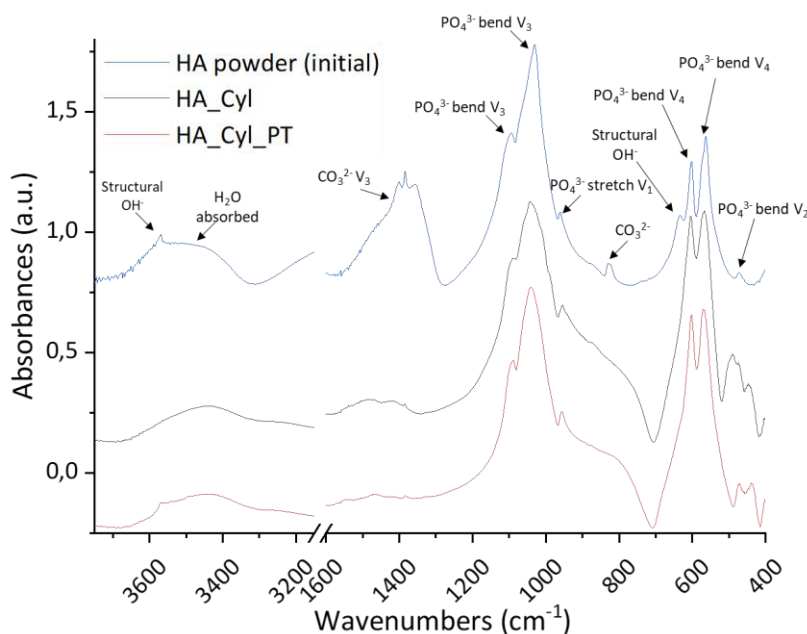


Figure 21. FTIR spectra of HA\_Cyl and HA\_Cyl\_PT samples.

General information related to the microstructure and mechanical properties of HA\_Cyl samples before and after the thermal treatment is offered in Table 6. Directional dimensions of the printed samples showed small variations to the dimensions of the original 3D model (10 x 15 mm). In laser processing of metals, the dimensional accuracy was found to be affected by two main factors, the solidification shrinkage and the mode of laser track filling and width [40]. Post-treatment of the sample did not show significant variations in the sample dimensions.

Table 6. Average directional dimensions, total porosity, relative densities (Archimedes and geometrical), and compression strength of HA cylinders before and after the post-treatment.

Sample	Directional dimensions		Total porosity (CT) (vol. %)	Relative density (Archimedes) (g.cm <sup>-3</sup> )	Relative density (Geometrical) (g.cm <sup>-3</sup> )	Compression strength (MPa)
	Height (mm)	Diameter (mm)				
HA_Cyl	14.6±0.4	11.2±0.1	50	2.77±0.02	2.47±0.10	0.010
HA_Cyl_PT	14.7±0.4	11.2±0.1	48	2.97±0.04	2.48±0.07	0.049±0.001

Figure 22 shows the three-dimensional reconstructions of the samples (before and after thermal treatment) using X-ray micro-computed tomography. The same kind of porosities (closed and interconnected) was observed for both samples, also it was similar to the observed in the samples obtained during the second approach (Figure 18). Regarding the comparison between the two types of density values measured by two different methods (Archimedes and geometrical) can give an idea of the porosity of the samples. Obtaining a higher value by Archimedes indicates that an open porosity exists. Its comparison with the initial HA powder density (3.16 g.cm<sup>-3</sup>) also confirms the porosity observed by the CT technique (very close to the common cancellous bone porosity 50-90% [41]). Between the two different samples, the higher density obtained after post-treatment indicates that higher densification of the part occurred during the post-

treatment which could improve their mechanical properties. This hypothesis is supported by the higher compression strength obtained for the sample after post-treatment ( $0.049 \pm 0.001$  MPa). However, it is still lower than the strength reported for cancellous bone (1-12 MPa) [41].

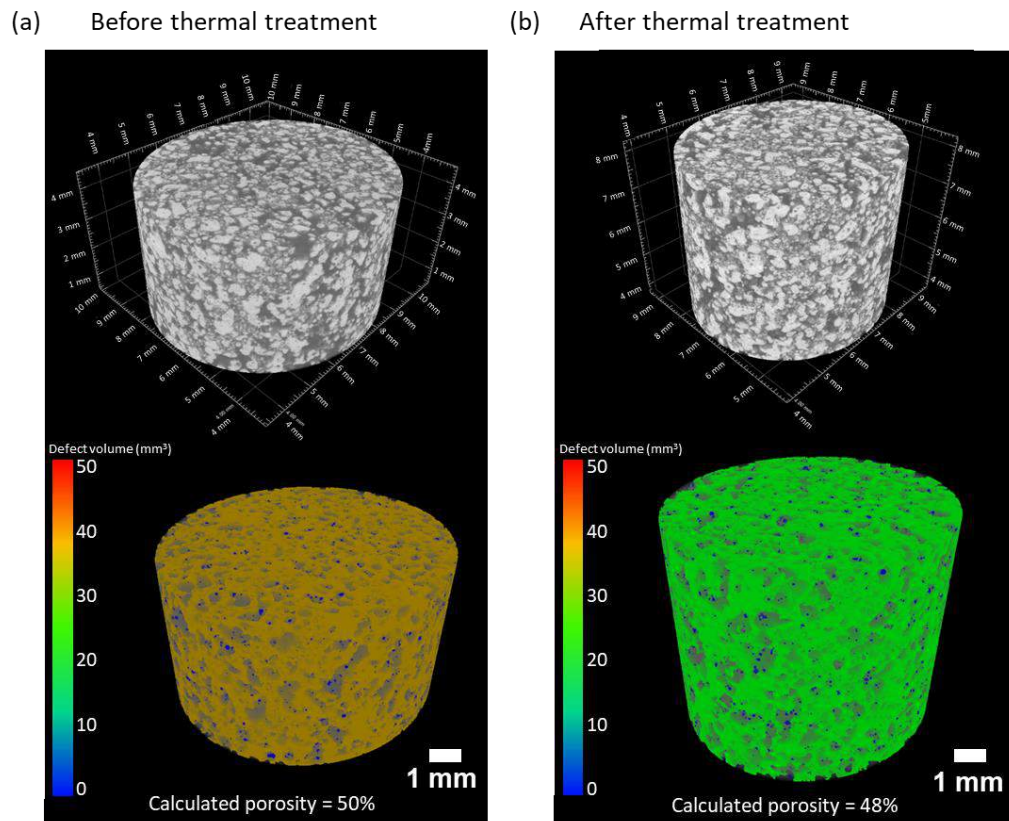
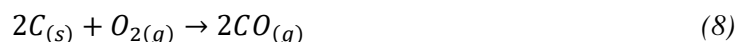


Figure 22. Visualization was obtained using X-ray micro-computed tomography of the sample HA\_Cyl (a) before and (b) after the thermal treatment. Upper: Volume analysis of the samples. Down: Analysis of the porosity distribution in the region of interest (blue: closed porosity, orange and green: interconnected porosity)

### 3.3 Heuristic procedure to determine the fixed PBSLP parameters

Heuristic research on the process parameters for the printing of CaP powders with graphite was carried out. A series of observations were obtained (underlined text) allowing us to reduce the range of parameters to evaluate during this work, thus fixing some of them. These first observations together with a review of the literature on laser processing of different materials explain the importance of these parameters and the reason for choosing determined values:

- During our study, the presence of oxygen in the chamber atmosphere was observed to have an important factor for the laser-induced ignition and combustion of graphite powder. This reaction can be described as exothermic (heat is evolved during the reaction), combustion (carbon burnt in presence of oxygen), and combination (carbon combines with oxygen to form carbon dioxide and carbon monoxide) reaction. Graphite starts reacting (burning) with  $O_2$  above 700-800°C producing  $CO_2$  and  $CO$  gases that will escape into the atmosphere leaving a porosity in the printed parts that will depend on the graphite volume fraction used [42]. The quantities of  $CO_2$ ,  $CO$  and effective heat of reaction depend on the amount of oxygen and carbon available during the reaction [43]. The reactions taking place can be described as:



- The compression of the powder bed was evaluated and an improvement on the surface finishing was observed when the powder was compacted before the laser scanning. It is known that the spreading and compression of the powder have an important effect on the properties of the printed parts. Beitz et al. [44] offered an interesting summary of the results obtained by different authors on the spreading and compression of powder beds with different devices. They concluded, through the study of the spreading of PA 12 powders, that an even compression of the powder bed is better achieved when using both, a scraper or blade and a counter-rotating roller. This combination, which is used in the present study, results in a more uniform and dense powder bed lowering the surface finish roughness of the parts. Sofia et al. [45] verified the effect of the compression of glass beads powder beds by bending tests indicating that the final sintered resistance increases by 198% in the case of compressed fine powder ( $x_{50} = 16 \mu\text{m}$ ). However, it was pointed out that with the increase in the particle diameter, the compression process loses effectiveness. The effect of the roller-spreading parameters was studied by Zhang et al. [46] via discrete element simulation. They stated that lower roller translational velocity, higher roller diameter, and higher powder layer thickness increase the uniformity and density of the powder bed, although further research and experimental validation still have to be carried out to provide a more reliable basis for the optimization of the roller-spreading parameters.
- During our preliminary tests, it was observed that the laser scan strategy had also a high influence on the quality of the parts. Precisely, the “track overlapping” or the repetition of different laser

scans/passes over the same powder particles during the same layer step favoured the sintering/melting of the parts. This parameter has been rarely investigated, however, Changpeng et al. [47] offered a study on the prediction of the effect of the overlap rate and pattern on the residual stress (caused by the extremely uneven temperature distribution) induced by the island scanning strategy during a selective laser process. Some of their findings were that an overlap rate of 25% - 50% for a general island size (between 1 x 1 mm<sup>2</sup> and 10 x 10 mm<sup>2</sup>) and a laser vertical re-scanning (90° rotation between layers) together with a short scanning length were recommended to reduce the residual stress.

- The influence of the laser defocusing by displacing the building platform in the z-axis was also evaluated. It was found that the defocusing strongly affects the laser-material interaction producing important variations in the final properties of the parts. It is known that the defocus distance alters the laser spot size and for hence the melt pool size. In addition, the direction in which the stage is moved in the z-axis is known to be a significant factor [11,48]. The production of solid parts was not possible when the laser was completely focused. A laser defocus of 3 cm downward the focus plane (positive sense) was tested and it allowed the densification of the parts. This laser defocus value distance was kept for the present study.
- The direct printing on the stainless-steel printing platform (absence of substrate), as well as the use of stainless steel and TA6V discs coated with HA, were evaluated in terms of printed part-building plane surface interaction. It was found that the distortion of the printed part was highly influenced by the kind of material used. The use of HA-coated discs seemed to improve this interaction enhancing the quality and density of the parts and their attachment to the substrate surface, better when using TA6V discs. In the field of laser processing (sintering/melting) of metallic powders, the influence of the material substrate has been widely studied. The substrates are commonly used to avoid the balling effect in powder melting and dislocation of the printed parts. Shen et al. [49] studied the effect of the substrate on the temperature distribution during the laser processing of metallic powders. They confirmed the importance of the substrate material's thermal conductivity (k). When the absence of substrate or when the thermal conductivity of the material used is poor the heat is confined in a small irradiated zone influencing negatively the quality of the parts. For the first printing layers, the heat absorbed by the powder bed is quickly transmitted to the substrate however when the process continues and the number of layers increases the distance between the irradiated powder layer and the substrate increases, reducing this heat transmission. Since the heat transference by the loose powder is much lower than by the full-density solid body of the substrate it leads to parts distortion (shrinkage and warping). For this reason, it is common the utilization of the same material substrate as the powder being printed reducing the differences between thermal conductivities [50]. The thermal conductivities of the material employed have been reported in the literature [23,51,52]: stainless-steel (15 W.m<sup>-1</sup>.K<sup>-1</sup>), TA6V (7.1 W.m<sup>-1</sup>.K<sup>-1</sup>), HA (<3% porosity) coating (0.72-3 W.m<sup>-1</sup>.K<sup>-1</sup>) and HA powder (0.10-0.15 W.m<sup>-1</sup>.K<sup>-1</sup>). Through our observation, we could

hypothesize that the lower differences between thermal conductivities of TA6V discs coated with HA and the HA powder bed, compared when using stainless steel, reduce the distortion and warping of the printed solid parts.

#### 4. Conclusions

The present study shows our research on the PBSLP process of ceramic calcium phosphate powders. HA and CIA powders were mixed with graphite as an absorptance additive to be used as process feedstock. A preliminary analysis of the literature and heuristic research of the printing parameters was performed to close the wide range of parameters that the PBSLP technique involves fixing some of the parameters as the starting point. Three different approaches were employed for the analysis of materials feedstock performance.

First, the printing of laser-induced circular patterns at different printing parameters allowed us to obtain a process window for each one of the powders prepared and compare them. It was observed that from 5 to 10 wt. % the higher the amount of graphite the higher was the sintering/melting capacity of HA powder. These circular samples were analysed microscopically, chemically, and structurally showing a phase transition for the powder composed of HA into TTCP and  $\alpha$ -TCP. Phase proportion was dependent on the  $E_d$  used during the process and the amount of graphite. The HA powder feedstock containing a 10 wt. % of graphite, printed at a energy density of  $288 \text{ J.cm}^{-3}$  (laser power: 36 W; scanning speed:  $25 \text{ mm.s}^{-1}$ ) showed the lowest effect of the balling phenomena and low phase transition after the process (46.5 wt.% HA). In this first approach, the sample CIA\_5G showed the most outstanding results due to its inherent higher thermal stability without phase transition. All samples showed balling phenomena, however, the use of CIA\_5G with a high  $E_d$  and HA\_10G with low  $E_d$  (in the range studied) produced smoother surface finishing.

The second approach was performed in parallel to the first one. The printing of complex samples was achieved using the HA\_5G feedstock and the same hexagon-based laser scan strategy as in the first approach. However, the combination of printing parameters used (laser power: 30 W, scanning speed:  $25 \text{ mm.s}^{-1}$ ,  $E_d$ :  $240 \text{ J.cm}^{-3}$ ) yielded a too slow build rate needing long times to print samples in series. The total porosity of these samples was 24%.

Through the third approach, HA\_10G powder feedstock was used to achieve the production of solid cylindrical samples in a faster way. An energy density of  $96 \text{ J.cm}^{-3}$  (laser power: 36 W, scanning speed:  $75 \text{ mm.s}^{-1}$ ) together with a strategy based on the overlapping of 5 laser passes for each printing laser were the parameters used. Morphology, chemical composition, and mechanical properties analyses of the cylinders before and after their treatment at high temperature were carried out. It was found that a phase transition of the initial HA powder into other phases occurred after their printing. This transition was partially reverted by the thermal treatment. This treatment also enhanced the compression strength of the sample from 0.010 MPa to  $0.049 \pm 0.001 \text{ MPa}$ . Indeed, it slightly increased the density of the part from  $2.77 \pm 0.02$  to  $2.97 \pm 0.04$ .

However, further research should be done on the improvement of the low mechanical properties observed through optimization of initial formulation, operating conditions, and/or additional post-treatments.

In conclusion, the processability of calcium phosphate powders in a PBSLP was examined by adding an absorption additive. Phase transition of HA into other phases was evaluated with different powder feedstock and printing parameters. Solid samples were successfully printed with a majority of HA phases in their composition. CIA showed promising results as starting powder feedstock to HA mainly due to its higher thermal stability, avoiding any phase change. This is the first step for the direct production of calcium phosphate bone substitutes through the PBSLP process. A deeper investigation should be made on the use of CIA powder as feedstock for the printing of solid parts. Further studies on the improvement of the mechanical properties of the parts could open a new possibility for the production of bioceramic scaffolds with interconnected porosity showing enhanced bioactive properties.

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## **6. Author contributions**

P. Navarrete-Segado, C. Frances, M. Tourbin, and D. Grossin designed the followed methodology. P. Navarrete-Segado drafted the manuscript and conducted the majority of experiments. C. Tenailleau and B. Duployer performed the CT experiments. D. Grossin also supervised the project.

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### 8. Supplementary information

Table S1. FTIR line positions of TTCP, HA, and  $\alpha$ -TCP. ( $\text{cm}^{-1}$ ; m medium, s strong, sh shoulder, v very, w weak). Adapted from Rey et al [39].

	TTCP	HA	$\alpha$ -TCP
PO <sub>4</sub> V <sub>3</sub>	1,105 w		
	1,093 w	1,092 s	
	1,073 w		
	1,062 s		1,055 s
	1,046 s	1,040 vs	1,039 s
	1,033 m		1,025 s
			1,013 s
	1,010 s		997 s
PO <sub>4</sub> V <sub>1</sub>	989 s		984 s
	962 w	962 w	
	956 w		954 m
	946 w		
PO <sub>4</sub> V <sub>4</sub>	941 w		
	620 w		613 m
	594 w	601 m	597 m
			585 m
	571 s	575 m,sh	563 m
		561 m	551 m
PO <sub>4</sub> V <sub>2</sub>	501 w		
	471 m	472 vw	471 w
		462 sh	463 w
			454 w
	450 w		430 w
	429 w		415 w
	399 m		

HA\_10G\_96

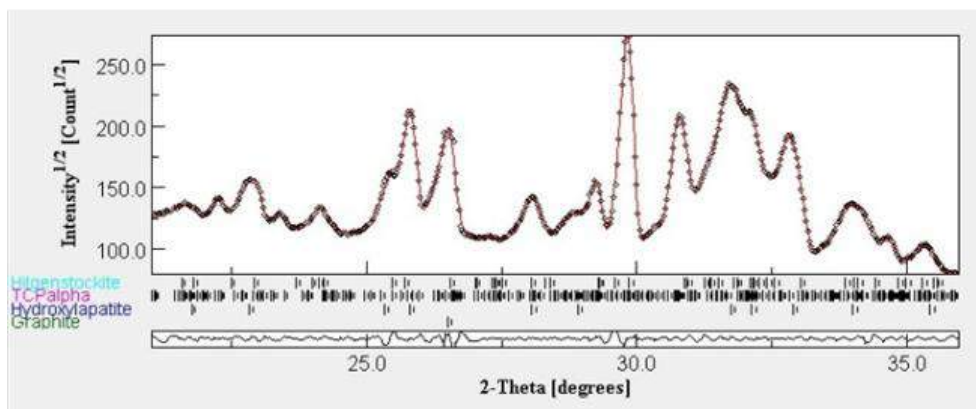


Figure S1. Example of one plot of the Rietveld refinement of the HA laser-induced sample (HA\_10G\_96), with the Bragg reflections of each phase, labelled on the left. Hilgenstockite = TTCP phase.

**Chapter VI. Masked stereolithography of hydroxyapatite bioceramic scaffolds: From powder tailoring to evaluation of 3D printed parts properties**



## Masked stereolithography of hydroxyapatite bioceramic scaffolds through an integrative approach: From powder tailoring to evaluation of 3D printed parts properties

Pedro Navarrete-Segado,<sup>a,b</sup> Christine Frances,<sup>b</sup> Mallorie Tourbin,<sup>b</sup> David Grossin<sup>a,\*</sup>

<sup>a</sup> CIRIMAT, Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

<sup>b</sup> Laboratoire de Génie Chimique (LGC), Université de Toulouse, CNRS, 4 Allée Émile Monso, 31432 Toulouse Cedex 4, France

**Abstract.** In this paper, the tailoring of hydroxyapatite powders properties for the preparation of highly hydroxyapatite-loaded photocurable organic slurries was discussed. A methodical study was conducted to investigate suspensions properties and processability to find the most outstanding formulation for the production of hydroxyapatite scaffolds by stereolithography-based additive manufacturing technique. A debinding-sintering process was designed to avoid the formation of cracks during the pyrolysis of the resin and sintering of the ceramic part. A total porosity of 35 vol. % was observed for the scaffolds with an interconnected macroporosity, which could facilitate the flow transport of nutrients necessary to maintain living cells. A compression strength of  $4.9 \pm 0.3$  MPa was obtained for the specimens printed diagonally ( $45^\circ$  to the printing stage surface). A slow degradation rate was shown for the printed parts mainly due to the high degree of crystallinity and the intrinsic stability of the hydroxyapatite phase. Our findings indicate that the tailoring of hydroxyapatite powders is needed for better processability as filler in photocurable suspensions. Moreover, it was demonstrated the feasibility of printing hydroxyapatite parts showing promising results for their application in surgery in the case of minor or non-load bearing implants requiring slow resorption properties.

**Keywords:** hydroxyapatite, rheology, sedimentation, suspensions, mechanical properties, VAT photopolymerisation; additive manufacturing

\*Corresponding author: david.grossin@ensiacet.fr

## **1. Introduction**

People are living longer than ever before and will soon become one of the major problems worldwide, with the median age projected to increase by 4.5 years between 2019 and 2050 [1]. This ageing increase leads to a growth of incidence of bone injuries and deficiencies [2]. In the last decades, the rising interest for new efficient therapies has brought wide progress on the use of biomaterials such as calcium phosphate-based bioceramics [3]. Reparation of fractured or diseased bone needs to start from a scaffold or three-dimensional (3D) construct providing the necessary support for the attachment, growth, and proliferation of bone cells [4]. Hydroxyapatite (HA) is one of the most commonly used calcium phosphates bioceramic phases for bone tissue engineering in orthopaedic and dental communities for its excellent biocompatibility, slow degradation, and osteointegration [5]. HA can withstand resorption during healing providing a good scaffold for natural bone growth, their slow resorption properties could be an advantage in maintaining the volumetric stability of the augmented bone. The presence of hard tissue around a dental implant is crucial for the long-term success of implant placement in surgical techniques such as guided bone regeneration and autogenous bone blocks transfer for dental implant placement [6–8]. For block grafts used in regeneration, an ideal biomaterial, should meet at least the following characteristics: (i) biocompatibility and controlled biodegradability with sufficient durability to carry out the task for which it was developed [9], (ii) a porous and interconnected design enabling osteoblasts (15-50  $\mu\text{m}$ ) and stem cells (5-12  $\mu\text{m}$ ) migration, proliferation, and flow transport of nutrients and metabolic waste through the pores [10] (ideal size of the micropores should be at least 400  $\mu\text{m}$ ) [11], (iii) mechanical properties matching those of the target tissue at the site of implantation [12], (iv) suitable surface chemistry for cell anchorage, promotion and differentiation [12].

Additive manufacturing (AM) technologies have emerged as an excellent method to fabricate parts suitable for their use in bone tissue engineering applications [13]. They allow the production of allograft custom blocks with defined and reproducible internal structures [14]. Depending on the AM technique, the process basis, methodology, and primary feedstock properties can vary widely [15,16]. Techniques included in the VAT photopolymerization category uses a laser or UV-light source to cross-link a photocurable resin feedstock (composed of a photoinitiator, monomers, or oligomers, reactive diluents, and various additives such as bioceramic powders) [17].

Masked stereolithography (MSLA), also called LCD technique, is the last of these technologies arriving on the market and it has substantially grown in the last years [18]. It uses a direct and perpendicular UV light projection from an array of LEDs and an LCD device acting as a mask to selectively polymerize the photocurable feedstock. Some advantages of this LCD technique are the low cost compared with other technologies (laser SLA, DLP...) and the good resolution, although they have a shorter service life and need to be replaced regularly [19]. This and similar techniques have been recently employed for the production of calcium phosphates-based parts [17,20,21]. However, still, some research is needed on the intrinsic relationship between ceramic powder characteristics, solid loading, and dispersion behaviour on the

ceramic-loaded photocurable slurry properties [22]. Dispersed powder feedstock inducing a low increase of viscosity, keeping the stability of the suspensions, and showing a good sintering behaviour are pursued since these are crucial properties for a correct performance during the printing process as well as during post-treatments [23–25]. The maximum viscosity for a slurry intended for VAT photopolymerization is approximately 5 Pa.s at a low shear rate [26]. Then, certain stability of the suspensions should be reached avoiding the sedimentation of the particles, which could block the LCD screen and UV light during the printing process [23]. It is also important to use particles which size allows the subsequent debinding-sintering process preventing the formation of cracks. More often, this is achieved by calcium phosphate particles within the micron range (1-20 $\mu$ m) [27]. This can be reached by modifying the powder particle size distribution through different processes (e.g., milling, sieving...).

Thus, the objective of the present study is to evaluate the effect of the HA-tailored powders with different characteristics on the properties of the highly HA-loaded photocurable slurries. First, the powder showing the most outstanding performance in terms of slurry viscosity ( $< 5$  Pa.s at a low shear rate ( $1 \text{ s}^{-1}$ )), and dispersion stability (Turbiscan stability index  $< 2$ ) during the whole process will be found through comparison. Then, the processability of the suspension will be tested with the printing of HA parts with controlled macroporosity (pore size  $> 400 \mu\text{m}$ ). Finally, the mechanical properties and the dissolution rate of the scaffolds will be determined to assess the HA bioceramic scaffolds efficacy as a bone substitute.

## 2. Material and methods

### 2.1 Materials

#### 2.1.1 Stoichiometric hydroxyapatite powders

Stoichiometric hydroxyapatite powder (HA,  $\text{Ca}_{10}(\text{PO}_4)_6(\text{OH})_2$ ) was used as filler for the suspensions prepared in the present work. The initial powder was produced by Urodelia (SA Company, Saint-Lys, France) via a wet precipitation process (product reference 206.93.003). A deeper explanation of the production of this powder through wet-chemical precipitation was offered in a previous work [28].

HA-filled organic slurries for the MSLA process were produced with three kinds of HA powders (two of them produced by different milling processes) for suspension properties comparison (e.g., stability, rheology...):

- HA<sub>Initial</sub> powder: the starting HA powder was used as received.
- HA<sub>PBM</sub> powder: A second powder was crushed through the planetary ball milling (PBM) process of HA<sub>Initial</sub>. A Retsch® planetary-ball mill S 1000 was used to reduce the size of the largest agglomerates present in HA<sub>Initial</sub> powder. A previously weighted amount of powder (140 g) is poured inside of the 250 ml alumina grinding jar with lid together with the following alumina grinding balls (bulk density: 3600 kg/m<sup>3</sup>, mass: 346 g): 3 balls of 30mm + 12 balls of 20mm + 9 balls of 10 mm. Then, a ball filling degree

of 0.38 (to total chamber volume) and powder filling degree of 0.44 (to ball-filled chamber volume) were used. After one hour of the milling process at 500 rpm, the HA<sub>PBM</sub> powder was recovered.

- HA<sub>SBM</sub> powder: Stirred bead milling process (SBM) was performed in a commercial equipment Labstar manufactured by NETZSCH (Germany) to reduce the size of the HA particles present in HA<sub>Initial</sub> powder. The detailed scheme of this SBM Labstar equipment and the experimental procedure were already detailed in previous works [29,30]. A water volume was added to the dispersing tank followed by the gradual addition of the weighted HA powder amount to have a final suspension batch of 2kg with a 10 wt.% of solid concentration. It was stirred during 10 minutes at 600 rpm at 25°C before starting the pumping and comminution in the milling chamber. Yttrium Stabilized Zirconia 95% (YSZ, ZrO<sub>2</sub>) micro grinding beads (Zirmil® Y from WAB-Group) were used for the milling in a 85% of chamber filling degree (total volume chamber 0.48l). The nominal size of the beads was 0.35-0.45 mm (laser diffraction particle analysed values  $x_{10} = 0.32 \pm 0.00$  mm,  $x_{50} = 0.39 \pm 0.00$  mm, and  $x_{90} = 0.45 \pm 0.00$ , refractive and absorption indices of 2.148 and 1.000) with a density of 6020 kg.m<sup>-3</sup> (bulk density: 3700 kg.m<sup>-3</sup>). The operational conditions were a stirrer speed of 7.7 m.s<sup>-1</sup> (2000 rpm), a constant product flow rate  $8.8 \times 10^{-6}$  m<sup>3</sup>.s<sup>-1</sup>, and specific energy of 720 kJ.kg<sup>-1</sup> (it was equivalent to 60 minutes of the process). From now on, we will refer to this ground powder as HA<sub>SBM</sub>. After this wet grinding process, the HA<sub>SBM</sub> powder had to be vacuum filtered, washed, and dried at 70°C overnight before its utilization as filler.

### 2.1.2 Photocurable resin components

The commercial photoreactive resin Dentifix-3D, Modelling HR (high reactive) transparent (clear), from FunToDo® was used as a monomeric and photoinitiator base for the preparation of the organic suspensions. The exact composition of the resin is proprietary information; however, we could describe it as a mixture of approximately 50:50:1 in weight of acrylate monomers, glycol diacrylate monomers, and phosphine oxide base photoinitiator. To improve the rheological behaviour of the suspensions the addition of a reactive diluent was considered. Polyethylene glycol 200 (PEG200) was tested as a diluent and after a heuristic research process, it was found that the maximum amount that could be added without compromising the resin reactivity and performance was 25 vol. %. This volume proportion 3:1 of resin base:diluent was kept constant for all the slurries prepared during the study.

### 2.1.3 Preparation of HA-filled photocurable suspensions

A series of HA-filled photocurable suspensions were prepared to contain different types ( $HA_{Initial}$ ,  $HA_{PBM}$ ,  $HA_{SBM}$ ) and concentrations of HA powders for comparison. The preparation consisted of the mixing/homogenization between the HA powder and the organic resin via the PBM process (same equipment used for the preparation of  $HA_{PBM}$ ). It started with the addition of a previously weighted amount of HA powder (depending on the final concentration) into the grinding jar already containing the same proportion of grinding balls mentioned in the preparation of  $HA_{PBM}$ . Then, after pouring the organic resin (mass: 50g, already containing PEG200) the jar was closed with the lid and stirred by the PBM process at about 500 rpm for 30 min. Just after finishing the process, the resulting HA-filled suspensions were collected for rheology and dispersion stability evaluation and its utilization in an MSLA apparatus. A general view of the HA-filled photocurable slurry preparation is illustrated in Figure 1.

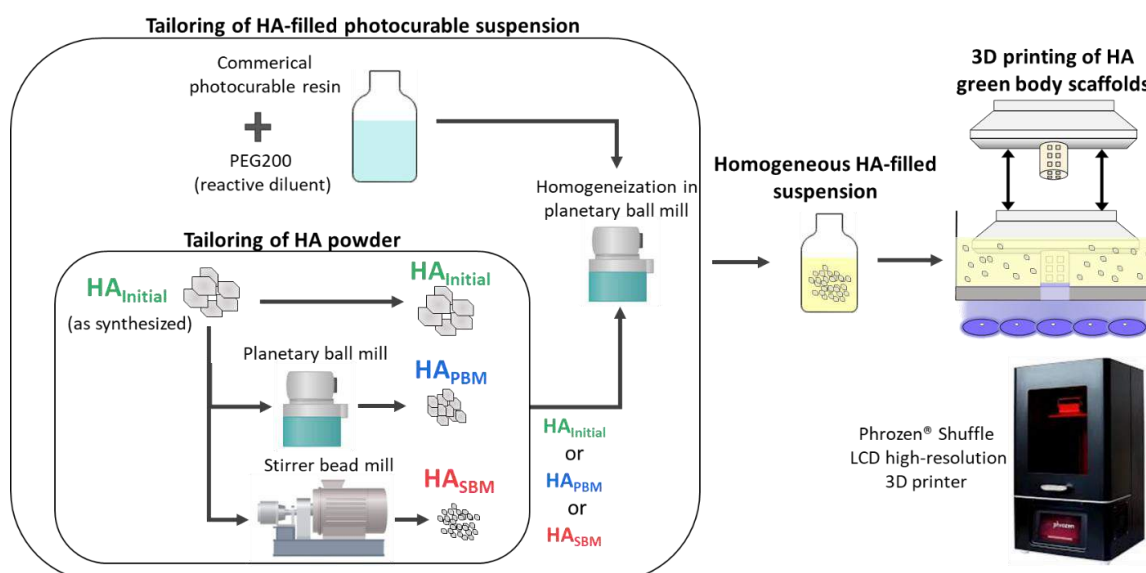


Figure 1. HA-filled photocurable slurry preparation process.

## 2.2 Production of HA scaffolds

### 2.2.1 3D printing of HA scaffolds via masked stereolithography

Three-dimensional bioceramic green bodies (HA/organic resin composite) were printed by intermittent and selective cross-linking of HA-filled suspension layers. A commercial MSLA equipment, Phrozen® Shuffle, LCD high-resolution 3D-printer was used [31]. It uses an LCD screen with a 5.5-inch 2K resolution reaching an XY resolution of 47  $\mu\text{m}$ . A ParaLED matrix 1.0 optical engine is used as a UV light source with a 90% optical uniformity (better than conventional COB LED). The produced UV light has a wavelength of 405 nm and a power of 50W.

The process started by loading the previously designed 3D model (Figure 2) into the printer software. Since compression strength analyses were planned for the samples, the 3D model was designed according to the requirements described in the standard ISO 13175-3:2012 for the tests. The scaffolds model design consisted of a cylinder with 10 mm of diameter and 15 mm of height, with controlled internal and interconnected macro-porosity having circular overtures of 1000  $\mu\text{m}$  in all three directions. Open-source FreeCAD and Chitubox basic software were employed for the design, creation of supports, placement of the models, and slicing. The resin profile was settled by fixing the printing parameters, which are described in Table 1. Basic information refers to the parameters followed during the whole process such as layer thickness, stage down speed, and lighting delay. Then, there is a distinction in the table between the printing parameters (cure time, stage lift height, and up speed) for the first 6 layers (burn layers) and the rest of the layers (normal layers). The same parameters profile was used for the printing of the scaffolds during the study.

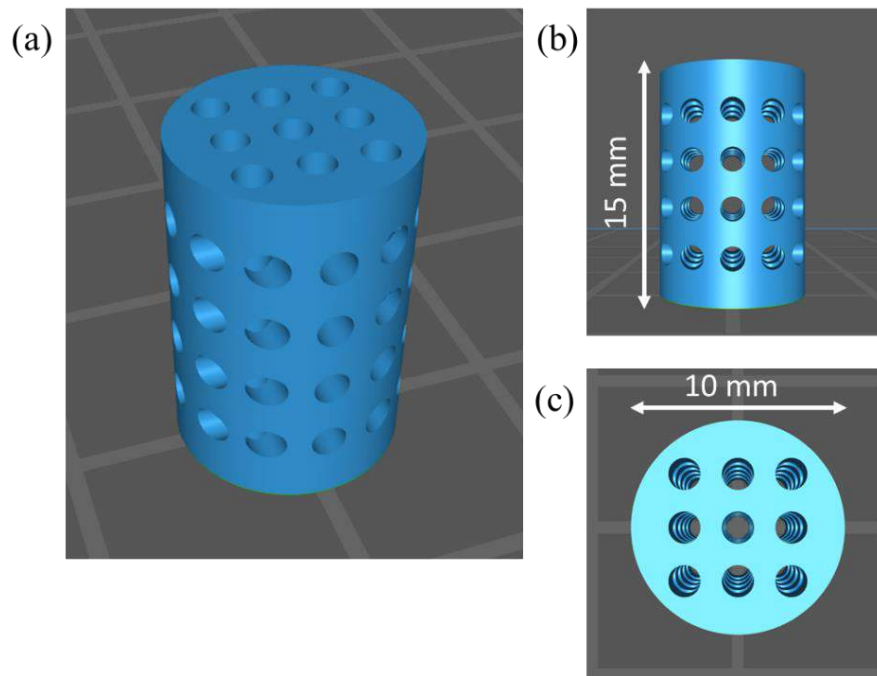


Figure 2. 3D model used to print the HA parts: (a) perspective, (b) front view, (c) top view.

Table 1. MSLA printing parameters profile used for the production of HA scaffolds.

Parameter	Basic information			Burn layer (first 6 layers)				Normal layer		
	Layer height (mm)	Down speed (mm/min)	Delay (ms)	Layers (number)	Cure time (ms)	Lift height (mm)	Up speed (mm/min)	Cure time (ms)	Lift height (mm)	Up speed (mm/min)
Value	0.1	150	1000	6	40000	8	100	10000	7	100

Once the printing platform has been calibrated in the z-axis, the slurry is poured inside of the tank container, and with the help of a plastic spatula, it is homogeneously spread to completely cover the FEP film, which separates the resin from the LCD screen. Then the printing process started constructing the model layer by layer combining the curing of the resin by UV light exposition and the movement of the platform in z-axis following the parameters defined. Figure 3 shows the MSLA process together with the resulting HA bioceramic scaffolds and the tank containing the HA-filled resin. Three different HA scaffold models were designed and printed varying the orientation. Three rotation degrees 0°, 45°, and 90° were applied to the models to study their influence on the quality of the printed parts. All models included supports and rafts to avoid the direct contact of the scaffolds with the printing platform surface.

One time the printing process finished, each raft supporting the scaffold was detached from the platform surface and the supports were carefully cut with tweezers freeing the HA green body scaffold. Then, the scaffolds were soaked in an ultrasonic bath with isopropyl alcohol (IPA) for 3 minutes. Finally, they were swirled around in the IPA to rinse off the extra uncured resin.

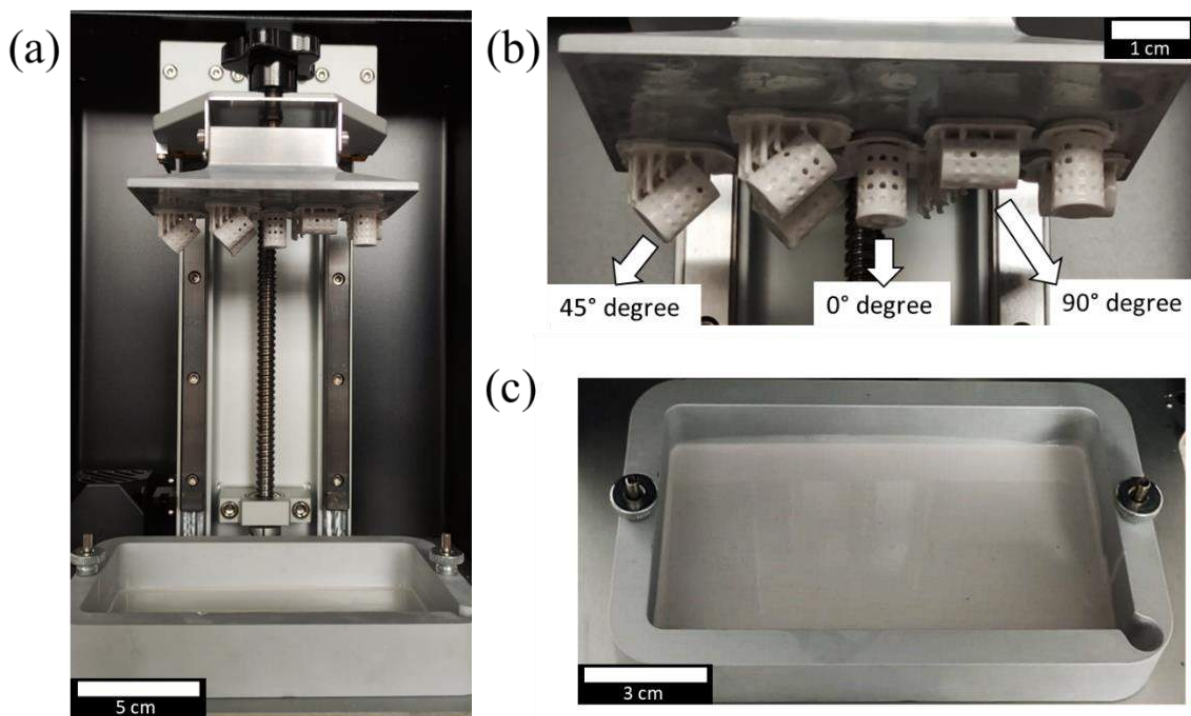


Figure 3. (a) General view of the MSLA process, (b) printing platform with HA scaffolds with three different printing orientations, and (c) HA-filled slurry container.

### 2.2.2 Debinding-sintering process

To obtain HA bioceramic scaffolds free of polymer it was necessary to design a one-step debinding-sintering process for the pyrolysis of the cured resin of the printed HA green bodies. Thus, producing in the same process the sintering of the HA particles keeping or improving the mechanical properties of the parts. To prepare the debinding-sintering process, the samples were placed in alumina crucibles and introduced in a muffle furnace Nabertherm® LT 9/13. They were located in a middle height and leaving the same space between the lateral walls inside of the muffle chamber to optimize the heat distribution. The process was carried out in the air and three different temperature phases (or heating ramps) could be distinguished. First, one heating ramp of 1°C/min until 300°C followed by another one of 0.2°C/min until 650°C performed the debinding phase. Then the heating was speeded up at 2°C/min until 1250°C and kept constant for two hours to achieve correct sintering of the HA particles. Finally, the furnace was inertially cooled down with an average speed of -1°C/min to prevent the apparition of cracks due to thermal shocks. The complete temperature pattern followed is described in Figure 4.

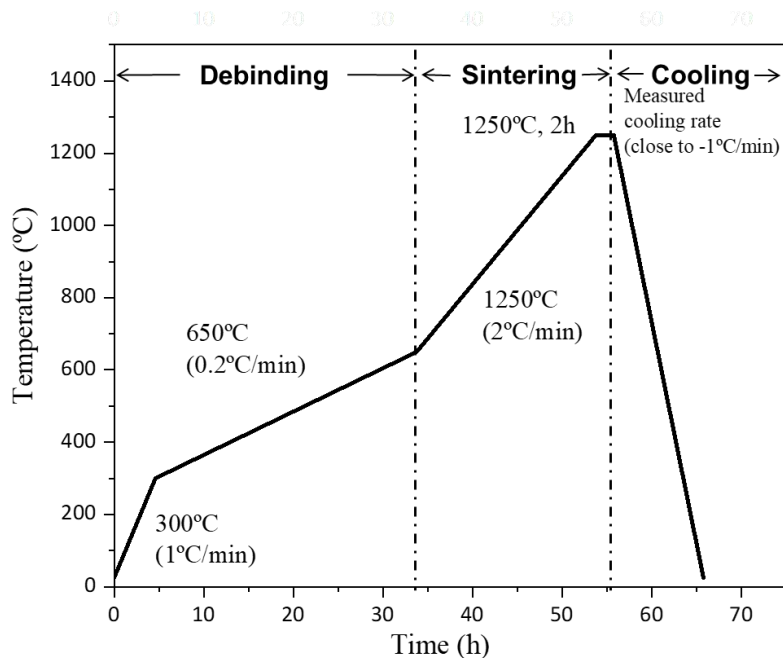


Figure 4. Programmed temperature pattern for the debinding-sintering process of HA scaffolds.

## 2.1 Characterization techniques

### 2.1.1 Particle size distribution

A Mastersizer MS 3000 (Malvern Panalytical®) laser particle size analyser (refractive indexes: 1.63 and 1.33 for particles and water, respectively) was used to analyse the PSD of the HA powders by laser diffraction in suspension. It was not possible to analyse the PSD of the HA powders suspended in the photocurable resin due to a risk of polymerization by the blue light (wavelength: 470 nm) used for the measurement. Instead, to simulate their dispersion state they were analysed in aqueous suspension.

Ultrapure water used as dispersion medium was produced by the equipment “Purelab Ultra” of VWS (UK) Ltd.

### 2.1.2 Turbidimetry analysis

Turbiscan stability index (TSI) (a Turbiscan specific parameter for stability comparison) and backscattered (BS) profiles of the different HA-filled slurries were obtained using a Turbiscan LAB™ (Formulation®). The light source scanned the sample tube at 2 min intervals from top to bottom and measured the percentage of light BS or transmitted during a 3 h period at 25° C to cover the total time needed for the printing process of the green bodies (about two hours). Longer analyses (up to 66 hours) were performed to evaluate the time of destabilization. The analysis of the stability was performed as a variation of BS profiles as a function of time at the totality of the sample height. The curves obtained allow a better quantification of their dispersion stability and identify the phenomena taking place in the samples (sedimentation, flocculation...). The overall stability of slurries was examined using the TSI parameters calculated by the Turbiscan software using the formulae below [32]:

$$BS = \frac{1}{\sqrt{\lambda^*}} \quad (1)$$

$$\lambda^* (\varphi, x_{50}) = \frac{2x_{50}}{3\varphi(1-g)Q_s} \quad (2)$$

$$TSI = \sqrt{\frac{\sum_{i=1}^n (\chi_i - \chi_{BS})^2}{n-1}} \quad (3)$$

where  $\lambda^*$  is the photon transport mean free path in the sample,  $\varphi$  is the volume fraction of particles,  $x_{50}$  is the mean diameter of particles,  $g$  and  $Q_s$  are optical parameters given by the Mie theory.  $\chi_i$  is the average backscattering for each minute of measurement,  $\chi_{BS}$  is the average  $\chi_i$ , and  $n$  is the number of scans.

### 2.1.3 Rheological analysis of suspensions

The rheological behaviour of the organic resin and HA-filled suspensions at different concentrations was examined using a TA Instruments AR2000 rheometer. A 40mm crosshatched parallel plates system was used as geometry (gap = 1300  $\mu\text{m}$ ). Flow curves of each sample were produced at a constant plate temperature of 25°C controlled by a Peltier plate. An increasing shear rate (steady-step flow step) in the range of 1-100  $\text{s}^{-1}$  was used taking ten points by decade.

#### 2.1.4 Chemical and structural analyses

The calcium phosphate crystalline phases in the sintered scaffolds were detected by X-ray diffraction (XRD) analysis at different temperatures by using a BRUKER's X-ray diffractometer D8 Advance system with Cu K $\alpha$  radiation (wavelength  $\lambda = 0.15406$  nm). Equipped with a high-temperature sample stage, a LYNXEYE XE-T detector (energy resolution of 0.38 keV) and a nickel filter at 40 kV and 40 mA. Samples were analysed in a  $2\theta$  range between  $20^\circ$  and  $60^\circ$ , a step of  $0.03^\circ$   $2\theta$  and a time per step of 0.2 s. Measurements were performed at key temperature values of the temperature pattern used for the debinding-sintering process.

Sintered HA scaffold pieces obtained after the uniaxial compression test were hand-crushed using a mortar and pestle to produce a homogeneous powder. Powdered samples were examined by Fourier transform infrared spectroscopy (FTIR) analysis in a spectrometer FTIR iS50 using the KBr pellet preparation method to determine its chemical composition. Approximately 9 mg of sample powder are transferred to a clean mortar, then a weighed amount of dry KBr powder ( $300 \pm 5$  mg) is added and mixed gently to produce a homogenous mixture, followed by compression at 6000 kg for the sample disk preparation. FTIR spectra were recorded in the  $4000$ - $400$   $\text{cm}^{-1}$  wavenumber range with a step width of  $0,48$   $\text{cm}^{-1}$  for each sample disk.

#### 2.1.5 Analysis of macro-structural properties of HA scaffolds

The morphology of HA powders, scaffolds surface, and the cross-section were examined with a scanning electron microscope (SEM) LEO 435 VP (Leica®) equipped with a Ge detector (Imix-PC, PGT) and a metallization of the ceramic surface was applied with a thin film of silver employing a Scancoat Six sputter coater. HA scaffolds surface was polished in the X, Y plane to analyse the cross-section of the parts. Firstly, the samples were coarse, medium, and fine ground using a Minitech 263 and silicon carbide papers of different grit sizes to reach the region of interest (about 3 mm depth in the X, Y plane). Then, a DiaPro alcohol-based  $3\mu\text{m}$  high concentration diamond suspension from Struers® and a cloth paper were used in a Struers® Tegrapol 25 to obtain a better surface finishing. ImageJ was used for the processing of the images [33].

High-resolution X-ray micro-computed tomography (CT) analyses of the printed parts were performed in a Nanotom® 180 Phoenix - GE equipped with a 180 kV / 15 W high-power nanofocus X-ray tube ( $9\mu\text{m}$  voxel size, resolution). This analysis allowed us to have a 3D perspective of the scaffold and evaluate their total porosity (microstructural porosity of sintered HA and lattice porosity of the scaffold architecture). The data sets were reconstructed and three-dimensionally visualized using VGStudio MAX 4.4 software.

The geometrical density of the scaffolds was calculated from the mass and dimensions of at least ten samples. The theoretical density of HA ( $3.16$   $\text{g}\cdot\text{cm}^{-3}$ ) was used as a reference to calculate the total volume

fraction of porosity. The open porosity of the scaffolds was measured by Archimedes' method using distilled water as liquid.

The shrinkage of the scaffolds after the debinding-sintering process was studied by comparison of the scaffold's dimensions before and after the thermal treatment. Three measurements of the height at different rotation angles (every 60°) were used to calculate the height average and the z-axis shrinkage of each printed part. For the diameter average and the x, y axes shrinkage, nine measurements were carried out (three measurements at three different heights of the part: bottom, middle, top) also at different rotation angles (every 60°).

Physisorption analysis of powders was quantified in a Micromeritics® TriStar II Plus 3.00 equipment. Samples were previously degassed at 40°C overnight in a VacPrep™ 061. The data from BJH pore size distribution desorption was used to determine exactly the average pore volume and size. Nitrogen adsorption-desorption isotherm properties were used to calculate the specific surface area (BET) through the BET method. Only the measurement for the powder HA<sub>Initial</sub> was replicated three times (N = 3) to describe the measurement variation (mean ± standard deviation).

Mercury intrusion porosimetry was used to analyse the total connected porosity, volume of pores, and pore size distribution >50 nm (meso- and macropores) of the printed scaffolds. These analyses were performed in an AutoPore IV 9500. As for the physisorption analysis, only the measurement for the powder HA<sub>Initial</sub> was replicated three times (N = 3) to obtain the measurement variation (mean ± standard deviation).

### 2.1.6 Mechanical properties

The compressive strength of the sintered HA scaffolds with different orientations was measured in a universal mechanical testing press HOUNSFIELD H25KS (Tinius Olsen®, USA). A stainless-steel compression miniature load cell T22-252 U4000 with a range of 25kN was used to measure the shear force at a crosshead speed of 0.10 mm/min at room temperature. At least ten samples (N = 10) from the same batch were evaluated to calculate the mean values and the standard deviations (mean ± standard deviation). The procedure followed is described in the standard ISO-13175:2012. To measure the maximum compressive load taken by the specimens, the load given by the testing press in the direction shown in Figure 5, was increased till the complete failure of the specimens due to crack propagation.

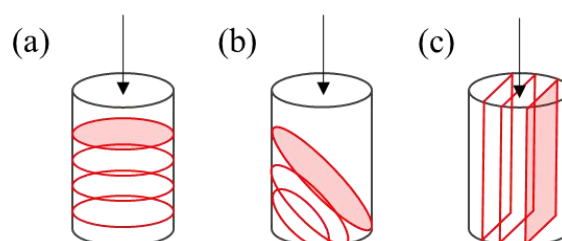


Figure 5. Direction of loading (black arrows) to printing orientation in compressive testing. (a) 0°, (b) 45°, and (c) 90°.

### 2.1.7 Dissolution rate and pH change

In vitro dissolution rate of the sintered scaffolds, Ca<sup>2+</sup> ion release, and pH change of the dissolution medium were evaluated following the procedure also described in the standard ISO-13175:2012. Three samples of HA scaffold (printed at orientation 0°) were introduced in three flasks of 0.05M TRIS buffer solution after nitric acid addition for pH adjustment at 7.3±0.1 at 37±1 °C. The three solution flasks were placed on a plate agitator with a rotation speed of 200rpm for 24 h, 48 h, and 72 h respectively. The ratio of initial material mass to total dissolution media volume was kept constant at 4.0 mg.ml<sup>-1</sup>. pH was measured after 0 h, 24 h, 48 h, and 72 h of immersion. Thus, ensuring that the initial pH value did not vary more than 0.3 during the testing. The Ca<sup>2+</sup> ion content of the solutions was analysed by ICP/AES in an inductively coupled plasma atomic emission spectrometry (ICP/AES, Ultima2R HORIBA®). The concentrations versus time curves were determined. The test was repeated three times (N = 3) to calculate the mean values and the standard deviations (mean ± standard deviation).

### 2.1.8 Thermal analysis

Thermogravimetric (TGA) and differential scanning calorimetry (DSC) analyses were performed to study and confirm the mass loss evolution of the products and the energy transferred to or from the sample during the debinding-sintering process. It was performed by introducing a small portion (0.02 g) of the ceramic green body in a Setsys Evolution TG92 (Setaram®) and applying a heating ramp of 10°C/min until 1250°C in air.

## 3. Results and discussion

### 3.1 HA-filled suspension preparation

This section involves the analysis of the three different HA powders (HA<sub>Initial</sub>, HA<sub>PBM</sub>, HA<sub>SBM</sub>) properties and the evaluation of their influence on the final HA-filled suspension stability and rheological behaviour. Only the HA-filled suspension showing the best attributes for its application in an MSLA printer will be finally used for the preparation of the HA scaffolds.

#### 3.1.1 HA powders properties comparison

Particle size distribution (PSD) and scanning electron microscopy (SEM) micrographs of each of the three HA powders (HA<sub>Initial</sub>, HA<sub>PBM</sub>, HA<sub>SBM</sub>) used for the preparation of the photocurable organic suspensions in the present study are shown in Figure 6. Clear differences in the particle median sizes and spans can be observed between the three powders. HA<sub>Initial</sub> is composed of mainly one population composed of agglomerates produced by the calcination of the powder with an x<sub>50</sub> of 25 µm.

The use of specific milling conditions during the SBM process (wet grinding) of this powder causes the particle size reduction giving as result the  $HA_{SBM}$  powder, as was already described in chapter III. In suspension and absence of dispersant, it is formed by two particles populations, one with submicronic size ( $0.7 \mu\text{m}$ ) and another one close to  $2.5 \mu\text{m}$ .

The application of a lower energetic milling process as PBM produces a less intense particle size reduction of the  $HA_{Initial}$  powder [34]. As result, the PSD of the  $HA_{PBM}$  powder becomes more disperse with two particles populations, one of  $20 \mu\text{m}$  and another one of  $2.5 \mu\text{m}$ . Regarding the particles form, all three powders show irregular shapes with no noticeable variations between them.

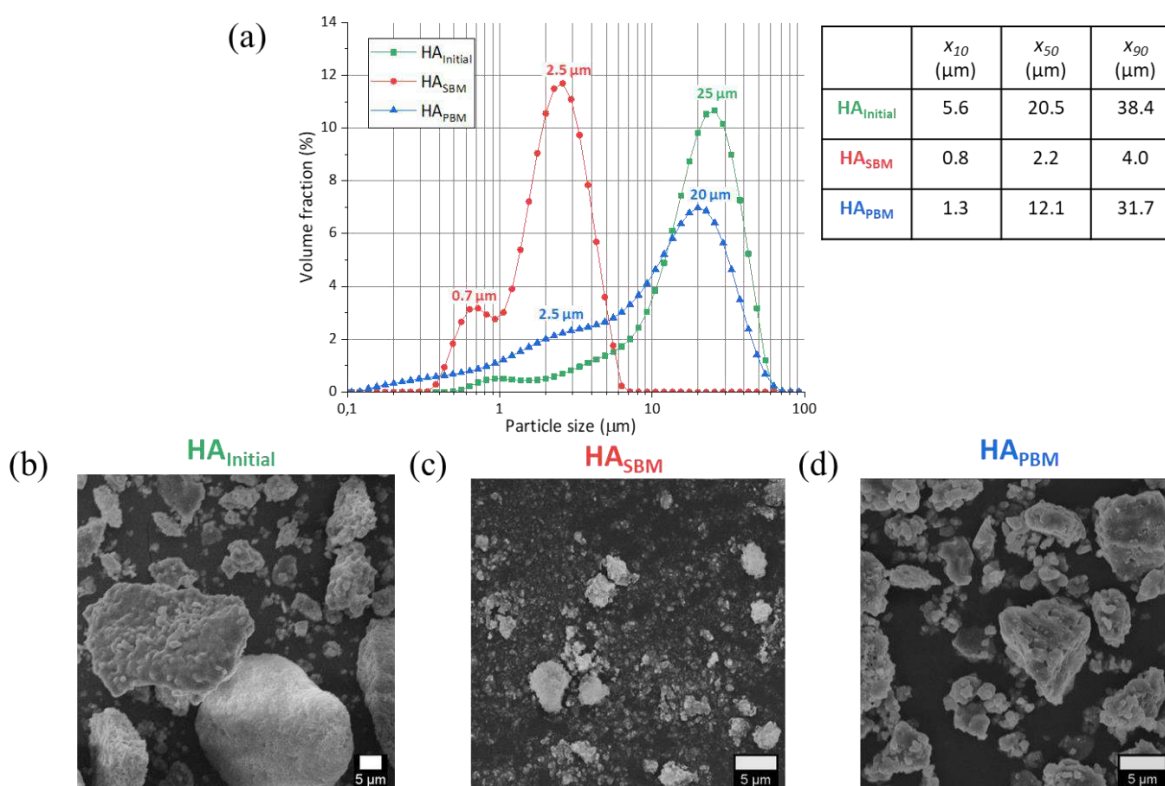


Figure 6. (a) PSD and SEM micrographs of HA powders used in the study: (b)  $HA_{Initial}$ , (c)  $HA_{SBM}$ , (d)  $HA_{PBM}$ .

It was observed that the surface area of the initial HA powder ( $6.9 \text{ m}^2 \cdot \text{g}^{-1}$ ) is increased after undergoing SBM process ( $23.8 \text{ m}^2 \cdot \text{g}^{-1}$ ) while after PBM process the surface area diminished ( $4.6 \text{ m}^2 \cdot \text{g}^{-1}$ ). Logically, an increase in the surface area of the powder would be expected after both milling processes because of a reduction of particle size [35]. However, the obtained values show the contrary in the case of the PBM process. This phenomenon was already observed by Granados-Correa et al. [34] in their study on the ball milling effect on tribasic calcium phosphate powders. The explanation offered by Granados-Correa et al. referred to the fact that apart from the calcium phosphate grains breakage and size diminution, the ball mill strikes induced compression of the resulting particles reducing their pore volume. It results then in a

decrease in the total surface area of the powder. This theory gains reliability after the analyses of the total pore volume of powders. The values obtained were 0.017, 0.015, and 0.112 cm<sup>3</sup>.g<sup>-1</sup> for HA<sub>Initial</sub>, HA<sub>PBM</sub>, and HA<sub>SBM</sub> respectively, confirming the unforeseen decrease of the specific surface area of the PBM treated powder.

### 3.1.2 Suspension's stability and rheology behaviour comparison

Before start preparing the HA-filled suspensions the effect of the addition of a 25% vol. of PEG200 (maximum concentration suitable without compromising the reactivity of the resin) on the rheological behaviour of the commercial organic resin base was evaluated. Figure 7a shows the comparison between the flow curves obtained for the Dentifix<sup>®</sup> resin base before and after the addition of a 25% vol. of PEG200 compound acting as a reactive diluent. Both samples showed a shear-thinning character with a decrease in ramp average viscosity from ~0.076 Pa.s to ~0.065 Pa.s after the addition of the active diluent PEG200. This proportion resin/diluent was used for the preparation of all HA-filled slurries.

The flow curves obtained for suspensions containing a 20% vol. of different HA powders (HA<sub>Initial</sub>, HA<sub>PBM</sub>, HA<sub>SBM</sub>) with different median particle sizes is shown in Figure 7b. The comparison of the three viscosity-shear rate curves shows that the suspension with the highest viscosity was obtained when using the HA<sub>SBM</sub> powder. The effect of the SBM process on the slurry's rheological behaviour was already studied in chapter III. It was already explained that for similar sample volume and solid concentration, smaller particle size means a higher number of particles present in the suspension, which can show Brownian motion acting against an applied shear [36,37]. Since this suspension containing HA<sub>SBM</sub> showed viscosity values at a low shear rate over the maximum viscosity limit for its utilization in stereolithography (5 Pa.s), it was discarded as feedstock for the printing process. When comparing the viscosity-shear rate curves obtained by HA<sub>Initial</sub> and HA<sub>PBM</sub> suspensions no big differences were observed, which could be due to the less significant variation between their PSDs.

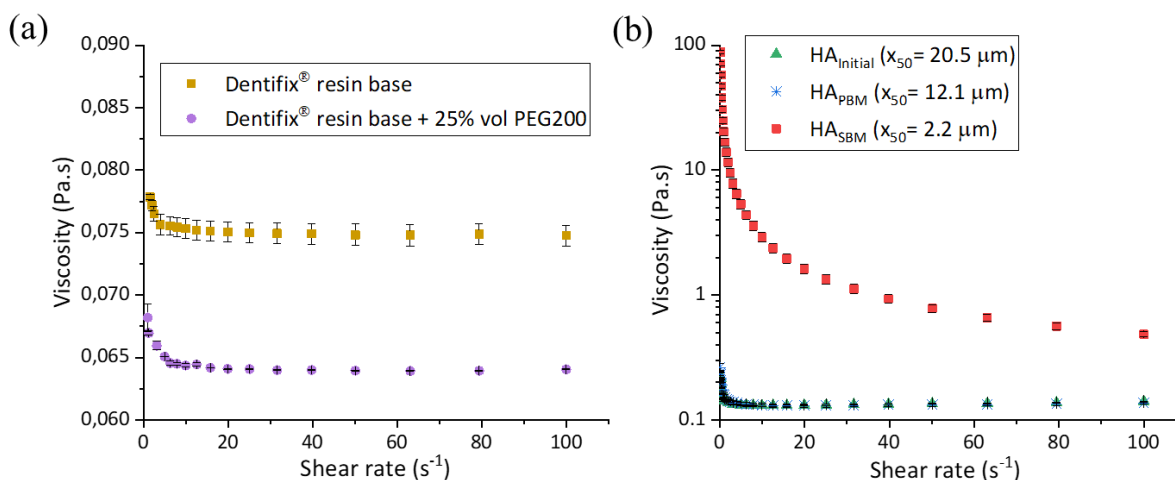


Figure 7. (a) The flow data for Dentiflex<sup>®</sup> resin base with and without the addition of 25% vol. of PEG200 as diluent. (b) The flow data for photocurable suspensions filled a 20% vol. with different HA powders (HA<sub>Initial</sub>, HA<sub>PBM</sub>, HA<sub>SBM</sub>) with different median particle sizes (HA<sub>Initial</sub> and HA<sub>PBM</sub> show very close viscosities).

VI

A comparison between the stabilities of the three types of HA-filled suspensions was carried out.

Figure 8 shows the BS profiles of the HA-filled suspensions for three hours. The suspensions prepared with HA<sub>SBM</sub> showed the highest stability since it showed almost no alteration of the BS profile during the whole time of analysis. The slurries containing HA<sub>Initial</sub> and HA<sub>PBM</sub> showed both particles sedimentation (BS increases at the bottom of the tube) and clarification (BS decreases at the top) phenomena, however, it was less pronounced in the second case. The differences between the stabilities can be due to different factors that can affect the rate of sedimentation of the prepared suspensions, such as the particle size and the viscosity of the suspensions. It is known that the smaller the particle size and higher the viscosity of a suspension, the slower will be the sedimentation rate of the particles [38]. Which, could explain the results obtained.

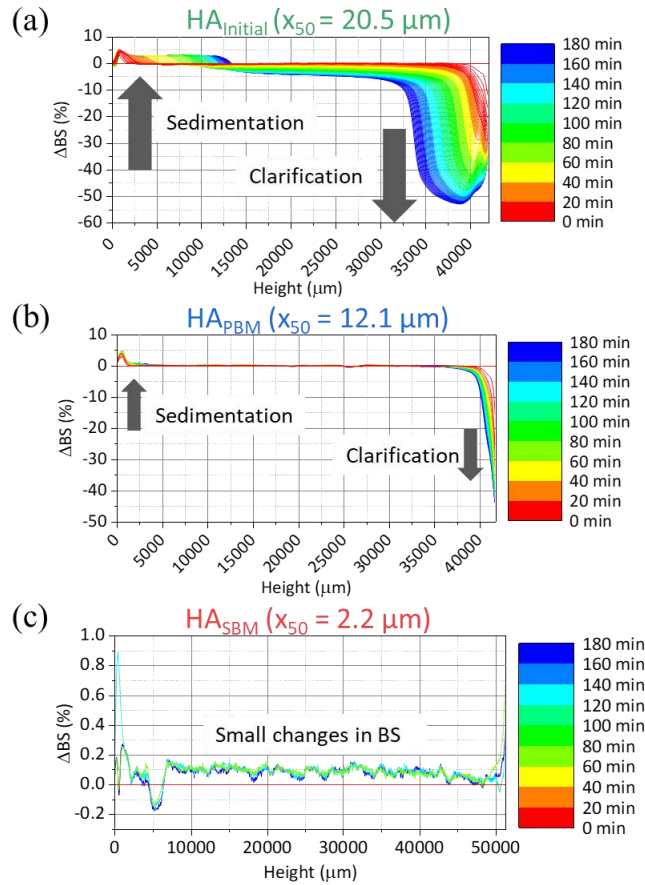


Figure 8. Backscattering profiles of HA-filled suspensions prepared with different HA powders. (a)  $HA_{Initial}$ , (b)  $HA_{PBM}$ , (c)  $HA_{SBM}$  ( $T = 25 \text{ }^\circ C$ ).

As mentioned in the introduction, the overall stability of slurries described as a TSI value lower than 2 was pursued for the duration of the HA parts fabrication. Figure 9 shows the evolution of the TSI values obtained for the HA-filled slurries as a function of time.

The suspension containing the  $HA_{Initial}$  powder showed the worst stability ( $TSI \cong 2.75$ ) after three hours of the three suspensions. The highest stability was obtained by the slurry with  $HA_{SBM}$  powder constituted by the smallest particles, whose TSI value remained almost unaltered for the whole duration of the analysis.  $HA_{PBM}$  suspension showed intermedium stability with a TSI value close to 1.48 after three hours.

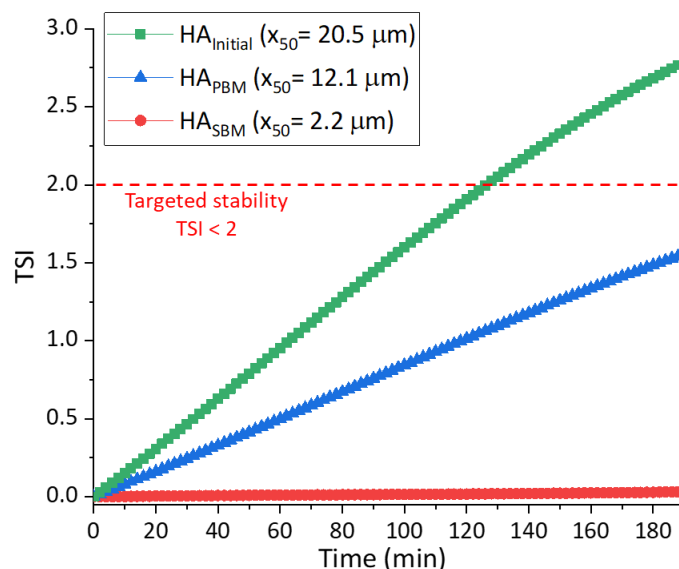


Figure 9. TSI values of HA-filled suspensions as a function of time ( $T = 25\text{ }^{\circ}\text{C}$ ).

The previous stability and rheological behaviour analyses allowed us to compare the properties of the suspensions prepared with different HA powders. The order for the stabilities observed for the three kinds of slurries was  $\text{HA}_{\text{SBM}} \gg \text{HA}_{\text{PBM}} > \text{HA}_{\text{Initial}}$  with a clear difference between the powder of finer particle size ( $\text{HA}_{\text{SBM}}$ ) to the others two. However, the study of the rheology of the suspensions showed the following viscosity order for the slurries  $\text{HA}_{\text{SBM}} \gg \text{HA}_{\text{PBM}} \cong \text{HA}_{\text{Initial}}$ . The high viscosity showed by the suspension prepared with  $\text{HA}_{\text{SBM}}$  (low shear rate viscosity  $> 5\text{ Pa}\cdot\text{s}$ ) hindered the homogeneous spread of the suspension to be employed as feedstock in the MSLA printer. Indeed, the apparatus employs the vertical movement of the printing stage to refill with suspension the space between the stage and the LCD screen. The poor flow of this suspension makes impossible this indispensable refilling step. For this reason, the use of this powder as filler was discarded for the production of photocurable HA-filled suspension feedstock. The suspension showing the most favourable results on suspension rheology (low shear viscosity  $< 5\text{ Pa}\cdot\text{s}$ ) and stability ( $\text{TSI} < 1.5$ ) was the prepared with the powder  $\text{HA}_{\text{PBM}}$ . For this reason, only this powder was considered for the preparation of the highly-loaded photocurable HA-filled resins that will be used as feedstock for the production of HA scaffolds via the MSLA process.

The powder concentration is known to be an important factor influencing the degree of shrinkage during the posterior debinding process. The higher the solid loading the lower the shrinkage, but a too high solid loading makes difficult the debinding process due to the impeding of the gases diffusion [24]. It is then of special interest to know the maximum concentration reachable without affecting negatively the handling and processability of the suspension as well as the debinding process. To be sure that the properties for the suspension are still valid for its utilisation at higher concentration, a study of the influence of the solid volume concentration of  $\text{HA}_{\text{PBM}}$  powder in the slurry properties was carried out.

Figure 10a shows the comparison between viscosity-shear rate curves for different HA-filled suspensions containing HA<sub>PBM</sub> concentrations ranging 20-50 vol. %. A shorter flow ramp was produced for the sample at 50 vol. % due to geometry limitations measuring high concentrated suspensions at high shear rates. However, this ramp was enough for the comparison of the rheological behaviour between suspensions. Even if an increase in the suspension viscosity is visible when a higher solid volume concentration (50 vol. %) is used for the preparation of the slurries (~ 2 Pa.s at a shear rate of 1 s<sup>-1</sup>), it did not exceed the settled maximum limit of 5 Pa.s.

Regarding the suspension behaviour at different solid volume concentrations, at a concentration of 40%, we observed a transition from shear-thinning to a shear-thickening character. The behaviour of suspension changes at different volume fractions [39]. At higher concentrations, an increase of the shear rate may lead to the formation of particles clusters and jamming showing a shear thickening behaviour. The transition from shear-thinning to shear-thickening is sample-specific and is known to be controlled by factors such as particle shape and particle size [40].

Collisions between particles present in a suspension are expected. Thus, they act as obstacles, and their friction demands further shear force. The higher is the solid volume fraction, the more significant the ongoing particle-particle interactions increasing the force needed to shear the suspension. This is defined as the 'crowding' effect which has been described by many semi-empirical models, however, the most popular equation was the one developed by Krieger and Dougherty (K-D) [41]:

$$\eta_r = \frac{\eta}{\eta_{medium}} = \left(1 - \frac{\varphi}{\varphi_m}\right)^{-[\eta]\varphi_m} \quad (4)$$

Where  $\eta_r$  is the relative viscosity between  $\eta$  the viscosity of the suspension and  $\eta_{medium}$  the viscosity of the medium.  $\varphi$  is the volume fraction of solids in the suspension,  $\varphi_m$  is the maximum volume fraction of solids in the suspension (0.64 for spheres of similar size), and  $[\eta]$  is the intrinsic viscosity (2.5 for spheres). Different authors have used a modified version of the K-D equation which includes the effective packing factor of ceramic powder,  $\beta$ , which can be determined experimentally achieving a better fitting for ceramic suspensions [42,43]. This modified version is written as follows:

$$\eta_r = \left(1 - \frac{\beta\varphi}{\varphi_m}\right)^{-[\eta]\varphi_m} \quad (5)$$

Since this analysis for correlation was out of the scope of the chapter no further effort was employed on the determination of the effective packing factor of the specific suspensions under study. Instead, to perform a preliminary evaluation of the fits a value of  $\beta = 1.58$  was used. This value was previously determined by Chu and Halloran [43] for HA-filled non-aqueous ceramic suspensions. A maximum volume fraction of solids in the suspension,  $\varphi_m = 0.51$  was considered for the calculation. The fitting for both equations (K-D and modified K-D) to the obtained experimental values is shown in Figure 10b. It can be observed that, still, a deeper study could be performed to obtain a better fitting between calculated and experimental values. However, between both K-D equations, the modified version showed a smaller residual sum of squares (313.78) indicating a better fit to our data.

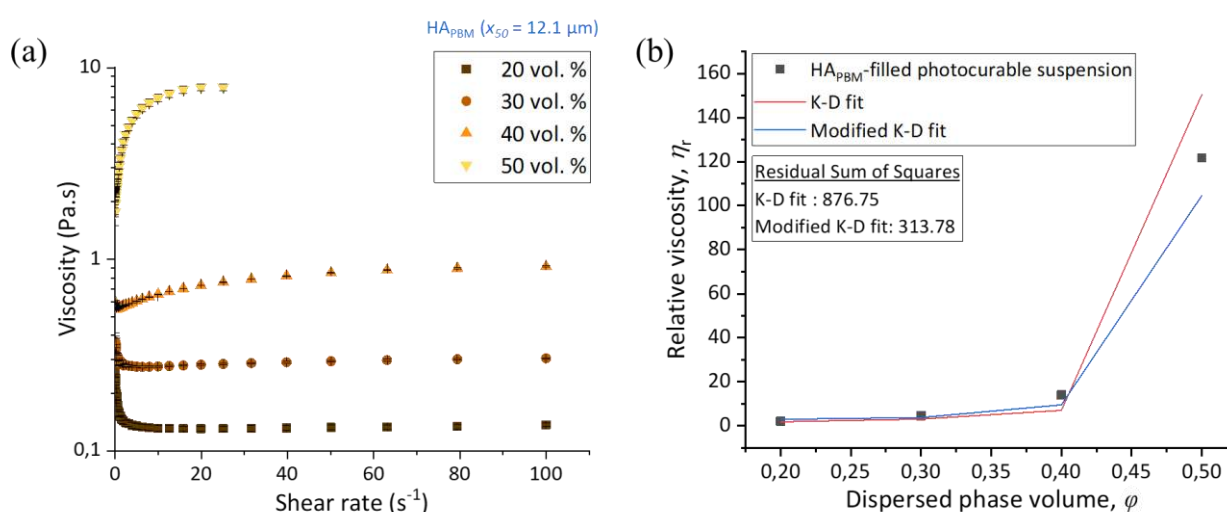


Figure 10. (a) The viscosity-shear rate curves obtained for several HA-filled suspensions containing different HA<sub>PBM</sub> concentrations. (b) Krieger-Dougherty (red line) and modified Krieger-Dougherty (blue line) fits for relative viscosities in HA<sub>PBM</sub>-filled suspensions.

The study of the stability of the suspension containing HA<sub>PBM</sub> powder at 50 vol. % is shown in Figure 11. The phenomena occurring during the duration of the test in the suspension at 50 vol. % was examined by analysing its BS profile shown in Figure 11a. A sedimentation behaviour was observed for this suspension although less pronounced than the one observed for the suspension at a concentration of 20 vol. % already seen in Figure 8c. Figure 11a compares the TSI values of the HA<sub>PBM</sub> suspensions at 20 and 50 vol. % concentrations. A lower TSI value (0.85) was observed for the slurry with a higher concentration after 180 minutes. It indicates higher stability compared with the slurry at a lower concentration, which shows a higher TSI (1.48) for the same duration. It took about 5h for the suspension at 20 vol. % to exceed the fixed limit of destabilization (TSI = 2), while the suspension at 50 vol. % needed almost 10h to reach this value. This confirms the principle for high concentrated suspensions showing a slow sedimentation rate [38]. The influence of sediment particle concentration on the settling velocity has been amply investigated

[44,45]. It has been demonstrated that the settling velocity is lower at a higher concentration by a factor usually given by the widely used semiempirical equation offered by Richardson and Zaki [46]:

$$\frac{w_m}{w} = (1 - \varphi)^n \quad (6)$$

Where  $w$  ( $m.s^{-1}$ ) is the terminal settling velocity of an individual particle in a fluid;  $\varphi$  is the sediment volumetric concentration (-); and  $w_m$  ( $m.s^{-1}$ ) is the settling velocity of sediment particles dispersed at the volumetric concentration  $\varphi$ .  $n$  is an empirically determined exponent dependent on the particle Reynolds number  $R$ . Researchers have made an effort determining  $n$ -values to increase the accuracy of the prediction associated with equation (5) [45].

The terminal velocity of an individual particle of HA was calculated by stokes' law ( $w = 1.04 \cdot 10^{-2} m.s^{-1}$ ). Then, following the equation (6), the terminal settling velocities for the HA<sub>PBM</sub> particles dispersed in the photocurable resin (including diluent) at different concentrations ( $w_m$ ) were obtained. The ratios between both velocities ( $w/w_m$ ) at different volume concentrations are shown in Table 2. For the calculation, a laminar regime ( $R < 0.2$ ) was considered with an  $n$ -value of 4.65. It can be observed how, for example, the settling velocity of the particles is delayed by  $2,51 \cdot 10^1$  with a concentration of 50 vol. %. Then, the decrease in settling velocity with the increase in concentration was demonstrated.

Table 2. Settling velocities of particles dispersed at different volume concentrations.  $n=4.65$ ,  $w = 1.04 \cdot 10^{-2} m.s^{-1}$  (obtained by stokes' law)

Volume concentration (%)	$w/w_m$
20	2.82
30	5,25
40	$1,08 \cdot 10^1$
50	$2,51 \cdot 10^1$

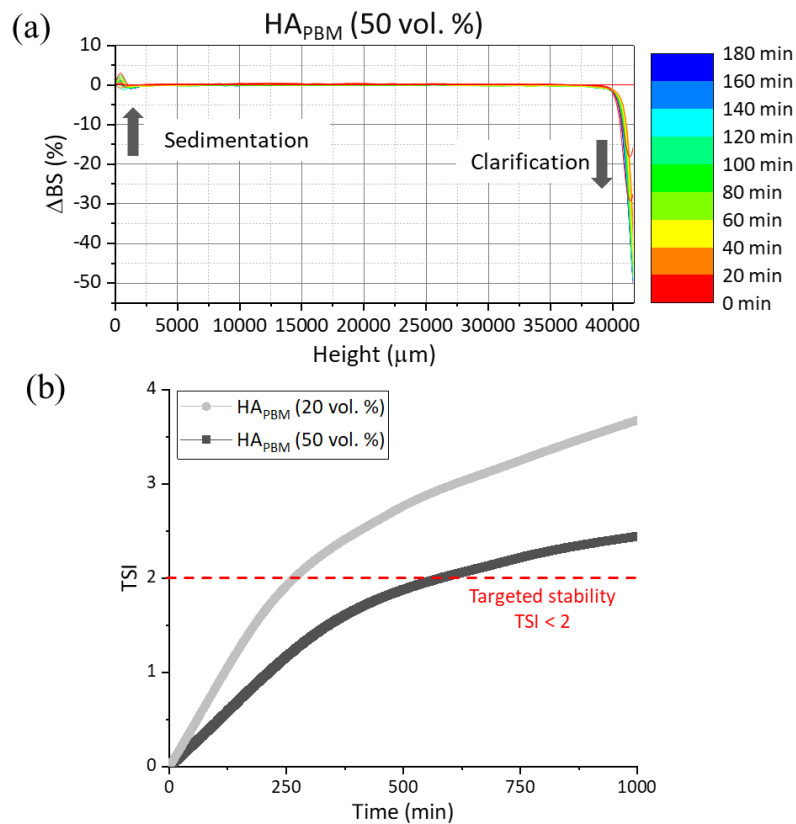


Figure 11. (a) Backscattering profile of HA<sub>PBM</sub> suspension at 50% vol. ( $T = 25\text{ }^{\circ}\text{C}$ ). (b) TSI values of HA<sub>PBM</sub> filled suspensions at different concentrations as a function of time ( $T = 25\text{ }^{\circ}\text{C}$ ).

We concluded this study on the tailoring of HA powders and their influence on the suspension's properties (target properties: viscosity  $< 5\text{ Pa}\cdot\text{s}$  at a shear rate  $1\text{ s}^{-1}$  and  $\text{TSI} < 2$  for the duration of the process) by choosing the HA<sub>PBM</sub>-loaded suspension at 50 vol. % for its use in the MSLA process.

### 3.2 Printing of HA scaffolds

The suspension containing a 50% vol. of HA<sub>PBM</sub> was employed for the production of the HA scaffolds following the MSLA printing and debinding-sintering procedures already described. This section will be then focused on the characterization of the parts produced. The composition of the parts will be analysed to discard any alteration of the HA phases during the process. The morphology of the parts will be evaluated through the comparison with the 3D model to analyse the possible shrinkage due to the debinding-sintering process. The bioactivity will be examined through the standard analysis of the dissolution rate of the part. Then the mechanical properties of the parts will be assessed to evaluate the anisotropy behaviour caused due to change in build orientation.

### 3.2.1 Composition

To confirm the crystalline phases of the sintered scaffolds XRD analyses were carried out. The analyses were performed at different temperatures reproducing the debinding-sintering temperature pattern to study the evolution of the phase. Figure 12 shows the in-situ XRD diffractogram of the HA scaffold obtained at different temperatures under air atmosphere. The absence of secondary crystallized calcium phosphate phases was confirmed and only the stoichiometric hydroxyapatite phase (JCPDS 00-009-0432) was observed before, during, and after the debinding-sintering process [47]. This result confirms the thermal stability reported for HA in literature [48,49], indicating stability up to 1350 °C when it starts to decompose into other calcium phosphate phases such as tricalcium phosphate, and tetracalcium phosphate that could affect negatively the properties of the printed parts (different dissolution rates in physiological conditions, uneven grain growth...) [50]. It is known that the densification of HA reaches a saturation limit between ~1100–1300 °C with closed porosity [50].

Since the hydroxyapatite used was initially well crystallized, non-alteration of its crystallinity was observed. However, a decrease in the spectra intensity or resolution can be noticed after reaching 1250°C. It was attributed to the loss of signal due to the movement in the z-axis of the sample during the in-situ measurement caused for the shrinkage of the part due to the pyrolysis of the resin and sintering.

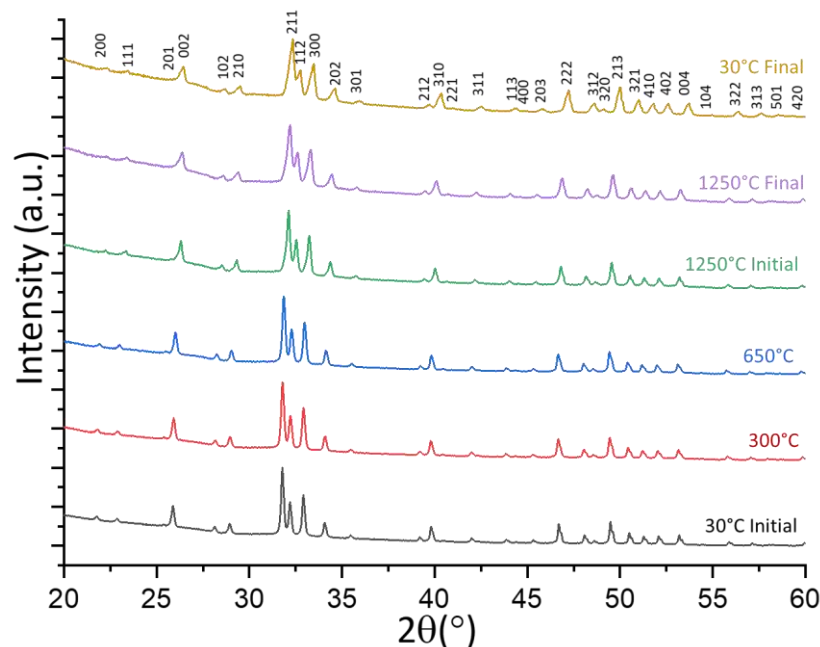


Figure 12. In-situ XRD diffractograms of the HA scaffolds during the debinding-sintering process at different temperatures. All the diffraction peaks correspond to stoichiometric hydroxyapatite (JCPDS 00-009-0432).

Characterization of the cured resin with HA powder was performed by differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA) to better understand the thermal treatments, especially the critical process of debinding. This characterization gives information on weight loss and

involved energy during the debinding and sintering processes. The purpose of this study is to analyse the thermal degradation of the cured resin in the HA scaffold green body under air atmosphere. The TGA and DSC results for cured green body HA scaffold, optimized at 25 wt. % (base resin + PEG200 diluent) and 75 wt. % HA<sub>PBM</sub> powder is shown in Figure 13. The curve represents the weight loss and the energy involved during the thermal treatment, at a constant heating rate of 10°C.min<sup>-1</sup> from room temperature until 1250°C in air atmosphere.

Three main weight loss stages can be distinguished related to the formation of volatiles, which diffuse from the interior of the part to the outside. These events represent the most critical temperature ranges during the debinding process since they indicate the escape of the gases generated and the probability of cracking of the green body.

- The first stage finishes at 240°C with a 3 wt. % of weight loss indicated by an exothermic peak, which was related to the loss of physically adsorbed water. At the same time, some organic matter such as acrylate resin or PEG200 experience soften, melt, and crack [25].
- The second stage is observed from 240°C to 498°C corresponding to the highest rate of weight loss, removing 22 wt. % of the material. This was related to the thermal decomposition of the photocurable resin, including carbon-containing compounds [51]. Some organic components were burned and carbonized step by step.
- The third stage was defined as the thermal oxidation of the carbonized residue which does not substantially consume heat and has a minor influence on the TGA analysis [25]. When the temperature reached 1200°C, the mass curve tended to be stable.

Two exothermic events were detected, associated with the three weight-loss events. Thus, indicating that the thermal degradation of the resin used for the suspensions releases energy during the thermal process. Indeed, the most intense exothermic peak is related to the weight loss event corresponding to the degradation of the carbon-containing compounds during the polymer degradation. On the whole, total organic removal is observed with a weight loss of ~25 wt. %. It is important to point out that the heating rates used during the debinding-sintering process of the scaffolds are between 10 and 5 times lower than the used for the TGA and DSC analyses. It is expected to produce deeper pyrolysis of the polymeric phase and at the same time control the diffusion of gases avoiding the formation of cracks. The results obtained for the thermal analyses are in correlation with the observed in literature for similar resin photocurable thermal degradation studies [21,25,51].

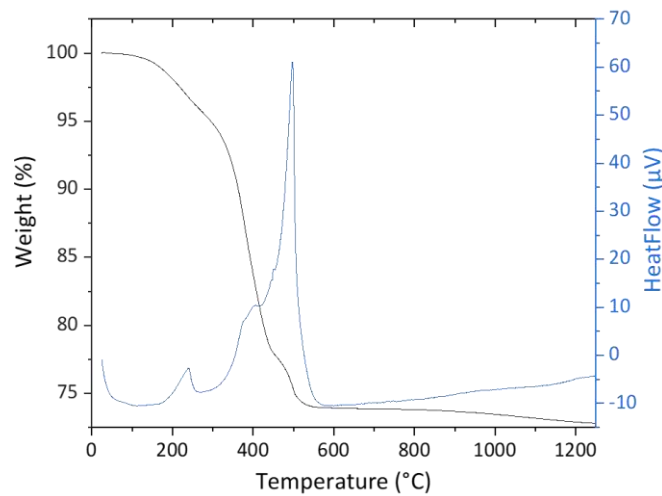


Figure 13. Differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA) of HA green body scaffold.

A FTIR analysis was performed to confirm the compounds present in the parts after the whole production process. For comparison, the powder ( $\text{HA}_{\text{PBM}}$ ) used as filler during the preparation of the slurry was also analysed. Figure 14 shows the FTIR spectra of the starting powder and the HA scaffold produced. Small variations can be noticed between them. In both spectra the  $\text{PO}_4^{3-}$  and structural  $\text{OH}^-$  vibration bands corresponding to HA are clearly visible at  $1092\text{ cm}^{-1}$ ,  $1040\text{ cm}^{-1}$ ,  $962\text{ cm}^{-1}$ ,  $601\text{ cm}^{-1}$ ,  $575\text{ cm}^{-1}$ ,  $561\text{ cm}^{-1}$ , and at  $650\text{ cm}^{-1}$ ,  $3600\text{ cm}^{-1}$  [47]. No secondary species were detected before or after the whole HA scaffold production process.

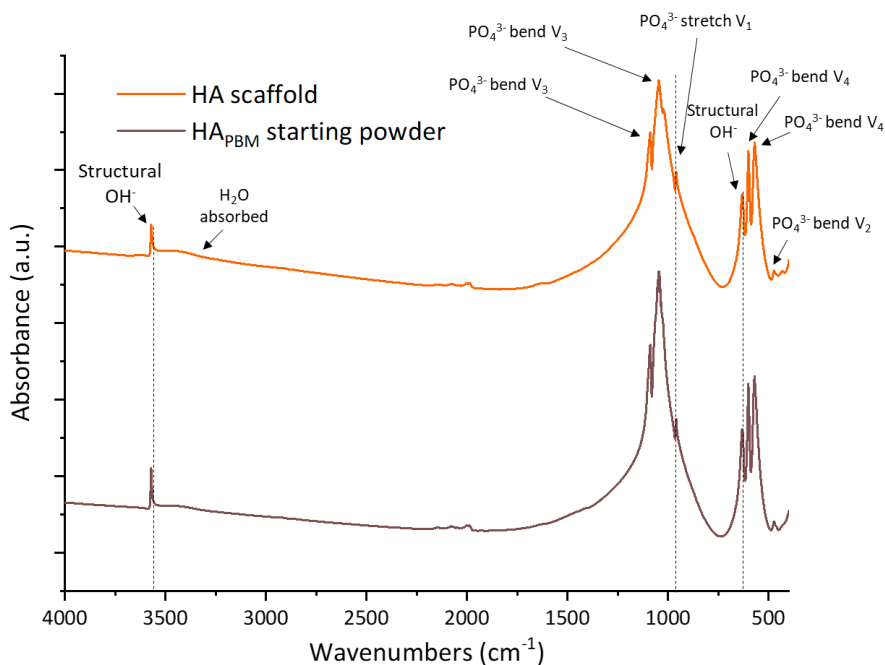


Figure 14. FTIR spectrum analysis of the HA before and after the SD process.

### 3.2.2 Macro-structural properties and physical changes of sintered HA scaffolds

HA bioceramic scaffolds were examined to evaluate their macro-structural properties (surface finishing, porosity, and density) and physical changes (shrinkage) after the debinding-sintering process. Figure 15 shows the photographs of the green body and sintered HA scaffolds produced by the MSLA process. Figure 15a shows the differences in dimension between the green body scaffold and its homologous after the debinding-sintering process due to the pyrolysis-induced shrinking. However, no fracture or crack was detected after the debinding-sintering process. Figure 15b shows differences between the dimensions of the scaffolds printed at different orientation angles (shrinkage values can be observed in Table 3). The differences in dimension were only observed once the parts were submitted to the debinding-sintering process. It indicates a physical anisotropic effect produced by the shrinkage which depends on the orientation in which the layers forming the part was printed. This kind of anisotropic physical change has been reported in previous studies on the 3D printing of ceramic parts [21,52].

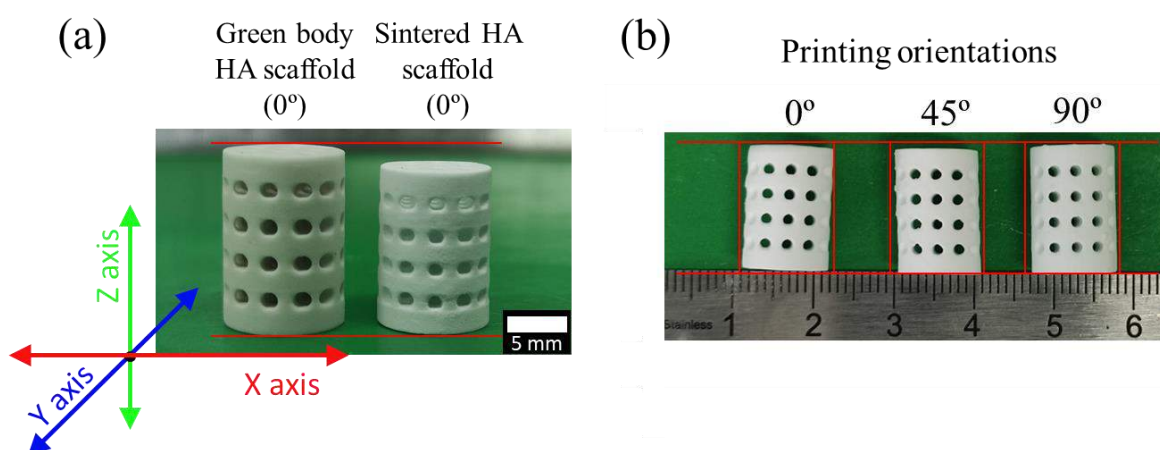


Figure 15. Visual examination of HA parts: (a) comparison between green body and sintered HA scaffolds (including axes arrows), (b) comparison between sintered HA scaffolds printed at three different orientations ( $0^\circ$ ,  $45^\circ$ , and  $90^\circ$ ).

Table 3 shows the general information related to the macro-structural properties of the produced HA scaffolds. The calculated average pyrolysis-induced shrinkage shows that there is a different effect depending on the axis. For parts printed at the same orientation, a higher distortion effect was measured for the axes perpendicular to the printing direction (e.g., z-axis for parts printed at  $0^\circ$  or x-y plane).

No big differences in the total porosity were observed between the three orientations. A higher porosity was obtained when using the Hg intrusion porosimetry method, this could indicate that the resolution of the CT analysis ( $9\ \mu\text{m}$ ) was not enough to take into account the microporosity. The total porosity of the scaffolds is close to the common cancellous bone porosity of 50-90% [53].

Almost similar relative densities were obtained for the three kinds of scaffolds ( $2.84\ \text{g}\cdot\text{cm}^{-3}$ ) demonstrating a good capability of the MSLA technique in the reproduction of HA scaffolds with an

accurate controlled and interconnected macroporosity. The obtained density is lower than the hydroxyapatite density ( $3.16 \text{ g.cm}^{-3}$ ), thus corresponding to the expected values for a porous scaffold.

Table 3. Average shrinkage in x-y and z-axis of parts after the debinding-sintering process for each of the three printing orientations. Average porosity and relative densities of sintered HA scaffolds determined by different methods. (N=10, mean  $\pm$  standard deviation)

Printing orientation (°)	Average shrinkage x-y axes (%)	Average shrinkage z-axis (%)	Total porosity (CT) (% vol.)	Total porosity (Hg) (% vol.)	Relative density (Archimedes) ( $\text{g.cm}^{-3}$ )	Relative density (Geometrical) ( $\text{g.cm}^{-3}$ )
0	5.33 $\pm$ 0.07	9.72 $\pm$ 0.07	31.4	35.8	2.84 $\pm$ 0.04	2.31 $\pm$ 0.13
45	6.20 $\pm$ 0.02	7.13 $\pm$ 0.05	30.6	35.5	2.84 $\pm$ 0.01	2.31 $\pm$ 0.66
90	7.72 $\pm$ 0.03	5.09 $\pm$ 0.04	32.7	35.6	2.85 $\pm$ 0.02	2.25 $\pm$ 0.52

The SEM micrographs of the HA scaffolds surface printed at three different orientations are shown in Figure 16. SEM micrographs were taken at a similar X-Z plane (front view) of the parts for comparison. The macroporosity of the scaffolds is composed of an interconnected porosity with a pore size of about 1000  $\mu\text{m}$ . This result fulfills the objective of producing scaffolds with controlled macroporosity (pore size  $> 400 \mu\text{m}$ ) which could promote the attachment, growth, and proliferation of bone cells inside of the scaffold enhancing the new bone formation and the formation of capillaries. This macro-porosity should then provide transport pathways for oxygen, wastes, and nutrients necessary to maintain living cells [54,55]. Different surface finishings are observed in this plane depending on the printing orientation with a clear view of the layers for the scaffolds printed at  $0^\circ$  and  $45^\circ$ . However, no significant pores in the microstructures can be observed due to any delamination or imperfect bonding between layers. This indicates a good sinterability of the HA powder.

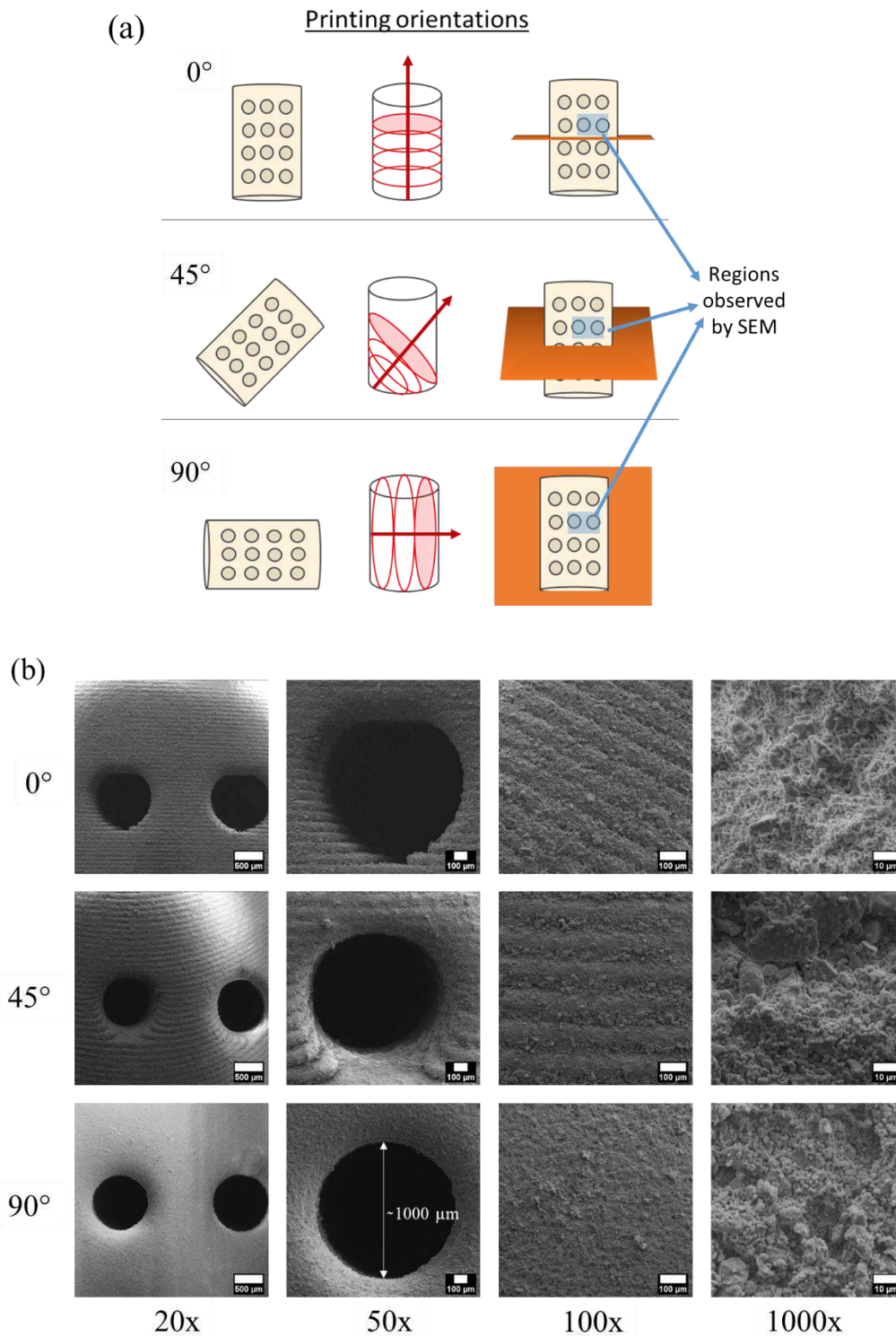


Figure 16. (a) Three different schematic representations of the three printing orientations employed. (b) SEM micrographs at different magnifications of the HA scaffolds (X, Z plane) printed at three different orientations.

Additionally, to analyse the internal microstructure of the HA scaffolds the cross-section at the X-Y plane (top view) was observed by SEM. These micrographs are shown in Figure 17. From a general view, no induced anisotropy was identified due to the printing process on the three types of scaffolds. The three samples presented micropores of about 10-15  $\mu\text{m}$  of diameter corresponding to the microstructural porosity of the sintered HA. This porosity could allow the migration and proliferation of osteoblasts (15-50  $\mu\text{m}$ ), and especially stem cells (5-12  $\mu\text{m}$ ). The HA crystal sizes correspond with the particle size of the HA<sub>PBM</sub> powder used for the preparation of the scaffolds. A dispersed distribution of HA grains was observed with sizes from 2.5  $\mu\text{m}$  to 20  $\mu\text{m}$  of diameter.

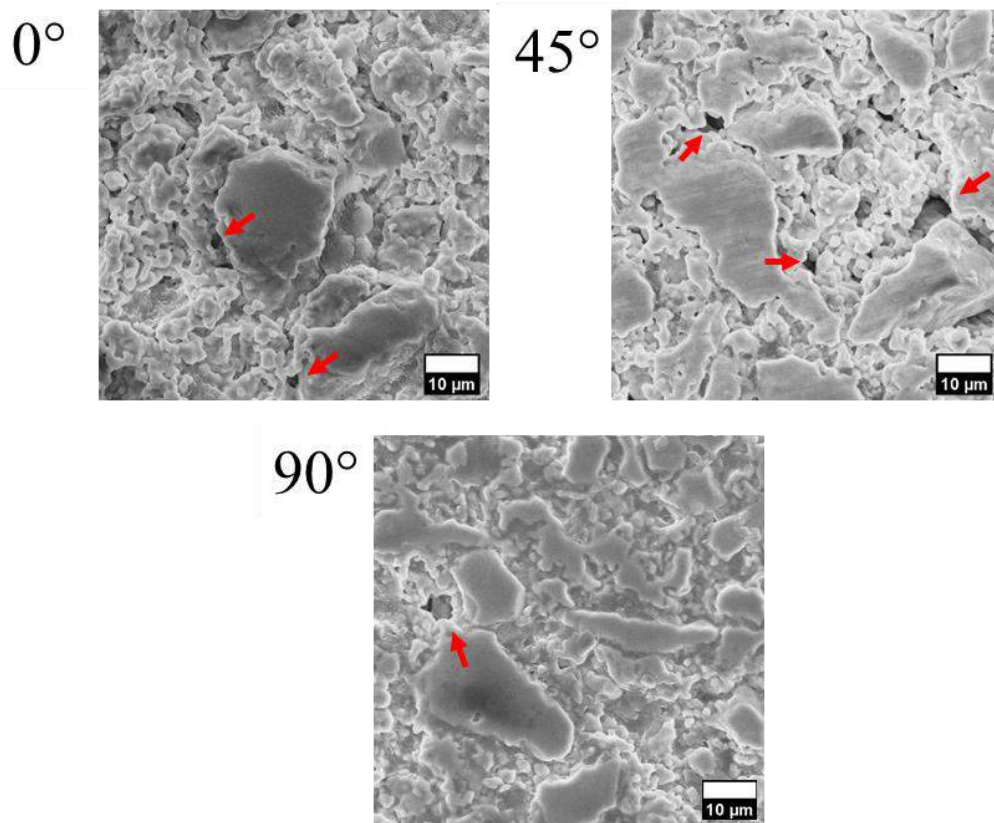


Figure 17. Cross-section of parts of the HA scaffolds (X, Y plane) printed at three different orientation degrees. Micropores are remarked by red arrows.

Three-dimensional reconstructions of the sintered scaffolds using X-ray micro-computed tomography are also illustrated in Figure 18. A defect volume scale was added for a better comparison of the pore volume. The three samples showed accurate controlled and interconnected macroporosity with a total volume in the range of 300-350  $\text{mm}^3$ . The cross-section views of HA scaffolds in X-Y and Y-Z planes did not show any kind of anisotropy induced by the MSLA process. This homogeneity observed for the whole volume of the parts indicates suitability for the processing of suspensions by MSLA technique on the production of HA scaffolds with controlled macroporosity. Additional CT results showing the internally interconnected porosity of the scaffold printed at 0° can be found in Figure S1.

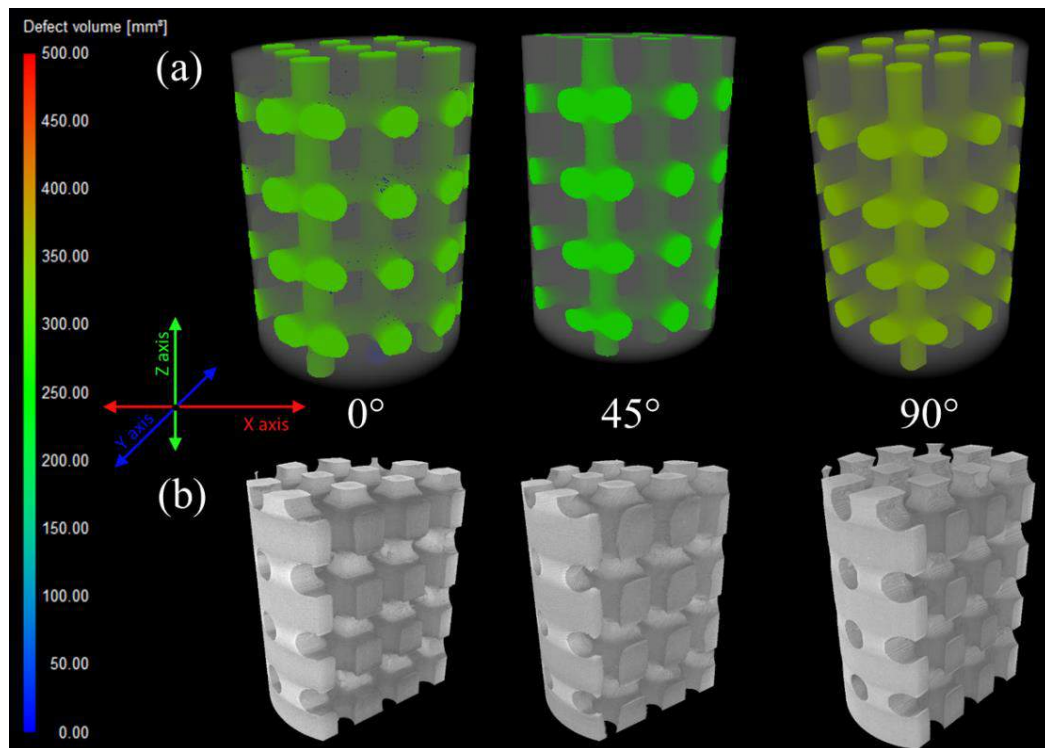


Figure 18. Visualization obtained using X-ray micro-computed tomography of the three kinds of HA scaffolds ( $0^\circ$ ,  $45^\circ$ , and  $90^\circ$ ) produced by MSLA after the debinding-sintering treatment. (a) The pore distribution of HA scaffolds with previously modelled interconnected macroporosity and circular overtures of  $1000\ \mu\text{m}$  in the three directions. (b) Cross-section views of HA scaffolds in X-Y, and Y-Z planes.

### 3.2.3 Mechanical properties

The anisotropic effect of the printing orientation on the scaffolds mechanical properties was examined. Table 4 shows the compression strength of the sintered scaffolds as a function of the orientation in which they were printed. Mechanical strength is primarily controlled by pore volume and distribution, however, in this case, the total porosity of the scaffolds has been demonstrated to not be affected by the printing orientation. Thus, the total pore volume of the samples studied was almost similar (Table 3). Scaffolds with  $0^\circ$  orientation are weakest in compressive strength ( $4.3\pm 0.4\ \text{MPa}$ ) since the plane in which they are printed is perpendicular to the direction of load. With the inclination of the plane, it becomes diagonal to the loading direction ( $45^\circ$ ) resisting more compressive load ( $4.9\pm 0.3\ \text{MPa}$ ). Then, a decrease in the compressive load is observed for ( $90^\circ$ ) as the printing orientation is parallel to the direction of loading ( $4.8\pm 0.2\ \text{MPa}$ ). These results are in line with Lee et al. [56] and Saini et al. [57] which said that the diagonally printed specimens ( $45^\circ$ ) have higher compressive strength as compared to axial and transverse 3D printed parts. Indeed, they are in accordance with the results obtained by Feng et al. [21] whose HA

printed scaffolds (at orientation 0° and using a 50 vol. % suspension) with higher porosity than the ones produced in the present study showed lower compressive strength. The values obtained for the three types of scaffolds are found to be within the range of values reported for cancellous bone compressive strength (1-12 MPa) for porosity between 50 and 90%. Then, to advance this study, the porosity of the scaffolds (~31%) could be increased to reproduce closer conditions [53].

*Table 4. Compressive strength of HA scaffolds depending on the printing orientation. (N =10, mean ± standard error)*

Printing orientation (°)	Compression strength (MPa)
0	4.2±0.4
45	4.9±0.3
90	4.8±0.2

The compressive stress-strain curves obtained for the bioceramic HA scaffolds printed at 45° are shown in Figure S2a. These curves are characterized by an initial non-linear toe region (first strain stage) followed by a main linear region till the failure point. The inexistence of a concave shape before the failure point and the sharp peaks are common in brittle materials as ceramics. It is known that brittle materials, when subjected to stress, break with little elastic deformation and without significant plastic deformation. For this reason, brittle materials absorb relatively little energy before the fracture, even those of high strength [58]. Cracks propagate rapidly along the compression loading direction as shown in Figure S2b. The fluctuations (or serration) in the compression stress-strain response are essentially attributed to the spalling of a small specimen volume, as the growing cracks either meet the free/unconstrained surface of the material or, coalesce between them [59]. This is in accordance with other works in the literature [21,52]. Brittle fracture with a transgranular cleavage is observed (cracks grow through the material grains) and no apparent plastic deformation takes place before fracture. No preferred direction was observed for the fracture as an effect of the orientation in which the specimens were printed.

### 3.2.4 Dissolution rate

The *in vitro* behaviour of the sintered HA scaffolds was preliminarily evaluated in terms of dissolution rate,  $\text{Ca}^{2+}$  ion release, and pH change of the dissolution medium (0.05M TRIS buffer solution) at  $37\pm 1^\circ\text{C}$ . The pH value evolution of the TRIS buffer solution is shown in Figure 19a. The initial pH of the TRIS buffer solution was 7.3. Then, this value was decreasing with the increase of the HA scaffold soaking time. After 72h the pH reached a value of 7.24. The pH variation surrounding an implant is an indicator of the *in vivo* degradation. A fast unintentional degradation in contact with the environment can deteriorate the mechanical properties of the implant [60]. In this case, a low variation of the pH was observed (lower than  $\pm 0.3$ ) indicating a low degradation of the HA scaffold. The scaffold weight loss and  $\text{Ca}^{2+}$  ion concentrations in the solution at different soaking times are given in Figure 19b. A slight weight loss of the HA scaffolds was observed after 24h. It increased with the soaking time until a 0.12% of loss of the total scaffold mass at 72h. In addition, a low  $\text{Ca}^{2+}$  ion release ( $1.26 \text{ mg.l}^{-1}$ ) was observed during the time of the measurement. These results were attributed to the high crystallinity of the HA phase (due to the sintering process) which is known to decrease the dissolution rate [61].

It is known that depending on the calcium phosphate phase the dissolution rate varies, being HA one of the phases showing low rates [62]. Some phases like TCP may dissolve fast and replace the coating or cement with bone. This property depends on the calcium phosphate phase content of the scaffold, crystallinity, particle size, and porosity. A higher degree of porosity means a higher surface area in contact between the implant and the body fluids, inducing a faster dissolution rate. Then, a higher degree of crystallinity of the calcium phosphate structure can reduce the solubility of the scaffolds, thus explaining the large differences in solubility between scaffolds prepared with the same calcium phosphate phase [61,63]. The rate of bone substitution also depends on the age, sex, and general metabolic health of the recipient, even on the anatomic site, thus the desired resorption rate is the rate comparable to the formation of bone tissue (between a few months and a few years), in the case of calcium phosphate it may take 3 to 36 months to be replaced by bone [64].

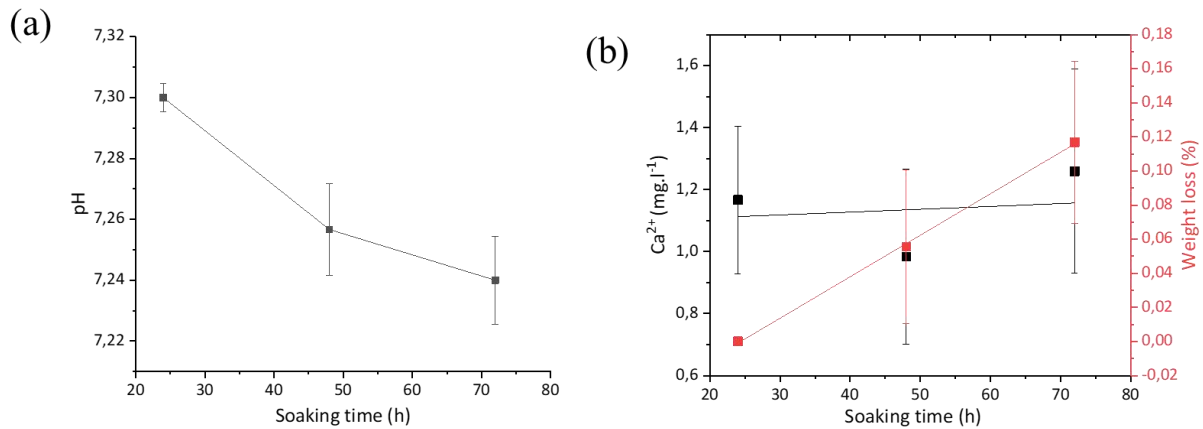


Figure 19. (a) TRIS solution pH evolution, (b) Ca<sup>2+</sup> ion release, and scaffold weight loss evolution as a function of the sintered HA scaffold soaking time. ( $N = 3$ , mean  $\pm$  standard error)

#### 4. Conclusions

In the present work, HA scaffolds were obtained by MSLA innovative AM process. HA powders with different characteristics were produced and employed for the preparation of HA-filled photocurable suspensions. The influence of the different powders on the properties of suspensions was evaluated. After a comparison of the rheological behaviour and dispersion stability of the suspensions, it was found that the powder tailored through the planetary ball mill process having an intermedium particle size showed the most outstanding results (viscosity  $< 5$  Pa.s at a shear rate  $1 \text{ s}^{-1}$  and TSI  $< 2$  for the duration of the process) at 50 vol. %. The processability of suspensions was tested in a commercial MSLA apparatus through the production of scaffolds with controlled macroporosity. The process showed high accuracy in producing the macroporosity (pore size of  $1000 \mu\text{m}$ ) at three printing orientations ( $0^\circ$ ,  $45^\circ$ , and  $90^\circ$ ). A debinding-sintering process at  $1250^\circ\text{C}$  was employed for the complete pyrolysis of the resin and sintering of the scaffold. The chemical composition and macro-structural properties of the scaffolds were examined. It was observed that the debinding-sintering shrinkage of the parts was dependent on the printing orientation, with a higher shrinkage in the direction perpendicular to the printed plane. The analysis of the mechanical properties also showed an anisotropic effect induced by the printing orientation with the highest compressive strength ( $4.92 \pm 0.33$  MPa) obtained for the scaffolds printed diagonally ( $45^\circ$ ). Then, the dissolution rate test of the scaffolds showed a low variation in the TRIS solution pH with the soaking time and a slow dissolution rate, which was attributed to the high crystallinity of the HA phase. In conclusion, the tailoring of HA powder suitable for its use as a filler in photocurable suspensions was confirmed. The HA specimens produced showed promising results for their use in surgery for guided bone regeneration in the case of minor or non-load bearing voids requiring slow resorption properties.

#### 5. Acknowledgements

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## 7. Supplementary material

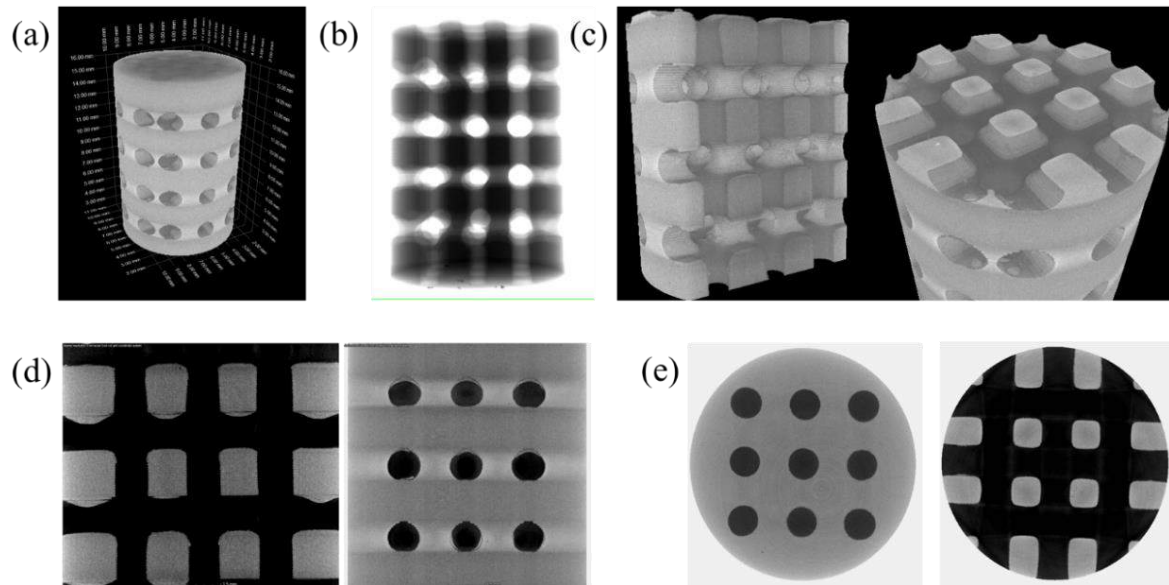


Figure S1. CT results for sintered HA scaffold printed at  $0^\circ$ : (a) Volume reconstruction, (b) lateral view of the scaffold, (c) volume cross-sectional views, (d) middle cross-sectional views (X, Z plane) of pores and struts, (e) middle cross-sectional views (X-Y plane) of pores and struts.

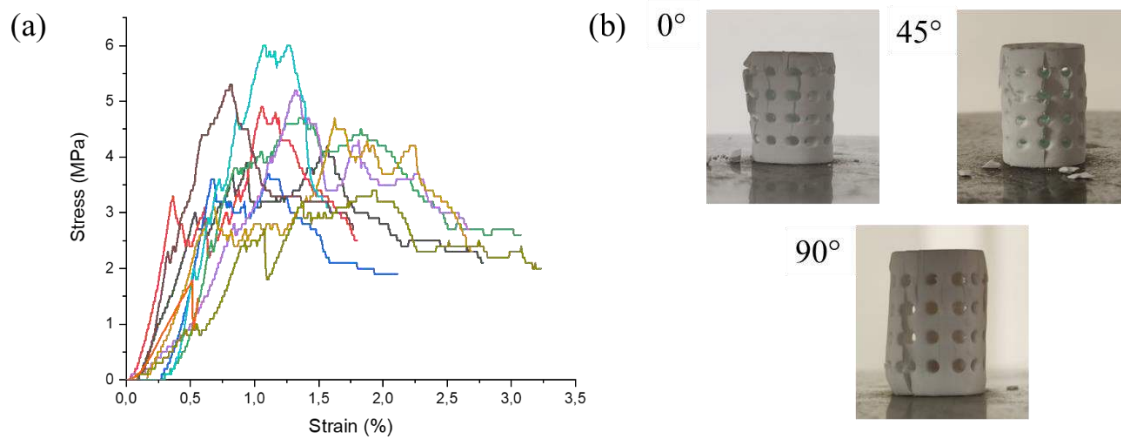
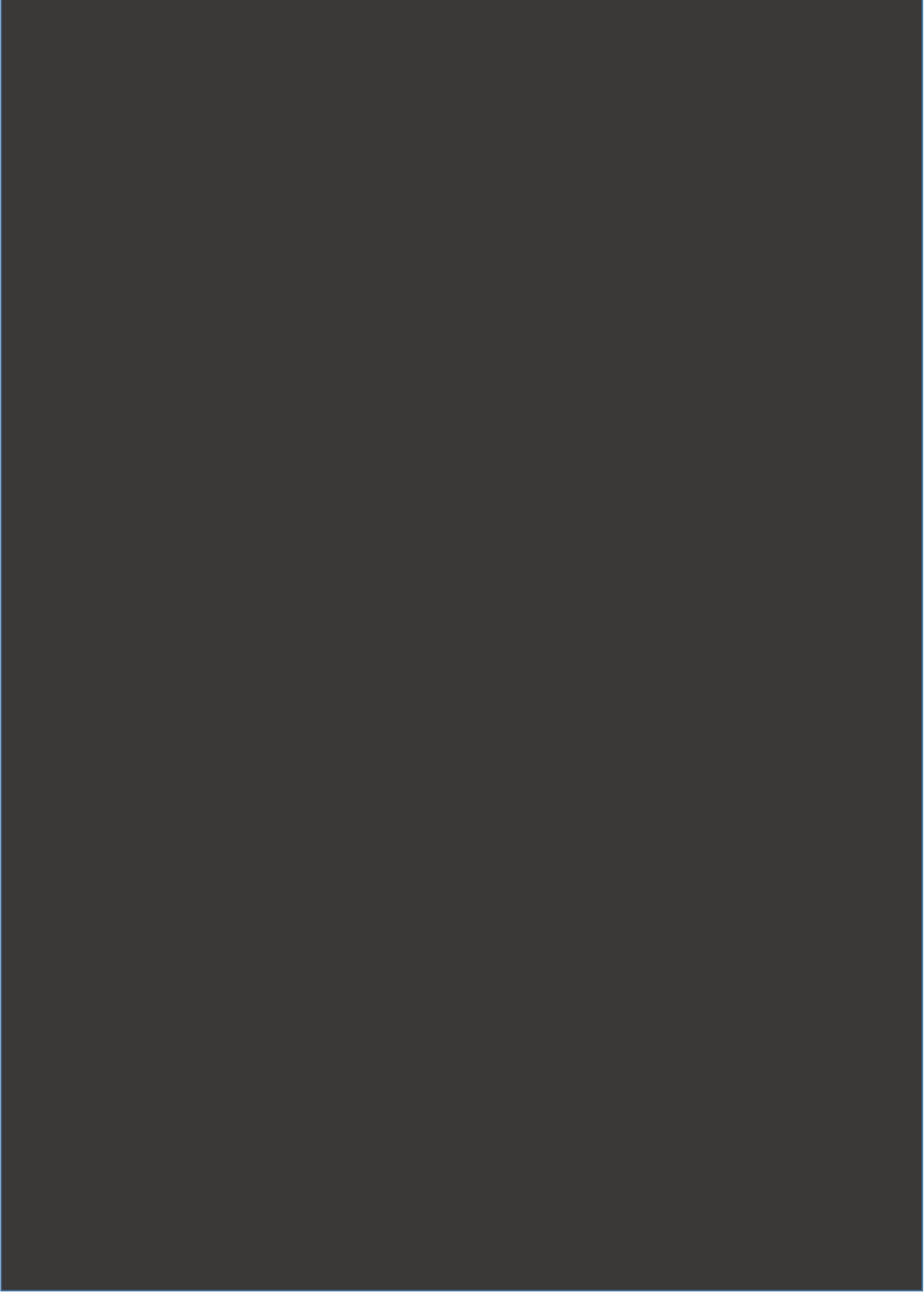


Figure S2. (a) Compilation of ten compressive stress-strain curves obtained for the sintered HA scaffolds at  $45^\circ$  and (b) photographs of the specimens printed at different orientations ( $0^\circ$ ,  $45^\circ$ , and  $90^\circ$ ) after failure



## **General conclusions and future perspectives**



The general objective of this thesis consisted of the development of a multi-step process for the tailoring of calcium phosphate powder properties intended for additive manufacturing technologies. These emergent technologies allow the three-dimensional shaping of parts which in combination with the bioceramic calcium phosphate materials could bring together a promising contribution for the bone tissue engineering field. However, there is not a clear definition for the steps needed to attain a powder suitable to be used as additive manufacturing feedstock. It was in our scope to develop a well-defined multi-step process for their production. Since two different additive manufacturing techniques with different feedstock requirements were designated for testing, two different groups of targeted powder properties were aimed. The synthesis of HA calcium phosphate powder was settled as the starting point of the work. Once the feasibility of a wet precipitation method for the production of stoichiometric HA powder was confirmed the following steps would be dependent on the technique envisaged.

In the first place, the power bed selective laser process (sintering/melting) (powder bed fusion category) by Nd:YAG laser ( $\lambda = 1070$  nm) was established as the final application of the HA powder. This technique involves the use of a powder layer creation device that will create powder beds with controlled thickness before being selectively laser processed for densification. The quality of the powder bed is a factor influencing the performance of the printed parts. To create homogeneous powder beds the use of highly flowable powder is preferred (Hausner ratio of less than 1.25). A higher flowability is better achieved by polydisperse (span > 1) spherical microspheres ( $x_{50} = 30-70$   $\mu\text{m}$ ). Another important factor influencing the properties of the printed parts is the laser absorption (should be > 60%) of the powder which affects the laser-material interaction influencing the sintering/melting phenomena, thus enlarging the processing window.

Since the as-synthesised HA particles do not meet the required properties for their use as feedstock, a series of processes were employed for the tailoring of the powder. The preparation of highly loaded HA-filled suspensions constituted of particles with reduced size was carried out by comminution via wet stirred bead milling. The control of the dispersion stability and rheological behaviour was significant to evaluate their processability. Indeed, the suspension formulation (e.g., the addition of polyelectrolyte dispersant) and operating parameters (e.g., stirrer speed, beads size, solid concentration...) were evaluated for the optimization of the process. HA-filled suspensions with characteristics such as a high concentration ( $w_{HA} \geq 0.50$ ) of fine particles ( $x_{50} = 0.2-1.5$   $\mu\text{m}$ ) dispersed by addition of a polyelectrolyte (2.1  $\text{mg}\cdot\text{m}^{-2}$  of dispersant Darvan C) and showing a low viscosity (< 0.1 Pa.s) were prepared for their use as feedstock in a posterior spray drying step.

A spray drying step would dry the particles while shaping them into microspherical agglomerates. In the same way as for the wet milling step, the suspension formulation (binder content) and the dryer parameters (e.g., feed rate, air pressure, inlet temperature...) were examined to control the recovery rate and the properties (morphology, distribution, hardness...) of the resulting HA microspheres to better tailor them for laser processing. After the process, the resulting HA microspheres fulfilled the different targeted

properties such as particle size ( $x_{50} = 59.6 \mu\text{m}$ ), dispersion (span = 1.78), and flowability (Hausner ratio = 1.12) for its utilisation in 3D laser processing.

To increase the laser absorption of the microspheres, mixing with a near-infrared absorption additive as carbon graphite was assessed to produce powder blends. A laser absorption of  $66.8 \pm 0.1\%$  at  $\lambda = 1070 \text{ nm}$  was measured by adding a 5 wt. % of graphite, thus making the powder processable by the laser. The processability of the powder produced was confirmed in a commercial powder bed laser process equipment. After a methodical study of the printing parameters (e.g., laser power, scanning speed, laser defocus...), different processing windows were obtained depending on the amount of graphite or by substitution of HA by CIA microspheres. The surface finishing and calcium phosphate transitions from HA into other phases, such as  $\alpha$ -TCP and TTCP, were studied and discussed to provide an overview of the effect of the experimental conditions. The HA powder feedstock showing a balance between low balling phenomena and a high amount of HA after the process (46.5 wt.% HA) was the one containing a 10 wt. % of graphite, printed at  $E_d = 288 \text{ J.cm}^{-3}$  (laser power: 36 W; scanning speed:  $25 \text{ mm.s}^{-1}$ ). Then, it was possible to produce solid parts mainly composed of HA with properties that could be valuable for their utilisation in bone tissue engineering like an interconnected porosity (50 vol. %) through the whole piece. However, even after a posterior thermal treatment, they presented low compressive strength ( $0.049 \pm 0.001 \text{ MPa}$ ) which could limit its use, needing further optimization. The low mechanical properties were in part attributed to the phase transition from HA into other calcium phosphate phases causing an uneven grain growth. For comparison, the synthesis of a small batch of CIA powder, a more thermally stable calcium phosphate phase, was carried out. This powder did not show phase transition during the treatment by laser confirming improved suitability for the process. Indeed, the circular pattern samples printed at higher energy density,  $E_d = 336 \text{ J.cm}^{-3}$  (laser power: 42 W, scanning speed:  $25 \text{ mm.s}^{-1}$ ) showed a smoother surface finishing with fewer signs of balling phenomena. However, the amount of powder prepared during this work was not enough for the printing of solid parts for mechanical testing.

Our second goal for the tailoring of HA powders was their use in a masked stereolithography apparatus, also called LCD manufacturing process, which is one of the VAT photopolymerization techniques. In this case, the feedstock should be composed of a highly concentrated HA-filled photocurable slurry showing suitable dispersion stability, rheological behaviour, and, after printing, a good response to debinding-sintering (avoiding the formation of cracks). More specifically, it is expected that the suspension shows slow sedimentation of the particles (overall stability defined by a Turbiscan stability index  $< 2$ ) to avoid the block of the LCD screen and low viscosity ( $< 5 \text{ Pa.s}$  at a shear rate of  $0.1 \text{ s}^{-1}$ ) for a proper spreading in the resin tank. The suspension should contain HA particles with particle size,  $x_{50} = 1\text{-}20 \mu\text{m}$  since it would allow a correct debinding-sintering of the parts. A commercially available organic photocurable resin base, PEG200 (as diluent), and three HA powders having different particle size distributions were employed for the preparation of the slurries and comparison of their properties. These powders were obtained from the direct synthesis and calcination of HA, from the wet milling process, and an alternative planetary ball milling process. The slurry prepared with the powder obtained from the planetary ball milling process and with an intermedium particle size,  $x_{50} = 12.1 \mu\text{m}$ , exhibited the most suitable properties for masked

stereolithography. At a concentration of 50 vol. %, it presented a low viscosity ( $< 5 \text{ Pa}\cdot\text{s}$  at a shear rate  $1 \text{ s}^{-1}$ ) and high stability ( $\text{TSI} < 2$ ) for a duration of three hours, corresponding to the time needed for the process. The suitability of the suspension was confirmed by direct testing in a commercial MSLA printer.

After printing of the green body scaffold, (ceramic-polymer composite) a debinding-sintering process ( $1250^\circ\text{C}$  as maximum temperature) was performed to completely pyrolyze the organic resin and obtain the sintered ceramic part free of polymer. This step was designed after the thermal analysis of the resin degradation to define a temperature pattern allowing a controlled diffusion of gas formed during the pyrolysis avoiding the formation of cracks. The macrostructural and mechanical properties of the parts were examined as well as its dissolution rate. The parts printed at  $45^\circ$  from the printing surface showed the highest mechanical properties (compressive strength of  $4.92 \pm 0.33 \text{ MPa}$ ) and a slow degradation rate (a  $\text{Ca}^{2+}$  ion release of  $1.26 \text{ mg}\cdot\text{l}^{-1}$  for 72h). These results indicate that this process could be an interesting method for the production of bioceramic parts intended for bone tissue engineering. Especially, in surgery for guided bone regeneration in case of minor or non-load bearing voids requiring slow resorption properties.

This thesis work gathers a series of arguments in favour of the different particle tailoring processes chosen for the production of calcium phosphate powders intended for the two additive manufacturing techniques. Additional ideas and future perspectives were raised during the course of the present work; however, they could not be developed due to the time limitation of the project. A brief description of these concepts is offered below:

The shortening of the processing time compared with other beads milling methods and the energy effectiveness of the process confirmed the stirrer bead milling as an interesting addition to the production line of HA powder intended for additive manufacturing technologies. An interesting modification for the production of controlled-size powders for VAT photopolymerization on a higher scale, such as in industry, could be the direct milling of the powder suspended in a photocurable resin (instead of water). This modification could shorten the production process and increases the energy efficiency skipping the filtering, drying, and resuspension steps. However, special attention should be paid to the viscosity of the slurry which could bring handling difficulties. In this case, the use of diluents could be crucial for the decrease in viscosity during the process.

The spray drying process was demonstrated to be an excellent method for the control of the microspherical particle morphology during the drying of the powder. Still, a deeper experimental design could allow us to better understand the effect of the different operating parameters and the synergy between them (e.g., the feed rate and inlet temperature) into the process outcomes such as the process recovery rate. It would be interesting to perform a deeper study on the hardness and resistance to deformation of the HA microspheres containing an organic binder and their effect on the performance in a 3D laser process. Moreover, a more exhaustive flowability comparison of powders with different particle size distributions could help us to improve the flowability of the powder. Indeed, the mixing of two microspherical powders with different particle sizes to produce bimodal powders could improve the flowability of the individual powder. In this case, one of the powders could act as a lubricant.

The processability of the HA microspheres was then evaluated through direct testing in a powder bed selective laser process equipment and it was demonstrated that the use of graphite as a near-infrared absorption additive enlarged successfully the processing window. Indeed, CIA powder appeared to be an excellent solution to solve the phase transition observed in HA. However, further effort should be made on the analysis of the processing window produced by CIA at different graphite amounts. The use of this powder could improve the mechanical properties of the solid parts if their printing is achieved. Moreover, once the solid parts are printed the conversion from CIA to HA could be possible at high-temperature hydrothermal conditions, thus recovering the HA properties if preferred. Another possibility for the enhancement of the properties of the 3D printed ceramic parts could be their toughening and functionalization by biopolymer coatings and infiltration [1]. This could result in a combination of bioactivity, improved mechanical properties, and drug or growth factor delivery capability, being a candidate for bone regeneration strategies. The use of water-soluble powders (e.g., NaCl and NaNO<sub>3</sub>) instead of graphite as absorption additives could facilitate their removal after laser printing through dissolution in a water immersion step [2]. During this work, a second 3D laser printer with a different design was tested, however the absence of powder bed compaction, the more limited range of laser parameters, and the impossibility to print under air instead of N<sub>2</sub> made impossible the printing of any sample (also influenced by the very narrow processing window of this kind of materials). The study of the effect of these parameters (e.g. degree of powder bed compaction, the flowability of powders, O<sub>2</sub> level in the chamber atmosphere...) on the properties of the printed parts could be an interesting contribution to the understanding of the HA laser printing process. Works focused on the simulation of the laser-material interaction would shorten the time needed by foreseen the results obtained by experimental analysis. They could also serve as a support for the interpretation of the process.

The preparation of HA-filled photocurable suspensions intended for masked stereolithography (or LCD manufacturing process) was achieved by comparison of powders. In this case, a short planetary ball milling step was enough to improve the behaviour of the powder in suspension. Even if the printed scaffolds showed promising results for their use as non-weight-bearing implants, still further investigations should be done on the evaluation of their bioactivity (e.g., cell proliferation). The increase in temperature (up to 120°C) during the printing to reduce the viscosity of the resin and printing times is named hot lithography. This technology would allow the printing of highly loaded HA-filled suspensions (> 50 vol. %) whose handling is not possible at room temperature due to the high viscosity. This would lead to a faster production of more dense ceramic parts and better control of the porosity. One of the disadvantages of this technique is the debinding-sintering temperatures which reduce the biocompatibility of the process. The use of biocompatible photocurable compounds which could be used as-printed, such as hydrogels, would avoid this drawback, opening the possibility of combining, in addition to bioceramics, cells, and growth factors in the suspension feedstock.

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