# String Compactifications with Half-maximal Supersymmetry 

Hector Parra de Freitas

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# String Compactifications with Half-maximal Supersymmetry Compactifications des Cordes avec Supersymétrie <br> Semi-maximale 

## Thèse de doctorat de l'université Paris-Saclay

École doctorale $n^{\circ} 564$, Physique en Île-de-France (PIF) Spécialité de doctorat : Physique<br>Graduate School : Physique, Référent : Faculté des sciences d'Orsay

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#### Abstract

Titre : Compactifications des Cordes avec Supersymétrie Semi-maximale Mots clés : Theorie des cordes, Paysage des cordes, Compactification, Gravité quantique

Résumé : Cette thèse porte sur l'étude des vacua de la théorie des cordes avec seize supercharges. Nous développons des techniques pour obtenir les groupes de symétrie de jauge autorisés pour les différentes composantes dans l'espace de moduli complet en dimensions sixà neuf. Ces techniques sont basées sur l'intégration de treillis de poids dans le treillis de quantité de mouvement/charge des différentes théories. Nous construisons des cartes agissant sur les symétries de jauge de la composante connectée principale de l'espace de moduli produisant celles des autres composantes, établissant un contact avec la théorie F/M sur des surfaces K3 avec des singularités gelées.

A l'aide des données d'encastrement dans le réseau, nous proposons l'existence de nouvelles composantes de l'espace de moduli en sept à neuf dimensions et vérifions cette affirmation en construisant explicitement de nouvelles théories des cordes caractérisées par la présence d'angles thêta discrets. Nous généralisons ensuite la carte susmentionnée à six dimensions et l'utilisons pour organiser toutes les théories connues avec $N=(1,1)$ supersymétrie et en prédire d'autres. En cours de route, nous montrons comment chaque groupe de symétrie de jauge dans ces théories peut être obtenu de manière exacte, en récupérant les résultats obtenus de manière constructive dans les dimensions supérieures.


Title : String Compactifications with Half-maximal Supersymmetry
Keywords : String theory, String landscape, Compactification, Quantum gravity


#### Abstract

This thesis is concerned with the study of string theory vacua with sixteen supercharges. We develop techniques to obtain the allowed gauge symmetry groups for the different components in the full moduli space in dimensions six to nine. These techniques are based on embeddings of weight lattices into the momentum/charge lattice of the various theories. We construct maps acting on the gauge symmetries of the main connected component of the moduli space producing those of the other components, making contact with F/M theory on K3 surfaces with frozen singularities.


Aided with the lattice embedding data we propose the existence of new moduli space components in seven to nine dimensions and verify this claim by explicitly constructing new string theories characterized by the presence of discrete theta angles. We then generalize the aforementioned map to six dimensions and use it to organize all the known theories with N $=(1,1)$ supersymmetry and predict some more. Along the way we show how every gauge symmetry group in these theories can be obtained in an exact manner, recovering the results obtained constructively in higher dimensions.

To Mom.

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## Publications

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- Font, A., Fraiman, B., Graña, M., Nuñez, C.A., Parra De Freitas, H. Exploring the landscape of CHL strings on $T^{d}$. J. High Energ. Phys. 2021, 95 (2021). doi.org/10.1007/JHEP08(2021)095 arXiv:2104.07131
- Fraiman, B., Parra De Freitas, H. Symmetry Enhancements in 7d Heterotic Strings. J. High Energ. Phys. 2021, 2 (2021).
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## Chapter 1

## Introduction

### 1.1 Motivation

String Theory naturally unifies General Relativity with Quantum Mechanics in a consistent manner. As such it is the foremost candidate to explain physical phenomena at the intersection of the regimes associated to these two frameworks. Despite its conceptual simplicity as a theory of propagating strings, it presents an incredibly rich space of configurations producing a vast landscape of low energy effective theories. To be precise, supersymmetric strings propagate consistently in $9+1$ spacetime dimensions, hence six of them must be compact and small to give rise to an effectively 4 -dimensional spacetime. The data specifying the internal geometry in turn determines the particle content of the effective theory or string vacuum. Other ingredients such as fluxes trapped in internal cycles can also be included to produce other types of vacua.

It is a compelling problem to understand what are the different configurations or compactifications that String Theory allows, and what are the associated effective physics. From a modern point of view, this also helps in refining our knowledge of what is or is not allowed in a consistent theory of quantum gravity, which is broadly speaking the basic idea behind the Swampland program [8]. It is easier to tackle this problem when the number of non-compact dimensions is large; in the geometrical phase, indeed, the internal space is lower dimensional and thus less geometries are possible. The broad theme of this work is to tackle this problem for 9 to 6 non-compact spacetime dimensions of Minkowski type when supersymmetry is half-maximal, i.e. when there are 16 supercharges. This setting was studied in depth in (9], see also references therein.

Even if we restrict our attention to this seemingly simple regime (there are no matter multiplets, for example), there is much to be learned. This space of string vacua is disconnected, with many connected components being described microscopically by different string theories. The simplest example, which is the subject of Chapter 2, consists of heterotic strings
compactified on tori $T^{d} 10,11$, giving rise to the Narain moduli space

$$
\begin{equation*}
O(d+16, d, \mathbb{Z}) \backslash O(d+16, d) / O(d+16) \times O(d) . \tag{1.1.1}
\end{equation*}
$$

Alternatively one can go to dual frames such as Type I strings on $T^{d}$ or others depending on the value of $d$ such as Type IIA strings on K3 surfaces for $d=4$. Other components of the overarching moduli space can be realized in various ways, such as by orbifolding the heterotic string on $T^{d}$ or including different types of orientifold-planes in type II strings.

There are two broad questions that we may ask about this moduli space. Firstly, what are all of its connected components and how are they described? Secondly, what physics can be realized by the different vacua that they hold? This thesis is devoted to studying these questions in a systematic manner, as we now explain. References are included in the corresponding chapters.

### 1.2 Outline of the thesis

In Chapter 2 we study the case of heterotic strings on $T^{d}$, with an emphasis in the case $d=2$. The question that we seek to answer is what are the possible non-abelian gauge symmetries that can be realized in the theory through the stringy mechanism of symmetry enhancement. A generic point in the moduli space has purely Abelian gauge symmetry but at special points certain stringy states become massless and enhance this group to a non-Abelian one. In this case, the full rank of the gauge group is restricted to $d+16$, not accounting for graviphotons (i.e. gauge fields in the gravity multiplet, which do not enhance), and the enhanced symmetry groups are always of simply-laced type. By examining the mass formula and level matching conditions for the quantum states it can be shown that the problem of determining the possible gauge symmetries can be casted in the language of lattices and their embeddings. More precisely, we seek to find embeddings of root lattices of the candidate gauge algebras into the Narain lattice. Focusing on maximal enhancements, where the root lattice has rank $d+16$, we develop an algorithm to systematically construct these embeddings in a way that can be shown to be exhaustive for $d=2$. From this data we also obtain the values of the moduli where the enhancement is realized.

In nine dimensions there is another component of the moduli space under consideration which can be realized by a special orbifold of the heterotic string on the circle, the so-called CHL string. We dedicate Chapter 3 to extending the aforementioned results to this theory and its torus compactifications, which differ in various ways. The first important point is that the gauge symmetry groups have rank reduced by 8 , i.e. they have rank $d+8$ with $d$ the number of compact dimensions. Secondly, it is possible to realize non-simply-laced gauge groups such as symplectic ones. The problem of determining the possible gauge groups can be
similarly tackled using techniques of lattice embeddings, although various subtleties arise due to the fact that the momentum lattice of the theory, which is an analog of the Narain lattice, is not self-dual. We develop the appropriate generalizations and furthermore find ways to obtain the full data characterizing the gauge group including its topology, or more specifically the fundamental group of the non-Abelian part of the gauge group.

Going down to seven dimensions we consider in Chapter 4 four other connected components in the moduli space which can be realized by special triples of flat holonomies for the gauge bundle over $T^{3}$ in the heterotic string. It is at this point where we aim to obtain an abstract picture relating the gauge symmetry enhancements to the properties of the momentum lattice. We put forward an ansatz inspired in the CHL string and whose validity can be argued from the construction of the holonomy triples at special points of the moduli space. By relating the momentum lattices of the different moduli space components we then obtain a map acting at the level of the computed gauge srymmetry groups and show that it agrees exactly with the known mechanism of singularity freezing in the dual picture of M-theory on a K3 surface, justifying the aforementioned ansatz. The map we obtain moreover tells us how the full gauge group is affected under singularity freezing and not only its gauge algebra as previously known.

There are string theories with 16 supercharges that cannot be dualized to heterotic strings. A simple example consists in the Type I' string with one positive and one negative orientifold plane on $S^{1} / \mathbb{Z}_{2}$ and no D-branes, where the gauge group has rank 1, i.e. it has rank reduced by 16 with respect to the heterotic string on $S^{1}$. If we compactify further down to eight dimensions and consider an orientifold of the type IIB string on $T^{2}$, one can use positively charged orientifold planes to reduce the rank by 8 or 16 , and together with the full rank case, we reproduce the results obained with the heterotic string and the CHL orbifold together with another one corresponding to the compactification of the aforementioned Type I' construction. These orientifolds in eight dimension in turn correspond to weak coupling limits of F-Theory compactified on an elliptic K3 with section, and the presence of positive orientifold planes can be elegantly accounted for by using so-called frozen singularities. Further going down to seven dimensions we find a similar picture with M-theory on a generic K3 surface with possible frozen singularities, which seems to also encode every possible moduli space component including those realized by heterotic holonomy triples.

In Chapter 5 we revisit these compactifications with frozen singularities and demonstrate that certain collections of singularities can be realized in two inequivalent ways reflected on the topology of the associated gauge group, and so their freezing produce two inequivalent moduli spaces. This can be understood transparently for F/M theory on K3, and we obtain one new moduli space in eight dimensions and three in seven dimensions. Furthermore we argue that one of these new moduli spaces is in fact dual to the circle compactification of a new moduli space in nine dimensions.

The question of what are the string theories or compactifications that describe these new
moduli spaces is the subject of Chapter 6. As we will see, it turns out that the aforementioned pairs of theories are related in stringy frames by a discrete parameter taking the form of a discrete theta angle. The possibility that such a mechanism could provide new string theories had been previously considered in ten dimensions for the Type I string, but as we show, it fails in that case. In the lower dimensional theories considered here, however, it does work. We study in detail these new theories in various dual frames, and determine in particular that, unlike previously known string compactifications, these have a string spectrum which is not BPS complete.

These results seem to paint a comprehensive picture of the moduli space of string theory vacua with 16 supercharges in dimensions seven and higher (see Figure 1.1). This picture is completely encoded by frozen singularities, which in turn are related to the maps acting on gauge symmetries discussed above. In Chapter 7 we extend this map to six dimensions, and find that its structure leads us to believe that it should also predict the various connected components in this regime. In Chapter 8 we show that this is the case for all previously known theories of this type, and make predictions for the existence of many others. Moreover, we exploit various features of lattice embeddings particular to the case of six dimensions which allow to compute every possible gauge symmetry group in an exact manner when taking into account an interesting relationship between these theories and their compactifications on $T^{4}$ to two dimensions. Namely, all of these theories admit holomorphic factorization points in the moduli space, which allow to translate the knowledge of the current algebra structure of holomorphic CFTs to the abstract rules that determine the gauge symmetries from the lattice embeddings.


Figure 1.1: List of all known disconnected theories with 16 supercharges in dimension $\geq 7$. We label each component of moduli space by a representative compactification (e.g. heterotic on $S^{1}$ ); we do not list all dual descriptions (e.g. CHL $\sim \mathrm{M}$ on Möbius strip). Theories connected by an arrow are related by dimensional reduction. For each component we indicate both the dimension (upper left blue number) and the rank (lower left red number), in a manner meant to be reminiscent of the periodic table of elements.

## Chapter 2

## The Landscape of Heterotic Strings on $T^{d}$

In this chapter we address the problem of determining the possible gauge symmetry groups realizable in $T^{d}$ compactifications of the heterotic string and the points in moduli space where they occur. We begin with a brief review of the basics of these compactifications in Section 2.1, including an extended discussion regarding the duality map relating both heterotic theories. In Section 2.2 we explain how lattice embeddings into the Narain lattice play a prominent role in the study of symmetry enhancements, emphasizing in particular how related criteria can rule out various possibilities. In Section 2.3 we review in detail the case of circle compactifications, for which the list of symmetry enhancements can be obtained exactly and exhaustively using an extended Dynkin Diagram. Section 2.4 is devoted to $T^{2}$ compactifications, which is much richer and cannot be subjected to the same techniques of Section 2.3. After a partially successful attempt at generalizing these techniques, we develop an exploration algorithm which, by comparing with known results regarding elliptic fibrations on K3 surfaces, is shown to produce every possible symmetry enhancement and the associated values of the moduli. Some observations regarding the complexification of the moduli are also included in this Section. Finally, in Section 2.5, we comment on the case with generic $d$.

### 2.1 Toroidal compactification of the heterotic string

In this section we briefly review the basics of heterotic compactification on $T^{d}$ and outline our notation. The torus is defined by identifications in a lattice $\tilde{\Lambda}_{d}$ generated by vectors $e_{i}$, $i=1, \ldots, d$. The constant torus metric is $g_{i j}=e^{a}{ }_{i} \delta_{a b} e^{b}{ }_{j}, a=1, \ldots, d$. The vectors $\hat{e}^{* i}=g^{i j} e_{i}$, $g^{i j}=g_{i j}^{-1}$, span the dual lattice $\tilde{\Lambda}_{d}^{*}$. The background is further specified by the constant antisymmetric two-form field $b_{i j}$ and $d$ independent Wilson lines $A_{i}^{I}, I=1, \ldots, 16$. The latter are constant components of the 10 -dimensional gauge field in the Cartan sub-algebra of $\mathrm{E}_{8} \times \mathrm{E}_{8}$
or $\mathrm{SO}(32)$. It is convenient to introduce the tensor $E_{i j}$ given by

$$
\begin{equation*}
E_{i j}=g_{i j}+\frac{1}{2} A_{i} \cdot A_{j}+b_{i j} \tag{2.1.1}
\end{equation*}
$$

where $A_{i} \cdot A_{j}=A_{i}^{I} A_{j}^{I}$. We use conventions $\alpha^{\prime}=1$.
The momenta of the worldsheet fields of perturbative heterotic string theory compactified on a $d$-dimensional torus $T^{d}$ must take values on an even self-dual lattice $\mathrm{II}_{d, d+16}$ [10]. As shown in [11, the left and right components of the canonical center of mass momenta can be expressed in terms of the compactification moduli, $g_{i j}, b_{i j}$ and $A_{i}^{I}$, as

$$
\begin{align*}
p_{R} & =\frac{1}{\sqrt{2}}\left[n_{i}-E_{i j} w^{j}-\pi \cdot A_{i}\right] \hat{e}^{* i}  \tag{2.1.2a}\\
p_{L} & =\frac{1}{\sqrt{2}}\left[n_{i}+\left(2 g_{i j}-E_{i j}\right) w^{j}-\pi \cdot A_{i}\right] \hat{e}^{* i}=\sqrt{2} w^{i} e_{i}+p_{R},  \tag{2.1.2b}\\
p^{I} & =\pi^{I}+A_{i}^{I} w^{i} . \tag{2.1.2c}
\end{align*}
$$

Here $n_{i}$ and $w^{i}$ are the integer momenta and winding numbers on the torus. The $\pi^{I}$ are the components of a vector belonging to the gauge lattice denoted $\Upsilon_{16}$, given by

$$
\Upsilon_{16}=\left\{\begin{array}{ll}
\Gamma_{8} \times \Gamma_{8}, & \text { for the } \mathrm{E}_{8} \times \mathrm{E}_{8} \text { theory (HE) }  \tag{2.1.3}\\
\Gamma_{16}, & \text { for the } \operatorname{Spin}(32) / \mathbb{Z}_{2} \text { theory (HO) }
\end{array},\right.
$$

where $\Gamma_{8 q}$ is the even self-dual lattice consisting of vectors ( $m_{1}, \ldots, m_{8 q}$ ) and ( $m_{1}+\frac{1}{2}, \ldots, m_{8 q}+\frac{1}{2}$ ), with $m_{k} \in \mathbb{Z}$ and $\sum_{k=1}^{8 q} m_{k}=$ even. Then $\pi^{I} \pi^{I}=$ even. The $\pi^{I}$ can also be written as $\pi^{I}=\pi^{A} \alpha_{A}^{I}$, with $A=1, \ldots, 16$, where $\alpha_{A}^{I}$ is a basis of $\Upsilon_{16}$ such that $\alpha_{A}^{I} \alpha_{B}^{I}=\kappa_{A B}$ is the lattice metric.

The total momentum $\mathbf{p}=\left(\mathbf{p}_{\mathbf{R}} ; \mathbf{p}_{\mathbf{L}}\right)$, with $\mathbf{p}_{\mathbf{R}}=p_{R a}, \mathbf{p}_{\mathbf{L}}=\left(p_{L a}, p^{I}\right)$, transforms as a vector under $\mathrm{O}(d, d+16 ; \mathbb{R})$. It spans the $2 d+16$-dimensional momentum lattice $\mathrm{I}_{d, d+16} \subset \mathbb{R}^{2 d+16}$, satisfying

$$
\begin{equation*}
\mathbf{p} \cdot \mathbf{p}=\mathbf{p}_{\mathbf{L}}{ }^{2}-\mathbf{p}_{\mathbf{R}}{ }^{2}=2 w^{i} n_{i}+\pi^{I} \pi^{I} \in 2 \mathbb{Z} \tag{2.1.4}
\end{equation*}
$$

Thus, $\mathrm{II}_{d, d+16}$ is even and it can be shown that it is self-dual, i.e. $\mathrm{II}_{d, d+16}=\mathrm{II}_{d, d+16}^{*}$. Notice that we are using signature $\left((-)^{d} ;(+)^{d+16}\right)$ for the Lorentzian metric.

The space of inequivalent lattices and inequivalent backgrounds is described by

$$
\begin{equation*}
\frac{\mathrm{O}(d, d+16 ; \mathbb{R})}{\mathrm{O}(d ; \mathbb{R}) \times \mathrm{O}(d+16 ; \mathbb{R}) \times \mathrm{O}(d, d+16 ; \mathbb{Z})} \tag{2.1.5}
\end{equation*}
$$

where $\mathrm{O}(d, d+16 ; \mathbb{Z})$ is the T-duality group that leaves invariant the spectrum of the theory. We refer to [12] for a complete discussion of the $\mathrm{O}(d, d+16 ; \mathbb{Z})$ generators, see also [13]. Typical
elements are a change of basis of the torus lattice $\tilde{\Lambda}_{d}$, shifts of the $B$-field by an antisymmetric integer matrix, and transformations of the Wilson lines by translations or automorphisms in $\Upsilon_{16}$. There are also factorized dualities that correspond to exchanging winding and momenta in one internal direction. In section 2.1.1 we will discuss duality transformations in more detail.

The spectrum of states depends on the background fields. It can be obtained from the mass formula and level-matching condition given by

$$
\begin{align*}
m^{2} & =\mathbf{p}_{\mathbf{L}}{ }^{2}+\mathbf{p}_{\mathbf{R}}{ }^{2}+2\left(N_{L}+N_{R}-\left\{\begin{array}{cc}
1 & \mathrm{R} \text { sector } \\
\frac{3}{2} & \text { NS sector }
\end{array}\right),\right.  \tag{2.1.6}\\
0 & =\mathbf{p}_{\mathbf{L}}{ }^{2}-\mathbf{p}_{\mathbf{R}}{ }^{2}+2\left(N_{L}-N_{R}-\left\{\begin{array}{cc}
1 & \mathrm{R} \text { sector } \\
\frac{1}{2} & \text { NS sector }
\end{array}\right),\right. \tag{2.1.7}
\end{align*}
$$

where $N_{L}$ and $N_{R}$ are left- and right-moving oscillator numbers. These equations are invariant under the duality group $\mathrm{O}(d, d+16 ; \mathbb{Z})$.

In the NS sector the lowest lying states have $N_{R}=\frac{1}{2}$ and their supersymmetric partners in R have $N_{R}=0$. These states can be massless only if

$$
\begin{equation*}
\mathbf{p}_{\mathbf{R}}=0, \quad \mathbf{p}_{\mathbf{L}}{ }^{2}+2\left(N_{L}-1\right)=0 . \tag{2.1.8}
\end{equation*}
$$

The condition $\mathbf{p}_{\mathbf{R}}=0$ requires that the the momentum numbers $n_{i}$ satisfy (see (2.1.2)

$$
\begin{equation*}
n_{i}=E_{i j} w^{j}+\pi \cdot A_{i} \in \mathbb{Z} . \tag{2.1.9}
\end{equation*}
$$

Moreover, from (2.1.4) it follows that

$$
\begin{equation*}
\mathbf{p}_{\mathbf{L}}{ }^{2}=2 w^{i} n_{i}+\pi \cdot \pi . \tag{2.1.10}
\end{equation*}
$$

For generic values of the moduli the only solution is $w^{i}=0, n_{i}=0, \pi^{I}=0$, implying $\mathbf{p}_{\mathbf{L}}=0$, and $N_{L}=1$ in (2.1.8). It gives rise to the gravity multiplet plus gauge multiplets of $\mathrm{U}(1)^{d+16}$. On the other hand, for special values of the moduli there can exist solutions with $N_{L}=0$, and $\mathbf{p}_{\mathbf{L}}{ }^{2}=2$. The set of $\mathbf{p}_{\mathbf{L}}$ then gives the roots of a Lie group $\mathrm{G}_{r}$ of rank $r \leq d+16$. In this case there will be gauge multiplets of a group $\mathrm{G}_{r} \times \mathrm{U}(1)^{d+16-r}$. The non-Abelian piece $\mathrm{G}_{r}$ is in turn a product of ADE factors of total rank $r$. Our main task for the next sections is to study which groups can occur and to determine the underlying moduli.

We will mostly work with the HE theory. The results for the HO can be deduced from the the map discussed in section 2.1.2.

### 2.1.1 Duality transformations of the moduli

In this section we present a simple way of finding the action of $\mathrm{O}(d, d+16)$ transformations on the background fields $\left(g_{i j}, b_{i j}, A_{i}^{I}\right)$.

We first start by the transformation of the $2 d+16$ charge vectors, defined as

$$
\begin{equation*}
|Z\rangle=\left|w^{i}, n_{i} ; \pi^{I}\right\rangle \tag{2.1.11}
\end{equation*}
$$

The inner product between charge vectors is computed using the $\mathrm{O}(d, d+16)$ invariant metric

$$
\eta=\left(\begin{array}{ccc}
0 & 1_{d \times d} & 0  \tag{2.1.12}\\
1_{d \times d} & 0 & 0 \\
0 & 0 & \delta_{I J}
\end{array}\right)
$$

and is given by

$$
\begin{equation*}
\left\langle Z^{\prime} \mid Z\right\rangle=w^{\prime i} n_{i}+n_{i}^{\prime} w^{i}+\pi^{\prime I} \pi^{I} \tag{2.1.13}
\end{equation*}
$$

Given the generators $O \in \mathrm{O}(d, d+16 ; \mathbb{Z})$ presented in 12 , the transformation of $|\tilde{Z}\rangle \equiv \eta|Z\rangle$ is simply ${ }^{11}$

$$
\begin{equation*}
|\tilde{Z}\rangle \rightarrow O|\tilde{Z}\rangle \tag{2.1.14}
\end{equation*}
$$

The transformation of the moduli can be obtained from the transformation of the generalized metric, discussed for example in [12]. It is generically simpler though to find the transformation of the moduli using the vielbein $\mathcal{E}$ for the generalized metric. This vielbein can be built using that the left and right moving momenta (2.1.2) are

$$
\begin{equation*}
\mathbf{p}=\mathcal{E}|\tilde{Z}\rangle \tag{2.1.15}
\end{equation*}
$$

Under $\mathrm{O}(d, d+16)$, the vielbein transforms as

$$
\begin{equation*}
\mathcal{E} \rightarrow \mathcal{E} \eta O^{T} \eta . \tag{2.1.16}
\end{equation*}
$$

From this transformation law it follows that the first $d$ rows of $\eta \mathcal{E}$, which we write as

$$
\begin{equation*}
\left|\tilde{\mathcal{E}}_{a}\right\rangle \equiv \frac{1}{\sqrt{2}} \hat{e}_{a}^{i *}\left|E_{i k},-\delta_{i}^{j} ; A_{i}^{I}\right\rangle, \quad a=1, \ldots, d, \tag{2.1.17}
\end{equation*}
$$

are $\mathrm{O}(d, d+16)$ vectors. Taking the transpose of 2.1.16) we find

$$
\begin{equation*}
\left|\tilde{\mathcal{E}}_{a}\right\rangle \rightarrow O\left|\tilde{\mathcal{E}}_{a}\right\rangle \tag{2.1.18}
\end{equation*}
$$

${ }^{1}$ For instance, when $b_{i j} \rightarrow b_{i j}+\Theta_{i j}$, with $\Theta_{i j}=-\Theta_{j i} \in \mathbb{Z},|Z\rangle \rightarrow\left|w^{i}, n_{i}+\Theta_{i j} w^{j} ; \pi^{I}\right\rangle$.

These vectors also form a negative definite orthonormal set:

$$
\begin{equation*}
\left\langle\tilde{\mathcal{E}}_{a} \mid \tilde{\mathcal{E}}_{b}\right\rangle=\frac{1}{2} \hat{e}_{a}^{i *} \hat{e}_{b}^{j *}\left(-2 E_{i j}+A_{i} \cdot A_{j}\right)=\frac{1}{2} \hat{e}_{a}^{i *} \hat{e}_{b}^{j *}\left(-2 g_{i j}\right)=-\delta_{a b} . \tag{2.1.19}
\end{equation*}
$$

To get the transformation laws for the moduli under an $\mathrm{O}(d, d+16 ; \mathbb{R})$ element we simply construct the vectors $\left|\tilde{\mathcal{E}}_{a}\right\rangle$, transform them to $\left|\tilde{\mathcal{E}}_{a}^{\prime}\right\rangle=O\left|\tilde{\mathcal{E}}_{a}\right\rangle$, and extract the transformed moduli $E_{i j}^{\prime}, A_{i}^{\prime}$. In practice, however, this procedure can be simplified as follows. Construct the $d \times(2 d+16)$ matrix

$$
\mathcal{A} \equiv\left(\begin{array}{lll}
E_{i j} & -\delta_{i}{ }^{j} & A_{i}{ }^{I} \tag{2.1.20}
\end{array}\right),
$$

with rows labeled $\mathcal{A}_{i}$. These differ from the vectors $\left|\tilde{\mathcal{E}}_{a}\right\rangle$ in that the factor $(1 / \sqrt{2}) \hat{e}_{a}^{* i}$ is missing (cf. eq. 2.1.17)). We may however interpret this as taking $\hat{e}_{a}^{* i}=\sqrt{2} \delta_{a}^{i}$, so that the rows $\mathcal{A}_{i}$ can also be transformed as $\mathrm{O}(d, d+16)$ vectors, $\mathcal{A}_{i} \rightarrow \mathcal{A}_{i}^{\prime}=O \mathcal{A}_{i}$. From the new matrix $\mathcal{A}^{\prime}$ one then extracts the moduli with the formula

$$
\left(\begin{array}{lll}
E_{i j}^{\prime} & -\delta_{i}{ }^{j} & A_{i}^{I^{\prime}}
\end{array}\right)=-\left(\begin{array}{ccc}
\mathcal{A}_{1, d+1}^{\prime} & \cdots & \mathcal{A}_{1,2 d}^{\prime}  \tag{2.1.21}\\
\vdots & \ddots & \vdots \\
\mathcal{A}_{d, d+1}^{\prime} & \cdots & \mathcal{A}_{d, 2 d}^{\prime}
\end{array}\right)^{-1} \mathcal{A}^{\prime}
$$

where on the right hand side we multiply by minus the inverse of the $d \times d$ middle block of $\mathcal{A}^{\prime}$, which is the vielbein for the transformed metric $e_{a i}^{\prime}$.

We now proceed to illustrate this method with a pair of examples where we restrict to the T-duality group $\mathrm{O}(d, d+16, \mathbb{Z})$. Consider first the case $d=2$, and apply the transformation given by the matrix

$$
O_{\Lambda_{1}}=\left(\begin{array}{ccccc}
1 & 0 & -\frac{1}{2} \Lambda_{1}^{2} & 0 & \Lambda  \tag{2.1.22}\\
0 & 1 & 0 & 0 & 0 \\
0 & 0 & 1 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 \\
0 & 0 & -\Lambda_{1}^{t} & 0 & 1_{16 \times 16}
\end{array}\right), \quad \Lambda_{1} \in \Upsilon_{16}
$$

, which shifts $A_{1}$ by $\Lambda_{1}$. After transforming the rows of $\mathcal{A}$ with $O_{\Lambda_{1}}$, we obtain

$$
\mathcal{A}^{\prime}=\left(\begin{array}{ccccc}
E_{11}+\frac{1}{2} \Lambda^{2}+\Lambda_{1} \cdot A_{1} & E_{12} & -1 & 0 & A_{1}+\Lambda_{1}  \tag{2.1.23}\\
E_{21}+\Lambda_{1} \cdot A_{2} & E_{21} & 0 & -1 & A_{2}
\end{array}\right) .
$$

Since the second $2 \times 2$ block of $\mathcal{A}$ remains invariant, minus its inverse, which appears in (2.1.21), is the identity. The transformed $E_{i j}$ and $A_{i}$ can then be read off from eq. 2.1.23).

In terms of the background fields $g_{i j}, b_{12}, A_{i}$, we see that

$$
\begin{equation*}
O_{\Lambda_{1}}: \quad g_{i j}^{\prime}=g_{i j}, \quad b_{12}^{\prime}=b_{12}-\frac{1}{2} \Lambda_{1} \cdot A_{2}, \quad A_{1}^{\prime}=A_{1}+\Lambda, \quad A_{2}^{\prime}=A_{2} . \tag{2.1.24}
\end{equation*}
$$

This result highlights the fact that, generically, a shift of one Wilson line $A_{i}$ by a vector $\Lambda_{i} \in \Upsilon_{16}$ must be accompanied by a $b$-field shift $b_{i j}^{\prime}=b_{i j}-\frac{1}{2} \Lambda_{i} \cdot A_{j}$. The components of the charge vector $|Z\rangle$ transform as

$$
\begin{equation*}
O_{\Lambda_{i}}: \quad \pi^{I} \rightarrow \pi^{I}-\Lambda_{i}^{I} w^{i}, \quad n_{i} \rightarrow n_{i}-\frac{1}{2} \Lambda_{i}^{2} w^{i}+\pi \cdot \Lambda_{i}, \quad n_{j} \rightarrow n_{j}(j \neq i), \quad w^{i} \rightarrow w^{i} \tag{2.1.25}
\end{equation*}
$$

Now let us use this method to obtain the factorized duality $O_{D_{1}}$, which exchanges $n_{1} \leftrightarrow w^{1}$ in generic dimension $d$. The action of $O_{D_{1}}$ on the matrix $\mathcal{A}$ exchanges the first and the $(d+1)$ th columns, and so

$$
\left(\begin{array}{lll}
E_{i j}^{\prime} & -\delta_{i}{ }^{j} & A_{i}^{I^{\prime}}
\end{array}\right)=\left(\begin{array}{cc}
E_{11} & \delta^{i}{ }_{1}  \tag{2.1.26}\\
\vdots & \vdots \\
E_{d 1} & \delta_{d}{ }^{i}
\end{array}\right)^{-1}\left(\begin{array}{ccccc}
\delta_{1}^{1} & E_{1 i} & -E_{11} & -\delta^{i}{ }_{1} & A_{1}{ }^{I} \\
\vdots & \vdots & \vdots & \vdots & \vdots \\
\delta_{d}^{1} & E_{d i} & -E_{d 1} & -\delta_{d}{ }^{i} & A_{d}{ }^{I}
\end{array}\right), \quad i=2, \ldots, d .
$$

After performing this matrix operation, we obtain the transformation rules

$$
E^{\prime}=\frac{1}{E_{11}}\left(\begin{array}{cc}
1 & -E_{1 j}  \tag{2.1.27}\\
-E_{i 1} & E_{11} E_{i j}-E_{i 1} E_{1 j}
\end{array}\right), \quad A_{i}^{\prime}=\frac{1}{E_{11}}\binom{-A_{1}}{E_{11} A_{i}-E_{i 1} A_{1}}, \quad i, j=2, \ldots, d .
$$

This result generalizes to a factorized duality in an arbitrary direction $\theta$,

$$
\begin{align*}
O_{D_{\theta}}: \quad E_{\theta \theta}^{\prime} & =\frac{1}{E_{\theta \theta}}, \quad E_{\theta j}^{\prime}=-\frac{E_{\theta j}}{E_{\theta \theta}}, \quad E_{i \theta}^{\prime}=-\frac{E_{i \theta}}{E_{\theta \theta}}, \quad E_{i j}^{\prime}=\frac{E_{\theta \theta} E_{i j}-E_{i \theta} E_{\theta j}}{E_{\theta \theta}}, \\
A_{\theta}^{\prime} & =-\frac{A_{\theta}}{E_{\theta \theta}}, \quad A_{i}^{\prime}=\frac{E_{\theta \theta} A_{i}-E_{i \theta} A_{\theta}}{E_{\theta \theta}}, \quad i, j=1, \ldots, d \neq \theta \tag{2.1.28}
\end{align*}
$$

in agreement with the heterotic Buscher rules found originally in [14] and discussed also in [15].

### 2.1.2 The HE $\leftrightarrow$ HO map

Due to the uniqueness of the Narain lattices, the HO and HE theories compactified on $T^{d}$ share the same moduli space. For the circle, an explicit map relating the charge lattices of both theories was given in [16] and the precise relation between the moduli was worked out in 17 .

The $\mathrm{O}(1,17)$ transformation relating a basis of vectors of the $\Gamma_{8} \times \Gamma_{8}$ embedding into $\mathrm{I}_{1,17}$ to another one of the $\Gamma_{16}$ embedding is given by 16

$$
\begin{equation*}
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}=O_{\Lambda_{\mathrm{O}}} O_{\Omega} O_{P_{1}} O_{D_{1}} O_{-\Lambda_{\mathrm{E}}}, \tag{2.1.29}
\end{equation*}
$$

where $O_{\Lambda_{\mathrm{E}}}, O_{\Lambda_{\mathrm{O}}}$ are shifts of the Wilson line by

$$
\begin{equation*}
\Lambda_{\mathrm{E}}=\left(0^{7}, 1,-1,0^{7}\right), \quad \Lambda_{\mathrm{O}}=\left(\frac{1}{2}^{8}, 0^{8}\right), \tag{2.1.30}
\end{equation*}
$$

$O_{D_{1}}$ is a T-duality in the circle direction, $O_{P_{1}}$ an inversion and $O_{\Omega}$ a rescaling. Their action on the charge vectors and moduli is given by

$$
\begin{align*}
O_{\Lambda}: & |w, n ; \pi\rangle \rightarrow\left|w, n+\pi \cdot \Lambda-\frac{1}{2} w \Lambda^{2} ; \pi-w \Lambda\right\rangle, & (R, A) \rightarrow(R, A+\Lambda), \\
O_{D_{1}}: & |w, n ; \pi\rangle \rightarrow|n, w ; \pi\rangle, & (R, A) \rightarrow\left(\frac{R}{R^{2}+\frac{1}{2} A^{2}},-\frac{A}{R^{2}+\frac{1}{2} A^{2}}\right),  \tag{2.1.31}\\
O_{P_{1}}: & |w, n ; \pi\rangle \rightarrow|-w,-n ; \pi\rangle, & (R, A) \rightarrow(R,-A), \\
O_{\Omega}: & |w, n ; \pi\rangle \rightarrow\left|2 w, \frac{1}{2} n ; \pi\right\rangle, & (R, A) \rightarrow\left(\frac{1}{2} R, \frac{1}{2} A\right) .
\end{align*}
$$

Hence the total transformation (2.1.29) gives

$$
\begin{align*}
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}: & w \rightarrow 2 w-2 n+2 \pi \cdot \Lambda_{\mathrm{E}}, \quad n \rightarrow-2 w+2 n+\pi \cdot\left(\Lambda_{\mathrm{O}}-2 \Lambda_{\mathrm{E}}\right), \\
& \pi \rightarrow w\left(\Lambda_{\mathrm{E}}-2 \Lambda_{\mathrm{O}}\right)+2 n \Lambda_{\mathrm{O}}+\pi-2 \Lambda_{\mathrm{O}}\left(\Lambda_{\mathrm{E}} \cdot \pi\right),  \tag{2.1.32}\\
& R \rightarrow \frac{R}{2 R^{2}+\left(A-\Lambda_{\mathrm{E}}\right)^{2}}, \quad A \rightarrow \frac{A-\Lambda_{\mathrm{E}}}{2 R^{2}+\left(A-\Lambda_{\mathrm{E}}\right)^{2}}+\Lambda_{\mathrm{O}},
\end{align*}
$$

corresponding to the $O(1,17, \mathbb{R})$ matrix

$$
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}=\left(\begin{array}{ccc}
2 & -2 & \Lambda_{\mathrm{O}}-2 \Lambda_{\mathrm{E}}  \tag{2.1.33}\\
-2 & 2 & 2 \Lambda_{\mathrm{E}} \\
2 \Lambda_{\mathrm{O}}^{t} & \Lambda_{\mathrm{E}}^{t}-2 \Lambda_{\mathrm{O}}^{t} & \mathbb{1}_{16}-2 \Lambda_{\mathrm{O}} \otimes \Lambda_{\mathrm{E}}
\end{array}\right)
$$

where $\otimes$ is an outer product.

Labeling $E_{\mathrm{E}}=R_{\mathrm{E}}^{2}+\frac{1}{2} A_{\mathrm{E}}^{2}$ and the Wilson line $A_{\mathrm{E}}$ in the HE theory, the transformation (2.1.32) gives the HO moduli as 17

$$
\begin{equation*}
\left(E_{\mathrm{O}}, A_{\mathrm{O}}\right)=\left(1+\frac{A_{\mathrm{E}} \cdot \Lambda_{\mathrm{O}}}{2\left(E_{\mathrm{E}}+1-A_{\mathrm{E}} \cdot \Lambda_{\mathrm{E}}\right)}, \frac{A_{\mathrm{E}}-\Lambda_{\mathrm{E}}}{2\left(E_{\mathrm{E}}+1-A_{\mathrm{E}} \cdot \Lambda_{\mathrm{E}}\right)}+\Lambda_{\mathrm{O}}\right) . \tag{2.1.34}
\end{equation*}
$$

The map from HO to HE is simply obtained by exchanging $\left(E_{\mathrm{O}}, A_{\mathrm{O}}, \Lambda_{\mathrm{O}}\right) \leftrightarrow\left(E_{\mathrm{E}}, A_{\mathrm{E}}, \Lambda_{\mathrm{E}}\right)$.

To extend (2.1.33) from the circle to $T^{d}$, it is sufficient to consider a decomposition of the Narain lattice of the form

$$
\begin{equation*}
\mathrm{II}_{d, d+16}=\mathrm{II}_{1,1} \oplus \cdots \oplus \mathrm{II}_{1,1} \oplus \Gamma_{8} \oplus \Gamma_{8}, \tag{2.1.35}
\end{equation*}
$$

where the number of $\mathrm{II}_{1,1}$ lattices is $d$. We use $\Theta_{\mathrm{E} \rightarrow \mathrm{O}}$ to transform

$$
\begin{equation*}
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}: \quad \mathrm{II}_{1,1} \oplus \Gamma_{8} \oplus \Gamma_{8} \rightarrow \mathrm{II}_{1,1} \oplus \Gamma_{16}, \tag{2.1.36}
\end{equation*}
$$

choosing $\mathrm{II}_{1,1}$ to be in the direction given by the torus lattice vector $e_{1}$, without loss of generality. This brings the Narain lattice into the form

$$
\begin{equation*}
\mathrm{II}_{d, d+16}=\mathrm{II}_{1,1} \oplus \cdots \oplus \mathrm{II}_{1,1} \oplus \Gamma_{16} . \tag{2.1.37}
\end{equation*}
$$

It follows that the desired extension is

$$
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}^{(d)} \rightarrow \mathbb{1}_{(2 d-2) \times(2 d-2)} \oplus \Theta_{\mathrm{E} \rightarrow \mathrm{O}}=\left(\begin{array}{cc}
\mathbb{1}_{(2 d-2) \times(2 d-2)} & 0  \tag{2.1.38}\\
0 & \Theta_{\mathrm{E} \rightarrow \mathrm{O}}
\end{array}\right)
$$

which holds provided the ordering $|Z\rangle=\left|w^{2}, n_{2}, \ldots, w^{d}, n_{d}, w^{1}, n_{1} ; \pi\right\rangle$ is used. In practice one may wish to keep the order in 2.1.11) and rearrange the entries of $\Theta_{\mathrm{E} \rightarrow \mathrm{O}}^{(d)}$ instead, which is reasonable for low values of $d$.

To get the transformation rules for the moduli, we proceed constructively using the factorized form of $\Theta_{\mathrm{E} \rightarrow \mathrm{O}}$ in 2.1.29), and generalizing each intermediate transformation. Each of the generalized transformation rules can be obtained by the method detailed in section 2.1.1, which is valid not only for T-dualities but for generic $\mathrm{O}(d, d+16)$ transformations such as $O_{\Lambda_{\mathrm{O}}}$ (in HE) and $O_{D_{1}}$.

Let us first take a detailed look at the map $\Theta_{\mathrm{E} \rightarrow \mathrm{O}}$ for $d=2$. The generalization to arbitrary $d$ is straightforward. Preserving the usual ordering of the components of $|Z\rangle$, namely $\left|w^{1}, w^{2}, n_{1}, n_{2} ; \pi\right\rangle$, we write

$$
\Theta_{\mathrm{E} \rightarrow \mathrm{O}}^{(2)}=\left(\begin{array}{ccccc}
2 & 0 & -2 & 0 & \Lambda_{\mathrm{O}}-2 \Lambda_{\mathrm{E}}  \tag{2.1.39}\\
0 & 1 & 0 & 0 & 0 \\
-2 & 0 & 2 & 0 & 2 \Lambda_{\mathrm{E}} \\
0 & 0 & 0 & 1 & 0 \\
2 \Lambda_{\mathrm{O}}^{t} & 0 & 2 \Lambda_{\mathrm{E}}^{t}-\Lambda_{\mathrm{O}}^{t} & 0 & \mathbb{1}_{16 \times 16}-2 \Lambda_{\mathrm{O}} \otimes \Lambda_{\mathrm{E}}
\end{array}\right),
$$

The transformation rules for the quantum numbers are exactly the same as in the $d=1$ case for $w^{1}, n_{1}$ and $\pi$, while $w^{2}$ and $n_{2}$ are invariant, as expected.

To work out the map, we proceed by applying the transformations in the r.h.s. of (2.1.29) in succession. The Wilson line shift in direction 1 acts as

$$
O_{\Lambda}: \quad E \rightarrow\left(\begin{array}{cc}
E_{11}-\Lambda \cdot A_{1}+1 & E_{12}  \tag{2.1.40}\\
E_{21}-\Lambda \cdot A_{2} & E_{22}
\end{array}\right), \quad A_{1} \rightarrow A_{1}-\Lambda, \quad A_{2} \rightarrow A_{2} .
$$

Note that $E_{12}$ is invariant since the $b$-field is also shifted (see the footnote 11). The factorized duality acts as

$$
O_{D_{1}}: \quad E \rightarrow \frac{1}{E_{11}}\left(\begin{array}{cc}
1 & -E_{12}  \tag{2.1.41}\\
E_{21} & \operatorname{det} E
\end{array}\right), \quad A_{1} \rightarrow-\frac{A_{1}}{E_{11}}, \quad A_{2} \rightarrow A_{2}-\frac{E_{21}}{E_{11}} A_{1}
$$

and finally $O_{P_{1}}$ and $O_{\Omega}$ produce the transformations

$$
\begin{align*}
O_{P_{1}}: & E \rightarrow\left(\begin{array}{cc}
E_{11} & -E_{12} \\
-E_{21} & E_{22}
\end{array}\right), \quad A_{1} \rightarrow-A_{1}, \quad A_{2} \rightarrow A_{2}  \tag{2.1.42}\\
O_{\Omega}: & E \rightarrow\left(\begin{array}{cc}
\frac{1}{4} E_{11} & \frac{1}{2} E_{12} \\
\frac{1}{2} E_{21} & E_{22}
\end{array}\right), \quad A_{1} \rightarrow \frac{1}{2} A_{1}, \quad A_{2} \rightarrow A_{2} \tag{2.1.43}
\end{align*}
$$

Putting all together, we get

$$
\begin{align*}
\left(\begin{array}{lll}
E_{11} & E_{12} & A_{1} \\
E_{21} & E_{22} & A_{2}
\end{array}\right) & \rightarrow\left(\begin{array}{ccc}
1 & 0 & \Lambda_{\mathrm{O}} \\
\Lambda_{\mathrm{O}} \cdot A_{2} & E_{22} & A_{2}
\end{array}\right) \\
& +\frac{1}{E_{11}-\Lambda_{\mathrm{E}} \cdot A_{1}+1}\binom{\frac{1}{2}}{\Lambda_{\mathrm{E}} \cdot A_{2}-E_{21}}\left(\begin{array}{lll}
\Lambda_{\mathrm{O}} \cdot A_{1} & E_{12} & A_{1}-\Lambda_{\mathrm{E}}
\end{array}\right) \tag{2.1.44}
\end{align*}
$$

The map for generic $d$ can be worked out in a similar fashion. The final result reads

$$
\begin{align*}
\left(\begin{array}{ccccc}
E_{11} & E_{12} & \cdots & E_{1 d} & A_{1} \\
E_{21} & E_{22} & \cdots & E_{2 d} & A_{2} \\
\vdots & \vdots & \ddots & \vdots & \vdots \\
E_{d 1} & E_{d 2} & \cdots & E_{d d} & A_{d}
\end{array}\right) & \rightarrow\left(\begin{array}{ccccc}
1 & 0 & \cdots & 0 & \Lambda_{\mathrm{O}} \\
\Lambda_{\mathrm{O}} \cdot A_{2} & E_{22} & \cdots & E_{2 d} & A_{2} \\
\vdots & \vdots & \ddots & \vdots & \vdots \\
\Lambda_{\mathrm{O}} \cdot A_{d} & E_{d 2} & \cdots & E_{d d} & A_{d}
\end{array}\right) \\
& +\frac{1}{E_{11}-\Lambda_{\mathrm{E}} \cdot A_{1}+1}\left(\begin{array}{c}
\frac{1}{2} \\
\Lambda_{\mathrm{E}} \cdot A_{2}-E_{21} \\
\vdots \\
\Lambda_{\mathrm{E}} \cdot A_{d}-E_{d 1}
\end{array}\right)\left(\begin{array}{lllll}
\Lambda_{\mathrm{O}} \cdot A_{1} & E_{12} & \cdots & E_{1 d} & A_{1}-\Lambda_{\mathrm{E}}
\end{array}\right) \tag{2.1.45}
\end{align*}
$$

In the forthcoming sections we will apply the HE-HO map in compactifications to $d=1$ and 2 and give some examples for other values of $d$.

### 2.2 Embedding in Narain lattices

In this section we discuss how to determine which gauge groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{d+16-r}$ occur in the compactification of perturbative heterotic strings on $T^{d}$. We are mostly interested in
heterotic compactification on $T^{2}$, which is dual to F-theory compactifications on elliptic K3 surfaces [18]. Not surprisingly, for $d=2$ the problem of finding all allowed $\mathrm{G}_{r}$ happens to be related to the classification of possible singular fibers of ADE type in elliptic K3 surfaces. The explicit solution has been obtained in the K3 framework in [19, 20], using Nikulin's formalism. The results are expected to hold in the heterotic context too. The reason is that in the K3 context, the condition on the allowed $\mathrm{G}_{r}$ is that its even positive definite root lattice can be embedded in $\mathrm{I}_{2,18}$ which is precisely the Narain lattice.

According to Theorem 1.12.4 in [21], any $\mathrm{G}_{r}$ of type ADE with $r \leq 10$ is allowed for $d=2$, as indeed found in [20]. For larger $r$ more complicated conditions have to be verified as we will explain shortly. This program has been carried out in [20]. It turns out that for $r=11,12$, also all $\mathrm{ADE} \mathrm{G}_{r}$ can be embedded in $\mathrm{II}_{2,18}$. For $r=13$, only $13 \mathrm{~A}_{1}$ and $11 \mathrm{~A}_{1}+\mathrm{A}_{2}$ are precluded. Henceforth $\mathrm{G}_{r}$ will be denoted by the chain of ADE factors of its algebra. For $r=14$, except $8 \mathrm{~A}_{1}+\mathrm{E}_{6}$, all other forbidden groups, e.g. $14 \mathrm{~A}_{1}$, were predicted to be prohibited because singular fibers with such $\mathrm{G}_{r}$ could not fit in a K 3 where the vanishing degree of the discriminant must be 24 . For $r \geq 15$ there are many more forbidden groups. In particular, there are 1599 ADE groups of rank 18 [20] but according to the analysis of [19, 20], only 325 are expected to be realized in compactifications of the heterotic string on $T^{2}$. A natural question is why some groups are forbidden. To answer it, we will present some tools that can be applied to decide when a group is allowed or not. Our purpose is to illustrate the main ideas, not to do a systematic search as in [19, 20] for $d=2$.

We will mostly focus on the case of maximal enhancing, i.e. $\mathrm{G}_{r}$ with $r=d+16$. In 2.2.1, we will first discuss three criteria that can be applied for generic $d$. We then specialize to $d=1,2$, and in less detail to $d=8$. The criteria for groups with $r<16+d$ are presented in appendix A.2.1. The connection of the criteria to heterotic compactifications is addressed in section 2.2.2. We refer to [22-24] for short expositions of the main results of Nikulin's 21] relevant for our analysis, see also [25-28]. Before jumping into matters the reader is advised to consult appendix A. 1 where the notation and some basic concepts are introduced.

### 2.2.1 Embeddings of groups with maximal rank $r=d+16$

The problem is to embed a lattice $L$ of signature $(0, d+16)$ in the even unimodular Narain lattice $\mathrm{II}_{d, d+16}$. In the heterotic context $L$ is the root lattice of a group of maximal rank arising upon compactification on $T^{d}$. Nikulin 21] provides powerful results that serve to determine whether or not such embedding exists. In particular, adapting respectively Corollary 1.12.3 and Theorem 1.12.4(c) of [21 to the case at hand leads to the criteria

## Criterion 1

If $\ell\left(A_{L}\right)<d$ then $L$ has a primitive embedding in $\mathrm{I}_{d, d+16}$.

## Criterion 2

$L$ has a primitive embedding in $\mathrm{I}_{d, d+16}$ if and only if there exists a lattice $T$ of signature $(0, d)$ such that $\left(A_{T}, q_{T}\right)$ is isomorphic to $\left(A_{L}, q_{L}\right)$.

Here $A_{L}$ and $q_{L}$ are respectively the discriminant group and the quadratic discriminant form of $L$, whereas $\ell\left(A_{L}\right)$ is the minimal number of generators of $A_{L}$, and analogously for $T$ (see appendix A. 1 for details). Since $\ell\left(A_{T}\right) \leq d$, groups with $\ell\left(A_{L}\right)=d$ could pass criterion 2 which actually requires $d(L)=d(T)$. We will shortly explain how the lattice $T$ can be determined when $d=1,2$. There could exist more than one $T$, as found for some groups in 19. Notice that in our conventions $(0, d)$ means positive signature.

Now, criteria 1 and 2 cannot be the whole story. We know groups with $\ell\left(A_{L}\right)>d$ that can be realized in heterotic compactifications on $T^{d}$. For example, when $d=2$, heterotic moduli that give $L=3 \mathrm{E}_{6}$ are known. Hence, there should be an embedding of this $L$ in $\mathrm{II}_{2,18}$ even though $\ell\left(A_{L}\right)=3$. We also know examples with $d=1$. In particular, $L=\mathrm{D}_{16}+\mathrm{A}_{1}$ with $\ell\left(A_{L}\right)=3$, would be forbidden by criterion 2 but must admit an embedding in $\mathrm{II}_{1,17}$ because it certainly arises in the heterotic string on $S^{1}$. Actually, for $d=1$ the 44 groups with maximal rank found in 12 have $\ell\left(A_{L}\right) \leq 3$. Only the groups with $\ell\left(A_{L}\right)=1$, e.g. $L=2 \mathrm{E}_{8}+\mathrm{A}_{1}$, could possibly be allowed by criterion 2 . The problem is that criteria 1 and 2 refer to primitive embeddings and this need not be the case. From the arguments in [19, 20] it transpires that this condition can be relaxed by demanding that $L$ has an overlattice $M$ which can be embedded primitively in the Narain lattice. For instance, we know that $\mathrm{D}_{16}$ has an overlattice given by the even unimodular HO lattice $\Gamma_{16}$ with trivial discriminant group. Therefore, $L=\mathrm{D}_{16}+\mathrm{A}_{1}$ has an overlattice $M=\Gamma_{16}+\mathrm{A}_{1}$ with $A_{M}=\mathbb{Z}_{2}$ and $\ell\left(A_{M}\right)=1$. The overlattice $M$ could then pass criterion 2 with an even 1 dimensional lattice $T$ equal to the $A_{1}$ lattice.

The above arguments lead to a third criterion obtained adapting Theorem 7.1 [20]. It reads

## Criterion 3

$L$ has an embedding in $\mathrm{I}_{d, d+16}$ if and only if $L$ has an overlattice $M$ with the following properties:
(i) there exists an even lattice $T$ of signature $(0, d)$ such that $\left(A_{T}, q_{T}\right)$ is isomorphic to $\left(A_{M}, q_{M}\right)$,
(ii) the sublattice $M_{\text {root }}$ of $M$ coincides with $L$.

Since $L$ is an overlattice of itself, criterion 2 is a subcase of criterion 3. As explained in appendix A.1, for an overlattice $M$ to exist, there must be an isotropic subgroup $H_{L}$ of $A_{L}$ such that $M / L \cong H_{L}$ and $\left|H_{L}\right|^{2}=d(L) / d(M)$. When criterion 3 is satisfied, $d(M)=d(T)$. We then obtain the useful relation

$$
\begin{equation*}
d(L)=d(T)\left|H_{L}\right|^{2} . \tag{2.2.1}
\end{equation*}
$$

We will refer to $T$ as the complementary lattice in the following.
In the K3 framework, in which $d=2, H_{L}$ corresponds to the torsion part of the MordellWeil group, called $M W$ in [19]. It can be checked that all pairs $(L, T)$ in Table 2 of [19], reproduced in our Table A.2, satisfy the relation (2.2.1). We remark that there could exist more than one $M$, as found for some groups in [19].

In the work of Shimada and Zhang [19], the focus is on the classification of all possible ADE types of singular fibers of extremal elliptic K3 surfaces. Such a surface, called $X$, is characterized by having Picard number, $\rho(X)$, equal to 20, and finite Mordell-Weil group [22]. In this case the Néron-Severi lattice, $N S_{X}$, and the transcendental lattice, $T_{X}$, have signatures $(1,19)$ and $(2,0)$ respectively ${ }^{2}$. The lattice $W_{X}$ has signature $(0,18)$ and contains the sublattice $L(\Sigma)$ of rank 18 , where $\Sigma$ is the formal sum of the ADE types of singular fibers (determined by the Kodaira classification). It follows that $L(\Sigma)$ must admit an embedding in $\mathrm{I}_{2,18}$. Now, in the heterotic compactification on $T^{2}$, the semisimple ADE groups of maximal rank 18 that can occur are such that their root lattice can be embedded in the Narain lattice $\mathrm{II}_{2,18}$. Thus, the results of [19] for all possible $L(\Sigma)$ translate into all possible maximal enhancings in the heterotic compactification on $T^{2}$. Notice that the complementary lattice of criteria 2 and 3 above is related to the transcendental lattice by a change of sign of the Gram metric, i.e. $T=T_{X}\langle-1\rangle$. In section 2.2 .2 we will discuss to greater extent the connection to heterotic compactifications.

We illustrate below the application of criteria $1,2,3$ to the cases $d=1,2$. We will also comment briefly on $d=8$. In practice we first try criterion 1 . If $L$ passes it, then it is allowed. If not, we continue with criterion 2 . If $L$ satisfies it, we are done, otherwise we apply criterion 3. If $L$ also fails criterion 3 we conclude that $L$ is not allowed. A consistency check is that if $L$ passes criterion 1 it must also fulfill criterion 3. Let us mention that the steps taken by Shimada and Zhang to compile their list, cf. section 3 in [19], indicate that they run a computer program based on the more general criterion 3.
$d=1$
As a warm up we will study the $d=1$ case which is simple yet instructive. Moreover, all allowed groups of maximal enhancing appearing in heterotic compactification on $S^{1}$ are already known [12]. Thus, there are many examples to illustrate the application of the lattice embedding techniques.

When $d=1$ the easy criterion 1 gives no information. When $\ell\left(A_{L}\right)=1$ we then apply

[^0]criterion 2. In Table 2.1 we give some examples of allowed groups. It is easy to propose the corresponding $T$ because it must be $d(T)=d(L)$ and the $(0,1)$ even lattices are of type $\mathrm{A}_{1}\langle m\rangle$, defined to be the $\mathrm{A}_{1}$ lattice rescaled so that its basis vector has norm $u_{1}^{2}=2 m$. One still has to check that the discriminant forms do match, more precisely that there is an isomorphism $\left(A_{L}, q_{L}\right) \cong\left(A_{T}, q_{T}\right)$. For example, for $L=\mathrm{D}_{17}, A_{L}$ is generated by the spinor class with $s^{2}=\frac{17}{4}=\frac{1}{4} \bmod 2$, so $q_{L}$ takes values $\frac{j^{2}}{4} \bmod 2, j=0, \ldots 3$. This matches the $q_{T}$ of $\mathrm{A}_{1}\langle 2\rangle$ which takes the same values because $\left(u_{1}^{*}\right)^{2}=\frac{1}{4}$. It is more challenging to check $L=\mathrm{E}_{7}+\mathrm{A}_{10}$. For the proposed $T, A_{T}$ is generated by $u_{1}^{*}$ with $\left(u_{1}^{*}\right)^{2}=\frac{1}{22}$, whereas $A_{L}$ is generated by $w_{56} \times w_{1}$ with $w_{56}^{2}=\frac{3}{2}$ and $w_{1}^{2}=\frac{10}{11}$. To see that $q_{L}$ and $q_{T}$ match it suffices to verify that $\left(\frac{3}{2}+\frac{10 j^{2}}{11}=\frac{1}{22}+2 k\right)$ is satisfied by integers $j$ and $k$, e.g. $j=4, k=8$.

| $L$ | $A_{L}$ | $T$ |
| :---: | :---: | :---: |
| $2 \mathrm{E}_{8}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | $\mathrm{~A}_{1}$ |
| $\mathrm{D}_{17}$ | $\mathbb{Z}_{4}$ | $\mathrm{~A}_{1}\langle 2\rangle$ |
| $\mathrm{E}_{8}+\mathrm{D}_{9}$ | $\mathbb{Z}_{4}$ | $\mathrm{~A}_{1}\langle 2\rangle$ |
| $\mathrm{E}_{7}+\mathrm{A}_{10}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{11} \cong \mathbb{Z}_{22}$ | $\mathrm{~A}_{1}\langle 11\rangle$ |

Table 2.1: Examples of allowed $L$ with $\ell\left(A_{L}\right)=1$, when $d=1$.

The allowed groups [12 with maximal enhancing of the form $L=\mathrm{E}_{8}+\mathrm{E}_{9-p}+A_{p}, p=$ $1, \ldots, 9, p \neq 7$, all have $\ell\left(A_{L}\right)=1$. Only for $p=8$ there is an isotropic subgroup (actually for the $\mathrm{A}_{8}$ component) but the $M_{\text {root }}$ of the associated $M$ is larger than $L$. Hence, all these groups should be allowed by criterion 2 . We find that the corresponding $T$ is $\mathrm{A}_{1}\left\langle\frac{p(p+1)}{2}\right\rangle, p=1, \ldots, 6$, and $\mathrm{A}_{1}\left\langle\frac{(10-p)(p+1)}{2}\right\rangle, p=8,9$.

It is straightforward but cumbersome to check exhaustively which of the known groups with maximal enhancing and $\ell\left(A_{L}\right)=1$ satisfy criterion 2 , and if not apply criterion 3 . In many cases, e.g. $L=\mathrm{E}_{7}+\mathrm{E}_{6}+\mathrm{A}_{4}, A_{L}=\mathbb{Z}_{30}$, one can quickly see that an overlattice cannot exist because there is no isotropic subgroup. Since this $L$ is known to appear, criterion 2 should allow it, and indeed $T=\mathrm{A}_{1}\langle 15\rangle$ fulfills the conditions.

A neat example with $\ell\left(A_{L}\right)=1$ is $L=\mathrm{A}_{17}, A_{L}=\mathbb{Z}_{18}$. The candidate $T$ would be $\mathrm{A}_{1}\langle 9\rangle$ but the discriminant forms do not match because there are no integers $j$ and $k$ such that $\left(\frac{17 j^{2}}{18}=\frac{1}{18}+2 k\right)$ is satisfied. Fortunately, $\mathrm{A}_{17}$ has an overlattice $M$ associated to the isotropic subgroup $H_{L}=\mathbb{Z}_{3}$, generated by $w_{6}$ with $w_{6}^{2}=4=0 \bmod 2$. From 2.2.1 we see that $d(T)=2$ so it must be $T=\mathrm{A}_{1}$. Since $d(M)=d(T)$ also $A_{M}=\mathbb{Z}_{2}$. It remains to check that the discriminant forms of $A_{M}$ and $A_{T}$ coincide. To this end we need to determine the orthogonal complement $H_{L}^{\perp}$ of $H_{L}$ in $A_{L}$ and restrict $q_{L}$ to $H_{L}^{\perp} / H_{L}$. We then look for weights orthogonal to the generator $w_{6}$, i.e. weights such that $w_{i} \cdot w_{6}=0 \bmod 1$. Besides $w_{6}$ and $w_{12}$ which belong to $H_{L}, w_{3}, w_{9}$ and $w_{15}$ are orthogonal. Now, $w_{i}^{2}=\frac{1}{2} \bmod 2$, for $i=3,9,15$. This confirms that $A_{M}=\mathbb{Z}_{2}$, with the discriminant form $q_{M}$ taking values 0 and $\frac{1}{2}$. These are the
same values taken by $q_{T}$. Finally, the root sublattice of $M$ is equal to $L$ because $w_{6}^{2}=4$.
We can also study known allowed groups with $\ell\left(A_{L}\right) \geq 2$ where criterion 3 must be applied. An example is the group with $L=\mathrm{E}_{6}+\mathrm{A}_{11}, A_{L}=\mathbb{Z}_{3} \times \mathbb{Z}_{12}$. There exists an overlattice with $H_{L}=\mathbb{Z}_{3}$ and it can be shown that criterion 3 is satisfied with $T=\mathrm{A}_{1}\langle 2\rangle$. For a second example take $L=\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{14}, A_{L}=\mathbb{Z}_{2} \times \mathbb{Z}_{3} \times \mathbb{Z}_{15} \cong \mathbb{Z}_{6} \times \mathbb{Z}_{15}$. The piece $\tilde{L}=\mathrm{A}_{2}+\mathrm{A}_{14}$ has an overlattice $\tilde{M}$ with $d(\tilde{M})=5$ so necessarily $A_{\tilde{M}}=\mathbb{Z}_{5}$. Thus, $L$ has an overlattice $M=\mathrm{A}_{1}+\tilde{M}, A_{M}=\mathbb{Z}_{2} \times \mathbb{Z}_{5} \cong \mathbb{Z}_{10}$ and a candidate $T$ is $\mathrm{A}_{1}\langle 5\rangle$. With $\ell\left(A_{L}\right)=3$ we already discussed how $L=\mathrm{D}_{16}+\mathrm{A}_{1}$ passes the test. In Table A.1 we give full results.

So far we have discussed groups with maximal enhancing which are known to occur. It is reassuring that they are allowed by the lattice embedding criteria but our main motivation was to understand why some groups are forbidden. Let us then finally offer a couple of examples of forbidden groups. Take $L=\mathrm{A}_{6}+\mathrm{D}_{11}, A_{L}=\mathbb{Z}_{28}$. A candidate $T$ is $A_{1}\langle 14\rangle$, but $q_{T} \not \equiv q_{L}$. An overlattice cannot exist because there is no isotropic subgroup of $A_{L}$. Thus, this $L$ fails criteria 2 and 3. A less trivial example is $L=2 \mathrm{D}_{8}+\mathrm{A}_{1}, A_{L}=\mathbb{Z}_{2}^{5}$. In appendix A.1 we explained that $\mathrm{D}_{8}$ admits $\mathrm{E}_{8}$ as an overlattice. For $L$ this leads to a full overlattice given by $M=2 \mathrm{E}_{8}+\mathrm{A}_{1}$. Now $A_{M}=\mathbb{Z}_{2}$ and an adequate $T$ would be $\mathrm{A}_{1}$. However, condition (ii) in criterion 3 is not satisfied. As remarked in appendix A.1, the root sublattice of $2 \mathrm{E}_{8}$ is not equal to $2 \mathrm{D}_{8}$. Actually, $L$ admits also an overlattice $M^{\prime}=\mathrm{E}_{8}+\mathrm{D}_{8}+\mathrm{A}_{1}$ with $A_{M^{\prime}}=\mathbb{Z}_{2}^{3}$ and $\ell\left(A_{M^{\prime}}\right)=3$ so there can be no associated $T$. It would be interesting to study more examples of forbidden groups.
$d=2$
When $d=2$, criterion 1 implies that lattices with $\ell\left(A_{L}\right)=1$ give allowed groups. In Table 2.2 we present a few examples of this type.

| $L$ | $A_{L}$ | $T$ |
| :---: | :---: | :---: |
| $\mathrm{~A}_{18}$ | $\mathbb{Z}_{19}$ | $[2,1,10]$ |
| $\mathrm{A}_{4}+\mathrm{E}_{6}+\mathrm{E}_{8}$ | $\mathbb{Z}_{5} \times \mathbb{Z}_{3} \cong \mathbb{Z}_{15}$ | $[2,1,8]$ |
| $\mathrm{A}_{2}+\mathrm{A}_{16}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{17} \cong \mathbb{Z}_{51}$ | $[6,3,10]$ |
| $\mathrm{A}_{8}+\mathrm{A}_{10}$ | $\mathbb{Z}_{9} \times \mathbb{Z}_{11} \cong \mathbb{Z}_{99}$ | $[10,1,10]$ |
| $\mathrm{A}_{6}+\mathrm{A}_{12}$ | $\mathbb{Z}_{7} \times \mathbb{Z}_{13} \cong \mathbb{Z}_{91}$ | $[2,1,46]$ |
| $\mathrm{E}_{6}+\mathrm{A}_{12}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{13} \cong \mathbb{Z}_{39}$ | $[4,1,10]$ |

Table 2.2: Examples of allowed $L$ with $\ell\left(A_{L}\right)=1$, when $d=2$. $T$ is denoted by its Gram matrix $\left[u_{1}^{2}, u_{1} \cdot u_{2}, u_{2}^{2}\right]$.

Before considering examples with $\ell\left(A_{L}\right)=2$ let us describe how to find the lattice $T$. To begin, $d(T)$ is known because it must be equal to $d(L)$ or $d(M)$. Next, the even 2 dimensional lattices of determinant less than 50 are listed in Table 15.1 of [29], and for larger $d(T)$ they
can be found using the SageMath module on binary quadratic forms [30]. Given $T$, the pair $\left(A_{T}, q_{T}\right)$ can be deduced as explained in appendix A.1. We then check if $\left(A_{T}, q_{T}\right) \cong\left(A_{L}, q_{L}\right)$.

Criterion 2 must also hold when $\ell\left(A_{L}\right)=1$ since in this case the existence of a primitive embedding is guaranteed by criterion 1 . In Table 2.2 we have shown the corresponding matrices $T$. For example, with $d(T)=19$ there is only the lattice with Gram matrix $Q$ given in Table 2.2. It can be checked that $A_{T} \cong \mathbb{Z}_{19}$ and that the values of $q_{T}$ are such that indeed $\left(A_{T}, q_{T}\right)$ is isomorphic to $\left(A_{L}, q_{L}\right)$ for $L=A_{18}$. For $L=\mathrm{A}_{4}+\mathrm{E}_{6}+\mathrm{E}_{8}$ we need a $T$ with $d(T)=15$. In this case there are two possible lattices, $[2,1,8]$ and $[4,1,4]$, both with $A_{T}=\mathbb{Z}_{15}$. It can be checked that only the discriminant form of the first does match $q_{L}$.

The allowed $L$ 's are given in Table 2 in [19]. It is a simple task to find $A_{L}$ and $\ell\left(A_{L}\right)$. Groups accepted by criterion 2 have $\ell\left(A_{L}\right)=2$ and $M W=[0]$. In our language trivial $M W$ means trivial $H_{L}$, i.e. trivial overlattice $M=L$. There are many examples of this type. In Table 2.3 we show a few. To find $T$ we proceed as explained before, looking first for even lattices of determinant $d(T)=d(L)$ and $A_{T}=A_{L}$. There might be more than one, the correct ones must have $\left(A_{T}, q_{T}\right) \cong\left(A_{L}, q_{L}\right)$. In Table 2.3 we have displayed in red candidates for $T$ that are discarded because $q_{T}$ is incongruent with $q_{L}$. The incorrect $T$ 's are more or less obvious. Checking the isomorphism for the correct ones is more laborious. For instance, for $L=\mathrm{E}_{6}+\mathrm{D}_{12}$, the distinct values that can appear in $q_{L}$ are in the set $\left\{0, \frac{1}{3}, 1, \frac{4}{3}\right\}$. Both $T$ 's have $A_{T}=\mathbb{Z}_{2} \times \mathbb{Z}_{6}$, but the values of $q_{L}$ can only be matched to the values in the $T$ with $Q^{-1}=\left[\frac{1}{3},-\frac{1}{6}, \frac{1}{3}\right]$.

| $L$ | $A_{L}$ | $T$ |
| :---: | :---: | :---: |
| $2 \mathrm{D}_{9}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{4}$ | $[4,0,4]$ |
| $\mathrm{A}_{4}+2 \mathrm{E}_{7}$ | $\mathbb{Z}_{5} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2} \cong \mathbb{Z}_{10} \times \mathbb{Z}_{2}$ | $[4,2,6][2,0,10]$ |
| $\mathrm{E}_{6}+\mathrm{D}_{12}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2} \cong \mathbb{Z}_{6} \times \mathbb{Z}_{2}$ | $[4,2,4][2,0,6]$ |
| $\mathrm{A}_{1}+\mathrm{A}_{17}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{18}$ | $[4,2,10][2,0,18]$ |

Table 2.3: Examples of allowed $L$ with $\ell\left(A_{L}\right)=2$, when $d=2$. The candidates for $T$ with $d(T)=d(L)$, but with $\left(A_{T}, q_{T}\right) \not \equiv\left(A_{L}, q_{L}\right)$, are displayed in red.

The example $L=\mathrm{A}_{1}+\mathrm{A}_{17}$ is interesting because it also admits an overlattice. Indeed, in section 2.2.1 we saw that $\tilde{L}=\mathrm{A}_{17}$ has an overlattice $\tilde{M}$ with $\tilde{M} / \tilde{L} \cong \mathbb{Z}_{3}, A_{\tilde{M}}=\mathbb{Z}_{2}$ and $q_{\tilde{M}}=\left\{0, \frac{1}{2}\right\}$. Thus, the full $L$ has an overlattice $M=\mathrm{A}_{1}+\tilde{M}$ with $A_{M}=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ and $M / L \cong \mathbb{Z}_{3}$. Now criterion 3 can be fulfilled with $T=[2,0,2]$. This agrees with results of (19] for this $L$.

When $\ell\left(A_{L}\right) \geq 3$ we can check that the allowed groups pass criterion 3 with the data given in Table 2 of 19. One example is $L=3 \mathrm{~A}_{6}, A_{L}=\mathbb{Z}_{7}^{3}$. There is an isotropic subgroup $H_{L}=\mathbb{Z}_{7}$ generated by $\mu=w_{1}(1) \times w_{2}(2) \times w_{4}(3)$, where $w_{i}(a)$ denotes weights of the $a^{\text {th }} \mathrm{A}_{6}$ factor. Notice that $\mu^{2}=4=0 \bmod 2$. From (2.2.1), $d(M)=7$ so necessarily $A_{M}=\mathbb{Z}_{7}$. Following the
procedure to determine $q_{M}$ shows that it matches the $q_{T}$ of $T=[2,1,4]$ which is the unique even 2-dimensional lattice with $d(T)=7$.

Finally we come to forbidden groups. Let us discuss the examples in Table 2.4. In all three there are no suitable lattices $T$. The possible candidates, shown in red, are discarded because their $q_{T}$ does not match $q_{L}$. We conclude that these groups do not satisfy criterion 2 and continue to check criterion 3. In example 1 we know that $\mathrm{D}_{8}$ has an overlattice $\mathrm{E}_{8}$ so the full $L$ has an overlattice $M=2 \mathrm{E}_{8}+\mathrm{A}_{2}, M / L \cong \mathbb{Z}_{2}$ so $d(M)=3$, consistent with $A_{M}=\mathbb{Z}_{3}$. Now $q_{M}$ matches the $q_{T}$ of $T=[2,1,2]$ but still criterion 3 fails because $M_{\text {root }} \neq L$. In example 2, there is an isotropic subgroup $H_{L}=\mathbb{Z}_{2}$ generated by $\mu=v \times w_{2}$, where $v$ is the vector weight of $\mathrm{D}_{15}$ and $w_{2}$ is the weight of the $\mathbf{1 0}$ of $\mathrm{A}_{3}$. Since $v^{2}=1$ and $w_{2}^{2}=1, \mu^{2}=2$. From (2.2.1), $d(M)=\frac{16}{2^{2}}=4$. The only possible $T$ with $d(T)=4$ is $[2,0,2]$ and it could be that $q_{T}$ matches $q_{M}$. However, $M$ has elements $y+n \mu, y \in L, n=0,1$ and since $\mu^{2}=2, M_{\text {root }} \neq L$. Hence, example 2 does not pass criterion 3. Concerning example 3, it flops criterion 3 because there is no isotropic subgroup of $A_{L}$. To see this, first observe that 2.2.1) implies that only $\left|H_{L}\right|=7$ would be consistent with $d(M)$ being an integer. Thus, $H_{L}$ would have to be $\mathbb{Z}_{7}$ and its generator would have to be a product of weights of the $\mathrm{A}_{6}$ 's, say $\mu=w_{i}(1) \times w_{j}(2)$. However it is not possible to obtain $\mu^{2}=0 \bmod 2$.

| $\#$ | $L$ | $A_{L}$ | $T$ |
| :---: | :---: | :---: | :---: |
| 1 | $\mathrm{E}_{8}+\mathrm{D}_{8}+\mathrm{A}_{2}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{3} \cong \mathbb{Z}_{2} \times \mathbb{Z}_{6}$ | $[2,0,6][4,2,4]$ |
| 2 | $\mathrm{D}_{15}+\mathrm{A}_{3}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{4}$ | $[4,0,4]$ |
| 3 | $2 \mathrm{~A}_{6}+\mathrm{E}_{6}$ | $\mathbb{Z}_{7} \times \mathbb{Z}_{7} \times \mathbb{Z}_{3} \cong \mathbb{Z}_{7} \times \mathbb{Z}_{21}$ | $[14,7,14]$ |

Table 2.4: Examples of forbidden $L$ when $d=2$.

In summary, we have provided several examples where it was relatively simple to apply by hand the criteria that serve to determine whether a group of maximal rank is allowed or not. Clearly, to make a full search, or even to check more complicated examples, would require computer aid.

In Table A. 2 we give the subgroups $H_{L}$ and the lattice $T$ for all the allowed $L$ 's found in the K3 framework [19]. They correspond to all maximal enhancements arising in heterotic compactifications on $T^{2}$.
$d=8$
The case $d=8$ is peculiar because there exists an even unimodular lattice of signature $(0,8)$, namely $\mathrm{E}_{8}$. To see how this enters the analysis, consider $L=3 \mathrm{E}_{8}$ which has trivial $A_{L}$. Since $\ell\left(A_{L}\right)=0$, this $L$ easily passes criterion 1 . Now, since criterion 2 must also be fulfilled there has to be an even lattice of signature $(0,8)$ and trivial $A_{T}$. This requires $d(T)=1$ so $T=\mathrm{E}_{8}$.

This indicates that in the heterotic on $T^{8}$ it is possible to obtain the group $3 \mathrm{E}_{8}$. Indeed, it can be found in the HE by setting all the Wilson lines to zero and taking the internal torus with metric $g_{i j}=\frac{1}{2} \tilde{g}_{i j}$, where $\tilde{g}_{i j}$ is the Cartan matrix of $\mathrm{E}_{8}$. The antisymmetric field must be chosen as

$$
b_{i j}=\left\{\begin{array}{cl}
\frac{1}{2} \tilde{g}_{i j}, & i<j,  \tag{2.2.2}\\
-\frac{1}{2} \tilde{g}_{i j}, & i>j, \\
0, & i=j .
\end{array} .\right.
$$

This is an example of the general type discussed in 16, 31 in which $p_{L}-p_{R}$ belongs to the root lattice of an ADE group of rank $d$.

A second interesting example is $L=24 \mathrm{~A}_{1}, A_{L}=\mathbb{Z}_{2}^{24}$. Since $\ell\left(A_{L}\right)=24, L$ fails criterion 1 and criterion 2 as well because $\ell(T) \leq 8$. To apply criterion 3 we recall that this $L$ admits an even unimodular overlattice given by one of the Niemeier lattices, say $N_{\psi}$, with $N_{\psi} / L \cong \mathbb{Z}_{2}^{12}$ (see chapter 16 in [29]). It is also known that the root lattice of $N_{\psi}$ and $L$ coincide. Thus, $L$ fulfills criterion 3 with $M=N_{\psi}$ and $T=\mathrm{E}_{8}$. By the same token $L=12 A_{2}$ is also allowed by criterion 3. Niemeier lattices in heterotic compactifications on $T^{8}$ have appeared in [32].

### 2.2.2 Connection to heterotic compactifications

We have seen that the groups of maximal rank that can be embedded in $\mathrm{I}_{d, d+16}$ are characterized by an ADE lattice $L$ of rank $d+16$, the isotropic subgroup $H_{L} \subset A_{L}$, the associated overlattice $M$ and the complementary even lattice $T$ of rank $d$, satisfying $\left(A_{T}, q_{T}\right) \cong\left(A_{M}, q_{M}\right)$. The isotropic subgroup $H_{L}$ is the torsion part of the embedding, in the sense that $M / L \cong H_{L}$. For an embedding to exist, it must be that $d(M)=d(T)=d(L) /\left|H_{L}\right|^{2}$. In the heterotic framework $L$ is the root lattice of some gauge group with maximal enhancing. We now want to identify $T$, which we call the complementary lattice.

There is a natural candidate for an even lattice of rank $d$, namely the sublattice of $\mathrm{I}_{d, d+16}$, denoted $K$, obtained by setting $\mathbf{p}_{\mathbf{L}}=0$. This is

$$
\begin{equation*}
K=\left\{\left(\mathbf{p}_{\mathbf{R}} ; \mathbf{p}_{\mathbf{L}}\right) \in \mathrm{I}_{d, d+16} \| \mathbf{p}_{\mathbf{L}}=0\right\} . \tag{2.2.3}
\end{equation*}
$$

Let us next examine the consequences of setting $\mathbf{p}_{\mathbf{L}}=0$. First, from 2.1.2c we find that $p^{I}=0$ implies

$$
\begin{equation*}
\pi^{I}=-w^{i} A_{i}^{I} \tag{2.2.4}
\end{equation*}
$$

Second, imposing $p_{L}=0$ leads to

$$
\begin{equation*}
n_{i}=-w^{j} E_{j i}, \tag{2.2.5}
\end{equation*}
$$

after substituting (2.2.4) in (2.1.2b). From $p_{L}=0$ it further follows that

$$
\begin{equation*}
p_{R}=-\sqrt{2} w^{i} e_{i} . \tag{2.2.6}
\end{equation*}
$$

Thus, $p_{R}$ lies in a lattice of rank $d$ as long as all the windings $w^{i}$ are allowed to be different from zero. Since $\pi$ is a vector in the gauge lattice $\Upsilon_{16}$, the condition (2.2.4) can only be fulfilled with $w^{i} \neq 0$ if the Wilson lines $A_{i}$ are quantized, in the sense that they are given by a vector in $\Upsilon_{16}$, divided by a positive integer. We define the order of the Wilson line $A_{i}$ as the smallest positive integer $N_{i}$ such that

$$
\begin{equation*}
N_{i} A_{i} \in \Upsilon_{16} \quad(\text { no sum in } i) . \tag{2.2.7}
\end{equation*}
$$

If $A_{i}=0$, its order is 1 . In section 2.3.1 we will review an algorithm to find such Wilson lines. All $A_{i}$ must be quantized so that $(2.2 .4)$ does not force some windings $w^{i}$ to be identically zero. The quantization condition in (2.2.5) is also very restrictive. It clearly demands the $E_{i j}$ to be rational numbers. Taking into account quantization of the Wilson lines then requires the $T^{d}$ metric components $g_{i j}=e_{i} \cdot e_{j}$ to be rational numbers, which is consistent with $p_{R}^{2}$ being even. From now on we assume that $K$ has rank $d$.

The constraints on the $A_{i}$ and $E_{i j}$ are compatible with having a gauge group of maximal enhancing, which is the case under study. In fact, recall that to this end there must exist solutions to $\mathbf{p}_{\mathbf{R}}=0$ and $\mathbf{p}_{\mathbf{L}}{ }^{2}=2$. The former implies the conditions (2.1.9), which can be achieved with quantized $A_{i}$ and rational $E_{i j}$.

The even lattice $K \subset \mathrm{I}_{d, d+16}$ has signature ( $d, 0$ ) by construction. Applying Nikulin's Theorem 1.12.4 in [21, we learn that $K$ admits a primitive embedding in $\mathrm{II}_{d, d+16}$. It follows that the orthogonal complement of $K$ in $\mathrm{I}_{d, d+16}$ also admits a primitive embedding in $\mathrm{II}_{d, d+16}$. This orthogonal complement is just the sublattice of $\mathrm{I}_{d, d+16}$ defined by $\mathbf{p}_{\mathbf{R}}=0$ which we denote $M$, i.e.

$$
\begin{equation*}
M=\left\{\left(\mathbf{p}_{\mathbf{R}} ; \mathbf{p}_{\mathbf{L}}\right) \in \mathrm{I}_{d, d+16} \| \mathbf{p}_{\mathbf{R}}=0\right\} . \tag{2.2.8}
\end{equation*}
$$

The name $M$ is appropriate because it is indeed the overlattice of criteria 3 with $M_{\text {root }}=L$. The reason is that $M_{\text {root }}$ is the sublattice of $M$ generated by vectors with $\mathbf{p}_{\mathbf{L}}{ }^{2}=2$ and it has rank $(d+16)$ by the assumption of maximal enhancing.

So far we have argued that $M$ of signature $(0, d+16)$ is the orthogonal complement in $\mathrm{II}_{d, d+16}$ of $K$ of signature $(d, 0)$, and that $K$ as well as $M$ are primitively embedded in $\mathrm{II}_{d, d+16}$. In fact, $\mathrm{II}_{d, d+16}$ is an overlattice of $M \oplus K$. We can then apply Lemma 2.4 in [19] to conclude that there is an isomorphism $\left(A_{M}, q_{M}\right) \cong\left(A_{K},-q_{K}\right)$. A proof of this lemma is presented in appendix A.2.2. Finally, by Nikulin's Proposition 1.12.1 [21] there exists $T$ of signature $(0, d)$ satisfying $\left(A_{M}, q_{M}\right) \cong\left(A_{T}, q_{T}\right)$. It is obtained by changing the sign of the Gram matrix of $K$, i.e.

$$
\begin{equation*}
T=K\langle-1\rangle . \tag{2.2.9}
\end{equation*}
$$

Summarizing, the two rationality conditions $N_{i} A_{i} \in \Upsilon_{16}$ and $E_{i j} \in \mathbb{Q}$, guarantee the existence of the even $(0, d)$ lattice $T$, which in turn implies the existence of the even $(0, d+16)$ lattice
$M$ with $\left(A_{M}, q_{M}\right) \cong\left(A_{T}, q_{T}\right)$. Thus, the rationality conditions are necessary to have maximal enhancing to a group of rank $d+16$. However, these conditions are not sufficient to ensure that the sub-lattice $M_{\text {root }}$ has rank $d+16$. The additional constraint in criterion 3 is precisely that the gauge lattice $L$ of rank $d+16$ coincides with $M_{\text {root }}$.

## Lattice data from moduli

Once we know the data $(L, T)$ of the allowed groups $\mathrm{G}_{r}$ we still have to determine specific moduli $A_{i}$ and $E_{i j}$ that give rise to them. Conversely, given $A_{i}$ and $E_{i j}$, in principle $L$ is obtained from the solutions of $\mathbf{p}_{\mathbf{R}}=0, \mathbf{p}_{\mathbf{L}}{ }^{2}=2$, which correspond to the roots of $\mathrm{G}_{r}$. On the other hand, $T$ can be derived directly from the moduli as explained below.

The elements of $T$ are of the form (2.2.6). Besides, the moduli must comply with the conditions (2.2.4) and (2.2.5). To make more concrete statements, consider first the case in which the $E_{i j}$ are integers so that 2.2 .6 is satisfied by any $w^{i}$. Then, a class of allowed values for the $w^{i}$ are multiples of the Wilson lines orders, namely $w^{i}=\ell_{i} N_{i}$ (no sum over $i$ ), with $\ell_{i} \in \mathbb{Z}$. If we assume that this class exhausts all possibilities, $T$ will be generated by a basis

$$
\begin{equation*}
u_{1}=\sqrt{2} N_{1} e_{1}, u_{2}=\sqrt{2} N_{2} e_{2}, \ldots, u_{d}=\sqrt{2} N_{d} e_{d} \tag{2.2.10}
\end{equation*}
$$

where we dropped an irrelevant sign. The Gram matrix of $T$ will then be given by

$$
\begin{equation*}
Q_{i j}=u_{i} \cdot u_{j}=2 N_{i} N_{j} g_{i j}=N_{i} N_{j}\left(E_{i j}+E_{j i}-A_{i} \cdot A_{j}\right) \tag{2.2.11}
\end{equation*}
$$

Since this is valid for $E_{i j}$ integers and $N_{i} A_{i} \in \Upsilon_{16}$, we see that the $Q_{i j}$ are integers and the diagonal components are even, as required for an even lattice.

In some cases there might be more admissible values of the winding numbers $w^{i}$. In general, the allowed values are sets of integers $\left(M_{1}, M_{2}, \ldots, M_{d}\right)$ that satisfy

$$
\begin{align*}
& M_{1} A_{1}+M_{2} A_{2}+\cdots+M_{d} A_{d} \in \Upsilon_{16}  \tag{2.2.12a}\\
& M_{1} E_{1 i}+M_{2} E_{2 i}+\cdots+M_{d} E_{d i} \in \mathbb{Z}, i=1, \ldots, d \tag{2.2.12b}
\end{align*}
$$

In this situation a way to proceed is to obtain $d$ solutions $\left(M_{1}^{(k)}, \ldots, M_{d}^{(k)}\right), k=1, \ldots, d$, linearly independent (with Euclidean metric), such that the vectors

$$
\begin{equation*}
u_{k}=\sum_{\ell=1}^{d} \sqrt{2} M_{\ell}^{(k)} e_{\ell} \tag{2.2.13}
\end{equation*}
$$

generate a lattice with the least volume. For instance, the vectors in 2.2.10) are recovered when $E_{i j} \in \mathbb{Z}$ and the only solutions of (2.2.12a) are $M_{\ell}^{(k)}=N_{\ell} \delta_{\ell k}$ (no sum over $\ell$ ). In the
general case we have to impose the condition of least volume. To be more precise, define the matrix $C$ with elements $C_{k \ell}=M_{\ell}^{(k)}$, i.e. the rows of $C$ are the solutions of (2.2.12). The Gram matrix of $T$ then reads

$$
\begin{equation*}
Q_{k \ell}=u_{k} \cdot u_{\ell}=2\left(C g C^{t}\right)_{k \ell}, \tag{2.2.14}
\end{equation*}
$$

where we used $g_{i j}=e_{i} \cdot e_{j}$. Therefore, $\operatorname{det} Q=2^{d}(\operatorname{det} C)^{2} \operatorname{det} g$. Since the determinant of the torus metric is fixed by the choice of moduli $A_{i}$ and $E_{i j}$, to obtain the least lattice volume it suffices to choose $C$ with least determinant. Hadamard's inequality then instructs us to choose $d$ independent solutions $\left(M_{1}^{(k)}, \ldots, M_{d}^{(k)}\right)$ of (2.2.12) with the least norm. To check that $Q_{k \ell}$ are integers and the diagonal elements are even, we write $g_{i j}=\frac{1}{2}\left(E_{i j}+E_{j i}-A_{i} \cdot A_{j}\right)$, and take into account that the $M_{i}^{(k)}$ verify 2.2.12). Finally, $Q$ is unique up to the action of $\mathrm{GL}(d, \mathbb{Z})$. For $d=2$ we can use the procedure described in section 3, Chapter 15, of [29] to bring $Q$ to the standard reduced form used in [19].

In the next sections we will discuss systematic methods to determine moduli associated to groups of maximal enhancing when $d=1$ and $d=2$. We will then exemplify further how $T$ computed from the moduli matches the $T$ from the lattice embedding data. Meanwhile it is instructive to illustrate the main points in cases with generic $d$.

For a simple example, consider moduli $A_{i}=0, g_{i j}=\frac{1}{2} \tilde{g}_{i j}$, where $\tilde{g}_{i j}$ is the Cartan matrix of an ADE group $\tilde{\mathrm{G}}_{d}$ of rank $d$, and $b_{i j}$ is given in (2.2.2). The $E_{i j}$ moduli are found to be

$$
E_{i j}=\left\{\begin{array}{ll}
\frac{1}{2} \tilde{g}_{i j}, & i=j,  \tag{2.2.15}\\
\tilde{g}_{i j}, & i<j, \\
0, & i>j
\end{array} .\right.
$$

Therefore, the $E_{i j}$ are either $1,-1$ or 0 . In this setup the gauge group of the heterotic string on $T^{d}$ is $2 \mathrm{E}_{8}+\tilde{\mathrm{G}}_{d}$ in the HE or $\mathrm{D}_{16}+\tilde{\mathrm{G}}_{d}$ in the HO. This example is of the general type in which all Wilson lines are set to zero and $p_{L}-p_{R} \in \widetilde{\Gamma}_{d}$, where $\widetilde{\Gamma}_{d}$ is the root lattice of $\tilde{\mathrm{G}}_{d}$ 16, 31. From the lattice formalism we find that $T=\widetilde{\Gamma}_{d}$. From the moduli we obtain the same result for $T$ because the basis is given in 2.2.10 with $e_{i}=\frac{1}{\sqrt{2}} \tilde{e}_{i}$ and $N_{i}=1$.

A second example in the HO on $T^{d}$ has moduli 16,31

$$
\begin{equation*}
e_{i}^{a}=\frac{1}{\sqrt{2}} \delta_{i}^{a}, \quad b_{i j}=0, \quad A_{i}^{I}=\delta_{i}^{I} \quad \text { with } i \leq d \tag{2.2.16}
\end{equation*}
$$

It can be shown that the resulting group is $\mathrm{D}_{d+16}$. All Wilson lines have order $N_{i}=2$. Besides, $E_{i j}=\delta_{i j}$ so that the condition (2.2.12b) does not constrain the $M_{i}$. For $d=1$ we can just take $M_{1}=N_{1}=2$ so that $u_{1}=2$ and $T=\mathrm{A}_{1}\langle 2\rangle$ as we found with the lattice formalism in section 2.2.1. For $d \geq 2$ there are solutions to 2.2.12a other than $M_{i}^{(j)}=2 \delta_{i j}$. For instance, $A_{1} \pm A_{2} \in \Gamma_{16}$. The $M_{i}^{(j)}$ can be chosen so that the $u_{i}$ are the roots of $\mathrm{D}_{d}$. Thus, $T=\mathrm{D}_{d}$.

Another important question in the heterotic context is the meaning of the quadratic discriminant form $q_{T}$. The answer is that the values that $p_{R}^{2}$ can take are precisely given by $q_{T} \bmod 2$. This follows because $p_{R}$ generically lies in the dual lattice $T^{*}$. When $T$ has basis (2.2.10), it is easy to see from (2.1.2a) that $p_{R}$ indeed takes values in a lattice generated by $u^{* i}=\frac{1}{\sqrt{2} N_{i}} \hat{e}^{* i}$, with Gram matrix the inverse of $Q$ in 2.2.11. When there are additional solutions to (2.2.12), so that the basis for $T$ is given by (2.2.13), $p_{R}$ lies in a lattice spanned by

$$
\begin{equation*}
u^{* i}=\frac{1}{\sqrt{2}} \sum_{k=1}^{d} C^{k i} \hat{e}^{* k} \tag{2.2.17}
\end{equation*}
$$

where $C^{k i}=C_{k i}^{-1}$ and as before $C_{k \ell}=M_{\ell}^{(k)}$. Thus, $u^{* i} \cdot u^{* j}=Q^{i j}=Q_{i j}^{-1}$, with $Q$ the Gram matrix in 2.2.14). The fact that $q_{T}$ gives the values of $p_{R}^{2}$ is useful to determine the spectrum of massive states. In particular, it could be relevant in the double field theory analysis of gauge enhancements [33].

### 2.3 Compactifications on $S^{1}$

In this section we consider in more detail compactifications of the heterotic string on the circle, where the moduli are the radius $R$ and the 16 -dimensional Wilson line $A^{I}$. The problems of finding all possible gauge groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{17-r}$ and the corresponding moduli ( $R, A^{I}$ ), were solved in 12 by means of the extended Dynkin diagram (EDD) associated to $\mathrm{II}_{1,17}$, depicted in Figure 2.1. We will first review the procedure and the results. We will also explain how they can be put in a form that can be generalized to compactification on $T^{d}$. Afterwards we will discuss the connection with the lattice embedding formalism. In Table A.1 we collect the relevant lattice and moduli data for all the 44 groups of maximal rank that appear in heterotic string compactifications on $S^{1}$.

For generic moduli the elements of $\mathrm{I}_{1,17}$ are given in 2.1.2), with $e_{1}=R$, $\hat{e}_{1}^{*}=1 / R$, i.e.

$$
\begin{equation*}
p_{R}=\frac{1}{\sqrt{2} R}(n-E w-\pi \cdot A), \quad p_{L}=\sqrt{2} R w+p_{R}, \quad p^{I}=\pi^{I}+w A^{I} \tag{2.3.1}
\end{equation*}
$$

where $E=R^{2}+\frac{1}{2} A^{2}$ is just the $E$-tensor of (2.1.1) for $d=1$. Recall that $n$ and $w$ are the quantized momenta and winding numbers, while $\pi^{I}$ belongs to the lattice $\Gamma_{8} \times \Gamma_{8}$ in the HE or $\Gamma_{16}$ in the HO.

As in [16], $\mathbf{p}=\left(p_{R} ; p_{L}, p^{I}\right)$ can be expanded as

$$
\begin{equation*}
\mathbf{p}=w \bar{k}+n k+\pi \cdot l, \tag{2.3.2}
\end{equation*}
$$

with basis

$$
\begin{equation*}
k=\frac{1}{\sqrt{2}}\left(\frac{1}{R} ; \frac{1}{R}, 0\right), \quad \bar{k}=\frac{1}{\sqrt{2}}\left(-R-\frac{A^{2}}{2 R} ; R-\frac{A^{2}}{2 R}, \sqrt{2} A^{I}\right), \quad l^{I}=\left(-\frac{A^{I}}{\sqrt{2} R} ;-\frac{A^{I}}{\sqrt{2} R}, u^{I}\right) . \tag{2.3.3}
\end{equation*}
$$

Here $u^{I}$ is a Cartesian 16-dimensional basis vector. The inner product is taken with the Lorentzian metric $(-;+, \ldots,+)$. Thus $k \cdot k=\bar{k} \cdot \bar{k}=0, k \cdot \bar{k}=1, l^{I} \cdot l^{J}=\delta^{I J}, k \cdot l^{I}=\bar{k} \cdot l^{I}=0$. For many purposes it is simpler to work with the charge vector $|Z\rangle=\left|w, n ; \pi^{I}\right\rangle$. The change of basis to $\mathbf{p}$ is easily read from (2.3.2). Besides, $\left\langle Z^{\prime} \mid Z\right\rangle=w^{\prime} n+n^{\prime} w+\pi^{\prime} \cdot \pi$.

### 2.3.1 Moduli and gauge group from the EDD diagram

We refer to [31] for an introduction to root systems and associated EDDs of Lorentzian $\mathrm{II}_{1,8 m+1}$ lattices. The special case of $\mathrm{I}_{1,17}$ is discussed in detail in [16] and [34], precisely in connection to circle compactifications of the heterotic string. It was originally considered by Vinberg [35]. The reflective part of its group of automorphisms, which is actually the duality group $\mathrm{O}(1,17, \mathbb{Z})[34]$, can be encoded in the EDD as we review shortly.

Embedding of $\Gamma_{8} \times \Gamma_{8}$


Figure 2.1: Extended Dynkin diagram for the $\mathrm{I}_{1,17}$ lattice, with labels showing the embedding of the extended Dynkin diagrams of $\mathrm{E}_{8}+\mathrm{E}_{8}^{\prime}$. The Kac marks are shown in red.

We begin by describing the embedding of the HE lattice $\Gamma_{8} \times \Gamma_{8}$ in $\mathrm{II}_{1,17}$. The EDD is shown in Figure 2.1. It is composed by the extended Dynkin diagrams of $\mathrm{E}_{8}$ and $\mathrm{E}_{8}^{\prime}$ joined by a central node. The nodes can be specified in terms of the charge vectors

$$
\begin{array}{lll}
\varphi_{i}=\left|0,0 ; \alpha_{i}, 0^{8}\right\rangle, & \varphi_{i^{\prime}}=\left|0,0 ; 0^{8}, \alpha_{i}^{\prime}\right\rangle, & i=1, \ldots, 8, \\
\varphi_{0}=\left|0,-1 ; \alpha_{0}, 0^{8}\right\rangle, & \varphi_{\mathrm{c}}=\left|1,1 ; 0^{8}, 0^{8}\right\rangle, & \varphi_{0^{\prime}}=\left|0,-1 ; 0^{8}, \alpha_{0}^{\prime}\right\rangle . \tag{2.3.4}
\end{array}
$$

where $\alpha_{i}$ and $\alpha_{i}^{\prime}$ are the simple roots of $\mathrm{E}_{8}$ and $\mathrm{E}_{8}^{\prime}$, given in Table 2.5 (note that for convenience in regard to the EDD diagram, we take different conventions for simple roots of the two groups). Our conventions for the simple roots and fundamental weights $w_{i}, w_{i}^{\prime}$, of $\mathrm{E}_{8}$ and $\mathrm{E}_{8}^{\prime}$ are collected in Table 2.5. We have also written down the lowest root $\alpha_{0}=-\sum_{k=1}^{8} \kappa_{k} \alpha_{k}$,
and similarly for $\alpha_{0}^{\prime}$. The $\kappa_{i}$ and $\kappa_{i}^{\prime}$ are the Kac marks, shown in red in the Figure 2.1. By definition $\kappa_{0}=\kappa_{0}^{\prime}=1$ and sometimes we will set $w_{0}=0, w_{0}^{\prime}=0$.

| $k$ | $\alpha_{k}$ | $w_{k}$ | $\alpha_{k}^{\prime}$ | $w_{k}^{\prime}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1 | $(1,-1,0,0,0,0,0,0)$ | $-\left(-\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{5}{2}\right)$ | $(0,0,0,0,0,0,1,-1)$ | $\left(-\frac{5}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{1}{2}\right)$ |
| 2 | $(0,1,-1,0,0,0,0,0)$ | $-(0,0,1,1,1,1,1,-5)$ | $(0,0,0,0,0,1,-1,0)$ | $(-5,1,1,1,1,1,0,0)$ |
| 3 | $(0,0,1,-1,0,0,0,0)$ | $-(0,0,0,1,1,1,1,-4)$ | $(0,0,0,0,1,-1,0,0)$ | $(-4,1,1,1,1,0,0,0)$ |
| 4 | $(0,0,0,1,-1,0,0,0)$ | $-(0,0,0,0,1,1,1,-3)$ | $(0,0,0,1,-1,0,0,0)$ | $(-3,1,1,1,0,0,0,0)$ |
| 5 | $(0,0,0,0,1,-1,0,0)$ | $-(0,0,0,0,0,1,1,-2)$ | $(0,0,1,-1,0,0,0,0)$ | $(-2,1,1,0,0,0,0,0)$ |
| 6 | $(0,0,0,0,0,1,-1,0)$ | $(0,0,0,0,0,0,-1,1)$ | $(0,1,-1,0,0,0,0,0)$ | $(-1,1,0,0,0,0,0,0)$ |
| 7 | $-(1,1,0,0,0,0,0,0)$ | $-\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{7}{2}\right)$ | $(0,0,0,0,0,0,1,1)$ | $\left(-\frac{7}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right)$ |
| 8 | $\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right)$ | $(0,0,0,0,0,0,0,2)$ | $-\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right)$ | $(-2,0,0,0,0,0,0,0)$ |
| 0 | $(0,0,0,0,0,0,1,-1)$ | $(0,0,0,0,0,0,0,0)$ | $(1,-1,0,0,0,0,0,0)$ | $(0,0,0,0,0,0,0,0)$ |

Table 2.5: Simple roots and fundamental weights of $\mathrm{E}_{8}$ and $\mathrm{E}_{8}^{\prime}$.

In [34] (see also [35]), the generators of the duality group $\mathrm{O}(1,17, \mathbb{Z})$ were identified with Weyl reflections in the lattice. To be more concrete, let us consider the transformations of the charge vector $|Z\rangle$ about the simple roots of $\mathrm{I}_{1,17}$ in (2.3.4), denoted collectively $|\varphi\rangle$. Since $\langle\varphi \mid \varphi\rangle=2$, the Weyl transformation is

$$
\begin{equation*}
\left|Z^{\prime}\right\rangle=|Z\rangle-\langle\varphi \mid Z\rangle|\varphi\rangle . \tag{2.3.5}
\end{equation*}
$$

Once $\left|Z^{\prime}\right\rangle$ is found, the action on the moduli is deduced by imposing that $p_{R}=0$ transforms into $p_{R}^{\prime}=0$, i.e. $n-E w-\pi \cdot A=0$ goes into $n^{\prime}-E^{\prime} w^{\prime}-\pi^{\prime} \cdot A^{\prime}=0$. This is a shortcut to requiring invariance of the spectrum. For example, writing only the transformed quantities,
from the nodes 1,0 , and C we obtain

$$
\begin{align*}
& \varphi_{1}: \pi^{\prime 1}=\pi^{2}, \pi^{\prime 2}=\pi^{1} \Rightarrow A^{\prime 1}=A^{2}, A^{\prime 2}=A^{1},  \tag{2.3.6a}\\
& \varphi_{0}: n^{\prime}=n-w+\pi^{7}-\pi^{8}, \pi^{\prime 7}=\pi^{8}+w, \pi^{\prime 8}=\pi^{7}-w \Rightarrow A^{\prime 7}=A^{8}-1, A^{\prime 8}=A^{7}+1 \\
& E^{\prime}=E+A^{7}-A^{8}+1 \tag{2.3.6b}
\end{align*}
$$

$$
\begin{equation*}
\varphi_{\mathrm{C}}: w^{\prime}=-n, n^{\prime}=-w \Rightarrow E^{\prime}=\frac{1}{E}, A^{\prime}=\frac{A}{E} . \tag{2.3.6c}
\end{equation*}
$$

Clearly, 2.3.6a is a permutation of the first two components of the Wilson line. In general, the reflections about nodes $\varphi_{i}$, or $\varphi_{i}^{\prime}, i=1, \ldots, 8$, induce transformations of the Wilson line $A^{I}$ which are just elements of the Weyl group of $\mathrm{E}_{8}$, or $\mathrm{E}_{8}^{\prime}$. In 2.3.6b we recognize a translation of $A^{I}$ by $\alpha_{0} \times 0$, which belongs to $\Gamma_{8} \times \Gamma_{8}$, combined with a permutation of $A^{7}$ and $A^{8}$. Finally, (2.3.6c) is the generalization of the T-duality $R \rightarrow 1 / R$ when $A \neq 0$.

| Node | Fundamental region for $\Gamma_{8} \times \Gamma_{8}$ |
| :---: | :---: |
| $1 \leq i \leq 8$ | $A \cdot\left(\alpha_{i} \times 0\right) \geq 0$ |
| 0 | $A \cdot\left(\alpha_{0} \times 0\right) \geq-1$ |
| C | $E \geq 1$ |
| $0^{\prime}$ | $A \cdot\left(0 \times \alpha_{0}^{\prime}\right) \geq-1$ |
| $1^{\prime} \leq i^{\prime} \leq 8^{\prime}$ | $A \cdot\left(0 \times \alpha_{i}^{\prime}\right) \geq 0$ |

Table 2.6: Fundamental region for HE in $d=1$.

The prescription to obtain a non-Abelian gauge group $G_{r}$ is to delete $19-r$ nodes of the EDD such that the remaining ones give the Dynkin diagram of the desired semi-simple Lie Algebra. The total gauge group is $\mathrm{G}_{r} \times \mathrm{U}(1)^{17-r}$. The Wilson line and the radius are determined by saturating the inequalities in Table 2.6 corresponding to the $r$ undeleted nodes. In this manner one can obtain all the allowed groups and the corresponding moduli. For example, for maximal enhancement, all but 2 of the inequalities are saturated. The allowed groups of maximal rank are precisely found by deleting one node in the $\mathrm{E}_{8}$ side and one node in the $\mathrm{E}_{8}^{\prime}$ side, while the central node C corresponding to $E=1$ cannot be erased. In section 2.3.1 we will discuss a simplified way to implement this method, that we call saturation.

Conversely, if the Wilson line $A$ and the radius $R$ are supplied, the resulting group can be determined by checking which boundary conditions are saturated and keeping only the associated nodes in the EDD. To this end we might need to first bring the given $A$ and $R$ to the fundamental region by transformations including shifts and Weyl reflections of $A$ in
$\Gamma_{8} \times \Gamma_{8}$, and the T-duality (2.3.6c).
From the EDD we can also determine the automorphisms of the lattice corresponding to any enhanced gauge group. They are just generated by Weyl reflections 2.3.5 associated to the surviving nodes. The fixed points of each reflection determine a 16 -dimensional hyperplane in moduli space where the inequality associated to the given node is saturated. The intersection of $r$ of these hyperplanes gives the $(17-r)$-dimensional subspace of moduli space where the given rank $r$ gauge group is realized (maximal enhancements are realized at a point). This subspace is invariant under the subgroup of $\mathrm{O}(1,17, \mathbb{Z})$ generated by the $r$ Weyl reflections associated to the surviving nodes.

Having explained how the EDD enables us to determine the allowed groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{17-r}$ and the corresponding moduli, we can draw some results. For instance, it is easy to see that all ADE $\mathrm{G}_{r}$ of $r \leq 9$ are allowed, consistent with Theorem 1.12.4 in the Nikulin formalism [21]. The diagram also shows that for $r=10$ all $\mathrm{ADE} \mathrm{G}_{r}$ can appear and that for $r=11$ only $11 \mathrm{~A}_{1}$ is forbidden. There are 44 allowed groups with maximal rank $r=17$. They were determined in [12] and are collected in Table A.1. On the other hand, there are 1093 forbidden groups with $r=17$, e.g. $2 \mathrm{D}_{8}+\mathrm{A}_{1}$, which clearly cannot be obtained from the EDD. The connection with the Nikulin formalism for the case of maximal rank will be further discussed in section 2.3.2.

## Embedding of $\Gamma_{16}$

The moduli in the HO theory can be obtained by adapting the EDD to embed $\Gamma_{16}$ explicitly. To this end we need to write the charge vectors of the nodes in terms of the simple roots $\beta_{k}$, plus the spinor weight $\mathrm{w}_{16}$ of $\mathrm{SO}(32)$. The simple roots and the corresponding fundamental weights are

$$
\begin{array}{ll}
\beta_{k}=\left(0^{k-1}, 1,-1,0^{15-k}\right), & \mathrm{w}_{k}=\left(1^{k}, 0^{16-k}\right), \\
\beta_{15}=\left(0^{14}, 1,-1\right), & \mathrm{w}_{15}=\left(\frac{1}{2}^{15},-\frac{1}{2}\right),  \tag{2.3.7}\\
\beta_{16}=\left(0^{14}, 1,1\right), & \mathrm{w}_{16}=\left(\frac{1}{2}^{16}\right) .
\end{array}
$$

The lowest root of $\mathrm{SO}(32)$ is

$$
\begin{equation*}
\beta_{17}=\left(-1,-1,0^{14}\right) \tag{2.3.8}
\end{equation*}
$$

The Kac marks are $\kappa_{k}=1$ for $k=1,15,16,17$, and $\kappa_{k}=2$ for $k=2, \ldots, 14$.
The EDD embedding $\Gamma_{16}$ is shown in Figure 2.2. The charge vectors of the nodes read

$$
\begin{align*}
\tilde{\varphi}_{k}=\left|0,0 ; \beta_{k}\right\rangle, \quad k=1, \ldots, 16, & \tilde{\varphi}_{17}=\left|0,-1 ; \beta_{17}\right\rangle=\left|0,-1 ;-1,-1,0^{14}\right\rangle, \\
\tilde{\varphi}_{18}=\left|1,1 ; 0^{16}\right\rangle, & \tilde{\varphi}_{19}=\left|1,-1 ;-\mathrm{w}_{16}\right\rangle . \tag{2.3.9}
\end{align*}
$$



Figure 2.2: Extended Dynkin diagram for the $\mathrm{I}_{1,17}$ lattice, with labels showing the embedding of $\Gamma_{16}$. The Kac marks of the extended $\mathrm{SO}(32)$ diagram are shown in red.

It is straightforward to carry out the analysis of the Weyl reflections 2.3.5) to identify the generators of $\mathrm{O}(1,17, \mathbb{Z})$ and the boundaries of the fundamental region. A choice of fundamental region for the moduli space of the HO theory is given in Table 2.7 (our conventions for the roots differ by a sign from those in [12]).

| Node | Fundamental region for $\Gamma_{16}$ |
| :---: | :---: |
| $1 \leq k \leq 16$ | $A \cdot \beta_{k} \geq 0$ |
| 17 | $A \cdot \beta_{17} \geq-1$ |
| 18 | $E \geq 1$ |
| 19 | $E \geq A \cdot \mathrm{w}_{16}-1$ |

Table 2.7: Fundamental region for HO in $d=1$

As in the HE theory, the procedure to determine the allowed groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{17-r}$, and the corresponding moduli, consists of deleting nodes such that those remaining give the Dynkin diagram of an ADE algebra. Obviously the groups will be the same as in the HE, but the moduli will differ. They are simply deduced by saturating the inequalities in Table 2.7 that pertain to the undeleted nodes.

From the EDD we can also find the group due to some given moduli but, if necessary, $A$ and $R$ have to first be brought to the fundamental region by dualities, namely shifts and Weyl reflections in $\Gamma_{16}$, e.g. $A^{I} \rightarrow-A^{I}$ or $A^{I} \rightarrow 1-A^{I}$ in pairs, and the T-duality 2.3.6c). For instance, in this way $A=\left(\frac{2}{3}^{3}, 0^{13}\right), R=\frac{1}{\sqrt{3}}$, can be transformed into $A=\left(\frac{1}{2}^{2}, 0^{14}\right)=\frac{1}{2} \mathrm{w}_{2}$, $R=\frac{\sqrt{3}}{2}$. From the latter data we find that the nodes 2 and 19 must be deleted so that the gauge group is $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{D}_{14}$. Similarly, $A=\left(\frac{1}{2}^{3}, 0^{13}\right), R=\frac{1}{2 \sqrt{2}}$, can be brought to $A=\left(1,0^{15}\right)=\mathrm{w}_{1}, R=\frac{1}{\sqrt{2}}$, which implies gauge group $\mathrm{D}_{17}$ because nodes 1 and 19 must be deleted.

## The shift algorithm

As we have seen, Wilson lines of a given order are relevant to relate the moduli with lattice data obtained in the formalism of section 2.2. Recall that the order of $A$ is defined as the smallest integer $N$ such that $N A \in \Upsilon_{16}$, with $\Upsilon_{16}$ equal to $\Gamma_{8} \times \Gamma_{8}$ in the HE and to $\Gamma_{16}$ in the HO. There exists an algorithm, based on original work of Kac [36], to find Wilson lines ("shift vectors") of specific order. It was applied to heterotic compactifications originally in [37]. The name shift vector comes from the orbifold terminology. The algorithm also prescribes how to obtain the group left unbroken by the action of the shift. In fact, another motivation to review it is its relation to the method of saturating inequalities of undeleted nodes in a Dynkin diagram in order to find the moduli.

The shift algorithm can be applied to any ADE group starting with its extended Dynkin diagram. We will describe the $\mathrm{E}_{8}$ case following [38]. The simple roots $\alpha_{i}$ and the fundamental weights $w_{i}$ are given in Table 2.5, while the extended Dynkin diagram of $\mathrm{E}_{8}$ is formed by the nodes $0,1, \ldots, 8$, in Figure 2.1. Consider now a set of non-negative relative prime integers $\left(s_{0}, s_{1}, \ldots, s_{8}\right)$ and define

$$
\begin{equation*}
N=\sum_{i=0}^{8} \kappa_{i} s_{i}, \tag{2.3.10}
\end{equation*}
$$

where $\kappa_{i}$ are the Kac marks. Then construct the shift vector

$$
\begin{equation*}
\delta=\frac{1}{N} \sum_{i=1}^{8} s_{i} w_{i} . \tag{2.3.11}
\end{equation*}
$$

Note that $N \delta \in \Gamma_{8}$ so that $\delta$ has order $N$. The subalgebra left invariant by this shift is obtained by deleting the nodes of the extended Dynkin diagram associated to non-zero $s_{i}$, and adding $\mathrm{U}(1)$ 's to preserve the rank. The reason is that $\delta$ in (2.3.11) satisfies

$$
\begin{equation*}
\delta \cdot \alpha_{0}=-1+\frac{s_{0}}{N}, \quad \delta \cdot \alpha_{j}=\frac{s_{j}}{N}, \quad j=1, \ldots, 8 \tag{2.3.12}
\end{equation*}
$$

Notice also that in order to break to a group of rank 8 , necessarily only one $s_{k}, k=0, \ldots, 8$, is different from zero at a time. In this case, $\delta=w_{k} / \kappa_{k}$. In particular, since $w_{0} \equiv 0, k=0$ corresponds to $\delta=0$, consistent with deleting node $\alpha_{0}$ and leaving $\mathrm{E}_{8}$ unbroken. For the $\mathrm{E}_{8}^{\prime}$ factor in the HE theory one constructs a shift $\delta^{\prime}$ in analogy to $\delta$ for $\mathrm{E}_{8}$.

From (2.3.12) one also obtains

$$
\begin{equation*}
\delta \cdot \alpha_{0} \geq-1, \quad \delta \cdot \alpha_{j} \geq 0 \tag{2.3.13}
\end{equation*}
$$

These are the conditions for $\delta$ to be in a fundamental region [37, 39]. By translations in the root lattice of $\mathrm{E}_{8}$ and/or transformations in the Weyl group of $\mathrm{E}_{8}$ one can obtain a shift that
gives the same breaking but is outside the fundamental region. For the shift $\delta^{\prime}$ of $\mathrm{E}_{8}^{\prime}$ there are conditions analogous to (2.3.13).

The shift algorithm can be extended to the HO theory taking care that $\Gamma_{16}$ is the root lattice of $\mathrm{SO}(32)$ with the spinor weight $\mathrm{w}_{16}$ added [37]. The starting point is the extended Dynkin diagram of $\mathrm{SO}(32)$ which is formed by the nodes 1 to 17 in Figure 2.2 where the Dynkin marks are also shown. The simple roots $\beta_{k}$ and the fundamental weights $\mathrm{w}_{k}$ are given in 2.3.7), and the lowest root $\beta_{17}$ in (2.3.8). We now introduce a set of non-negative relative prime integers $\tilde{s}_{k}, k=1, \ldots, 17$, and define the order $\widetilde{N}$ and the shift $\Delta$ as

$$
\begin{equation*}
\widetilde{N}=\sum_{k=1}^{17} \tilde{s}_{k} \kappa_{k}, \quad \Delta=\frac{1}{\widetilde{N}} \sum_{k=1}^{16} \tilde{s}_{k} \mathrm{~W}_{i} \tag{2.3.14}
\end{equation*}
$$

It is necessary to further enforce the constraint

$$
\begin{equation*}
\sum_{k \text { odd }} \tilde{s}_{k}=\text { even } \tag{2.3.15}
\end{equation*}
$$

in order to guarantee that $\widetilde{N} \Delta \in \Gamma_{16}$. As before, the subalgebra left invariant by the shift $\Delta$ is obtained by deleting the nodes of the extended Dynkin diagram associated to $\tilde{s}_{k}>0$, and adding $U(1)$ 's to preserve rank 16 . The algorithm can produce pairs of shifts that are equivalent under a translation by $\mathrm{w}_{16}$.

Let us now discuss the generalization of the shift algorithm to $\mathrm{I}_{1,17}$ in the HE theory. As in the saturation method, we begin by deleting some nodes in the EDD of Figure 2.1 such that the surviving ones form an allowed Dynkin diagram of a semi-simple Lie Algebra. As before the emerging group is identified from this allowed Dynkin diagram, appending enough $\mathrm{U}(1)$ factors to add to rank 17 . The Wilson line that produces the emerging group is simply given by

$$
\begin{equation*}
A=\delta \times \delta^{\prime} \tag{2.3.16}
\end{equation*}
$$

with $\delta$ given in (2.3.11), and similarly for $\delta^{\prime}$. The values of $s_{i}$ are now fixed to be zero or one according to whether the $i$-th node is undeleted or not, and likewise for the $s_{i}^{\prime}$. Indeed, the inequalities that would have to be saturated to find $A$ are a subset of those connected to the nodes $i, i^{\prime}=0,1, \ldots, 8$, in Table 2.6, which precisely amount to the conditions 2.3.13). The value of the radius depends on whether the node C is undeleted or not. If it is not, the constraint $E=1$ must be imposed. Since $\delta$ and $\delta^{\prime}$ are in the fundamental region, it is not hard to show that $A^{2} \leq 2$. This guarantees that $R^{2}=E-\frac{1}{2} A^{2}$ is positive.

It is useful to work out the case of maximal enhancing with the shift algorithm. As mentioned before, maximal rank 17 requires deleting one node in the $\mathrm{E}_{8}$ side and one node in
the $\mathrm{E}_{8}^{\prime}$ side, while keeping the central node C . The moduli are then

$$
\begin{equation*}
A=\frac{w_{k}}{\kappa_{k}} \times \frac{w_{m}^{\prime}}{\kappa_{m}^{\prime}}, \quad E=1 \Rightarrow R^{2}=1-\frac{1}{2} A^{2} . \tag{2.3.17}
\end{equation*}
$$

Here $k, m=0,1, \ldots, 8$, but the choice $k=m=8$ is excluded because it would lead to $A^{2}=2$ and $R=0$, which is unphysical. Thus, altogether there are 44 different groups with maximal rank. The moduli in (2.3.17) agree with the results in Table 2 of (12], except for irrelevant overall minus signs in the Wilson line due to different conventions. The groups of maximal rank and the corresponding moduli are collected in Table A.1.

The algorithm can also be used to determine the moduli corresponding to groups of lower rank. For example, $\mathrm{SU}(16) \times \mathrm{SU}(2) \times \mathrm{U}(1)$ can be obtained dropping the nodes $1,1^{\prime}, 7^{\prime}$. From the algorithm we deduce

$$
\begin{equation*}
A=\frac{1}{3} w_{1} \times \frac{1}{7}\left(w_{1}^{\prime}+w_{7}^{\prime}\right)=\left(\frac{1}{6},-\frac{1}{6}^{6}, \frac{5}{6}\right) \times\left(-\frac{6}{7}, \frac{1}{7}^{6}, 0\right) . \tag{2.3.18}
\end{equation*}
$$

Since node $C$ is undeleted, $E=1$ and the radius is fixed to be $R=\sqrt{\frac{8}{63}}$.
We will not attempt to generalize the shift algorithm to $\mathrm{II}_{1,17}$ with HO embedding. For one reason, for the HO the allowed groups and the corresponding moduli can be obtained by the saturation method discussed in section 2.3.1. In particular, the moduli for the 44 groups of maximal enhancing are presented in Table 1 in [12]. Moreover, we can use the map 2.1.2) to obtain a point ( $R_{\mathrm{O}}, A_{\mathrm{O}}$ ) in the moduli space of the HO theory from a given one ( $R_{\mathrm{E}}, A_{\mathrm{E}}$ ) in the HE theory, or vice versa. For all the 44 cases of maximal enhancement we have verified that $\left(R_{\mathrm{O}}, A_{\mathrm{O}}\right)$ obtained from the $\left(R_{\mathrm{E}}, A_{\mathrm{E}}\right)$ in (2.3.17) agree with the data found using the saturation method [12]. These results are listed in Table A.1.

### 2.3.2 All maximal rank groups for $d=1$

As mentioned previously, there are 44 different groups of maximal rank that are realized in heterotic compactification on $S^{1}$. We collect them in Table A. 1 in appendix A.3, where they are denoted by its root lattice $L$. The Table includes the moduli ( $R_{\mathrm{E}}, A_{\mathrm{E}}$ ) and ( $R_{\mathrm{O}}, A_{\mathrm{O}}$ ) in the HE and HO theories respectively. For both the moduli lie in the fundamental regions defined in Tables 2.6 and 2.7. They can be obtained using the saturation method, or equivalently the shift algorithm in the HE. The moduli for the HO can be derived from the map (2.1.2) too. In all cases $E_{\mathrm{E}}=E_{\mathrm{O}}=1$.

For each maximal group in Table A. 1 we also give its discriminant group $A_{L}=L^{*} / L$, its appropriate isotropic subgroup $H_{L}$, and its complementary lattice $T$. For the lattice $T$, the notation $\mathrm{A}_{1}\langle m\rangle$ is simplified to $\langle m\rangle$. Besides, $d(T)=2 m$. It is easy to check that in all cases $d(L)=d(T)\left|H_{L}\right|^{2}$ holds. For all groups we have verified the isomorphism $\left(A_{M}, q_{M}\right) \cong\left(A_{T}, q_{T}\right)$,
which is less trivial when $H_{L} \neq \mathbb{1}$. Some examples were worked out in section 2.2.1.
It is a compelling exercise to deduce the lattice $T$ from the moduli as explained in section 2.2.2. For $d=1$ there is only one Wilson line and the simple result 2.2 .10 is valid. Thus, $T$ is generated by

$$
\begin{equation*}
u=\sqrt{2} N R, \tag{2.3.19}
\end{equation*}
$$

where $N$ is the order of $A$ and we used $e_{1}=R$. The Gram matrix is then $Q=2 N^{2} R^{2}=d(T)$. On the lattice side, $T=\mathrm{A}_{1}\langle m\rangle$ with $d(T)=2 m$. Therefore, it must be that

$$
\begin{equation*}
2 N^{2} R^{2}=2 N^{2}\left(1-\frac{1}{2} A^{2}\right)=2 m, \tag{2.3.20}
\end{equation*}
$$

where we used $E=1$ in all cases of maximal enhancing. It is straightforward to confirm this relation using the data for $m$ and $A$ in Table A.1. In the HE case the Wilson line $A_{\mathrm{E}}$ is given in (2.3.17) and the order is

$$
\begin{equation*}
N_{\mathrm{E}}=\frac{\kappa_{k} \kappa_{m}^{\prime}}{\operatorname{gcd}\left(\kappa_{k}, \kappa_{m}^{\prime}\right)} \tag{2.3.21}
\end{equation*}
$$

In the $\mathrm{HO}, A_{\mathrm{O}}$ and its order $N_{\mathrm{O}}$ are of the form in 2.3.14).
Another interesting question is the relation of generic $p_{R}$ to the complementary lattice $T$. In section 2.2 .2 we argued that in general $p_{R}$ takes values in $T^{*}$. When $d=1$ the proof is rather simple. Since $E=1,(2.3 .1)$ reduces to

$$
\begin{equation*}
p_{R}=\frac{1}{\sqrt{2} R}(n-w-\pi \cdot A) . \tag{2.3.22}
\end{equation*}
$$

We now use that $A$ has order $N$ to set $\pi \cdot A=\tilde{l} / N, \tilde{l} \in \mathbb{Z}$. Inserting in $p_{R}$ above gives $p_{R}=\frac{l}{\sqrt{2} N R}$, with $l$ integer. Hence, $p_{R}$ lies in a lattice generated by $u^{*}$, with $u$ the generator of $T$ in (2.3.19). We conclude that $p_{R}$ lies on $T^{*}$ and the allowed values of $p_{R}^{2}$ are $q_{T} \bmod 2$.

### 2.4 Compactifications on $T^{2}$

In heterotic compactification on $T^{2}$ there are 36 real moduli, namely $\left\{g_{11}, g_{12}, g_{22}, b_{12}\right\}$, plus two 16 -dimensional Wilson lines $\left\{A_{1}^{I}, A_{2}^{I}\right\}$. The $\mathrm{I}_{2,18}$ lattice vectors ( $p_{R} ; p_{L}, p^{I}$ ), which depend on these moduli, are given in (2.1.2). For the purpose of studying enhancement of symmetries it is actually more appropriate to use as moduli the components $E_{i j}$, cf. (2.1.1), together with the $A_{i}^{I}$. Indeed, as we have seen in section 2.2.2, enhancement requires the $E_{i j}$ to be rational numbers and the $A_{i}$ to be quantized in the sense of eq. (2.2.7). On the other hand, to discuss the moduli space and duality symmetries it is also convenient to work with complex parameters. In section 2.4.1, we introduce the complex moduli and their duality transformations, and review the action of $\mathrm{O}(2,3 ; \mathbb{Z})$, a subgroup of the duality group, on a
particular slice of the moduli space. Then we turn to the problem of determining all gauge groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{18-r}$ that can appear, and the corresponding moduli.

The extension of the systematic procedure discussed in the previous section to compactifications on $T^{2}$ would require the construction of a generalized Dynkin diagram for $\mathrm{I}_{2,18}$. However, it has been argued that the even, self-dual lattices of signature $(p, q)$ with both $p, q>1$ (that is, with a signature with more that one negative sign), do not possess a system of simple roots and cannot be described in terms of generators and relations similar to KacMoody or Borcherds algebras [40]. Nevertheless, although the addition of a new Kac-Moody simple root introduces multiple links and loops in the structure of the quadruple extension of simple Lie algebras, it was shown in 41 that the "simple-links" structure can be preserved if the extra root is a Borcherds (imaginary) simple root. In any case, a generalized Dynkin diagram for $\mathrm{I}_{2,18}$ is not known and it is not even clear whether it exists. Hence, we will proceed in a constructive way.

In section 2.2 we explained that all allowed groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{d+16-r}$ in heterotic compactification on $T^{d}$ can be obtained by lattice embedding techniques. For $T^{2}$ the full results are known from the work of Shimada and Zhang who classified all possible ADE types of singular fibers in elliptic K3 surfaces [19, 20]. The classification translates into all possible heterotic gauge groups because the lattice embedding conditions are the same in the K3 and heterotic contexts. This can also be seen as a further element in favor of the conjectured duality between heterotic on $T^{2}$ and F-theory on K3.

Knowing all allowed groups it remains to compute the corresponding moduli. We will focus in the HE since the moduli in the HO can be derived from the map elaborated in section 2.1.2. We will mostly consider the case of maximal enhancing, i.e. $r=18$. As argued in section 2.2 .2 , this can occur only if the $E_{i j}$ are rational numbers and the $A_{i}$ are quantized. In section 2.3.1 we explained a shift algorithm to find such Wilson lines. In particular, in the HE we can find all pairs of quantized Wilson lines that break $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ to a subgroup of rank 16, hence with a Dynkin diagram having 16 nodes. We can then look for values of the $E_{i j}$ that allow to add two additional nodes, thereby leading to a semisimple group of rank 18. This is analogous to the procedure of finding all maximal enhancements from the EDD in the circle compactification.

In section 2.4.2 we will explain the EDD inspired method in more detail. We will see that it fails to give several of the known groups of maximal rank. In section 2.4.3 we will then develop more general procedures in order to obtain all such groups. The results are summarized in section 2.4.4.

### 2.4.1 Complex moduli

Without Wilson lines we know that it is revealing to combine the parameters from the metric and the antisymmetric field into complex structure and Kähler moduli, denoted $\tau$ and $\rho$ respectively. In particular, the duality transformations and the fundamental moduli region can be described very efficiently in terms of $\tau$ and $\rho$. It is then reasonable to use these complex parameters in the presence of the $A_{i}^{I}$, which in turn can be combined into complex moduli $\xi^{I}$ as well. Altogether we have the 18 complex moduli

$$
\begin{align*}
\tau & =\frac{g_{12}}{g_{11}}+i \frac{\sqrt{g}}{g_{11}}, \quad \rho=b_{12}+i \sqrt{g}+\frac{1}{2} A_{1}^{I} \zeta^{I},  \tag{2.4.1}\\
\zeta^{I} & =A_{1}^{I} \tau-A_{2}^{I}
\end{align*}
$$

where $g=\operatorname{det} g_{i j}$. The conditions $g_{i i}>0$ and $g>0$ imply the restrictions

$$
\begin{equation*}
\tau_{2}>0, \quad \rho_{2}>0, \quad \tau_{2} \rho_{2}-\frac{1}{2} \zeta_{2}^{2}>0 \tag{2.4.2}
\end{equation*}
$$

where the subscript 2 refers to the imaginary parts. The moduli $\left(\tau, \rho, \zeta^{I}\right)$ were considered in [42], see also [43, 44]. As expected, the Kähler modulus, which is more stringy, receives corrections depending on the Wilson lines whereas $\tau$, purely geometrical, is not affected.

The $\mathrm{II}_{2,18}$ lattice vectors $\left(p_{R} ; p_{L}, p^{I}\right)$ can also be written in terms of the complex moduli. Now, we are mostly interested in the duality transformations of the moduli which can be derived from invariance of the spectrum. By virtue of (2.1.4) it then suffices to determine $p_{R}^{2}$. We obtain

$$
\begin{equation*}
p_{R}^{2}=\frac{1}{2\left(\rho_{2} \tau_{2}-\frac{1}{2} \zeta_{2}^{2}\right)}\left|n_{2}-\tau n_{1}+\rho w^{1}+\left(\rho \tau-\frac{1}{2} \zeta^{2}\right) w^{2}+\pi \cdot \zeta\right|^{2} . \tag{2.4.3}
\end{equation*}
$$

Imposing invariance of $p_{R}^{2}$ and $\left(p_{L}+p^{I}\right)^{2}-p_{R}^{2}=\pi \cdot \pi+2 n_{i} w^{i}$ we deduce the duality transformations

$$
\begin{array}{ll}
\mathcal{Z}_{1}: \quad \tau^{\prime}=\rho, \quad \rho^{\prime}=\tau, \quad \zeta^{\prime}=\zeta, \\
\mathcal{Z}_{2}: & \tau^{\prime}=-\bar{\tau}, \quad \rho^{\prime}=-\bar{\rho}, \quad \zeta^{\prime}=\bar{\zeta}, \\
\mathcal{A}_{1}: & \tau^{\prime}=\tau+1, \quad \rho^{\prime}=\rho, \quad \zeta^{\prime}=\zeta  \tag{2.4.4}\\
\mathcal{S}_{1}: & \tau^{\prime}=-\frac{1}{\tau}, \quad \rho^{\prime}=\rho-\frac{1}{2} \frac{\zeta^{2}}{\tau}, \quad \zeta^{\prime}=\frac{\zeta}{\tau} \\
\Gamma_{1}: & \tau^{\prime}=\tau, \quad \rho^{\prime}=\rho+\zeta \cdot \Lambda+\frac{1}{2} \Lambda^{2} \tau, \quad \zeta^{\prime}=\zeta+\Lambda \tau, \quad \Lambda \in \Upsilon_{16}
\end{array}
$$

where we have dropped the superscript $I$ in $\zeta$ to simplify the expressions. These transformations were also found in 42].

Together with Weyl automorphisms in $\Upsilon_{16},\left\{\mathcal{Z}_{1}, \mathcal{Z}_{2}, \mathcal{A}_{1}, \mathcal{S}_{1}, \Gamma_{1}\right\}$ generate the duality group $\mathrm{O}(2,18, \mathbb{Z})$. We recognize $\mathcal{A}_{1}$ and $\mathcal{S}_{1}$ as the generators of $\mathrm{SL}(2, \mathbb{Z})$ changes of the $\left(e_{1}, e_{2}\right)$ basis, whereas $\mathcal{Z}_{2}$ is the parity $e_{1} \rightarrow-e_{1}$. The transformation $\Gamma_{1}$ is the translation of $A_{1}^{I}$ by the lattice vector $\Lambda$. The shift $b_{12} \rightarrow b_{12}+1$, implying $\rho \rightarrow \rho+1$, is just $\mathcal{Z}_{1} \mathcal{A}_{1} \mathcal{Z}_{1}$. The composition $\mathcal{S}_{1} \mathcal{Z}_{1} \mathcal{S}_{1} \mathcal{Z}_{1}$ gives the full T-duality (i.e. in directions $e_{1}$ and $e_{2}$ ), generalizing $R \rightarrow 1 / R$, with action

$$
\begin{equation*}
\mathcal{D}: \quad \tau^{\prime}=-\frac{\rho}{\rho \tau-\frac{1}{2} \zeta^{2}}, \quad \rho^{\prime}=-\frac{\tau}{\rho \tau-\frac{1}{2} \zeta^{2}}, \quad \zeta^{\prime}=\frac{\zeta}{\rho \tau-\frac{1}{2} \zeta^{2}} . \tag{2.4.5}
\end{equation*}
$$

The factorized duality in the direction $e_{1}$ of $T^{2}$ is $\mathcal{Z}_{2} \mathcal{Z}_{1}$, while $\mathcal{Z}_{1}$ is 'mirror symmetry'. The $\Upsilon_{16}$ automorphisms include the transformation $\tau^{\prime}=\tau, \rho^{\prime}=\rho, \zeta^{\prime}=-\zeta$, which amounts to $A_{i}^{\prime}=-A_{i}$.

The moduli $E_{i j}$ are related to $(\tau, \rho, \zeta)$ by

$$
\begin{equation*}
E_{11} \tau-E_{21}=\rho, \quad E_{12} \tau-E_{22}=\tau \rho-\frac{1}{2} \zeta^{2} \equiv \xi \tag{2.4.6}
\end{equation*}
$$

The duality transformations of $E_{i j}$ and $A_{i}$ can be efficiently derived as explained in section 2.1.1. For instance, the factorized duality in the direction $e_{1}$, i.e. $\mathcal{Z}_{2} \mathcal{Z}_{1}$, is given in 2.1.41). Analogously, the factorized duality in the direction $e_{2}$, i.e. $\mathcal{S}_{1} \mathcal{Z}_{1} \mathcal{S}_{1} \mathcal{Z}_{2}$, amounts to

$$
E^{\prime}=\frac{1}{E_{22}}\left(\begin{array}{cc}
\operatorname{det} E & E_{12}  \tag{2.4.7}\\
-E_{21} & 1
\end{array}\right), \quad A_{1}^{\prime}=A_{1}-\frac{E_{12}}{E_{22}} A_{2}, \quad A_{2}^{\prime}=-\frac{A_{2}}{E_{22}} .
$$

The product of the two factorized dualities yields

$$
\begin{equation*}
E^{\prime}=E^{-1}, \quad\binom{A_{1}^{\prime}}{A_{2}^{\prime}}=-E^{-1}\binom{A_{1}}{A_{2}} \tag{2.4.8}
\end{equation*}
$$

which corresponds to the transformation in 2.4.5).
It is instructive to consider a particular slice of moduli space defined by restricting the Wilson lines to break an $\mathrm{SU}(2)$ in $\mathrm{E}_{8}$. This can be achieved taking $A_{i}=a_{i} w_{6} \times 0$, so that

$$
\begin{equation*}
\zeta=\beta w_{6} \times 0, \quad \beta=a_{1} \tau-a_{2} . \tag{2.4.9}
\end{equation*}
$$

There are then three complex parameters $(\tau, \rho, \beta)$. The duality group acting on them reduces to $\mathrm{O}(2,3, \mathbb{Z})$, whose generators are given in (2.4.4), with $\Lambda=w_{6} \times 0$ in $\Gamma_{1}$. It is known that $\mathrm{O}(2,3, \mathbb{Z})$ has a subgroup which can be identified with $\operatorname{Sp}(4, \mathbb{Z})$, see e.g. 45]. A minimal set of generators is provided by $\left\{\mathcal{Z}_{1}, \mathcal{A}_{1}, \mathcal{S}_{1}, \Gamma_{1}\right\}$. The standard Dehn twists (shown e.g. in [46]) can be expressed in terms of the elements of this set. In fact, there is an isomorphism from the moduli space of $(\tau, \rho, \beta)$ to the genus-two Siegel upper half-plane parametrized by $\Omega=\binom{\tau}{\beta}$, see 46] and references therein. Thus, $(\tau, \rho, \beta)$ can be regarded as the moduli of
a genus-two surface. Several useful results about the moduli space of genus-two curves are known. In particular, the fundamental region and fixed points of finite subgroups have been determined $[47-49]$. Some special duality transformations, needed for future purposes, are

$$
\Omega^{\prime}=\left(\begin{array}{cc}
\rho & \rho-\beta  \tag{2.4.10}\\
\rho-\beta & \tau+\rho-2 \beta
\end{array}\right), \quad \Omega^{\prime}=-\frac{1}{\xi+\rho}\left(\begin{array}{cc}
\rho & \xi-\beta \\
\xi-\beta & 2 \rho+\tau-2 \beta+\xi+1
\end{array}\right),
$$

where $\xi=\rho \tau-\beta^{2}$. At generic values of the moduli the gauge group is $\mathrm{U}(1)^{3} \times \mathrm{E}_{7} \times \mathrm{E}_{8}^{\prime}$, but at the fixed points the $\mathrm{U}(1)^{3}$ can enhance for instance to $\mathrm{SU}(2) \times \mathrm{SU}(3)$ or $\mathrm{SU}(4)$ 50]. More details will be given in section 2.4.4. This slice of heterotic moduli space is specially interesting because an explicit map to the moduli of elliptic K 3 surfaces with $\mathrm{E}_{7}$ and $\mathrm{E}_{8}$ singularities was established recently [45], see also 46] and references therein.

### 2.4.2 Generalizing the EDD algorithm to two Wilson lines

The EDD algorithm in circle compactifications uses the fact that the T-duality group $\mathrm{O}(1,17, \mathbb{Z})$ is completely generated by simple reflections. This ceases to be true for $d>1$ and so it cannot be generalized with its full power. What we can do, instead, is to develop a more general method to find maximal groups and their associated moduli which works for all $d$, and reduces to the EDD algorithm in $d=1$.

The key idea is that the EDD algorithm in $d=1$ can be stated in an equivalent but qualitatively different way. Instead of breaking two nodes of the 19-node generalized diagram, we do a step by step procedure: we first break $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ to a maximal subgroup with a Wilson line given by the shift algorithm, and then enhance this subgroup by adding the node C which corresponds to a massless state only when $E=1$. The completeness of this algorithm relies on the fact that there is a finite number of ways of breaking $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ because a fundamental region for a single Wilson line is known, and then the choice of $E$ which enhances the resulting group for the given Wilson line is unique.

In higher dimensions we lack a complete description of the fundamental domain. Wilson lines $A_{i}, i=1, \ldots, d$, can be turned on and there are more possibilities to break $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ to a maximal subgroup, but in general all $A_{i}$ cannot be brought simultaneously into a fundamental region of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$. Besides, the options for the moduli $E_{i j}$ are less constrained. Nonetheless, we will describe how a systematic choice of the $A_{i}$, and the $E_{i j}$, leads to a class of extended Dynkin diagrams with $d+18$ nodes such that by deleting nodes in an appropriate way allows to read off the gauge group and the corresponding moduli.

Before outlining the procedure, let us remark that the generalization of the EDD algorithm does not capture all the maximal enhancements. As we discuss in more detail in section 2.4.3, there exist maximal rank $d+16$ groups that cannot be obtained by enhancing a rank 16
subgroup of $\mathrm{E}_{8} \times \mathrm{E}_{8}$, for example $\mathrm{SU}(7)^{3}$ in $d=2$.

## Reformulating and generalizing the algorithm

In section 2.3.1 we explained how the shift algorithm can be used to find a Wilson line in the fundamental region of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ and which breaks to a maximal subgroup. Writing the Wilson line as $A=\delta \times \delta^{\prime}$, we obtained

$$
\begin{equation*}
\delta=\frac{w_{k}}{\kappa_{k}}, \quad \delta^{\prime}=\frac{w_{m}^{\prime}}{\kappa_{m}^{\prime}}, \tag{2.4.11}
\end{equation*}
$$

for $k$ and $m$ taking fixed values in $0, \ldots, 8$, but with $k=m=8$ excluded. This choice in the circle compactification then implies that in the basis (2.3.4) the nodes $\varphi_{i}, \varphi_{j}^{\prime}, i, j=0, \ldots, 8$, with $i \neq k$ and $j \neq m$, correspond to massless states which satisfy the conditions $n=E w+\pi \cdot A$ and $\pi^{2}+2 n w=2$. These conditions are also satisfied by node $\varphi_{\mathrm{c}}$ provided $E=1$, while it is not satisfied by the nodes $\varphi_{k}$ and $\varphi_{m}^{\prime}$ which are deleted. Notice that the node $\varphi_{\mathrm{c}}$ gives the extension to a group of rank 17 and that actually $\varphi_{\mathrm{c}} \in \mathrm{I}_{1,1}$.

These observations motivate a similar procedure for the $T^{2}$ compactification. The nodes in the generalized diagram now have charge vectors $\left|w^{1}, w^{2}, n_{1}, n_{2} ; \pi\right\rangle$. As before it is convenient to introduce nodes associated to the simple roots of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$, namely

$$
\begin{equation*}
\varphi_{i}=\left|0,0,0,0 ; \alpha_{i}, 0^{8}\right\rangle, \quad \varphi_{i}^{\prime}=\left|0,0,0,0 ; 0^{8}, \alpha_{i}^{\prime}\right\rangle \quad i=1, \ldots, 8 . \tag{2.4.12}
\end{equation*}
$$

They will correspond to roots of the resulting gauge group whenever they satisfy the massless conditions $\mathbf{p}_{\mathbf{R}}=0$ and $\mathbf{p}_{\mathbf{L}}{ }^{2}=2$, leading in turn to (2.1.9) and (2.1.10). Explicitly,

$$
\begin{gather*}
n_{1}=E_{11} w^{1}+E_{12} w^{2}+\pi \cdot A_{1}, \quad n_{2}=E_{21} w^{1}+E_{22} w^{2}+\pi \cdot A_{2},  \tag{2.4.13a}\\
\pi^{2}+2 w^{1} n_{1}+2 w^{2} n_{2}=2 . \tag{2.4.13b}
\end{gather*}
$$

To proceed we need to specify the moduli.
The Wilson lines are conveniently written as

$$
\begin{equation*}
A_{1}=\delta_{1} \times \delta_{1}^{\prime}, \quad A_{2}=\delta_{2} \times \delta_{2}^{\prime} . \tag{2.4.14}
\end{equation*}
$$

We are interested in the case in which the two Wilson lines together break $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ to a subgroup of rank 16 for generic $E_{i j}$. To achieve this we first take $\delta_{1}$ and $\delta_{1}^{\prime}$ exactly as in (2.4.11). Thus, the subgroup left invariant by $A_{1}$, denoted $\mathrm{H}_{k} \times \mathrm{H}_{m}^{\prime}$, is found deleting the nodes corresponding to the roots $\alpha_{k}$ and $\alpha_{m}^{\prime}$ in the extended Dynkin diagram of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$. For $A_{2}$ we basically use the shift algorithm applied to $\mathrm{H}_{k} \times \mathrm{H}_{m}^{\prime}$. To this end we first append two affine roots $\hat{\alpha}_{k}$ and $\hat{\alpha}_{m}^{\prime}$ of the subgroup $\mathrm{H}_{k} \times \mathrm{H}_{m}^{\prime}$ and delete two additional nodes, say those
corresponding to the roots $\alpha_{p}, p \neq k$, and $\alpha_{q}^{\prime}, q \neq m$, of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$, which are also roots of the subgroup. The new affine roots are given by the lowest roots of one of the factors in $\mathrm{H}_{k}$ and $\mathrm{H}_{m}^{\prime}$ respectively. The precise way will be explained shortly.

The combined effect of $A_{1}$ and $A_{2}$ is to leave a subgroup of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ unbroken. The simple roots that survive are $\alpha_{i}, i \neq k, p, \alpha_{j}^{\prime}, j \neq m, q, i, j=0, \ldots, 8$, plus $\hat{\alpha}_{k}$ and $\hat{\alpha}_{m}^{\prime}$. For $A_{1}$ we have $\delta_{1}=w_{k} / \kappa_{k}, \delta_{1}^{\prime}=w_{m}^{\prime} / \kappa_{m}^{\prime}$, and by construction

$$
\begin{equation*}
\delta_{1} \cdot \hat{\alpha}_{k}=0 \quad \delta_{1}^{\prime} \cdot \hat{\alpha}_{m}^{\prime}=0 . \tag{2.4.15}
\end{equation*}
$$

For $A_{2}$ the shift algorithm dictates that

$$
\begin{equation*}
\delta_{2} \cdot \alpha_{i}=0, \quad i \neq k, p, \quad \delta_{2} \cdot \hat{\alpha}_{k}=-1 ; \quad \delta_{2}^{\prime} \cdot \alpha_{j}^{\prime}=0, j \neq m, q, \quad \delta_{2}^{\prime} \cdot \hat{\alpha}_{m}^{\prime}=-1 ; \quad i, j=0, \ldots, 8 . \tag{2.4.16}
\end{equation*}
$$

Here we are assuming that $\delta_{2} \neq 0$ and $\delta_{2}^{\prime} \neq 0$. If $\delta_{2}=0$, then $\hat{\alpha}_{k}$ is not appended and $\alpha_{p}$ is not deleted. Likewise, if $\delta_{2}^{\prime}=0, \hat{\alpha}_{m}^{\prime}$ is absent and $\alpha_{q}^{\prime}$ remains.

The advantage of choosing $A_{1}$ and $A_{2}$ as just described is that we can now construct extended nodes that satisfy the massless conditions in (2.4.13). Indeed, to the original affine roots of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ we associate two extended nodes with momentum number in the direction 1

$$
\begin{equation*}
\varphi_{0}=\left|0,0,-1,0 ; \alpha_{0}, 0^{8}\right\rangle, \quad \varphi_{0}^{\prime}=\left|0,0,-1,0 ; 0^{8}, \alpha_{0}^{\prime}\right\rangle . \tag{2.4.17}
\end{equation*}
$$

Actually, when $k=0$, so $\delta_{1}=0$, and/or $m=0$, so $\delta_{1}^{\prime}=0, \varphi_{0}$ and/or $\varphi_{0}^{\prime}$ do not verify 2.4.13), but in these cases they are meant to be deleted. The new affine roots of the subgroup $\mathrm{H}_{k} \times \mathrm{H}_{m}^{\prime}$ lead instead to two different extended roots with momentum number in the direction 2

$$
\begin{equation*}
\varphi_{-1}=\left|0,0,0,-1 ; \hat{\alpha}_{k}, 0^{8}\right\rangle, \quad \varphi_{-1}^{\prime}=\left|0,0,0,-1 ; 0^{8}, \hat{\alpha}_{m}^{\prime}\right\rangle . \tag{2.4.18}
\end{equation*}
$$

In section 2.4.2 we will explain in more detail how $\hat{\alpha}_{k}$ and $\hat{\alpha}_{m}^{\prime}$ are determined.
To continue with the analogy with the EDD of $\mathrm{O}(1,17, \mathbb{Z})$ we still have to add two nodes corresponding to $\mathrm{II}_{2,2}$. For this purpose we need to make a choice of tensor $E_{i j}$ such that these extra roots do correspond to massless states. In this section we allow for two possibilities only, which cover most of the enhancement groups. Other possibilities are explored in the next sections. The two choices are

$$
E_{1}=\left(\begin{array}{ll}
1 & 0  \tag{2.4.19}\\
0 & 1
\end{array}\right), \quad E_{2}=\left(\begin{array}{rr}
1 & -1 \\
0 & 1
\end{array}\right)
$$

For $E=E_{1}$ the following charge vectors satisfy the massless conditions (2.4.13)

$$
\begin{equation*}
\varphi_{\mathrm{C}_{1}}=\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle, \quad \varphi_{\mathrm{C}_{2}}=\left|0,1,0,1 ; 0^{8}, 0^{8}\right\rangle \tag{2.4.20}
\end{equation*}
$$

Since they are orthogonal, they are not connected to one another in the Dynkin diagram. Notice that $\varphi_{\mathrm{C}_{1}}$ corresponds to $\varphi_{\mathrm{C}}$ in the $S^{1}$ compactification. On the other hand, setting $E=E_{2}$ gives the vectors

$$
\begin{equation*}
\varphi_{\mathrm{C}_{1}}=\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle, \quad \varphi_{\mathrm{C}_{3}}=\left|0,1,-1,1 ; 0^{8}, 0^{8}\right\rangle, \tag{2.4.21}
\end{equation*}
$$

which enter our extended diagram as an $\mathrm{A}_{2}$ subdiagram joined to $\varphi_{0}$ and $\varphi_{0}{ }^{\prime}$. We finally have to delete two nodes $3^{3}$,

Before giving some examples, let us point out once again that this algorithm does not give all the possible enhancements. As we explain in more detail later, further generalizations that do not involve extended diagrams are required to get all the possibilities, as explored in section 2.4.3.

## Extended diagrams with trivial second breaking

We now give some examples, starting from the simplest. For the sake of clarity, we will use a color coding for the nodes which partly or completely lie in the $\mathrm{II}_{2,2}$ sublattice. We will paint with green the roots $\varphi_{0}, \varphi_{0}^{\prime}$ and $\varphi_{\mathrm{C}_{1}}$, and with blue the roots $\varphi_{-1}, \varphi_{-1}^{\prime}, \varphi_{\mathrm{C}_{2}}$ or $\varphi_{\mathrm{C}_{3}}$. This will help us keep track of the extensions of the diagram and how they relate to the Wilson lines.

The simplest example of an extended diagram in $d=2$ compactifications is obtained by taking our second breaking to be trivial, namely taking $A_{2}=0$. For this choice, our task is easier because there is no need at all to apply the conditions 2.4.16). In practice we just have to supplement the EDD for $S^{1}$ with the node $\varphi_{\mathrm{C}_{2}}$ or $\varphi_{\mathrm{C}_{3}}$. Concretely, taking $E=E_{1}$, we get the extended diagram shown in Figure 2.3, where to obtain the rank 18 maximal groups we have to delete two nodes. With this we can obtain all the groups of the form $\mathrm{G}_{17} \times \mathrm{A}_{1}$, where $\mathrm{G}_{17}$ is one of the 44 maximally enhanced groups in $S^{1}$ compactifications.


Figure 2.3: Simplest extended diagram for $T^{2}$ compactifications, reproducing the 44 maximal enhancements of $S^{1}$ compactifications times $\mathrm{A}_{1}$. All models have $A_{2}=0$ and $E=E_{1}$.

If we take instead $E=E_{2}$, we get the diagram shown in Figure 2.4. With this simple construction we are now able to get non-trivial enhancements by deleting two nodes such that

[^1]the resulting diagram is ADE. For example, by deleting nodes 5 and $5^{\prime}$ we get the group $\mathrm{E}_{6}^{3}$, with moduli $A_{1}=\frac{1}{3} w_{5} \times \frac{1}{3} w_{5}^{\prime}, A_{2}=0, E=E_{2}$.


Figure 2.4: Extended diagram with $A_{2}=0$ and $E=E_{2}$. This is the simplest example of an extended diagram with non-trivial new results.

At this point it is useful to introduce an operation on the diagrams which consists of interchanging the last eight components of the two Wilson lines, namely

$$
\begin{equation*}
A_{1} \rightarrow \delta_{1} \times \delta_{2}^{\prime}, \quad A_{2} \rightarrow \delta_{2} \times \delta_{1}^{\prime} \tag{2.4.22}
\end{equation*}
$$

This amounts to exchanging $n_{1}^{\prime} \leftrightarrow n_{2}^{\prime}$ in $\varphi_{0}^{\prime}$ and $\varphi_{-1}^{\prime}$. If we follow the rule that nodes of the same color couple together, then this operation simply exchanges the colors of the affine roots relating to $\mathrm{E}_{8}^{\prime}$. Because of the way the diagrams transform, we call this operation "twisting".

Applying this operation to the diagram in Figure 2.3 we get the one shown in Figure 2.5. which gives an explicit realization of the embedding $\mathrm{I}_{1,9}+\mathrm{I}_{1,9} \subset \mathrm{I}_{2,18}$. Since the automorphisms of $\mathrm{I}_{1,9}$ form a Coxeter group (as in the $\mathrm{I}_{1,17}$ case), this diagram yields all ADE lattices which are products of rank 9 positive definite lattices admitting an embedding in $\mathrm{II}_{1,9}$. In this diagram $E=E_{1}$ and effectively $A_{1}=\delta_{1} \times 0$ and $A_{2}=0 \times \delta_{2}^{\prime}$, with shifts depending on the deleted nodes. For instance, $\delta_{1}=\frac{1}{3} w_{1}, \delta_{2}^{\prime}=\frac{1}{3} w_{1}^{\prime}$, gives the group $\operatorname{SU}(10)^{2}$.


Figure 2.5: Extended diagram with $A_{1}=\delta_{1} \times 0, A_{2}=0 \times \delta_{2}^{\prime}, E=E_{1}$, which corresponds to the lattice $\mathrm{II}_{1,9}+\mathrm{II}_{1,9}$. Interestingly, these roots form a basis for $\mathrm{II}_{2,18}$.

If we twist the diagram in Figure 2.4, we get the one shown in Figure 2.6. Now we can get groups such as $\mathrm{SU}(19)$ with $A_{1}=\frac{1}{3} w_{1} \times 0$ and $A_{2}=0 \times \frac{1}{3} w_{1}^{\prime}$, as well as $\mathrm{SO}(36)$ with $A_{1}=\frac{1}{3} w_{1} \times 0$ and $A_{2}=0 \times \frac{1}{2} w_{8}^{\prime}$. In both cases $E=E_{2}$.

Summarizing, the generalized diagrams with 20 nodes can be used to obtain maximal enhancings which are read off from residual ADE diagrams found by deleting two nodes. The


Figure 2.6: Extended diagram with $A_{1}=\delta_{1} \times 0, A_{2}=0 \times \delta_{2}^{\prime}, E=E_{1}$. This also gives a basis for $\mathrm{II}_{2,18}$.
$E_{i j}$ moduli are either of type $E_{1}$ or type $E_{2}$ in (2.4.19), while the $A_{i}$ are determined from the deleted nodes. However, it should be noted that in some cases the gauge group determined from the predicted moduli might not be represented by the residual diagram. The problem is that it is not enough to find a set of 18 nodes, specified by charge vectors $\left|\varphi_{\mu}\right\rangle, \mu=1, \ldots, 18$, such that these nodes form a proper ADE Dynkin diagram with links given by $\left\langle\varphi_{\mu} \mid \varphi_{\nu}\right\rangle$, defined in 2.1.13). For these nodes to correspond to roots $\left(0 ; \mathbf{p}_{\mathbf{L}}\right), \mathbf{p}_{\mathbf{L}}{ }^{2}=2$, belonging to $\mathrm{II}_{2,18}$, there must exist moduli such that the charge vectors satisfy $(2.4 .13)$. If these moduli exist, we then have to check if they allow other roots such that the ones in the set $\left|\varphi_{\mu}\right\rangle$ are indeed simple and can appear in the Dynkin diagram. For this reason, the diagrams presented here and below have been confirmed to work as intended. We will see that the same problem arises in the algorithms of section 2.4.3, but there is a systematic prescription to determine the correct gauge group.

## Extended diagrams with nontrivial second breaking

Now we construct some extended diagrams for models with $A_{2} \neq 0$. To keep things clear and unambiguous, we impose the restriction that the affine nodes $\varphi_{0}$ and $\varphi_{-1}$ cannot belong to the same connected component of the diagram, and similarly for $\varphi_{0}^{\prime}$ and $\varphi_{-1}^{\prime}$.

As a first example we take $A_{1}=\frac{1}{2} w_{6} \times \frac{1}{2} w_{6}^{\prime}$. In the notation of section 2.4.2, $k=m=6$ and the unbroken subgroup is the product of $\mathrm{H}_{6}=\mathrm{E}_{7} \times \mathrm{A}_{1}$ and $\mathrm{H}_{6}^{\prime}=\mathrm{E}_{7}^{\prime} \times \mathrm{A}_{1}^{\prime}$. Our algorithm dictates that we add two affine nodes, and the restriction above says that these cannot extend $\mathrm{A}_{1}$ nor $\mathrm{A}_{1}^{\prime}$, since these include the nodes $\varphi_{0}$ and $\varphi_{0^{\prime}}$. Hence we should add the affine roots for $\mathrm{E}_{7}$ and $\mathrm{E}_{7}^{\prime}$ and color them blue. With $E=E_{1}$ we then get the extended diagram shown in Figure 2.7 .

In this example the new affine roots that build $\varphi_{-1}$ and $\varphi_{-1}^{\prime}$ in (2.4.18) are the lowest roots of $\mathrm{E}_{7}$ and $\mathrm{E}_{7}^{\prime}$ given by

$$
\begin{align*}
& \hat{\alpha}_{6}=-\left(2 \alpha_{1}+4 \alpha_{2}+3 \alpha_{3}+2 \alpha_{4}+\alpha_{5}+3 \alpha_{7}+2 \alpha_{8}\right)=w_{6}-w_{8}=\left(0^{6},-1,-1\right),  \tag{2.4.23}\\
& \hat{\alpha}_{6}^{\prime}=-\left(2 \alpha_{1}^{\prime}+4 \alpha_{2}^{\prime}+3 \alpha_{3}^{\prime}+2 \alpha_{4}^{\prime}+\alpha_{5}^{\prime}+3 \alpha_{7}^{\prime}+2 \alpha_{8}^{\prime}\right)=w_{6}^{\prime}-w_{8}^{\prime}=\left(1,1,0^{6}\right)
\end{align*}
$$



Figure 2.7: Extended diagram for double breakings corresponding to the choice $A_{1}=\frac{1}{2} w_{6} \times$ $\frac{1}{2} w_{6}^{\prime}, E=E_{1}$.

The coefficients in the root expansion of $\hat{\alpha}_{6}$ are the Kac labels for $\mathrm{E}_{7}$, and likewise for $\hat{\alpha}_{6}^{\prime}$. In other examples the new affine roots are found in an analogous way. For example if $k=5$, $\mathrm{H}_{5}=E_{6} \times A_{2}$, and $\hat{\alpha}_{5}$ is the lowest root of $\mathrm{E}_{6}$, i.e. $\hat{\alpha}_{5}=-\left(2 \alpha_{1}+3 \alpha_{2}+2 \alpha_{3}+\alpha_{4}+2 \alpha_{7}+\alpha_{8}\right)$.

Deleting any one of $\varphi_{-1}$ or $\varphi_{-1}^{\prime}$ in Figure 2.7 gives us a group that could have been obtained with a simpler diagram, setting the first and/or last eight components of $A_{2}$ to zero. Similarly, the affine roots $\varphi_{0}$ and $\varphi_{0}^{\prime}$ cannot be deleted, since this would lead to a non-ADE diagram. But there are many other possibilities. For illustration we will derive the moduli for the gauge group $\mathrm{A}_{1} \times \mathrm{A}_{3} \times \mathrm{D}_{14}$, found by deleting nodes 1 and $4^{\prime}$. According to (2.4.16), for $A_{2}$ we require

$$
\begin{array}{ll}
\delta_{2} \cdot \alpha_{i}=0, i=0,2,3,4,5,7,8, & \delta_{2} \cdot \hat{\alpha}_{6}=-1, \\
\delta_{2}^{\prime} \cdot \alpha_{j}^{\prime}=0, j=0,1,2,3,5,7,8, & \delta_{2}^{\prime} \cdot \hat{\alpha}_{6}^{\prime}=-1 . \tag{2.4.24}
\end{array}
$$

These constraints are solved by

$$
\begin{equation*}
A_{2}=\left(\frac{1}{2} w_{1}-\frac{3}{4} w_{6}\right) \times\left(\frac{1}{2} w_{4}^{\prime}-w_{6}^{\prime}\right)=\left(\frac{1}{4},-\frac{1}{4}^{5}, \frac{1}{2}^{2}\right) \times\left(-\frac{1}{2}^{2}, \frac{1}{2}^{2}, 0^{4}\right) . \tag{2.4.25}
\end{equation*}
$$

Since we already know the values for $E$ and $A_{1}$, we are done.
If we take $E=E_{2}$, we get an extended diagram in which the node $\mathrm{C}_{2}$ in Figure 2.7 is replaced by $\mathrm{C}_{3}$, and is connected to $\mathrm{C}_{1}$. Here one cannot delete any pair of nodes as we would not get an ADE group. This means that for $A_{1}=\frac{1}{2} w_{6} \times \frac{1}{2} w_{6}^{\prime}$ and $E=E_{2}$ there is no second Wilson line with $\delta_{2} \neq 0$ and $\delta_{2}^{\prime} \neq 0$ that gives maximal enhancement. What we can do is apply the twisting operation (2.4.22), interchanging the colors of $\varphi_{0}^{\prime}$ and $\varphi_{-1}^{\prime}$. The resulting diagram is shown in Figure 2.8. To get for example the group $\mathrm{A}_{1} \times \mathrm{A}_{9} \times \mathrm{D}_{8}$ we delete the nodes 1 and $4^{\prime}$. The Wilson lines are then obtained from those in the previous example by exchanging the last eight components.

## Exceptional extended diagrams

The construction of extended diagrams considered so far can be thought of as gluing two subdiagrams of nine nodes via the nodes $\left\{\varphi_{\mathrm{c}_{1}}, \varphi_{\mathrm{C}_{2}}\right\}$ or $\left\{\varphi_{\mathrm{C}_{1}}, \varphi_{\mathrm{C}_{3}}\right\}$. The two subdiagrams are



Figure 2.8: Extended diagram for Wilson lines $A_{1}=\frac{1}{2} w_{6} \times \delta_{1}^{\prime}, A_{2}=\delta_{2} \times \frac{1}{2} w_{6}^{\prime}$ and $E=E_{2}$. Curiously it corresponds to the product of what are referred to as over over-extended diagrams for $\mathrm{E}_{7}$, in this case written as $\mathrm{E}_{7}^{++}+\mathrm{E}_{7}^{++}$.
in turn assembled via the two-step shift algorithm applied to $\mathrm{E}_{8}$ and $\mathrm{E}_{8}^{\prime}$. There are, however, three extra subdiagrams which do not exactly conform to this procedure, but arise naturally when one considers how the affine roots $\hat{\alpha}_{i}$, with $i=4,5,6$, described in section 2.4.2, are linked to the simple roots of $\mathrm{E}_{8}$. Similar considerations for the other affine roots do not lead to analogous conclusions, in part due to the fact that they extend $\mathrm{A}_{n}$ diagrams.

In Figure 2.9 we have drawn the extended Dynkin diagram of $\mathrm{E}_{8}$, with its usual lowest root $\alpha_{0}$, together with the three affine roots mentioned above. The black (red) links represent inner products with value $-1(+1)$. The inner products between the $\hat{\alpha}_{i}$ are not shown, as they are not of interest. The color coding is exactly as before, meaning that the charge vectors of the nodes corresponding to $\hat{\alpha}_{i}$ have $n_{2}=-1$ and $n_{1}=w^{1}=w^{2}=0$. We see that deleting the $i$-th node, and adding the affine root $\hat{\alpha}_{i}$, gives us three of the subdiagrams which are predicted by the method of 2.4.2. However, as suggested by the right side of the figure, if we flip the sign of the $\hat{\alpha}_{i}$ we are now able to construct three more subdiagrams. These are shown in Figure 2.10, with the blue extending nodes defined in each case as

$$
\varphi_{-1}=\left\{\begin{array}{l}
\left|0,0,0,-1 ;-\hat{\alpha}_{6}, 0^{8}\right\rangle=\left|0,0,0,-1 ; 0^{6}, 1,1,0^{8}\right\rangle  \tag{2.4.26}\\
\left|0,0,0,-1 ;-\hat{\alpha}_{5}, 0^{8}\right\rangle=\left|0,0,0,-1 ;-\frac{1}{2}^{4} \frac{1}{2}^{4},-\frac{1}{2}^{3}, 0^{8}\right\rangle \text { (b) } \\
\left|0,0,0,-1 ;-\hat{\alpha}_{4}, 0^{8}\right\rangle=\left|0,0,0,-1 ;-\frac{1}{2}^{4}, \frac{1}{2}^{4}, 0^{8}\right\rangle
\end{array}\right.
$$

These new subdiagrams are qualitatively different from those obtained in the previous section in two ways. On one hand, they do not respect the restriction that a connected part cannot have two extending nodes. On the other hand, they are not associated to fixed values of $\delta_{1}$ or $\delta_{1}^{\prime}$, as they do not come from a two-step shift algorithm. To illustrate this, consider the diagram (a) in Figure 2.10 and break the fourth node, leaving out a $2 \mathrm{D}_{4}$ diagram. Solving (2.4.13) for all the remaining nodes yields

$$
\begin{equation*}
A_{1}=\frac{1}{2}\left(w_{4}-w_{8}\right) \times \delta_{1}^{\prime}, \quad A_{2}=\frac{1}{2}\left(w_{4}-2 w_{8}\right) \times \delta_{2}^{\prime}, \tag{2.4.27}
\end{equation*}
$$



Figure 2.9: Links between the affine roots $\hat{\alpha}_{4}, \hat{\alpha}_{5}, \hat{\alpha}_{6}$ and the roots of the affine $\mathrm{E}_{8}$ diagram. Black (red) links correspond to inner products with value $-1(+1)$. The diagram to the right is obtained by flipping the signs of the $\hat{\alpha}_{i}$.


Figure 2.10: Three extra subdiagrams which do not come from a two-step shift-vector construction. They can be inferred from the right side of figure 2.9
with $\delta_{1}^{\prime}, \delta_{2}^{\prime}$ and $E_{i j}$ arbitrary, since the nodes are of the form $\left|0,0, n_{1}, n_{2} ; \pi^{1}, \ldots, \pi^{8}, 0^{8}\right\rangle$. Instead, if we break the third node, corresponding to an $\mathrm{A}_{3}+\mathrm{D}_{5}$ diagram, we obtain

$$
\begin{equation*}
A_{1}=\frac{1}{4}\left(2 w_{3}-3 w_{8}\right) \times \delta_{1}^{\prime}, \quad A_{2}=\frac{1}{4}\left(2 w_{3}-5 w_{8}\right) \times \delta_{2}^{\prime} . \tag{2.4.28}
\end{equation*}
$$

The Wilson line $A_{1}$ clearly differs from that of the previous breaking.
Apart from the two considerations mentioned above, the construction of EDD's with the new subdiagrams is exactly as before. For example, we can take two copies of the subdiagram (a) of Figure 2.10 and add the two nodes $\varphi_{\mathrm{C}_{1}}, \varphi_{\mathrm{C}_{2}}$ to get the EDD shown in Figure 2.11. Some enhancements obtained from this diagram are $2 \mathrm{D}_{6}+2 \mathrm{~A}_{3}$ and $\mathrm{D}_{5}+\mathrm{D}_{6}+\mathrm{A}_{7}$.

Exhausting the method of extended diagrams allows us to find 300 out of the 325 known maximal rank groups obtained in [19]. Remarkably, without the three subdiagrams in Figure


Figure 2.11: Extended Dynkin diagram constructed with the exceptional extension shown in Figure 2.10 (a) for both E and $\mathrm{E}_{8}^{\prime}$.
2.10, this number is reduced to 150 . The incompleteness of the method is due in part to the complexity of the moduli space and the T-duality group $\mathrm{O}(2,18, \mathbb{Z})$, which makes it hard to establish ways of obtaining global data. This is in contrast with the situation for $d=1$, where a fundamental region can be easily constructed (see Tables 2.6 and 2.7).

The maximal rank groups which are missing from our results so far are

$$
\begin{gather*}
3 \mathrm{~A}_{6}, \mathrm{~A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}, 6 \mathrm{~A}_{3}, 2 \mathrm{~A}_{1}+4 \mathrm{~A}_{4}, 2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+2 \mathrm{~A}_{4}, 3 \mathrm{~A}_{1}+3 \mathrm{~A}_{5}, \\
\mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}+2 \mathrm{~A}_{5}, \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{3}+\mathrm{A}_{4}+\mathrm{A}_{5}, 2 \mathrm{~A}_{3}+2 \mathrm{~A}_{6}, \\
2 \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{4}+\mathrm{A}_{6}, \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+\mathrm{A}_{4}+\mathrm{A}_{6}, \mathrm{~A}_{1}+\mathrm{A}_{3}+2 \mathrm{~A}_{7}, \mathrm{~A}_{2}+3 \mathrm{~A}_{3}+\mathrm{A}_{7},  \tag{2.4.29}\\
2 \mathrm{~A}_{1}+\mathrm{A}_{4}+\mathrm{A}_{5}+\mathrm{A}_{7}, \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{7}, \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+\mathrm{A}_{10}, 3 \mathrm{D}_{6}, 2 \mathrm{~A}_{2}+2 \mathrm{D}_{7}, \\
\mathrm{~A}_{2}+3 \mathrm{~A}_{3}+\mathrm{D}_{7}, \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{4}+\mathrm{D}_{7}, \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{D}_{7}, \\
2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+\mathrm{D}_{8}, 2 \mathrm{D}_{5}+\mathrm{D}_{8}, \mathrm{D}_{5}+\mathrm{D}_{7}+\mathrm{E}_{6}, 2 \mathrm{D}_{5}+\mathrm{E}_{8} .
\end{gather*}
$$

As we will see, these can be obtained with the more powerful algorithms developed in section 2.4.3. Actually, among the 300 groups found with the EDD method, there are 3 that can only be obtained with one of the possible $T$ lattices. The algorithms presented shortly also determine the moduli corresponding to the other $T$ lattices. The full set of maximally enhanced models, taking into consideration inequivalent models with the same gauge group, are collected in Table A. 2 and further discussed in section 2.4.4

### 2.4.3 Exploring the moduli space

In section 2.3 we have seen that to find maximal enhancements in the circle compactification, it is enough to give the value of the Wilson line, since we can always take $E=1$. Moreover, all the maximal enhancements can be obtained with a Wilson line that leaves unbroken a subgroup of $\mathrm{E}_{8} \times \mathrm{E}_{8}$ of rank 16 and which can be obtained systematically using the shift algorithm described in 2.3.1. These results actually rest on the existence of the EDD for $\mathrm{O}(1,17, \mathbb{Z})$.

In the case of $T^{2}$ compactifications things are not so simple. The techniques of section 2.4 .2 do lead to many maximal enhancement points starting from a collection of extended Dynkin diagrams, but this construction requires taking the particular values of $E_{i j}$ defined in (2.4.19). With this limitation it is impossible to get some maximal enhancements, such as $\mathrm{SU}(4)^{6}$, known to exist from the lattice embedding results in [19]. In section 2.4.3 we will develop an algorithm that determines if there are maximal enhancements for other values of $E_{i j}$, but as in section 2.4 .2 still starting from a pair of Wilson lines that leave unbroken a subgroup of $\mathrm{E}_{8} \times \mathrm{E}_{8}$ of rank 16 for generic $E_{i j}$. However, as argued shortly, such Wilson lines are not enough to reach all the known maximal enhancements: we miss the groups with algebras $3 \mathrm{~A}_{6}$ and $\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$. In section 2.4.3 we will solve this issue by implementing an alternative algorithm which does not fix the Wilson lines.

We will apply the algorithms in the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ heterotic theory. The moduli for the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ theory will then be determined using the map described in section 2.1.2.

## Fixed Wilson lines algorithm

This algorithm assumes a pair of Wilson lines fixed by the shift algorithm in such a way that $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ is broken to a maximal subgroup, say $\mathrm{G}_{16}$. This is the same assumption of section 2.4.2 where we explained that $A_{1}$ and $A_{2}$ take the form (2.4.14) or 2.4.22). For $A_{1}, \delta_{1}=\frac{w_{k}}{\kappa_{k}}$, $\delta_{1}^{\prime}=\frac{w_{m}}{\kappa_{m}}, k, m=0, \ldots, 8$, but $k=m=8$ excluded. For $A_{2}, \delta_{2}$ and $\delta_{2}^{\prime}$ are determined according to 2.4.16). Setting $E=E_{1}$, i.e. $E_{i j}=\delta_{i j}$, or $E=E_{2}$, i.e. $E_{11}=E_{22}=-E_{12}=1, E_{21}=0$, as in (2.4.19), we can read off the maximal enhancement from the extended diagrams constructed in section 2.4.2. Relaxing the choice of $E_{i j}$ would give the same diagrams but without the nodes $\mathrm{C}_{a}$. For each choice of Wilson lines the resulting gauge group would generically be $\mathrm{G}_{16} \times \mathrm{U}(1)^{2}$. We now want to explore the available four-dimensional region of the moduli space searching for values of $E_{i j}$ that give new maximal enhancements to a group of rank 18.

The great advantage of starting with Wilson lines fixed by the shift algorithm is that the 16 simple roots of $\mathrm{G}_{16}$ are determined systematically. Moreover, we know the associated charge vectors $\left|w^{1}, w^{2}, n_{1}, n_{2} ; \pi\right\rangle$ of the 16 nodes, cf. eqs. (2.4.12, (2.4.17) and (2.4.18). These charge vectors satisfy the massless conditions (2.4.13) regardless of the values of $E_{i j}$. Therefore, they will still correspond to roots of the enhanced gauge group if we take special values for $E_{i j}$. At points of maximal enhancement we must have these 16 roots plus 2 additional simple roots. The algorithm first finds a subset of the possible pairs of extra roots and then computes the values of $E_{i j}$ by demanding that they satisfy the quantization conditions in 2.4.13a). It is also necessary to check that the moduli correspond to a physical torus, i.e. that the resulting torus metric satisfies $g_{i i}>0$ and $\operatorname{det} g>0$. The gauge group is determined from the 18 simple roots. In agreement with the lattice analysis of section 2.2.2, we will see that maximal enhancement can only be obtained when the $E_{i j}$ take rational values.

The fact that the $E_{i j}$ can now take generic rational values means that we will get new maximally enhanced groups that could not have appeared with the method of the previous section. However, as already mentioned, the algorithm still misses known groups with maximal enhancement as we now argue. For simplicity, we will mostly denote the groups by their algebras. With only one Wilson line $A_{1}$ the first $\mathrm{E}_{8}$ can only be broken to

$$
\begin{equation*}
\mathrm{E}_{8}, \quad \mathrm{~A}_{8}, \quad \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{5}, \quad 2 \mathrm{~A}_{4}, \quad \mathrm{~A}_{3}+\mathrm{D}_{5}, \quad \mathrm{~A}_{2}+\mathrm{E}_{6}, \quad \mathrm{~A}_{1}+\mathrm{E}_{7}, \quad \mathrm{~A}_{1}+\mathrm{A}_{7}, \quad \mathrm{D}_{8} \tag{2.4.30}
\end{equation*}
$$

These subgroups are just obtained with $\delta_{1}=\frac{w_{k}}{\kappa_{k}}, k=0, \ldots, 8$. Combining with $A_{2}$ gives more possibilities. For example, $2 \mathrm{~A}_{1}+\mathrm{D}_{6}$ can occur breaking first to $\mathrm{A}_{1}+\mathrm{E}_{7}$ with $\delta_{1}=\frac{1}{2} w_{6}$, then extending $\mathrm{E}_{7}$ with $\hat{\alpha}_{6}$ and deleting the node 4 , so that $\delta_{2}=\frac{1}{2} w_{4}-w_{6}$. The additional distinct
groups that can originate from two Wilson lines are ${ }^{4}$

$$
\begin{equation*}
2 \mathrm{~A}_{1}+\mathrm{D}_{6}, \quad 2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}, \quad 2 \mathrm{D}_{4}, \quad 4 \mathrm{~A}_{2} \tag{2.4.31}
\end{equation*}
$$

Thus, necessarily $\mathrm{G}_{16}=\mathrm{G}_{8}+\mathrm{G}_{8}^{\prime}$, where each factor can only be one of the above 13 groups of rank 8. Now, the possible maximal groups $\mathrm{G}_{18}$ that can appear for specific values of $E_{i j}$ should have a Dynkin diagram (DD) that consists of the nodes of the $\mathrm{G}_{16}$ diagram plus two additional ones. If we want $\mathrm{G}_{18}=3 \mathrm{~A}_{6}$, then we should be able to remove two nodes from its DD and get one of the algebras $\mathrm{G}_{8}+\mathrm{G}_{8}^{\prime}$. It is easy to see that there is no way of removing only two nodes without leaving behind at least an $A_{6}$. Since none of the possible $\mathrm{G}_{8}$ has an $\mathrm{A}_{6}$ factor, we conclude that $3 \mathrm{~A}_{6}$ cannot be found starting with Wilson lines fixed by the shift algorithm. Although a bit longer, a similar reasoning shows that $A_{3}+A_{6}+A_{9}$ cannot be obtained either. Except for these two groups, with the algorithm we can reproduce all the other known maximal enhancements found in the K3 context 19 .

We will explain how the algorithm works with an example leading to $6 \mathrm{~A}_{3}$, which cannot appear with the $E_{i j}$ of (2.4.19). To begin, we delete the nodes 6 and $6^{\prime}$ and then 2 and $2^{\prime}$. The shift algorithm fixes the Wilson lines to be

$$
\begin{equation*}
A_{1}=\frac{1}{2} w_{6} \times \frac{1}{2} w_{6}^{\prime}, \quad A_{2}=\left(\frac{1}{4} w_{2}-\frac{3}{4} w_{6}\right) \times\left(\frac{1}{4} w_{2}^{\prime}-\frac{3}{4} w_{6}^{\prime}\right) \tag{2.4.32}
\end{equation*}
$$

The 16 unbroken simple roots provide the nodes

$$
\begin{gather*}
\varphi_{j}=\left|0,0,0,0 ; \alpha_{j}, 0^{8}\right\rangle, \quad \varphi_{j}^{\prime}=\left|0,0,0,0 ; 0^{8}, \alpha_{j}^{\prime}\right\rangle, \quad j=1,3,4,5,7,8, \\
\varphi_{0}=\left|0,0,-1,0 ; \alpha_{0}, 0^{8}\right\rangle, \quad \varphi_{0}^{\prime}=\left|0,0,-1,0 ; 0^{8}, \alpha_{0}^{\prime}\right\rangle,  \tag{2.4.33}\\
\varphi_{-1}=\left|0,0,0,-1 ; w_{6}-w_{8}, 0^{8}\right\rangle, \quad \varphi_{-1}^{\prime}=\left|0,0,0,-1 ; 0^{8}, w_{6}^{\prime}-w_{8}^{\prime}\right\rangle
\end{gather*}
$$

The two Wilson lines break $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ to the rank 16 subgroup $4 \mathrm{~A}_{1}+4 \mathrm{~A}_{3}$ with DD shown in Figure 2.12. It can be obtained from the extended diagram in Figure 2.7 by removing the nodes 2 and $2^{\prime}$, as well as the nodes $\mathrm{C}_{a}$ associated to $\mathrm{I}_{2,2}$.


Figure 2.12: Dynkin diagram corresponding to the 16 simple roots that survive the breaking by the Wilson lines (2.4.32).

[^2]For maximal enhancement we have to add two additional nodes. To illustrate the procedure we first add a single node denoted $\mathrm{N}_{1}$. The charge vector $\varphi_{\mathrm{N}_{1}}$ must have norm 2 and the inner product with the 16 nodes in 2.4.33) must be 0 or -1 . We then generate a list of all possible single nodes satisfying these conditions. The second node to be added is also picked from this list.

Without demanding the corresponding DD to be ADE , we would have $2^{16}$ ways to connect the new node with the 16 original ones. Since the nodes of ADE diagrams never have more than 3 links, the possibilities for the new node are reduced to $\sum_{i=0}^{3}\binom{16}{i}=697$. Each of these 697 ways of connecting gives a set of 16 equations which we use to determine 16 of the 20 components of the new simple root. We solve the system of equations for $\pi^{I}$ and $\pi^{\prime I}$, $I=1, \ldots, 8$, leaving the four $w^{1}, w^{2}, n_{1}$ and $n_{2}$ undetermined. Afterwards, we compile a list of possible choices for $w^{i}$ and $n_{i}$. In principle, we could assign to these quantum numbers arbitrarily large values. Since we want to consider many (but finite number of) possibilities, we truncate the possible choices by demanding $\left|w^{i}\right| \leq \lambda_{1}$ and $\left|n_{i}\right| \leq \lambda_{2}$, where $\lambda_{1}$ and $\lambda_{2}$ are two positive integers which we take as input parameters. In this example it is necessary to take at least $\lambda_{1}=\lambda_{2}=2$, otherwise the algorithm would just not find the enhancement to $6 \mathrm{~A}_{3}$. Considering the whole set of Wilson lines fixed by the shift algorithm, these bounds give all the maximal enhancements of the $T^{2}$ compactification except for $3 \mathrm{~A}_{6}$ and $\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$.

Some possibilities for the new node are depicted in Figure 2.13. The links in cyan or red would give $5 \mathrm{~A}_{3}+2 \mathrm{~A}_{1}$, whereas those in blue or magenta would give $\mathrm{A}_{7}+2 \mathrm{~A}_{3}+4 \mathrm{~A}_{1}$. The gray connections would lead to a DD which is not ADE and will be discarded later. The orange line implies $\mathrm{A}_{4}+3 \mathrm{~A}_{3}+4 \mathrm{~A}_{1}$. We could also disconnect the node from everything, obtaining $4 \mathrm{~A}_{3}+5 \mathrm{~A}_{1}$.


Figure 2.13: Dynkin diagram showing in different colors some (arbitrary) possible connections for the new node $\mathrm{N}_{1}$.

The next step is to determine the charge vector $\varphi_{\mathrm{N}_{1}}$ for each of the connections. For example, for the cyan connections, putting $\lambda_{1}=\lambda_{2}=1$ we identify the candidates

$$
\begin{equation*}
\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle, \quad\left|1,0,1, \pm 1 ; 0^{8}, 0^{8}\right\rangle, \quad\left|-1,0,1,0 ; w_{6}, w_{6}^{\prime}\right\rangle, \quad\left|-1,0,1, \pm 1 ; w_{6}, w_{6}^{\prime}\right\rangle . \tag{2.4.34}
\end{equation*}
$$

For the red links, no states appear if the bounds $\lambda_{1}=\lambda_{2}=1$ are kept. It is thus necessary to
consider higher winding and momentum numbers. Choosing $\lambda_{1}=\lambda_{2}=2$ we find

$$
\begin{array}{cl}
\left|1,-2,1,2 ; \tilde{w}_{1}, \tilde{w}_{1}^{\prime}\right\rangle, & \left|1,2,1,-2 ; \tilde{w}_{3}, \tilde{w}_{3}^{\prime}\right\rangle, \\
\left|1,-2,-1,1 ; \tilde{w}_{1}, \tilde{w}_{1}^{\prime}\right\rangle, & \left|1,2,-1,-1 ; \tilde{w}_{3}, \tilde{w}_{3}^{\prime}\right\rangle,  \tag{2.4.35}\\
\left|-1,-2,-1,2 ; \tilde{w}_{2}, \tilde{w}_{2}^{\prime}\right\rangle, & \left|-1,2,-1,-2 ; \tilde{w}_{4}, \tilde{w}_{4}^{\prime}\right\rangle, \\
\left|-1,-2,1,1 ; \tilde{w}_{2}, \tilde{w}_{2}^{\prime}\right\rangle, & \left|-1,2,1,-1 ; \tilde{w}_{4}, \tilde{w}_{4}^{\prime}\right\rangle, \\
\tilde{w}_{1} \equiv-w_{1}+w_{2}-2 w_{6}, \tilde{w}_{2} \equiv-w_{1}+w_{2}-w_{6}, \tilde{w}_{3} \equiv-w_{1}+w_{6}, \tilde{w}_{4} \equiv-w_{1}+2 w_{6} .
\end{array}
$$

The quantization conditions 2.4.13a will be imposed later, thereby determining the $E_{i j}$.
At this stage we have assembled a list of all the possible simple roots that can be added such that the resulting DD is admissible, although not necessarily ADE. This means that the Cartan matrix is symmetric, with diagonal elements equal to two, and off-diagonal elements equal to 0 or -1 . In our example, considering all the possible connections, and with $\lambda_{1}=\lambda_{2}=2$, there are 1082 possible simple roots. From this list we can extract all possible pairs of simple roots that can be adjoined to the original 16. The two roots must be compatible, i.e. their inner product must be 0 or -1 . We then collect all the allowed pairs. In the case at hand there are 191501 such pairs. For example, some of the possible partners $\varphi_{N_{2}}$ for the simple root $\varphi_{\mathrm{N}_{1}}=\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle$ (correlated with the cyan connections) are
(1) $\left|-1,-2,1,1 ;-w_{1}+w_{2}-w_{6},-w_{1}^{\prime}+w_{2}^{\prime}-w_{6}^{\prime}\right\rangle$,
(2) $\left|-1,0,1,0 ; w_{6}, w_{6}^{\prime}\right\rangle$,
(3) $\left|-1,1,1,0 ;-w_{5}+2 w_{6},-w_{5}^{\prime}+2 w_{6}^{\prime}\right\rangle$,
(4) $\left|0,1,0,1 ; 0^{8}, 0^{8}\right\rangle$.

The corresponding Dynkin diagrams are shown in Figure 2.14. The green connections for the node $\mathrm{N}_{2}$ should be discarded because they give an affine $\mathrm{A}_{3}$ subdiagram which is not ADE. If we choose the pink connections we would have $6 A_{3}$, and $A_{7}+3 A_{3}+2 A_{1}$ if we choose the yellow or the brown. Next, for each of the possible pairs, distinguished by two sets of charged vectors $\left|w^{1}, w^{2}, n_{1}, n_{2} ; \pi\right\rangle$, we substitute in 2.4.13a to compute the four components $E_{i j}$. In all cases we find $E_{11}=1$ and $E_{21}=0$. For the pink links, $E_{12}=-\frac{1}{2}, E_{22}=1$; for the yellow $E_{12}=-1, E_{22}=\frac{3}{2}$; and for the brown, $E_{12}=0, E_{22}=1$. For the green connections $E_{12}$ and $E_{22}$ remain undetermined, reflecting the fact that the associated DD is not ADE. We still have to check that $g_{i j}=\frac{1}{2}\left(E_{i j}+E_{j i}-A_{i} \cdot A_{j}\right)$ verifies $g_{i i}>0$, and $\operatorname{det} g>0$. In the end we have a list of all consistent pairs of simple roots that can be added, with the corresponding moduli. In this example there are 192 elements on the list.

We finally deduce the gauge group from the 18 simple roots. We developed a routine that takes a base of simple roots and detects if its Dynkin diagram is of ADE type and, in that case, it identifies the group. We also compute the Gram matrix $Q$ corresponding to the moduli, as explained in section 2.2.2. We apply this algorithm to all the elements in our list. In our example, this process yields 53 maximal enhancement points, but there are only 3 inequivalent enhancements because 50 of these points are T-dual to the 3 presented in Table


Figure 2.14: Dynkin diagram showing the chosen connection for the node $\mathrm{N}_{1}$ (in cyan, corresponding to the root $\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle$ ) and the possible connections for the node $\mathrm{N}_{2}$ (in pink, green, yellow and brown; corresponding respectively to the roots (1)-(4) in (2.4.36)).
2.8. The corresponding diagrams are displayed in Figure 2.15.

| $\mathrm{N}_{1}$ | $\mathrm{~N}_{2}$ | $L$ | $E$ | $g$ | $Q$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| red | green | $6 \mathrm{~A}_{3}$ | $\left(\begin{array}{cc}1 & -\frac{1}{2} \\ 0 & 1\end{array}\right)$ | $\left(\begin{array}{cc}\frac{1}{2} & -\frac{1}{4} \\ -\frac{1}{4} & \frac{1}{4}\end{array}\right)$ | $\left(\begin{array}{ll}4 & 0 \\ 0 & 4\end{array}\right)$ |
| blue | green | $2 \mathrm{~A}_{1}+3 \mathrm{~A}_{3}+\mathrm{A}_{7}$ | $\left(\begin{array}{cc}1 & 0 \\ 0 & 1\end{array}\right)$ | $\left(\begin{array}{cc}\frac{1}{2} & 0 \\ 0 & \frac{1}{4}\end{array}\right)$ | $\left(\begin{array}{cc}4 & 0 \\ 0 & 8\end{array}\right)$ |
| blue | yellow | $4 \mathrm{~A}_{1}+2 \mathrm{~A}_{7}$ | $\left(\begin{array}{cc}1 & 0 \\ -\frac{1}{2} & 1\end{array}\right)$ | $\left(\begin{array}{c}\frac{1}{4} \\ -\frac{1}{4} \\ -\frac{1}{4}\end{array}\right)$ | $\left(\begin{array}{ll}4 & 0 \\ 0 & 4\end{array}\right)$ |

Table 2.8: Three maximal enhancement points for the Wilson lines given in 2.4.32) and different values of $E$. The torus metric $g$ and the Gram matrix $Q$ of the complementary lattice $T$ are also given.

In general, there will be various pairs $\left(\mathrm{N}_{1}, \mathrm{~N}_{2}\right)$ that return the same moduli. In the simplest case, all corresponding sets of 18 simple roots will have the same Dynkin diagram and, in consequence, the same gauge group. In this situation we simply discard all except one of the pairs. However, in some cases there might be pairs that, combined with the 16 original roots, actually give a subgroup of the real group which is obtained with different $\left(\mathrm{N}_{1}, \mathrm{~N}_{2}\right)$ but same moduli. This is the same problem noticed at the end of section 2.4.2. The solution in this situation is to keep only one of the pairs belonging to the group of highest dimension.

## Neighborhood algorithm

The previous algorithm starts with fixed Wilson lines that determine 16 initial simple roots. It is then plausible to search for consistent ways of adding two nodes to the original Dynkin diagram, deducing in the process the remaining $E_{i j}$ moduli. If we do not want to make any assumptions on the $A_{i}$, nor the $E_{i j}$, for a procedure based on adding nodes to be feasible, it would be necessary to know beforehand most of the simple roots. The new Neighborhood


Figure 2.15: Dynkin diagrams for the maximal enhancements in Table 2.8
algorithm goes in this direction.
The main idea is to find new maximal enhancements that are close to those already found, but whose Wilson lines are not necessarily given by the shift algorithm. More precisely, we start at a point of maximal enhancement where the group $\mathrm{G}_{18}$, and its 18 simple roots, are known. Then we move along surfaces in moduli space where the symmetry is broken to $\mathrm{G}_{17} \times \mathrm{U}(1)$. On each of these 18 surfaces $\mathrm{G}_{17}$ will have 17 of the 18 original simple roots. For each surface we collect the candidate extra simple root that would give back an ADE group of rank 18. For each candidate we compute the moduli, $A_{i}$ and $E_{i j}$, by imposing that the 18 simple roots correspond to states that satisfy the massless conditions (2.4.13). We then check that the torus metric $g_{i j}$ is well defined and finally read the gauge group from the simple roots. We end with a list of points of maximal enhancement that are on the neighborhood of the original point, i.e. they are connected through a 17 -dimensional enhancement surface. The algorithm can be repeated to explore regions of the moduli space that are far away from the starting point.

We illustrate the algorithm with an example defined by the starting point $A_{1}=A_{2}=0$, $E_{i j}=\delta_{i j}$, where the gauge group is $2 \mathrm{~A}_{1}+2 \mathrm{E}_{8}$. The charge vectors of the 18 simple roots are

$$
\begin{array}{ll}
\varphi_{j}=\left|0,0,0,0 ; \alpha_{j}, 0^{8}\right\rangle, & \varphi_{j}^{\prime}=\left|0,0,0,0 ; 0^{8}, \alpha_{j}^{\prime}\right\rangle, \quad j=1, \ldots, 8,  \tag{2.4.37}\\
\varphi_{\mathrm{c}_{1}}=\left|1,0,1,0 ; 0^{8}, 0^{8}\right\rangle, & \varphi_{\mathrm{C}_{2}}=\left|0,1,0,1 ; 0^{8}, 0^{8}\right\rangle .
\end{array}
$$

They form the DD of Figure 2.3, with the nodes 0 and $0^{\prime}$ deleted. Now we want to move along directions that preserve 17 of the 18 simple roots by deleting one node. Since the DD is symmetric under the interchange of the node $[j]$ with the node $\left[j{ }^{\prime}\right]$, it suffices to remove one of the nodes $[j]$. We are then effectively breaking $\mathrm{E}_{8}+2 \mathrm{~A}_{1}$ by erasing one node. The nodes $\mathrm{C}_{1}$ and $\mathrm{C}_{2}$ are also interchangeable. We choose to always keep $\mathrm{C}_{2}$. There are thus only 9 inequivalent breakings, obtained by deleting either $\mathrm{C}_{1}$ or one of the 8 nodes of $\mathrm{E}_{8}$. Altogether, the 17 surviving simple roots are the 18 original ones in (2.4.37), except for the one corresponding to the removed node. Afterwards we add a new node which clearly cannot be connected to
any of the 8 nodes $\left[j^{\prime}\right]$ associated to the second $\mathrm{E}_{8}$, since the resulting diagram has to be of type ADE. Hence, only algebras of the form $\mathrm{G}_{10}+\mathrm{E}_{8}$ can arise. For convenience we ignore the second $\mathrm{E}_{8}$ unless otherwise stated.

To further elaborate on the algorithm we analyze first the case in which the node $\mathrm{C}_{1}$ is removed. The effect is simply to break $\mathrm{E}_{8}+2 \mathrm{~A}_{1}$ to $\mathrm{E}_{8}+\mathrm{A}_{1}$. We then add one node, called N , to its Dynkin diagram. The 2 possibilities for the connections of the new node are displayed in Figure 2.16. Generically, the charge vector corresponding to N is

$$
\begin{equation*}
\varphi_{\mathrm{N}}=\left|w^{1}, w^{2}, n_{1}, n_{2} ; \pi^{1}, \ldots, \pi^{8}, 0^{8}\right\rangle . \tag{2.4.38}
\end{equation*}
$$

The last 8 components of $\pi$ are zero just because the new node is always disconnected from the second $\mathrm{E}_{8}$. The way that N is linked in each of the possible Dynkin diagrams gives 9 conditions for the 12 unknowns $w^{i}, n_{i}$, plus the eight non-zero components of $\pi$. We use these conditions to determine all except 3 of the unknowns. It is convenient, and always possible, to leave $w^{1}$ and $w^{2}$ undetermined.


Figure 2.16: Dynkin diagrams corresponding to the possible ways of adding a node $N$ to the diagram of $\mathrm{E}_{8}+\mathrm{A}_{1}$.

The following steps are very similar to those in the algorithm described in 2.4.3. We just consider all possible values for the 3 unknowns, with a fixed bound for the maximum of their absolute values. As in the previous section, for computational reasons, this truncation is necessary to avoid infinitely many possibilities. Concretely, we introduce two parameters $\lambda_{1}$ and $\lambda_{2}$, which define the truncation, and consider only states with

$$
\begin{equation*}
\left|w^{i}\right| \leq \lambda_{1}, \quad\left|n_{i}\right| \leq \lambda_{2}, \quad\left|\pi^{I}\right| \leq \lambda_{2} . \tag{2.4.39}
\end{equation*}
$$

For this example it is enough to use $\lambda_{1}=1$ and $\lambda_{2}=2$. Afterwards, we filter all the candidates by imposing that $\varphi_{\mathrm{N}}$ has norm squared 2 and $\pi \in \Upsilon_{16}$. In some cases it might occur that, regardless of the values of $\lambda_{1}$ and $\lambda_{2}$, there are actually no solutions with $w^{i}, n_{i} \in \mathbb{Z}$ and $\pi \in \Upsilon_{16}$.

The case of $\mathrm{E}_{8}+2 \mathrm{~A}_{1}$, on the left in Figure 2.16, is rather trivial because we are just restoring the deleted node $\mathrm{C}_{1}$. The algorithm will find charge vectors $\varphi_{\mathrm{N}}$ which are not necessarily equal to $\varphi_{\mathrm{C}_{1}}$, but at the end of the day all of them should be equivalent to it. When we compute the moduli we obviously get $E_{i j}=\delta_{i j}, A_{1}=A_{2}=0$, or some T-dual point. We just restored
the simple root that we removed, thus returning to the original point in the moduli space. In general, this possibility will occur in all the breakings.

In the less trivial case $\mathrm{E}_{8}+\mathrm{A}_{2}$, on the right of Figure 2.16, N is linked to $\mathrm{C}_{2}$. Imposing $\left\langle\varphi_{\mathrm{c}_{2}} \mid \varphi_{\mathrm{N}}\right\rangle=-1$, implies $n_{2}=-1-w^{2}$. Considering all the possible values for the 3 unknowns $w^{1}, w^{2}$ and $n_{1}$, with the bounds in 2.4.39, and filtering by requiring $\left\langle\varphi_{\mathrm{N}} \mid \varphi_{\mathrm{N}}\right\rangle=2$, gives the list

$$
\begin{equation*}
\left|1,0,1,-1 ; 0^{8}, 0^{8}\right\rangle, \quad\left|-1,-1,-1,0 ; 0^{8}, 0^{8}\right\rangle, \quad\left|1,-1,1,0 ; 0^{8}, 0^{8}\right\rangle, \quad\left|-1,0,-1,-1 ; 0^{8}, 0^{8}\right\rangle . \tag{2.4.40}
\end{equation*}
$$

We next deduce the moduli by demanding that the charge vectors of the full set of 18 simple roots satisfy the quantization conditions 2.4.13a). This is a well posed problem because in general there are 36 moduli to determine and the 18 simple roots give two equations each. In this case we readily find $A_{1}=0$ and $A_{2}=0$. From $\varphi_{\mathrm{C}_{2}}$ we obtain $E_{12}=0$ and $E_{22}=1$, whereas from $\varphi_{\mathrm{N}}, n_{1}=E_{11} w^{1}$ and $-2 w^{2}-1=E_{21} w_{1}$. The 4 elements in the list (2.4.40) solve these equations with $E_{11}=1$, and $E_{21}$ equal to 1 or -1 . It is easy to see that the corresponding $g_{i j}$ is well defined and that these points are T-dual to each other.

The algorithm proceeds in the same fashion for all the 9 possible breakings of $\mathrm{E}_{8}+2 \mathrm{~A}_{1}$. For a more fruitful example, let us consider the breaking to $\mathrm{A}_{7}+2 \mathrm{~A}_{1}$, obtained by removing the node $\varphi_{1}$. Appending a new node N leads to various possible enhancements. For instance, N can connect only to $\varphi_{8}$ to form $\mathrm{A}_{8}+2 \mathrm{~A}_{1}$. With $\lambda_{1}=1$ and $\lambda_{2}=2$ in the bounds 2.4.39), we find that the charge vectors of $\varphi_{N}$ can be one of

$$
\begin{equation*}
\left|-1,0,1,0 ;-w_{8}, 0^{8}\right\rangle, \quad\left|0,-1,0,1 ;-w_{8}, 0^{8}\right\rangle, \quad\left|1,0,-1,0 ;-w_{8}, 0^{8}\right\rangle, \quad\left|0,1,0,-1 ;-w_{8}, 0^{8}\right\rangle . \tag{2.4.41}
\end{equation*}
$$

The moduli are determined as explained before. Taking into account all nodes except N , we arrive at

$$
\begin{equation*}
E_{i j}=\delta_{i j}, \quad A_{1}=\gamma_{1} w_{1} \times 0, \quad A_{2}=\gamma_{2} w_{1} \times 0 \tag{2.4.42}
\end{equation*}
$$

where $\left(\gamma_{1}, \gamma_{2}\right)$ are some free parameters. The above moduli determine a slice of moduli space with group $\mathrm{SU}(8) \times \mathrm{SU}(2)^{2} \times \mathrm{E}_{8} \times \mathrm{U}(1)$. Finally imposing the quantization conditions 2.4.13a) to the possible charge vectors for $\varphi_{\mathrm{N}}$, cf. 2.4.41, fixes $\left(\gamma_{1}, \gamma_{2}\right)= \pm\left(\frac{2}{5}, 0\right)$, where underlining means permutations. With these values we reach the rank 18 group with algebra $\mathrm{A}_{8}+2 \mathrm{~A}_{1}+\mathrm{E}_{8}$.

There is a feature of the algorithm than can be explained considering again the enhancing to $\mathrm{A}_{8}+2 \mathrm{~A}_{1}$, but now with $\mathrm{A}_{8}$ formed by connecting $\varphi_{\mathrm{N}}$ to $\varphi_{6}$. The algorithm finds the charge vector $\left|0,0,0,0 ;-w_{6}, 0^{8}\right\rangle$ for $\varphi_{\mathrm{N}}$. The moduli are again of the form (2.4.42), but now the quantization conditions from $\varphi_{\mathrm{N}}$ imply $\left(\gamma_{1}, \gamma_{2}\right)=(0,0)$. Thus, the predicted moduli are $A_{1}=A_{2}=0, E=\delta_{i j}$, and we know that this point has trivial enhancement to $2 \mathrm{E}_{8}+2 \mathrm{~A}_{1}$. On the other hand, the Dynkin diagram that results adding N indicates enhancement to $\mathrm{A}_{8}+2 \mathrm{~A}_{1}+\mathrm{E}_{8}$. The problem here is that the $\varphi_{\mathrm{N}}$, which has zero winding and momenta, corresponds to a root of $\mathrm{E}_{8}$. In fact, $-w_{6}=\alpha_{0}$ is the lowest root. Since the quantization conditions are linear equations, if we replace one of the original simple roots of $2 \mathrm{E}_{8}+2 \mathrm{~A}_{1}$ with
any other root, the moduli that solve the system will be the same, but the other root is no longer simple. This is the same issue discussed at the end of section 2.4.2. Our prescription to solve it is to classify all the enhancements, originating from the same starting point, by the resulting moduli. If there is more than one enhancement for the same moduli we just pick the one with higher dimensional group. In this case, we choose $2 \mathrm{E}_{8}+2 \mathrm{~A}_{1}$ over $\mathrm{E}_{8}+\mathrm{A}_{8}+2 \mathrm{~A}_{1}$.

In Table 2.9 we collect the maximal enhancements in the neighborhood of the original point $A_{1}=A_{2}=0, E=\delta_{i j}$, which has $\mathrm{G}_{18}=2 \mathrm{E}_{8}+2 \mathrm{~A}_{1}$. The node shown in the first column is removed from the set in (2.4.37) at the start. The effect is to break $\mathrm{G}_{18}$ to $\mathrm{G}_{9} \times \mathrm{E}_{8} \times \mathrm{U}(1)$, with $\mathrm{G}_{9}$ given in the second column. Appending a new node then leads to $\mathrm{G}_{10} \times \mathrm{E}_{8}$, with the various possibilities for $\mathrm{G}_{10}$ listed in the third column. To arrive at this list we have only kept the groups of higher dimension as explained before, and we have used $\lambda_{1}=1$ and $\lambda_{2}=2$ in the bounds in (2.4.39).

| deleted <br> node | $\mathrm{G}_{9}$ | $\mathrm{G}_{10}$ |
| :---: | :---: | :---: |
| $\mathrm{C}_{1}$ | $\mathrm{E}_{8}+\mathrm{A}_{1}$ | $\mathrm{E}_{8}+2 \mathrm{~A}_{1}, \mathrm{E}_{8}+\mathrm{A}_{2}$ |
| 1 | $\mathrm{~A}_{7}+2 \mathrm{~A}_{1}$ | $\mathrm{~A}_{9}+\mathrm{A}_{1}, \mathrm{~A}_{8}+2 \mathrm{~A}_{1}, \mathrm{D}_{10}$ |
| 2 | $\mathrm{~A}_{4}+\mathrm{A}_{2}+3 \mathrm{~A}_{1}$ | $\mathrm{D}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}, \mathrm{D}_{5}+\mathrm{A}_{4}+\mathrm{A}_{1}, \mathrm{~A}_{6}+\mathrm{A}_{2}+2 \mathrm{~A}_{1}, 2 \mathrm{~A}_{4}+2 \mathrm{~A}_{1}$ |
| 3 | $\mathrm{~A}_{4}+\mathrm{A}_{3}+2 \mathrm{~A}_{1}$ | $\mathrm{D}_{6}+\mathrm{A}_{4}, \mathrm{~A}_{8}+2 \mathrm{~A}_{1}, \mathrm{~A}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}, \mathrm{E}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}, \mathrm{~A}_{5}+\mathrm{A}_{4}+\mathrm{A}_{1}$ |
| 4 | $\mathrm{D}_{5}+\mathrm{A}_{2}+2 \mathrm{~A}_{1}$ | $2 \mathrm{D}_{5}, \mathrm{D}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}, \mathrm{E}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}, \mathrm{D}_{5}+\mathrm{A}_{4}+\mathrm{A}_{1}$ |
| 5 | $\mathrm{E}_{6}+3 \mathrm{~A}_{1}$ | $\mathrm{E}_{6}+\mathrm{D}_{4}, \mathrm{E}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}$ |
| 6 | $\mathrm{E}_{7}+2 \mathrm{~A}_{1}$ | $\mathrm{E}_{7}+\mathrm{A}_{3}, \mathrm{E}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}$ |
| 7 | $\mathrm{~A}_{6}+3 \mathrm{~A}_{1}$ | $\mathrm{D}_{9}+\mathrm{A}_{1}, \mathrm{~A}_{8}+2 \mathrm{~A}_{1}, \mathrm{~A}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}, \mathrm{~A}_{6}+\mathrm{A}_{2}+2 \mathrm{~A}_{1}$ |
| 8 | $\mathrm{D}_{7}+2 \mathrm{~A}_{1}$ | $\mathrm{D}_{9}+\mathrm{A}_{1}, \mathrm{D}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}$ |

Table 2.9: Maximal enhancements $\mathrm{G}_{10}+\mathrm{E}_{8}$ in the neighborhood of $A_{1}=0, A_{2}=0, E_{i j}=\delta_{i j}$, found setting $\lambda_{1}=1$ and $\lambda_{2}=2$ in the bounds of (2.4.39).

The Neighborhood algorithm can be iterated and can ramify from a different point of maximal rank. In particular, in this way we can find the maximal enhancements $A_{3}+A_{6}+A_{9}$ and $3 \mathrm{~A}_{6}$, which, as we have argued, cannot be deduced using the algorithm with fixed Wilson lines. To this end we will set the bounds (2.4.39) as before. We will see that this is enough to obtain the missing groups, although a priori there was no guarantee for it. We now start at a point with group $\mathrm{G}_{18}=\mathrm{A}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}+\mathrm{E}_{8}$, which in turn was found by the algorithm initiating from the point $E_{i j}=\delta_{i j}, A_{1}=0, A_{2}=0$, cf. Table 2.9, Concretely, $\mathrm{G}_{18}$ arises after deleting the node $\varphi_{3}$ in (2.4.37) and then appending the extra node N with charge vector $\varphi_{\mathrm{N}}=\left|0,-1,-1,1 ; w_{3}-w_{1}, 0^{8}\right\rangle$. The corresponding moduli are $A_{1}=-\frac{1}{8} w_{3} \times 0, A_{2}=\frac{1}{4} w_{3} \times 0$, $E_{i j}=\delta_{i j}$. We can now readily apply the algorithm to $\mathrm{G}_{18}$ whose Dynkin diagram is shown in Figure 2.17, a. All the enhancement points on the neighborhood of this point can be
computed. However, to reach the desired maximal enhancements, the nodes $\mathrm{C}_{1}$ and $\mathrm{C}_{2}$ will be maintained during the whole process. Therefore, $E_{i j}$ will remain equal to the identity as we move through the neighborhood. To proceed we remove the node $1^{\prime}$, thereby breaking $\mathrm{G}_{18}$ to $\mathrm{G}_{17} \times \mathrm{U}(1)$, with $\mathrm{G}_{17}=\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{7}$, as shown in Figure 2.17.b. The neighboring point is on the surface characterized by $A_{1}=-\frac{1}{8} w_{3} \times \gamma_{1} w_{1}^{\prime}, A_{2}=\frac{1}{4} w_{3} \times \gamma_{2} w_{1}^{\prime}$. The algorithm then searches for new nodes that can be consistently added. It finds $\mathrm{N}^{\prime}$ with charge vector $\left|-1,-1,1,0 ; 0^{8},-w_{8}^{\prime}\right\rangle$, which leads to $\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$, as seen in Figure 2.17.c. The point is $\left(\gamma_{1}, \gamma_{2}\right)=\left(-\frac{2}{5},-\frac{1}{5}\right)$. Luckily, from this point we can attain $3 \mathrm{~A}_{6}$ in a couple of steps. With the algorithm it is easy to see what is needed. As displayed in Figure 2.17. d, the node $8^{\prime}$ is next removed to break the symmetry to $2 \mathrm{~A}_{6}+\mathrm{A}_{3}+\mathrm{A}_{2}$, plus $\mathrm{U}(1)$. The surface is given by $A_{1}=-\frac{1}{8} w_{3} \times\left(-\frac{1}{2} w_{8}^{\prime}+\mu_{1}\left(4 w_{1}^{\prime}-5 w_{8}^{\prime}\right)\right), A_{2}=\frac{1}{4} w_{3} \times\left(-\frac{1}{4} w_{8}^{\prime}+\mu_{2}\left(4 w_{1}^{\prime}-5 w_{8}^{\prime}\right)\right)$. The algorithm then discovers the extra node $S$, with charge vector $\left|-1,0,1,-1 ;-w_{6}, w_{8}^{\prime}-w_{1}^{\prime}\right\rangle$, which has enhancement to $3 \mathrm{~A}_{6}$, as indicated in Figure 2.17. e. The point is $\left(\mu_{1}, \mu_{2}\right)=\left(-\frac{1}{8}, 0\right)$.


Figure 2.17: Dynkin diagrams for the steps leading to the enhancements $A_{3}+A_{6}+A_{9}$ (c) and $3 \mathrm{~A}_{6}$ (e), starting from a point with $\mathrm{A}_{6}+\mathrm{A}_{3}+\mathrm{A}_{1}+\mathrm{E}_{8}$ (a). Intermediate stages where the symmetry is broken are shown in (b) and (d).

In conclusion, we have arrived at $\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$ and $3 \mathrm{~A}_{6}$. The former has Wilson lines $A_{1}=-\left(\frac{1}{8} w_{3} \times \frac{2}{5} w_{1}^{\prime}\right), A_{2}=\frac{1}{4} w_{3} \times\left(-\frac{1}{5} w_{1}^{\prime}\right)$, and complimentary lattice $T$ with Gram matrix $Q=[2,0,140]$. For the latter $A_{1}=-\frac{1}{8} w_{3} \times\left(-\frac{1}{2} w_{1}^{\prime}+\frac{1}{8} w_{8}^{\prime}\right), A_{2}=\frac{1}{4} w_{3} \times\left(-\frac{1}{4} w_{8}^{\prime}\right)$, and $Q=[2,1,4]$. For both, $E=\delta_{i j}$.

### 2.4.4 All maximal rank groups for $d=2$

From the results in [19] we infer that there are 359 distinct maximally enhanced heterotic models on $T^{2}$, some of which share the same gauge group. The number of distinct maximal rank gauge groups found is 325 . Using the extended diagram formalism of section 2.4.2 we are able to obtain the moduli for 331 of these models. The more powerful computational methods described in sections 2.4 .3 and 2.4 .3 allow us to obtain the moduli for the remaining 28 models, as well as alternative moduli for the other 331.

In Table A.2, displayed in appendix A.3, we show a representative for each of the 359 models in the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ heterotic theory. The data for each point consists of the root lattice $L$, which gives the gauge group, the isotropic subgroup $H_{L}$, the complementary lattice $T$, and the moduli $E_{i j}, A_{1}, A_{2}$. The lattice $T$ is conveyed by its Gram matrix, computed from the moduli as described in section 2.2.2. Once $T$ is known we can determine the order of $H_{L}$ using the relation 2.2.1. We can then check that the appropriate isotropic subgroup of $A_{L}$ exists as in the examples worked out in section 2.2.1. In this way we can confirm the results of 19 for the $H_{L}$ corresponding to each pair $(L, T)$.

In contrast to the $d=1$ case, we do not have an explicit form of the fundamental domain of the moduli space, which would give us a clear criterion for choosing the moduli. Instead, we have selected those that have the simplest form. In some cases we present two different sets of moduli, one in which the Wilson lines are simple but the $E_{i j}$ are different from the standard ones in (2.4.19), and another where the opposite happens. Moduli obtained with the Fixed Wilson lines algorithm of section 2.4.3, or the Neighborhood algorithm of section 2.4.3, are respectively distinguished by a $\dagger$ or by a $*$, next to the Wilson line $A_{2}$. The remaining 331 moduli were obtained using the EDD method of section 2.4.2. Notice that for the groups 18, 23 and 40, the EDD method only gives the data for one of the possible $T$ lattices.

As expected from the general arguments of section 2.2.2, in all cases the $E_{i j}$ are rational numbers and the Wilson lines are quantized in the sense of eq. 2.2.1. Moreover, it can be shown that for every pair $(L, T)$, it is always possible to find Wilson lines such that $E_{i j}=\delta_{i j}$. Examples of this result are \# 15 or \# 19 in Table A.2.

The torus metric and the $b$-field can be easily derived from the moduli $E_{i j}$ and $A_{i}$ substituting in $g_{i j}=\frac{1}{2}\left(E_{i j}+E_{j i}-A_{i} \cdot A_{j}\right)$ and $b_{i j}=\frac{1}{2}\left(E_{i j}-E_{j i}\right)$. The complex structure and Kähler moduli, $\tau$ and $\rho$, can then be computed from their definition in (2.4.1), or alternatively from the relations to the $E_{i j}$ in 2.4.6. Note that in most cases in Table A.2, the enhancements occur at points with $\rho=\tau$. The exception is \# 2, but as mentioned before, this group can also be reached with $E_{i j}=\delta_{i j}$ and suitable Wilson lines.

The transformations of the moduli under the duality group are best found as explained in section 2.1.1. We conjecture that all possible heterotic models on $T^{d}$ with maximal rank gauge group and given pair $(L, T)$, are unique up to T-dualities. We know that this is true in $d=1$,
since the extended Dynkin diagram for the lattice $\mathrm{I}_{1,17}$ uniquely encodes all such models within a fundamental region of the moduli space. Indeed, the only freedom in the diagram in Figure 2.1 corresponds to a reflection about the central node, which is an automorphism of the lattice $\mathrm{I}_{1,17}$. For $d=2$, the conjecture implies that Table A.2 exhibits all maximally enhanced HE models up to T-dualities. In particular, we have checked that in cases such as $\# 15$, the two sets of moduli can be connected by an element of $\mathrm{O}(2,18, \mathbb{Z})$.

For each model in Table A.2, the moduli in the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic can be obtained by using the map described in section 2.1.2. We have explicitly verified that the Gram matrices of the lattices $L$ and $T$ are preserved under this map, which is to be expected from an orthogonal transformation. Some examples of these transformed HO models are given in Table A.3.

As for $d=1$, we can compute the Weyl transformation for each simple root of the enhanced gauge group to obtain the reflexive subgroup of $\mathrm{O}(2,18, \mathbb{Z})$ that fixes the corresponding moduli. However, since $O(2,18, \mathbb{Z})$ is not reflexive, computing the whole set of dualities which fix a given point is not generally straightforward, although a complete answer can be given in simpler cases. For instance, as discussed in section 2.4.1, we can restrict to Wilson lines of the form $A_{i}=a_{i} w_{6} \times 0$ and work with the complex moduli $(\tau, \rho, \beta)$, defined in (2.4.1) and (2.4.9). As explained in section 2.4.1, $\Omega=\left(\begin{array}{c}\tau \\ \beta\end{array} \rho\right)$ parametrizes the genus-two Siegel upper half-plane, and the fundamental region, as well as fixed points of $\operatorname{Sp}(4, \mathbb{Z})$, have been determined by Gottschling 47-49]. In particular, in Theorem 4, Lemma 7 in [49], it is shown that the point $\Omega_{G}=\left(\begin{array}{c}\eta \\ \frac{1}{2}(\eta-1) \\ \frac{1}{2}(\eta-1) \\ \eta\end{array}\right)$, with $\eta$ given in 2.4.43), is fixed by the octahedral group $(O)$ of order 24. In fact, this point $\Omega_{G}$ can be shown to be precisely dual to the maximally enhanced point of entry \# 325 in Table A.2, which corresponds to

$$
\Omega_{P}=\left(\begin{array}{cc}
\eta-1 & \frac{1}{2}(\eta-1)  \tag{2.4.43}\\
\frac{1}{2}(\eta-1) & \eta-1
\end{array}\right), \quad \eta=\frac{1}{3}(1+2 i \sqrt{2}) .
$$

At a generic point $\Omega$, the gauge group is $\mathrm{G}=\mathrm{U}(1)^{3} \times \mathrm{E}_{7} \times \mathrm{E}_{8}^{\prime}$, while at $\Omega_{P}$ (or equivalently $\Omega_{G}$ ) it is enlarged to $\mathrm{G}_{P}=\mathrm{SU}(4) \times \mathrm{E}_{7} \times \mathrm{E}_{8}^{\prime}$. It is natural to propose that the transformations that leave $\Omega_{P}$ fixed are generated by Weyl reflections about the simple roots that extend G to $\mathrm{G}_{P}$. We have checked that this is indeed the case. The simple roots of $\mathrm{SU}(4)$ are associated to the nodes $\varphi_{0}, \varphi_{\mathrm{C}_{1}}$ and $\varphi_{\mathrm{C}_{3}}$, shown in (2.4.17) and (2.4.21). As expected, the group generated by the Weyl transformations is the permutation group $S_{4}$, which is isomorphic to the octahedral group $O$. It is easy to verify that $\Omega_{P}$ is fixed by the transformations of order 3 and 4 displayed in (2.4.10), which are just products of Weyl reflections about $\varphi_{0}, \varphi_{\mathrm{C}_{1}}$ and $\varphi_{\mathrm{C}_{3}}$.

Actually, the maximal enhancements in \# 296, \#297 and \# 324 in Table A.2, which also lie in the slice of moduli space with $\zeta=\beta w_{6} \times 0$, correspond to fixed points analyzed in [48, 49]. However, at the fixed points of cyclic subgroups there is no maximal enhancement. Similar results can be obtained in HO. It would be interesting to find more connections between
the Narain moduli space and other kinds of moduli spaces, and to further study maximal enhancements as fixed points of duality transformations.

### 2.5 Compactifications on $T^{d}$

In heterotic compactifications on $T^{d}$ there are $d(d+16)$ moduli from background values of the metric, the $b$-field and the 16 -dimensional Wilson lines. The $\mathrm{I}_{d, d+16}$ lattice vectors $\left(p_{R} ; p_{L}, p^{I}\right)$, which depend on these moduli, are given in 2.1.2). The generalization of the algorithms discussed in the preceding sections to study the enhancement of symmetries and the corresponding moduli in higher dimensional compactifications is straightforward. Here we briefly outline the procedures and present some examples.

In section 2.1 we worked out the transformation rules of the moduli under $\mathrm{O}(d, d+16)$, for arbitrary dimension $d$. In particular, the Buscher rules found in [14, 15 for the heterotic string were easily reobtained from a factorized duality as shown in eq. (2.1.28). We further generalized the $\mathrm{HE} \leftrightarrow \mathrm{HO}$ map that was derived for the circle in [17] to compactifications on $T^{d}$ with $d>1$. As an application, we can map the simple cases $2 \mathrm{E}_{8}+\tilde{\mathrm{G}}_{d}$ in the HE , or $\mathrm{D}_{16}+\tilde{\mathrm{G}}_{d}$ in the HO, with moduli $A_{i}=0$ and $E_{i j}, i, j=1, \ldots, d$, given in 2.2.15), respectively to HO or HE. We find $E_{i i}^{\prime}=E_{i i}=1, E_{1 j}^{\prime}=\frac{1}{4} E_{1 j}$ for $j>1, E_{i j}^{\prime}=E_{i j}$ for $i \neq 1, A_{i}^{\prime}=0, i>1$, whereas $A_{1}^{\prime}=\Lambda_{\mathrm{O}}-\frac{1}{4} \Lambda_{\mathrm{E}}$ for $\mathrm{HE} \rightarrow \mathrm{HO}$ and $A_{1}^{\prime}=\Lambda_{\mathrm{E}}-\frac{1}{4} \Lambda_{\mathrm{O}}$ for $\mathrm{HO} \rightarrow \mathrm{HE}$.

In section 2.2 we explained that all allowed groups $\mathrm{G}_{r} \times \mathrm{U}(1)^{d+16-r}$ can be obtained by lattice embedding techniques. We gave examples in $d=1,2$ and 8 , but the machinery can be applied to other dimensions. An interesting observation is that for $d=8$ any semisimple ADE group of rank 24 seems to be allowed, as indicated by the fact that the group of lowest dimension, namely $\mathrm{SU}(2)^{24}$, does occur as shown in section 2.2.1.

The generalization of the algorithms developed in section 2.4 is straightforward, as they are based on general ideas that do not depend on $d$. In particular, the method of extended diagrams described in section 2.4.2, requires to find suitable values of $E_{i j}$ that can account for the possible ways to connect the toroidal nodes, i.e. the nodes corresponding to $\mathrm{II}_{d, d}$, to the affine diagram of $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$, or a subgroup of rank 16 obtained with the shift algorithm. Specifically, we can take the $E_{i j}$ given in (2.2.15) in terms of the Cartan metric $\tilde{g}_{i j}$ of an ADE group of rank $d$, which have the properties $\left|E_{i j}\right|$ equal to 0 or 1 , and $\operatorname{det} E=1$. One can then construct extended diagrams with $d+18$ nodes in arbitrary dimensions in a completely analogous way as done for $d=2$, also taking into account the twisting operation in (2.4.22).

A simple example of an EDD, for generic $d$, can be constructed in HE by choosing the $E_{i j}$ in 2.2.15 with $\tilde{g}_{i j}$ equal to the Cartan matrix of $\mathrm{A}_{d}$. The affine nodes $\varphi_{0}$ and $\varphi_{0}^{\prime}$ are taken to have $n_{1}=-1$ and $n_{d}=-1$, respectively, with all other values for $w^{i}$ and $n_{i}$ set to zero. The resulting diagram is shown in figure 2.18. Note that in this construction the Wilson lines
$A_{i}$, with $i=2, \ldots, d-1$ are always turned off, while $A_{1}=\delta_{1} \times 0$ and $A_{d}=0 \times \delta_{d}^{\prime}$. This EDD yields maximal enhancements such as $\mathrm{SO}(32+2 d)$ and $\mathrm{SU}(17+d)$. More generally we can arrange the toroidal nodes into an $\mathrm{A}_{p} \times \mathrm{A}_{d-p}$ diagram, with $p=1, \ldots, d-1$, in order to obtain groups such as $\mathrm{SO}(18+2 p) \times \mathrm{SO}(18+2(d-p))$ and $\mathrm{SU}(9+p) \times \mathrm{SU}(9+d-p)$.


Figure 2.18: Extended diagram for generic values of $d$, yielding for example the maximal enhancements $\mathrm{SO}(32+2 d)$ and $\mathrm{SU}(17+d)$.

To apply the Fixed Wilson lines algorithm of section 2.4.3 in $T^{d}$, one may take some of the Wilson lines obtained from the previous construction, then delete the toroidal nodes in the corresponding diagram and add $d$ generic nodes to be determined by the algorithm. Finally, the Neighborhood algorithm of section 2.4 .3 may be implemented starting from a point with maximal enhancement and $16+d$ simple roots, e.g. $2 \mathrm{E}_{8}+d \mathrm{~A}_{1}$, eliminate one of the simple roots and replace it by a generic one of $16+2 d$ components to be fixed by the algorithm. Some results obtained applying this algorithm in $d=3$ are presented in Table 2.10. All of them were found taking 3 or less steps from $2 \mathrm{E}_{8}+3 \mathrm{~A}_{1}$, and setting $\left(\lambda_{1}, \lambda_{2}\right)=(1,2)$ in 2.4.39).

| $L$ | $H_{L}$ | $T$ | E | $A_{1}$ | $A_{2}$ | $A_{3}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{A}_{2}+\mathrm{A}_{7}+\mathrm{D}_{10}$ | $\mathbb{1}$ | $\left(\begin{array}{ccc}2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 24\end{array}\right)$ | $\left(\begin{array}{ccc}1 & -1 & \\ 0 & 1 & 0 \\ 0 & 1 & 1\end{array}\right)$ | $0 \times \frac{w_{4}}{6}$ | $-\frac{w_{3}}{5} \times \frac{w_{4}}{15}$ | $-\frac{w_{3}}{5} \times \frac{7 w_{4}}{30}$ |
| $\mathrm{A}_{1}+3 \mathrm{~A}_{3}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | $\left(\begin{array}{ccc} 4 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 4 & 1 \end{array}\right)$ | $\left(\begin{array}{ll} 1 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{array}\right)$ | $\left(-\frac{w_{4}}{38}-\frac{5 w_{7}}{19}\right) \times \frac{4 w_{7}}{19}$ | $\left(\frac{5 w_{7}}{19}-\frac{9 w_{4}}{19}\right) \times \frac{4 w_{7}}{19}$ | $\left(\frac{7 w_{4}}{19}-\frac{6 w_{7}}{19}\right) \times \frac{w_{7}}{19}$ |
| $\mathrm{A}_{10}+3 \mathrm{~A}_{3}$ | $\mathbb{1}$ | $\left(\begin{array}{llll}4 & 0 & 0 \\ 0 & 8 & 4 \\ 0 & 4 & 24\end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ | $\frac{2 w_{7}}{7} \times\left(\frac{w_{3}}{4}-\frac{11 w_{8}}{56}\right)$ | $-\frac{w_{7}}{7} \times\left(-\frac{w_{3}}{2}+\frac{27 w_{8}}{28}\right)$ | $0 \times\left(\frac{w_{3}}{4}-\frac{5 w_{8}}{8}\right)$ |
| $3 \mathrm{~A}_{4}+\mathrm{D}_{7}$ | $\mathbb{1}$ | $\left(\begin{array}{ccc} 10 & 5 & 5 \\ 5 & 10 \\ 5 & 10 & 10 \end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ | $-\frac{w_{3}}{5} \times \frac{w_{3}}{5}$ | $-\frac{w_{3}}{5} \times-\frac{w_{3}}{5}$ | $\left(\frac{w_{6}}{2}-\frac{w_{3}}{5}\right) \times 0$ |
| $\mathrm{A}_{4}+3 \mathrm{~A}_{5}$ | $\mathbb{1}$ | $\left(\begin{array}{ccc} 6 & 0 & 0 \\ 0 & 6 & 0 \\ 0 & 0 & 0 \end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ | $-\frac{w_{3}}{5} \times 0$ | $\left(\frac{2 w_{3}}{5}-w_{8}\right) \times 0$ | $0 \times \frac{w_{3}}{5}$ |
| $4 \mathrm{~A}_{3}+\mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | $\left(\begin{array}{lll} 4 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ | $\left(-\frac{w_{3}}{6}-\frac{w_{8}}{6}\right) \times \frac{w_{4}}{6}$ | $\left(\frac{w_{8}}{12}-\frac{w_{3}}{6}\right) \times-\frac{w_{4}}{3}$ | $\left(\frac{w_{3}}{2}-\frac{5 w_{8}}{4}\right) \times 0$ |
| $2 \mathrm{~A}_{6}+\mathrm{A}_{7}$ | $\mathbb{1}$ | $\left(\begin{array}{lll} 4 & 2 & 1 \\ 2 & 8 & 1 \\ 1 & 4 & 16 \end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 1\end{array}\right)$ | $\frac{2 w_{7}}{7} \times\left(\frac{2 w_{1}}{7}-\frac{3 w_{6}}{7}\right)$ | $-\frac{w_{7}}{7} \times\left(\frac{8 w_{6}}{7}-\frac{3 w_{1}}{7}\right)$ | $0 \times\left(\frac{3 w_{1}}{7}-\frac{w_{6}}{7}\right)$ |
| $\mathrm{D}_{4}+\mathrm{D}_{9}+\mathrm{E}_{6}$ | $\mathbb{1}$ | $\left(\begin{array}{lll} 4 & 4 & 0 \\ 2 & 4 & 0 \\ 0 & 0 & 0 \end{array}\right)$ | $\left(\begin{array}{llll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1\end{array}\right)$ | $-\frac{w_{8}}{2} \times 0$ | $0 \times \frac{w_{5}}{3}$ | $0 \times \frac{w_{5}}{3}$ |
| $\mathrm{D}_{6}+\mathrm{D}_{7}+\mathrm{E}_{6}$ | 11 | $\left(\begin{array}{lll} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 12 \end{array}\right)$ | $\left(\begin{array}{ccc} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{array}\right)$ | $-\frac{w_{3}}{5} \times \frac{2 w_{5}}{15}$ | $-\frac{w_{3}}{5} \times \frac{2 w_{5}}{15}$ | $0 \times \frac{w_{5}}{3}$ |
| $2 \mathrm{D}_{6}+\mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | $\left(\begin{array}{llll}2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2\end{array}\right)$ | $\left(\begin{array}{lll}1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 1 & 0\end{array}\right)$ | $-\frac{w_{6}}{2} \times \frac{w_{6}}{2}$ | $-\frac{w_{8}}{2} \times 0$ | $\left(\frac{w_{8}}{2}-w_{6}\right) \times 0$ |

Table 2.10: Data for some groups of maximal rank, for the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ heterotic on $T^{3}$.
In this exploration of the $d=3$ moduli space we have chosen at each step of the Neighborhood algorithm one representative model for each maximal enhancement, i.e. for each
embedding $(L, T) \subset \mathrm{I}_{3,19}$. This was also done in $d=2$, as explained in section 2.4.3. This procedure would be exhaustive only if any two models corresponding to the same pair ( $L, T$ ) are equivalent under T-duality. Indeed, we posed this conjecture in section 2.4 .4 for generic $d$. It would be interesting to understand this better, for example by using the techniques of lattice embeddings of Nikulin [21, 25], or by further studying the dependence of the full heterotic spectrum on the data of $L$ and $T$.

We also remark that all the examples in table 2.10 have $E_{i j}=\delta_{i j}$ or can be shown to be T-dual to a model satisfying this condition. Taking into account the fact that all maximal enhancements in $d=1$ and 2 can be constructed with $E_{i j}=\delta_{i j}$, we expect that this fact extends to the case at hand with $d=3$. In fact, we conjecture that this is a generic feature of all Narain moduli spaces, with arbitrary $d$. To see the physical significance of this statement, note that the condition $E_{i j}=\delta_{i j}$ implies that the antisymmetric field $b_{i j}$ is turned off. In many cases it is also true that the Wilson lines are orthogonal, $A_{i} \cdot A_{j}=0, i \neq j$, further implying that the metric $g_{i j}$ is diagonal and so $T^{d}=S^{1} \times \cdots \times S^{1}$. However, we do not have a formal proof that this can be done for all the maximally enhanced models.

## Chapter 3

## CHL Strings on $T^{d}$

In this chapter we extend the results presented in Chapter 2 to the CHL orbifold of the heterotic string. We begin in Section 3.1 with an in depth review of the construction of this theory in nine dimensions and its perturbative spectrum. We discuss here some formal aspects including the extended Dynkin diagram as well as the T-duality group. In Section 3.2 we consider compactifications down to lower dimensions, emphasizing the case of $T^{2}$, where non-simply-laced gauge algebras start appearing. In Section 3.3 we extend the exploration algorithm developed in Chapter 2 to the CHL string, and develop a method to compute the explicit form of the fundamental group for each gauge group. Finally we present the result of the exploration for $d=2$.

### 3.1 The nine-dimensional CHL String

In this section we review the construction of the CHL string in nine dimensions [52] as an $S^{1} / \mathbb{Z}_{2}$ orbifold of the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ heterotic string [53] and fix our conventions. We recall the massless spectrum and study the possible gauge symmetries from the point of view of lattice embeddings. We will see that, as in the case of the heterotic string on $S^{1}$, this problem is well under control.

### 3.1.1 Constructing the theory from the heterotic string

Consider the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ heterotic string with the coordinate $x^{9}$ compactified on a circle of radius $R$. Varying $R$ and turning on the Wilson line $A$ on the compact direction we sweep through the Narain moduli space

$$
\begin{equation*}
\mathcal{M}_{\text {Narain }}=\frac{\mathrm{O}(1,17, \mathbb{R})}{\mathrm{O}(17, \mathbb{R})} / \mathrm{O}(1,17, \mathbb{Z}) \tag{3.1.1}
\end{equation*}
$$

with the discrete T-duality group $\mathrm{O}(1,17, \mathbb{Z})$ determining its global structure. These compactifications yield theories with gauge group of rank 17 (ignoring the graviphoton). However, the class of nine-dimensional theories with 16 unbroken supercharges also contains reduced rank theories, with gauge groups of rank 9 and 1 . Those of rank 9 are realized in the CHL string, and have moduli space

$$
\begin{equation*}
\mathcal{M}_{\mathrm{CHL}}=\frac{\mathrm{O}(1,9, \mathbb{R})}{\mathrm{O}(9, \mathbb{R})} / \mathrm{O}(1,9, \mathbb{Z}) \tag{3.1.2}
\end{equation*}
$$

as will be made clear at the end of this section.

For our purposes, it is convenient to construct the CHL string as an orbifold of the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ heterotic string following [53]. The orbifold symmetry $g=$ RT consists of the outer automorphism R of the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ lattice accompanied by a half turn T around the compactification circle, namely

$$
\begin{equation*}
\mathrm{R}: \quad \Gamma_{\mathrm{E}_{8}} \oplus \Gamma_{\mathrm{E}_{8}^{\prime}} \rightarrow \Gamma_{\mathrm{E}_{8}^{\prime}} \oplus \Gamma_{\mathrm{E}_{8}}, \quad \mathrm{~T}: \quad x^{9} \rightarrow x^{9}+\pi R . \tag{3.1.3}
\end{equation*}
$$

Since $x^{9} \sim x^{9}+2 \pi R$ in the parent theory, $g^{2}=1$ and $g$ defines a freely-acting $\mathbb{Z}_{2}$ orbifold.

To find the spectrum of this theory, we start by recalling the components of the internal momentum of the heterotic string in nine dimensions:

$$
\begin{align*}
p_{R} & =\frac{1}{\sqrt{2} R}\left[n-\left(R^{2}+\frac{1}{2} A^{2}\right) m-\Pi \cdot A\right],  \tag{3.1.4a}\\
p_{L} & =\frac{1}{\sqrt{2} R}\left[n+\left(R^{2}-\frac{1}{2} A^{2}\right) m-\Pi \cdot A\right],  \tag{3.1.4b}\\
p^{\hat{I}} & =\Pi^{\hat{I}}+A^{\hat{I}} m, \tag{3.1.4c}
\end{align*}
$$

where $\hat{I}=1, \ldots, 16, n \in \mathbb{Z}$ is the momentum number on the circle, $m \in \mathbb{Z}$ is the winding number and $\Pi \in \Gamma_{8} \oplus \Gamma_{8}$, with $\Gamma_{8} \equiv \Gamma_{\mathrm{E}_{8}}$. The momenta form the unique even self-dual Lorentzian lattice $\mathrm{II}_{1,17}$ (up to $\mathrm{SO}(1,17$ ) boosts given by the moduli), with vectors labeled by the quantum numbers $m, n, \Pi^{\hat{I}}$. We use the convention $\alpha^{\prime}=1$.

On the $S^{1} / \mathbb{Z}_{2}$ orbifold, the Wilson lines are restricted to take the form

$$
\begin{equation*}
A=(a, a), \quad a \in \mathbb{R}^{8} . \tag{3.1.5}
\end{equation*}
$$

Similarly, it is convenient to decompose the heterotic momenta as

$$
\begin{equation*}
\Pi=\left(\pi, \pi^{\prime}\right), \quad \pi, \pi^{\prime} \in \Gamma_{8} \tag{3.1.6}
\end{equation*}
$$

and to define the symmetric and antisymmetric combinations

$$
\begin{equation*}
p_{+}^{I}=\frac{1}{\sqrt{2}}\left(p^{I}+p^{I+8}\right), \quad p_{-}^{I}=\frac{1}{\sqrt{2}}\left(p^{I}-p^{I+8}\right), \quad I=1, \ldots, 8 \tag{3.1.7}
\end{equation*}
$$

Defining moreover the symmetric combination

$$
\begin{equation*}
\rho=\pi+\pi^{\prime} \quad \in \Gamma_{8}, \tag{3.1.8}
\end{equation*}
$$

the components (3.1.4) can be written as

$$
\begin{align*}
& p_{R}=\frac{1}{\sqrt{2} R}\left[n-R^{2} m-a^{2} m-\rho \cdot a\right],  \tag{3.1.9a}\\
& p_{L}=\frac{1}{\sqrt{2} R}\left[n+R^{2} m-a^{2} m-\rho \cdot a\right]=p_{R}+\sqrt{2} R m,  \tag{3.1.9b}\\
& p_{+}=\frac{1}{\sqrt{2}}(\rho+2 a m),  \tag{3.1.9c}\\
& p_{-}=\frac{1}{\sqrt{2}}\left(\pi-\pi^{\prime}\right), \tag{3.1.9d}
\end{align*}
$$

and the total internal momentum vector is $P=\left(\mathbf{p}_{\mathbf{R}} ; \mathbf{p}_{\mathbf{L}}\right) \equiv\left(p_{R} ; p_{L}, p_{+}, p_{-}\right)$.
The orbifold action on the momenta can be written as

$$
\begin{equation*}
g\left|p_{R} ; p_{L}, p_{+}, p_{-}\right\rangle=e^{2 i \pi v \cdot P}\left|p_{R} ; p_{L}, p_{+},-p_{-}\right\rangle, \tag{3.1.10}
\end{equation*}
$$

where the inner product is defined with respect to the metric diag $(-1,+1, \ldots,+1)$. The shift vector $v$ is constrained by the condition that $g$ has order two. Choosing $v_{-}=0$ implies that $2 v$ belongs to the Narain lattice $\mathrm{I}_{1,17}$. Besides, the condition that the shift corresponds to the geometric translation of $x^{9}$ by half a period amounts to $e^{2 i \pi v \cdot P}=e^{i \pi n}$ and leads to

$$
\begin{equation*}
v=\frac{1}{2 \sqrt{2}}\left(-R-\frac{a^{2}}{R} ; R-\frac{a^{2}}{R}, 2 a, 0\right) . \tag{3.1.11}
\end{equation*}
$$

Notice that $2 v$ equals the Narain lattice vector obtained by substituting $m=1, n=0$, and $\pi=\pi^{\prime}=0$ in the formulae (3.1.9). The lattice vectors can be conveniently traded for states $\left|m, n, \pi, \pi^{\prime}\right\rangle$, which depend on the quantum numbers and transform as

$$
\begin{equation*}
g\left|m, n, \pi, \pi^{\prime}\right\rangle=e^{i \pi n}\left|m, n, \pi^{\prime}, \pi\right\rangle \tag{3.1.12}
\end{equation*}
$$

for all values of the moduli.
The action of $g$ on the left-moving bosons living on $\Gamma_{\mathrm{E}_{8}} \oplus \Gamma_{\mathrm{E}_{8}^{\prime}}$, denoted $Y^{I}$ and $Y^{I I}=Y^{I+8}$,
$I=1, \ldots, 8$, is the exchange $Y^{I} \leftrightarrow Y^{\prime I}$, or $Y_{ \pm}^{I} \rightarrow \pm Y_{ \pm}^{I}$ where

$$
\begin{equation*}
Y_{ \pm}^{I}=\frac{1}{\sqrt{2}}\left(Y^{I} \pm Y^{\prime I}\right) \tag{3.1.13}
\end{equation*}
$$

The action on the space-time coordinates is just the translation in $x^{9}$. The corresponding oscillators then transform as

$$
\begin{equation*}
g\left(\alpha^{I}\right)=\alpha^{I+8}, \quad g\left(\alpha^{I+8}\right)=\alpha^{I}, \quad g\left(\alpha^{\mu}\right)=\alpha^{\mu} \tag{3.1.14}
\end{equation*}
$$

where $\mu=2, \ldots, 9$ refers to the space-time transverse coordinates. Notice also that $g\left(\alpha_{ \pm}^{I}\right)=$ $\pm \alpha_{ \pm}^{I}$ for the $Y_{ \pm}^{I}$ oscillators.

In the untwisted sector, the spectrum consists of states of the parent theory invariant under the orbifold action. The invariant states are superpositions of the form

$$
\begin{equation*}
|\varphi\rangle_{\mathrm{untwisted}}=\frac{1}{\sqrt{2}}\left(\alpha\left|m, n, \pi, \pi^{\prime}\right\rangle+(-1)^{n} g(\alpha)\left|m, n, \pi^{\prime}, \pi\right\rangle\right) \tag{3.1.15}
\end{equation*}
$$

where $\alpha$ denotes any possible combination of oscillators and $g(\alpha)$ its image under $g$, given by (3.1.14).

In the twisted sector, the internal chiral bosons $Y^{I}$ and $Y^{I I}$ satisfy the boundary conditions

$$
\begin{equation*}
Y^{I}(\sigma+2 \pi)=Y^{\prime I}(\sigma)+Q^{I}, \quad Y^{\prime I}(\sigma+2 \pi)=Y^{I}(\sigma)+Q^{\prime I} \tag{3.1.16}
\end{equation*}
$$

where $Q, Q^{\prime}$ are arbitrary (fixed) vectors in $\Gamma_{8}$ which specify the precise way of exchanging $\mathrm{E}_{8} \leftrightarrow \mathrm{E}_{8}^{\prime}$ [54]. The $Y_{ \pm}^{I}$ then obey

$$
\begin{equation*}
Y_{ \pm}^{I}(\sigma+2 \pi)= \pm Y_{ \pm}^{I}(\sigma)+\frac{1}{\sqrt{2}}\left(Q^{I} \pm Q^{\prime I}\right) \tag{3.1.17}
\end{equation*}
$$

and have oscillator expansions

$$
\begin{align*}
& Y_{+}^{I}(\tau+\sigma)=\frac{1}{2} y_{+, 0}^{I}+\frac{1}{2 \pi} p_{+}^{I}(\tau+\sigma)+i \sqrt{\frac{\alpha^{\prime}}{2}} \sum_{n \neq 0} \frac{\alpha_{+, n}^{I}}{n} e^{-i n(\tau+\sigma)}, \\
& Y_{-}^{I}(\tau+\sigma)=\frac{1}{2} y_{-, 0}^{I}+i \sqrt{\frac{\alpha^{\prime}}{2}} \sum_{s \in \mathbb{Z}+\frac{1}{2}} \frac{\alpha_{-, s}^{I}}{s} e^{-i s(\tau+\sigma)}, \tag{3.1.18}
\end{align*}
$$

where $p_{+}^{I} \equiv \frac{1}{\sqrt{2}}\left(Q^{I}+Q^{\prime I}\right)$ and $y_{-, 0}^{I} \equiv \frac{1}{\sqrt{2}}\left(Q^{I}-Q^{\prime I}\right)$. The boson corresponding to the compact
$x^{9}$ dimension satisfies

$$
\begin{equation*}
X^{9}(\sigma+2 \pi)=X^{9}(\sigma)+\pi R+2 \pi R \tilde{m} \equiv X^{9}(\sigma)+2 \pi R m, \tag{3.1.19}
\end{equation*}
$$

with $\tilde{m} \in \mathbb{Z}$, and hence $m \in \mathbb{Z}+\frac{1}{2}$.

The twisted states have three distinctive features: they have half-integer winding $m$, the occupation numbers of their oscillators can be half-integer or integer valued, and they do not have antisymmetric momentum $p_{-}^{I}$. We write them as

$$
\begin{equation*}
|\varphi\rangle_{\text {twisted }}=|m, n, \rho\rangle, \tag{3.1.20}
\end{equation*}
$$

up to the action of oscillators. Note that upon quantisation the symmetric momentum takes the form $p_{+}=\frac{1}{\sqrt{2}}(\rho+2 a m)$, with $\rho=Q+Q^{\prime} \in \Gamma_{8}$, coinciding with the untwisted symmetric momentum in (3.1.7). The projection on invariant states in the twisted sector is best deduced from the partition function.

In the NS sector for the right movers (which gives the space-time bosons), the mass and level matching conditions are

$$
\begin{align*}
M^{2} & =\mathbf{p}_{\mathbf{L}}{ }^{2}+\mathbf{p}_{\mathbf{R}}{ }^{2}+2\left(N_{L}+N_{R}\right)+2 \mathfrak{a}-1,  \tag{3.1.21}\\
0 & =\mathbf{p}_{\mathbf{L}}{ }^{2}-\mathbf{p}_{\mathbf{R}}{ }^{2}+2\left(N_{L}-N_{R}\right)+2 \mathfrak{a}+1, \tag{3.1.22}
\end{align*}
$$

where the zero point energy $\mathfrak{a}$ is -1 in the untwisted sector, as usual, and $-\frac{1}{2}$ in the twisted sector, since the left-moving side part receives contributions from 16 periodic bosons $\left\{Y_{+}^{I}, X^{\mu}\right\}$ (with $\mu$ labelling the 8 transverse directions) and 8 anti-periodic bosons $\left\{Y_{-}^{I}\right\}$. Concretely,

$$
\begin{equation*}
\mathfrak{a}_{\text {twisted }}=16 \times \mathfrak{a}_{\text {periodic }}+8 \times \mathfrak{a}_{\text {anti-periodic }}=-16 \times \frac{1}{24}+8 \times \frac{1}{48}=-\frac{1}{2} . \tag{3.1.23}
\end{equation*}
$$

It is convenient to define the modified 'oscillator number'

$$
N_{L}^{\prime}=N_{L}+\delta, \quad \delta=\left\{\begin{array}{cl}
\frac{1}{2} p_{-}^{2} & \text { Untwisted }  \tag{3.1.24}\\
\frac{1}{2} & \text { Twisted }
\end{array},\right.
$$

where $p_{-}^{2}$ is an integer (cf. (3.1.9)), and the nine-dimensional momentum

$$
\begin{equation*}
P_{L}=\left(p_{L}, p_{+}\right), \tag{3.1.25}
\end{equation*}
$$

which allows to rewrite the formulas (3.1.21) and (3.1.22) in an $\mathrm{O}(1,9)$ covariant form as

$$
\begin{align*}
M^{2} & =P_{L}^{2}+p_{R}^{2}+2\left(N_{L}^{\prime}+N_{R}\right)-3  \tag{3.1.26a}\\
& =\frac{1}{2} Z^{T} \mathcal{H} Z+2\left(N_{L}^{\prime}+N_{R}\right)-3  \tag{3.1.26b}\\
0 & =P_{L}^{2}-p_{R}^{2}+2\left(N_{L}^{\prime}-N_{R}\right)-1  \tag{3.1.27a}\\
& =\frac{1}{2} Z^{T} \eta Z+2\left(N_{L}^{\prime}-N_{R}\right)-1 . \tag{3.1.27b}
\end{align*}
$$

Here we have defined the charge vector

$$
\begin{equation*}
Z \equiv|\ell, n ; \rho\rangle \tag{3.1.28}
\end{equation*}
$$

with

$$
\begin{equation*}
\ell \equiv 2 m \tag{3.1.29}
\end{equation*}
$$

and $\rho$ is defined in 3.1.8). Note that $\ell$ is always an integer, and is odd (even) for twisted (untwisted) states. $\mathcal{H}$ is the so-called 'generalized metric'

$$
\mathcal{H}=\frac{1}{R^{2}}\left(\begin{array}{ccc}
E^{2} / 2 & -a^{2} & E a  \tag{3.1.30}\\
-a^{2} & 2 & -2 a \\
E a^{T} & -2 a^{T} & R^{2}+2 a^{T} a
\end{array}\right)
$$

where $a$ is taken to be a row vector and the lower right $R^{2}$ term is implicitly multiplied by $\mathbb{1}_{8}$ so that $\mathcal{H}$ is a $10 \times 10$ matrix, and

$$
\begin{equation*}
E \equiv R^{2}+a^{2} \tag{3.1.31}
\end{equation*}
$$

Finally, $\eta$ is the $\mathrm{O}(1,9)$ metric

$$
\eta=\left(\begin{array}{ccc}
0 & 1 & 0  \tag{3.1.32}\\
1 & 0 & 0 \\
0 & 0 & \mathbb{1}_{8}
\end{array}\right)
$$

The important result

$$
\begin{equation*}
Z^{2} \equiv Z^{T} \eta Z=2 \ell n+\rho^{2} \in 2 \mathbb{Z} \tag{3.1.33}
\end{equation*}
$$

implies that the charge vectors $Z$ span the even self-dual Lorentzian lattice $\mathrm{II}_{1,9} \simeq \mathrm{I}_{1,1} \oplus \Gamma_{8}$, since $\ell, n \in \mathbb{Z}$ and $\rho \in \Gamma_{8}$. The correspondence between the states of the theory and the elements of $\mathrm{II}_{1,9}$ was first derived in 55.

It can now be seen that the local structure of the moduli space (3.1.2) is $O(1,9, \mathbb{R}) / O(9, \mathbb{R})$ due to the reduction of the Wilson line from 16 to 8 components and the invariance of eqs. (3.1.26a) and 3.1.27a under $\mathrm{O}(9, \mathbb{R})$ rotations of $P_{L}$. Furthermore, the automorphism group
$\mathrm{O}(1,9, \mathbb{Z})$ of $\mathrm{II}_{1,9}$ corresponds to the T-duality group of the theory, giving the global structure for $\mathcal{M}_{\mathrm{CHL}}$. The similarities between $\mathcal{M}_{\mathrm{CHL}}$ and $\mathcal{M}_{\text {Narain }}$ (cf. eq. (3.1.1) ) allow to carry out an analysis of the nine-dimensional CHL string mirroring the one performed for $S^{1}$ compactifications in [34], namely constructing the fundamental region of the moduli space whose codimension $r \leq 9$ boundaries give enhanced semisimple gauge groups of rank $r$. This ensures that we are able to easily find all possible gauge group enhancements in the theory, as we explain shortly.

### 3.1.2 Massless vectors

From equations 3.1.26a and 3.1.27a we see that the NS sector contains massless states with $N_{R}=\frac{1}{2}, p_{R}=0$ and

$$
\begin{equation*}
P_{L}^{2}=2\left(1-N_{L}^{\prime}\right) \quad \Rightarrow \quad N_{L}^{\prime}=0,1, \frac{1}{2} \tag{3.1.34}
\end{equation*}
$$

Of these, untwisted states can have $N_{L}=0,1$ and twisted states $N_{L}=0$ (cf. eq. (3.1.24)). For $N_{L}=1$, besides the universal gravitational sector, the massless spectrum contains the left abelian KK gauge vector

$$
\begin{equation*}
\alpha_{-1}^{9} \tilde{\psi}_{-\frac{1}{2}}^{\mu}|0\rangle \tag{3.1.35}
\end{equation*}
$$

with $\tilde{\psi}_{-\frac{1}{2}}^{\mu}$ the coefficient of the Laurent expansion of the right-moving fermions, $\mu=2, \ldots, 8$, and the 8 symmetric combinations of the Cartan sector of the heterotic theory that survive the R projection

$$
\begin{equation*}
\frac{1}{\sqrt{2}}\left(\alpha_{-1}^{I}+\alpha_{-1}^{I+8}\right) \tilde{\psi}_{-\frac{1}{2}}^{\mu}|0\rangle \tag{3.1.36}
\end{equation*}
$$

implying that the gauge group of the theory has rank 9 .
For $N_{L}=0$, the set of massless states depends on the point in moduli space. The $p_{R}=0$ condition reads (cf. eq. 3.1.9a)

$$
\begin{equation*}
\frac{1}{2} E \ell-n+a \cdot \rho=0 \tag{3.1.37}
\end{equation*}
$$

with $E$ defined in 3.1.31), while the level matching condition 3.1.27b becomes a constraint on the norm of $\mathrm{I}_{1,9}$ vectors:

$$
\begin{equation*}
Z^{2}=2 \ell n+\rho^{2}=4\left(1-N_{L}^{\prime}\right)=4 \text { or } 2 . \tag{3.1.38}
\end{equation*}
$$

The states with $Z^{2}=4$ correspond to $N_{L}^{\prime}=0$, and from the definition of $N_{L}^{\prime}$ given in (3.1.24) we see that this is only possible in the untwisted sector, with $\pi=\pi^{\prime}$. From equation 3.1.15) we see that such states could only exist with $n$ even. However, substitution in (3.1.38) gives $2 \ell n+\rho^{2}=4 q+4 \pi^{2}=4$, with $q$ even, or $\pi^{2}=1-q=$ odd, which is inconsistent since $\pi \in \Gamma_{8}$.

In compactifications to lower dimensions, such massless states do appear, and correspond to roots of gauge algebras at level 1, being long roots for non-ADE algebras (see section 3.2). On the other hand, states with $Z^{2}=2$ are well defined in this case, and correspond to roots of ADE algebras at level 2. They come both from the twisted and untwisted sectors (the latter with $\pi=0$ or $\pi^{\prime}=0$ ). We summarise this in Table 3.1.

|  | twisted | untwisted |
| :---: | :---: | :---: |
| $Z^{2}$ | 2 | 2 |
| $\ell$ | odd | even |
| $n$ | integer | integer |
| $\rho$ | $\Gamma_{8}$ | $\Gamma_{8}$ |

Table 3.1: Quantum numbers of the massless states in the twisted and untwisted sector in nine dimensions. The states must satisfy (3.1.37) to be massless.

At a generic point in the moduli space there are no massless states (twisted or untwisted) other than (3.1.35)-(3.1.36), since condition (3.1.37) can only be satisfied generically for $Z=0$, and therefore generically the gauge group is $\mathrm{U}(1)^{9}$. Enhanced gauge symmetry appears at special points in the moduli space, as we will show.

Let us look at the simple situation where $a=0$. The massless condition (3.1.37) is trivially satisfied for states with $\ell=n=0$, and the level matching condition (3.1.38) with $\rho^{2}=2$, hence we get the massless untwisted sates with charge vectors

$$
\begin{equation*}
Z=|0,0 ; \rho\rangle, \quad \rho^{2}=2 . \tag{3.1.39}
\end{equation*}
$$

These are just the 240 roots of the $\mathrm{E}_{8}$ arising from the symmetric combination of the two $\mathrm{E}_{8}$ 's in the parent theory. In the twisted sector, since $\ell$ is odd, equation (3.1.37) is not satisfied for generic values of the compactification radius, since $R=\sqrt{E}$ in this case. The surviving gauge group for $a=0$ and generic $R$ is then $\mathrm{E}_{8} \times \mathrm{U}(1)$. Interestingly enough, taking $R=1$ when $a=0$ does not lead to additional states that enhance the $\mathrm{U}(1)$ to $\mathrm{SU}(2)$, as occurs in the $S^{1}$ compactification. For this enhancement to occur we must actually take $R=\sqrt{2}$, i.e. $E=2$, so that equations (3.1.37) and (3.1.38) are solved by

$$
\begin{equation*}
Z= \pm|1,1 ; 0\rangle \tag{3.1.40}
\end{equation*}
$$

corresponding to two twisted states with winding number $m= \pm \frac{1}{2}$.
In this example the world-sheet realisation of the $\mathrm{E}_{8} \times \mathrm{SU}(2)$ space-time gauge symmetry is provided by a Kac-Moody algebra at level $k=2$. It is interesting to compare the radius that gives this enhancement in the orbifold theory with the self-dual radius $R_{k}$ in the standard $S^{1}$ compactification where the enhancement occurs at $R_{k}=1$ and the gauge group is realized
at level 1. They are related as $R=\sqrt{k} R_{k}$. For generic Wilson lines this enhancement occurs at

$$
\begin{equation*}
E_{k}=k^{-1} E=1 \tag{3.1.41}
\end{equation*}
$$

In the following section we show that this is a generic feature: while maximal enhancement in the heterotic string on $S^{1}$ occurs at $E=1$ and the Kac-Moody algebra is realized at $k=1$, in the nine-dimensional orbifold theory they occur at $E=2$ and $k=2$, i.e. both enhancements occur at $E_{k}=1$. This is actually expected from T-duality. We will shortly explain that in the orbifold theory the self-dual point is $E=2$.

### 3.1.3 Maximal enhancements from the Generalized Dynkin diagram

As we have commented in section 3.1.1, the structure of the moduli space of the ninedimensional CHL string, $\mathcal{M}_{\mathrm{CHL}}$, is similar to that of $S^{1}$ compactifications of the heterotic string, $\mathcal{M}_{\text {Narain }}$. In particular, its global structure is given by $\mathrm{O}(1,9, \mathbb{Z})$, the group of automorphisms of a Lorentzian even self-dual lattice. This group is reflexive, meaning that it can be generated by a finite set of Weyl reflections on the moduli space cover $\mathrm{O}(1,9, \mathbb{R}) / \mathrm{O}(9, \mathbb{R})$, each of which fixes an hyperplane at the boundary of the fundamental domain. Each one of these reflections is uniquely associated to a short root quantum state that becomes massless on its fixed hyperplane, such that all possible enhanced semisimple gauge groups of rank $r$ may be found at their $r$-fold intersections (for details see 34]).

The upshot is that given the set of 10 roots corresponding to the boundaries of $\mathcal{M}_{\mathrm{CHL}}$, we may simply impose that some of them satisfy the massless condition 3.1.37) (condition (3.1.38) is satisfied by construction), so that they become the simple roots of some simply laced gauge algebra. This can be done neatly by introducing the Generalized Dynkin Diagram (GDD) [31] for the lattice $\mathrm{I}_{1,9}$ shown in Figure 3.1, which is the over-extended Dynkin Diagram for $E_{8}$, usually denoted $E_{10}$. The roots 1 through 8 are the simple roots of $E_{8}$, and we take them to have the following embedding in $\mathrm{I}_{1,9}$

$$
\begin{equation*}
Z_{i}=\left|0,0 ; \alpha_{i}\right\rangle, \quad i=1, \ldots, 8, \tag{3.1.42}
\end{equation*}
$$

where the $\alpha_{i}$ are listed in Table 3.2. The root 0 corresponds to the lowest root of $\mathrm{E}_{8}$ with the additional property that it has $n=-1$, i.e.

$$
\begin{equation*}
Z_{0}=\left|0,-1 ; \alpha_{0}\right\rangle . \tag{3.1.43}
\end{equation*}
$$

Finally, the root C lies in the hyperbolic sublattice $\mathrm{II}_{1,1}$ and reads

$$
\begin{equation*}
Z_{\mathrm{C}}=|1,1 ; 0\rangle . \tag{3.1.44}
\end{equation*}
$$



Figure 3.1: Generalized Dynkin Diagram for the lattice $\mathrm{I}_{1,9}$. The coloring of the nodes 0 and $C$ reflects the fact the the associated states have nonzero momentum and/or winding, as opposed to the white nodes.

| $i$ | $\kappa_{i}$ | $\alpha_{i}$ | $w_{i}$ |
| :---: | :---: | :---: | :---: |
| 1 | 3 | $(1,-1,0,0,0,0,0,0)$ | $-\left(-\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{5}{2}\right)$ |
| 2 | 6 | $(0,1,-1,0,0,0,0,0)$ | $-(0,0,1,1,1,1,1,-5)$ |
| 3 | 5 | $(0,0,1,-1,0,0,0,0)$ | $-(0,0,0,1,1,1,1,-4)$ |
| 4 | 4 | $(0,0,0,1,-1,0,0,0)$ | $-(0,0,0,0,1,1,1,-3)$ |
| 5 | 3 | $(0,0,0,0,1,-1,0,0)$ | $-(0,0,0,0,0,1,1,-2)$ |
| 6 | 2 | $(0,0,0,0,0,1,-1,0)$ | $(0,0,0,0,0,0,-1,1)$ |
| 7 | 4 | $-(1,1,0,0,0,0,0,0)$ | $-\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{7}{2}\right)$ |
| 8 | 2 | $\left(\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right)$ | $(0,0,0,0,0,0,0,2)$ |
| 0 | 1 | $(0,0,0,0,0,0,1,-1)$ | $(0,0,0,0,0,0,0,0)$ |

Table 3.2: Simple roots $\alpha_{i}$, Kac marks $\kappa_{i}$ and fundamental weights $w_{i}$ of $\mathrm{E}_{8}$.

Maximally enhanced (rank 9) non-Abelian gauge groups are then found by deleting one node in the GDD such that the remaining nodes form the Dynkin diagram of an ADE algebra. Imposing the condition (3.1.37) on the roots associated to the remaining nodes gives rise to 9 constraints on the moduli and defines a singular point $(E, a)$ at the boundary of the fundamental domain with maximally enhanced gauge group. More generally, deleting $s$ nodes defines a subvariety of dimension $s-1$ with generic semisimple gauge group of rank $10-s$, given by the remaining Dynkin diagram.

Note that for maximal enhancements the node C cannot be broken, since the remaining
diagram corresponds to the infinite dimensional algebra $\mathrm{E}_{9}$. This means that all maximal enhancements must contain this node, and from equation (3.1.37) this implies that $E=2$. The massless condition then reduces to

$$
\begin{equation*}
a \cdot \rho=\ell-n . \tag{3.1.45}
\end{equation*}
$$

Deletion of the $i$ th node, $i=0, \ldots, 8$, corresponds to the Wilson line

$$
\begin{equation*}
a=\frac{1}{\kappa_{i}} w_{i}, \tag{3.1.46}
\end{equation*}
$$

with no sum over $i$, where $w_{i}$ and $\kappa_{i}$ are respectively the fundamental weight and Kac mark listed in Table 3.2. It is easy to show that this prescription exactly solves equation (3.1.45) for the remaining roots $Z_{j \neq i}$, while violating the one for $Z_{i}$ since $w_{i} \cdot \alpha_{i} / \kappa_{i} \notin \mathbb{Z}, i \neq 0$. In fact, these values for the Wilson line correspond to those for a shift vector breaking $\mathrm{E}_{8}$ to a maximal regular subgroup 36].

The maximal enhancements are listed in Table 3.3, where the subindex on the gauge group indicates that the world-sheet Kac-Moody algebra is realized at level 2. Moreover, note that the relation (3.1.41) is satisfied in all cases, since $E=2$.

| $i$ | Gauge group root lattice | $E$ | $-a$ |
| :---: | :---: | :---: | :---: |
| 1 | $\mathrm{~A}_{9}$ | 2 | $\left(-\frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6},-\frac{5}{6}\right)$ |
| 2 | $\mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{6}$ | 2 | $\left(0,0, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6}, \frac{1}{6},-\frac{5}{6}\right)$ |
| 3 | $\mathrm{~A}_{4}+\mathrm{A}_{5}$ | 2 | $\left(0,0,0, \frac{1}{5}, \frac{1}{5}, \frac{1}{5}, \frac{1}{5},-\frac{4}{5}\right)$ |
| 4 | $\mathrm{D}_{5}+\mathrm{A}_{4}$ | 2 | $\left(0,0,0,0, \frac{1}{4}, \frac{1}{4}, \frac{1}{4},-\frac{3}{4}\right)$ |
| 5 | $\mathrm{E}_{6}+\mathrm{A}_{3}$ | 2 | $\left(0,0,0,0,0, \frac{1}{3}, \frac{1}{3},-\frac{2}{3}\right)$ |
| 6 | $\mathrm{E}_{7}+\mathrm{A}_{2}$ | 2 | $\left(0,0,0,0,0,0, \frac{1}{2},-\frac{1}{2}\right)$ |
| 7 | $\mathrm{~A}_{1}+\mathrm{A}_{8}$ | 2 | $\left(\frac{1}{8}, \frac{1}{8}, \frac{1}{8}, \frac{1}{8}, \frac{1}{8}, \frac{1}{8}, \frac{1}{8},-\frac{7}{8}\right)$ |
| 8 | $\mathrm{D}_{9}$ | 2 | $(0,0,0,0,0,0,0,-1)$ |
| 0 | $\mathrm{E}_{8}+\mathrm{A}_{1}$ | 2 | $(0,0,0,0,0,0,0,0)$ |

Table 3.3: Maximal enhancements in the nine-dimensional theory, obtained by deleting the $i$ th node in the GDD shown in Figure 3.1. All groups arise at level 2. The Wilson line is always of the form $a=w_{i} / \kappa_{i}$ (cf. Table 3.2).

### 3.1.4 T-duality

The T-duality group of the nine-dimensional CHL string is $\mathrm{O}(1,9, \mathbb{Z})$, the automorphism group of $\mathrm{II}_{1,9}$. Of particular interest is the Weyl reflection, say $T$, generated by the root $Z_{\mathrm{C}}$, whose action on the moduli and the quantum numbers $\ell, n, \rho$ is

$$
\begin{equation*}
T: \quad E \leftrightarrow \frac{4}{E}, \quad a \leftrightarrow \frac{2 a}{E}, \quad \ell \leftrightarrow n, \quad \rho \leftrightarrow-\rho \tag{3.1.47}
\end{equation*}
$$

while $N_{L}^{\prime}$ is invariant. Note that this transformation is not inherited from the T-duality group of the parent theory on $S^{1}$, although it is analogous to the transformation $E \rightarrow 1 / E$ found there. In fact, in the $S^{1} / \mathbb{Z}_{2}$ orbifold some states in the untwisted sector are transformed under $T$ to states in the twisted sector. Twisted states with $\ell$ odd and $n$ even are mapped to untwisted states with $\ell$ even and $n$ odd (cf. Table 3.1), and vice versa. This mixing of the two sectors under T-duality was originally noted in 55 .

It can be shown that the partition function of the $S^{1} / \mathbb{Z}_{2}$ orbifold is invariant under $T$. One can also see explicitly how the mixing of untwisted and twisted states occurs at the level of the Hilbert space by taking into account the difference in the ground states and internal oscillators of the two sectors. As a simple example consider the twisted state with $\ell=1$, $n=0, \rho=r$, with $r$ a root of $\mathrm{E}_{8}$, and no left oscillators. Since T-duality preserves the norms of the momenta $p_{R}^{2}$ and $P_{L}^{2}$, it should also preserve the value of $N_{L}^{\prime}$ to leave the mass 3.1.26a) unaffected. In this case, $N_{L}^{\prime}=\frac{1}{2}$, and so the transformed untwisted state must have $p_{-}^{2}=1$ (cf. eq. (3.1.24)). It is not hard to see that it should take the form

$$
\begin{equation*}
\frac{1}{\sqrt{2}}(|0,1 ; r, 0\rangle-|0,1 ; 0, r\rangle) \tag{3.1.48}
\end{equation*}
$$

where the notation is that of Eq. (3.1.15).
The mapping is more complicated when oscillators are involved. Consider for instance the set of twisted states with charge vector $Z=|1,0 ; 0\rangle$ and $N_{L}^{\prime}=2$, i.e. $N_{L}=\frac{3}{2}$. The allowed combinations of oscillators along the eight directions $I$ that can act on $Z$ are

$$
\begin{equation*}
\alpha_{-,-\frac{1}{2}}^{I} \alpha_{-,-\frac{1}{2}}^{J} \alpha_{-,-\frac{1}{2}}^{K}, \quad \alpha_{+,-1}^{I} \alpha_{-,-\frac{1}{2}}^{J}, \quad \alpha_{-,-\frac{3}{2}}^{I}, \quad I, J, K=1, \ldots, 8, \tag{3.1.49}
\end{equation*}
$$

giving $120+64+8=192$ states. Their T-dual untwisted states, labelled by $\left|\ell, n ; \pi, \pi^{\prime}\right\rangle$, must have $\ell=0, n=1, \pi^{\prime}=-\pi$ since $\rho=0$, and they must also add up to 192 states. For the first 120 twisted states the T-duality is

$$
\begin{equation*}
\alpha_{-,-\frac{1}{2}}^{I} \alpha_{-,-\frac{1}{2}}^{J} \alpha_{-,-\frac{1}{2}}^{K},|1,0 ; 0,0\rangle \quad \leftrightarrow \quad \frac{1}{\sqrt{2}}(|0,1 ; r,-r\rangle-|0,1 ;-r, r\rangle), \tag{3.1.50}
\end{equation*}
$$

where $r$ is any of the 120 positive roots of $\mathrm{E}_{8}$ (the other 120 give the same states up to an
overall irrelevant sign). We see that $p_{-}^{2}=2 r^{2}=4$, hence $N_{L}^{\prime}=2$ as required.
For the remaining states the mapping reads

$$
\begin{align*}
\alpha_{+,-1}^{I} \alpha_{-,-\frac{1}{2}}^{J}|1,0 ; 0,0\rangle & \leftrightarrow \alpha_{+,-1}^{I} \alpha_{-,-1}^{J}|0,1 ; 0,0\rangle,  \tag{3.1.51}\\
\alpha_{-,-\frac{3}{2}}^{I},|1,0 ; 0,0\rangle & \leftrightarrow \alpha_{-,-2}^{I}|0,1 ; 0,0\rangle
\end{align*}
$$

Here we have used that in the untwisted sector the $\alpha_{-}^{I}$ oscillators have integer occupation number and under the orbifold action pick up a minus sign so that the full states are invariant.

### 3.2 The CHL string in $D$ dimensions

We now consider the more general setting of the CHL string in $D$ external dimensions, with $D \leq 9$. It is realized as an orbifold of heterotic compactifications on $T^{d}$ (with $d=10-D$ ), where the orbifold symmetry is again $g=$ RT (cf. eq. (3.1.3)), with T a half-turn around one of the cycles of $T^{d}$. We will choose this cycle to be along $x^{9}$, while the others remain unaffected.

### 3.2.1 Extending the nine-dimensional construction

The moduli of the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ heterotic string on $T^{d}$ are the torus metric $G_{i j}$, the antisymmetric tensor $B_{i j}$ and the Wilson lines $A_{i}$, where $i, j=1, \ldots, d$. Again, the Wilson lines have to be invariant under the R symmetry, which implies that they are of the form $A_{i}=\left(a_{i}, a_{i}\right)$. Generalizing (3.1.31), we define the moduli

$$
\begin{equation*}
E_{i j}=G_{i j}+B_{i j}+a_{i} \cdot a_{j}, \tag{3.2.1}
\end{equation*}
$$

and the quantum numbers

$$
\begin{equation*}
\ell^{i} \equiv 2 m^{i}, \quad n_{i}, \quad \rho^{I} \equiv \pi^{I}+\pi^{\prime I}, \tag{3.2.2}
\end{equation*}
$$

where $m^{i}$ and $n_{i}$ are the winding and momentum numbers along the $i$ th direction and $\pi^{I}, \pi^{\prime I}$ are the same as in (3.1.6). The momenta (3.1.9) are then generalized to

$$
\begin{align*}
& p_{R}=\frac{1}{\sqrt{2}}\left(n_{i}-\frac{1}{2} E_{i j} \ell^{j}-a_{i} \cdot \rho\right) \hat{e}^{i},  \tag{3.2.3a}\\
& p_{L}=\frac{1}{\sqrt{2}}\left(n_{i}+\left(G_{i j}-\frac{1}{2} E_{i j}\right) \ell^{j}-a_{i} \cdot \rho\right) \hat{e}^{i}=p_{R}+\frac{1}{\sqrt{2}} \ell^{i} e_{i},  \tag{3.2.3b}\\
& p_{+}=\frac{1}{\sqrt{2}}\left(\rho+\ell^{i} a_{i}\right),  \tag{3.2.3c}\\
& p_{-}=\frac{1}{\sqrt{2}}\left(\pi-\pi^{\prime}\right), \tag{3.2.3d}
\end{align*}
$$

where $e_{i}$ is the vielbein for the torus metric, i.e. $e_{i} \cdot e_{j}=G_{i j}$, and $\hat{e}^{i}$ its inverse.
The construction of the spectrum in section 3.1 carries over with some differences. Basically, the $i=1$ direction behaves as in the nine-dimensional case, while the other directions $i \geq 2$ behave as in the usual $T^{d}$ compactification. In particular, the charge vectors

$$
\begin{equation*}
Z \equiv\left|\ell^{1}, \ldots, \ell^{d}, n_{1}, \ldots, n_{d} ; \rho\right\rangle \tag{3.2.4}
\end{equation*}
$$

have $\ell^{1}$ odd (even) for twisted (untwisted) states, but $\ell^{2}, \ldots, \ell^{d}$ are always even, while in general, $n_{1}, \ldots, n_{d} \in \mathbb{Z}$ and $\rho \in \Gamma_{8}$.

The Lorentzian metric (3.1.32) generalizes to

$$
\eta=\left(\begin{array}{ccc}
0 & \mathbb{1}_{d} & 0  \tag{3.2.5}\\
\mathbb{1}_{d} & 0 & 0 \\
0 & 0 & \mathbb{1}_{8}
\end{array}\right)
$$

and, together with the allowed values for the quantum numbers, already suggests that the vectors $Z$ span the lattice

$$
\begin{equation*}
\mathrm{I}_{(d)} \simeq \mathrm{II}_{d-1, d-1}(2) \oplus \mathrm{I}_{1,9} . \tag{3.2.6}
\end{equation*}
$$

The (2) at the right of $\mathrm{I}_{d-1, d-1} \simeq \bigoplus^{d-1} \mathrm{I}_{1,1}$ means that the norm squared of its vectors is scaled by a factor of 2 , in this case due to $\ell^{2}, \ldots, \ell^{d}$ always being even. This is in agreement with 55], where these lattices were initially introduced. We therefore refer to $\mathrm{II}_{(d)}$ in this context as the Mikhailov lattice. This is the analog of the Narain lattice $\mathrm{I}_{d, d+16}$, but with the important difference that it is not self-dual (except for the $d=1$ case reviewed in section 3.1).

The left moving sector of the theory now includes $d$ abelian KK gauge vectors like (3.1.35), so that the gauge group is of rank $8+d$. A generic point in the moduli space has gauge group $\mathrm{U}(1)^{d+8}$, but at special points this group is enhanced. The novel feature for $d>1$ compactifications is that states with $Z^{2}=4$ can become massless and certain enhanced gauge
groups are not simply laced, as we now show.
The zero mass and level matching conditions generalizing (3.1.37) and (3.1.38) are

$$
\begin{gather*}
\frac{1}{2} E_{i j} \ell^{j}-n_{i}+a_{i} \cdot \rho=0, \quad i=1, \ldots, d,  \tag{3.2.7}\\
Z^{2}=2 \ell^{i} n_{i}+\rho^{2}=4 \text { or } 2 . \tag{3.2.8}
\end{gather*}
$$

Let us take for the moment $d=2$. An untwisted state with $Z^{2}=4$ has $n_{1}$ even and $\rho^{I}=2 \pi^{I}$. Substituting in (3.2.8) gives $2 \ell^{1} n_{1}+2 \ell^{2} n_{2}+\rho^{2}=2 \ell^{2} n_{2}+4 q+4 \pi^{2}=4$, with $q$ even, but in contrast to the situation in $d=1$, it can be solved by an appropriate choice of $\ell^{2}$ and $n_{2}$. Indeed, the product $\ell^{2} n_{2}$ can be any even number, say $2 p$ with $p \in \mathbb{Z}$. Then (3.2.8) reduces to $\pi^{2}=1-q-p$, which admits solutions in $\mathrm{II}_{(2)}$ if $p$ is odd. These states give rise to $\mathrm{C}_{n}$ gauge algebras at level 1 , where they play the role of long roots when $n \geq 2\left(\mathrm{C}_{1}=\mathrm{A}_{1}\right)$. For $d \geq 3$ there are more possibilities such as $\mathrm{B}_{n}$ and $\mathrm{F}_{4}$ algebras. In Table 3.4 we record the values of the quantum numbers that massless states can have for $d \geq 2$, together with the squared length $Z^{2}$ of the charge vector.

|  | twisted | untwisted |  |
| :---: | :---: | :---: | :---: |
| $Z^{2}$ | 2 | 2 | 4 |
| $\ell^{1}$ | odd | even | even |
| $n_{1}$ | integer | integer | even |
| $\ell^{i}$ | even | even | even |
| $n_{i}$ | integer | integer | integer |
| $\rho$ | $\Gamma_{8}$ | $\Gamma_{8}$ | $2 \Gamma_{8}$ |

Table 3.4: Quantum numbers of the massless states in the twisted and untwisted sector. The index $i>1$ corresponds to further compactifications of the nine-dimensional theory. States with $Z^{2}=4$ can only be massless in $D<9$ dimensions. The states must satisfy (3.2.7) to be massless.

### 3.2.2 Generalized Dynkin Diagrams

As in $T^{d}$ compactifications of the heterotic string, there does not seem to exist a GDD for $d>1$ from which one can extract all possible enhancements. One obstruction to obtaining such a GDD is that the group of automorphisms for the Mikhailov lattice, similarly to the Narain lattice, is not generated by simple reflections when $d>1$. In Chapter 2 we found that indeed there are many possible GDDs in $T^{2}$ heterotic compactifications, each of which provides partial information about the possible gauge symmetry ehnancements in the theory. Here we extend this construction to the CHL string, where the story is similar.

The simplest kind of GDD that can be constructed is just the $\mathrm{GDD}^{\text {of }} \mathrm{II}_{1,9} \subset \mathrm{II}_{(d)}$ together
with some nodes representing vectors with $Z^{2}=4$ in its orthogonal complement $\mathrm{II}_{d-1, d-1}(2)$. The maximal enhancements that can be read from these diagrams are those of the form

$$
\begin{equation*}
\left(\mathrm{G}_{9}\right)_{2}+\left(\hat{\mathrm{G}}_{d-1}\right)_{1} \tag{3.2.9}
\end{equation*}
$$

where $\mathrm{G}_{9}$ is any maximal rank algebra of the $d=1$ theory at level 2 , and $\hat{\mathrm{G}}_{d-1}$ is any rank $d-1$ algebra of ADE type at level 1 . The roots of $\mathrm{G}_{9}$ are the same as before, with $\ell^{2}=\ldots=\ell^{d}=n_{2}=\ldots=n_{d}=0$, and the roots of $\hat{\mathrm{G}}_{d-1}$ have $\ell^{1}=n_{1}=\rho^{I}=0$.

For $d=2$, there is only one such diagram, shown in Figure 3.2, giving maximal enhancements of the form $\left(\mathrm{G}_{9}\right)_{2}+\left(\mathrm{A}_{1}\right)_{1}$. The extra vector is

$$
\begin{equation*}
Z_{\mathrm{C}_{2}}=|0,2,0,1 ; 0\rangle, \tag{3.2.10}
\end{equation*}
$$

and the moduli for the enhancements are found by constraining them with equation (3.2.7). The results are straightforward generalizations of those in Table 3.3, with $E_{i j}=\operatorname{diag}(2,1)$, $a_{1}=a, a_{2}=0$, and an extra $\left(\mathrm{A}_{1}\right)_{1}$ factor in every algebra.


Figure 3.2: Generalized Dynkin diagram giving enhancements $\left(\mathrm{G}_{9}\right)_{2}+\left(\mathrm{A}_{1}\right)_{1}$. Green (blue) coloring means that the state has nontrivial momentum number and/or winding only along direction 1 (2). The double border of the $\mathrm{C}_{2}$ node indicates that it corresponds to a vector with $Z^{2}=4$.

Although the GDD just constructed may seem trivial, it serves as a starting point for a much more interesting one. Simply make the replacement

$$
\begin{equation*}
Z_{\mathrm{C}_{2}} \rightarrow Z_{\mathrm{C}_{2}^{\prime}}=|2,2,0,1 ; 0\rangle . \tag{3.2.11}
\end{equation*}
$$

This new vector has nonzero inner product with $Z_{\mathrm{C}_{1}}$ such that the resulting Dynkin diagram is the one shown in Figure 3.3. The first thing to note is that for maximal enhancements, neither of the nodes $\mathrm{C}_{1}$ and $\mathrm{C}_{2}^{\prime}$ can be removed. This means that there will always be some non- ADE factor $\mathrm{C}_{n}$ in the resulting gauge algebra, and that $E_{i j}$ will always take the value

$$
E_{i j}=\left(\begin{array}{cc}
2 & -2  \tag{3.2.12}\\
0 & 1
\end{array}\right)
$$

as can be seen by substituting $Z_{\mathrm{C}_{1}}$ and $Z_{\mathrm{C}_{2}^{\prime}}$ in 3.2.7). Moreover note that removing node 8 does not lead to a valid Dynkin diagram, i.e. a finite-dimensional semisimple Lie algebra. This is consistent with the fact that the predicted moduli for such a point has torus metric with negative determinant, as one can easily check. The valid maximal enhancements given by this diagram can be read off from Table $3.3(i \neq 8)$ by taking $E_{i j}=\left(\begin{array}{cc}2 & -2 \\ 0 & 1\end{array}\right), a_{1}=a, a_{2}=0$ and replacing the rightmost $\left(\mathrm{A}_{n}\right)_{2}$ factor by $\left(\mathrm{C}_{n+1}\right)_{1}$.


Figure 3.3: Generalized Dynkin diagram for $d=2$ theories with enhancements to algebras with $\mathrm{C}_{n}$ factor. It is obtained from the GDD in figure 3.2 by replacing the node $\mathrm{C}_{2}$ with $\mathrm{C}_{2}^{\prime}$ as shown in (3.2.11). Yellow coloring means that the state has nontrivial momentum number and/or winding along directions 1 and 2.

Another interesting possibility is to change the winding of the state associated to node 0 ,

$$
\begin{equation*}
Z_{0}=\left|0,0,-1,0 ; \alpha_{0}\right\rangle \rightarrow Z_{0^{\prime}}=\left|0,0,0,-2 ; \alpha_{0}\right\rangle, \tag{3.2.13}
\end{equation*}
$$

and switch back $Z_{\mathrm{C}_{2}^{\prime}} \rightarrow Z_{\mathrm{C}_{2}}$. This results in the diagram shown in Figure 3.4, and the corresponding maximal enhancements can be read from Table $3.3(i \neq 8)$ by taking $E_{i j}=$ $\operatorname{diag}(2,1), a=0, a=a_{2}$, and replacing the rightmost $\left(\mathrm{A}_{n}\right)_{2}$ factor by $\left(\mathrm{A}_{1}\right)_{2}+\left(\mathrm{C}_{n}\right)_{1}$. We see that the breaking of $\mathrm{E}_{8}$ is now done by the second Wilson line $a_{2}$ and not $a_{1}$. These enhancements are complementary to those of the GDD in Figure 3.3, as they are still limited to having a $\mathrm{C}_{n}$ factor (except for the trivial case with $a_{i}=0$ ). In fact, to obtain other non-ADE factors such as $\mathrm{F}_{4}$ or $\mathrm{B}_{n}$ with $n>2$ (recall that $\mathrm{B}_{2} \simeq \mathrm{C}_{2}$ ), we must go to compactifications to dimensions lower than 8 .


Figure 3.4: Generalized Dynkin diagram for $d=2$ theories, obtained by replacing the node 0 by $0^{\prime}$ as shown in (3.2.13).

To obtain $\mathrm{F}_{4}$ and $\mathrm{B}_{3}$, for example, simply go to 7 dimensions and add one node $\mathrm{C}_{3}$ to the diagram in Figure 3.3, such that it has one link with $\mathrm{C}_{2}$. Deleting node 6 then yields $\left(\mathrm{E}_{7}\right)_{2}+\left(\mathrm{F}_{4}\right)_{1}$, while deleting node 0 yields $\left(\mathrm{E}_{8}\right)_{2}+\left(\mathrm{B}_{3}\right)_{1}$. Note that the algebra $\mathrm{G}_{2}$ is absent in the theory, since there are no massless states with $Z^{2}=6$ regardless of the number of compactified dimensions.

It is possible to construct many other GDDs, as we have done for $T^{2}$ heterotic compactifications in Chapter 2. However, it is not guaranteed that doing so will produce all possible enhancements. Indeed, we lack a complete understanding of the significance of these diagrams yet. To get a more exhaustive list of enhancements we turn to the so-called exploration algorithm, which we present in the next section.

### 3.3 Exploring the moduli space

In Chapter 2 we developed an algorithm for $T^{d}$ compactifications which, starting from a point $p_{0}$ of the moduli space corresponding to a (semisimple) gauge group of maximal rank $r_{\max }=d+16$, gives a set of new points of maximal enhancement. Heuristically, it searches for maximal enhancement points which are connected to $p_{0}$ through some variety with generic gauge group of rank $r_{\max }-1$. In the case of $S^{1}$ and $T^{2}$ compactifications, this algorithm was proven to be exhaustive by comparing with previous results [34,56].

For the present investigation we have modified this algorithm in order to apply it to the CHL compactifications. This is required by the technicalities of working with Mikhailov lattices as opposed to Narain lattices, specially for compactifications to spacetime dimensions lower than nine, where non-ADE root lattices appear.

In the following section we explain the methods used in our algorithm and illustrate them with an explicit example. We then present the maximal enhancements generated by iterating this procedure, collecting the final results in table 3.7 of section 3.3.4.

### 3.3.1 Exploration algorithm

The purpose of our algorithm is to take as input some point $p_{0}$ of maximal enhancement and return a list of other such points $p_{k}$ related to $p_{0}$ in some specific, controllable way. To this end, it is best to specify $p_{0}$ not by its moduli, but by its root lattice $L_{0}$ via some generating matrix (in general, by generating matrix we mean a matrix whose rows are a basis for some lattice) of simple roots embedded in the Mikhailov lattice. Both sets of data are equivalent as one can recover one from the other using equations (3.2.7) and (3.2.8). However, the lattice $L_{0}$ is more amenable to discrete operations, which we now describe.

Consider the $(10-d)$-dimensional $(d \geq 1)$ CHL string at a point $p_{0}$ in moduli space specified by a set of $d+8$ simple roots with quantum numbers $\ell^{i}, n_{i}$ and $\rho$. Substituting each
one of them in (3.2.7) gives $d$ real constraints on the $d \times(d+8)$ moduli. It follows that deletion of some simple root $r_{0}$ defines a $d$-dimensional subvariety in moduli space which contains $p_{0}$. Generically, this subvariety contains many more maximal enhancement points $p_{k}$, each one corresponding to a distinct simple root $r_{k}$ replacing $r_{0}, r_{k} \neq r_{0}$. It is in this sense that the $p_{k}$ are neighbors of $p_{0}$. To generate such a root $r_{k}$ we solve a system of equations stating that $r_{k}$ must have inner product $0,-1$ or -2 with all other roots, its squared length must be 2 or 4 and it must be embedded in the Mikhailov lattice $\mathrm{II}_{(d)}$ in accordance with Table 3.4 .

In order to make sure that the root lattice obtained by replacing $r_{0} \rightarrow r_{k}$ corresponds to the gauge group $G_{k}$ at $p_{k}$, we have to take care of an ambiguity in the relation between the moduli of $p_{k}$ and the root lattice $L_{k} \equiv L$ of $G_{k}$. Even though the embedding of $L$ in $\mathrm{II}_{(d)}$ specifies the moduli via the constraints mentioned above, it is also true that any sublattice $L^{\prime} \subseteq L$ with $\operatorname{rank}\left(L^{\prime}\right)=\operatorname{rank}(L)$ will give the same moduli. When we replace $r_{0} \rightarrow r_{k}$ there is therefore the possibility that the lattice obtained will not be $L$ but some $L^{\prime}$. This ambiguity is eliminated if we implement a procedure, which we explain below, that takes $L^{\prime}$ and returns $L$ by adding the missing roots. This adding of roots will be referred to as a saturation of $L^{\prime}$ to $L$.

To saturate $L^{\prime}$ we recall that all of its even overlattices are contained in the dual lattice $L^{\prime *}$, so that in particular $L^{\prime} \subseteq L \subseteq L^{\prime *}$. It suffices then to compute the vectors dual to $L^{\prime}$, select those which correspond to roots embedded in $\mathrm{I}_{(d)}$ and add them to $L^{\prime}$. In practice this is done by iterating an algorithm which replaces one root vector in the generating matrix for $L^{\prime}$ such that det $L^{\prime}$ gets smaller (indicating that $L^{\prime}$ has been extended) and is still embedded in $\mathrm{II}_{(d)}$. When all attempts to do this leave the determinant of the lattice invariant, $L^{\prime}$ has been saturated to the true root lattice $L$ at $p_{k}$.

### 3.3.2 Example

To illustrate this procedure we first consider an exploration of the neighborhood of the point in moduli space corresponding to eight dimensional CHL with gauge algebra $\left(\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{D}_{6}\right)_{2}$ given by the moduli

$$
E_{i j}=\left(\begin{array}{ll}
2 & 0  \tag{3.3.1}\\
0 & 1
\end{array}\right), \quad a_{1}=\left(0^{7}, 1\right), \quad a_{2}=\left(0^{3},-\frac{1}{2}^{4}, \frac{1}{2}\right) .
$$

The root lattice $L_{0}$ is generated by the rows $\left(\ell^{1}, \ell^{2}, n_{1}, n_{2} ; \rho\right)$ of the $10 \times 12$ matrix

$$
\mathcal{G}_{0}=\left[\begin{array}{cccccccccccc}
1 & 2 & -1 & -1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & -2  \tag{3.3.2}\\
0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & -1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\
1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\
-1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & -1 & 0 & 0 \\
0 & 0 & 0 & 1 & 0 & 0 & 0 & -1 & -1 & 0 & 0 & 0 \\
1 & 0 & -1 & -1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -2
\end{array}\right],
$$

from which the gauge algebra is read by computing its Cartan matrix $\mathcal{G}_{0} \eta \mathcal{G}_{0}^{T}$, with $\eta$ given in (3.2.5). Note also that $\mathcal{G}_{0}$ is not a square matrix due to the fact that it gives an embedding of a rank 10 lattice into the rank 12 lattice $\mathrm{II}_{(2)}$. We have chosen this particular vacuum because, as we explain below, it neighbors another vacuum with globally non-trivial gauge group. To obtain it we have applied the algorithm described here to another vacuum which can be obtained from the GDD construction explained in section 3.2.2.

Starting from $\mathcal{G}_{0}$, one of the paths that our algorithm will follow is to remove, for example, the 6 th row. This breaks $\left(\mathrm{D}_{6}\right)_{2} \rightarrow\left(2 \mathrm{~A}_{1}+\mathrm{A}_{3}\right)_{2}$ and eliminates two real constraints on the moduli (cf. eq. 3.2 .7 ) ), which taking into account the remaining $20-2=18$ constraints read

$$
E_{i j}=\left(\begin{array}{ll}
2 & x  \tag{3.3.3}\\
0 & y
\end{array}\right), \quad a_{1}=\left(0_{3}, x,(-x)_{3}, 1\right), \quad a_{2}=\left(0_{3}, y-\frac{3}{2},\left(\frac{1}{2}-y\right)_{3}, \frac{1}{2}\right)
$$

with the subindex 3 meaning that the quantity is repeated 3 times. In other words, the moduli are now constrained to a plane $(x, y)$ with generic gauge algebra $\left(3 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}\right)_{2}$. Our algorithm will now generate a new simple root $\alpha$ by picking out a solution to the set of equations

$$
\begin{cases}\mathcal{G}_{0, m n} \alpha_{n}=k_{m}, & k_{m} \in\{0,-1,-2\},  \tag{3.3.4}\\ \alpha^{2}=N, & N \in\{2,4\},\end{cases}
$$

where $\alpha=\left(\ell^{i}, n_{i} ; \rho\right)$ is constrained to lie in $\mathrm{II}_{(2)}$, meaning that $\ell^{1}, n_{1}, n_{2} \in \mathbb{Z}, \ell^{2} \in 2 \mathbb{Z}$ and $\rho \in \Gamma_{8}$. One possible solution with $N=4$ is

$$
\alpha=\left[\begin{array}{llllllllllll}
0 & 2 & -2 & -3 & 0 & 0 & 0 & 0 & 2 & 2 & 2 & -2 \tag{3.3.5}
\end{array}\right] .
$$

The new matrix $\mathcal{G}_{1}$ resulting from this exchange of roots ( $\alpha$ is now in the 6 th row) is seen to generate the root lattice $L_{1}$ corresponding to the gauge algebra $\left(2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}\right)_{2}+\left(\mathrm{C}_{2}\right)_{1}$ and the
moduli are fixed to

$$
E_{i j}=\left(\begin{array}{cc}
2 & 0  \tag{3.3.6}\\
0 & \frac{5}{4}
\end{array}\right), \quad a_{1}=\left(0_{7}, 1\right), \quad a_{2}=\left(0_{3},-\frac{1}{4},\left(-\frac{3}{4}\right)_{3}, \frac{1}{2}\right) .
$$

To check that $L_{1}$ contains all the solutions to equations (3.2.7) and (3.2.8), our algorithm calculates the generating matrix $\mathcal{G}_{1}^{*}$ for the dual lattice $L_{1}^{*}$ :

$$
\mathcal{G}_{1}^{*}=\left[\begin{array}{cccccccccccc}
\frac{1}{2} & 1 & -\frac{1}{2} & -\frac{1}{2} & 0 & 0 & 0 & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & \frac{1}{2} & -1  \tag{3.3.7}\\
0 & 0 & 0 & 0 & \frac{1}{2} & -\frac{1}{2} & -\frac{1}{2} & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & 0 & 0 & -1 & 0 & 0 & 0 & 0 & 0 \\
0 & 0 & 0 & 0 & -\frac{1}{2} & -\frac{1}{2} & -\frac{1}{2} & 0 & 0 & 0 & 0 & 0 \\
1 & 1 & 0 & -\frac{3}{2} & 0 & 0 & 0 & 0 & 1 & 1 & 1 & -1 \\
\frac{1}{2} & 1 & -\frac{1}{2} & -\frac{3}{2} & 0 & 0 & 0 & 0 & 1 & 1 & 1 & -1 \\
0 & 0 & 0 & \frac{1}{4} & 0 & 0 & 0 & -\frac{1}{4} & \frac{1}{4} & \frac{1}{4} & -\frac{3}{4} & 0 \\
0 & 0 & 0 & \frac{1}{2} & 0 & 0 & 0 & -\frac{1}{2} & \frac{1}{2} & -\frac{1}{2} & -\frac{1}{2} & 0 \\
0 & 0 & \frac{3}{4} & 0 & 0 & 0 & 0 & -\frac{3}{4} & -\frac{1}{4} & -\frac{1}{4} & -\frac{1}{4} & 0 \\
\frac{1}{2} & 0 & -\frac{1}{2} & -\frac{1}{2} & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1
\end{array}\right] .
$$

It then constructs generic integer linear combinations of the rows corresponding to roots lying in $\mathrm{II}_{(2)}$ and adds them to $L_{1}$ by replacing one of the rows of $\mathcal{G}_{1}$. This is done in an exhaustive way, but in this particular case no such replacement decreases the determinant of $\mathcal{G}_{1}$, hence $L_{1}$ is saturated. This means that the gauge algebra at this point in moduli space is indeed $\left(2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}\right)_{2}+\left(\mathrm{C}_{2}\right)_{1}$.

### 3.3.3 Matter states and global data

There are two other sets of data of importance that can be obtained by our methods, namely the matter states in the lowest massive level associated to fundamental representations of the gauge group $G$, and the global structure of $G$, i.e. the fundamental group $\pi_{1}(G)$. Both of these problems involve finding overlattices of root lattices which are primitively embedded in the momentum lattice $\mathrm{I}_{(d)}$ or its dual $\mathrm{I}_{(d)}^{*}$, as we now explain.

## Computing the overlattice

By primitively embedded overlattice we mean the intersection of the real span of the root lattice, $L \otimes \mathbb{R}$, and the momentum lattice $\mathrm{I}_{(d)}$ in the ambient space $\mathbb{R}^{d+8, d}$. In terms of the momenta $p_{L, R}$ this means all vectors which satisfy the constraint $p_{R}=0$ but $p_{L}$ is unconstrained. Generally such an overlattice $M$ corresponds to an extension of $L$ by a set of fundamental weights $\left\{\mu, \mu^{\prime}, \ldots\right\}$, and the quotient $M / L$ can be put in correspondence with a subgroup $K$ of the center of the universal cover $\tilde{G}$ of $G$, denoted $Z(\tilde{G})$ (cf. Table 3.5). It follows that the overlattice data can be encoded in the generators $\left\{k, k^{\prime}, \ldots\right\}$ of $K$.

| $\tilde{G}$ | $Z(\tilde{G})$ |
| :---: | :---: |
| $\mathrm{SU}(n+1)$ | $\mathbb{Z}_{n+1}$ |
| $\operatorname{Spin}(2 n+1), \operatorname{Sp}(2 n), \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ |
| $\mathrm{E}_{6}$ | $\mathbb{Z}_{3}$ |
| $\operatorname{Spin}(4 n+2)$ | $\mathbb{Z}_{4}$ |
| $\operatorname{Spin}(4 n)$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ |
| $\mathrm{E}_{8}, \mathrm{~F}_{4}, \mathrm{G}_{2}$ | $\mathbb{1}$ |

Table 3.5: Center $Z(\tilde{G})$ of compact connected simple groups $\tilde{G}$.

Computing the weight vectors $\mu_{i}$ can be done by a slight generalization of the saturation algorithm described at the end of section 3.3.1. Indeed, what it basically does is a computation of an overlattice of $L$ which is also a root lattice. By relaxing this last constraint, the same algorithm can be used to compute $M$. Returning to the example of section 3.3.2, we apply this algorithm and find that $L$ can be extended to an overlattice $M$ in $\mathrm{II}_{(2)}$ by adding the weight vector

$$
\begin{equation*}
\mu=|2,2,-1,-2 ; 0,0,-1,0,2,1,1,-3\rangle \tag{3.3.8}
\end{equation*}
$$

In other words, the vector $\mu$ satisfies $p_{R}=0$ (cf. eq. (3.2.7) ) with the moduli given in (3.3.6), but is not in $L$. Determining the precise $K \subset Z(\tilde{G})$ now amounts to determining the element in $Z(\tilde{G})$ to which $\mu$ corresponds. To do this we recall that

$$
\begin{equation*}
Z(\tilde{G})=\Lambda_{\text {weight }} / \Lambda_{\text {root }} \tag{3.3.9}
\end{equation*}
$$

where $\Lambda_{\text {weight }}$ is the weight lattice, which in particular contains $M$, and $\Lambda_{\text {root }}=L$. The weight $\mu$ together with all its $L$-translations constitutes an equivalence class $[\mu] \in Z(\tilde{G})$.

In general, for $\tilde{G}$ a semisimple group with $s$ simple factors, $Z(\tilde{G})$ is a product of $s+t$ cyclic groups,

$$
\begin{equation*}
Z(\tilde{G})=\mathbb{Z}_{p_{1}} \times \cdots \times \mathbb{Z}_{p_{s+t}} \tag{3.3.10}
\end{equation*}
$$

where $t$ is the number of $D_{2 n}$ factors since they contribute each a $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ group (see Table 3.5). Any element of $Z(\tilde{G})$ can therefore be written as a tuple

$$
\begin{equation*}
k=\left(k_{1}, \ldots, k_{s+t}\right), \tag{3.3.11}
\end{equation*}
$$

where $k_{i} \sim k_{i}+p_{i}$, and the ordering of the $k_{i}$ 's is appropriately specified in each case. In our example, we have

$$
\begin{equation*}
\tilde{G}=\operatorname{SU}(2)^{2} \times \mathrm{SU}(4)^{2} \times \operatorname{Sp}(2), \quad Z(\tilde{G})=\mathbb{Z}_{2}^{2} \times \mathbb{Z}_{4}^{2} \times \mathbb{Z}_{2} \tag{3.3.12}
\end{equation*}
$$

and each central element is of the form

$$
\begin{equation*}
k=\left(k_{1}, k_{2}, k_{3}, k_{4}, k_{5}\right) \quad \bmod (2,2,4,4,2) . \tag{3.3.13}
\end{equation*}
$$

To determine which equivalence class $k$ contains the weight vector $\mu$, we first note that each possible $k$ can be put in correspondence with a combination of fundamental weights of $\tilde{G}$. If for example one looks at the fundamental weights $w_{i}$ of $\operatorname{SU}(n)$, one finds that $\left[w_{i}\right]=i \in \mathbb{Z}_{n}$ (up to the outer automorphism of $\mathrm{SU}(n)$ which maps $i \rightarrow-i \bmod n$ ). For $\operatorname{Sp}(2)$, the only non trivial element of the center contains the weight corresponding to the short simple root (or equivalently the spinor class in $\operatorname{Spin}(5)=\operatorname{Sp}(2)$ ). Using these facts one finds that the $\mu$ given in (3.3.8) is contained in

$$
\begin{equation*}
k=(1,1,2,2,1) . \tag{3.3.14}
\end{equation*}
$$

To verify this, one can compute the fundamental weights (labeled by i) $w_{j, i}$ of each simple factor (labeled by $j$ ) and check that the vector

$$
\begin{equation*}
w_{1,1}+w_{2,1}+w_{3,2}+w_{4,2}+w_{5,1} \tag{3.3.15}
\end{equation*}
$$

can be translated by roots in $L$ to the given $\mu$. Keep in mind that these calculations are performed with respect to the particular embedding of $L$ and $M$ in $\mathrm{I}_{(2)}$.

Having determined the explicit form of $k=[\mu] \in Z(\tilde{G})$, we immediately find that $K=\mathbb{Z}_{2}$, since $2 k=(2,2,4,4,2)=(0,0,0,0,0)$, i.e. $k$ is an order 2 element. Moreover, it is uniquely in correspondence with the fundamental representation $(\mathbf{2}, \mathbf{2}, \mathbf{6}, \mathbf{6}, \mathbf{4})$ of $\tilde{G}$. Indeed, one can explicitly find all the states which form this representation with mass $M^{2}=4$. It suffices to construct such a state from the weight vector (3.3.8) and act on it with the Weyl group of the enhanced gauge group, which is a subset of the subgroup of T-dualities that leave the moduli invariant. In this way all the states forming the corresponding representation of $\tilde{G}$ are obtained.

## Computing the fundamental group

As explained in [57] (see also [55]) the fundamental group of $G$ can be computed as the quotient $M^{\vee} / L^{\vee}$, where $L^{\vee}$ and $M^{\vee}$ are respectively the coroot lattice and the cocharacter lattice of $G$. For every $G, L^{\vee}$ is embedded in the dual Mikhailov lattice $\mathrm{I}_{(d)}^{*}(2)$, where the (2) means that it is also rescaled by a factor of $\sqrt{2}$ to make it even, and $M^{\vee}$ corresponds to its overlattice primitively embedded in $\mathrm{I}_{(d)}^{*}(2)$. In practice this means that to compute the fundamental groups we need to find embeddings of the lattices $L^{\vee}$ in the dual Mikhailov lattice and then apply the procedure explained before to get the respective $M^{\vee}$.

Even though the exploration algorithm was designed to find points of maximal symmetry
enhancement in moduli space, it can be considered on its own as an algorithm for finding embeddings of lattices into other lattices. For this reason it can be used also to compute all possible root lattices in $\mathrm{I}_{(d)}^{*}(2)$. This is due to the fact that the data that we manipulate through this algorithm corresponds to the lattice vectors themselves and not the moduli or the momenta. A point that has to be made clear however is that the condition for a vector in the lattice to be a root is that it is of norm 2 , or that it is of norm 4 and furthermore has even inner product with all other vectors in the lattice. This is the statement which generalizes the conditions for massless states shown in Table 3.4 to any basis for the momentum lattice that we choose. It applies both to $\mathrm{II}_{(d)}$ and $\mathrm{II}_{(d)}^{*}(2)$.

In eight dimensions, for example, we have

$$
\begin{equation*}
\mathrm{II}_{(2)}=\mathrm{II}_{1,1}(2) \oplus \mathrm{II}_{1,1} \oplus \mathrm{E}_{8} \quad \Rightarrow \quad \mathrm{II}_{(2)}^{*}(2)=\mathrm{II}_{1,1} \oplus \mathrm{II}_{1,1}(2) \oplus \mathrm{E}_{8}(2) . \tag{3.3.16}
\end{equation*}
$$

We can take as a starting point for the exploration the root lattice of, say, $\mathrm{B}_{10}$, which can be constructed by hand and is expected to embed into $\mathrm{II}_{(2)}^{*}(2)$ since it is the coroot lattice of $\mathrm{C}_{10}$ which embeds into $\mathrm{I}_{(2)}$. After a few steps, the algorithm produces a list of root lattices which correspond exactly to the coroot lattices of the gauge algebras found by exploring the original lattice $\mathrm{II}_{(2)}$. In particular, we find the root lattice

$$
\begin{equation*}
L=2 \mathrm{~A}_{1}(2) \oplus 2 \mathrm{~A}_{3}(2) \oplus \mathrm{B}_{2}, \tag{3.3.17}
\end{equation*}
$$

which corresponds to the coroot lattice $L^{\vee}$ of the model used in the examples of Sections 3.3 .2 and 3.3.3. One may apply exactly the same procedure of the last section to compute its overlattice and the subgroup of $Z\left(\tilde{G}^{\vee}\right)$ to which it corresponds, where $\tilde{G}^{\vee}$ is the simply connected gauge group with root lattice in (3.3.17). Since this subgroup coincides with $M^{\vee} / L^{\vee}$, its generators $k_{i}$ give precisely the fundamental group $\pi_{1}(G) \subset Z(\tilde{G}) \simeq Z\left(\tilde{G}^{\vee}\right)$, which we refer to as $H$, i.e. $G=\tilde{G} / H$. In this case, we find two generators

$$
\begin{equation*}
k=(0,1,0,2,1), \quad k^{\prime}=(1,0,2,0,1) \tag{3.3.18}
\end{equation*}
$$

of order 2 , so that $H=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$, and the gauge group is

$$
\begin{equation*}
G=\frac{\mathrm{SU}(2)^{2} \times \mathrm{SU}(4)^{2} \times \operatorname{Spin}(5)}{\mathbb{Z}_{2} \times \mathbb{Z}_{2}} \tag{3.3.19}
\end{equation*}
$$

This result is in agreement with that of [57].

## Anomaly for center symmetries

It has been shown in [58] that in order for an $8 \mathrm{~d} \mathcal{N}=1$ supergravity theory with global gauge group $G=\tilde{G} / H$ to be consistent, the following condition must be satisfied:

$$
\begin{equation*}
\sum_{i=1}^{s} \alpha_{\tilde{G}_{i}} m_{i} k_{i}^{2}=0 \quad \bmod 1 \tag{3.3.20}
\end{equation*}
$$

where $\tilde{G}_{i}$ are the $s$ simple factors in $\tilde{G}, \alpha_{\tilde{G}_{i}}$ are the conformal dimensions of the Kac-Moody representations which generate the center [59], $m_{i}$ are free parameters in the supergravity theory and $k=\left(k_{1}, \ldots, k_{s}\right)$ is the generator of $H \in Z(\tilde{G})$. This condition ensures that the $H$ center symmetry is free of anomalies. In the string theory whose low energy limit corresponds to this supergravity theory, $m_{i}$ are the levels of the world-sheet current algebra of $\tilde{G}_{i}$. It can be shown in general that (3.3.20) is satisfied by construction for all $G=\tilde{G} / H$ obtained from the heterotic string on $T^{2}$ and the 8d CHL string [57]. Here we give a brief alternative proof for this fact in the $T^{2}$ case, and comment briefly on the CHL case.

The fact that the gauge groups that arise from the Narain lattice $I_{2,18}$ satisfy 3.3.20 by construction is relatively easy to see. For this we recall that the conformal dimension $\alpha_{\tilde{G}_{i}}$ can be written as

$$
\begin{equation*}
\alpha_{\tilde{G}_{i}}=\frac{w_{i}^{2}}{\alpha_{\ell}^{2}}, \tag{3.3.21}
\end{equation*}
$$

where $w_{i}$ is the fundamental weight that generates the center of the group $\tilde{G}_{i}$ and $\alpha_{\ell}$ is the highest root, which is a long root. In this case, all possible gauge groups are of ADE type, so that $\alpha_{\ell}^{2}=2$, and have $m_{i}=1$. We can therefore rewrite 3.3.20) as

$$
\begin{equation*}
\sum_{i=1}^{s}\left(w_{i} k_{i}\right)^{2}=0 \quad \bmod 2, \tag{3.3.22}
\end{equation*}
$$

which is the statement that the weight vector $\sum_{i=1}^{s} w_{i} k_{i}$ is even. For ADE groups, the root and coroot lattices are the same, and since the Narain lattice is also self-dual, the global structure is given by the overlattice $M$ which embeds primitively into $\mathrm{I}_{2,18}$ and is given by precisely this weight vector (cf. Sections 3.3 .3 and 3.3.3). It is of course possible that there is more than one weight vectors involved, in which case the situation is analogous. Since the Narian lattice is even, all overlattices $M$ must be also even and so the condition 3.3.20) is satisfied by construction.

For the CHL string the situation is more subtle since the Mikhailov lattice is not self dual and there are symplectic groups. One can understand why groups occuring in this case should satisfy (3.3.20) by noting that all of them can be constructed from groups arising from the Narain lattice by a suitable projection [57], and so they must also preserve condition (3.3.20).

It is straightforward to verify the that this is the case given the $H$ generators displayed in Table 3.6 .

## Globally non-trivial groups of lower rank

So far we have discussed maximally enhanced gauge groups. For non-Abelian groups of lower rank there are of course many more possibilities. In particular, the list of all possible gauge groups arising in $T^{2}$ compactifications of the heterotic string is 5366 , of which only 336 are of maximal rank; this was determined by Shimada in [20] from the point of view of elliptic K3 surfaces, and in principle applies to the heterotic string on $T^{2}$ in light of its duality with F-theory on K3.

An important fact that was noticed in [20] is that all possible gauge groups of rank lower than 18 (the maximal rank in $T^{2}$ compactifications) which are simply-connected can be obtained from those of rank 18 which are also simply-connected by deleting nodes in the corresponding Dynkin diagram (e.g. $\mathrm{A}_{m+n+1} \rightarrow \mathrm{~A}_{m}+\mathrm{A}_{n}$ ). For groups with non-trivial fundamental group $H$, this is not necessarily true. For example, the gauge group $\operatorname{Spin}(8)^{4} /\left(\mathbb{Z}_{2} \times \mathbb{Z}_{2}\right)$ cannot be enhanced to a higher rank group, so that, conversely, it cannot be found by deleting a node as just described. We note that Shimada has given a set of rules for obtaining such gauge groups (see theorems 2.4-2.7 of [20]), but they do not correspond to arbitrary node deletion and are rather involved.

Here we will not attempt to repeat this analysis for the CHL string, but instead ask the following question: what gauge groups with non-trivial $H$ can be obtained by breaking maximally enhanced groups via node deletion? Given that all maximal enhancements in 9d have trivial $H$ (cf. Table 3.3), we will restrict ourselves to the 8d theory. In this case, there are 29 such groups, 24 with $H=\mathbb{Z}_{2}$ and 5 with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ (cf. Table 3.7). We record them with their corresponding $k$ 's in Table 3.6 .

It is easiest to find the answer to our question by brute force. Just delete one of the simple roots in the embedding of the rank 10 root lattice $L$ into the Mikhailov lattice $\mathrm{II}_{(2)}$ and check if the resulting rank 9 lattice $L^{\prime} \subset L$ still has a nontrivial weight overlattice $W^{\prime} \subset W$. This will give rank 9 semisimple gauge groups with $H=\mathbb{Z}_{2}$ or $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ (as there are no other possibilities). Repeating the same procedure gives groups of rank 8 with the same $H$, and so on.

There is only one non-simply-connected gauge group of rank 4 , namely $S U(2)^{4} / \mathbb{Z}_{2}$, and there are none for rank $\leq 3$. On the other hand, all of the 29 rank 10 groups can be broken to the rank 4 one. Analogously, $S U(2)^{7} /\left(\mathbb{Z}_{2} \times \mathbb{Z}_{2}\right)$ is the only one gauge group of rank 7 with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$. There are no groups with that $H$ for rank $\leq 6$ and all of the five rank 10 groups with that fundamental group can be broken to the rank 7 one. In Figure 3.5 we present a graph which encodes the breaking patterns that preserve the $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$. Graphs of this type
were studied in [60] at the level of the algebra for the heterotic string on $T^{2}$ from the point of view of F-theory.

| $\#$ | $L$ | $H$ | $k$ |  |  |  |  |
| :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}$ | 0 | 0 | 2 | 2 |  |
| 2 | $2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2}$ |  | 3 | 3 |  |  |
| 3 | $2 \mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | 0 | 1 | 0 | 3 |  |
|  |  | 1 | 0 | 2 | 3 |  |  |$]$


| $\#$ | $L$ | $H$ | $k$ |  |  |  |  |  |  |  |
| :--- | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 28 | $2 \mathrm{~A}_{1}+\mathrm{A}_{4}+\mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 1 | 1 | 0 | 1 |  |  |  |  |
| 31 | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 0 | 1 | 0 | 1 |  |  |  |  |
| 33 | $\mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 1 |  |  |  |  |  | 0 | 1 |
| 36 | $2 \mathrm{~A}_{1}+\mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ |  | 0 | 0 | 1 |  |  |  |  |
| 37 | $\mathrm{~A}_{2}+\mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 0 |  |  | 1 |  |  |  |  |
| 40 | $2 \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ |  | 2 | 2 |  |  |  |  |  |
| 42 | $\mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{2}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 1 | 0 | 1 | 2 |  |  |  |  |
| 43 | $\mathrm{~A}_{1}+\mathrm{C}_{4}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 0 |  |  | 1 |  |  |  |  |

Table 3.6: Maximal enhancement groups with non-trivial global structure for the 8dimensional CHL string. The $k$ 's are the generator of $H$. All ADE groups arise at level 2 while C groups arise at level 1.


Figure 3.5: Scheme of how deleting nodes in the Dynkin diagrams of maximally enhanced groups with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ lead to gauge groups with lower rank and also with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$.

### 3.3.4 Results

We collect in Table 3.7 the 61 maximally enhanced groups $G=\tilde{G} / H$ that are realized in the eight-dimensional CHL string, and give the point in moduli space where they arise. ADE groups are realized at level 2 of the Kac-Moody algebra, while C groups arise at level 1.

There are 32 simply connected groups. The rest are of the form $\tilde{G} / H$ with $H=\mathbb{Z}_{2}$ or $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$. The fundamental group $H$ is generated in each case by the elements $k \in Z(\tilde{G})$ shown in Table 3.6. Our results are in perfect agreement with those in (57.

Most of the groups shown lie in the subspace of moduli space given by $E_{i j}=\operatorname{diag}(2,1)$, and it can actually be shown that the remaining ones can be mapped to this subspace by applying T-dualities. This is analogous to the situation in the heterotic string on $T^{2}$ with $E_{i j}=\operatorname{diag}(1,1)$ (cf. Chapter 2). By performing the necessary T-dualities to realise the enhancement groups at such $E_{i j}$, however, the Wilson lines get much more complicated, and difficult to handle.

The central charge $c$ of the Kac-Moody algebras of the 9- and 8-dimensional models listed in Tables 3.3 and 3.7 can be easily calculated. A consistency check is that when the difference $(16+d-c)$ is less than one, it is always equal to the central charge of a unitary minimal model.

| \# | $L$ | $H$ | $E_{11} E_{21} E_{22} E_{12}$ |  |  |  | $a_{1}$ | $a_{2}$ | \# | $L$ | $H$ | $E_{11} E_{21} E_{22} E_{12}$ |  |  |  | $a_{1}$ | $a_{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 3 | 1 | $\frac{w_{3}}{4}$ | $\frac{w_{3}}{4}-\frac{w_{2}}{3}$ | 32 | $2 \mathrm{~A}_{2}+\mathrm{C}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{2}-w_{1}$ | $\frac{w_{2}}{6}$ |
| 2 | $2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | -1 | $\frac{w_{6}}{2}$ | $\frac{w_{7}}{3}-\frac{2 w_{6}}{3}$ | 33 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{3}}{4}$ | $\frac{w_{3}}{8}$ |
| 3 | $2 \mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{5}$ | $\mathbb{Z}_{2}^{2}$ | 2 | 0 | 2 | 2 | 0 | $\frac{w_{7}}{3}-\frac{w_{6}}{6}$ | 34 | $\mathrm{A}_{4}+\mathrm{C}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{6}}{2}$ | $\frac{w_{3}}{5}$ |
| 4 | $\mathrm{A}_{1}+\mathrm{A}_{4}+\mathrm{A}_{5}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 2 | 2 | 0 | $\frac{2 w_{7}}{5}-\frac{w_{5}}{5}$ | 35 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{7}$ | $\mathbb{1}$ | 2 | 0 | 1 | -2 | $\frac{w_{2}}{6}$ | 0 |
| 5 | $2 \mathrm{~A}_{2}+\mathrm{A}_{6}$ | $\mathbb{1}$ | 2 | 0 | 3 | $\frac{7}{2}$ | $\frac{w_{2}}{6}$ | 0 | 36 | $2 \mathrm{~A}_{1}+\mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | 0 | $\frac{w_{7}}{4}$ |
| 6 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{7}$ | $\mathbb{Z}_{2}$ | 2 | 0 | $\frac{3}{2}$ | 2 | 0 | $\frac{w_{2}}{6}$ | 37 | $\mathrm{A}_{2}+\mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 2 | -1 | 1 | 0 | 0 | $\frac{w_{2}}{6}$ |
| 7 | $\mathrm{A}_{1}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 3 | -2 | 0 | $\frac{w_{3}}{3}$ | 38 | $\mathrm{A}_{1}+\mathrm{C}_{9}$ | 1 | 2 | 0 | 1 | 0 | 0 | $\frac{w_{1}}{3}$ |
| 8 | $\mathrm{A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{4}+\mathrm{C}_{1}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{6}$ | $\frac{w_{2}}{3}-\frac{2 w_{5}}{3}$ | 39 | $\mathrm{C}_{10}$ | $\mathbb{1}$ | 2 | 0 | 1 | -2 | $\frac{w_{1}}{3}$ | 0 |
| 9 | $A_{1}+A_{3}+A_{5}+C_{1}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{5 w_{8}}{6}-\frac{w_{3}}{3}$ | 40 | $2 \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | -1 | 0 | $\frac{w_{4}}{4}$ |
| 10 | $\mathrm{A}_{4}+\mathrm{A}_{5}+\mathrm{C}_{1}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{3}}{5}$ | 0 | 41 | $\mathrm{A}_{4}+\mathrm{C}_{1}+\mathrm{D}_{5}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{4}}{4}$ | 0 |
| 11 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{6}+\mathrm{C}_{1}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{6}$ | 0 | 42 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{2}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{4}}{6}$ | $\frac{w_{4}}{4}$ |
| 12 | $2 A_{1}+A_{7}+C_{1}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{w_{2}}{3}-\frac{2 w_{8}}{3}$ | 43 | $\mathrm{A}_{1}+\mathrm{C}_{4}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | 0 | $\frac{w_{4}}{4}$ |
| 13 | $\mathrm{A}_{1}+\mathrm{A}_{8}+\mathrm{C}_{1}$ | 11 | 2 | 0 | 1 | 0 | $\frac{w_{7}}{4}$ | 0 | 44 | $\mathrm{C}_{5}+\mathrm{D}_{5}$ | 11 | 2 | 0 | 1 | -2 | $\frac{w_{4}}{4}$ | 0 |
| 14 | $\mathrm{A}_{9}+\mathrm{C}_{1}$ | $\mathbb{1}$ | 2 | 0 |  | 0 | $\frac{w_{1}}{3}$ | 0 | 45 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}^{2}$ | 2 | 0 | 2 | 2 | 0 | $\frac{w_{3}}{4}$ |
| 15 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}+\mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{w_{3}}{4}-\frac{w_{8}}{4}$ | 46 | $\mathrm{A}_{2}+\mathrm{C}_{2}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 2 | 0 | $\frac{w_{4}}{6}$ |
| 16 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{4}+\mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{5}$ | $\frac{w_{2}}{2}-w_{1}$ | 47 | $\mathrm{C}_{4}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{w_{6}}{2}$ |
| 17 | $2 \mathrm{~A}_{4}+\mathrm{C}_{2}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $w_{4}-\frac{2 w_{3}}{3}$ | $\frac{w_{3}}{5}$ | 48 | $\mathrm{A}_{1}+\mathrm{C}_{2}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{w_{8}}{4}$ |
| 18 | $3 \mathrm{~A}_{1}+\mathrm{A}_{5}+\mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\frac{w_{2}}{4}-\frac{3 w_{8}}{8}$ | 49 | $2 \mathrm{~A}_{1}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}^{2}$ | 2 | 0 | 1 | -1 | $\frac{w_{4}}{2}-w_{6}$ | $\frac{w_{6}}{2}$ |
| 19 | $\mathrm{A}_{3}+\mathrm{A}_{5}+\mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 2 | 0 | $\frac{w_{3}}{8}$ | 50 | $\mathrm{C}_{1}+\mathrm{D}_{9}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | 0 |
| 20 | $\mathrm{A}_{2}+\mathrm{A}_{6}+\mathrm{C}_{2}$ | $\mathbb{1}$ | 2 | 0 | 1 | 2 | 0 | $\frac{w_{7}}{7}$ | 51 | $\mathrm{A}_{4}+\mathrm{E}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | -1 | 0 | $\frac{w_{5}}{3}$ |
| 21 | $\mathrm{A}_{8}+\mathrm{C}_{2}$ | $\mathbb{1}$ | 2 | 0 | 1 | -2 | 0 | $\frac{w_{3}}{7}$ | 52 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{1}+\mathrm{E}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{5}}{6}$ | $\frac{w_{5}}{3}$ |
| 22 | $2 \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{C}_{3}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{3}}{4}$ | $\frac{w_{3}}{2}-\frac{w_{2}}{3}$ | 53 | $\mathrm{A}_{3}+\mathrm{C}_{1}+\mathrm{E}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{5}}{3}$ | 0 |
| 23 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{4}+\mathrm{C}_{3}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{10}$ | $-\frac{w_{2}}{6}$ | 54 | $\mathrm{A}_{1}+\mathrm{C}_{3}+\mathrm{E}_{6}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | 0 | $\frac{w_{5}}{3}$ |
| 24 | $\mathrm{A}_{2}+\mathrm{A}_{5}+\mathrm{C}_{3}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{6}}{2}$ | $\frac{w_{7}}{3}-\frac{2 w_{6}}{3}$ | 55 | $\mathrm{C}_{4}+\mathrm{E}_{6}$ | 1 | 2 | 0 | 1 | -2 | $\frac{w_{5}}{3}$ | 0 |
| 25 | $\mathrm{A}_{1}+\mathrm{A}_{6}+\mathrm{C}_{3}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{6}$ | $w_{8}-\frac{w_{2}}{3}$ | 56 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | -1 | 0 | $\frac{w_{6}}{2}$ |
| 26 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{4}}{6}$ | $\frac{w_{2}}{6}$ | 57 | $\mathrm{A}_{2}+\mathrm{C}_{1}+\mathrm{E}_{7}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{6}}{2}$ | 0 |
| 27 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{3}+\mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{7}}{2}-\frac{w_{4}}{3}$ | $\frac{w_{4}}{4}$ | 58 | $\mathrm{A}_{1}+\mathrm{C}_{2}+\mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | 0 | $\frac{w_{6}}{2}$ |
| 28 | $2 \mathrm{~A}_{1}+\mathrm{A}_{4}+\mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | $\frac{w_{2}}{5}$ | $\frac{w_{2}}{10}$ | 59 | $\mathrm{C}_{3}+\mathrm{E}_{7}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{6}}{2}$ | $\frac{w_{6}}{2}$ |
| 29 | $\mathrm{A}_{1}+\mathrm{A}_{4}+\mathrm{C}_{5}$ | 1 | 2 | 0 | 1 | 0 | 0 | $\frac{w_{3}}{5}$ | 60 | $\mathrm{A}_{1}+\mathrm{C}_{1}+\mathrm{E}_{8}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | 0 | 0 |
| 30 | $\mathrm{A}_{5}+\mathrm{C}_{5}$ | $\mathbb{1}$ | 2 | 0 | 1 | 0 | $\frac{w_{7}}{3}-\frac{w_{6}}{6}$ | $\frac{w_{6}}{2}$ | 61 | $\mathrm{C}_{2}+\mathrm{E}_{8}$ | $\mathbb{1}$ | 2 | 0 | 1 | -2 | 0 | 0 |
| 31 | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 2 | 0 | 1 | 0 | 0 | $\frac{w_{2}}{6}$ |  |  |  |  |  |  |  |  |  |

Table 3.7: All groups of maximal enhancement in the 8-dimensional CHL string. The Wilson lines are given in terms of the fundamental weights of $\mathrm{E}_{8}$, see Table 3.2. ADE groups arise at level 2 and C groups at level 1.

## Chapter 4

## Heterotic holonomy triples

This chapter is dedicated to determining the possible gauge symmetry groups that can occur for asymmetric orbifolds of heterotic strings in seven dimensions. More generally it marks a step in the direction of thinking of this problem as one involving only momentum lattices and embeddings therein. In Section 4.1 we review how these orbifolds are constructed in an explicit manner. In Section 4.2 we present an ansatz which determines how lattice vectors are associated to gauge bosons, generalizing what was known for the CHL string. In Section 4.3 we make contact with the dual picture of M-Theory on K3 surfaces with partially frozen singularities, extending the previously known results at the level of gauge algebras to full gauge groups. Finally we compute every possible gauge symmetry group for the six possible heterotic theories using the exploration algorithm developed in Chapters 2 and 3.

### 4.1 Basic constructions with rank reduction

In this section we review how rank reduced theories with 16 supercharges are constructed from the heterotic string in nine to seven dimensions. The idea is to get an intuitive understanding of these constructions through the manipulation of Dynkin Diagrams, illustrating the asymmetric orbifold construction with an outer automorphism. This complements the more general (and abstract) treatment in [9]. We go through the CHL string, the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic theory compactification without vector structure and the $\mathbb{Z}_{m}$-triples.

### 4.1.1 CHL string

The CHL string in 9d can be realized as the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ heterotic string compactified on an orbifold of a circle involving the outer automorphism $\theta$ which exchanges both $\mathrm{E}_{8}$ 's and a half-period shift $a$ along the circle [53]. The resulting target space has an holonomy $\theta$ along the compact direction which breaks the gauge group $\mathrm{E}_{8} \times \mathrm{E}_{8}$ to its diagonal $\mathrm{E}_{8}$. The shift $a$ obstructs the
recovery of the broken $E_{8}$ in the twisted sector and so it ensures that the rank of the total gauge group is reduced.

Since $\theta$ is an outer automorphism of a gauge group, its implementation as an orbifold symmetry naturally leads to a picture of Dynkin Diagram folding. In the case of the CHL string, one "folds one $\mathrm{E}_{8}$ into the other", and finds that the gauge group of the resulting theory is $\mathrm{E}_{8}$ (with an extra $\mathrm{U}(1)$ for arbitrary radius). Turning on a Wilson line does not change this picture since it must break both $\mathrm{E}_{8}$ 's in the same way, and one then just folds one of the broken groups into the other.

Even though the length of a root is not by itself a meaningful concept, it is helpful to think that the nodes that get superposed in folding a diagram correspond to shortened roots. The reason is that this maps naturally to an increase in the level of the associated gauge algebra by a factor equal to the order of the automorphism $\theta$. In this case, the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ at level 1 becomes an $\mathrm{E}_{8}$ at level 2. On the other hand, connected diagrams containing invariant nodes correspond to algebras at level 1. In the 9d CHL string there are no states in the gauge sector invariant under the orbifold symmetry, and so there are no gauge groups at level 1. Compactifying on a circle to 8 d , one gets an extra $\mathrm{SU}(2)$ at the self-dual radius which is unaffected by the folding and finds that indeed there are level 1 gauge symmetries (namely symplectic algebras of rank $\leq 10$ ).

The main idea here is that using the symmetry $(a, \theta)$ one constructs a vacuum of the heterotic string with an holonomy that in particular projects out Cartan generator states. Such an holonomy can not be implemented in the theory by merely turning on Wilson lines, as outer automorphisms are not connected to the identity element in the gauge group. However, the set of holonomies that can be obtained by orbifolding the target manifold is larger and includes those of this type. Together with the diagram folding picture, this story generalizes to the other constructions reviewed below.

### 4.1.2 Compactification without vector structure

There is a theory dual to the 8 d CHL string which is obtained from the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic string by compactifying it on a $T^{2}$ without vector structure [61]. The basic idea is that the spectrum of the 10d theory does not contain vector representations of $\operatorname{Spin}(32)$, and so one should consider topologies of the gauge bundle which do not admit such representations. An obstruction of this type is measured by a mod two cohomology class $\tilde{w}_{2}$, analogous to the second Stieffel-Whitney class $w_{2}$ which obstructs spin structure.

This compactification is characterized by the fact that the two holonomies $g_{1}, g_{2}$ on the torus commute as elements of $\operatorname{Spin}(32) / \mathbb{Z}_{2}$, but do not commute when lifted to elements of the double cover $\operatorname{Spin}(32)$. In other words, the commutator of these holonomies is lifted to a nontrivial element in $\operatorname{Spin}(32)$ which is identified with the identity upon quotienting by one
of the spinor classes in its center. The lifting $\operatorname{Spin}(32) / \mathbb{Z}_{2} \rightarrow \operatorname{Spin}(32)$ is therefore obstructed and no vector representations are allowed.

Two such holonomies can not be put simultaneously on a maximal torus of the gauge group. Similarly to the CHL string, one of them has to be realized by orbifolding the theory. The difference in this case is that the 10 d gauge group $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ does not have any outer automorphism. One can however turn on a Wilson line along one of the compact directions such that from the point of view of the remaining dimensions the gauge group is actually broken to one which does in fact have an outer automorphism. Concretely, we turn on a Wilson line $A=\left(\frac{1}{2}^{8}, 0^{8}\right)$ which breaks $\operatorname{Spin}(32) / \mathbb{Z}_{2} \rightarrow \operatorname{Spin}(16)^{2} / \mathbb{Z}_{2}$. This can be represented diagrammatically as

where the white nodes are simple roots and the black nodes represent the fundamental weight which generates the $\mathbb{Z}_{2}$ in each case. We see that the RHS corresponds to a group with outer automorphism $\theta$. Orbifolding the theory by this symmetry and a half period shift along the second compact direction we obtain a theory with gauge group $\operatorname{Spin}(16) \times \mathrm{U}(1)^{2}$ (for arbitrary values of the torus metric and B-field). We note that the fundamental weight gets projected out by the orbifold symmetry, but the gauge group is $\operatorname{Spin}(16) / \mathbb{Z}_{2}[2,57$.

The commutator of the holonomies chosen is the exponential of

$$
\begin{equation*}
A-\theta(A)=\left(\frac{1}{2}^{8}, 0^{8}\right)-\left(0^{8}, \frac{1}{2}^{8}\right)=\left(\frac{1}{2}^{8},-\frac{1}{2}^{8}\right) \tag{4.1.2}
\end{equation*}
$$

which does not yield the identity in $\operatorname{Spin}(32)$ but rather the element which gets identified with it in $\operatorname{Spin}(32) / \mathbb{Z}_{2}$. This corresponds to the discussion above. More generally one can deform this Wilson line by adding vectors symmetric in the first and last eight components, i.e. those of the form $(\delta, \delta)$, as to respect condition (4.1.2). One can also turn on another Wilson line $A^{\prime}$ in the second compact direction such that $\theta\left(A^{\prime}\right)=A^{\prime}$, since the product of two holonomies on the same direction should commute. Together with deformations of the metric and the B-field we reach other points in moduli space exhibiting different gauge symmetries (classified in Chapter 3). This moduli space is equivalent to that of the 8d CHL string, where the equivalence is given by T-duality [9].

### 4.1.3 Holonomy triples in 7d

The basic idea behind the construction just described can be applied to the heterotic string on a circle and further compactifying two dimensions on a torus. This comes from the fact that there are various 9 d gauge groups analogous to the $10 \mathrm{~d} \operatorname{Spin}(32) / \mathbb{Z}_{2}$. It is enough to consider the following five:

$$
\begin{equation*}
\frac{\left(\mathrm{E}_{7} \times \mathrm{SU}(2)\right)^{2}}{\mathbb{Z}_{2}}, \quad \frac{\left(\mathrm{E}_{6} \times \operatorname{SU}(3)\right)^{2}}{\mathbb{Z}_{3}}, \quad \frac{(\operatorname{Spin}(10) \times \mathrm{SU}(4))^{2}}{\mathbb{Z}_{4}}, \quad \frac{\mathrm{SU}(5)^{4}}{\mathbb{Z}_{5}}, \quad \frac{(\mathrm{SU}(2) \times \mathrm{SU}(3) \times \mathrm{SU}(6))^{2}}{\mathbb{Z}_{6}} \tag{4.1.3}
\end{equation*}
$$

These correspond to breakings of $\mathrm{E}_{8} \times \mathrm{E}_{8}$ by a Wilson line $A$, so that it is most natural to work in the framework of the $\mathrm{E}_{8} \times \mathrm{E}_{8}$ string. Natural choices for these Wilson lines are, respectively,

$$
A= \begin{cases}\left(0^{6},-\frac{1}{2}, \frac{1}{2}\right) \times\left(\frac{1}{2},-\frac{1}{2}, 0^{6}\right) & \left(\mathbb{Z}_{2}\right)  \tag{4.1.4}\\ \left(0^{5},-\frac{1}{3}^{2}, \frac{2}{3}\right) \times\left(\frac{2}{3},-\frac{2}{3}^{2}, 0^{5}\right) & \left(\mathbb{Z}_{3}\right) \\ \left(0^{4},-\frac{1}{4}^{3}, \frac{3}{4}\right) \times\left(-\frac{3}{4}, \frac{1^{3}}{}, 0^{4}\right) & \left(\mathbb{Z}_{4}\right) . \\ \left(0^{3},-\frac{1^{4}}{5}, \frac{4}{5}\right) \times\left(-\frac{4}{5}, \frac{1^{4}}{5}, 0^{3}\right) & \left(\mathbb{Z}_{5}\right) \\ \left(0^{2},-\frac{1}{6}^{5}, \frac{5}{6}\right) \times\left(-\frac{5}{6}, \frac{1^{5}}{6}, 0^{2}\right) & \left(\mathbb{Z}_{6}\right)\end{cases}
$$

The $\mathbb{Z}_{m}$ 's correspond not only to the fundamental group of each broken gauge group but also to the cyclic group generated by the outer automorphism $\theta$ to be implemented. The name ' $\mathbb{Z}_{m}$-triple' refers to this group together with the three holonomies consisting of 4.1.4) and the pair analogous to the one discussed in the previous section, which we now discuss.

## $\mathbb{Z}_{2}$-triple

Consider first the $\mathbb{Z}_{2}$-triple. From the point of view of the $T^{2}$ on which the 9 d theory is compactified, the gauge group is $\left(\mathrm{E}_{7} \times \mathrm{SU}(2)\right)^{2} / \mathbb{Z}_{2}$, which indeed has an order two outer automorphism, exchanging the $\mathrm{E}_{7} \times \mathrm{SU}(2)$ factors. However, using this symmetry to orbifold the theory just gives us the CHL string, as discussed in section 4.1.1. Consider instead turning on a Wilson line $A^{\prime}$ on one of the $T^{2}$ directions $\left(x^{1}\right)$, of the form

$$
\begin{equation*}
A^{\prime}=\left(0^{5},-\frac{1}{2}, \frac{1}{2}, 0\right) \times\left(0,-\frac{1}{2}, \frac{1}{2}, 0^{5}\right) . \tag{4.1.5}
\end{equation*}
$$

It has the effect of further breaking the gauge group to $\left(\mathrm{E}_{6} \times \mathrm{U}(1)^{2}\right)^{2}$. From the point of view of the other $T^{2}$ direction $\left(x^{2}\right)$, the gauge group has then an order 2 outer automorphism corresponding to the symmetry of each $\mathrm{E}_{6}$ diagram. To get a consistent theory (meaning that the partition function is modular invariant), however, we have to take into account how the orbifold symmetry acts on the 16 internal directions and not only the 12 corresponding to the $\mathrm{E}_{6}$ 's. Fortunately, it is not hard to find such a consistent automorphism. One just has to take the one corresponding to the symmetry of the affine diagram of the original gauge algebra
$2 \mathrm{E}_{7}+2 \mathrm{~A}_{1}:$


It can then be shown that, together with an order $2 \operatorname{shift}$ in $x^{2}$, one obtains a consistent theory with an holonomy that breaks 8 Cartan generators, and the gauge group is $\mathrm{F}_{4} \times \mathrm{F}_{4}$ at level 1 times $\mathrm{U}(1)^{3}$, for arbitrary metric and B-field. The former is due to the automorphism having an associated projector $P_{\theta}=1+\theta$ of rank 8. The later comes from the fact that each $\mathrm{E}_{6}$ folds into an $\mathrm{F}_{4}$, where two nodes are left invariant (cf. discussion in section 4.1.1). As in the previous construction, we can represent this breaking diagrammatically:


Let us now consider the commutator of the holonomies along the $T^{2}$. We find that

$$
\begin{equation*}
\theta\left(A^{\prime}\right)-A^{\prime}=\left(0^{5}, 1,-1,0\right) \times\left(0,1,-1,0^{5}\right), \tag{4.1.8}
\end{equation*}
$$

which is just the fundamental weight represented as a black node in the above diagram. Its exponential is a nontrivial element of $\left(\mathrm{E}_{7} \times \mathrm{SU}(2)^{2}\right)$ which gets identified with the identity in the quotient $\left(\mathrm{E}_{7} \times \mathrm{SU}(2)^{2}\right) / \mathbb{Z}_{2}$, mirroring the situation in the compactification without vector structure as expected. One may also deform the Wilson lines along all directions by adding vectors invariant under $\theta$. This restriction reduces the degrees of freedom of the theory with respect to the Narain moduli space in the appropriate way.

Finally we note that here we have obtained a particular gauge group, $\mathrm{F}_{4} \times \mathrm{F}_{4} \times \mathrm{U}(1)^{3}$, out of the many possibilities that exist in the moduli space of the theory. The general construction carried out in [9] leads to a momentum lattice analogous to the Narain lattice, with which we may systematically explore this moduli space (as we discuss in next section). In this case, the momentum lattice is just the Mikhailov lattice in 7 d and the theory is equivalent to the 7 d CHL string. We emphasize that the $\mathbb{Z}_{2}$-triple does not involve the exchange of the $\mathrm{E}_{8}$ 's (or subgroups thereof), and so strictly speaking it does not correspond to the CHL string. Indeed, one can construct the CHL string but not the $\mathbb{Z}_{2}$-triple in 9 d . When they exist, they are equivalent by T-duality.

## $\mathbb{Z}_{3}$-triple

Starting in the $\mathbb{Z}_{3}$-triple we find genuinely new rank-reduced moduli space components with respect to the 8 d case. Here the gauge group from the point of view of the $T^{2}$ is $\left(\mathrm{E}_{6} \times\right.$ $\mathrm{SU}(3))^{2} / \mathbb{Z}_{3}$. We turn on a Wilson line along $x^{1}$ of the form

$$
\begin{equation*}
A^{\prime}=\left(0^{4},-\frac{1}{3}, \frac{2}{3}, \frac{1}{3}, 0\right) \times\left(0,-\frac{1}{3},-\frac{2}{3}, \frac{1}{3}, 0^{4}\right) . \tag{4.1.9}
\end{equation*}
$$

This breaks the gauge group to $\left(\mathrm{SO}(8) \times \mathrm{U}(1)^{4}\right)^{2}$. To get the rank 3 automorphism we again consider the symmetry of the affine diagram of the original group:


This descends to the triality of each $\mathrm{SO}(8)$ and folds them into $\mathrm{G}_{2} \times \mathrm{G}_{2}$ at level 1 . The projector $P_{\theta}=1+\theta+\theta^{2}$ is of rank 4 , eliminating 12 Cartan generators, and so the resulting gauge group is $\mathrm{G}_{2} \times \mathrm{G}_{2} \times \mathrm{U}(1)^{3}$ for arbitrary metric and B-field. Again, the orbifold includes an order 3 shift in $x^{2}$. The corresponding breaking diagram is


The commutator of $A^{\prime}$ and $\theta$ is given by

$$
\begin{equation*}
\theta\left(A^{\prime}\right)-A^{\prime}=\left(0^{4}, 1,-1,0^{2}\right) \times\left(0^{2}, 1,-1,0^{4}\right), \tag{4.1.12}
\end{equation*}
$$

corresponding to the weight represented by the black node in the diagram above, and the story is the same as before for the $\mathbb{Z}_{2}$-triple. In this case one can deform the three Wilson lines with four degrees of freedom each, which is the rank of the projector $P_{\theta}$. Together with the nine degrees of freedom coming from the metric and B-field, the dimension of the moduli space is 21 , and its local geometry is given by the coset

$$
\begin{equation*}
\mathrm{SO}(7,3, \mathbb{R}) /(\mathrm{SO}(7, \mathbb{R}) \times \mathrm{SO}(3, \mathbb{R})) \tag{4.1.13}
\end{equation*}
$$

In [9] it was proposed that the global structure is given by the automorphism group of the
momentum lattice of the theory, which was determined to be

$$
\begin{equation*}
\Lambda_{3}=\mathrm{II}_{3,3} \oplus \mathrm{~A}_{2} \oplus \mathrm{~A}_{2}, \tag{4.1.14}
\end{equation*}
$$

extending the results for the first two components of the moduli space where the Narain and the Mikhailov lattice respectively play this role.

## $\mathbb{Z}_{4}$-triple

For the $\mathbb{Z}_{4}$-triple we start with the 9 d gauge group ( $\left.\operatorname{Spin}(10) \times \mathrm{SU}(4)\right)^{2} / \mathbb{Z}_{4}$ and turn on the Wilson line

$$
\begin{equation*}
A^{\prime}=\frac{1}{8}(1,-1,-1,-3,3,1,-1,5) \times(-5,1,-1,-3,3,1,1,-1), \tag{4.1.15}
\end{equation*}
$$

which breaks it to $\mathrm{SU}(3)^{2} \times \mathrm{U}(1)^{12}$. The affine diagram of the original group has an order 4 symmetry:


The surviving $\mathrm{SU}(3)$ 's under the action of $A^{\prime}$ correspond to the innermost nodes of the affine $\operatorname{Spin}(10)$ 's, and they get identified under $\theta$ into $\mathrm{SU}(2) \times \mathrm{SU}(2)$ at level 1 . The rank of the projector $P_{\theta}=1+\theta+\theta^{2}+\theta^{3}$ is 2 , and so 14 Cartan generators are eliminated. There is again an order 4 shift in $x^{2}$ in the orbifold symmetry, and we get the gauge group $\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{U}(1)^{3}$ for generic metric and B-field. The breaking diagram is


We remark that the roots obtained after the folding have norm 8, this being the reason that the $\mathrm{SU}(2)$ 's are at level 1 . This can be understood by noting that the affine diagram for $\mathrm{D}_{5}$ gets folded into a pair of linked nodes with norms 2 and 8, respectively. Four nodes collapse into one corresponding to a root with norm smaller by a factor of 4 , while two linked nodes fold into one with invariant length. Upon scaling, the shorter root that gets broken is of norm 2 , while the remaining has norm 8 .

We find that

$$
\begin{equation*}
\theta\left(A^{\prime}\right)-A^{\prime}=\left(0^{3}, 1,0^{3},-1\right) \times\left(1,0^{3},-1,0^{3}\right), \tag{4.1.18}
\end{equation*}
$$

which is the weight in the LHS of the diagram above modulo a translation in the $A_{3}$ sublattices.

The moduli space is of dimension 15 , locally of the form

$$
\begin{equation*}
\mathrm{SO}(5,3, \mathbb{R}) /(\mathrm{SO}(5, \mathbb{R}) \times \mathrm{SO}(3, \mathbb{R})) \tag{4.1.19}
\end{equation*}
$$

and the momentum lattice is

$$
\begin{equation*}
\Lambda_{4}=\mathrm{II}_{3,3} \oplus \mathrm{~A}_{1} \oplus \mathrm{~A}_{1} . \tag{4.1.20}
\end{equation*}
$$

## $\mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$-triples

For the $\mathbb{Z}_{5}$-triple we use Wilson line

$$
\begin{equation*}
A^{\prime}=\frac{1}{5}(0,-1,-2,3,2,1,0,-1) \times(1,0,-1,-2,-3,2,1,0), \tag{4.1.21}
\end{equation*}
$$

which breaks $\operatorname{SU}(5)^{4} / \mathbb{Z}_{5}$ to $\mathrm{U}(1)^{16}$. The automorphism $\theta$ corresponds to the symmetry



and has projector $P_{\theta}=0$. The rank of the gauge group is reduced by a factor of 16 and only the Cartans coming from the $T^{3}$ compactification are present. We have that

$$
\begin{equation*}
\theta\left(A^{\prime}\right)-A^{\prime}=\left(0^{2}, 1,-1,0^{4}\right) \times\left(0^{4}, 1,-1,0^{2}\right), \tag{4.1.23}
\end{equation*}
$$

which is the weight associated to the $\mathbb{Z}_{5}$ quotient. The moduli space has dimension 9 and is locally of the form

$$
\begin{equation*}
\mathrm{SO}(3,3, \mathbb{R}) /(\mathrm{SO}(3, \mathbb{R}) \times \mathrm{SO}(3, \mathbb{R})) \tag{4.1.24}
\end{equation*}
$$

and the momentum lattice is just $\mathrm{I}_{3,3}$.
The story for the $\mathbb{Z}_{6}$-triple is basically the same, the only differences being that the Wilson line used is

$$
\begin{equation*}
A^{\prime}=\frac{1}{12}(1,-5,7,5,3,1,-1,-3) \times(3,1,-1,-3,-5,7,5,-1), \tag{4.1.25}
\end{equation*}
$$

the automorphism $\theta$ corresponds to the symmetry of the affine $(\mathrm{SU}(2) \times \mathrm{SU}(3) \times \mathrm{SU}(6))^{2}$ diagram,






and

$$
\begin{equation*}
\theta\left(A^{\prime}\right)-A^{\prime}=\left(0,1,-1,0^{5}\right) \times\left(0^{5}, 1,-1,0^{1}\right) . \tag{4.1.27}
\end{equation*}
$$

As in the previous case there are no Wilson line degrees of freedom, and the local and global data for the moduli space are the same. One should note however that the groups which are realized at level 5 in the $\mathbb{Z}_{5}$-triple are realized in this case at level 6 . Indeed, this information is not contained implicitly in the momentum lattice.

### 4.2 7d Heterotic String and Momentum Lattices

Here we explain the basic machinery of how gauge symmetry groups can be obtained from the momentum lattices corresponding to certain 7 d heterotic string compactifications with 16 supercharges. These include the Narain lattice for $T^{3}$ compactifications, the Mikhailov lattice for the 7d CHL string, and the four extra momentum lattices for components with further rank reduction obtained in (9].

### 4.2.1 The Narain construction

It was shown in [10] that the perturbative spectrum of the heterotic string on $T^{d}$ can be put in correspondence with an even self-dual Lorentzian lattice $\mathrm{II}_{16+d, d}$ of signature $\left(+{ }^{16+d},-^{d}\right)$. This lattice is spanned by vectors $\left(P, p_{L} ; p_{R}\right)$, where $P$ is the left gauge lattice momentum and $p_{L, R}$ are the right and left internal space momenta.

The only massless states in the spectrum have $p_{R}=0$, and those which realize the adjoint representation of the gauge algebra $\mathfrak{g}$ also have $P^{2}+p_{L}^{2}=2$. They correspond therefore to a set of length $\sqrt{2}$ vectors in $\mathrm{II}_{16+d, d}$ spanning a positive definite sublattice $L$, which is just the root lattice of $\mathfrak{g}$. The question of what gauge algebras can be realized in the theory is then equivalent to the question of what root lattices $L$ can be embedded in the Narain lattice. Note that this embedding has to be such that the intersection of the real span of $L$ with $\mathrm{II}_{16+d, d}$ does not contain a larger root lattice $L^{\prime}$, since this would leave out extra states that do form part of the massless spectrum. We can be more precise about the relation between gauge symmetries and lattice embeddings and in the way gain more information. As discussed in 3. relaxing the condition $P^{2}+p_{L}^{2}=2$ while keeping $p_{R}=0$ defines an overlattice $M \supseteq L$ corresponding to the weight lattice of the global gauge group $G$. In this case, $M$ is such that the intersection of its real span with $\mathrm{I}_{16+d, d}$ is $M$ itself, i.e. it is primitively embedded in $\mathrm{II}_{16+d, d}$. The full statement regarding the possibility of some gauge group $G$ being realized in the heterotic string on $T^{d}$ is as follows:

Proposition 1. Let $G=\tilde{G} / H$ be some semisimple group of rank $r \leq 16+d$, where $\tilde{G}$ and $H$ are respectively the universal cover and the fundamental group. $G \times U(1)^{16+d-r}$ is realized in the heterotic string on $T^{d}$ as a gauge symmetry group if and only if its weight lattice $M$ admits a primitive embedding in the Narain lattice $\mathrm{I}_{16+d, d}$ such that the vectors in $M$ of length $\sqrt{2}$ are roots.

At the end of the day, the classification of the possible gauge groups that can be obtained in the heterotic string on $T^{d}$ turns out to be a (conceptually) simple problem of lattice embeddings.

In this chapter we are interested in the possible gauge groups that can be realized in the heterotic string on $T^{3}$. Using the exploration algorithm developed in previous chapters, we have collected a set of points of maximal enhancement characterized by their root lattices $L$, i.e. their gauge algebras $\mathfrak{g}$. For each point we compute the weight lattice $M$ and from it the generators of the fundamental group $H$. The results are presented in Section 4.4.

### 4.2.2 The CHL string and Mikhailov lattice

Now we wish to extend the discussion of the previous subsection to the CHL string on $T^{d}$, which can be realized as an asymmetric orbifold of the heterotic string on $T^{d}$. The analog of the Narain lattice for this theory was constructed by Mikhailov in 55] and can be written as

$$
\begin{equation*}
\mathrm{II}_{(d)}=\mathrm{II}_{d-1, d-1}(2) \oplus \mathrm{II}_{1,1} \oplus \mathrm{E}_{8} \tag{4.2.1}
\end{equation*}
$$

where the (2) indicates that $\mathrm{II}_{d-1, d-1}$ is scaled by a factor of $\sqrt{2}$. Depending on the dimension $d$, this lattice may be rewritten in different ways using lattice isomorphisms. For $d=3$, we have

$$
\begin{equation*}
\mathrm{II}_{2,2}(2) \oplus \mathrm{II}_{1,1} \oplus \mathrm{E}_{8} \simeq \mathrm{II}_{3,3} \oplus \mathrm{D}_{4} \oplus \mathrm{D}_{4} \simeq \mathrm{II}_{3,3} \oplus \mathrm{~F}_{4} \oplus \mathrm{~F}_{4} . \tag{4.2.2}
\end{equation*}
$$

Here we have used the root lattice isomorphism $\mathrm{D}_{4} \simeq \mathrm{~F}_{4}$ (the corresponding root systems are of course not isomorphic, see Appendix B.1) to reflect the fact that the 'canonical' point in the theory has gauge algebra $2 \mathrm{~F}_{4}$ and not $2 \mathrm{D}_{4}$, as shown in Section 4.1.3.

The relation between lattice embeddings and realizability of gauge groups in the CHL string is more complicated than for the usual heterotic string on tori. In the latter, the roots of the gauge algebra correspond to the length $\sqrt{2}$ vectors in some positive definite lattice $\Lambda$ primitively embedded into $\mathrm{II}_{16+d, d}$. In the CHL string the mass formulas are such that it is also possible for some but not all vectors of length 2 to give roots. In order for such a vector $v$ to correspond to a root, it must satisfy the condition that its inner product with all other vectors in the whole Mikhailov lattice is even [55]. In this case we say that $v$ is a level 2 vector (not to be confused with the level of the Kac-Moody algebra for the gauge group). More generally, a vector $v$ in a lattice $\Lambda$ is said to be at embedding level $\ell$ if the product of $v$ with
every vector in $\Lambda$ is divisible by $\ell$.
On the other hand, the statement that the global structure of the gauge group is given by the primitively weight overlattice $M$ does not generalize to the case where the momentum lattice is not self-dual and the gauge algebras are not of ADE type. The problem of obtaining this global data was studied in detail in [57]. It was shown in particular that the fundamental group $\pi_{1}(G)$ of the gauge group $G$ is given by the quotient of the cocharacter lattice $M^{\vee}$ and the coroot lattice $L^{\vee}$ where the later is embedded in the dual momentum lattice $\mathrm{II}_{(d)}^{*}$ and the former is the corresponding overlattice which is primitively embedded in $\mathrm{II}_{(d)}^{*}$.

One strategy to obtain all the possible gauge groups in the theory is to apply the exploration algorithm described above to the dual lattice $\mathrm{II}_{(d)}^{*}$ (which usually has to be rescaled to be made even) and compute the lattices $L$ and $M$ the same way as for the Narain lattice, but dualizing the algebra $\mathfrak{g} \rightarrow \mathfrak{g}^{\vee}$ at the end. It can be shown that the embedding level condition for vectors to be roots are the same as for the original lattice $\mathrm{II}_{(d)}$. This corresponds to the method employed in Chapter 3 to obtain the list of gauge groups for the CHL string in 8d.

Having dealt with this subtlety, a statement generalizing proposition 4.2 .1 for the usual heterotic string to the CHL string on $T^{d}$ can be made as follows:

Proposition 2. Let $G=\tilde{G} / H$ be some semisimple group of rank $r \leq d+8$, where $\tilde{G}$ and $H$ are respectively the universal cover and the fundamental group. $G \times U(1)^{d+8-r}$ is realized in the CHL string on $T^{d}$ as a gauge symmetry group if and only if the weight lattice $M^{\vee}$ of the dual group $G^{\vee}$ admits a primitive embedding in the dual Mikhailov lattice $\mathrm{II}_{(d)}^{*}(2)$ such that the vectors in $M^{\vee}$ of length $\sqrt{2 \ell}$ at embedding level $\ell=1,2$ in $\mathrm{I}_{(d)}^{*}(2)$ belong to $L^{\vee}$.

We see that the embedding level $\ell$ plays an important role in the theory, allowing to treat the problem of finding the possible gauge groups without reference to the string theory itself, as in the case of the original heterotic string.

Finally let us recall that the simple factors in $G$ have associated Kac-Moody algebras at level $\mathfrak{m}=1,2$ where $2 / \mathfrak{m}$ is the squared length of the corresponding longest root. For $d=2$ there are only ADE groups at level 2 and symplectic groups at level 1 (including $\mathrm{Sp}(1)=\mathrm{SU}(2))$. For $d=3$ there are more interesting possibilities including $\mathrm{B}_{3}$ and $\mathrm{F}_{4}$ at level 1.

### 4.2.3 Momentum lattices from Triples

Let us now turn to the $\mathbb{Z}_{m}$-triples reviewed in Section 4.1.3. The respective momentum lattices are given in Table 4.1, where we also show the rank reduction of the respective gauge groups. Here again we have chosen to write the lattices in terms of the canonical point groups using the lattice isomorphisms $\mathrm{D}_{4} \simeq \mathrm{~F}_{4}$ and $\mathrm{A}_{2} \simeq \mathrm{G}_{2}$. We also record the frozen singularity for each
lattice $\Lambda_{m}$, which in this context corresponds to the orthogonal complement of the embedding $\Lambda_{m} \hookrightarrow \mathrm{II}_{19,3}$. This point is discussed in more detail in the next section.

| $m$ | $\Lambda_{m}$ | Frozen Singularity | $r_{-}$ |
| :---: | :---: | :---: | :---: |
| 1 | $\mathrm{II}_{3,3} \oplus \mathrm{E}_{8} \oplus \mathrm{E}_{8}$ | $\emptyset$ | 0 |
| 2 | $\mathrm{II}_{3,3} \oplus \mathrm{~F}_{4} \oplus \mathrm{~F}_{4}$ | $\mathrm{D}_{4} \oplus \mathrm{D}_{4}$ | 8 |
| 3 | $\mathrm{II}_{3,3} \oplus \mathrm{G}_{2} \oplus \mathrm{G}_{2}$ | $\mathrm{E}_{6} \oplus \mathrm{E}_{6}$ | 12 |
| 4 | $\mathrm{II}_{3,3} \oplus \mathrm{~A}_{1} \oplus \mathrm{~A}_{1}$ | $\mathrm{E}_{7} \oplus \mathrm{E}_{7}$ | 14 |
| 5 | $\mathrm{II}_{3,3}$ | $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$ | 16 |
| 6 | $\mathrm{II}_{3,3}$ | $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$ | 16 |

Table 4.1: Momentum lattices $\Lambda_{m}$ for the moduli spaces of heterotic $\mathbb{Z}_{m}$-triples. The gauge group rank for $m=1$ is 19 , which is just the Narain component. The case $m=2$ is dual to but not the same as the CHL component [9]. The frozen singularities correspond to the orthogonal complements of $\Lambda_{m} \hookrightarrow \mathrm{II}_{19,3}$.

It is natural to ask whether we can extend propositions 4.2 .1 and 4.2 .2 to these lattices. An obvious ansatz is the following:

Proposition 3. Let $G=\tilde{G} / H$ be some semisimple group of rank $r \leq r_{m}$, where $\tilde{G}$ and $H$ are respectively the universal cover and the fundamental group, and $r_{m}=19,11,7,5,3,3$ respectively for $m=1, \ldots, 6 . G \times U(1)^{19-r_{m}}$ is realized in the $\mathbb{Z}_{m}$-triple as a gauge symmetry group if and only if the weight lattice $M^{\vee}$ of the dual group $G^{\vee}$ admits a primitive embedding in the dual momentum lattice $\Lambda_{m}^{*}(m)$ such that the vectors in $M^{\vee}$ of length $\sqrt{2 \ell}$ at embedding level $\ell=1$, $m$ in $\Lambda_{m}^{*}(m)$ belong to $L^{\vee}$. Simple factors are realized at level $\mathfrak{m}=2 m / \alpha_{\text {long }}^{2}$, where $\alpha_{\text {long }}$ is a long root in $L \hookrightarrow \Lambda_{m}$.

The key ingredient is that the vectors of length $\sqrt{2 m}$ at embedding level $m$ correspond to massless states and give e.g. long roots for non-ADE gauge groups. This can in fact be explicitly proved in the particular construction used in $[9]$ to obtain the momentum lattices. This roughly corresponds to the fact that in this construction there is a rescaling by a factor of $\sqrt{m}$ involved, such that the product of long roots, coming from invariant states in the parent theory of the orbifold, with all other vectors is scaled by a factor of $m$. We will however confirm this for the general case by showing in Section 4.3 that assuming this ansatz one can reproduce the mechanism of singularity freezing in the dual M-theory on K3 from the heterotic side.

An extension of the exploration algorithm used for the CHL string to these lattices is straightforward and produces the results presented in Section 4.4.2. In Section 4.3.3 we will see that these can be reproduced by applying an appropriate projection map to the Narain component.

### 4.3 Frozen singularities from the heterotic side

It was already noted by Mikhailov in [55] that the momentum lattice for the CHL string is primitively embedded in the Narain lattice such that its orthogonal complement corresponds to the frozen singularity on the dual $\mathrm{F} / \mathrm{M}$-theories on K3 (for $d=2,3$, respectively). This observation was extended in $[9]$ to the $\mathbb{Z}_{m}$-triples in 7 d . Here we make use of it together with Proposition 4.2 .3 to determine precisely how the ADE singularities are partially frozen (usually to give non-ADE algebras) and recover the known "freezing rules" on the K3 side.

### 4.3.1 Freezing rules in 8 d

Let us first demonstrate the general method of obtaining the freezing rules in the $d=2$ case, which map gauge groups in the Narain component to the CHL component of the moduli space.

We start by considering an embedding of the Mikhailov lattice $\mathrm{II}_{2,2} \oplus \mathrm{D}_{8} \simeq \mathrm{II}_{2,2} \oplus \mathrm{C}_{8}$ into the Narain lattice $\mathrm{II}_{18,2}$. This is done in practice by taking the orthogonal complement of any primitively embedded $\mathrm{D}_{8}$ lattice in $\mathrm{I}_{18,2}$, which is unique modulo automorphisms of the later. We then consider in turn an embedding of a $\mathrm{C}_{n}$ root lattice in the Mikhailov sublattice (cf. Proposition 4.2.2), which will therefore be also embedded in the Narain lattice,

$$
\begin{equation*}
\mathrm{C}_{n} \hookrightarrow \mathrm{II}_{2,2} \oplus \mathrm{C}_{8} \hookrightarrow \mathrm{II}_{18,2}, \quad n \leq 10 . \tag{4.3.1}
\end{equation*}
$$

This will correspond to an embedding $\mathrm{C}_{n} \oplus \mathrm{D}_{8} \hookrightarrow \mathrm{II}_{18,2}$ which will however neither be primitive, nor conform to the rules of Proposition 4.2.1 due to the long roots. It does however define an $(n+8)$-plane in the ambient space of $\mathrm{I}_{18,2}$ which in turn defines some primitively embedded weight lattice $M$. One may chose to focus only on the root sublattice $L \subseteq W$, which is enough to make the comparison with singularity freezing in F-theory. In this case we find that to the naive embedding of $\mathrm{C}_{n} \oplus \mathrm{D}_{8}$ inherited from the Mikhailov lattice and the frozen singularity there corresponds an actual embedding

$$
\begin{equation*}
\mathrm{D}_{n+8} \hookrightarrow \mathrm{II}_{18,2}, \tag{4.3.2}
\end{equation*}
$$

which may require extra weights (but not roots) to be made primitive. With this we recover the freezing rule for F-theory on K3 in the reverse. Indeed, applying these rules to all the possible gauge algebras in the Narain component gives those in the CHL component [2, 62].

### 4.3.2 Freezing rules in 7d

In 7 d there are more possibilities for freezing singularities, each one defining a different momentum lattice as shown in Table 4.1. The process outlined above can be repeated in this
case and we obtain the following patterns

$$
\begin{array}{rll}
m=2: & \mathrm{C}_{p}+\mathrm{C}_{q} \rightarrow \mathrm{D}_{p+4}+\mathrm{D}_{q+4}, & p, q \geq 0, \\
& \mathrm{C}_{p}+\mathrm{F}_{q} \rightarrow \mathrm{D}_{p+4}+\mathrm{E}_{q+4}, & p \geq 0, q=2,3,4, \\
& \mathrm{~F}_{p}+\mathrm{F}_{q} \rightarrow \mathrm{E}_{p+4}+\mathrm{E}_{q+4}, & p, q=2,3,4, \\
m=3: & \mathrm{G}_{p}+\mathrm{G}_{q} \rightarrow \mathrm{E}_{6+p}+\mathrm{E}_{6+q}, & p, q=0,1,2,  \tag{4.3.3}\\
m=4: & \mathrm{A}_{p}+\mathrm{A}_{q} \rightarrow \mathrm{E}_{7+p}+\mathrm{E}_{7+q}, & p, q=0,1, \\
m=5,6: & \emptyset \rightarrow \mathrm{E}_{8}+\mathrm{E}_{8}, &
\end{array}
$$

where we have defined

$$
\begin{equation*}
\mathrm{C}_{1} \equiv \mathrm{~A}_{1}, \quad \mathrm{~F}_{2} \equiv \mathrm{~A}_{2}, \quad \mathrm{~F}_{3} \equiv \mathrm{~B}_{3}, \mathrm{G}_{1} \equiv \mathrm{~A}_{1}, \tag{4.3.4}
\end{equation*}
$$

with the RHS algebras always at level 1. Likewise, the $\mathrm{A}_{1}$ 's resulting from freezing the $\mathrm{E}_{8}$ 's in the $\mathbb{Z}_{4}$-triple are at level 1 (cf. Section 4.1.3).

The converse rules agree perfectly with the freezing mechanism in M-theory on K3 [9,63]. When applied to the enhancements found in the Narain moduli space one reproduces the results, at the level of the algebras, obtained with the exploration algorithm applied to the remaining momentum lattices, as expected.

### 4.3.3 Full projection map

It was shown in [57] that the list of gauge groups found in the heterotic string on $T^{2}$, together with their fundamental groups, can be projected to that of the 8d CHL string, generalizing the freezing rules for the algebras discussed above. Namely, consider a gauge group, obtained from the Narain lattice, of the form

$$
\begin{equation*}
G=\tilde{G} / H=G_{1} \times \cdots \times G_{s} \times \operatorname{Spin}(2 n+16) / H, \tag{4.3.5}
\end{equation*}
$$

where $H$ is generated by an element $k=\left(k_{1}, \ldots, k_{s}, \hat{k}\right)$ of the center $Z(\tilde{G})$. The corresponding group in the CHL string will be of the form

$$
\begin{equation*}
G^{\prime}=G_{1} \times \cdots \times G_{s} \times \operatorname{Sp}(n) / H^{\prime}, \tag{4.3.6}
\end{equation*}
$$

with $H^{\prime}$ generated by the element $k^{\prime}=\left(k_{1}, \ldots, k_{s}, \hat{k}^{\prime}\right)$ of the center $Z\left(\tilde{G}^{\prime}\right)$. As can be expected, only the contribution of the partially frozen factor will change. Indeed the center of $\operatorname{Spin}(2 n+$ 16) and that of $\operatorname{Sp}(n)$ are different. For $n$ odd, we have $\hat{k} \in \mathbb{Z}_{4}$ and $\hat{k}^{\prime} \in \mathbb{Z}_{2}$, and the projection
reads

$$
\begin{equation*}
\hat{k} \rightarrow \hat{k}^{\prime}=\hat{k} \quad \bmod 2 \quad(\{0,1,2,3\} \rightarrow\{0,1,0,1\}), \quad n=\text { odd } . \tag{4.3.7}
\end{equation*}
$$

For $n$ even, we have $\hat{k} \equiv\left(\hat{k}^{(1)}, \hat{k}^{(2)}\right) \in \mathbb{Z}_{2} \times \mathbb{Z}_{2}$ and again $\hat{k}^{\prime} \in \mathbb{Z}_{2}$, and the projection reads

$$
\begin{equation*}
\hat{k} \rightarrow \hat{k}^{\prime}=\hat{k}^{(1)}+\hat{k}^{(2)} \quad \bmod 2 \quad(\{0, \mathrm{~s}, \mathrm{c}, \mathrm{v}\} \rightarrow\{0,1,1,0\}), \quad n=\text { even }, \tag{4.3.8}
\end{equation*}
$$

where $\{0, \mathrm{~s}, \mathrm{c}, \mathrm{v}\} \equiv\{(0,0),(1,0),(0,1),(1,1)\}$. As a simple example, the gauge group $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ is mapped to $\operatorname{Sp}(8) / \mathbb{Z}_{2}[2,57]$, since the quotient of the former corresponds to a spinor class in the center. In the case that $n=0$, we lose a simple factor and $\left(k_{1}, \ldots, k_{s}, \hat{k}\right)$ goes to $\left(k_{1}, \ldots, k_{s}\right)$.

This map can be directly generalized to all the different components in the moduli space of 7 d theories treated here. Similarly, only the contributions to the fundamental group coming from the partially frozen factors change. In the 7 d CHL string the rules for going from $\mathrm{D}_{n+4}$ to $\mathrm{C}_{n}$ are equivalent to those for going from $\mathrm{D}_{n+8}$ to $\mathrm{C}_{n}$ described above. For example, we find that $\left(\operatorname{Spin}(24) / \mathbb{Z}_{2}\right) \times \operatorname{Spin}(14)$ maps to $\left(\operatorname{Sp}(8) / \mathbb{Z}_{2}\right) \times \operatorname{Sp}(2)$. For the freezing $\mathrm{E}_{4+n} \rightarrow \mathrm{~F}_{n}$ (cf. (4.3.3)), the center of the gauge group is unaltered and so is the corresponding contribution to the fundamental group, i.e. $\hat{k} \rightarrow \hat{k}^{\prime}=\hat{k}$. This is also true for the freezing $\mathrm{E}_{6+n} \rightarrow \mathrm{G}_{n}$ in the $m=3$ case.

For $m=5,6$, the rule $\mathrm{E}_{8} \rightarrow \emptyset$ has no effect on the fundamental group other than shortening $\left(k_{1}, \ldots, k_{s}, \hat{k}\right)$ to $\left(k_{1}, \ldots, k_{s}\right)$. With these generalized freezing rules, one can project the enhancements in the Narain component of the moduli space to the other five components treated in this chapter to reproduce the results found with our exploration algorithm.

### 4.4 Classification of gauge groups

Now we present the main results of this work and expand in the methods used to obtain them. The full tables with maximal enhancements and their global data are given in Appendix B.2. Here we give tables with the counting of the different gauge symmetries which are realized in each component.

### 4.4.1 Narain Component

Obtaining the gauge groups for the Narain component is done with a straightforward extension of the original exploration algorithm developed in Chapter 2. Here we have however also computed the complete global data for each group, giving the explicit generators for the fundamental groups using the methods of Chapter 3 based on [57]. We have for example the
gauge group (\# 421 of Table B.1)

$$
\begin{equation*}
\frac{\mathrm{SU}(8) \times \mathrm{SU}(8) \times \operatorname{Spin}(10)}{\mathbb{Z}_{8}} \tag{4.4.1}
\end{equation*}
$$

where the fundamental group $\mathbb{Z}_{8}$ is generated by the element $(1,1,3)$ of the center $\mathbb{Z}_{8} \times \mathbb{Z}_{8} \times \mathbb{Z}_{4}$ of the universal cover $\mathrm{SU}(8) \times \mathrm{SU}(8) \times \operatorname{Spin}(10)$.

All the maximally enhanced groups in this component are listed in Table B.1 in Appendix B.2.1. The data includes the ADE type of the gauge group and the corresponding fundamental group. The generators of the fundamental group are listed in Table B. 6 in Appendix B.2.2. For each generator we give a sequence of numbers representing the contribution from the center of each simple factor. In the example just given, the generator is 113 . Note that the ordering of the sequence corresponds to the ordering of the listed ADE type. To properly read the sequence one must write expressions of the form $A_{3}^{2} D_{4}^{3}$ as $\left(A_{3}, A_{3}, D_{4}, D_{4}, D_{4}\right)$, e.g, assigning each number in the sequence to each subsequent ADE factor. For $\mathrm{D}_{2 n}$ factors there are four order two elements in the center denoted $\mathrm{v}, \mathrm{c}, \mathrm{s}$ and 1 , corresponding to the vector class, spinor classes and the identity, respectively. Note that in some cases the fundamental group has more than one generator.

The total number of distinct gauge algebras and distinct gauge groups for different ranks of the semisimple part are listed in Table 4.2. These have been obtained by deleting nodes in the Dynkin Diagrams of the maximally enhanced groups, and we assume that this gives all the possibilities, as discussed in Section ??.

| Rank | 1 | $\mathbb{Z}_{2}$ | $\mathbb{Z}_{2}{ }^{2}$ | $\mathbb{Z}_{3}$ | $\mathbb{Z}_{4}$ | $\mathbb{Z}_{2}{ }^{3}$ | $\mathbb{Z}_{2}{ }^{4}$ | $\mathbb{Z}_{2}{ }^{5}$ | $\mathbb{Z}_{5}$ | $\mathbb{Z}_{6}$ | $\mathbb{Z}_{3}{ }^{2}$ | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\mathbb{Z}_{7}$ | $\mathbb{Z}_{2} \mathbb{Z}_{6}$ | $\mathbb{Z}_{4}{ }^{2}$ | $\mathbb{Z}_{8}$ | Algebras | Groups |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 19 | 652 | 381 | 68 | 51 | 37 | 5 | 1 |  | 6 | 16 | 3 | 2 | 1 | 2 | 1 | 2 | 1035 | 1232 |
| 18 | 852 | 492 | 89 | 52 | 35 | 9 | 1 |  | 4 | 10 | 3 | 6 | 1 | 1 | 1 | 1 | 1180 | 1557 |
| 17 | 827 | 442 | 73 | 39 | 23 | 8 | 1 |  | 2 | 4 | 2 | 3 |  |  |  |  | 1024 | 1424 |
| 16 | 694 | 334 | 47 | 25 | 12 | 4 | 1 | 1 | 1 | 1 | 1 | 1 |  |  |  |  | 794 | 1122 |
| 15 | 528 | 217 | 24 | 12 | 4 | 2 | 1 |  |  |  |  |  |  |  |  |  | 567 | 788 |
| 14 | 389 | 128 | 11 | 6 | 1 | 1 |  |  |  |  |  |  |  |  |  |  | 403 | 536 |
| 13 | 272 | 66 | 3 | 2 |  |  |  |  |  |  |  |  |  |  |  |  | 276 | 343 |
| 12 | 192 | 33 | 1 | 1 |  |  |  |  |  |  |  |  |  |  |  |  | 193 | 227 |
| 11 | 128 | 14 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 128 | 142 |
| 10 | 88 | 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 88 | 94 |
| 9 | 57 | 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 57 | 59 |
| 8 | 39 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 39 | 40 |
| 7 | 24 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 24 | 24 |
| 6 | 16 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 16 | 16 |
| 5 | 9 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 9 | 9 |
| 4 | 6 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 6 | 6 |
| 3 | 3 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 3 | 3 |
| 2 | 2 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 2 | 2 |
| 1 | 1 |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | 1 | 1 |
| All | 4779 | 2116 | 316 | 188 | 112 | 29 | 5 | 1 | 13 | 31 | 9 | 12 | 2 | 3 | 2 | 3 | 5845 | 7625 |

Table 4.2: Number of algebras and groups of each rank with a certain fundamental group for the heterotic string on $T^{3}$. The gauge group with $\pi_{1}=\mathbb{Z}_{2}^{5}$ (cf. eq. (??)) does not admit further enhancements.

We note that there are many cases in which two gauge groups have isomorphic fundamental groups with inequivalent inclusions in the center of the universal covering (meaning that they are not related by outer automorphisms of the group, as is the case e.g. for $\mathrm{SO}(2 n)$ versus $\operatorname{Spin}(2 n) / \mathbb{Z}_{2}$ for $\left.n \neq 4\right)$. These are not distinguished in Table B.1, so that the numbering goes only up to 1163. The inequivalence is taken into account in Table B. 6 by putting primes on the corresponding numbering.

### 4.4.2 Triples

The results for the components of the moduli space with rank reduction are obtained by an extension of the exploration algorithm taking into account Proposition 4.2.3. The gauge groups are recorded in Tables B.2 to B.5 in Appendix B.2.1, while the generators for the fundamental groups are recorded in Tables B.7 and B. 8 in Appendix B.2.2. In the case of the $\mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$-triples all of the gauge groups are simply connected and so no global data is required to specify them. The data is presented with the same conventions as for the Narain component, together with the notation defined in eq. 4.3.4. As explained in Section 4.3.3, all the gauge groups for the non-trivial $\mathbb{Z}_{m}$ triples can be obtained from those of the Narain component using a projection map generalizing the one obtained in [57] for the 8d CHL string. The total number of distinct gauge algebras and distinct gauge groups are listed in Table 4.3.


Table 4.3: Number of algebras and groups of each rank with a certain fundamental group for the heterotic $\mathbb{Z}_{2}, \mathbb{Z}_{3}, \mathbb{Z}_{4}, \mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$ triples.

## Chapter 5

## New moduli spaces from frozen singularities

We have been so far concerned with the computation of gauge symmetry enhancements for various compactifications of heterotic strings, and along the way made contact with the phenomenon of partially frozen singularities in F/M theory. In this Chapter we will revisit this latter problem taking into account the extra data defining the different configurations of ADE singularities that can be obtained from lattice embeddings. In this way, we show that certain collections of ADE singularities come in two different presentations depending on this data, and for each presentation the freezing defines an inequivalent moduli space component.

### 5.1 F-Theory with frozen singularities (8d)

The data characterizing an F-theory vacuum in eight dimensions is encoded in the Weierstrass model for an elliptically fibered K3 surface with section,

$$
\begin{equation*}
y^{2}=x^{3}+f(u, v) x z^{4}+g(u, v) z^{6} \tag{5.1.1}
\end{equation*}
$$

where $x, y, z$ are the homogeneous coordinates on the fiber ambient space $\mathbb{P}^{231}, u, v$ are the homogeneous coordinates on the base $\mathbb{P}^{1}$, and $f, g$ are arbitrary polynomials of degree 8 and 12. There are generically 24 singular fibers located at the points of the base given by the zeros of the discriminant

$$
\begin{equation*}
\Delta(u, v)=4 f^{3}(u, v)+27 g^{2}(u, v) . \tag{5.1.2}
\end{equation*}
$$

Varying the complex structure moduli of the K3, the zeros of $\Delta$ may degenerate, and the singularities at such points worsen. Depending on the monodromies around these singularities, the gauge algebra of the physical theory can get enhanced from the generic $18 \mathfrak{u}(1)$ to various non-abelian algebras.

### 5.1.1 One frozen singularity

The possible singularities that can occur were classified by Kodaira and Néron and are well known by now. Of particular importance to us is that of type $I_{4+n}^{*}$, which gives rise to an $\mathfrak{s o}(16+2 n)$ gauge algebra. It has an alternative variant $\hat{I}_{4+n}^{*}$ in F-Theory whose gauge algebra is instead $\mathfrak{s p}(n)$ [61], but is indistinguishable from $I_{4+n}^{*}$ at the level of the Weierstrass model (5.1.1). For $n=0$, it does not produce gauge symmetry, and cannot be split into other singularities; the 8 complex structure moduli whose variation would produce this effect are frozen. A nice explanation of these facts from the type II orientifold point of view can be found in 64].

On the other hand, gauge symmetry enhancements are known to correspond to primitive sublattices of the even self-dual Lorentzian lattice $\Gamma_{2,18}$. In particular, the moduli space of F theory with generic gauge algebra $\mathfrak{s o}(16)$ corresponds to a primitive embedding of the lattice $D_{8}$ into $\Gamma_{2,18}$, which is unique up to automorphisms. Therefore, all configurations with a generic $I_{4}^{*}$, possibly inside an $I_{4+n}^{*}$, can be deformed into each other without breaking the associated $\mathfrak{s o}(16)$ subalgebra, and the moduli space of vacua with one partially frozen $I_{4+n}^{*}$ is unique. This corresponds to a type II orientifold on $T^{2}$ with one $O 7^{+}$-plane and three $O 7^{-}$-planes, and is dual to the CHL string in eight dimensions.

### 5.1.2 Two frozen singularities

It is also possible to have two singular fibers $I_{4+n}^{*}$ and $I_{4+m}^{*}$ at the same time, which can be partially frozen. In this case, however, not all such freezings are equivalent. To see this, note that a generic setup $2 I_{4}^{*}$ has gauga algebra $2 \mathfrak{s o}(16)$, and so it corresponds to a primitive sublattice of $\Gamma_{2,18}$ whose root sublattice is $2 D_{8}$. It turns out that there are two such lattices,

$$
\begin{align*}
& \Lambda_{1}=W_{\operatorname{Spin}(16) \times \operatorname{Spin}(16) / \mathbb{Z}_{2}},  \tag{5.1.3}\\
& \Lambda_{2}=W_{\operatorname{Spin}(16) \times \operatorname{Spin}(16)} .
\end{align*}
$$

$\Lambda_{1}$ is the weight lattice of $\operatorname{Spin}(16) \times \operatorname{Spin}(16) / \mathbb{Z}_{2}$, where the fundamental group is generated by the diagonal spinor class $k=(s, s)$ in the center of $\operatorname{Spin}(16) \times \operatorname{Spin}(16)$, and $\Lambda_{2}$ is the weight lattice of the simply connected $\operatorname{Spin}(16) \times \operatorname{Spin}(16)$, or equivalently its root lattic $\epsilon^{17}$. There are therefore two distinct moduli spaces with generic gauge algebra $2 \mathfrak{s o}(16)$ : one with generic gauge group $\operatorname{Spin}(16) \times \operatorname{Spin}(16) / \mathbb{Z}_{2}$ and the other with $\operatorname{Spin}(16) \times \operatorname{Spin}(16)$. At the level of the elliptic K3 geometry, the first generically has an order two torsional section in its Mordell-Weil group, while the other does not.

To see that one of these configurations corresponds to a perturbative type II orientifold

[^3]on $T^{2}$, consider the dual $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic string on $T^{2}$ with Wilson lines
\[

$$
\begin{equation*}
A_{1}=\left(0^{8}, \frac{1}{2}^{8}\right), \quad A_{2}=\left(0^{16}\right) \tag{5.1.4}
\end{equation*}
$$

\]

The first Wilson line breaks the gauge group to $\operatorname{Spin}(16)^{2} / \mathbb{Z}_{2}$. In particular, we have that

$$
\begin{equation*}
A_{1} \cdot\left(\frac{1}{2}^{16}\right)=2 \in \mathbb{Z} \tag{5.1.5}
\end{equation*}
$$

and so the massive spinor in the weight lattice is preserved. We can then read the values $\left(A_{1}^{a}, A_{2}^{a}\right)$, with $a=1, \ldots, 16$, as the coordinates of the sixteen $D 7$-brane pairs in the dual orientifold $T^{2}$. Eight pairs are located at one $O 7^{-}$-plane and the eight pairs at another, while two $O 7^{-}$-planes remain naked. Exchanging the two $O 7^{-}+8 D 7$ stacks by $O 7^{+}$planes realizes the rank 2 theory orientifold theory, referred to as $(+,+,-,-)$ in 99 .

To get instead $\operatorname{Spin}(16) \times \operatorname{Spin}(16)$ we must set the second Wilson line to

$$
\begin{equation*}
A_{2}=\left(1,0^{15}\right), \tag{5.1.6}
\end{equation*}
$$

which preserves the gauge algebra but breaks the massive spinor in $\Lambda_{2}$, since $A_{2} \cdot\left(\frac{1_{2}{ }^{16}}{}\right)=\frac{1}{2} \notin \mathbb{Z}^{2}$. This value for $A_{2}$ is however beyond the region in moduli space which can be perturbatively described by a Type II orientifold.

### 5.1.3 Effect on the gauge groups

The gauge symmetry groups that can occur in F-Theory with one frozen singularity have been studied exhaustively from the point of view of the dual CHL string in [2, 57], and more recently from the point of view of type IIB string junctions [65]. A map relating all rank 18 groups with $\operatorname{Spin}(16)$ subgroup with all rank 10 groups, taking into account their topology, was also obtained in [57]. In the F-Theory picture, this map simply transforms $I_{4+n}^{*}$ into $\hat{I}_{4+n}^{*}$, so that an $\mathfrak{s o}(16+2 n)$ algebra transforms into an $\mathfrak{s p}(n)$. The fundamental group $H$ of the full gauge group transforms at the level of its generators, but the result $H^{\prime}$ is isomorphic to $H$ in every case, so that for example the gauge group $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ transforms into $\operatorname{Sp}(8) / \mathbb{Z}_{2}$. Therefore, the fundamental group is still given by the torsion of the Mordell-Weil group of sections MW as for the standard component 66,67].

[^4]
## Component $\mathcal{M}_{2 D_{8}}$

Now we wish to extend this picture to the moduli space components with gauge group rank 2 , starting with the one with frozen singularities associated to the gauge group $\operatorname{Spin}(16)^{2} / \mathbb{Z}_{2}$ which we denote by $\mathcal{M}_{2 D_{8}}$. The only gauge groups that arise in the fibrations that can be mapped to this moduli space are the following [1,20]:

| $\tilde{G}$ | $\operatorname{Spin}(16)^{2} \times U(1)^{2}$ | $\operatorname{Spin}(16)^{2} \times S U(2) \times U(1)$ | $\operatorname{Spin}(16)^{2} \times S U(2)^{2}$ |
| :---: | :---: | :---: | :---: |
| $H$ | $\mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ |
| $\left\{k_{i}\right\}$ | $(s, s)$ | $(s, s, 0)$ | $(s, s, 0,0),(c, v, 1,1)$ |

where $\tilde{G}$ is the universal cover, $H$ the fundamental group and $\left\{k_{i}\right\}$ the generators of $H$, whose entries correspond to the non-abelian factors in $\tilde{G}$. At the level of the algebras, we have that $2 \mathfrak{s o}(16) \mapsto \emptyset$ in each case, corresponding to the freezing of the fibers $2 I_{4}^{*} \rightarrow 2 \hat{I}_{4}^{*}$. In contrast with the case of one frozen singularity, however, we see that $H$ must transform. The generic gauge group in the rank 2 moduli space should be $U(1)^{2}$ and so $H^{\prime}=\emptyset$. Indeed we define $H$ as the fundamental group of the non-abelian part of the gauge group, ignoring the topological aspects of the abelian part [57]. There is still an order two section in the elliptic K3, but it does not intersect the singular fibers that result in gauge symmetries. This can be seen clearly in the two enhancements in the table above. The first enhancement is therefore just $S U(2)$, but the maximal one is more interesting. We expect it to be $S U(2)^{2} / \mathbb{Z}_{2}$, with $\mathbb{Z}_{2}$ diagonal, or equivalently $S O$ (4). An explicit computation in [65] confirms this expectation.

Now, two constraints for non-simply-connected gauge groups $G$ in quantum gravity theories were presented recently in [68] and [58], respectively, and it is instructive to see that the results here satisfy them. The former requires that in the case $G$ only has real representations, it must satisfy $\operatorname{dim}(G)+\operatorname{rank}(G)=0 \bmod 8$. The group $S O(4)$ has dimension 28 and rank 4 and so passes the test. The later requires in particular for $G$ with universal cover $S U\left(n_{1}\right) \times \cdots \times S U\left(n_{s}\right)$ and cyclic $\pi_{1}(G)$ that

$$
\begin{equation*}
\sum_{a=1}^{s} \frac{n_{a}-1}{2 n_{a}} k_{a}^{2} m_{a} \in \mathbb{Z}, \tag{5.1.8}
\end{equation*}
$$

where $m_{a}$ is the level of the $a$-th factor in the associated current algebra and $k_{a}$ is the $a$-th component of the generator of $\pi_{1}(G)$. For $S U(2)^{2} / \mathbb{Z}_{2}$, 5.1.8) reduces to

$$
\begin{equation*}
\frac{m_{1}+m_{2}}{4} \in \mathbb{Z} . \tag{5.1.9}
\end{equation*}
$$

Given that the two $S U(2)$ factors are in the same footing, we take $m_{1}=m_{2}=m$. It is
clear then that $m \geq 2$. On the other hand we have that the gauge group contributes to the left-moving central charge as

$$
\begin{equation*}
c_{G}=2 \times \frac{m \operatorname{dim} G}{m+h^{\vee}} . \tag{5.1.10}
\end{equation*}
$$

For $m=2$ this gives $c_{G}=3$, which together with the contribution $c=6+3$ coming from the transverse bosons and their worldsheet superpartners saturates the bound $c_{L} \leq 12$ for the Type II string.

## Component $\mathcal{M}_{2 D_{8}}^{\prime}$

Let us now consider the "new" moduli space component $\mathcal{M}_{2 D_{8}}^{\prime}$, with frozen singularities associated to the gauge group $\operatorname{Spin}(16)^{2}$. Prior to freezing we have only the following enhancements:

$$
\begin{array}{|l||l|l|l|}
\hline G & \operatorname{Spin}(16)^{2} \times U(1)^{2} & \operatorname{Spin}(16) \times \operatorname{Spin}(18) \times U(1) & \operatorname{Spin}(18)^{2}  \tag{5.1.11}\\
\hline
\end{array}
$$

Since there is no torsional MW at all, the gauge groups that result after freezing the singularities are $U(1)^{2}, S U(2) \times U(1)$ and $S U(2)^{2}$. These results are also in agreement with 65].

At the level of gauge algebras, we see that the symmetry enhancements in this moduli space are exactly the same as those of $\mathcal{M}_{2 D_{8}}$. The difference is only seen in the gauge group topology, which involves massive states.

### 5.1.4 Charge lattices

The charge lattice associated to the moduli space of F -theory with one frozen singularity is the orthogonal complement of the lattice $D_{8}$ in $\Gamma_{2,18}$, namely $\Gamma_{2,2} \oplus D_{8}$. In fact, all the charge lattices constructed in [9] for theories with 16 supercharges down to six dimensions are orthogonal complements of the sublattice of the Narain lattice corresponding to the frozen moduli, as first observed by Mikhailov [55]. It is therefore natural to guess that for the two moduli spaces with gauge group rank 2 in eight dimensions the charge lattices are respectively the orthogonal complements of $\Lambda_{1}$ and $\Lambda_{2}$ (cf. Eq. 5.1.3) in $\Gamma_{2,18}$, which take the form

$$
\begin{align*}
\Gamma_{2 D 8} & =\Gamma_{1,1} \oplus \Gamma_{1,1}(2),  \tag{5.1.12}\\
\Gamma_{2 D_{8}}^{\prime} & =\Gamma_{2,2}(2), \tag{5.1.13}
\end{align*}
$$

where the notation $(n)$ means that the lattice vectors are dilated by a factor of $\sqrt{n}$. This $\Gamma_{2 D_{8}}$ indeed matches the proposed charge lattice in [65], and in fact can be obtained by shaving off a $\Gamma_{1,1}(2)$ from the charge lattice of F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{2}[9]$, hence it corresponds to a decompactification limit thereof. Here we emphasize however that there are two distinct moduli space components in question including one with a different charge lattice $\Gamma_{2 D_{8}}^{\prime}$.

Let us now look at how the root lattices of the maximal symmetry enhancements are realized in each case. For $\Gamma_{2 D_{8}}$ we note first that it corresponds to a compactification of the nine dimensional theory with charge lattice $\Gamma_{1,1}$ (for example the AOA string) which adds the lattice $\Gamma_{1,1}(2)$. One enhancement to $\mathfrak{s u}(2) \oplus \mathfrak{u}(1)$ can therefore be associated to the $\mathfrak{s u}(2)$ of the nine dimensional theory given by massless states with charge vectors

$$
\begin{equation*}
\left|\alpha_{1}\right\rangle=\left|n_{1}, n_{2}, w^{1}, w^{2}\right\rangle=|1,0,1,0\rangle, \tag{5.1.14}
\end{equation*}
$$

where the lattice inner product is given by $n_{1} w^{\prime 1}+n_{1}^{\prime} w^{1}+n_{2} w^{\prime 2}+n_{2}^{\prime} w^{2}$. Now we must take e.g. $n_{2} \in \mathbb{Z}$ and $w^{2} \in 2 \mathbb{Z}$ corresponding to $\Gamma_{1,1}(2)$. Recall that in the CHL string when we compactify the theory from nine to eight dimensions, the charge lattice $\Gamma_{1,9}$ is extended to $\Gamma_{1,9} \oplus \Gamma_{1,1}(2)$, and the added factor hosts a vector $|1,2\rangle$ with squared norm 4 giving an $\mathfrak{s u}(2)$ at level 1 which is distinguished from those enhancements obtained from the rest of the lattice, and is in fact responsible for the appearance of symplectic gauge groups. In the present case however the enhancement to $2 \mathfrak{s u}(2)$ is symmetric in both factors so that states with charge vectors $|0,1,0,2\rangle$ in $\Gamma_{2 D_{8}}$, which cannot be mapped to $|1,0,1,0\rangle$, are expected to be always massive. In other words, by tuning the moduli of the compactification circle alone one cannot get a symmetry enhancement. We take the second $\mathfrak{s u}(2)$ to have instead the charge vectors

$$
\begin{equation*}
\left|\alpha_{2}\right\rangle=|1,1,-1,2\rangle . \tag{5.1.15}
\end{equation*}
$$

With the choices for $\alpha_{1}$ and $\alpha_{2}$ for the root lattice $L$ of $2 \mathfrak{s u}(2)$ let us now verify that the gauge group is indeed $S U(2)^{2} / \mathbb{Z}_{2} \simeq S O(4)$, which amounts to proving that all the states in $\Gamma_{2 D_{8}}$ sit either in the adjoint or the fundamental representation of both $S U(2)$ 's simultaneously. To this end, first consider the orthogonal complement $L^{\perp}$ of $L$ in $\Gamma_{2 D_{8}}$, generated by the vectors

$$
\begin{equation*}
\left|\beta_{1}\right\rangle=|1,0,-1,2\rangle, \quad\left|\beta_{2}\right\rangle=|0,1,0,-2\rangle . \tag{5.1.16}
\end{equation*}
$$

The lattice $L \oplus L^{\perp}$ has determinant $4 \times 4=16$, and so the vectors that extend it to $\Gamma_{2 D_{8}}$, which has determinant 4 , correspond to a vector $|v\rangle$ such that $2|v\rangle \in L \oplus L^{\perp}$ together with all translations of $|v\rangle$ by elements of $L \oplus L^{\perp}$. We may take

$$
\begin{equation*}
|v\rangle=|0,0,1,0\rangle, \tag{5.1.17}
\end{equation*}
$$

which sits in the fundamental representation of both $S U(2)$ 's as expected. The remaining extra vectors also satisfy this property and so $\operatorname{Spin}(4)$ spinors are not present and the gauge group is $S O(4)$.

The case of $\Gamma_{2 D_{8}}^{\prime}$ is simpler. The most natural choice is to take each $S U(2)$ to correspond to each $\Gamma_{1,1}(2)$ factor. In this way, each $S U(2)$ is simply connected, as expected, since each $S U(2)$
root lattice can be completed to $\Gamma_{1,1}(2)$ by adding the vector corresponding the fundamental representation. In Section 5.3 we will argue that this lattice can be lifted to the charge lattice $\Gamma_{1,1}(2)$ corresponding to a new nine dimensional moduli space with gauge group rank 1 apart from the two studied in [69].

### 5.2 M-Theory with frozen singularities (7d)

Now we wish to extend the discussion of inequivalent theories with the same type of frozen singularities to seven dimensions, where the relevant setting is M-Theory on a K3 surface. The standard component, with gauge groups of rank 19, is just the moduli space of K3 surface metrics. At special points, this surface develops du Val (or ADE) singularities, whose neighborhoods are of the form $\mathbb{C}^{2} / \Gamma_{\mathfrak{g}}$, with $\Gamma_{\mathfrak{g}}$ a subgroup of $S U(2)$ related to an ADE algebra $\mathfrak{g}$. The presence of such singularities enhance certain $\mathfrak{u}(1)$ 's of the gauge algebra of the theory to a direct sum of their associated ADE algebras.

A partially frozen singularity in this case is an ADE singularity on which the 3 -form field $C_{3}$ is turned on such that

$$
\begin{equation*}
\int_{S^{3} / \Gamma_{\mathfrak{g}}} C_{3}=\frac{p}{q} \quad \bmod 1 \neq 0 \tag{5.2.1}
\end{equation*}
$$

with $S^{3} / \Gamma_{\mathfrak{g}}$ enveloping it. Depending on the ADE type, certain values for $p$ and $q$ are allowed, and the gauge algebra that is realized is transformed into one of lower rank and possibly non-simply-laced. What concerns us here is that these partial freezings lead to different connected components in the moduli space and can be realized in subspaces of the standard component with generic ADE configurations of the following type:

$$
\begin{equation*}
2 D_{4}^{1 / 2}, \quad E_{6}^{1 / 3}+E_{6}^{2 / 3}, \quad E_{7}^{1 / 4}+E_{7}^{3 / 4}, \quad E_{8}^{1 / 5}+E_{8}^{4 / 5}, \quad E_{8}^{1 / 6}+E_{8}^{5 / 6} \tag{5.2.2}
\end{equation*}
$$

or

$$
\begin{equation*}
4 D_{4}^{1 / 2}, \quad 3 E_{6}^{1 / 3}, \quad D_{4}^{1 / 2}+2 E_{7}^{1 / 4}, \quad D_{4}^{1 / 2}+E_{6}^{1 / 3}+E_{8}^{1 / 6} \tag{5.2.3}
\end{equation*}
$$

where the superindex denotes the value of $p / q$ for each singularity. As for the eight dimensional case, these singularities are related to sublattices of $\Gamma_{3,19}$ whose root sublattices are of the corresponding ADE type.

It can be shown that the root lattices for the singularities in (5.2.2) are embedded uniquely into $\Gamma_{3,19}$, and that there are no overlattices thereof (such as the weight lattice of $E_{6}^{2} / \mathbb{Z}_{3}$ ) in $\Gamma_{3,19}$, so that the associated moduli space components are unique. In (5.2.3), this statement holds for the last configuration but not for the others! Instead, we find them to have two components each. In the following we consider each case in detail.

### 5.2.1 Components with frozen $4 D_{4}$

As in Section 5.1.2, we can easily find different gauge groups with the same algebra $4 \mathfrak{s o}$ (8) by considering the dual heterotic string on $T^{3}$. In this case there are three, with the same values for two Wilson lines:

$$
\begin{align*}
& A_{1}=\left(0^{4}, 0^{4}, \frac{1}{2}^{4}, \frac{1}{2}^{4}\right),  \tag{5.2.4}\\
& A_{2}=\left(0^{4}, \frac{1}{2}^{4}, 0^{4}, \frac{1}{2}^{4}\right),
\end{align*}
$$

which break $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ to $\operatorname{Spin}(8)^{4} / \mathbb{Z}_{2}^{2}$ with fundamental group

$$
\begin{equation*}
\mathbb{Z}_{2}^{2}=\{(0,0,0,0),(s, s, s, s),(v, v, v, v),(c, c, c, c)\} . \tag{5.2.5}
\end{equation*}
$$

The nontrivial elements in this group correspond respectively to the $\Gamma_{16}$ vectors

$$
\begin{equation*}
u_{s}=\left(\frac{1}{2}^{16}\right), \quad u_{v}=\left(0^{3}, 1,-1,0,0,1,-1,0,0,1,-1,0^{3}\right), \quad u_{c}=\left(\frac{1}{2}^{15},-\frac{1}{2}\right) . \tag{5.2.6}
\end{equation*}
$$

Then there are different choices of $A_{3}$ which preserve the gauge algebra but may change the topology of the gauge group, namely

$$
\begin{align*}
A_{3}^{(1)}=\left(0^{12}, 0,0,0,0\right): & \mathbb{Z}_{2}^{2} \mapsto \mathbb{Z}_{2}^{2}, \\
A_{3}^{(2)}=\left(0^{12}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\right): & \mathbb{Z}_{2}^{2} \mapsto \mathbb{Z}_{2}=\{(0,0,0,0),(s, s, s, s)\},  \tag{5.2.7}\\
A_{3}^{(3)}=\left(0^{12}, 0,0,0,1\right): & \mathbb{Z}_{2}^{2} \mapsto \mathbb{Z}_{2}=\{(0,0,0,0),(v, v, v, v)\}, \\
A_{3}^{(4)}=\left(0^{12}, \frac{1}{2}, \frac{1}{2}, \frac{1}{2},-\frac{1}{2}\right): & \mathbb{Z}_{2}^{2} \mapsto \mathbb{Z}_{2}=\{(0,0,0,0),(c, c, c, c)\} .
\end{align*}
$$

The weight lattices corresponding to the last three configurations are all isomorphic due to the triality property of $D_{4}$, but they seem to be embedded into $\Gamma_{3,19}$ in inequivalent ways; we find no T-dualities that relate the different configurations of Wilson lines. Regardless, they have isomorphic orthogonal complements and so the theories they define have the same charge lattice and symmetry enhancing patterns. We conclude therefore that even if there were inequivalent configurations of $4 D_{4}$ singularities associated to $\operatorname{Spin}(8)^{4} / \mathbb{Z}_{2}$, freezing them would produce physically equivalent theories.

We will restrict ourselves to the first two configurations in (5.2.7). They lie in the region of the moduli space which can be described perturbatively by Type II orientifolds on $T^{3}$ (see Figure 5.1), where one can then exchange each $O 6^{-}+4 D 6$ stack by an $O 6^{+}$plane, giving two different configurations with rank reduction by 16. These were identified in [9] as perturbatively inequivalent, with the condition for full nonperturbative inequivalence being that the corresponding lattice embeddings of $2 D_{4}$ in $\Gamma_{3,19}$ be distinct. We have already proven this later statement above, where the inequivalence in the root lattice embeddings is captured
by the topology of the corresponding gauge group. Moreover, this second configuration gives rise to a rank 3 moduli space naturally identified with the circle compactification of the rank 2 component in F-Theory $\mathcal{M}_{2 D_{8}}^{\prime}$, which is perhaps easier to see by using $A_{3}^{(3)}$ instead of $A_{3}^{(2)}$ in (5.2.7).

Let us refer to the moduli spaces discussed here as $\mathcal{M}_{4 D 4}$ and $\mathcal{M}_{4 D 4}^{\prime}$, respectively. As before, we take the charge lattices to be the orthogonal complements of the weight lattices of the generic gauge groups prior to freezing, obtaining

$$
\begin{align*}
\Gamma_{4 D 4} & =\Gamma_{1,1} \oplus \Gamma_{2,2}(2)  \tag{5.2.8}\\
\Gamma_{4 D 4}^{\prime} & =\Gamma_{3,3}(2)
\end{align*}
$$

Both of these are obtained from $\Gamma_{2 D_{8}}$ and $\Gamma_{2 D_{8}}^{\prime}$ (cf. Eqs. 5.1.12), 5.1.13) ) by adding a $\Gamma_{1,1}(2)$ factor, as usual. In the first case, there is one maximal symmetry enhancement coming from

$$
\begin{equation*}
\frac{\operatorname{Spin}(8)^{4} \times S U(2)^{3}}{\mathbb{Z}_{2}^{4}} \tag{5.2.9}
\end{equation*}
$$

with $\mathbb{Z}_{2}^{4}$ generated by $\{(s, s, s, s, 0,0,0),(c, c, c, c, 0,0,0),(0, s, c, v, 0,1,1),(0, c, v, s, 1,0,1)\}$ [3], which is of the form

$$
\begin{equation*}
G=\frac{S U(2)^{3}}{\mathbb{Z}_{2}^{2}}, \quad \mathbb{Z}_{2}^{2}=\{(0,0,0),(1,1,0),(0,1,1),(1,0,1)\} \tag{5.2.10}
\end{equation*}
$$

By giving mass to any of the $S U(2)$ gauge bosons, it breaks to $S O(4)$, in agreement with the results of Section 5.1.3. Again note that, as in $\mathcal{M}_{2 D_{8}}$, all of the $S U(2)$ 's enter symmetrically into $G$. In the second case we have a maximal enhancement

$$
\begin{equation*}
G=S U(2)^{3}, \tag{5.2.11}
\end{equation*}
$$

coming from $\operatorname{Spin}(10)^{3} \times \operatorname{Spin}(8) / \mathbb{Z}_{2}$ with $\mathbb{Z}_{2}=\{(0,0,0,0),(v, v, v, v)\}$.

### 5.2.2 Components with frozen $3 E_{6}$

The case of $3 E_{6}$ differs from $4 D_{4}$ insofar as the associated root lattice has rank 18 and so requires fixing not only Wilson line but also metric and B-field moduli in the dual heterotic frame. There are two sublattices of $\Gamma_{3,19}$ with root sublattice of ADE type $3 E_{6}$, hence two different moduli space components associated to this freezing.

The first moduli space component corresponds to the weight lattice

$$
\begin{equation*}
W_{E_{6}^{3} / \mathbb{Z}_{3}}, \tag{5.2.12}
\end{equation*}
$$



Figure 5.1: Inequivalent Type II orientifold configurations on $T^{3}$ with coordinates $\left(x^{1}, x^{2}, x^{3}\right)$ dual to the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic string on $T^{3}$ with the specified Wilson lines (see eqs. (5.2.4) and (5.2.7). The + sign corresponds to a stack of $4 D 6$-brane pairs on top of an $O 6^{-}$-plane. The - sign corresponds to a naked $O 6^{-}$-plane. Alternatively, the + signs can be interpreted as $\mathrm{Ob}^{+}$-planes, leading to rank reduction by 16 in two inequivalent ways. These correspond to inequivalent ways of freezing four $D_{4}$ singularities in the M-Theory dual K3.
with $\mathbb{Z}_{3}$ diagonal. This lattice actually admits also an embedding into $\Gamma_{2,18}[1,20]$ so that it can be realized with $A_{3}=0$, and in this sense is analogous to the first $4 D_{4}$ moduli space discussed in the previous section. Taking its orthogonal complement in $\Gamma_{3,19}$, we find the charge lattice

$$
\begin{equation*}
\Gamma_{3 E_{6}}=A_{2}(-1) \oplus \Gamma_{1,1}, \tag{5.2.13}
\end{equation*}
$$

which matches the charge lattice of F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{3}[9]$. Lastly, the gauge group $E_{6}^{3} / \mathbb{Z}_{3}$ in the standard component can only be enhanced to $\left(E_{6}^{3} / \mathbb{Z}_{3}\right) \times S U(2)$, and correspondingly, we find only one symmetry enhancement $U(1) \rightarrow S U(2)$. In analogy with the moduli space component associated to $E_{6}^{2}$, we expect that this $S U(2)$ will be at level 3 . It would be good to confirm this explicitly.

The second component corresponds to

$$
\begin{equation*}
W_{E_{6}^{3}}, \tag{5.2.14}
\end{equation*}
$$

which can be realized by appropriately turning on $A_{3}$ in the heterotic frame. The charge lattice is found to be

$$
\begin{equation*}
\Gamma_{3 E_{6}}^{\prime}=A_{2}(-1) \oplus \Gamma_{1,1}(3), \tag{5.2.15}
\end{equation*}
$$

which has a symmetry enhancement $U(1) \rightarrow S U(2)$ associated to the standard component gauge group $E_{6}^{2} \times E_{7}$. Perhaps this theory is dual to F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{3}$ with a discrete modulus field turned on in such a way that is compatible with the orbifold symmetry.

### 5.2.3 Components with frozen $D_{4}+2 E_{7}$

The case of $D_{4}+2 E_{7}$ is similar to that of $3 E_{6}$, having two different moduli space components. The first is associated to the lattice

$$
\begin{equation*}
W_{\operatorname{Spin}(8) \times E_{7}^{2} / \mathbb{Z}_{2}} \tag{5.2.16}
\end{equation*}
$$

which can be realized with $A_{3}=0$. The charge lattice is

$$
\begin{equation*}
\Gamma_{D_{4}+2 E_{7}}=2 A_{1}(-1) \oplus \Gamma_{1,1}, \tag{5.2.17}
\end{equation*}
$$

matching that of F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{4}$. There are two symmetry enhancements of the type $U(1) \rightarrow S U(2)$, coming respectively from the standard component gauge groups $\left(\operatorname{Spin}(8) \times E_{7}^{2}\right) / \mathbb{Z}_{2} \times S U(2)$ and $\left(\operatorname{Spin}(10) \times E_{7}^{2}\right) / \mathbb{Z}_{2}$ with $\mathbb{Z}_{2}$ diagonal [3].

The second component is associated to the lattice

$$
\begin{equation*}
W_{S p i n(8) \times E_{7}^{2}}, \tag{5.2.18}
\end{equation*}
$$

and has charge lattice

$$
\begin{equation*}
\Gamma_{D_{4}+2 E_{7}}^{\prime}=2 A_{1}(-1) \oplus \Gamma_{1,1}(2) \tag{5.2.19}
\end{equation*}
$$

It also has two symmetry enhancements, which seem to be $U(1) \rightarrow S U(2)$ and $U(1) \rightarrow S O(3)$, coming respectively from $\operatorname{Spin}(10) \times E_{7}^{2}$ and $\operatorname{Spin}(8) \times E_{7} \times E_{8}$. An embedding of the simple root for $S O(3)$ into $\Gamma_{2}$ can be taken as

$$
\begin{equation*}
|\alpha\rangle=|i \sqrt{2}, 0 ; 1,2\rangle \tag{5.2.20}
\end{equation*}
$$

where the first two components realize an $A_{1}(-1)$ each, using the inner product

$$
\begin{equation*}
\left\langle a, b ; n, m \mid a^{\prime}, b^{\prime} ; n, m^{\prime}\right\rangle=a a^{\prime}+b b^{\prime}+n m^{\prime}+n^{\prime} m . \tag{5.2.21}
\end{equation*}
$$

It is easy to see that there are no vectors in $\Gamma_{2}$ with inner product 1 with $|\alpha\rangle$, hence it corresponds to $S O(3)$ and not $S U(2)$. This conclusion hinges on interpreting all norm 2 vectors as roots corresponding to massless states, which depending on finer details of the theory may not be the case. In fact, we can show that the actual enhancement is not $S O(3)$ but rather $S U(2)$ by connecting this theory to the classification of meromorphic CFTs with central charge 24 explained in Chapter 8 .

### 5.3 Uplift to 9d

When theories with rank reduction are further compactified on a circle, their charge lattices get extended by a lattice of the form $\Gamma_{1,1}(n)$. From the results of [9], we have that $n=$ $2,3,4,6$ for the 7 d theories related to freezing the singularities $4 D_{4}, 3 E_{6}, D_{4}+2 E_{7}$ and $D_{4}+E_{6}+E_{8}$, respectively. The alternative component for the first of these singularities that we have proposed has charge lattice $\Gamma_{3,3}(2)$, which uplifts to $\Gamma_{2,2}(2)$ upon decompactification to $\mathcal{M}_{2 D_{8}}^{\prime}$. The logic here outlined clearly suggests that this procedure can be carried upwards to a nine dimensional theory with charge lattice $\Gamma_{1,1}(2)$.

To add more weight to this conjecture, note that the mechanism of singularity freezing is sensible only to the gauge algebra in eight and seven dimensions, and that the frozen singularity of type $4 D_{4}$ in 7 d uplifts to $2 D_{8}$ in 8 d . This uplifting is related to the fact that the later has a Dynkin diagram which is embedded into the affine Dynkin diagram of the former [61]. Then, $2 D_{8}$ can be further uplifted to either $2 E_{8}$ or $\left.D_{16}\right]^{3}$, and that there are two possibilities matches nicely with the fact that $\mathcal{M}_{2 D_{8}}$ has two rank 1 decompactification limits (see [65] for a verification from the point of view of string junctions). What we argue here is that as well as for $2 D_{8}$, there are two inequivalent theories associated to $D_{16}$, the difference being manifest in the generic gauge group associated to the configuration of the given theory prior to reducing the rank.

No singularity freezing mechanism is known in the case of nine dimensional theories, but it is not hard to propose ond ${ }^{4}$. In [34] a nonperturbative description of the Type I' was proposed to be given by the geometry of a real elliptic K3 surface, emerging naturally as a decompactification limit of an elliptic K3 in a similar way to how an elliptic K3 emerges from a generic K3 surface. On it, the gauge groups $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ and $\operatorname{Spin}(32)$ can be realized, and indeed a motivation for this construction was the fact that these gauge groups are not distinguished at the perturbative level from the Type I' picture. We can then define a frozen singularity as an ADE singularity in the real K3 of type $E_{8}$ or $D_{16}$, which would correspond in the Type I' picture respectively to a neutral $O 8$-plane [69] or an $O 8^{+}$-plane. In the later case, a situation similar to the one in eight dimensions would hold, producing two inequivalent rank 1 moduli spaces with charge lattices $\Gamma_{1,1}$ and $\Gamma_{1,1}(2)$ respectively.

This reasoning implies that in nine dimensions there are three inequivalent nonabelian gauge groups of rank 1, each one corresponding to a different theory. In fact, this matches nicely with the results in Table 4 of [72], whereby Swampland considerations lead to three possible such gauge groups, denoted by $A_{1}, E_{1}$ and $C_{1}$. We argue that the later two are

[^5]naturally identified with the frozen singularities $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ and $\operatorname{Spin}(32)$, respectively, and are not connected one to another. This expectation matches an analysis of potential discrete theta angles in nine dimensional string compactifications with $\mathcal{N}=1$. Our results imply the existence of new string compactifications which do exist and are the subject of the next Chapter.

## Chapter 6

## New string theories from discrete theta angles

Having seen that the mechanism of singularity freezing naturally predicts the existence of new moduli spaces, we now turn to the question of what are they stringy descriptions. In this chapter we show that there indeed exist new string theories corresponding to these moduli spaces, being characterized by the presence of a discrete theta angle.

### 6.1 The Sethi string and related discrete theta angles

In [73, Sethi proposed a new string theory in 10 dimensions. The basic idea was quite simple: In the usual description of type I string theory as an $O 9$ orientifold of type II, some of the IIB fields are projected out by the orientifold projection. In particular, under the action of $\Omega$ 74, 75,

$$
\begin{equation*}
B_{2} \rightarrow-B_{2}, \quad C_{4} \rightarrow-C_{4} \quad C_{2} \rightarrow C_{2}, \quad C_{0} \rightarrow-C_{0} \tag{6.1.1}
\end{equation*}
$$

The fact that $B_{2}$ is projected out implies that type I strings can break. The unprojected $C_{2}$ is the 2-form that enters in the type I Green-Schwarz mechanism. We are interested in the RR axion. Since it is projected out, it is customary to take $C_{0}=0$. But [73] pointed out that, since $C_{0}$ is periodic, $C_{0} \sim C_{0}+1$, the orientifold only forces

$$
\begin{equation*}
C_{0}=-C_{0}+n, \quad n \in \mathbb{Z} \tag{6.1.2}
\end{equation*}
$$

and as a result, both $C_{0}=0,1 / 2$ are allowed. Sethi then proposed that $C_{0}$ plays the role of a discrete theta angle, and $C_{0}=1 / 2$ gives a new string theory in ten dimensions, identical at the massless level but differing from type I at the level of massive states. However it was difficult to match this proposal across the duality web. $C_{0}$ couples electrically to $D(-1)$ brane instantons. From a physical point of view, this means that, when turned on, this theta angle
would provide a contribution to the path integral of

$$
\begin{equation*}
e^{\pi i n} \tag{6.1.3}
\end{equation*}
$$

where $n$ is the number of $D(-1)$-branes in the configuration. Hence the theta angle detects the number of $D(-1)$-branes modulo 2 .

Interestingly, precisely this theta angle was considered by Witten in [76]. Type I branes are described in terms of KO-theory (associated to the $S O(32)$ gauge bundle of the $D 9$ branes) and since $K O\left(S^{10}\right)=\mathbb{Z}_{2}$, there is a ten-dimensional instanton in type I string theory, obtained as a topologically non-trivial soliton in $\mathbb{R}^{10}$ protected by $\pi_{9}(S O(32))=\mathbb{Z}_{2}$. The pointlike limit of this instanton is precisely the type I "-1"-brane described above. This can also be understood from the worldsheet tachyon condensation perspective.

In [76], Witten discusses the possibility of a $\mathbb{Z}_{2}$-valued theta angle for which the aforementioned (-1)-brane picks up a minus sign. This is precisely the Sethi theta angle. The type I supergravity action (and indeed, the type I worldsheet perturbation theory as a whole) is invariant not just under $S O(32)$ gauge transformations, but also under their extension to $O(32)$. However, any $O(32)$ element in the connected component not containing the identity is anomalous. This reduces the actual, unbroken long-range gauge symmetry group of the theory to $S O(32)$ (or $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ when non-perturbative D-brane states are also included), matching its heterotic counterpart. For this reason, $O(32)$ is rarely discussed in the context of type I. However, the anomalous transformation can still be useful. The nature of the anomaly is such that, when the anomalous transformation is carried out, the path integral changes by an amount

$$
\begin{equation*}
\exp (\pi i \mathcal{I}) \tag{6.1.4}
\end{equation*}
$$

where $\mathcal{I}$ is a mod 2 index that counts the number of instantons described above, modulo 2 . This is precisely the same factor turned on by the discrete theta angle in the path integral. Therefore, it was concluded in [76] that the later is actually unphysical. As a consequence, the Sethi string is completely equivalent to ordinary type I string.

To belabor the point, consider any supergravity correlation function to which $\mathbb{Z}_{2}$ instantons contribute, in ordinary type I string theory. As explained in [76], these instantons have an odd number $n$ of fermion zero modes. A non-vanishing correlation function to which these instantons contribute is then of the form

$$
\begin{equation*}
\left\langle\lambda^{n}\right\rangle_{\text {Type I }} \sim \int D \lambda \lambda^{n} e^{-S}, \tag{6.1.5}
\end{equation*}
$$

in $\mathbb{R}^{10}$, where $\lambda$ are the 10 -dimensional gluinos. To compute this amplitude in the supergravity approximation, one would sum over all finite-action $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ bundles in $\mathbb{R}^{10}$, which include the instanton and its $O(32)$ transformed solution; although the $O(32)$ transformations are not
a symmetry of the full theory, they can still be used to generate backgrounds from other backgrounds. Because of the anomaly, the measure $D \lambda$ in the partition function above picks a - sign in the instanton background; as the gluino $\lambda$ also picks a ( -1 ) under the $O(32)$ transformation, the amplitude is invariant.

Now consider the same amplitude in the Sethi string. It is identical to (6.1.5), except for an additional insertion of a factor (6.1.4),

$$
\begin{equation*}
\left\langle\lambda^{n}\right\rangle_{\text {Sethi }} \sim \int D \lambda \lambda^{n} e^{-S} e^{\pi i I} \tag{6.1.6}
\end{equation*}
$$

We see that the contribution of instantons flips a sign. But since $n$ is odd, this can be undone by simply redefining the gaugini by $\lambda \rightarrow-\lambda-$ precisely the effect of the $O(32)$ transformation we described above.

These arguments are completely analogous to the usual story in QCD with massless quarks. Here there is also a (continuous) theta angle, but it drops out of any physical observables as it can be washed away by a chiral rotation of the quarks. Specifically, in a non-abelian gauge theory with a massless Dirac fermion, a chiral rotation

$$
\begin{equation*}
\psi \rightarrow e^{i \varphi \gamma_{5}} \psi \tag{6.1.7}
\end{equation*}
$$

induces via the chiral anomaly a shift in the action

$$
\begin{equation*}
S \rightarrow S+\int \varphi \operatorname{tr}\left(F^{2}\right) \tag{6.1.8}
\end{equation*}
$$

and so if the original theory had a theta term $\int \theta \operatorname{tr}\left(F^{2}\right)$, a mere change of coordinates in the path integral would change it to $\varphi+\theta$.

The argument above is only exactly true if the chiral symmetry is an exact symmetry of the action. For example, if there are higher-dimension terms such as $(\bar{\psi} \psi)^{n}$ for $n>1$, the theory will still have massless quarks, but it will be impossible to perform the above manipulation. Analogously, what we established above is that the low-energy type I supergravity does not have a $\mathbb{Z}_{2}$ discrete theta angle. For type I string theory, however, the $O(32)$ symmetry is manifest at every order in string perturbation theory, and so the conclusion persists at all orders in string perturbation theory. If the $O(32)$ symmetry is really only broken by $(-1)$ brane instantons, the argument in [76] rules out the improved type I theta angle, at any order in string perturbation theory.

In a sense, the arguments above (which are just belaboring the point in [76|) do not settle the question completely. Although we have seen that the Sethi theta angle is trivial in supergravity, at every order in perturbation theory, and essentially in any observable we could compute, the argument does not exclude the possibility that there are exotic non-perturbative
effects, not captured by any of the known effects in the type I string, that make the theta angle physical again. Here we just point out that if that was the case, the resulting discrete theta angle would in practice have none of the properties expected from $C_{0}$ (it would be invisible to D-branes, etc). It would be so different that perhaps we should think of it as a completely new discrete theta angle in type I altogether, unrelated to any of the type I supergravity fields. Indeed, the existence of such completely arbitrary discrete parameters is very difficult to rule out; for a IIB example, consider the alternative anomaly cancellation mechanisms described in 77.

Another way to interpret the above is that type I string theory can somehow be viewed as an $O(32)$ theory (or more precisely, a Pin lift of it) spontaneously broken to $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ by the vev of $C_{0}$, which is charged in the determinant representation ${ }^{1}$. Another point is that an $O(32)$ reflection must flip the chirality of the heterotic spinor; it was observed in footnote 8 of [78] that the non-BPS, unstable $D 8$ brane of type I is precisely the domain wall between these two vacua. It would be interesting to see if this perspective can be pursued any further.

If $C_{0}$ is really unphysical, we should be able to see this in the worldvolume of branes as well. Consider first the worldvolume theory of a type I $D 5$ brane, which can also be viewed as a small type I instanton 76,79 . This brane has $S U(2)$ gauge fields at low energies, and the worldvolume theory admits a discrete theta angle associated to $\pi_{5}(S U(2))=\mathbb{Z}_{2}$. This charge allows one to construct a $D(-1)$ in the worldvolume of a $D 5$ brane. However, the theory also has an $S O(32)$ flavor symmetry [76, corresponding to the bulk $S O(32)$ group, which remains unbroken in the small instanton limit; there are hypermultiplets transforming in the (32,2) of $S O(32) \times S U(2)$. Just as above, the perturbative flavor symmetry is really $O(32)$; the worldvolume fermions are anomalous under the $O(32)$ transformation in such a way so as to render the theta angle unobservable, essentially for the same reasons as in the bulk.

The would-be type I discrete theta angle can also be studied in different corners of the duality web. We will now see that our proposal that it is unphysical fits what we know from other sources. For instance, 80 discusses a theta angle in type I' theory - the T-dual to a circle compactification of type I (see e.g. [81,82], described by an interval compactification with $O 8^{-}$planes at the endpoints whose RR tadpole is canceled by 16 mobile $D 8$ branes. Much like the Sethi theta angle involves turning on $C_{0}$ as allowed by orientifolds, the discussion in [80] is based in the observation that the $O 8^{-}$compactification involved in type I' projects out $\int_{S^{1}} C_{1}$, the holonomy of the RR field $C_{1}$ on the covering circle, to either zero or $1 / 2$. This would constitute a theta angle in nine dimensions; the authors construct explicitly the domain wall between the $\int_{S^{1}} C_{1}=0$ and $\int_{S^{1}} C_{1}=1 / 2$ phases, which is an unstable D 7 brane [80].

However, since this angle is T-dual to the Sethi theta angle, in the presence of D8 branes it too must be unobservable. Indeed, 80 describes how the addition of $D 8$ branes provides

[^6]additional massless modes that make the theta angle unobservable, in the same way as above. The $C_{0}$ theta angle corresponds to the holonomy $\int_{S^{1}} C_{1}=0$; the $O(32)$ gauge transformation that exhibits the anomaly acts on the positions of the $D 8$ branes as a sign flip.

This highlights an interesting subtlety in type I'. The customary description of this background is as an interval $S^{1} / \mathbb{Z}_{2}$, where one must add $D 8$ branes [82]. Consider the covering $S^{1}$, described as the real line (parametrized by a coordinate $x$ ) subject to the identification $x \sim x+1$. The $\mathbb{Z}_{2}$ acts by sending $x \rightarrow-x$ so there are orientifold planes at $x=0,1 / 2$. The $16 D 8$ branes are located at particular points in this interval; in the double cover, a $D 8$ brane at $x=a$ is accompanied by its orientifold image at $x=-a$.

From this geometric description, it may seem that putting a $D 8$ brane at $x=a$ or at $x=-a$ is immaterial, as the corresponding orientifold images will be located at $x=-a$ or $x=a$ respectively. The actual situation is however a bit subtler. Consider the point of type I' moduli space dual to type I on the circle with no Wilson lines, where all $D 8$ branes sit at the origin generating an $S O(32)$ gauge algebra. The scalars in the vector multiplets live in the adjoint of $S O(32)$, and so their vev space can be parametrized by Cartan generators. We can describe a general element in the Cartan via the matrix

$$
v=\left(\begin{array}{ccccc}
0 & a_{1} & & 0 & 0  \tag{6.1.9}\\
-a_{1} & 0 & \cdots & 0 & 0 \\
\vdots & & \ddots & \vdots & \\
0 & 0 & & 0 & a_{16} \\
0 & 0 & \cdots & -a_{16} & 0
\end{array}\right)
$$

where the $a_{i}$ parametrizes the position of the $i$ th $D 8$-brane. Focusing on a single $2 x 2$ block, we see that $a_{1}$ and $-a_{1}$ do not describe the same configuration, even after conjugation by the $S O(32)$ transformations. However, they are related by an $O(32)$ transformation:

$$
\left(\begin{array}{cc}
-1 & 0  \tag{6.1.10}\\
0 & 1
\end{array}\right) \cdot\left(\begin{array}{cc}
0 & a_{1} \\
-a_{1} & 0
\end{array}\right) \cdot\left(\begin{array}{cc}
-1 & 0 \\
0 & 1
\end{array}\right)^{-1}=\left(\begin{array}{cc}
0 & -a_{1} \\
a_{1} & 0
\end{array}\right)
$$

This is the same transformation that was shown to be anomalous in ten dimensions. In ten dimensions, the relevant instanton was associated to $\pi_{9}(S O(32))=\mathbb{Z}_{2}$; the dimensional reduction of this instanton is now related to $\pi_{8}(S O(32))=\mathbb{Z}_{2}$ in the worldvolume theory of $D 8$ branes on top of the orientifold. Just as above, this transformation is anomalous.

We can now make the precise statement: Type I' is invariant under the operation of flipping the position of an odd number of $D 8$ branes, together with the introduction of a discrete Wilson line $\int_{S^{1}} C_{1}=1 / 2$ at the same time (see Figure 6.1). Thus, there is no discrete theta angle for type I'; it can be made to appear or disappear simply by a choice of coordinates
in moduli space.


Figure 6.1: The type I' theory describes $D 8$ branes on an interval, depicted here as the real line $\mathbb{R}$ subject to periodic identifications. O8- planes are found at $x \in \mathbb{Z} / 2$. The top section of the figure describes $15 D 8$ branes sitting at the origin and a single $D 8$ brane moved to $x=a$. There is no Wilson line. Thanks to the anomaly described in the main text, acting with an $O(32)$ transformation flips the position of the $D 8$ brane to $x=-a$ and introduces a discrete Wilson line. Since the brane at $x=-a$ can also be obtained simply by moving the $D 8$ to the left, we discover that the discrete Wilson line is not an invariant notion.

The existence of this anomaly has interesting consequences. Consider type I', at the point in moduli space described above, which is T-dual to type I on a circle without Wilson line. One has 32 D 8 branes sitting on top of an $\mathrm{O8}^{-}$at this point, and there are gauge bosons in an $\mathfrak{s o}$ (32) Lie algebra. Let us choose $[0,1 / 2]$ as the fundamental domain, and have the 32 D 8 branes sitting at $x=1 / 2$. Consider moving a single $D 8$ brane from $x=1 / 2$ to $x=-1 / 2$. Since at this point there are also 32 coinciding D8 branes, we will have a configuration with $\mathfrak{s o}(32)$ Lie algebra, and the naive expectation would be that this is in fact the same configuration we started with, corresponding to type I on a circle. However, this is not correct. As mentioned above, this configuration is equivalent to having 32 D 8 branes at $x=1 / 2$, together with a Wilson line $\int_{S^{1}} C_{1}=1 / 2$ turned on. This is not the same point in moduli space we started with, which had $\int_{S^{1}} C_{1}=0$.

Thus, moving a $D 8$ brane across the type I' interval does not result in the type I point, but rather it takes us to a different point in moduli space with a $\mathfrak{s o}(32)$ algebra. Indeed, there are two separate loci in the moduli space of type I' (the unique moduli space of rank 17 ninedimensional quantum gravity) with this symmetry algebra [1. 11]. In the heterotic perspective, they correspond to different choices of Wilson line preserving the $\mathfrak{s o}(32)$ symmetry. The two heterotic vacua also differ in their spectrum of massive states. The ordinary heterotic vacuum
has a spectrum of perturbative excitations consistent with the global form of the gauge group $\operatorname{Spin}(32) / \mathbb{Z}_{2}$; by contrast, the other point contains vectors and spinors of opposite chirality, which are also charged under the graviphoton. In both cases, taking into account the vector in the gravity multiplet, the actual gauge group is

$$
\begin{equation*}
\operatorname{Spin}(32) \times U(1), \tag{6.1.11}
\end{equation*}
$$

and at a particular value of the radius it enhances to a non-abelian group. In the ordinary heterotic vacuum, the group $\operatorname{Spin}(32) / \mathbb{Z}_{2} \times U(1)$ enhances to $\operatorname{Spin}(32) / \mathbb{Z}_{2} \times S U(2)$; while in the other locus, the enhancement is to $\operatorname{Spin}(34)$.

Since the gauge algebra is invariant when we change the coupling (the scalar in the gravity multiplet), a similar picture must be true in the type I picture we began with. Let us first see how to recover the $S U(2)$ enhancement in the ordinary heterotic vacuum, which is dual to the ordinary type I point. This is just the T-dual of type I on a circle with no Wilson line, so the algebra is $\mathfrak{s o}(32)$. We now move to small radius to find the self-dual point. There is an upper bound on the value of the dilaton, $g \geq 1 / R$, which comes from demanding that the gauge coupling at $x=0$ does not become negative [81]. When reaching this limit, we expect that $D 0$ branes become massless at $x=0$ 69, 83, 85]; this follows from an analysis of the $D 0$ brane quantum mechanics on the interval [85], taking into account the warped metric and non-trivial dilaton profile. At a certain point, these $D 0$ branes become massless, enhancing the $U(1)$ factor to $S U(2)$, but producing no enhancement of the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ factor.

What we have just described is the type I' explanation of why there is no enhanced symmetry when type I string theory is compactified on a circle of stringy size. Let us see however how this changes when the discrete Wilson line $\int_{S^{1}} C_{1}=1 / 2$ is turned on. As explained above, from the heterotic analysis we expect an enhanced $\operatorname{Spin}(34)$ symmetry; how can we see that this is the case from the type I' perspective? Turning on a Wilson line $\int_{S^{1}} C_{1}=$ $1 / 2$ means that $D 0$ branes have antiperiodic, rather than periodic boundary conditions on the covering circle. Their spectrum is different, and it is natural to expect that the localized massless $D 0$ branes that existed before are now not present. Instead, at the locus where the coupling vanishes, the $O 8^{-}$at strong coupling emits an additional $D 8$ brane [83]; one can formally push to regimes below $g>1 / R$, where the coupling constant becomes the position of this effective $D 8$ brane on the interval. The furthest it can go is to $x=1 / 2$, where it enhances the symmetry to $\operatorname{Spin}(34)$.

Prior literature explained that $O 8^{-}$planes can either have massless $D 0$ branes on top of them, or non-perturbatively emit $D 8$ branes in some cases [34, 69, 83, 85] (see [85] for an alternative description that does not involve $D 8$ branes; we will stick with the $D 8$ brane description, but the description of the $\operatorname{Spin}(34)$ locus of moduli space in that reference is consistent with ours). It was not clear under which conditions can each of these phenomena
occur. The above analysis shows that the distinguishing factor is the discrete theta angle, and leads to a simple picture for what happens:

- An $O 8^{-}$with $\int_{S^{1}} C_{1}=0$ has massless $D 0$ branes stuck there; these can enhance the symmetry to exceptional groups if additional $D 8$ branes are present.
- An $O 8^{-}$with $\int_{S^{1}} C_{1}=1 / 2$ cannot have $D 0$ branes becoming light; on the other hand, it can non-perturbatively emit an additional $D 8$ brane, which provides grounds for further enhancement of the symmetry group.

This distinction can also be seen at the level of probe instantonic 4-branes 62, 72, 83]. A probe $D 4$ brane located at a point in the interval can be used to probe the structure of the different singularities in the compactification. The distinction above is mirrored in the worldvolume theory of a brane probing a non-perturbative $\mathrm{O8}^{-}$plane:

- A $D 4$ brane probing an $O 8^{-}$with $\int_{S^{1}} C_{1}=0$ yields an $E_{1}$ SCFT, with $U(1)$ global symmetry 62, 72, 83;
- On the other hand, an $O 8^{-}$with $\int_{S^{1}} C_{1}=1 / 2$ realizes an $\tilde{E}_{1}$ SCFT, with no global symmetry.

The relationship between these SCFT's described in [83] precisely mimicks the discussion above. In particular, both the $E_{1}$ and $\tilde{E}_{1}$ SCFT's arise from RG flow of the $E_{2}$ SCFT, depending on the sign of a certain mass term. We can now explain this in the brane picture: the $E_{2}$ SCFT is the worldvolume theory of a $D 4$ probing a $O 8^{-}+D 8$ system. The sign of the mass term corresponds to the position of the $D 8$ brane. The fact that different signs of the $D 8$ brane position lead to different IR physics is precisely the feature described above, and related to the anomaly of the gauge transformation in the disconnected part of the gauge group. As is well-known, the $E_{1}$ SCFT leads to a $S U(2)$ gauge theory at low energies on a generic point of its Coulomb branch; while the $\tilde{E}_{1}$ SCFT is described by $S U(2)$ gauge theory with a discrete theta angle turned on. It is natural to identify the spacetime angle $\int_{S^{1}} C_{1}=1 / 2$ with the worldvolume discrete theta angle, as was proposed in 80].

Finally, we also comment on discrete theta angles in other theories. There is no discrete theta angle either in the rank 9 (CHL) component of moduli space, but the reason is different. This component of moduli space can be described as an $O 8^{-}+O 8^{0}$ orientifold with 8 D 8 branes 69, 86. The $O 8^{0}$ is a slightly exotic orientifold plane, introduced by 69, 86] to explain agreement with the M-theory picture of the rank 9 and one of the rank 1 components of moduli space. It corresponds to compactifying M-theory on a cross-cap ( $\mathbb{R P}^{2}$ minus a point) geometry. We claim that in any compactification involving $O 8^{0}$ planes, the holonomy $\int C_{1}$ is frozen to a non-zero value (in fact, this claim already appears in [86). This means that a $D 0$ brane bouncing back off an $O 8^{0}$ always picks up a factor of -1 . This can be seen explicitly by
looking at the local geometry of an $O 8^{0}$ plane realized e.g. as the dimensional reduction of a Möbius strip [69,86]; the definition involves orientation reversal, and so it flips KK momentum (or equivalently, $D 0$ brane charge). Thus, there is no discrete theta angle in the CHL string either, or in the rank 1 component of moduli space obtained as M-theory on the Klein bottle.

### 6.2 New string theories in nine dimensions from theta angles

Although the construction in [73] does not quite work in 10 dimensions, the reason for the failure is a technicality. In this Section we will see how the idea can be actually quite prolific and lead to the discovery of new string compactifications with sixteen supercharges. We will start with the example with the closest resemblance to Sethi's construction; and later will discuss other examples and the ramifications across the duality web.

### 6.2.1 The rank 1 Sethi string

Type IIB string theory has two perturbative symmetries, dubbed $(-1)^{F_{L}}$ and $\Omega$ [74, 75]. Consider a compactification of IIB on an $S^{1}$ with an $\Omega$ monodromy ${ }^{2}$. This is a 9 d $\mathcal{N}=1$ theory, known as the Dabholkar-Park (DP) background [69,88]. It describes one corner of one of the two known components of the moduli space of $9 \mathrm{~d} \mathcal{N}=1$ theories.

The $\Omega$ symmetry flips the sign of $C_{0}$, so just like in type I , the RR axion is projected out in the DP background. However, we can now play the same game as Sethi did in ten dimensions: It is consistent to set $C_{0}=0$ or

$$
\begin{equation*}
C_{0}=1 / 2 \text { in the DP background. } \tag{6.2.1}
\end{equation*}
$$

We claim that, unlike in 10 dimensions, the discrete theta angle is physical, cannot be gauged away, and that (6.2.1) describes a new string theory in nine dimensions with sixteen supercharges. We will provide more evidence below, but perhaps the simplest, argument is the fact that, unlike in type I, $D(-1)$ instantons are not projected out ${ }^{3}$, so they are sensitive to the precise value of $C_{0}$.

One crucial difference is that, in accordance with the fact that $C_{0}$ is a $\mathbb{Z}_{2}$-valued theta angle, the $D(-1)$ instantons are $\mathbb{Z}_{2}$-charged as well in the DP background, meaning that two of them can be smoothly deformed to the vacuum. One way to see this in physical terms is

[^7]to consider that if one has e.g. a $D(-1)$ sitting at a particular point on $S^{1}$, its image in the double cover includes a $\overline{D(-1)}$ in the antipodal point. Put now two of these $D(-1)$ branes and it is possible to move one image into the anti-image of the other, annihilating the whole configuration, as depicted in Figure 6.2.


Figure 6.2: Picture of the DP background via its covering $S^{1}$. The two ends of the interval must be identified, forming a circle. The DP background is obtained by restricting to configurations invariant under a half-shift combined with an action of $\Omega$. In the top panel of the Figure, we illustrate one such configuration, consisting of a $D(-1)$ brane (black dot) and an $\overline{D(-1)}$ (white-filled dot) in a shifted position. This configuration couples to the $\mathbb{Z}_{2}$ field $C_{0}$, and is stable. Putting two such objects together allows for their decay by positioning the antibrane of one of the pairs on top of the brane of the other, as illustrated in the successive steps in the bottom panel.

The theory we describe here does not appear anywhere in the two known connected components of the moduli space of $9 \mathrm{~d} \mathcal{N}=1$ theories of rank 1 [69; Sethi's construction has succeeded in producing a genuinely new string theory in nine dimensions.

Other $D$-branes are also sensitive to the value of this discrete theta angle, since they couple to it via the CS worldvolume coupling [82, 92, 93]

$$
\begin{equation*}
\int_{\text {Dp-brane }} C_{0}[\sqrt{\hat{A}(R)} \operatorname{ch}[F]]_{p} \tag{6.2.2}
\end{equation*}
$$

The branes see this as a discrete theta angle in their worldvolume. $D 3$ and $D 7$ branes wrapped on the $S^{1}$ are projected out by the monodromy in the DP background; However, $D 1$ and $D 5$ branes both wrapped and unwrapped along the $S^{1}$ survive as states in the 9 d theory. This corresponds to the fact that $C_{2}$ is unaffected by the $\Omega$ action, and so it yields both a 2 -form in 9 d (the 2 -form in the $9 \mathrm{~d} \mathcal{N}=1$ gravity multiplet 69,94 ) and a 1 -form (one of the two vectors in a $9 \mathrm{~d} \mathcal{N}=1$ theory of rank 1 , the other being the KK photon). The unwrapped $D 1$ brane and wrapped $D 5$ branes correspond to the electrically and magnetically charged objects with respect to the 2-form; the wrapped $D 1$ and unwrapped $D 5$ correspond to the electrically
and magnetically charged objects under the vector. For the $D 1$ and $D 5$ branes the coupling (6.2.2) takes the form

$$
\begin{equation*}
\int_{D 1} C_{0} F, \quad \int_{D 5} \frac{C_{0}}{6} F \wedge\left(F^{2}+\frac{1}{16} \operatorname{Tr}\left(R^{2}\right)\right) . \tag{6.2.3}
\end{equation*}
$$

These couplings manifest themselves into physical properties of the states that can be constructed as solitons of the worldvolume theories of the branes. Most outstandingly, the tension of the $D 1$ brane is sensitive to the value of the RR axion [95 97]. This is because the RR theta angle shifts the quantization condition for string winding number, in such a way that the ground state of a $D 1$ acquires a bit of fundamental string charge, similarly to the Witten effect in 4d gauge theory [98]. The correct tension formula for the tension of a $(p, q)$ string in 10d Planck units [99] means that the tension of a single $D 1$ is

$$
\begin{equation*}
T_{D 1}=\frac{1}{2 \pi \alpha^{\prime}} \sqrt{\frac{1}{4}+\frac{1}{g_{s}^{2}}} \tag{6.2.4}
\end{equation*}
$$

which contrasts with the tension of the $D 1$ brane at $C_{0}=0$. This clearly establishes that the theta angle we are discussing is physically meaningful, unlike the examples in the previous Section. To find the spectrum of actual states one will have to consider the full state comprised of the $D 1$ brane and its images under the DP action, as we will do below.

As will be described in more detail in Subsection 6.2.3, turning on the discrete theta angle is more properly described as a circle compactification of IIB with a holonomy of $\Omega T$, where $T$ is the usual generator of the modular group that shifts the RR axion by 1 . Including $T$ is necessary (and equivalent) to turning on the theta angle, and has important effects on the spectrum of charged objects. The action on the RR and NS-NS 2-form fields is ${ }^{4}$

$$
\binom{C_{2}}{B_{2}} \rightarrow\left(\begin{array}{cc}
1 & 1  \tag{6.2.5}\\
0 & -1
\end{array}\right)\binom{C_{2}}{B_{2}}=\binom{C_{2}+B_{2}}{-B_{2}}
$$

The field combination $B_{2}+2 C_{2}$ is invariant, and thus yields the 2-form of the nine-dimensional gravity multiplet, while $B_{2}$ picks up a - sign. The coupling of a $(p, q)$ string to the 2-forms can be written as

$$
\begin{equation*}
\int p B_{2}+q C_{2}=\int \frac{q}{2}\left(B_{2}+2 C_{2}\right)+\left(p-\frac{q}{2}\right) B_{2} . \tag{6.2.6}
\end{equation*}
$$

In this basis, the action of the holonomy $\Omega T$ leaves the first charge invariant, while flipping the sign of the second. A $D 1$ brane $(q=1)$ is charged under the nine-dimensional 2-form,

[^8]but also under the torsional field $B_{2}$. As a result, it is not a BPS object. This can also be seen in the covering space description: A $D 1$ brane is not invariant under $\Omega T$, and the image $(1,-1)$-brane is not mutually BPS with the $D 1$ brane. On the other hand, a $(p, q)=(1,2)$ string is invariant under $\Omega T$, and is actually a BPS object.

The physical, long-range string charge of the $D 1$ brane is $1 / 2$, while that of the $(1,2)$ string is 1 . This means that, unlike every other know example of quantum gravity with 16 supercharges, BPS strings only exist for a sublattice of index 2 of the allowed set of charges for the two-form. This new string theory therefore provides a counterexample with sixteen supercharges to the BPS completeness conjecture of [100], which posited that, with enough supersymmetry, there are BPS strings with every possible value of the charg $\boldsymbol{f}^{5}$. This has significant implications for the Swampland program; for instance, the arguments in papers like $[58,94,100,103,109]$ may have to be revisited.

All of the above can be verified directly from the string tension formula in Planck units [99]

$$
\begin{equation*}
T_{(p, q)}=\frac{|p+q \tau|}{\sqrt{\operatorname{Im}(\tau)}}=\sqrt{\left(p^{2}-p q+\frac{q^{2}}{4}\right) g_{s}+\frac{q^{2}}{g_{s}}} \tag{6.2.7}
\end{equation*}
$$

where in the second step we have substituted $\tau=-\frac{1}{2}+i / g_{s}$. For even RR charge $q$, the tension is minimized by the $(-q / 2, q)$ string. For odd $q$, the tension is instead minimized by the string with charges

$$
\begin{equation*}
(p, q)=\left(\frac{q \pm 1}{2}, q\right) \tag{6.2.8}
\end{equation*}
$$

These two strings are classically degenerate; we expect this degeneracy is lifted by quantum corrections, and that there is a single linear combination of lowest tension. This string is not BPS, but it is stable, since it is the lightest string in its charge sector. The charge-to-tension ratio of the strings with odd charge, normalized to the charge-to-tension ratio of the BPS string, is

$$
\begin{equation*}
\frac{\xi_{\text {Half-integer } \mathrm{q}}}{\xi_{\mathrm{BPS}}}=\frac{1}{\sqrt{1+\frac{g_{s}^{2}}{4 q^{2}}}} \tag{6.2.9}
\end{equation*}
$$

so that odd-charge strings are indeed subextremal.
These considerations also have ramifications for the spectrum of electrically charged particle BPS states, which are obtained as winding states of the BPS $(1,2)$ string wrapping the $S^{1}$ with KK momentum. Just as for the strings, the BPS particles only populate a sublattice of index two in the whole charge lattice, providing an example of a sublattice WGC in high dimension 110, 111.

One could also view these results as suggesting that the Sethi string is different to type

[^9]I, contrarily to what was argued in Section 6.11|. Viewing the Sethi string as an orientifold of IIB by $\Omega T$, one could consider the state obtained by acting with the orientifold projection on a IIB $D 1$ string and its $(1,1)$ image. If this had fractional charge, then the Sethi string would be physically different from type I; but it turns out to have the same charge as an ordinary BPS brane. The reason for this discrepancy is that the ordinary type I string is the orientifold image of a single IIB D1 string $112{ }^{7}$. This is required for consistency with Dirac quantization [112]. As a result, with theta angle turned on, we expect the ( 2,1 ) IIB string to project to the fundamental charge 1-brane after taking the quotient. This has the same physical charges as a $(0,1)+(1,1)$ stack. This is in contrast with the DP background with discrete theta angle, albeit more immediately in an S-dual frame (described in Subsection 6.2.2). Here a single $(2,1)$ would not be invariant; either two $(2,1)$ 's or a $(0,1)+(1,1)$ are, leading to the sublattice described above.

The discrete theta angle also has effects on the $D 5$-brane, where the non-zero expectation value for $C_{0}$ turns on a theta angle for the $U(1)$ worldvolume theory. Unlike for $D 1$ 's, the tension of the D5-brane (which is BPS) is non-renormalized ${ }^{8}$, but there are worldvolume effects, such as changing the fermion parity of instanton strings in the worldvolume of the brane; reference [80] studied this in a dual description in terms of $D 4$ branes.

Finally, the theory also contains $D 3$ and $D 7$ branes, corresponding to ordinary $D 3$ and $D 7$ branes unwrapped on the circle (they cannot be wrapped on the circle due to the $\Omega$ action). Just like $C_{0}$, the corresponding RR fields $C_{4}$ and $C_{8}$ are projected out down to a $\mathbb{Z}_{2}$ subsector; the DP background therefore has discrete $\mathbb{Z}_{2} 3$-form and 8 -form fields, respectively. Such discrete fields have been noticed recently in a different $9 \mathrm{~d} \mathcal{N}=1$ theory, and take part into a beautiful mechanism to cancel anomalies of M-theory on a Klein Bottle [113]. Because the D3 and $D 7$ are charged under $\mathbb{Z}_{2}$-valued fields, they are themselves $\mathbb{Z}_{2}$-charged. The argument is similar to the one given for $D(-1)$-branes at the beginning of this Section.

The $\mathbb{Z}_{2} D 7$-brane is actually the domain wall interpolating between the values $C_{0}=0, \pi$ of the discrete theta angle, predicted by the cobordism conjecture 114, 115. To see this, we will compute the axio-dilaton profile sourced by a the $D 7$ and $\overline{D 7}$ branes located at antipodal points in the covering circle. A $D 7$ brane located at $z=z_{0}$ sources an axio-dilaton profile [116]

$$
\begin{equation*}
d \tau_{D 7}(z)=\frac{1}{2 \pi i\left(z-z_{0}\right)} \tag{6.2.10}
\end{equation*}
$$

away from its sources, while the profile of a $\overline{D 7}$ brane at the same location is minus the complex conjugate of the above. We will now describe $S^{1} \times \mathbb{R}$ via coordinates coordinate

[^10]$z=x+i y$, and identification $y \sim y+1$. The profile we look for can be obtained by adding up the contributions of infinitely many $D 7$ branes located at $\mathbb{Z} i$, and infinitely many $D 7$ branes located at $\left(\mathbb{Z}+\frac{1}{2}\right) i$, plus constants to regularize the sum that will not affect the field strengths. We obtain
\[

$$
\begin{equation*}
2 \pi i d \tau=\frac{1}{z}+\sum_{n \neq 0} \frac{1}{z-n i}+\frac{1}{n i}+\sum_{n} \frac{1}{z-\left(n+\frac{1}{2}\right) i}+\frac{1}{\left(n+\frac{1}{2}\right) i}=\pi(\operatorname{coth}[\pi z]-\tanh (\pi \bar{z})), \tag{6.2.11}
\end{equation*}
$$

\]

which integrates to

$$
\begin{equation*}
\tau=\frac{1}{2 \pi i} \log (\sinh (\pi z) \cosh (\pi \bar{z})) \tag{6.2.12}
\end{equation*}
$$

The profile of $C_{0}$ is just the real part of $\tau$ above. One can check explicitly that $C_{0} \rightarrow 0$ at $x \rightarrow \infty$, while $C_{0} \rightarrow \pm \frac{1}{2}$ when $x \rightarrow-\infty$, as advertised, showing that the non-BPS $D 7$ brane is the domain wall we were looking for [114].

We wrap up this Subsection by pointing out that all of these discrete theta angles and fields are actually implicitly predicted by the K-theory description of branes [76] and its generalization including bundle involutions carried out in [114, 117. In the case at hand, we must consider a stack of $n D 9-\overline{D 9}$ pairs on the DP background; as described in 114, 117, the appropriate K-theory classifying these backgrounds is the theory called $K R\left(X^{9} \times S^{2,0}\right)$ in [118, where $X_{9}$ is the 9-dimensional spacetime. This K-theory is identical to another theory, called $K S C$ which is 4-periodic and has the groups 119

$$
\begin{array}{c|ccccccccc}
m & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9  \tag{6.2.13}\\
\hline K S C\left(S^{m}\right) & \mathbb{Z}_{2} & 0 & \mathbb{Z} & \mathbb{Z} & \mathbb{Z}_{2} & 0 & \mathbb{Z} & \mathbb{Z} & \mathbb{Z}_{2}
\end{array}
$$

which matches the branes we found before on more physical grounds. This was first noticed in 117, where the DP background is referred to as type $\tilde{I}$. On top of these, of course, there are also discrete fields and branes coming from the NS-NS sector; a 2 -form field coming from the $B$-field, and its dual $\mathbb{Z}_{2} 5$-form. These are not captured by a K-theory description.

### 6.2.2 The AOB background with theta angle

The technique used in the previous Subsection to exhibit a theta angle for the DP background also works for a background with the " $S$-dual" holonomy of $(-1)^{F_{L}}$. Such a background was constructed in [120], and receives the name of the "Asymmetric Orbifold of IIB", or AOB for short. The action of $(-1)^{F_{L}}$ on $\tau$ is the same as that for $\Omega$, and so, here too we can turn on a theta angle $C_{0}=1 / 2$.

The resulting theta angle is also genuine, since just as in the previous Subsection, it is detected by $\mathbb{Z}_{2} D(-1)$-branes, although its physical effects look very different from those of the theta angle for the DP background. The main reason for this difference is that, when the
theta angle is turned off, the $(-1)^{F_{L}}$ orbifold projects out the RR fields $C_{2}, C_{6}$ (in addition to $C_{0}, C_{4}$ ), and keeps the NS-NS fields $B_{2}, B_{6}$. Correspondingly, the only branes with $\mathbb{Z}$-valued charges are fundamental strings and NS5-branes, both wrapped and unwrapped on the $S^{1}$. Before, we could see the effects of the discrete $C_{0}$ angle on the worldvolume of $D 1$ and $D 5$ branes; but it is very difficult to see the effects of a RR background potential value on branes in the NS sector.

It is however still possible to analyze the effect on the spectrum of strings, as we did in the previous Subsection, by realizing the discrete theta angle in the AOB background with a holonomy of $(-1)^{F_{L}} T$. This acts as

$$
\begin{equation*}
\binom{C_{2}}{B_{2}} \rightarrow\binom{-C_{2}-B_{2}}{B_{2}}, \tag{6.2.14}
\end{equation*}
$$

so now the field $B_{2}$ is invariant and the combination $B_{2}+2 C_{2}$ flips sign. As a result, the 9 d 2 -form tensor corresponds exactly to the ordinary perturbative $B_{2}$ field (just as in the AOB background with no theta angle); an important consequence is that we still have a perturbative, BPS string in the spectrum. From (6.2.6), however, we can conclude that a $D 1$ brane $(q=1)$ has half the charge under $B_{2}$ than a BPS string. Since the $D 1$ is also charged under the combination that is projected out (and that becomes a $\mathbb{Z}_{2}$-valued field in the nine-dimensional theory), we conclude that, in the AOB background with discrete theta angle, there is a non-BPS string with half the fundamental string charge. Just as for the DP example above, BPS completeness fails. The reason why a $D 1$ ends up being charged under the NS-NS field is easy to understand in the covering space picture; a $D 1$ is not invariant under $(-1)^{F_{L}} T$, and its image is a $(-1,1)$ string which is also charged under the $B_{2}$-field. The $\mathbb{Z}_{2}$ identification then divides charges out by two, effectively introducing the fractional charge.

Since the fundamental string is BPS and is not projected out, we can access the spectrum of BPS particles via a worldsheet description. The ordinary AOB background introduced in [120 constructs the worldsheet description by orbifolding the sigma model of IIB on $S^{1}$ by the symmetry which half-shifts the circle and acts by $(-1)^{F_{L}}$; what we need to do now is simply replace $(-1)^{F_{L}}$ by $(-1)^{F_{L}} T$. Since the $T$ transformation acts nontrivially on the pair ( $C_{2}, B_{2}$ ), it must act non-trivially on the worldsheet too, on the RR 1-form and NS vertex operators. We will now use consistency of the spacetime picture to obtain the correct worldsheet CFT for the fundamental BPS string in this setup. Although this will be enough for our purposes, it would be an interesting follow-up to our work to explore this new string theory in the worldsheet directly, as an orbifold of IIB on a circle.

In this note, we will focus our attention on two duality invariant pieces of information of the moduli space: the current algebra levels [94, 100]. As described in [94], in models of sixteen supercharges one expects an anomalous Bianchi identity for the 2-form in the gravity
multiplet, which on an enhanced symmetry locus takes the form

$$
\begin{equation*}
d H=\ell \operatorname{Tr}\left(F^{2}\right)-\kappa \operatorname{Tr}\left(R^{2}\right) . \tag{6.2.15}
\end{equation*}
$$

The gauge level $\ell$ and the gravitational coefficient $\kappa$ are important topological data in specifying the supergravity theory, which can be determined from anomaly inflow on the string worldsheet. As we will see momentarily, we have $\kappa=0$, just as for the ordinary AOB background.

The level $\ell$ would be apparent if we had the worldsheet description; here, instead, we will use a spacetime argument to compute $\ell$, and use it to determine the worldsheet uniquely. As described above, there are actual strings in our theory with charge $1 / 2$ of that of the fundamental string. By Dirac quantization, one must have then that the fundamental magnetic fourbrane charge is a multiple of 2 , meaning that its Dirac pairing with the fundamental BPS string is two. Indeed, this is what we derive from the microscopics: magnetic four-branes come from wrapping ten-dimensional IIB 5 -branes on a circle. Fivebrane charges transform exactly as the $\left(C_{2}, B_{2}\right)$ fields in (6.2.31), and so single NS5 brane is not invariant under the action of $\Omega T$ and is projected out. The object of smallest magnetic charge under $B_{2}$ which is not projected out by the $\Omega T$ action is the $(2,-1)$ brane, which indeed has twice the charge of a single NS5-brane (and also happens to be BPS).

As is familiar from higher-rank cases [1,2], in special loci in moduli space there may be an enhanced gauge symmetry, where the gauge group becomes non-abelian. In the case at hand, since the theory is of rank 1 , the only possible enhancement is to something with $\mathfrak{s u}(2)$ Lie algebra. Due to the coupling (6.2.15), in a $9 \mathrm{~d} \mathcal{N}=1$ theory, the BPS instanton solutions of the low-energy supergravity, whose existence is unavoidable, acquires a four-brane magnetic charge of precisely $\ell$, the level of the gauge algebra. From the above argument, it is clear that $\ell$ must be an even number. On the other hand, the central charges of the worldsheet CFT must be $\left(c_{L}, c_{R}\right)=(12,12)$, since the worldsheet theory is obtained via an orbifold of the usual IIB on $S^{1}$ worldsheet, which has these central charges. This means that $\kappa=0$ as advertised above. In Section 6.3 we will recover this result again, from consistency with the M theory picture.

Given that the worldsheet theory must preserve $S O(8,1)$ rotational invariance, must have at least 8 unbroken supercharges, and have an $\mathfrak{s u}(2)$ current algebra at level two (at the selfdual point), the answer is essentially unique: The only possibility is to replace the $\mathcal{N}=1$ left-moving supermultiplet $(\phi, \lambda)$ describing the internal $S^{1}$ in the type IIB model by an $\mathfrak{s u}(2)$ current algebra at level two. The central charge of this model is $3 / 2$, which exactly matches that of the removed supermultiplet, so that the left-moving central charge is still 12 .

The worldsheet model we propose here has manifest $(0,8)$ supersymmetry. It may be that additional supercharges are non-linearly realized in the left-moving sector; for instance, the

WZW model at level two has emergent $\mathcal{N}=1$ supersymmetry [121], and this may combine with the supercharges in the center-of-mass modes to produce $(8,8)$ supersymmetry. It would be interesting to study this further ${ }^{9}$

Finally, when its size modulus is sent to zero, the gauge instanton becomes a fundamental brane of the theory, which can often be identified with the branes constructed by microscopic means. For instance, in heterotic string theory, the small instanton limit corresponds to the NS5 brane [79]. It is natural to guess that, in the AOB background with discrete theta angle, the small instanton limit corresponds to the $(2,-1)$ IIB brane wrapped on a circle.

Just like the DP background above, the AOB background has many discrete fields, this time coming from the RR sector (the NS NS fields, the metric and $B$ field, yield the metric, 2form, and two vectors of the 9d theory, and no discrete fields). The following table summarizes the discrete fields that exist in this theory, and the corresponding electrically \& magnetically charged objects (here, an $n$-brane has $n$ spatial dimensions, e.g. the 7 -brane is a $(7+1)$ dimensional object)

| $\mathbb{Z}_{2}$-valued field | Object | Stringy origin |
| :---: | :---: | :---: |
| $C_{0}$ | $(-1)$-brane (electric) | $D(-1)$ instanton |
|  | Unwrapped 7 brane |  |
| $C_{2}$ | 1 -brane (electric) <br> 5 -brane (magnetic) | $D 1$ brane |
|  |  |  |
| $C_{4}$ (self-dual) | 3 -brane | Unwrapped $D 3$ brane |

We conclude by remarking that the AOB background, just like the DP above, provides an example of a string with a nontrivial sublattice of charged BPS strings. Equivalently, it provides an example of sublattice WGC for strings. The fact that the example has sixteen supercharges means it can be analyzed quite detailedly, even at the non-perturbative level. As we will see momentarily, the similar properties of the DP and AOB backgrounds stem from the fact that the two are actually dual to each other.

### 6.2.3 Duality and the moduli space

Having described both the discrete theta angles in the DP and AOB backgrounds, one might wonder how they are related by duality, or whether there might be additional theta angles by looking at more general backgrounds. To do this, we will now study the most general background of IIB on a $S^{1}$ with a monodromy that preserves some supersymmetry. This is just a IIB compactification on a circle with a duality bundle. The duality group of IIB is

[^11]often presented as $S L(2, \mathbb{Z})$, but this is inaccurate; including $\Omega$ and $(-1)^{F_{L}}$ upgrades this to $G L(2, \mathbb{Z})$ at the bosonic level, and considering fermions further promotes this to a $\mathrm{Pin}^{+}$double cover of $G L(2, \mathbb{Z})[75,122$. Fermions will not play an important role in our current discussion, so when we say "duality group" we will mean $G L(2, \mathbb{Z})$.

Bundles of non-abelian groups such as $G L(2, \mathbb{Z})$ on a circle are specified by choosing a conjugacy class $[W]$; this implements the fact that both $W$ and $g W g^{-1}$ for any $g$ in the duality group have the same physical effect. So we are led to studying conjugacy classes of $G L(2, \mathbb{Z})$ [77]. However, not every conjugacy class will yield a valid background; we must choose a vev for the axio-dilaton $\tau$, which is invariant (up to duality transformation) as we go around the circle. The action of a general $g \in G L(2, \mathbb{Z})$ on $\tau$ is as follows (75, 122]:

$$
\rho_{g}(\tau)=\frac{a \tilde{\tau}+b}{c \tilde{\tau}+d}, \quad \text { where } \quad \tilde{\tau}=\left\{\begin{array}{ll}
\tau & \text { if } \operatorname{det}(g)=+1  \tag{6.2.17}\\
\bar{\tau} & \text { if } \operatorname{det}(g)=-1
\end{array} .\right.
$$

We must choose $[W]$ such that the equation

$$
\begin{equation*}
\tau=\rho_{g W g^{-1}}(\tau) \tag{6.2.18}
\end{equation*}
$$

has a solution in the upper half-plane. Working this out is a standard algebraic exercise [123]. Write

$$
h=g W g^{-1}=\left(\begin{array}{ll}
a & b  \tag{6.2.19}\\
c & d
\end{array}\right) .
$$

When $\operatorname{det} h=+1$, so that we are in $S L(2, \mathbb{Z})$, 6.2.18) becomes a quadratic equation, with solution

$$
\begin{equation*}
\tau=\frac{a-d \pm \sqrt{(d+a)^{2}-4}}{2 c} . \tag{6.2.20}
\end{equation*}
$$

The requirement that the solution is in the upper half-plane leads to the condition $|d+a|<2$, which only has solutions

$$
\left(\begin{array}{ll}
a & b  \tag{6.2.21}\\
c & d
\end{array}\right)= \pm\left\{\left(\begin{array}{cc}
0 & -1 \\
1 & 0
\end{array}\right),\left(\begin{array}{ll}
-1 & 1 \\
-1 & 0
\end{array}\right),\left(\begin{array}{ll}
0 & -1 \\
1 & -1
\end{array}\right)\right\} .
$$

We recognize the $S, U$ and $U^{-1}$ elements of $S L(2, \mathbb{Z})$. Only for these conjugacy classes it is possible to compactify on a circle with duality monodromy. This has been recently used in lower-dimensional compactifications to produce new IIB backgrounds and associated dual CFT's $124-128$, but in nine dimensions these monodromies do not preserve any supercharges [75, 77]. Therefore, we now move to monodromies with $\operatorname{det} h=-1$. In this case, the equation to solve is

$$
\begin{equation*}
\bar{\tau}=\frac{a \tau+b}{c \tau+d}, \tag{6.2.22}
\end{equation*}
$$

which becomes a system of two equations for $\tau=x+i y$,

$$
\begin{equation*}
c y^{2}=-c x^{2}+(a-d) x+b, \quad(d+a) y=0 . \tag{6.2.23}
\end{equation*}
$$

The second equation and the condition $\operatorname{det} h=-1$ together imply

$$
\begin{equation*}
a=-d, \quad d^{2}+b c=1 . \tag{6.2.24}
\end{equation*}
$$

These equations have infinitely many solutions. Here, we only consider the following simple solutions, leaving the rest for Appendix C.1:

$$
\left(\begin{array}{ll}
a & b  \tag{6.2.25}\\
c & d
\end{array}\right)= \pm\left\{\left(\begin{array}{ll}
0 & 1 \\
1 & 0
\end{array}\right),\left(\begin{array}{cc}
1 & b \\
0 & -1
\end{array}\right),\left(\begin{array}{cc}
1 & 0 \\
c & -1
\end{array}\right)\right\}
$$

The first entry above corresponds to a holonomy in the conjugacy class $[ \pm S \Omega]$ and, just like the possibilities above, it does not preserve any supercharges.

The second entry, corresponding to $\Omega T^{b}$ and $(-1)^{F_{L}} T^{b}$, precisely captures the DP and AOB backgrounds, with or without discrete theta angle depending on whether $b$ is even or odd. Actually, due to the fact that

$$
\begin{equation*}
\Omega T^{b}=T^{-b} \Omega \tag{6.2.26}
\end{equation*}
$$

in $G L(2, \mathbb{Z})$, all values of $b$ differing by an even number are in the same conjugacy class:

$$
\begin{equation*}
T^{n} \Omega T^{b} T^{-n}=\Omega T^{b-2 n} \tag{6.2.27}
\end{equation*}
$$

Hence only $b=0,1$ are relevant. $b=0$ is the case with no theta angle, and $b=1$ corresponds to theta angle turned on. It will be important below that $\left[\Omega T^{b}\right]$ and $\left[(-1)^{F_{L}} T^{b}\right]=\left[-\Omega T^{b}\right]$ are actually the same conjugacy class, as can be seen by conjugating by $S T^{-2} S^{-1}$ :

$$
\begin{equation*}
\left(S T^{-2} S^{-1}\right) \Omega T^{b}\left(S T^{-2} S^{-1}\right)^{-1}=T^{b}(-1)^{F_{L}} \tag{6.2.28}
\end{equation*}
$$

The third entry in 6.2.25 describes a monodromy in the conjugacy class of [ $S \Omega T^{c} S^{-1}$ ] or $\left[S(-1)^{F_{L}} T^{c} S^{-1}\right]$. Just as before, only $c \bmod 2$ is physically meaningful. These are the same conjugacy classes as for the DP and AOB backgrounds, but described in a dual frame.

To sum up, a systematic analysis reproduces the backgrounds we discussed already, and nothing else; therefore, the theta angles that we have discussed exhaust all the possibilities in 9d coming from circle compactifications of type IIB with a duality monodromy. We wrap up by describing S-duality for these backgrounds. The S-transformation preserves the line $\tau=i / g_{s}$, sending $g_{s} \rightarrow 1 / g_{s}$, so when the discrete theta angle is turned off, it implements a strong-weak coupling duality, allowing one to describe the regime $g_{s} \gg 1$ in terms of a dual,
weakly coupled string of the same kind. When the discrete theta angle is turned on, the line $\tau=i / g_{s}-1 / 2$ is not invariant under the S-transformation, and in particular it is mapped to the line

$$
\begin{equation*}
\tau=-\frac{1}{1 / 2+i / g_{s}}=\frac{4 g_{s}}{4+g_{s}^{2}}\left[-\frac{1}{2}+\frac{i}{g_{s}}\right] . \tag{6.2.29}
\end{equation*}
$$

Thus, the S-transformation maps weak coupling to weak coupling, and provides no interesting information at strong coupling. As suggested by (6.2.28), the correct duality transformation involves the element $V \equiv S T^{-2} S^{-1}$, which maps $\tau$ as

$$
\begin{equation*}
\tau=-\frac{1}{2}+\frac{i}{g_{s}} \rightarrow \frac{\tau}{1+2 \tau}=\frac{1}{2}+\frac{g_{s}}{4} i \sim-\frac{1}{2}+\frac{g_{s}}{4} i \tag{6.2.30}
\end{equation*}
$$

where in the last equality we have used that $\tau \sim \tau+1$ via a T transformation, and so it relates the weak coupling ( $g_{s} \ll 1$ ) DP background to the strong coupling ( $g_{s} \gg 1$ ) AOB background and vice-versa, just like the ordinary S-transformation does when there is no theta angle. The fixed point is $g_{s}=2$, as opposed to $g_{s}=1$ in the usual $S$-duality. This transformation shows that the discrete theta angle affects the details, but not the qualitative nature, of strongweak duality. There are, however, some unusual features. The usual S-duality exchanges fundamental strings and D1 branes. This can be seen from the action of the transformation on the field doublet (we use the conventions of [116])

$$
\binom{C_{2}}{B_{2}} \rightarrow\left(\begin{array}{cc}
0 & -1  \tag{6.2.31}\\
1 & 0
\end{array}\right)\binom{C_{2}}{B_{2}}=\binom{-B_{2}}{C_{2}} .
$$

By contrast, the action of the transformation $V=S T^{-2} S^{-1}$ maps

$$
\binom{C_{2}}{B_{2}} \rightarrow\left(\begin{array}{ll}
1 & 0  \tag{6.2.32}\\
2 & 1
\end{array}\right)\binom{C_{2}}{B_{2}}=\binom{C_{2}}{2 C_{2}+B_{2}}
$$

so the $C_{2}$ field is mapped to itself, and $B_{2}$ is shifted. This means that charged objects mix with each other. For concreteness, consider the DP background at weak coupling. The fundamental string is $\mathbb{Z}_{2}$-charged, and the D1 string has a $\mathbb{Z}$-valued charge and is a BPS object. in the dual AOB background, the fundamental string is BPS and has a $\mathbb{Z}$-valued charge, while the $D 1$ brane is $\mathbb{Z}_{2}$-charged. The transformation (6.2.32) acts via the transpose transformation on the charges, and it implies that

$$
\begin{equation*}
\text { Fundamental string of } \mathrm{DP}=\text { Fundamental AOB string }-2 D 1 \text { 's of AOB. } \tag{6.2.33}
\end{equation*}
$$

The tensions of these two objects match, as they should. As mentioned in Subsection 6.2.1,
in Planck units, the tension of a $(p, q)$ string is 99

$$
\begin{equation*}
T_{(p, q)}=\frac{|p+q \tau|}{\sqrt{\operatorname{Im}(\tau)}} \tag{6.2.34}
\end{equation*}
$$

One can see that both $T_{(1,0)}$ for $\tau=i / g_{s}-1 / 2$ and $T_{(-2,1)}$ for $\tau=\frac{1}{2}+\frac{g_{s}}{4} i$ agree and are equal to $\sqrt{g_{s}}$. The ratio of tensions between the dual fundamental string and the original fundamental string is $2 / g_{s}$, a factor of 2 larger than for the usual IIB S-duality [99]. This factor of two is precisely the index of the sublattice of BPS that we found in the previous Subsection; in this background, the perturbative fundamental string has charge twice the fundamental charge. We also notice that the $\mathbb{Z}_{2}$-valued fields that we described in this subsection and the previous one are perfectly matched to each other under S-duality; RR fields in DP map to RR discrete fields in AOB, and vice-versa. It is interesting that RR fields are captured by the K-theory description in both cases, while there is no such description available for the NS-NS fields.

The duality group of this theory is a subgroup of $S L(2, \mathbb{Z})$ generated by

$$
V=\left(\begin{array}{ll}
1 & 0  \tag{6.2.35}\\
2 & 1
\end{array}\right) \quad \text { and } \quad T=\left(\begin{array}{cc}
1 & 1 \\
0 & 1
\end{array}\right)
$$

These generate the Hecke congruence subgroup $\Gamma_{1}(2)$, defined as the subgroup of $S L(2, \mathbb{Z})$ composed of matrices satisfying

$$
M=\left(\begin{array}{ll}
a & b  \tag{6.2.36}\\
c & d
\end{array}\right), \quad a \equiv d \equiv 1 \bmod 2, \quad c \equiv 0 \bmod 2 .
$$

The fact that $\Gamma_{1}(2)$ appears as the duality group of an explicit string construction is sure to have further implications. For instance, toroidal compactifications of the AOB background to four dimensions will produce $\mathcal{N}=4$ models where the duality group is $\Gamma_{1}(2)$ rather than the usual $S L(2, \mathbb{Z})$. It would be interesting to study these in detail. The fact that the duality group is a congruence subgroup of $S L(2, \mathbb{Z})$ is in line with the expectation put forth in [129], and is related to the fundamental group of the moduli space being purely torsion as required by Swampland principles 130 .

### 6.2.4 The moduli space of rank 1 nine-dimensional compactifications

Armed with this new version of S-duality, we can now understand all the corners of the new component of the moduli space we found. Just like any other 9 d $\mathcal{N}=1$ rank 1 quantum gravity, the theories we discussed in the previous Subsections have a two-dimensional moduli
space, parametrized by the dilaton (a scalar in the gravity multiplet) and a scalar from the vector multiplet (the size of the $S^{1}$ for the AOB or DP backgrounds). The geometry of the moduli space is purely determined by supergravity, and thus in particular it is insensitive to the presence of discrete theta angles; however, as we will see, the duality webs are significantly different.

Let us first describe the moduli space with theta angle turned off. The moduli space of the DP background is carefully explored in the beautiful paper [69]; by moving on the dilaton/radius space, one can reach other perturbative corners, admitting a dual description. In particular, when the discrete theta angle is turned off, one reaches other two perturbative descriptions, in different limits in moduli space:

- At strong coupling, one S-dualizes to IIB on $S^{1}$ with a $(-1)^{F_{L}}$ monodromy, i.e. the AOB background in [69, 120], with vanishing theta angle.
- At small radius, a T-dual IIA description emerges, in terms of an interval compactification with an $\mathrm{O8}^{+} / \mathrm{O8}^{-}$orientifold pair and no branes.

On the AOB corner, there is a locus of enhanced $S U(2)$ symmetry, at a self-dual value of the radius. This is also visible in the $\mathrm{O8}^{+} / \mathrm{O8}^{-}$description at strong coupling, where increasing the coupling at the $O 8^{-}$can cause $D 0$ branes stuck there to become massless, providing the required enhancement (see the discussion in Subsection 6.1). This state of affairs is conveniently depicted in Figure 6.3, which we took from [69]. As usual, the T-duality line is actually an identification on the physical moduli space of the theory, with theories at either side of the line being physically equivalent. The figure thus depicts a double cover of the actual moduli space, which is like a napkin folded over itself.

Let us now explain how the above description is modified in the presence of the discrete theta angle. As described above, both the AOB and the DP backgrounds admit their own versions of discrete theta angles, but they are not the only ones to do so. For the $\mathrm{O8}^{+} / \mathrm{O8}^{-}$ background, the theta angle is a version of the idea in [73] that we described in Section 6.1; the orientifolds project out the RR field $C_{1}$, but leave out the possibility of having a Wilson line

$$
\begin{equation*}
\int C_{1}=1 / 2 \tag{6.2.37}
\end{equation*}
$$

on the covering circle. This means that, as a $D 0$ brane moves from the $O 8^{-}$to the $\mathrm{O8}^{+}$ and back again, its wavefunction picks a factor of $(-1)$. In Subsection 6.2.1 we tried to do this in compactifications involving $O 8$ planes and $D 8$ branes; as explained there (and following [76, 80] ), the $D 8$ branes make the $\int C_{1}$ angle unphysical, since it is equivalent to moving to a different point in moduli space. But there are no $D 8$ branes in the rank 1 case, so the argument does not apply and the angle is physical this time.


Figure 6.3: Depiction of the moduli space of the rank 1 component of the nine-dimensional moduli space including the Dabholkar Park, AOB, and $\mathrm{O8}^{+} / \mathrm{O8}^{-}$compactifications. Following [69], from which this picture is taken, we take the $\mathrm{O8}^{+} / \mathrm{O8}^{-}$component as reference and parametrize the whole moduli space in terms of its coupling and distance between O-planes. For each value of these parameters the figure indicates which description is weakly coupled. The curved line running from top left to bottom right of the picture is a self-duality line, and points to both sides of the line are to be identified; this is also encoded in the color, where regions with different shades of the same color are to be identified.

This $\mathrm{O8}^{+} / \mathrm{O8}^{-}$theta angle is just the T-dual of the DP one in Subsection 6.2.2, since T-duality turns $C_{0} \leftrightarrow C_{1}$. So the moduli space of the DP background with theta angle also includes a T-dual corner with a $\mathrm{O8}^{+} / \mathrm{O8}^{-}$theta angle. One advantage of the IIA description is that it now becomes possible to access the point of enhanced symmetry. As described in Section 6.1. when a discrete Wilson line $\int C_{1}$ is turned on, an $O 8^{-}$does not receive massless degrees of freedom coming from massless $D 0$ branes; but instead it is able to non-perturbatively emit an additional $D 8$. This $D 8$ can move all the way to the other side of the interval, at the $O 8^{+}$plane, to realize an $\operatorname{Sp}(1) \sim S U(2)$ enhanced symmetry ${ }^{10}$. This happens at a locus $g \sim \frac{2}{R}$, which therefore marks the regime of validity of the type I' description. As is the case for the similar symplectic factors that appear in the CHL string, the $\operatorname{Sp}(1)$ current algebra is at level 2, just like the AOB background described in Section 6.2.2.

We are now ready to show that all the new theories described thus far lie in the same moduli space, and that cover it completely. The argument is essentially the same as that of [69] for the component without discrete theta angle, with just a few additional factors of two. We parametrize the whole moduli space by the coupling and interval size ( $g_{ \pm}, R_{ \pm}$) of the $\mathrm{O8}^{+}-\mathrm{O8} 8^{-}$theory with discrete theta angle turned on; the range of validity of this corner of moduli space is given by $R_{ \pm} \gtrsim 1$ and $g_{ \pm}<2 / R_{ \pm}$. Decreasing $R_{ \pm}$at constant $g_{ \pm}$forces us into a T-dual DP background, with $C_{0}$ theta angle turned on, and T-dual couplings related to the original ones by 131

$$
\begin{equation*}
g_{\mathrm{DP}}=\frac{g_{ \pm}}{R_{ \pm}}, \quad R_{\mathrm{DP}}=\frac{1}{R_{ \pm}} . \tag{6.2.38}
\end{equation*}
$$

The regime of validity of this new T-dual background is set by the self-dual line $g_{\mathrm{DP}}=2$, $R_{\mathrm{DP}}>1$, or

$$
\begin{equation*}
g_{ \pm} \leq 2 R_{ \pm}, \quad R_{ \pm} \leq 1 \tag{6.2.39}
\end{equation*}
$$

Decreasing $R_{ \pm}$even further, past the strong coupling line, forces us to perform $S$-duality as explained in Subsection 6.2.3. The S-dual AOB description has couplings

$$
\begin{equation*}
g_{\mathrm{AOB}}=\frac{4}{g_{\mathrm{DP}}}=\frac{4 R_{ \pm}}{g_{ \pm}}, \quad R_{\mathrm{AOB}}=R_{\mathrm{DP}} \sqrt{\frac{\alpha_{\mathrm{DP}}^{\prime}}{\alpha_{\mathrm{AOB}}^{\prime}}}=\sqrt{\frac{2}{R_{ \pm} g_{ \pm}}}, \tag{6.2.40}
\end{equation*}
$$

where the quotient between the string length of the fundamental DP and AOB strings is

$$
\begin{equation*}
\frac{\alpha_{\mathrm{DP}}^{\prime}}{\alpha_{\mathrm{AOB}}^{\prime}}=\frac{T_{(1,0), \mathrm{AOB}}}{T_{(1,0), \mathrm{DP}}}=\frac{2}{g_{s}} \tag{6.2.41}
\end{equation*}
$$

[^12]as derived in Subsection 6.2.1. We expect the self T-duality line at $R_{\mathrm{AOB}}=1$, or equivalently, at
\[

$$
\begin{equation*}
R_{ \pm} g_{ \pm} \sim 2 \tag{6.2.42}
\end{equation*}
$$

\]

The T-dual background has couplings

$$
\begin{equation*}
g_{\mathrm{AOB}-\mathrm{II}}=\sqrt{\frac{8 R^{3}}{g}}, \quad R_{\mathrm{AOB}-\mathrm{II}}=\sqrt{\frac{g R}{2}} . \tag{6.2.43}
\end{equation*}
$$

Now increasing $R_{ \pm}$again, we hit an S- dual $D P$ background, with couplings

$$
\begin{equation*}
g_{\mathrm{DP}-\mathrm{II}}=\sqrt{\frac{2 g}{R^{3}}}, \quad R_{\mathrm{DP-II}}=\left(\frac{g^{3}}{8 R}\right)^{\frac{1}{4}} . \tag{6.2.44}
\end{equation*}
$$

Finally, increasing $R_{ \pm}$even further leads us back to an $\mathrm{O8}^{+}-\mathrm{O8}^{-}$background, with couplings

$$
\begin{equation*}
g_{ \pm-\mathrm{II}}=\left(\frac{32}{g R^{5}}\right)^{\frac{1}{4}}, \quad R_{ \pm-\mathrm{II}}=\left(\frac{8 R}{g^{3}}\right)^{\frac{1}{4}} . \tag{6.2.45}
\end{equation*}
$$

Importantly, and just like in the case with no theta angle, the lines

$$
\begin{equation*}
g_{ \pm-\mathrm{II}} R_{ \pm-\mathrm{II}}=2 \quad \text { and } \quad g_{ \pm} R_{ \pm}=2 \tag{6.2.46}
\end{equation*}
$$

coincide. This means that we have derived, indirectly, the strong coupling limit of the $\mathrm{O8}^{+}-$ $O 8^{-}$compactification to be itsel All six regions thus obtained completely cover a copy of the $S O(1,1, \mathbb{R})$ moduli space that corresponds to a rank 1 theory. In fact, the regions we have obtained are exactly the same as one obtains for the component of moduli space with theta angle turned off, which is depicted on Figure 6.3, subject to the rescaling

$$
\begin{equation*}
g_{ \pm} \rightarrow \frac{g_{ \pm}}{2} \tag{6.2.47}
\end{equation*}
$$

The resulting diagram is depicted in Figure 6.4. This shows that the moduli space picture is completely consistent, and that all the new string compactifications discovered so far (discrete theta angles in DP and AOB , as well as in $\mathrm{O8}^{+}-\mathrm{O} 8^{-}$) are all corners of the same, new component of the moduli space of $9 \mathrm{~d} \mathcal{N}=1$ string compactifications.

[^13]

Figure 6.4: Depiction of the new component of the nine-dimensional rank one moduli space. As described in the main text, it is fully covered by versions of the Dabholkar Park, AOB, and $\mathrm{OB}^{+} / \mathrm{O8}^{-}$compactifications with discrete theta angles turned on. As in Figure 6.3 we used the $\mathrm{O8}^{+} / \mathrm{O8} 8^{-}$component to parametrize all of moduli space. The only difference with Figure 6.3 is the scale of the vertical axis, reflecting the rescaling (6.2.47). Relatedly, the self-dual point is at coupling $g_{s}=2$, and the duality group is $\Gamma_{1}(2)$ instead of the $S L(2, \mathbb{Z})$ that one obtains when the discrete theta angle is switched off.

### 6.3 Discrete theta angles in 8 d string compactifications with sixteen supercharges

The next natural step is to look for theta angles leading to new string theories with 16 supercharges in 8 dimensions. Just as before, we will begin with a short review of the state of the art. Previous literature only discusses a single component of the rank 2 moduli space in eight dimensions [69]; it has two different decompactification limits, leading to the two previously known rank 1 components of the moduli space in nine dimensions. This unique component of the moduli space has several corners, which are conveniently described as

- The $\mathrm{O8}^{+}-\mathrm{O8}^{-}$on a circle;
- After T-dualizing the additional circle, the $O 8^{+}$becomes a pair of $O 7^{+}$'s and similarly for the $O 8^{-}$; the configuration becomes a IIB compactification on $T^{2} / \mathbb{Z}_{2}$ with two $O 7^{+}$ planes and two $O 7^{-}$planes, which can be equivalently described as F-theory on K3 with two frozen singularities [69].
- We can now T-dualize on the circle on which the $O 7^{+} / O 7^{-}$pairs extend. This way we reach a new decompactification limit, where the $O 7^{+}$and $O 7^{-}$'s pair up to produce a pair of two $O 8^{0}$ 's; the configuration we are describing is the compactification of the Asymmetric IIA Orbifold, or AOA background [69], on a circle. This background admits a further uplift to M-theory on a Klein bottle 120 .

Let us now look for new string theories. The new component of moduli space we found in nine dimensions immediately produces, when compactified on a circle, a new component of the moduli space in 8 dimensions. This can be described as $O 8^{+}-O 8^{-}$with $\int C_{1}=1 / 2$ on a circle or, after T-duality,

$$
\begin{equation*}
\text { IIB on an } O 7^{+}-O 7^{-} \text {background with } \int_{T^{2}} C_{2}=1 / 2 \text {. } \tag{6.3.1}
\end{equation*}
$$

The story is by now familiar. Consider modding out IIB on $T^{2}$ by the symmetry that flips both coordinates of the $T^{2}$ and acts simultaneously with an action of $\Omega$. This projects out several fields, notably the holonomy $\int_{T^{2}} C_{2}$. But a $\mathbb{Z}_{2}$ subgroup survives, providing a discrete theta angle.

In [133], it was configuration that a profile like (6.3.1) was the F-theory description of the CHL string. We believe it is rather more natural to just take F-theory on Möbius $\times S^{1}$ to be the F-theory description of the CHL string; it matches the usual M-F dictionary, and it follows the lore that we are supposed to be able to consider F-theory on any space with a $T^{2}$ fibration. The Möbius strip does not have such a fibration, but Möbius $\times S^{1}$ does. Be it as it may, it would be interesting to explore the connection with the picture in [133] in more detail.

In nine dimensions, we could access two different corners of moduli space by choosing to act with $\Omega$ or $(-1)^{F_{L}}$; the same is true here, with the difference that only one of the descriptions is perturbative. The 7 -branes that appear after orbifolding do not cancel their 7-brane charge locally, and so as usual in F-theory there is a logarithmic running of the 10d axio-dilaton near its core [116]. Using $\Omega$ when defining the quotient will produce $O 7$ planes at the fixed loci of the action on $T^{2}$, which are perturbative; the dilaton runs to weak coupling at their core. On the other hand, employing $(-1)^{F_{L}}$ will result in a non-perturbative configuration involving the $S$-duals of the $O 7$ planes. This strongly coupled prescription is pretty much useless, and it is a good idea to S-dualize to the first case we described. In F-theory language, this follows from the statement that the perturbative limit (Sen's limit) is essentially unique; this is still true when frozen singularities are involved. The case without discrete theta angle corresponds to two frozen $D_{8}$ singularities together with a frozen torsional section of order two intersecting them; switching on the theta angle gives a configuration without such a frozen section.

As described above, when the theta angle is switched off, it is possible to $T$-dualize to a IIA description, given by an $O 8^{0}$ compactification on a circle. Subsequently taking the strong coupling limit the interval containing the $O 8^{0}$ planes becomes a Klein bottle in M theory, and so we end up with M-theory on Klein bottle times $S^{1}$. How does this change when the theta angle is turned on? Since the theta angle is a holonomy of $C_{1}$, which corresponds to pure geometry, we also expect to have an M-theory lift. One first guess could be that M-theory on $\mathrm{KB} \times S^{1}$ admits a discrete theta angle coming from holonomy of the $C_{3}$ field, but this is not correct. The M-theory 3 -form $C_{3}$ picks up an additional minus sign under the M-theory parity action [134], and so the period

$$
\begin{equation*}
\int_{\mathrm{KB} \times S^{1}} C_{3} \tag{6.3.2}
\end{equation*}
$$

is an ordinary circle-valued axion (the axion in the gravity multiplet of the eight-dimensional theory). The component of the three-form not along the Klein-bottle becomes a discrete $\mathbb{Z}_{2}$-valued 3 -form field, which has featured prominently in the recent beautiful paper [135], where it was shown its presence is essential for the cancellation of a gravitational anomaly of Dai-Freed type in the nine-dimensional theory. However, a discrete 3 -form in nine dimensions will not produce a discrete $\mathbb{Z}_{2}$ field in eight. So what is the origin of the eight-dimensional theta angle?

The answer turns out to be pure geometry. Consider a compactification of M-theory on the Klein bottle, described as the plane $\mathbb{R}^{2}$ with the Euclidean metric, and coordinates $(x, y)$ under the following identifications:

$$
\begin{equation*}
(x, y) \sim(x+1, y) \sim\left(x, y+\tau_{2}\right) \sim(x+1 / 2,-y), \quad \tau_{2} \in \mathbb{R} \tag{6.3.3}
\end{equation*}
$$

The above space has a discrete $\mathbb{Z}_{2}$ isometry $\iota_{1}$, given by

$$
\begin{equation*}
\iota_{1}:(x, y) \rightarrow(x, y+1 / 2) \tag{6.3.4}
\end{equation*}
$$

There is also a second $\mathbb{Z}_{2}$ isometry $\iota_{2}$, given by

$$
\begin{equation*}
\iota_{2}:(x, y) \rightarrow(-x,-y) \tag{6.3.5}
\end{equation*}
$$

Each of these isometries give rise to exact discrete gauge symmetries of the nine-dimensional $\mathcal{N}=1$ gravity theory, and to the corresponding $\mathbb{Z}_{2}$-valued 1 -form gauge fields. It is therefore possible to consider a compactification of the nine-dimensional theory on a circle with monodromy for either $\iota_{1}, \iota_{2}$, or both. However, $\iota_{2}$ acts on the nine-dimensional supercharge as multiplication by -1 , as we will show momentarily; therefore, the compactification with this monodromy is non-supersymmetric ${ }^{12}$. To see this, consider the spinor lift of the last action in (6.3.3). On two-dimensional spinors, it acts as

$$
\begin{equation*}
\psi(x, y) \rightarrow \Gamma_{2} \psi(x+1 / 2,-y) \tag{6.3.6}
\end{equation*}
$$

where $\Gamma_{2}$ is an Euclidean $\Gamma$ matrix which squares to +1 . When one considers theories involving fermions and reflections, one must choose the action of the reflection on the fermions; there are two possibilities, depending on whether reflections square to +1 or to -1 . See [136] for a nice exposition. The choice in (6.3.6) corresponds to reflections squaring to +1 , commonly called a $\mathrm{Pin}^{+}$structure; this is the symmetry type of M-theory (134, 137, as well as being the only action of reflections compatible with supersymmetry in nine dimensions [68].

On the other hand, the spin lift of the action in $\iota_{2}$ is

$$
\begin{equation*}
\psi(x, y) \rightarrow \Gamma_{1} \Gamma_{2} \psi(-x,-y), \tag{6.3.7}
\end{equation*}
$$

corresponding to a rotation by $180^{\circ}$. Compactifying on $S^{1}$ with a monodromy for $\iota_{2}$ means that spinors of the eight-dimensional theory must be invariant under both 6.3.6) and 6.3.7); there are no solutions to these equations at the level of fermion zero modes, since $\Gamma_{1} \Gamma_{2}$ and $\Gamma_{1}$ anticommute. Thus, we have shown that $\iota_{2}$ does not preserve spinors in eight dimensions. On the other hand, $\iota_{1}$ is just a translation, and it does not project out the supercharges; the resulting compactification is supersymmetric, and describes the discrete theta angle we found in other corners of the eight-dimensional moduli space.

Since the $\mathbb{Z}_{2}$ symmetry $\iota_{1}$ we used in the construction has a geometric origin, we can

[^14]directly describe the background M-theory is compactified on to produce this component of moduli space. The manifold is simply a mapping torus for the isometry $\iota_{1}$. Calling the coordinate for the additional circle as $z$, the manifold is fully specified as a quotient of $\mathbb{R}^{3}$ with coordinates $(x, y, z)$ subject to the identifications in (6.3.3) together with
\[

$$
\begin{equation*}
(x, y, z) \sim(x, y+1 / 2, z+1 / 2), \quad(x, y, z) \sim(x, y, z+1) \tag{6.3.8}
\end{equation*}
$$

\]

The resulting manifold is the quotient of $T^{3}$ by a freely acting isometry, and is automatically Ricci-flat. The most general such quotient is called a Bieberbach manifold, and lowdimensional ones have been classified in the mathematical literature [138]. For instance, there are only six orientable Bieberbach three-dimensional manifolds, other than $T^{3}$. These appeared recently in [139], where Acharya analyzed the possible spin structures on each of them. Although these six Bieberbach manifolds are Ricci-flat, and therefore solve Einsteins equations, none of them admit covariantly constant spinors. Therefore, they constitute interesting examples of classically stable solutions of Einsteins equations. They are not stable quantum-mechanically, either at large or small volume, as studied in [140, 141.

Although reference [139] only looked at orientable Bieberbach manifolds, M-theory makes sense also in non-orientable manifolds [134]. Non-orientable three-dimensional Bieberbach manifolds have also been classified; see Table 8 of [138]. There are four possibilities: $\mathrm{KB} \times S^{1}$, and mapping tori of the Klein bottle for either $\iota_{1}, \iota_{2}$, and their product. So the mathematical classification reproduces the backgrounds we found, and none else. As we showed above, not only $\mathrm{KB} \times S^{1}$ admits covariantly constant pinors; the mapping torus by $\iota_{1}$, called $N_{2}^{3}$ in [138], also does. From this point of view, the new theory we describe in this thesis is extremely simple: It is just a compactification of M-theory in a non-orientable manifold which admits covariantly constant spinors. It would have turned up in a systematic construction of supersymmetric M-theory backgrounds, which has not been carried out even for the case of 16 supercharges that we are presently discussing.

Thus, to summarize, there is a new supersymmetric string theory in eight dimensions, which lives in a new component of moduli space. This component has two decompactification limits; one of them is the new component of the nine-dimensional moduli space we described in Section 6.2, and the other one is a decompactification limit to M-theory on the Klein bottle, and is described as compactification of F-theory on the background $N_{2}^{3}$. The classification in 138 shows that there are no further components of moduli space that can be accessed from the M-theory perspective.

### 6.4 Discrete theta angles in seven-dimensional theories

We continue our journey by asking which new components of moduli space can be described in seven dimensions, the lowest number of dimensions in which sixteen supercharges correspond to minimal supersymmetry. As before, we start by considering the component of moduli space obtained from the new component we found in 8 d and 9 d via circle compactification. We will do this by considering the description involving $O 7^{ \pm}$planes described in Section 6.3. Without theta angle, the resulting compactification was described in [9]; one can T-dualize along the circle direction, and the $O 7^{ \pm}$planes turn into $O 6^{ \pm}$planes. Thus we have a three-dimensional IIA orientifold, without additional $D 6$ branes.

How does the discrete theta angle affect this picture? We will not provide a proof, but we have sufficient information to make an educated guess. Recall that, in this duality frame, the discrete theta angle becomes an holonomy for the $C_{2} \mathrm{RR}$ field on the covering torus. The worldsheet description is, in principle, insensitive to the theta angle; so the basic rules of T-duality should still apply. It follows that, after T-duality, one ends up with a $\mathbb{T}^{3} / \mathbb{Z}_{2}$ configuration with no branes, and equal numbers of $O 6^{+}$and $O 6^{-}$planes. However, the worldsheet does not have any way to access which of these are $O 6^{+}$and which are $O 6^{-}$, since there are no $D 6$ branes to place on top of the orientifolds. So it is conceivable, a priori, that what we obtain is a compactification where the $O 6$ plane arrangement is different from that in the ordinary model without discrete theta angle.

The standard reference for our current knowledge of $7 \mathrm{~d} \mathcal{N}=1$ theories is [9]. Interestingly, they describe potentially not one, but two different $O 6^{+} / O 6^{-}$compactifications, which differ in the arrangement of orientifold planes. In [9], the question of whether these two components become equivalent at strong coupling was left open. From our point of view, it is natural to guess that one of them corresponds to the 7d compactification of the new component of moduli space we found in higher dimensions.

It would be interesting to verify or disprove this conjecture, and check it against possible alternatives; for instance, one could also say that the T-dual of $C_{2}$ is naturally $\int C_{3}$, so the compactification corresponds to a new discrete flux on the base of $T^{3}$. Perhaps this description is somehow equivalent to the permuted $O 6^{+} / O 6^{-}$that we described above; it would be desirable to understand this better, but we leave this task for future work.

Instead, we spend the rest of this Section looking for additional discrete theta angles with sixteen supercharges. We begin by pursuing the M-theory approach near the end of Section 6.3. One can obtain new string theories in seven dimensions by compactifying M-theory on manifolds which preserve covariantly constant spinors, such as some Bieberbach manifolds. The classification of Bieberbach 4 -folds is done in [138], but we can get to the final answer by noting that an orientable four-manifold that preserves at least some supercharges must be hyperkahler [142, and that the only known examples of these manifolds are $T^{4}$ and $K 3$.

Once this is established, one can look at the list of non-orientable Bieberbach manifolds constructed as circle fibrations over $T^{3}$; all other examples will involve as a fiber a Bieberbach other than $T^{3}$, and all of these do not admit covariantly constant spinors. We find that the only possibilities are

$$
\text { M theory on } K 3, \quad \mathrm{~KB} \times T^{2}, \quad \text { and } \quad N_{2}^{3} \times T^{2} .
$$

These are the three components of moduli space we already discussed.
It is far more productive to look at the F-theory picture instead. An F-theory background $X_{d}$ is a torus fibration over a base $\mathcal{B}$, where the total space $X$ is $\operatorname{Pin}^{+}$75. We will look for F-theory backgrounds where the fiber does not shrink; in these cases, the $T^{2}$ fibration can be traded by a $\mathrm{GL}^{+}(2, \mathbb{Z})$ fiber bundle over $\mathcal{B}[77] . \mathrm{GL}^{+}(2, \mathbb{Z})$ is just the duality group of IIB string theory [75], and what we will do here is consider compactifications with duality bundles turned on, but not 7-branes.

Since we are compactifying to seven dimensions, we are again looking for Ricci-flat threemanifolds, which are precisely the Bieberbach manifolds discussed in [139. Type IIB requires an orientation, so we restrict our attention to the orientable Bieberbach manifolds. As discussed above and in [139], none of these admit covariantly constant spinors, except for $T^{3}$. But in IIB, the supercharges transform under the duality bundle, and we should look not for covariantly constant spinors, but for Spin ${ }^{G L}{ }^{+}(2$, Z $)$-covariant spinors, and several Bieberbach manifolds admit these, as we will now see. Consider a mapping torus fibration $T^{2} \rightarrow S^{1}$, where the gluing homomorphism is an element $\rho$ of $S L(2, \mathbb{Z})$. All the Bieberbach manifolds of interest (discussed below) are of this form. We can regard the resulting seven-dimensional theories as circle compactifications of type IIB on $T^{2}$ by an additional duality action. When going around the circle, the IIB supercharges, which transforms in a Weyl representation 8 of $\operatorname{Spin}(7,1)$, are transformed by an orthogonal $2 \times 2$ rotation matrix $M_{\rho}$, which is the spin lift of the isometry $\rho$. Since 8d Weyl spinors are complex, we can diagonalize to act as multiplication by phases $e^{ \pm i \theta}$. This action does not leave any spinors invariant, and thus does not preserve any supersymmetry. However, we can combine it with the same action of $\rho$ embedded in the IIB duality bundle. The IIB supercharges transform as a complex spinor 75, 122 and so, for appropriate $\rho$, we can make it act as $e^{-i \theta}$. The combined action has a single surviving supercharge in 7 d , leading to an $\mathcal{N}=1$ theory.

We now list all the relevant three-dimensional Bieberbach manifolds admitting covariantly constant equivariant spinors, together with the corresponding F-theory model. These threedimensional Bieberbach manifolds all admit represesentatives as fibrations of either $T^{2}$ over $S^{1}$; each such fibration is a mapping torus associated to an element of $S L(2, \mathbb{Z})$, which implements the large diffeomorphism of the fiber as we go around the $S^{1}$. We now list the nontrivial Bieberbach manifolds in the notation of [138], the corresponding $S L(2, \mathbb{Z})$, and the
corresponding F-theory model of which the Bieberbach manifold is base:

| Bieberbach | $S L(2, \mathbb{Z})$ element | F-theory model |
| :---: | :---: | :---: |
| $O_{2}^{3}$ | $\left(\begin{array}{cc}-1 & 0 \\ 0 & -1\end{array}\right)$ | $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{2}}$ |
| $O_{3}^{3}$ | $\left(\begin{array}{c}0 \\ 1 \\ 1\end{array}\right)$ | $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{3}}$ |
| $O_{4}^{3}$ | $\left(\begin{array}{c}0 \\ 1\end{array}-1\right.$ |  |
| 1 | 0 |  |
| $O_{6}^{3}$ | $\left(\begin{array}{cc}1 & -1 \\ 1 & 0\end{array}\right)$ | $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{4}}$ |

Explicitly, each of the above Bieberbach manifolds is constructed as a quotient of a parent $T^{2} \times S^{1}$ with coordinates $(\vec{x}, \theta)$, each with unit period, by the isometry

$$
\begin{equation*}
(\vec{x}, \theta) \rightarrow\left(\rho \cdot \vec{x}, \theta+\operatorname{ord}(\rho)^{-1}\right) \tag{6.4.1}
\end{equation*}
$$

where $\rho$ is the matrix in the second column of the table and $\operatorname{ord}(\rho)$ is its order (the smallest $k$ such that $\rho^{k}=\mathbf{I}$ ).

We can also compute the rank of these theories by direct dimensional reduction; this analysis will also reveal the possible discrete theta angles. Since they are all described by fibrations of $T^{2}$ over $S^{1}$, it is instructive to carry out the discussion in two steps: first from ten-dimensional IIB to eight dimensions, and then on a circle. As for the first step, we have:

- The axio-dilaton reduces directly to an eight-dimensional complex scalar.
- The 10d metric reduced on $T^{2}$ yields two KK photons, one real scalar for the volume of $T^{2}$, and one complex scalar for its complex structure.
- The $\left(B_{2}, C_{2}\right)$ fields yields the corresponding 2 -forms, four vectors coming from periods on both 1-cycles of the torus,

$$
\begin{equation*}
\overrightarrow{\mathbf{A}}=\left(\int_{\text {A-cycle }} C_{2}, \int_{\text {A-cycle }} B_{2}, \int_{\text {B-cycle }} C_{2}, \int_{\text {B-cycle }} B_{2}\right), \tag{6.4.2}
\end{equation*}
$$

as well as two axionic scalars

$$
\begin{equation*}
\vec{\phi} \equiv\left(\int_{T^{2}} C_{2}, \int_{T^{2}} B_{2}\right) \tag{6.4.3}
\end{equation*}
$$

- The $C_{4}$ field yields a 4 -form in eight dimensions, two 3 -forms, and a 2-form.

We now tackle the dimensional reduction of the 8d fields on the twisted compactification:

- Metric sector and axio-dilaton: Upon further reducing on the circle with a twist, the two KK photons are projected out, since all the $S L(2, \mathbb{Z})$ actions in the table above exchange the 1-cycles of the torus; one can see directly from the construction of Bieberbach
manifolds as quotients 6.4.1 that these isometries are not preserved. By contrast, translations along the $S^{1}$ base remain an isometry of the Bieberbach manifold, yielding a KK photon in seven dimensions. The volume of the $T^{2}$ is now accompanied by a scalar measuring the size of the $S^{1}$ base. The complex structure of $T^{2}$ and the IIB axio-dilaton are either both frozen to special values of the moduli, or both surviving to seven dimensions, as we will explain below. The total set of seven-dimensional fields is one graviton, one vector, and either two or six real scalars.
- The four-form $C_{4}$ and the 2-form that descends from it are insensitive to the duality bundle, producing a vector and a 2 -form in seven dimensions (due to the self-duality constraint, the reduction of $C_{4}$ is just the magnetic potential of the circle reduction of the eight-dimensional 2-form). The three-forms coming from $C_{4}$ are projected out.
- The scalars $\vec{\phi}$ above transform in the two-dimensional representation of $S L(2, \mathbb{Z})$ and so they are frozen to particular values.
- The vectors $\vec{A}$ transform in a four-dimensional representation of the duality and frame bundle of the $T^{2}$ fiber, whose details depend on $\rho$. For each invariant vector, we will be able to construct a 7 d vector zero mode. As we will see, we always have at least two zero modes.

The minimal field content described above can be arranged into multiplets of $7 \mathrm{~d} \mathcal{N}=1$ supergravity [143. The bosonic content of the gravity multiplet consists of the graviton, 2form (coming from $C_{4}$ ), three vectors (the KK photon, the vector coming from $C_{4}$, and one of the zero-modes of $\vec{A}$ ), and one scalar (the overall volume of the Bieberbach manifold). The other real scalar combines with two Wilson lines to produce a vector multiplet, furnishing a $7 \mathrm{~d} \mathcal{N}=1$ theory of rank one. In those cases where the axio-dilaton and complex structure of $T^{2}$ are not projected out, we will find there are two additional vectors and Wilson lines, constituting two additional vector multiplets and thus enhancing the rank to three. We will now discuss each Bieberbach manifold separately, carefully analyzing the possibility of discrete theta angles:

- $\rho=\left(\begin{array}{ll}0 & -1 \\ 1 & -1\end{array}\right)$ : Here the axio-dilaton and torus complex structure are fixed to $e^{2 \pi i / 3}$, so this is a theory of rank one. The field $\vec{\phi}$ can be set to the nonzero values

$$
\begin{equation*}
\vec{\phi}= \pm\left(\frac{1}{3},-\frac{1}{3}\right) \tag{6.4.4}
\end{equation*}
$$

Since multiplying these by three gives an integer, this is an example of a discrete $\mathbb{Z}_{3^{-}}$ valued theta angle. Both nonzero values are actually equivalent, since the $S L(2, \mathbb{Z})$ transformation that acts by multiplication by minus the identity matrix remains a valid
symmetry and maps one to the other. The classification in Chapter 5 indeed predicts the existence of a discrete theta angle for F-theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{3}$; the fact that we are able to identify discrete theta angles precisely in the cases identified in Chapter 5 further vindicates the rules identified there.

We also need to check if there can be theta angles coming from the Wilson lines $\vec{A}$. The four-vector (6.4.2) has to be invariant under the combined $S L(2, \mathbb{Z})$ action on the cycles and of the duality group. In the basis specified above, this is $\rho \otimes \rho$, and since Wilson lines are identified up to large gauge transformations, the equation to solve to find the space of Wilson lines is

$$
\begin{equation*}
[(\rho \otimes \rho)-\mathbf{I}] \cdot \vec{A} \in \mathbb{Z}^{4} . \tag{6.4.5}
\end{equation*}
$$

The matrix $[(\rho \otimes \rho)-\mathbf{I}]$ has two zero eigenvalues, corresponding to the scalars of the Wilson lines in the vector multiplet described above. The question of discrete theta angles coming from Wilson lines is whether the space of solutions to (6.4.5) is connected or not. But it can be checked that the most general solution to the equation above is to take $\vec{A}$ to be a vector of integer coordinates, plus an element of the kernel. Since large gauge transformations shift $\vec{A}$ by an integer, it follows that the space is connected, and we get no discrete theta angles.

Irrespectively of the value of the discrete $\mathbb{Z}_{3}$ theta angle described above, the lattice of charged states under the vectors in the gravity multiplet and the single vector multiplet does not contain a full lattice of BPS states. To see this, consider the sublattice of the charge lattice spanned by states charged under the two surviving components of $\vec{A}$. These correspond to $(p, q)$ strings wrapped on the cycles of the $T^{2}$ fiber. Denoting the four charges by the vector $\vec{q}=\left(q_{1}, q_{2}, q_{3}, q_{4}\right)$, so that the coupling to the vector is $\vec{q} \cdot \vec{A}$, the charges under the components invariant under the action of duality and geometry are

$$
\begin{equation*}
\left(q_{1}+q_{2}+q_{4},-q_{2}+q_{3}\right) . \tag{6.4.6}
\end{equation*}
$$

These are simply the inner product of $\vec{q}$ by the generators of the kernel of $\rho \otimes \rho$. We can see that, in this normalization, these states span a charge lattice of $\mathbb{Z}^{2}$. Yet BPS states correspond to vectors $\vec{q}$ which are invariant under the action of the fibration on the charges, which is given by the matrix $\left([\rho \otimes \rho]^{-1}\right)^{T}$. Using (6.4.6), the two BPS states generate the sublattice spanned by

$$
\begin{equation*}
(1,1) \text { and }(-1,2) \text {, } \tag{6.4.7}
\end{equation*}
$$

which has index 3.
Since the 2-form in the gravity multiplet descends from a period of $C_{4}$ on the $T^{2}$ fiber,
the charged object is a $D 3$ brane wrapping the $T^{2}$. When the discrete theta angle coming from $\vec{\phi}$ vanishes, the $D 3$ wrapping $T^{2}$ is BPS, and we get a full lattice of BPS strings. However, things are more interesting when the theta angle is non-vanishing. It turns out that a $D 3$ brane wrapped on a 2-cycle with nonvanishing periods $\int C_{2}, \int B_{2}$ has induced $(p, q)$-string charge. That this has to be the case can be easily deduced from T-duality: A $D 3$ wrapped on $T^{2}$ an nonzero $\int C_{2}$ is T-dual to a $D 1$ with non-zero value of $C_{0}$, which acquires fundamental string charge as described in Section 6.2. By S-duality, we also learn that $\int B_{2}$ induces fundamental string charge. This picture can be made more quantitative by studying the mixed 't Hooft anomalies of the worldvolume theory of the D3 [144. The worldvolume theory of a $D 3$ is $U(1) \mathcal{N}=4 \mathrm{SYM}$, which has both electric and magnetic 1-form symmetries 145. The bulk field $B_{2}$ acts as the background connection for the electric 1-form symmetry, and $C_{2}$ plays the role of the magnetic 1-form symmetry, as can be read from the two-derivative expansion of the DBI +CS action of the brane 82):

$$
\begin{equation*}
S_{\mathrm{DBI}+\mathrm{CS}} \supset \int_{D 3}\left|F-B_{2}\right|^{2}+\int F \wedge C_{2} . \tag{6.4.8}
\end{equation*}
$$

These two 1-form worldvolume global symmetries have a mixed 't Hooft anomaly, described by the 5 d auxiliary anomaly theory (see e.g. 144)

$$
\begin{equation*}
\int_{M_{5}} B_{2} \wedge d C_{2} \tag{6.4.9}
\end{equation*}
$$

What this means is that the phase of the partition function of the worldvolume theory of a $D 3$ is not invariant under, say, $C_{2}$ gauge transformations if nontrivial $B_{2}$ is turned on, and vice-versa. Let us consider the case of interest, where the $D 3$ is wrapped on a $T^{2}$ with nonzero $\int B_{2}$. Taking $M_{5}=T^{2} \times M_{3}$ and reducing 6.4.9) on $T^{2}$, we obtain the anomaly theory of the string of wordlvolume $\Sigma=\partial M_{3}$

$$
\begin{equation*}
\left(\int_{T^{2}} B_{2}\right) \int_{M_{3}} d C_{2}=\phi_{1} \int_{M_{3}} d C_{2}=\phi_{1} \int_{\Sigma} C_{2} . \tag{6.4.10}
\end{equation*}
$$

Thus, we recover that $\phi_{1}$ induces $D 1$ brane charge. Either explicit computation or $S L(2, \mathbb{Z})$ covariance gives the induced $(p, q)$ string charge induced by nonzero $\vec{\phi}$,

$$
\begin{equation*}
Q_{D 1}=\phi_{1}, \quad Q_{F 1}=-\phi_{2} . \tag{6.4.11}
\end{equation*}
$$

Therefore, turning on the discrete $\mathbb{Z}_{3}$ theta angle described above means that the string of charge 1 charged under the 2 -form in the gravity multiplet, obtained from a single $D 3$ wrapping the $T^{2}$, is charged under the 2-form fields $C_{2}, B_{2}$, whose zero modes are
projected out by the $S L(2, \mathbb{Z})$ action in the fiber. What this means is that the $D 3$-brane sources discrete fields, and cannot be BPS. Again, we find that turning on the discrete theta angle affects BPS completeness of the lattice of strings in a theory with sixteen supercharges. When one considers a state with a $D 3$-brane charge multiple of 3 , the induced $(p, q)$ string charge is integer, and may be canceled by adding a $(p, q)$-string on top of the $D 3$ brane; the system will then relax to a BPS configuration with no induced ( $p, q$ )-string charge.

- $\rho=\left(\begin{array}{cc}0 & -1 \\ 1 & 0\end{array}\right)$ : The analysis here is very similar to that of the previous point, so we will be brief. This is too a theory of rank 1 , since $\tau$ is fixed to $i$, and $\vec{\phi}$ can be set to the nonzero value

$$
\begin{equation*}
\vec{\phi}=\left(\frac{1}{2}, \frac{1}{2}\right) . \tag{6.4.12}
\end{equation*}
$$

There is, therefore, a discrete $\mathbb{Z}_{2}$ angle in this case; just as in the case above, this lives in a new component of moduli space in seven dimensions. Again, this is in agreement with the results of Chapter 5. Turning on the discrete theta angle means that the string of elementary charge under the 2-form of the gravity multiplet is not BPS; this time, the sublattice of BPS strings has index two.
An analysis of the Wilson lines shows there are no discrete theta angles associated to them either. The sublattice of BPS states is generated by the sublattice spanned by $(2,0)$ and $(0,2)$ in $\mathbb{Z}^{2}$, and therefore has index 4 (but its coarseness, as defined in [111], is only 2 ).

- $\rho=\left(\begin{array}{cc}1 & -1 \\ 1 & 0\end{array}\right)$ : In this case, there are no discrete theta angles coming either from $\vec{\phi}$ or $\vec{A}$. We just have a single component of moduli space, there is BPS string completeness, and the lattice of BPS charged particles is of index 3 .
- $\rho=\left(\begin{array}{cc}-1 & 0 \\ 0 & -1\end{array}\right)$ : We save the most complex case for last. This element of $S L(2, \mathbb{Z})$ acts trivially on the upper half plane, and so it corresponds to the case where the axio-dilaton and complex structure of $T^{2}$ are not projected out. The action on $\vec{A}$ is trivial, since

$$
\left(\begin{array}{cc}
-1 & 0  \tag{6.4.13}\\
0 & -1
\end{array}\right) \otimes\left(\begin{array}{cc}
-1 & 0 \\
0 & -1
\end{array}\right)=\left(\begin{array}{cccc}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{array}\right)
$$

where the first tensor factor represents the geometric action on the cycles of the torus and the second one refers to the duality bundle. The resulting theory is of rank 3, as opposed to all previous examples which are of rank one, and it lives on a different component of moduli space of the usual $\mathrm{O6}^{+} / \mathrm{O6}^{-}$orientifold compactification, which is dual to M-
theory on $\mathrm{KB} \times T^{2}[9]$. A priori, this component of the moduli space admits two discrete theta angles, since one can set $\vec{\phi}$ equal to $(0,0),(1 / 2,0),(0,1 / 2)$, or $(1 / 2,1 / 2)$. All non-zero values of these theta angles lead, by the same arguments as above, to a lattice of BPS strings of index 2 .

| Rank | Description | $\theta$ angles | BPS strings sublattice index |
| :---: | :---: | :---: | :---: |
| 3 | M on $\mathrm{KB} \times T^{2}$ | No | 1 |
|  | M on $N_{2}^{3} \times S^{1}$ | No | 2 |
|  |  | No | 1 |
|  | IIB on $O_{2}^{3}$ | $\int B_{2}=1 / 2$ | 2 |
|  |  | $\int C_{2}=1 / 2$ | 2 |
| 1 |  | IIB on $O_{3}^{3}$ | $\int C_{2}=\frac{1}{3}, \int B_{2}=-\frac{1}{3}$ |
|  | IIB on $O_{4}^{3}$ |  | 2 |
|  | IIB on $O_{6}^{3}$ | $\int C_{2}=\frac{1}{2}, \int B_{2}=\frac{1}{2}$ | 1 |
|  | No | 3 |  |
|  |  |  | 1 |
|  |  |  | 2 |
|  |  |  | 1 |

Table 6.1: Table of the low-rank $(r \leq 3)$ components of the moduli space with sixteen supercharges in seven dimensions, their descriptions in terms of smooth supergravity backgrounds, including possible discrete theta angles and the index of the sublattice of BPS strings in each case. The entries shaded in blue represent the new components of moduli space discussed in this chapter. In the case of the rank 3 theories, there may be dualities relating different components of moduli space, so that some of the possibilities may be equivalent.

The list above just describes the models and theta angles which have a description in terms of type IIB on orientable Bieberbach manifolds. To these models, we must add the dimensional reduction of M-theory on KB and the new component we found in nine dimensions, which correspond to circle fibrations with base the trivial Bieberbach manifold $T^{3}$. The results of this Section are summarized in Table 6.1. In the table, we have also included comparison with 7 d theories of sixteen supercharges discussed previously in the literature, most notably in (9). We believe the type IIB constructions in terms of Bieberbach manifolds described above capture a previously unexplored corner of the same components of the moduli space of the rank 1 theories constructed in [69] in terms of F/M theory with frozen singularities; the two descriptions are likely related by $T$-duality, which can introduce singularities (as in the AOB background being dual to an $O 7^{+} / O 7^{-}$compactification). Furthermore, the charge lattices of both theories match. It would be interesting to check this conjecture further.

The situation is particularly interesting for the theories of rank 3. In [9], these theories were described via $O 6^{+} / O 6^{-}$compactifications; it was noted in there that there is more than one possible inequivalent arrangement of the $\mathrm{O6}^{+} / \mathrm{O6}^{-}$planes after diffeomorphisms
are taken into account, suggesting the presence of at least two components of moduli space at rank 3. Reference [9] then speculated that these components might be equivalent at the non-perturbative level, if the corresponding embeddings of their charge lattice into the K3 charge lattice turned out to be equivalent. The results we have obtained here suggest instead that these two components of moduli space are inequivalent. In fact, we find what looks like five distinct components of moduli space of rank 3: two of them descend from the eight dimensional components obtained from $K B \times S^{1}$ and $N_{2}^{3}$, and the last three come from the last entry in the table above with different nonzero choices of discrete theta angles (with theta angle turned off, we believe this is dual to the component on $K B \times T^{2}$ ). It would be an interesting question to elucidate the structure of this component of moduli space, and whether some of these theories are dual to each other, or not, but we will not try to solve this here.

In the process of working out the supergravity description of these theories, we uncovered two new discrete theta angles, producing two new components of moduli space, as noted in the Table. This exactly matches the predictions of Chapter 5 , based on the fact that there are two inequivalent ways to freeze the corresponding singularities in $K 3$. An outstanding question is the description of these theta angles in the M/F theory picture; the inequivalent lattice embeddings seems to suggest the tantalizing possibility that in F-theory there is also the notion of "freezing a section" of the elliptic fibration, and not just a singularity. Formalizing our rudimentary understanding of this phenomenon, and its extension to lower supersymmetry, is a very interesting question we hope to come to in the future.

All in all, we recover the theories described in the last five entries of Table 1 of $[9]$, together with the F-theory description of our new component in moduli space, but no new theories. There is one more orientable Bieberbach manifold, of holonomy $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$, that does not preserve any supersymmetry. The Bieberbach descriptions exhibit these theories as completely smooth type IIB compactifications, and we can now look for discrete theta angles as in the rest of this chapter. $C_{0}$ is already accounted for by our previous discussion, so the only possible holonomies are that of the ( $B_{2}, C_{2}$ ) fields.

Similarly to the nine dimensional theories discussed in Section 6.2 there should be domain walls for the discrete theta angles in the three seven dimensional theories just described. It would be interesting to construct them explicitly and perhaps extract some relevant information about their corresponding six dimensional theories.

### 6.5 Discrete theta angles in non-supersymmetric string theories

The main theme of this chapter is to establish that discrete theta angles, far from being exotic, are a very common feature of string compactifications, and can lead to different physics even for
highly non-supersymmetric theories. We will now study the possibility of discrete theta angles in the existing ten-dimensional non-supersymmetric string theories in ten dimensions, to see if we can construct any new examples. We only know three examples of non-supersymmetric string theories in ten dimensions, so enlarging this landscape could be significant.

We will first discuss discrete theta angles in the non-supersymmetric Sugimoto string [146]. The Sugimoto theory is a nonsupersymmetric variant of the construction of type I string theory. The latter is constructed as an orientifold of IIB in ten dimensions, with an $O 9^{-}$plane and $32 D 9$ branes to cancel the tadpole. The Sugimoto string is constructed by instead replacing the $O 9^{-}$by an $O 9^{+}$. This has the opposite RR charge than the $O 9^{-}$, and to cancel the tadpole, one must introduce 32 anti-D9 branes. This makes the resulting background nonsupersymmetric, but still amenable to a worldsheet description. Unlike type I, the Sugimoto string does not admit a discrete RR theta angle. One way to see this is that Sugimoto has symplectic gauge groups, and

$$
\begin{equation*}
\pi_{9}(\mathrm{Sp}(16))=0 \tag{6.5.1}
\end{equation*}
$$

Relatedly, the tachyon in the $D(-1)-\overline{D(-1)}$ IIB system, which is projected out by the ordinary type I projection, remains in the Sugimoto model [76]. There is no stable charged $\mathbb{Z}_{2}$ instanton.

We now pass to the $S O(16) \times S O(16)$ heterotic string [147]. Since

$$
\begin{equation*}
\pi_{9}(S O(16) \times S O(16))=\mathbb{Z}_{2} \oplus \mathbb{Z}_{2} \tag{6.5.2}
\end{equation*}
$$

there is room for two different discrete theta angles. At the massless level, the theory contains fields transforming in one of the spinorial representations of each $S O(16)$ factor, and this strongly breaks any would-be $O(16) \times O(16)$ symmetry [147], since these transformations would reverse the chirality of the spinors but not the vectors, spoiling local anomaly cancellation. One then could conclude that these theta angles seem quite physical, producing a total of three new non-supersymmetric "cousins" of the $S O(16) \times S O(16)$ string. It would be very interesting to explore whether these angles are really there and if so, what are their physical effects.

Lastly, there is a third non-supersymmetric model, with gauge group $U(32)$ [148], obtained an orientifold of the non-supersymmetric 0B string [149]. The model admits a continuous theta angle, which couples to one of the two RR axions of type 0B, but no obvious discrete theta angles.

## Chapter 7

## Rank reduction map in six dimensions

In Chapter 4 we saw how lattice embeddings can be used to reobtain the mappings relating the various gauge symmetries in M-Theory with possible partially frozen singularities. This chapter extends this picture to the case of six dimensions (still with 16 supercharges), where no analogous frozen singularity picture is known to exist. We show that this map coincides exactly with that which related the various connected components of $G$-flat connections on the torus for non-simply-connected groups $G$.

### 7.1 Mapping gauge groups from Narain to CHL

In this section we explain the general method for determining the map which connects the Narain component with the CHL component and explicitly derive it for $d=1,2,3,4$. The case $d=2$ was first obtained in [57] and the case $d=3$ in [3] (see Chapter 4). Extensions to other rank reduced components are considered in Section 7.2.

### 7.1.1 Setup and basic facts

In order to determine the map which applies to the gauge groups of the Narain component of the moduli space to give those of the rank reduced components we have to relate the way in which these are obtained in each case from the corresponding momentum lattices. We will illustrate this procedure using the CHL string, and so the focus is on the Narain lattice $\Gamma_{N}$ and the Mikhailov lattice $\Gamma_{M}$, which can be written as

$$
\begin{align*}
& \Gamma_{N} \simeq \Gamma_{d, d} \oplus \mathrm{E}_{8} \oplus \mathrm{E}_{8},  \tag{7.1.1}\\
& \Gamma_{M} \simeq \Gamma_{d-1, d-1}(2) \oplus \Gamma_{1,1} \oplus \mathrm{E}_{8} .
\end{align*}
$$

Here $\Gamma_{d, d} \simeq \bigoplus_{i=1}^{d} \Gamma_{1,1}$ is the unique even self-dual lattice with signature $\left(+^{d},-^{d}\right)$, where $\Gamma_{1,1}$ is the hyperbolic lattice with Gram matrix $\left(\begin{array}{cc}0 & 1 \\ 1 & 0\end{array}\right)$. The symbol (2) denotes a rescaling of the lattice by $\sqrt{2}$, hence a rescaling of the Gram matrix by 2 . The lattice $\mathrm{E}_{8}$ is just the lattice generated by the roots of the algebra $\mathfrak{e}_{8}$, but for the latter, as well as for the groups, we will use the symbol $\mathrm{E}_{8}$ when there is no risk of ambiguity. The same applies for any other root lattice of A-to-G type. We convene in taking the momentum lattices to have signature with mostly pluses, unless stated otherwise.

For the Narain component of the moduli space one obtains all the possible gauge algebras by finding embeddings of root lattices $L$ into $\Gamma_{N}$ such that the intersection of $L \otimes \mathbb{R}$ with $\Gamma_{N}$ is an overlattice $M \hookleftarrow L$ whose maximal root sublattice is $L$ itself. Here we mean by overlattice any lattice of the same rank containing the lattice in question. Intersections of real slices such as $L \otimes \mathbb{R}$ with $\Gamma_{N}$ give lattices which are said to be primitively embedded, in this case in $\Gamma_{N}$, hence the embedding $M \hookrightarrow \Gamma_{N}$ is primitive but $L \hookrightarrow \Gamma_{N}$ is not unless $M=L$. By roots we mean vectors $v \in \Gamma_{N}$ with norm $v \cdot v=2$, since these are the ones associated to root states in the adjoint representation of the gauge algebra.

This discussion extends to the CHL component of the moduli space, with the only difference being that roots are not only vectors with norm 2 but also vectors $v$ with norm 4 satisfying the condition $v \cdot u=0 \bmod 2$ for all vectors $u \in \Gamma_{M}[55]$. This last condition is equivalent to the statement that the coroot $v^{\vee}=\frac{1}{2} v$ is in the dual lattice $\Gamma_{M}^{*}$, which is the language used in (57. Note that $v^{\vee} \cdot v^{\vee}=1$, hence this condition cannot be satisfied by any vector in the Narain lattice which is even and self-dual. The same applies to $\Gamma_{M}$ when $d=1$. For $d \geq 2$, however, $\Gamma_{M}$ is not self dual and $\Gamma_{M}^{*}$ indeed contains vectors with norm 1 . The appearance of non-simply-laced algebras seems therefore to be intimately connected with the non-self-duality of the momentum lattice for the moduli space component in question.

These facts allow to obtain the possible gauge algebras $\mathfrak{g}$ in these moduli space components, but we are also interested in the full gauge groups $G$. For this we need to compute the fundamental group $\pi_{1}(G)$, which we denote by $H$. If $\tilde{G}$ is the universal cover of $G$, then $G=\tilde{G} / H$. In the Narain component it suffices to compute the lattice quotient $M / L$, which gives a finite Abelian group isomorphic to $H$ due to the self-duality of $\Gamma_{N}$. For example, if $M=L$, then $G$ is simply-connected. For the CHL component one must do a more precise analysis [57], but the upshot is that $H$ is given by the quotient $M^{\vee} / L^{\vee}$, where $L^{\vee}$ is the coroot lattice of $\mathfrak{g}$ embedded in the dual lattice $\Gamma_{M}^{*}$, and $M^{\vee}$ its overlattice which embeds primitively into $\Gamma_{M}^{*}$. Clearly, this is a generalization of the computation for $\Gamma_{N}$. In both cases $H$ is a subgroup of the center $Z(G)$, specified by a set of elements $k_{i} \in Z(G)$.

### 7.1.2 Construction of the map in $d=1,2,3$

To relate the Narain and the CHL constructions just outlined we require some additional facts. For $d=1,2,3,4, \Gamma_{M}$ can be written respectively as [55]

$$
\Gamma_{M} \simeq \Gamma_{d, d} \oplus \Lambda, \quad \Lambda=\left\{\begin{array}{ll}
\mathrm{E}_{8} & d=1  \tag{7.1.2}\\
\mathrm{D}_{8} & d=2 \\
\mathrm{D}_{4} \oplus \mathrm{D}_{4} & d=3 \\
\mathrm{D}_{8}^{*}(2) & d=4
\end{array},\right.
$$

to which we restrict our attention in the following. In each case there is an embedding

$$
\begin{equation*}
\Gamma_{M} \oplus \Lambda \hookrightarrow \Gamma_{N} \tag{7.1.3}
\end{equation*}
$$

where $\Gamma_{M} \hookrightarrow \Gamma_{N}$ and $\Lambda \hookrightarrow \Gamma_{N}$ are primitive. Furthermore, the primitive embedding of $\Lambda$ into $\Gamma_{N}$ is unique (up to automorphisms of $\Gamma_{N}$ ), so that by constructing any such embedding one may take its orthogonal complement which by necessity is just $\Gamma_{M}$. As we will review, $\Lambda$ can be interpreted as the K3 frozen singularitiy (or singularities) in the dual geometric frame both for 8 d and 7 d , and so we will refer to it as the frozen sublattice in the heterotic string context. We also use the terms mapping (from Narain to reduced rank) and freezing interchangeably.

Consider now a lattic $\int^{1} M^{\prime}$ primitively embedded into $\Gamma_{M}$, with root sublattice $L^{\prime}$. It follows from (7.1.3) that there is an embedding

$$
\begin{equation*}
M^{\prime} \oplus \Lambda \hookrightarrow \Gamma_{N} \tag{7.1.4}
\end{equation*}
$$

with $M^{\prime}$ (but not necessarily $M^{\prime} \oplus \Lambda$ ) primitively embedded into $\Gamma_{N}$. The intersection $\left(M^{\prime} \oplus\right.$ $\Lambda) \otimes \mathbb{R} \cap \Gamma_{N}$ gives a lattice $M$ primitively embedded into $\Gamma_{N}$, with root sublattice $L$. This gives a priori a map $\varphi$ from a gauge algebra $\mathfrak{g}_{\text {CHL }}$ in CHL moduli space to another $\mathfrak{g}_{\text {Narain }}$ in Narain moduli space, but since we are dealing with the full embedding data for each lattice, we can also obtain the fundamental group of the gauge group and promote this map to one at the level of groups,

$$
\begin{equation*}
\varphi: \quad G_{\mathrm{CHL}} \mapsto G_{\text {Narain }} \tag{7.1.5}
\end{equation*}
$$

Consider conversely a lattice $M$ primitively embedded into $\Gamma_{N}$, with root sublattice $L$, such that $\Lambda$ is in turn primitively embedded into $M$ (note that primitivity in this case is guaranteed by the fact that $\Lambda \hookrightarrow \Gamma_{N}$ is primitive). It follows that $M$ has a sublattice of the form $M^{\prime} \oplus \Lambda$, where both $M^{\prime}$ and $\Lambda$ are primitively embedded into $M$. Since the orthogonal

[^15]complement of $\Lambda$ in $\Gamma_{N}$ is just $\Gamma_{M}$, it follows that $M^{\prime}$ is primitively embedded into $\Gamma_{M}$, and defines a gauge group $G_{\text {ChL }}$. This gives a map
\[

$$
\begin{equation*}
\varphi^{-1}: \quad G_{\text {Narain }} \mapsto G_{\mathrm{CHL}} \tag{7.1.6}
\end{equation*}
$$

\]

We note however that the embedding $\Lambda \hookrightarrow M$ is not necessarily unique so that this map is generically one-to-many. As we will see, the form of this map has markedly different qualitative features depending on the value of $d$. In the following we study explicitly the cases $d=1,2,3,4$.
$d=1$
For $d=1$, we have that $\Gamma_{M} \simeq \Gamma_{1,1} \oplus \mathrm{E}_{8}$ and $\Lambda=\mathrm{E}_{8}$ are even self-dual. Therefore, eq. (7.1.3) can be replaced by a stronger statement (cf. eq. (7.1.1)),

$$
\begin{equation*}
\Gamma_{N} \simeq \Gamma_{M} \oplus \mathrm{E}_{8}, \quad d=1 \tag{7.1.7}
\end{equation*}
$$

In this case, the lattice $M^{\prime}$ that we consider is a root lattice $L^{\prime}$, since in nine dimensions all gauge groups are simply-connected. Therefore we have an embedding $L^{\prime} \oplus \mathrm{E}_{8} \hookrightarrow \Gamma_{N}$. This embedding is primitive, since $L^{\prime} \hookrightarrow \Gamma_{M}$ is primitive and $\mathrm{E}_{8}$ is unimodular, so there does not exist an even overlattice of $L^{\prime} \oplus \mathrm{E}_{8}$ in $\Gamma_{N}$. Moreover, $L^{\prime} \oplus \mathrm{E}_{8}$ is a root lattice corresponding to a simply-connected gauge group in Narain moduli space. We see therefore that to every gauge group $G_{\text {CHL }}$ in the CHL component we can associate another group $G_{\text {Narain }}$ in the Narain component by some map

$$
\begin{equation*}
\varphi: \quad G_{\mathrm{CHL}} \mapsto G_{\mathrm{Narain}}=G_{\mathrm{CHL}} \times \mathrm{E}_{8}, \quad d=1 \tag{7.1.8}
\end{equation*}
$$

Conversely, consider some root lattice of the form $L^{\prime} \oplus \mathrm{E}_{8}$ in $\Gamma_{N}$. Similarly to the CHL component, all of the associated groups are simply-connected. Since the primitive embedding of $\mathrm{E}_{8}$ into $\Gamma_{N}$ is unique, it follows that $L^{\prime}$ is primitively embedded into $\mathrm{E}_{8}^{\perp} \simeq \Gamma_{M}$. This means that any gauge group of the form $G \times \mathrm{E}_{8}$ in the Narain component necessarily has $G=G_{\mathrm{CHL}}$ some group in the CHL component. At the end of the day, the result is that by taking all gauge groups in the Narain component which contain an $\mathrm{E}_{8}$ factor and deleting it one obtains all of the gauge groups in the CHL component. If there are two $\mathrm{E}_{8}$ factors, they are equivalent by an automorphism of $\Gamma_{N}$, so that there is no ambiguity in deleting one or the other.

This same result can be obtained in a more concrete way by considering the GDDs for the lattices $\Gamma_{N}$ and $\Gamma_{M}$, shown in Figure 7.1. Gauge algebras in the Narain moduli space can be obtained by deleting two or more nodes of the diagram such that the result is the Dynkin diagram for an ADE root lattice. The same applies to the CHL component, but the minimum


Figure 7.1: Generalized Dynkin diagrams for the Narain lattice $\Gamma_{N} \simeq \Gamma_{1,17}$ and the Mikhailov lattice $\Gamma_{M} \simeq \Gamma_{9,1}$ in nine dimension.
number of nodes one can delete is one. As we can see, deleting the node $0^{\prime}$ in the GDD for $\Gamma_{N}$ gives the GDD for $\Gamma_{M}$ accompanied by an $\mathrm{E}_{8}$ Dynkin diagram, from which it follows that the gauge algebras that can be obtained in each moduli space component are related as deduced above. As commented, all of the relevant groups are simply-connected.
$d=2$

The map from the Narain to the CHL components of the moduli space for $d=2$ was obtained at the level of the full gauge groups in [57] using more group-theoretical language, and proven explicitly by projecting the cocharacter lattice, which determines the topology, from $\Gamma_{N}$ to $\Gamma_{M}$. Here we briefly explain how it can be obtained in the framework of this thesis.

In eight dimensions we have $\Gamma_{M} \simeq \Gamma_{2,2} \oplus \mathrm{D}_{8}$ and $\Lambda=\mathrm{D}_{8}$. We will consider a lattice $M$ primitively embedded into $\Gamma_{N}$, which is the overlattice of a root lattice $L$, containing in turn a primitively embedded $\mathrm{D}_{8}$ lattice. This condition restricts $L$ to be of the form

$$
\begin{equation*}
L \simeq \mathrm{D}_{8+n} \oplus N, \tag{7.1.9}
\end{equation*}
$$

where $n$ is some non-negative integer and $N$ is some other ADE lattice. The orthogonal complement of $\mathrm{D}_{8}$ in $L$ is of the form $\mathrm{D}_{n} \oplus N$, and has an overlattice $M^{\prime}$ primitively embedded into $\Gamma_{M}$.

The question is if $\mathrm{D}_{n} \oplus N$ is the maximal root sublattice of $M^{\prime}$, according to the definition of roots in the CHL moduli space. This can indeed be verified for all points of symmetry enhancement. The subtlety here is that as lattices, $\mathrm{D}_{n}$ and $\mathrm{C}_{n}$ are equivalent. The actual contribution to the gauge algebra depends on which vectors correspond to massless states, and we find that in this case it is actually $\mathfrak{s p}_{n}$ and not $\mathfrak{s o}_{2 n}$. We therefore write $L=\mathrm{C}_{n} \oplus N$. We have then a simple rule for mapping gauge algebras from the Narain component to the CHL component of the moduli space. Just take any gauge algebra with a $\mathrm{D}_{8+n}$ factor and replace it with $\mathrm{C}_{n}$. Since it is possible to have gauge algebras with terms $\mathrm{D}_{8+n} \oplus \mathrm{D}_{8+m}$, with $m \neq n$, this map is generically one-to-many.

To promote this map to one at the level of groups we compute the fundamental group of the gauge group associated to the embeddings $L \hookrightarrow \Gamma_{N}$ and $L \hookrightarrow \Gamma_{M}$ using the lattice
methods outlined above, and then see how they are related. Each fundamental group is specified by a set of elements $\left\{k_{i}\right\}$ of the center of the universal cover, $Z(\tilde{G})$, each one of the form $k_{i}=\left(k_{i}^{1}, \ldots, k_{i}^{s}\right)$, where $k_{i}^{j}$ denotes the contribution of each of the $s$ simple factors in $\tilde{G}$ to $k_{i}$. For $\mathrm{D}_{2 n}$ factors we write the corresponding contribution as a pair $(p, q) \in \mathbb{Z}_{2} \times \mathbb{Z}_{2}$. In this case we can separate each $k_{i}$ into the contribution of the $\mathrm{D}_{8+n}$ factor to be replaced and that of the remaining factor given by the lattice $N$. Let us write $k_{i}=\left(k_{i}{ }^{N}, k_{i}{ }^{S}\right)$ for the gauge group in the Narain component and $k_{i}^{\prime}=\left(k_{i}^{\prime N}, k_{i}^{\prime S}\right)$ for the associated one in the CHL component. We find that for $n$ even,

$$
\begin{equation*}
k_{i}^{S}=(p, q) \rightarrow k_{i}^{\prime S}=p+q \quad \bmod 2, \tag{7.1.10}
\end{equation*}
$$

while for $n$ odd

$$
\begin{equation*}
k_{i}^{S}=p \rightarrow k_{i}^{\prime S}=p \quad \bmod 2, \tag{7.1.11}
\end{equation*}
$$

while $k_{i}^{\prime N}=k_{i}{ }^{N}$ in both cases. If $n=0$, one just deletes $k_{i}{ }^{S}$.
As an example, consider the gauge group $\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}} \times \operatorname{SU}(2)^{2}$, whose fundamental group is generated by only one element $k=((1,0), 0,0)$. Using the rules above, the associated gauge group in the CHL component is $\frac{\operatorname{Sp}(8)}{\mathbb{Z}_{2}} \times \mathrm{SU}(2)^{2}$ with $k=(1,0,0)$. If we had the gauge group $\mathrm{SO}(32) \times \mathrm{SU}(2)^{2}$ with $k=((1,1), 0,0)$, it would map to the simply-connected $\mathrm{Sp}(8) \times \mathrm{SU}(2)^{2}$. However, $\mathrm{SO}(2 n)$ factors are not present in the theory so that this last example does not arise. It's interesting to note that $\mathrm{SO}(2 n)$ would map to the same gauge group as $\operatorname{Spin}(2 n)$, making the mapping generically many-to-many and not one-to-many.

Note also that the fundamental group of any two groups connected by this mapping are isomorphic. This is in accordance with the fact that the topology of the gauge groups in the dual frame of F-theory on elliptically fibered K3 is given by the torsional part of the MordellWeil group 66, 67] which can be obtained from the Weierstrass model for the fibration (see e.g. 150 ), as the mechanism of singularity freezing does not alter the Weierstrass model itself 64.
$d=3$
Let us now review the generalization of the above results to $d=3$ (cf. Chapter 4). In seven dimensions we have $\Lambda=\mathrm{D}_{4} \oplus \mathrm{D}_{4}$. Each $\mathrm{D}_{4}$ factor can be contained in algebras of $\mathrm{D}_{4+n}$ type, in which case the analysis for $d=2$ goes through, including the way in which the contribution of these factors to the fundamental group transform. The difference now is that we have two such factors transforming simultaneously, e.g. $\mathrm{D}_{n+4} \oplus \mathrm{D}_{m+4} \rightarrow \mathrm{C}_{n} \oplus \mathrm{C}_{m}$. This is not the only possibility, however.

It is also possible for $\mathrm{D}_{4}$ to be primitively embedded into $\mathrm{E}_{6}, \mathrm{E}_{7}$ and $\mathrm{E}_{8}$. Taking the orthogonal complement of $\mathrm{D}_{4}$ in each case we obtain the lattices $\mathrm{A}_{2}(2), 3 \mathrm{~A}_{1} \simeq \mathrm{~B}_{3}$ and $\mathrm{D}_{4} \simeq$
$\mathrm{F}_{4}$, respectively. Similarly to the previous case, we can look at the points of symmetry enhancement in the CHL component and determine that the contributions to the algebra are respectively $\mathfrak{s u}_{3}, \mathfrak{s o}_{7}$ and $\mathfrak{f}_{4}$, hence the use of these lattice isomorphisms. With respect to the gauge group's topology, we have that $Z(\mathrm{SU}(3)) \simeq Z\left(\mathrm{E}_{6}\right) \simeq \mathbb{Z}_{3}, Z(\operatorname{Spin}(7)) \simeq Z\left(\mathrm{E}_{7}\right) \simeq \mathbb{Z}_{2}$ and $Z\left(\mathrm{~F}_{4}\right) \simeq Z\left(\mathrm{E}_{8}\right) \simeq\{0\}$, and that the contributions of these factors to the $\left\{k_{i}\right\}$ remain invariant. This means that as for $d=2$, the fundamental group of two gauge groups related by the mapping are isomorphic. As in the previous case, this coincides at the algebra level with results on the dual geometrical frame's mechanism of singularity freezing [9,63, 151], in this case M-theory on K3 with two $\mathrm{D}_{4}$ frozen singularities. We are not aware of how the fundamental group of the gauge group is encoded in the M-theory compactification, but it should in any case be invariant under singularity freezing.

### 7.1.3 Algebra projection

In the previous constructions we have seen that the root system of the CHL gauge algebra corresponds to a subset of the orthogonal complement lattice of $\Lambda$ in the root lattice $L^{\prime}$. This algebra is determined precisely by checking each case algorithmically and the result is seen to correspond to a simple general rule. Now we give a procedure whose result predicts this algebra directly, mapping the simple roots of $\mathfrak{g}_{\text {Narain }}$ to those of $\mathfrak{g}_{\mathrm{CHL}}$. This procedure gives the correct results for $d=1,2,3,4$. We will illustrate it case by case starting with $d=2$, which exhibits the non-trivial features that generalize to larger $d$.
$d=2$

We start by considering a primitive embedding of $\Lambda=\mathrm{D}_{8}$ into $\Gamma_{N} \simeq \Gamma_{2,2} \oplus \Gamma_{16}$, where $\Gamma_{16}$ is the weight lattice of $\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}}$. This description makes calculations easier because $D_{8}$ embeds primitively into $\Gamma_{16}$ but not into $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$. A particularly simple embedding is

$$
\begin{align*}
& \alpha_{i}=\left|0,0,0,0 ; 0^{i-1}, 1,-1,0^{15-i}\right\rangle, i=1, \ldots, 7,  \tag{7.1.12}\\
& \alpha_{8}=\left|0,0,0,0 ;-1,-1,0^{14}\right\rangle,
\end{align*}
$$

where the first four entries correspond to the $\Gamma_{2,2}$ part and the other 16 to $\Gamma_{16}$. Suppose the associated gauge algebra is enhanced to $\mathrm{D}_{8+n}$ by adding $n$ simple $\beta_{1}, \ldots, \beta_{n}$ roots forming an $\mathrm{A}_{n}$ chain, with $\beta_{1} \cdot \alpha_{7}=-1$. For example, take

$$
\begin{equation*}
\beta_{i}=\left|0,0,0,0 ; 0^{i+6}, 1,-1,0^{8-i}\right\rangle, \quad i=1, \ldots, n \leq 8 . \tag{7.1.13}
\end{equation*}
$$

(a)
(b)


Figure 7.2: (a) Primitive embedding of $\mathrm{D}_{8}$ in $\Gamma_{2,18}$ with simple roots $\alpha_{i}$ extended to $\mathrm{D}_{8+n}$ by $\beta_{j}$ (see eqs. 7.1.12) and 7.1.13). (b) Projection of the roots $\beta_{j}$ to the orthogonal complement of $\mathrm{D}_{8}$ gives a $\mathrm{C}_{n}$ lattice and associated $\mathfrak{s p}_{n}$ algebra in the CHL component.

We will take the projection of the roots $\beta_{i}$ along the space orthogonal to $D_{8}$. The roots $\beta_{2}, \ldots, \beta_{8}$ are obviously invariant under this projection, but $\beta_{1}$ gets projected as

$$
\begin{equation*}
\beta_{1} \rightarrow\left|0,0,0,0 ; 0^{8},-1,0^{7}\right\rangle \tag{7.1.14}
\end{equation*}
$$

However, this projection is not in $\Gamma_{N}$, and so we multiply it by 2 to get a simple root $\beta_{1}^{\prime}=$ $\left|0,0,0,0 ; 0^{8},-2,0^{7}\right\rangle$. We see then that the simple roots of the $\mathrm{A}_{n}$ chain get projected into the simple roots of $\mathrm{C}_{n}$. This construction is represented in Figure 7.2, and applies to any other primitive embedding of $\mathrm{D}_{8}$ since it is unique up to automorphisms of $\Gamma_{N}$.
$d=3$

For $d=3$ we have $\Lambda=\mathrm{D}_{4} \oplus \mathrm{D}_{4}$, which has an easily describable primitive embedding into $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$, so we use the basis $\Gamma_{N} \simeq \Gamma_{3,3} \oplus \mathrm{E}_{8} \oplus \mathrm{E}_{8}$. This embedding reads

$$
\begin{array}{ll}
\alpha_{1}=\left|0,0,0,0 ; 1,-1,0^{6} ; 0^{8}\right\rangle, & \alpha_{2}=\left|0,0,0,0 ; 0,1,-1,0^{5} ; 0^{8}\right\rangle, \\
\alpha_{3}=\left|0,0,0,0 ; 0^{2}, 1,-1,0^{4} ; 0^{8}\right\rangle, & \alpha_{4}=\left|0,0,0,0 ;-1,-1,0^{6} ; 0^{8}\right\rangle,  \tag{7.1.15}\\
\alpha_{1}^{\prime}=\left|0,0,0,0 ; 0^{8} ; 1,-1,0^{6}\right\rangle, & \alpha_{2}^{\prime}=\left|0,0,0,0 ; 0^{8} ; 0,1,-1,0^{5}\right\rangle, \\
\alpha_{3}^{\prime}=\left|0,0,0,0 ; 0^{8} ; 0^{2}, 1,-1,0^{4}\right\rangle, & \alpha_{4}^{\prime}=\left|0,0,0,0 ; 0^{8} ;-1,-1,0^{6}\right\rangle .
\end{array}
$$

As in the previous case, we can extend each $\mathrm{D}_{4}$ to $\mathrm{D}_{4+n}$ with an $\mathrm{A}_{n}$ chain, which gets projected to the orthogonal complement of $\Lambda$ as a $\mathrm{C}_{n}$. However, $\mathrm{D}_{4}$ can also be extended to $\mathrm{E}_{8}$ passing through $\mathrm{D}_{5}, \mathrm{E}_{6}$ and $\mathrm{E}_{7}$. This $\mathrm{D}_{5}$ coincides with that of the generic extension $\mathrm{D}_{4+n}$ with $n=1$, and so it gives rise to an $\mathrm{A}_{1}(2)$ lattice with simple root, say,

$$
\begin{equation*}
\beta_{1}^{\prime}=\left|0,0,0,0 ; 0,0,0,0,-2,0^{3} ; 0^{8}\right\rangle \tag{7.1.16}
\end{equation*}
$$

which arises from projecting $\left|0,0,0,0 ; 0,0,0,1,-1,0^{3} ; 0^{8}\right\rangle$. Extending $D_{5}$ to $\mathrm{E}_{6}$ can be done by adding the root $\left|0,0,0,0 ; \frac{1}{2}^{8}, 0^{8}\right\rangle$. Its projection multiplied by 2 is

$$
\begin{equation*}
\beta_{2}^{\prime}=\left|0,0,0,0 ; 0^{4}, 1^{4} ; 0^{8}\right\rangle, \tag{7.1.17}
\end{equation*}
$$

and so we see that $\beta_{1}^{\prime}$ and $\beta_{2}^{\prime}$ give rise to an $\mathrm{A}_{2}(2)$ lattice, as expected. We can further add the roots $\left|0,0,0,0 ; 0^{4}, 1,-1,0,0\right\rangle$ and $\left|0,0,0,0 ; 0^{5}, 1,-1,0\right\rangle$, extending $\mathrm{E}_{6}$ to $\mathrm{E}_{7}$ and then $\mathrm{E}_{8}$. Since these roots are orthogonal to $\Lambda$, they are invariant under the projection and we see that they extend $\mathrm{A}_{2}(2)$ to $\mathrm{B}_{3}$ and then $\mathrm{F}_{4}$ as predicted.
$d=4$
Here we have $\Lambda=D_{8}^{*}(2)$. This lattice has a root sublattice $L_{\Lambda}=8 \mathrm{~A}_{1}$ and can be in fact interpreted as the weight lattice of $\frac{\mathrm{SU}(2)^{8}}{\mathbb{Z}_{2}}$ with $\mathbb{Z}_{2}$ diagonal, i.e. $k=(1, \ldots, 1)$. A suitable primitive embedding of this lattice into $\Gamma_{N} \simeq \Gamma_{4,4} \oplus \mathrm{E}_{8} \oplus \mathrm{E}_{8}$ has simple roots

$$
\begin{array}{ll}
\alpha_{1}=\left|0,0,0,0 ; 1,-1,0^{6} ; 0^{8}\right\rangle, & \alpha_{2}=\left|0,0,0,0 ; 0,0,1,-1,0^{4} ; 0^{8}\right\rangle, \\
\alpha_{3}=\left|0,0,0,0 ; 0^{4}, 1,-1,0^{2} ; 0^{8}\right\rangle, & \alpha_{4}=\left|0,0,0,0 ; 0^{6}, 1,-1 ; 0^{8}\right\rangle,  \tag{7.1.18}\\
\alpha_{5}=\left|0,0,0,0 ; 0^{8} ; 1,-1,0^{6}\right\rangle, & \alpha_{6}=\left|0,0,0,0 ; 0^{8} ; 0,0,1,-1,0^{4}\right\rangle, \\
\alpha_{7}=\left|0,0,0,0 ; 0^{8} ; 0^{4}, 1,-1,0^{2}\right\rangle, & \alpha_{8}=\left|0,0,0,0 ; 0^{8} ; 0^{6}, 1,-1\right\rangle .
\end{array}
$$

The weight vector extending this root lattice to $\Lambda$ is just

$$
\begin{equation*}
w=\frac{1}{2} \sum_{i=1}^{8} \alpha_{i}=|0,0,0,0 ; 1,0,1,0,1,0,1,0 ; 1,0,1,0,1,0,1,0\rangle . \tag{7.1.19}
\end{equation*}
$$

Requiring orthogonality with the roots is enough to get orthogonality with $\Lambda$, so we will not worry about $w$. However we note that there exists also a primitive embedding of $8 \mathrm{~A}_{1}$ into $\Gamma_{N}$, which should not be confused with $\Lambda$.

The first thing to note is that the lattice $\Lambda_{L}=8 A_{1}$ can be naively extended in many different ways but not all of them are allowed extensions of $\Lambda$ itself. For example, no $A_{1}$ factor can be individually extended to $\mathrm{A}_{2}$ with a root orthogonal to the other $\mathrm{A}_{1}$ factors. Any attempt to do this is easily seen to fail. The next logical step is to attach a root to two $\mathrm{A}_{1}$ factors at the same time, e.g. with $\left|0,0,0,0 ; 0,1,-1,0^{5} ; 0^{8}\right\rangle$, in this case giving an $\mathrm{A}_{3}$. This vector gets projected to

$$
\begin{equation*}
\beta=\left|0,0,0,0 ; 1,1,-1,-1,0^{4} ; 0^{8}\right\rangle, \tag{7.1.20}
\end{equation*}
$$

and so we have that $A_{3}$ freezes $\operatorname{tq}^{2} A_{1}(2)$. This is equivalent to $D_{3} \rightarrow C_{1}$, and forms part of

[^16]the more general rule $\mathrm{D}_{2+n} \rightarrow \mathrm{C}_{n}$, or $\mathfrak{s o}_{2 n+4} \rightarrow \mathfrak{s p}_{n}$, in analogy with those we have for $d=2,3$. This is depicted as


The next possibility is to attach $n-1$ roots to $n \mathrm{~A}_{1}$ factors in pairs such that one gets an $\mathrm{A}_{2 n-1}$ chain. The case $\mathrm{A}_{3} \rightarrow \mathrm{~A}_{1}(2)$ above can be generalized e.g. to $\mathrm{A}_{5} \rightarrow \mathrm{~A}_{2}(2)$ with roots

$$
\begin{equation*}
\beta_{1}=\left|0,0,0,0 ; 1,1,-1,-1,0^{4} ; 0^{8}\right\rangle, \quad \beta_{2}=\left|0,0,0,0 ; 0^{2}, 1,1,-1,-1,0^{2} ; 0^{8}\right\rangle \tag{7.1.22}
\end{equation*}
$$

and more generally we find the rule $\mathrm{A}_{2 n-1} \rightarrow \mathrm{~A}_{n-1}(2)$, or $\mathfrak{s u}_{2 n} \rightarrow \mathfrak{s u}_{n}$, depicted as


From this rule we can actually get another by simply attaching a root $\beta_{n}$ to $\beta_{n-1}$, namely $\mathrm{D}_{2 n} \rightarrow \mathrm{~B}_{n}$, or $\mathfrak{s o}_{4 n} \rightarrow \mathfrak{s o}_{2 n+1}$,


Finally, we can take the particular case $n=4$ and attach a root to $\beta_{3}$ to get the rule $\mathrm{E}_{7} \rightarrow \mathrm{~F}_{4}$, or $\mathfrak{e}_{7} \rightarrow \mathfrak{f}_{4}$,


[^17]In summary we have found the following freezing rules at the level of the algebras:

$$
\begin{array}{rlr}
\mathfrak{s o}_{2 n+4} & \rightarrow & \mathfrak{s p}_{n} \\
\mathfrak{s u}_{2 n} & \rightarrow \mathfrak{s u}_{n}  \tag{7.1.26}\\
\mathfrak{S o}_{4 n} & \rightarrow \mathfrak{s o}_{2 n+1} \\
\mathfrak{e}_{7} & \rightarrow & \mathfrak{f}_{4}
\end{array}
$$

where both the LHS and RHS algebras are at level 1 (the algebras unaffected by the freezing become level 2). These rules cannot be applied arbitrarily, however. In order for the LHS algebras to be reduced to those in the RHS, their roots must be connected with those of $\Lambda$ as specified in each case above. Any root of $\Lambda$ left by itself is simply projected out, $\mathfrak{s u}_{2} \rightarrow \emptyset$.

### 7.1.4 Applying the map in $d=4$

Having seen the possible ways in which subalgebras of a gauge algebra in the Narain component in six dimensions can be transformed when mapping to the CHL component, we now treat the problem of when these rules are applicable for a given gauge group $G$. In the cases $d=1,2,3$ this problem is trivial because the root lattices associated to $\Lambda$ are uniquely embedded, so one always knows for any gauge group if its weight lattice contains $\Lambda$ by a simple reading of the algebra. For $d=4$, however, the relevant root lattice is $8 \mathrm{~A}_{1}$, which may or may not be associated to $\Lambda$. It is necessary therefore to check explicitly, for each $8 A_{1}$ sublattice, if it corresponds to $\Lambda$ or not.

As a simple example let us consider the gauge group $\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}}$, ignoring the extra four $\mathrm{U}(1)$ factors for now. It turns out that the weight lattice of this group contains $\Lambda$ as a sublattice, whose $8 \mathrm{~A}_{1}$ sublattice correspond to the yellow nodes in the diagram


This can be shown explicitly by deleting the white nodes and checking that the weight vector of the $\frac{\operatorname{SU}(2)^{8}}{\mathbb{Z}_{2}}$ weight lattice is in the Narain lattice (cf. eq. 7.1.19). At the level of the algebras, then, we have that $\mathfrak{s o}_{32}$ goes to $\mathfrak{s o}_{17}$. This is to be contrasted with the gauge group $\operatorname{Spin}(32)$, which is simply-connected and therefore does not contain $\Lambda$ in its weight lattice (which is a root lattice in this case). From this we learn that the topology of the group dictates what are the allowed freezings. Furthermore, we can explicitly compute the fundamental group of the gauge groups using the previously developed methods which extend to $d=4$, and find
that $\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}}$ gets mapped to $\operatorname{Spin}(17)$. In other words, the element $k^{\prime}=(1,0)$ which generates $\pi_{1}\left(\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}}\right)$ gets mapped to $k=0$ in $\pi_{1}(\operatorname{Spin}(17))$.

In general, the gauge group to be mapped has more than one nontrivial element in its fundamental group, which makes things more complicated. Consider for example

$$
\begin{equation*}
G=\frac{\mathrm{SU}(2) \times \mathrm{SU}(4) \times \mathrm{SU}(4) \times \operatorname{Spin}(12) \times \mathrm{E}_{7}}{\mathbb{Z}_{2} \times \mathbb{Z}_{2}} \tag{7.1.28}
\end{equation*}
$$

where the $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ consists of

$$
\begin{equation*}
k_{1}=(0,2,0,(1,0), 1), \quad k_{2}=(1,0,2,(1,1), 1), \quad k_{3}=(1,2,2,(0,1), 0) \tag{7.1.29}
\end{equation*}
$$

Any pair of these elements, which generate $\pi_{1}(G)$, corresponds to two vectors which extend the root lattice $L$ of $G$ to its weight lattice $M$. They are inequivalent under translations in $L$. One can then delete nodes in the Dynkin diagram of $L$ such that the reduced root lattice still has a nontrivial weight overlattice which might correspond to $\Lambda$, at which point any other reduction will not contain weight vectors. In this special case, all such reductions lead to inequivalent embeddings of $\Lambda$ in $M$, represented by the yellow nodes in the diagrams






To each of these embeddings corresponds a different way of mapping $G$ to the CHL component. Using the rules in 7.1.26) and computing the fundamental group in each case we get,
respectively,

$$
\begin{array}{ll}
G \rightarrow \frac{\mathrm{SU}(2) \times \mathrm{SU}(2) \times \mathrm{SU}(4) \times \mathrm{Spin}(7) \times \mathrm{F}_{4}}{\mathbb{Z}_{2}}, & k=(1,0,2,1,0), \\
G \rightarrow \frac{\mathrm{SU}(4) \times \mathrm{SU}(2) \times \mathrm{Sp}(4) \times \mathrm{F}_{4}}{\mathbb{Z}_{2}}, & k=(2,0,1,0) \\
G \rightarrow \frac{\mathrm{SU}(2) \times \mathrm{SU}(2) \times \operatorname{Spin}(7) \times \mathrm{E}_{7}}{\mathbb{Z}_{2}}, & k=(0,0,1,1) \tag{7.1.35}
\end{array}
$$

The first thing to note is that in the resulting gauge group the fundamental group always reduces by a factor of $\mathbb{Z}_{2}$ (as already happened in the $\frac{\operatorname{Spin}(32)}{\mathbb{Z}_{2}} \rightarrow \operatorname{Spin}(17)$ case above). This can be understood by noting that one is taking the orthogonal complement of $\Lambda$, which contains weight vectors. These are also weight vectors in $M$, equivalent under translations in $8 \mathrm{~A}_{1}$, so they can be related to one of the elements in $\pi_{1}(G)$. For any such weight vector $w$, we have that $2 w \in 8 \mathrm{~A}_{1}$ and so the associated $k \in \pi_{1}(G)$ generates a $\mathbb{Z}_{2}$. This is precisely the factor which is eliminated in mapping $G$, corresponding respectively to $k_{1}, k_{2}$ and $k_{3}$ above.

Now we need to know how the remaining $k$ 's get transformed in each case. What we find is that it suffices to mod every $k$ by the one that is eliminated, call it $k_{\Lambda}$,

$$
\begin{equation*}
k \rightarrow k \bmod k_{\Lambda} \tag{7.1.36}
\end{equation*}
$$

and then project it into the center of the resulting gauge group. In the case of a $\operatorname{Spin}(4 n)$ factor, we project the modded $k$ contribution to $1 \in \pi_{1}(\operatorname{Spin}(2 n+1))=\mathbb{Z}_{2}$ if it is not $(0,0)$. Of course, we also have that $k_{\Lambda} \rightarrow 0$ so that this rule applies equally well to all the $k$ 's of $\pi_{1}(G)$.

We see then that the only information we require to know how to map a group $G$ to the CHL component is the embedding of the roots of $\Lambda$ into the root lattice $L$ of $G$ and its associated $k_{\Lambda} \in \pi_{1}(G)$. In fact, however, these two pieces of data are the same. One can take any $k \in \pi_{1}(G)$ of order 2 in $Z(G)$ and check if it corresponds to $\Lambda$ in the following way. For each simple factor in $G$, if the corresponding entry in $k$ is nonzero, its Dynkin diagram should be labeled according to one of the diagrams of Section 7.1.3. The only simple factor which contains more than one order 2 element is $\mathrm{D}_{2 n}$, in which case $k_{D_{2 n}}=(1,1)$ corresponds to the diagram 7.1.21) and $k_{D_{2 n}}=(0,1),(1,0)$ correspond to 7.1 .24$)$. Coloring the nodes appropriately lead to those shown in the example above, as one can easily check. If there are in total eight yellow nodes, this labeling will correspond to an embedding of $\Lambda$ into $M$. With this we can apply the mapping rules to the algebra and to the fundamental group of $G$.

We verified all of these statements by applying the procedures outlined above to a reasonably exhaustive list of gauge groups in the Narain component, and checking the results against a list for the CHL string. In the next section we look at other rank reduced components, where

| $n$ | Momentum lattice $\Gamma$ | Frozen sublattice $\Lambda$ |
| :---: | :---: | :---: |
| 1 | $\mathrm{II}_{3,3} \oplus \mathrm{E}_{8} \oplus \mathrm{E}_{8}$ | $\emptyset$ |
| 2 | $\mathrm{II}_{3,3} \oplus \mathrm{~F}_{4} \oplus \mathrm{~F}_{4}$ | $\mathrm{D}_{4} \oplus \mathrm{D}_{4}$ |
| 3 | $\mathrm{II}_{3,3} \oplus \mathrm{G}_{2} \oplus \mathrm{G}_{2}$ | $\mathrm{E}_{6} \oplus \mathrm{E}_{6}$ |
| 4 | $\mathrm{II}_{3,3} \oplus \mathrm{~A}_{1} \oplus \mathrm{~A}_{1}$ | $\mathrm{E}_{7} \oplus \mathrm{E}_{7}$ |
| 5 | $\mathrm{II}_{3,3}$ | $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$ |
| 6 | $\mathrm{II}_{3,3}$ | $\mathrm{E}_{8} \oplus \mathrm{E}_{8}$ |

Table 7.1: Momentum lattices for the moduli spaces of heterotic $\mathbb{Z}_{m}$-triples and their orthogonal complements $\Lambda$ in $\Gamma_{3,19}$.
the results are similarly verified against lists of symmetry enhancements. These lists can be obtained in the same way as those of the 7d case. We provide various examples in Appendix D.1.

### 7.2 Other rank reduced components

In this section we extend the freezing procedure explained above to other rank reduced components in the moduli space of heterotic strings which appear in seven dimensions and below. These correspond to the holonomy triples constructed in [9] and their torus compactifications. We will focus our attention on the six dimensional case. Before this, however, let us review that of seven dimensions of Chapter 4 .

### 7.2.1 Review of the map in 7d

In seven dimensions there are six connected components in the moduli space of supersymmetric heterotic strings, including the Narain and the CHL component. They can be obtained as asymmetric orbifolds of the $T^{3}$ compactifications at points in the moduli space where the Narain lattice exhibits appropriate symmetries. These orbifolds are of order 2 to 6 , and they correspond to non-trivial holonomy triples in the target space, hence they are called $\mathbb{Z}_{n}$-triples with $n=2, \ldots, 6$. The $\mathbb{Z}_{2}$-triple is equivalent to the CHL string treated in Section 7.1. Let us then treat the cases $n=3,4,5,6$.

For each $\mathbb{Z}_{n}$-triple, the momentum lattice can be obtained as the orthogonal complement of some other lattice $\Lambda$. This data is shown in Table 7.1. For the $\mathbb{Z}_{3}$-triple, we have $\Lambda=\mathrm{E}_{6} \oplus \mathrm{E}_{6}$, which can only be embedded into $\mathrm{E}_{p} \oplus \mathrm{E}_{q}$ with $p, q=6,7,8$. For each $\mathrm{E}_{p}$ factor, we have the algebra mapping

$$
\begin{equation*}
\mathfrak{e}_{6} \rightarrow \emptyset, \quad \mathfrak{e}_{7} \rightarrow \mathfrak{s u}_{2}, \quad \mathfrak{e}_{8} \rightarrow \mathfrak{g}_{2} \tag{7.2.1}
\end{equation*}
$$

while the corresponding contribution to any element $k$ of the fundamental group is preserved.

As with the $n=2$ component, we have that the gauge groups related by the mapping have isomorphic fundamental groups.

For the $\mathbb{Z}_{4}$-triple, we have $\Lambda=\mathrm{E}_{7} \oplus \mathrm{E}_{7}$, which embeds only into $\mathrm{E}_{p} \oplus \mathrm{E}_{q}$ with $p, q=7,8$. For each $\mathrm{E}_{p}$ factor we have the algebra mapping

$$
\begin{equation*}
\mathfrak{e}_{7} \rightarrow \emptyset, \quad \mathfrak{e}_{8} \rightarrow \mathfrak{s u}_{2} . \tag{7.2.2}
\end{equation*}
$$

The $\mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$-triples both have $\Lambda=\mathrm{E}_{8} \oplus \mathrm{E}_{8}$ and so the only mapping allowed is $\mathfrak{e}_{8} \rightarrow \emptyset$. All the possible gauge groups involved in this mapping are simply-connected so here again they have isomorphic fundamental groups, namely trivial ones.

### 7.2.2 Extension of the freezing map in 6d

Let us now consider the compactifications of the $7 \mathrm{~d} \mathbb{Z}_{n}$-triples to 6 d with $n=3,4,5,6$. Not surprisingly, the mappings that we find here generalize naturally those of the $n=2$ case.

6d $\mathbb{Z}_{3}$-triple
For $n=3$, the momentum lattice is

$$
\begin{equation*}
\Gamma_{3,3} \oplus \Gamma_{1,1}(3) \oplus \mathrm{A}_{2} \oplus \mathrm{~A}_{2} \tag{7.2.3}
\end{equation*}
$$

which can be shown to be the orthogonal complement of a lattice $\Lambda$ in $\Gamma_{4,20}$ isomorphic to the weight lattice of $\frac{\mathrm{SU}(3)^{6}}{\mathbb{Z}_{3}}$, with $\mathbb{Z}_{3}$ diagonal. There are two types of root lattices which can be obtained by attaching nodes to the Dynkin diagram of this $\operatorname{SU}(3)^{6}$. First, we have those of the type $\mathrm{A}_{3 n-1}$, obtained by adding roots between each pair of $\mathrm{A}_{2}$ 's consecutively. These map to $\mathrm{A}_{n}$. For example, we have that $\mathrm{A}_{8} \rightarrow \mathrm{~A}_{2}$,


The other possibility is to map $\mathrm{E}_{6}$ to $\mathrm{G}_{2}$,


A gauge group $G$ in the Narain component can be mapped to this moduli space if $\pi_{1}(G)$ contains an order 3 element $k_{\Lambda}$ such that its entries label exactly 12 nodes in the associated Dynkin diagram, in a manner completely analogous to the case for the CHL string (see Section
7.1.4). The procedure for mapping all the elements of $\pi_{1}(G)$ is the same. For example, the gauge group $\frac{\mathrm{E}_{6}^{3}}{\mathbb{Z}_{3}}$ with $\pi_{1}$ generator $k=(1,1,1)$ maps to $\mathrm{G}_{2}^{3}$, and $\frac{\mathrm{SU}(3)^{2} \times \mathrm{SU}(6)^{2} \times \operatorname{Spin}(10)}{\mathbb{Z}_{6}}$ with $\pi_{1}$ generator $k=(1,1,1,1,2)$ maps to $\frac{\mathrm{SU}(2)^{2} \times \operatorname{Spin}(10)}{\mathbb{Z}_{2}}$ with $k=(1,1,2)$. Similarly to the CHL string, the unaltered simple factors correspond to level 3 algebras and the altered to level 1 ones, so that e.g. the latter has algebra $\left(\mathfrak{s u}_{2} \oplus \mathfrak{s u}_{2}\right)_{1} \oplus\left(\mathfrak{s p i n}_{10}\right)_{3}$.

## 6d $\mathbb{Z}_{4}$-triple

For $n=4$, the momentum lattice is $\Gamma_{3,3} \oplus \Gamma_{1,1}(4) \oplus \mathrm{A}_{1} \oplus \mathrm{~A}_{1}$, whose associated $\Lambda$ is the weight lattice of $\frac{\operatorname{SU}(2)^{2} \times \operatorname{SU}(4)^{4}}{\mathbb{Z}_{4}}$ with $\mathbb{Z}_{4}$ generated by $k=(1,1,1,1,1,1)$. The roots of this lattice can be extended in particular to $\mathrm{A}_{4 n-1}$ and $\mathrm{D}_{2 n+3}$, the latter with $n=1,2$. The algebras are respectively mapped to $\mathfrak{s u}_{n}$ and $\mathfrak{s p}_{n}$. For example, we have



In the latter case we see that the two $\mathrm{A}_{1}$ 's of $\Lambda$ are used up, so that one cannot extend to $\mathrm{D}_{9}$ and beyond. The resulting gauge groups have current algebras at level 1 , except for the case of $A_{3}$ which only involves two frozen $A_{1}$ 's and produces an $A_{1}$ at level 2. Unaffected factors become level 4.

The element $k_{\Lambda}$ associated to this mapping is of order 4. In particular this means that $2 k_{\Lambda}$ is an order 2 element, which turns out to be associated to the freezing to the CHL component of the moduli space. This is reflected in the fact that the frozen sublattice of this moduli space component contains the one for the CHL component. Indeed, the $2 \mathrm{~A}_{1}$ part of $L_{\Lambda}$ can be extended to $\mathrm{D}_{n}$ and frozen to $\mathrm{C}_{n-2}$, as for the CHL freezing rule. This will be the case if $k_{\Lambda}$ has an order 2 contribution to a $\mathrm{D}_{n}$ factor.

For example, the group $\frac{\operatorname{SU}(2)^{3} \times \operatorname{SU}(4) \times \operatorname{SU}(8)^{2}}{\mathbb{Z}_{8}}$ with $\pi_{1}$ generator $k=(1,1,1,1,1,1)$ maps to $\frac{\mathrm{SU}(2)^{6}}{\mathbb{Z}_{2}}$ with $\pi_{1}$ generator $k=(1,1,1,1,1,1)$ and algebra $\left(\mathfrak{s u}_{2} \oplus \mathfrak{s u}_{2}\right)_{1} \oplus\left(\mathfrak{s u}_{2}\right)_{2} \oplus\left(\mathfrak{s u}_{2} \oplus \mathfrak{s u}_{2} \oplus \mathfrak{S u}_{2}\right)_{4}$, showcasing the possible ways in which current algebra levels can mix; here the mapping is associated to the order four element $2 k \simeq(0,0,0,2,2,2)$. Another interesting example is given by the group $\mathrm{SU}(3) \times \frac{\mathrm{SU}(12) \times \operatorname{Spin}(14)}{\mathbb{Z}_{4}}$ with $\pi_{1}$ generator $k=(0,3,3)$, which maps to $\mathrm{SU}(3)^{2} \times \mathrm{Sp}(2)$, with algebra $\left(\mathfrak{s p}_{2} \oplus \mathfrak{s u}_{3}\right)_{1} \oplus\left(\mathfrak{s u}_{3}\right)_{4}$; this involves the freezing rule for $\mathrm{D}_{7}$, producing a simply-connected gauge group.

| Algebra | $k_{\Lambda}$ | Order of $k_{\Lambda}$ | Transforms to | Contribution to $\Lambda$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathrm{A}_{q n-1}$ | $n$ | $q=2,3,4,5,6$ | $\mathrm{~A}_{n-1}$ | $n \mathrm{~A}_{q-1}$ |
| $\mathrm{D}_{n+2}$ | $v$ | 2 | $\mathrm{C}_{n}$ | $2 \mathrm{~A}_{1}$ |
| $\mathrm{D}_{2 n}$ | $s$ | 2 | $\mathrm{~B}_{n}$ | $n \mathrm{~A}_{1}$ |
| $\mathrm{E}_{7}$ | 1 | 2 | $\mathrm{~F}_{4}$ | $3 \mathrm{~A}_{1}$ |
| $\mathrm{E}_{6}$ | 1 | 3 | $\mathrm{G}_{2}$ | $2 \mathrm{~A}_{2}$ |
| $\mathrm{D}_{2 n+3}$ | $1 \simeq s$ | 4 | $\mathrm{C}_{n}$ | $n \mathrm{~A}_{1}+\mathrm{A}_{3}$ |

Table 7.2: Freezing rules for the simple factors in the gauge groups according to the element $k_{\Lambda}$ of the fundamental group associated to the freezing. For all the cases, the longest roots are of length twice the order of $k_{\Lambda} . v$ and $s$ denote the vector and spinor classes of the orthogonal groups.

## 6d $\mathbb{Z}_{5,6}$-triples

For $n=5$ the momentum lattice is $\Gamma_{3,3} \oplus \Gamma_{1,1}(5)$, whose associated $\Lambda$ is the weight lattice of $\mathrm{SU}(5)^{4} / \mathbb{Z}_{5}$ with $\mathbb{Z}_{5}$ generated by $k=(1,1,1,1)$. The only extension allowed here is $\mathrm{A}_{5 n-1}$, which maps to $\mathrm{A}_{n-1}$, generalizing the similar freezings in the previous cases.

For $n=6$, we have momentum lattice $\Gamma_{3,3} \oplus \Gamma_{1,1}(6)$, whose $\Lambda$ is the weight lattice of $\frac{\operatorname{SU}(2)^{2} \times \operatorname{SU}(3)^{2} \times \operatorname{SU}(6)^{2}}{\mathbb{Z}_{6}}$ with $\mathbb{Z}_{6}$ generated by $(1,1,1,1,1,1)$. Again, the only allowed freezing here will be from $\mathrm{A}_{6 n-1}$ to $\mathrm{A}_{n-1}$, associated to an order 6 element in $\pi_{1}(G)$. However, this $\Lambda$ includes the frozen sublattices of $n=2$ and $n=3$. Similarly to the $n=4$ case including $n=2$ freezing rules, here we also have the $n=2$ and $n=3$ rules which can be realized by two $\mathrm{A}_{1}$ factors and two $\mathrm{A}_{2}$ factors, respectively.

### 7.2.3 Relation with $G$-bundles over $T^{2}$

So far we have shown that depending on the topology of a gauge group $G$ in the Narain component of the 6 d moduli space one can map it to another gauge group $G^{\prime}$ in a different component using a simple set of rules. Associated to every freezing there is an element of the fundamental group $k_{\Lambda} \in \pi_{1}(G)$, and depending on the order of its entries with respect to the center of each simple factor, the associated algebra will transform in a specific way. This rules are summarized in Table 7.2, where we've also indicated the contribution of the freezing rule to the overall root sublattice $L_{\Lambda}$ of $\Lambda$.

These transformations also appear in a seemingly unrelated problem, namely in the relation between the moduli space components of flat bundles over $T^{2}$ with non-simply-connected structure group $G[152]$ when $G$ is simply-laced. The transformed group is simply-connected and describes the so-called topologically non-trivial components of the moduli space for a certain $G$. In this sense, what we find in the moduli space of 6 d heterotic strings is a generalization to semisimple lie groups with many factors and more complicated fundamental

| $n$ | Momentum Lattice $\Gamma$ | Frozen root lattice $L_{\Lambda}$ | $\pi_{1}\left(G_{\Lambda}\right)$ |
| :---: | :---: | :---: | :---: |
| 1 | $\Gamma_{4,20}$ | $\emptyset$ |  |
| 2 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(2) \oplus \mathrm{D}_{4} \oplus \mathrm{D}_{4}$ | $8 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}$ |
| 3 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(3) \oplus \mathrm{A}_{2} \oplus \mathrm{~A}_{2}$ | $6 \mathrm{~A}_{2}$ | $\mathbb{Z}_{3}$ |
| 4 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(4) \oplus \mathrm{A}_{1} \oplus \mathrm{~A}_{1}$ | $2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3}$ | $\mathbb{Z}_{4}$ |
| 5 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(5)$ | $4 \mathrm{~A}_{4}$ | $\mathbb{Z}_{5}$ |
| 6 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(6)$ | $2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{6}$ |

Table 7.3: Momentum lattices and corresponding orthogonal complements in $\Gamma_{4,20}$, given in terms of their root sublattices and fundamental group of the associated gauge group.
groups $3^{3}$

### 7.3 Summary of results and outlook

Let us summarize our results. The connected components of moduli space of the heterotic string studied in this chapter have momentum lattices and corresponding orthogonal complements in $\Gamma_{4,20}$ (frozen sublattices) as shown in Table 7.3. Here we have given $\Lambda$ in terms of its root sublattice $L_{\Lambda}$ and the fundamental group of the gauge group associated to $\Lambda^{4}$. The gauge symmetry groups that can be realized in the $n=2, \ldots, 6$ components can be obtained by applying a set of "freezing rules" to those of the $n=1$ one. To check if one of these freezings can be done with a certain $G$, one looks for order $n$ elements $k_{\Lambda}$ in $\pi_{1}(G)$ such that they define an embedding of $L_{\Lambda}$ into the root lattice $L$ of $G$. If this is the case, one applies the rules shown in Table 7.2 according to this embedding, and obtains the fundamental group of the resulting gauge group $G^{\prime}$ by modding the elements of $\pi_{1}(G)$ by $k_{\Lambda}$ and projecting them onto the center of $G^{\prime}$. Lists of gauge groups in these components can be found at [153], and we give some examples of these freezings in Appendix D.1.

The moduli space components that we have studied are not all. In [9] it was shown that there is a $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$-quadruple in 6 d , but, in any case, an exhaustive list of the components of the moduli space of heterotic strings in 6 d with maximal supersymmetry is not known. However, the map we have obtained is defined in terms of the fundamental group elements of the gauge groups and seems to naturally extend to many other cases that may correspond to other moduli space components, some of which require an M-theory description. This extension is the subject of an upcoming work.

On the other hand, the relation between these freezing rules and the problem of non-simplyconnected flat $G$-bundles over $T^{2}$ is not clear, as in the heterotic string we are considering

[^18]bundles over $T^{4}$. It may be better understood, perhaps, in a dual frame such as F-theory on $\mathrm{K} 3 \times T^{2}$ where one can more naturally isolate tori such as the fibers of the K3. As the former problem is rather high-level, it is tantalizing to think that it may play a role in constraining the possible theories with 16 supercharges that can be coupled to gravity (see e.g. 72 for recent results in this direction).

## Chapter 8

## Unification of the $6 \mathbf{D} \mathcal{N}=(1,1)$ string landscape

In the previous chapter we demonstrated how the rank reduction map corresponding to frozen singularities in $\mathrm{F} / \mathrm{M}$ theory for dimensions eight and seven can be generalized to six dimensions from the point of view of lattice embeddings. Its action on the gauge symmetry groups is naturally encoded in the fundamental group, and its generalization to cases beyond the heterotic string compactifications just considered seems straightforward. In this chapter we show that this generalization is indeed possible and that it does in fact encode all other known compactifications including those of Type II strings which have not heterotic dual. We also predict the existence of other moduli spaces which have no known stringy description so far. Along the way we show how to obtain every possible non-Abelian symmetry enhancement in these theories. We proceed in a self-contained manner, starting from the Narain component and then going through the rank reduced components systematically.

### 8.1 Narain Component

In this section we recall the basic aspects of symmetry enhancement in the Narain component of the moduli space of six dimensional $\mathcal{N}=(1,1)$ string vacua in the language of lattices. We show how to obtain every possible enhancement using the Niemeier lattices, and interpret this result in terms of the structure of the theory when compactified further on $T^{4}$.

### 8.1.1 Preliminaries

The simplest string vacua in six dimensions with $\mathcal{N}=(1,1)$ supersymmetry are described by any of the heterotic strings compactified on $T^{4}$ or, equivalently, type IIA strings compactified
on K3. They live in the Narain moduli space

$$
\begin{equation*}
\mathcal{M} \simeq O(4,20, \mathbb{Z}) \backslash O(4,20, \mathbb{R}) / O(4, \mathbb{R}) \times O(20, \mathbb{R}) \tag{8.1.1}
\end{equation*}
$$

where the dilaton contribution $\mathbb{R}^{+}$is omitted. The discrete group $O(4,20, \mathbb{Z})$ is the T-duality group of the theory in the heterotic string description, and corresponds to the automorphism group of the Narain lattice $\Gamma_{4,20}$. The symmetric space which it quotients is the Grassmannian with signature $(4,20)$. In other words,

$$
\begin{equation*}
\mathcal{M} \simeq O\left(\Gamma_{4,20}\right) \backslash \operatorname{Gr}(4,20) \tag{8.1.2}
\end{equation*}
$$

Points in this space may be interpreted as the possible orientations of a negative definite 4 -plane relative to the lattice $\Gamma_{4,20}$, both embedded into $\mathbb{R}^{4,20}$.

At special points in $\mathcal{M}$, the orthogonal complement of the 4-plane intersects a positive definite sublattice $W$ of $\Gamma_{4,20}$, with rank $r=1, \ldots, 20$. These sublattices are primitive, which means that the intersection of their real span $W \otimes \mathbb{R}$ with $\Gamma_{4,20}$ is $W$ itself. Of these, some enjoy the property of having a root sublattice (a lattice generated by vectors with norm $v \cdot v=2$ ) of maximal rank, which means that they are Lie algebra lattices, and can be interpreted as the weight lattices of certain nonabelian simply-laced Lie groups $G$. In fact, the physics of the theory works out such that at these points the gauge symmetry of the vacua have nonabelian part exactly given by $G \cap$ In general we have the result that, at a given point in moduli space,

$$
\begin{equation*}
W_{G} \hookrightarrow \Gamma_{4,20} \quad \Leftrightarrow \quad \text { Gauge group }=G \times U(1)^{20-r}, \tag{8.1.3}
\end{equation*}
$$

where $W_{G}$ is the weight lattice of $G$ with rank $r$ and its embedding into $\Gamma_{4,20}$ is primitive. Generic points have abelian symmetry group, and so the appearance of nonabelian factors is referred to as symmetry enhancement. For $r=20$, the enhancement is said to be maximal.

It may be helpful to elaborate on the meaning of $W_{G}$. We take it to be the weight lattice in the same sense as one usually refers to the lattice $D_{16}$ extended by the positive spinor class $\left(\frac{1}{2}, \ldots, \frac{1}{2}\right)$ as the weight lattice of $\operatorname{Spin}(32) / \mathbb{Z}_{2}$. For any primitive embedding $W_{G} \hookrightarrow \Gamma_{4,20}$, the self-duality of the host charge lattice ensures that its projection onto the real space spanned by $W_{G}$ is $W_{G}^{*}$ [154. Since the spectrum of the theory is complete, $W_{G}^{*}$ encodes the allowed charges under the gauge symmetry group ensuring that the fundamental group $\pi_{1}(G)$ is exactly the quotient of $W_{G}$ by its root sublattice, as should be for a simplylaced group. This picture breaks down and must be generalized for theories with non-self-dual charge lattices. A treatment of this problem can be found in [57.

Relation (8.1.3) allows to study symmetry enhancements from a purely lattice-theoretical

[^19]point of view, for which many tools are available. This is specially true for the case at hand since $\Gamma_{4,20}$ is an even self-dual lattice. Even more, the fact that the rank of this lattice is 24 , which is a particularity of six dimensional theories, makes it so that the problem of determining every possible $W_{G}$ is exactly solvable without too much effort. Let us show how this is done.

### 8.1.2 Symmetry enhancements from Niemeier lattices

Euclidean even self-dual lattices exist only when their rank is a multiple of 8 . Of rank 24 there exist 24 such lattices, of which 23 are Lie algebra lattices while the other has no roots at all. The former are known as Niemeier lattices and will be central to our analysis; they are briefly described in Appendix E.1.1. The later, known as the Leech lattice, will not be relevant for us. Indeed, our interest is in nonabelian gauge symmetry enhancements, i.e. Lie algebra lattices.

To get a sense of how Niemeier lattices enter into the discussion of symmetry enhancements, take the lattice $N_{\gamma}=3 E_{8}$ and draw its Dynkin diagram:




Deleting a node in this diagram selects a rank 23 primitive sublattice $W_{23} \hookrightarrow N_{\gamma}$, e.g. $W_{23}=$ $2 E_{8} \oplus E_{7}$. If one interprets $N_{\gamma}$ as the weight lattice of the gauge group $G_{N_{\gamma}}=E_{8}^{3}$, this procedure is equivalent to moving away from a point of symmetry enhancement in moduli space (as we will see, this scenario does appear in two spacetime dimensions where the gauge groups have rank 24). Repeating this procedure a total of four times, we are left with a rank 20 weight lattice for some gauge group (in this case a root lattice since the gauge group is simply connected).

Our claim is that the procedure just outlined, if done in all possible ways for all Niemeier lattices, will produce a complete list of the maximal symmetry enhancements of the Narain component discussed in the previous section. This will be proven shortly. It is however instructive to note first that after deleting four nodes arbitrarily in one of the $E_{8}$ sublattices of $N_{\gamma}$, the result is a gauge group of the form

$$
G=E_{8}^{2} \times G_{(4)},
$$

where $G_{(4)}$ is an arbitrary simply-laced compact Lie group of rank 4. It is well known that such a gauge group can be obtained in the $E_{8} \times E_{8}$ heterotic string on $T^{4}$ with null Wilson lines and appropriate values for the metric and B-field (see e.g. [16]). The analogous case of the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic string is to be found by deleting four nodes in the $E_{8}$ sublattice of
$N_{\beta}=W_{S p i n(32) / \mathbb{Z}_{2}} \oplus E_{8}$.
In the language of lattice embeddings, our claim is based on two statements:

1. Any Lie algebra lattice $W_{G}$ of rank 20 which embeds primitively into $\Gamma_{4,20}$ also embeds primitively into some Niemeier lattice $N_{I}$. The converse is also true.
2. The root sublattice of $W_{G}$ can be made to correspond to a rank 20 subdiagram of the Dynkin diagram of the Niemeier lattice into which it is embedded.

The first of these two statements follows directly as a corollary of theorems 1 and 2 of [28] (recorded in Appendix E.1.2.) by virtue of $W_{G}$ being a lattice whose embedding corresponds to a point in moduli space which is completely fixed by a subgroup of $O\left(\Gamma_{4,20}\right)$ (the Weyl group of the associated root sublattice). The second statement follows from the possibility of matching the generating roots of the root sublattice of $W_{G}$ with a subset of those of $N_{I}$ by applying an appropriate transformation in the Weyl group of $N_{I}$.

This result can be generalized to every other symmetry enhancement, not necessarily maximal, by observing that all possible gauge groups with rank $<20$ are obtained from those of rank 20 by deleting nodes. This is in contrast to compactifications to seven or more spacetime dimensions, where there is a special nonabelian gauge group of rank 16 which does not admit further enhancements [81]. It is of the form

$$
\begin{equation*}
G=\frac{\operatorname{Spin}(16)^{2}}{\mathbb{Z}_{2}}, \quad \frac{\operatorname{Spin}(8)^{4}}{\mathbb{Z}_{2}^{2}}, \quad \frac{\operatorname{Spin}(4)^{8}}{\mathbb{Z}_{2}^{5}}, \quad \text { resp. } d=9,8,7 . \tag{8.1.5}
\end{equation*}
$$

As is easy to infer, the next in the sequence is abelian.
It is satisfying that applying this method we obtain a list of gauge groups in perfect agreement with those obtained by means of the exploration algorithm. This gives evidence for the effectiveness of this algorithm, which has the added advantage of producing values for the moduli for which the enhancements occur and is not restricted to the six dimensional case.

### 8.1.3 Connection to the 2D theory

A physical interpretation is available for the results just reported in considering a further compactification of the theory on $T^{4}$ down to two dimensions. From the point of view of the heterotic string on $T^{8}$, the Narain lattice is now $\Gamma_{8,24}$. Its uniqueness as an even selfdual lattice with signature $(8,24)$ implies that any other such lattice is isomorphic to it. In particular, we have the isomorphisms

$$
\begin{equation*}
\Gamma_{8,24} \simeq N_{I} \oplus E_{8}(-1) . \tag{8.1.6}
\end{equation*}
$$

This means that there are 23 points (ignoring one associated to the Leech lattice) in the moduli space where the Narain lattice splits into two Euclidean lattices (here we take the active interpretation of the moduli space as that of polarizations of the Narain lattice, where the fixed negative definite plane corresponds to the subspace $\left.\mathbb{R}^{8,0} \subset \mathbb{R}^{8,24}\right)$. At these points, the gauge symmetry group is precisely the one whose weight lattice is $N_{I}$.

Our results show then that performing four or more symmetry breakings on these 23 special points in moduli space produces every possible nonabelian gauge symmetry in the parent six dimensional theory. This is analogous to the case of discrete gauge symmetries as analysed in [155]. In this reference, however, the lattice $\Gamma_{8,24}$ is taken as the full nonperturbative charge lattice of the theory in three and not two dimensions. The reader is free to interpret our results also in that context.

We consider the two dimensional case since the gauge groups given by the Niemeier lattices are visible as current algebras in the heterotic string worldsheet CFT. The fact that the Narain lattice splits into two Euclidean lattices signifies that the worldsheet CFT factorizes into two chiral (s)CFTs. The left moving CFT is a meromorphic CFT with $c=24$ with nontrivial current algebras at level 1 while the right moving sCFT is the meromorphic sCFT with $c=12$ based on the $E_{8}$ lattice. These worldsheet CFTs are examples of the family studied in [156]. These facts will play a key role in understanding the overall structure of the moduli space in six dimensions.

### 8.2 Chaudhuri-Hockney-Lykken Component

It will be our main objective to extend the analysis carried out so far to other connected components in the moduli space. These take the generic form

$$
\begin{equation*}
\mathcal{M}=O\left(\Gamma_{c}\right) \backslash \operatorname{Gr}(4,20-r), \tag{8.2.1}
\end{equation*}
$$

where $\Gamma_{c}$ is the charge lattice analog to the Narain lattice in the standard component, and $r \in\{8,12,14,16,18,20\}^{2}$. We start by focusing our attention on the unique component with $r=8$, which we will refer to as the Chaudhuri-Hockney-Lykken (CHL) component.

### 8.2.1 Basic generalizations

The CHL component 52,53 can be described in various ways, most notably as an asymmetric orbifold [154] of the $E_{8} \times E_{8}$ heterotic string on $T^{4}$ which realizes a holonomy along one of the compact directions whose action exchanges the $E_{8}$ factors. There are various other descriptions, which can be found in (9). Its charge lattice was computed by Mikhailov 55]

[^20]and is of the form
\[

$$
\begin{equation*}
\Gamma_{c}=\Gamma_{1,1} \oplus \Gamma_{3,3}(2) \oplus E_{8} \tag{8.2.2}
\end{equation*}
$$

\]

Here the parentheses denotes a scaling of $\Gamma_{3,3}$ by $\sqrt{2}$. This theory exists in nine dimensions, where the charge lattice is $\Gamma_{1,1} \oplus E_{8}$, and each compactification on $S^{1}$ extends it by adding $\Gamma_{1,1}(2)$. This can be interpreted as a relative reduction in the size of the circle supporting the holonomy, and is a generic feature of rank reduced charge lattices.

The Mikhailov lattice $\Gamma_{c}$ enjoys various presentations. In particular, it can be written as

$$
\begin{equation*}
\Gamma_{c}=\Gamma_{4,4} \oplus\left[8 A_{1} \mid \mathbb{Z}_{2}\right] \tag{8.2.3}
\end{equation*}
$$

where the lattice $\left[8 A_{1} \mid \mathbb{Z}_{2}\right.$ ] is the weight lattice of the gauge group $S U(2)^{8} / \mathbb{Z}_{2}$ with $\mathbb{Z}_{2}$ diagonal. Moreover, $\Gamma_{c}$ admits a primitive embedding into $\Gamma_{4,20}$, wherein its orthogonal complement is also $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$. This rank 8 lattice can be compactly written as $D_{8}^{*}(2)$, but it is the form we have chosen which will generalize to other components.

Importantly, $\Gamma_{c}$ is not self-dual. This calls for generalizations of the statements made for the Narain lattice regarding symmetry enhancements. Firstly, root lattices may have roots with norm 4 instead of 2 , leading to gauge algebras of the type BCF when they are mixed with roots with norm 2, and scaled type A algebras otherwise. Secondly, if a root sublattice has longest root with norm 4 , its current algebra level is 1 ; if the longest root has norm 2 , the level is 2 . Finally, the topology is not directly encoded in the overlattice of the root lattice with respect to its embedding into $\Gamma_{c}$, to which we have referred as $W_{G}$ in the Narain component. The fundamental group $\pi_{1}(G)$ can be obtained instead as the quotient $W_{G}^{\vee} /\left(W_{G}\right)_{\text {root }}^{\vee}$, where $\left(W_{G}\right)_{\text {root }}^{\vee}$ is the coroot lattice and $W_{G}^{\vee}$ its overlattice with respect to an embedding into $\Gamma_{c}^{*}$ (57]. Indeed note that this procedure reduces to the one outlined in the Narain component in the case that $\Gamma_{c}$ is self-dual and $G$ is simply-laced.

### 8.2.2 From Narain to CHL: the rank reduction map

The procedure of computing the possible gauge groups from lattice embeddings is rather tedious. Fortunately, as we have seen, there is an alternative method. There exists a map that takes as input some suitable gauge group arising in the Narain component and returns a gauge group in the CHL component. It will be instructive to briefly review its derivation from lattice embedding techniques.

As we have remarked above, $\Gamma_{c}$ admits a primitive embedding into $\Gamma_{4,20}$ with orthogonal complement $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$. This implies that to any weight lattice $W_{G} \hookrightarrow \Gamma_{4,20}$ for which $\left[8 A_{1} \mid \mathbb{Z}_{2}\right] \hookrightarrow W_{G}$ one can associate another lattice in $\Gamma_{c}$ as the orthogonal complement of $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ in $W_{G}$. From this newfound lattice one may apply the procedure outlined above to compute the corresponding gauge group $G^{\prime}$, establishing a map $G \mapsto G^{\prime}$. That $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$
is primitively embedded into $W_{G}$ implies that the gauge group $G$ is an enhancement of $S U(2)^{8} / \mathbb{Z}_{2}$. The generator $k$ of this $\mathbb{Z}_{2}$ will correspond then to an order two element in $\pi_{1}(G)$, from which we can determine that $G$ can be mapped to some $G^{\prime}$ in the CHL component.

The effect of taking the orthogonal complement of $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ in $W_{G}$ and computing the gauge group $G^{\prime}$ using the rules for the CHL string, for every one of the possible groups $G$ in the Narain component, makes clear that at the level of the gauge groups the map is as follows. Let

$$
\begin{equation*}
G=\frac{\tilde{G}}{\pi_{1}(G)}=\frac{\tilde{G}_{1} \times \cdots \times \tilde{G}_{s}}{\pi_{1}(G)}, \tag{8.2.4}
\end{equation*}
$$

where $\tilde{G}$ is the universal cover of $G$ and $\tilde{G}_{i}$ its simple factors. Denote by $k=\left(k_{1}, \ldots, k_{s}\right)$ the elements of $\pi_{1}(G)$, with $k_{i}$ the projections of $k$ onto the centers $Z\left(\tilde{G}_{i}\right)$. Let $\ell$ be an order 2 element of $\pi_{1}(G)$ corresponding to an element in the lattice $W_{G}$ which reduces to the order two weight vector in its sublattice [ $8 A_{1} \mid \mathbb{Z}_{2}$ ]. If the projection $\ell_{i}$ of $\ell$ onto $Z\left(\tilde{G}_{i}\right)$ is nonzero, $\tilde{G}_{i}$ undergoes a transformation according to the rules

| $\tilde{G}_{i}$ | $\tilde{G}_{i}^{\prime}$ | $\ell_{i}$ |
| :---: | :---: | :---: |
| $S U(2)$ | $\emptyset$ | 1 |
| $S U(2 n)$ | $S U(n)$ | $n \geq 2$ |
| $\operatorname{Spin}(2 n)$ | $\operatorname{Spp}(n-2)$ | $v$ |
| $\operatorname{Spin}(4 n)$ | $\operatorname{Spin}(2 n+1)$ | $s$ |
| $E_{7}$ | $F_{4}$ | 1 |

Here $v$ and $s$ are respectively the vector and positive chirality spinor classes in the center of $\operatorname{Spin}(2 n)$, the later of which is always of order 2 in $\operatorname{Spin}(4 n)$. Depending on the chosen basis, one may have the negative chirality spinor $c$ instead of $s$. The fundamental group transforms as

$$
\begin{equation*}
\pi_{1}(G) \mapsto \pi_{1}\left(G^{\prime}\right) \simeq \pi_{1}(G) / \mathbb{Z}_{2}, \quad \mathbb{Z}_{2}=\{0, \ell\} \tag{8.2.6}
\end{equation*}
$$

The levels of the worldsheet current algebras will also change generically. All of the transformed simple factors are now associated to root sublattices where the longest root has norm 4, and so they are at level 1 . Every spectator term will however change its level from 1 to 2 . A simple example is provided by a group of the form $\operatorname{Spin}(32) / \mathbb{Z}_{2} \times G_{4}$. Indeed, $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ can be broken to $S U(2)^{8} / \mathbb{Z}_{2}$, and is mapped to $\operatorname{Spin}(17)$. The result is then the gauge group $\operatorname{Spin}(17) \times G_{4}$ with current algebra $\hat{B}_{8,1}+\hat{G}_{4,2}$, with hats denoting affinization, as usual, and rightmost subscripts denoting the level.

As explained in the previous chapter, this same map arises in studying the moduli space of flat connections for a group $G$ over the torus $T^{2}$ 157. As such, it was expected that it would appear naturally in the CHL string in eight dimensions [152]. Here we see that a precise realization exists instead in six dimensions, but the reason for this is so far elusive.

On the other hand, in the more recent mathematical literature this map was used to rederive the classification of meromorphic CFTs with central charge 24 [158]. We will be able to understand precisely how this method is connected with the structure of the moduli space of six dimensional $\mathcal{N}=(1,1)$ string vacua in the following.

### 8.2.3 Symmetry enhancements from orbit lattices

As we have seen, every possible gauge symmetry group arising in the Narain component can be obtained as a symmetry breaking of a rank 24 gauge group $G$ with $W_{G}$ one of the 23 Niemeier lattices. Here we wish to show that an analogous result holds in the CHL component. The basic idea is that applying the rank reduction map to a set of gauge groups obtained from some Niemeier lattice $N_{I}$ is equivalent to applying the same map to the rank 24 gauge group associated to $N_{I}$ and then extracting gauge groups $G^{\prime}$ by symmetry breaking.

Let us illustrate this procedure with an example. Consider the Niemeier lattice $N_{\beta}$ with root sublattice $D_{16} \oplus E_{8}$, and associated gauge group $\operatorname{Spin}(32) / \mathbb{Z}_{2} \times E_{8}$, and delete four nodes in the $E_{8}$ as above. In every case, we are left with a group $G$ which can be mapped to the CHL string, which may as well have been obtained by deleting four nodes in the $E_{8}$ of $\operatorname{Spin}(17) \times E_{8}$. In this case, the operations of rank reduction and node deletion commute. Can this be generalized to node deletions in the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ factor?

We must consider the cases in which deleting a node from the Dynkin diagram of $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ preserves the fundamental group $\mathbb{Z}_{2}$. It helps to make explicit the primitive embedding $8 A_{1} \hookrightarrow D_{16}$ of the root sublattice of $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ into the root sublattice of $W_{\operatorname{Spin}(32) / \mathbb{Z}_{2}}$ in the Dynkin diagram:


The order 2 element of the fundamental group corresponds to a vector in the real span of the roots whose nodes are colored. If any of these is deleted, the fundamental group becomes trivial. The white nodes, on the other hand, can be deleted without altering $\pi_{1}$, thus still allowing the rank reduction map to be applied. Deleting one of them and then applying the rank reduction map is in fact equivalent to mapping first $\operatorname{Spin}(32) / \mathbb{Z}_{2} \rightarrow \operatorname{Spin}(17)$ and then appropriately deleting one of the eight nodes.

In this case, the group $\operatorname{Spin}(17) \times E_{8}$ has a corresponding lattice given by the orthogonal complement of [ $8 A_{1} \mid \mathbb{Z}_{2}$ ] in $N_{\beta}$. Following [158], where lattices of this type have also made an appearance to be explained below, we will refer to it as an orbit lattice (more details are given in Section 8.3.1). Indeed, the rank reduction map has an action on the affine Dynkin diagram given by a folding which corresponds to an element of the center of $\tilde{G}$, and accordingly, the resulting affine algebra is known as an orbit (affine) Lie algebra 157.

There are 17 primitive embeddings of $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ into Niemeier lattices, each of which produces a different orbit lattice for the CHL component. The same considerations as above apply, and so we have 17 special rank 16 gauge groups from which every possible gauge group in the CHL component can be obtained by four or more symmetry breakings. These are recorded in Table E.2.

### 8.2.4 Connection to the 2 D theory

Mirroring the discussion in Section 8.1.3, we now want to show that the 17 gauge groups corresponding to orbit lattices $N_{I}^{\prime}$ in the CHL component are realized in the moduli space of the theory when compactified further on $T^{4}$. To this end it suffices to prove that there is an isomorphism

$$
\begin{equation*}
\Gamma_{4,4} \oplus \Gamma_{4,4}(2) \oplus\left[8 A_{1} \mid \mathbb{Z}_{2}\right] \simeq N_{I}^{\prime} \oplus E_{8}(-2), \tag{8.2.8}
\end{equation*}
$$

for each possible $N_{I}^{\prime}$, analogous to the isomorphisms in 8.1.6).
The easiest way to prove eq. $(8.2 .8$ is by showing that each one of the 18 lattices involved belong to the same lattice genus using for example SAGEMATH or MAGMA. It is a standard theorem of lattice theory that for even lattices with $\Gamma_{1,1}$ sublattice each genus contains exactly one lattice. More generally, it can be proven using standard lattice embedding theorems that any pair of lattices $\Gamma_{c}$ and $M$ defined as the orthogonal complement of some lattice $\Lambda$ primitively embedded respectively into $\Gamma_{4,20}$ and $N_{I}$ enjoys the relation

$$
\begin{equation*}
\Gamma_{c} \oplus \Gamma_{4,4}(n) \simeq M \oplus E_{8}(-n) \tag{8.2.9}
\end{equation*}
$$

for arbitrary $n$; 8.2.8) is a special case. To see that there are no other possible orthogonal decompositions, it suffices to compute the genus of the Euclidean lattices $N_{I}^{\prime}$, which consists exactly of these 17 lattices. Indeed, taking orthogonal complements in the Niemeier lattices with respect to embeddings of a given lattice, in this case [ $8 A_{1} \mid \mathbb{Z}_{2}$ ], is a standard way of computing lattice genera, known as the Kneser-Nishiyama method 159, 160.

From 8.2.8) we also learn that the 17 gauge groups associated to the orbit lattices arise in points in moduli space where the heterotic worldsheet CFT factorizes. This has the implication that the current algebras at these points correspond to chiral CFTs with $c=24$. As we will see, for all cyclic orbifolds these are in fact meromorphic; such algebras were notably classified by Schellekens in [161 and we find indeed that this set of 17 algebras are reported in this reference. The right-moving sCFT is again the one based on the $E_{8}$ lattice.

We have arrived at the notable fact that applying the rank reduction map on the Niemeier lattices has produced current algebras for meromorphic CFTs with $c=24$. This is in fact a general occurrence, and has been worked out in the (recent) mathematical literature 158. We will review this work in the next section. It will provide us with enough information to propose
a natural partial classification of the components in the moduli space of six dimensional string vacua with $\mathcal{N}=(1,1)$, namely of those defined by orbifolds given by a group of the type $\mathbb{Z}_{n}$. In each case we are able to extend the methods developed so far to the corresponding moduli space and the gauge symmetries it presents.

### 8.3 Other Components of Cyclic Orbifold Type

The results of last section can be generalized straightforwardly to other known components of the moduli space, provided that we know how to extract the gauge group data from a lattice embedding into the charge lattice. Obtaining this information is however quite difficult in general, as we usually require explicit formulas for the masses of the states in the spectrum in some stringy description.

We addressed this problem in Chapter 4 for four components with rank reduced gauge group apart from the CHL string which can be described in seven dimensions by asymmetric orbifolds of the heterotic string on $T^{3}$, known as heterotic $\mathbb{Z}_{n}$-triples [9]. The rank reduction map was consequently obtained for the corresponding six dimensional theories in Chapter 7. Here too its action on the affine algebras produces an orbit Lie algebra according to the order of an associated element in the fundamental group of the gauge group $G$ in the Narain component. In the following we will see that this map is in perfect agreement with the more general construction proposed in this chapter, and so are therefore the derived rules for reading the gauge symmetries from lattice embeddings.

Our approach will be to take an alternative route to the derivation of these rules by focusing on the relation between orbit lattices and meromorphic CFTs with $c=24$ (and sCFTs with $c=12$ ), which has been worked out in the literature, and lifting them from two to six dimensional theories. These results apply in fact to almost every known moduli space component, and predicts the existence of eight more. The outliers correspond to orbifolds not of cyclic type, which will be considered in Section 8.4. The fact that the orbit lattices encode every possible symmetry enhancement in the six dimensional theories ensures that the rules can be derived in complete generality.

### 8.3.1 Höhn's construction

In 161 Schellekens notably gave a classification of meromorphic CFTs with $c=24$, of which 69 have semisimple current algebras. More recently, Höhn showed in [158 that all of these can be obtained in a simple manner from the Niemeier lattices and the possible orbit lattices that can be constructed from them as we have exemplified for the CHL string. Let us explain how this works.

Let $L$ be an even lattice with automorphism group $O(L)$, and $g \in O(L)$ an order $n$
automorphism. The action of $g$ on $L$ leaves invariant a primitive sublattice $L^{g}$, referred to as the invariant lattice. The orthogonal complement of $L^{g}$ in $L$, denoted by $L_{g}$, is in turn referred to as the coinvariant lattice. Now suppose that $L$ is a Lie algebra lattice with root sublattice $L_{\text {root }}$, and glue code the abelian group $C_{L}=L / L_{\mathrm{root}}$. Each element in $C_{L}$ defines a special lattice automorphism $g$ corresponding to a symmetry of the affine Dynkin diagram of $L_{\text {root }}$. From each simple root we can construct a $g$-orbit of roots, their sum being a vector in $L$ invariant under $g$. In the case that two roots have nontrivial inner product, their sum must be multiplied by $2 \sqrt[3]{3}$ This yields an orbit root lattice $R$, and so one can construct an invariant primitive sublattice by taking its overlattice inside $L$. We refer to the latter as an orbit lattice.

We see then that for a given element $g$ in $L / L_{\text {root }}$ there is a canonical mapping $L \mapsto L^{g}$. This map can be promoted to one between affine Lie algebras if one is able to consistently assign them to $L$ and $L^{g}$. For the problem at hand we take $L$ to give a semisimple affine algebra $\mathfrak{g}$ of ADE type at level 1 ; equivalently we take all the roots of $L$ to be precisely the norm 2 vectors. For $L^{g}$, we take the roots to be those vectors obtained from the $g$-orbits of roots, as explained above. The resulting root sublattice $L_{\text {root }}^{g}$ is then an orthogonal sum of possibly rescaled root lattices, not necessarily of ADE type. From this we read off the simple algebras in the sum $\mathfrak{g}^{g}=\mathfrak{g}_{1}^{g} \oplus \mathfrak{g}_{2}^{g} \oplus \cdots$; their levels are taken to be equal to $2 m / \alpha_{\ell}^{2}$, where $m$ is the order of $g$ times an integer $\lambda$ to be specified later, and $\alpha_{\ell}$ the longest root in the corresponding root sublattice.

Take now the set of Niemeier lattices, compute all of their corresponding orbit lattices and assign algebras as above. As Höhn noticed, the subset of algebras with dimension strictly greater than 24 matches precisely those in Schellekens' list if $\lambda$ is appropriately chosen (in most cases $\lambda=1$ while in a few $\lambda=2$ ). We refer the reader to Table 3 of 158 for the full set of relevant data.

It was also observed in [158] that the orbit lattices are arranged into various lattice genera. This information is recorded in Table E. 2 in Appendix E.2, where the last column groups together the orbit lattices into genera $\sqrt{4}^{4}$ From this we see that for each such genus, there is a unique coinvariant lattice. For example, a set of 17 orbit lattices corresponding to certain order 2 automorphisms of the $N_{I}$ belong to the same genus and their coinvariant lattice is [ $8 A_{1} \mid \mathbb{Z}_{2}$ ]. This is just what we already found by studying the CHL string in Section 8.2.4. One immediately suspects that each orbit lattice genus corresponds to a $6 \mathrm{D} \mathcal{N}=(1,1)$ moduli space component and, as we will see, this is the case. This correspondence will in turn help elucidate the interpretation of certain points not yet clarified such as the role of the gluing

[^21]vectors in the orbit lattice $S^{5}$, the meaning of $\lambda$ and the role of orbit lattices with algebras of dimension 24.

## Parentheses on coinvariant lattices

Before proceeding let us make some observations concerning the coinvariant lattices; we will call them $\Lambda$ here. As we have mentioned, they appear naturally as the orthogonal complements of the charge lattices $\Gamma_{c}$ in the Narain lattice $\Gamma_{4,20}$. They all share a common feature, namely that removing any node in the associated Dynkin diagram, the glue code $\Lambda / \Lambda_{\text {root }}$ is reduced. Physically this means that the associated gauge symmetry group (which is indeed realized in the theory) changes its fundamental group when there is any symmetry breaking; e.g. $G=S U(2)^{8} / \mathbb{Z}_{2}$ breaks to $S U(2)^{7}$.

The gluing vectors in $\Lambda$ correspond precisely to those elements in the glue code of the host Niemeier lattice which give the automorphism with respect to which $\Lambda$ is coinvariant. For this reason, a classification of all sublattices of the $N_{I}$ which exhibit the property mentioned above amounts to a classification of orbit lattices with respect to any automorphism of the $N_{I}$, cyclic or not, by taking orthogonal complements. This point of view allows us to avoid in practice the process of computing the orbit lattices by explicit use of the automorphisms, which can be very cumbersome.

### 8.3.2 Known components

M-Theory on $\left(\mathrm{K} 3 \times S^{1}\right) / \mathbb{Z}_{n}$

In the following we wish to examine the moduli space components that are known, and relate them to the orbit lattice construction just explained.

We start with M-Theory compactified on an orbifold (K3 $\times S^{1}$ )/ $\mathbb{Z}_{n}$ given by an order $n$ symplectic automorphism of the K3 surface together with an order $n$ shift along the $S^{1}$. The

[^22]possibilities are $n=1, \ldots, 8$, with corresponding charge lattices 9

| $n$ | $\Gamma_{c}$ | $\Gamma_{c}^{\perp} \hookrightarrow \Gamma_{4,20}$ |
| :---: | :---: | :---: |
| 1 | $\Gamma_{4,20}$ | $\emptyset$ |
| 2 | $\Gamma_{4,4} \oplus\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ | $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$ |
| 3 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(3) \oplus A_{2} \oplus A_{2}$ | $\left[6 A_{2} \mid \mathbb{Z}_{3}\right]$ |
| 4 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(4) \oplus A_{1} \oplus A_{1}$ | $\left[2 A_{1} \oplus 4 A_{3} \mid \mathbb{Z}_{4}\right]$ |
| 5 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(5)$ | $\left[4 A_{4} \mid \mathbb{Z}_{5}\right]$ |
| 6 | $\Gamma_{3,3} \oplus \Gamma_{1,1}(6)$ | $\left[2 A_{1} \oplus 2 A_{2} \oplus 2 A_{5} \mid \mathbb{Z}_{6}\right]$ |
| 7 | $\Gamma_{2,2} \oplus\binom{-4-1}{-1-2}$ | $\left[3 A_{6} \mid \mathbb{Z}_{7}\right]$ |
| 8 | $\Gamma_{2,2} \oplus\left(\begin{array}{c}-4 \\ 0\end{array} \mathbf{0}-2\right)$ | $\left[A_{1} \oplus A_{3} \oplus 2 A_{7} \mid \mathbb{Z}_{8}\right]$ |

All of the $\Gamma_{c}$ admit a primitive embedding into the Narain lattice $\Gamma_{4,20}$, with orthogonal complements recorded in the rightmost column.

As for the CHL string, which is dual to the $n=2$ case here, the lattices $\Gamma_{c}^{\perp}$ embed primitively into some Niemeier lattices and play the role of the coinvariant lattice with respect to certain lattice automorphisms of order $n$. For $n=2,3,4,5,6$, these theories are dual to the heterotic triples we examined in previous chapters, and an analysis along the lines of Section 8.2 carries through.

The general picture is as follows. The lattices $\Gamma_{c}$ transform as

$$
\begin{equation*}
\Gamma_{c} \mapsto \Gamma_{c} \oplus \Gamma_{4,4}(n) \tag{8.3.2}
\end{equation*}
$$

when the corresponding theories are further compactified on $T^{4}$. The resulting lattices enjoy the isomorphisms

$$
\begin{equation*}
\Gamma_{c} \oplus \Gamma_{4,4}(n) \simeq N_{I}^{g} \oplus E_{8}(-n), \tag{8.3.3}
\end{equation*}
$$

and so there are special points in the moduli space where the worldsheet CFT factorizes, from the point of view of a heterotic string description that we assume to exist (to our knowledge, they have not been constructed in the literature for $n=7,8$ ). The left moving CFT at these points has current algebra given by the $N_{I}^{g}$ as explained in the previous section, with $\lambda=1$.

Since we know the current algebras at these special points, we can identify the gluing vectors in the $N_{I}^{g}$ with the massive states sitting in fundamental representations of the gauge group, allowing to compute their topology; this is so because the orthogonal splitting of the charge lattice at these points implies that the projection of $\Gamma_{c}$ into $N_{I}^{g}$ is $N_{I}^{g}$, so that there are no other vectors in the lattice to be taken into account for. This reproduces for $n=2, \ldots, 6$ the results we get from applying the rank reduction map of the $\mathbb{Z}_{n}$-triples to the groups corresponding to the Niemeier lattices, as in Section 8.2. For $n=7,8$ we are instead able to learn how the gauge groups should be read from lattice embeddings into $\Gamma_{c}$ (the relevant rules
do not depend on the number of spacetime dimensions). As we expect, the resulting rules are a direct generalization from those of the previous chapter, and so the rank reduction map is trivially extended to these components.

From these facts it follows that the gauge groups given by the orbit lattices yield every possible nonabelian gauge group to be found in the parent six dimensional theories upon four or more symmetry breakings, just as for the CHL string. We leave to Appendix E.1.3 some details regarding how the fundamental groups of the gauge groups change under such breakings.

M-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{n}$

Another family of M-Theory compactifications with $\mathcal{N}=(1,1)$ corresponds to the orbifolds $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{n}$, with $n=2,3,4,6$. These are given by an order $n$ symmetry of the $T^{4}$ which breaks half the supersymmetries together with an order $n$ shift along the $S^{1}$. The charge lattices and orthogonal complements are

| $n$ | $\Gamma_{c}$ | $\Gamma_{c}^{\perp} \hookrightarrow \Gamma_{4,20}$ |
| :---: | :---: | :---: |
| 2 | $\Gamma_{1,1} \oplus \Gamma_{3,3}(2)$ | $\left[16 A_{1} \mid \mathbb{Z}_{2}^{5}\right]$ |
| 3 | $\Gamma_{1,1} \oplus \Gamma_{1,1}(3) \oplus A_{2}(-1)$ | $\left[9 A_{2} \mid \mathbb{Z}_{3}^{3}\right]$ |
| 4 | $\Gamma_{1,1} \oplus \Gamma_{1,1}(4) \oplus 2 A_{1}(-1)$ | $\left[6 A_{1} \oplus 4 A_{3} \mid \mathbb{Z}_{2}^{2} \mathbb{Z}_{4}\right]$ |
| 6 | $\Gamma_{1,1} \oplus \Gamma_{1,1}(6) \oplus A_{2}(-2)$ | $\left[5 A_{1} \oplus 4 A_{2} \oplus A_{5} \mid \mathbb{Z}_{6}\right]$ |

In this case, however, the lattices $\Gamma_{c}^{\perp}$ are coinvariant with respect to automorphisms of the $N_{I}$ which define orbit lattices with algebras of dimension 24 , respectively

$$
\begin{equation*}
8 \hat{A}_{1,2 \lambda}, \quad 3 \hat{A}_{2,3 \lambda}, \quad \hat{A}_{3,4 \lambda}+3 \hat{A}_{1,2 \lambda}, \quad \hat{B}_{2,3 \lambda}+\hat{A}_{2,3 \lambda}+2 \hat{A}_{1,2 \lambda} . \tag{8.3.5}
\end{equation*}
$$

These algebras are not in Schellekens' list; as we now explain, they have to be associated to chiral sCFTs with $c=12$.

First we must note that the isomorphisms of eq. 8.3.3) are still valid, although, interestingly, for each component now there is only one corresponding orbit lattice. This means that at a special point in the moduli space, in some stringy description, the worldsheet CFT factorizes into meromorphic chiral CFTs, with right moving sCFT based on the $E_{8}$ lattice. Since the algebras with dimension 24 are not in Schellekens list, the only possibility is that the left moving CFT is an sCFT with $c=12$, i.e. the relevant string theory is Type II. It was shown in [163] that there is such a CFT, named $F_{24}$, which admits various current algebras
precisely of dimension 24 , namely

$$
\begin{gather*}
8 \hat{A}_{1,2}, \quad 3 \hat{A}_{2,3}, \quad \hat{A}_{3,4}+3 \hat{A}_{1,2}, \quad \hat{A}_{4,5}, \quad \hat{B}_{2,3}+\hat{G}_{2,4}, \\
\hat{B}_{2,3}+\hat{A}_{2,3}+2 \hat{A}_{1,2}, \quad \hat{B}_{3,5}+\hat{A}_{1,2}, \quad \hat{C}_{3,4}+\hat{A}_{1,2} . \tag{8.3.6}
\end{gather*}
$$

As we can see, those of 8.3.5) are included here if we set $\lambda=1$.
We can again associate gauge groups to the orbit lattices for the compactifications at hand and show that from symmetry breaking one can obtain every possible gauge group in the six dimensional theories. Take for example the case $n=2$, which has gauge group $S U(2)^{8} / \mathbb{Z}_{2}^{7}$. The fundamental group is such that breaking any set of four nodes in the Dynkin diagram one always ends up with the gauge group $S U(2)^{4} / \mathbb{Z}_{2}^{3}$, which is the unique maximal enhancement in the theory. For the other three components, the allowed maximal enhancements are of rank 2 and readily written down, and we observe that in general there are very few possible enhancements.

It turns out that Höhn's construction, with the minor modification in Footnote 3, produces all of the algebras in (8.3.6), so that it not only classifies the algebras for meromorphic CFTs with $c=24$ but also those for those with $c=12$. Interestingly, however, some of these seem to be degenerate, in the sense that the associated root lattices can be found as sublattices of two inequivalent orbit lattices. The explanation for this is natural from the point of view of string theory, as we now show.

## Theories with discrete theta angle

As shown in Chapter 5, certain components in the moduli space of 7D $\mathcal{N}=1$ theories come in pairs from the point of view of M-Theory on K3 with frozen singularities, predicting the existence of three new moduli space components. The stringy description was provided in Chapter 6, where it was shown that such pairs can be understood as different versions of some string theories distinguished by the presence of a nontrivial discrete theta angle.

Upon further compactification on $S^{1}$, the versions without theta angle are dual to the theories described in the previous section with $n=2,3,4$. The corresponding versions with theta angle turned on have charge lattices

| $n$ | $\Gamma_{c}$ | $\Gamma_{c}^{\perp} \hookrightarrow \Gamma_{4,20}$ |
| :---: | :---: | :---: |
| 2 | $\Gamma_{4,4}(2)$ | $\left[16 A_{1} \mid \mathbb{Z}_{2}^{4}\right]$ |
| 3 | $\Gamma_{2,2}(3) \oplus A_{2}(-1)$ | $\left[9 A_{2} \mid \mathbb{Z}_{3}^{2}\right]$ |
| 4 | $\Gamma_{1,1}(2) \oplus \Gamma_{1,1}(4) \oplus 2 A_{1}(-1)$ | $\left[6 A_{1} \oplus 4 A_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ |

Note that the lattices $\Gamma_{c}^{\perp}$ have the same root sublattices as those for their cousins in 8.3.4), but the gluing vectors are different (see Table E. 6 in Appendix E. 2 for details). Each one of
these again admits a primitive embedding into some Niemeier lattice, such that its orthogonal complement gives an orbit lattice.

The orbit lattices corresponding to these theories share the root sublattice with their aforementioned cousins up to a scaling factor implying that the corresponding algebras are

$$
\begin{equation*}
8 \hat{A}_{1, \lambda}, \quad 3 \hat{A}_{2, \lambda}, \quad \hat{A}_{3,2 \lambda}+3 \hat{A}_{1, \lambda} . \tag{8.3.8}
\end{equation*}
$$

We see then that $\lambda$ must be chosen respectively as 2,3 and 2 in order to match the algebras in 8.3.6). It is interesting to note that in each case, $\Gamma_{c}$ is a sublattice of index precisely $\lambda$ of the charge lattice for the theories without theta angles. It would be good to understand better this relation.

As before, we can assign gauge groups to the orbit lattices and obtain from them the six dimensional symmetry enhancements. The difference with respect to those of the previous section is in the gluing vectors; the gauge algebras are exactly the same, but the gauge groups differ in their topologies, i.e. at the level of massive charged states in the spectrum. Indeed this was already observed in Chapter 5 for the seven and eight-dimensional decompactifications of the $n=2$ cases.

## Dabholkar-Harvey string island

In 164 it was shown that certain pure supergravity theories, i.e. with no vector multiplets, can be obtained as the low energy limits of string compactifications, and some explicit constructions were provided. This includes the case of six dimensions and $\mathcal{N}=(1,1)$ supersymmetry, which can be obtained from a $\mathbb{Z}_{5}$-asymmetric orbifold of the Type II string on $T^{4}$. The only modulus is the dilaton, and so the charge lattice is negative definite, namely

$$
\begin{equation*}
\Gamma_{c}=A_{4}(-1) . \tag{8.3.9}
\end{equation*}
$$

This theory fits as well into the framework of orbit lattices. The orthogonal complement of $\Gamma_{c}$ in $\Gamma_{4,20}$ is [ $5 A_{4} \mid \mathbb{Z}_{5}^{2}$ ], which is the coinvariant lattice corresponding to the orbit lattice with algebra $\hat{A}_{4,5}$ in (8.3.6). Deleting four nodes in the Dynkin diagram completely breaks the gauge symmetry, as should be.

### 8.3.3 New components

We have seen how every moduli space component of cyclic orbifold type in the literature (to our knowledge) fits into the framework of orbit lattices. There are however eight lattice genera unmatched. In this section we assign to them new moduli space components together with their charge lattices, and discuss possible stringy constructions. We also show that except for
special cases analogous to those of Section 8.3.2, the $T^{2}$ compactifications of every theory are dual to four dimensional CHL models, which have been classified in 165 .

## A rank 8 and a rank 2 theory

Out of the ten new components, eight are string islands. Let us then first describe the two which are not. They correspond to the genera D and J of [158]. The coinvariant lattices are respectively

$$
\begin{equation*}
\left[12 A_{1} \mid \mathbb{Z}_{2}\right], \quad\left[3 A_{1} \oplus 3 A_{5} \mid \mathbb{Z}_{6}\right] \tag{8.3.10}
\end{equation*}
$$

which embed primitively into the Narain lattice $\Gamma_{4,20}$ with orthogonal complements

$$
\begin{equation*}
\Gamma_{4,4}(2) \oplus D_{4}, \quad \Gamma_{2,2}(2) \oplus A_{2}(-1) . \tag{8.3.11}
\end{equation*}
$$

To match the appropriate algebra levels, we must choose $\lambda=2$.
Here we should note that upon compactification on $T^{2}$ down to four dimensions, the corresponding theories will be dual to certain CHL models described in detail in [165]. These are theories constructed e.g. as orbifolds of Type II strings on $\mathrm{K} 3 \times S^{1} \times S^{1}$, given by an order $n$ symmetry of the K3 sigma model together with an order $2 n$ shift along one $S^{1}$, with $n=2,6$, respectively. Here we have that $n$ is just the order of the automorphism on the Niemeier lattice which defines the orbit lattices, but the actual order of the orbifold symmetry in the physical theory is $2 n$.

As explained in [165], the extra factor of 2 in the shift is necessary for it to be made along a physical compact direction. Indeed, the lattices in (8.3.11) get extended to

$$
\begin{equation*}
\Gamma_{4,4}(2) \oplus \Gamma_{2,2}(4) \oplus D_{4}, \quad \Gamma_{2,2}(2) \oplus \Gamma_{2,2}(12) \oplus A_{2}(-1) \tag{8.3.12}
\end{equation*}
$$

upon compactification on $T^{2}$, matching those in the classification of [165]. Here then the choice of $\lambda$ can be understood as a correction of the overall order of the orbifold symmetry such that a shift can be made along an $S^{1}$. This is in contrast with the cases in Section 8.3.2, where $\lambda>1$ but the shifts are unaffected (the reason for this is not yet clear to us).

Another peculiarity of these two theories is that they are the only ones of cyclic orbifold type for which there are two orbit lattices that are isometric. It would be interesting to understand the physical meaning of this.

Since these theories are related to meromorphic CFTs with $c=24$ it is likely that they can be formulated as asymmetric orbifolds of heterotic strings on $T^{4}$ or perhaps F-Theory on $\left(\mathrm{K} 3 \times T^{2}\right) / \mathbb{Z}_{n}$ with order 2,6 symmetries of F-Theory on $\mathrm{K} 3 \times S^{1}$ and order $n=4,12$ shifts along the remaining $S^{1}$.

## Five Type II string islands

There are other five genera of orbit lattices associated to current algebras of dimension 24 and rank 4. These then correspond to Type II string islands, similarly to the one described in Section 8.3.2. The charge lattices are (12, 19-21 and 23 in Table E.4)

| $n$ | $\Gamma_{c}$ | $\Gamma_{c}^{\perp} \hookrightarrow \Gamma_{4,20}$ |
| :---: | :---: | :---: |
| 5 | $\left(\begin{array}{cccc}-4 & 1 & 1 & 1 \\ 1 & -4 & 1 & 1 \\ 1 & 1 & -4 & 1 \\ 1 & 1 & 1 & -4\end{array}\right)$ | $\left[5 A_{4} \mid \mathbb{Z}_{5}\right]$ |
| 8 | $A_{1}(-1) \oplus A_{3}(-1)$ | $\left[3 A_{1} \oplus A_{3} \oplus 2 A_{7} \mid \mathbb{Z}_{2} \mathbb{Z}_{8}\right]$ |
| 8 | $3 A_{1}(-1) \oplus A_{1}(-2)$ | $\left[3 A_{1} \oplus A_{3} \oplus 2 A_{7} \mid \mathbb{Z}_{8}\right]$ |
| 10 | $\left(\begin{array}{cccc}-2 & 0 & 0 & 1 \\ 0 & -2 & 0 & 1 \\ 0 & 0 & -2 & 1 \\ 1 & 1 & 1 & -4 \\ (1) & -1\end{array}\right)$ | $\left[3 A_{1} \oplus 2 A_{4} \oplus A_{9} \mid \mathbb{Z}_{10}\right.$ ] |
| 12 | $\left(\begin{array}{cccc}-2 & 1 & 1 & 1 \\ 1 & -2 & 0 & 0 \\ 1 & 0 & -2 & 0 \\ 1 & 0 & 0 & -4\end{array}\right)$ | $\left[2 A_{1} \oplus 2 A_{2} \oplus A_{3} \oplus A_{11} \mid \mathbb{Z}_{12}\right]$ |

Interestingly, we see that among the string islands there are two pairs corresponding to $n=5$ and $n=8$ (cf. Section 8.3.2), in the sense that their coinvariant lattices share root sublattices. This is precisely what happens in the theories that we know that admit discrete theta angles, and so it suggests that the Dabholkar-Harvey string island admits such a theta angle and the second item in the table above also. It would be interesting to explore this further. As before, the three models "without discrete theta angle" can be identified upon compactification on $T^{2}$ with CHL models in 165 .

## Heterotic island

Finally there is a string island associated to the orbit lattice $\left[2 A_{1} \oplus 2 A_{9} \mid \mathbb{Z}_{10}\right]$, with charge lattice $D_{4}(-1)$. It is associated to a meromorphic CFT with $c=24$ and so we conjecture that it may be realized for example as an heterotic asymmetric $\mathbb{Z}_{10 \text {-orbifold. }}$

### 8.3.4 Symmetry enhancements in higher/lower dimensions

Let us close this section with a discussion of symmetry enhancements in other dimensions deriving from the results we have presented above.

## The seven dimensional case

In Chapter 4 we computed the possible symmetry enhancements in seven dimensional theories with heterotic string description, namely the $\mathbb{Z}_{n}$-triples of [9]. There are however other components of the moduli space which can be described e.g. by M-Theory on a K3 surface with partially frozen singularities or F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{n}$ with possible discrete theta angles. The circle compactification of these theories belong to the family of moduli spaces discussed in this chapter, and we have in particular learned how to compute their possible
symmetry enhancements from the associated lattice embeddings. The rules for reading these gauge groups are independent of the number of spacetime dimensions and so we are now in a position to write down exactly what are the possible symmetry enhancements in the aforementioned seven dimensional moduli spaces.

In Table E.7 we record the charge lattices of the seven dimensional theories, together with the possible uplifts to eight and nine dimensions. For F-Theory on $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{2}$ there is only one maximal enhancement, namely $S U(2)^{3} / \mathbb{Z}_{2}^{2}$ with $\pi_{1}$ generated by the elements $(1,1,0)$ and $(0,1,1)$ of the center $Z\left(S U(2)^{3}\right)$. These are indeed the only rank 3 groups which can be obtained from those in the six dimensional theory by symmetry breaking, hence this result is as expected. In turning on the discrete theta angle, the maximal enhancement is now the simply connected $S U(2)^{3}$. Both of these theories can be lifted to eight dimensions, wherein the respective maximal enhancements are $S U(2)^{2} / \mathbb{Z}_{2} \simeq S O(4)$ and $S U(2)^{2}$. In nine dimensions both of the components have enhancements to $S U(2)$. In all cases discussed so far the level of the current algebra in the worldsheet is 2 , in accordance with the results of Chapters 5 and 6 .

The remaining moduli space components have rank 1 gauge groups. In the case of ( $T^{4} \times$ $\left.S^{1}\right) / \mathbb{Z}_{3}$ there is one $S U(2)$ enhancement at level 3 in both components (i.e. with and without theta angle.) For $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{4}$ there are two $S U(2)$ enhancements in each component, one at level 2 and one at level 4 ; this is opposed to the results of Chapter 5 where one of the enhancements was naively read off as an $S O(3)$. Finally, there are three $S U(2)$ enhancements in the $\left(T^{4} \times S^{1}\right) / \mathbb{Z}_{6}$ component, at level 2,3 and 6 respectively.

These results can be summarized in the statement that for these components with low rank in seven dimensions deleting five nodes in the Dynkin diagrams of the associated orbit lattices one obtains exactly every possible symmetry enhancement. This is manifestly not true for the $\mathbb{Z}_{n}$-triples; deleting five arbitrary nodes in the corresponding orbit lattices one gets gauge groups which are actually not realized in the theory. The statement above is therefore quite remarkable, and in fact applies to the corresponding theories in eight and nine dimensions.

## The cases $D=2,3,4,5$

Having the rules for reading off gauge groups from lattice embeddings we can in principle use the exploration algorithm to find maximal symmetry enhancements in the compactifications of the theories discussed here. This is however far out of the scope of this thesis. We wish instead to discuss a few aspects of symmetry enhancements in these compactified theories in relation to the orbit lattices and their associated gauge groups.

We start with a result regarding the Narain component, stating that deleting $n \leq 4$ nodes in the Dynkin diagram of some Niemeier lattice $N_{I}$ one obtains a valid gauge group in $D=2+n$. To see this, consider first the case $n=1$. What we want to show is that any primitive sublattice $W$ of an $N_{I}$ of rank 23 defined by deleting a node in the Dynkin
diagram admits a primitive embedding into $\Gamma_{7,23}$. To this end we note that the orthogonal complement of $W$ in $N_{I}$ can be embedded primitively into $E_{8}$, since indeed any even lattice of rank $\leq 3$ admits such an embedding. The rank 7 orthogonal complement $T$ in $E_{8}$ will then have the same discriminant group and quadratic form as $W$, which guarantees the existence of a primitive embedding of $W$ into $\Gamma_{7,23}$. It is straightforward to extend this result to the cases $n=2,3$; the case $n=4$ is of course already a main result of this chapter.

The general situation is that Niemeier lattices encode exactly every possible gauge group realized in the six dimensional theory, in lower dimensions giving a proper subset of them and in higher dimensions giving a proper superset. This is easy to see e.g. in nine dimensions where there are only 44 maximal enhancements while deleting 7 arbitrary nodes off of the Niemeier lattices obviously produces many more.

This situation is in contrast to what we have already observed in the moduli space components discussed in the previous section. In fact we find it natural to conjecture that theories which are described by worldsheets with $c_{L}=12$ in two dimensions are such that their orbit lattices give the only possible maximal enhancement, and its breakings every possible enhancement in the higher dimensional theories obtained by decompactification. This is not too unexpected in light of the fact that in these moduli space components, where a description is available to us, there are no perturbative D-branes. The symmetry enhancements come from nonperturbative effects in a very restricted manner. It would be very interesting to explore this conjecture further in the various stringy descriptions.

Finally, it would be interesting to determine if the facts we established above for the Narain component hold also for other components with $c_{L}=24$ in the worldsheet CFT. The difficulty that we encounter is that the orbit lattices in these cases are not self-dual, and it is not clear how to work with primitive embeddings and orthogonal complements therein.

### 8.4 Extension to Non-Cyclic Orbifolds

What we have seen so far can be summarized as follows. The rank reduction map (see Table 8.1) applied on the current algebras corresponding to the Niemeier lattices produces the classification of current algebras for meromorphic (s)CFTs with $c=24(c=12)$. These algebras appear naturally in 2D string theory vacua with sixteen supercharges, which can be decompactified to six dimensions to give a list of moduli spaces covering every known case of cyclic orbifold type and predicting eight more.

A cyclic orbifold symmetry can then be associated to an application of the rank reduction map at the level of the gauge groups, suggesting that non-cyclic orbifolds are related to successive applications. This motivates us to consider orbit lattices $N_{I}^{\mathcal{G}}$ embedded into Niemeier lattices $N_{I}$ which are invariant under non-cyclic subgroups $\mathcal{G}$ of $O\left(N_{I}\right)$. We can then define, as

| Order | $\tilde{G}_{i}$ | $\tilde{G}_{i}^{\prime}$ | $\ell_{i}$ |
| :---: | :---: | :---: | :---: |
| $q$ | $\operatorname{SU}(q)$ | $\emptyset$ | 1 |
| $q$ | $\operatorname{SU}(q n)$ | $\operatorname{SU}(n)$ | $n \geq 2$ |
| 2 | $\operatorname{Spin}(2 n)$ | $\operatorname{Sp}(n-2)$ | $v$ |
| 2 | $\operatorname{Spin}(4 n)$ | $\operatorname{Spin}(2 n+1)$ | $s$ |
| 2 | $E_{7}$ | $F_{4}$ | 1 |
| 3 | $E_{6}$ | $G_{2}$ | 1 |
| 4 | $\operatorname{Spin}(4 n+2)$ | $\operatorname{Sp}(n-1)$ | 1 |
| 2 | $\operatorname{Spin}(2 n+1)$ | $\operatorname{Spin}(2 n-1)$ | 1 |
| 2 | $\operatorname{Sp}(2 n)$ | $\operatorname{Spin}(2 n+1)$ | 1 |
| 2 | $\operatorname{Sp}(2 n+1)$ | $\operatorname{Sp}(n)$ | 1 |

Table 8.1: Rank reduction map for non-simply connected gauge groups. Compare with particular case explained in Section 8.2.2. The level of the associated current algebras will depend on the specific string theory, being related for example to how the root lattices change in scale (cf. Section 8.3.1). For $\operatorname{Spin}(4 n+2)$, we have that $\{0,1,2,3\} \simeq\{0, s, v, c\}$.
usual, the charge lattice $\Gamma_{c}$ of the corresponding string theory as the orthogonal complement of $N_{I}^{\mathcal{G}}$ in $\Gamma_{4,20}$.

From our discussion in 8.2 .4 we will still have the isomorphisms

$$
\begin{equation*}
\Gamma_{c} \oplus \Gamma_{4,4}(n) \simeq N_{I}^{\mathcal{G}} \oplus E_{8}(-n), \tag{8.4.1}
\end{equation*}
$$

hence there will always be at least one point in the moduli space of the $T^{4}$ compactifications where the string worldsheet factorizes. In this cases, however, the left-moving (s)CFTs will not be meromorphic, but rather tensor products of one (s)CFT with $c<24(c<12)$ with others to complete the appropriate central charge. We have no means to compute the appropriate value of $n$, but we suspect it is generically equal to the order of $\mathcal{G}$ times some constant depending on the specifics of the string theory background, as happens in the cyclic cases [165].

### 8.4.1 Heterotic $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$-quadruple

Let us illustrate the problem with an example. Compactifying the $\mathbb{Z}_{2}$-triple from seven to six dimensions one can orbifold the theory again using the $\mathbb{Z}_{2}$ symmetry that defines the CHL string. This leads to a $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$-quadruple with rank reduction 12 [9], which can be matched with the construction outlined above.

A complete classification of coinvariant lattices (see Table E.6) includes two of rank 12, one of which corresponds to a cyclic orbifold. It is therefore natural to assign the other to the theory considered here, namely $\left[12 A_{1} \mid \mathbb{Z}_{2}^{2}\right]$. This is precisely what we need, since this lattice contains inside two copies of $\left[8 A_{1} \mid \mathbb{Z}_{2}\right]$, as we would expect from orbifolding two times by a symmetry associated to a rank reduction of 8 (with respect to groups in the Narain
component.) The charge lattice is

$$
\begin{equation*}
\Gamma_{c}=\Gamma_{2,2} \oplus \Gamma_{2,2}(2) \oplus 4 A_{1}, \tag{8.4.2}
\end{equation*}
$$

and there are 13 corresponding orbit lattices (see Table E.3). In this case we have no control over how the gauge symmetry groups are computed in the theory but it is reasonable to suppose that the rules in the cyclic cases generalize. In particular, the gauge groups associated to these 13 orbit lattices can be read from the data in Table E. 5 and these should encode all the gauge groups in the six dimensional theory.

To our knowledge, the lattice 8.4.2 was not computed in the literature (although there is a related lattice in Table 12 of [9].) Note that if we assume that compactifying further on $S^{1}$ extends the lattice as $\Gamma_{c} \rightarrow \Gamma_{c} \oplus \Gamma_{1,1}(4)$, the lattice $\Gamma_{c, 4 \mathrm{D}}$ corresponding to the 4 D theory exhibits the symmetry

$$
\begin{equation*}
\Gamma_{c, 4 \mathrm{D}}=\Gamma_{c, 4 \mathrm{D}}^{*}(4), \tag{8.4.3}
\end{equation*}
$$

meaning that the theory exhibits so-called Fricke S-duality [165] as we would expect from a heterotic construction.

### 8.4.2 New components

To our knowledge the only non-cyclic orbifold background in six dimensions is that of the previous section. Apart from this there are 22 more such components suggested by our framework (see Table E. 5 in Appendix E.2).

It is not clear to us how the corresponding theories could be realized. In many cases we expect that their compactifications to lower dimensions can be constructed without too much difficulty. One could then study the possible decompactification limits of such theories and ensure that the ones we describe do exist. The natural expectation is that this corresponds to taking out a set of $\Gamma_{1,1}(n)$ sublattices from the charge lattices of said lower dimensional theories, such that we recover those listed in Table E.5.

One possibility is that all of the theories considered here may be obtained as orbifolds of Type IIA string theory on a K3 surface, along the lines of [165] where cyclic orbifolds of Type IIA on ( $\mathrm{K} 3 \times S^{1} \times S^{1}$ ) were classified. This would require the use of many shift vectors along the real span of the lattice $\Gamma_{4,20}$, i.e. not on extra circles. It would be interesting to explore this possibility. On the other hand, such theories might also be constructed using Bieberbach manifolds as Type II backgrounds with possible theta angles such as those studied in Chapter 6 or heterotic asymmetric orbifolds.

We also note that in the non-cyclic case there are nine string islands, for a total of 16. This significantly extends the results of [164] where only one was found. A straightforward extension of the methods of this reference is however not enough to find the remaining possibilities.

Understanding this problem is also of interest.
In the following we go through some interesting general properties of the proposed moduli spaces and their symmetry enhancements.

## Uplifting orbifolds of M-Theory on (K3 $\left.\times S^{1} \times \cdots \times S^{1}\right)$

There are in total fourteen automorphism groups of K3 surfaces with which we may construct M-Theory vacua with 16 supersymmetries. Seven of these are cyclic, of order $2, \ldots, 8$, and indeed we have already encountered them in Section 8.3.2. The others have various cyclic subgroups and for each one we require another circle along which we can put a shift such that the orbifold is freely acting. As such, they cannot be constructed in six dimensions. These are (see e.g. Sec. 4.2 of (9))

$$
\begin{equation*}
\mathbb{Z}_{2} \times \mathbb{Z}_{2}, \quad \mathbb{Z}_{2} \times \mathbb{Z}_{4}, \quad \mathbb{Z}_{2} \times \mathbb{Z}_{6}, \quad \mathbb{Z}_{3} \times \mathbb{Z}_{3}, \quad \mathbb{Z}_{4} \times \mathbb{Z}_{4}, \quad \mathbb{Z}_{2}^{3}, \quad \mathbb{Z}_{2}^{4} \tag{8.4.4}
\end{equation*}
$$

of which the first five can be realized in (a maximum of) five dimensions and the last two can be realized in four and three dimensions respectively.

We claim that all of the theories associated to the non-cyclic orbifolds above admit decompactifications up to six dimensions. Indeed we see this is the case for $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ where the six dimensional theory is just the heterotic quadruple described in Section 8.4.1 (it can also be described by F-Theory on $\left(\mathrm{K} 3 \times S^{1} \times S^{1}\right) /\left(\mathbb{Z}_{2} \times \mathbb{Z}_{2}\right)[9]$.) To motivate this claim we compare the theories corresponding to the entries 24, 26, 28, 35, 37, 39 and 43 of Table E. 5 to the coinvariant sublattices of the lattice $\Gamma_{3,19}$ with respect to the K3 automorphisms, which were computed in (166 (see p. 15). We can make an explicit match between these lattices for each $\mathcal{G}$ in (8.4.4) at the level of rank reduction; it is not clear to us how the lattices themselves should be matched.

Now let us assume that the theories with two generators in $\mathcal{G}$ behave such that $\Gamma_{c} \rightarrow$ $\Gamma_{c} \oplus \Gamma_{1,1}(n)$ with $n=\operatorname{ord}(\mathcal{G})$ upon circle compactification. It can be checked in each case that the resulting charge lattice obeys the relation

$$
\begin{equation*}
\Gamma_{c, 4 \mathrm{D}}=\Gamma_{c, 4 \mathrm{D}}^{*}(n), \tag{8.4.5}
\end{equation*}
$$

so that they exhibit as symmetries a form of the Fricke S-dualities discussed in 165. This leads us to suspect that these theories can be realized in six dimensions as heterotic quadruples analogous to the one in Section 8.4.1.

The two remaining cases do not exhibit the property above. It is natural instead to propose that they admit non-trivial discrete theta angles in some Type II description. This is easy to see by comparing the lattices 26 and 25 in Table E. 5 as well as the lattices 28 and 27 . We are therefore led to conjecture that they do not admit heterotic descriptions, as is the case for the
theories discussed in Section 8.3.2 and 8.3.2.

## A pair of theories with the same charge lattice

Now we comment on the theories corresponding to entries 31 and 32 in Table E.5. They have the same charge lattice

$$
\begin{equation*}
\Gamma_{c}=\Gamma_{2,2}(2) \oplus 2 A_{1}(-1), \tag{8.4.6}
\end{equation*}
$$

but these are defined as the orthogonal complement of two different lattices in $\Gamma_{4,20}$, which therefore belong to the same genus. Both of these lattices are of the form $\left[18 A_{1} \mid \mathbb{Z}_{2}^{6}\right]$, but differ in their gluing vectors (see Table E.6. In fact, they can be shown to be isometric; the genus, whose elements are isometry classes of lattices, has only one member.

One qualitative distinction between these two theories is that one has only one associated orbit lattice while the other, which we distinguish with a prime, has two (see Table E.3). In both cases there is a maximal enhancement to $S U(2)^{2} / \mathbb{Z}_{2}^{2} \simeq P S O(4)$, but the latter also admits the simply connected $S U(2)^{2} \simeq S \operatorname{pin}(4)$.

This situation is similar to that of the $\mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$ heterotic triples in seven dimensions, which have the same charge lattice $\Gamma_{3,3}$. They are distinguished of course by the order of the automorphism, but this is not immediately visible at the level of lattices. We see this distinction explicitly in lower dimensions, where they get extended respectively by $\Gamma_{n, n}(5)$ and $\Gamma_{n, n}(6)$. It is possible that for the theories considered here a similar situation occurs. Even though they are associated to the same automorphism group $\mathcal{G}=\mathbb{Z}_{2}^{2}$, there could be other effects that change how the lattices transform upon compactification, as we have seen already.

## Appearance of $S O(3)$ 's

A remarkable feature of all the moduli spaces found in the literature is that the gauge symmetry group $S O(3)$ is not realized in them. We know in the case of eight dimensional theories that it is indeed ruled out by Swampland considerations 68]. In seven dimensions, although there is no analogous constraint, it is the case that this group does not appear (see Chapter 4 for the $\mathbb{Z}_{n}$ heterotic triples and Section 8.3 .4 for the other components.) However, in the potential components corresponding to the entries 25 to 33 in Table E. 5 they do appear. This is significant as it allows for there to be possible odd rank reductions. Indeed, any time a gauge group can be broken to $S O(3)$ one can apply the rank reduction map associated to the nontrivial element in $\pi_{1}(S O(3))=\mathbb{Z}_{2}$ reducing the rank by 1 .

## Rank reduction patterns

If we accept that the theories predicted by our framework do exist, we find an interesting pattern in the allowed ranks of the gauge groups of theories with 16 supercharges. Namely, in nine and eight dimensions the allowed rank reductions are

$$
\begin{equation*}
\text { Rank reduction in } 8 \mathrm{D}, 9 \mathrm{D}=8,16 \tag{8.4.7}
\end{equation*}
$$

In seven dimensions we find more possibilities,

$$
\begin{equation*}
\text { Rank reduction in } 7 \mathrm{D}=8,12,14,16,18 \tag{8.4.8}
\end{equation*}
$$

The pattern that we want to highlight is that between the possible rank reductions there is a gap, which in eight and nine dimensions is always 8 . In seven dimensions, there are three gaps: 8,4 and 2 . Now, if we look at the six dimensional case we get

$$
\begin{equation*}
\text { Rank reduction in } 6 \mathrm{D}=8,12,14,15, \ldots, 20 \tag{8.4.9}
\end{equation*}
$$

which clearly fits into a pattern; the gaps are 8, 4, 2 and 1, and in particular, odd rank reductions are now possible. This pattern would be perfect if there existed a theory in eight dimensions with rank $18-12=6$, such as a decompactification of the heterotic $\mathbb{Z}_{3}$-triple. However, all evidence suggests that it does not exist (in particular since string universality has already been claimed to hold in eight dimensions 62, 72.) In any case, it would be interesting to understand this pattern in the rank reductions.

## Chapter 9

## Conclusions

In this thesis we have revisited the string landscape in its half-maximally supersymmetric regime and have explicitly computed all the possible non-Abelian gauge symmetry enhancements in dimensions six and higher. This was done for heterotic strings in seven and higher dimensions in Chapters 2, 3 and 4, where all possible asymmetric orbifold backgrounds were considered. These results were obtained using algorithmic techniques and their exhaustivity was inferred using duality arguments. However, in Chapter 8 we later saw that these results could be recovered in an exact manner in six dimensions, which includes the case of seven dimensions through circle compactification.

We have also worked out various rank reduction maps acting at the level of gauge symmetries in the standard component of the moduli space, producing every other gauge group in the remaining components. In this way we have not only verified the results of our algorithmic computations but also gotten insight into how the various moduli space components are related. This led to a revisiting of the singularity freezing mechanism in F/M theory in Chapter 5 where new moduli space components were found in seven to nine spacetime dimensions. The stringy description of these new vacua was given in Chapter 6, by turning on discrete theta angles in various previously known string backgrounds. Surprisingly, we found that these new theories have an incomplete spectrum of BPS strings.

We found the rank reduction map for heterotic strings in six dimensions in Chapter 7, and found that it acts on the gauge symmetry groups in a manner dependant on their topology. In Chapter 8 we demonstrated through various means that this map in fact extends naturally to every other known component in the moduli space, many of which have to be realized using type II and not heterotic strings. We proposed a classification of moduli space components based on the classification of meromorphic CFTs with central charge 24 of Schellekens, and other arguments pertaining to orbifolds which are not cyclic.

Since we have restricted our attention to theories with 16 supercharges, it seems natural to ask if the ideas found to work well in this setting can generalize to less supersymmetric
scenarios. Although breaking supersymmetry changes radically the structure of the moduli space, we expect that analogous structures might be found. For example, frozen singularities are allowed in Calabi-Yau manifolds with complex dimension higher than 2 64]. Hopefully it will be possible to understand these more complicated sectors of the string landscape from the point of view advocated here, namely at the level of structures which are somewhat insensitive to the stringy microscopics. Perhaps it would be possible to understand these features in connection with Swampland conjectures.

## Appendix A

## Complements to Chapter 2

## A. 1 Notation and basics concerning lattices

$\underline{L \text {, even positive definite lattice of rank } r}$
Typically $L$ will be the sum of ADE root lattices. There is a basis formed by roots $\alpha_{i}$ with $\alpha_{i}^{2}=2$. The Gram matrix of $L$ has elements $\alpha_{i} \cdot \alpha_{j}$. It is equal to the Cartan matrix when $L$ is the root lattice of an ADE group.
$\underline{d(L)}$, discriminant of $L$
It is defined to be the determinant of the Gram matrix of $L$. By assumption $d(L) \neq 0$.
$\underline{L^{*} \text {, dual lattice }}$
Lattice generated by the weights $w_{i}$ defined by $w_{i} \cdot \alpha_{j}=\delta_{i j}$. Clearly $L \subset L^{*}$.
$\underline{A_{L} \text {, discriminant group }}$
It is defined as $A_{L}=L^{*} / L$, also named $D_{L}$ or $G_{L}$ in the literature.
It can be shown that $A_{L}$ is a finite Abelian group of order $d(L)$.
Since $\mathrm{E}_{8}$ is unimodular, its discriminant group is trivial. For $L=\mathrm{A}_{n}, \mathrm{D}_{2 m+1}, \mathrm{D}_{2 m}, \mathrm{E}_{6}, \mathrm{E}_{7}$, $A_{L} \cong \mathbb{Z}_{n+1}, \mathbb{Z}_{4}, \mathbb{Z}_{2} \times \mathbb{Z}_{2}, \mathbb{Z}_{3}, \mathbb{Z}_{2}$.
$\ell\left(A_{L}\right)$, minimal number of generators of $A_{L}$
For example, for $L=2 \mathrm{E}_{6}+\mathrm{A}_{6}, \ell\left(A_{L}\right)=2$, because $\mathbb{Z}_{3} \times \mathbb{Z}_{3} \times \mathbb{Z}_{7} \sim \mathbb{Z}_{3} \times \mathbb{Z}_{21}$. Notice that $\ell\left(A_{L}\right) \leq r$.
$q_{L}$, discriminant quadratic form
It is a map $q_{L}: A_{L} \rightarrow \mathbb{Q} / 2 \mathbb{Z}, x+L \mapsto x^{2} \bmod 2$.
For example for $L=\mathrm{A}_{n}, A_{L}=\mathbb{Z}_{n+1}$ is generated by the class of the fundamental weight $\left[w_{1}\right]$. Thus $q_{L}\left(\left[w_{1}\right]\right)=w_{1}^{2}=\frac{n}{n+1}$, whereas $q_{L}\left(\left[w_{j}\right]\right)=w_{j}^{2}=\frac{j(n+1-j)}{n+1}=\frac{j^{2} n}{n+1}$, with equalities mod 2.

For $L=\mathrm{D}_{2 m+1}, A_{L}=\mathbb{Z}_{4}$ is generated by the spinor class $[s]$ with $q_{L}([s])=\frac{2 m+1}{4}$.

For $\mathrm{D}_{2 m}, A_{L}=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$. One $\mathbb{Z}_{2}$ is generated by the spinor class $[s]$ with $q_{L}([s])=\frac{m}{2}$, and the other $\mathbb{Z}_{2}$ by the vector class $[v]$ with $q_{L}([v])=1$.

For $\mathrm{E}_{6}, A_{L}=\mathbb{Z}_{3}$ is generated by the fundamental weights of $[\mathbf{2 7}]$ with $q_{L}([\mathbf{2 7}])=\frac{4}{3}$.
For $\mathrm{E}_{7}, A_{L}=\mathbb{Z}_{2}$ is generated by the fundamental weights of $[\mathbf{5 6}]$ with $q_{L}([\mathbf{5 6}])=\frac{3}{2}$.

## $T$, even positive definite lattice of rank $d$

It is characterized by the Gram matrix $(Q)_{i j}=u_{i} \cdot u_{j}$, where $u_{i}$ are the basis vectors.
A generic even 1 dimensional lattice, denoted $\mathrm{A}_{1}\langle m\rangle$, is a multiple by $m$ of the $\mathrm{A}_{1}$ lattice. It is generated by a vector $u_{1}$ with $u_{1}^{2}=2 m$ and has discriminant group $\mathbb{Z}_{2 m}$, in turn generated by $\left(u_{1}^{*}\right)^{2}=\frac{1}{2 m}$.

We will mostly consider $d=2$ and as in [56], represent $Q$ as $\left[u_{1}^{2}, u_{1} \cdot u_{2}, u_{2}^{2}\right]$. For classification of even 2-dimensional lattices see chapter 15 in [29], and section 2 in [56] for a short account. $Q$ can be brought to Smith normal form $\operatorname{diag}\left(s_{1}, s_{2}\right)$, with positive integer entries. Then $A_{T} \cong \mathbb{Z}_{s_{1}} \times \mathbb{Z}_{s_{2}}$. Notice that if $s_{1}$ and $s_{2}$ are coprimes then $A_{T} \cong \mathbb{Z}_{s_{1} s_{2}}$. We will also need to compute the discriminant form $q_{T}$. From $Q^{-1}$ we can read off $u_{i}^{*} \cdot u_{j}^{*}$, where $u_{1}^{*}, u_{2}^{*}$ are the basis vectors of the dual lattice $T^{*}$. Besides, $Q^{-1}$ gives the $e_{i}^{*}$ in terms of $e_{i}$. With this data we can then find the generators of $A_{T}$ and derive $q_{T}$. For example, for $T$ with $Q=[2,1,4]$, $A_{T} \cong \mathbb{Z}_{7}$ and $Q^{-1}=\left[\frac{4}{7},-\frac{1}{7}, \frac{2}{7}\right]$. The generator of $A_{T}$ can be taken to be $u_{2}^{*}$ which satisfies $7 u_{2}^{*}=-u_{1}+2 u_{2} \in T$, and has the lowest norm. Then $q_{T}$ takes values $\frac{2 j^{2}}{7} \bmod 2, j=0, \ldots, 6$. $\underline{H_{L}, \text { isotropic subgroup of } A_{L}}$
$H_{L} \subset A_{L}$ is isotropic if $\left.q_{L}\right|_{H}=0$.
For instance, for $L=\mathrm{A}_{8}$, with $A_{L}=\mathbb{Z}_{9}$, the subgroup $H_{L}=\mathbb{Z}_{3}$ generated by $w_{3} \sim 3 w_{1}$ is isotropic because $q_{L}\left(\left[w_{3}\right]\right)=\frac{18}{9}=2=0 \bmod 2$.

Another example is $L=\mathrm{D}_{8}$, with $A_{L}=\mathbb{Z}_{2} \times \mathbb{Z}_{2}$. Now there is an isotropic $H_{L}=\mathbb{Z}_{2}$ generated by the spinor class with $s^{2}=\frac{8}{4}=2=0 \bmod 2$.

An important example is $L=\mathrm{D}_{16}$ which has an isotropic group $H_{L}=\mathbb{Z}_{2}$ generated by the spinor weight with $s^{2}=\frac{16}{4}=4=0 \bmod 2$.

## Orthogonal complement

Given a sublattice $S$ of $\Gamma, S \subset \Gamma$, the orthogonal complement of $S$ in $\Gamma$ is defined to be the set $S^{\perp}=\{x \in \Gamma \mid x . y=0 \forall y \in S\}$.

M, overlattice of $L$
If $L \subset M$ and the index $[M: L]$ is finite then $M$ is an overlattice of $L$. This means that $M$ and $L$ have the same rank. In fact, $[M: L]^{2}=d(L) / d(M)$. The index is also denoted by $|M / L|$.

The important Proposition 1.4.1 of Nikulin states that the set of even overlattices of $L$ corresponds bijectively with the set of isotropic subgroups of $A_{L}$ [21]. The overlattice corresponding to $H_{L}$ can be constructed as $M_{H}=\left\{x \in L^{*} \mid[x \bmod L] \in H_{L}\right\}$. (see e.g.
proposition $\alpha$ in [24). This means that the elements of $M_{H}$ are weights that can be written as roots plus generators in $H_{L}$. Besides, the discriminant form $q_{M_{H}}$ is given by the discriminant form $q_{L}$ restricted to $H_{L}^{\perp} / H_{L}$. Orthogonality is defined with respect to the bilinear quadratic form $b_{L}$ [24]. In practice, $y \in H_{L}^{\perp}$ if $y \in A_{L}$ and $y \cdot x=$ integer for all $x \in H_{L}$. To avoid cluttering we will drop the subscript in $M_{H}$ when $H_{L}$ has been specified.

As an example, take $L=\mathrm{A}_{8}$ and $H_{L}=\mathbb{Z}_{3}$ so that $M / L \cong \mathbb{Z}_{3}$ and $d(M)=\frac{9}{3^{2}}=1$. Then $M$ has elements $x=y+n w_{3}$, with $y \in L$ and $n=0,1,2$. It can be shown that this $M$ is isomorphic to $\mathrm{E}_{8}$, which is the unique rank 8 even unimodular lattice.

For $L=\mathrm{D}_{8}$ the overlattice associated to $H_{L}=\mathbb{Z}_{2}$ has elements $x=y+n s$, with $y \in L$ and $n=0,1$. This is nothing but $\mathrm{E}_{8}$, as expected since the overlattice has $d(M)=\frac{4}{2^{2}}=1$.

For $L=\mathrm{D}_{16}$ the overlattice corresponding to $H_{L}=\mathbb{Z}_{2}$ is the even unimodular lattice $\Gamma_{16}$ with elements $x=y+n s$, with $y \in L$ and $n=0,1$. Unimodularity follows from $M / L \cong \mathbb{Z}_{2}$ implying $d(M)=\frac{4}{2^{2}}=1 . \Gamma_{16}$ is the HO lattice.
$M_{\text {root }}$, root sublattice of $M$
It is the sublattice of $M$ generated by roots, i.e. by vectors of norm 2 .
For example, for the overlattice of $L=\mathrm{D}_{16}, M_{\text {root }}=L$. For $L=\mathrm{D}_{8}$ this is not the case because the overlattice $\mathrm{E}_{8}$ has many more roots. This reflects the fact that for $\mathrm{D}_{8}$ the additional element $s$ in the overlattice has $s^{2}=2$.

## Primitive embedding

A lattice $S$ is primitively embedded in another lattice $\Gamma$ if $S \subset \Gamma$ and $\Gamma / S$ is torsion-free.
For example, $\mathrm{A}_{8} \subset \mathrm{E}_{8}$ but the embedding is not primitive because $\mathrm{E}_{8} / \mathrm{A}_{8} \cong \mathbb{Z}_{3}$ as explained above. An example of primitive embedding is $\mathrm{A}_{3} \subset \mathrm{E}_{8}$. Since $\mathrm{A}_{3}$ has rank 3 and $\mathrm{E}_{8}$ is even unimodular, this follows from Theorem 1.12 .4 of Nikulin [21] quoted below. It can then be shown that $D_{5} \subset E_{8}$ is primitive because $D_{5}$ is the orthogonal complement of $A_{3}$ in $E_{8}$, and also that $\mathrm{E}_{8}$ is an overlattice of $\mathrm{D}_{5}+\mathrm{A}_{3}$.

Nikulin's Theorem 1.12.4 [21]
Every even lattice of signature $\left(t_{(+)}, t_{(-)}\right)$admits a primitive embedding in an even unimodular lattice of signature $\left(l_{(+)}, l_{(-)}\right)$, with $l_{(+)}-l_{(-)} \equiv 0 \bmod 8$, if

$$
\begin{equation*}
t_{(+)} \leq l_{(+)}, \quad t_{(-)} \leq l_{(-)}, \quad t_{(+)}+t_{(-)} \leq \frac{1}{2}\left(l_{(+)}+l_{(-)}\right) . \tag{A.1.1}
\end{equation*}
$$

In particular, if $r \leq(8+d)$ then $L$ of signature $(r, 0)$ admits a primitive embedding in $\mathrm{II}_{d+16, d}$.

## A. 2 Complements to section 2.2

In this appendix we present some additional material for the discussion of the lattice embedding formalism.

## A.2.1 Embeddings of groups with rank $r<d+16$

The problem is now to embed $L$ of signature $(r, 0), r<d+16$, in the even unimodular Narain lattice $\mathrm{II}_{d+16, d}$. In this case there are also three criteria that read

## Criterion 1, from Corollary 1.12.3 [21]

If $\ell\left(A_{L}\right)<16+2 d-r$ then $L$ has an embedding in $\mathrm{II}_{d+16, d}$.

## Criterion 2, from Theorem 1.12.2(c) [21]

$L$ has a primitive embedding in $\mathrm{I}_{d+16, d}$ if and only if there exists a lattice $T$ of signature $(d, d+16-r)$ such that $\left(A_{T}, q_{T}\right)$ is isomorphic to $\left(A_{L}, q_{L}\right)$.

## Criterion 3, from Theorem 7.1 [20]

$L$ has an embedding in $\mathrm{I}_{d+16, d}$ if and only if $L$ has an overlattice $M$ with the following properties:
(i) there exists an even lattice $T$ of signature $(d, d+16-r)$ such that $\left(A_{T}, q_{T}\right)$ is isomorphic to $\left(A_{M}, q_{M}\right)$,
(ii) the sublattice $M_{\text {root }}$ of $M$ coincides with $L$.

Recall that Theorem 1.12.4 [21] further implies that when $r \leq(8+d)$ there is always a primitive embedding. The above criteria clearly reduce to those in section 2.2.1 setting $r=d+16$. The lattice $T$ now has indefinite signature so the application would be more complicated.

## A.2.2 More on the complementary lattice $T$ of signature ( $d, 0$ )

In section 2.2 .2 we have argued that $T=K\langle-1\rangle$. To complete the proof that $\left(A_{M}, q_{M}\right) \cong$ $\left(A_{K},-q_{K}\right)$ we can use the following theorem of [29]: Let $L_{1}$ and $L_{2}$ be two sublattices of a unimodular lattice $L_{3}$ such that ${ }^{1}$

$$
L_{1} \oplus L_{2} \subset L_{3}, \quad L_{1}=\left(L_{1} \otimes \mathbb{R}\right) \cap L_{3}, \quad L_{2}=\left(L_{2} \otimes \mathbb{R}\right) \cap L_{3}
$$

Then the discriminant groups $L_{1}^{*} / L_{1}$ and $L_{2}^{*} / L_{2}$ are isomorphic. The isomorphism is given by $y_{1}+L_{1} \rightarrow y_{2}+L_{2}$, where $y_{1} \in L_{1}^{*} / L_{1}$ and $y_{2} \in L_{2}^{*} / L_{2}$, whenever $y=y_{1}+y_{2}$ generates an isotropic subgroup of $L_{1} \oplus L_{2}$.

To apply this theorem to our problem we take $L_{1}=M, L_{2}=K$, and $L_{3}=\mathrm{I}_{d, d+16}$, with $K$ and $M$ given in (2.2.3) and 2.2.8. We have $M \otimes \mathbb{R}=\mathbb{R}^{0, d+16}$ and $K \otimes \mathbb{R}=\mathbb{R}^{d, 0}$. Moreover, $\mathbb{R}^{0, d+16} \cap \mathrm{I}_{d, d+16}=M$ and $\mathbb{R}^{d, 0} \cap \mathrm{I}_{d, d+16}=K$. It follows that $M$ and $K$ have isomorphic discriminant groups. It remains to show that they have isomorphic discriminant forms. The Narain lattice $\mathrm{I}_{d, d+16}$ is generated by the lattice sum $M \oplus K$ together with some

[^23]isotropic vectors (glue vectors in the language of [29]). These vectors are generically of the form $y=y_{1}+y_{2}$, where $y_{1}$ and $y_{2}$ are non trivial vectors in the discriminant groups of $M$ and $K$, respectively, and are connected by the discriminant group isomorphism. Since $y$ must be even, we have $y^{2}=0 \bmod 2$. Therefore, $y_{1}^{2}+y_{2}^{2}=0 \bmod 2$, because $M$ and $K$ are orthogonal. We thus find $y_{1}^{2}=-y_{2}^{2} \bmod 2$. This shows that $q_{M} \cong-q_{K}$, and so $T$ as defined is the complementary lattice of $M$.

## A. 3 Groups of maximal enhancement in $d=1$ and $d=2$

In this appendix we present the Tables containing all the groups of maximal enhancement in one and two dimensions. The list of groups realized in $S^{1}$ compactifications of the heterotic string is displayed in Table A.1. The groups realized in $T^{2}$ compactifications of the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ heterotic string are shown in Table A.2. To simplify notation we dropped the primes in the $\mathrm{E}_{8}^{\prime}$ weights. In Table A.3 we give the realization of some of these groups in the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ theory.

| \# | $L$ | $A_{L}$ | $H_{L}$ | $T$ | $R_{\mathrm{E}}^{2}$ | $A_{\text {E }}$ | $R_{\mathrm{O}}^{2}$ | $A_{\mathrm{O}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $2 \mathrm{E}_{8}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | $\mathbb{1}$ | $\langle 1\rangle$ | 1 | $0 \times 0$ | $\frac{1}{16}$ | $\frac{1}{4}\left(\mathrm{w}_{7}+\mathrm{w}_{9}\right)$ |
| 2 | $\mathrm{E}_{8}+\mathrm{E}_{7}+\mathrm{A}_{2}$ | $\mathbb{Z}_{6}$ | $\mathbb{1}$ | $\langle 3\rangle$ | $\frac{3}{4}$ | $\frac{1}{2} w_{6} \times 0$ | $\frac{1}{12}$ | $\frac{1}{6}\left(\mathrm{w}_{6}+2 \mathrm{w}_{9}\right)$ |
| 3 | $\mathrm{E}_{8}+\mathrm{E}_{6}+\mathrm{A}_{3}$ | $\mathbb{Z}_{12}$ | $\mathbb{1}$ | $\langle 6\rangle$ | $\frac{2}{3}$ | $\frac{1}{3} w_{5} \times 0$ | $\frac{3}{32}$ | $\frac{1}{8}\left(\mathrm{w}_{5}+3 \mathrm{w}_{9}\right)$ |
| 4 | $\mathrm{E}_{8}+\mathrm{D}_{9}$ | $\mathbb{Z}_{4}$ | $\mathbb{1}$ | $\langle 2\rangle$ | $\frac{1}{2}$ | $0 \times \frac{1}{2} w_{8}$ | $\frac{1}{8}$ | $\frac{1}{2} \mathrm{w}_{7}$ |
| 5 | $\mathrm{E}_{8}+\mathrm{D}_{5}+\mathrm{A}_{4}$ | $\mathbb{Z}_{20}$ | $\mathbb{1}$ | $\langle 10\rangle$ | $\frac{5}{8}$ | $\frac{1}{4} w_{4} \times 0$ | $\frac{1}{10}$ | $\frac{1}{10}\left(\mathrm{w}_{4}+4 \mathrm{w}_{9}\right)$ |
| 6 | $\mathrm{E}_{8}+\mathrm{A}_{9}$ | $\mathbb{Z}_{10}$ | $\mathbb{1}$ | $\langle 5\rangle$ | $\frac{5}{9}$ | $0 \times \frac{1}{3} w_{1}$ | $\frac{5}{49}$ | $\frac{1}{7}\left(3 \mathrm{w}_{7}+\mathrm{w}_{15}\right)$ |
| 7 | $\mathrm{E}_{8}+\mathrm{A}_{8}+\mathrm{A}_{1}$ | $\mathbb{Z}_{18}$ | $\mathbb{1}$ | $\langle 9\rangle$ | $\frac{9}{16}$ | $\frac{1}{4} w_{7} \times 0$ | $\frac{1}{9}$ | $\frac{4}{9} \mathrm{w}_{9}$ |
| 8 | $\mathrm{E}_{8}+\mathrm{A}_{6}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{42}$ | $\mathbb{1}$ | $\langle 21\rangle$ | $\frac{7}{12}$ | $\frac{1}{6} w_{2} \times 0$ | $\frac{3}{28}$ | $\frac{1}{14}\left(\mathrm{w}_{2}+6 \mathrm{w}_{9}\right)$ |
| 9 | $\mathrm{E}_{8}+\mathrm{A}_{5}+\mathrm{A}_{4}$ | $\mathbb{Z}_{30}$ | $\mathbb{1}$ | $\langle 15\rangle$ | $\frac{3}{5}$ | $\frac{1}{5} w_{3} \times 0$ | $\frac{5}{48}$ | $\frac{1}{12}\left(\mathrm{w}_{3}+5 \mathrm{w}_{9}\right)$ |
| 10 | $\mathrm{E}_{7}+\mathrm{E}_{7}+\mathrm{A}_{3}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{4}$ | $\mathbb{Z}_{2}$ | $\langle 2\rangle$ | $\frac{1}{2}$ | $\frac{1}{2} w_{6} \times \frac{1}{2} w_{6}$ | $\frac{1}{8}$ | $\frac{1}{4}\left(\mathrm{w}_{6}+\mathrm{w}_{10}\right)$ |
| 11 | $\mathrm{E}_{7}+\mathrm{E}_{6}+\mathrm{A}_{4}$ | $\mathbb{Z}_{30}$ | $\mathbb{1}$ | $\langle 15\rangle$ | $\frac{5}{12}$ | $\frac{1}{3} w_{5} \times \frac{1}{2} w_{6}$ | $\frac{3}{20}$ | $\frac{1}{10}\left(2 \mathrm{w}_{5}+3 \mathrm{w}_{10}\right)$ |
| 12 | $\mathrm{E}_{7}+\mathrm{D}_{10}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ | $\langle 1\rangle$ | $\frac{1}{4}$ | $\frac{1}{2} w_{6} \times \frac{1}{2} w_{8}^{\prime}$ | $\frac{1}{4}$ | $\frac{1}{2} \mathrm{w}_{6}$ |
| 13 | $\mathrm{E}_{7}+\mathrm{D}_{5}+\mathrm{A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{4} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{2}$ | $\langle 6\rangle$ | $\frac{3}{8}$ | $\frac{1}{4} w_{4} \times \frac{1}{2} w_{6}$ | $\frac{1}{6}$ | $\frac{1}{6}\left(\mathrm{w}_{4}+2 \mathrm{w}_{10}\right)$ |
| 14 | $\mathrm{E}_{7}+\mathrm{A}_{10}$ | $\mathbb{Z}_{22}$ | $\mathbb{1}$ | $\langle 11\rangle$ | $\frac{11}{36}$ | $\frac{1}{2} w_{6} \times \frac{1}{3} w_{1}$ | $\frac{11}{64}$ | $\frac{1}{8}\left(3 \mathrm{w}_{6}+2 \mathrm{w}_{15}\right)$ |
| 15 | $\mathrm{E}_{7}+\mathrm{A}_{9}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{10}$ | $\mathbb{Z}_{2}$ | $\langle 5\rangle$ | $\frac{5}{16}$ | $\frac{1}{4} w_{7} \times \frac{1}{2} w_{6}$ | $\frac{1}{5}$ | $\frac{2}{5} \mathrm{w}_{10}$ |
| 16 | $\mathrm{E}_{7}+\mathrm{A}_{7}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{6} \times \mathbb{Z}_{8}$ | $\mathbb{Z}_{2}$ | <12> | $\frac{1}{3}$ | $\frac{1}{6} w_{2} \times \frac{1}{2} w_{6}$ | $\frac{3}{16}$ | $\frac{1}{8}\left(\mathrm{w}_{2}+3 \mathrm{w}_{10}\right)$ |
| 17 | $\mathrm{E}_{7}+\mathrm{A}_{6}+\mathrm{A}_{4}$ | $\mathbb{Z}_{70}$ | $\mathbb{1}$ | <35> | $\frac{7}{20}$ | $\frac{1}{5} w_{3} \times \frac{1}{2} w_{6}$ | $\frac{5}{28}$ | $\frac{1}{14}\left(2 \mathrm{w}_{3}+5 \mathrm{w}_{10}\right)$ |
| 18 | $\mathrm{E}_{6}+\mathrm{E}_{6}+\mathrm{A}_{5}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{3} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{3}$ | $\langle 3\rangle$ | $\frac{1}{3}$ | $\frac{1}{3} w_{5} \times \frac{1}{3} w_{5}$ | $\frac{3}{16}$ | $\frac{1}{4}\left(\mathrm{w}_{5}+\mathrm{w}_{11}\right)$ |
| 19 | $\mathrm{E}_{6}+\mathrm{D}_{11}$ | $\mathbb{Z}_{12}$ | $\mathbb{1}$ | $\langle 6\rangle$ | $\frac{1}{6}$ | $\frac{1}{3} w_{5} \times \frac{1}{2} w_{8}$ | $\frac{3}{8}$ | $\frac{1}{2} \mathrm{w}_{5}$ |


| 20 | $\mathrm{E}_{6}+\mathrm{D}_{5}+\mathrm{A}_{6}$ | $\mathbb{Z}_{84}$ | $\mathbb{1}$ | 〈42> | $\frac{7}{24}$ | $\frac{1}{4} w_{4} \times \frac{1}{3} w_{5}$ | $\frac{3}{14}$ | $\frac{1}{14}\left(3 \mathrm{w}_{4}+4 \mathrm{w}_{11}\right)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 21 | $\mathrm{E}_{6}+\mathrm{A}_{11}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{12}$ | $\mathbb{Z}_{3}$ | $\langle 2\rangle$ | $\frac{2}{9}$ | $\frac{1}{3} w_{1} \times \frac{1}{3} w_{5}$ | $\frac{2}{9}$ | $\frac{1}{3}\left(\mathrm{w}_{1}+\mathrm{w}_{11}\right)$ |
| 22 | $\mathrm{E}_{6}+\mathrm{A}_{10}+\mathrm{A}_{1}$ | $\mathbb{Z}_{66}$ | 1 | $\langle 33\rangle$ | $\frac{11}{48}$ | $\frac{1}{4} w_{7} \times \frac{1}{3} w_{5}$ | $\frac{3}{11}$ | $\frac{4}{11} \mathrm{w}_{11}$ |
| 23 | $\mathrm{E}_{6}+\mathrm{A}_{8}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{6} \times \mathbb{Z}_{9}$ | $\mathbb{Z}_{3}$ | $\langle 9\rangle$ | $\frac{1}{4}$ | $\frac{1}{6} w_{2} \times \frac{1}{3} w_{5}$ | $\frac{1}{4}$ | $\frac{1}{6}\left(\mathrm{w}_{2}+2 \mathrm{w}_{11}\right)$ |
| 24 | $\mathrm{E}_{6}+\mathrm{A}_{7}+\mathrm{A}_{4}$ | $\mathbb{Z}_{120}$ | $\mathbb{1}$ | $\langle 60\rangle$ | $\frac{4}{15}$ | $\frac{1}{5} w_{3} \times \frac{1}{3} w_{5}$ | $\frac{15}{64}$ | $\frac{1}{16}\left(3 \mathrm{w}_{3}+5 \mathrm{w}_{11}\right)$ |
| 25 | $\mathrm{D}_{17}$ | $\mathbb{Z}_{4}$ | 1 | $\langle 2\rangle$ | $\frac{1}{18}$ | $\frac{1}{3} w_{1} \times \frac{1}{2} w_{8}$ | $\frac{1}{2}$ | $\mathrm{w}_{1}$ |
| 26 | $\mathrm{D}_{16}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ | $\langle 1\rangle$ | $\frac{1}{16}$ | $\frac{1}{4} w_{7} \times \frac{1}{2} w_{8}$ | 1 | 0 |
| 27 | $\mathrm{D}_{14}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{2}$ | $\langle 3\rangle$ | $\frac{1}{12}$ | $\frac{1}{6} w_{2} \times \frac{1}{2} w_{8}$ | $\frac{3}{4}$ | $\frac{1}{2} \mathrm{w}_{2}$ |
| 28 | $\mathrm{D}_{13}+\mathrm{A}_{4}$ | $\mathbb{Z}_{20}$ | $\mathbb{1}$ | $\langle 10\rangle$ | $\frac{1}{10}$ | $\frac{1}{5} w_{3} \times \frac{1}{2} w_{8}$ | $\frac{5}{8}$ | $\frac{1}{2} \mathrm{w}_{3}$ |
| 29 | $\mathrm{D}_{12}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2} \times \mathbb{Z}_{4}$ | $\mathbb{Z}_{2}$ | $\langle 2\rangle$ | $\frac{1}{8}$ | $\frac{1}{4} w_{4} \times \frac{1}{2} w_{8}$ | $\frac{1}{2}$ | $\frac{1}{2} \mathrm{w}_{4}$ |
| 30 | $\mathrm{D}_{5}+\mathrm{A}_{12}$ | $\mathbb{Z}_{52}$ | 1 | $\langle 26\rangle$ | $\frac{13}{72}$ | $\frac{1}{4} w_{4} \times \frac{1}{3} w_{1}$ | $\frac{13}{50}$ | $\frac{1}{10}\left(3 \mathrm{w}_{4}+4 \mathrm{w}_{15}\right)$ |
| 31 | $\mathrm{D}_{5}+\mathrm{A}_{11}+\mathrm{A}_{1}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{12} \times \mathbb{Z}_{2}$ | $\mathbb{Z}_{4}$ | $\langle 3\rangle$ | $\frac{3}{16}$ | $\frac{1}{4} w_{7} \times \frac{1}{4} w_{4}$ | $\frac{1}{3}$ | $\frac{1}{3} \mathrm{w}_{12}$ |
| 32 | $\mathrm{D}_{5}+\mathrm{A}_{9}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{10} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{2}$ | $\langle 30\rangle$ | $\frac{5}{24}$ | $\frac{1}{6} w_{2} \times \frac{1}{4} w_{4}$ | $\frac{3}{10}$ | $\frac{1}{10}\left(2 \mathrm{w}_{2}+3 \mathrm{w}_{12}\right)$ |
| 33 | $\mathrm{D}_{5}+\mathrm{A}_{8}+\mathrm{A}_{4}$ | $\mathbb{Z}_{180}$ | $\mathbb{1}$ | $\langle 90\rangle$ | $\frac{9}{40}$ | $\frac{1}{5} w_{3} \times \frac{1}{4} w_{4}$ | $\frac{5}{18}$ | $\frac{1}{18}\left(4 \mathrm{w}_{3}+5 \mathrm{w}_{12}\right)$ |
| 34 | $\mathrm{D}_{5}+\mathrm{D}_{5}+\mathrm{A}_{7}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{4} \times \mathbb{Z}_{8}$ | $\mathbb{Z}_{4}$ | $\langle 4\rangle$ | $\frac{1}{4}$ | $\frac{1}{4} w_{4} \times \frac{1}{4} w_{4}$ | $\frac{1}{4}$ | $\frac{1}{4}\left(\mathrm{w}_{4}+\mathrm{w}_{12}\right)$ |
| 35 | $\mathrm{A}_{17}$ | $\mathbb{Z}_{18}$ | $\mathbb{Z}_{3}$ | $\langle 1\rangle$ | $\frac{1}{9}$ | $\frac{1}{3} w_{1} \times \frac{1}{3} w_{1}$ | $\frac{1}{4}$ | $\frac{1}{2}\left(\mathrm{w}_{1}+\mathrm{w}_{15}\right)$ |
| 36 | $\mathrm{A}_{16}+\mathrm{A}_{1}$ | $\mathbb{Z}_{34}$ | 1 | $\langle 17\rangle$ | $\frac{17}{144}$ | $\frac{1}{4} w_{7} \times \frac{1}{3} w_{1}$ | $\frac{17}{49}$ | $\frac{4}{7} \mathrm{w}_{15}$ |
| 37 | $\mathrm{A}_{15}+\mathrm{A}_{1}+\mathrm{A}_{1}$ | $\mathbb{Z}_{16} \times \mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | $\mathbb{Z}_{4}$ | $\langle 2\rangle$ | $\frac{1}{8}$ | $\frac{1}{4} w_{7} \times \frac{1}{4} w_{7}$ | $\frac{1}{2}$ | $\frac{1}{2} \mathrm{w}_{16}$ |
| 38 | $\mathrm{A}_{14}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{15} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{3}$ | $\langle 5\rangle$ | $\frac{5}{36}$ | $\frac{1}{3} w_{1} \times \frac{1}{6} w_{2}$ | $\frac{5}{16}$ | $\frac{1}{4}\left(2 \mathrm{w}_{1}+\mathrm{w}_{14}\right)$ |
| 39 | $\mathrm{A}_{13}+\mathrm{A}_{4}$ | $\mathbb{Z}_{70}$ | $\mathbb{1}$ | $\langle 35\rangle$ | $\frac{7}{45}$ | $\frac{1}{5} w_{3} \times \frac{1}{3} w_{1}$ | $\frac{35}{121}$ | $\frac{1}{11}\left(3 \mathrm{w}_{3}+5 \mathrm{w}_{15}\right)$ |
| 40 | $\mathrm{A}_{13}+\mathrm{A}_{2}+2 \mathrm{~A}_{1}$ | $\mathbb{Z}_{14} \times \mathbb{Z}_{6} \times \mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ | $\langle 21\rangle$ | $\frac{7}{48}$ | $\frac{1}{4} w_{7} \times \frac{1}{6} w_{2}$ | $\frac{3}{7}$ | $\frac{2}{7} \mathrm{w}_{14}$ |
| 41 | $\mathrm{A}_{12}+\mathrm{A}_{4}+\mathrm{A}_{1}$ | $\mathbb{Z}_{130}$ | 1 | $\langle 65\rangle$ | $\frac{13}{80}$ | $\frac{1}{5} w_{3} \times \frac{1}{4} w_{7}$ | $\frac{5}{13}$ | $\frac{1}{13}\left(4 \mathrm{w}_{3}+5 \mathrm{w}_{16}\right)$ |
| 42 | $\mathrm{A}_{11}+2 \mathrm{~A}_{2}+2 \mathrm{~A}_{1}$ | $\mathbb{Z}_{12} \times \mathbb{Z}_{6} \times \mathbb{Z}_{6}$ | $\mathbb{Z}_{6}$ | $\langle 6\rangle$ | $\frac{1}{6}$ | $\frac{1}{6} w_{2} \times \frac{1}{6} w_{2}$ | $\frac{3}{8}$ | $\frac{1}{4}\left(\mathrm{w}_{2}+\mathrm{w}_{14}\right)$ |
| 43 | $\mathrm{A}_{10}+\mathrm{A}_{4}+\mathrm{A}_{2}+\mathrm{A}_{1}$ | $\mathbb{Z}_{330}$ | $\mathbb{1}$ | $\langle 165\rangle$ | $\frac{11}{60}$ | $\frac{1}{5} w_{3} \times \frac{1}{6} w_{2}$ | $\frac{15}{44}$ | $\frac{1}{22}\left(6 \mathrm{w}_{3}+5 \mathrm{w}_{14}\right)$ |
| 44 | $\mathrm{A}_{9}+2 \mathrm{~A}_{4}$ | $\mathbb{Z}_{10} \times \mathbb{Z}_{5} \times \mathbb{Z}_{5}$ | $\mathbb{Z}_{5}$ | $\langle 5\rangle$ | $\frac{1}{5}$ | $\frac{1}{5} w_{3} \times \frac{1}{5} w_{3}$ | $\frac{5}{16}$ | $\frac{1}{4}\left(\mathrm{w}_{3}+\mathrm{w}_{13}\right)$ |

Table A.1: Data for allowed groups of maximal rank, $d=1 .\left(R_{\mathrm{E}}, A_{\mathrm{E}}\right)$ and $\left(R_{\mathrm{O}}, A_{\mathrm{O}}\right)$ are the radius and Wilson line in the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ and $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic theory.

| $\#$ | $L$ | $H_{L}$ | $T$ | $E_{11} E_{21} E_{22} E_{12}$ | $A_{1}$ | $A_{2}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $6 \mathrm{~A}_{3}$ | $\mathbb{Z}_{4} \times \mathbb{Z}_{4}$ | $[4,0,4]$ | 1 | 0 | 1 | $\frac{1}{2}$ | $\frac{w_{6}}{2} \times \frac{w_{6}}{2}$ | $\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \times\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \dagger$ |
| 2 | $2 \mathrm{~A}_{1}+4 \mathrm{~A}_{4}$ | $\mathbb{Z}_{5}$ | $[10,0,10]$ | 1 | $\frac{1}{5}$ | 1 | $-\frac{1}{5}$ | $\frac{w_{3}}{5} \times \frac{w_{3}}{5}$ | $\left(w_{1}-\frac{3 w_{3}}{5}\right) \times\left(w_{1}-\frac{3 w_{3}}{5}\right) \dagger$ |
| 3 | $2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+2 \mathrm{~A}_{4}$ | $\mathbb{1}$ | $[60,0,60]$ | 1 | 0 | $\frac{11}{12}$ | $\frac{5}{12}$ | $\frac{5}{3} \times \frac{w_{6}}{2}$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \dagger$ |
| 4 | $3 \mathrm{~A}_{1}+3 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{6}$ | $[2,0,6]$ | 1 | 0 | 1 | 0 | $\frac{w_{5}}{2}$ | $\left(\frac{w_{6}}{4}-\frac{w_{2}}{4}\right) \times\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) *$ |
| 5 | $4 \mathrm{~A}_{2}+2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{3} \times \mathbb{Z}_{3}$ | $[6,0,6]$ | 1 | 0 | 1 | 0 | $\frac{w_{8}}{2}$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right)$ |
| 6 | $\mathrm{~A}_{3}+3 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{3}$ | $[4,0,6]$ | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3}$ | $\frac{w_{5}}{3} \times \frac{w_{5}}{3}$ |
| 7 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}+2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | $[12,0,12]$ | 1 | 0 | 1 | 0 | $\left.\frac{w_{1}}{2}-\frac{w_{5}}{2}\right) \times\left(\frac{w_{1}}{2}-\frac{w_{5}}{2}\right)$ |  |
| 8 | $\mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}+2 \mathrm{~A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{3}$ | $[6,0,12]$ | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2}$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right)$ |
| 9 | $2 \mathrm{~A}_{4}+2 \mathrm{~A}_{5}$ | $\mathbb{1}$ | $[30,0,30]$ | 1 | 0 | 1 | 0 | $\left(\frac{w_{5}}{3}-\frac{2 w_{5}}{3}\right) \times\left(\frac{w_{3}}{3}-\frac{w_{2}}{3}\right) *$ |  |



| 55 | $\mathrm{A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{3}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [4, 0, 60] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 56 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [ $6,0,60$ ] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{4}}{4}$ | $0 \times\left(\frac{w_{4}}{2}-\frac{w_{7}}{2}\right)$ |
| 57 | $\mathrm{A}_{1}+2 \mathrm{~A}_{4}+\mathrm{A}_{9}$ | $\mathbb{Z}_{5}$ | [2, 0, 10] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{3}}{5}$ | $0 \times 0$ |
| 58 | $3 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{4}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [20, 10, 20] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{4}}{4}$ | $0 \times\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right)$ |
| 59 | $2 \mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{4}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [10, 0, 20] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \times 0$ |
| 60 | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{5}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [12, 6, 18] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) \times \frac{w_{6}}{2}$ |
| 61 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{5}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [10, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) \times 0$ |
| 62 | $\mathrm{A}_{4}+\mathrm{A}_{5}+\mathrm{A}_{9}$ | 1 | [10, 0, 30] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times 0$ | $0 \times \frac{w_{3}}{5}$ |
|  |  | $\mathbb{Z}_{2}$ | [10, 5, 10] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) \times \frac{w_{6}}{2}$ |
| 63 | $3 \mathrm{~A}_{1}+\mathrm{A}_{6}+\mathrm{A}_{9}$ | $\mathbb{Z}_{2}$ | [4, 2, 36] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{4}}{4}$ | $\frac{\left(w_{7}-\frac{2 w_{2}}{3}\right) \times\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right)}{0 \times \frac{w_{2}}{6}}$ |
| 64 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{6}+\mathrm{A}_{9}$ | 1 | [10, 0, 42] | 1 | 0 | 1 | 0 | $\frac{\frac{w_{1}}{3} \times 0}{w_{6} \times w_{8}}$ |  |
| 65 | $\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$ | 11 | [2, 0, 140] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{0 \times \frac{\omega_{2}}{6}}{\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) \times\left(\frac{4 w_{8}}{5}-\frac{8 w_{1}}{15}\right) *}\right.$ |
| 66 | $\mathrm{A}_{2}+\mathrm{A}_{7}+\mathrm{A}_{9}$ | 11 | [10, 0, 24] | 1 | 0 | 1 | 0 | $\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right) \times \frac{w_{6}}{2}$ | $\frac{w_{6}}{2} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ |
| 67 | $\mathrm{A}_{1}+\mathrm{A}_{8}+\mathrm{A}_{9}$ | 11 | [10, 0, 18] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times 0$ | $\frac{0 \times \frac{w_{7}}{4}}{\left(\frac{2 w_{1}}{3}-\frac{2 w_{3}}{9}\right) \times\left(w_{7}{ }^{2}{ }^{\text {a }} \text { ( }\right.}$ |
| 68 | $\mathrm{A}_{10}+\mathrm{A}_{2}+2 \mathrm{~A}_{3}$ | 1 | [24, 12, 28] | 1 | 0 | 1 | 0 | $\left(\frac{w_{3}}{8}-\frac{3 w_{1}}{8}\right) \times \frac{w_{6}}{2}$ | $\left(\frac{2 w_{1}}{3}-\frac{2 w_{3}}{9}\right) \times\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) *$ |
| 69 | $\mathrm{A}_{1}+\mathrm{A}_{10}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}$ | $\mathbb{1}$ | [12, 0, 66] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times 0$ |
| 70 | $\mathrm{A}_{10}+2 \mathrm{~A}_{4}$ | $\mathbb{1}$ | [10, 5, 30] | 1 | 0 | 1 | 0 | $\left(\frac{2 w_{4}}{5}-\frac{w_{1}}{5}\right) \times \frac{w_{3}}{5}$ | $\left(\frac{3 w_{4}}{5}-\frac{4 w_{1}}{5}\right) \times 0$ |
| 71 | $\mathrm{A}_{10}+2 \mathrm{~A}_{2}+\mathrm{A}_{4}$ | $\mathbb{1}$ | [ $6,3,84$ ] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $0 \times \frac{w_{6}}{2}$ |
|  |  |  | [24, 9, 24] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times \frac{w_{6}}{2}$ |
| 72 | $2 \mathrm{~A}_{1}+\mathrm{A}_{10}+\mathrm{A}_{2}+\mathrm{A}_{4}$ | 11 | [2, 0, 330] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{3}}{5}$ | $0 \times 0$ |
| 73 | $\mathrm{A}_{1}+\mathrm{A}_{10}+\mathrm{A}_{3}+\mathrm{A}_{4}$ | $\mathbb{1}$ | [12, 4, 38] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{3}}{5}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times 0$ |
|  |  |  | [20, 0, 22] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right)$ |
| 74 | $\mathrm{A}_{1}+\mathrm{A}_{10}+\mathrm{A}_{2}+\mathrm{A}_{5}$ | $\mathbb{1}$ | [6, 0, 66] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{1}}{2}-\frac{w_{5}}{2}\right) \times 0$ |
| 74 |  |  | [18, 6, 24] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{3}}{5}$ | $0 \times\left(w_{1}-\frac{3 w_{3}}{5}\right)$ |
| 75 | $\mathrm{A}_{10}+\mathrm{A}_{3}+\mathrm{A}_{5}$ | $\mathbb{1}$ | [4, 0, 66] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(\frac{w_{1}}{2}-\frac{w_{5}}{2}\right) \times \frac{w_{6}}{2}$ |
|  |  |  | [12, 0, 22] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right)$ |
| 76 | $2 \mathrm{~A}_{1}+\mathrm{A}_{10}+\mathrm{A}_{6}$ | 11 | [12, 2, 26] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{3}}{2}-\frac{5 w_{5}}{6}\right) \times 0$ |
| 77 | $\mathrm{A}_{10}+\mathrm{A}_{2}+\mathrm{A}_{6}$ | $\mathbb{1}$ | [4, 1, 58] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\left(w_{1}-\frac{3 w_{3}}{5}\right) \times \frac{w_{6}}{2}$ |
|  |  |  | [16, 5, 16] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ |
| 78 | $\mathrm{A}_{1}+\mathrm{A}_{10}+\mathrm{A}_{7}$ | $\mathbb{1}$ | [2, 0, 88] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{3}}{5}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times\left(w_{1}-\frac{3 w_{3}}{5}\right)$ |
|  |  |  | [10, 2, 18] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{3}}{5}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times\left(w_{8}-\frac{2 w_{3}}{5}\right)$ |
| 79 | $\mathrm{A}_{10}+\mathrm{A}_{8}$ | 1 | [10, 1, 10] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ |
| 80 | $\mathrm{A}_{1}+\mathrm{A}_{11}+3 \mathrm{~A}_{2}$ | $\mathbb{Z}_{3}$ | [6, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $0 \times \frac{w_{6}}{2}$ |
| 81 | $3 \mathrm{~A}_{1}+\mathrm{A}_{11}+2 \mathrm{~A}_{2}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{3}$ | [2, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{2}}{6}$ | $0 \times 0$ |
| 82 | $\mathrm{A}_{1}+\mathrm{A}_{11}+2 \mathrm{~A}_{3}$ | $\mathbb{Z}_{4}$ | [4, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ |
| 83 | $\mathrm{A}_{11}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}$ | $\mathbb{Z}_{3}$ | [4, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{5}}{3}$ | $0 \times\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right)$ |
|  |  | $\mathbb{Z}_{2} \times \mathbb{Z}_{3}$ | [4, 2, 4] | 1 | 0 | 1 | 0 | $\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right) \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\frac{w_{6}}{2} \times \frac{w_{6}}{2}$ |
| 84 | $2 \mathrm{~A}_{1}+\mathrm{A}_{11}+\mathrm{A}_{2}+\mathrm{A}_{3}$ | $\mathbb{Z}_{4}$ | [6, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{4}}{2}-\frac{w_{7}}{2}\right) \times 0$ |
|  |  | $\mathbb{Z}_{2}$ | [12, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{2}}{6}$ | $0 \times\left(w_{7}-\frac{2 w_{2}}{3}\right)$ |
| 85 | $3 \mathrm{~A}_{1}+\mathrm{A}_{11}+\mathrm{A}_{4}$ | $\mathbb{Z}_{2}$ | [6, 0, 20] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) \times 0$ |
| 86 | $\mathrm{A}_{1}+\mathrm{A}_{11}+\mathrm{A}_{2}+\mathrm{A}_{4}$ | $\mathbb{1}$ | [12, 0, 30] | 1 | 0 | 1 | 0 | $\left(\frac{2 w_{4}}{5}-\frac{w_{1}}{5}\right) \times \frac{w_{2}}{6}$ | $\left(\frac{3 w_{4}}{5}-\frac{4 w_{1}}{5}\right) \times 0$ |
| 87 | $2 \mathrm{~A}_{1}+\mathrm{A}_{11}+\mathrm{A}_{5}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{3}$ | [2, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{2}}{6}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times\left(w_{7}-\frac{2 w_{2}}{3}\right)$ |
|  |  | $\mathbb{Z}_{2}$ | [ $6,0,12$ ] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{2}}{6}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times\left(w_{8}-\frac{w_{2}}{3}\right)$ |
| 88 | $\mathrm{A}_{11}+\mathrm{A}_{2}+\mathrm{A}_{5}$ | $\mathbb{Z}_{3}$ | [4, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{5}}{3}$ | $0 \times\left(\frac{w_{1}}{2}-\frac{w_{5}}{2}\right)$ |
| 89 | $\mathrm{A}_{1}+\mathrm{A}_{11}+\mathrm{A}_{6}$ | $\mathbb{1}$ | [4, 0, 42] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{5}}{3}$ | $0 \times\left(\frac{w_{3}}{2}-\frac{5 w_{5}}{6}\right)$ |
| 90 | $2 \mathrm{~A}_{1}+\mathrm{A}_{12}+2 \mathrm{~A}_{2}$ | 11 | [12, 6, 42] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(\frac{2 w_{3}}{3}-\frac{5 w_{7}}{6}\right)$ | $0 \times\left(\frac{w_{3}}{3}-\frac{w_{7}}{6}\right)$ |
| 91 | $\mathrm{A}_{1}+\mathrm{A}_{12}+\mathrm{A}_{2}+\mathrm{A}_{3}$ | $\mathbb{1}$ | [6, 0, 52] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{4}}{4}$ | $0 \times\left(\frac{w_{4}}{2}-\frac{w_{7}}{2}\right)$ |
| 92 | $2 \mathrm{~A}_{1}+\mathrm{A}_{12}+\mathrm{A}_{4}$ | $\mathbb{1}$ | [2, 0, 130] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{7}}{4}$ | $0 \times 0$ |
|  |  |  | [18, 8, 18] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{4}}{4}$ | $0 \times\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right)$ |
| 93 | $\mathrm{A}_{12}+\mathrm{A}_{2}+\mathrm{A}_{4}$ | 11 | [ $6,3,34$ ] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $0 \times \frac{w_{6}}{2}$ |
| 94 | $\mathrm{A}_{1}+\mathrm{A}_{12}+\mathrm{A}_{5}$ | 11 | [10, 2, 16] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{7}}{4}$ | $\left(w_{1}-\frac{3 w_{3}}{5}\right) \times 0$ |
| 95 | $\mathrm{A}_{12}+\mathrm{A}_{6}$ | 11 | [2, 1, 46] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(w_{1}-\frac{3 w_{3}}{5}\right) \times \frac{w_{6}}{2}$ |
| 96 | $\mathrm{A}_{1}+\mathrm{A}_{13}+2 \mathrm{~A}_{2}$ | 11 | [ $6,0,42$ ] | 1 | 0 | 1 | 0 | $\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right) \times \frac{w_{7}}{4}$ | $\frac{w_{6}}{2} \times 0$ |
|  |  | $\mathbb{Z}_{2}$ | [6, 3, 12] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $0 \times \frac{w_{6}}{2}$ |
| 97 | $3 \mathrm{~A}_{1}+\mathrm{A}_{13}+\mathrm{A}_{2}$ | $\mathbb{Z}_{2}$ | [2, 0, 42] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{7}}{4}$ | $0 \times 0$ |
| 98 | $2 \mathrm{~A}_{1}+\mathrm{A}_{13}+\mathrm{A}_{3}$ | $\mathbb{Z}_{2}$ | [6, 2, 10] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{7}}{4}$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times 0$ |
| 99 | $\mathrm{A}_{13}+\mathrm{A}_{2}+\mathrm{A}_{3}$ | $\underline{1}$ | [4, 0, 42] | 1 | 0 | 1 | 0 | $\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right) \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\frac{w_{6}}{2} \times \frac{w_{6}}{2}$ |
| 100 | $\mathrm{A}_{1}+\mathrm{A}_{13}+\mathrm{A}_{4}$ | $\mathbb{1}$ | [2, 0, 70] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{3}}{5}$ | $0 \times 0$ |
|  |  |  | [8, 2, 18] | 1 | 0 | 1 | 0 | $\left(\frac{2 w_{4}}{5}-\frac{w_{1}}{5}\right) \times \frac{w_{7}}{4}$ | $\left(\frac{3 w_{4}}{5}-\frac{4 w_{1}}{5}\right) \times 0$ |
|  |  | $\mathbb{Z}_{2}$ | [2, 1, 18] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(w_{7}-\frac{2 w_{2}}{3}\right) \times \frac{w_{6}}{2}$ |



| 154 | $\mathrm{A}_{7}+\mathrm{D}_{5}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | [4, 0, 8] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{4}}{4}$ | $0 \times\left(w_{8}-\frac{w_{4}}{2}\right)$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 155 | $2 \mathrm{~A}_{2}+2 \mathrm{D}_{7}$ | 11 | [12, 0, 12] | 1 | $\begin{aligned} & 0 \\ & 0 \end{aligned}$ | $\begin{aligned} & 1 \\ & 1 \end{aligned}$ | $\begin{aligned} & \frac{1}{2} \\ & 0 \\ & \hline \end{aligned}$ | $\begin{gathered} \frac{w_{5}}{3} \times\left(\frac{w_{4}}{2}-w_{8}\right) \\ \left(\frac{w_{1}}{4}+\frac{w_{2}}{4}-\frac{w_{7}}{2}\right) \times \frac{w_{8}}{2} \end{gathered}$ | $\begin{aligned} & \left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times\left(\frac{w_{4}}{2}-\frac{w_{8}}{2}\right) \dagger \\ & \left(\frac{w_{1}}{8}+\frac{5 w_{7}}{4}-\frac{7 w_{2}}{8}\right) \times \frac{3 w_{8}}{8} * \end{aligned}$ |
| 156 | $\mathrm{A}_{2}+3 \mathrm{~A}_{3}+\mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | [8, 4, 8] | 1 | 0 | 1 | 0 | $\left(\frac{w_{6}}{3}-\frac{w_{1}}{3}\right) \times \frac{w_{5}}{3}$ | $\left(\frac{w_{1}}{3}-\frac{w_{6}}{3}\right) \times\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) *$ |
| 157 | $\mathrm{A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{4}+\mathrm{D}_{7}$ | 1 | [10, 0, 60] | 1 | 0 | 1 | 0 | $\left(\frac{w_{1}}{4}+\frac{w_{4}}{4}-\frac{w_{7}}{2}\right) \times \frac{w_{8}}{2}$ | $\left(\frac{3 w_{1}}{4}+\frac{w_{4}}{12}-\frac{w_{7}}{2}\right) \times \frac{w_{8}}{4} *$ |
| 158 | $\mathrm{A}_{2}+\mathrm{A}_{3}+\mathrm{A}_{6}+\mathrm{D}_{7}$ | 1 | [8, 4, 44] | 1 | 0 | 1 | 0 | $\left(\frac{w_{1}}{2}-\frac{w_{7}}{2}\right) \times \frac{3 w_{8}}{8}$ | $\left(\frac{2 w_{1}}{3}-\frac{w_{7}}{3}\right) \times \frac{w_{8}}{3} *$ |
| 159 | $\mathrm{A}_{1}+\mathrm{A}_{4}+\mathrm{A}_{6}+\mathrm{D}_{7}$ | 1 | [4, 0, 70] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{4}}{2}-w_{6}\right)$ |
| 160 | $\mathrm{A}_{5}+\mathrm{A}_{6}+\mathrm{D}_{7}$ | 1 | [2, 0, 84] | 1 | 0 | 1 | 0 | $\left(\frac{w_{5}}{2}-\frac{w_{1}}{2}\right) \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $\frac{w_{5}}{3} \times \frac{w_{4}}{4}$ |
| 161 | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{7}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | [4, 0, 24] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{4}}{2}-w_{6}\right)$ |
| 162 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{7}+\mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | [2, 0, 8] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{4}}{4}$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times\left(w_{8}-\frac{w_{4}}{2}\right)$ |
| 163 | $2 \mathrm{~A}_{1}+\mathrm{A}_{9}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | [4, 0, 10] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{7}}{4}$ | $\left(\frac{w_{4}}{2}-w_{6}\right) \times 0$ |
| 164 | $\mathrm{A}_{2}+\mathrm{A}_{9}+\mathrm{D}_{7}$ | 1 | [2, 0, 60] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right)$ | $\left(w_{8}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ |
| 165 | $\mathrm{A}_{1}+\mathrm{A}_{10}+\mathrm{D}_{7}$ | 11 | [4, 0, 22] | 1 | 0 | 1 | 0 | $\frac{w_{1}}{3} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{4}}{2}-w_{6}\right)$ |
| 166 | $\mathrm{A}_{11}+\mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | [2, 1, 2] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right)$ | $\left(w_{8}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ |
| 167 | $\mathrm{A}_{1}+\mathrm{A}_{5}+\mathrm{D}_{5}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | [4, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{6}}{2}$ | $0 \times\left(\frac{w_{4}}{2}-w_{6}\right)$ |
| 168 | $\mathrm{A}_{5}+\mathrm{D}_{6}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | [2, 0, 12] | 1 | 0 | 1 | 0 | $\left(w_{8}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ | $\frac{w_{4}}{4} \times\left(\frac{w_{6}}{2}-\frac{w_{8}}{2}\right)$ |
| 169 | $2 \mathrm{~A}_{1}+2 \mathrm{D}_{8}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | [2, 0, 2] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{4}}{2}-w_{6}\right) \times \frac{w_{6}}{2}$ |
| 170 | $2 \mathrm{~A}_{2}+2 \mathrm{~A}_{3}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [12, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{2}}{6}$ | $\left(\frac{w_{8}}{2}-\frac{w_{6}}{2}\right) \times\left(\frac{3 w_{3}}{4}-\frac{w_{2}}{2}\right) *$ |
| 171 | $2 \mathrm{~A}_{5}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [6, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $\left(\frac{w_{5}}{2}-\frac{w_{1}}{2}\right) \times \frac{w_{4}}{4}$ |
| 172 | $2 \mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{5}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | [2, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \times \frac{w_{6}}{2}$ |
| 173 | $\mathrm{A}_{1}+\mathrm{A}_{4}+\mathrm{A}_{5}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [2, 0, 30] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) \times \frac{w_{6}}{2}$ |
| 174 | $2 \mathrm{~A}_{2}+\mathrm{A}_{6}+\mathrm{D}_{8}$ | 1 | [12, 6, 24] | 1 | 0 | 1 | 0 | $\left(w_{8}-\frac{w_{4}}{2}\right) \times \frac{w_{5}}{3}$ | $\frac{w_{4}}{4} \times\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right)$ |
| 175 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{7}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [2, 0, 24] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right) \times \frac{w_{6}}{2}$ |
| 176 | $\mathrm{A}_{1}+\mathrm{A}_{9}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [2, 0, 10] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right) \times \frac{w_{6}}{2}$ |
| 177 | $2 \mathrm{D}_{5}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | [4, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{4}}{4}$ | $0 \times\left(w_{5}-\frac{w_{4}}{2}\right) *$ |
| 178 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{D}_{6}+\mathrm{D}_{8}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | [2, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{8}}{2}-\frac{w_{6}}{2}\right) \times \frac{w_{6}}{2}$ |
| 179 | $2 \mathrm{D}_{9}$ | $\mathbb{1}$ | [4, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{8}}{2} \times 0$ | $0 \times \frac{\omega_{8}}{2}$ |
| 180 | $\mathrm{A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{4}+\mathrm{D}_{9}$ | 1 | [12, 0, 30] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times \frac{w_{6}}{2}$ |
| 181 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{5}+\mathrm{D}_{9}$ | $\mathbb{Z}_{2}$ | [4, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times \frac{w_{4}}{4}$ |
| 182 | $\mathrm{A}_{4}+\mathrm{A}_{5}+\mathrm{D}_{9}$ | 1 | [4, 0, 30] | 1 | 0 | 1 | 0 | $0 \times \frac{w_{8}}{2}$ | $\frac{w_{3}}{5} \times 0$ |
| 183 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{6}+\mathrm{D}_{9}$ | 1 | [4, 0, 42] | 1 | 0 | 1 | 0 | $0 \times \frac{w_{8}}{2}$ | $\frac{w_{2}}{6} \times 0$ |
| 184 | $2 \mathrm{~A}_{1}+\mathrm{A}_{7}+\mathrm{D}_{9}$ | $\mathbb{Z}_{2}$ | [4, 0, 8] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) \times \frac{w_{4}}{4}$ |
| 185 | $\mathrm{A}_{1}+\mathrm{A}_{8}+\mathrm{D}_{9}$ | 1 | [4, 0, 18] | 1 | 0 | 1 | 0 | $0 \times \frac{w_{8}}{2}$ | $\frac{w_{7}}{4} \times 0$ |
| 186 | $\mathrm{A}_{9}+\mathrm{D}_{9}$ | 1 | [4, 0, 10] | 1 | 0 | 1 | 0 | $0 \times \frac{w_{8}}{2}$ | $\frac{W_{1}}{3} \times 0$ |
| 187 | $\mathrm{A}_{4}+\mathrm{D}_{5}+\mathrm{D}_{9}$ | 11 | [4, 0, 20] | 1 | 0 | 1 | 0 | $0 \times \frac{w_{8}}{2}$ | $\frac{w_{4}}{4} \times 0$ |
| 188 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}+\mathrm{D}_{10}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | [4, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{7}}{2}-\frac{w_{4}}{2}\right) \times \frac{w_{6}}{2}$ |
| 189 | $2 \mathrm{~A}_{4}+\mathrm{D}_{10}$ | 1 | [10, 0, 10] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $0 \times \frac{w_{4}}{4}$ |
| 190 | $\mathrm{A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{4}+\mathrm{D}_{10}$ | $\mathbb{Z}_{2}$ | [2, 0, 20] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{2}}{4}-\frac{3 w_{6}}{4}\right) \times 0$ |
| 191 | $3 \mathrm{~A}_{1}+\mathrm{A}_{5}+\mathrm{D}_{10}$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$ | [4, 2, 4] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) \times \frac{w_{6}}{2}$ |
| 192 | $\mathrm{A}_{3}+\mathrm{A}_{5}+\mathrm{D}_{10}$ | $\mathbb{Z}_{2}$ | [2, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{7}}{3}-\frac{2 w_{6}}{3}\right) \times 0$ |
| 193 | $\mathrm{A}_{2}+\mathrm{A}_{6}+\mathrm{D}_{10}$ | $\mathbb{1}$ | [2, 0, 42] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right) \times 0$ |
| 194 | $\mathrm{A}_{8}+\mathrm{D}_{10}$ | 11 | [2, 0, 18] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{1}}{2}-\frac{3 w_{6}}{4}\right) \times 0$ |
| 195 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{D}_{10}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | [4, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $0 \times \frac{w_{6}}{2}$ |
| 196 | $\mathrm{A}_{2}+\mathrm{D}_{10}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | [2, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{6}}{2}-\frac{w_{8}}{2}\right) \times 0$ |
| 197 | $\mathrm{A}_{1}+\mathrm{D}_{10}+\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | [2, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{6}}{2} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{4}}{2}-w_{6}\right) \times 0$ |
| 198 | $2 \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{D}_{11}$ | 1 | [12, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{2}}{3}-\frac{2 w_{5}}{3}\right) \times 0$ |
| 199 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{4}+\mathrm{D}_{11}$ | 11 | [6, 0, 20] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(w_{8}-\frac{w_{4}}{2}\right)$ | $0 \times \frac{w_{4}}{4}$ |
| 200 | $\mathrm{A}_{2}+\mathrm{A}_{5}+\mathrm{D}_{11}$ | 11 | [6, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{1}}{2}-\frac{w_{5}}{2}\right) \times 0$ |
| 201 | $\mathrm{A}_{1}+\mathrm{A}_{6}+\mathrm{D}_{11}$ | 11 | [6, 2, 10] | 1 | 0 | 1 | 0 | $\frac{w_{5}}{3} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{3}}{2}-\frac{5 w_{5}}{6}\right) \times 0$ |
| 202 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | [6, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times\left(\frac{w_{4}}{2}-w_{6}\right)$ | $0 \times \frac{w_{6}}{2}$ |
| 203 | $\mathrm{A}_{1}+\mathrm{A}_{2}+\mathrm{A}_{3}+\mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | [4, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{4}}{2}-\frac{w_{7}}{2}\right) \times 0$ |
| 204 | $2 \mathrm{~A}_{1}+\mathrm{A}_{4}+\mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | [4, 2, 6] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{8}}{2}$ | $\left(\frac{w_{2}}{2}-\frac{3 w_{4}}{4}\right) \times 0$ |
| 205 | $\mathrm{A}_{1}+\mathrm{D}_{12}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | [2, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{8}}{2}$ | $0 \times 0$ |
| 206 | $\mathrm{D}_{12}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | [2, 0, 2] | 1 | 0 | 1 | 0 | $\frac{w_{4}}{4} \times \frac{w_{8}}{2}$ | $\left(w_{8}-\frac{w_{4}}{2}\right) \times 0$ |
| 207 | $\mathrm{A}_{1}+\mathrm{A}_{4}+\mathrm{D}_{13}$ | $\mathbb{1}$ | [2, 0, 20] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{8}}{2}$ | $0 \times 0$ |
| 208 | $\mathrm{A}_{5}+\mathrm{D}_{13}$ | 11 | [2, 0, 12] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{8}}{2}$ | $\left(w_{1}-\frac{3 w_{3}}{5}\right) \times 0$ |
| 209 | $\mathrm{D}_{13}+\mathrm{D}_{5}$ | 11 | [4, 0, 4] | 1 | 0 | 1 | 0 | $\frac{w_{3}}{5} \times \frac{w_{8}}{2}$ | $\left(w_{2}-\frac{6 w_{3}}{5}\right) \times 0$ |
| 210 | $2 \mathrm{~A}_{2}+\mathrm{D}_{14}$ | 1 | [6, 0, 6] | 1 | 0 | 1 | 0 | $\left(\frac{w_{3}}{3}-\frac{5 w_{6}}{6}\right) \times \frac{w_{8}}{2}$ | $\frac{w_{6}}{2} \times 0$ |
| 211 | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+\mathrm{D}_{14}$ | $\mathbb{Z}_{2}$ | [2, 0, 6] | 1 | 0 | 1 | 0 | $\frac{w_{2}}{6} \times \frac{w_{8}}{2}$ | $0 \times 0$ |




Table A.2: Data for all allowed groups of maximal rank, for the $\mathrm{E}_{8} \times \mathrm{E}_{8}^{\prime}$ heterotic on $T^{2}$. The $\dagger$ or $*$ next to $A_{2}$ indicates that the moduli were derived with the Fixed Wilson line or the Neighborhood algorithm. Other moduli were obtained with the method of extended diagrams.

| $\#$ | $L$ | $E_{11}$ | $E_{21}$ | $E_{22}$ | $E_{12}$ | $A_{1}$ | $A_{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $6 \mathrm{~A}_{3}$ | 1 | 0 | $\frac{3}{2}$ | $\frac{1}{4}$ | $\frac{1}{4}\left(\mathrm{w}_{6}+\mathrm{w}_{10}\right)$ | $\frac{1}{4}\left(\mathrm{w}_{2}-\mathrm{w}_{6}-\mathrm{w}_{10}+\mathrm{w}_{14}\right)$ |
| 2 | $2 \mathrm{~A}_{1}+4 \mathrm{~A}_{4}$ | 1 | 0 | 1 | $-\frac{1}{4}$ | $\frac{1}{4}\left(\mathrm{w}_{3}+\mathrm{w}_{13}\right)$ | $\mathrm{w}_{1}+\mathrm{w}_{15}+\frac{1}{5}\left(\mathrm{w}_{8}-3 \mathrm{w}_{3}-3 \mathrm{w}_{13}\right)$ |
| 13 | $3 \mathrm{~A}_{6}$ | 1 | 0 | 1 | 0 | $\frac{1}{2} \mathrm{w}_{6}$ | $\frac{1}{3}\left(2 \mathrm{w}_{6}-\mathrm{w}_{9}-\mathrm{w}_{15}\right)$ |
| 21 | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{5}+\mathrm{A}_{6}$ | 1 | 0 | 1 | 0 | $\frac{1}{14}\left(3 \mathrm{w}_{4}+4 \mathrm{w}_{11}\right)$ | $\frac{1}{28}\left(14 \mathrm{w}_{2}-15 \mathrm{w}_{4}-6 \mathrm{w}_{11}+14 \mathrm{w}_{15}\right)$ |
| 65 | $\mathrm{~A}_{3}+\mathrm{A}_{6}+\mathrm{A}_{9}$ | 1 | 0 | 1 | 0 | $\frac{1}{2} \mathrm{w}_{6}$ | $\frac{1}{30}\left(3 \mathrm{w}_{6}-16 \mathrm{w}_{15}\right)$ |
| 177 | $2 \mathrm{D}_{5}+\mathrm{D}_{8}$ | 1 | 0 | 1 | 0 | $\frac{1}{2}\left(\mathrm{w}_{4}+\mathrm{w}_{12}\right)$ | $\frac{1}{4}\left(\mathrm{w}_{4}-\mathrm{w}_{12}\right)-\mathrm{w}_{9}-\mathrm{w}_{11}$ |
| 196 | $\mathrm{~A}_{2}+\mathrm{D}_{10}+\mathrm{D}_{6}$ | 1 | $-\frac{1}{2}$ | 1 | 0 | $\frac{1}{2} \mathrm{w}_{6}$ | 0 |
| 219 | $3 \mathrm{E}_{6}$ | 1 | 0 | 1 | $-\frac{3}{4}$ | $\frac{1}{4}\left(\mathrm{w}_{11}+\mathrm{w}_{5}\right)$ | 0 |
| 297 | $\mathrm{~A}_{2}+2 \mathrm{E}_{8}$ | 1 | 0 | 1 | $-\frac{1}{4}$ | $\frac{1}{4}\left(\mathrm{w}_{7}+\mathrm{w}_{9}\right)$ | 0 |
| 319 | $\mathrm{~A}_{1}+\mathrm{D}_{9}+\mathrm{E}_{8}$ | $\frac{5}{4}$ | 0 | 1 | 0 | $\frac{1}{2} \mathrm{w}_{9}$ | 0 |

Table A.3: Data for some groups of maximal rank, for the $\operatorname{Spin}(32) / \mathbb{Z}_{2}$ heterotic on $T^{2}$.

## Appendix B

## Complements to Chapter 4

## B. 1 Lattice Isomorphisms

In this chapter we have chosen to describe the momentum lattices in ways that naturally reflect the gauge groups that they yield in the respective string theory. The simplest example is that of the Mikhailov lattice in 8 d , which was originally written as

$$
\begin{equation*}
\mathrm{II}_{1,1}(2) \oplus \mathrm{II}_{1,1} \oplus \mathrm{E}_{8} \simeq \mathrm{II}_{2,2} \oplus \mathrm{D}_{8} \tag{B.1.1}
\end{equation*}
$$

We write it instead as

$$
\begin{equation*}
\mathrm{II}_{2,2} \oplus \mathrm{C}_{8} \tag{B.1.2}
\end{equation*}
$$

where the lattice isomorphism $\mathrm{D}_{n} \simeq \mathrm{C}_{n}$ has been employed. Indeed, both lattices are exactly the same, but the algebras are not. For instance, one finds the lattice $\mathrm{C}_{8}$ in the Narain moduli space, but its long root does not give a massless state and so the gauge algebra is $\mathrm{D}_{8}$. In the CHL string however one can obtain such a massless state and indeed realize the gauge algebra $\mathrm{C}_{8}$.

For the CHL string in 7 d we similarly use the lattice isomorphism $\mathrm{D}_{4} \simeq \mathrm{~F}_{4}$, and the story is similar to the 8 d case just discussed. This extends to the $\mathbb{Z}_{3}$-triple where one finds the gauge algebra $2 \mathrm{G}_{2}$ at the canonical point in moduli space and not $2 \mathrm{~A}_{2}$.

## B. 2 Maximal enhancements for 7d heterotic string

In this appendix we record the maximally enhanced gauge groups realized in the $7 \mathrm{~d} \mathbb{Z}_{m}$-triples constructed from the heterotic string. The algebras and the fundamental groups are presented in Appendix B.2.1, while the generators of the fundamental group are presented in Appendix
B.2.2. The way in which the data is encoded is explained in Section 4.4.

## B.2.1 Maximally enhanced algebras and fundamental groups







Table B.1: Algebras of maximal rank for the heterotic string on $T^{3}$.



Table B.2: Algebras of maximal rank for the heterotic $\mathbb{Z}_{2}$ triple.

| $1 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2}^{2} \mathbb{Z}_{3}$ | $7 \mathrm{~A}_{2} \mathrm{~A}_{5} \quad \mathbb{Z}_{3}$ | $13 \mathrm{~A}_{1} \mathrm{D}_{6}$ | $19 \mathrm{~A}_{3}^{2} \mathrm{G}_{1}$ | $25 \mathrm{~A}_{1} \mathrm{D}_{5} \mathrm{G}_{1}$ | $31 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{G}_{1}^{2}$ | $37 \mathrm{D}_{5} \mathrm{G}_{1}^{2} \quad \mathbb{Z}_{2}$ | $43 \mathrm{~A}_{1} \mathrm{D}_{4} \mathrm{G}_{2}$ | $49 \mathrm{D}_{4} \mathrm{G}_{1} \mathrm{G}_{2}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $2 \mathrm{~A}_{2}^{2} \mathrm{~A}_{3} \mathbb{Z}_{3}$ | $8 \mathrm{~A}_{1} \mathrm{~A}_{6}$ | $14 \mathrm{D}_{7}$ | $20 \mathrm{~A}_{1}^{2} \mathrm{~A}_{4} \mathrm{G}_{1}$ | $26 \mathrm{D}_{6} \mathrm{G}_{1}$ | $32 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{G}_{1}^{2} \mathbb{Z}_{2}$ | $38 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2} \mathrm{G}_{2}$ | $44 \mathrm{D}_{5} \mathrm{G}_{2}$ | $50 \mathrm{~A}_{1}^{3} \mathrm{G}_{2}^{2}$ |
| $3 \mathrm{~A}_{1} \mathrm{~A}_{3}^{2}$ | $9 \mathrm{~A}_{7}$ | $15 \mathrm{~A}_{1} \mathrm{E}_{6} \quad \mathbb{Z}_{3}$ | $21 \mathrm{~A}_{2} \mathrm{~A}_{4} \mathrm{G}_{1}$ | $27 \mathrm{E}_{6} \mathrm{G}_{1}$ | $33 \mathrm{~A}_{1} \mathrm{~A}_{4} \mathrm{G}_{1}^{2}$ | $39 \mathrm{~A}_{1}^{2} \mathrm{~A}_{3} \mathrm{G}_{2}$ | $45 \mathrm{~A}_{1}^{2} \mathrm{~A}_{2} \mathrm{G}_{1} \mathrm{G}_{2}$ | $51 \mathrm{~A}_{1} \mathrm{~A}_{2} \mathrm{G}_{2}^{2}$ |
| $4 \mathrm{~A}_{1}^{3} \mathrm{~A}_{4}$ | $10 \mathrm{~A}_{1} \mathrm{~A}_{2} \mathrm{D}_{4}$ | $16 \mathrm{E}_{7}$ | $22 \mathrm{~A}_{1} \mathrm{~A}_{5} \mathrm{G}_{1}$ | $28 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2} \mathrm{G}_{1}^{2} \mathbb{Z}_{2}$ | $34 \mathrm{~A}_{5} \mathrm{G}_{1}^{2}$ | $40 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{G}_{2}$ | $46 \mathrm{~A}_{2}^{2} \mathrm{G}_{1} \mathrm{G}_{2}$ | $52 \mathrm{~A}_{3} \mathrm{G}_{2}^{2}$ |
| $5 \mathrm{~A}_{3} \mathrm{~A}_{4}$ | $11 \mathrm{~A}_{3} \mathrm{D}_{4}$ | $17 \mathrm{~A}_{1}^{3} \mathrm{~A}_{3} \mathrm{G}_{1} \quad \mathbb{Z}_{2}$ | $23 \mathrm{~A}_{6} \mathrm{G}_{1}$ | $29 \mathrm{~A}_{1} \mathrm{~A}_{2}^{2} \mathrm{G}_{1}^{2}$ | $35 \mathrm{~A}_{1} \mathrm{D}_{4} \mathrm{G}_{1}^{2} \mathbb{Z}_{2}$ | $41 \mathrm{~A}_{1} \mathrm{~A}_{4} \mathrm{G}_{2}$ | $47 \mathrm{~A}_{1} \mathrm{~A}_{3} \mathrm{G}_{1} \mathrm{G}_{2}$ |  |
| $6 \mathrm{~A}_{1}^{2} \mathrm{~A}_{5} \mathbb{Z}_{3}$ | $12 \mathrm{~A}_{1}^{2} \mathrm{D}_{5}$ | $18 \mathrm{~A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{G}_{1}$ | $24 \mathrm{~A}_{2} \mathrm{D}_{4} \mathrm{G}_{1}$ | $30 A_{1}^{2} \mathrm{~A}_{3} \mathrm{G}_{1}^{2} \mathbb{Z}_{2}$ | $36 \mathrm{D}_{5} \mathrm{G}_{1}^{2}$ | $42 \mathrm{~A}_{5} \mathrm{G}_{2}$ | $48 \mathrm{~A}_{4} \mathrm{G}_{1} \mathrm{G}_{2}$ |  |

Table B.3: Algebras of maximal rank for the heterotic $\mathbb{Z}_{3}$ triple.

| $1 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2} \mathbb{Z}_{2}$ | $3 \mathrm{~A}_{1}^{2} \mathrm{~A}_{3} \mathbb{Z}_{2}$ | $5 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathbb{Z}_{2}$ | $7 \mathrm{~A}_{5}$ | 9 | $\mathrm{D}_{5}$ | $11 \mathrm{~A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{1}$ | $13 \mathrm{~A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{1}$ | $15 \mathrm{D}_{4} \mathrm{~A}_{1}$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $2 \mathrm{~A}_{1} \mathrm{~A}_{2}^{2}$ | $4 \mathrm{~A}_{2} \mathrm{~A}_{3}$ | $6 \mathrm{~A}_{1} \mathrm{~A}_{4}$ | $8 \mathrm{~A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{1}^{2} \mathrm{D}_{4} \mathbb{Z}_{2}$ | $10 \mathrm{D}_{5} \mathbb{Z}_{2}$ | $12 \mathrm{~A}_{2}^{2} \mathrm{~A}_{1}$ | $14 \mathrm{~A}_{4} \mathrm{~A}_{1}$ | $16 \mathrm{~A}_{1}^{3} \mathrm{~A}_{1}^{2}$ | $18 \mathrm{~A}_{3} \mathrm{~A}_{1}^{2}$ |

Table B.4: Algebras of maximal rank for the heterotic $\mathbb{Z}_{4}$ triple. $\mathbb{A}_{1}$ denotes to an $A_{1}$ at level 1.

| $1 \mathrm{~A}_{1}^{3}$ |
| :--- |
| 2 |
| $\mathrm{~A}_{1} \mathrm{~A}_{2}$ |
| $3 \mathrm{~A}_{3}$ |

Table B.5: Algebras of maximal rank for the heterotic $\mathbb{Z}_{5}$ and $\mathbb{Z}_{6}$ triples.

## B.2.2 Fundamental group generators



| 527 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{11} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 006v | 626 | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{D}_{6} \mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 13 v 2 | 711 | $\mathrm{A}_{3}$ | $\mathbb{Z}_{2}$ | 242 | 798 | $\mathrm{A}_{2} \mathrm{D}_{5} \mathrm{D}_{12}$ | $Z_{2}$ | 02s |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $527{ }^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{11} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 1160 | 628 | $\mathrm{A}_{1} \mathrm{D}_{5} \mathrm{D}_{6} \mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 12s2 | 715 | $\mathrm{A}_{1} \mathrm{~A}_{9} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 152 | 799 |  | $\mathbb{Z}_{2}$ | Ovs |
| 529 | $\mathrm{A}_{2} \mathrm{~A}_{11} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 06v | 629 | $\mathrm{D}_{6}^{2}$ | $\mathbb{Z}_{2}$ | ss2 | 717 | $\mathrm{A}_{1} \mathrm{~A}$ | 2 | 13 s 2 | 800 | $\mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | S |
| 535 | $\mathrm{A}_{4} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 03 ss | 631 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}$ | 11222 | 723 | A | $\mathbb{Z}_{2}$ | 12 s 2 | 809 | A | $\mathbb{Z}_{2}$ | 0010s |
| 536 | $\mathrm{A}_{2} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 04 sv | 636 | $\mathrm{A}_{1}$ |  | 22 s | 726 |  | $\mathbb{Z}_{2}$ | 11 s 2 | 811 |  | $\mathbb{Z}_{2}$ | 00s |
| 53 | $\mathrm{A}_{9} \mathrm{D}$ | $\mathbb{Z}$ | 50 s | 638 |  | $\mathbb{Z}_{2}$ | 0022s | 728 |  |  | 000s | 812 |  | $\mathbb{Z}_{2}$ | 010s |
| 539 | $\mathrm{A}_{1}$ |  | 10022s | $638^{\prime}$ |  | $\mathbb{Z}_{2}$ | 0222v | 729 |  |  | 01002s | 815 |  | $\mathbb{Z}_{2}$ | 10s |
| 5 |  | $\mathbb{Z}_{2}$ | 1202s | 639 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{~A}_{4} \mathrm{D}_{8}$ |  | 020s | 731 |  | $\mathbb{Z}_{2}$ | 1002s | 817 |  | $\mathbb{Z}_{2}$ | 0s |
| 545 |  | $\mathbb{Z}_{2}$ | 032s | 641 |  | $\mathbb{Z}_{2}$ | 0220s | 732 |  | $\mathbb{Z}_{2}$ | 222 v | 823 |  | 2 | 000s |
| 546 | $\mathrm{A}_{3}$ | $\mathbb{Z}_{2}$ | 032s | 645 | A | $\mathbb{Z}_{2}$ | 1003s | 733 |  |  | 11100s | 825 | $\mathrm{A}_{1}$ | 2 | 00s |
| $546^{\prime}$ |  | $\mathbb{Z}_{2}$ | 230s | $645^{\prime}$ |  | $\mathbb{Z}_{2}$ | 1023v | 735 |  |  | 0120s | 826 |  | 2 | s |
| 548 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 042v | 646 |  | $\mathbb{Z}_{2}$ | 220 s | 739 |  |  | 0003s | 31 |  |  | 0 |
| $548^{\prime}$ | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 140 s | 647 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 0103s | 740 |  |  | 003s | 840 |  | $\mathbb{Z}_{2}$ | 12230 |
| 551 | $\mathrm{A}_{1} \mathrm{~A}_{2}$ | $\mathbb{Z}_{2}$ | 1022s | 6 |  | $\mathbb{Z}_{2}$ | 033 v | 7 |  |  | 120s | 8 |  | $\mathbb{Z}_{2}$ | 30 |
| 554 | $\mathrm{A}_{2}^{2}$ | $\mathbb{Z}_{2}$ | 002ss | 649' |  | $\mathbb{Z}_{2}$ | 103s | " |  | $\mathbb{Z}_{2}$ | 123 v | 0 |  |  |  |
| 556 | $\mathrm{A}_{3}$ | $\mathbb{Z}$ | 20ss | 652 |  | $\mathbb{Z}_{2}$ | 1120s | 743 |  | $\mathbb{Z}_{2}$ | 03s | 861 |  | $\mathbb{Z}_{2}$ | 0 |
| 558 | $\mathrm{A}_{2}$ | $\mathbb{Z}_{2}$ | 03 sv | 657 |  | $\mathbb{Z}_{2}$ | 0004s | 744 |  | - | 1110 s | 866 |  | $\mathbb{Z}_{2}$ | 0 |
| 559 | $\mathrm{A}_{7}$ D | $\mathbb{Z}_{2}$ | 4 vv | 657 |  | $\mathbb{Z}_{2}$ | 1104 v | 747 |  | $\mathbb{Z}_{2}$ | 114 v | 875 |  | $\mathbb{Z}_{2}$ | 11150 |
| 564 | $\mathrm{A}_{2}$ | $\mathbb{Z}_{2}$ | ss | 659 |  | $\mathbb{Z}_{2}$ | 004s | 751 |  |  | 100ss | 879 |  | 2 | 50 |
| 570 | $\mathrm{A}_{1}^{2}$ |  | 112202 | 660 |  | $\mathbb{Z}_{2}$ | 004s | 753 |  | $\mathbb{Z}_{2}$ | 10ss | 885 |  | $\mathbb{Z}_{2}$ | 160 |
| 576 | $\mathrm{A}_{1}^{2} \mathrm{~A}$ |  | 2 | $660^{\prime}$ |  | $\mathbb{Z}$ | 024v | 754 |  | $\mathbb{Z}_{2}$ | 30s | 896 |  | $\mathbb{Z}_{2}$ | 123s0 |
| 577 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 10232 | 661 |  | $\mathbb{Z}_{2}$ | 04s | 755 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{D}_{5} \mathrm{D}_{10}$ | $\mathbb{Z}_{2}$ | 0102s | 899 |  | $\mathbb{Z}_{2}$ | 0 |
|  | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 12230 | 663 |  | $\mathbb{Z}_{2}$ | 015v | 757 |  |  | 102s | 2 |  | $\mathbb{Z}_{2}$ | 12220 |
| 578 |  |  | 32 | 666 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 6 v | 75 |  |  | 120s | 6 |  | $\mathbb{Z}_{2}$ | 111320 |
|  |  | $\mathbb{Z}_{2}$ | 00332 | 668 |  | $\mathbb{Z}_{2}$ | 002ss | 760 |  |  | 00 ss | 916 |  | 2 | 122 s 0 |
|  |  | $\mathbb{Z}_{2}$ | 11330 | 671 |  |  | 002s | $760{ }^{\prime}$ |  | $\mathbb{Z}_{2}$ | 10vs | 919 |  | $\mathbb{Z}_{2}$ | 113s0 |
| 589 |  |  | 1042 | 673 |  | $\mathbb{Z}_{2}$ | 0022s | 761 |  | $\mathbb{Z}_{2}$ | 0ss | 932 |  | $\mathbb{Z}_{2}$ | 112s0 |
| 591 |  | $\mathbb{Z}_{2}$ | 11042 | 674 |  | $\mathbb{Z}_{2}$ | 1102s | 762 |  | $\mathbb{Z}_{2}$ | 012s | 941 |  | $\mathbb{Z}_{2}$ | 11 s 0 |
|  |  | $\mathbb{Z}_{2}$ | 11240 | 675 |  | $\mathbb{Z}_{2}$ | 130s | 764 |  | $\mathbb{Z}_{2}$ | 1 vs | 963 | $\mathrm{A}_{1}^{2}$ |  | 00221 |
| 594 | $\mathrm{A}_{2}$ | $\mathbb{Z}_{2}$ | 0242 | 675' | A | $\mathbb{Z}_{2}$ | 132 v | 767 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 11222 | 966 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 12201 |
| 601 | $\mathrm{A}_{1}^{3}$ | $\mathbb{Z}_{2}$ | 00152 | 677 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 022s | 773 |  | $\mathbb{Z}_{2}$ | 11132 | 972 |  | 2 | 00231 |
|  |  | $\mathbb{Z}_{2}$ | 11150 | 679 |  | $\mathbb{Z}_{2}$ | 100ss | 784 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | 01100s | 974 |  | 2 | 0231 |
| 603 | A | $\mathbb{Z}_{2}$ | 1052 | 681 | A | $\mathbb{Z}_{2}$ | 02 vs | 786 | A | $\mathbb{Z}_{2}$ | 0002s | 975 |  | 2 | 00231 |
| 608 | A | $\mathbb{Z}_{2}$ | 062 | 682 |  | $\mathbb{Z}_{2}$ | 10ss | $786^{\prime}$ |  | $\mathbb{Z}_{2}$ | 1100s | 975' |  | $\mathbb{Z}_{2}$ | 2201 |
| 611 |  | $Z_{2}$ | 022s2 | 683 |  | $\mathbb{Z}_{2}$ | 3 sv | 788 |  | $\mathbb{Z}_{2}$ | 002s | 975" |  | $\mathbb{Z}_{2}$ | 12230 |
| 613 |  | $\mathbb{Z}_{2}$ | 103s2 | 685 |  | $\mathbb{Z}_{2}$ | 2 vs | 789 |  | $\mathbb{Z}_{2}$ | 002s | 976 |  | $\mathbb{Z}_{2}$ | 011031 |
| 615 |  | $\mathbb{Z}_{2}$ | 04s2 | 686 |  | $\mathbb{Z}_{2}$ | 102s | 790 |  | $\mathbb{Z}_{2}$ | 0110s | 979 |  | $\mathbb{Z}_{2}$ | 2031 |
| 619 |  | $\mathbb{Z}_{2}$ | 01322 | 688 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 022s | 792 | $\mathrm{A}_{3}$ | $\mathbb{Z}_{2}$ | 20s | 980 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5}^{2} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 11031 |
| 622 | $\mathrm{A}_{7} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 422 | 690 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{D}_{8}^{2}$ | $\mathbb{Z}_{2}$ | 00ss | 793 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 110 s | $980^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5}^{2} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 11330 |
| 623 | $\mathrm{A}_{1} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 102s2 | 700 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{9}$ | $\mathbb{Z}_{2}$ | 01232 | 796 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{D}_{4} \mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | 00ss | 990 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~A}_{7} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 001041 |
| 62 | $\mathrm{A}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 22v2 | 704 | $\mathrm{A}_{5}^{2} \mathrm{D}_{9}$ | $\mathbb{Z}_{2}$ | 332 | 797 | $\mathrm{A}_{1}^{2} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 002s | 992 | ${ }_{2}$ | $\mathbb{Z}_{2}$ | 10041 |
| $626^{\prime}$ | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{D}_{6} \mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 03s2 | 709 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{7} \mathrm{D}_{9}$ | $\mathbb{Z}_{2}$ | 01142 | $797{ }^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{D}_{5} \mathrm{D}_{12}$ | $\mathbb{Z}_{2}$ | 110s | 993 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{7} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 01041 |


|  | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{7} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 240 | 1086 A | $\mathrm{A}_{1} \mathrm{D}_{4} \mathrm{E}_{7}^{2}$ | $\mathbb{Z}_{2}$ | 0s11 | 839 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{E}_{6} \mathbb{Z}_{3}$ | $\mathbb{Z}_{3} \quad 011021$ | 442 | D | $\mathbb{Z}_{4}$ | 00131 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 996 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{2}$ | 41 | 1088 D | D | $\mathbb{Z}_{2}$ | 211 |  | $\mathrm{A}_{2}^{2} \mathrm{~A}_{4} \mathrm{~A}_{5} \mathrm{E}_{6}$ | $\begin{array}{ll}\mathbb{Z}_{3} & 11021\end{array}$ |  | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{11} \mathrm{D}_{5}$ |  | 031 |
|  |  | $\mathbb{Z}_{2}$ | 00051 | 1090 A | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{3}$ |  | 20 | 846 | $\mathrm{A}_{1}$ | 00221 |  | $\mathrm{A}_{3} \mathrm{~A}_{11} \mathrm{D}_{5}$ | $\mathbb{Z}_{4}$ | 32 |
|  | $\mathrm{A}_{1}^{3} \mathrm{~A}_{9} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 0 | 1099 A | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{E}_{8}$ | $\mathbb{Z}_{2}$ | 230 | 849 | $\mathrm{A}_{3}$ | 0221 |  | $\mathrm{D}_{5}^{2}$ | $\mathbb{Z}_{4}$ | 001111 |
|  | A | $\mathbb{Z}_{2}$ | 51 | 1111 A | $\mathrm{A}_{1}^{4}$ | $\mathbb{Z}_{2}$ | 40 | 868 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~A}_{8} \mathrm{E}_{6}$ | $\begin{array}{ll}\mathbb{Z}_{3} & 000131\end{array}$ | 47 |  | $\mathbb{Z}_{4}$ | 11 |
| 1006 | A | $\mathbb{Z}_{2}$ | 51 | 10 A | $\mathrm{A}_{2}^{4}$ |  | 002 | 8 |  | $\mathbb{Z}_{3} \quad 00131$ | 472 |  | $\mathbb{Z}_{4}$ | 1 |
|  |  | $\mathbb{Z}_{2} 1$ | 1002s1 | 20 A | $\mathrm{A}_{2}^{3}$ | $\mathbb{Z}_{3}$ | 1022 | 872 | $\mathrm{A}_{2}$ | $\begin{array}{ll}\mathbb{Z}_{3} & 1031\end{array}$ | 510 | $\mathrm{A}_{3}^{2} \mathrm{~A}_{7} \mathrm{D}_{6}$ | $\mathbb{Z}_{4}$ | 112v |
|  |  | $\mathbb{Z}_{2}$ | 120s1 | 25 A | A | $\mathbb{Z}_{3}$ | 1022 | 88 | $\mathrm{A}_{1}$ | $\mathbb{Z}_{3} \quad 0041$ | 56 | ${ }_{3}^{3} \mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | 001111 |
|  |  | $\mathbb{Z}_{2}$ | 003s1 | 32 A | A | $\mathbb{Z}_{3}$ | 0222 | 889 | A | 041 | 56 |  | $\mathbb{Z}_{4}$ | 01111 |
| 1018 | A | $\mathbb{Z}_{2}$ | 3 s 1 | $32^{\prime}$ A | A | $\mathbb{Z}_{3}$ | 1022 | 892 | A | 11101 | $567{ }^{\prime}$ |  | $\mathbb{Z}_{4}$ | 12 |
|  |  | $\mathbb{Z}_{2}$ | 2301 | A | A | $\mathbb{Z}_{3}$ | 2 | 895 |  | $\mathbb{Z}_{3} \quad 11201$ | 592 |  | $\mathbb{Z}_{4}$ | 01 |
|  |  | $\mathbb{Z}_{2}$ | 1401 | 48 A | A | $\mathbb{Z}_{3}$ | 111120 | 948 | $A_{1}^{3} A_{2}^{2} \mathrm{E}_{6}^{2} \quad \mathbb{Z}$ | 001111 | 595 | $\mathrm{A}_{2} \mathrm{~A}_{3} \mathrm{~A}_{7} \mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | 0121 |
|  | A |  | 021 | 124 A | A |  | 103 | 94 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{3} \mathrm{E}_{6}^{2}$ | $\mathbb{Z}_{3} \quad 11011$ | 609 | $\mathrm{A}_{1} \mathrm{~A}_{11} \mathrm{D}_{7}$ | $\mathbb{Z}_{4}$ | 31 |
| 1023 |  | $\mathbb{Z}_{2} 0$ | 221 | 125 A | $\mathrm{A}^{\text {A }}$ | $\mathbb{Z}_{3}$ | 11103 | 95 |  | $\mathbb{Z}_{3} \quad 00211$ | 7 |  | $\mathbb{Z}_{5}$ | 2 |
| 1025 |  | $\mathbb{Z}_{2}$ | 12201 | 129 A | $\mathrm{A}_{1}$ | $\mathbb{Z}_{3}$ | 1103 | 954 | A | $\mathbb{Z}_{3}$ | 8 | $\mathrm{A}_{2}$ |  | 2 |
|  |  | $\mathbb{Z}_{2}$ | 321 | 136 A | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{3}$ | 0123 | 962 |  | $\mathbb{Z}_{3}$ | 9 A |  | $\mathbb{Z}_{5}$ | 2 |
|  |  | $\mathbb{Z}_{2}$ | 11301 | 137 A | A | $\mathbb{Z}_{3}$ | 01 | 2 |  | $\begin{array}{ll}\mathbb{Z}_{4} & 211110\end{array}$ | 177 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{4}^{2} \mathrm{~A}_{9}$ | $\mathbb{Z}_{5}$ | 4 |
| 1030 |  | $\mathbb{Z}_{2}$ | 21 | $137^{\prime} \mathrm{A}$ | $\mathrm{A}_{2}^{3}$ | $\mathbb{Z}_{3}$ | 11103 | 71 | A | 00112 | 178 | $\mathrm{A}_{2} \mathrm{~A}_{4}^{2} \mathrm{~A}_{9}$ | $\mathbb{Z}_{5}$ | 0114 |
| 1033 |  | $\mathbb{Z}_{2}$ | 10s21 | 140 A | A | $\mathbb{Z}_{3}$ | 01023 | 73 |  | 2112 | 201 A |  | $\mathbb{Z}_{5}$ |  |
|  |  | $\mathbb{Z}_{2}$ | 01221 | 4 | A | $\mathbb{Z}_{3}$ | 1023 | 74 | $\mathrm{A}_{3}^{4} \mathrm{~A}_{7}$ | $\begin{array}{ll}\mathbb{Z}_{4} & 02112\end{array}$ | 19 | ${ }^{2} \mathrm{~A}_{2}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5}^{2}$ | $\mathbb{Z}_{6}$ | 011255 |
| 1036 |  | $\mathbb{Z}_{2}$ | 1100s1 | 158 A |  | $\mathbb{Z}_{3}$ | 00033 | 79 |  | $\begin{array}{ll}\mathbb{Z}_{4} & 111102\end{array}$ | 21 | 5 | $\mathbb{Z}_{6}$ | 5 |
| 1039 A |  | $\mathbb{Z}_{2}$ | s1 | 160 A | $\mathrm{A}_{1} \mathrm{~A}_{2}$ | $\mathbb{Z}_{3}$ | 0033 | 110 |  | $\mathbb{Z}_{4} 0011022$ | 31 |  |  | 1102 |
| 1040 |  | $\mathbb{Z}_{2}$ | s1 | 162 A | A | $\mathbb{Z}_{3}$ | 033 | 119 |  | $\begin{array}{ll}\mathbb{Z}_{4} & 0222\end{array}$ | 35 |  | $\mathbb{Z}_{6}$ |  |
| 10 |  | $\mathbb{Z}_{2}$ | 110s1 | 223 A | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2}^{3}$ | $\mathbb{Z}_{3}$ | 114 | 227 |  | 11013 | 222 | ${ }_{2}^{2} \mathrm{~A}_{11}$ |  | 0 |
|  |  | $\mathbb{Z}_{2}$ | 03v1 | 225 A | $\mathrm{A}_{2}^{4}$ | $\mathbb{Z}_{3}$ | 4 | 233 |  | 0213 | 22 |  | $\mathbb{Z}_{6}$ | 101110 |
| 1045 |  | $\mathbb{Z}_{2}$ | s1 | 230 A | $\mathrm{A}_{1} \mathrm{~A}$ | $\mathbb{Z}_{3}$ | 01104 | 23 |  | 013 | 231 |  | $\mathbb{Z}_{6}$ | 011210 |
| 1046 |  | $\mathbb{Z}_{2}$ | s1 | 241 |  | $\mathbb{Z}_{3}$ | 1104 | 236 |  | $\begin{array}{ll}\mathbb{Z}_{4} & 0213\end{array}$ | 24 |  | $\mathbb{Z}_{6}$ | 011210 |
|  |  | $\mathbb{Z}_{2}$ | v1 | 248 A | A | $\mathbb{Z}_{3}$ | 0024 | 293 |  | 0114 | 25 |  | $\mathbb{Z}_{6}$ |  |
| 1049 |  | $\mathbb{Z}_{2}$ | 221 | 251 A | $\mathrm{A}_{3} \mathrm{~A}_{5} \mathrm{~A}_{11}$ | $\mathbb{Z}_{3}$ | 024 | 296 |  | 1104 | 32 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{~A}_{5}^{2} \mathrm{D}_{4}$ | $\mathbb{Z}_{6}$ | 1111s |
| 1053 |  | $\mathbb{Z}_{2}$ | 321 | 285 A | A | $\mathbb{Z}_{3}$ | 00015 | 301 |  | 024 | 326 |  | $\mathbb{Z}_{6}$ |  |
| 1054 |  | $\mathbb{Z}_{2}$ | 1s21 | 287 A | A | $\mathbb{Z}_{3}$ | 0015 | 341 |  | 112 s |  |  | $\mathbb{Z}_{6}$ |  |
|  |  | $\mathbb{Z}_{2}$ | 010s1 | 290 A | A | $\mathbb{Z}_{3}$ | 105 | 350 |  | 022 s | 39 | ${ }^{5}$ | $\mathbb{Z}_{6}$ | 2 |
| 10 |  | $\mathbb{Z}_{2}$ | 10s1 | 308 A | $\mathrm{A}_{1}^{2} \mathrm{~A}_{1}$ | $\mathbb{Z}_{3}$ | 006 | 369 |  | 013s | 84 |  | $\mathbb{Z}_{6}$ | 11112 |
| 10 |  | $\mathbb{Z}_{2}$ | 12v1 | 310 A |  | $\mathbb{Z}_{3}$ | 06 | 375 |  | $\mathbb{Z}_{4} \quad 4 \mathrm{~s}$ | 850 |  | $\mathbb{Z}_{6}$ | 2112 |
| 10 |  | $\mathbb{Z}_{2}$ | 00 s 1 | 351 A | A | $\mathbb{Z}_{3}$ | 1130 | 381 | A | 1001111 | 887 | $\mathrm{A}_{11} \mathrm{E}_{6}$ | $\mathbb{Z}_{6}$ | 122 |
| 1065 |  | $\mathbb{Z}_{2}$ | 0s1 | 353 A | $\mathrm{A}_{2} \mathrm{~A}_{5} \mathrm{~A}_{8} \mathrm{D}$ | $\mathbb{Z}_{3}$ | 1230 | 382 | ${ }_{3}$ | $\begin{array}{lll}\mathbb{Z}_{4} & 011112\end{array}$ | 70 | ${ }_{6}$ | $\mathbb{Z}_{7}$ | 0123 |
| 1068 |  | $\mathbb{Z}_{2} 1$ | 201 | 366 A |  | $\mathbb{Z}_{3}$ | 140 | 38 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{3} \mathrm{~A}_{4} \mathrm{D}_{5}$ | $\begin{array}{ll}\mathbb{Z}_{4} & 111101\end{array}$ | 116 A | ${ }_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}$ | $\mathbb{Z}_{8}$ | 11 |
| 1079 |  | $\mathbb{Z}_{2}$ | 011 | 394 A |  | $\mathbb{Z}_{3}$ | 1220 | 411 | $\mathbb{Z}_{4}$ | $\mathbb{Z}_{4} \quad 010121$ | 421 A | $\mathrm{A}_{7}^{2} \mathrm{D}_{5}$ | $\mathbb{Z}_{8}$ | 131 |
| 1081 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{E}_{7}^{2}$ | $\mathbb{Z}_{2}$ | 211 | 422 A |  |  | 1130 |  |  | 01122 |  |  |  |  |
| 1083 | $\mathrm{A}_{2} \mathrm{~A}_{3} \mathrm{E}_{7}^{2}$ | $\mathbb{Z}_{2}$ | 0211 | 484 A | $\mathrm{A}_{2}^{4} \mathrm{~A}_{5} \mathrm{D}_{6}$ | $\mathbb{Z}_{3}$ | 111120 |  | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{~A}_{7} \mathrm{D}_{5}$ | $\mathbb{Z}_{4} \quad 10121$ |  |  |  |  |


| 22 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5}^{2}$ | $\begin{aligned} & \mathbb{Z}_{2} 0012203 \\ & \mathbb{Z}_{2} 0102230 \end{aligned}$ | 493 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5}^{2} \mathrm{D}_{6} \quad \mathbb{Z}_{2}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00033 \mathrm{v} \\ \mathbb{Z}_{2} & 01103 \mathrm{~s} \end{array}$ | 635 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~A}_{3}^{2} \mathrm{D}_{8}$ | $\mathbb{Z}_{2} 0$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 11002 \mathrm{~s} \\ & 101020 \mathrm{c} \end{aligned}$ | 741 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{10}$ |  | 003 s 120 c |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 86 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{~A}_{7}$ | $\begin{aligned} & \mathbb{Z}_{2} 0001034 \\ & \mathbb{Z}_{2} 0110204 \end{aligned}$ | 493' | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5}^{2} \mathrm{D}_{6} \quad \mathbb{Z}^{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 01103 \mathrm{~s} \\ \mathbb{Z}_{2} & 10130 \mathrm{~s} \end{array}$ | 637 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{3} \mathrm{D}_{8}$ |  | $\begin{aligned} & 00022 \mathrm{~s} \\ & 11200 \mathrm{~s} \end{aligned}$ | 752 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{D}_{4} \mathrm{D}_{10}$ |  | 010 ss 1020 c |
| 90 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5} \mathrm{~A}_{7}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 02204 \\ \mathbb{Z}_{2} & 10034\end{array}$ | 496 | $\begin{array}{ll}\mathrm{A}_{3} \mathrm{~A}_{5}^{2} \mathrm{D}_{6} & \mathbb{Z} \\ \\ \\ \mathbb{Z}\end{array}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 033 \mathrm{v} \\ \mathbb{Z}_{2} & 203 \mathrm{~s}\end{array}$ | 643 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{2} \mathrm{~A}_{5} \mathrm{D}_{8}$ | $\begin{aligned} & \mathbb{Z}_{2} 00 \\ & \mathbb{Z}_{2} 11 \end{aligned}$ | $\begin{aligned} & 0103 \mathrm{~s} \\ & 1003 \mathrm{v} \end{aligned}$ | 759 | $\mathrm{A}_{1}^{3} \mathrm{D}_{6} \mathrm{D}_{10}$ |  | 000 ss 1110 c |
| 96 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5}^{2} \mathrm{~A}_{7}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 01034 \\ \mathbb{Z}_{2} & 10304\end{array}$ | 507 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{~A}_{7} \mathrm{D}_{6} \quad \mathbb{Z}^{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00104 \mathrm{~s} \\ \mathbb{Z}_{2} & 110240 \end{array}$ | 644 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{8}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 00103 \mathrm{~s} \\ & 01023 \mathrm{v} \end{aligned}$ | 971 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{E}_{7}$ |  | $\begin{aligned} & 011031 \\ & 101201 \end{aligned}$ |
| 114 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{7}^{2}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00044 \\ \mathbb{Z}_{2} & 11204 \\ \hline \end{array}$ | 533 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3}^{2} \mathrm{D}_{4} \mathrm{D}_{6}{ }_{\mathbb{Z}}^{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 0022 \mathrm{sv} \\ \mathbb{Z}_{2} & 1002 \mathrm{cs} \end{array}$ | $644^{\prime}$ | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{8}$ |  | $\begin{aligned} & 00103 \mathrm{~s} \\ & 11020 \mathrm{~s} \end{aligned}$ | 1012 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{D}_{4} \mathrm{E}_{7}$ |  | $\begin{aligned} & 0102 \mathrm{~s} 1 \\ & 1020 \mathrm{c} 1 \end{aligned}$ |
| 318 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5} \mathrm{D}_{4}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 010023 \mathrm{~s} \\ \mathbb{Z}_{2} & 100203 \mathrm{c} \end{array}$ | 534 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{D}_{6}{ }_{\mathbb{Z}}^{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 003 \mathrm{ss} \\ \mathbb{Z}_{2} & 120 \mathrm{cs} \end{array}$ | 650 | $\mathrm{A}_{1} \mathrm{~A}_{5}^{2} \mathrm{D}_{8}$ |  | $\begin{gathered} 033 \mathrm{v} \\ 103 \mathrm{~s} \end{gathered}$ | 1016 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{E}_{7}$ |  | $\begin{aligned} & 0003 \mathrm{~s} 1 \\ & 1110 \mathrm{c} 1 \end{aligned}$ |
| 319 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{3} \mathrm{~A}_{5} \mathrm{D}_{4}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 02220 \mathrm{~s} \\ \mathbb{Z}_{2} & 10023 \mathrm{~s} \end{array}$ | $534{ }^{\prime}$ | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{D}_{6}{ }_{\text {Z }} \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 003 \mathrm{ss} \\ \mathbb{Z}_{2} & 120 \mathrm{sc}\end{array}$ | 656 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{7} \mathrm{D}_{8}$ |  | $\begin{aligned} & 00004 \mathrm{~s} \\ & 11110 \mathrm{~s} \end{aligned}$ | 1038 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{D}_{6} \mathrm{E}_{7}$ |  | $\begin{aligned} & 0012 \mathrm{v} 1 \\ & 1100 \mathrm{~s} 1 \end{aligned}$ |
| 322 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~A}_{5}^{2} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} 000033 \mathrm{~s} \\ & \mathbb{Z}_{2} 111003 \mathrm{c} \end{aligned}$ | $534{ }^{\prime \prime}$ | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{D}_{6} \stackrel{\mathbb{Z}}{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 0230 \mathrm{~s} \\ \mathbb{Z}_{2} & 103 \mathrm{sv} \end{array}$ | 667 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{D}_{4} \mathrm{D}_{8}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 0002 \mathrm{ss} \\ & 1100 \mathrm{cc} \end{aligned}$ | 1056 | $\mathrm{A}_{1}^{4} \mathrm{D}_{8} \mathrm{E}_{7}$ |  | $\begin{aligned} & 0001 \mathrm{~s} 1 \\ & 1110 \mathrm{v} 1 \end{aligned}$ |
| 324 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5}^{2} \mathrm{D}_{4}$ | $\begin{array}{ll\|} \hline \mathbb{Z}_{2} & 00033 \mathrm{~s} \\ \mathbb{Z}_{2} & 01203 \mathrm{c} \\ \hline \end{array}$ | 540 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{D}_{5} \mathrm{D}_{6} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll} \hline \mathbb{Z}_{2} & 01022 \mathrm{~s} \\ \mathbb{Z}_{2} & 10202 \mathrm{c} \end{array}$ | 669 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{D}_{4} \mathrm{D}_{8}$ |  | $\begin{aligned} & 002 \mathrm{ss} \\ & 020 \mathrm{cs} \end{aligned}$ | 313 | $\mathrm{A}_{3}^{5} \mathrm{D}_{4}$ |  | $\begin{aligned} & 20022 \mathrm{~s} \\ & 01111 \mathrm{~s} \end{aligned}$ |
| $324{ }^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5}^{2} \mathrm{D}_{4}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 01203 \mathrm{~s} \\ \mathbb{Z}_{2} & 10230 \mathrm{~s} \end{array}$ | 544 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{D}_{5} \mathrm{D}_{6} \quad \mathbb{Z}_{2}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00032 \mathrm{~s} \\ \mathbb{Z}_{2} & 11102 \mathrm{c} \end{array}$ | 670 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{D}_{8}$ |  | $\begin{aligned} & 0130 \mathrm{~s} \\ & 103 \mathrm{sv} \end{aligned}$ | 339 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{~A}_{7} \mathrm{D}_{4}$ |  | $\begin{gathered} 11004 \mathrm{~s} \\ 111160 \end{gathered}$ |
| 338 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{~A}_{7} \mathrm{D}_{4}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00024 \mathrm{~s} \\ \mathbb{Z}_{2} & 112040 \end{array}$ | $544^{\prime}$ | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{D}_{5} \mathrm{D}_{6} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{aligned} & \mathbb{Z}_{2} 00132 \mathrm{v} \\ & \mathbb{Z}_{2} 11030 \mathrm{~s} \end{aligned}$ | 672 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{D}_{5} \mathrm{D}_{8}$ |  | $\begin{aligned} & 01102 \mathrm{~s} \\ & 10120 \mathrm{c} \end{aligned}$ | 30 | $A_{1}^{4} A_{5}^{3}$ |  | $\begin{aligned} & 011033 \\ & 101114 \end{aligned}$ |
| 345 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{~A}_{7} \mathrm{D}_{4}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 001340 \\ \mathbb{Z}_{2} & 11004 \mathrm{~s} \\ \hline \end{array}$ | 550 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{D}_{4} \mathrm{D}_{5} \mathrm{D}_{6} \frac{\mathbb{Z}_{2}}{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 02 \mathrm{~s} 2 \mathrm{v} \\ \mathbb{Z}_{2} & 10 \mathrm{c} 2 \mathrm{~s} \end{array}$ | 676 | $\mathrm{A}_{1}^{2} \mathrm{D}_{4} \mathrm{D}_{5} \mathrm{D}_{8}$ |  | $\begin{gathered} 00 \mathrm{~s} 2 \mathrm{~s} \\ 11 \mathrm{c} 0 \mathrm{c} \end{gathered}$ | 327 | $\mathrm{A}_{5}^{3} \mathrm{D}_{4}$ | $\mathbb{Z}_{6}$ | $\begin{aligned} & 033 \mathrm{~s} \\ & 112 \mathrm{c} \end{aligned}$ |
| 377 | $\mathrm{A}_{2} \mathrm{~A}_{3}^{3} \mathrm{D}_{4}^{2}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0022 \mathrm{ss} \\ \mathbb{Z}_{2} & 0202 \mathrm{cc}\end{array}$ | 553 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{D}_{6}^{2} \quad \mathbb{Z}^{\mathbb{Z}}$ | $\begin{aligned} & \mathbb{Z}_{2} 0102 \mathrm{sv} \\ & \mathbb{Z}_{2} 1002 \mathrm{vS} \end{aligned}$ | 678 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{D}_{6} \mathrm{D}_{8}$ |  | $\begin{aligned} & 0010 \mathrm{ss} \\ & 1100 \mathrm{vc} \end{aligned}$ | 18 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{4} \mathrm{~A}_{5}^{2}$ |  | $\begin{gathered} 001122 \\ 110024 \end{gathered}$ |
| 378 | $\mathrm{A}_{1} \mathrm{~A}_{5}^{2} \mathrm{D}_{4}^{2}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0330 \mathrm{~s} \\ \mathbb{Z}_{2} & 103 \mathrm{sc}\end{array}$ | 555 | $\begin{array}{ll}\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{D}_{6}^{2} & \mathbb{Z}^{2} \\ \mathbb{Z}\end{array}$ | $\begin{array}{\|ll\|} \hline \mathbb{Z}_{2} & 002 \mathrm{ss} \\ \mathbb{Z}_{2} & 020 \mathrm{cc} \end{array}$ | 680 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{D}_{6} \mathrm{D}_{8}$ |  | $\begin{aligned} & 002 \mathrm{vs} \\ & 010 \mathrm{sc} \end{aligned}$ | 33 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{5}^{3}$ |  | $\begin{aligned} & 00222 \\ & 11024 \end{aligned}$ |
| 388 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5} \mathrm{D}_{5}$ | $\begin{aligned} & \mathbb{Z}_{2} 0010232 \\ & \mathbb{Z}_{2} 1102202 \end{aligned}$ | 555' | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{D}_{6}^{2} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 002 \mathrm{ss} \\ \mathbb{Z}_{2} & 120 \mathrm{sv} \end{array}$ | $680{ }^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{D}_{6} \mathrm{D}_{8}$ |  | $\begin{gathered} 010 \mathrm{ss} \\ 102 \mathrm{sv} \end{gathered}$ | 838 | $\mathrm{A}_{2}^{4} \mathrm{~A}_{5} \mathrm{E}_{6}$ |  | $\begin{aligned} & 001121 \\ & 110022 \end{aligned}$ |
| 393 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{5}^{2} \mathrm{D}_{5}$ | $\begin{aligned} & \mathbb{Z}_{2} 0011330 \\ & \mathbb{Z}_{2} 1101032 \end{aligned}$ | 557 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{D}_{6}^{2} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z} \\ & \end{aligned}$ | $\begin{array}{\|ll\|} \hline \mathbb{Z}_{2} & 003 \mathrm{sv} \\ \mathbb{Z}_{2} & 110 \mathrm{ss} \end{array}$ | 684 | $\mathrm{A}_{1} \mathrm{D}_{4} \mathrm{D}_{6} \mathrm{D}_{8}$ |  | $\begin{aligned} & 0 \mathrm{svs} \\ & 10 \mathrm{sc} \end{aligned}$ | 72 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3}^{3} \mathrm{~A}_{7}$ |  | $\begin{aligned} & 002112 \\ & 112004 \end{aligned}$ |
| 456 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{4} \mathrm{D}_{5}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0103 \mathrm{~s} 2 \\ \mathbb{Z}_{2} & 1023 \mathrm{c} 0\end{array}$ | 561 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{D}_{4} \mathrm{D}_{6}^{2} \quad \mathbb{Z}^{2}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00 \mathrm{sss} \\ \mathbb{Z}_{2} & 10 \mathrm{ccv} \end{array}$ | 689 | $\mathrm{A}_{1}^{3} \mathrm{D}_{8}^{2}$ |  | $\begin{gathered} 000 \mathrm{ss} \\ 011 \mathrm{cv} \end{gathered}$ | 75 | $\mathrm{A}_{3}^{4} \mathrm{~A}_{7}$ |  | $\begin{aligned} & 02112 \\ & 22004 \end{aligned}$ |
| 485 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{D}_{6}$ | $\begin{aligned} & \mathbb{Z}_{2} 001023 \mathrm{v} \\ & \mathbb{Z}_{2} 110003 \mathrm{~s} \end{aligned}$ | 562 | $\begin{array}{cc}\mathrm{A}_{3} \mathrm{D}_{4} \mathrm{D}_{6}^{2} & \mathbb{Z} \\ \\ \mathbb{Z}\end{array}$ | $\begin{array}{\|ll\|} \hline \mathbb{Z}_{2} & 0 \mathrm{sss} \\ \mathbb{Z}_{2} & 20 \mathrm{cc} \end{array}$ | 691 | $\mathrm{A}_{3} \mathrm{D}_{8}^{2}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 0 \mathrm{ss} \\ & 2 \mathrm{cv} \end{aligned}$ | 109 | $\mathrm{A}_{1}^{5} \mathrm{~A}_{7}^{2}$ |  |  |
| 487 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5} \mathrm{D}_{6}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 00023 \mathrm{~s} \\ \mathbb{Z}_{2} & 01203 \mathrm{v} \end{array}$ | 563 | $\begin{array}{ll}\mathrm{A}_{1}^{2} \mathrm{D}_{5} \mathrm{D}_{6}^{2} & \mathbb{Z} \\ \mathbb{Z}\end{array}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 012 \mathrm{sv} \\ \mathbb{Z}_{2} & 102 \mathrm{vs} \end{array}$ | 730 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3}^{2} \mathrm{D}_{10}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 00102 \mathrm{~s} \\ & 01020 \mathrm{c} \end{aligned}$ | 115 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{7}^{2}$ |  | $\begin{aligned} & 00222 \\ & 11204 \end{aligned}$ |
| $487{ }^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{~A}_{5} \mathrm{D}_{6}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 01220 \mathrm{~s} \\ \mathbb{Z}_{2} & 102230 \end{array}$ | 565 | $\mathrm{A}_{1} \mathrm{D}_{6}^{3} \quad \mathbb{Z}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 0 \mathrm{ssv} \\ \mathbb{Z}_{2} & 0 \mathrm{cvs} \end{array}$ | 738 | $\mathrm{A}_{1}^{4} \mathrm{~A}_{5} \mathrm{D}_{10}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 00003 \mathrm{~s} \\ & 01110 \mathrm{c} \end{aligned}$ | 1 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{6}$ |  | 0011112 <br> 0101231 |


|  $\mathbb{Z}_{2} 00022 \mathrm{ss}$ <br> $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{3} \mathrm{D}_{4}^{2}$ $\mathbb{Z}_{2} 00202 \mathrm{cc}$ <br>  $\mathbb{Z}_{2} 11002 \mathrm{vv}$ |  | $\begin{array}{rll}\mathbb{Z}_{2} & 000 \mathrm{sss} \\ 560 \mathrm{~A}_{1}^{3} \mathrm{D}_{4} \mathrm{D}_{6}^{2} \mathbb{Z}_{2} & 001 \mathrm{ccv} \\ \mathbb{Z}_{2} & 1100 \mathrm{cc}\end{array}$ |
| :---: | :---: | :---: |
|  | $\left.\begin{array}{\|cc\|}  & \mathbb{Z}_{2} 00002 \mathrm{ss} \\ 552 \mathrm{~A}_{1}^{4} \mathrm{~A}_{3} \mathrm{D}_{6}^{2} & \mathbb{Z}_{2} 00110 \mathrm{cc} \\ & \mathbb{Z}_{2} 11010 \mathrm{sv} \end{array} \right\rvert\,$ |  $\mathbb{Z}_{2} 000 \mathrm{ssss}$ <br> $380 \mathrm{~A}_{1}^{3} \mathrm{D}_{4}^{4}$ $\mathbb{Z}_{2} 000 \mathrm{cccc}$ <br>  $\mathbb{Z}_{2} 0110 \mathrm{scv}$ <br>  $\mathbb{Z}_{2} 1010 \mathrm{cvs}$ |

Table B.6: Groups of maximal rank with non-trivial fundamental group and their generators for the heterotic string on $T^{3}$.

| 4 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{7}$ | $\mathbb{Z}_{2}$ | 004 | 82 | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 1300 | 161 | $\mathrm{A}_{2} \mathrm{C}_{1} \mathrm{C}_{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 001s | 312 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{3} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 01201 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 5 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{3}^{2} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 00220 | 82' | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 1301 | 163 | $\mathrm{A}_{1} \mathrm{C}_{1} \mathrm{C}_{3} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 100s | 316 | $\mathrm{A}_{5} \mathrm{C}_{3} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 301 |
| 7 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{~A}_{5} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 10030 | 84 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{C}_{2} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 10011 | 164 | $\mathrm{C}_{2} \mathrm{C}_{3} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 10s | 318 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{C}_{4} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 01011 |
| 9 | $\mathrm{A}_{1} \mathrm{~A}_{4} \mathrm{~A}_{5} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 1030 | 86 | $\mathrm{A}_{2} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 0201 | 166 | $\mathrm{C}_{1} \mathrm{C}_{4} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 01 v | 320 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{4} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 1011 |
| 10 | $\mathrm{A}_{5}^{2} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 330 | 87 | $\mathrm{A}_{1} \mathrm{~A}_{4} \mathrm{C}_{2} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 1011 | 167 | $\mathrm{A}_{1} \mathrm{C}_{1} \mathrm{C}_{2} \mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 1012 | $320^{\prime}$ | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{4} \mathrm{~F}_{3}$ | 2 | 1201 |
| 12 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{7} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 0040 | 88 | $\mathrm{A}_{5} \mathrm{C}_{2} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 310 | 168 | $\mathrm{C}_{2}^{2} \mathrm{D}_{7}$ | $\mathbb{Z}_{2}$ | 112 | 324 | $\mathrm{A}_{1}^{2} \mathrm{C}_{6} \mathrm{~F}_{3}$ | $\mathrm{Z}_{2}$ | 0011 |
| 3 | $3 \mathrm{~A}_{1} \mathrm{~A}_{9} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 150 | 89 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{C}_{3} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 11001 | 170 | $\mathrm{A}_{1} \mathrm{C}_{1}^{2} \mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | 000s | 326 | $\mathrm{A}_{2} \mathrm{C}_{6} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 011 |
|  | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2}^{2} \mathrm{~A}_{3}$ |  | 00200 | 91 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{3} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 0201 | 172 | $\mathrm{C}_{1} \mathrm{C}_{2} \mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ | 00s | 329 | $\mathrm{A}_{1}$ | 2 | 100s1 |
| 8 | $8 \mathrm{~A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{5}$ | $\mathbb{Z}_{2}$ | 010300 | 93 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{4}^{2}$ | $\mathbb{Z}_{2}$ | 0011 | 182 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{1} \mathrm{E}$ | $\mathbb{Z}_{2}$ | 1001 | 330 | $\mathrm{A}_{2} \mathrm{C}_{2} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 01s1 |
| 9 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 12300 | 95 | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{C}_{5}$ | $\mathbb{Z}_{2}$ | 130 | 183 | $\mathrm{A}_{1}^{2} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 01001 | 331 | $\mathrm{A}_{1} \mathrm{C}_{3} \mathrm{D}_{4}$ | $\mathbb{Z}_{2}$ | 10s1 |
| 22 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{7} \mathrm{C}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 11400 | 100 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 1210 | 185 | $\mathrm{A}_{2} \mathrm{C}_{2} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 011 | 332 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 1021 |
| 30 | $\mathrm{A}_{4} \mathrm{~A}_{5} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 031 | 103 | $\mathrm{A}_{1}^{2} \mathrm{C}_{4} \mathrm{C}_{5}$ | $\mathbb{Z}_{2}$ | 1110 | 186 | $\mathrm{A}_{1} \mathrm{C}_{1} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 0011 | 333 | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{D}_{5} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 01021 |
| 31 | $\mathrm{A}_{2} \mathrm{~A}_{7} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 040 | 105 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 1001 | 187 | $\mathrm{C}_{2}^{2} \mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | 011 | 335 | $\mathrm{A}_{1} \mathrm{C}_{2} \mathrm{D}_{5}$ | 2 | 0121 |
| 32 | $\mathrm{A}_{9} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 51 | 107 | $\mathrm{A}_{1} \mathrm{~A}_{4} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 101 | 188 | $\mathrm{A}_{1} \mathrm{C}_{3} \mathrm{E}$ | $\mathbb{Z}_{2}$ | 101 | 337 | $\mathrm{A}_{2} \mathrm{D}_{6} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 0s1 |
| 33 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 100201 | 108 | $\mathrm{A}_{5} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 31 | 196 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}^{2}$ | $\mathbb{Z}_{2}$ | 1230 | 338 | $\mathrm{A}_{1}$ | 2 | 00s1 |
| 36 | $6 \mathrm{~A}_{1} \mathrm{~A}^{\text {}}$ | $\mathbb{Z}_{2}$ | 12001 | 109 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 01001 | 199 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{7} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 1140 | 339 | $\mathrm{C}_{2} \mathrm{D}_{6} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 0s1 |
| 39 | $9 \mathrm{~A}_{1} \mathrm{~A}^{\text {}}$ | $\mathbb{Z}_{2}$ | 00301 | 111 | $\mathrm{A}_{1} \mathrm{~A}^{\text {d }}$ | $\mathbb{Z}_{2}$ | 1001 | 202 | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 112200 | $339^{\prime}$ | $\mathrm{C}_{2} \mathrm{D}$ | $\mathbb{Z}_{2}$ | 1v1 |
| 40 | $\mathrm{A}_{3} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 0301 | 111' | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{1} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 1201 | 206 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 111300 | 340 | $\mathrm{A}_{1} \mathrm{D}_{7} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 121 |
| $40^{\prime}$ | ${ }^{\prime} \mathrm{A}_{3} \mathrm{~A}_{5}$ | $\mathbb{Z}_{2}$ | 2301 | 114 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{2} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 0011 | 213 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{C}_{2} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 12210 | 345 | $\mathrm{A}_{1} \mathrm{E}_{7} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 01 |
| 42 | $\mathrm{A}_{1} \mathrm{~A}_{7} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 0400 | $114^{\prime}$ | $\mathrm{A}_{1} \mathrm{~A}$ | $\mathbb{Z}_{2}$ | 1001 | 216 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{C}_{2} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 11310 | 347 | $\mathrm{C}_{1} \mathrm{E}_{7} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 01 |
| 42 | $\mathrm{A}_{1} \mathrm{~A}_{7} \mathrm{C}_{1} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 1401 | 115 | $\mathrm{A}_{3} \mathrm{C}_{2} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 011 | 226 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{4} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 11210 | 349 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{~F}_{2}$ | $\mathbb{Z}_{2}$ | 111201 |
| 46 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{3} \mathrm{C}_{2}^{2}$ | $\mathbb{Z}_{2}$ | 00211 | 116 | $\mathrm{A}_{1}^{2} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 0101 | 233 | $\mathrm{A}_{1}^{3} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 11110 | 360 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{~F}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 011011 |
| $48$ | $\mathrm{A}_{3} \mathrm{~A}_{4} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 2011 | 118 | $\mathrm{A}_{1} \mathrm{C}_{4} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 101 | 280 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{2} \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}$ | 10021 | 362 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~F}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 00211 |
| 0 | $\mathrm{A}_{2} \mathrm{~A}_{5} \mathrm{C}_{2}^{2}$ | $\mathbb{Z}_{2}$ | 0301 | 124 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 001 | 283 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{4} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 1201 | 364 | $\mathrm{A}_{2} \mathrm{~A}_{3} \mathrm{~F}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 0211 |
| 1 | $1 \mathrm{~A}_{7} \mathrm{C}_{2}^{2}$ | $\mathbb{Z}_{2}$ | 400 | 125 | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 0001 | 286 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{5} \mathrm{~F}$ | $\mathbb{Z}_{2}$ | 0031 | 367 | $\mathrm{A}_{1} \mathrm{D}_{4} \mathrm{~F}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 0s11 |
|  | $\mathrm{A}_{2} \mathrm{~A}_{3}^{2} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 0220 | $125^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 1101 | 287 | $\mathrm{A}_{3} \mathrm{~A}_{5} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 031 | 369 | $\mathrm{D}_{5} \mathrm{~F}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 211 |
| 54 | $4 \mathrm{~A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{5} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 1030 | 126 | $\mathrm{A}_{2} \mathrm{C}_{1} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 001 | $287{ }^{\prime}$ | $\mathrm{A}_{3} \mathrm{~A}_{5} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 231 | 192 | $\mathrm{A}_{1} \mathrm{~A}_{2}^{4} \mathrm{~F}_{2}$ | $\mathbb{Z}_{3}$ | 011111 |
| 56 | $\mathrm{A}_{1} \mathrm{~A}_{7} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 040 | 127 | $\mathrm{A}_{1} \mathrm{C}_{2} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 001 | 289 | $\mathrm{A}_{1} \mathrm{~A}_{7} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 141 | 195 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{5} \mathrm{~F}_{2}$ | $\mathbb{Z}_{3}$ | 1121 |
| 60 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 01300 | 128 | $\mathrm{C}_{3} \mathrm{C}_{8}$ | $\mathbb{Z}_{2}$ | 01 | 291 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2}^{2} \mathrm{C}_{1} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 10001 | 264 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2}^{2} \mathrm{~F}_{2}^{2}$ |  | 001111 |
| 63 | $3 \mathrm{~A}_{7} \mathrm{C}_{1} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 400 | 131 | $\mathrm{A}_{1} \mathrm{C}_{10}$ | $\mathbb{Z}_{2}$ | 11 | 292 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{C}_{1}$ | $\mathbb{Z}_{2}$ | 010201 | 265 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{3} \mathrm{~F}_{2}^{2}$ | $\mathbb{Z}_{3}$ | 11011 |
| 64 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}$ | 10210 | 141 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{C}_{1}^{2}$ |  | 10002 | 294 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{C}_{1} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 12201 | 269 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{~F}_{2}^{2}$ | $\mathbb{Z}_{3}$ | 00211 |
| 65 | $5 \mathrm{~A}_{3}^{2} \mathrm{C}_{2} \mathrm{C}$ | $\mathbb{Z}_{2}$ | 2200 | 144 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{1} \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 10012 | 297 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 00301 | 270 | $\mathrm{A}_{2} \mathrm{~A}_{5} \mathrm{~F}_{2}^{2}$ | $\mathbb{Z}_{3}$ | 0211 |
| 67 | $7 \mathrm{~A}_{1} \mathrm{~A}_{5} \mathrm{C}_{2} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 0310 | 146 | $\mathrm{A}_{2} \mathrm{C}_{2}^{2} \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 0112 | $297{ }^{\prime}$ | $\mathrm{A}_{1}^{2}$ | $\mathbb{Z}_{2}$ | 11301 | 278 | $\mathrm{A}_{1} \mathrm{E}_{6} \mathrm{~F}_{2}^{2}$ | $\mathbb{Z}_{3}$ | 0111 |
| $67^{\prime}$ | ${ }^{\prime} \mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{C}_{2} \mathrm{C}_{3}$ | $\mathbb{Z}_{2}$ | 1300 | 147 | $\mathrm{A}_{1} \mathrm{C}_{2} \mathrm{C}_{3} \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 1102 | 299 | $\mathrm{A}_{2} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 0301 | 15 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3}^{2} \mathrm{C}_{1}^{2}$ | $\mathbb{Z}_{4}$ | 001111 |
| 70 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{3}^{2}$ | $\mathbb{Z}_{2}$ | 11200 | 149 | $\mathrm{A}_{1} \mathrm{C}_{1} \mathrm{C}_{4} \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 0012 | 302 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2}^{2} \mathrm{C}_{2} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 110011 | 23 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{7} \mathrm{C}_{1}^{2}$ | $\mathbb{Z}_{4}$ | 00211 |
| 75 | $\mathrm{A}_{2}^{2} \mathrm{~A}_{3} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 0021 | 150 | $\mathrm{C}_{2} \mathrm{C}_{4} \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 012 | 305 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}$ | 00211 | 24 | $\mathrm{A}_{2} \mathrm{~A}_{7} \mathrm{C}_{1}^{2}$ | $\mathbb{Z}_{4}$ | 0211 |
| 78 | $8 \mathrm{~A}_{1}^{2} \mathrm{~A}_{2}^{2} \mathrm{C}_{1} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 110001 | 152 | $\mathrm{C}_{1}^{2} \mathrm{D}_{4} \mathrm{D}_{5}$ | $\mathbb{Z}_{2}$ | 00s2 | 306 | $\mathrm{A}_{3}^{2} \mathrm{C}_{2} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 0211 |  |  |  |  |
| 80 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{C}_{1} \mathrm{C}$ |  | 00201 | 153 | $\mathrm{C}_{1} \mathrm{D}_{5}^{2}$ | $\mathbb{Z}_{2}$ | 022 | 307 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{4} \mathrm{C}_{2} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 11011 |  |  |  |  |
| 81 | $1 \mathrm{~A}_{1}^{2} \mathrm{~A}_{4} \mathrm{C}_{1} \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 11001 | 156 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{1}^{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ | 1000s | 309 | $\mathrm{A}_{1} \mathrm{~A}_{5} \mathrm{C}_{2} \mathrm{~F}_{3}$ | $\mathbb{Z}_{2}$ | 0301 |  |  |  |  |


| 2 | $\mathrm{A}_{2} \mathrm{~A}_{3}^{3} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0022 \\ \mathbb{Z}_{2} & 0202\end{array}$ | $47^{\prime}$ | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{C}_{2}^{2} \quad \mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 00211 \\ & 12001 \end{aligned}$ | 106 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 0101 1021 |  | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 001 s 100 c |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 3 | $\mathrm{A}_{1} \mathrm{~A}_{5}^{2} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 033 \\ \mathbb{Z}_{2} & 103\end{array}$ | 49 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{C}_{2}^{2} \quad \mathbb{Z}_{2}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 00301 \\ & 11011 \end{aligned}$ | 113 | $\mathrm{A}_{1}^{3} \mathrm{C}_{2} \mathrm{C}_{6}$ | $\begin{aligned} & \mathbb{Z}_{2} 0 \\ & \mathbb{Z}_{2} 1 \end{aligned}$ | $\begin{aligned} & 00011 \\ & 11110 \end{aligned}$ | 159 | $\mathrm{A}_{3} \mathrm{C}_{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 01 s 20 v |
| 8 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{C}_{1} \mathbb{Z}_{\mathbb{Z}}^{\mathbb{Z}}$ | $\begin{array}{ll} \mathbb{Z}_{2} & 01030 \\ \mathbb{Z}_{2} & 10230 \end{array}$ | 74 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{C}_{4} \stackrel{\mathbb{Z}_{2}}{\mathbb{Z}_{2}}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 00021 \\ & 11001 \end{aligned}$ | 134 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{1} \mathrm{C}_{2} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 0200 \mathrm{~s} \\ & 1001 \mathrm{c} \end{aligned}$ | 160 | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{C}_{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 0100 s 1001 v |
| 28 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{~A}_{3}^{2} \mathrm{C}_{2} \stackrel{\mathbb{Z}^{2}}{\mathbb{Z}}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 00220 \\ \mathbb{Z}_{2} & 10021\end{array}$ | 76 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{C}_{4} \quad \mathbb{Z}_{2}$ |  | $\begin{aligned} & 0021 \\ & 0201 \end{aligned}$ | 136 | $\mathrm{A}_{1} \mathrm{~A}_{2} \mathrm{C}_{2}^{2} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} 00 \\ & \mathbb{Z}_{2} 10 \end{aligned}$ | $\begin{aligned} & 0011 \mathrm{~s} \\ & 1001 \mathrm{c} \end{aligned}$ | 162 | $\mathrm{A}_{1} \mathrm{C}_{2}^{2} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 001 \mathrm{~s} \\ & 010 \mathrm{c} \end{aligned}$ |
| 29 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{C}_{2} \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0031 \\ \mathbb{Z}_{2} & 1201\end{array}$ | 77 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{5} \mathrm{C}_{4} \quad \mathbb{Z}_{2}$ |  | $\begin{aligned} & 0130 \\ & 1031 \end{aligned}$ | 137 | $\mathrm{A}_{3} \mathrm{C}_{2}^{2} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{array}{c\|} \hline 011 \mathrm{~s} \\ 200 \mathrm{~s} \\ \hline \end{array}$ | 165 | $\mathrm{A}_{1} \mathrm{C}_{4} \mathrm{D}_{6}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 01 v 10 s |
| 29 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{~A}_{5} \mathrm{C}_{2} \stackrel{\left.\mathbb{Z}^{( }\right)}{\mathbb{Z}}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0231 \\ \mathbb{Z}_{2} & 1030\end{array}$ | 79 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{C}_{1} \mathrm{C}_{4} \mathbb{Z}_{\mathbb{Z}}$ |  | $\begin{aligned} & 1001 \\ & 1200 \end{aligned}$ | 138 | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{C}_{4} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} 0 \\ & \mathbb{Z}_{2} 1 \end{aligned}$ | $\begin{gathered} 0001 \mathrm{~s} \\ 1100 \mathrm{c} \end{gathered}$ | 169 | $\mathrm{A}_{1}^{2} \mathrm{C}_{1} \mathrm{D}_{8}$ |  | $\begin{aligned} & 000 \mathrm{~s} \\ & 110 \mathrm{c} \end{aligned}$ |
| 34 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{C}_{1} \mathrm{C}_{2} \stackrel{\mathbb{Z}_{2}}{\mathbb{Z}_{2}}$ | $\begin{aligned} & \mathbb{Z}_{2} 010201 \\ & \mathbb{Z}_{2} 102001 \end{aligned}$ | 83 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{2} \mathrm{C}_{2} \mathrm{C}_{4} \stackrel{\mathbb{Z}}{\mathbb{Z}}$ |  | $\begin{aligned} & 01011 \\ & 10001 \end{aligned}$ | 139 | $\mathrm{A}_{1} \mathrm{C}_{2} \mathrm{C}_{4} \mathrm{D}_{4}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & \hline 001 \mathrm{~s} \\ & 110 \mathrm{~s} \end{aligned}$ | 171 | $\mathrm{A}_{1} \mathrm{C}_{2} \mathrm{D}_{8}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | 00 s 11 c |
| 38 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{C}_{2} \stackrel{\mathbb{Z}_{2}}{\mathbb{Z}_{2}}$ | $\mathbb{Z}_{2} 000301$ $\mathbb{Z}_{2} 111001$ | 85 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{C}_{4} \mathbb{Z}_{\mathbb{Z}}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 00201 \\ & 01011 \end{aligned}$ | 143 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{D}_{5}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 0202 \\ & 1012 \end{aligned}$ | 281 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3}^{2} \mathrm{~F}_{3}$ |  | $\begin{aligned} & 01021 \\ & 10201 \end{aligned}$ |
|  | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{C}_{1} \mathrm{C}_{2} \stackrel{\mathbb{Z}^{2}}{\mathbb{Z}}$ | $\begin{aligned} & \mathbb{Z}_{2} 001300 \\ & \mathbb{Z}_{2} 110301 \end{aligned}$ | $85^{\prime}$ | $\mathrm{A}_{1}^{2} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{C}_{4} \stackrel{\mathbb{Z}_{2}}{\mathbb{Z}_{2}}$ | $\mathbb{Z}_{2}$ $\mathbb{Z}_{2}$ | $\begin{aligned} & 01011 \\ & 10210 \end{aligned}$ | 145 | $\mathrm{A}_{1}^{2} \mathrm{C}_{2}^{2} \mathrm{D}_{5}$ | $\begin{aligned} & \mathbb{Z}_{2} 01 \\ & \mathbb{Z}_{2} 10 \end{aligned}$ | $\begin{aligned} & 01012 \\ & 10102 \end{aligned}$ | 285 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{5} \mathrm{~F}_{3}$ |  | $\begin{aligned} & 00031 \\ & 11101 \end{aligned}$ |
| 45 | $\mathrm{A}_{1}^{2} \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{C}_{2}^{2} \mathbb{Z}_{\mathbb{Z}}^{\mathbb{Z}}$ | $\mathbb{Z}_{2} 010201$ $\mathbb{Z}_{2} 100210$ | 92 | $\mathrm{A}_{1}^{3} \mathrm{C}_{4}^{2} \quad \mathbb{Z}_{2}$ |  | $\begin{aligned} & 00011 \\ & 01101 \end{aligned}$ | 148 | $\mathrm{A}_{1}^{2} \mathrm{C}_{4} \mathrm{D}_{5}$ | $\begin{aligned} & \mathbb{Z}_{2} \\ & \mathbb{Z}_{2} \end{aligned}$ | $\begin{aligned} & 0012 \\ & 1102 \end{aligned}$ | 304 | $\mathrm{A}_{1}^{3} \mathrm{~A}_{3} \mathrm{C}_{2} \mathrm{~F}_{3}$ |  | $\begin{aligned} & 001201 \\ & 10011 \end{aligned}$ |
| 47 | $\mathrm{A}_{1} \mathrm{~A}_{3}^{2} \mathrm{C}_{2}^{2} \quad \begin{aligned} & \mathbb{Z} \\ & \mathbb{Z}\end{aligned}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 00211 \\ \mathbb{Z}_{2} & 02011\end{array}$ | 94 | $\mathrm{A}_{3} \mathrm{C}_{4}^{2} \quad \mathbb{Z}_{2}$ |  | $\begin{aligned} & 011 \\ & 201 \end{aligned}$ | 155 | $\mathrm{A}_{1} \mathrm{~A}_{3} \mathrm{C}_{1} \mathrm{D}_{6}$ | $\begin{array}{ll}\mathbb{Z}_{2} & 0 \\ \mathbb{Z}_{2} & 1\end{array}$ | $\begin{aligned} & 020 \mathrm{v} \\ & 100 \mathrm{~s} \end{aligned}$ | 317 | $\mathrm{A}_{1}^{4} \mathrm{C}_{4} \mathrm{~F}_{3}$ |  | $00111$ |



Table B.7: Groups of maximal rank with non-trivial fundamental group and their generators for the heterotic $\mathbb{Z}_{2}$ triple.

| $17 \mathrm{~A}_{1}^{3} \mathrm{~A}_{3} \mathrm{G}_{1} \mathbb{Z}_{2} \quad 11121$ | $32 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathrm{G}_{1}^{2} \mathbb{Z}_{2} 0211$ | $1 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2}^{2} \mathbb{Z}_{3} 00011$ | $7 \mathrm{~A}_{2} \mathrm{~A}_{5} \mathbb{Z}_{3} 02$ |
| :---: | :---: | :---: | :---: |
| $28 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2} \mathrm{G}_{1}^{2} \mathbb{Z}_{2} 011011$ | $35 \mathrm{~A}_{1} \mathrm{D}_{4} \mathrm{G}_{1}^{2} \mathbb{Z}_{2}$ 0s11 | $2 A_{2}^{2} A_{3} \mathbb{Z}_{3} \quad 110$ | $15 \mathrm{~A}_{1} \mathrm{E}_{6} \mathbb{Z}_{3} 01$ |
| $30 \mathrm{~A}_{1}^{2} \mathrm{~A}_{3} \mathrm{G}_{1}^{2} \mathbb{Z}_{2} \quad 00211$ | $37 \mathrm{D}_{5} \mathrm{G}_{1}^{2} \quad \mathbb{Z}_{2} \quad 211$ | $6 \mathrm{~A}_{1}^{2} \mathrm{~A}_{5} \mathbb{Z}_{3} \quad 002$ |  |

Table B.8: Groups of maximal rank with non-trivial fundamental group and their generators for the heterotic $\mathbb{Z}_{3}$ triple.

$$
\begin{array}{|l|l|l|l|l|l|}
\hline 1 \mathrm{~A}_{1}^{3} \mathrm{~A}_{2} \mathbb{Z}_{2} 0110 & 5 \mathrm{~A}_{2} \mathrm{~A}_{3} \mathbb{Z}_{2} 02 & 10 \mathrm{D}_{5} \mathbb{Z}_{2} 2 & 3 \mathrm{~A}_{1}^{2} \mathrm{~A}_{3} \mathbb{Z}_{2} 002 & 8 \mathrm{~A}_{1} \mathrm{D}_{4} \mathbb{Z}_{2} 0 \mathrm{~s} \\
\hline
\end{array}
$$

Table B.9: Groups of maximal rank with non-trivial fundamental group and their generators for the heterotic $\mathbb{Z}_{4}$ triple.

## Appendix C

## Complements to Chapter 6

## C. 1 Elements of $G L(2, \mathbb{Z})$ with fixed points

In Section 6.2.3 we described a few elements of $G L(2, \mathbb{Z})$ of determinant -1 and fixed points, corresponding to the AOB and DP backgrounds, as well as their versions with theta angles turned on. We perform here a more general analysis. We consider a general element $M \in$ $G L(2, \mathbb{Z})$ of determinant -1 , described as a matrix

$$
M=\left(\begin{array}{ll}
a & b  \tag{C.1.1}\\
c & d
\end{array}\right), \quad a d-b c=-1
$$

and copy the fixed point equation (6.2.23) from the main text,

$$
\begin{equation*}
c y^{2}+c x^{2}-(a-d) x-b=0, \quad(a+d) y=0 . \tag{C.1.2}
\end{equation*}
$$

Since we are looking for solutions in the upper half plane, $y>0$, the second equation implies $a=-d$. Since the first equation is symmetric under $y \mapsto-y$, restricting to positive $y$ is always possible. To find the most general solution, there are three separate cases to consider:
(i) Setting $a=0$, we have $c y^{2}+c x^{2}-b=0$ with $c \neq 0$ (this would give $M=0$ ), so that

$$
\begin{equation*}
x^{2}+y^{2}=\frac{b}{c}, \quad(a=0) \tag{C.1.3}
\end{equation*}
$$

But on the other hand, $b c=1$, and so

$$
M= \pm\left(\begin{array}{ll}
0 & 1  \tag{C.1.4}\\
1 & 0
\end{array}\right), \quad(a=0)
$$

(ii) Setting $c=0$, we have that $2 a x-b=0$ with $a d-b c=-a^{2}=-1 \Rightarrow a= \pm 1$ hence

$$
\begin{equation*}
\pm 2 x-b=0 . \tag{C.1.5}
\end{equation*}
$$

The corresponding family of solutions is

$$
M(b)= \pm\left(\begin{array}{cc}
1 & b  \tag{C.1.6}\\
0 & -1
\end{array}\right)
$$

which fix $\tau=-\frac{b}{2}+i y$.
(iii) Setting $b=0$, we have $c y^{2}+c x^{2}-2 a x=0$, again with $a= \pm 1$, so that

$$
\begin{equation*}
x^{2}+y^{2} \pm \frac{2}{c} x=0 \tag{C.1.7}
\end{equation*}
$$

This gives the family of solutions

$$
M(c)= \pm\left(\begin{array}{cc}
1 & 0  \tag{C.1.8}\\
c & -1
\end{array}\right)
$$

which fix $\tau=x+i \sqrt{x(2 / c-x)}$ with $0<x<2 / c$ for positive $c$ and $c / 2>x>0$ for negative $c$.
(iv) The general case is, in the chart $c \neq 0$, given by

$$
M=\left(\begin{array}{cc}
a & \frac{1-a^{2}}{c}  \tag{C.1.9}\\
c & -a
\end{array}\right), \quad \tau_{\mathrm{fixed}}=x+i \sqrt{-x^{2}+\frac{2 a}{c} x+\frac{1-a^{2}}{c^{2}}} .
$$

Notice that, for this to be an element of $G L(2, \mathbb{Z}), c$ should divide $1-a^{2}$. The elements in this class give all the images of the curve $|\tau|^{2}=1$ under $G L(2, \mathbb{Z})$ except for those with $c=0$, namely vertical lines. This suggests that the elements in this class are precisely $G L(2, \mathbb{Z})$ images of the members in the above two classes, which as shown in the main text, correspond to the class of the AOB and DP backgrounds. Although we have not proved this, we have verified that the image of ii) and iii) under a general $S L(2, \mathbb{Z})$ element is generically of the form (C.1.9). If this is the case, all the additional $G L(2, \mathbb{Z})$ conjugacy classes correspond to (in general intrinsically coupled descriptions) of the AOB and DP backgrounds, with and without theta angle, and hence do not provide new theories in nine dimensions.

## Appendix D

## Complements to Chapter 7

## D. 1 Examples of gauge group freezings

Here we give some examples of freezings of gauge groups in 6 d heterotic strings. For simplicity we use the A-to-G notation for gauge groups. Whenever the length of an A factor is not 2, superscript indicates half its length.
a) $2 \mathrm{~A}_{1}+\mathrm{A}_{11}+\mathrm{D}_{7}$ with $H=\mathbb{Z}_{4}$ generated by $k=(0,0,3,3)$, with center $(2,2,12,4)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,6,2)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{1}+\mathrm{C}_{5}+\mathrm{A}_{5}^{2}$ | $\mathbb{Z}_{2}$ | $(0,0,1,3)$ | $(2,2,2,6)$ |
| $(0,0,9,1)$ | $\left(2 \mathrm{~A}_{1}+4 \mathrm{~A}_{3}\right) / \mathbb{Z}_{4}$ | $2 \mathrm{~A}_{1}+\mathrm{C}_{2}^{2}+\mathrm{A}_{2}^{4}$ | 1 |  | $(2,2,2,3)$ |

b) $\mathrm{A}_{3}+\mathrm{A}_{11}+\mathrm{E}_{6}$ with $H=\mathbb{Z}_{6}$ generated by $k=(2,2,2)$, with center $(4,12,3)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(2,6,0)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{E}_{6}+\mathrm{A}_{1}^{2}+\mathrm{A}_{5}^{2}$ | $\mathbb{Z}_{3}$ | $(1,0,2)$ | $(3,2,6)$ |
| $(0,4,1)$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $\mathrm{~A}_{3}+\mathrm{G}_{2}+\mathrm{A}_{3}^{3}$ | $\mathbb{Z}_{2}$ | $(2,0,2)$ | $(4,1,4)$ |
| $(2,2,2)$ | $\left(2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+2 \mathrm{~A}_{5}\right) / \mathbb{Z}_{6}$ | $\mathrm{G}_{2}+\mathrm{A}_{1}^{2}+\mathrm{A}_{1}^{6}$ | 1 |  | $(1,2,2)$ |

c) $3 \mathrm{~A}_{1}+2 \mathrm{~A}_{4}+\mathrm{A}_{9}$ with $H=\mathbb{Z}_{10}$ generated by $k=(1,1,1,4,4,1)$, with center $(2,2,2,5,5,10)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(1,1,1,0,0,5)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{4}+\mathrm{A}_{4}^{2}$ | $\mathbb{Z}_{5}$ | $(4,4,1)$ | $(5,5,5)$ |
| $(0,0,0,3,3,2)$ | $4 \mathrm{~A}_{4} / \mathbb{Z}_{5}$ | $3 \mathrm{~A}_{1}+\mathrm{A}_{1}^{5}$ | $\mathbb{Z}_{2}$ | $(1,1,1,1)$ | $(2,2,2,2)$ |

d) $2 \mathrm{~A}_{2}+2 \mathrm{~A}_{5}+\mathrm{E}_{6}$ with $H=\mathbb{Z}_{3}^{2}$ generated by $k_{1}=(0,0,2,4,1)$ and $k_{2}=(1,2,0,2,1)$, with center $(3,3,6,6,3)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,2,4,1)$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $2 \mathrm{~A}_{2}+\mathrm{G}_{2}+2 \mathrm{~A}_{1}^{3}$ | $\mathbb{Z}_{3}$ | $(1,1,0,0,0)$ | $(3,3,1,2,2)$ |
| $(2,1,2,2,0)$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $\mathrm{E}_{6}+2 \mathrm{~A}_{1}^{3}$ | $\mathbb{Z}_{3}$ | $(1,0,0)$ | $(3,2,2)$ |
| $(1,2,0,2,1)$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $\mathrm{~A}_{5}+\mathrm{G}_{2}+\mathrm{A}_{1}^{3}$ | $\mathbb{Z}_{3}$ | $(2,0,0)$ | $(6,1,2)$ |

e) $3 \mathrm{~A}_{1}+\mathrm{D}_{10}+\mathrm{E}_{7}$ with $H=\mathbb{Z}_{2}^{2}$ generated by $k_{1}=(0,0,0,1,0,1)$ and $k_{2}=(1,1,1,0,1,0)$, with center $(2,2,2,(2,2), 2)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(1,1,1,1,1,1)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{C}_{8}+\mathrm{F}_{4}$ | $\mathbb{Z}_{2}$ | $(1,0)$ | $(2,1)$ |
| $(1,1,1,0,1,0)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{~B}_{5}+\mathrm{E}_{7}$ | $\mathbb{Z}_{2}$ | $(1,1)$ | $(2,2)$ |
| $(0,0,0,1,0,1)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $3 \mathrm{~A}_{1}+\mathrm{B}_{5}+\mathrm{F}_{4}$ | $\mathbb{Z}_{2}$ | $(1,1,1,1,0)$ | $(2,2,2,2,1)$ |

f) $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{3}+\mathrm{A}_{11}$ with $H=\mathbb{Z}_{12}$ generated by $k=(1,1,2,2,1,1)$, with center $(2,2,3,3,4,12)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,0,0,2,6)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+\mathrm{A}_{1}^{2}+\mathrm{A}_{5}^{2}$ | $\mathbb{Z}_{6}$ | $(1,1,2,2,1,1)$ | $(2,2,3,3,2,6)$ |
| $(0,0,2,2,0,4)$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $2 \mathrm{~A}_{1}+\mathrm{A}_{3}+\mathrm{A}_{3}^{3}$ | $\mathbb{Z}_{4}$ | $(1,1,1,1)$ | $(2,2,4,4)$ |
| $(1,1,0,0,3,3)$ | $\left(2 \mathrm{~A}_{1}+4 \mathrm{~A}_{3}\right) / \mathbb{Z}_{4}$ | $2 \mathrm{~A}_{2}+\mathrm{A}_{2}^{4}$ | $\mathbb{Z}_{3}$ | $(2,2,1)$ | $(3,3,3)$ |
| $(0,0,1,1,2,2)$ | $\left(2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+2 \mathrm{~A}_{5}\right) / \mathbb{Z}_{6}$ | $2 \mathrm{~A}_{1}+\mathrm{A}_{1}^{2}+\mathrm{A}_{1}^{6}$ | $\mathbb{Z}_{2}$ | $(1,1,1,1)$ | $(2,2,2,2)$ |

g) $\mathrm{A}_{1}+3 \mathrm{~A}_{5}+\mathrm{D}_{4}$ with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{6}$ generated by $k_{1}=(0,0,3,3,(1,1))$ and $\left.k_{2}=(0,1,1,2,(0,1))\right)$, with center $(2,6,6,6,(2,2))$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | $H$ | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,3,3,(1,1))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{~A}_{1}+\mathrm{A}_{5}+\mathrm{C}_{2}+2 \mathrm{~A}_{2}^{2}$ | $\mathbb{Z}_{6}$ | $(0,5,1,1,2)$ | $(2,6,2,3,3)$ |
| $(0,2,2,4,(0,0))$ | $6 \mathrm{~A}_{2} / \mathbb{Z}_{3}$ | $\mathrm{~A}_{1}+\mathrm{D}_{4}+3 \mathrm{~A}_{1}^{3}$ | $\mathbb{Z}_{2}^{2}$ | $(0,(1,0), 0,1,1)$ <br> $(0,(0,1), 1,0,1)$ | $(2,(2,2), 2,2,2)$ |
| $(0,1,1,2,(0,1))$ | $\left(2 \mathrm{~A}_{1}+2 \mathrm{~A}_{2}+2 \mathrm{~A}_{5}\right) / \mathbb{Z}_{6}$ | $\mathrm{~A}_{1}+\mathrm{C}_{2}+\mathrm{A}_{1}^{3}$ | $\mathbb{Z}_{2}$ | $(0,1,1)$ | $(2,2,2)$ |

h) $4 \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{7}$ with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ generated by $k_{1}=(0,0,1,1,0,2,2)$ and $k_{2}=(1,1,1,1,0,0,4)$, with center $(2,2,2,2,3,8,8)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(1,1,1,1,0,0,4)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{~A}_{2}+\mathrm{A}_{7}+\mathrm{A}_{3}^{2}$ | $\mathbb{Z}_{4}$ | $(0,2,2)$ | $(3,8,4)$ |
| $(0,0,0,0,0,4,4)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $4 \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{3}^{2}$ | $\mathbb{Z}_{2}^{2}$ | $(0,1,0,1,0,2,2)$ <br> $(1,0,1,0,0,2,2)$ | $(2,2,2,2,3,4,4)$ |
| $(0,0,1,1,0,2,2)$ | $\left(2 \mathrm{~A}_{1}+4 \mathrm{~A}_{3}\right) / \mathbb{Z}_{4}$ | $2 \mathrm{~A}_{1}+\mathrm{A}_{2}+2 \mathrm{~A}_{1}^{4}$ | $\mathbb{Z}_{2}$ | $(1,1,0,0,0)$ | $(2,2,3,2,2)$ |

i) $4 \mathrm{~A}_{1}+2 \mathrm{~A}_{3}+2 \mathrm{D}_{5}$ with $H=\mathbb{Z}_{2} \times \mathbb{Z}_{4}$ generated by $k_{1}=(0,0,0,0,1,3,1,3)$ and $k_{2}=$ $(1,1,1,1,0,0,2,2)$, with center $(2,2,2,2,4,4,4,4)$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(1,1,1,1,2,2,0,0)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{D}_{5}+2 \mathrm{~A}_{1}^{2}$ | $\mathbb{Z}_{4}$ | $(1,3,1,1)$ | $(4,4,2,2)$ |
| $(1,1,1,1,0,0,2,2)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{3}+2 \mathrm{C}_{3}$ | $\mathbb{Z}_{4}$ | $(1,3,1,1)$ | $(4,4,2,2)$ |
| $(0,0,0,0,2,2,2,2)$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $4 \mathrm{~A}_{1}+2 \mathrm{C}_{3}+2 \mathrm{~A}_{1}^{2}$ | $\mathbb{Z}_{2}^{2}$ | $(1,1,1,1,0,0,0,0)$ <br> $(0,0,0,0,1,1,1,1)$ | $(2,2,2,2,2,2,2,2)$ |
| $(0,0,0,0,1,3,1,3)$ | $\left(2 \mathrm{~A}_{1}+4 \mathrm{~A}_{3}\right) / \mathbb{Z}_{4}$ | $4 \mathrm{~A}_{1}+2 \mathrm{~A}_{1}^{4}$ | $\mathbb{Z}_{2}$ | $(1,1,1,1,0,0)$ | $(2,2,2,2,2,2)$ |

j) $5 \mathrm{~A}_{1}+\mathrm{D}_{4}+\mathrm{D}_{5}+\mathrm{D}_{6}$ with $H=\mathbb{Z}_{2}^{3}$ generated by $k_{1}=(0,0,0,0,1,(0,1), 2,(0,1))$, $\left.k_{2}=(0,1,1,1,0,(0,0), 2,(1,0))\right)$ and $\left.k_{3}=(1,0,0,1,0,(1,1), 2,(1,1))\right)$, with center $(2,2,2,2,2,(2,2), 4,(2,2))$ can be frozen to

| $k_{\Lambda}$ | Singularity | L | H | k | Center |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $(0,0,0,0,1,(0,1), 2,(0,1))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $4 \mathrm{~A}_{1}+\mathrm{B}_{3}+\mathrm{C}_{2}+\mathrm{C}_{3}$ | $\mathbb{Z}_{2}^{2}$ | $(0,1,1,1,0,1,0)$ <br> $(1,0,0,1,1,1,0)$ | $(2,2,2,2,2,2)$ |
| $(0,1,1,1,0,(0,0), 2,(1,0))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{1}+\mathrm{B}_{3}+\mathrm{C}_{3}+\mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{2}$ | $(0,1,1,0,(0,1))$ <br> $(1,0,1,0,(1,1))$ | $(2,2,2,2,(2,2))$ |
| $(0,1,1,1,1,(0,1), 0,(1,1))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{~A}_{1}+\mathrm{C}_{2}+\mathrm{C}_{4}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}^{2}$ | $(0,0,1,2)$ <br> $(1,1,0,2)$ | $(2,2,2,4)$ |
| $(1,0,0,1,0,(1,1), 2,(1,1))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $3 \mathrm{~A}_{1}+\mathrm{C}_{2}+\mathrm{C}_{3}+\mathrm{C}_{4}$ | $\mathbb{Z}_{2}^{2}$ | $(0,1,1,0,0,1)$ <br> $(1,0,0,1,0,1)$ | $(2,2,2,2,2,2)$ |
| $(1,0,0,1,1,(1,0), 0,(1,0))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{1}+\mathrm{B}_{3}+\mathrm{C}_{2}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}^{2}$ | $(0,0,1,1,2)$ <br> $(1,1,0,0,2)$ | $(2,2,2,2,4)$ |
| $(1,1,1,0,0,(1,1), 0,(0,1))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $2 \mathrm{~A}_{1}+\mathrm{B}_{3}+\mathrm{C}_{2}+\mathrm{D}_{5}$ | $\mathbb{Z}_{2}^{2}$ | $(1,0,1,0,2)$ <br> $(0,1,0,1,2)$ | $(2,2,2,2,4)$ |
| $(1,1,1,0,1,(1,0), 2,(0,0))$ | $8 \mathrm{~A}_{1} / \mathbb{Z}_{2}$ | $\mathrm{~A}_{1}+\mathrm{C}_{2}+\mathrm{C}_{3}+\mathrm{D}_{6}$ | $\mathbb{Z}_{2}^{2}$ | $(0,1,0,(1,0))$ <br> $(1,0,0,(0,1))$ | $(2,2,2,(2,2))$ |

## Appendix E

## Complements to Chapter 8

## E. 1 Aspects of Lattices

In this appendix we record some facts regarding the theory of lattices and lattice embeddings that we use in the main text. We record Niemeier lattices, orbit lattices and some theorems.

## E.1.1 Niemeier lattices

Even unimodular (self-dual) Euclidean lattices exist in dimensions $d \in 8 \mathbb{Z}$. For $d=1$ there is only the $E_{8}$ lattice; for $d=2$ there is the lattice $2 E_{8}$ and also $W_{\operatorname{Spin}(32) / \mathbb{Z}_{2}}$, obtained by adding the vector $\left(\frac{1}{2}^{16}\right)$ to $D_{16}$. For $d=24$, the latter construction of adding gluing vectors to ADE lattices yields 23 different unimodular lattices known as the Niemeier lattices; there is a 24th unimodular lattice which has no roots, known as the Leech lattice.

| $I$ | $\left(N_{I}\right)_{\text {root }}$ | $\frac{N_{I}}{\left(N_{I}\right) \text { root }}$ |  |
| :---: | :---: | :---: | :---: |
| $\alpha$ | $\mathrm{D}_{24}$ | $\mathbb{Z}_{2}$ | $s$ |
| $\beta$ | $\mathrm{D}_{16} \oplus \mathrm{E}_{8}$ | $\mathbb{Z}_{2}$ | s0 |
| $\gamma$ | $3 \mathrm{E}_{8}$ | 1 | 000 |
| $\delta$ | $\mathrm{A}_{24}$ | $\mathbb{Z}_{5}$ | 5 |
| $\varepsilon$ | $2 \mathrm{D}_{12}$ | $\mathbb{Z}_{2}^{2}$ | sv cc |
| $\zeta$ | $\mathrm{A}_{17} \oplus \mathrm{E}_{7}$ | $\mathbb{Z}_{6}$ | 31 |
| $\eta$ | $\mathrm{D}_{10} \oplus 2 \mathrm{E}_{7}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & s 10 \\ & c 01 \end{aligned}$ |
| $\theta$ | $\mathrm{A}_{15} \oplus \mathrm{D}_{9}$ | $\mathbb{Z}_{8}$ | 21 |
| $\iota$ | $3 \mathrm{D}_{8}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & 0 c c \\ & s s s \\ & c 0 c \end{aligned}$ |
| $\kappa$ | $2 \mathrm{~A}_{12}$ | $\mathbb{Z}_{13}$ | 15 |
| $\lambda$ | $\mathrm{A}_{11} \oplus \mathrm{D}_{7} \oplus \mathrm{E}_{6}$ | $\mathbb{Z}_{12}$ | 111 |
| $\mu$ | $4 \mathrm{E}_{6}$ | $\mathbb{Z}_{3}^{2}$ | $\begin{aligned} & 0111 \\ & 1012 \end{aligned}$ |
| $\nu$ | $2 \mathrm{~A}_{9} \oplus \mathrm{D}_{6}$ | $\mathbb{Z}_{2} \mathbb{Z}_{10}$ | $50 s$ $29 c$ |
| $\xi$ | $4 \mathrm{D}_{6}$ | $\mathbb{Z}_{2}^{4}$ | 0svc <br> $0 c s v$ <br> $s 0 c v$ <br> c0vs |
| $o$ | $3 \mathrm{~A}_{8}$ | $\mathbb{Z}_{3} \mathbb{Z}_{9}$ | 036 114 |
| $\pi$ | $2 \mathrm{~A}_{7} \oplus 2 \mathrm{D}_{5}$ | $\mathbb{Z}_{4} \mathbb{Z}_{8}$ | $\begin{aligned} & 0231 \\ & 1112 \end{aligned}$ |
| $\rho$ | $4 \mathrm{~A}_{6}$ | $\mathbb{Z}_{7}^{2}$ | 0124 1045 |


| I | $\left(N_{I}\right)_{\text {root }}$ |  | $\begin{aligned} & \frac{N_{I}}{\left(N_{I}\right) \text { root }} \\ & \hline \end{aligned}$ |
| :---: | :---: | :---: | :---: |
| $\sigma$ | $4 \mathrm{~A}_{5} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{2} \mathbb{Z}_{6}^{2}$ | 3003 c |
|  |  |  | 0255s |
|  |  |  | $2105 v$ |
| $\tau$ | $6 \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{6}$ | 00sscv |
|  |  |  | 00cvve |
|  |  |  | 0sovsv |
|  |  |  | 0c0svs |
|  |  |  | so0vcs |
|  |  |  | c00cvv |
| $v$ | $6 \mathrm{~A}_{4}$ | $\mathbb{Z}_{5}^{3}$ | 001234 |
|  |  |  | 010432 |
|  |  |  | 100212 |
| $\varphi$ | $8 \mathrm{~A}_{3}$ | $\mathbb{Z}_{4}^{4}$ | 00011321 |
|  |  |  | 00102333 |
|  |  |  | 01001132 |
|  |  |  | 10001213 |
| $\chi$ | $12 \mathrm{~A}_{2}$ | $\mathbb{Z}_{3}^{6}$ | 000001222101 |
|  |  |  | 000010110111 |
|  |  |  | 000100212012 |
|  |  |  | 001000012221 |
|  |  |  | 010000122210 |
|  |  |  | 100000102122 |
| $\psi$ | $24 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}^{12}$ | 000000000001111100100101 |
|  |  |  | 000000000010000101101111 |
|  |  |  | 000000000100001011011110 |
|  |  |  | 000000001000010110111100 |
|  |  |  | 000000010000101101111000 |
|  |  |  | 000000100000100111010101 |
|  |  |  | 000001000000110010001111 |
|  |  |  | 000010000000011000111011 |
|  |  |  | 000100000000110001110110 |
|  |  |  | 001000000000011111001001 |
|  |  |  | 010000000000111110010010 |
|  |  |  | 100000000000101011100011 |

Table E.1: Niemeier lattices

The Niemeier lattices are listed in Table E.1. They are specified by their root sublattice $\left(N_{I}\right)_{\text {root }}$ together with the gluing vectors, which are encoded in the abelian group $N_{I} /\left(N_{I}\right)_{\text {root }}$. This group is specified as a subgroup of the discriminant group of the root sublattice, $N_{I}^{*} /\left(N_{I}\right)_{\text {root }}$, by a set of generating elements.

## E.1.2 Correspondence between Narain and Niemeier lattices

Here we record some important results regarding a relation between primitive embeddings of lattices into the Narain lattice $\Gamma_{4,20}$ and the Niemeier lattices $N_{I}$, derived in 27, 28. We have the two following theorems:

Theorem 1. (part of Theorem 1 in [28]) Let $G$ be a subgroup of $O^{+}\left(\Gamma^{4,20}\right)$ fixing pointwise a sublattice $\Gamma^{G}$ of signature $\left(-{ }^{4},+^{d}\right), d \geq 0$. Then there exists a primitive embedding $i$ of the orthogonal complement $\Gamma_{G}$ into some positive-definite rank 24 even unimodular lattice $N$

$$
\begin{equation*}
i: \Gamma_{G} \hookrightarrow N \tag{E.1.1}
\end{equation*}
$$

Theorem 2. (part of Theorem 2 in [28]) Let $N$ be a positive definite rank 24 even unimodular lattice and $\hat{G}$ be a subgroup of $O(N)$ fixing pointwise a sublattice $N^{\hat{G}}$ of rank $4+d, d \geq 0$. Then, there exists a primitive embedding

$$
\begin{equation*}
f: N_{\hat{G}} \hookrightarrow \Gamma_{4,20} \tag{E.1.2}
\end{equation*}
$$

of the coinvariant sublattice $N_{\hat{G}}$ into the Narain lattice $\Gamma_{4,20}$.
Note that, as opposed to [28], we use the conventions in which $\Gamma_{d, d+16}$ has signature $\left(-{ }^{d},+{ }^{d+16}\right)$ and not $\left(+^{d},-^{d+16}\right)$. We are interested in the case in which $\Gamma_{G}$ has roots and $N$ is a Niemeier lattice $N_{I}$ (recall that in our conventions we take the Niemeier lattices to be those 23 with roots, separately from the Leech lattice.)

For our purposes we take $d=0$ in Theorem 1, so that $\Gamma_{G}$ is a rank 20 positive definite lattice. The negative definite 4-plane is polarized according to the values of the moduli in the theory, so that it being fixed under a subgroup of $O^{+}\left(\Gamma_{4,20}\right)$ means that the corresponding point in moduli space is fixed. If $\Gamma_{G}$ is a Lie algebra lattice, the corresponding moduli are completely fixed by the T-duality subgroup isomorphic to the Weyl group of $\Gamma_{G}$. Theorem 1 then states that $\Gamma_{G}$ can be primitively embedded into some $N_{I}$. Theorem 2 works inversely, and in general, for $d \geq 0$, we find that any Lie algebra lattice $L$ which admits a primitive embedding into $\Gamma_{4,20}$ also admits one into some $N_{I}$, and vice versa.

## E.1.3 Comments on gauge group topology and breakings

Here we make some comments regarding the computation of the fundamental groups of the gauge groups $G$ associated to orbit lattices and their breakings. As discussed int he text, these can be extracted from the gluing vectors therein, which represent the massive states in the spectrum sitting in the allowed representations of $G$. The actual computation is as follows [57]. Let $W$ be the orbit lattice in question and $L$ its root sublattice. Scale every root as

$$
\begin{equation*}
\alpha \rightarrow \alpha^{\prime}=\frac{2}{\alpha^{2}} \alpha \tag{E.1.3}
\end{equation*}
$$

to obtain the coroot lattice $L^{\vee}$. Embed $L^{\vee}$ into the dual charge lattice $\Gamma_{c}^{*}(n) \simeq W^{*}(n) \oplus$ $E_{8}(-1)$, with $n$ an appropriate scaling, and compute its overlattice $W^{\vee}$; the quotient $W^{\vee} / L^{\vee}$ is then isomorphic to $\pi_{1}(G)$. We make the observation that this quotient coincides with the so-called glue code of $W$, which can be checked explicitly from looking at Tables 5 to 16 in [158]. To see what happens to $\pi_{1}(G)$ when a node in the Dynkin diagram is deleted, just select the coroot system corresponding to the remaining nodes and compute the overlattice quotient as above.

As a simple example consider the lattice $W$ with root sublattice $E_{8} \oplus B_{8}$ (note that $\left.B_{8} \simeq 8 A_{1}\right)$ and gluing vector $k=(0,1)$. This lattice vector gives rise to massive states in the fundamental representation of $\operatorname{Spin}(17)$ and so $G=E_{8} \times \operatorname{Spin}(17)$, coinciding with the fact that the glue code of $W$ is trivial. Since the associated coroot lattice has no overlattice in $\Gamma_{c}^{*}(2)$, any symmetry breaking leaves the gauge group simply connected, similarly to what happens in the Narain component.

## E. 2 Orbit lattices, charge lattices and coinvariant lattices

Here we record first the orbit lattices resulting from the construction in Section 8.3.1. We use an unified notation $O_{A}$ in order to make easy reference to it. In each case the orbit lattice is the invariant sublattice of some Niemeier lattice $N_{I}$ under an automorphism subgroup $\mathcal{G} \subset O\left(N_{I}\right)$, namely $N_{I}^{\mathcal{G}}$, with coinvariant lattice $N_{I ; \mathcal{G}}$ also specified. These lattices are equipped with a choice of root sublattice which is in general not simply-laced, i.e. of ADE type. Therefore the group $O_{A} /\left(O_{A}\right)_{\text {root }}$ does not agree with the glue code in general. The former specifies the orbit lattice itself while the latter specifies the fundamental group $\pi_{1}(G)$ of the gauge symmetry group associated to $O_{A}$, as realized for example in a 2D theory (see previous section.) There are 59 orbit lattices of cyclic type which we list in Table E. 2 and 57 of non-cyclic type in Table E.3. There are also orbit lattices of rank less than four which we do not include as they are not relevant for six dimensional theories.

Taking the orthogonal complement of the coinvariant lattices inside $\Gamma_{4,20}$ we obtain the charge lattice $\Gamma_{c}$ associated to some moduli space component. There are 23 charge lattices of cyclic type, listed in Table E. 4 and 23 of non-cyclic type listed in E.5. The coinvariant lattices themselves are specified in Table E.6. We also record the charge lattices of higher dimensional theories in Table E. 7 .

The notation in these tables is slightly altered for reasons of space and clarity. In particular, scalings such as $A_{n}(n)$ are written $\mathrm{A}_{n}^{(n)}$.

| $A$ | $\left(O_{A}\right)_{\text {root }}$ |  | $\frac{O_{A}}{\left(O_{A}\right) \text { root }}$ |  | Glue code | $I$ | $N_{I, \mathcal{G}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $\mathrm{B}_{8}+\mathrm{E}_{8}$ | $\mathbb{Z}_{2}$ | 10 | 1 |  | $\beta$ | $\left[8 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ |
| 2 | $\mathrm{B}_{6} \oplus \mathrm{C}_{10}$ | $\mathbb{Z}_{2}$ | 11 | $\mathbb{Z}_{2}$ | 11 | $\varepsilon$ |  |
| 3 | $\mathrm{C}_{8} \oplus 2 \mathrm{~F}_{4}$ | 1 |  | $\mathbb{Z}_{2}$ | 100 | $\eta$ |  |
| 4 | $\mathrm{B}_{5} \oplus \mathrm{E}_{7} \oplus \mathrm{~F}_{4}$ | $\mathbb{Z}_{2}$ | 110 | $\mathbb{Z}_{2}$ | 110 | $\eta$ |  |
| 5 | $\mathrm{A}_{7}^{(2)} \oplus \mathrm{D}_{9}$ | $\mathbb{Z}_{8}$ | 11 | $\mathbb{Z}_{4}$ | 21 | $\theta$ |  |
| 6 | $\mathrm{B}_{4} \oplus 2 \mathrm{C}_{6}$ | $\mathbb{Z}_{2}$ | 111 | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 101 \\ & 110 \end{aligned}$ | $\iota$ |  |
| 7 | $2 \mathrm{~B}_{4} \oplus \mathrm{D}_{8}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 01 c \\ & 10 c \end{aligned}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & \hline 00 c \\ & 11 s \end{aligned}$ | $\iota$ |  |
| 8 | $4 \mathrm{C}_{4}$ | $\mathbb{Z}_{2}$ | 1111 | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & \hline 0011 \\ & 0101 \\ & 1001 \\ & \hline \end{aligned}$ | $\xi$ |  |
| 9 | $\mathrm{A}_{5}^{(2)} \oplus \mathrm{C}_{5} \oplus \mathrm{E}_{6}$ | $\mathbb{Z}_{6}$ | 112 | $\mathbb{Z}_{6}$ | 111 | $\lambda$ |  |
| 10 | $\mathrm{A}_{4}^{(2)} \oplus \mathrm{A}_{9} \oplus \mathrm{~B}_{3}$ | $\mathbb{Z}_{10}$ | 191 | $\mathbb{Z}_{10}$ | 171 | $\nu$ |  |
| 11 | $2 \mathrm{~B}_{3} \oplus \mathrm{C}_{4} \oplus \mathrm{D}_{6}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 011 c \\ & 101 s \end{aligned}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & 010 c \\ & 100 s \\ & 001 v \end{aligned}$ | $\xi$ |  |
| 12 | $16 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}^{5}$ | 000000001111111 0000111100001111 0011001100110011 0101010101010101 1001011001101001 | $\mathbb{Z}_{2}^{11}$ | 0000000000001111 0000000000110011 0000000001010101 0000000110000110 0000001010000011 0000010010010100 0000100010010001 0001000000010110 0010000010010010 0100000010000101 1000000010010111 | $\psi$ |  |
| 13 | $2 \mathrm{~A}_{3}^{(2)} \oplus 2 \mathrm{D}_{5}$ | $\mathbb{Z}_{4}^{2}$ | $\begin{aligned} & 0113 \\ & 1033 \end{aligned}$ | $\mathbb{Z}_{4}^{2}$ | $\begin{aligned} & 0213 \\ & 1112 \end{aligned}$ | $\pi$ |  |
| 14 | $\mathrm{A}_{3}^{(2)} \oplus \mathrm{A}_{7} \oplus 2 \mathrm{C}_{3}$ | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\begin{aligned} & 0411 \\ & 1201 \end{aligned}$ | $\mathbb{Z}_{2} \mathbb{Z}_{8}$ | $\begin{aligned} & 2011 \\ & 1101 \end{aligned}$ | $\pi$ |  |
| 15 | $4 \mathrm{C}_{2} \oplus 2 \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{3}$ | 0011vv 0101cc 1001ss | $\mathbb{Z}_{2}^{5}$ | 0000ss 0000cc $00110 v$ 01010c 10010s | $\tau$ |  |
| 16 | $2 \mathrm{~A}_{2}^{(2)} \oplus 2 \mathrm{~A}_{5} \oplus \mathrm{C}_{2}$ | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\begin{aligned} & 01240 \\ & 10551 \end{aligned}$ | $\mathbb{Z}_{6}^{2}$ | $\begin{aligned} & 01141 \\ & 10211 \end{aligned}$ | $\sigma$ |  |
| 17 | $4 \mathrm{~A}_{1}^{(2)} \oplus 4 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}^{3} \mathbb{Z}_{4}$ | 00110022 <br> 01010202 <br> 10010220 <br> 00011113 | $\mathbb{Z}_{2} \mathbb{Z}_{4}^{3}$ | 11110002 00001113 00110013 01010112 | $\varphi$ |  |


| A | $\left(O_{A}\right)_{\text {root }}$ |  | $\frac{O_{A}}{\left(O_{A}\right)_{\text {root }}}$ |  | Glue code | I | $N_{I, \mathcal{G}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | $12 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}$ | 11111111111 | $\mathbb{Z}_{2}^{11}$ | 000000000011 000000000101 000000001001 000000010001 000000100001 000001000001 000010000001 000100000001 001000000001 010000000001 100000000001 | $\psi$ |  |
| 19 | $6 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}$ | 111111 | $\mathbb{Z}_{2}^{5}$ | 000011 000101 001001 010001 100001 | $\tau$ | $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ |
| 20 | $4 \mathrm{~B}_{3}$ | $\mathbb{Z}_{2}$ | 1111 | $\mathbb{Z}_{2}^{3}$ | 0011 0101 1001 | $\xi$ |  |
| 21 | $3 \mathrm{~B}_{4}$ | $\mathbb{Z}_{2}$ | 111 | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 011 \\ & 101 \end{aligned}$ | $\iota$ |  |
| 22 | $2 \mathrm{~B}_{6}$ | $\mathbb{Z}_{2}$ | 11 | $\mathbb{Z}_{2}$ | 11 | $\varepsilon$ |  |
| 23 | $\mathrm{B}_{12}$ | $\mathbb{Z}_{2}$ |  | 1 |  | $\alpha$ |  |
| 24 | $4 \mathrm{~A}_{2}^{(2)} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{3}^{2}$ | $\begin{aligned} & 01110 \\ & 10120 \\ & \hline \end{aligned}$ | $\mathbb{Z}_{6}^{2}$ | $\begin{aligned} & \hline 0111 s \\ & 1012 c \\ & \hline \end{aligned}$ | $\sigma$ |  |
| 25 | $2 \mathrm{~A}_{4}^{(2)} \oplus \mathrm{C}_{4}$ | $\mathbb{Z}_{5}$ | 120 | $\mathbb{Z}_{10}$ | 121 | $\nu$ |  |
| 26 | $\mathrm{A}_{8}^{(2)} \oplus \mathrm{F}_{4}$ | $\mathbb{Z}_{3}$ | 30 | $\mathbb{Z}_{3}$ | 30 | $\zeta$ |  |
| 27 | $8 \mathrm{~A}_{1}^{(2)}$ | $\mathbb{Z}_{2}^{4}$ | 00001111 <br> 00110011 <br> 01010101 <br> 10010110 | $\mathbb{Z}_{2}^{4}$ | 00001111 00110011 01010101 10010110 | $\varphi$ | $\left[16 \mathrm{~A}_{1}^{\prime} \mid \mathbb{Z}_{2}^{4}\right]$ |
| 28 | $8 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}$ | 11111111 | $\mathbb{Z}_{2}^{7}$ | 00000011 00000101 00001001 00010001 00100001 01000001 10000001 | $\psi$ | $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ |
| 29 | $\mathrm{A}_{5}^{(3)} \oplus \mathrm{E}_{7}$ | $\mathbb{Z}_{6}$ | 11 | $\mathbb{Z}_{2}$ | 31 | $\zeta$ |  |
| 30 | $\mathrm{E}_{6} \oplus 3 \mathrm{G}_{2}$ | 1 |  | $\mathbb{Z}_{3}$ | 1000 | $\mu$ |  |
| 31 | $\mathrm{A}_{3}^{(3)} \oplus \mathrm{D}_{7} \oplus \mathrm{G}_{2}$ | $\mathbb{Z}_{4}$ | 130 | $\mathbb{Z}_{4}$ | 110 | $\lambda$ |  |
| 32 | $6 \mathrm{~A}_{2}$ | $\mathbb{Z}_{3}$ | 111111 | $\mathbb{Z}_{3}^{5}$ | 000012 000102 001002 010002 100002 | $\chi$ | $\left[6 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}\right]$ |
| 33 | $2 \mathrm{~A}_{2}^{(3)} \oplus \mathrm{A}_{8}$ | $\mathbb{Z}_{3}^{2}$ | $\begin{aligned} & 016 \\ & 106 \end{aligned}$ | $\mathbb{Z}_{9}$ | 111 | $o$ |  |
| 34 | $3 \mathrm{~A}_{1}^{(3)} \oplus \mathrm{A}_{5} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & { }^{0013 c_{2}}{ }^{0103 v} 258 \\ & 1003 s \end{aligned}$ | $\mathbb{Z}_{2}^{2} \mathbb{Z}$ |  $0013 v$ <br> 6 $0103 s$ <br> $1001 c$ | $\sigma$ |  |


| $A$ | $\left(O_{A}\right)_{\text {root }}$ | $\frac{O_{A}}{\left(O_{A}\right)_{\text {root }}}$ | Glue code | $I$ | $N_{\text {I,G }}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 35 | $3 \mathrm{~A}_{2}^{(3)}$ | $\begin{array}{ll}\mathbb{Z}_{3}^{2} & 012 \\ & 102\end{array}$ | $\mathbb{Z}_{3} \quad 111$ | $o$ | $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ |
| 36 | $3 \mathrm{~A}_{2}$ | 1 | $\mathbb{Z}_{3}^{3}$ | $\chi$ | $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{3}\right]$ |
| 37 | $\mathrm{A}_{3}^{(4)} \oplus \mathrm{C}_{7}$ | $\mathbb{Z}_{4} \quad 11$ | $\mathbb{Z}_{2} \quad 21$ | $\theta$ | $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}\right]$ |
| 38 | $\mathrm{A}_{2}^{(4)} \oplus \mathrm{C}_{2}^{(2)} \oplus \mathrm{E}_{6}$ | $\mathbb{Z}_{6} \quad 111$ | $\mathbb{Z}_{3} \quad 101$ | $\lambda$ |  |
| 39 | $2 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{C}_{3} \oplus \mathrm{D}_{5}$ | $\begin{array}{\|ll\|} \hline \mathbb{Z}_{2}^{2} & 0112 \\ 1012 \end{array}$ | $\mathbb{Z}_{2} \mathbb{Z}_{4} \begin{aligned} & 1110 \\ & 0011 \end{aligned}$ | $\pi$ |  |
| 40 | $\mathrm{A}_{1}^{(2)} \oplus 3 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2} \quad 1222$ |  0011 <br> $\mathbb{Z}_{4}^{3}$ 0101 <br>  1001 | $\varphi$ |  |
| 41 | $3 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{A}_{7}$ | $\begin{array}{ll}  & 0010 \\ \mathbb{Z}_{2}^{3} & 0100 \\ & 1004 \end{array}$ | $\mathbb{Z}_{8} \quad 0011$ | $\pi$ |  |
| 42 | $3 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{A}_{3}^{(2)}$ | $\begin{array}{cc}  & 0010 \\ \mathbb{Z}_{2}^{3} & 0100 \\ & 1002 \\ \hline \end{array}$ | $\mathbb{Z}_{4} \quad 0011$ | $\pi$ | $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ |
| 43 | $3 \mathrm{~A}_{1}^{(2)} \oplus \mathrm{A}_{3}$ | $\mathbb{Z}_{2} \quad 1112$ | $\begin{array}{ll} \hline & 0110 \\ \mathbb{Z}_{2}^{2} \mathbb{Z}_{4} & 1010 \\ & 0011 \end{array}$ | $\varphi$ | $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{2} \mathbb{Z}_{4}\right]$ |
| 44 | $2 \mathrm{~A}_{1}^{(5)} \oplus \mathrm{D}_{6}$ | $\begin{array}{cc}\mathbb{Z}_{2}^{2} & 01 c \\ & 10 s\end{array}$ | $\mathbb{Z}_{2}^{2}$ $01 c$ <br> $10 s$ | $\nu$ | $\left[4 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ |
| 45 | $2 \mathrm{~A}_{4}$ | 1 | $\mathbb{Z}_{5}^{2}$ | $v$ |  |
| 46 | $\mathrm{A}_{4}{ }^{\text {5 }}$ | $\mathbb{Z}_{5}$ | 1 | $\delta$ | $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ |
| 47 | $\mathrm{A}_{4}$ | 1 | $\mathbb{Z}_{5}$ | $v$ | $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}^{2}\right]$ |
| 48 | $2 \mathrm{~A}_{1}^{(3)} \oplus \mathrm{A}_{2}^{(2)} \oplus \mathrm{C}_{2}$ | $\mathbb{Z}_{2} \quad 1101$ | $\begin{array}{ll} \mathbb{Z}_{2} \mathbb{Z}_{6} & \begin{array}{l} 0101 \\ 1011 \end{array} \end{array}$ | $\sigma$ | $\left[5 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ |
| 49 | $\mathrm{A}_{1}^{(6)} \oplus \mathrm{C}_{5} \oplus \mathrm{G}_{2}$ | $\mathbb{Z}_{2} \quad 110$ | $\mathbb{Z}_{2} \quad 110$ | $\lambda$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ |
| 50 | $\mathrm{A}_{1}^{(3)} \oplus \mathrm{A}_{5} \oplus \mathrm{C}_{2}$ | $\mathbb{Z}_{2} \quad 131$ | $\begin{array}{ll} \hline \mathbb{Z}_{2} \mathbb{Z}_{6} & 101 \\ 011 \end{array}$ | $\sigma$ |  |
| 51 | $\mathrm{A}_{2}^{(2)} \oplus \mathrm{D}_{4}$ | 1 | $\begin{array}{ll} \mathbb{Z}_{2} \mathbb{Z}_{6} & \begin{array}{c} 0 c \\ 1 s \end{array} \end{array}$ | $\sigma$ | $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ |
| 52 | $\mathrm{A}_{2}^{(6)} \oplus \mathrm{F}_{4}$ | $\mathbb{Z}_{3} \quad 10$ | 1 | $\zeta$ |  |
| 53 | $\mathrm{A}_{6}$ | 1 | $\mathbb{Z}_{7}$ | $\rho$ | $\left[3 \mathrm{~A}_{6} \mid \mathbb{Z}_{7}\right]$ |
| 54 | $\mathrm{A}_{1}^{(4)} \oplus \mathrm{D}_{5}$ | $\mathbb{Z}_{2} \quad 10$ | $\mathbb{Z}_{4} \quad 01$ | $\pi$ | $\left[\mathrm{A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ |
| 55 | $\mathrm{A}_{1}^{(8)} \oplus \mathrm{C}_{3}^{(2)}$ | $\mathbb{Z}_{2}^{2}$ | 1 | $\theta$ | $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ |
| 56 | $\mathrm{A}_{1}^{(4)} \oplus \mathrm{C}_{3}$ | $\mathbb{Z}_{2} \quad 10$ | $\mathbb{Z}_{2} \quad 01$ | $\pi$ | $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{2} \mathbb{Z}_{8}\right]$ |
| 57 | $\mathrm{A}_{1}^{(5)} \oplus \mathrm{B}_{3}$ | $\mathbb{Z}_{2} \quad 11$ | $\mathbb{Z}_{2} \quad 11$ | $\nu$ | $\left[3 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{4} \oplus \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ |
| 58 | $\mathrm{C}_{4}$ | 1 | $\mathbb{Z}_{2}$ | $\nu$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ |
| 59 | $\mathrm{C}_{2}^{(2)} \oplus \mathrm{G}_{2}$ | $\mathbb{Z}_{2} \quad 10$ | 1 | $\lambda$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus \mathrm{~A}_{3} \oplus \mathrm{~A}_{11} \mid \mathbb{Z}_{12}\right]$ |

Table E.2: Orbit lattices $O_{A} \hookrightarrow N_{I}$ for cyclic orbifolds

| $A$ | $\left(O_{A}\right)_{\text {root }}$ | $\frac{O_{A}}{\left(O_{A}\right)_{\text {root }}}$ |  | Glue code |  | $I$ | $N_{I, \mathcal{G}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 60 | $3 \mathrm{C}_{2}^{(2)} \oplus \mathrm{D}_{6}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & \hline \hline 0010 \\ & 0100 \\ & 1000 \end{aligned}$ | $\mathbb{Z}_{2}^{2}$ | $000 s$ $000 c$ | $\xi$ |  |
| 61 | $2 \mathrm{C}_{3}^{(2)} \oplus \mathrm{C}_{6}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 010 \\ & 100 \end{aligned}$ | $\mathbb{Z}_{2}$ | 001 | $\iota$ |  |
| 62 | $4 \mathrm{~A}_{1}^{(4)} \oplus 2 \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{4}$ | 000100 001000 010000 100000 | $\mathbb{Z}_{2}^{4}$ | 00000s 00000c 0000s0 $0000 c 0$ | $\tau$ |  |
| 63 | $2 \mathrm{C}_{2}^{(2)} \oplus 2 \mathrm{C}_{4}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 0100 \\ & 1000 \end{aligned}$ | $\mathbb{Z}_{2}^{2}$ | $0001$ | $\xi$ |  |
| 64 | $\mathrm{C}_{4}^{(2)} \oplus 2 \mathrm{~F}_{4}$ | $\mathbb{Z}_{2}$ | 100 | 1 |  | $\eta$ |  |
| 65 | $12 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}^{2}$ | 000011111111 <br> 111100001111 | $\mathbb{Z}_{2}^{10}$ | 000000000011 000000001100 000000010101 000000100001 000001000101 000010000001 000100000100 001000000101 010000000100 100000000101 | $\psi$ | $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ |
| 66 | $6 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{2}$ | 001111 <br> 110011 | $\mathbb{Z}_{2}^{4}$ | $\begin{aligned} & 000011 \\ & 001100 \\ & 010101 \\ & 100101 \end{aligned}$ | $\tau$ |  |
| 67 | $3 \mathrm{~B}_{4}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & \hline 011 \\ & 101 \end{aligned}$ | $\mathbb{Z}_{2}$ | 111 | $\iota$ |  |
| 68 | $\mathrm{A}_{1}^{(4)} \oplus 3 \mathrm{~A}_{2}^{(2)} \oplus \mathrm{A}_{5}$ | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\begin{aligned} & 00114 \\ & 11012 \end{aligned}$ | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\begin{aligned} & \hline 00112 \\ & 01011 \end{aligned}$ | $\sigma$ |  |
| 69 | $2 \mathrm{~A}_{1}^{(4)} \oplus 3 \mathrm{C}_{2} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{3}$ | 00111s <br> 010000 100000 | $\mathbb{Z}_{2}^{4}$ | 00000s <br> $00001 c$ <br> 00010c <br> 00100c | $\tau$ |  |
| 70 | $2 \mathrm{~B}_{3} \oplus \mathrm{C}_{2}^{(2)} \oplus \mathrm{C}_{4}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & \hline 0010 \\ & 1101 \\ & \hline \end{aligned}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 1100 \\ & 0101 \end{aligned}$ | $\xi$ |  |
| 71 | $6 \mathrm{~A}_{1}^{(2)} \oplus 2 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2}^{4}$ | 00001122 <br> 00110022 <br> 01010102 <br> 10010120 | $\mathbb{Z}_{2}^{2} \mathbb{Z}_{4}^{2}$ | 00111100 <br> 11001100 <br> 00001111 <br> 01010101 | $\varphi$ |  |
| 72 | $2 \mathrm{~A}_{3}^{(2)} \oplus 2 \mathrm{C}_{3}$ | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\begin{aligned} & 0211 \\ & 1101 \\ & \hline \end{aligned}$ | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\begin{aligned} & 0211 \\ & 1101 \\ & \hline \end{aligned}$ | $\pi$ |  |
| 73 | $4 \mathrm{~A}_{2}^{(2)} \oplus \mathrm{C}_{2}$ | $\mathbb{Z}_{3}^{2}$ | $\begin{aligned} & \hline 01110 \\ & 10120 \end{aligned}$ | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\begin{aligned} & \hline 01120 \\ & 10111 \end{aligned}$ | $\sigma$ |  |
| 74 | $2 \mathrm{~A}_{4}^{(2)} \oplus \mathrm{C}_{2}^{(2)}$ | $\mathbb{Z}_{10}$ | 121 | $\mathbb{Z}_{5}$ | 120 | $\nu$ |  |
| 75 | $10 \mathrm{~A}_{1}$ | 1 |  | $\mathbb{Z}_{2}^{10}$ |  | $\psi$ |  |
| 76 | $2 \mathrm{~A}_{1}^{(4)} \oplus 4 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{2}$ | 010000 100000 | $\mathbb{Z}_{2}^{4}$ | 000001 <br> 000010 <br> 000100 <br> 001000 | $\tau$ | $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ |
| 77 | $2 \mathrm{~B}_{3} \oplus 2 \mathrm{C}_{2}^{(2)}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & { }^{00001} 60160 \end{aligned}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & 0100 \\ & 1000 \end{aligned}$ | $\xi$ |  |
| 78 | $\mathrm{B}_{4} \oplus 2 \mathrm{C}_{3}^{(2)}$ | $\mathbb{Z}_{2}^{2}$ | $\begin{aligned} & \hline 001 \\ & 010 \end{aligned}$ | $\mathbb{Z}_{2}$ | 100 | $\iota$ |  |


| A | $\left(O_{A}\right)_{\text {root }}$ | $\frac{O_{A}}{\left(O_{A}\right) \text { root }}$ |  | Glue code | I | $N_{I, \mathcal{G}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 79 | $2 \mathrm{C}_{5}^{(2)}$ | $\mathbb{Z}_{2}^{2}$ |  | 1 | $\varepsilon$ | $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ |
| 80 | $7 \mathrm{~A}_{1}^{(2)} \oplus \mathrm{A}_{3}$ | $\mathbb{Z}_{2}^{4}$ | 00011110 <br> 00100112 <br> 01001012 <br> 10001102 |  | $\varphi$ | $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ |
| 81 | $10 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}$ | 0011111111 |  0000000001 <br>  0000000110 <br>  0000001010 <br> $\mathbb{Z}_{2}^{9}$ 0000010000 <br>  0000100010 <br>  0001000010 <br>  0010000010 <br>  0100000010 <br>  1000000010 | $\psi$ |  |
| 82 | $2 \mathrm{~A}_{1}^{(4)} \oplus 4 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{3}$ | 001111 010000 100000 |  000011 <br> $\mathbb{Z}_{2}^{3}$ 000101 <br> 001001 <br>   | $\tau$ |  |
| 83 | $4 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{C}_{2} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{4}$ | 000100 <br> 001000 <br> 010000 <br> 100000 | $\begin{array}{ll}  \\ \mathbb{Z}_{2}^{3} & \begin{array}{l} 00000 s \\ 00000 c \\ 000010 \end{array} \end{array}$ | $\tau$ |  |
| 84 | $3 \mathrm{C}_{2}^{(2)} \oplus \mathrm{C}_{4}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & 0010 \\ & 0100 \\ & 1000 \end{aligned}$ | $\mathbb{Z}_{2} \quad 0001$ | $\xi$ |  |
| 85 | $\mathrm{A}_{1}^{(4)} \oplus 4 \mathrm{~A}_{2}^{(2)}$ | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\begin{aligned} & 00112 \\ & 11011 \end{aligned}$ | $\mathbb{Z}_{3}^{2}$ 00111 <br> 01012 | $\sigma$ | $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ |
| 86 | $9 \mathrm{~A}_{1}$ | 1 |  | $\mathbb{Z}_{2}^{9}$ | $\psi$ |  |
| 87 | $3 \mathrm{~A}_{1}^{(4)} \oplus 3 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{3}$ | 001000 010000 100000 |  000001 <br> $\mathbb{Z}_{2}^{3}$ <br>  000010 <br> 000100 | $\tau$ |  |
| 88 | $\mathrm{B}_{3} \oplus 3 \mathrm{C}_{2}^{(2)}$ | $\mathbb{Z}_{2}^{3}$ | $\begin{aligned} & \hline 0001 \\ & 0010 \\ & 0100 \end{aligned}$ | $\mathbb{Z}_{2} \quad 1000$ | $\xi$ |  |
| 89 | $3 \mathrm{C}_{3}^{(2)}$ | $\mathbb{Z}_{2}^{3}$ |  | 1 | $\iota$ |  |
| 90 | $9 \mathrm{~A}_{1}$ | $\mathbb{Z}_{2}$ | 011111111 |   <br>  000000011 <br>  000000101 <br>  $\mathbb{Z}_{2}^{8}$ <br>  000001001 <br>  000010001 <br>  000100001 <br>  001000001 <br>  010000001 <br>  100000000 | $\psi$ | $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ |
| 91 | $5 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{D}_{4}$ | $\mathbb{Z}_{2}^{5}$ | $\begin{aligned} & \hline 000010 \\ & 000100 \\ & 001000 \\ & 010000 \\ & 100000 \\ & \hline \end{aligned}$ | $\begin{array}{ll} \mathbb{Z}_{2}^{2} & \begin{array}{l} 00000 s \\ 00000 c \end{array} \end{array}$ | $\tau$ |  |
| 92 | $8 \mathrm{~A}_{1}$ | 1 |  | $\mathbb{Z}_{2}^{8}$ | $\psi$ | $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ |
| 93 | $4 \mathrm{~A}_{1}^{(4)} \oplus 2 \mathrm{C}_{2}$ | $\mathbb{Z}_{2}^{4}$ | 000100 <br> 001000 <br> 010201 <br> 100000 | $\begin{array}{ll} \mathbb{Z}_{2}^{2} & \begin{array}{l} 000001 \\ 000010 \end{array} \end{array}$ | $\tau$ |  |
| 94 | $4 \mathrm{C}_{2}^{(2)}$ | $\mathbb{Z}_{2}^{4}$ |  | 1 | $\xi$ |  |


| $A$ | $\left(O_{A}\right)_{\text {root }}$ | $\frac{O_{A}}{\left(O_{A}\right)_{\text {root }}}$ | Glue code | I | $N_{I, \mathcal{G}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 95 | $7 \mathrm{~A}_{1}$ | 1 | $\mathbb{Z}_{2}^{7}$ | $\psi$ |  |
| 96 | $5 \mathrm{~A}_{1}^{(4)} \oplus \mathrm{C}_{2}$ |  000010 <br>  10 <br> 000100 <br> $\mathbb{Z}_{2}$ <br>  001000 <br>  010000 <br>  100000 | $\mathbb{Z}_{2} \quad 000001$ | $\tau$ | $\left[17 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ |
| 97 | $6 \mathrm{~A}_{1}$ | 1 | $\mathbb{Z}_{2}^{6}$ | $\psi$ | $\left[18 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{6}\right]$ |
| 98 | $6 \mathrm{~A}_{1}^{(4)}$ | $\mathbb{Z}_{2}^{6}$ | 1 | $\tau$ | $\left.8 A^{\prime} \mid \mathbb{Z}^{6}\right]$ |
| 99 | $6 \mathrm{~A}_{1}$ | 1 | $\mathbb{Z}_{2}^{6}$ | $\psi$ | 2 |
| 100 | $5 \mathrm{~A}_{1}$ | 1 | $\mathbb{Z}_{2}^{5}$ | $\psi$ | $\left[19 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{7}\right]$ |
| 101 | $4 \mathrm{~A}_{1}$ | 1 | $\mathbb{Z}_{2}^{4}$ | $\psi$ | $\left[20 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{8}\right]$ |
| 102 | $4 \mathrm{~A}_{2}$ | 1 | $\mathbb{Z}_{3}^{4}$ | $\chi$ |  |
| 103 | $4 \mathrm{G}_{2}$ | 1 | 1 | $\mu$ |  |
| 104 | $4 \mathrm{~A}_{1}^{(3)} \oplus \mathrm{D}_{4}$ | $\begin{array}{ll} \hline & 0011 s \\ \mathbb{Z}_{2}^{3} & \begin{array}{l} 0101 v \\ \\ 1001 c \end{array} \end{array}$ | $\begin{array}{ll} \hline & 0011 s \\ \mathbb{Z}_{2}^{3} & \begin{array}{l} 0101 v \\ \\ 1001 c \end{array} \end{array}$ | $\sigma$ | $\left[8 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ |
| 105 | $2 \mathrm{~A}_{2}$ | 1 | $\mathbb{Z}_{3}^{2}$ | $\chi$ | $\left[10 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{4}\right]$ |
| 106 | $2 \mathrm{~A}_{1}^{(2)} \oplus 2 \mathrm{~A}_{3}$ | $\mathbb{Z}_{2} \quad 1122$ | $\begin{array}{ll} \hline & \mathbb{Z}_{2} \mathbb{Z}_{4}^{2} \end{array} \begin{aligned} & 1100 \\ & 0011 \\ & 0101 \end{aligned}$ | $\varphi$ |  |
| 107 | $2 \mathrm{~A}_{1}^{(4)} \oplus 2 \mathrm{C}_{3}$ | $\begin{array}{ll} \mathbb{Z}_{2}^{2} & 0111 \\ 1011 \end{array}$ | $\begin{array}{ll} \mathbb{Z}_{2}^{2} & 0011 \\ 1101 \end{array}$ | $\pi$ |  |
| 108 | $4 \mathrm{~A}_{1}^{(2)}$ | $\mathbb{Z}_{2} \quad 1111$ | $\begin{array}{ll}  & 0011 \\ \mathbb{Z}_{2}^{3} & 0101 \\ & 1001 \end{array}$ | $\varphi$ | $\left[8 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{3} \mathbb{Z}_{4}\right]$ |
| 109 | $2 \mathrm{~A}_{3}$ | 1 | $\mathbb{Z}_{4}^{2}$ | $\varphi$ | $\left[6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ |
| 110 | $4 \mathrm{~A}_{1}^{(4)}$ | $\begin{array}{ll}  \\ \mathbb{Z}_{2}^{3} & 0001 \\ & 0010 \\ 1100 \end{array}$ | $\mathbb{Z}_{2} \quad 0011$ | $\pi$ | $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ |
| 111 | $\mathrm{A}_{1}^{(2)} \oplus \mathrm{A}_{3}$ | 1 | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\varphi$ | $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}^{2}\right]$ |
| 112 | $\mathrm{A}_{1}^{(3)} \oplus \mathrm{A}_{1}^{(4)} \oplus \mathrm{A}_{2}^{(2)}$ | $\mathbb{Z}_{2} \quad 010$ | $\mathbb{Z}_{6} \quad 101$ | $\sigma$ | $\left[6 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ |
| 113 | $\mathrm{A}_{1}^{(4)} \oplus \mathrm{A}_{5}$ | $\mathbb{Z}_{2} \quad 10$ | $\mathbb{Z}_{6} \quad 01$ | $\sigma$ | $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ |
| 114 | $\mathrm{A}_{2}^{(2)} \oplus \mathrm{C}_{2}$ | 1 | $\mathbb{Z}_{6}$ | $\sigma$ | $\left[5 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ |
| 115 | $\mathrm{D}_{4}$ | 1 | $\mathbb{Z}_{2}^{2}$ | $\sigma$ | $\left[4 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ |
| 116 | $2 \mathrm{~A}_{1}^{(3)} \oplus \mathrm{C}_{2}$ | $\mathbb{Z}_{2} \quad 111$ | $\begin{array}{ll} \hline \mathbb{Z}_{2}^{2} & \begin{array}{l} 011 \\ 101 \end{array} \end{array}$ | $\sigma$ | $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ |

Table E.3: Orbit lattices $O_{A} \hookrightarrow N_{I}$ for non-cyclic orbifolds (of rank $\geq 4$ ).

| \# | $\Gamma_{c}$ | r | $\mathcal{G}$ | $\Gamma_{c}^{\perp}$ | $\begin{aligned} & \text { Genus } \\ & \text { in } 158] \end{aligned}$ | Theory |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $\Gamma_{3,3} \oplus \Gamma_{1,1}^{(2)} \oplus 2 \mathrm{D}_{4}$ | 16 | $\mathbb{Z}_{2}$ | $\left[8 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ | B | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{2}}$ |
| 2 | $\Gamma_{4,4}^{(2)} \oplus \mathrm{D}_{4}$ | 12 | $\mathbb{Z}_{2}$ | $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ | D | ? |
| 3 | $\Gamma_{4,4}^{(2)}$ | 8 | $\mathbb{Z}_{2}$ | $\left[16 \mathrm{~A}_{1}^{\prime} \mid \mathbb{Z}_{2}^{4}\right]$ |  | F on $S^{1} \times \frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{2}}$ |
| 4 | $\Gamma_{1,1} \oplus \Gamma_{3,3}^{(2)}$ | 8 | $\mathbb{Z}_{2}$ | $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ |  | M on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{2}}$ |
| 5 | $\Gamma_{3,3} \oplus \Gamma_{1,1}^{(3)} \oplus 2 \mathrm{~A}_{2}$ | 12 | $\mathbb{Z}_{3}$ | $\left[6 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}\right]$ | C | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{3}}$ |
| 6 | $\Gamma_{2,2}^{(3)} \oplus \mathrm{A}_{2}^{(-1)}$ | 6 | $\mathbb{Z}_{3}$ | $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ |  | F on $S^{1} \times \frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{3}}$ |
| 7 | $\Gamma_{1,1} \oplus \Gamma_{1,1}^{(3)} \oplus \mathrm{A}_{2}^{(-1)}$ | 6 | $\mathbb{Z}_{3}$ | $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{3}\right]$ |  | M on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{3}}$ |
| 8 | $\Gamma_{3,3} \oplus \Gamma_{1,1}^{(4)} \oplus 2 \mathrm{~A}_{1}$ | 10 | $\mathbb{Z}_{4}$ | $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}\right]$ | E | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{4}}$ |
| 9 | $\Gamma_{1,1}^{(2)} \oplus \Gamma_{1,1}^{(4)} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 6 | $\mathbb{Z}_{4}$ | $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ |  | F on $S^{1} \times \frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{4}}$ |
| 10 | $\Gamma_{1,1} \oplus \Gamma_{1,1}^{(4)} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 6 | $\mathbb{Z}_{4}$ | $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{2} \mathbb{Z}_{4}\right]$ |  | M on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{4}}$ |
| 11 | $\Gamma_{3,3} \oplus \Gamma_{1,1}^{(5)}$ | 8 | $\mathbb{Z}_{5}$ | $\left[4 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ | F | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{5}}$ |
| 12 | $\left(\begin{array}{cccc} -4 & 1 & 1 & 1 \\ 1 & -4 & 1 & 1 \\ 1 & 1 & -4 & 1 \\ 1 & 1 & 1 & -4 \end{array}\right)$ | 4 | $\mathbb{Z}_{5}$ | $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ |  | ? |
| 13 | $\mathrm{A}_{4}^{(-1)}$ | 4 | $\mathbb{Z}_{5}$ | $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}^{2}\right]$ |  | IIA on $\frac{T^{4}}{\mathbb{Z}_{5}}$ |
| 14 | $\Gamma_{1,1} \oplus \Gamma_{1,1}^{(6)} \oplus \mathrm{A}_{2}^{(-2)}$ | 6 | $\mathbb{Z}_{6}$ | $\left[5 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ |  | M on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{6}}$ |
| 15 | $\Gamma_{3,3} \oplus \Gamma_{1,1}^{(6)}$ | 8 | $\mathbb{Z}_{6}$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ | G | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{6}}$ |
| 16 | $\Gamma_{2,2}^{(2)} \oplus \mathrm{A}_{2}^{(-1)}$ | 6 | $\mathbb{Z}_{6}$ | $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ | J | ? |
| 17 | $\Gamma_{2,2} \oplus\left(\begin{array}{cc}-2 & 1 \\ 1 & -4\end{array}\right)$ | 6 | $\mathbb{Z}_{7}$ | $\left[3 \mathrm{~A}_{6} \mid \mathbb{Z}_{7}\right]$ | H | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{7}}$ |
| 18 | $\Gamma_{2,2} \oplus \mathrm{~A}_{1}^{(-1)} \oplus \mathrm{A}_{1}^{(-2)}$ | 6 | $\mathbb{Z}_{8}$ | $\left[\mathrm{A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ | I | M on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{8}}$ |
| 19 | $3 \mathrm{~A}_{1}^{(-1)} \oplus \mathrm{A}_{1}^{(-2)}$ | 4 | $\mathbb{Z}_{8}$ | $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ |  | ? |
| 20 | $\mathrm{A}_{1}^{(-1)} \oplus \mathrm{A}_{3}^{(-1)}$ | 4 | $\mathbb{Z}_{8}$ | $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{2} \mathbb{Z}_{8}\right]$ |  | ? |
| 21 | $\left(\begin{array}{cccc} -2 & 0 & 0 & 1 \\ 0 & -2 & 0 & 1 \\ 0 & 0 & -2 & 1 \\ 1 & 1 & 1 & -4 \end{array}\right)$ | 4 | $\mathbb{Z}_{10}$ | $\left[3 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{4} \oplus \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ |  | ? |
| 22 | $\mathrm{D}_{4}^{(-1)}$ | 4 | $\mathbb{Z}_{10}$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ | K | ? |
| 23 | $\left(\begin{array}{cccc}-2 & 1 & 1 & 1 \\ 1 & -2 & 0 & 0 \\ 1 & 0 & -2 & 0 \\ 1 & 0 & 0 & -4\end{array}\right)$ | 4 | $\mathbb{Z}_{12}$ | $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus \mathrm{~A}_{3} \oplus \mathrm{~A}_{11} \mid \mathbb{Z}_{12}\right]$ |  | ? |

Table E.4: Charge lattices $\Gamma_{c}$ for cyclic orbifolds and, for the 15 known cases, examples of theories where they are realized. r denotes the rank. The primes in the F-Theory backgrounds denote a non-trivial theta angle. We record the genus label used in [158] for cross-referential purposes; the 13 cases with no label correspond to sCFTs, hence they have no direct relevance for the problem addressed in this reference.

| \# | $\Gamma_{c}$ | r | $\mathcal{G}$ | $\Gamma_{c}^{\perp}$ | Theory |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 24 | $\Gamma_{2,2} \oplus \Gamma_{2,2}^{(2)} \oplus 4 \mathrm{~A}_{1}$ | 12 | $\mathbb{Z}_{2}^{2}$ | $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ | $\mathbb{Z}_{2} \times \mathbb{Z}_{2}$-quadruple |
| 25 | $\Gamma_{4,4}^{(2)} \oplus 2 \mathrm{~A}_{1}$ | 10 | $\mathbb{Z}_{2}^{2}$ | $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ | ? |
| 26 | $\Gamma_{1,1} \oplus \Gamma_{3,3}^{(2)} \oplus 2 \mathrm{~A}_{1}$ | 10 | $\mathbb{Z}_{2}^{3}$ | $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ | ? |
| 27 | $\Gamma_{4,4}^{(2)} \oplus \mathrm{A}_{1}$ | 9 | $\mathbb{Z}_{2}^{3}$ | $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ | ? |
| 28 | $\Gamma_{1,1} \oplus \Gamma_{3,3}^{(2)} \oplus \mathrm{A}_{1}$ | 9 | $\mathbb{Z}_{2}^{4}$ | $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ | ? |
| 29 | $\Gamma_{3,3}^{(2)}$ | 8 | $\mathbb{Z}_{2}^{2}$ | $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ | ? |
| 30 | $\Gamma_{3,3}^{(2)} \oplus \mathrm{A}_{1}^{(-1)}$ | 7 | $\mathbb{Z}_{2}^{3}$ | $\left[17 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ | ? |
| 31 | $\Gamma_{2,2}^{(2)} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 6 | $\mathbb{Z}_{2}^{2}$ | $\left[18 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{6}\right]$ | ? |
| 32 | $\Gamma_{2,2}^{(2)} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 6 | $\mathbb{Z}_{2}^{2}$ | $\left[18 \mathrm{~A}_{1}^{\prime} \mid \mathbb{Z}_{2}^{6}\right]$ | ? |
| 33 | $\Gamma_{1,1}^{(2)} \oplus 3 \mathrm{~A}_{1}^{(-1)}$ | 5 | $\mathbb{Z}_{2}^{3}$ | $\left[19 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{7}\right]$ | ? |
| 34 | $4 \mathrm{~A}_{1}^{(-1)}$ | 4 | $\mathbb{Z}_{2}^{2}$ | $\left[20 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{8}\right]$ | ? |
| 35 | $\Gamma_{2,2}^{(3)} \oplus \Gamma_{2,2}$ | 8 | $\mathbb{Z}_{3}^{2}$ | $\left[8 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ | ? |
| 36 | $2 \mathrm{~A}_{2}^{(-1)}$ | 4 | $\mathbb{Z}_{3}^{2}$ | $\left[10 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{4}\right]$ | ? |
| 37 | $\Gamma_{2,2} \oplus \Gamma_{1,1}^{(2)} \oplus \Gamma_{1,1}^{(4)}$ | 8 | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\left[4 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ | ? |
| 38 | $\mathrm{D}_{4}^{(-2)}$ | 4 | $\mathbb{Z}_{2}^{2}$ | $\left[8 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{3} \mathbb{Z}_{4}\right]$ | ? |
| 39 | $\Gamma_{2,2} \oplus 2 \mathrm{~A}_{1}^{(-2)}$ | 6 | $\mathbb{Z}_{4}^{2}$ | $\left[6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ | ? |
| 40 | $2 \mathrm{~A}^{(-1)} \oplus 2 \mathrm{~A}_{1}^{(-2)}$ | 4 | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ | ? |
| 41 | $\mathrm{A}_{3}^{(-1)} \oplus \mathrm{A}_{1}^{(-2)}$ | 4 | $\mathbb{Z}_{2} \mathbb{Z}_{4}$ | $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}^{2}\right]$ | ? |
| 42 | $A_{1}^{(-1)} \oplus A_{2}^{(-2)} \oplus A_{1}^{(-3)}$ | 4 | $\mathbb{Z}_{2} \mathbb{Z}_{6}$ | $\left[6 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | ? |
| 43 | $\Gamma_{2,2} \oplus \mathrm{~A}_{1}^{(-1)} \oplus \mathrm{A}_{1}^{(-3)}$ | 6 | $\mathbb{Z}_{2} \mathbb{Z}_{6}$ | $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | ? |
| 44 | $3 \mathrm{~A}_{1}^{(-1)} \oplus \mathrm{A}_{1}^{(-3)}$ | 4 | $\mathbb{Z}_{2} \mathbb{Z}_{6}$ | $\left[5 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | ? |
| 45 | $\mathrm{D}_{4}^{(-1)}$ | 4 | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\left[4 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ | ? |
| 46 | $\left(\begin{array}{cccc} -2 & 0 & 1 & 1 \\ 0 & -2 & -1 & 1 \\ 1 & -1 & -1 & 0 \\ 1 & 1 & 0 & -4 \end{array}\right)$ | 4 | $\mathbb{Z}_{3} \mathbb{Z}_{6}$ | $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ | ? |

Table E.5: Charge lattices $\Gamma_{c}$ for non-cyclic orbifolds

| Coinvariant lattice | Glue code |
| :---: | :---: |
| $\left[8 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ | 11111111 |
| $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}\right]$ | 11111111111 |
| $\left[12 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ | 000011111111 111100001111 |
| $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{2}\right]$ | 00000011111111 11111100000011 |
| $\left[14 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ | 00000011111111 00111100001111 11001100110011 |
| $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{3}\right]$ | 000000011111111 000111100001111 111011100010001 |
| $\left[15 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ | 00000001111111 000111100001111 011001100110011 101010101010101 |
| $\left[16 \mathrm{~A}_{1}^{\prime} \mid \mathbb{Z}_{2}^{4}\right]$ | 0000000011111111 0000111100001111 0011001100110011 1100001100111100 |
| $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{4}\right]$ | 0000000011111111 0000111100001111 0011001100110011 1101010000010111 |
| $\left[16 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ | 0000000011111111 0000111100001111 0011001100110011 0101010101010101 1001011001101001 |
| $\left[17 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{5}\right]$ | 0000000001111111 00000111100001111 00011001100110011 01101010001001101 10101000100011110 |
| $\left[18 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{6}\right]$ | 000000000011111111 000000111100001111 000011001100110011 000101010101010101 001001011001101001 110000001101100101 |
| $\left[18 \mathrm{~A}_{1}^{\prime} \mid \mathbb{Z}_{2}^{6}\right]$ | 000000000011111111 000000111100001111 000011001100110011 000101010101010101 011000011000110101 101000001101010110 |
| $\left[19 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{7}\right]$ | 0000000000011111111 0000000111100001111 0000011001100110011 0000101010101010101 0001001011001101001 0110000001101101010 1010000011001011100 |
| $\left[20 \mathrm{~A}_{1} \mid \mathbb{Z}_{2}^{8}\right]$ | 00000000000111101111 00000001111000001111 00000110110010100110 00001010011011001010 00010010101001100011 00100000011010110011 01000000110001110101 1000000001010101100 |


| Coinvariant lattice | Glue code |
| :---: | :---: |
| $\left[6 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}\right]$ | 112222 |
| $\left[8 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ | 00111111 12001122 |
| $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{2}\right]$ | $\begin{aligned} & 000111122 \\ & 122002201 \end{aligned}$ |
| $\left[9 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{3}\right]$ | 000111222 011012012 102011110 |
| $\left[10 \mathrm{~A}_{2} \mid \mathbb{Z}_{3}^{4}\right]$ | 0000111112 0012001222 0102022012 1002021101 |
| $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}\right]$ | 111113 |
| $\left[4 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ | $\begin{aligned} & 11110022 \\ & 00111113 \end{aligned}$ |
| $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}\right]$ | $\begin{aligned} & \hline 1111000202 \\ & 0000111133 \\ & \hline \end{aligned}$ |
| $\left[6 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{2} \mathbb{Z}_{4}\right]$ | $\begin{aligned} & 0011110022 \\ & 1100110202 \\ & 0000111333 \\ & \hline \end{aligned}$ |
| $\left[8 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{3} \mid \mathbb{Z}_{2}^{3} \mathbb{Z}_{4}\right]$ | 000011110022 001100110202 110000110220 000000111111 |
| $\left[6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ | $\begin{aligned} & 011123 \\ & 101312 \end{aligned}$ |
| $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{4}^{2}\right]$ | $\begin{aligned} & 00011112 \\ & 11100331 \end{aligned}$ |
| $\left[2 \mathrm{~A}_{1} \oplus 6 \mathrm{~A}_{3} \mid \mathbb{Z}_{2} \mathbb{Z}_{4}^{2}\right]$ | 11000222 00011112 00101233 |
| $\left[4 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ | 1334 |
| $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}\right]$ | 11114 |
| $\left[5 \mathrm{~A}_{4} \mid \mathbb{Z}_{5}^{2}\right]$ | $\begin{aligned} & 01234 \\ & 10432 \end{aligned}$ |
| $\left[5 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ | 1111111221 |
| $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ | 111255 |
| $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{6}\right]$ | 111111 |
| $\left[6 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | $\begin{aligned} & \hline 1000010033 \\ & 0111111245 \end{aligned}$ |
| $\left[3 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | $\begin{aligned} & 101330 \\ & 011251 \end{aligned}$ |
| $\left[5 \mathrm{~A}_{1} \oplus 3 \mathrm{~A}_{5} \mid \mathbb{Z}_{2} \mathbb{Z}_{6}\right]$ | $\begin{aligned} & 11000303 \\ & 00111155 \end{aligned}$ |
| $\left[4 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ | $\begin{aligned} & 2042 \\ & 3111 \end{aligned}$ |
| $\left[2 \mathrm{~A}_{1} \oplus 4 \mathrm{~A}_{2} \oplus 2 \mathrm{~A}_{5} \mid \mathbb{Z}_{3} \mathbb{Z}_{6}\right]$ | $\begin{aligned} & 00110044 \\ & 11001151 \end{aligned}$ |
| $\left[3 \mathrm{~A}_{6} \mid \mathbb{Z}_{7}\right]$ | 135 |
| $\left[\mathrm{A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ | 1113 |
| $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{8}\right]$ | 111135 |
| $\left[3 \mathrm{~A}_{1} \oplus \mathrm{~A}_{3} \oplus 2 \mathrm{~A}_{7} \mid \mathbb{Z}_{2} \mathbb{Z}_{8}\right]$ | $\begin{aligned} & \hline 101204 \\ & 010131 \end{aligned}$ |
| $\left[3 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{4} \oplus \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ | 111119 |
| $\left[2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{9} \mid \mathbb{Z}_{10}\right]$ | 1117 |
| $\left.2 \mathrm{~A}_{1} \oplus 2 \mathrm{~A}_{2} \oplus \mathrm{~A}_{3} \oplus \mathrm{~A}_{11} \mid \mathbb{Z}_{12}\right]$ | 111115 |

Table E.6: Coinvariant lattices $N_{I, \mathcal{G}}$ specified by their glue code, which is equivalent to $N_{I, \mathcal{G}} /\left(N_{I, \mathcal{G}}\right)_{\text {root }}$ in virtue of always having roots of norm 2 .

| d | \# | $\Gamma_{c}$ | r | $\mathcal{G}$ | $\Gamma_{c}^{\perp}$ | Theory |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 7 | 1 | $\Gamma_{3,3} \oplus 2 \mathrm{D}_{4}$ | 14 | $\mathbb{Z}_{2}$ | $\left[2 \mathrm{D}_{4}\right]$ | F on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{2}}$ |
|  | 3 | $\Gamma_{3,3}^{(2)}$ | 6 | $\mathbb{Z}_{2}$ | $\left[4 \mathrm{D}_{4} \mid \mathbb{Z}_{2}\right]$ | F on $\frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{2}}$ |
|  | 4 | $\Gamma_{1,1} \oplus \Gamma_{2,2}^{(2)}$ | 6 | $\mathbb{Z}_{2}$ | $\left[4 \mathrm{D}_{4} \mid \mathbb{Z}_{2}^{2}\right]$ | F on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{2}}$ |
|  | 5 | $\Gamma_{3,3} \oplus 2 \mathrm{~A}_{2}$ | 10 | $\mathbb{Z}_{3}$ | $\left[2 \mathrm{E}_{6}\right]$ | F on $\frac{\mathrm{K} 3 \times \mathrm{S}^{1}}{\mathbb{Z}_{3}}$ |
|  | 6 | $\Gamma_{1,1}^{(3)} \oplus \mathrm{A}_{2}^{(-1)}$ | 4 | $\mathbb{Z}_{3}$ | [3E6] | F on $\frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{3}}$ |
|  | 7 | $\Gamma_{1,1} \oplus \mathrm{~A}_{2}^{(-1)}$ | 4 | $\mathbb{Z}_{3}$ | $\left[3 \mathrm{E}_{6} \mid \mathbb{Z}_{3}\right]$ | F on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{3}}$ |
|  | 8 | $\Gamma_{3,3} \oplus 2 \mathrm{~A}_{1}$ | 8 | $\mathbb{Z}_{4}$ | [2E $\mathrm{E}_{7}$ ] | F on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{4}}$ |
|  | 9 | $\Gamma_{1,1}^{(2)} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 4 | $\mathbb{Z}_{4}$ | $\left[\mathrm{D}_{4} \oplus 2 \mathrm{E}_{7}\right]$ | F on $\frac{\left(T^{4} \times S^{1}\right)^{\prime}}{\mathbb{Z}_{4}}$ |
|  | 10 | $\Gamma_{1,1} \oplus 2 \mathrm{~A}_{1}^{(-1)}$ | 4 | $\mathbb{Z}_{4}$ | $\left[\mathrm{D}_{4} \oplus 2 \mathrm{E}_{7} \mid \mathbb{Z}_{2}\right]$ | F on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{4}}$ |
|  | 11 | $\Gamma_{3,3}$ | 6 | $\mathbb{Z}_{5}$ | [2E $\mathrm{E}_{8}$ ] | F on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{5}}$ |
|  | 14 | $\Gamma_{1,1} \oplus \mathrm{~A}_{2}^{(-2)}$ | 4 | $\mathbb{Z}_{6}$ | $\left[\mathrm{D}_{4} \oplus \mathrm{E}_{6} \oplus \mathrm{E}_{8}\right]$ | F on $\frac{T^{4} \times S^{1}}{\mathbb{Z}_{6}}$ |
|  | 15 | $\Gamma_{3,3}$ | 6 | $\mathbb{Z}_{6}$ | [2E $\mathrm{E}_{8}$ ] | F on $\frac{\mathrm{K} 3 \times S^{1}}{\mathbb{Z}_{6}}$ |
| 8 | 1 | $\Gamma_{2,2} \oplus \mathrm{D}_{8}$ | 12 | $\mathbb{Z}_{2}$ | [ $\mathrm{D}_{8}$ ] | CHL string |
|  | 4 | $\Gamma_{1,1} \oplus \Gamma_{1,1}^{(2)}$ | 4 | $\mathbb{Z}_{2}$ | $\left[2 \mathrm{D}_{8} \mid \mathbb{Z}_{2}\right]$ | * |
|  | 3 | $\Gamma_{2,2}^{(2)}$ | 4 | $\mathbb{Z}_{2}$ | $\left[2 \mathrm{D}_{8}\right]$ | * |
| 9 | 1 | $\Gamma_{1,1} \oplus \mathrm{E}_{8}$ | 10 | $\mathbb{Z}_{2}$ | [ $\mathrm{E}_{8}$ ] | CHL string |
|  | 4 | $\Gamma_{1,1}$ | 2 | $\mathbb{Z}_{2}$ | $2 \mathrm{E}_{8}$ | M on KB |
|  | 4 | $\Gamma_{1,1}$ | 2 | $\mathbb{Z}_{2}$ | $\left[\mathrm{D}_{16} \mid \mathbb{Z}_{2}\right]$ | IIB on DP, $C_{0}=0$ |
|  | 3 | $\Gamma_{1,1}^{(2)}$ | 2 | $\mathbb{Z}_{2}$ | [ $\mathrm{D}_{16}$ ] | IIB on DP, $C_{0}=\frac{1}{2}$ |

Table E.7: Charge lattices $\Gamma_{c}$ for 7,8 and 9 dimensions with examples of theories where they are realized. \# denotes the number in Table E. 4 of the dimensional reduced theory in 6 d . The asterisks mean circle compactifications of the corresponding 9D theories at the bottom of the table. Both 9D theories with $\#=4$ compactify become dual when compactified to 8D.

## Appendix F

## Synthèse en Français

La théorie des cordes unifie naturellement la relativité générale et la mécanique quantique de manière cohérente. En tant que telle, elle est le principal candidat pour expliquer les phénomènes physiques à l'intersection des régimes associés à ces deux cadres. Malgré sa simplicité conceptuelle en tant que théorie des cordes en propagation, elle présente un espace incroyablement riche de configurations produisant un vaste paysage de théories effectives à basse énergie. Pour être précis, les cordes supersymétriques se propagent de manière cohérente dans $9+1$ dimensions de l'espace-temps, et donc six d'entre elles doivent être compactes et petites pour donner lieu à un espace-temps effectivement quadridimensionnel. Les données spécifiant la géométrie interne déterminent à leur tour le contenu en particules de la théorie effective ou du vide des cordes. D'autres ingrédients, tels que les flux piégés dans les cycles internes, peuvent également être inclus pour produire d'autres types de vide.

Comprendre quelles sont les différentes configurations ou compactifications que la théorie des cordes autorise, et quelle est la physique effective associée, est un problème impérieux. D'un point de vue moderne, cela permet également d'affiner notre connaissance de ce qui est autorisé ou non dans une théorie cohérente de la gravité quantique, ce qui est en gros l'idée de base du programme Swampland [8]. Il est plus facile de s'attaquer à ce problème lorsque le nombre de dimensions non compactes est grand ; dans la phase géométrique, en effet, l'espace interne est de dimension inférieure et donc moins de géométries sont possibles. Le thème général de ce travail est d'aborder ce problème pour 9 à 6 dimensions d'espace-temps non compactes de type Minkowski lorsque la supersymétrie est semi-maximale, c'est-à-dire lorsqu'il y a 16 supercharges. Ce cadre a été étudié en profondeur dans [9], voir aussi les références qui y figurent.

Même si nous limitons notre attention à ce régime apparemment simple (il n'y a pas de multiplets de matière, par exemple), il y a beaucoup à apprendre. Cet espace de vacuums de cordes est déconnecté, avec de nombreuses composantes connectées décrites au niveau microscopique par différentes théories de cordes. L'exemple le plus simple, qui fait l'objet du
chapitre 2, consiste en des cordes hétérotiques compactées sur des tores $T^{d} 10,11$, donnant lieu à l'espace de moduli de Narain

$$
\begin{equation*}
O(d+16, d, \mathbb{Z}) \backslash O(d+16, d) / O(d+16) \times O(d) \tag{F.0.1}
\end{equation*}
$$

Alternativement, on peut aller vers des cadres duaux tels que les cordes de type I sur $T^{d}$ ou d'autres qui dépendent de la valeur de $d$ tels que les cordes de type IIA sur les surfaces K3 pour $d=4$. D'autres composantes de l'espace de moduli global peuvent être réalisées de diverses manières, par exemple en orbitalisant la corde hétérotique sur $T^{d}$ ou en incluant différents types de plans orientifold dans les cordes de type II.

Deux grandes questions peuvent être posées à propos de cet espace de moduli. Premièrement, quelles sont toutes ses composantes connectées et comment sont-elles décrites? Deuxièmement, quelle physique peut être réalisée par les différents vacua qu'ils contiennent? Cette thèse est consacrée à l'étude de ces questions de manière systématique, comme nous l'expliquons maintenant. Les références sont incluses dans les chapitres correspondants.

## F. 1 Schéma de la thèse

Dans le chapitre 2 nous étudions le cas des cordes hétérotiques sur $T^{d}$, en mettant l'accent sur le cas $d=2$. La question à laquelle nous cherchons à répondre est de savoir quelles sont les symétries de jauge non abéliennes possibles qui peuvent être réalisées dans la théorie par le mécanisme de renforcement de la symétrie par les cordes. Un point générique de l'espace de moduli a une symétrie de jauge purement abélienne, mais en des points particuliers, certains états de cordes deviennent sans masse et augmentent ce groupe à un groupe non abélien. Dans ce cas, le rang complet du groupe de jauge est limité à $d+16$, sans tenir compte des graviphotons (c'est-à-dire des champs de jauge dans le multiplet gravitationnel, qui ne se renforcent pas), et les groupes de symétrie renforcés sont toujours de type simplement lacé. En examinant la formule de masse et les conditions de correspondance des niveaux pour les états quantiques, on peut montrer que le problème de la détermination des symétries de jauge possibles peut être formulé dans le langage des treillis et de leurs encastrements. Plus précisément, nous cherchons à trouver des encastrements des treillis de racines des algèbres de jauge candidates dans le treillis de Narain. En nous concentrant sur les extensions maximales, où le treillis racine a un rang $d+16$, nous développons un algorithme pour construire systématiquement ces encastrements d'une manière qui peut être montrée comme exhaustive pour $d=2$. A partir de ces données, nous obtenons également les valeurs des modules où le renforcement est réalisé.

En neuf dimensions, il existe une autre composante de l'espace de modules considéré qui peut être réalisée par un orbifold spécial de la corde hétérotique sur le cercle, la corde dite

CHL. Nous consacrons le chapitre 3 à l'extension des résultats susmentionnés à cette théorie et à ses compactifications de tore, qui diffèrent de diverses manières. Le premier point important est que les groupes de symétrie de jauge ont un rang réduit de 8 , c'est-à-dire qu'ils ont un rang $d+8$ avec $d$ le nombre de dimensions compactes. Deuxièmement, il est possible de réaliser des groupes de jauge non simples, tels que les groupes symplectiques. Le problème de la détermination des groupes de jauge possibles peut être abordé de manière similaire en utilisant des techniques d'encastrement dans le réseau, bien que diverses subtilités apparaissent en raison du fait que le réseau de quantité de mouvement de la théorie, qui est un analogue du réseau de Narain, n'est pas auto-dual. Nous développons les généralisations appropriées et trouvons en outre des moyens d'obtenir les données complètes caractérisant le groupe de jauge, y compris sa topologie, ou plus spécifiquement le groupe fondamental de la partie non abélienne du groupe de jauge.

En descendant à sept dimensions, nous considérons au chapitre 4 quatre autres composantes connectées dans l'espace de moduli qui peuvent être réalisées par des triples spéciaux d'holonomes plats pour le faisceau de jauge sur $T^{3}$ dans la corde hétérotique. C'est à ce stade que nous cherchons à obtenir une image abstraite reliant les améliorations de la symétrie de jauge aux propriétés du réseau de quantité de mouvement. Nous proposons un ansatz inspiré de la corde CHL et dont la validité peut être argumentée à partir de la construction des triples d'holonomie en des points particuliers de l'espace de moduli. En reliant les treillis de quantité de mouvement des différentes composantes de l'espace de moduli, nous obtenons alors une carte agissant au niveau des groupes de symétrie de jauge calculés et montrons qu'elle s'accorde exactement avec le mécanisme connu de gel des singularités dans l'image duale de la théorie M sur une surface K3, ce qui justifie l'ansatz susmentionné. La carte que nous obtenons nous indique en outre comment le groupe de jauge complet est affecté par le gel des singularités et pas seulement son algèbre de jauge comme on le savait auparavant.

Il existe des théories de cordes avec 16 supercharges qui ne peuvent pas être dualisées en cordes hétérotiques. Un exemple simple est la corde de type I' avec un plan d'orientifold positif et un plan d'orientifold négatif sur $S^{1} / \mathbb{Z}_{2}$ et aucune D-brane, où le groupe de jauge est de rang 1, c'est-à-dire qu'il a un rang réduit de 16 par rapport à la corde hétérotique sur $S^{1}$. Si l'on compacte davantage en huit dimensions et que l'on considère un orientifold de la corde de type IIB sur $T^{2}$, on peut utiliser des plans d'orientifold chargés positivement pour réduire le rang de 8 ou 16 , et avec le cas de rang complet, nous reproduisons les résultats obtenus avec la corde hétérotique et l'orbe CHL ainsi qu'un autre correspondant à la compactification de la construction de type I' mentionnée ci-dessus. Ces orientifolds en huit dimensions correspondent à leur tour à des limites de couplage faible de la Théorie F compactée sur une K3 elliptique avec section, et la présence de plans d'orientifold positifs peut être élégamment expliquée en utilisant ce que l'on appelle des singularités gelées. En descendant à sept dimensions, nous trouvons une image similaire avec la théorie $M$ sur une surface $K 3$ générique avec des sin-
gularités gelées possibles, qui semble également encoder toutes les composantes possibles de l'espace de moduli, y compris celles réalisées par des triples d'holonomie hétérotiques.

Dans le chapitre 5 nous revisitons ces compactifications avec des singularités gelées et démontrons que certaines collections de singularités peuvent être réalisées de deux manières inégales reflétées sur la topologie du groupe de jauge associé, et donc leur gel produit deux espaces de moduli inégaux. Ceci peut être compris de manière transparente pour la théorie F/M sur K3, et nous obtenons un nouvel espace de moduli en huit dimensions et trois en sept dimensions. De plus, nous soutenons que l'un de ces nouveaux espaces de moduli est en fait dual à la compactification du cercle d'un nouvel espace de moduli en neuf dimensions.

La question de savoir quelles sont les théories des cordes ou les compactifications qui décrivent ces nouveaux espaces de modules fait l'objet du chapitre 6. Comme nous le verrons, il s'avère que les paires de théories susmentionnées sont reliées dans les cadres de cordes par un paramètre discret prenant la forme d'un angle thêta discret. La possibilité qu'un tel mécanisme puisse fournir de nouvelles théories des cordes a été précédemment considérée en dix dimensions pour la corde de type I, mais comme nous le montrons, il échoue dans ce cas. Dans les théories de dimension inférieure considérées ici, cependant, il fonctionne. Nous étudions en détail ces nouvelles théories dans divers cadres duaux, et déterminons en particulier que, contrairement aux compactifications de cordes précédemment connues, elles ont un spectre de cordes qui n'est pas BPS complet.

Ces résultats semblent donner une image complète de l'espace de moduli des vacua de la théorie des cordes avec 16 supercharges dans les dimensions sept et plus (cf. Figure F.1). Cette image est complètement encodée par des singularités gelées, qui à leur tour sont liées aux cartes agissant sur les symétries de jauge discutées ci-dessus. Dans le chapitre 7, nous étendons cette carte à six dimensions, et nous constatons que sa structure nous amène à penser qu'elle devrait également prédire les diverses composantes connectées dans ce régime. Dans le chapitre 8, nous montrons que c'est le cas pour toutes les théories de ce type connues jusqu'à présent, et nous prédisons l'existence de nombreuses autres. De plus, nous exploitons diverses caractéristiques des encastrements de treillis particulières au cas de six dimensions qui permettent de calculer tous les groupes de symétrie de jauge possibles de manière exacte en tenant compte d'une relation intéressante entre ces théories et leurs compactifications sur $T^{4}$ en deux dimensions. En effet, toutes ces théories admettent des points de factorisation holomorphes dans l'espace de moduli, ce qui permet de traduire la connaissance de la structure algébrique actuelle des CFTs holomorphes en règles abstraites qui déterminent les symétries de jauge à partir des encastrements dans le réseau.


Figure F.1: Liste de toutes les théories déconnectées connues avec 16 supercharges en dimension $\geq 7$. Chaque composante de l'espace de moduli est étiquetée par une compactification représentative (par exemple hétérotique sur $S^{1}$ ) ; nous ne listons pas toutes les descriptions duales (par exemple CHL ~ M sur la bande de Möbius). Les théories reliées par une flèche sont reliées par réduction dimensionnelle. Pour chaque composant, nous indiquons à la fois la dimension (chiffre bleu en haut à gauche) et le rang (chiffre rouge en bas à gauche), d'une manière qui rappelle le tableau périodique des éléments.

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[^0]:    ${ }^{2}$ By definition, $N S_{X}=H^{1,1}(X, \mathbb{R}) \cap H^{2}(X, \mathbb{Z})$ and has signature $(1, \rho(X)-1)$. The transcendental lattice is the orthogonal complement of $N S_{X}$ in $H^{2}(X, \mathbb{Z})$ and has signature $(2,20-\rho(X))$. With the intersection form of $X$, the second cohomology group $H^{2}(X, \mathbb{Z})$ is isometric to $\mathrm{I}_{3,19}$. The Néron-Severi lattice can be decomposed as $N S_{X}=\mathrm{II}_{1,1} \oplus W_{X}$, where $\mathrm{II}_{1,1}$ is generated by the zero section and the generic fiber. The lattice $W_{X}$ is the orthogonal complement of $\mathrm{II}_{1,1}$ in $N S_{X}$ and has signature $(0, \rho(X)-2)$. Thus, $\mathrm{II}_{1,1} \oplus W_{X} \oplus T_{X} \subset \mathrm{II}_{3,19}$.

[^1]:    ${ }^{3}$ We can also construct models with partial enhancement by deleting more nodes, but this is not the main focus of the present work.

[^2]:    ${ }^{4}$ With 3 and 4 Wilson lines one can obtain $\mathrm{D}_{4}+4 \mathrm{~A}_{1}$ and $8 \mathrm{~A}_{1}$, respectively. Altogether there are 15 subalgebras of rank 8 that can be embedded in $\mathrm{E}_{8}$. The embeddings are unique up to Weyl automorphisms 51.

[^3]:    ${ }^{1}$ In this article we mean by weight lattice not the dual of the root lattice of a given Lie algebra but rather an overlattice of the root lattice including certain weights, as is usually done e.g. for $\operatorname{Spin}(32) / \mathbb{Z}_{2}$.

[^4]:    ${ }^{2}$ Note that, consistent with the gauge group being simply connected, there will appear other massive states sitting in all the representations of the gauge algebra, including in particular a massive spinor of the typed just projected out. This is due to the fact that the projection of the whole Narain lattice on the real span of $\Lambda_{2}$ is $\Lambda_{2}^{*}$. All of these states have charge vectors with legs on the orthogonal complement of $\Lambda_{2}$ in the Narain lattice, i.e. are charged under graviphotons.

[^5]:    ${ }^{3}$ The results of 70 indicate that at long distance limits in the moduli space of elliptic K3 complex structures only affine groups of type $\hat{E}_{n}$ appear, but $\hat{D}_{16}$ does seem to appear very naturally from the point of view of the dual heterotic string 71 .
    ${ }^{4}$ As in the case of F-Theory with frozen singularities on an elliptic K3, this procedure is formal. An explicit understanding of what it means to freeze a singularity in these theories is not available at this point.

[^6]:    ${ }^{1}$ This is the 1-dimensional representation of $O(n)$ that sends elements in the connected component to +1 and those in the disconnected component to -1 .

[^7]:    ${ }^{2}$ Such monodromies can be considered as discrete counterparts of Wilson lines arising from gauge fields, and have been referred to as Wilson lines themselves in the literature [87. We will however keep the distinction between these two objects explicit.
    ${ }^{3}$ Although it is worth noting that the holonomy means that moving a $D(-1)$ a full turn around the circle turns it into a $\overline{D(-1)}$, just like when moving around an Alice string 89 91.

[^8]:    ${ }^{4}$ Note that the action described in the main text is not an element of $S L(2, \mathbb{Z})$, but rather it lies in $G L(2, \mathbb{Z})$. The actual duality group of IIB is closer to the latter than the former (see e.g. 75 ); the perturbative IIB symmetries $\Omega$ and $(-1)^{F_{L}}$ that we use here are precisely responsible for the extension from $S L(2, \mathbb{Z})$ to $G L(2, \mathbb{Z})$.

[^9]:    ${ }^{5}$ Of course, there are still non-BPS strings for every value of the charge: the usual Completeness Principle [101, 102 is satisfied.

[^10]:    ${ }^{6}$ We thank Ben Heidenreich for raising this point.
    ${ }^{7}$ This is in contrast to the situation for $D 5$ branes, where two are required since the orientifold action is symplectic 112 . Relatedly, we do find a full charge
    ${ }^{8}$ We would expect this effect for the NS5 5 -brane, but this is projected out by the $\Omega$ monodromy. In terms of kinematics, D5 branes behave as fundamental strings, and NS5 branes act as D1-strings 95 .

[^11]:    ${ }^{9}$ Reference 94 claims that only (8,8)-supersymmetric worldsheets are compatible with $\kappa=0$. The authors of 94 point out to the Asymmetric IIA and IIB orbifold backgrounds as examples. In the AOB model, for example, the worldvolume fields arrange themselves into $(8,8)$ multiplets, precisely the same sigma model as IIB on a circle, with an unusual GSO-like projection.

[^12]:    ${ }^{10}$ We note in passing that the existence of this theory was actually implied by the Swampland arguments in 72 , although we did not realize it at the time. A rank 1 theory has a duality group given by $O(1,1, \mathbb{Z})=\mathbb{Z}_{2}$, so there can be at most one enhanced symmetry locus per moduli space component. In Table 4 of 72, all possible maximal symmetry enhancements of rank 1 theories are listed, and there are three of them. Thus, the classification predicted one more moduli space component than the two that were known at the time.

[^13]:    ${ }^{11}$ Note that this is an example of a compactification with Romans' mass turned on, for which the strong coupling limit exists and is known exactly. However, as we send $g_{ \pm}$to a large value, gradients and curvatures in the interval grow without bound. This is consistent with the results of 132 , which establishes that there is no strong coupling limit of a massive IIA configuration with low curvatures. The results of 69 that we have reviewed here show that high-curvature, strongly coupled limits of massive IIA actually exist.

[^14]:    ${ }^{12}$ It can be analyzed from the worldsheet point of view, as a shift orbifold of a circle compactification of the AOA background; it would be interesting to pursue this analysis in detail, see if the compactification has tachyons, etc.

[^15]:    ${ }^{1}$ Here we prime the lattice $M$ in the Mikhailov lattice since we will later focus on the map to and not from the CHL component.

[^16]:    ${ }^{2}$ It is more precise to say that $\mathrm{A}_{3} \oplus 6 \mathrm{~A}_{1}$ freezes to $\mathrm{A}_{1}(2)$, but we are now focusing on the behaviour under

[^17]:    projection of sublattices corresponding to simple algebras and not the whole lattice containing $\Lambda$.

[^18]:    ${ }^{3}$ We are not aware of a treatment of this general problem in the literature.
    ${ }^{4}$ The $L_{\Lambda}$ 's correspond to the singularities of K3 $\times S^{1}$ orbifolds of order $n$ in the dual M-theory 9 .

[^19]:    ${ }^{1}$ In this thesis we ignore the contributions to the gauge group coming from the gravity multiplet, as well as discrete factors such as found in $E_{8} \times E_{8} \rtimes \mathbb{Z}_{2}$.

[^20]:    ${ }^{2}$ For the predicted non-cyclic orbifolds in Section 8.4 we also have $r=15,17,19$.

[^21]:    ${ }^{3}$ In 158 this rule is not implemented; there is rather a scaling of certain root sublattices. Both procedures allow to get the correct root systems for Schellekens list, but it is the former which makes sense from the string theory point of view, leading to the map of orbit affine Lie algebras of $152,157,162$. The corrected results also agree with the current algebras for the $c=12 \mathrm{sCFT}$ of 163 .
    ${ }^{4}$ In this table there are genera associated to meromorphic SCFTs with $c=12$, which were not considered by Höhn in [158], although he presents partial information regarding the associated orbit lattices.

[^22]:    ${ }^{5}$ A gluing vector in a lattice $L$ is any vector which is not a linear combination of roots. This is not to be confused with the notion of gluing vector used in [161. More concretely, the latter are elements of the gluing code, which have rescaled gluing vectors.

[^23]:    ${ }^{1} L \otimes \mathbb{R}$ means the set of all points obtained by real linear combinations of the basis vectors of $L$

