

## **Wear of hot forging tools : towards an objective optimization of the durability.**

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Présentée et soutenue par

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# **Wear of hot forging tools: towards an objective optimization of the durability**

### **Usure des outils de forgeage à chaud : vers une optimisation objective de la durée de vie**

Devant le jury composé de

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Bonne lecture…

# *Résumé*

Durant le processus de forgeage à chaud, les outils sont soumis à des chargements mécaniques et thermiques cycliques sévères. Ces conditions de formage extrêmes peuvent endommager les outils par le développement d'usure abrasive, de fissuration, de fatigue thermique et mécanique. Ces dommages compromettent la résistance mécanique des outils et réduisent leur durée de vie. Pour optimiser la durée de vie des outils, plusieurs facteurs doivent être pris en compte, tels que les propriétés du matériau de l'outil, la géométrie de l'outil, l'oxydation, la lubrification et les paramètres de forgeage (température, pression et vitesse de glissement).

L'objectif de cette thèse est de comprendre comment le nombre de cycles de forgeage répétés impacte les outils de forgeage à chaud, en termes de modifications de l'état de surface, de changements des propriétés mécaniques et de la microstructure, de modifications géométriques, et de l'évolution du comportement tribologique. L'objectif est de proposer une étude tribologique qui considère l'état d'usure des matrices, avec la possibilité de l'intégrer dans des simulations numériques du processus de forgeage.

Tout d'abord, une détermination de la loi rhéologique de la nuance 38MnSiV5 (matériau de la pièce) est effectuée par des essais de compression dans l'objectif d'alimenter les simulations numériques des procédés de forgeage. Ensuite, pour étudier l'influence du cycle de forgeage sur l'état de surface des outils, une analyse topographique est réalisée sur des outils ayant différentes géométries et différents cycles de vie (nouvel outil, en cours de vie et en fin de vie). L'étude révèle que la rugosité de surface augmente tout au long du cycle de vie de l'outil en raison de phénomènes complexes tels que l'usure.

Les propriétés mécaniques, telles que la dureté et le module de Young des outils, sont également influencées par le cycle de vie de forgeage et par les changements des conditions de contact. Les tests de nano-indentation montrent une diminution de la dureté ainsi qu'une diminution ou une stabilisation du module de Young selon la zone et en fonction du cycle de vie. Les résultats des tests ont ensuite été corrélés avec les résultats de l'analyse microstructurale obtenus par SEM/EDS. Ils montrent la formation de fissures dans la couche d'oxyde et une transition de la martensite à la cémentite globulaire. De plus, la formation d'une couche de décarburation est constatée dans certaines zones, ce qui semble lié à la formation d'une couche d'oxyde non adhérente.

Une étude tribologique du procédé de forgeage est effectuée en utilisant le banc d'essai WHUST, qui sert à reproduire les conditions de contact du procédé industriel. Différentes géométries et configurations de conditions de contact sont analysées en fonction du cycle de vie. Cette analyse a révélé une stabilisation ou une diminution de l'indicateur de frottement à haute température. La présence d'oxydes et les changements de géométrie des outils influencent de manière significative le comportement tribologique et d'usure : les couches d'oxydes, dont l'épaisseur augmente avec le nombre de cycles de forgeage, agissent comme des barrières thermiques, influençant le comportement de friction et d'usure des outils. Cependant, elles servent également de sites d'initiation de fissures, contribuant à l'endommagement de la surface au fil du temps.

Les modifications géométriques induites par l'usure affectent les conditions de contact et la performance globale des outils de forgeage. Les simulations numériques mettent en évidence que les changements de géométrie des outils entraînent des variations de la pression de contact et de la température.

### *Abstract*

During the hot forging process, tools are subjected to severe cyclic mechanical and thermal loads. These extreme forming conditions can damage the tools through the development of abrasive wear, cracking, and thermal and mechanical fatigue. These damages compromise the mechanical strength of the tools and reduce their service life. To optimize the service life of the tools, several factors must be considered, such as the properties of the tool material, tool geometry, oxidation, lubrication, and forging parameters (temperature, pressure, and sliding speed).

The aim of this thesis is to understand how the number of repeated forging cycles impacts hot forging tools in terms of surface state modifications, changes in mechanical properties and microstructure, geometric modifications, and the evolution of tribological behavior. The objective is to propose a tribological study that considers the wear state of the dies, with the possibility of integrating it into numerical simulations of the forging process.

First, the rheological law of the 38MnSiV5 grade (workpiece material) is determined through compression tests to feed the numerical simulations of the forging processes. Next, to study the influence of the forging cycle on the surface state of the tools, a topographical analysis is performed on tools with different geometries and at different stages of their life cycle (new tool, mid-life, and end-of-life). The study reveals that surface roughness increases throughout the tool's life cycle due to complex phenomena such as wear.

Mechanical properties, such as hardness and Young's modulus of the tools, are also influenced by the forging cycle and changes in contact conditions. Nano-indentation tests show a decrease in hardness as well as a decrease or stabilization of Young's modulus depending on the area and life cycle stage. The test results were then correlated with microstructural analysis findings obtained through SEM/EDS. These analyses show the formation of cracks in the oxide layer and a transition from martensite to globular cementite. Additionally, the formation of a

decarburized layer is observed in certain areas, which appears to be related to the formation of a non-adherent oxide layer.

A tribological study of the forging process is conducted using the WHUST test bench, which replicates the contact conditions of the industrial process. Different geometries and configurations of contact conditions are analyzed according to the life cycle stage. This analysis revealed a stabilization or decrease in the friction coefficient at high temperatures. The presence of oxides and changes in tool geometry significantly influence the tribological and wear behavior: oxide layers, which increase in thickness with the number of forging cycles, act as thermal barriers, affecting the friction and wear behavior of the tools. However, they also serve as crack initiation sites, contributing to surface damage over time.

Geometric modifications induced by wear affect the contact conditions and overall performance of the forging tools. Numerical simulations highlight that changes in tool geometry lead to variations in contact pressure and temperature.

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### **General introduction**

<span id="page-13-0"></span>In a world where environmental awareness is becoming increasingly important, eco-design and product sustainability are becoming imperatives for companies. Stellantis, a global leader in the automotive industry, is firmly committed to this approach, adopting a holistic view of the lifecycle of its products. The company is the result of the merger between two giants of the automotive industry, Fiat Chrysler Automobiles (FCA) and Groupe PSA, in January 2021. This merger has created a new entity that brings together iconic automotive brands [\(Figure 1\)](#page-13-1) such as Fiat, Jeep, Peugeot, Citroën, and Opel. Stellantis, with a workforce of over 400,000 people, operates globally, with a presence in more than 130 countries and a diverse range of products, from passenger cars and light commercial vehicles to luxury and electric vehicles.



Figure 1: Some car brands manuf actured by Stellantis.

<span id="page-13-1"></span>The company doesn't only lead in technological innovation, but it is also committed to ecodesign, the sustainability of parts and tools, and the promotion of the circular economy. From conception to the end of the vehicle life, every stage is planned to minimize the environmental impact. This includes the use of recycled and recyclable materials, the implementation of resourceefficient production processes, as well as efforts to extend vehicle life through reuse, repair, and recycling programs. In this way, the durability of parts and tools is an important aspect of Stellantis' business. The materials used are carefully selected for their resistance to wear and corrosion, ensuring the reliability and longevity of the products.

In order to reduce environmental impact, and the costs of production, Stellantis aims to increase the lifecycle of its production tools. The study and analysis of degradation, wear, and damage of hot forging tools remain significant challenges. In this context, a partnership has been established between Stellantis and LAMIH (Laboratory of Automatics, Mechanics, and Industrial and Human Computer Science UMR CNRS 8201) under a CIFRE agreement managed by the French National Association for Research and Technology (ANRT).

The work conducted in this research focuses on studying the wear of hot forging tools throughout their lifecycle. The tools under investigation are produced at the Stellantis Mechanical Plant located at the Mulhouse site. Mechanical Plant has three operational departments: foundry, central tooling, and forging. The Central Tooling department designs, manufactures and markets tools, including dies that are used for stamping or casting components. The Foundry produces aluminum die-castings, supplying cylinder blocks and bearing caps. The Forging department employs a combination of manual and automated manufacturing machines, facilitating the production of specific engine assembly parts, such as connecting rods, pinions, shafts, crankshafts, crown, etc…

While the hot forging process gives the part the mechanical strength and the metallurgy adapted service conditions, its main disadvantage is the failure of tools due to repeated and significant mechanical, thermal, and tribological stresses (Alimi, 2016).

The average lifecycle of Stellantis forging tools (also known as forging dies) is around 20,000 manufactured pieces for the tool under investigation. However, a tool may be at the end of its life after 15,000 parts, or after 25,000 parts, for reasons often unknown to the manufacturer. This tool lifecycle depends on various factors, including the materials used for fabrication, the thermal and thermochemical treatments undergone by the tooling prior to its use, the geometry (design) of the tools, the choice of lubricant used in forging, and the thermomechanical stresses during the hot forging process (Gronostajski, Hawryluk, Kaszuba, & Ziemba, 2016) (Kumar, 2014) (Daouben, 2008).

 Different constraints encountered during hot forging include mechanical loads, extreme thermal conditions (heating temperature, heating due to friction and plastic deformation of the parts), and chemical stresses (reactivity of surfaces in the presence of lubricant, oxidation of the part) (Serebriakov, 2020). These constraints lead to surface deteriorations of the dies. These damages are typically mechanical and thermal fatigue, abrasive and adhesive wear, and rarely plastic deformation. These phenomena significantly alter the mechanical durability of the tools and reduce their lifecycle (Gronostajski, 2019). Tool failure doesn't only result in a production line shutdown but also requires considerable time for replacement and adjustment, which is unacceptable in an industrial context. Stellantis' knowledge regarding the damage and wear phenomena of forging tools still needs to be completed. Process control in forging is driven by numerical simulation, but it is currently limited to the analysis of the manufactured component. Regarding the tools, the available information is limited to general indications, without a comprehensive consideration of the changes they undergo throughout their lifecycle.

The aim of the research carried out in this thesis is to understand how the number of repeated forging cycles impacts hot forging tools, in terms of modifications to the surface state, changes in mechanical properties and microstructure, and geometric modifications. The main observations will therefore be tribological. The objective is to propose a tribological study that considers the wear state of the dies, with the possibility of integrating it into numerical simulations of the forging process.

The crankshaft forging process was selected for investigation. This choice is based on both the complexity of the tooling geometry and the challenges encountered during the forging operation of this crankshaft. The crankshaft is a rich object of learning. The tool surface consists of different zones with varying geometries (flat, concave and convex surface), each of them being subjected to different contact conditions in terms of sliding velocities, contact pressure, temperature levels, contact time.

Carrying out tests and measurements on-site is complicated because of production constraints, accessibility to the forming area and the significant costs that such measures could involve. One of the solutions is to carry out characterisation and analysis tests under conditions close to industrial conditions in a scientific laboratory.

To address the research objectives, the study will be structured around four chapters. The first chapter presents and describes in detail the different stages in the forming of crankshafts, the manufacture of the tool, the failures and damage observed on the tools and previous studies conducted on the tool grade. The second chapter focuses on the formulation of a rheological law for the billet, incorporating more precise data in line with the need to improve numerical forging simulations. The third chapter concerns the study of the influence of forging cycles on the topography and near-surface mechanical properties, specifically carried out on the rough lower forging die. The final chapter examines the influence of forging cycles on the tribological behavior of hot forging tools.

# <span id="page-17-0"></span>**Chapter 1. Hot forging of crankshafts: design, life cycle, wear and oxidation of dies**

This chapter presents and describes the different steps of the crankshafts forging and tools production. Then, the chemical composition and microstructure of the grade used and the machining steps of the hot-forging tools, the failure and damage observed on the tools throughout their lifecycle are presented. Finally, a dedicated literature review on the oxides appearing on billets and tools during the hot forging concludes this chapter.

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### <span id="page-18-0"></span>**1.1. Hot forging of crankshaft**

Hot forging is a metal forming process that involves forming a malleable metal part, often referred to as a billet or workpiece, into a specific shape using various techniques such as hammering, upsetting, stamping, die forging [\(Figure 2\)](#page-18-1). These processes are carried out when the temperature of the workpiece is approximately 75% of its melting point (Liitjhering & Williams, 2007).



Figure 2 : Die forging at Stellantis Mulhouse.

<span id="page-18-1"></span>For the purpose of this research, the hot forging of the crankshaft designed specifically for three-cylinder petrol engines [\(Figure 3\)](#page-19-0) was selected for this study. The material grade used is 38MnSiV5 steel grade [\(Table 1\)](#page-19-1).

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Figure 3: Crankshaft designed for four-cylinder petrol engines.

<span id="page-19-1"></span><span id="page-19-0"></span>

<b>Elements</b>	$\mathbf{C}$ ◡	Mn	$\mathbf{Cr}$	Si	p	Al	Ti	$\bf Mo$	N	$\mathbf{C}\mathbf{u}$	P
$%$ m	$0,36-$ 0,40	$1,20-$ 1,50	$0,10-$ 0,20	$0,50-$ 0,80	$\leq$ 0,02	$0,01-$ 0,04	0,02	0.06	0.01	0.30	$0,03-$ 0,06

Table 1: Chemical Composition of 38MnSiV5 (%m).

In the forging unit at the Stellantis Mulhouse site, steel bars (6 meters length) made of 38MnSiV5, undergo a cold-cutting process to create cylindrical billets measuring 316 mm in length and 85 mm in diameter. Once the billets are cut, they are then transported to production zone. The billet is then heated. The heating process, an important initial step, involves subjecting the billet to temperatures ranging from 1270°C to 1290°C using an induction heater for approximately 320 seconds. The heating power employed is 1.8 MW, representing half of the maximum power of the heater (3.4 MW). Throughout the heating process, the temperature of the hot billet is closely monitored at the heater's outlet by a pyrometric sensor. During heating, a change in the initial microstructure of the 38MnSiV5 steel (ferrite + pearlite) takes place. The crystalline structure gradually transforms into austenite [\(Figure 4\)](#page-20-0) from 815°C and then grain growth occurs.

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<span id="page-20-0"></span>Figure 4 : Time-Temperature diagram of 38MnSiV5 steel.

Following the heating step, the billets, now at the optimal temperature, are transferred from the induction heater to the mechanical press using a robot. The entire transfer operation takes approximately 15 seconds.

A mechanical press is used to produce the crankshafts at a rate of 250 pieces per hour. There are three main steps in the forging of the crankshaft [\(Figure 5\)](#page-21-0), in which the billet is handled on six dies preheated to a temperature of around 140°C:

- the distribution step: this step involves the distribution of material to facilitate subsequent steps.
- the roughing step: this step involves shaping the rough billet [\(Figure 6\)](#page-21-1) and [\(Figure 7a](#page-22-0) and 7b), which closely resembles the finished one [\(Figure 6\)](#page-21-1) and [\(Figure 7c](#page-22-0)). The volume ratio between the two stages is low, typically just a few percent. The rough billet has narrower dimensions to avoid folds and filling defects during the finishing step.
- the finishing step: this is the final forging step that results in achieving the final geometry of the billet, requiring very little machining to obtain a finished billet [\(Figure 6\)](#page-21-1) and [\(Figure](#page-22-0)  [7\)](#page-22-0).



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<span id="page-21-0"></span>Figure 5: Diagram of the hot forging process of the crankshaft.



Figure 6: The 3 steps of the forging of the crankshaft on the mechanical press at Stellantis.

<span id="page-21-1"></span>Time measurements are presented in [Table 2.](#page-22-1) These times include the positioning of the billet on the tool, the press stroke and the time taken for the part to be picked up by the transfer system.

<span id="page-22-1"></span>

#### Table 2: Time of presence of the billet in each step of hot forging

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When the impressions are empty, a spray system applies lubricant (1 litter of liquid for each piece, a mixture of 25% of graphite-based lubricating liquid and 75% water), and cold air is blown to remove oxides. The air and especially the evaporation of the water have a relative cooling effect on the tool. The finished workpiece is transferred by robot to the hydraulic press used for deburring, the excess material, known as the burr, is removed from the workpiece profile.

<span id="page-22-0"></span>

Figure 7: Pieces obtained after hot forging steps: a) after distribution, b) after roughing, c) after finishing.

### <span id="page-23-0"></span>**1.2. Hot forging die**

Crankshaft forging process requires the design, manufacture, and maintenance of complex tools, specifically customized dies for each part. This is carried out at the Central Tooling department of Stellantis. The cost of the raw material comprising the tooling, in addition to machining and both mass and surface treatment, accounts for 5 to 15% of the overall cost of the parts produced through the process.

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#### **1.2.1. Chemical composition of die material**

<span id="page-23-1"></span>The selection of die material for forging applications is primarily contingent on factors such as the temperature generated within the dies, the applied load, and the cooling method employed for the dies. Most hot work tool steels belong to the category of low carbon steels and are alloyed with medium to high alloying elements. Detailed compositions of hot work tool steels under the AISI standard can be found in literature (Altan, Ngaile, & Shen, 2004).

Stellantis use X38CrMoV5 steel grade to produce crankshaft forging tools. X38CrMoV5 falls into the category of steels that are resistant to thermal shock, it is characterized by low to medium carbon content, along with alloying elements such as nickel, chromium, molybdenum, and vanadium [\(Table 3\)](#page-23-3).

The Central Tooling department at Stellantis Mulhouse site receives parallelepiped blocks of X38CrMoV5 stell with dimensions (700  $mm \times 270$   $mm \times 170$   $mm$ ) and a weight of 250 kg from four different suppliers. Upon receipt, the laboratory of the central tooling department checks the chemical composition of the steel comply with the standard. An analysis of the chemical composition [\(Table 3\)](#page-23-3) is conducted using a spark spectrometer on samples extracted from one of the blocks.

<span id="page-23-3"></span>Table 3: The chemical composition of X38CrMoV5 (measured in mass %) is monitored using a spark spectrometer at the Central Tooling department at Stellantis Mulhouse.

$\frac{9}{6}$ m	$\mathbf C$	Mn	Si	S.	P	$\mathbf{C}$ r	Mo		W	Co	Ni	Cu
min.	0,34	0,2	$\overline{\phantom{a}}$		$\overline{\phantom{a}}$	4,80	1,20	0,40	$\overline{\phantom{a}}$	$\overline{\phantom{0}}$		
max.	0,42	0,50	1,20	$\vert 0,010 \vert 0,025 \vert$		5,50	1,50	0,60	0,20	0,20	0,20	0,20

### **1.2.2. The role of alloying elements**

<span id="page-23-2"></span>The alloying elements play an important role in the thermomechanical properties of steel and therefore have a significant impact on the flow of the material. Notably, chromium enhances the hardenability of the steel which in turn reduces grain coarsening during austenitization and delays softening during tempering and contributes to reduced high-temperature oxidation. It also forms carbides that enhance abrasion resistance (Barrau, Boher, Gras, & Rezai-Aria, 2003) (Alimi, 2016).

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In addition, molybdenum provides the steel with excellent resistance to hot wear through the presence of extremely hard carbides ranging from 1500 ( $M_6C$ ) to 2000 Hv ( $M_2C$ ) and delays softening. (Barrau, Boher, Gras, & Rezai-Aria, 2003).

On the other hand, vanadium helps generating hard carbides (MC 3000 Hv). Small additions (up to 0.2% by mass) are highly effective in preventing grain coarsening during heat treatment. In tool steels, it is commonly used in conjunction with chromium, molybdenum, and tungsten. Its poor oxidation resistance beyond 600°C is compensated by the presence of chromium. Additionally, the carbon content of the steel is closely related to the vanadium content, which is limited by hardenability, forgeability after quenching, and tempering concerns (Barrau O., 2004) (Alimi, 2016).

Finally, Silicon is used as a deoxidizer in the liquid steel during the final stage of production. Contents of approximately 1% result in an increase in yield strength, oxidation resistance beyond 1000°C, and hardenability due to constructive collaboration with other alloying elements like molybdenum. It also helps reducing the stability of  $M_2C$  carbides, thereby decreasing the metal brittleness (Barrau, Boher, Gras, & Rezai-Aria, 2003).

#### **1.2.3. Machining and Heat Treatments of the dies**

<span id="page-24-0"></span>The die machining process at Stellantis begins with High-Speed Machining (HSM). Then, the dies undergo bulk heat treatments followed by electrical discharge machining (sinking machining). After the machining stage, the dies undergo heat treatments ([Figure 8](#page-24-1)) in a vacuum furnace. Heat treatments are especially important processes that determine the microstructure of the steel and, consequently, the mechanical properties of the material.



#### <span id="page-24-1"></span>Figure 8: The different heat treatments undergone by Stellantis dies ( $\varepsilon = Low$ proportion).

Firstly, steel undergoes an annealing process to homogenize its structure, which consists of alpha ferrite and carbides at that point [\(Figure 9\)](#page-25-0). This annealing softens the material and makes it more workable (Alimi, 2016). In the annealed state, the metal has a hardness of around 20 HRC.

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<span id="page-25-0"></span>Figure 9: The microstructure of X38CrMoV5 in the annealed state.

Temperature is elevated to 1005 $\degree$ C at a controlled heating rate of 4 $\degree$ C/min; austenitization is carried out by holding at a temperature of 1005°C for one hour under. It dissolves carbides and induces an austenitic transformation (Mebarki, 2004), resulting in an increased core hardness. The temperature is monitored using a thermocouple positioned 45 cm from the core of the die. This operation is followed by quenching and tempering to enhance mechanical properties.

Quenching involves rapid cooling through nitrogen injection under pressure (3 bar) to 80°C, lasting at least an hour and a half. After quenching, the first tempering stage occurs at 550°C for 6 hours which relieves the residual stresses from the rough quench and results in tempered martensite and the precipitation of secondary carbides. The residual austenite from the initial quench transforms into secondary martensite after the first tempering. The secondary martensite is then further tempered during the second tempering stage (Barrau, Boher, Gras, & Rezai-Aria, 2003). By adjusting the temperature, the second tempering imparts the desired hardness to the steel. The hardness evolution concerning the temperature of the second tempering is depicted in the [\(Figure](#page-26-1)  [10\)](#page-26-1) in our case, the second tempering is performed at 585°C. (Barrau, Boher, Gras, & Rezai-Aria, 2003) (Alimi, 2016).

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<span id="page-26-1"></span>Figure 10: Hardness evolution as a function of the second tempering temperature of X38MnSiV5 steel (Barrau O., 2004).

The second tempering temperature does not influence the variety of carbides (Barrau O., 2004), as the same types of precipitates are found at the end of the first tempering [\(Figure 8\)](#page-24-1). The second tempering is conducted at a temperature below 600°C, carbide coalescence is not observed. However, the high dislocation density introduced during quenching decreases while maintaining its "entanglement" structure (Mebarki, 2004).

After heat treatment, the dies are transferred to the electrical discharge machining station. Once the machining is complete, the geometry of the die and the surface condition are inspected, and then the dies are transferred to the nitriding treatment station.

### <span id="page-26-0"></span> **1.2.4. Nitriding of hot forging dies**

Surface treatments like nitriding are used to enhance the surface properties of metallic materials, particularly their wear resistance. During the nitriding process, the material is exposed to a nitrogen-rich atmosphere at elevated temperatures, typically between 500°C and 1100°C, depending on the steel grade and the processes used. The nitrogen concentration decreases from the treated surface towards the core, where it reaches zero. (Barrau, Boher, Gras, & Rezai-Aria, 2003)

Stellantis crankshaft forging dies are introduced into a furnace chamber enriched with ammonia and heated to a temperature of 525°C for 44 hours. The catalytic cracking of ammonia molecules on the surface of the treated piece generates atomic nitrogen, which partially diffuses into the first microns of the piece. The main parameters which control this nitriding process are: the treatment time, the temperature, the dissociation rate of the atmosphere (fraction of  $NH<sub>3</sub>$  are dissociated and recombined into  $N_2$  and  $H_2$  molecules) and the effective nitriding depth (depth at which the hardness exceeds the core hardness). Stellantis Mulhouse site standards specify a nitride depth between 0.2 mm and 0.4mm, with a surface hardness ranging between 800 and 1100 Hv. Surface hardness is measured by a portable hardness tester. At this stage, the dies are ready for use.

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The advantages of nitriding include low dimensional variations (if the treatment is uniform), good mechanical properties (hardness, friction, fatigue), and good corrosion resistance (Barrau, Boher, Gras, & Rezai-Aria, 2003).

### <span id="page-27-0"></span>**1.3. Microstructural analysis after heat treatment and nitriding**

The microstructure of the steel after heat treatments and nitriding is revealed on a sample extracted from a tool block of X38CrMoV5 steel thermally treated and nitrided at Stellantis (under the same conditions as the heat treatments and nitriding of the dies). The sample is cut and prepared using metallography techniques, including embedding in epoxy resin and mechanical polishing with increasingly fine abrasive papers up to P1200. Mirror polishing is carried out until achieving a surface finish of 3 µm, using a Nap polishing cloth. Finally, a chemical etching is performed with a 3 % nitric acid solution for precisely 15 seconds.

The nitrided material can be divided into four zones: the combination zone, the diffusion zone, the overcarburized zone and the material core [\(Figure 11](#page-28-0) a). Firstly, the combination zone, also known as the white layer (Widomski *et al.*, 2021) due to its appearance after Nital etching, is located very close to the surface. It is composed of iron nitride, either  $\varepsilon$  (Fe<sub>2</sub>-3N) or  $\gamma$ ' (Fe<sub>4</sub>N), or a mixture of the two compounds  $(\epsilon+\gamma)$  (Jacq, 1994). In our study, the thickness of the combination layer is approximately 10 μm. Sometimes, this layer is removed from forging dies through a polishing process (Daffos, 2004) (Jacq, 1994). Secondly, the diffusion zone of about 100 microns which is composed of a solid solution of nitrogen with or without nitride precipitates. Nitrogen substitutes for carbon is inserted into the crystal lattice. This layer exhibits residual compressive stresses (with a maximum of 700 MPa and an average value of 250 MPa) (Daffos, 2004) (Jacq, 1994). In our case, the diffusion layer reaches a surface hardness of 1100 Hv (160 µm). Thirdly, over carburized zone of about ten microns acts as an interface between the diffusion layer and the core of the material. This zone serves as a mechanical balance because it corresponds to residual tensile stresses (approximately 100 MPa). Finally, the core of the material, which structure has not been affected by the surface treatment temperature or nitrogen diffusion (Daffos, 2004) (Jacq, 1994).

[Figure 11b](#page-28-0) illustrates the tempered martensitic microstructure of X38CrMoV5 steel. The tempered martensite is composed of alpha ferrite (BCC) and cementite in the form of lamellae (Barrau, Boher, Gras, & Rezai-Aria, 2003). The microstructure also comprises nanometric-sized precipitates, a high density of dislocations, and secondary carbides (Alimi, 2016); (Medjedoub, 2004).

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Figure 11: a) Structure of the nitrided layer, etched with Nital, b) Tempered martensitic structure in lamellae, etched with Nital .

<span id="page-28-0"></span>Additionally, filamentous areas [\(Figure 12\)](#page-28-1) commonly referred to as "angel hairs," are carbon atoms that become trapped at the former austenitic grain boundaries and associate with iron atoms to form cementite-type carbides (Fe3C). This intergranular precipitation of carbides displaces chromium from the solid solution, thereby reducing the hardness potential of the nitrided material (Karamiş, 1993). This phenomenon is intrinsic to the nitriding process.



<span id="page-28-1"></span>Figure 12: Angel hairs in nitrided layer.

### **1.4. Die failure causes.**

Chandler et *al.* (Chander & Chawla, 2017) classify Hot forging die failures causes into three main categories ([Figure 13](#page-29-1)): catastrophic failures, manufacturing failures, and operational failures.

<span id="page-29-0"></span>\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_



#### Figure 13: Die Failure causes (Chander & Chawla, 2017).

<span id="page-29-1"></span>Catastrophic failures can be attributed to a range of factors such as faulty design, imperfect arrangement, inappropriate storage, and mishandling during movement. Manufacturing causes are associated with material non-conformity and the fabrication process. Inappropriate machining processes or/and inadequate heat treatment and can result in suboptimal toughness and decreased fatigue resistance. Insufficient lubrication during forming, often driven by inaccessibility or a timesaving approach, can lead to uneven stress patterns, ultimately causing die failure. (Santaella, Oliveira, & Button, 2009).

#### Chapter 1. Hot forging of crankshafts: design, life cycle, wear and oxidation of dies

Operational causes, representing the most direct and immediate causes of die failure (Figure 14), are linked to the operating conditions during the die's use (Chander & Chawla, 2017). In the hot working process, forging dies are exposed to three primary factors that contribute to their deterioration and eventual failure: cyclic loading, thermal loads, friction and erosion. To facilitate the deformation of the material being forged, it must be heated to a temperature ranging from 1000 to 1200°C. This elevated temperature reduces the yield point of the material, enhancing its malleability and making it easier to shape. The contact with hot metal subjects the dies to temperatures between 600°C and 900°C. As the material is shaped, the dies subsequently cool down, experiencing rapid and extreme temperature changes that lead to thermal stress and fatigue. Cyclic loading, inherent to the forging process, significantly impacts the lifespan of forging dies. The repeated and fluctuating mechanical loads during forging result in fatigue, wear, and deformation of the die surfaces (Chander & Chawla, 2017).

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Figure 14: Example of cracks observed after crankshaft hot forging.

### <span id="page-31-0"></span>**1.5. Damage mechanisms of hot forging tools**

Historical data on forging die life provides valuable insights into the various damages that affect die durability. A comprehensive study of over 120 different cavity forms from twenty different forging operations revealed the following distribution of die damages [\(Figure 15\)](#page-31-1). Wear is the most common cause of forging die failure, accounting for over 70% of cases. Mechanical fatigue and gross cracking are responsible for more than 25% of die failures. The rest of the damages are attributed to thermal fatigue cracking and plastic deformation (Lange *et al.*, 1992) (Grobaski, 2004).

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Figure 15: Die damages mechanisms shown here at various points on the lower die (Lange *et al.*, 1992) (Grobaski, 2004).

<span id="page-31-1"></span>In many cases, these mechanisms act concurrently, even during the initial stages of the die operational life. Damage is typically concentrated on the die surface and subsurface layers, necessitating a localized characterization approach to understand and mitigate the damage. By extracting local properties, it is possible to estimate the extent of mechanical damage in the subsurface of the most affected areas of the die (Chander *et al.*, 2017). Based on this, some of the damaging mechanisms are described in the following paragraphs.

### **1.5.1. Wear Mechanisms**

Wear is an inherent aspect of the hot metal flow and spreading within the impression of a forging die. Several factors influence the wear phenomenon and these are summarized in [Table 4.](#page-32-1) Wear tends to be more pronounced when the design is complex, when the metal being forged possesses high hot strength, or when there is scale present on the work metal (Mazurkiewicz *et al.*, 2011)

<span id="page-32-0"></span>\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

<span id="page-32-1"></span>

Temperature	Atmosphere	Contact area		
Load	Material properties	Finish		
Velocity	Lubrication	Shape		
Vibration	Sliding distance	Type of motion		

Table 4: Factors affecting wear (Chander *et al.*, 2017).

Wear can be classified in three main ways. First, by how the wear appears, such as pitting, spalling, scratching, polishing, crazing, fretting, gouging, or scuffing. Second, by the physical mechanism that causes the damage, like adhesion, abrasion, oxidative, or delamination. Third, by the environmental conditions surrounding the wear (Bayer, 2004). [Figure 16](#page-32-2) shows the various types of wear encountered in forging industrial situations and their respective percentages.





<span id="page-32-2"></span>Figure 16: Hot forging dies wear mechanisms (Abachi, Akkök, & Gökler, 2010) (Kim *et al.*, 2006).

Typically, a single mechanism is the primary driver of wear, but the importance of each mechanism can change as the conditions of the tribosystem, like load, velocity, and friction change. This means that materials may exhibit different wear behaviors as these parameters change. (Doege *et al*., 1994) (Barrau, O *et al*., 2003) (Mazurkiewicz *et al*., 2011) (Chander *et al.*, 2017).

#### • **Abrasive Wear**

Abrasive wear becomes a significant problem in tribosystems where dust and wear debris cannot be controlled or excluded (Singh, 1973).The abrasive wear mechanisms, including ploughing, cutting, and fragmentation, are influenced by the properties of both wear material (tool material) and abrasive material [\(Table 5\)](#page-33-0). Most factors influencing abrasive wear behaviour are tied to the mechanical properties of the materials involved. If, the mechanical interaction between the abrasive material and the wear material is of great significance, chemical processes like corrosion or oxidation play a role as they affect the wear rate of materials in the specific environment (Chander *et al.*, 2017)

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Abrasive wear is observed in regions where material flow across the tool surface is significant (radii, fillets, boss). This phenomenon is more pronounced in areas with high sliding velocities and substantial loading forces. Additionally, elevated temperatures promote oxidation, leading to the detachment of highly hard oxide particles from the workpiece and matrices, creating micro-machining-like fissures and grooves on the tool surface. (Alimi, 2016)

<span id="page-33-0"></span>

#### Table 5: Factors affecting abrasive wear (Singh, 1973)

One aggravating factor for abrasive wear is the loss of tool hardness due to microstructural changes in the material at high temperatures. A service temperature close to the tempering temperature (550°C) can modify the material microstructure, directly impacting its hardness. This microscopic alteration has direct consequences on the material hardness and influences its performance under high temperature working conditions.

The visual observation of hot forging dies for crankshafts at the end of their life (20,000 cycles) reveals asperities with scratches parallel to the sliding of the material, indicating the presence of abrasive wear in different areas of the die [\(Figure 17\)](#page-34-1).



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<span id="page-34-1"></span>Figure 17: The presence of wear on the hot forging dies for crankshaft  $(20,000 \text{ cycles})$  at Stellantis

#### • **Adhesive Wear**

Adhesive wear models are based on the fact that small surface irregularities adhere together, with tips sheared from softer surfaces sticking to harder ones during sliding. In severe cases, this can lead to 'galling,' tearing microscopic material chunks. This occurs more frequently when both surfaces are of the same material or when lubrication is insufficient, especially at high temperatures or fast sliding speeds (Bayer, 2004).

During adhesion and the transfer of material, the workpiece material can undergo work hardening, making it harder than the original workpiece it came from. Additionally, oxide particles can mix into the adhered material, further increasing its hardness through particle strengthening. Regions of hard adhered material can raise the tool surface roughness. When subsequent workpieces are processed, this hard adhered material might cause indentations or scratches on the surface, leading to a worsened surface finish (Hanson, 2008) (Chander *et al*., 2017).

#### **1.5.2. Thermal fatigue**

<span id="page-34-0"></span>Thermal fatigue is observed in hot forging dies, arises from repetitive cycles of heating and cooling. Temperature variations across a material lead to stress and strain differentials caused by thermal expansion. When the resulting stress surpasses the hot tensile limit of the material, it results in the yielding of surface layers. Over numerous heating and cooling cycles, this yielding can lead to the initiation and subsequent growth of interconnected network of cracks, commonly referred to as "Heat checking" [\(Figure](#page-35-0) 18).During operation, the depth of these cracks may increase due to heightened local stresses, marking the onset of the failure process.

During forging, oxides form, and forging residues can fill the heat checking, generating additional stresses. Geometrically singular areas, such as angles, curvature radii, and fillets, undergo a higher concentration of thermal stresses compared to other tool areas due to heat transfer across multiple facets.

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In contrast to wear and plastic deformation, where damage may lead to noticeable defects in the forged parts, fatigue-induced cracks can be less immediately visible in their impact on the formed parts. Fatigue cracks typically grow over time, and their effects on the formed parts may not be readily apparent. As a result, it may seem as if the failure occurs suddenly when these cracks reach a critical depth, causing the die to fail. (Sjöström, 2004) (Chander, et *al*., 2017).



Figure 18: Thermal fatigue observed in the hot forging die for crankshafts  $(20,000)$ cycles) at Stellantis.

<span id="page-35-0"></span>In such cases, the consequences can be significant. The sudden failure of a die interrupts production, requiring the replacement of damaged die components. This interruption can result in substantial economic losses and significant delays in production schedules. Managing and monitoring fatigue-induced cracks in dies are crucial not only for maintaining the efficiency of manufacturing operations but also for minimizing the economic and logistical challenges associated with unexpected die failures (Chander, et *al*., 2017).
## **1.5.3. Mechanical fatigue**

The mechanical fatigue in die surfaces subjected to hot forging involves the accumulation of critical strains on the die surface, eventually leading to cracking and major failure. This process is driven by cyclic stresses and repeated external loading imposed on the die surface. [Figure 19](#page-36-0) illustrates a typical mechanical crack formation and propagation on a hot forging die, made of X30WCrV9-3 (H21) steel, subjected to different numbers of cycles. (Emamverdian, et *al.*, 2021)

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In [Figure 19\(](#page-36-0)a), corresponding to about 2400 forging cycles, a thin crack appears on the transversal plane with seemingly low depth[. Figure 19](#page-36-0) (b) confirms that this crack is formed on the surface/interface proximity, and its width is not constant. With an increase in the number of forging cycles, material weakening may occur, accompanied by a decrease in hardness on the surface layer due to cyclic thermomechanical loading. Consequently, grooves begin to develop and to grow in depth (see details in [Figure 19](#page-36-0) (c) and (d)).

This crack configuration was observed after about 4200 forging cycles. (Emamverdian, et *al*., 2021). Further increases in the number of cycles, approximately 5200 forging cycles, can lead to the formation of clusters of cracks, as depicted i[n Figure 19](#page-36-0) (e).



<span id="page-36-0"></span>Figure 19: Mechanical crack formed on the H21 steel during hot forging process in the inner corner radius (a) after about 2400 forging cycle (b) crack  $\hat{f}$ s close – up indicated in (a), (c) after about 4200 forging cycles, (d) crack 's close –up indicated in (c) and (e) dispersion of cracks after about 5200 forging cycles on the surf ace of the die. (Emamverdian, et al., 2021) .

In regions of thermomechanical stress concentrations, such as at curvature radii and junction fillets, mechanical fatigue, often accompanied by thermal fatigue, is observed [\(Figure 20\)](#page-37-0). Fissure initiation typically occurs in the form of progressive crack propagation.

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Figure 20: Mechanical fatigue observed in the hot forging die for crankshafts (20,000 cycles) at Stellantis

# <span id="page-37-0"></span>**1.6. Oxidation of steels during hot working**

During the heating process and/or during forming, oxides are produced on the surface of the billet and tools. Wustite (FeO), magnetite (Fe<sub>3</sub>O<sub>4</sub>) and hematite (Fe<sub>2</sub>O<sub>3</sub>) are the three primary iron oxides known to form during the hot working of structural carbon steels. Notably, wustite, exhibits lubricating properties when exposed to high temperatures. Magnetite shares similar lubricating characteristics in high-temperature conditions. Conversely, hematite, serves as an abrasive agent contributing to wear during the hot forming process (Zambrano *et al*., 2015).

Different factors have an impact on the formation, structure, and chemical composition of oxide scales. The heating temperature [\(Figure 21\)](#page-38-0), duration, and cooling rate are among the important factors affecting the formation of oxide scales (Behrens *et al*., 2018) (Zambrano *et al*., 2015). The typical three-layered oxide scale system is shown in [\(Figure 22\)](#page-38-1), especially between 700 and 1000 °C (Zambrano *et al*., 2015) (Graf *et al*., 2013) (Serebriakov, 2020).

Chapter 1. Hot forging of crankshafts: design, life cycle, wear and oxidation of dies



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<span id="page-38-0"></span>Figure 21: The impact of heating temperature on oxide scale composition (Behrens *et al.*, 2018).



<span id="page-38-1"></span>(Serebriakov, 2020)

The X38MnSiV5 grade undergoes oxidation in its virgin state and in its nitrided state. With virgin steel, at temperature above 570°C, an outer layer of hematite (Fe<sub>2</sub>O<sub>3</sub>) and an inner layer of magnetite (Fe<sub>3</sub>O<sub>4</sub>) can be distinguished [\(Figure 23\)](#page-39-0). A third layer indicates an internal oxidation containing chromium as well  $(Fe, Cr)_{3}O_{4}$  and magnetite. The chromium enrichment occurs at the oxide/steel interface. The increase in chromium content appears to impart a protective nature to these layers against high-temperature oxidation (Bruckel, 2003).

Chapter 1. Hot forging of crankshafts: design, life cycle, wear and oxidation of dies



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#### <span id="page-39-0"></span>Figure 23: The decomposition of the oxide layer into strata based on their composition on X38CrMoV5 (Barrau O., 2004)

Wustite (FeO) does not form on this steel, even beyond 570°C. The chromium content exceeding 2% in iron alloys prevents the formation of a wustite layer (Gemelli, *et al.*, 1996) (Armanet, 1984) (Barrau O., 2004). In fact, the introduction of chromium shifts the wustite formation temperature to higher values (around 850°C for steels containing 5% chromium (Schutze, 1997).

The nature of the oxide layers formed between 600°C and 700°C remains the same; however, the growth kinetics of these layers can vary by a factor of four [\(Table 6\)](#page-39-1). The thickness of the layers observed at 700°C is less uniform but thicker compared to those formed at 600°C. In general, X38CrMoV5 forms adherent oxides under static conditions (Jean, 1999) (Barrau O., 2004).

<span id="page-39-1"></span>Table 6: Oxide layer thickness variation vs temperature in the presence of water vapor on the virgin material (Bruckel, 2003).



Notably, the oxide layers formed on nitrided, and virgin materials exhibit differences in morphology, with nitrided materials having an acicular structure and virgin materials showing a cellular structure (Barrau O., 2004). Less oxides containing chromium are formed on the nitrided material (Daffos, 2004). Chromium is partially trapped by the formed nitrides, limiting its mobility under thermal agitation.

The introduction of nitrogen creates a diffusion barrier that impedes the mobility of iron atoms, resulting in the formation of thinner oxide layers on nitrided steel in comparison to those on virgin steel. Moreover, the presence of a combination layer reinforces this diffusion barrier, leading to a deceleration of the oxidation kinetics (Barrau O., 2004).

# **1.7. Conclusion**

In this chapter, after describing the main stages in the crankshaft forming process, we reviewed the literature on the different phenomena of thermomechanical damage to tools during hot forging, the behavior of the materials involved, and the third bodies (oxides, lubrication) present at the interface between the billet and the tool.

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The analysis of the forging process has highlighted the complexity of tool geometries and the challenges encountered during crankshaft forging. Identification of failures and defects observed in tools at different stages of their lifecycle revealed predominant degradation modes such as wear, thermal fatigue, and mechanical fatigue. Worn tools also exhibit surface oxides embedded within cracks. The origin of these oxides could be solely from the billet if the contact temperature is below 570°C, or, both the billet and the tool simultaneously if the tool temperature exceeds 570°C.

The changes undergone by the tools emphasize the importance of considering several aspects in our study, related to tool surface condition, geometric variations, oxidation, and the influence of contact conditions on tool mechanical properties and microstructure. Microstructural analysis of new tools confirms the presence of a tempered martensitic structure with a surface nitrided layer exhibiting a hardness of 1100 Hv.

The results of previous investigations of fatigue tests, heat treatments, and oxidation studies have enabled the contextualization and guidance of our choices regarding tool characterization. Fatigue phenomena is present on the crankshaft forging tools. Our approach involves tracking damage phenomena (fatigue and wear) throughout the lifecycle of the die. Finally, the study of billet/tool contact requires consideration of aspects related to friction and lubrication. In order to numerically model the crankshaft forming process, the first step is to correctly identify the rheology of the billet to obtain a correct numerical evaluation of the mechanical (contact pressure), kinematic (sliding speed) and thermal (interface temperature) data. The rheological behaviour of 38MnSiV5 is described in the next chapter.

# **Chapter 2. Rheological behavior determination of 38MnSiV5 steel grade at high temperature**

Stellantis currently uses the rheological laws for 38MnSiV5 taken from the FORGE NxT finite element software database to numerically simulate the hot forging of crankshafts. Therefore, the relevance of these data is unknown and it is necessary to determine stress-strain curves adapted to the current conditions. The aim of this chapter is to accurately determine the rheology behavior of the 38MnSiV5 grade at the temperatures and strain rates encountered in the industrial environment. The hot compression test is the most appropriate test for establishing the flow laws of materials in the case of hot forging. The Gleeble 3500, a fully integrated closed-loop numerically controlled thermal and mechanical testing system developed by Dynamic Systems Inc., is used for these characterization tests.

The test parameters cover a range of temperatures from 950  $\degree$ C to 1250  $\degree$ C and strain rates from  $10^{-2}$  s<sup>-1</sup> to 10 s<sup>-1</sup> to replicate the actual operating conditions encountered by the material. To obtain reliable behavior laws that are as close as possible to the behavior of 38MnSiV5 on an industrial site, it is necessary to ensure that the distribution and grain size of the austenite present in the samples at high temperatures just before the compression tests match those of the industrial billets. This requires the determination of a specific preliminary thermal cycle. In our case, this thermal cycle is also used as a thermal etch, enabling us to measure the initial distribution and grain size of the sample.

After the compression tests, the raw flow stress curves must be corrected to remove friction phenomena between the sample and the dies during compression, as well as to consider the adiabatic heating of the sample and potential variations in strain rates. The methodology for carrying out these corrections is described in this chapter.

# **2.1 Preliminary thermal cycle to replicate the required grain size**

Before the compression test, the samples must be heated using a thermal cycle. This aims to closely match the grain size and distribution observed in the industrial hot-forging billets with the samples manufactured and tested on the Gleeble machine. This thermal cycle also homogenizes the temperature of the sample and its metallurgical structure, facilitating, among other things, the dissolution of carbides (Da Silva *et al.*, 2016).

## a- Billet microstructure

Firstly, the approach involves measuring the prior austenitic grain size on the industrial hot forging billet. Then, preliminary tests and measurement operations are carried out to determine the optimal thermal cycle, in terms of homogenization temperature, holding time, and heating rate.

#### **Chapter 2. Rheological behavior determination of 38MnSiV5 steel grade at high temperature** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Thus, a cylindrical billet, with dimensions of 316 mm in height and 85 mm in diameter, made of 38MnSiV5 steel, from the production of crankshafts at the Forge unit [\(Figure 24a](#page-45-0)), is heated in an induction heater at Stellantis. The heating temperature ranges between 1270 °C and 1290°C, with a holding time of 319 seconds. These heating parameters faithfully represent the heating conditions of the billet used in the crankshaft forging process.

The heated billet is then quenched in water. After this step, a piece is extracted from the billet [\(Figure 24b](#page-45-0)) and subdivided into 8 distinct samples [\(Figure 24c](#page-45-0)).



<span id="page-45-0"></span>Figure 24: Sample preparation for determining the prior austenitic grain size in the billet: a) initial geometry of the billet, b) dimensions of the piece extracted from the billet, c) location of 8 samples to measure the grain size.

The deliberate exclusion of the middle section is undertaken to minimize potential distortions resulting from specific thermal gradients and eventually, chemical gradients (segregation), which are more pronounced in the central region. Each sample is cut and prepared using metallography techniques, including embedding resin epoxy. The samples then undergo polishing until a surface finish of 3 micrometres, is achieved using Nap polishing cloth. A chemical etching of the 8 samples is performed using the BECHET BEAUJARD reagent for 3 minutes and 30 seconds to expose the prior austenitic grain boundaries. [Figure 25](#page-45-1) shows the microstructure of sample 1.



<span id="page-45-1"></span>Figure 25: Micrographs of sample 1 ([Figure 24c](#page-45-0)) obtained from the billet heated at  $1290^{\circ}$ C and quenched in water.

#### **Chapter 2. Rheological behavior determination of 38MnSiV5 steel grade at high temperature** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[Figure 26](#page-46-0) shows the mean grain size distribution observed in the 8 samples [\(Figure 24c](#page-45-0)). Approximately 250 grains are measured from each sample using ImageJ Software. A bimodal distribution is evident. Analysis of the measurements shows that over 70 % of the grains are smaller than 50 µm. The average grain size is  $39 \pm 7$  µm.



Figure 26: Grain size distribution in the billet.

## <span id="page-46-0"></span>**b- The reproduction of the billet microstructure using Gleeble**

In order to replicate the observed grain size distribution in the industrial samples, a series of thermal etching experiments are being conducted on our samples using the compression test machine. Each thermal etching is defined by the holding time, the heating rate and the homogenization temperature. The cooling rate is set at  $1 \degree C$ /s up to 500  $\degree C$  for all cases.

[Table 7](#page-47-0) presents the design of experiments used to obtain various grain sizes and the mean grain sizes obtained after etching. The choice of the temperature at 1250 °C corresponds to both the practical constraints of the compression machine related to the temperature control using a Ktype thermocouple and closely approximates the industrial billet heating range (1270 °C to 1290 °C). The choice of a temperature of 1220 °C was made after thermal etching at 1250 °C, in an attempt to get as close as possible to the size and distribution of grains found on the industrial site.

<span id="page-47-0"></span>



Comparing the average grain size for each thermal test with the billet result  $(39 \pm 7 \text{ }\mu\text{m})$ reveals that the sample tested at 1250 °C with a holding time of 30 seconds and a heating rate of 3  $\rm{°C/s}$  (with a grain size of 49  $\pm$  6 µm), and the sample tested at 1220  $\rm{°C}$ , holding time of 90 seconds, and heating rate of  $3^{\circ}$ C/s (grain size of  $46 \pm 4 \,\mu$ m) come closest to the industrial sample grain size. [Figure 27](#page-48-0) compares grain size distribution in the billet with the results of these two thermal etchings. The grain size distribution appears near except, mainly, for grain sizes between 10 and 30 µm. Finally, a preliminary thermal cycle at 1220 °C with a holding time of 90 seconds and a heating rate of 3 °C/s is selected. The choice is justified by the average grain size being closer to the grain size of the industrial billet.



<span id="page-48-0"></span>Figure 27: Comparison between the grain size distribution of the industrial billet and the grain size distributions of samples obtained af ter thermal etching at 1220°C and 1250°C.

# **2.2 Mechanical behavior of 38MnSiV5 steel grade at high temperatures**

## **2.2.1. Compression tests procedure**

Compression tests are carried out on cylindrical samples of 38MnSiV5 steel. The tests covered a temperature range T between 950 °C and 1250 °C with an increment of 100 °C for 4 strain rates ε  $(0.01 \text{ s}^{-1}, 0.1 \text{ s}^{-1}, 1 \text{ s}^{-1}, 10 \text{ s}^{-1})$ . A total of 16 test conditions are studied. In order to ensure reliability, each test is repeated twice, i.e., 32 tests. The dimensions of the specimens are 12 mm in length and 8 mm in diameter. These test samples are machined from billets used in crankshaft production.

[Figure 28](#page-49-0) shows the thermomechanical treatments applied for the compression tests at different temperatures. All tests present a first thermal cycle in common, corresponding to that selected in section 2.2. Next, the temperature of the sample is increased or decreased at 3 °C/s according to the test's temperature and maintained at this temperature for 90 s before the compression test is performed.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_



#### <span id="page-49-0"></span>Figure 28: Diagram of the thermomechanical treatments of the compression tests.

Axisymmetric compression tests using Gleeble 3500 [\(Figure 29](#page-49-1) a) are conducted in a vacuum with a pressure lower than  $10^{-5}$  Torr in the test chamber [\(Figure 29](#page-49-1) b). Each compression tool consists of a tungsten carbide die (WC die) covered by a tantalum foil which serves as a diffusion barrier to prevent sticking between surfaces at high temperatures. At the same time, the surfaces that are in contact with the specimen are lubricated with a high-temperature stable nickelbased grease in order to reduce friction between the contacting surfaces. Before each test, WC dies undergo grinding using a 220 mesh SiC abrasive paper to remove any debris that may remain on their surfaces due to the previous test and to ensure a clean and flat surface.

The compression samples are heated through conduction phenomena between the WC tools by the Joule effect. The heating rate during testing is maintained at 3 ºC/s. Temperature control is achieved by placing a welded type K thermocouple (comprising chrome and alumel) on the test sample surface at the middle height [\(Figure 29](#page-49-1) b). This control ensures precise temperature monitoring during the compression test.

<span id="page-49-1"></span>

Figure 29: Gleeble 3500 machine: a) General view, b) Test chamber during the compression test; localization and description of the elements of the test.

## **2.2.2. Compression test results**

[Figure 30](#page-50-0) shows, for the 2 repetitions, the raw curves of effective stress versus effective strain determined from the results of compression tests carried out at four temperatures T (950 °C, 1050 °C, 1150 °C and 1250 °C), for the 4 strain rates  $\dot{\epsilon}$  (0.01 s<sup>-1</sup>,0.1 s<sup>-1</sup>,1 s<sup>-1</sup> and 10 s<sup>-1</sup>).



Figure 30: Stress-strain raw curves at different temperatures and strain rates.

<span id="page-50-0"></span>As the temperature decreases, the effective stress level increases. At a constant temperature, as the strain rate increases the effective stress level rises, achieving a peak stress. This initial phase of the curve is mainly governed by work hardening (WH) and dynamic recovery phenomena (DRV). Afterward, the stress-strain evolutions stabilize or decrease due to the material softening induced by dynamic recrystallization (DRX). Finally, for specific strain rates and temperature (e.g., curves at 950 °C for 1 and 10 s<sup>-1</sup>) [\(Figure 30\)](#page-50-0), the material returns to a positive stress-strain evolution, resulting from the increased frictional forces. (Serebriakov, 2020) (Chalon, 2016), (Puchi-Cabrera *et al.* 2014, 2015).

Processing of the stress-strain curves is necessary to consider the contact conditions between the sample and the dies, as well as the inherent fluctuations in temperature and strain rate during the hot compression test.

## **2.2.3. Correction of stress-strain curves**

The correction procedure involves two distinct stages. Initially, corrections were applied to consider the friction effects that occur when steel undergoes deformation under axisymmetric compression. This correction aimed to reduce the impact of friction on the obtained results, ensuring a more accurate representation of the material behavior. A second correction is applied to consider the effects of temperature and strain rate during the compression test. This step aims to refine the results by taking into account the influence of these variables on the material response, enhancing the precision and reliability of the obtained data.

#### • **Friction correction of stress-strain curves**

The methodology applied to perform the friction correction of the curves involves using the equation developed from the equilibrium of forces during the homogeneous compression of a flat disc (Christiansen *et al.*, 2016). Such a correction is commonly carried out by assuming a constant friction coefficient (μ) independent of deformation conditions, which is an unrealistic approach. Also, it is founded on the analysis of the flow stress curves determined with both lubricated and unlubricated samples of different initial diameter-to-height ratios, deformed under similar deformation conditions. In this section, a novel procedure proposed by Puchi-Cabrera *et al.* (2019) for the systematic correction of the flow stress curves, considering the changes in friction conditions during plastic deformation, has been used.

The equation (1) defines the average pressure on the tools (or uncorrected flow stress),  $\overline{P}$ , as a function of the friction-corrected flow stress  $(\sigma)$ , the friction coefficient  $(\mu)$ , and the instantaneous values of the height (h) and diameter (d) of the sample during the compression test:

$$
\overline{P} = 2\sigma \left[\frac{h}{\mu d}\right]^2 \left[ exp\left(\frac{\mu d}{h}\right) - \frac{\mu d}{h} - 1\right]
$$
 (1)

It is assumed that there is no barrel effect at the edges of the sample and that the height of the sample is sufficiently small for the axial compressive stress to remain constant. The ratio d/h can be expressed as equation (2):

$$
\frac{d}{h} = \frac{d_0}{h_0} \left(\frac{1}{exp(-\varepsilon)}\right)^{3/2}
$$
 (2)

With  $d_0$  and  $h_0$ , the initial values of diameter and height of the sample and  $\varepsilon$ , the effective strain applied to the material, by considering that the sample undergoes deformation under compression conditions.

#### **Chapter 2. Rheological behavior determination of 38MnSiV5 steel grade at high temperature**

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To determine the correction due to friction, there are two possible approaches: conducting compression tests with samples of various diameter/length ratios to determine the friction coefficients or adopting friction coefficients from a similar material. A comparable approach to that employed by Puchi-Cabrera *et al.* (2021) is used in this work from a similar material, 20MnCr5 steel. These data are extracted from a previous study conducted at LAMIH by Puchi-Cabrera *et al.* (2019), providing friction values for various temperatures ranging from 850 to 1200  $\degree$ C, which correspond to the austenitic range of this steel [\(Table 8\)](#page-52-0). This choice is justified by the fact that, in our case, the alloying elements will also be diluted in the austenitic iron matrix. Moreover, tests on 20MnCr5 are conducted on the same compression machine used for the 38MnSiV5 compression test, which means employing the same WC dies, tantalum foils, and nickel-based grease.



#### <span id="page-52-0"></span>Table 8: Friction coefficient values  $(\mu)$  as a function of deformation temperature and strain for 20MnCr5 steel grade (Puchi-Cabrera *et al.*, 2019).

An interpolation-extrapolation calculation is performed in Matlab using the scatteredInterpolant function to obtain the friction coefficient values from the data reported in the previous study (Table 9)



#### Table 9: Friction coefficient  $(\mu)$  values obtained after interpolation and extrapolation computations using MATLAB.

#### **Chapter 2. Rheological behavior determination of 38MnSiV5 steel grade at high temperature** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Using the friction coefficient values (Table 9), friction-corrected flow stress  $\sigma$  as a function of strain can be determined for each test condition from Equations 1 and 2 since the evolutions of the average pressure  $(\bar{P})$  and the ratio of  $d/h$  are known. [Figure 31](#page-54-0) shows an example of the results obtained after friction correction. The showed test is the first test carried out at a temperature of 950 °C and at 0.01 s<sup>-1</sup>. The effective stresses decrease after correction for friction, with a maximum reduction of 6%.



<span id="page-54-0"></span>Figure 31: Stress-strain curves for the condition 950  $^{\circ}$ C-0.01 s<sup>-1</sup> before and after friction correction.

### • **Temperature and strain rate correction**

The temperature and strain rate correction method is based on the model proposed by (Sah *et al.*, 1974). It involves the identification of the effective saturation stress due to work-hardening (WH) and dynamic recovery (DRV) :  $\sigma_s$  [\(Figure 32\)](#page-54-1). The approach used is based on previous works conducted at LAMIH by (Chalon, 2016) (Puchi-Cabrera *et al.*, 2014, 2015).



<span id="page-54-1"></span>Figure 32: Characteristic stress-strain curves of a material subjected to work hardening (WH), dynamic recrystallization (DRV), and dynamic recovery (DRX) (Chalon, 2016).

When the material exhibits only work-hardening and dynamic recovery the data (stressstrain) can be fitted to the equation of the form (Sah *et al.*):

$$
\sigma = \sigma_y + (\sigma_s - \sigma_y) \left[ 1 - exp\left( -\frac{\varepsilon}{\varepsilon_r} \right) \right]^{\frac{1}{2}}
$$
 (3)

with  $\sigma_y$ , the yield stress.  $\varepsilon_r$ , the relaxation strain, depends on the initial work-hardening rate θ<sup>0</sup> through the relationship (Puchi-Cabrera *et al.*, 2014)

$$
\varepsilon_r = \frac{(\sigma_s - \sigma_y)}{2\theta_0} \tag{4}
$$

The parameters  $\sigma_y$ ,  $\sigma_s$  and  $\theta_0$  are determined through least square nonlinear regression, considering that they are a positive value and  $\sigma_y < \sigma_s$  using data from curves corrected for friction in the range of strain from 0 to the critical strain,  $\varepsilon_c$  for the onset of DRX given by the following expression:

$$
\varepsilon_c = -\varepsilon_r \cdot \ln\left[1 - \left(\frac{\sigma_{ss} - \sigma_y}{\sigma_s - \sigma_y}\right)^2\right]
$$
 (5)

Once the saturation stress  $\sigma_s$  is determined for each condition studied, it remains to establish the relationship between  $\sigma_s$  and the experimental conditions: temperature T and strain rate  $\dot{\varepsilon}$ .

 $\sigma_s$  is expressed as a function of temperature and strain rate according to (Sellars & McTegart, 1966), given by equation (6):

$$
\sigma_s(T,\dot{\varepsilon}) = \delta_s \sinh^{-1} \left[ \left( \frac{Z}{B_s} \right)^{1/m_s} \right] \tag{6}
$$

The parameters  $\delta_s$ ,  $B_s$  and m<sub>s</sub>, are specific to the material.

The model includes the temperature  $T$  and strain rate  $\dot{\varepsilon}$  dependence of saturation stress  $\sigma_s$  through the Zener-Hollomon parameter Z (equation 7).

$$
Z = \dot{\varepsilon}.\exp\left(\frac{Q}{R.T}\right) \tag{7}
$$

with Q, the value of the apparent activation energy for hot deformation, R is the gas constant. The parameters  $\delta_s$ ,  $B_s$ ,  $m_s$  and Q are determined through least square nonlinear regression using the previously computed saturation stresses (equations 6 and 7).

After identification of these parameters, the equations 8 and 9 are obtained:

$$
Z = \varepsilon \exp\left(\frac{369210 J.mol^{-1}}{R.T}\right) \tag{8}
$$

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$$
\sigma_s = 68.2 \sinh^{-1} \left[ \left( \frac{Z}{2.10^{12}} \right)^{1/4} \right] \tag{9}
$$

[Figure 33](#page-56-0) presents the evolution of the saturation stress  $\sigma_s$  as a function of the Zener-Hollomon parameter (Z). The slight deviation shown in [Figure 33](#page-56-0) between the experimental data (points in blue) and the fitted equation (9) (red curve) signifies a robust correlation between effective saturation stress  $\sigma_s$  and the Zener-Hollomon parameter, Z.



Figure 33: Evolution of the saturation stress as a function of the Zener-Hollomon parameter.

<span id="page-56-0"></span>From equations (8) and (9), the strain rate and temperature corrections can then be estimated using:

$$
\frac{d\sigma}{d\dot{\epsilon}} = \frac{d\sigma}{d\sigma_s} \cdot \frac{d\sigma_s}{d\dot{\epsilon}} = \frac{d\sigma_s}{d\dot{\epsilon}} \cdot \left[1 - \exp\left(-\frac{\epsilon}{\epsilon_r}\right)\right]^{1/2} \tag{10}
$$

$$
\sigma_{\text{corrected}} = \sigma_{\text{uncorrected}} + \frac{d\sigma_s}{d\dot{\boldsymbol{\varepsilon}}} \cdot (\ \bar{\boldsymbol{\varepsilon}} - \dot{\boldsymbol{\varepsilon}})
$$
 (11)

$$
\frac{d\sigma}{dT} = \frac{d\sigma}{d\sigma_s} \cdot \frac{d\sigma_s}{dT} = \frac{d\sigma_s}{dT} \cdot \left[1 - exp\left(-\frac{\varepsilon}{\varepsilon_r}\right)\right]^{1/2}
$$
(12)

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$$
\sigma_{\text{corrected}} = \sigma_{\text{uncorrected}} - \frac{d\sigma_s}{dT} \cdot (T - \overline{T}) \tag{13}
$$

These equations are used to correct our stress-strain curves already corrected for friction. The positive sign in equation (11) signifies that an elevation in strain rate corresponds to an increase in flow stress. Conversely, the negative term in equation (13) shows that an increase in deformation temperature corresponds to a decrease in flow stress.

[Figure 34](#page-57-0) presents stress-strain curves after all corrections. Tables of data after correction are presented in Appendix 1.



<span id="page-57-0"></span>Figure 34 : Stress-strain curves of the 38MnSiV5 for the temperatures (950 °C, 1050 °C, 1150 °C and 1250 °C) and strain rates (0.01 s<sup>-1</sup>, 0.1 s<sup>-1</sup>, 1 s<sup>-1</sup> and 10 s<sup>-1</sup>), raw curves and curves after all corrections.

# **2.3. Conclusion**

Compression tests are carried out on the Gleeble 3500 to determine the rheological behavior of 38MnSiV5 over temperature ranges from 950 °C to 1250°C and strain rates from 0.01 s<sup>-1</sup> to 10 s<sup>-1</sup>.

In order to reproduce the austenitic grain size distribution found at the industrial scale at the laboratory scale, a series of thermal cycles were carried out using the thermal etching technique to reveal the prior austenitic grain boundaries and determine their distribution.

The experimental results confirm the thermo-viscoelastic behavior of the material and also show the activation of the DRV and DRX phenomena under the temperature and strain rate conditions investigated.

After testing, the flow stress curves versus  $(\epsilon, \dot{\epsilon}, T)$  are corrected to consider the friction that occurs during the test between the sample and the dies, but also to consider the heating of the sample during the deformation and the variations in strain rates. The analytical procedure for making these corrections was detailed.

The curves obtained after all the corrections will be used in the next chapters, in their tabulated form (Appendix 1) for the finite element numerical simulations of the crankshaft hot forming process to consider the rheological behavior of the 38MnSiV5 steel correctly.

# **Chapter 3. Influence of hot forging cycles on the topography and mechanical properties of the tool**

During the three steps of crankshaft forging, the heated billet moves between the two preheated dies: upper and lower dies. The study of the influence of forging cycles on the topography and mechanical properties is only conducted on the rough forging die. This choice is justified by its wide range of local geometries and the intensity of defects after 20,000 cycles. This die is in direct contact with the billet before and during forging (the time for positioning the billet on the die before forging is 4 seconds).

The tool geometry (weight of 250 kg and dimensions of  $(L 700 cm \times l 270 mm \times$  $H$  170  $mm$ )) is problematic for its analysis in its whole. So, an approach considering variations in tool geometry was developed. The tool was divided into distinct zones, classified according to geometry, comprising concave, convex, flat and bottom of the engraving zones. Local observations were then carried out on the critical tool zones to monitor the tool performance at different stages: new (0 cycle), intermediate (11,800 cycles) and end of life (20,000 cycles) according to the production expertise. partner. Three aspects were considered, including evolution of topography, measurements of mechanical properties (Young's modulus and hardness) and evolution of microstructure. A total of 15 zones of the crankshaft surface were analyzed. In this chapter only a distinct main zone will be presented: the concave, convex, flat and the bottom of engraving. The unmentioned zones, with identical geometry, exhibited identical behavior.

Concerning topographical measurements, they were conducted prior to the cutting of the dies at different life cycles (0; 11,800 and 20,000 cycles). This approach is chosen to avoid damaging the surface topography post-cutting, considering the complex geometry of specific zones and the difficulty associated with accessing deep areas. Due to the substantial weight and dimensions of the dies, a replication method has been employed to capture surface topography.

Subsequently, a study was conducted to examine the influence of forging cycles on mechanical properties (Young's modulus and hardness) using nano-indentation tests. The aim is to track the evolution of these two parameters as a function of the lower die lifecycle.

# **3.1. Identification of the analysed zones**

Four types of zones are geometrically identified: concave, convex, flat and bottom of the engraving [\(Figure 35\)](#page-62-0). In the concave zone, at 11,800 cycles, a network of cracks (referred to as "heat checking") begins to settle due to thermal fatigue [\(Table 10\)](#page-63-0). After 20,000 cycles, this network of cracks has significantly propagated, resulting in the formation of deeper crack [\(Table 10\)](#page-63-0). In the convex zone, for both 11,800 and 20,000 cycles, wear and cracks in various directions are observed, with a more pronounced occurrence towards the end of the tool service life [\(Table 10\)](#page-63-0). Concerning the flat zone, the heat checking induced by thermal fatigue becomes apparent at 11800 cycles, intensifying at 20,000 cycles. Regarding the engraving bottom, visually, the area is significantly less affected across the three studied life cycles (Table 10).

<span id="page-62-0"></span>

Figure 35: The selected zones: concave  $(1)$ , convex  $(2)$ , flat  $(3)$  and bottom of the engraving (4).

#### <span id="page-63-0"></span>Table 10: Pictures of the concave, convex, flat, and engraving bottom zones during the lifespan cycles.



**Chapter 3. Influence of hot forging cycles on the topography and mechanical properties of the tool \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

[Table 11](#page-64-0) shows the maximum temperatures and contact pressures encountered in these 4 zones. These results are based on Stellantis' numerical model of the hot-forged crankshaft, which will be detailed in Chapter 4.

<b>Zones</b>	<b>T</b> max $(^{\circ}C)$	P max (MPa)
Concave	650	<b>100</b>
<b>Convex: Bossing</b>	936	220
<b>Flat</b>	719	130
Bottom of the engraving	285	30

<span id="page-64-0"></span>Table 11 : Maximum temperature  $T_{max}$  and maximum contact pressure  $P_{max}$ from numerical simulation of the hot forging of the crankshaft.

According to numerical simulations [\(Table 11\)](#page-64-0), the convex zone experiences the highest levels of temperature and contact pressure. This elucidates why this zone undergoes the highest degree of damage detectable by the naked eye. The Stellantis forging production team mentions that this zone is particularly problematic due to its elevated temperatures during forging. [Figure 36](#page-64-1) presents the results of temperature measurements using a thermal camera after lubrication. Despite the cooling effect, the temperature still reaches 300 °C. The concave and flat zone are also exposed to high temperatures (650 °C for the concave zone and 710 °C for the flat zone), coupled with contact pressure, this may explain the formation of surface cracks. The contact conditions in the engraving bottom are less severe compared to the other zones, which is why this zone does not show any visual defects.

<span id="page-64-1"></span>

Figure 36: Temperature measurement results of the convex zone after forging and after lubrication: (a) target area, (b) thermal picture

# **3.2. Influence of hot forging cycles on the topography**

The topography of forging dies is a crucial factor in hot forging. Over the forming cycles, this surface condition will change; each modification, however small, can have a significant impact. By closely monitoring these modifications, it becomes possible to identify early signals of degradation, wear, or other forms of damage.

This section is dedicated to analyzing the evolution of the topography of hot forging dies throughout their lifecycle. Through this investigation, a database for developing predictive models will be established. It will facilitate proactive interventions to reduce the risks of damage and optimize maintenance strategies for hot forging dies.

# **3.2.1. Methodology for topography analysis**

Topographical measurements are carried out using the interferometric tools prior to the cutting of the dies. A replication method has been employed to capture surface topography, enabling the acquisition of samples with a flat base of 1 cm² for each measurement.

After a selection of the measurement zone [\(Figure 37a](#page-65-0)) the procedure begins with computer-aided design (CAD) modeling of this area. Identical zones were considered. A CAD model of the negative of the previously modeled zone is then created [\(Figure 37b](#page-65-0)). All that remains from this negative is a mold which geometry is a 1 cm square base. The thickness of this mold is matched to the area to be measured (about few millimeters). This mold has a cavity [\(Figure 37c](#page-65-0)) which will allow the injection of a fine resin. After cooling, the resin will have the topography of the measured zone. Additive manufacturing is used to 3D print the mold, ensuring faithful reproduction [\(Figure 37c](#page-65-0)). This methodology results in the successful production of topographical replicas (1 cm²) of the targeted zone [\(Figure 37d](#page-65-0)), preserving the topography of the surface for future analysis **(**Ersen & Güngör, 2013; Chang *et al.*, 2019**).**



Figure 37: Convex zone: a) Measurement zone on the lower die, b) CAD model, c) 3D printed mold, d) replica of the area.

<span id="page-65-0"></span>After retrieving the replicas, topographic measurements are conducted using the interferometer ZYGO NewView. The measurement objective of an interferometer is to precisely quantify the topographical characteristics of a surface. Grounded in the principle of interference between two optical wavefronts, one reflected from the object surface and the other from a reference mirror, this instrument aims to capture minute variations in surface geometry, down to the nanoscale. The obtained measurements allow for a detailed assessment of roughness, shape, and other properties (Petzing, 2010). The measurement device and measurement parameters are given in [\(Figure 38\)](#page-66-0).

There are	Mesurement device	Interferometer ZYGO <b>NewView</b>
	Measured surface (cm x cm)	1x1
	Spatial resolution $XY(\mu m)$	0,71
	Used objective	x20
	Nature of vision	local

Figure 38: Interferometer and measurement parameters.

# <span id="page-66-0"></span>**3. 2.2. Results and Discussion**

Measurement results can be divided into two categories: qualitative results, which include 3D images illustrating the evolution of the surface state, and quantitative data, which involve roughness parameters extracted from the 3D images using topographical analysis software (Mountains®, Digital Surf). Considering roughness parameters, representative of the phenomena observed (cracks, material deposits, surface irregularities) are selected. These parameters include arithmetical mean height (Sa), maximum peak height (Sp), and valley depth (Sv). [Table 12](#page-67-0) summarizes the used parameters, their definitions, and their links with the observed phenomena.

<span id="page-67-0"></span>

#### Table 12: Roughness parameters and their relationships with damage phenomena (Jaber. A, 2022).

The results of the evolution of roughness parameters in relation to the tooling life cycles for all zones (concave, convex, flat, and engraving bottom) are presented in the [Figure 39](#page-68-0) and [Figure](#page-68-1)  [40.](#page-68-1) 15 measurements were taken for each area. The roughness of the other zones not shown (9 zones not presented (annex 2)), also measured (15 measurements for each zone), exhibits the same behavior.



<span id="page-68-0"></span>Figure 39: Arithmetical mean height (Sa) evolution as a function of the die's life cycle.



<span id="page-68-1"></span>

Regardless of the nature of the defects or the studied geometry, surface roughness demonstrates an increasing trend throughout the tool life cycle. The increase in these roughness parameters over the tool lifecycle generally indicates a deterioration of the tool surface due to continuous wear and damage processes during hot forging.

During hot forging, the concave, convex, and flat zones are subjected to significant thermomechanical stresses and repeated loads [\(Table 11\)](#page-64-0). When interacting with the billet, micro-defects may form due to the intense conditions, resulting in surface irregularities. Furthermore, the constant contact and friction between the tool and the billet contribute to wear, further altering the surface topography. The cumulative effect of these phenomena over multiple forging cycles leads to an increase in average roughness (Sa), causing local variations in surface height, such as material deposits, oxides, and scaling. These variations manifest as more pronounced peaks in the roughness

profile, which can account for the rise in Sp. The formation of cracks contributes to the increase in Sv.

The topography of the concave, convex, and flat zones become increasingly rough with the progression of the lifecycle [\(Table 13\)](#page-70-0). This increase in roughness is due to the severe working conditions [\(Table 11\)](#page-64-0) and to the geometry of the zones (except for  $N = 0$ ). Upon examining the convex zone, its surface appears to be rougher compared to the other zones. This is linked to higher contact conditions, such as temperature, contact pressure, and contact time with the billet  $(T \text{ tool} =$ 936 °C, P = 220MPa, t<sub>contact</sub> -4.2s). Additionally, the geometry of the convex zone makes it more prone to stress concentration. The network of cracks at 20,000 cycles is more pronounced in the flat zone compared to the concave zone, and this phenomenon appears to be linked to higher temperature and contact time in the flat zone compared to the concave zone. The bottom of the engraving zone exhibits the lowest level of roughness, no significant topography changes are observed. This is likely due to the absence of significant wear, as it is subjected to less severe working conditions (T tool = 285 °C, P = 30 MPa [\(Table 11\)](#page-64-0)).

<span id="page-70-0"></span>



## **3.2.3. Conclusion**

The gradual increase of the roughness parameters over cycles suggests a gradual degradation of the tool surface, due to complex phenomena such as wear, and fatigue induced by thermal and mechanical stresses during the forging process. The monitoring of these changes throughout the process will allow for the anticipation of wear by specifically identifying areas prone to increased deterioration. Targeted intervention where necessary will significantly reducing maintenance costs by avoiding premature tool replacements.

# **3.3. Influence of hot forming cycles on the mechanical properties of hot forging tool**

In the hot forging of crankshafts, the temperature of the heated billet is approximately 1290 °C. Upon contact, the temperature of the tool (preheated at  $140\degree C$ ) increases. This temperature increases, accompanied by applied load, initiates a series of significant changes in the material behavior. These changes involve a decrease in hardness and the initiation of damage mechanisms such as wear and fatigue (Emamverdian *et al*., 2021).

In this section, an examination of the influence of hot forging cycles on the hardness and Young's modulus of matrices at different stages of the lifecycle (new, 0 cycles; mid -life, 11,800 cycles; end of life, 20,000 cycles) is being conducted. This approach is justified by the major influence of these mechanical properties on the structural integrity and performance of forging tools. This analysis relies on data derived from nano-indentation tests, as well as results obtained from scanning electron microscopy (SEM) and energy-dispersive X-ray spectroscopy (EDS). We are focusing on three different zones: concave (1), convex (2), and flat (3). For technical reasons we have not been able to examine the bottom of the engraving zone (This restricted zone does not allow the capture instrument to be positioned correctly).

## **3.3.1. Experimental Procedures**

Nanoindentation tests are conducted using the Hysitron TI 980 TriboIndenter nanoindenter [\(Figure](#page-72-0)  [41\)](#page-72-0). The platform is equipped with a nano-indentation module, an optical microscope, and a scanning probe microscope (APM) module. The indenter employed is a Berkovich tip. Nano indentation is performed on the 3 zones (concave, convex and flat) of 3 crankshaft forging dies at stages: 0 cycles, 11,800 cycles, and 20,000 cycles. The initial matrix at 0 cycle is nitrided
X38CrMoV5 with a nitriding thickness of 160±10 μm. The samples are cut cross-sectionally (1  $cm<sup>3</sup>$ ) then prepared using conventional metallography techniques, including embedding in epoxy resin and mechanical polishing with increasingly fine abrasive papers up to P1200. Mirror polishing is conducted until achieving a surface finish of 1  $\mu$ m, using a Nap polishing cloth.

Indentations are performed under load control with an applied force of 10 mN for 0.3 seconds loading and unloading on a 270 μm length, extending from the surface to the core of the samples. This length comprised successive grids, each measuring 20  $\mu$ m × 20  $\mu$ m, with 100 indentations conducted on each grid. The image of the nano-indentation imprint on a zone from a new tool is presented in [Figure 42.](#page-72-0)



Figure 41: Hysitron TI 980 TriboIndenter nanoindenter.

<span id="page-72-0"></span>

Figure 42: Nano-indentation test sample (0 cycle convex zone).

# **3.3.2. Results and Discussion**

#### • **Nano-indentations tests results**

The results of the evolution of hardness and Young's modulus in different zones (concave, convex, and flat) as function as the depth (Y), from the surface to the core of the samples, in relation to the matrix lifecycle are presented in this section.

The hardness evolution [\(Figure 43](#page-73-0)**)** of the convex sample shows significant differences between the three life stages (0, 11800, 20000). When the die is new, the nitrided surface mean hardness is approximately 9 GPa. The hardness shows a slight downwards trend as the indenter goes deeper until it reaches 158 μm depth. The trend then drastically decreases until reaching a minimum hardness of 6 GPa at a depth of 181 μm, which is similar to the tool hardness at 11800 cycles. This means that at mid-life, the hardness of the tool has undergone a reduction of 40%. Furthermore, the samples corresponding to 11,800 and 20,000 cycles both exhibits nearly constant hardness over the indentation range. However, it is evident that hardness decreases as the tool undergoes more cycles, as shown in the [\(Figure 43\)](#page-73-0). The hardness of the 20000-cycle sample is 50% smaller compared to the hardness value at 11800 cycles. Comparing between both of the new and end-of-life tool, there is a drastic reduction of 60% in hardness throughout the 20000 cycles.



<span id="page-73-0"></span>Figure 43: Evolution of Hardness versus position in the tool depth and tool life (convex zone).

Further nano-indentation tests [\(Figure 44\)](#page-74-0) are carried out on the cross-section to determine the change in hardness along a greater depth (Y) of the sample extracted from the new convex zone. The tests demonstrate the decrease of the hardness measured near the surface.

The nano-indentation tests also indicated that the core hardness is approximately 5 GPa. To confirm this results, Vickers hardness tests were conducted at depths of 200 and 300 µm. The

results of these tests confirm a core hardness of about 5 GPa. The hardness of the sample extracted from the convex zone at 20000 cycles is lower than the initial hardness of the core of the sample extracted from the new tool.



<span id="page-74-0"></span>Figure 44: Evolution of Hardness versus grid number in the tool depth (convex zone 0 cycle).

The results for the concave and flat zones are presented in [Figure 45.](#page-75-0) They differ from those of the convex zone.

While both the concave and flat zones exhibit a decrease in hardness between the new and mid-life samples (11,800 cycles) with a 40% decline for the concave zone (hardness of 6 GPa at the mid of life) and a decline of 50% for the flat zone (5 GPa at the mid of life), the hardness remains stable between the mid-life and end-of-life cases for both zones.



<span id="page-75-0"></span>Figure 45: Evolution of Hardness versus position in the tool depth and tool life: (a) concave zone, (b) flat zones.

The results of the elastic modulus, determined from the reduced modulus (S. Kossman, 2017) are presented in [Figure 46](#page-76-0) and [Figure 47.](#page-76-1)

 $E_R$  is related to the Young's moduli and Poisson's ratios of the indenter (Ei, vi) and the material  $(E, v)$  by the relation:

$$
\frac{1}{E_R} = \frac{1 - v_i^2}{E_i} + \frac{1 - v^2}{E}
$$

At 0 cycle, the three zones (convex, concave and flat) exhibit the same value of Young's modulus in the nitride layer (230 MPa). Regarding the convex zone [\(Figure 46\)](#page-76-0), there is a notable decrease of approximately 30 GPa (representing a 15% difference) in the elastic modulus between the new tool (230 GPa) and the mid-life and end-of-life tools (200 GPa). However, there is no discernible difference in behavior between the 11800-cycle sample and the 20000-cycle sample.



<span id="page-76-0"></span>Figure 46: Evolution of Young's modulus versus position in the tool depth and tool life (convex zone).

The results for the concave zone show no significant difference between the three different cases (0, 11800, 20000 cycles). The tests for the flat zone yield almost identical results, with no significant change in the elastic modulus (230 GPa) between the three tested samples at 3 different life cycles [\(Figure 47\)](#page-76-1).



<span id="page-76-1"></span>Figure 47: Evolution of Young modulus versus position in the tool depth and tool life: (a) concave zone, (b) flat zones.

### • **Microstructural analysis**

In order to understand the reasons why the mechanical properties (Young's modulus and hardness) evolve in this way throughout the life cycle in different study zones, a microstructural analysis using optical microscopy (OM) and Scanning Electron Microscopy (SEM) is conducted. The samples are prepared using conventional metallography techniques, including embedding and mirror polishing. Then, a chemical etching is performed with a 3% nital solution for precisely 15 seconds.

### • **Convex zone:**

The optical microscopy and SEM images of the microstructure subjected to nital etching of the sample from the convex zone in the new matrix are presented in [Figure 48.](#page-77-0)The microstructure appears martensitic in the nitrided zone and in the core. The presence of pores [\(Figure 49\)](#page-78-0) provides a possible explanation for the low values at the start of the indentation test. These observations confirm that the combination zone is a brittle area susceptible to cracking during forging cycles.



<span id="page-77-0"></span>Figure 48: SEM images of the microstructure of a new nitrided tool (3 % nital etching).



Figure 49: SEM images of the microstructure of a new nitrided tool (no etching).

<span id="page-78-0"></span>[Figure 50](#page-78-1) shows SEM images of convex zone samples at 11800 cycles and 20000 cycles. Several notable macrocracks of different lengths and directions are visible in both samples, propagating deeper. Macro-cracks initiate from micro-cracks initiated on the oxide layer and propagate into the material surface, thus constituting the network of cracks. These cracks result from the thermomechanical stresses concentration, and reduced material strength. Consequently, pre-existing micro-cracks propagate gradually, leading to a decrease in hardness and substantial deterioration of other local mechanical properties.



<span id="page-78-1"></span>Figure 50: SEM images of the microstructure of convex zone at: (a) 11800 cycles, (b) 20000 cycles.

[Figure 51](#page-80-0) presents the evolution of the microstructure of samples at 11800 and 20000 cycles as a function of depth. Both samples show the change of the microstructure from the initial martensitic microstructure to a microstructure of globular cementite (or metal carbides) as a consequence of exposure to a temperature gradient along the forging cycle. During their lifecycle, the dies are exposed to heating and cooling cycles as they come into contact with the billet at a temperature of 1200°C, as well as frictional heating. With a high production rate of 250 pieces per hour. The die subjected to 11,800 cycles experiences temperature variations for 47.2 hours, while the one subjected to 20,000 cycles experiences them for 80 hours. At a depth of 2 mm, martensite begins to reappear, and the laths progressively refine further with increasing depth.



<span id="page-80-0"></span>Figure 51: SEM images of samples from the convex zone at 11800 and 20000 cycles.

Microstructure changes of X38CrMoV5 steel grade related to exposure to elevated temperatures for an extended time have been reported in the literature (Hubicki *et al.*, 2021; Michaud, 2006; Salem, 2009). [Figure 52](#page-81-0) shows the effect of the temperature and exposure time on the microstructure and on the hardness of X38CrMoV5 steel. It shows that exposure to elevated temperatures for a long enough period would result in the growth of carbide precipitates.

The decrease in hardness can be explained by cyclic thermal softening associated with microstructural changes that can influence the material behavior under mechanical stresses. High pressure might also affect such decrease. When the secondary tempering temperature of the steel is exceeded, microstructural changes related to diffusion phenomena occur, resulting in the coalescence of carbides and a transformation of martensite into globular cementite. Globular cementite is generally less hard than martensite and may be more prone to deformation and wear (Michaud, 2007; Salem, 2009).



Figure 52: TRC Diagram of X38CrMoV5 Steel (Salem, 2009).

<span id="page-81-0"></span>Moreover, microstructural analysis reveals the disappearance of the nitrided layer in the convex zone at 11800 and 20000 cycles. Since nitriding imparts nitrogen on the surface of the material, its presence can serve as an indicator of the existence of the nitrided layer. The detection of nitrogen is made possible through Energy Dispersive Spectroscopy (EDS) analysis.

Given that the nitrogen energy peak appears around 0.4 keV, the EDS analysis results are presented up to 5 keV [\(Figure 53\)](#page-82-0). The results indicate the presence of nitrogen in the samples at 0 and 11,800 cycles. However, the nitrogen peak is absent in the sample at 20,000 cycles. The quantification of nitrogen is not dependable with this analysis, which is why it is not presented; it merely allows for comparison between the samples. Analyzing the nitrogen contents reveals that the quantity of nitrogen decreases as a function of the lifecycle until reaching zero at 20,000 cycles.

It is not known whether this reduction and/or disappearance of nitrogen is due to diffusion (often approximated to values between  $10^{-11}$  m/s and  $10^{-9}$  m/s at temperatures around 1000 °C.), wear (loss of the nitrided layer during forging cycles), or both phenomena. However, this explains the decrease in hardness and, notably, the drop in Young's modulus. The disappearance of the nitrided layer significantly contributes to this decrease, leading to a decrease in Young's modulus until reaching intrinsic material values (204 GPa).



<span id="page-82-0"></span>Figure  $53$ : The EDS spectra extend up to 5 keV for the convex zone at different lif ecycle stages (0; 11,800; 20,000 cycles).

#### • **Concave zone:**

[Figure 54](#page-83-0) presents the microstructure of samples at 11800 and 20000 cycles for the concave zones. For both samples, the microstructure remains martensitic. The EDS analysis shows the presence of nitrogen in these samples [\(Figure 55\)](#page-83-1). The presence of decarburization zones is observed at the interface between the oxide and the martensite laths. Decarburization is defined by the depletion of carbon content on the material surface (Jegou, 2009). Due to exposure to elevated temperatures in the presence of oxygen, oxide can form on the surface of the tool. This oxide may vary in thickness and homogeneity, and it can act as a protective barrier. After cycling, this layer is often fragmented by gas emissions, allowing oxygen from the air to penetrate more easily into the metal structure. The oxygen present in the air reacts with the carbon in the steel, resulting in the removal of carbon from the steel surface. Additionally, the presence of oxides can modify the surface properties of the tool, such as roughness. (P. Arnaud *et al.*, 2017) (P.Hairy, 2023)



<span id="page-83-0"></span>Figure 54: SEM images of samples from the concave zone at 11800 and 20000 cycles.



<span id="page-83-1"></span>Figure 55: The EDS spectra extend up to 5 keV for the concave zone at different lifecycle stages (0; 11,800; 20,000 cycles).

### • **Flat zone:**

The microstructures of the samples derived from the lower dies at 11800 and 20000 cycles in flat zones are presented [Figure 56.](#page-84-0) The presence of decarburization zones is evident in both samples. However, in some regions of the interface, decarburization is not observed. Instead, a heterogeneous transformation phase emerges that is not easily identifiable. The presence of globular carbides in this phase may be explained by the initial microstructural change from the martensitic structure to globular cementite. Decarburization and microstructural changes lead to changes in mechanical properties, and the presence of these phenomena can explain the decrease in hardness [\(Figure 45](#page-75-0) b).The EDS analysis shows the presence of nitrogen in these samples [\(Figure](#page-85-0)  [57\)](#page-85-0)



<span id="page-84-0"></span>Figure 56: SEM images of samples from the flat zone at 11800 and 20000 cycles.



<span id="page-85-0"></span>Figure 57: The EDS spectra extend up to 5 keV for the flat zone at different lifecycle stages (0; 11,800; 20,000 cycles).

# **3.3. Conclusion**

The study on the influence of hot forging cycles on the mechanical properties of different geometric zones : concave, convex, and flat, derived from hot forging lower dies of crankshafts at various stages of life cycles (0, 11800, and 20000 cycles) reveals a correlation between the evolution of mechanical properties (hardness and Young's modulus measured by nano-indentation), the evolution of microstructure of the three zones over the life cycle, and the contact conditions to which the dies are subjected (temperature and contact pressure [\(Table 11\)](#page-64-0)).

The results of nano-indentation tests show a significant decrease in hardness with increasing life cycles for all three zones (a decrease of 60% between 0 and 20000 cycles for the concave zone and 40% for the concave and flat zones). Microstructural observations using SEM have explained this decrease. Initially, cracks are observed on the oxide layer and along depths exceeding 1 mm in all three zones (appendix 3). These cracks, observed during and at the end of the life cycles of the dies, are mainly caused by thermomechanical stresses and a decrease in material strength. The analysis also revealed the transition from martensite to less hard phase, globular cementite, in the convex zone, with a similar transition begins in the flat zone. This transition is attributed to cyclic thermal softening induced by exposure to high temperatures [\(Table 11\)](#page-64-0).

Microstructural analysis of the concave and flat zones confirms the presence of decarburization zones and a non-homogeneous oxide layer. Decarburization leads to a reduction in carbon content at the surface, contributing to a decrease in hardness and potentially affecting surface roughness. The formation of oxides acts as a protective barrier, but the heterogeneity of the layer can make it a zone for initiating deep cracks on the material surface.

Moreover, SEM/EDS analysis showed the disappearance of nitrogen in the convex zone at 20000 cycles and its trace presence at 11800 cycles, accompanied by a change in microstructure to less hard globular cementite. This appears to be accompanied by the disappearance of the nitriding layer due to wear or diffusion or both phenomena. This may explain the decrease in Young's modulus until reaching the intrinsic value of the material (204 GPa).

# **Chapter 4. Influence of hot forging cycles on the tribological behavior of the die**

Tribological behavior, encompassing friction conditions, wear, and lubrication phenomena, plays a crucial role in determining the performance of tool steels in hot forging processes. This chapter focuses on the study of the influence of forging cycles on the tribological behavior of hot-forging tools. The main objective is to understand the impact of forging cycles on the evolution of friction, wear of the die, the role of oxides and consequently geometrical modifications during the tool life cycle. To achieve this, an experimental and numerical approach is adopted, combining numerical modeling of the forming of the crankshaft and experimental tests on the WHUST tribometer reproducing contact conditions encountered in industrial forging processes. Finally, specific numerical studies are conducted on the thermal and mechanical influence of oxides and geometric modifications resulting from wear on contact conditions.

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# **4.1. Parameters affecting friction.**

In hot forging process, the interaction between the tool and billet, particularly friction, plays a crucial role in shaping material flow, determining surface roughness, and influencing the longevity of the tools involved (Nielsen et *al*., 2017). Friction in hot metal forging is influenced by a multitude of parameters, many of which are challenging to precisely estimate or calculate. (Klocke, 2013) [\(Figure 58\)](#page-88-0) illustrates various influencing factors that impact friction conditions within a dynamically changing contact surface on a microscopic scale. (Klocke, 2013).



<span id="page-88-0"></span>Figure 58 : Parameters affecting friction (Klocke, 2013).

# **4.2. Methodology of tribological tests**

A numerical and experimental approach has been defined to study the different geometric zones of the lower crankshaft forming die over the life cycles [\(Figure 59\)](#page-89-0). Firstly, the numerical approach involves finite element modelling of the crankshaft roughing stage, which is the most severe in tribological terms (Chapters 2 and 3). The numerical analysis will enable us to estimate contact pressure levels, sliding velocities and contact temperatures at key locations (the geometric zones selected in Chapter 3) in the forging die. Subsequently, these contact conditions are replicated, using the Warm and Hot Upsetting Sliding Test device (WHUST), for each geometric zone type and for the 3 service lives (new, mid-life and end-of-life). The final stages are the determination of indicators of friction IF, and the observation and analysis of the traces resulting from contact between the contactors and the specimen.

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<span id="page-89-0"></span>Figure 59: The methodology used to replicate the contact conditions on the WHUST test bench.

The WHUST platform is a test device that is used to replicate the contact conditions of industrial hot forming processes in order to determine and identify constitutive friction laws (Dubois *et al*., 2015). The WHUST is composed of a contactor representing the tool and a specimen with a cylindrical geometry machined from billet material. The contactor can be heated up to 200°C using a heating cartridge inserted into the core of the material; the specimen can be inductionheated to  $1300^{\circ}$ C (*Figure 60*). Contractors with standard geometries (for example: cylindrical geometry) or with unconventional geometries (geometries from industrial tools) can be integrated into the experimental device. In our case, the contactors have non-conventional geometries, as they are extracted from three different zones (concave, convex, and flat) at different life cycle stages (0; 11,800; and 20,000 cycles) of the lower dies.

In the WHUST test, the contactor comes into contact with the specimen surface and generates plastic deformation by sliding on the specimen surface with constant penetration  $(Figure 60)$ . Penetration is numerically determined to achieve the required contact pressure levels. Either deposit lubrication or spray lubrication using a nozzle on the contactor is possible. In our case, this will be spraying lubrication as in industrial practice. Sliding velocities can be set up to 500 mm/s and will be adjusted according to the studied test conditions. During the test, the normal force  $(F_n)$  and tangential force  $(F_t)$  are recorded. The friction coefficient evolution can be determined as a function of  $F_t$ ,  $F_n$  and the contact area. The analysis of material transfers and surface damage phenomena occurring during the test involves further examination of the sliding track and tool surfaces using optical or interferometric methods.

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As a first step, the contact parameters (contact pressure, sliding velocity, and contact temperature) are determined through finite element (FE) simulations of the industrial process and then replicated on the test bench. The desired contact pressure can be achieved by adjusting the tool penetration into the specimen.

 60 illustrates the WHUST bench (Dubois *et al.*, 2014) and its working principle. Prior to the test, a cylindrical specimen is fixed in the support. The contactor is also fixed perpendicular to the specimen, and its position is precisely adjusted to locate the area of plastic deformation.



<span id="page-90-0"></span>Figure 60: Photograph of the WHUST test bench and its operating principle.

# **4.3. Numerical Determination of the tribological conditions**

To determine the tribological test parameters (contact pressures, sliding velocities and contact temperatures), a thermomechanical numerical modelling of the crankshaft forging process [\(Figure](#page-91-0)  [61\)](#page-91-0) is carried out. This modelling was performed by engineers at Stellantis using FORGE Nxt software. The billet is a 316×85 mm cylinder. In this first study, and with reference to chapter 1,

#### **Chapter 4. Influence of hot forging cycles on the tribological behavior of the die**

the numerical simulation is carried out with new tool geometries and therefore does not take into account tool wear and the presence of oxides. The initial billet and tool temperatures were chosen to be homogeneous and set at 1290°C and 140°C respectively. The heat exchange between the billet and the tools is set at 20,000 W/m<sup>2</sup>/ $k^{-1}$  and the coefficient of friction is chosen to be constant and equal to 0.3. Forming takes place in 0.17s. Analysis of the simulation enabled to determine the changes in contact parameters: contact pressure, sliding velocity, contact distance, and billet temperature.

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<span id="page-91-0"></span>Figure 61: The numerical model used for the forging process simulation (Stellantis).

According to the evolutions of contact pressure P as a function of temperature T [\(Figure](#page-91-1)  [62a](#page-91-1)) and sliding velocity V [\(Figure 62b](#page-91-1)), two triplets (P, V, T) have been retained for each type of zone geometry (concave, convex and flat): one where the contact pressure is maximum; one where the sliding velocity is maximum. The maximum values are defined based on the calculations from the sensors of the point group over time (0.17s). As the temperature during the 0.17 s of forming remains quasi constant, this parameter has not been segregated. For each zone, either concave, convex or flat, the most critical contact parameters have been selected [\(Table 14\)](#page-92-0). According to the results of the numerical simulation, the convex zone is exposed to the maximum contact pressure and temperature, as well as lower velocities, compared to the concave and flat zones.



<span id="page-91-1"></span>Figure 62: Contact pressure evolutions for the concave, convex, and flat zones: a) as a function of temperature, b) as a function of sliding velocity.



Table 14: Local contact conditions for each geometric zone.

<span id="page-92-0"></span>**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

# **4.4. Oxides Analysis**

Before conducting the WHUST tests, it was important to compare and to analyse the oxides obtained from the billet to those obtained from the test specimens.

### • **Comparison of oxides from the billet and the WHUST specimen**

During the heating of the billet or the specimen, by induction in an open-air atmosphere, oxides appear which affect the contact conditions between the billet and the tool or between the specimen and the contactor. The aim of this part is to compare the oxides from the industrial billet to those present after heating the specimens used on the WHUST. To achieve this, cylindrical specimens measuring 30 mm in diameter and 48 mm in length were machined from industrial billets of 38MnSiV5 steel grade.

SEM observations were conducted on two kinds of samples: the first one derived from the billet heated at 1290°C for 320 seconds by induction at Stellantis and cooled in open air, and the second one obtained from a WHUST specimen heated by induction at 1290°C for 320 seconds on the WHUST test bench and then cooled in open air. The oxide layers have grown in a non-uniform manner on the surface of the samples in both cases. Moreover, cracks are observed in the oxide layers [\(Figure 64\)](#page-93-0). The average thickness of the oxide layer is determined for both samples 17  $\pm$  $4\mu$ m for those from the billet and  $15\pm9\mu$ m for those from the WHUST specimens. This small deviation can be explained by differences in geometry and the resulting thermal inertia.



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<span id="page-93-1"></span>Figure 63: X-ray diffraction (XRD) analysis – Comparison WHUST specimen and Industrial billet.



<span id="page-93-0"></span>Figure 64: SEM Images: a) Sample from the billet, b) Sample from the WHUST specimen.

X-ray diffraction (XRD) analysis was carried out on oxide coming from the industrial billet heated by induction on-site in Stellantis Mulhouse and cooled in open air, and on oxide coming from the specimen heated by induction on the WHUST test bench and also cooled in open air. The aim of this analysis is to determine and compare the oxide phases formed between the billet and the WHUST specimen. XRD Analysis [\(Figure 63\)](#page-93-1) shows that the billet and the WHUST specimen exhibit the same oxide phases: hematite  $(Fe<sub>2</sub>O<sub>3</sub>)$ , magnetite  $(Fe<sub>3</sub>O<sub>4</sub>)$ , and wustite  $(FeO)$  in what appears to be equivalent proportions.

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### • **Oxides from the lower die**

The EDS analysis on the samples extracted from the convex zone from the lower die at 11800 and 20000 cycles revealed the presence of non-uniform oxide layer in [Figure 65.](#page-94-0)

<span id="page-94-0"></span>

Figure 65: Energy dispersive spectroscopy (EDS) analysis on the oxides originating from the industrial billet and the WHUST specimen.

#### **Chapter 4. Influence of hot forging cycles on the tribological behavior of the die**

To characterize the composition of oxide layer, XRD tests were performed. Figure 66 shows that the contactors at 11,800 and 20,000 cycles exhibit the same oxide phases: magnetite  $(Fe<sub>3</sub>O<sub>4</sub>)$ , wustite (FeO) and silicon dioxide (SiO<sub>2</sub>). The presence of magnetite and wustite on the tool surface may originate from both the tool and the billet. However, it is important to note that the presence of  $SiO<sub>2</sub>$  comes from tool oxidation.

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An increased diffraction intensity is observed for the samples at 20,000 cycles compared to those at 11,800 cycles, particularly for diffraction angles greater than 2θ of 67 degrees. This increase in diffraction intensity may be attributed to a greater quantity or density of oxide phases present on the surface of the sample subjected to a higher number of forging cycles. It seems that there is more wüstite at the end of the life and more magnetite in the mid-life. As the interface temperatures are between 650 and 900°C (Table 11), Figure 21 would suggest that the interface temperature would be higher at the end of life. This could be explained by a more significant oxide layer which would retain more heat from the billet.



Figure  $66: X$ -ray diffraction  $(XRD)$  analysis on the convex zone at lifecycles 11,800 and 20,000 cycles.

The mean thickness of the oxides is measured for samples extracted from concave, convex and flat zone (Table 15: [The average thicknesses of the oxides for the concave, convex, and flat](#page-96-0)  [zones at 11,800 and 20,000 cycles.\)](#page-96-0). For all three zones, the average thickness of the oxide layer at 20,000 cycles is greater than that at 11,800 cycles. The increase is 40%, 75% and 90% respectively for the convex, flat and concave zones at 20,000 cycles. However, at 11800 cycles, it was 4 to 7 times lower in the flat and concave zones than in the convex zone.



<span id="page-96-0"></span>Table 15: The average thicknesses of the oxides for the concave, convex, and flat zones at 11,800 and 20,000 cycles.

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# **4.5. Tribological tests**



Figure 67: (a) tribometer set-up (b) heating induction system for the specimen.

<span id="page-96-1"></span>In order to experimentally reproduce the numerically determined conditions presented in Table 1 for each geometric zones, tribological tests are conducted using the WHUST tribometer [\(Figure 67](#page-96-1) a). In this procedure, the preheated contactor at 140°C penetrates the specimen, which is initially heated to 1290°C using the heating inductor [\(Figure 67](#page-96-1) b). Then, the lubricated contactor moves along the specimen surface while maintaining constant values for penetration and sliding velocity. Lubrication is achieved by spraying the lubricant through a nozzle just before testing. For the concave and convex zones, with the geometries, the contact between the specimen and contactor is close to a cylinder-cylinder contact. Contactors are directly extracted by electroerosion from different zones: concave, convex, and flat (Figure 68) of hot forging dies of crankshaft at different lifecycles (0; 11,800; and 20,000 cycles).

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The friction and surface contact analysis are conducted after the tests on the WHUST device for the specimens and the contactors at 0, 11800, and 20000 cycles for each geometry of contactor and each test condition (Table 14: Local [contact conditions for each geometric zone\)](#page-92-0). As a reminder, the test conditions correspond to the values of contact pressure, sliding velocity and temperature of the billet numerically determined during the 0.17s of forming when contact pressure and sliding velocity are maximum.



Figure 68: Example of the geometry of the contact surface : 1) concave, 2) convex,  $3)$  flat.

# **4.6. Results and discussion**

A specific case is first presented to highlight the adopted methodology, the nature of the collected data during and after the tests and their processing. The selected case concerns the convex contactor where the test conditions are contact pressure P<sub>max</sub> 350 MPa, relative sliding velocity 10 mm/s, and temperature of the specimen 1250 °C. The contact pressure of 350 MPa is obtained on the WHUST device with a penetration depth of 0.7 mm between the specimen and the contactor; this depth was numerically determined.

### **4.6.1. Friction analysis**

As for all conditions, a minimum of 3 tests on the WHUST device are carried out. For each test, the evolutions of normal  $(F_n)$  and tangential  $(F_t)$  forces are recorded throughout the displacement of the contactor along the specimen [\(Figure 69\)](#page-98-0). Determining the coefficient of friction should make it possible to assess the impact of wear on the tribological properties of the

materials in contact. In our case, the use of following friction indicator ( $I_F = \frac{F_t}{F_H}$ )  $\frac{F_t}{F_n}$ ) seems preferable to friction coefficients due to the inherent complexity of the contactors geometry and the accurate determination of contact surfaces. To avoid edge effects, the friction indicators are thus determined from the ratio  $F_t/F_n$  for the region of interest which excluded the specimen chamfer and the end of

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<span id="page-98-0"></span>Figure 69: Example of the evolution of normal  $(F_n)$  and tangential  $(F_t)$  forces as a function of displacement (WHUST with convex contactor at 11,800 cycles.)

the specimen where the contactor exits contact zone (Figure 69). Finally, due to the low variations of thisindicator along the zone of interest, an average of the friction indicator is calculated for each test, and the average of the indicator is computed for each lifecycle [\(Table 16\)](#page-99-0).



Table 16: Evolution of the friction indicator  $I_F$  as a function of lifecycle for the convex zone at the condition (350 MPa-10 mm/s-1250  $^{\circ}$ C)

<span id="page-99-0"></span>**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

In this case, the friction indicator varies by a maximum of 6% for the three lifecycles (0; 11,800 and 20,000 cycles). The stable friction indicator observed over the life cycles may be attributed to several factors. Firstly, the formation of oxide layers on the contacting surfaces due to high temperatures can act as a lubricant (Serebriakov, 2022), contributing to the reduction of the friction indicator. Analysis of Figure 66 had shown a greater amount of wustite for tools at the end of their life, indicating favourable sliding conditions (Behrens, 2018). Additionally, variations in geometry within the contact zones may reduce the stress distribution, consequently impacting the frictional indicator.

### **4.6.2. Surface contact analysis**

[Figure 70](#page-100-0) shows the contact surfaces of the specimen and convex contactors after WHUST tests. The contact surface of the specimen can be divided into three regions, labelled I, II, and III. The first and third regions have been excluded from the surface analysis. The first region was excluded due to the presence of the specimen chamfer. The third region was also excluded due to partial contact between contactor and specimen at test exit and no longer has the required contact pressure.



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<span id="page-100-0"></span>Figure 70: Images of WHUST specimens af ter the WHUST test and the top view of convex contactors (condition  $350MPa - 10mm/s - 1250°C$ ) at different lifecycles (0;11,800 and 20,000 cycles)

Spallation of oxides is observed on the specimens in contact with the contactors at 0; 11,800 and 20,000 cycles. However, contrary to the specimen at 0 cycles, which obviously shows no signs of abrasion, the specimens in contact with the contactors at 11,800 and 20,000 cycles exhibit abrasive marks. These marks follow the sliding direction and visually appear more pronounced on the specimen at 20,000 cycles. A transfer of oxides from the specimens to the surface of the contactors and reciprocally can occur, facilitated by adhesion mechanisms.

The topography of the contact trace (region II) on the specimens is analyzed using focus variation technique (Alicona Infinite Focus SL). In [Figure 71,](#page-101-0) the profilometry of the trace of the specimens in contact with contactors at 0; 11,800 and 20,000 cycles are shown. An increase in surface roughness as a function of the lifecycle is observed, with the presence of abrasion marks on the specimens in contact with the contactors at 11,800 and 20,000 cycles.



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<span id="page-101-0"></span>Figure 71: Topography of convex contactors at the condition (350 MPa-10 mm/s-1250 °C) and at different lif ecycles (0; 11,800 and 20,000 cycles).

The results of the roughness parameters (Sa, Sv and Sp) for WHUST specimens in contact with the three contactors at different lifecycle stages are presented [Figure 72](#page-102-0) and [Figure 73.](#page-102-1) The topography of the specimens becomes increasingly rough with the progression of the lifecycle. This increase in roughness is due to the contact process, during which the worn tools leave abrasive tracks on the specimens. Compared to Figure 39 (roughness of industrial tools over their lifetime), the roughness of contactors shows a similar evolution.

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<span id="page-102-0"></span>Figure 72: The evolutions of Sa (Arithmetical mean height) parameter as a function of the die life cycle of convex contactors at the condition: 350 MPa-10 mm/s-1250 °C.



<span id="page-102-1"></span>Figure 73: The evolutions of Sv (Valley Depth) and Sp (Maximum peak Height) parameters as a function of the die's life cycle of convex contactors at the condition (350MPa - $10$ mm/s-1250 $\degree$ C)

### **4.6.3. Summary of friction results**

The friction indicator is calculated for all tests and the averages are determined for each lifecycle [\(Table 17\)](#page-103-0)

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#### <span id="page-103-0"></span>Table 17: Evolution of the friction indicator as a function of lifecycle for each condition considered (first condition Pmax, second condition Vmax) (Table 1).



Even if the test conditions are different for each type of the contactor geometries and cannot be directly compared, [\(Table 17\)](#page-103-0) shows, however, different tribological behaviors between the flat contactor and the concave and convex contactors. For convex and concave contactors, friction indicator values, range from 0.24 to 0.32 for all cycles studied, with a ratio of 7.5% to 20% greater when contact pressure is higher and sliding velocity is lower. For the flat contactor, ratio values range from 0.43 to 0.63.

For the tests conducted with convex and concave contactors, the friction indicator  $I_F$  does not vary significantly with the lifecycle of the different contactors derived from tools subjected to varying numbers of cycles (0, 11800, and 20000 cycles). For the lowest contact pressures and highest velocities,  $I_F$  is stable around 0.24 for the convex geometry and 0.25 for the concave geometry, respectively. For the highest pressures and lowest velocities, the difference between a new contactor and one after 20,000 cycles is 6.5% and 15.5% respectively for convex and concave contactors. For flat geometry contactor, friction indicator decreases significantly with the lifecycle of the contactors. Indeed, while the friction indicator deviation between cycle 0 and 11800 is between 3% and 11%, it rises to between 25% and over 30% at cycle 20000, depending on the studied test conditions. The friction indicator values for the tests with flat contactors appear then to be approximately twice as high as those for tests with convex and concave contactors. A higher friction indicator with a flat contactor may be explained by the fact that with an initially larger contact area, the contactor promotes greater material removal. This leads to a significant increase in shear force compared with concave and convex contactors, where the contact area is smaller.

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A stabilization or decrease in the friction indicator is observed during tests conducted with contactors of various geometries and lifecycles. Several factors can influence this indicator, including the presence of oxides on the surfaces of worn tools and geometry changes due to the contactors wear. Oxides generally function assolid lubrication agents by forming a protective layer between the contacting surfaces. This oxide layer reduces direct contact between the test specimen and the contactors (Serebriakov, 2022), thereby stabilizing or decreasing the friction indicator (the part of the oxidized surface acting as an otherwise lubricated surface). Additionally, oxides can function as fillers, filling surface roughness and reducing contact points, which also leads to a reduction in friction (here, the contact surface is enlarged). The changes in geometry due to tool wear can also significantly impact the friction indicator. These changes lead to variations in surface topography and the contact area, thereby modifying the contact surface between the test specimen and the contactor. Furthermore, variations in tool geometry can influence the stability of lubricant film at the interface, locally affecting tribology.

# **4.6.4. Summary of topography results**

The topography of the contact trace (region II) on the specimens at different conditions is analysed. Abrasion marks are present on the WHUST specimens in contact with the contactor after 11,800 and 20,000 cycles, as illustrated in the previously provided example. [Table 18](#page-105-0) presents the evolution of roughness parameters as a function of the life cycle for each condition. The topography of the specimens becomes progressively rougher as their lifecycle advances. This increase in roughness is due to the contact process, where the worn tools leave abrasive marks on the specimens.



### <span id="page-105-0"></span>Table 18: The evolutions of Sa, Sp and Sv parameters as a function of the die life cycle of all conditions.

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# **4.7. Influence of oxides and geometry changes on contact conditions**

The previous analyses conducted on the different concave, convex, and flat zones at various lifecycles (0, 11,800, and 20,000 cycles) revealed that, in addition to structural modifications (decrease in hardness for all zones as a function of lifecycles, microstructural transformations, and decrease in Young's modulus (Chapter 3), there was also the existence of an oxide layer on the surfaces of the worn tools. Furthermore, the billet heated to 1290 °C also develops oxide on its surface. The oxides present at the workpiece/tool interface may influence forging conditions, affecting parameters such as contact pressure, material flow, and thermal conditions at the interface (Serebriakov, 2020). Consequently, the geometry of the tool can be impacted not only by wear phenomena but also by the accumulation of oxides on the surface of the tool and by the incrustation of oxide from the billet.

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To analyse and understand the impact and role of oxides on thermomechanical contact conditions, a numerical approach based on an academic case was chosen. A 3D finite element model using ABAQUS/Explicit software is developed for the 3 life states of the tool. Numerical simulations are carried out using convex tools geometries with 3 different radii 8 mm, 9 mm and 10 mm [\(Figure 74a](#page-107-0)) to simulate a presumed state of wear. The tool with an 8 mm radius is considered to be new; the tool with a 10 mm radius is considered to be the most worn tool. This academic case comprises two distinct stages. Initially, the tool is pushed into a part until it achieves a penetration depth of 400 µm to obtain a representative contact pressure between 150 and 300 MPa. Then, once the penetration phase is complete, the tool switches to a sliding motion along the surface of the part, maintaining a constant velocity of 50 mm/s [\(Figure 74b](#page-107-0)). This velocity is an average velocity encountered on the crankshaft forging process. The part is made of the same material as the billet 38MnSiV5; its initial temperature is 1250  $\degree$ C and a 40 µm oxide layer is modelled on the surface. The tool is considered nitrided and is made of X38CrMoV5. Its initial temperature is 140 °C. It has a 50 µm oxide layer. The mechanical and thermal properties of the oxides ([Table 19](#page-107-1)) analysed through microscopy and DRX (thickness and nature) are implemented in the numerical model using the works developed by I. Serebriakov, 2022. The flowstress curves of the oxides have been extracted from the work of Behrens (Behrens, 2017 and 2018). With a contact temperature close to 700°C, the oxide will be composed of 95% wüstite, 4% magnetite and 1% haematite (Table 21).Tool wear is taken into account through three parameters: Young's modulus evolution, geometry changes and the presence of oxide. Throughout the simulation, parameters including contact pressure and temperature are continuously monitored and recorded for both the tool and the part.

The nitrided tool is represented in 2 distinct parts: on core and on surface layer with a nitrided depth of 160 µm. The elastic modulus of the tool core is set at 204 GPa, while the nitrided surface layer possesses an elastic modulus of 230 GPa. These values align with those obtained from nano indentation tests, ensuring consistency between the simulation model and experimental data. The thermal exchange coefficient is set at 20000 W/m<sup>2</sup>/ $\degree$ C and the friction coefficient at 0.3 (Boualem et al., 2023).



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<span id="page-107-0"></span>Figure 74: a) Tool radii (new tool: 8mm, mid-life: 9mm; end of life: 10mm, b) Primary input parameters for numerical simulation.

<span id="page-107-1"></span>

Table 19: Mechanical and thermal properties of the oxides and carbon steel (Anglelo et al., 2016).				


### **4.7.1. The influence of oxides on thermal and mechanical contact**

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#### **a) Thermal analysis**

The thermal results for the part and the tool (radius 8 mm) from numerical simulations, with and without oxides, are firstly presented [\(Figure 75\)](#page-108-0).The surface temperature analysis underscores the significant influence of oxide presence on the thermal behavior of both the tool and the part. In the absence of the oxide layer, the part exhibited a minimal temperature of 1000 °C, while the tool maintained a minimum temperature of 600 °C. Conversely, the introduction of the oxide layer led to a notable reduction in the temperatures, with the minimum temperatures recorded for the part and tool decreasing to 760 °C and 400 °C, respectively (difference of 240 °C in the part and 200 °C in the tool). It is also notable that the thermal distribution along the path of the tool on the billet without an oxide layer is more homogenous than the distribution of the temperature in the part with oxide layer. The depth of the part thermally impacted is much greater when oxide is absent (a few millimetres versus a few hundred microns). Because of its thermal properties ([Table 19](#page-107-0)) oxide acts as a thermal barrier.

The part subjected to the presence of the oxide layer exhibited higher material flow at the end of tool traversal on the billet, registering a displacement of 720  $\mu$ m, as opposed to the 420  $\mu$ m observed in the simulation without oxide layer. As the oxide acts as a thermal barrier, the part retains its heat in its core and on its surface and remains hotter and therefore more ductile. By acting thermally in this way, the oxide has a strong influence on material flow.



<span id="page-108-0"></span>Figure 75: Numerical results of the surface temperature for the billet and the tool, with and without oxides.

#### **b) Mechanical analysis**

The contact pressure results [\(Figure 76\)](#page-109-0) show that the presence of an oxide layer has significant effects on the contact pressure experienced by the part and the tool. The presence of an oxide layer reduced the contact pressure encountered by the party by 100 MPa (from 250 MPa to 150 MPa) representing a 40% reduction. On the tool side, the results were similar, with a drop in contact pressure of 90 MPa from 250 MPa to 160 MPa, representing a 36% reduction. The presence of an oxide layer modifies the distribution of contact pressures on both the part and the tool during hot forging, promoting a more uniform distribution and reducing stress concentration points. Conversely, in the absence of oxide, contact pressures may be more localized, leading to higher stress zones on the surface of both the part and the tool.

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<span id="page-109-0"></span>Figure 76: Numerical results of the contact pressure experienc ed by the billet and the tool: (a) with oxides, (b) without oxides.

### **4.7.2. The influence of the geometry-on thermal and mechanical contact**

#### • **a) Thermal analysis**

The numerical results of the temperature for the surface contact of the parts in contact with three different tool geometries (radius 8, 9, and 10 mm) representing the three different stages of tool life (new, mid-life, and end-of-life) are presented [\(Figure 77\)](#page-110-0). The analysis of the contact temperature at the part surface shows that the change in tool geometry influences the maximum temperature of the tool surface and its distribution. With a new tool (radius 8 mm), the maximum temperature of the part is close to 1150 °C, while the maximum temperature of the part in contact with an end-of-life tool (larger radius 10 mm) is around 1100 °C.





<span id="page-110-0"></span>Figure 77: The numerical results of the temperature experienced by the billet in contact with three different tool geometries (radius  $8, 9$ , and  $10 \text{ mm}$ ) representing three different stages of tool life.

### **b) Mechanical analysis**

[Figure 78](#page-111-0) presents numerical results for the contact pressure of the parts in contact with the 3 tools. The numerical results show that there is also an influence of geometry changes on the contact pressure due to wear (in this case, with low-pressure range, a difference of 40 MPa (25%) between the new tool and the tool at the end of life. There is an increase in contact area as tool life increases, linked to changes in tool contact radii. Naturally, a larger tool radius leads to a larger contact surface area. This expanded contact surface observed with worn tools allows for a more even distribution of contact pressure and eases billet penetration, requiring less pressure compared to a new tool.



<span id="page-111-0"></span>Figure 78: The numerical results of the contact pressure experienced by in contact with three different nitrided tool geometries (radius  $8, 9$ , and  $10 \text{ mm}$ ) representing three different stages of tool life (new, mid-life, and end-of-life).

# **4.8. Numerical analysis of the influence of geometric variations on contact pressure: Approach with the assembly of real geometries**

With the objective of studying the effect of geometric variations on contact pressure for all geometries (concave, convex and flat), a 2D plane strain modelling using ABAQUS is conducted [\(Figure 79\)](#page-112-0). The three zones (concave, convex, and flat) have been combined together to build a tool representing a portion of the industrial hot forging die [\(Figure 80\)](#page-112-1). The simulation parameters in terms of material, friction and heat exchange are similar to those described in section 4.6. The dimensions for the different contact zones were determined at different lifecycles. A 3D scanning process was firstly undertaken to capture the geometries of the concave, convex, and flat contactors at different lifecycles. However, the complex topography and geometry of the contact surfaces posed challenges for precise scanning. For this reason, an average radius for the convex and concave tools was finally determined to capture these geometries [\(Table 20\)](#page-113-0).

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<span id="page-112-0"></span>Figure 79: The 2D model of the numerical simulation using ABAQUS.



<span id="page-112-1"></span>Figure 80: a) Three zones: convex, concave, and flat on the surface of the hot forging die, b) schematic of the tool geometry showing the assembly of the three zones.



<span id="page-113-0"></span>

[Figure 81](#page-113-1) illustrates the changes in contact pressure across different positions on the surface of concave, convex, and flat zones of new, mid-life, and end-of-life tools for initial tightening. The contact pressure required for the new tool to shape the billet is higher than the worn tools at 11800 and 20000 cycles with a difference of approximately 25% in maximum contact pressure between a new tool and an end-of-life tool for all zones. We also note a decrease in mean contact pressure of approximately 30% for the flat zone between the new and the end -of-life tool, 23% for the convex zone, and 10% for the concave zone [\(Figure 82\)](#page-114-0).



<span id="page-113-1"></span>Figure 81: Evolution of contact pressure versus position for the 3 die zones (concave, convex, and flat) at different lifecycle stages for initial tightening.

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Figure 82: a) Mean contact pressure, b) Maximum contact pressure .

<span id="page-114-0"></span>[Figure 83](#page-114-1) shows that the volume to be filled is greater when the zones are worn (presence of additional red zones for the two worn steps). Contact pressures will then logically be higher with new tools, as the material will be more quickly in contact with the tool.



<span id="page-114-1"></span>Figure 83: Results of the 2D model of the numerical simulation using ABAQUS.

To complete this work, it is important to mention the works of Davoudi et al. (Davoudi, 2021) which studied the geometric parameters affecting the wear of hot forging dies (with no oxides). In particular, they carried out a numerical study of the geometrical effects of the angle of inclination of the contact surface and the radius of the fillet between 6 and 10 mm. A 2D finite element model was implemented in ABAQUS with a velocity field distribution over the die surface and considering the effect of contact temperature and contact pressure on the wear coefficient to obtain the final wear depth. It was shown that increasing the angle of inclination of the surface from 0° to 45° generally moderates the level of wear. A critical value of 30° was observed; the wear depth increases from  $0^{\circ}$  to  $30^{\circ}$  and then decreases in the same proportion from  $30^{\circ}$  to  $45^{\circ}$ .

While concave, convex and flat geometries are important for the tribology of the forming process, changes in surface angle over time also have a significant effect on die wear.

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Finally, Solgi et al. in their work on 'heat checking' (Solgi, 2023), which occurs as a result of thermal fatigue, showed that this phenomenon was one of the main mechanisms for reducing the life of dies that undergo sequential temperature cycles. According to these authors, the type of die design can affect the die's resistance to "heat checking" by introducing tensile stresses at sharp and angular edges. To avoid this thermal fatigue phenomenon, controlling heat transfer on the die should significantly improve thermal fatigue life. Determining the appropriate cooling location when possible, will help ensure efficient heat transfer, reduce the occurrence of surface thermal microcracking and extend tool life.

### **4.9. Conclusion**

To study the influence of hot forging cycles on the tribological behavior of hot forging tools, a combined numerical and experimental approach is used. First, a thermomechanical analysis of the numerical simulation of the forging process is carried out to determine the interfacial contact conditions, such as contact pressure, sliding velocities, and contact temperatures. Two configurations have been determined for each type of geometric zone: one where the contact pressure is maximum and another where the sliding velocity is maximum. These industrial forging conditions are replicated using the WHUST test.

The results of the WHUST tests allow the determination of friction indicators as a function of the tool life cycle and provide information on the evolution of the contact surface topography throughout the tool life cycle. A stabilization or decrease of the friction indicator is observed during WHUST tests conducted with contactors of various geometries and life cycles.

The analysis of the surface state of the trace reveals the presence of abrasion marks on the WHUST specimens in contact with the worn contactors. An increase in roughness parameters is observed on the WHUST specimens and contactors as a function of the life cycle. Several factors may influence friction and surface topography, including the presence of oxides on the surfaces of worn tools and changes in geometry due to wear of the contactors.

XRD analysis reveals that the WHUST specimens exhibits different oxide phases: hematite  $(Fe<sub>2</sub>O<sub>3</sub>)$ , magnetite (Fe $<sub>3</sub>O<sub>4</sub>$ ), and wustite (FeO). SEM analysis shows that the contactors at 11,800</sub> and 20,000 cycles exhibit oxide layers. XRD analysis has identified the present phases: magnetite  $(FesO<sub>4</sub>)$ , wustite (FeO), and silicon dioxide (SiO<sub>2</sub>). These oxides appear to originate from both the forged part and the oxidation of the tool itself. The measurement of the average thickness of the oxides for samples extracted from concave, convex, and flat zones shows that the thickness of the oxide layer significantly increases with the tool's life cycle (The increase is 40%, 75%, and 90%, respectively, for the convex, flat, and concave zones). Due to their thermal properties, oxides may act as a thermal barrier.

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Numerical simulations are conducted to study the influence of oxides and changes in geometry on interfacial contact conditions. Temperature and contact pressure analyses demonstrate the role of oxides in thermal exchange and stress distribution. According to simulation results, there is a decrease of approximately 25% in billet temperature and 33% in tool temperature in the presence of oxides, along with a reduction of around 40% in contact pressure. Additionally, modifications in tool geometry due to wear result in an enlargement of the contact surface and affect contact pressures and thermal behavior. Depending on the tool geometry, there is observed a decrease in the required contact pressure to achieve the same penetration depth, with the pressure reduction reaching up to 30% in some zones.

The numerical study of the influence of tool geometry variation reveals that the tool geometry also impacts the contact temperature. According to simulation results (academic case), a 5% decrease in contact temperature is observed between a new tool and an end-of-life tool. Despite appearing modest, this reduction remains significant and illustrates that geometric changes can indeed affect thermal exchange.

In summary, oxides and geometric changes due to wear interact in complex ways to influence the tribological behavior of hot forging tools. Oxides play a dual role, acting both as thermal barriers and lubricants. However, they can also serve as crack initiation sites, as discussed in Chapter 3. Concurrently, geometric modifications resulting from wear, such as the loss of dimensions and sharp edges, increase the effective contact area and decrease contact pressures. This increase in contact area leads to a more uniform pressure distribution, reducing local stresses and potentially extending the tool life cycle.

### **General conclusion**

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This research investigates the multifaceted impacts of repeated forging cycles on hot forging tools, encompassing changes in surface condition, mechanical properties, microstructure, and geometric modifications due to the tool wear. The goal is to enhance the understanding of the wear state of these tools.

The crankshaft forging process at STELLANTIS Mulhouse has been selected for this study. The analysis of the forging process highlighted the complexity of tool geometries and the challenges encountered during crankshaft forging. The identification of failures and defects observed in tools at different stages of their lifecycle revealed predominant degradation modes such as wear, thermal fatigue, and mechanical fatigue. Worn tools also exhibited surface oxides embedded within cracks.

Key findings from the study can be summarized as follows:

#### • **Thermomechanical Behavior of the 38MnSiV5 steel grade**

Crankshafts are constructed from the 38MnSiV5 grade. Determining the rheological behavior of this grade is necessary to support numerical simulations of the forging process. The hot compression test is the most appropriate test for establishing the flow laws of materials in the case of hot forging. To achieve this, compression tests were conducted on the Gleeble 3500 over a temperature range of 950 °C to 1250 °C and strain rates from 0.01 s<sup>-1</sup> to 10 s<sup>-1</sup>. To replicate the austenitic grain size distribution observed at the industrial scale within the laboratory, a series of thermal cycles were performed. The thermal etching technique was utilized to reveal the prior austenitic grain boundaries and determine their distribution. The experimental results confirmed the thermo-viscoelastic behavior of the material, highlighting the activation of dynamic recovery (DRV) and dynamic recrystallization (DRX) phenomena. Post-testing, the flow stress curves as a function of strain, strain rate, and temperature were corrected to account for friction between the sample and the dies, heating of the sample during deformation, and variations in strain rates.

#### • **Evolution of the topography of hot forging tools:**

The study reveals that surface roughness increases throughout the tool's life cycle due to complex phenomena such as wear. Different zones of the tool concave, convex, and flat undergo significant thermo-mechanical stresses, resulting in the formation of micro-defects. The convex zone, in particular, experiences higher stress concentrations and rougher surfaces due to the higher contact conditions and to its geometry. Tracking these changes throughout the process will facilitate the anticipation of wear by precisely pinpointing areas susceptible to heightened deterioration.

#### • **Mechanical Properties and Microstructural Changes**

Concerning the investigation of the effect of forging cycles on mechanical properties, specifically Young modulus and hardness, the evolution of these parameters throughout the lower die lifecycle was tracked using nano-indentation tests. Three distinct geometries were examined: concave, convex, and flat. The test results were then correlated with microstructural analysis findings obtained through SEM/EDS. The results have shown that a significant decrease in hardness with increasing life cycles, linked to the formation of fissures in the oxide layer and a transition from martensite to globular cementite. This transition, particularly evident in the convex and flat zones, is attributed to cyclic thermal softening. Additionally, decarburized zones and nonhomogeneous oxide layers further contribute to the decline in hardness and surface roughness.

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#### • **Friction Analysis**

Friction studied through WHUST tests and numerical simulations, provides valuable insights into the tribological behavior of hot forging tools. The analysis accounts for variations in friction indicators across different geometries and life cycles, offering a nuanced understanding of the wear state. The presence of oxides and changes in tool geometry significantly affect friction and wear behavior: oxide layers, increase in thickness with the number of forging cycles. These oxides act as thermal barriers and lubricants, influencing the friction and wear behavior of the tools. However, they also serve as crack initiation sites, contributing to surface damage over time. Wearinduced geometric modifications affect the contact conditions and overall performance of the forging tools. Numerical simulations highlight that changes in tool geometry lead to variations in contact pressure and temperature.

#### • **Future Implications**

The study of friction has revealed a stabilization or decrease in the friction indicator at high temperatures, which could be linked to the role of oxides as a thermal barrier and their function as a lubricant. Geometric modifications due to wear, as well as the type of lubricant used, also appear to play a significant role in this dynamic. In hot forging, Graphite lubricant proves to be a suitable lubricant the intensive burnout of the graphite film only occurs above 800°C. Below this temperature, the lubricant remains on the surface for a longer duration ([Figure 84](#page-120-0)). Below this temperature, the lubricant remains on the surface for a longer duration.



<span id="page-120-0"></span>Figure 84 : Effect of surface temperature and holding time on the lubricant remaining on the surface (Sin´ czak, 2007).

As perspectives, it would be judicious to consider conducting WHUST tests at lower temperatures to compare friction behavior and evaluate the effectiveness of lubricant under these conditions. WHUST tests performed without lubricant could also be contemplated to specifically study the influence of forging cycles on the system's behavior without the intervention of external lubricant.

#### **Additional Perspectives :**

- **In-depth study of oxidation phenomena:** It would be pertinent to conduct further research on the mechanisms of formation and growth of oxides on the surface of forging tools. This could include the analysis of the chemical compositions of oxides formed at different temperatures and forging conditions, as well as their impact on wear and the mechanical properties of the tools.
- **Optimization of tool materials and coatings:** Explore the use of new materials or surface treatments for the tools to reduce wear and improve durability.
- **Advanced numerical simulation:** Develop more sophisticated numerical models to simulate the behavior of forging tools under different working conditions. These models could integrate parameters such as thermal diffusion, residual stresses, and microstructure effects to provide more accurate predictions of tool wear and degradation.
- **Analysis of alternative lubricants:** Test alternative lubricants that could offer advantages in terms of wear reduction, improved friction conditions, and minimized environmental impacts. Comparative studies could help identify more effective and sustainable lubrication solutions.
- **Long-term studies on tool reliability:** Implement long-term monitoring programs to evaluate the topography, microstructure, and geometric evolution of the tools. This would

also include the analysis of production data, tool failures, and maintenance conditions to identify trends and opportunities for continuous improvement.

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# **Appendix**

## *Appendix 1: Data for all curves after corrections and fitting*





















### **Appendix 2 : Evolution of the topography of the tool as function of lifecycles.**







